



Machine Learning Applications in Particle Accelerators

Presenter: Yuan Gao

Collider-Accelerator Department (C-AD), BNL

2024 RHIC/AGS ANNUAL USERS' MEETING

June 11–14, 2024



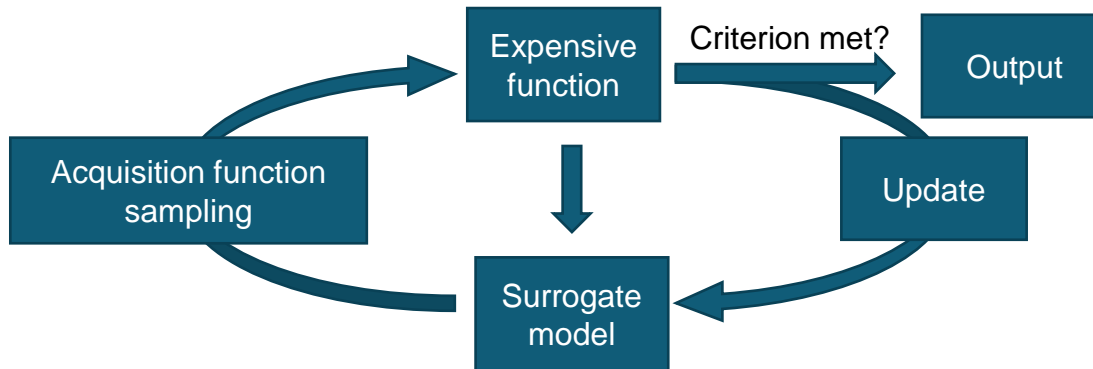
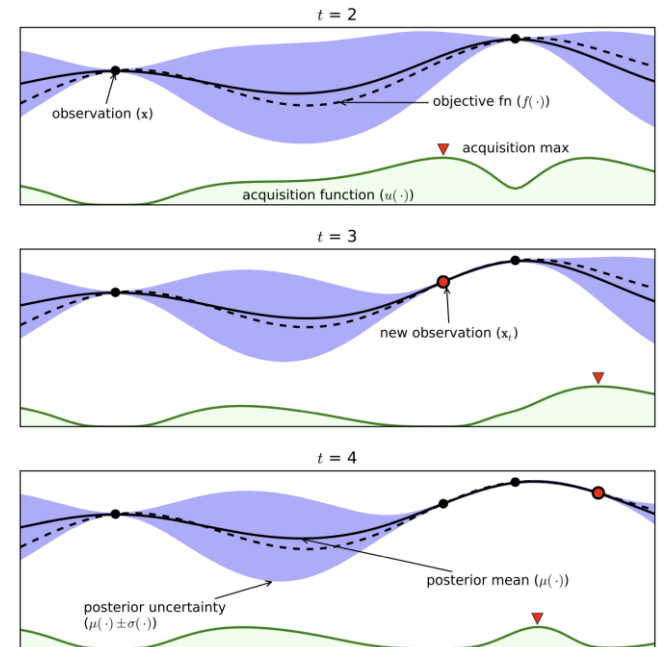
Outlines

- **Bayesian Optimization Applications:**
 - LEReC cooling rate optimization;
 - Calibration of IPM monitor;
 - Booster injection optimization;
 - Xopt overview;
- **Reinforcement Learning Applications**
 - AGS bunch merging;
 - CERN Proton Synchrotron (PS) bunch splitting;
 - Advantage & Limitations;
- **Natural Language Processing:**
 - Enhancing Electronic Logbooks;
 - Controls Interface for a Virtual Assistant;
- **Anomaly Detection**
- **Future Projects: Polarization Optimization**

Bayesian Optimization (BO)

- The goal of BO is to optimize the objective function in the least number of steps; expensive samples;
- Name comes from the famous “Bayes’ theorem”:

$$P(f|\mathcal{D}_{1:t}) \propto P(\mathcal{D}_{1:t}|f)P(f)$$
- Surrogate model, gaussian process, acquisition function;

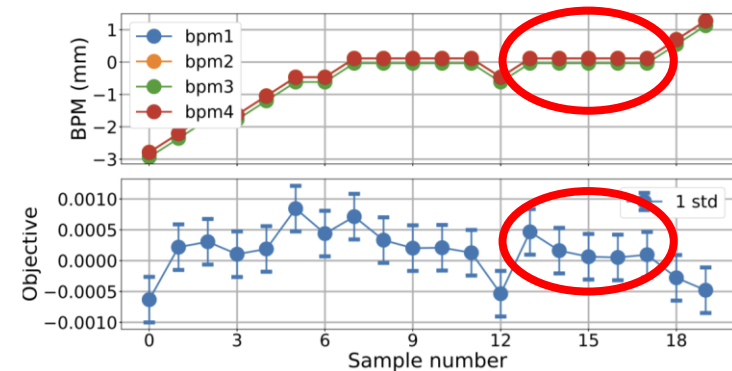
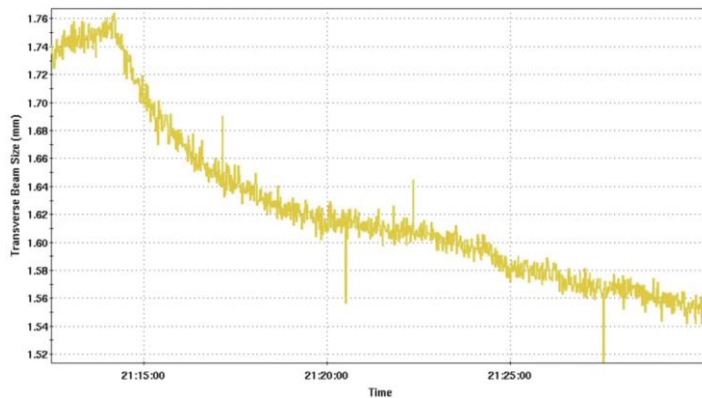
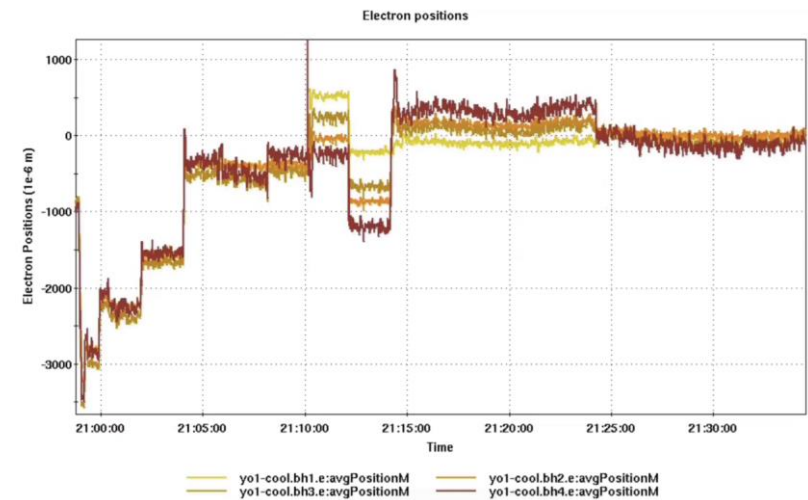
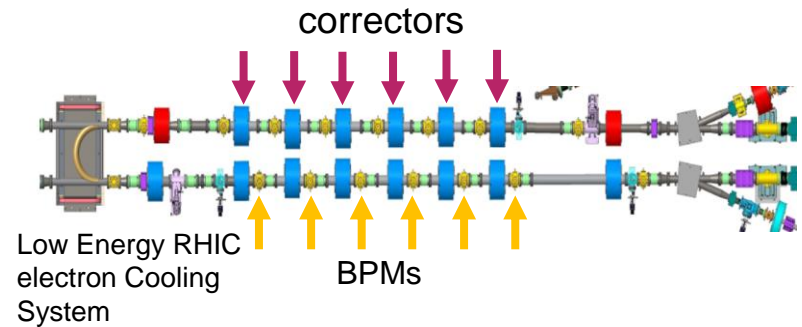


- The acquisition is high where the GP predicts a high objective (exploitation) and where the prediction uncertainty is high (exploration).

$$UCB(\mathbf{x}) = \mu(\mathbf{x}) + \kappa\sigma(x)$$

Optimize LEReC cooling rate

- By steering the electrons in the center position, the ion's cooling rate can be maximized;
- High noise level in the objective;
- In this initial experiment, BO is used to tune electron positions as measured by BPMs; 40 initial samples; converge in 10 steps;



Calibration of IPM Monitor

- Ionization Profile Monitor (IPM) measures transverse profile of the beam:
 - Circulating beam ionizes residual gas in the beampipe;
 - An electric field forces electrons onto a microchannel plate (MCP);
 - Forms a projection of the beam profile;
- Beam width measurement depends on position because of systematic errors in channel gains:
 - Initial channel-to-channel gain variation;
 - Depletion of channel gains due to aging;
 - Time response of the channel to beam signals;
 - Usually addressed with position scans and offline calibrations;

IPM Signal Error Model

$$y_{meas,i} = y_{real,i} * g_{cal,i} g_{err,i} F(\tau_{xfr_delay}, \tau_{channel,i}) + \sigma_{offset,i} + \epsilon_{noise,i}$$

$y_{meas,i}$ = 'as measured' counts for channel i

$y_{real,i}$ = ground truth signal for channel i

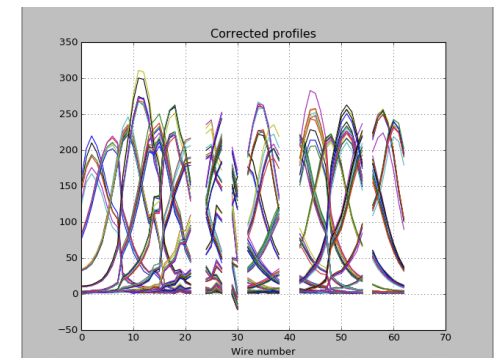
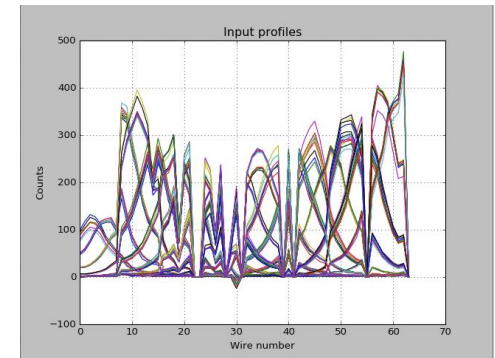
$g_{cal,i}$ = user supplied calibration correction for channel i

