

Single-spin measurements and heavy new physics in the $e^+e^- \rightarrow t\bar{t}$ process at an FCC-ee

Haotian Cao

Northwestern University&CFNS

H.C., Petriello, arXiv:2511.01994

BNL, January 29, 2026



Northwestern
University

Outline

1 Motivation and Background

2 Observable

3 Numerical Result

4 Conclusion and outlook



Northwestern
University

Outline

1 Motivation and Background

2 Observable

3 Numerical Result

4 Conclusion and outlook



Northwestern
University

Why Expect New Physics

- The Standard Model has been tested with remarkable precision

Observable	Measurement	SM
M_Z [GeV]	91.1876 ± 0.0021	91.1884 ± 0.0019
Γ_Z [GeV]	2.4955 ± 0.0023	2.4940 ± 0.0009
$\sin^2 \theta_{\text{eff}}^{\ell}$	0.2324 ± 0.0012	0.23161 ± 0.00004

[Particle Data Group]



Northwestern
University

Why Expect New Physics

- The Standard Model has been tested with remarkable precision
- Successfully describes strong, and electroweak interactions

Observable	Measurement	SM
M_Z [GeV]	91.1876 ± 0.0021	91.1884 ± 0.0019
Γ_Z [GeV]	2.4955 ± 0.0023	2.4940 ± 0.0009
$\sin^2 \theta_{\text{eff}}^{\ell}$	0.2324 ± 0.0012	0.23161 ± 0.00004

[Particle Data Group]



Northwestern
University

Why Expect New Physics

- The Standard Model has been tested with remarkable precision
- Successfully describes strong, and electroweak interactions
- Expected to break down at some higher energy scale

Observable	Measurement	SM
M_Z [GeV]	91.1876 ± 0.0021	91.1884 ± 0.0019
Γ_Z [GeV]	2.4955 ± 0.0023	2.4940 ± 0.0009
$\sin^2 \theta_{\text{eff}}^{\ell}$	0.2324 ± 0.0012	0.23161 ± 0.00004

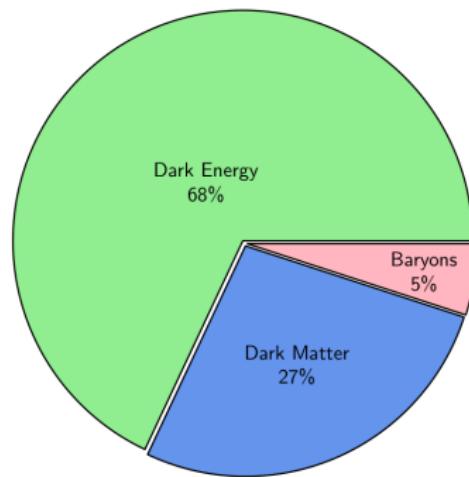
[Particle Data Group]



Northwestern
University

Why the Standard Model is Incomplete

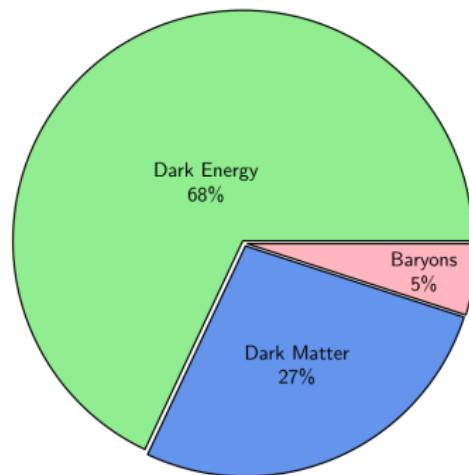
- **Cosmological evidence:** dark matter and dark energy point to physics beyond the SM



Northwestern
University

Why the Standard Model is Incomplete

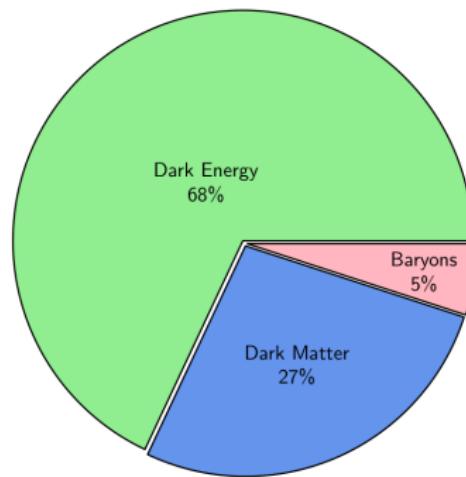
- **Cosmological evidence:** dark matter and dark energy point to physics beyond the SM
- **Particle physics evidence:** neutrinos have non-zero masses



