

# Effect of FTHMC with 2+1 Domain Wall Fermions on Autocorrelation Times via Master-Field Technique I

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## 1 Introduction

## 2 Field Transformation

In lattice QCD,  $\langle O \rangle$  is evaluated non-perturbatively.

- Wick-rotated

$$\langle O \rangle = \frac{1}{Z} \int \mathcal{D}\phi O(\phi) e^{-S_E}$$

- Then, we use  $e^{-S_E}$  as a Monte-Carlo weight and have

$$\langle O \rangle = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_i^N O_i \approx \frac{1}{N} \sum_i^N O_i.$$

- Configurations are sampled using importance sampling method

# Markov Chains

Markov chain:  $\{X_n\}_{n=0}^N$

- A sequence of random variables with Markov Property:

$$\begin{aligned}\Pr\{X_n = x_n | X_{n-1} = x_{n-1}, X_{n-2} = x_{n-2}, \dots, X_0 = x_0\} \\ = \Pr\{X_n = x_n | X_{n-1} = x_{n-1}\} = P_n^{x_{n-1}}(x_n) = \frac{P_{X_n \cap X_{n-1}}(x_n, x_{n-1})}{P_{X_{n-1}}(x_{n-1})}\end{aligned}$$

- In words, the probability of a event happening depends only on the outcome of the last outcome and not on the history of events
- Here,  $P_n^{x_{n-1}} : E \rightarrow [0, 1]$
- $P_0$  is an initial distribution corresponding to an independent random variable
- Transition Probability,  $T_n : E \times E \rightarrow [0, 1]$  via  $T_n(x_n, x_{n-1}) = P_n^{x_{n-1}}(x_n)$

# Markov Chains

In QCD, we consider time-homogeneous Markov Chain, i.e.,

- $\Pr\{X_{n+1} = x_{n+1} | X_n = x_n\} = \Pr\{X_n = x_n | X_{n-1} = x_{n-1}\} \quad \therefore T_n = T$
- Also,  $P_n(x_n) = T^n P_0(x_n)$

We assume

- our Markov chains is irreducible
- all states are aperiodic,  $(\forall s \in E \forall N \in \mathbb{N}) T^N(s \rightarrow s) \neq 0$  and positive recurrent  $E[\tau_{\text{recurrence}}] < \infty$

Then, [Rothe, 2012]

- There exists a stationary distribution  $\pi$ , and it is unique
- if the initial distribution is  $\pi$ , it is (wide-sense) stationary (WSS)
- if we further have  $E[\tau_{\text{recurrence}}^2] < \infty$ ,

$$\langle O \rangle = (1/N) \sum_{i=1}^N O(x_i) + \mathcal{O}(1/\sqrt{N})$$

How do we find such  $T$  with a desired distribution  $\pi$ ?

# The Acceptance-Rejection Method

$$T(i \rightarrow j) = T_0(i \rightarrow j)P_{acc}(i, j) + \delta_{ij} \sum_k T_0(i \rightarrow k)[1 - P_{acc}(i, j)]$$

- $T_0$ : a transition matrix with micro-reversibility,  
 $T_0(s \rightarrow s') = T_0(s' \rightarrow s)$
- $P_{acc}(i, j) = \min\{1, \pi(i)/\pi(j)\}$

Then, the stationary distribution of  $T$  is the target distribution  $\pi$ .

Also, it satisfies detailed balance condition:

$$P(i)T(i \rightarrow j) = P(j)T(j \rightarrow i)$$

For QCD,

- $\pi(U) = \frac{1}{Z} e^{-S_G(U)}$
- $Z = \int \mathcal{D}U e^{-S_G(U)}$

Requirement: [Luscher, 2010]

- $T(U \rightarrow U') \geq 0$  for all  $U, U'$  and  $\int \mathcal{D}U' T(U \rightarrow U') = 1$  for all  $U$ .
- $\int \mathcal{D}U \pi(U) T(U \rightarrow U') = \pi(U')$  for all  $U'$
- $\forall V \exists \mathcal{N}_V$ , where  $\mathcal{N}_V$  is an open neighborhood of  $V$  in the space of gauge configurations, s.t.

