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# First suite of physics results from SPHENIX

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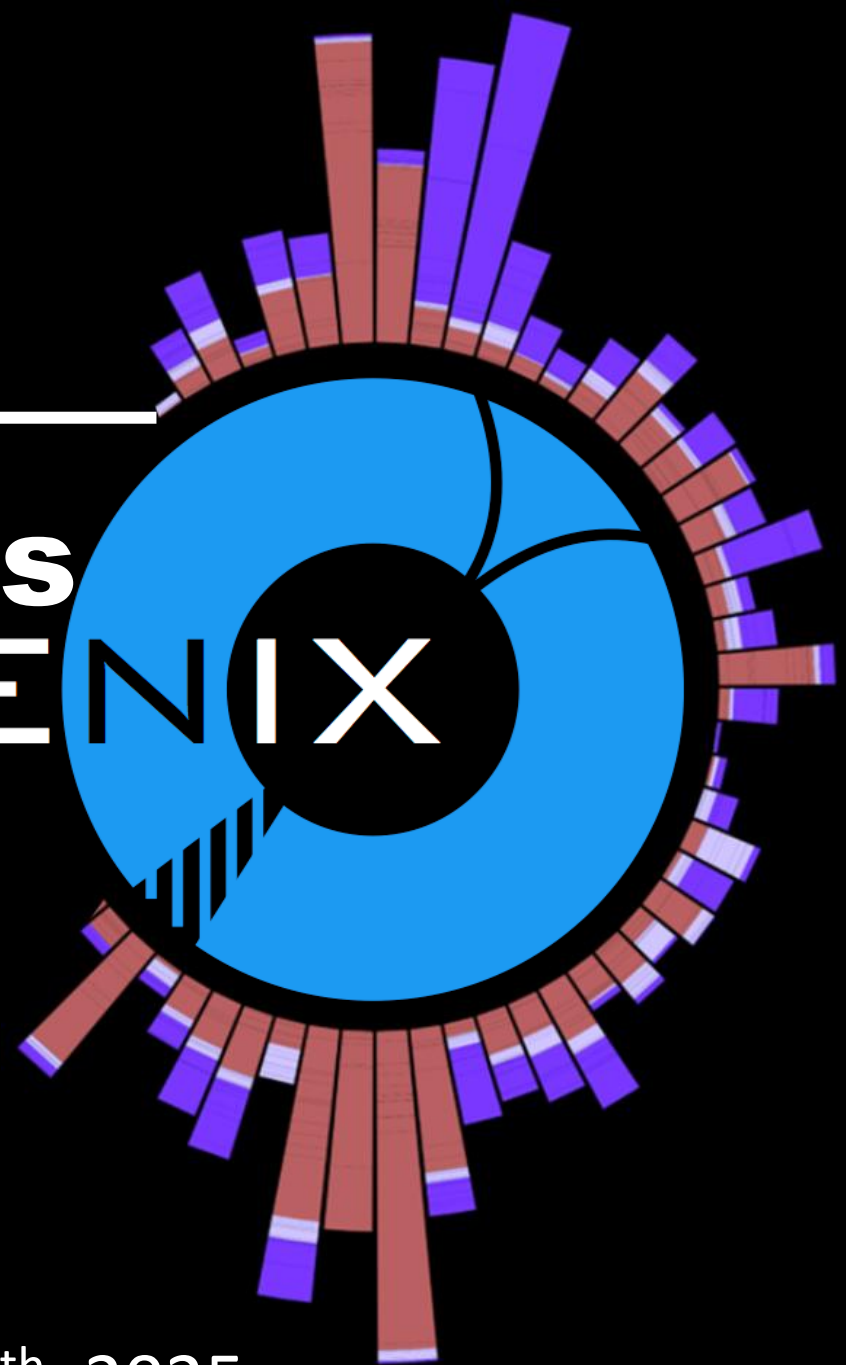
Columbia University



U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science

BNL NP Seminars, May 5<sup>th</sup>, 2025



# Overview

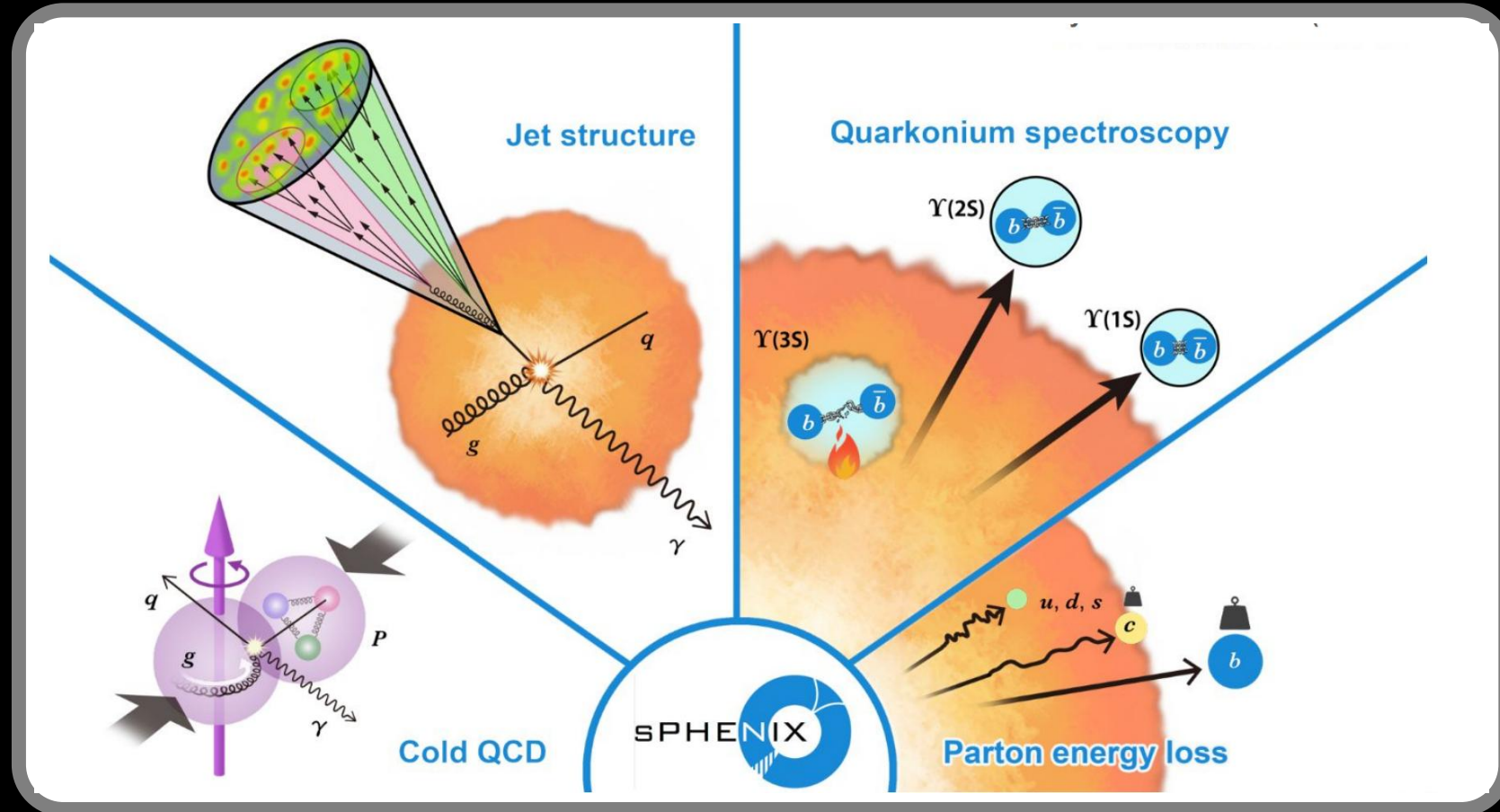
- **Detector & data-taking**
- Bulk measurements
- Jets
- Photons
- Heavy flavor

# Motivation for sPHENIX

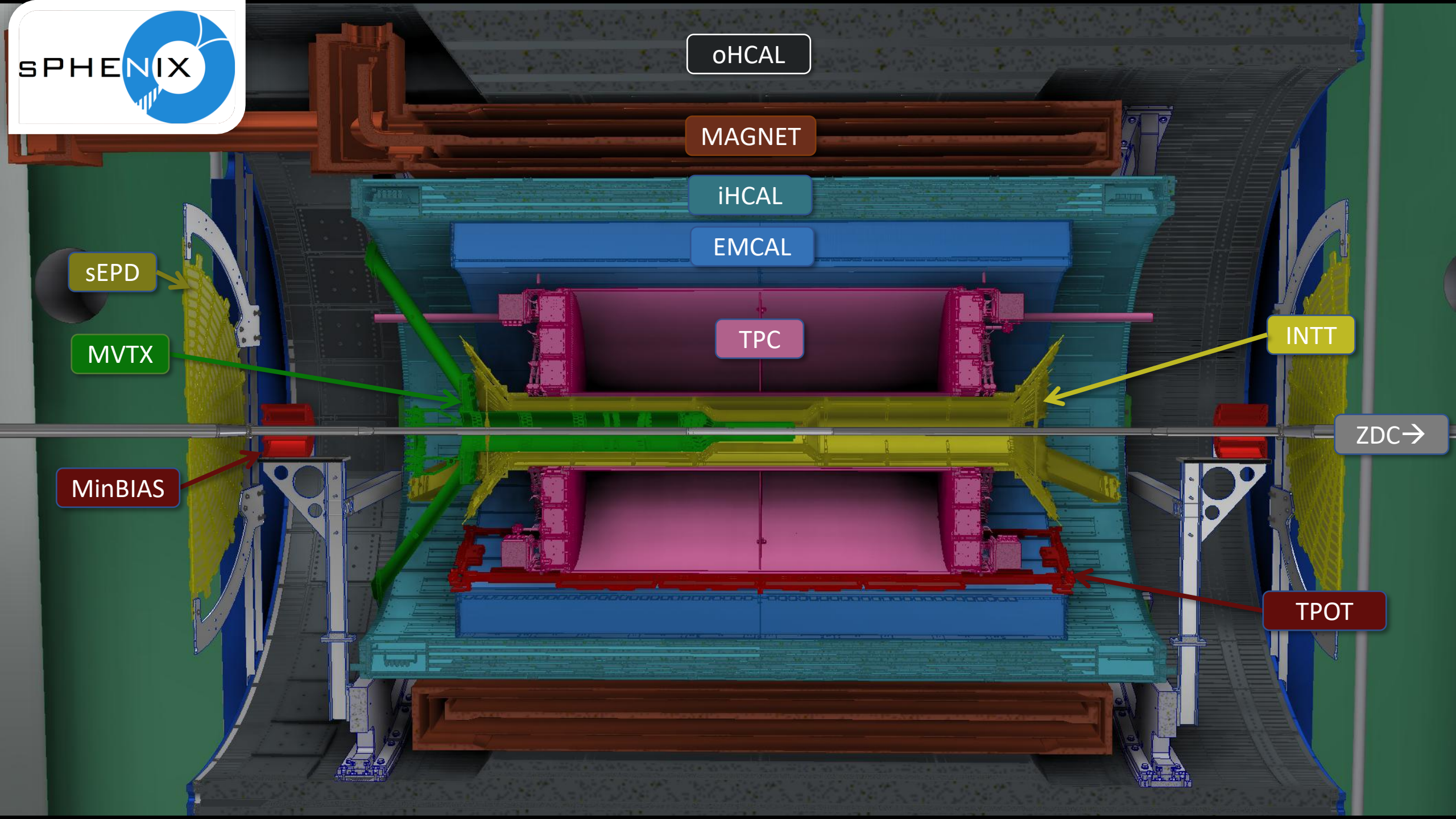
- Study high- $p_T$  and heavy probes of the quark gluon plasma

## What this requires

- QGP (Au+Au) and a reference (p+p)
- Powerful DAQ and trigger system
- Large acceptance in  $\eta$  and  $\phi$
- Acceptance for hadrons and EM particles
- Precision tracking







oHCAL

MAGNET

iHCAL

EMCAL

TPC

INTT

ZDC→

TPOT

sEPD

MVTX

MinBIAS

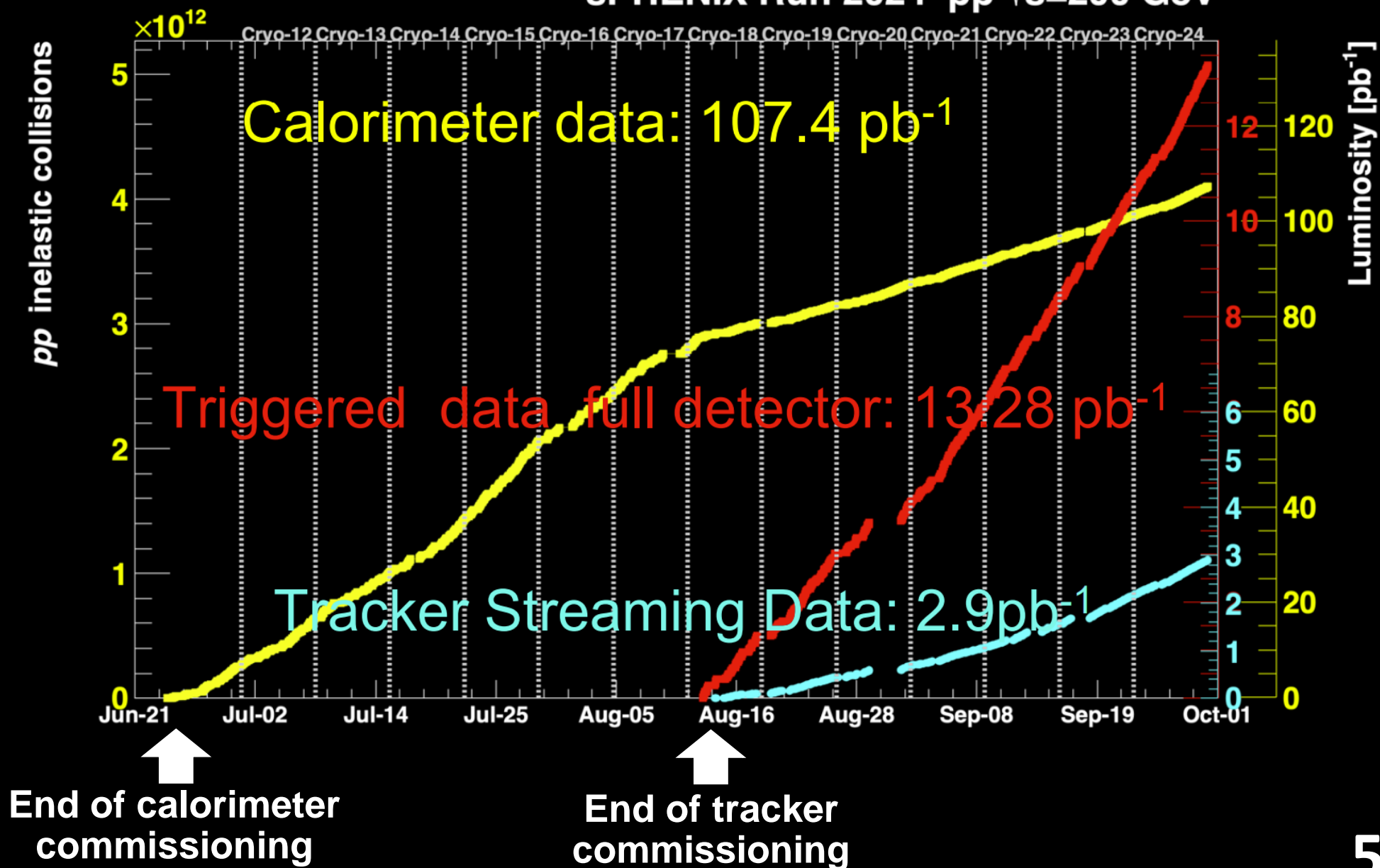
# Sampled luminosity in the 2024 p+p run

sPHENIX Run 2024 pp  $\sqrt{s}=200$  GeV

2023  
Au+Au  
commissioning

2024  
p+p physics  
Au+Au  
commissioning

Upcoming  
7 nb<sup>-1</sup> Au+Au



# Overview

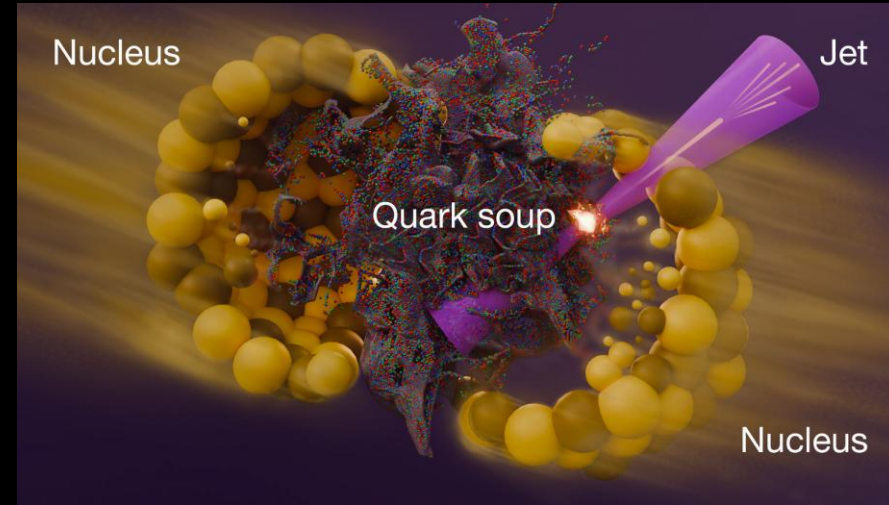
- Detector
- **Bulk physics measurements**
- Jets
- Photons
- Heavy flavor



# Bulk physics measurements

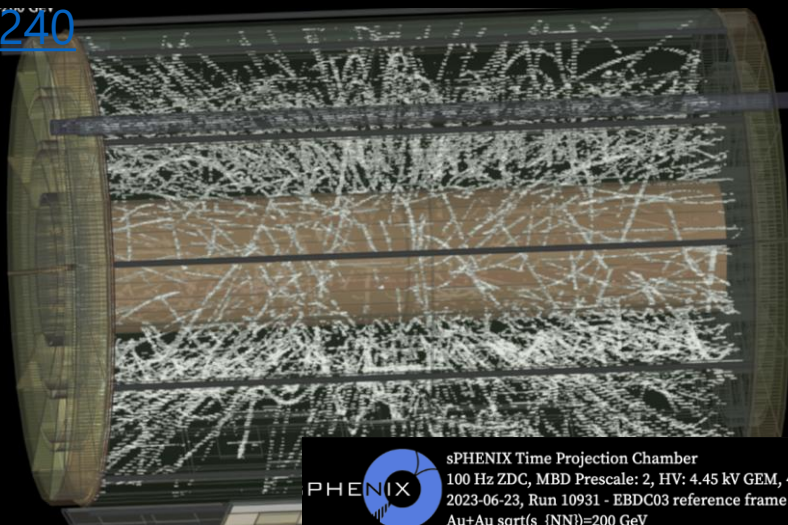
## Motivation

- Energy deposition in heavy ion collisions measures
  - collision geometry,
  - gluon shadowing
  - saturation
- sPHENIX is expected to match previous experiment's measurements of  $dE_T/d\eta$  or  $dN_{ch}/d\eta$
- These first sPHENIX serve as standard candles to evaluate calorimeter calibrations, pixel efficiency, centrality calibration..



