

High-resolution probes of low-resolution nuclei: An OPE-RG-EFT perspective

Dick Furnstahl

SRNC at an EIC workshop, September, 2018



THE OHIO STATE UNIVERSITY

Collaborators:

Scott Bogner (MSU)

Eric Anderson (OSU)

Sushant More (OSU→MSU)

Nathan Parzuchowski (MSU→OSU)

plus many others on related topics

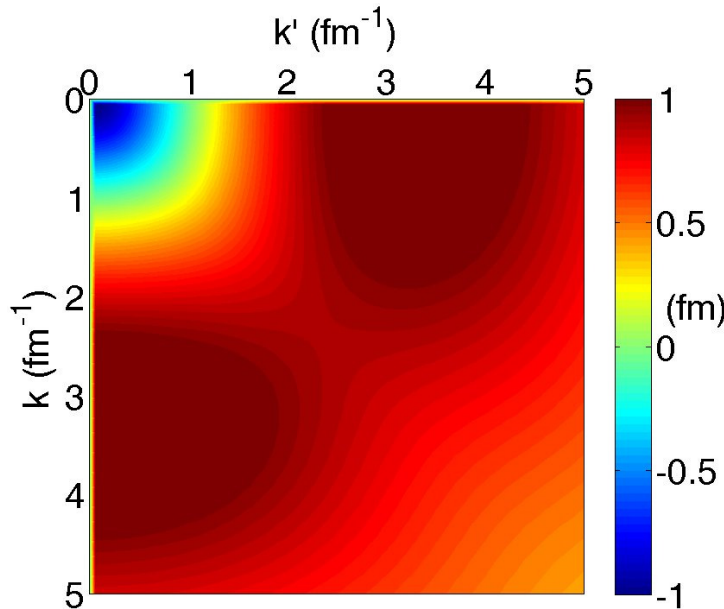
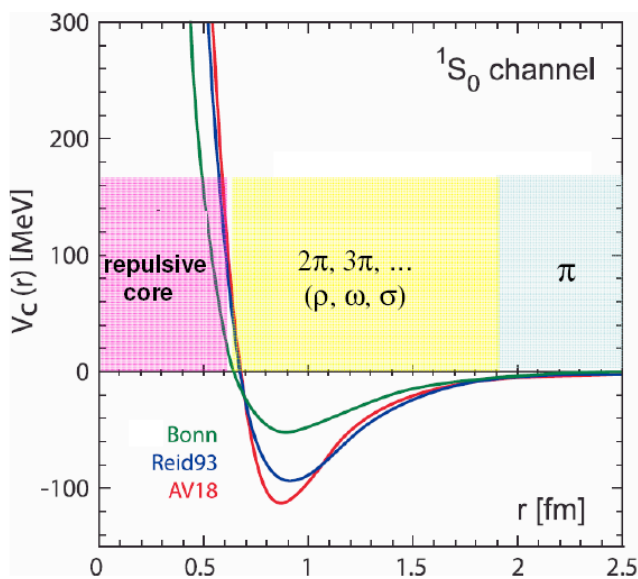


U.S. DEPARTMENT OF
ENERGY



NUCLEI
Nuclear Computational Low-Energy Initiative

Resolution for nuclei tied to momentum cutoff in interaction

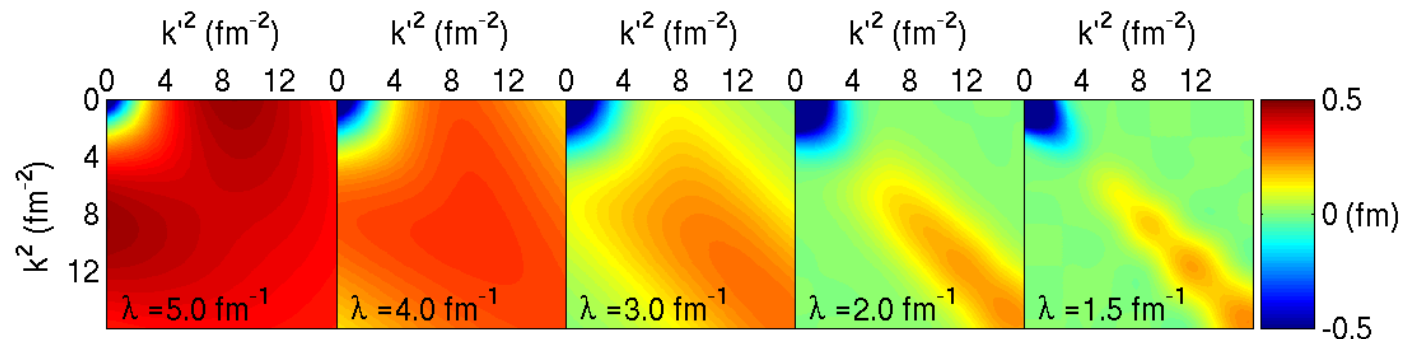


$$V_{L=0}(k, k') = \int d^3r j_0(kr) V(r) j_0(k'r) = \langle k | V_{L=0} | k' \rangle \Rightarrow V_{kk'} \text{ matrix}$$

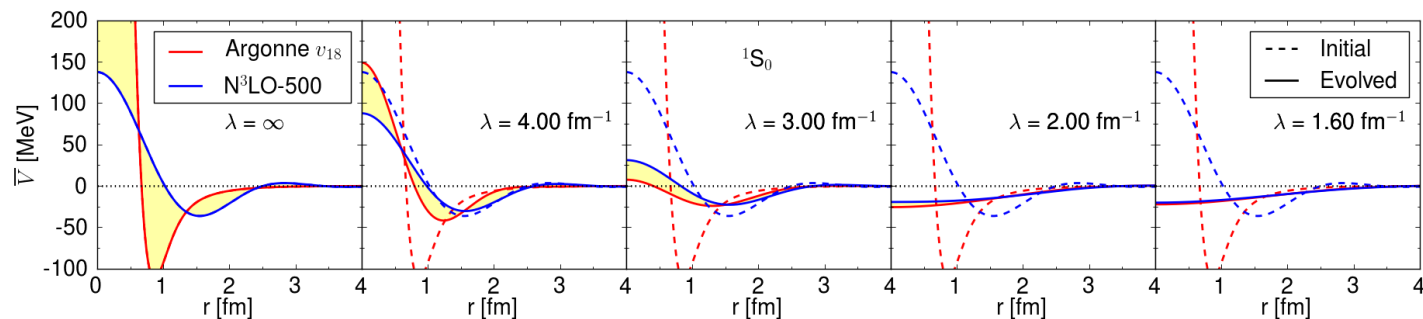
In natural units for a large nucleus, typical relative momentum is about 1 fm^{-1} but repulsive core (cf. tensor) couples to high- k components \rightarrow nonperturbative.

Use **renormalization group (RG)** to evolve to lower resolution \rightarrow more perturbative.

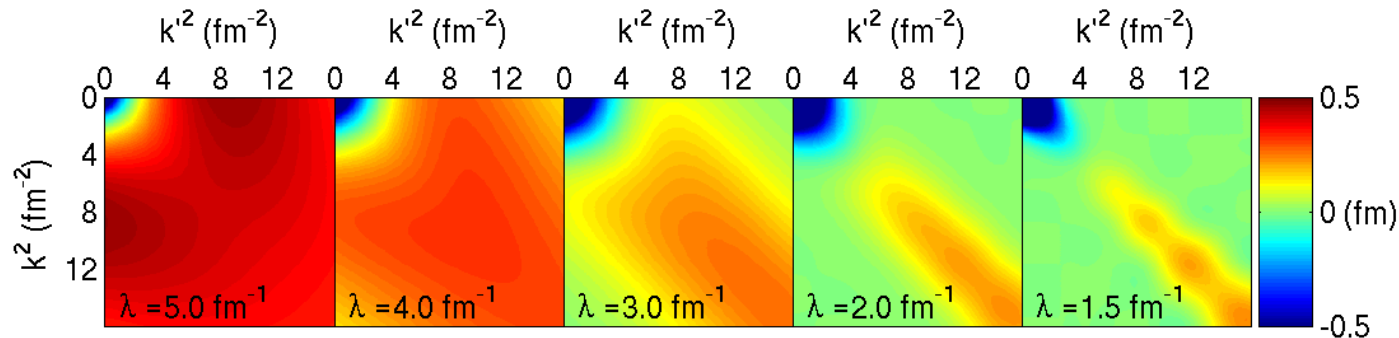
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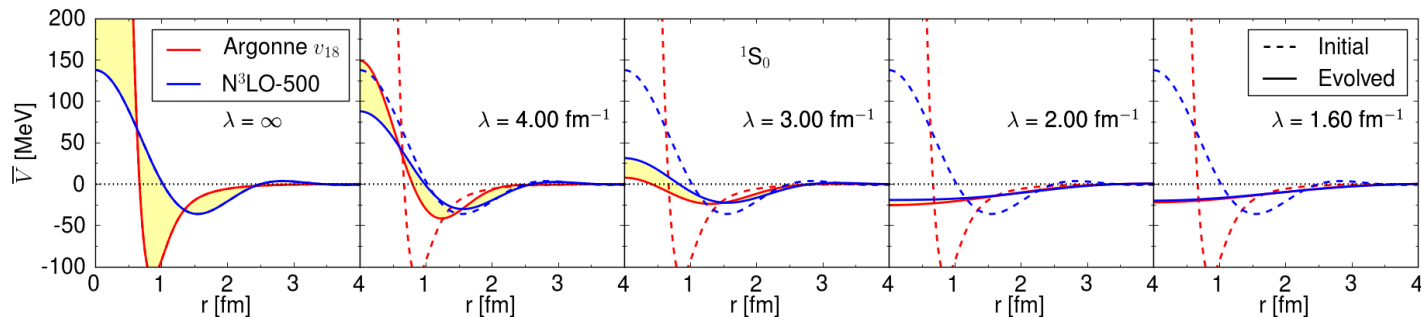
Flow equation (similarity) RG **decouples** in momentum space; melts core in r-space!



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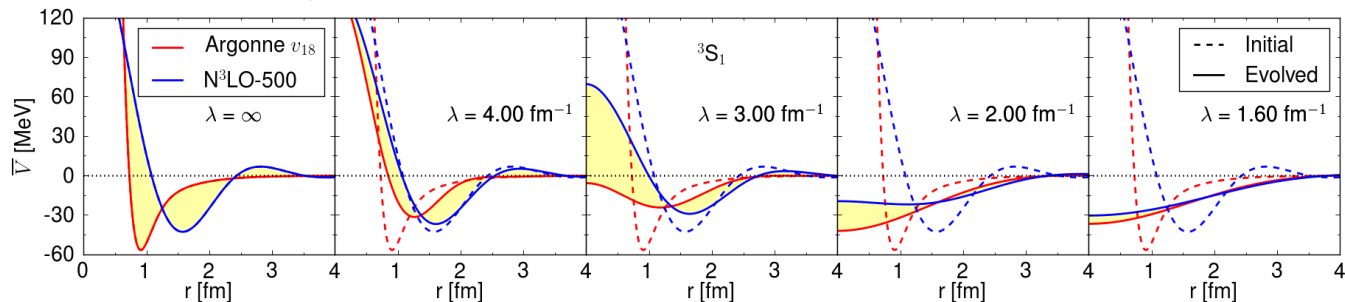
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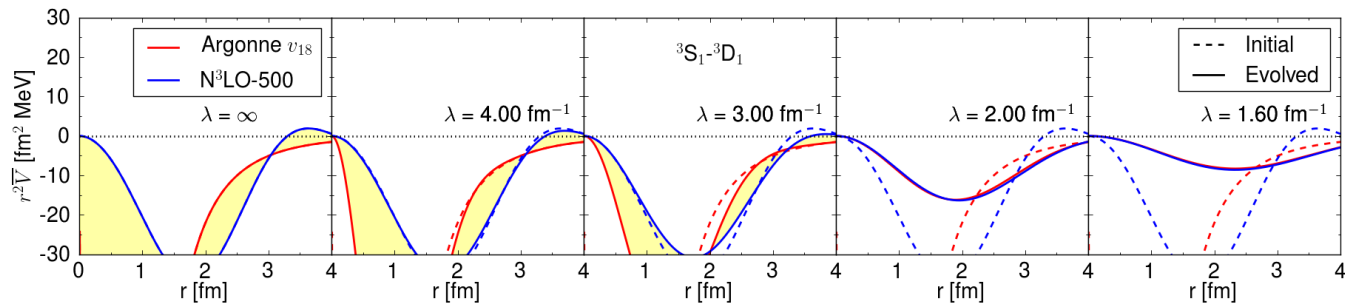
- Similarity RG is just *one way* to lower resolution in free space; technically nice.
- Different initial interactions look different (scheme) but flow to universal!
- Note: **all** operators change with RG evolution (not just Hamiltonian).

Resolution for nuclei tied to momentum cutoff in interaction

- Central part (S-wave) [Note: The V_λ 's are all phase equivalent!]



- Tensor part (S-D mixing) [graphs from K. Wendt et al., PRC (2012)]



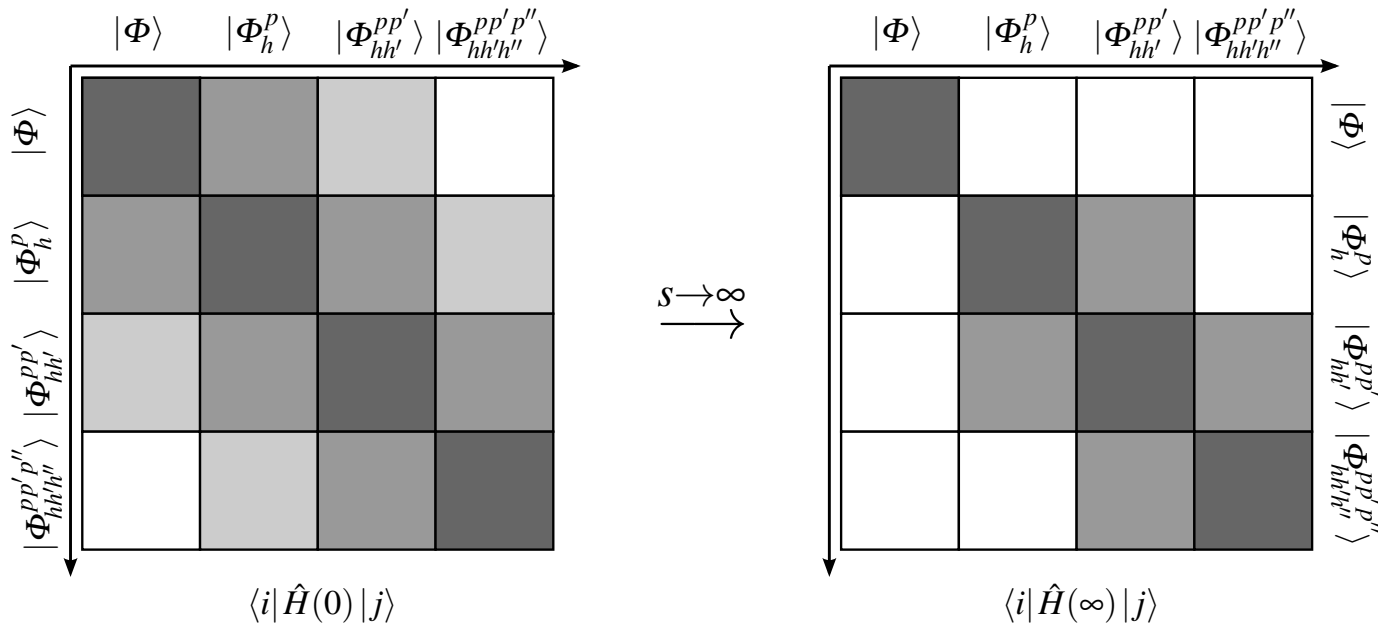
Local projection:

$$\bar{V}_\lambda(r) = \int d^3r' V_\lambda(r, r')$$

action of potential
on low-k nucleons

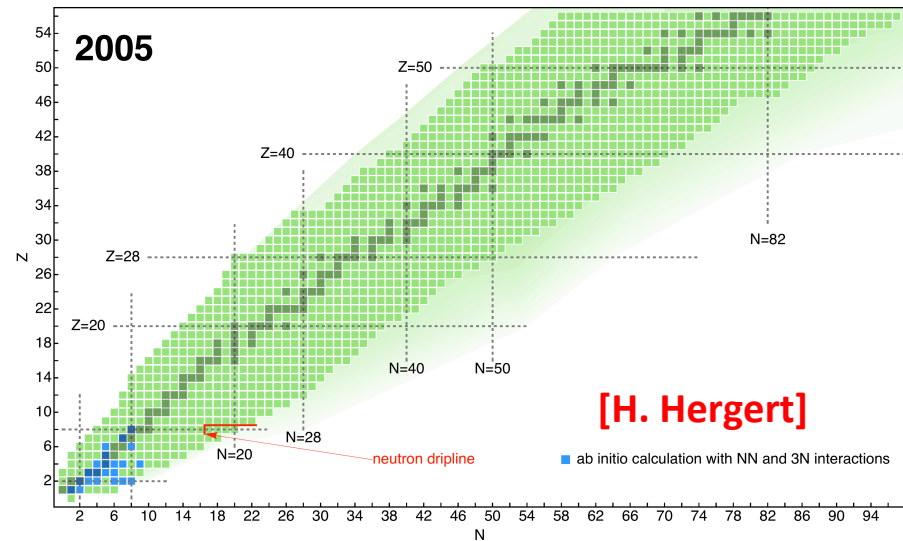
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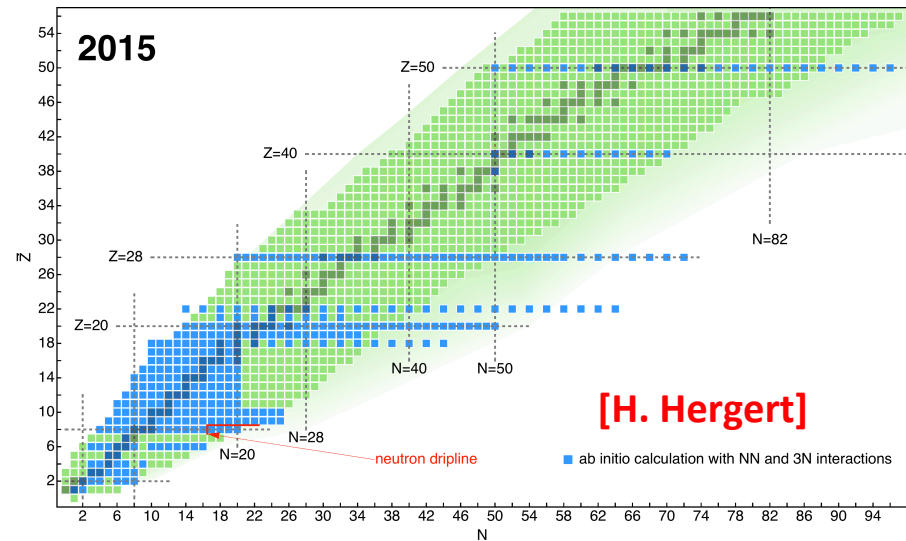
- In-medium SRG (IM-SRG) decouples excitations in-medium wrt *reference state*.
- Keeps leading induced many-body forces [see Hergert et al., arXiv:1612.08315].
- Note: **all** operators change with RG evolution (not just Hamiltonian).

