



Inclusive Diffraction with Sartre

EICUG Theory WG
Seminar on Diffraction

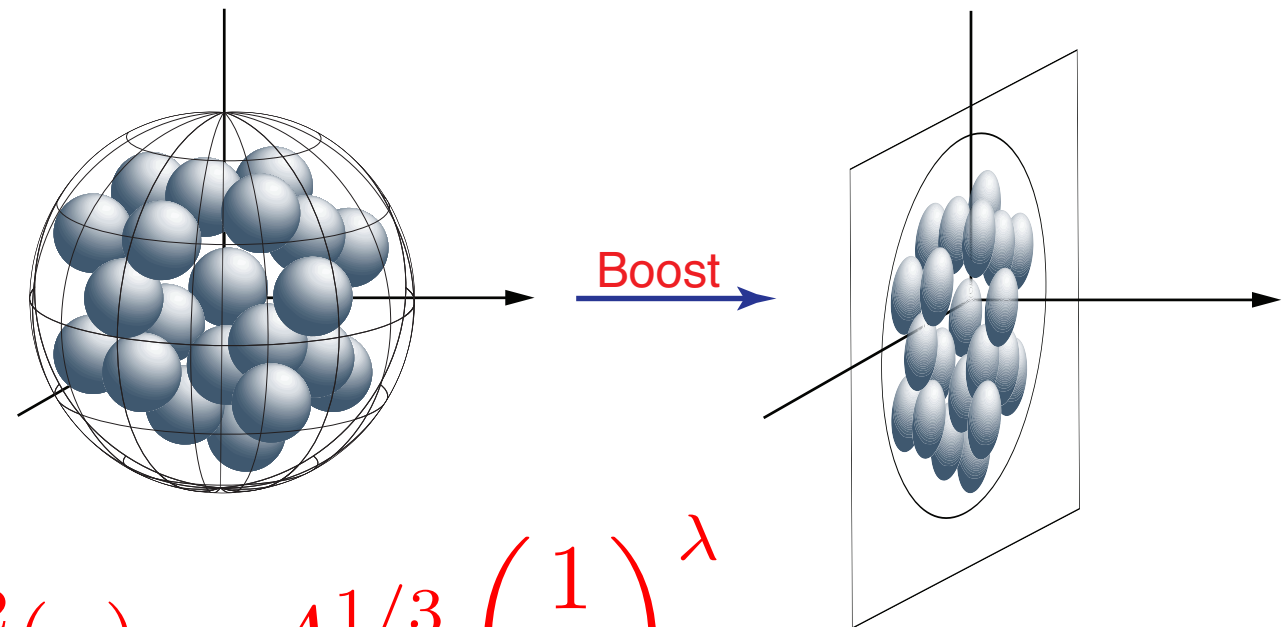
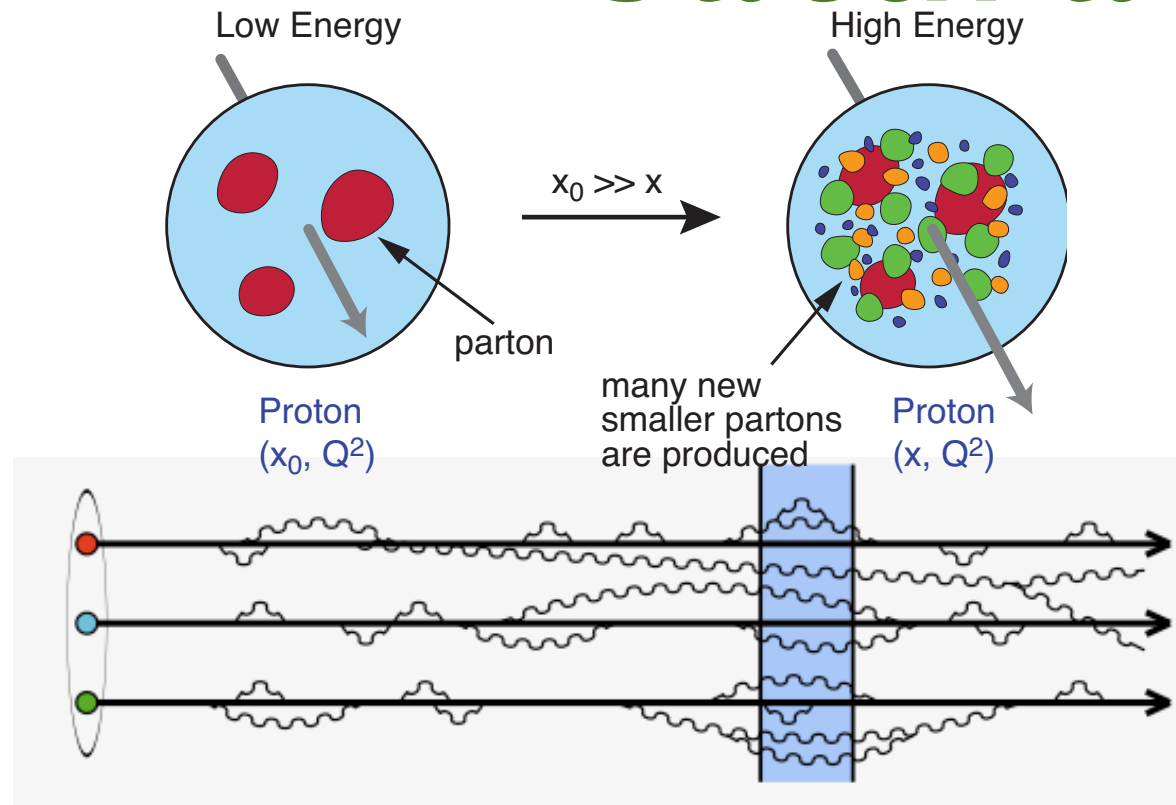
April 29 2025

Tobias Toll

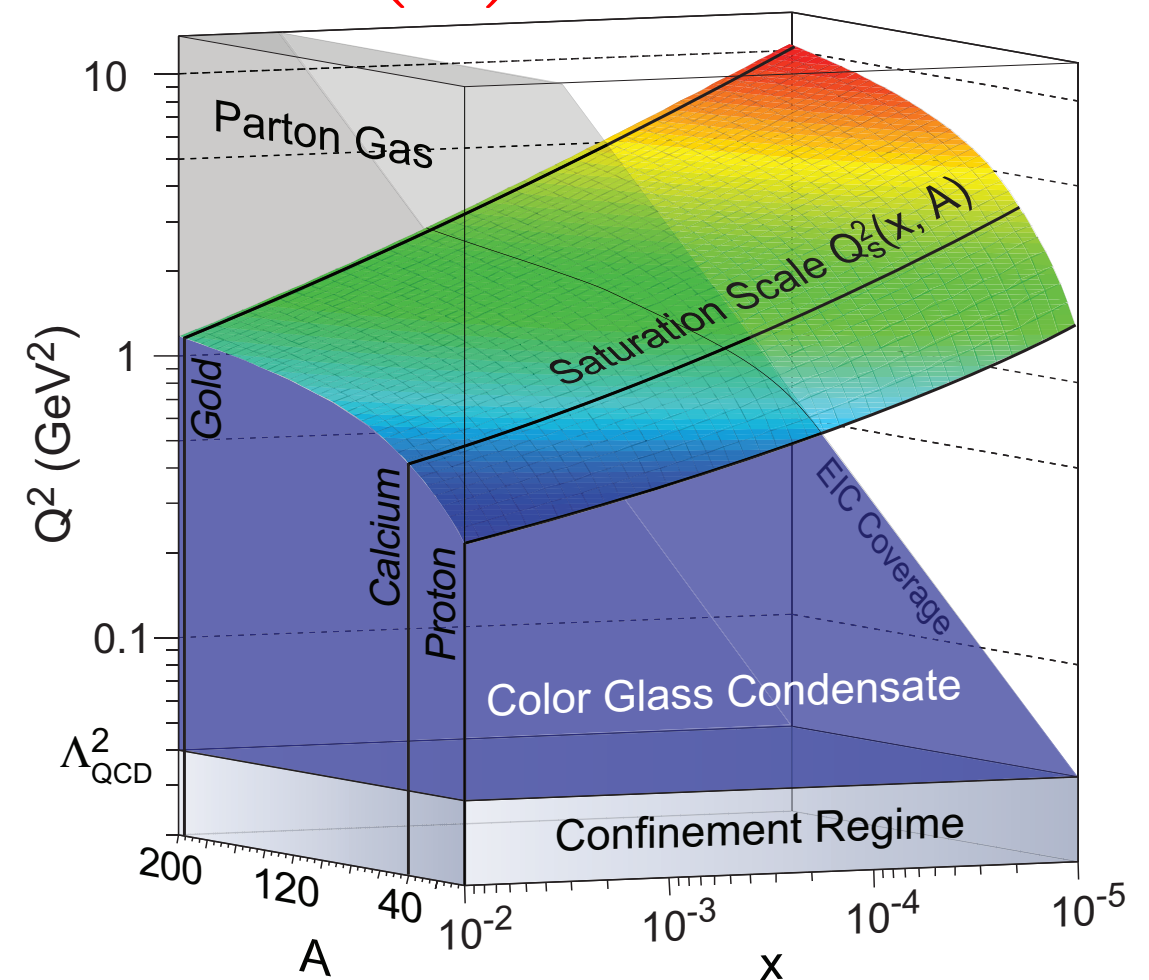
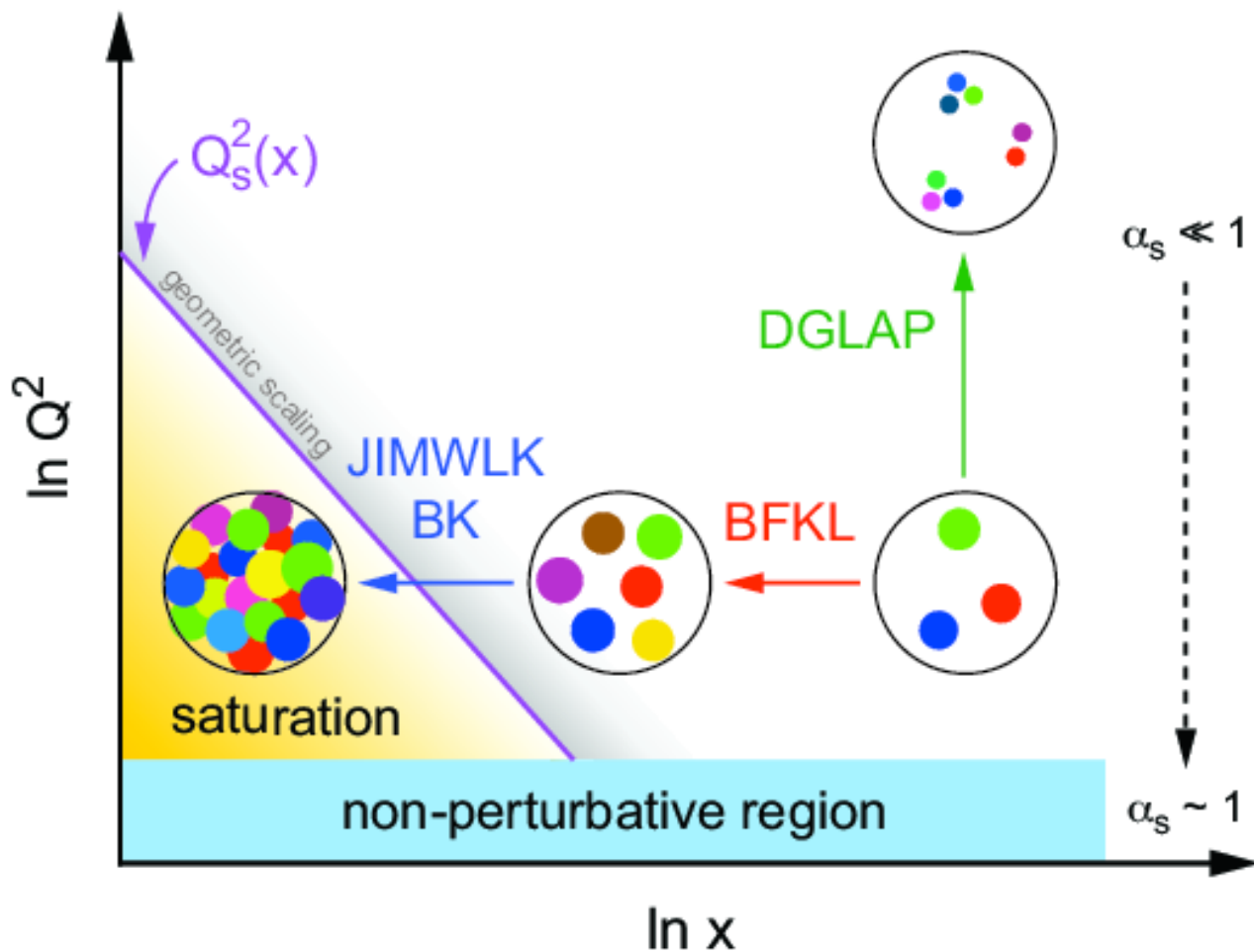
Indian Institute of Technology Delhi

<https://sartre.hepforge.org/>

Saturation at EIC



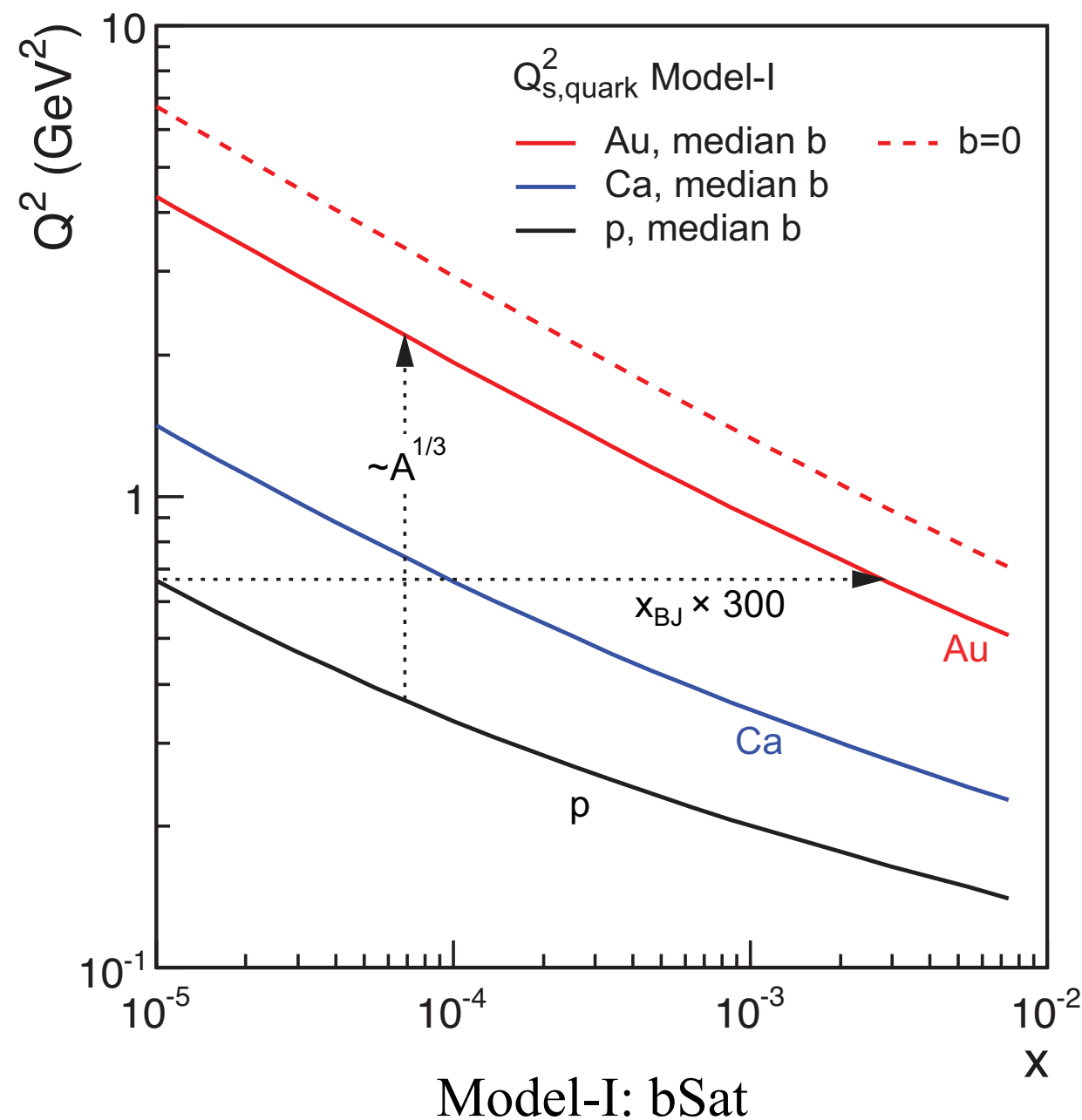
$$Q_s^2(x) \sim A^{1/3} \left(\frac{1}{x} \right)^\lambda$$



Saturation at EIC

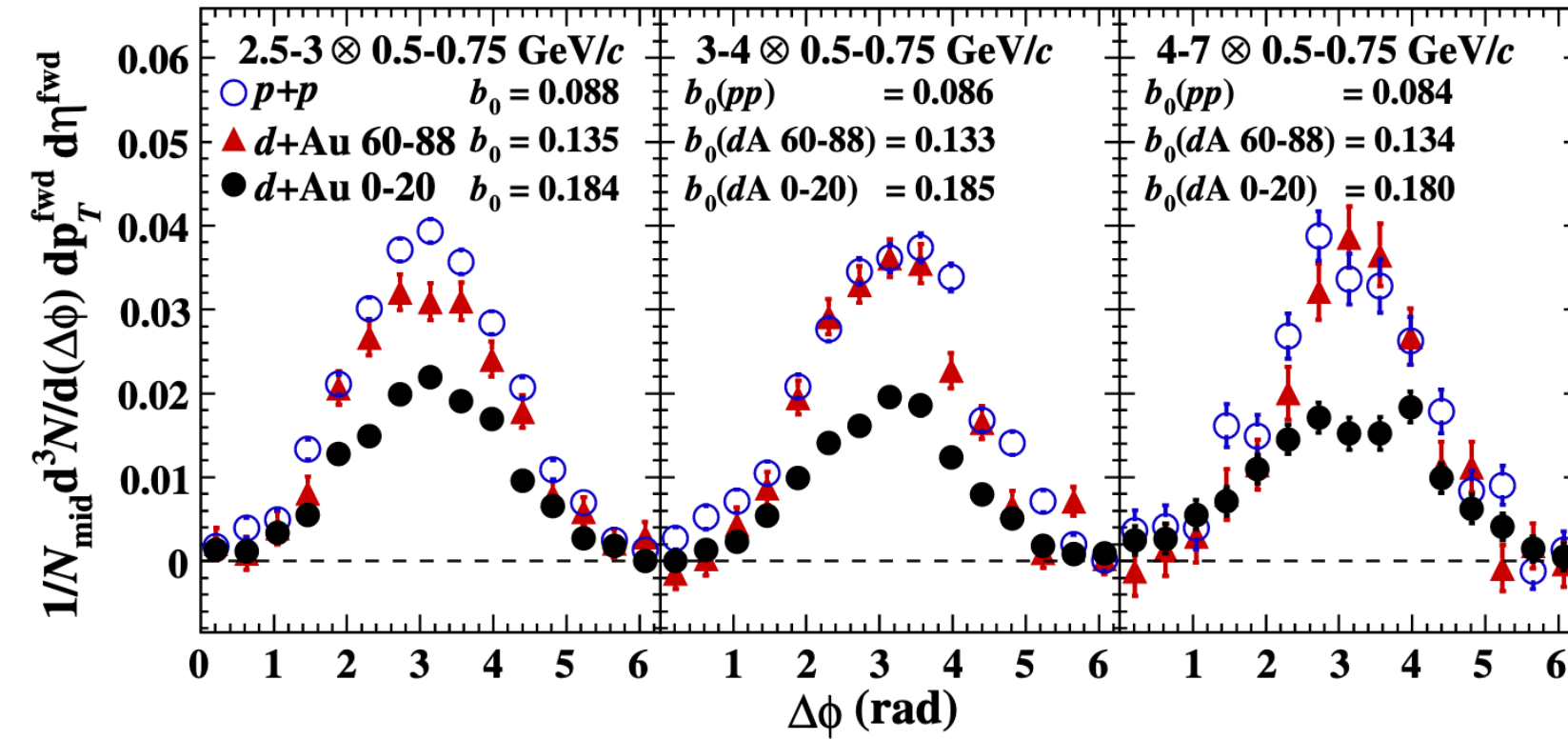
Pocket formula: $Q_s^2(x) \sim A^{1/3} \left(\frac{1}{x} \right)^\lambda \sim \left(\frac{A}{x} \right)^{1/3}$

Gold: $A=197$, x 197 times smaller!

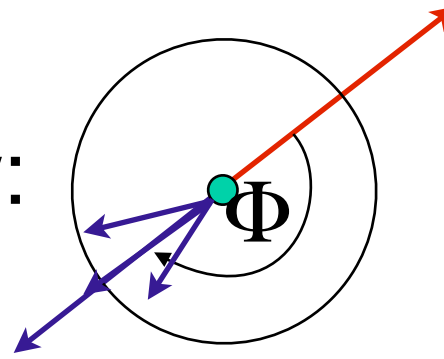


π^0 - π^0 forward correlation in pp and dA at RHIC

PHENIX, Phys.Rev.Lett. 107 (2011), 172301

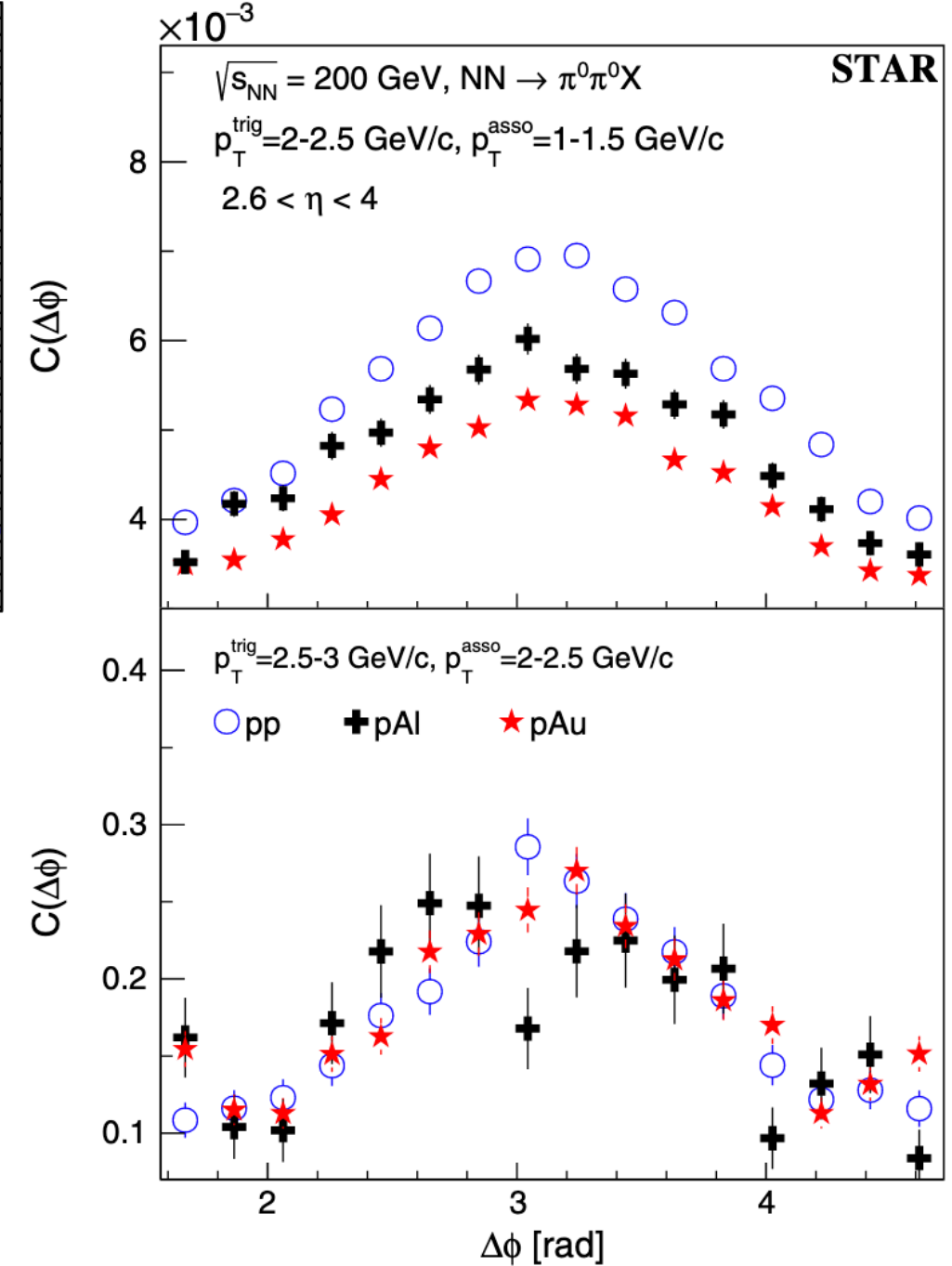


beam-view:

