

sPHENIX Director's Review

1.2.6 TPC Data Aggregator Module (DAM)

Jin Huang (BNL)
August 2-4, 2017
BNL

The Subsystem

Input data stream:

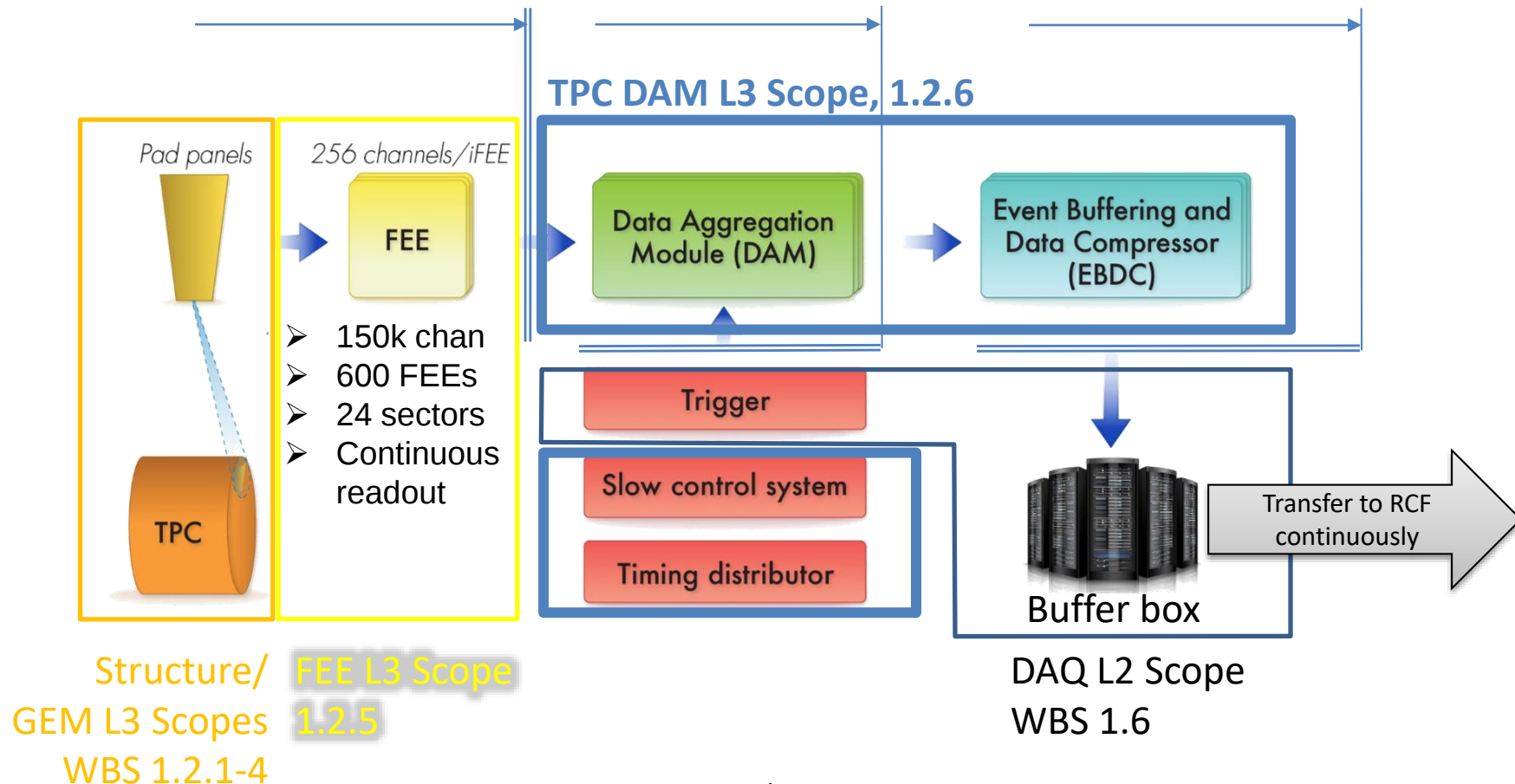
600 4-10-Gbps bidirectional fibers links
Max continuous: 2 Gbps / fiber
Average continuous: 1.6 Gbps x 600 fibers

Clock/Trigger input:

Optical links
Clock = 9.4 MHz
Trigger Rate = 15 kHz

Output data stream to buffer box:

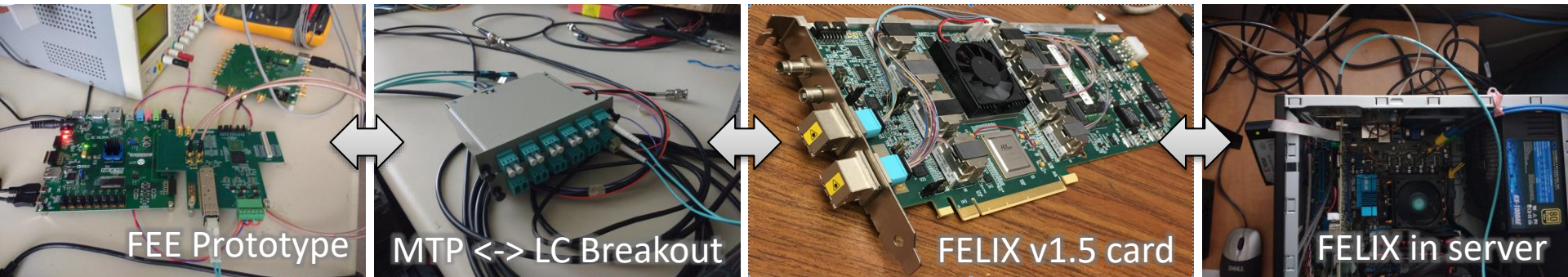
24 x 10 Gbps Ethernet via fiber
FPGA based triggering and clustering
Total continuous output: 80 Gbps



The Subsystem Technical Overview

- Collect data from 600 bi-directional 4+ Gbps fiber links to FEEs at rate of 940 Gbps
- Reducing data via triggering, clustering and compression to reduce data to 80 Gbps
- Driven to use large-FPGA based data acquisition cards with multi-10Gbps input and PCIe Gen3 output, hosted on commodity servers.
 - **Similar architecture adopted for ATLAS, LHCb, ALICE upgrade for 2020+**
- Default implementation taking advantage of BNL-developed FELIX PCIe card
 - **48-bidirectional 10 Gbps optical link**
 - **Large Xilinx Ultrascale FPGA (XCKU115), optimizing cost/link**
 - **PCIe Gen3 x16 link to server, demonstrated to 101 Gbps**

FELIX Prototype v1.5 card used in TPC test stand already



Scope

- Data Aggregation Modules (DAM) + Event Buffering and Data Compressor (EBDC) servers
- Timing trigger distribution on DAM/EBDC server rack in DAQ room
- Interfaces detailed as following:

