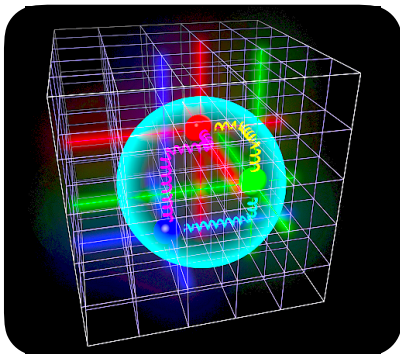


The nucleon electromagnetic form factors at high momentum transfer from Lattice QCD

based on C. Kallidonis et al. [arXiv: 1810.04294]

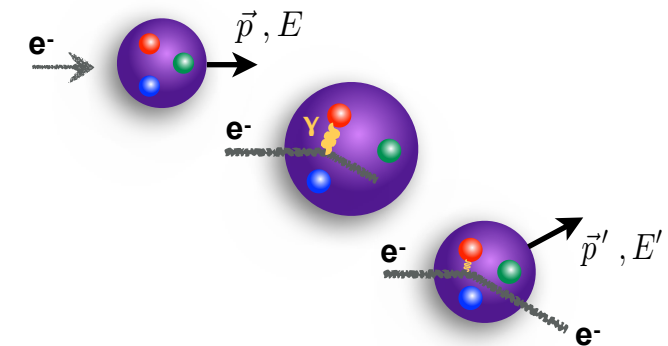
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With:

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M. Engelhardt (New Mexico State University)
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J. Negele, A. Pochinsky (MIT)



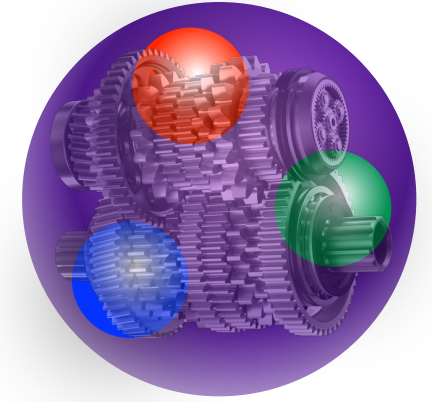
2019 Lattice Workshop for US - Japan Intensity Frontier Incubation
Brookhaven National Laboratory
25 - 27 March 2019

- Introduction - Motivation
- Methodology - Matrix elements
- Results
 - F_2^p / F_1^p
 - G_E^p / G_M^p
 - G_E^n / G_M^n
 - $F_{1,2}^{p,n}, F_{1,2}^{u,d}$
- Systematics
- Conclusions

Introduction - Motivation

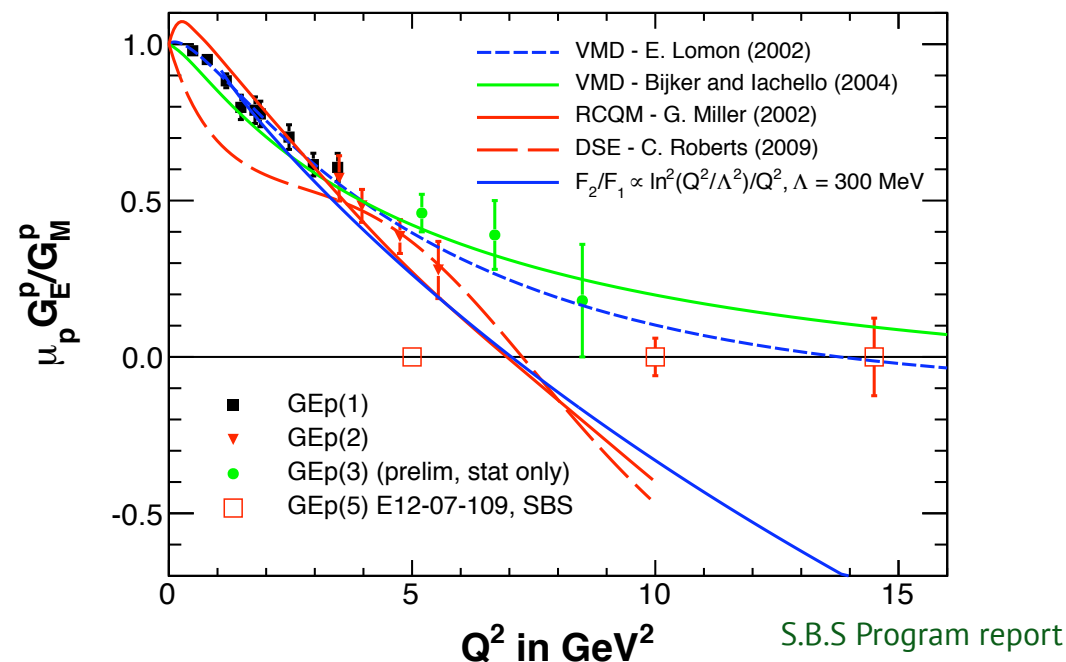
Nucleon electric and magnetic form factors are important probes of its internal structure

- description of the spatial distributions of electric charge and magnetization
- value at $G_E(Q^2 = 0)$, $G_M(Q^2 = 0)$: electric charge, magnetic moment
- slope of G_E , G_M at $Q^2 = 0$: electric and magnetic radius
- high-momentum regime **required** in effort for complete picture



High-momentum transfer calculation **on the lattice**:

- “bypass” experimental difficulties
- role of pQCD predictions in understanding of FFs?
- are various quark models, phenomenology believable?
- input to DVCS measurements, probing GPDs
- nucleon FFs: good framework to test high-momentum region on the lattice



