

Mitigating large background with subtracted cumulants

Yiannis Makris



arXiv:1803.04413 Daekyoung Kang, YM, Thomas Mehen

arXiv:1812.06977 Yang-Ting Chien, Daekyoung Kang, Kyle Lee, and YM

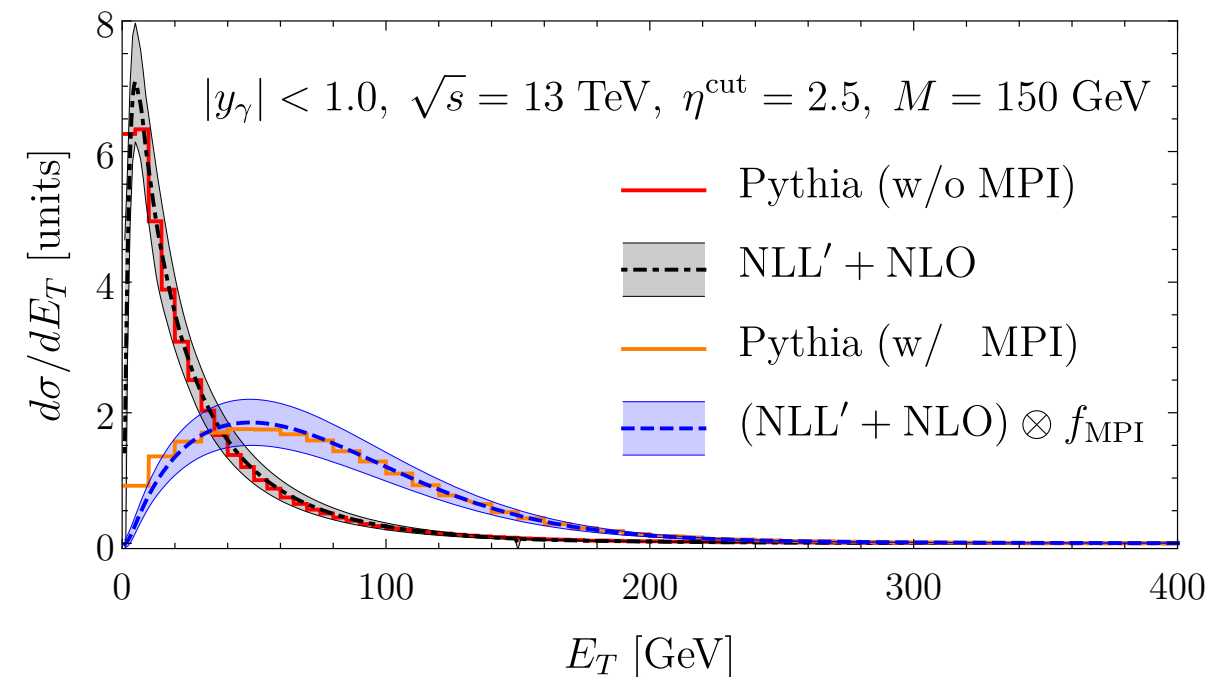
Outline

proton-proton :

background = MPI

Part 1: Subtracted Cumulants in transverse energy

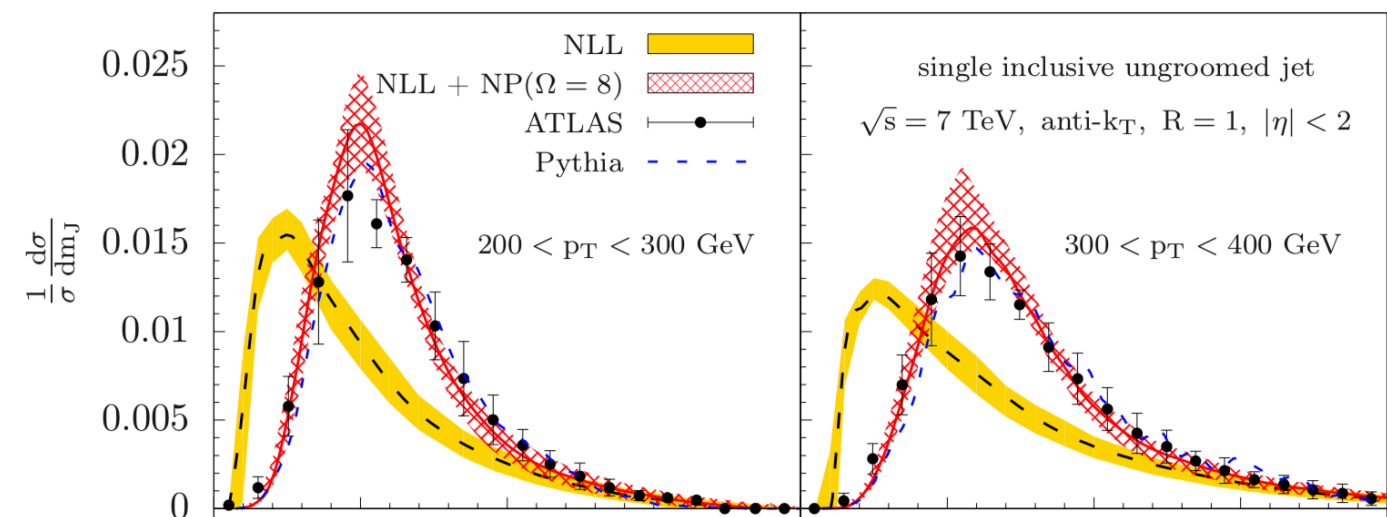
arXiv:1803.04413 Daekyoung Kang, YM, Thomas Mehen



Part 2: Subtracted Cumulants in jet mass

arXiv:1203.4606: ATLAS

arXiv:1803.03645 Z.B. Kang, K. Lee, X. Liu, and F. Ringer



Introduction-Cumulants

Cumulants: $\kappa_n[X]$

Cumulant-generating function: $K(t) = \ln(\mathbb{E}[e^{tX}]) \rightarrow \kappa_n[X] = \partial_t^{(n)} K(t)$

$$\kappa_1[X] = E[X]$$

$$\kappa_2[X] = E[X^2] - E[X]^2$$

$n \leq 3$ cumulants = central moments

$\vdots$

Independent additive observables: $Z = X + Y$

$$P_z = P_x \otimes P_y$$

$$\kappa_n[Z] = \kappa_n[X] + \kappa_n[Y]$$

Additive observables in Physics

Additive observables:

- event shapes e.g. transverse energy, ... $E_T(\eta_{cut}) = \sum_i |p_T^i| \theta(\eta_{cut} - |\eta_i|)$
- transverse momentum vector e.g. dijet imbalance, hadron-in-jet

Not Additive observables:

- jet shapes e.g. jet-mass (almost additive ?) $m_J^2 = \left(\sum_i \vec{p}_i^+ \right) \left(\sum_i \vec{p}_i^- \right)$
- transverse momentum scalar e.g. dijet imbalance, hadron-in-jet $|\vec{p}_T| = \left| \sum_i \vec{p}_T^i \right|$

Transverse energy - Introduction

$$E_T(\eta_{cut}) = \sum_i |p_T^i| \theta(\eta_{cut} - |\eta_i|)$$

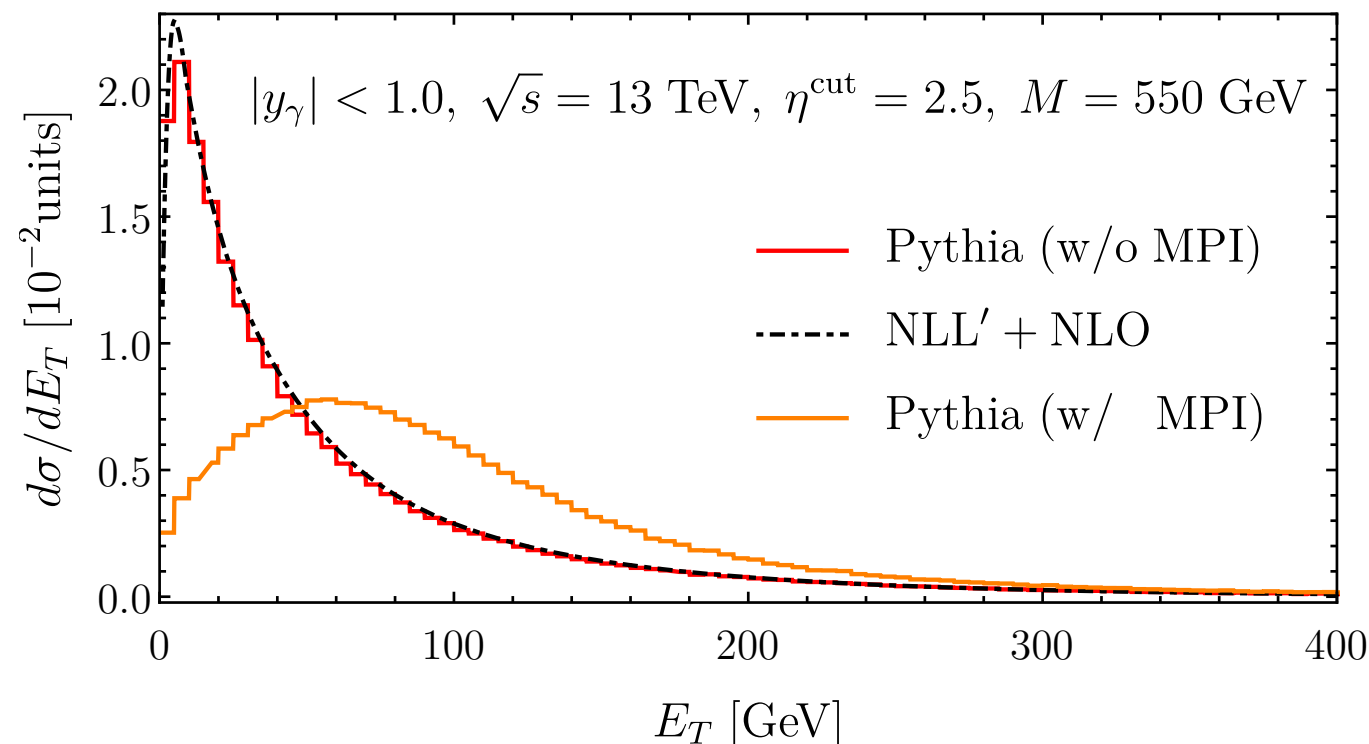
“UE sensitive observables” are useful for studying MPI contributions

Introduce a phasespace constraints

Theoretically challenging. QCD factorization breakdown.

