



CMS Overview

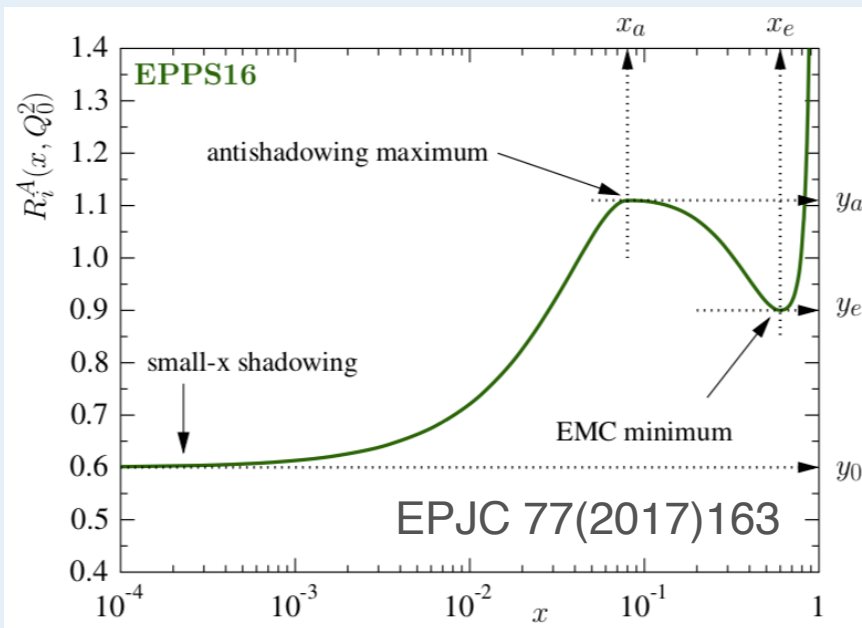
Jing Wang (MIT)
on behalf of the CMS Collaboration

5th International Conference on the Initial Stages in High-Energy Nuclear Collisions
24 - 28 June 2019
Columbia University, New York, NY (US)

Why the Physics Interest in the Initial Stages?

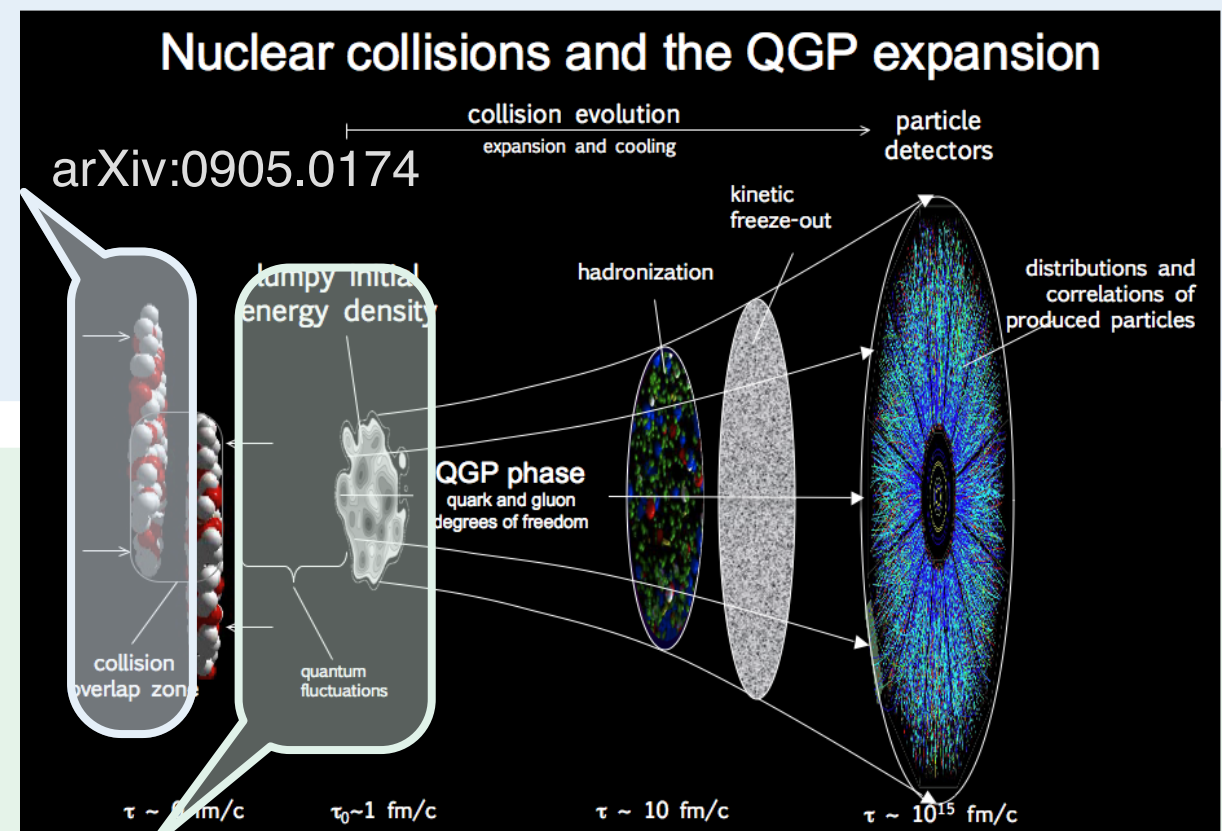
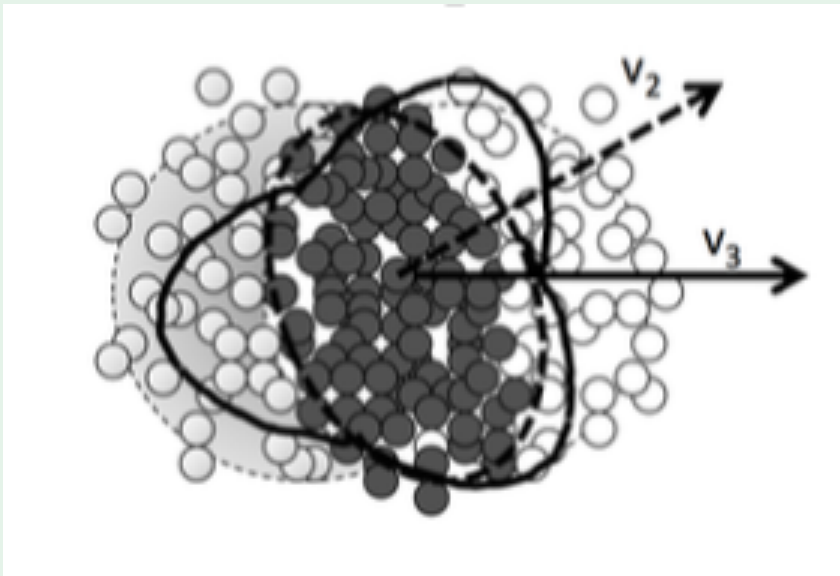
Motivation

Constrain nPDF



- PDFs in bound nucleons modified compared to free protons
- Crucial input for QCD calculation in heavy-ion collisions

Initial Geometric Fluctuations

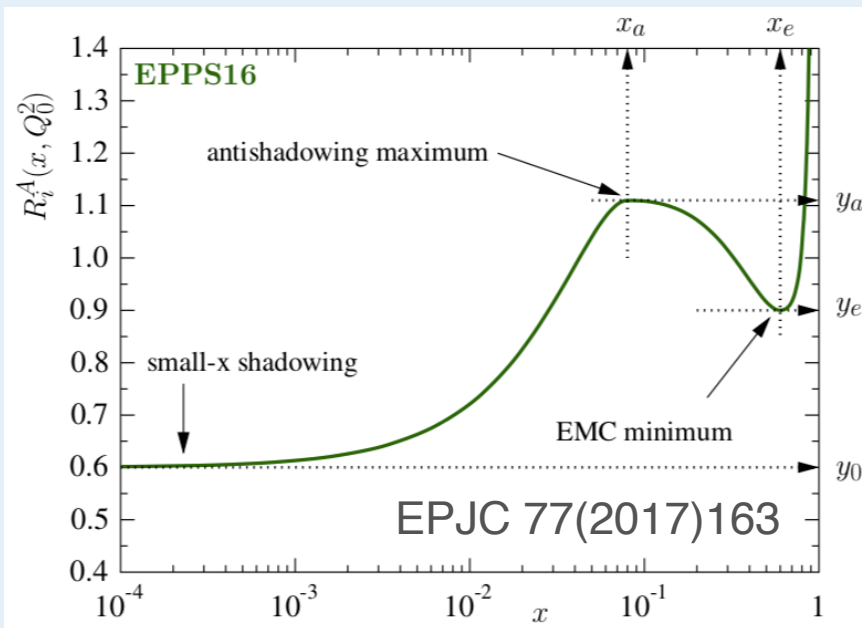


- Poor knowledge of how the system evolves towards thermalization

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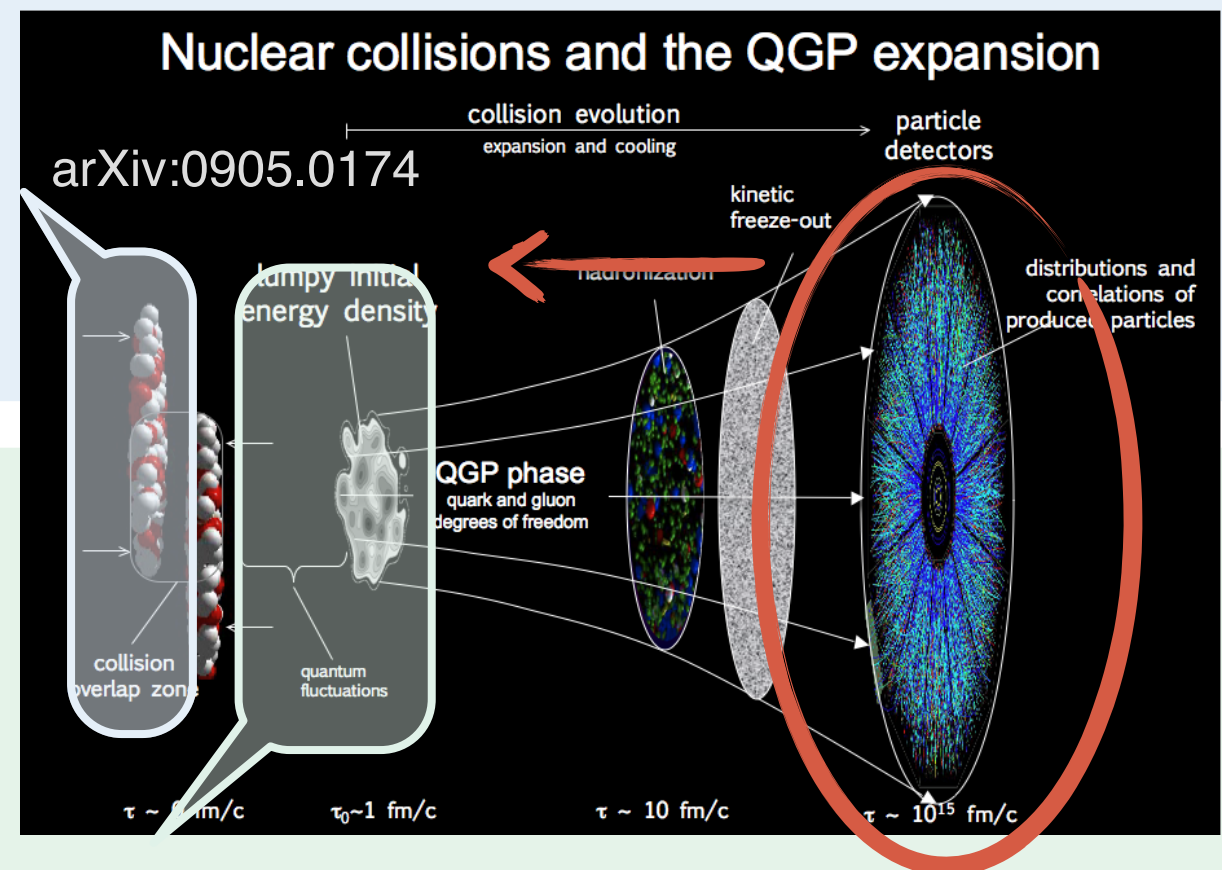
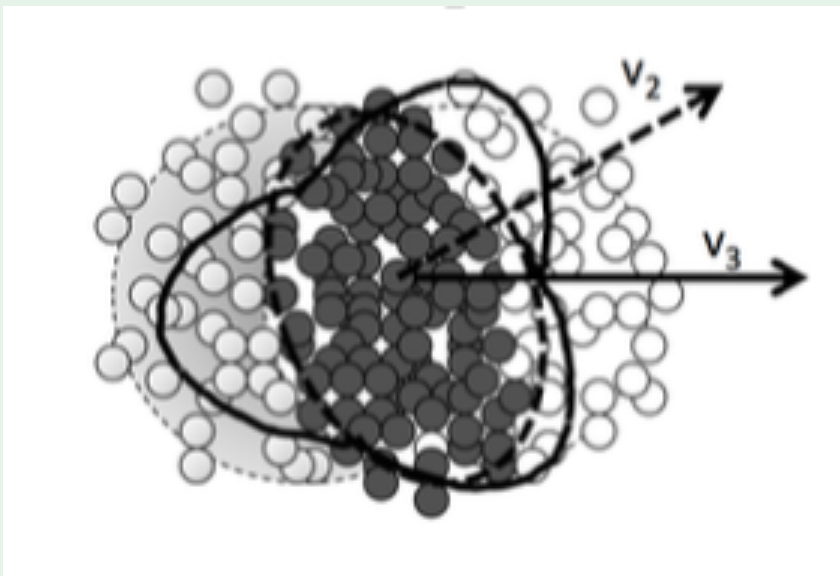
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How to do that in experiments?

Initial Stage Studies in Experiments

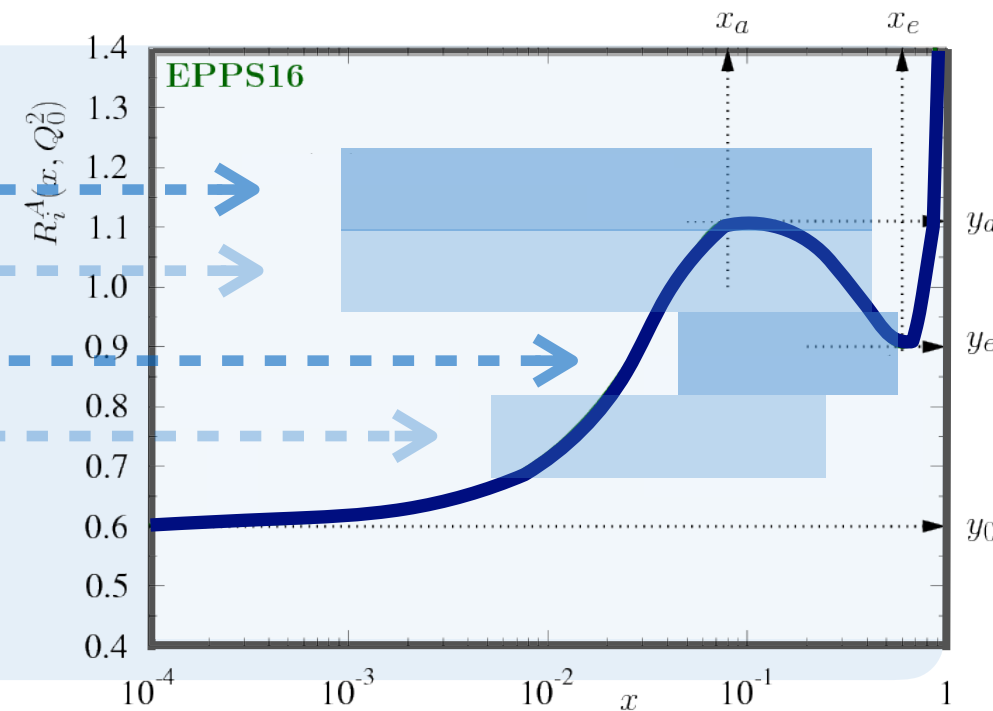
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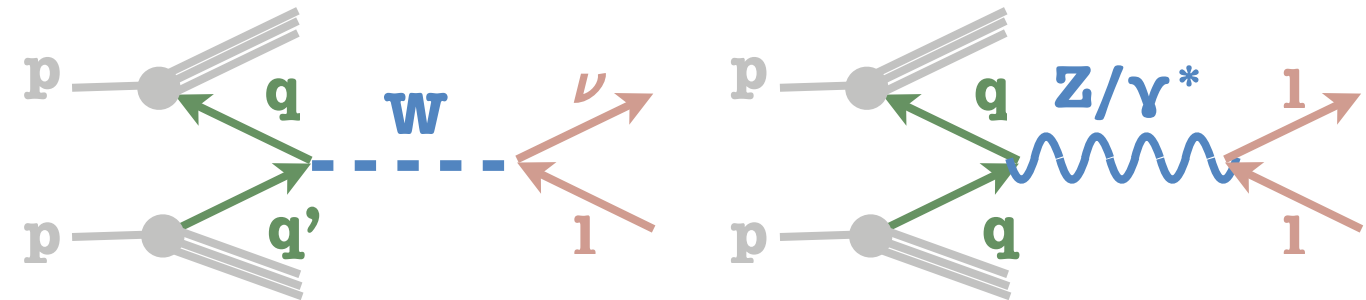
- x : 10^{-3} - 10^{-1} , $Q^2 \sim M_{W/Z}^2$
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- high x , $Q^2 \sim M_t^2$
- x : 10^{-2} - 10^{-1}

Probes + Observables

- Electroweak boson in pA
- Isolated photon in pA(AA)
- Top production in pA
- Dijet in pA

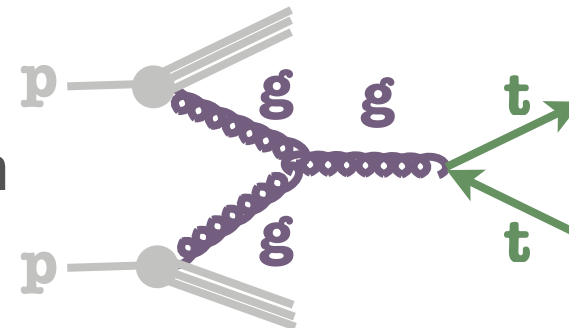


- **Electroweak boson (quark nPDF)**
 - ➔ Rapidity distribution sensitive to nPDF
 - ➔ high-Q regime



- **Dijet (gluon nPDF)**
 - ➔ Rapidity distribution sensitive to nPDF

- **Top quark (gluon nPDF)**
 - ➔ Decay before interacting with the medium
 - ➔ high-x, high-Q region $\Rightarrow$ EMC



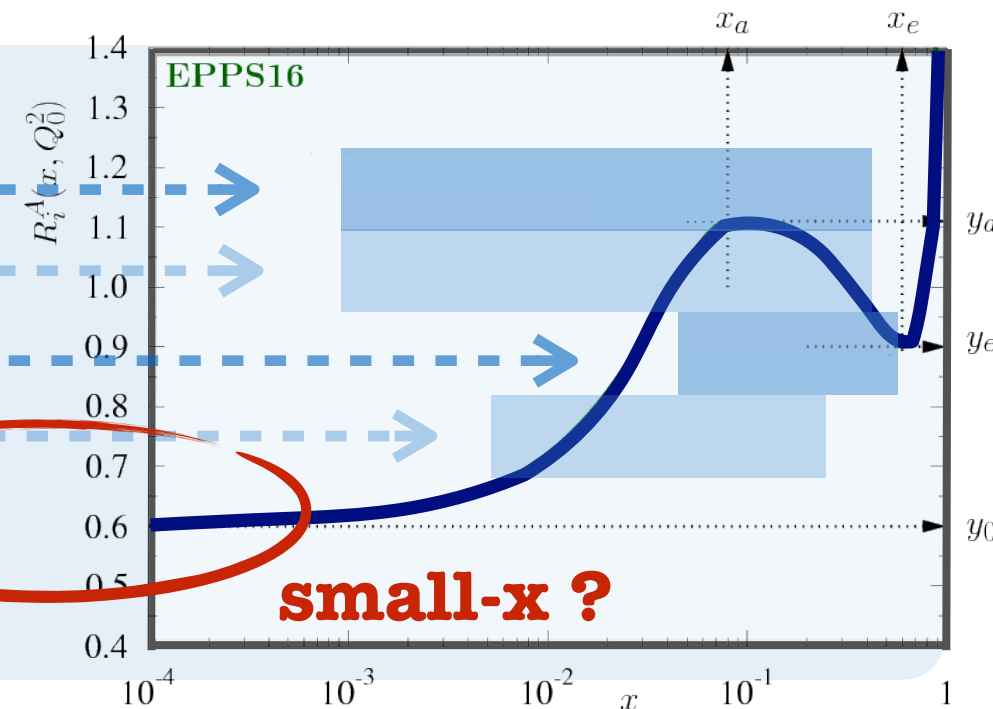
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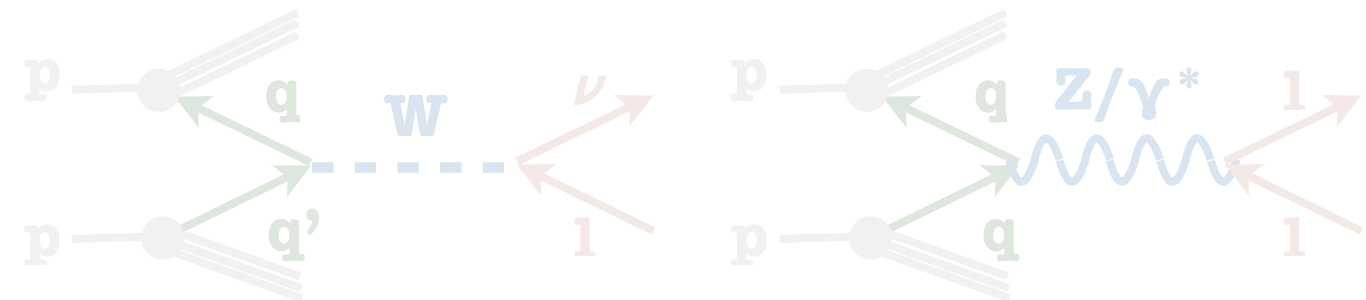
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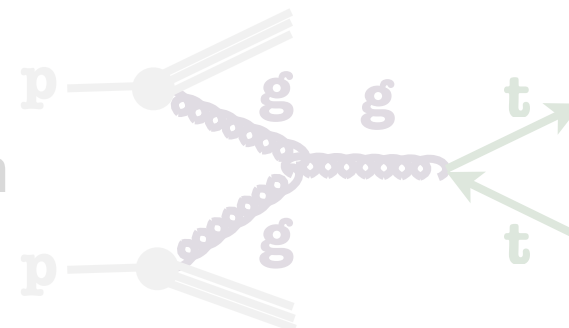


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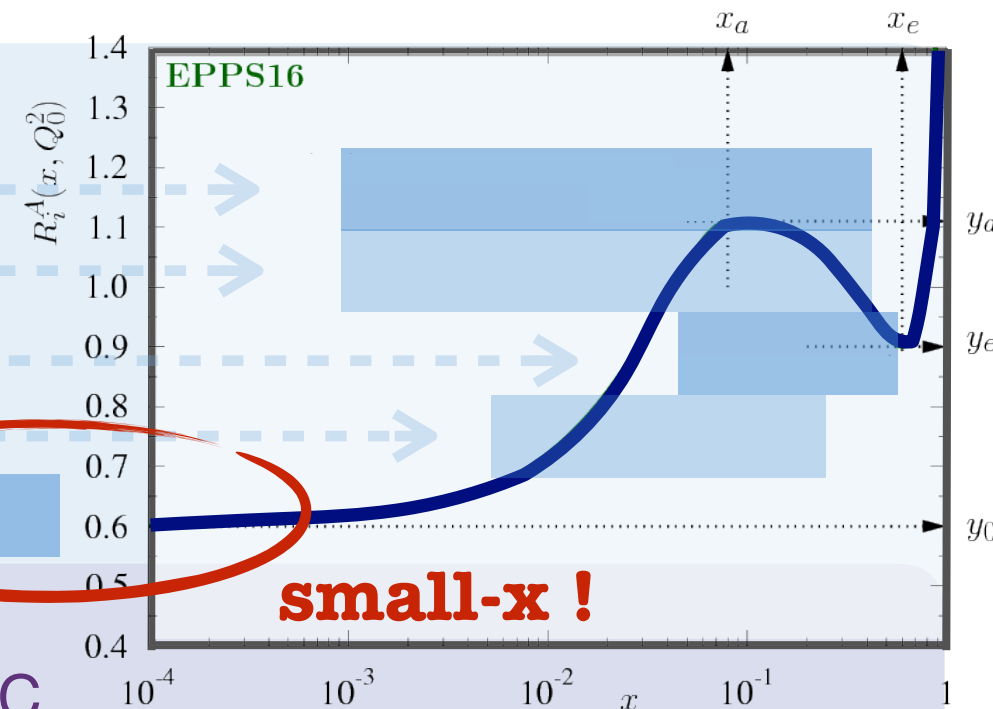
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- x : $\sim 10^{-6}$

Small-x QCD (UPC)

- $W_{\gamma p}$: 10 - 10^3 GeV
- $W_{\gamma p}$: 10 - 10^2 GeV
- Diffraction
- Search axion

Probes + Observables

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- Isolated photon in pA(AA)
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- Forward jets



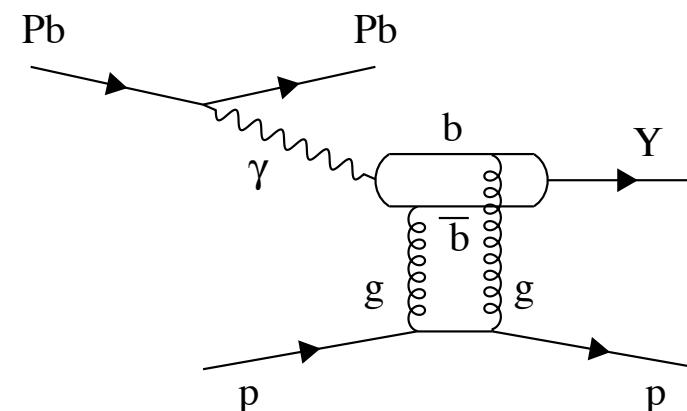
- Photo-production $J/\psi, \Upsilon$ in UPC
- Photo-production ρ in UPC
- Forward rapidity gap
- Light-by-light scatterings

- Forward $\Leftrightarrow$ Small x

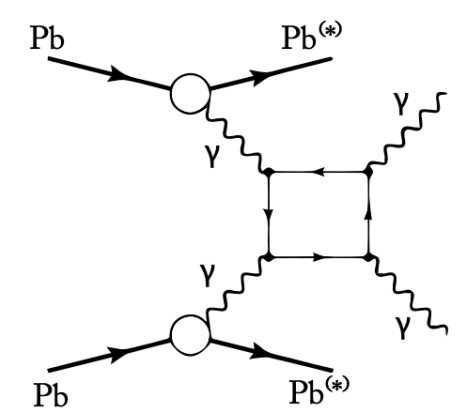
$$x \approx \frac{p_T}{\sqrt{s}} e^{\pm \eta}$$

- Rare processes only studied in UPC
- Large EM field
→ Equivalent photon flux

Photo-production



Light-by-light scattering





Initial Stage Studies in Experiments



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- Higher-order flow harmonics
- Multi-particle correlations in small system
- Symmetric Cumulant



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Probe Full Evolution with Heavy Quarks

- Produced in early stages
- Open and hidden heavy flavor hadronization
- Correlations in pp, pA, AA