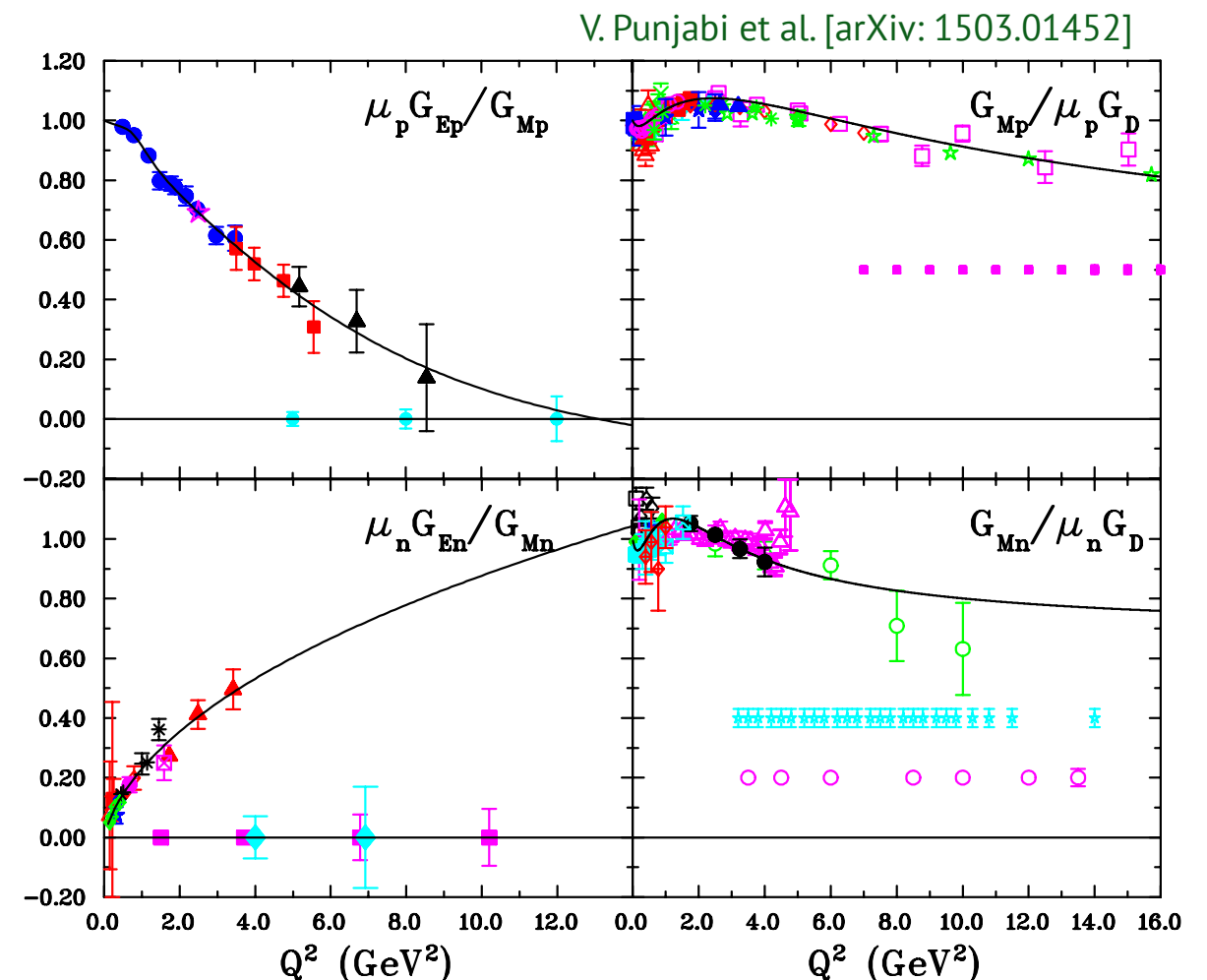
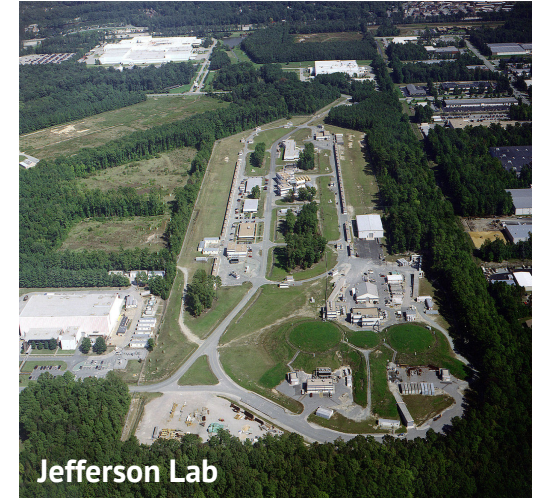
Introduction - Motivation

Current experimental status:

- proton: up to $Q^2 \sim 8.5 \text{ GeV}^2$
- neutron: up to $Q^2 \sim 3.4 \text{ GeV}^2$

Rich experimental activity @ CEBAF, JLab

- high-resolution spectrometer, Hall A
 - G_M^p up to $Q^2 = 17.5 \text{ GeV}^2$
- Halls B, C
 - G_M^n up to $Q^2 = 14 \text{ GeV}^2$
 - G_E^n/G_M^n up to $Q^2 = 6.9 \text{ GeV}^2$
- new Super-BigBite Spectrometer, Hall A
 - G_E^p/G_M^p up to $Q^2 = 15 \text{ GeV}^2$
 - G_E^n/G_M^n up to $Q^2 = 10.2 \text{ GeV}^2$
 - G_M^n up to $Q^2 = 18 \text{ GeV}^2$



Simulation details

- two **Nf=2+1 Wilson-clover** ensembles, produced by **JLab/W&M** lattice group
- different lattice volumes, similar lattice spacing

| D5-ensemble: $\beta = 6.3$, $a = 0.094$ fm, $a^{-1} = 2.10$ GeV | | |
|--|---------------|----------|
| $32^3 \times 64$, $L = 3.01$ fm | $a\mu_l$ | -0.2390 |
| | $a\mu_s$ | -0.2050 |
| | κ | 0.132943 |
| | C_{sw} | 1.205366 |
| | m_π (MeV) | 280 |
| | $m_\pi L$ | 4.26 |
| | Statistics | 86144 |
| D6-ensemble: $\beta = 6.3$, $a = 0.091$ fm, $a^{-1} = 2.17$ GeV | | |
| $48^3 \times 96$, $L = 4.37$ fm | $a\mu_l$ | -0.2416 |
| | $a\mu_s$ | -0.2050 |
| | κ | 0.133035 |
| | C_{sw} | 1.205366 |
| | m_π (MeV) | 170 |
| | $m_\pi L$ | 3.76 |
| | Statistics | 50176 |

- Computational resources: BNL Institutional Cluster, **USQCD 2017 allocation**
- Calculation: **Qlua** interface: **QUDA-MG** for propagators, contractions on **GPU** within **QUDA**

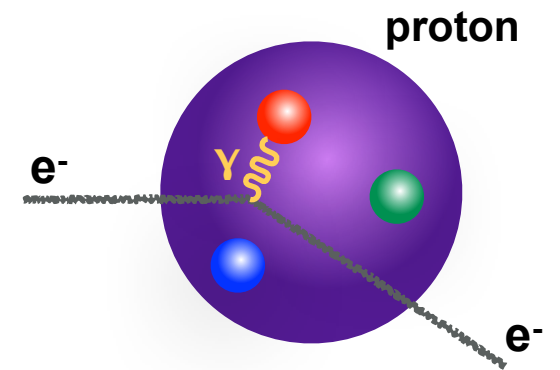
A.V. Pochinsky

S. Syritsyn, C.K.

Correlation functions

Matrix element of the **vector** current: $\mathcal{V}_\mu(x) = \bar{\psi}(x)\gamma_\mu\psi(x)$

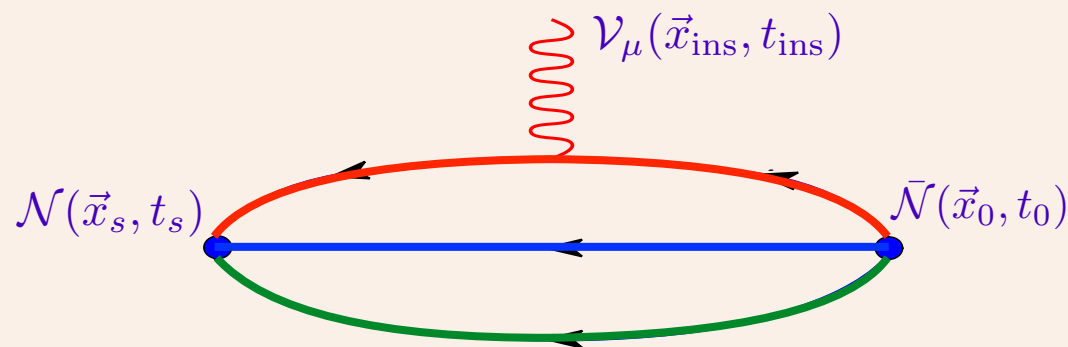
$$\langle N(p', s) | \mathcal{V}_\mu | N(p, s) \rangle = \bar{u}_N(p', s) \left[\underbrace{\gamma_\mu F_1(q^2)}_{\text{Dirac FF}} + \frac{i\sigma_{\mu\nu}q^\nu}{2m_N} \underbrace{F_2(q^2)}_{\text{Pauli FF}} \right] u_N(p, s)$$



