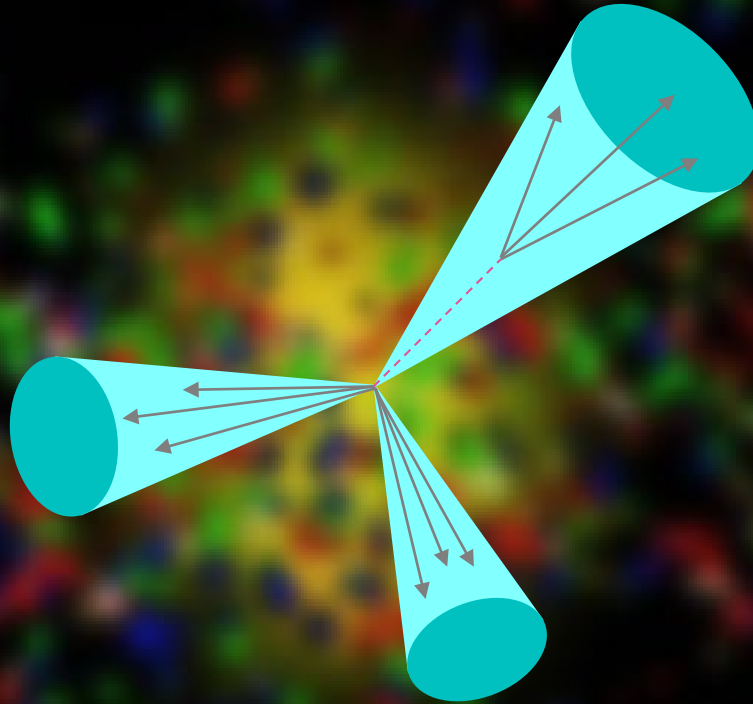


# FEASIBILITY OF TAGGING HEAVY FLAVOR JETS AT RHIC WITH ML

GEORGE HALAL (LEHIGH)  
HELEN CAINES (YALE), ROSI REED (LEHIGH)  
JETSCAPE WORKSHOP – JAN. 13, 2019

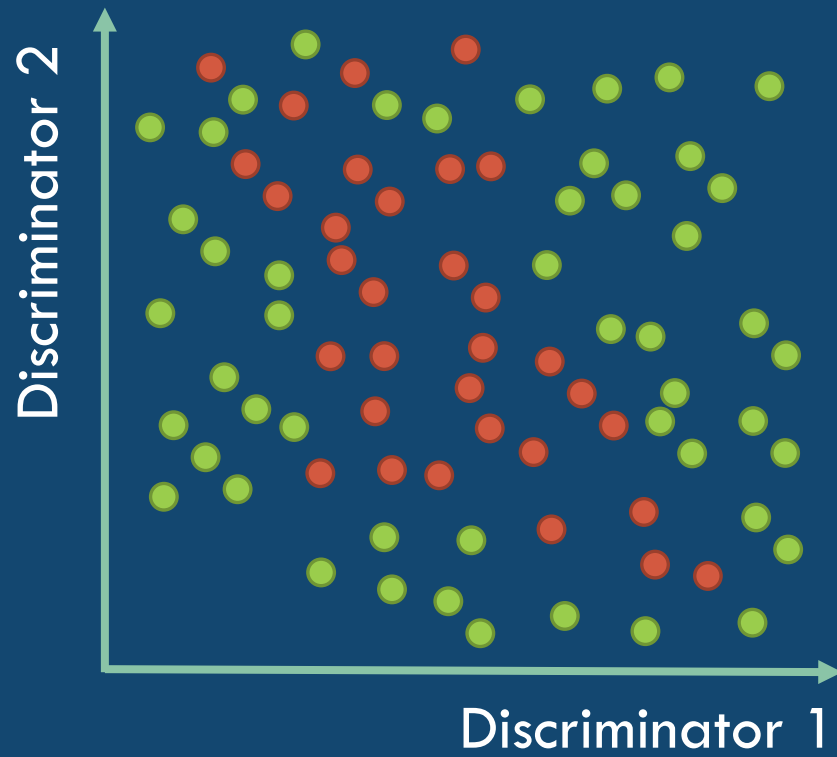
# IMPORTANCE OF JET FLAVOR TAGGING



Heavy flavor jets interact differently with the QGP

Different levels of collisional & radiative energy losses

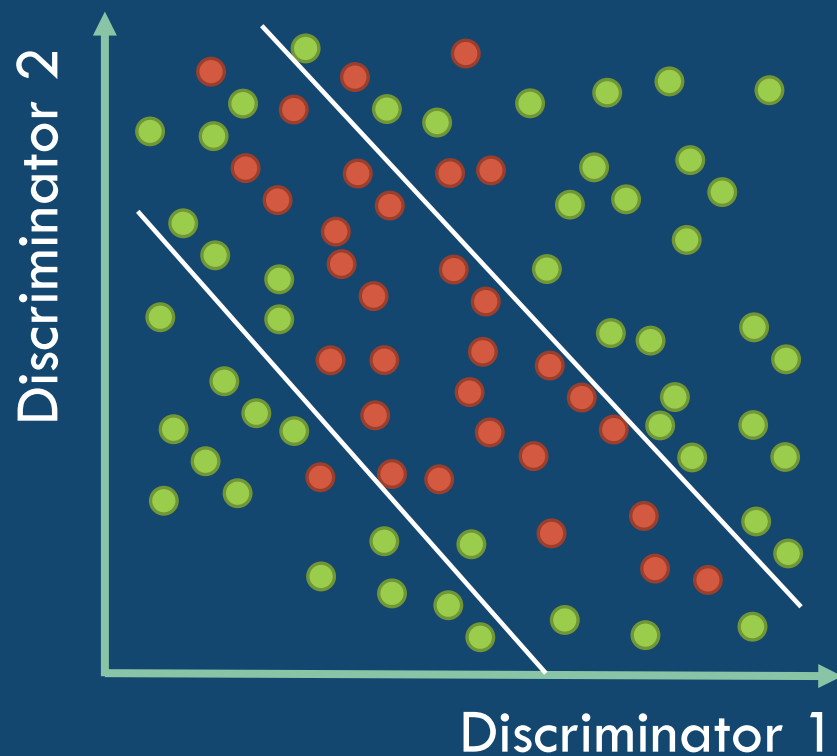
# CLASSIFICATION



- Sample 1
- Sample 2

# CLASSIFICATION

## GEOMETRIC CUTS



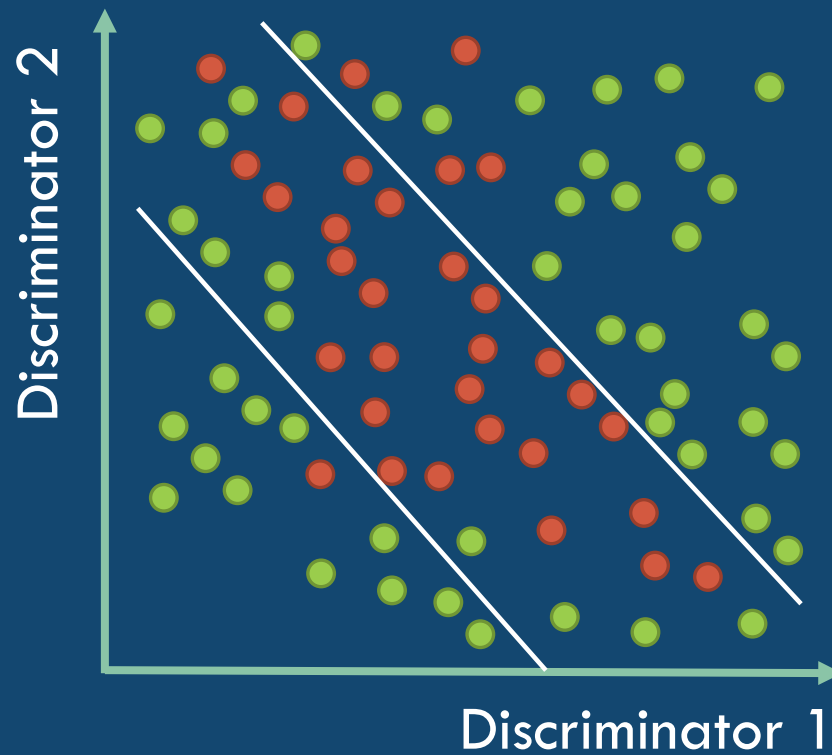
$$Efficiency = \frac{\#Sample\ 1\ in\ selected\ region}{Total\ \#Sample\ 1}$$

$$Impurity = \frac{\#Sample\ 2\ in\ selected\ region}{Total\ in\ selected\ region}$$

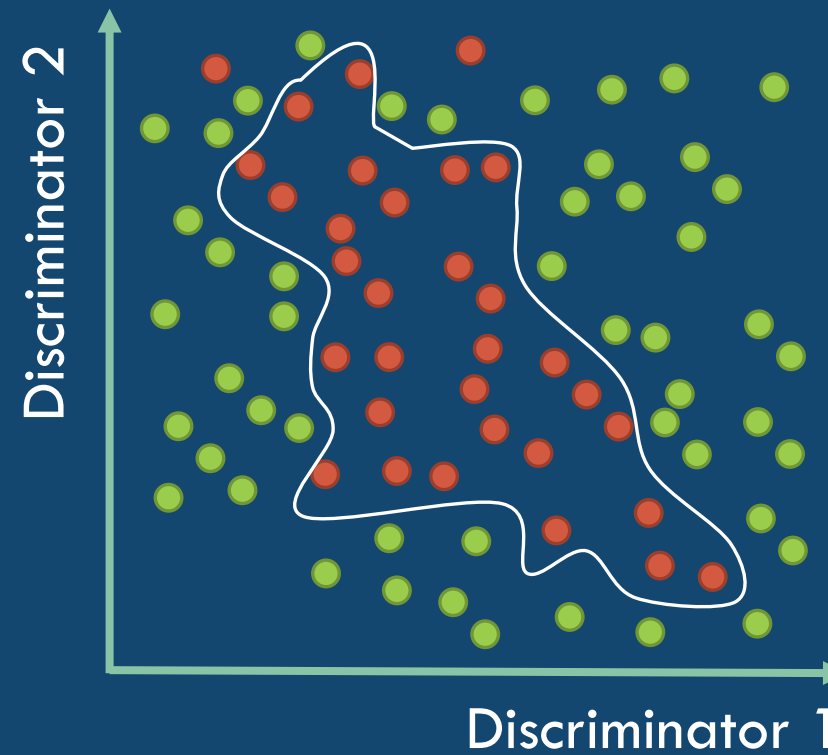
- Sample 1
- Sample 2

# CLASSIFICATION

## GEOMETRIC CUTS



## MACHINE LEARNING



● Sample 1  
● Sample 2

- Form of artificial intelligence
- Smaller errors and higher computational efficiency
- Used for image and speech recognition, prediction, etc.

