

Vertex reconstruction by INTT

Cheng-Wei Shih

National Central University & RIKEN

Aug 21th, 2024
INTT meeting



國立中央大學
National Central University

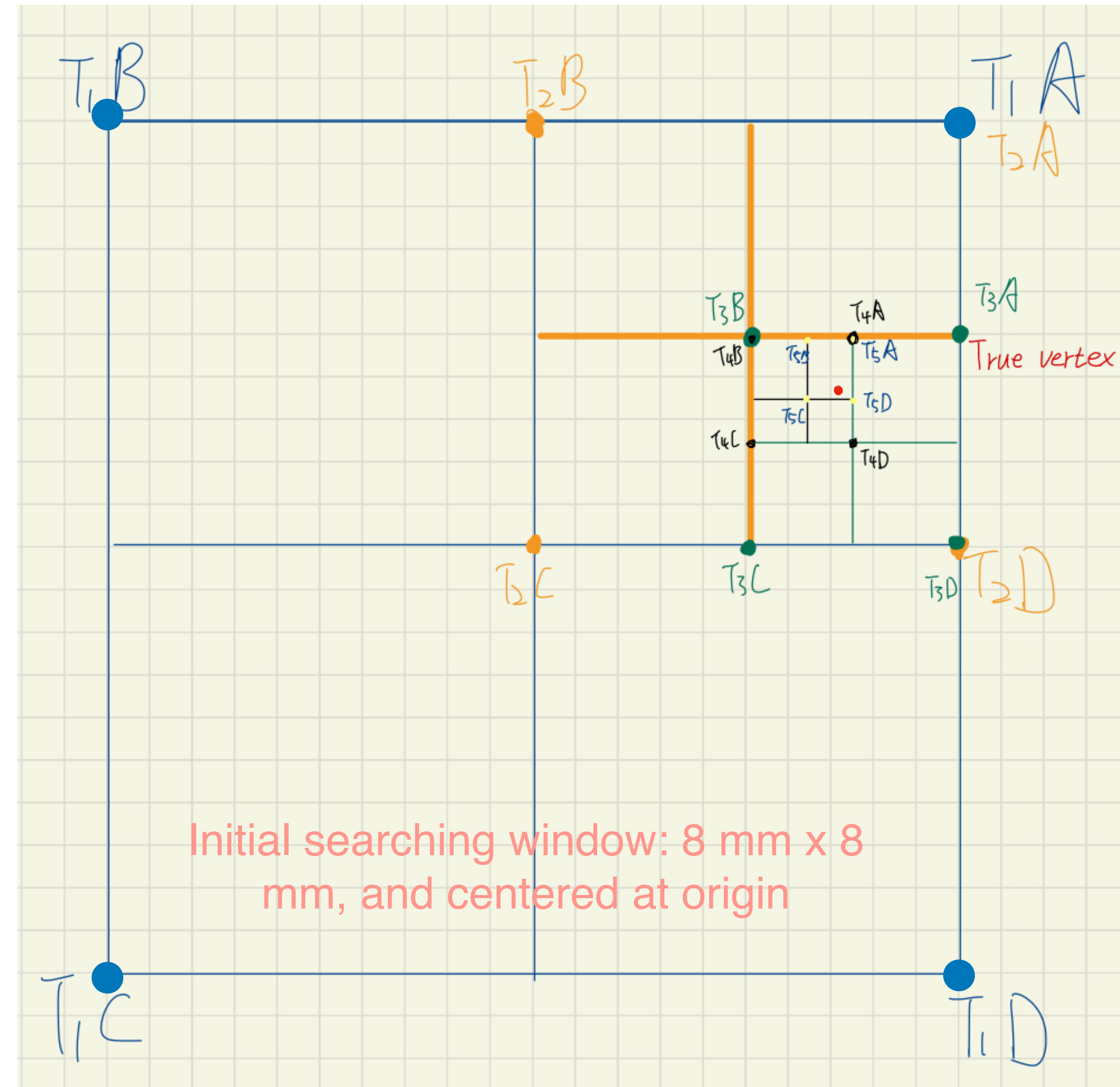


- Analyzed run: 20869 (from run 23)
- Configuration: zero-filed, vertex Z off by -20 cm
- Data file : /sphenix/lustre01/sphnxpro/commissioning/INTT/beam/beam_intt{0..7}-00020869-0000.evt
- Simulation file: /sphenix/user/hjheng/sPHENIXRepo/analysis/dNdEta_Run2023/production/Sim_Ntuple_HIJING_new_20240424/ntuple_00{000..199}.root

Average vertex XY - approach 1

- **Approach 1:** Quadrant method
- **Procedures:**
 1. Define the searching window
 2. In each iteration, try with 4 corners
 3. Move to the quadrant that gives better performance, and narrow the searching window half
 4. Repeat the procedure with the new 4 corners
- **How to determine the “good” vertex ?**
 - The one with better **Polynomial 0 fit errors** on both
 - DCA - Clu_{inner} ϕ correlation, and
 - $\Delta\phi$ - Clu_{inner} ϕ correlation

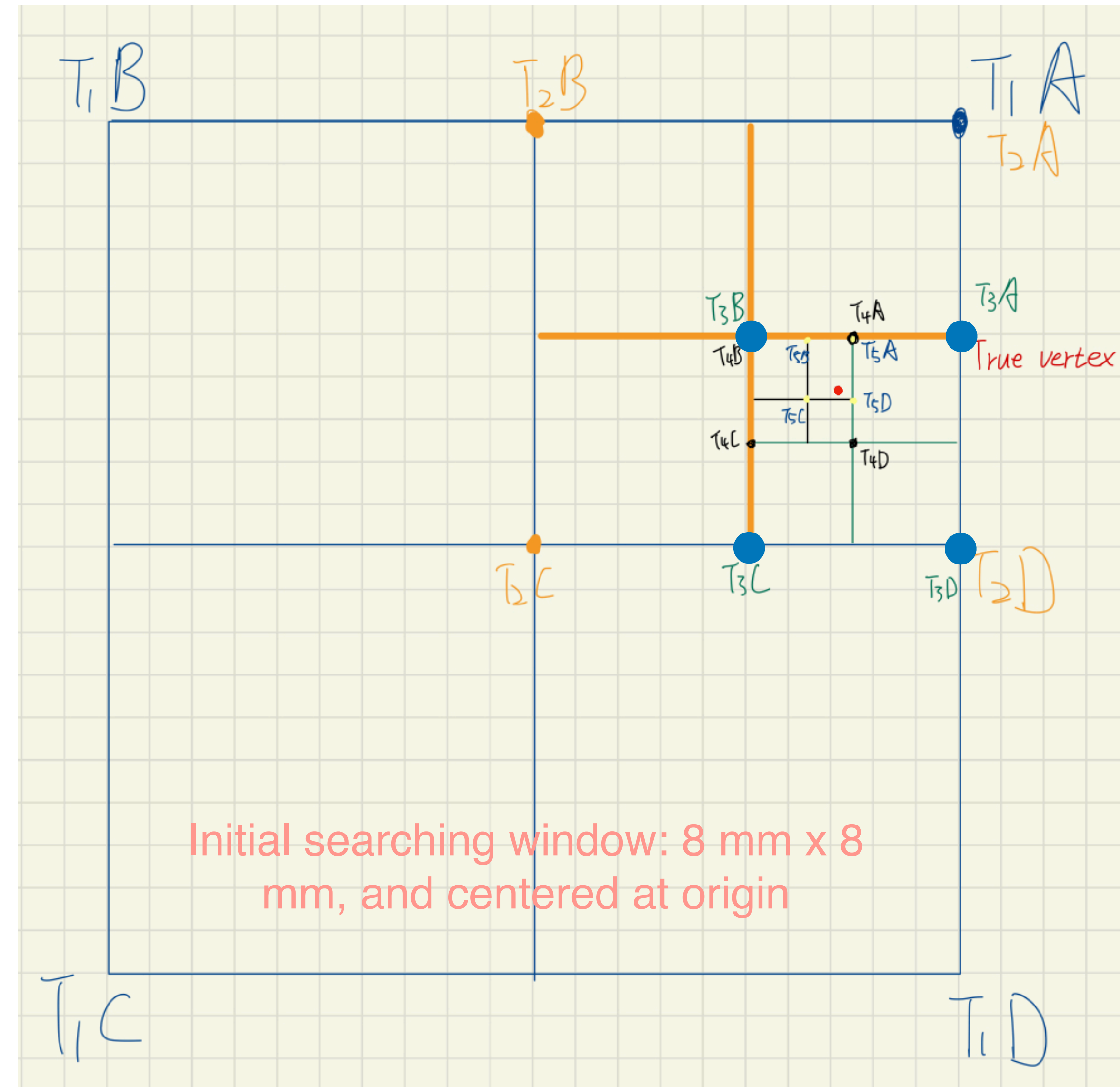
The area inside the INTT barrel



Average vertex XY - approach 1

- **Approach 1:** Quadrant method
- **Procedures:**
 1. Define the searching window
 2. In each iteration, try with 4 corners
 3. Move to the quadrant that gives better performance, and narrow the searching window half
 4. Repeat the procedure with the new 4 corners
- **How to determine the “good” vertex ?**
 - The one with better **Polynomial 0 fit errors** on both
 - DCA - Clu_{inner} ϕ correlation, and
 - $\Delta\phi$ - Clu_{inner} ϕ correlation

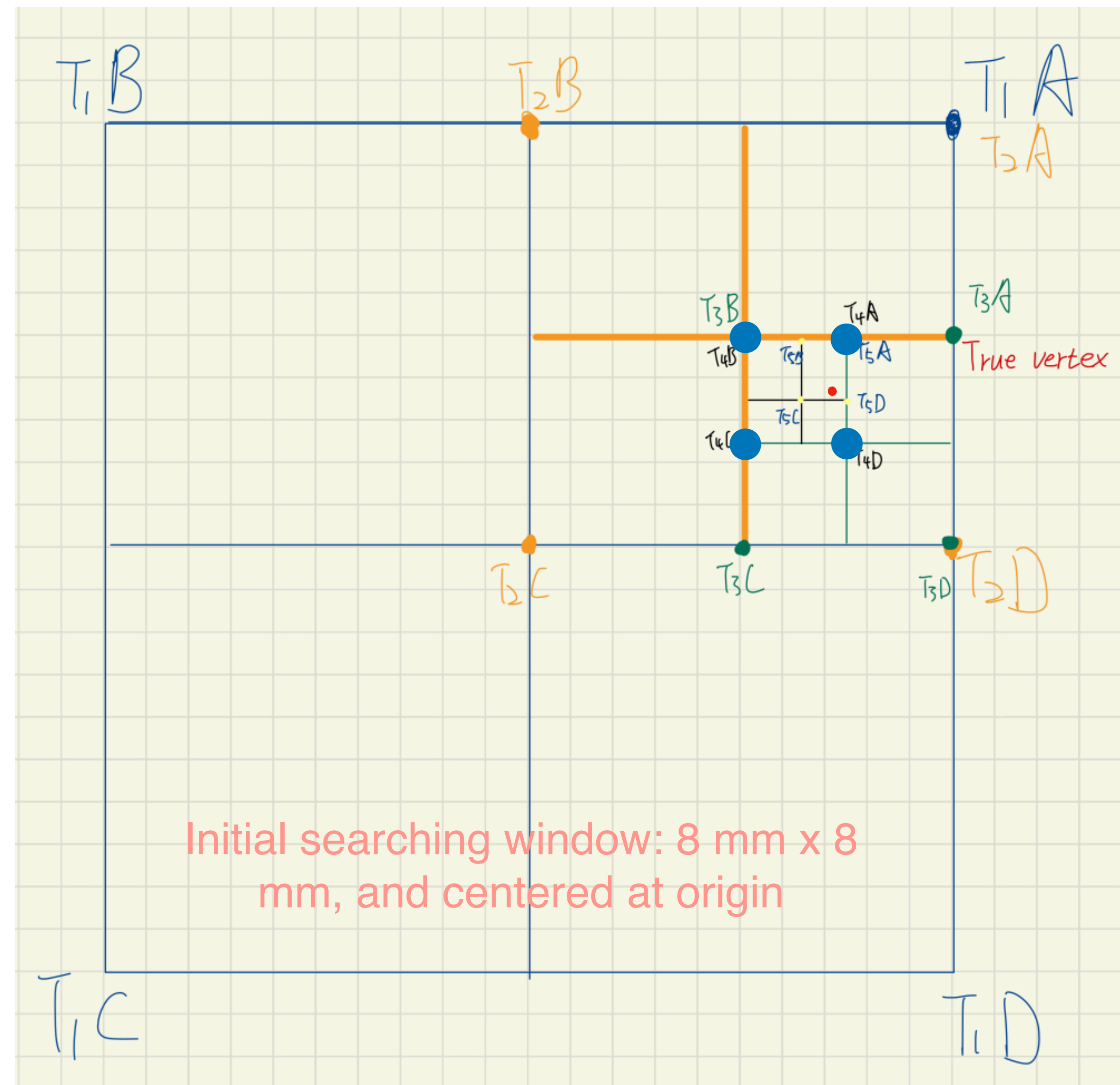
The area inside the INTT barrel



Average vertex XY - approach 1

- **Approach 1:** Quadrant method
- **Procedures:**
 1. Define the searching window
 2. In each iteration, try with 4 corners
 3. Move to the quadrant that gives better performance, and narrow the searching window half
 4. Repeat the procedure with the new 4 corners
- **How to determine the “good” vertex ?**
 - The one with better **Polynomial 0 fit errors** on both
 - DCA - Clu_{inner} ϕ correlation, and
 - $\Delta\phi$ - Clu_{inner} ϕ correlation

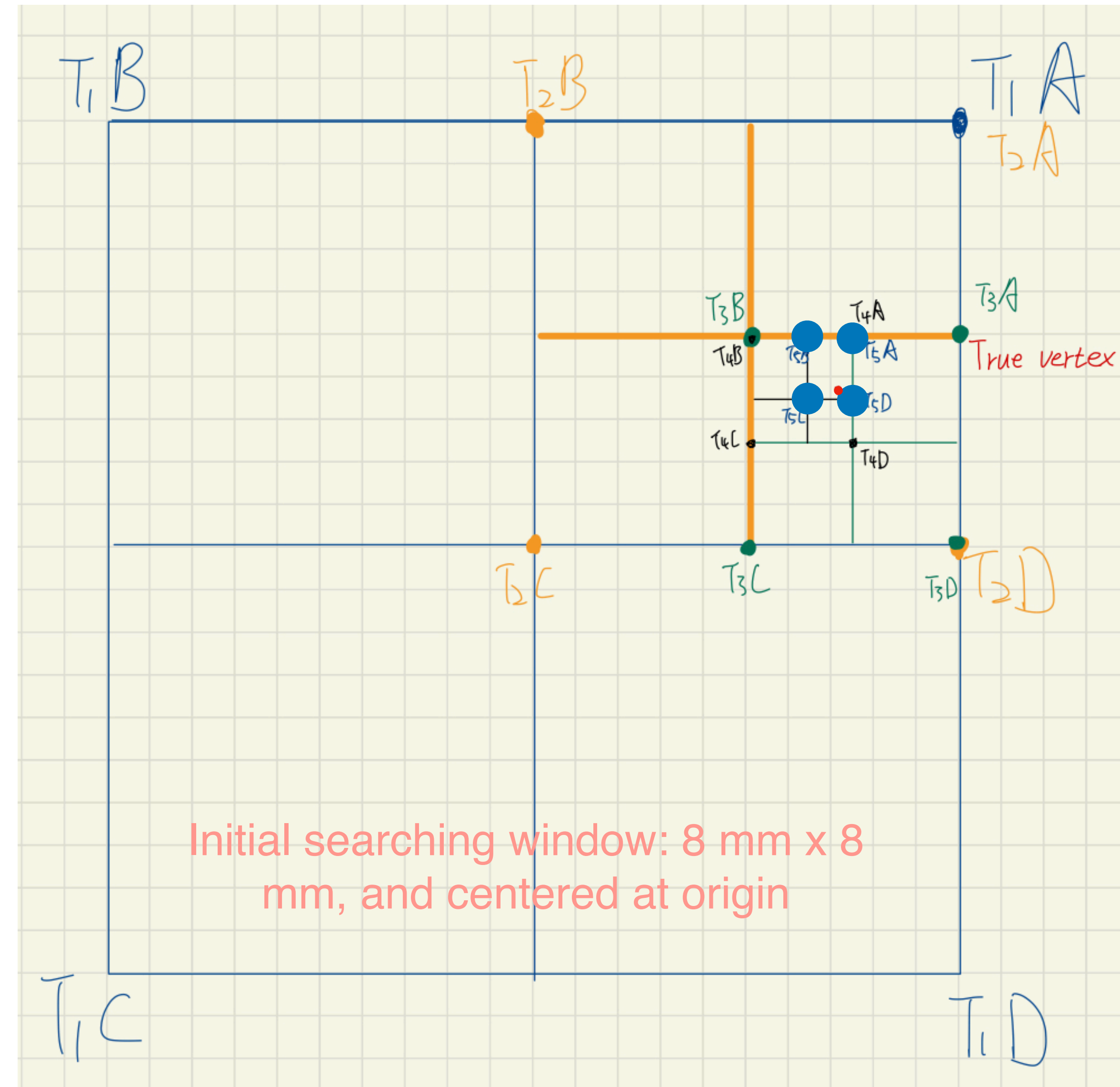
The area inside the INTT barrel



Average vertex XY - approach 1

- **Approach 1:** Quadrant method
- **Procedures:**
 1. Define the searching window
 2. In each iteration, try with 4 corners
 3. Move to the quadrant that gives better performance, and narrow the searching window half
 4. Repeat the procedure with the new 4 corners
- **How to determine the “good” vertex ?**
 - The one with better **Polynomial 0 fit errors** on both
 - DCA - Clu_{inner} ϕ correlation, and
 - $\Delta\phi$ - Clu_{inner} ϕ correlation

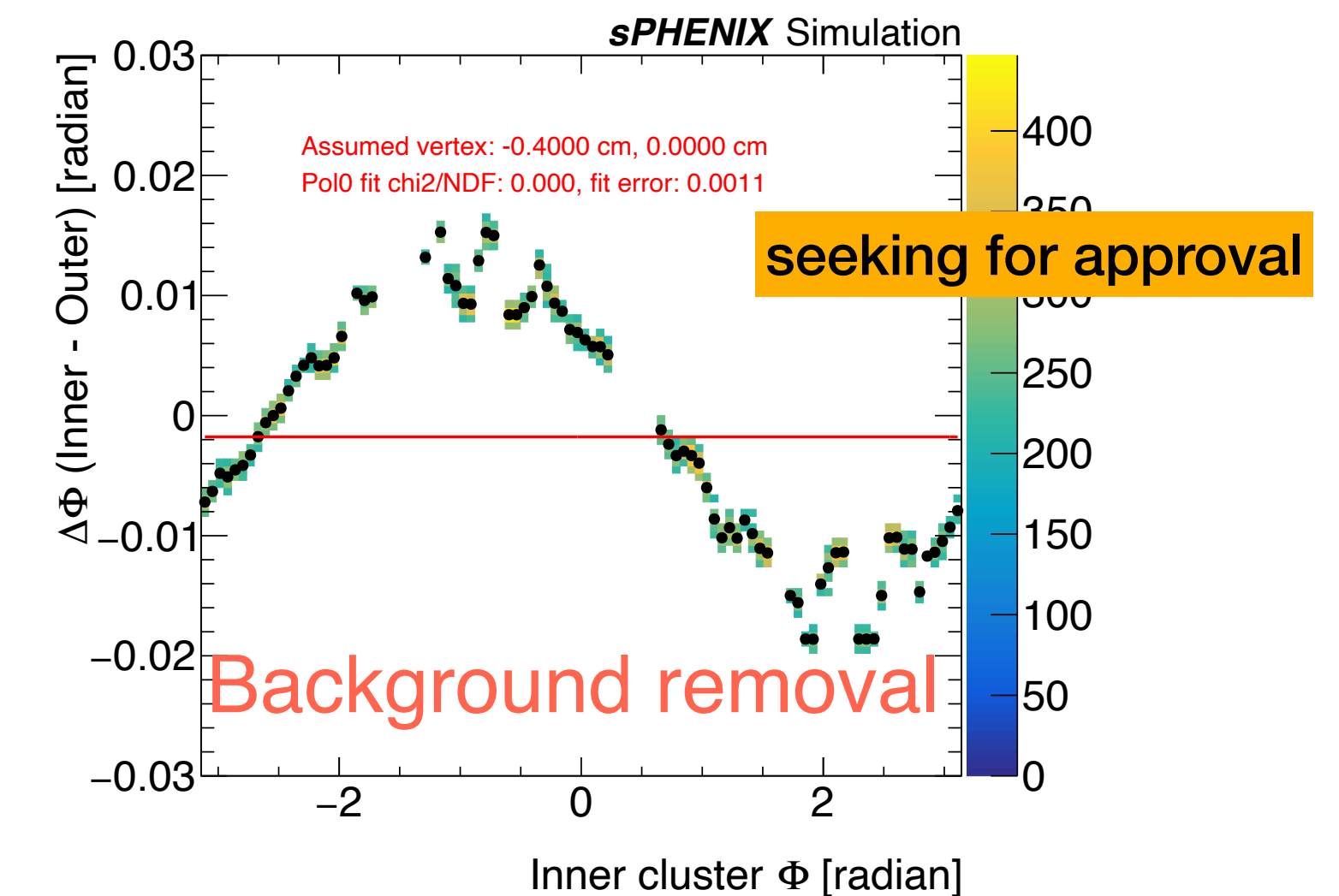
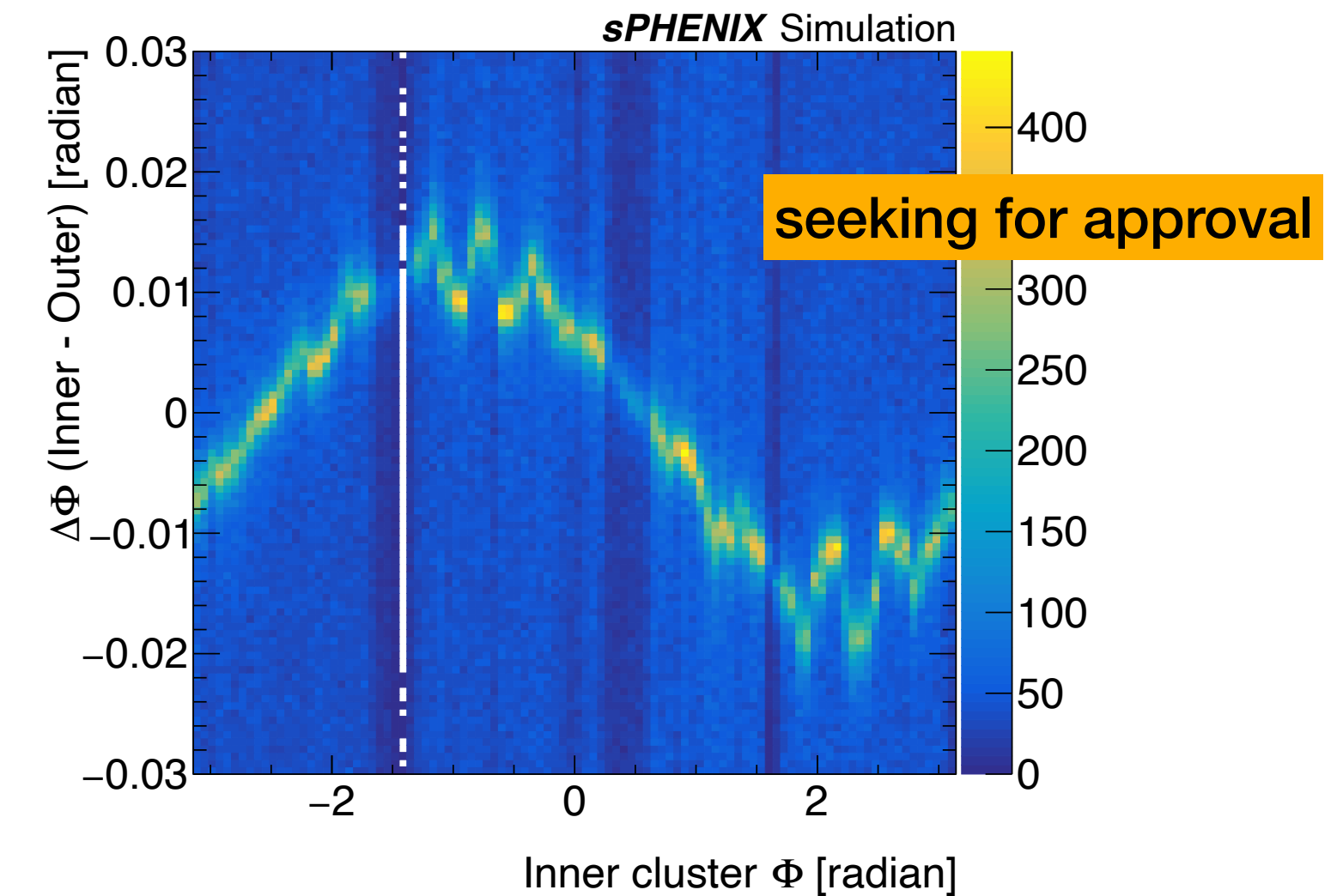
The area inside the INTT barrel



