

# Thermal and electromechanical response of ultra-thin carbon-strip polarimeter targets in relativistic bunched beams



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Motivation

Carbon-strip target model

Beam-target interaction

Thermal response

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# Motivation

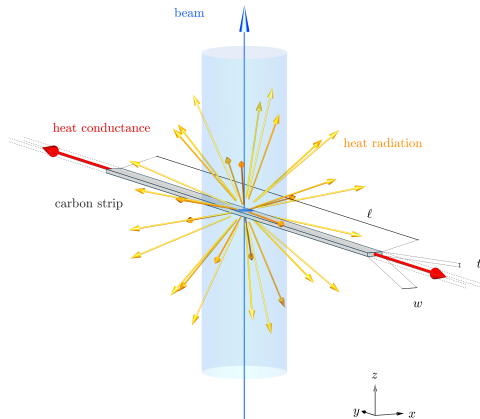
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- Fast carbon-strip  $pC$  polarimeters underpin RHIC relative hadron polarimetry.
- EIC demands %-level polarization systematics for protons, use of polarized light ions (d,  $^3\text{He}$ ,  $^6,^7\text{Li}$ ) is anticipated.
- In bunched beams the strip is not a passive absorber:
  - ▶ bright illumination, deformation, end glow, RF sensitivity, resistance change [1, 2]

*Is target survival set by local heating alone, and  
will beam scanning using carbon strips still work at the EIC?*

**|** *Coupled thermal, RF and mechanical model, benchmarked on RHIC.*

# Carbon-strip target geometry



- Strip  $\ell \times w \times t$  between two supports.
- Beam along  $z$  intercepts the  $\ell \times w$  face.
- Cross section  $A = wt$ : conduction, tension.
- Ideal thermal contact:  $T(y = \pm \ell/2) = T_0$ .
- Inputs:
  - ▶ material:  $\rho$  (density),  $c_p$  (heat capacity),  $\kappa$  (conductivity),  $\varepsilon$  (emissivity) ▶ properties
  - ▶ state:  $R(y)$ ,  $\sigma_{\text{eff}}$  (history dependent)

■ *RHIC reference:  $\ell = 25$  mm,  $w = 10$   $\mu\text{m}$ ,  $t = 50$  nm, aspect ratio  $w/t = 200$ .*

## Core damage measure

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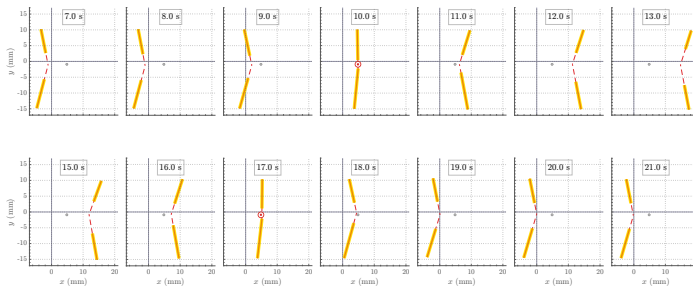
- A nanometer-scale strip in vacuum has no sharp survival temperature:
  - ▶ carbon loss = temperature-dependent rate  $\times$  exposure time

$$f_{\text{sub}} = \frac{\Delta h_{\text{sub}}}{t}, \quad \Delta h_{\text{sub}} = \int v_{\text{sub}}(T(\tau)) \, d\tau$$

- $v_{\text{sub}}(T)$ : surface recession speed from the vapor pressure, exponential in  $T$ .
- $t$ : strip thickness; the criterion is the allowed fractional loss over the exposure.

■ *The same  $T_{\text{max}}$  can pass a threshold test yet remove the strip: the integral decides.*

# Observed beam-target response at RHIC



- Deforms toward the beam during scans
- Ends glow *before* interception [1, 2]
- End glow off at lower 200 MHz voltage

▶ end glow

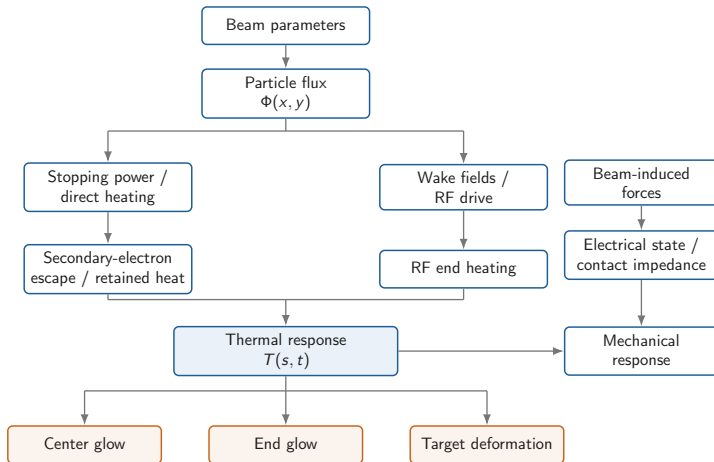
- $R$ : 200–800  $M\Omega \rightarrow \sim 1 M\Omega$  after beam
- Metal fins near ends improve lifetime

▶ video-derived numbers

▶ force scale

■ *Heating is not confined to the beam spot: the electromagnetic environment matters.*

# Structure of the response model



**I** *Two heat sources, one electromechanical state.*

## Beam optics and particle flux

- Gaussian transverse beam, set by the optics at the target location:

$$\sigma_{x,y} = \sqrt{\beta_{x,y} \varepsilon_{x,y}} = \sqrt{\frac{\beta_{x,y} \varepsilon_{x,y}^n}{\beta \gamma}}$$

- Time-averaged particle flux density seen by the target:

$$\Phi(x, y) = \Phi_0 \exp\left[-\frac{x^2}{2\sigma_x^2} - \frac{y^2}{2\sigma_y^2}\right]$$

$$\Phi_0 = \frac{I_{\text{avg}}}{Ze 2\pi\sigma_x\sigma_y} = \frac{N_b N_p f_{\text{rev}}}{2\pi\sigma_x\sigma_y}$$

Every heating scale downstream starts from  $\Phi_0$ : optics and bunch charge define conditions.



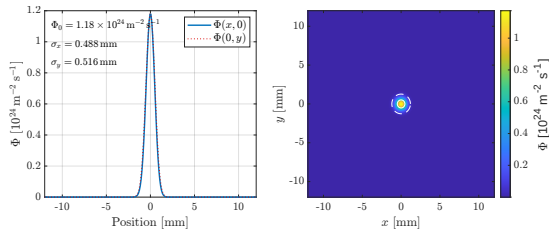
## Benchmark beam cases

| Quantity                            | Unit                                    | RHIC flattop | EIC inj. before cool. | EIC inj. after cool. | EIC flattop large $\varepsilon$ | EIC flattop cooled $\varepsilon$ |
|-------------------------------------|-----------------------------------------|--------------|-----------------------|----------------------|---------------------------------|----------------------------------|
| $E_{\text{beam}}$                   | GeV                                     | 250          | 23.5                  | 23.5                 | 275                             | 275                              |
| $N_p$                               | $10^{10}$                               | 20           | 27.6                  | 27.6                 | 6.9                             | 6.9                              |
| $N_b$                               | –                                       | 120          | 290                   | 290                  | 1160                            | 1160                             |
| $I_{\text{avg}}$                    | A                                       | 0.30         | 1.00                  | 1.00                 | 1.00                            | 1.00                             |
| $\varepsilon_x^n / \varepsilon_y^n$ | $\mu\text{m}$                           | 2.5 / 2.5    | 3.3 / 3.3             | 3.3 / 0.3            | 3.3 / 3.3                       | 3.3 / 0.3                        |
| $\sigma_x$                          | mm                                      | 0.49         | 3.51                  | 3.51                 | 1.61                            | 1.61                             |
| $\sigma_y$                          | mm                                      | 0.52         | 2.28                  | 0.69                 | 0.89                            | 0.27                             |
| $\Phi_0$                            | $10^{23} \text{ m}^{-2} \text{ s}^{-1}$ | 11.8         | 1.24                  | 4.11                 | 6.97                            | 23.1                             |

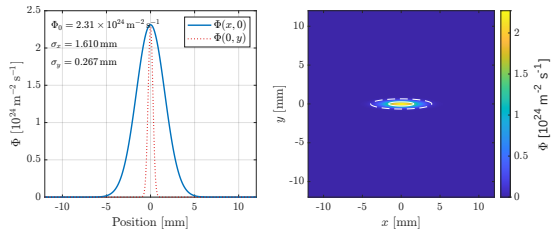
► full parameter table

■ Cooled vertical emittance doubles  $\Phi_0$  over RHIC:  $\rightarrow$  EIC stress case

# Particle-flux maps at the target



RHIC flattop

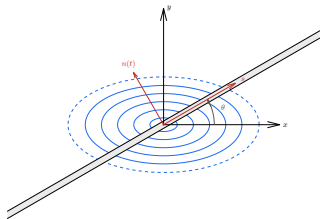


EIC flattop, cooled emittance

- Color:  $\Phi(x, y)$ ; white contours: rms and 95 % beam ellipses. [▶ injection cases](#)

**Concentration, not current:**  $\Phi_0$  spans a factor  $\sim 20$  across the benchmark cases.

# Beam-target overlap and strip orientation



Strip at angle  $\theta$  to the horizontal beam axis;  
 $s$  along the strip,  $n$  normal to it.

centered narrow strip intercepts only  
 $f_{\text{ov}} \simeq w / (\sqrt{2\pi} \sigma_{\perp})$

- RHIC reference  $\ell = 25$  mm;  
 $\ell = 50$  mm already fabricated (AGS);  
longer strips are harder to make

With  $\ell$  fixed, strip angle is only margin knob, diagonal scans buy most headroom.  $10 \mu\text{m}$  strip intercepts only  $\sim 10^{-3}$  to  $10^{-2}$  of beam, but heating is per unit area.