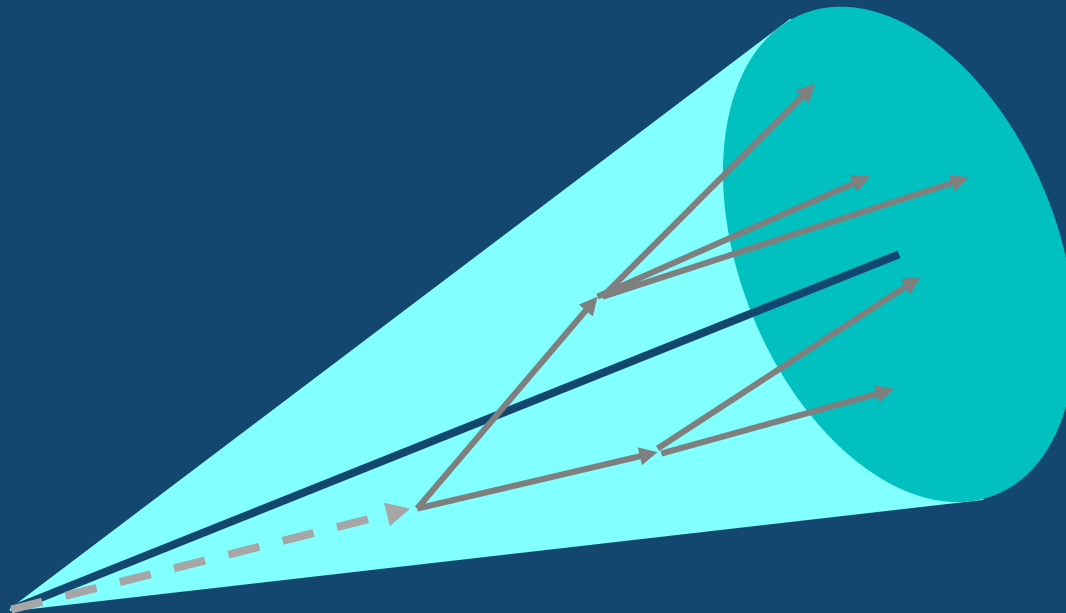
# MONTE CARLO SIMULATION PARAMETERS

## PYTHIA8 + FastJet:

- p+p:  $\sqrt{s} = 200 \text{ GeV}$
- $|\eta| < 1.0$  (STAR)
- Full jets (charged + neutral)
- Anti- $k_t$   $R = 0.4$
- Jet  $p_T > 10.0 \text{ GeV}/c$
- light jets : HardQCD:all = on  
charm jets : HardQCD:hardccbar = on, Charmonium:all = on  
bottom jets : HardQCD:hardbbbar = on, Bottomonium:all = on

# JET-PARTON MATCHING

- **Method 1:** Trace back the decay cascades of the jet constituents to original hadron
- **Method 2:**  $\sqrt{(\phi^{Jet} - \phi^{Parton})^2 + (\eta^{Jet} - \eta^{Parton})^2} < 0.3$   
requirement from a list of *Partons* resulting from the initial hard scattering

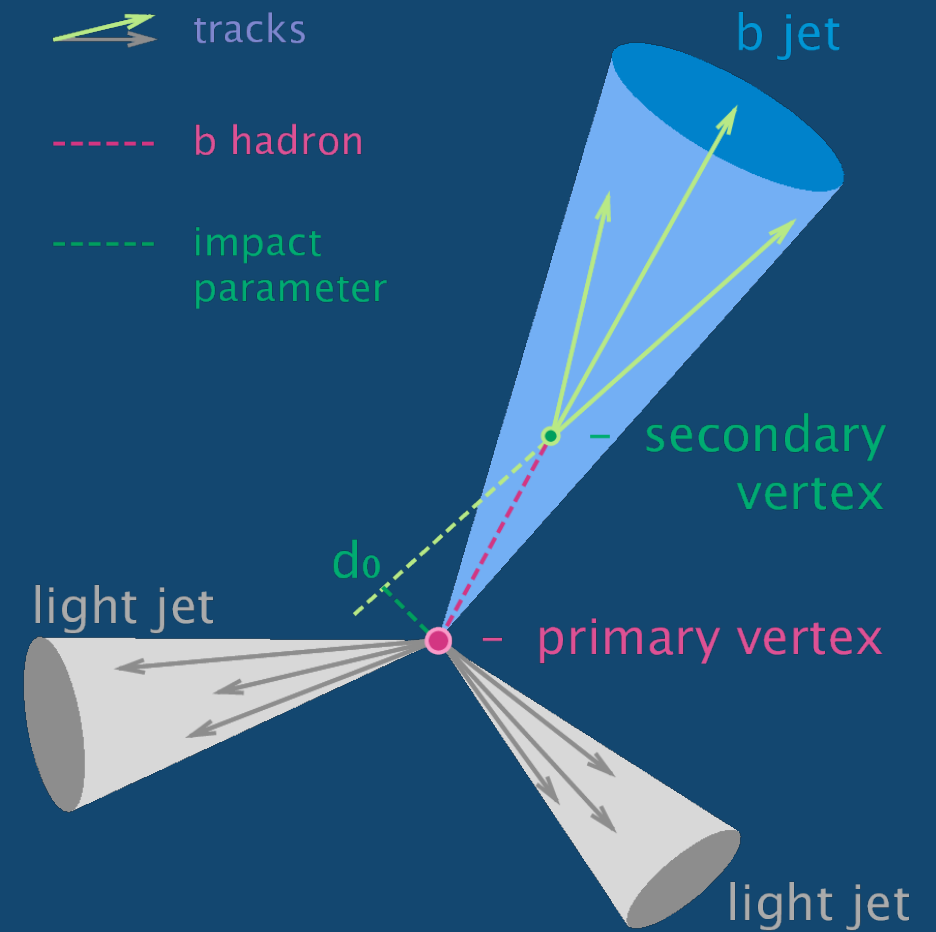


# JET-PARTON MATCHING

- **Method 1:** Trace back the decay cascades of the jet constituents to original hadron
- **Method 2:**  $\sqrt{(\phi^{Jet} - \phi^{Parton})^2 + (\eta^{Jet} - \eta^{Parton})^2} < 0.3$   
requirement from a list of *Partons* resulting from the initial hard scattering
- If jet is matched to more than one parton  $\rightarrow$  use heaviest parton
- Throw away jets that aren't matched
- **Only consider jets for which the 2 methods agree**

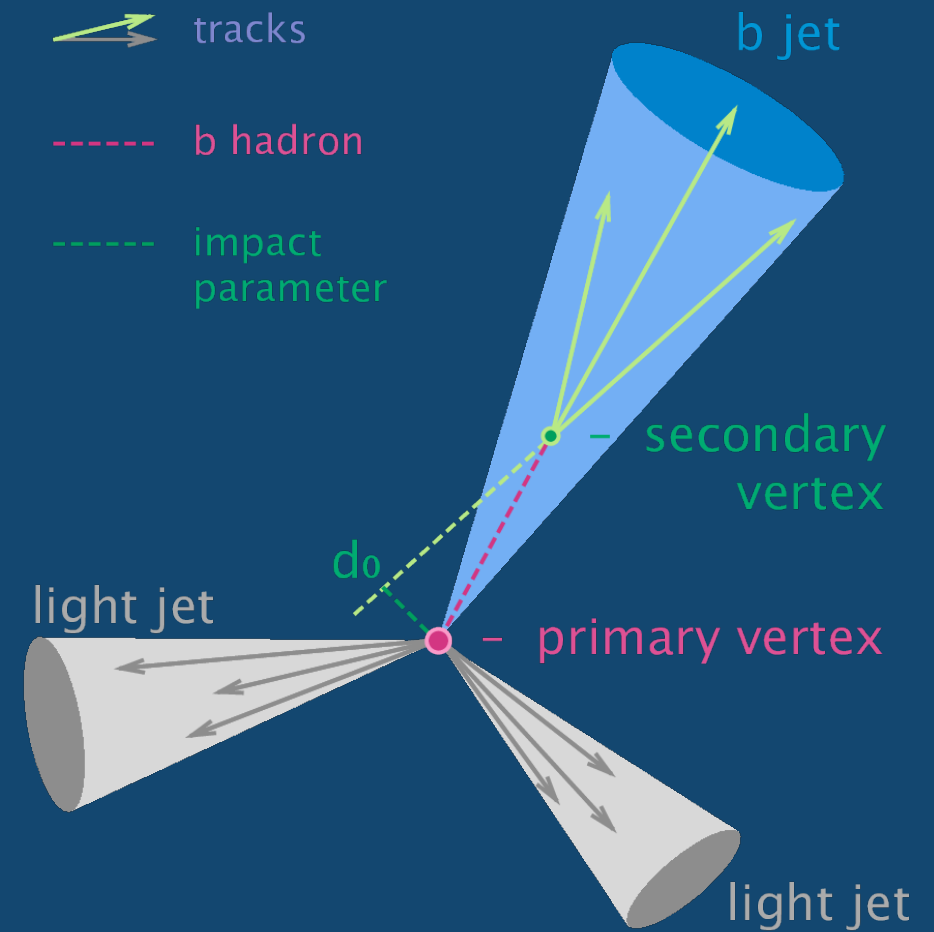
# DISCRIMINATORS

- Largest, 2<sup>nd</sup> largest, and 3<sup>rd</sup> largest **impact parameter ( $d_0$ )** of jet constituents



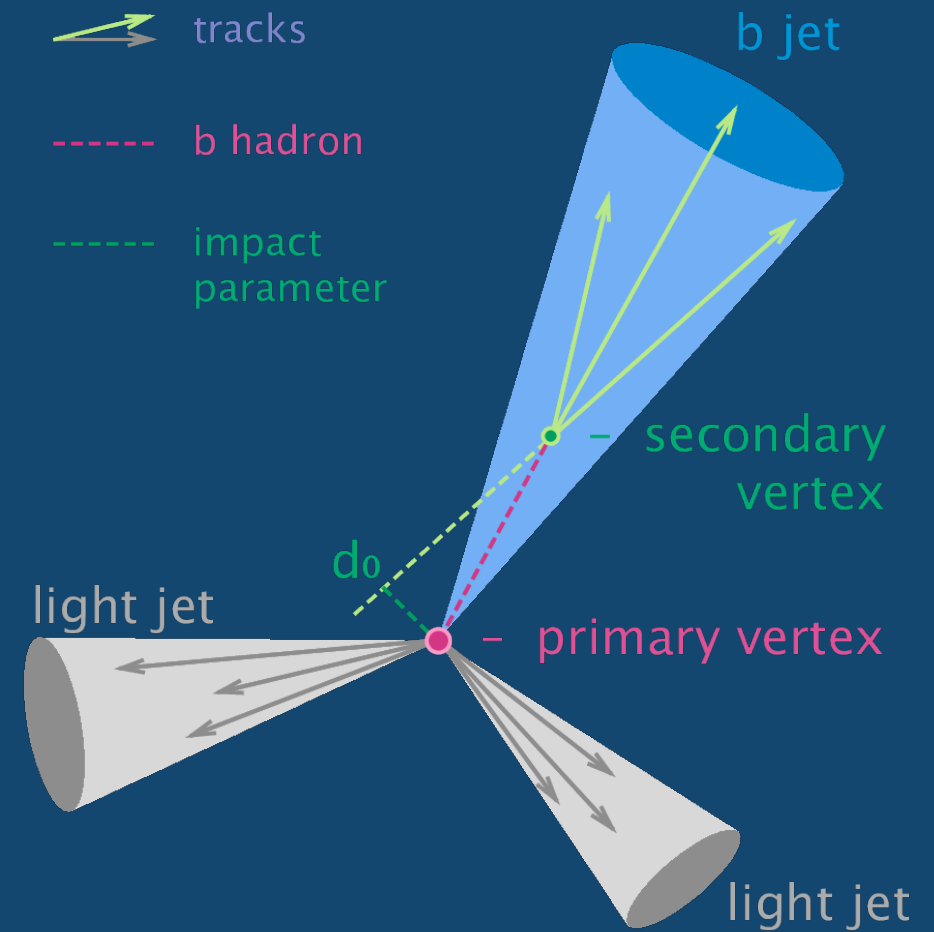
# DISCRIMINATORS

- Largest, 2<sup>nd</sup> largest, and 3<sup>rd</sup> largest **impact parameter ( $d_0$ )** of jet constituents
- Largest and 2<sup>nd</sup> largest distance between **primary** and **secondary** vertices of jet constituents