Average vertex XY - approach 1

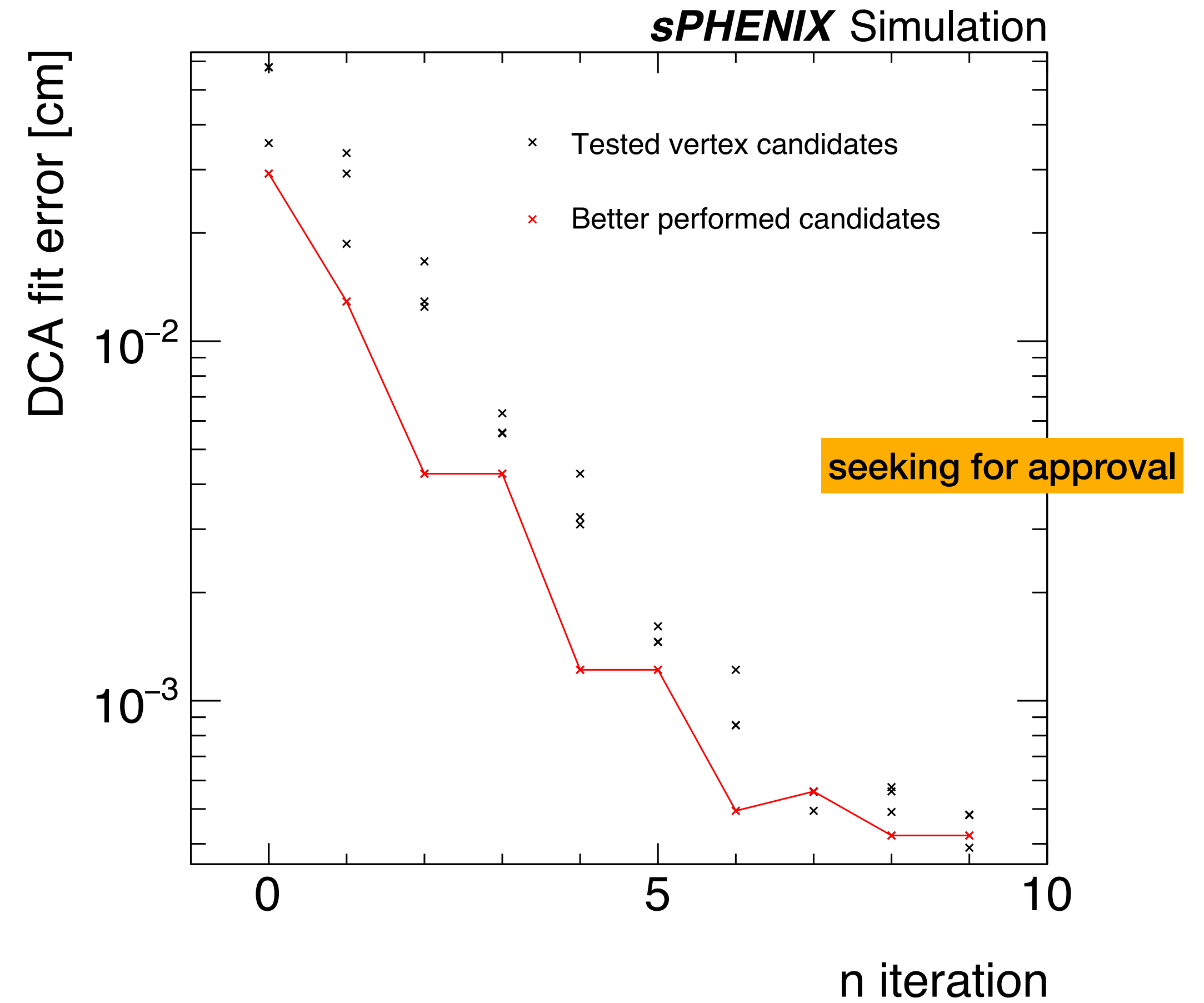
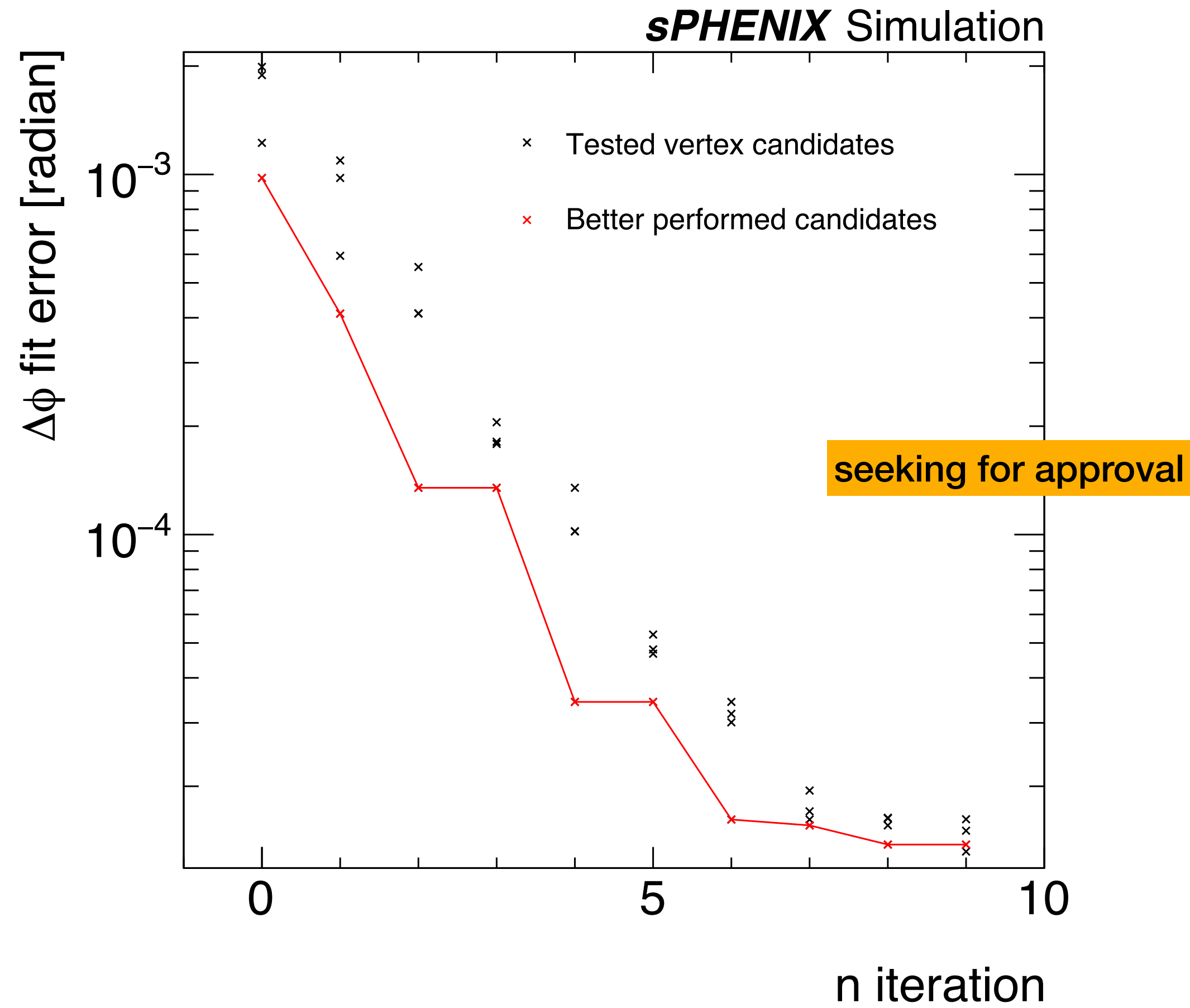
- **Approach 1:** Quadrant method
- **Procedures:**
 1. Define the searching window
 2. In each iteration, try with 4 corners
 3. Move to the quadrant that gives better performance, and narrow the searching window half
 4. Repeat the procedure with the new 4 corners
- **How to determine the “good” vertex ?**
 - The one with better Polynomial 0 fit errors on both
 - DCA - Clu_{inner} ϕ correlation, and
 - $\Delta\phi$ - Clu_{inner} ϕ correlation

Two correlation plots for **each corner**



Average vertex XY - approach 1

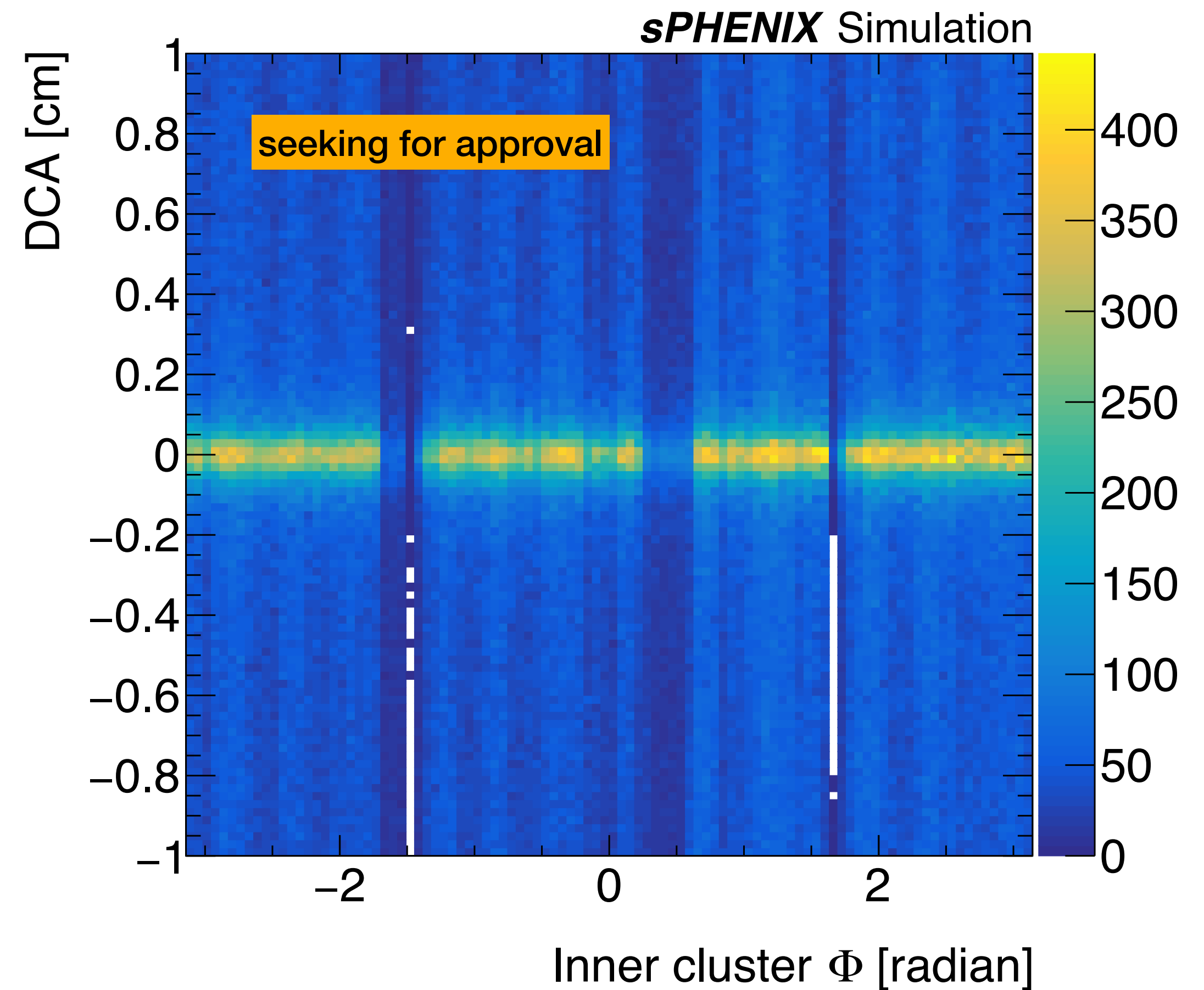
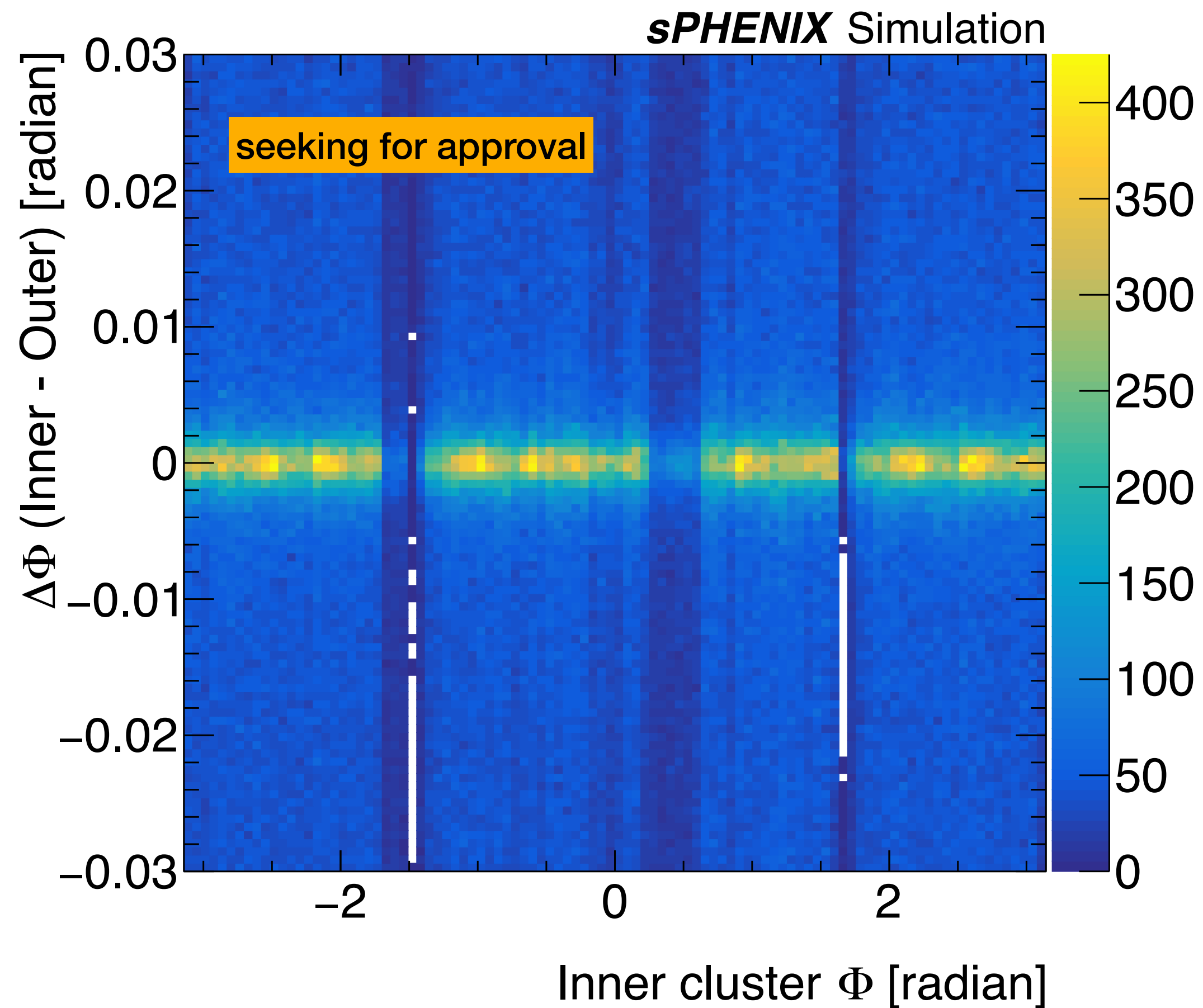
- Approach 1: Quadrant method



The fit error getting smaller in the deeper iteration

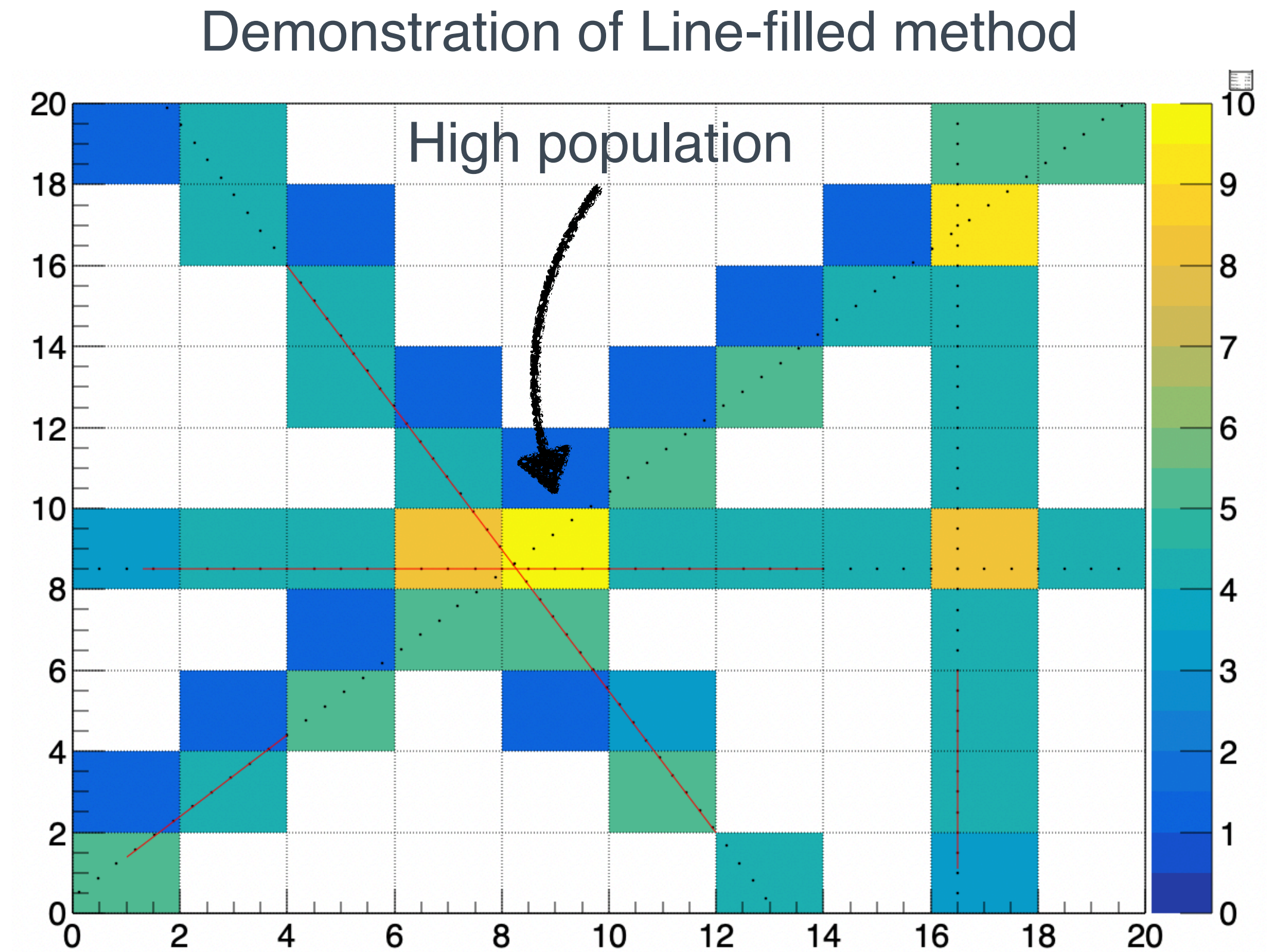
Average vertex XY - approach 1

- **Approach 1:** Quadrant method



MC set beam spot : -0.04 cm, 0.24 cm
Measured beam spot : -0.0405 cm, 0.2402 cm

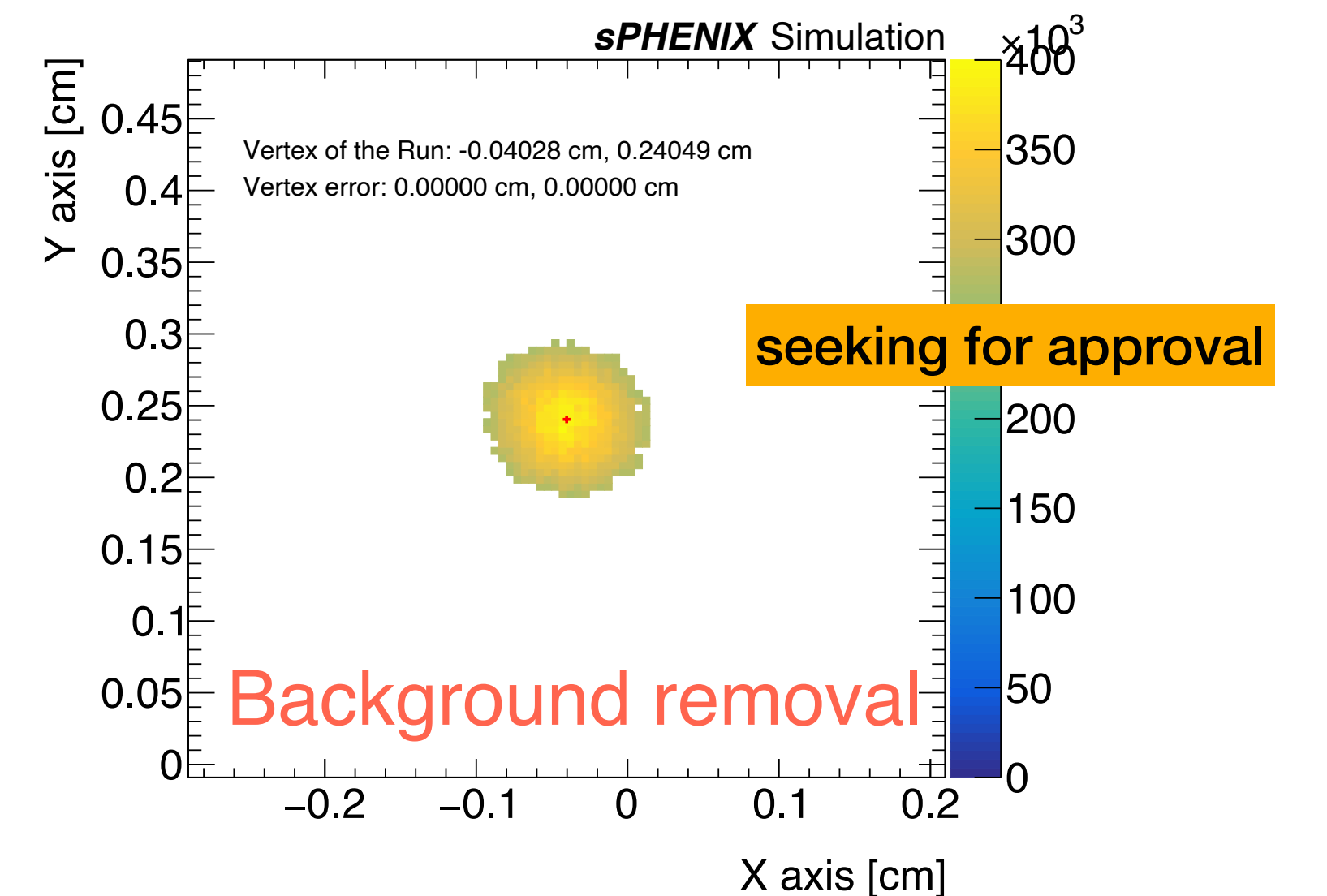
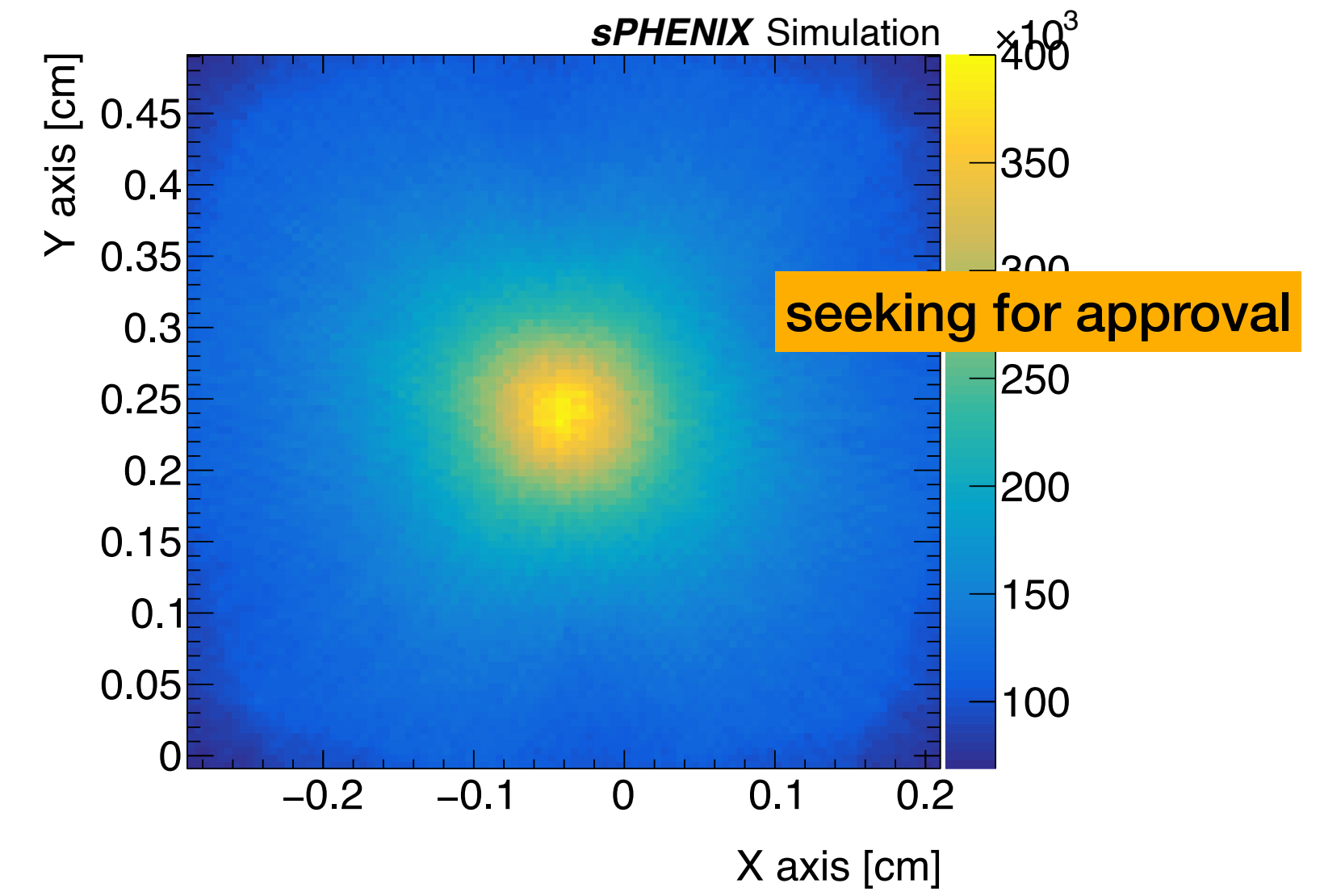
- **Approach 2:** Line-filled method
- **Purpose:** crosscheck
- **Ideal:** vertex can be obtained by populating the tracklets into a 2D histogram
- **Procedures:**
 1. Define the searching window. Nominally, 3 mm x 3mm, center given by Approach 1
 2. Fill the trajectories of tracklets with $\Delta\phi < 5$ degrees
 3. Remove the background
 4. Take the averages of both axes as the vertex position XY



If the variation of the vertex is small, the tracklets can tell the position

Average vertex XY - approach 2

- **Approach 2:** Line-filled method
- **Purpose:** crosscheck
- **Ideal:** vertex can be obtained by populating the tracklets into a 2D histogram
- **Procedures:**
 1. Define the searching window. Nominally, 3 mm x 3mm, center given by Approach 1
 2. Fill the trajectories of tracklets with $\Delta\phi < 5$ degrees
 3. Remove the background
 4. Take the averages of both axes as the vertex position XY