▸ <i>Emilien Chapon</i>	• Electroweak boson in pPb	Tues. 14:40 [329]
	• Isolated photon in pA(AA)	
▸ <i>Cole Leon Lindsey</i>	• Top production in pPb	Poster
	• Dijet in pPb	
▸ <i>Alexandr Bylinkin</i>	• Forward jets in pPb	Tues. 17:20 [329]
▸ <i>Marek Bohdan Walczak</i>	• Photo-production $J/\psi, \Upsilon$ in UPC	Tues. 17:40 [329]
	• Photo-production ρ in UPC	
	• Forward rapidity gap	
	• Light-by-light scatterings	
▸ <i>Shengquan Tuo</i>	• Higher-order flow harmonics	Wed. 16:30 [301]
	• Multi-particle correlations in pPb	
	• Symmetric Cumulant	
▸ <i>Yongsun Kim</i>	• Υ Production in pp, pPb, PbPb	Wed. 14:20 [329]
	• Open and hidden heavy flavor	



Heavy-ion data collection in CMS



	Collision System	Energy	LHC Delivered	CMS Recorded
Run 1				
2011	Pb-Pb	2.76 TeV	184.1 μb^{-1}	174.3 μb^{-1}
2013	p-Pb	5.02 TeV	36.1 nb $^{-1}$	35.5 nb $^{-1}$
Run2				
2015	p-p	5.02 TeV	28.8 pb $^{-1}$	28.1 pb $^{-1}$
2015	Pb-Pb	5.02 TeV	0.60 nb $^{-1}$	0.55 nb $^{-1}$
2016	p-Pb	8.16 TeV	188.3 nb $^{-1}$	180.2 nb $^{-1}$
2017	Xe+Xe	5.44 TeV	6.3 μb^{-1}	6.0 μb^{-1}
2017	p-p	5.02 TeV	334.3 pb $^{-1}$	316.3 pb $^{-1}$
2018	Pb-Pb	5.02 TeV	1.80 nb $^{-1}$	1.71 nb $^{-1}$

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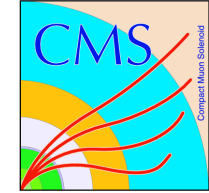
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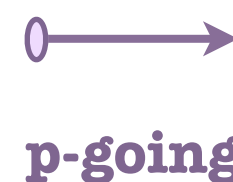
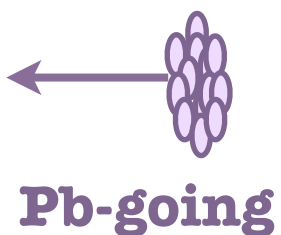
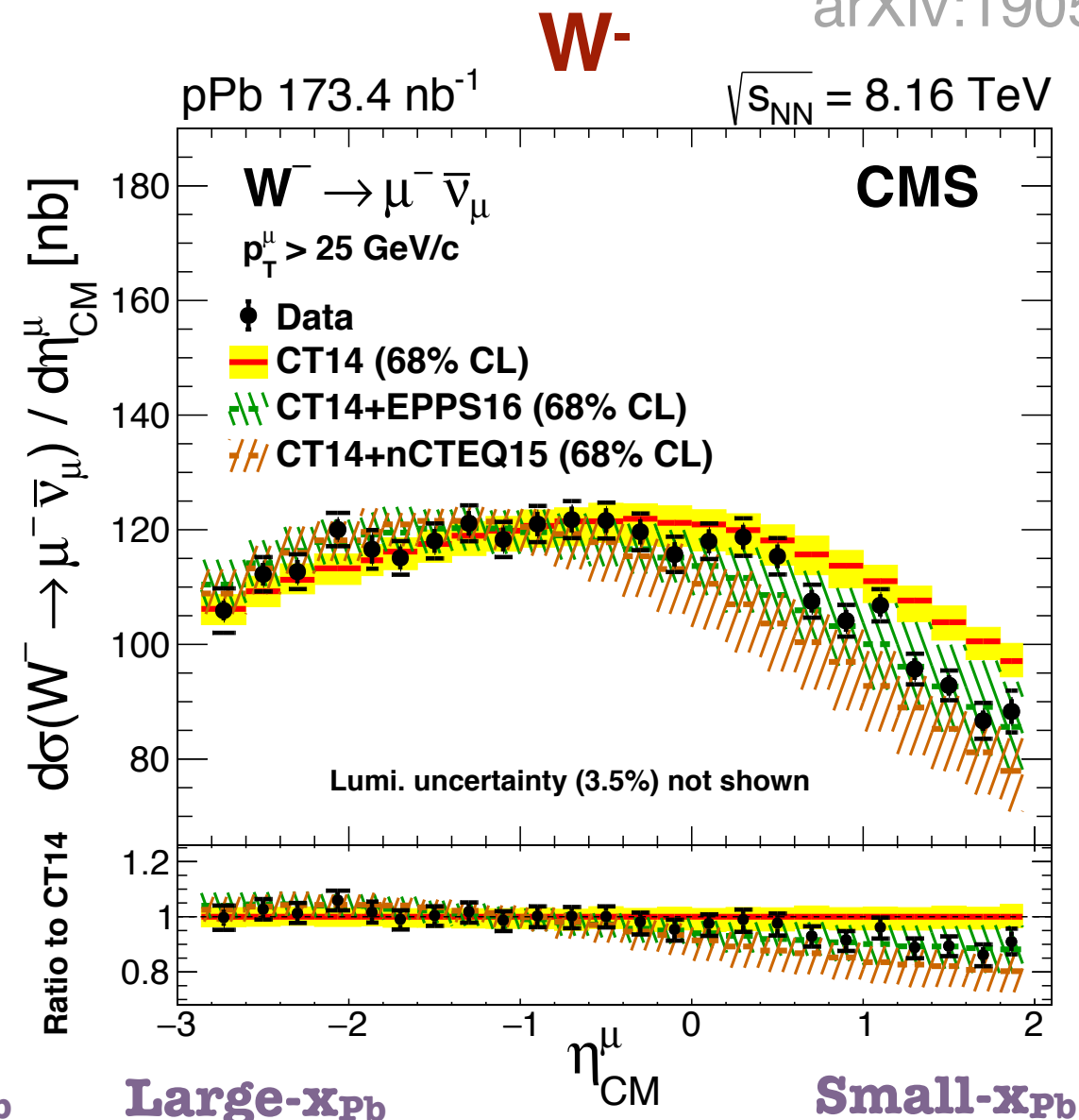
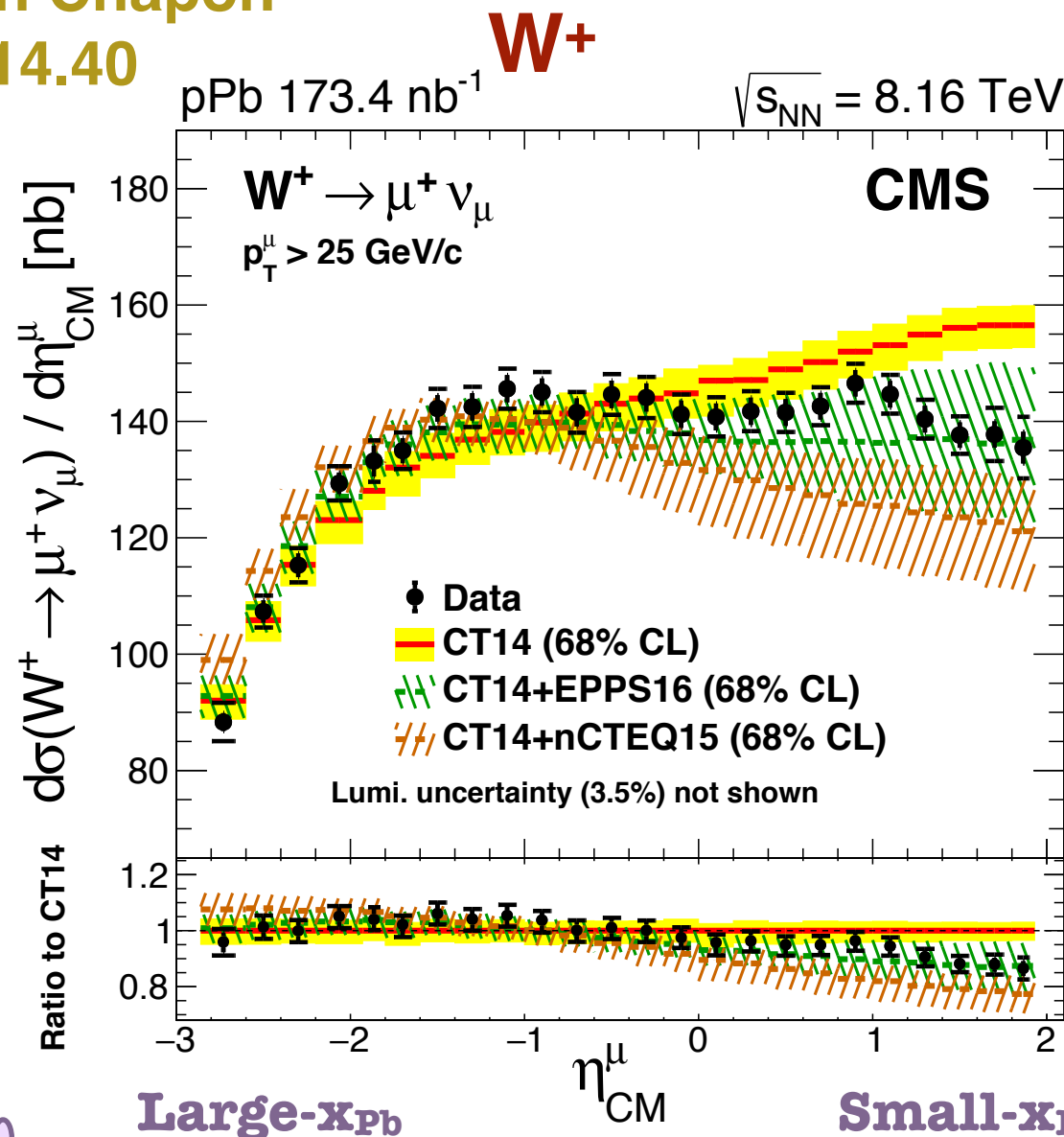
nPDF: W production in pPb@8.16TeV



Emilien Chapon

Tues. 14.40

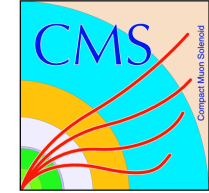
arXiv:1905.01486



- W is sensitive to isospin effects
- $\eta_{CM} < 0$ (Pb-going, large x_{Pb}): Data agrees with PDF and nPDF
 - slight anti-shadowing at $x \sim 10^{-1}$
- $\eta_{CM} > 0$ (p-going, small x_{Pb}): Data favors nPDF
 - significant shadowing at $x \sim 10^{-3}$
 - Consistent with EPPS16, exclude **CT14 (nucleon PDF)**



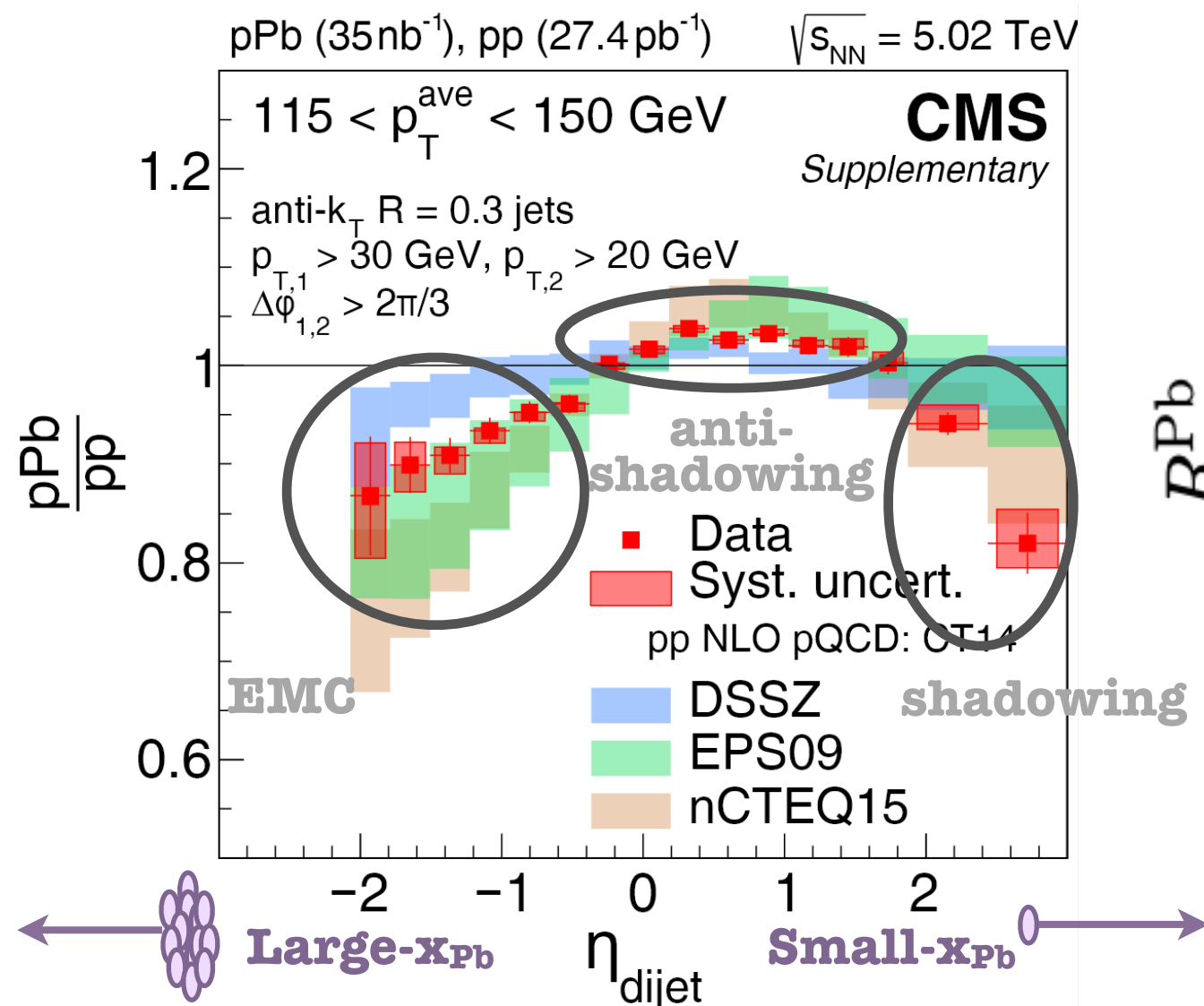
nPDF: Dijet vs. η_{dijet} in pPb@5.02TeV



Alexandr Bylinkin, Tues. 17.20

PRL 121 (2018) 062002

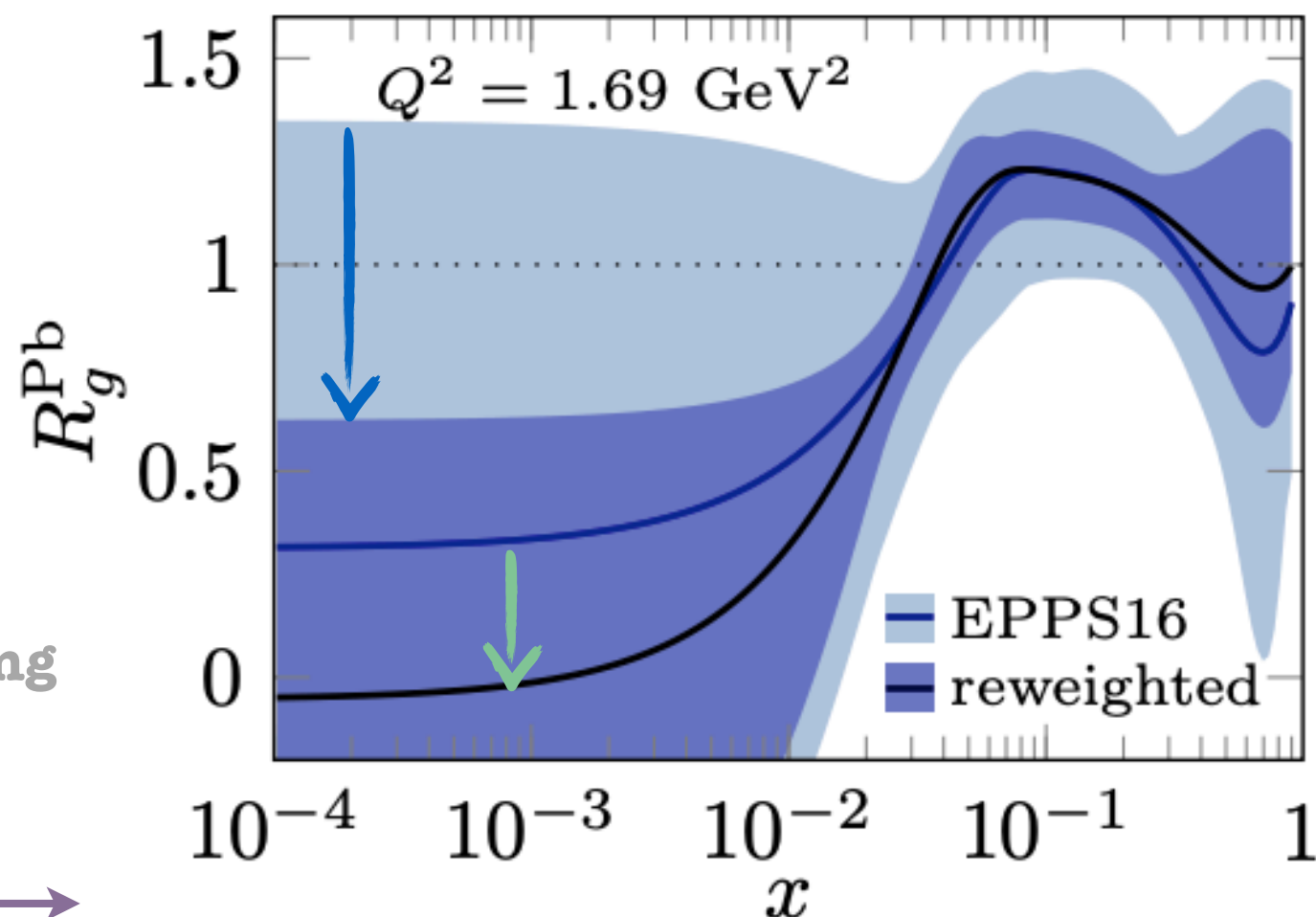
CMS result vs. Models



- pPb/pp deviates significantly from unity
- Evidence of gluon anti-shadowing
- Agrees with **EPS09**
- Disagree with **DSSZ** and **nCTEQ15**

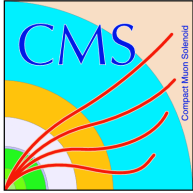
Impact on EPPS16 nPDF

arXiv: 1812.05438

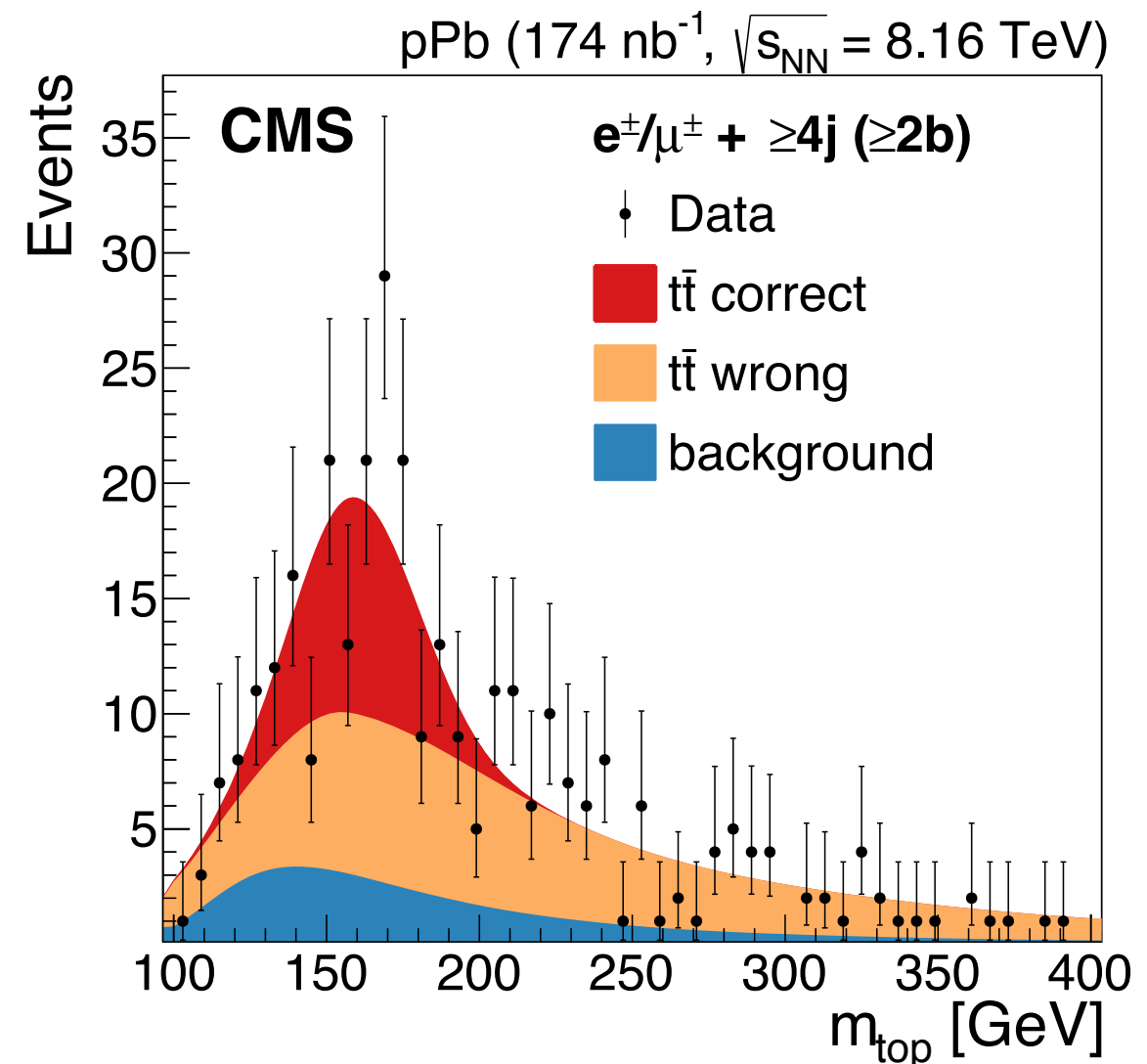
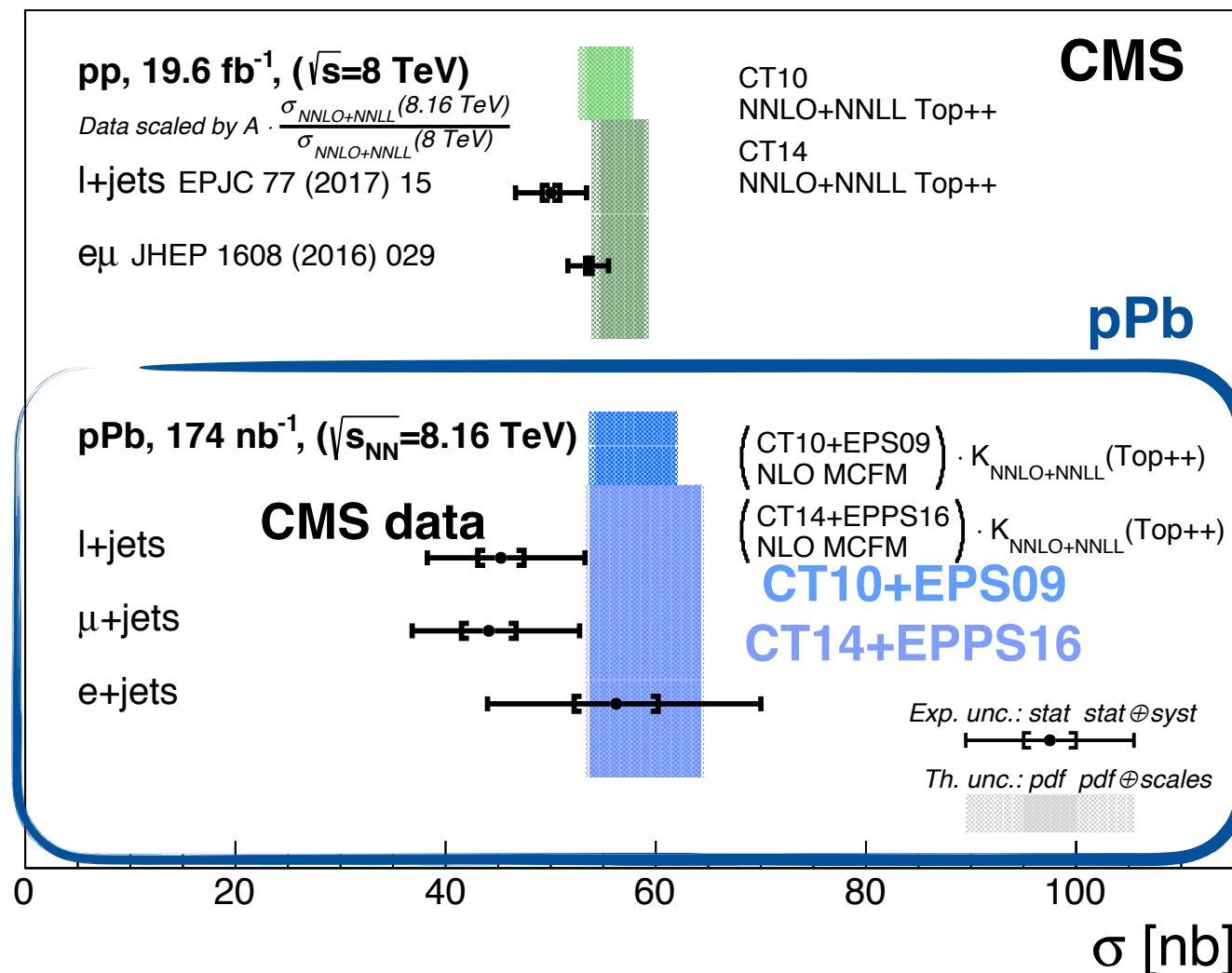


- **EPPS16 uncertainties dramatically reduced with CMS dijet pPb results**
- **Downward pull in the shadowing region**

