

Core-collapse supernovae as probes of new neutrino physics

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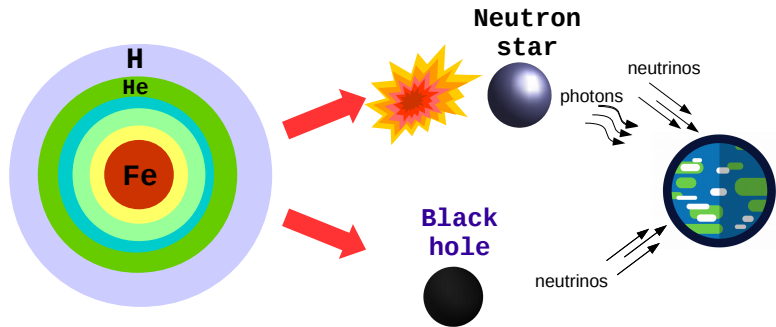
University of California, San Diego

Brookhaven Forum
Advancing Searches for New Physics
October 4, 2023

Why are neutrinos important for a core-collapse supernova?

Neutrinos:

- $\sim 10^{58}$ of them emitted from a single core collapse
- only they (+ GW) can reveal the deep interior conditions
- only they (+ GW) are emitted from the collapse to a black hole



Why core-collapse supernovae are good physics probes?

Advantages

- extreme physical conditions not accessible on Earth: very high densities, long baselines etc.
- within our reach to detect (IC, DUNE, SK, XENON & LZ...)

What can we learn with a variety of detectors?

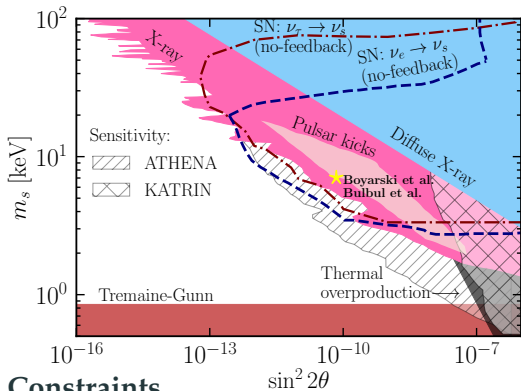
- explosion mechanism H. Bethe & J. Wilson (1985),
T. Fischer et al. (2011)...
- yields of heavy elements S. Woosley et al. (1994),
S. Curtis et al. (2018)...
- compact object formation M. Warren et al. (2019),
S. Li, J. F. Beacom et al. (2020)...
- **neutrino mixing** H. Duan et al. (2010),
I. Tamborra & S. Shalgar (2020)...
- **non-standard physics** A. de Gouvêa et al. (2019),
S. Shalgar et al. (2019)...

Sterile neutrinos with keV masses in supernovae

In collaboration with I. Tamborra and M-R. Wu

JCAP 12 (2019) 019 and JCAP 08 (2020) 018

Sterile neutrino as dark matter candidate



Favorable regions

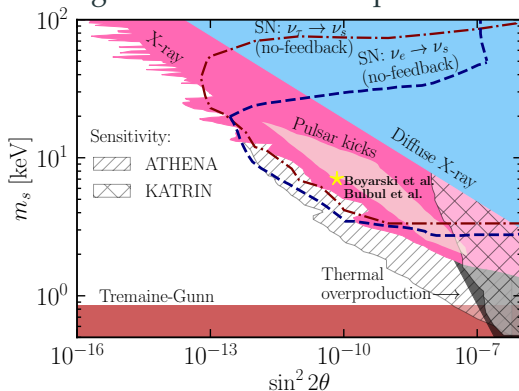
- Pulsar kicks
A. Kusenko, G. Segrè (1998),
G. Fuller, A. Kusenko, et al. (2003)
- 3.5 keV line
A. Boyarsky et al. (2014),
E. Bulbul et al. (2014)

Constraints

- Supernovae energy bounds (X. Shi & G. Sigl (1994)), ...
- DM overproduction (S. Dodelson, L. M. Widrow (1994), X. Shi, G. M. Fuller (1999))
- Radiative decay (NuSTAR, XMM, Chandra), K. C. Y. Ng et al. (2019), K. C. Y. Ng et al. (2015), S. Horiuchi et al. (2013)...
- Tremaine-Gunn bound (S. Tremaine, J.E. Gunn (1979))

