

# About the shape of the Photon Transfer Curve of CCD sensors

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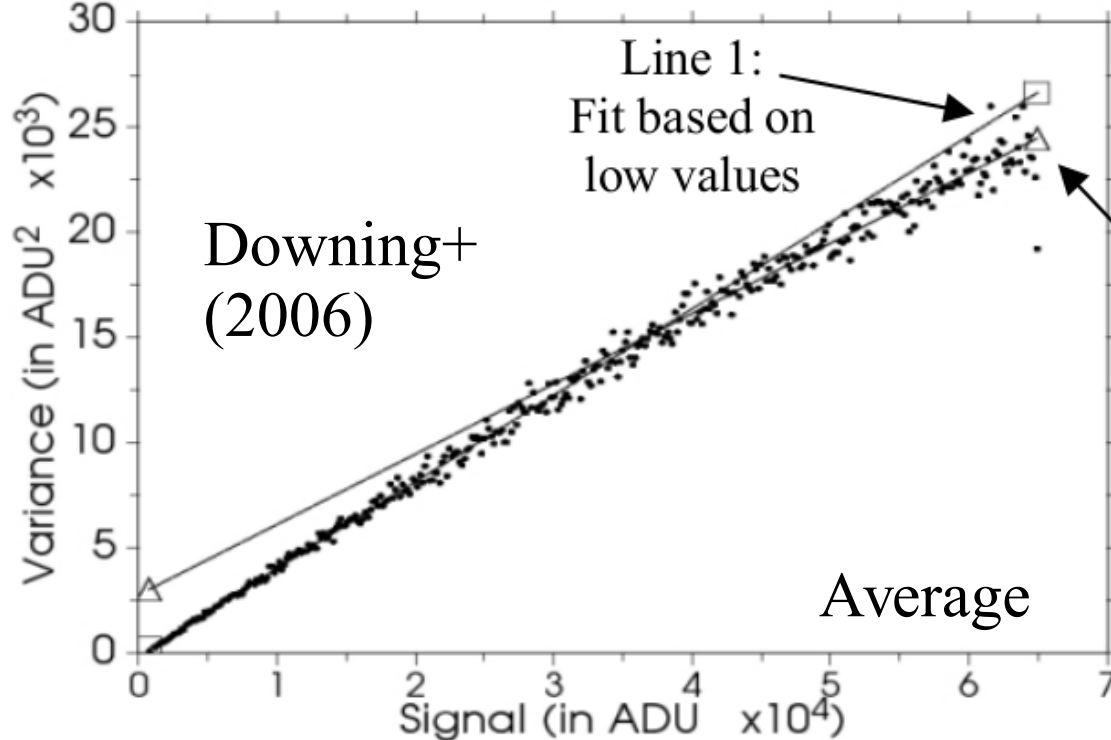
(LPNHE/IN2P3/CNRS,  
Sorbonne Université, Paris)



ISPA@Caltech (3-4 Dec 2018)

# The PTC shape

Variance



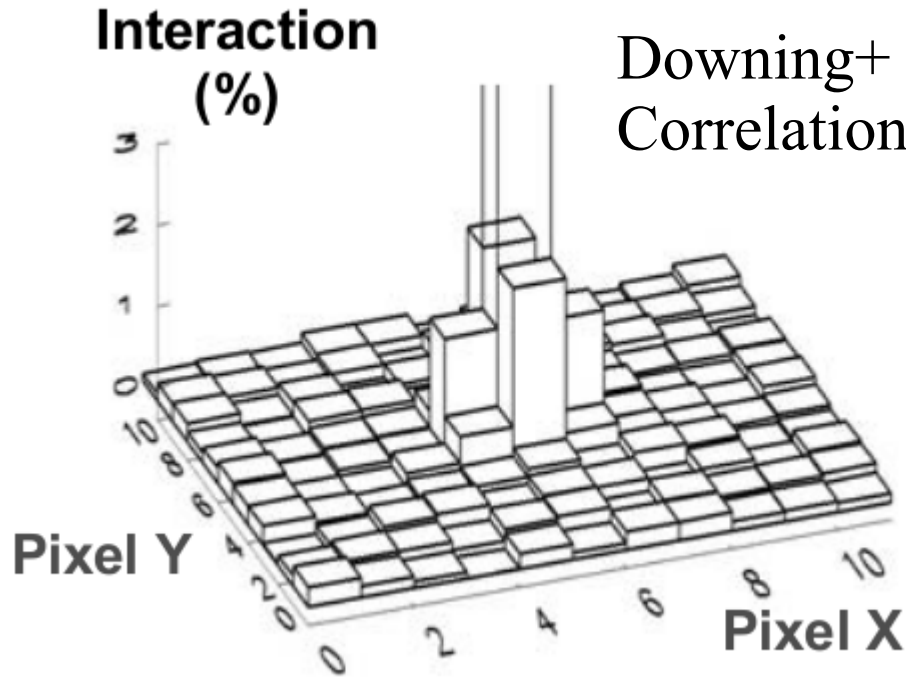
e2v CCD44-82

Line 2:  
Fit based on  
high values

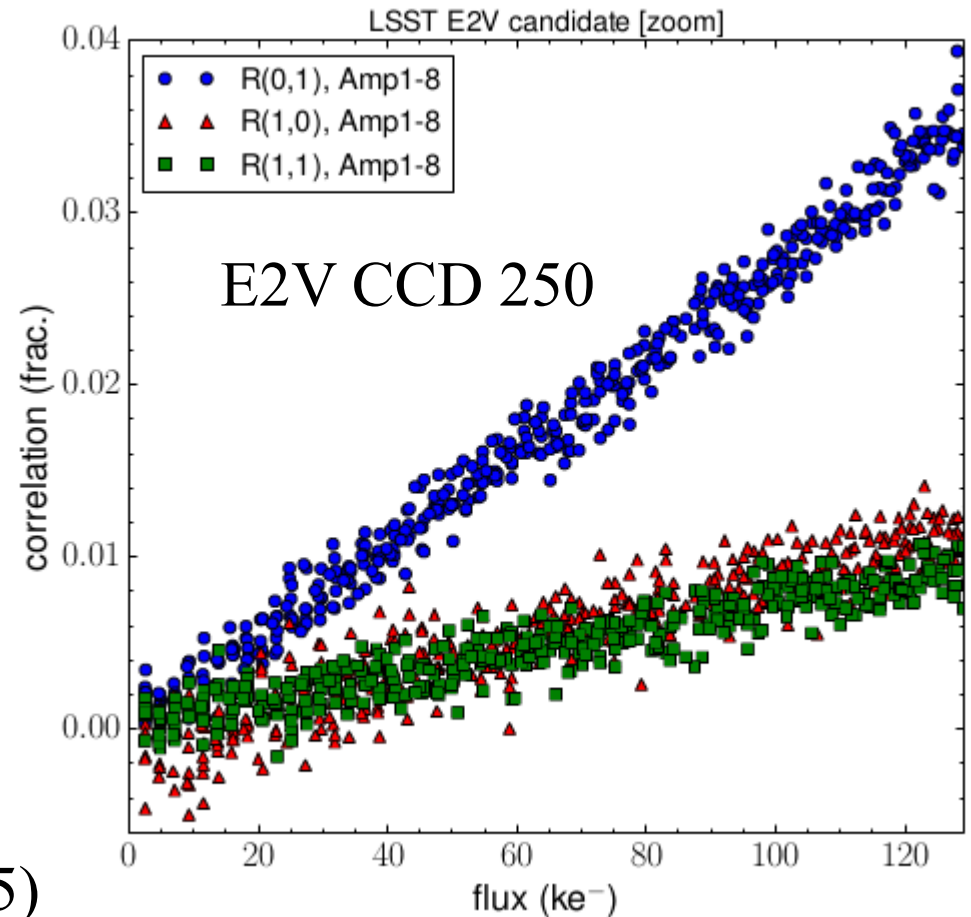
The variance of flat fields  
is **not** proportional to their  
average

- Not due to non-linearity of the video chain
- Present on all tested sensors
- Associated to covariances of neighboring pixels

# Covariances/Correlations



Correlations increase roughly linearly, and can reach a few %.



