

# dRICH photosensors

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on behalf of the dRICH Collaboration

# Outline

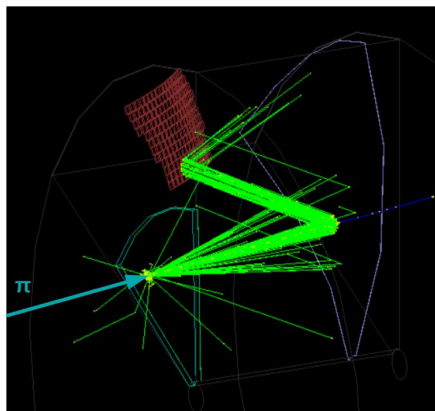
- **introduction**
- **sensor technical specs**
- **radiation level estimates**
- **high-temperature annealing**
- **sensor optimisation**
- **photodetector QA**

the presentation will also address comments received from the last review report

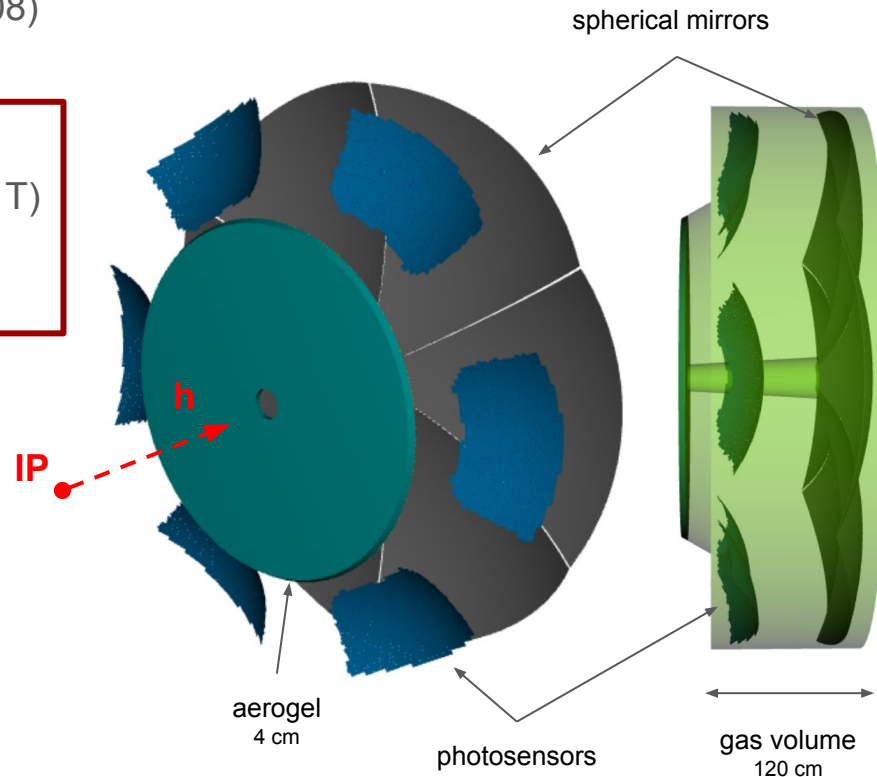
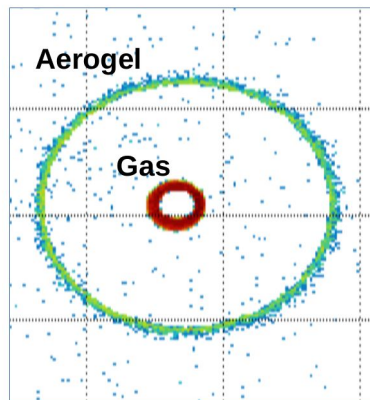
# The dual-radiator (dRICH) for forward PID at EIC

compact and cost-effective solution for broad momentum coverage at forward rapidity

- **radiators:** aerogel ( $n \sim 1.02$ ) and  $C_2F_6$  ( $n \sim 1.0008$ )
- **mirrors:** large outward-reflecting, 6 open sectors
- **sensors:**  $3 \times 3 \text{ mm}^2$  pixel,  $\sim 3 \text{ m}^2$  total surface
  - single-photon detection inside high B field ( $\sim 1 \text{ T}$ )
  - outside of acceptance, reduced constraints
  - SiPM readout



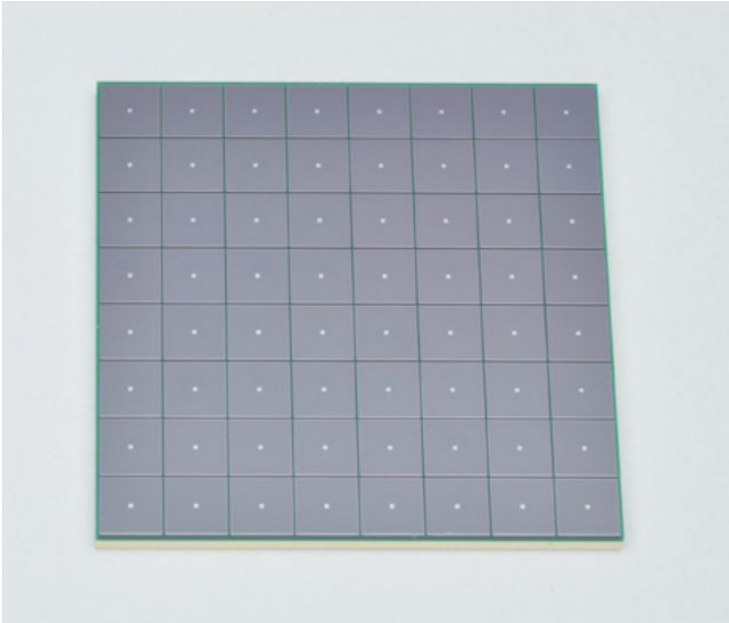
example event (accumulated hits)



# SiPM technical specs

## baseline sensor device

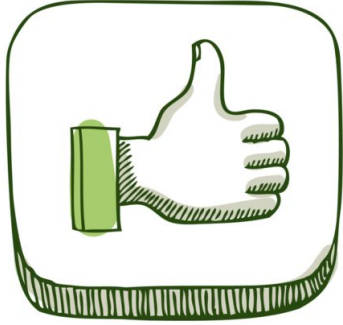
64 (8x8) channel SiPM array  
 3x3 mm<sup>2</sup> / channel



Parameter	Value	Notes
Package type	SiPM array	
Package dimension	< 26 × 26 cm <sup>2</sup>	
Mounting technology	surface mount	
Number of channels	64	
Matrix layout	8 × 8	
Channel size	3 × 3 mm <sup>2</sup>	
Fraction of active area in package	> 85%	
Microcell pitch	50 - 75 μm	
Protective window material	silicone resin	radiation & heat resistant
Protective window refractive index	1.55 - 1.57	
Spectral response range	300 to 900 nm	
Peak sensitivity wavelength ( $\lambda_{peak}$ )	400 - 450 nm	
Photon detection efficiency at $\lambda_{peak}$	> 40%	
Breakdown voltage ( $V_{break}$ )	< 60 V	
Operating overvoltage ( $V_{over}$ )	< 5 V	
Operative voltage ( $V_{op}$ )	< 64 V	
Max $V_{op}$ variation between channels	< 100 mV	at T = −30°C
Channel dark count rate (DCR)	< 50 kHz	
DCR at T = −30°C	< 5 kHz	at T = −30°C
DCR increase with radiation damage	< 500 kHz/10 <sup>9</sup> n <sub>eq</sub>	at T = −30°C
Residual DCR after annealing	< 50 kHz/10 <sup>9</sup> n <sub>eq</sub>	at T = −30°C
Terminal capacitance	< 500 pF	
Gain	> 1.5 10 <sup>6</sup>	
Recharge time constant ( $\tau$ )	< 100 ns	
Crosstalk (CT)	< 5%	
Afterpulsing (AP)	< 5%	
Operating temperature range	−40 to 25°C	
Single photon time resolution (SPTR)	< 200 ps FWHM	

**Table 8.5:** Baseline specifications of the SiPM sensor devices for the dRICH photodetector. All parameters are defined at room temperature (T = 25°C) and at the operating voltage  $V_{op}$ , unless otherwise specified.

# SiPM requirements for RICH optical readout



## ● pros

- cheap
- high photon efficiency requirement □
- excellent time resolution requirement □
- insensitive to magnetic field requirement □

28.0855	14
Atomic mass	Atomic number
<b>Si</b>	
Silicon	
786.5	1.90
First ionization energy	Electronegativity