$g_{err,i}$ = error in the gain (unknown a priori) for channel i

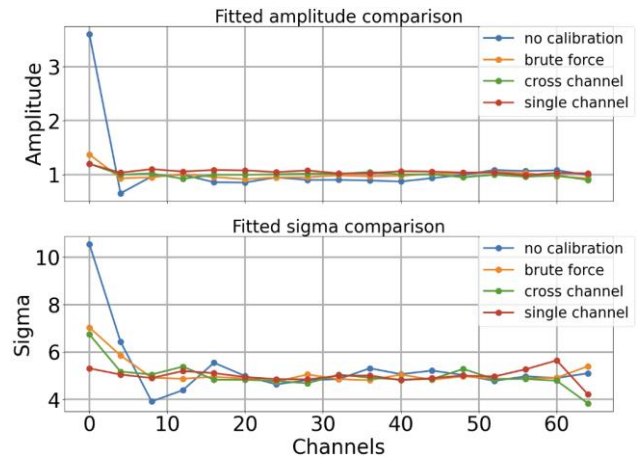
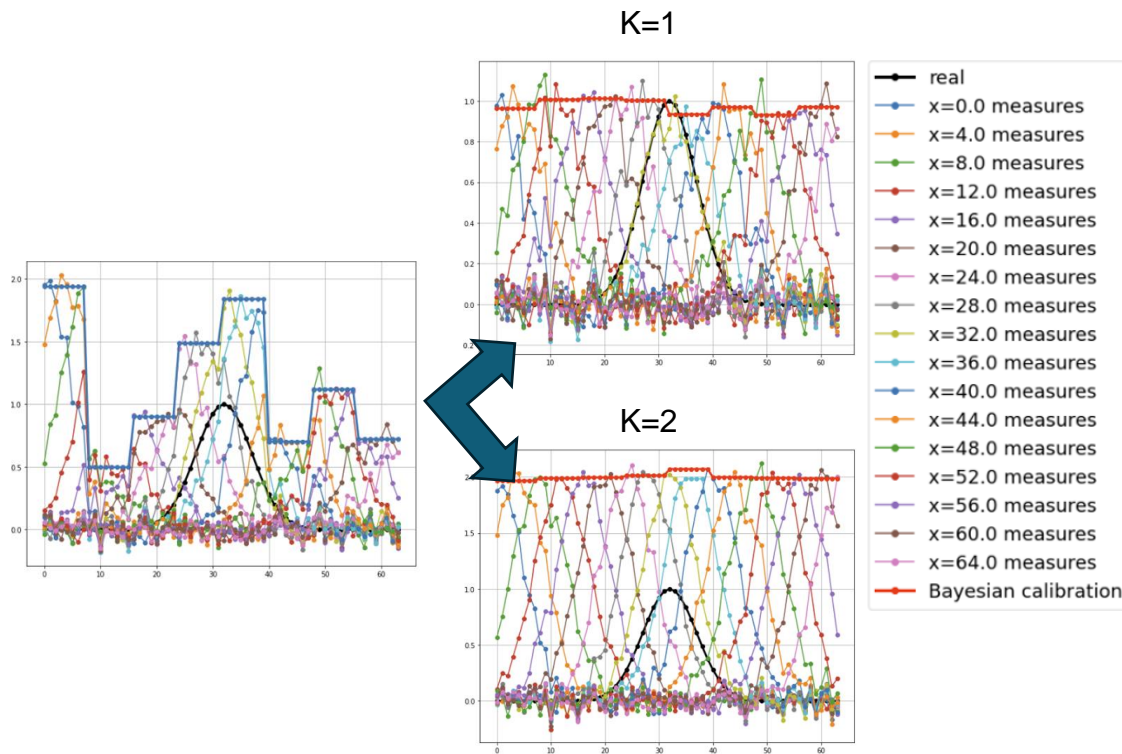
$F(\tau_{xfr_delay}, \tau_{channel,i})$ = time response of the channel to beam signal

$\sigma_{offset,i}$ = offset error for channel i

ϵ_{noise} = normally distributed noise, same sigma for all channels, sampled for each measurement



BO Error Corrections

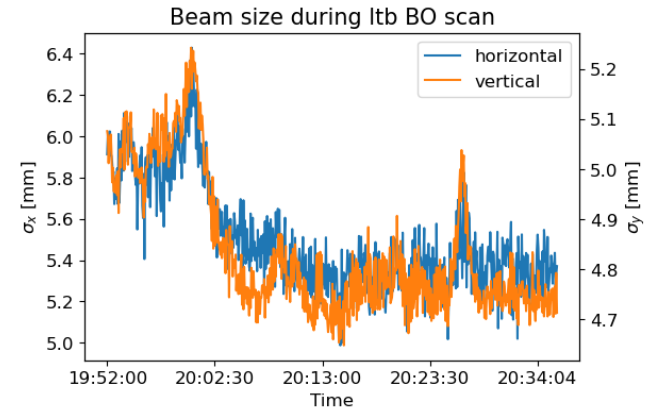


Method		Average	std
No calibration	amplitude	1.09	0.63
	sigma	5.33	1.40
Greedy	amplitude	1.00	0.10
	sigma	5.11	0.55
Cross-channel	amplitude	1.00	0.06
	sigma	4.99	0.54
Single-channel	amplitude	1.06	<u>0.04</u>
	sigma	5.00	<u>0.28</u>

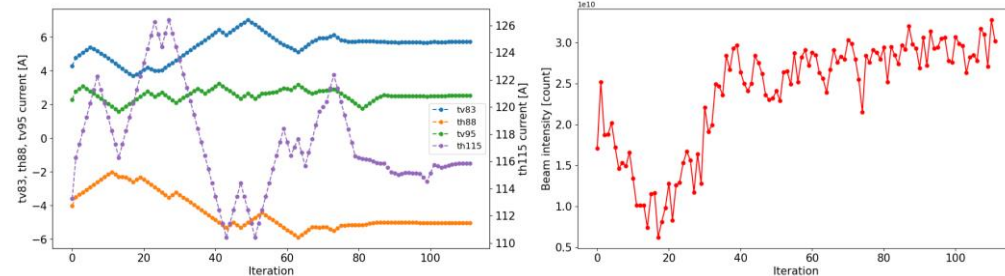
- Parallelized optimization; Monte-Carlo (MC) q-EI acquisition function;
- The algorithm can correct systematic errors efficiently;

Booster Injection Optimization

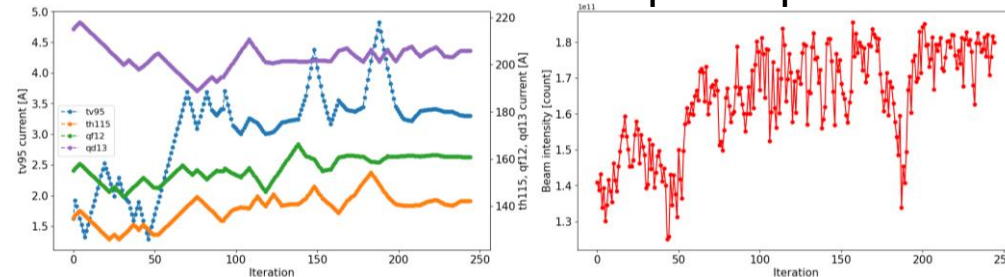
- Controls:
 - 4 correctors; 7pm – 9pm;
 - 2 correctors + 2 quadrupoles; 7pm – 9pm;
- Goal: Maximize beam intensity
- Xopt, Trust Region BO;
- 4 correctors case converges with 120 samples (20-25 min);



