



University
of Glasgow

BUILDING BLOCKS OF FLAVOUR IN SMEFT

Dave Sutherland

(based on [arXiv:2210.09316](https://arxiv.org/abs/2210.09316) and WIP w/ C. Machado, S. Renner, B. Smith)

25th Jan 2024, BNL

University of Glasgow

WHAT'S A BUILDING BLOCK?



(Various AI text-to-image interpretations of the paper title)

Key point: $SU(3)^5$ decomposition usefully organises the flavour space of a *completely generic* SMEFT, and simplifies the running.

HEAVY NP HAS MANY FLAVOURFUL PARAMETERS

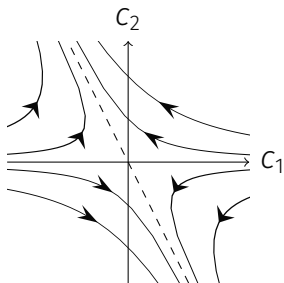
Effects of heavy NP described by contact interactions, e.g.,

$$c_{H\Box} (H^\dagger H) \Box (H^\dagger H)$$
$$c_{ij} \left(H^\dagger i \overleftrightarrow{D} H \right) \left(\bar{Q}^i \gamma Q^j \right)$$
$$c_{ijkl} \left(\bar{Q}^i \gamma Q^j \right) \left(\bar{Q}^k \gamma Q^l \right)$$

Most are flavourful: compare 2499 (3 generation) versus 76 (1 generation) real parameters in the dim. 6 B-conserving SMEFT.

We want to analyse physically meaningful subsets of these parameters.

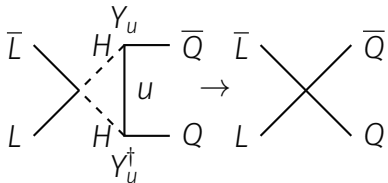
PROBLEM: THEY RUN



$$\frac{d}{d \ln \mu} C_i = \frac{1}{16\pi^2} \gamma_{ij} C_j$$

Parameters mix via γ , populated by SM couplings. (Alonso, Jenkins, Manohar, and Trott 2014)

Much of it is flavourful, e.g.



Are all physically meaningful subsets mixed by running?

- $SU(3)^5$ decomposition as a generic organising principle (Machado, Renner, and Sutherland 2023)
- Structures in the RG due to helicity (Cheung and Shen 2015)
- Structures in the RG due to flavour (Machado, Renner, and Sutherland 2023)
- Relevant directions in the IR (preliminary work)

THE SM(EFT) HAS A BROKEN $SU(3)^5$ SYMMETRY

The SM(EFT) has a hierarchically broken $SU(3)_Q \times SU(3)_u \times SU(3)_d \times SU(3)_L \times SU(3)_e$ symmetry.

$$\begin{aligned} \mathcal{L} = & i\bar{Q}^i \not{D} Q^i + i\bar{u}^i \not{D} u^i + [\text{sim. for } d, L, e] \\ & - [Y^U]_{ij} \bar{Q}^i \tilde{H} u^j + \text{h.c.} + [\text{sim. for } Y^D, Y^E] \\ & + c_{ijkl} (\bar{Q}^i \gamma Q^j) (\bar{Q}^k \gamma Q^l) + [\text{other ops}] \end{aligned}$$

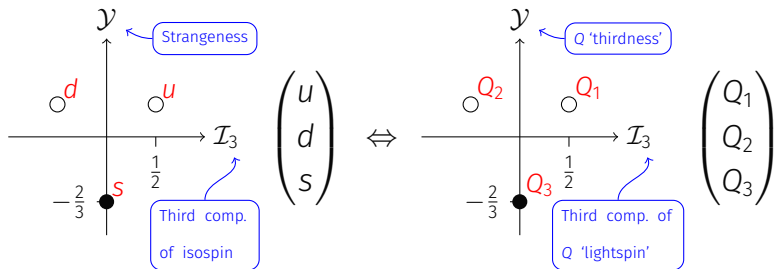
The **kinetic terms** are invariant under $Q^i \rightarrow U_Q^{ij} Q^j$,
 $u^i \rightarrow U_u^{ij} u^j, \dots$

The **Yukawas** break different parts of these symmetries — their components are *charged* under $SU(3)^5$.

The **SMEFT operators** are also charged under $SU(3)^5$.

FLAVOUR DECOMPOSITION

Cf. $SU(3)$ of uds : The SM Yukawas hierarchically break its $SU(3)_Q \times SU(3)_u \times SU(3)_d \times SU(3)_L \times SU(3)_e$ symmetry.