## $dN_{ch}/d\eta$ in Au+Au

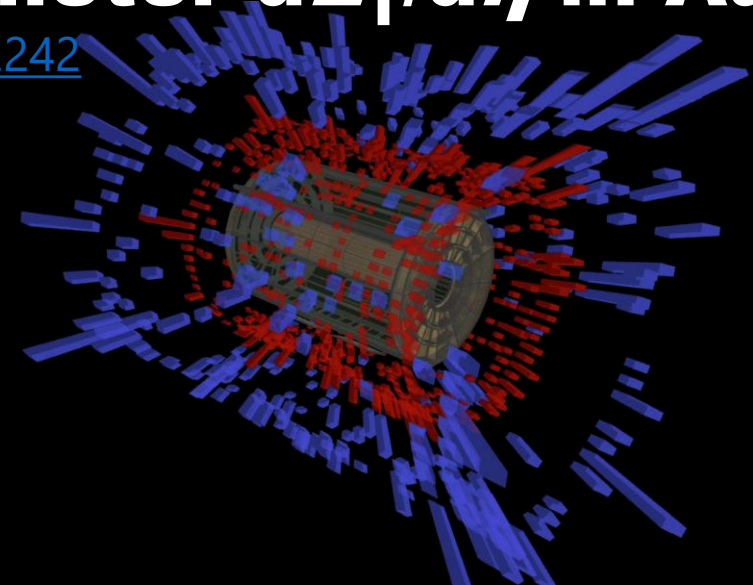
[arXiv:2504.02240](https://arxiv.org/abs/2504.02240)



sPHENIX Time Projection Chamber  
100 Hz ZDC, MBD Prescale: 2, HV: 4.45 kV GEM, 45 kV CM, X-ing Angle: 2 mrad  
2023-06-23, Run 10931 - EBDC03 reference frame 34  
Au+Au sqrt(s<sub>NN</sub>)=200 GeV

## Calorimeter $dE_T/d\eta$ in Au+Au

[arXiv:2504.02242](https://arxiv.org/abs/2504.02242)



# Event selection and global detectors

## Minimum Bias Detector (MBD)

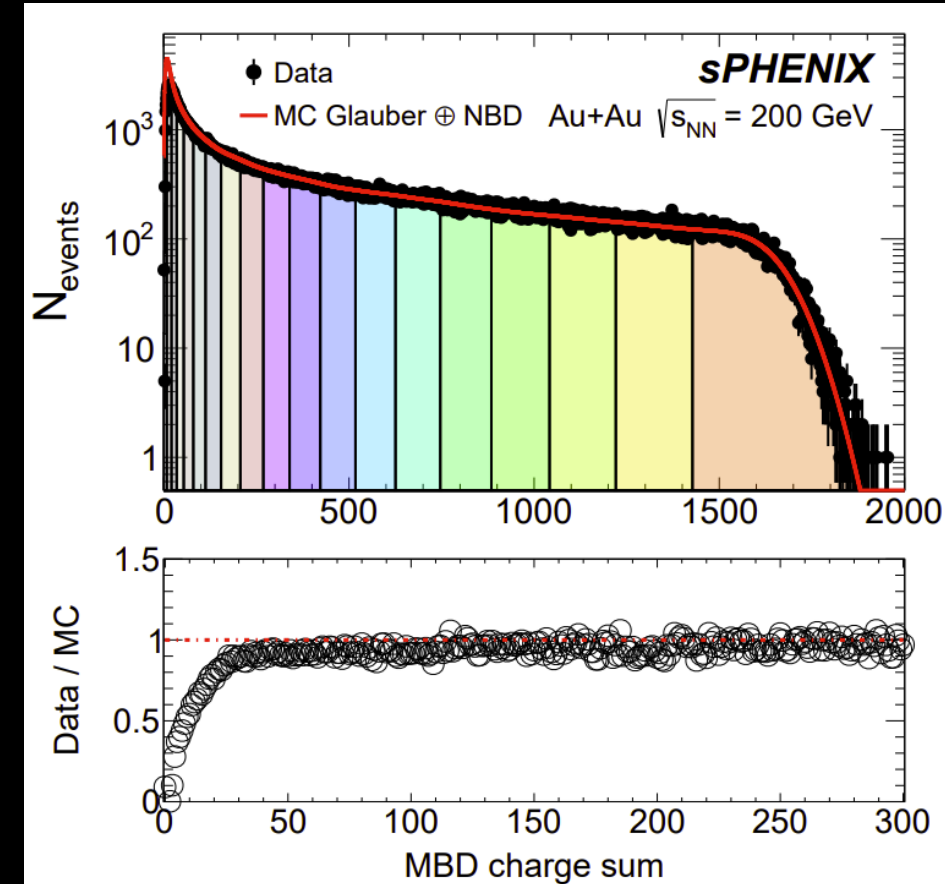
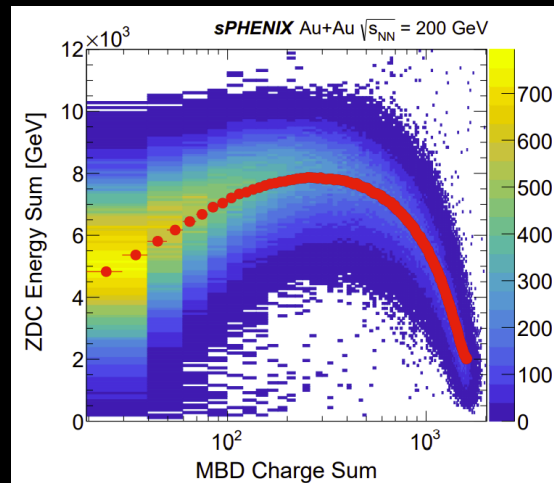
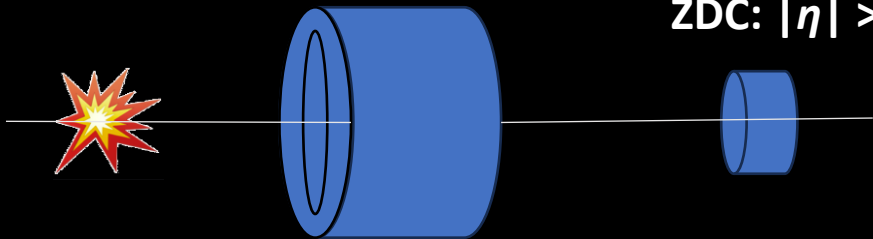
- Cherenkov tubes
- Trigger
- $O(0.1\text{ns})$  timing  $\rightarrow$  vertex  $z$  measurements
- Centrality

## Zero Degree Calorimeter (ZDC)

- Cherenkov fiber sampling calorimeter
- Event selection to remove backgrounds

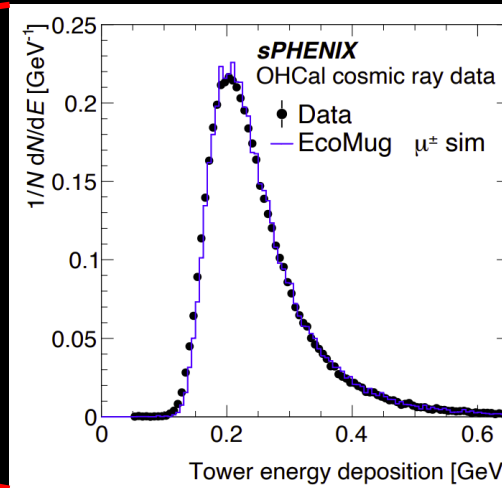
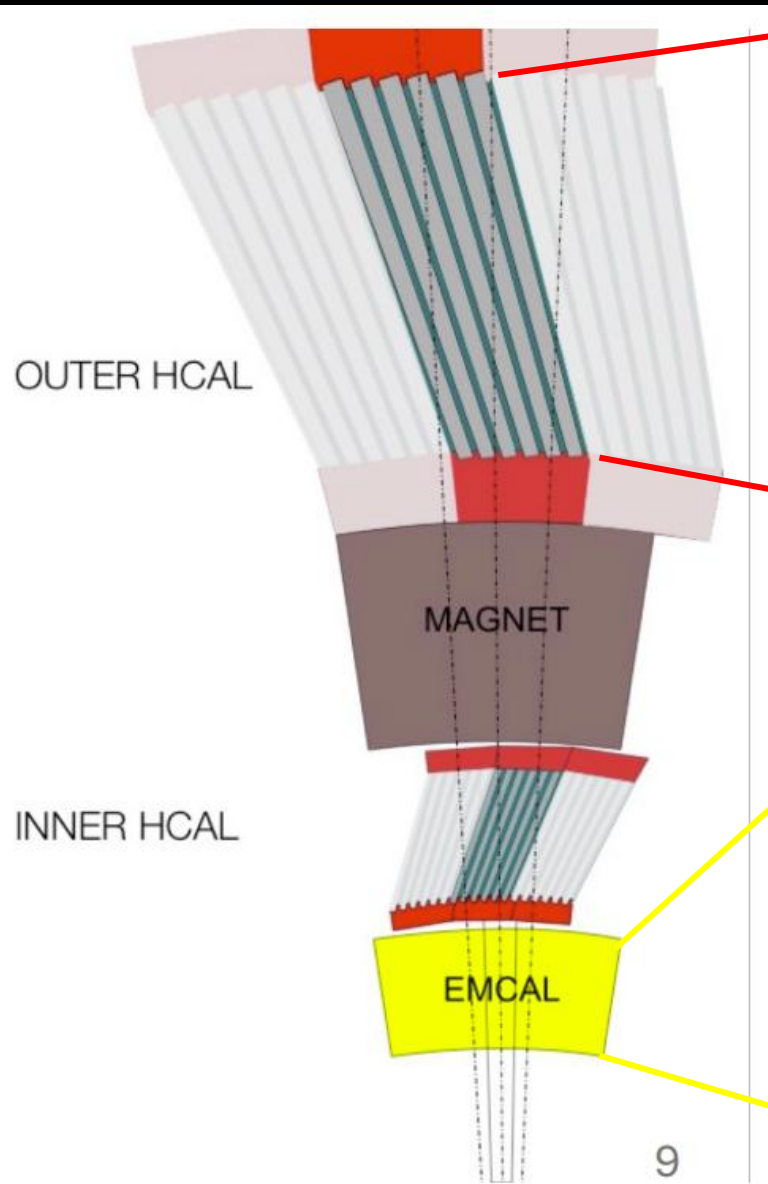
MBD:  $3.51 < |\eta| < 4.61$

ZDC:  $|\eta| > 6$



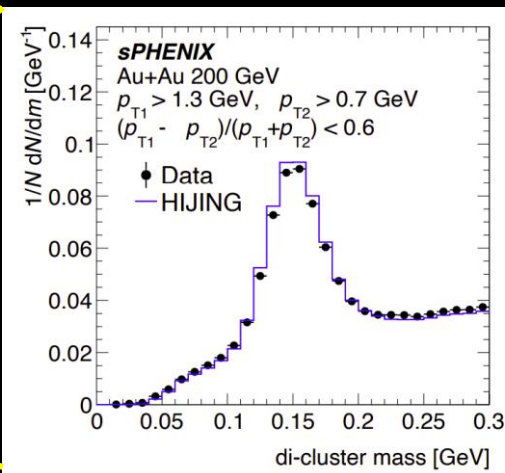


# Calorimetry



## HCal

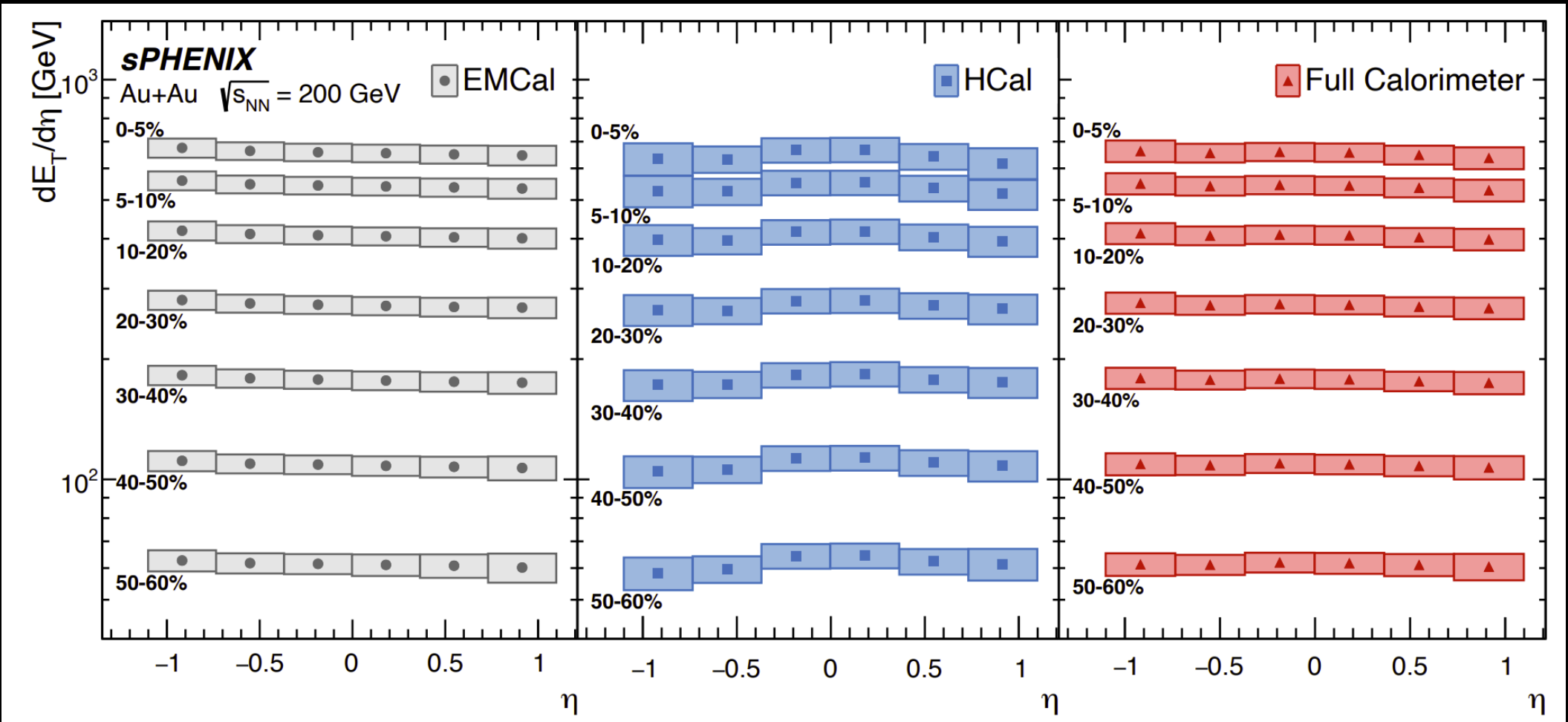
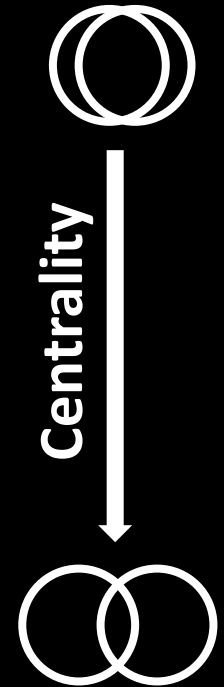
- $\Delta\phi \times \Delta\eta$ :  $0.1 \times 0.1$
- Steel-plate scintillating-tile sampling calorimeter
- Projective geometry
- Calibration: compare measured MIP peak from cosmic ray muons in data to simulation



## EMCal

- $\Delta\phi \times \Delta\eta$ :  $0.025 \times 0.025$
- Tungsten-scintillating fiber sampling calorimeter
- Projective geometry
- Calibration:  $\pi^0 \rightarrow \gamma\gamma$  mass peak to match between data and simulation

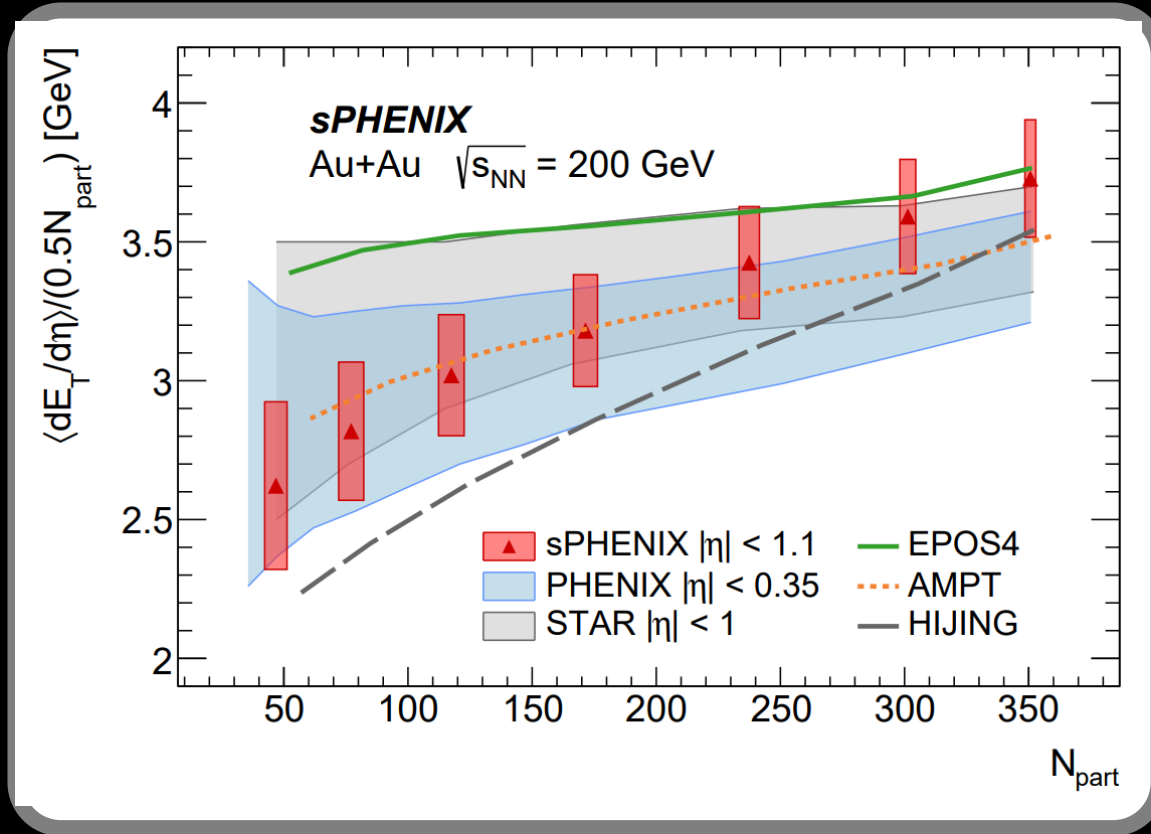
# $dE_T/d\eta$ in Au+Au collisions



- **Excellent consistency between EMCal and HCal**
  - sensitive to energy deposit from different particle species
  - Excellent cross check of calibrations

# $dE_T/d\eta$ in Au+Au collisions

[arXiv:2504.02242](https://arxiv.org/abs/2504.02242)



- sPHENIX measurement is consistent with previous RHIC results
- improved precision in peripheral collisions
- AMPT best describes the sPHENIX  $dE_T/d\eta$  measurement

