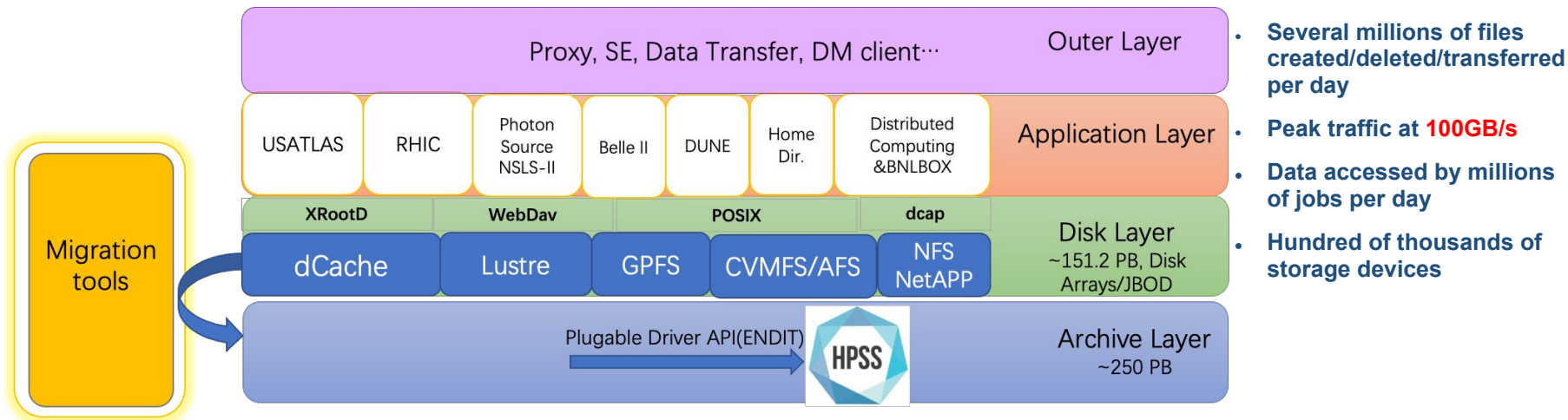


# AI-based Data Popularity, Placement Optimization for a Tiered Storage architecture at BNL/SDCC Facility

Qiulan Huang, James Leonardi, Vincent Garonne, Carlos Deleon, Shinjae Yoo

*Brookhaven National Laboratory*

# Storage Overview at BNL/SDCC

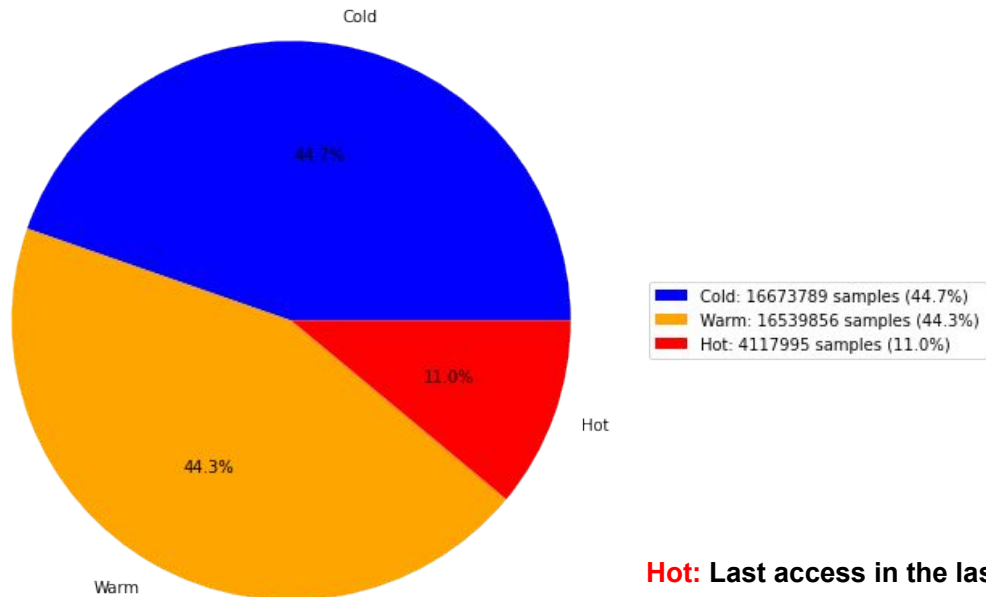


- **Tiered Storage**

- Encompasses various storage technologies to serve different workloads and use cases (HPC posix access, HTC grid access, ...)
- Involve different generations of storage over a period