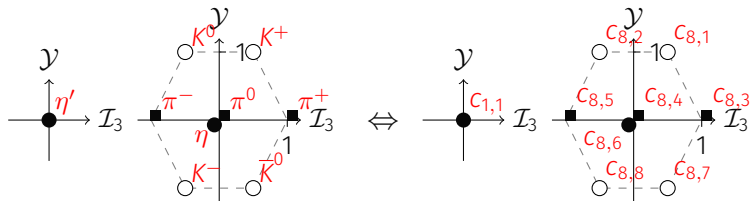


There are 20 flavour quantum numbers in total

$$\{d, \mathcal{I}, \mathcal{I}_3, \mathcal{Y}\}_F \quad \forall F \in \{Q, u, d, L, e\}$$

FLAVOUR DECOMPOSITION: ONE FERMION CURRENT

For $(H^\dagger i\overleftrightarrow{D}H) (\bar{Q}^i \gamma Q^j)$: $\mathbf{1}_Q \oplus \mathbf{8}_Q$



Total lightspin (\mathcal{I}) key: $\bullet = 0$, $\circ = \frac{1}{2}$, $\blacksquare = 1$.

Compare

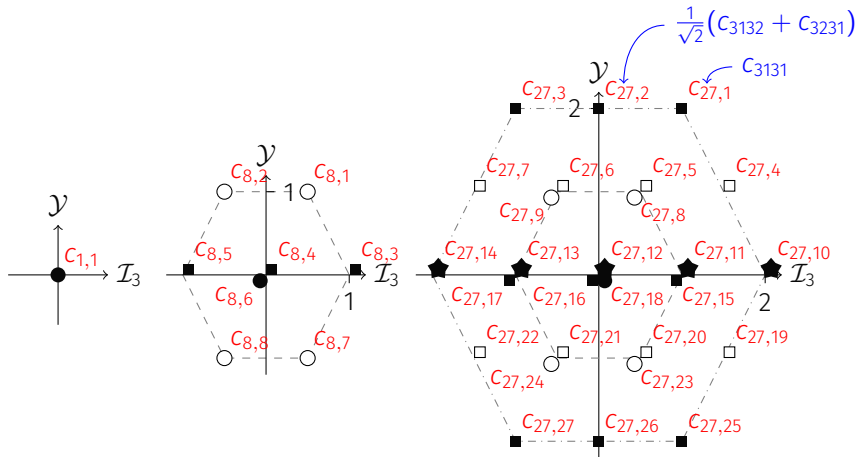
$$\eta \sim (u\bar{u} + d\bar{d} - 2s\bar{s})$$

and

$$C_{8,6} (H^\dagger i\overleftrightarrow{D}H) (\bar{u}_L^i \gamma u_L^i + \bar{c}_L^i \gamma c_L^i - 2\bar{t}_L^i \gamma t_L^i)$$

PHENO IN FLAVOUR SPACE

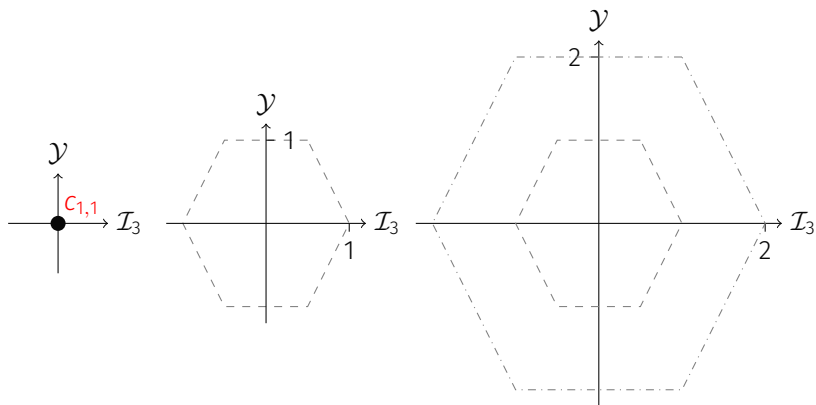
For $(\bar{Q}^i \gamma Q^j) (\bar{Q}^k \gamma Q^l)$: $\mathbf{1}_Q \oplus \mathbf{1}_Q \oplus \mathbf{8}_Q \oplus \mathbf{8}_Q \oplus \mathbf{27}_Q$



Total lightspin (\mathcal{I}) key: $\bullet = 0$, $\circ = \frac{1}{2}$, $\blacksquare = 1$, $\square = \frac{3}{2}$, $\star = 2$.

PHENO IN FLAVOUR SPACE

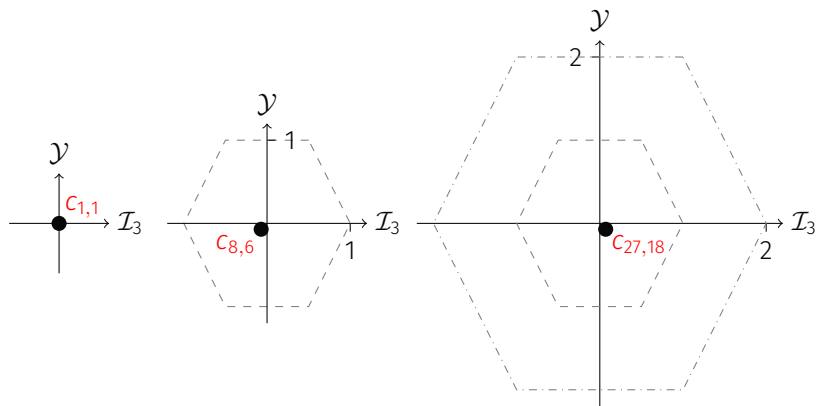
Flavour universal ($SU(3)^5$ symmetric)



Total lightspin (\mathcal{I}) key: $\bullet = 0$, $\circ = \frac{1}{2}$, $\blacksquare = 1$, $\square = \frac{3}{2}$, $\blackstar = 2$.