# INTT - INTermediate silicon Tracker

## ➤ Silicon Semiconductor Strip Detectors

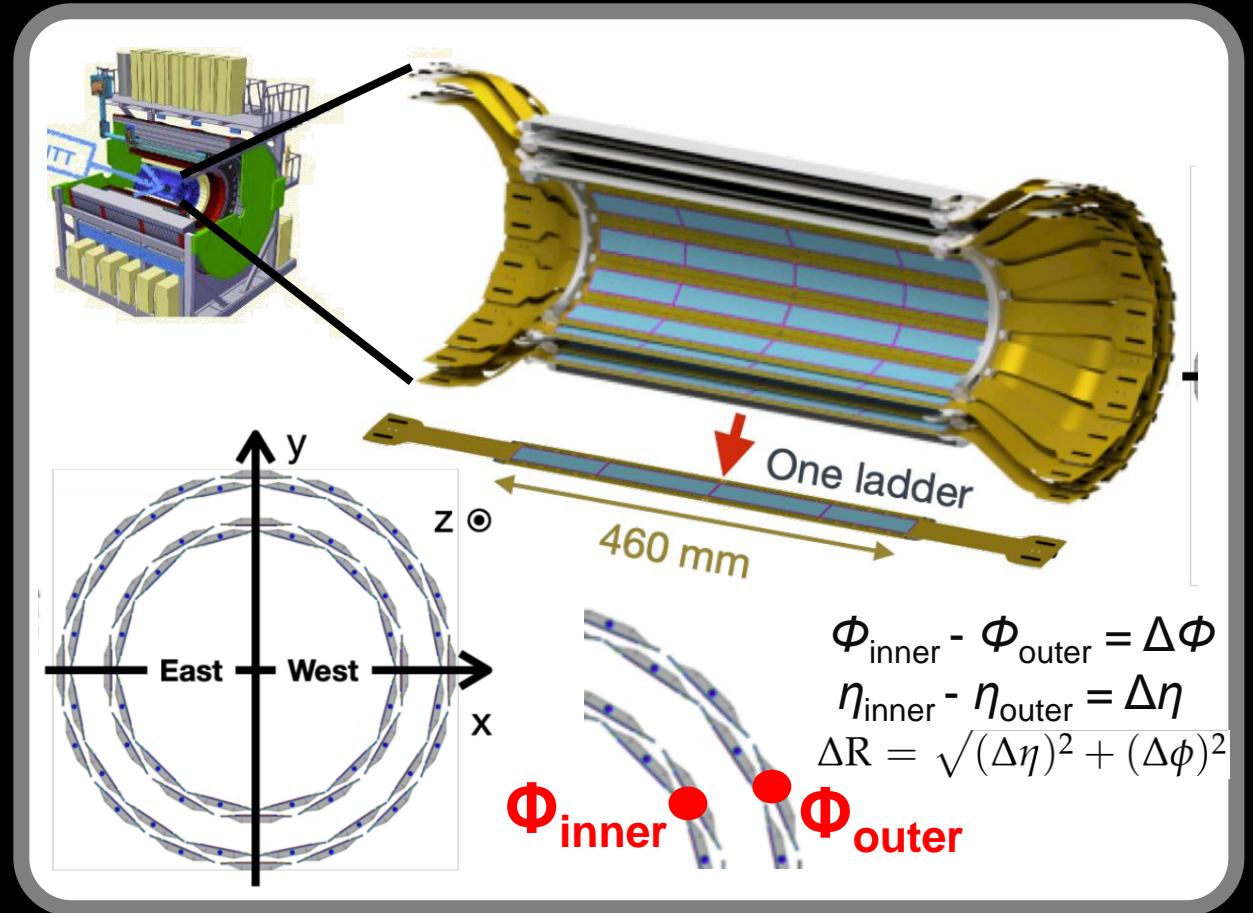
- $78\text{ }\mu\text{m} \times 16\text{ or }20\text{ mm}$

## ➤ 56 staves/2 layers

- $7 < r < 11\text{ cm}$ ,  $|\eta| < 1.1$ , full  $\phi$

## ➤ Precision Timing + Hit Interpolation

- $O(100\text{ ns})$  - resolves bunch x-ing
- $O(10\text{ }\mu\text{m})$  resolution in  $r$ - $\phi$
- $O(1\text{ cm})$  resolution in  $z$

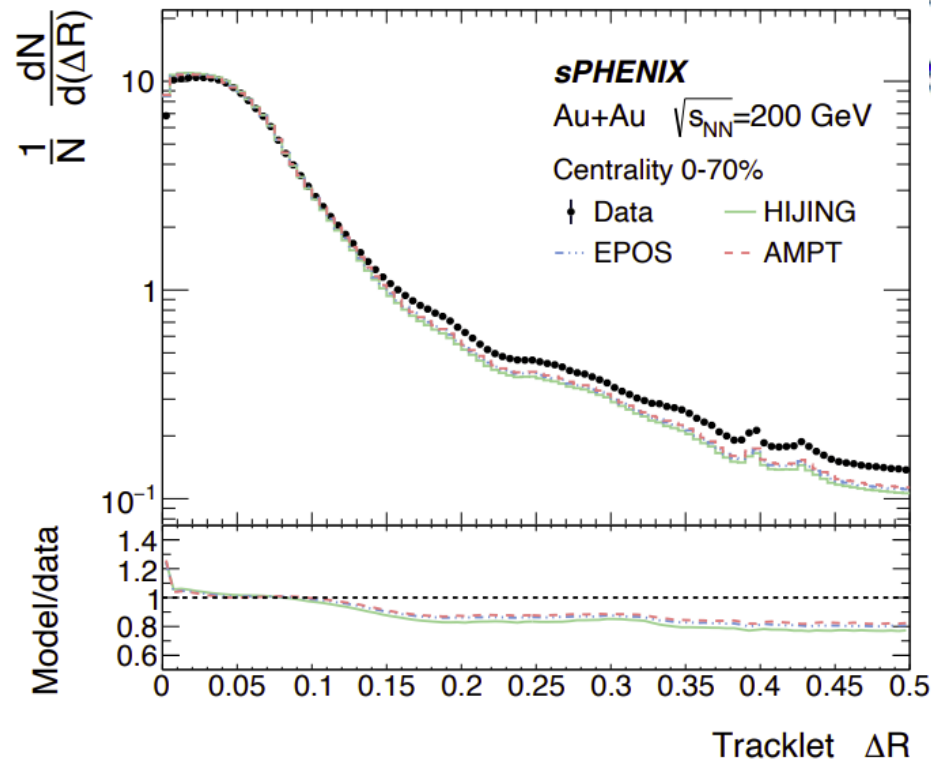
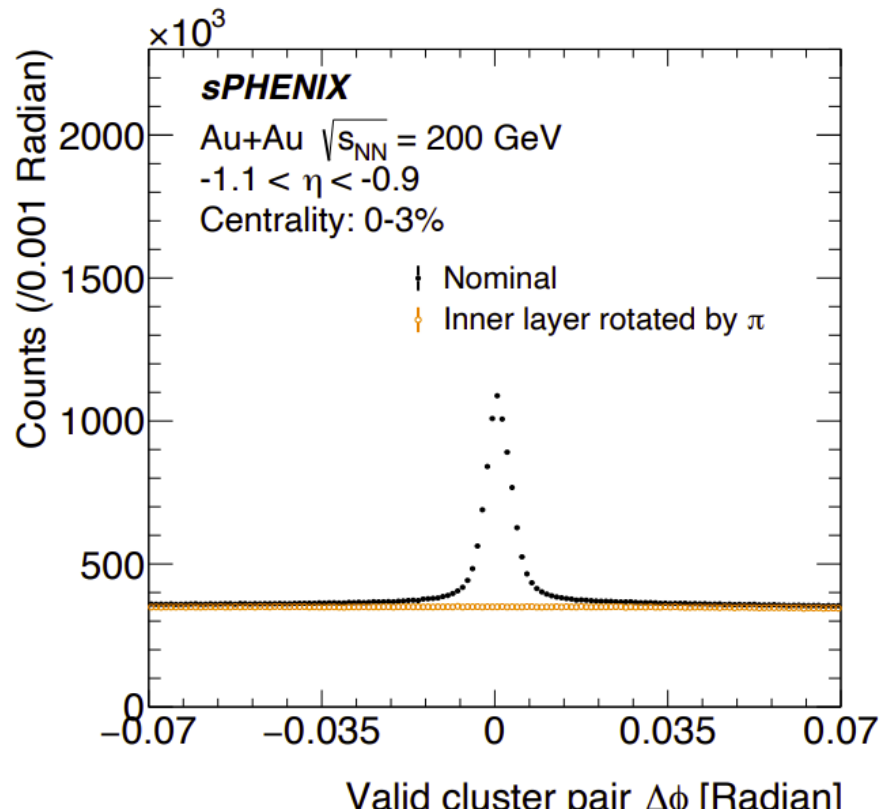


The 2-layered INTT is used for tracklet reconstruction to measure  $dN_{\text{ch}}/d\eta$



# $dN_{ch}/d\eta$ in Au+Au collisions

- Two analysis methods to count tracklets, cluster pairs that point back to the event vertex in events with no magnetic field
  - The combinatoric method - closely follows the PHENIX/PHOBOS measurement
  - The closest-match method - adapted from the CMS measurements

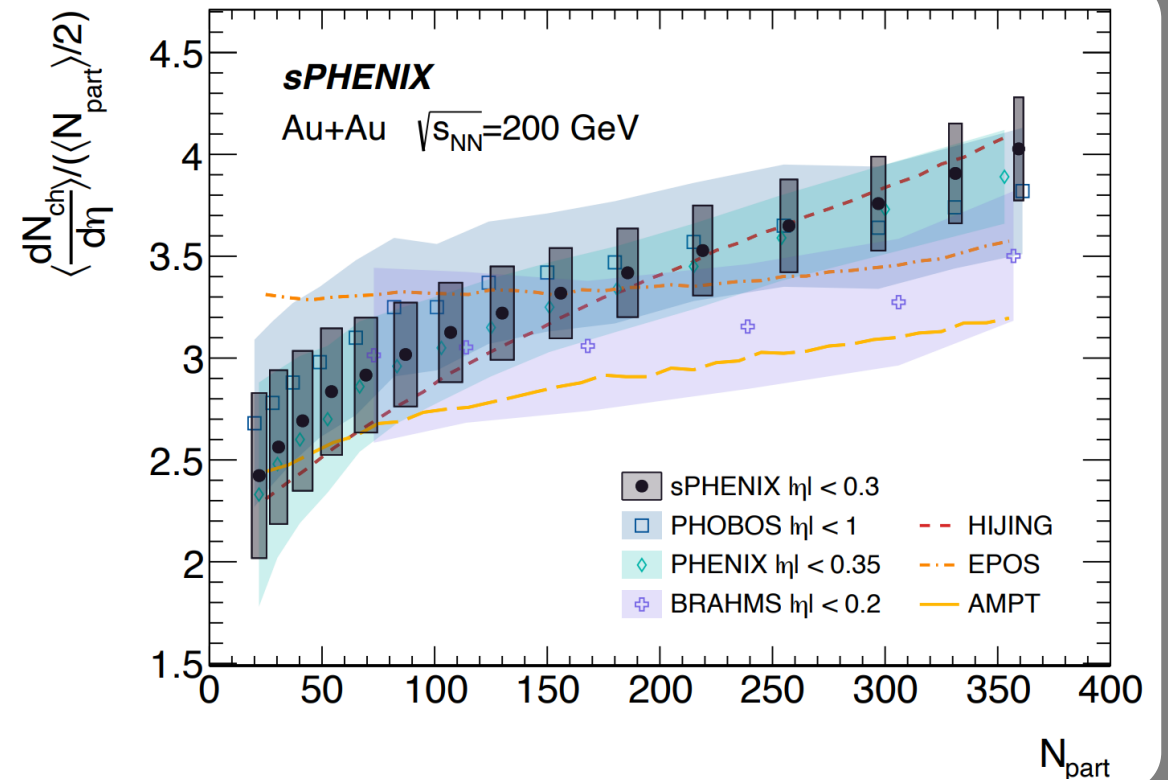
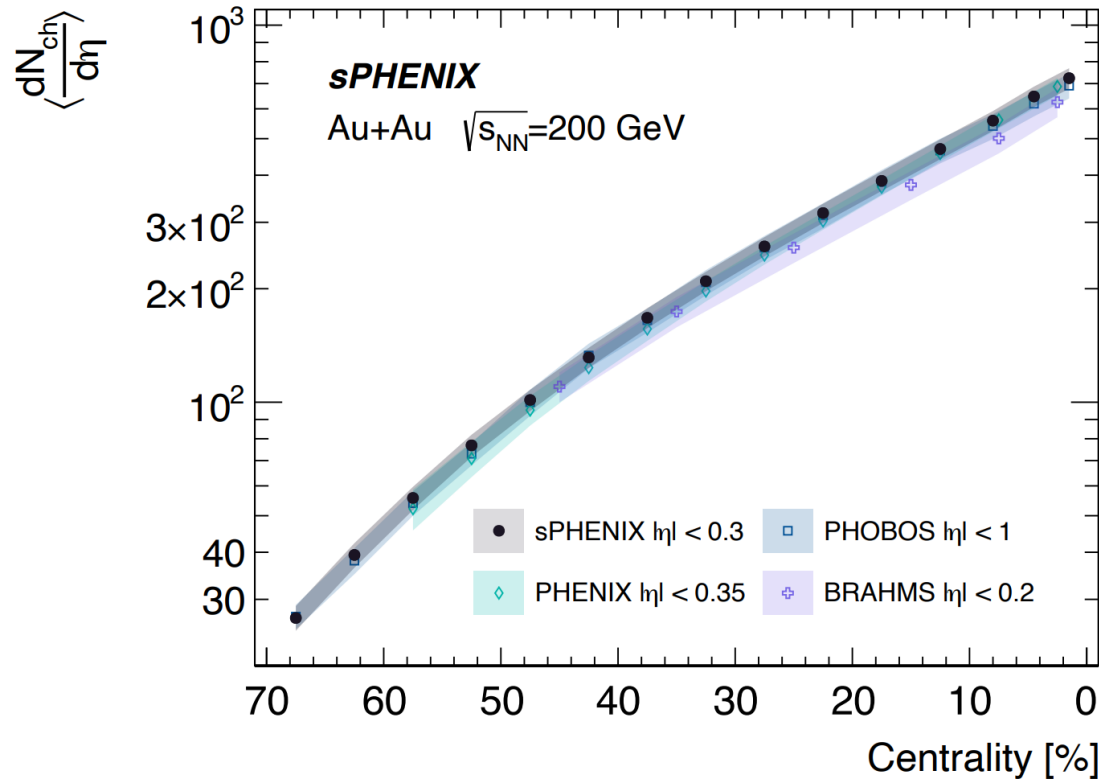


$\phi_{inner} - \phi_{outer} = \Delta\phi$   
 $\eta_{inner} - \eta_{outer} = \Delta\eta$   
 $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$

$\Phi_{inner}$   $\Phi_{outer}$

The diagram illustrates the geometry of a tracklet pair. Two tracks are shown, labeled  $\Phi_{inner}$  and  $\Phi_{outer}$ . The angular difference between them is  $\Delta\phi$ , and the pseudorapidity difference is  $\Delta\eta$ . The total distance between them is  $\Delta R$ .

# $dN_{ch}/d\eta$ in Au+Au collisions



[arXiv:2504.02240](https://arxiv.org/abs/2504.02240)

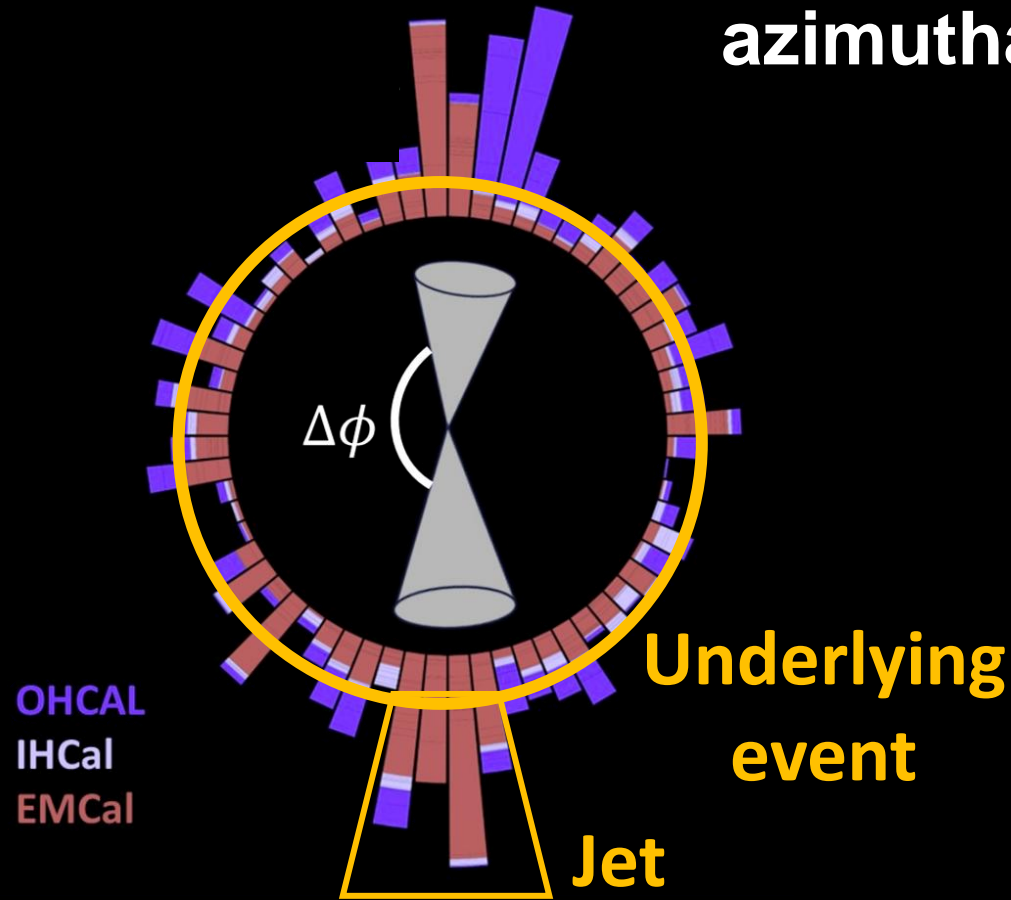
- The sPHENIX measurement is consistent with previous RHIC publications.
- HIJING best describes the sPHENIX  $dN_{ch}/d\eta$  measurement

# Overview

- Detector
- Bulk measurements
- **Jets**
- Photons
- Heavy flavor

# Underlying event (UE) in Au+Au

In heavy ions, jets sit on top of a fluctuating azimuthally-modulated underlying event