4 correctors

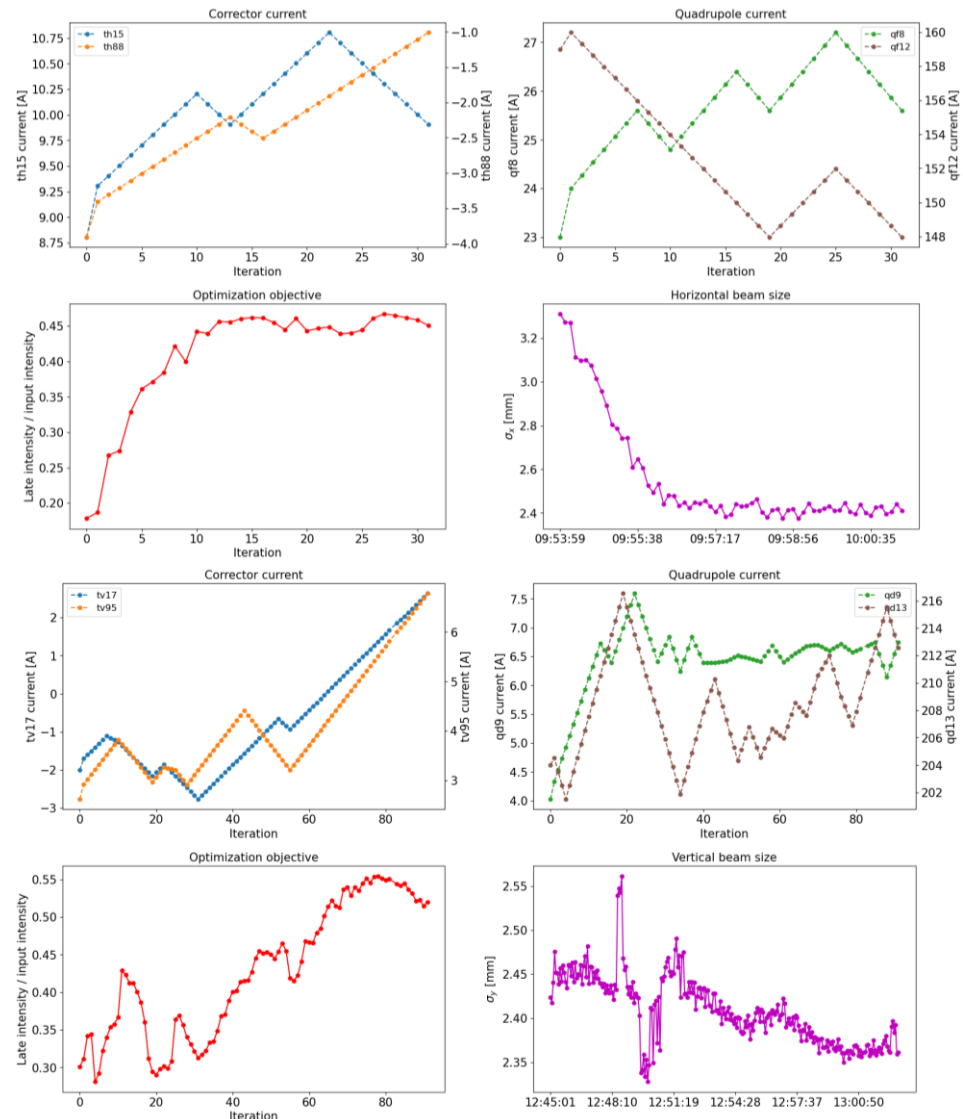


2 correctors + 2 quadrupoles



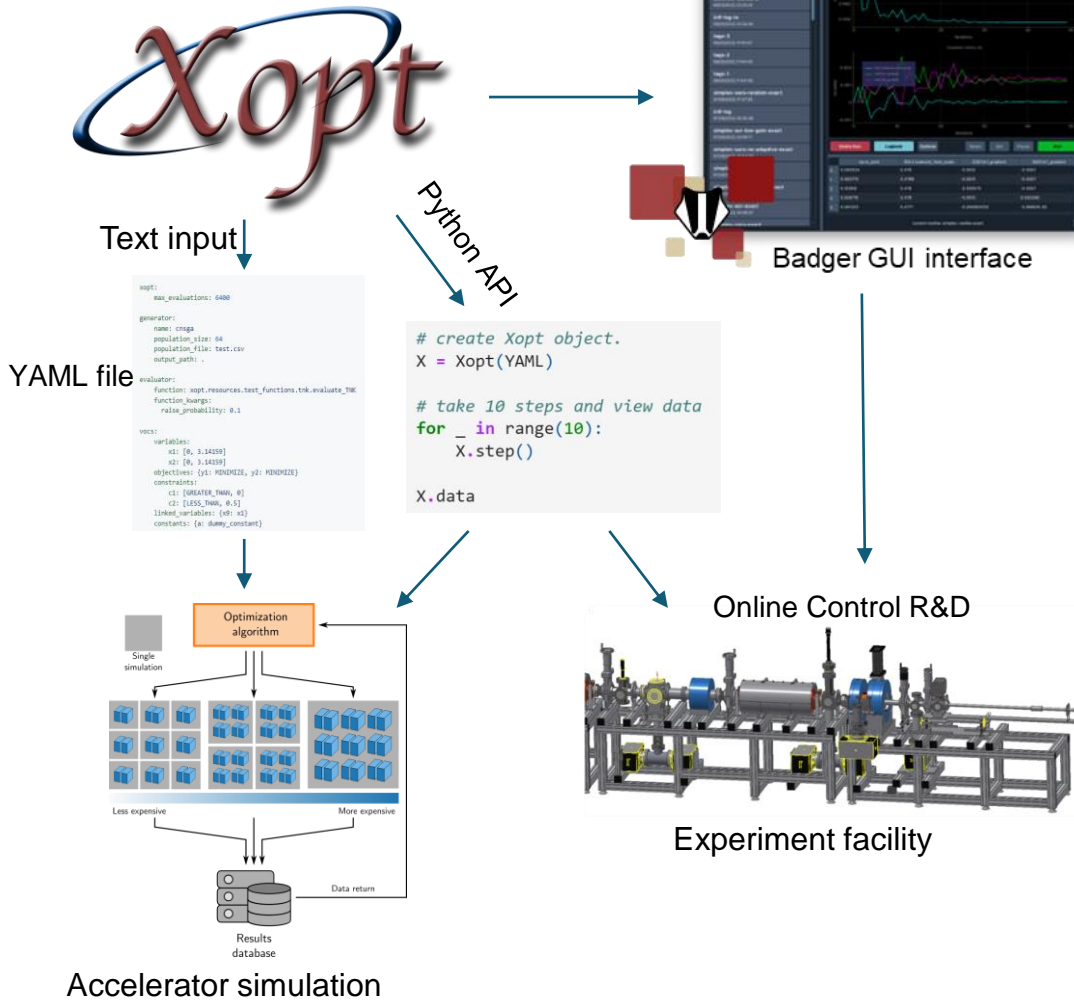
Horizontal and Vertical

- Controls:
 - 2 H correctors + 2 H quads;
9:30am – 10am;
 - 2 V correctors + 2 V quads;
12:30pm – 1pm;
- Goals: Maximize Booster late intensity / input intensity
- Degeneracy problem: objective value converges but input values don't;



Xopt Overview

<https://christophermayes.github.io/Xopt/>



Xopt implements a number of different algorithms:

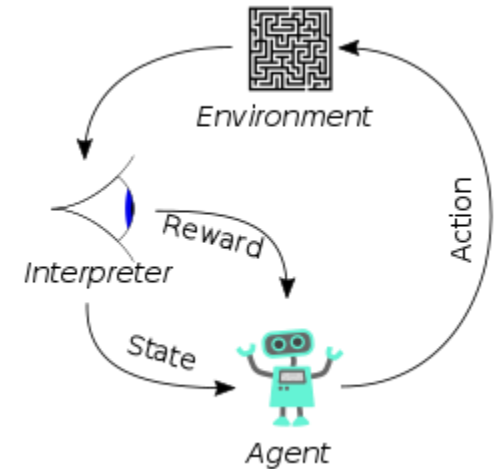
- Various Bayesian optimizations:
 - Single/Multi-objective BO, Trust Region BO, Bayesian Algorithm Execution, custom model priors, etc.
- Genetic optimization (CNSGA), RCDS, Nelder-Mead Simplex, Extremum seeking;

Reinforcement Learning

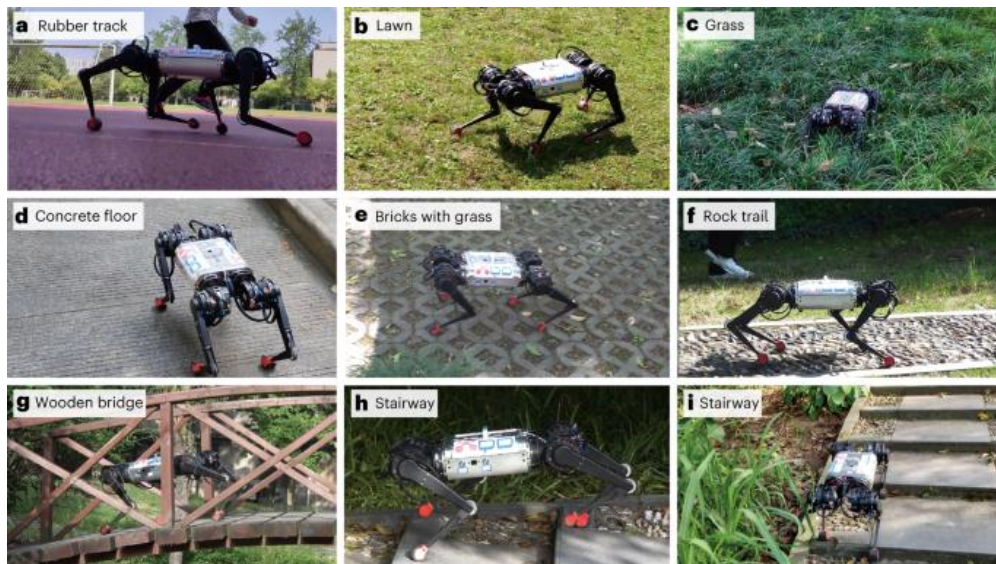
Problems involving an **agent** interacting with an **environment**, which provides numeric **rewards**

Goal: Learn how to take actions in order to maximize reward

Markov property: Current state completely characterizes the state of the world

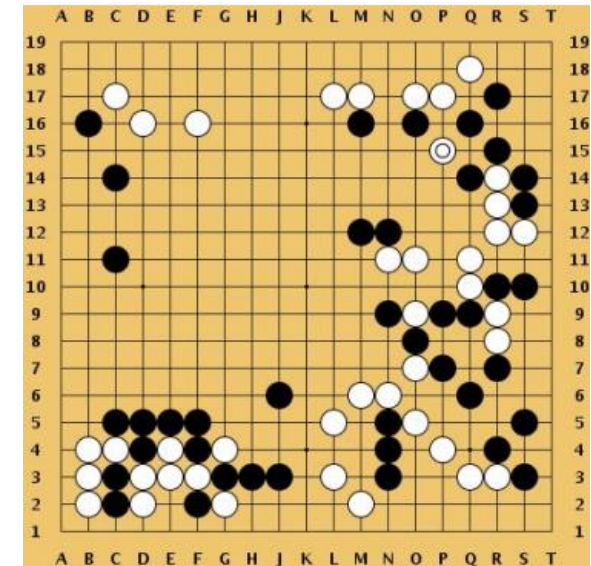


Atari Games



Robot Locomotion

Go



Designing a good reward function can be hard

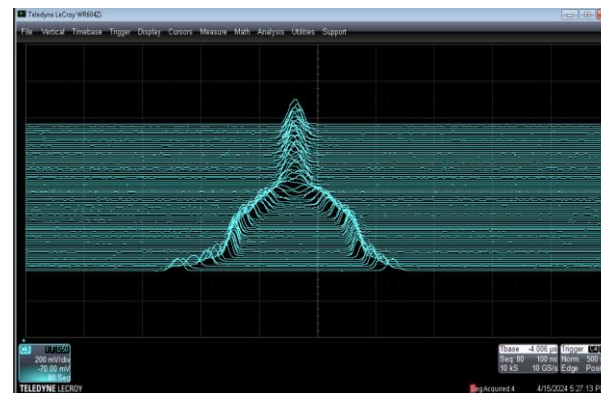
- Recall that the reward function is the only incentive for the agent to learn;
- Designing a reward function is sometimes straightforward, but can get hard:
 - Complicate objectives;
 - Sparse or delayed rewards;
- Several ways to improve the reward function:
 - Learning from demonstrations:
 - Learn the policy directly (imitation learning)
 - Learn the reward function first, then learn the policy (IRL)
 - Incorporate human feedback (in an interactive manner);
 - Curriculum learning;
 - Transfer learning;
 - Reward shaping:
 - Incorporate domain knowledge;
 - Potential-based reward shaping, can slow down learning if not design well;



