

Neutrinos I

Mary Bishai  
Brookhaven  
National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

# Neutrinos I

History and Properties

African School For Fundamental Physics and its  
Applications (ASP 2018), June 24-July 14 2018, Windhoek,  
Namibia

Mary Bishai  
Brookhaven National Laboratory

July 6<sup>th</sup>, 2018

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Neutrinos

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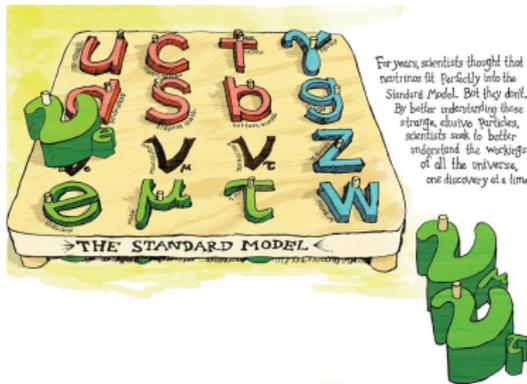
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

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**From Symmetry Magazine, Feb 2013**

## Cosmic Gall

by John Updike

- 1 Neutrinos, they are very small.
- 2 They have no charge and have no mass
- 3 And do not interact at all.
- 4 The earth is just a silly ball
- 5 To them, through which they simply pass,
- 6 Like dustmaids down a drafty hall
- 7 Or photons through a sheet of glass.
- 8 They snub the most exquisite gas,
- 9 Ignore the most substantial wall,
- 10 Cold-shoulder steel and sounding brass,
- 11 Insult the stallion in his stall,
- 12 And, scorning barriers of class,
- 13 Infiltrate you and me! Like tall
- 14 And painless guillotines, they fall
- 15 Down through our heads into the grass.
- 16 At night, they enter at Nepal
- 17 And pierce the lover and his lass
- 18 From underneath the bed—you call
- 19 It wonderful; I call it crass.

Credit: "Cosmic Gall" from Collected Poems 1953–1993, by John Updike. Copyright John Updike. Used by permission of Alfred A. Knopf, a division of Random House, Inc.

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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
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Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

# NEUTRINO HISTORY AND DISCOVERY

# Neutrino Conception

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Neutrino  
History

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Neutrinos

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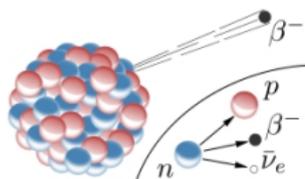
Atmospheric  
 $\nu$

Solar  $\nu$

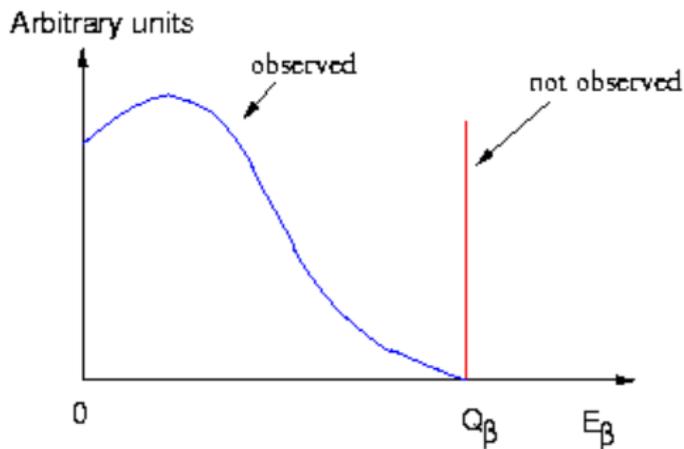
Supernova  
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**Before 1930's: beta decay spectrum continuous - is this energy non-conservation?**



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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
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Atmospheric  
√

Solar √

Supernova  
Neutrinos

Supernova √

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## Dec 1930: **Wolfgang Pauli's** letter to physicists at a workshop in Tübingen:



**Wolfgang Pauli**

*Dear Radioactive Ladies and Gentlemen,*

....., I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons.... The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant.....

**Unfortunately, I cannot appear in Tübingen personally since I am indispensable here in Zurich because of a ball on the night of 6/7 December.** With my best regards to you, and also to Mr Back.

Your humble servant

. W. Pauli

# Neutrino Conception

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Neutrino  
History

Reactor  
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from reactors

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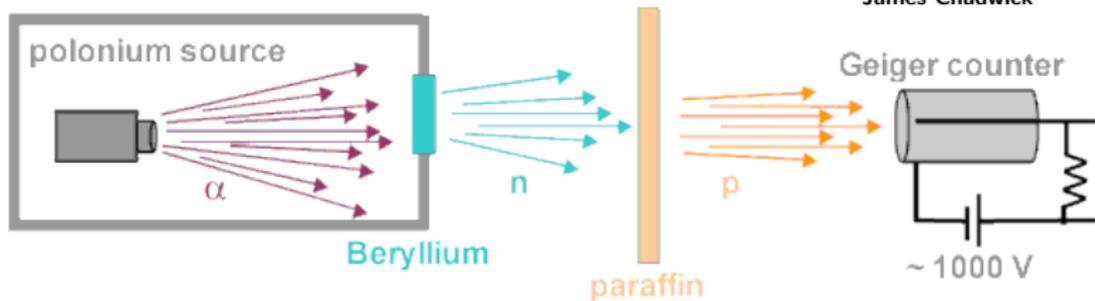
Supernova  $\nu$

Neutrino  
Mixing

**1932: James Chadwick** discovers the neutron,  
 $\text{mass}_{\text{neutron}} = 1.0014 \times \text{mass}_{\text{proton}}$  - its too heavy -  
cant be Pauli's particle



James Chadwick



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 $\nu$

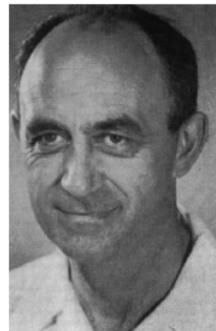
Solar  $\nu$

Supernova  
Neutrinos

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Solvay Conference, Bruxelles 1933: **Enrico Fermi**  
proposes to name Pauli's particle the "**neutrino**".