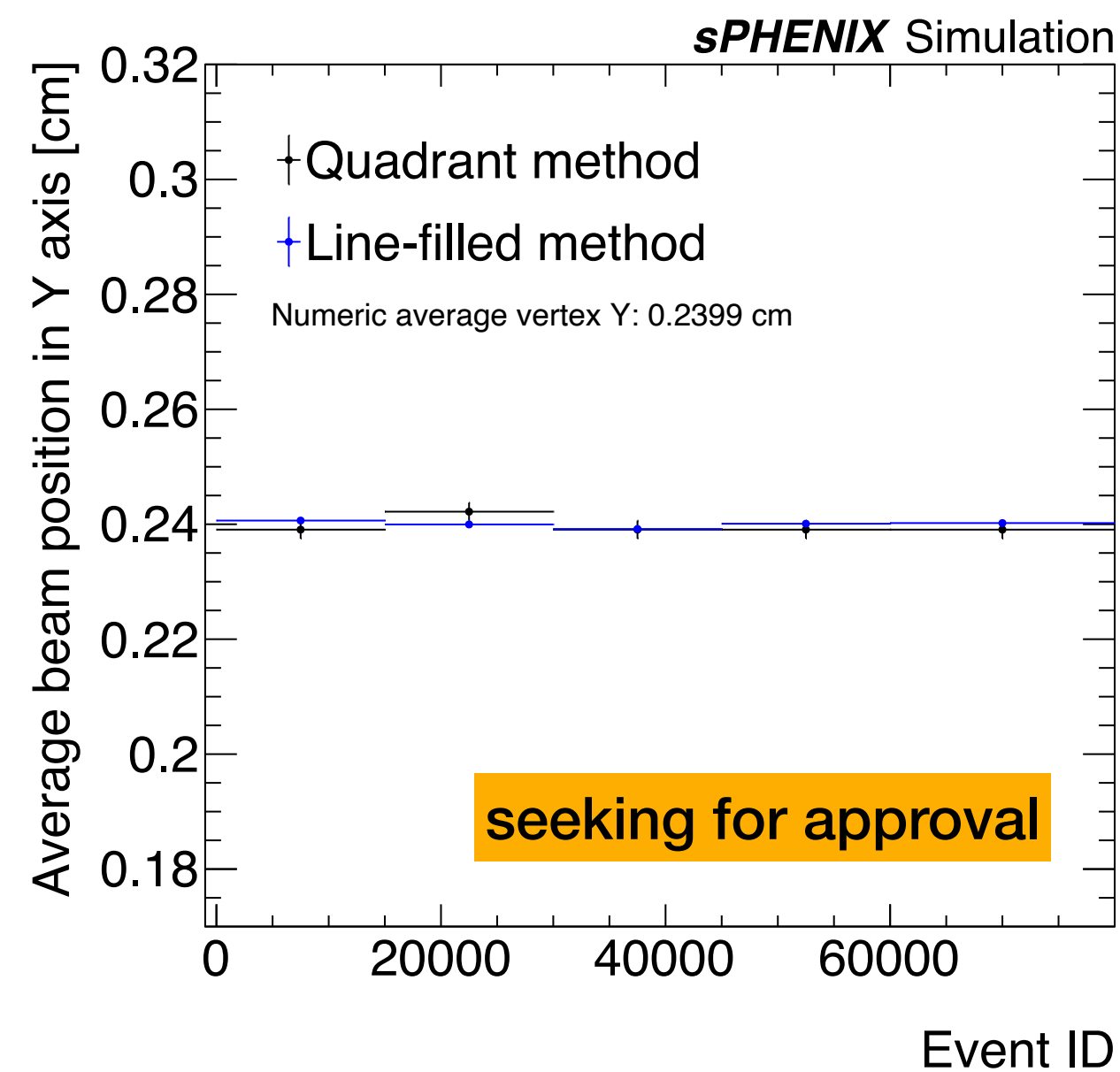
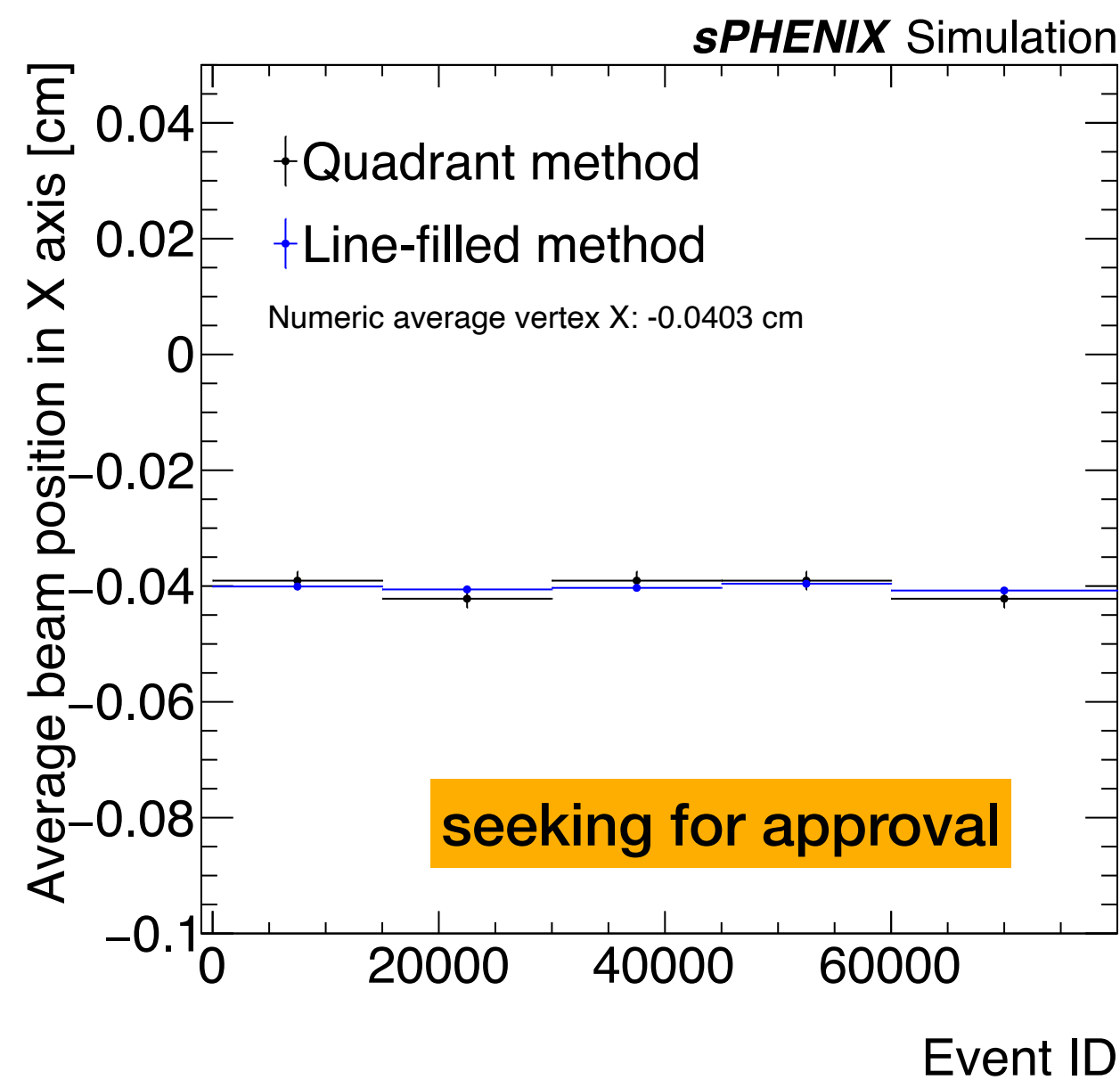


Final estimated vertex: **(-0.0402 cm, 0.2405 cm)**

Final average vertex XY - MC



- Quadrant method + 2D line filled method
 - $20 < \text{selected_NClus} < 350$
 - 15k events per data point
 - Take the total average as the final avg vtxXY

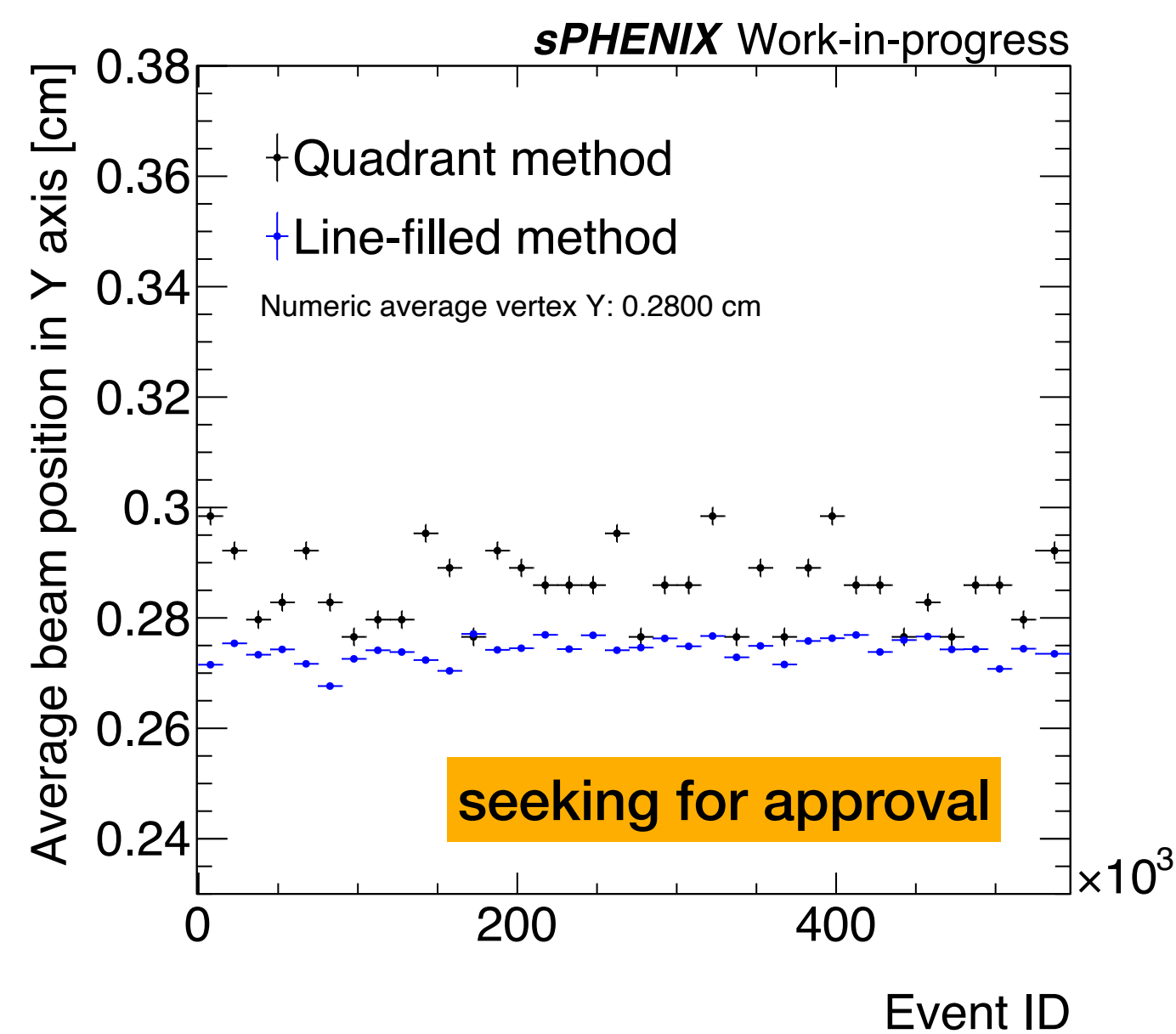
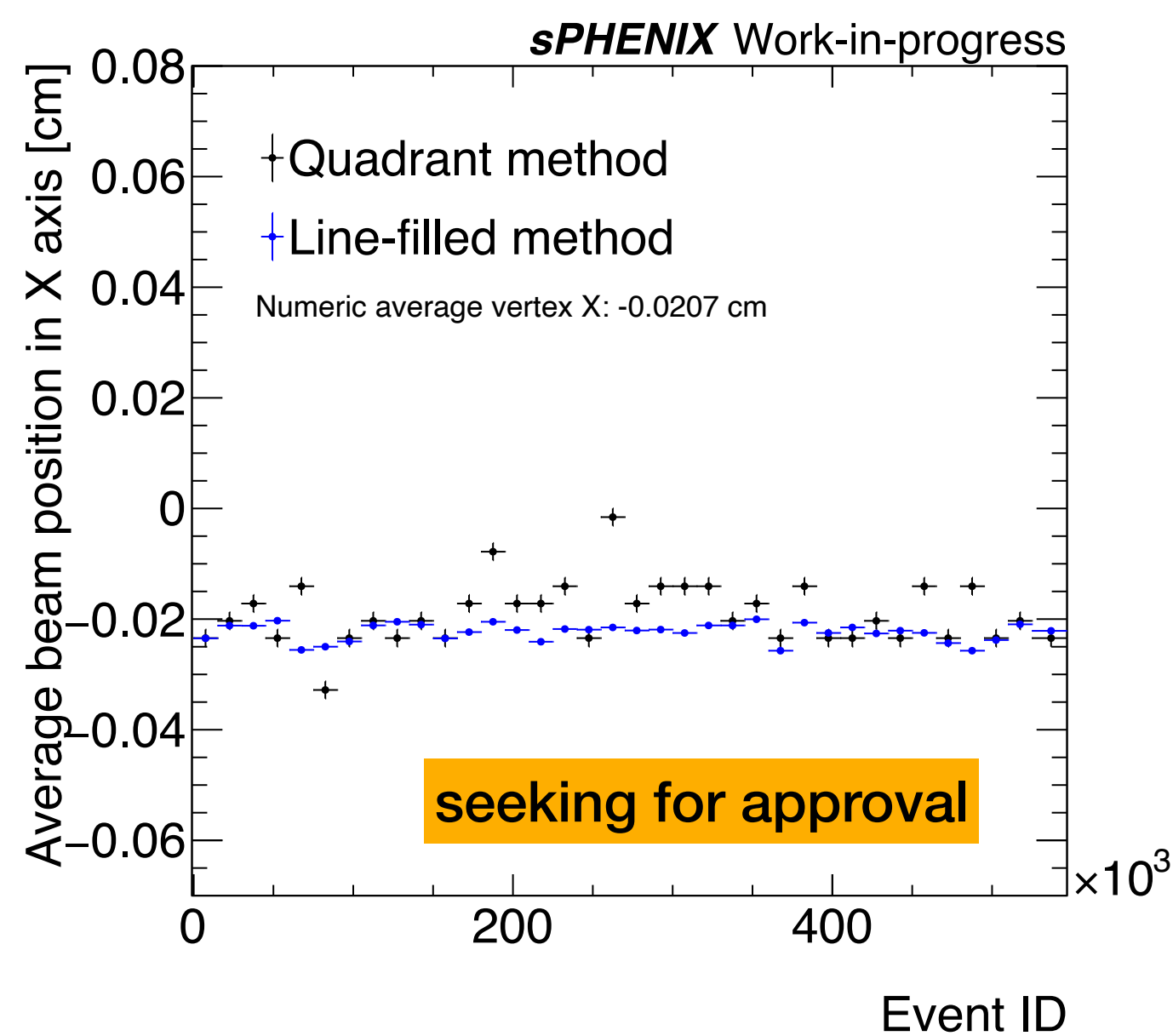


final average vertex XY should be used :
line filled X : $-0.0402675 \pm 0.000456319$
line filled Y : 0.240015 ± 0.000535473
quadrant X : $-0.0403125 \pm 0.00171163$
quadrant Y : 0.239687 ± 0.00139754

Avg: $\{-0.04029 * \text{cm}, 0.239851 * \text{cm}\}$
Setting: $\{-0.04 \text{ cm}, 0.24 \text{ cm}\}$

Final average vertex XY - data

- Quadrant method + 2D line filled method
 - $20 < \text{selected_NClus} < 350$
 - 15k events per data point
 - Take the total average as the final avg vtxXY



final average vertex XY should be used :