Include fibers to FEEs

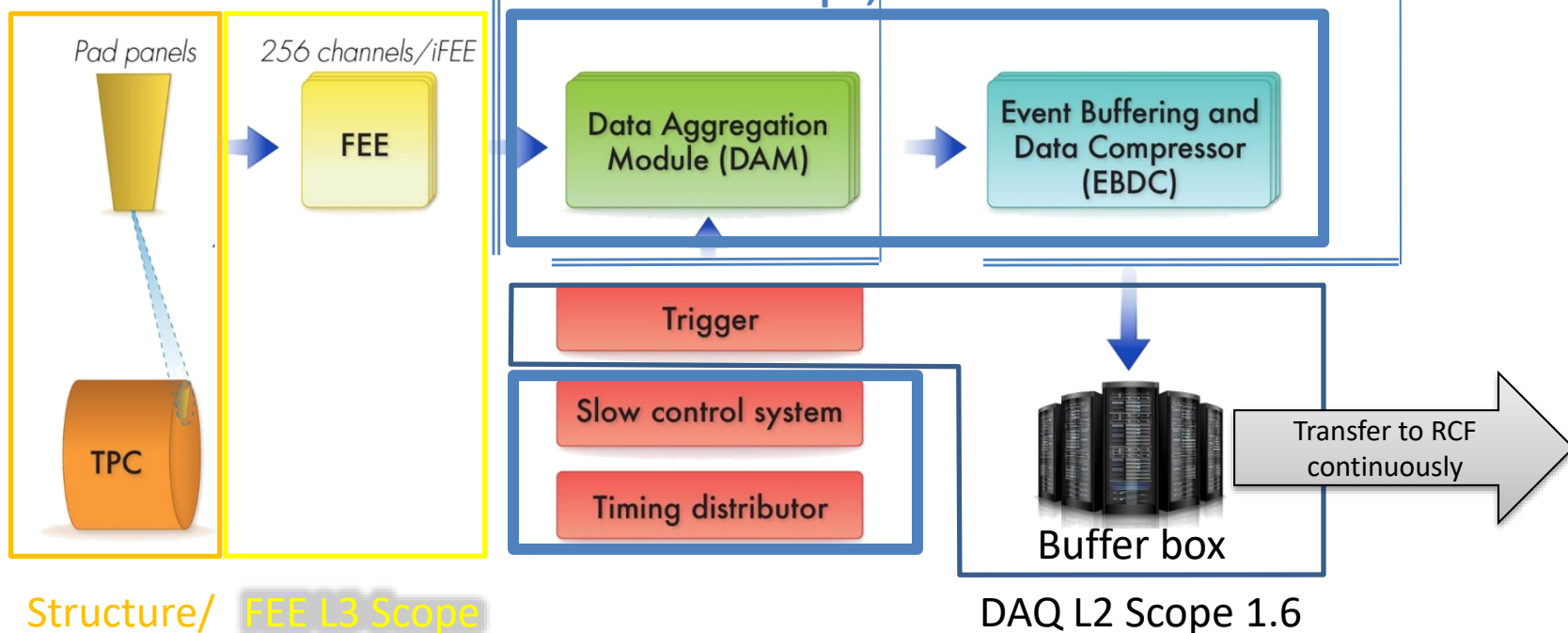
Not include transceivers on FEEs

→1.2.5

Include clock/trigger distribution on rack

Include DAQ software modules interfacing to the event builder

Not include network cabling →1.6



Structure/
GEM L3 Scopes

FEE L3 Scope
1.2.5

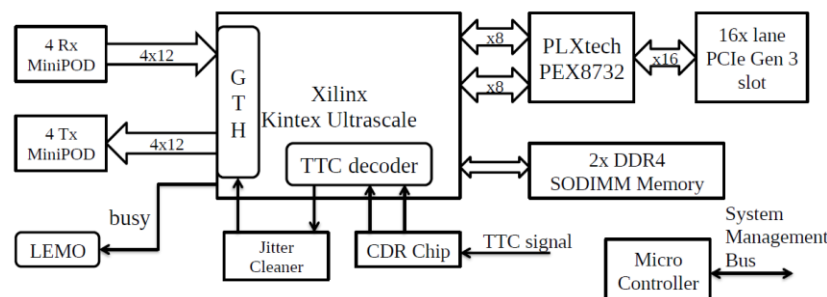
DAQ L2 Scope 1.6

Subsystem Collaborators

- Jin Huang (BNL/Physics) CAM
 - Associate Physicist, heavy-flavor topical group co-convenor
 - Past projects highlight: commissioned DAQ for PHENIX forward silicon tracker, a successful upgrade @ RHIC featuring 2-Tbps continuous readout
- John Kuczewski (BNL/Instrumentation Division)
 - Experienced digital developer
 - Expert of development for electronics, FPGA and drivers
 - Past projects highlight: LSST CCD readout electronics
- Joe Mead (BNL/Instrumentation Division)
 - Senior engineer
 - 25 years experience in designing high speed electronic circuitry, embedded systems, and DAQ systems for high-energy/nuclear physics and neutron/photon applications
 - Past projects highlight: designer of timing system for the PHENIX experiment; ATLAS LAr Calor. Readout; Neutron Detector DAQ
- Joint development with TPC FEE (WBS 1.2.5) and DAQ (WBS 1.6)

Synergistic collaboration

- In close collaboration with BNL Physics Omega group:
 - Developed the BNL-711 PCIe card, which is chosen for ATLAS FELIX project, and used in ATLAS phase I upgrade and forward
 - Leading the finalization of FELIX PCIe card design
- Sharing resource in FELIX development:
 - Lend us a FELIX v1.5 card for prototyping
 - Sharing firmware blocks and PCIe driver software
 - Consultation
- Feedback on FELIX v2.0 timing mezzanine design

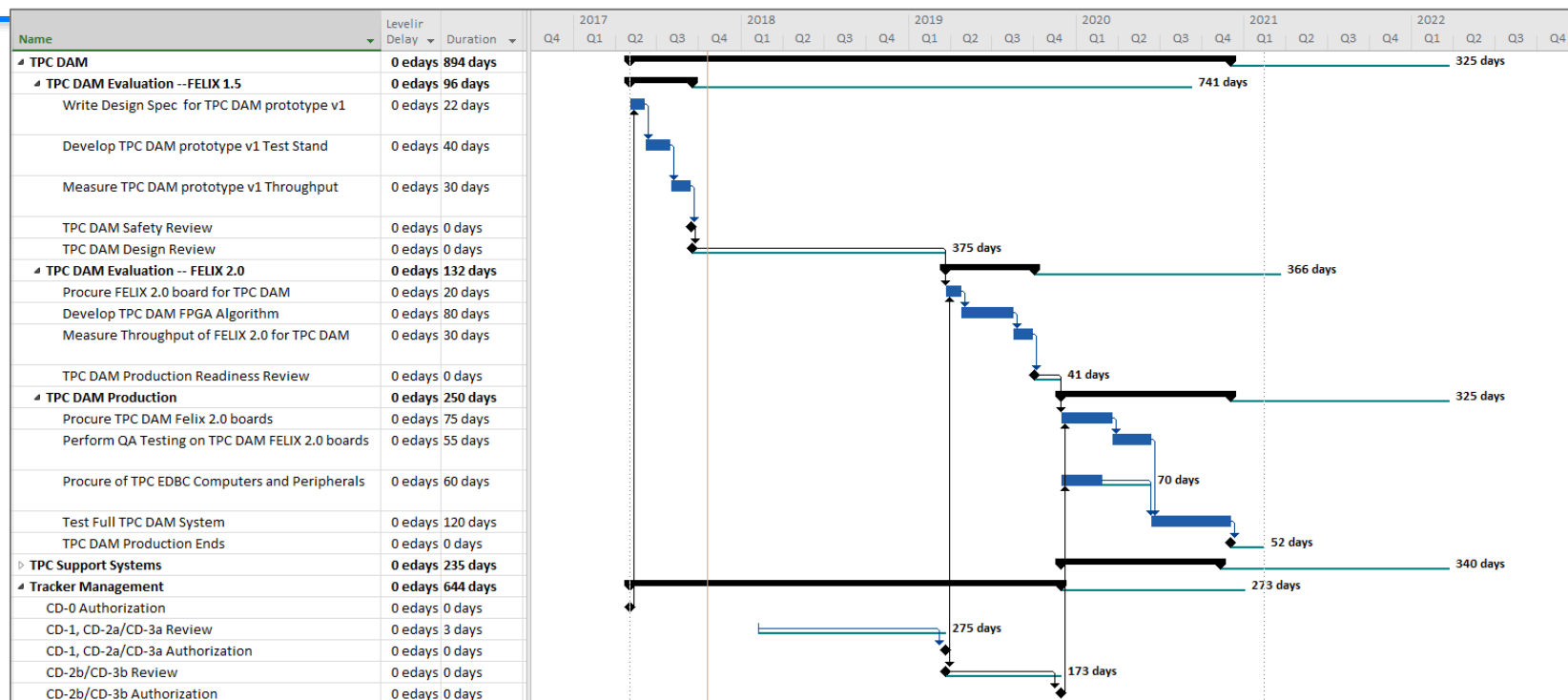


FELIX v1.5 internal diagrams



FELIX v1.5 card

Schedule Drivers



- Q3 FY19: Complete DAM implementation & Test: DAM production readiness review: Q4 FY19
- Q4 FY19 – Q4 FY20 : Procurement & Test
- 320-day schedule float: NOT on critical path
- Scheduling risk for FELIX card production is low:
 - Already received v1.5 prototype card for the prototyping stage, 1.2.6.1
 - After the planned installation of ATLAS Phase-I upgrade (planned delivery @ end 2018, one year *prior* our procurement)
 - In the very unlikely case that ATLAS FELIX project were to be significantly delayed, we would use the *existing* FELIX v1.5 design for TPC DAM production
- Scheduling risk for EDBC server production is low:
 - Commodity computing
 - Procurement scheduled at the latest stage of the production to take advantage of commercial computing development

Cost Drivers

- FELIX PCIe card: 230k\$ + 25% contingency
 - 24 cards + 20% spare @ 8k / card
 - Driven by FPGA cost
- Commodity server: 187k\$ + 40% contingency
 - 2U 24-core server supporting full size PCIe Gen3 x16 + SMBus
 - 24 servers + 20% spare @ 6.5k / server
 - 40% Contingency assigned due to the uncertainty of number of CPU cores required for data compression.
 - Contingency band will reduce as prototyping, algorithm development and test with simulated FELIX output data.
- Next leading cost - data fibers based on quote: 76k\$ + 20% cont.

Navigate through BOE 1.2.6

sPHENIX Detector Relativistic Heavy Ion Collider BASIS of ESTIMATE (BoE)			
L2 Project Name Time Projection Chamber	L2 WBS Number 1.2	L3 Project Name (Control Account) TPC DAM	L3 WBS Number 1.2.6
Work Package Name	WBS Number	Basis of Estimate Link	
TPC DAM Evaluation -- FELIX 1.5	1.2.6.1	TPC DAM Evaluation --FELIX 1.5	
TPC DAM Evaluation -- FELIX 2.0	1.2.6.2	TPC DAM Evaluation -- FELIX 2.0	
TPC DAM Production	1.2.6.3	TPC DAM Production	