- EIC beams strongly asymmetric: flattop cooled:  $\sigma_x^{95} = 3.94$  mm,  $\sigma_y^{95} = 0.65$  mm); optics fixed, the only control is the strip angle  $\theta$
- $\sigma_{\parallel}^2(\theta) = \sigma_x^2 \cos^2 \theta + \sigma_y^2 \sin^2 \theta$  is the beam projected *along* the strip (it sets the length needed);  $\sigma_{\perp}(\theta)$  the scanned width

$$M_{\ell}^{95} = \frac{\ell}{2 \sigma_{\parallel}^{95}(\theta)}$$

- $M_{\ell}^{95}$  = strip ends and holder outside the 95 % envelope, in units of  $\sigma_{\parallel}^{95}$ ; for a *fixed*  $\ell$  it grows as  $\sigma_{\parallel}^{95}(\theta)$  shrinks ▶ margins
- diagonal  $\theta = \pm 45^\circ$  ( $\sigma_{\parallel} = \sigma_{\perp} = \sqrt{(\sigma_x^2 + \sigma_y^2)/2}$ ): EIC flattop-cooled  $M_{\ell}^{95}$  rises  $6.3 \rightarrow 8.9$  vs. horizontal, with two symmetric scans that also avoid the worst horizontal width

# Energy loss in the carbon strip

- tabulated mass stopping power  $S_m(E) \rightarrow$  line energy loss in SI units:  $S_C^{\text{SI}} = 5.123 \times 10^{-11} \text{ J m}^{-1}$  (graphitic/CVD carbon, 250 GeV protons)

$$Q_{\text{loss}}(x, y) = \Phi(x, y) S_C^{\text{SI}}(E), \quad Q_{\text{loss},0} = \Phi_0 S_C^{\text{SI}}(E)$$

$$\Delta E_{\text{loss}} = S_C^{\text{SI}}(E) t \simeq 16.0 \text{ eV per proton } (t = 50 \text{ nm})$$

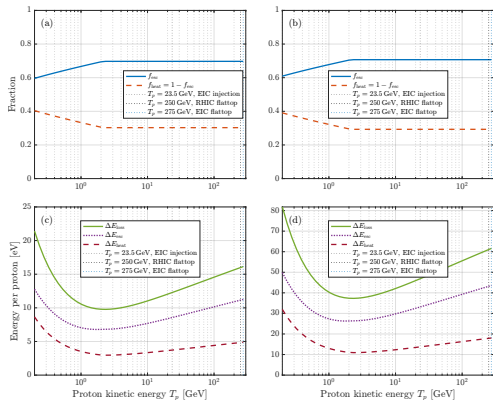
- total intercepted power  $P_{\text{loss}} = N_b N_p f_{\text{rev}} f_{\text{ov}} S_C^{\text{SI}}(E) t$ : orientation reduces  $P_{\text{loss}}$  via  $f_{\text{ov}}$ , but not the local peak density at beam center

| Case                      | Orientation | $f_{\text{ov}} [10^{-3}]$ | $Q_{\text{loss},0} [10^{13} \text{ W m}^{-3}]$ | $P_{\text{loss}} [10^{-2} \text{ W}]$ | $Q_{\text{loss},0}/Q_{\text{loss},0}^{\text{RHIC}}$ |
|---------------------------|-------------|---------------------------|------------------------------------------------|---------------------------------------|-----------------------------------------------------|
| RHIC flattop              | horizontal  | 7.724                     | 6.068                                          | 3.713                                 | 1.000                                               |
| RHIC flattop              | vertical    | 8.170                     | 6.068                                          | 3.928                                 | 1.000                                               |
| EIC injection, no cooling | diagonal    | 1.346                     | 0.635                                          | 2.157                                 | 0.105                                               |
| EIC injection, cooling    | diagonal    | 1.576                     | 2.107                                          | 2.525                                 | 0.347                                               |
| EIC flattop, no cooling   | diagonal    | 3.069                     | 3.572                                          | 4.920                                 | 0.589                                               |
| EIC flattop, cooling      | diagonal    | 3.456                     | 11.85                                          | 5.541                                 | 1.953                                               |

■ In a 50 nm strip, energy lost is not energy retained: secondary electrons carry much of it away.

► Bethe–Bloch

# Secondary-electron escape



(a,c): ideal flat layer, path length =  $t$ ; (b,d): roll-averaged rectangular strip (Fig. 4).

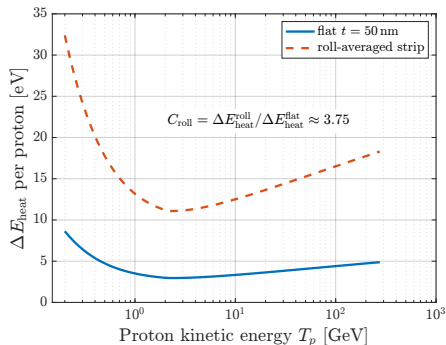
$$S_C^{\text{SI}} = S_{\text{heat}} + S_{\text{esc}}, \quad f_{\text{esc}} = \frac{S_{\text{esc}}}{S_C^{\text{SI}}}$$

- electron escape length  $\gtrsim t = 50$  nm:  
thick-target assumption fails
- roll-averaged strip ( $w = 10 \mu\text{m}$ ): mean  
proton chord  $\langle \ell_{\text{chord}} \rangle_{\psi} = 3.82 t$  through  
the curled cross section; energy/proton in  
(c,d) =  $S_C^{\text{SI}} \times \text{path}$  ( $t$  or chord) ▶ chord
- plateau above the ionization minimum: the  
relativistic rise is carried by escaping delta  
electrons,  $\Delta E_{\text{heat}}$  stays flat ▶ energy dep.

$f_{\text{esc}} \simeq 0.70$  at RHIC and EIC energies

■ About 70 % of the stopping-power loss escapes the 50 nm strip as energetic electrons.

# Heat retained in the target



Deposited heat per proton: ideal flat layer vs roll-averaged strip (Fig. 5).

$$C_{\text{roll}} = \Delta E_{\text{heat}}^{\text{roll}} / \Delta E_{\text{heat}}^{\text{flat}} \approx 3.75$$

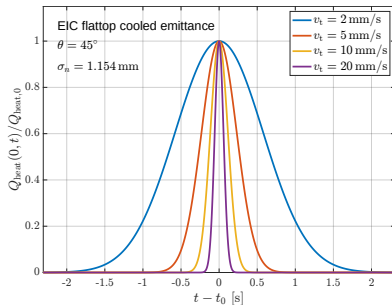
$$Q_{\text{heat}}(x, y) = \eta_{\text{heat}}(E) Q_{\text{loss}}(x, y)$$

- $f_{\text{heat}} = 1 - f_{\text{esc}}$ : fraction retained within a given geometry; ideal flat layer:  $\eta_{\text{heat}} = f_{\text{heat}} \approx 0.30$
- roll-averaged strip: retained fraction  $\times$  chord gain,  $\eta_{\text{heat}} = \langle f_{\text{heat}} \rangle_{\psi} \langle l_{\text{chord}} \rangle_{\psi} / t \simeq 0.30 \times 3.82 \approx 1.13$  (RHIC): the longer chord offsets the escape loss; the flat layer is the lower-bound heating case

| Case          | $T_p$ [GeV] | $\Delta E_{\text{heat}}^{\text{roll}}$ [eV/proton] | $\eta_{\text{heat}}$ |
|---------------|-------------|----------------------------------------------------|----------------------|
| EIC injection | 22.6        | 13.9                                               | 0.87                 |
| RHIC flattop  | 249.1       | 18.1                                               | 1.13                 |
| EIC flattop   | 274.1       | 18.3                                               | 1.14                 |

Escape and the longer rolled chord nearly cancel:  $\eta_{\text{heat}} \approx 1$  at RHIC and EIC energies.

# Target motion through the beam



Heat-source pulse at the strip element crossing beam center; EIC flattop cooled,  $\theta = 45^\circ$ ,  $\sigma_n = 1.154$  mm (Fig. 7).