Reward design can go wrong

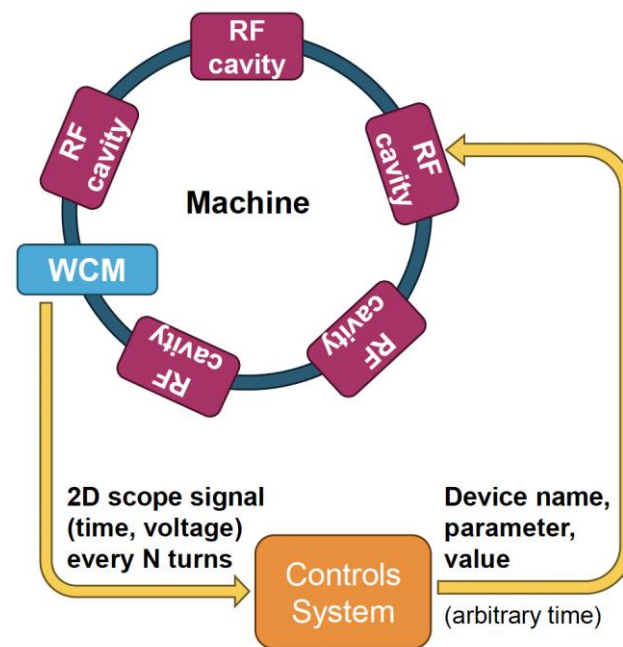
AGS Bunch Merging

- Before transferring to AGS, beam bunch is split into 2 longitudinally to reduce the space charge effect
-> reduce emittance -> improve polarization
- Bunches are later merged before AGS extraction;
- Requires expert tuning of many parameters:
 - Prone to drift over time;
 - Time consuming;
- Controls: RF voltages, phases
- Goal: Obtain a “good” merged bunch profile:
 - Emittance preservation:
 - No particle lost;
 - Gaussian shape;
 - No “baby” bunches;
 - Stable final bunch profile:
 - Not shifting left to right;
 - Not bouncing up and down;
 - Merged in the center;



Real mountain range data showing 2-to-1 bunch merge in AGS

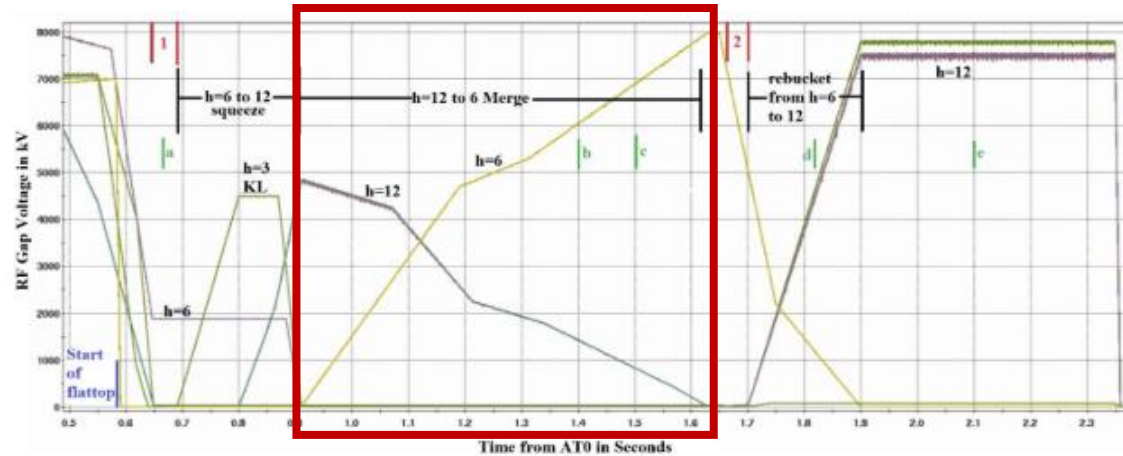
Wall current monitor (WCM) generates voltage vs time signal. Each separated in time by N turns (N accelerator periods)



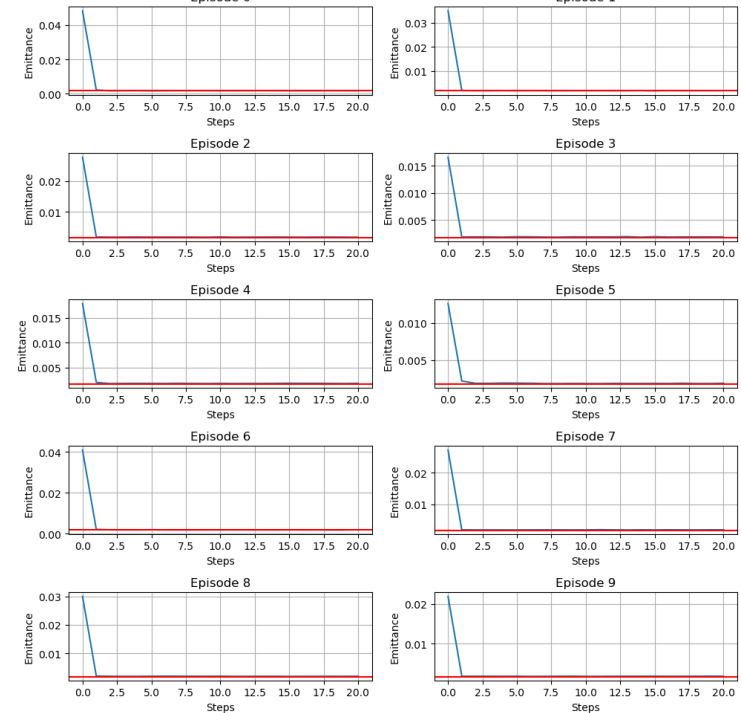
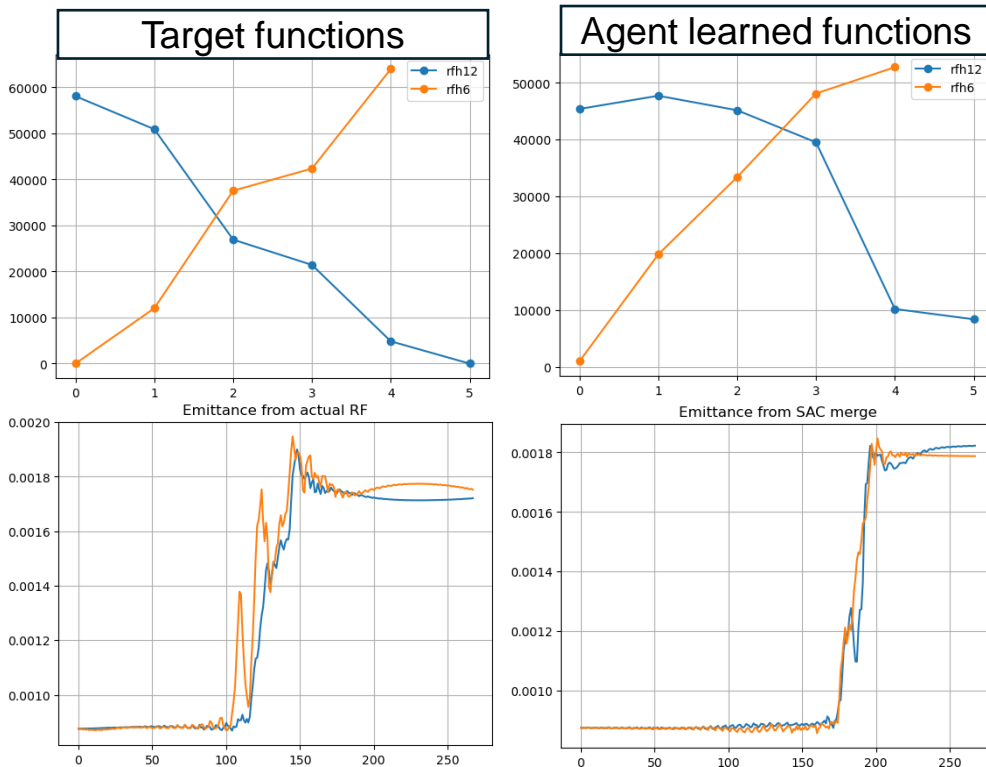
Cartoon representation of accelerator with WCM, RF cavities (arbitrary number), and input/output