Example:

$$p + p \rightarrow \gamma^* + X$$



Transverse energy - Factorization conjecture

$$Q \ll E_{c.m.}^{(hadr.)}$$

Assumption: MPI contribute to the measurement
roughly uncorrelated to the hard process.

$$\frac{d\sigma}{dE_T} = \frac{d\sigma^{\text{pert.}}}{dE_T} \otimes f(E_T)$$

Process independent:

- Hard scale
- Drell-Yan vs Higgs vs ...
- Could depend on experimental configuration/analysis

The first moment: $\langle E_T \rangle_{\text{exp.}} \simeq \langle E_T \rangle_{\text{pert.}} + \langle E_T \rangle_f$

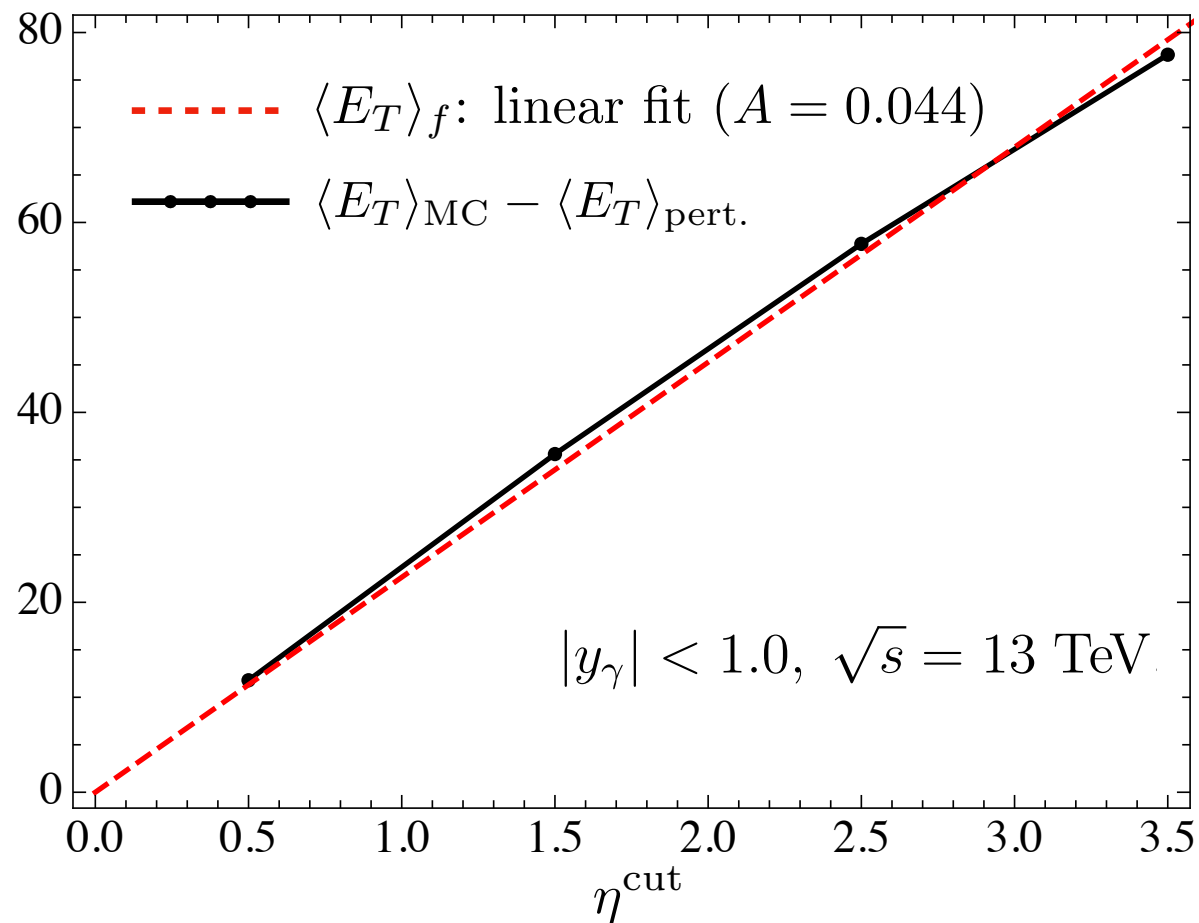
Similarly we can calculate higher moments and get a more complete picture of the model function

See also for same approach used in jet-mass spectrum:

arXiv:1405.6722 I. W. Stewart, F. J. Tackmann, and W. J. Waalewijn (vs Pythia)

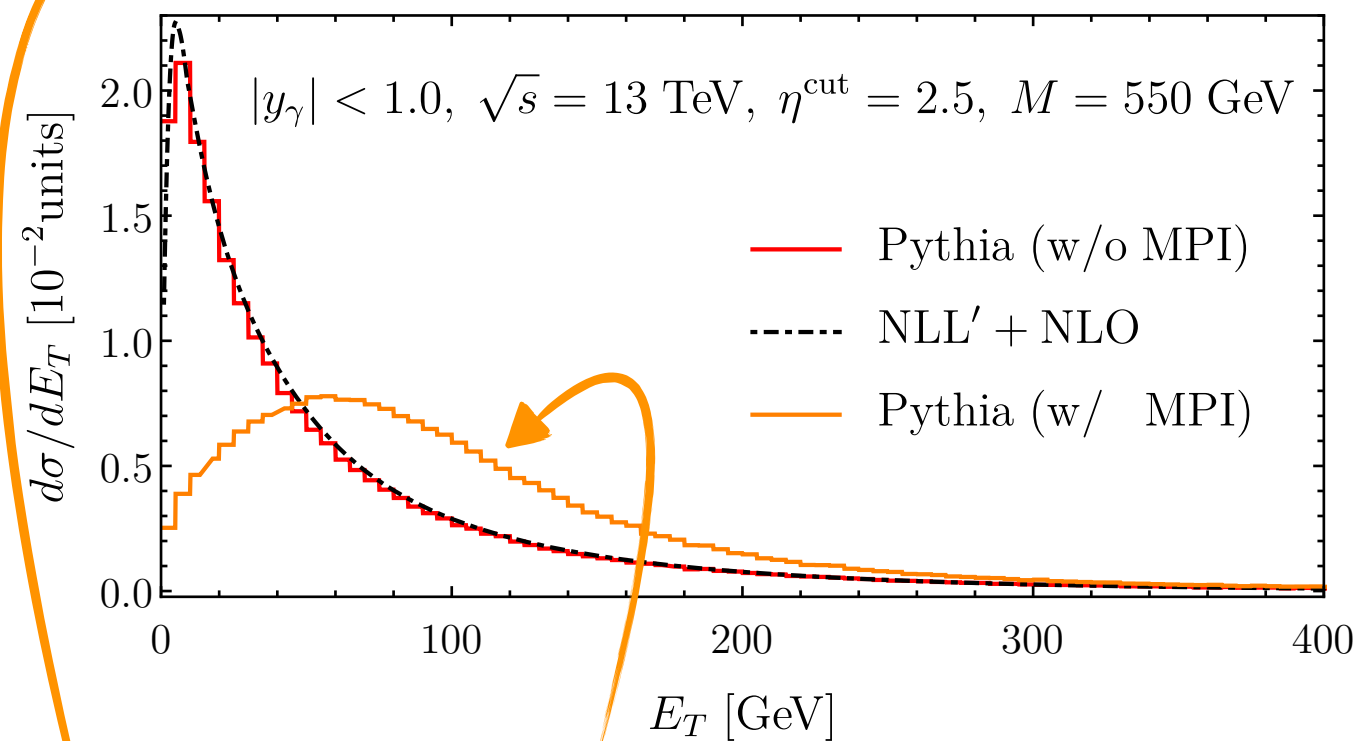
Transverse energy - Modeling MPI

$$\langle E_T \rangle_f \simeq \langle E_T \rangle_{\text{MC}} - \langle E_T \rangle_{\text{pert.}}$$



