

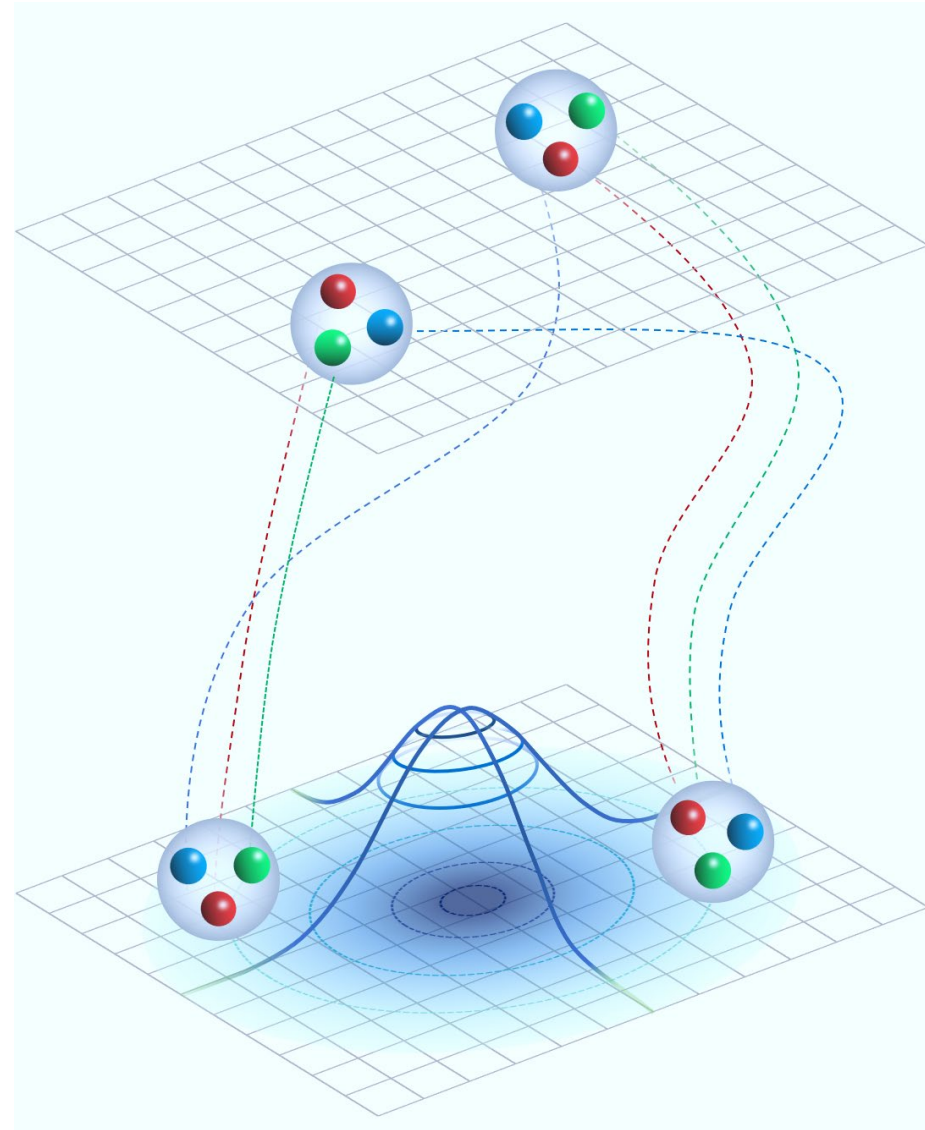
# Decoding two-hadron states in LQCD with spatial wavefunctions

---

Yan Lyu (吕岩)

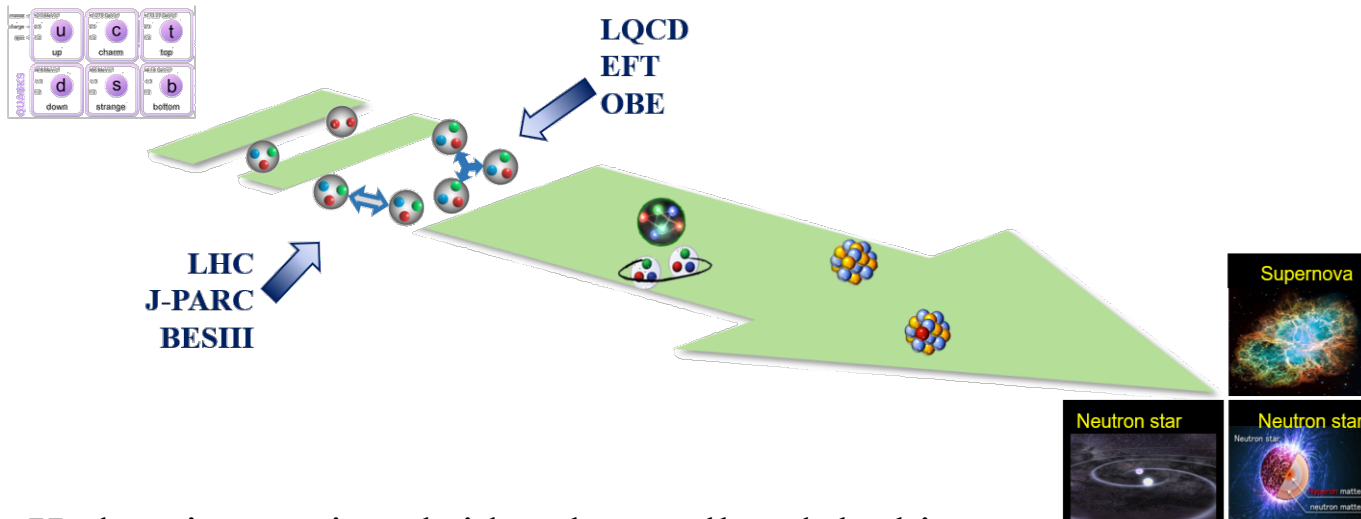
iTHEMS, RIKEN

Aug. 21, 2025



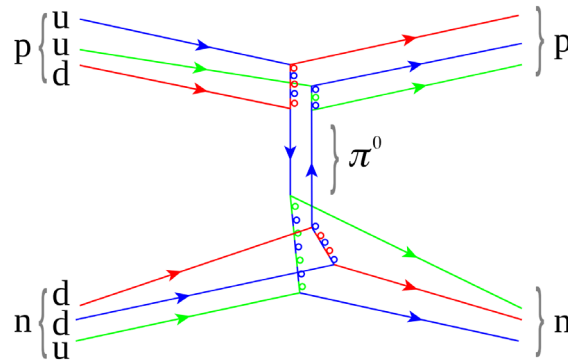
# From quarks to the Universe

## ➤ Milestones in the path from quarks to the Universe



- Hadron interactions bridge the small and the big

## ➤ In theory, QCD governs not only the interaction among quarks and gluons, but also the interaction between color-neutral hadrons



# QCD at different scales

## ➤ Strong interaction strength strongly depends on energy scale (Q)

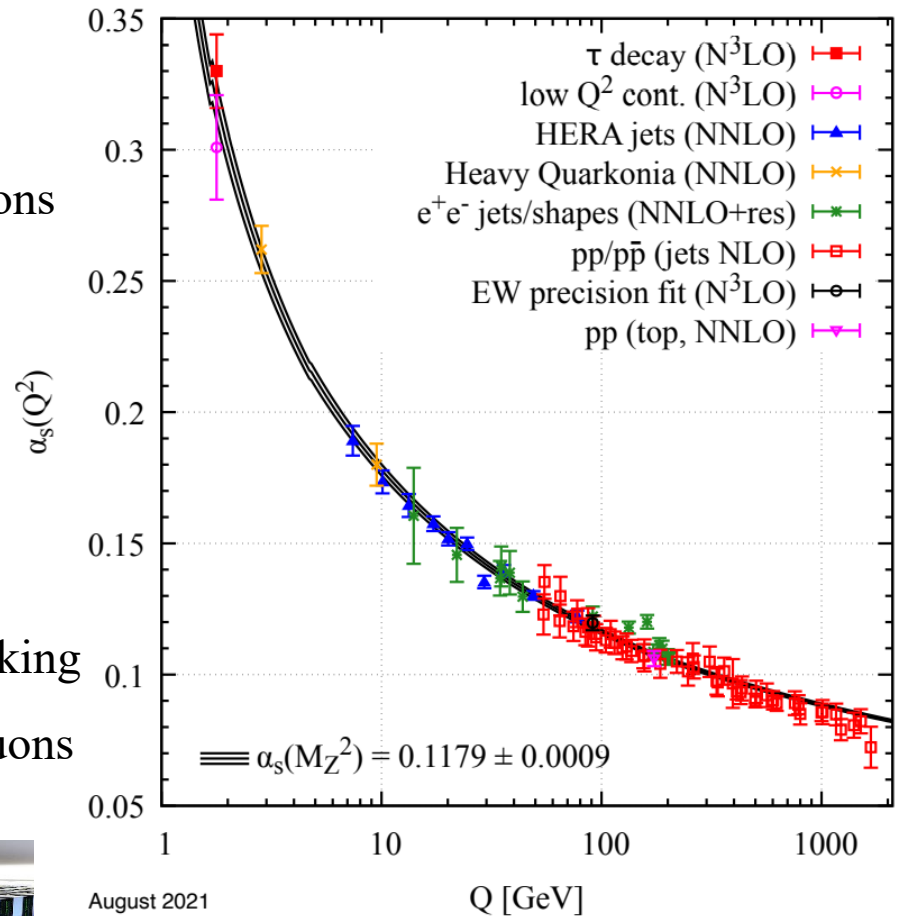
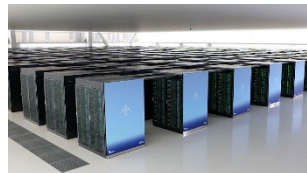
### ● High Q (> few GeV), perturbative

