Jets in Vacuum: Theory

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Outline

- Theoretical Aspects of Jets
 - Infrared and Collinear Safety and Jet Observables
 - Resummation
- From e^+e^- Event Shapes to Jets in pp
 - e^+e^- Event Shapes
 - Jets in *pp*
 - Groomed Observables

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Why Jets?

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QCD

• QCD is an SU(3) gauge theory:

$$\mathcal{L}_{\mathsf{QCD}} = -rac{1}{4} G^a_{\mu
u} G^{\mu
u a} + \sum_{f} ar{q}_f (i D - m_f) q_f$$

- Microscopic degrees of freedom are quarks and gluons.
- In scattering experiments we observe collimated sprays of hadrons, called jets:



- Jets act as proxies for quarks and gluons.
- Jets are our probe of the underlying microscopic dynamics.

Jets at PETRA

• Kinematics of jets used to infer gluon emission in hard scattering.

ΣIP, CHARGE

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7.8

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7.4 G EV

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8.9

11.1



Jets at the LHC: Internal Structure

- Internal structure of jets resolved due to excellent detector resolution.
- Electroweak scale objects, W/Z/H or t can have sufficiently high p_T to appear inside a jet.



Jet Substructure

• Jet substructure: measure properties (charge, energy, etc) of radiation in a jet to extract information about its origin.



Infrared and Collinear Safety and Jet Observables



Image: Image:

Perturbative Calculations

• We perform perturbative calculations in terms of quarks and gluons

$$\mathcal{L}_{\mathsf{QCD}} = -rac{1}{4} G^a_{\mu
u} G^{\mu
u\,a} + \sum_f ar{q}_f (i D - m_f) q_f$$

• But observables are measured on hadrons:



• For what observables is a perturbative calculation meaningful?

Non-Perturbative Corrections

- Non-perturbative corrections arise when you are sensitive to splittings with invariant mass $\sim \Lambda_{QCD}$
- To have small invariant mass radiation can be either



• Need observables to be well behaved in the soft and collinear limits.

• Infrared and Collinear (IRC) Safety:

An observable is infrared and collinear safe if it is insensitive to infinitesimally soft or exactly collinear emissions.

- Infrared and Collinear Safety implies that you get a finite answer at each order in perturbation theory.
- It does not mean that the answer is reliable!
- IRC safety is a primary guide in designing observables.

- What observables are Infrared and Collinear Safe:
 - Jet charge is not IRC safe.



- An arbitrarily soft quark can carry charge away from the jet.
- Jet charge CANNOT be calculated in perturbation theory. A non-perturbative input function is required.
- Does NOT mean charge isn't interesting.

• What is Infrared and Collinear Safe:

• Jet mass:
$$m_J^2 = (\sum_i p_i)^2$$

• Consider a two-particle configuration that splits:



- IRC safe observables are linear functions of energy.
- e.g. The observable $\sum_{i \in J} E_i^2$ is not IRC safe.

$$(E_2(1-z))^2 + (E_2z)^2 \neq E_2^2$$



• Places strong constraints on perturbatively calculable observables.

Energy Correlation Functions

• Correlations of energies and angles are IRC safe.



$$F_N(P) = \sum E_{i_1} \cdots E_{i_N} f_N(\hat{p}_{i_1}, \cdots, \hat{p}_{i_N})$$

- Linear in the energies by Infrared and Collinear (IRC) safety.
- f_N is symmetric, and $f_N \rightarrow 0$ if $\hat{p}_i || \hat{p}_j$

• Known that from this one can reconstruct any IRC safe observable.

eneralized Energy Correlation Functions
General Energy Correlation Functions

$$R_{ij} = \sqrt{\Delta y_{ij}^2 + \Delta \phi_{ij}^2}$$

$$ie_j^{(\beta)} = \frac{1}{p_{TJ}^j} \sum_{1 \le n_1 < \dots < n_j \le n} p_{Tn_1} p_{Tn_2} \dots p_{Tn_j} \min\left(\prod_{s,t}^i R_{st}^\beta\right)$$

G

• Example: Three different ways to probe three particle correlations.

$$1e_{3}^{(\beta)} = \frac{1}{p_{TJ}^{3}} \sum_{1 \le i < j < k \le n_{J}} p_{Ti} p_{Tj} p_{Tk} \min \left[R_{ij}^{\beta}, R_{ik}^{\beta}, R_{jk}^{\beta} \right],$$

$$2e_{3}^{(\beta)} = \frac{1}{p_{TJ}^{3}} \sum_{1 \le i < j < k \le n_{J}} p_{Ti} p_{Tj} p_{Tk} \min \left[R_{ij}^{\beta} R_{ik}^{\beta}, R_{ij}^{\beta} R_{jk}^{\beta}, R_{ik}^{\beta} R_{jk}^{\beta} \right],$$

$$3e_{3}^{(\beta)} = \frac{1}{p_{TJ}^{3}} \sum_{1 \le i < j < k \le n_{J}} p_{Ti} p_{Tj} p_{Tk} R_{ij}^{\beta} R_{jk}^{\beta} R_{jk}^{\beta} = e_{3}^{(\beta)}$$
Two particle correlation $e_{2}^{(2)}$ gives mass.