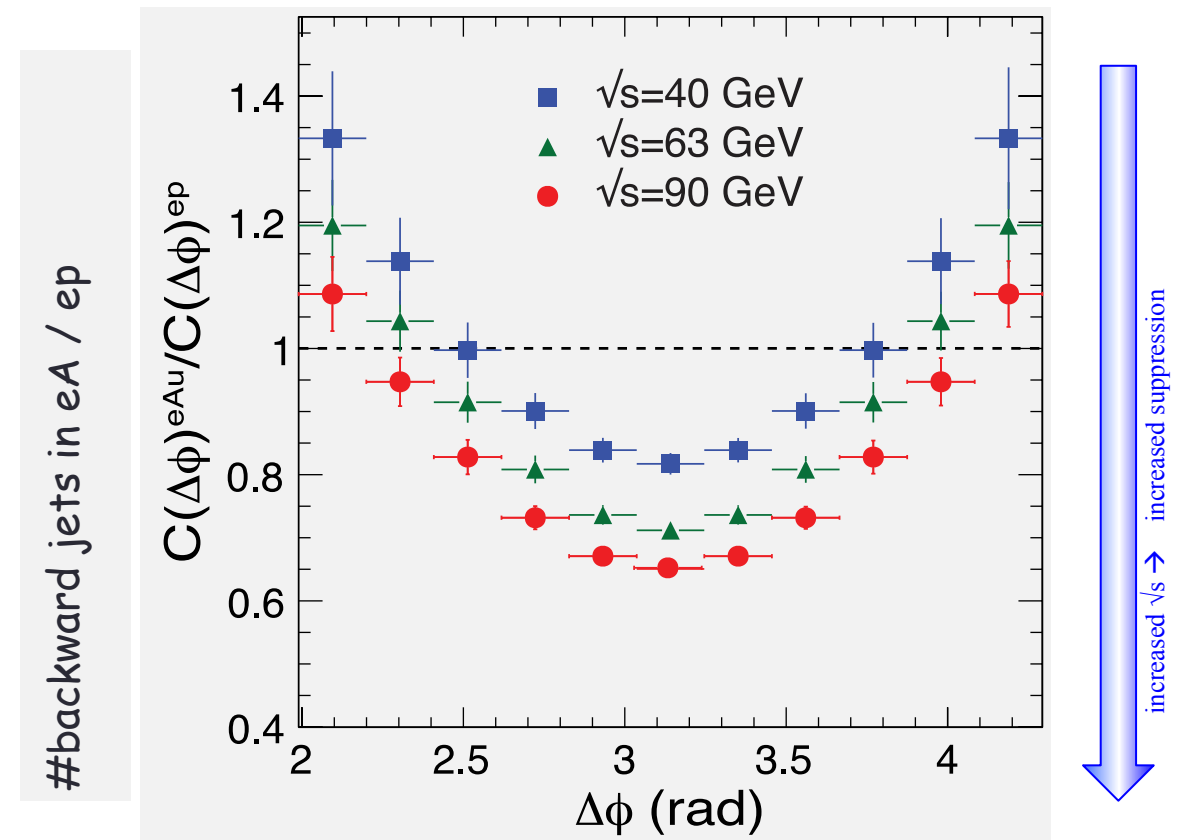
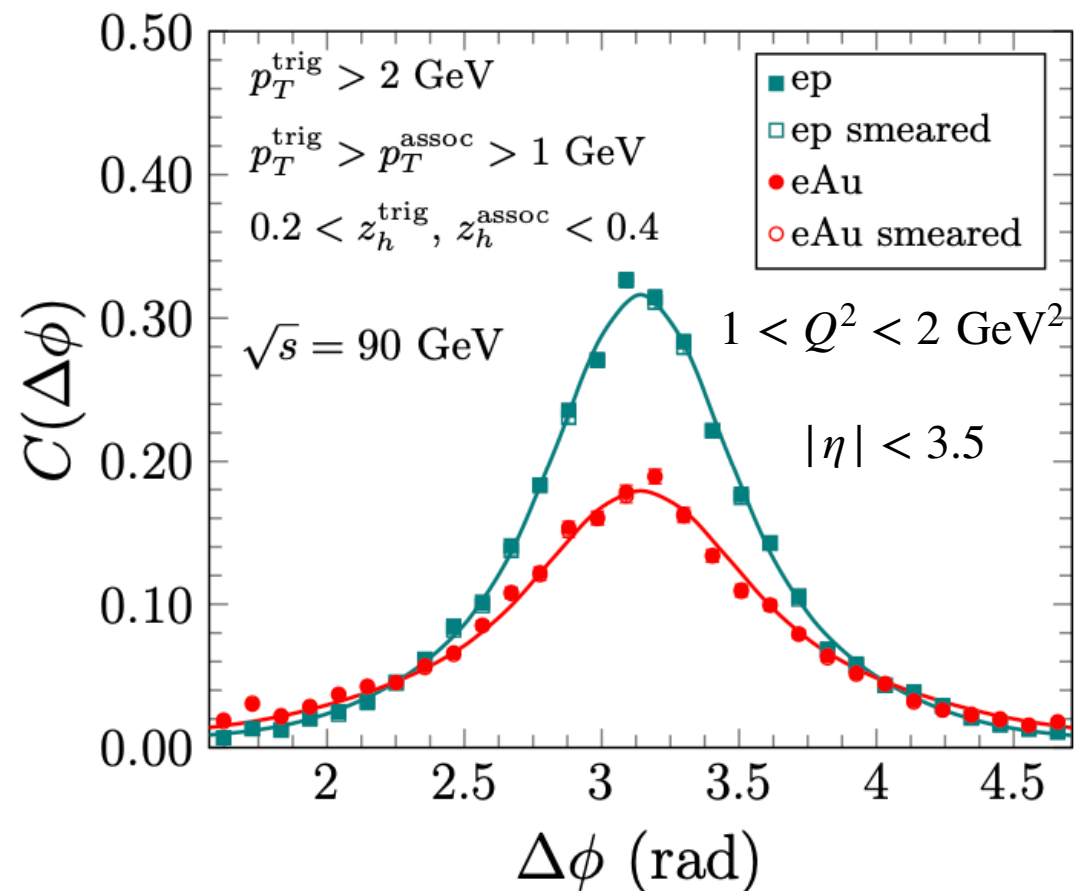
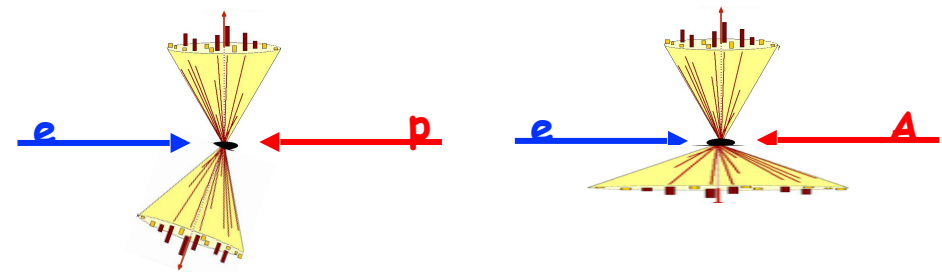
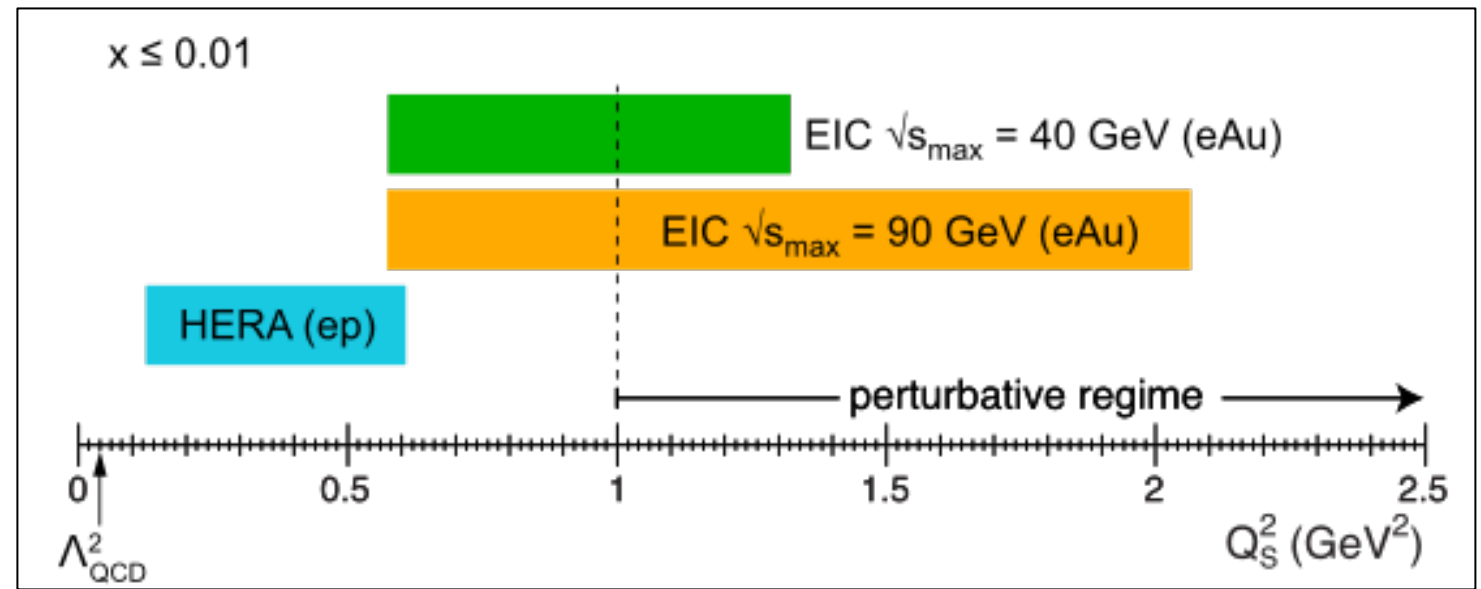
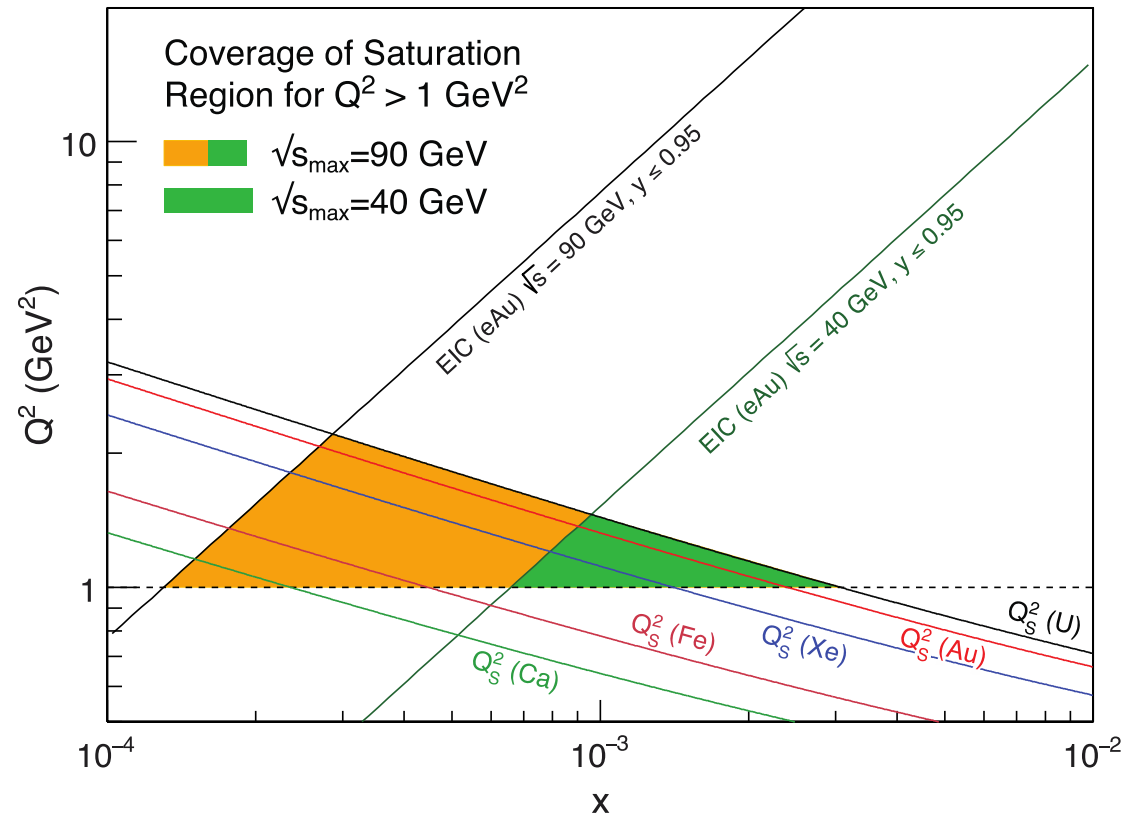


Striking broadening of away side
 peak in central pA and dA
 compared to pp and peripheral
 dA !

STAR, Phys.Rev.Lett. 129 (2022) 9, 092501



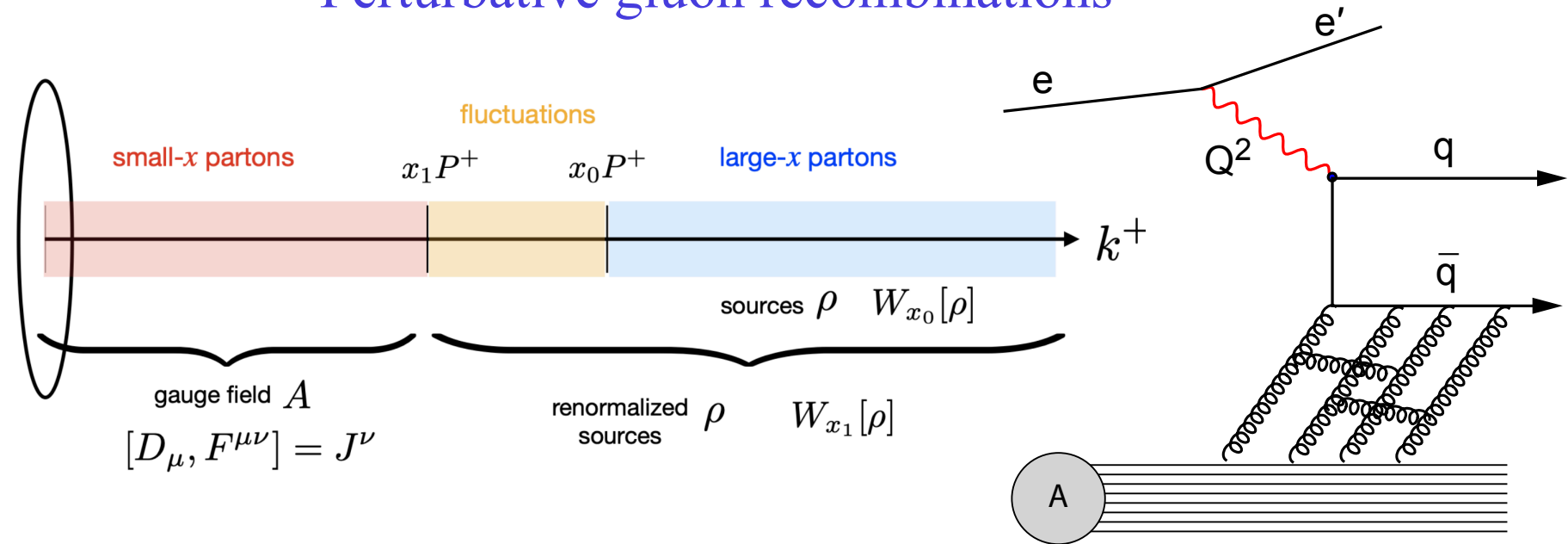
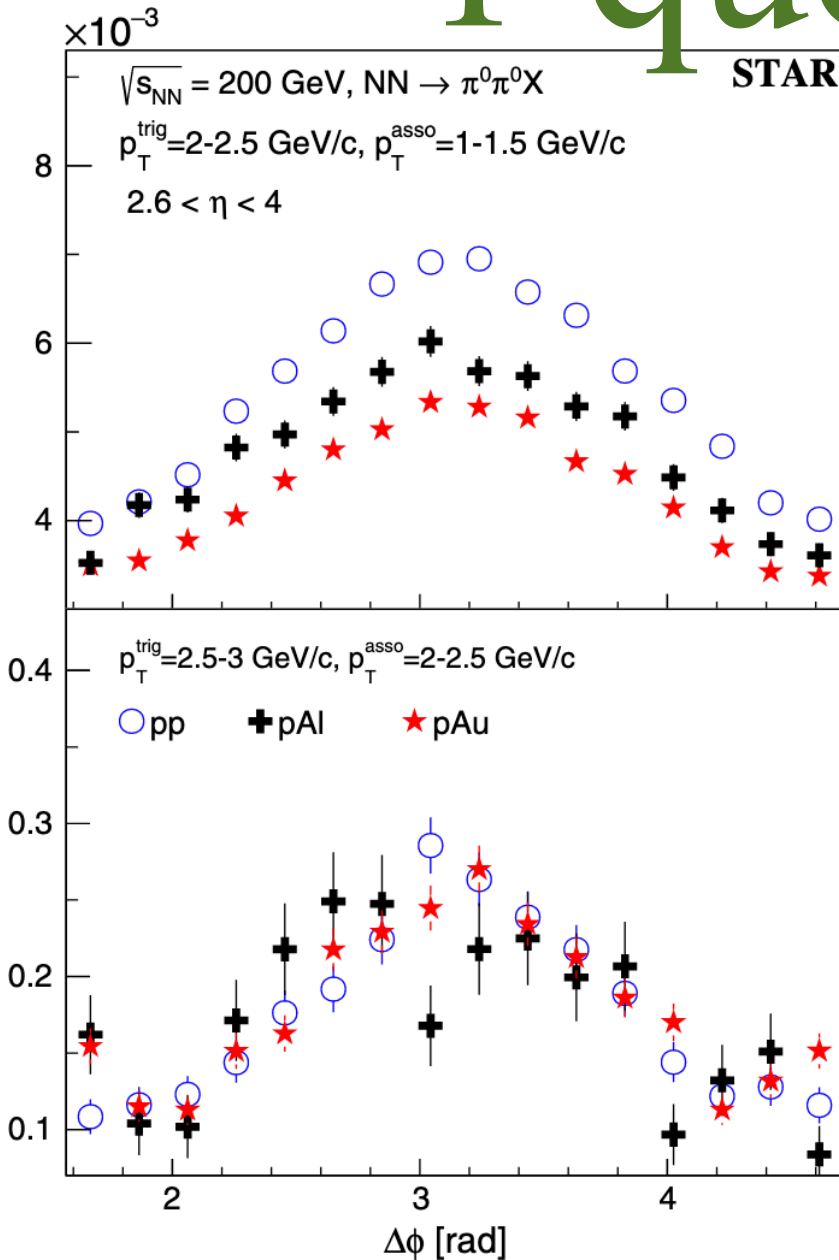
Away peak disappearance at EIC



1 question, 2 answers

Universe 7 (2021) 8, 312, arXiv:2108.08254

Color Glass Condensate with JIMWLK evolution:
Perturbative gluon recombinations



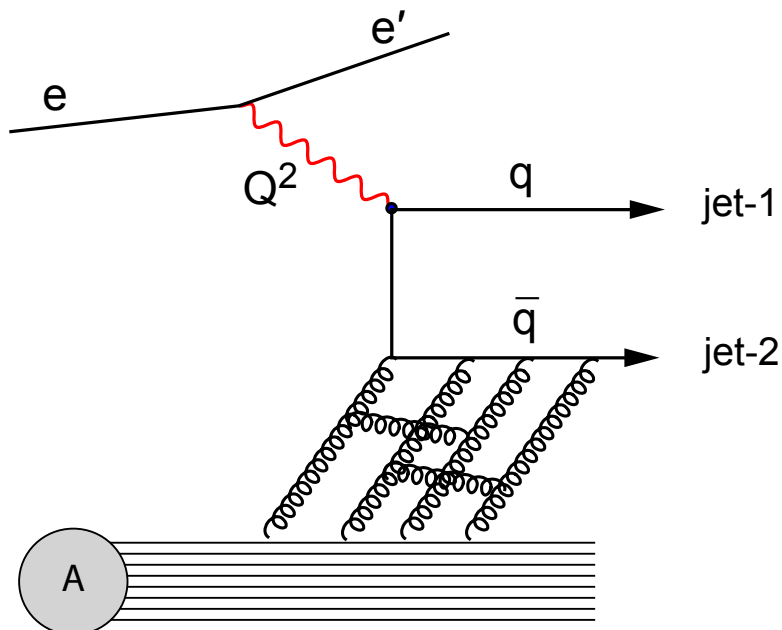
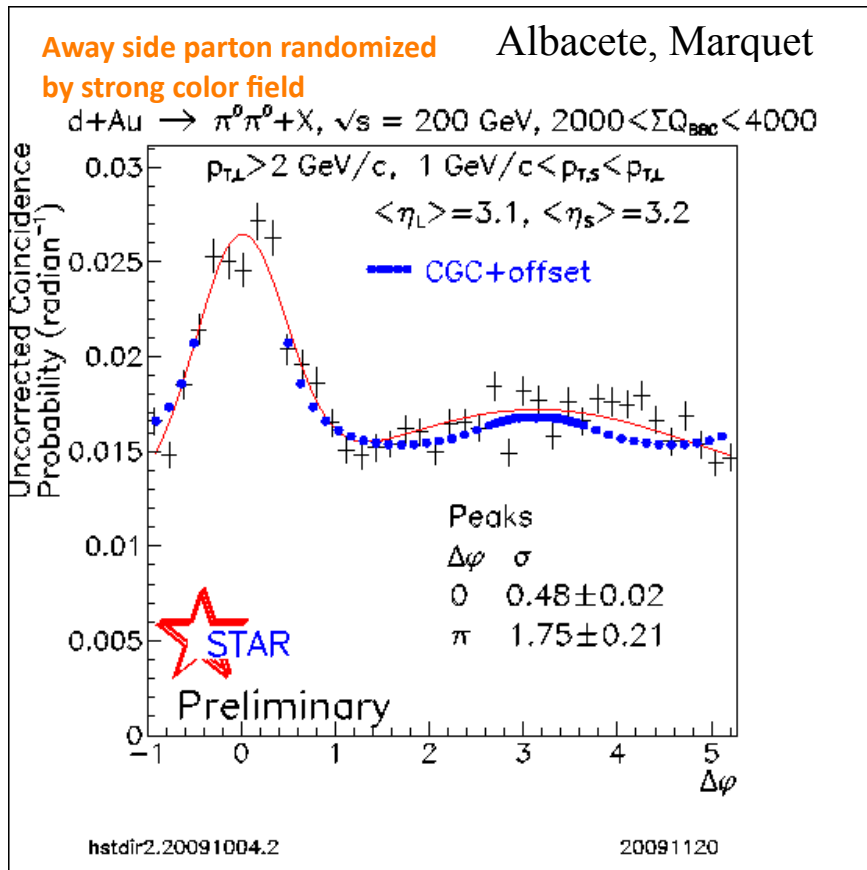
Leading Twist Shadowing:

Suppression in Nuclear PDF + multiple scattering + perturbative DGLAP evolution

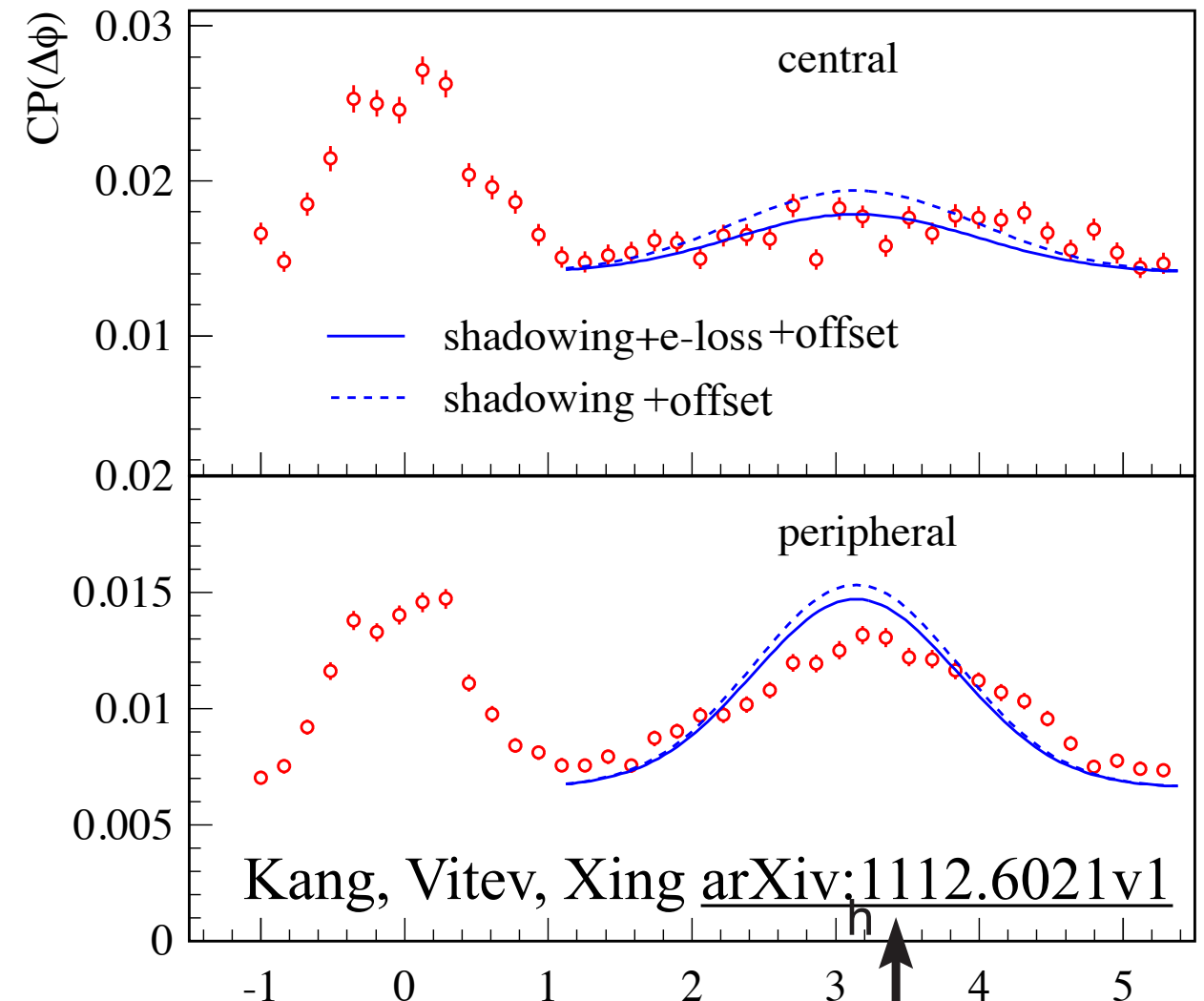
$$xf_{j/A}(x, \mu^2) = Af_{j/N}(x, \mu^2) - \frac{2\sigma_2^j f_{j/N}(x, \mu^2)}{[\sigma_{\text{soft}}^j(x)]^2} \int d^2b \left(e^{-\frac{1}{2}\sigma_{\text{soft}}^j(x)T_A(b)} - 1 + \frac{\sigma_{\text{soft}}^j(x)}{2}T_A(b) \right)$$