# Data Temperature (Take ATLAS data for example)

Jan 1, 2023-Dec 31, 2023, ~37 million files



**Hot:** Last access in the last month

**Warm:** Last access in the last 6 months

**Cold:** Last access between 6 months and one year

# AI/ML For Storage Optimization

## Motivation

- In the current tiered storage "class" system at the Data Center
  - Unused data is stored on expensive storage
  - Fast IO storage is not currently used effectively

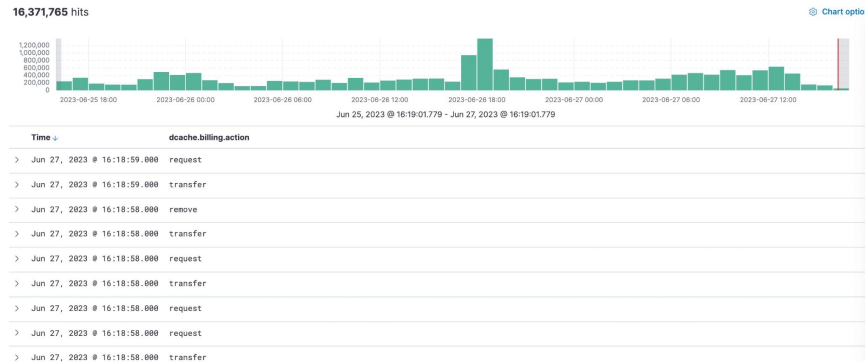
## Goals

- Design an efficient monitoring platform to collect the relevant information from various distributed data sources
- Develop an optimal data management system for the data center to maximize usable space while minimizing access latency, within budget, hardware, and compliance constraints
  - Heavy use of storage, metadata and data popularity information
  - Develop a precise AI/ML prediction model to possibly forecast the future usage of the data
  - Orchestration of data for optimal movement and placement

# Data collection

- Has collected data of the past 2 years
  - Data volume: ~11TB
  - **~10GB** in average per day, **5~8 million events** per day
  - Data source: billing logs, domain logs, etc from various experiments like usatlas, Belle2, etc

Time: one day	size	records
Raw data	13GB	5,604,498
Preprocessed data	2.7GB	5,604,498



# Data preprocessing

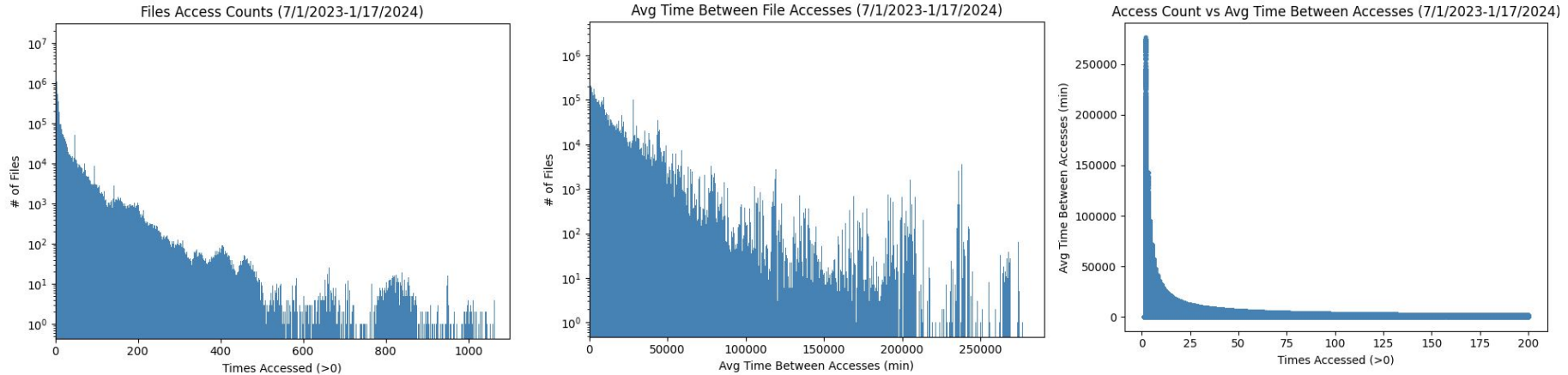
- Define and generate the tabular data or comma-separated values (CSV) file format for data training and facilitates finding patterns between files