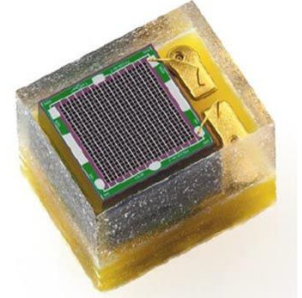


## ● cons

large dark count rates  
not radiation tolerant

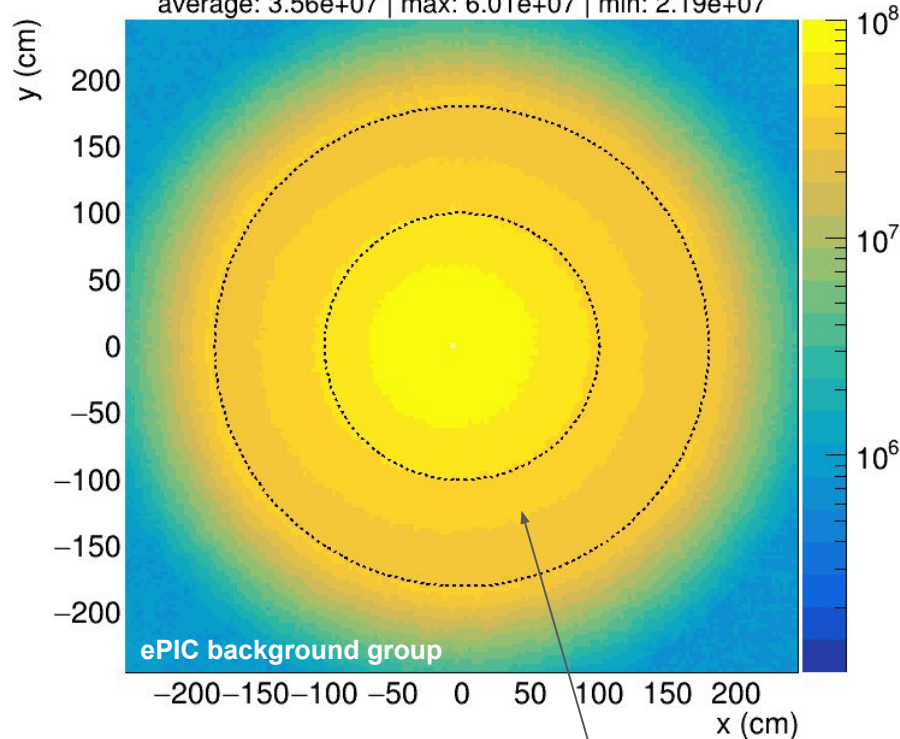
### technical solutions and mitigation strategies

- 🐧 cooling
- 🕒 timing
- 🔥 annealing

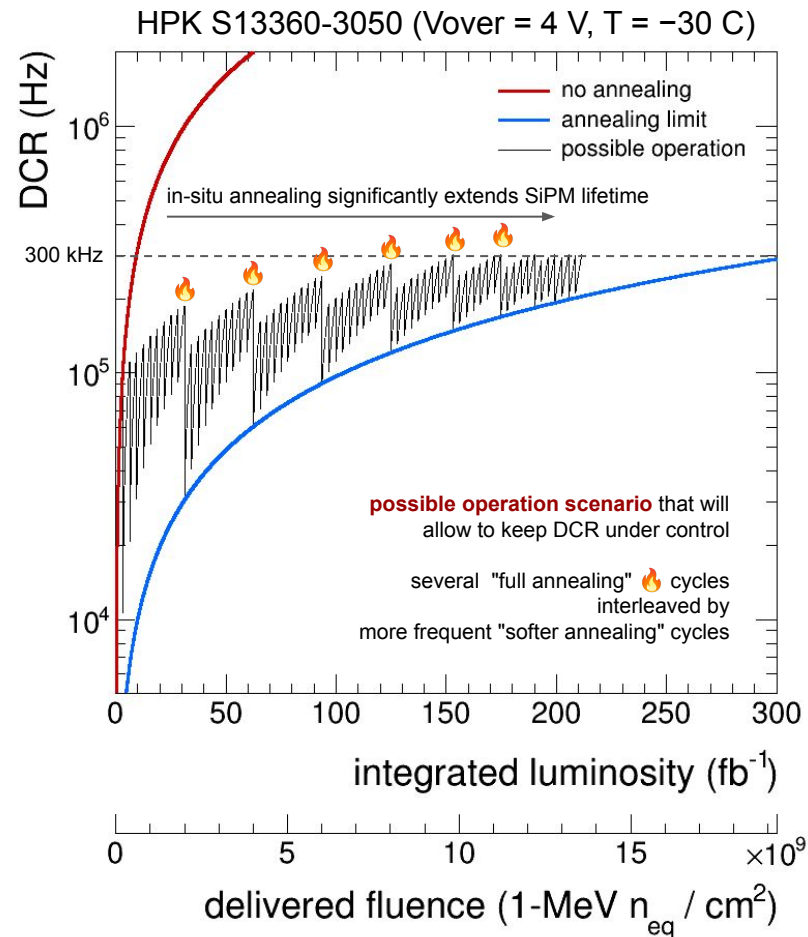


# Radiation level estimates update

1 MEQ neutron equivalent fluence ( $\text{cm}^{-2}/\text{fb}^{-1}$ )  
 minimum-bias PYTHIA e+p events at 10x275 GeV  
 average:  $3.56\text{e}+07$  | max:  $6.01\text{e}+07$  | min:  $2.19\text{e}+07$



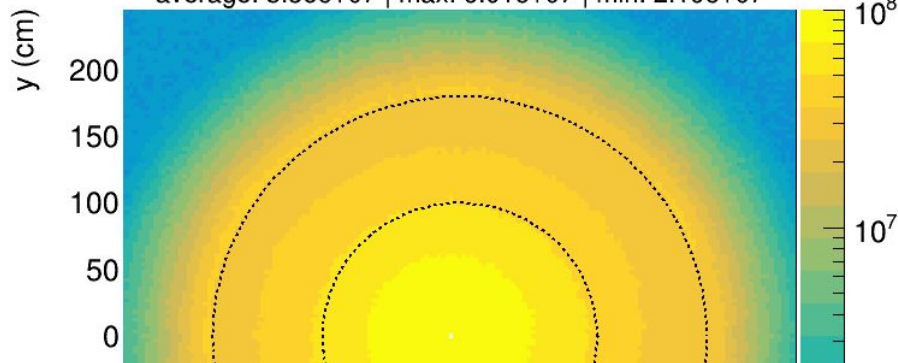
max fluence =  $6.38 \cdot 10^7 \text{ neq}/\text{fb}^{-1}$  at the location of dRICH photosensors





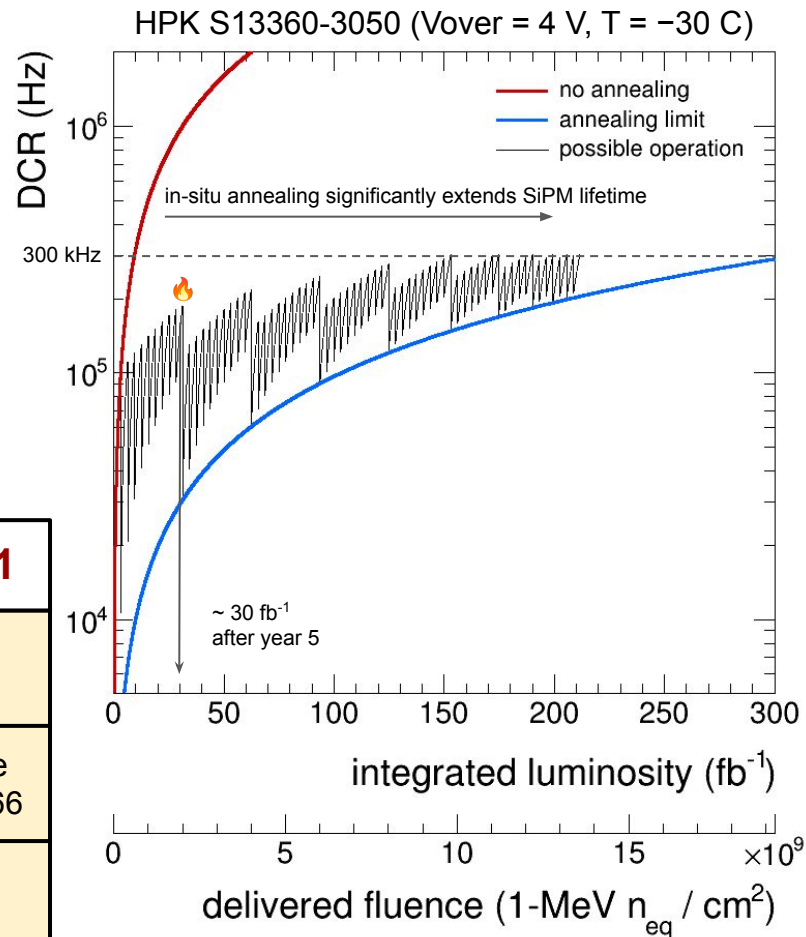
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## possible scenario for first 5 years of EIC Phase-1

Year	1	2	3	4	5
<b>Beams</b> (GeV)	$\text{e}^-$ Ru 10 x 115	$\text{e}^-$ d 10 x 130	$\text{e}^-$ p 10 x 130	$\text{e}^-$ Au 10 x 100	$\text{e}^-$ $^3\text{He}$ 10 x 166
$\int$ <b>Lumi</b> ( $\text{fb}^{-1}$ )	0.9	11.4	5.33	0.84	8.65



*Comment: We advise exploring the operation of SiPMs at a lower temperature (for example -40C) to guarantee a low level of DCR.*

The baseline for the SiPM operation temperature in the experiment is  $T = -40\text{ C}$ . We show predictions at  $T = -30\text{ C}$  as they are based on R&D measurements performed at that temperature. For reference, we reached  $T = -40\text{ C}$  in recent beam tests and below  $T = -50\text{ C}$  in laboratory tests, using commercial fluid-based chillers assisted by Thermoelectric Cooling elements (not foreseen to be used in the experiment). These and future studies will provide guidance and reference data for the advance and the engineering of the SiPM cooling system.