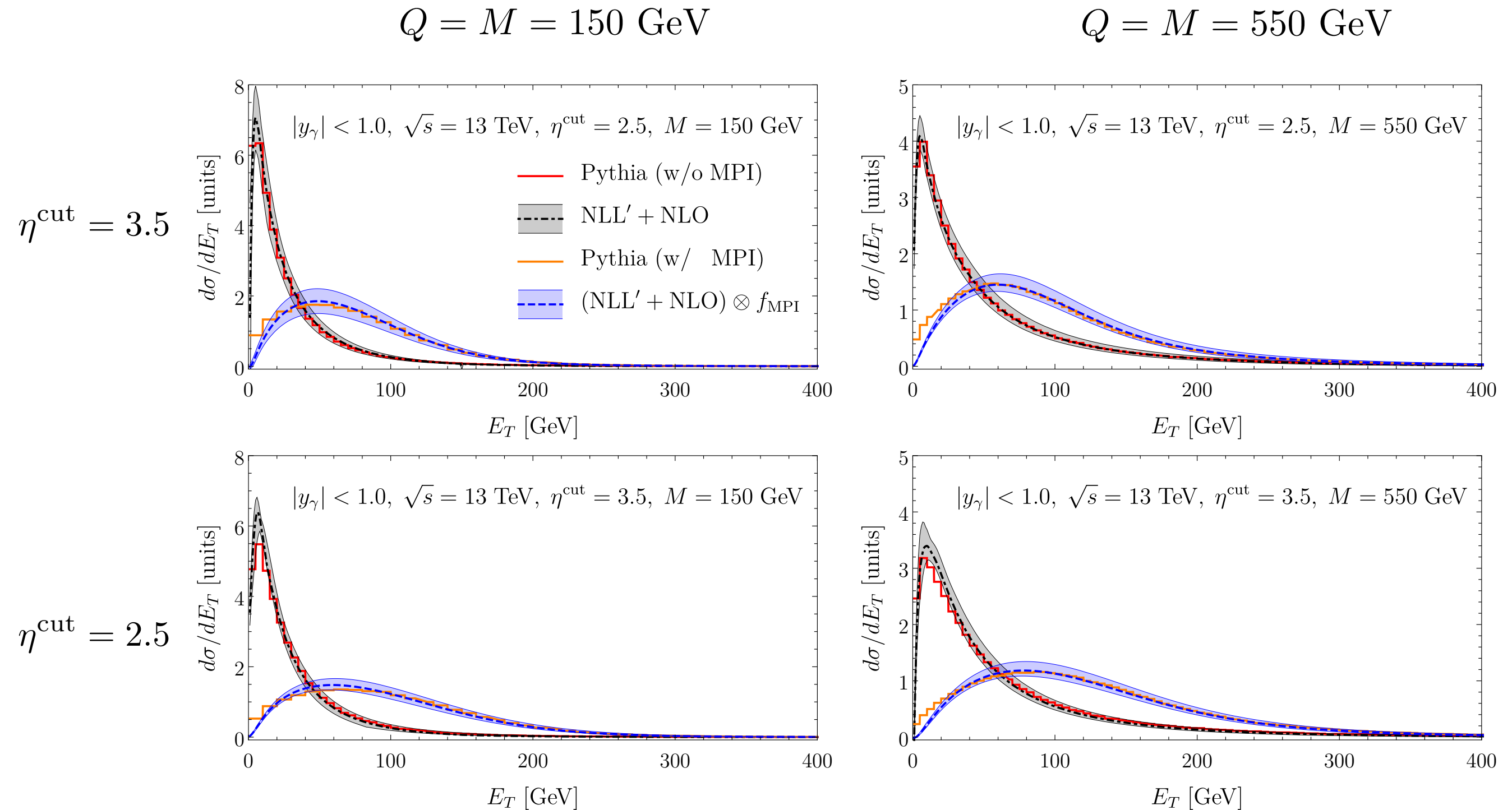
For this region of rapidity cutoff the first moment of the model function increase linearly with the cutoff parameter.

$$\langle E_T \rangle_f(\eta^{\text{cut}}) = \frac{1}{A} \eta^{\text{cut}}$$



$$f(E_T, \eta^{\text{cut}}, A) = \mathcal{N} \exp \left[- E_T^2 \left(\frac{A}{\eta^{\text{cut}} \sqrt{\pi}} \right)^2 \right]$$

Transverse energy - Modeling MPI



Transverse energy - Subtracted cumulants

Additive observables:
$$e = \sum_{i \in \text{event}} \hat{e}_i = \sum_{i \in \text{signal}} \hat{e}_i + \sum_{i \in \text{SUEs}} \hat{e}_i = e_S + e_B$$

SUEs = “Soft” Uncorrelated Emissions (soft emissions statistically independent from signal with probability distribution independent from the details of hard process)

$$\frac{d\sigma}{dedQdy}(e, Q, y) = \int de_B \frac{d\sigma_S}{dedQdy}(e - e_B, Q, y) f(e_B)$$

“Soft” : not necessary for truly additive observables. Becomes relevant for jet substructure

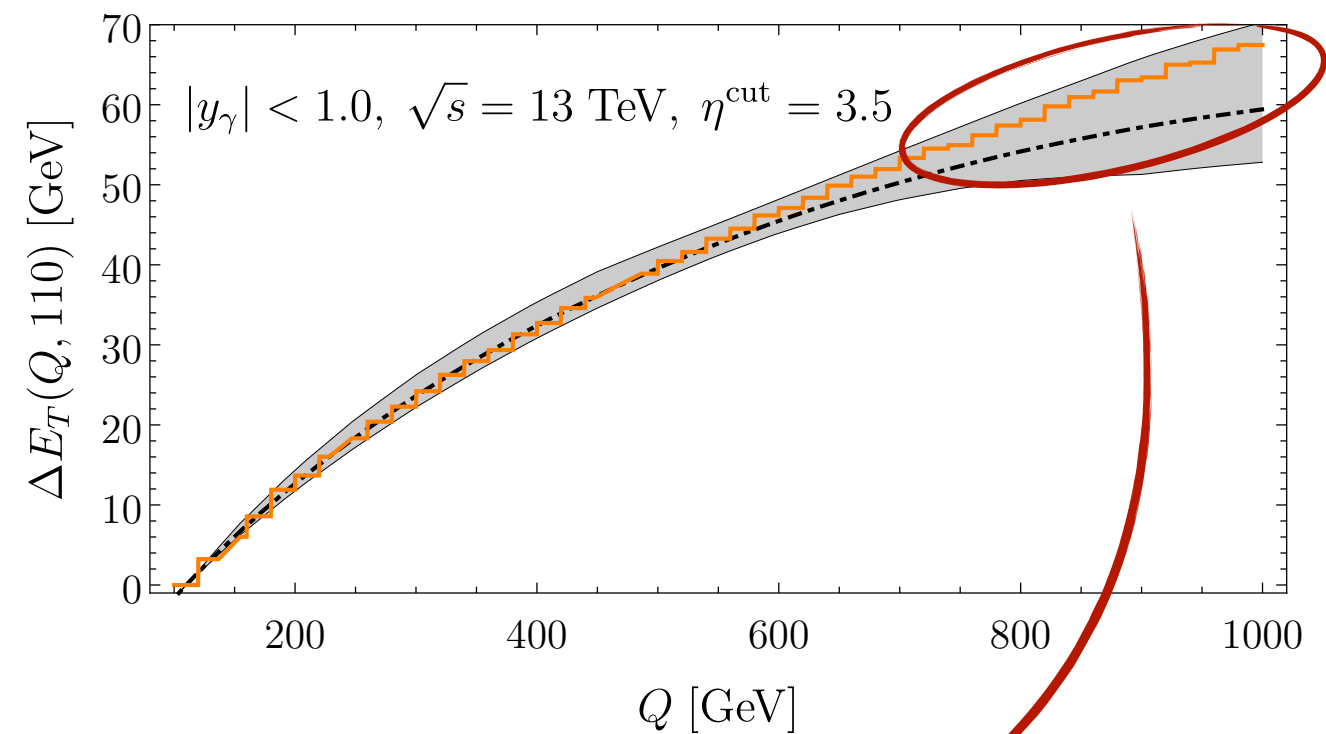
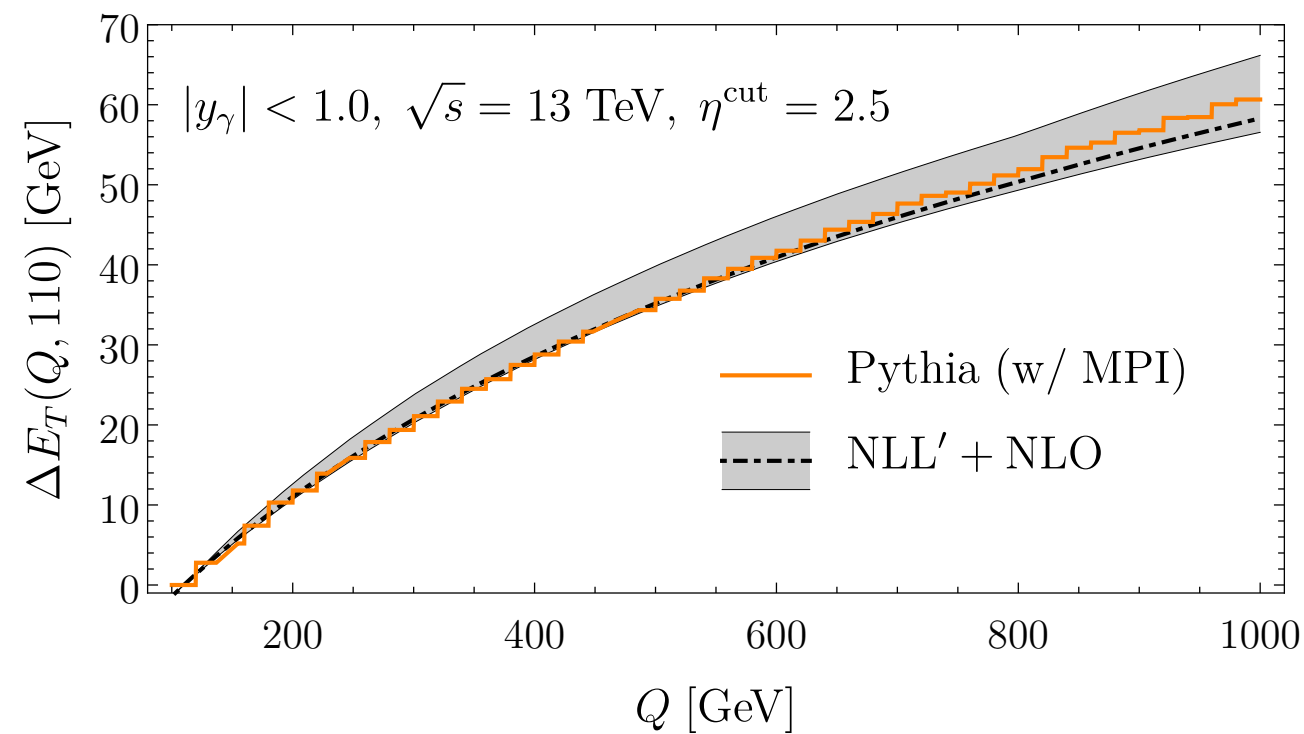
$$\rightarrow \kappa_n[d\sigma] = \kappa_n[d\sigma_S] + \kappa_n[f]$$

Subtracted cumulants
independent of model function

$$\rightarrow \Delta_e^{(n)}(Q_1, Q_2) = \kappa_n[d\sigma] \Big|_{Q_1} - \kappa_n[d\sigma] \Big|_{Q_2} = \kappa_n[d\sigma_S] \Big|_{Q_1} - \kappa_n[d\sigma_S] \Big|_{Q_2}$$

Transverse energy - Subtracted cumulants

$n = 1$



Beam saturation effects?