Sachs Form Factors:

$$G_E(q^2) = F_1(q^2) + \frac{q^2}{(2m_N)^2} F_2(q^2) \quad G_M(q^2) = F_1(q^2) + F_2(q^2)$$

Three-point function

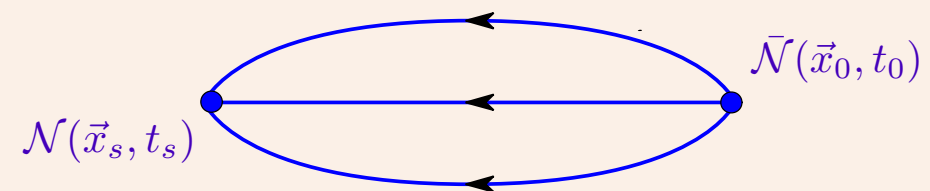


- seq. propagators: inversion through sink
- $(t_s - t_0) \sim 0.55 \text{ fm} - 0.95 \text{ fm}$
- consider **only connected** contributions

$$G_\mu(\Gamma, \vec{p}', \vec{q}, t_s, t_{\text{ins}}) = \sum_{\vec{x}_s, \vec{x}_{\text{ins}}} e^{-i\vec{p}' \cdot (\vec{x}_s - \vec{x}_0)} e^{i\vec{q} \cdot (\vec{x}_{\text{ins}} - \vec{x}_0)} \Gamma_{\beta\alpha} \langle \mathcal{N}_\alpha(\vec{x}_s, t_s) \mathcal{V}_\mu(\vec{x}_{\text{ins}}, t_{\text{ins}}) \bar{\mathcal{N}}_\beta(\vec{x}_0, t_0) \rangle$$

Two-point function

$$C(\vec{p}', t_s) = \sum_{\vec{x}_s} e^{-i\vec{p}' \cdot (\vec{x}_s - \vec{x}_0)} (\Gamma_4)_{\beta\alpha} \langle \mathcal{N}_\alpha(\vec{x}_s, t_s) \bar{\mathcal{N}}_\beta(\vec{x}_0, t_0) \rangle$$

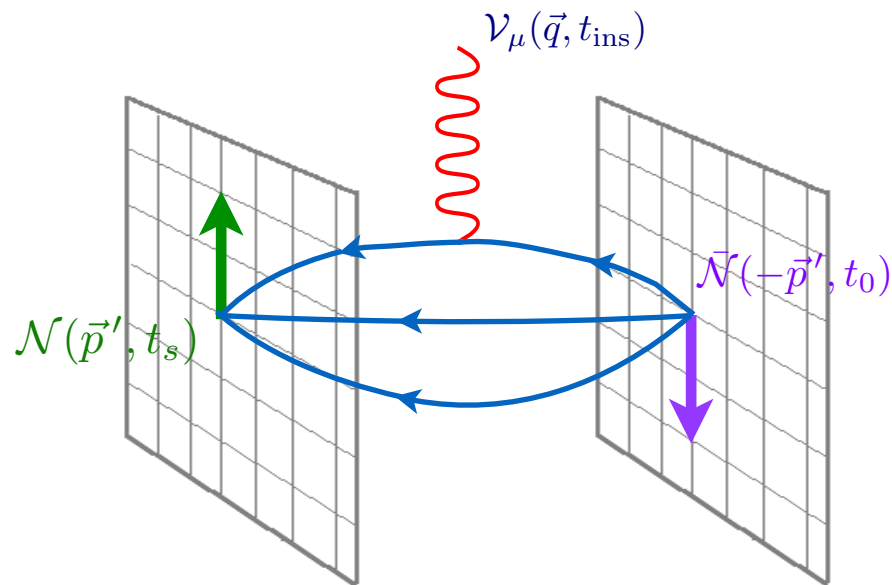


Kinematics

we incorporate **boosted** nucleon states to access the high- Q^2 region, keeping the energy of the states as low as possible

$$Q^2 = (\vec{p} - \vec{p}')^2 - (E - E')^2$$

- boosting in single direction



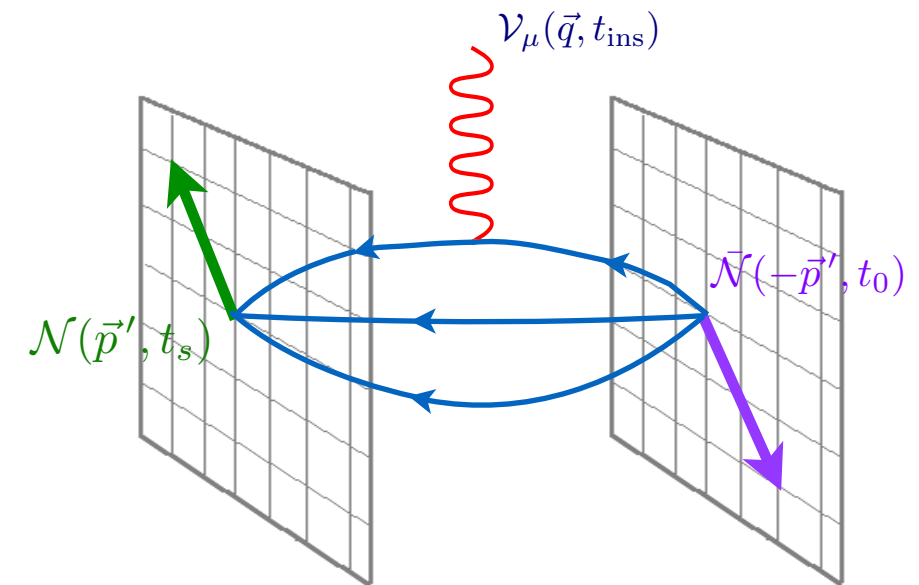
D5 $\longrightarrow \vec{P}' = (-4, 0, 0) \rightarrow Q^2 \sim 10.9 \text{ GeV}^2$

D6 $\longrightarrow \vec{P}' = (-5, 0, 0) \rightarrow Q^2 \sim 8.1 \text{ GeV}^2$

$$\mathcal{N}_\alpha(\vec{p}', t) = \sum_{\vec{x}} \epsilon^{abc} [u_\mu^a(x) (C\gamma_5)_{\mu\nu} d_\nu^b(x)] u_\alpha^c(x) e^{-i\vec{p}' \cdot \vec{x}}$$

Breit frame: $\vec{p} = -\vec{p}', E = E' \longrightarrow Q^2 = 4\vec{p}^2$

- diagonal boosting in x-y plane



D5 $\longrightarrow \vec{P}' = (-3, -3, 0) \rightarrow Q^2 \sim 12.2 \text{ GeV}^2$

Gaussian “**momentum**” smearing:

$$\mathcal{S}_{\vec{k}_b} \psi(x) \equiv \frac{1}{1 + 6\alpha} \left[\psi(x) + \alpha \sum_{\mu=\pm 1\dots}^3 U_\mu(x) e^{i\vec{k}_b \cdot \hat{\mu}} \psi(x + \hat{\mu}) \right]$$