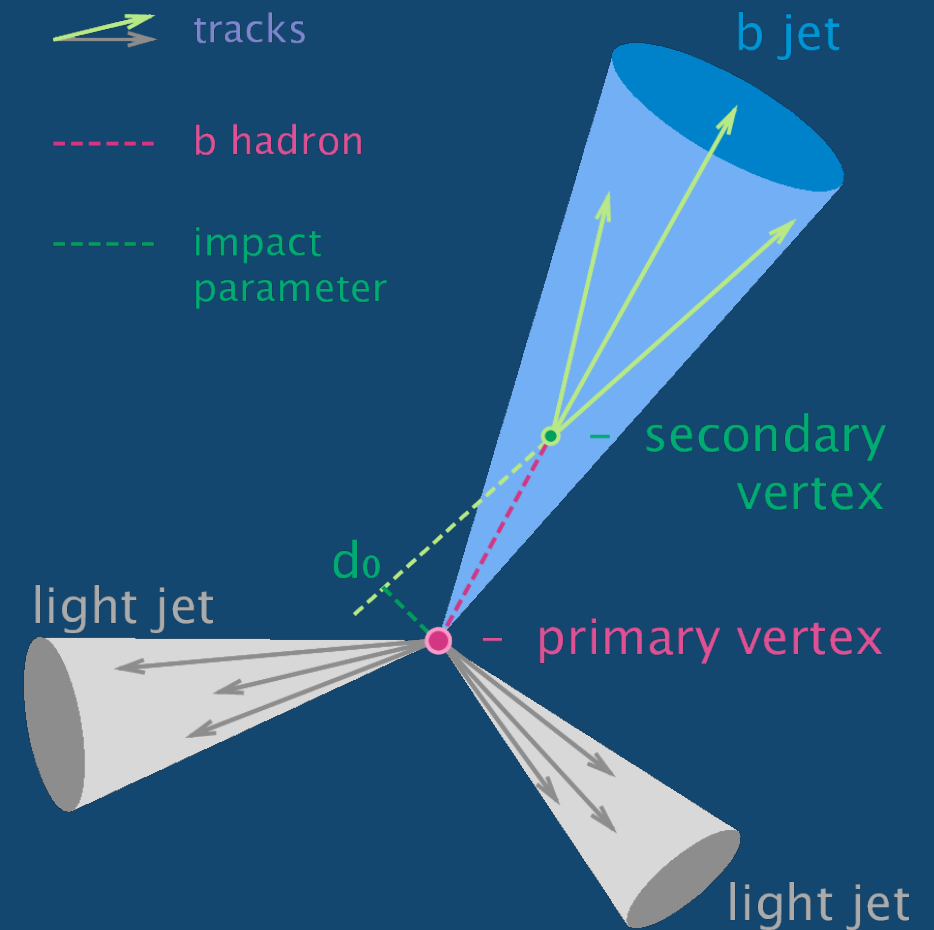
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- Largest and 2<sup>nd</sup> largest distance between **primary** and **secondary** vertices of jet constituents
- Number of **jet constituents** with **displaced** vertices



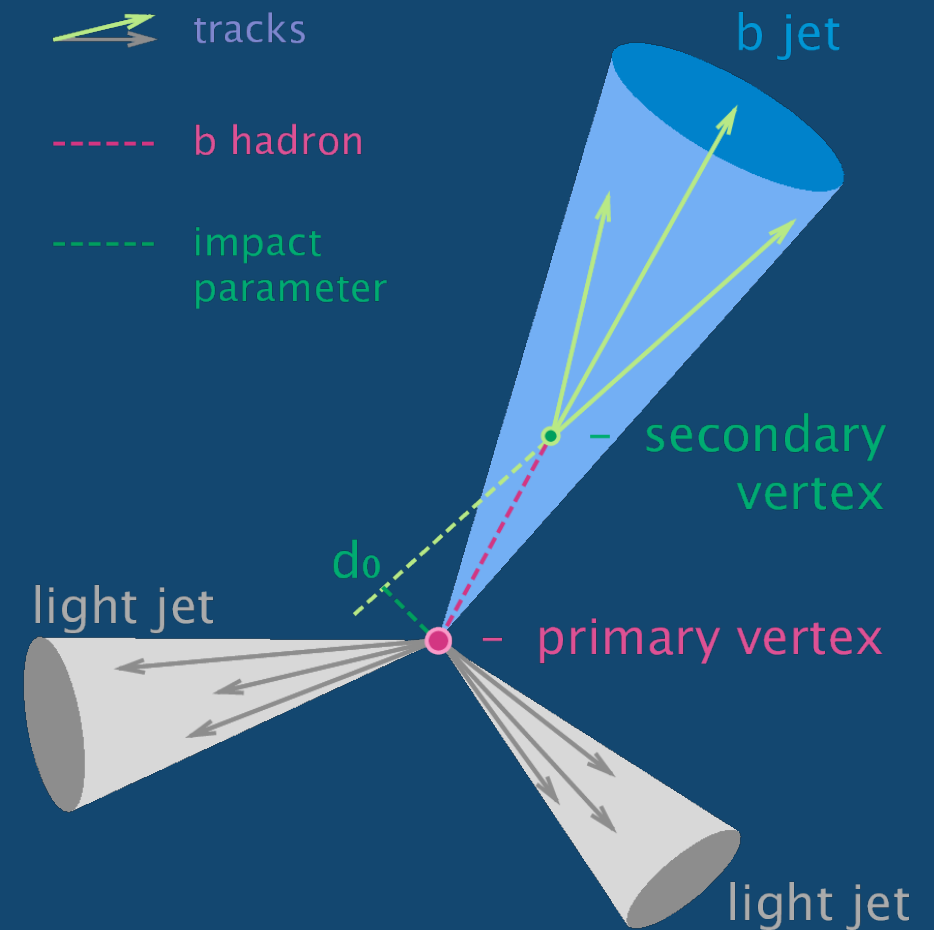
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- Number of **jet constituents** with **displaced** vertices
- Total number of **jet constituents**



# DISCRIMINATORS

- Largest, 2<sup>nd</sup> largest, and 3<sup>rd</sup> largest **impact parameter ( $d_0$ )** of jet constituents
- Largest and 2<sup>nd</sup> largest distance between **primary** and **secondary** vertices of jet constituents
- Number of **jet constituents** with **displaced** vertices
- Total number of **jet constituents**
- Presence of soft leptons (due to semi-leptonic decays of heavy-flavor hadron)



# DATA PREPARATION

## INPUTS

	DCA-1 <sup>st</sup>	DCA-2 <sup>nd</sup>	DCA-3 <sup>rd</sup>	SV-1 <sup>st</sup>	SV-2 <sup>nd</sup>	N <sub>SV</sub>	Muon?	e <sup>-</sup> ?	N <sub>constit.</sub>
Jet 1									
Jet 2									
Jet 3									
x180k									

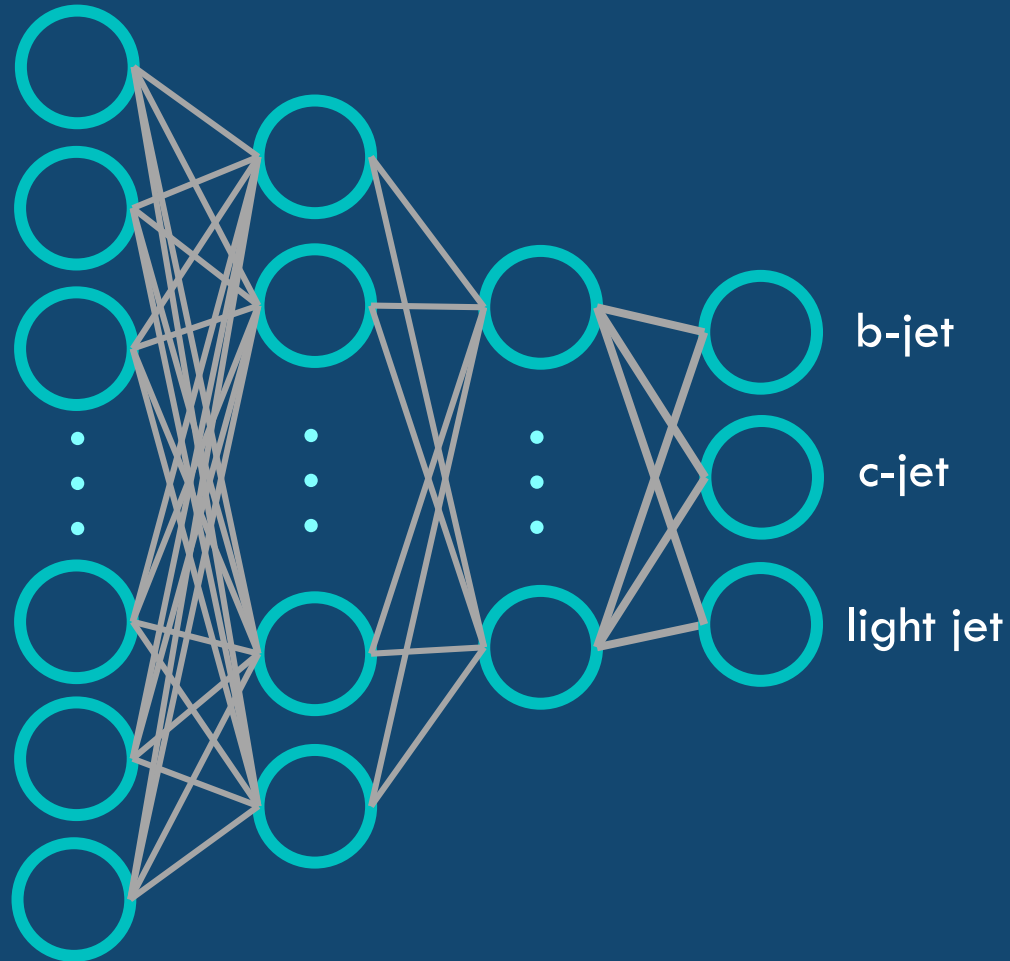
## OUTPUTS

b-jet?	c-jet?	light jet?
0	0	1
1	0	0
0	1	0

Convert TTrees (ROOT) to NumPy arrays (Python)