Northwestern
University

Why the Standard Model is Incomplete

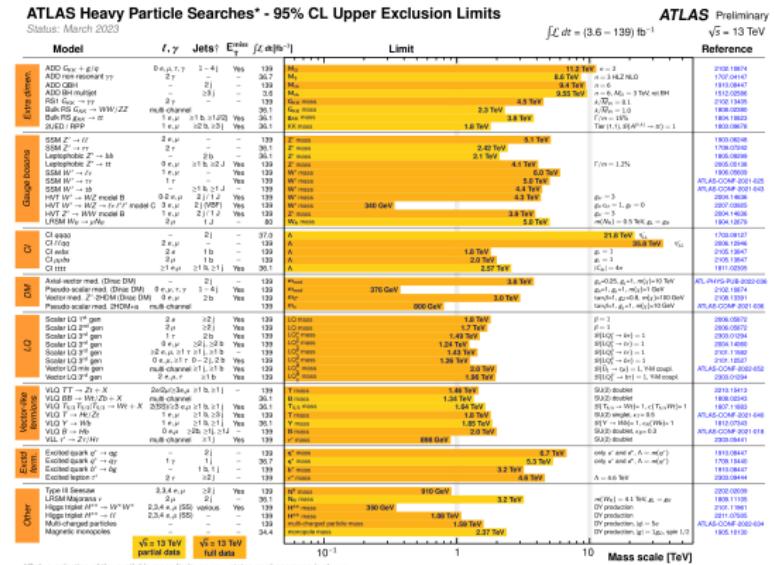
- **Cosmological evidence:** dark matter and dark energy point to physics beyond the SM
- **Particle physics evidence:** neutrinos have non-zero masses
- This requires an extension of the SM



Northwestern
University

Direct Searches for New Physics at the LHC

- Absence of direct discovery of new physics at the LHC
- Scale of new physics lies above the energies currently accessible at colliders



Only a fraction of the available mass lies on the basis of which the above is shown. Most other mass lies as denoted in the letter A.

smaller values (large factors) are denoted by the letter J (J).

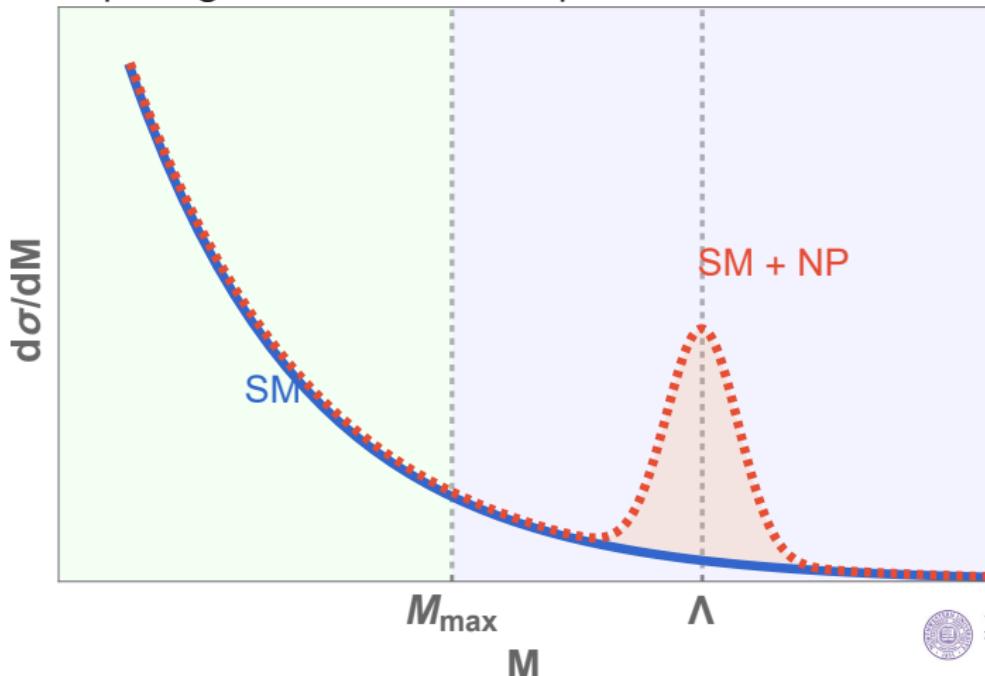
[ATLAS]



Northwestern
University

Standard Model Effective Field Theory

New physics exist at scale $\Lambda \gg$ Maximum energy from collider M_{max} .
We need to exploring indirect effects via precision measurements



Northwestern
University

Standard Model Effective Field Theory

As $\Lambda \gg M_{max}$, their effects can be captured by Standard Model Effective Field Theory (SMEFT)

SMEFT: An EFT that describes the indirect effects of heavy new physics at low energies, it extends SM with higher-dimension operators suppressed by Λ built from Standard Model fields and respecting its gauge symmetries.

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i^{(5)}}{\Lambda} \mathcal{O}_i^{(5)} + \sum_j \frac{C_j^{(6)}}{\Lambda^2} \mathcal{O}_j^{(6)} + \dots$$

- Dim-5: lepton number violation \rightarrow neutrino masses (irrelevant here)
- Dim-6: leading contributions to top-quark production

$$\sigma \sim |M_{SM}|^2 + \frac{2}{\Lambda^2} \text{Re} |M_{SM} M_6^*|^2 + \frac{1}{\Lambda^4} |M_6|^2 + \dots$$



Northwestern
University

What can SMEFT teach us?

Analyzing precision data within the SMEFT framework allows for two complementary outcomes.

Best case: Non-zero Wilson coefficient signals, indicating new physics at a scale not far above current experimental reach, providing a concrete target for future colliders.

Otherwise: Strong constraints on Wilson coefficients will rule out many new physics models and tell us where to look next, both experimentally and theoretically.