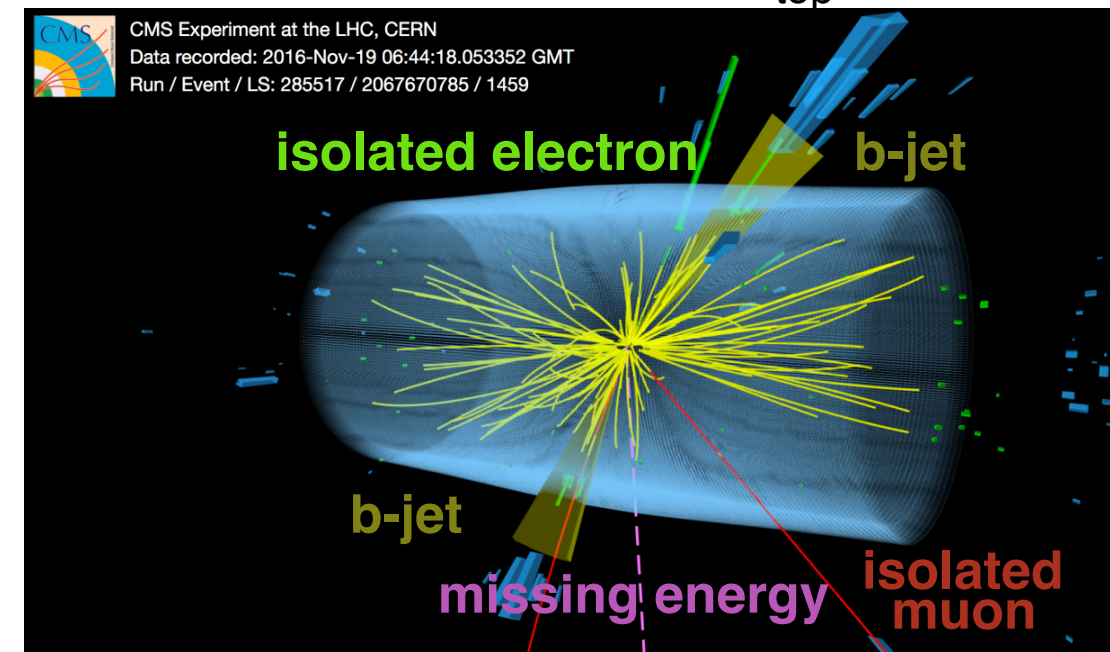
nPDF: Top Production in pPb@8.16TeV



Cole Leon Lindsey, Poster



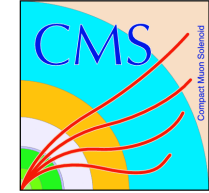
- First observation of top quark production in **pPb**!
- New constraint to gluon PDF at large x
- Inclusive cross section (45 ± 8 nb) agrees with NNLO pQCD + nPDF calculations



PRL 119 (2017) 242001



nPDF: Forward jets in pPb@5.02 TeV



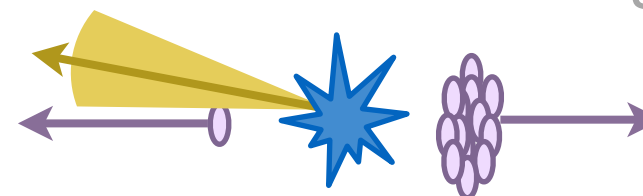
Alexandr Bylinkin

Tues. 17.20

JHEP 05 (2019) 043

forward: $-6.6 < \eta < -5.2$

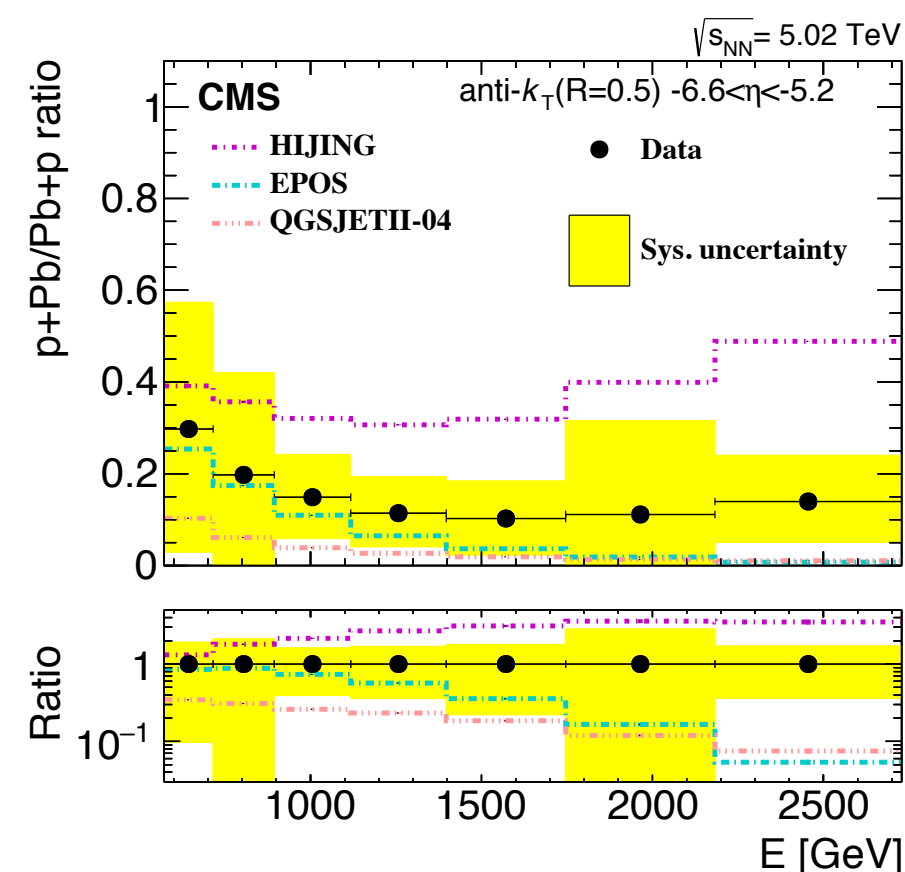
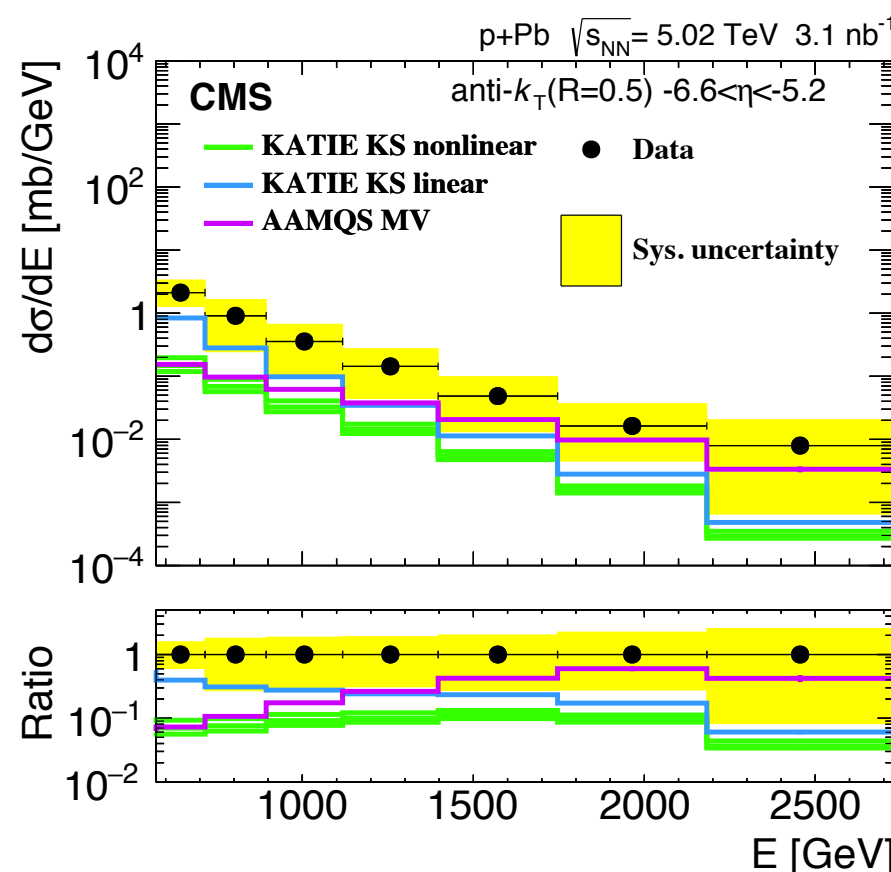
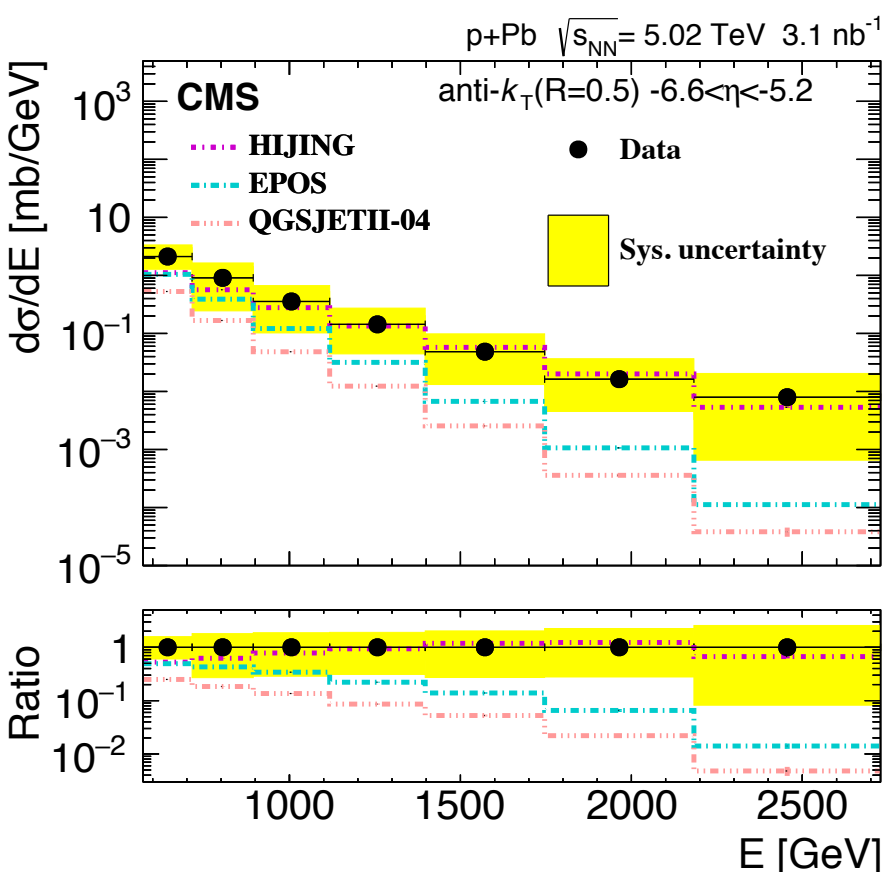
$X_{\text{Pb}} \sim 10^{-6}$



vs. MC generators

vs. saturation models

pPb/Pbp



- Agrees with **HIJING**
- **EPOS-LHC** and **QGSJETII-04** are too soft

- Saturation models do not describe the data

- None of the models describe pPb/Pbp well
- Data provide constraints to parton saturation models



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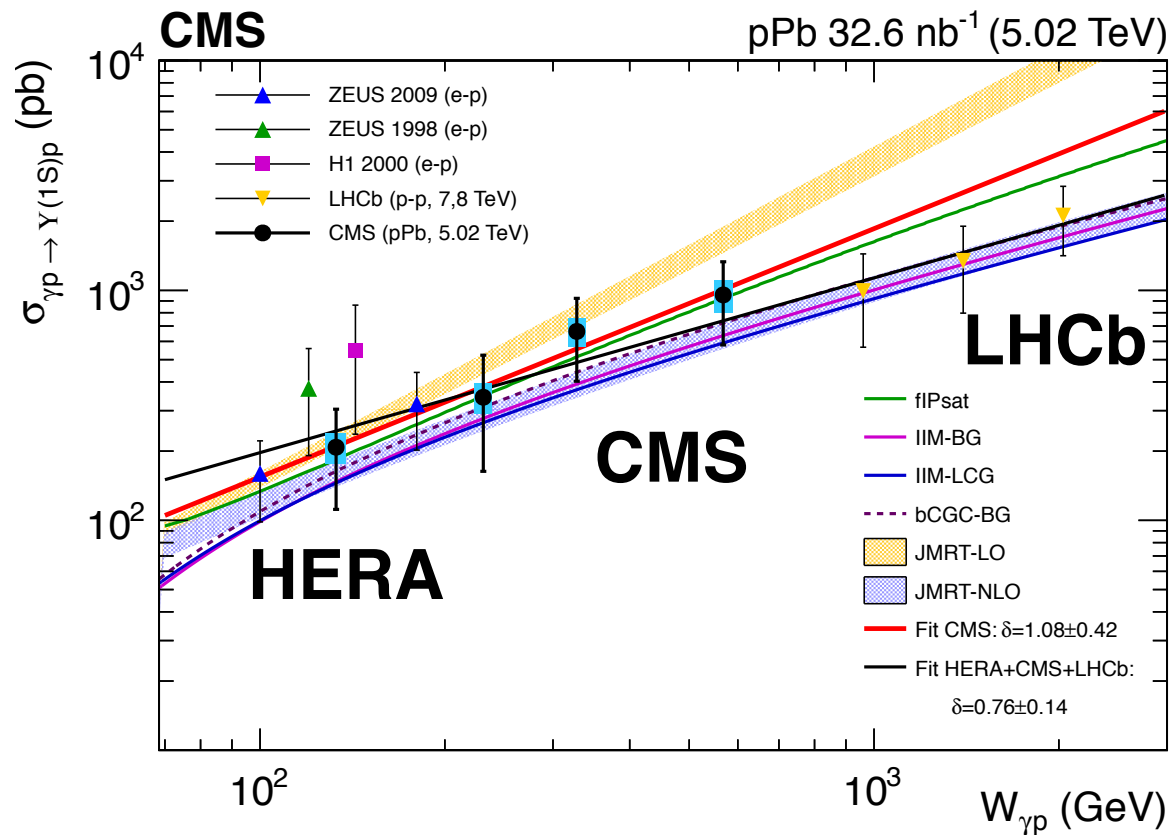
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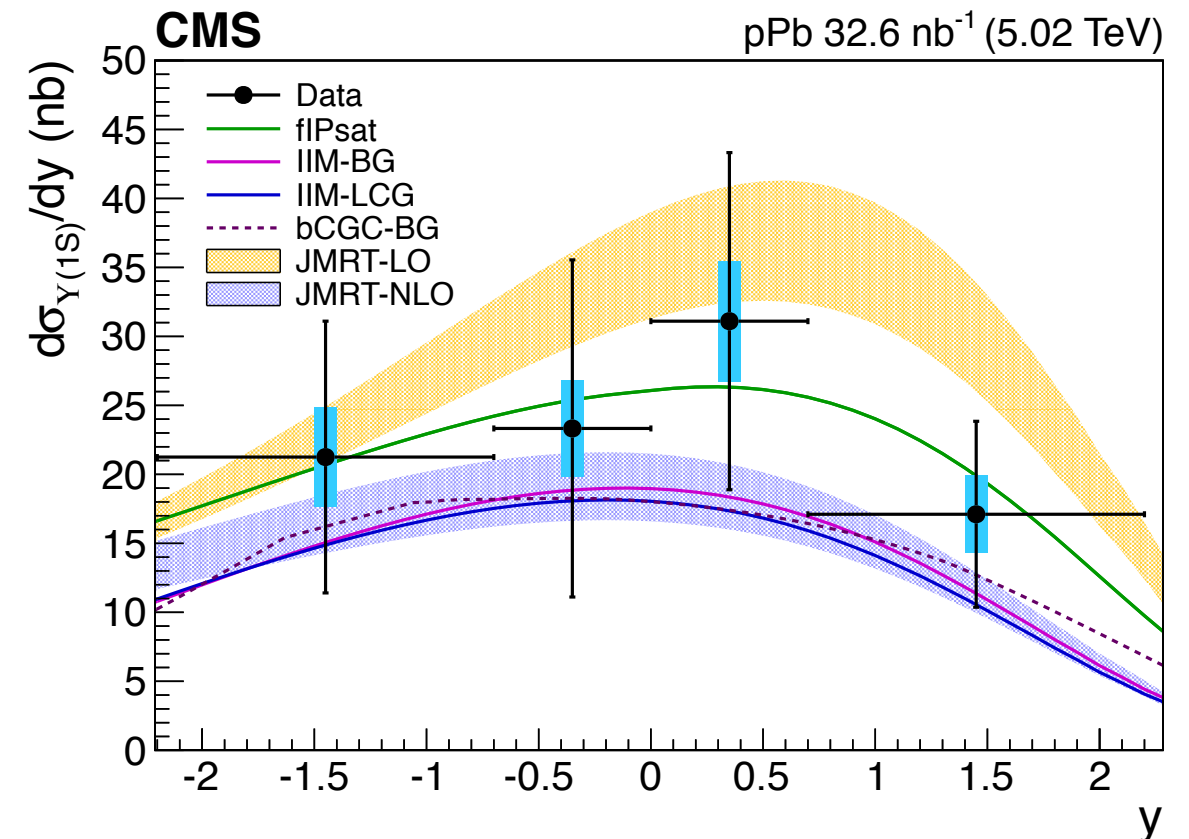
Probe Full Evolution with Heavy Quarks

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$\Upsilon(1S)$ cross-section vs. $W_{\gamma p}$



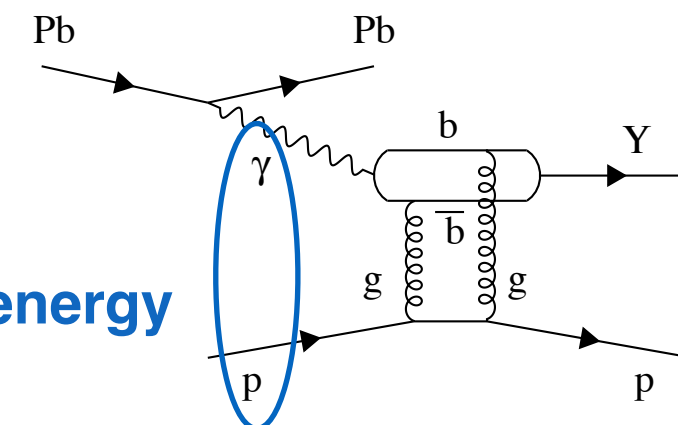
$\Upsilon(1S)$ rapidity distribution



- Unexplored region of $W_{\gamma p}$
 $W_{\gamma p}^2 = 2E_p M_Y e^{\pm y} (91-826 \text{ GeV})$
- Sensitive to gluon density at small x_p
 $x = m_Y^2 / W_{\gamma p}^2 (10^{-4} - 10^{-2})$
- $\Upsilon(1S)$ x-section follows power law
 $\Rightarrow \sigma_Y(W_{\gamma p}) = \alpha W^\delta$
 $\Rightarrow \delta$ consistent with HERA

- y distribution consistent with various theoretical models

$W_{\gamma p}$: γp CoM energy





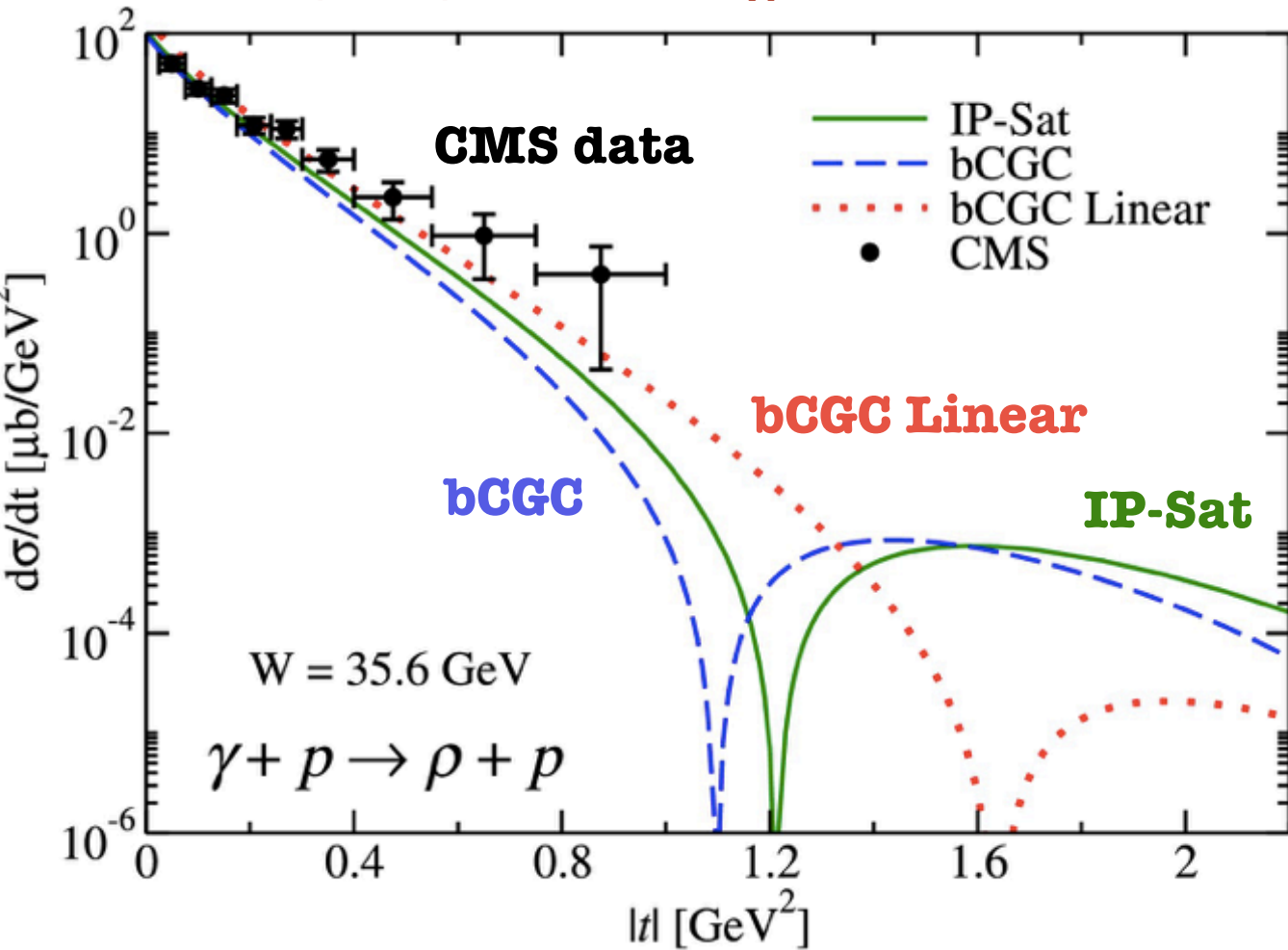
UPC Photo-production: $\rho(770)^0$ in pPb@5.02TeV



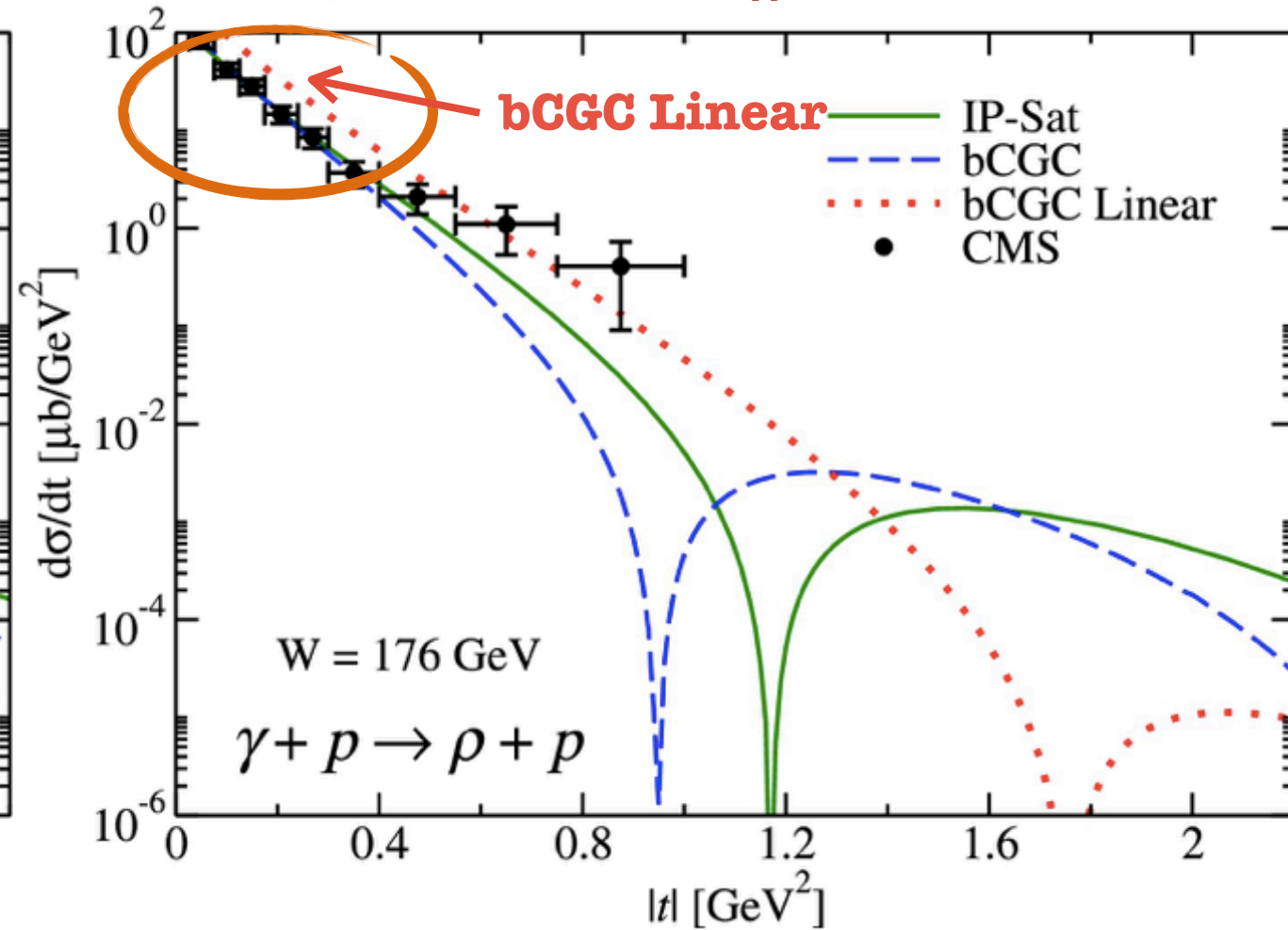
Marek Bohdan Walczak, Tues. 17.40

arXiv:1902.01339, PLB 791(2019)299

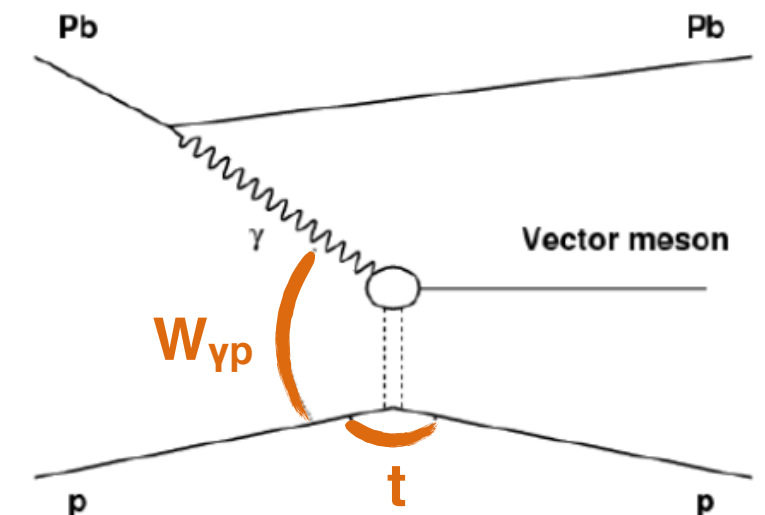
$\rho(770)^0$ vs. t , $W_{\gamma p} = 35.6$ GeV



$\rho(770)^0$ vs. t , $W_{\gamma p} = 176$ GeV

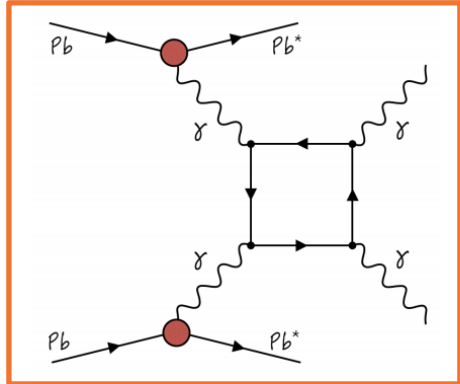


- First measurement of the energy-dependent $d\sigma/dt$!
 - ➔ 4 energy points
- The energy dependence of the low- t values for UPC $\rho(770)^0$ is sensitive to the onset of gluon saturation
 - ➔ $|t| < 0.5$: data gets closer to calculations with nonlinear components (saturation) at high energy