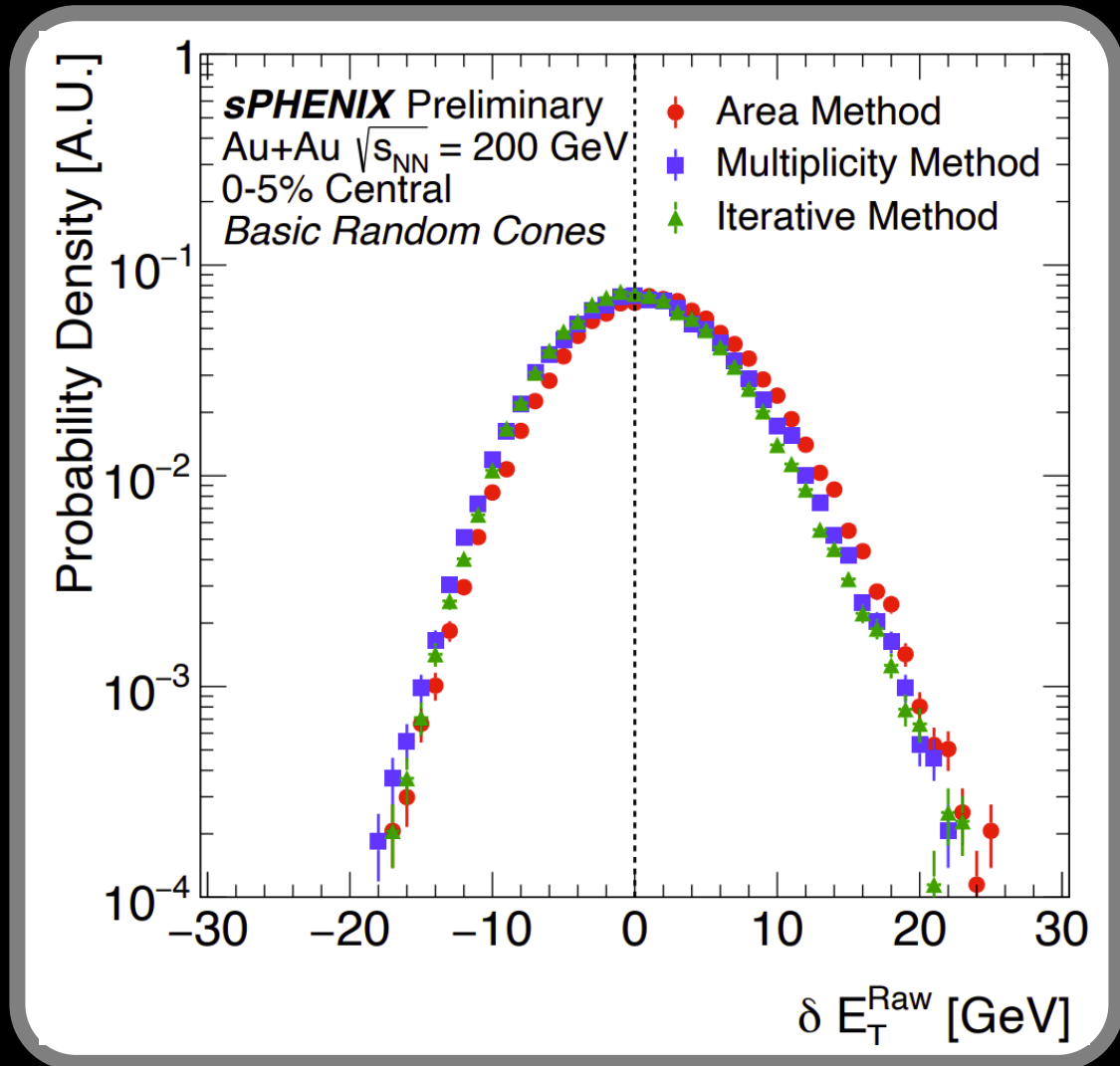


sPHENIX  
Run/Event: 21615 / 1362  
Collisions: Au + Au @  $\sqrt{s_{NN}} = 200 \text{ GeV}$

- Local fluctuations in the UE drive the jet energy resolution
- Proper understanding of UE is needed for understanding jet performance
- UE has been quantified in different ways
  - Area: subtracts the average energy (ALICE)
  - Multiplicity: subtracts based on jet constituent multiplicity (new : Phys. Rev. C, 108(2):L021901)
  - Iterative: subtracts mean energy from towers, estimated with surrounding towers removing areas from jets (ATLAS)



# Underlying event subtraction techniques



- Fluctuations quantified by placing cones with  $R = 0.4$  randomly in minimum bias events and measuring  $E_T$  after UE subtraction
- Three methods yield similar results, with slightly smaller fluctuations in iterative method
- Distributions are centered around 0 → successfully subtracted UE!

# Underlying event fluctuations in Au+Au

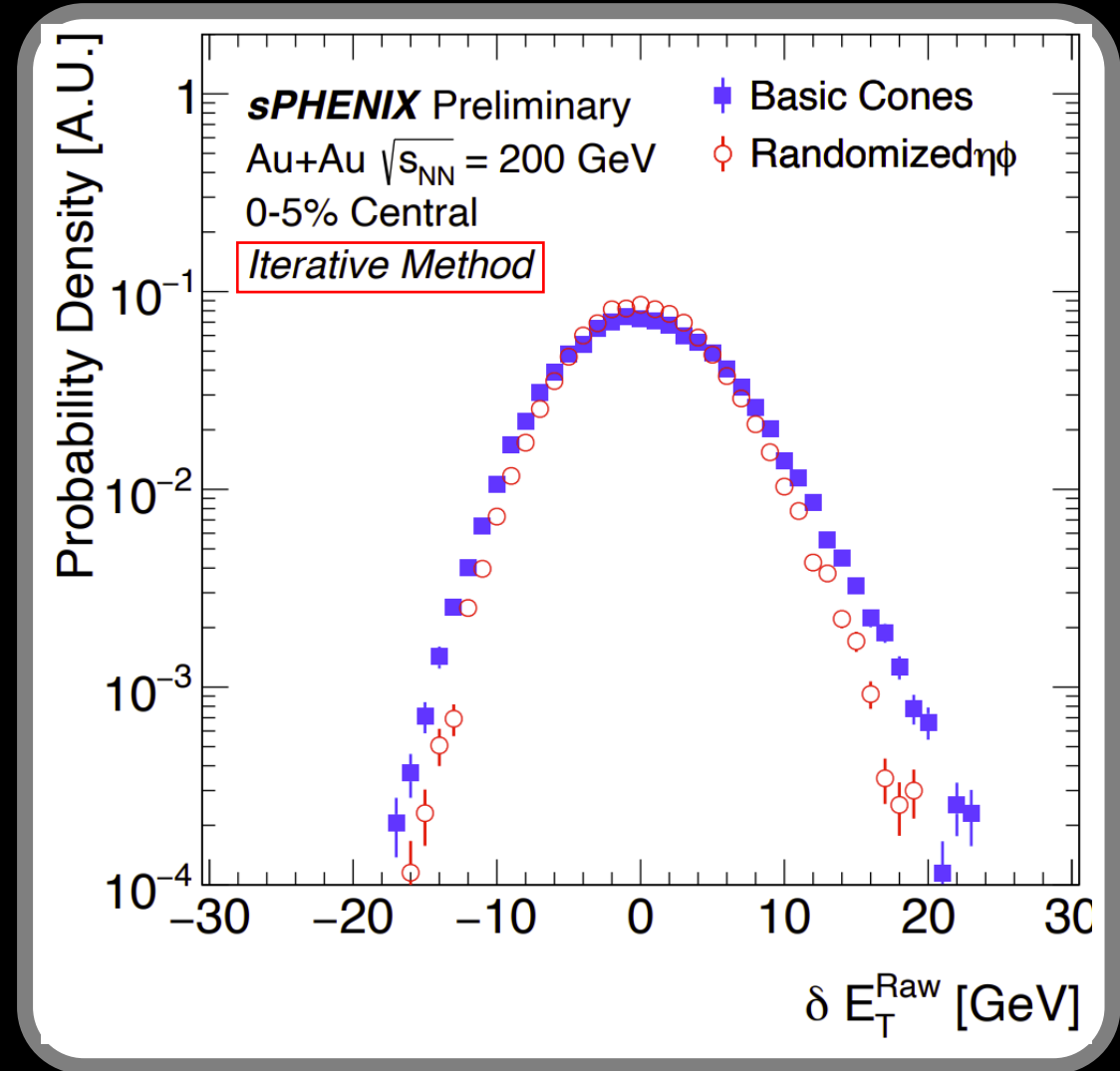
## Procedure

### ➤ Random cones

### ➤ Randomized

1. Randomize tower positions
2. UE subtraction
3. Random cones

### ➤ Correlated fluctuations



# Underlying event fluctuations in Au+Au

## Procedure

### ➤ Random cones

### ➤ Randomized

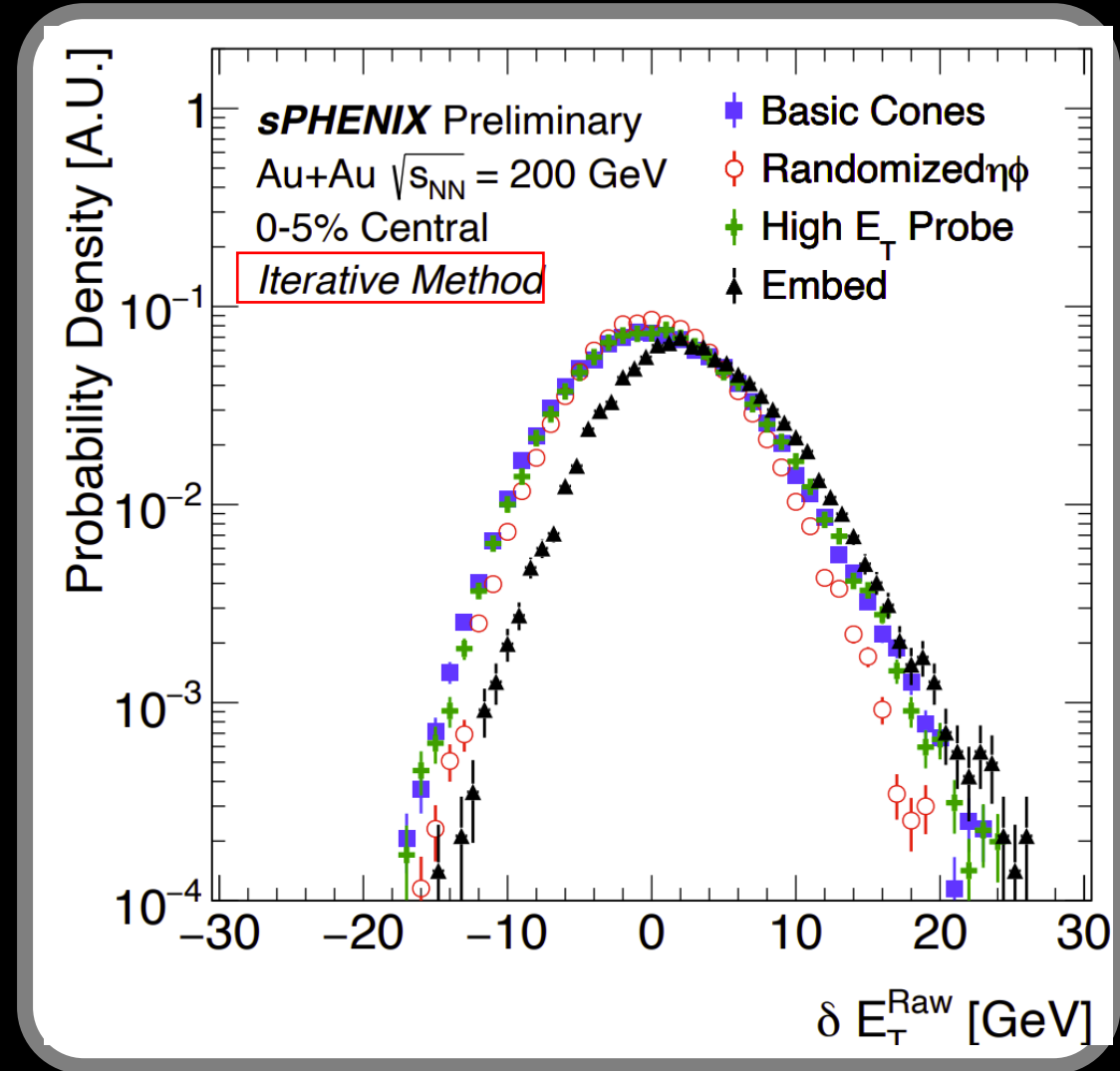
1. Randomize tower positions
2. UE subtraction
3. Random cones

### ➤ Introduce single tower jets → does not impact UE estimation

### ➤ Introduce Pythia hard scattering

### ➤ Correlated fluctuations

### ➤ Non-zero residual UE with full Pythia dijet event

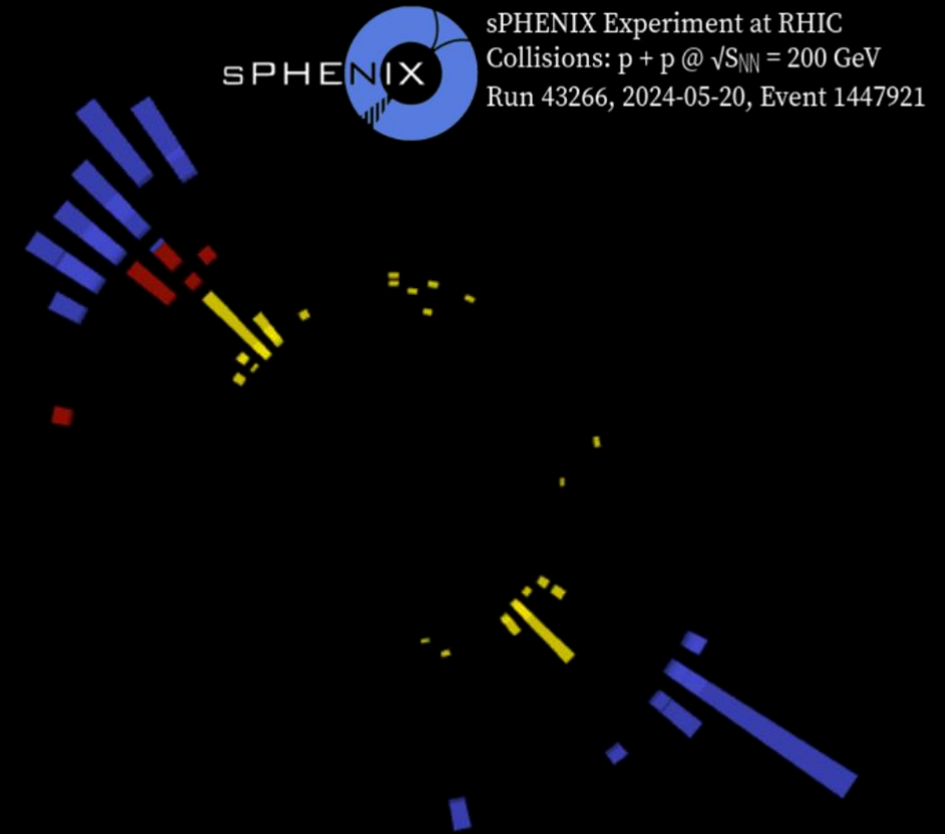
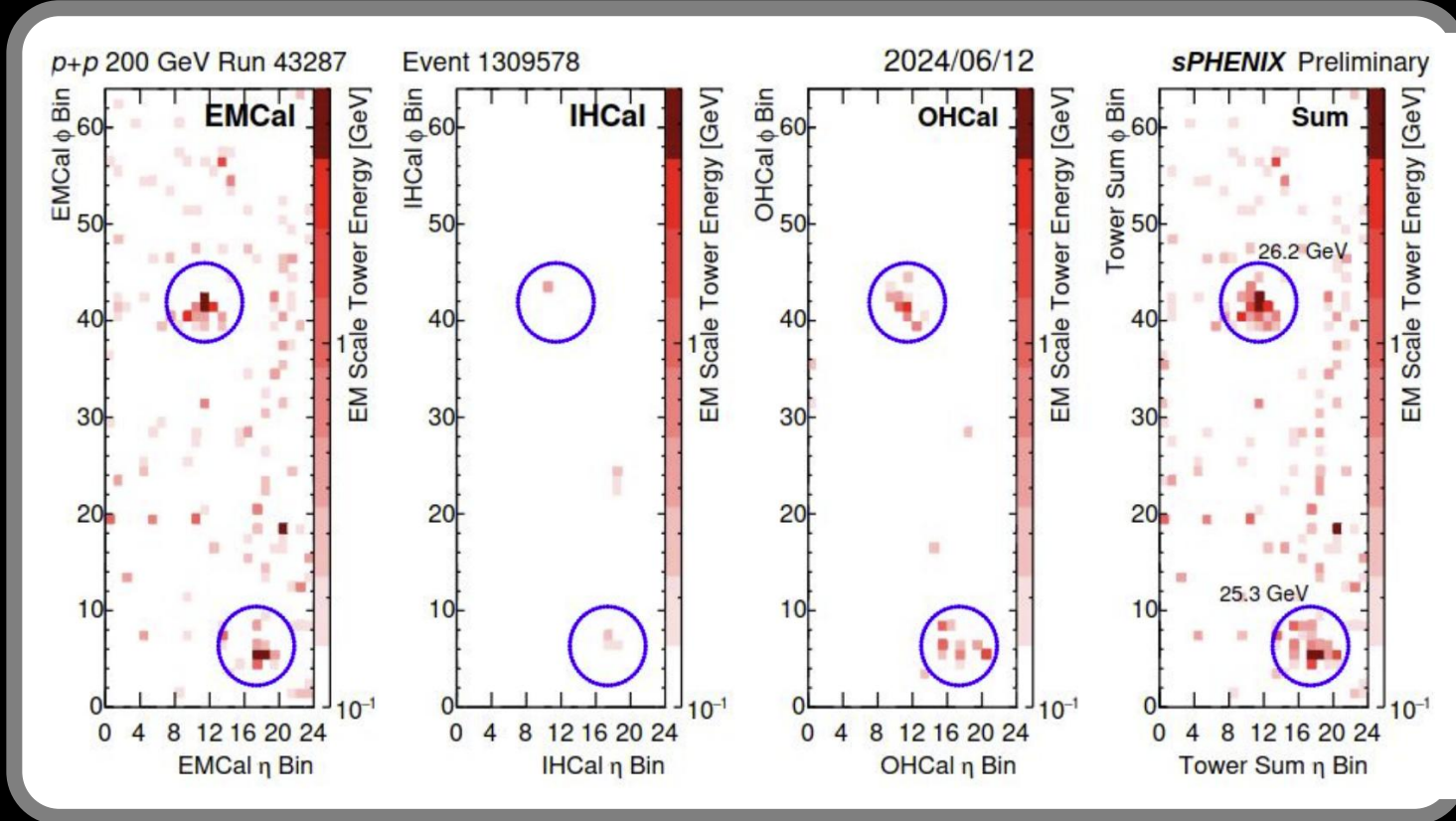


[sPH-CONF-JET-2025-01](#)

**Au+Au commissioning data  $\rightarrow$  p+p**



# Calorimetric jet measurements

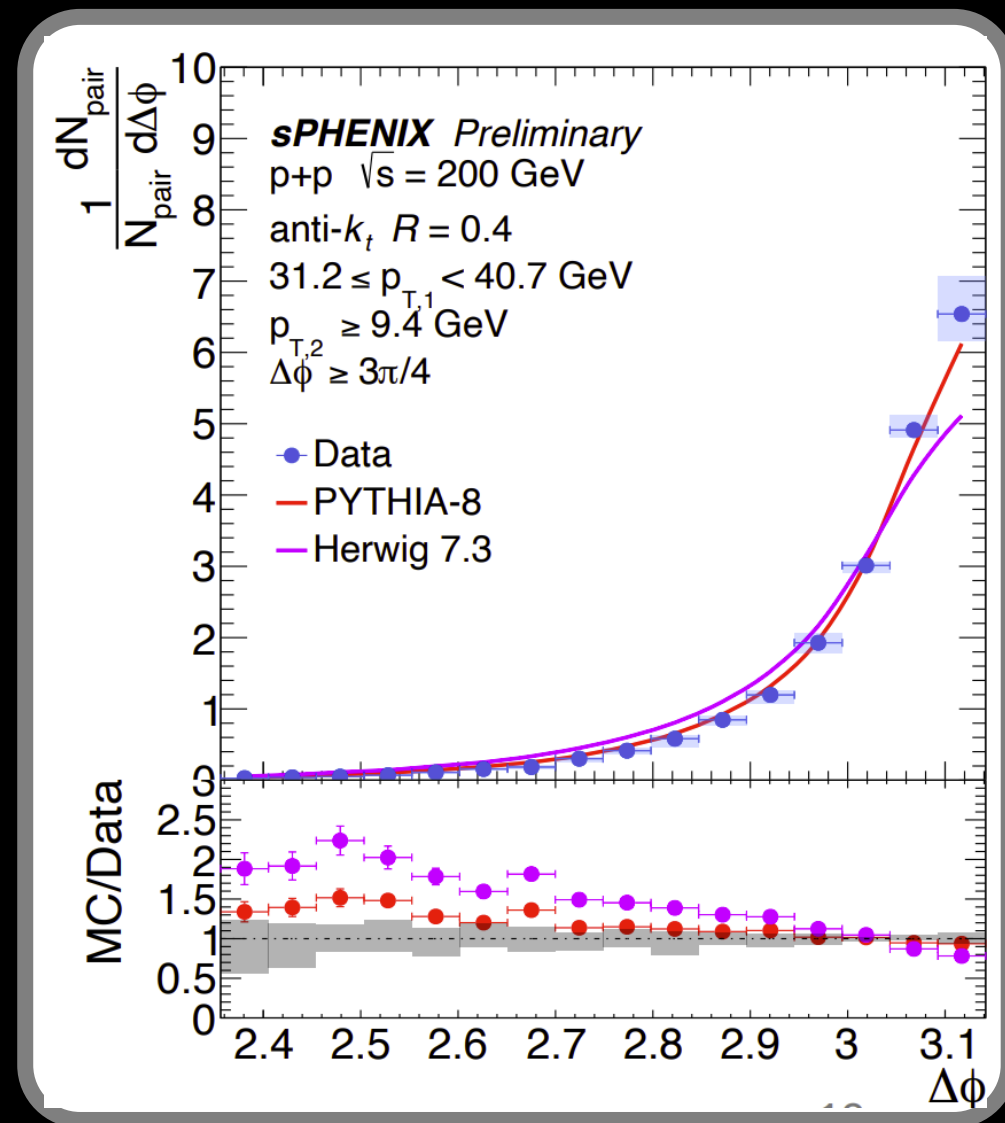


Dijets are useful for: jet calibration, understanding jet resolution, measurements of jet quenching in Au+Au collisions, ...