Jet substructure - Subtracted cumulants

Additive observables: $e = \sum_{i \in \text{event}} \hat{e}_i = \sum_{i \in \text{signal}} \hat{e}_i + \sum_{i \in \text{SUEs}} \hat{e}_i = e_S + e_B$

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Subtracted cumulants are independent of the model function: ideal for situations with large background contamination such as high-luminosity or QGP

- Alternative way to compare theoretical/model calculation of signal effects directly with experiment for well studied observables i.e. jet-mass.
- For AA or pA collisions compared against pp result can be used to probe nuclear effects

Jet substructure - Subtracted cumulants

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pp: signal = partonic, background = MPI

Working in pp: are MPI part of SUEs or signal?

Based on Pythia, yes*

* Under certain conditions: $p_T \ll E_{hadr.}^{c.m.} \sim 10 \text{ TeV}, \eta \lesssim 2$

Jet substructure - Subtracted cumulants

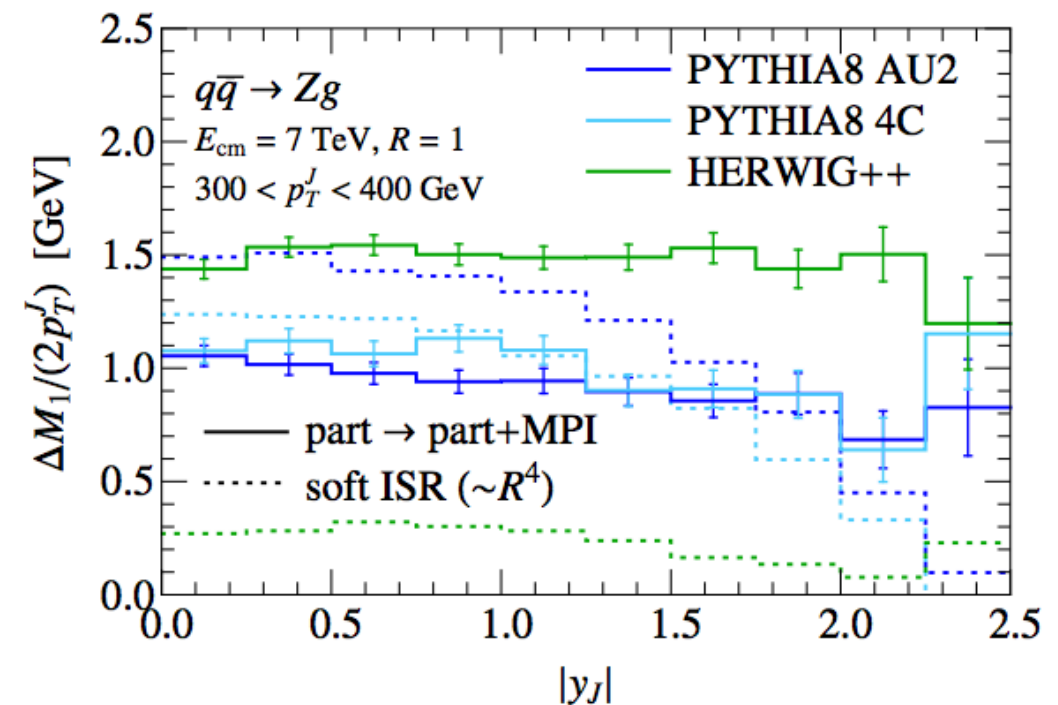
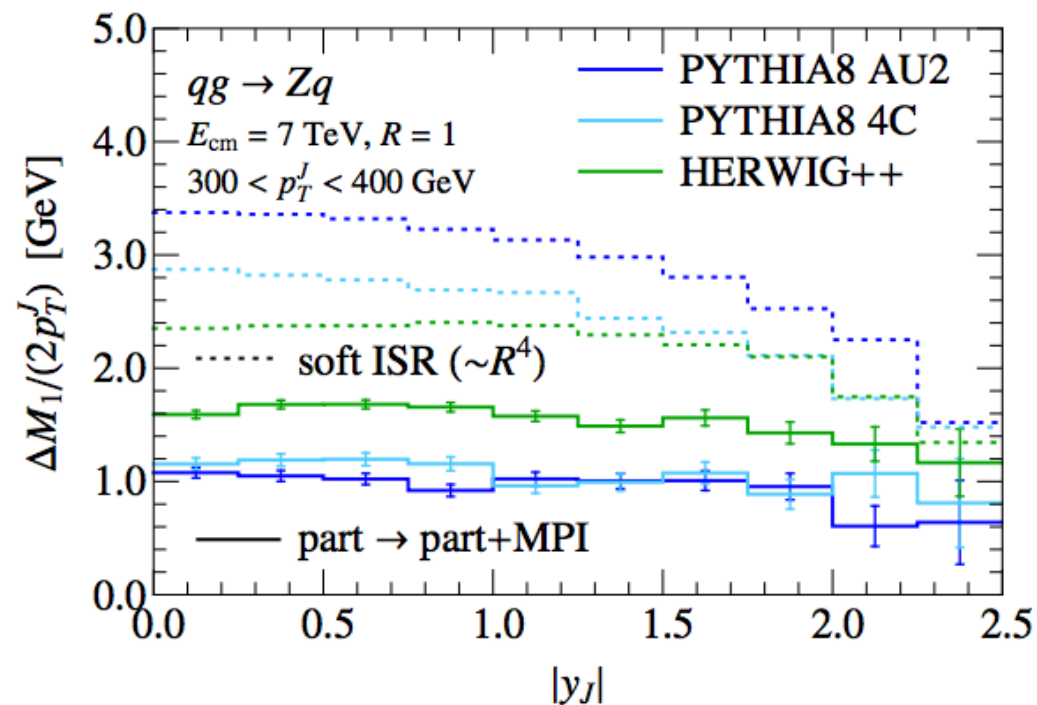
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$$\Delta M_1 = \langle m^2 \rangle \Big|_{w/ \text{ MPI}} - \langle m^2 \rangle \Big|_{w/o \text{ MPI}}$$

arXiv:1405.6722 I. W. Stewart, F. J. Tackmann, and W. J. Waalewijn (vs Pythia)



Jet substructure - Subtracted cumulants

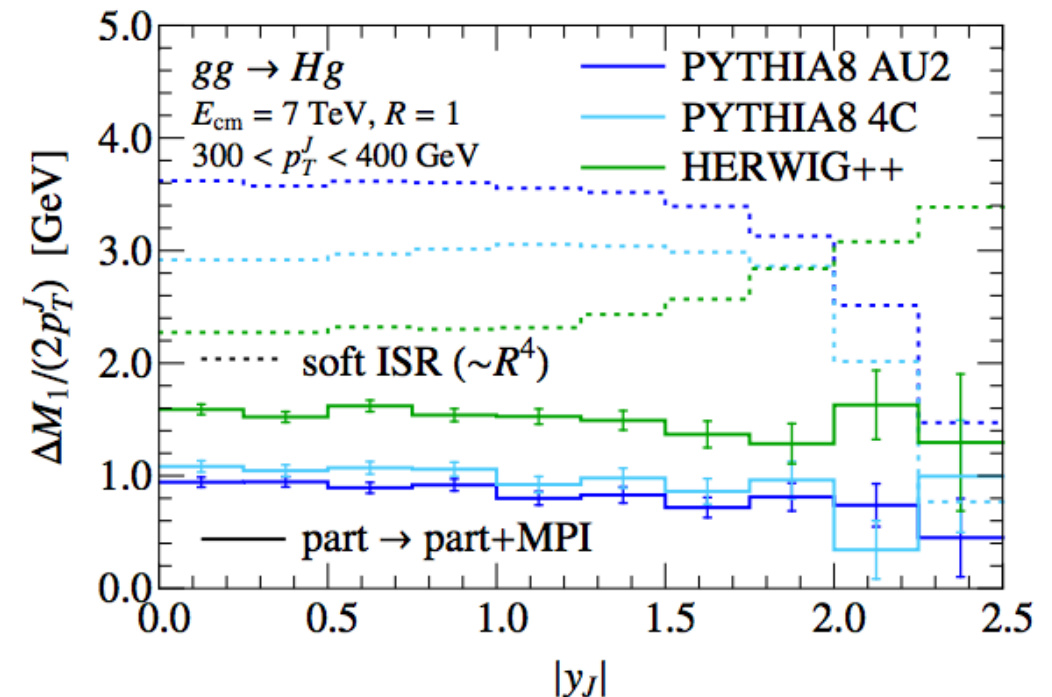
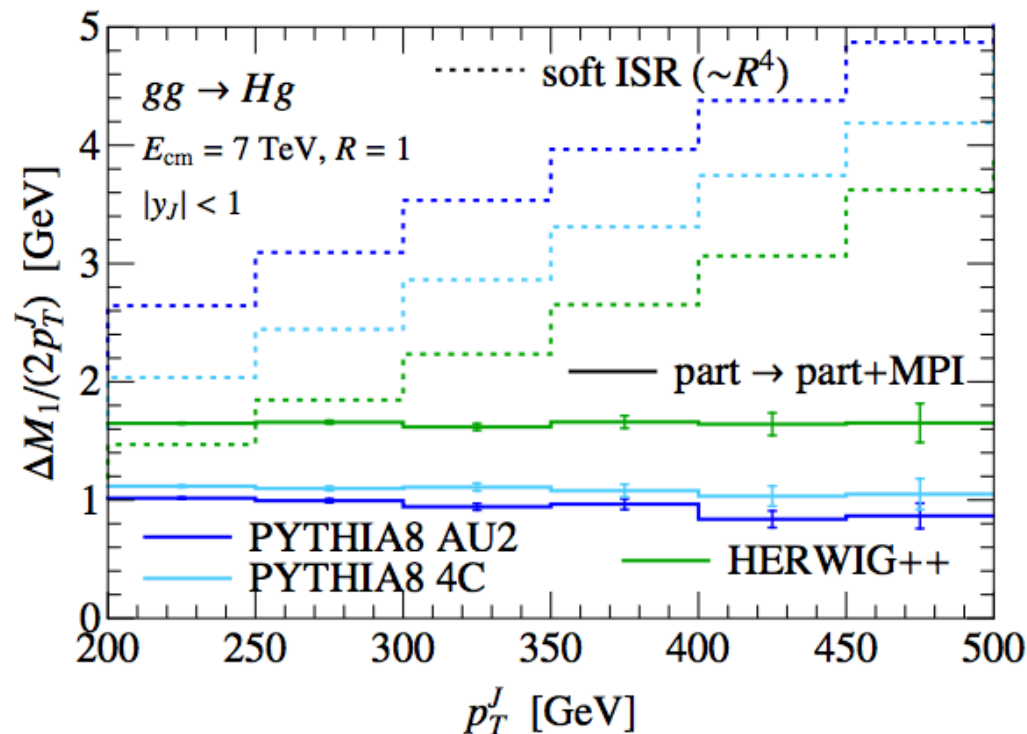
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Jet substructure - Subtracted cumulants