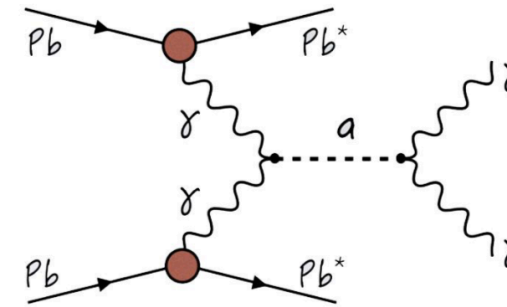


UPC: Search for Axion in PbPb@5.02TeV

arXiv:1810.04602

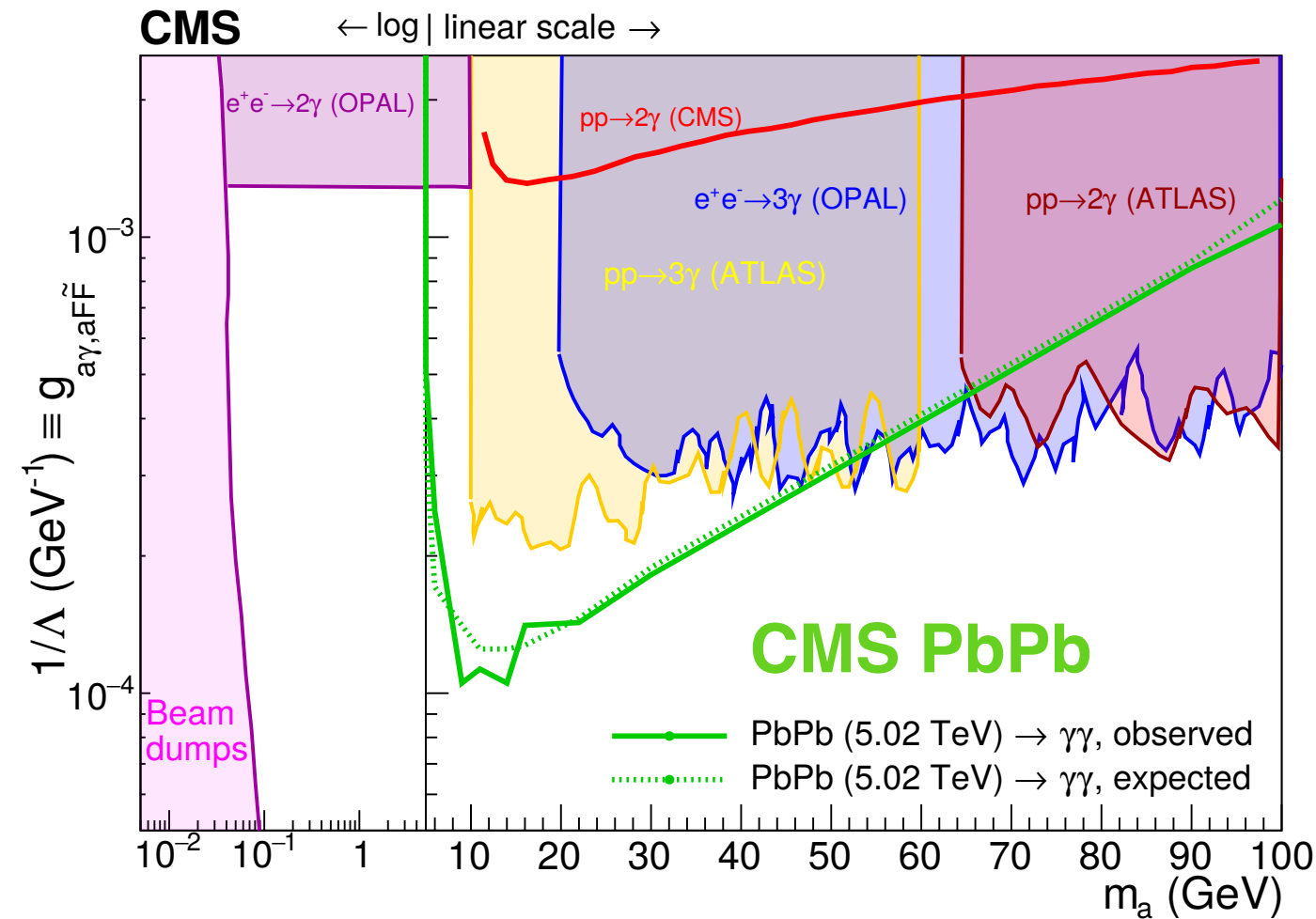
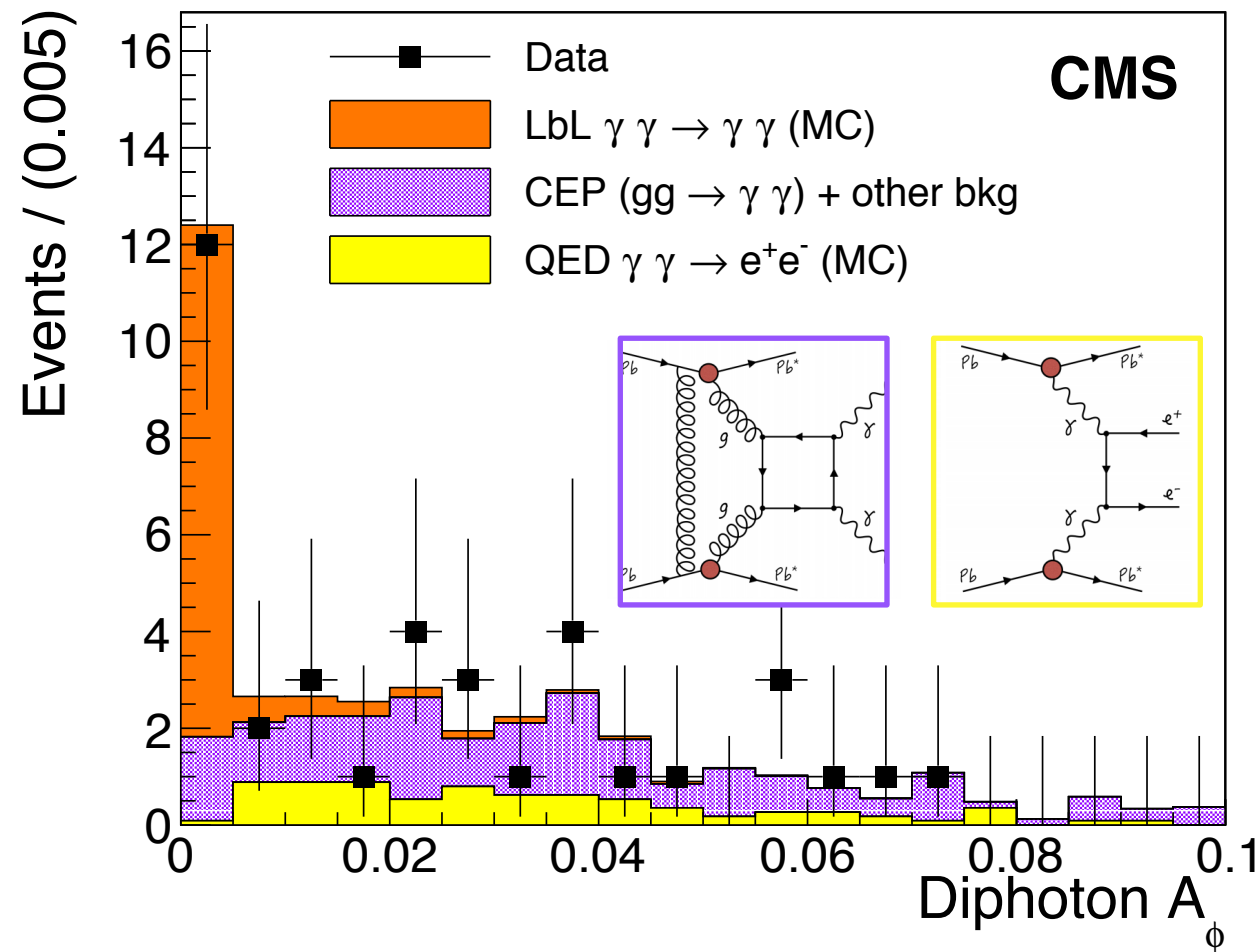


Direct observation of light-by-light scatterings



New limits on axion-like particles

PbPb 390 μb^{-1} (5.02 TeV)



- Strong EM field between Pb-Pb provides a large photon flux
- Observed 14 light-by-light events (4.1σ)
- New limits on axion-like particles over $m_a = 5\text{-}50$ GeV



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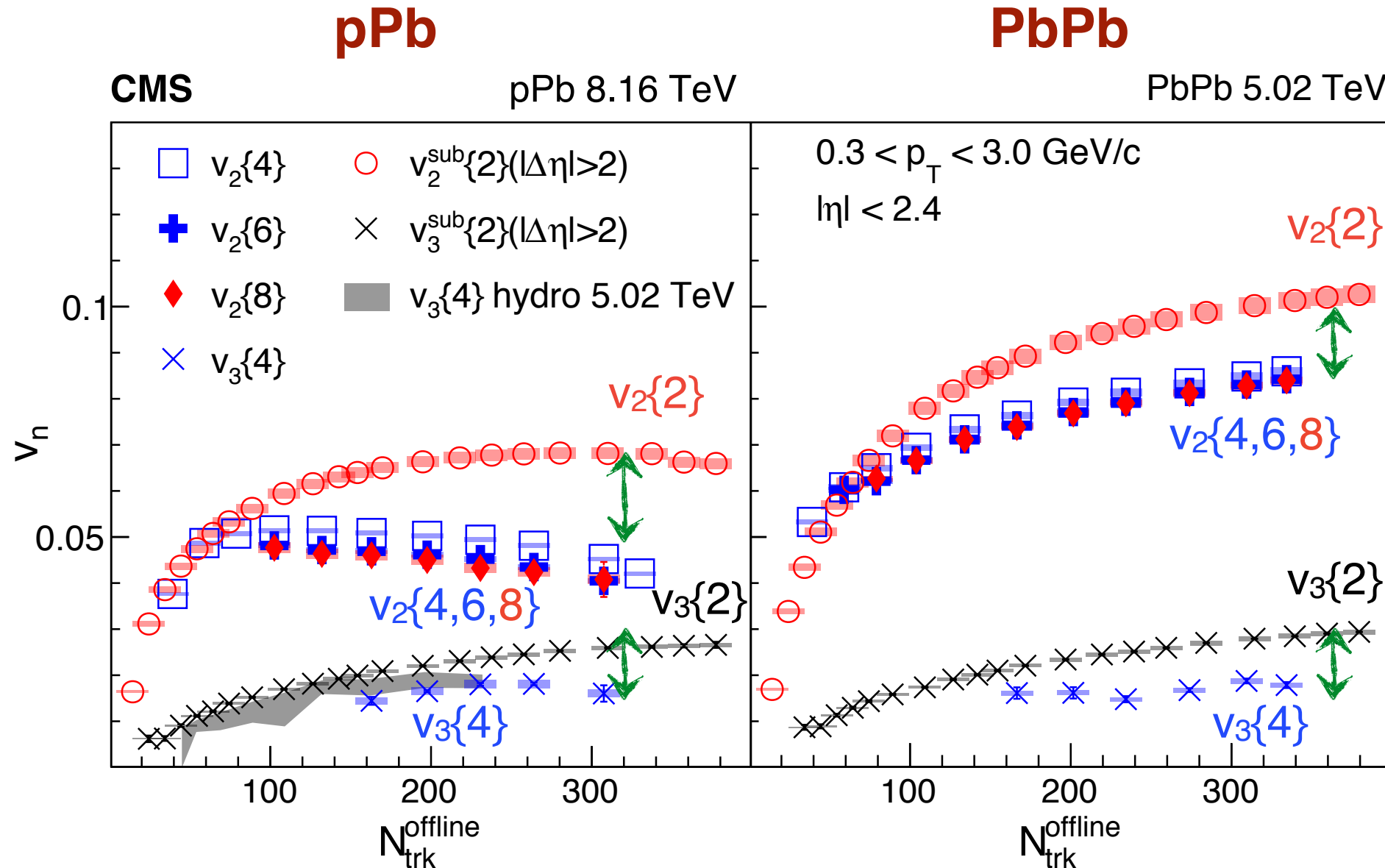
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Shengquan Tuo
Wed. 16.30

arXiv:1904.11519



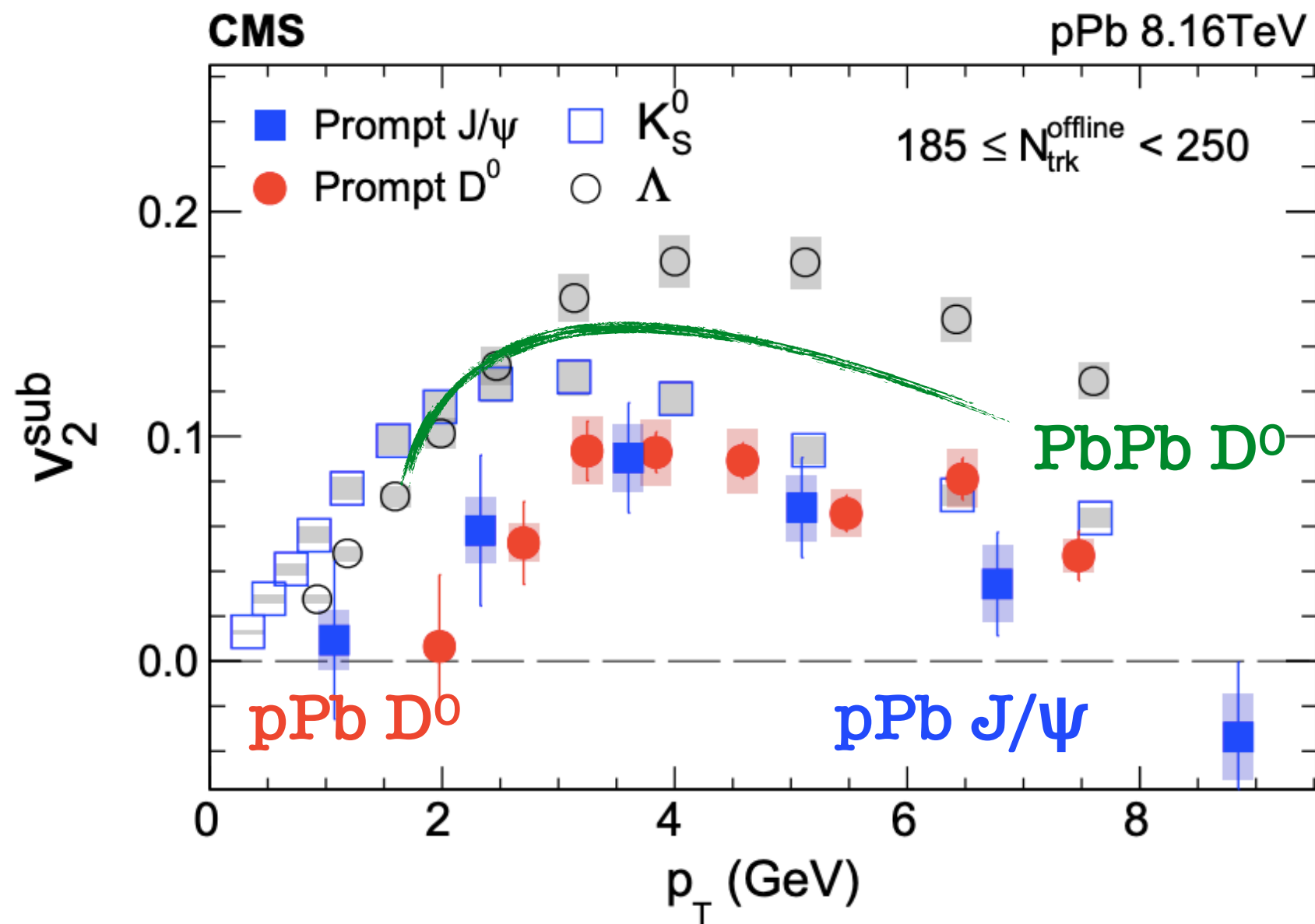
- $v_2(\text{PbPb}) > v_2(\text{pPb}) \Rightarrow v_2(\text{PbPb})$ dominated by global geometry (in hydrodynamic picture)
- $v_3(\text{pPb}) \approx v_3(\text{PbPb}) \Rightarrow v_3$ driven by initial fluctuations
- First $v_3\{4\}$ measurement in pPb collisions
- $v_n\{2\} > v_n\{4,(6,8)\}$ for both pPb and PbPb $\Rightarrow$ significant fluctuation components
- pPb has real $v_2\{4\} \approx v_2\{6\} \approx v_2\{8\} \Rightarrow$ collective behavior in pPb

Prompt D^0 and prompt J/ψ v_2 in pPb@8.16TeV



PRL 121 (2018) 082301

PLB 791 (2019) 172



- Significant **positive prompt D^0** and **J/ψ v_2** in high-mult **pPb**
 - ➔ Direct evidence of charm quark v_2 in pPb
 - ➔ v_2 (prompt D^0) $\approx$ v_2 (prompt J/ψ)
- D^0 v_2 (**pPb**) $<$ D^0 v_2 (**PbPb**) at given p_T

Constrain nPDF

- $x: 10^{-3}-10^{-1}$, $Q^2 \sim M_{W/Z}^2$
- $x: 10^{-3}-10^{-1}$
- high x , $Q^2 \sim M_t^2$
- $x: 10^{-2}-10^{-1}$
- $x: \sim 10^{-6}$
- Electroweak boson in pA
- Isolated photon in pA(AA)
- Top production in pA
- Dijet in pA
- Forward jets

Small- x QCD (UPC)

- $W_{\gamma p}: 10-10^3$ GeV
- $W_{\gamma p}: 10-10^2$ GeV
- Diffraction
- Search axion
- Photo-production $J/\psi, \Upsilon$ in UPC
- Photo-production ρ in UPC
- Forward rapidity gap
- Light-by-light scatterings

Initial Fluctuations + Correlations in Small Syst

- Higher-order flow harmonics
- Multi-particle correlations in small system
- Symmetric Cumulant

Probe Full Evolution with Heavy Quarks

- Produced in early stages
- Open and hidden heavy flavor hadronization
- Correlations in pp, pA, AA



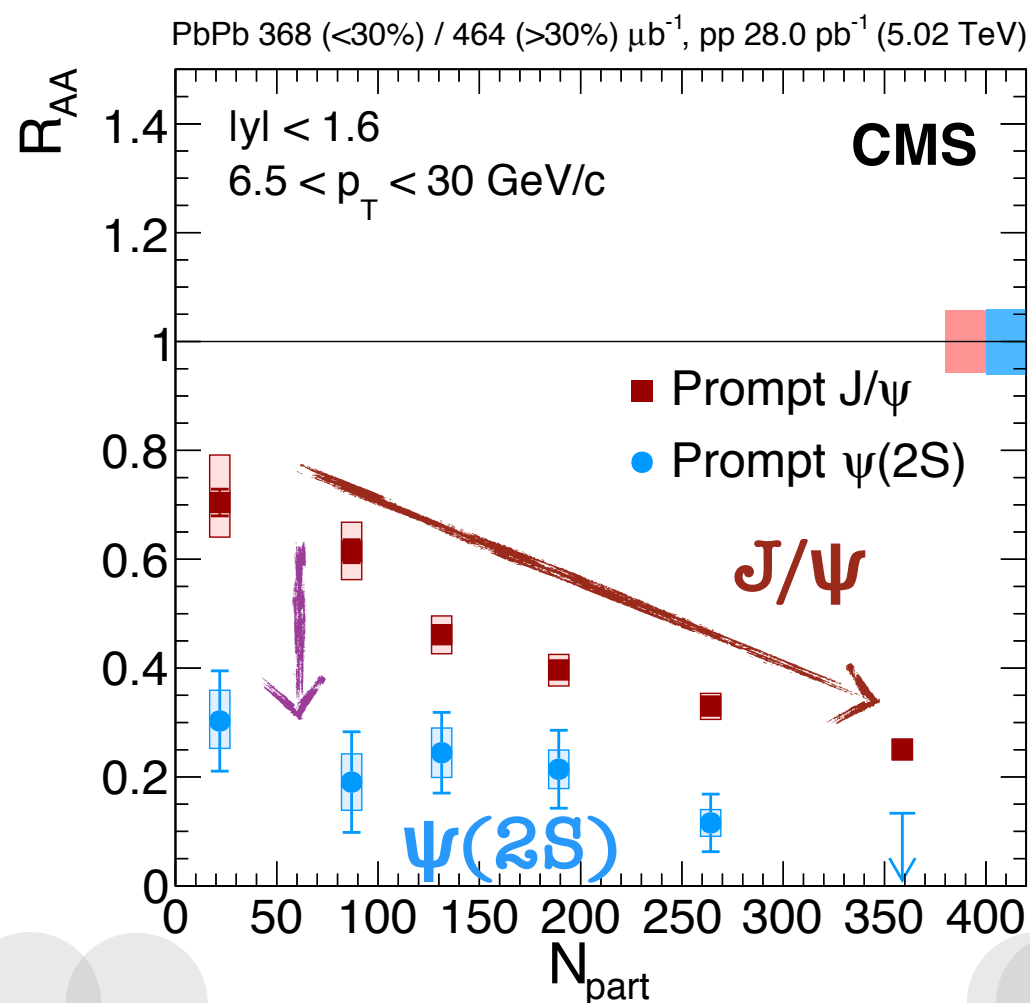
Charmonia Production in PbPb + pPb @5.02TeV



EPJC 78 (2018) 509

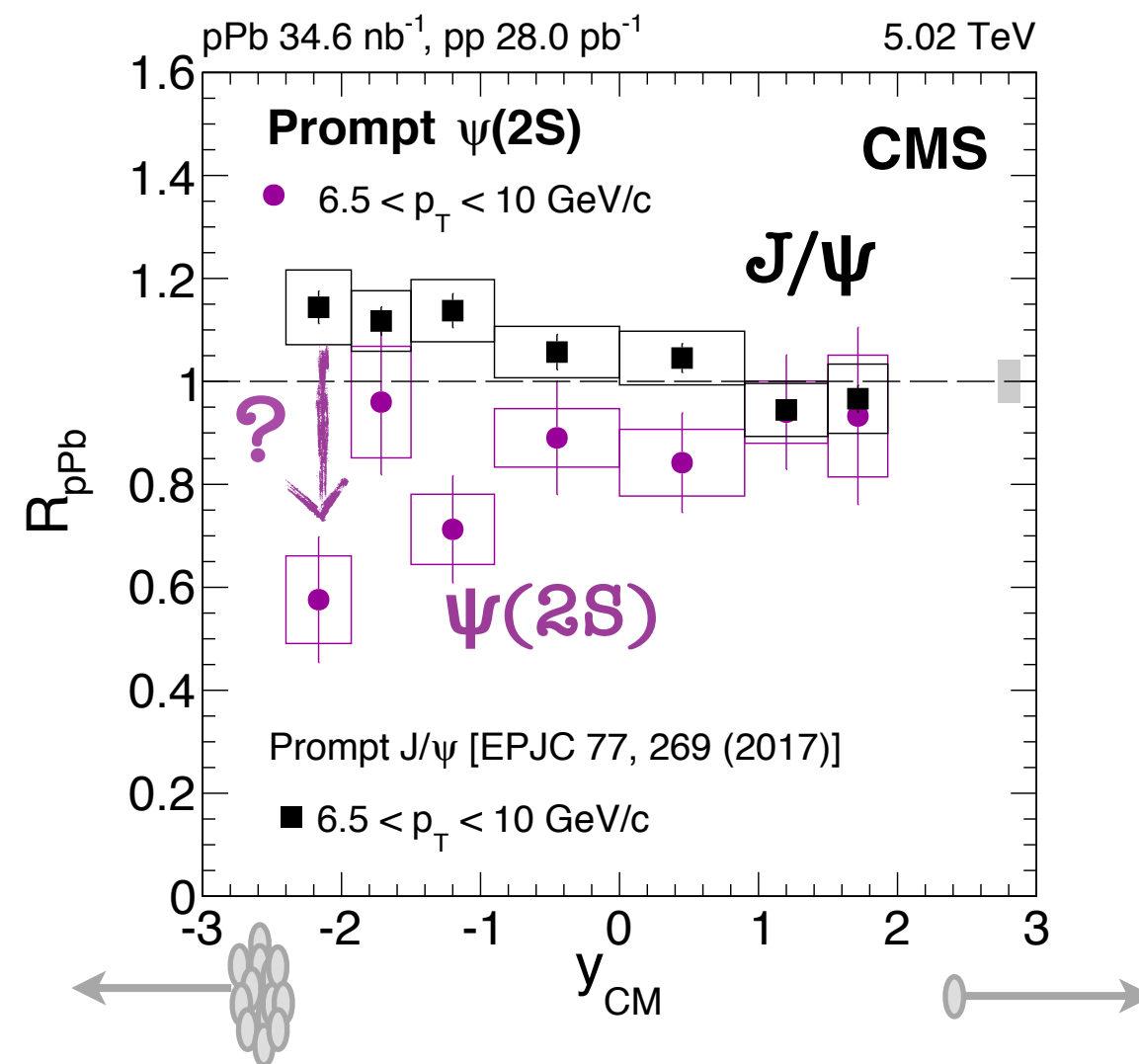
PLB 790 (2019) 509

PbPb (2015)



- Strong suppression for $\psi(2S)$ and J/ψ
- $\psi(2S) R_{AA} < J/\psi R_{AA}$ in PbPb

pPb



- Constrain CNM effects
- $\psi(2S) R_{pA} < J/\psi R_{pA}$ in pPb
→ Cannot be explained by nPDF or coherent energy loss