The role of sterile neutrinos in supernovae; previous studies

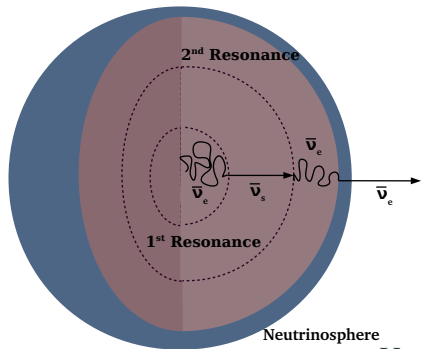
- Change of the electron or neutrino (ν_e, ν_μ, ν_τ) fractions
- Suppression/enhancement of the SN explosion
- Exclusion of a large fraction of the DM parameter space



Raffelt & Sigl (1992), Shi & Sigl (1994), Nunokawa et al. (1997), Hidaka & Fuller (2006), Hidaka & Fuller (2007), Raffelt & Zhou (2011), Warren et al. (2014), Argüelles et al. (2016), Suliga et al. (2019), Syvolap et al. (2019), Suliga et al. (2020)

Sterile neutrino conversions in the stellar core

1D SN model
Garching group
archive



MSW

$$Y_i = \frac{n_i - n_{\bar{i}}}{n_B}$$

$\nu_\tau - \nu_s$ mixing: only 1 resonance

$$V_{\text{eff}} = \sqrt{2}G_F n_B \left[\frac{1}{2}Y_e + Y_{\nu_e} + Y_{\nu_\mu} + 2Y_{\nu_\tau} - \frac{1}{2} \right]$$

Collisions

$$\Gamma_{\nu_s} = \frac{1}{4} \sin^2 2\tilde{\theta} \Gamma_{\nu_{\text{active}}}$$

$\nu_e - \nu_s$ mixing: multiple resonances

$$V_{\text{eff}} = \sqrt{2}G_F n_B \left[\frac{3}{2}Y_e + 2Y_{\nu_e} + Y_{\nu_\mu} + Y_{\nu_\tau} - \frac{1}{2} \right]$$

L. Stodolsky (1987), H. Nunokawa et al. (1997), K. Abazajian et al. (2001)...

Collisional production

$$\langle P_{\nu_{\text{active}} \rightarrow \nu_s}(E) \rangle \approx \frac{1}{2} \frac{\sin^2 2\theta}{(\cos 2\theta - 2V_{\text{eff}}E/m_s^2)^2 + \sin^2 2\theta + D^2}$$

$$\Gamma_{\nu_{\text{active}}}(E) \simeq n(r)\sigma(E, r)$$

$$D = \frac{E\Gamma_{\nu_{\text{active}}}(E)}{m_s^2}$$

Sterile neutrino conversions in the stellar core

Collisional production

$$\langle P_{\nu_{\text{active}} \rightarrow \nu_s}(E) \rangle \approx \frac{1}{2} \frac{\sin^2 2\theta}{(\cos 2\theta - 2V_{\text{eff}}E/m_s^2)^2 + \sin 2\theta^2 + D^2}$$

$$\Gamma_{\nu_{\text{active}}}(E) \simeq n(r)\sigma(E, r)$$

$$D = \frac{E\Gamma_{\nu_{\text{active}}}(E)}{m_s^2}$$

MSW production

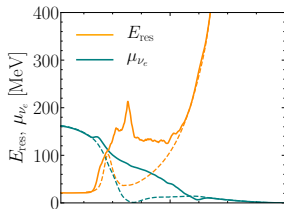
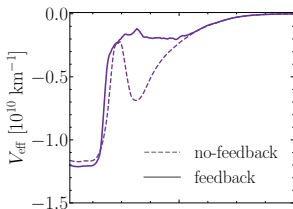
$$P_{\nu_{\text{active}} \rightarrow \nu_s}(E_{\text{res}}) = 1 - \exp\left(-\frac{\pi^2}{2}\gamma\right), \quad \gamma = \Delta_{\text{res}}/l_{\text{osc}}$$

$$\Delta_{\text{res}} = \tan 2\theta \left| \frac{dV_{\text{eff}}/dr}{V_{\text{eff}}} \right|^{-1}$$

