

PPG12: Isolated Prompt Photons in p+p collisions at 200 GeV

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For PPG 12



Isolated Prompt Photons

- **Direct photon**

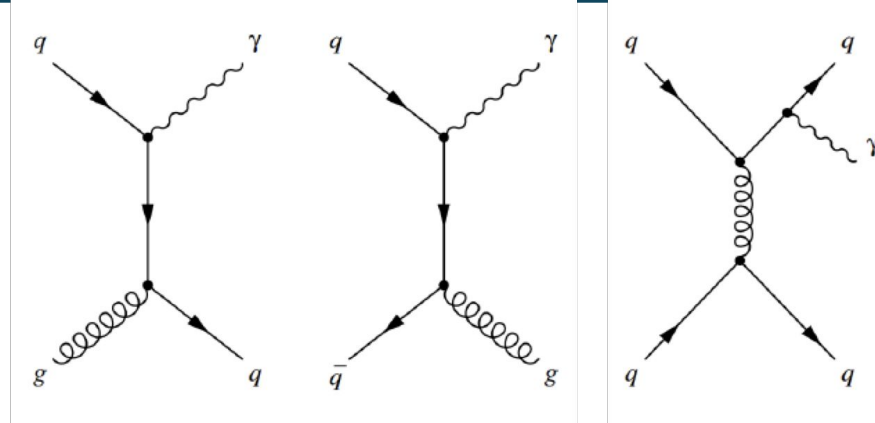
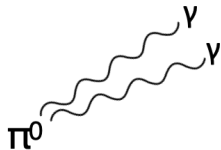
- produced from **primary vertex**
- Processes : Compton scattering, Annihilation

- **Fragmentation photon**

- radiated from partons after the primary hard scattering

- **Decay photon**

- decayed from hadrons, such as $\pi^0 \rightarrow \gamma + \gamma$
- **major background**



Compton scattering

Annihilation

Fragmentation

Direct photons

Prompt photons

= Direct + Fragmentation photons

Data

- DST: DST_JETCALO ana462 p010 v001
- Run range: 47289 - 53880
- Total luminosity: $\sim 16\text{pb}^{-1}$

Signal MC

- Pythia photon+jet 5, 10, and 20 GeV

Background MC

- Pythia inclusive jet 10, 20, and 30 GeV

Event Selections

- $| \text{MBD vertex } z | < 30 \text{ cm}$
- MBD hits north ≥ 1 && MBD hits south ≥ 1
- Photon 4 GeV + MBD N&S trigger (bit 26)

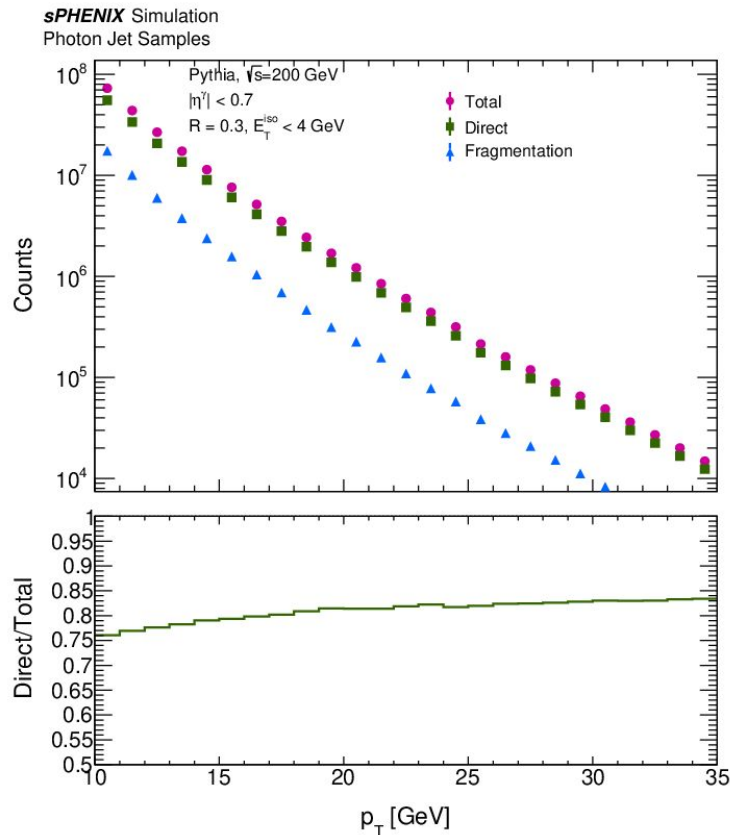
Isolated prompt photon truth-level definition:

- $|\eta| < 0.7$
- Direct or fragmentation photons
 - Back trace the photon history (skipping the vertices for frame transformation) to find the first production vertex of photon. Direct photons are from 2 to 2 vertex with q or g as input. Fragmentation photons are from 1 to 2 vertex with q as input.
- Truth-level isolation energy (= sum of transverse energy of final-state truth particles in a cone of $R=0.3$) less than 4 GeV
- Truth-reco matching to reco-level cluster ($dR < 0.05$, best match cluster based on g4eval)

Reconstruction-level signal selection:

- EMCal template-based cluster
- $|\eta| < 0.7$
- Photon ID conditions (see later slides)
- Isolation transverse energy (E_T^{iso}) in a $R=0.3$ cone less than a variable pT-dependent cut (see later slides)

Direct and Fragmentation Photons



- Isolated prompt photons are defined as **direct** or **fragmentation** photons with truth isolation transverse energy below 4 GeV in a cone of $R=0.3$
- About 80% of direct photons