$\vec{k}_b = 0.5 \vec{p}'$

G. Bali et al. [arXiv: 1602.05525]

Extracting the matrix element

Need to make sure that we get the nucleon **ground state**

Ratio of 2pt and 3pt functions

$$R^\mu(\Gamma, \vec{q}, \vec{p}'; t_s, t_{\text{ins}}) = \frac{G_\mu(\Gamma, \vec{p}', \vec{q}, t_s, t_{\text{ins}})}{C(\vec{p}', t_s - t_0)} \times \sqrt{\frac{C(\vec{p}, t_s - t_{\text{ins}})C(\vec{p}', t_{\text{ins}} - t_0)C(\vec{p}', t_s - t_0)}{C(\vec{p}', t_s - t_{\text{ins}})C(\vec{p}, t_{\text{ins}} - t_0)C(\vec{p}, t_s - t_0)}}$$

Projectors:

polarized $\Gamma_k = i\gamma_5\gamma_k\Gamma_4$

unpolarized $\Gamma_4 = \frac{1 + \gamma_4}{4}$

1. Plateau method: $R^\mu \xrightarrow[t_s - t_{\text{ins}} \gg 1]{t_{\text{ins}} - t_0 \gg 1} \Pi^\mu(\Gamma, \vec{q})$

2. Two-state fit method:

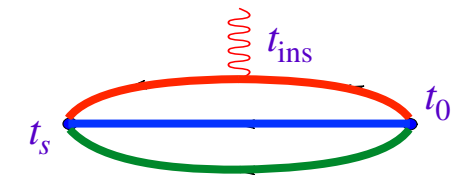
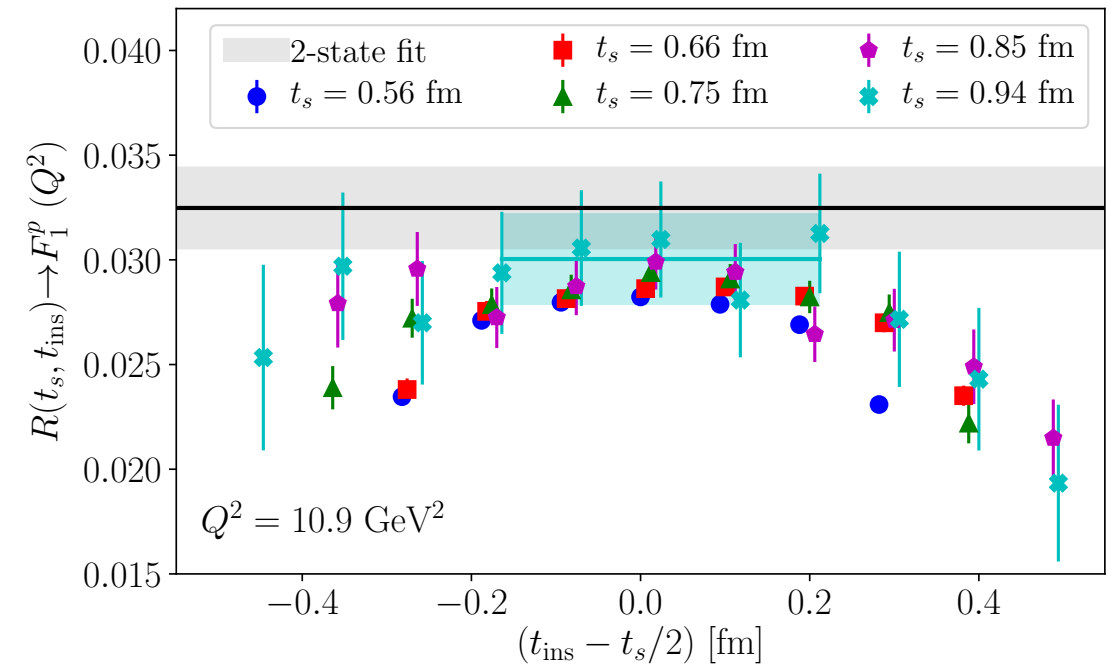
$$C(\vec{p}', t_s) \simeq e^{-E(\vec{p}')t_s} \left[c_0(\vec{p}') + c_1(\vec{p}')e^{-\Delta E_1(\vec{p}')t_s} \right]$$

$$\begin{aligned} G_\mu(\Gamma, \vec{p}', \vec{p}, t_s, t_{\text{ins}}) &\simeq e^{-E_0(\vec{p}')(t_s - t_{\text{ins}})} e^{-E_0(\vec{p})(t_{\text{ins}} - t_0)} \times \\ &\times \left[A_{00}(\vec{p}, \vec{p}') + A_{01}(\vec{p}, \vec{p}')e^{-\Delta E_1(\vec{p})(t_{\text{ins}} - t_0)} + \right. \\ &+ A_{10}(\vec{p}, \vec{p}')e^{-\Delta E_1(\vec{p}')(t_s - t_{\text{ins}})} + \\ &\left. + A_{11}(\vec{p}, \vec{p}')e^{-\Delta E_1(\vec{p}')(t_s - t_{\text{ins}})} e^{-\Delta E_1(\vec{p})(t_{\text{ins}} - t_0)} \right] \end{aligned}$$

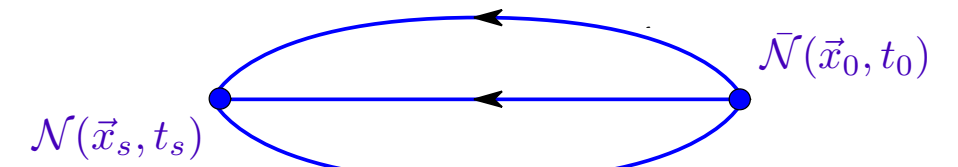
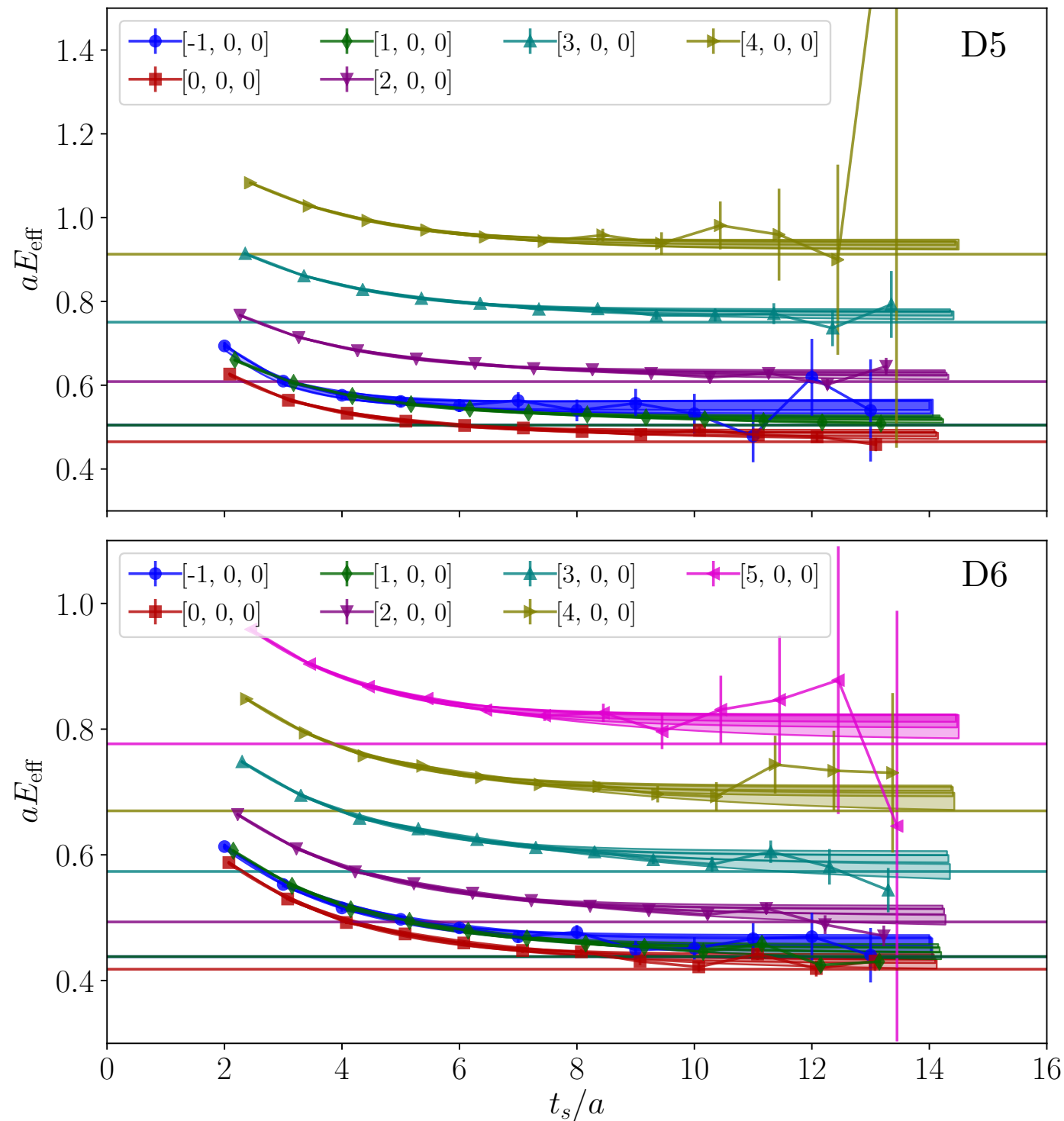
$$c_n(\vec{p}') = |\langle \mathcal{N} | n, \vec{p}' \rangle|^2 / 2E_n(\vec{p}')$$