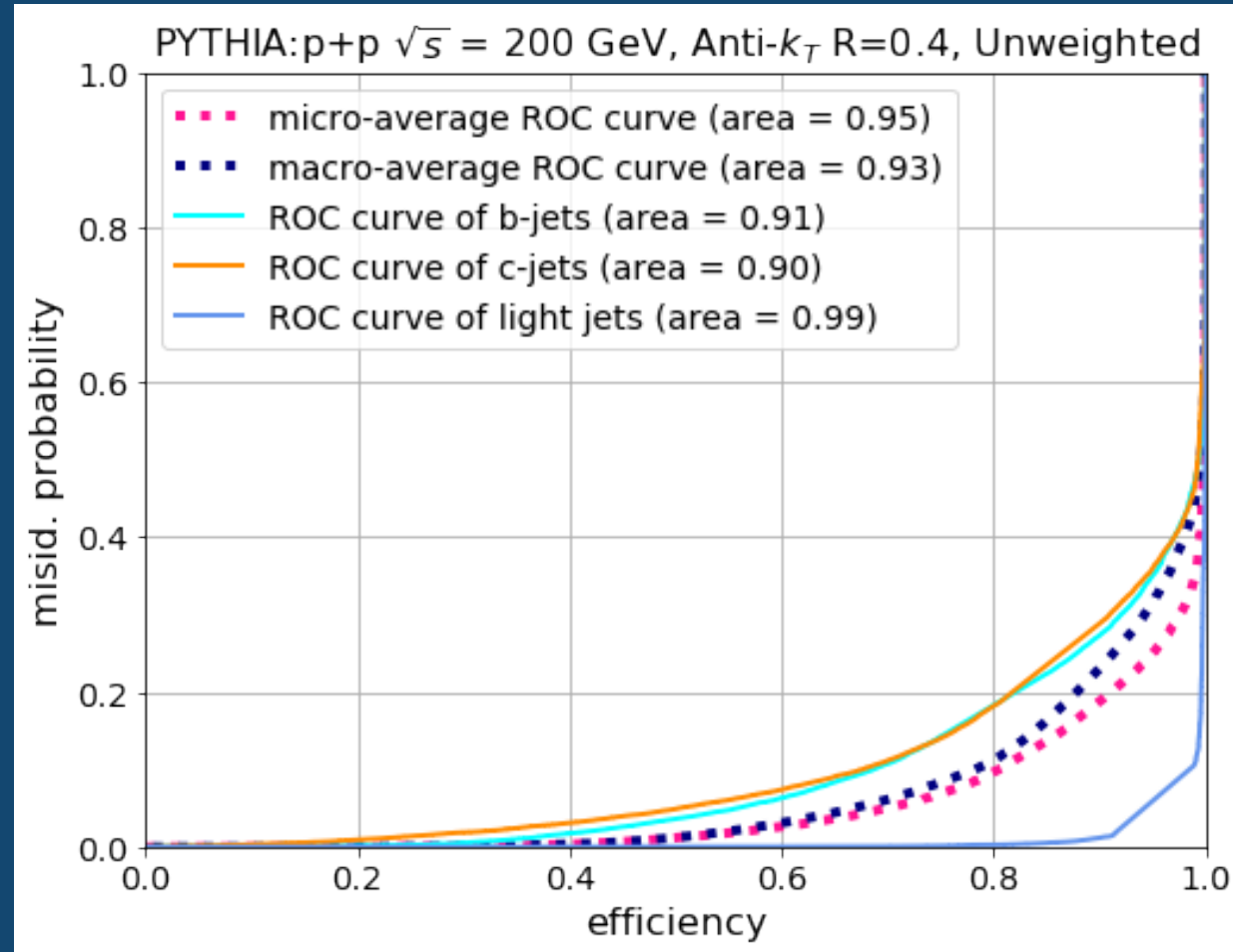
# NEURAL NETWORK ARCHITECTURE

DISCRIMINATORS (x9)

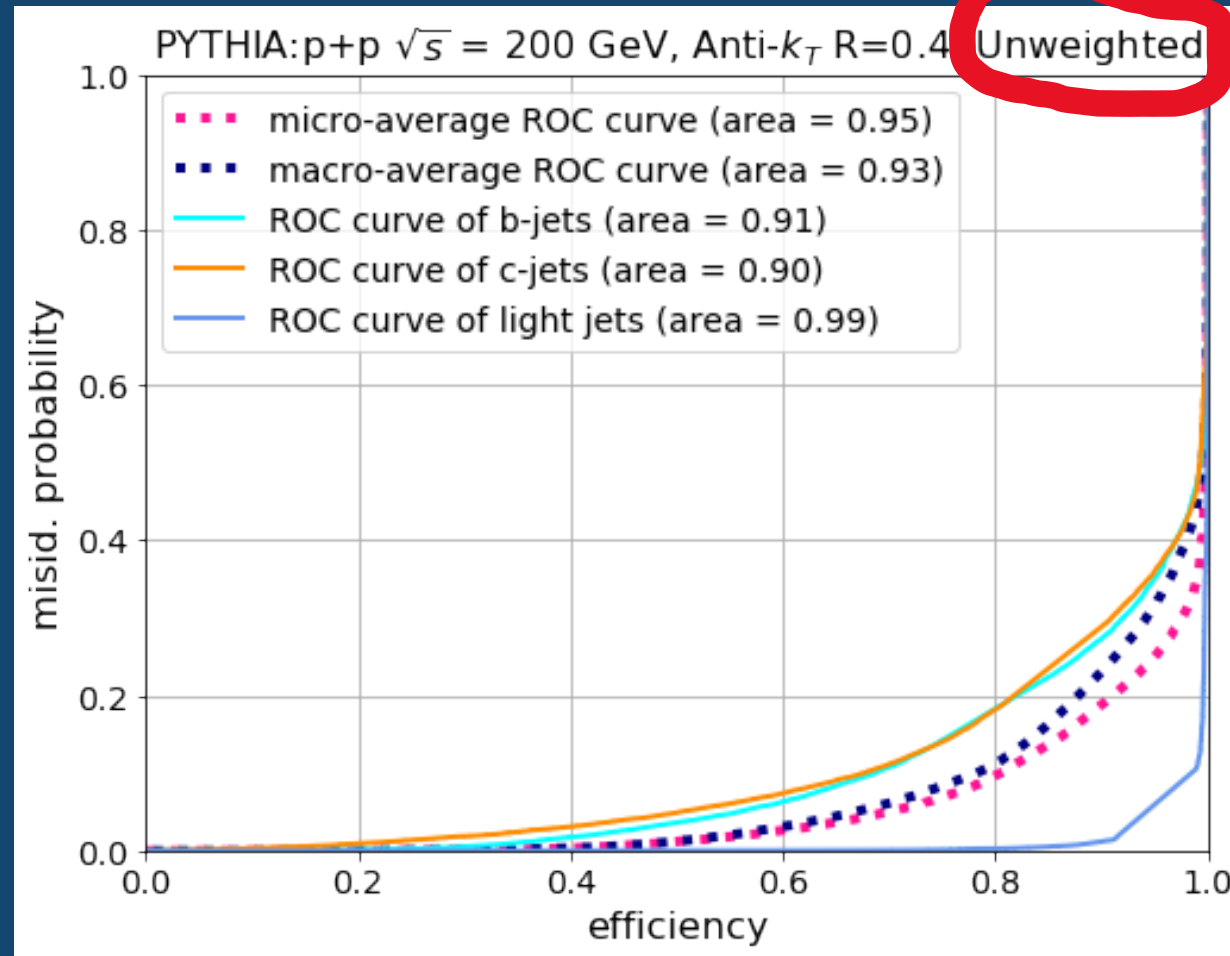


- Use **Keras** (TensorFlow) & **scikit-learn** packages in Python
- Input layer (9 nodes), 2 hidden layers (8 nodes, 5 nodes), & output layer (3 nodes)
- **Kernel Initializer:** Normal
- **Activations:** ReLU for hidden layers & softmax for output
- **Loss:** categorical\_crossentropy
- **Optimizer:** adam
- **Epochs** = 200
- **Batch Size** = 200
- Scale inputs before training
- Train on equal samples of each flavor

# BEAUTIFUL?



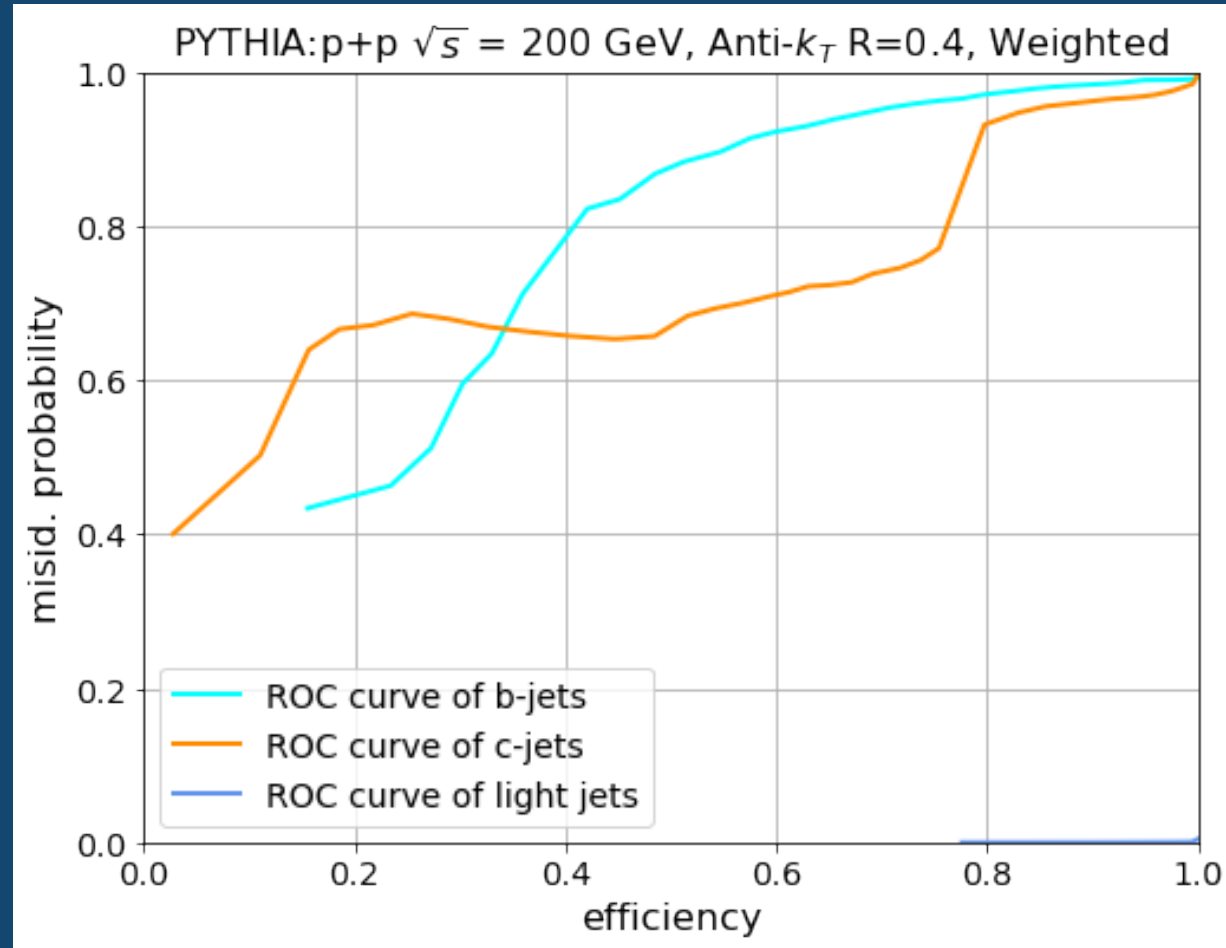
# BEAUTIFUL? NOT SO FAST..