# High-temperature annealing

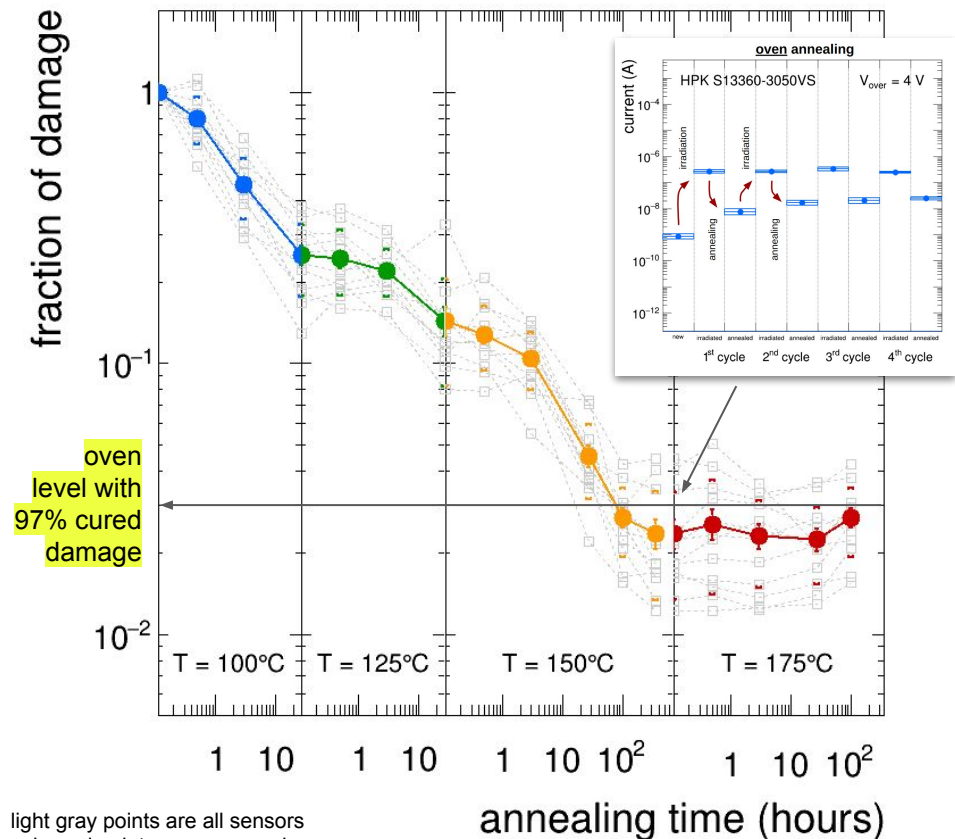
- **done R&D on SiPM radiation damage and recovery with annealing**
  - irradiation tests on large samples of SiPMs with protons, neutrons and gamma
  - high-temperature annealing based on "oven" annealing
    - ~ 3% irreducible residual damage with  $T = 150\text{ C}$  integrated for 150 hours
  - tested realistic situation with repeated irradiation-annealing cycles
    - irreducible residual damage builds up
- **developed "online" self-heating annealing process**
  - "oven" annealing is not a practical approach in a large detector (it is also not desirable to often dismount it)
  - performed detailed studies as a function of temperature and time
  - achieved the "oven" performance with forward-bias current
- **engineering annealing towards safer control in the experiment**
  - laboratory measurements of a promising temperature control strategy
  - fluid-assisted to reduce stress on electronics and power requirements
- **designing electronics that fulfils annealing requirements**
  - circuitry that withstands high currents
  - protect the ASIC
  - board production starting in coming weeks
    - annealing tests to be concluded by the end of 2025

*Comment:* *The reviewers also suggest considering the option of replacing the SiPM array once during the experiment lifetime as an alternative to the “oven” annealing process.*

The forward-bias self-annealing technique can achieve a similar recovery performance as that of the "oven" annealing process. Performing "oven" annealing is not foreseen anymore. Nonetheless, we consider the suggestion to replace the SiPM arrays as an alternative to avoid over-annealing. We are also closely following advancements in new SiPM technologies for a potential future upgrade.

# Detailed studies of SiPM online self-annealing

online self-annealing with forward bias



light gray points are all sensors  
coloured points are averaged over sensors  
coloured brackets is the RMS

**test on a large number SiPM sensors**  
**how much damage is cured as a**  
**function of temperature and time**

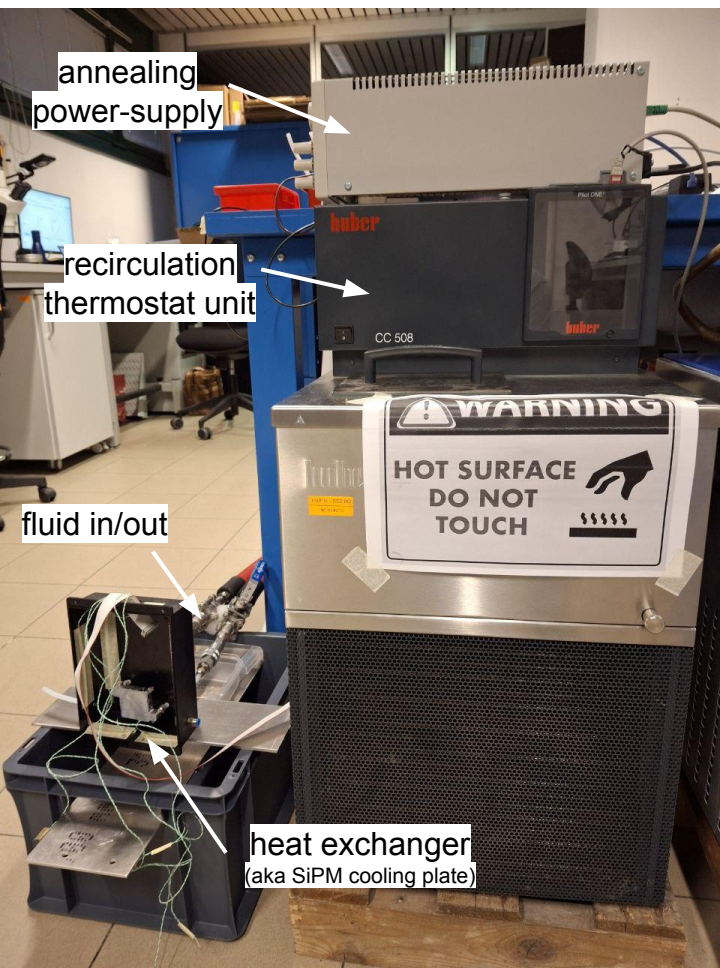
the same sensors have undergone self-annealing  
increasing temperature steps  
increasing integrated time steps

- started with  $T = 100\text{ C}$  annealing
  - performed 4 steps up to 30 hours integrated
- followed by  $T = 125, 150$  and  $175\text{ C}$

**residual damage saturates at 2-3%**  
**reached same level of "oven" annealing**

at a higher  $T = 175\text{ C}$  we do not to cure more than that

# Fluid-assisted online self-annealing process

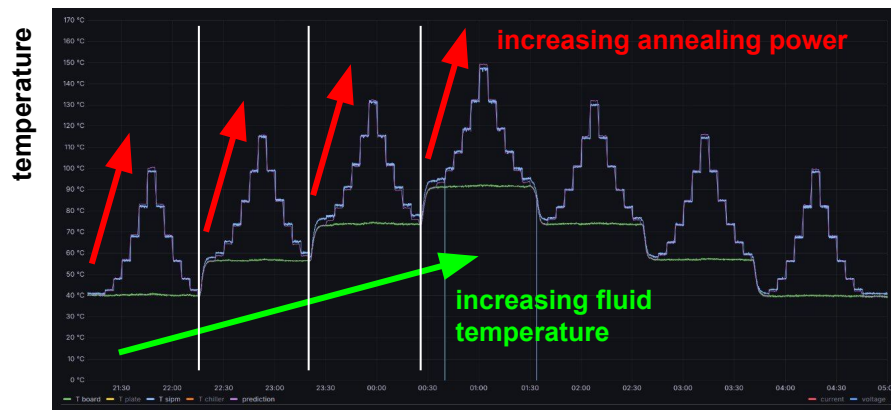


## new dedicated laboratory test-stand

fluid-based (silicone) circulating thermostat system  
 keep the SiPM PCB board at a controlled temperature  
 cooling (-55 C) and heating (105 C) mode possible  
 while delivering forward-bias current for annealing

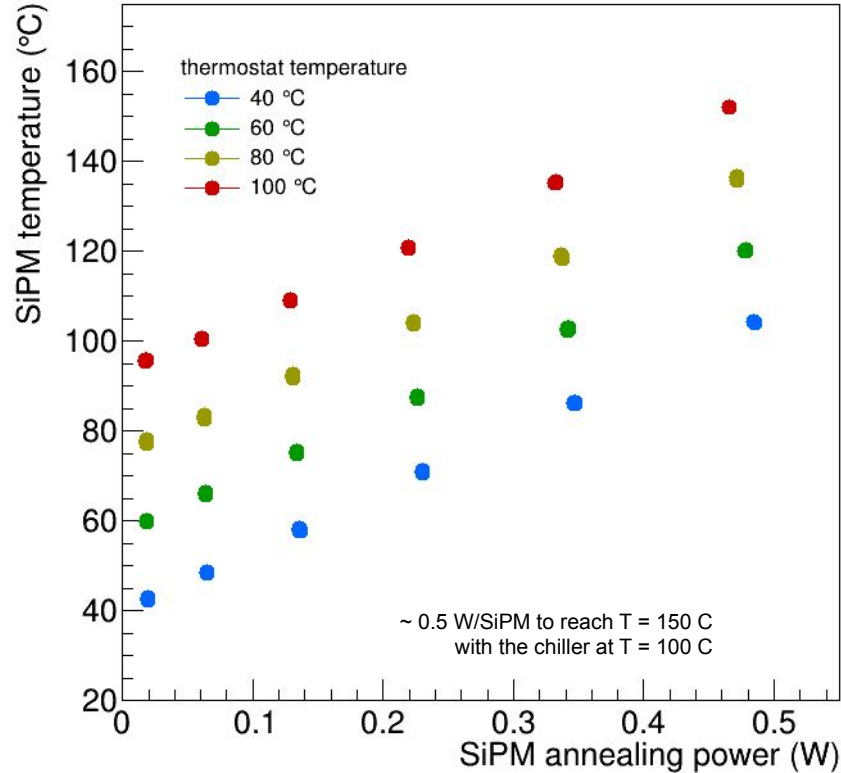
## SiPM temperature measurements as function of