Item	Vendor	Total	Status	Basis of Estimate	Contingency Item Contingency	Wt Contingency	Total	Contingency Total w/
FELIX Production PCB cards, 240mt + 20% spare	BNL ATLAS DAG Group	\$229,879	Pending	Unofficial quote	0.21	\$47,513.43		
48 fiber MTP (M) MTP (F) cable 5m, 240mt + 20% spare	Computer Crafts Inc.	\$33,006	Pending	Quote: CC/7/604018PF48-005	0.20	\$6,601.12		
48 fiber MTP (F) MTP (F) cable 5m, 480mt + 20% spare	Computer Crafts Inc.	\$35,424	Pending	Quote: CC/7/604089PF48-058	0.20	\$7,084.80		
1U 19" 12 port MPO feedthru panel with 12x MPO couplers, 24x 25% spare	Computer Crafts Inc.	\$720	Pending	Quote: CC/5/6348-MT	0.20	\$144.00		
480 MTP(M) - LC Hydra assembly, 480mt + 20% spare	Computer Crafts Inc.	\$7,822	Pending	Quote: CC/1000-2401.2.6		\$1,564.42		
MTP Coupler, 480mt + 20% spare	Computer Crafts Inc.	\$130	Pending	Quote: CC/1000-2401.2.6		\$25.92		
2U rack servers, 240mt + 20% spare	Microway	\$187,200	Pending	TPC DAM WorkPackage-34-24017007-001 - Questions + Answers	0.39	\$74,880.00		
TPC timing distribution board - PCB, 10mt + 1 spare	Many	\$400	Pending	TPC DAM WorkPackage-34-24017007-001 - Questions + Answers	0.40	\$160.00		
TPC timing mezzanine - PCB, 240mt + 20% spare	Many	\$1,440	Pending	TPC DAM WorkPackage-34-24017007-001 - Questions + Answers	0.40	\$576.00		
19in Optical TVOS, 480mt + 20% spare	Many	\$5,213	Pending	Quote: AFR-700DM2	0.20	\$1,042.60		
LC duplex fiber, 480mt + 20% spare	Computer Crafts Inc.	\$648	Pending	Quote: CC/7/26768P302-001	0.20	\$129.60		
SMT Chip Resistor Capacitor	Digkey	\$1,000	Pending	TPC DAM WorkPackage-34-24017007-001 - Questions + Answers	0.40	\$400.00		
Peripheral accessories and cables	Many	\$10,000	Pending	TPC DAM WorkPackage-34-24017007-001 - Questions + Answers	0.40	\$4,000.00		

Computer Crafts, Inc.
57 Thomas Rd, P.O. Box 644
Hawthorne, NJ 07507-0644
USA
Phone: (973) 423-3500
Fax: (973) 423-9536

SALES QUOTE

SQ17038

Prepared By: John Fox

Date: 3/30/2017

Customer: Brookhaven National Labs

Customer Contact: Jin HUANG

Dear: Jin HUANG,

Thank You for your interest in our products. We are pleased to provide you with a following quotation.

Line	Part Number	Delivery (AFJ)	QTY	UoM	Unit Price	Extended Price
1	CCV100176 COUPLER LC/LC Duplex, Aqua, Zirconia Sleeve, No Logo, RoHS and EU REACH Compliant.	2 weeks	750	EA	\$1.85	\$1,387.50
2	CCVF26268P302-001 LC to LC duplex Uni-Boot, 2mm OM3 Aqua, 1 meter length	28 days	750	EA	\$11.25	\$8,437.50
3	CCVF323580D48-0.5 48 fiber MTP(M) with reduced spring push (1.3") to 4x Prizm nonpeelable OM3 ribbon fiber. 0.5M	28 Days	45	EA	\$135.00	\$6,075.00
4	CCVT40408PF48-058 48F MTP(F) to 48F MTP(F) on OM3 3.8mm round aqua jacket Cable. Polarity straight (1-1, 48-48) 75 meter length on a collapsible reel	28 Days	60	EA	\$615.00	\$36,900.00
5	CCV6745-48MT-OM3 24X LC Duplex to 48F MTP(M) Cassette. OM3	28 Days	60	EA	\$262.20	\$15,732.00
6	CCV1000450-181-48F 48F MTP(M) - LC Hydra assembly 900um OM3 18" length	28 days	30	EA	\$135.80	\$4,074.00
7	CCVHSQ8P-52NBN-4203N-001M 48F MTP(M) to 4x 12F MTP(F) 50/125 OM3 on 3.0mm OFNP round jackets. 1 meter breakout, 1 meter overall length.	28 Days	60	EA	\$219.00	\$13,140.00
8	CCVBSF8P-43NBN-1603N-001M 12F MTP(M) to 6X LC Duplex, 2mm zipcord OM3, 1 meter breakout, 1 meter length.	28 Days	150	EA	\$118.00	\$17,700.00
9	CCV56340-AQ 12 FIBER MTP(M) TO LC HYDRA INSIDE A CASSETTE, MT COUPLER TO 6X DUPLEX LC COUPLERS	28 Days	150	EA	\$136.50	\$20,475.00

		sPHENIX Detector Relativistic Heavy Ion Collider BASIS of ESTIMATE (BoE)		Date of Est:	3/1/2017												
				Prepared By:	Jin Huang												
				DocNo. (refer Rev. Log)													
Work Package Name	TPC DAM Production	WBS Number:	1.2.6.3	Control Account Number													
WBS Dictionary Definition: TECHNICAL SCOPE: CONTAINS ALL TASKS WHICH ARE REQUIRED TO PRODUCE THE DAM FOR THE TPC. WORK STATEMENT: PROCURE ALL PARTS AND PERFORM QA TESTING FOR THE FINAL TPC DAM.																	
Estimate Type (check all that apply): ☐ Work Complete ☐ Existing Purchase Order ☒ Catalog Listing or Industrial Construction Database ☐ Documented Vendor Quotation based on Drawings/Sketches/Specifications ☐ Budgetary Estimate by Vendor/Fabricator based on Sketches, Drawings, or other Written Correspondence ☒ Engineering Estimate based on Similar Items or Procedures ☐ Engineering Estimate based on Analysis ☒ Expert Opinion																	
Assumptions Used in Developing Estimate We assume that FELIX production 2.0 version will suit our needs. Furthermore, we assume that we will require one FELIX card per TPC sector, housed in its own high speed PC. Costs for the 12 core rack servers are included in the scope of this WBS rather than as a part of infrastructure. Additionally, we carry partial costs of the timing distribution system as appropriate for our system's fractional load.																	
Details of the Base Estimate (Explanation of the Work) The estimate includes production, quality assurance, and installation of the DAM system. Fiber costs are born in this WBS rather than in the TPC FEE to which the DAM module is connected.																	
Cost Summary (Click link for detailed summary) Entries into the detailed cost summary have been color coded based upon the appropriate level of contingency that is applied to the item with green being the lowest contingency and red being the highest. The cost before contingency and with contingency are summarized below.																	
<table><tr><th>Task</th><th>Cost</th><th>Cost + Contingency</th></tr><tr><td>Procure TPC DAM Felix 2.0 boards</td><td>\$ 308,900.58</td><td>\$ 368,738.27</td></tr><tr><td>Procure of TPC EDBC Computers and Peripherals</td><td>\$ 205,900.51</td><td>\$ 287,088.61</td></tr><tr><td>Total</td><td>\$ 511,901.09</td><td>\$ 655,826.88</td></tr></table>						Task	Cost	Cost + Contingency	Procure TPC DAM Felix 2.0 boards	\$ 308,900.58	\$ 368,738.27	Procure of TPC EDBC Computers and Peripherals	\$ 205,900.51	\$ 287,088.61	Total	\$ 511,901.09	\$ 655,826.88
Task	Cost	Cost + Contingency															
Procure TPC DAM Felix 2.0 boards	\$ 308,900.58	\$ 368,738.27															
Procure of TPC EDBC Computers and Peripherals	\$ 205,900.51	\$ 287,088.61															
Total	\$ 511,901.09	\$ 655,826.88															
Contingency The contingency has been evaluated independently for each line items in accord with the standard sPHENIX contingency guidelines. Contingencies spread over several items are weighted by item cost to determine a summary contingency for each WBS entry. We have used guidance from ATLAS to set the FELIX board contingency at 25% and all other components of the final DAM module production are set to 40% contingency.																	

Basis of Estimate and Resource-Loaded Schedule

- FELIX PCIe card: 230k\$ + 25% contingency
 - Assume final FELIX production card production is ready at our schedule
 - Well into final prototyping stage, producing pre-production card as speaking
 - Planned for production of ATLAS Phase-I upgrade (delivery end 2018)
- Commodity server: 187k\$ + 40% contingency
 - 6.5k / server based on online catalog quote @ DELL and expert's experience with procurement of scientific computing
- Next leading cost - data fibers based on quote: 76k\$ + 20% cont.
 - Fiber distribution designed:
 - 48-fiber trunk + patch panels from DAQ room to exp. Hall
 - 48 MTP-> LC duplex breakout on TPC
 - Quoted each part from Computer Crafts, Inc.

BOE WBS 1.2.6 TPC DAM, sheet Production -- Details

Item	Vendor	Total	Status	Basis of Estimate	Contingency	Item Contingency	Wt Contingency	Total	Contingency	Total w/ Contingency	Grand Total	Grand Total w/ Contingency
							0.21	\$306,001	\$62,738	\$368,738	\$511,901	\$655,827
FELIX Production PCIe cards, 24cnt + 20% spare	BNL ATLAS DAQ Group	\$229,879	Pending	Unofficial quote	0.21	\$47,513.43						
48-fiber MTP (M)-MTP (F) cable 5m, 2x48cnt + 20% spare	Computer Crafts Inc.	\$32,026	Pending	Quote: CCI/T40418PF48-005	0.20	\$6,405.12						
48-fiber MTP (F)-MTP (F) cable 58m, 48cnt + 20% spare	Computer Crafts Inc.	\$35,424	Pending	Quote: CCI/T40408PF48-058	0.20	\$7,084.80						
1U 19" 12 port MPO feedthru panel with 12X MPO couplers, 2x4+25% spare	Computer Crafts Inc.	\$720	Pending	Quote: CCI/56348-MT	0.20	\$144.00						
48F MTP(M) - LC Hydra assembly, 48cnt + 20% spare	Computer Crafts Inc.	\$7,822	Pending	Quote: CCI/1000450-18I-48F	0.20	\$1,564.42						
MTP Coupler, 48cnt + 20% spare	Computer Crafts Inc.	\$130	Pending	Quote: CCI/100106	0.20	\$25.92						
							0.39	\$205,901	\$81,188	\$287,089		
2U rack servers, 24cnt + 20% spare	Microway	\$187,200	Pending	Experience, Require R&D for spec	0.40	\$74,880.00						
TPC timing distribution board - PCB, 1cnt + 1 spare	Many	\$400	Pending	Experience	0.40	\$160.00						
TPC timing mezzanine - PCB, 24cnt + 20% spare	Many	\$1,440	Pending	Experience	0.40	\$576.00						
SFP+ Optical TX/RX, 48cnt + 20% spare	Avnet	\$5,213	Pending	Catalog: AFBR-709DMZ	0.20	\$1,042.50						
LC duplex fiber, 48cnt + 20% spare	Computer Crafts Inc.	\$648	Pending	Quote: CCI/F26268P302-001	0.20	\$129.60						
SMT Chip Resistor Capacitor	Digikey	\$1,000	Pending	Experience	0.40	\$400.00						
Peripheral accessories and cables	Many	\$10,000	Pending	Experience	0.40	\$4,000.00						