# WEIGHTING

- Must take **relative production rates** into account
- According to what PYTHIA spits out at the end of the run, for  $\sqrt{s}=200 \text{ GeV}$ :
  - $\sigma_{bottom} = 1.812 \text{ e-4 mb}$
  - $\sigma_{charm} = 6.998 \text{ e-4 mb}$
  - $\sigma_{light} = 1.97866 \text{ e-1 mb}$
- Therefore,  $\sigma_{bottom}:\sigma_{charm}:\sigma_{light} \approx 1:4:1092$

# WHEN TAKING WEIGHTING INTO ACCOUNT



# SOLUTIONS

Because the weighting is what hurts the ROC curve, only consider jets with the requirement:

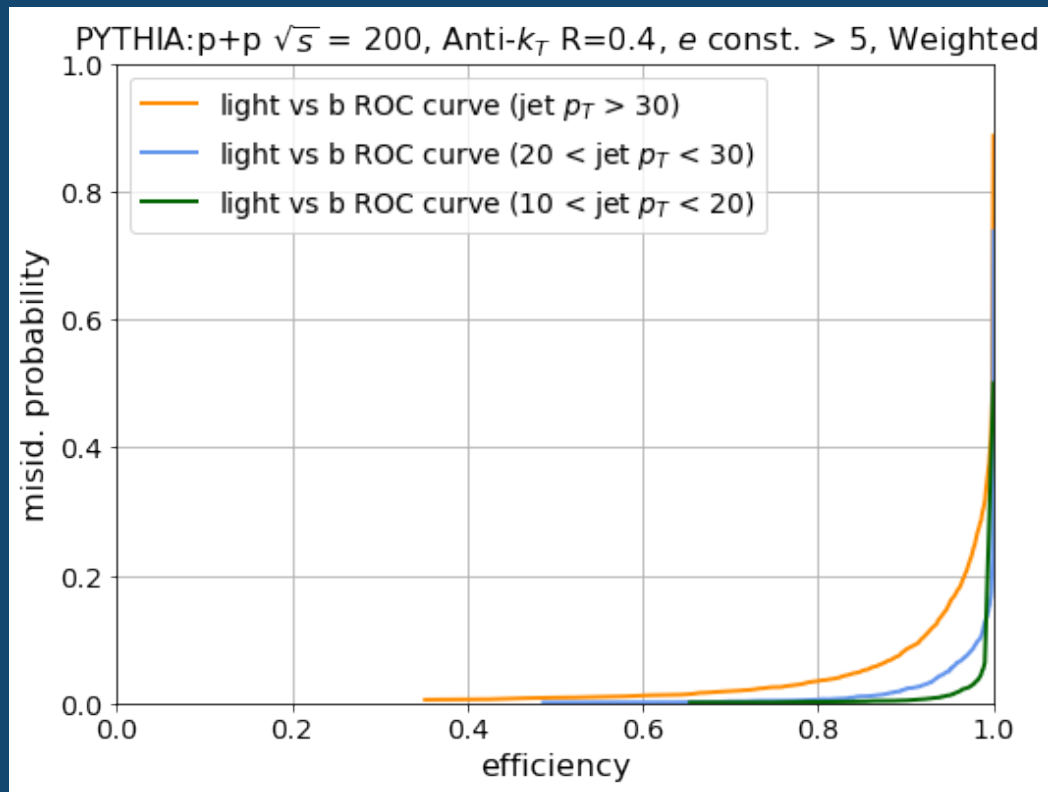
- At least 1 electron constituent with a  $p_T > 5.0 \text{ GeV}/c$   
(High Tower trigger)

New relative cross-section ratio:  $\sigma_{bottom} : \sigma_{charm} : \sigma_{light} \approx 1 : 1 : 1$

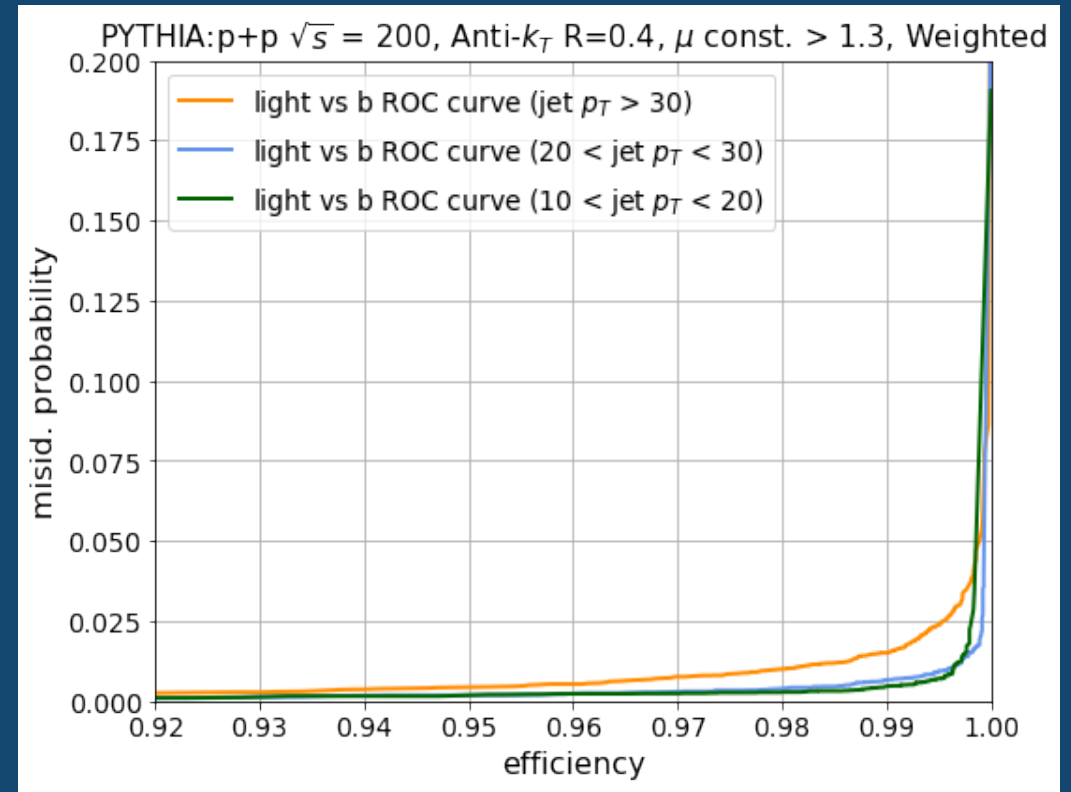
- At least 1 muon constituent with a  $p_T > 1.3 \text{ GeV}/c$   
(Muon Telescope Detector trigger)

New relative cross-section ratio:  $\sigma_{bottom} : \sigma_{charm} : \sigma_{light} \approx 4 : 9 : 1$

ONLY JETS WITH AT LEAST  
ONE HIGH  $p_T$  ELECTRON

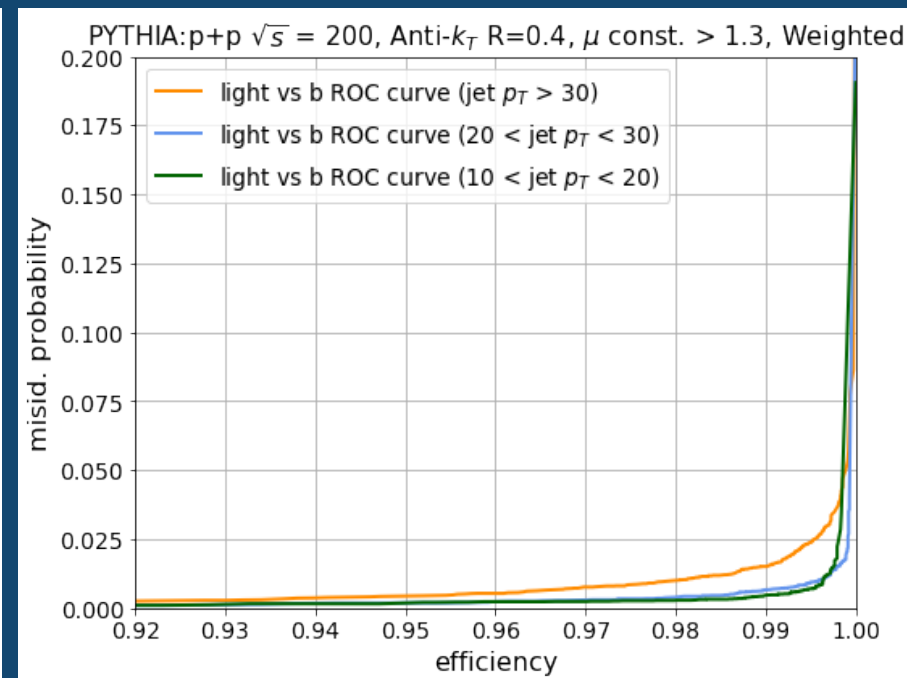
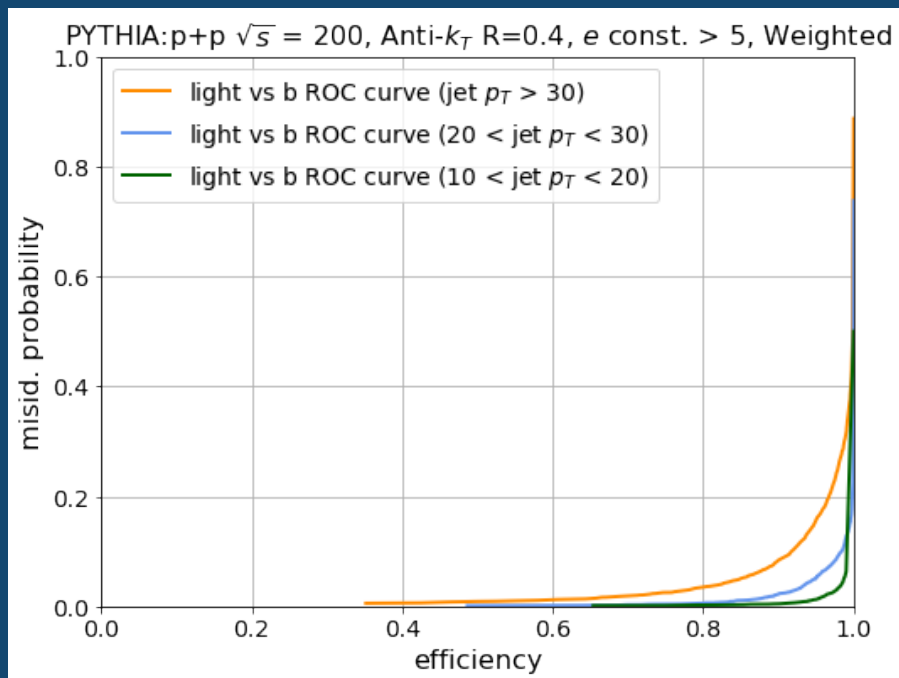


