Transverse Motion of Quarks in Nuclei

Ian Cloët Argonne National Laboratory

Short-range Nuclear Correlations at an Electron-Ion Collider

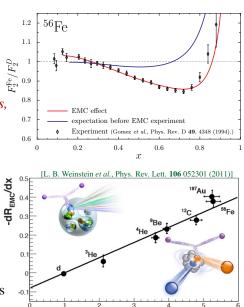
Center for Frontiers in Nuclear Science, 5-7 September 2018





QCD and Nuclei

- Understanding origin of the EMC effect is critical for a QCD based description of nuclei
- Important question: In what processes, and at what energy scales, do quarks and gluons become the effective degrees of freedom?
- Modern explanations based around medium modification of the bound nucleons
 - is modification caused by *mean-fields* which modify all nucleons all of the time or by *SRCs* which modify some nucleons some of the time?
- Microscopic calculations/predictions
 that describe nucleon and nuclear
 structure only exist in mean-field approach



 $a_{a}(A/d)$

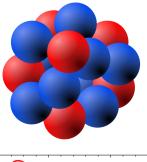
Nucleons in Nuclei

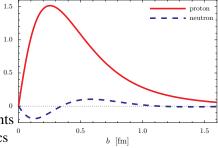
- Nuclei are extremely dense:
 - proton rms radius is $r_p \simeq 0.85$ fm, corresponds hard sphere $r_p \simeq 1.10$ fm
 - ideal packing gives $\rho \simeq 0.13 \, {\rm fm}^{-3}$; nuclear matter density is $\rho \simeq 0.16 \, {\rm fm}^{-3}$
 - 20% of nucleon volume inside other nucleons nucleon centers $\sim 2 \text{ fm}$ apart
- For realistic charge distribution 25% of proton charge at distances r > 1 fm
- Natural to expect that nucleon properties are modified by nuclear medium – even at the mean-field level

• in contrast to traditional nuclear physics

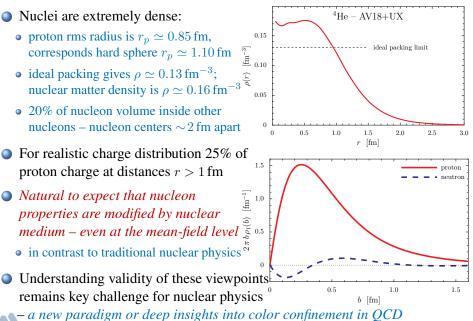
Understanding validity of these viewpoints remains key challenge for nuclear physics

- a new paradigm or deep insights into color confinement in QCD



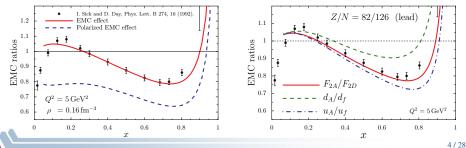


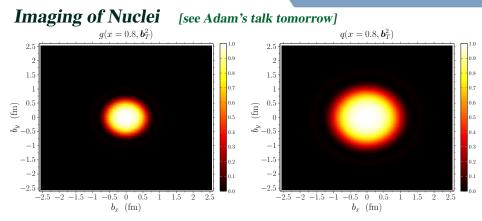
Nucleons in Nuclei



Understanding the EMC effect

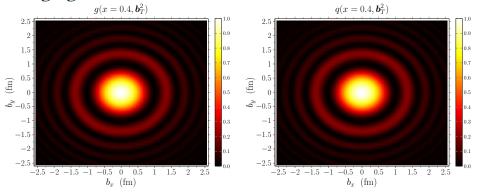
- The puzzle posed by the EMC effect will only be solved by conducting new experiments that expose novel aspects of the EMC effect
- Measurements should help distinguish between explanations of EMC effect e.g. whether *all nucleons* are modified by the medium or only those in SRCs
- Important examples are measurements of the EMC effect in polarized structure functions & the flavor dependence of EMC effect
- A JLab experiment has been approved to measure the spin structure of ⁷Li
- Flavor dependence will be accessed via JLab DIS experiments on ⁴⁰Ca & ⁴⁸Ca but parity violating DIS stands to play the pivotal role (maybe at EIC)





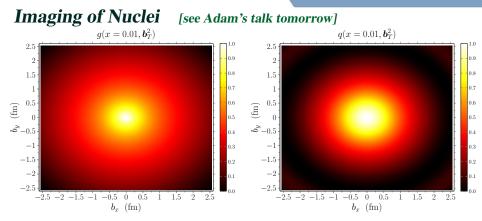
- Next step is the quark and gluon imaging/tomography of nuclei [JLab, EIC, Fermilab, ...]
- Key example is nuclear GPDs provides a spatial tomography of nuclei
 spatial location of the quarks and gluons, their variation with x, and radii
- Most directly addresses the question: How does the nucleon-nucleon interaction arise from QCD?