$$l_{\text{osc}}(E_{\text{res}}) = (2\pi E_{\text{res}})/(m_s^2 \sin 2\theta)$$

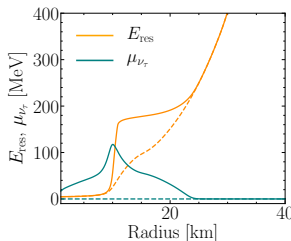
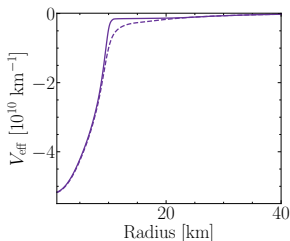
Sterile neutrino conversions in the stellar core

$\nu_s - \nu_e$ mixing: multiple resonances



1D SN model
Garching group
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$\nu_s - \nu_\tau$ mixing: only 1 resonance



$$E_{\text{res}} = \frac{\cos 2\theta \Delta m_s^2}{2V_{\text{eff}}}$$

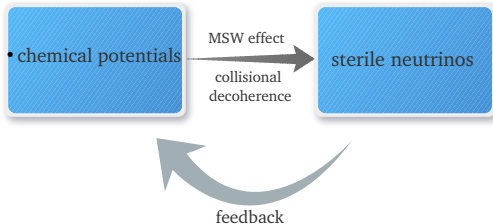
$$m_s = 10 \text{ keV},$$
$$\sin^2 2\theta = 10^{-8}$$

- Negative $V_{\text{eff}} \rightarrow$ MSW resonances only for antineutrinos.
- Growing chemical potential slows down $\bar{\nu}_s$ production.

The sterile-tau neutrino mixing: growth of the asymmetry

Only active neutrinos

$$Y_{\nu_\tau}(r, t) \equiv 0$$



Active + sterile neutrinos

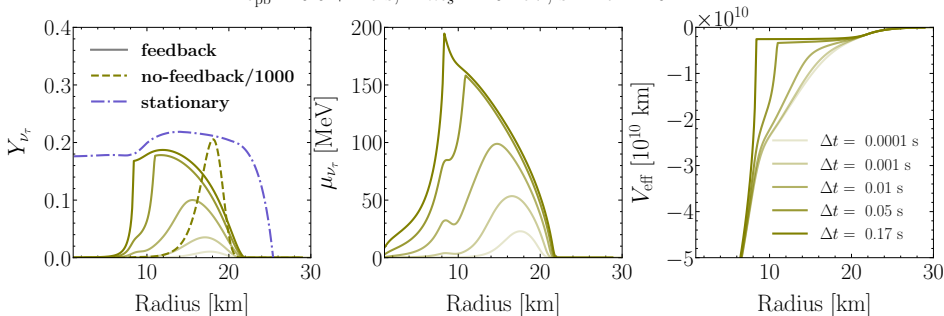
The active neutrinos after being **converted to sterile ones** effectively disappear; since they were **strongly coupled** to the rest of the particles in the medium, a **new equilibrium state forms**.

The change imposed on the SN medium is referred to as the **dynamical feedback**.

$$Y_{\nu_\tau}(r, t) = \frac{1}{n_b(r)} \int_0^t dt' \frac{d(P_{\nu_\tau \rightarrow \nu_s} n_{\nu_\tau}(r, t') - P_{\bar{\nu}_\tau \rightarrow \bar{\nu}_s} n_{\bar{\nu}_\tau}(r, t'))}{dt'}$$

Radial evolution of the asymmetry w and w/o feedback

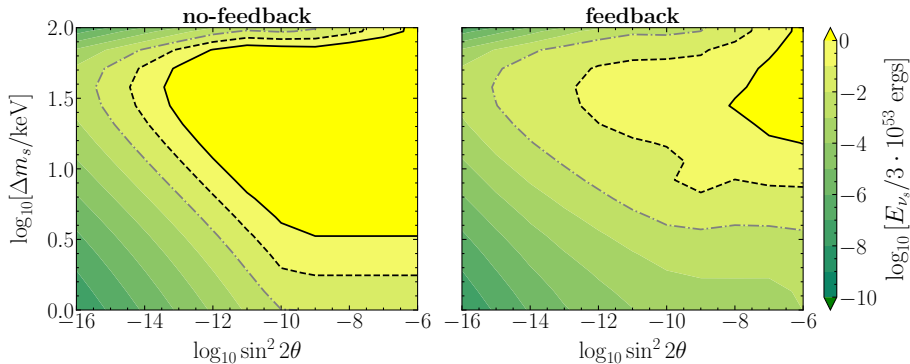
$$t_{\text{pb}} = 0.5 + \Delta t \text{ s}, \quad \Delta m_s = 10 \text{ keV}, \quad \sin^2 2\theta = 10^{-10}$$



- Feedback inhibits Y_{ν_τ} from unphysical growth.
- The ν_τ chemical potential grows significantly.