PHENO IN FLAVOUR SPACE

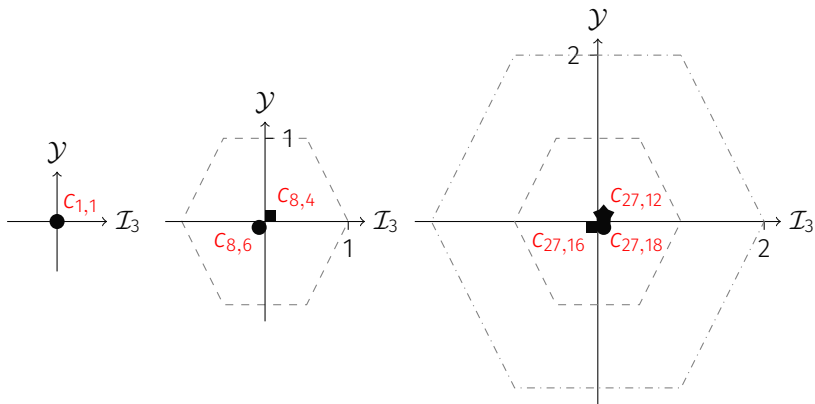
$SU(2)^5$ symmetric



Total lightspin (\mathcal{I}) key: ● = 0, ○ = $\frac{1}{2}$, ■ = 1, □ = $\frac{3}{2}$, ★ = 2.

PHENO IN FLAVOUR SPACE

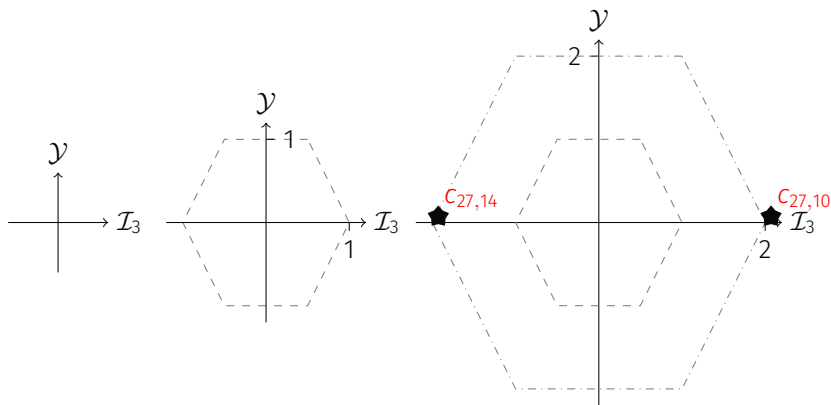
Flavour conserving



Total lightspin (\mathcal{I}) key: $\bullet = 0$, $\circ = \frac{1}{2}$, $\blacksquare = 1$, $\square = \frac{3}{2}$, $\star = 2$.

PHENO IN FLAVOUR SPACE

$\Delta F = 2$, e.g. Kaon mixing



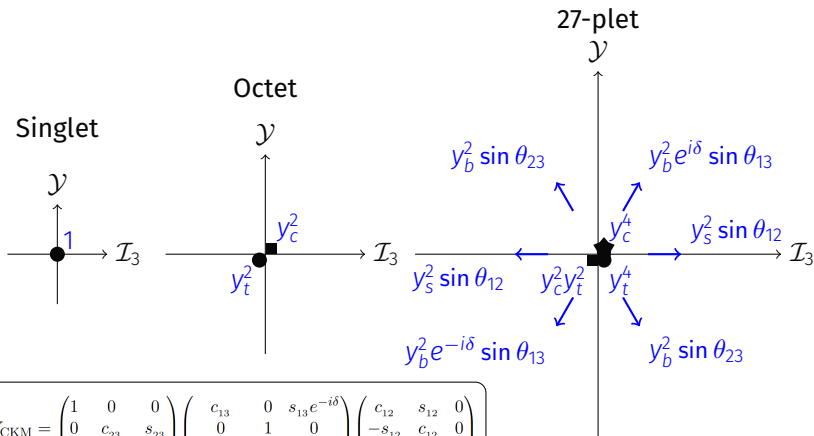
Total lightspin (\mathcal{I}) key: $\bullet = 0$, $\circ = \frac{1}{2}$, $\blacksquare = 1$, $\square = \frac{3}{2}$, $\star = 2$.