1 question, 2 answers

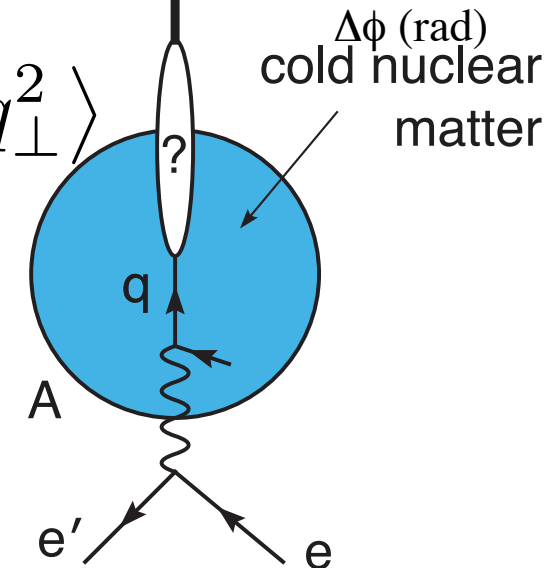
Saturation Model



Shadowing Model

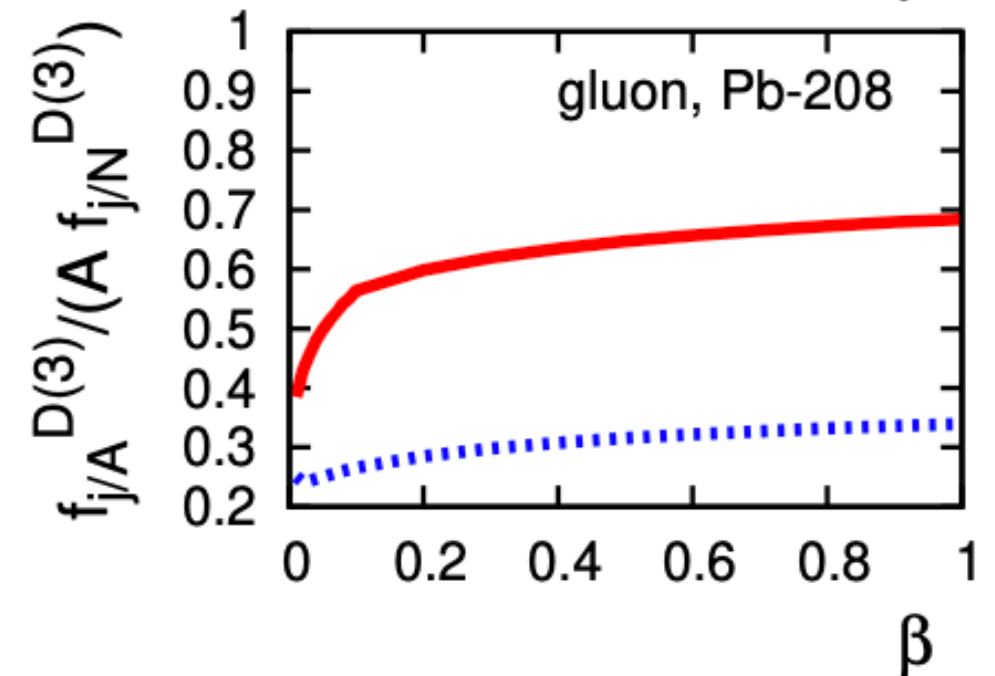
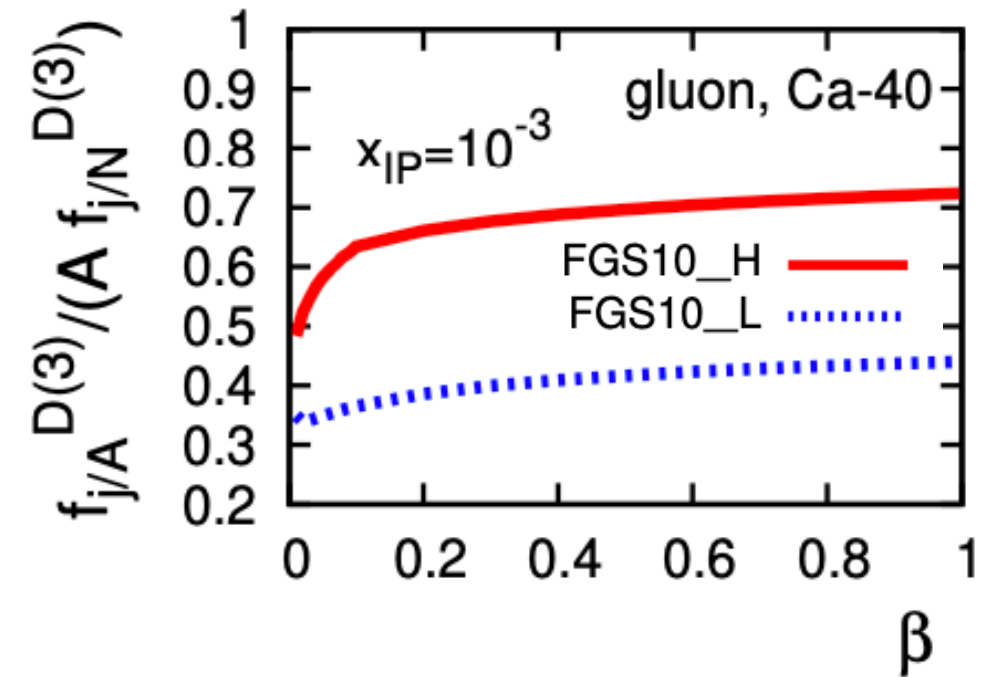
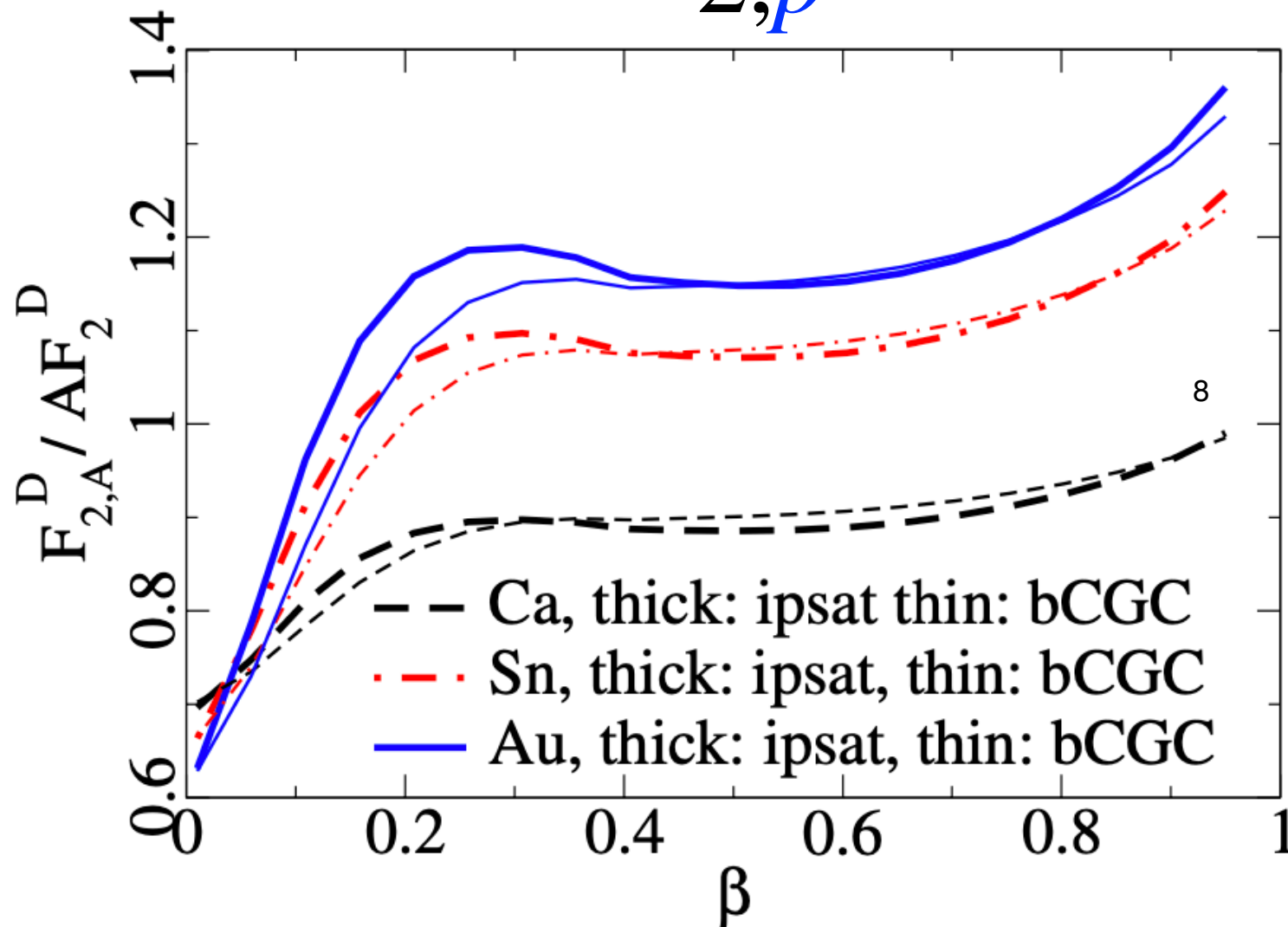


$$\langle q_{\perp}^2 \rangle_{dAu} = \langle q_{\perp}^2 \rangle_{pp} + \Delta \langle q_{\perp}^2 \rangle$$



Inclusive Diffraction: 2 very different answers!

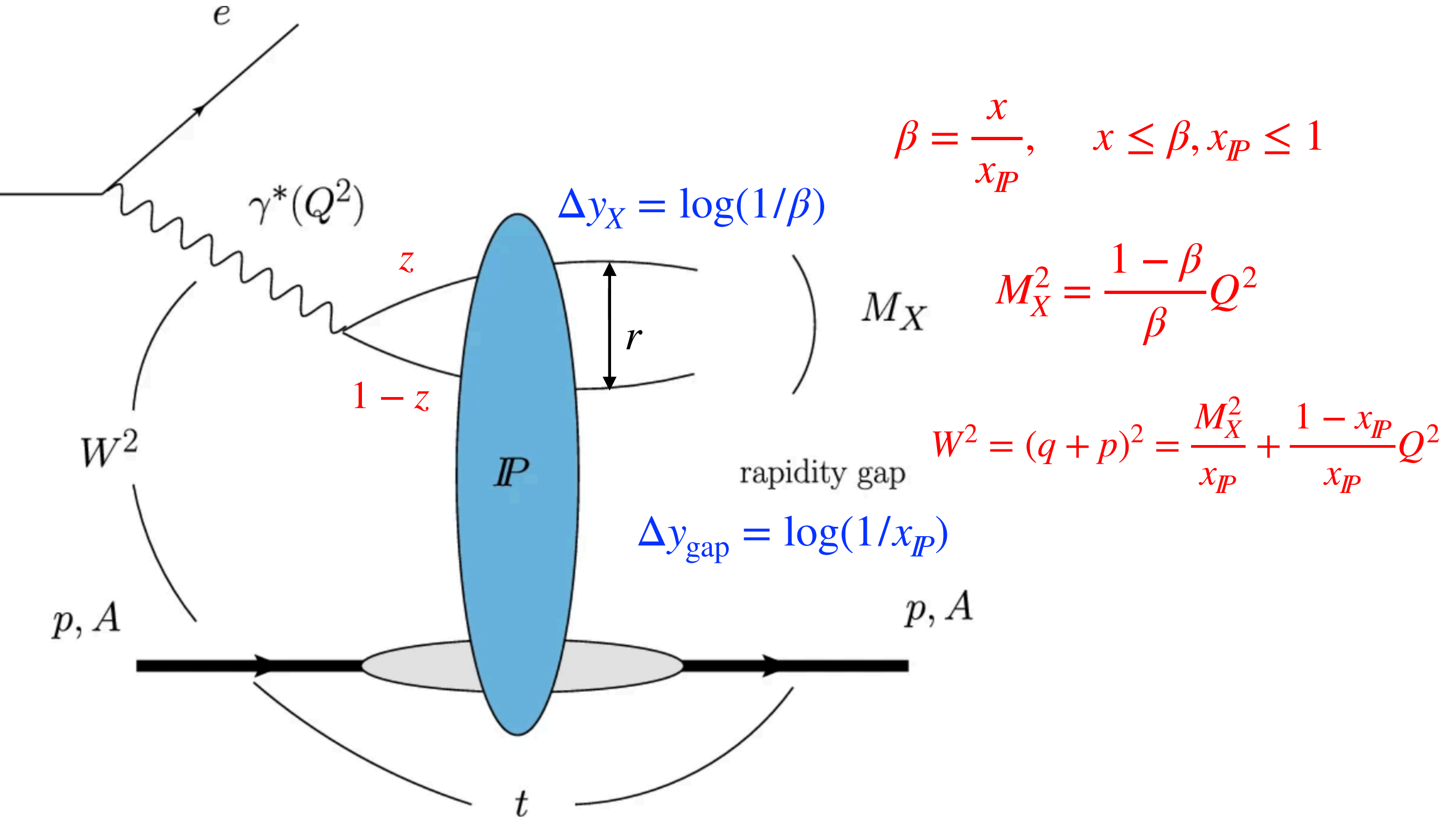
$$\frac{F_{2,A}^D}{AF_{2,p}^D}$$



Part 1:

Calculating the cross sections and Structure Functions

Inclusive Diffraction at small x

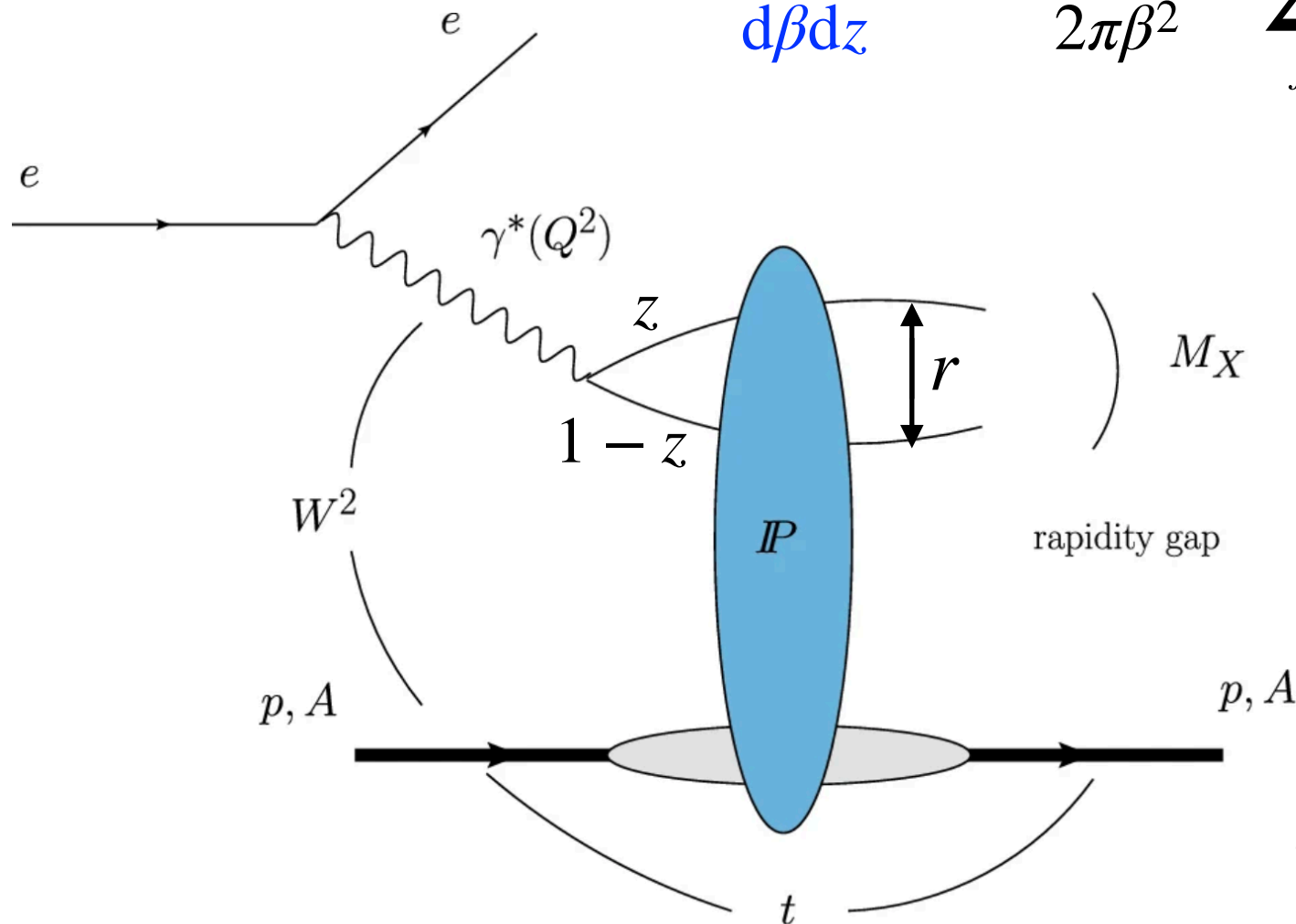


Inclusive Diffraction at small x

$$\frac{d^4\sigma_{T,L}^{ep}}{dQ^2 dW^2 d\beta dz} = \frac{dN_{\gamma T,L}}{dQ^2 dW^2} \frac{d^2\sigma_{T,L}^{\gamma^*p}}{d\beta dz}$$

$$\frac{d^2\sigma_{q\bar{q},T}^{\gamma^*p}}{d\beta dz} = \frac{N_C Q^2 \alpha_{\text{EM}}}{8\pi\beta^2} \sum_f e_f^2 z(1-z) [\epsilon^2(z^2 + (1-z)^2) \Phi_1 + m_f^2 \Phi_0]$$

$$\frac{d^2\sigma_{q\bar{q},L}^{\gamma^*p}}{d\beta dz} = \frac{N_C Q^4 \alpha_{\text{EM}}}{2\pi\beta^2} \sum_f e_f^2 z^3(1-z)^3 \Phi_0$$

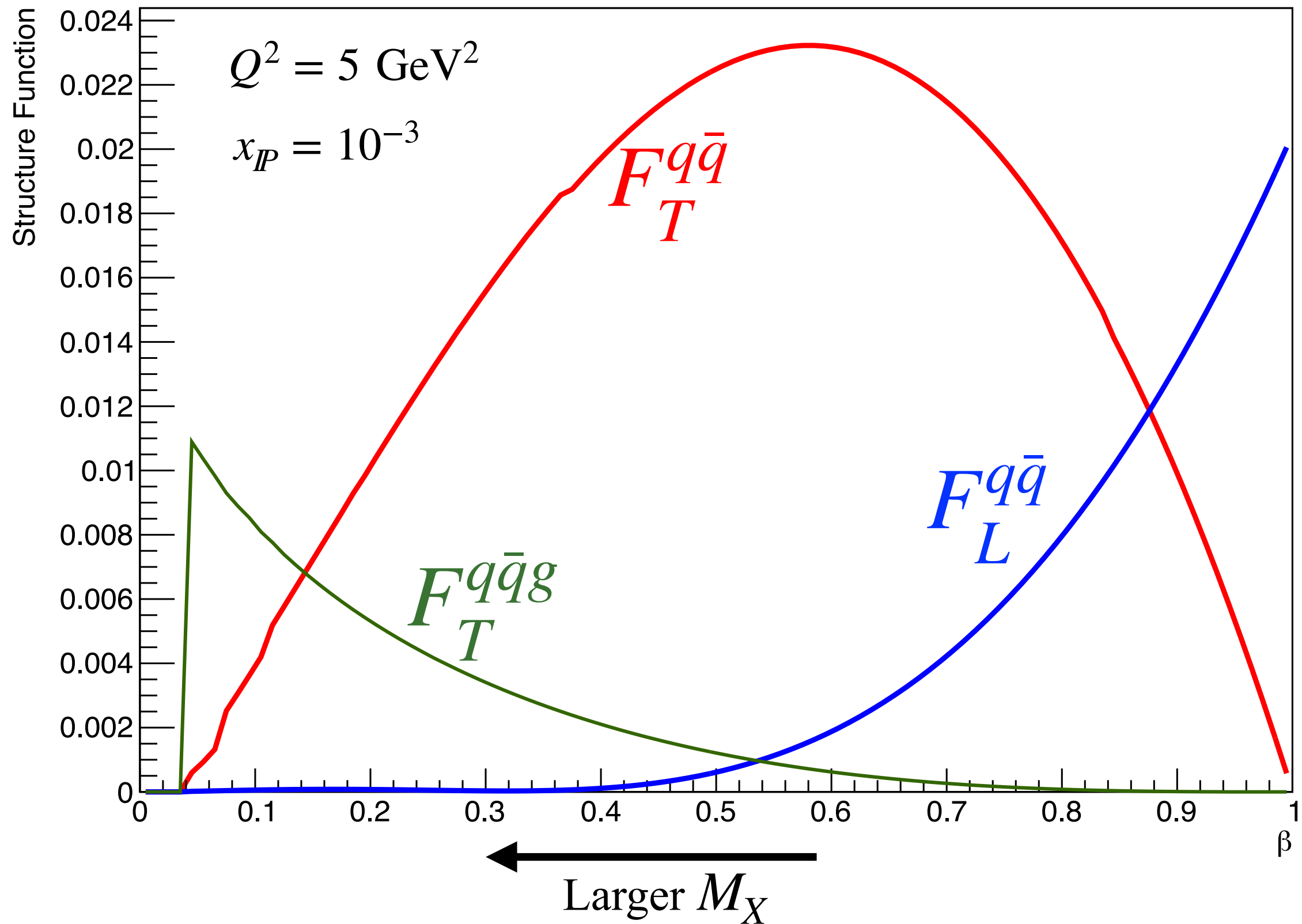


$$\Phi_{0,1} = \int d^2\vec{b} |\mathcal{A}_{0,1}|^2$$

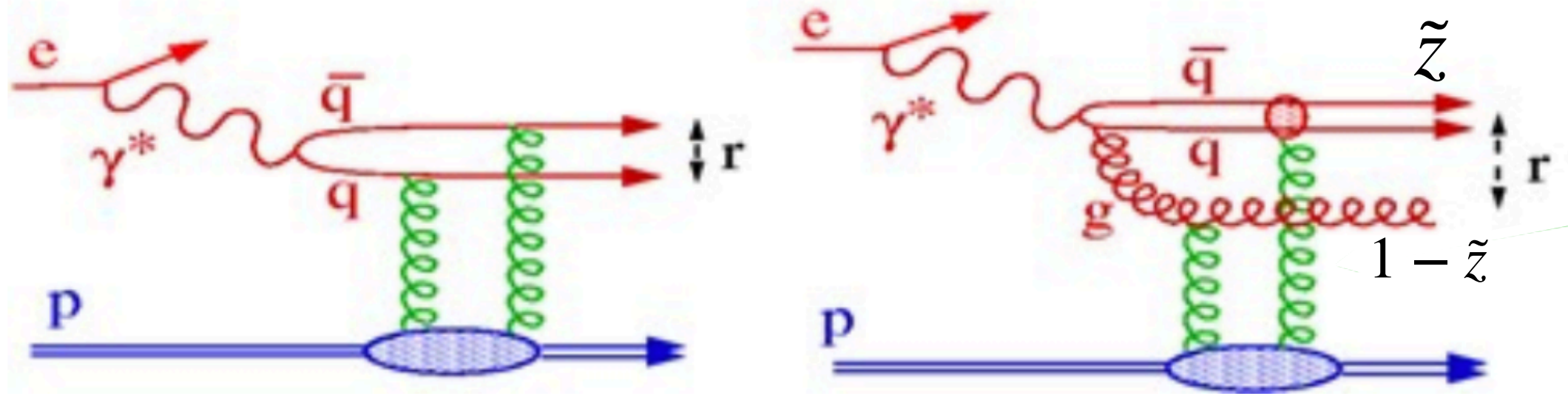
$$\mathcal{A}_{0,1} = \int_0^\infty r dr K_{0,1}(\epsilon r) J_{0,1}(kr) \frac{d\sigma_{q\bar{q}}}{d^2b}$$

$$\epsilon^2 = z(1-z)Q^2 + m_f^2 \quad k^2 = z(1-z)M_X^2 - m_f^2$$

Inclusive Diffraction at small x



Inclusive Diffraction at small x



Marta Ruspa, DIS2004

$$\frac{d^2 \sigma_{q\bar{q}g,T}^{\gamma^* p}}{d\beta d\tilde{z}} = \frac{\alpha_S \alpha_{\text{EM}}}{2\pi^2 Q^2} \left[\left(1 - \frac{\beta}{\tilde{z}}\right)^2 + \left(\frac{\beta}{\tilde{z}}\right)^2 \right] \sum_f e_f^2 \Phi_{q\bar{q}g}$$

$$\Phi_{q\bar{q}g} = \int d^2 \vec{b} \int_0^{Q^2} d\kappa^2 \kappa^4 \ln \frac{Q^2}{\kappa^2} |\mathcal{A}_{q\bar{q}g}|^2$$

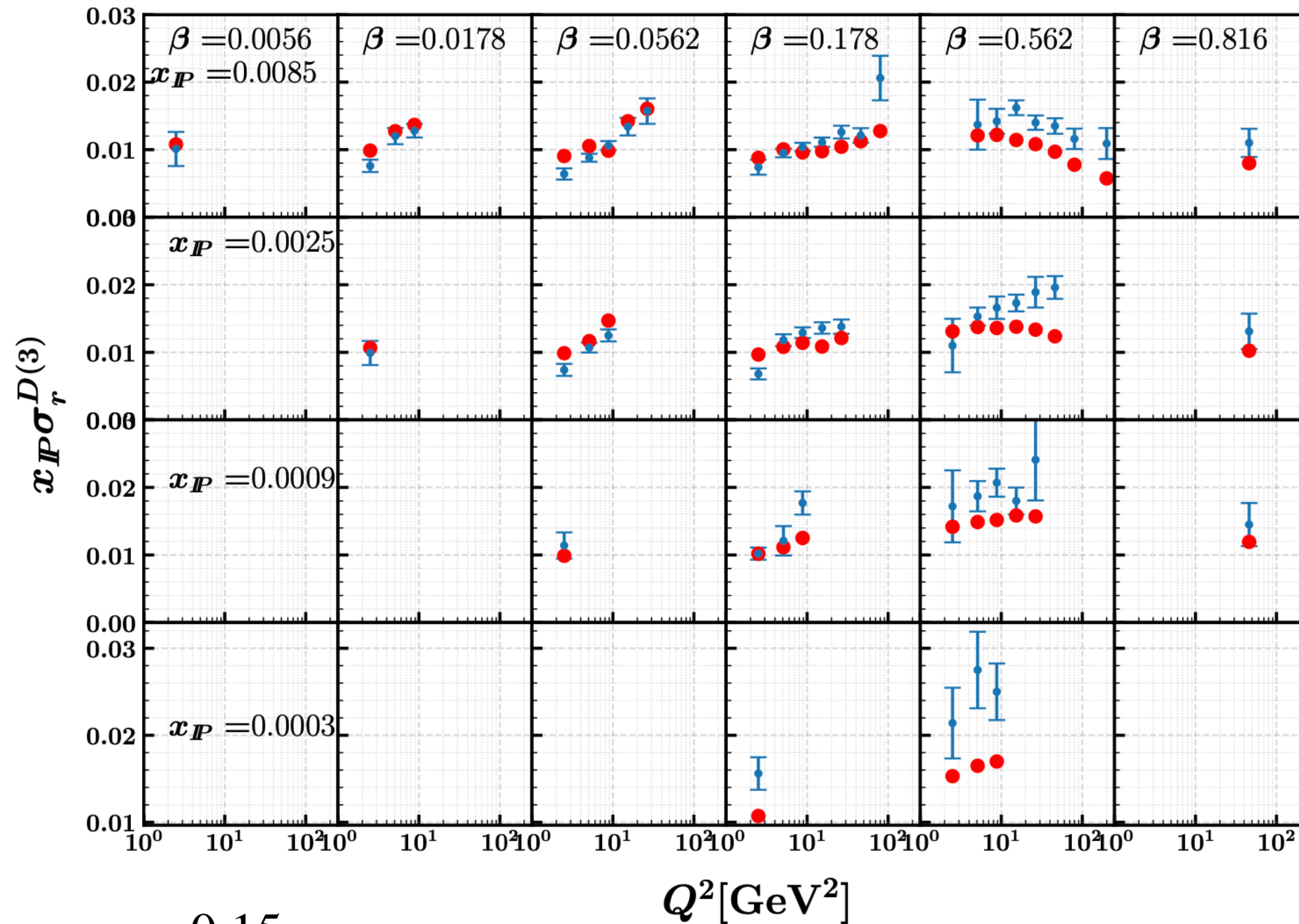
$$\mathcal{A}_{q\bar{q}g} = \int_0^\infty r dr K_2(\sqrt{\tilde{z}} \kappa r) J_2(\sqrt{1 - \tilde{z}} \kappa r) \frac{d\sigma_{gg}}{d^2 b} \quad \frac{d\sigma_{gg}}{d^2 b} = 2 \left[1 - \left(1 - \frac{1}{2} \frac{d\sigma_{q\bar{q}}}{d^2 b} \right)^2 \right]$$

Inclusive Diffraction at small x

H1 and ZEUS

H1 and ZEUS Eur. Phys. J. C (2012) 72:2175

$0.09 \leq |t| \leq 0.55 [\text{GeV}]^2$



$\alpha_S = 0.15$

Work by Jaswant Singh

Inclusive Diffraction in Sartre

Two Event Generators: $q\bar{q}$ and $q\bar{q}g$ final states (1 generator for users).

$q\bar{q}$ -generator: Generate β , Q^2 , W^2 , and z from differential cross-section.

$q\bar{q}g$ -generator: \tilde{z} instead of z

$$\frac{d^4\sigma_{T,L}^{ep}}{dQ^2 dW^2 d\beta dz} = \frac{dN_{\gamma T,L}}{dQ^2 dW^2} \frac{d^2\sigma_{T,L}^{\gamma^*p}}{d\beta dz}$$

Calculate an exclusive final state from these variables.