Supernova bounds on the mixing parameters

$$t_{\text{pb}} = 0.5 \text{ s}$$



- The inclusion of feedback greatly reduces the excluded region.
- Large region of the parameter space still compatible with SNe

The sterile-electron neutrino mixing: dynamical feedback

$$e^+ + p \leftrightarrow \nu_e + n \quad \text{and} \quad e^- + n \leftrightarrow \bar{\nu}_e + p .$$

β equilibrium

$$\mu_e(r, t) + \mu_p(r, t) + m_p = \mu_{\nu_e}(r, t) + \mu_n(r, t) + m_n ,$$

Lepton number conservation

$$Y_e(r, t) + Y_{\nu_e}(r, t) + Y_{\nu_s}(r, t) = \text{const.} ,$$

Baryon number conservation

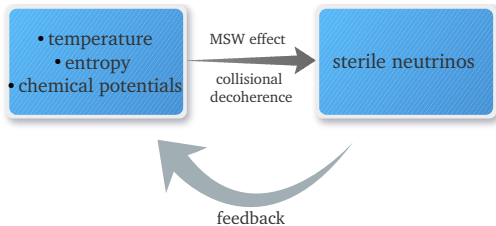
$$Y_p(r, t) + Y_n(r, t) = 1 ,$$

Charge conservation

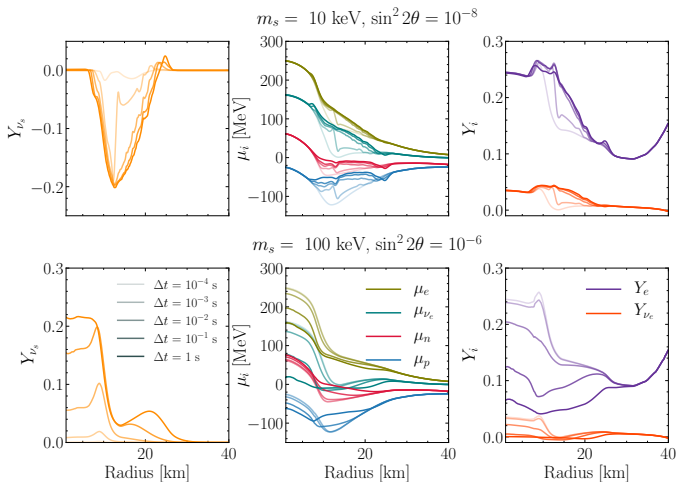
$$Y_p(r, t) = Y_e(r, t) ,$$

Entropy change

$$dS = \frac{dQ}{T} + \frac{P}{T}dV - \sum_i \frac{\mu_i}{T}dY_i .$$

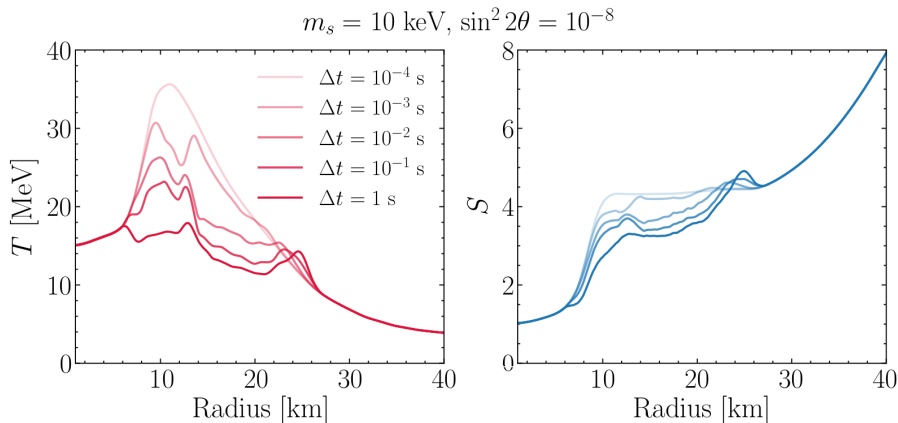


Radial evolution of the asymmetry



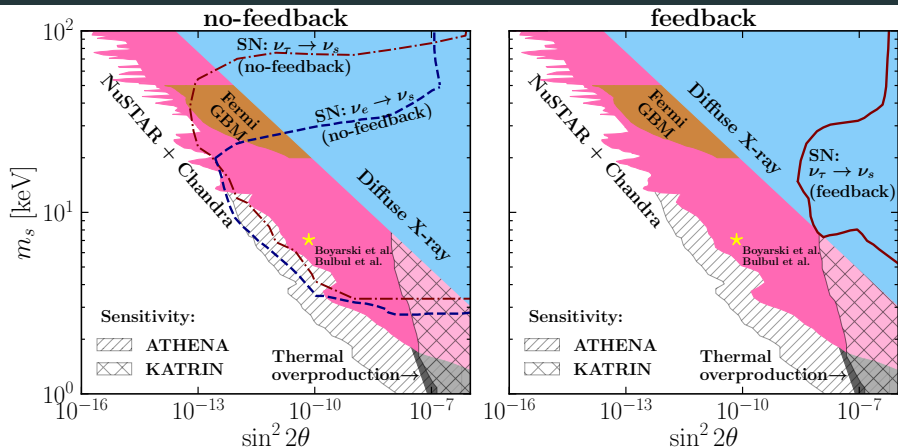
- Sterile neutrinos modify Y_e , Y_{ν_e} , Y_p and Y_n .