- ✓ asymptotic freedom
- ✓ weakly interacting quarks and gluons
- ✓ “pen and paper”



### ● Low Q (< 1 GeV), non perturbative

- ✓ chiral symmetry spontaneous breaking
- ✓ strongly interacting quarks and gluons
- ✓ LQCD simulations



PDG, <https://pdg.lbl.gov/>

# Lattice QCD

## ➤ Lattice regularization

- UV cutoff  $\sim \frac{1}{a}$ ; IR cutoff  $\sim \frac{1}{L}$
- Quark field  $q(x)$  on lattice sites
- Gauge field  $U_\mu(x) = e^{iagA_\mu(x)}$  on links

## ➤ Path integral

- dof:  $\sim 100^4 \times 8 \times 4$

$$\langle \hat{O} \rangle = \frac{1}{Z_E} \int [\mathcal{D}\bar{q}][\mathcal{D}q][\mathcal{D}U] O e^{-S_E}$$

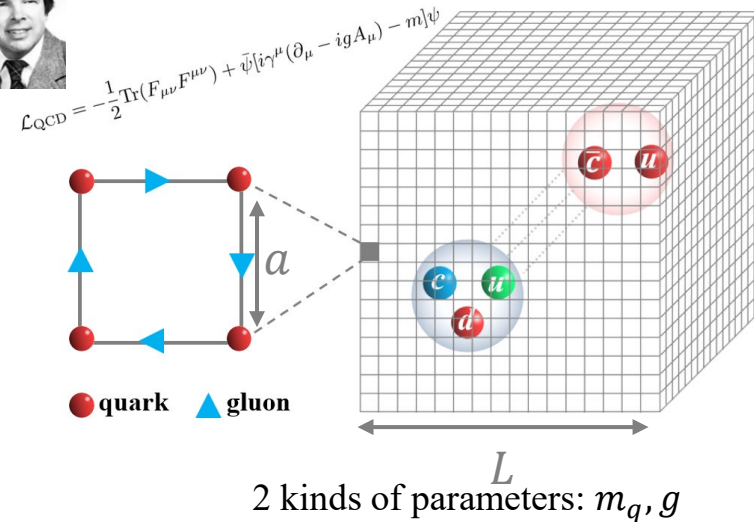
## ➤ Monte Carlo Simulation

- Importance sampling: a series of QCD configurations with probability  $e^{-S_E}$
- Multiple measurements  $O_1, \dots, O_N$

$$\langle \hat{O} \rangle = \bar{O} \pm \frac{\sigma}{\sqrt{N}}$$

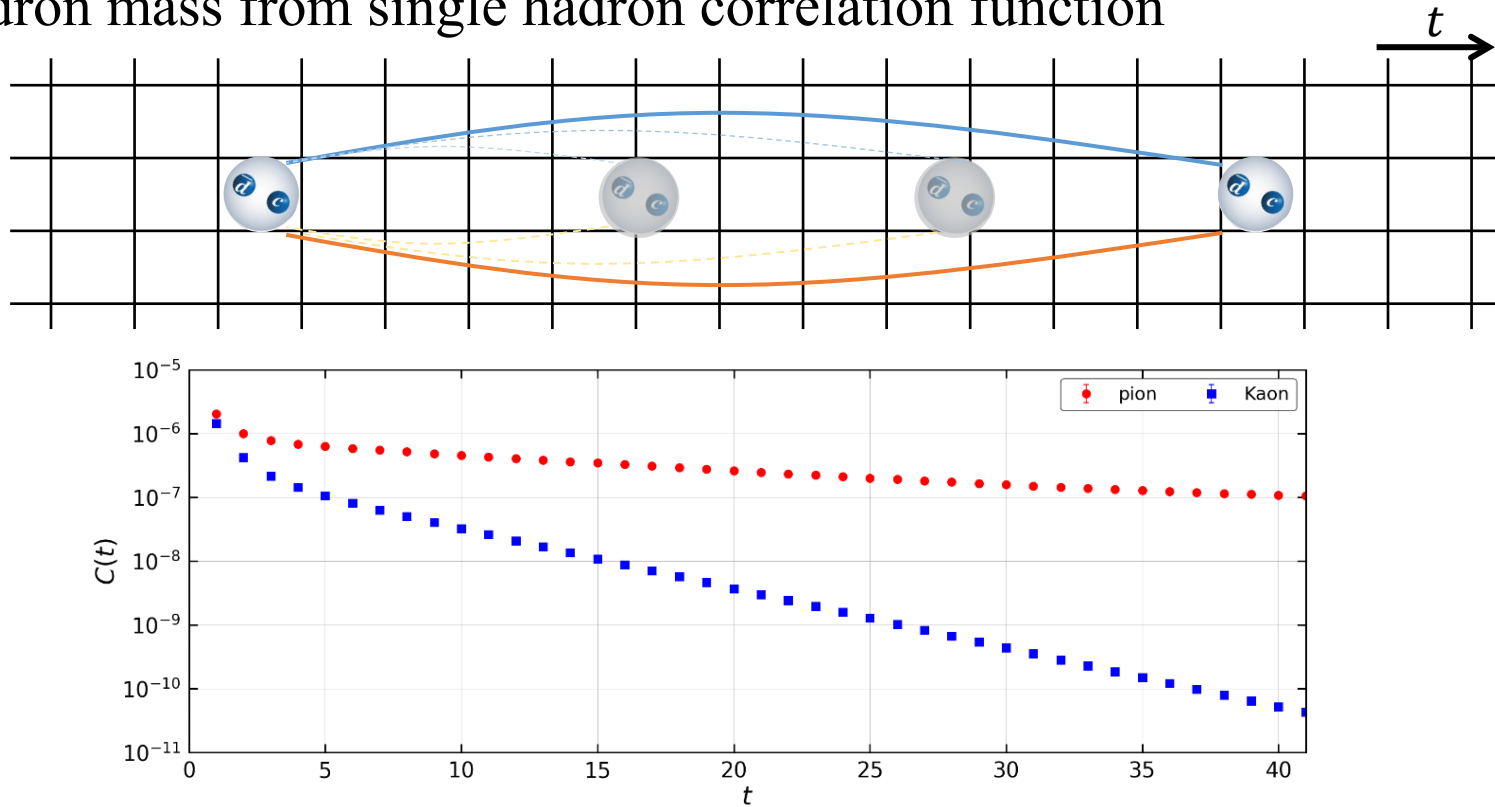


K.G. Wilson, Phys. Rev. D 10, 2445 (1974)



# A typical paradigm of lattice calculations

## ➤ Hadron mass from single hadron correlation function



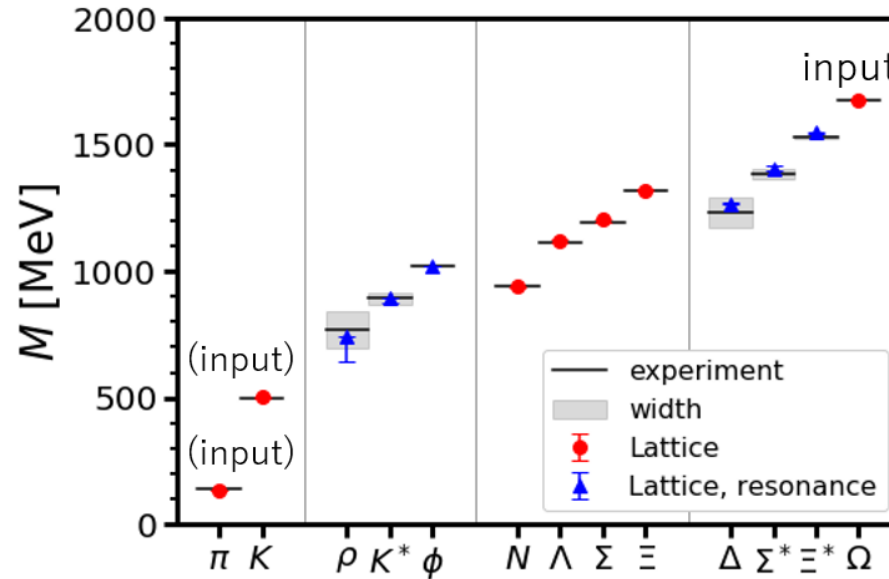
$$\begin{aligned} C(t) &= \langle \hat{O}(t) \hat{O}^\dagger(0) \rangle = \sum_n |\langle 0 | \hat{O} | E_n \rangle|^2 e^{-E_n t} \\ &= |\langle 0 | \hat{O} | E_0 \rangle|^2 e^{-E_0 t} + O(e^{-E_1^* t}) \end{aligned}$$

- The mass of hadron can be obtained when the ground state dominates  $C(t)$

✓  $t \gg \frac{1}{E_1 - E_0}$ , and/or  $\langle 0 | \hat{O} | E_0 \rangle \gg \langle 0 | \hat{O} | E_{n \neq 0} \rangle$

# Singal hadron from LQCD

- LQCD has been successful in describing single hadron systems



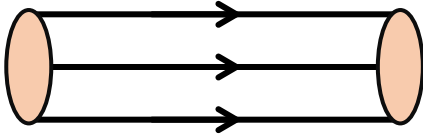
T. Aoyama *et al.* [HAL QCD Coll.], Phys. Rev. D 110, 094502 (2024)

- One of frontiers in LQCD lies in two-hadron systems

- nucleon interaction relevant to nuclei and nuclear matter
- nucleon matrix element relevant to  $0\nu\beta\beta$  *etc*

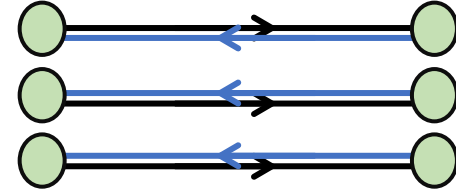
# Challenge: exponential degradation of S/N

- The nucleon two-point function



$$\mathcal{S} = \langle \hat{N}(t) \hat{N}^\dagger(0) \rangle \simeq \langle G_q^3(t) \rangle \sim e^{-m_N t}$$