Guyonnet+(2015)

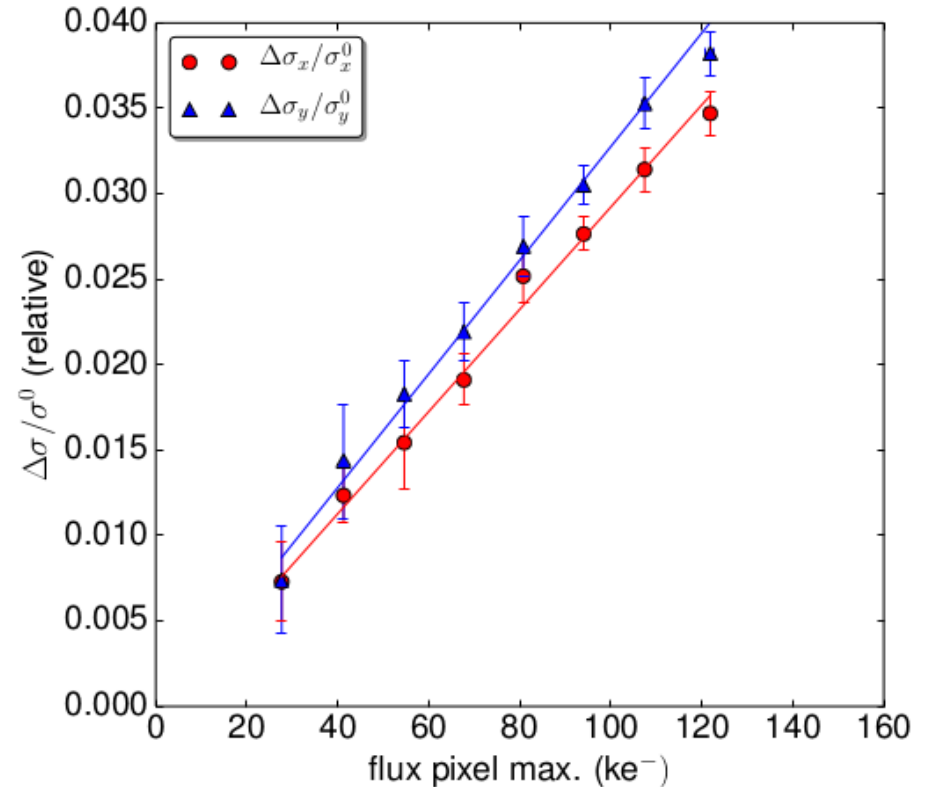
# Interpretation

- For variances not to add up, incoming charges have to be sensitive to what happened earlier.
- Electrostatic forces can do that
- They can also perturb “structured” images

# Brighter Fatter

Spot sizes increase with total (or peak) flux. In an anisotropic way.

The size of the effect varies with chip type and operating voltages



(a) LSST - E2V 250 - Spots 550 nm



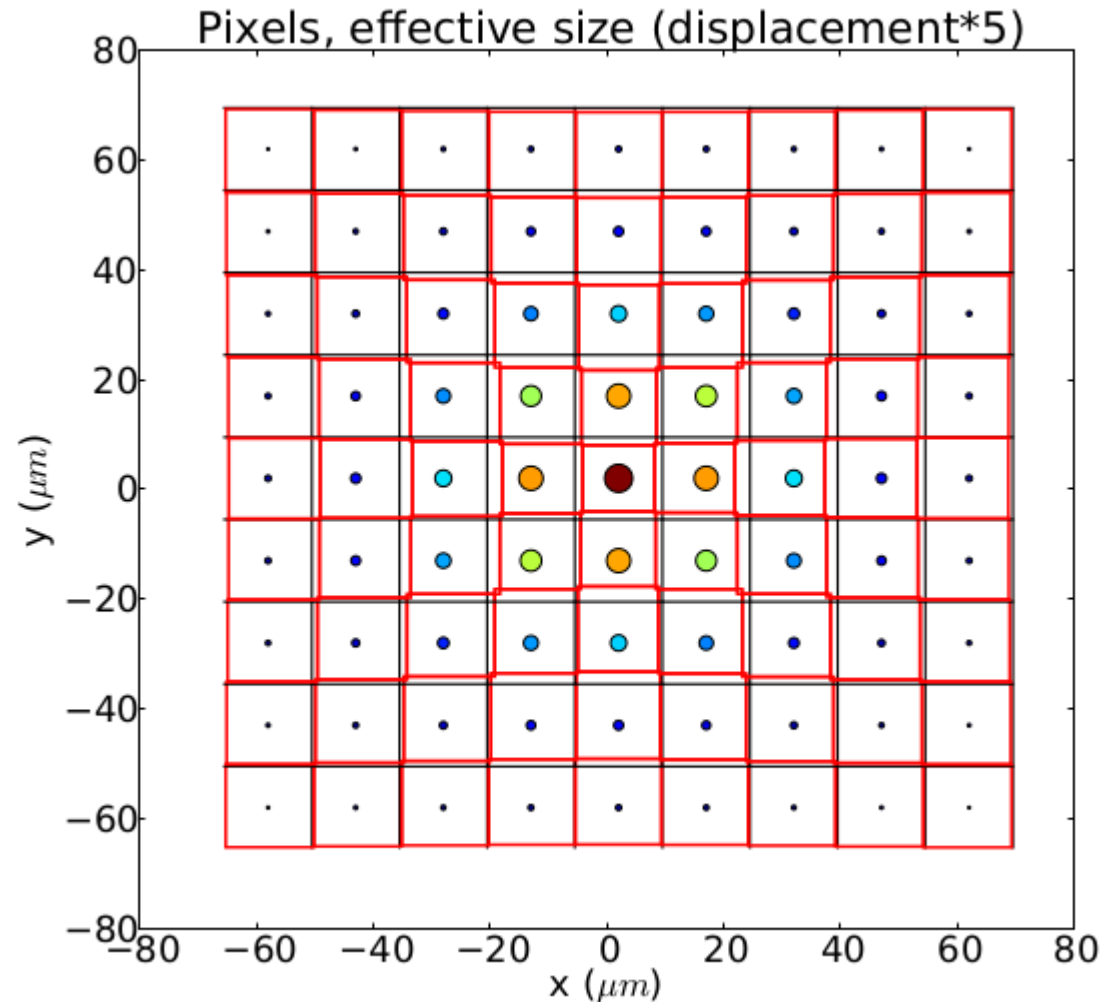
(a) 200-s exposures (b) 20-s exposures (c) subtraction (a)-(b)

Guyonnet+ (2015)

# Star shapes do not evolve with flux, but pixel shapes do

Gaussian star  
Rms = 1.6 pixel  
Peak = 100 ke

(Guyonnet+ 2015)



# Summary of evidences

- The size of the effects (BF & flat field correlations) is compatible with electrostatic effects within the sensor (Laige+17)
- The chromaticity of the effects is weak if not undetectable
- Flat-field correlations are roughly linear with flux
- PTC is essentially never linear.

# BF Correction or handling schemes

- Measure correlations/covariances
- Constrain some (crude) model of electrostatic influences
- Compute how much charge was deflected and put it back where it belongs:
  - Guyonnet et al (2015)
  - Gruen et al (2015)
  - Coulton et al (2018)



# Limitations

- All approaches assume that pixel boundary shifts are proportional to source charges.
  - This is just an hypothesis, visit Andy's poster.
- All approaches assume that the slope of correlations encodes the relative change of pixel area
  - This is just Taylor
- Covariances are tricky to measure, and polluted by extra contributions...
  - To be detected and removed

# Dynamics (in flat fields)

- Incoming currents are affected by stored charges

$$\dot{Q}_{00} = I \left[ 1 + \sum_{kl} a_{kl} Q_{kl} \right]$$

Average current  
per pixel

By how much a stored charge  
alters a pixel area (at lag  $k,l$ ).