line filled X : $-0.0223385 \pm 0.00158029$

line filled Y : 0.274166 ± 0.00212953

quadrant X : $-0.0190104 \pm 0.00560886$

quadrant Y : 0.285764 ± 0.00684427

Avg: $\{-0.0206744 * \text{cm}, 0.279965 * \text{cm}\}$

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

———— Strip in outer barrel

———— Strip in inner barrel



Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

———— Strip in outer barrel

———— Strip in inner barrel



Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

———— Strip in outer barrel

●●●●● Strip in inner barrel

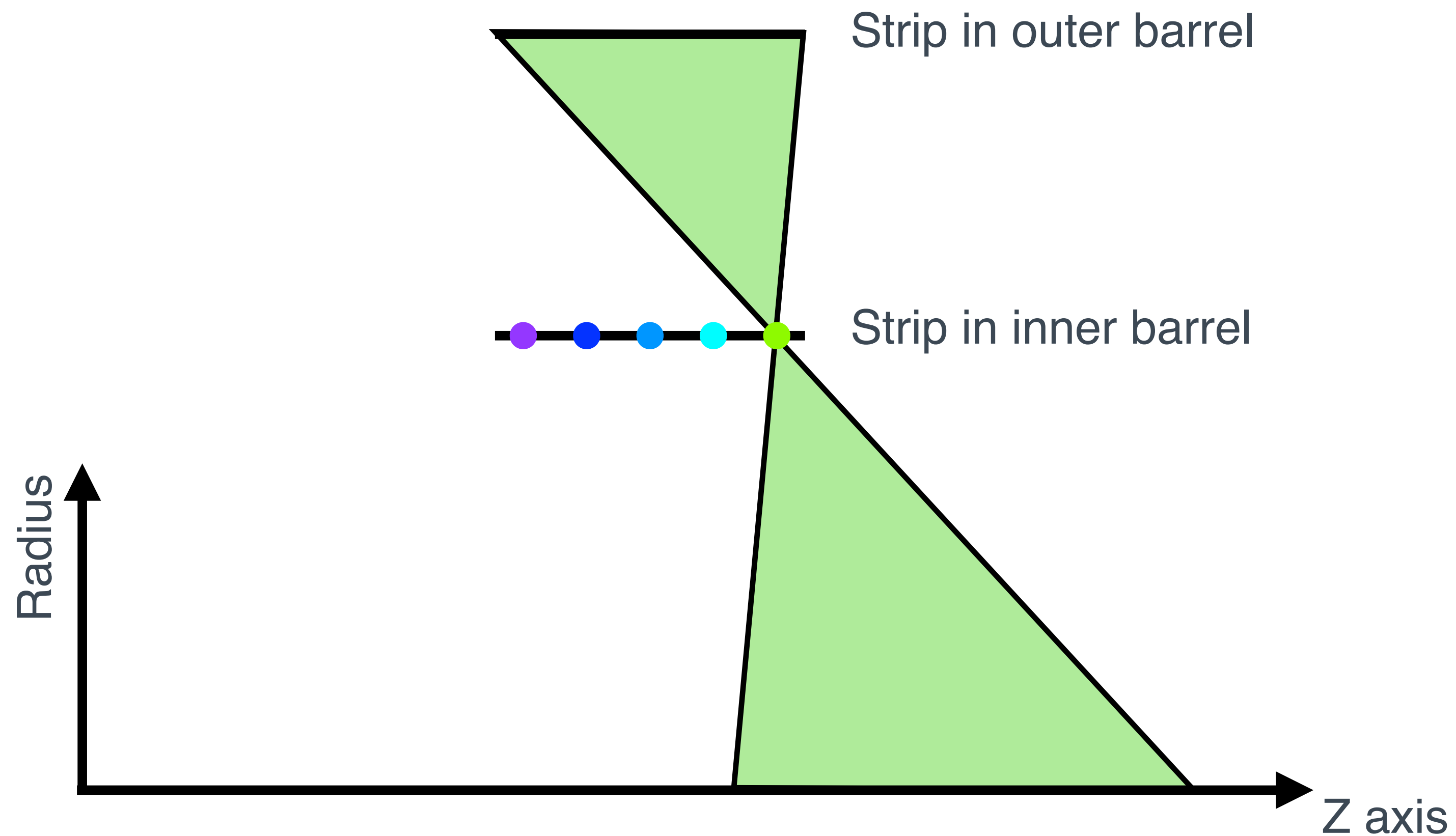


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

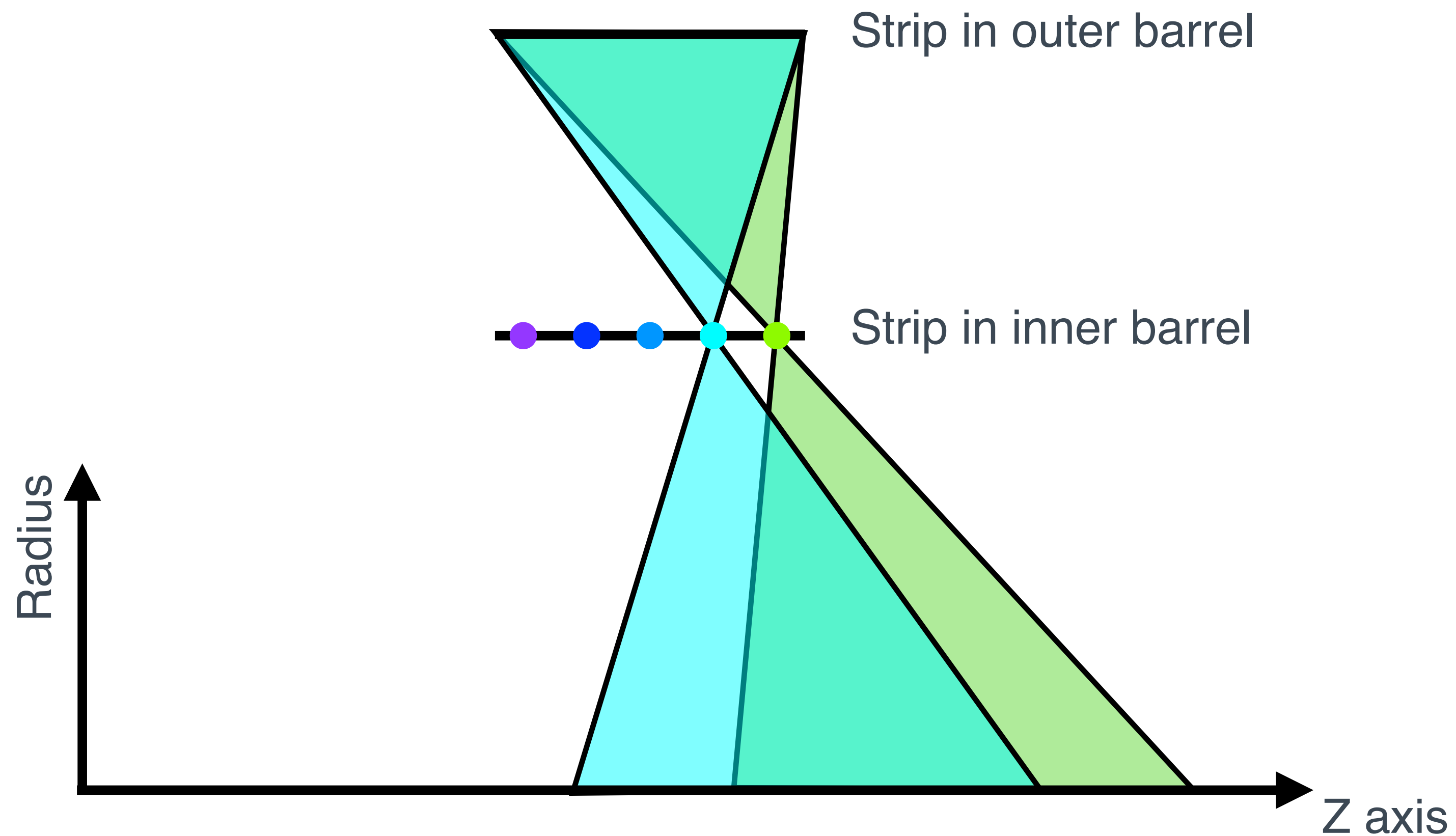


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

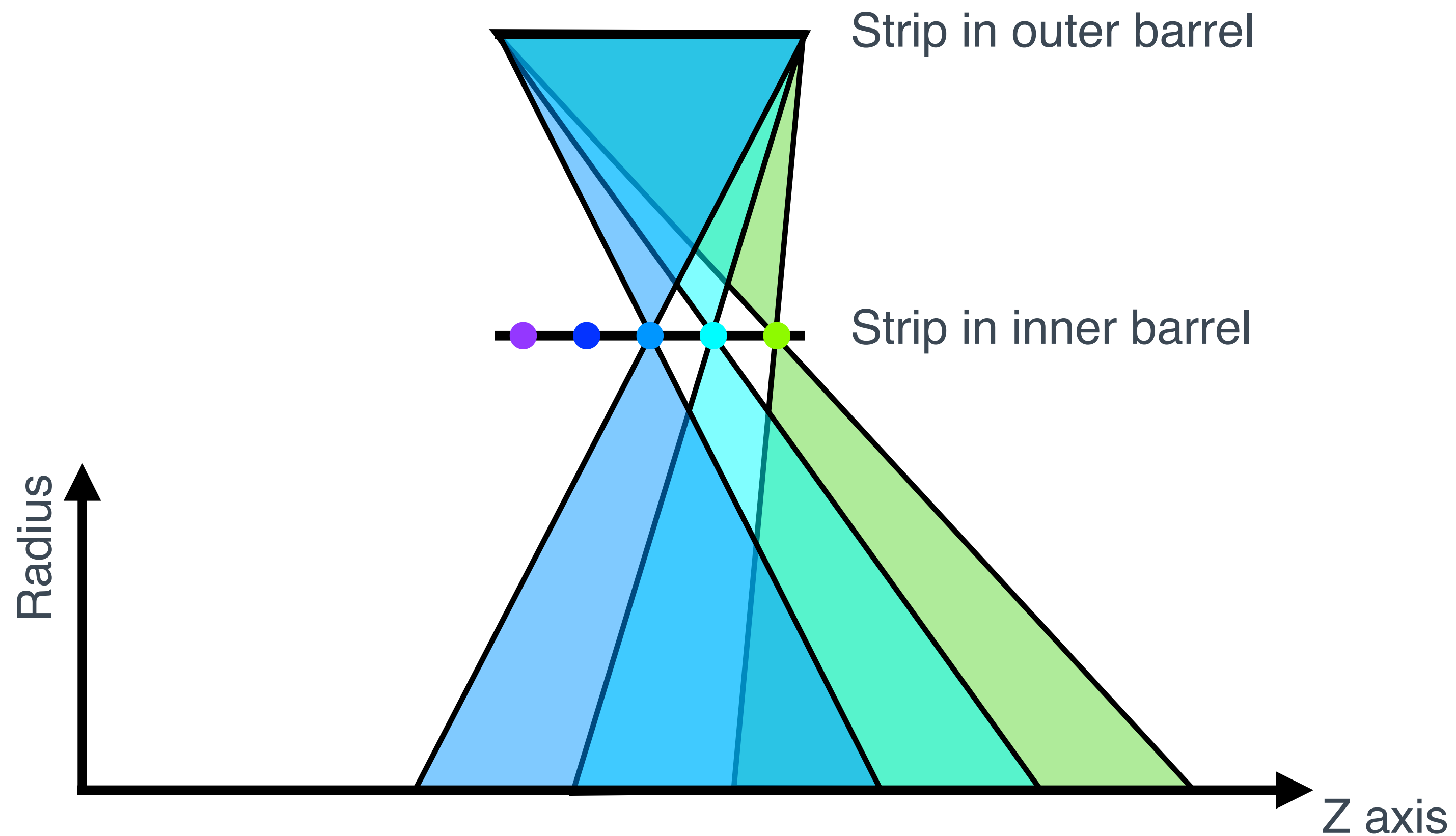


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

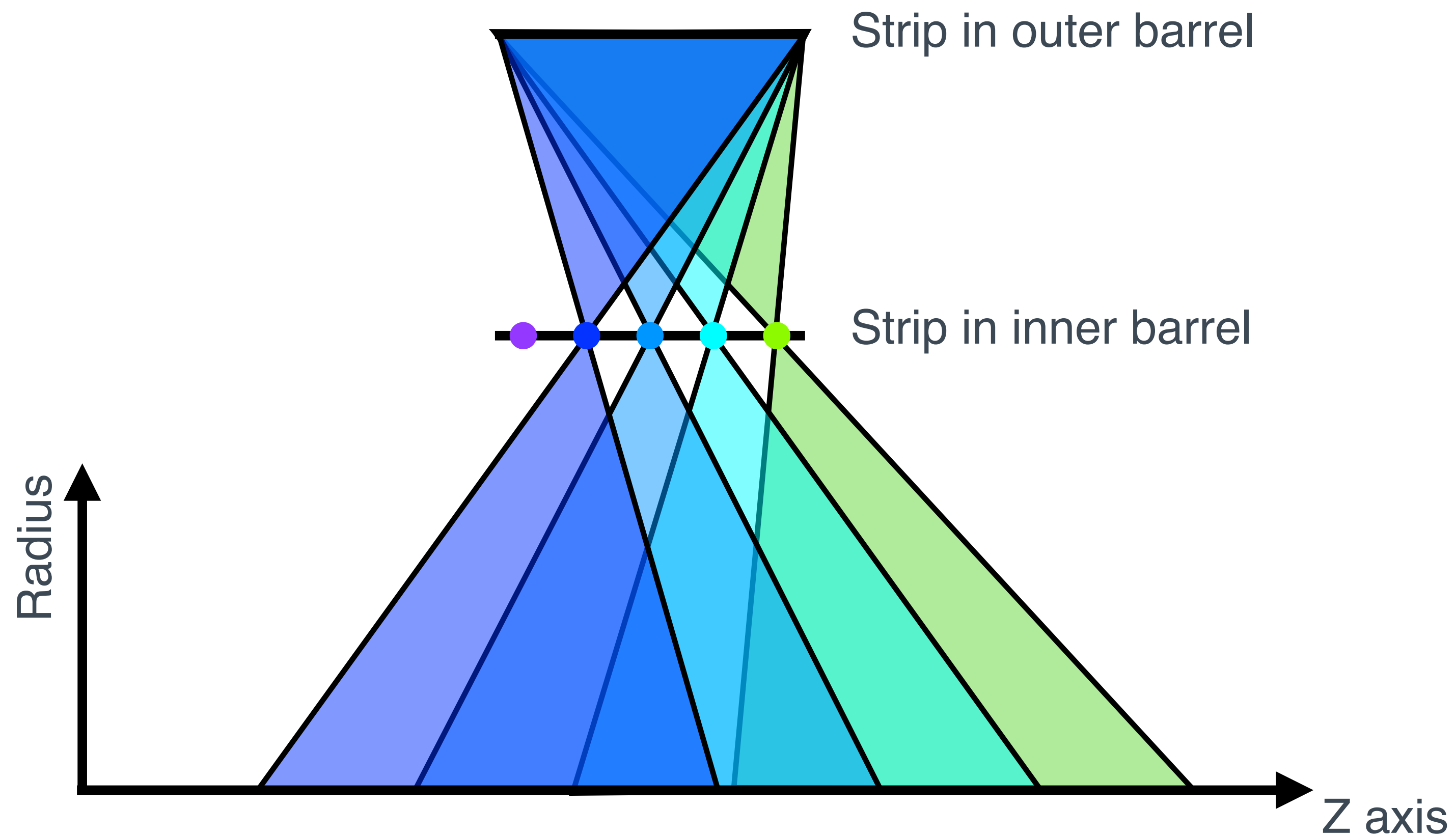


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

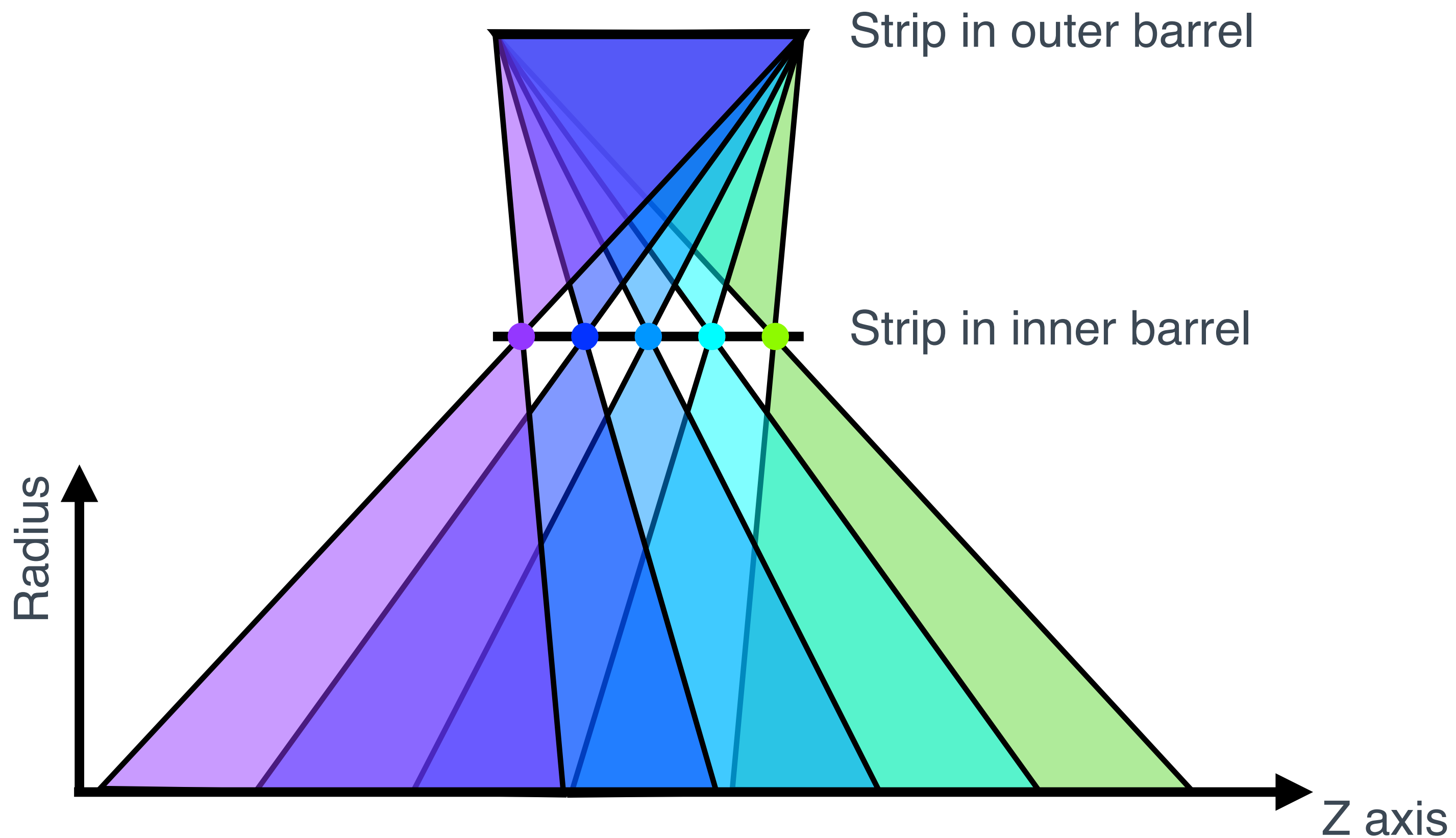


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

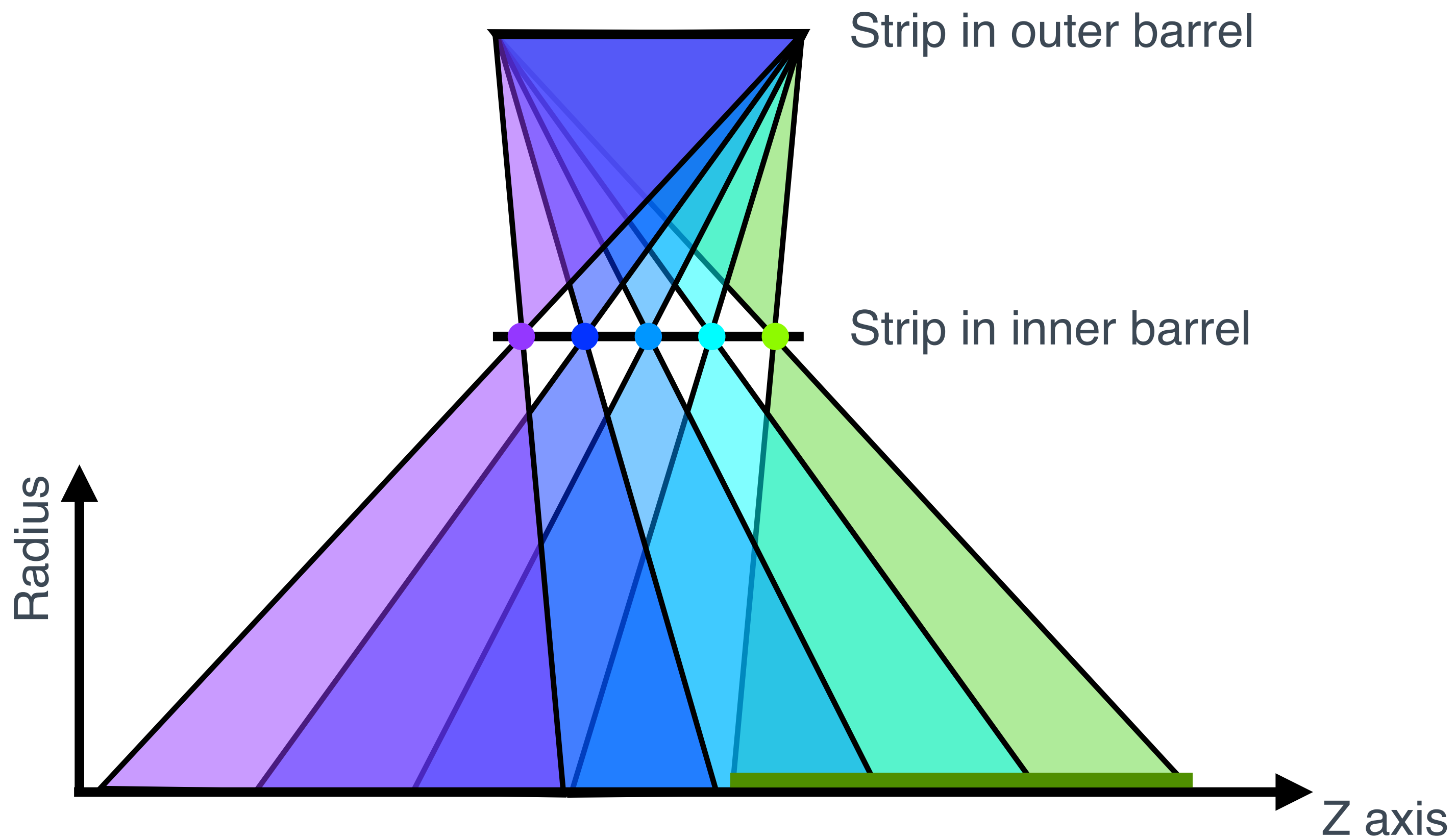


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

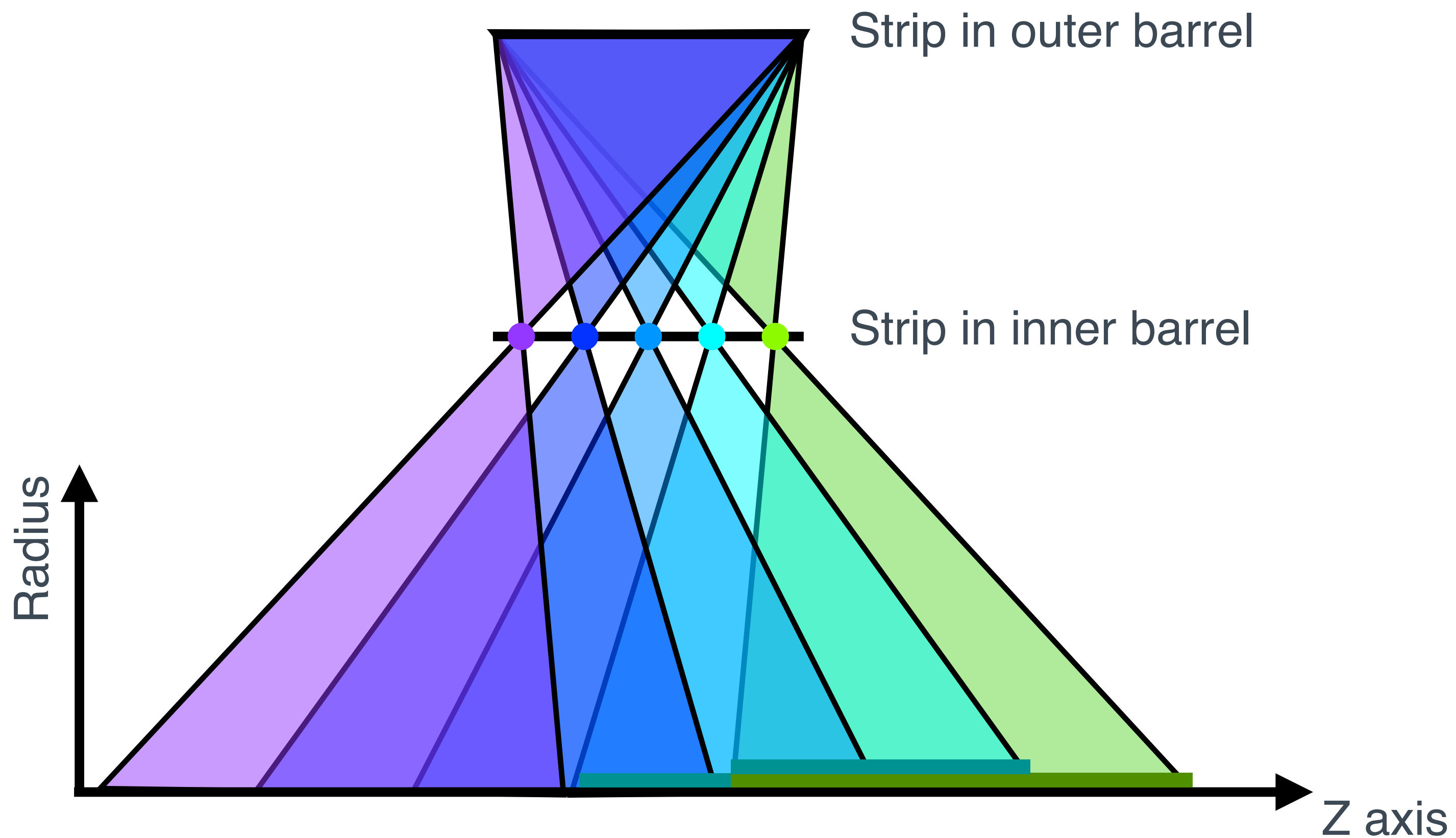


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

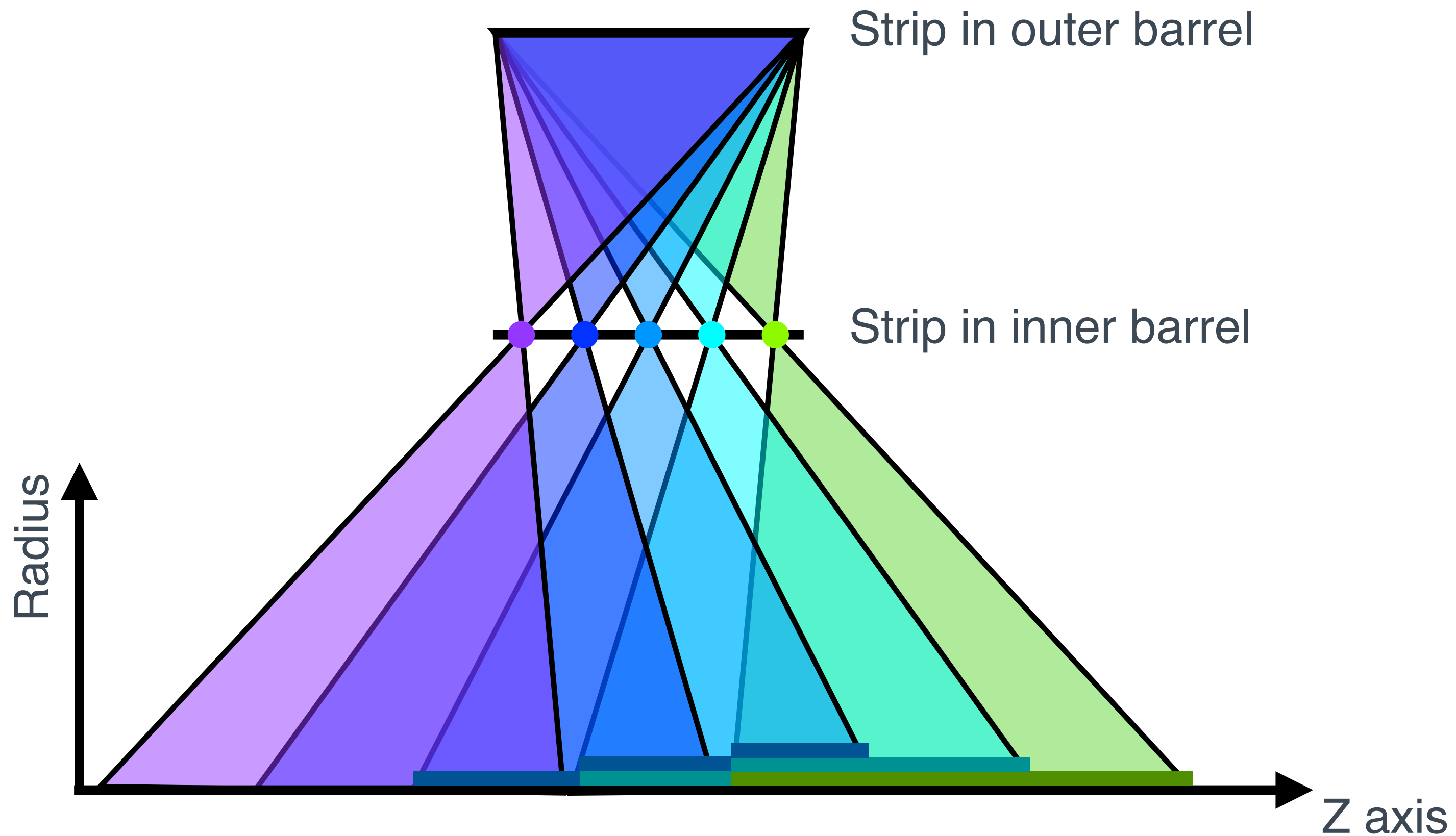


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