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Additive observable: $e = m^2/p_T$

Jet-mass based observables: $\langle m^2 \rangle = \langle m^2 \rangle_S + p_T \times \langle e_B \rangle_f (1 + \mathcal{O}(E_{\text{SUEs}}/E_J))$

For discrete
pT bins

$$\Delta_s(p_T^1, p_T^2) = \kappa_n[d\sigma] \Big|_{p_T^1} - \kappa_n[d\sigma] \Big|_{p_T^2} \frac{p_T^1}{p_T^2} \longrightarrow \boxed{\Delta_s^{ij} = \kappa_1^{[i]} - \kappa_1^{[j]} \frac{\langle p_T \rangle^{[i]}}{\langle p_T \rangle^{[j]}}}$$

Jet substructure - Subtracted cumulants

$$\tau = \frac{m^2}{p_T^2}$$

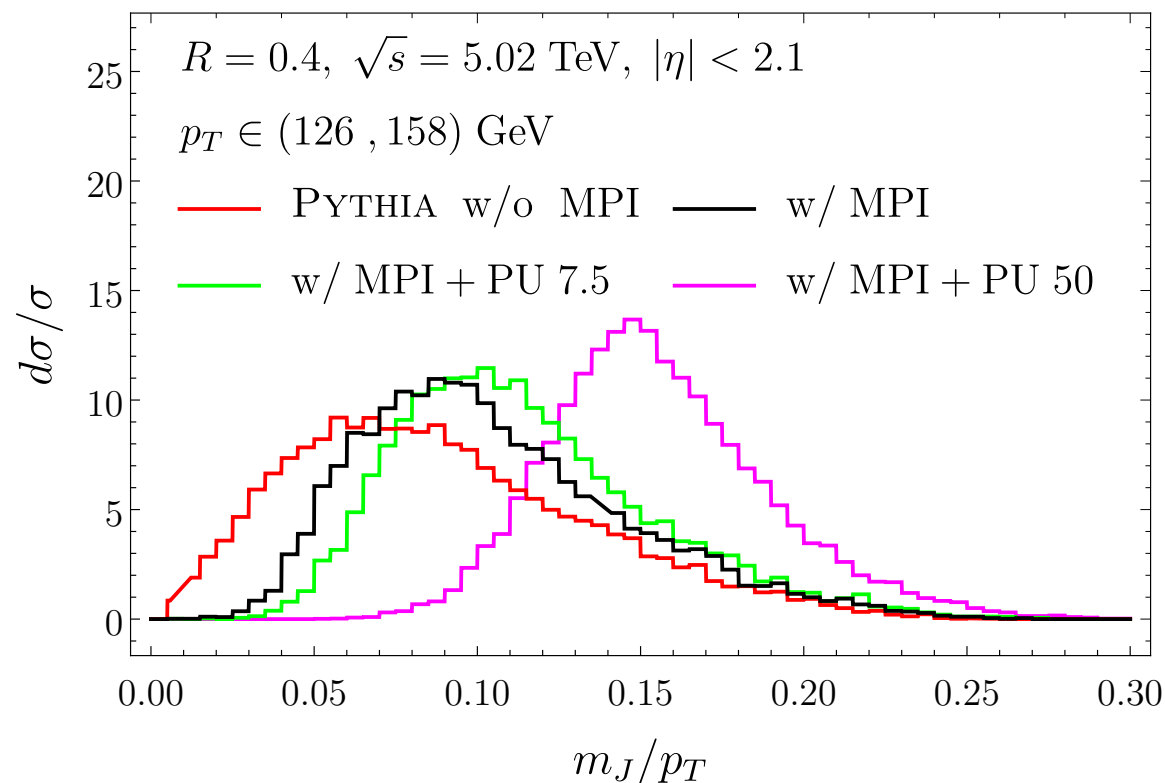
Binning and scaling requires modification
for cancelation of the model dependence

$$d\sigma(NLL + NLO) = d\sigma(NLL) + d\sigma(NLO) - d\sigma(singular)$$

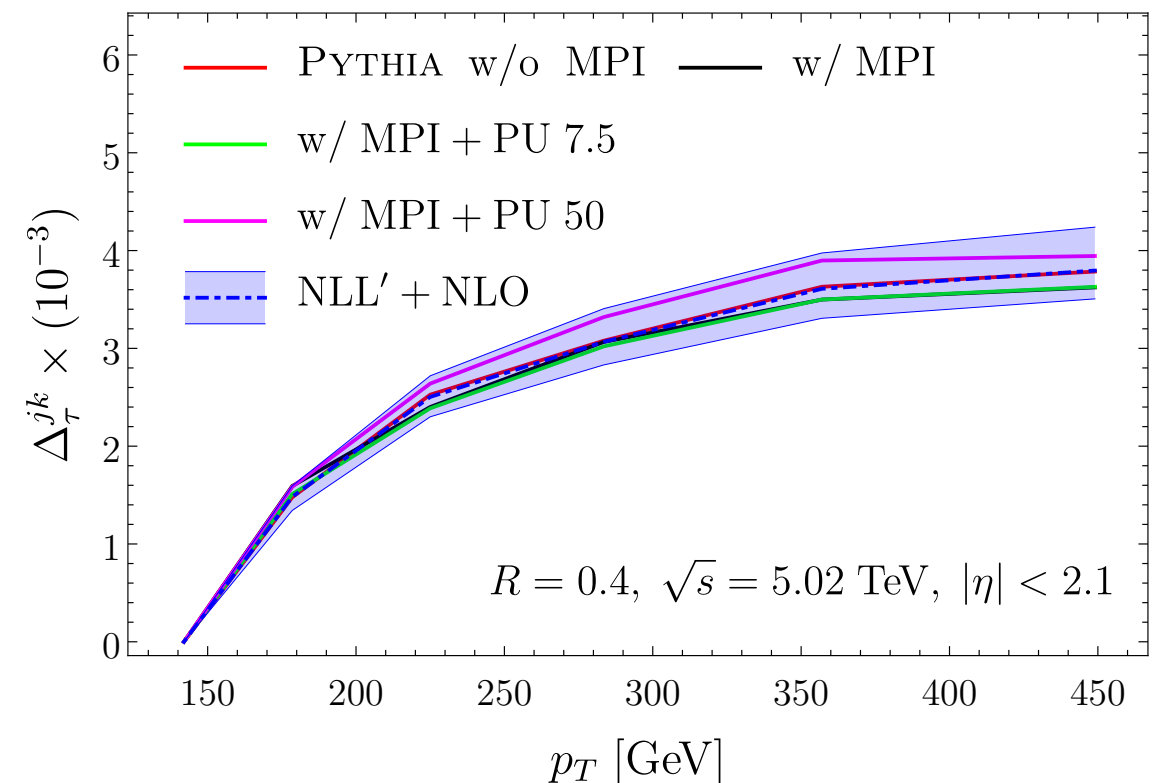
NLL': [arXiv:1803.03645](https://arxiv.org/abs/1803.03645) Z.B. Kang, K. Lee, X. Liu, and F. Ringer

$$\Delta_{\tau}^{ij} = \kappa_1^{[i]} - \kappa_1^{[j]} \frac{\langle p_T^{-1} \rangle^{[i]}}{\langle p_T^{-1} \rangle^{[j]}}$$

SUE - Sensitive

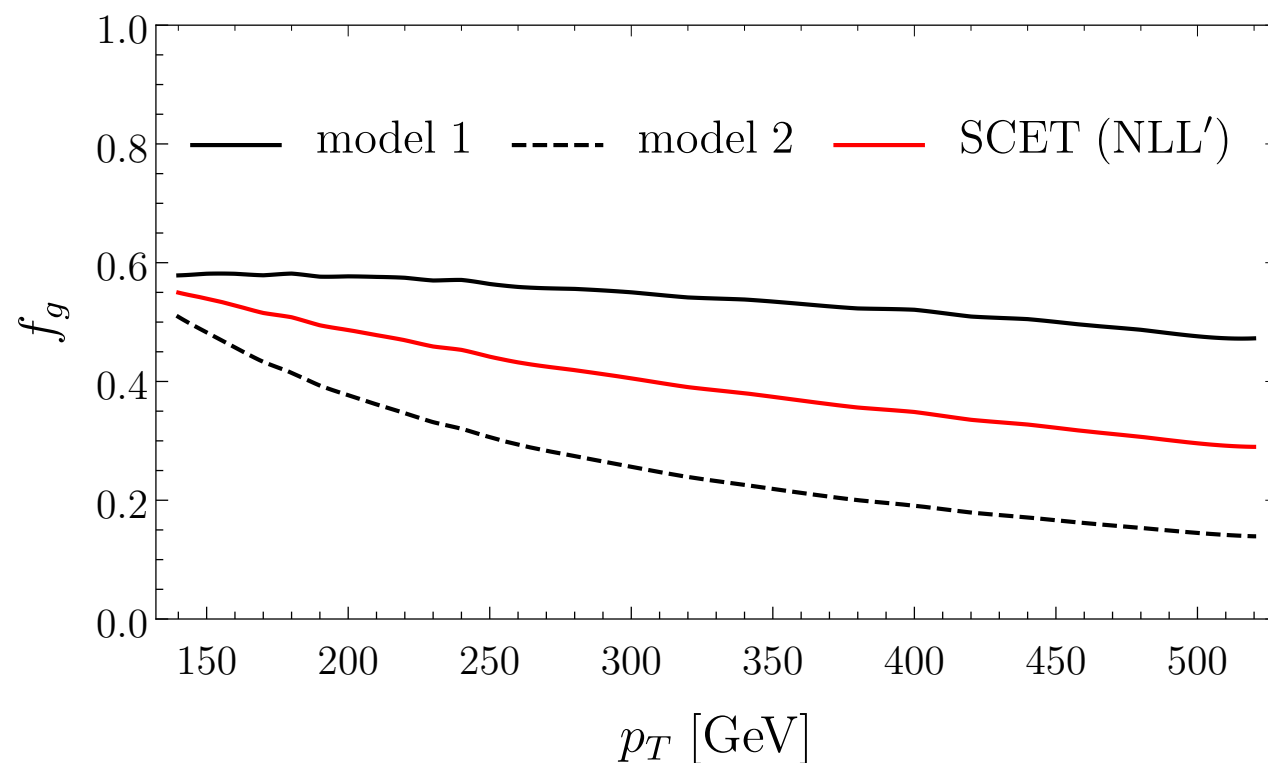


SUE - Insensitive



Jet substructure - Subtracted cumulants

Sensitivity to quark/gluon jet fraction: Quark and gluon jets are affected/quenched differently