- There is here a linearity hypothesis : pixel boundaries shift by amounts proportional to the cause (the stored charge).

# From interaction to covariances

$$\dot{Q}_{00} = I \left[ 1 + \sum_{kl} a_{kl} Q_{kl} \right]$$

$$\sum_{kl} a_{kl} = 0$$

Charge conservation.  
Sum runs over positive  
and negative lags

Time evolution of covariances :

$$\dot{C}_{ij} = \delta_{i0} \delta_{j0} V_I + 2I \sum_{kl} a_{kl} C_{i-k, j-l}$$

↑  
Poisson variance per unit time

For  $a = 0$ , we get Poisson:  $C_{00}(t) = V_I t$

# Solution of the differential equation (1)

$$\dot{C}_{ij} = \delta_{i0}\delta_{j0}V_I + 2I \sum_{kl} a_{kl}C_{i-k,j-l}$$

$$\dot{\mathbf{C}} = \delta V_I + 2I\mathbf{C} \otimes \mathbf{a}$$

Fourier space

$$\tilde{\mathbf{C}} = V_I + 2I\tilde{\mathbf{a}}\tilde{\mathbf{C}}$$

Solution

$$\tilde{\mathbf{C}}(t) = \frac{V_I}{2I\tilde{\mathbf{a}}} [e^{2I\tilde{\mathbf{a}}t} - 1]$$

Taylor

$$\tilde{\mathbf{C}}(t) = V_I t \left[ 1 + I\tilde{\mathbf{a}}t + \frac{2}{3}(I\tilde{\mathbf{a}}t)^2 + \frac{1}{3}(I\tilde{\mathbf{a}}t)^3 + \dots \right] \quad \mu \equiv It$$

$$\tilde{\mathbf{C}}(\mu) = V \left[ 1 + \tilde{\mathbf{a}}\mu + \frac{2}{3}(\tilde{\mathbf{a}}\mu)^2 + \frac{1}{3}(\tilde{\mathbf{a}}\mu)^3 + \dots \right] \quad V \equiv V_I t$$

# Solution of the differential equation (2)

$$\dot{C}_{ij} = \delta_{i0}\delta_{j0}V_I + 2I \sum_{kl} a_{kl}C_{i-k,j-l}$$

Taylor

$$\tilde{C}(t) = V_I t \left[ 1 + I\tilde{a}t + \frac{2}{3}(I\tilde{a}t)^2 + \frac{1}{3}(I\tilde{a}t)^3 + \dots \right]$$

$$\tilde{C}(\mu) = V \left[ 1 + \tilde{a}\mu + \frac{2}{3}(\tilde{a}\mu)^2 + \frac{1}{3}(\tilde{a}\mu)^3 + \dots \right]$$

$$\mu \equiv It$$

$$V \equiv V_I t$$

Direct  
space

$$C(\mu) = V \left[ \delta_{i0}\delta_{j0} + a\mu + \frac{2}{3}TF^{-1}[(\tilde{a})^2]\mu^2 + \dots \right]$$

Noise  
terms



$$C_{ij}(\mu) = \frac{\mu}{g} \left[ \delta_{i0}\delta_{j0} + a_{ij}\mu + \frac{2}{3}[a \otimes a]_{ij}\mu^2 + \frac{1}{3}[a \otimes a \otimes a]_{ij}\mu^3 + \dots \right] + n_{ij}/g^2$$

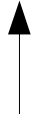
# Solution

$$C_{ij}(\mu) = \frac{\mu}{g} \left[ \delta_{i0}\delta_{j0} + a_{ij}\mu + \frac{2}{3}[a \otimes a]_{ij}\mu^2 + \frac{1}{3}[a \otimes a \otimes a]_{ij}\mu^3 + \dots \right] + n_{ij}/g^2$$

- Beyond second order, all curves are “mixed” (in direct space): every lag involves all “a” values.
- For the PTC a fair approximation is that  $a_{00}$  dominates (and is negative) and :

$$C_{00} = \frac{1}{2g^2 a_{00}} [\exp(2a_{00}\mu g) - 1] + n_{00}/g^2$$

# Questioning the linearity assumption

$$\dot{Q}_{00} = I \left( 1 + \sum_{kl} a_{kl} (1 + b_{kl} * I * t) Q_{kl} \right)$$


Linearity violation  
“Next to Leading Order” terms



$$C_{ij}(\mu) = \frac{\mu}{g} [\delta_{i0} \delta_{j0} + a_{ij} \mu + \frac{2}{3} [a \otimes a + ab]_{ij} \mu^2 + \frac{1}{6} (2a \otimes a \otimes a + 5a \otimes ab)_{ij} \mu^3 + \dots] + n_{ij} / g^2$$

# Poisson's revenge

$$\dot{C}_{ij} = \delta_{i0}\delta_{j0}V_I + 2I \sum_{kl} a_{kl} C_{i-k,j-l}$$

Sum rule :  $\sum_{kl} a_{kl} = 0$

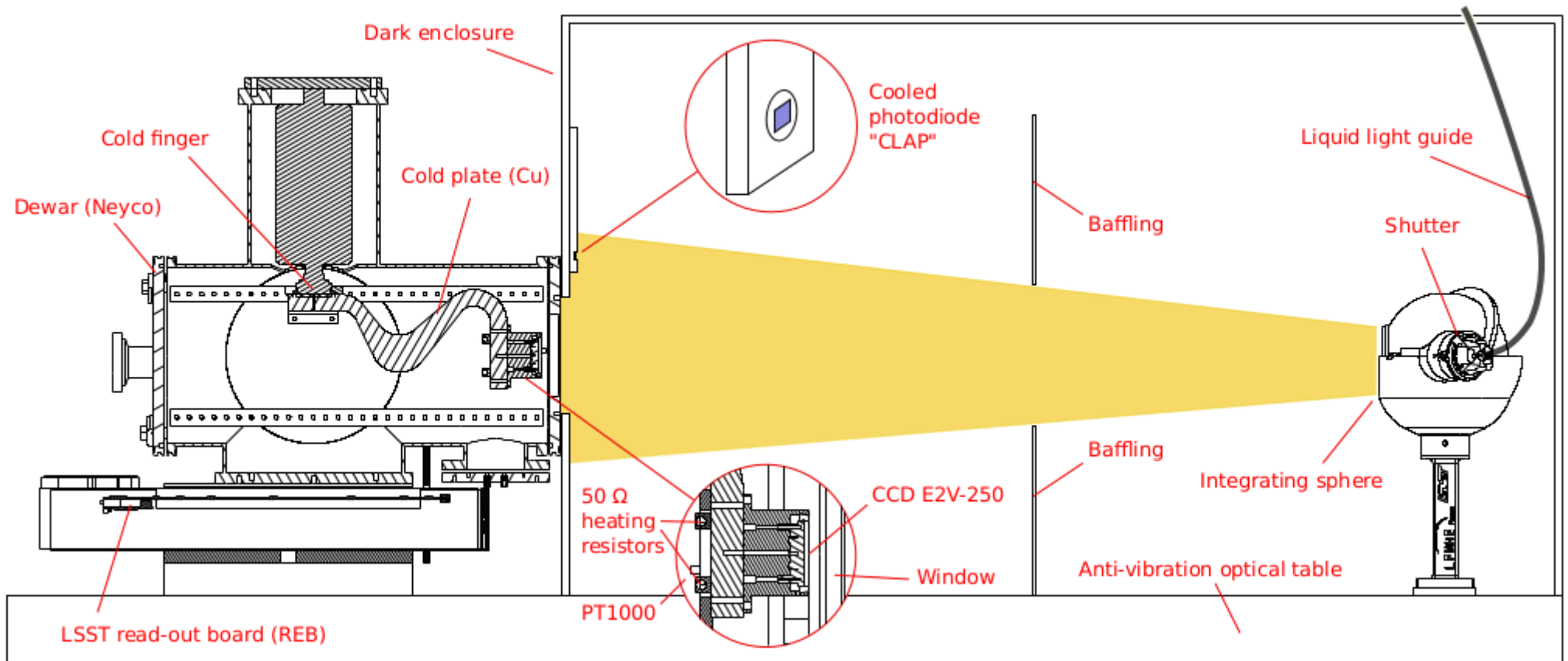
$$\begin{aligned} \sum_{ij} \dot{C}_{ij} &= V_I + 2I \sum_{ij} \sum_{kl} a_{kl} C_{i-k,j-l} \\ &= V_I + 2I \sum_{ij} a_{ij} \sum_{kl} C_{kl} \\ &= V_I \end{aligned}$$

If one sums variance and covariances, the Poisson behavior is recovered.