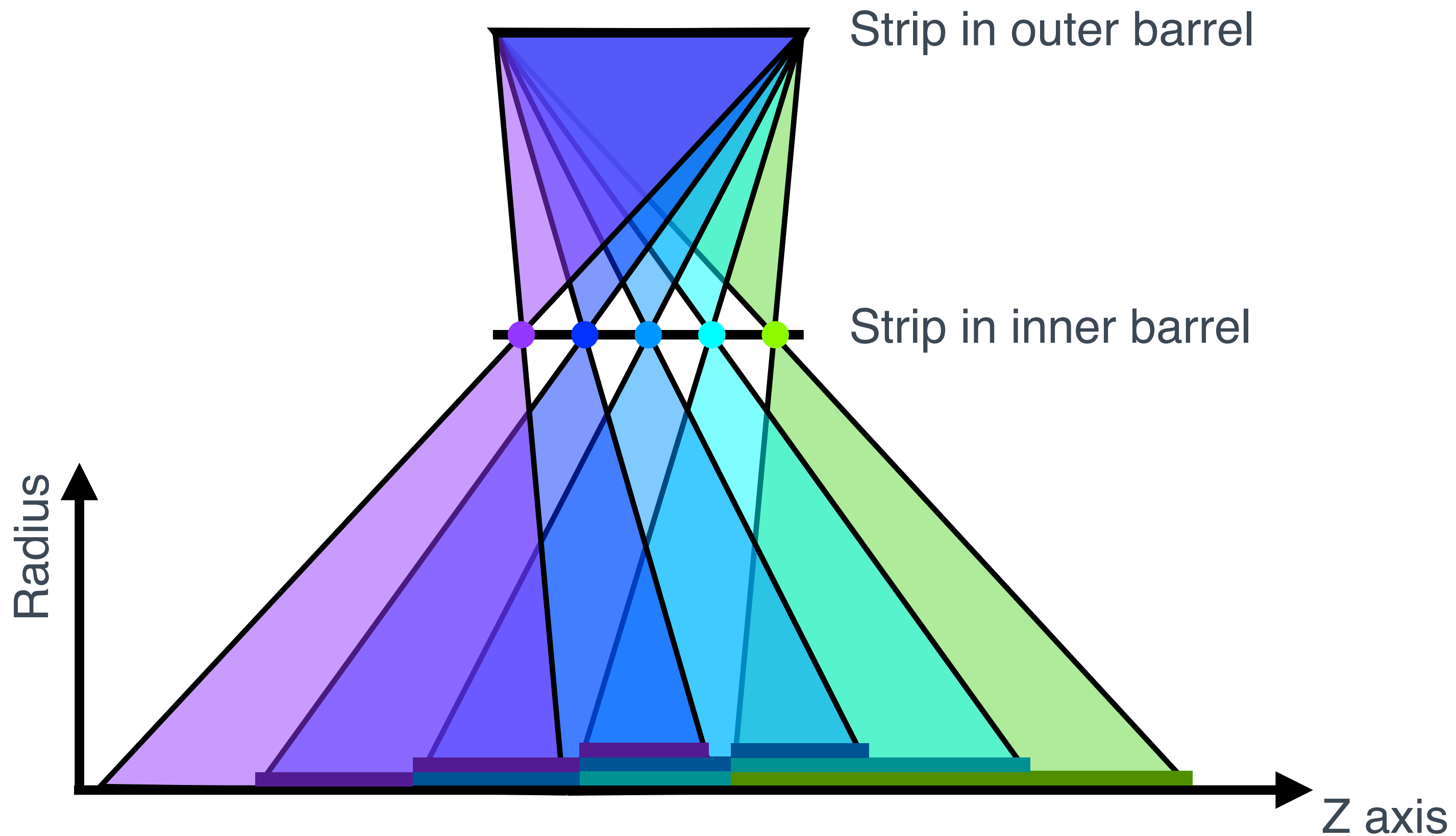


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

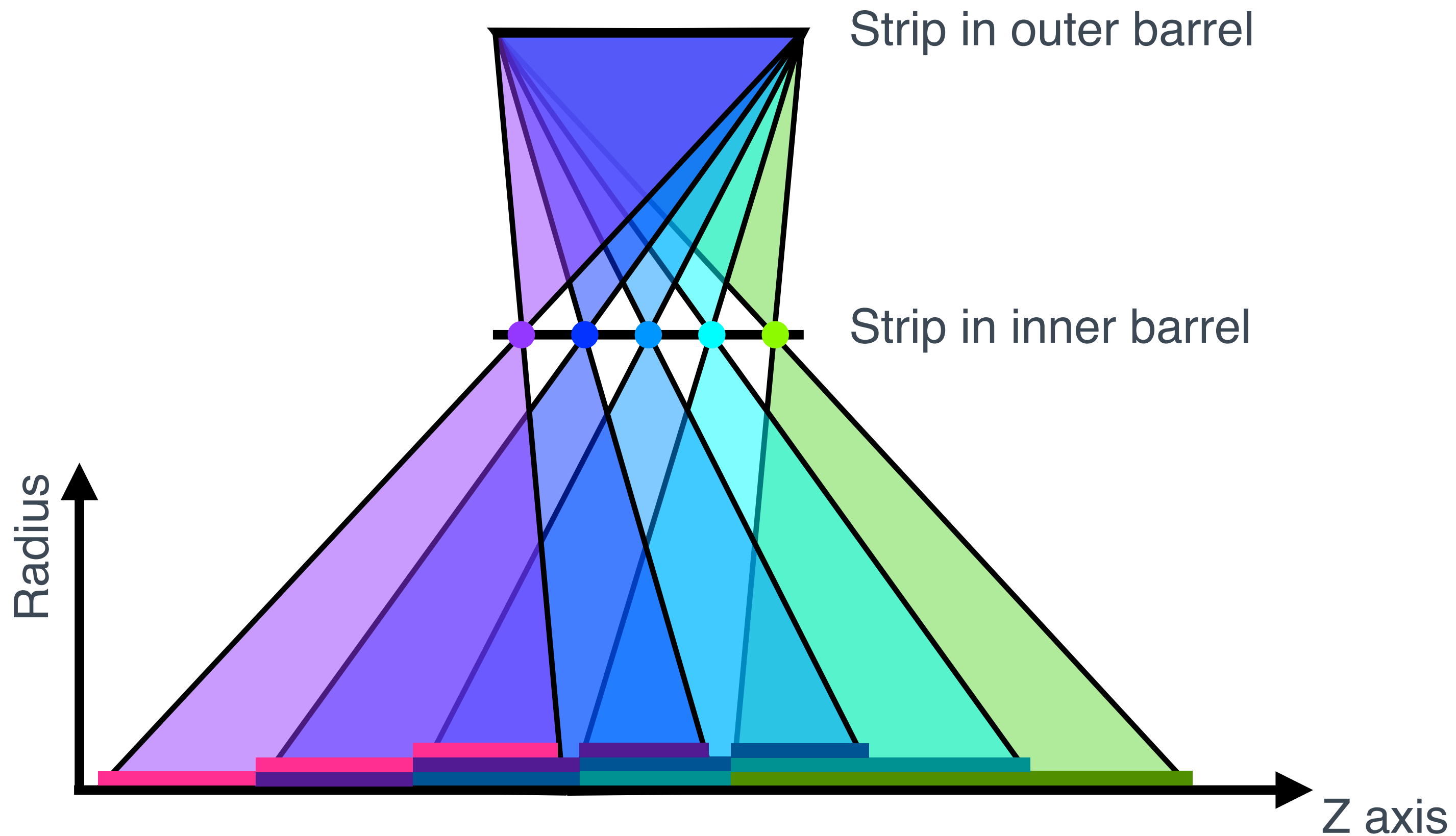


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

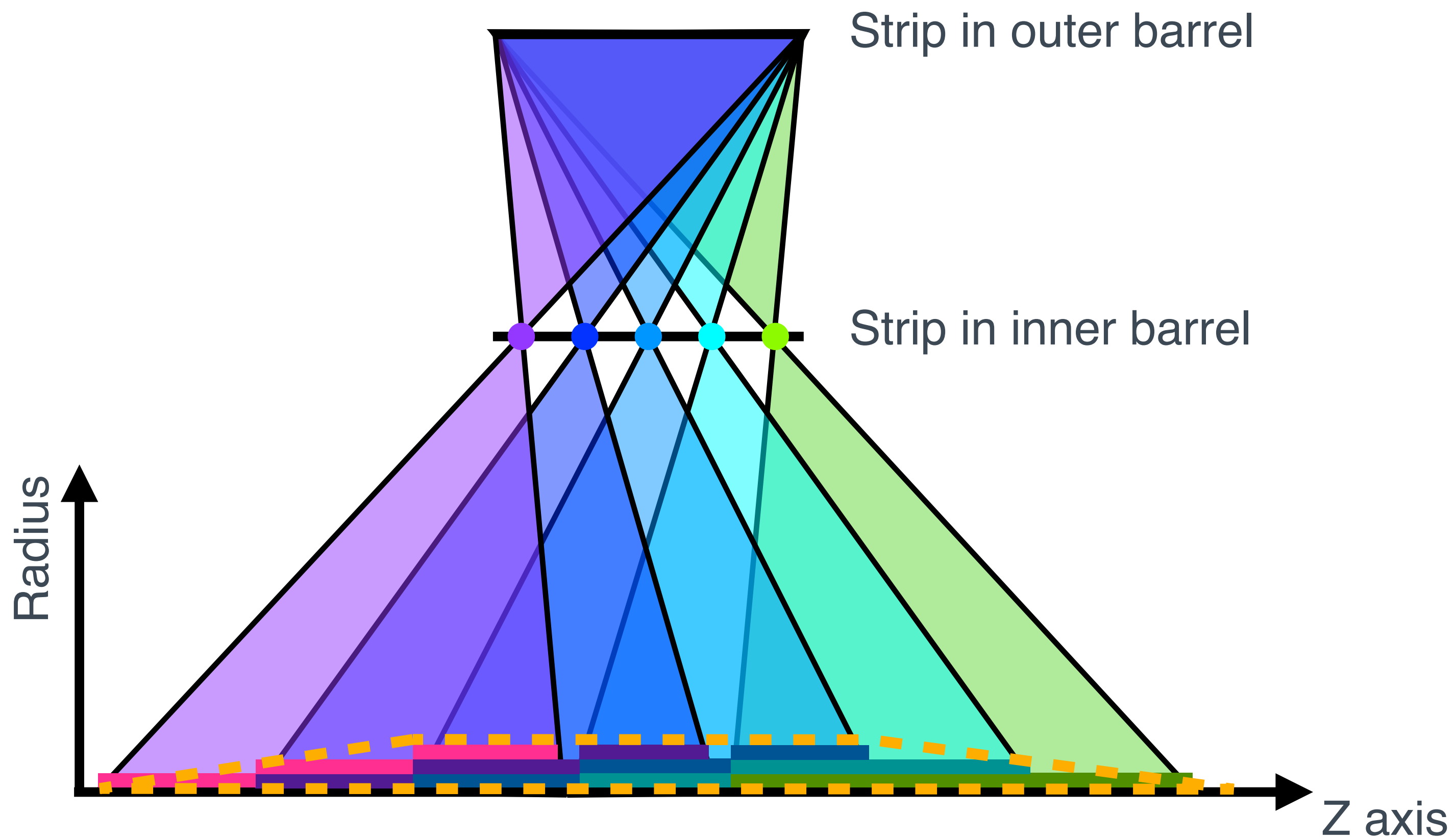


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

For each combination

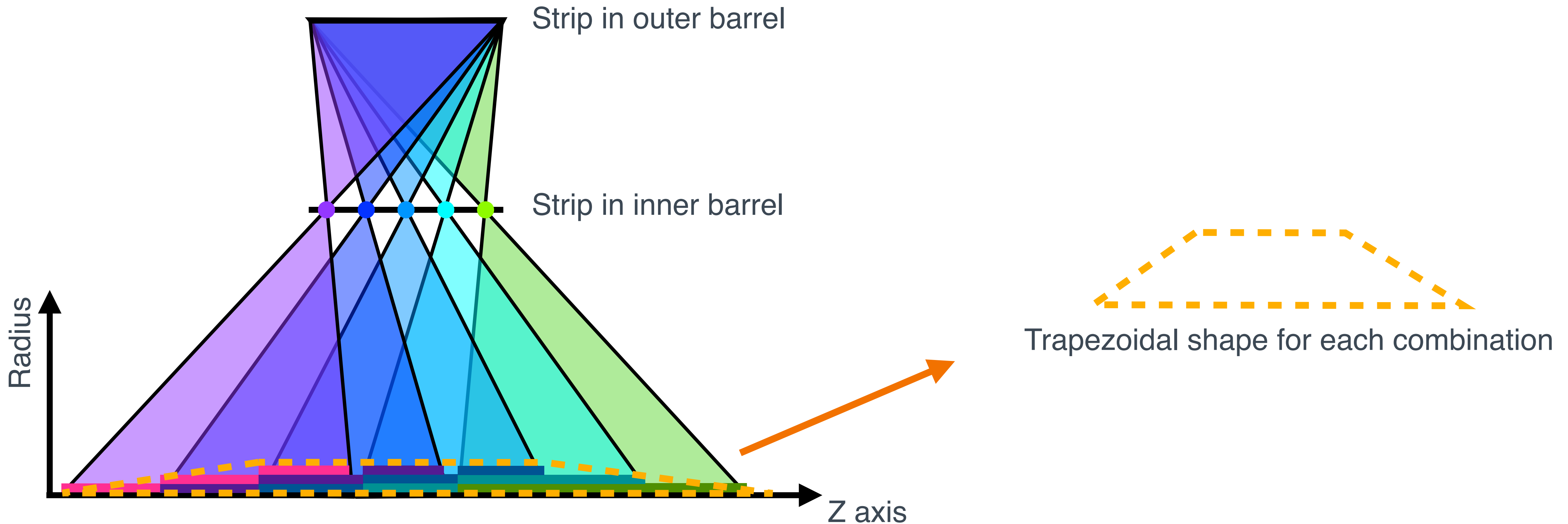


Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

Per-event vertex Z reconstruction

- Idea given by Akiba san. For each combination, take into account of the distribution of the possible vertex Z range, and normalize the distribution, and fill into the histogram. (Used to assume the Uniform distribution of the vertex Z)

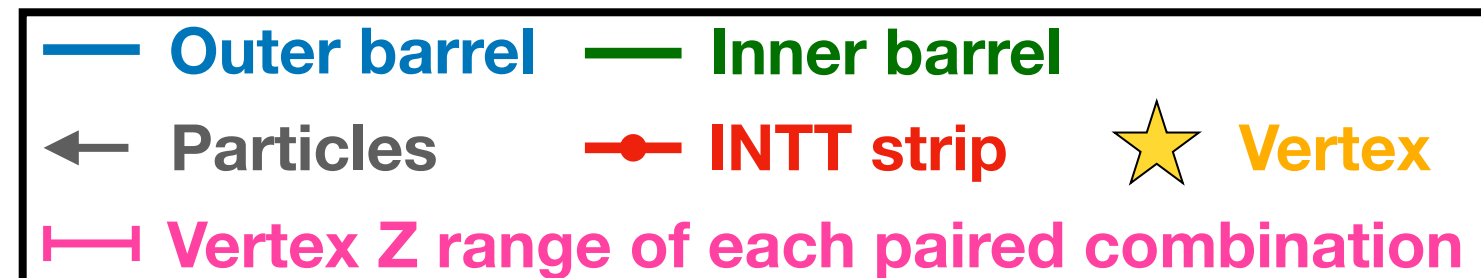
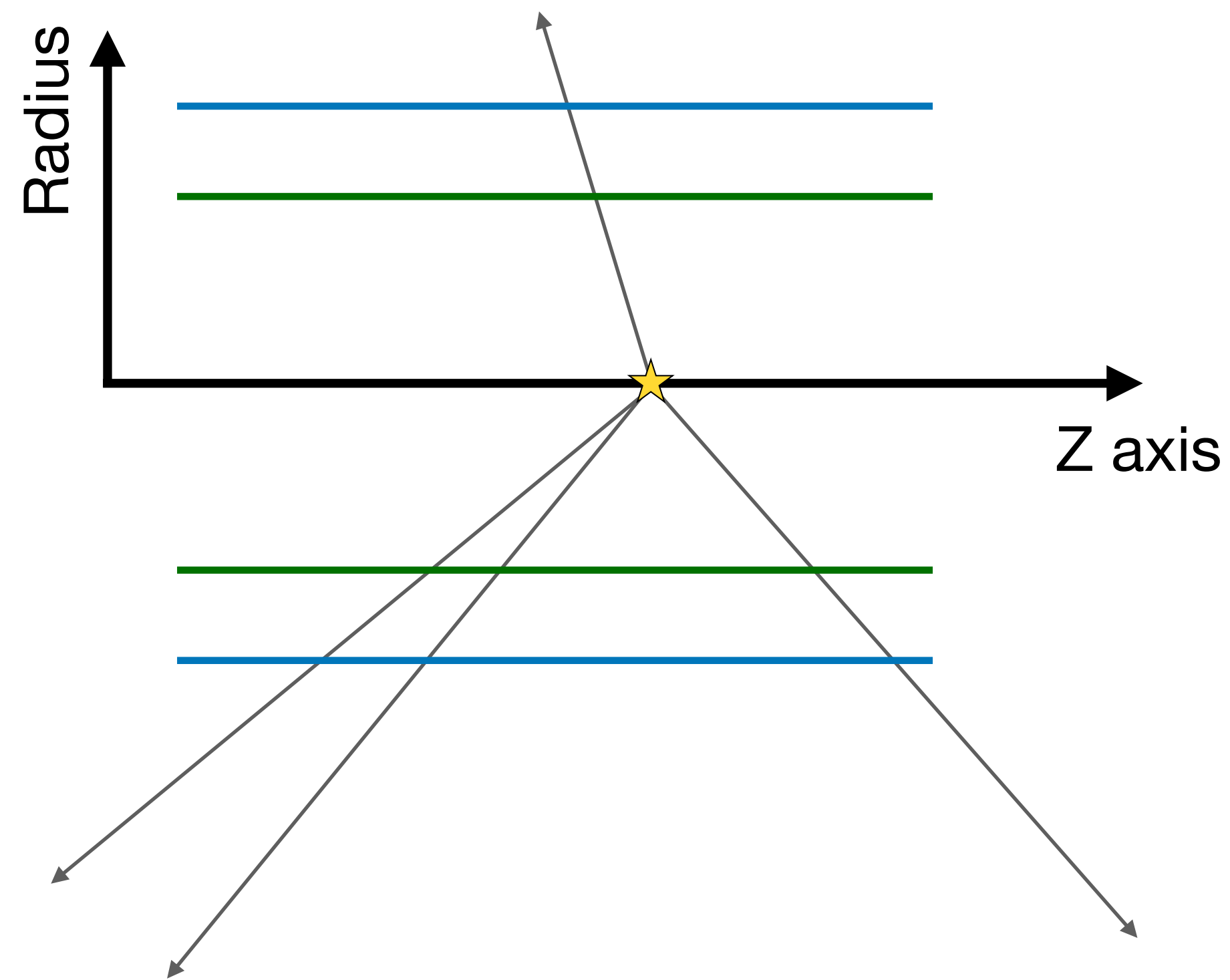
For each combination



Caveat: for each combination in single event, have to have the shape, and fill that into histogram, not trivial...

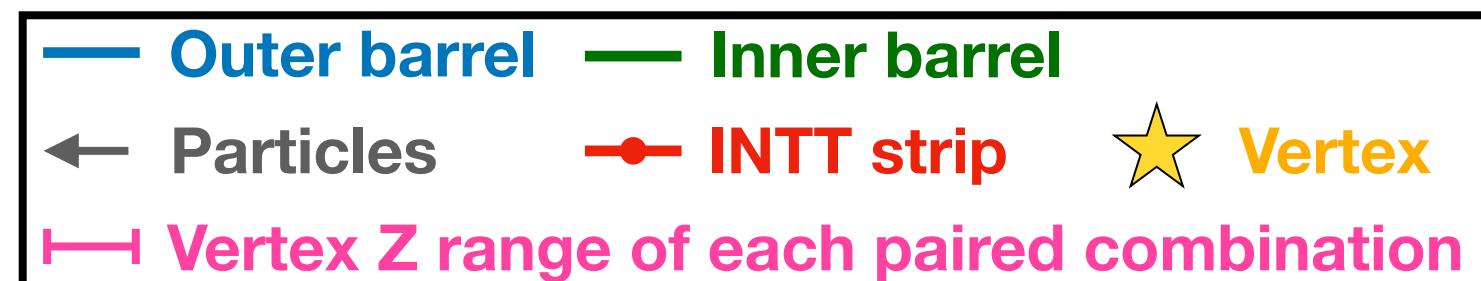
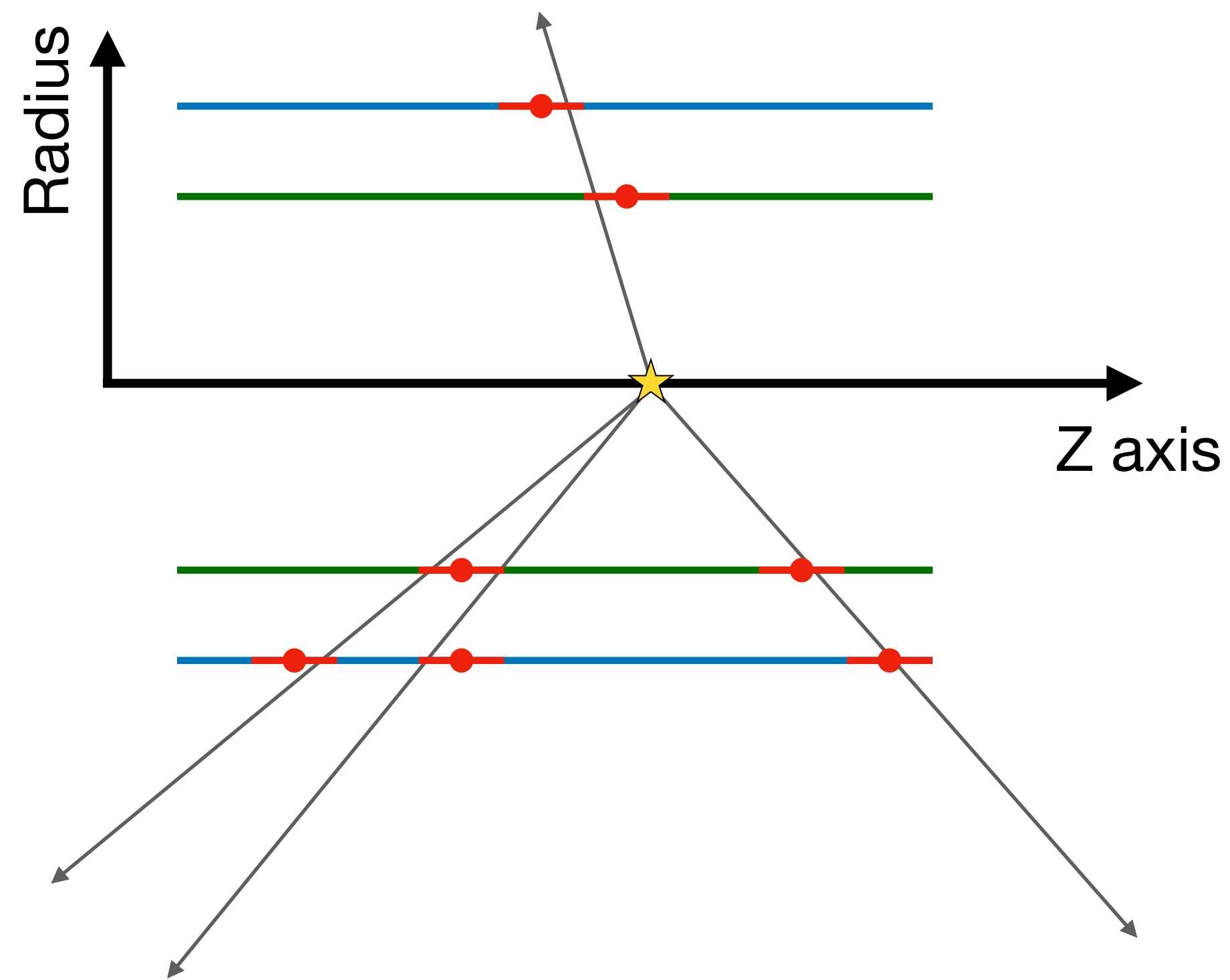
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



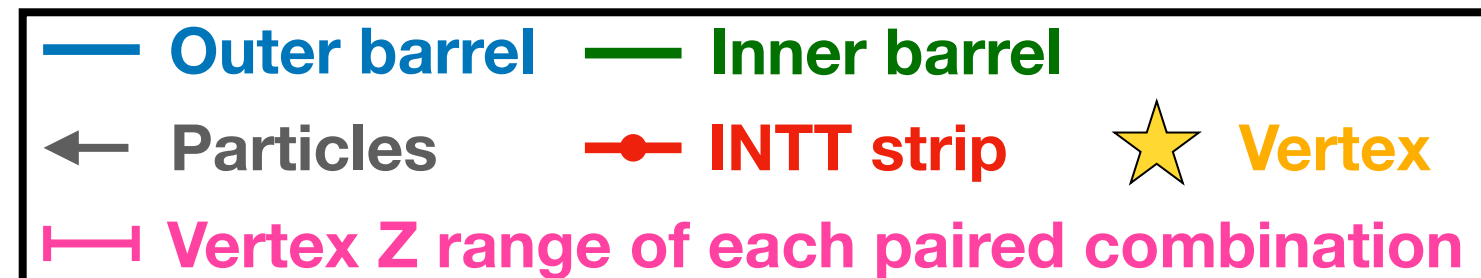
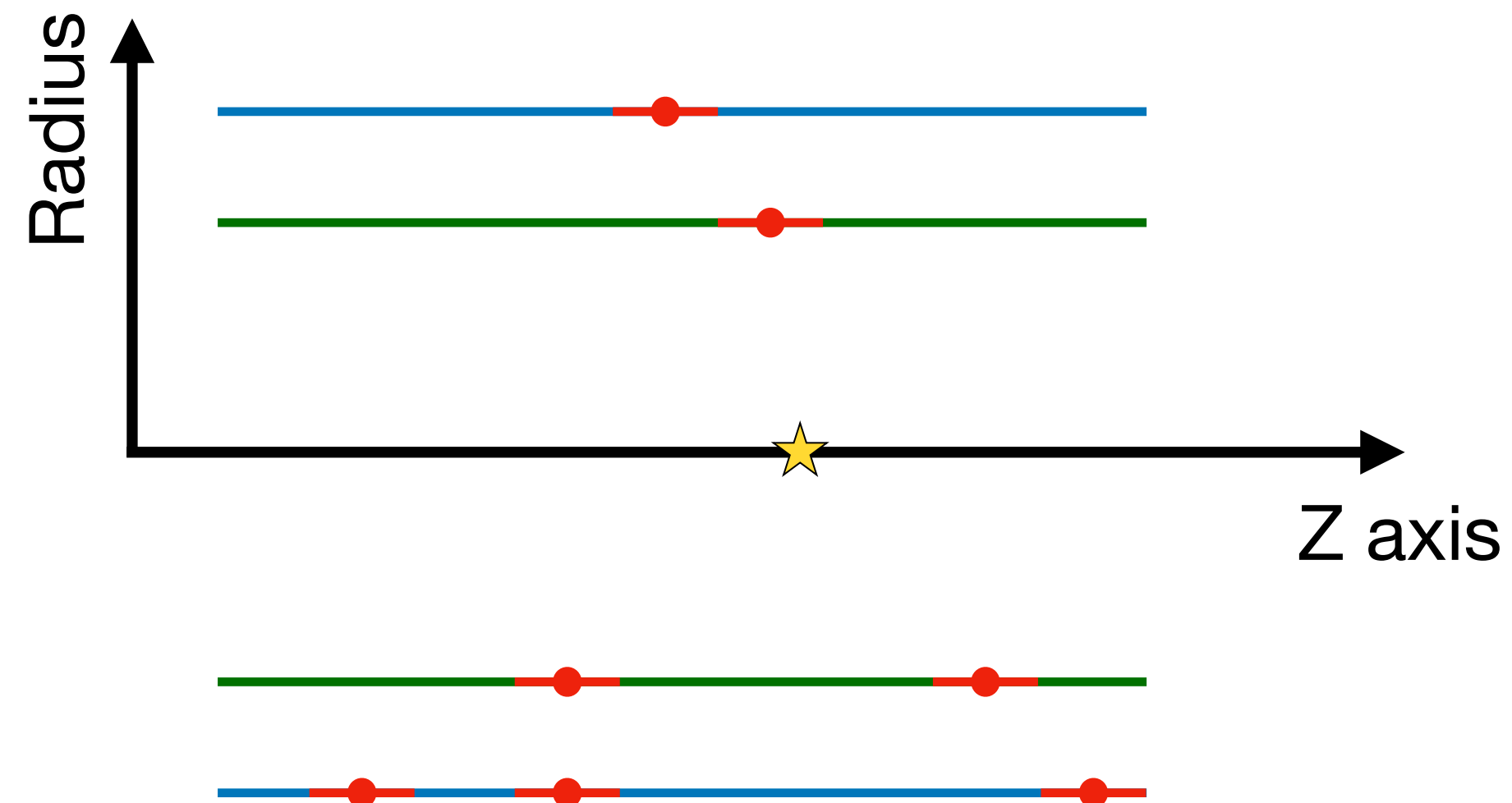
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



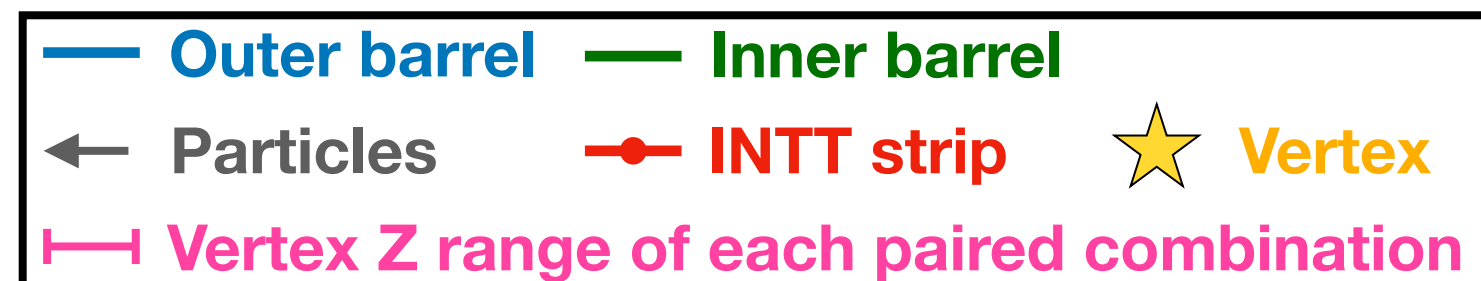
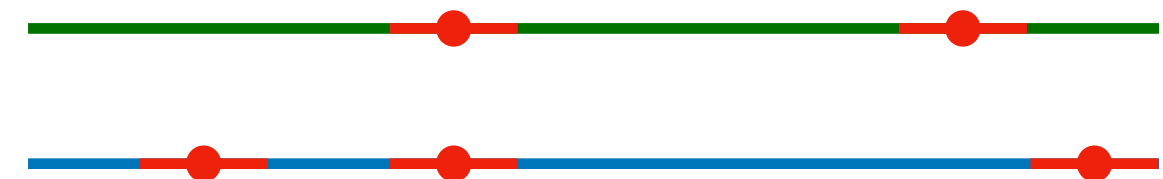
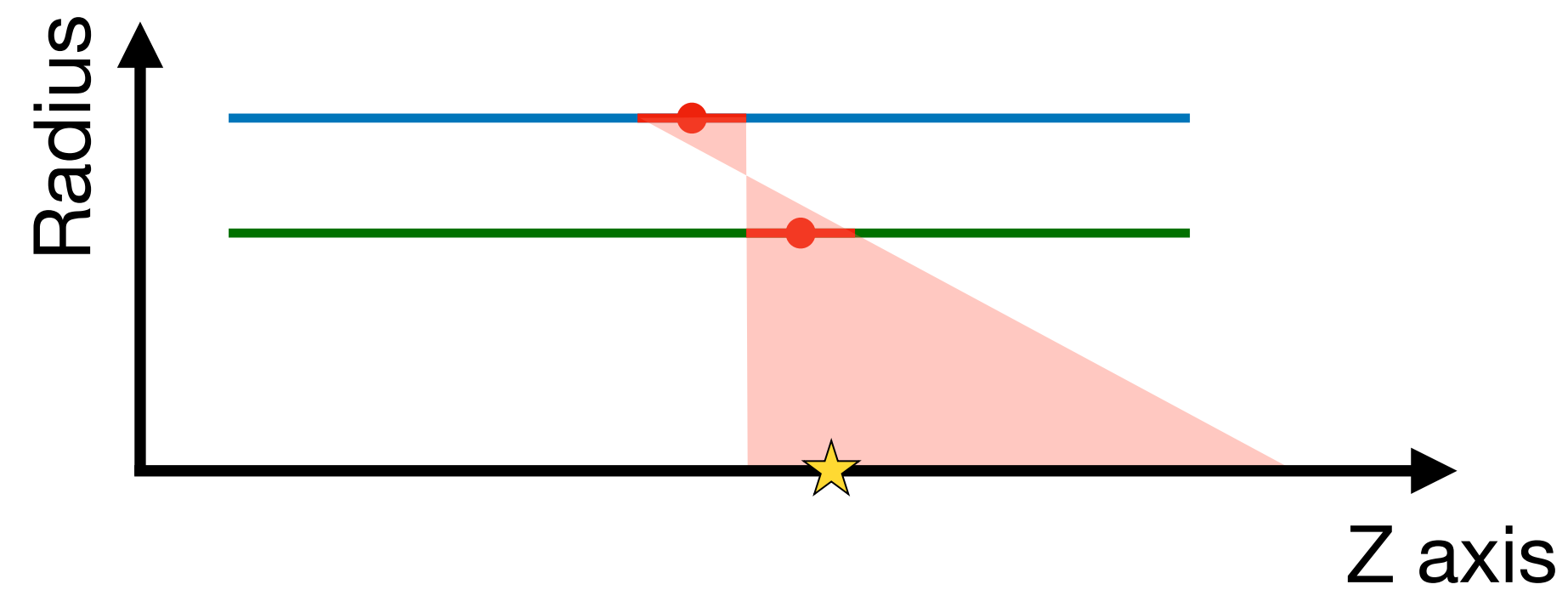
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