Risk Registry

- **No item** in TPC DAM 1.2.6 is considered risky.
- Relates to **moderate risk** to the downstream DAQ storage risk (WBS 1.6)

Risk registry, TPC WBS 1.2

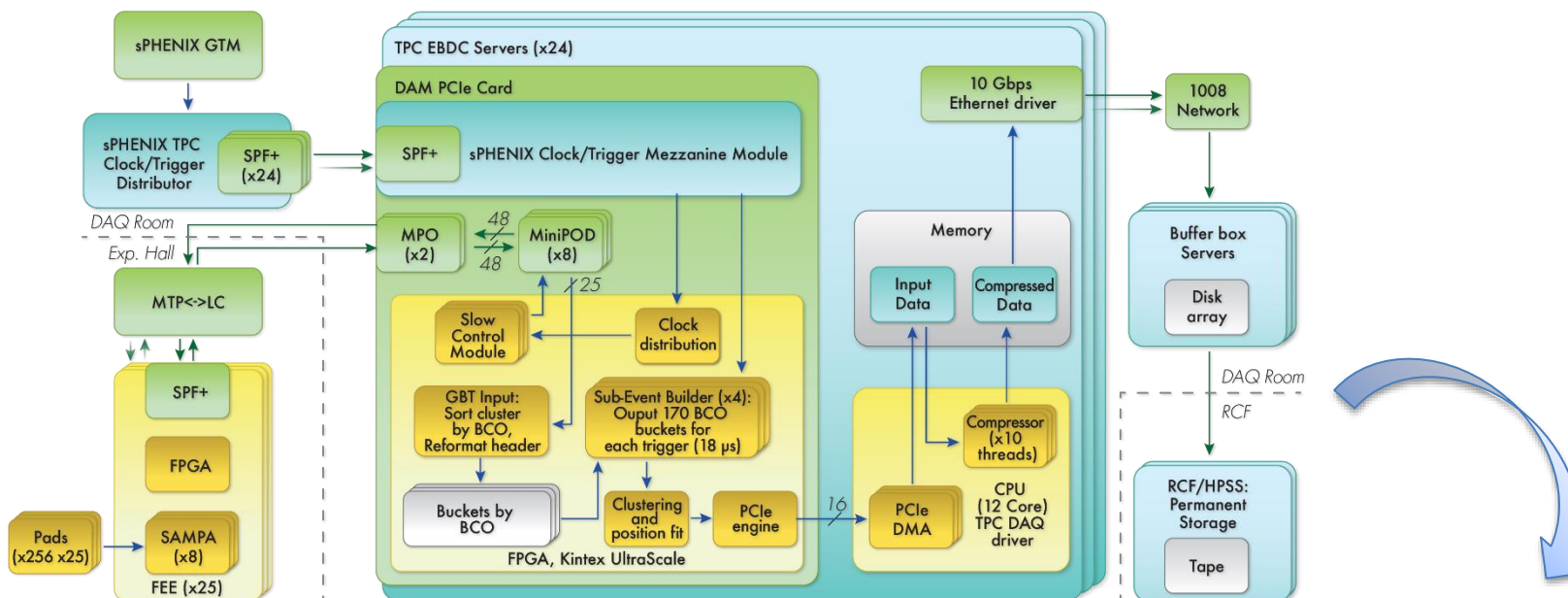
T. Hemmick	1.2 TPC	Procure v1a GEMs	Delivery date on v1-shapes GEMs leaves less than one month before magnet test.	The test will require that we use existing GEMs which will be 10x10cm^2. This will require a special module to adapt the smaller square GEMs to the	R&D Phase	20%	Cost \$10k for square-GEM adapter parts	Low	In case the proper GEMs for the v1a prototype are not in hand, an adapter plate will be requires to fit an existing GEM-stack to allow the magnet test to proceed.
T. Hemmick	1.2 TPC	Performance failure of v2 prototype	The v2 prototype fails in any performance criterion that requires more than trivial re-design.	If the v2 prototype fails, then there will need to be a v3 prototype added to the cycle.	R&D phase	5%	Schedule: 2 months of float lost. Cost:\$15k (only gain	Moderate	We will add a design cycle of a smaller device than the full sized field cage if the v1 prototype fails. We will proceed on v2 only after success of the small version.
T. Hemmick	1.2 TPC	Failure or delay of CERN production	Factories wait upon GEM foil delivery and suffer schedule shifts.	The factory production of modules is critical path and will directly affect schedule.	production	10%	Schedule: 3-5 months	Moderate	We will monitor carefully the success of CERN foil production and will hire a technician who will exclusively work on producing GEM foils for our project. If delays still occur, we will seek a second vendor.
T. Hemmick	1.2 TPC	SAMPA Chip Failure	SAMPA chips fail to match performance specifications.	Affects delivery of the TPC since FEE must be applied before delivery.	production	2%	Schedule: Unknown since mediation	Moderate	ALICE and STAR shall be forced to mitigate the situation and if not, alternatives such as the sALTRO and DREAM chips must be considered.

Risk registry, DAQ WBS 1.6. See talk DAQ/Trigger by Dr. Martin Purschke

Storage		Data volume, especially from the TPC, too high				Moderate
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Storage “The TPC or other subsystem cannot meet the envisioned data reduction specifications”

Status and Highlights: Design



24 sectors, 144k Pads and 600 FEEs in total
1 sector, 25 FEEs per DAM for readout

For each one of the 24 TPC Sectors,

- 25 FEEs with 1 SPF+/FEE
- 12 LC duplex <-> 48F MTP on TPC cage
- 2x 48F MTP connect TPC cage <-> DAM
- Readout by 1 FELIX PCIe Card
- Hosted on 1 server

Implementing the design in DAQ test stand

	Unit count	Rate per unit	Total rate	Assumptions and comments
Data on FEE Fibers	600 fibers	1.6 Gbps	940 Gbps	100kHz Au+Au collision assumed. Rate is radial position dependent. The max data rate is 2 Gbps for the inner-radius FEEs.
Data in BCO-buckets	24 DAMs	40 Gbps	970 Gbps	Unpack SAMPA data and add two 10-bit header per wavelet
After triggering	24 DAMs	11 Gbps	280 Gbps	Event builder collect 170-BCO buckets of hits after each trigger. This reduce data to 29%
After clustering	24 DAMs	5.7 Gbps	140 Gbps	Cluster finding and fitting on DAM FPGA. Expecting a reduction of total data volume to 50% based on STAR and ALICE experience.
After compression	24 EBDCs	3.4 Gbps	80 Gbps	Lossless compression on EBDC CPUs. Assuming the PHENIX experience of a reduction of total data volume to 60%
Buffer box data logging	Buffer box system	80 Gbps	80 Gbps	Logging TPC data to disk in buffer box system in sPHENIX counting house.

Status and Highlights: Test Stand

Function generator mimic
repeated RHIC clock
ramping (triangle pattern)

DAM/FELIX
Kintex-7 Ultrascale

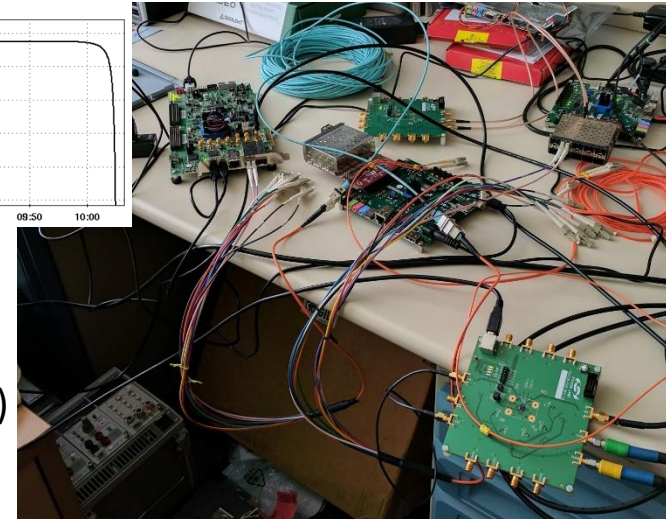
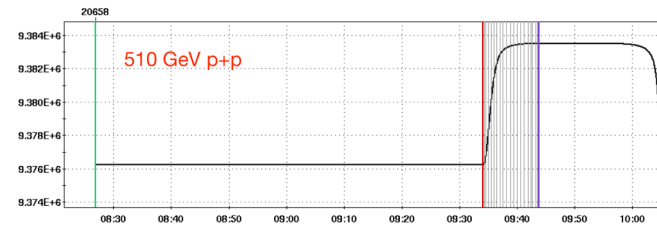
Downlink: 4.8 Gb/s
Multiples of RHIC clock (9.4 MHz)
Recover clock from 8b/10b