$su(3)^5$ NATURALLY CLASSIFIES COEFFICIENTS

Lepton \ Quark	① $d_{\{Q,u,d\}} = 1$	② $d_{\{Q,u\}} > 1, \{I_3, \mathcal{Y}\}_{\{Q,u\}} = 0$	③ $(I^2 + \frac{3}{4}Y^2)_{\{Q,u,d\}} = 1$	④ $(I^2 + \frac{3}{4}Y^2)_{\{Q,u,d\}} > 1$
Ⓐ $d_{\{L,e\}} = 1$	Higgs, EW, ...	top, MFV FCNCs	non-MFV FCNCs	e.g. meson mixing
Ⓑ $d_{\{L,e\}} > 1, \{I_3, \mathcal{Y}\}_{\{L,e\}} = 0$	LFUV (quark flavour conserved) e.g. LFUV in Z decays	LFUV in MFV FCNCs	LFUV in non-MFV FCNCs e.g. R_K	-
Ⓒ $(I^2 + \frac{3}{4}Y^2)_{\{L,e\}} = 1$	LFV (quark flavour conserved) e.g. $\mu \rightarrow 3e, H \rightarrow \tau\mu$	LFV in MFV FCNCs	LFV in non-MFV FCNCs e.g. $B \rightarrow K\mu e$	-
Ⓓ $(I^2 + \frac{3}{4}Y^2)_{\{L,e\}} > 1$	e.g. muonium oscillations, $\tau^+ \rightarrow \mu^- e^+ e^+$	-	-	-

(Machado, Renner, and Sutherland 2023)

For Q charged Wilson coeffs

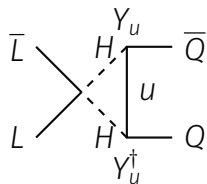


(Working in up basis.)

BLOCKS FROM HELICITY

(GENERALISED) UNITARITY

Consider the Passarino-Veltman decomposition of a one loop diagram, e.g.



$$\text{Diagram} = \sum b_i \text{Diagram}_1 + \sum c_i \text{Diagram}_2 + \sum d_i \text{Diagram}_3$$

It contains UV and IR divergences. Anomalous dimensions are encoded in the b_s .

CUTTING THROUGH THE NOISE

“Cut” both sides by placing two propagators on-shell

The diagram shows an equation between four Feynman diagrams. On the left is a hexagon with a dashed red line representing a cut through a loop. This is equal to the sum of three terms: b_i times a diagram with a loop cut by a vertical dashed red line; $\sum c_i$ times a diagram with a vertex cut by a vertical dashed red line; and $\sum d_i$ times a diagram with a propagator cut by a vertical dashed red line.

Take all possible cuts, obtain a set of linear equations for $\{b_i, c_i, d_i\}$.

For many SMEFT amplitudes, the LHS vanishes for **all cuts**, and therefore $b_i = c_i = d_i = 0$.

For how to calculate EFT RGs onshell, see (Caron-Huot and Wilhelm [2016](#)), (Jiang, Ma, and Shu [2021](#)), (Baratella, Fernandez, and Pomarol [2020](#)), (Elias Miró, Ingoldby, and Riemann [2020](#)), ...

HELICITY SELECTION RULES

LHSs vanish because tree-level SM ultra-helicity violating amplitudes vanish, e.g., $\mathcal{A}_{SM}(g^+g^+g^+g^-)$. (Cheung and Shen 2015)

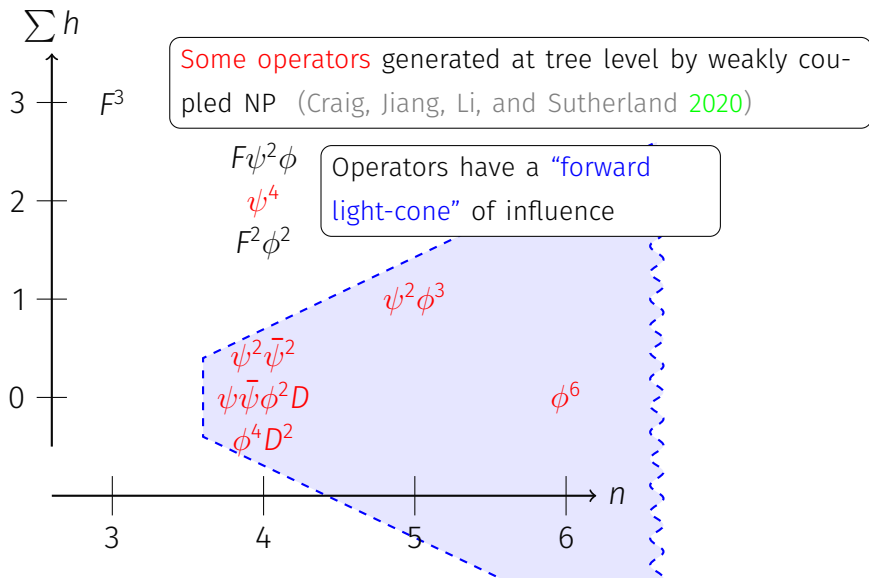
The diagram shows three circular vertices representing amplitudes. The first vertex, labeled $\mathcal{A}_{6,j}$, has six external legs with helicity labels \pm on the top and right legs. The second vertex, labeled \mathcal{A}_{SM} , has six external legs with helicity labels \mp on the top and right legs. A vertical dashed line separates these two vertices. An equals sign follows, leading to a third vertex labeled $\mathcal{A}_{6,i}$ with six external legs. Below the diagram is the following equation:

$$\binom{n_j}{\sum h_j} + \binom{-4}{0} + \binom{n_{SM}}{\sum h_{SM}} = \binom{n_i}{\sum h_i} \quad \begin{array}{l} \text{[# legs]} \\ \text{[Tot. helicity]} \end{array}$$