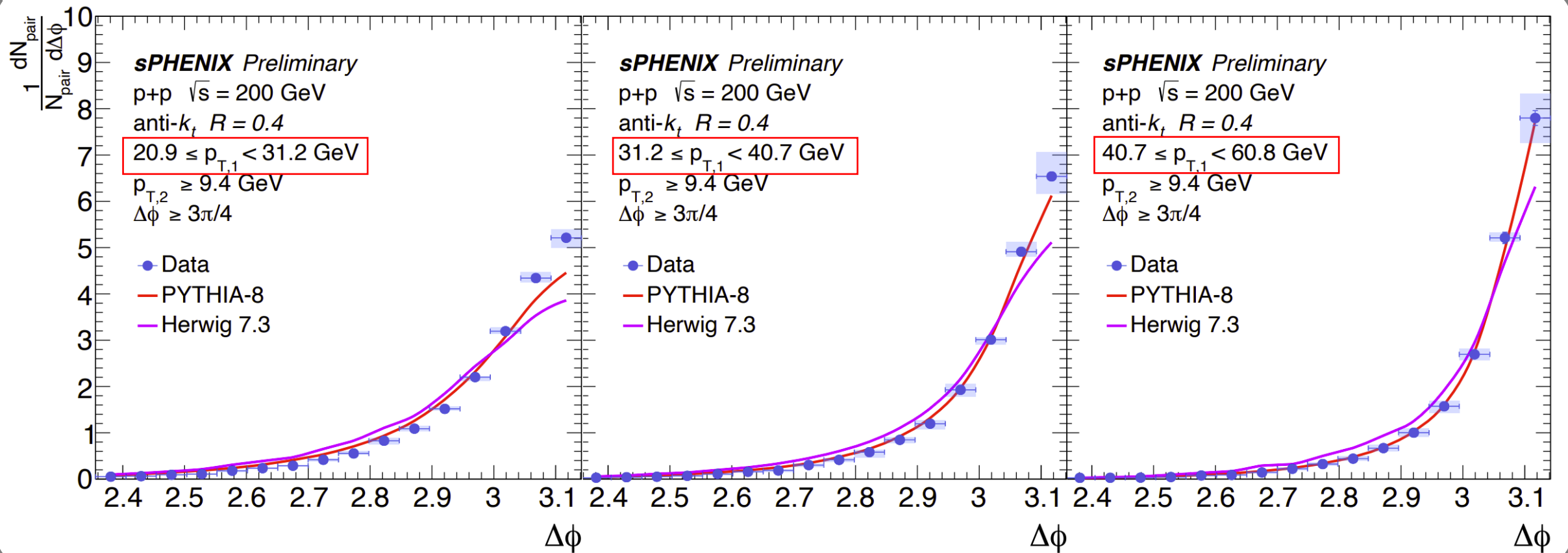
Anti- $k_T$   $R=0.4$  jets, clustered from massless calorimeter tower

# Dijet $\Delta\phi$ distributions

- $\Delta\phi$  between jets corrected bin-by-bin based on truth to reco
- Pythia agree with data best in most back- to-back region
- Data more sharply peaked than Herwig simulations



# Dijet $\Delta\phi$ distributions

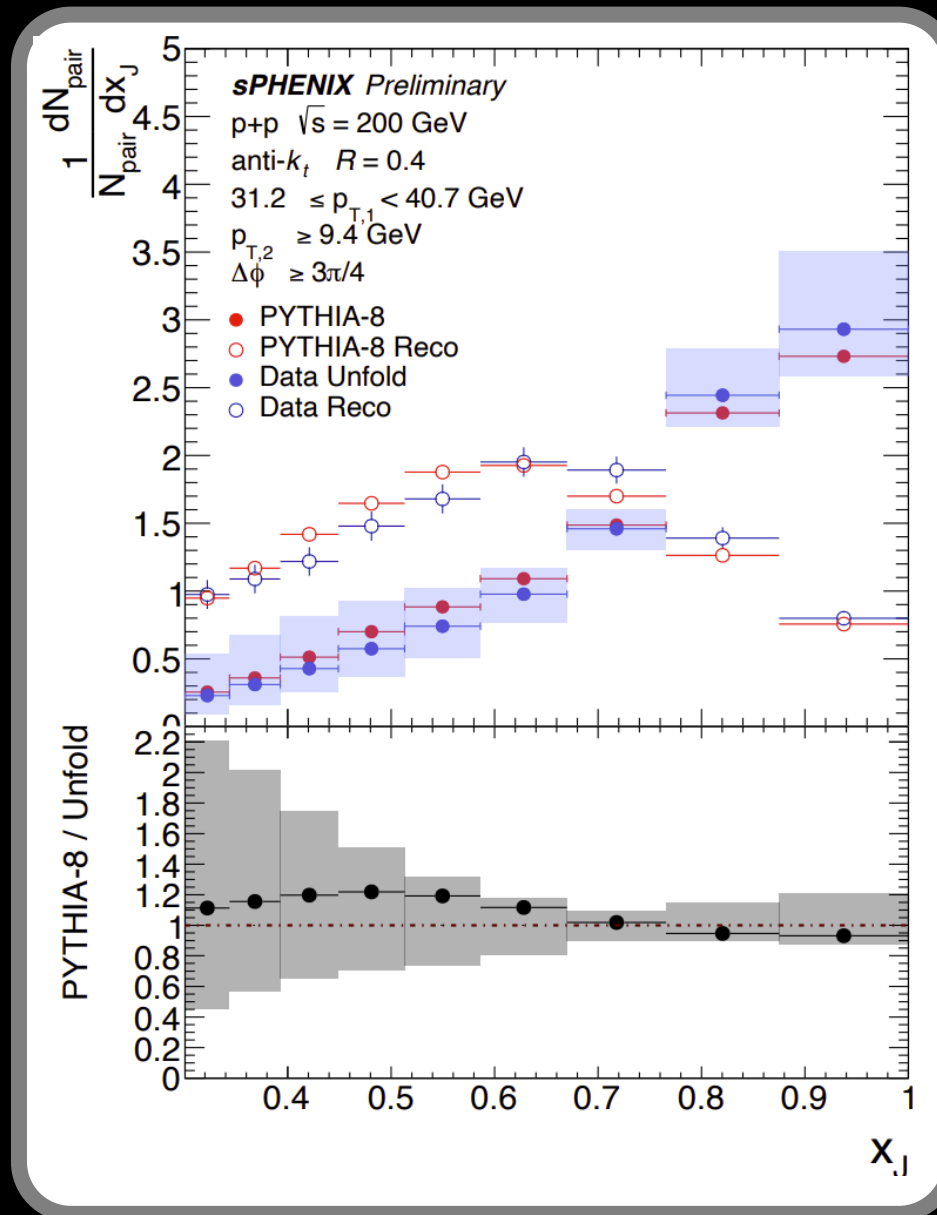


# Raw and unfolded dijet $x_J$

$x_J = p_{T,2}/p_{T,1}$  Where  $p_{T,1}$  is the leading jet

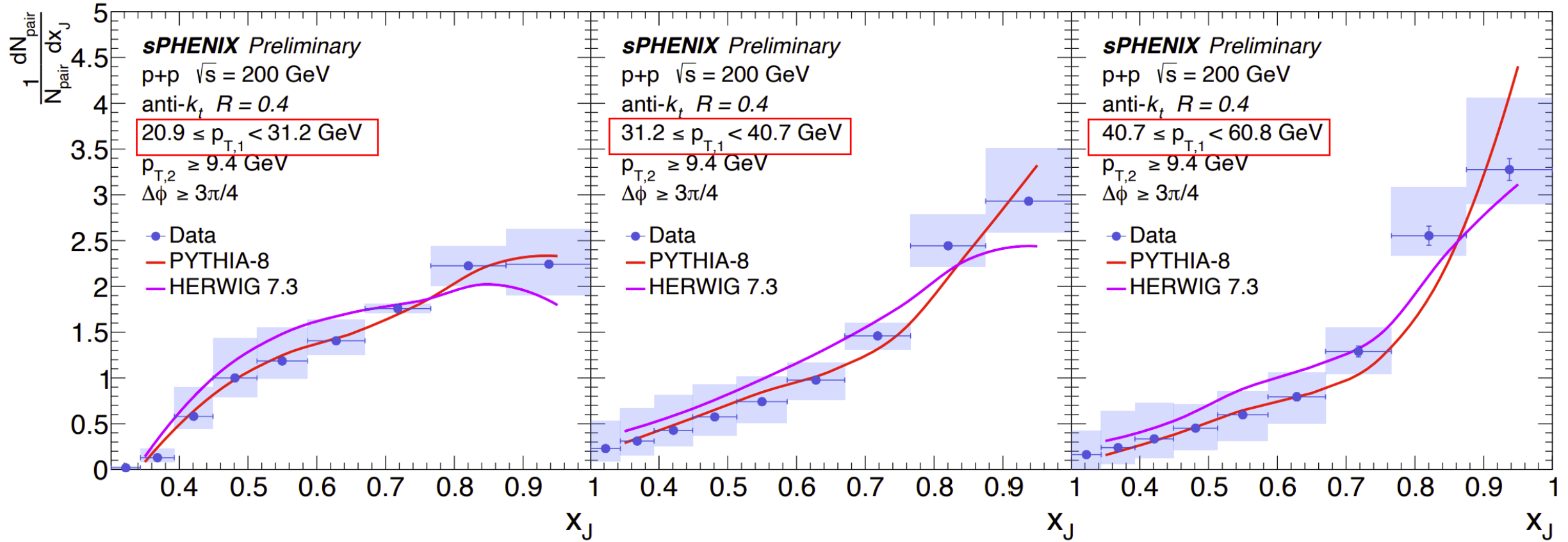
## Analysis procedure

- Back-to-back jets are selected  $\Delta\phi > 3\pi/4$
- Pythia + Geant4 similar to data at detector level (open markers)
- 2D unfolding in  $p_{T,1}$  and  $p_{T,2}$
- Jet energy resolution dominates systematic uncertainty
- Pythia agrees with unfolded data within uncertainties

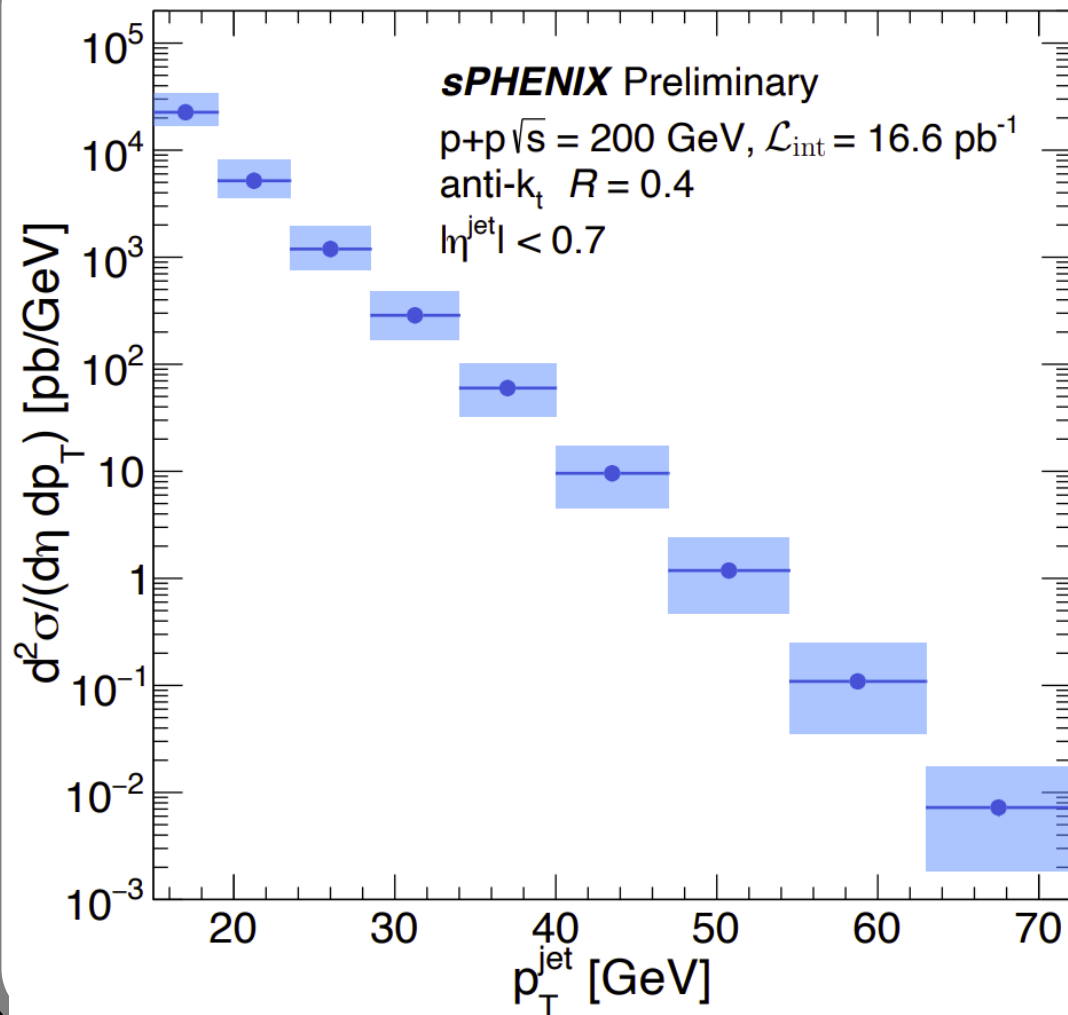




# dijet $x_J$ (all $p_T$ )



# Inclusive jet cross section in p+p

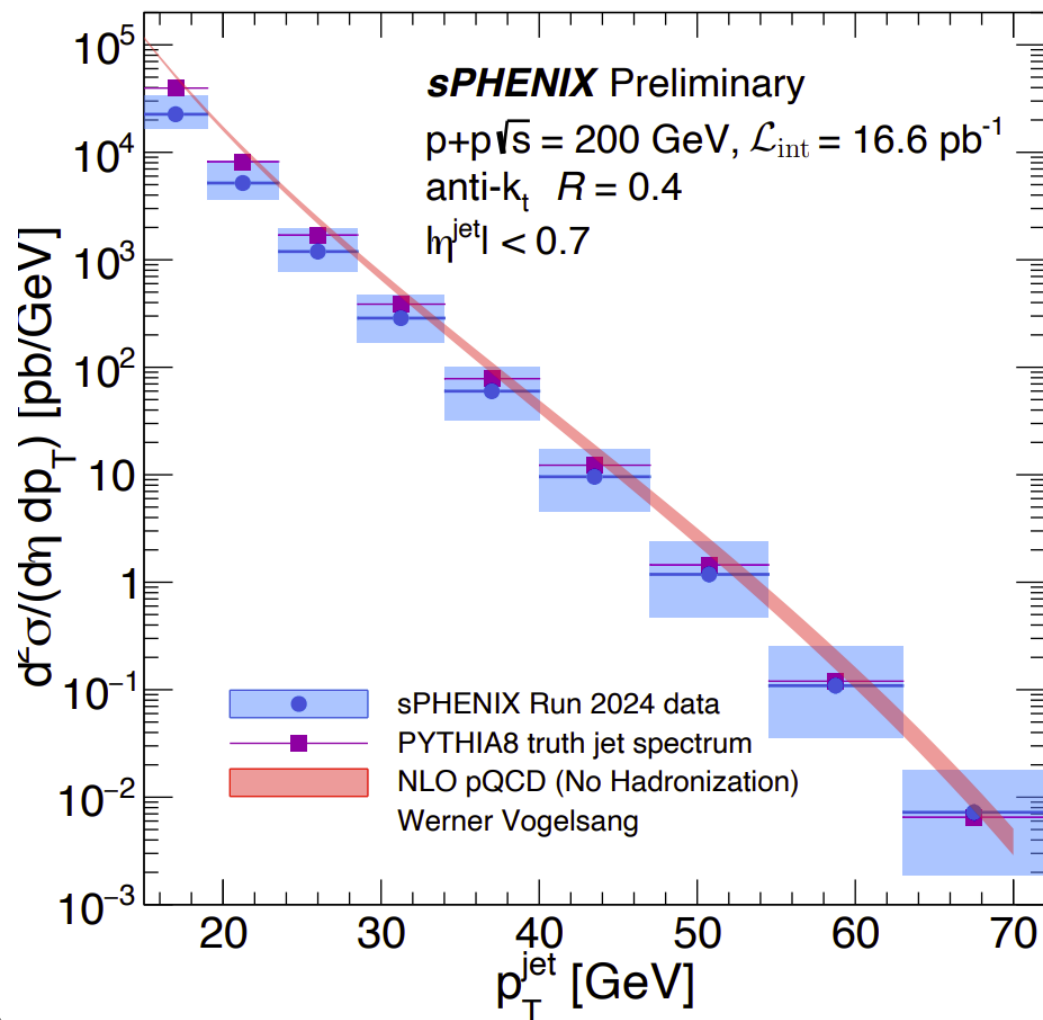


- Unfolded cross section of  $R=0.4$  anti- $k_t$  jets in p+p collisions
- Data corresponds to  $\sim 15\%$  of total luminosity recorded in 2024
- Minimum bias event counting used for luminosity