Optical Links

FEE
Atrix-7

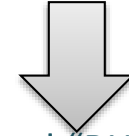
Uplink: 4.8 Gb/s, fixed clock

RHIC frequency spread (due to ramp) is large, $9.362 \text{ MHz} \pm 22 \text{ kHz}$



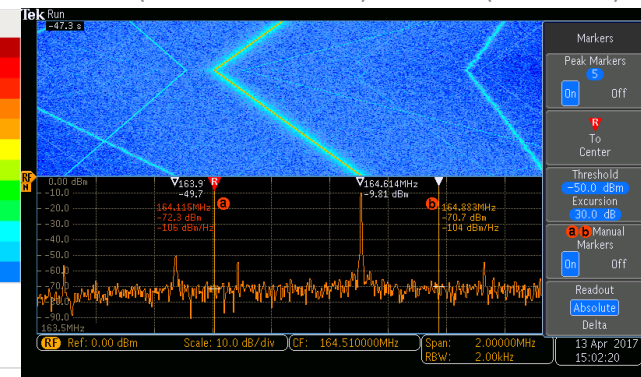
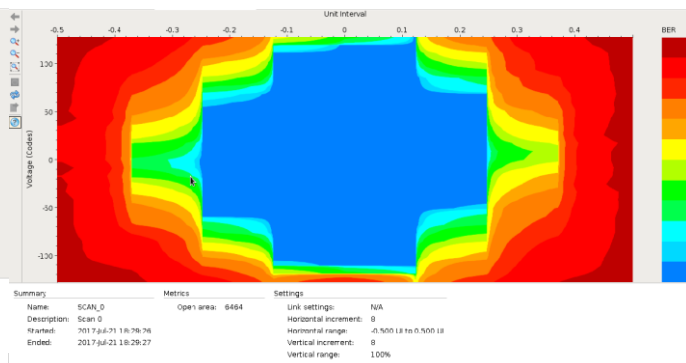
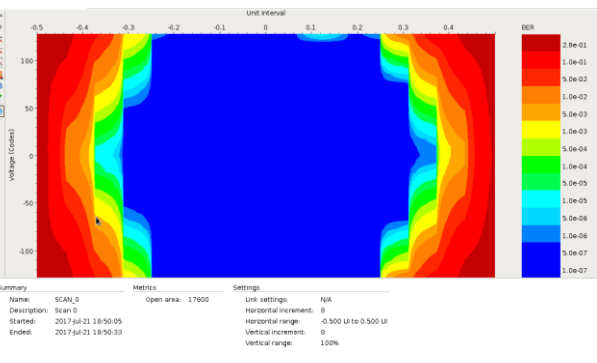
Test recovered "RHIC" clock

Kintex 7 (eval board for now) -> Atrix 7 (eval board)



Uplink iBERT @ DAM: $1.46\text{e-}13$

Downlink iBERT @ FEE: $1.023\text{e-}13$

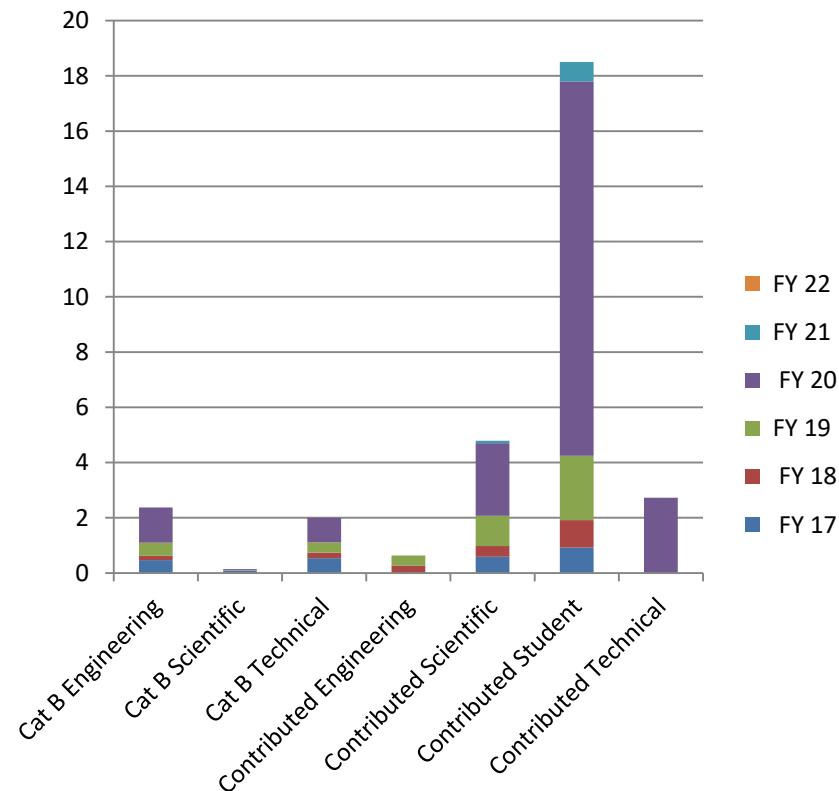


- Low risk in DAM hardware design: taking advantage of FELIX PCIe card, a DAQ development for ATLAS upgrade at BNL, and commodity computing
- Produced the cost and schedule for the TPC DAM (WBS 1.2.6) by bottom-up estimate
- Prototype test progress well
- Challenges:
 - **Reach the targeted data reduction factor, including implementing a reliable clustering algorithm in FELIX FPGA**
 - **Integration and system test with TPC FEEs and with sPHENIX DAQ prior to beam**

Back Up

Labor profile, TPC 1.2

- FTE Profile by Category



- FTE Profile by Fiscal Year

Row Labels	FY 17	FY 18	FY 19	FY 20	FY 21	FY 22
Cat B	1.08	0.37	0.87	2.21	0.00	0.00
Engineering	0.47	0.16	0.48	1.28	0.00	0.00
Scientific	0.07	0.01	0.02	0.04	0.00	0.00
Technical	0.54	0.20	0.37	0.89	0.00	0.00
Contributed	1.51	1.65	3.78	18.92	0.79	0.00
Engineering	0.00	0.27	0.36	0.00	0.00	0.00
Scientific	0.59	0.39	1.09	2.63	0.08	0.00
Student	0.92	0.99	2.33	13.56	0.70	0.00
Technical	0.00	0.00	0.00	2.73	0.00	0.00
Grand Total	2.59	2.02	4.65	21.12	0.79	0.00

This is sum manpower for all TPC 1.2 scopes.

Rate estimation

Table 3.3: Raw data rate estimate for sPHENIX TPC and ALICE TPC cases

Parameters	sPHENIX (Au+Au 200 GeV)	ALICE (Pb+Pb 5.5 TeV)	Notes
dN/dy (Minbias)	180	500	
η coverage of TPC	2.2 ($ \eta < 1.1$)	1.8 ($ \eta < 0.9$)	
# of tracks in TPC	396	900	
Effective # of tracks in TPC (accounted for r -dep. η coverage change)	560	1690	note 1
Effective factor for track # increase for accounting albedo background	2	2	note 2
# of measurements in r	40	159	
# of samples in ϕ	3	2	$\phi \times \text{time} \sim 20$ bins for ALICE (from TDR)
# of samples in timing	5	10	
# of bits of each sample	10	10	
Data volume increase fac- tor by SAMPA header	1.4	1.4	Absolute maximum
Data volume/event (bits)	9.41×10^6	1.50×10^8	note 3
Data volume/event (bytes)	1.18×10^6	1.88×10^7	
Collision rate [kHz]	100	50	
Total data rate (bits/sec)	9.41×10^{11}	7.52×10^{12}	
Total data rate (bytes/sec)	1.18×10^{11}	9.41×10^{11}	

Table 3.4: TPC DAM and EBDC rate estimation

	Unit count	Rate per unit	Total rate	Assumptions and comments
Data on FEE Fibers	600 fibers	1.6 Gbps	940 Gbps	100kHz Au+Au collision assumed. Rate is radial position dependent. The max data rate is 2 Gbps for the inner-radius FEEs.
Data in BCO- buckets	24 DAMs	40 Gbps	970 Gbps	Unpack SAMPA data and add two 10-bit header per wavelet
After triggering	24 DAMs	11 Gbps	280 Gbps	Event builder collect 170-BCO buckets of hits after each trigger. This reduce data to 29%
After clus- tering	24 DAMs	5.7 Gbps	140 Gbps	Cluster finding and fitting on DAM FPGA. Expecting a reduction of total data volume to 50% based on STAR and ALICE experience.
After com- pression	24 EBDCs	3.4 Gbps	80 Gbps	Lossless compression on EBDC CPUs. As- suming the PHENIX experience of a reduc- tion of total data volume to 60%
Buffer box data logging	Buffer box system	80 Gbps	80 Gbps	Logging TPC data to disk in buffer box sys- tem in sPHENIX counting house.

note 1: ALICE didn't estimate from first principle. We estimated for them.

note 2: We doubled the number of tracks to account for the background, based on STAR's experience.

note 3: Product of the previous seven rows. ALICE estimated the data volume as 160 Mbits/evt.