Utilizing existing RawClusterBuilder module without splitting

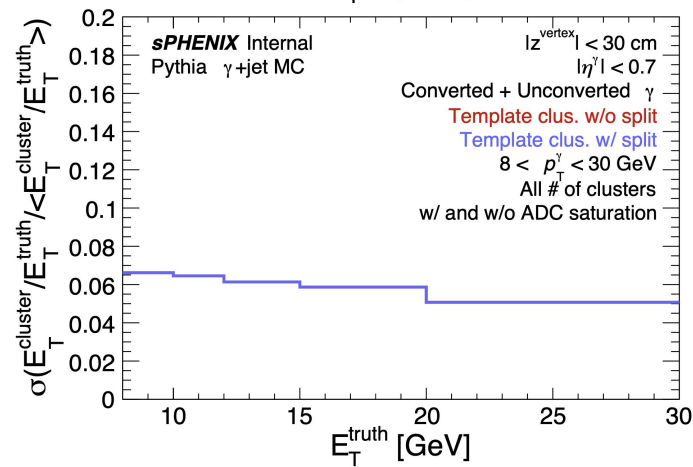
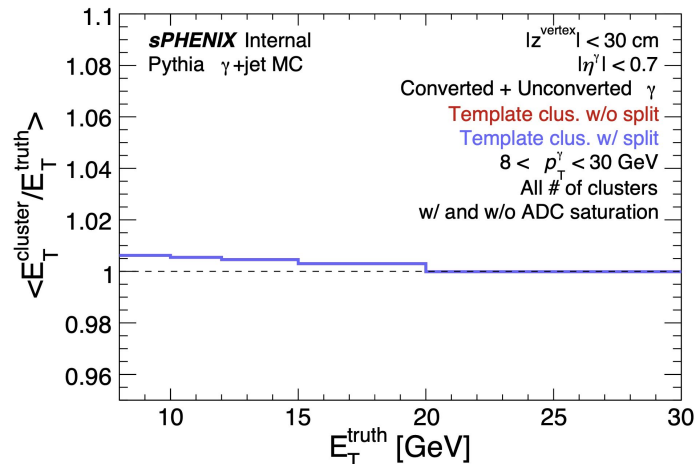
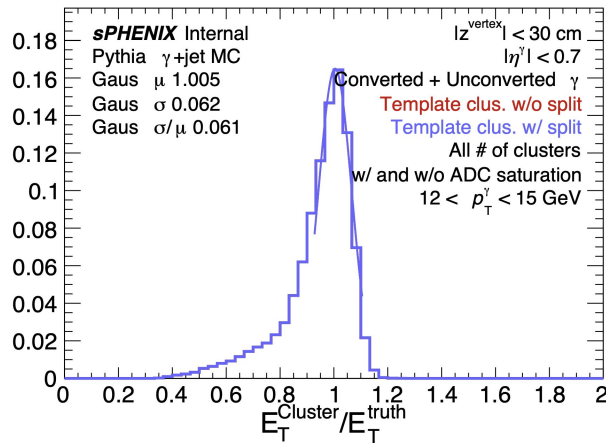
- All adjacent towers above a 70 MeV threshold are clustered together
- Towers passing the isGood quality selection
- Using reco clusters above 5 GeV

Photon Energy Scale and Resolution



- lower $E_T^{\text{reco}}/E_T^{\text{truth}}$ tail from absence of the position-dependent calibration (aiming for post-QM)
- Photon energy scale and resolutions are obtained with Gaussian fits of $E_T^{\text{reco}}/E_T^{\text{truth}}$ at the peak region

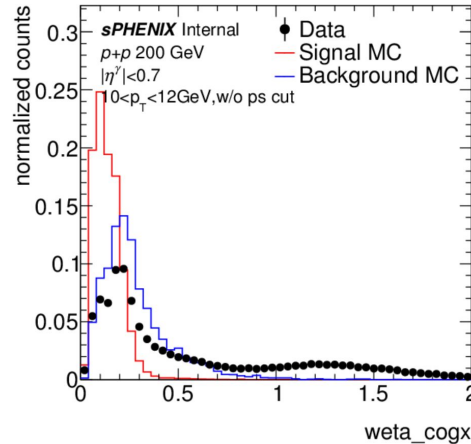
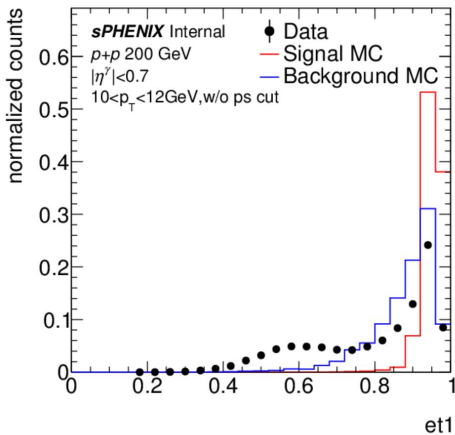
e.g. truth photon 12-15 GeV



Photon Shower Shapes



- A set of cluster moments and energy ratios, collectively referred to as shower shapes, are used to select signal photons.
- Below, 2 example shower shapes compared to signal and background MC
- Shower shapes statistically separate S/B
- Clear excess $et1=0.4-0.8$, $weta_cogx=1-1.5$ which arises from non-physics beam related backgrounds



★ cluster COG

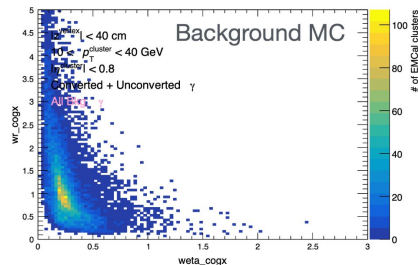
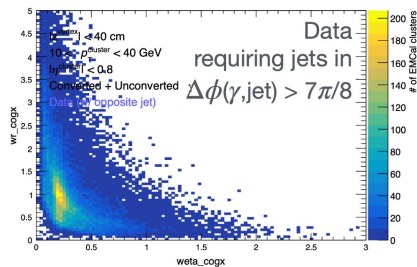
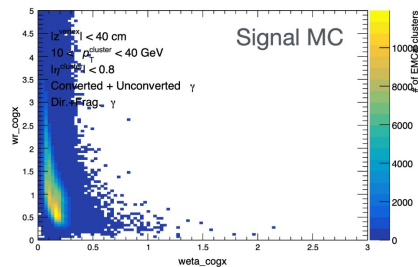
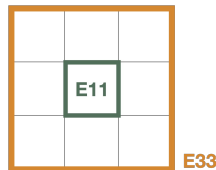
E_{t1}	$(E_1 + E_2 + E_3 + E_4) / E_{tot}$
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$w_{\eta(\phi)}^{COGX}$

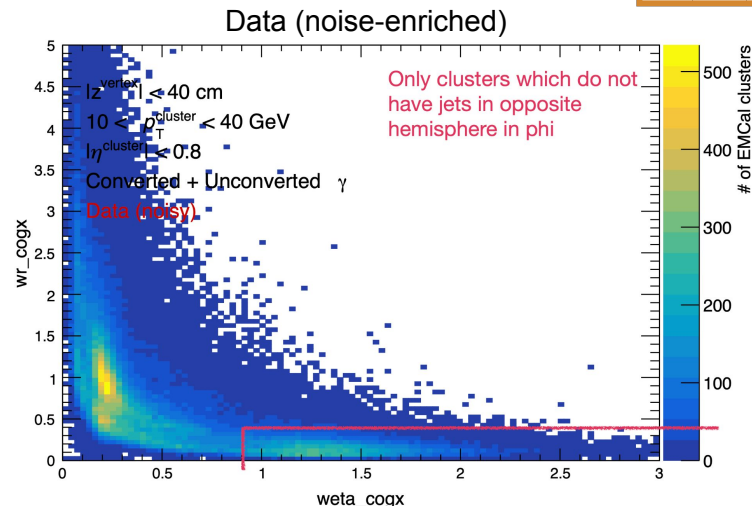
energy weighted second moment of $\eta(\phi)$ from all towers in a given cluster excluding the tower containing the center of gravity.