- constant-speed scan normal to the strip:  
 $n(t) = n_0 + v_t(t - t_0)$ ; a material element sees a Gaussian pulse  
 $Q_{\text{heat}}(0, t)/Q_{\text{heat},0} = \exp[-v_t^2(t - t_0)^2/(2\sigma_n^2)]$
- faster motion narrows the pulse, but the peak stays  $Q_{\text{heat},0}$

$$\tau_{\text{exp}} \sim \frac{\sigma_n}{v_t}$$

exposure time in the beam core: the operational control parameter for the transient temperature

**video-derived**  $v_t \simeq 4 \text{ mm s}^{-1} \rightarrow \tau_{\text{exp}} \simeq 0.3 \text{ s}$

**|** *Motion controls the exposure time in the beam core, not the peak heating.*

# Thermal response: heat-balance equation

- $\ell \gg w, t$ : one-dimensional field  $T(s, \tau)$  along the strip; cross section enters via  $A = wt$  (conduction) and  $p_{\text{rad}} = 2(w + t)$  (radiating perimeter)

$$\underbrace{\rho c_p A \frac{\partial T}{\partial \tau}}_{\text{heat storage}} = \underbrace{\frac{\partial}{\partial s} \left( \kappa A \frac{\partial T}{\partial s} \right)}_{\text{axial conduction}} - \underbrace{\varepsilon \sigma_{\text{SB}} p_{\text{rad}} [T^4(s, \tau) - T_0^4]}_{\text{radiative cooling}} + \underbrace{A Q_{\text{heat}}(s, \tau)}_{\text{beam source}}$$

- each term is power per unit strip length; dividing by  $\rho c_p A$  gives the local heating rate, with thermal diffusivity  $\alpha = \kappa / (\rho c_p)$
- boundary and initial conditions: ideal thermal contact to the holder,  $T(\pm \ell/2, \tau) = T_0$ ,  $T(s, 0) = T_0 = 300 \text{ K}$
- radiation is the only nonlinear term: strong cooling at high  $T$ , weak again as the strip cools toward  $T_0$

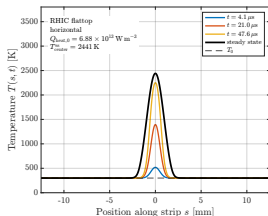
Formalism and constants: [3, 4]. Material inputs (graphitic/CVD baseline, Table VII):  $\kappa = 5 \text{ W m}^{-1} \text{ K}^{-1}$ ,  $\rho = 1700 \text{ kg m}^{-3}$ ,  $c_p = 750 \text{ J kg}^{-1} \text{ K}^{-1}$ ,  $\varepsilon = 0.85$ ;  $w = 10 \text{ }\mu\text{m}$ ,  $t = 50 \text{ nm}$ ,  $\ell_{\text{RHIC}} = 25 \text{ mm}$ ,  $\ell_{\text{EIC}} = 50 \text{ mm}$ .

► input

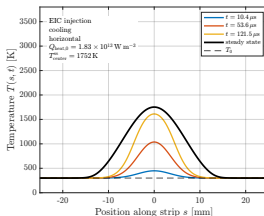
■ *Heat storage, conduction along strip, and surface radiation balance beam source.*



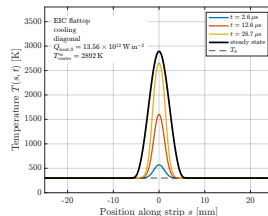
# Static steady-state temperature profiles



RHIC flattop, horizontal.



EIC injection, after cooling.



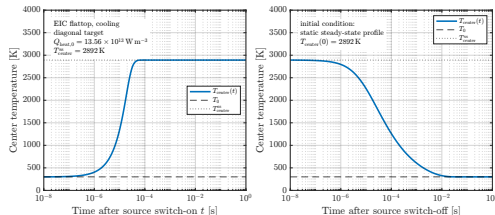
EIC flattop, cooled emittance.

- steady state,  $\partial T / \partial \tau = 0$ : conduction to the ends and radiation balance the centered source (intermediate times and final profile)
- continuous exposure of the same strip element: conservative upper-limit benchmark, not normal operation
- peak temperature set by the local  $Q_{\text{heat},0}$ , essentially independent of orientation; inclination changes only the profile width via  $\sigma_{\parallel}(\theta)$

$$T_{\text{center}}^{\text{SS}} \simeq 2441 \text{ K (RHIC)} \quad 1752 \text{ K (EIC injection)} \quad 2892 \text{ K (EIC flattop cooled)}$$

■ EIC flattop cooled is the static worst case: 2892 K; survival is a sublimation-rate question, not a threshold.

# Temperature with moving target



Center temperature after source switch-on from  $T_0$  (left) and after switch-off from the steady state (right).

- $\tau_{\text{exp}} \gg$  thermal rise time: scan thermally quasi-static,  $T_{\text{max}}^{\text{peak}} = 2892 \text{ K}$  (static benchmark)
- motion alone: reducing EIC peak to RHIC proton static level requires  $v_t \simeq 82 \text{ m s}^{-1}$  ( $\tau_{\text{exp}} \simeq 1.4 \times 10^{-5} \text{ s}$ )
- inertia:  $a \simeq 4v_{\text{avg}}^2/s_{\text{travel}} \approx 9 \times 10^5 \text{ m s}^{-2}$  over  $\sim 30 \text{ mm}$ :  $\rightarrow$  breaking scale  $\frac{\sigma_{\text{break}}}{(\rho\ell)} \sim 10^6 \text{ m s}^{-2}$
- higher speed: detected events per pass scale with  $\tau_{\text{exp}} \propto 1/v_t$ , factor  $\sim 2 \times 10^4$  fewer at  $82 \text{ m s}^{-1}$
- optics alternative:  $Q_{\text{heat},0} \propto 1/(\sigma_x \sigma_y)$ : a factor  $\simeq 1.97$  larger beam area ( $\beta_x, \beta_y \rightarrow 2\beta$ ) reaches the RHIC proton source level
- cooling is  $\sim 100\times$  slower than heating: radiation  $\propto T^4$  fades as the strip cools

■ At  $4 \text{ mm s}^{-1}$ , scan is thermally quasi-static: the strip reaches the full static temperature.

# Power scale of the observed end glow

- strip ends glow *before* direct beam interception; the glow ceases when the 200 MHz cavity voltage is lowered: a nonlocal, RF-driven heat source ► observations
- video inputs: glowing length  $\ell_{\text{end}} \simeq 8$  mm; apparent yellow color, compared with an oven glow, suggests  $T_{\text{end}} \simeq 1200^\circ\text{C} \simeq 1470$  K (not calibrated pyrometry)

$$P_{\text{rad,end}} \simeq \varepsilon \sigma_{\text{SB}} p_{\text{rad}} \ell_{\text{end}} (T_{\text{end}}^4 - T_0^4) \simeq 36 \text{ mW}$$

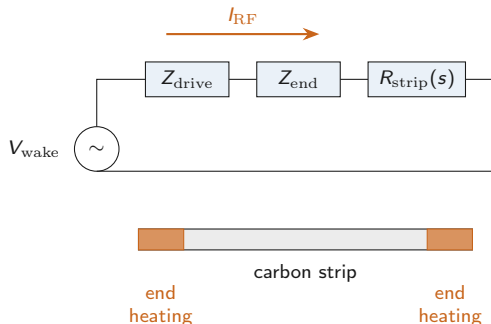
- per glowing end region; dominant uncertainties are emitting length, emissivity, and optical temperature
- unexposed micro-ribbons:  $R \simeq 200$  to  $800 \text{ M}\Omega$  over 25 mm, so  $R_{\text{end}} \simeq 64$  to  $256 \text{ M}\Omega$  for 8 mm end segment

$$I_{\text{RF,rms}} = \sqrt{P_{\text{end}}/R_{\text{end}}} \simeq 12 \text{ to } 24 \mu\text{A} \quad \rightarrow \quad V_{\text{RF,rms}} \simeq 1.5 \text{ to } 6 \text{ kV}$$

End-glow and resistance observations: [1, 2]. These scales are the benchmark for wakefield estimates on the next slide.

■ *Tens of  $\mu\text{A}$  through high-resistance strip ends suffice: the required drive is kV-scale, not watts.*

# Effective RF-current model for strip-end heating

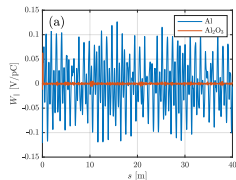


$$Q_{\text{tot}}(s, t) = Q_{\text{heat}}(s, t) + Q_{\text{RF}}(s, t)$$

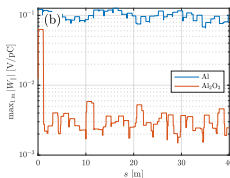
- end-localized Joule term:  $Q_{\text{RF}} = p'_{\text{RF}}/A$  with  $p'_{\text{RF}}(s, t) = I_{\text{RF}}^2(t) R'(s, t)$ , primes denoting power and resistance per unit strip length: dissipation concentrates where  $R'$  is largest
- resistance is a history-dependent state variable  $R_{\text{strip}} \rightarrow R(s, t)$ : the beam-exposed center graphitizes to  $\sim 1 \text{ M}\Omega$ , the ends stay at hundreds of  $\text{M}\Omega$  [1, 2]
- hence the ends glow before the low-resistance center ever intercepts the beam
- $Q_{\text{RF}}$  is not a free fit parameter: normalization from the wakefield drive, spatial shape from holder and contacts, response anchored by the observed glow

RF current through nonuniform resistance heats the ends first, as the videos show.