[see Adam's talk tomorrow]



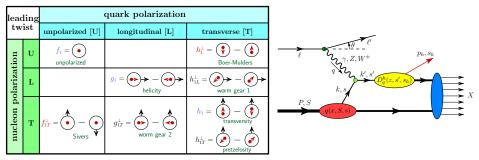
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Imaging of Nuclei



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Probing Transverse Momentum



SIDIS cross-section on nucleon has 18 structure functions – factorize as:

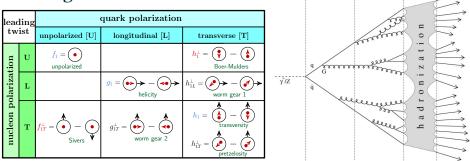
$$F(x, z, P_{h\perp}^2, Q^2) \propto \sum f^q(x, \boldsymbol{k}_T^2) \otimes D_q^h(z, \boldsymbol{p}_T^2) \otimes H(Q^2)$$

• reveals correlations between parton transverse momentum, its spin & target spin

Fragmentation functions are particularly important, but also challenging

• potentially fragmentation functions can shed the most light on confinement and DCSB – because they describe how a fast moving (massless) quark or gluon becomes a tower of hadrons

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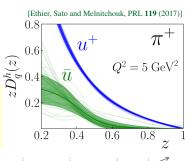
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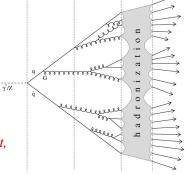
Fragmentation Functions

Fragmentation functions describe how a fast moving quark or gluon fragments to form hadrons (hadronization); *spin-independent:*

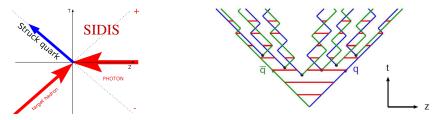
$$D_q^h(z) = \frac{z}{12} \hat{\sum}_n \int \frac{d\xi^-}{2\pi} e^{ip^+\xi^-/z} \\ \times \left\langle p(h), p_n \left| \bar{\psi}(0) \right| 0 \right\rangle \gamma^+ \left\langle 0 \left| \psi(\xi^-) \right| p(h), p_n \right\rangle$$

- Physical interpretation (on the light-front): the number density for a hadron h in a dressed-quark q to have a fraction z of the quark light-cone momentum $(p^+ = z k^+)$
- Characteristics of fragmentation processes must be dramatically influenced by structure of quark and gluon propagators, confinement, and DCSB.

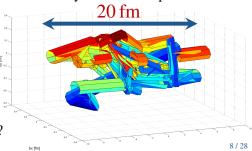




Current Treatments of Fragmentation Functions



- Current state-of-the-art treatments of fragmentation functions are usually, in part, semi-classical e.g. PYTHIA and LUND model
- Implementation and interpretation relies heavily on the concepts of flux tubes or strings
- Difficult to gain insight into QCD with this framework
- Are 20 fm flux tubes conceivable?
 - What about confinement?
 - How does this change in-medium?



Theory approaches to EMC effect

To address the like EMC effects must determine e.g. nuclear PDFs, TMDs:

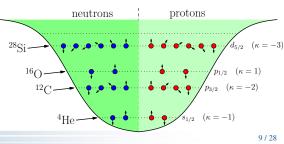
$$q_A(x_A) = \frac{P^+}{A} \int \frac{d\xi^- \boldsymbol{\xi}_T}{2\pi} e^{ix_A P \cdot \boldsymbol{\xi}/A} \langle A, P | \overline{\psi}_q(0) \, \boldsymbol{\gamma}^+ \, \psi_q(\xi^-, \boldsymbol{\xi}_T) | A, P \rangle \Big|_{\boldsymbol{\xi}^+ = 0}$$

Common to approximate using convolution formalism

$$q_A(x_A, \boldsymbol{k}_T^2) = \sum_{\alpha} \int_0^A dy_A \int_0^1 dz \,\,\delta(x_A - y_A z) \int d^2 \boldsymbol{q}_T \int d^2 \boldsymbol{\ell}_T \\ \delta(\boldsymbol{\ell}_T - \boldsymbol{k}_T + z \, \boldsymbol{q}_T) \,\,f_A^{\alpha}(y_A, \boldsymbol{q}_T^2) \,\,q_{\alpha}(z, \boldsymbol{\ell}_T^2)$$

• $\alpha = (bound)$ protons, neutrons, pions, deltas. ...