Low-resolution is natural for low-E nuclear structure at all A



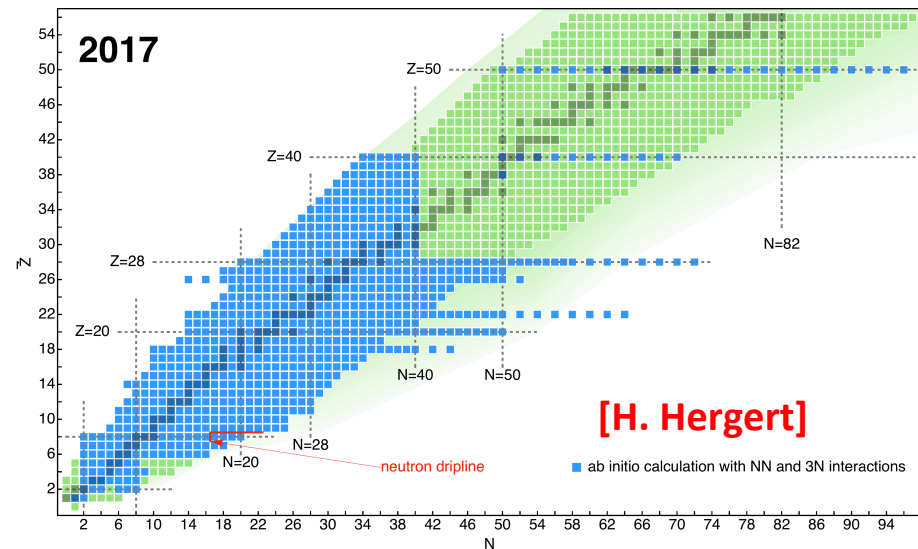
- Progress for “ab initio” methods that use basis expansions at low resolution (cf. QMC)

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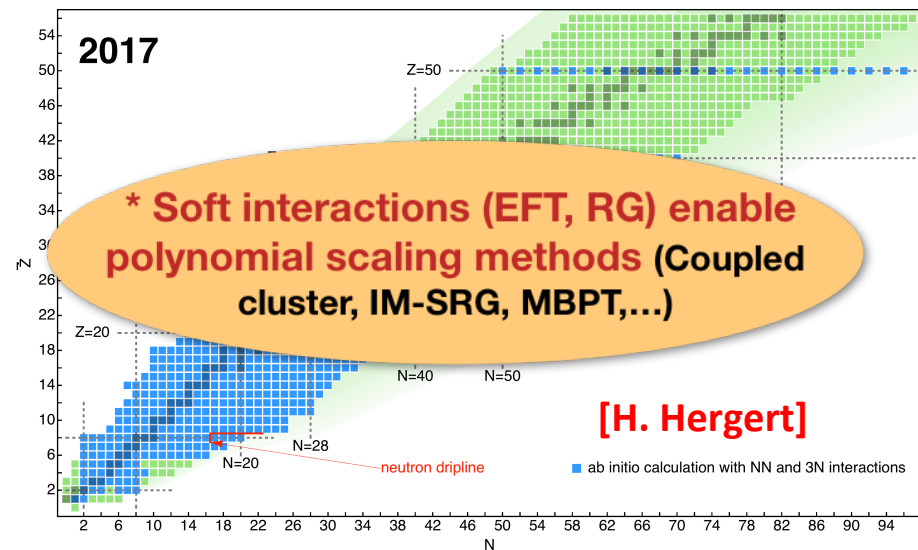
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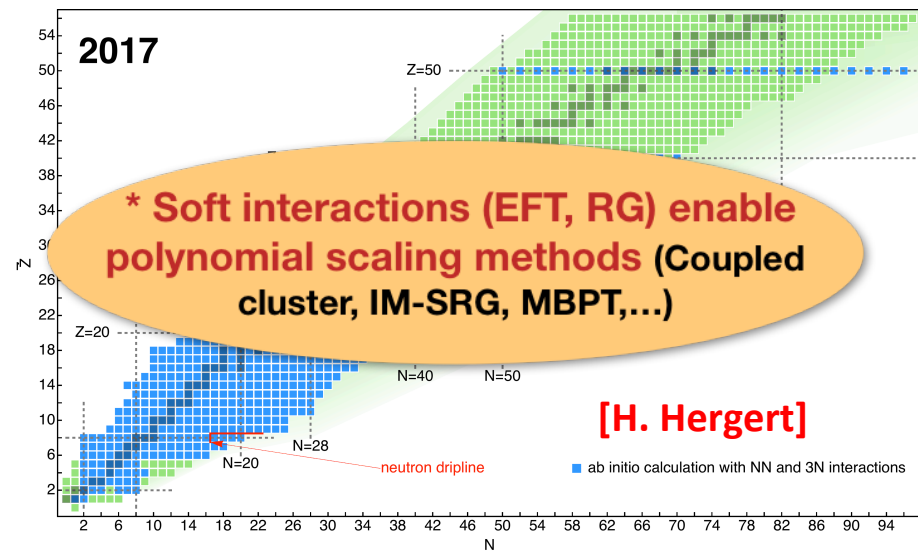
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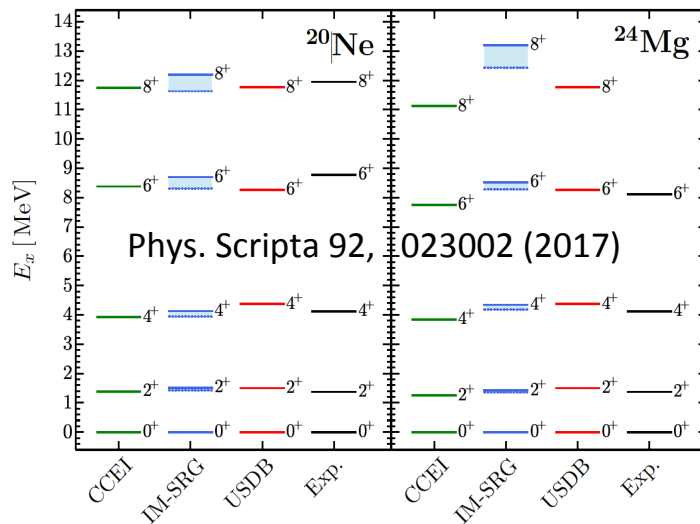


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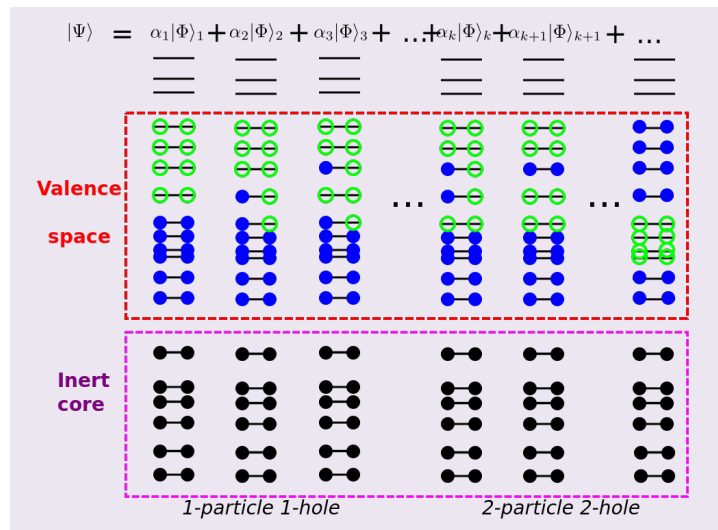
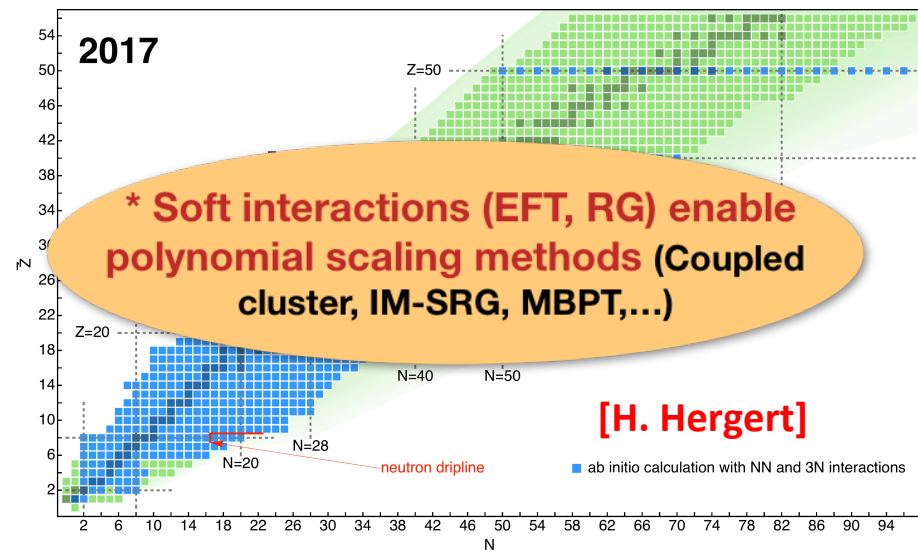
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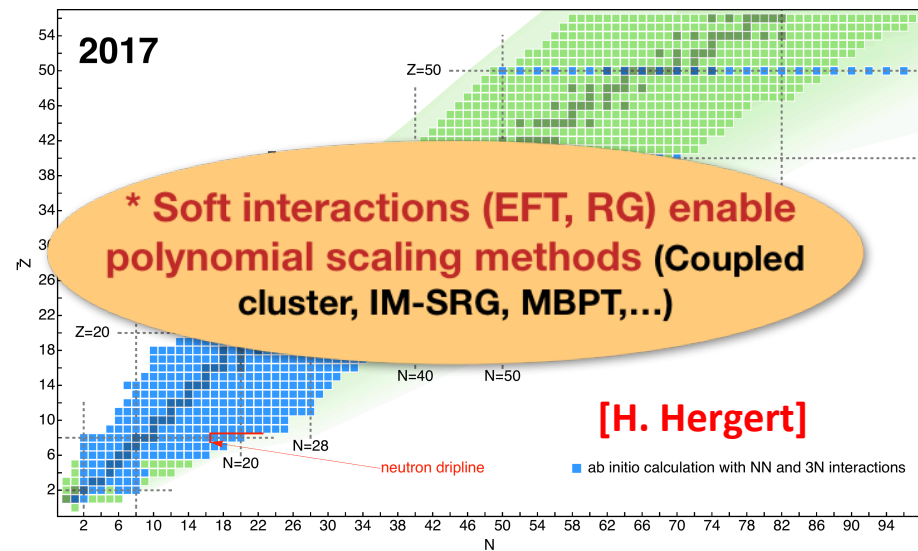


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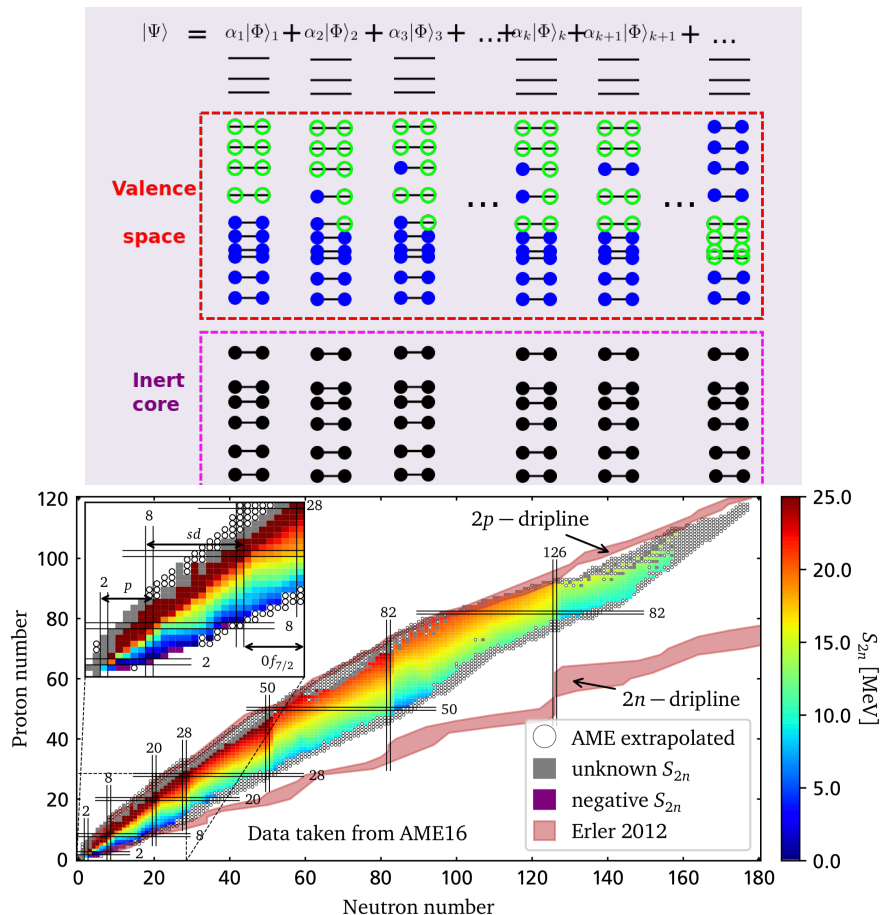


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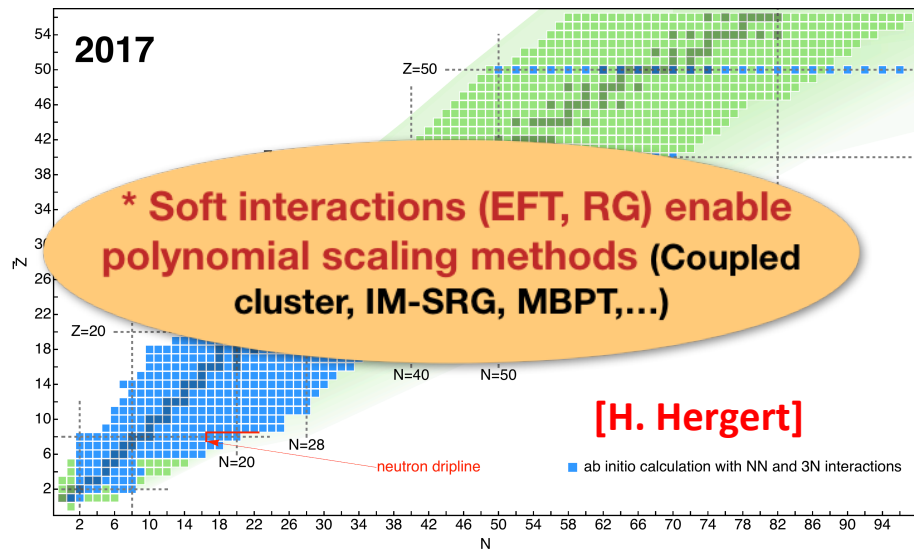
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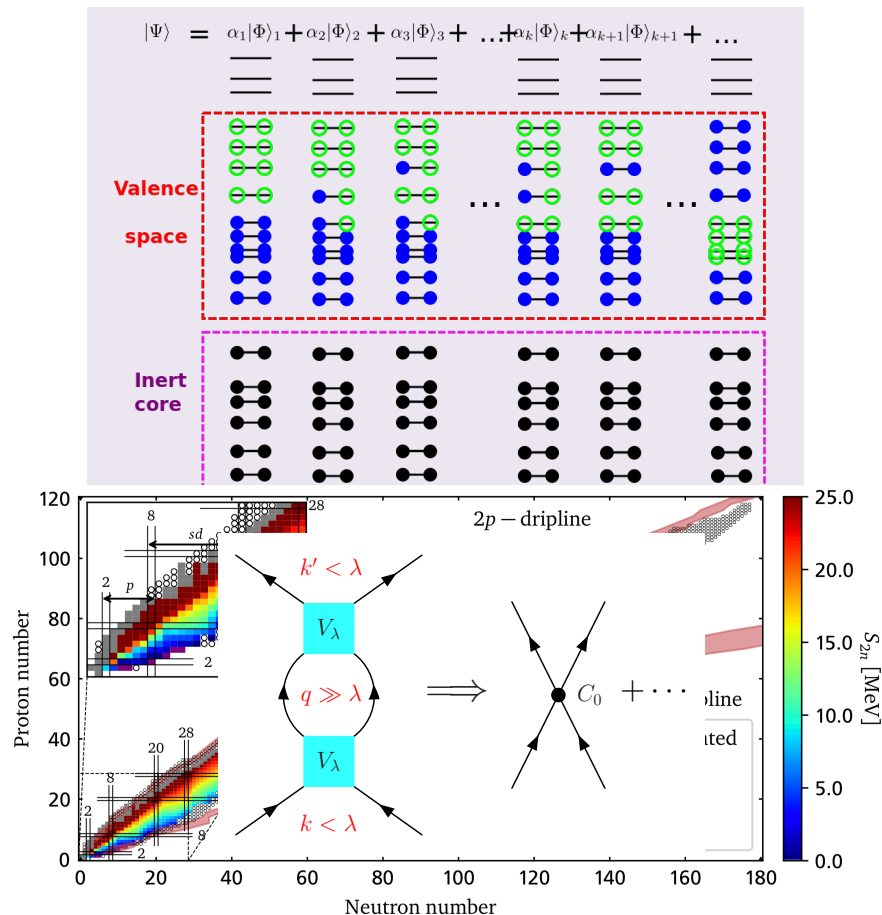
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- Nuclear DFT based on Kohn-Sham ref. state and low resolution energy functional.