- pnfsid
- Access Count
- Access Timestamps
- Rucio Scope (mc15\_13TeV)
- Task ID
- Datatype (DAOD, EVNT, HIST, etc.)
- Avg Time Between Accesses
- Action(create, transfer, delete,)
- User ID
- ...

File ID	path	taskid	datatype	scope	First_Access	Last_Access	...
file_1							
file_2							
...							

```
pnfsid|path|taskid|datatype|scope|accesscount|clientips|protocols|actions|firstaccess|accesstimes|lastaccess|mintimebetween|avgtimebetween|maxtimebetween|errorcodes
0000A3EECFE022224142A68A0037FE3A446D|/pnfs/usatlas.bnl.gov/BNLTD01/rucio/mc23_13p6TeV/d6/ad/DAOD_PHYSLITE.35040159._000250.pool.root.1|35040159|DAOD_PHYSLITE|mc23_13p6TeV|1|{'130.199.206.137'}|{'Xrootd-5.0'}|{'request'}|2023-11-01 00:00:02.540000-0400|{'2023-11-01 00:00:02.540000-0400'}|2023-11-01 00:00:02.540000-0400|0|0|0|{'0'}
00008583BBF8DD8A4B0787679565564E2794|/pnfs/usatlas.bnl.gov/BNLTD01/rucio/mc23_13p6TeV/1e/d6/DAOD_PHYSLITE.35040159._000342.pool.root.1|35040159|DAOD_PHYSLITE|mc23_13p6TeV|1|{'130.199.206.149'}|{'Xrootd-5.0'}|{'request'}|2023-11-01 00:00:05.428000-0400|{'2023-11-01 00:00:05.428000-0400'}|2023-11-01 00:00:05.428000-0400|0|0|0|{'0'}
000058B7CD9318E44138857679E39F0E5B17|/pnfs/usatlas.bnl.gov/BNLTD01/rucio/mc23_13p6TeV/a4/60/DAOD_PHYSLITE.35040159._000330.pool.root.1|35040159|DAOD_PHYSLITE|mc23_13p6TeV|1|{'130.199.156.199'}|{'Xrootd-5.0'}|{'request'}|2023-11-01 00:00:06.400000-0400|{'2023-11-01 00:00:06.400000-0400'}|2023-11-01 00:00:06.400000-0400|0|0|0|{'0'}
000058BC8CE6F325496B982EF0ABF2B2AF05|/pnfs/usatlas.bnl.gov/BNLTD01/rucio/mc23_13p6TeV/7d/9c/DAOD_PHYSLITE.35040159._000253.pool.root.1|35040159|DAOD_PHYSLITE|mc23_13p6TeV|1|{'130.199.159.140'}|{'Xrootd-5.0'}|{'request'}|2023-11-01 00:00:06.777000-0400|{'2023-11-01 00:00:06.777000-0400'}|2023-11-01 00:00:06.777000-0400|0|0|0|{'0'}
0000223C108F5ED14EB59CAA13263B97E30F|/pnfs/usatlas.bnl.gov/BNLTD01/rucio/mc20_13TeV/01/97/AOD.35261114._000644.pool.root.1|35261114|AOD|mc20_13TeV|2|{'130.199.206.204'}|{'Xrootd-5.0'}|{'request'}|2023-11-01 00:00:07.714000-0400|{'2023-11-01 00:00:07.714000-0400'}|2023-11-01 00:00:07.757000-0400|0.043|0.043|0.043|{'0'}
```