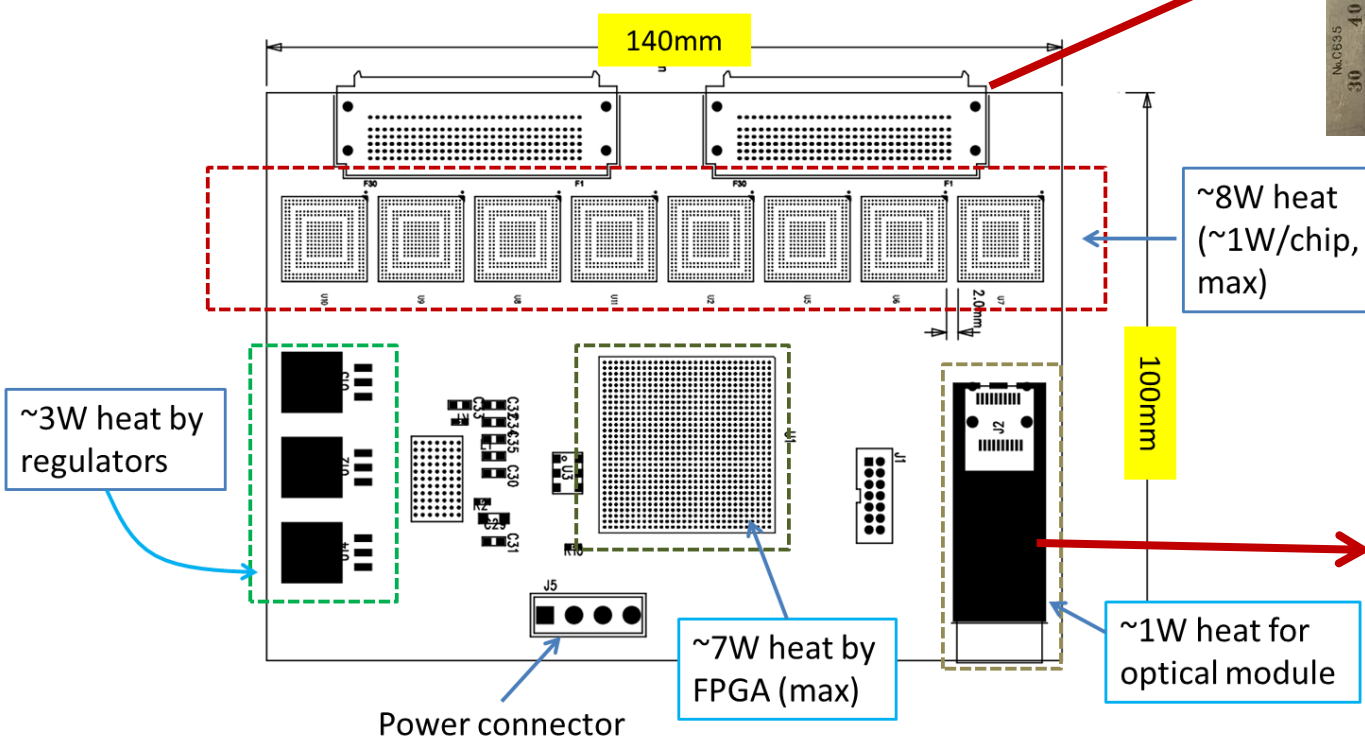
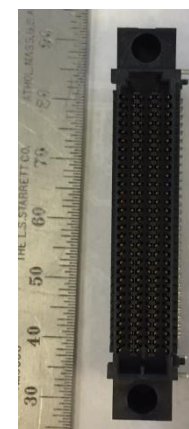
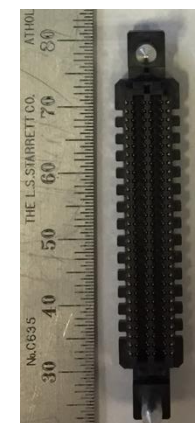
Status and Highlights (II)

- Design of full-scale prototype is in progress.
- Full-scale prototype design will be passed to board routing as soon as we finished evaluating basic features of SAMPA with small-scale prototype
- Selecting of peripheral parts are almost done.

Signal connectors

Padplane side

FEE side

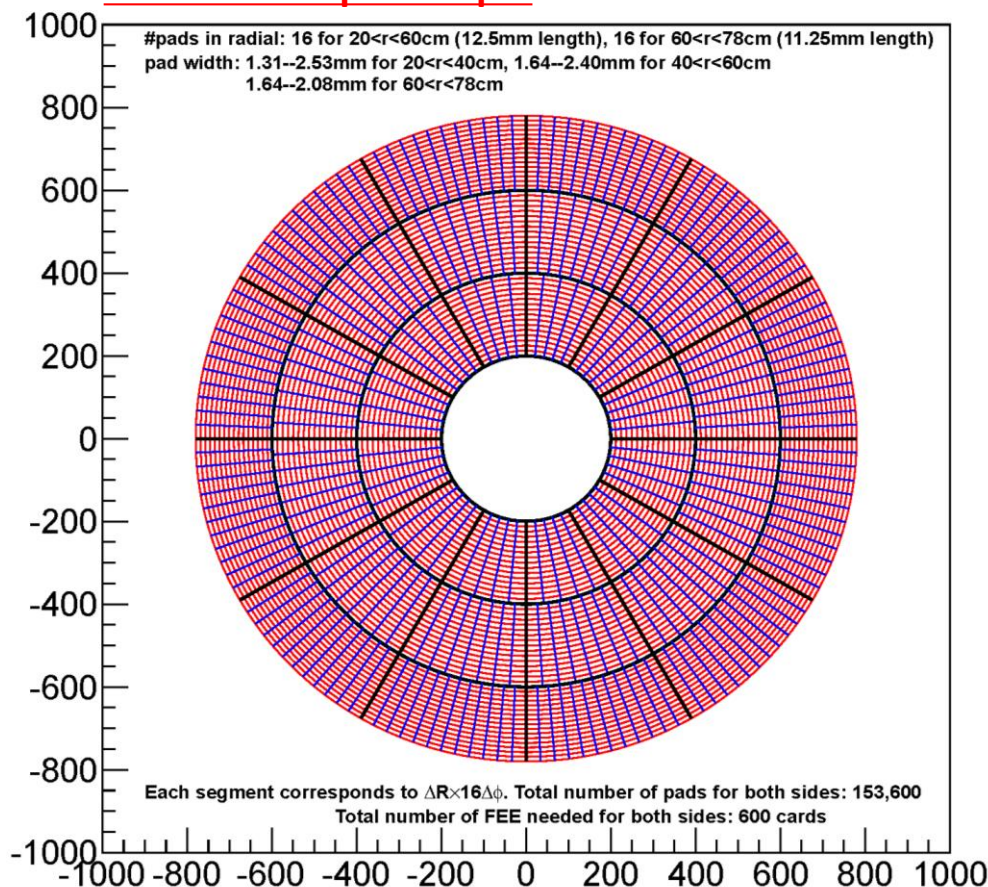


Padplane layout

- New pad layout ($30 < r < 78\text{cm}$)
 - Three segments in radial direction, each divided into 16 (8 for $30 < r < 40\text{cm}$)
 - 12 segments in ϕ direction, each divided into multiple of 16
 - Matching to number of input to a FEE
 - Each cell in the right figure corresponds to 16 pads in ϕ
- Variable pad size as a function of radial position
- Total 153,600 pads for both side
 - 600 FEE cards
- Data Rate (no header included)
 - 1.42Gbps/board for $30 < r < 40\text{cm}$
 - 1.45Gbps/board for $40 < r < 60\text{cm}$
 - 0.77Gbps/board for $60 < r < 80\text{cm}$
 - $\rightarrow 28\text{Gbps}/(1/12 \text{ full azimuth})$

5 FEEs for $30 < r < 40\text{cm}$, 8 for $40 < r < 60\text{cm}$, 12 for $60 < r < 78\text{cm}$, for each $1/12$ of full azimuth