- circulating-fluid temperature
- annealing power

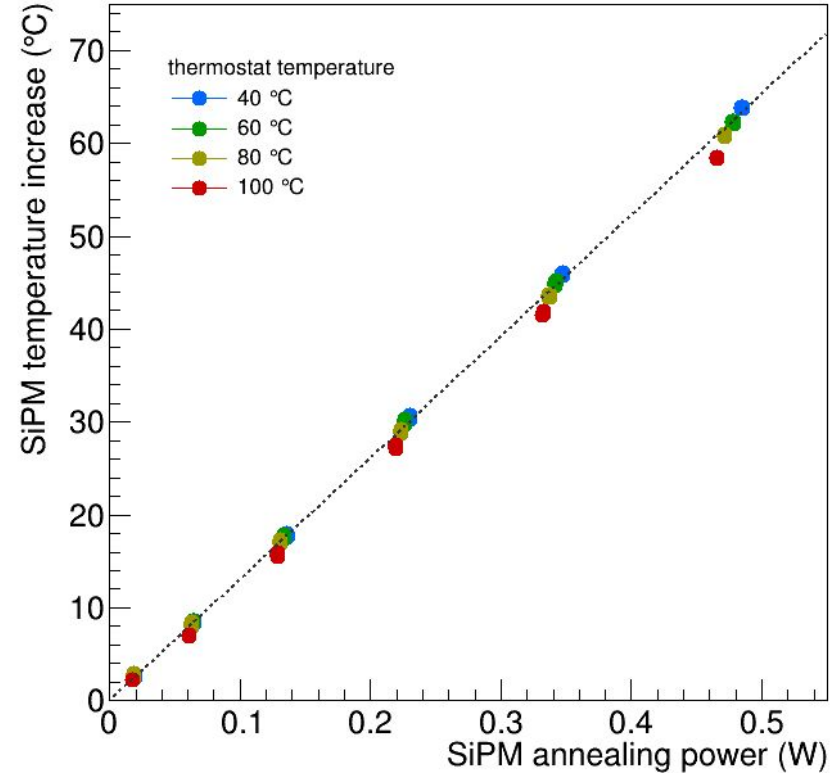


# Forward-bias annealing control

SiPM temperature increases proportionally to annealing power



constant increase wrt. the temperature of the PCB board



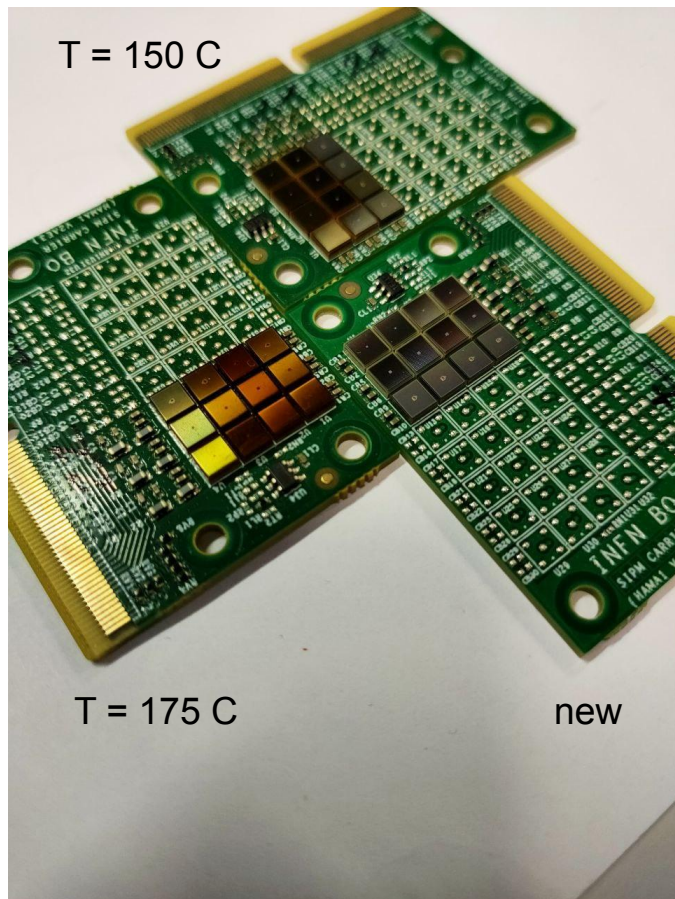
measure temperature of the PCB board (we have 4 NTC temperature sensors) and the amount of annealing power → predict the SiPM temperature

*Comment: To reduce dark current, heavy annealing is planned. It is required to check that the charge collection efficiency is not reduced due to over-annealing. The reviewers understand that this is part of the ongoing R&D campaign and that encouraging first results have been obtained.*

We tested the forward-bias self-annealing technique at different temperatures and integrated annealing times. We observed an unexpected degradation of the protective silicone window. A corresponding decrease in PDE is measured. Such effects were not observed with "oven" annealing. This is not considered significantly worrisome, as no degradation is observed for the required self-annealing temperatures and integrated times. Nevertheless, further tests will be carried out.



# Detailed studies of SiPM online self-annealing

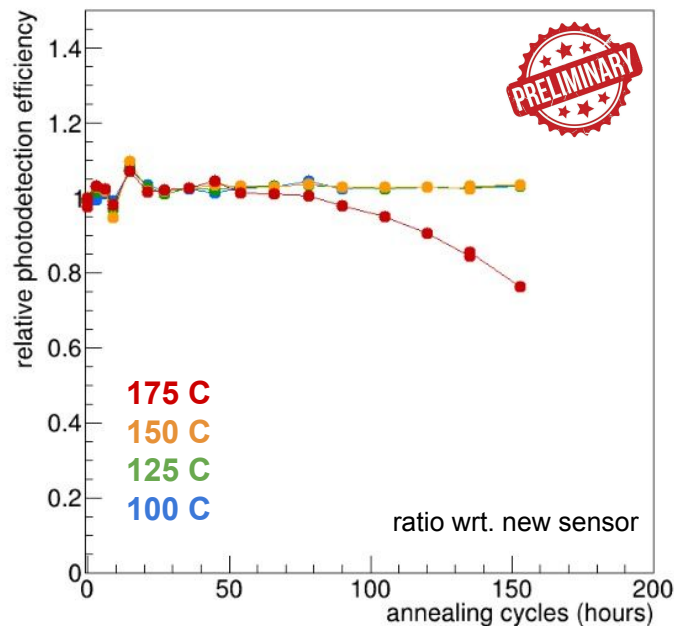


## after many hours of online annealing

we noticed alterations on the SiPM windows  
in particular in one board that underwent

## 500 hours of online annealing at $T = 175\text{ C}$

the sensors appear "yellowish" when compared to new



preliminary results indicate  
efficiency loss after 100 hours of  
annealing at  $T = 175\text{ C}$

up to  $T = 150\text{ C}$  temperatures the  
sensors are unaffected up to 150  
hours of integrated annealing

this is not worrisome, because as  
we have seen **we don't need to  
go beyond  $T = 150\text{ C}$**

but this was unexpected:  
previous measurements after  
long **oven annealing do not  
show any modification** of SiPM  
efficiency / transparency

we plan a new set of measurements with the SiPM annealing to be done in dry environment



*Comment:* *The online annealing procedure requires forward biasing of the sensors creating local heat generation and large current flows close to the front-end electronics. Precautions will have to be taken to avoid damage to the ASIC. It was understood that this is a part of the R&D effort, for example, through the use of MOSFETs to protect the readout.*

The circuit that allows high-current forward-bias annealing and at the same time protects the ASIC and ensures signal integrity has been studied. The latest design employs diodes instead of MOSFETs to reduce complexity in the electronics. A dedicated "annealing" board is being designed for realistic tests that will be performed by the end of 2025.

*Comment: For online self-annealing, all materials, including glue, PCB, etc., have to be checked to see if these are tolerant to the high temperature and if the thermal cycling does not affect the components due to CTE mismatch.*

In conjunction with the tests to evaluate the electronics circuits for annealing, we plan to carry out some tests to study and quantify the performance of the present design of the PDU and of its components and materials. These studies will also provide critical guidance for the final engineering as well as important reference data for simulation of heat-dissipation and the design of the cooling system.

# Annealing FEB and PDU head prototypes

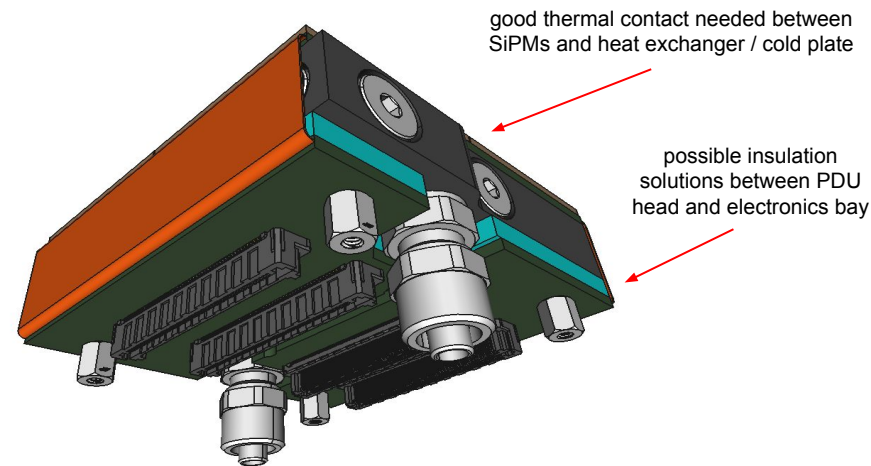
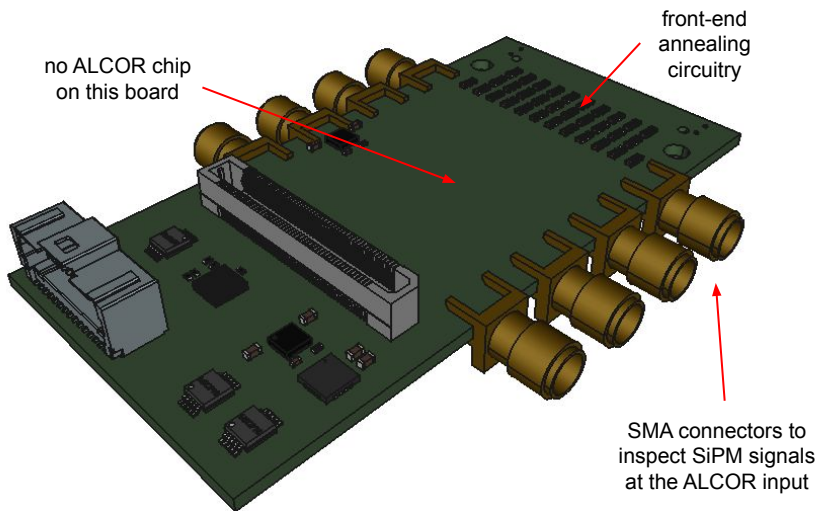
currently designing a special "Annealing-FEB"