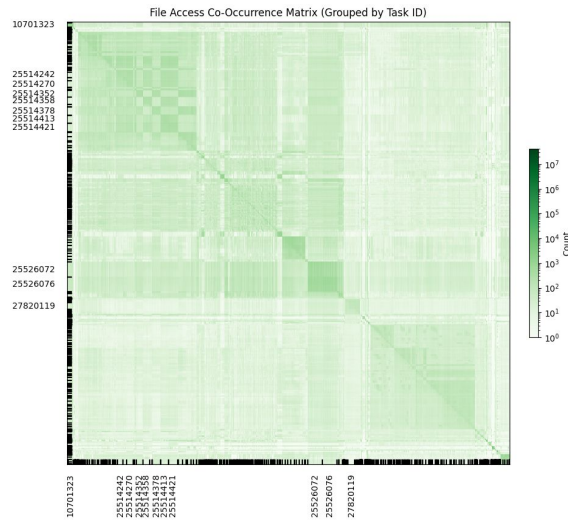
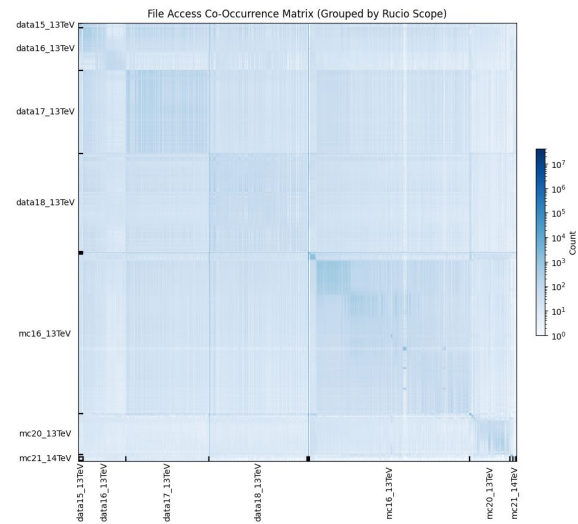
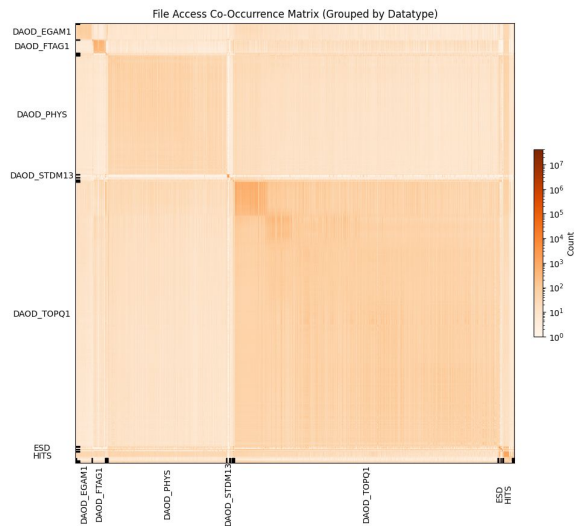
# Data Analysis- Access Distribution



- Access count tends to taper off (some exceptions)
- As files are accessed more, time between accesses tends to decrease
- Rightmost plot trimmed to show patterns