Simulation Results

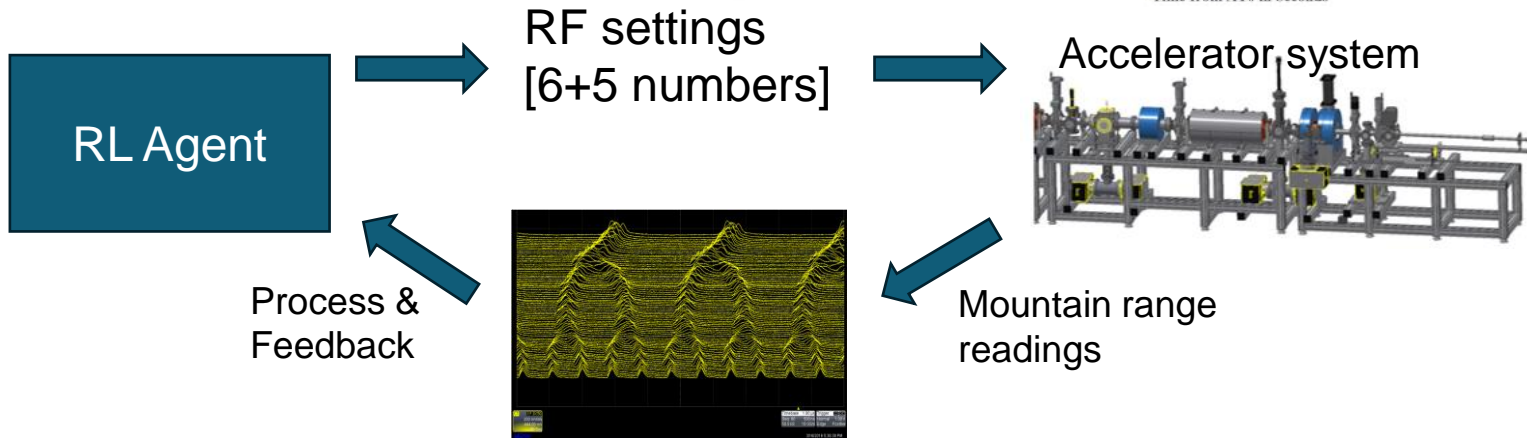
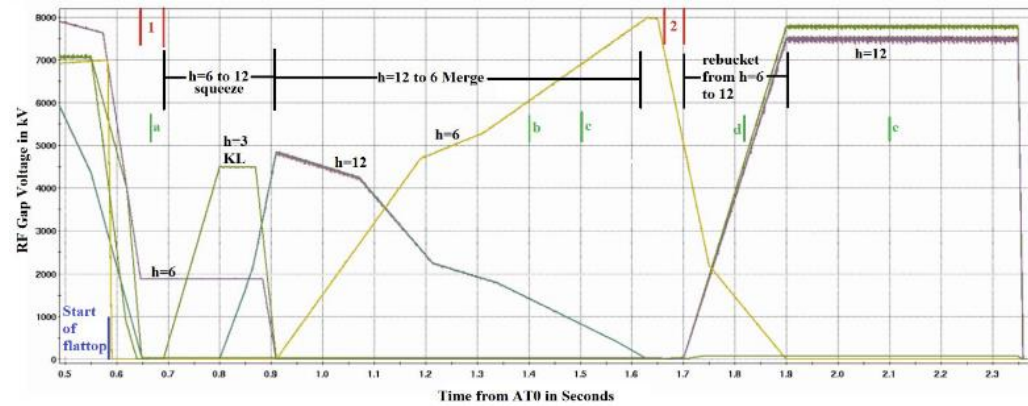
- Bmad simulator; Speed problem; Mountain range plots;
- SAC agent: 10,000 initial samples + 4,000 training steps;
- 3% emittance growth;



Fast tuning on test episodes



Workflow



- Actual system considerations:
 - How good is the simulator? How to get enough training data (random sampling)?
 - Safety constraints; Setting step size;
 - Log historical merge data;
- Data pipeline to process the mountain range plots data:
 - Scope settings;
 - Emittance; area of curve;
 - Center oscillations; number of traces;
 - Bunch center positions;

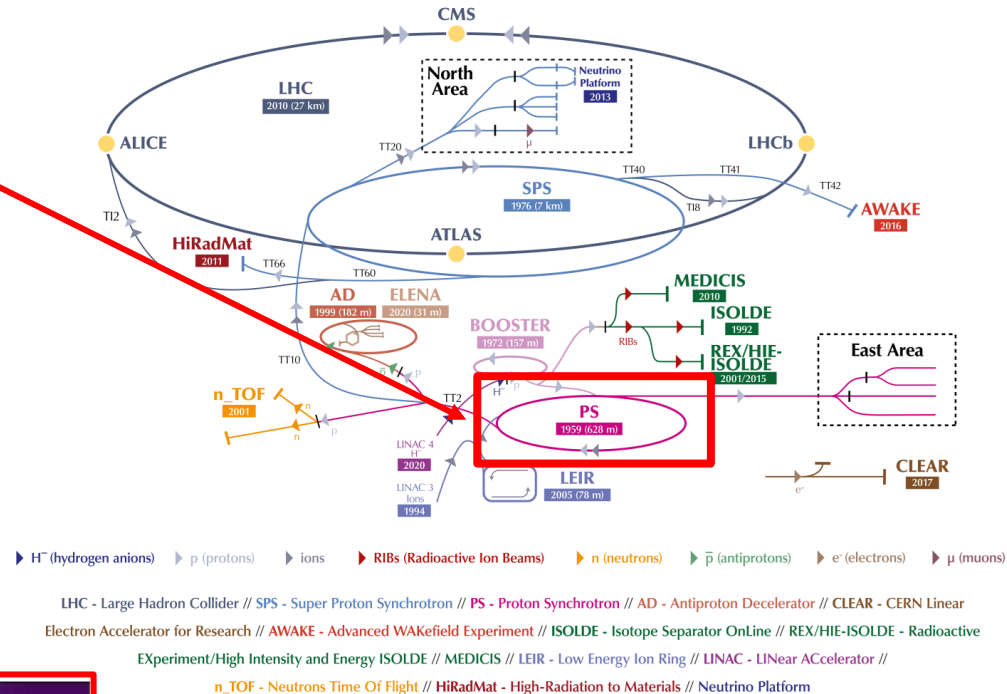
System Side Preparations

- We can now do a basic demonstration code to acquire input signals from wall current monitors (offloading oscilloscope data) and show results in a Jupyter notebook.
- We have a Zynq Ultrascale FPGA evaluation board and an FMC expansion card to digitize the WCM signals:
 - 12-bit conversion at 1,000 Megasamples per second, with an analog range of $\pm 2.5V$
- Next steps after this is completed:
 - Evaluating multiple commercially available digitizer products for performance comparison to FPGA-based system;
 - Work on buffer memory implementation (to store multiple turns);
 - Work on the hardware configurations to match the actual system specifications;

Bunch Manipulations in the CERN PS¹

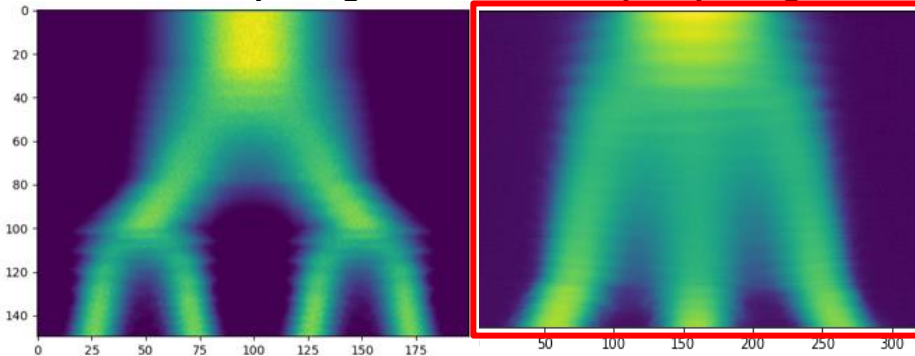
- A complex consisting a cascade of four separate accelerators before injection.
 - The nominal bunch spacing of 25 ns is created in the PS through RF manipulations;
 - Those manipulations need to be carefully optimized;
 - The relevant parameters are the **RF amplitude** and **phase**;
- Current tuning relies on operators, which takes time and suffers performance lost due to qualitative judgement errors.

The CERN accelerator complex
Complexe des accélérateurs du CERN



Quad. splitting

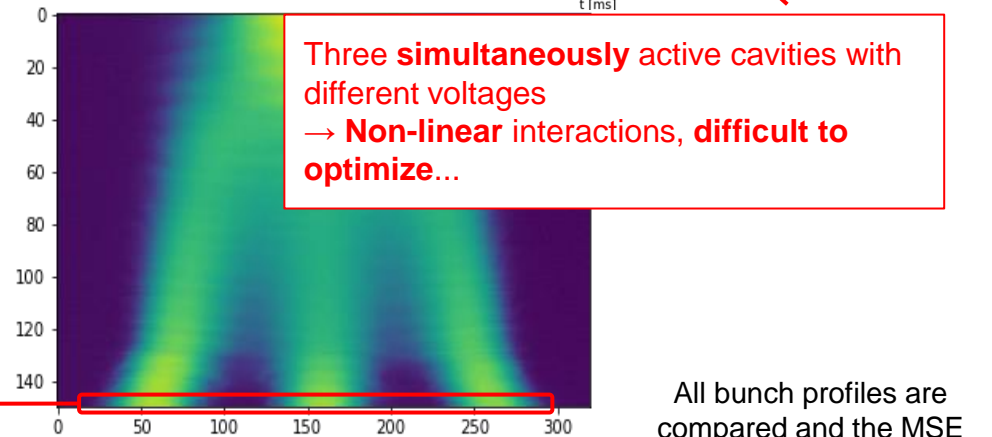
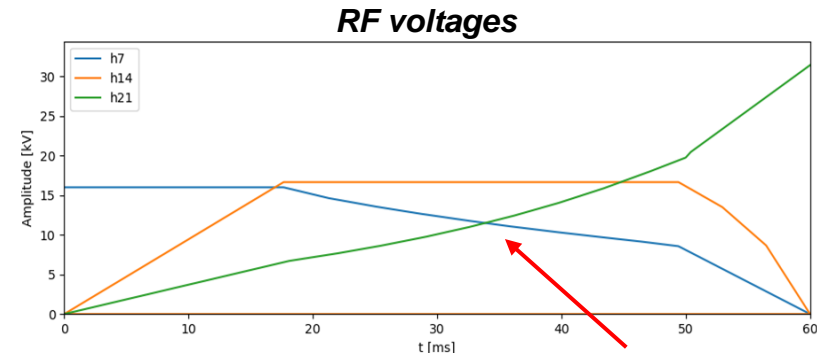
Triple splitting



Results for both cases are promising
Triple splitting is used as an illustration

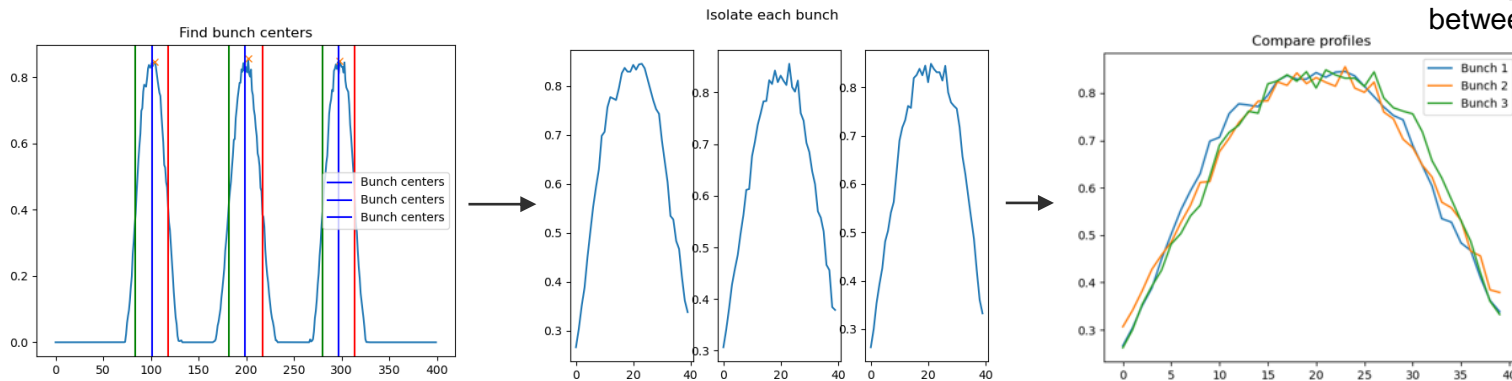
Triple Splitting: Parameters and Objectives

- Three main parameters to optimize:
 - Phases and voltages
 Φ_{14} , Φ_{21} , and V_{14}
- Objectives:
 - Final bunch profile observables: **bunch width & intensity**, equal after splitting;
 - Quality measured through Mean Square Error (MSE) between bunches after splitting.