Consider the following toy models:

$$f_g(p_T; a, b) = f_g^{\text{NLL}}(p_T) \left(\frac{p_T}{a} \right)^b$$

model 1: $a = 120$ GeV, $b = +1/3$

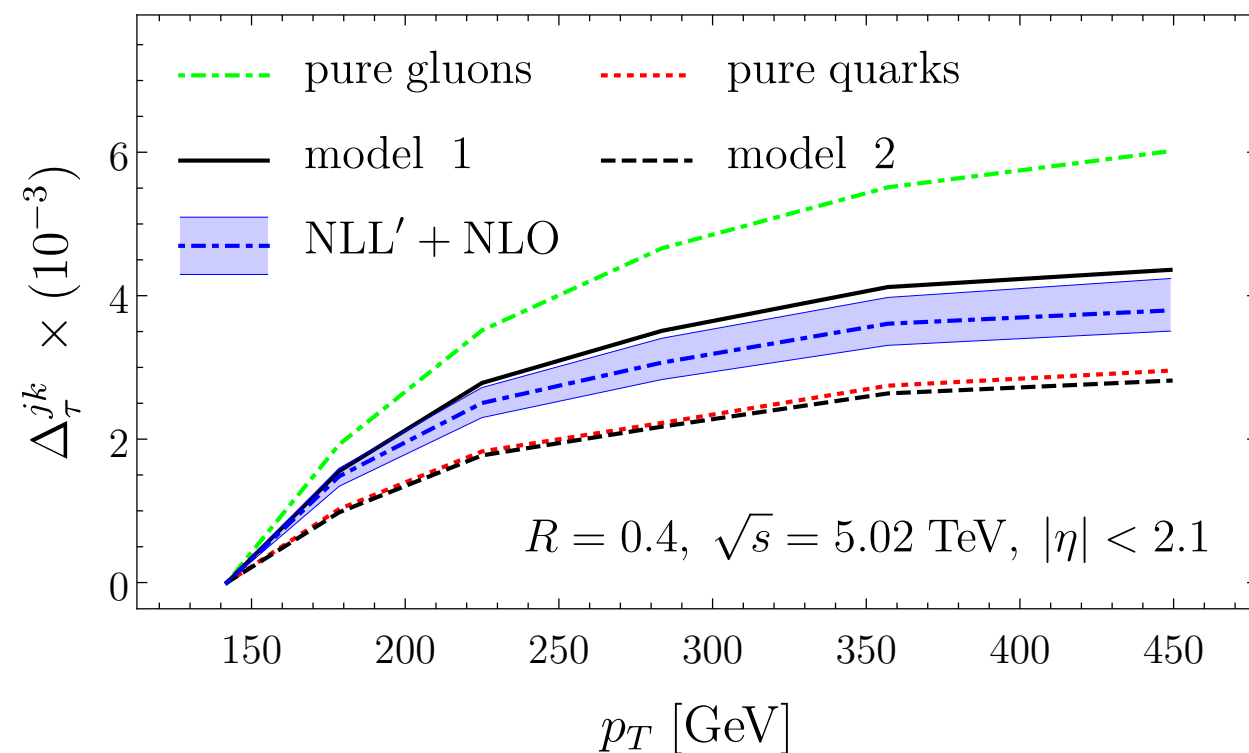
model 2: $a = 120$ GeV, $b = -1/2$

$$\langle \tau \rangle^{[j]} = f_g^{[j]} \langle \tau \rangle_g^{[j]} + (1 - f_g^{[j]}) \langle \tau \rangle_q^{[j]}$$

Jet substructure - Subtracted cumulants

Sensitivity to quark/gluon jet fraction: Quark and gluon jets are affected/quenched differently

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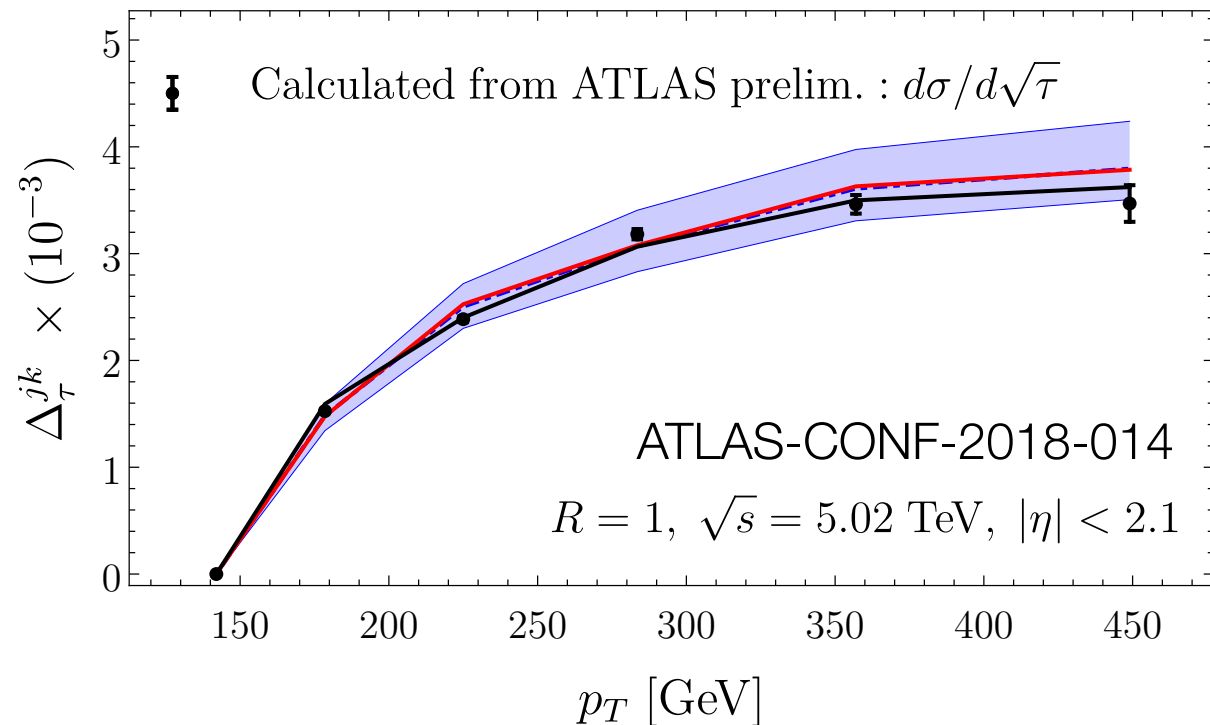
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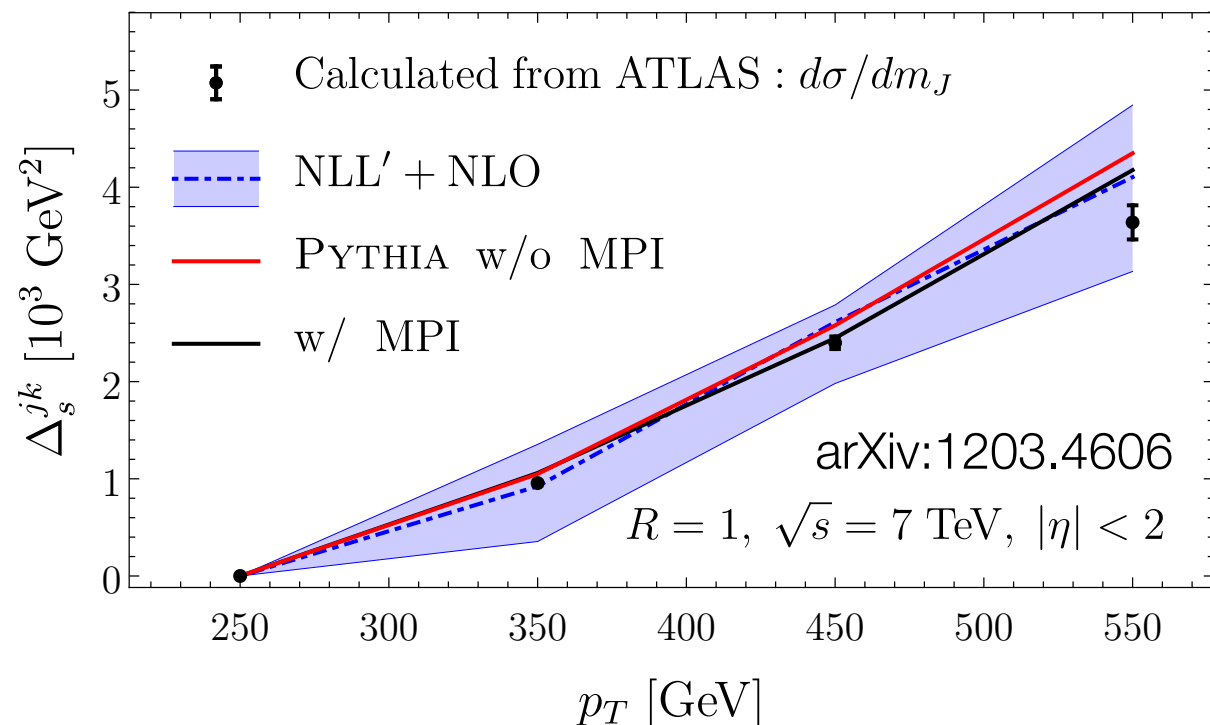
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Jet substructure - Subtracted cumulants