Non-Physics Background Removal

- It is common for beam backgrounds to enter the EMCal and fire the photon trigger. These backgrounds are of high enough rate that a coincident physics collision (or other background) also triggering the MBD N&S trigger is common.
- A set of cuts on shower shape variables are derived to prevent these clusters from entering the sideband regions
 - $(w_{\phi}/w_{\eta})_{\text{cogx}} < 0.4$ && $(w_{\eta})_{\text{cogx}} > 0.9$; to remove streak beam background
 - $E11/E33 < 0.98$; to remove hot towers



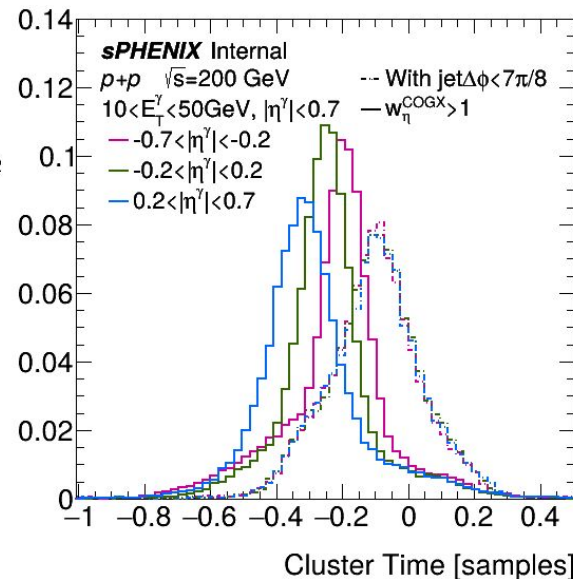
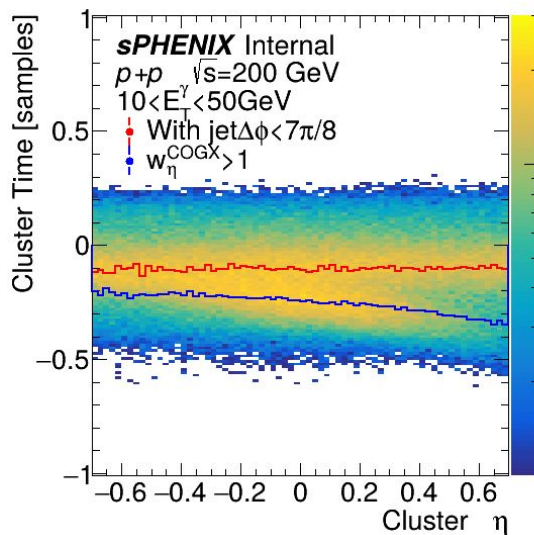
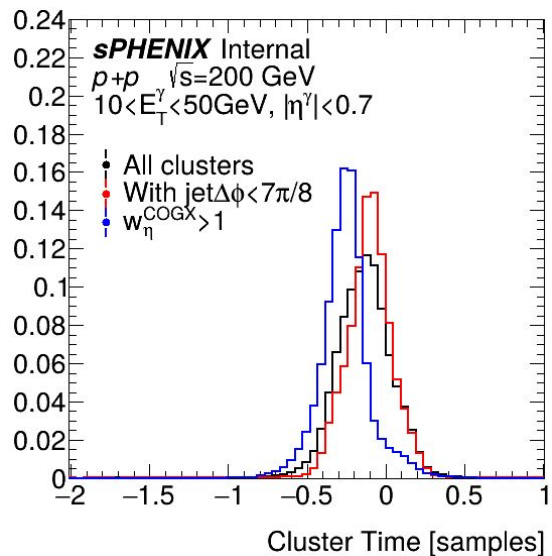
- w_{η}/w_{ϕ} (w_{ϕ}/w_{η}) = energy weighted second moment of eta (phi) from all towers in a given cluster
- w_{ϕ} : w_{ϕ}/w_{η}



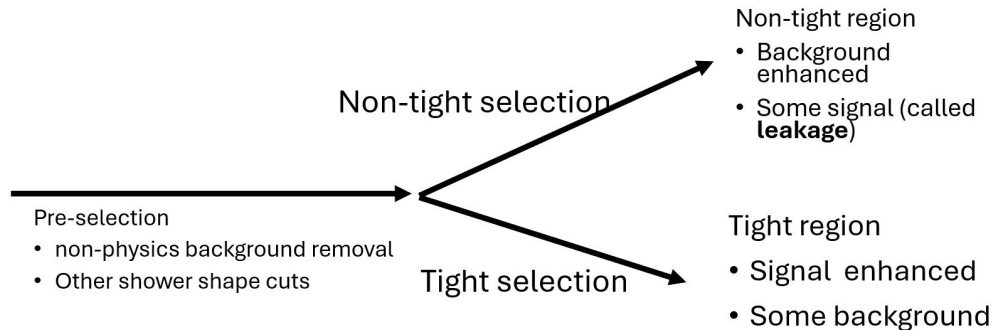
Non-Physics Background Removal

The non-physics background seems to be in-time with the collision and travel with the beam from +z to -z.

Working on selecting the background with timing cut and study their showershape and isolation E_T .



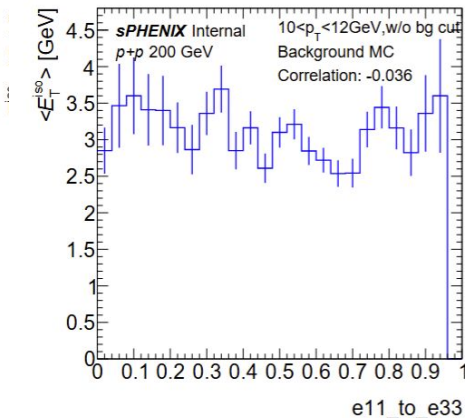
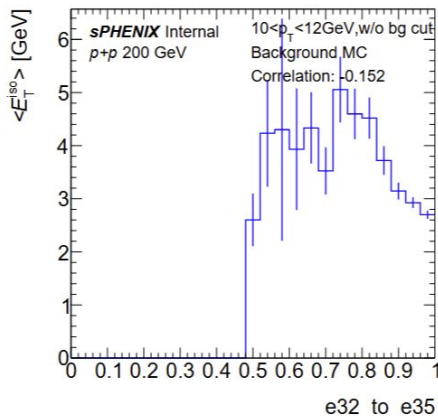
- The set of shower shape selections used to select signal photons are known as the ***tight*** selection
- These selections enhance the purity in the signal region but there is a large irreducible physics background e.g. high-pT π^0 s.
- Shower shapes are also used to measure background yields in control regions for a data-driven physics background removal procedure (described in latter slides). This background shower shape selection is referred to as the ***non-tight*** selection.
- **Pre-selection** cuts are applied in both the tight and non-tight selections and removes non-physics backgrounds as does some initial physics background removal (discussed in following slide).



Correlation between Photon-ID and Isolation ET



- The background estimation procedure used in this analysis (see following slides) **relies on the independency of isolation energy and photon criteria** (shower shape signal and background cuts).
- There are intrinsic physics correlations in some processes between E_T^{iso} and some shower shapes (which are still useful to for increasing signal purity). These shower shape cuts are applied to both signal and background and are added to the preselection.
- Below are the average E_T^{iso} as a function of shower shape, for a correlated (left) and less-correlated (right) shower shape
- The left plot demonstrates a shower shape used for the pre-selection cuts and the right is used in the tight and non-tight separation.