Enrico Fermi

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Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

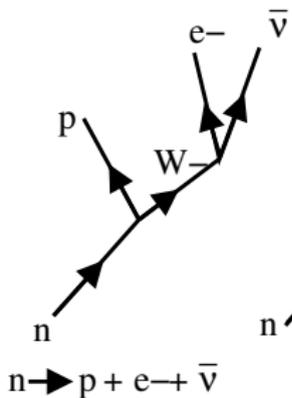
Supernova  $\nu$

Neutrino  
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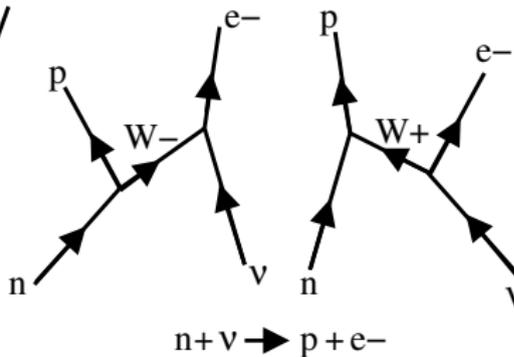
≥ 1933: Fermi builds his theory of **weak interactions and beta decay**

## Charged current interactions

Decay of neutron

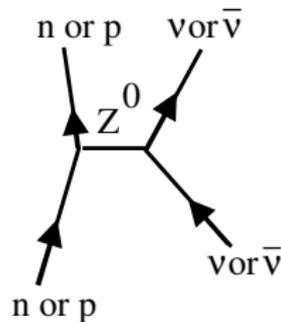


Neutrino interacts  
with neutron



## Neutral current interactions

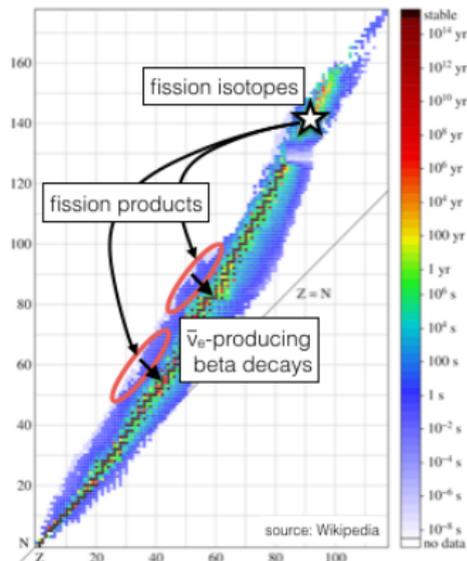
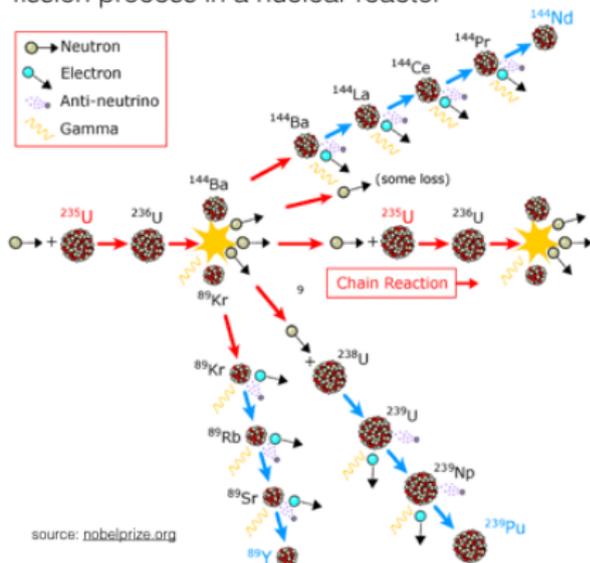
n or p interacts with  
neutrino or antineutrino



# Finding Neutrinos...

**1950's: Fred Reines at Los Alamos and Clyde Cowan propose to use the Hanford nuclear reactor (1953) and the new Savannah River nuclear reactor (1955) to find neutrinos.**

fission process in a nuclear reactor



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Neutrino  
History

Reactor  
Neutrinos

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Accelerator  $\nu$

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Supernova  
Neutrinos

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Neutrino  
Mixing

**1950's: Fred Reines at Los Alamos and Clyde Cowan propose to use the Hanford nuclear reactor (1953) and the new Savannah River nuclear reactor (1955) to find neutrinos.**

Neutrinos I

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National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator √

Atmospheric  
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Solar √

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Neutrinos

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Neutrino  
Mixing

THE UNIVERSITY OF CHICAGO  
CHICAGO 37 · ILLINOIS  
INSTITUTE FOR NUCLEAR STUDIES

October 8, 1952

Dr. Fred Reines  
Los Alamos Scientific Laboratory  
P.O. Box 1663  
Los Alamos, New Mexico

Dear Fred:

Thank you for your letter of October 4th by Clyde Cowan and yourself. I was very much interested in your new plan for the detection of the neutrino. Certainly your new method should be much simpler to carry out and have the great advantage that the measurement can be repeated any number of times. I shall be very interested in seeing how your 10 cubic foot scintillation counter is going to work, but I do not know of any reason why it should not.

Good luck.

Sincerely yours,



Enrico Fermi

EF:vr

# Finding Neutrinos...

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Brookhaven  
National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
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Supernova  
Neutrinos

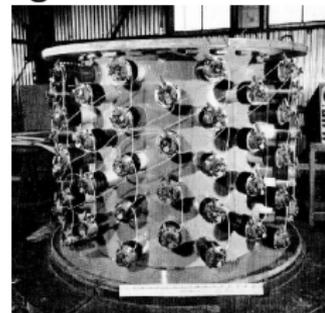
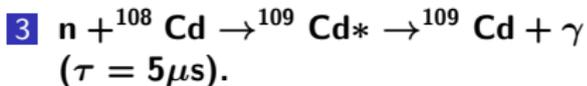
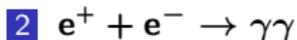
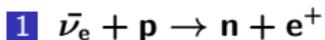
Supernova  $\nu$

Neutrino  
Mixing

**1950's:** Fred Reines at Los Alamos and Clyde Cowan propose to use the Hanford nuclear reactor (1953) and the new Savannah River nuclear reactor (1955) to find neutrinos.

A detector filled with **water with CdCl<sub>2</sub> in solution** was located 11 meters from the reactor center and 12 meters underground.

The detection sequence was as follows:



*Neutrinos first detected using a nuclear reactor!*

Reines shared 1995 Nobel for work on neutrino physics.

# Discovery of the Muon ( $\mu$ )

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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

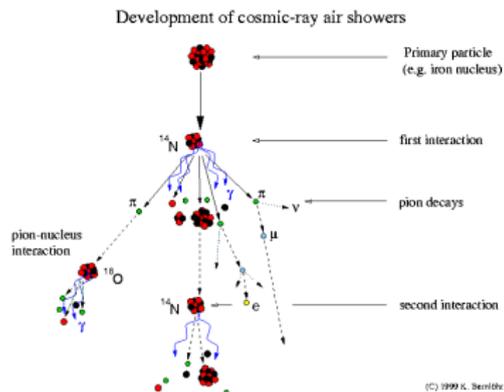
Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

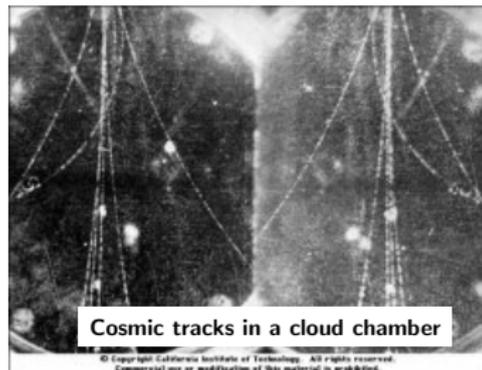
Neutrino  
Mixing

**1936:** Carl Andersen, Seth Neddermeyer observed an unknown charged particle in cosmic rays with mass between that of the electron and the proton - called it the  $\mu$  meson (now muons).