Create 4D in (Q^2, W^2, β, z) lookup tables for cross-sections, one for each quark flavour

=>12 for each initial state (using 4 flavours)

$$\frac{d^2\sigma_{q\bar{q},T}^{\gamma^*p}}{d\beta dz} = \frac{N_C Q^2 \alpha_{\text{EM}}}{8\pi\beta^2} \sum_f e_f^2 z(1-z) [\epsilon^2(z^2 + (1-z)^2) \Phi_1 + m_f^2 \Phi_0]$$

$$\frac{d^2\sigma_{q\bar{q},L}^{\gamma^*p}}{d\beta dz} = \frac{N_C Q^4 \alpha_{\text{EM}}}{2\pi\beta^2} \sum_f e_f^2 z^3(1-z)^3 \Phi_0$$

$$\frac{d^2\sigma_{q\bar{q}g,T}^{\gamma^*p}}{d\beta d\tilde{z}} = \frac{\alpha_S \alpha_{\text{EM}}}{2\pi^2 Q^2} \left[\left(1 - \frac{\beta}{\tilde{z}}\right)^2 + \left(\frac{\beta}{\tilde{z}}\right)^2 \right] \sum_f e_f^2 \Phi_{q\bar{q}g}$$

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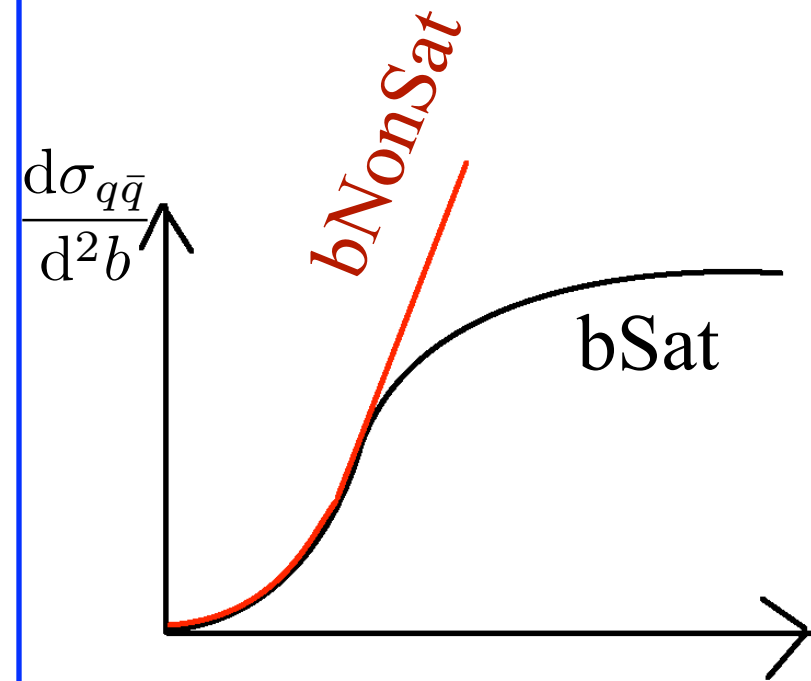
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$$\frac{d^2\sigma_{q\bar{q}g,T}^{\gamma^*p}}{d\beta d\tilde{z}} = \frac{\alpha_S \alpha_{\text{EM}}}{2\pi^2 Q^2} \left[\left(1 - \frac{\beta}{\tilde{z}}\right)^2 + \left(\frac{\beta}{\tilde{z}}\right)^2 \right] \sum_f e_f^2 \Phi_{q\bar{q}g}$$

Inclusive Diffraction in Sartre

$$\mu^2 = \frac{C}{r^2} + \mu_0^2$$

$$T_p(b) = \frac{1}{2\pi B_G} e^{-\frac{b^2}{2B_G}}$$



$$\frac{d\sigma_{q\bar{q}}^{\text{bNonSat}}}{d^2b} = \frac{\pi^2}{N_C} r^2 \alpha_S(\mu^2) x g(x, \mu^2) T(b)$$

$$\frac{d\sigma_{q\bar{q}}^{\text{bSat}}}{d^2b} = 2 \left[1 - \exp \left(-\frac{\pi^2}{2N_C} r^2 \alpha_S(\mu^2) x g(x, \mu^2) T(b) \right) \right]$$

H. Kowalski, L. Motyka, G. Watt, Phys.Rev.D 74 (2006) 074016, arXiv: hep-ph/0606272

$$\frac{d^2\sigma_{q\bar{q},L}^{\gamma^*p}}{d\beta dz} = \frac{N_C Q^4 \alpha_{\text{EM}}}{2\pi\beta^2} \sum_f e_f^2 z^3 (1-z)^3 \Phi_0 \quad \mathcal{A}_{0,1} = \int_0^\infty r dr K_{0,1}(\epsilon r) J_{0,1}(kr) \frac{d\sigma_{q\bar{q}}}{d^2b}$$

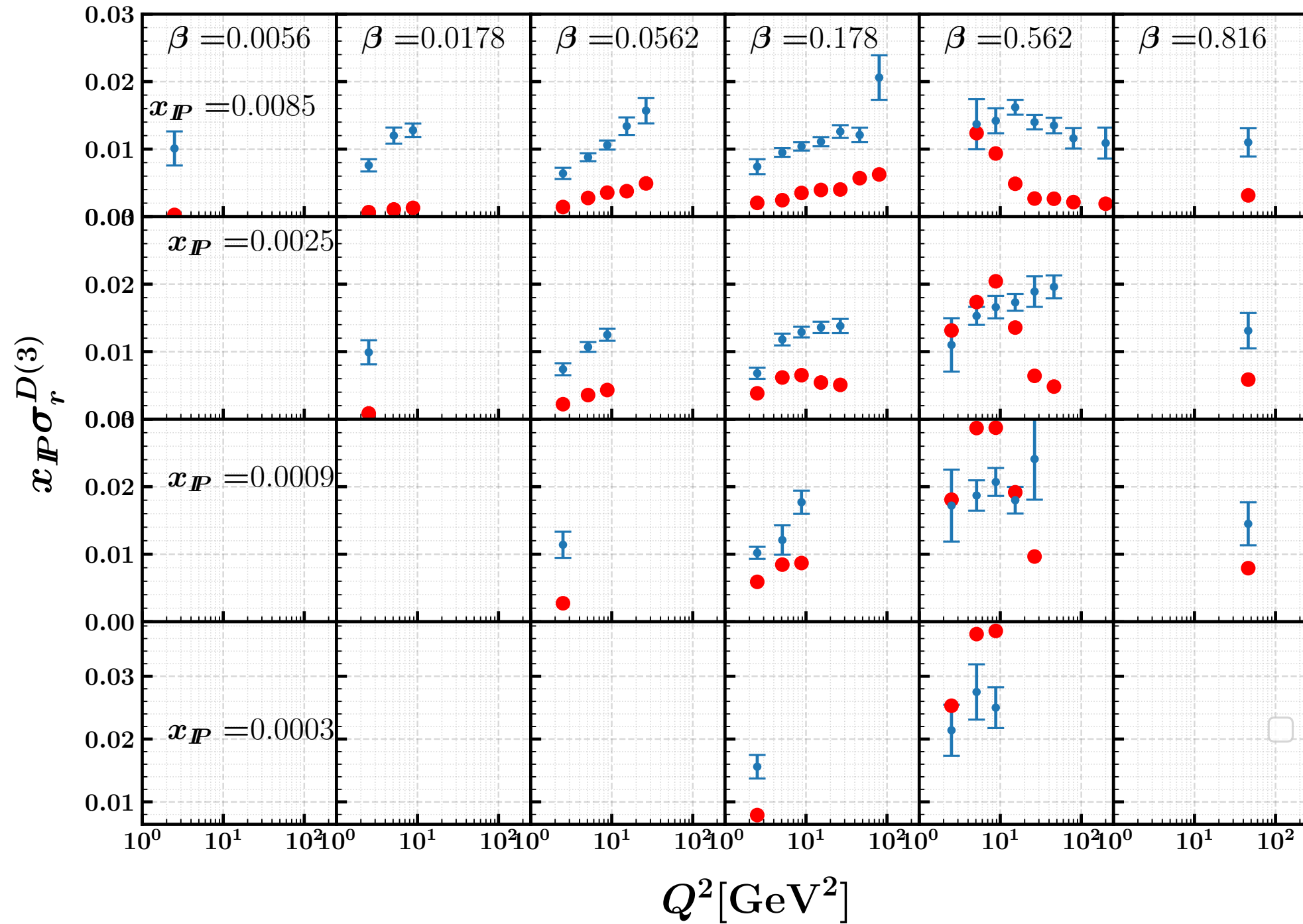
$$\frac{d^2\sigma_{q\bar{q}g,T}^{\gamma^*p}}{d\beta d\tilde{z}} = \frac{\alpha_S \alpha_{\text{EM}}}{2\pi^2 Q^2} \left[\left(1 - \frac{\beta}{\tilde{z}} \right)^2 + \left(\frac{\beta}{\tilde{z}} \right)^2 \right] \sum_f e_f^2 \Phi_{q\bar{q}g}$$

Cross-section Lookup Tables

H1 and ZEUS

H1 and ZEUS Eur. Phys. J. C (2012) 72:2175

$0.09 \leq |t| \leq 0.55[\text{GeV}]^2$



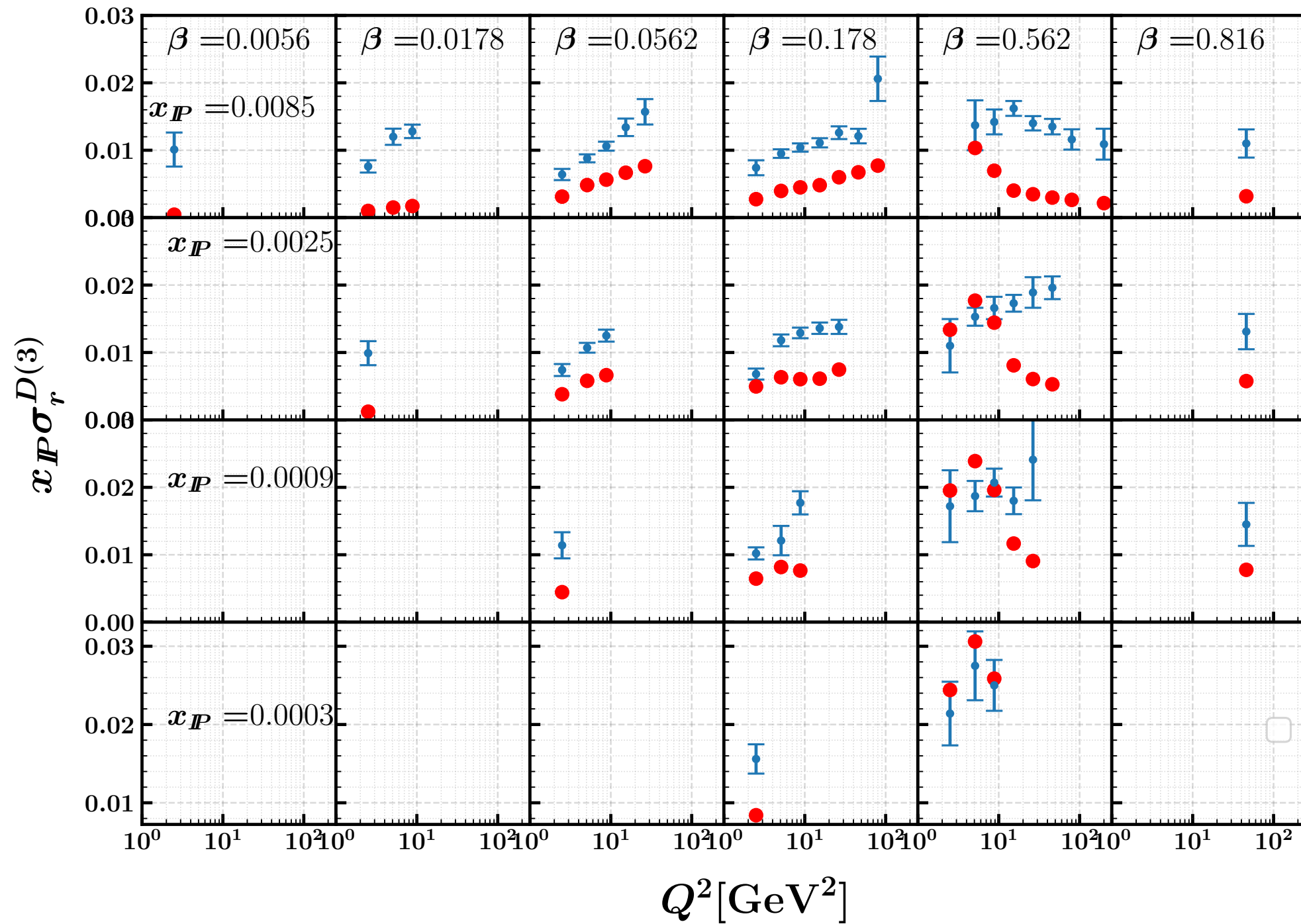
Result from tables with 10x10x10x10 bins

Cross-section Lookup Tables

H1 and ZEUS

H1 and ZEUS Eur. Phys. J. C (2012) 72:2175

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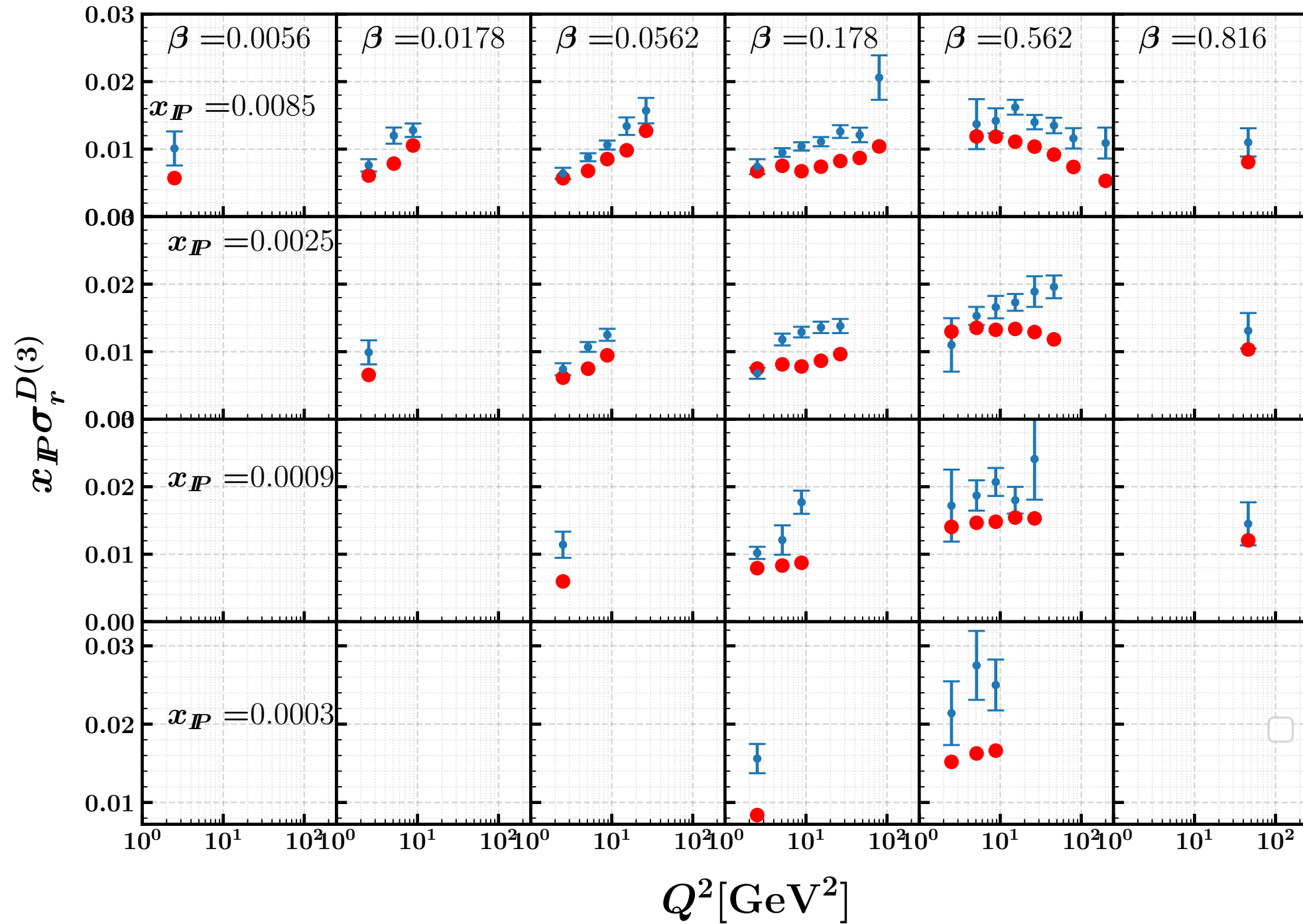
Result from tables with 17x17x17x17 bins

Cross-section Lookup Tables

H1 and ZEUS

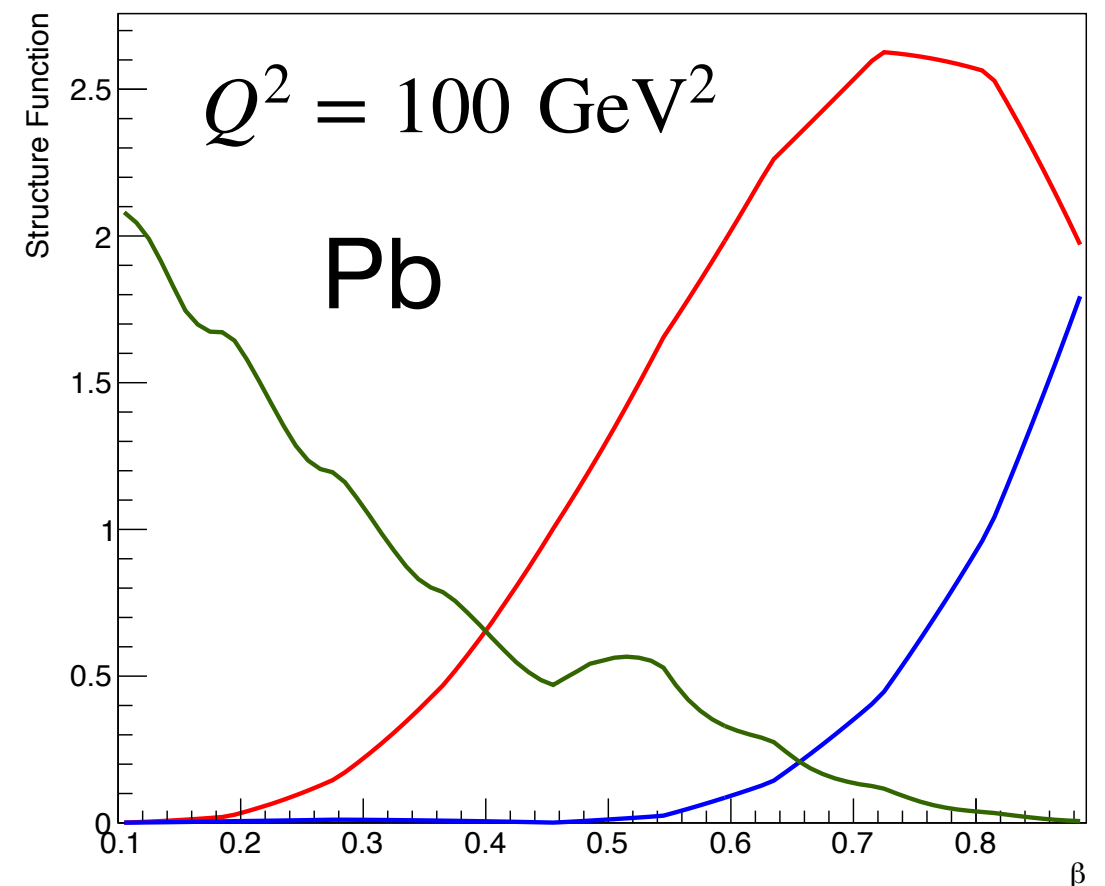
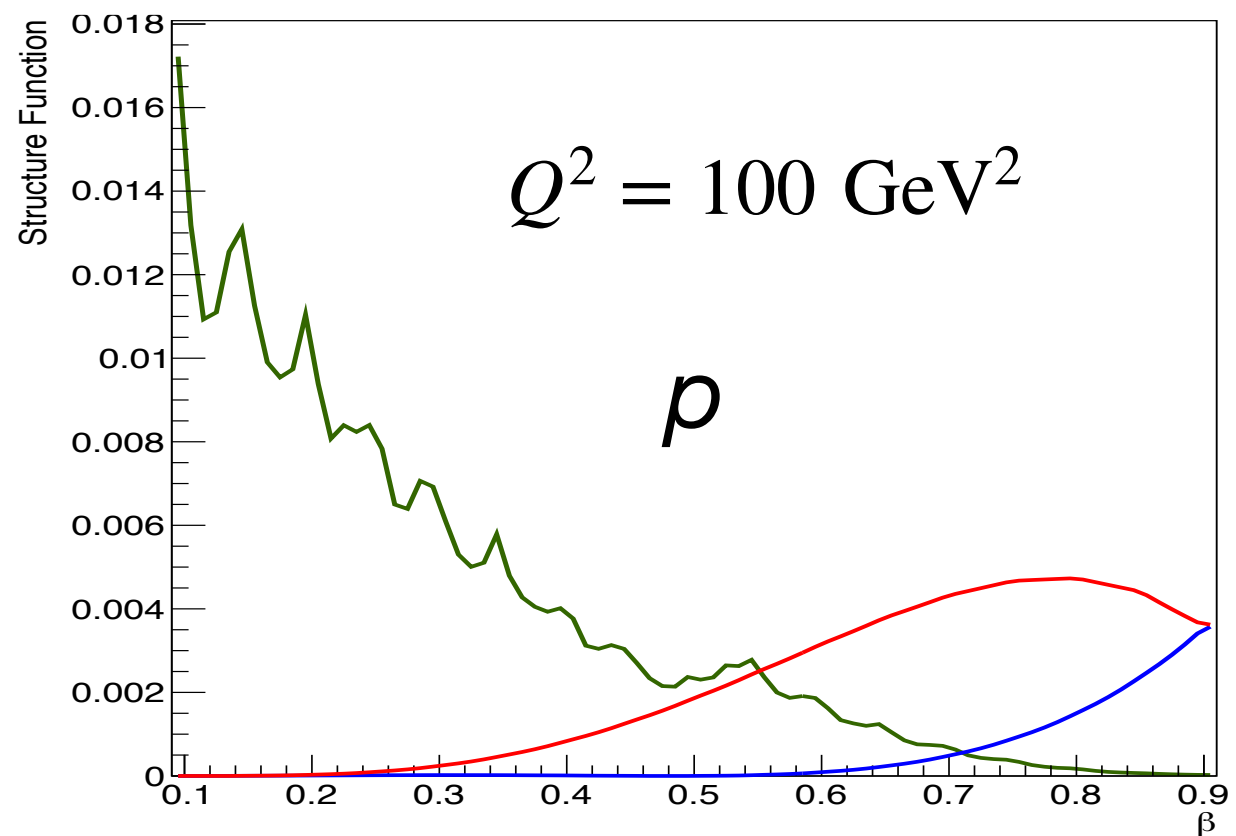
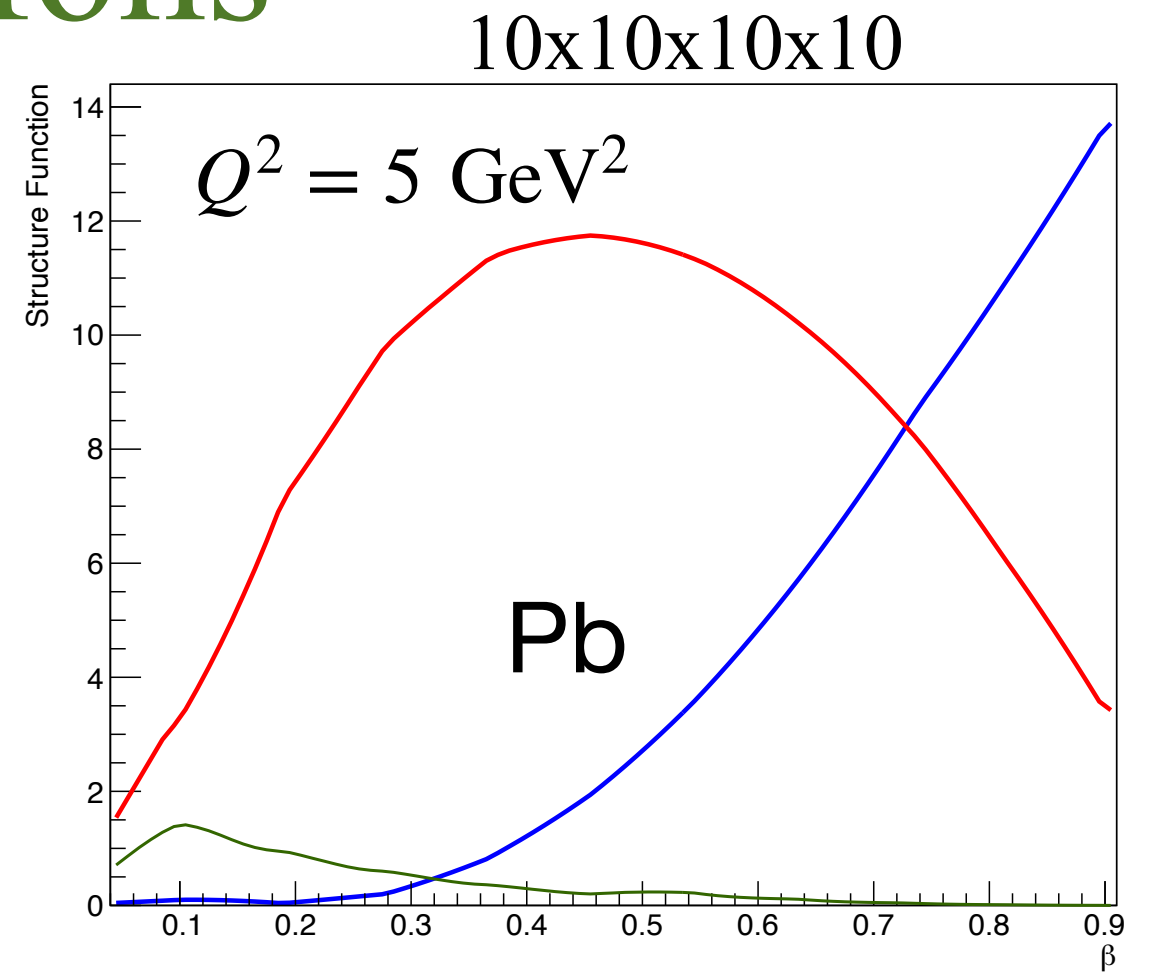
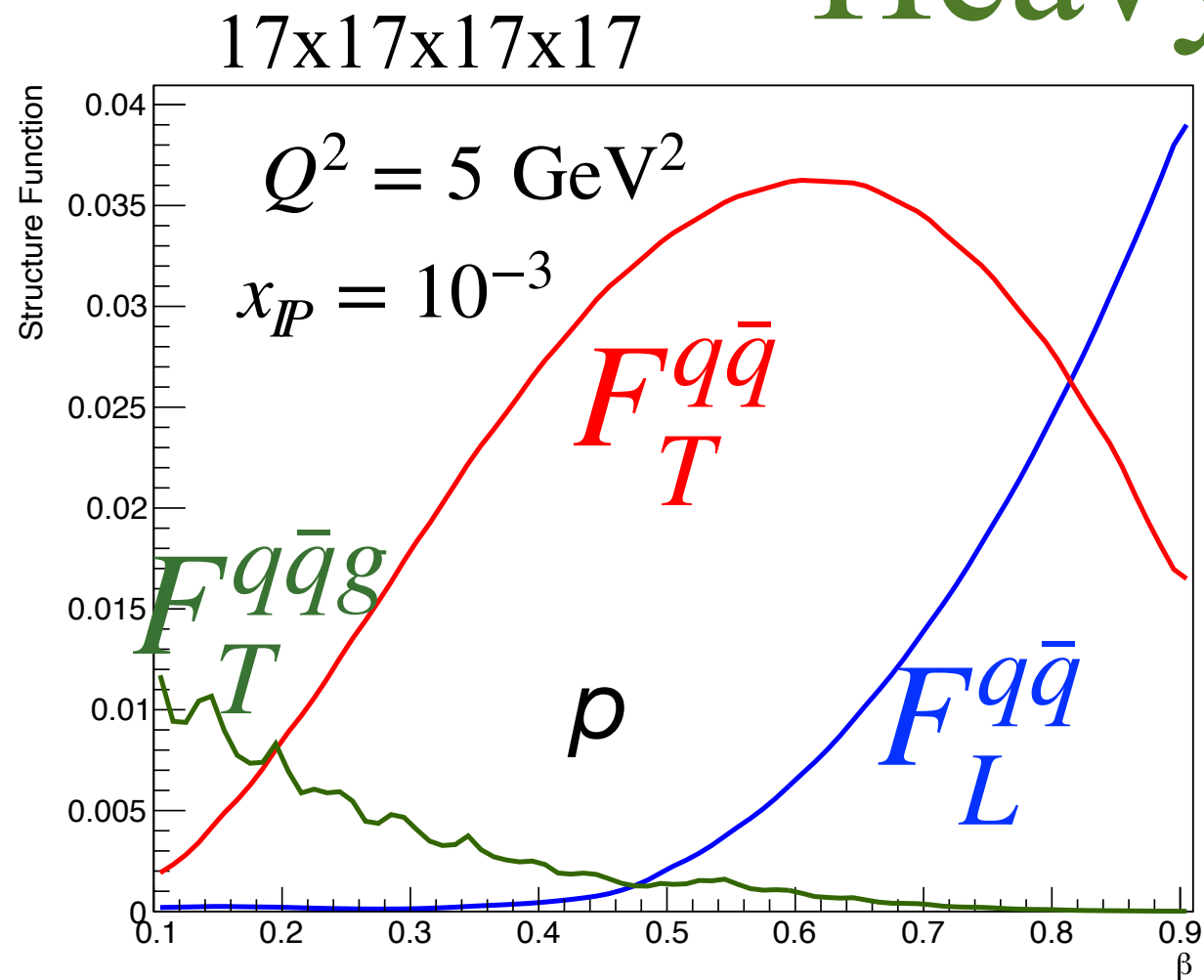
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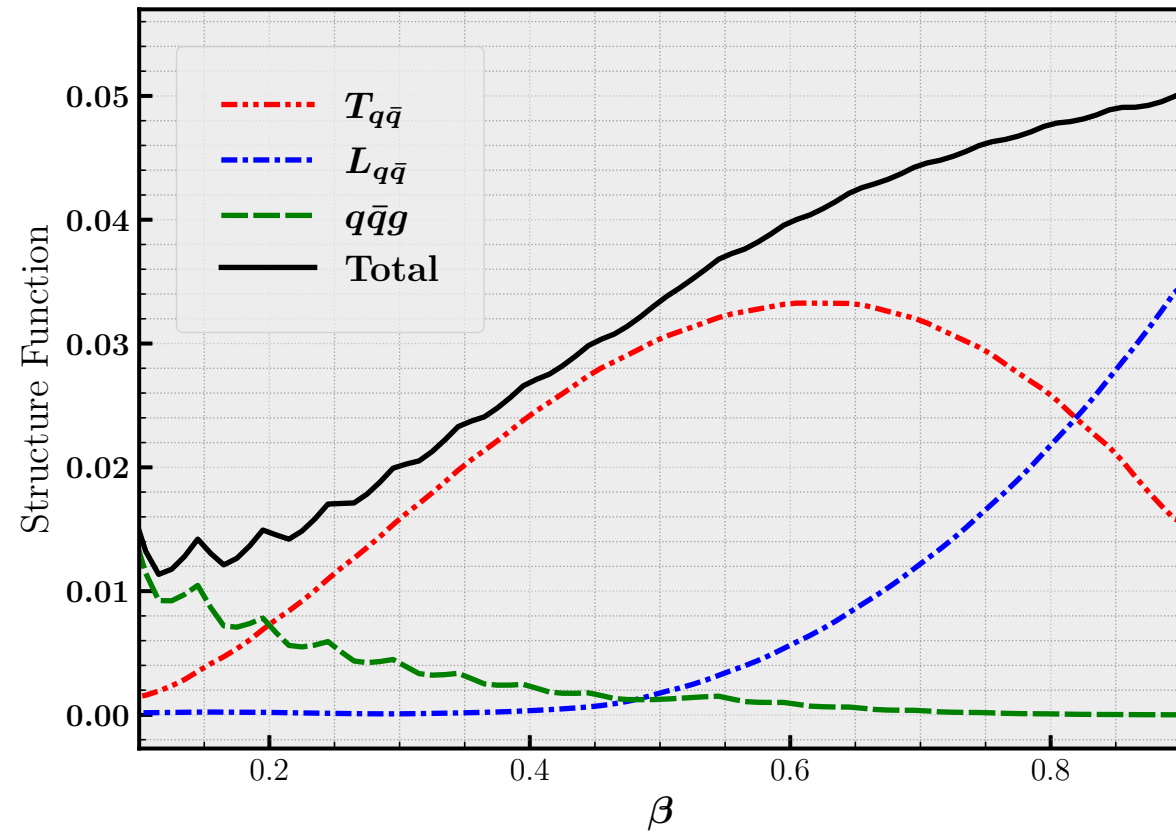