# Data Analysis (E2V CCD 250)

- 1000 flat fields pairs at  $0 < \mu < 10^5$  electrons

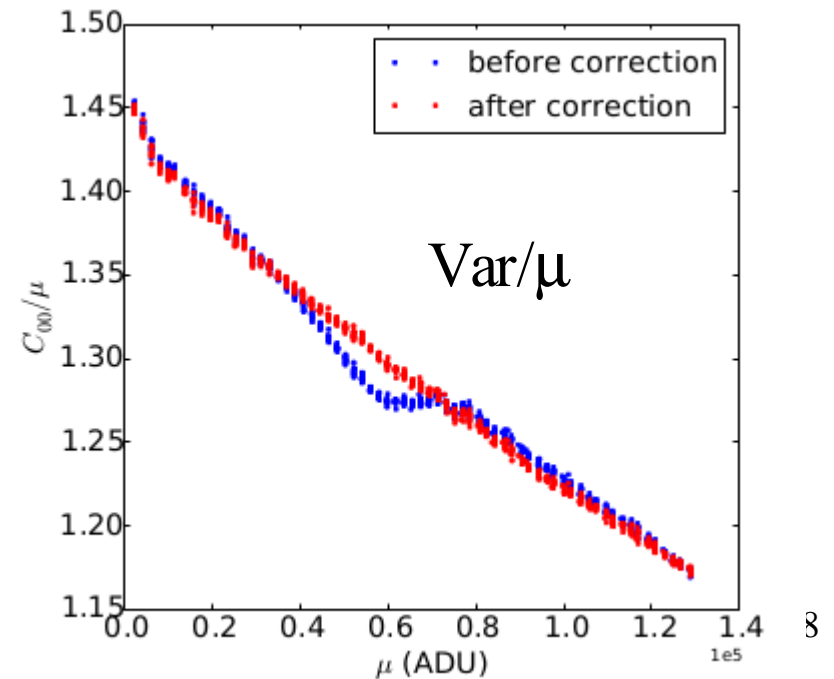
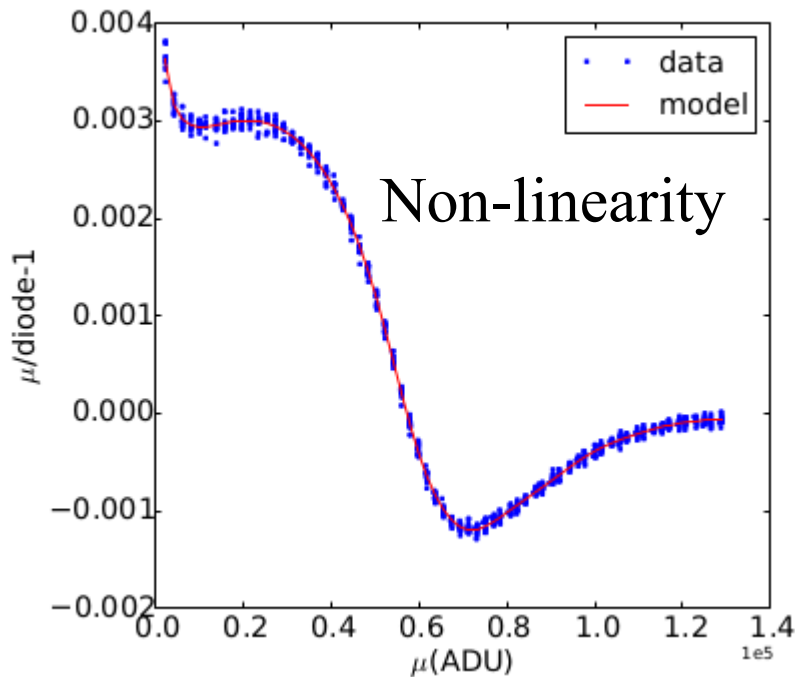
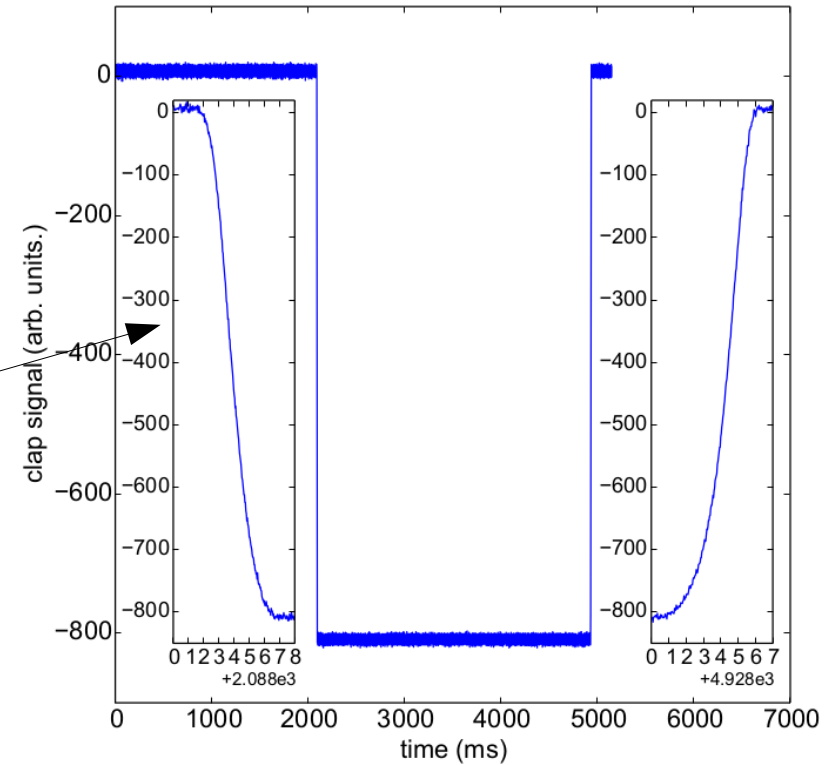