For TMDs must Lorentz transform nucleon to the frame where the nucleus has zero transverse momentum



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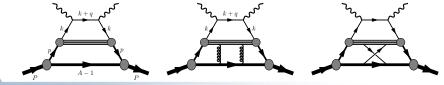
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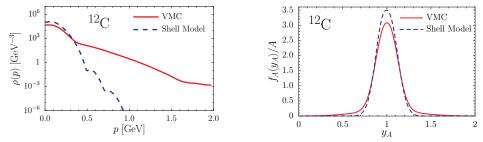
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• $\alpha = (bound)$ protons, neutrons, pions, deltas. ...

- $q_{\alpha}(z, \ell_T^2)$ TMDs of quarks q in bound hadron α
- $f_{\alpha}(y_A, q_T^2)$ TMDs of hadron in nucleus

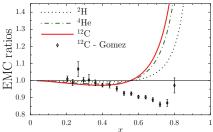


Nucleon Momentum Distributions in Nuclei



- Modern GFMC or VMC nucleon momentum distributions have significant high 1.4 momentum tails
 - indicates momentum distributions contain SRCs: ~20% for ¹²C
- Light-cone momentum distribution:

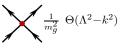
$$f(y_A) = \int \frac{d^3 \vec{p}}{(2\pi)^3} \,\delta\left(y_A - \frac{p^+}{P^+}\right) \,\rho(p)$$



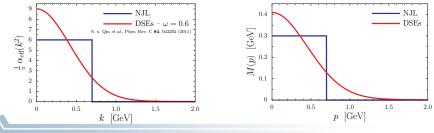
Naive SRCs introduce effect of opposite sign to EMC effect

Quarks, Nuclei, and the NJL model



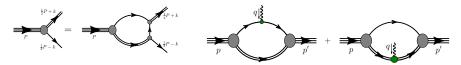


- this is just a modern interpretation of the Nambu–Jona-Lasinio (NJL) model
 model is a Lagrangian based covariant QFT, exhibits dynamical chiral symmetry
- breaking & quark confinement; elements can be QCD motivated via the DSEs
- Quark confinement is implemented via proper-time regularization
 - quark propagator: $[p m + i\varepsilon]^{-1} \rightarrow Z(p^2)[p M + i\varepsilon]^{-1}$
 - wave function renormalization vanishes at quark mass-shell: $Z(p^2 = M^2) = 0$
 - confinement is critical for our description of nuclei and nuclear matter



Nucleon Electromagnetic Form Factors

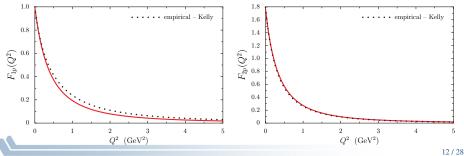
Nucleon = quark+diquark Sorm factors given by Feynman diagrams:



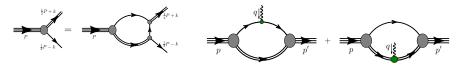
Calculation satisfies electromagnetic gauge invariance; includes

- dressed quark–photon vertex with ρ and ω contributions
- contributions from a pion cloud

[ICC, W. Bentz and A. W. Thomas, Phys. Rev. C 90, 045202 (2014)]



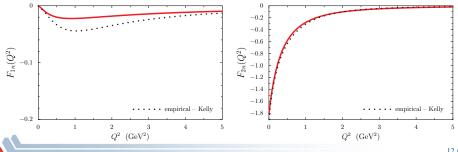
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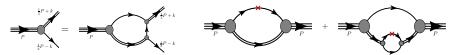
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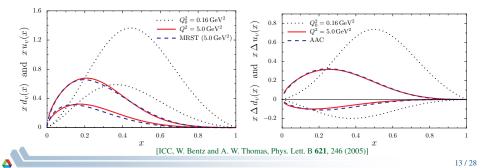
Nucleon quark distributions