Photon Identification Criteria

Preselection cuts

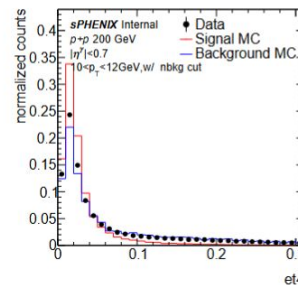
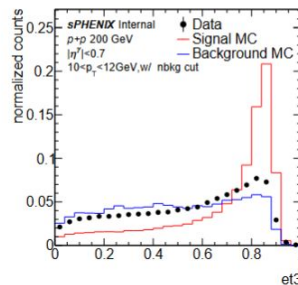
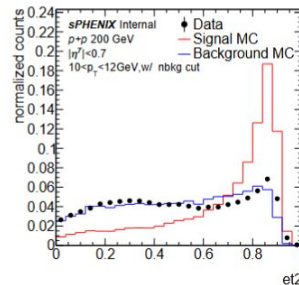
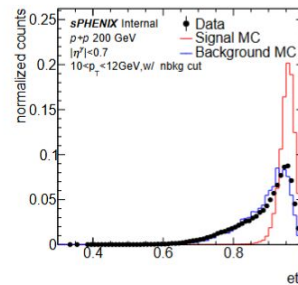
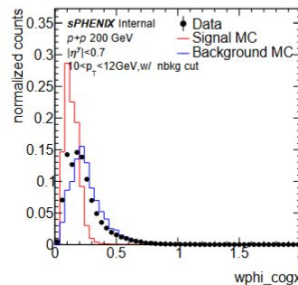
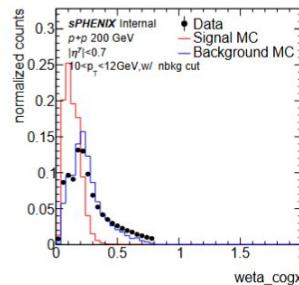
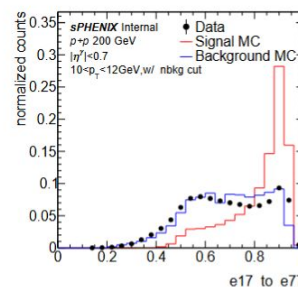
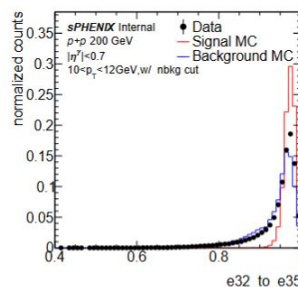
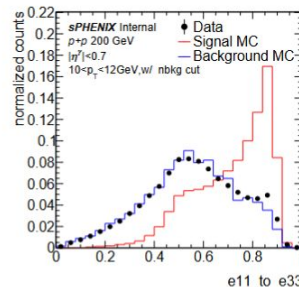
Non-physics background removal cuts

- $E11/E33 < 0.98$
- $weta_cogx < 0.8$
- $0.9 < et1 < 1.0$
- $0.92 < E32/E35 < 1.0$

Tight (while passing common cut):

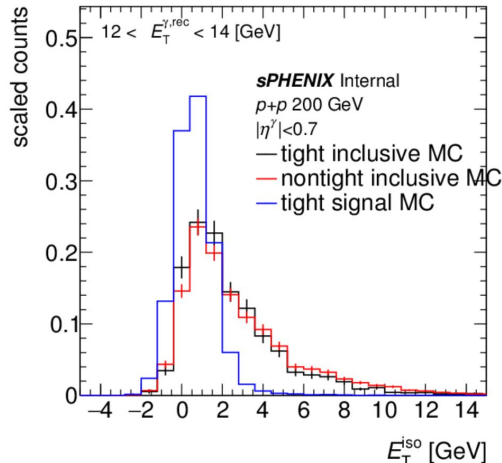
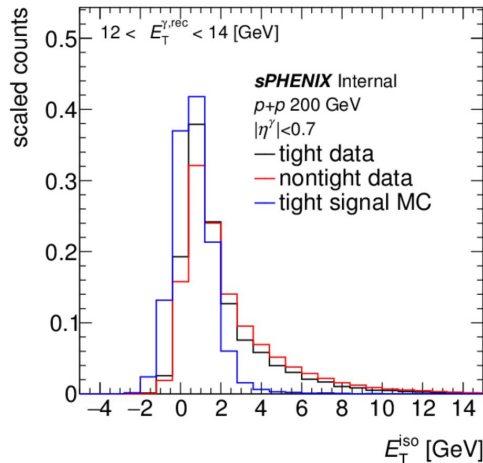
- $0 < weta_cogx < 0.15$
- $0.5 < E11/E33 < 0.98$
- $0 < et4 < 0.2$
- $0 < w32 < 0.4$

Non-tight (for background photons): pass $0.3 < weta_cogx < 0.8$ && any 1 other cuts failing
Plots are shown for with the non-physical background removal cuts



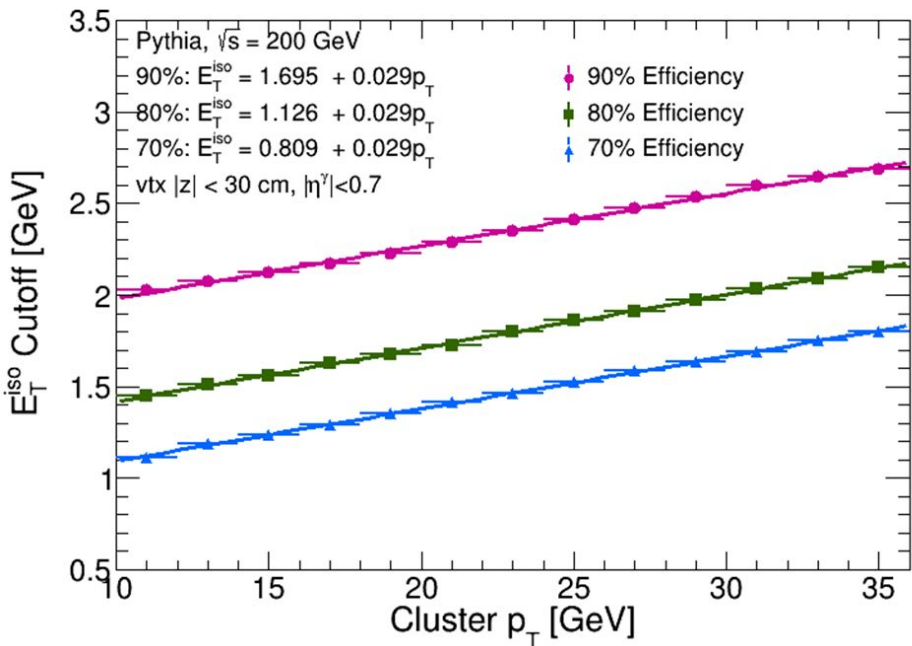
Isolation Energy distributions

- Isolation energy distributions for tight vs. non-tight selections show difference related to more signal in the tight selection.
- A rather low purity can be seen and thus a systematic sensitivity to the correlation of the background isolation energy shape and the tight and non-tight definition.
- A comparison to inclusive MC (pythia jet sample) is shown and demonstrates that a low purity is expected (at these pTs direct photons are only 10-25% of the pi0 yield)