The Shape of Jets at the LHC: D_2

• D_2 distributions in ATLAS.





D_2 on W Jets



N_2 at CMS

[IM, Necib, Thaler]

• N_2 distributions in CMS.





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Resummation

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• QCD has soft and collinear singularities: $P(z, \theta) = \frac{dz}{z} \frac{d\theta}{\theta}$

We can try and compute the jet mass at lowest order (dropping all factors)

$$\frac{d\sigma}{dm_J^2} \sim \alpha_s C_F \int \frac{dz}{z} \frac{d\theta}{\theta} \delta\left(m_J^2 - z(1-z)\theta^2 p_{TJ}^2\right)$$

• Let
$$\tau = m_J^2 / p_{TJ}^2$$

 $\frac{d\sigma}{d\tau} \sim \alpha_s C_F \int \frac{dz}{z} \frac{d\theta}{\theta} \delta\left(\tau - z\theta^2\right)$
 $\sim \alpha_s C_F \frac{1}{\tau} \int_{\sqrt{\tau}} \frac{d\theta}{\theta} \sim \alpha_s C_F \frac{\log(\tau)}{\tau}$

E

- Soft and collinear singularities, $P(z,\theta) = \frac{dz}{z} \frac{d\theta}{\theta}$, cause divergence. $z \cdot E_{C}$
- If one measures an observable $au=m_J^2/p_{TJ}^2$ on a jet,





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$$rac{d\sigma}{d au} = -rac{2lpha_{
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m F}}{\pi}rac{\log au}{ au}\left(1 - rac{lpha_{
m s}C_{
m F}\log^2 au}{\pi}
ight)$$



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$$\frac{d\sigma}{d\tau} = -\frac{2\alpha_{s}C_{F}}{\pi}\frac{\log\tau}{\tau}\left(1 - \frac{\alpha_{s}C_{F}\log^{2}\tau}{\pi} + \frac{1}{2}\left(\frac{\alpha_{s}C_{F}\log^{2}\tau}{\pi}\right)^{2}\right)$$



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$$= -\frac{2\alpha_s C_F}{\pi} \frac{\log \tau}{\tau} \left(e^{-\frac{\alpha_s C_F}{\pi} \log^2 \tau} \right)$$

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Sudakov Form Factor

- This is a completely universal behavior.
- Exponential is referred to as Sudakov Form Factor. [Sudakov, 1954]
- Gives probability for no emissions between p_{TJ} and m_J .



Non-Perturbative Effects

• Even though mass is IRC safe, at small values, have non-perturbative corrections.



- For mass amount to a shift of the peak.
- Can be modelled by a shape function, or taken from Monte Carlo.

Logarithmic Counting

- Instead of counting orders in α_s (LO, NLO, ...) count logarithmic orders (LL, NLL, NNLL,...)
- Consider jet mass:

$$\sigma(m_J) = \int_0^{m_J} dm_J \frac{d\sigma}{dm_J}$$







• Sum towers of logs: LL, NLL, NNLL, ···

What Should our Aim Be?

- Comparing Accuracy:
 - LL: "Calculations for Understanding"
 - NLL: Corrections $\mathcal{O}(\alpha_s)$ suppressed.
 - NNLL: Corrections $\mathcal{O}(\alpha_s^2)$ suppressed, reliable uncertainty estimates.
 - \implies Data-Theory comparison!

• NNLL is now achievable for a select group of important observables.

Soft and Collinear Factorization

- A jet is by definition a collimated spray of radiation with $m_J \ll p_{TJ}$
- To have small invariant mass the jet can consist of radiation that is either

• Collinear:
$$m_J^2 \sim p_{TJ}^2 \theta^2$$

 $\Rightarrow \theta \sim \frac{m_J}{p_{TJ}}$

• Soft: $m_J^2 \sim p_{TJ} E_s$
 $\Rightarrow E_s \sim \frac{m_J^2}{p_{TJ}}$

• Measuring a jet forces QCD into the soft and collinear limits.