## Systematic uncertainty

- dominated by jet energy scale uncertainty on the hadronic response
- Expected to improve significantly with future in-situ hadronic shower studies

# Inclusive jet cross section in p+p



- Pythia8 Detroit tune agrees within uncertainties with data
- Comparison to NLO pQCD calculation
  - Calculation does not include hadronization

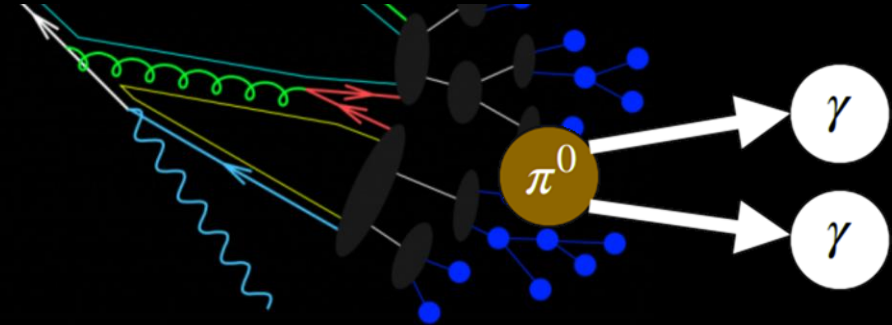
# Overview

- **Detector**
- **Bulk measurements**
- **Jets**
- **Photons**
- **Heavy flavor**

# Identified probes of the initial state

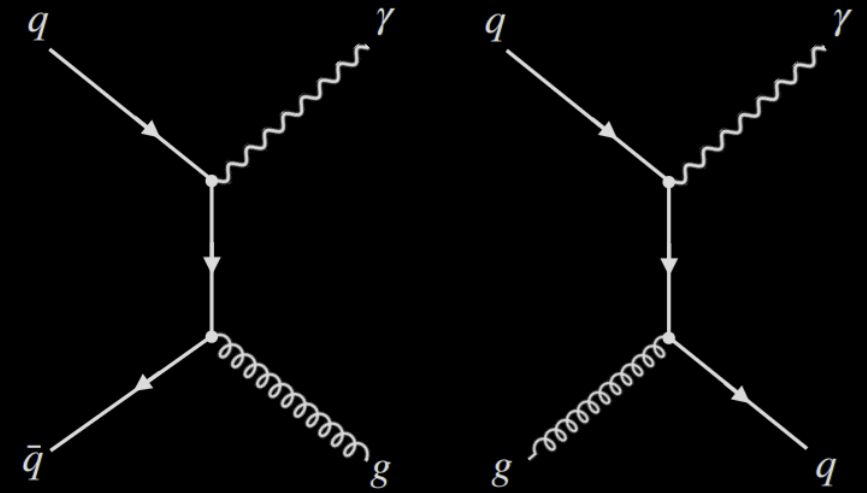
## Neutral mesons

- Input for PDF/TMDs & test for NLO pQCD
- Used for calibration of the electromagnetic calorimeter

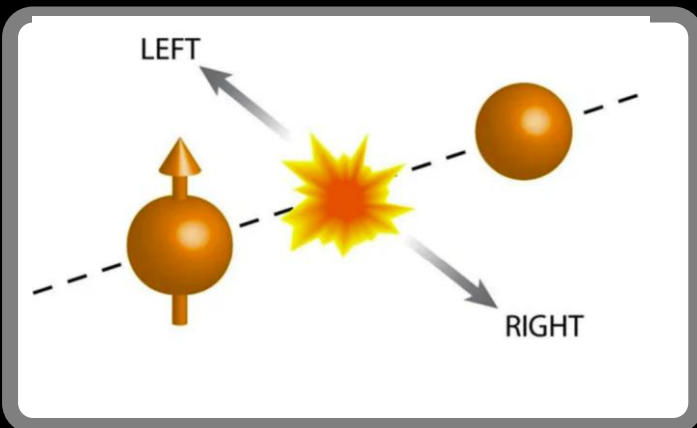


## Direct photons

- Primarily produced via hard scattering
  - Direct access to initial state PDFs
  - Measure of recoiling parton in studies of energy loss



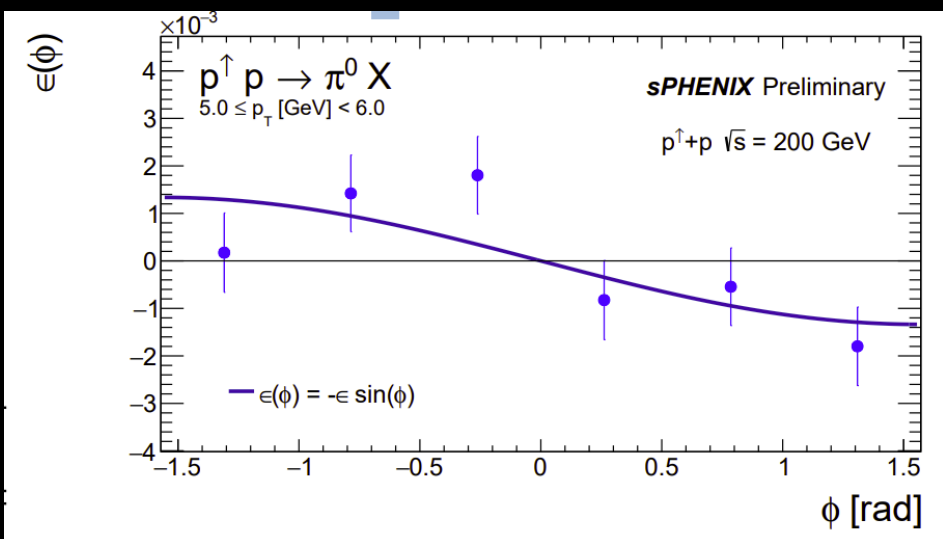
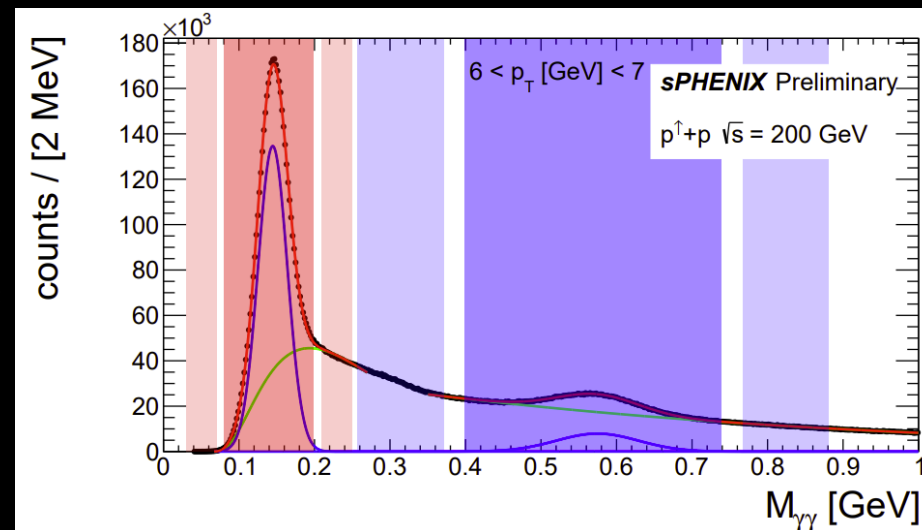
# Single-spin asymmetry



- Probe the spin structure, transverse momentum distributions, of the proton
- Use identified  $\pi^0$   $\eta$  in singly polarized collisions with EMCal

Calculate yields  $N(\phi_{\gamma\gamma})$   
in signal and bkg regions

$$\frac{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi + \pi)} - \sqrt{N^\downarrow(\phi)N^\uparrow(\phi + \pi)}}{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi + \pi)} + \sqrt{N^\downarrow(\phi)N^\uparrow(\phi + \pi)}}$$



Yield combinations as a function of  $\phi_{\gamma\gamma}$   
Fit with  $\epsilon(\phi) = -\epsilon \sin(\phi)$

Final observable

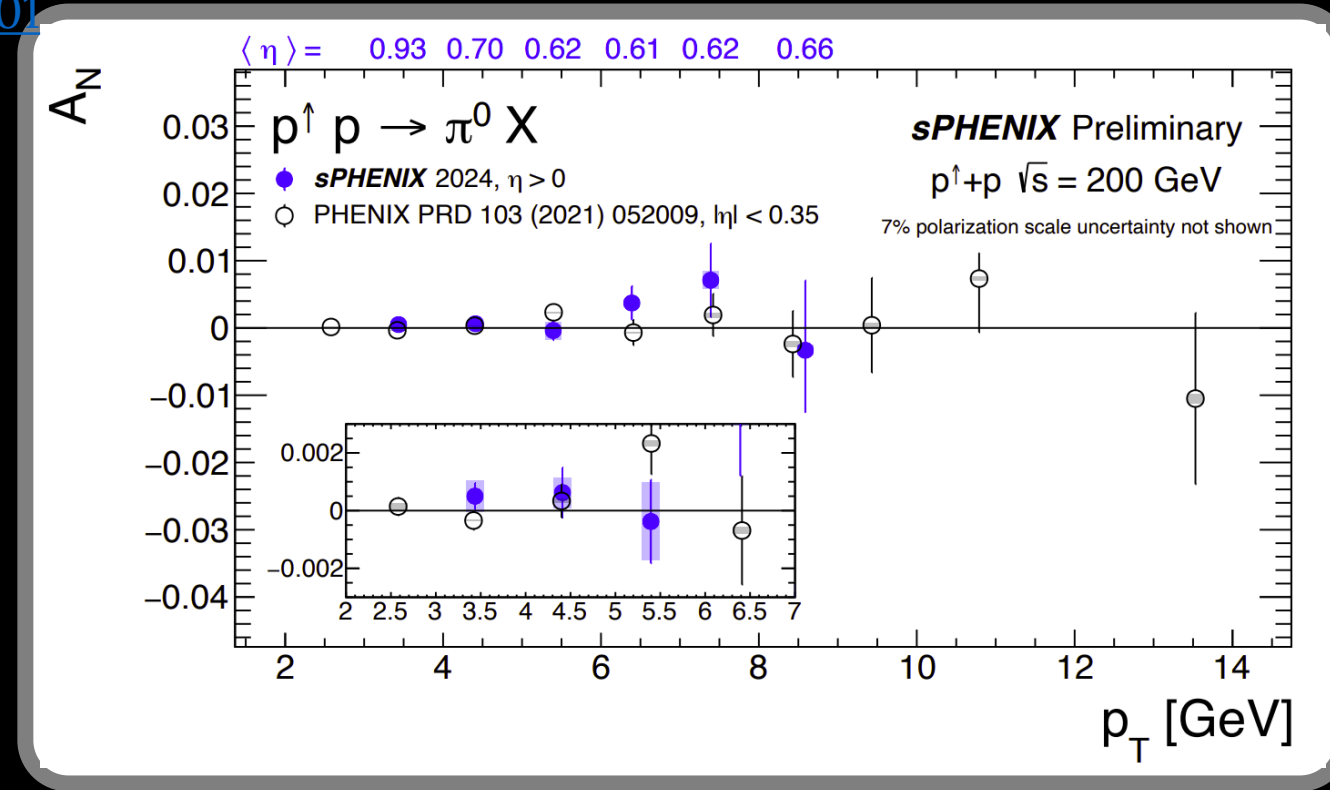
$$A_N = \epsilon / P$$



# Transverse single-spin asymmetry

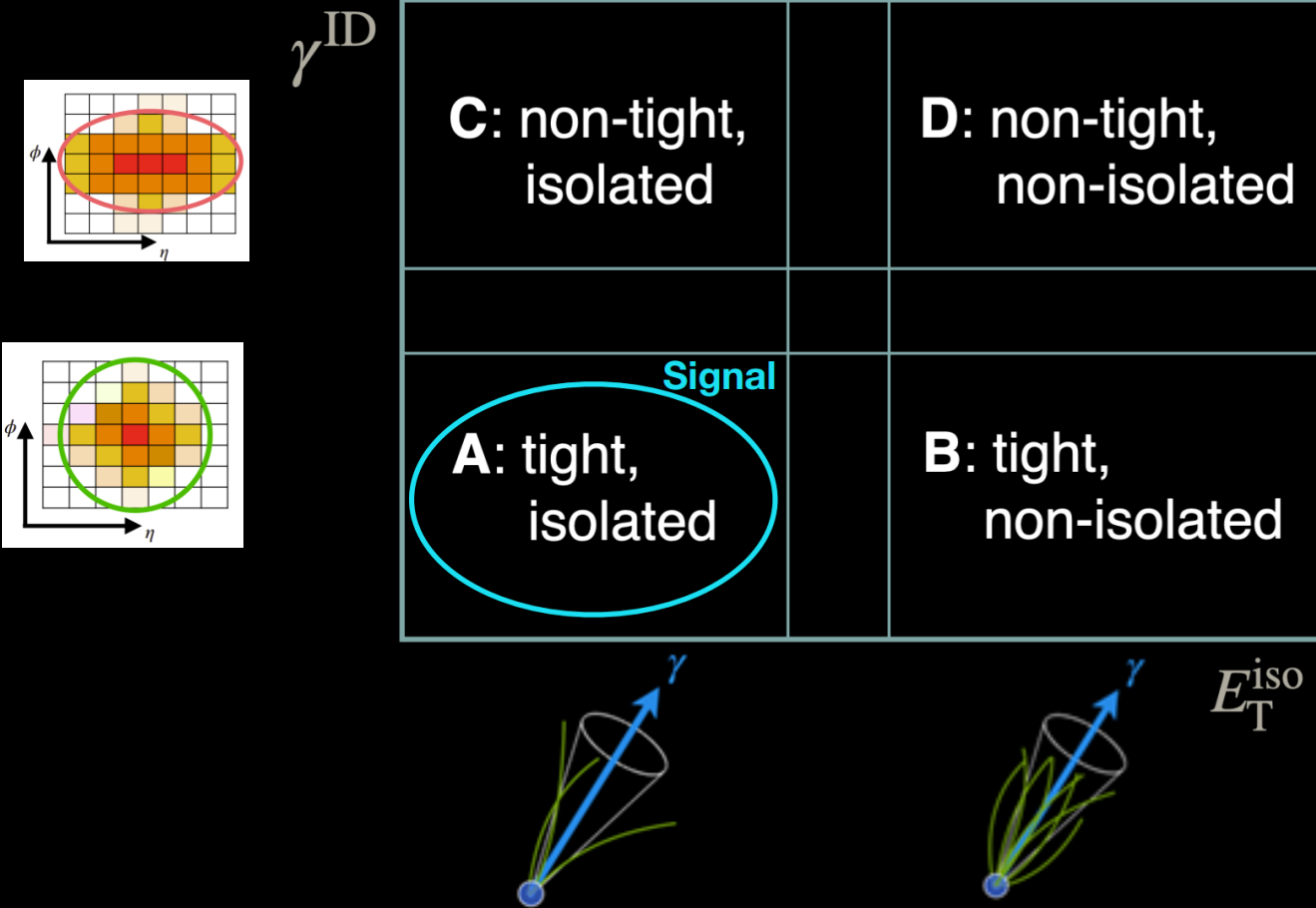
sPH-CONF-COLDQCD-2025-01

$$A_N \propto \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$



- Compatible with zero and agreement with previous PHENIX results (35% of total luminosity used for sPHENIX)
- With larger acceptance and the use of forward collision vertices, a wide range of rapidity differential measurements are possible.

# Direct photons Signal Extraction of



## ➤ Signal

- Isolated EMCal cluster (little near-by particle production)
- EMCal cluster which is consistent with a single photon

## ➤ Background ( $\pi^0$ , $\eta$ ,...)

- Come in jets, non-isolated
- EMCal cluster consistent with multiple incident photons

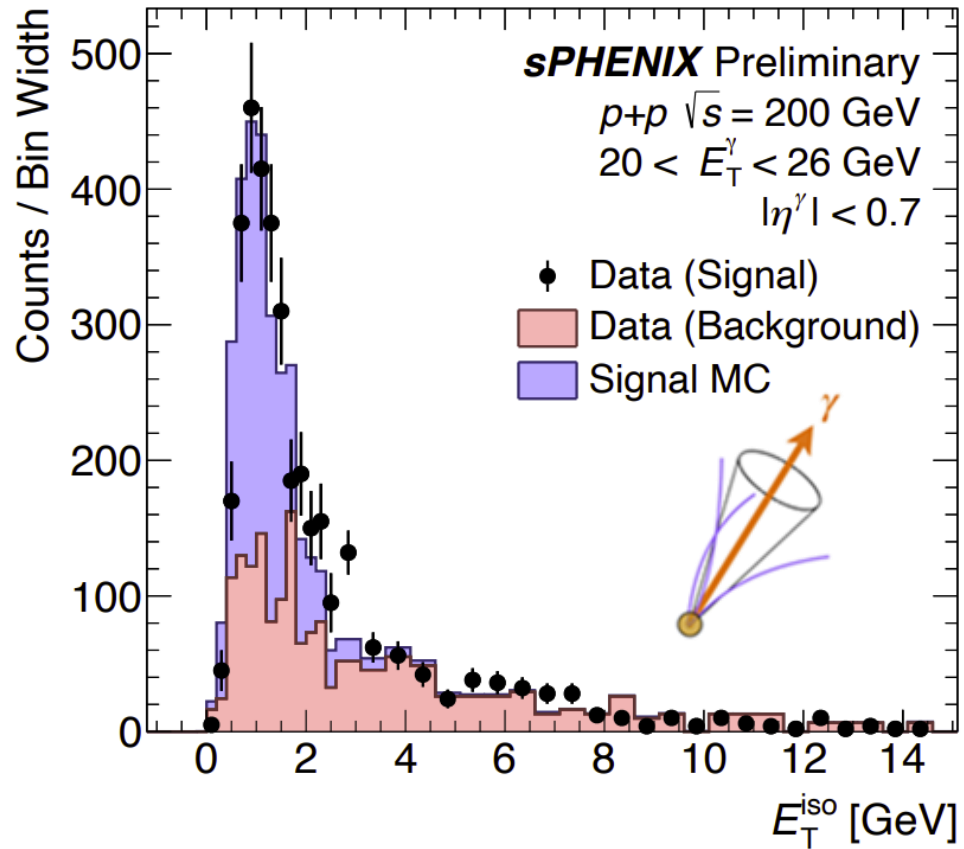
## ➤ Irreducible background in signal region → must statistically subtract.