• Nucleon = quark+diquark • PDFs given by Feynman diagrams: $\langle \gamma^+ \rangle$



Covariant, correct support; satisfies sum rules, Soffer bound & positivity

 $\langle q(x) - \bar{q}(x) \rangle = N_q, \ \langle x u(x) + x d(x) + \ldots \rangle = 1, \ |\Delta q(x)|, \ |\Delta_T q(x)| \leqslant q(x)$

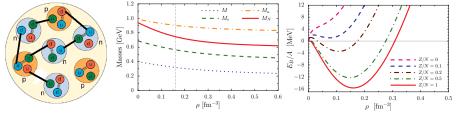


NJL at Finite Density

Finite density (mean-field) Lagrangian: $\bar{q}q$ interaction in σ , ω , ρ channels

$$\mathcal{L} = \overline{\psi}_q \left(i \not\partial - M^* - \notV_q \right) \psi_q + \mathcal{L}'_{\mathcal{L}}$$

Fundamental physics – mean fields couple to the quarks in nucleons



Quark propagator:

$$S(k)^{-1} = \not k - M + i\varepsilon \longrightarrow S_q(k)^{-1} = \not k - M^* - \not V_q + i\varepsilon$$

• Hadronization + mean-field \implies effective potential (solve self-consistently)

$$V_{u(d)} = \omega_0 \pm \rho_0, \qquad \omega_0 = 6 G_\omega \left(\rho_p + \rho_n \right), \qquad \rho_0 = 2 G_\rho \left(\rho_p - \rho_n \right)$$

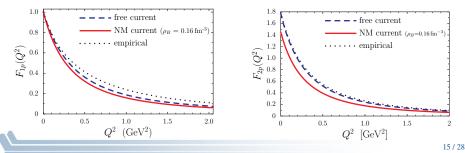
•
$$G_{\omega} \iff Z = N$$
 saturation & $G_{\rho} \iff$ symmetry energy

Nucleons in the Nuclear Medium

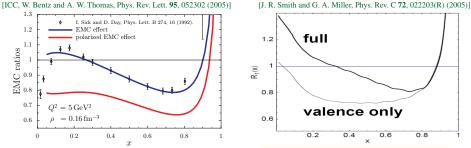
- For nuclear matter find that quarks bind together into color singlet nucleons
 - however contrary to traditional nuclear physics approaches these quarks feel the presence of the nuclear environment
 - as a consequence bound nucleons are modified by the nuclear medium
- Modification of the bound nucleon wave function by the nuclear medium is a *natural consequence* of quark level approaches to nuclear structure

For a proton in nuclear matter find

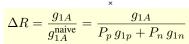
- Dirac & charge radii each increase by about 8%; Pauli & magnetic radii by 4%
- $F_{2p}(0)$ decreases; however $F_{2p}/2M_N$ almost constant μ_p almost constant



EMC and Polarized EMC effects

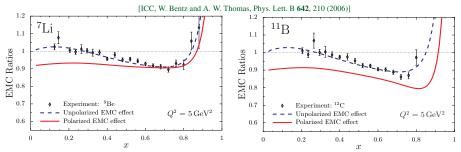


Definition of polarized EMC effect:ratio equals unity if no medium effects



- Large polarized EMC effect results because in-medium quarks are more relativistic (M* < M)
 - lower components of quark wave functions are enhanced and these usually have larger orbital angular momentum
 - in-medium we find that quark spin is converted to orbital angular momentum
- A large polarized EMC effect would be difficult to accommodate within traditional nuclear physics and many other explanations of the EMC effect

EMC effects in Finite Nuclei

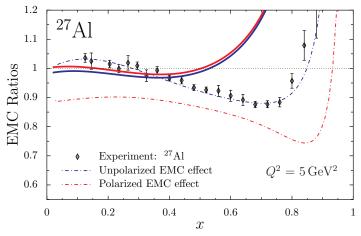


Spin-dependent cross-section is suppressed by 1/A

- should choose light nucleus with spin carried by proton e.g. \implies ⁷Li, ¹¹B,...
- Effect in ⁷Li is slightly suppressed because it is a light nucleus and proton does not carry all the spin (simple WF: $P_p = 13/15$ & $P_n = 2/15$)
- Experiment now approved at JLab [E12-14-001] to measure spin structure functions of ⁷Li (GFMC: $P_p = 0.86$ & $P_n = 0.04$)