# The Data Co-occurrence Matrix

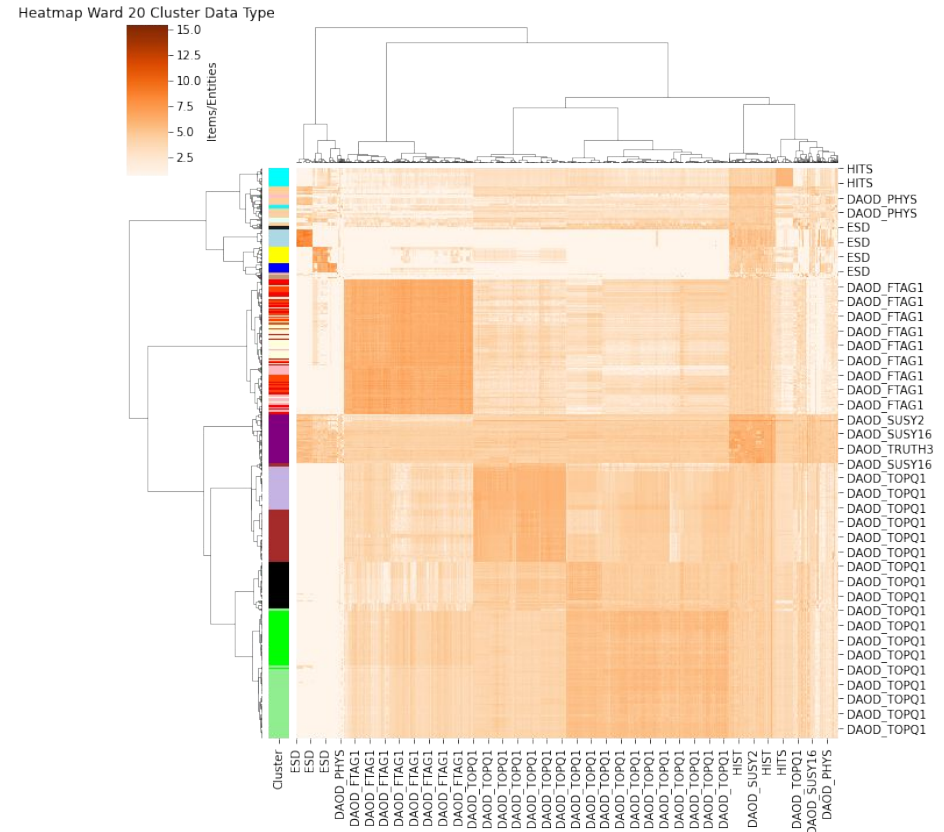
→ Group by any desired attribute: Task ID, Rucio Scope, Datatype, etc.  
→ Patterns appear along diagonal  
→ Denote highly correlated groupings



- Visualize how files are accessed with each other based on some attributes
- Strong patterns mean the attributes can be used for prediction/training
- Focus on highly-accessed files for analysis (150+ access times, 90K files)
  - The matrix size reduce from 23 million×23 million to 90K×90K

# Data Analysis- Clustering

- Perform unsupervised learning
- Explore the patterns that help to differentiate the data
- A clear pattern in data type shown in the matrix correlation as well as the dendrogram hierarchical clustering and the K-means clustering
- All 3 clustering methods show a pattern is connected to the datatype feature



# Data Training

- Data samples: 6 months data (~23 million files)
- **Features:** hold patterns that were shown in previous slide  
['taskid', 'datatype', 'scope', 'accesscount', 'avgtimebetween']

- **Feature importance**

taskid features: 0.4534

datatype features: 0.2193

avgtimebetween features: 0.1404

accesscount features: 0.1066

scope features: 0.0803

Sum of importances for features: 1.0000

→ The features we used to train our model all impact the model differently. Some of our features impact the model more than others. The % of each feature tells us how much of an impact it is to the decision tree when determining the classification

# Prediction Model and Results

## Model Architecture:

- Input of the model: one-hot encoding of the Categorical columns
- Output of the model: hot/warm/cold classification

## Model Training:

- Features: ['taskid', 'datatype', 'scope', 'accesscount', 'avgtimebetween']
- Labeled data temperature based on the last accessed file which we removed from the training
- Randomly selected 60k samples to use for model training
  - 20k samples for each Hot, Warm, Cold 12k for validation(4k each type) and 48k for training

## Results(More details see the the backup slides 19-23):

- The model's performance is evaluated on the different sets to assess its predictive accuracy, precision, and recall
- With the larger dataset, the accuracy improves, highlighting the benefits of increased training data
- Precision improves with the more even # of each type(hot/warm/cold)