C. Anderson with a magnetized cloud chamber

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Cosmic tracks in a cloud chamber

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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

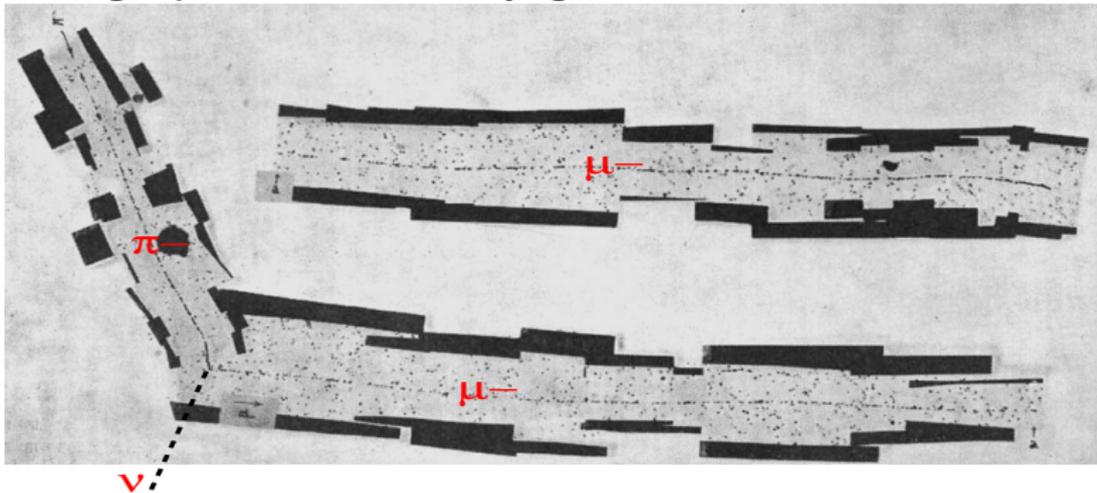
The muon and the electron are *different "flavors" of the same family of elementary particles called leptons.*

Generation	I	II	III
Lepton	$e^-$	$\mu$	$\tau$
Mass (GeV)	0.000511	0.1057	1.78
Lifetime (sec)	stable	$2.2 \times 10^{-6}$	$2.9 \times 10^{-13}$

**Neutrinos are neutral leptons.** Do  $\nu$ 's have flavor too?

# Discovery of the Pion: 1947

Cecil Powell takes emulsion photos aboard high altitude RAF flights.  
A charged particle is found decaying to a muon:



Weak decays of pi mesons (pions):  $\pi^{+/-} \rightarrow \mu^{+/-} + \nu_x$

$mass_{\pi^-} = 0.1396 \text{ GeV}/c^2$  ,  $\tau = 26 \text{ nano-second (ns)}$

Pions are composite particles from the “hadron” family composed of quark/anti-quark pairs

Neutrinos I

Mary Bishai  
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National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

# Producing Neutrinos from an Accelerator

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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

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Neutrinos

Supernova  $\nu$

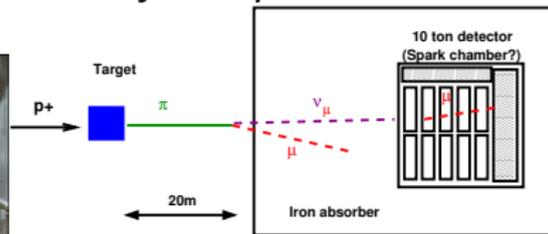
Neutrino  
Mixing



**1962:** Leon Lederman, Melvin Schwartz and Jack Steinberger use a proton beam from BNL's Alternating Gradient Synchrotron (AGS) to produce a beam of neutrinos using the decay  $\pi \rightarrow \mu \nu_x$



The AGS



Making  $\nu$ 's

# The Two-Neutrino Experiment

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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



**Result:** 40 neutrino interactions recorded in the detector, 6 of the resultant particles were identified as background and 34 identified as  $\mu \Rightarrow \nu_x = \nu_\mu$

*The first successful accelerator neutrino experiment was at Brookhaven Lab.*

**1988 NOBEL PRIZE**

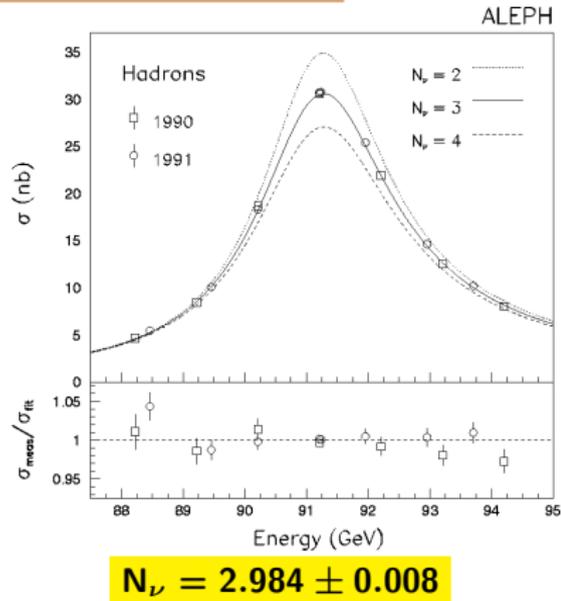
# Number of Neutrino Flavors: Particle Colliders

1980's - 90's: The number of neutrino types is precisely determined from studies of  $Z^0$  boson properties produced in  $e^+e^-$  colliders.

## The LEP $e^+e^-$ collider at CERN, Switzerland



The 27km LEP ring was reused to  
build the Large Hadron Collider



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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

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Neutrino  
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# Proposal to find Atmospheric Neutrinos

Slide to find atmospheric neutrinos by Fred Reines (Case Western Institute):

-22- ATMOSPHERIC  $\nu$ 's 

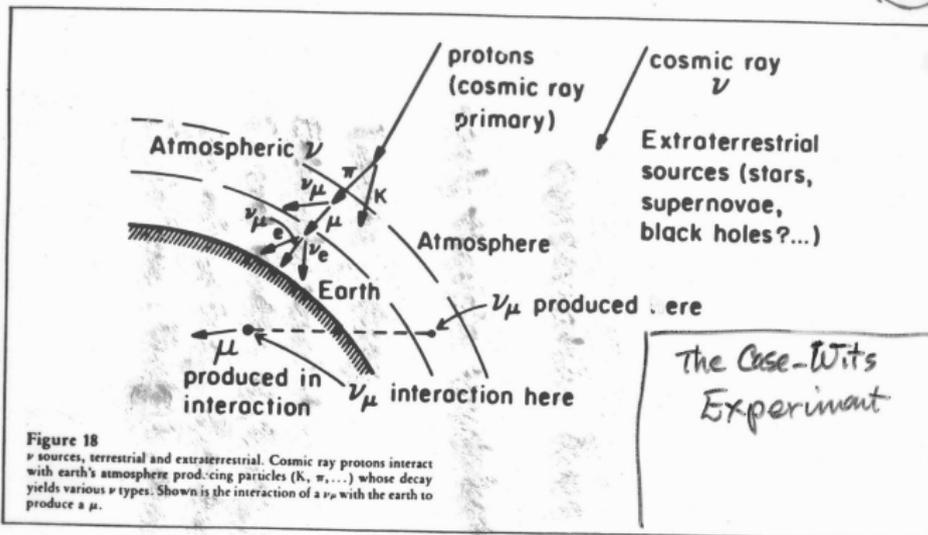


Figure 18  
 $\nu$  sources, terrestrial and extraterrestrial. Cosmic ray protons interact with earth's atmosphere producing particles ( $K, \pi, \dots$ ) whose decay yields various  $\nu$  types. Shown is the interaction of a  $\nu_\mu$  with the earth to produce a  $\mu$ .