- Feedback on the physical quantities depends greatly on the m_s .

Radial evolution of temperature and entropy per baryon



- The $\nu_s - \nu_e$ mixing induces large variations on
 - the entropy per baryon,
 - the supernova medium temperature.

Supernova bounds on the mixing parameters



- The inclusion of feedback greatly reduces the excluded region.
- CC-SNe cannot exclude any region of the DM parameter space.

Conclusions: sterile neutrinos

- **Sterile neutrinos with keV mass**
 - have a major impact on the SN physics.
 - lead to the growth of $Y_{\nu\tau}$ asymmetry.
 - are responsible for the change of Y_e and Y_{ν_e} .
 - might affect the explosion mechanism.

- **Feedback is crucial.**

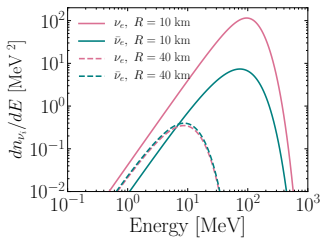
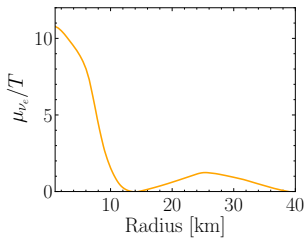
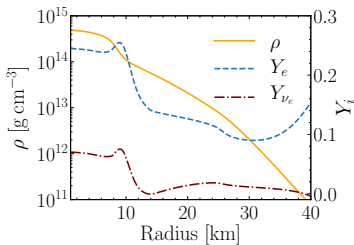
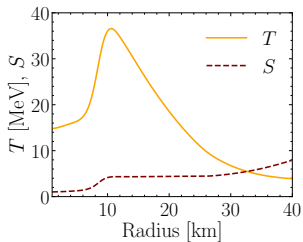
- **New treatment of active-sterile neutrino mixing in SNe challenges sterile neutrino bounds.**