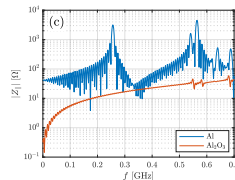
# Wakefields: aluminum vs alumina target holder for the EIC



Longitudinal wake potential  $W_{\parallel}(s)$ .



Running max of  $|W_{\parallel}|$ , 1 m window.



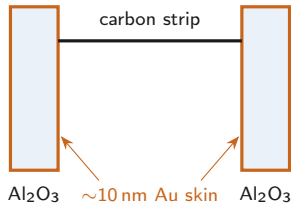
Low-frequency impedance  $|Z_{\parallel}(f)|$ .

- peak wake: 0.13 (Al) vs 0.063 V/pC ( $\text{Al}_2\text{O}_3$ ), only a factor  $\sim 2$ ; the long-range RMS envelope drops  $0.052 \rightarrow 0.003$  V/pC, more than an order of magnitude
- single-bunch voltage scale  $|V_{\text{wake}}| = |W_{\parallel}| q_b$ : for  $q_b \simeq 10$  to 20 nC,  $|V_{\text{wake}}^{\text{Al}}| \simeq 1.3$  to 2.6 kV, meeting the kV benchmark of the end-glow estimate
- the 50 nm strip itself cannot be meshed in a cm-scale chamber: it enters later as an effective electrical load

CST wakefield calculation;  $\sigma_L = 60$  mm,  $N_b = 290$  bunches,  $q_b = 30.5$  nC.

**Holder wakefields supply the kV-scale drive;  $\text{Al}_2\text{O}_3$  kills the persistent envelope, not the local peak.**

# Holder geometry and surface conductivity for the EIC



end response involves only  
 $\sim 10^5$  to  $10^6$  electrons  
so available charge supply matters

- the relevant design quantity is the electromagnetic boundary condition at the strip ends, not “insulating vs conducting” per se
- solid metal holder: well-defined boundaries, but strong coupling, large wake amplitudes, persistent envelope, and impedance peaks near the ends
- $\text{Al}_2\text{O}_3$  body: suppresses the persistent wake envelope and the low-frequency impedance structure; the largest local excursion remains
- thin Au skin: keeps the ceramic from charging up, yet stores far less charge than solid metal, throttling the transient charge buildup that feeds end heating
- consistent with RHIC: rounded metal fins improved lifetime, but the end environment still had to be controlled

■ *A dielectric body with a thin conducting skin starves the end region of charge.*

## Force scale for visible strip displacement

- observed symmetry: the strip is deflected *toward* the beam from either side, and the sign reverses after the target crosses the beam: not a fixed-direction push ► observations
- the deformation is visible over many millimeters, which constrains short-ranged force mechanisms
- slack-string model: two straight segments joined at the displacement maximum, restoring force from the axial tension  $T = \sigma_{\text{eff}} A$

$$F_{\text{mech}} \simeq 2T \sin \theta \simeq \frac{4T}{L} \Delta x = 2.4 \times 10^{-7} \text{ N} \left( \frac{\sigma_{\text{eff}}}{1 \text{ MPa}} \right)$$

- video inputs:  $L = 25 \text{ mm}$ ,  $\Delta x_{\text{proj}} \simeq 3 \text{ mm}$ ;  $\sigma_{\text{eff}}$  is the mounted-strip stress, a model parameter distinct from the residual film stress ( $\sigma_{\text{eff}} \sim 0.1$  to  $10 \text{ MPa}$ )
- beam-hit frames show a straight projection: the slack must lie out of the camera plane, along  $\pm z$ , where the Lorentz force on a radial strip current points

$$F_{\text{mech}} \sim 10^{-8} \text{ to } 10^{-6} \text{ N}, \quad \text{strip weight only } mg \simeq 2 \times 10^{-10} \text{ N}$$

Visible deflection needs  $10^{-8}$  to  $10^{-6} \text{ N}$ , up to  $10^4$  times the strip's own weight.

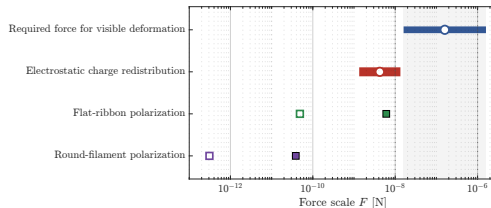
## Which force mechanism fits the observations?

- **magnetic Lorentz force:** the beam field is azimuthal, so a radial strip current gives a force along  $\pm z$ , not the observed radial attraction; it instead explains why the slack hides out of the camera plane
- **net or local charge:** beam field  $E(5\text{ mm}) \simeq 8.4 \times 10^4\text{ V m}^{-1}$ ; a force of  $10^{-8}\text{ N}$  requires only  $q \simeq 1.2 \times 10^{-13}\text{ C} \simeq 7 \times 10^5$  electrons: a very small imbalance for a connected strip-holder system, with the right attractive symmetry from either side
- **polarization of an isolated strip:** correct symmetry, but  $F_{\text{pol}} \propto 1/r^3$ : even the flat-ribbon estimate falls from  $6 \times 10^{-9}\text{ N}$  at 1 mm to  $5 \times 10^{-11}\text{ N}$  at 5 mm, too short-ranged for the mm-scale deformation pattern
- **bunch-driven charge redistribution:** relaxation  $\tau_{RC} = R_{\text{eff}} C_{\text{eff}} \sim 0.1\text{ }\mu\text{s}$  to  $300\text{ }\mu\text{s}$  far exceeds the 107 ns RHIC bunch spacing where the deformation was observed (EIC: 11 to 44 ns, shorter still), so charge need not return to equilibrium between bunches; the same oscillating  $\tilde{q}(t)$  drives the RF current ( $I_{\text{RF}}^{\text{rms}} \sim 10$  to  $25\text{ }\mu\text{A}$ , capacitive voltage  $\sim 20$  to  $400\text{ V}$ )

■ *Charge redistribution explains both attraction and end glow; polarization is too short-ranged.*



# Force-scale comparison

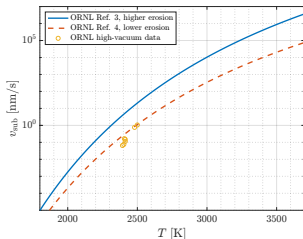


Required force for visible deformation, electrostatic charge-redistribution range ( $10^5$  to  $10^6$  electrons at  $r = 5$  mm), and isolated-polarization estimates (filled:  $r = 1$  mm, open:  $r = 5$  mm).

- the charge-redistribution range overlaps the required force band; the polarization estimates fall one to four orders of magnitude short and decay as  $1/r^3$
- attraction and end glow are distinct local effects of the same electrical response: beam-induced charging, charge redistribution, RF current, and a history-dependent resistance profile
- the resulting picture is coupled electrical, mechanical, and thermal: deformation, pre-interception end glow, RF sensitivity, and resistance history all fit

■ *One electrical response, two symptoms: deformation and end glow.*

# Carbon sublimation in vacuum: kinetics, not a threshold



Recession speed from two ORNL vapor-pressure parameterizations; circles: ORNL high-vacuum mass-loss data [5].

$$P_{\text{vap}}(T) = P_0 \exp\left(-\frac{\Delta h_{\text{sub}}}{R T}\right)$$

the exponential in  $T$  behind the steep  $v_{\text{sub}}(T)$

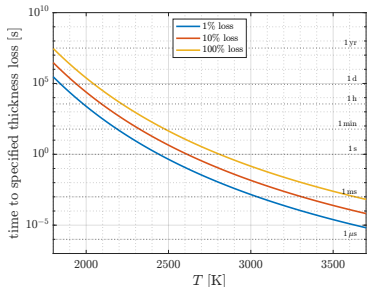
- no sharp sublimation temperature exists for a nm-scale strip in vacuum: mass loss is set by vapor pressure at the hot surface and the exposure time

$$\Gamma_m(T) \simeq \alpha P_{\text{vap}}(T) \sqrt{\frac{M}{2\pi R T}}, \quad v_{\text{sub}} = \frac{\Gamma_m}{\rho}$$

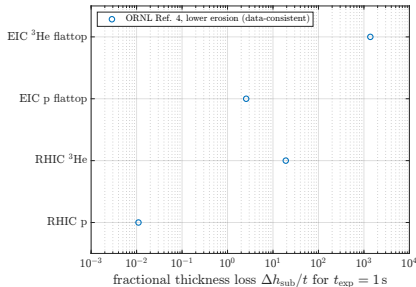
- Hertz-Knudsen evaporation flux [6]; vapor-pressure input from graphite data [7, 8]
- damage measure for a strip of thickness  $t$ :  $f_{\text{sub}} = \Delta h_{\text{sub}}/t$  with  $\Delta h_{\text{sub}} = \int v_{\text{sub}}(T(\tau)) d\tau$
- ORNL data fall on the lower-erosion curve, used for all estimates; the higher curve [9] overpredicts by more than  $10\times$

**|** *The relevant limit is the integrated fractional thickness loss, not a sublimation temperature.*

# From temperature to lifetime: loss timescales



Time to remove 1 %, 10 %, 100 % of a 50 nm strip.