$\nu$  SOURCES TERRESTRIAL & EXTRA-TERRESTRIAL

Neutrinos I

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Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
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Supernova  
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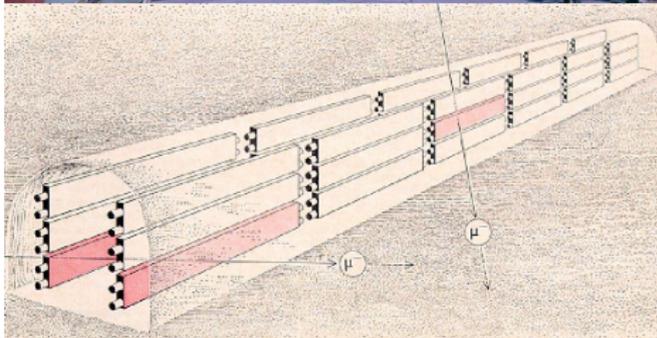
Neutrino  
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# The CWI-SAND Experiment

**1964: The Case Western Institute-South Africa Neutrino Detector (CWI-SAND) and a search for atmospheric  $\nu_\mu$  at the East Rand gold mine in South Africa at 3585m depth**

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National  
Laboratory



Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

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Neutrinos

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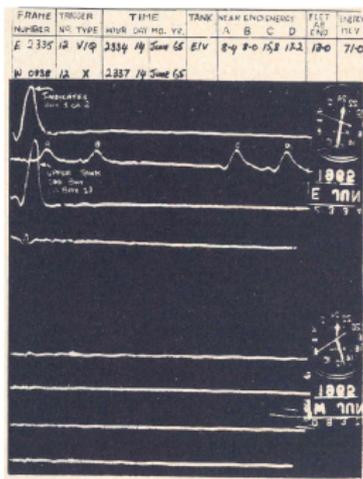
Neutrino  
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# The CWI-SAND Experiment

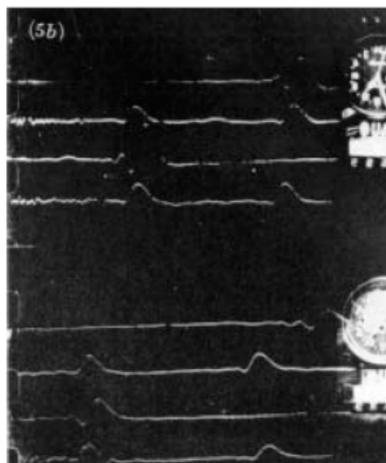
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Downward-going Muon  
(background)



Horizontal Muon  
(neutrino signal)

**Detection of the first neutrino in nature!**

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

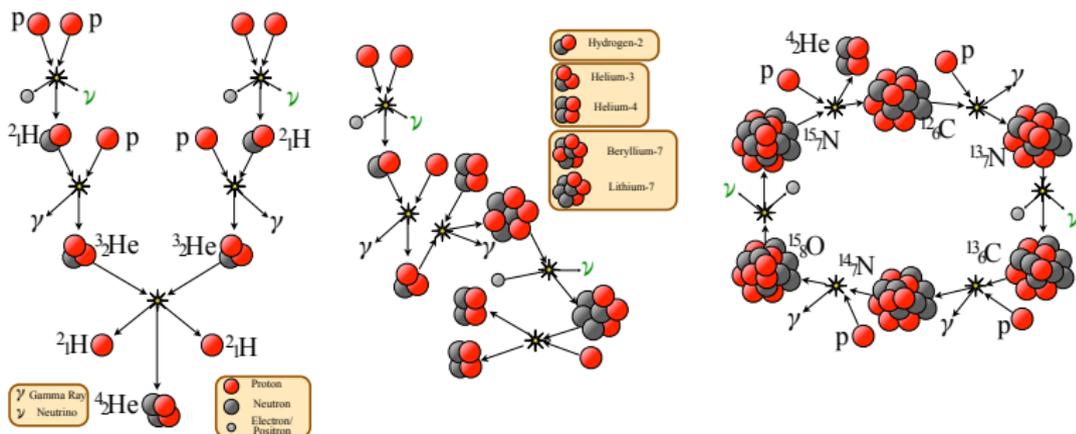
Supernova  $\nu$

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## Fusion of nuclei in the Sun produces solar energy and neutrinos



Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator ν

Atmospheric  
ν

Solar ν

Supernova  
Neutrinos

Supernova ν

Neutrino  
Mixing

# The Homestake Experiment

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Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

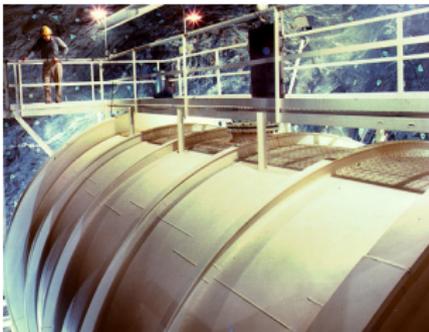
Neutrino  
Mixing

**1967:** **Ray Davis** from BNL installs a large detector, containing 615 tons of tetrachloroethylene (cleaning fluid), 1.6km underground in Homestake mine, SD.

- 1  $\nu_e^{\text{sun}} + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}$ ,  $\tau({}^{37}\text{Ar}) = 35$  days.
- 2 Number of Ar atoms  $\approx$  number of  $\nu_e^{\text{sun}}$  interactions.



Ray Davis



**Results: 1969 - 1993 Measured  $2.5 \pm 0.2$  SNU** (1 SNU = 1 neutrino interaction per second for  $10^{36}$  target atoms) while theory predicts 8 SNU. This is a  **$\nu_e^{\text{sun}}$  deficit of 69%**.

**Where did the sun's  $\nu_e$ 's go?**

**RAY DAVIS SHARES 2002 NOBEL PRIZE**

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Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

**2001-02: Sudbury Neutrino Observatory.** Water Čerenkov detector with 1 kT heavy water (**0.5 B\$ worth on loan from Atomic Energy of Canada Ltd.**) located 2Km below ground in INCO's Creighton nickel mine near Sudbury, Ontario.  
Can detect the following  $\nu^{\text{sun}}$  interactions:

- 1)  $\nu_e + d \rightarrow e^- + p + p$  (CC).
- 2)  $\nu_x + d \rightarrow p + n + \nu_x$  (NC).
- 3)  $\nu_x + e^- \rightarrow e^- + \nu_x$  (ES).