Conclusions: sterile neutrinos

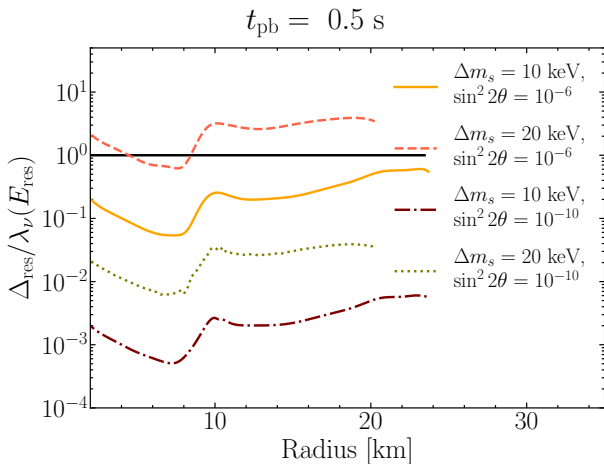
- **Sterile neutrinos with keV mass**
 - have a major impact on the SN physics.
 - lead to the growth of $Y_{\nu\tau}$ asymmetry.
 - are responsible for the change of Y_e and $Y_{\nu e}$.
 - might affect the explosion mechanism.
- **Feedback is crucial. Thank you for the attention!**
- **New treatment of active-sterile neutrino mixing in SNe challenges sterile neutrino bounds.**

Backup slides

Initial conditions



Will they collide or undergo MSW resonance?

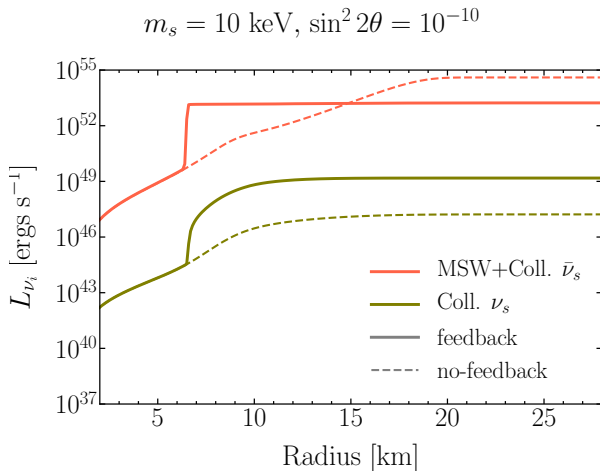


$$\Delta_{\text{res}} = \tan 2\theta \left| \frac{dV/dr}{V} \right|^{-1}$$

$$\lambda_{\nu}(E_{\text{res}}) \simeq \frac{1}{n(r)\sigma(E,r)}$$

$$\Delta_{\text{res}} < \lambda_{\nu}(E_{\text{res}}) ?$$

Tau-sterile mixing: sterile neutrino luminosity

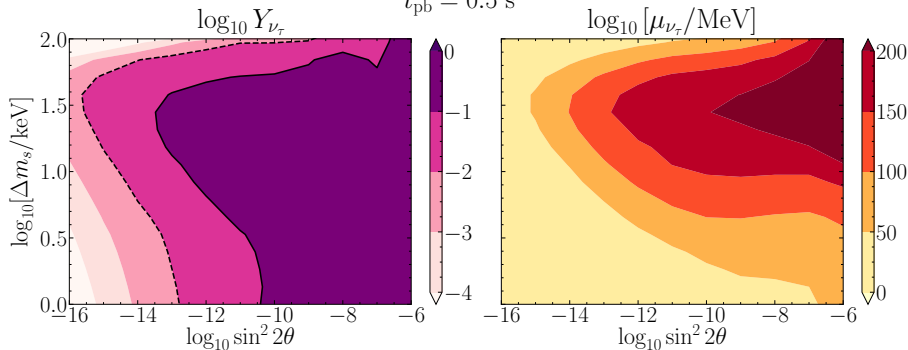


- The total luminosity ($\nu_s + \bar{\nu}_s$) decreases with time.