ONLY JETS WITH AT LEAST  
ONE HIGH  $p_T$  MUON



# MISIDENTIFICATION PROBABILITY

90% Efficiency	High $p_T$ electron constituent	High $p_T$ muon constituent
Jet $p_T > 30$	8.0 %	0.18 %
$20 < \text{Jet } p_T < 30$	2.0 %	0.085 %
$10 < \text{Jet } p_T < 20$	0.43 %	0.073 %



# STATISTICS

PYTHIA:pp200	jet pT range	pTHatMin		STAR Run15	Trigger	Nevents					
Anti-kT, R=0.4	10-20	9.5			singlemuon-5	7.40E+07					
Full Jets	20-30	19.5			BHT1 (3.4 GeV)	4.80E+08					
	>30	29.5			BHT2 (4.08 GeV)	2.30E+08					
muon > 1.3 GeV/c	relative production cross-sections			e > 5 GeV/c	relative production cross-sections			no trigger	relative production cross-sections		
jet pT range	light	bottom	charm	jet pT range	light	bottom	charm	jet pT range	light	bottom	charm
10-20	1	4.25	9.17	10-20	1.12	1.08	1	10-20	187.5	1	5
20-30	1	3.54	3.65	20-30	3.31	1.18	1	20-30	130	1	2.74
>30	1	1.86	1.64	>30	9.47	1.2	1	>30	166.67	1	2.72
muon > 1.3 GeV/c	# events to produce			e > 5 GeV/c	# events to produce			no trigger	# events to produce		
jet pT range	1 light	1 b	1 c	jet pT range	1 light	1 b	1 c	jet pT range	1 light	1 b	1 c
10-20	15000	35000	16000	10-20	79000	84000	92000	10-20	8	1500	300
20-30	40000	11000	11000	20-30	8000	23000	26000	20-30	4	520	190
>30	20000	11000	12000	>30	2500	19000	24000	>30	3	500	184

# CONCLUSION

- Relative production rates lead to the inability of tagging heavy flavor jets at RHIC energies with no triggers
- With a high tower or MTD trigger, we can tag heavy flavor jets at RHIC energies with a very high efficiency and low misidentification probability

# MOVING FORWARD

- Repeat the analysis for quenched jets using JETSCAPE
- Compare to JEWEL and/or PYTHIA + HI background
- Try additional discriminators
- Add STAR/sPHENIX detector effects



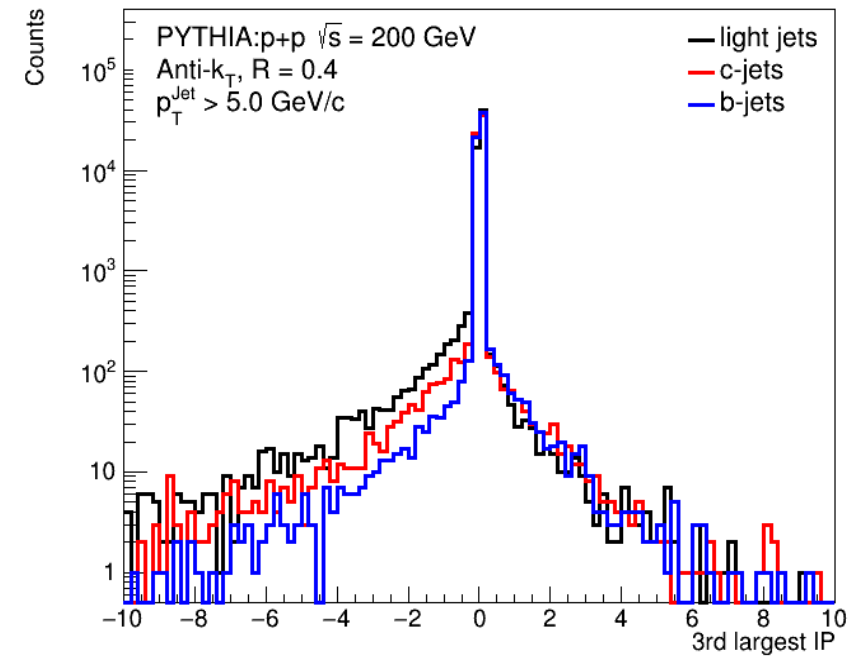
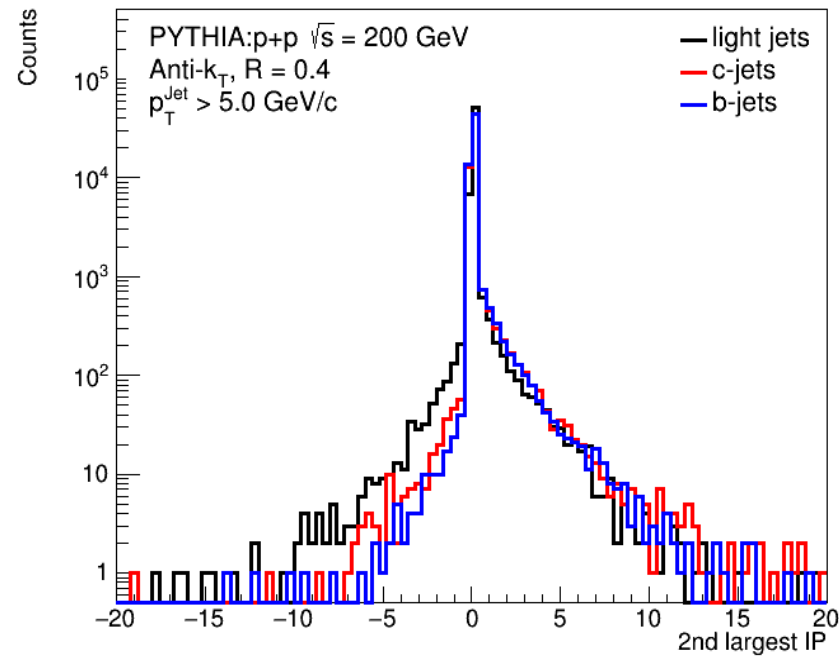
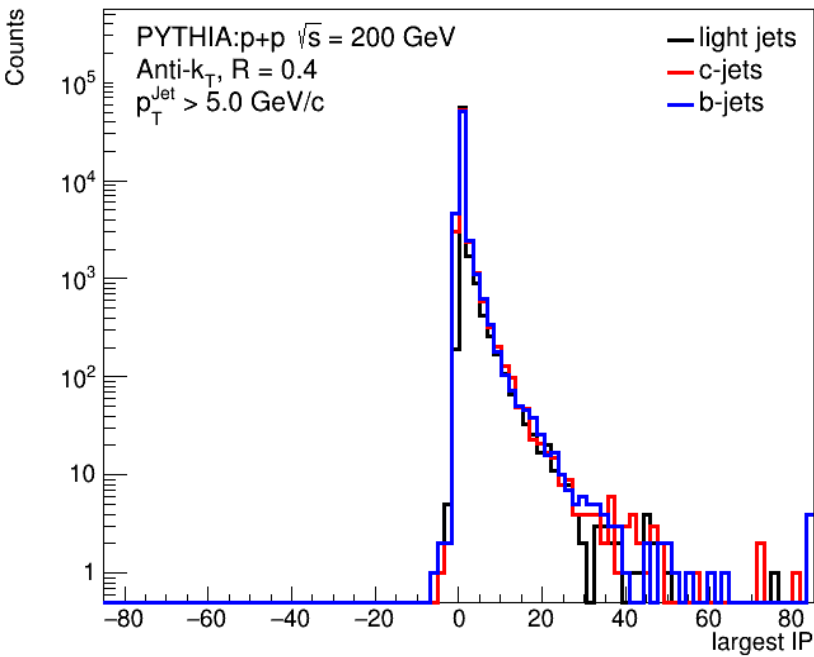
THANK YOU!  
QUESTIONS?

The background is a dark, monochromatic collage of mechanical and technological elements. It features a large, detailed clock face in the center, with the text 'J. Neefel-München' and 'COMPUTER' visible on its bezel. The clock face has Roman numerals and a date scale. Surrounding the clock are various gears, cogs, and mechanical components. Overlaid on the image are several light blue circuit-like lines with circular nodes, extending from the left and right sides towards the central text area. The overall aesthetic is industrial and technical.

# BACKUP

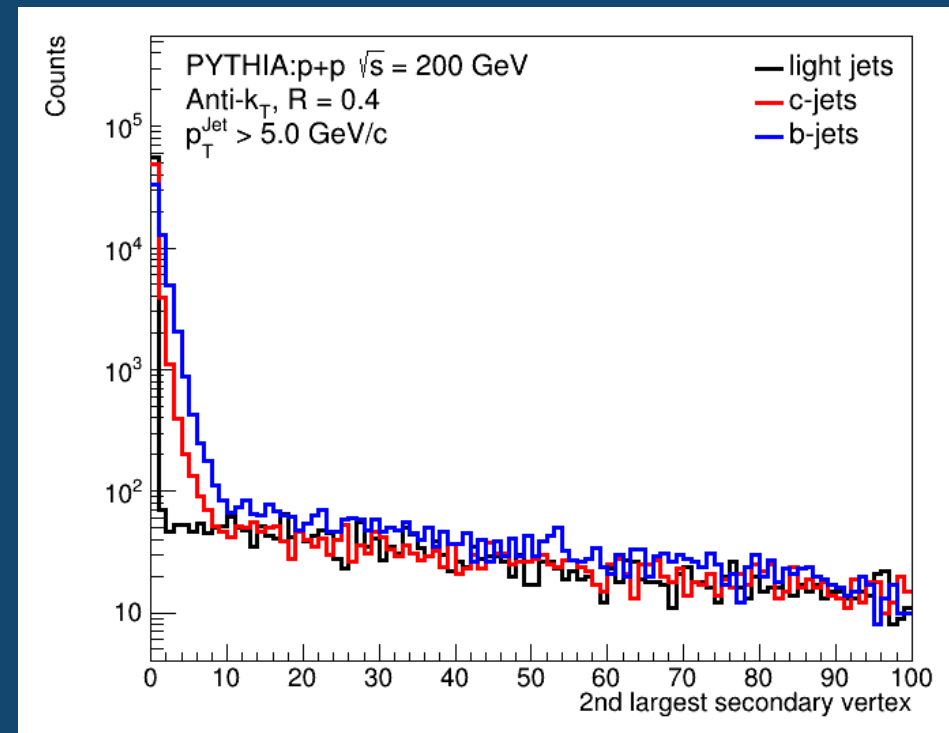
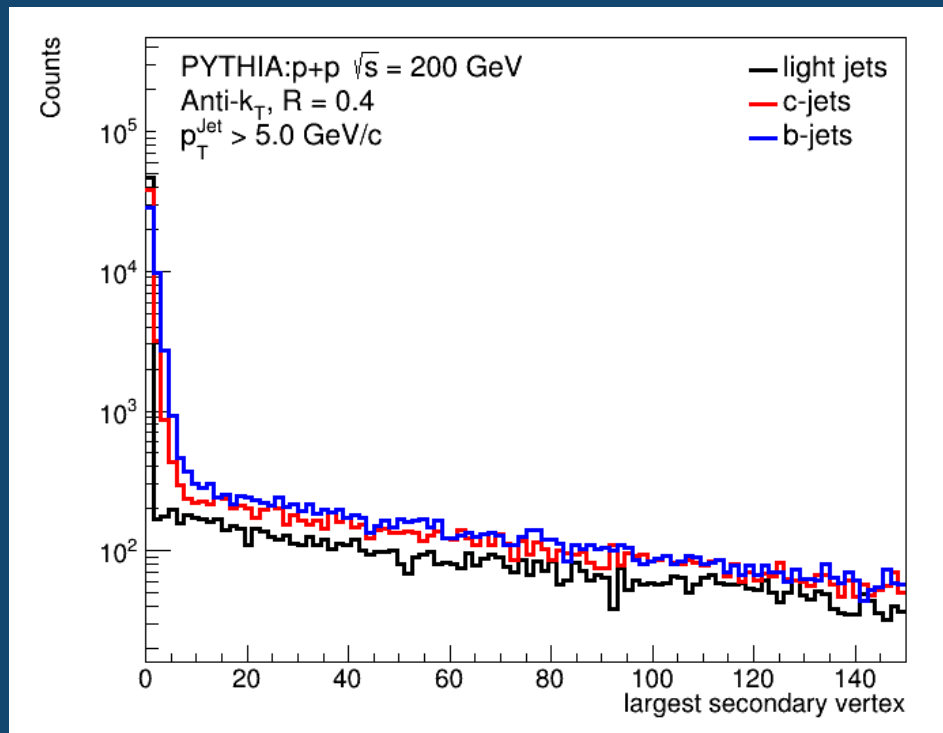
# DISCRIMINATORS