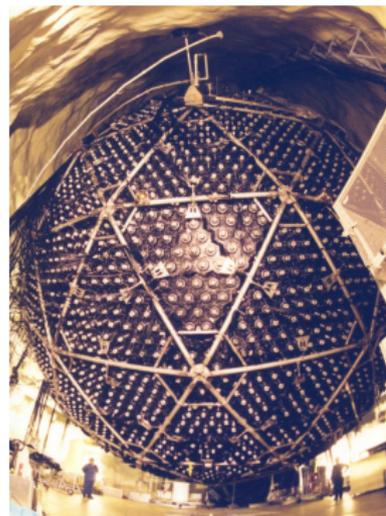
**SNO measured:**

$$\phi_{\text{SNO}}^{\text{CC}}(\nu_e) = 1.75 \pm 0.07(\text{stat})_{-0.11}^{+0.12}(\text{sys.}) \pm 0.05(\text{theor}) \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

$$\phi_{\text{SNO}}^{\text{ES}}(\nu_x) = 2.39 \pm 0.34(\text{stat})_{-0.14}^{+0.16}(\text{sys.}) \pm \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

$$\phi_{\text{SNO}}^{\text{NC}}(\nu_x) = 5.09 \pm 0.44(\text{stat})_{-0.43}^{+0.46}(\text{sys.}) \pm \times 10^6 \text{cm}^{-2} \text{s}^{-1}$$

**All the solar  $\nu$ 's are there but  $\nu_e$  appears as  $\nu_x$ !**



# Supernova Neutrinos

## Neutrinos I

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Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

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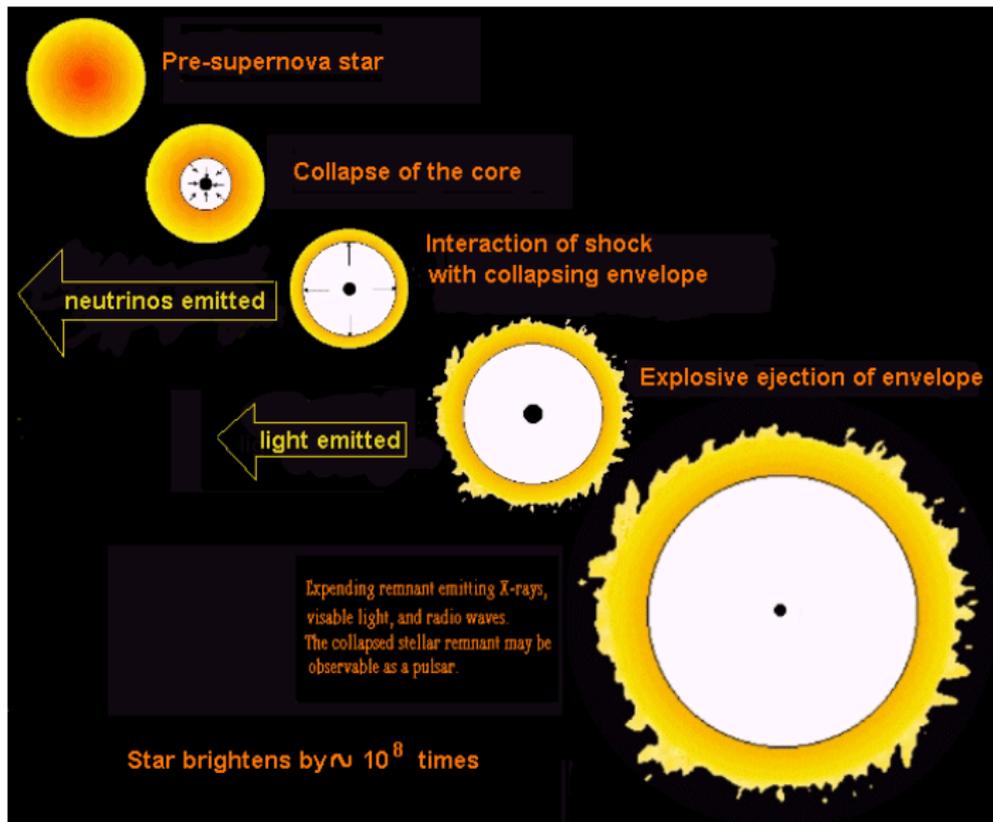
Atmospheric  
 $\checkmark$

Solar  $\checkmark$

Supernova  
Neutrinos

Supernova  $\checkmark$

Neutrino  
Mixing



# The Irvine-Michigan-Brookhaven (IMB) Detector

Neutrinos I

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Brookhaven  
National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

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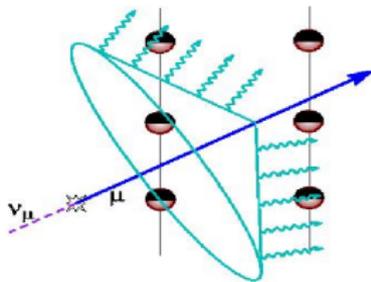
Solar  $\nu$

Supernova  
Neutrinos

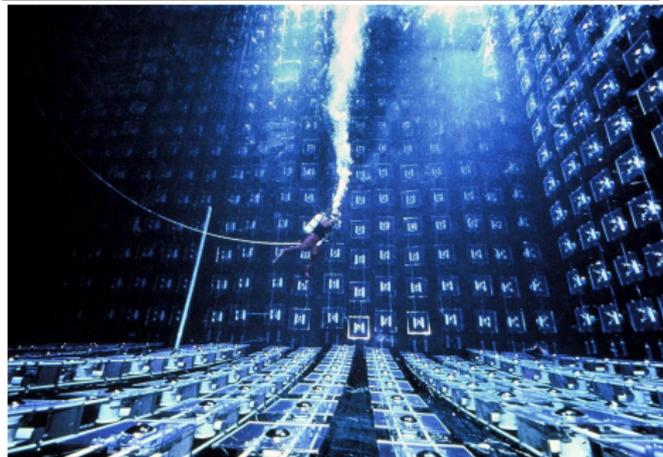
Supernova  $\nu$

Neutrino  
Mixing

**A relativistic charged particle going through water, produces a ring of light**



## The Irvine-Michigan-Brookhaven Detector



**IMB consisted of a roughly cubical tank about 17 17.5 23 meters, filled with 2.5 million gallons of ultrapure water in Morton Salt Fariport Mine, Ohio. Tank surrounded by 2,048 photomultiplier tubes. IMB detected fast moving particles produced by proton decay or neutrino interactions**

## Neutrinos I

Mary Bishai  
Brookhaven  
National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