## features

- all necessary circuitry as the final FEB
- but no ALCOR chip, instead it has
- SMA connectors to inspect SiPM signals on scope

## goals

- test realistic dRICH annealing electronics
- validate basics annealing elements
- study/engineering of annealing process details



Annealing-FEB mates with the PDU as a normal FEB

Few prototypes of the latest version of PDU head will be produced

## goals

- first tests of assembly procedures and materials
- study thermal performance within PDU elements
- test SiPM signal transmission/integrity towards ALCOR
- test annealing cycles with a complete realistic PDU module

Assembly of a small dRICH photodetector box to aid engineering simulations, heat propagation and cooling requirements

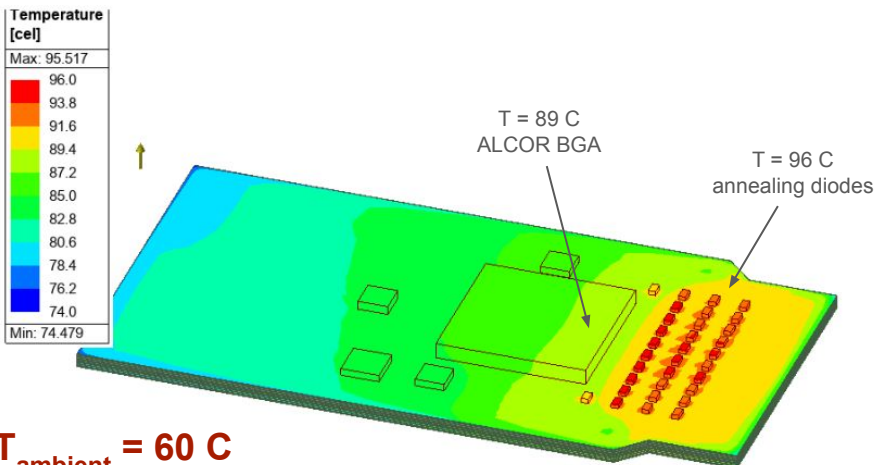
# Annealing in the dRICH PDU

## preliminary thermal simulations

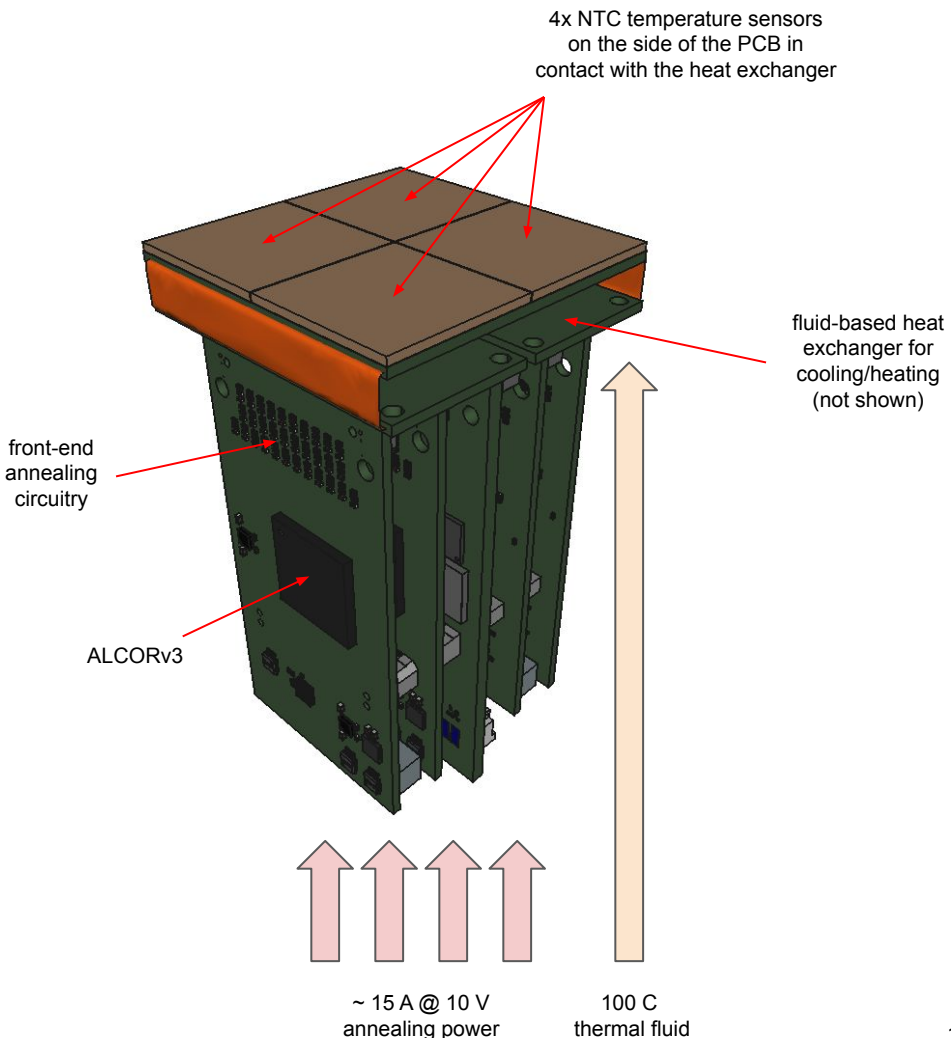
of the FEB board while performing annealing  
embedded in a static  $T = 60\text{ C}$  ambient

note: this is a first and simplified simulation of the board  
without air circulation and without taking into account other heat  
sources and the complexity of the surrounding environment

first **guidance** on the possible temperature profiles  
useful to put **requirements** on dRICH box cooling  
valuable to **compare** with simplified test benches



$T_{\text{ambient}} = 60\text{ C}$



# Optimisation of photosensor performance

- **timing performance measurements**

- shown already during last review
- better resolution with larger 75  $\mu\text{m}$  SPAD sensors (larger signal)
  - already good at low over-voltage
  - possible to improve with next version of ASIC (larger bandwidth)

- **DCR vs. PDE performance measurements**

- clear that S13360 Hamamatsu sensors are superior than S14160 ones
- better performance from 75  $\mu\text{m}$  SPAD sensors wrt. 50  $\mu\text{m}$ , also after irradiation & annealing