- Largest, 2<sup>nd</sup> largest, and 3<sup>rd</sup> largest **impact parameter ( $d_0$ )** of jet constituents



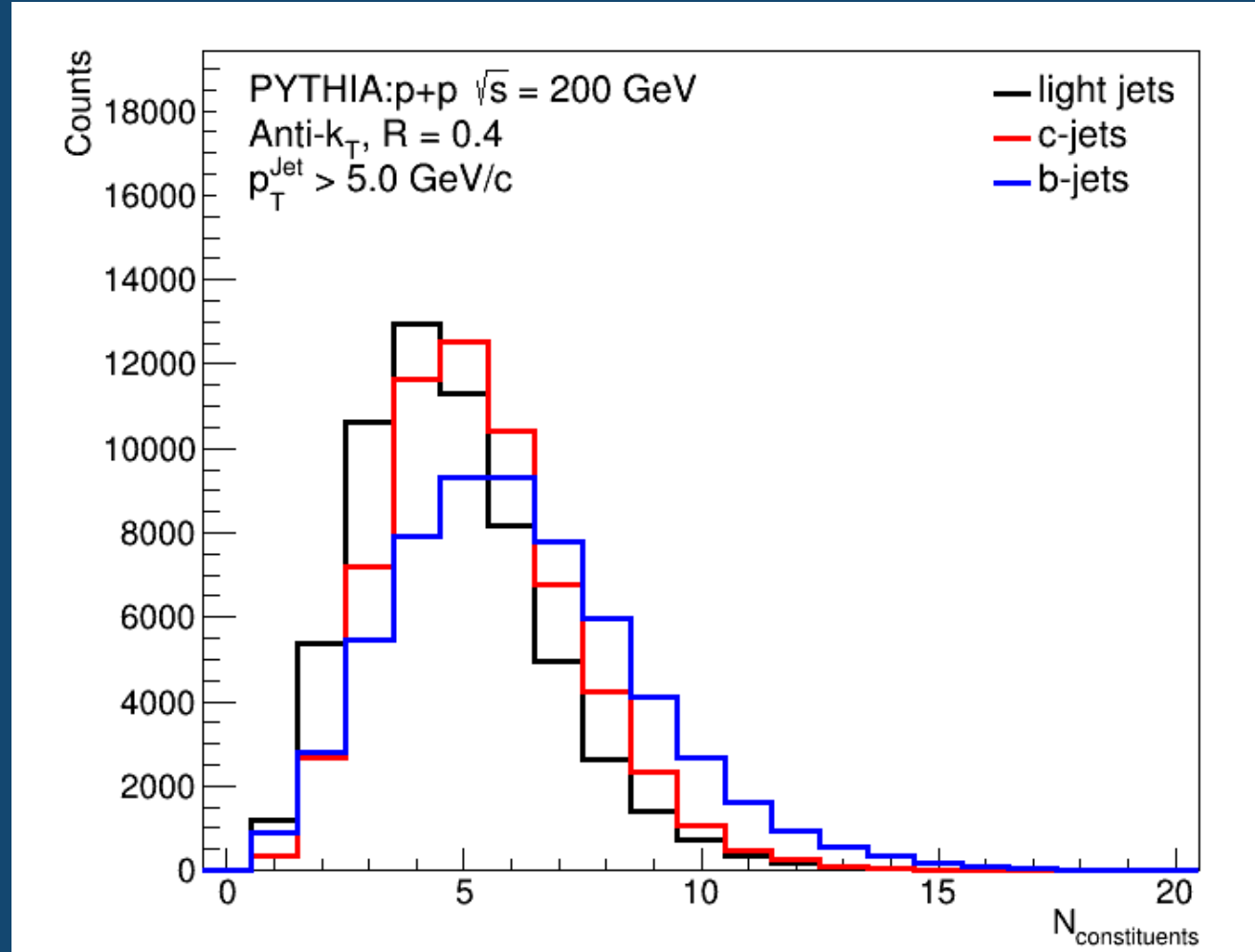
# DISCRIMINATORS

- Largest and 2<sup>nd</sup> largest distance between **primary** and **secondary** vertices of jet constituents