**1987: Supernova in large Magellanic Cloud (168,000 light years)**

# IMB/Kamioka Detect First Supernova Neutrinos!

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Accelerator  $\nu$

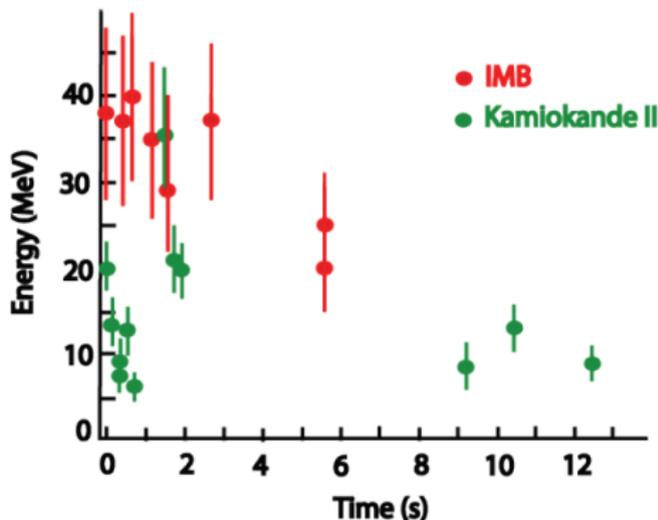
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



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Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

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Mixing

# NEUTRINO MIXING AND OSCILLATIONS

# Neutrinos from our Atmosphere: $\nu_\mu, \nu_e, \bar{\nu}$

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Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

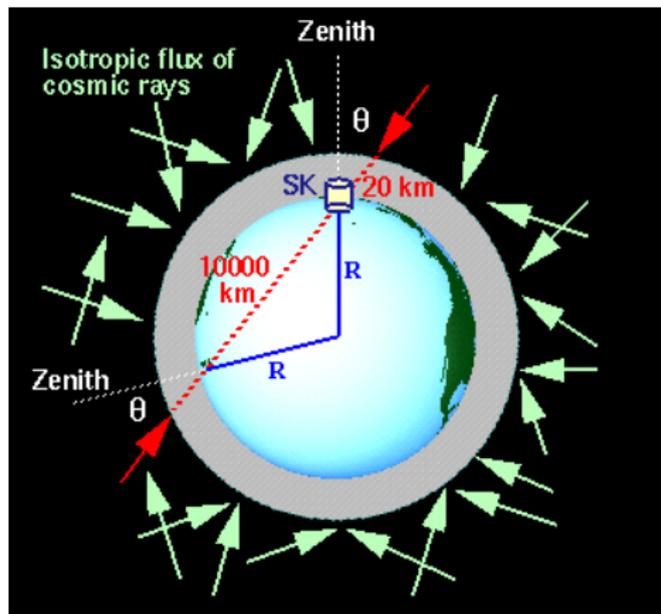
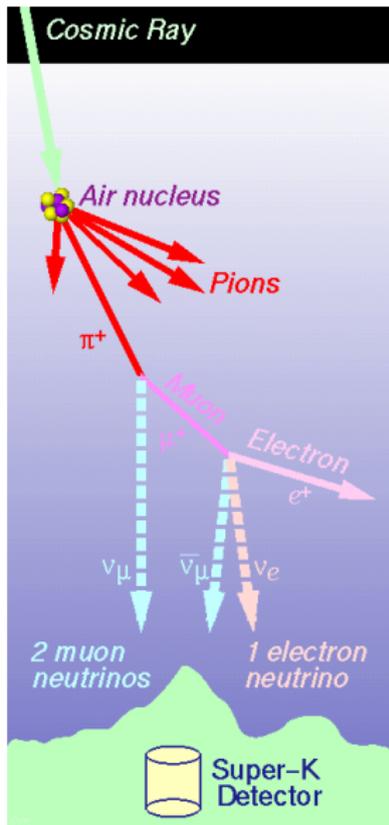
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



**L = 0 to 13,000 km**

# The Super-Kamiokande Experiment. Kamioka Mine, Japan

## Neutrinos I

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National  
Laboratory

Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

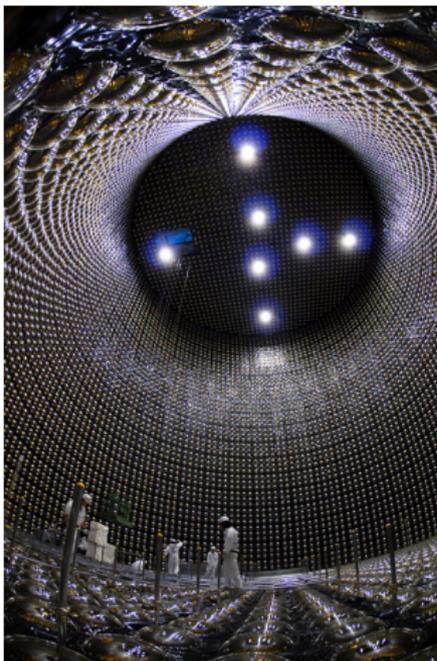
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

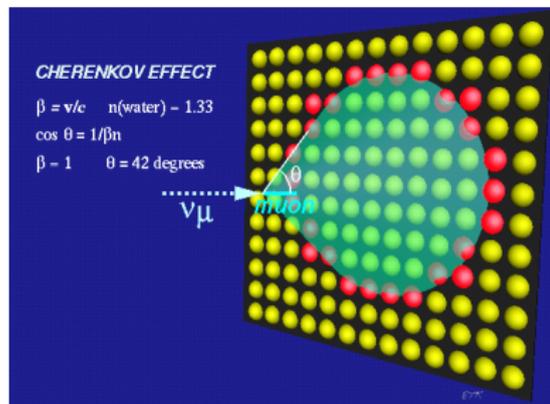
Supernova  $\nu$

Neutrino  
Mixing



**50kT double layered tank of ultra pure water** surrounded by 11,146 20" diameter photomultiplier tubes.

Neutrinos are identified by using CC interaction  $\nu_{\mu,e} \rightarrow e^{\pm}, \mu^{\pm} X$ . The lepton produces Cherenkov light as it goes through the detector:



# The Super-Kamiokande Experiment. Kamioka Mine, Japan

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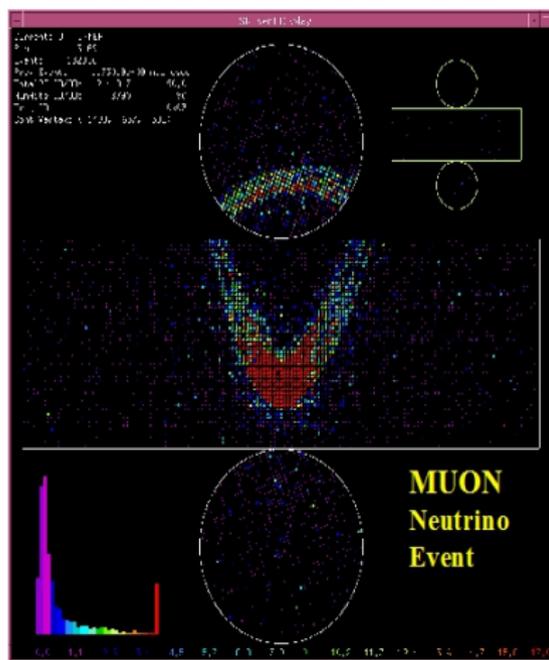
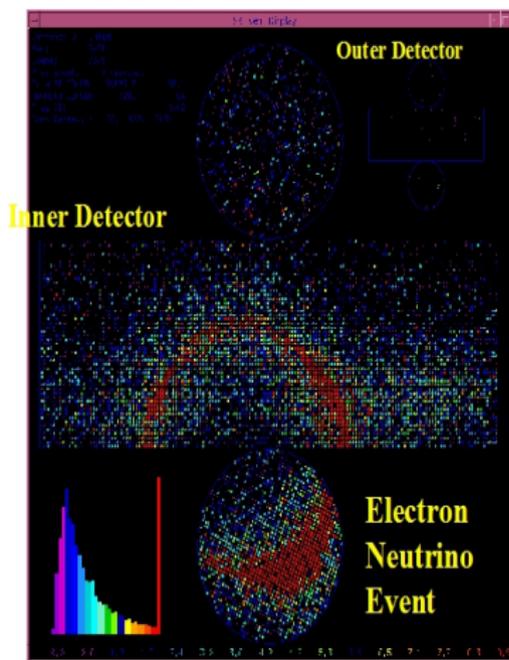
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