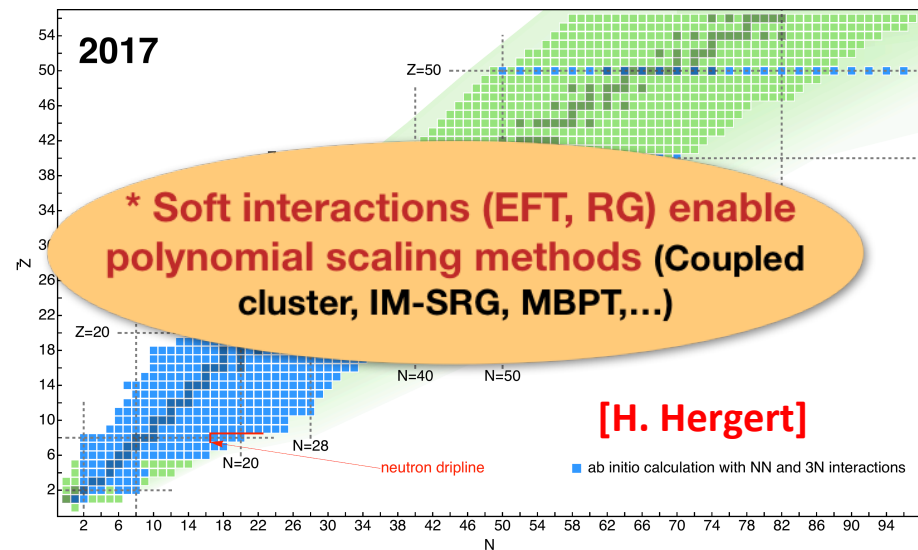
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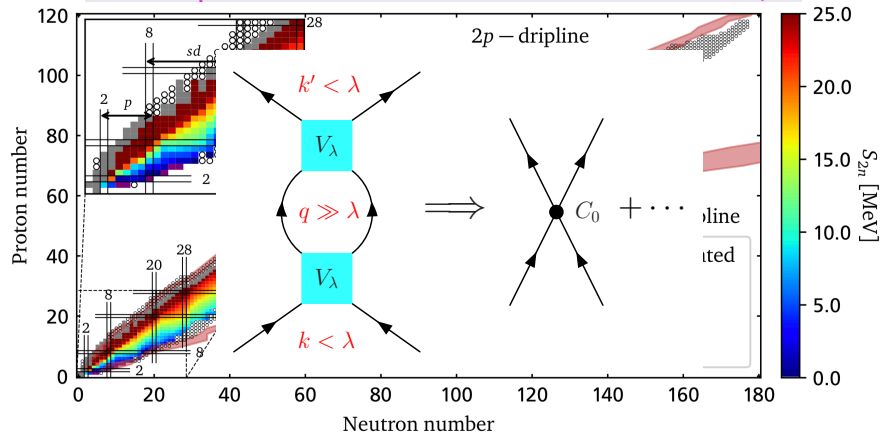
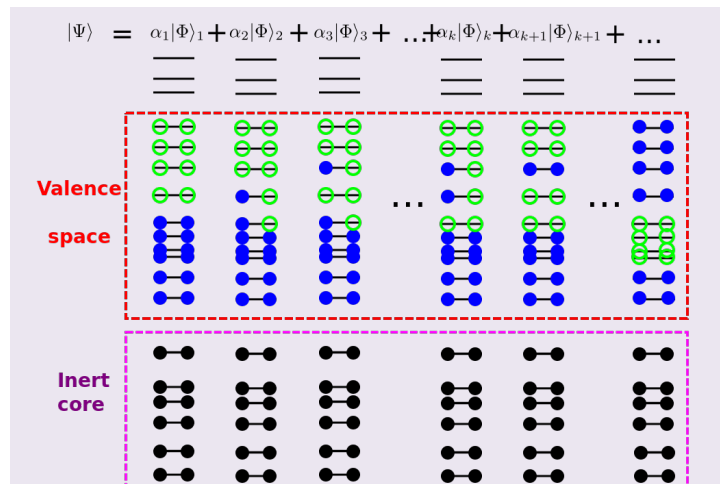
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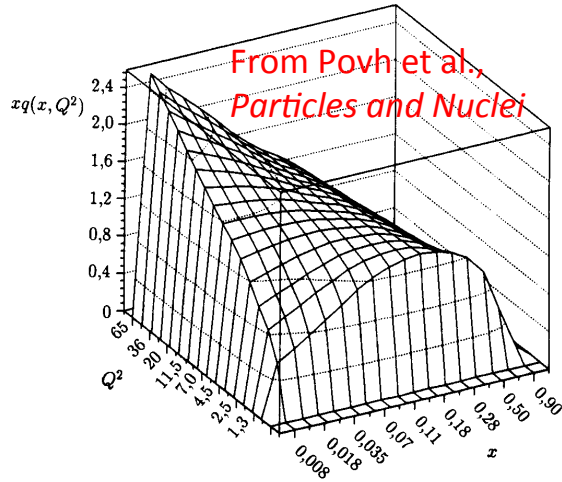


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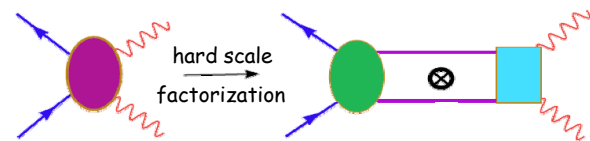
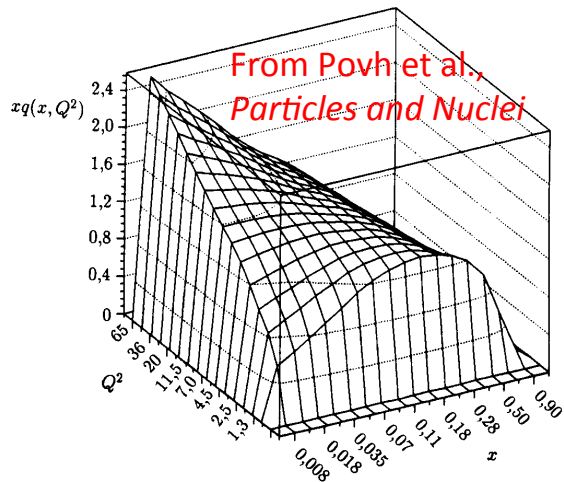
All have correlations: a Slater determinant reference state \neq independent particle model!

Scale dependence of momentum distributions from RG

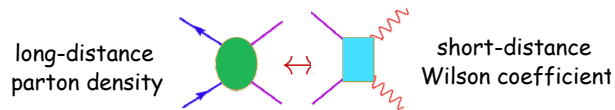


- The quark distribution $q(x, Q^2)$ is scale *and* scheme dependent
- $x q(x, Q^2)$ measures the share of momentum carried by the quarks in a particular x -interval
- $q(x, Q^2)$ and $q(x, Q_0^2)$ are related by RG evolution equations

Scale dependence of momentum distributions from RG



$$F_2(x, Q^2) \sim \sum_a f_a(x, \mu_f) \otimes \hat{F}_2^a(x, Q/\mu_f)$$

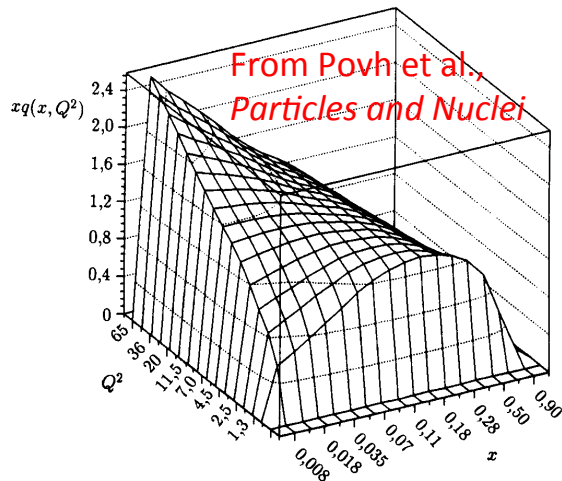


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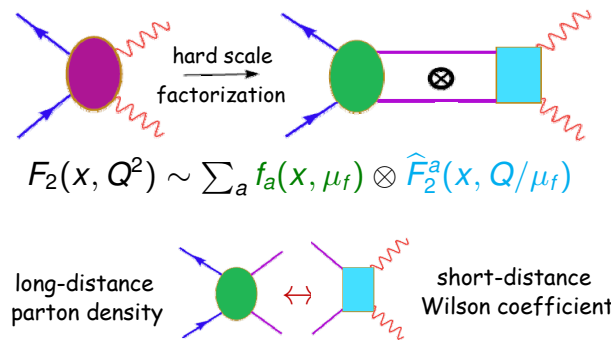
Separation between long- and short-distance physics is not unique!

Observable (e.g. form factor) is independent of factorization scale, but pieces are not.

Scale dependence of momentum distributions from RG

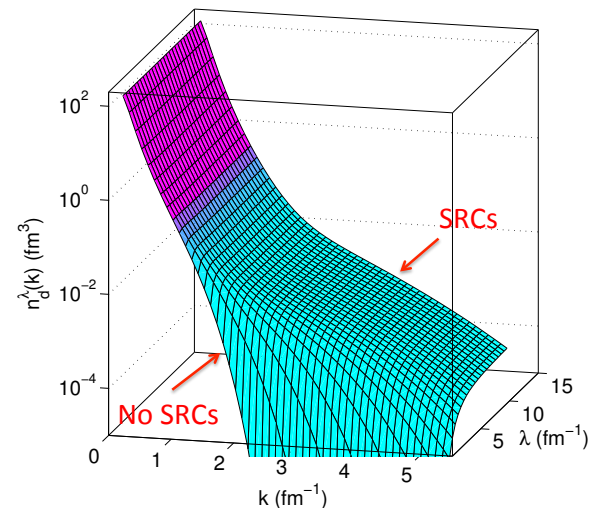


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Observable (e.g. form factor) is independent of factorization scale, but pieces are not.



- Deuteron momentum distribution is scale *and* scheme dependent
- Initial AV18 potential evolved with SRG from $\lambda = \infty$ to $\lambda = 1.5 \text{ fm}^{-1}$
- High momentum tail shrinks as λ decreases (lower resolution)

Matching chiral effective field theory to QCD

$$\langle \Psi | \mathcal{O}_{\text{QCD}} | \Psi' \rangle = \langle \Psi | \sum_i \mathcal{O}_{\text{EFT}}^{(i)} | \Psi' \rangle$$

- Operators not forbidden are compulsory
- Symmetries limit what is allowed
- Complete set of operators order-by-order
- Power counting based on *naturalness*

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- For chiral EFT, apply NDA (naïve dimensional analysis) to estimate coefficients:

$$\mathcal{L}_{\chi\text{eft}} = c_{lmn} \left(\frac{N^\dagger(\cdots)N}{f_\pi^2 \Lambda_\chi} \right)^l \left(\frac{\pi}{f_\pi} \right)^m \left(\frac{\partial^\mu, m_\pi}{\Lambda_\chi} \right)^n f_\pi^2 \Lambda_\chi^2$$

$$f_\pi \sim 100 \text{ MeV}, \quad 1000 \geq \Lambda_\chi \geq 500 \implies \frac{1}{7} \leq \frac{\rho_0}{f_\pi^2 \Lambda} \leq \frac{1}{4}$$

- NDA works for fits to χ PT, NN scattering, ...
- *Always* have 1-, 2-, many-body operators!

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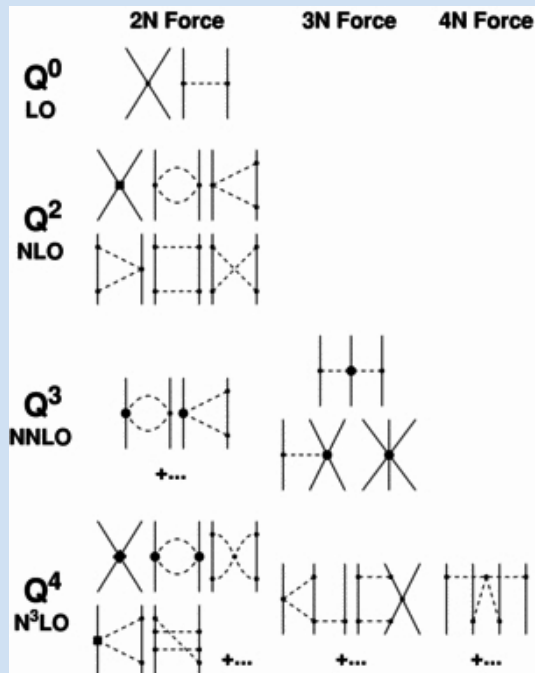
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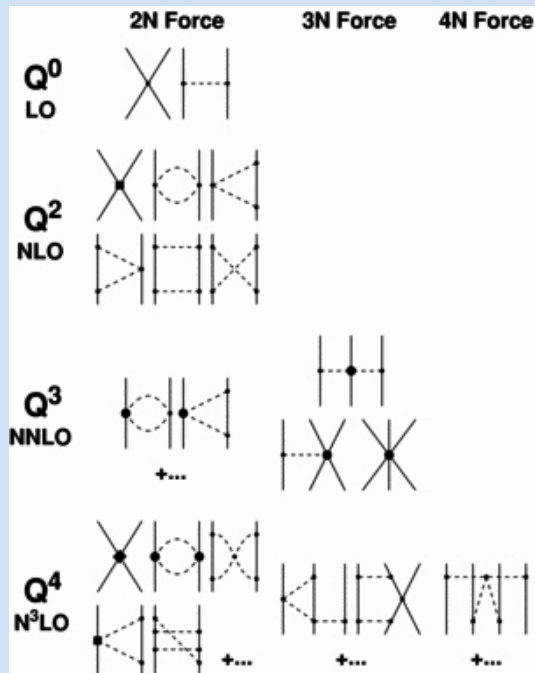
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- Symmetry
- Computation
- Power counting

- For calculation

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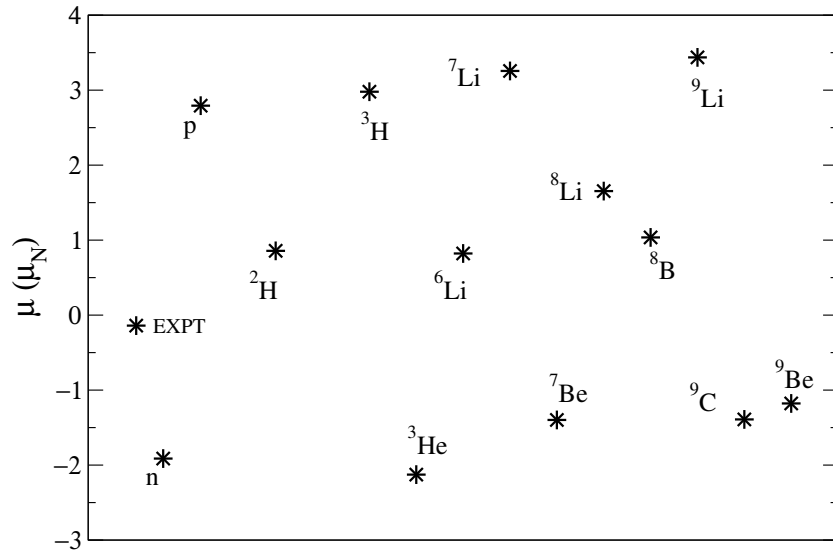
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Many-body currents are inevitable!