Υ Sequential Suppression in PbPb@5.02TeV

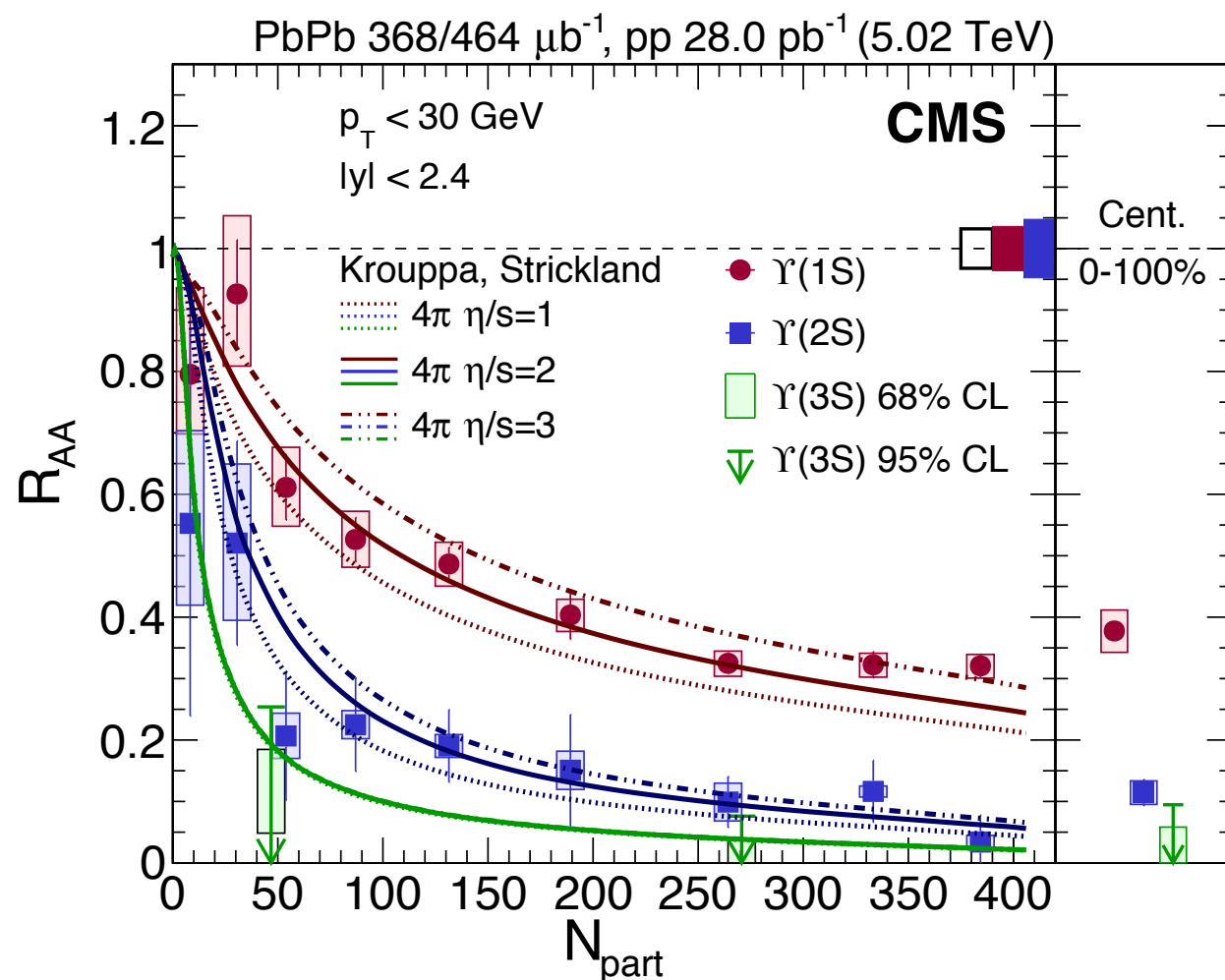


Yongsun Kim

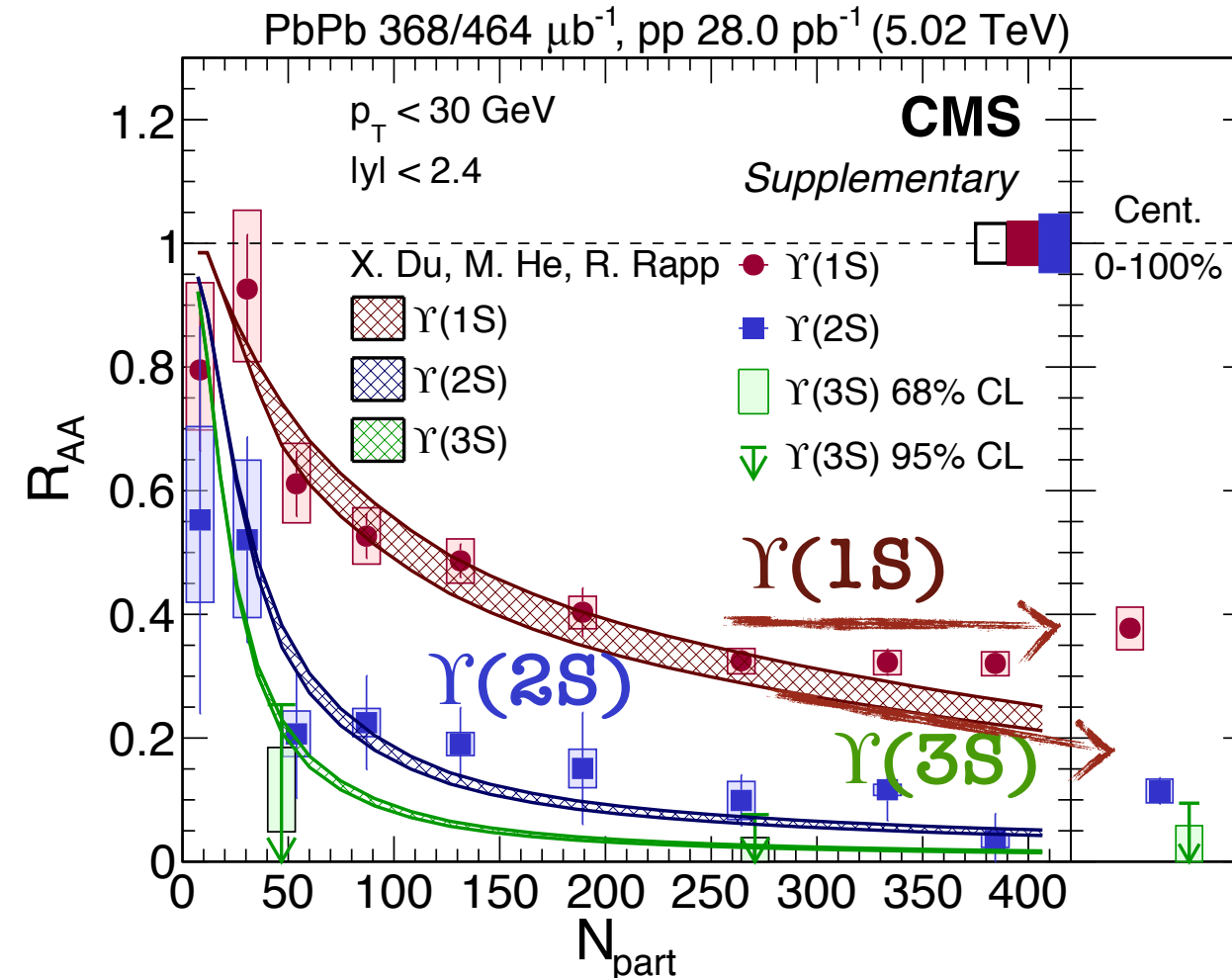
Wed. 14.20

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R_{AA} vs. model w/o Υ regeneration

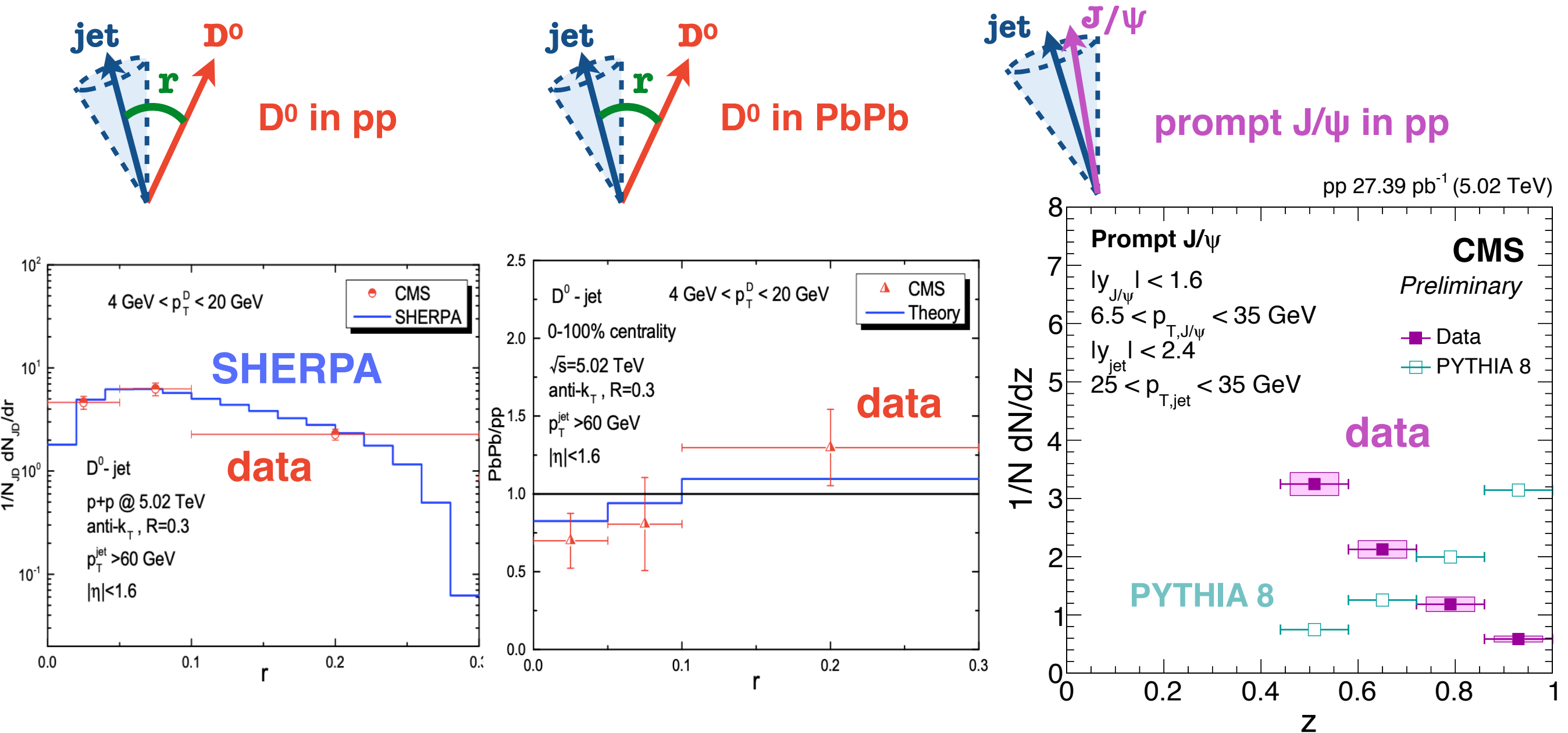


R_{AA} vs. model w/ Υ regeneration



- No sign of $\Upsilon(3S)$ in PbPb data (2015) $\Rightarrow$ 2018 has 5x more!
- Agree with models with **melting + with or without** Υ regenerations
- Deviation between data and theory at most central events?
- At most central and most peripheral events larger η/s agrees better

Heavy Flavor in Jets in pp + PbPb @5.02TeV



- pp: agrees with SHERPA calculations
- PbPb/pp: increases as a function of r
 - ➔ Hint that D⁰ are further from jet axis in PbPb than pp
 - ➔ Consistent with theoretical models inside jet cone

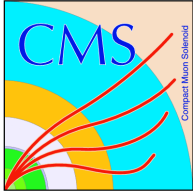
- Prompt J/ψ not described by PYTHIA8
 - ➔ PYTHIA 8 too much harder
- Similar as observed by LHCb in different kinematics

arXiv:1906.01499

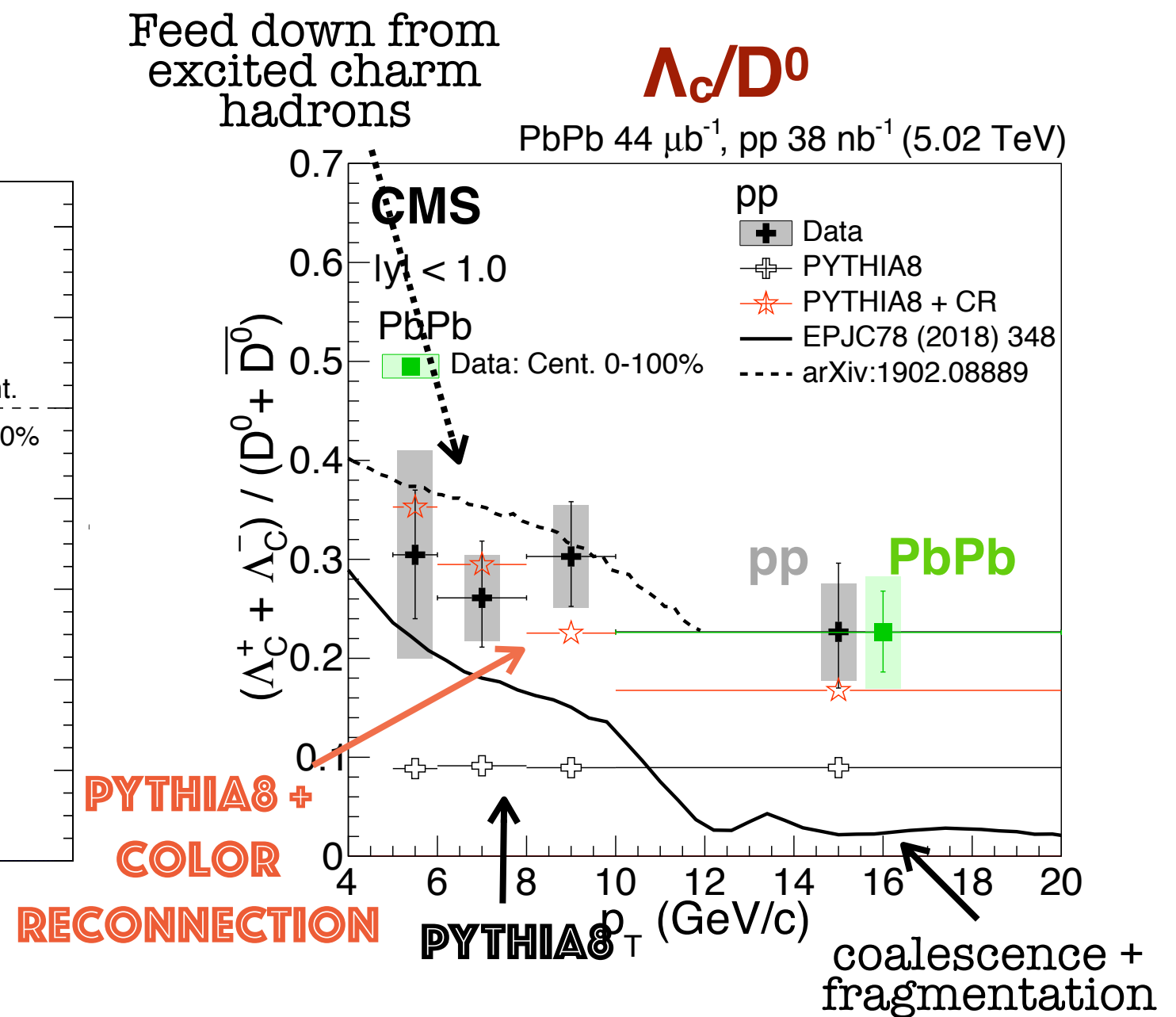
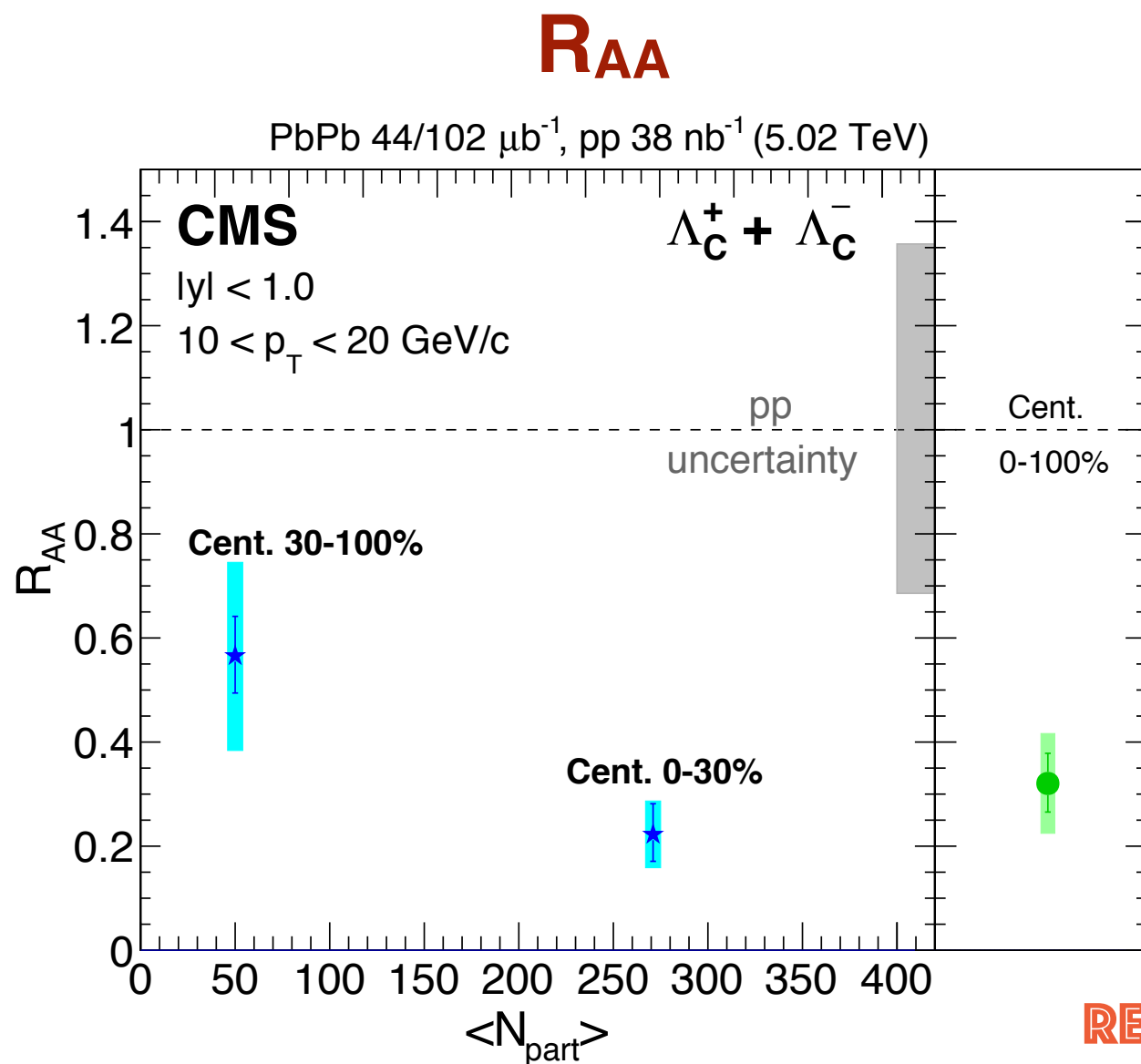
CMS-PAS-HIN-18-007

CMS-PAS-HIN-18-012

Charm Hadronization: Λ_c in PbPb@5.02TeV



arXiv:1906.03322

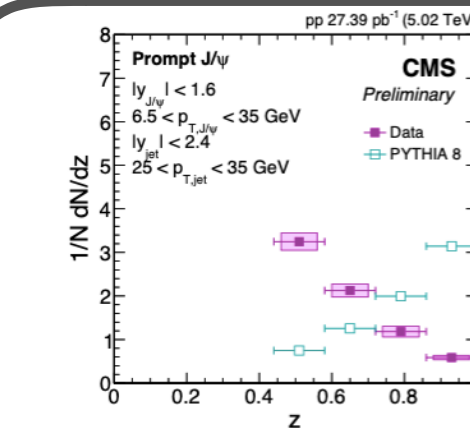
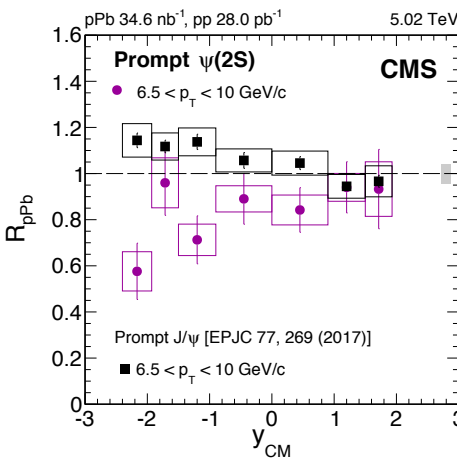
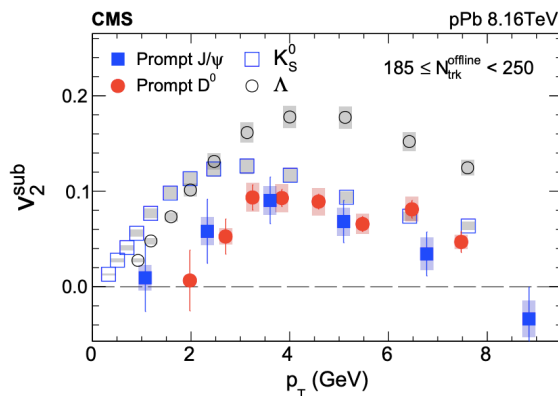
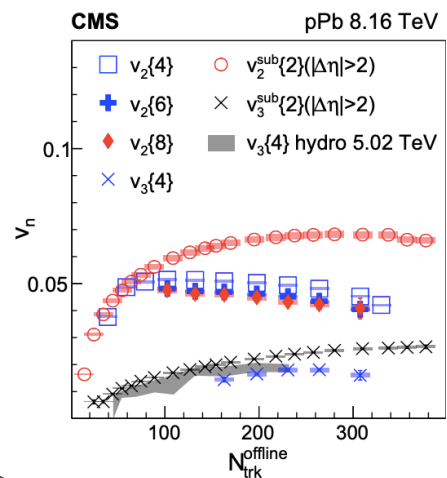
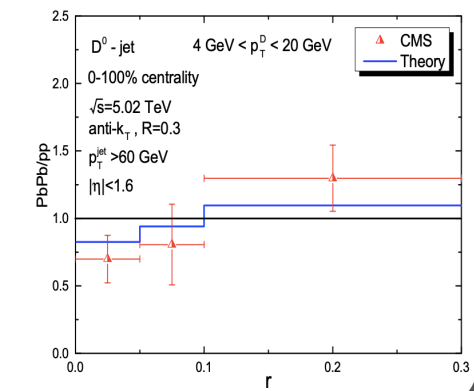
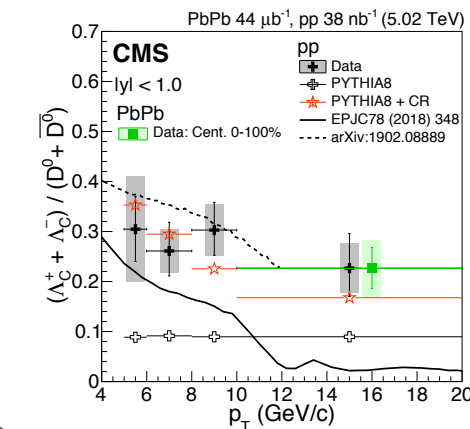
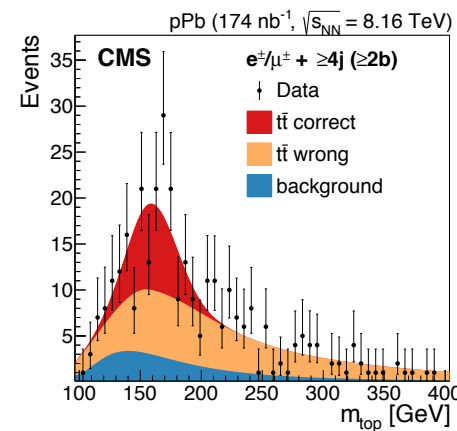
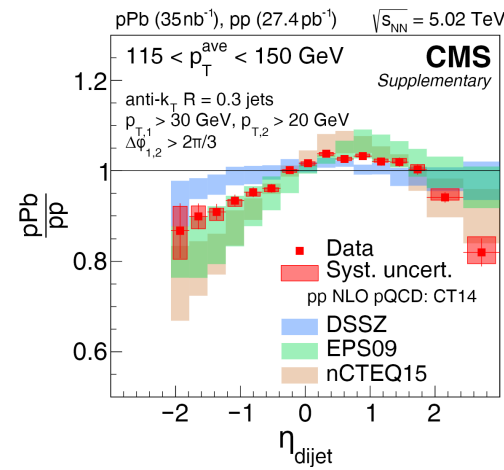
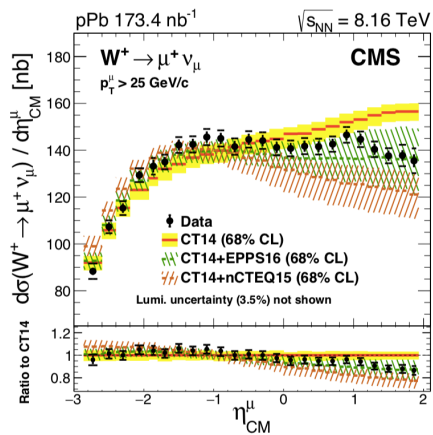
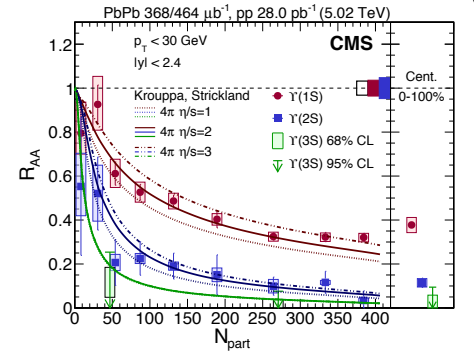
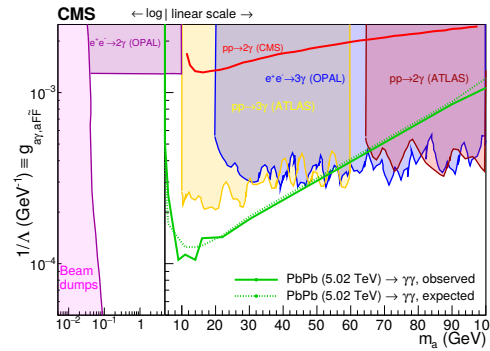
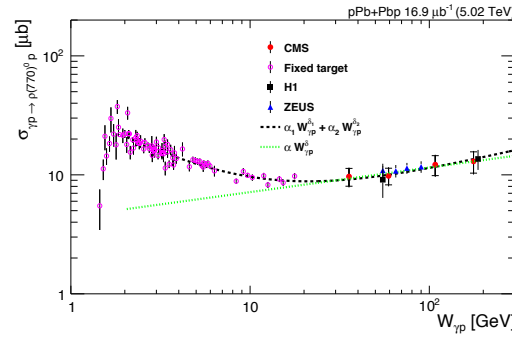
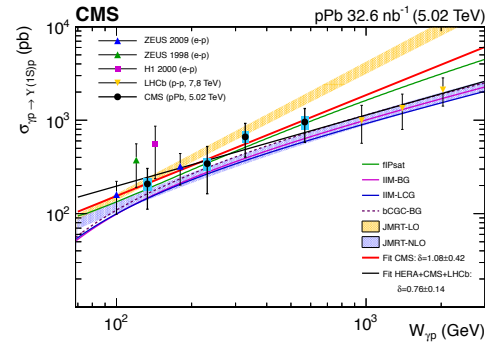
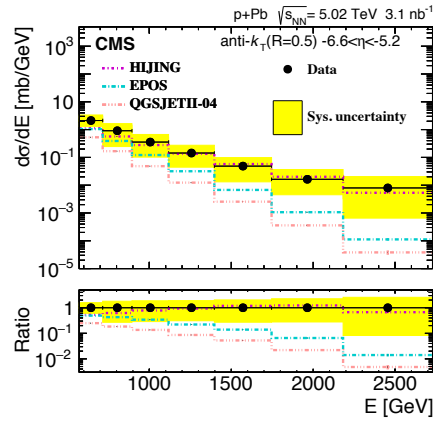


- pp Λ_c to D^0 ratio is $\sim 3x$ higher than PYTHIA8
- Agreement with PYTHIA8 + color reconnection mechanism
- Similar ratio of Λ_c to D^0 in $10 < p_T < 20 \text{ GeV}/c$ in pp and PbPb within large uncertainties

pPb

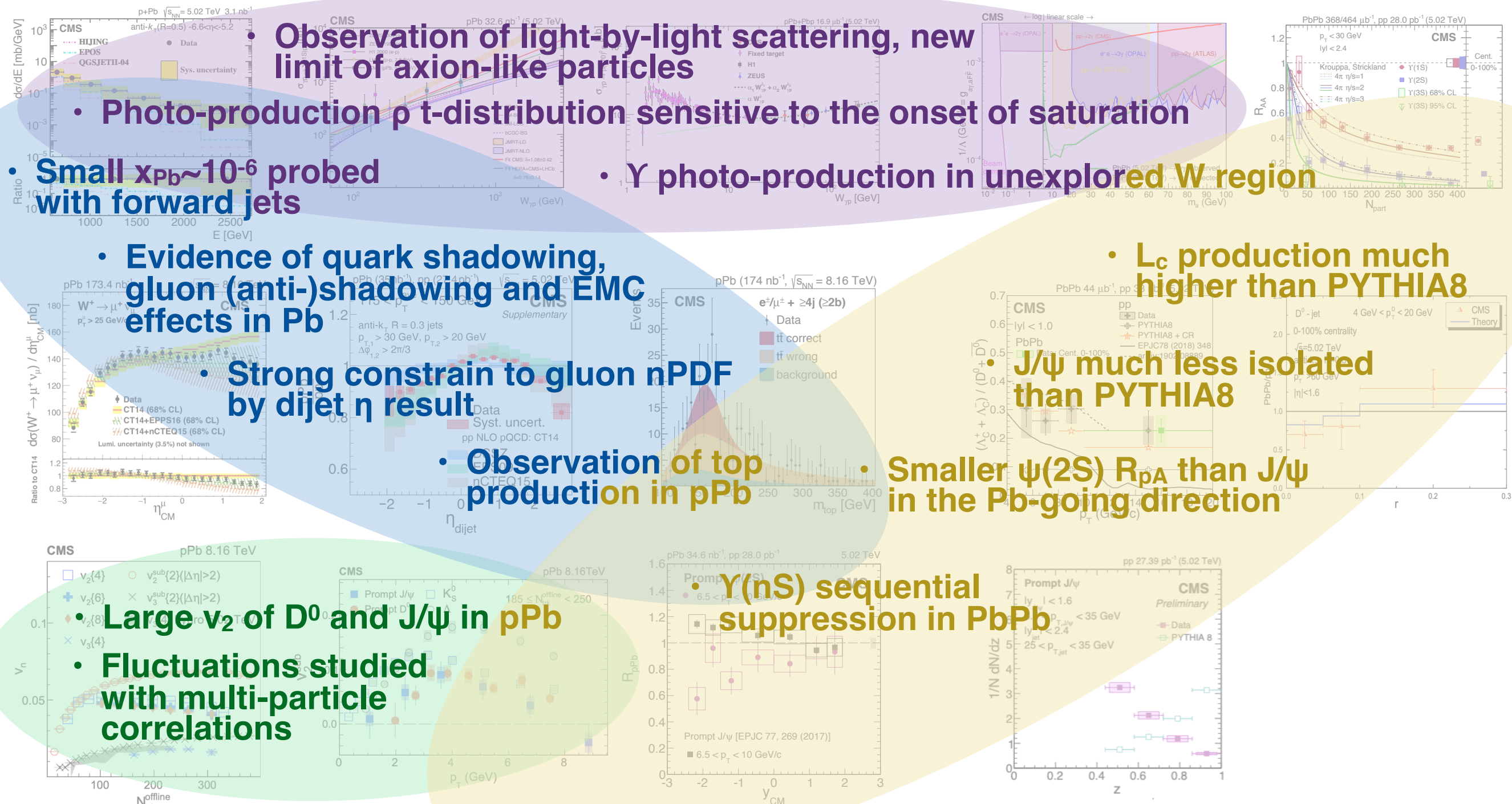
Various studies on IS from CMS in different systems!