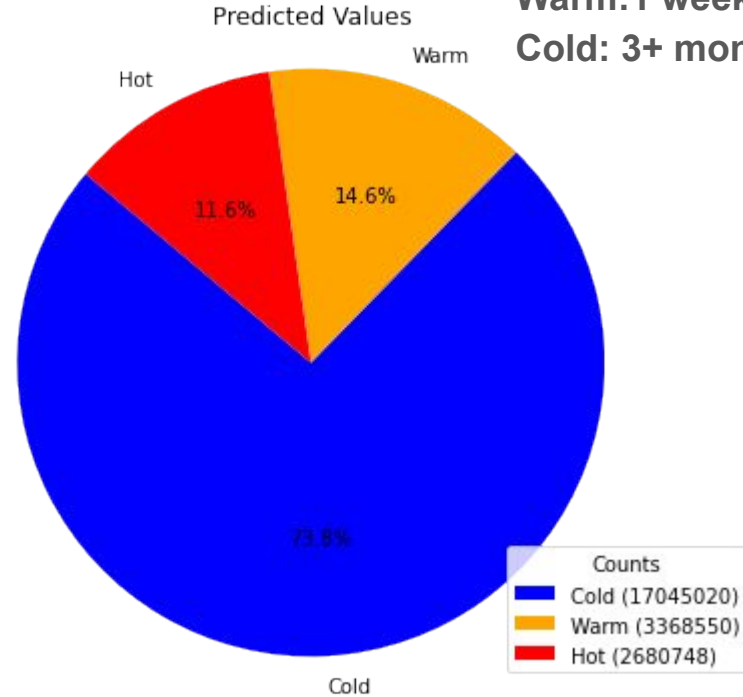
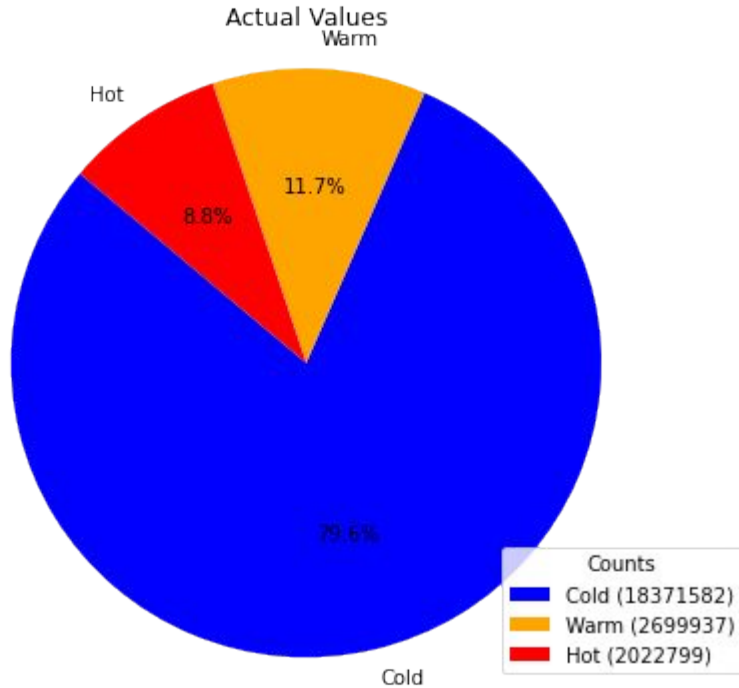
	Set 1 (Initial 60K)	Set 2 (Top 300K)	Set 3 (Total 23M)	Set 4 (Random 1.5M)	Set 5 (Random 1.5M, Even # of each type)
Accuracy	91.68%	90.70%	91.81%	90.40%	90.86%
Recall	91.66%	92.00%	91.33%	91.66%	91.00%
Precision	91.66%	82.33%	80.33%	74.33%	91.33%