Factorization

• It can be proven that we can write a cross section as a product of hard, collinear and soft matrix elements n_2 nnnn $\cdot n_1$ $m_J \ll p_{TJ}$ $\mu_H = p_T$ $\mu_J = m_J$ $\mu_{np} = \Lambda_{OC}$ $F_{\rm np}$ $\frac{d\sigma}{dm} = H(Q^2) \int dm^c dm^{\bar{c}} dm^s \delta\left(m - m^c - m^{\bar{c}} - m^s\right) J_{n_s}\left(m^c\right) J_{n_b}\left(m^{\bar{c}}\right) S(m^s)$ JetScape 2018 January 3, 2018 27 / 58

Factorization and Renormalization

• Factorization allows cross section to be written as a product (convolution) of simple single scale functions:

$$\frac{d\sigma}{dm} = H(Q^2) \int dm^c dm^{\bar{c}} dm^s \delta\left(m - m^c - m^{\bar{c}} - m^s\right) J_{n_s}\left(m^c\right) J_{n_b}\left(m^{\bar{c}}\right) S(m^s)$$

- Each function can be easily computed by itself (often in an expanded limit).
- All logarithms predicted by renormalization group evolution:

$$rac{\mathsf{d}}{\mathsf{d}\log\mu} F(z;\mu,
u) = \int \mathsf{d}z' \, \gamma_F^\mu(z-z';\mu,
u) F(z';\mu,
u)$$

• Anomalous dimensions can be computed to very high perturbative accuracy.

Jets in e^+e^-

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Jets in e^+e^-

• Jets in e^+e^- are literally jets from the QCD vacuum.



• Insert the current $J^{\mu} = \bar{q}\gamma^{\mu}q$ into the QCD vacuum. \implies exceptional theoretical control!

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Jets at LEP

• Measured detailed distribution of radiation using event shapes.



Perturbative Accuracy

- State of the art for e^+e^- event shapes is 3 loops.
- Example of renormalization group boundary condition at 3 loops:

 $c_1^{\text{EEC}} = -2C_E c_2$ [Moult and Zhu] $= C_{A}C_{F}\left(\frac{2428}{81} - \frac{67}{3}\zeta_{2} - \frac{154}{9}\zeta_{3} + 10\zeta_{4}\right)$ $+ C_F n_f \left(-\frac{328}{21} + \frac{10}{2}\zeta_2 + \frac{28}{2}\zeta_3 \right) ,$ ₩ ₩ ₩ ₩ ₩ $c_3^{\text{EEC}} = C_F C_A^2 \left(\frac{5211949}{13122} - \frac{297481}{720} \zeta_2 - \frac{151132}{243} \zeta_3 + \frac{3649}{27} \zeta_4 \right)$ ¥ ₩ ₩ ₩ $+\frac{1804}{2}\zeta_5+\frac{1100}{2}\zeta_2\zeta_3-\frac{3086}{27}\zeta_6+\frac{928}{2}\zeta_3^2$ 華蒙蒙蒙 + $C_F C_A n_f \left(-\frac{412765}{5761} + \frac{74530}{720} \zeta_2 + \frac{8152}{21} \zeta_3 - \frac{416}{27} \zeta_4 - \frac{184}{2} \zeta_5 + \frac{40}{2} \zeta_3 \zeta_2 \right)$ $+C_{F}^{2}n_{f}\left(-\frac{42727}{425}+\frac{275}{2}\zeta_{2}+\frac{3488}{21}\zeta_{3}+\frac{152}{2}\zeta_{4}+\frac{224}{2}\zeta_{5}-\frac{80}{2}\zeta_{3}\zeta_{2}\right)$ $+ C_F n_f^2 \left(-\frac{256}{6561} - \frac{136}{27} \zeta_2 - \frac{560}{242} \zeta_3 - \frac{44}{27} \zeta_4 \right)$

Very sophisticated and well developed mathematical tools exist.

Theory Precision for Jets in Vacuum

• 1% uncertainty achieved for certain observables!



[Abbate, Fickinger, Hoang, Mateu, Stewart]

Jets in true vacuum quite well understood.

Jets in pp

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• Involves interactions at many hierarchical energy scales.

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 Tractable due to factorization: $\frac{d\sigma}{d\mathcal{M}_{1}\cdots} = \sum_{\{\kappa\}} \operatorname{tr} H_{\kappa} \mathcal{II} \mathcal{J}_{\kappa_{i}} \otimes \cdots \otimes \mathcal{J}_{\kappa_{j}} \mathcal{S}_{\kappa_{s}} \otimes f_{p/i} f_{p/j} \otimes f_{k \to H} \otimes \cdots \otimes f_{l \to H} \otimes F + \cdots + \cdots$ $p_{TJ} \sim 500 {
m ~GeV}$ Energy Scale $m_J \sim 100~{
m GeV}$ $m_J^2/p_{TJ} \sim 20 \text{ GeV}$ $m_b \sim 4 \text{ GeV}$ $\Lambda_{\rm OCD} \sim 100 \text{ MeV}$

• $\frac{d\sigma}{d\mathcal{M}_1\cdots}$ written as a convolution of single scale objects.