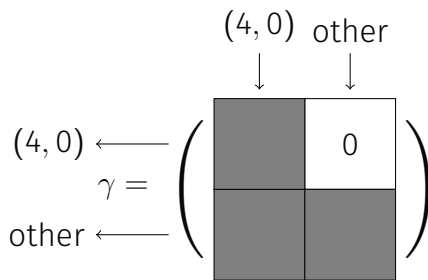
$|\sum h_{SM}| \leq n_{SM} - 4$ at tree level with the exception of $\mathcal{A}(Q^+u^+Q^+d^+) \propto Y_u \times Y_d$

A “CAUSAL” RG

(Alonso, Jenkins, and Manohar 2014; Cheung and Shen 2015)



FOCUS ON THE $(4, 0)$ BLOCK



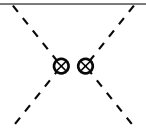
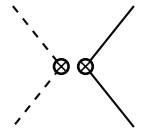
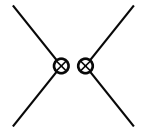
Nothing runs into it, except a few $(4, 2)$ operators, but that's suppressed by $Y_u \times Y_d$. By the same token, drop \mathcal{O}_{Hud} and \mathcal{O}_{LedQ} .

The block contains 1460 of 2499 parameters, all tree-level generated.

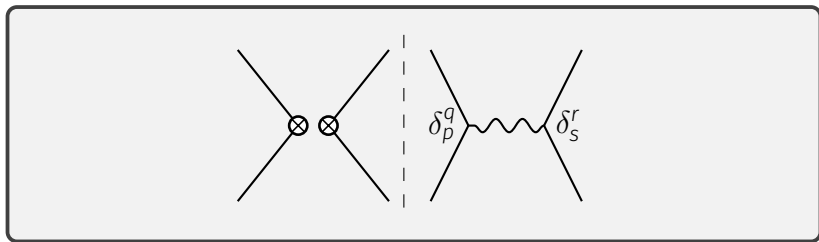
BLOCKS FROM FLAVOUR

THE CURRENT-CURRENT OPERATORS

All considered operators are the product of two currents

Class	Example	Notation
$\phi^4 D^2$	$(H^\dagger i\overleftrightarrow{D}H)^2, (H^\dagger i\overleftrightarrow{D}\sigma^I H)^2$	
$\psi\bar{\psi}\phi^2 D$	$(H^\dagger i\overleftrightarrow{D}H)(\bar{u}_R\gamma u_R), (H^\dagger i\overleftrightarrow{D}\sigma^I H)(\bar{L}_L\gamma\sigma^I L_L)$	
$\psi^2\bar{\psi}^2$	$(\bar{Q}_L\gamma\lambda^A Q_L)(\bar{u}_R\gamma\lambda^A u_R), (\bar{L}_L\gamma L_L)^2$	

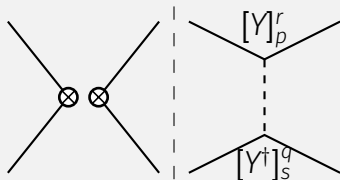
THE FOUR TYPES OF RUNNING: IR FINITE GAUGE



It is *flavourful* – it lifts flavour universal pieces relative to non-universal ones.

It can change operator type.

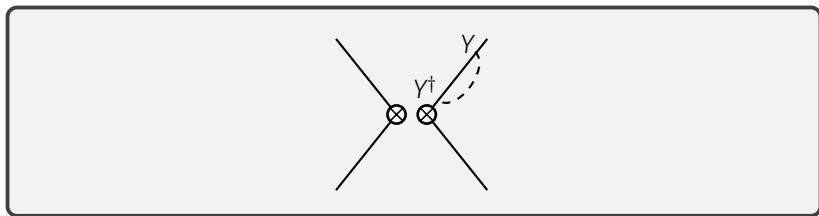
THE FOUR TYPES OF RUNNING: IR FINITE YUKAWA



It is *flavourful* – it affects the third generation more than others.

It can change operator type.

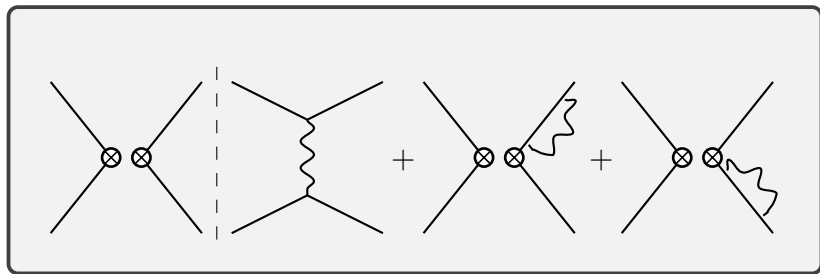
THE FOUR TYPES OF RUNNING: IR DIVERGENT YUKAWA



It is *flavourful* – it affects third generation more than others.