G. Parisi, Phys. Rept. 103, 203 (1984)  
G. P. Lepage, From actions to answers: Proceedings of the tasi (1989)



$$\begin{aligned} \mathcal{N}^2 &= \langle |\hat{N}(t) \hat{N}^\dagger(0)|^2 \rangle - |\langle \hat{N}(t) \hat{N}^\dagger(0) \rangle|^2 \\ &\simeq \langle |G_q^*(t) G_q(t)|^3 \rangle \sim e^{-3m_\pi t} \end{aligned}$$

- Signal-to-noise ratio

$$\frac{\mathcal{S}}{\mathcal{N}} \sim \frac{e^{-m_N t}}{e^{-3/2 m_\pi t}} = e^{-(m_N - 3/2 m_\pi) t}$$

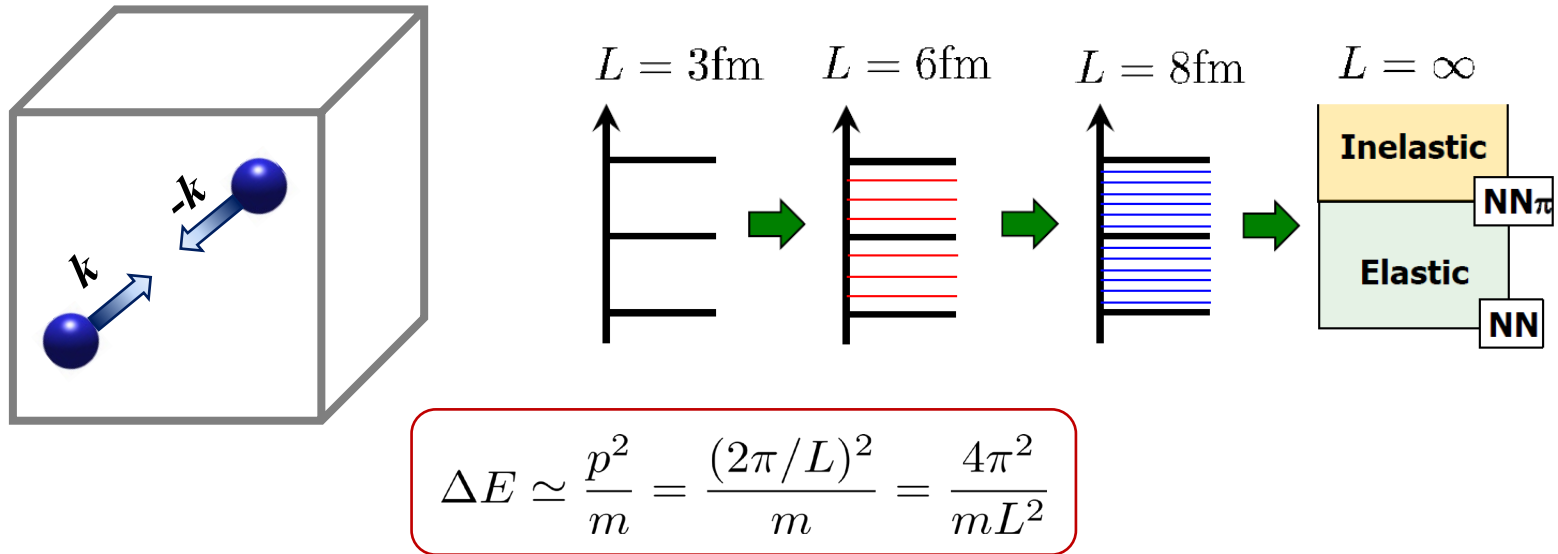
- $t$  can not be sufficient larger than  $t_{ns} = \frac{1}{m_N - 3/2 m_\pi}$

- More severe for two-nucleon systems

$$\left. \frac{\mathcal{S}}{\mathcal{N}} \right|_{2B} \sim e^{-(2m_N - 3m_\pi) t}$$

# Challenge: dense two-hadron spectra

## ➤ Elastic two-hadron states



- Denser spectra with larger volume  $L$ / heavier mass  $m$
- To suppress elastic contaminations  $t \gg \frac{1}{\Delta E} \sim 10 \text{ fm}$ , without opt operators

Optimized two-hadron operators are highly desired



- Introduction
- Optimized two-hadron operators
- Implementation in lattice calculations
- Application to  $\Omega_{ccc}\Omega_{ccc}$
- Summary & discussions

Based on: YL, S. Aoki, T. Doi, T. Hatsuda, K. Murakami, and T. Sugiura,  
arXiv:2507.09930; 2507.09933

# Lessons from single hadron: the “shape” matters

- Smearing: mimic realistic spatial profile (“shape”) of single hadron

$$q(\vec{x}) \longrightarrow q_f = \sum_{\vec{y} \in \Lambda} f(|\vec{x} - \vec{y}|) q(\vec{y})$$

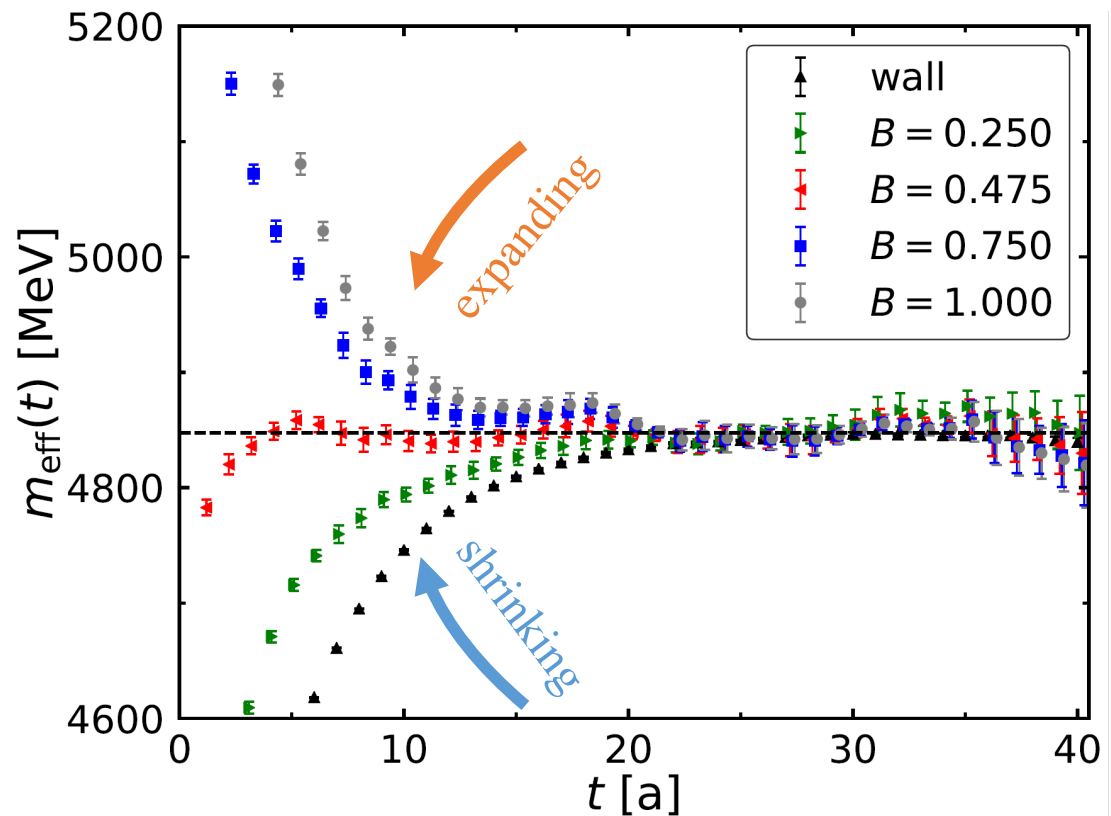
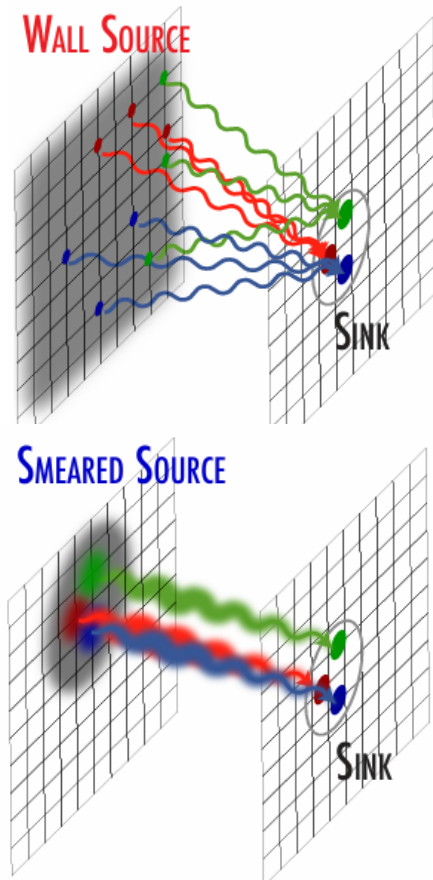
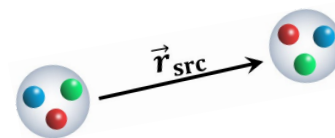


Fig. from T. Iritani

# The “shape” of two-hadron states

- (Equal-time) Nambu-Bethe-Salpeter (NBS) amplitude ( $t_0 = 0$ )