PbPb



pp

Various physics messages on initial stages from CMS!



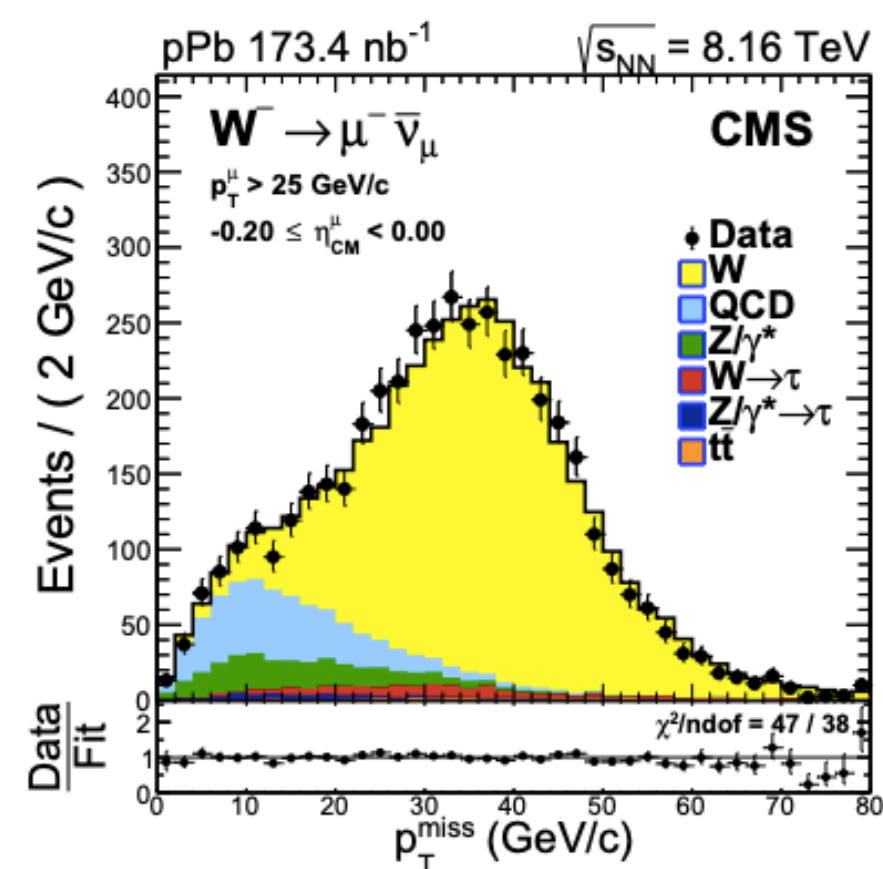
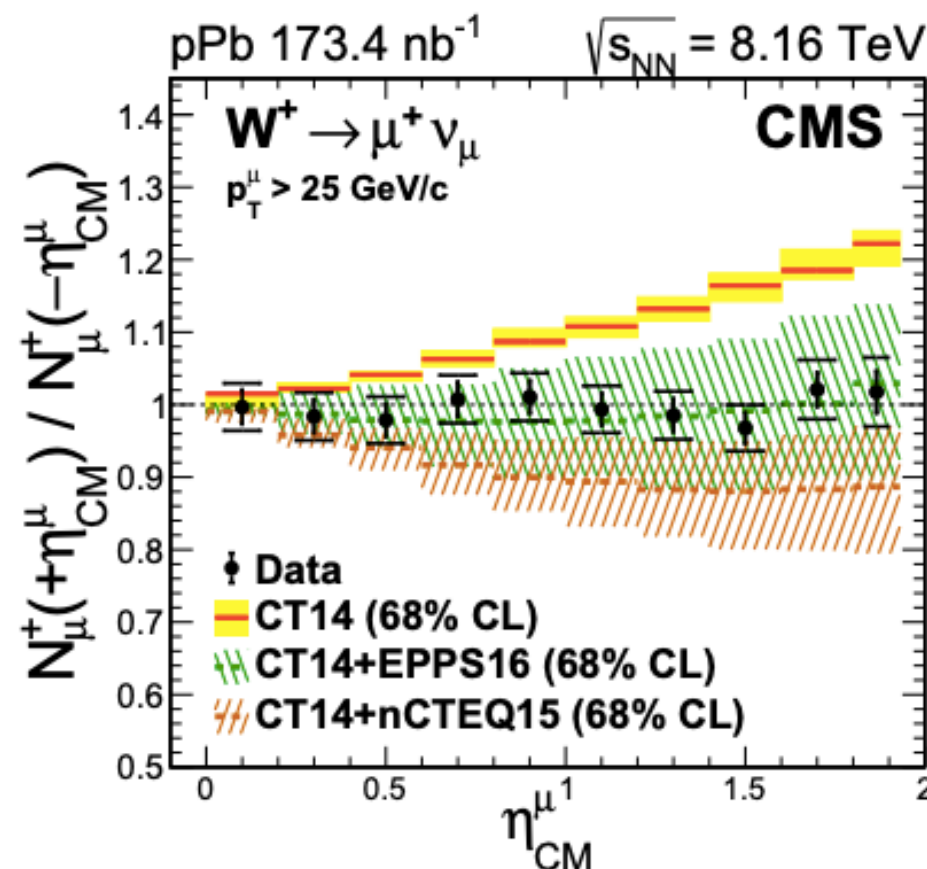
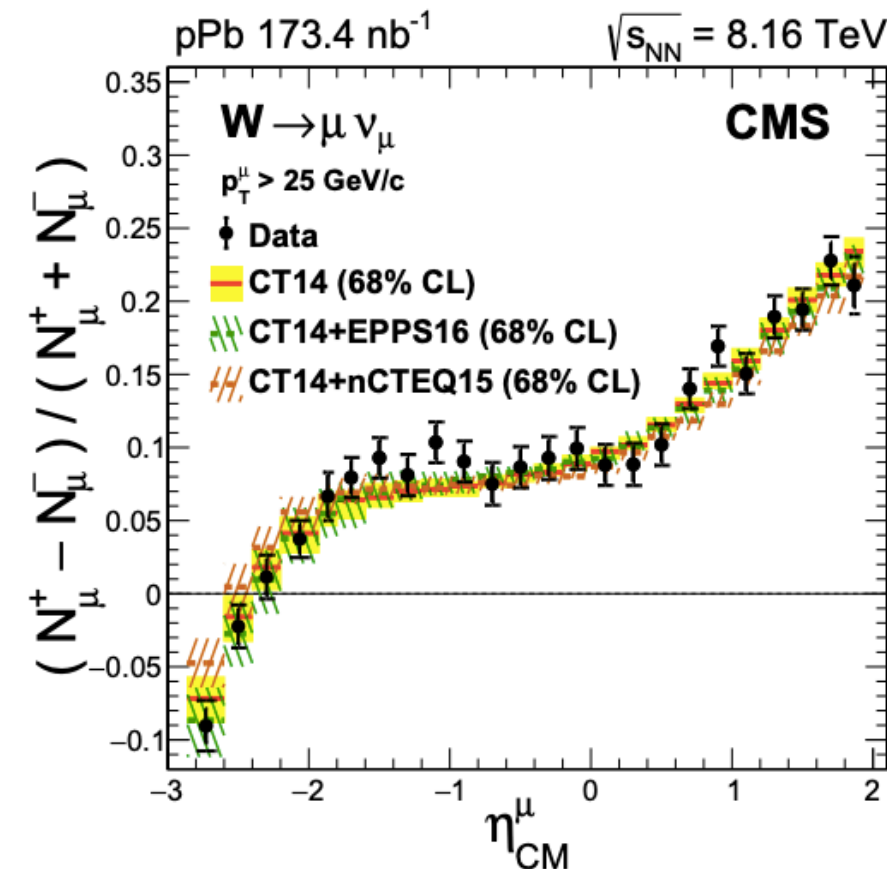


Back up

Thanks for your attention!

Cheat sheet: W production in pPb

- $W \rightarrow \mu \nu$
- **2016 pPb+Pbp @8.16TeV** (6.5TeV+2.56TeV), $\eta_{\text{lab}} = \eta_{\text{CM}} - 0.465$
- **Trigger:** at least one μ $p_T > 12 \text{ GeV}$
- **Evt sel (EM cont):** interaction vertex ($N_{\text{trk}} > 1$), HF leading tower $> 3 \text{ GeV}$ ($< 0.2\%$ loss)
- **Offline μ :** $p_T > 25 \text{ GeV}$, $|\eta| < 2.4$, isolated $I < 0.15$
- **Background:** DY (remove events with ≥ 2 isolated μ), QCD multijet (data-driven, nonisolated muon), others (MC)
- **Signal ext:** fit on missing p_T (from PF obj), $97971 \pm 332 \mu^+$, $81147 \pm 301 \mu^-$
- **Leading syst:** TnP



Cheat sheet: W production in pPb

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- **Signal ext:** fit on missing p_T (from PF obj), $97971 \pm 332\ \mu^+$, $81147 \pm 301\ \mu^-$
- **Leading syst:** TnP
- Account for bin-to-bin correlations of uncertainties (experimental and theoretical)

Observable	CT14			CT14+EPPS16			CT14+nCTEQ15		
	χ^2	dof	Prob. [%]	χ^2	dof	Prob. [%]	χ^2	dof	Prob. [%]
$d\sigma^{W^\pm \rightarrow \mu^\pm \nu_\mu}(\eta_{\text{CM}}^\mu) / d\eta_{\text{CM}}^\mu$	135	48	3×10^{-8}	32	48	96	40	48	79
$(N_\mu^+ - N_\mu^-) / (N_\mu^+ + N_\mu^-)$	23	24	54	18	24	80	29	24	23
$N_\mu^\pm(+\eta_{\text{CM}}^\mu) / N_\mu^\pm(-\eta_{\text{CM}}^\mu)$	98	20	3×10^{-10}	11	20	95	14	20	83
$N_\mu(+\eta_{\text{CM}}^\mu) / N_\mu(-\eta_{\text{CM}}^\mu)$	87	10	2×10^{-12}	3	10	99	5	10	90

Cheat sheet: Dijet in pPb

- **2013 pPb+Pbp @5.02TeV** (4TeV+1.58TeV), $\eta_{\text{lab}} = \eta_{\text{CM}} - 0.465$
- No significance dijet imbalance in pPb compared with pp
- **Trigger:** Single jet $> 40, 60, 80$ GeV
- **Evt sel (EM cont):** interaction vertex ($N_{\text{trk}} > 1$), HF coincidence > 3 GeV for +&&-, PU filter
- **Offline jet:** anti-kT PF 0.3, $|\eta_{\text{lab}}| < 3$, $p_{T,1} > 30$ GeV, $p_{T,2} > 20$ GeV, $\Delta\phi > 2\pi/3$
- $p_T^{\text{ave}} = (p_{T,1} + p_{T,2})/2 \sim Q$, 55 $\Rightarrow$ 400 GeV
- **Leading syst:** JES
- **p value:** EPS09 (0.19), DSSZ ($< 10^{-8}$), nCTEQ15 ($< 10^{-8}$) for one p_T^{ave}

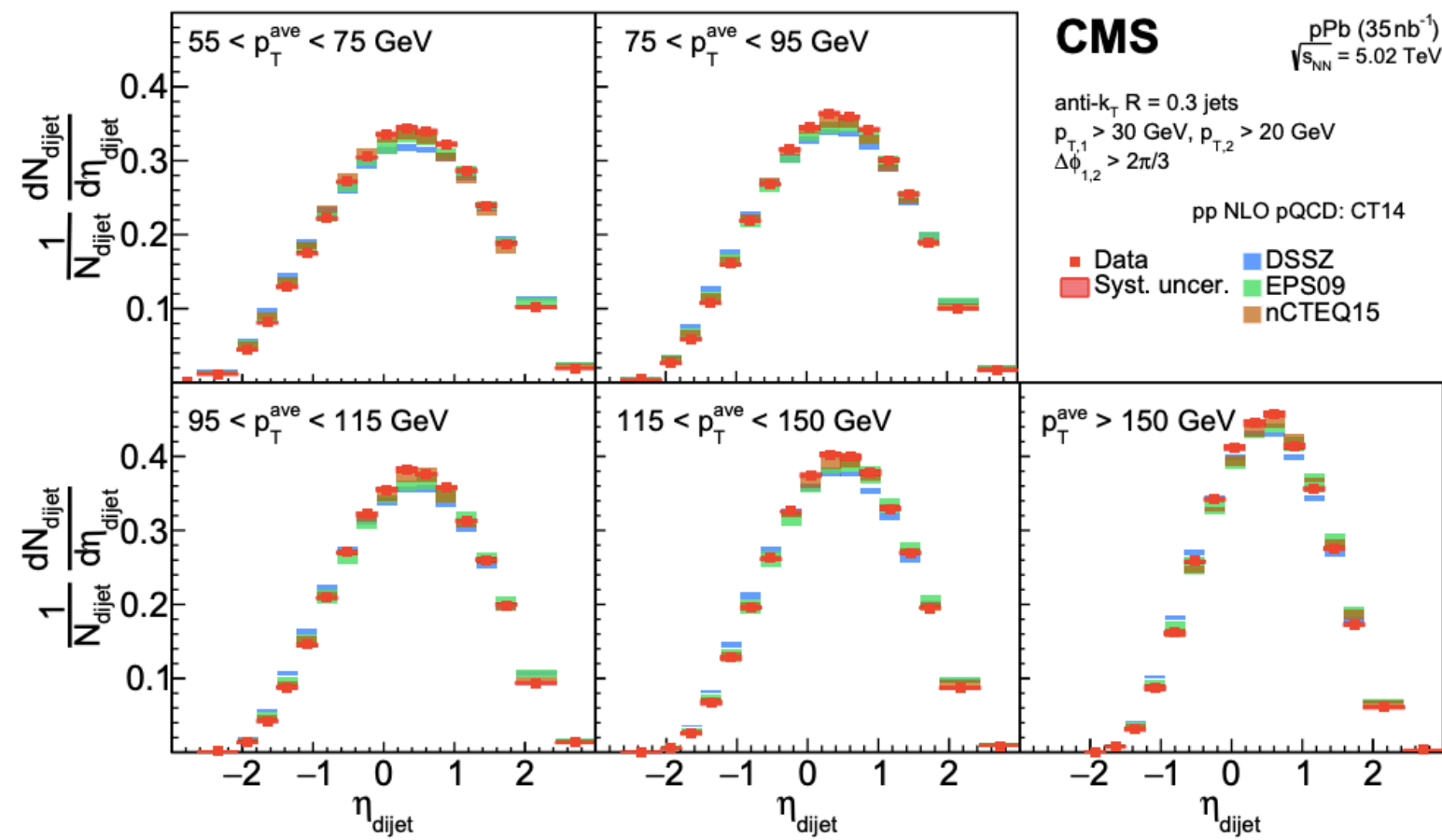


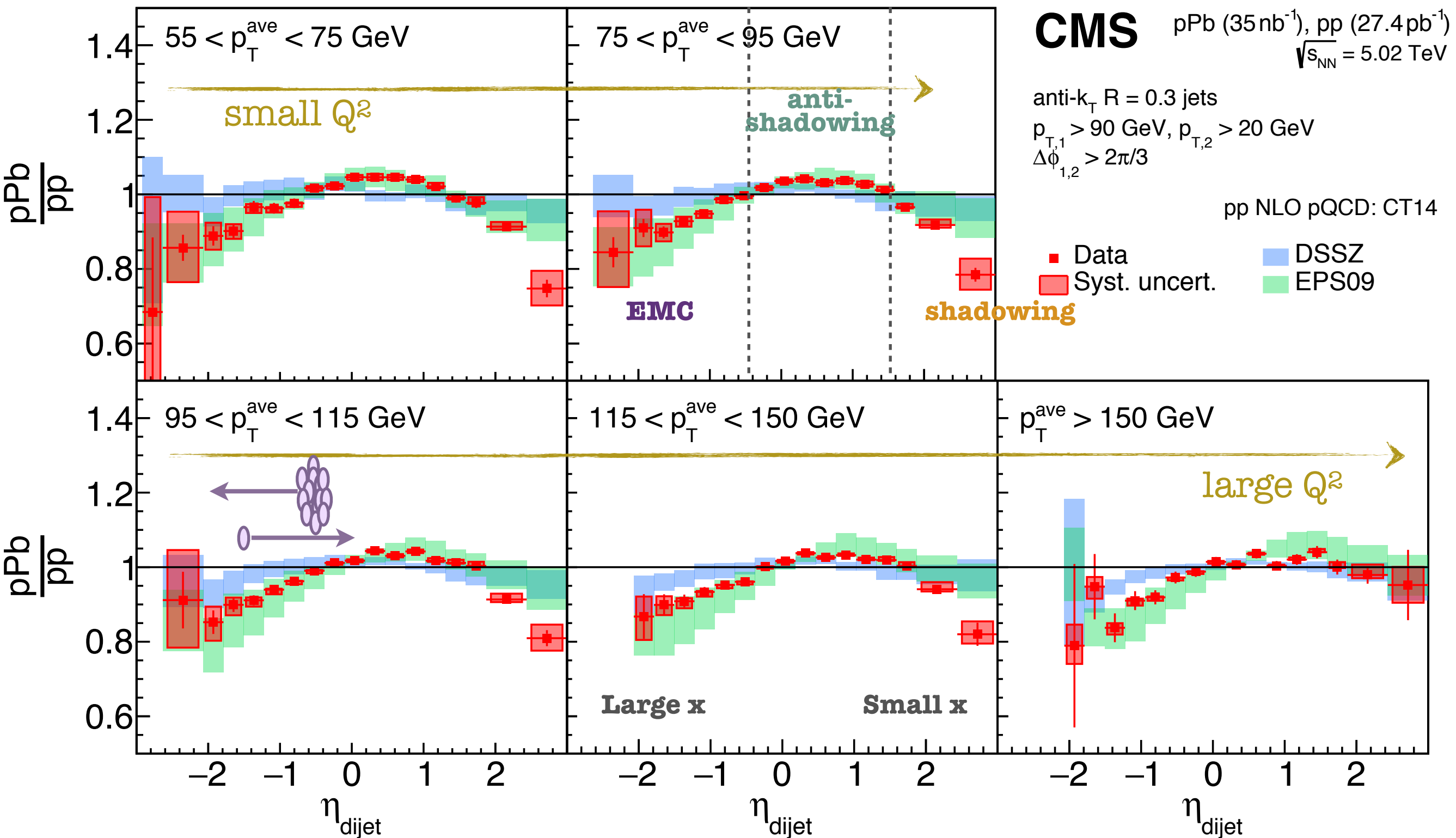
FIG. 2. The measured pPb dijet pseudorapidity spectra in bins of p_T^{ave} , overlaid with the NLO pQCD calculations of DSSZ [3], EPS09 [4], and nCTEQ15 [5] nPDFs, using the CT14 [1] as the baseline PDF. The red boxes indicate systematic uncertainties in data and the height of the NLO pQCD calculation boxes represent the nPDF uncertainties.

Cheat sheet: Dijet in pPb



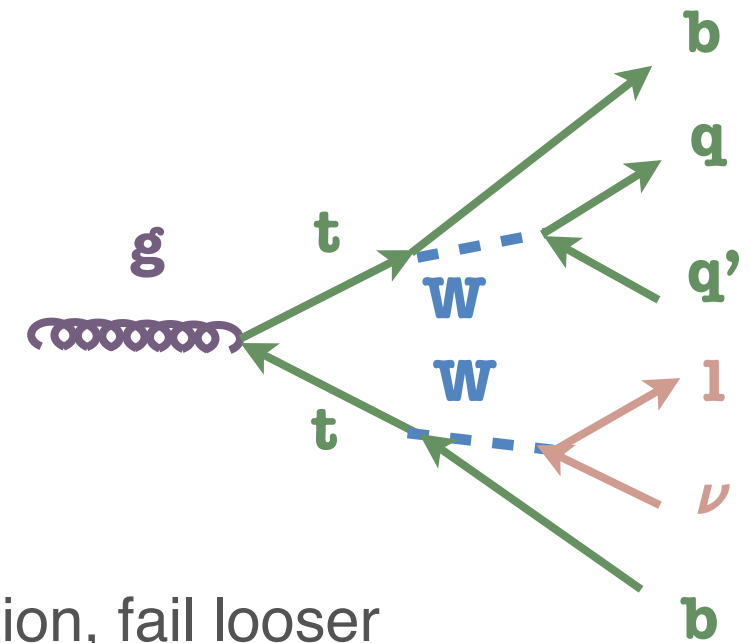
pPb 5.02 TeV

PRL 121 (2018) 062002



Cheat sheet: Top production in pPb

- $tt \rightarrow WbWb \rightarrow \mu \nu bjj' b$ (large BR $\sim 34\%$, only one missing p_T)
- **2016 pPb+Pbp @8.16TeV** (6.5TeV+2.56TeV), $\eta_{lab} = \eta_{CM} - 0.465$
- **Trigger:** at least one $\mu(e)$ $p_T > 12\text{GeV}$ ($E_T > 20\text{GeV}$)
- **Evt sel:** interaction vertex ($N_{trk} > 1$), HF leading tower $> 3\text{ GeV}$,
- **Offline μ/e :** exactly one $\mu(e)$ $p_T > 30\text{ GeV}$, $|\eta| < 2.1$, isolated
- **b-tagging:** $\sim 70\%$ efficiency, 0.1% misidentification
- **jets:** chose two jets smallest separation
- **Background:** W+jets, QCD multijet (data-driven: opposite isolation, fail looser identification)
- 710 signals in total
- **Leading syst:** b-tagging efficiency
- A net overall anti shadowing effect increases the total to quark pair cross section by only 4% for both EPPS16 and EPS09 sets in pPb relative to pp collisions