It *cannot* change operator type.

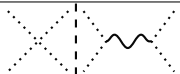


THE FOUR TYPES OF RUNNING: IR DIVERGENT GAUGE



It is *flavourless*. It often vanishes due to non-renormalisation of number current.

It *cannot* change operator type (other than mixing different gauge structures, e.g. $\mathcal{O}_{ud}^{(1)} \leftrightarrow \mathcal{O}_{ud}^{(8)}$).

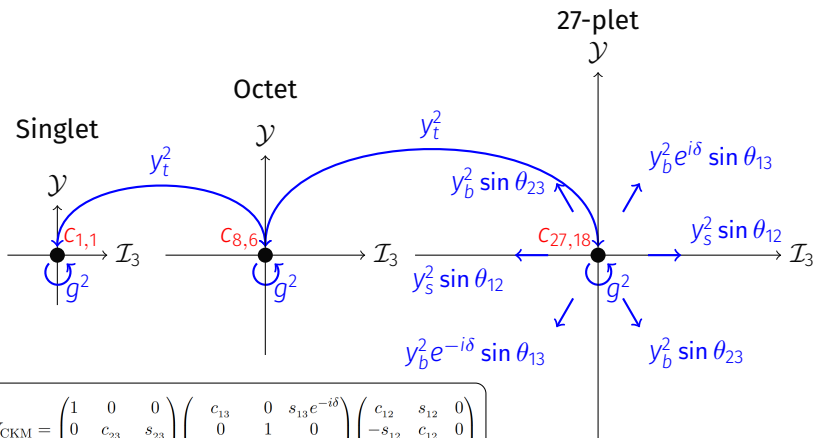
THE FOUR TYPES OF RUNNING: SUMMARY

γ contribution	Cut topology	Flavour action
IR-finite gauge		singlets \leftrightarrow singlets
IR-finite Yukawa		mixes irreps
IR-divergent gauge	 and collinear	blind
IR-divergent Yukawa	collinear	mixes irreps

(Also a couple flavourless Higgs quartic interactions.)

RUNNING

Yukawa charges dictate running in different directions.
For Q charged Wilson coeffs



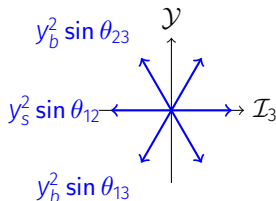
$$V_{\text{CKM}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

(Working in up basis.)

WHY GAUGE+ y_t IS A GOOD APPROXIMATION

In the up basis, the Yukawas' flavour violation is small.

Instead, flavour violation is through 'diagonal' y_t running + matching.



$$\bar{Q}^i \gamma \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}^{ij} Q^j \xrightarrow{\text{Run}} \bar{Q}^i \gamma \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & b \end{pmatrix}^{ij} Q^j \xrightarrow[\substack{\text{Match} \\ Q = \begin{pmatrix} u \\ vd \end{pmatrix}}]{\text{Match}} (b-a)(V_{3i}^{\text{CKM}})^* V_{3j}^{\text{CKM}} \bar{d}^i \gamma d^j$$

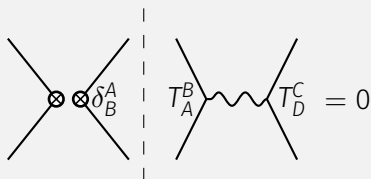
y_t important: comparable to g_s and appears frequently

$$\alpha_t(m_Z) = \frac{y_t^2}{4\pi} \approx 0.08; \quad \alpha_s(m_Z) = \frac{g_s^2}{4\pi} \approx 0.12.$$

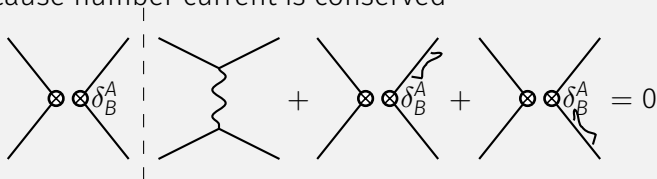
g_s IS LESS IMPORTANT THAN y_t

g_s appears less frequently than y_t as it requires non-trivial colour structures (other than δ_B^A)

Because $\text{Tr}[T] = 0$

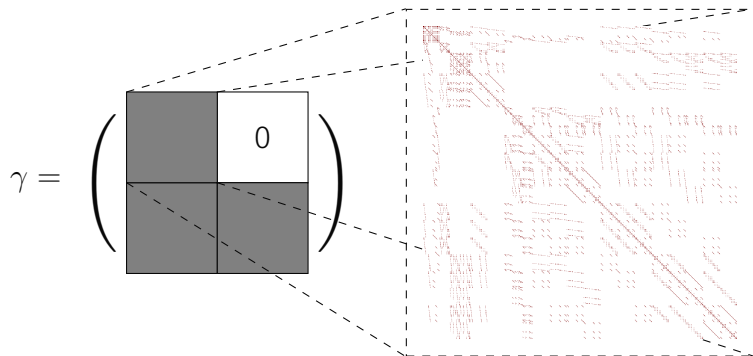


Because number current is conserved



FLAVOUR BLOCKS: GAUGE AND y_t APPROX.