Considering using Isolation energy calculation with tower minimum energy threshold.

sPHENIX Internal

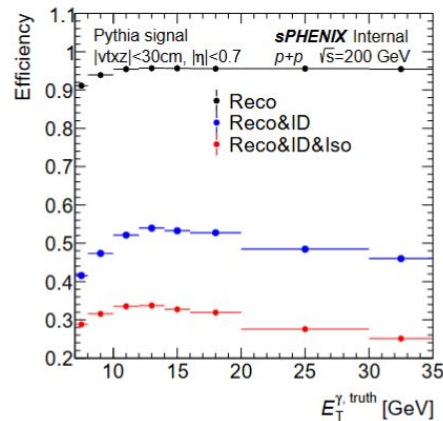
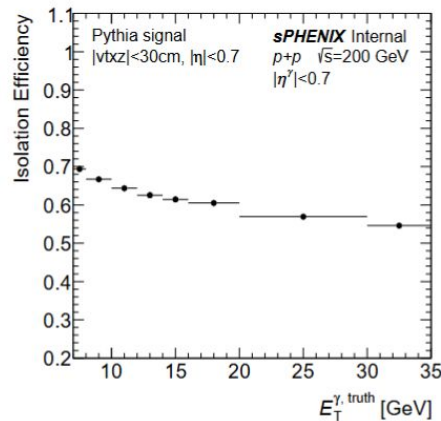
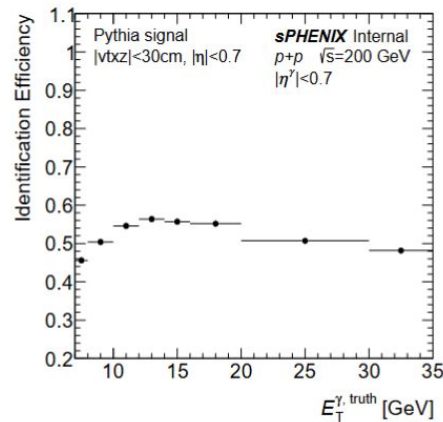
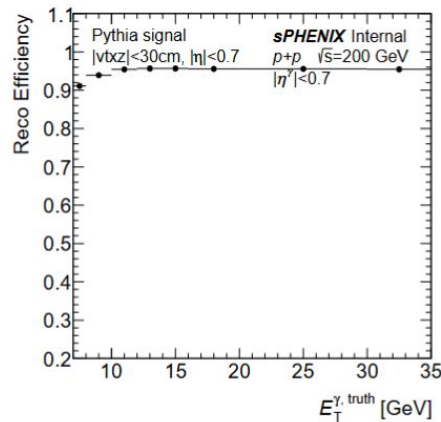


For signal photon MC, the E_T^{iso} cut for 90%, 80%, 70% efficiency are calculated as a function of photon E_T .

Fit function corresponds to 70% efficiency is used for this analysis.

$$E_T^{\text{iso}} < 0.809 + 0.029 * E_T$$

e.g. for 10 GeV photon, $E_T^{\text{iso}} < 1.099$



Reconstruction Efficiency

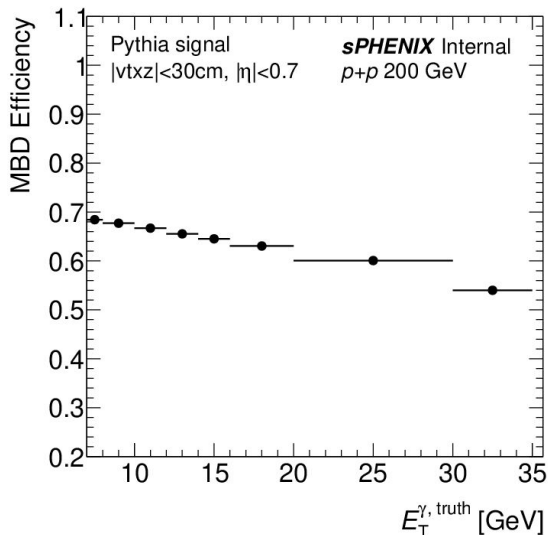
- defined as (# truth photon matched to reconstructed photons) / (# of truth photons)
- Truth-reco photon matching criteria imposed
- Efficiency is corrected bin-by-bin after unfolding

Working on deriving pT dependent photon id cut to increase efficiency at higher energy.

- (Photon 4 GeV + MBD N&S ≥ 1) trigger (bit 26) is used

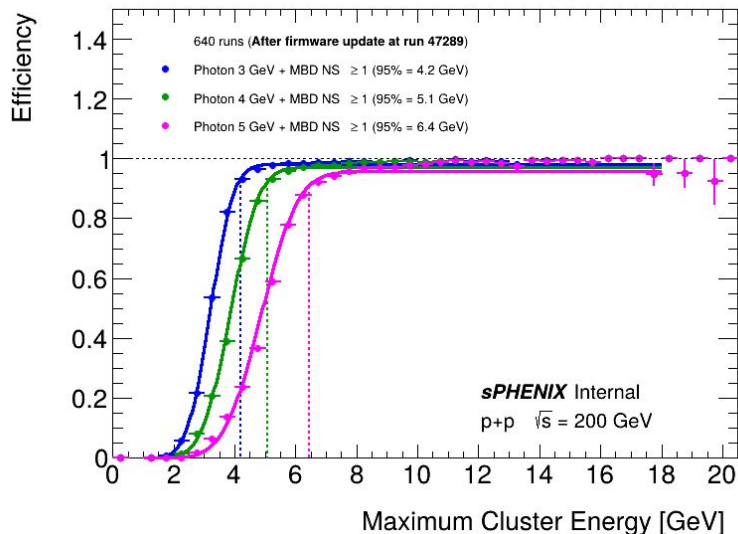
MBD trigger efficiency

- For signal photons with truth vertex within 30cm how many of them pass the event level cut of having MBD vertex within 30cm and have (mbdnorthhit ≥ 1 && mbdsouthhit ≥ 1).
- Here MBD hit is define when calibrated (to nMIPs) MBD charge > 0.4