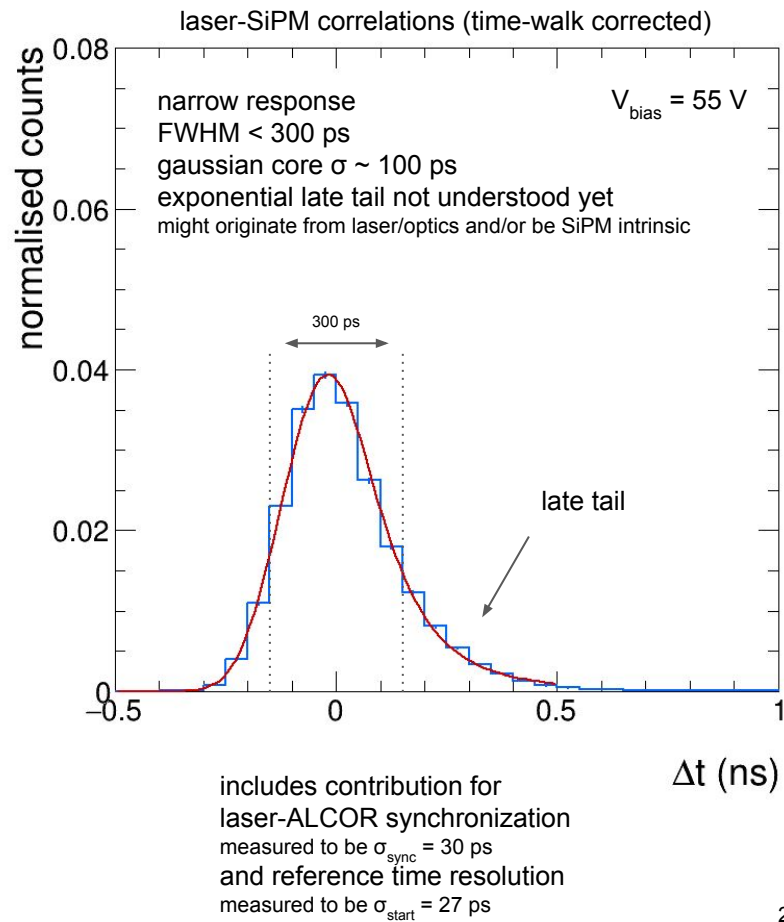
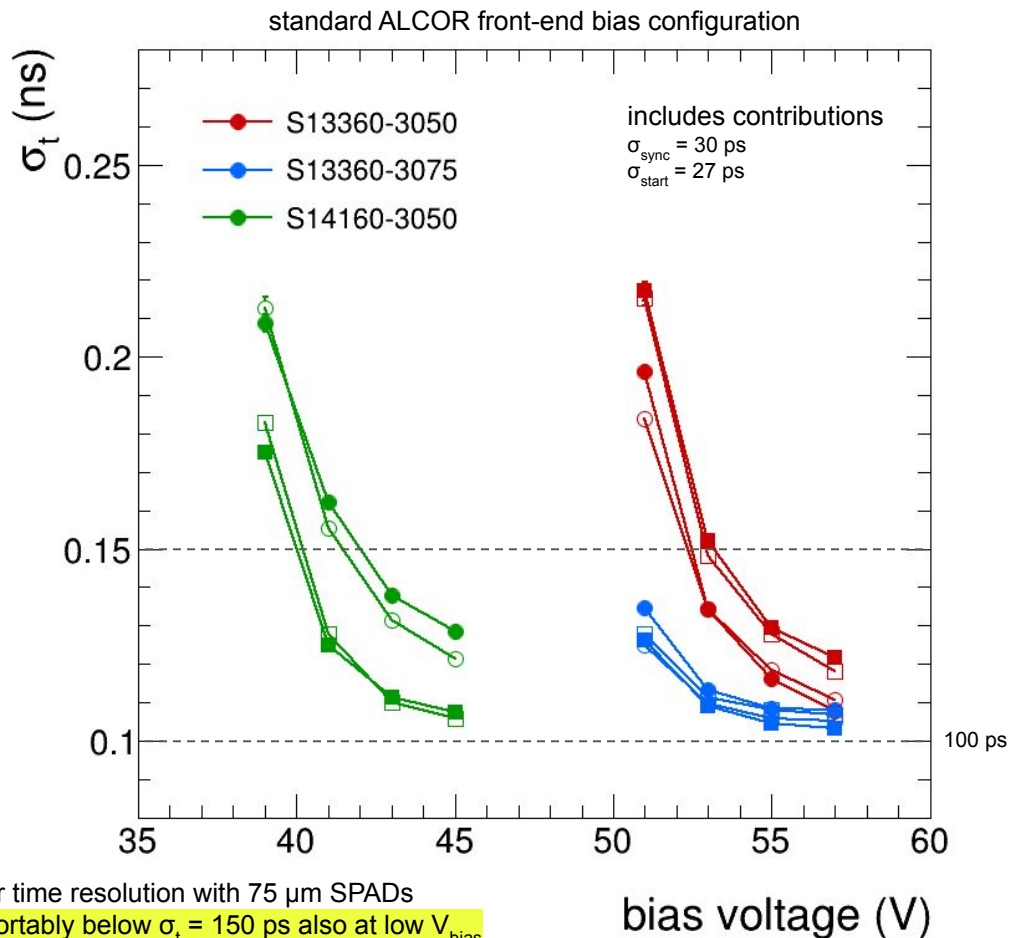
- **beam test measurements**

- confirmation also with Cherenkov light that 75  $\mu\text{m}$  SPADs detect more photoelectrons

- **new UV-enhanced Hamamatsu devices**

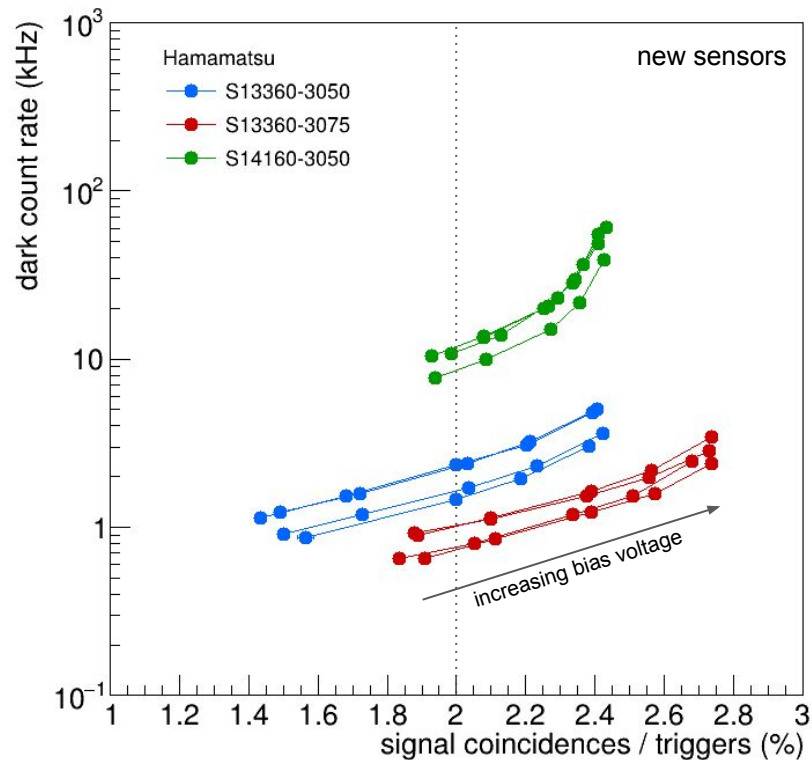
- faster recharge time
- larger efficiency
  - final evaluation and beam tests by end of 2025

# Timing performance measurements with ALCOR



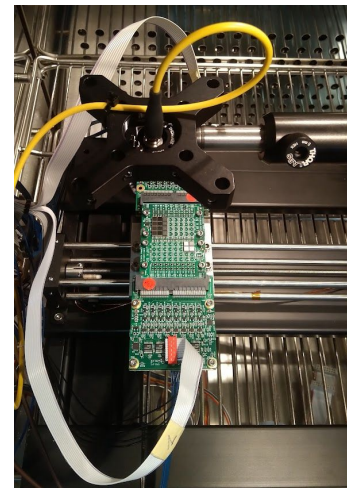
# DCR vs. PDE comparison between sensors

3 Hamamatsu sensor types, 4 sensors each measured as NEW



proxy for photodetection efficiency

these studies have  
been performed with  
the **full ALCOR**  
readout prototype  
**electronics chain**



**at the same level of detection efficiency**  
namely, the probability to detect light from laser pulse  
**different sensors have different DCR level**

**best: S13360-3075**

most promising sensors, large pitch SPADs (75  $\mu\text{m}$ )

**second: S13360-3050**

same technology, medium pitch SPADs (50  $\mu\text{m}$ )

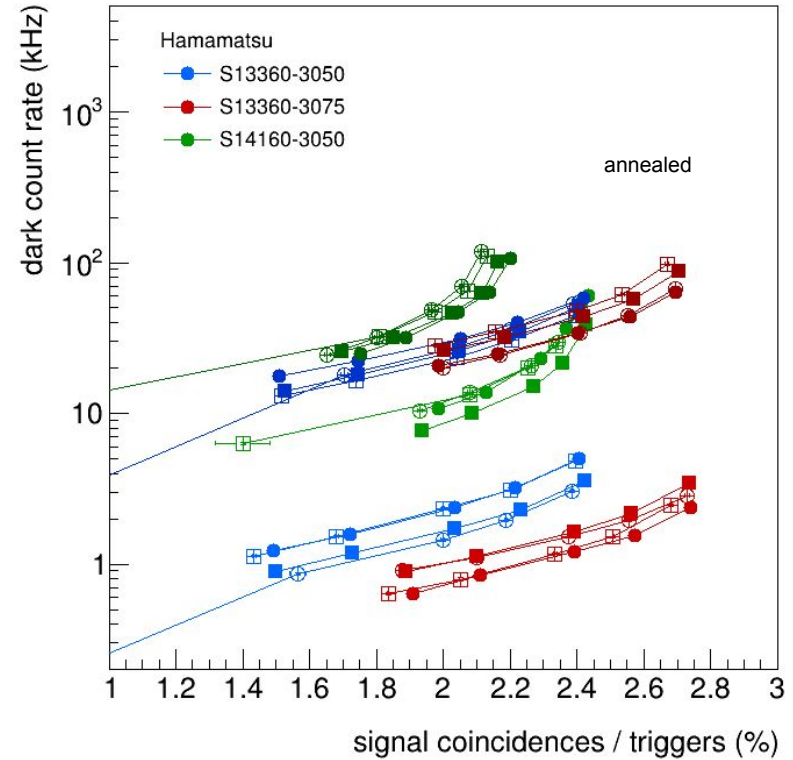
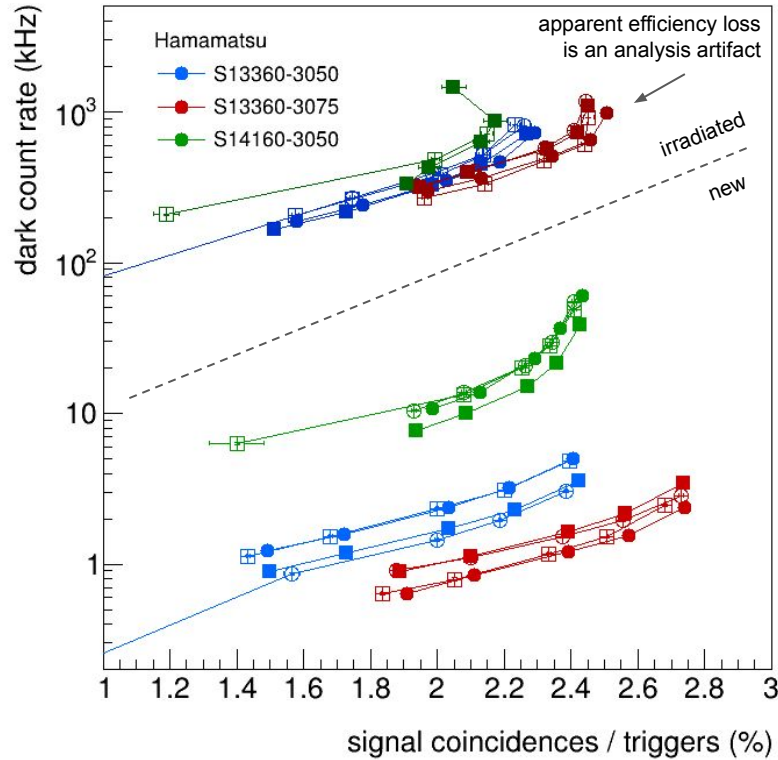
**worst: S14160-3050**

different technology, medium pitch SPADs (50  $\mu\text{m}$ )