# More Disappearing Neutrinos!!

## Neutrinos I

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History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

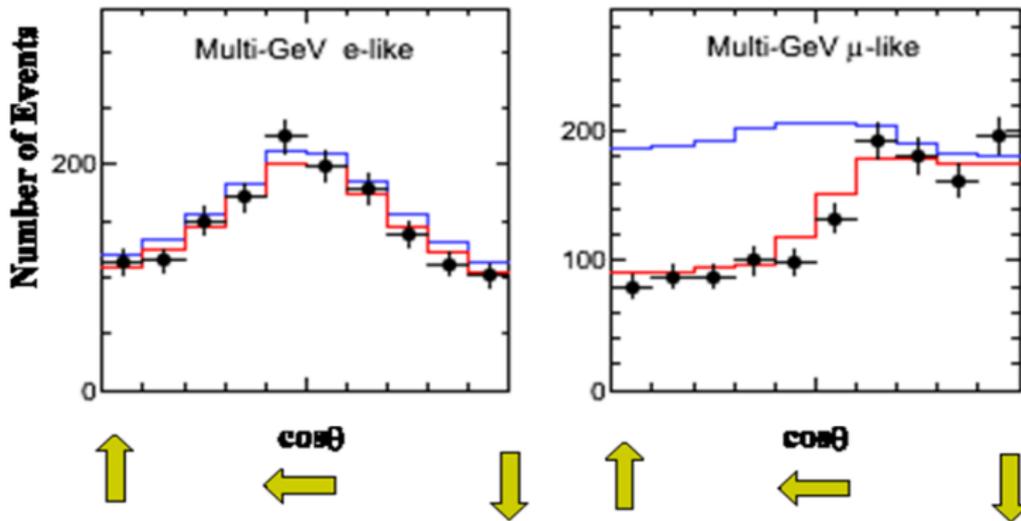
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



All the  $\nu_e$  are there! But what happened to the  $\nu_\mu$  ??

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Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

**1924:** **Louis-Victor-Pierre-Raymond, 7th duc de Broglie** proposes in his doctoral thesis that all matter has wave-like and particle-like properties.

For highly relativistic particles : energy  $\approx$  momentum



De Broglie

$$\text{Wavelength (nm)} \approx \frac{1.24 \times 10^{-6} \text{ GeV.nm}}{\text{Energy (GeV)}}$$

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Atmospheric  
 $\nu$

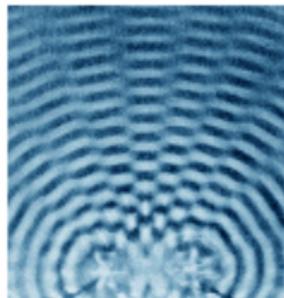
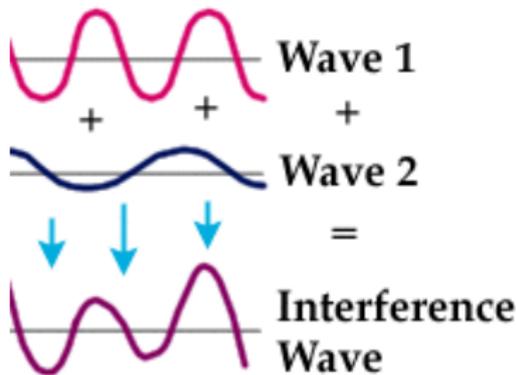
Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

**1957,1967: B. Pontecorvo proposes that neutrinos of a particular flavor are a mix of quantum states with different masses that propagate with different phases:**



The interference of water waves coming from two sources.

**The interference pattern depends on the difference in masses**

# Neutrino Mixing $\Rightarrow$ Oscillations

## Neutrinos I

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$$\begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = \begin{pmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$\nu_a(t) = \cos(\theta)\nu_1(t) + \sin(\theta)\nu_2(t)$$

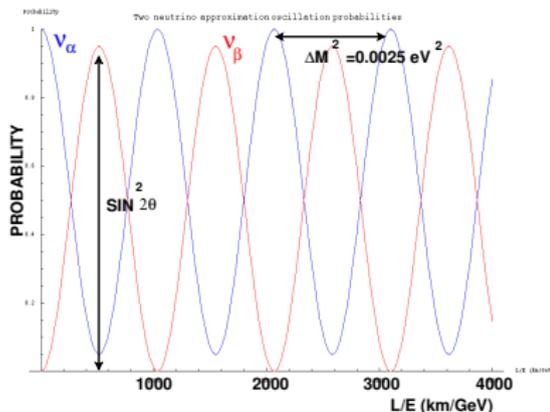
$$\begin{aligned} P(\nu_a \rightarrow \nu_b) &= |\langle \nu_b | \nu_a(t) \rangle|^2 \\ &= \sin^2(\theta) \cos^2(\theta) |e^{-iE_2 t} - e^{-iE_1 t}|^2 \end{aligned}$$

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2 \frac{1.27 \Delta m_{21}^2 L}{E}$$

where  $\Delta m_{21}^2 = (m_2^2 - m_1^2)$  in  $\text{eV}^2$ ,  
L (km) and E (GeV).

**Observation of oscillations**

**implies non-zero mass eigenstates**



Neutrino  
History

Reactor  
Neutrinos

Neutrinos  
from reactors

Accelerator  $\nu$

Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

# Two Different Mass Scales!

Neutrinos I

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History

Reactor  
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Neutrinos  
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Accelerator  $\nu$

Atmospheric  
 $\nu$

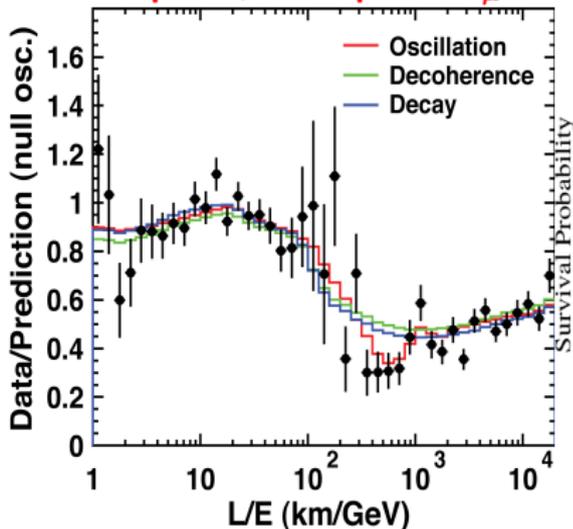
Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