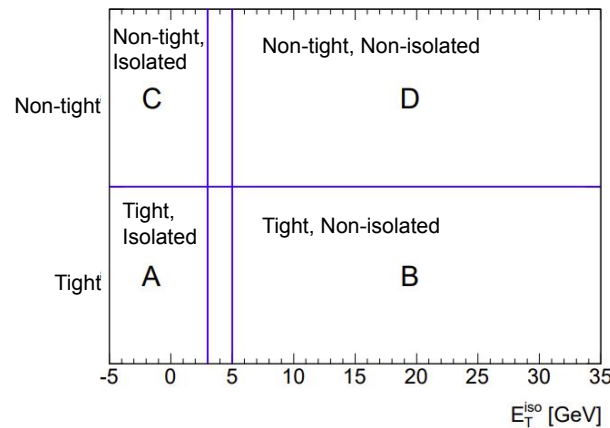
Photon trigger efficiency

- Photon 4 GeV trigger is well within the (almost) fully efficient plateau region for clusters of 8 GeV or more



Photon Purity Estimation

- After “tight” identification and isolation selections, there are still significant amount of background contribution (mostly from neutral mesons decay into two photons and being merged as one EM cluster)
- Purity is estimated with double-sideband data-driven method
- Assume that the isolation profile for the background for those that fail the identification are the same for those that pass the identification
 - A: signal region
 - B, C, D: controlled region (sideband region)

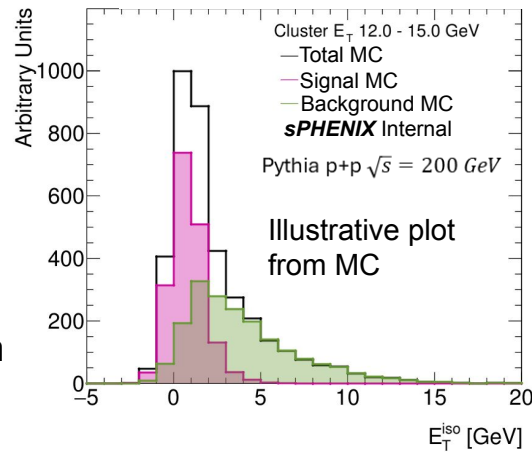


$$N_A^{\text{sig}} = N_A - N_B \frac{N_C}{N_D}$$

To correct for signal leakage to the controlled region

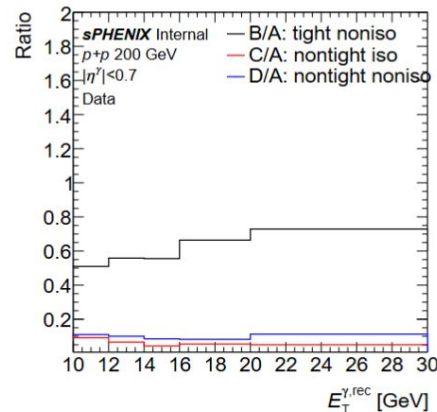
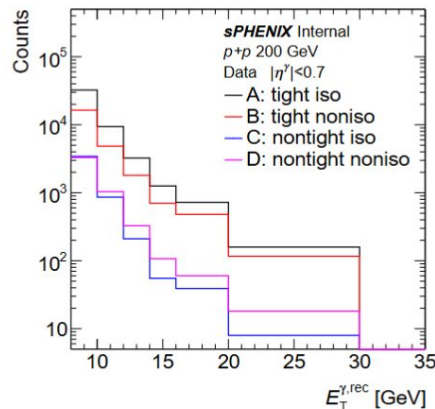
$$N_A^{\text{sig}} = N_A - (N_B - c_B N_A^{\text{sig}}) \frac{(N_C - c_C N_A^{\text{sig}})}{(N_D - c_D N_A^{\text{sig}})}$$

Where $c_K \equiv \frac{N_K^{\text{sig}}}{N_A^{\text{sig}}}$ accounts for truth signal leakage to controlled region

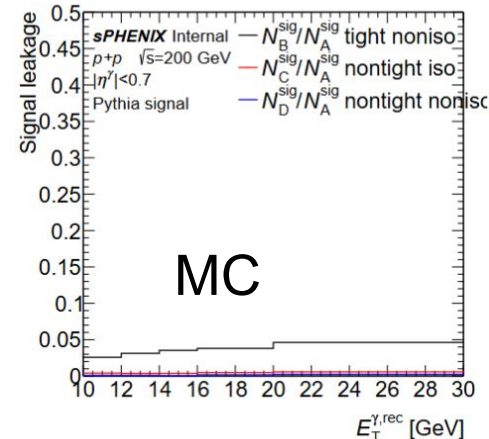
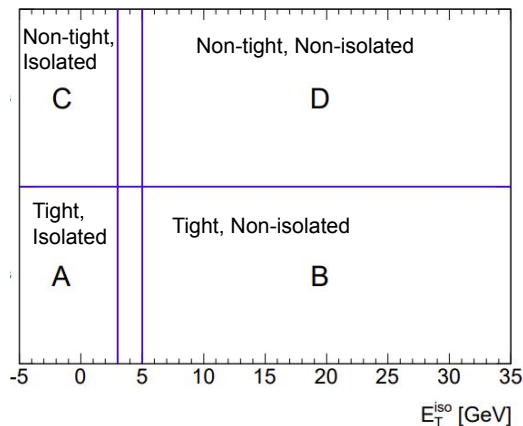


Purity Estimation (2)

- Distributions of the number of clusters in different sideband region.
- Distribution doesn't show any odd features as a function of pT.
- Important balancing the statistics in different regions for smaller statistical uncertainties after sideband subtraction.
- Still working on optimizing the cuts to balance the statistics.



Data

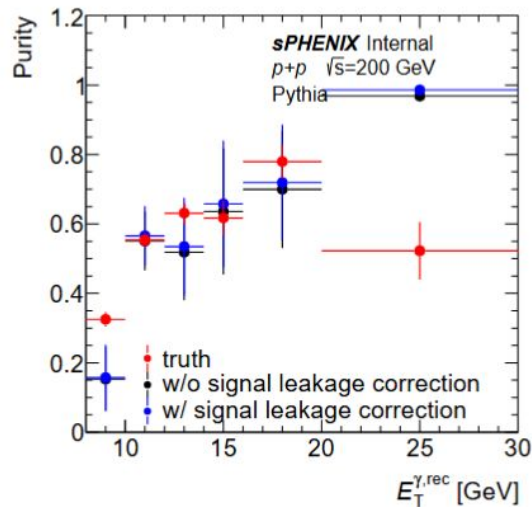
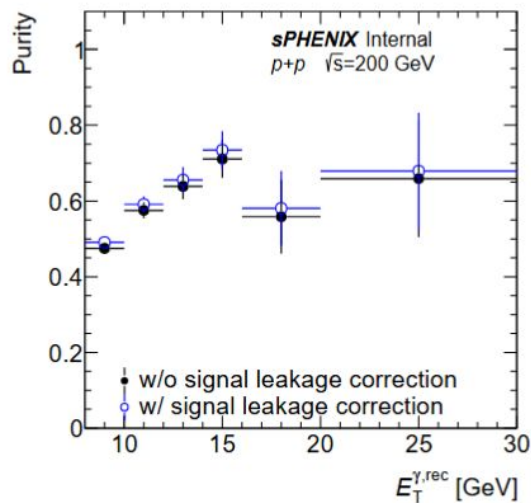