$$\forall U, U' \in \mathcal{N}_V \exists \varepsilon > 0 \text{ s.t. } T(U \rightarrow U') \geq \varepsilon$$



# The Acceptance-Rejection Method Revisited

Given a link  $U$ ,

- 1 Propose a new link  $U'$  according to  $T_0(U \rightarrow U')$
- 2 Accept  $U'$  as the new link in the MC chain with probability  $P_{acc} = \min\{1, e^{S_G(U) - S_G(U')}\}$
- 3 Leave the link variable unchanged if the new value proposed in step (2) is not accepted, i.e.,  $U' = U$
- 4 back to (1)

- HMC = Hybrid Monte Carlo = Molecular Dynamics (MD) + Monte Carlo (MC)
- In MD, a partition function of classical statistical system is approximated by trajectories of the canonical Hamilton system by using ergodicity
- To use the technique of MD,

$$Z = \int \mathcal{D}U e^{-S(U)} \propto \int \mathcal{D}U \mathcal{D}P e^{-P^2/2} e^{-S(U)} = \int \mathcal{D}U \mathcal{D}P e^{-H}$$

- $H = P^2/2 + S(U)$

# HMC Steps

- 1 A momentum field  $P$  is generated randomly with probability density proportional to  $e^{-P^2/2}$
- 2 The Hamilton equations are integrated from time  $t = 0$  to some later time  $\tau$  with the initial fields of  $P$  and  $U$  to obtain a new field  $U'$
- 3 Apply the Acceptance-Reject step to decide whether to set  $U_\tau$  to  $U'$  or keep  $U$ , i.e.,  $U_\tau = U$
- 4 Repeat

The above steps correspond to

$$T_0(U \rightarrow U') = \frac{1}{Z_P} \int \mathcal{D}P e^{-P^2/2} \prod_{x,\mu} \delta(U'(x,\mu)U(x,\mu))$$

Elementary updates for  $P$  and  $U$

$$I_P(\varepsilon) : (P, U) \rightarrow (P - \varepsilon F, U)$$

$$I_U(\varepsilon) : (P, U) \rightarrow (P, e^{\varepsilon P} U)$$

Leap-frog integrator:

$$\mathcal{J}(\varepsilon, N) = \{I_P(\varepsilon/2)I_U(\varepsilon)I_P(\varepsilon/2)\}^N$$

where  $\varepsilon = \tau/N$

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# Field-Transformation HMC

Consider  $U = \mathcal{F}_t(V)$

Under  $\mathcal{F}$

$$Z = \int \mathcal{D}U e^{-S(U)} = \int \mathcal{D}V \text{Det}[\mathcal{F}_*(V)] e^{-S(\mathcal{F}(V))} = \int \mathcal{D}V e^{-S_{FT}(V)}$$

$$S_{FT} = S(\mathcal{F}_t(V)) - \ln \text{Det} \mathcal{F}_*(V)$$

$$\mathcal{F}_*(x, \mu; y, \nu)^{ab}(V) = \theta_{x, \mu}^a(\mathcal{F}_* \hat{\partial}_{y, \nu}^b) = -2\text{tr} \left[ (\hat{\partial}_{y, \nu}^b U(x, \mu)) U(x, \mu)^{-1} T^a \right]$$

Notation:

- $T^a \in \mathfrak{su}(3)$ : generators of  $\mathfrak{su}(3)$
- $\omega_{U(x, \mu)} = dU(x, \mu) U^{-1}(x, \mu)$ : Maurer-Cartan form
- $\theta_{x, \mu}^a(v) = (\omega_{U(x, \mu)}(v), T^a) = -2\text{tr} [\omega_{U(x, \mu)}(v) T^a] \quad (v \in T_{U(x, \mu)} \mathbb{R}^4 \times SU(3)^4)$
- $\partial_{x, \mu}^a[f]|_U = \frac{d}{dt} f(U_t)|_{t=0}$  where
$$U_t(y, \nu) = \begin{cases} e^{tT^a} U(x, \mu) & \text{if } (y, \nu) = (x, \mu) \\ U(y, \nu) & \text{othersie} \end{cases}$$