# DCR vs. PDE comparison between sensors

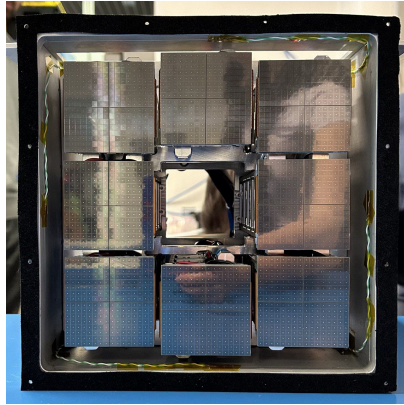
after proton irradiation with  $10^9$  1-MeV  $n_{eq}/cm^2$  and after over annealing (150 hours at  $T = 150$  C)



S13360-3075 sensors (75  $\mu m$  SPADs) are always at the bottom-left meaning it has higher PDE at the same DCR  
troubles with S14160-3050 sensors after irradiation, they also show lower efficiency after annealing

# Comparison between different SiPM sensors

same Hamamatsu technology, different SPAD sizes on the beam line



## dRICH readout plane in 2024 beam test at CERN-PS

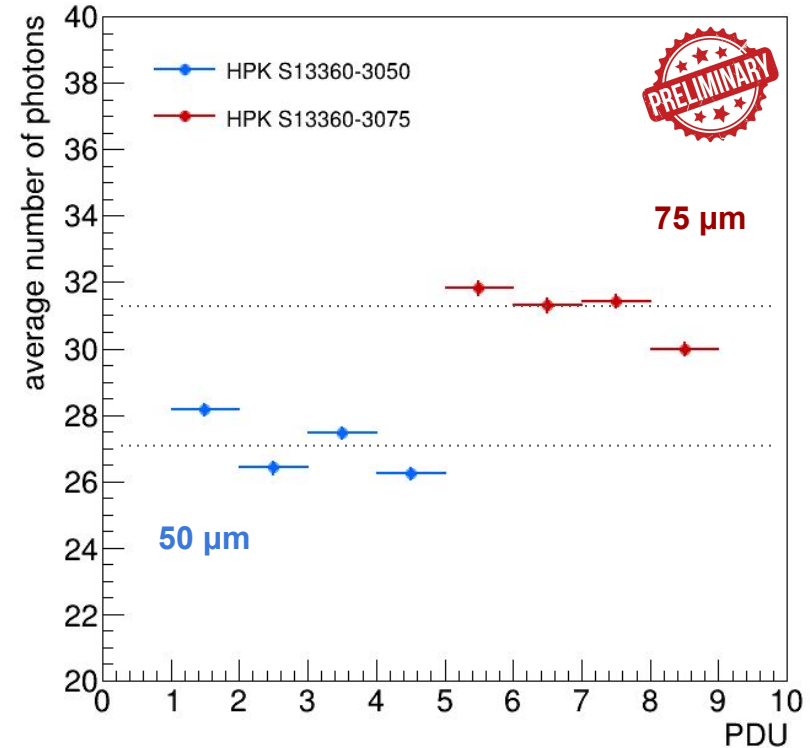
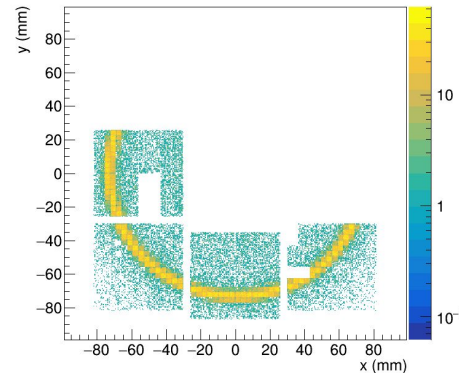
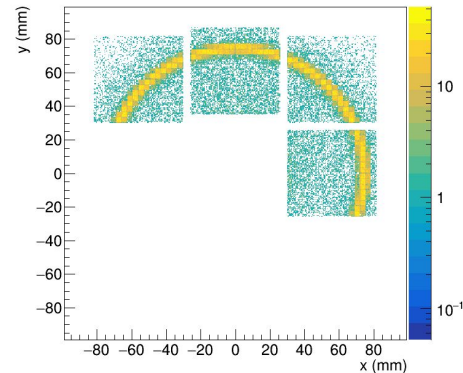
equipped with

- 4x PDUs with 50  $\mu\text{m}$  sensors
- 4x PDUs with 75  $\mu\text{m}$  sensors

to test Cherenkov performance of different SPAD sizes

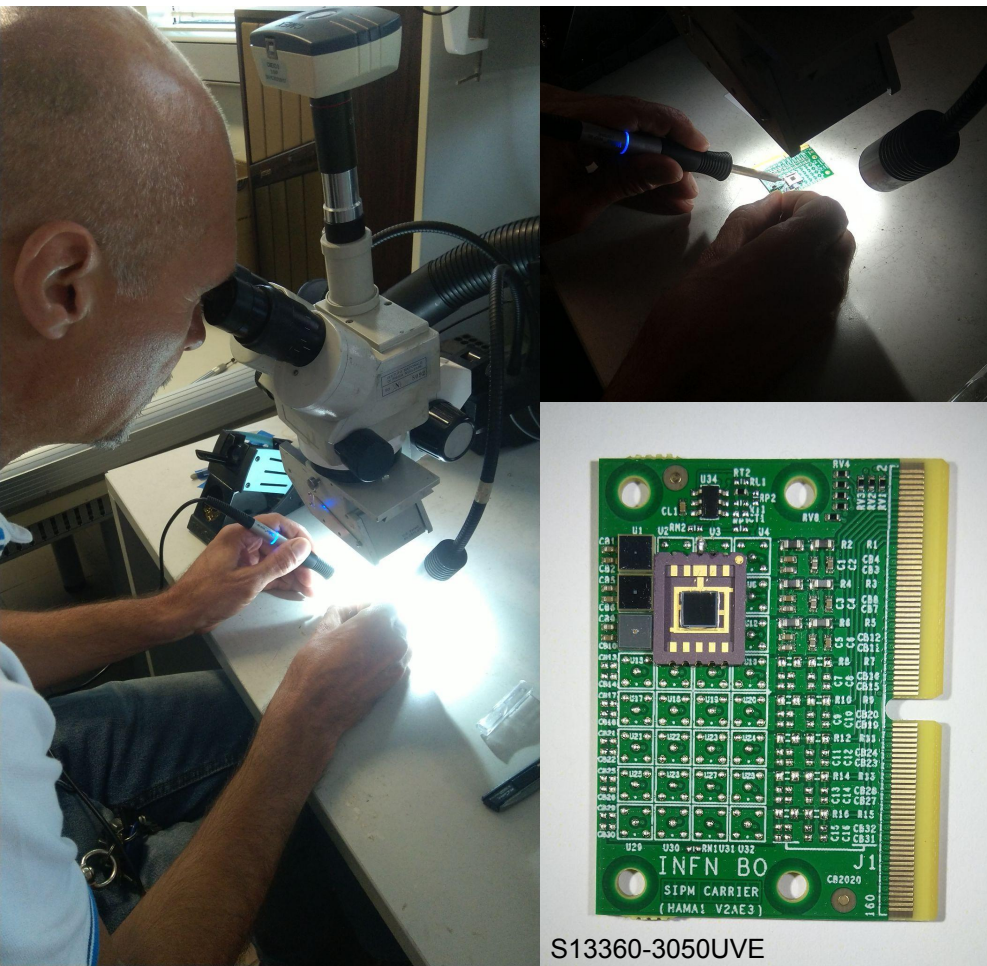
S13360-3050 (50  $\mu\text{m}$ )

S13360-3075 (75  $\mu\text{m}$ )



on average, 75  $\mu\text{m}$  SPAD sensors see ~ 15% more light

# New Hamamatsu SiPM prototypes (UVE)



newly-developed Hamamatsu SiPM sensors

based on S13360 series

received few samples of 50  $\mu\text{m}$  and 75  $\mu\text{m}$  SPAD sensors

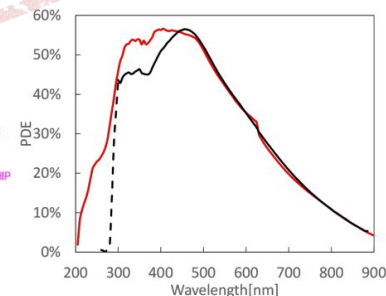
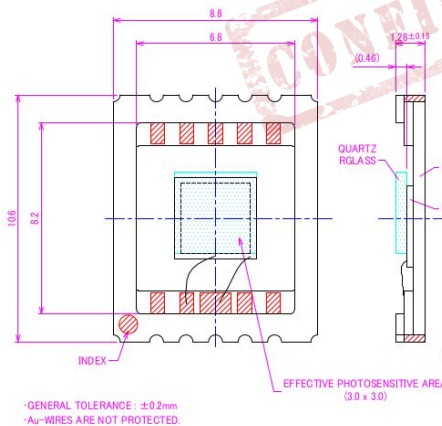
**on paper they look VERY promising**

- improved NUV sensitivity
- improved signal shape
- improved recharge time

mounted on EIC SiPM test boards

performed characterisation measurements

irradiation, annealing, laser, ...