We first have to correct for: non-linearity & deferred charges

# Non-linearity

The light received by the CCD is measured using an “amplified” photo-diode

We tune the integrated charge by varying the open-shutter time



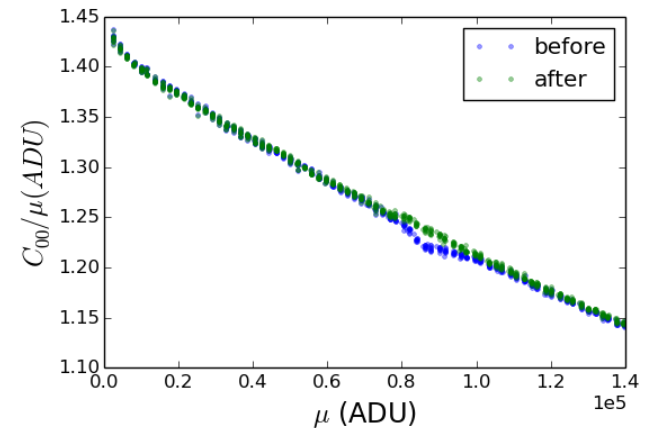
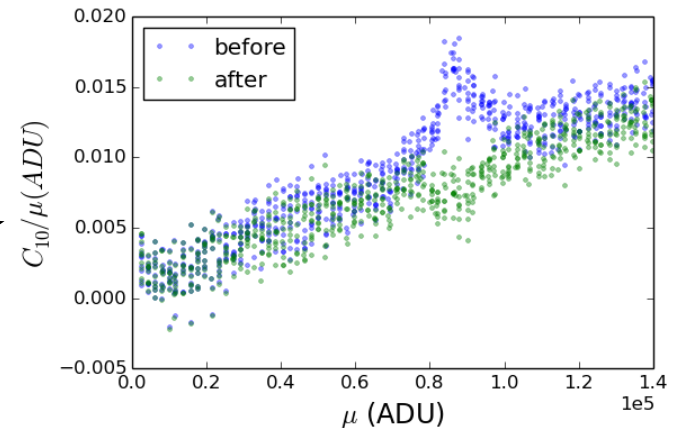
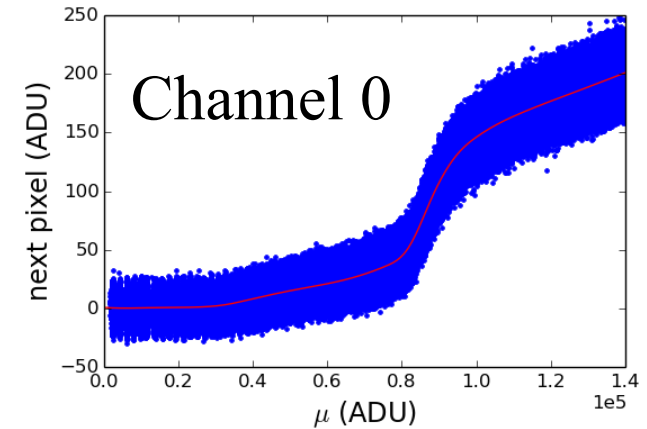
# Deferred charge correction

First serial overscan pixel

$C_{10}/\mu$  : nearest serial neighbor covariance

Variance/ $\mu$

- Different for each video channel
- Small over-correction (?)
- Reduces the correlation slope ( $\sim a_{10}$ ) by  $\sim 10\%$  (for this channel)



# Fit results : PTC

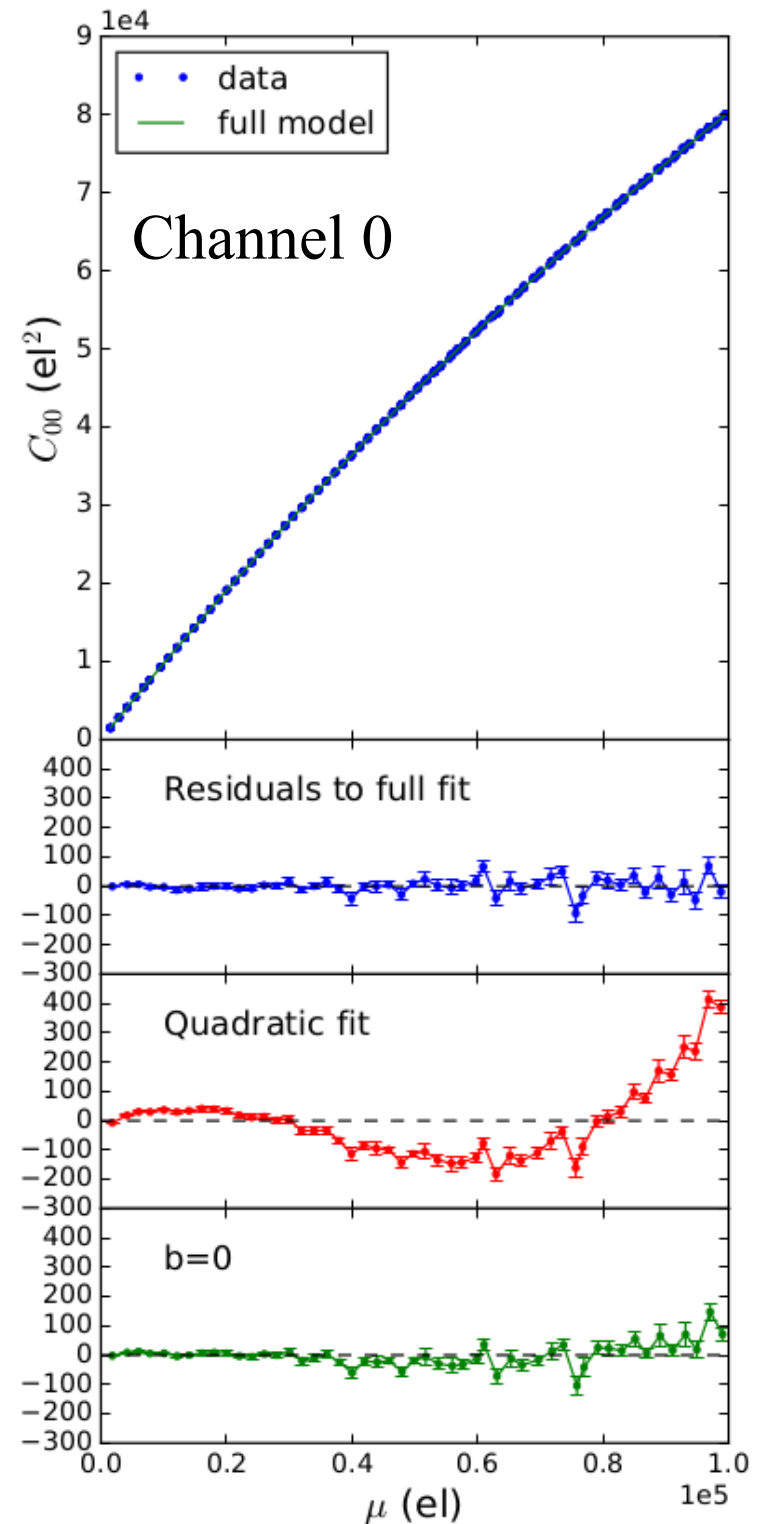
$$C_{ij}(\mu) = \frac{\mu}{g} [\delta_{i0}\delta_{j0} + a_{ij}\mu + \frac{2}{3}[a \otimes a + ab]_{ij}\mu^2 + \frac{1}{6}(2a \otimes a \otimes a + 5a \otimes ab)_{ij}\mu^3 + \dots] + n_{ij}/g^2$$