# Labeled vs Prediction popularity (6-month)

Hot: 0-1 Week

Warm: 1 week - 3 months

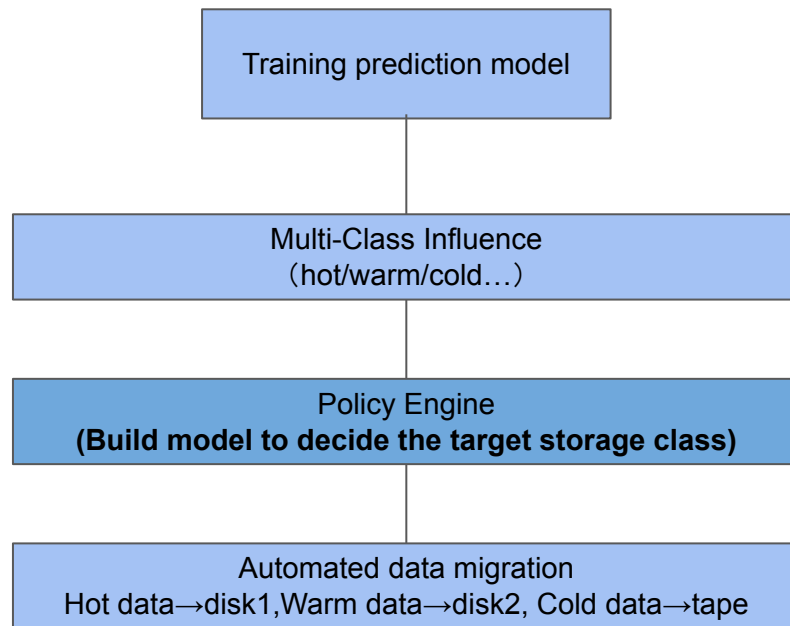
Cold: 3+ months



# Policy engine

- The objective is to propose and evaluate data migration strategies for optimizing data storage
- The input data output(y)=input(x), y contains {hot, warm, cold}
- Build a model to decide the target storage class for data migration
  - Metrics: user response time, load, CPU, disk space, etc
  - Define different weights for the metrics, like  $W^1, W^2, W^3, W^4 \dots W^N, W^1+W^2+W^3+W^4+\dots+W^N=1$

```
WHEN (space_reaches_watermark OR every_x_months):  
  FOR EACH file IN DISK:  
    target_storage_class =  
decide_migration(file, file_attributes, ...)  
    IF target_storage_class:  
      MIGRATE_TO(file, target_storage_class)
```



# Conclusion

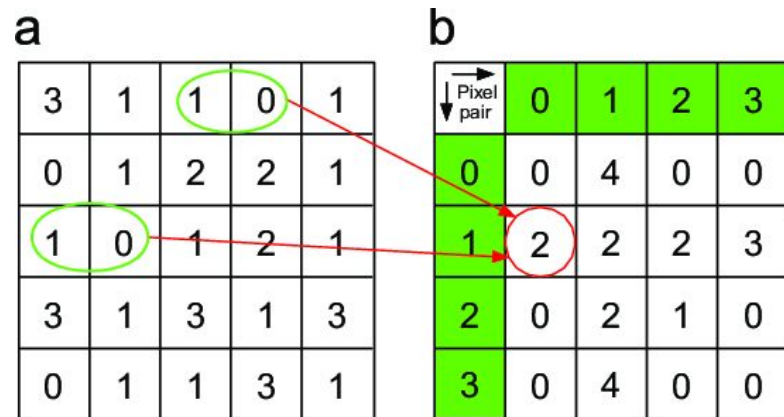
- The exploratory data analysis provides useful patterns for data training
- The accuracy of prediction is up to 91.81%
- The policy engine is designed to optimize the data storage based on the predicted data popularity
- Next steps
  - Policy engine will be tested against current storage
  - Testing model for degradation of accuracy over time
  - XGBoost hyperparameter optimization, allows more customizability for the data
  - Training more data with new labels, like 1 month hot, 1-6 month warm, 6+ month cold, etc
  - Talk with ATLAS physicists for insights to improve the model further
    - Focus on DAOD files; dataset granularity

Thank you!