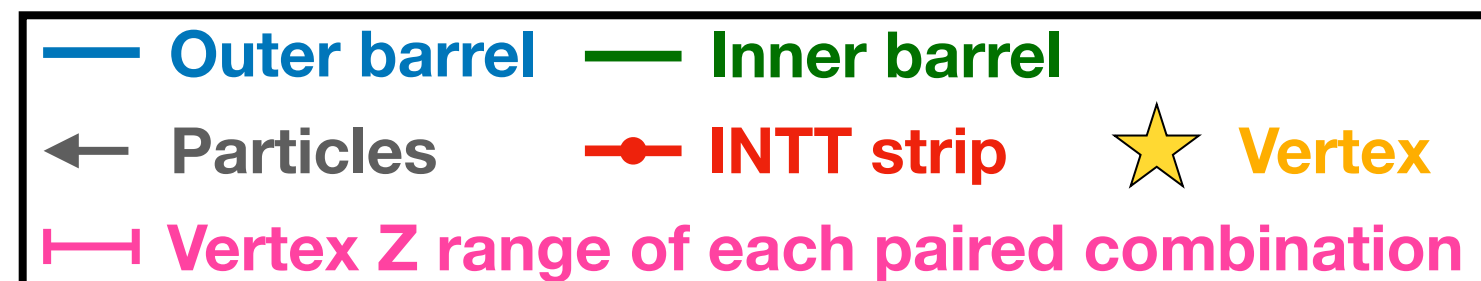
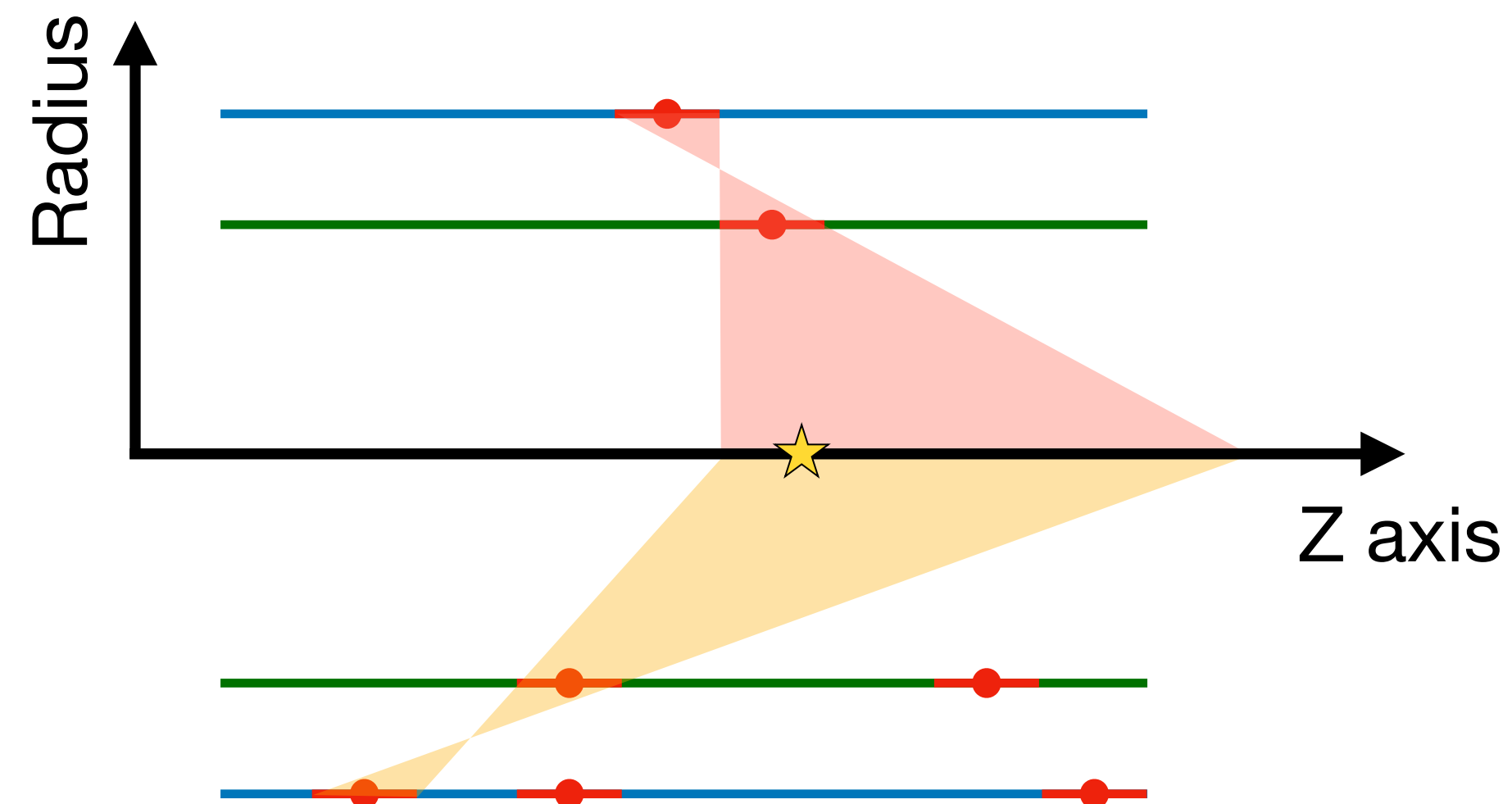
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



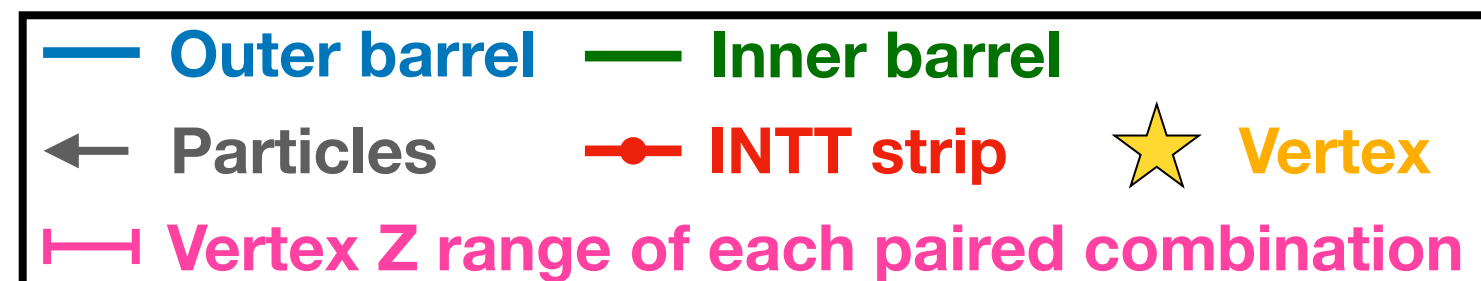
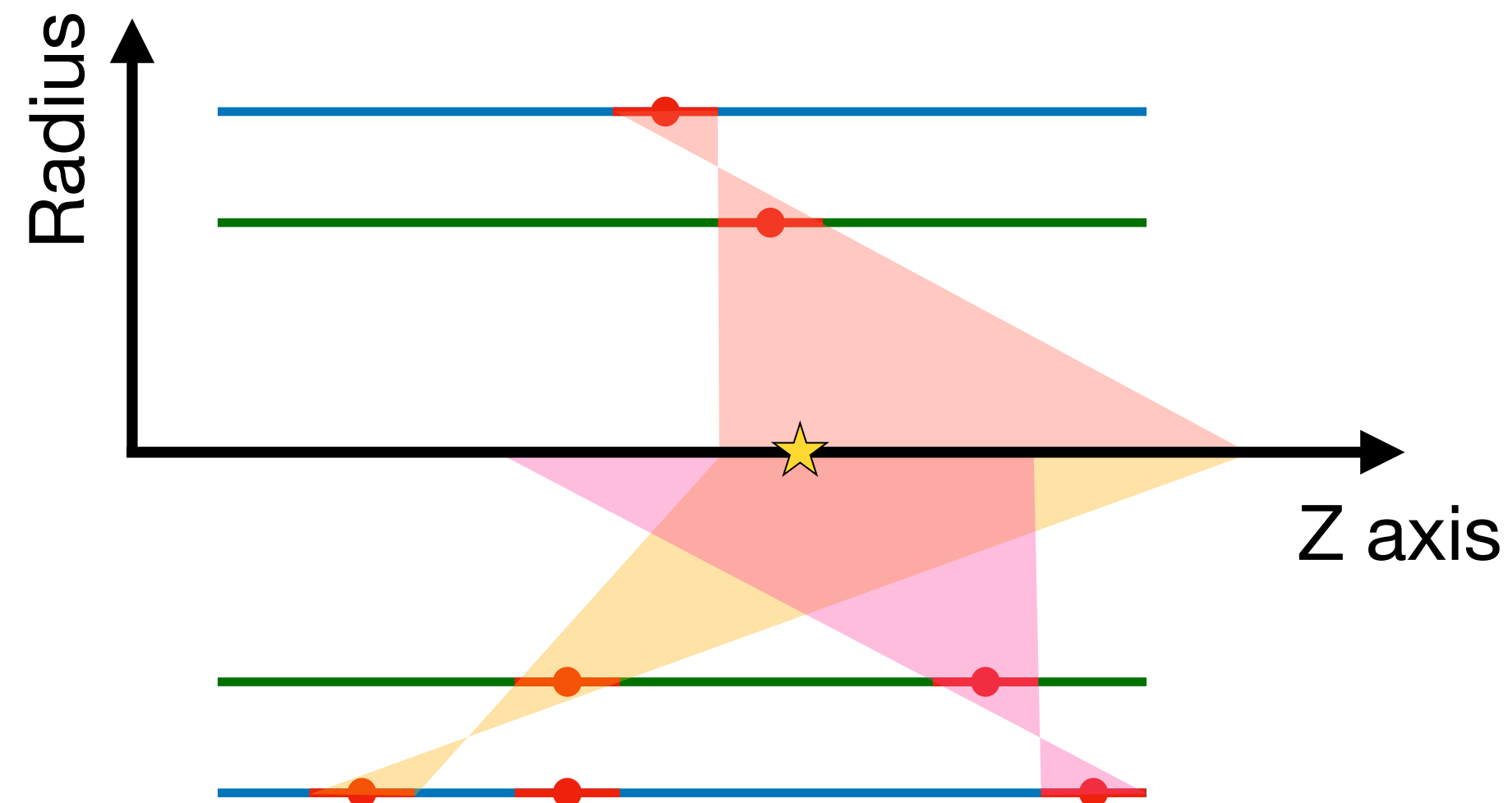
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



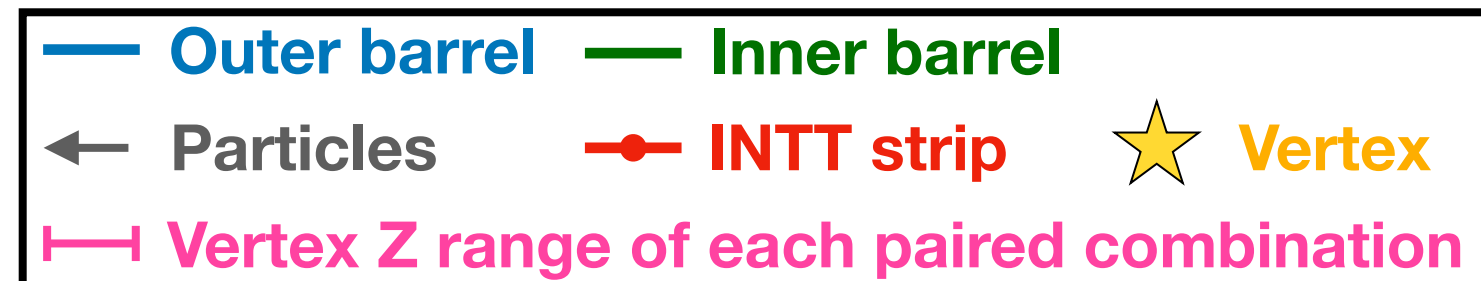
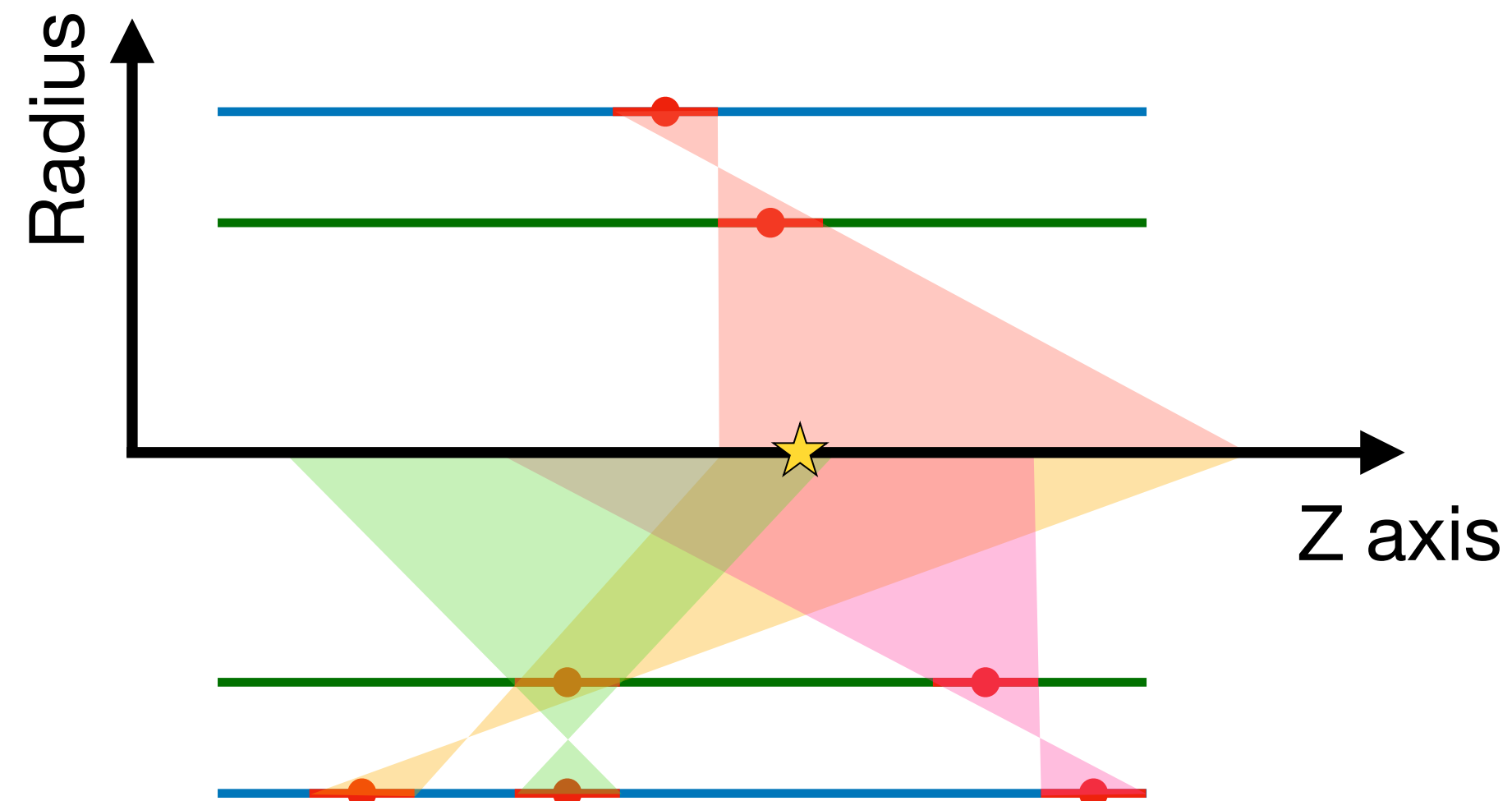
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



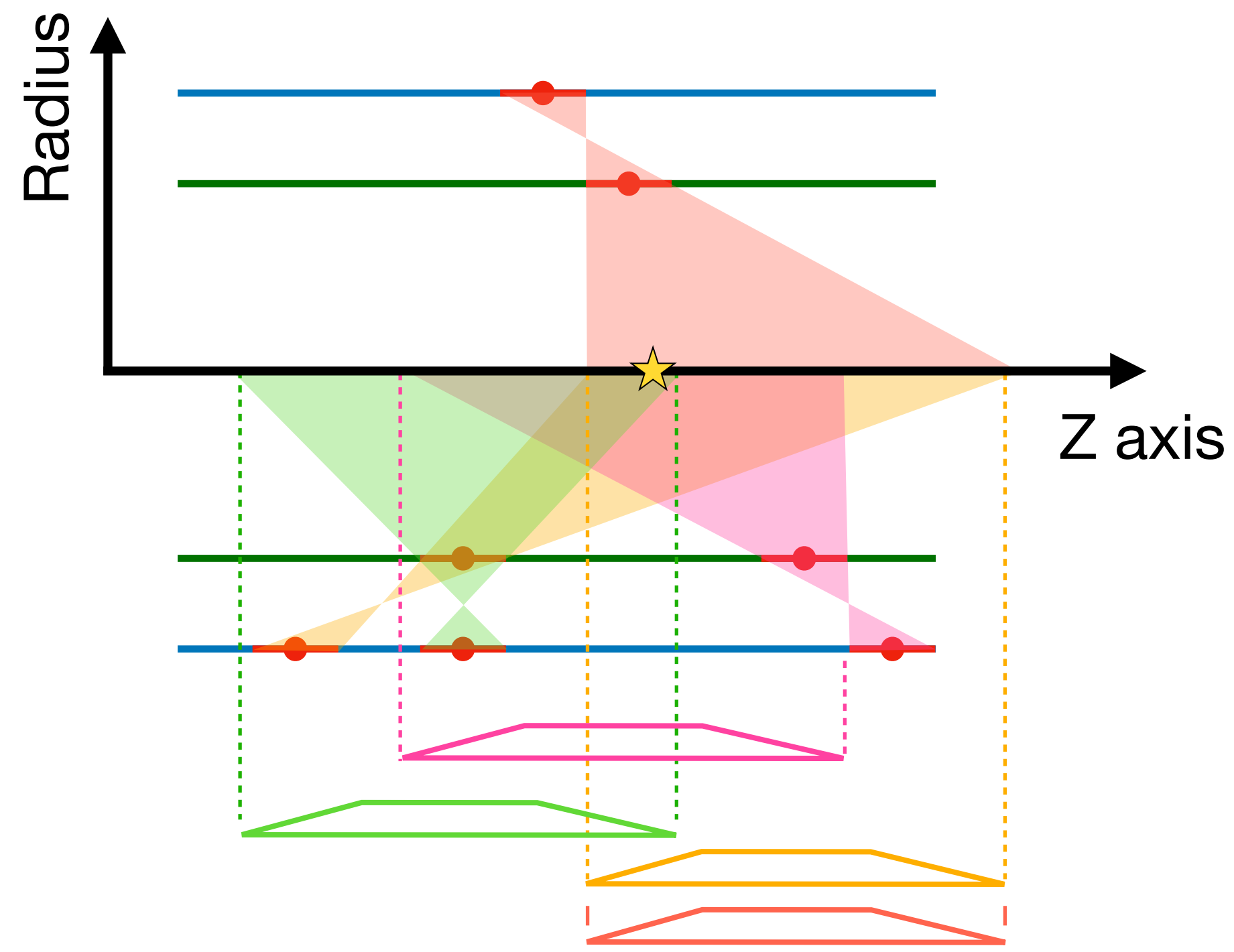
Per-event vertex Z reconstruction

- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



Per-event vertex Z reconstruction

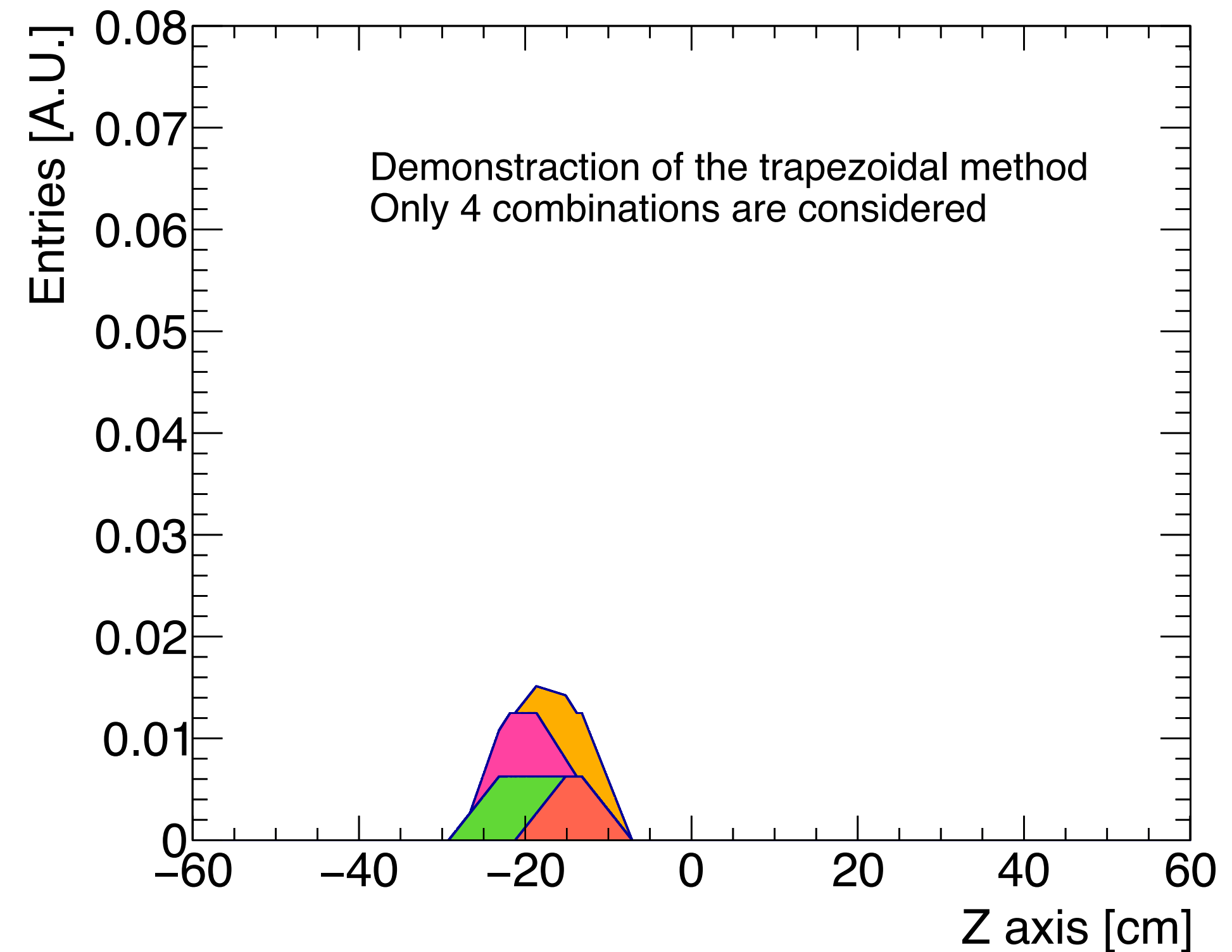
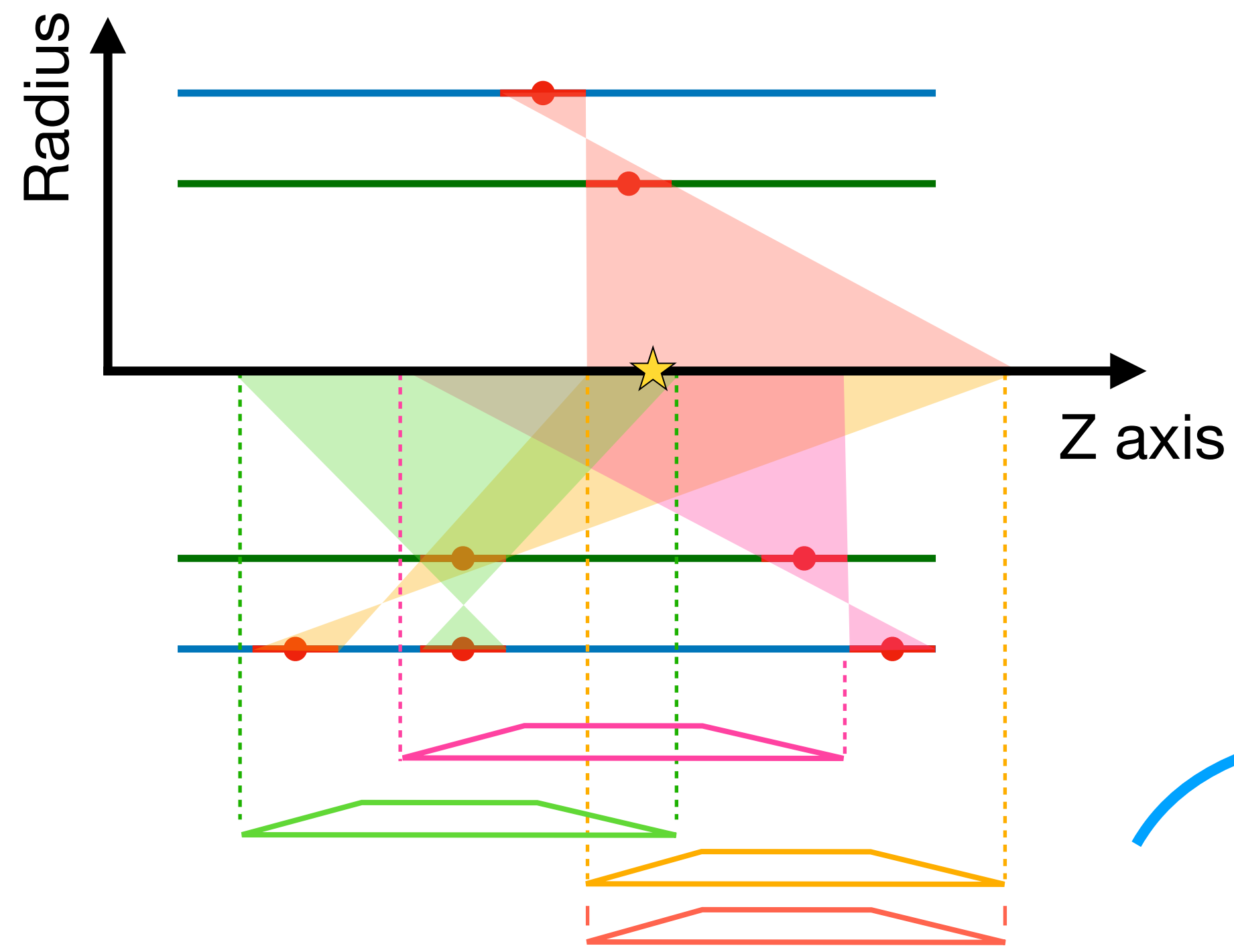
- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



— Outer barrel — Inner barrel
← Particles —●— INTT strip ★ Vertex
— Vertex Z range of each paired combination

Per-event vertex Z reconstruction

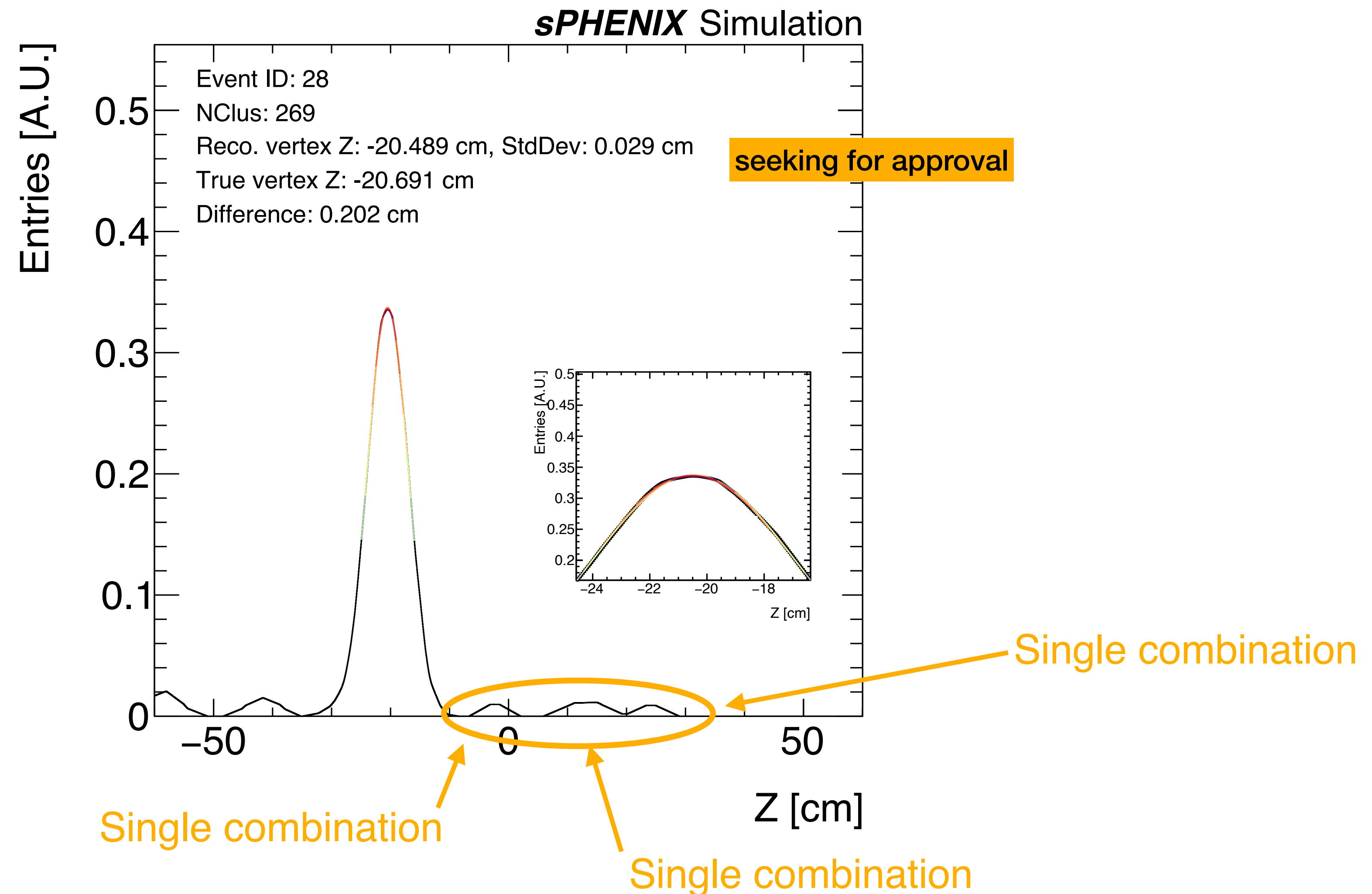
- Correct the cluster ϕ based on the reconstructed average vertex XY
- Loop over the combination, and keep the combinations with $\Delta\phi \leq \phi_{\text{cut}}$ and $\text{DCA} \leq \text{DCA}_{\text{cut}}$
- Move to the Z-radius plane