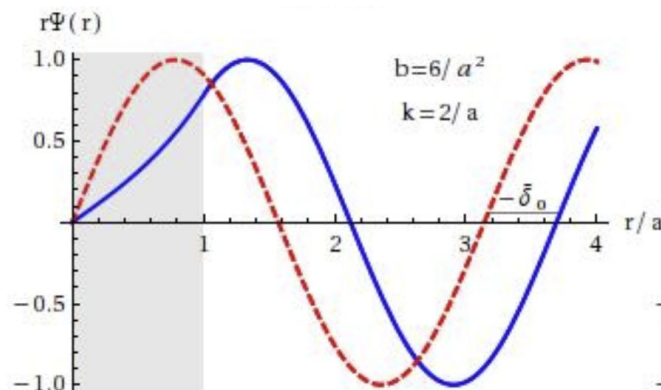
$$\psi_n(\vec{r}) = \frac{1}{V} \sum_{\vec{x} \in \Lambda} \langle 0 | \hat{B}(\vec{x} + \vec{r}, t_0) \hat{B}(\vec{x}, t_0) | 2B, E_n \rangle$$



- The probability amplitude of finding two  $B$ s with a separation  $\vec{r}$
- Encoding scattering phase shift at asymptotic region

✓ Scattering phase shifts  $\psi_n^l(r) \simeq A \frac{\sin(kr + \delta(k_n) - l/2\pi)}{kr}$

- A quantum mechanics analogy: stationary scattering wavefunctions



# Optimized two-hadron operators

- General hadronic correlation functions for two-hadron systems

$$\begin{aligned}\mathcal{R}(\vec{r}_{\text{snk}}, t; \vec{r}_{\text{src}}) &= \frac{e^{2m_B t}}{V^2} \sum_{\vec{x}, \vec{y} \in \Lambda} \langle \hat{B}(\vec{x} + \vec{r}_{\text{snk}}, t) \hat{B}(\vec{x}, t) \bar{\hat{B}}(\vec{y} + \vec{r}_{\text{src}}, 0) \bar{\hat{B}}(\vec{y}, 0) \rangle \\ &= \sum_{n=0}^N \psi_n(\vec{r}_{\text{snk}}) \psi_n^*(\vec{r}_{\text{src}}) e^{-\Delta E_n t} + O(e^{-\Delta E^* t})\end{aligned}$$

- Optimizing two-hadron operators by incorporating inter-hadron w.f.  $\Psi_n(\vec{r})$

$$\begin{aligned}\hat{O}_n(t) &= \frac{1}{V^2} \sum_{\vec{x}, \vec{r} \in \Lambda} \hat{B}(\vec{x} + \vec{r}, t) \hat{B}(\vec{x}, t) \Psi_n^*(\vec{r}) \\ \frac{1}{V} \sum_{\vec{r} \in \Lambda} \Psi_n^*(\vec{r}) \psi_m(\vec{r}) &= \delta_{nm}\end{aligned}$$

- Applying  $\hat{O}_n$  as the source operator

$$R(\vec{r}_{\text{snk}}, t) = \frac{e^{2m_B t}}{V} \sum_{\vec{x} \in \Lambda} \langle \hat{B}(\vec{x} + \vec{r}_{\text{snk}}, t) \hat{B}(\vec{x}, t) \hat{O}_n^\dagger(0) \rangle \simeq \psi_n(\vec{r}_{\text{snk}}) e^{-\Delta E_n t}$$

# Optimized vs Conventional operators

- Optimized operators incorporate realistic spatial information  $\Psi_n(\vec{r})$
- Conventional operators can be interpreted as certain approximations to  $\Psi_n(\vec{r})$

- The compact operator (place two hadron operators at the same position)

$$\Psi_n(\vec{r}) \sim \delta(\vec{r})$$

- The wall operator (not localized operator, but conceptionally spatial points are equally weighted)

$$\Psi_n(\vec{r}) \sim 1$$

- Operators from the variational method using plane-wave basis  $\{B(\vec{p})B(-\vec{p})\}$

$$\Psi_n(\vec{r}) \sim \tilde{\Psi}_n(\vec{p}_0)\tilde{\Psi}_n(-\vec{p}_0)e^{i\vec{p}_0\cdot\vec{r}} + \tilde{\Psi}_n(\vec{p}_1)\tilde{\Psi}_n(-\vec{p}_1)e^{i\vec{p}_1\cdot\vec{r}} + \dots$$

# Construction of dual functions $\Psi_n(\vec{r})$

- $\Psi_n(\vec{r})$  can be constructed with the NBS kernel  $\mathcal{K}$

$$\Psi_n(\vec{r}) = \sum_{m=0}^N \mathcal{K}_{nm}^{-1} \psi_m(\vec{r}), \quad \mathcal{K}_{nm} = \langle \psi_n | \psi_m \rangle$$
$$\langle \Psi_n | \psi_m \rangle = \delta_{nm}$$

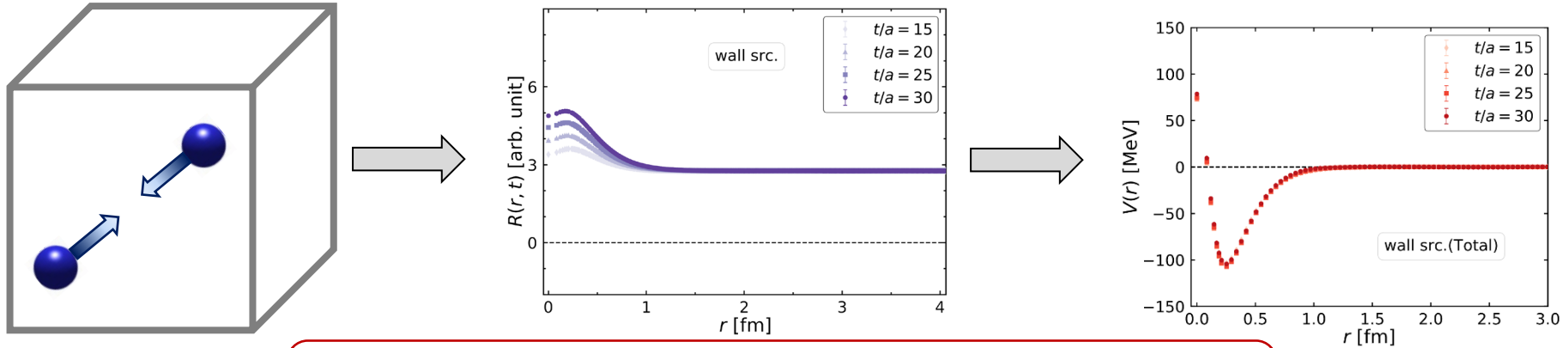
- All NBS amplitudes below inelastic threshold  $\psi_{n < N}(\vec{r})$  are needed

- The NBS amplitude  $\psi_n(\vec{r})$  can be obtained through an iterative procedure

- ❑ Initial guess  $\psi_n^{(0)}(\vec{r})$ 
  - ❑ Models, EFTs ...
  - ❑ Overlapping factors from a variation method
  - ❑ HAL QCD potential
- ❑ Using  $\Psi_n^{(0)}(\vec{r})$  at the source to calculate correlation function  $R_n^{(1)}(\vec{r}, t)$
- ❑ Verifying if  $R_n^{(1)}(\vec{r}, t)$  is stable against  $t$ , if not, extracting  $\psi_n^{(1)}(\vec{r})$  from it and iterate this process until convergence

# Initial w.f.s from the HAL QCD potential

- HAL QCD method: extract an effective potential from a spatiotemporal correlation function without requiring ground-state dominance



$$V^{\text{LO}}(\vec{r}) = R_J^{-1}(\vec{r}, t) \left( \frac{1}{4m_B} \frac{\partial^2}{\partial t^2} - \frac{\partial}{\partial t} + \frac{\nabla^2}{m_B} \right) R_J(\vec{r}, t)$$

N. Ishii, S. Aoki and T. Hatsuda, Phys. Rev. Lett. 99, 022001 (2007)  
N. Ishii, *et al.* [HAL QCD Coll.], Phys. Lett. B 712, 437 (2012)

- Eigen equations on a finite box

$$\left[ -\frac{\nabla^2}{m_B} + V^{(0)}(\vec{r}) \right] \psi_n^{(0)}(\vec{r}) = \frac{k_n^2}{m_B} \psi_n^{(0)}(\vec{r})$$

- Periodic boundary condition is applied

T. Iritani, *et al.* [HAL QCD Coll.], JHEP 03, 007 (2019)  
YL *et al.*, Phys. Rev. D 105, 074512 (2022)

- Eigenfunctions provide good approximation to underlying NBS amplitudes

# Implementation of optimized operators

---

- In lattice QCD, quark propagators are calculated using the smeared quark field

$$\langle q(\vec{x}, t) \bar{q}_f(\vec{y}, 0) \rangle = \sum_{\vec{r} \in \Lambda} \langle q(\vec{x}, t) \bar{q}(\vec{r}, 0) \rangle f(\vec{r} - \vec{y})$$

- Spatial index at source is contracted with the smearing function
  - Spatial index at sink is open
- A direct way to implement the optimized operators needs to compute the quark propagator for all spatial points at the source (all-to-all propagator)
- Prohibitive computational cost
- Our proposal: one can design appropriate source functions
- Embedding the wavefunction  $\Psi_n(\vec{r})$  directly
  - Optimized operators are realized automatically



# Novel smearing functions

## ➤ Novel quark smearing functions at the source

$$G(\vec{r}) = f(\vec{r}), \rightarrow \bar{q}_G = \sum_{\vec{r} \in \Lambda} \bar{q}(\vec{r}) G(\vec{r})$$

$$F_n(\vec{r}) = \frac{1}{V_{\text{sub}}^{1/3}} \sum_{\vec{r}_0 \in \Lambda_{\text{sub}}} Z_3(\vec{r}_0) \Psi_n^{1/3}(\vec{r}_0) f(\vec{r} - \vec{r}_0), \rightarrow \bar{q}_{F_n} = \sum_{\vec{r} \in \Lambda} \bar{q}(\vec{r}) F_n(\vec{r})$$