Cheat sheet: Forward jets in pPb

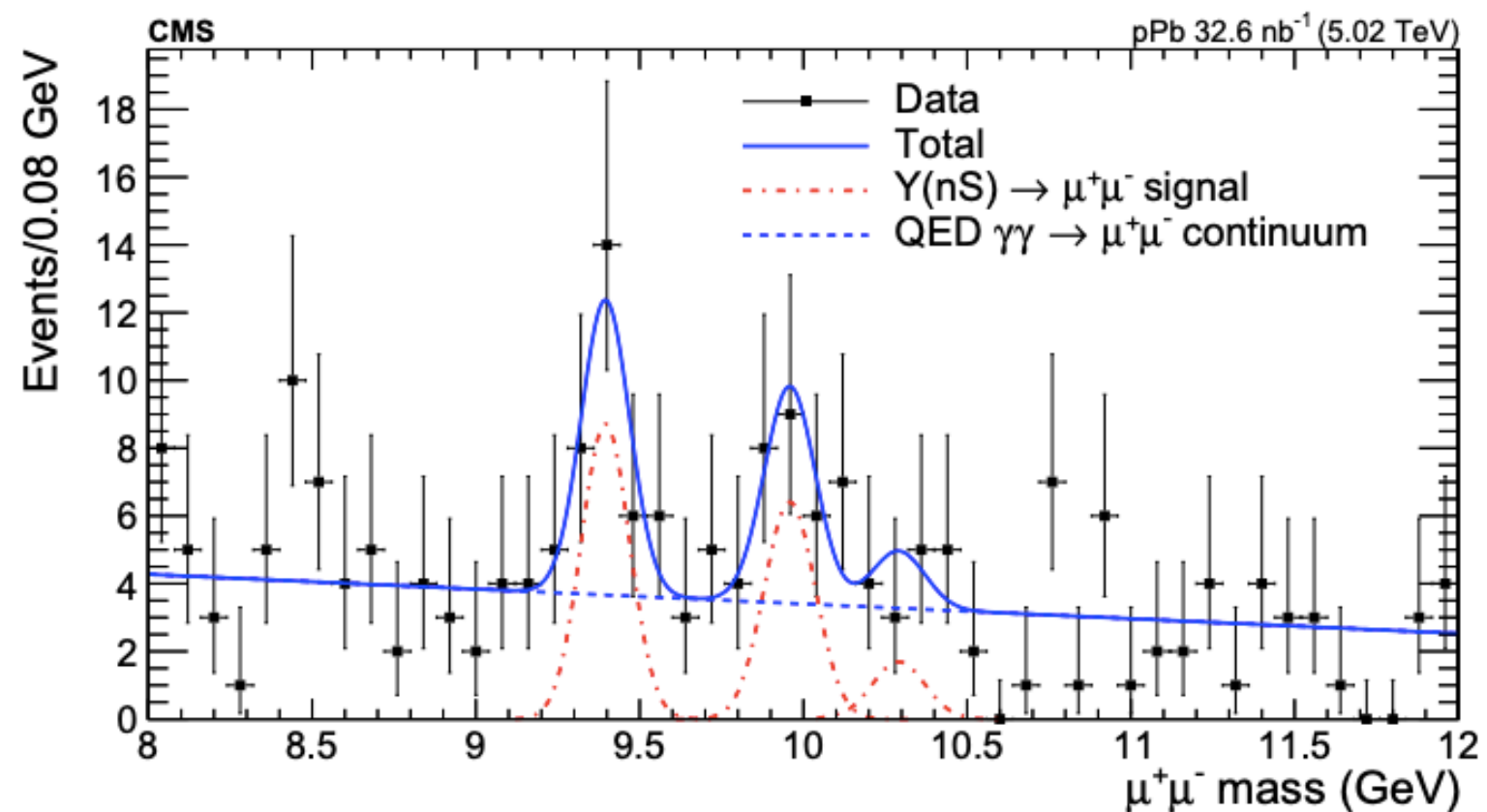
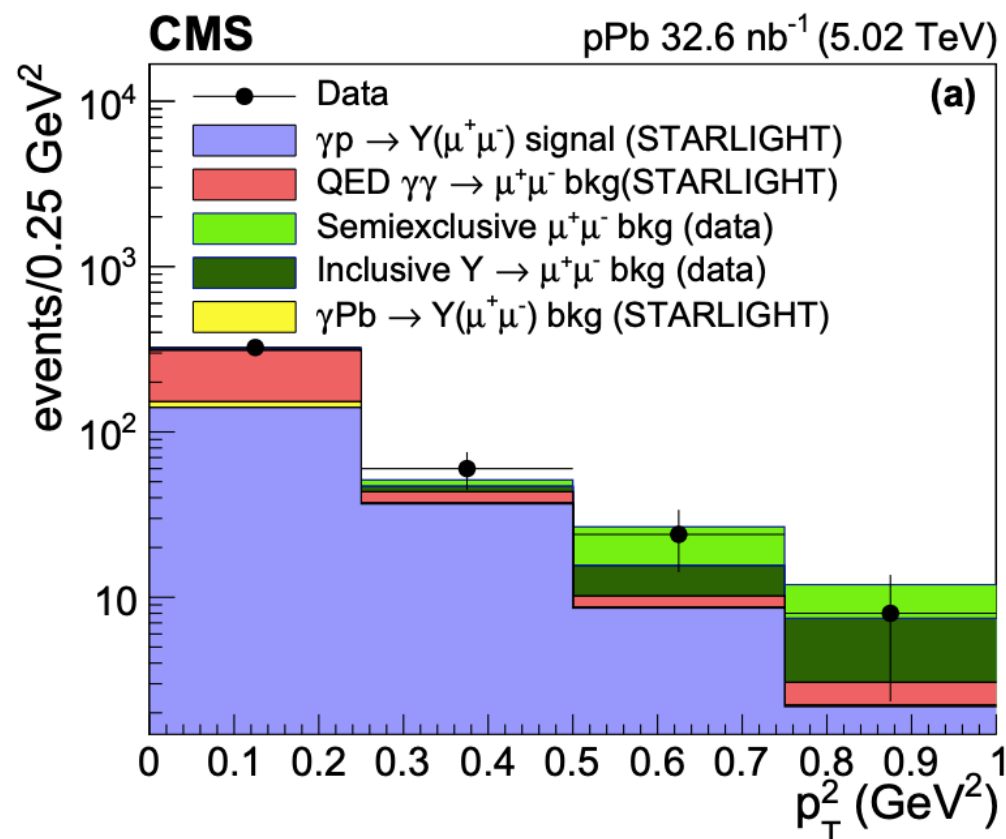


- **2013 pPb+Pbp @5.02TeV** (4TeV+1.58TeV), $\eta_{\text{lab}} = \eta_{\text{CM}} - 0.465$, $L = 9.84 \text{ nb}^{-1}$, $\langle \text{PU} \rangle = 0.0763$
- **Trigger:** MinBias + 1 pixel track
- **Evt sel:** interaction vertex ($N_{\text{trk}} > 1$), HF coincidence $> 4 \text{ GeV}$ for +&&-, PU filter (suppress diffractive + photon-induced)
- **Offline jet:** anti-kT Calo 0.5, $E > 550 \text{ GeV} \Rightarrow p_{\text{T}} \sim 3 \text{ GeV}$ (opt for missed and fake jets)
- $p_{\text{T}}^{\text{ave}} = (p_{\text{T},1} + p_{\text{T},2})/2 \sim Q$, $55 \Rightarrow 400 \text{ GeV}$
- **Leading syst:** JES + Model dependence (unfolding)
- Pbp significant contribution from ion remnants

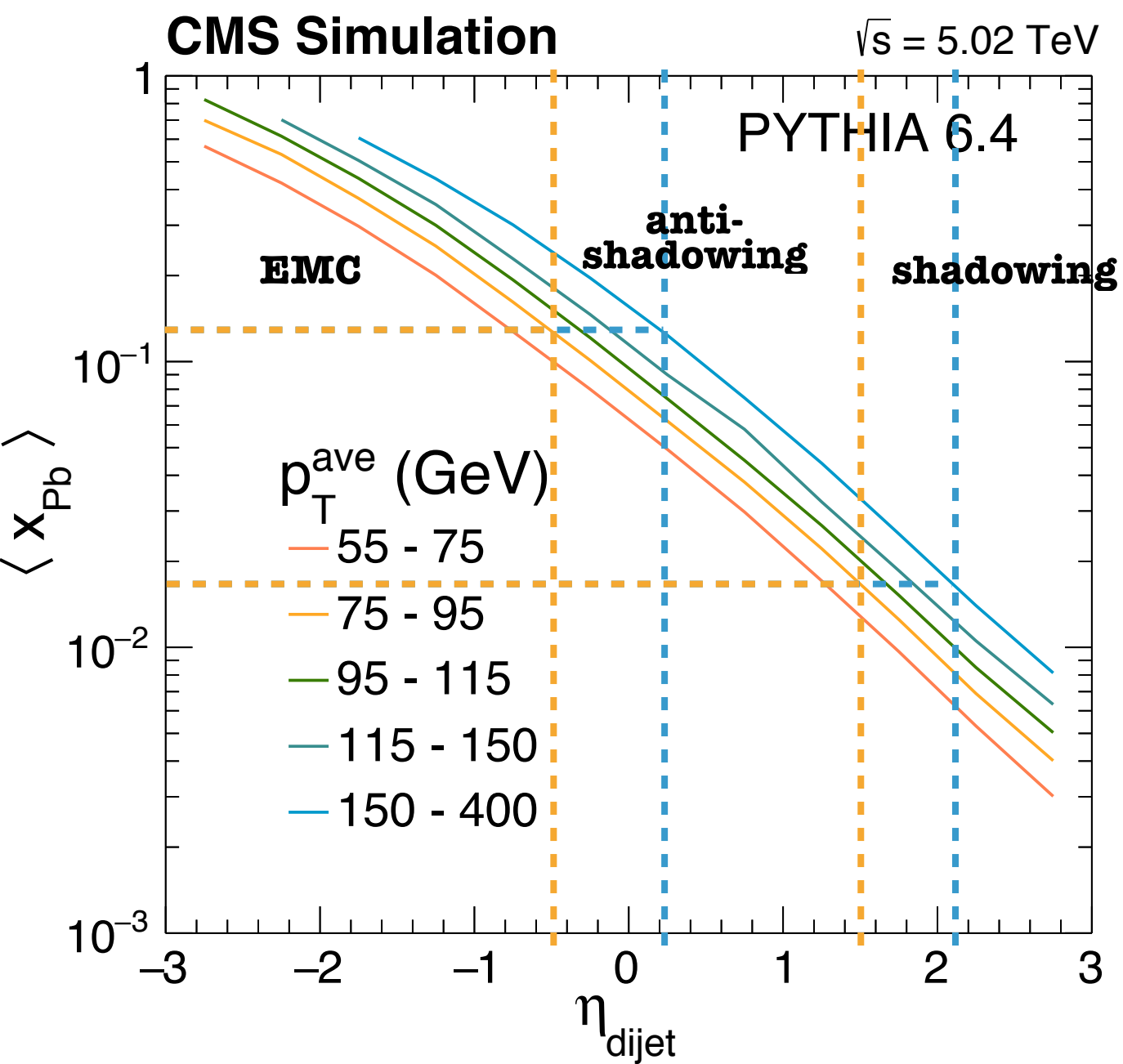
pp figure

Cheat sheet: UPC Upsilon in pPb

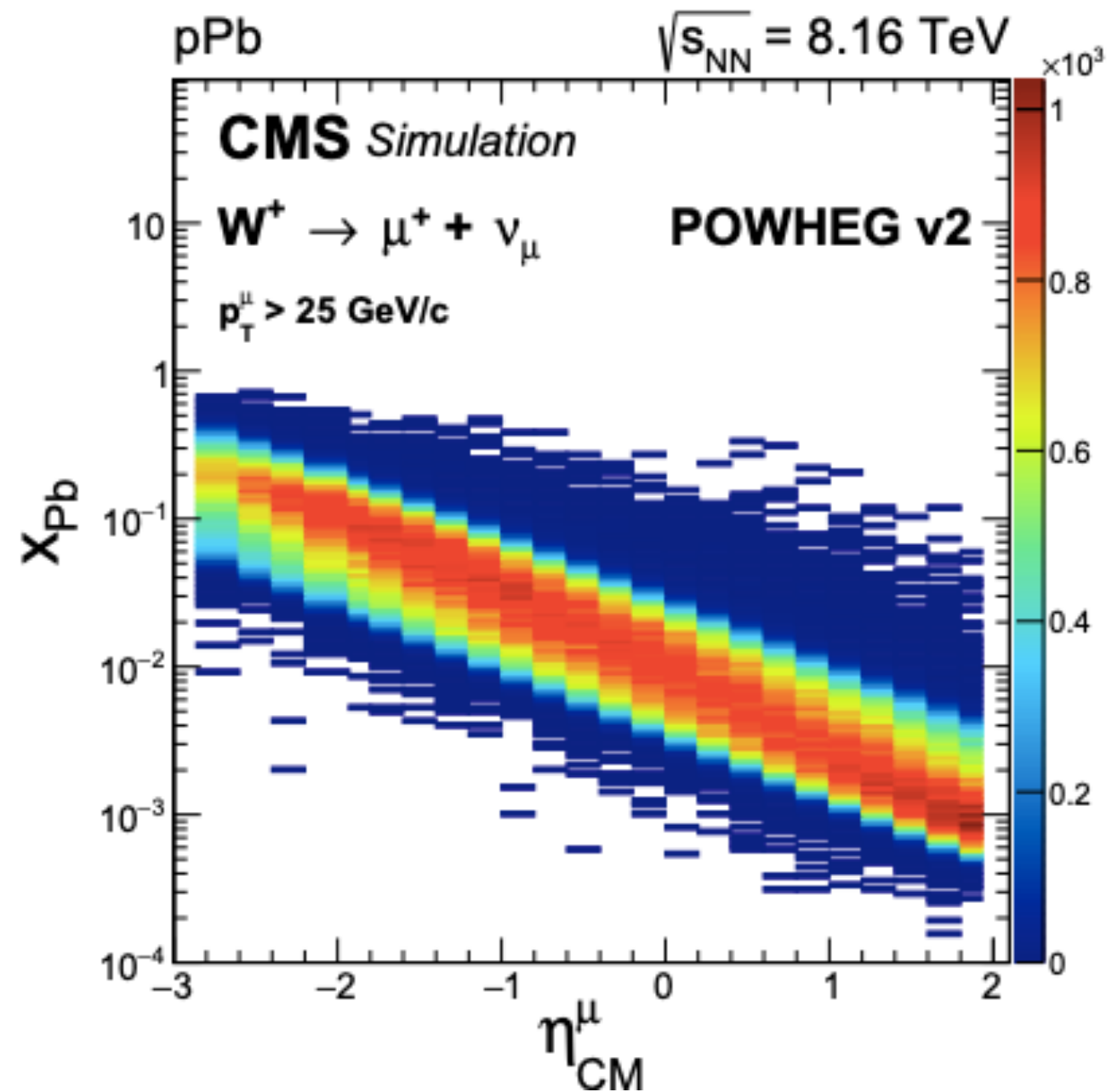
- **2013 pPb+Pbp @5.02TeV** (4TeV+1.58TeV), $\eta_{\text{lab}} = \eta_{\text{CM}} - 0.465$
- W range $\leq |y| < 2.2$
- only photon-p signal, reject photon-Pb
- **Trigger:** at least one μ + at least one but not more than six tracks
- **Evt sel:** no energy deposit in HF (< 5 GeV)
- **Offline μ :** $p_T > 3.3$ GeV, $|y| < 2.2$
- Offline Y : Y vertex no extra charged particle with $p_T > 0.1$ GeV, $0.1 < p_T < 1$ GeV
- **Leading syst:** TnP

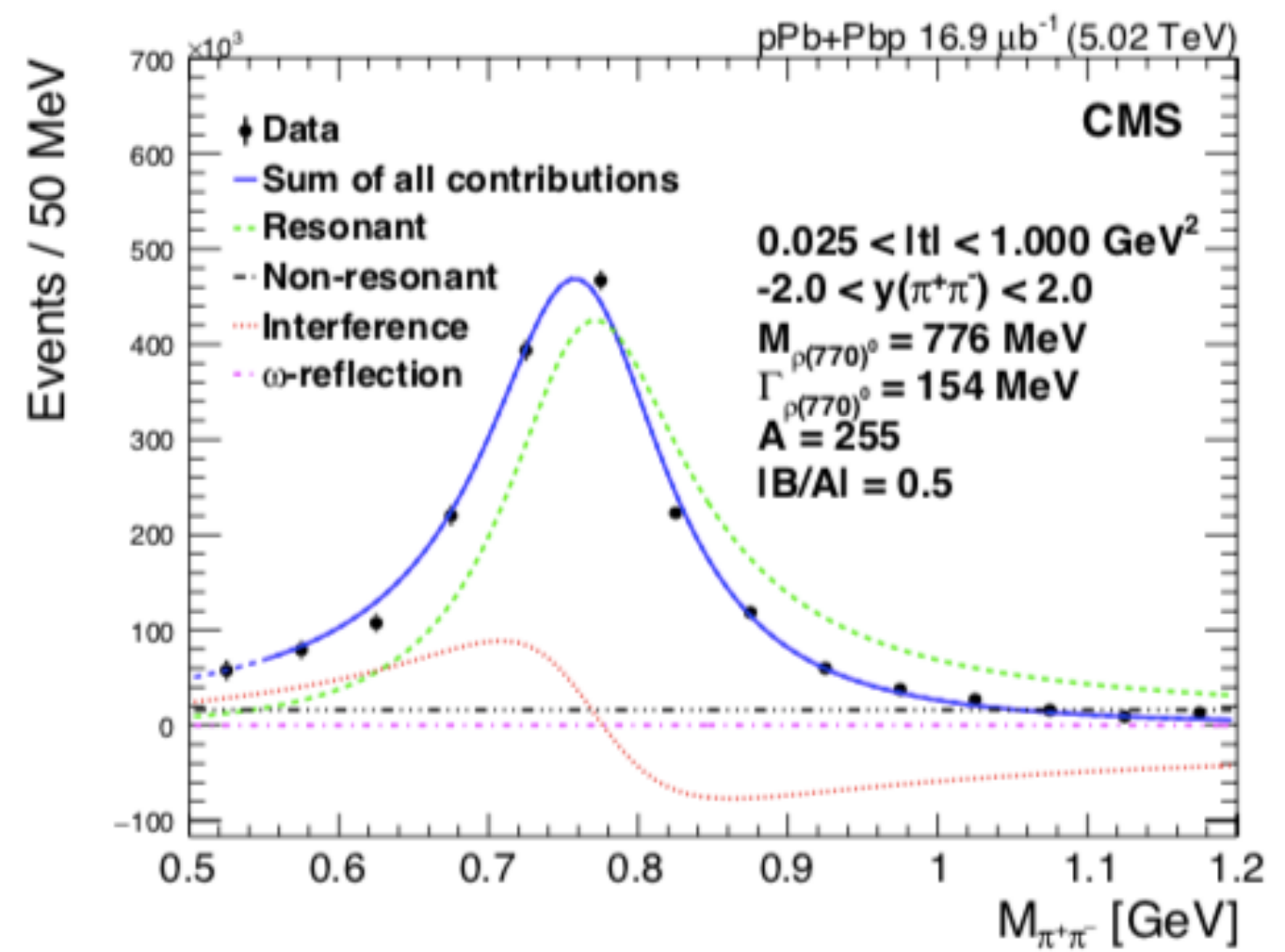
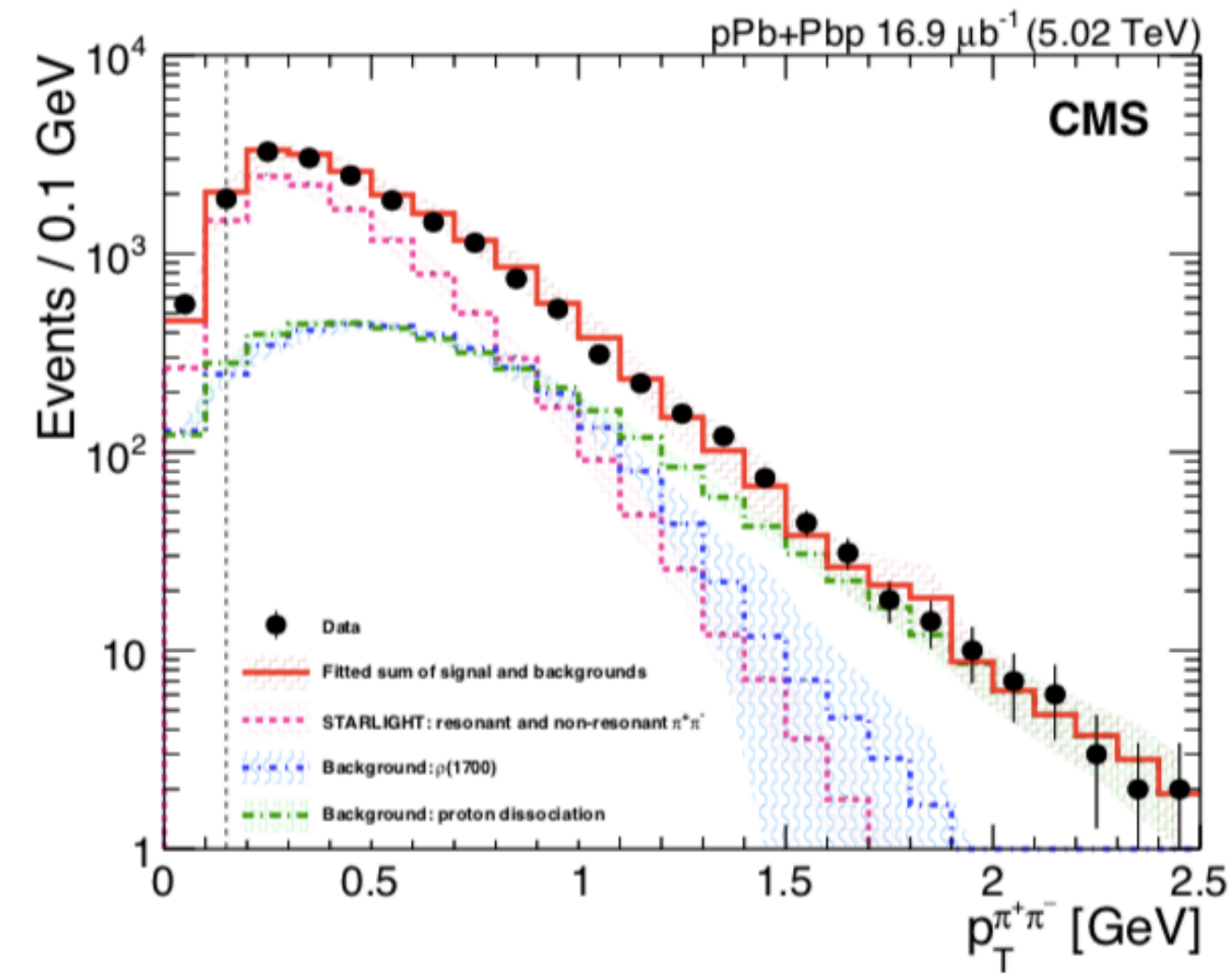