— Outer barrel — Inner barrel
← Particles —●— INTT strip ★ Vertex
— Vertex Z range of each paired combination

Per-event vertex Z reconstruction

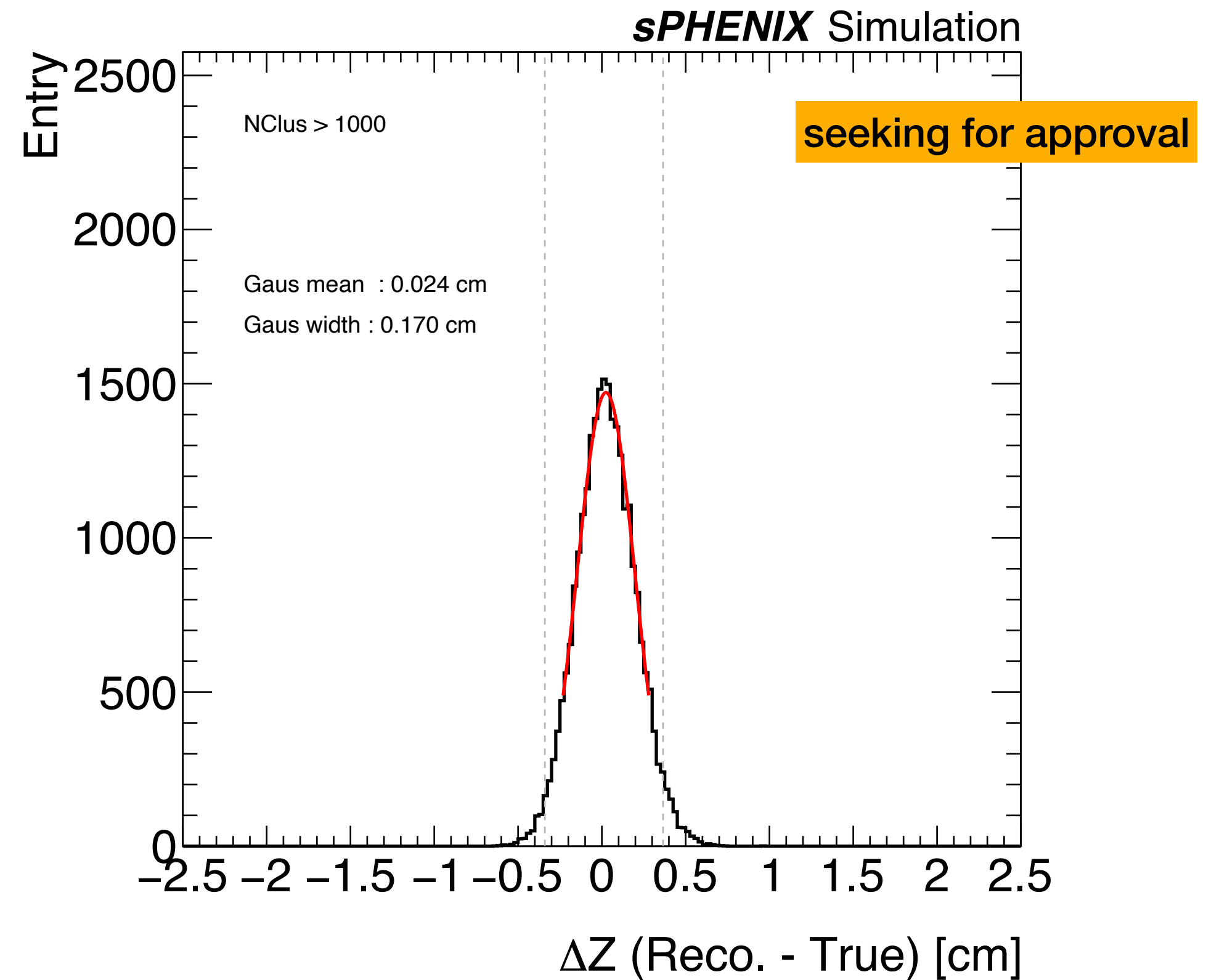
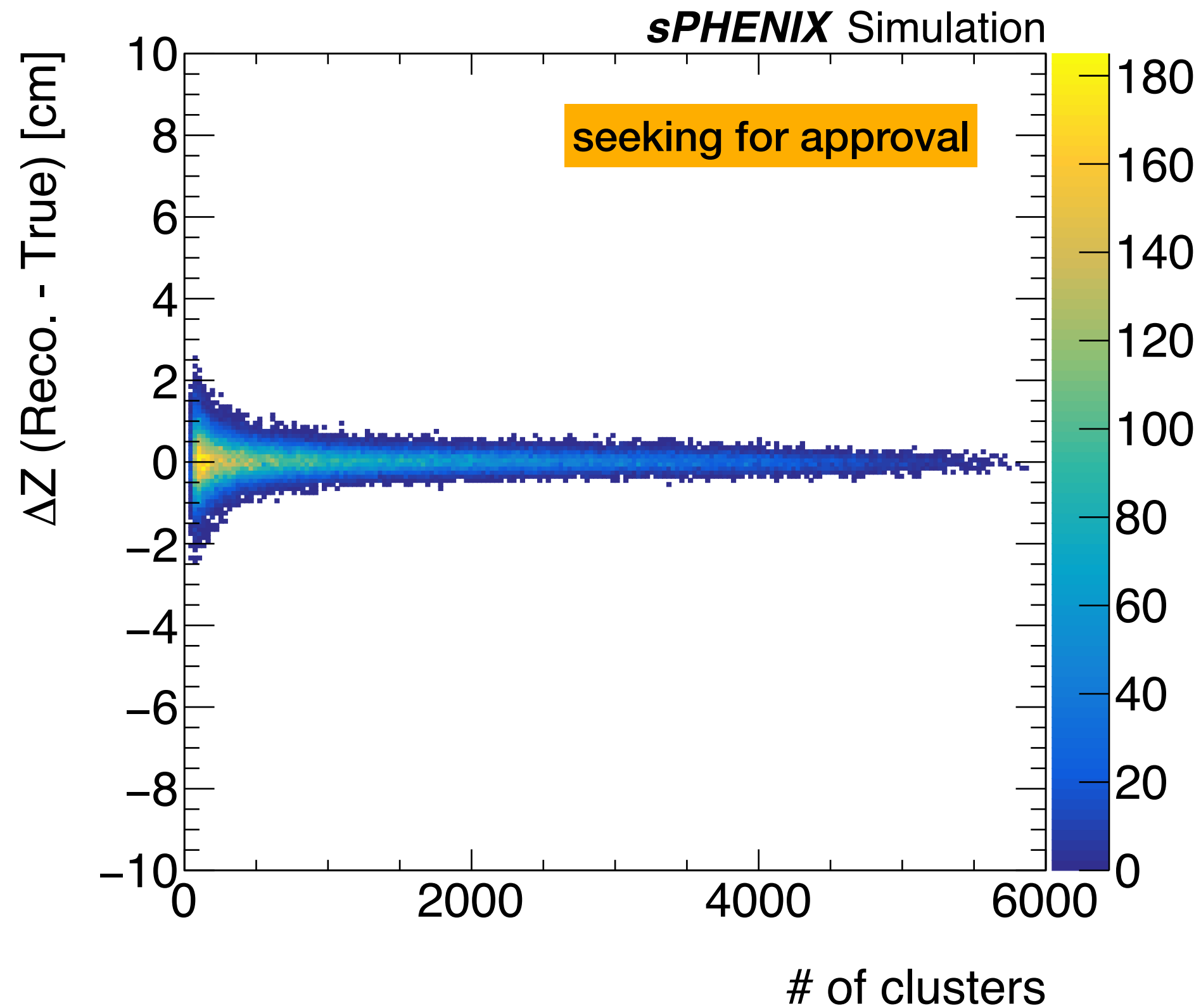
Final vertex Z given by average of 7 gaussian fits with the fit ranges of “mean $\pm(0.2 + 0.15 \times i)$ x **the_50%_width**”



Per-event vertex Z reconstruction

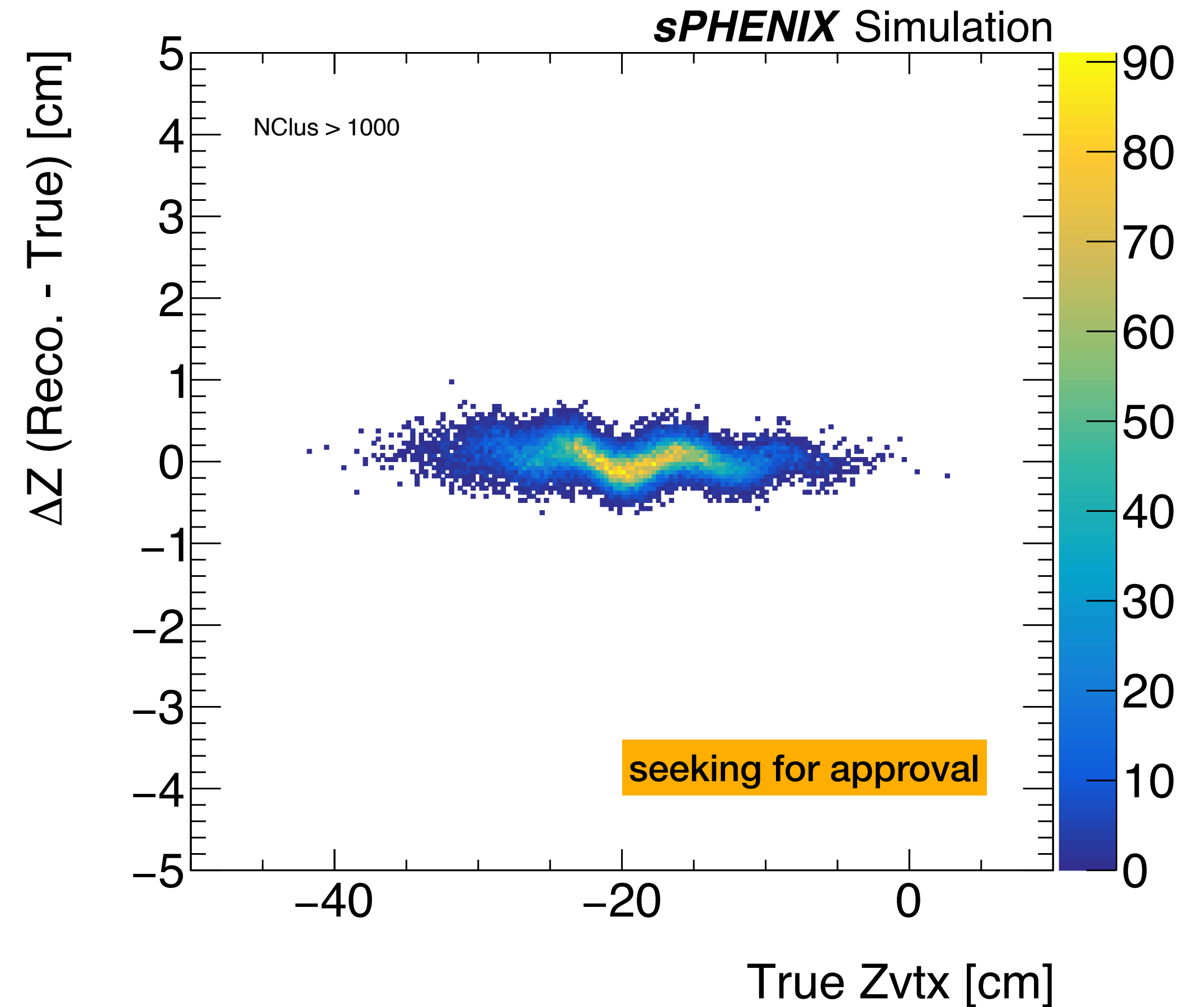
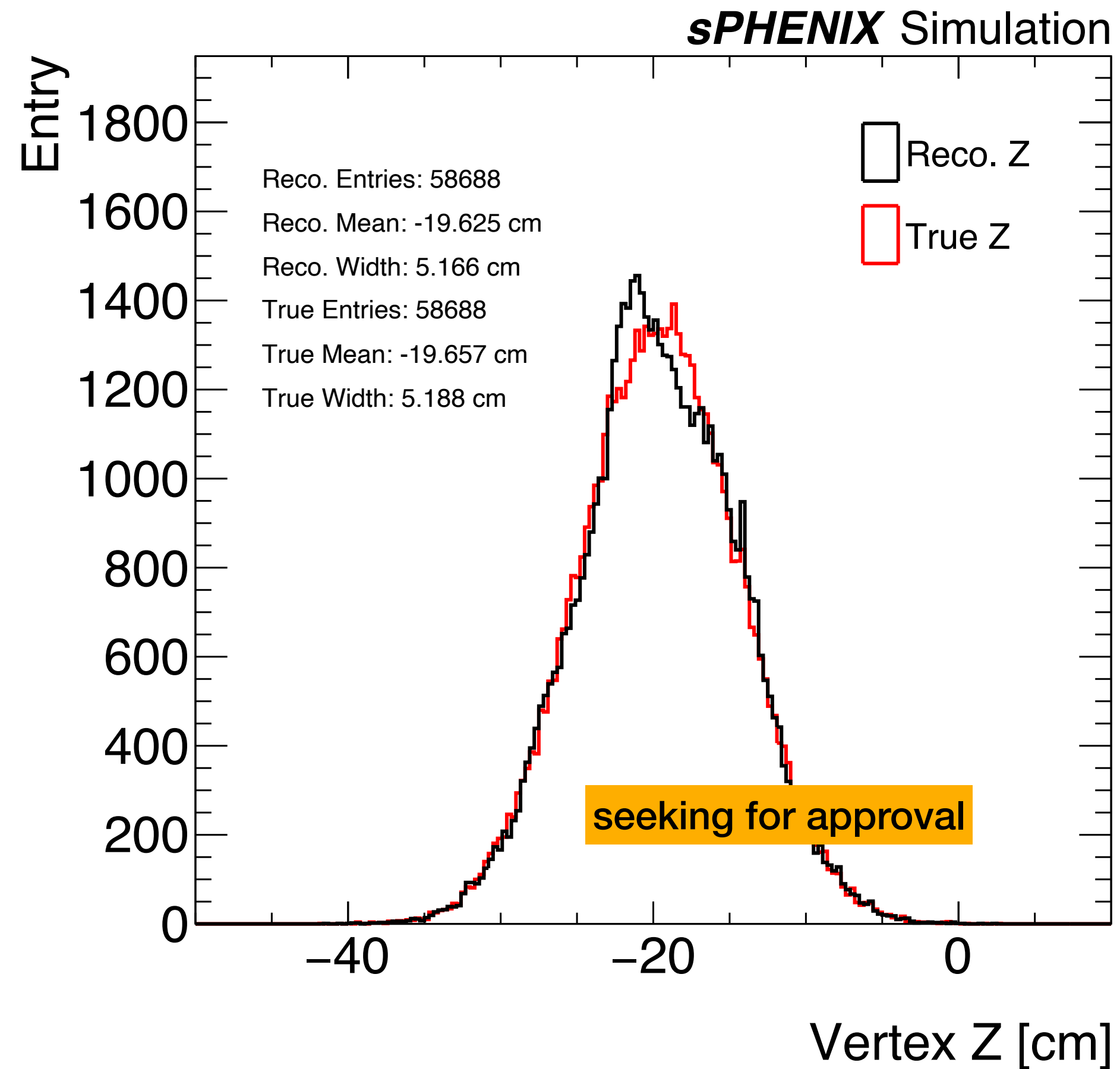
MC zvtx setting: Gaussian (-20 cm, 5 cm)

zvtx rage : -30 cm ~ 0 cm



The higher multiplicity the more accurate vertex Z determined
1.7 mm resolution in the region of number of clusters > 1000

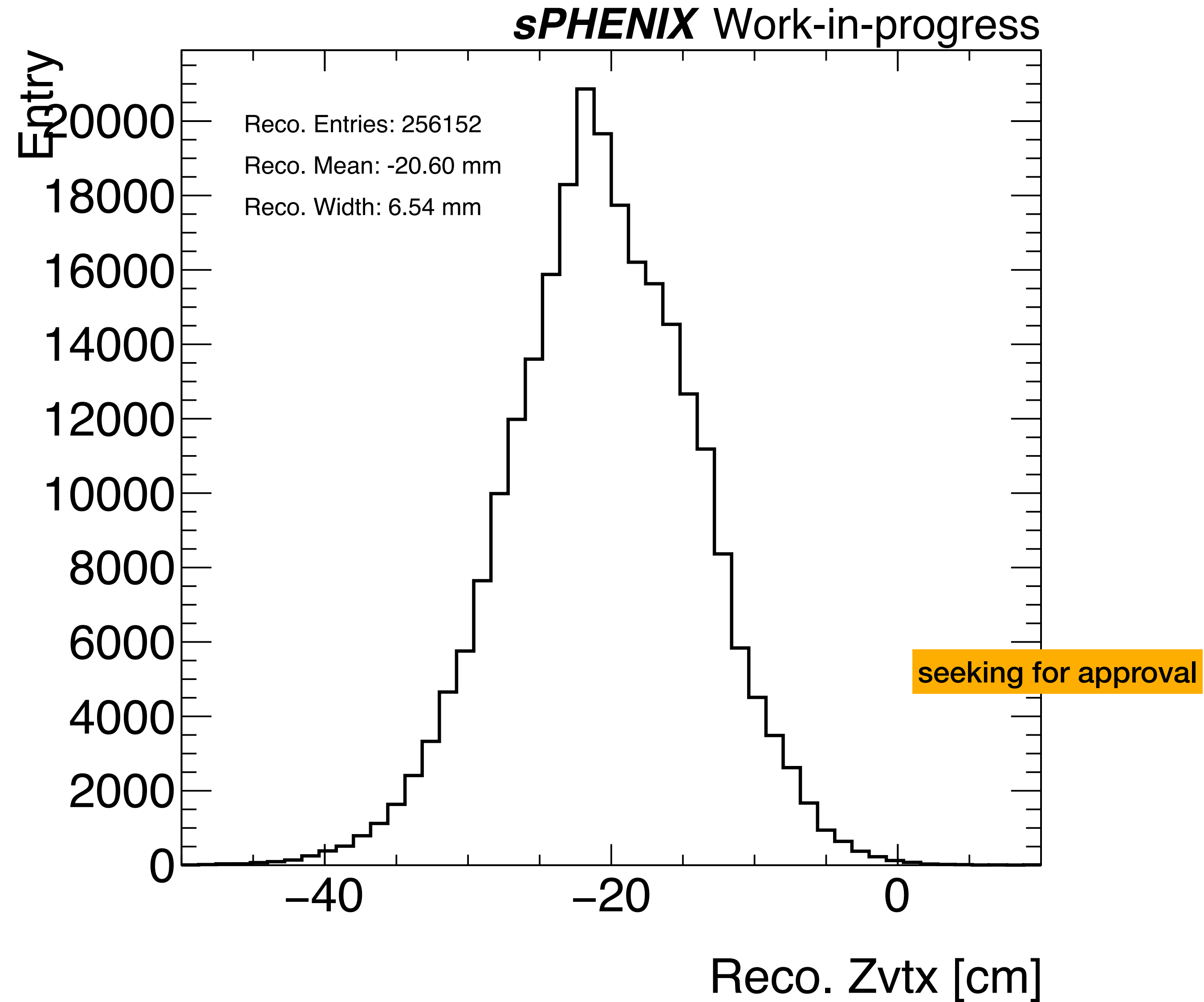
Per-event vertex Z reconstruction



The wiggling structure due to the fact that the collisions happened near the edge of INTT

Per-event vertex Z reconstruction

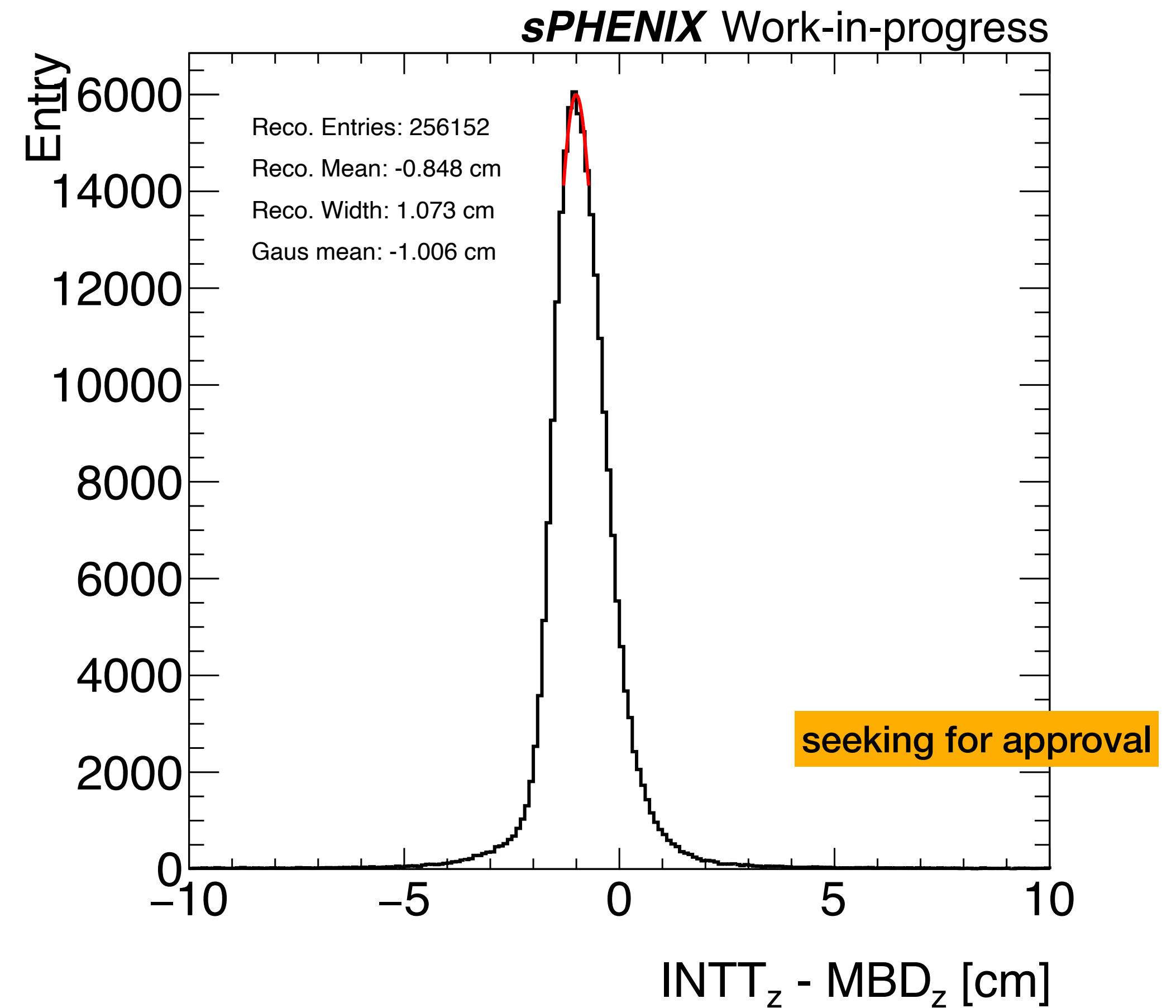
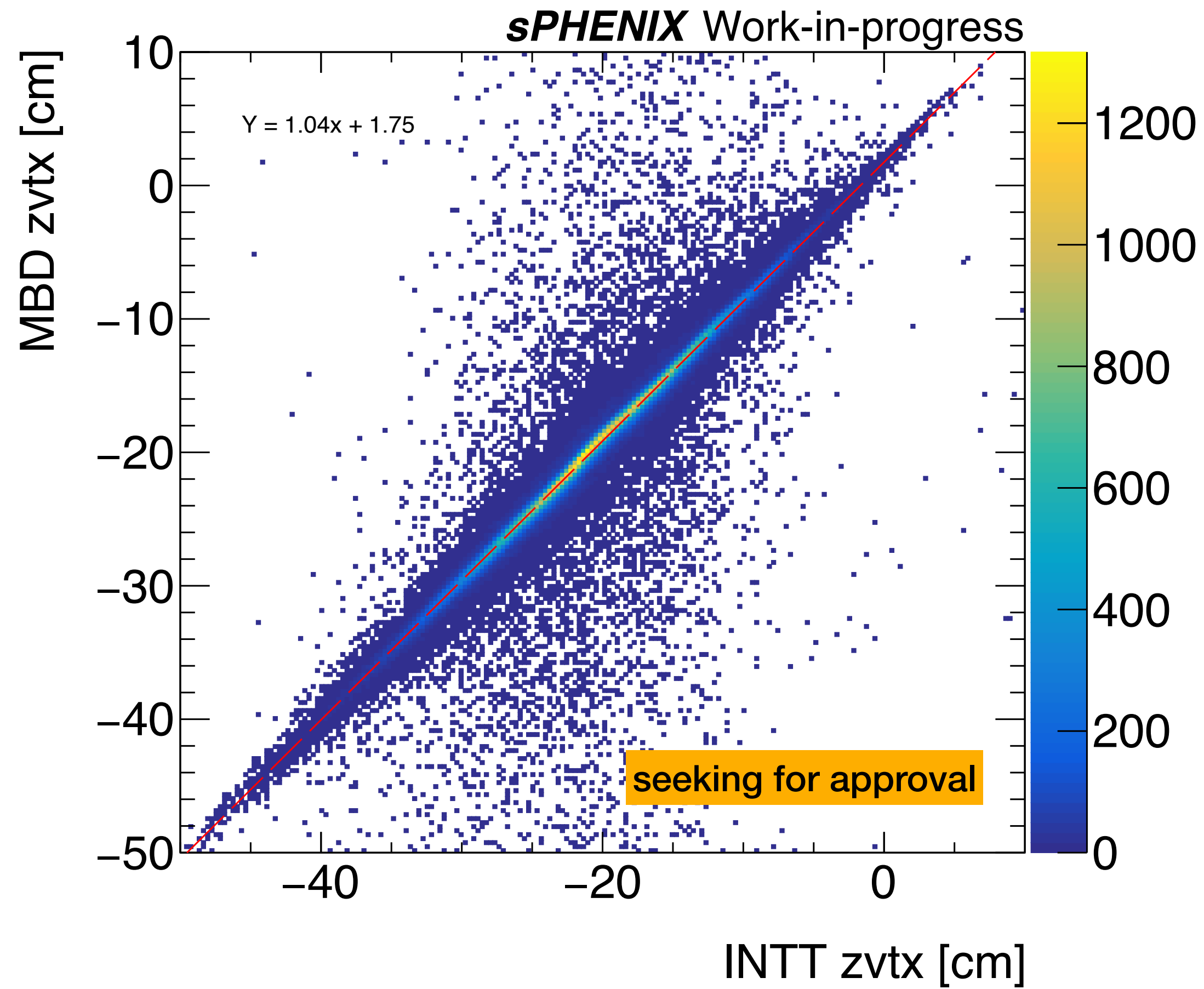
Data