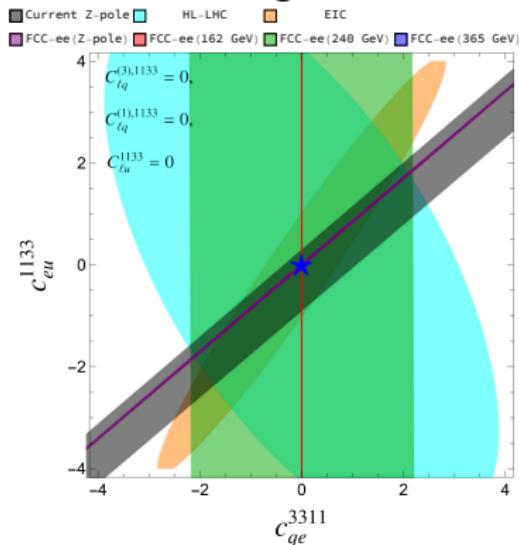
We've seen this strategy before in particle physics. Precision electroweak data pointed to the Higgs mass well before the Higgs was found.



Northwestern
University

Features of the SMEFT framework

- A broad range of NP model can be matched to SMEFT. Determine constraint on SMEFT coefficient is equivalent to determine constraint on the NP model.
- It allows straightforward comparisons of different experiment.



We can compare constraints on Wilson coefficient from Different experiments.

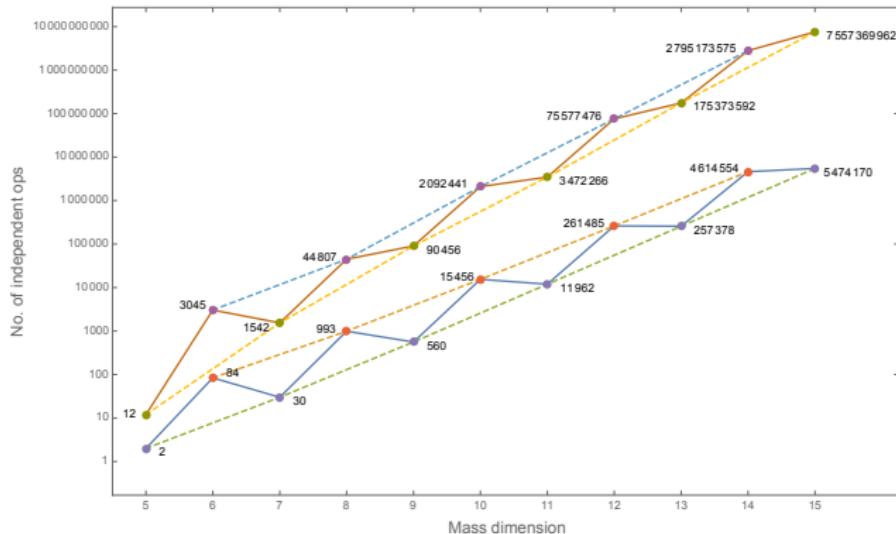
An example of **flat direction**: One experiment can only probe one linear combination of Wilson coefficients; need different experiment to break the degeneracy.

[Bellafronte, Dawson, Giardino, Liu](2025)



Dimension-Six Operators in SMEFT

Even at dimension six, there is a large SMEFT parameter space.



[Henning, Lu, Melia, Murayama](2015)



Northwestern
University

Top Quark: A Unique Window to New Physics

The Heaviest known elementary particle

Top quark mass: 173 GeV, Yukawa coupling ~ 1



Northwestern
University

Top Quark: A Unique Window to New Physics

The Heaviest known elementary particle

Top quark mass: 173 GeV, Yukawa coupling ~ 1

Short Lifetime and Spin Observables

Top quark decays before hadronization.

The spin and other quantum information of the top quark are directly imprinted on its decay products.

→ Enables detailed study of top spin observable and their sensitivity to new physics.



Northwestern
University

Spin measurements at the LHC

- Top-quark spin measurements, in particular spin correlations in $t\bar{t}$ production, have already been performed at the LHC
- These measurements have motivated extensive theoretical studies of quantum information aspects of top quarks. [\[Afik,de Nova\]\(2022\)](#), [\[Maltoni, Severi, Tentori, Vryonidou\]\(2024\)](#), ...

Motivation for FCC-ee

- Clean e^+e^- environment: electroweak production dominates, QCD corrections to spin observables are small [\[Brandenburg, Flesch, Uwer\]\(1998\)](#)
- Record approximately one million $t\bar{t}$ events during its operation

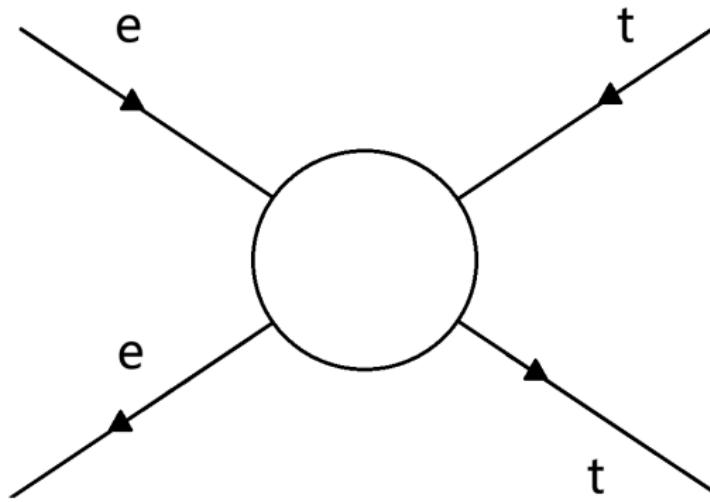
Recent FCC-ee studies

- Top–antitop quantum information at FCC-ee [\[Maltoni, Severi, Tentori, Vryonidou\]\(2024\)](#)