Result from integrals

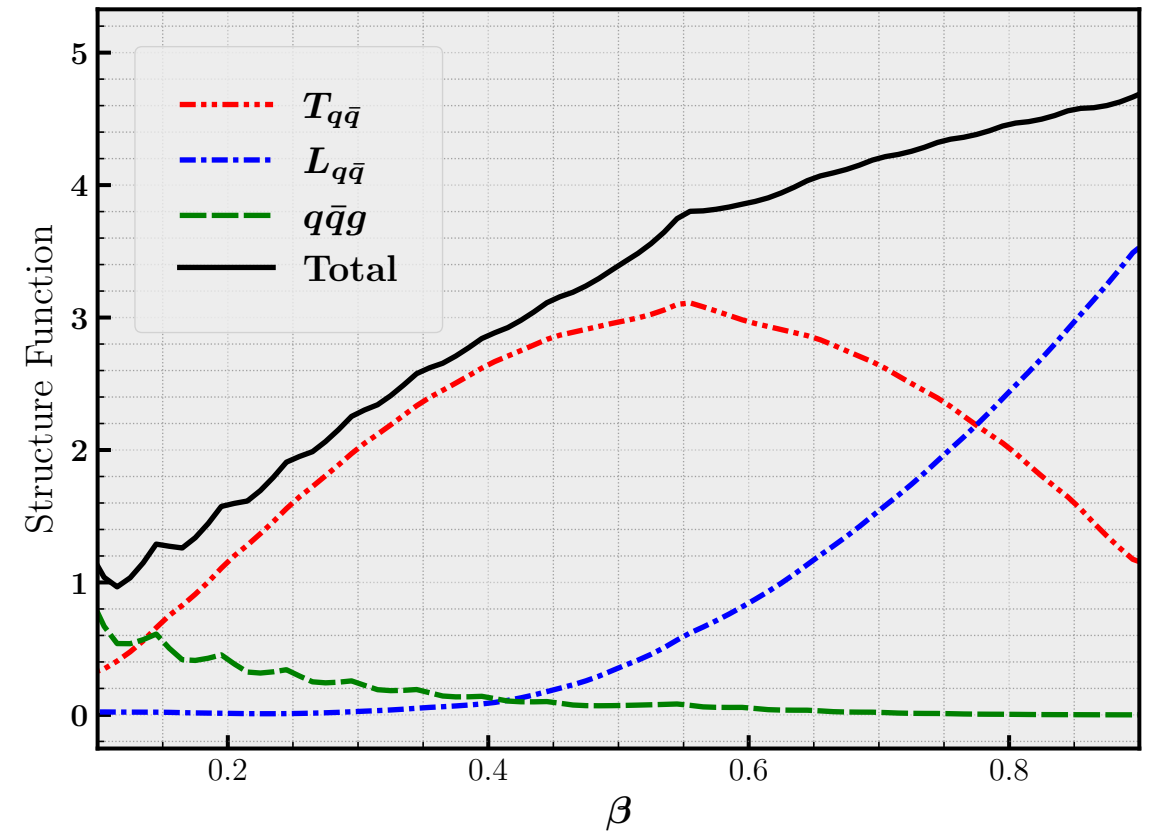
Heavy Ions



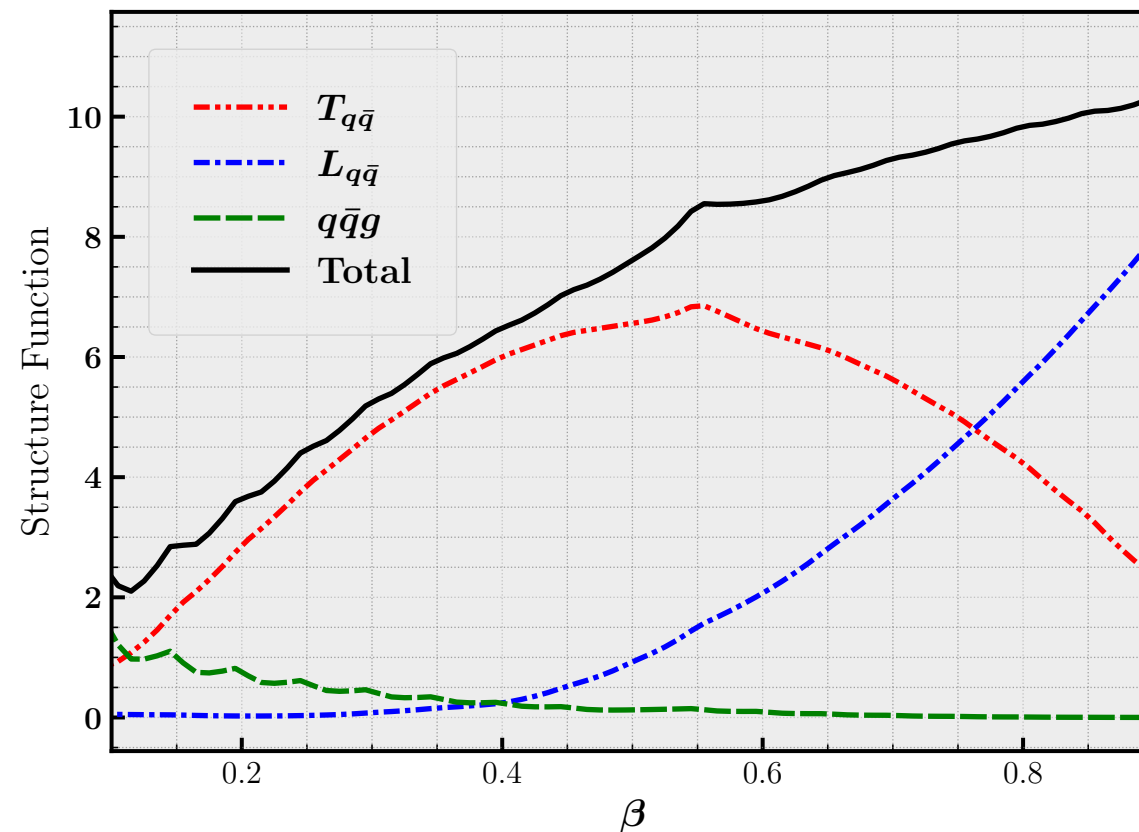
$^1\text{P}, Q^2 = 5[\text{GeV}^2], x_P = 10^{-3}$



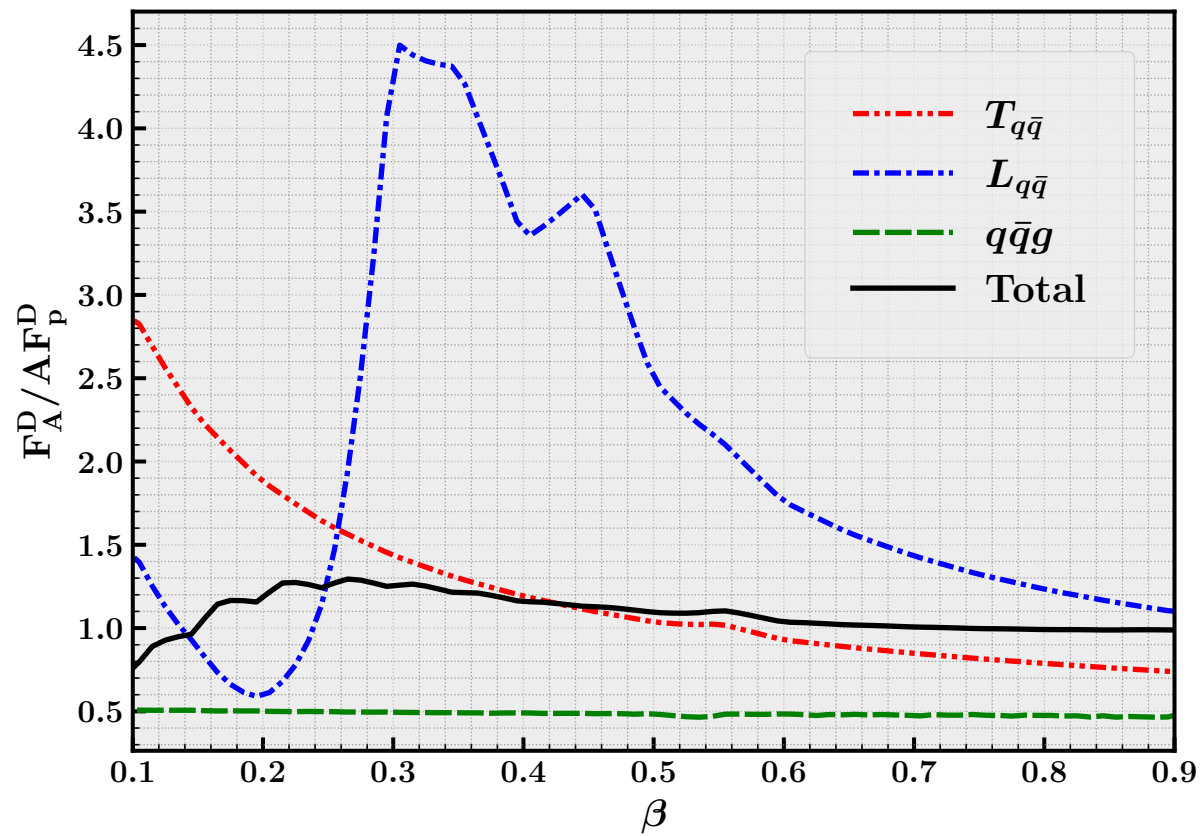
$^{94}\text{Ru}, Q^2 = 5[\text{GeV}^2], x_P = 10^{-3}$



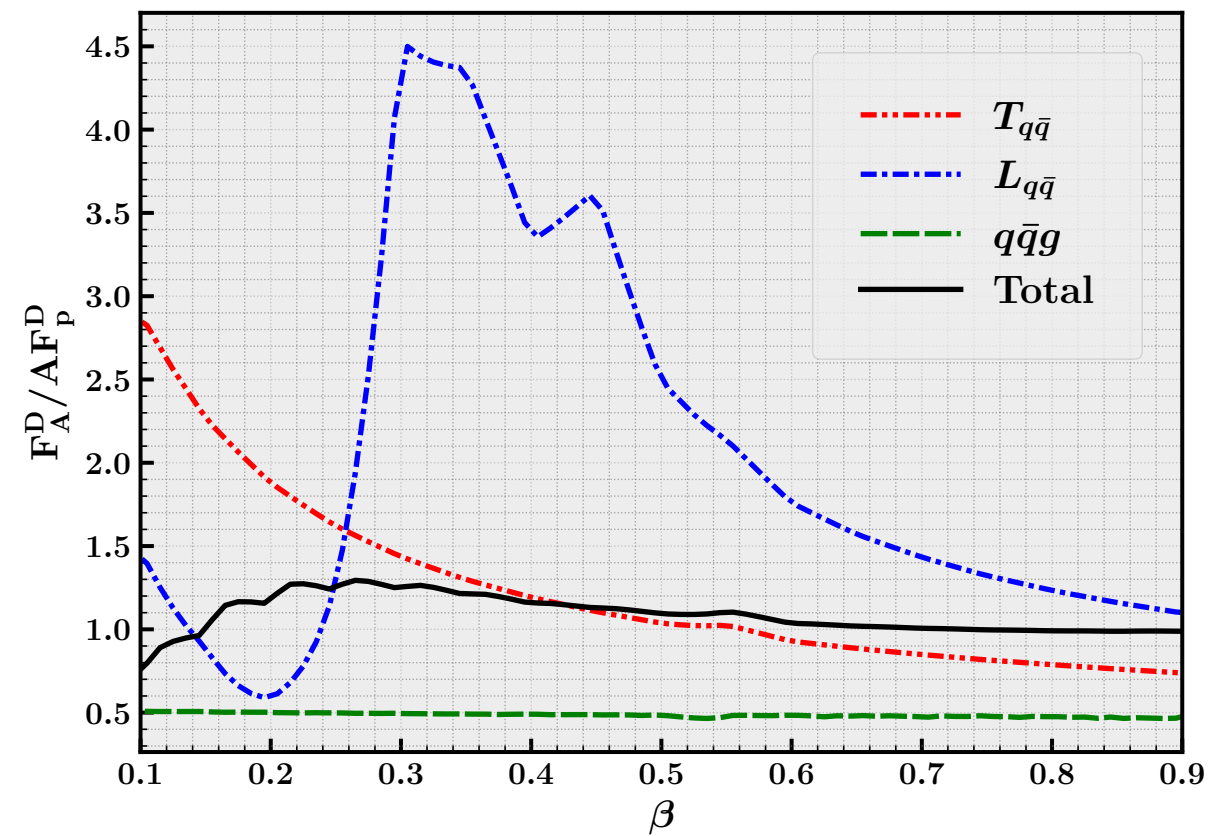
$^{197}\text{Au}, Q^2 = 5[\text{GeV}^2], x_P = 10^{-3}$



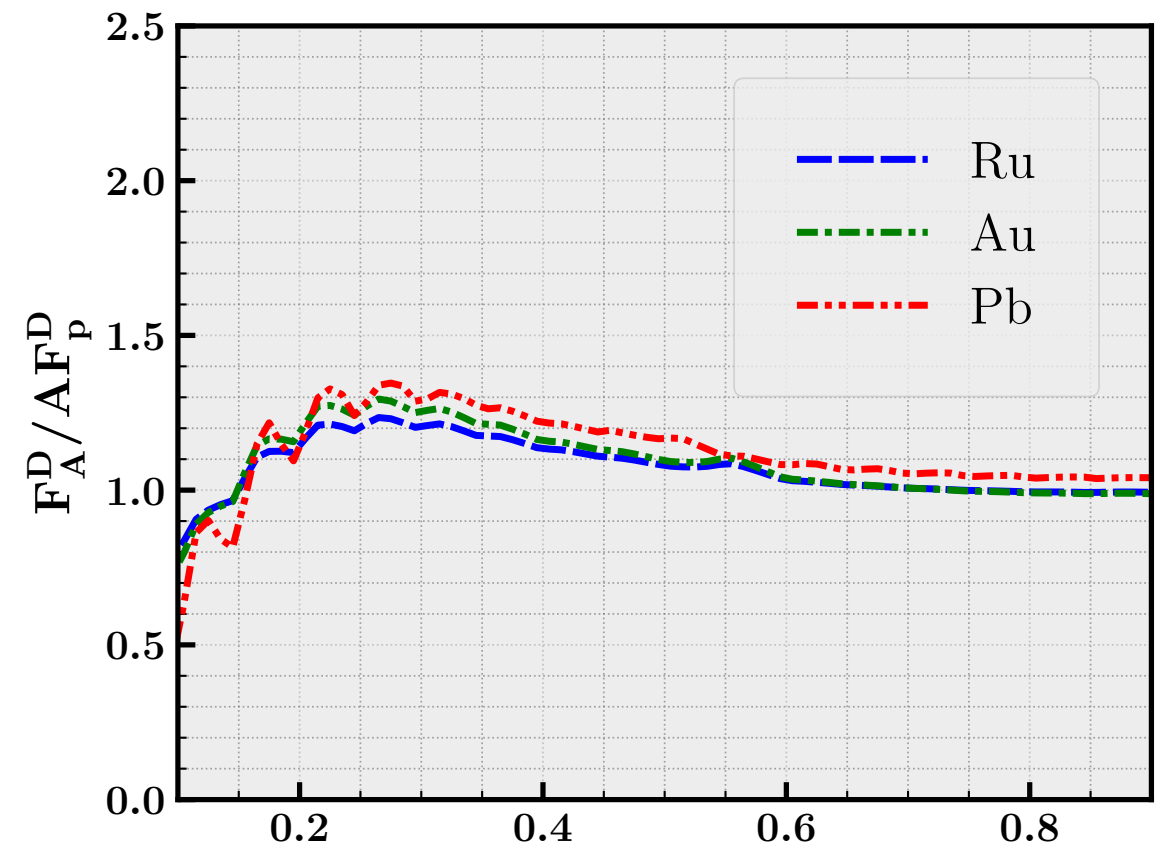
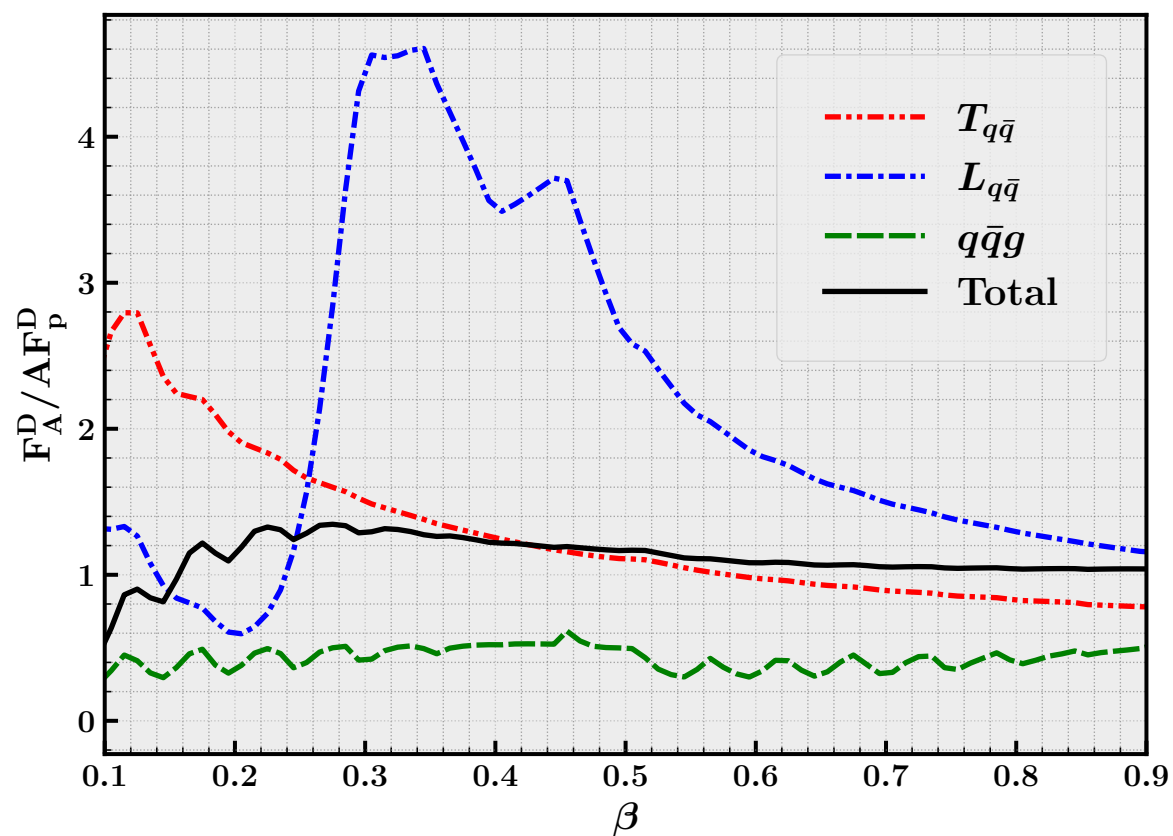
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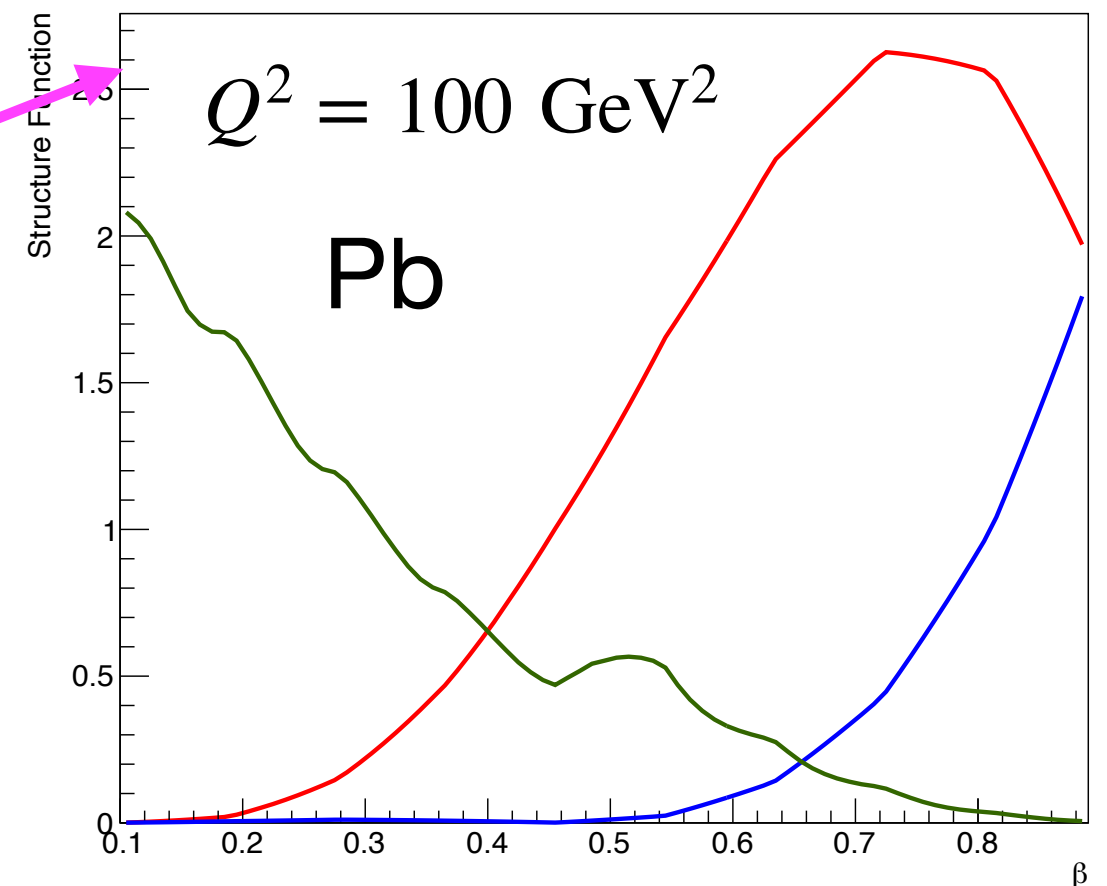
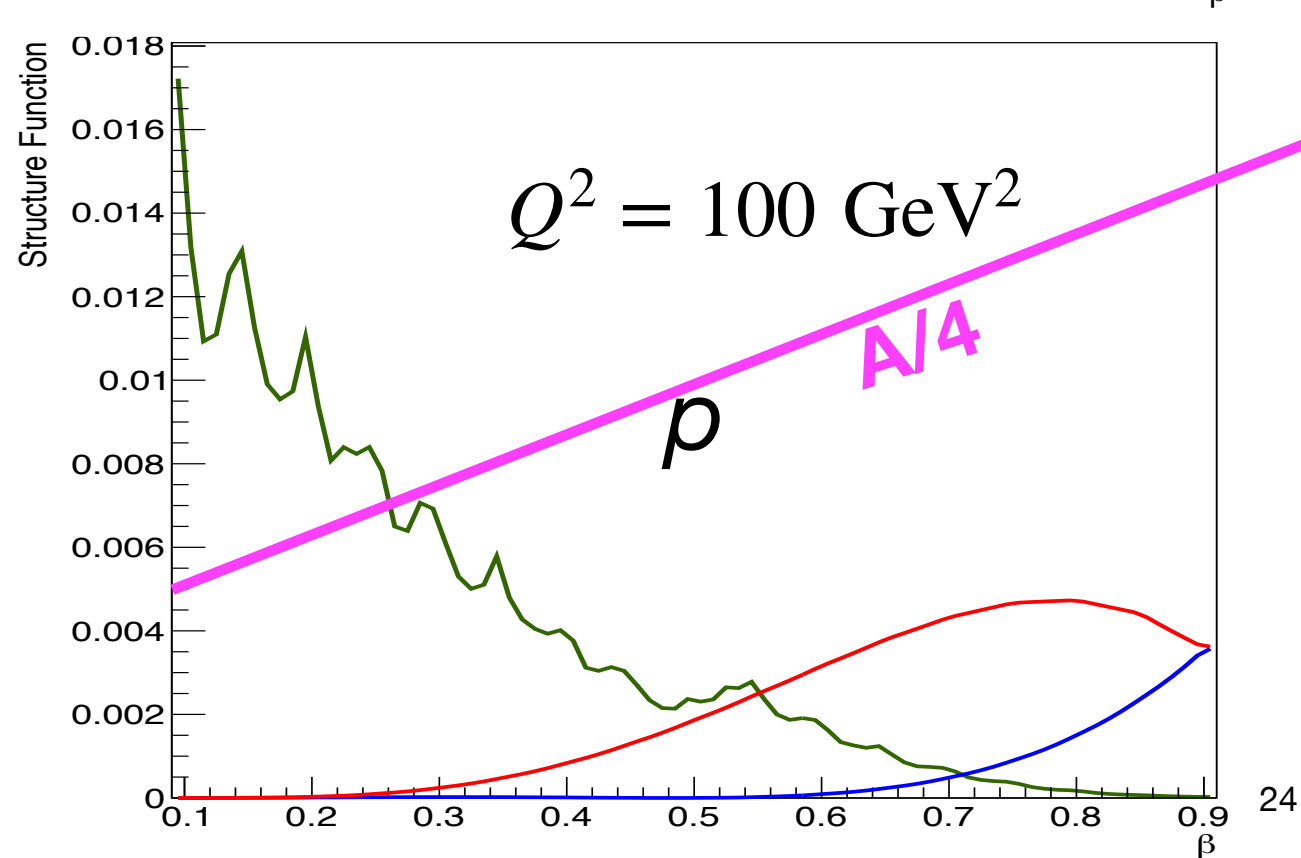
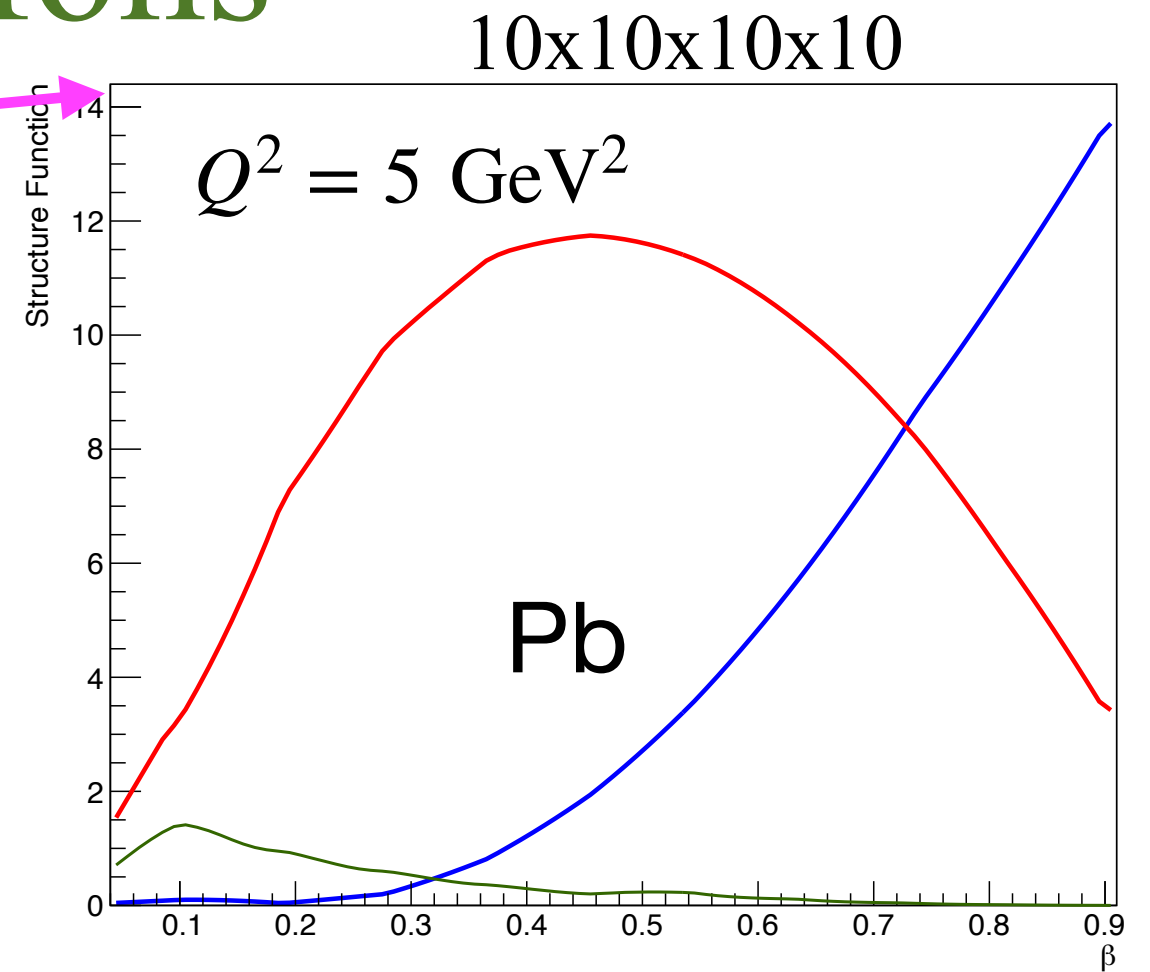
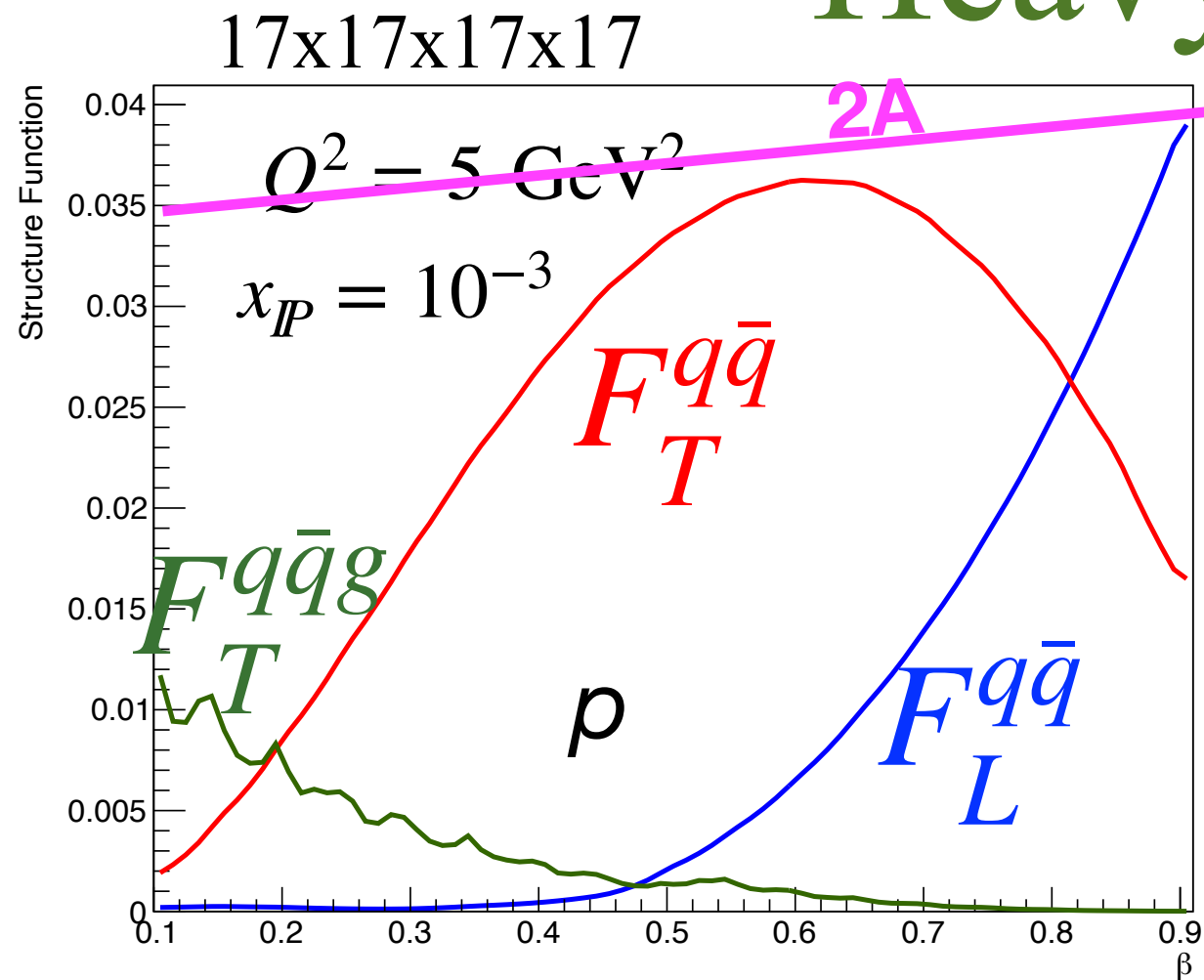
$^{197}\text{Au}, Q^2 = 5[\text{GeV}^2], x_P = 10^{-3}$