MC

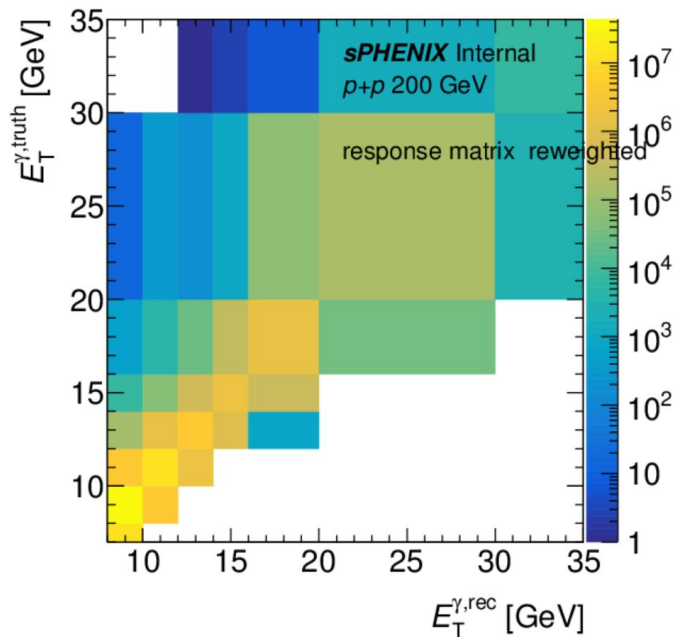
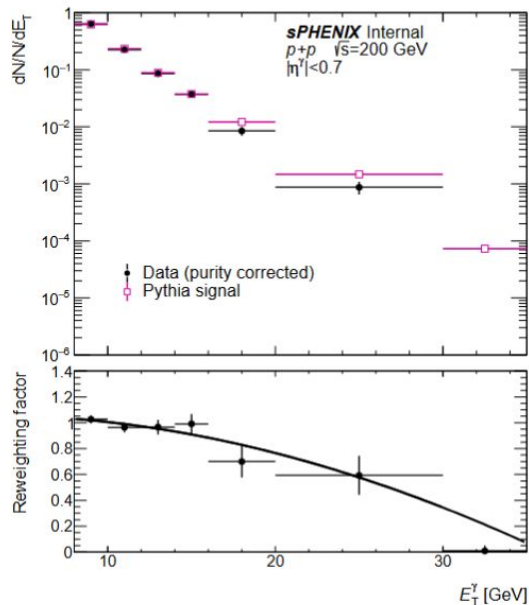
Since the majority of signal leakage is to the region C(tight, non-iso). Applying the leakage correction gives higher purity. Applying the same method to inclusive MC sample shows the leakage correction gives purity closer to MC ground truth.

$$\text{purity} = \frac{N_A^{\text{sig}}}{N_A}$$

Inclusive MC Closure test



- Unfolding is performed with D'Agostini Bayesian method using RooUnfold
- truth ET bins [7,8,10, 12, 14, 16, 20, 30, 35] GeV
- reco ET bins [8,10, 12, 14, 16, 20, 30, 35] GeV
- fiducial bins [10, 12, 14, 16, 20, 30] GeV

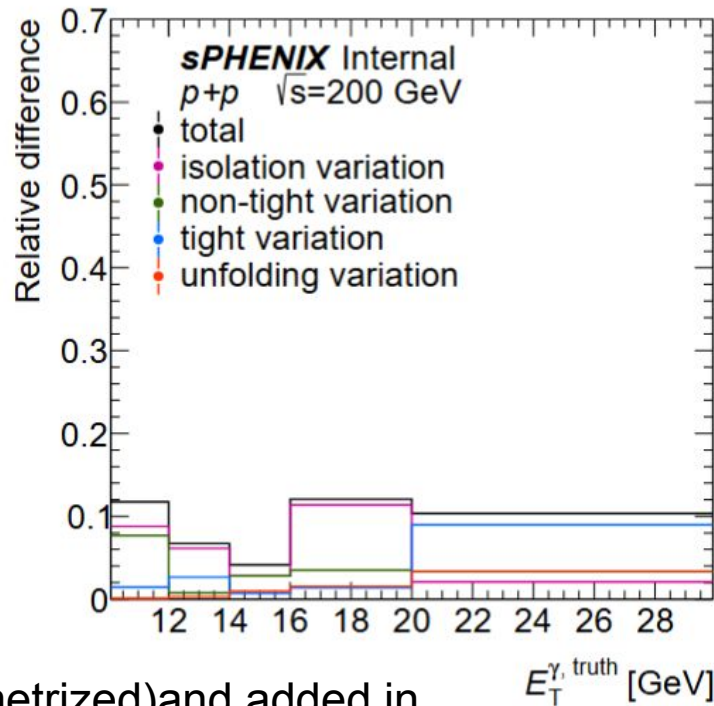


- Photon **Identification** using EMCal shower shapes
- Photon **Isolation** significantly rejects background photons
- After identification & isolation, the remaining background photons (decay photons from neutral mesons) contribution is estimated (purity) and corrected for
 - **Purity** estimation with data-driven double sideband method
- **Unfold** for E_T^γ
- **Efficiency** correction

$$\frac{dY^{\text{rec}}}{dE_T^\gamma} = \text{Unfolded} \left[\frac{dN^{\text{tight,iso } \gamma}}{dE_T^\gamma} \times \mathcal{P}(E_T^\gamma) \right]$$

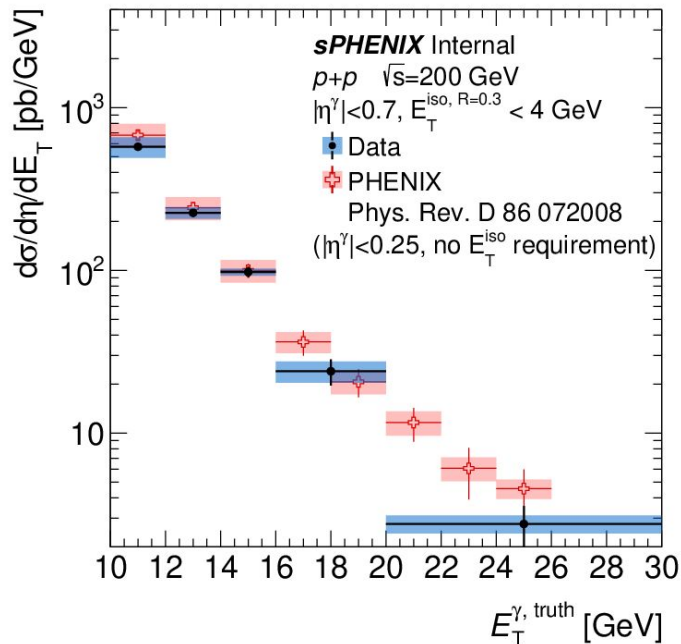
$$\frac{d\sigma}{dE_T^\gamma} = \frac{1}{\mathcal{L}} \frac{dY^{\text{rec}} / dE_T^\gamma}{\mathcal{E}(E_T^\gamma)}$$