Relevant Dimension-6 Operators for $e^+e^- \rightarrow t\bar{t}$

We focus on operators that directly affect $e^+e^- \rightarrow t\bar{t}$ near threshold

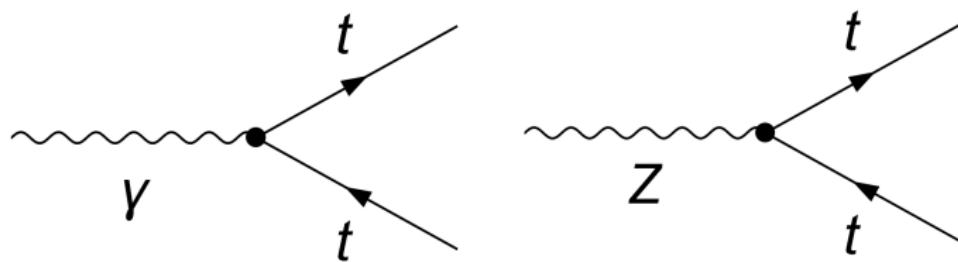


Relevant Dimension-6 Operators for $e^+e^- \rightarrow t\bar{t}$

We focus on

We focus on

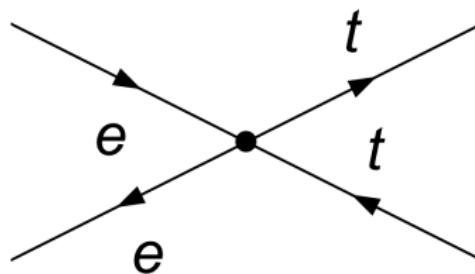
1. Top- Z vertex corrections $((H^\dagger \overset{\leftrightarrow}{D}_\mu H)(\bar{Q}\gamma^\mu Q), \dots)$
2. Electroweak dipole operators $((\bar{Q}\sigma^{\mu\nu}\tau^I t)\tilde{H}W^I_{\mu\nu}, \dots)$



Relevant Dimension-6 Operators for $e^+e^- \rightarrow t\bar{t}$

We focus on

1. Top-Z vertex corrections $((H^\dagger \overset{\leftrightarrow}{D}_\mu H)(\bar{Q}\gamma^\mu Q), \dots)$
2. Electroweak dipole operators $((\bar{Q}\sigma^{\mu\nu}\tau^I t)\tilde{H}W_{\mu\nu}^I, \dots)$
3. Four-fermion interactions $((\bar{Q}\gamma^\mu Q)(\bar{I}\gamma_\mu I), \dots)$



Northwestern
University

Outline

1 Motivation and Background

2 Observable

3 Numerical Result

4 Conclusion and outlook



Northwestern
University

Observables

Our goal is to study how the dimension-6 Wilson coefficients affect the following observables.:

- Unpolarized cross sections
- Single Spin measurement
- Spin correlation

Assuming that the top and anti-top have spin directions \hat{s}_t and $\hat{s}_{\bar{t}}$ respectively, The matrix-element squared for $e^+e^- \rightarrow t\bar{t}$ is:

$$|\mathcal{M}(\hat{s}_t, \hat{s}_{\bar{t}})|^2 = A + \hat{s}_t B^{(t)} + \hat{s}_{\bar{t}} B^{(\bar{t})} + \hat{s}_t \hat{s}_{\bar{t}} C^{(t\bar{t})}$$



Northwestern
University

Spin Basis Choice

Define:

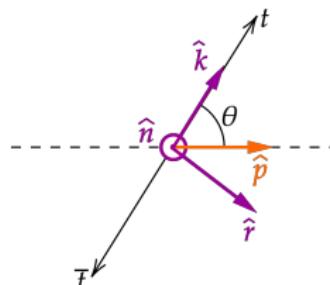
\hat{k} = top momentum direction,

\hat{p} = positron beam direction,

$$\hat{k} \cdot \hat{p} = \cos \theta.$$

Construct an orthonormal basis:

$$\hat{r} = \frac{\hat{p} - \hat{k} \cos \theta}{\sin \theta}, \quad \hat{n} = \frac{\hat{p} \times \hat{k}}{\sin \theta}, \quad \beta = \sqrt{1 - \frac{4m_t^2}{s}}.$$



Northwestern
University

Formalism

Considering 4-fermion operators and top-Z vertex corrections, $c_t \equiv \cos \theta_t$, and $s_t \equiv \sin \theta_t$, θ_t is the angle between t and \bar{t}

$$\begin{aligned} A &= F^0(2 - \beta^2 + \beta^2 c_t^2) + F^1 c_t + F^{At}(1 + c_t^2) \\ C_{kk} &= F^0[\beta^2 + (2 - \beta^2)c_t^2] + F^1 c_t + F^{At}(1 + c_t^2) \\ C_{rr} &= F^0(2 - \beta^2)s_t^2 - F^{At}s_t^2 \\ C_{nn} &= -F^0\beta^2 s_t^2 + F^{At}s_t^2 \\ C_{kr} &= 2F^0\sqrt{1 - \beta^2}c_t s_t + F^1 \frac{\sqrt{1 - \beta^2}}{2}s_t \end{aligned}$$

with

$$F^i = F_{[SM]}^i + \frac{1}{\Lambda^2} F_{[d6]}^i + \frac{1}{\Lambda^4} F_{[d8]}^i$$