16 channel,  
8x8  $a_{ij}$  & 8x8  $b_{ij}$

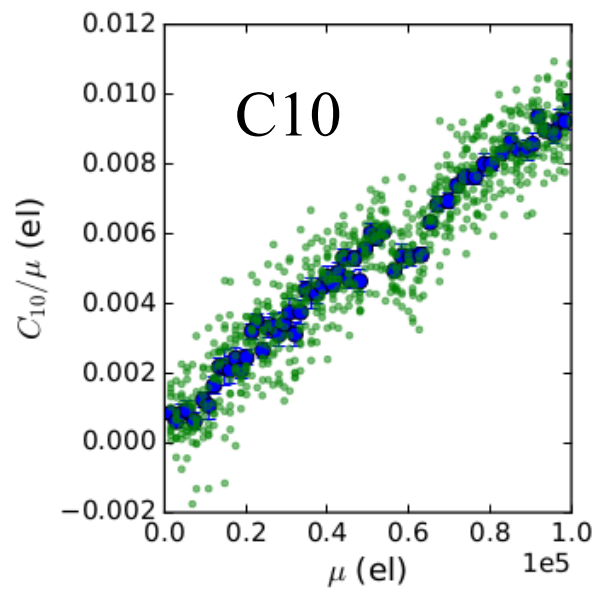
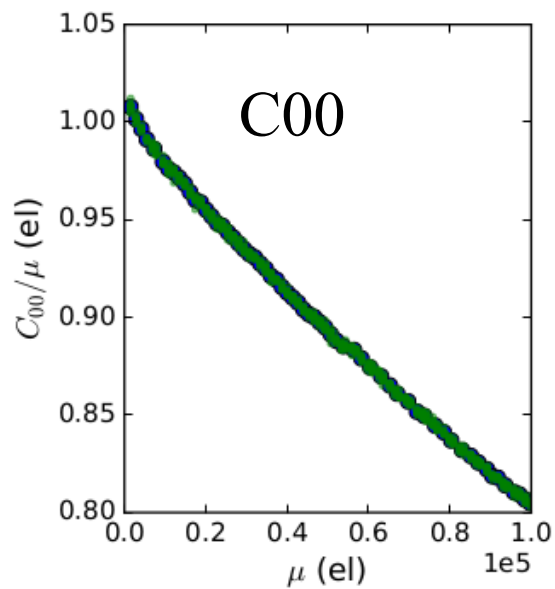
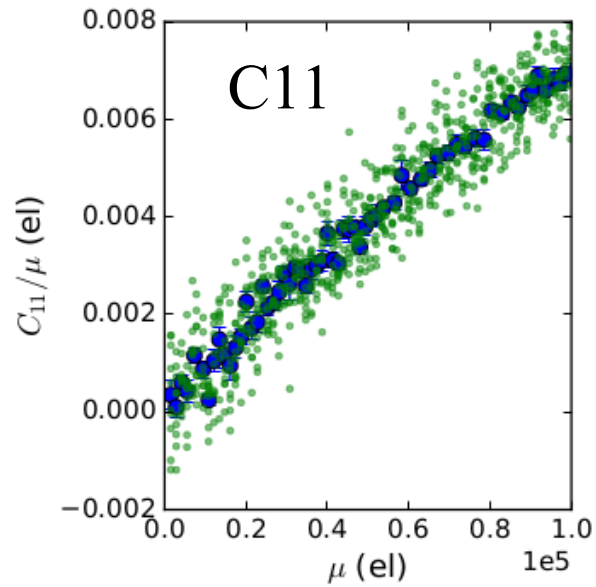
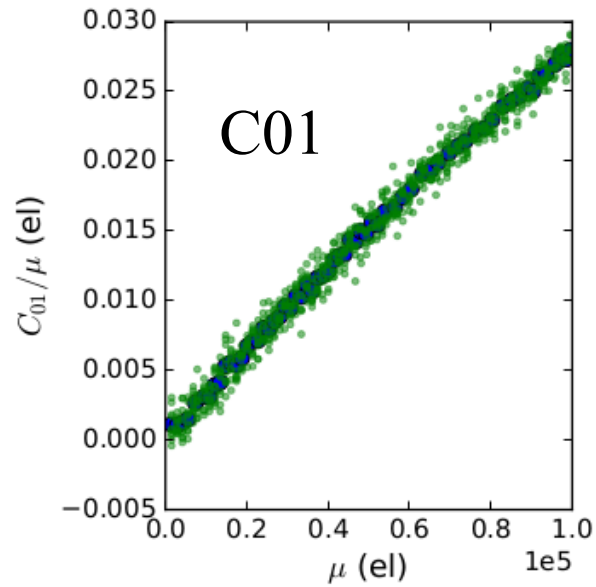
Full fit (a and b) →

All channels :

	$\chi_{full}^2/N_{dof}$	$\chi_2^2/N_{dof}$	gain	$a_{00}$	RO noise
value	1.23	4.04	0.713	$-2.376 \cdot 10^{-6}$	4.54
scatter	0.10	0.27	0.020	$0.032 \cdot 10^{-6}$	0.43



# Covariances



# C01

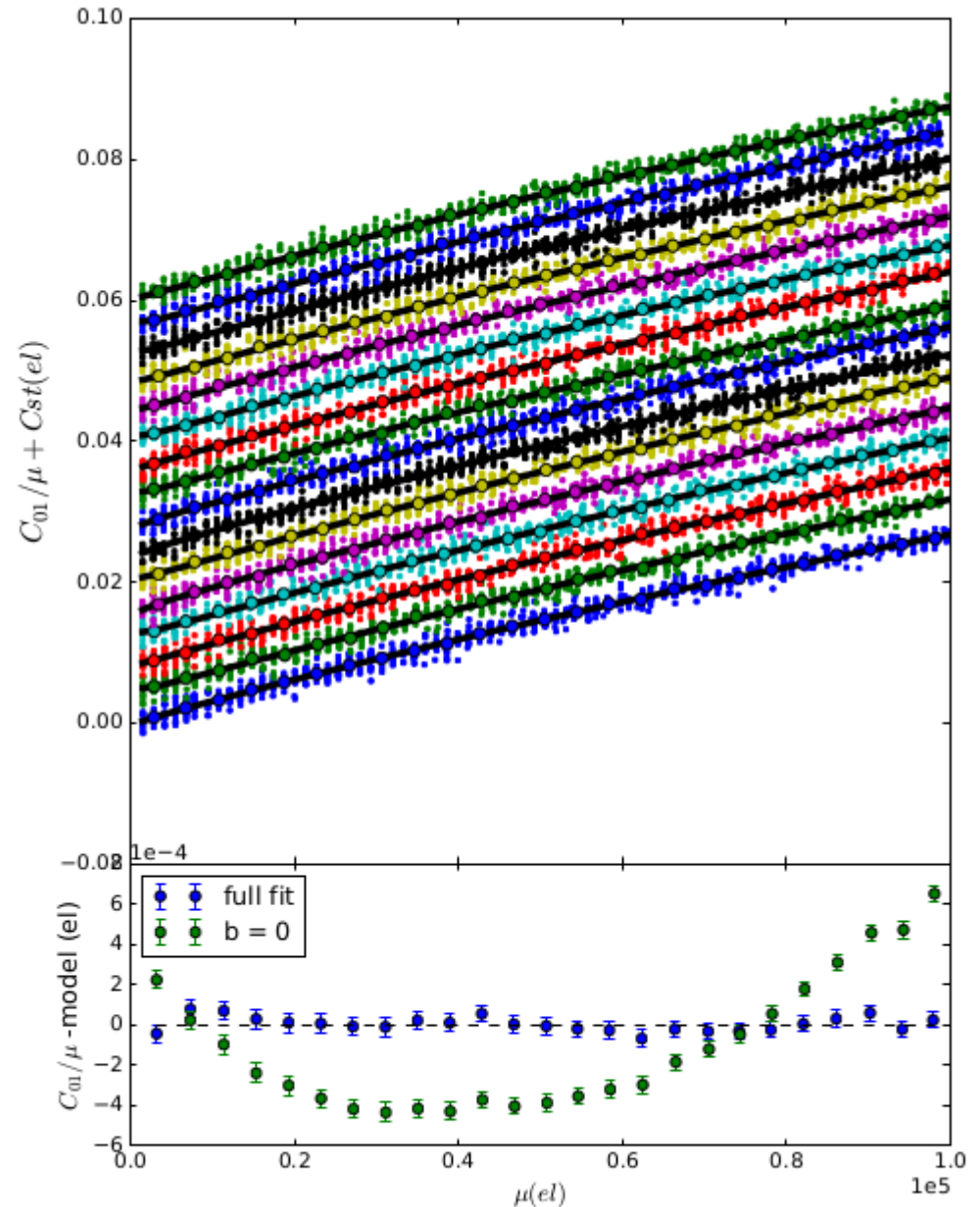
- The curvature of  $C_{01}/\mu$  can be seen by eye
- $b=0$  is highly disfavored

	$a_{01}$	$b_{01}$	$\chi^2/N_{dof}$
value	3.32e-07	1.71e-06	1.03
scatter	5.87e-09	2.87e-07	0.05