The final profile after the triple split is extracted

All bunch profiles are compared and the MSE between them is calculated

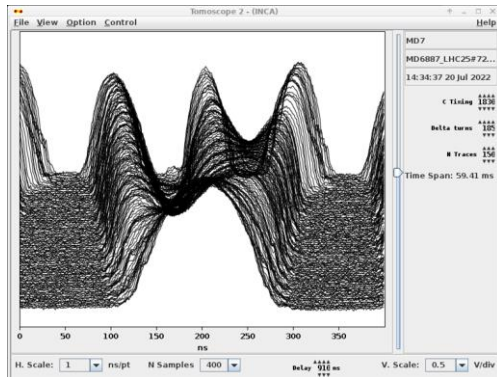


Segmented RL and Machine Test Results

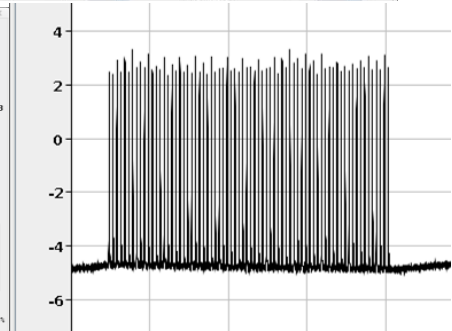
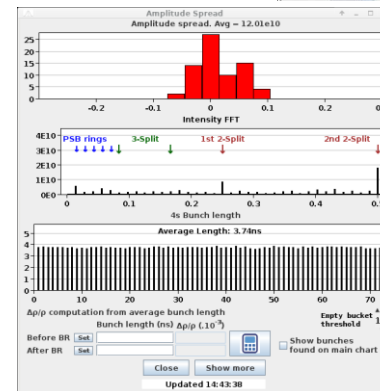
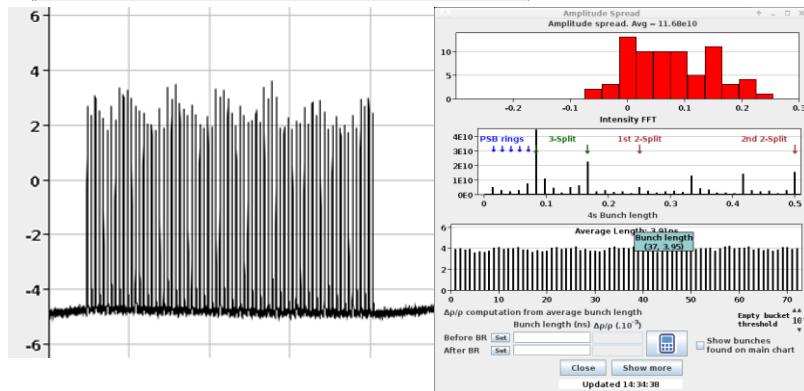
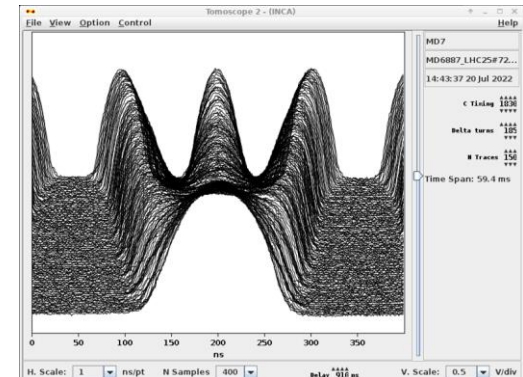
Init

Example episode

Final



Phase opt. steps: 3
 Volt opt. steps: 4
 Total iterations required: 7



- Segmented SAC agent: Phase → Voltage;
- Bootstrapping from a feature extractor built by CNN to leverage more information from the tomoscope structure.
- The extracted information will put the RL agents in a decent place to start the optimization.

Pros & Cons of RL Approaches

Advantages:

- It can better handle giant search space:
 - AlphaGo essentially has search space of all permutations in the Go Game;
 - Accelerator control tasks may involve a large number of control points;
- Learning can be swiftly transferred or adapted to novel scenarios:
 - What RL learned is stored by the model weights in the Neural Networks; transfer learning
- Online decision-making:
 - After training, RL can be applied in an online manner;

Limitations:

- Training requires lots of data, usually need a high-fidelity simulator;
- Not easy to make it work;
- Problem should be frameable as an MDP (or partial):
 - All decisions are based on the current state of the world;

Enhancing Electronic Logbooks Using Machine Learning*

- Natural Language Processing techniques are applied to all user entries in the elog database
 - Processing data, removing stop words, lemmatizing
- Doc2Vec and Multinomial Naïve Bayes
- Web Based Search Engine
 - Connected to the model class

Service, building, and equipment tour complete.



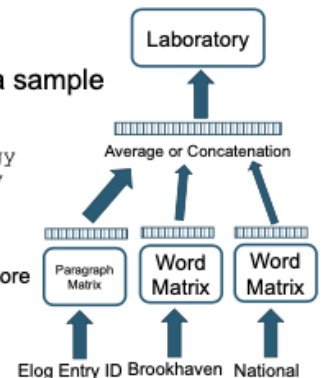
[service, building, equipment, tour, complete]

Doc2Vec Model

Paragraph vectors predict the next word given a sample of words from the text.

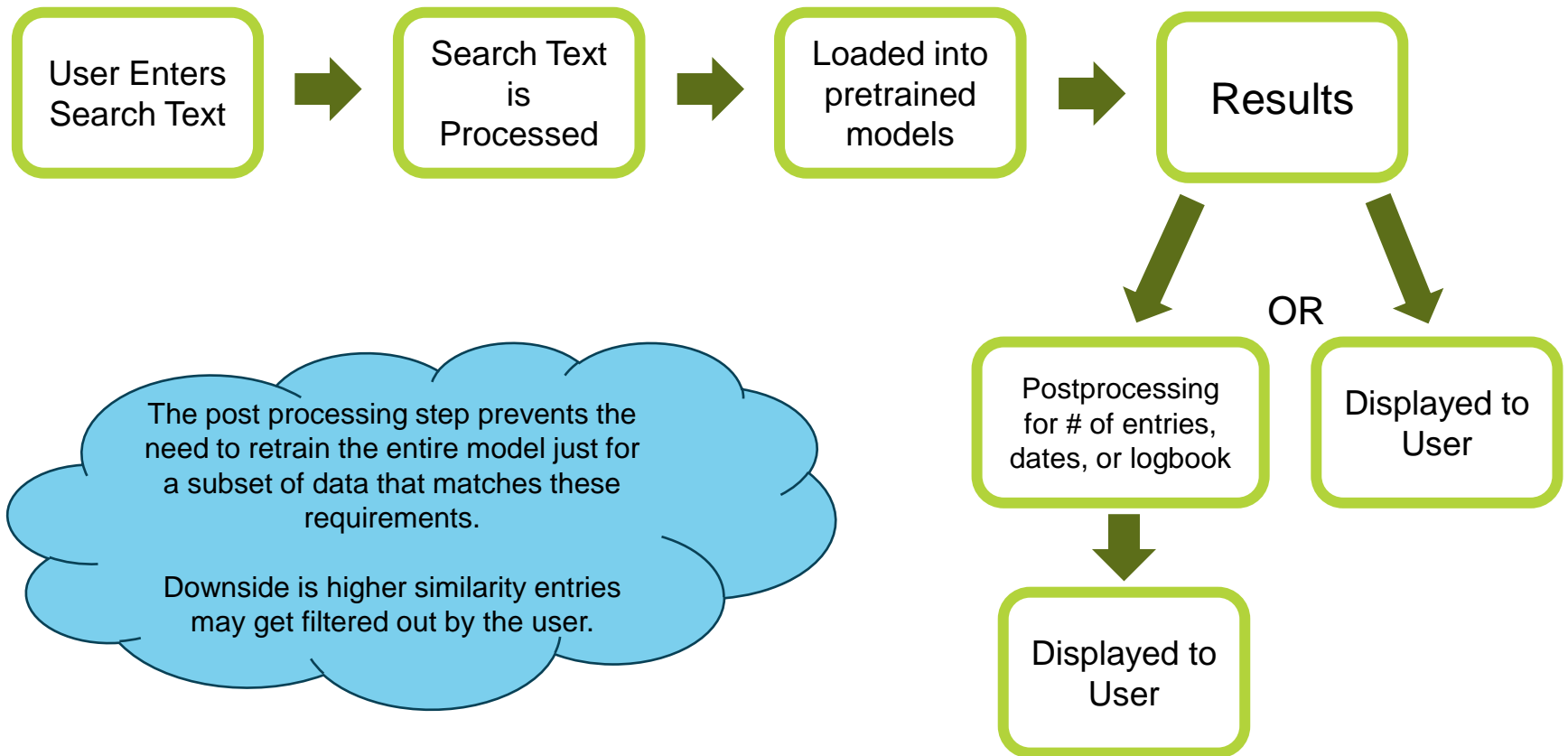
polarization for yellow 2h target1 store energy
before physics declared yellow beam intensity

1. Yellow 1 V6 Polarization: -51.53 6.05% Yellow 2 H6
Polarization: -51.28 10.83%
2. Polarization For Yellow 1 V Target2: 51.44 &plus mn 1.94 Store
Energy (254.21) Before Physics Declared, Yellow Beam
Intensity: 208.3x10¹¹
3. Yellow 1 V5 Polarization: -56.67 4.87% Yellow 2 H5
Polarization: -59.46 6.09%



*jmalonad@bnl.gov

Web Interface Workflow



Web Interface

- User's can search by elog and date;
- Filter number of responses;
- The current elog system will be evaluated for EIC to see if this functionality will be added to the elog or another tool;

Search Text:
Number of Results (optional):
Filter by Date:
Start Date:
End Date:

Select multiple logbooks:

Search Results

Date: 03/16/2022, **Author:** opserver, **Elog:** RHIC, **Similarity:** 67.27%

Contents:

GammaJump RF Blue and Yellow

Link: [Click here](#)

Date: 01/21/2022, **Author:** opserver, **Elog:** RHIC, **Similarity:** 67.15%

Contents:

GammaJump RF Blue and Yellow

Link: [Click here](#)

Date: 03/09/2022, **Author:** blackler, **Elog:** RHIC, **Similarity:** 66.90%

Contents:

Blue and yellow.