$$A_{nm}(\vec{p}, \vec{p}') = \langle \mathcal{N} | n, \vec{p}' \rangle \langle m, \vec{p} | \mathcal{N} \rangle \langle n, \vec{p}' | \mathcal{V}_\mu | m, \vec{p} \rangle / [2\sqrt{E_n(\vec{p})E_n(\vec{p}')}]$$

$$\langle 0, \vec{p}' | \mathcal{V}_\mu | 0, \vec{p} \rangle = \frac{A_{00}(\vec{p}, \vec{p}')}{\sqrt{c_0(\vec{p})c_0(\vec{p}')}}}$$



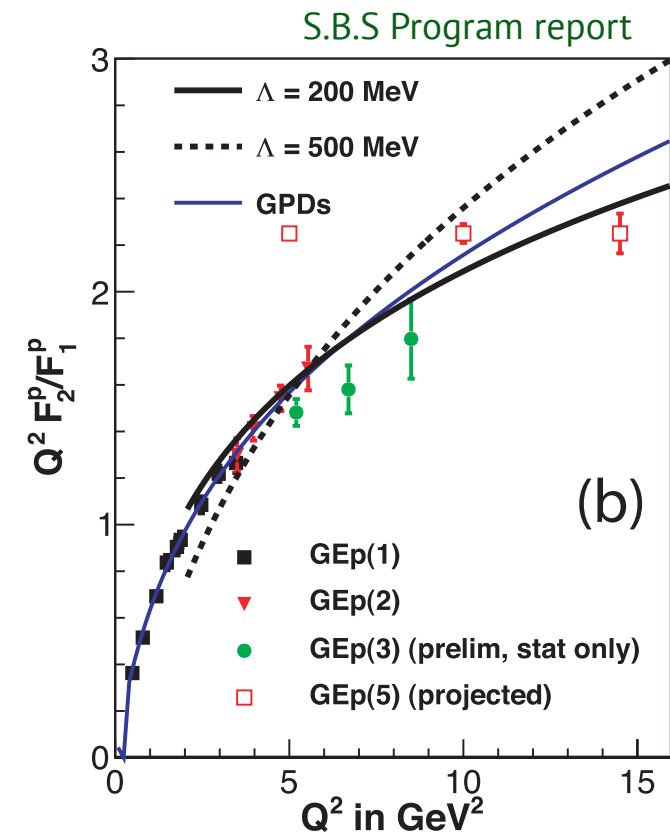
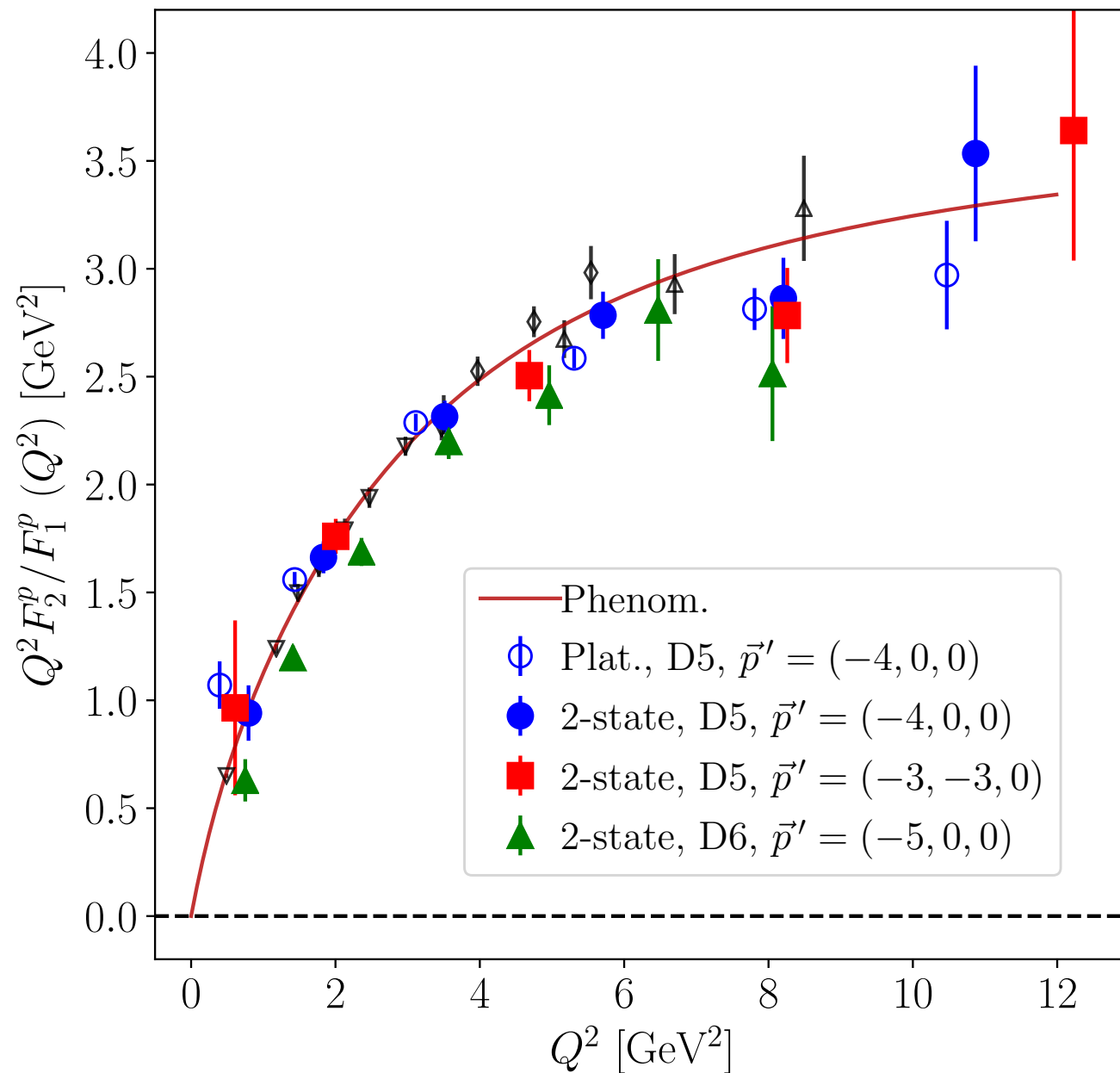
Effective Energy