Everyone with their favourite explanation for the EMC effect should make a prediction for the polarized EMC effect in ^{7}Li

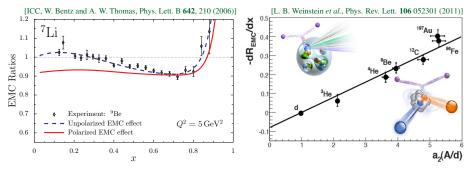
Turning off Medium Modification



- Without medium modification both EMC & polarized EMC effects disappear
- Polarized EMC effect is smaller than the EMC effect this is natural within standard nuclear theory and also from SRC perspective

Large splitting very difficult without *mean-field* medium modification

Mean-field vs SRC induced Medium Modification



Explanations of EMC effect using SRCs also invoke medium modification

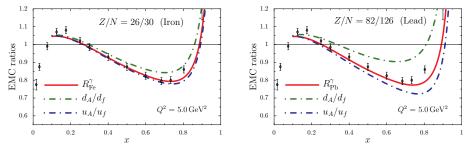
• since about 20% of nucleons are involved in SRCs, need medium modifications about 5 times larger than in mean-field models

For polarized EMC effect only 2–3% of nucleons are involved in SRCs

- it would therefore be natural for SRCs to produce a smaller polarized EMC effect
- Observation of a large polarized EMC effect would imply that SRCs are less likely to be the mechanism responsible for the EMC effect

Flavor dependence of EMC effect

[ICC, W. Bentz and A. W. Thomas, Phys. Rev. Lett. 102, 252301 (2009)]



Measured in e.g. parity-violating DIS, ν , charged current reactions, ...

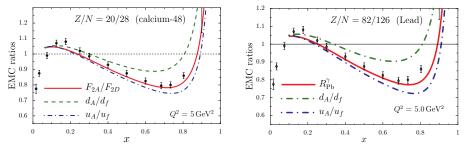
- Find that EMC effect is basically a result of binding at the quark level
 - for N > Z nuclei, d-quarks feel more repulsion than u-quarks: $V_d > V_u$
 - therefore u quarks are more bound than d quarks

Find isovector mean-field shifts momentum from u-quarks to d-quarks

$$q(x) = \frac{p^+}{p^+ - V^+} q_0 \left(\frac{p^+}{p^+ - V^+} x - \frac{V_q^+}{p^+ - V^+}\right)$$

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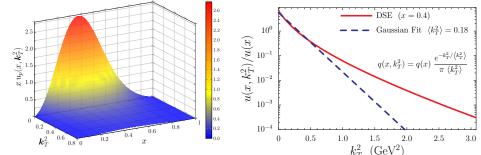
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Momentum Imaging of Nuclei



Nucleon TMDs, Diquarks & Flavor Dependence



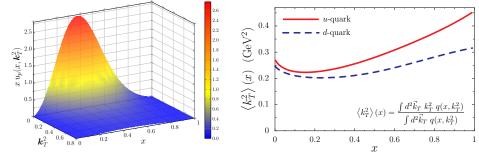
 $\frac{1}{2}P + k$

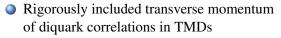
 $\frac{1}{k}P - k$

- Rigorously included transverse momentum of diquark correlations in TMDs
- This has numerous consequences:
 - scalar diquark correlations greatly increase $\left\langle m{k}_{T}^{2}
 ight
 angle$
 - find deviation from Gaussian anzatz and that TMDs do not factorize in $x \& k_T^2$
 - diquark correlations introduce a significant flavor dependence in $ig\langle m{k}_T^2ig
 angle(x)$

$$\langle \mathbf{k}_T^2 \rangle^{\mu_0^2} = 0.47^2 \,\mathrm{GeV}^2 \quad \langle \mathbf{k}_T^2 \rangle = 0.56^2 \,\mathrm{GeV}^2 \,\mathrm{[HERMES]}, \ 0.64^2 \,\mathrm{GeV}^2 \,\mathrm{[EMC]}$$