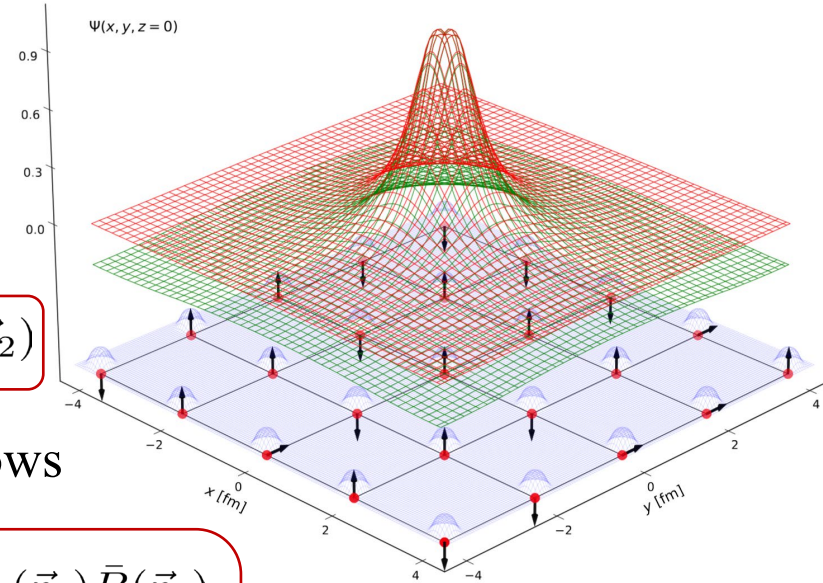
- $f(\vec{r})$  fined tuned for single hadron
- Each point is weighted by  $\Psi_n^{-1/3}(\vec{r}_0)$
- $Z_3$  ensures no mixing terms in  $(\bar{q}_{F_n})^3$

$$\langle\langle Z_3(\vec{r}_0) Z_3(\vec{r}_1) Z_3(\vec{r}_2) \rangle\rangle_{Z_3} = \delta(\vec{r}_0 - \vec{r}_1) \delta(\vec{r}_0 - \vec{r}_2)$$

## ➤ The optimized operators are realized as follows

$$(\bar{q}_G)^3 (\bar{q}_{F_n})^3 = \frac{1}{V_{\text{sub}}} \bar{B}(\vec{0}) \sum_{\vec{r}_0 \in \Lambda_{\text{sub}}} \Psi_n(\vec{r}_0) \bar{B}(\vec{r}_0)$$

$$\simeq \frac{1}{V} \bar{B}(\vec{0}) \sum_{\vec{r}_0 \in \Lambda} \Psi_n(\vec{r}_0) \bar{B}(\vec{r}_0)$$



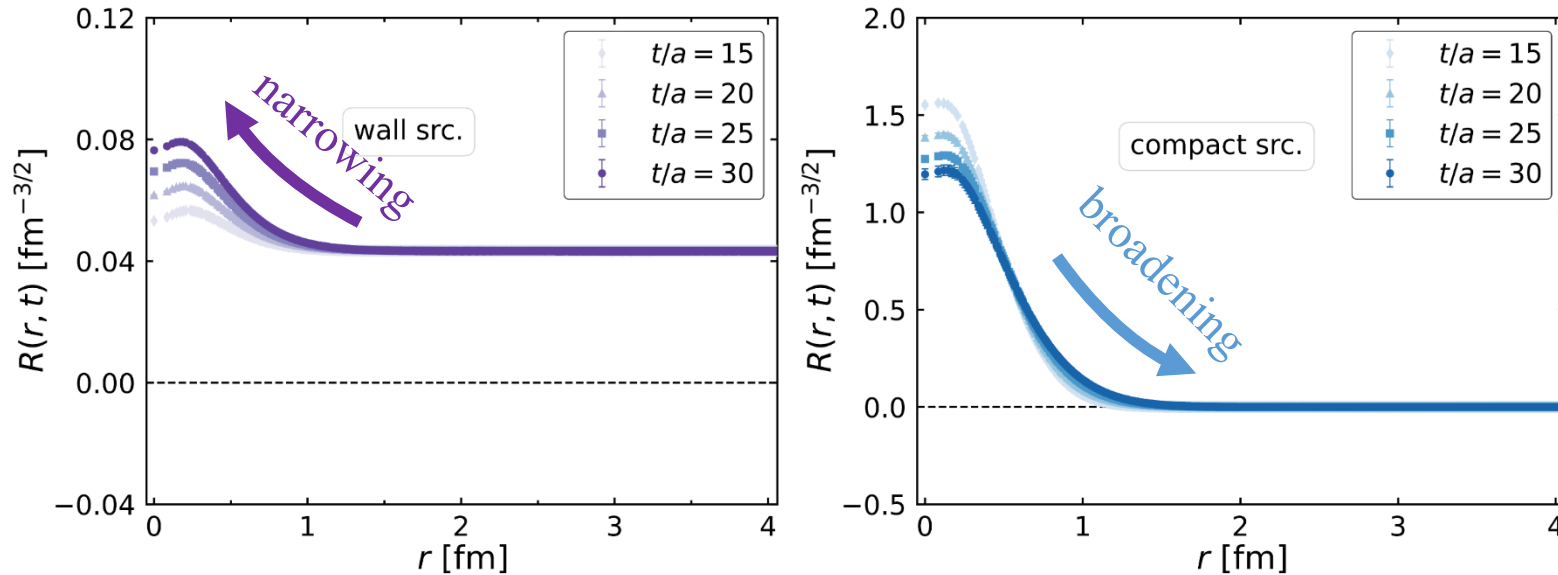
# Application to $\Omega_{ccc}\Omega_{ccc}$

- Why  $\Omega_{ccc}\Omega_{ccc}$ ?
  - State identification is challenging, as spectra extremely dense
  - Allowing to test the method on both bound state and scattering states
  - Smaller statistical fluctuations
- Lattice gauge configurations at physical point (HAL-conf-2023)
  - Iwasaki gauge action
  - $O(a)$ -improved Wilson quark action for  $uds$  quark
  - Relativistic heavy quark action for  $c$  quark

$L^3 \times T$	$a$ [fm]	$La$ [fm]	$m_\pi$ [MeV]	$m_K$ [MeV]	$m_\pi L$
$96^3 \times 96$	0.0846	8.1	137.1(3)	501.8(3)	5.63(1)

T. Aoyama *et al.* [HAL QCD Coll.], Phys. Rev. D 110, 094502 (2024)  
Y. Namekawa *et al.* [PACS Coll.], Proc. Sci., LATTICE2016 125 (2017)

# Correlation functions from wall/compact sources



- Clear  $t$  dependence is observed for each source
  - For wall source: flat profile  $\rightarrow$  localized profile
  - For compact source: delta-like profile  $\rightarrow$  extended profile
- The flat and delta profiles are two extremes, while realistic profiles should lie somewhere in between

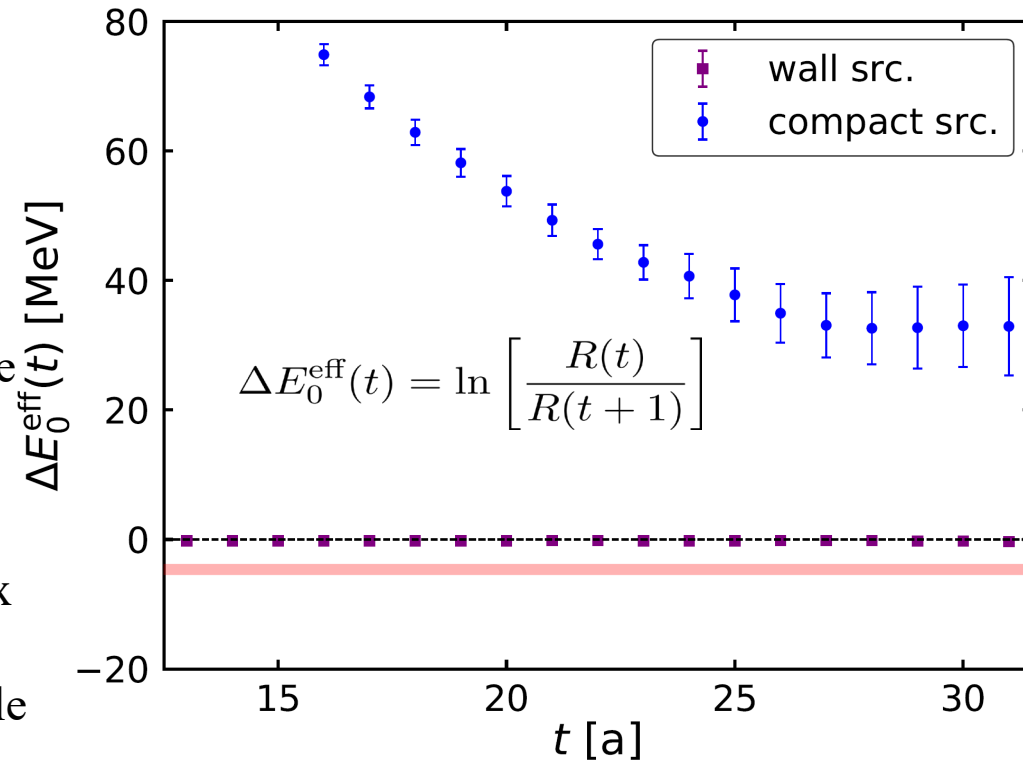
# The ground-state energy

- Ground-state energy derived from the temporal correlation functions

$$R_{\text{wall}}(t) = \sum_{\vec{r} \in \Lambda} R_{\text{wall}}(\vec{r}, t)$$

$$R_{\text{compact}}(t) = \sum_{|\vec{r}| < r_B} R_{\text{compact}}(\vec{r}, t)$$