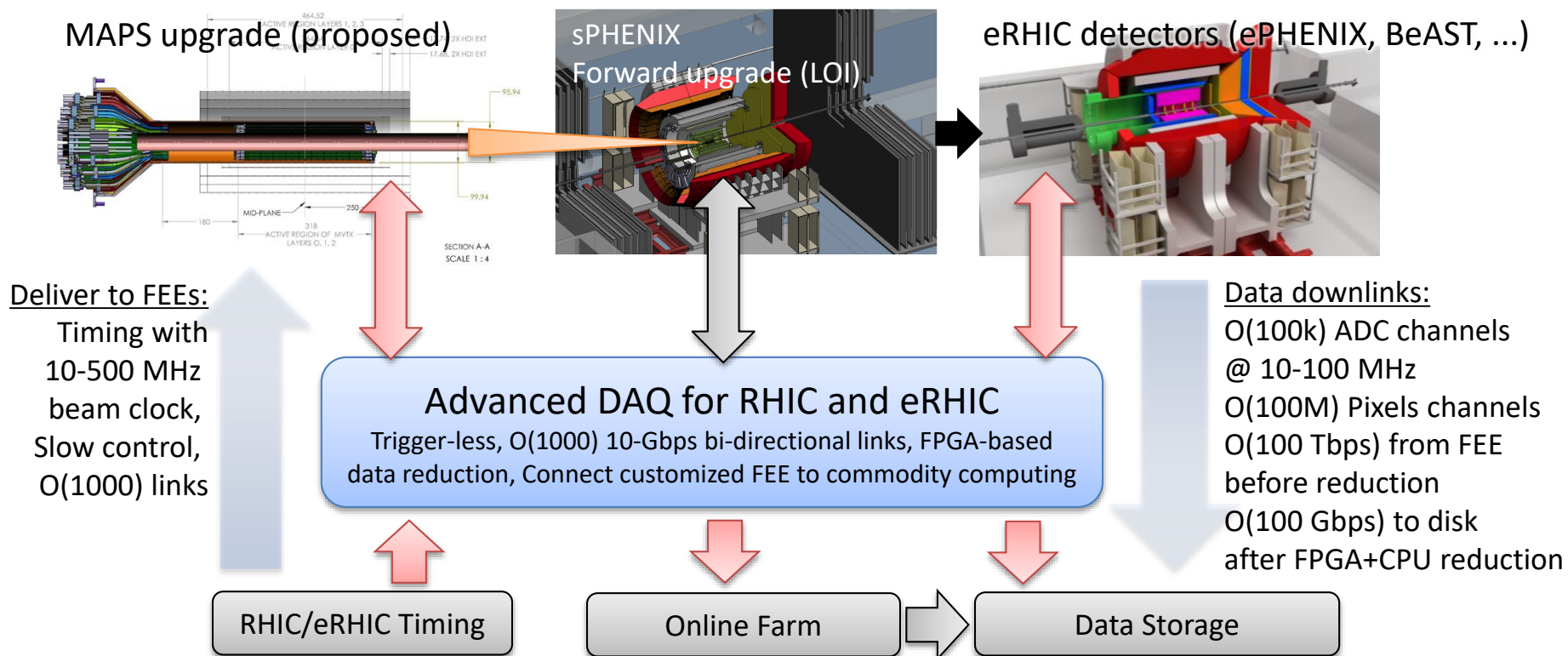
Each cell = 16pads in ϕ



Data rate calculation

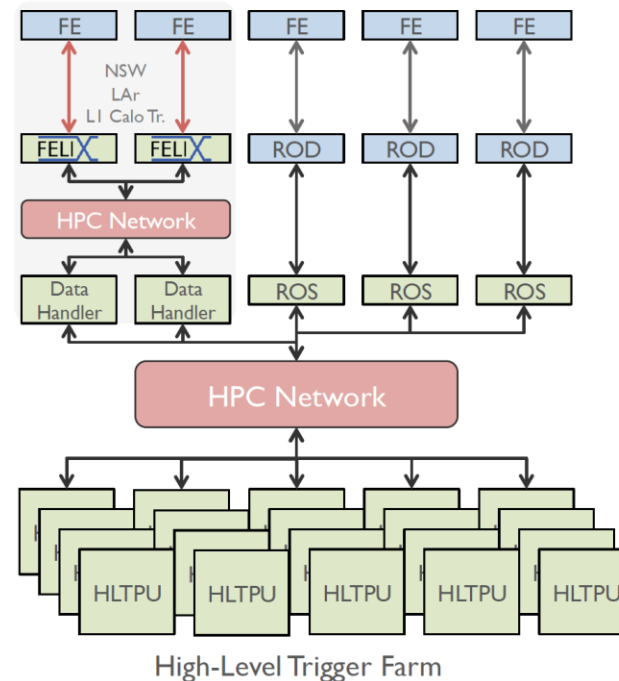
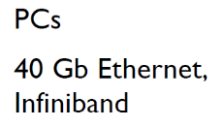
- Raw data (**100% duty factor is assumed**)
 - Sampling rate in z-direction: 10MHz (= 100nsec)
 - Pulse peaking time is 160nsec (fixed from SAMPA's specification), which leads to ~350nsec for whole pulse shape.
 - More than 4 samples in timing (z) direction is necessary. We decided on taking 5 samples including pre-signal
 - One cluster will be spread over 3 pads in r - ϕ plane
 - Coming from the characteristics of the Ne2K (Ne - CF₄ - iC₄H₁₀: 95% - 3% - 2%) gas
 - We measure 40 clusters for one track
 - Each sample is 10 bits: 40 clusters * 15 * 10 bits = 6 Kbits/track
 - 800 tracks per event: 6Kbits/track * 800 = 4.8 Mbits/event
 - This number doesn't take eta-dependent acceptance change of TPC into account
 - At 100 KHz: 4.8 Mbits/event * 100 KHz = 480 Gbits/s
- With header of SAMPA (40% increase at maximum): 670Gbits/s
 - With eta-dependent acceptance change: 940Gbits/s

Advanced DAQ for RHIC and eRHIC



PHENIX

- BNL-711 Board chosen for ATLAS FELIX project, and used in ATLAS phase I upgrade, which is projected to complete before sPHENIX.
- Readout for ATLAS Phase-I sub-system of Liquid Argon Calorimeter, Level-1 calorimeter trigger, New small wheel of the muon spectrometer



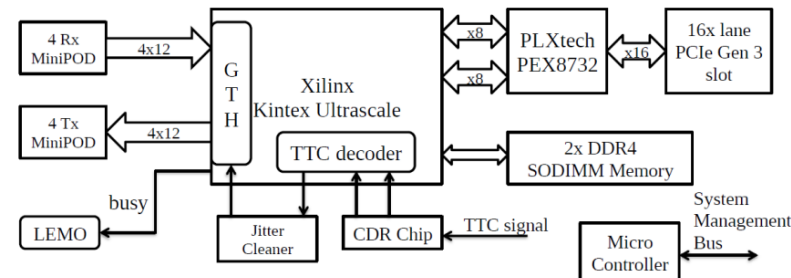
Custom
electronic
components
including
FELIX cards

PCs
(COTS)

ATLAS/FELIX Card for sPHENIX?

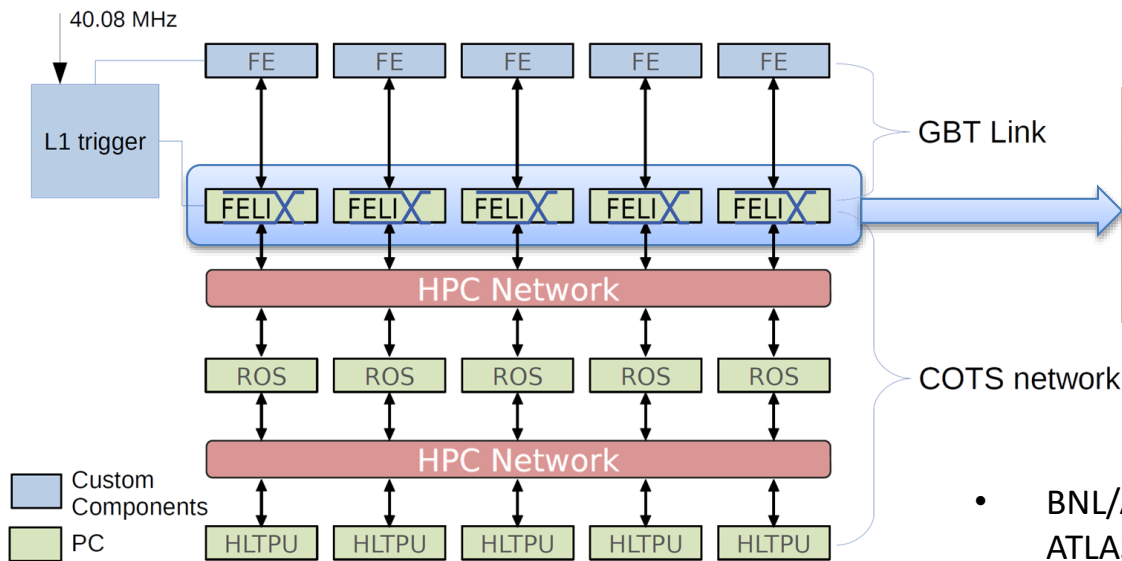
Credit: Kai Chen (BNL), <https://indico.bnl.gov/conferenceDisplay.py?confId=2653>

- **Main features** for FELIX PCIe Card
 - **Design:** BNL/Omega group, **Layout:** BNL/Instrumentation, **Goal:** multiple users.
 - **A large Kintex Ultrascale FPGA, 1.5 M Logical Cells (24x Logical Cells of FVTX FEM card)**
 - **48 bi-directional GBT link via two 48-F MTP connectors**
 - **PClex16 Gen3, 101 Gbps demonstrated**
 - **2x DDR4 memory slots (v1.0, v1.5), removed v2.0**
 - **TTC-timing input (v1.0, v1.5), timing mezzanine card (v2.0)**
- **Timeline and availability:**
 - **Current version: v1.5 prototype, can be ordered now**
 - **Next version: v2.0 pre-production, design completed, layout ongoing, expect available Oct 2017**
 - **FELIX production system delivery expected end 2018 for ATLAS Phase-I upgrade. ATLAS needing 100+ card with various flavor of firmware depending on subsystem configurations.**
- BNL/Omega group, **Local expert** expressed willing for help us to adapt FELIX in sPHENIX
 - **Boards for initial evaluation test**
 - **Support firmware software development, timing mezzanine card design**
 - **The team is also help in possible use of FELIX card in proto-Dune.**
 - **The FELIX team is open for inputs in guiding the design to be more generic to various users.**