$$E_{\text{eff}}^N(t_s) = \log \left(\frac{C(\vec{p}, t_s)}{C(\vec{p}, t_s + 1)} \right) \xrightarrow{t_s \gg 1} E_N$$

- two-state fits to our lattice data are of good quality
- horizontal line from $E = m_N^2 + p^2$ using lattice value of m_N
- ground state energy slightly overestimates cont. dispersion relation
- excited states faint after $\sim t_s/a = 9$

Form Factor Results I: F_2/F_1 for proton

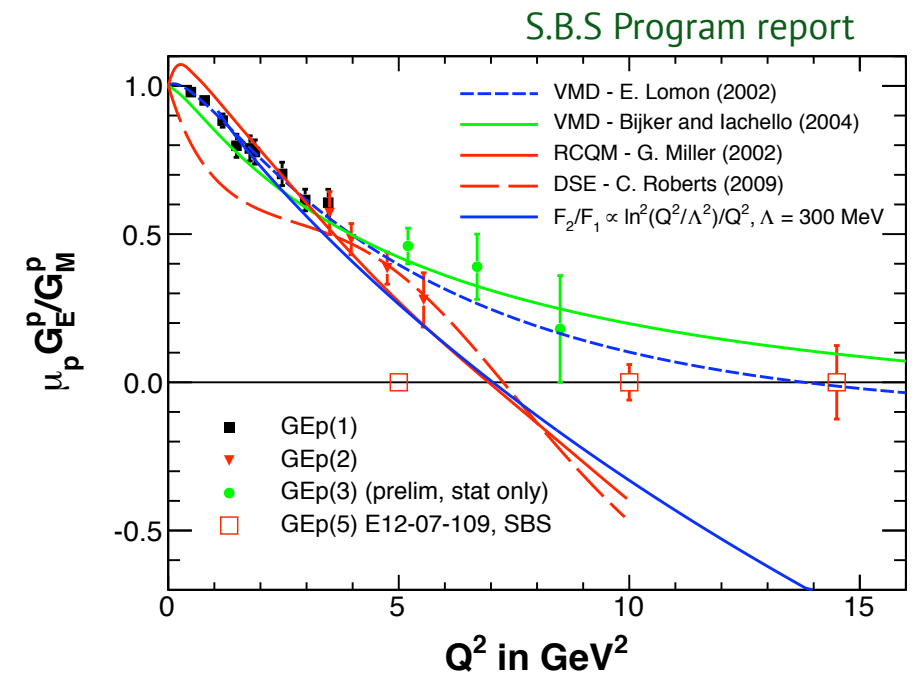
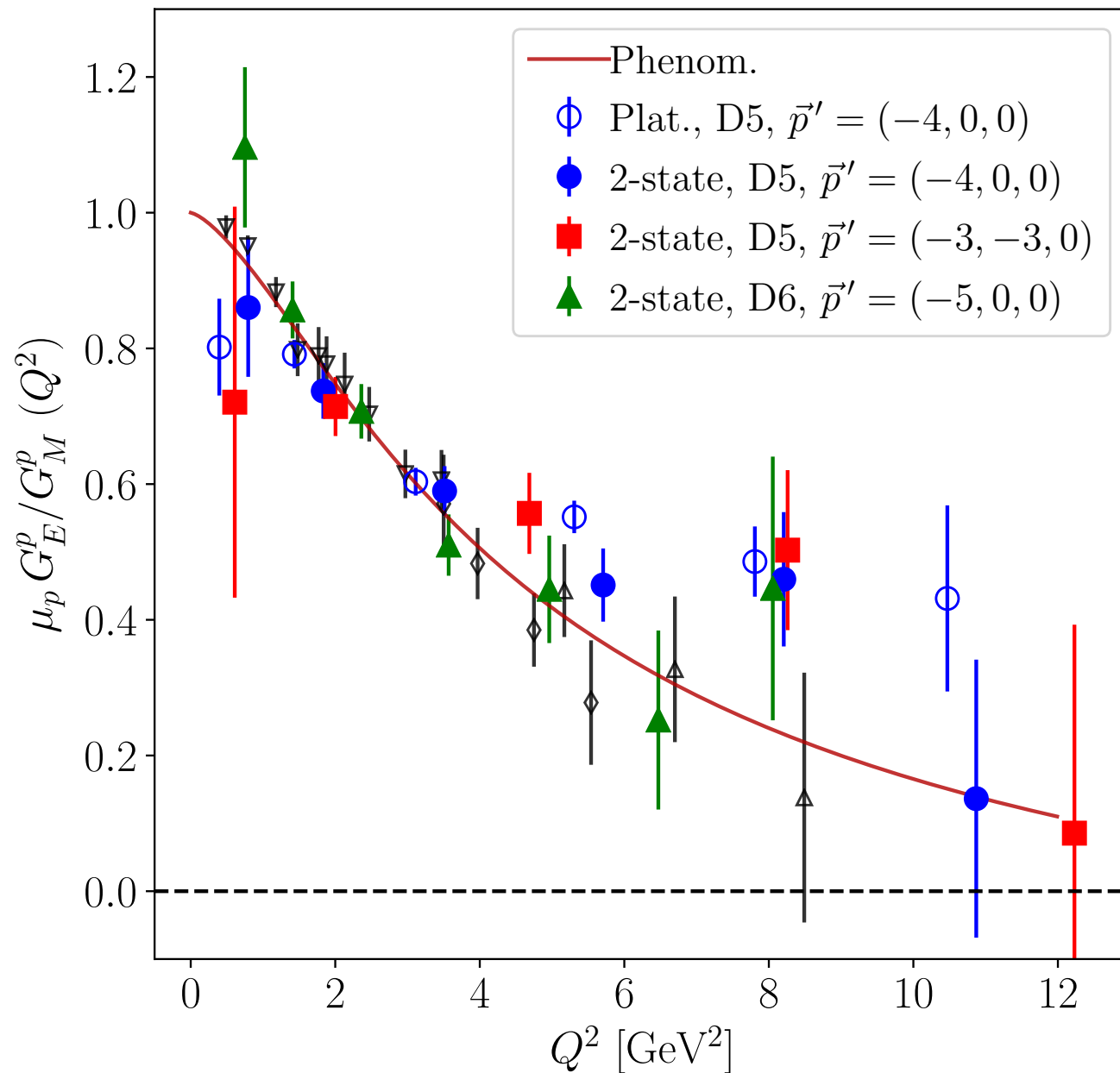