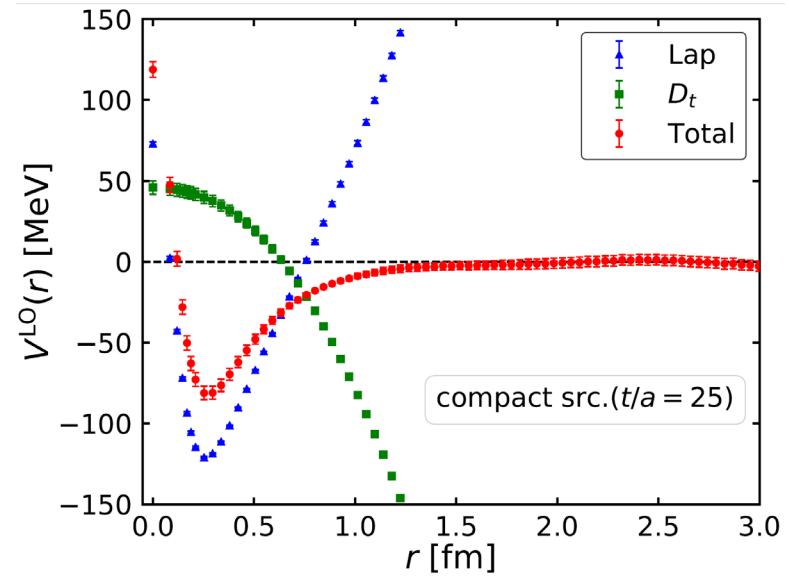
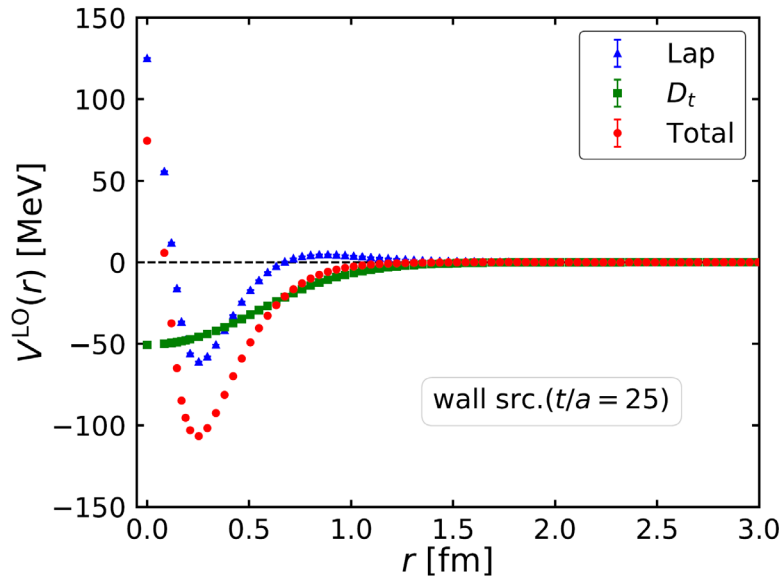
- To make sink operators resemble the source operators
  - ✓ Wall: equally weight summation is over entire box
  - ✓ Compact: summation is restricted by the size of single hadron



- Fake plateaux appear due to contaminations from nearby states, resulting in two different energies both deviating from the correct ground state energy (the band)

# HAL QCD potentials

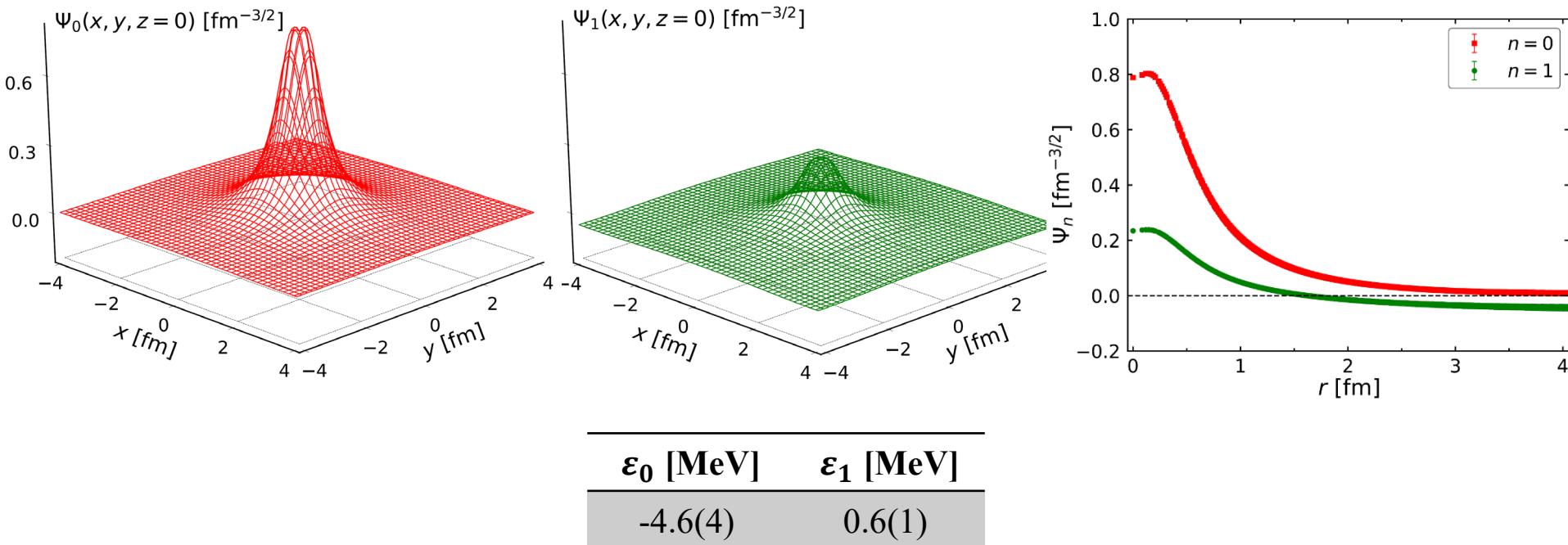
$$V^{\text{LO}}(\vec{r}) = R_J^{-1}(\vec{r}, t) \left( \frac{1}{4m_B} \frac{\partial^2}{\partial t^2} - \frac{\partial}{\partial t} + \frac{\nabla^2}{m_B} \right) R_J(\vec{r}, t)$$



- Total potentials are quite similar, although each component is radically different
- Different combinations of elastic scattering states lead to a nearly identical potential
  - Leveraging both temporal and spatial information is crucial to obtain the underlying potential

# Eigen functions on a finite box

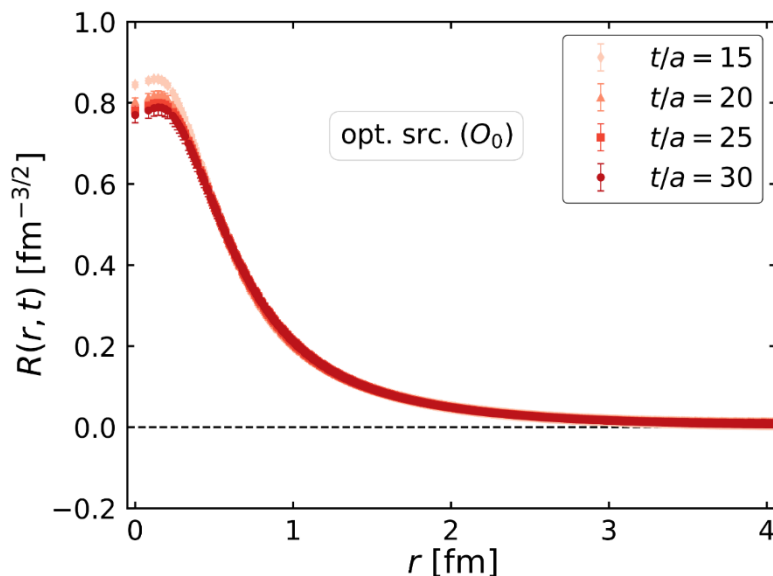
- Solving the eigen equation with the HAL QCD potential under a discrete periodic three-dimensional box



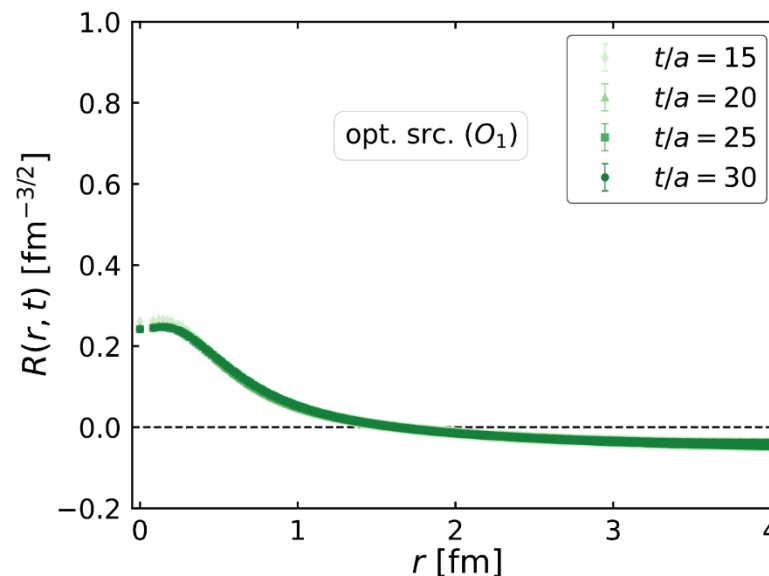
- $\Psi_0(\vec{r})$  is very localized, similar to typical bound state wavefunctions
- $\Psi_1(\vec{r})$  is much more extended, and has one node