Scatter is twice as much as expected from shot noise

Good fits

C01+ Cst for the 16 channels

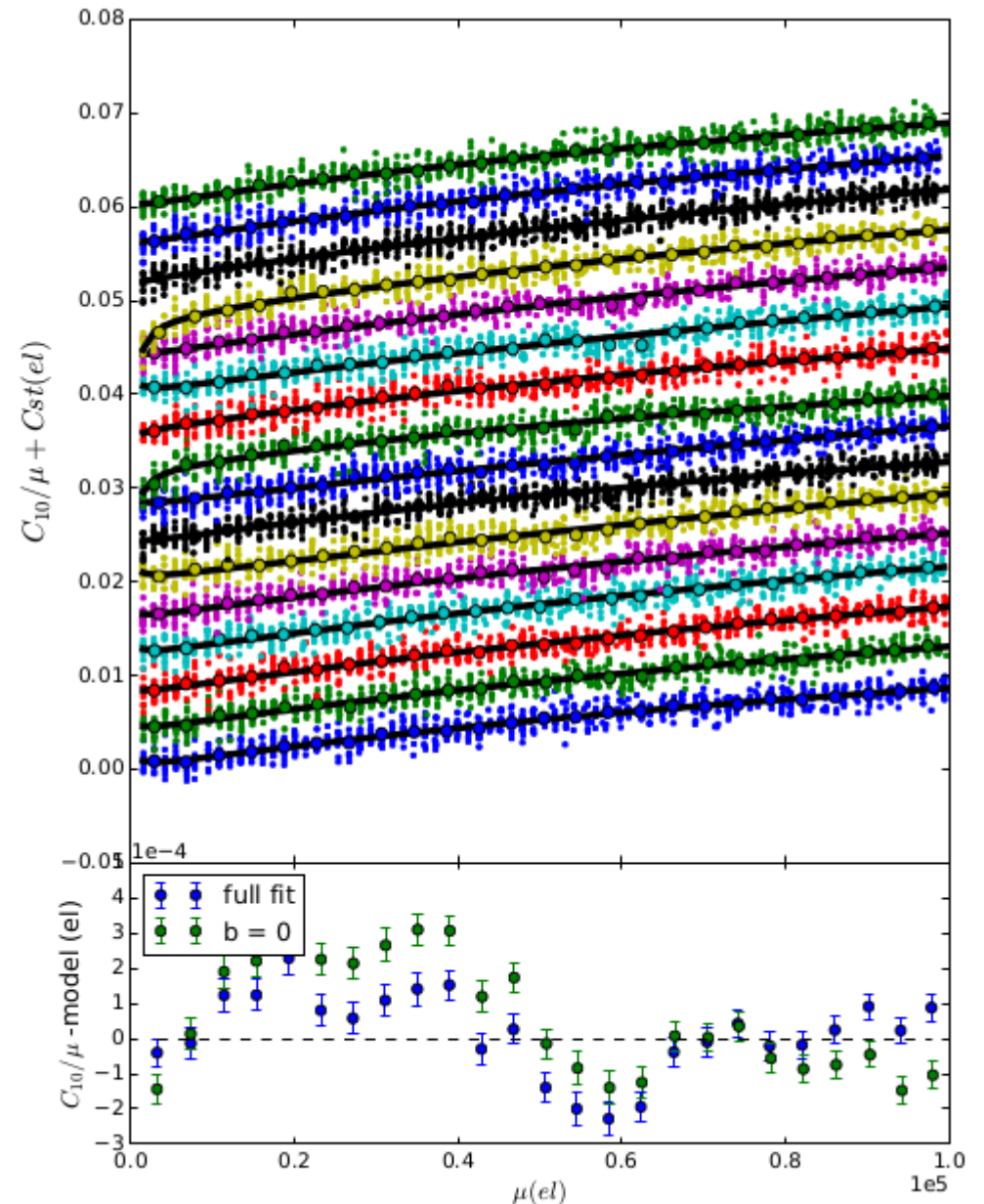


# C10

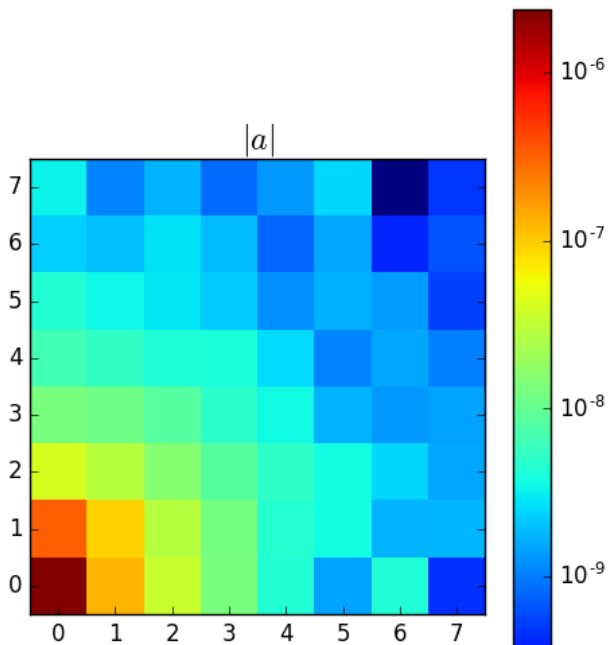
- Noisier than C01
- Much more scatter that seems real
- $b = 0$  still disfavored but much less striking

	$a_{10}$	$b_{10}$	$\chi^2/N_{dof}$
value	$1.26 \cdot 10^{-7}$	$-1.77 \cdot 10^{-6}$	1.03
scatter	$0.08 \cdot 10^{-6}$	$0.97 \cdot 10^{-6}$	0.07