Pastore et al., AV18 wfs with χ EFT currents

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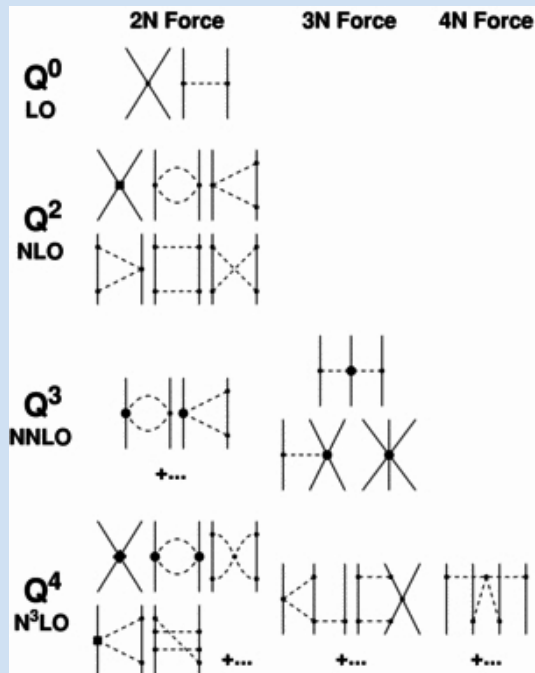
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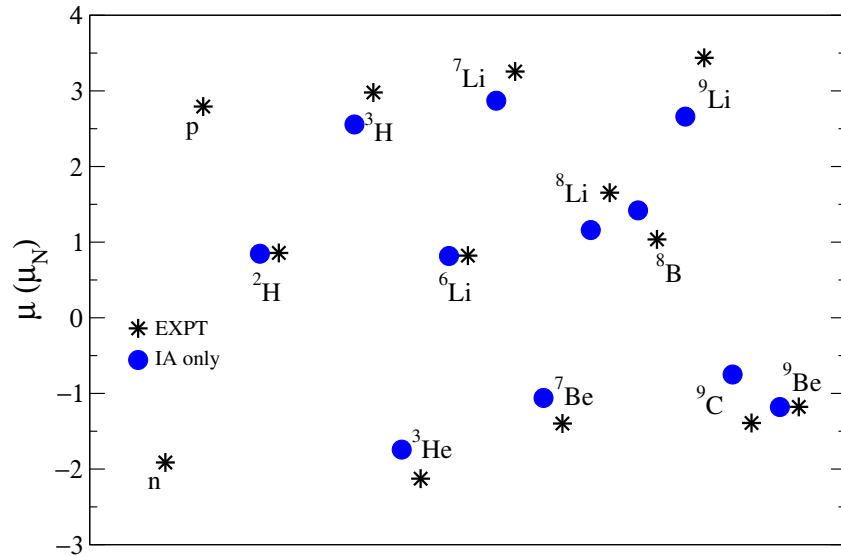
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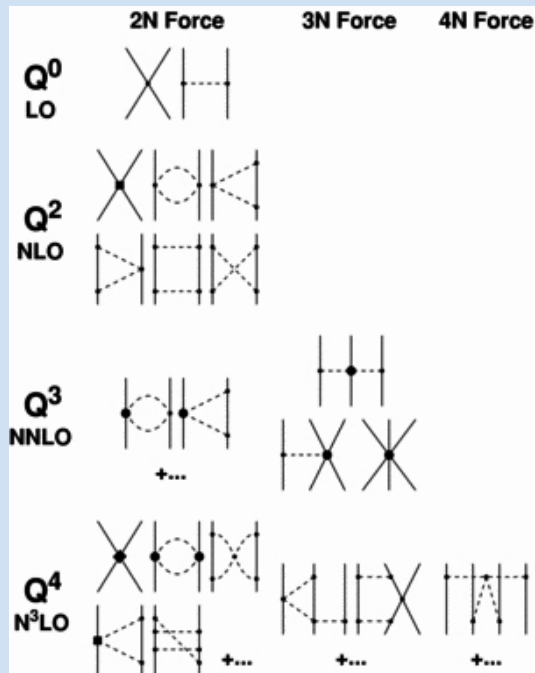
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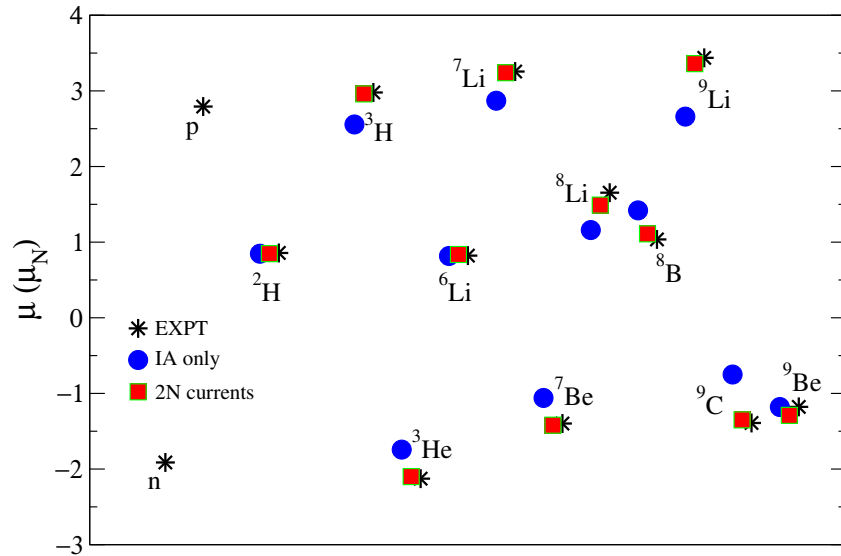
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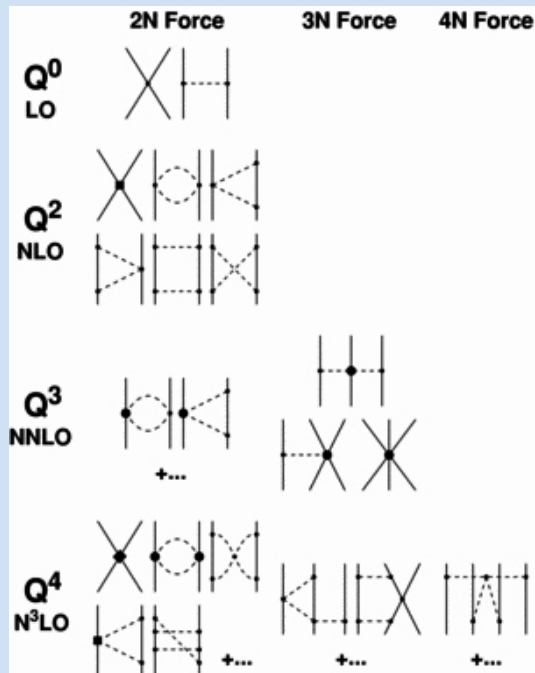


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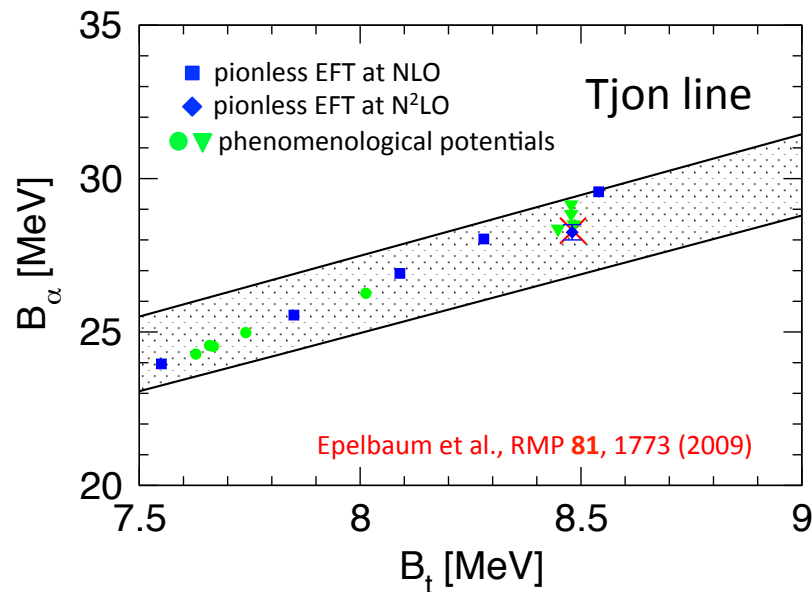
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- NDA
- Always have 1-, 2-, many-body operators!

If the same operator dominates
 → explains correlated quantities



Cold atoms near unitarity: an OPE-RG-EFT perspective

E. Braaten et al., arXiv:1008.2922 + ...

System of fermions with short-range interactions with large scattering length a .

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System of fermions with short-range interactions with large scattering length a .

Described by QFT formulation of Zero-Range Model \rightarrow "pionless EFT":

$$\mathcal{H} = \sum_{\sigma} \frac{1}{m} \nabla \psi_{\sigma}^{\dagger} \cdot \nabla \psi_{\sigma}^{(\Lambda)} + \frac{g(\Lambda)}{m} \psi_1^{\dagger} \psi_2^{\dagger} \psi_2 \psi_1^{(\Lambda)} + \mathcal{V}_{\text{external}} \quad g(\Lambda) = \frac{4\pi a}{1 - 2a\Lambda/\pi}$$

UV cutoff Λ is required to make matrix elements of these operators well defined.

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The short-distance OPE is an operator identity with $|\mathbf{r}| \rightarrow 0$; for example:

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Contact $C = \int d^3R g(\Lambda)^2 \langle \psi_1^{\dagger} \psi_2^{\dagger} \psi_2 \psi_1^{(\Lambda)}(\mathbf{R}) \rangle$ is in many universal relations because dominant op.

E.g., momentum density at large \mathbf{k} from small $|\mathbf{r}|$: $n_{\sigma}(\mathbf{k}) \rightarrow C/k^4$ [from non-analytic r !]

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Note that the *ratio* of $\psi_1^{\dagger} \psi_2^{\dagger} \psi_2 \psi_1^{(\Lambda)}(\mathbf{R})$ in different states will be finite from cancellation!

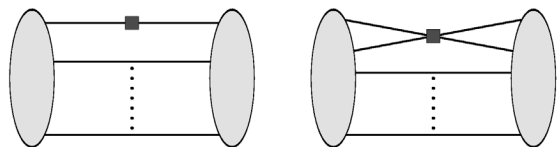
Preview: SRCs and the EMC effect in EFT [see Jiunn-Wei's talk]

Chen and Detmold, Phys. Lett. B 625 (2005)

Chen et al., Phys. Rev. Lett. 119 (2017)

Q: How can we describe hard partonic processes using EFT for low-energy QCD?

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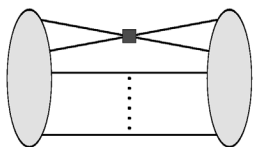
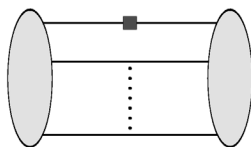
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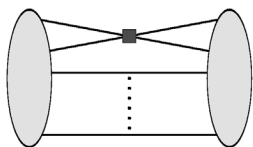
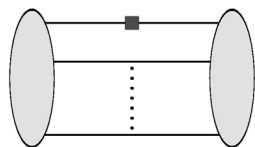
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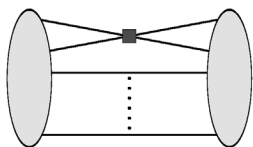
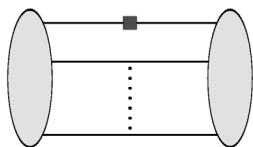
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EMC slope also \approx factorizes into a_2 and x -dependence \rightarrow explains correlation at $\approx 20\%$!

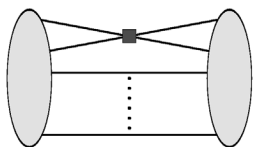
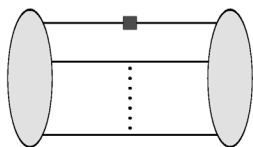
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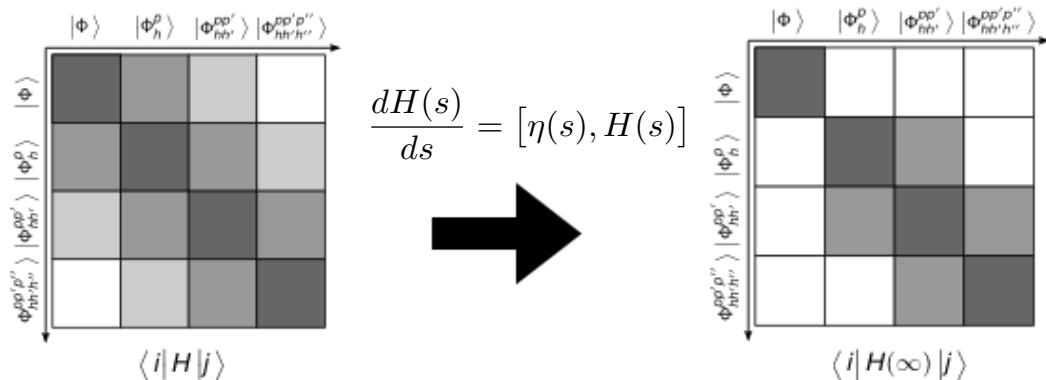
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We see a_2 is from physics *below* scale Λ ; can we calculate it in low-resolution nuclei?

IM-SRG(2) calculations of a_2 [in progress by Parzuchowski, Bogner, rjf]



$$\hat{\rho}_{21}(s=0) = \frac{1}{2} \sum_{\alpha,\beta} \int d\mathbf{R} N_\alpha^\dagger N_\beta^\dagger N_\beta N_\alpha$$

$$\frac{d\hat{\rho}_{21}(s)}{ds} = [\eta(s), \hat{\rho}_{21}(s)]$$

$$\langle \Psi_0 | \hat{\rho}_{21} | \Psi_0 \rangle = \langle \Phi | \hat{\rho}_{21}(\infty) | \Phi \rangle$$

Opportunities

- access heavier nuclei (here up to $A = 40$)
- access wider range of interactions**
(not limited to local interactions)

Challenges

- QMC cleanly extrapolates to $r = 0$
(vs. implicit smearing due to truncated HO basis)
- impact of IM-SRG(2) truncation errors?

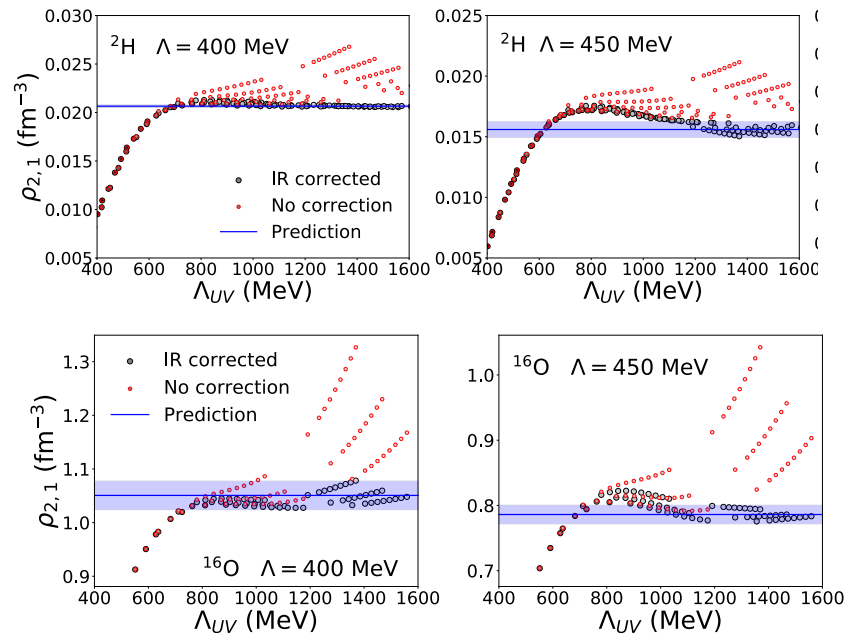
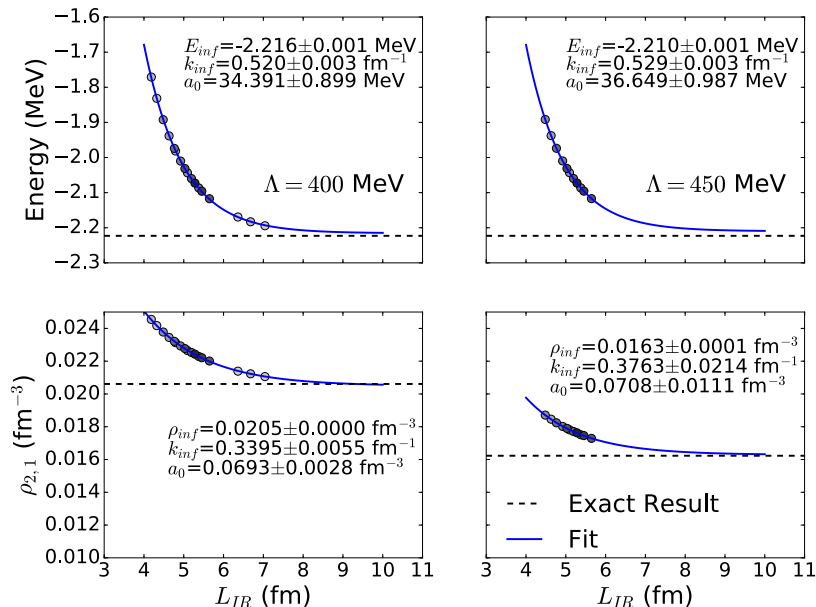
** here we use the semi-local n4lo NN interaction of Reinert, Krebs, and Epelbaum arXiv:1711.08821

IR and UV extrapolations of $\rho_{2,1}$

Truncated HO basis ==> IR cutoff (box size L_{IR}) and UV cutoff:

$$L_{IR} \sim \sqrt{2(2n+l)_{\max} + 3} b$$

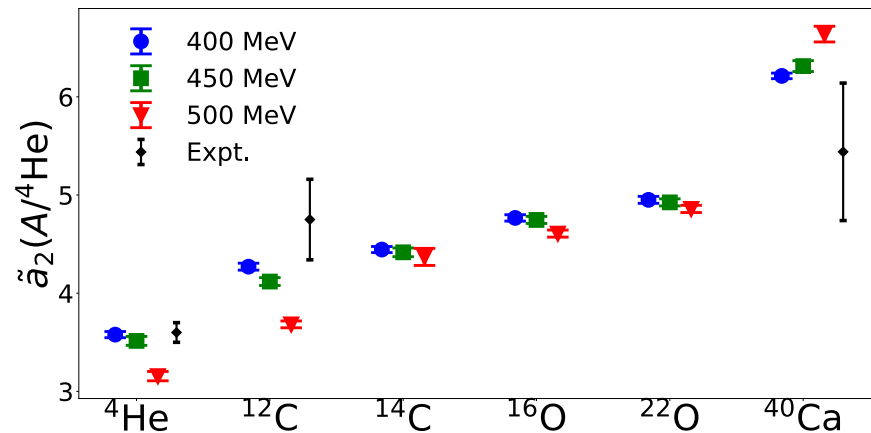
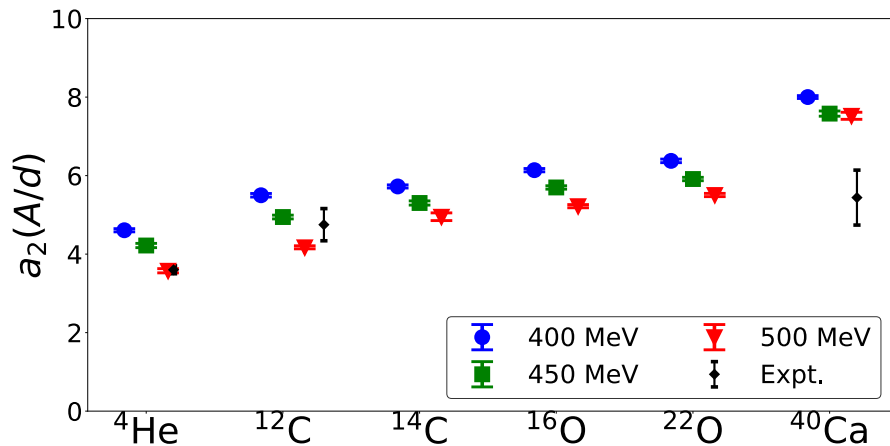
$$\Lambda_{UV} \sim \sqrt{2(2n+l)_{\max} + 3} b^{-1}$$



$$\rho_{2,1}(L_{IR}) = \rho_{2,1}(\infty) + a_0 e^{-k_{\infty} L_{IR}}$$

Motivated by energy/radii formulas in More et al. PRC87 (2013), but needs further work

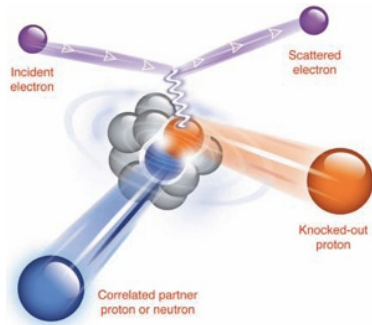
Preliminary results for a_2



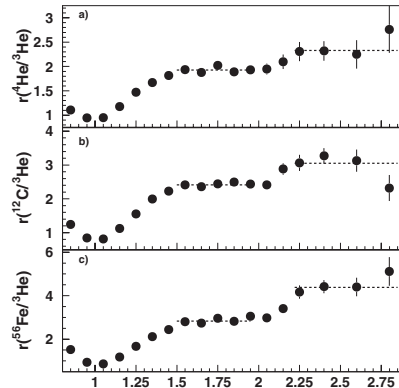
Systematic Λ dependence, but in part due to treatment of deuteron; ratio to He better

Status: Need to better control UV/IR convergence and IM-SRG(2) truncation errors (and estimate EFT truncation error) before concluding if a_2 is scale-independent.