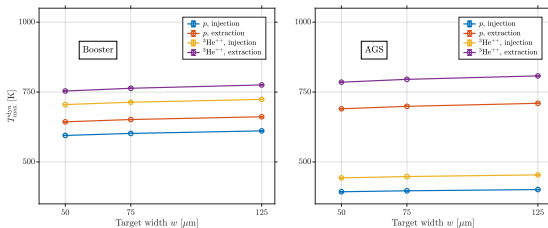


Frac. thickness loss after 1 s at calc. peak temperatures.

- left: temperature axis becomes an allowed dwell time for a given loss budget; steepness reflects exponential vapor pressure
- right: a severity scale, the 1 s normalization does not imply the moving target stays at  $T_{\text{max}}$  for 1 s
- RHIC p:  $(f_{\text{sub}})_{1\text{s}} \simeq 0.011$ , about 1 % per second; EIC p flattop:  $\simeq 2.6$  strip thicknesses per second; EIC  $^3\text{He}$  flattop:  $\sim 10^3$

At EIC flattop temperatures a 50 nm strip loses its full thickness in well under a second.

# Booster and AGS: no thermal concern in the injector chain

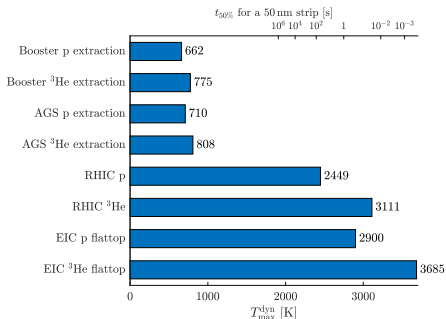


Moving-transient peak temperature vs strip width for proton and  ${}^3\text{He}^{++}$  beams at injection and extraction;  $w = 50, 75, 125 \mu\text{m}$ ,  $t = 30 \text{ nm}$ ,  $\ell = 50 \text{ mm}$ .

- same model and graphitic/CVD baseline; Bethe-Bloch stopping from charge, mass, and velocity:  ${}^3\text{He}^{++}$  carries  $z^2 = 4 \times$  the proton loss per ion
- all cases stay below  $\sim 810 \text{ K}$ ; the worst is AGS  ${}^3\text{He}$  extraction at  $808 \text{ K}$  (largest width)
- the width dependence promised earlier: visible but moderate, a few hundred kelvin over  $w = 50$  to  $125 \mu\text{m}$ ; width is not the dominant control parameter
- vacuum sublimation negligible:  $\Delta h_{\text{sub}}/t < 2 \times 10^{-5}$  for  $1 \text{ s}$  even in the worst case

■ *The injector chain poses no thermal concerns: AGS  ${}^3\text{He}$  extraction peaks near  $800 \text{ K}$ , sublimation negligible.*

# Machine comparison: peak temperatures and risk



Dynamic peak temperatures for selected cases; upper axis converts temperature to time to remove 50 % of 50 nm strip.

- collider benchmarks (hottest geometry per case): RHIC p 2449 K, RHIC  $^3\text{He}$  3111 K, EIC p flattop cooled 2900 K, EIC  $^3\text{He}$  flattop cooled 3685 K [▶ Table XI](#)
- $t_{50\%}$  axis makes risk ordering immediate: hours at RHIC p shrink to far below 1 s for EIC  $^3\text{He}$  stress case
- RHIC p is the empirical anchor: a calculated comparison to a regime known to operate, not a measured temperature; static and dynamic values agree (quasi-static scans)

■ *EIC  $^3\text{He}$  flattop runs 1200 K hotter than the proven RHIC proton point.*

# RHIC protons: target-lifetime scale from beam-center sublimation

## Sublimation loss per measurement cycle

- $(f_{\text{sub}})_{1\text{s}} \simeq 0.011$  at  $T_{\text{max}}^{\text{dyn}} \simeq 2449\text{ K}$  (RHIC proton source level), lower-erosion recession curve [5, 8]
- Video-derived  $\Delta t_{\text{beam}} \simeq 1.5\text{ s}$  per pass; in-and-out cycle  $\Delta t_{\text{cycle}} = 2 \Delta t_{\text{beam}} \simeq 3\text{ s}$

$$(f_{\text{sub}})_{\text{cycle}} \simeq \Delta t_{\text{cycle}} (f_{\text{sub}})_{1\text{s}} \simeq 0.033$$

$\sim 3\%$  of the 50 nm strip per in-and-out cycle

## Cycles to 50 % loss, $N_{\text{cycle}} = 0.5 / (f_{\text{sub}})_{\text{cycle}}$

- Peak intensity:  $N_{\text{cycle}} \simeq 15$  (lower bound)
- Cycle-averaged  $\langle I \rangle \simeq 0.8 I_{\text{peak}}$ :  
 $T \propto I^{1/4} \Rightarrow 2316\text{ K}$ ,  $f_{\text{sub}}$  down  $\sim 8$ -fold:  
 $N_{\text{cycle}} \simeq 120$  (upper bound)

## Observed lifetimes [2]

- 2013, no fins: avg. 27  $\rightarrow$  near lower bound
- 2015, fins: avg. 142 (best 396)  $\rightarrow$  at and above upper bound

■ *Beam-center heating reproduces the lifetime scale; the fin gain validates the end-heating mechanism.*

## EIC extrapolation: the same cycle estimate at flattop temperatures

The conservative RHIC-like cycle,  $\Delta t_{\text{cycle}} \simeq 3\text{ s}$ , applied to the EIC cooled-emittance flattop cases:

### EIC p flattop, cooled emittance

- $T_{\text{max}}^{\text{dyn}} \simeq 2900\text{ K}$  gives  $(f_{\text{sub}})_{1\text{ s}} \simeq 2.6$ , already on the data-driven lower-erosion curve

$$(f_{\text{sub}})_{\text{cycle}} \simeq 7.7$$

- More than one full strip thickness lost per cycle: not a straightforward extrapolation of RHIC proton operation at same dwell time

### EIC $^3\text{He}$ flattop, cooled emittance

- $T_{\text{max}}^{\text{dyn}} \simeq 3685\text{ K}$  drives the one-second loss far higher

$$(f_{\text{sub}})_{1\text{ s}} \gtrsim 10^3$$

- Beyond carbon-strip operation with RHIC-like scan times: requires much shorter dwell near the beam core, a smaller peak heat source, or a different target concept or technology

■ *EIC flattop loss exceeds the strip itself: p one full thickness per cycle,  $^3\text{He}$  far more.*

# Target-geometry dead ends

## Thinner strips do not run cooler

- Deposited energy, thermal mass, and conductive cross section all scale with the thickness  $t$ : thinning does not lower the peak temperature in proportion
- A fixed recession depth  $\Delta h_{\text{sub}}$  is a larger fractional loss  $f_{\text{sub}} = \Delta h_{\text{sub}}/t$  for a thinner strip
- Width, scan speed, and dwell time are the relevant operational controls, not thickness reduction alone

## Thicker targets remove the beam

Survival-equivalent scale-up  $F_A = A_C/A_{C,0}$ : carbon cross section needed to restore RHIC-like measurement numbers (order-of-magnitude factors, not design values)

| Case                      | $F_A$             | $\Delta_{\mathcal{L}}$ per fill    |
|---------------------------|-------------------|------------------------------------|
| RHIC p, nominal strip     | 1                 | $(6.3\text{--}7.5) \times 10^{-5}$ |
| EIC p flattop             | $2.1 \times 10^2$ | 1.3–1.6 %                          |
| RHIC $^3\text{He}$        | $2.0 \times 10^3$ | 38.5 %                             |
| EIC $^3\text{He}$ flattop | $1.7 \times 10^5$ | $\simeq 100\%$                     |

$\Delta_{\mathcal{L}}$ : luminosity-weighted beam loss per fill, three in-and-out measurements per fill

[► full table](#)

[► Thieberger comparison](#)