When $\beta \rightarrow 0$

$$F^0 \sim 1, \quad F^1 \sim \beta, \quad F^{At} \sim \beta^2.$$



Northwestern
University

Formalism

$$\begin{aligned}B_k^{(t)} &= (1 + c_t^2) \textcolor{red}{G^0} + c_t \left[\textcolor{blue}{G^1} + \textcolor{green}{G^{At}} \right], \\B_r^{(t)} &= \sqrt{1 - \beta^2} c_t s_t \textcolor{red}{G^0} + \sqrt{1 - \beta^2} s_t \textcolor{blue}{G^1}, \\B_n^{(t)} &= 0.\end{aligned}$$

Gs are different linear combination of Wilson coefficient from Fs. This means single spin observables probe directions in Wilson-coefficient space that are invisible to traditional measurements When $\beta \rightarrow 0$

$$\textcolor{red}{G^0} \sim \beta, \quad \textcolor{blue}{G^1} \sim 1, \quad \textcolor{green}{G^{At}} \sim \beta^2.$$



Formalism

$F_{[d6]}^0$ and $G_{[d6]}^1$ are proportional to different linear combinations of Wilson coefficients.

$$F_{[d6]}^0(\beta = 0) \sim e^2 Q_e Q_t C_{VV} + g_{vt} \frac{1}{(1 - M_Z^2/4m_t^2)} \{g_{ve} C_{VV} + g_{ae} C_{VA}\}$$

$$G_{[d6]}^1(\beta = 0) \sim e^2 Q_e Q_t C_{VA} + g_{vt} \frac{1}{(1 - M_Z^2/4m_t^2)} \{g_{ve} C_{VA} + g_{ae} C_{VV}\}$$

Both the unpolarized cross section and the spin-correlated terms are proportional to one linear combination of C_{VV} and C_{VA} . The single-spin terms depend on a different combination and provide different information on possible new physics. We can see this explicitly later in our numerical results.



Formalism

Considering electroweak dipole operators

The electroweak dipole operators introduce a new Dirac structure.

$$\begin{aligned} A_{[d6,D]} &= F_{[d6,D]}^0 + F_{[d6,D]}^1 c_t, \\ C_{kk,[d6,D]}^{(t\bar{t})} &= F_{[d6,D]}^0 c_t^2 + F_{[d6,D]}^1 c_t, \\ C_{rr,[d6,D]}^{(t\bar{t})} &= F_{[d6,D]}^0 s_t^2, \\ C_{nn,[d6,D]}^{(t\bar{t})} &= 0, \\ C_{kr,[d6,D]}^{(t\bar{t})} &= F_{[d6,D]}^0 \frac{2 - \beta^2}{2\sqrt{1 - \beta^2}} c_t s_t + F_{[d6,D]}^1 \frac{s_t}{2\sqrt{1 - \beta^2}} c_t \end{aligned}$$

When $\beta \rightarrow 0$

$$F_{[d6,D]}^0 \sim 1, \quad F_{[d6,D]}^1 \sim \beta,$$



Formalism

Considering electroweak dipole operators.

The electroweak dipole operators introduce a new Dirac structure.

$$\begin{aligned} B_{k,[d6,D]} &= G_{[d6,D]}^0 c_t + G_{[d6,D]}^1 (1 + c_t^2), \\ B_{r,[d6,D]} &= -G_{[d6,D]}^0 \frac{s_t(2 - \beta^2)}{2\sqrt{1 - \beta^2}} + G_{[d6,D]}^1 \frac{c_t s_t}{\sqrt{1 - \beta^2}} \end{aligned} \quad (1)$$

When $\beta \rightarrow 0$

$$G_{[d6,D]}^0 \sim 1, \quad G_{[d6,D]}^1 \sim \beta,$$



Northwestern
University

Angular structure of $t\bar{t}$ production

Angular decomposition

$$\frac{1}{\sigma} \frac{d\sigma}{dc_t} = \frac{1}{2} [1 + A_{FB} P_1(c_t) + A_2 P_2(c_t)]$$

$P_i(c_t)$: Legendre polynomials



Northwestern
University

Angular structure of $t\bar{t}$ production

Angular decomposition

$$\frac{1}{\sigma} \frac{d\sigma}{dc_t} = \frac{1}{2} [1 + A_{FB} P_1(c_t) + A_2 P_2(c_t)]$$

Dependence on F

$$\sigma \propto 2 \left(1 - \frac{\beta^2}{3}\right) F^0 + \frac{4}{3} F^{At}$$

$$A_{FB} \propto F^1$$

$$A_2 \propto \frac{2}{3} \left(\beta^2 F^0 + F^{At}\right)$$

$P_i(c_t)$: Legendre polynomials



Northwestern
University

Angular structure of $t\bar{t}$ production

Dependence on F near threshold ($\beta \rightarrow 0$)

$$\sigma \propto 2 \left(1 - \frac{\beta^2}{3}\right) F^0 + \frac{4}{3} F^{At} \sim 2F^0$$