**Super-K, atmospheric  $\nu_\mu$**



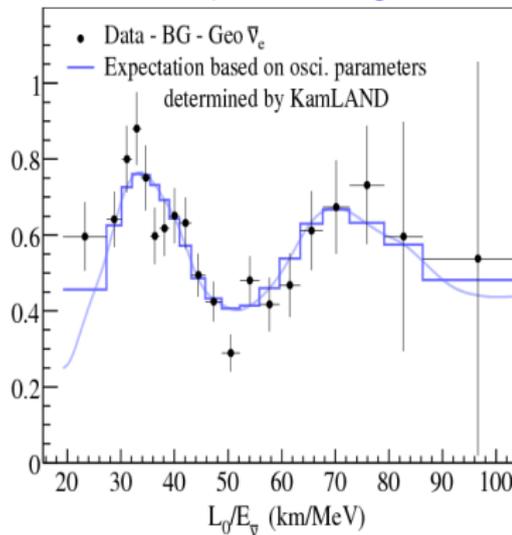
**Global fit 2013:**

$$\Delta m_{\text{atm}}^2 = 2.43_{-0.10}^{+0.06} \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{\text{atm}} = 0.386_{-0.21}^{+0.24}$$

**Atmospheric L/E  $\sim$  500 km/GeV**

**KamLAND, reactor  $\bar{\nu}_e$**



**Global fit 2013:**

$$\Delta m_{\text{solar}}^2 = 7.54_{-0.22}^{+0.26} \times 10^{-5} \text{ eV}^2$$

$$\sin^2 \theta_{\text{solar}} = 0.307_{-0.16}^{+0.18}$$

**Solar L/E  $\sim$  15,000 km/GeV**

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Neutrinos  
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Accelerator ✓

Atmospheric  
✓

Solar ✓

Supernova  
Neutrinos

Supernova ✓

Neutrino  
Mixing



**Takaaki Kajita**  
University of Tokyo, Japan  
(SuperKamiokande)



**Arthur B. MacDonald**  
Queens University, Canada  
(SNO)

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*

# The Implications of 3-Neutrino Mixing

**We know now of 3 flavours of neutrinos:** The 3 flavour PMNS mixing matrix was developed in 1962 by Maki-Nakagawa-Sakata based on Pontecorvo's earlier work:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}}_{U_{\text{PMNS}}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Commonly parameterized as  $U_{\text{PMNS}} =$

$$\underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\nu_\mu \text{ disappearance}} \underbrace{\begin{pmatrix} c_{13} & 0 & e^{i\delta_{\text{CP}}} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{\text{CP}}} s_{13} & 0 & c_{13} \end{pmatrix}}_{\nu_\mu \rightarrow \nu_e, \text{ reactor } \bar{\nu}_e \text{ disappear}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{solar } \nu_e, \bar{\nu}_e \text{ disappear}}$$

where  $c_{ij} = \cos \theta_{ij}$  and  $s_{ij} = \sin \theta_{ij}$ .

$\sin^2 \theta_{13}$ : Amount of  $\nu_e$  in  $\nu_3$

$\tan^2 \theta_{23}$ : Ratio of  $\frac{\nu_\mu}{\nu_\tau}$  in  $\nu_3$

$\tan^2 \theta_{12}$ :  $\frac{\text{Amount of } \nu_e \text{ in } \nu_2}{\text{Amount of } \nu_e \text{ in } \nu_1}$

There are 3 quantum states mixing  $\Rightarrow$  there is an overall phase:  $\delta_{\text{CP}}$ .

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Accelerator  $\nu$

Atmospheric  
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Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing

# Neutrino Mixing: 3 flavors, 3 amplitudes, 2 mass scales, 1 phase

## Neutrinos I

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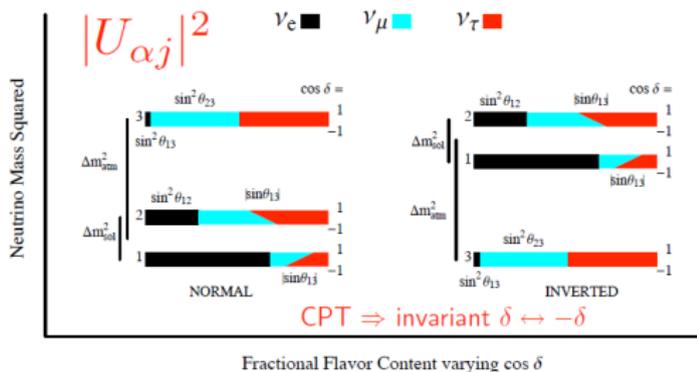
Atmospheric  
 $\nu$

Solar  $\nu$

Supernova  
Neutrinos

Supernova  $\nu$

Neutrino  
Mixing



- What is the neutrino mass hierarchy? ( $\delta m_{31}^2 \equiv m_3^2 - m_1^2 > 0$ )
- Is  $\nu_3$  mostly  $\nu_\mu$  or  $\nu_\tau$ ? ( $\theta_{23} < \pi/4$  or  $> \pi/4$ )
- Is CP Violated in Neutrino Oscillations? ( $\delta \neq 0, \pi$ )

# Charge-Parity Symmetry

**Charge-parity symmetry:** laws of physics are the same if a particle is interchanged with its anti-particle and left and right are swapped.

**A violation of CP  $\Rightarrow$  matter/anti-matter asymmetry.**



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Neutrinos

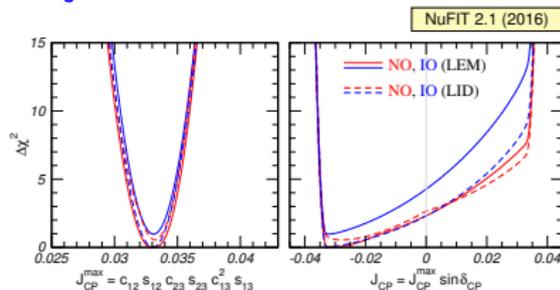
Supernova  $\nu$

Neutrino  
Mixing

# CP Violation in PMNS (leptons) and CKM (quarks)

In 3-flavor mixing the degree of CP violation is determined by the Jarlskog invariant:

$$J_{CP}^{PMNS} \equiv \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \sin \delta_{CP}.$$



(JHEP 11 (2014) 052, arXiv:1409.5439)

Given the current best-fit values of the  $\nu$  mixing angles :

$$J_{CP}^{PMNS} \approx 3 \times 10^{-2} \sin \delta_{CP}.$$

For CKM (mixing among the 3 quark generations):

$$J_{CP}^{CKM} \approx 3 \times 10^{-5},$$

despite the large value of  $\delta_{CP}^{CKM} \approx 70^\circ$ .

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Supernova  $\nu$

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Neutrinos

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# NEUTRINOS II: $\nu$ EXPERIMENTS OF THE 21<sup>st</sup> CENTURY