Indication for the size of statistical uncertainties using: $\sqrt{\frac{\text{Var}[\tau]}{N}}$

From differential distribution of the jet mass measurements from ATLAS **we calculated** the subtracted cumulants.



$$\Delta_s^{ij} = \kappa_1^{[i]} - \kappa_1^{[j]} \frac{\langle p_T \rangle^{[i]}}{\langle p_T \rangle^{[j]}}$$

Jet substructure - Subtracted cumulants

Extremely large background necessary modifications

$$\langle m^2 \rangle = \langle m^2 \rangle_S + p_T \times \langle e_B \rangle_f (1 + \mathcal{O}(E_{\text{SUEs}}/E_J))$$

1) x-axis: bin migration

2) y-axis: pT re-scale from additivity equation

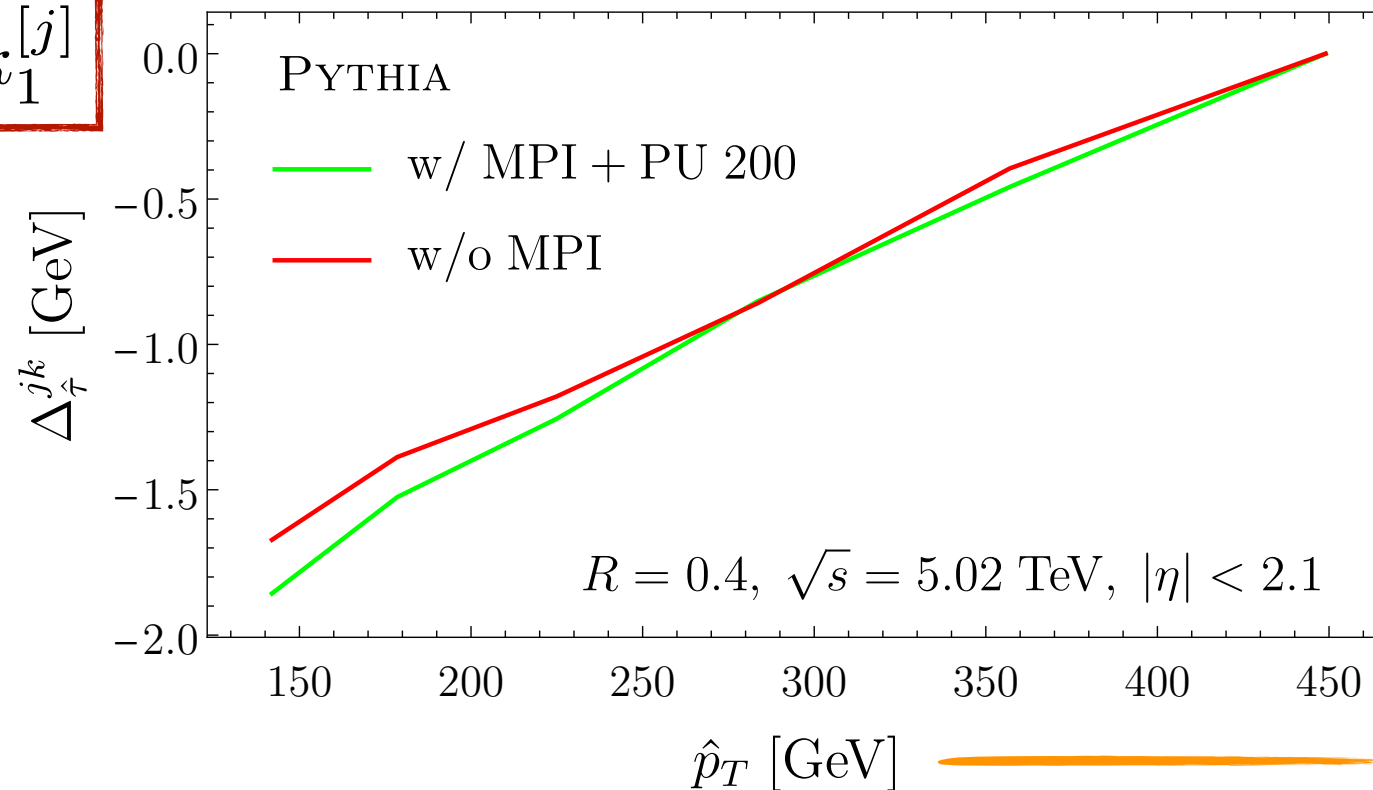
Jet substructure - Subtracted cumulants

Large background will spoil the additivity of the observable

$$\underbrace{\hat{\tau} = 2 \cosh(y) \sum_{i \in \text{jet}} p_i^+}_{\text{Solves (2): Additive}} \simeq \frac{m^2}{p_T}, \quad p^+ = p^0 - \vec{n} \cdot \vec{p}$$

Jet mass (or related observables) in large background.

$$\Delta_{\hat{\tau}}^{ij} = \kappa_1^{[i]} - \kappa_1^{[j]}$$



Solves (1):
Bin migration effects need to be considered. We correct the “x-axis” by subtracting average transverse momentum per unit area. (as implemented in **FastJet**)

Subtracted cumulants - Why ?

Comparisons of Th./Exp. vs Th./Exp. : no experiment, jet radius, or jet algorithm dependence.

Test of the factorization (independence) hypothesis

- Is easy to measure: smaller uncertainties.
- Probe to the factorization breaking effects

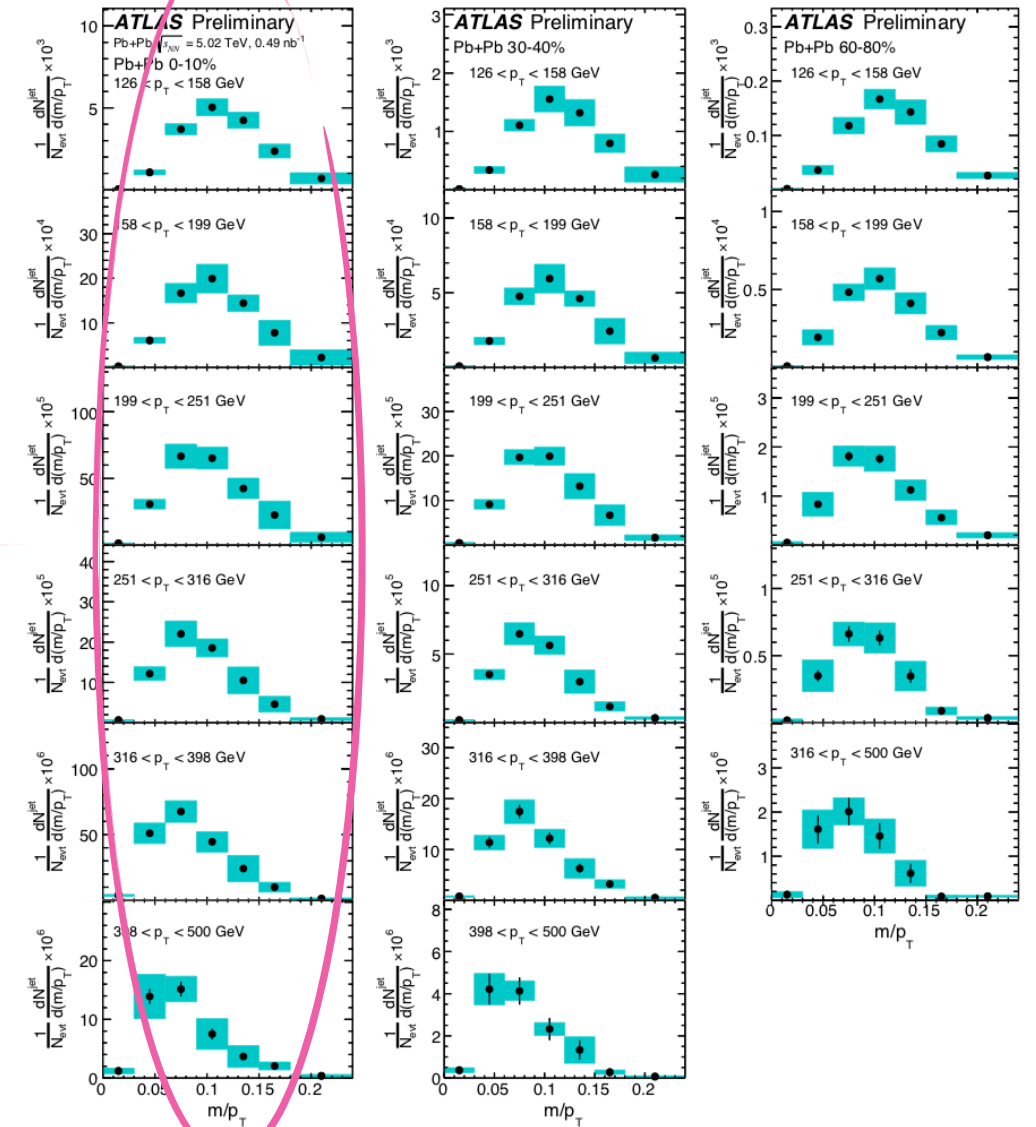
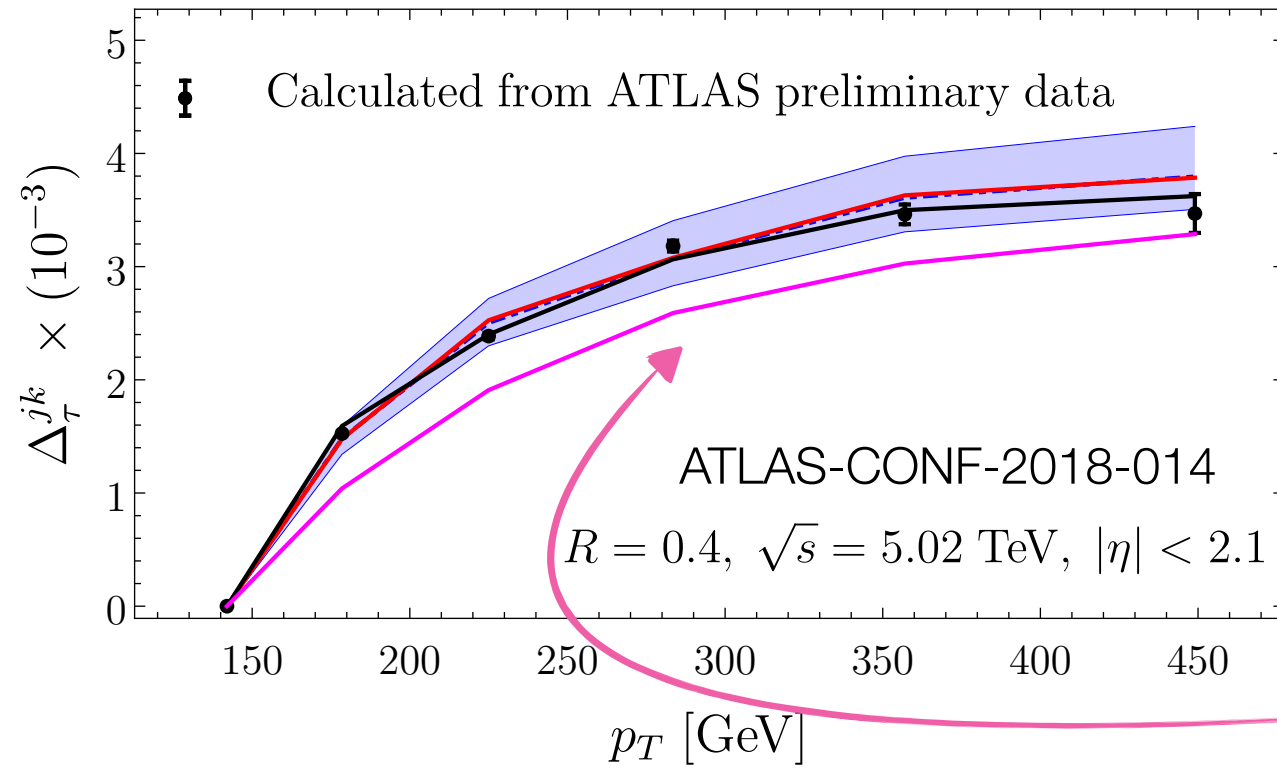
Studies of pseudo rapidity dependence of MPI (UE) using TE.