# Field-Transformation HMC

- originally proposed by Luscher [Lüscher, 2010]
- Perfect trivialization:  $S_{FT} = 0$
- Transformation via flow  $U \rightarrow U + \varepsilon Z(U)U + \mathcal{O}(\varepsilon^2) \longrightarrow \dot{U}_t = Z(U_t)U_t$
- Then,

$$S_{FT} = 0 \iff \int_0^t ds \sum_{x,\mu} \{ \partial_{x,\mu}^a [Z_s(U)]^a(x, \mu) \} |_{U=U_s} = t S_G(U_t) + C_t$$

- Perfect trivialization is achieved at  $t = 1$

- Ansatz:

$$[Z_t(U)]^a(x, \mu) = -\partial_{x, \mu}^a \tilde{S}_t(U)$$

- Insert this to the previous equation:

$$\begin{aligned}\mathfrak{L}_t \tilde{S}_t &= S_G + \dot{C}_t \\ \mathfrak{L}_t &= \sum_{x, \mu} \{ \partial_{x, \mu}^a \partial_{x, \mu}^a + t (\partial_{x, \mu}^a S_G) \partial_{x, \mu}^a \}\end{aligned}$$

- Expand:  $\tilde{S}_t = \sum_{k=0}^{\infty} t^k \tilde{S}_t^{(k)}$
- Matching  $t$  leads to recursive relations

$$\begin{aligned}\mathfrak{L}_0 \tilde{S}^{(0)} &= S_G + \dot{C}^{(0)} \\ \mathfrak{L}_0 \tilde{S}^{(k)} &= - \sum_{x, \mu} \partial_{x, \mu}^a S_G \partial_{x, \mu}^a \tilde{S}^{(k-1)} + \dot{C}^{(k)}\end{aligned}$$



- The solution of the recursion

$$\tilde{S}^{(0)} = \mathfrak{L}_0^{-1} S_G$$

$$\tilde{S}^{(k)} = -\mathfrak{L}_0^{-1} \sum_{x,\mu} \partial_{x,\mu}^a S_G \partial_{x,\mu}^a \tilde{S}^{(k-1)}$$

- Approximation:

- $\tilde{S} \approx \tilde{S}^{(0)}$
- $S_G = S_W = -\frac{\beta}{6} \sum_{x,\mu \neq \nu} \text{tr}[P_{\mu\nu}(x)]$
- Plaquette:  $P_{\mu\nu}(x) = U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x)$

- Then,

$$Z_t(U_t)(x, \mu) = \mathcal{P}(C(x, \mu)) \equiv \frac{1}{2}(C(x, \mu) - C(x, \mu)^\dagger) \\ - \frac{1}{6} \text{tr} [C(x, \mu) - C(x, \mu)^\dagger]$$

$$\mathcal{P}(M) = \frac{1}{2}(M - M^\dagger) - \frac{1}{6} \text{tr} (M - M^\dagger)$$

$$C(x, \mu) = \sum_{\nu \neq \pm \mu} \rho_{\mu, \nu} U(x, \nu) U(x + \hat{\nu}, \mu) U(x + \hat{\mu}, \nu)^\dagger U(x, \mu)^\dagger.$$

- Numerical integration

- discretize the transformation with step of size  $\rho \equiv \rho_{\mu\nu}$
- $\rho$  includes a expansion parameter  $\varepsilon$
- The number of integration steps for the discretized trivializing map is set to 1

- A single Euler step:

$$U(x, \mu) \rightarrow \mathcal{E}_{x, \mu}(y, \nu) = \begin{cases} e^{Z_t(U)(x, \mu)} U(x, \mu) & \text{if } (y, \nu) = (x, \mu) \\ U(y, \nu) & \text{otherwise.} \end{cases}$$

- This approximation is cheaper than perfect trivialization yet effective
- The transformation is wavelength-dependent
- Its effect on autocorrelation is also wavelength-dependent

# Thank you!



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