**Thickness is no control knob: thinner strips lose faster, thicker targets consume the beam.**



# Mitigation paths for carbon-strip survival

1. **Reduce target dwell time:**  $f_{\text{sub}} \propto 1/v_t$  for target speed  $v_t$ . Going from the observed  $v_t \simeq 4 \text{ mm/s}$  to  $\simeq 4 \text{ m/s}$  cuts the dwell-time loss by  $\sim 10^3$ , bringing EIC p flattop near the RHIC p scale.
  - ▶ The required acceleration,  $\simeq 2.1 \times 10^3 \text{ m/s}^2$ , is far below the  $\sim 10^6 \text{ m/s}^2$  inertial breaking scale: actuator dynamics, vibration, slack-strip modes, and contacts limit first, not strip inertia.
  - ▶ The shorter dwell costs the same factor  $\sim 10^3$  in events collected per pass.
2. **Match detector acceptance to faster scans:** a smaller target–detector distance  $r$  raises the solid angle as  $1/r^2$ , so a threefold smaller distance gains roughly a factor 10; with larger detector area, improved readout, or a modified scan strategy on top, the recovery still falls well short of the  $\sim 10^3$  events lost to the faster scan
3. **Change beam optics:** larger beam size, lower peak flux, or reduced bunch intensity lower the thermal source, but these are machine parameters, not polarimeter-only knobs ▶ beam size
4. **Suppress RF-induced end heating:** low-conductivity holders (alumina) improve the electromagnetic environment at the strip ends [2]; this addresses end losses, not beam-center sublimation
5. **Develop new target or diagnostic technology:** EIC  $^3\text{He}$  flattop loses  $\sim 10^3$  strip thicknesses per second, heavier species ( $^{6,7}\text{Li}$ ) more: renewable, pellet-style, or tape targets, alternative low- $Z$  materials, or non-invasive spin diagnostics [10]

■ *No single fix helps alone: EIC p an interim fix at best,  $^3\text{He}$  a new technology.*

# Conclusions

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*Two opening questions: is survival local heating alone (1), and does carbon-strip scanning reach the EIC (2)?*

## 1. The RHIC experience is a coupled target-response problem

- Not local stopping-power heating alone: end glow requires an RF heating channel, the deformation a transverse electrostatic force
- The thermal calculation reproduces the RHIC proton lifetime scale (15–120 cycles vs. observed 27–142); the fin gain confirms the end-heating channel

## 2. Scanning carbon strips do not extrapolate to the demanding EIC light-ion cases

- EIC p flattop loses  $(f_{\text{sub}})_{\text{cycle}} \simeq 7.7$  strip thicknesses per RHIC-like in-and-out cycle: dwell, acceptance, and end-heating measures are an interim fix only
- EIC  $^3\text{He}$  cooled emittance loses  $\simeq 2 \times 10^3$  thicknesses per single 1.5 s pass: beyond practical operation; with  $dE/dx \propto z_{\text{ion}}^2$ ,  $^{6,7}\text{Li}^{3+}$  is another factor  $9/4 \simeq 2.25$  above  $^3\text{He}^{++}$
- Outlook: develop a different technique in parallel. Tracked pellet targets (laser plus line-scan-camera tracking) could preserve the profile information now obtained by scanning [11, 12]

■ *A different polarimetry technique must be developed in parallel for the EIC light-ion program.*

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Backup material

| Property                                   | Unit                            | a-C             | sputt./evap.                           | graphitic/CVD     |
|--------------------------------------------|---------------------------------|-----------------|----------------------------------------|-------------------|
| Thermal conductivity $\kappa$              | $\text{W m}^{-1}\text{K}^{-1}$  | 0.1–1.0         | 0.3–1.5                                | 2–10              |
| Density $\rho$                             | $\text{kg m}^{-3}$              | 2000            | 2200 / 1900                            | 1700              |
| Specific heat $c_p$                        | $\text{J kg}^{-1}\text{K}^{-1}$ | 700–900         | 700–900                                | 700–800           |
| Emissivity $\varepsilon$                   | –                               | 0.75–0.95       | 0.8–0.95                               | 0.8–0.95          |
| Strip resistance $R_{\text{strip}}$        | $\text{M}\Omega$                | –               | 200–800 unexposed, $\sim 1$ after beam |                   |
| Residual film stress $\sigma_{\text{res}}$ | $\text{MPa}$                    | $10^2$ – $10^3$ | $10^2$ – $10^3$                        | process dependent |

Talk baseline (graphitic/CVD):

–  $\kappa = 5 \text{ W m}^{-1} \text{ K}^{-1}$ ,  $\rho = 1700 \text{ kg m}^{-3}$ ,  $c_p = 750 \text{ J kg}^{-1} \text{ K}^{-1}$ ,  $\varepsilon = 0.85$ .

■ *Thin-film properties, not bulk graphite:  $\kappa$  sits 1–2 orders below bulk ( $390 \text{ W m}^{-1} \text{ K}^{-1}$ ).*

| Quantity                     | Effective value                             | Use in model            |
|------------------------------|---------------------------------------------|-------------------------|
| Visible strip length scale   | $\ell_{\text{vis}} \simeq 25 \text{ mm}$    | video-to-mm calibration |
| Beam-visible interval        | $\Delta t_{\text{beam}} \sim 1.5 \text{ s}$ | scan timing near beam   |
| Scan speed near beam         | $v_t \sim 4 \text{ mm s}^{-1}$              | moving-source estimates |
| Projected strip displacement | $\Delta x_{\text{proj}} \sim 3 \text{ mm}$  | force-scale estimate    |
| Visible end-glow length      | 6 to 12 mm                                  | RF end-heating scale    |

Projected, camera-plane quantities; out-of-plane motion was not measured, so displacements are lower bounds.

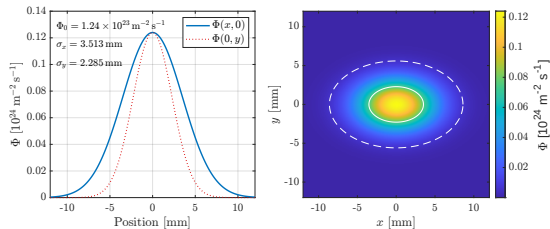
■ *These scales anchor the thermal, force, and RF estimates that follow.*

# Backup: Beam-parameter input cases (full) ◀ beam cases

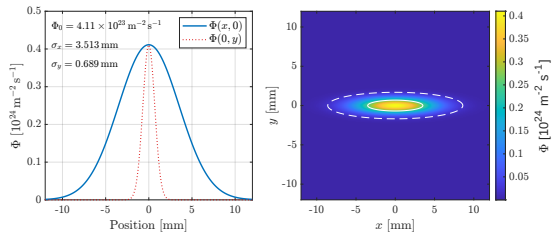
| Quantity                                                 | Unit                                    | RHIC flattop | EIC inj. before cool. | EIC inj. after cool. | EIC flattop large $\varepsilon$ | EIC flattop cooled $\varepsilon$ |
|----------------------------------------------------------|-----------------------------------------|--------------|-----------------------|----------------------|---------------------------------|----------------------------------|
| Location                                                 | –                                       | IP12 pC      | IP4                   | IP4                  | IP4                             | IP4                              |
| $E_{\text{beam}}$                                        | GeV                                     | 250          | 23.5                  | 23.5                 | 275                             | 275                              |
| $N_p$                                                    | $10^{10}$                               | 20           | 27.6                  | 27.6                 | 6.9                             | 6.9                              |
| $Q_b = N_p Z e$                                          | nC                                      | 32.0         | 44.2                  | 44.2                 | 11.1                            | 11.1                             |
| $N_b$                                                    | –                                       | 120          | 290                   | 290                  | 1160                            | 1160                             |
| $\sigma_L$                                               | m                                       | 0.55         | 0.24                  | 0.24                 | 0.06                            | 0.06                             |
| $\sigma_t = \sigma_L / (\beta c)$                        | ns                                      | 1.83         | 0.80                  | 0.80                 | 0.20                            | 0.20                             |
| $I_b^{\text{pk}} = Q_b / (\sqrt{2\pi} \sigma_t)$         | A                                       | 6.97         | 22.0                  | 22.0                 | 22.0                            | 22.0                             |
| $f_{\text{rev}}$                                         | kHz                                     | 78.19        | 78.13                 | 78.13                | 78.19                           | 78.19                            |
| $\tau_b = \tau_{\text{rev}} / N_b$                       | ns                                      | 106.6        | 44.1                  | 44.1                 | 11.0                            | 11.0                             |
| $f_b = 1 / \tau_b$                                       | MHz                                     | 9.38         | 22.7                  | 22.7                 | 90.7                            | 90.7                             |
| $I_{\text{avg}} = N_b N_p Z e f_{\text{rev}}$            | A                                       | 0.301        | 1.002                 | 1.002                | 1.003                           | 1.003                            |
| $\varepsilon_x^n$                                        | $\mu\text{m}$                           | 2.5          | 3.3                   | 3.3                  | 3.3                             | 3.3                              |
| $\varepsilon_y^n$                                        | $\mu\text{m}$                           | 2.5          | 3.3                   | 0.3                  | 3.3                             | 0.3                              |
| $\beta_x$                                                | m                                       | 25.41        | 93.6                  | 93.6                 | 230.3                           | 230.3                            |
| $\beta_y$                                                | m                                       | 28.43        | 39.59                 | 39.59                | 69.9                            | 69.9                             |
| $\sigma_x$                                               | mm                                      | 0.488        | 3.513                 | 3.513                | 1.610                           | 1.610                            |
| $\sigma_y$                                               | mm                                      | 0.516        | 2.285                 | 0.689                | 0.887                           | 0.267                            |
| $\sigma_x^{\text{95}} = \sqrt{5.991} \sigma_x$           | mm                                      | 1.195        | 8.600                 | 8.600                | 3.942                           | 3.942                            |
| $\sigma_y^{\text{95}} = \sqrt{5.991} \sigma_y$           | mm                                      | 1.264        | 5.593                 | 1.686                | 2.172                           | 0.655                            |
| $\Phi_0 = I_{\text{avg}} / (Z e 2\pi \sigma_x \sigma_y)$ | $10^{23} \text{ m}^{-2} \text{ s}^{-1}$ | 11.84        | 1.240                 | 4.113                | 6.973                           | 23.13                            |