• $\mathcal{II}J_{\kappa_i} \times \cdots \times J_{\kappa_i}$: Describe dynamics of collinear radiation (jets).

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• S_{κ_s} , F: Describe low energy soft radiation.

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Underlying Event and MPI

• Global observables in pp:





[Geneva Monte Carlo]

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 Affected by Underlying Event (UE)/ Multiple Parton Interactions (MPI)

Underlying Event and MPI

• Proton remnants interact. Can violate factorization into independent PDFs $f_{p/i}, f_{p/j}$



- MPI/UE is typically low energy uniform radiation
- Is in general non-perturbative. Options:
 - Models tuned to data implemented in standard Monte Carlos.
 - Minimize effects.

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Jet Observables

• Effects can be significantly minimized by measuring observables on jets themselves instead of entire event.



• Jet observables get smaller contamination from low energy UE/MPI

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Non-Global Observables

• Most (\sim all) measurements at the LHC make different measurements in different regions of phase space.



Non-Global Observables

- Most (\sim all) measurements at the LHC make different measurements in different regions of phase space.
- In a non-abelian gauge theory, this introduces correlations:



• Significantly complicates the structure.

How can we make a jet look like it is in e^+e^- ?

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Grooming

 $p_{Ti} + p_T$

- Groomer are used to remove soft contamination.
- Soft Drop/ mMDT: Recurse through a Cambridge-Aachen clustering tree and remove particles that fail the condition: min[p_{Ti}, p_{Tj}]



• Loosely speaking, reduces a jet to its collinear core.



• Any IRC safe observable measured on a groomed jet is IRC safe.

Difficulties with pp Collisions

• Difficulties in QCD calculations for pp:



- Global color correlations
- Hadronization corrections
- Pile-Up
- Underlying event

Image: Image:



- All complications associated with soft radiation.
- Groomers remove soft radiation
 - \implies Makes calculations simpler and more universal.

Grooming for pp Collisions

• Grooming removes all color correlations.





- Jet can be considered in isolation!
- Enables calculations in complicated LHC environment.

Groomed Observables

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Precision Calculations with Grooming

- Groomed mass is a benchmark observable.
 - \implies Motivates push to precision
- Groomed mass is theoretically well understood:
 - Simple structure √
 - Contamination is minimized \checkmark
- Formalized in all orders factorization theorem:



[Frye, Larkoski, Schwartz, Yan]

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• Allows one to systematically compute to higher orders.

Soft Drop Jet Mass

- Factorization theorem allows one to immediately go to NNLL.
- First precision calculation of a jet substructure observable!



Soft Drop Jet Mass

• Measurement of the groomed jet mass in ATLAS:



Soft Drop Mass in ATLAS

• Comparison of first principles QCD theory and data in pp environment.

Groomed D_2

• Similar success with other more complicated observables.





- MPI/Underlying Event completely negligible.
- Non-perturbative corrections are from hadronization within the jet.

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Momentum Asymmetry z_g

• Asymmetry on groomed jets: *z_g*.



• Measures the splitting probability.

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Summary

 IRC safe observables can be computed perturbatively to high accuracy.

• Understanding of jets in vacuum in pp difficult due to complex environment.

• Precision understanding regained by smart choice of observables.



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Further Reading

• For a modern review of jets at the LHC (with 500+ references):

Jet Substructure at the Large Hadron Collider: A Review of Recent Advances in Theory and Machine Learning

> Andrew J. Larkoski* Physics Department, Reed College, Portland, OR 97202, USA

> > Ian Moult[†]

Berkeley Center for Theoretical Physics, University of California, Berkeley, CA 94720, USA and Theoretical Physics Group, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

Benjamin Nachman[‡] Physics Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA (Dated: September 15, 2017)

Jet substructure has emerged to play a central role at the Large Hadron Collider (LHC), where it has provided numerous innovative new ways to search for new physics and to probe the Standard Model in extreme regions of phase space. In this article we provide a comprehensive review of state of the art theoretical and machine learning developments in jet substructure. This article is meant both as a pedagogical introduction, covering the key physical principles underlying the calculation of jet substructure observables, the development of new observables, and cutting edge machine learning techniques for jet substructure, as well as a comprehensive reference for experts. We hope that it will prove a useful introduction to the exciting and rapidly developing field of jet substructure at the LHC.

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Thanks!

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