$$A_{FB} \propto F^1$$

$$A_2 \propto \frac{2}{3} \left(\beta^2 F^0 + F^{At}\right)$$

A_{FB} provides a direct probe of F^1

Near threshold, σ is dominated by F^0

A_2 offers enhanced sensitivity to F^{At}



Northwestern
University

Outline

1 Motivation and Background

2 Observable

3 Numerical Result

4 Conclusion and outlook



Northwestern
University

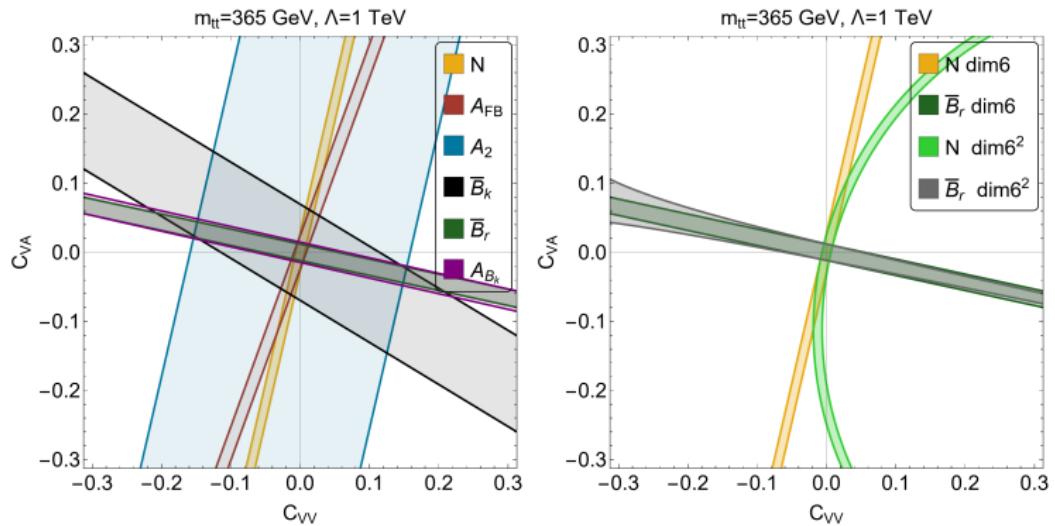
One-coefficient bounds

Expected 95% CL bounds on Λ for different $C_i = 1$ using $\sqrt{s} = 365$ GeV.
A 1% systematic uncertainty is included for all observables.
The spin correlation will never provide the strongest bound.

	N	A_{FB}	A_2	\bar{B}_k	A_{B_k}	\bar{B}_r	A_{B_r}	\bar{C}_{kk}
$\Lambda_{C_{VV}=1} [TeV]$	14	12	2.8	3.3	4.7	4.9	1.7	1.2
$\Lambda_{C_{VA}=1} [TeV]$	6.7	7.2	1.3	4.2	9.9	10	2.2	0.55
$\Lambda_{C_{AV}=1} [TeV]$	0.99	7.9	1.3	6.1	2.6	0.50	3.2	0.52
$\Lambda_{C_{AA}=1} [TeV]$	3.0	16	3.8	2.8	1.2	1.5	1.5	1.6
$\Lambda_{C_{tZ}=1} [TeV]$	3.5	3.6	1.5	1.7	4.1	4.4	0.97	0.62
$\Lambda_{C_{t\gamma}=1} [TeV]$	7.4	6.5	3.2	1.8	2.6	2.5	0.9	1.3



Two-dimensional constraints

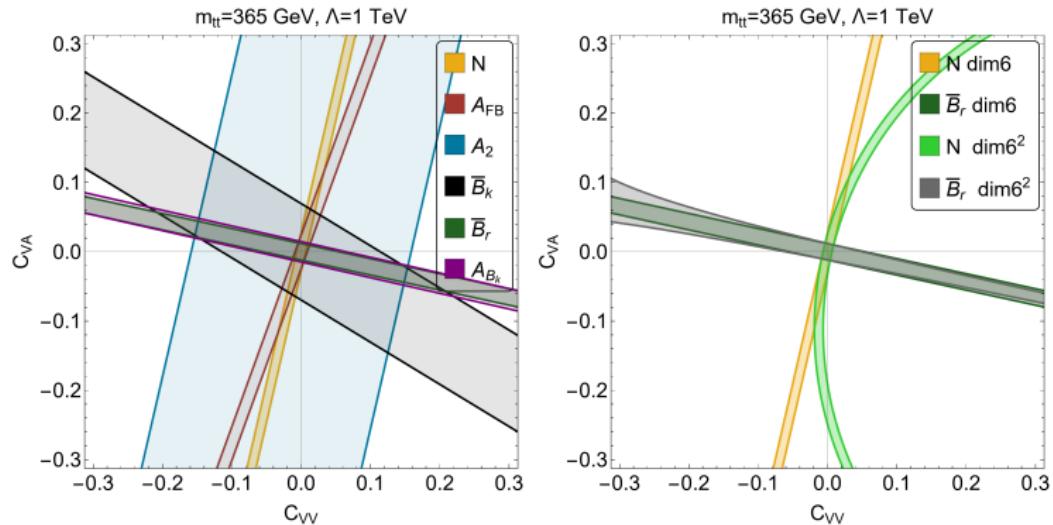


Different observables probe different directions in parameter space.
Single spin observable is helping us to remove flat direction.  NO