- experimental data: up to $Q^2 \sim 8.5$ GeV²
- Q^2 - dependence compares well with exp. data and phenom. parametrization
- $Q^2 F_2^p / F_1^p (Q^2) \sim \log^2[Q^2 / \Lambda]$ scaling reproduced
- consistency between **on-axis** / **x-y diagonal** boost momentum for D5

W. M. Alberico et al. [arXiv: 0812.3539]

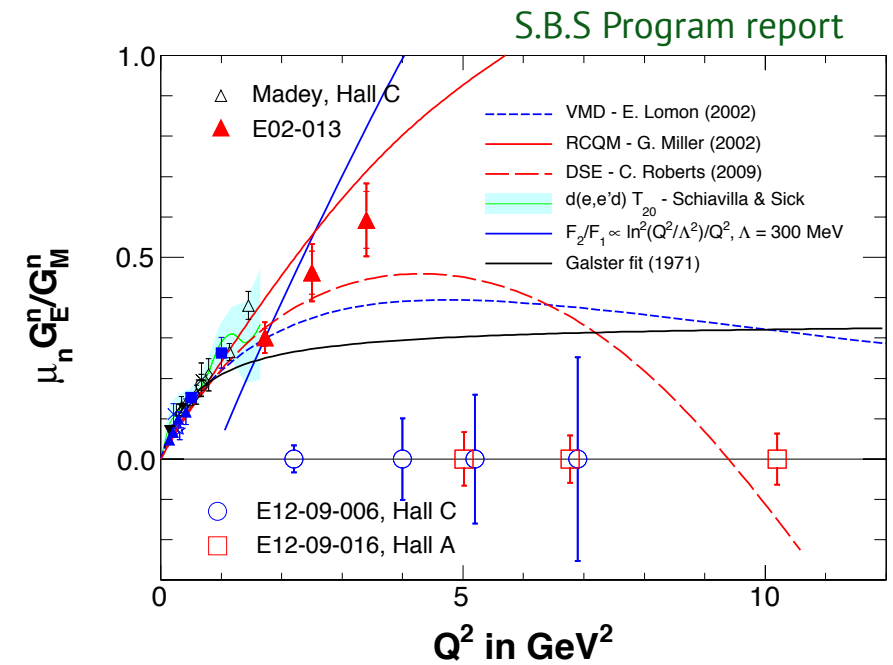
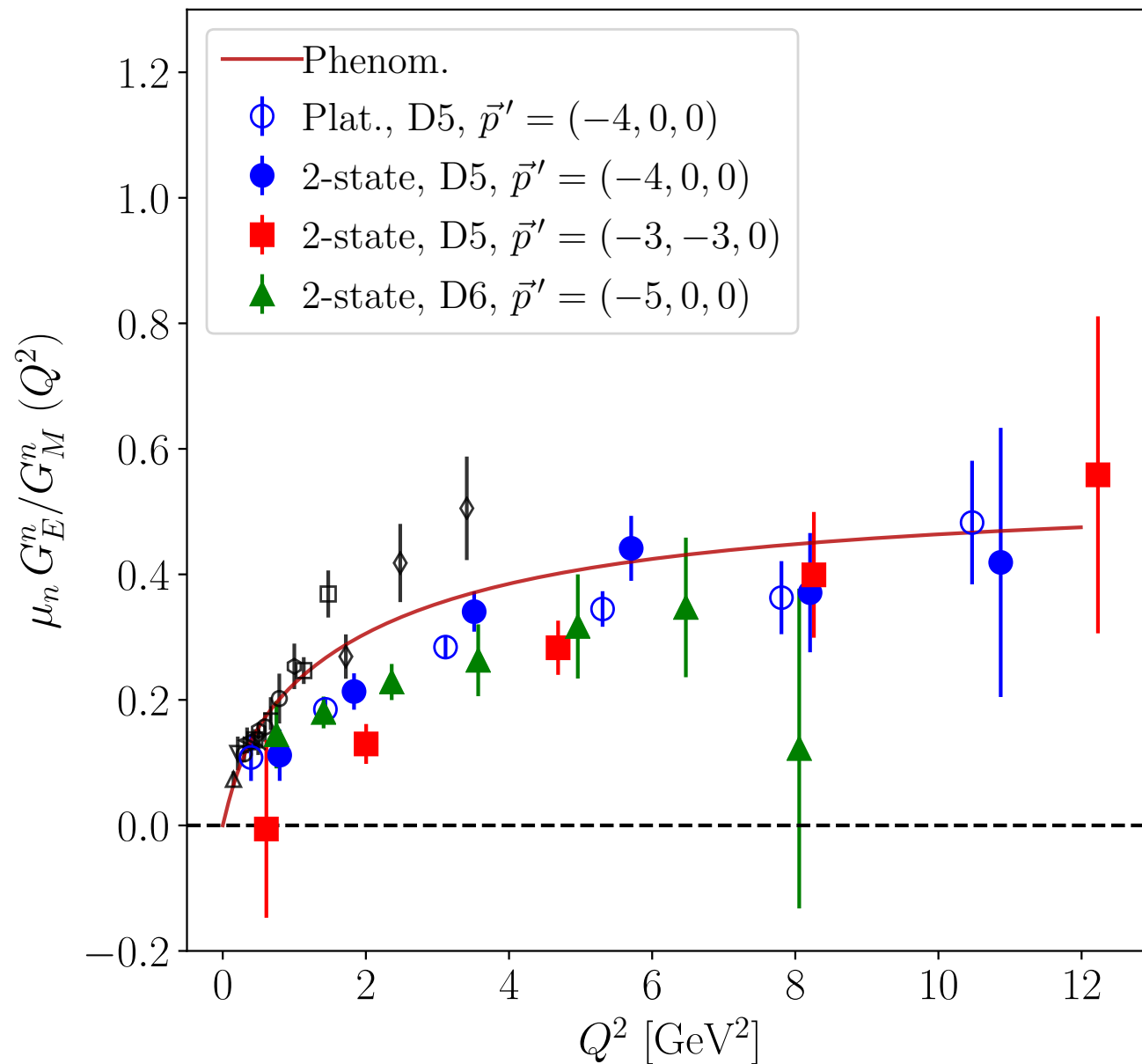
A.V. Belitsky et al. [arXiv: hep-ph/0212351]