Contour plot of tau fraction

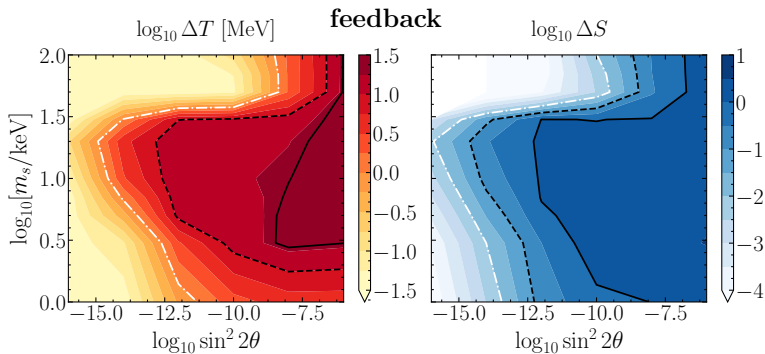
feedback, $\Delta t = 1$ s

$t_{\text{pb}} = 0.5$ s



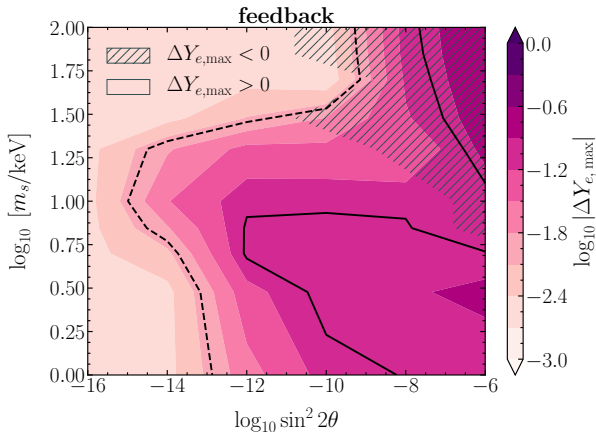
- Higher mixing angles reach the saturation value faster.
- More massive sterile neutrinos reach smaller saturation values, fewer energy modes have enhanced conversion probability.

Contour plot: temperature and entropy



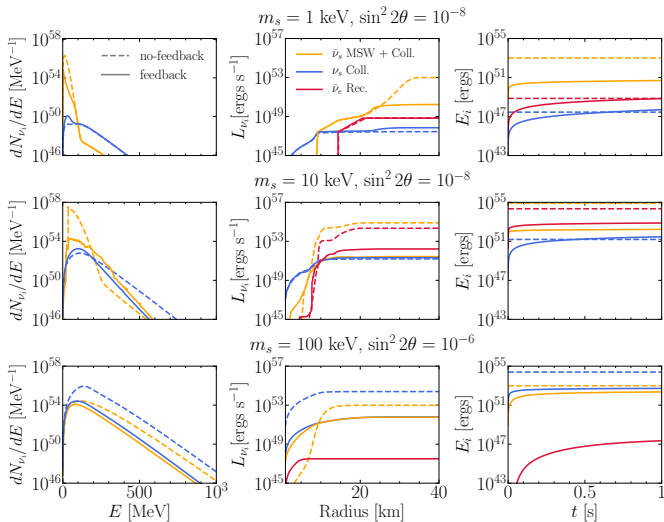
- Large variations for high mixing angles due to
 - adiabatic conversions,
 - high number of sterile neutrinos produced by collisions.

Contour plot: electron fraction



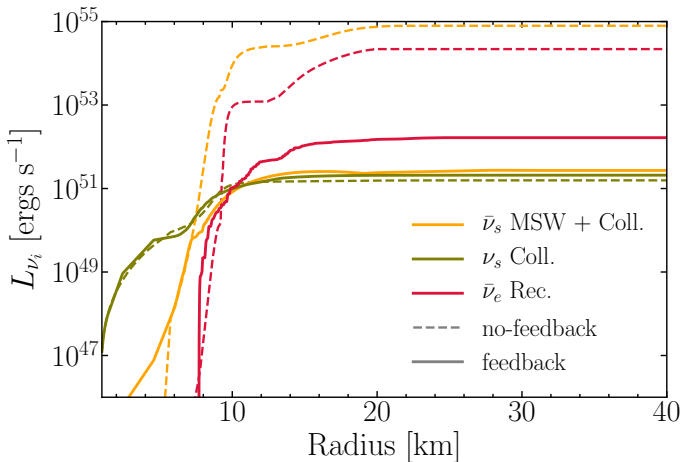
- The change in Y_e can be negative or positive.
- Might considerably affect the evolution of the proto-neutron star.

Comparison for different mixing parameters



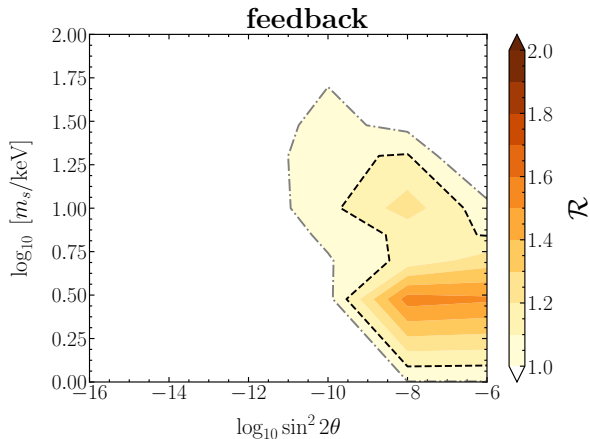
Electron-sterile mixing: sterile neutrino luminosity

$$m_s = 10 \text{ keV}, \sin^2 2\theta = 10^{-8}$$



- The total luminosity ($\nu_s + \bar{\nu}_s$) decreases with time.