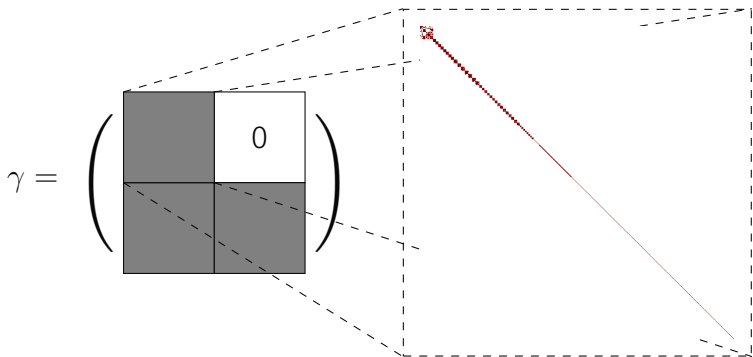
In *DSixTools*'s basis, the matrix is sparse and messy.



This is not a complaint about *DSixTools*, which has been very useful for this study! (Fuentes-Martin, Ruiz-Femenia, Vicente, and Virto [2021](#))

FLAVOUR BLOCKS: GAUGE AND y_t APPROX.

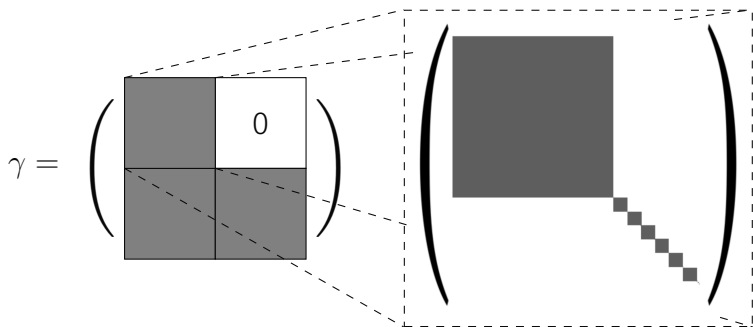
$SU(3)^5$ decomposition block diagonalises sparse 1460 by 1460 anomalous dim. matrix of current-current operators.



(Blocks from conserved charges: $\{\mathcal{I}, \mathcal{I}_3, \mathcal{Y}\}_{\{Q,u\}}$, $\{d, \mathcal{I}, \mathcal{I}_3, \mathcal{Y}\}_{\{d,L,e\}}$)

FLAVOUR BLOCKS: NO APPROX.

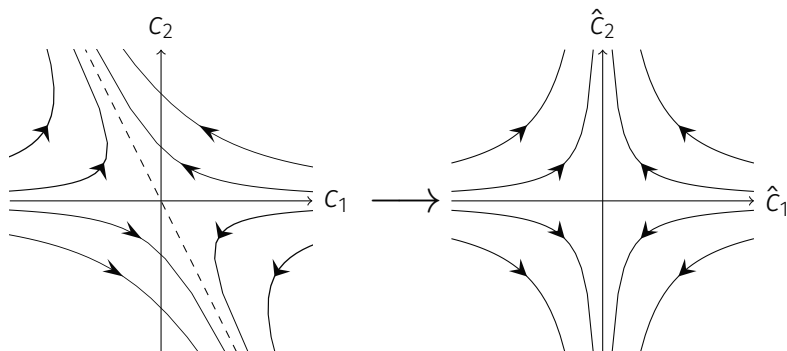
$SU(3)^5$ decomposition block diagonalises sparse 1460 by 1460 anomalous dim. matrix of current-current operators.



(Blocks from conserved charges: $\mathcal{I}_{3,L} + \mathcal{I}_{3,e}$, $\mathcal{Y}_L + \mathcal{Y}_e$)

IR RELEVANT DIRECTIONS

MIXING IS A BASIS ARTEFACT

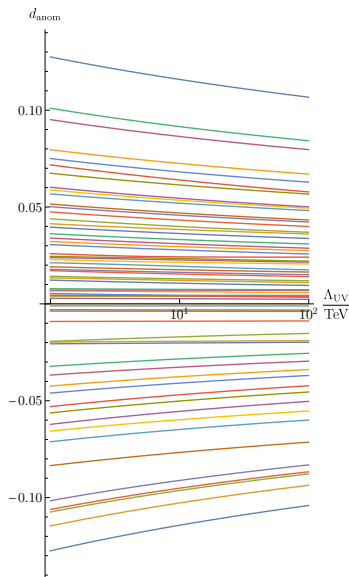


Diagonalise the anomalous dimension matrix

$$\frac{d\hat{C}_i}{d \ln \mu} = \frac{\hat{\gamma}_i \hat{C}_i}{16\pi^2} \implies \mathcal{A}_{4\text{-pt}} \sim \hat{C}_i^{(6)}(E) \left(\frac{E}{M}\right)^2 = \hat{C}_i^{(6)}(M) \left(\frac{E}{M}\right)^{2 + \frac{\hat{\gamma}_i}{16\pi^2}}$$

(To account for running of SM coeffs, $\gamma \rightarrow \frac{\int \gamma(\mu) d \ln \mu}{\int d \ln \mu}$.)