# Backup: Injection flux maps



EIC injection, before cooling



EIC injection, after cooling

- Same injection optics and bunch structure; cooling shrinks  $\sigma_y$  by a factor  $\sim 3.3$ .

■ Cooling raises  $\Phi_0$  already at injection:  $1.2 \rightarrow 4.1 \times 10^{23} \text{ m}^{-2} \text{ s}^{-1}$ .

◀ flux maps

## Backup: Target-length and scan-direction margins [◀ back](#)

| Machine | Beam      | Cooling    | Orientation | $\theta$ [°] | $\ell$ [mm] | $\sigma_{\parallel}^{95}(\theta)$ [mm] | $M_{\ell}^{95}$ | $\sigma_{\perp}^{95}(\theta)$ [mm] |
|---------|-----------|------------|-------------|--------------|-------------|----------------------------------------|-----------------|------------------------------------|
| RHIC    | flattop   | —          | horizontal  | 0            | 25          | 1.195                                  | 10.5            | 1.264                              |
|         |           |            | vertical    | 90           | 25          | 1.264                                  | 9.89            | 1.195                              |
| EIC     | injection | no cooling | horizontal  | 0            | 50          | 8.600                                  | 2.91            | 5.593                              |
|         |           |            | diagonal    | $\pm 45$     | 50          | 7.254                                  | 3.45            | 7.254                              |
|         |           |            | vertical    | 90           | 50          | 5.593                                  | 4.47            | 8.600                              |
|         |           | cooling    | horizontal  | 0            | 50          | 8.600                                  | 2.91            | 1.686                              |
|         |           |            | diagonal    | $\pm 45$     | 50          | 6.197                                  | 4.03            | 6.197                              |
|         |           |            | vertical    | 90           | 50          | 1.686                                  | 14.8            | 8.600                              |
| EIC     | flattop   | no cooling | horizontal  | 0            | 50          | 3.942                                  | 6.34            | 2.172                              |
|         |           |            | diagonal    | $\pm 45$     | 50          | 3.183                                  | 7.85            | 3.183                              |
|         |           |            | vertical    | 90           | 50          | 2.172                                  | 11.5            | 3.942                              |
|         |           | cooling    | horizontal  | 0            | 50          | 3.942                                  | 6.34            | 0.655                              |
|         |           |            | diagonal    | $\pm 45$     | 50          | 2.826                                  | 8.85            | 2.826                              |
|         |           |            | vertical    | 90           | 50          | 0.655                                  | 38.2            | 3.942                              |

Projected 95 % beam sizes from Eqs. (23) and (24); margin  $M_{\ell}^{95}$  from Eq. (26). Diagonal  $\pm 45^\circ$  keeps  $M_{\ell}^{95} \geq 3.4$  in all EIC cases; steeper angles ( $\theta \simeq 70$  to  $75^\circ$ ) reach  $M_{\ell}^{95} \simeq 9$  to 10 at the price of less symmetric scan directions.

## Backup: Roll-averaged chord through the strip

[◀ back](#)

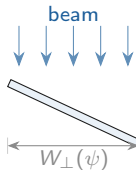
- projected width under roll  $\psi$ :  $W_{\perp}(\psi) = w \cos \psi + t \sin \psi$
- summing chords over all impact parameters  $b$  sweeps the cross section exactly once,  $\int \ell_{\text{chord}} db = wt$ , so

$$\langle \ell_{\text{chord}} \rangle_b(\psi) = \frac{wt}{w \cos \psi + t \sin \psi} \quad (t \text{ face-on, } w \text{ edge-on})$$

- roll average,  $\psi$  uniform on  $[0, \pi/2]$ , evaluates in closed form:

nominal  $w/t = 200$  ( $w = 10 \mu\text{m}$ ,  
 $t = 50 \text{ nm}$ ):

$$\langle \ell_{\text{chord}} \rangle_{\psi} = 0.191 \text{ m} = 3.817 t$$



$$\langle \ell_{\text{chord}} \rangle_{\psi} = \frac{2}{\pi} \int_0^{\pi/2} \frac{wt d\psi}{w \cos \psi + t \sin \psi} = \frac{2wt}{\pi \sqrt{w^2 + t^2}} \ln \frac{(\sqrt{w^2 + t^2} + w)(\sqrt{w^2 + t^2} + t)}{wt}$$

thin strip ( $w \gg t$ ):  $\langle \ell_{\text{chord}} \rangle_{\psi} \simeq (2t/\pi) \ln(2w/t)$ , logarithmic in the aspect ratio; sensitivities obey  $\eta_w + \eta_t = 1$ , and  $\Delta w/w = \Delta t/t = 5\%$  gives  $\Delta \langle \ell \rangle / \langle \ell \rangle = 4.3\%$

F. Rathmann, Mean chord length through a rolled rectangular strip, June 2026.

## Backup: energy dependence of $f_{\text{esc}}$

$$T_{\text{max}} \simeq 2m_e c^2 \beta^2 \gamma^2, \quad \frac{dN}{dT} \propto \frac{1}{T^2} \quad (T \leq T_{\text{max}})$$

$f_{\text{esc}}$  is the share of the loss carried off by delta electrons that outrange the 50 nm strip: it tracks how hard the knock-on spectrum is relative to the fixed (keV-scale) escape threshold.

### Rise ( $T_p \lesssim 2\text{--}3\text{ GeV}$ )

- proton still becoming relativistic,  $\beta \rightarrow 1$ :  
 $T_{\text{max}} \propto \beta^2 \gamma^2$  grows, the spectrum hardens, more loss escapes
- the soft, locally absorbed part falls as  $1/\beta^2$  (Bethe), so the escaping share keeps climbing

- the knee is the onset of full relativistic motion ( $M_p c^2 \simeq 0.94\text{ GeV}$ ), not a material energy; it coincides with the Bethe minimum of  $\Delta E_{\text{loss}}$  (panels c, d), where the rise sits in  $\Delta E_{\text{esc}}$  while  $\Delta E_{\text{heat}}$  stays flat [▶ back](#)

### Plateau ( $\beta\gamma \gtrsim 3\text{--}4$ )

- $\beta \approx 1$  saturates; the restricted (local) stopping power reaches its Fermi plateau and stays flat
- the relativistic rise of the loss goes entirely into escaping deltas, so  $S_{\text{esc}}$  and  $S_{\text{C}}^{\text{SI}}$  rise together and the ratio is fixed

**Rise:**  $T_{\text{max}} \propto \beta^2 \gamma^2$  still grows; **plateau:**  $\beta = 1$ , the added loss all escapes.

## Backup: thermal-model input parameters

| Quantity                     | Symbol               | Value                       | Unit                             |
|------------------------------|----------------------|-----------------------------|----------------------------------|
| Carbon scenario              | –                    | graphitic/CVD carbon        | –                                |
| Density                      | $\rho$               | 1700                        | $\text{kg m}^{-3}$               |
| Specific heat capacity       | $c_p$                | 750                         | $\text{J kg}^{-1} \text{K}^{-1}$ |
| Thermal conductivity         | $\kappa$             | 5                           | $\text{W m}^{-1} \text{K}^{-1}$  |
| Emissivity                   | $\varepsilon$        | 0.85                        | –                                |
| Strip width                  | $w$                  | 10                          | $\mu\text{m}$                    |
| Strip thickness              | $t$                  | 50                          | $\text{nm}$                      |
| Reference RHIC active length | $\ell_{\text{RHIC}}$ | 25                          | $\text{mm}$                      |
| Benchmark EIC active length  | $\ell_{\text{EIC}}$  | 50                          | $\text{mm}$                      |
| Reference target scan speed  | $v_t$                | 4                           | $\text{mm s}^{-1}$               |
| Holder temperature           | $T_0$                | 300                         | $\text{K}$                       |
| Effective heat-source scale  | $\eta_{\text{heat}}$ | from escape and chord model |                                  |

Graphitic/CVD thin-film scenario; the scan speed is the video-derived near-beam scale.  $\eta_{\text{heat}}$  converts the nominal flat-layer stopping-power source into the retained heat source (secondary-electron escape and roll-averaged chord).