Link: [Click here](#)

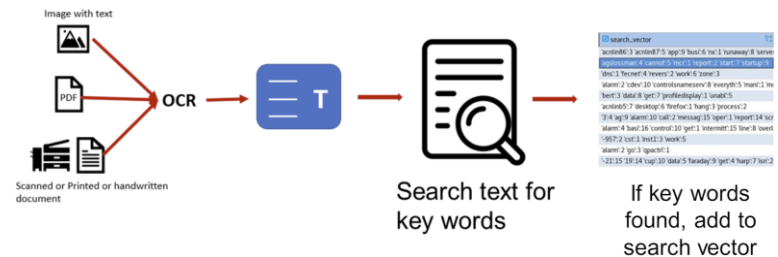
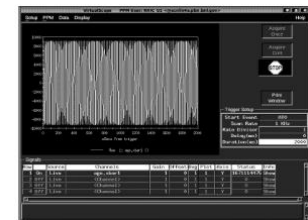
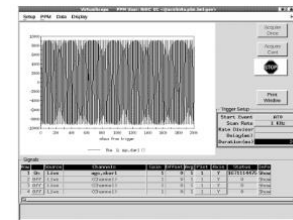
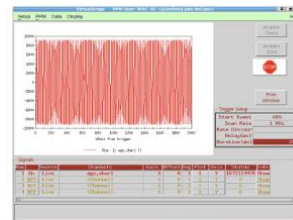
What's Next?

- Link this demo webpage into the elog system to allow users to test thoroughly
 - Currently live on apps.pbn.bnl.gov/SearchElog
- Then decide how to implement directly into the elog (or another tool)
- Develop new ML tools to help with faster model deployment
- Summer Intern Jennipher Day
 - Reinforcement Learning model development for improved search tasks

Controls Interface for a Virtual Assistant (CIVA)*

- There are many resources to use to troubleshoot an issue: eLogs, documents, monitoring apps, databases, alarm system, etc.
- CIVA consolidates information from various resources into a central repository;
- Search vectors for words; Image pre-processing + OCR;

abc subject	abc description	abc work_group	abc reported_by	search_vector
NX servers acnlin8	Michiko Minty sent this	System Administration	INSTRUMENTATION	'acnlin86:3 'acnlin87:5 'app:9 'busi:6 'hx:1 'runaway:8 'serve
MCR reported that	Looked into the proble	Application	William Jackson	'agslossman:4 'cannot:5 'mcr:1 'report:2 'start:7 'startup:9
DNS Reverse Zone	DNS servers could not	System Administration	Roger Katz	'dns:1 'fecnet:4 'revers:2 'work:6 'zone:3
Many alarms in MC	Tried to start servers -	System Administration	Nicholas Kling	'alarm:2 'cdev:10 'controlsnameserv:8 'everyth:5 'mani:1 'm
profileDisplay and I	Two calls came in on di	Application	Nicholas Kling	'bert:3 'data:8 'get:7 'profiledisplay:1 'unabl:5
firefox process han	this has happened seve	System Administration	John Morris	'acnlin5:7 'desktop:6 'firefox:1 'hang:3 'process:2
Operations called t	fit indicated that a rese	FEC	Seth Nemesure	'3:4 'ag:9 'alarm:10 'call:2 'messag:15 'oper:1 'report:14 'scr
Getting "Trigger Ov	I don't think that I can r	FEC	David Maffei	'alarm:4 'bas:16 'control:10 'get:1 'intermitt:15 'line:8 'overl
CST-957-INST1 no	CST-957-INST1 was re	FEC	John Morris	'-957:2 'cst:1 'inst1:3 'work:5
qpaCtrl alarm goin	qpaFanFaultAlarmer pu	FEC	Don Bruno	'alarm:2 'go:3 'qpactrl:1
Tandem isn't gettin	Data for all of the affec	Hardware	unassigned	'-21:15 '19:14 'cup:10 'data:5 'faraday:9 'get:4 'harp:7 'tsn:2



*kabir@bnl.gov

Multiple GUI Supports

Web GUI

CAD Controls Search Persons Places Applications Managers ADOs Pkt Tree Gpm Tree LogView Tree Trouble Logs Elogs

Search for Servers (Managers)

simpleMan

Name	Server Class	Host	Function	Location	Responsible Person	Status
am_simpleMan_0	Manager	cscompile01	test of ampy and cad	911	Andrei Sukhanov	development
am_simpleMan_1	Manager	cscompile01	test of ampy and cad	911	Andrei Sukhanov	development
am_simpleMan_pi003	Manager	acrp1003	test of ampy on RPI	1005E	Andrei Sukhanov	development
am_simpleMan_pi02	Manager	acrp1002	test of ampy on RPI	1005E	Andrei Sukhanov	development
am_simpleMan_pi07	Manager	acrp1007	test of ampy on RPI	930	Andrei Sukhanov	development
am_simpleMan_test	Manager	acrlne23	test of ampy and cad	911	Andrei Sukhanov	development
refsimpleMan	Manager	acrlne1	simpleMan		NONE	development
simpleMan	Manager	views	test		Seth Nemesure	development

Next step:

- Natural Language Querying using generative AI;
- Adding more data sources;

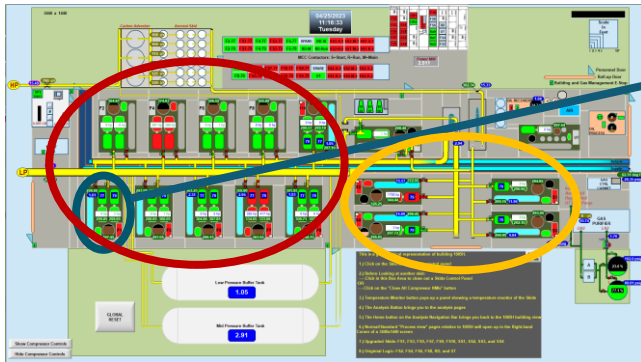
Desktop GUI

Filter: ADO | simple.test | Speak

	name	adoClass	srvName	systemName	ring	location
1	am_simple.test	am_simple	am_simpleMan_test	am_simple.test	n	
2	arrayReference.test	arrayReference	mcrman_test	arrayReference.test	n	911
3	csRdPlcWr.test	csRdPlcWr	agsRPlcABMan.test2	peggyTest.10	n	
4	epsCtrl.nsr1	epsCtrl	epsManNSRL	epsCtrl.nsr1	n	957
5	epsCtrl.test	epsCtrl	epsManTest	epsCtrl.test	n	911
6	genPid.test.1	genPid	genPidManTest	genPid.test.1	n	911
7	gpmIntlk.EPS_Test	gpmIntlk	GpmMan.EPS_Test	gpmIntlk.EPS_Test	n	
8	gpm.simple.test.sys5:sinM	gpmCell	GpmMan.SethTest1	gpm.simple.test.sys5:sinM	n	
9	linacSourceVacControlTest	gpmSlowFeedback	linacSourceVacMonTest	linacSourceVacControlTest	n	911
10	permit.watch.A	gpmwatch	permitWatch	permit.watch.A	n	
11	permit.watch.AGSBeamLoss	gpmwatch	permitWatch	permit.watch.AGSBeamLoss	n	
12	permit.watch.AGSVAC	gpmwatch	permitWatch	permit.watch.AGSVAC	n	
13	permit.watch.AJ10	gpmwatch	permitWatch	permit.watch.AJ10	n	
14	permit.watch.B	gpmwatch	permitWatch	permit.watch.B	n	
15	permit.watch.BSTVAC	gpmwatch	permitWatch	permit.watch.BSTVAC	n	
16	permit.watch.J7	gpmwatch	permitWatch	permit.watch.J7	n	
17	reflective.sam	reflective	ReflectiveManSam	reflective.sam	n	
18	shield_simple.test	shield	shieldMan_simple.test	shield_simple.test	n	
19	signalMonitor.simple	signalMonitor	irControlMan	signalMonitor.simple	n	
20	simple.test	simple	simpleMan	simple.test.sys5	n	
21	simple.test2	simple	simpleMan2	simple2.test	n	
22	simple.test3	simple	refMan	simple.test3	n	
23	simple.test4	simple	simpleMan4	simple.test4	n	

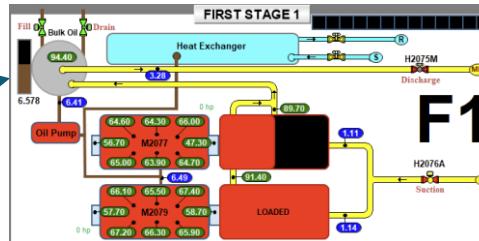


Anomaly Detection on the RHIC Cryogenics System



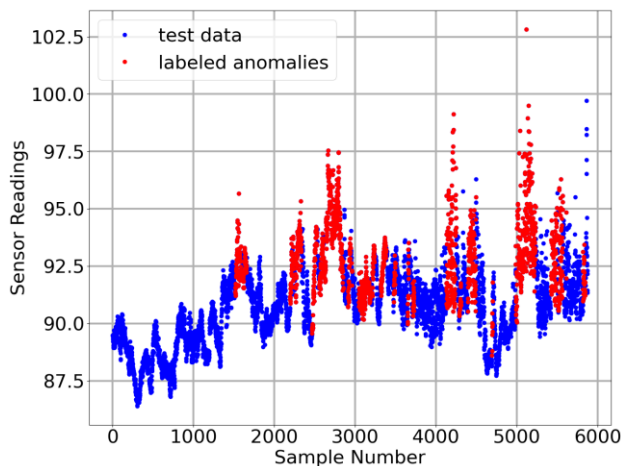
10 first stage compressors

4 second stage compressors



Our focus is one set/pair of the First Stage Compressors: FS1

We focus on 26 analog sensors for a first-stage compressor: 19 Temperature (TT), 5 Pressure (PT), 2 horsepower (M77, M79) sensors.