# Backup

# Exploring Data Correlation

- Since we predict the data popularity in the future, it will be useful to know which files are accessed with each other
  - If one file is accessed, this can push other files to become 'hot' as well.
- Goal: Generate a Co-Occurrence Matrix
  - Visualize which files are accessed with each other.
- For figure on right
  - Each number represents a different file
  - Put all files along each axis
  - Count how many times 1 followed by 0



Example of co-occurrence matrix.

Source: [https://www.researchgate.net/figure/Gray-level-co-occurrence-matrix-calculation-example-For-interpretation-of-the\\_fig5\\_273731213](https://www.researchgate.net/figure/Gray-level-co-occurrence-matrix-calculation-example-For-interpretation-of-the_fig5_273731213)



Brookhaven<sup>™</sup>  
National Laboratory



# Prediction model and results

Model training: 60K

Accuracy: 0.9168333333333333

Classification Report:

		precision	recall	f1-score	support
Cold	0	0.93	0.91	0.92	4014
Warm	1	0.88	0.89	0.89	3963
Hot	2	0.94	0.95	0.94	4023
accuracy				0.92	12000
macro avg		0.92	0.92	0.92	12000
weighted avg		0.92	0.92	0.92	12000

Confusion Matrix:

```
[[3660 306  48]    0
 [ 244 3525 194]    1
 [  52  154 3817]] 2
  0      1      2
```

# Prediction model and results (cont.)

## Top 300,000 access count

Accuracy: 0.9070202901840102

Classification Report:

		precision	recall	f1-score	support
Cold	0	0.88	0.87	0.88	20549
Warm	1	0.99	0.90	0.94	244456
Hot	2	0.60	0.99	0.75	34598
Accuracy				0.91	299603
macro avg		0.82	0.92	0.86	299603
weighted avg		0.94	0.91	0.91	299603

	Counts	Percentage
1	244456	0.815933
2	34598	0.115479
0	20549	0.068587

Confusion Matrix:

```
[[ 17920  2585   44]
 [ 2347 219523 22586]
 [   54   241 34303]]
```

# Prediction model and results (cont.)

Random 1,500,000

Accuracy: 0.9040399862300489

Classification Report:

precision recall f1-score support

0	0.95	0.91	0.93	842949
1	0.90	0.89	0.89	630126
2	0.38	0.95	0.54	25841

accuracy			0.90	1498916
macro avg	0.74	0.92	0.79	1498916
weighted avg	0.92	0.90	0.91	1498916

Counts Percentage

0	842949	0.562372
1	630126	0.420388
2	25841	0.017240

Confusion Matrix:

```
[[770981 60067 11901]
 [ 41767 559450 28909]
 [   249   943 24649]]
```

# Prediction model and results (cont.)

Random 1,500,000(Even # of each type)

Accuracy: 0.90868

Classification Report:

	precision	recall	f1-score	support
0	0.94	0.96	0.95	500000
1	0.84	0.93	0.88	500000
2	0.96	0.84	0.89	500000

Confusion Matrix:

```
[[481229 14049 4722]
 [ 23935 464196 11869]
 [ 8663 73742 417595]]
```

accuracy			0.91	1500000
macro avg	0.91	0.91	0.91	1500000
weighted avg	0.91	0.91	0.91	1500000

# Prediction model and results

Total 6 months data: 23M

Accuracy: 0.9181894871283923

Classification Report:

	precision	recall	f1-score	support
--	-----------	--------	----------	---------

0	0.99	0.92	0.95	18371582
---	------	------	------	----------

1	0.73	0.91	0.81	2699937
---	------	------	------	---------

2	0.69	0.91	0.79	2022799
---	------	------	------	---------

accuracy			0.92	23094318
----------	--	--	------	----------

macro avg	0.80	0.91	0.85	23094318
-----------	------	------	------	----------

weighted avg	0.93	0.92	0.92	23094318
--------------	------	------	------	----------

Confusion Matrix:

[[16903744 787431 680407]

[ 92564 2454124 153249]

[ 48712 126995 1847092]]