Nucleon TMDs, Diquarks & Flavor Dependence



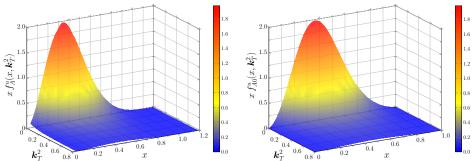


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 $\frac{1}{P} = k$

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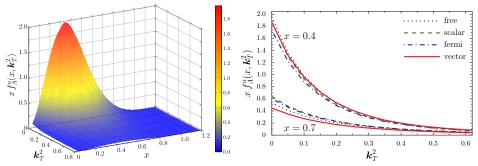


So far only considered the simplest spin-averaged TMDs $-q(x, k_T^2)$

• Integral of these TMDs over k_T gives the PDFs and reproduces the EMC effect

Solution Medium effects have only a minor impact on k_T^2 dependence of TMD

- scalar field causes $M^* < M$ but also $r_N^* > r_N$, net effect $\langle k_T^2 \rangle$ slightly decreases
- fermi motion has a minor impact analogous to x-dependence in EMC effect
- vector field only has zeroth component, no direct effect on k_T^2

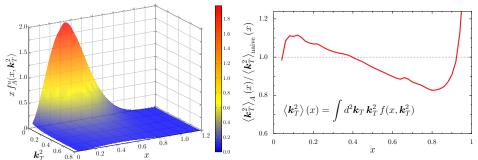


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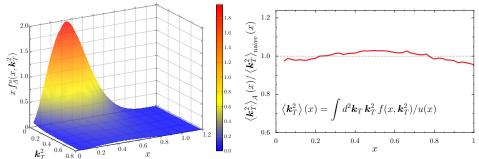
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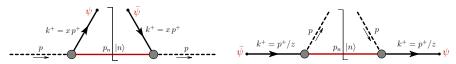
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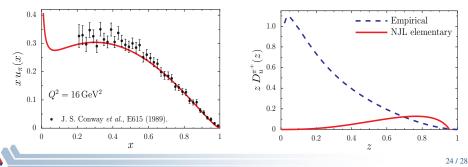
Pion PDF and Fragmentation Functions in NJL



• Truncate the spectator state $|n\rangle$ to a single dressed quark

- Ingredients: $S^{-1}(p) = p M + i\varepsilon;$ $\Gamma_{\pi} = \sqrt{Z_{\pi}} \gamma_5 \tau_{\pi}$
- Excellent result for the pion PDF however FF results are disastrous!

• momentum sum rule for fragmentation functions not satisfied: $\langle z \rangle \simeq 0.1$



Drell–Levy–Yan Relation

 A formal relation between PDFs at x > 1 and FFs can be obtained using crossing symmetry –
 Drell–Levy–Yan (DLY) relation:

$$D_q^h(z) = (-1)^{2(s_q+s_h)+1} \frac{z}{6} f_q^h \left(x = z^{-1}\right) \begin{bmatrix} 0.4\\ 0.2 \end{bmatrix}$$

In NJL the DLY relation is satisfied for the ⁰ ^{0.2} ^{0.4} ^{0.6} ^{0.8} ^{1.0} ^{1.2} ^{1.4} ^{1.6} ^{1.8} ^{2.0} elementary process: $\pi \to \bar{q}q \& q \to q\pi$; *poor agreement with data for FFs!*

1.8 1.6

1.41.2

0.6

 $(x)^{1.2}_{\mu} f^{0.8}_{0.8}$

- Is the DLY relation flawed? Or are certain approximations very good for PDFs but completely inadequate for FFs
- For example a high-energy quark can radiate a large number of pions and we must sum up the momenta of all pions!
- To maintain DLY and get good argeement for FFs may need to solve:



NJL-Jet Model



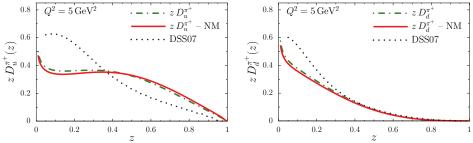
Replace elementary pion fragmentation with a cascade of emitted pions

- P(N, k) is the probability that k pions are emitted
- as $N \to \infty$, P(N,k) becomes a Gaussian distribution and the sum rules are satisfied exactly
- The fragmentation functions can then be represented by an integral equation:

$$D_q^{\pi}(z) = \hat{d}_q^h(z) + \sum_Q \left[\hat{F}_q^Q \otimes D_Q^{\pi} \right](z)$$

- $F_q^Q(z)$ is the number density for a meson emitted from the quark q leaving the momentum fraction z to the remaining quark Q
- Similar idea to Field and Feynman (1977) and can be applied to any framework where the elementary FFs can be calculated, e.g., DSEs

Fragmentation Function Results



Cascade-like processes enhance the fragmentation functions tremendously!