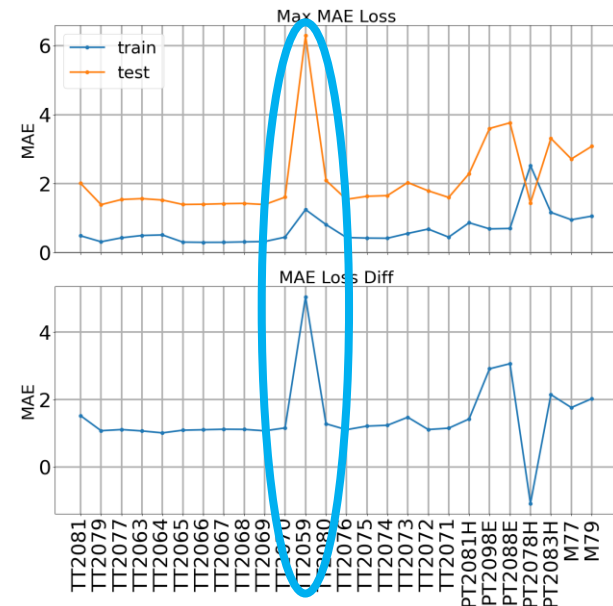
- The compressor has a documented trip which happened on Apr. 7th, 2022, due to the discharge temperature sensor TT2059 interlocking the FS1 compressor after it breached a high limit of 125 degrees C for 3 seconds. Technicians found a loose crimp on the sensor, and the compressor was returned to service after repairs.
- The LSTM autoencoder was trained on data from Jan. 15th to Mar. 5th, 2022, and tested on data from Mar. 6th to Apr. 5th, 2022, to see if it is able to detect any anomaly precursors.
- Anomaly detection on sensor TT2059 demonstrates the LSTM autoencoder is able to detect early precursors so proactive actions can be taken to prevent machine failure.

Latent Space Data Analysis

Latent space visualization for each variable



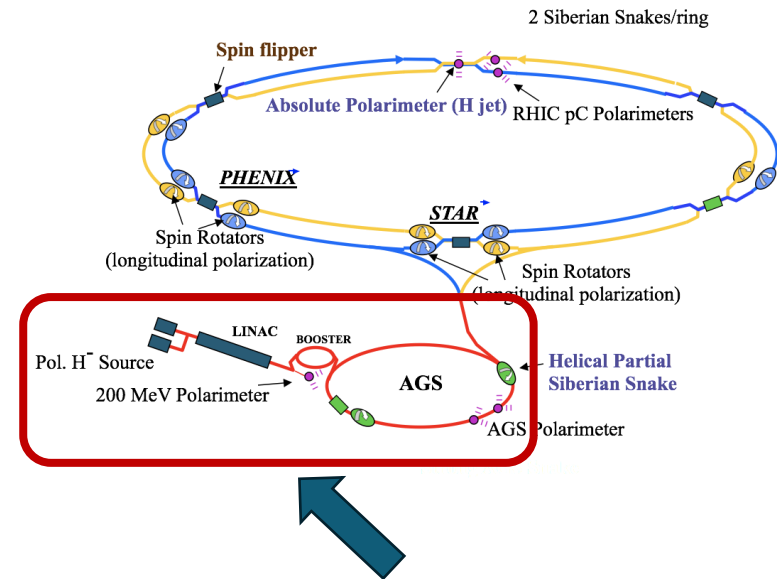
1. TT2059 has a different pattern with other TT temperature sensors;
2. PT2078H and PT2083H don't have obvious data patterns, can be omitted for analysis;
3. The sensor TT2059, which is the actual cause of the machine trip, gets the highest error value.



Future Projects: Polarization Optimization

Loss in polarization along the chain

	Max Energy [GeV]	Pol. at Max Energy [%]
Source + Linac	1.1	82-84
Booster	2.5	~80-84
AGS	23.8	67-70
RHIC	255	55-60



Focus on the injector compound
for RHIC and future EIC

- Maximize beam polarization:
 1. Preserve beam density;
 2. Synchronize accelerator components at depolarizing resonance crossings;
 3. Minimize depolarizing resonance strengths;
- Goal is to increase polarization by 5%;

Several places to maximize polarization

- Booster injection optimization:
 - The main objectives for optimization of the Booster injection and capture process are to maximize the intensity and minimize the transverse and longitudinal emittances.
- AGS bunch splitting and merging:
 - Optimization of these processes is currently done by expert “by eye”;
 - A ML approach would help to optimize and maintain relevant outputs (longitudinal emittance and intensity) and free up valuable expert resources.
- AGS Energy vs. Time calibration;
- Depolarizing resonance strength;

AGS Energy vs. Time Calibration

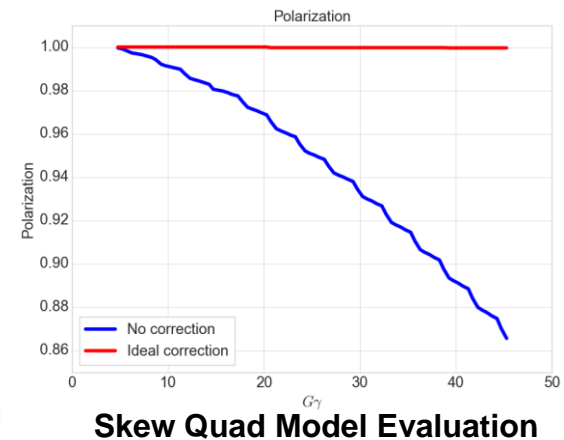
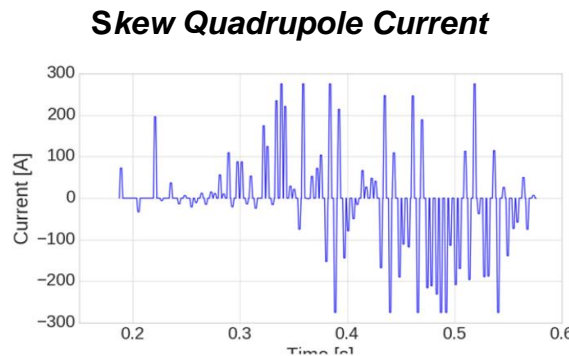
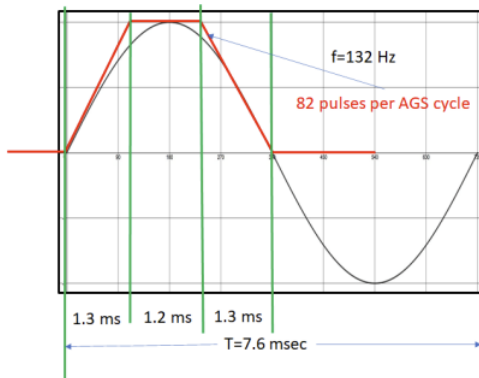
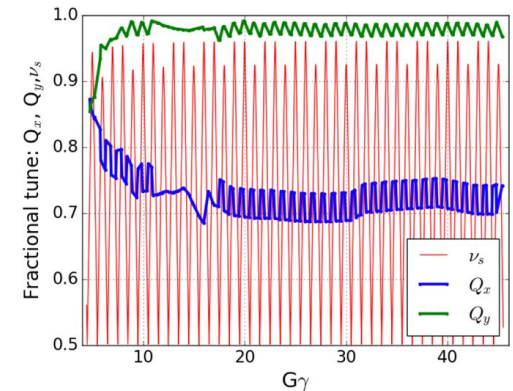
$$\gamma = \left[1 - \frac{1}{c^2} (2\pi f_{rev})^2 (R_0 + dR)^2 \right]^{-\frac{1}{2}} \quad (a)$$

$$= \left(\left[\frac{(1 + \gamma_{tr}^2) dR/R}{M_0} \rho_0 c (B_{inj} + B_{clock}/C_{scal}) \right]^2 + 1 \right)^{\frac{1}{2}} \quad (b)$$

- Spin depolarizing resonances occur at very specific energies; determining the beam energy as a function of time is therefore crucial for optimizing any compensatory efforts, such as tune jumps or spin matching;
- In the AGS the largest identified source of depolarization remaining occurs when the proton beam passes through 82 resonances;
- Determining the energy at this precision currently relies on a calibration procedure between two formulas, one using the frequency (a) and the other using the magnetic field (b);
- The two formulae result in different calculations of the energy as a function of time. The “unknown” parameters are fit to minimize the difference between the calculation methods;
- A complimentary energy measurement comes from the polarimetry. The objective of the proposed optimization is to combine the three energy measurements into one to reduce uncertainty at all energies;

Depolarizing Resonance Strength

- A proposed approach to minimizing the depolarization due to horizontal resonances is to compensate for them using 15 independently powered skew quadrupoles to correct each of the 82 resonances;
- A full optimization of the system involves many knobs (15 for each of 82 resonances). Due to the time of the polarization measurement, a brute-force scanning of each individual parameters is generally not possible;
- The direct objective of the optimization is to maximize the polarization as measured at the end of the AGS acceleration process. The total number of control variables can be reduced by arranging the strengths into correlated families;



Acknowledgement



- Kevin Brown, Michael Costanzo, Md Latiful Kabir, Jennefer Maldonado, Vincent Schoefer, Shruti Tajne, Keith Zeno, Shinjae Yoo, Yihui Ren, Zhihua Dong, Matt Cowan



- Jonathan Unger, Eiad Hamwi, Georg Hoffstaetter de Torquat, Lucy Lin, David Sagan



- Yinan Wang



- Auralee Edelen, Ryan Roussel



- Malachi Schram, Armen Kasparian, Kishan Rajput

Thank you for your attention!