Northwestern
University

Validity of the SMEFT expansion

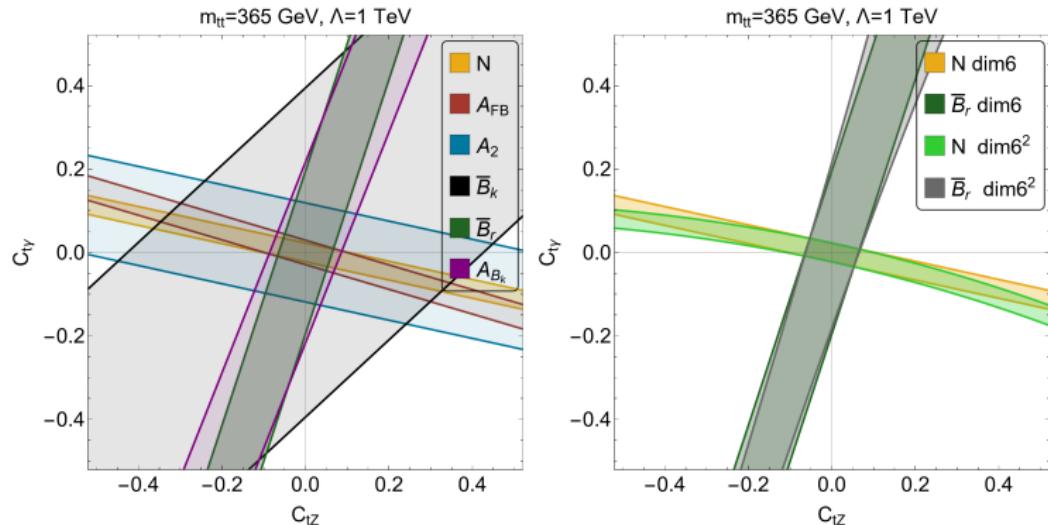


dim 6² are numerically small

Differences appear only in regions already excluded by combined fits
Linear dimension-6 analysis is well justified



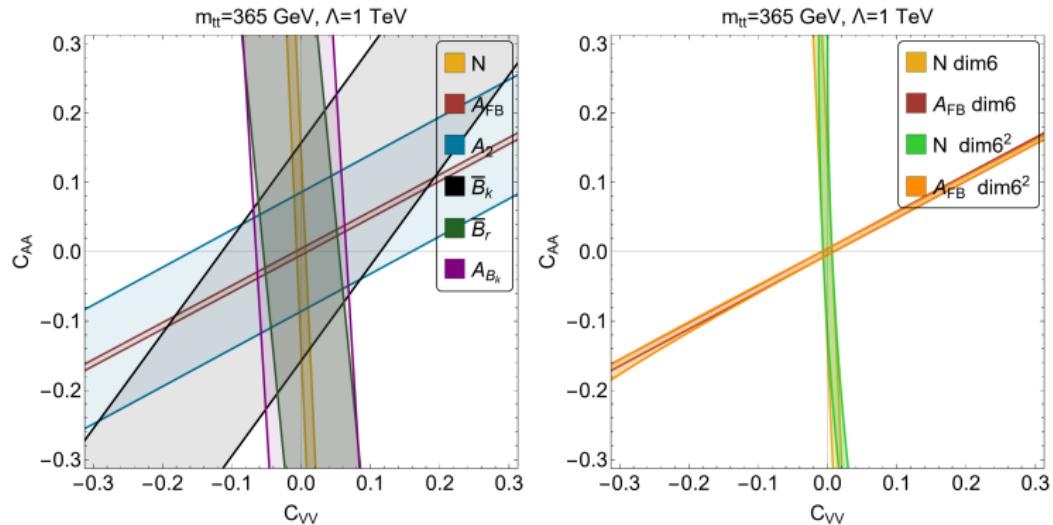
Two-dimensional constraints



The result holds for dipole operators.



Two-dimensional constraints



Different observables probe different directions in parameter space. The potential flat direction can be resolved without spin observables through the measurement of N and A_{FB} .

Outline

1 Motivation and Background

2 Observable

3 Numerical Result

4 Conclusion and outlook



Northwestern
University

Conclusions

- (1) Single-spin measurements probe different combinations of Wilson coefficients in the SMEFT parameter space than other observables, which leads to important complementarity that helps remove flat directions that can appear.
- (2) Single-spin observables provide stronger sensitivity to heavy new physics compared to observables based on correlated $t\bar{t}$ spins.
- (3) For the SMEFT parameter space studied here, a combination of single-spin measurements, the total event rate and the forward-backward asymmetry were sufficient to remove all degeneracies between Wilson coefficients.



Northwestern
University

Outlook: Future Directions

Beyond tree-level

Our study is currently at tree level. Including higher-order QCD and electroweak corrections would capture additional effects.

Polarized linear colliders

Using polarized beams can significantly enhance signal-to-background ratios for many observables.

High-energy muon colliders

Operating at $\sqrt{s} \sim 3 - 10$ TeV, $t\bar{t}$ production would mainly proceed via $\gamma\gamma$ and WW fusion. This opens new possibilities to probe a wider range of top-quark couplings and explore novel phenomena.



Northwestern
University

Thank You!