FELIX v1.5 Card in test stand

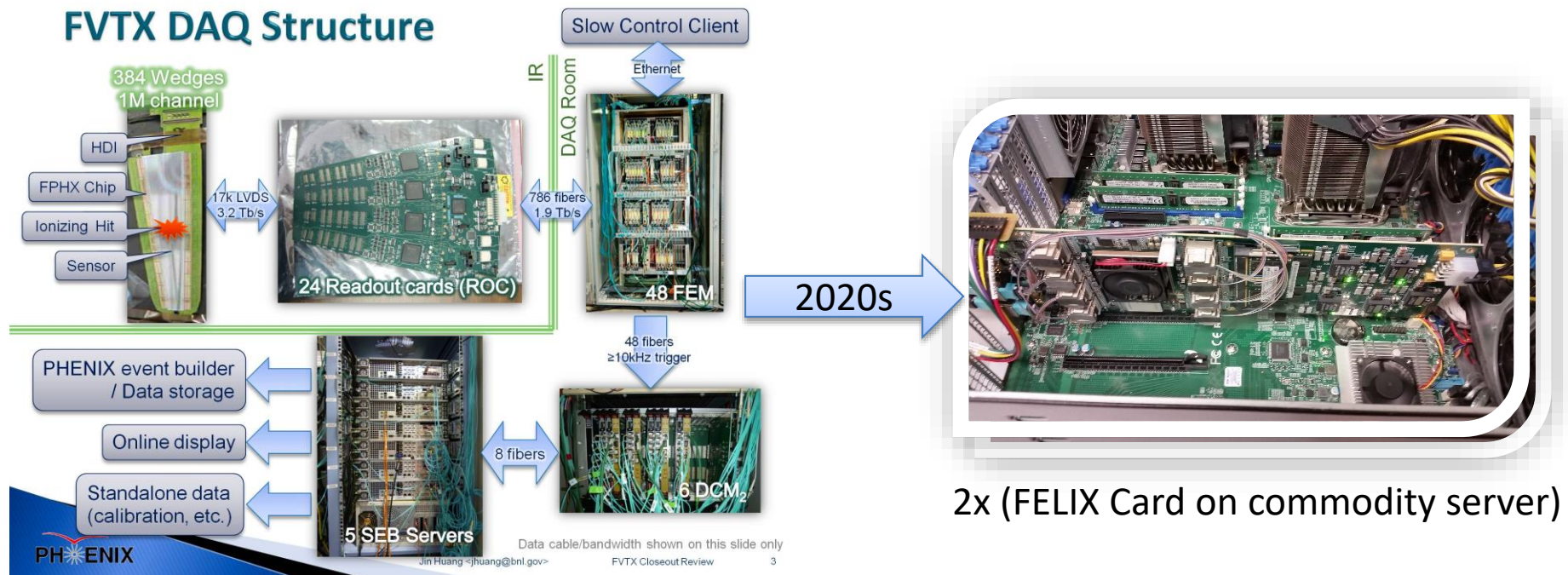
ATLAS DAQ structure for mid-2020s: Front-End Link eXchange (FELIX)



- BNL/ATLAS group is finalizing FELIX PCIe card for ATLAS DAQ upgrades for 2020s. [Significant DAQ hardware R&D @ HEP.](#)

- Using high performance large-FPGA optical link card to [bridge custom FEE and commodity computing](#)
- Besides [ATLAS](#), similar architecture also proposed for [ALICE](#) and [LHCb](#) upgrades

Comparing to current RHIC trigger-less DAQ



- FVTX detector @ PHENIX carries one of most advanced DAQ @ RHIC, successful upgrade in 2012, continuous readout & triggering capability
- FELIX would reduce the five crates of custom DAQ electronics to just two FELIX PCIe cards in terms of FPGA resource and data process rate

Schedule

- Not on the critical path for TPC (1.2)
- Prior to CD-2:
Schedule driven by FPGA and DAQ firmware software development
- Scheduling risk for FELIX card production is low:
 - **Already received v1.5 prototype card for the prototyping stage, 1.2.6.1**
 - **Well into final prototyping stage, producing pre-production card as speaking**
 - **Planned for production of ATLAS Phase-I upgrade (delivery end 2018)**
- Scheduling risk for EBDC server production is low:
 - **Commodity computing**
 - **Procurement scheduled at the latest stage of the production to take advantage of commercial computing development**

FPGA Choices



(a) PCIe40.



FPGA Family Name	Xilinx Virtex 6	Altera Stratix V GX	Xilinx Virtex 7	Altera Arria 10 GX **	Xilinx Virtex Ultrascale	Altera Stratix 10	CRU Requirements #	Xilinx Kintex Ultrascale
Status		available	available	ES available from Q2'15	available	end of 2017		Available
FPGA part number	XC6VLX240T	5SGXEA7	XC7VX690T	10AX115	XCVU190	10SG280		XCKU115
Used in	C-RORC	AMC40	MP7	PCIe40				BNL 711, FELIX v1.5 prototype
Logic Elements / Cells [M]	0.241	0.622	0.693	1.15	1.9	2.8		1.451
FFs [M]	0.3	0.939	0.866	1.7	2.14			1.3
LUTs [M]	0.15	0.235	0.433	0.425	1.07			0.66
18/20 Kb RAM Blocks	832	2560	2940	2713	7560	11721	1920 / 2560	4320
Total Block RAM (Mb)	15	50	53	53	133	229	40 / 53	75.9
≥ 10 Gb/s Transceivers	24	48	80	96	60	144	48	(48 input + 48 output fiber links in FELIX)
PLLs	12	28	20	32	60	48		48
PCIe x8, Gen3	2 (Gen2)	4	3	4	6	6		6

TPC Detector is the majority user (>70%) of CRU boards. CRU requirements is measured against TPC detector specific logic occupancy.

** Although the maximum number of links of the Arria10 family is 96 links, the FPGA equipping the PCIe40 board has only 72 links

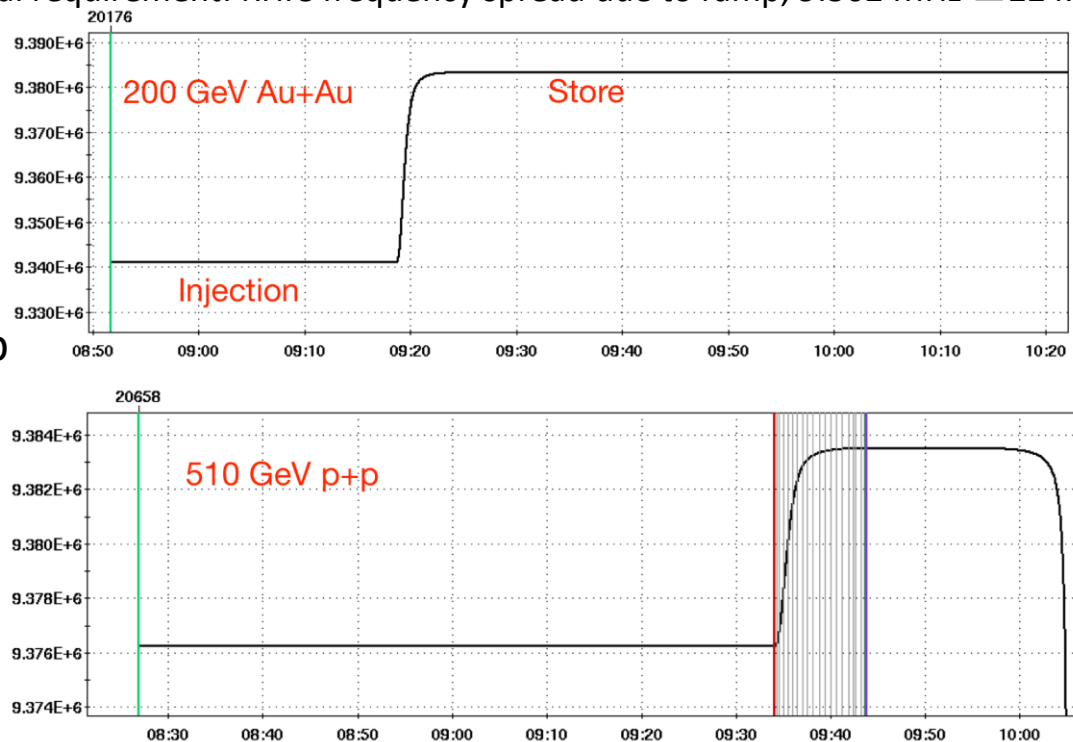
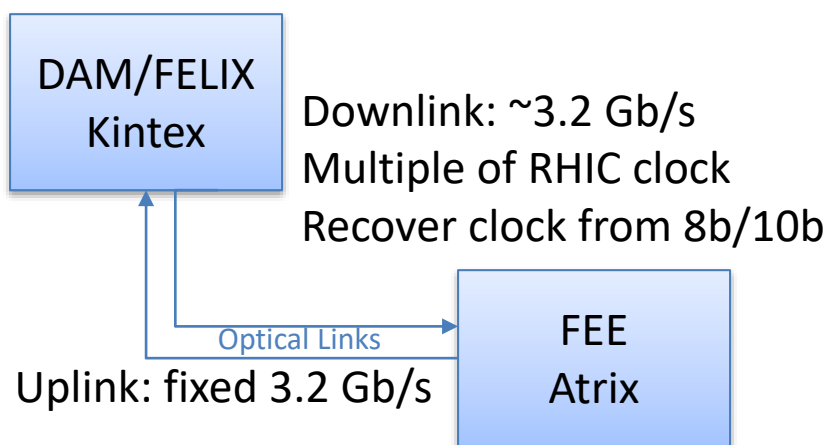
ATLAS group estimated 48x GBP unpacking and PCIe output use ~20% FELIX Resource

Kintex -> Atrix SFP+ fiber links

with RHIC clock delivery

- One of the feature of the design is to use FELIX distribution slow control and RHIC clock to 25x FEE.
- Use RHIC clock (~ 9.4 MHz) as base frequency. Multiply x14 as fiber clock + slow control data in via 8b/10b encoder
- Recover RHIC clock on FEE, and slow control data via 8b/10b decoder
- John Kuczewski (BNL, instrumentation): tested with expected RHIC clock frequency spread (due to ramp). Excellent error rate

Special requirement: RHIC frequency spread due to ramp, $9.362 \text{ MHz} \pm 22 \text{ kHz}$



TPC Outlook and possible use in MAPS

- The TPC group acquired v1.5 FELIX PCIe card to setup a test stand and evaluate DAQ feasibility. Plan to switch to pre-production cards end 2017. Meanwhile, we would also want to learn to CRU, prior to final decision on the readout cards.
- After Ming's request, a v1.5 FELIX PCIe card has been manufactured for MVTX.
- It makes sense to try pursuing the same system for MAPS+TPC readout, and share production batch, DAQ expertise and effort in timing distribution, card/server pool, event-building software development