Momentum and isospin spin rules are satisfied exactly:

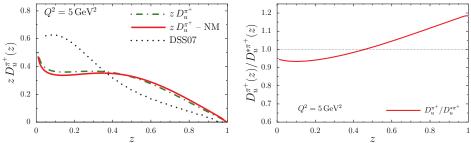
$$\sum\nolimits_h \int dz \; z \; D^h_q(z) = 1 \qquad \& \qquad \sum\nolimits_h \int dz \; t_h \; D^h_q(z) = t_q$$

Medium effects causes support of FFs to shift to larger z

- scalar field causes $M^* < M$ so easier for emitted pion to remove momentum
- medium effects similar in size to EMC effect at large z

Creating full model for cross-section to study e.g. p_T -broadening

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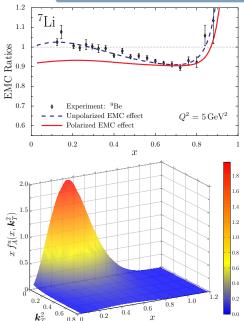
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Conclusion

- Understanding the EMC effect is a critical step towards a QCD based description of nuclei
 - understanding spin and flavor dependence of EMC effect is an important near-term goal
- EIC would be transformational for understanding QCD and nuclei
 - quark and gluon GPDs and TMDs of: proton, deuteron, triton, ³He, ⁴He
 - quark & gluon PDFs of 7 Li, 11 B, . . .
 - must have flavor separation
- Unprecedented opportunity to address the question:



How does the nucleon-nucleon interaction arise from QCD?

Backup Slides

Nuclear spin sum

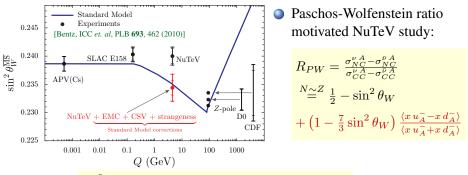
Proton spin states	Δu	Δd	Σ	g_A
p	0.97	-0.30	0.67	1.267
⁷ Li	0.91	-0.29	0.62	1.19
$^{11}\mathrm{B}$	0.88	-0.28	0.60	1.16
15 N	0.87	-0.28	0.59	1.15
27 Al	0.87	-0.28	0.59	1.15
Nuclear Matter	0.79	-0.26	0.53	1.05

Angular momentum of nucleon: $J = \frac{1}{2} = \frac{1}{2} \Delta \Sigma + L_q + J_g$

- in medium $M^* < M$ and therefore quarks are more relativistic
- lower components of quark wavefunctions are enhanced
- quark lower components usually have larger angular momentum
- $\Delta q(x)$ very sensitive to lower components

Therefore, in-medium quark spin \rightarrow orbital angular momentum

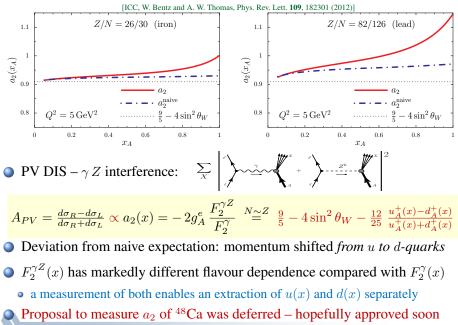
A Reassessment of the NuTeV anomaly



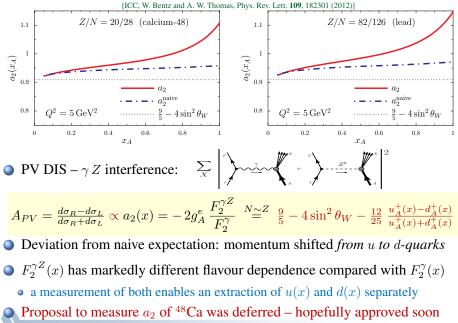
• NuTeV: $\sin^2 \theta_W = 0.2277 \pm 0.0013(\text{stat}) \pm 0.0009(\text{syst})$ [Zeller et al. PRL. 88, 091802 (2002)]