# Correlation functions from optimized sources

## ➤ The ground state



## ➤ The first excited state



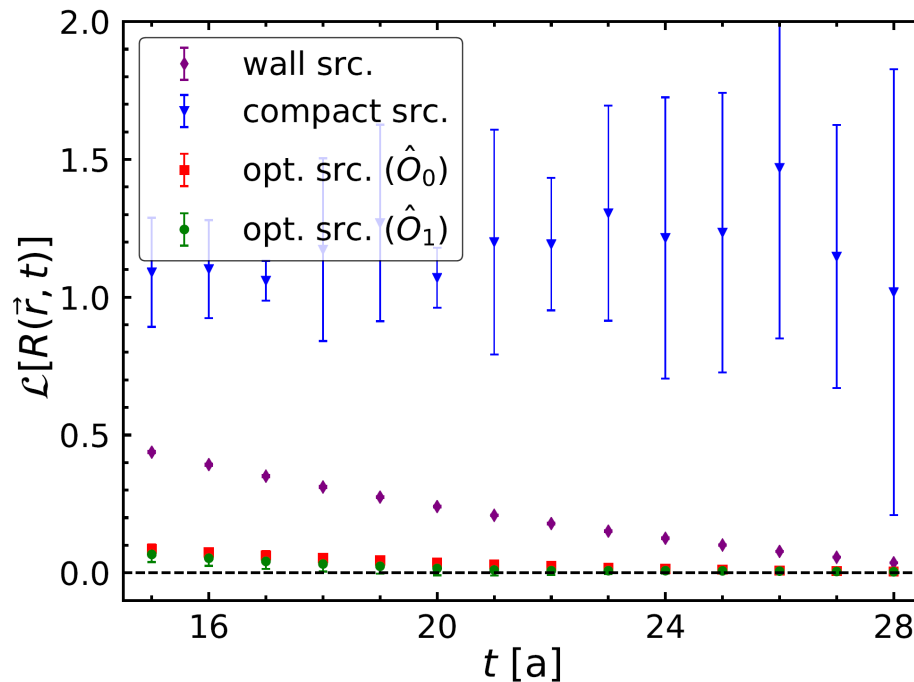
- Both exhibit very stable spatial profiles against a long period of Euclidean time  $t/a = 15 \sim 30$
- The convergence is achieved in the iterative process of operator optimization at this step

# Correlation functions: wall/compact vs optimized

- Define a residue factor to quantify spatial variation of  $R(\vec{r}, t)$  against  $t$  with respect to a  $R(\vec{r}, t_f = 30)$

$$\mathcal{L}[R(\vec{r}, t)] = \frac{1}{V} \sum_{\vec{r} \in \Lambda} \left| \frac{R(\vec{r}, t)/R(\vec{0}, t)}{R(\vec{r}, t_f)/R(\vec{0}, t_f)} - 1 \right|$$

- The residue factor vanishes if  $R(\vec{r}, t)$  is dominated by single state



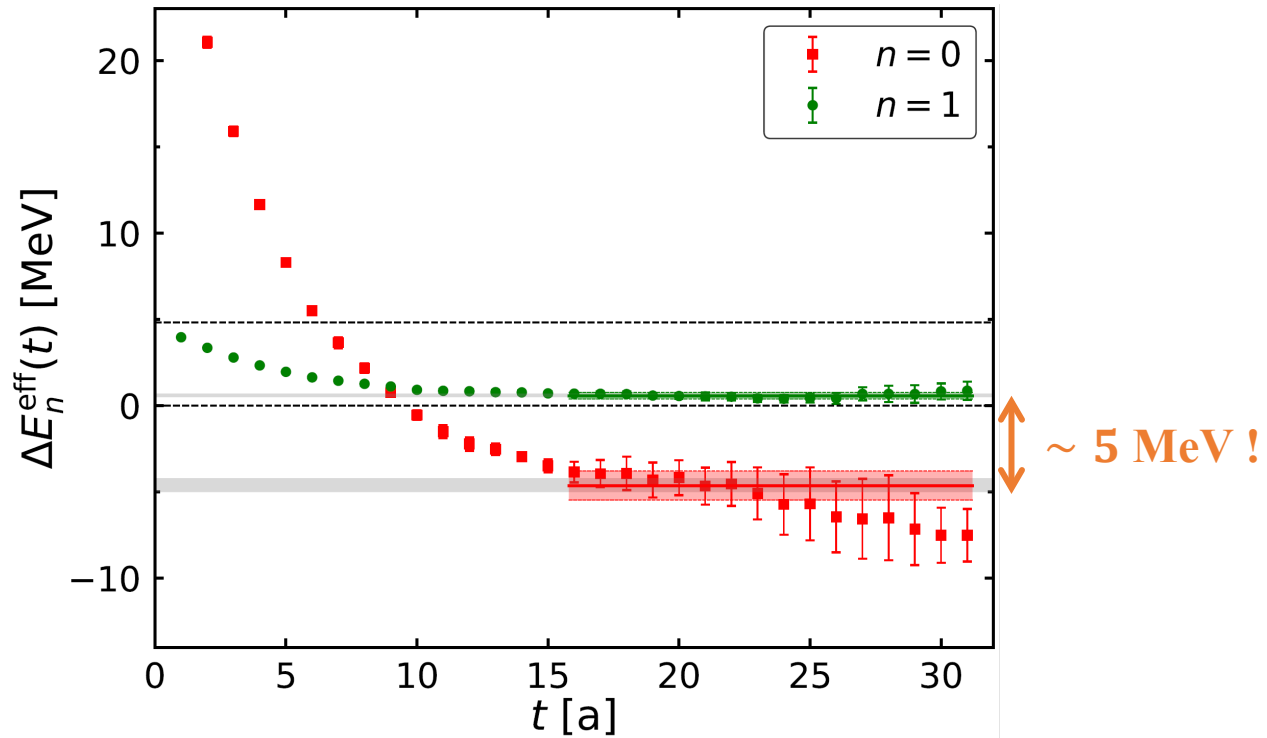


# Effective energies

- The ground/first excited state energy derived from

$$R_0(t) = \langle \hat{O}_0(t) \hat{O}_0^\dagger(0) \rangle = \sum_{\vec{r} \in \Lambda} \Psi_0(\vec{r}) R_0(\vec{r}, t)$$

$$R_1(t) = \langle \hat{O}_1(t) \hat{O}_1^\dagger(0) \rangle = \sum_{\vec{r} \in \Lambda} \Psi_1(\vec{r}) R_1(\vec{r}, t)$$



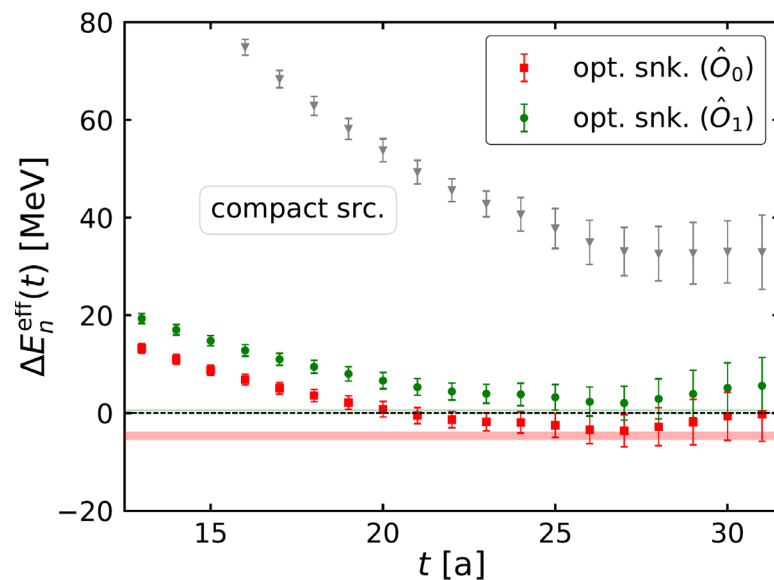
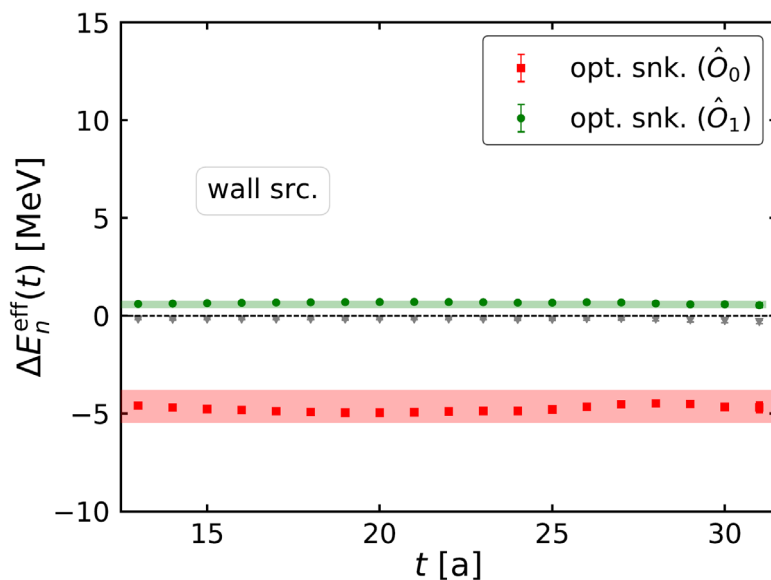
- Spectra identification is achieved for states with energy gap  $\sim 5$  MeV
- Spectra are consistent with the engine values (gray bands) from the potential
- Without  $\hat{O}_{0,1}$ , it would require  $t > 1000$  [a] (90 fm) to archive  $e^{-\Delta E t} < 10\%$

# Applying optimized operators at the sink

- The ground/first excited state energy derived from

$$R_{0,1}(t) = \langle \hat{O}_{0,1}(t) \hat{J}^\dagger(0) \rangle = \sum_{\vec{r} \in \Lambda} \Psi_{0,1}(\vec{r}) R_J(\vec{r}, t)$$