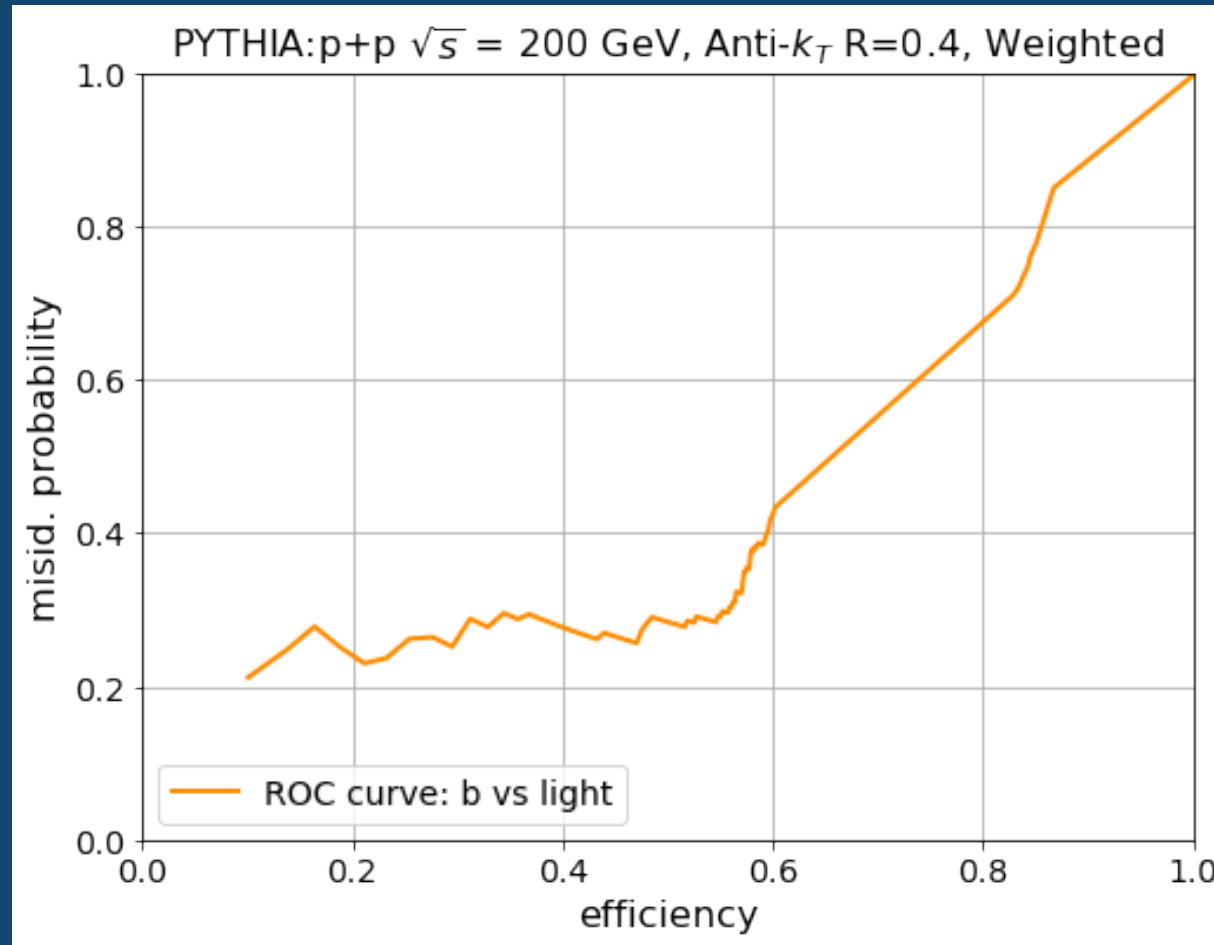


# DISCRIMINATORS

- Jet  $N_{\text{Constituents}}$

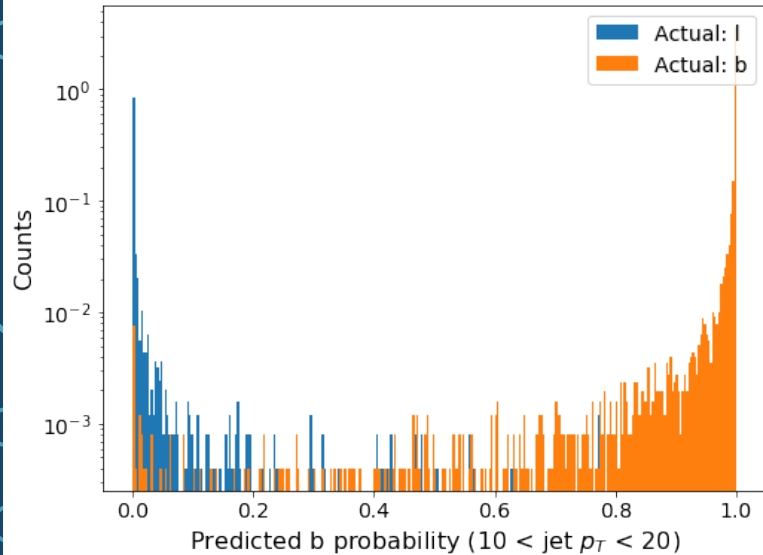


# INCLUDING THE WEIGHTING IN THE TRAINING

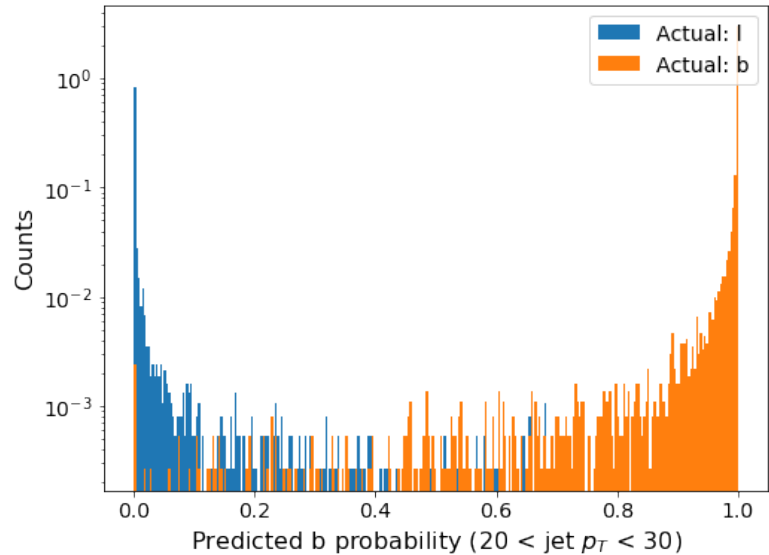


# HOW THE SAUSAGE IS MADE – MUON EDITION

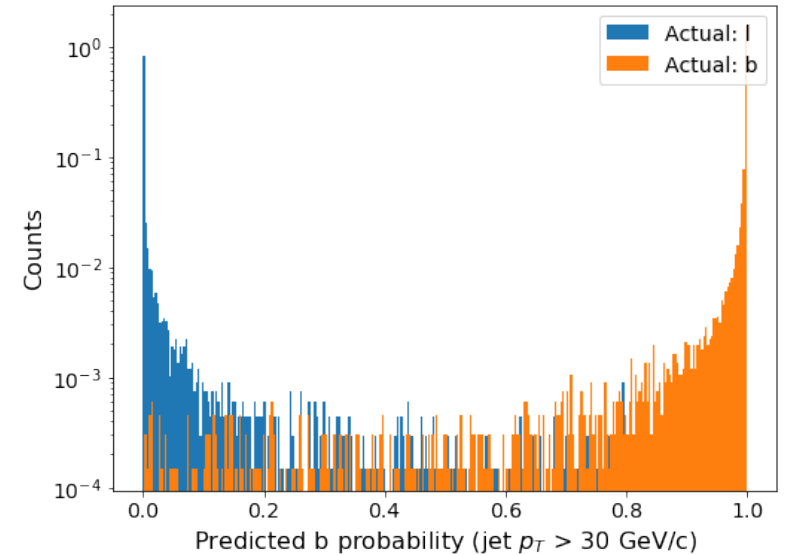
PYTHIA:p+p  $\sqrt{s} = 200$ , Anti- $k_T$  R=0.4,  $\mu$  const. > 1.3, Weighted



PYTHIA:p+p  $\sqrt{s} = 200$ , Anti- $k_T$  R=0.4,  $\mu$  const. > 1.3, Weighted

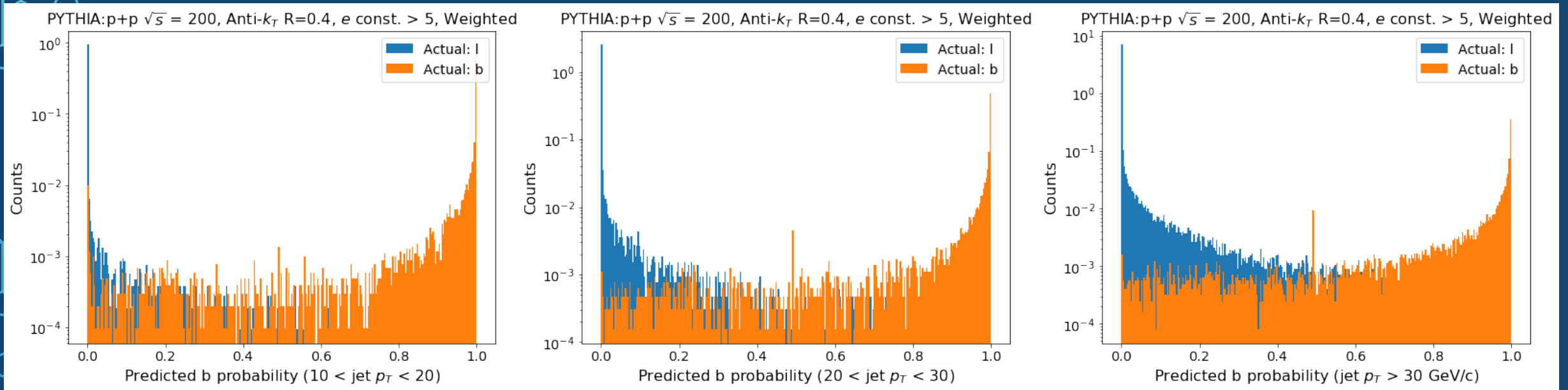


PYTHIA:p+p  $\sqrt{s} = 200$ , Anti- $k_T$  R=0.4,  $\mu$  const. > 1.3, Weighted



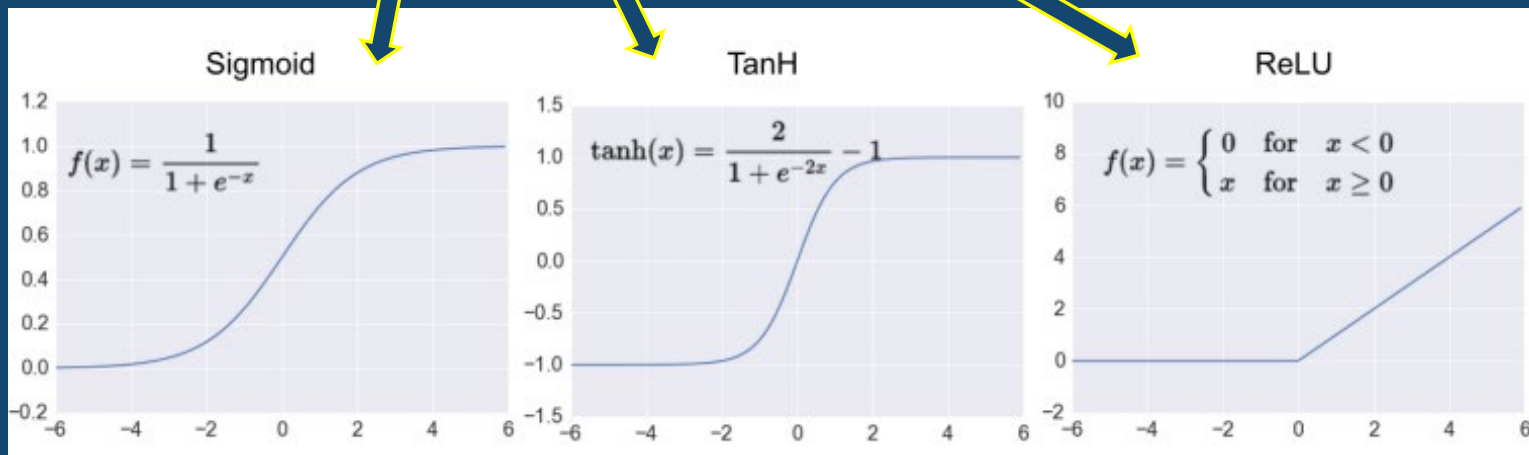
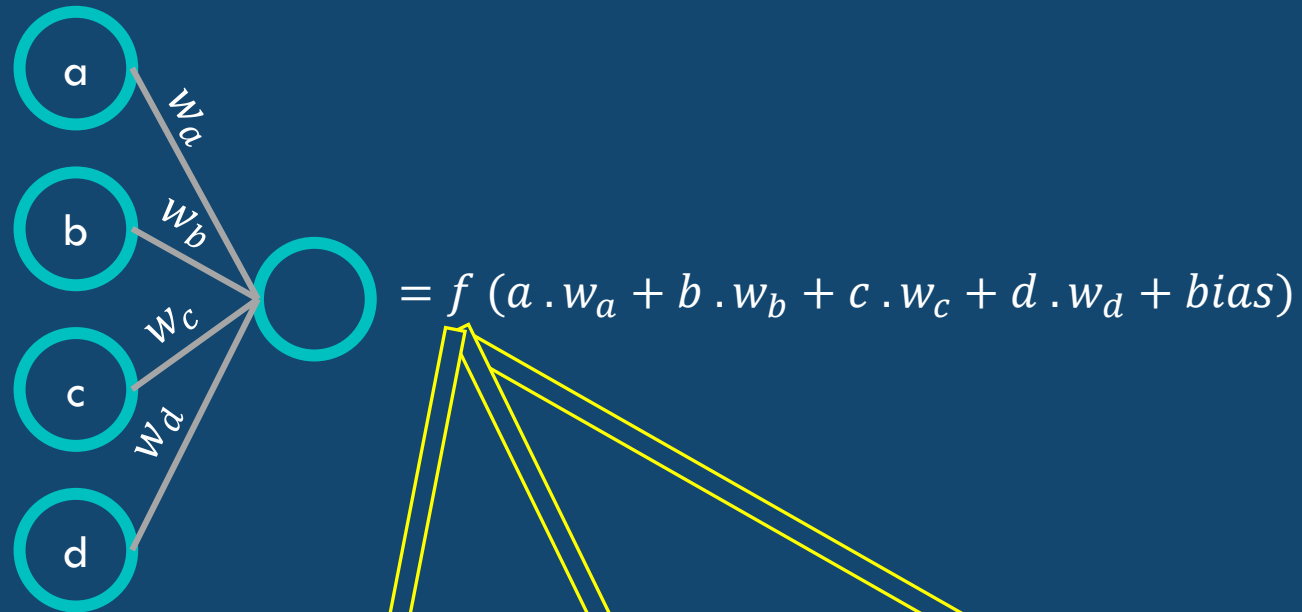
- Pick different thresholds
- Integrate to find efficiency and impurity for each threshold
- Repeat until you have enough points to make the ROC curve

# HOW THE SAUSAGE IS MADE – ELECTRON EDITION



- Pick different thresholds
- Integrate to find efficiency and impurity for each threshold
- Repeat until you have enough points to make the ROC curve

# MACHINE LEARNING & NEURAL NETWORKS



# MACHINE LEARNING & NEURAL NETWORKS

Input layer   Hidden layer(s)   Output layer



- Start with **random** weights and biases
- Calculate the **difference** between predicted and actual outputs
- Update weights and biases by taking the **negative gradient**
- Step **back** to previous layer and repeat