Test for Monte-Carlo models for MPI + ISR + FSR + ...

Pile-up subtraction (test + phenomenology)?

Thank you

Subtracted cumulants - Pb+Pb

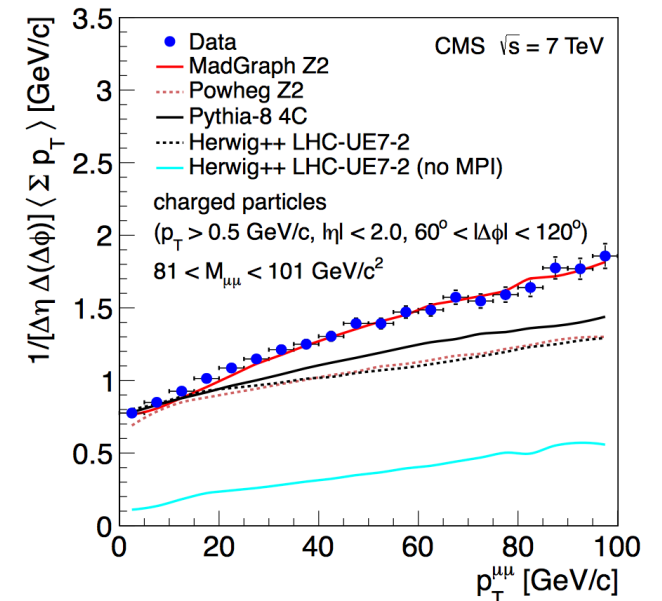
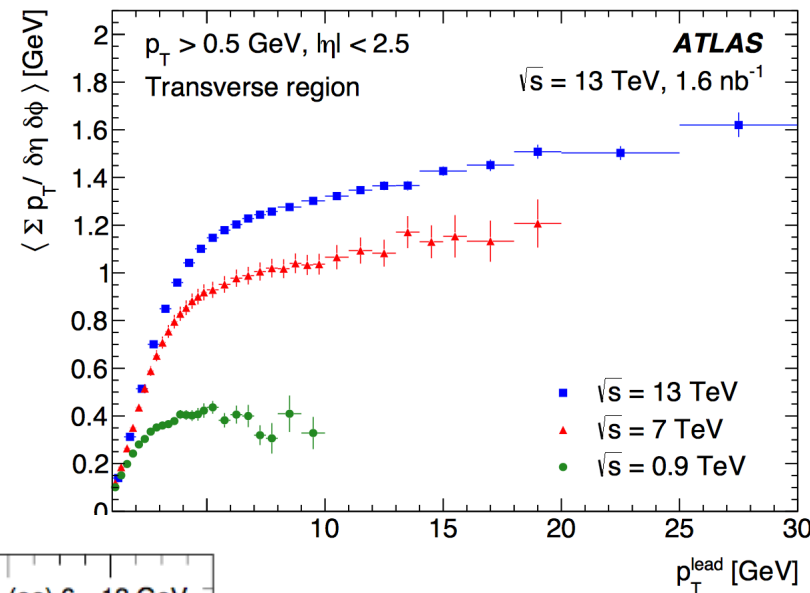


Subtracted cumulants - Transverse energy

Why ?

$$pp \rightarrow Z(\ell^+ \ell^-) + X \quad \eta^{\text{cut}} = 2.5$$

arXiv:1602.08980

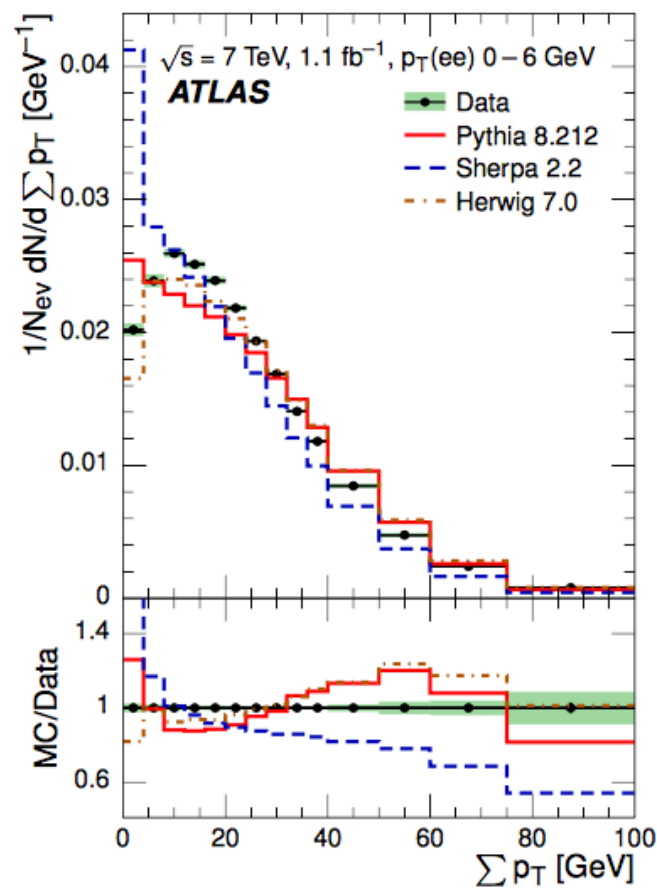


arXiv:1701.05390

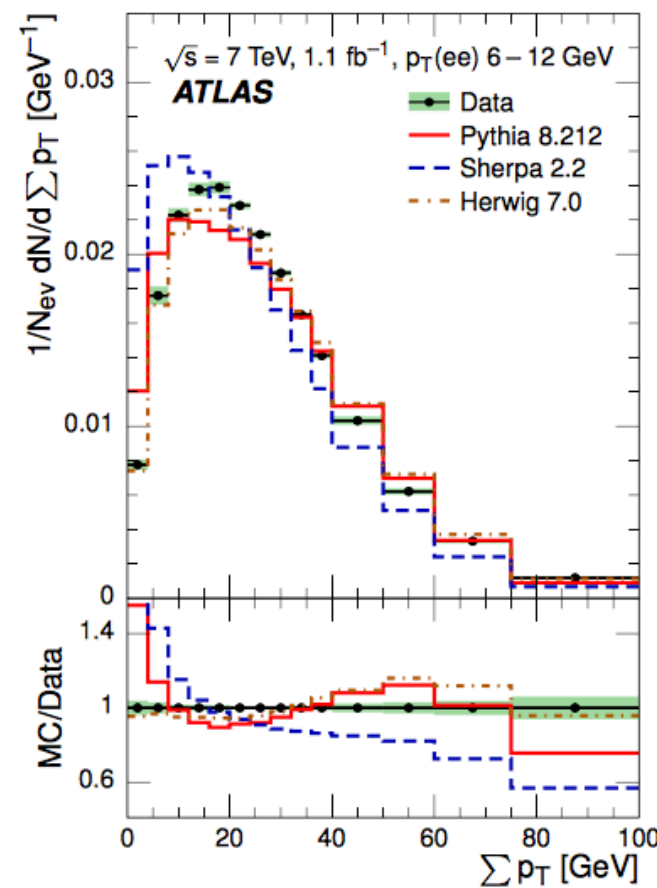
$$pp \rightarrow X$$

arXiv: 1204.1411(CMS)

$$pp \rightarrow Z(\mu^+ \mu^-) + X$$



(a) $\sum p_T, p_T(e^+e^-)$: 0-6 GeV



(b) $\sum p_T, p_T(e^+e^-)$: 6-12 GeV