$^{208}\text{Pb}, Q^2 = 5[\text{GeV}^2], x_P = 10^{-3}$



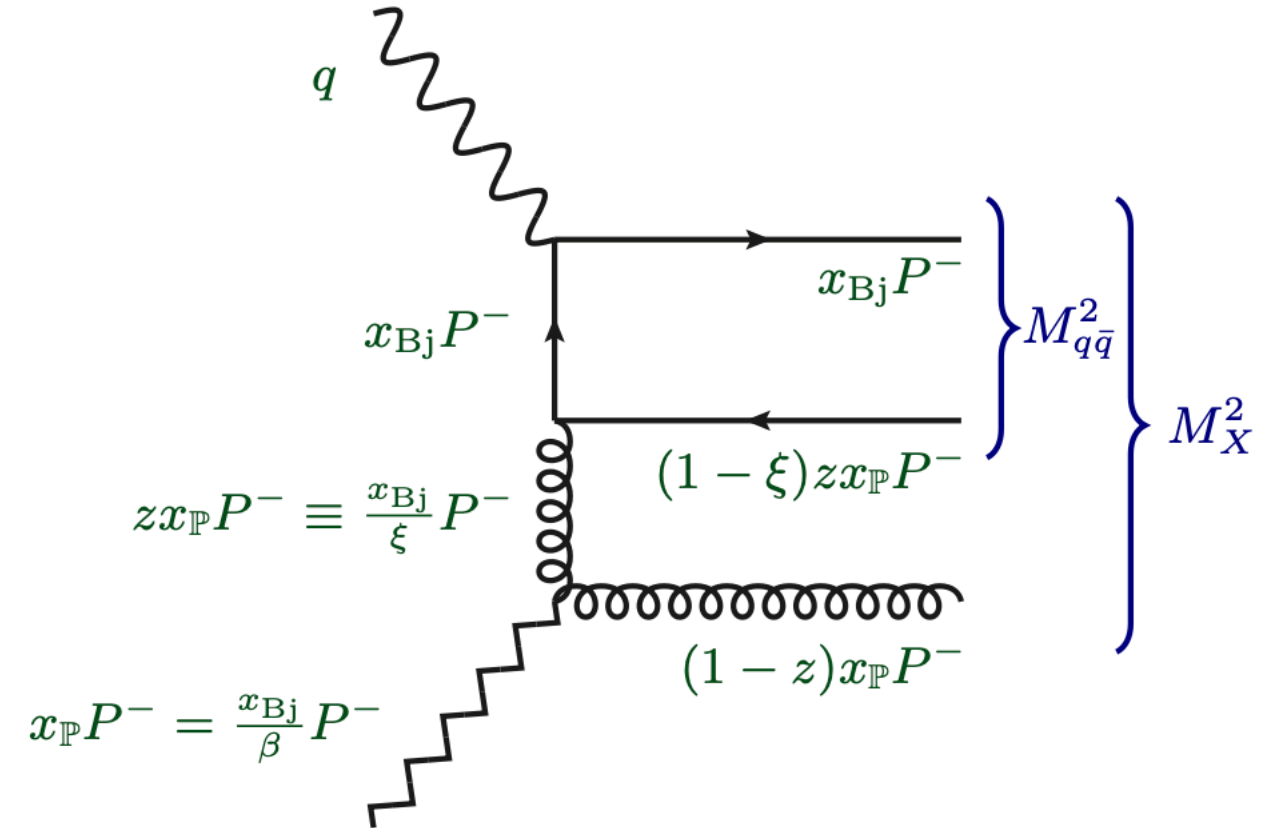
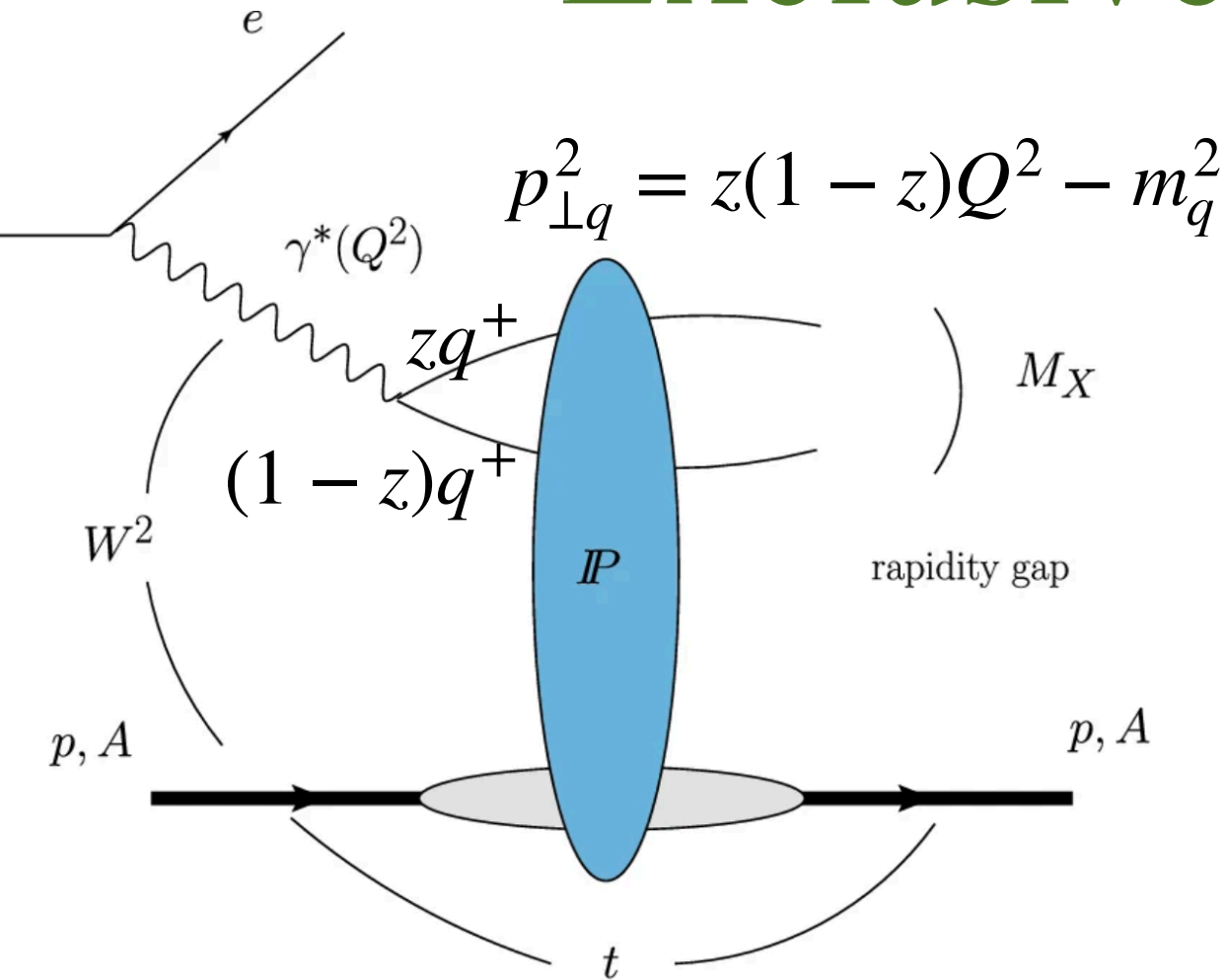
Heavy Ions



Part 1: Generating the Exclusive Final State

Exclusive Final State

Phys.Rev.D 106 (2022) 9, 094014, e-Print: 2206.13161



$$\frac{d^4\sigma_{T,L}^{ep}}{dQ^2 dW^2 d\beta dz} = \frac{dN_{\gamma T,L}}{dQ^2 dW^2} \frac{d^2\sigma_{T,L}^{\gamma^* p}}{d\beta dz}$$

$$\frac{d^2\sigma_{q\bar{q},T}^{\gamma^* p}}{d\beta dz} = \frac{N_C Q^2 \alpha_{EM}}{8\pi\beta^2} \sum_f e_f^2 z(1-z) [\epsilon^2(z^2 + (1-z)^2) \Phi_1 + m_f^2 \Phi_0]$$

$$\frac{d^2\sigma_{q\bar{q},T}^{\gamma^* p}}{d\beta d\tilde{z}} = \frac{\alpha_S \alpha_{EM}}{2\pi^2 Q^2} \left[\left(1 - \frac{\beta}{\tilde{z}}\right)^2 + \left(\frac{\beta}{\tilde{z}}\right)^2 \right] \sum_f e_f^2 \Phi_{q\bar{q}g}$$

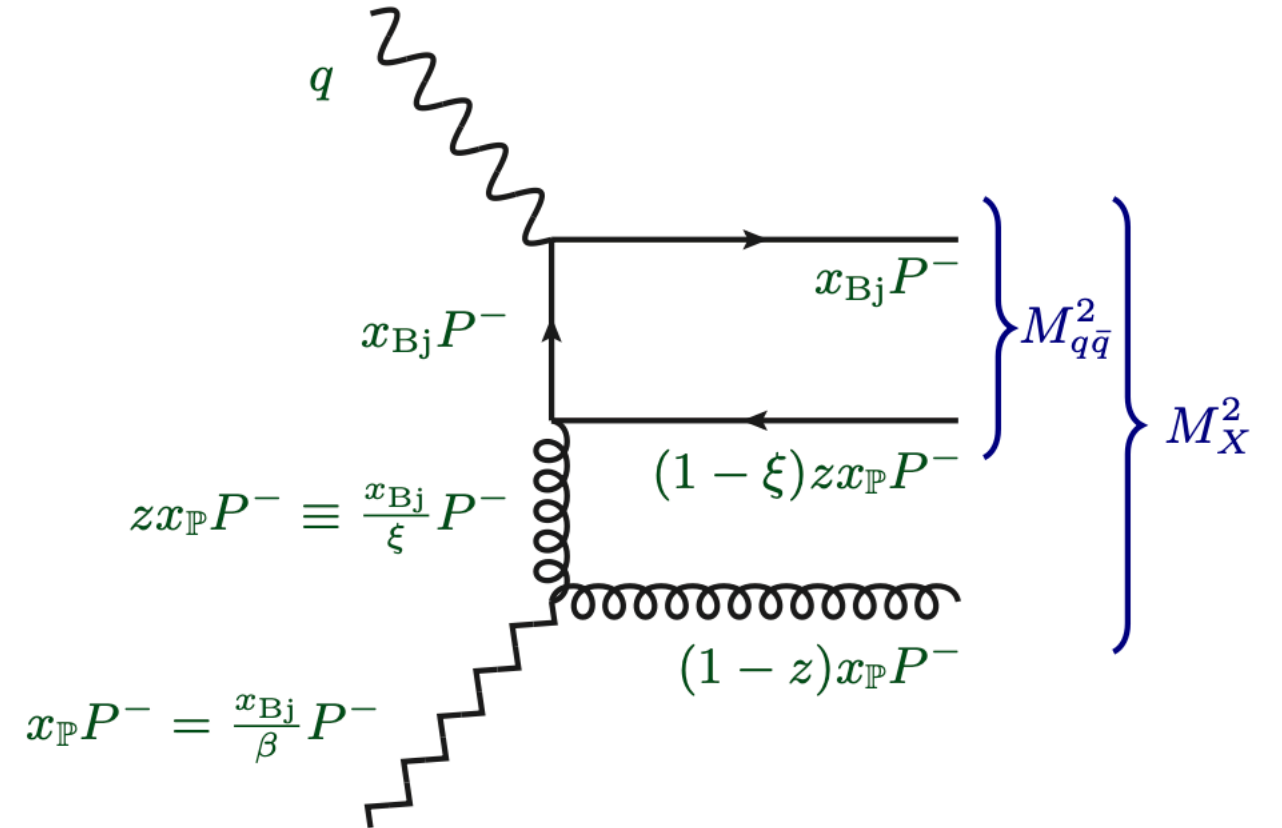
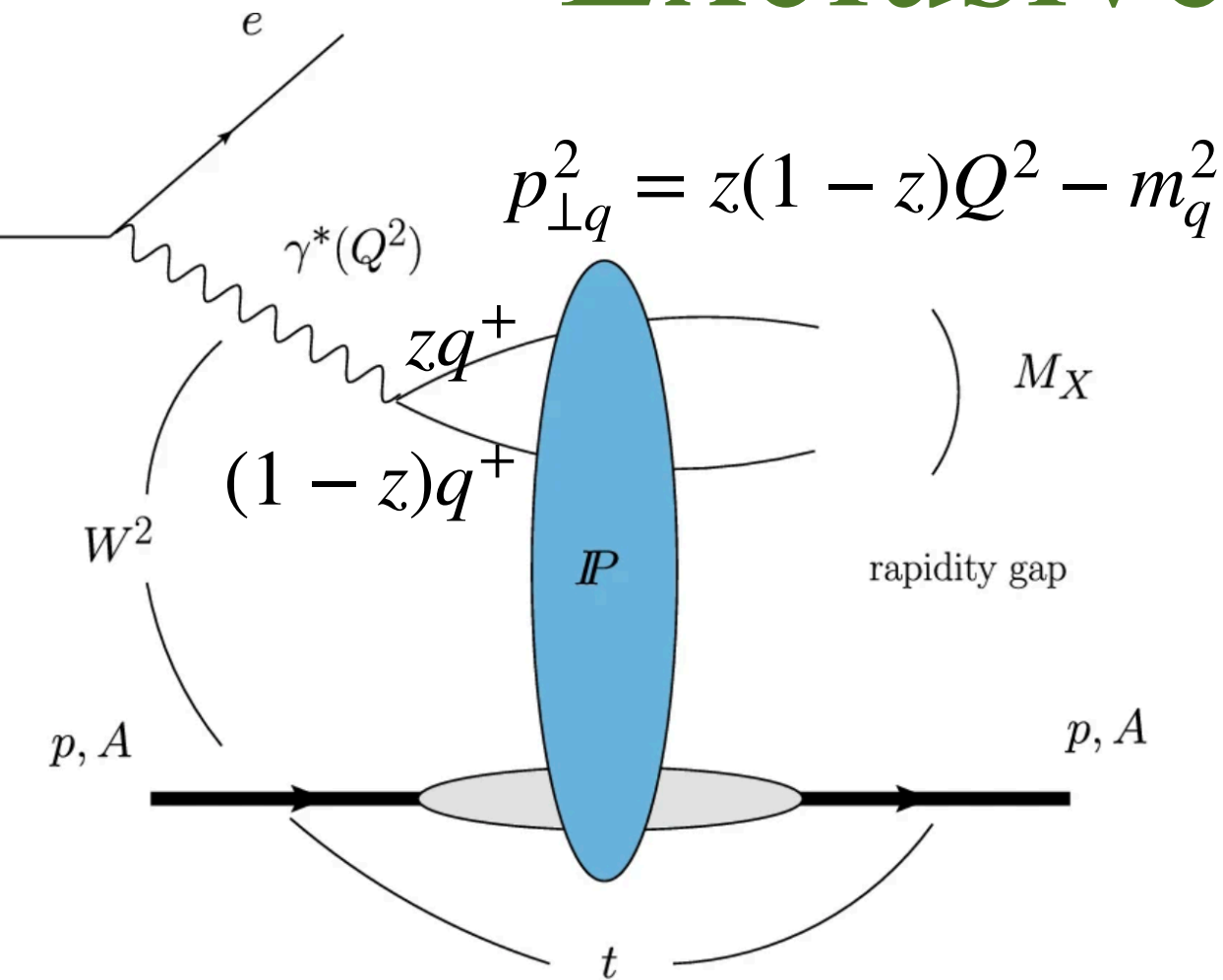
$$\Phi_{q\bar{q}g} = \int d^2\vec{b} \int_0^{Q^2} d\kappa^2 \kappa^4 \ln \frac{Q^2}{\kappa^2} |\mathcal{A}_{q\bar{q}g}|^2$$

Can completely reconstruct final state 4-momenta from, β , W^2 , Q^2 and z or \tilde{z}

Question: Does that fix κ ?

Exclusive Final State

Phys.Rev.D 106 (2022) 9, 094014, e-Print: 2206.13161



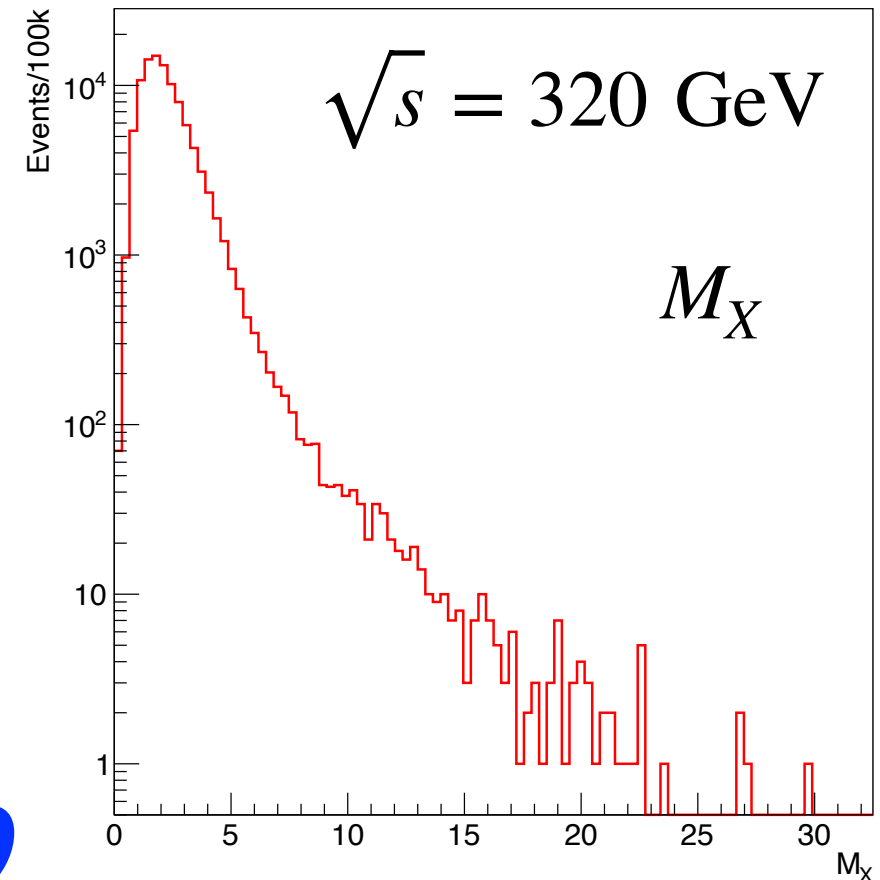
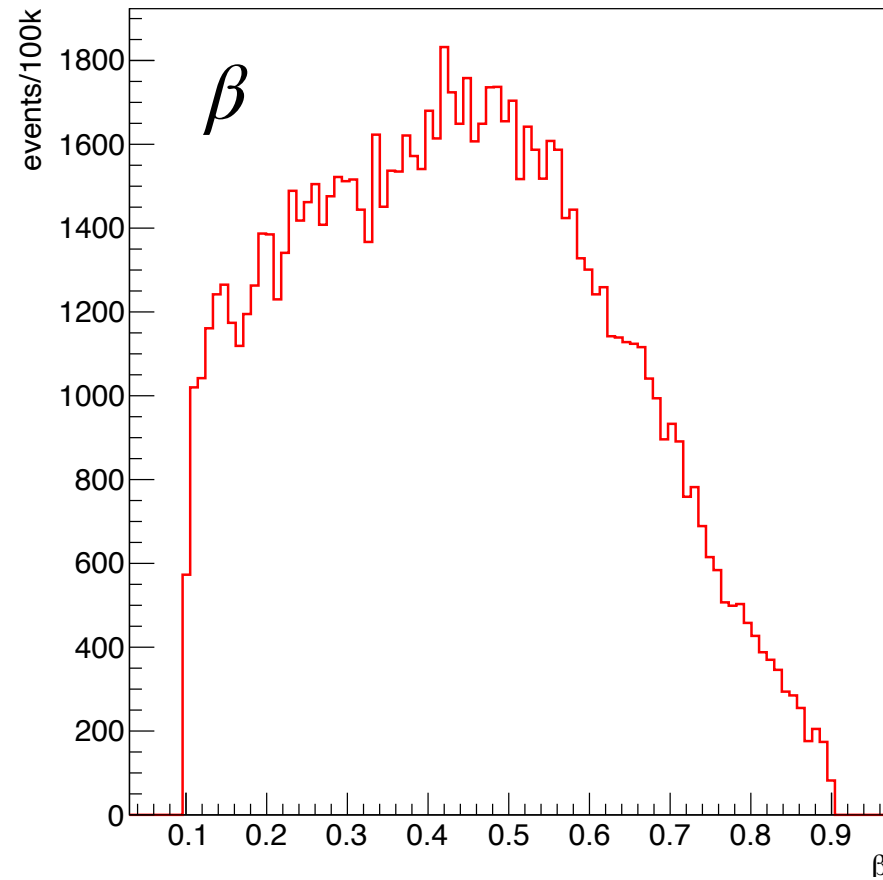
1. Reconstruct the scattered electron, e' , and scattered proton, P'
2. Pomeron $IP = P - P'$ and Virtual Photon $q = e - e'$
3. Create a Pseudo Particle $X = IP + e'$
4. For $q\bar{q}$ Fock-state: Decay X into q and \bar{q} with correct M_X^2 and z
 For $q\bar{q}g$ Fock-state: Decay X into g and \tilde{g} with correct M_X^2 and \tilde{z} ,
 then decay \tilde{g} into q and \bar{q} with correct $M_{q\bar{q}}^2 = (z/\beta - 1)Q^2$
 and momentum fractions
5. Feed the final state partons, with colour connections into Pythia for hadronic final state