Large Q^2 scattering at different RG decoupling scales

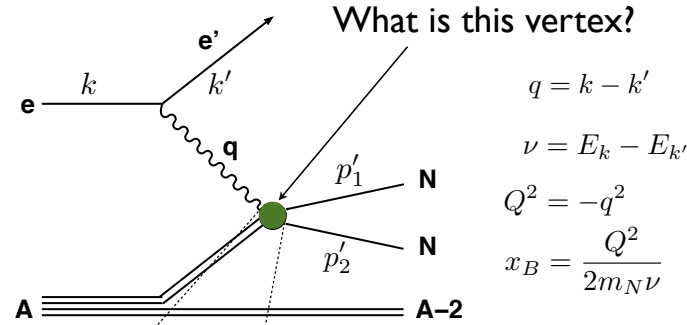


Subedi et al., Science 320, 1476 (2008)

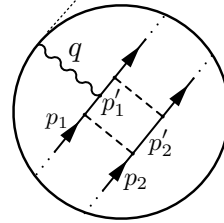


$$1.4 < Q^2 < 2.6 \text{ GeV}^2$$

Egiyan et al. PRL 96, 1082501 (2006)



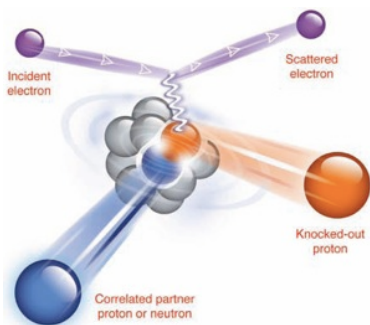
Higinbotham, arXiv:1010.4433



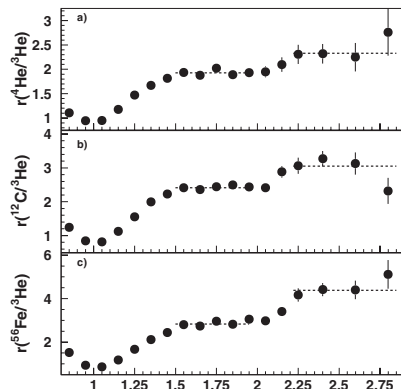
SRC interpretation:
 NN interaction can scatter states with $p_1, p_2 \lesssim k_F$ to intermediate states with $p'_1, p'_2 \gg k_F$ which are knocked out by the photon

SRC explanation relies on high-momentum nucleons in structure

Large Q^2 scattering at different RG decoupling scales

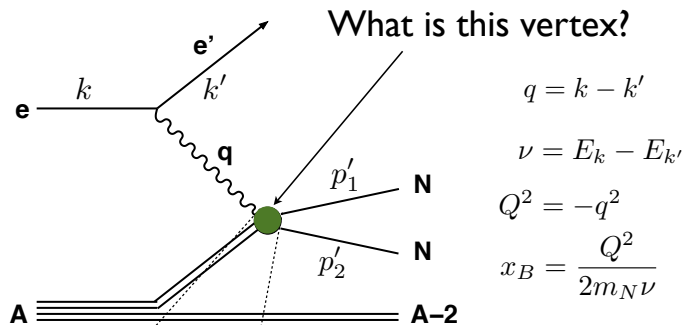


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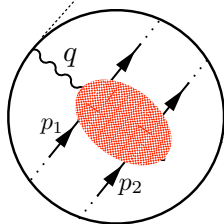
$$q = k - k'$$

$$\nu = E_k - E_{k'}$$

$$Q^2 = -q^2$$

$$x_B = \frac{Q^2}{2m_N \nu}$$

Higinbotham, arXiv:1010.4433



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How to explain cross sections in terms of low-momentum interactions?

Vertex depends on the resolution!

RG evolution changes physics *interpretation* but not cross section!

SRG changes resolution with unitary transformations

[Note: the following discussion is more general than the SRG.]

- The cross section is *guaranteed* to be the same from $\hat{U}^\dagger \hat{U} = 1$

$$\begin{aligned} d\sigma &\sim \sum \delta(\omega + E_i - E_f) |\langle \Psi_f | \hat{\rho}(\mathbf{q}) | \Psi_i \rangle|^2 \\ &= \sum \delta(\omega + E_i - E_f) |\langle \Psi_f | (\hat{U}^\dagger \hat{U}) \hat{\rho}(\mathbf{q}) (\hat{U}^\dagger \hat{U}) | \Psi_i \rangle|^2 \\ &= \sum \delta(\omega + E_i - E_f) |(\langle \Psi_f | \hat{U}^\dagger)(\hat{U} \hat{\rho}(\mathbf{q}) \hat{U}^\dagger)(\hat{U} | \Psi_i \rangle)|^2 \end{aligned}$$

but the pieces are different now.

- Schematically, the SRG has $\hat{U} = 1 + \frac{1}{2}(U - 1)a^\dagger a^\dagger a a + \dots$
 - U is found by solving for the unitary transformation in the $A = 2$ system (this is the easy part!)
 - The \dots 's represent higher-body operators
 - One-body operators ($\propto a^\dagger a$) gain many-body pieces (EFT: there are always many-body pieces at some level!)
 - Both initial and final states are modified (and therefore FSI)

***U*-factorization with SRG [Anderson et al., arXiv:1008.1569]**

- Factorization: $U_\lambda(k, q) \rightarrow K_\lambda(k)Q_\lambda(q)$ when $k < \lambda$ and $q \gg \lambda$
- Operator product expansion for nonrelativistic wf's (see Lepage)

$$\Psi_\alpha^\infty(q) \approx \gamma^\lambda(q) \int_0^\lambda p^2 dp Z(\lambda) \Psi_\alpha^\lambda(p) + \eta^\lambda(q) \int_0^\lambda p^2 dp p^2 Z(\lambda) \Psi_\alpha^\lambda(p) + \dots$$

- Construct unitary transformation to get $U_\lambda(k, q) \approx K_\lambda(k)Q_\lambda(q)$

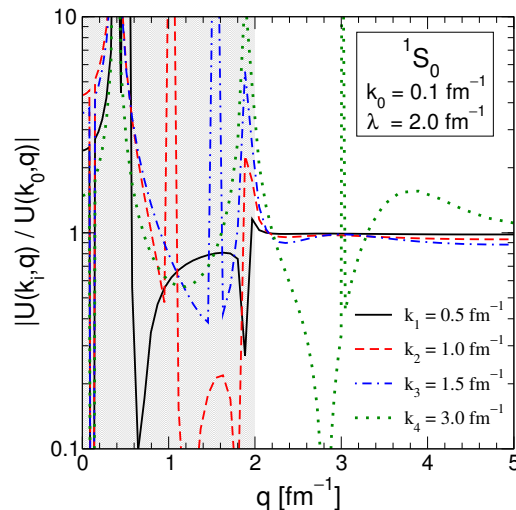
$$U_\lambda(k, q) = \sum_\alpha \langle k | \psi_\alpha^\lambda \rangle \langle \psi_\alpha^\infty | q \rangle \rightarrow \left[\sum_\alpha^{\alpha_{\text{low}}} \langle k | \psi_\alpha^\lambda \rangle \int_0^\lambda p^2 dp Z(\lambda) \Psi_\alpha^\lambda(p) \right] \gamma^\lambda(q) + \dots$$

- Test of factorization of U :

$$\frac{U_\lambda(k_i, q)}{U_\lambda(k_0, q)} \rightarrow \frac{K_\lambda(k_i)Q_\lambda(q)}{K_\lambda(k_0)Q_\lambda(q)},$$

$$\text{so for } q \gg \lambda \Rightarrow \frac{K_\lambda(k_i)}{K_\lambda(k_0)} \xrightarrow{\text{LO}} 1$$

- Look for plateaus: $k_i \lesssim 2 \text{ fm}^{-1} \lesssim q$
 \Rightarrow it works!
- Leading order \Rightarrow contact term!



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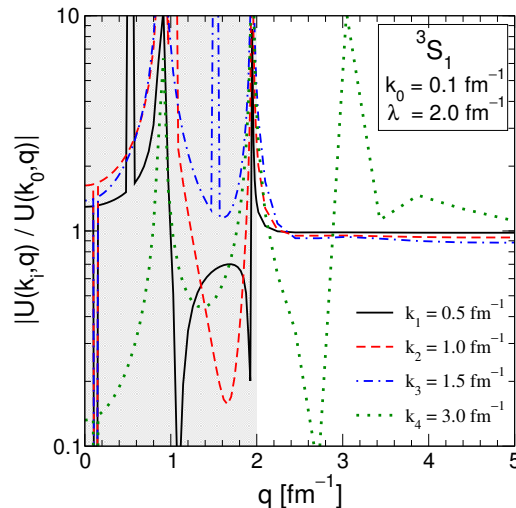
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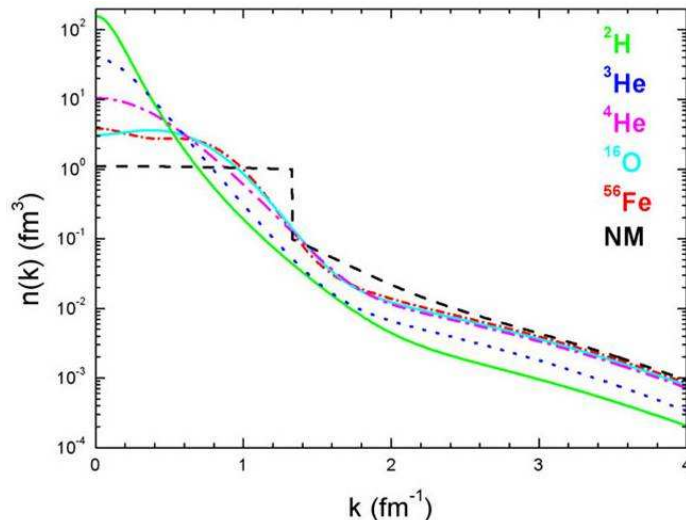


Nuclear scaling from RG factorization (schematic!)

- RG unitary transformation with scale separation: $\hat{U} \rightarrow U_\lambda(k, q)$
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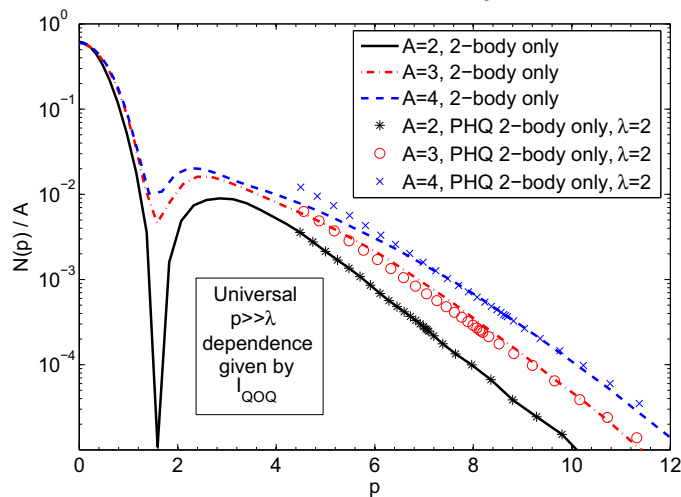
$$\frac{n_A(q)}{n_d(q)} = \frac{\langle A | a_q^\dagger a_q | A \rangle}{\langle d | a_q^\dagger a_q | d \rangle} \xrightarrow[\hat{U}^\dagger \hat{U} = 1]{\text{RG}} \hat{U} | d \rangle \rightarrow |\tilde{d}\rangle, \hat{U} | A \rangle \rightarrow |\tilde{A}\rangle, \hat{U} a_q^\dagger a_q \hat{U}^\dagger$$

$\Rightarrow n_A(q) \approx C_A n_D(q)$ at large q



[From C. Ciofi degli Atti and S. Simula]

Test case: A bosons in toy 1D model



[Anderson et al., arXiv:1008.1569]

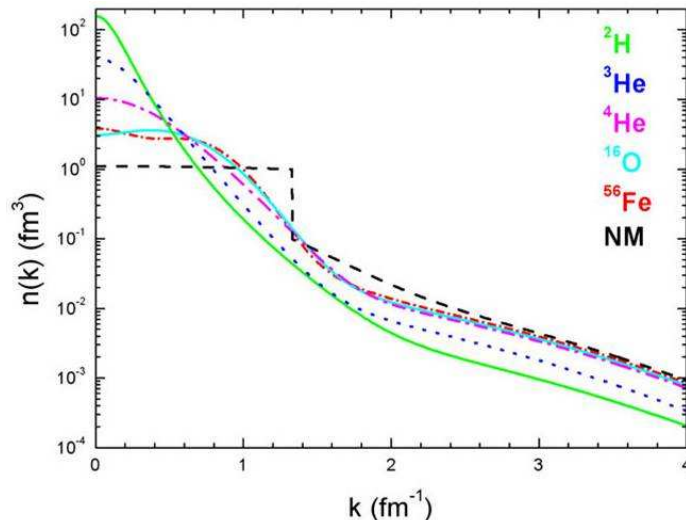
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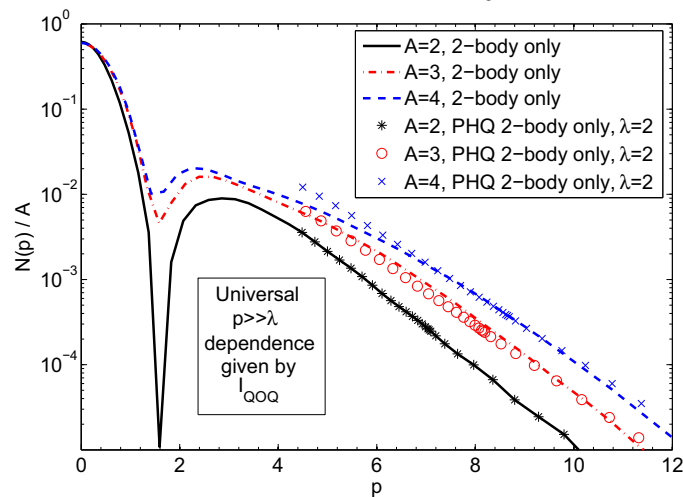
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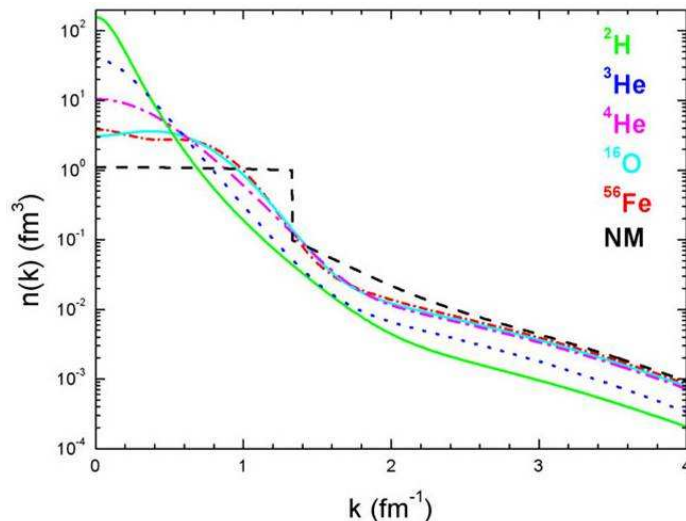
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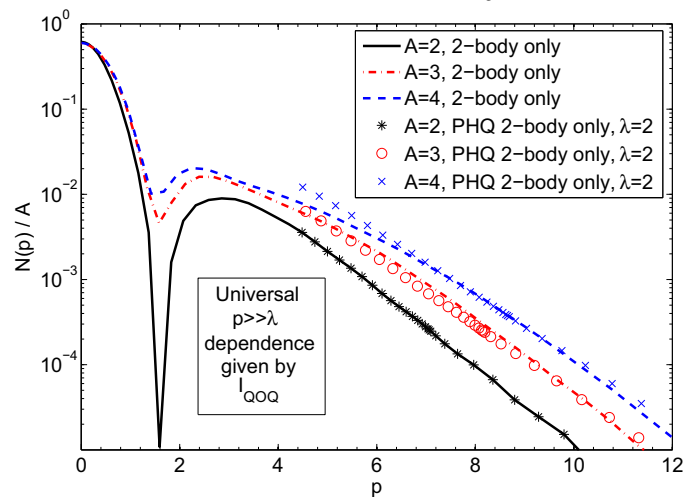
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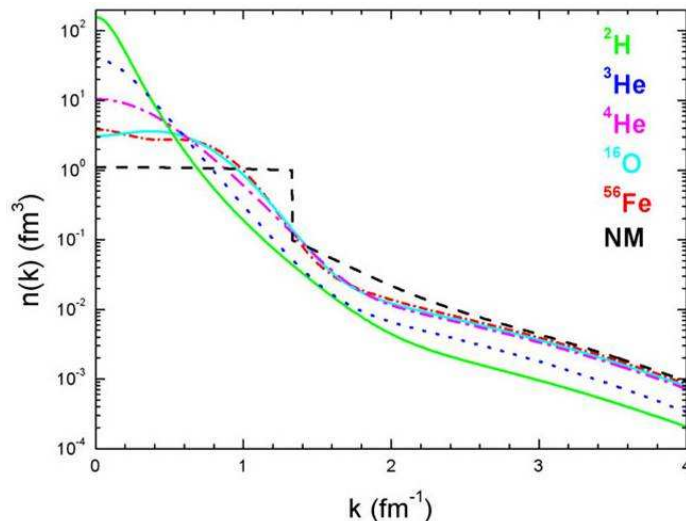
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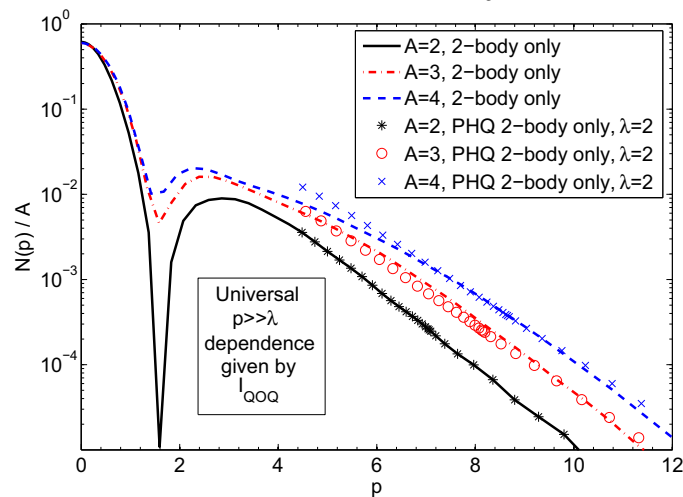
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[Anderson et al., arXiv:1008.1569]