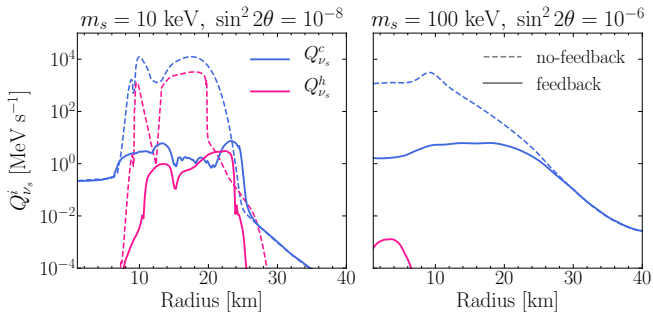
The region of a possible supernova explosion enhancement



$$\mathcal{R} = \frac{E_{G,\text{out}} + E_{\nu_s \rightarrow \nu_i} - E_{\nu_s}}{E_{G,\text{out}}}$$

- Heating of the outer layers \rightarrow emission of high energy $\nu_e, \bar{\nu}_e$
- Increased energy deposition in the stalled shock \rightarrow easier explosion

Sterile neutrino heating and cooling

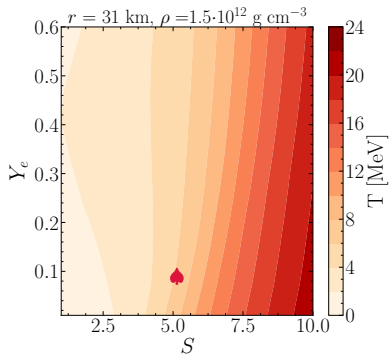
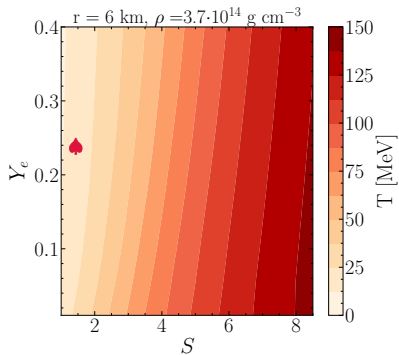


$$\dot{E}_\nu^c(r, t) \sim V(r) \Delta r^{-1} \sum_{k=1}^L P_{\text{es}}(E_k, r, t) \frac{dn_\nu}{dE_k}(r, t) dE_k E_k$$

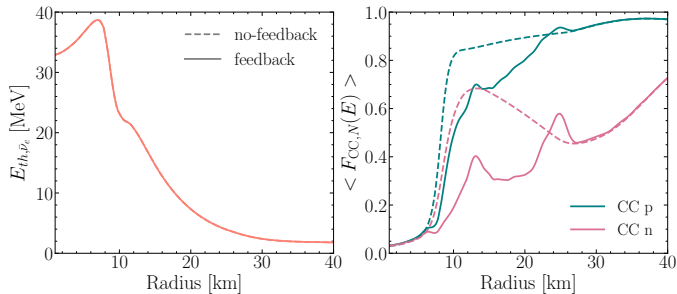
$$\dot{E}_\nu^h(r, t) \sim$$

$$\sum_{k=1}^L \left[P_{\text{se}}(E_k, r, t) \Theta \left(\frac{\Delta r}{\lambda_\nu(E_k, r)} \right) \sum_{j=1}^{i-1} P_{\text{es}}(E_k, r_j, t) \frac{dn_\nu}{dE}(r_j, t) \frac{r_j^2}{r_i^2} dE_k E_k \right] \times V(r) \Delta r^{-1}$$

Temperature interpolation



Pauli blocking



- In the region affected by the sterile neutrino production $\langle F_{CC, p(n)}(E)_N \rangle$ decreases (increases) following the Y_e increase (decrease).