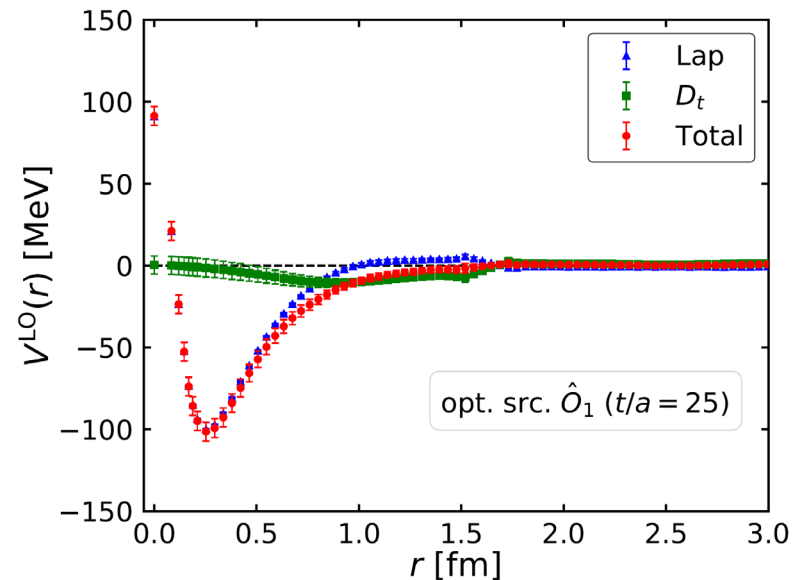
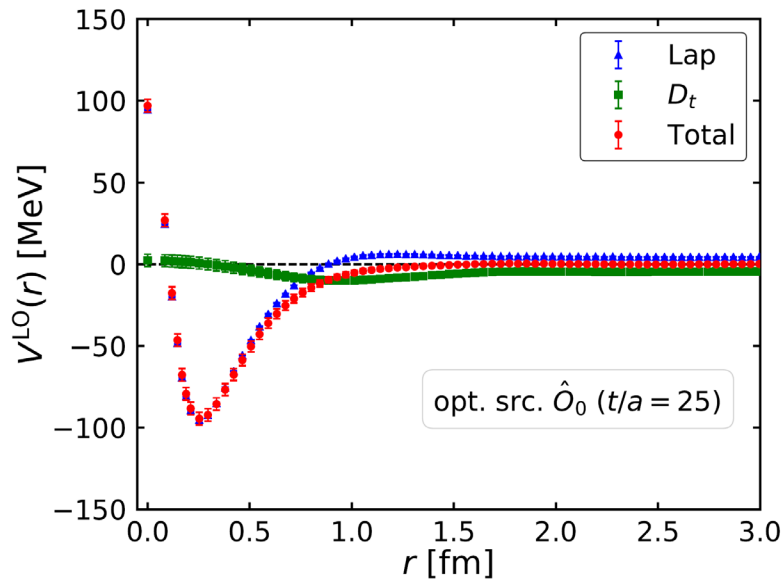
- $\hat{J}^\dagger(0)$  represents the wall/compact source



- The obtained plateaux are consistent with the genuine energies of the ground/ first excited state for each source

# HAL QCD potentials

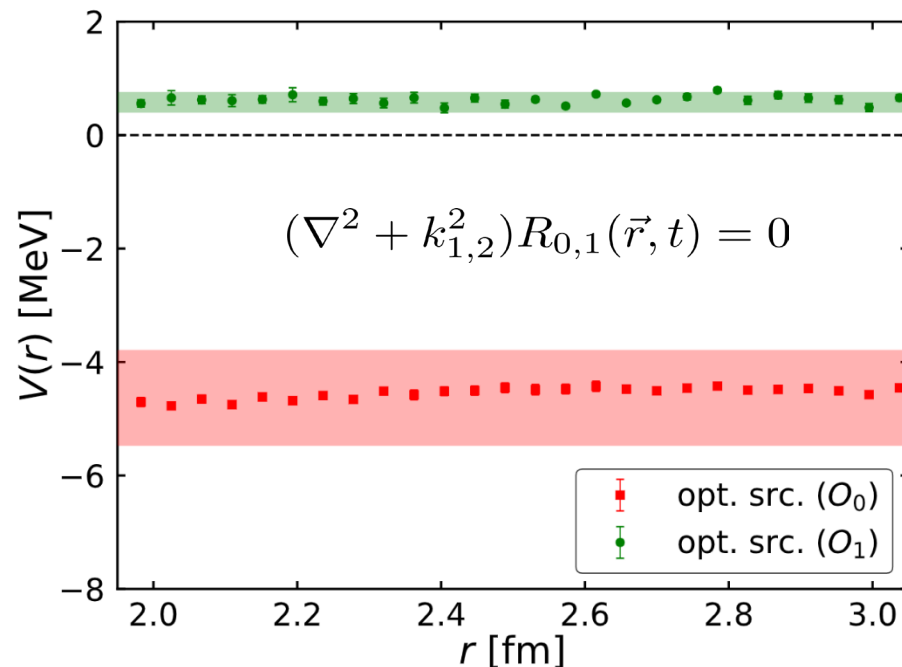
$$V^{\text{LO}}(\vec{r}) = R_J^{-1}(\vec{r}, t) \left( \frac{1}{4m_B} \frac{\partial^2}{\partial t^2} - \frac{\partial}{\partial t} + \frac{\nabla^2}{m_B} \right) R_J(\vec{r}, t)$$



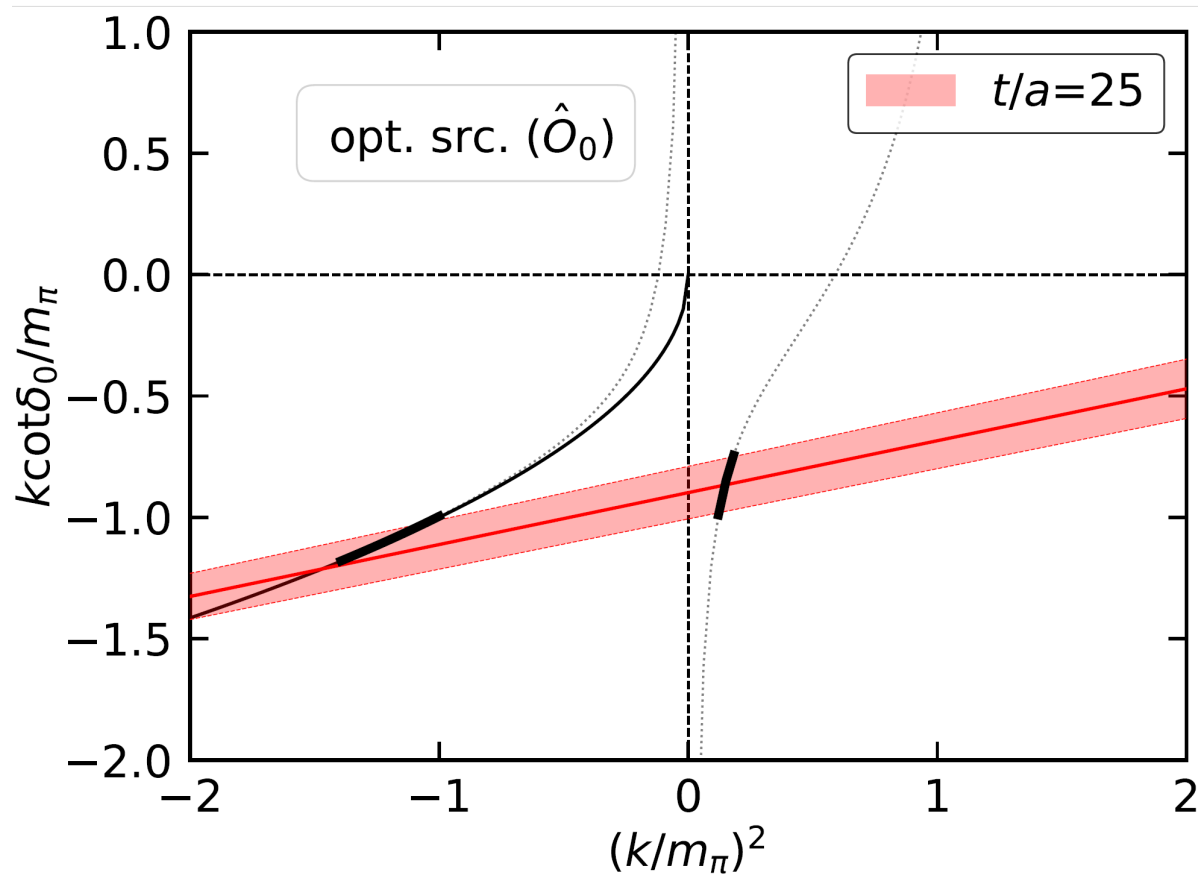
- Total potentials are almost identical
- Laplacian term provides dominated contribution
  - $D_t$  terms contribute a nearly constant shift

# Finite volume effect on the two-particle spectra

- How to confirm the correctness of finite volume spectrum
  - Simple estimation based on dimensionless parameter  $m_\pi L$
- Direct confirmation
  - Single-state dominance is achieved in  $R_{0,1}(\vec{r}, t)$
  - The lattice volume is large enough to have the asymptotic region



# Scattering phase shifts



- Excellent agreement between scattering phase shifts from finite volume spectra and those from the potential

# Summary

---

- Operator optimization plays an important role in lattice QCD
  - Developed a systematic way to construct optimized two-hadron operators based on inter-hadron spatial wavefunctions
  - Proposed a novel quark smearing technique using  $Z_3$  noise to effectively implement optimized operators at the source
  - Applied the method to the  $\Omega_{ccc}\Omega_{ccc}$  system, and successfully identify two states with energy gap  $\sim 5$  MeV

# Discussions

---

- The proposed method can be used to determine
  - Finite volume spectra for various two-hadron systems
  - Two-hadron matrix element, such as those for  $0\nu\beta\beta$  decay
  
- Further remarks
  - To initiate the iterative process with w.f.s from EFT/models
  - Our operators provide better variational basis than simple plane wave
  - The framework enables an independent treatment of the single hadron and two-hadron systems
    - ✓ Adapt various local smearing for single hadron
    - ✓ Accommodate different inter-hadron w.f.s for two-hadron systems

*Thanks for your attention!*