dijet

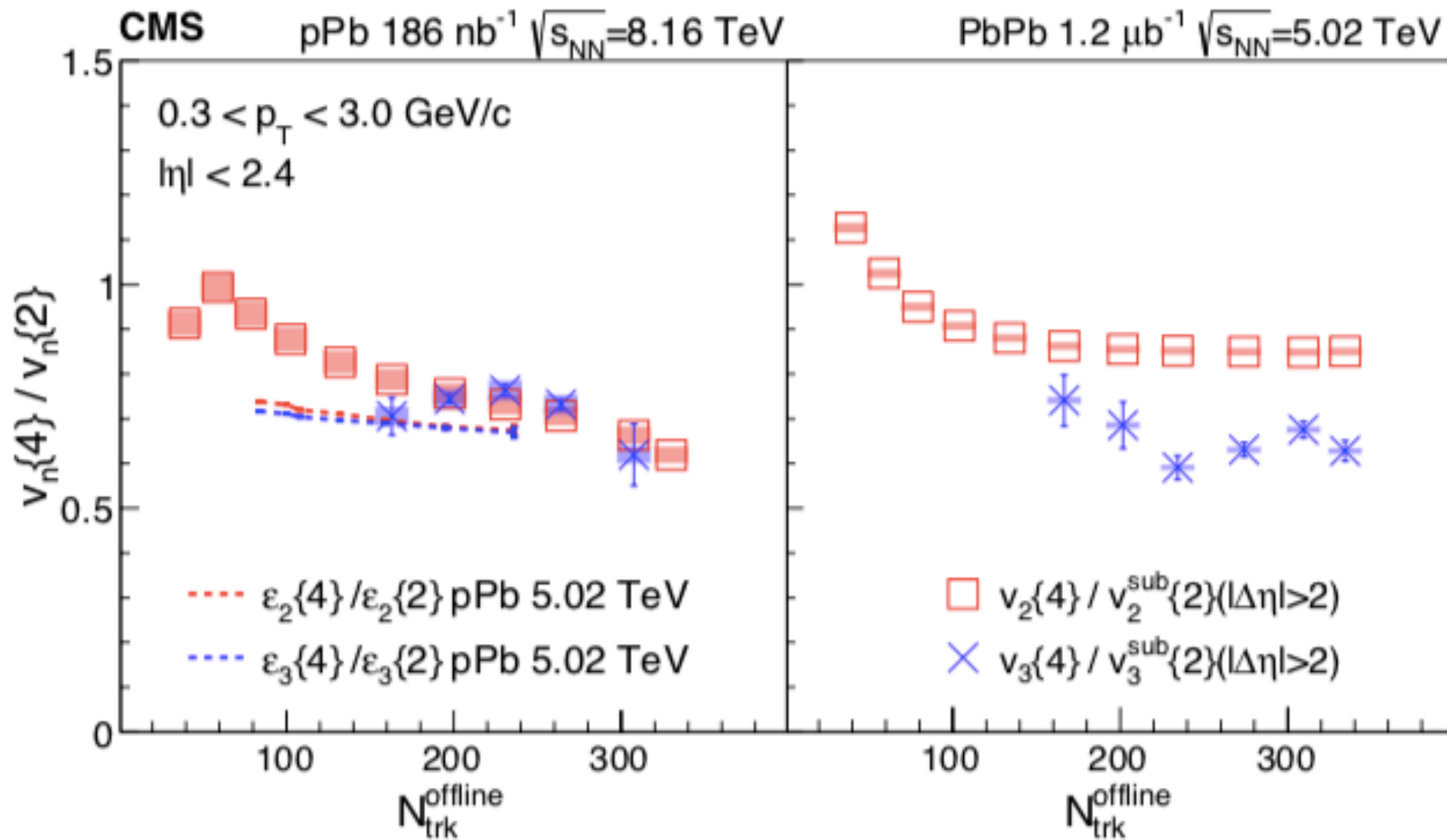


W boson

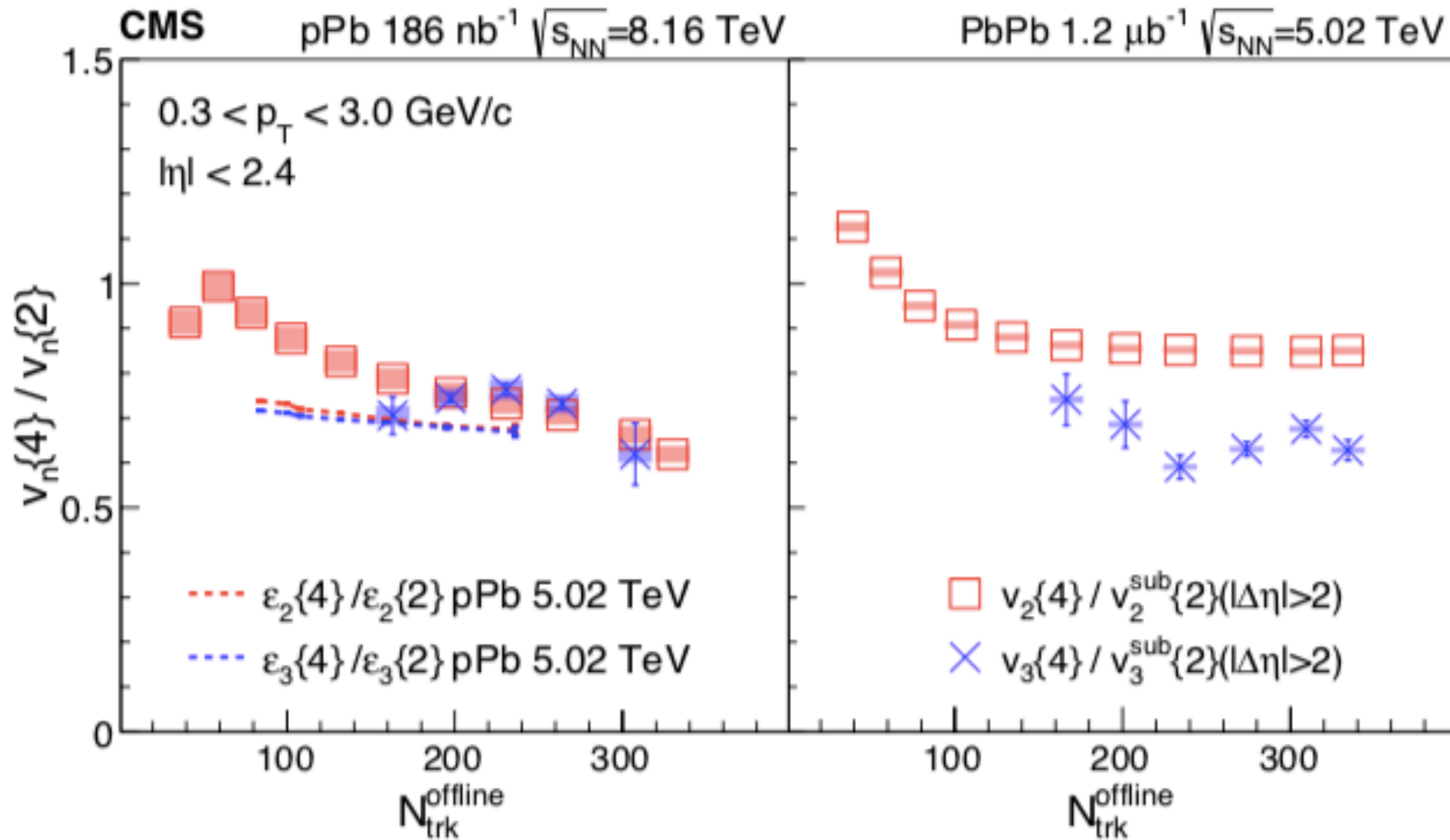




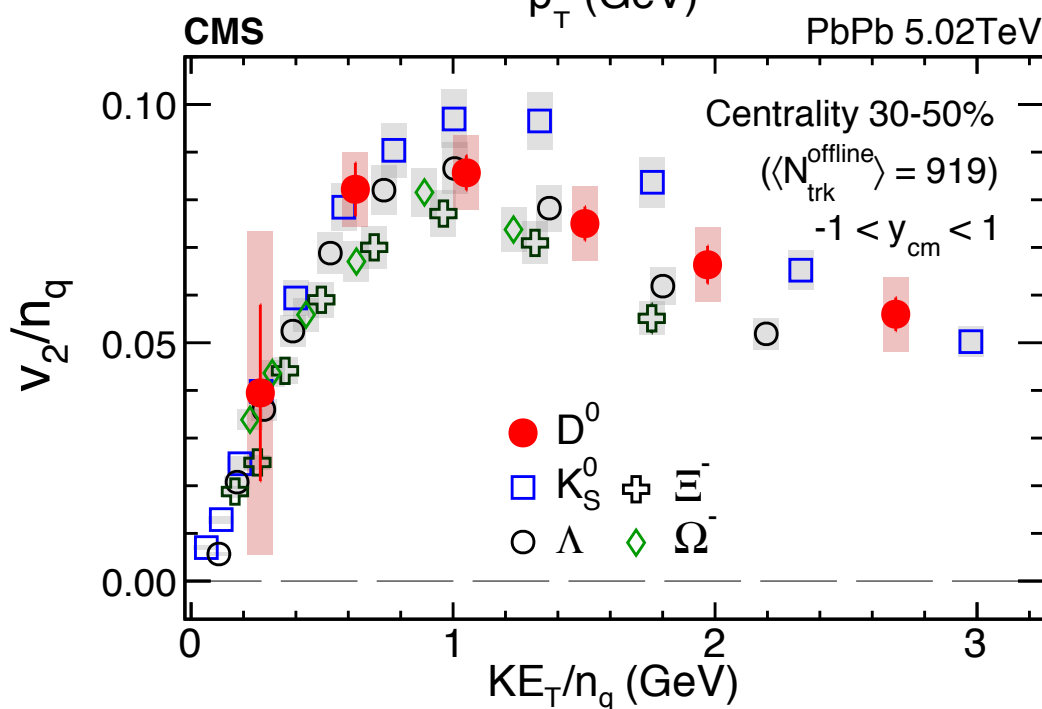
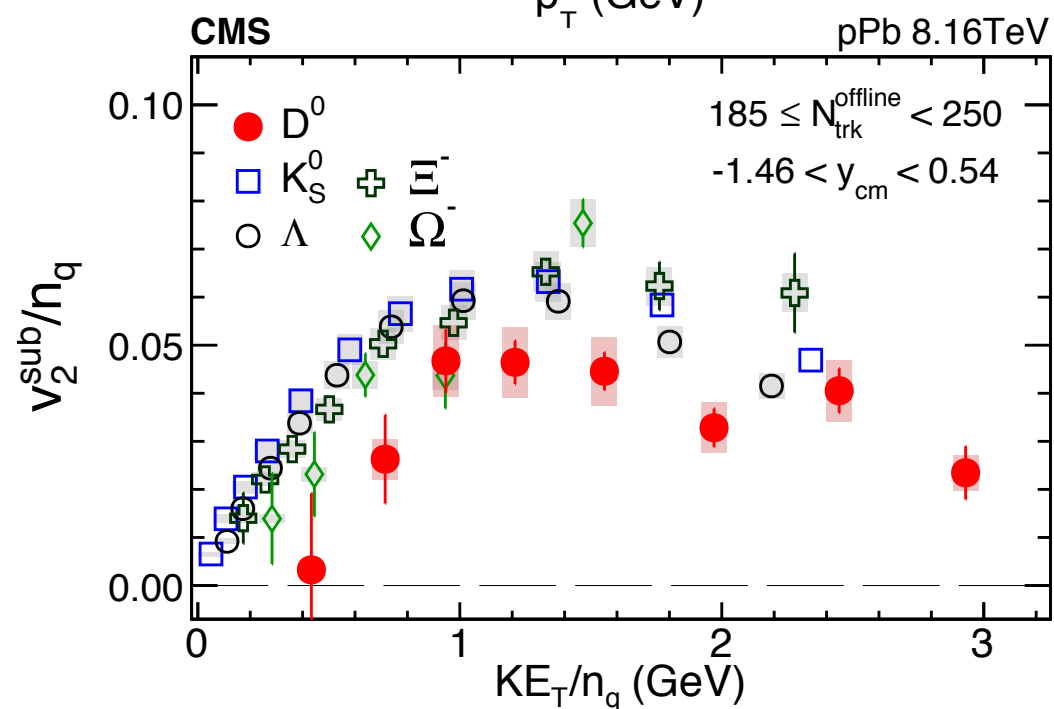
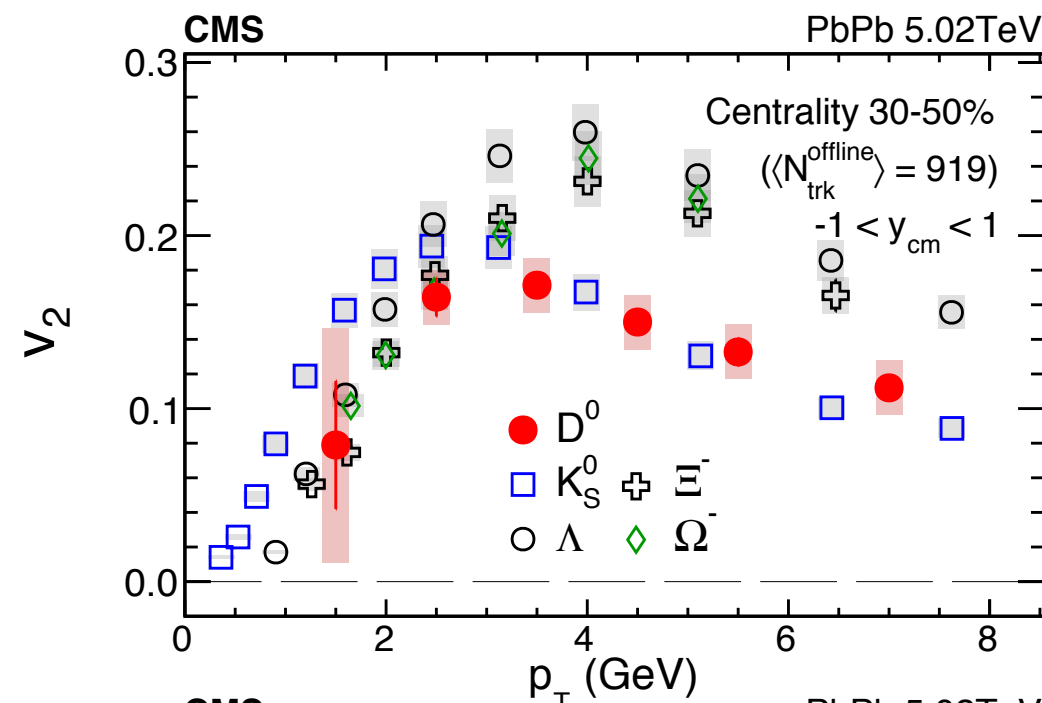
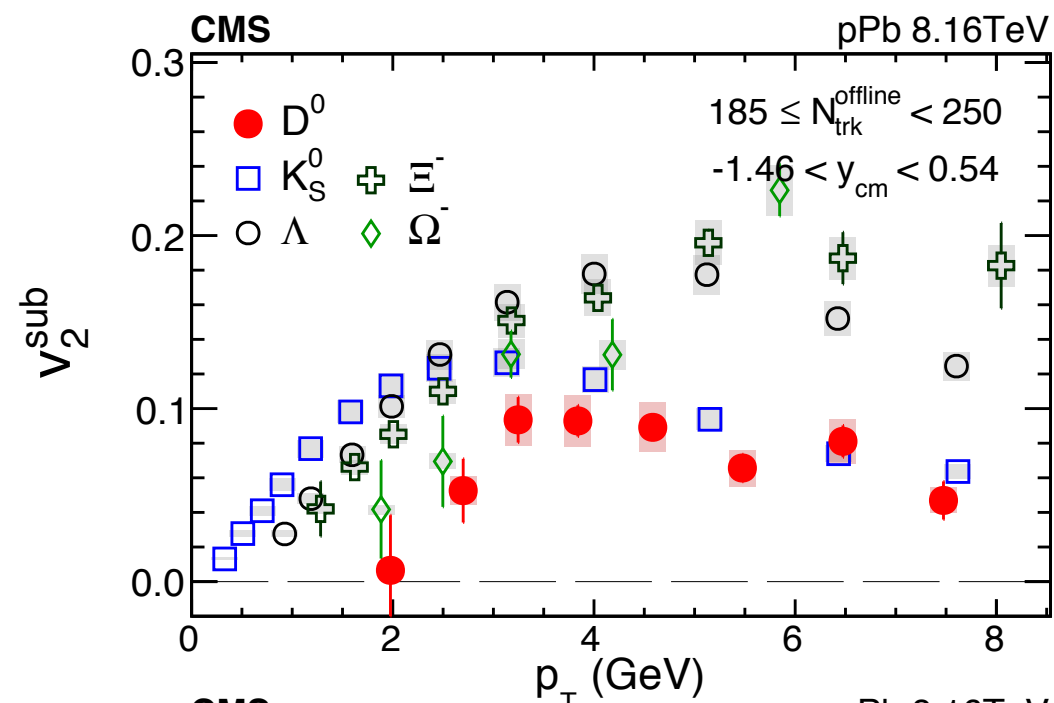
Multi-particle correlation



Multi-particle correlation

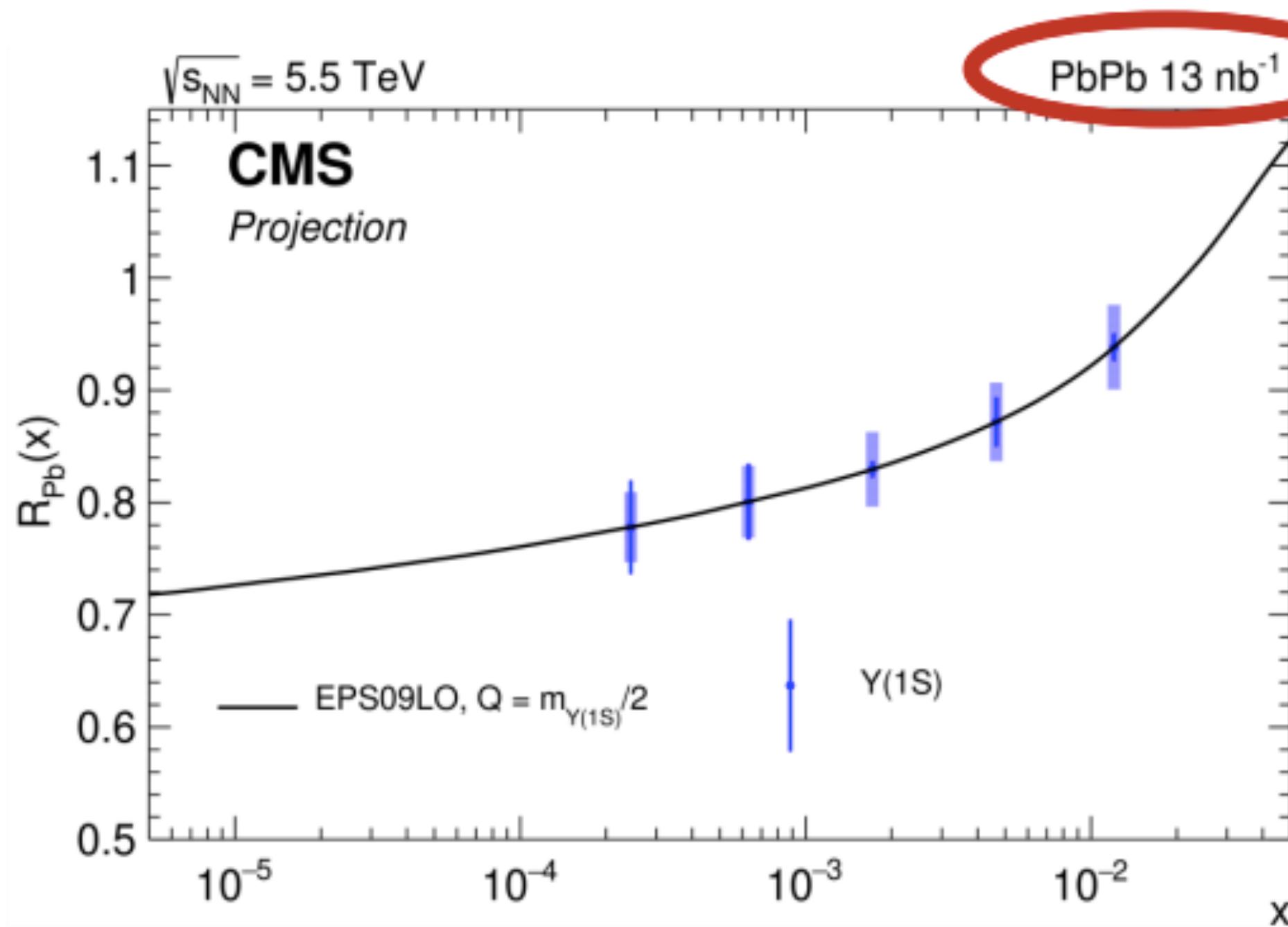


Collectivity in small system



From the WG5 Yellow Report (<https://arxiv.org/abs/1812.06772>)

Year	Systems, $\sqrt{s_{NN}}$	Time	L_{int}
2021	Pb–Pb 5.5 TeV	3 weeks	2.3 nb^{-1}
	pp 5.5 TeV	1 week	3 pb^{-1} (ALICE), 300 pb^{-1} (ATLAS, CMS), 25 pb^{-1} (LHCb)
2022	Pb–Pb 5.5 TeV	5 weeks	3.9 nb^{-1}
	O–O, p–O	1 week	$500 \mu\text{b}^{-1}$ and $200 \mu\text{b}^{-1}$
2023	p–Pb 8.8 TeV	3 weeks	0.6 pb^{-1} (ATLAS, CMS), 0.3 pb^{-1} (ALICE, LHCb)
	pp 8.8 TeV	few days	1.5 pb^{-1} (ALICE), 100 pb^{-1} (ATLAS, CMS, LHCb)
2027	Pb–Pb 5.5 TeV	5 weeks	3.8 nb^{-1}
	pp 5.5 TeV	1 week	3 pb^{-1} (ALICE), 300 pb^{-1} (ATLAS, CMS), 25 pb^{-1} (LHCb)
2028	p–Pb 8.8 TeV	3 weeks	0.6 pb^{-1} (ATLAS, CMS), 0.3 pb^{-1} (ALICE, LHCb)
	pp 8.8 TeV	few days	1.5 pb^{-1} (ALICE), 100 pb^{-1} (ATLAS, CMS, LHCb)
2029	Pb–Pb 5.5 TeV	4 weeks	3 nb^{-1}
Run-5	Intermediate AA	11 weeks	e.g. Ar–Ar $3\text{--}9 \text{ pb}^{-1}$ (optimal species to be defined)
	pp reference	1 week	



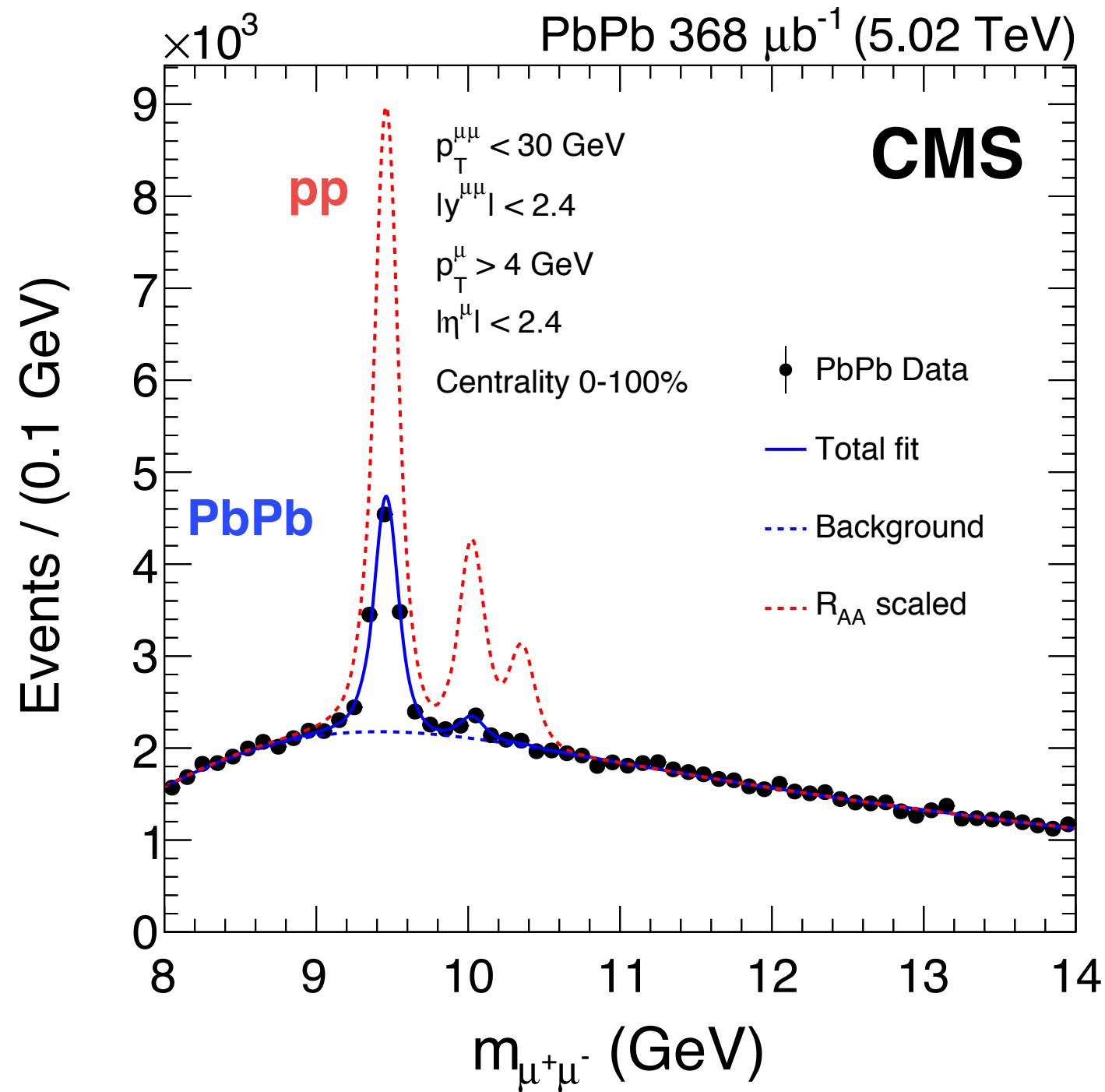


Upsilon Sequential Suppression in PbPb

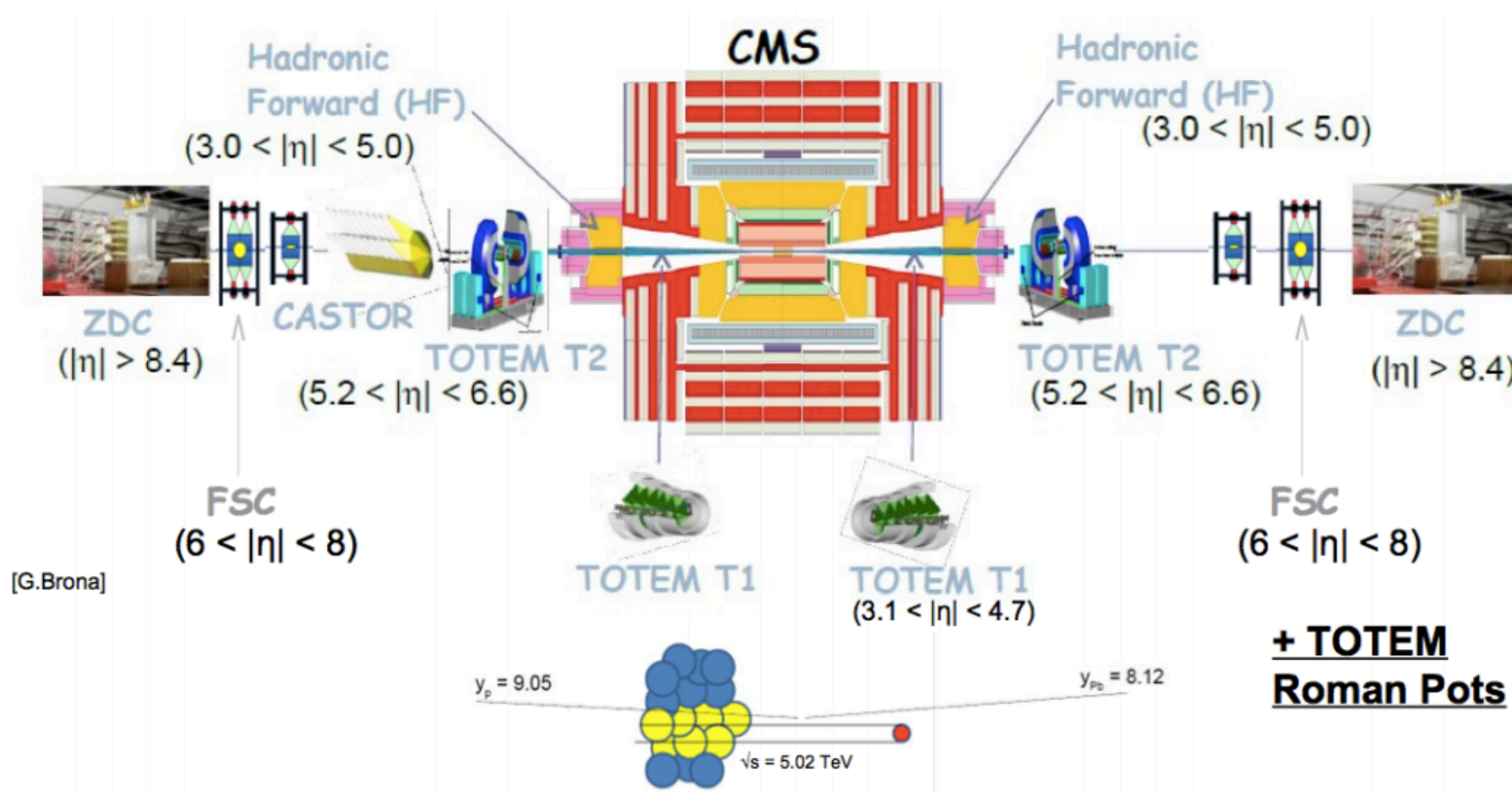


Yongsun Kim
Wed. 14.20

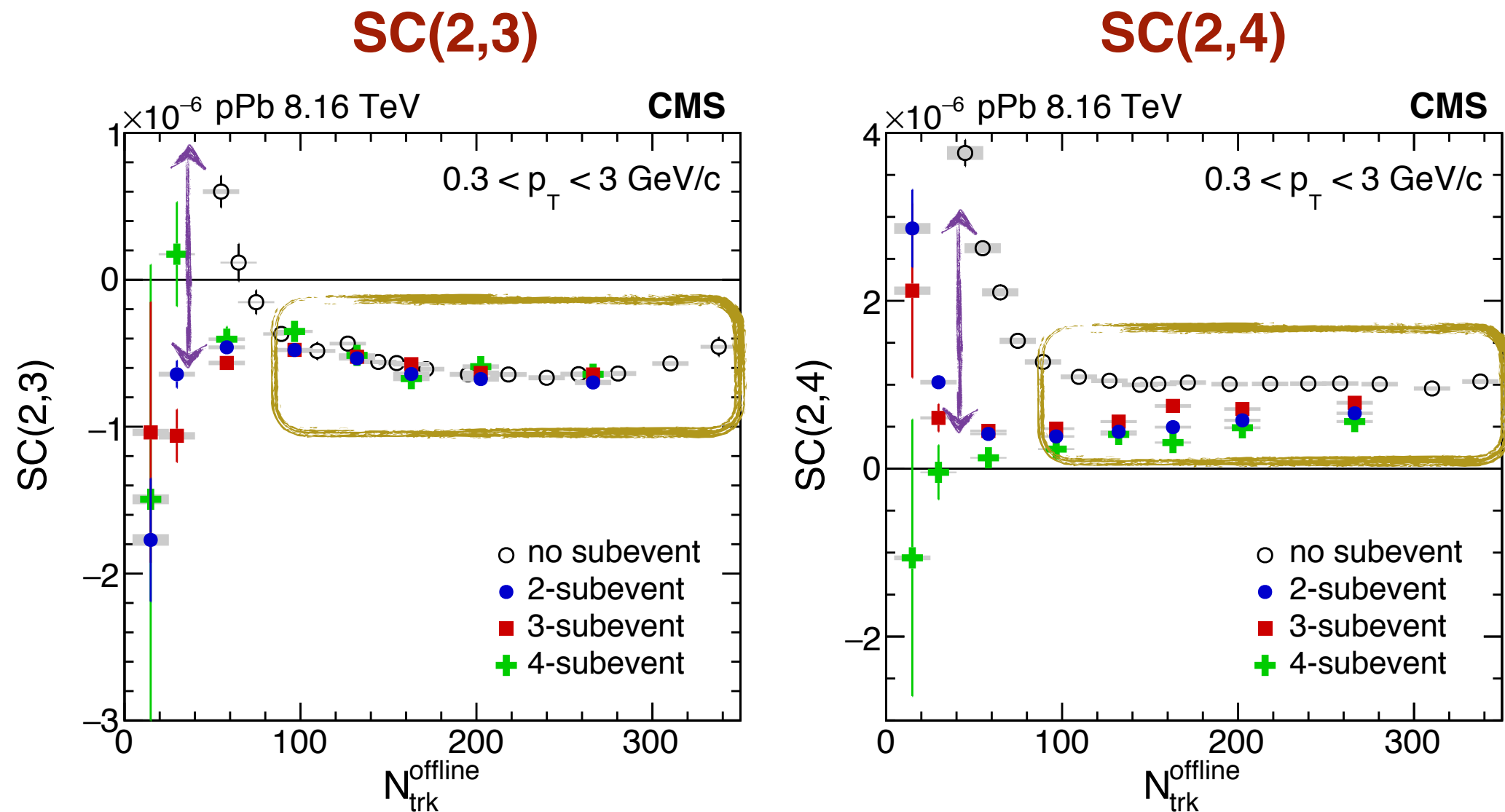
PLB 790 (2019) 270



UPCs at CMS



Symmetric Cumulants with Subevents in pPb



Suppressing non-flow contribution with subevents (More subevent, more suppression)

Low-multiplicity ($N_{\text{trk}} < 80$): Non-flow contribution is suppressed

High-multiplicity ($N_{\text{trk}} > 80$)

- SC(2,3): Non-flow component negligible
- v_2 and v_3 anti-correlated
- SC(2,4): Hierarchy of subevent number
- v_2 and v_4 correlated?