Northwestern
University

Backup: 4 fermion

$$\begin{aligned}\mathcal{O}_{QI}^{(1)} &= (\bar{Q}\gamma^\mu Q)(\bar{I}\gamma_\mu I), \\ \mathcal{O}_{QI}^{(3)} &= (\bar{Q}\gamma^\mu \tau^I Q)(\bar{I}\gamma_\mu \tau^I I), \\ \mathcal{O}_{Qe} &= (\bar{Q}\gamma^\mu Q)(\bar{e}\gamma_\mu e), \\ \mathcal{O}_{tl} &= (\bar{t}\gamma^\mu t)(\bar{l}\gamma_\mu l), \\ \mathcal{O}_{te} &= (\bar{t}\gamma^\mu t)(\bar{e}\gamma_\mu e).\end{aligned}$$



Northwestern
University

Backup: Top-Z vertex corrections

$$\begin{aligned}\mathcal{O}_{HQ}^{(1)} &= i(H^\dagger \overset{\leftrightarrow}{D}_\mu H)(\bar{Q}\gamma^\mu Q), \\ \mathcal{O}_{HQ}^{(3)} &= i(H^\dagger \overset{\leftrightarrow}{D}_{\mu I} H)(\bar{Q}\gamma^\mu \tau^I Q), \\ \mathcal{O}_{Ht} &= i(H^\dagger \overset{\leftrightarrow}{D}_\mu H)(\bar{t}\gamma^\mu t).\end{aligned}$$



Northwestern
University

Backup: Electroweak dipole operators

$$\begin{aligned}\mathcal{O}_{tW} &= (\bar{Q}\sigma^{\mu\nu}\tau^I t)\tilde{H}W_{\mu\nu}^I, \\ \mathcal{O}_{tB} &= (\bar{Q}\sigma^{\mu\nu}t)\tilde{H}B_{\mu\nu}.\end{aligned}$$



Northwestern
University

Backup: F_{d6}

$$\begin{aligned}
 F_{[d6]}^0 &= 8N_C e^2 Q_e Q_t C_{VV} \frac{m_t^2}{1 - \beta^2} + 32N_C \frac{m_t^4}{(1 - \beta^2) D_Z} g_{vt} \{g_{ve} C_{VV} + g_{ae} C_{VA}\} \\
 &\quad - \frac{4N_C e^3 Q_t Q_e C_{HV} g_{ve} v^2 m_t^2}{c_w s_w D_Z} - \frac{16N_C e C_{HV} g_{vt} v^2 m_t^4 (g_{ae}^2 + g_{ve}^2)}{c_w s_w D_Z^2}, \\
 F_{[d6]}^1 &= 16N_C e^2 Q_e Q_t C_{AA} \beta \frac{m_t^2}{1 - \beta^2} + 64N_C \beta \frac{m_t^4}{(1 - \beta^2) D_Z} g_{vt} \{g_{ve} C_{AA} + g_{ae} C_{AV}\} \\
 &\quad + 64N_C \beta \frac{m_t^4}{(1 - \beta^2) D_Z} g_{at} \{g_{ve} C_{VA} + g_{ae} C_{VV}\} - \frac{8N_C Q_t Q_e \beta e^3 C_{HAG} g_{ae} v^2 m_t^2}{c_w s_w D_Z} \\
 &\quad - \frac{64N_C \beta e^2 g_{ae} g_{ve} v^2 m_t^4 (C_{HAG} g_{vt} + C_{HV} g_{at})}{c_w s_w D_Z^2}, \\
 F_{[d6]}^{At} &= 32N_C \frac{\beta^2 m_t^4}{(1 - \beta^2) D_Z} g_{at} \{g_{ve} C_{AV} + g_{ae} C_{AA}\} - \frac{16N_C \beta^2 e C_{HAG} g_{at} v^2 m_t^4 (g_{ae}^2 + g_{ve}^2)}{c_w s_w D_Z^2}.
 \end{aligned}$$



Northwestern
University

Backup: G_{d6}

$$\begin{aligned}
 G_{[d6]}^0 &= 8N_C e^2 Q_e Q_t \beta C_{AV} \frac{m_t^2}{1 - \beta^2} + 32N_C \beta \frac{m_t^4}{(1 - \beta^2) D_Z} g_{vt} \{g_{ve} C_{AV} + g_{ae} C_{AA}\} \\
 &+ 32N_C \beta \frac{m_t^4}{(1 - \beta^2) D_Z} g_{at} \{g_{ve} C_{VV} + g_{ae} C_{VA}\} - \frac{4N_C Q_t Q_e \beta e^3 C_{HAG} g_{ve} v^2 m_t^2}{c_w s_w D_Z} \\
 &- \frac{16N_C \beta e v^2 m_t^4 (g_{ae}^2 + g_{ve}^2) (C_{HAG} g_{vt} + C_{HV} g_{at})}{c_w s_w D_Z^2}, \\
 G_{[d6]}^1 &= 16N_C e^2 Q_e Q_t C_{VA} \frac{m_t^2}{1 - \beta^2} + 64N_C \frac{m_t^4}{(1 - \beta^2) D_Z} g_{vt} \{g_{ve} C_{VA} + g_{ae} C_{VV}\} \\
 &- \frac{64N_C e g_{ae} g_{ve} v^2 m_t^4 C_{HV} g_{vt}}{c_w s_w D_Z^2} - \frac{8N_C Q_t Q_e e^3 C_{HV} g_{ae} v^2 m_t^2}{c_w s_w D_Z}, \\
 G_{[d6]}^{At} &= 64N_C \frac{\beta^2 m_t^4}{(1 - \beta^2) D_Z} g_{at} \{g_{ve} C_{AA} + g_{ae} C_{AV}\} - \frac{64N_C e g_{ae} g_{ve} v^2 m_t^4 \beta^2 C_{HAG} g_{at}}{c_w s_w D_Z^2}
 \end{aligned}$$



Northwestern
University