Event Generation Sartre

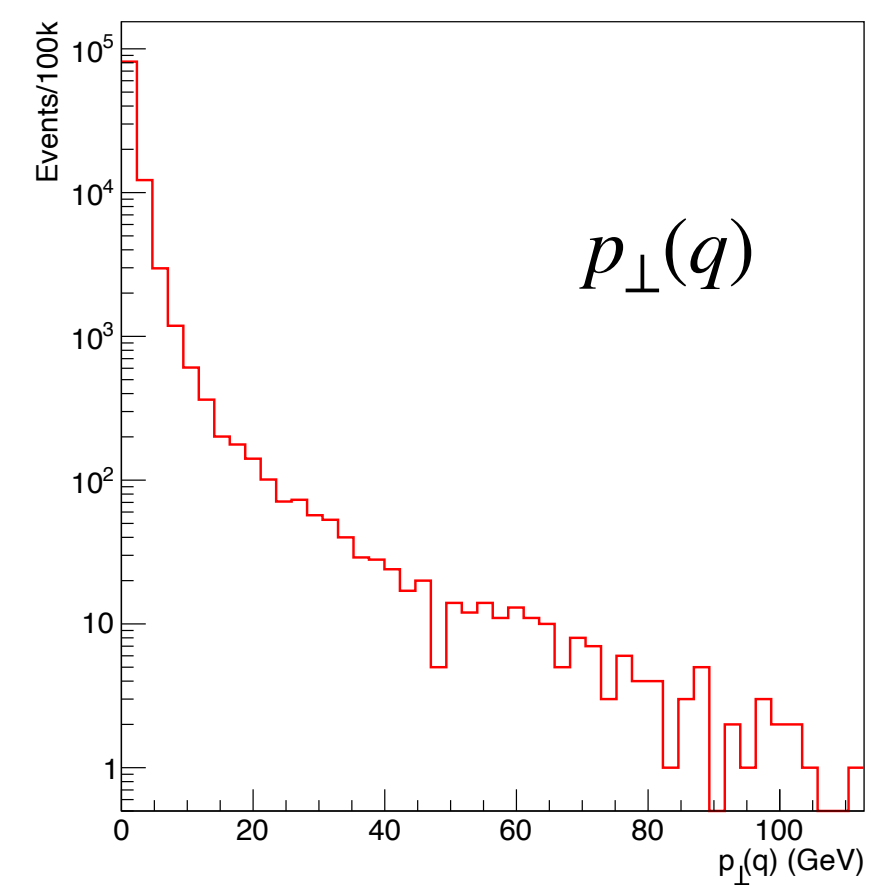
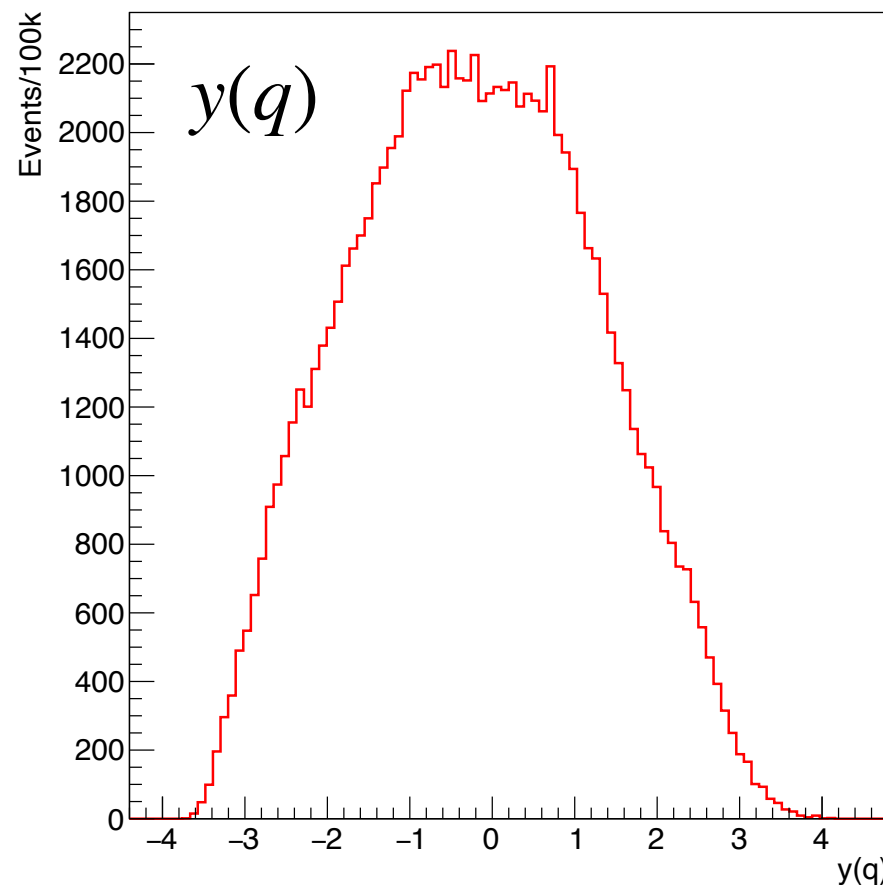
$$2 \leq Q^2 \leq 201 \text{ GeV}^2$$

$$0.1 \leq \beta \leq 0.9$$

$$20 \leq W \leq 240 \text{ GeV}$$



ep

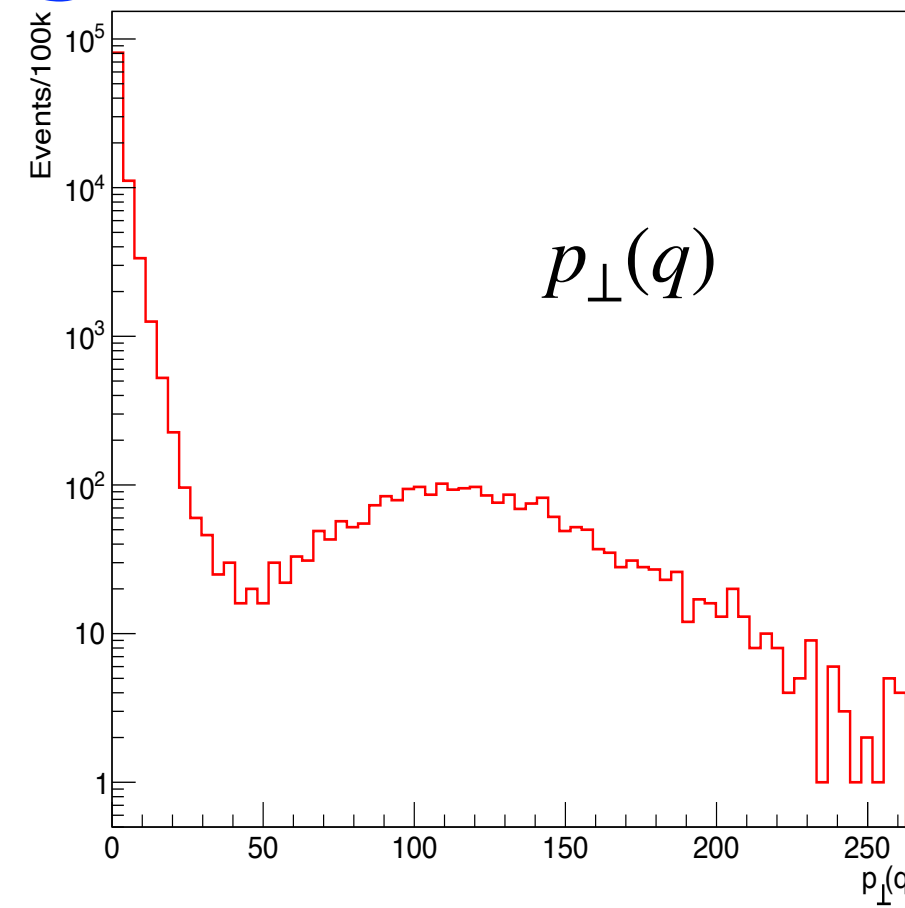
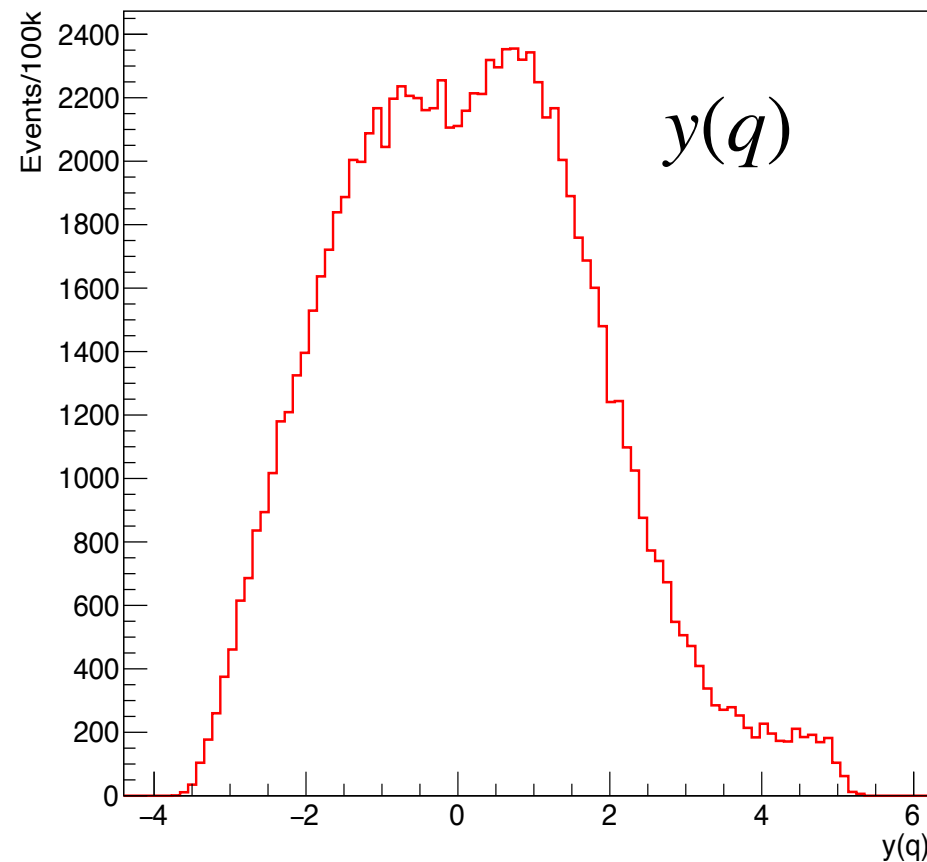
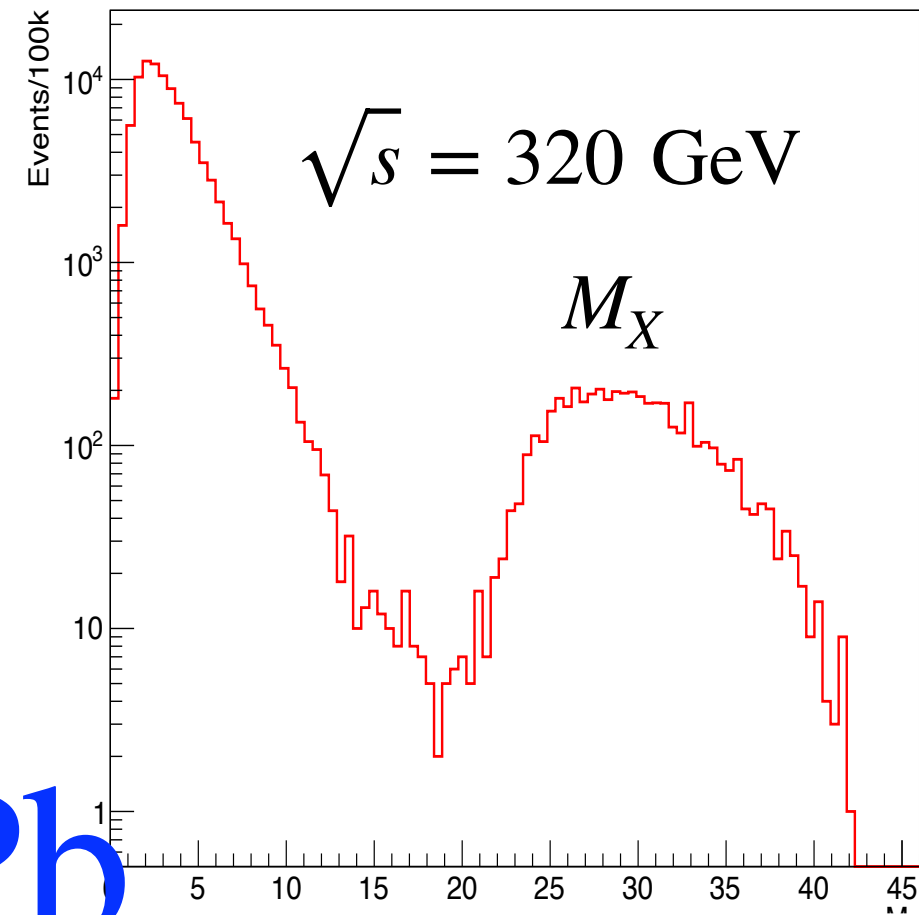
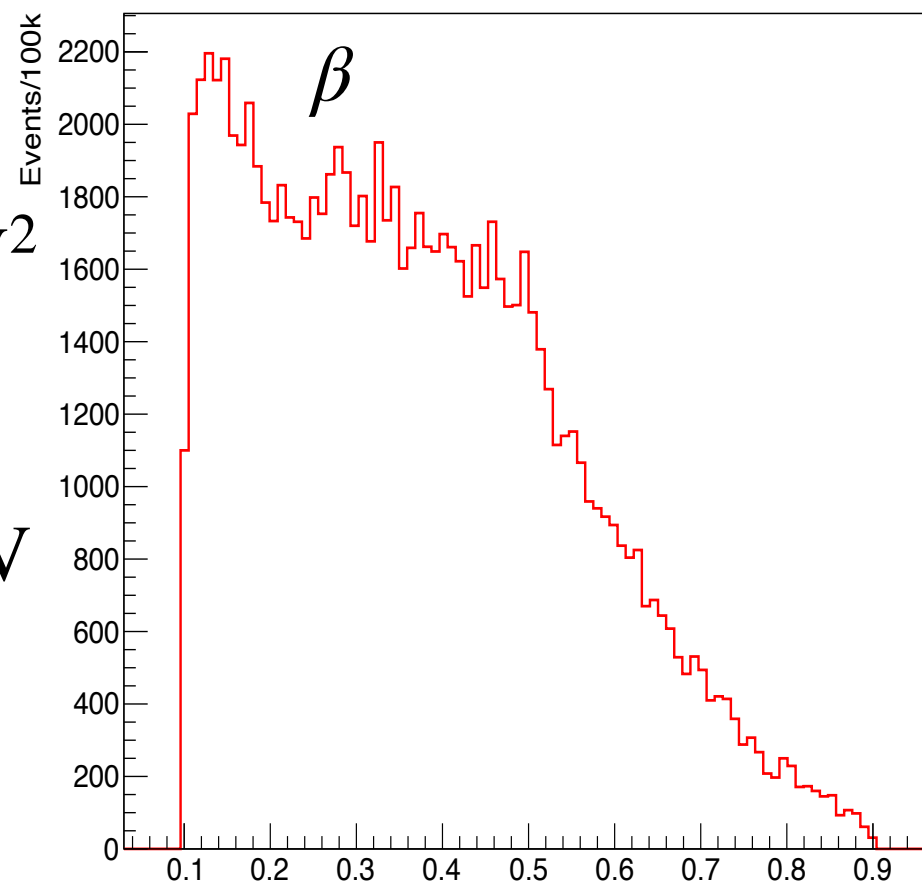


Event Generation Sartre

$$2 \leq Q^2 \leq 201 \text{ GeV}^2$$

$$0.1 \leq \beta \leq 0.9$$

$$20 \leq W \leq 240 \text{ GeV}$$



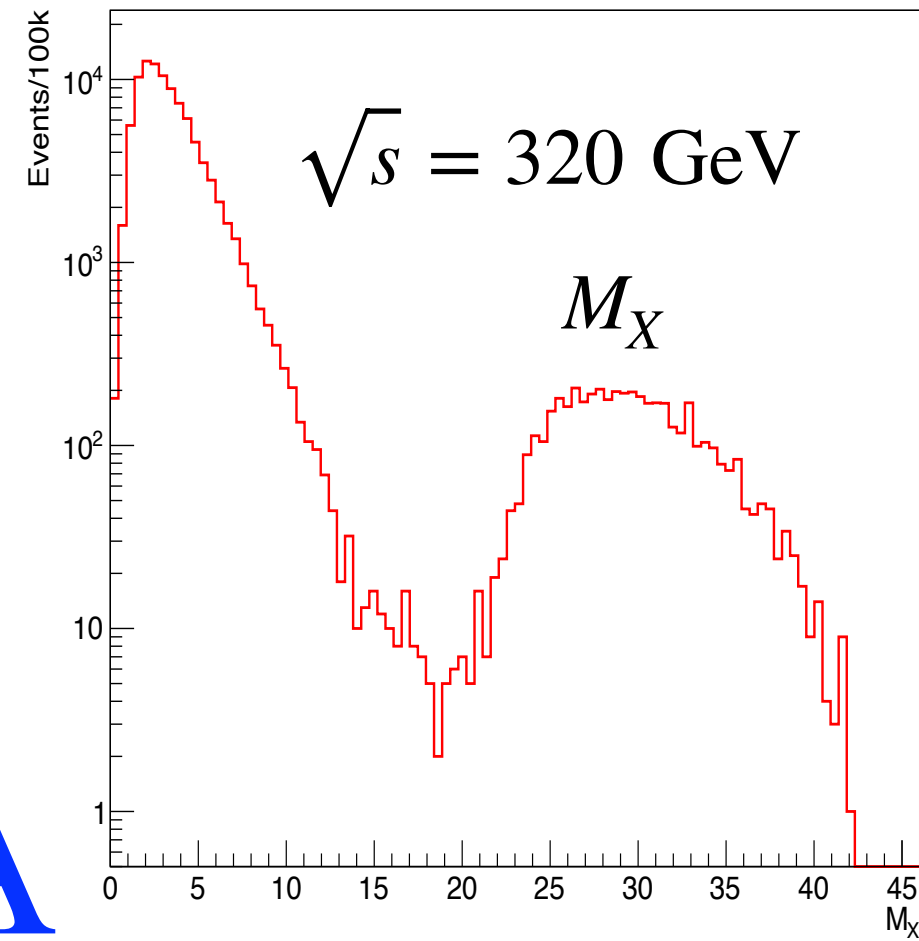
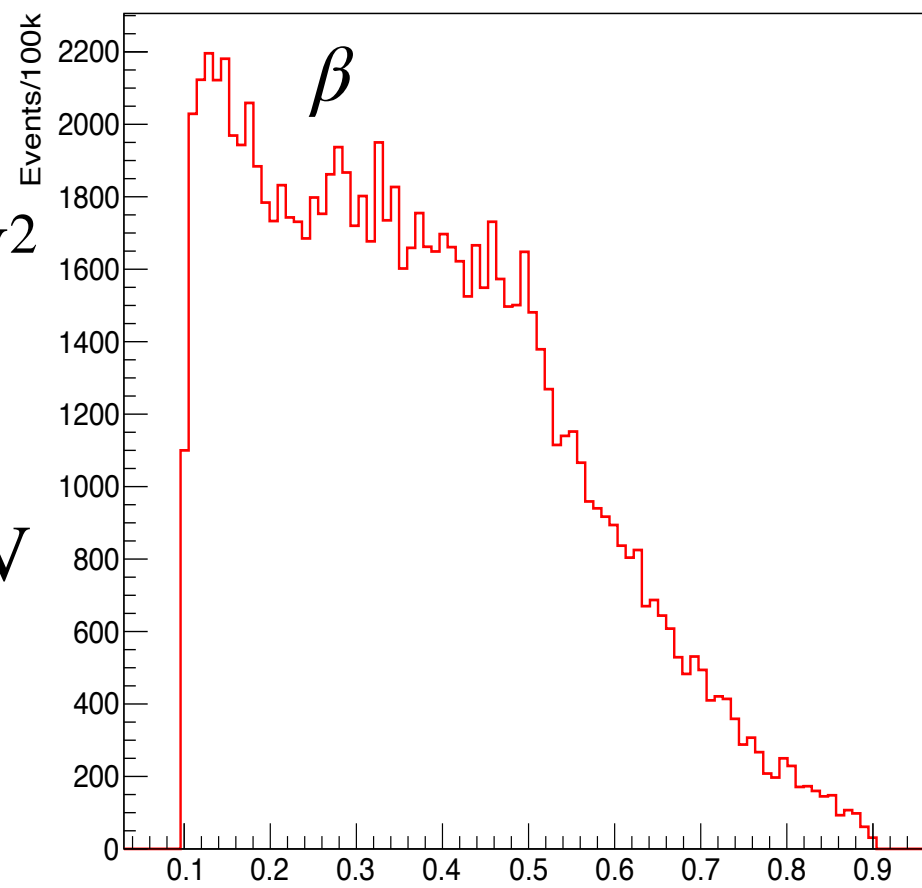
ePb

Event Generation Sartre

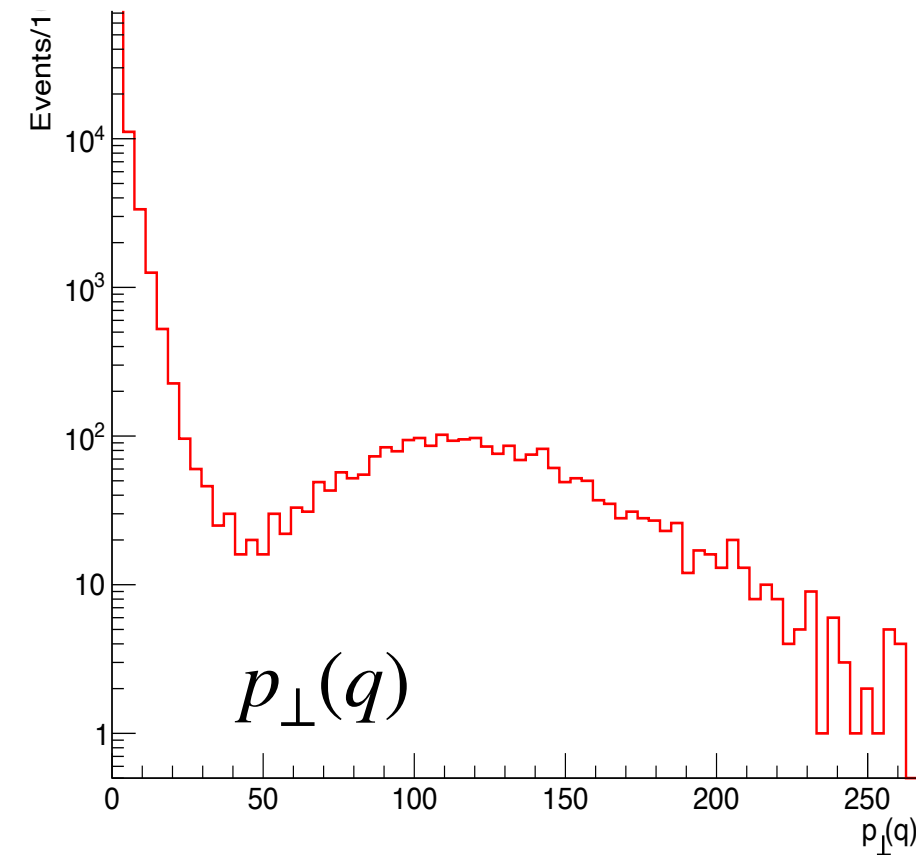
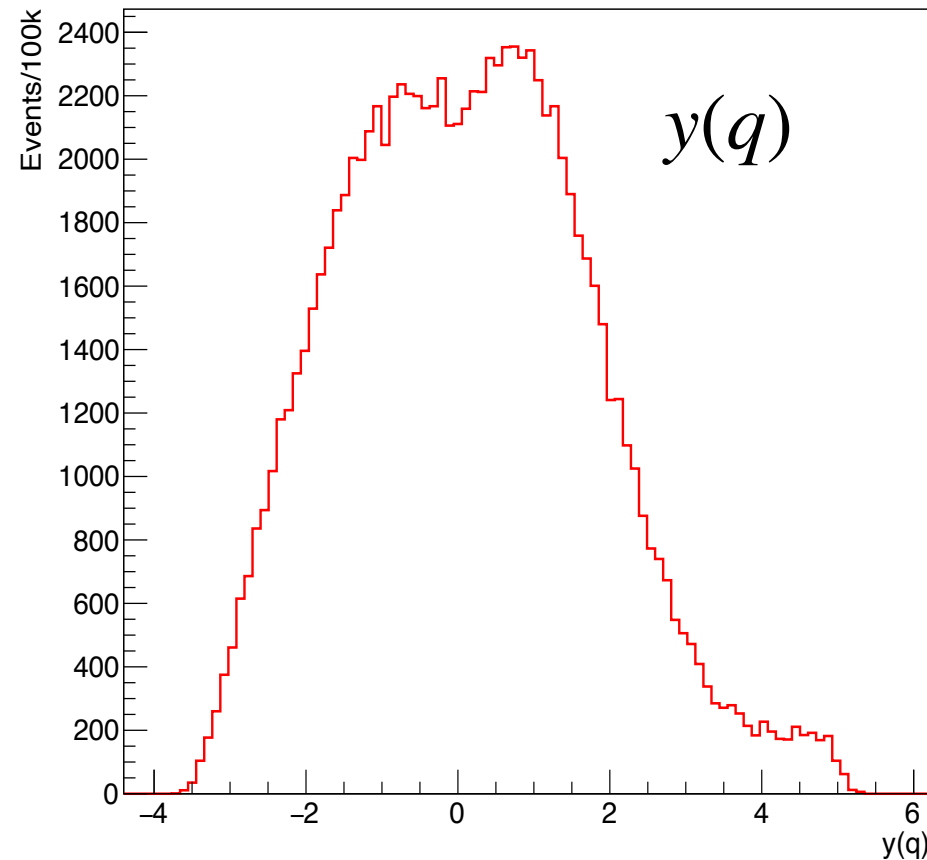
$$2 \leq Q^2 \leq 201 \text{ GeV}^2$$