[also Bogner, Roscher, arXiv:1208.1734]

Test ground for scale dependence: $^2\text{H}(e,e'p)n$

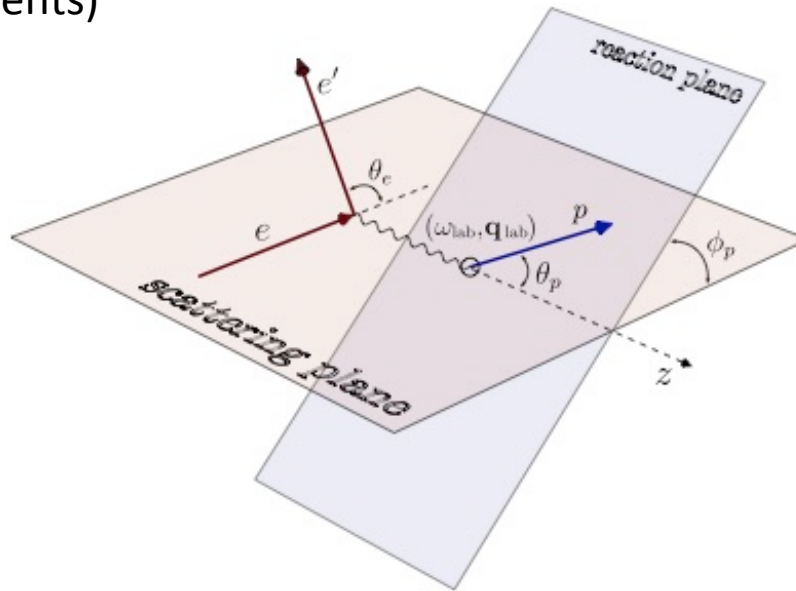
More, Bogner, rjf, PRC96, 2017

Simplest knockout process (no induced 3N forces/currents)

- Use AV18 as “hard” interaction, evolve with SRG
- Treat non-relativistically for all kinematics

Focus on longitudinal structure function f_L

$$f_L \sim \sum_{m_s, m_J} |\langle \psi_f | J_0 | \psi_i \rangle|^2$$



Test ground for scale dependence: $^2\text{H}(e,e'p)n$

More, Bogner, rjf, PRC96, 2017

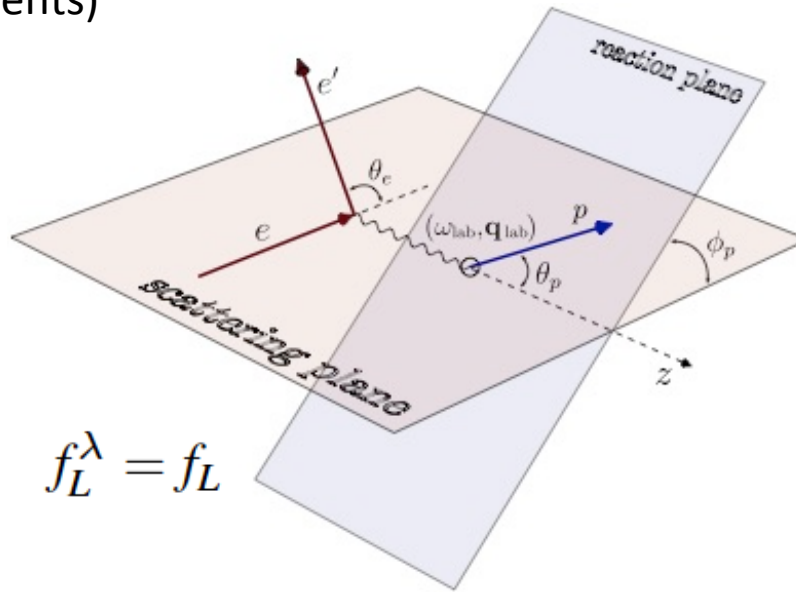
Simplest knockout process (no induced 3N forces/currents)

- Use AV18 as “hard” interaction, evolve with SRG
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Focus on longitudinal structure function f_L

$$f_L \sim \sum_{m_s, m_J} |\langle \psi_f | J_0 | \psi_i \rangle|^2$$

$$f_L^\lambda \sim \underbrace{|\langle \psi_f | U_\lambda^\dagger}_{\psi_f^\lambda} \underbrace{U_\lambda J_0 U_\lambda^\dagger}_{J_0^\lambda} \underbrace{U_\lambda | \psi_i \rangle}_{\psi_i^\lambda}|^2; \quad U_\lambda^\dagger U_\lambda = I; \quad f_L^\lambda = f_L$$



Components (deuteron wf, transition operator, FSI) are scale-dependent; total is not!

Test ground for scale dependence: $^2\text{H}(e,e'p)n$

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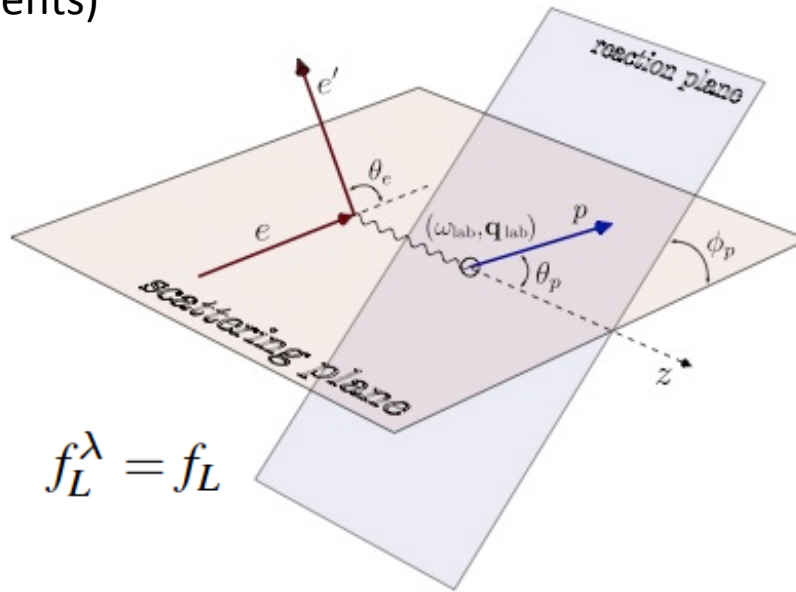
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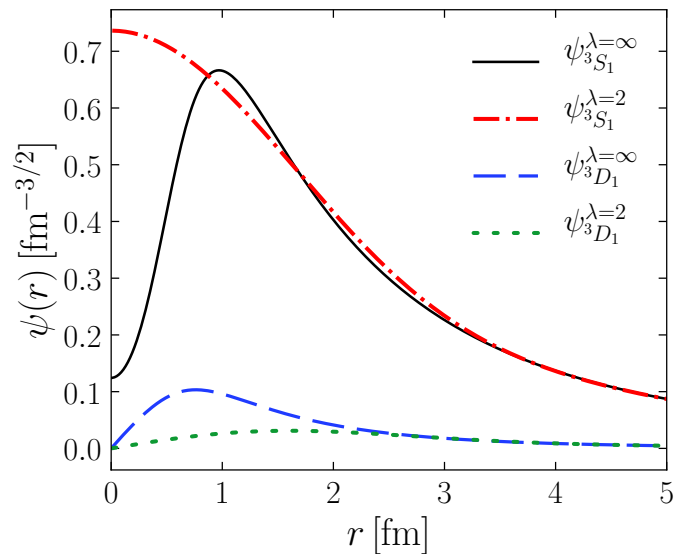
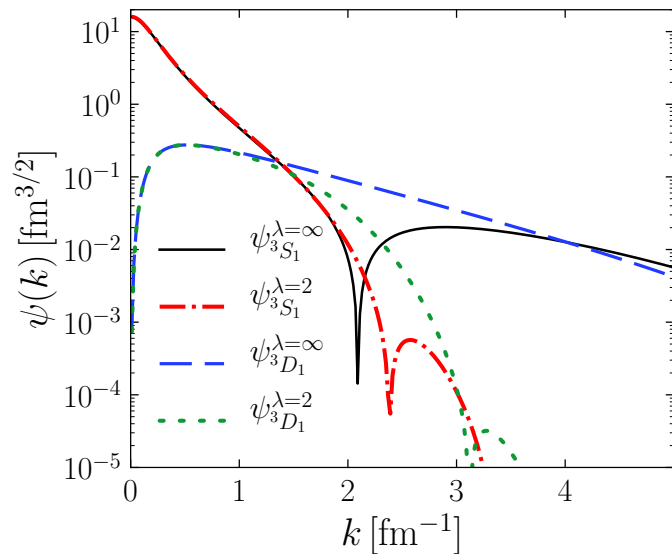
$$f_L^\lambda \sim \underbrace{|\langle \psi_f | U_\lambda^\dagger}_{\psi_f^\lambda} \underbrace{U_\lambda J_0 U_\lambda^\dagger}_{J_0^\lambda} \underbrace{U_\lambda | \psi_i \rangle}_{\psi_i^\lambda}|^2; \quad U_\lambda^\dagger U_\lambda = I; \quad f_L^\lambda = f_L$$



Components (deuteron wf, transition operator, FSI) are scale-dependent; total is not!

Are some resolutions “better” than others? E.g., in a given kinematics, can FSI be minimized with different choices of λ ??

Deuteron wave function evolution

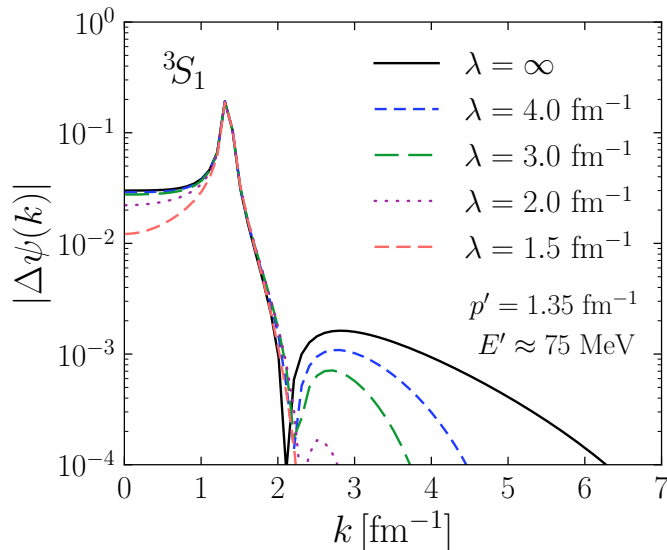
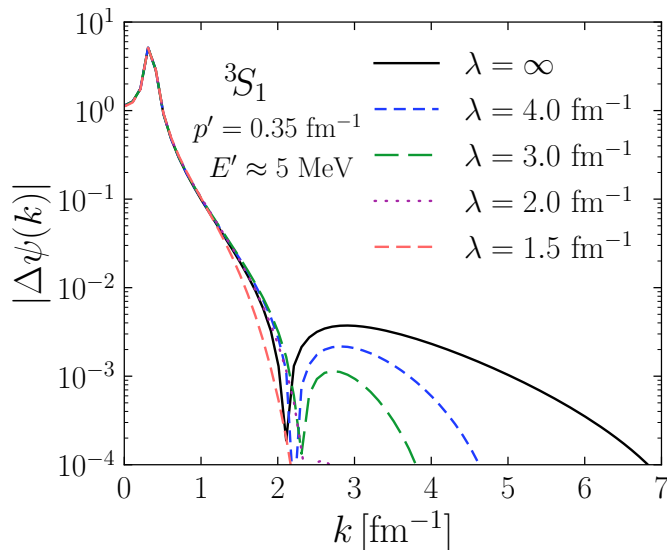


$k < \lambda$ components invariant \iff RG preserves long-distance physics

$k > \lambda$ components suppressed \iff short-range correlations blurred out

Folklore: Simple wave functions at low λ \iff more complicated operators?
especially for high q processes?

Final-state wave function evolution

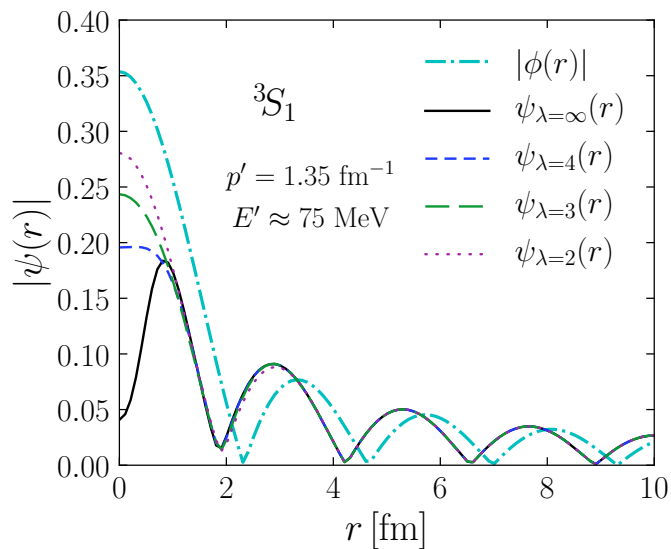
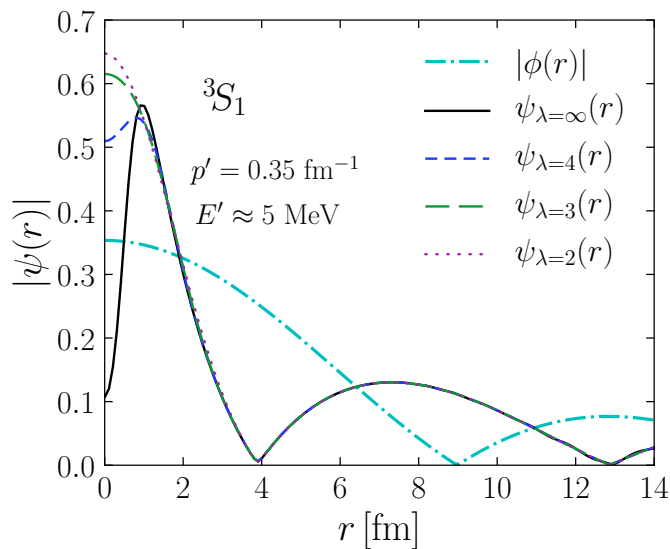


$$\psi_f^\lambda(p'; k) = \underbrace{\phi_{p'}}_{\text{IA}} + \underbrace{\Delta\psi_\lambda(p'; k)}_{\text{FSI}}$$

- High- k tails suppressed with evolution
- For $p' \gtrsim \lambda$, $\Delta\psi_f^\lambda(p'; k)$ localized around outgoing p'

“local decoupling” Dainton et al. PRC 89 (2014)

Final-state wave function evolution

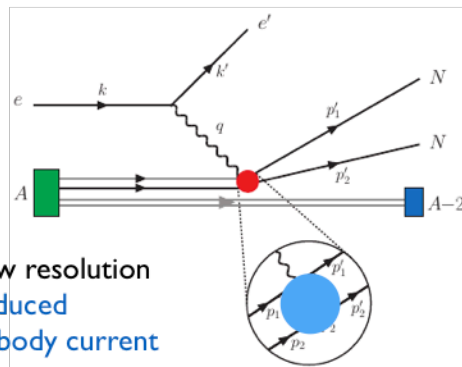
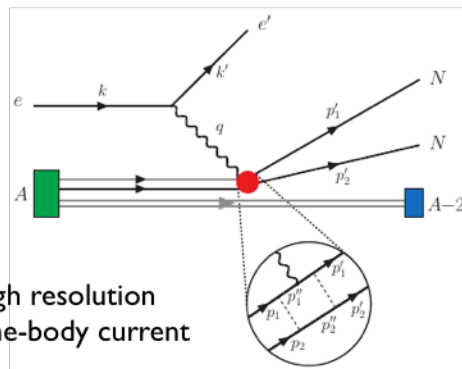
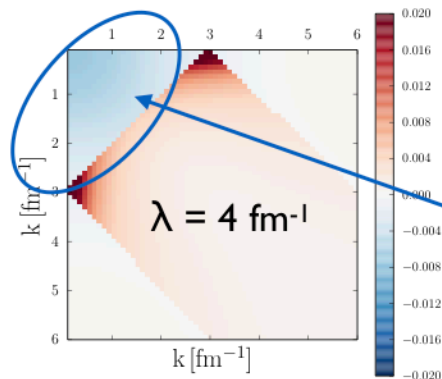
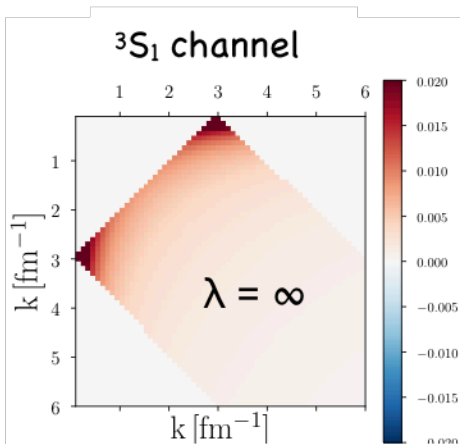


$$\psi_f^\lambda(p'; k) = \underbrace{\phi_{p'}}_{\text{IA}} + \underbrace{\Delta\psi_\lambda(p'; k)}_{\text{FSI}}$$