## ➤ Data-driven purity measurement

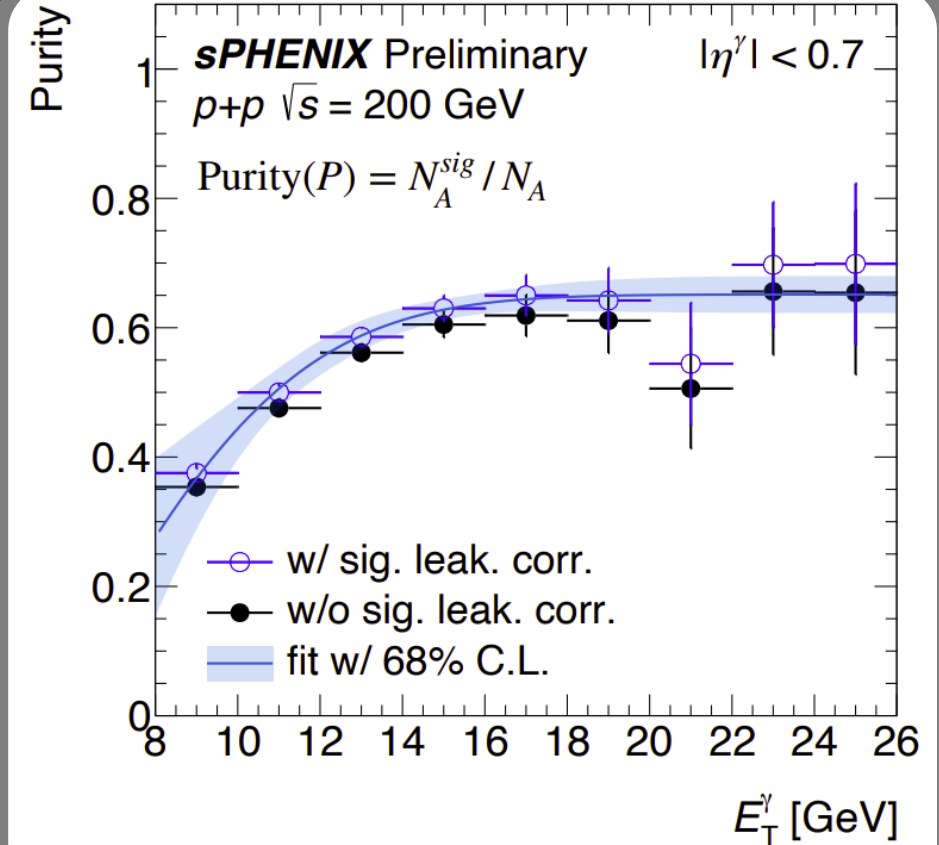
Signal yields :

$$N_{\text{signal}}^A = N_{\text{raw}}^A - \left[ (N_{\text{raw}}^B - f^{B,\text{MC}} N_{\text{signal}}^A) \cdot \frac{(N_{\text{raw}}^C - f^{C,\text{MC}} N_{\text{signal}}^A)}{(N_{\text{raw}}^D - f^{D,\text{MC}} N_{\text{signal}}^A)} \right]$$

# Purity of prompt isolated photons

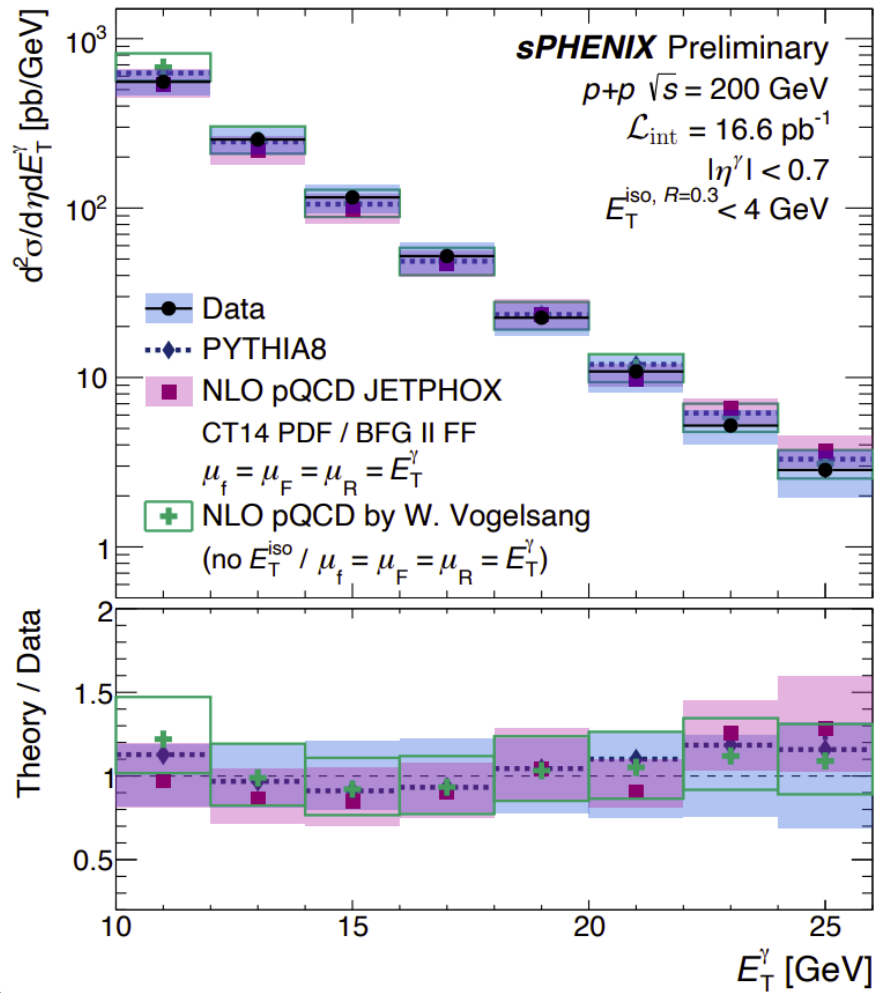


Example isolation energy distribution for data compared to **background enhanced data** and **signal MC**



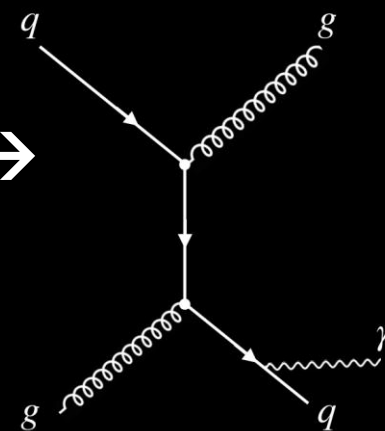
Measured **purity**  
Only **small corrections**  
from **MC**

# $d\sigma/dp_T$ of prompt isolated photons



## Data

- Results unfolded for detector effect
- 15% of the full p+p dataset used
- EM energy scale dominant source for systematic unc. → Expect to be improved with the full dataset
- Includes fragmentation photons →



## Generator / Theory

- Pythia8 Detroit tuning
- JETPHOX: NLO pQCD, CT14LO PDF + BFG II fragmentation functions,
- NLO pQCD W. Vogelsang : no iso cut

# Overview

- Detector
- Bulk measurements
- Jets
- Photons
- **Heavy flavor**

# Tracking system

## MVTX: Maps-based VerTeX detector

- Inner most detector
- 3 layers
  - $O(10\text{ }\mu\text{m})$  vertex position resolution
  - $4\text{ }\mu\text{s}$  integration time
  - Copy of ALICE ITS2 inner barrel

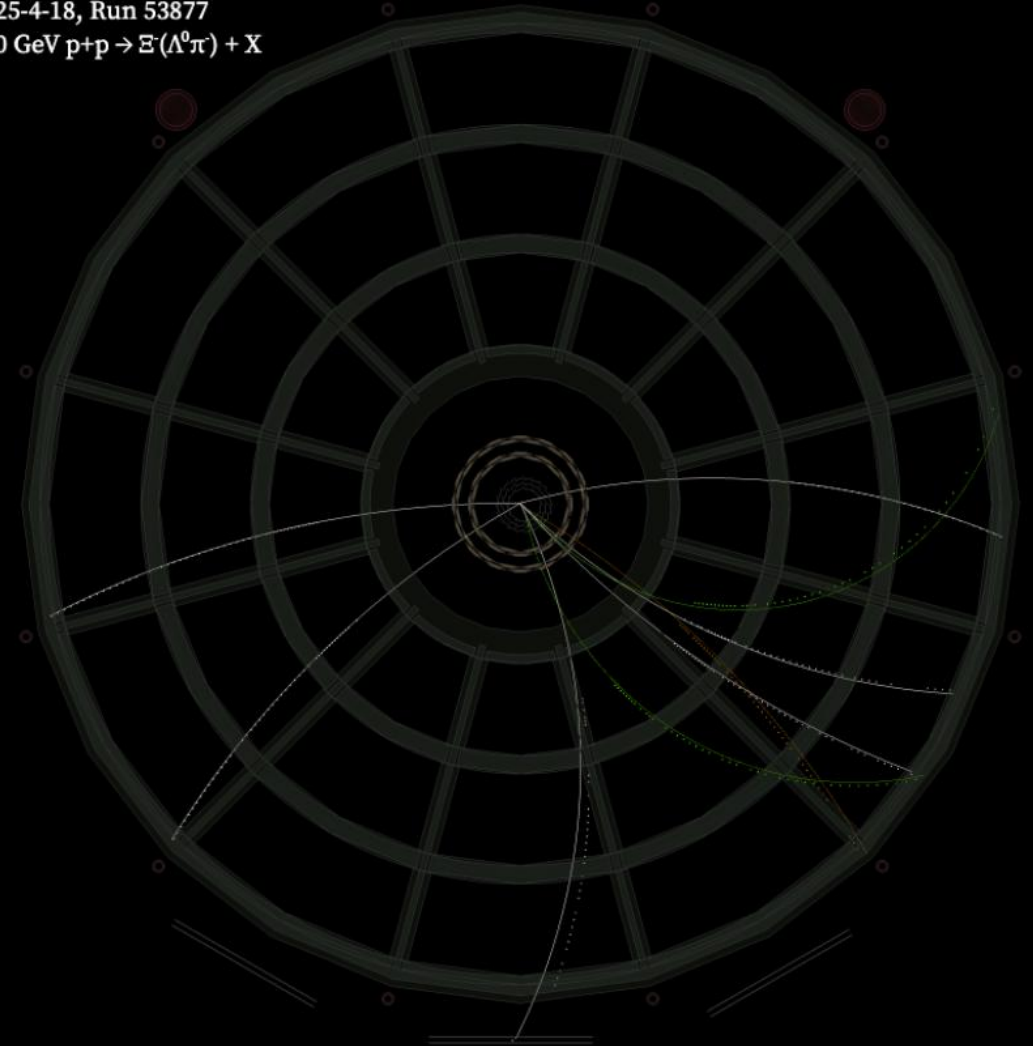
## INTT: Discussed earlier

## TPC: Time Projection Chamber

- GEM based gaseous drift detector
- Target momentum res.:  $\Delta p/p = 0.02$  at  $\sim 5\text{ GeV}$
- $14\text{ }\mu\text{s}$  drift time

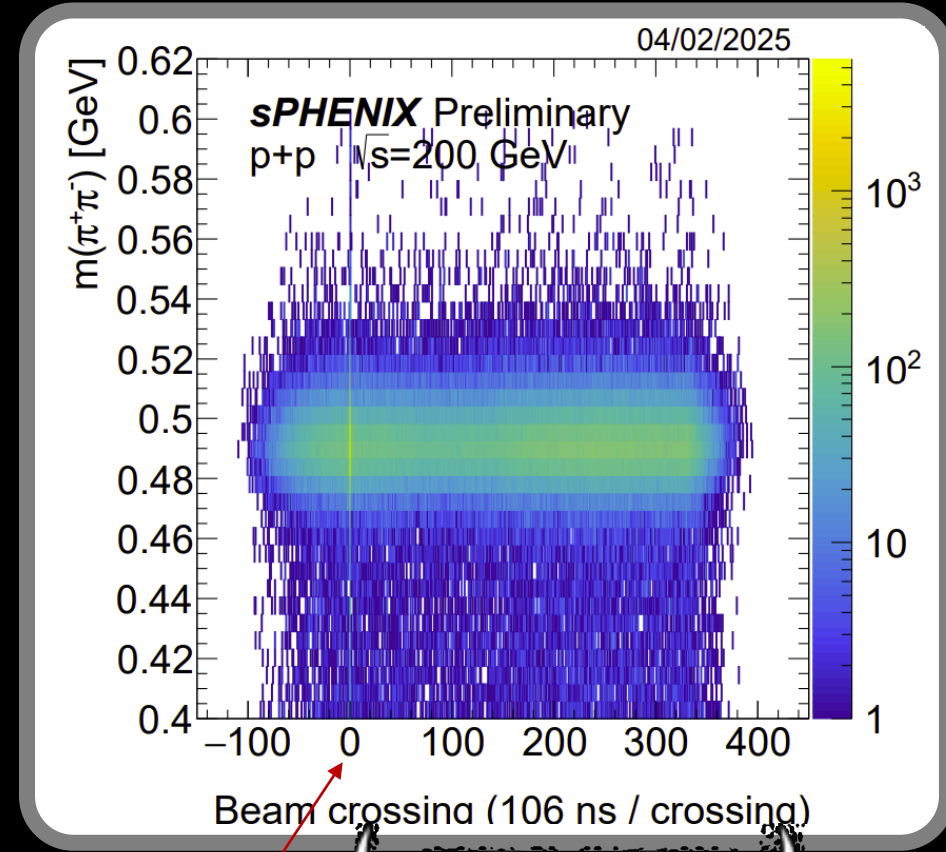
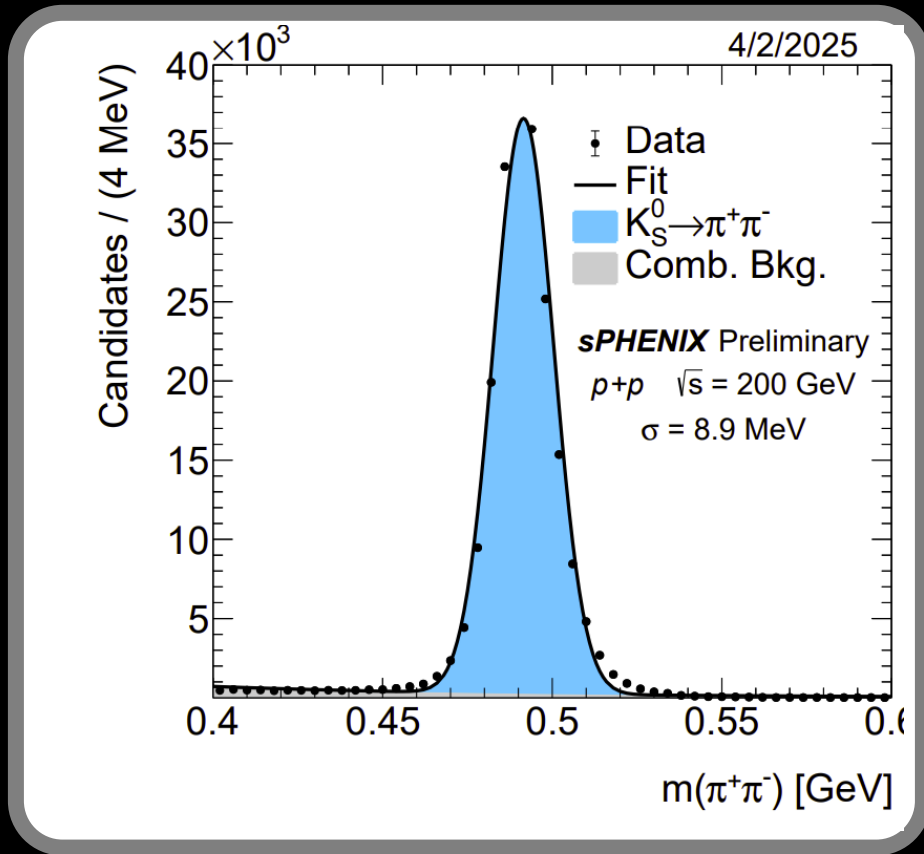
## TPOT: TPc Outer Tracker

- Provides reference/calibration for TPC





# $K_S^0$ reconstruction and performance

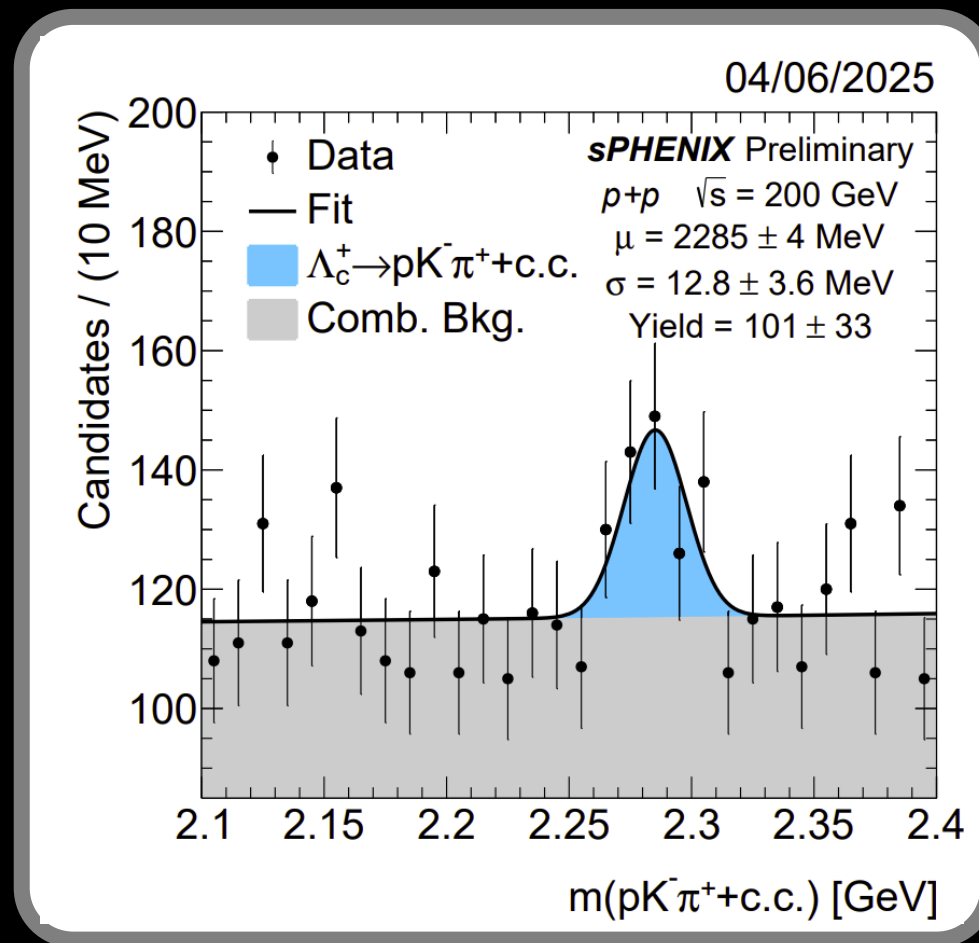
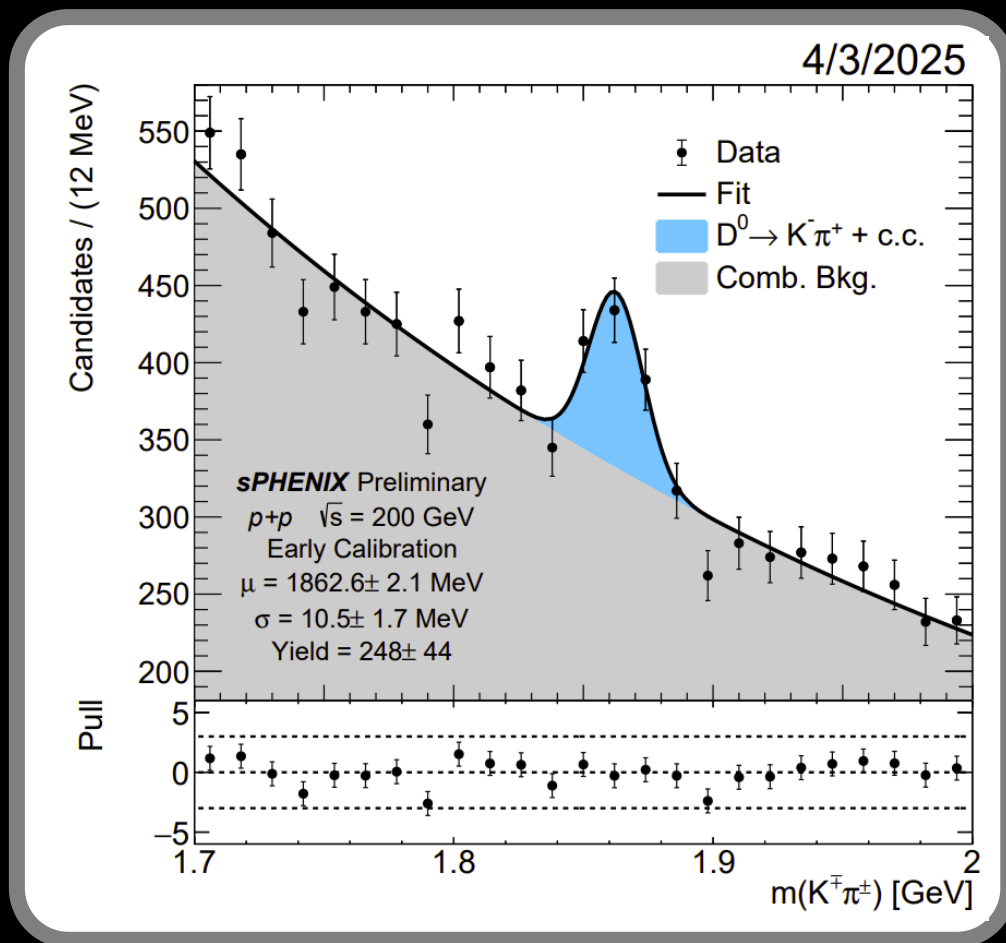