- Photon energy response(work in progress)
- Photon identification efficiency
 - Tight condition
 - Fudging showershape(not yet implemented)
- Photon Purity
 - Non-tight condition
 - Non-iso condition
- Unfolding
 - Without reweighting response matrix
- Luminosity
 - MBD cross-section
 - Pileup correction

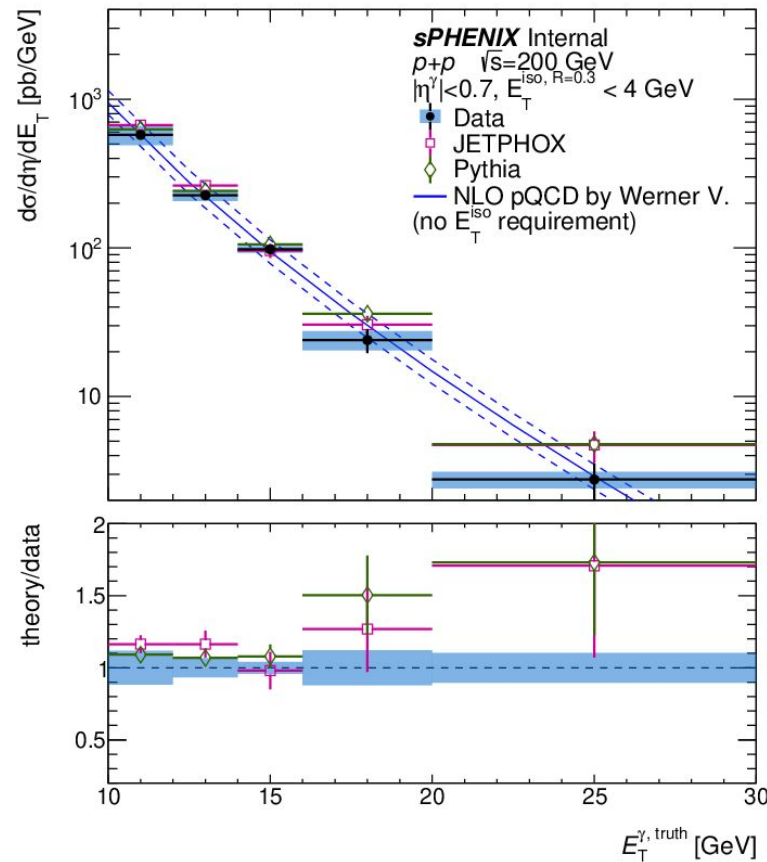


Currently bin by bin variations are calculated (and symmetrized) and added in quadrature.

Working on more complete variations.



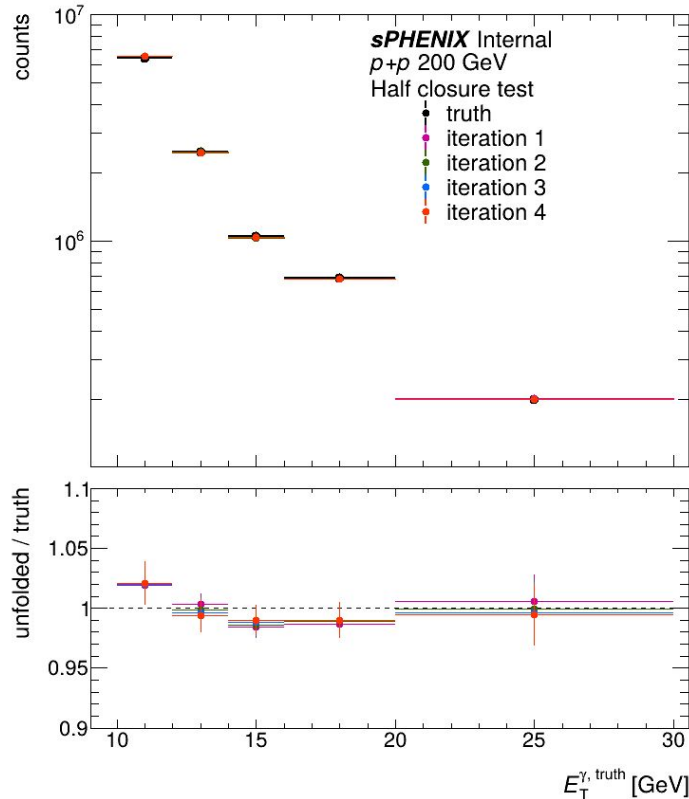
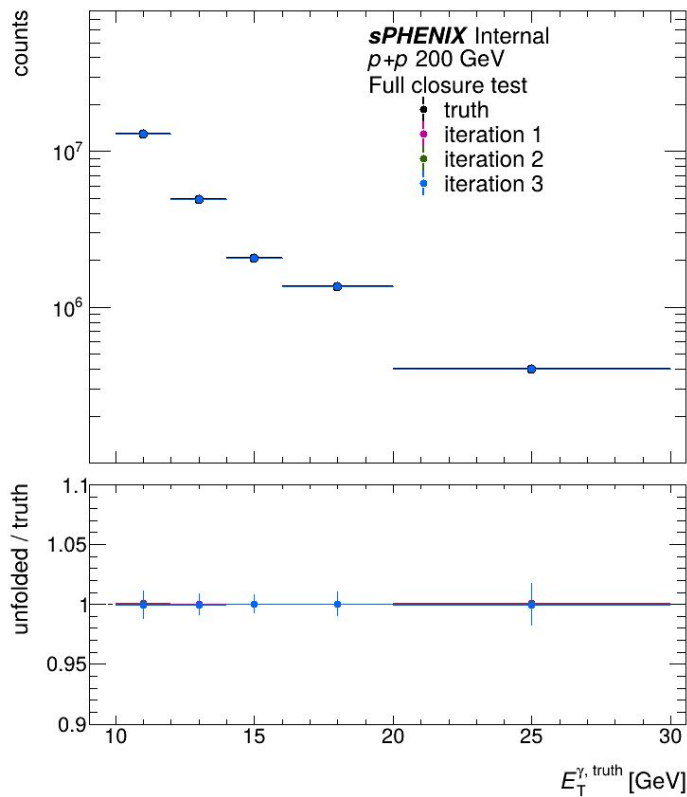
- Current state final result is compared to theory and PHENIX result.



- Cluster E_T dependent id cut.
- Cut optimization to balance statistics in the sideband region.
- Look into the effect of non-physics background to E_T^{iso} and showers shapes
- Consider E_T^{iso} calculation with tower energy threshold imposed.
- Sample more complete systematic uncertainty variations.
 - Positive & negative variation for efficiency and purities.
 - Systematic from energy scale and energy resolution

backup

Unfolding Closure Test



To demonstrate the unfolding machinery, two closure tests are done.

Both shows acceptable closure.