- Standard Model: $\sin^2 \theta_W = 0.2227 \pm 0.0004 \Leftrightarrow 3\sigma \implies \text{``NuTeV anomaly''}$
- Using NuTeV functionals: $\sin^2 \theta_W = 0.2221 \pm 0.0013(\text{stat}) \pm 0.0020(\text{syst})$
- Corrections from the EMC effect (~1.5 σ) and charge symmetry violation (~1.5 σ) brings NuTeV result into agreement with the Standard Model
 - consistent with mean-field expectation momentum shifted from u to d quarks

Parity-Violating DIS

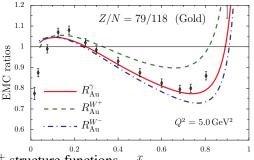


Parity-Violating DIS



Charged Current Processes

- The reaction $e^{\mp} A \longrightarrow \nu(\bar{\nu}) X$ has incredible promise for shedding new light on nucleon and nuclear PDFs
 - at EIC neutrino energy can be reconstructed from final state



Parton model expressions for W^{\pm} structure functions

$$F_1^{W^+} = \bar{u} + d + s + \bar{c} \qquad F_3^{W^+} = -\bar{u} + d + s - \bar{c}$$

$$F_1^{W^-} = u + \bar{d} + \bar{s} + c \qquad F_3^{W^-} = u - \bar{d} - \bar{s} + c$$

- Would provide much needed data on flavour structure of both valence and sea quark distribution functions
- Flavor dependence can also be test using e.g. SIDIS, π^+/π^- Drell-Yan, PVDIS, ν -DIS & W-production at RHIC

Quasi-Elastic Scattering

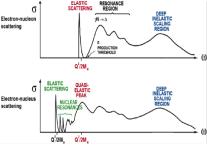
First hints for QCD effects in nuclei came from quasi-elastic electron scattering:

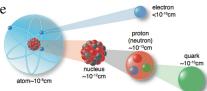
$$\frac{d^{2}\sigma}{d\Omega \ d\omega} = \sigma_{\text{Mott}} \left[\frac{q^{4}}{|\boldsymbol{q}|^{4}} \ R_{L}(\omega, |\boldsymbol{q}|) + f(|\boldsymbol{q}|, \theta) \ R_{T}(\omega, |\boldsymbol{q}|) \right]$$

- in measurements at MIT Bates in 1980 on Fe, which were later confirmed at Saclay in 1984
- These experiments, and *most* others following, observed a *quenching* of the Coulomb Sum Rule (CSR):

$$S_L(|\boldsymbol{q}|) = \int_{\omega^+}^{|\boldsymbol{q}|} d\omega \; \frac{R_L(\omega, |\boldsymbol{q}|)}{Z \, G_{Ep}^2(Q^2) + N \, G_{En}^2(Q^2)}$$

- despite widespread expectation that the CSR should approach unity for $|q| \gg k_F$
- Observation of quenching began one of the most controversial issues in nuclear physics – which remains to this day





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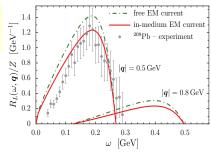
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~10⁻¹²cm

<10⁻¹⁶cm

quark ~10⁻¹⁶cm

~10⁻¹³cm

Quasi-Elastic Scattering

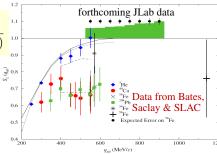
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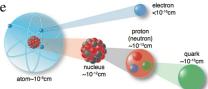
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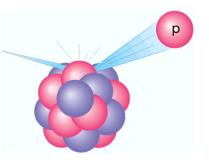


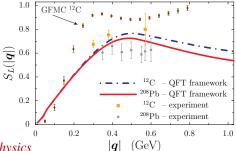


Coulomb Sum Rule

- QE scattering is sensitive to internal structural properties of bound nucleons
 - quenching of the CSR can be naturally explained by slight modification of bound nucleon EM form factors
 - natural consequence of QCD models
- Two state-of-the-art theory results exist, both from Argonne:
 - the GFMC result, with no explicit QCD effects, finds no quenching
 - QCD motivated framework finds a dramatic quenching; 50% relativistic effects & 50% medium modification
- Jefferson Lab has revisited QE scattering & this impasse stands to be resolved shortly

• confirmation of either result will be an important milestone in QCD nuclear physics





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