— Prototype : based on S13360 series (75 $\mu\text{m}$ )

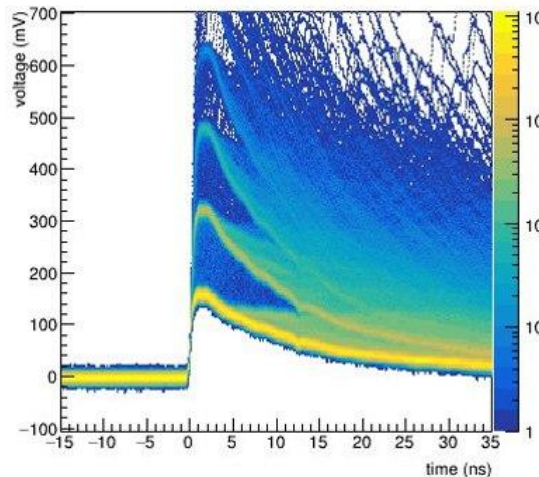
— Conventional : S14520 series (75 $\mu\text{m}$ )

S13360-3050UVE

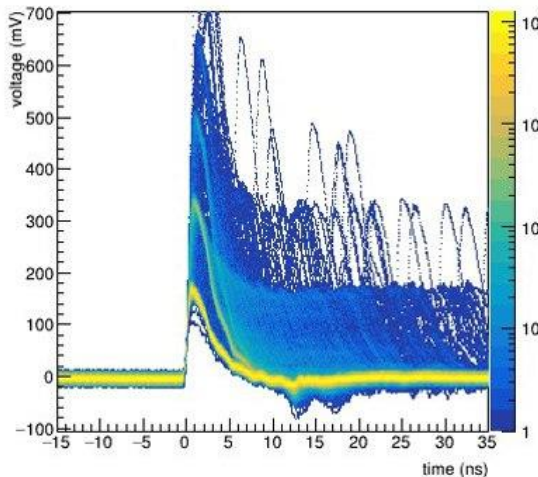
# Faster recharge time, higher PDE

we compared the standard commercial Hamamatsu S13360 sensors with the UVE prototypes

S13360 (50  $\mu\text{m}$ )



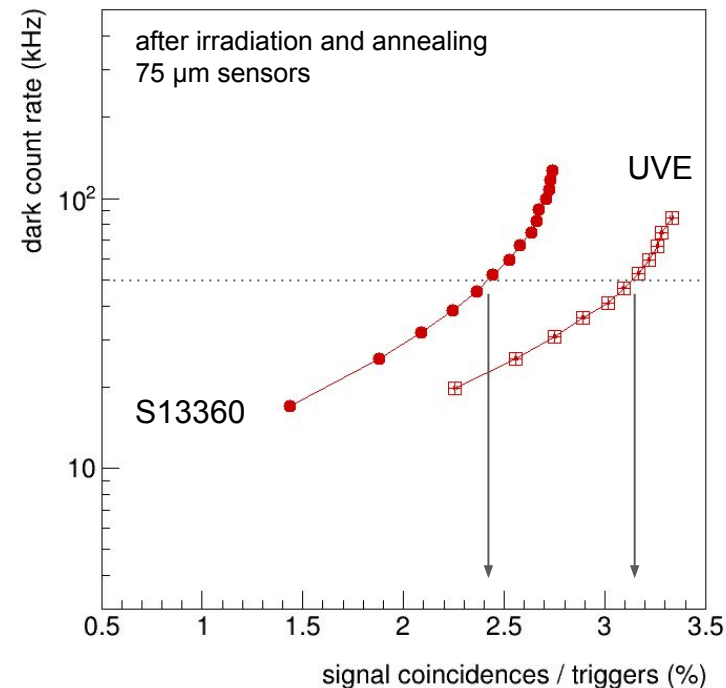
UVE (50  $\mu\text{m}$ )



measured with the oscilloscope and a broadband amplifier

**UVE sensors have > 5x faster recharge time with the same signal amplitude**

→ lower pile-up probability at high DCR



measured with the ALCOR electronics readout chain

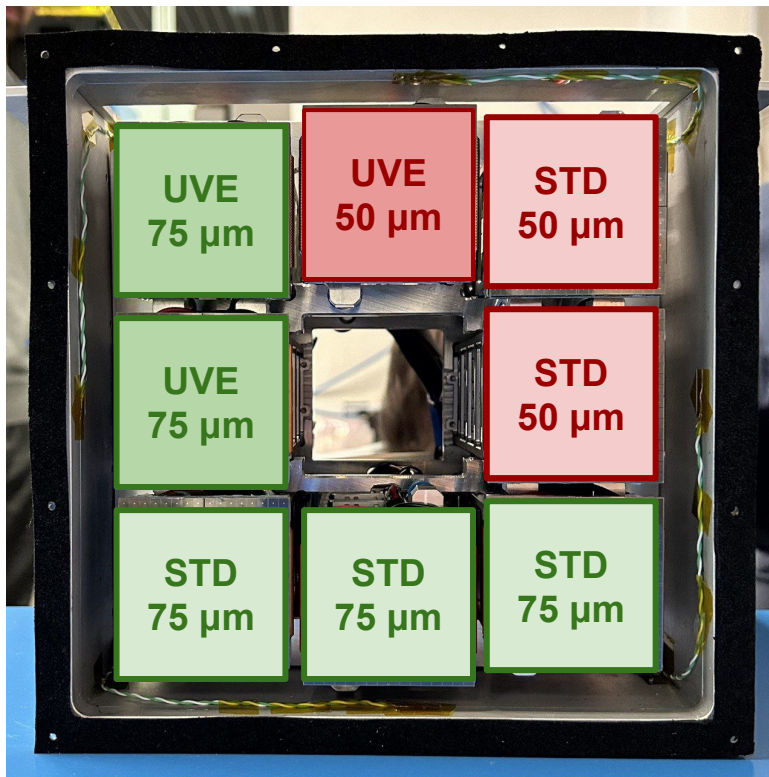
**UVE sensors have > 30% higher PDE at the same DCR of 50 kHz**

note: this is measured with a 400 nm laser and the prototype have a quartz protective window  
check with Hamamatsu for custom devices with silicone protective window



# New Hamamatsu SiPM prototypes on the beam line

aim at a the next dRICH beam test to evaluated the sensors with Cherenkov light



possible layout on the present prototype readout box

- **meeting with Hamamatsu engineers**

- productive meeting in September 2024
- they can provide what we want, namely
  - SiPM matrices 8x8 with UVE sensors
  - SMD mounting
  - silicone resin window

- **purchased and received**

- 4x matrices with 50 μm SPADs
- 12x matrices with 75 μm SPADs
- several single-SiPM sensors

- **goal**

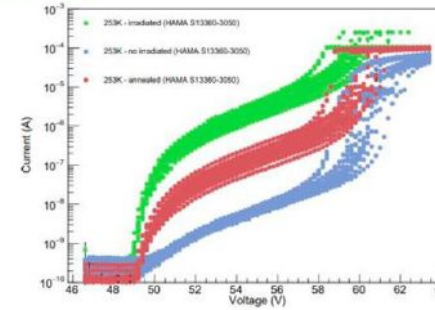
- assemble few new PDUs
- use them in the next beam test
- evaluate expected PDE improvement

# Photosensors Quality Assurance

ALCOR based QA stations being developed at INFN CS-SA-CT and INFN TS in collaboration with local Universities



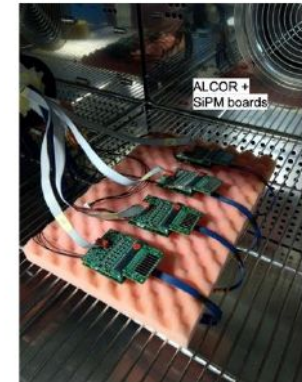
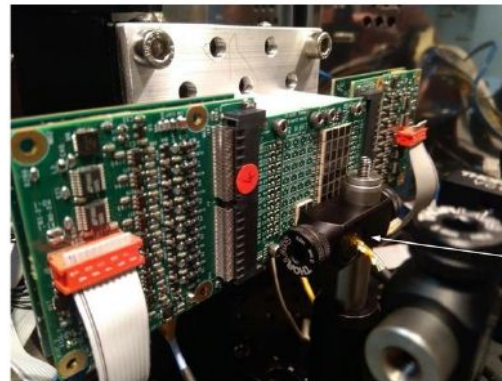
IV-curves



- 253K-no irradiated
- 253K-annealed
- 253K-irradiated



In-depth characterization station operative at INFN-BO: PDE - Timing



# Summary

- **dRICH SiPM readout fulfills requirements**
  - insensitive to magnetic field
  - excellent single-photon timing and efficiency
- **technical solutions to mitigate radiation damage**
  - low temperature operation
  - “in-situ” self-annealing with forward-bias currents
  - engineering of annealing process and electronics
    - design & production of prototypes
    - measurements from realistic test stands in 2025
- **optimisation of SiPM photosensors**
  - better performance from larger SPADs (75  $\mu\text{m}$ ) commercial Hamamatsu sensors
    - across the line: time resolution, DCR vs. PDE, beam tests
  - further optimisation of signal shape and PDE is possible
    - laboratory measurements on UV-enhanced Hamamatsu sensors
    - tailored pre-production of photosensors received
    - final evaluation and beam tests of UVE by end of 2025
- **clear path towards TDR and production**
  - sensor procurement operations starting in 2026
  - QA tests stations and manpower are available