Triggered bunch crossing

Data recorded after trigger bunch crossing  $\sim 40\mu\text{s}$

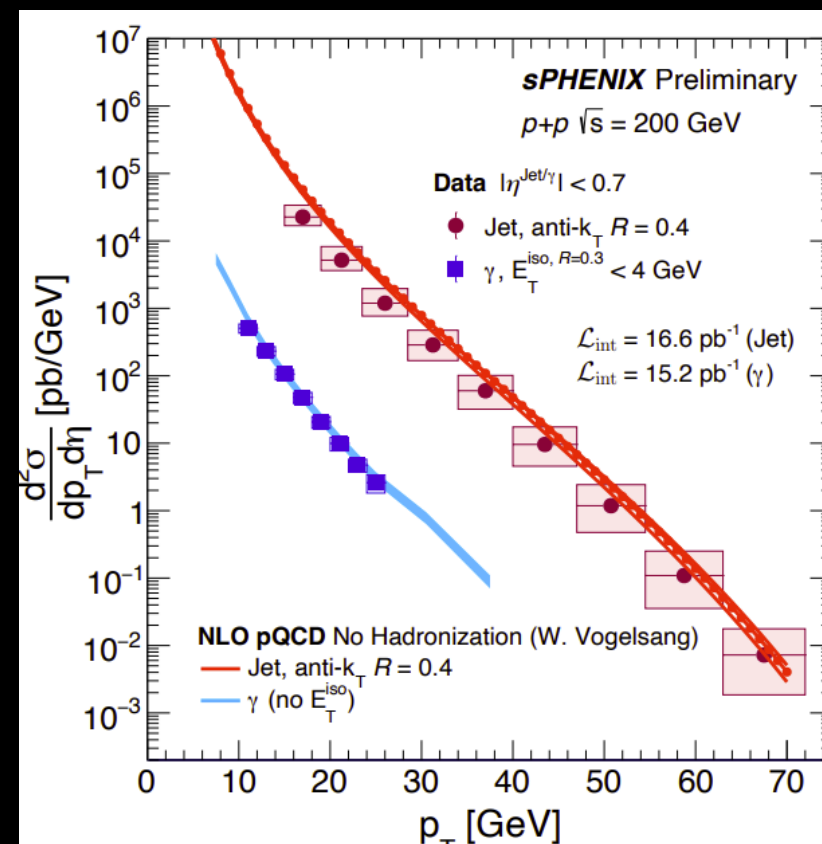
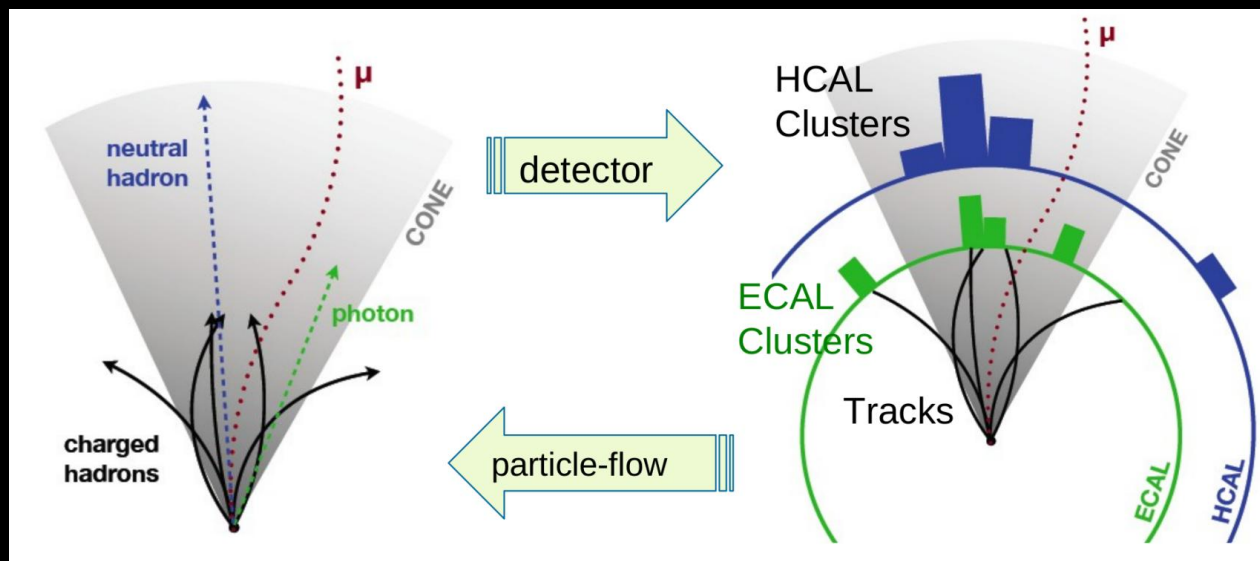
First demonstration of successful extended streaming readout  
Extended readout is critical for heavy flavor program

# Heavy flavor mass peaks



- Observe first signal of  $D^0$  and  $\Lambda_c$  decays
- from  $\sim 1$  hour of  $p+p$  data and early stage calibrations

# Future: putting it together

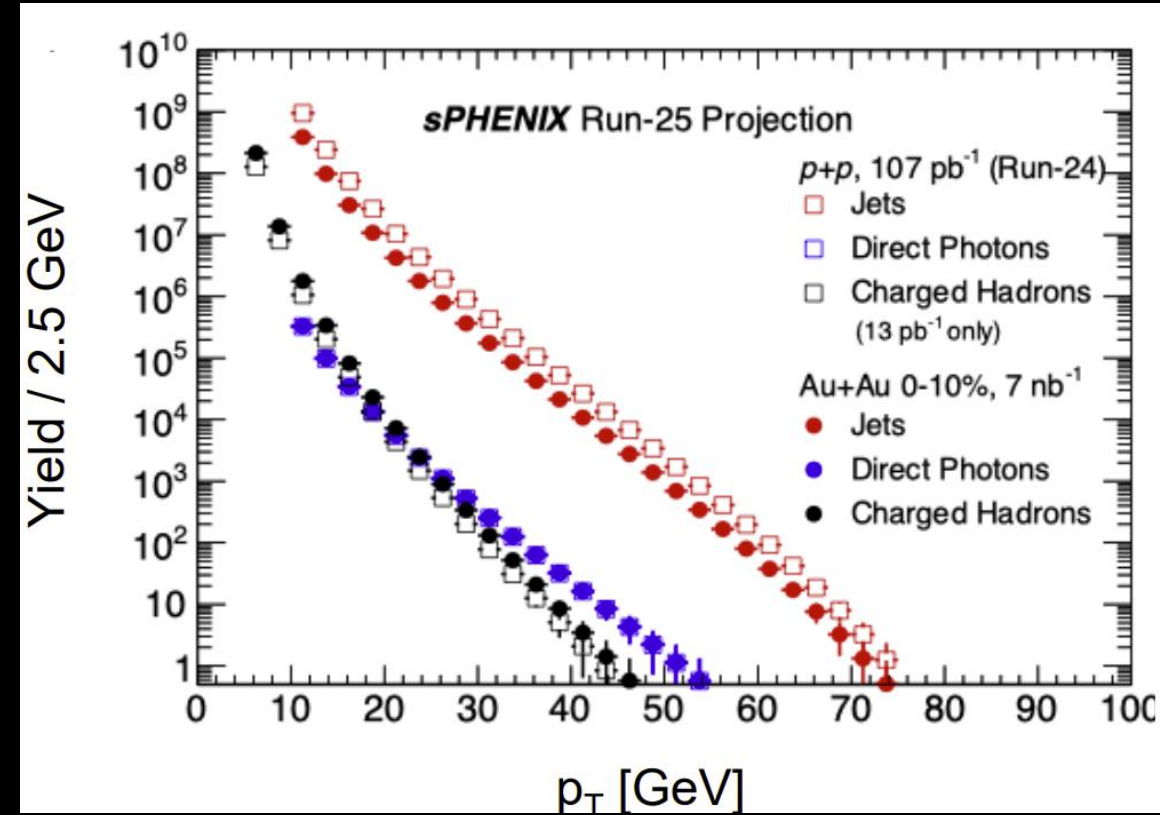


**We have all the components to,**

- Track-calorimeter matching to constrain hadronic response uncertainties, more precise EM scale, jet calibrations, etc.
- Combine signatures like  $\gamma$ -jet
- Large statistics tracking physics analyses
- Ready to analyze large statistic Au+Au

# Upcoming collisions from RHIC

sPHENIX Physics Target in Run-25: 7 nb <sup>-1</sup> (50B events)		
Collision Species	Cryoweeks	Projected luminosity, $ z  < 10$ cm
Au+Au 200 GeV	20	2.4 – 4.2 nb <sup>-1</sup> recorded
Au+Au 200 GeV	28	3.6 – 6.4 nb <sup>-1</sup> recorded
If Au+Au luminosity target is met, ordered priority list for additional running:		
Collision Species	Physics weeks	Projected luminosity, $ z  < 10$ cm
1. $p+p$ 200 GeV	8	13 pb <sup>-1</sup> sampled + 3.9 pb <sup>-1</sup> streaming
2. $p+Au$ 200 GeV	5	80 nb <sup>-1</sup> sampled + 24 nb <sup>-1</sup> streaming
3. O+O 200 GeV	2	13 nb <sup>-1</sup> sampled + 3.9 nb <sup>-1</sup> streaming



[Beam use proposal](#)

# Summary

- **First sPHENIX publication of  $dE_T/d\eta$  and  $dN_{ch}/d\eta$  which achieved the expected performance of these standard candles**
- **Competitive preliminary jet measurements with a straight-forward path for improvement**
  - Similar for photon measurements
- **Demonstration of the successful trigger+streaming readout and detector timing system for high-statistics precision tracking**
- **First reconstruction of  $D^0$  and  $\Lambda_c$** 
  - Ready for large scale tracking physics analysis
- **Excited for the start of Au+Au beam time**

**Thanks for listening**



# Underlying event methods

## Iterative method

1. Identify seed jets
2. Measure the eta-dependent underlying event
3. Subtract measured underlying event at the tower level
4. Repeat 2 and 3 with improved seed jets
5. Find final jets

## Area method

1. Measure average underlying event
2. Find jets and subtract average underlying event.

## Multiplicity method

1. Measure average underlying event per tower
2. Find jets and subtract average underlying event based on estimate of number of background towers

## Random cones

$$\delta E_T^{\text{Iter}} = \sum_{i=0}^N E_{T,i}^{\text{sub}}$$

$$\delta E_T^{\text{Area}} = \sum_{i=0}^N E_{T,i} - \rho_A \cdot A_{\text{cone}}$$

$$\delta E_T^{\text{Mult}} = \sum_{i=0}^N E_{T,i} - \rho_M \cdot N$$

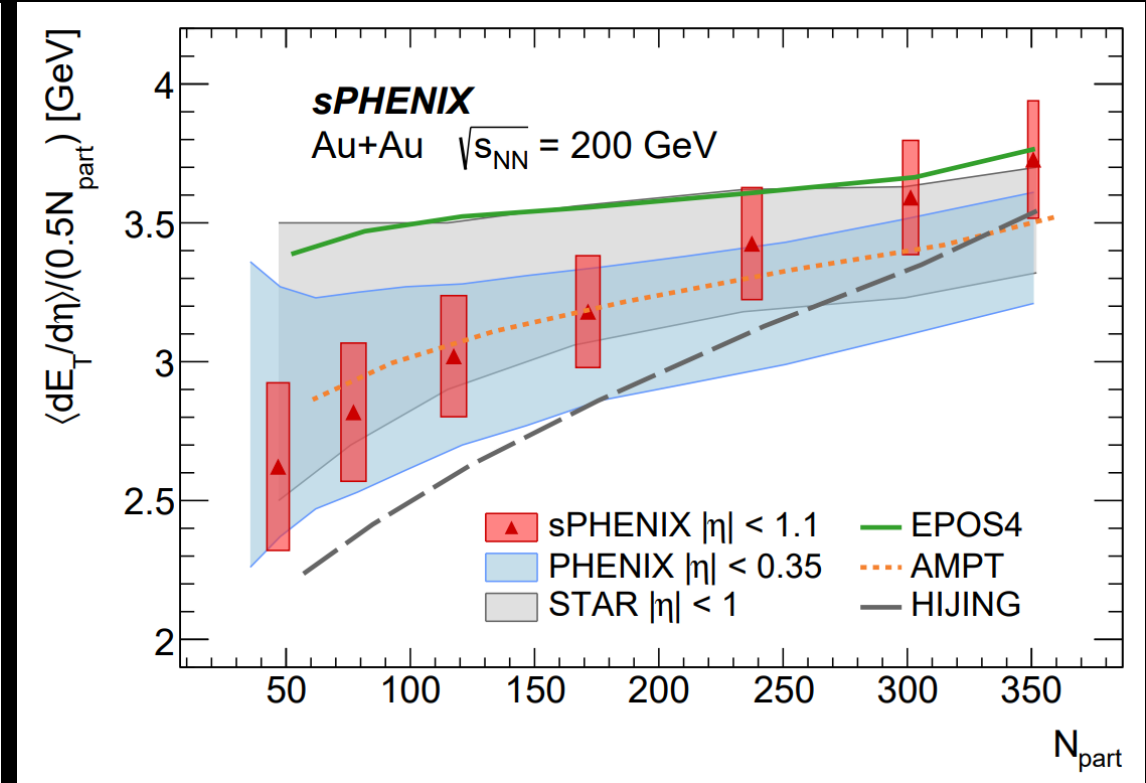
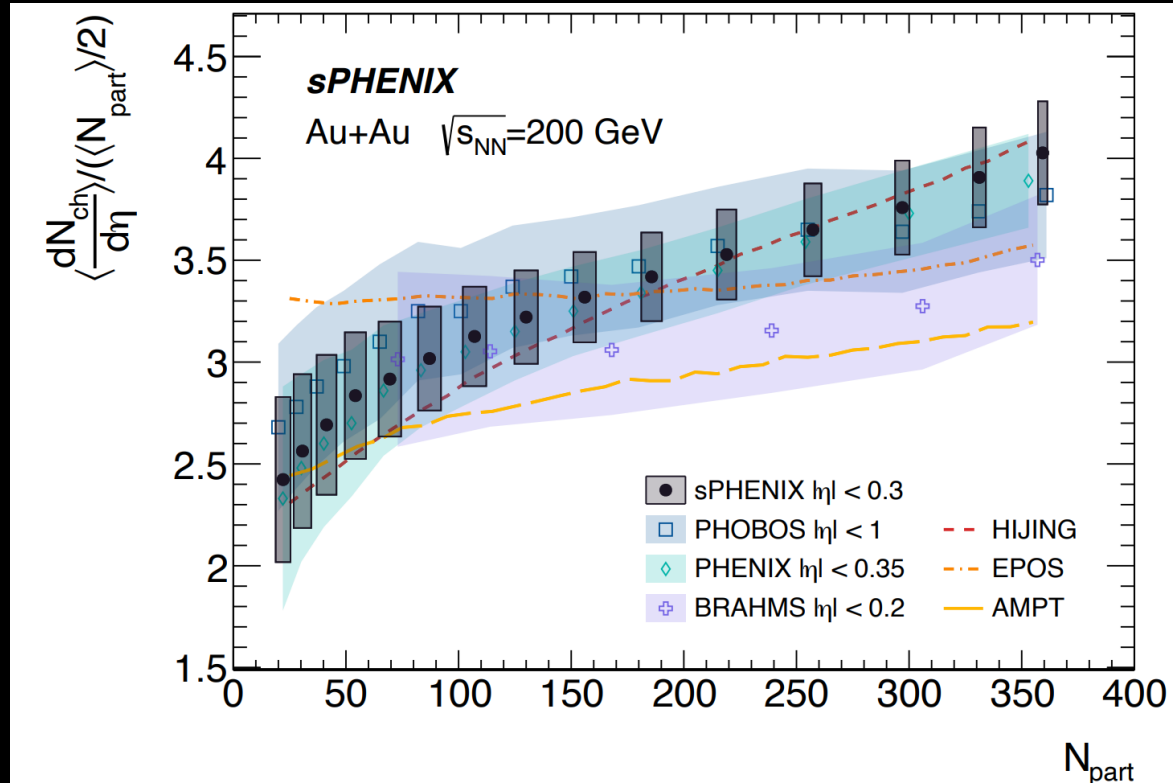
## Embedding sim jets

$$\delta E_{T,\text{Iter}} = E_{T,\text{jet}}^{\text{sub}} - E_{T,\text{sim}}$$

$$\delta E_{T,\text{Area}} = E_{T,\text{jet}}^{\text{Uncorr.}} - \rho_A \cdot A_{\text{jet}} - E_{T,\text{sim}}$$

$$\delta E_{T,\text{Mult}} = E_{T,\text{jet}}^{\text{Uncorr.}} - \rho_M \cdot (N_{\text{const}} - \langle N_{\text{signal}} \rangle) - E_{T,\text{sim}}$$

# $dN_{ch}/d\eta$ and $dE_T/d\eta$ in Au+Au collisions



# sPHENIX Run 2024 pp $\sqrt{s}=200$ GeV