C10+ Cst for the 16 channels

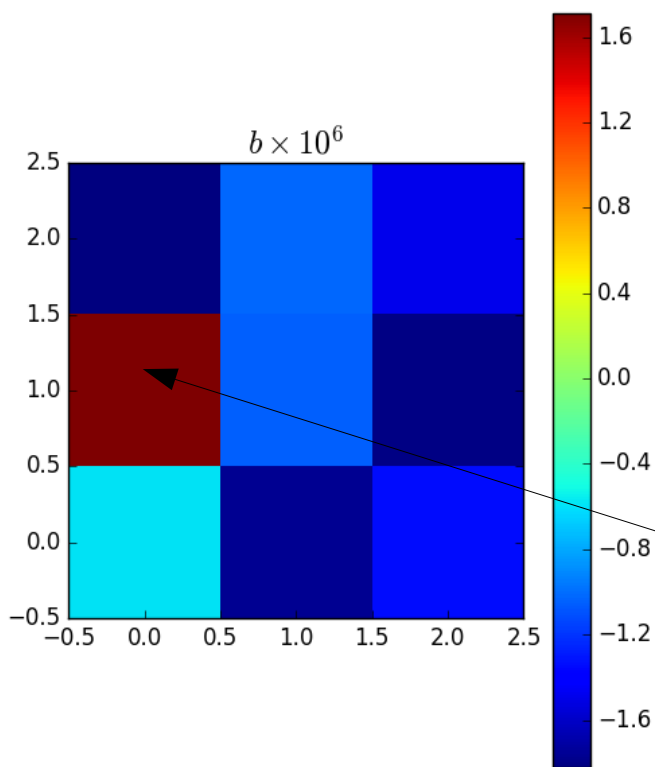


# Fit results



Expressed  
in  $\text{el}^{-1}$

Average over  
16 channels

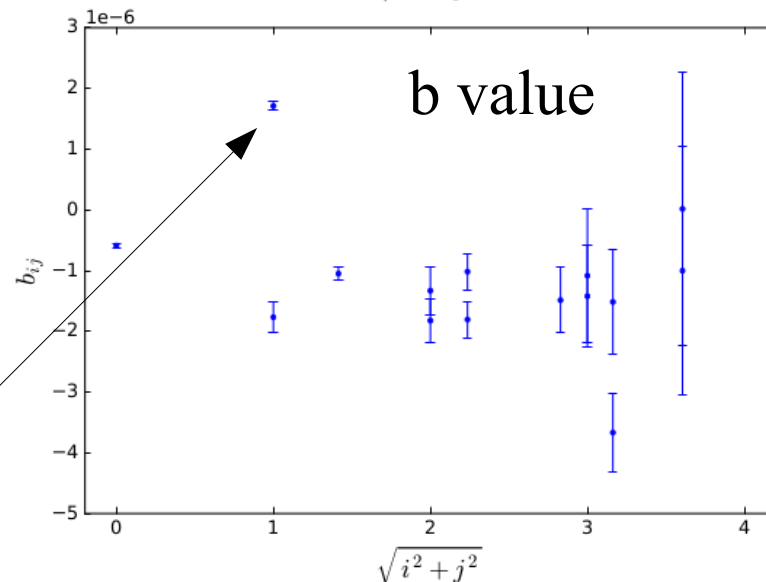
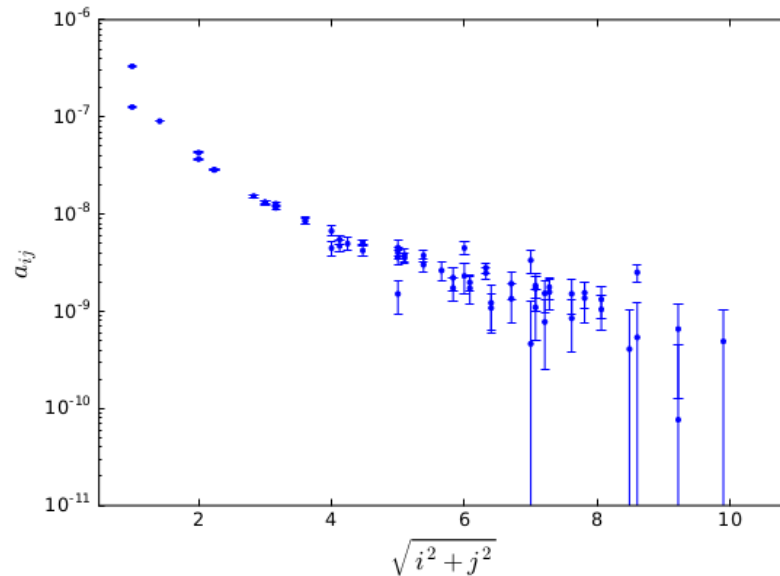


$a_{00} = -2.3 \cdot 10^{-6}$ ,  
 $\Sigma a_{ij} = -3 \cdot 10^{-8}$ ,  
so we miss  
 $\sim 1.5\%$

$b_{10} > 0$

and all the others negative

a value vs distance



b value



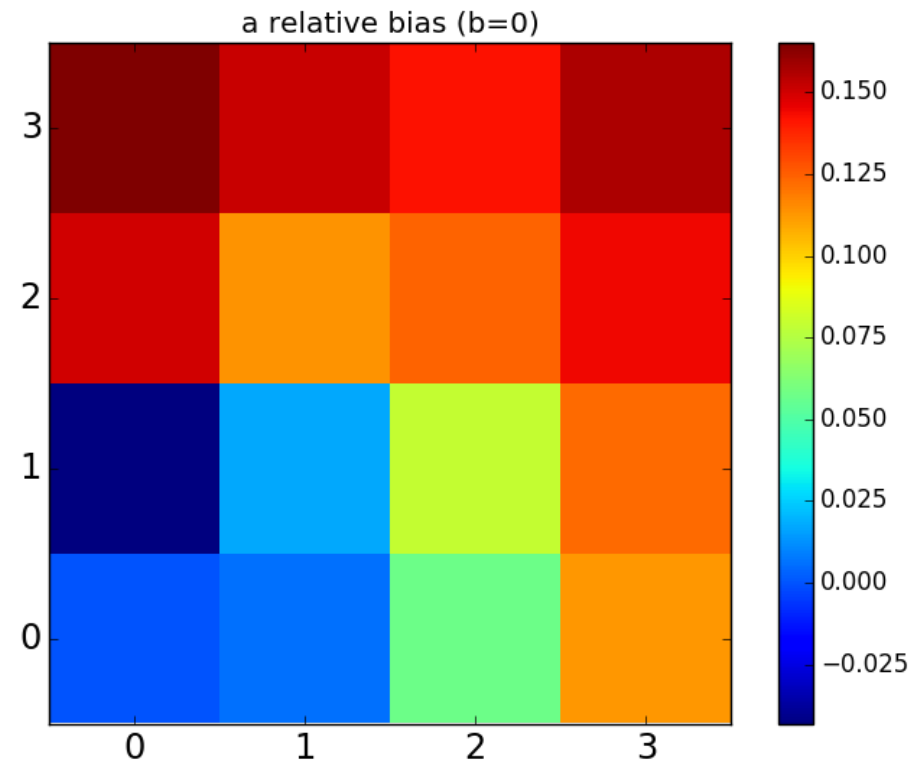
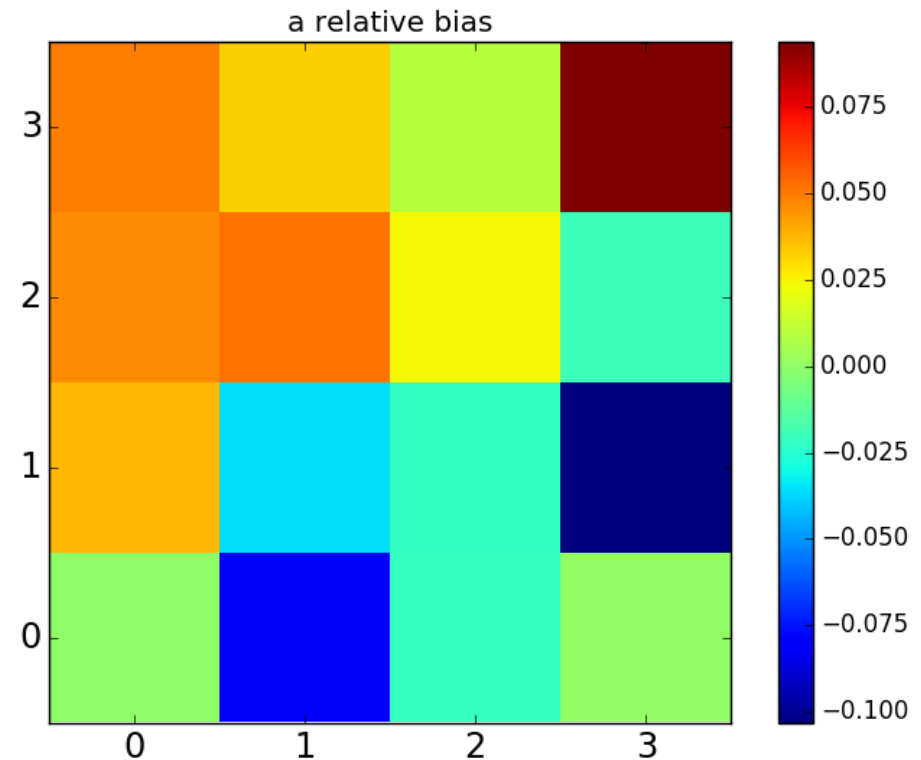
# Comparison with the “standard” approach

Standard way:

$$a_{ij} = \frac{C_{ij}}{C_{00}} \mu g$$

At some (high) illumination

Difference between the full fit and the simplified Way : 10% peak to peak.



# Summary & conclusions

- We have developed a model for the PTC/Cov curve shapes.
- The expected shapes depend on the assumed dynamics (e.g. area alterations scale as source charges), and hence allow us to constrain the dynamics.
- There are a few potential problems (non-linearity, deferred charge ) to be addressed.
- With the “standard way”, systematic offsets of BF predictions by  $\sim 10\%$  should not come as a surprise.