$$0.1 \leq \beta \leq 0.9$$

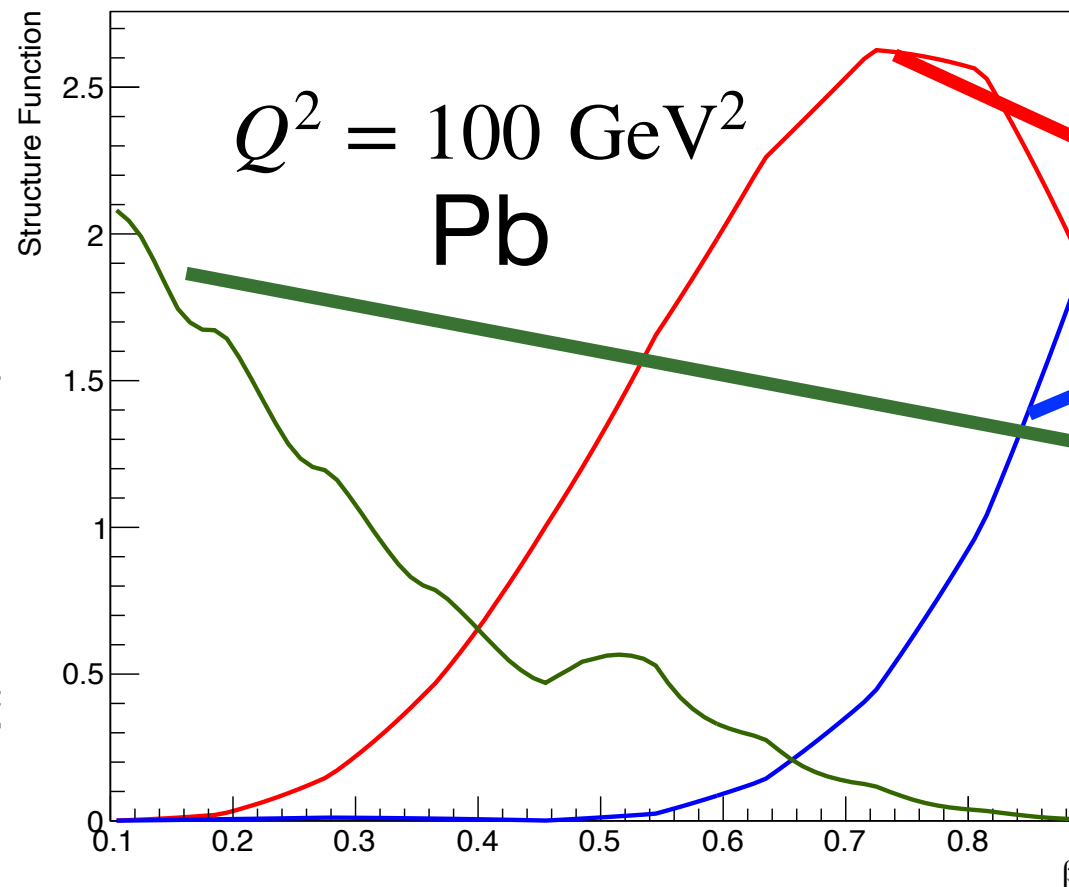
$$20 \leq W \leq 240 \text{ GeV}$$



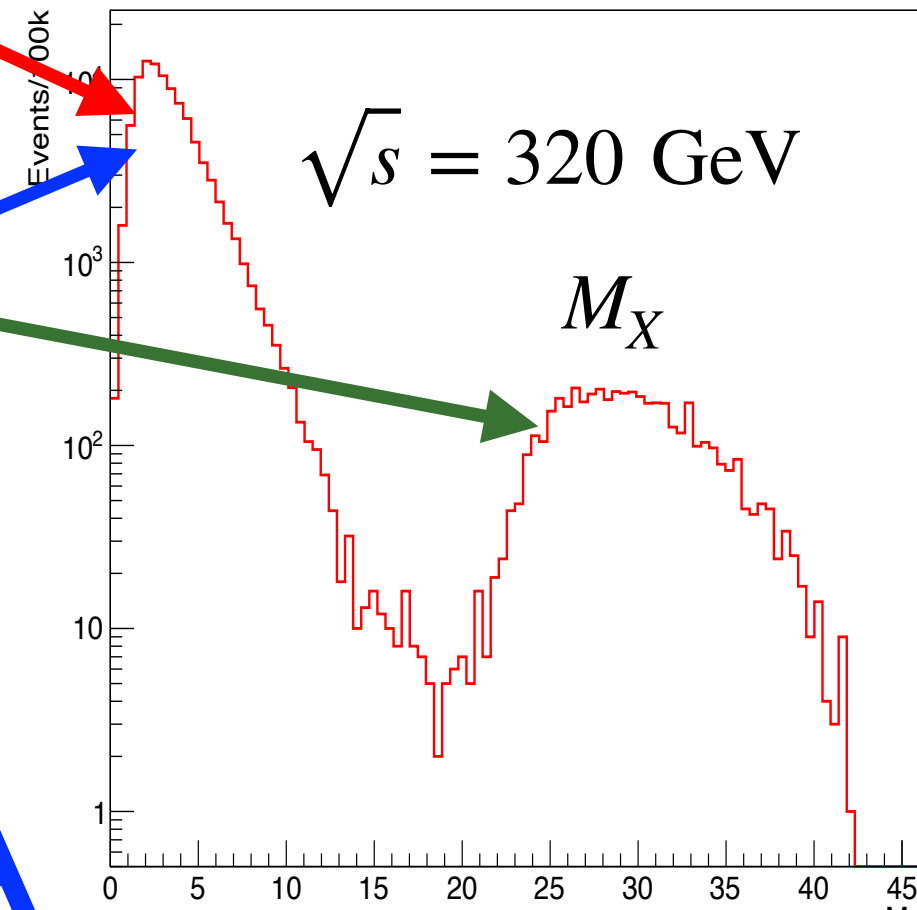
eA



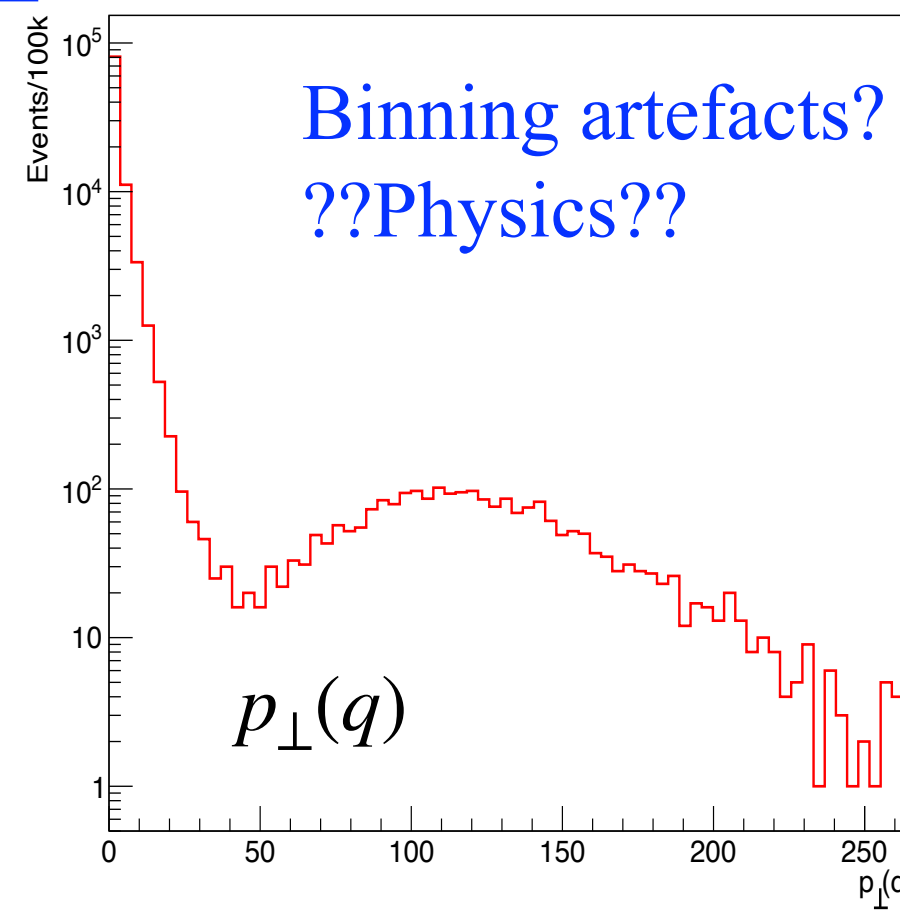
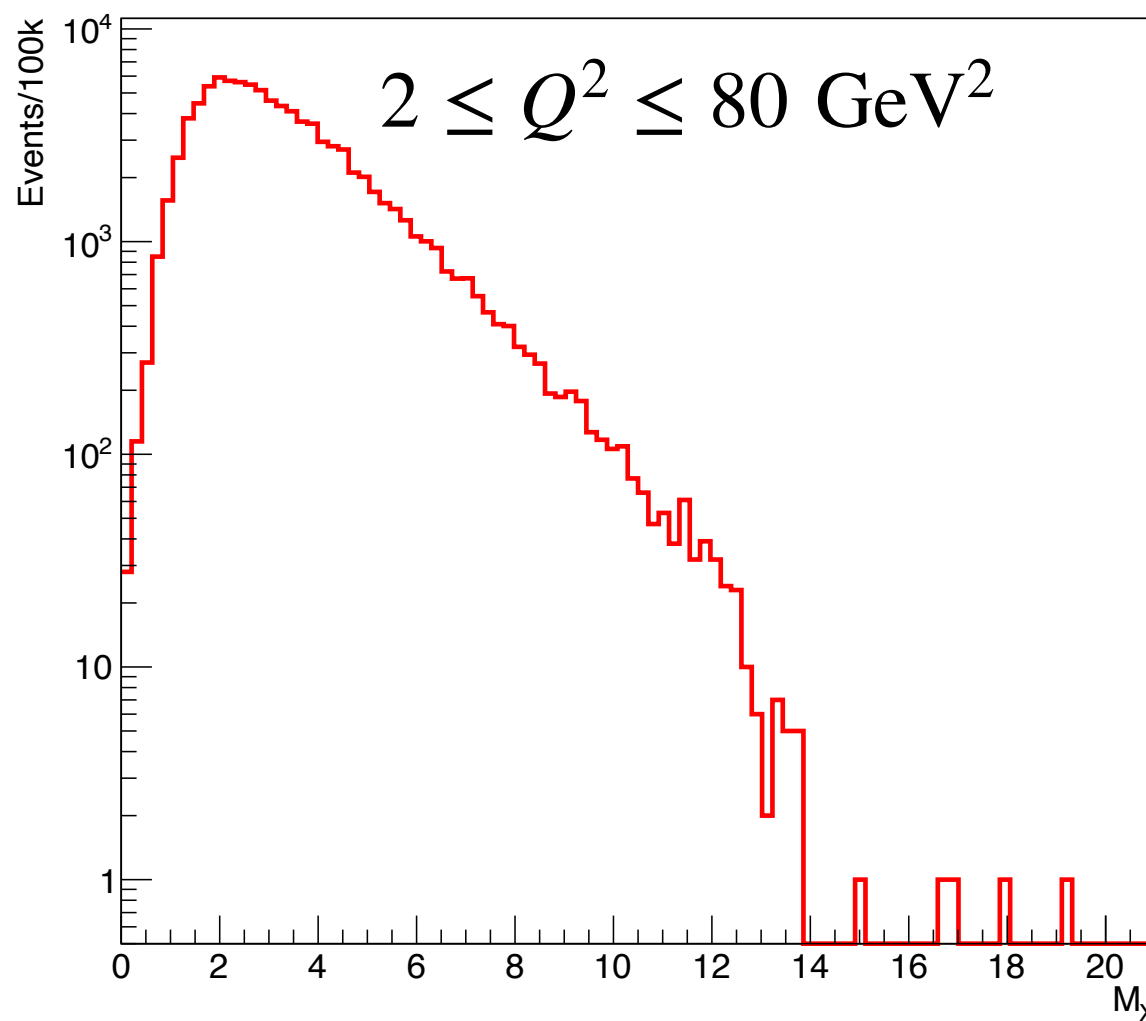
E



Sartre



A

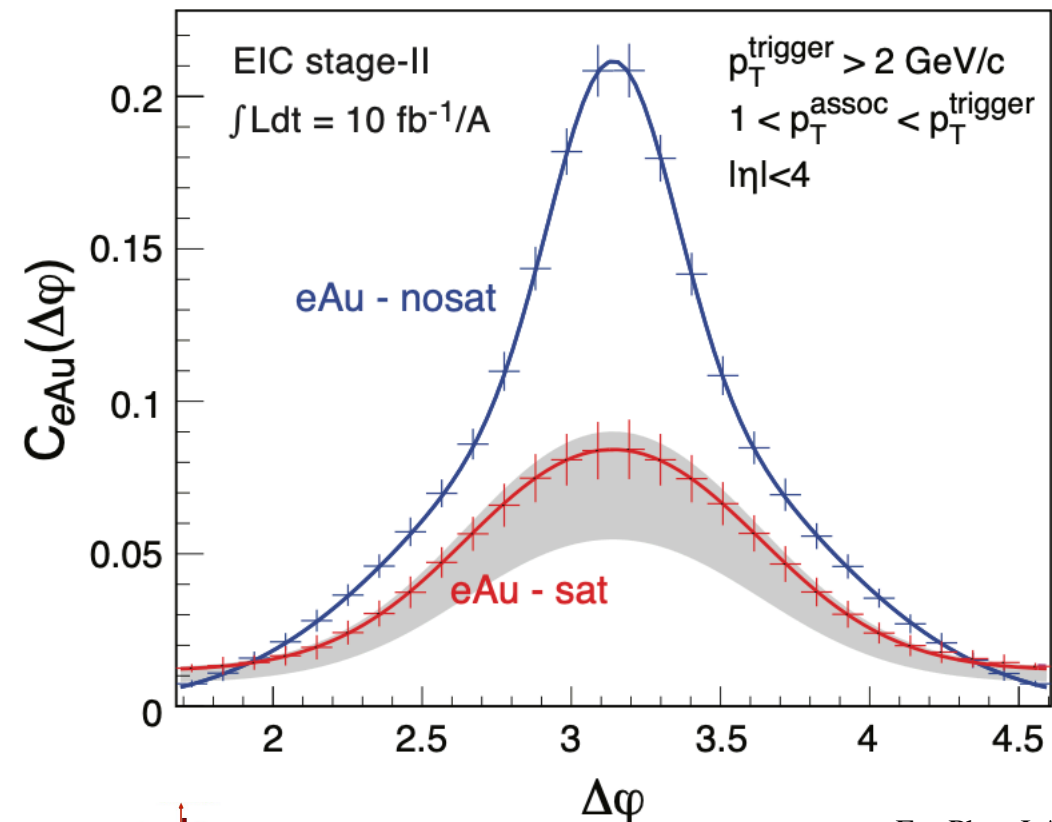
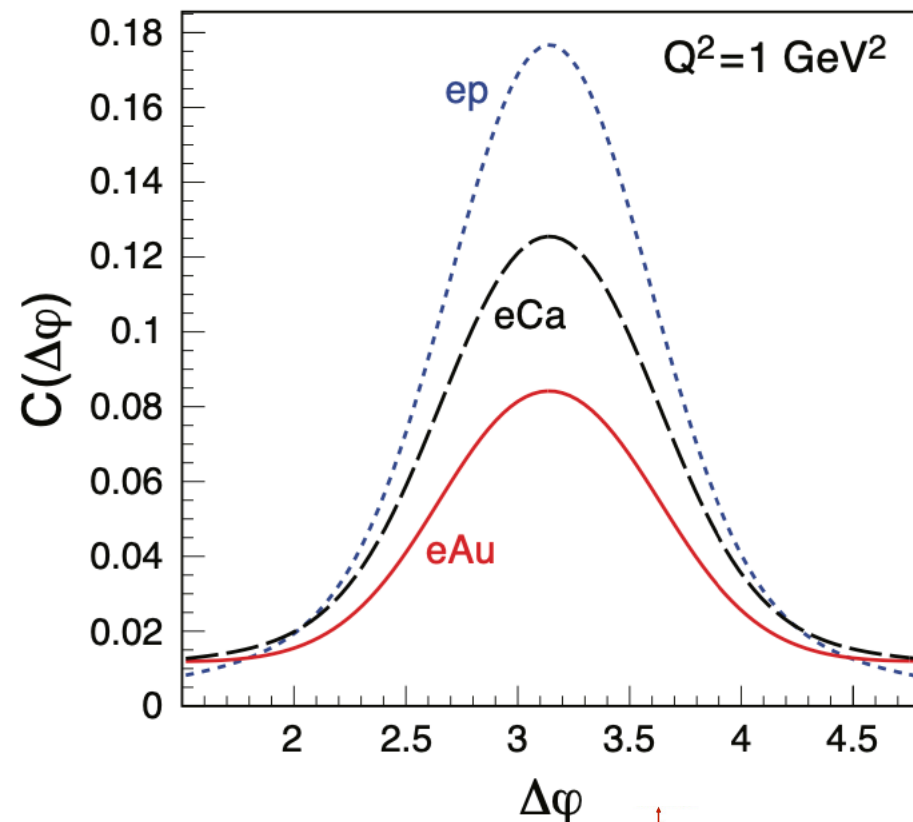


Part 3:

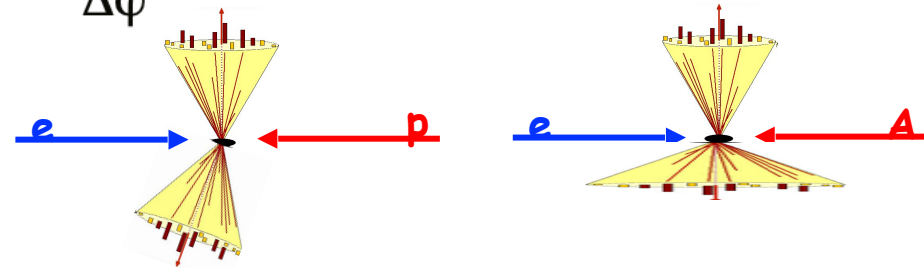
Saturation in the Final State

Saturation in the Final State

Disappearance of the away peak in DIS:



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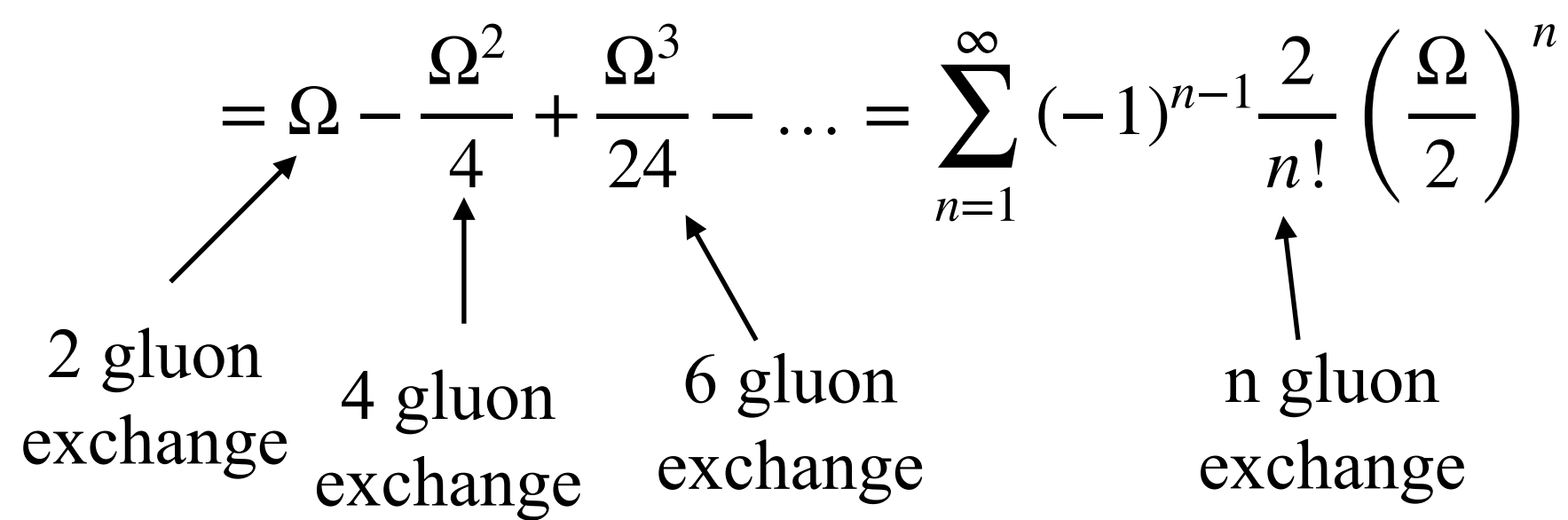


This effect should be even stronger in Inclusive Diffraction,
At least 2-gluon exchange!

Saturation in the Final State

$$\frac{d\sigma_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, b) = 2 \left[1 - \exp \left(-\frac{\Omega(x_{\mathbb{P}}, r, b)}{2} \right) \right] =$$

$$= \Omega - \frac{\Omega^2}{4} + \frac{\Omega^3}{24} - \dots = \sum_{n=1}^{\infty} (-1)^{n-1} \frac{2}{n!} \left(\frac{\Omega}{2} \right)^n$$



Saturation in the Final State

$$\frac{d\sigma_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, b) = 2 \left[1 - \exp \left(-\frac{\Omega(x_{\mathbb{P}}, r, b)}{2} \right) \right] =$$

$$= \Omega - \frac{\Omega^2}{4} + \frac{\Omega^3}{24} - \dots = \sum_{n=1}^{\infty} (-1)^{n-1} \frac{2}{n!} \left(\frac{\Omega}{2} \right)^n$$

2 gluon exchange
4 gluon exchange
6 gluon exchange
n gluon exchange

Truncate expansion such that $\left| 2(1 - e^{-\Omega/2}) - \sum_{n=1}^T (-1)^{n-1} \frac{2}{n!} \left(\frac{\Omega}{2} \right)^n \right| < \epsilon$

$$\left| 2e^{-\Omega/2} \gamma_N(n+1, -\Omega/2) \right| < \epsilon$$

γ_N is the normalised lower incomplete gamma-function

$$\gamma_N(n+1, -\Omega/2) = \frac{-1}{n!} \int_{-\Omega/2}^0 t^n e^{-t} dt$$

Saturation in the Final State

$$\frac{d\sigma_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, b) = 2 \left[1 - \exp \left(-\frac{\Omega(x_{\mathbb{P}}, r, b)}{2} \right) \right] =$$

$$= \Omega - \frac{\Omega^2}{4} + \frac{\Omega^3}{24} - \dots = \sum_{n=1}^{\infty} (-1)^{n-1} \frac{2}{n!} \left(\frac{\Omega}{2} \right)^n$$

2 gluon exchange
4 gluon exchange
6 gluon exchange
n gluon exchange

A classical model: At twist n , there are $2n$ gluons interacting with the dipole, each with transverse momentum $q_{\perp,i}$ such that:

$$\vec{\Delta} = \sum_{i=1}^{2n} \vec{q}_{\perp,i} \quad |\vec{\Delta}| = \sqrt{-t}$$

The quark goes through a random walk with $2n$ steps

Saturation in the Final State

$$\frac{d\sigma_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, b) = 2 \left[1 - \exp \left(-\frac{\Omega(x_{\mathbb{P}}, r, b)}{2} \right) \right] =$$

$$= \Omega - \frac{\Omega^2}{4} + \frac{\Omega^3}{24} - \dots = \sum_{n=1}^{\infty} (-1)^{n-1} \frac{2}{n!} \left(\frac{\Omega}{2} \right)^n$$

2 gluon exchange
4 gluon exchange
6 gluon exchange
n gluon exchange

$$\left| 2e^{-\Omega/2} \gamma_N(n+1, -\Omega/2) \right| < \epsilon \quad \text{Increase } n \text{ until condition is met.}$$

Let $\Omega = \Omega(x_{\mathbb{P}}, \hat{r}, \hat{b})$, with \hat{r} and \hat{b} generated from:

$$q\bar{q} : \frac{dP}{dr} \propto r K_{0,1}(\epsilon r) J_{0,1}(\epsilon r) \frac{d\sigma_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, \hat{b}) \qquad \frac{dP}{db} = T(b).$$

$$q\bar{q}g : r K_2(\sqrt{\tilde{z}} \kappa r) J_n(\sqrt{1 - \tilde{z}} \kappa r) \frac{d\tilde{\sigma}_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, b)$$

Saturation in the Final State

$$\frac{d\sigma_{q\bar{q}}}{d^2b}(x_{\mathbb{P}}, r, b) = 2 \left[1 - \exp \left(-\frac{\Omega(x_{\mathbb{P}}, r, b)}{2} \right) \right] =$$

$$= \underbrace{\Omega}_{\substack{\text{2 gluon} \\ \text{exchange}}} - \underbrace{\frac{\Omega^2}{4}}_{\substack{\text{4 gluon} \\ \text{exchange}}} + \underbrace{\frac{\Omega^3}{24}}_{\substack{\text{6 gluon} \\ \text{exchange}}} - \dots = \sum_{n=1}^{\infty} (-1)^{n-1} \underbrace{\frac{2}{n!}}_{\substack{\text{n gluon} \\ \text{exchange}}} \left(\frac{\Omega}{2} \right)^n$$

$$\left| 2e^{-\Omega/2} \gamma_N(n+1, -\Omega/2) \right| < \epsilon \quad \text{Increase } n \text{ until condition is met.}$$

$$\text{With } \Omega = \Omega(x_{\mathbb{P}}, \hat{r}, \hat{b})$$

Let the quark and anti quark (and gluon) collide with with $2n$ gluons keeping

$$\begin{aligned} (q + \bar{q})^2 &= M_X^2 \\ (q + \bar{q} + g)^2 &= M_X^2 \end{aligned} \quad \vec{\Delta} = \sum_{i=1}^{2n} \vec{q}_{\perp,i} \quad \vec{q}_{\perp,i} \text{ distributed as a Gaussian with width } Q_s.$$

TO DO:

The *t*-dependence

TO DO: The *t*-dependence

The Correct Way:

Cyrille Marquet Phys.Rev.D 76 (2007), 094017

$$\begin{aligned} \frac{d\sigma_{\lambda}^{\gamma^* p \rightarrow X p}}{d\beta dt} &= \frac{Q^2}{16\beta^2} \sum_f \int dz z(1-z) \int d^2\vec{b}_1 \int d^2\vec{b}_2 \int d^2\vec{r}_1 \int d^2\vec{r}_2 \\ &\quad e^{i(\vec{b}_2 - \vec{b}_1) \cdot \vec{\Delta}} \frac{d\sigma_{q\bar{q}}}{d^2\vec{b}_1}(r_1, b_1, x) \frac{d\sigma_{q\bar{q}}}{d^2\vec{b}_2}(r_2, b_2, x) \\ &\quad \Theta(\vec{k}^2) e^{i(\vec{r}_2 - \vec{r}_1) \cdot \vec{k}} \phi_{\lambda}^f(z, \vec{r}_1, \vec{r}_2, Q^2) \end{aligned} \quad (43)$$

with $\lambda = T, L$ and

$$\begin{aligned} \phi_T^f(z, \vec{r}_1, \vec{r}_2, Q^2) &= \frac{\alpha_{\text{EM}} N_C}{2\pi^2} e_f^2 \left((z^2 + (1-z)^2) \epsilon^2 \frac{\vec{r}_1 \cdot \vec{r}_2}{r_1 r_2} K_1(\epsilon r_1) K_1(\epsilon_f r_2) \right. \\ &\quad \left. + m_f^2 K_0(\epsilon r_1) K_0(\epsilon_f r_2) \right) \end{aligned} \quad (44)$$

$$\phi_L^f(z, \vec{r}_1, \vec{r}_2, Q^2) = \frac{\alpha_{\text{EM}} N_C}{2\pi^2} e_f^2 4Q^2 z^2 (1-z)^2 K_0(\epsilon r_1) K_0(\epsilon_f r_2) \quad (45)$$

4 extra integrals (2 angles, r_2 , and b_2)!

5D Lookup tables!

Possible, but unwieldy

TO DO: The t -dependence

The Good Enough Way:

Use available Sartre calculation of exclusive coherent $\frac{d\sigma_{VM}}{dt}(Q^2, W^2, t)$ with

$VM = \gamma, \rho, \phi, J/\psi, \Upsilon \dots$ and interpolate/extrapolate the M_x dependence from respective vector-meson mass.

This will yield an approximate t -dependence for a given point (Q^2, W^2, β)

Inclusive Diffraction with Sartre

Current Status

Current version on SVN (<https://sartre.hepforge.org/>):

Can generate events with both $q\bar{q}$ and $q\bar{q}g$ final states in ep and eA

Using Pythia to create the hadronic final state.

To Do (short term):

Implement saturation effects in final state

Create full tables for several initial state species

Thorough testing

To Do (intermediate term):

Implement t -dependence

To Do (long term):

Incoherent Diffraction?