- Correlation “wound” at small r smoothed out under evolution
- Long-distance tail invariant (phase shifts preserved)

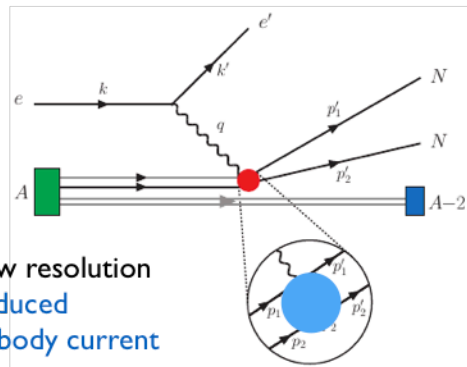
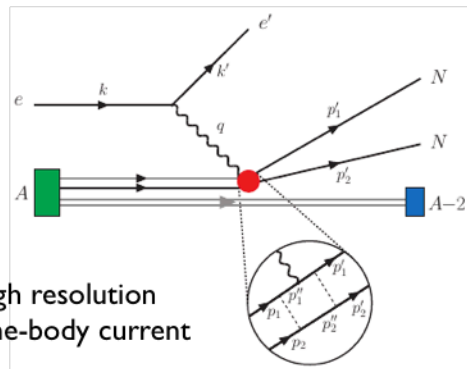
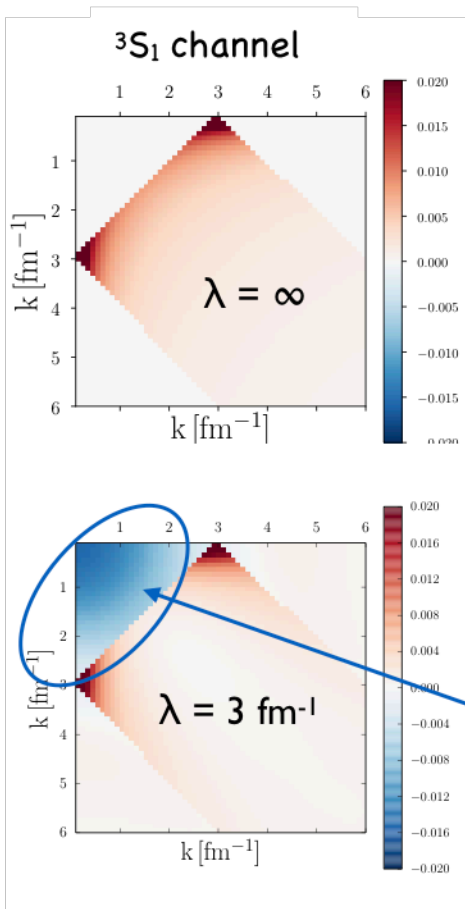
Current operator evolution

$$q^2 = 36 \text{ fm}^{-2}$$



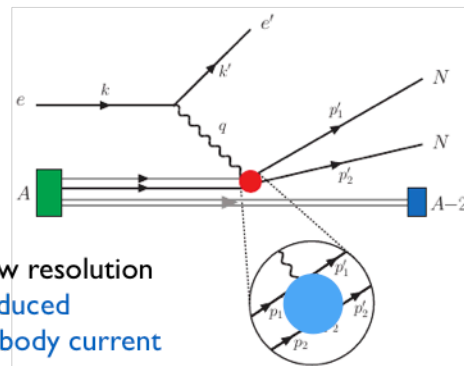
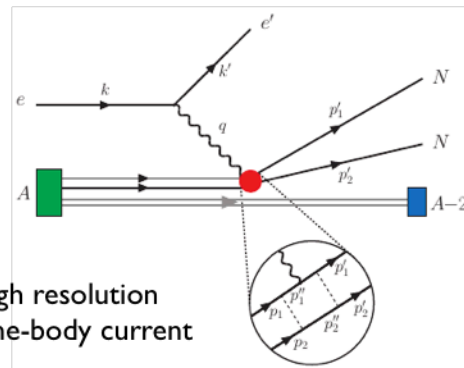
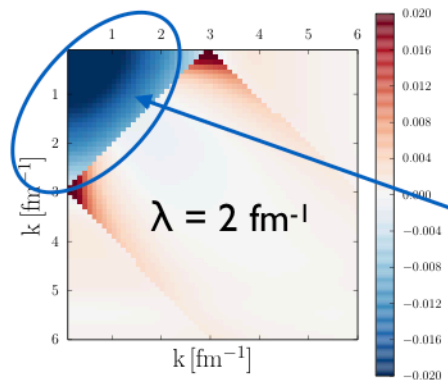
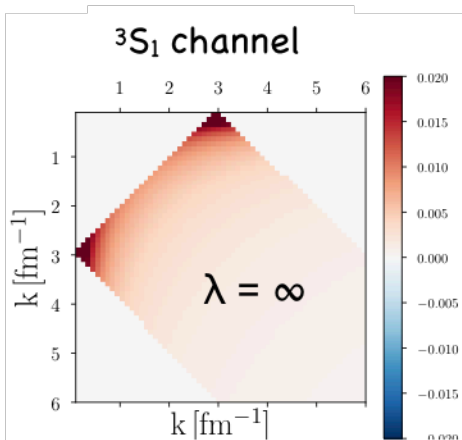
Current operator evolution

$$q^2 = 36 \text{ fm}^{-2}$$



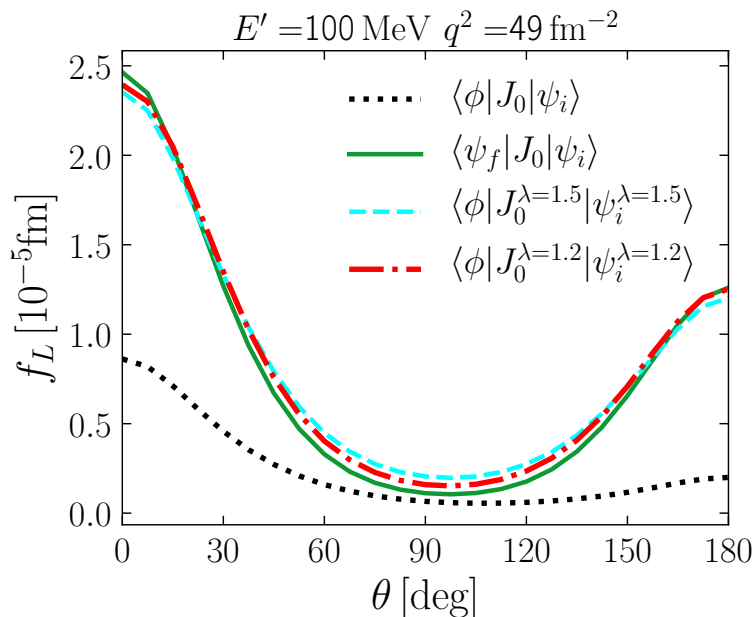
Current operator evolution

$$q^2 = 36 \text{ fm}^{-2}$$



λ dependence of Final State Interactions

Look at kinematics relevant to SRC studies



$x_B = 1.64$, $Q^2 = 1.78 \text{ GeV}^2$

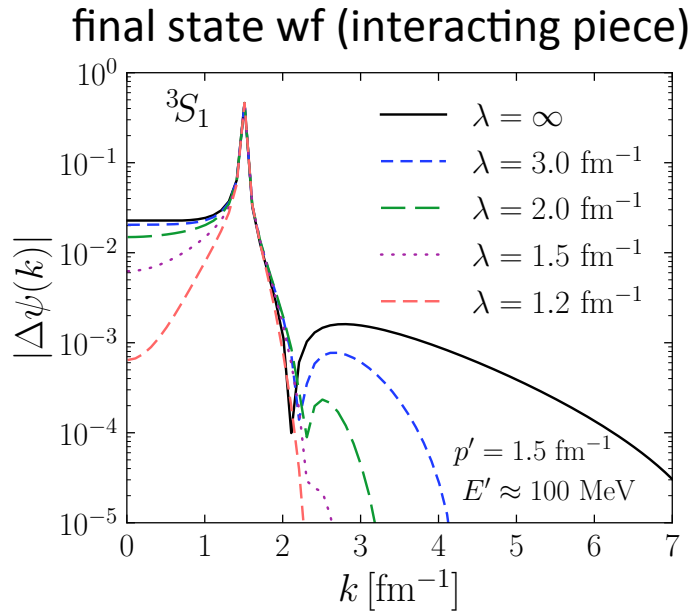
FSI sizable at large λ
but negligible at low-resolution!

Folklore:

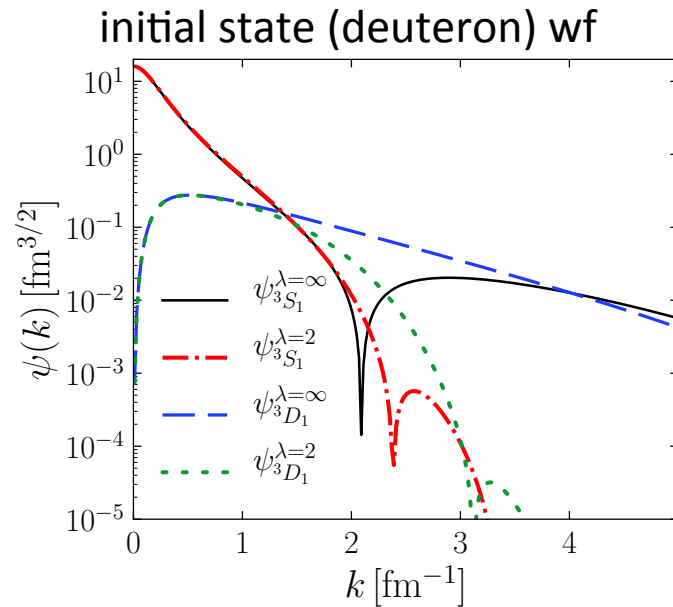
shouldn't hard processes be
complicated in low resolution
($\lambda \ll q$) pictures?

Why are FSI so small at low λ ?

λ dependence of Final State Interactions

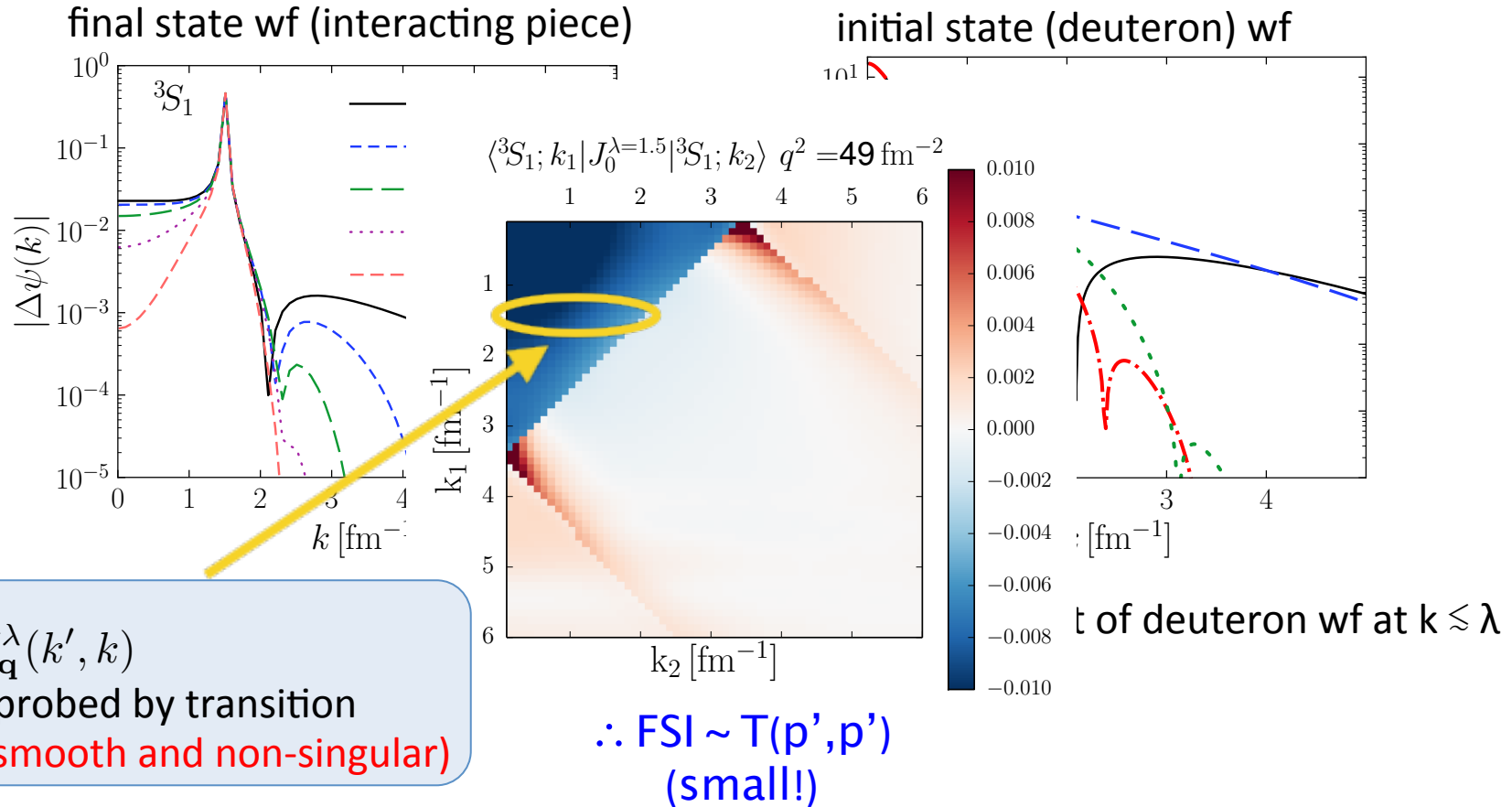


For $p' \gtrsim \lambda$, interacting part of final state wf localized at $k \approx p'$



Dominant support of deuteron wf at $k \lesssim \lambda$

λ dependence of Final State Interactions



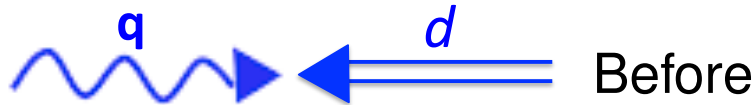
Simple pictures at high and low resolution

Can we account for the cross section at *both* high and low resolution with simple pictures?

Work in final neutron-proton rest frame at $\theta = 0^\circ$

Assume photon momentum absorbed entirely by proton

Scattering on the quasi-free ridge:



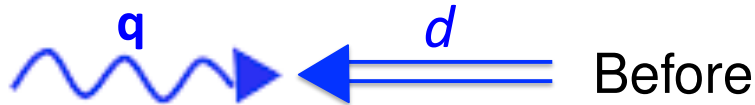
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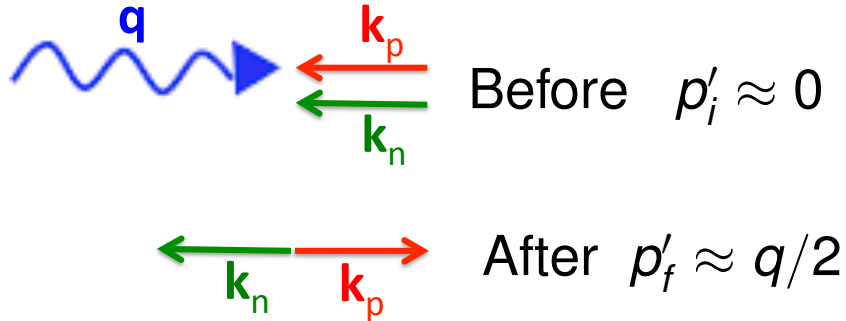
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Deuteron wave function probed at low momentum

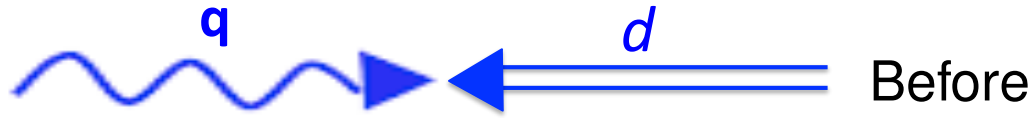
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Scattering near threshold with SRC kinematics:



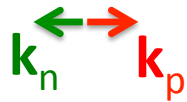
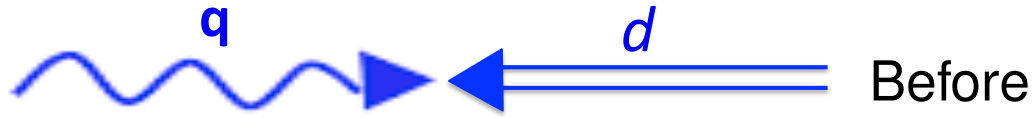
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Scattering near threshold with SRC kinematics:



After $p'_f \approx \text{small}$

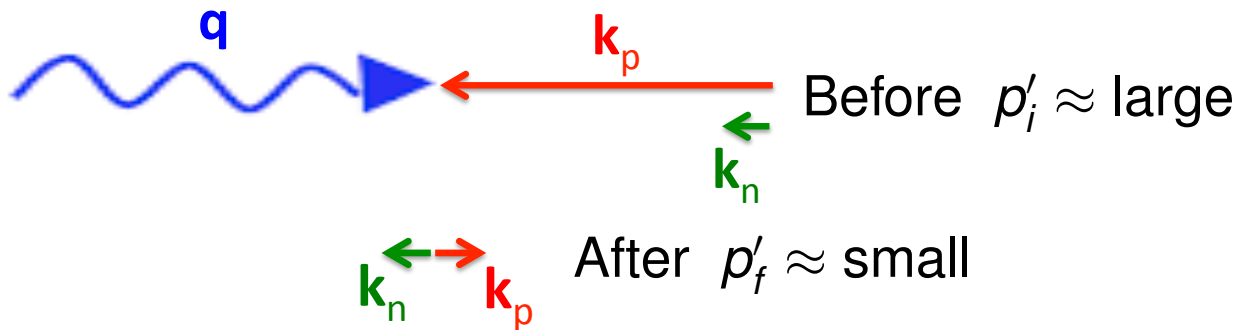
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Scattering near threshold with SRC kinematics:



Cross section from short-range correlation

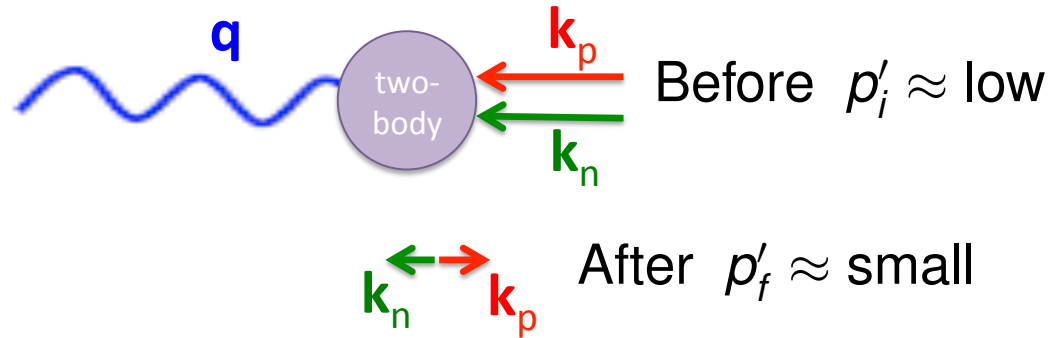
Simple pictures at high and low resolution

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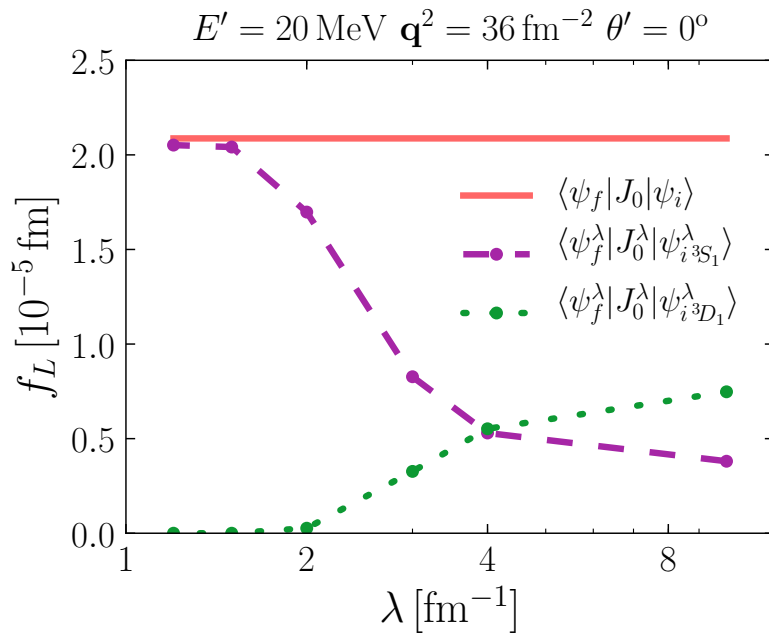
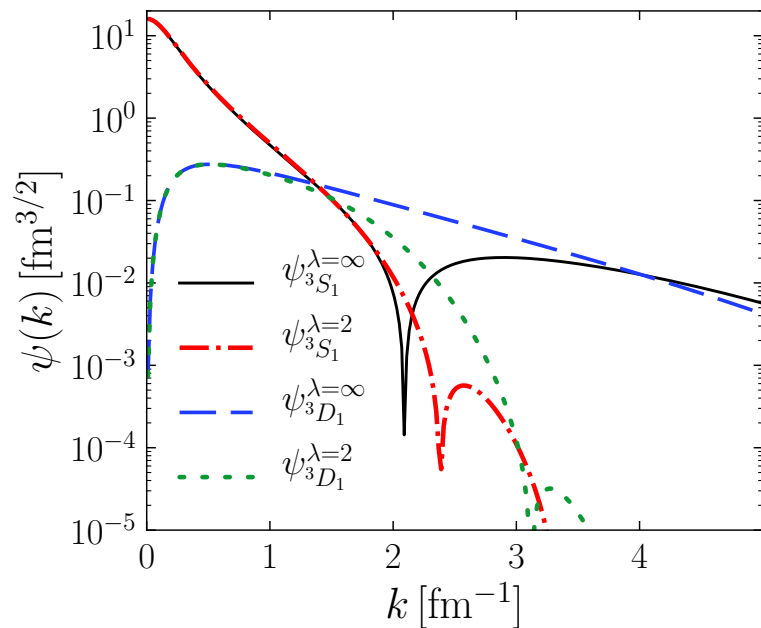
Scattering near threshold with SRC kinematics:



Cross section from low momentum!

Scale (λ) dependence of interpretations

- Analysis/interpretation of a reaction involves understanding which part(s) of the wave functions are probed (**highly scale dependent!**)
- E.g., sensitivity to D-state w.f. in large \mathbf{q}^2 processes

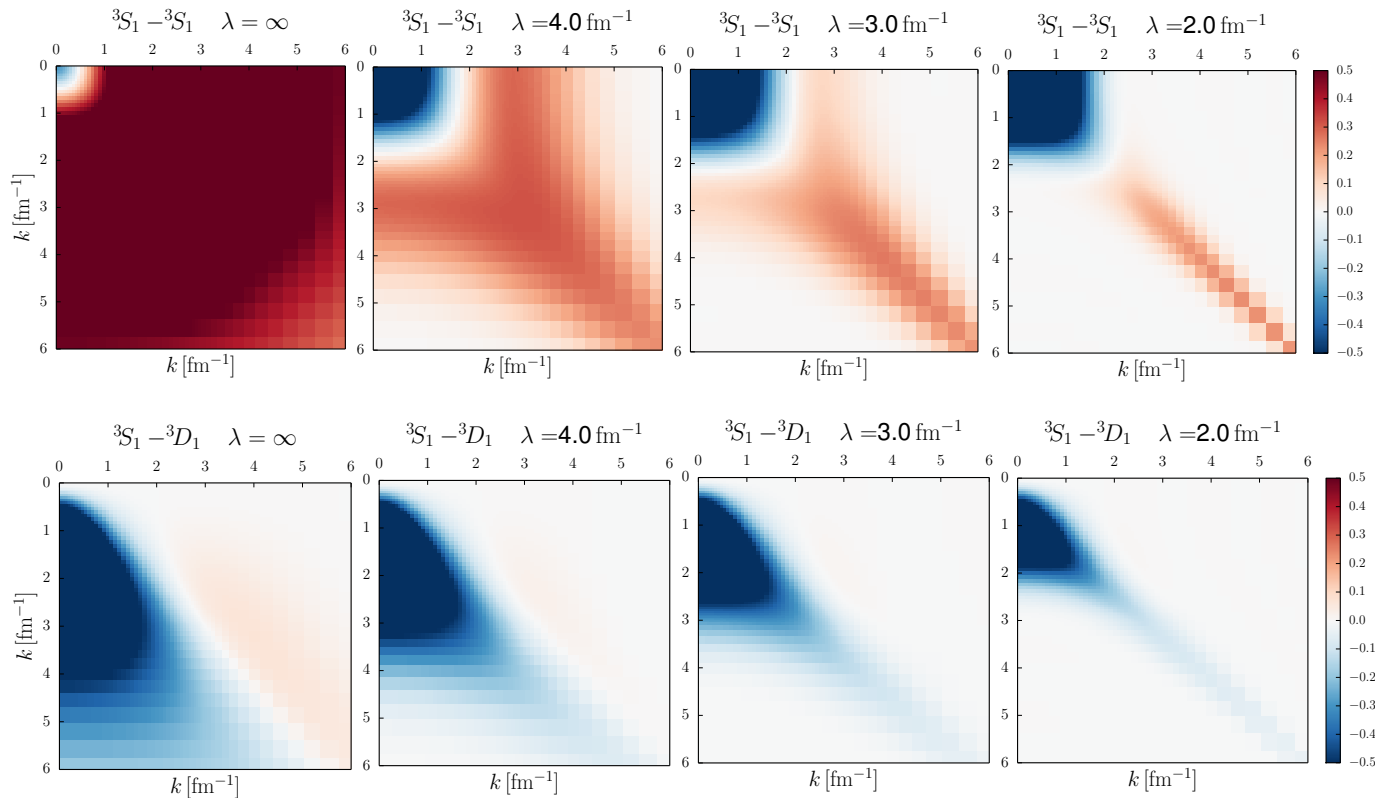


Summary: The OPE-RG-EFT perspective

- Is consistent with the full range of controlled many-body calculations
- Can connect with QCD analysis of hard processes
- Helps identify correlations (find common leading operator)
- Allows separation of A dependence and reaction dependence
- Makes it possible to estimate relative contributions of operators
- Allows for systematic corrections and uncertainty quantification
- Highlights scale and scheme dependence of quantities like the tail of the momentum distribution and of physics *interpretations*

Back-up Slides

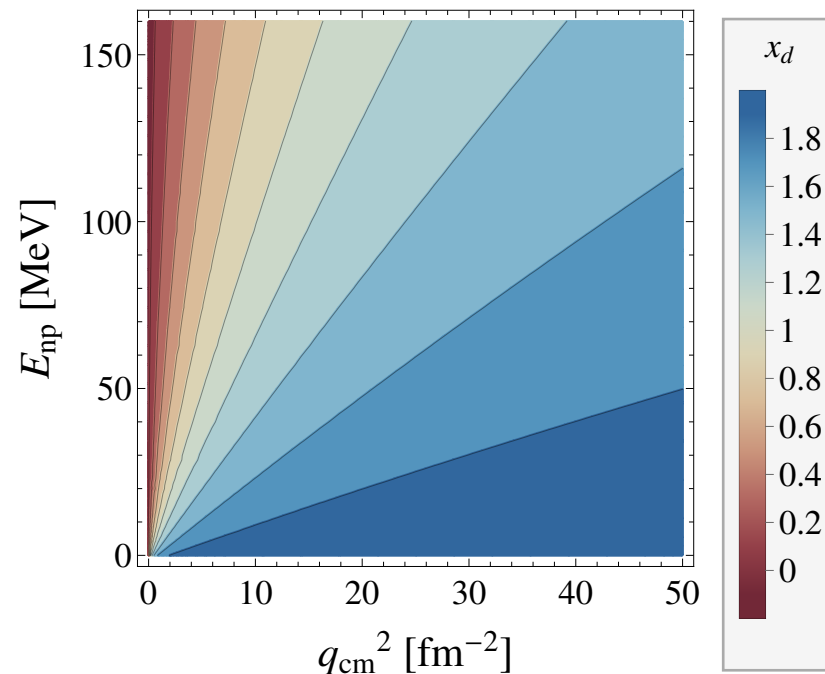
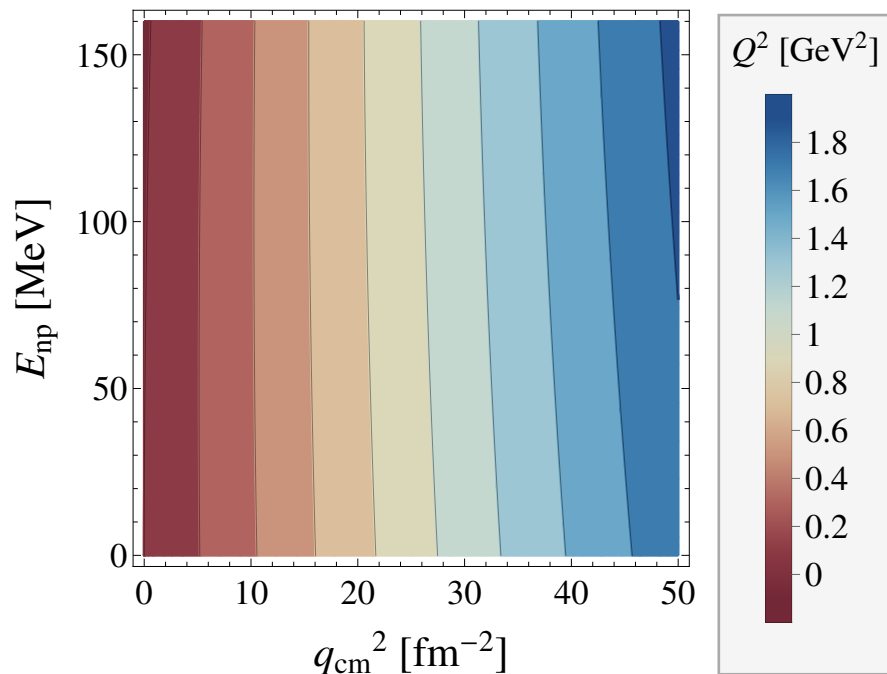
SRG evolution of AV18 potential $dH_s/ds = [[G_s, H_s], H_s], G_s = T$



Notes: unitary transformation $\hat{O}_\lambda = \hat{U}_\lambda \hat{O}_{\lambda=\infty} \hat{U}_\lambda^\dagger$; λ sets decoupling scale:

$$V_\lambda(k, k') \approx V_{\lambda=\infty}(k, k') e^{-\left(\frac{k^2 - k'^2}{\lambda^2}\right)^2} \text{ (nonlocality!); scheme dependence from } G_s$$

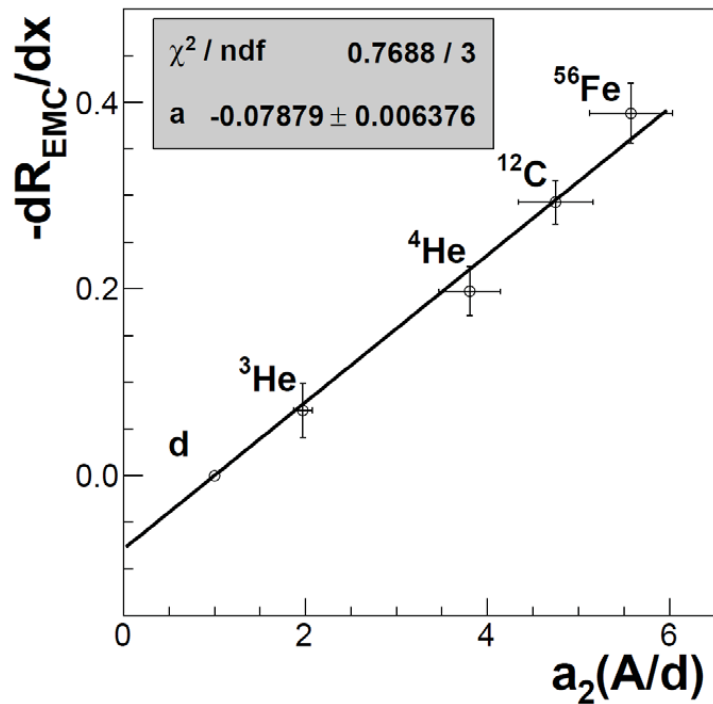
Kinematic variables transformation



In the rest frame of outgoing nucleons, E' is their energy and $q_{\text{c.m.}}$ is the three-momentum transfer by the virtual photon.

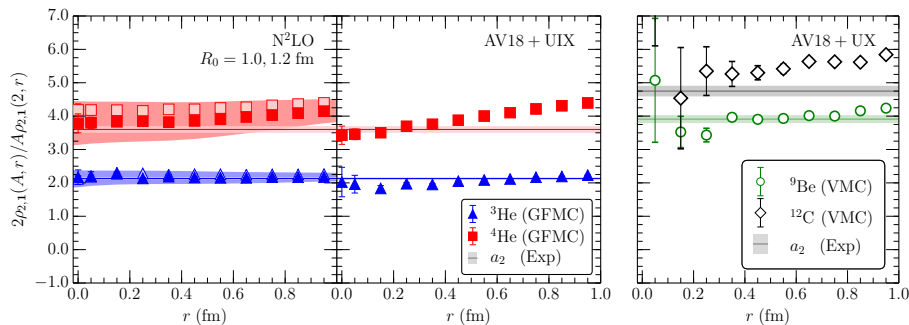
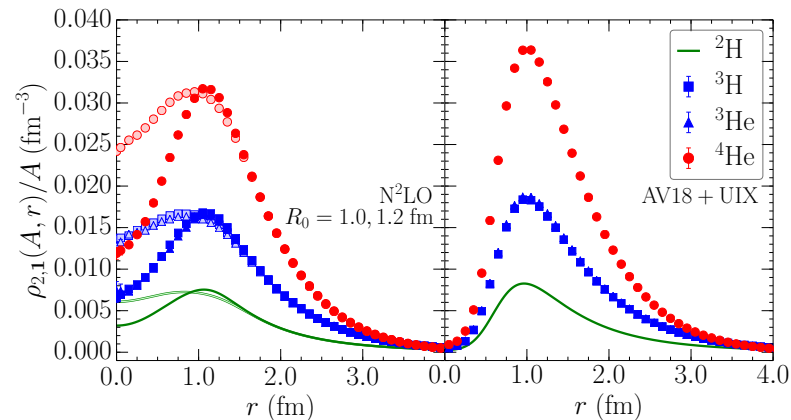
Preview: SRCs and the EMC effect in EFT

Chen et al., Phys. Rev. Lett. 119 (2017)



L.B. Weinstein, et al., Phys. Rev. Lett. 106, 052301 (2011)

$$a_2(A) = \frac{2}{A} \frac{\rho_{2,1}(A, 0)}{\rho_{2,1}(2, 0)}$$



Recent explosion of chiral EFT interactions

Interactions from 2003-4: EM(500, 600 MeV) or family of EGM potentials; used similar non-local regulators and similar fits to NN, 3N

New generation NN+3N interactions [references at end]

- **Non-local:** updated EMN and new soft; Ekstrom et al. sim, sat, and with Δ s
- **Local** (for QMC): Gezerlis et al. nucleons only; Piarulli et al. with Δ s
- **Semi-local:** Bochum-Julich group, SCS (x-space) and SMS (p-space)

Issues: power counting, regulator artifacts, EFT convergence, fitting protocols, fine-tuning, over/under-fitting, parameter redundancies, how to do UQ?

Parameter estimation issues repeat with LECs for currents and for other EFTs