OPERATOR SPECTRUM IN GAUGE+ y_t APPROX. (PRELIMINARY)



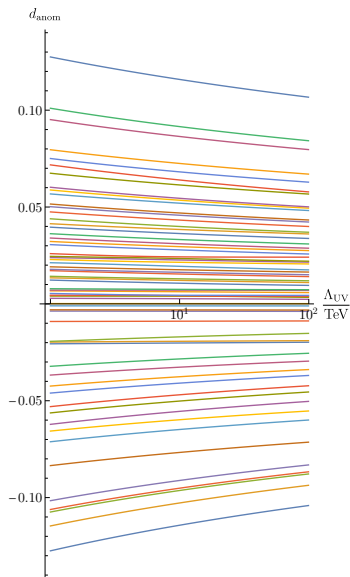
Diagonalise the 61×61 block. (The biggest block, mixing flavour universal and 3^{rd} gen. operators.)

Contains 533 y_t^2 entries, 138 g_s^2 entries.

Individual entries $\frac{g_s^2(m_Z)}{16\pi^2} = 0.01$ add up to $\pm O(0.1)$ eigenvalues.

Directions double/halve from 50 TeV to 174 GeV.

OPERATOR SPECTRUM IN GAUGE+ y_t APPROX. (PRELIMINARY)



Most IR irrelevant: Dimension 6.12

$$\mathcal{O} \approx 0.94\mathcal{O}_{HD} + 0.23\mathcal{O}_{H\Box} - 0.19(\mathcal{O}_{Hu})_{8,6} + \dots$$

Most IR relevant: Dimension 5.88

$$\mathcal{O} \approx 0.63(\mathcal{O}_{Qu}^{(8)})_{8,6,1,1} - 0.59(\mathcal{O}_{Qd}^{(8)})_{8,6,1,1} - 0.46(\mathcal{O}_{Qd}^{(8)})_{8,6,8,6} + \dots$$

An $SU(3)^5$ decomposition usefully organises the flavour parameter space of a *completely generic* SMEFT.

It contains flavour Ansätze within identifiable subsets.

It simplifies RG effects, to the point that they are (semi)analytically soluble.

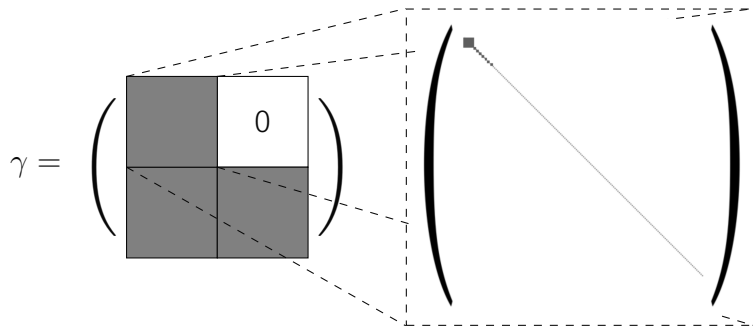
The RG of the SMEFT is not a black box, but a beautifully simple and flavourful machine!

THANK YOU

BACKUP

FLAVOUR BLOCKS: GAUGE AND y_t APPROX.

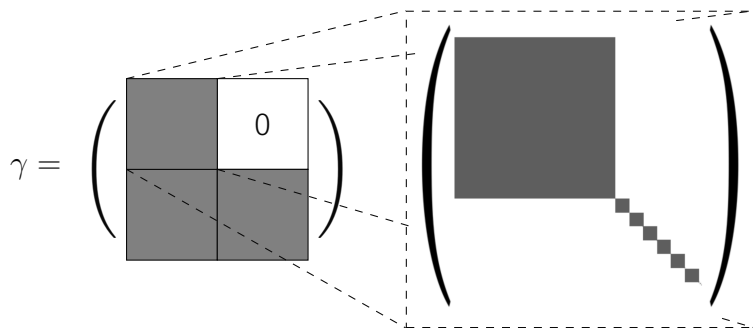
Conserved: $\{\mathcal{I}, \mathcal{I}_3, \mathcal{Y}\}_{\{Q,u\}}, \{d, \mathcal{I}, \mathcal{I}_3, \mathcal{Y}\}_{\{d,L,e\}}$



Block size	61	17	13	12	8	2	1
Multiplicity	1	7	8	15	8	217	498

FLAVOUR BLOCKS: NO APPROX.

Lepton number conserved: $\mathcal{I}_{3,L} + \mathcal{I}_{3,e}, \mathcal{Y}_L + \mathcal{Y}_e$



Block size	932	81	4	3
Multiplicity	1	6	6	6