- Standard Bethe–Bloch formalism, evaluated from the projectile charge state, mass, and velocity with the same carbon material assumptions as the rest of the model [13]
- Proton cross-check: the direct evaluation reproduces the tabulated normalization behind the retained-heat scale to within 1–2 %, which accounts for the small offsets in the quoted  $\eta_{\text{heat}}$  values
- Tabulated  $^3\text{He}$  stopping powers are not available over the required energy range (the ASTAR database covers only  $^4\text{He}$ ), so Bethe–Bloch is evaluated directly for the light-ion cases
- One implementation for p and  $^3\text{He}^{++}$ : no separate light-ion normalization, keeping the comparison internally consistent

## Backup: dynamic peak temperatures

| Machine | Species       | Condition  | $T_{\max}^{\text{dyn}}$ [K] |
|---------|---------------|------------|-----------------------------|
| Booster | p             | injection  | 611                         |
| Booster | p             | extraction | 662                         |
| Booster | $^3\text{He}$ | injection  | 724                         |
| Booster | $^3\text{He}$ | extraction | 775                         |
| AGS     | p             | injection  | 401                         |
| AGS     | p             | extraction | 710                         |
| AGS     | $^3\text{He}$ | injection  | 454                         |
| AGS     | $^3\text{He}$ | extraction | 808                         |

Booster/AGS rows: largest width in the scan,  
 $w = 125 \mu\text{m}$ .

| Machine/species    | Beam condition           | Target     | $T_{\max}^{\text{dyn}}$ [K] |
|--------------------|--------------------------|------------|-----------------------------|
| RHIC p             | flattop                  | vertical   | 2449                        |
| RHIC $^3\text{He}$ | flattop reference        | horizontal | 3111                        |
| EIC p              | injection before cooling | diagonal   | 1321                        |
| EIC p              | injection after cooling  | horizontal | 1782                        |
| EIC p              | flattop large emittance  | diagonal   | 2149                        |
| EIC p              | flattop cooled emittance | diagonal   | 2900                        |
| EIC $^3\text{He}$  | injection before cooling | diagonal   | 1669                        |
| EIC $^3\text{He}$  | injection after cooling  | horizontal | 2252                        |
| EIC $^3\text{He}$  | flattop large emittance  | vertical   | 2731                        |
| EIC $^3\text{He}$  | flattop cooled emittance | vertical   | 3685                        |

RHIC/EIC rows: hottest geometry per beam condition;  
 $v_t = 4 \text{ mm s}^{-1}$  throughout.

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## Backup: beam removal by nominal and survival-equivalent targets

| Case                                       | $F_A$             | $\sigma_{\text{loss}}$ [mb] | Removal/pass                       | $\Delta_{\mathcal{L}}$ per fill    |
|--------------------------------------------|-------------------|-----------------------------|------------------------------------|------------------------------------|
| RHIC p, nominal strip                      | 1                 | 250–300                     | $(2.1\text{--}2.5) \times 10^{-5}$ | $(6.3\text{--}7.5) \times 10^{-5}$ |
| EIC p flattop, survival-equiv.             | $2.1 \times 10^2$ | 250–300                     | 0.44–0.52 %                        | 1.3–1.6 %                          |
| RHIC $^3\text{He}$ , survival-equiv.       | $2.0 \times 10^3$ | 1000                        | 15.3 %                             | 38.5 %                             |
| EIC $^3\text{He}$ flattop, survival-equiv. | $1.7 \times 10^5$ | 1000                        | $\simeq 100\%$                     | $\simeq 100\%$                     |

- $F_A = A_C/A_{C,0}$  scales the carbon cross section so the target survives a RHIC-like number of measurements before being consumed
- Single-passage removal exponent  $\mu_{\text{pass}} = f_{\text{rev}} \frac{\rho A_C}{v_t} \frac{N_A}{A_{\text{mol}}} \sigma_{\text{loss}} = 8.33 \times 10^{-8} \sigma_{\text{loss}}[\text{mb}] F_A$ ; removal per pass  $= 1 - e^{-\mu_{\text{pass}}}$
- Luminosity-weighted loss per fill  $\Delta_{\mathcal{L}} = 1 - \frac{1}{2}(e^{-2\mu_{\text{pass}}} + e^{-4\mu_{\text{pass}}}) \simeq 3\mu_{\text{pass}}$ : three in-and-out measurements per fill, the end-of-fill scan carries no future-luminosity penalty



# Backup: what changed vs. Thieberger's survival estimate

## Thieberger's Excel model

- 1D finite-difference heat equation; static Gaussian beam; radiation from two faces ( $\epsilon = 0.8$ ) plus neighbour conduction; no target motion
- Bulk-like film:  $\kappa = 390 \text{ W}/(\text{m K})$ ,  $\rho = 2.26 \text{ g}/\text{cm}^3$ ,  $c_p = 0.72 \text{ J}/(\text{g K})$ ,  $t = 50 \text{ nm}$ ; full stopping power retained
- Two source simplifications offset: no secondary-electron escape ( $\times 3.3$  high) and nominal-thickness chord ( $\times 3.8$  low) nearly cancel: retained source within  $\sim 15\%$  of the full model
- Survival test: strip survives if peak  $T$  stays below a fixed 2500 K limit; RHIC ( $\simeq 2400 \text{ K}$ ) clears it

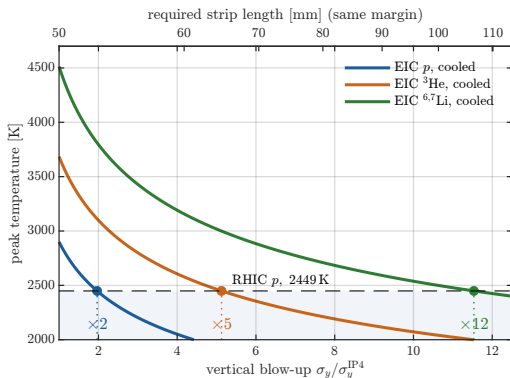
Differences in  $\kappa$  (bulk 390 vs. thin film 5), heat retention, window, and target motion shift per-case temperatures, not the conclusion. P. Thieberger, PolarimeterTargetBeamHeatingSimulation.xlsm

## What this work changes

- RHIC peak temperatures agree ( $\simeq 2400 \text{ K}$  vs. 2449 K): the criterion changed, not the heating
- Fixed limit  $\rightarrow$  integrated recession  $f_{\text{sub}} = \Delta h_{\text{sub}}/t$  with exponential  $v_{\text{sub}}(T)$ : loss continues below any limit
- At  $\simeq 2400 \text{ K}$  the integrated loss is already percent-per-cycle: finite lifetime, as observed
- Even tightening the cutoff to 2500 K (from the 3650 K reference) still clears RHIC, yet the strip erodes: thresholds ignore the rate

Peak temperatures agree; the integrated-loss criterion changes the verdict. [◀ back](#)

# Backup: a wider beam to reach the RHIC proton level



Peak temperature vs vertical blow-up  $\sigma_y/\sigma_y^{\text{IP4}}$  ( $\sigma_x$  fixed); top axis: strip length for a diagonal ( $\pm 45^\circ$ ) scan that holds the  $f=1$  margin  $M_\ell^{95}$ . Crossing the RHIC proton line restores present-day survival.

A wider beam lowers central flux  $\Phi_0 \propto 1/(\sigma_x\sigma_y)$ , and radiation-limited peak follows  $T \propto \Phi_0^{1/4}$ . Growing only  $\sigma_y$  by a factor  $f$  ( $\sigma_x$  fixed) traces each plotted curve:

$$T(f) = T_{\text{case}} f^{-1/4}$$

Each curve meets the RHIC proton line at  $f = (T_{\text{case}}/T_{\text{RHIC p}})^4$ :  $\times 2$  (p),  $\times 5$  ( $^3\text{He}$ ),  $\times 12$  ( $^{6,7}\text{Li}$ ).

- EIC beam wide in  $x$ , pinched in  $y$ ; grow only  $\sigma_y$
- holding  $M_\ell^{95}$  forces longer strips, exact manufacturing limit not known [▶ margins](#)
- machine-optics knob, not a polarimeter one: reproducible anti-squeeze at IR4, tuned back for collision data taking [▶ back](#)

**A vertical blow-up rescues protons ( $\times 2$  in  $\sigma_y$ );  $^3\text{He}$  needs  $\times 5$ ,  $^{6,7}\text{Li}$  needs  $\times 12$**