Per-event vertex Z reconstruction

Data

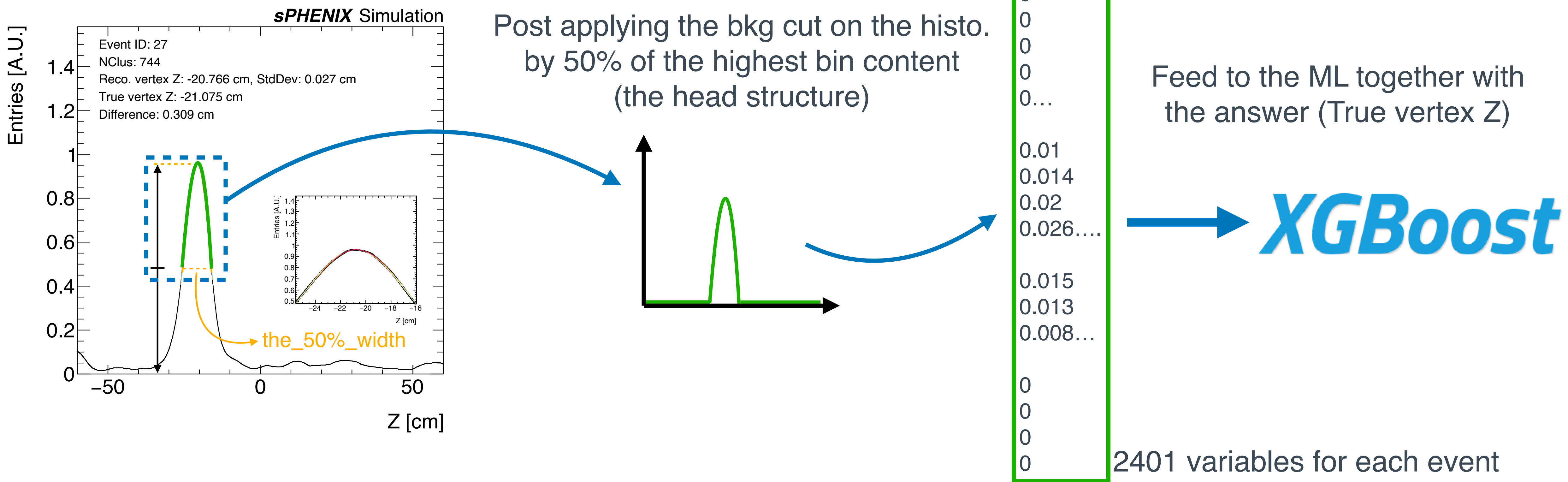
The comparison between MBD reco. vertex Z



The optimization of vertex Z determination



- New trial: after having the histograms made of possible vertex Z ranges, use ML (XGBoost) to do the final vertex Z determination
- Training variables: the content of each bin of the histogram post the 50% entry cut (2401 variables currently, corresponding to the number of bins of histogram)
- Total MC events: 80k (75% training, 25% testing)



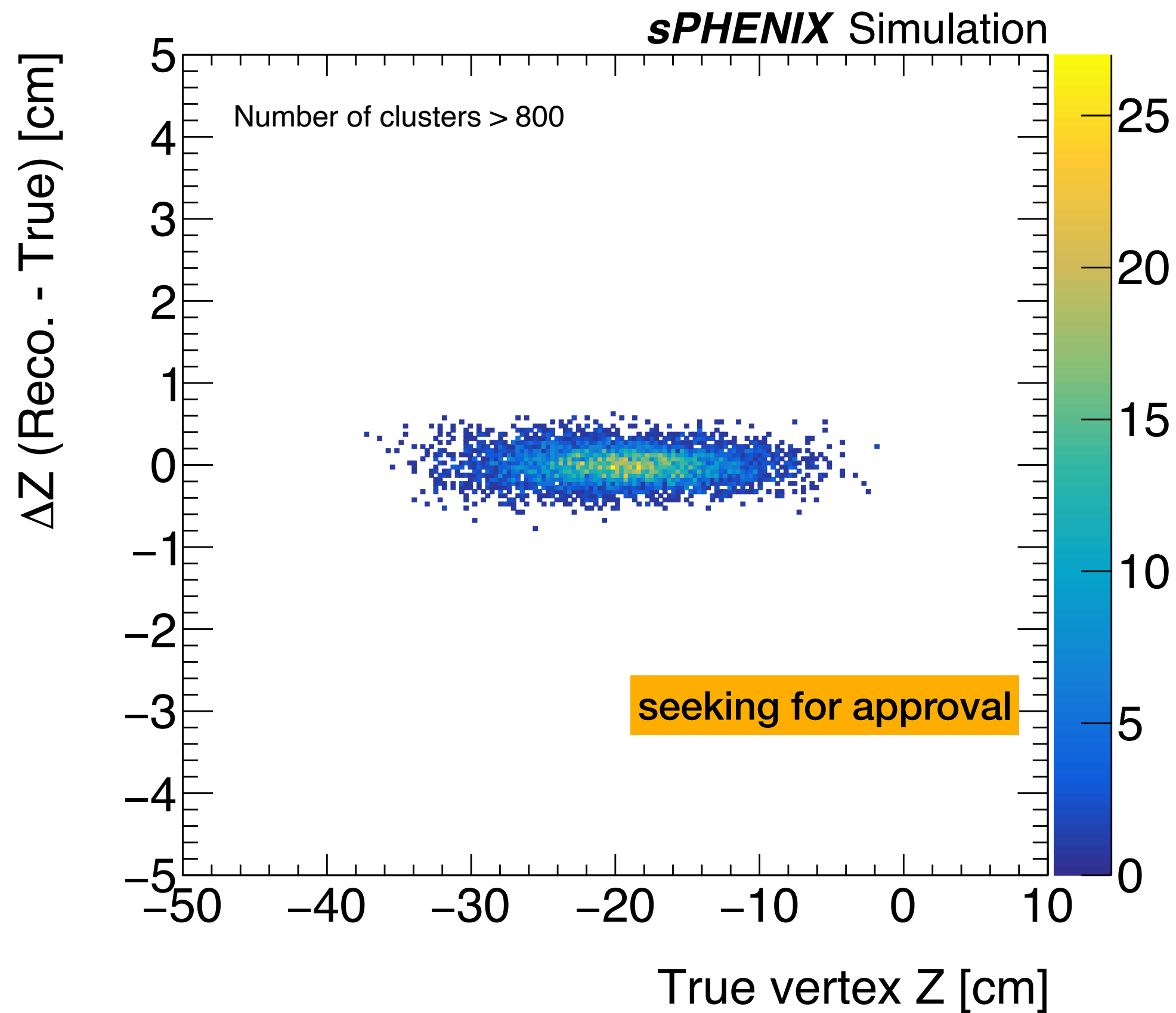
The optimization of vertex Z determination



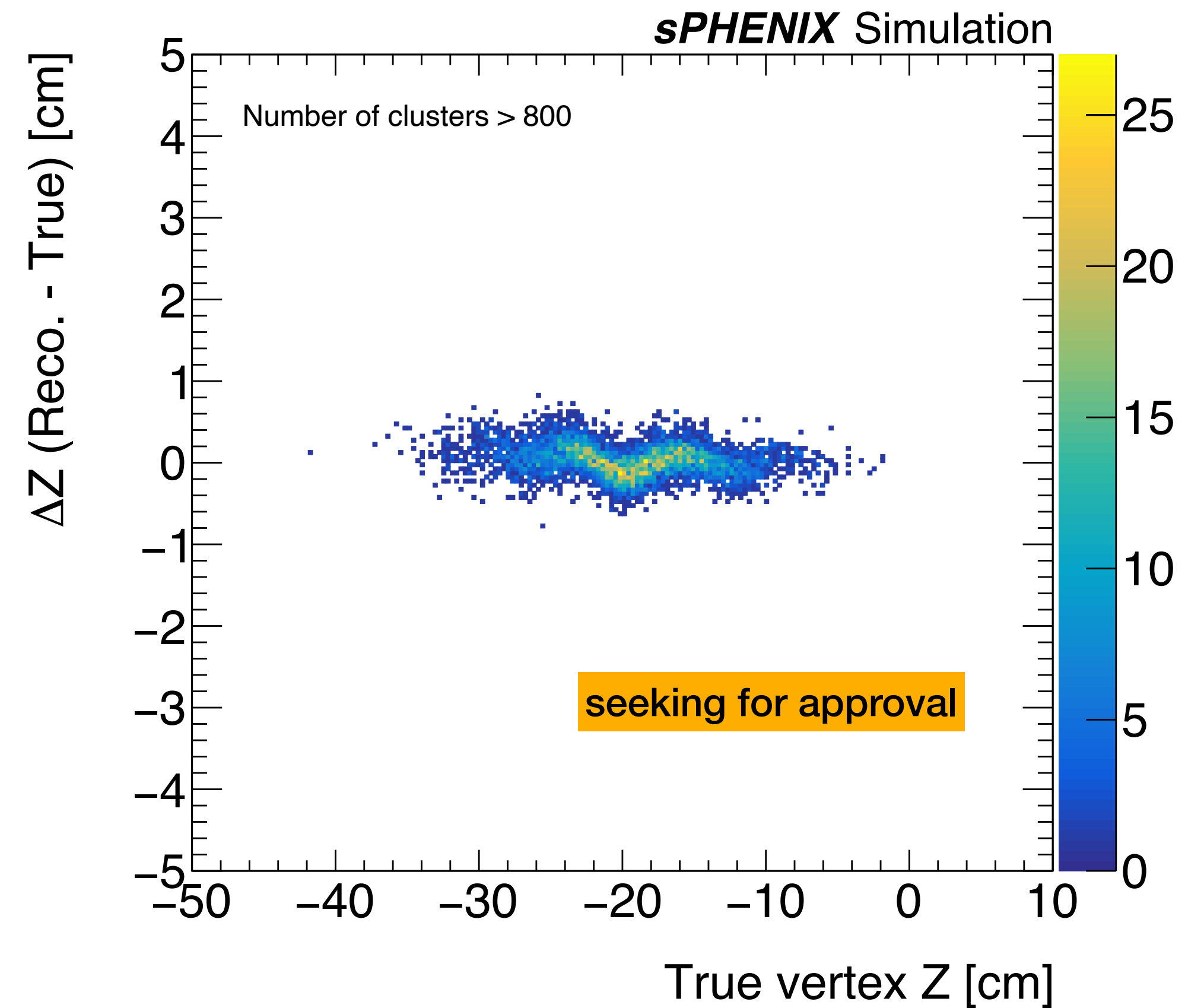
The test sample 25% of the total MC events

Number of cluster* > 800

Reco. vertex Z predicted by training model



Reco. vertex Z by 7 Gaus fittings

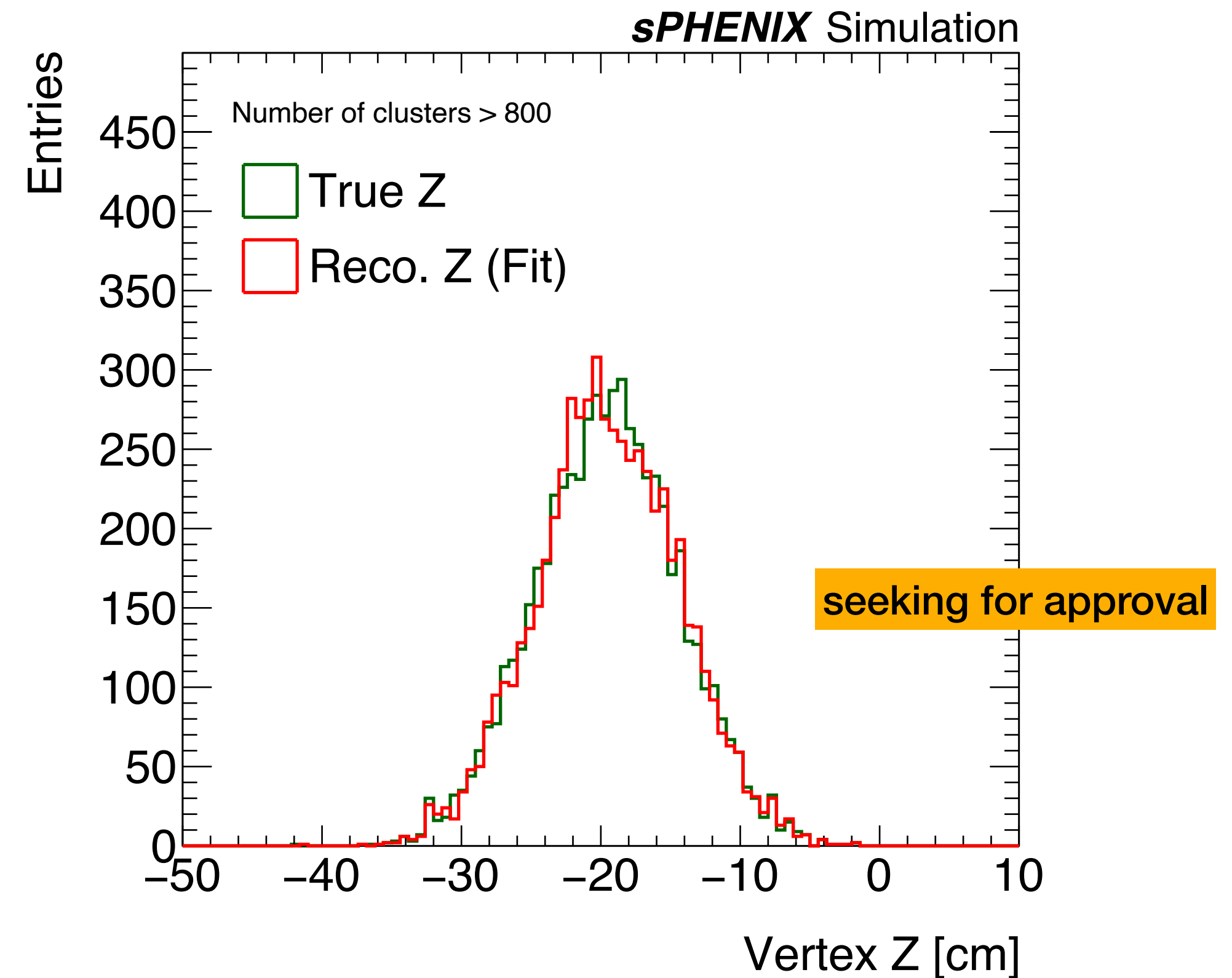
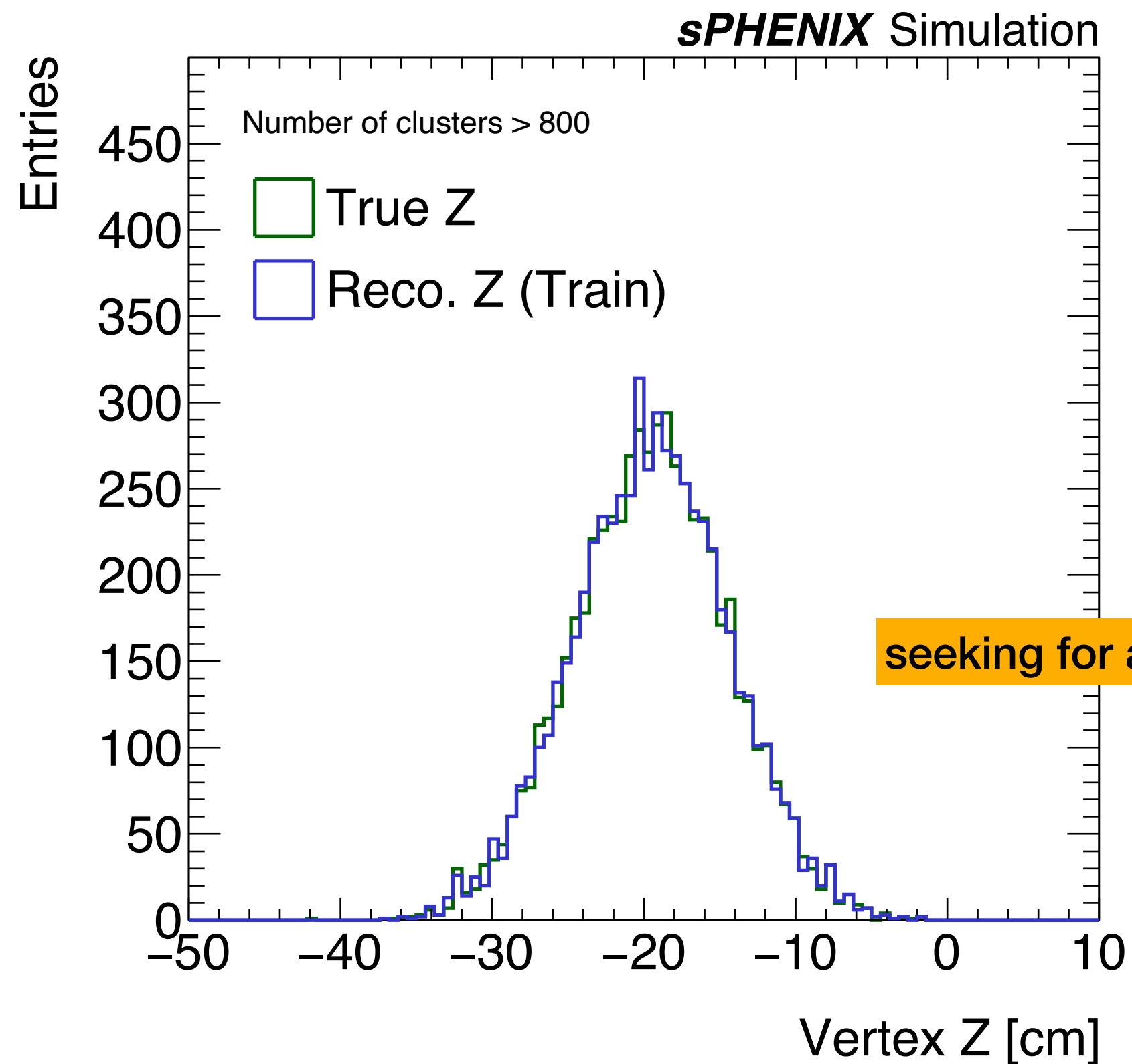


The optimization of vertex Z determination



The test sample 20% of the total MC events

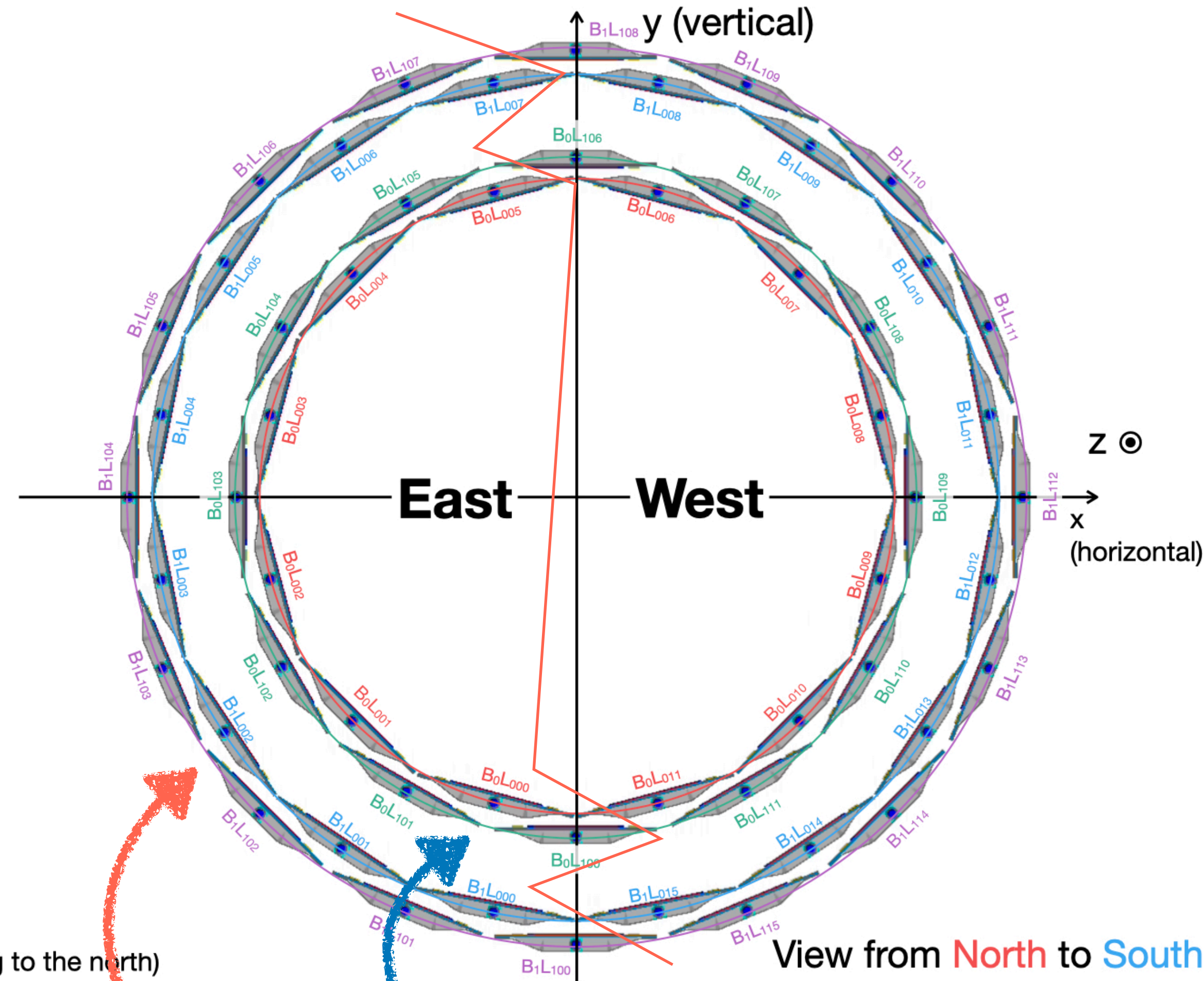
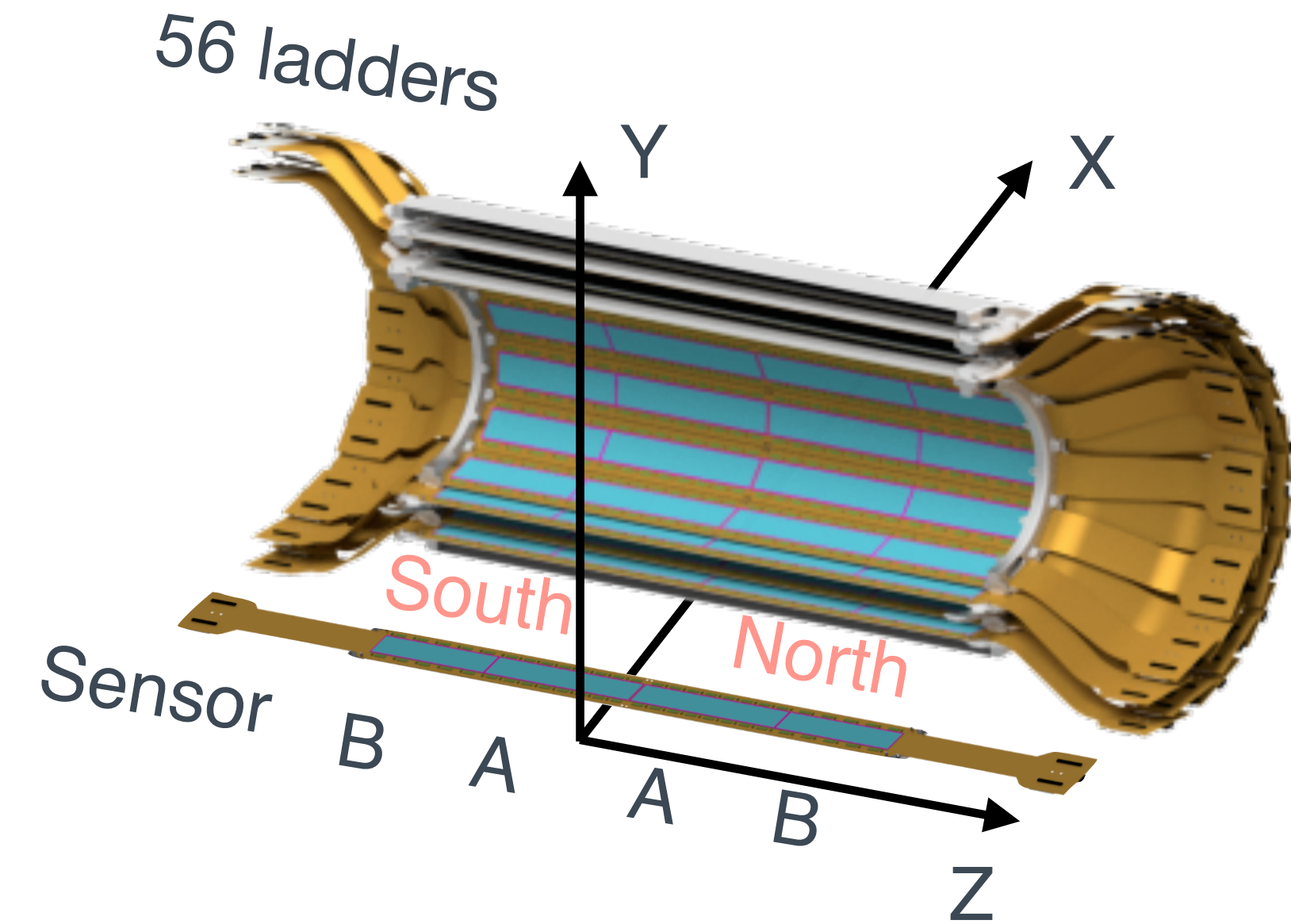
- True vertex Z
- Reco. vertex Z predicted by training model
- Reco. vertex Z by 7 Gaus fittings



- The link to analysis note : <https://www.overleaf.com/project/66c2de6290ee43c025eb17f1>
- The analysis code for the INTT vertex reconstruction: https://github.com/sPHENIX-Collaboration/analysis/tree/master/dNdEta_Run2023/analysis_INTT_CW

INTT: 2 sensors X 2 sides of half-ladders X 56 ladders = 224 sensors

Notation: $B_xL_yz_z$
 x: Barrel ID (0 for inner or 1 for outer)
 y: Layer ID (0 for inner or 1 for outer)
 zz: Ladder ID (from 0 to 15)



Axis (Right-handed coordinate)
 x-axis: $\vec{y} \times \vec{z}$
 y-axis: Vertically upward direction
 z-axis: The blue beam direction (pointing to the north)

Outer barrel Inner barrel