Form Factor Results II: G_E/G_M for proton



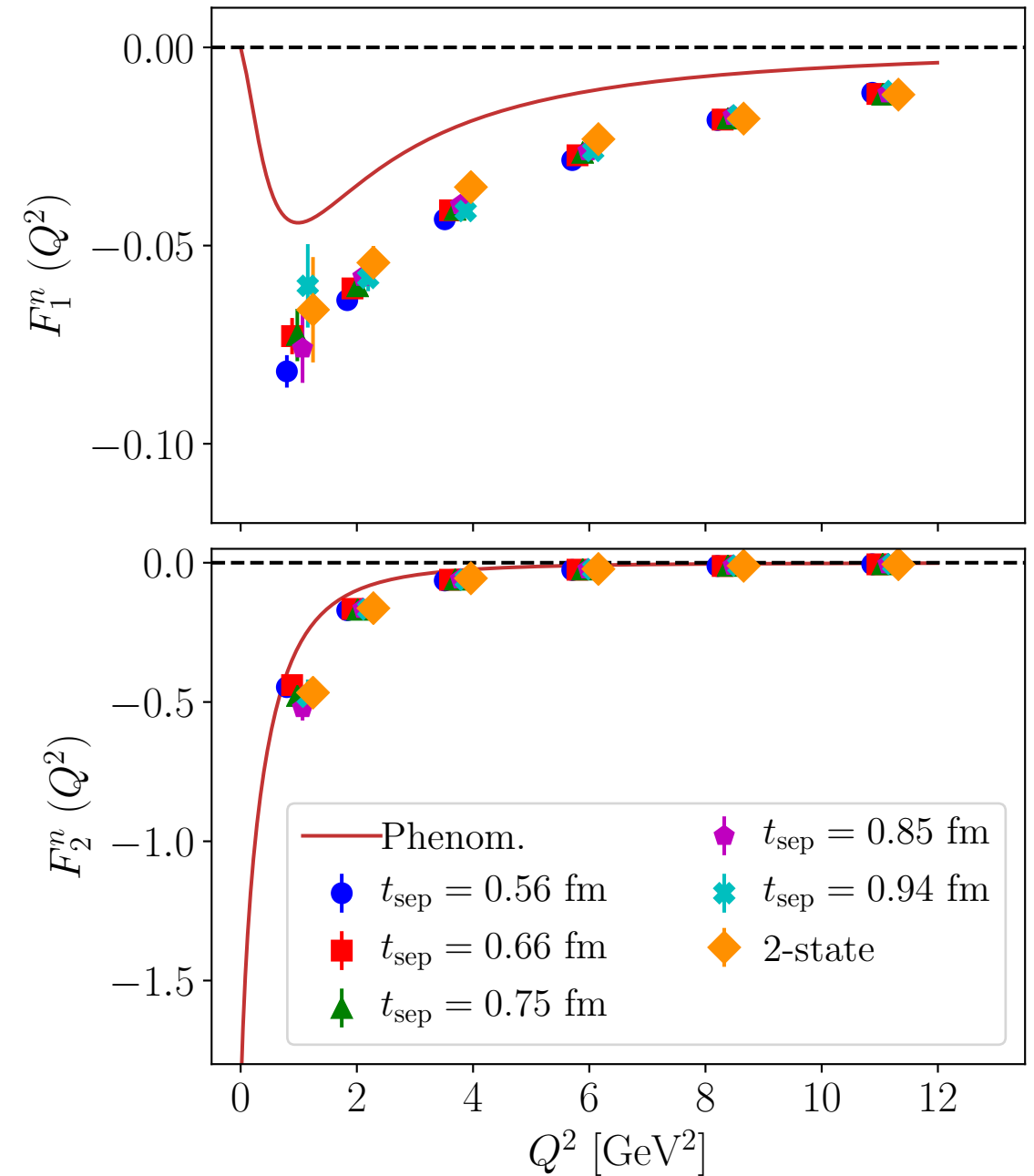
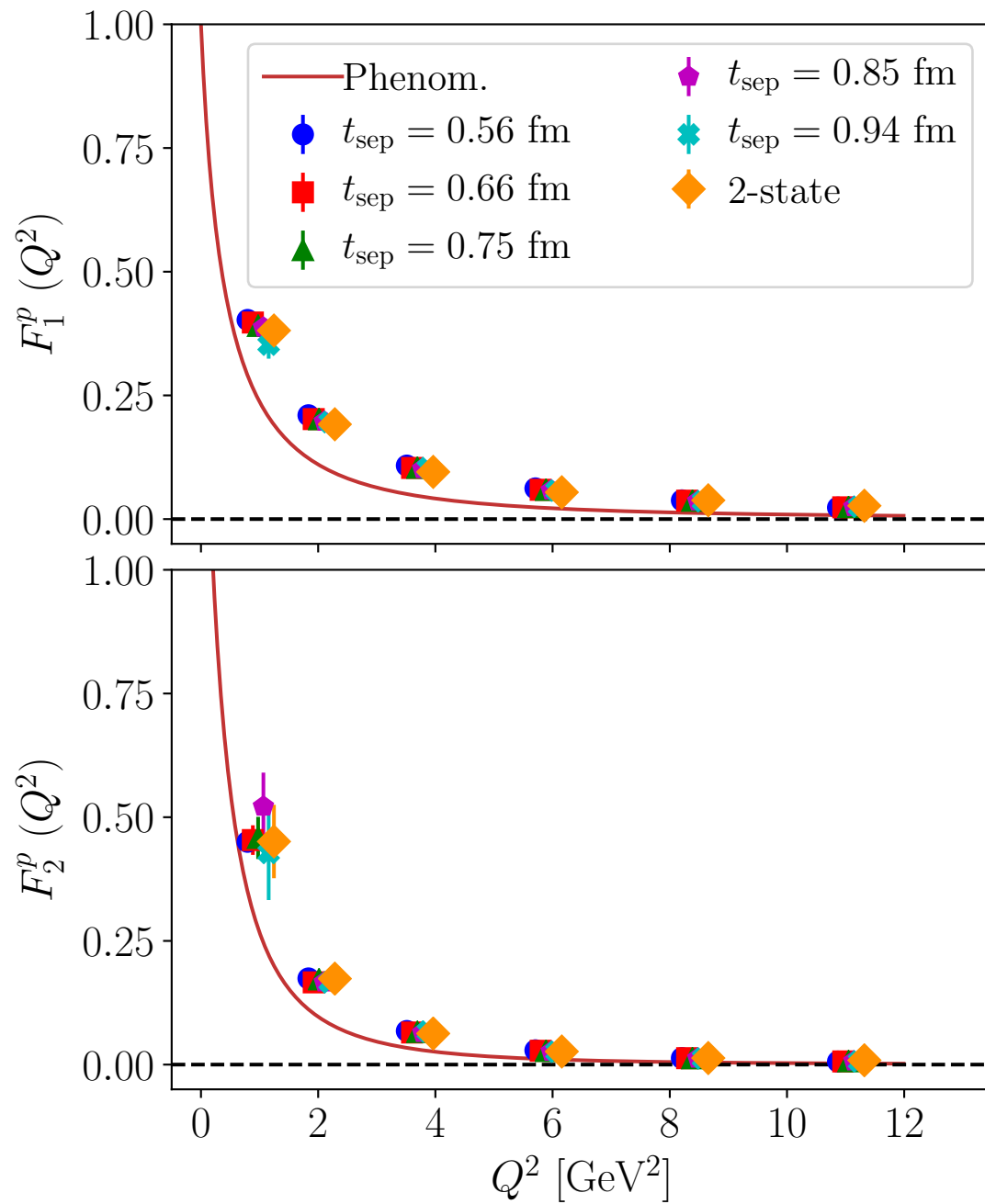
- experimental data: up to $Q^2 \sim 8.5 \text{ GeV}^2$
- consistency between our lattice data
- good agreement with experiment / phenomenology for proton up to $Q^2 \sim 6 \text{ GeV}^2$
- variety in theoretical predictions: lattice data support smoother approach towards zero

Form Factor Results III: G_E/G_M for neutron



- experimental data: up to $Q^2 \sim 3.4 \text{ GeV}^2$
- neutron: out lattice data underestimate experiment / phenomenology
 - disconnected diagrams?
- same qualitative behavior

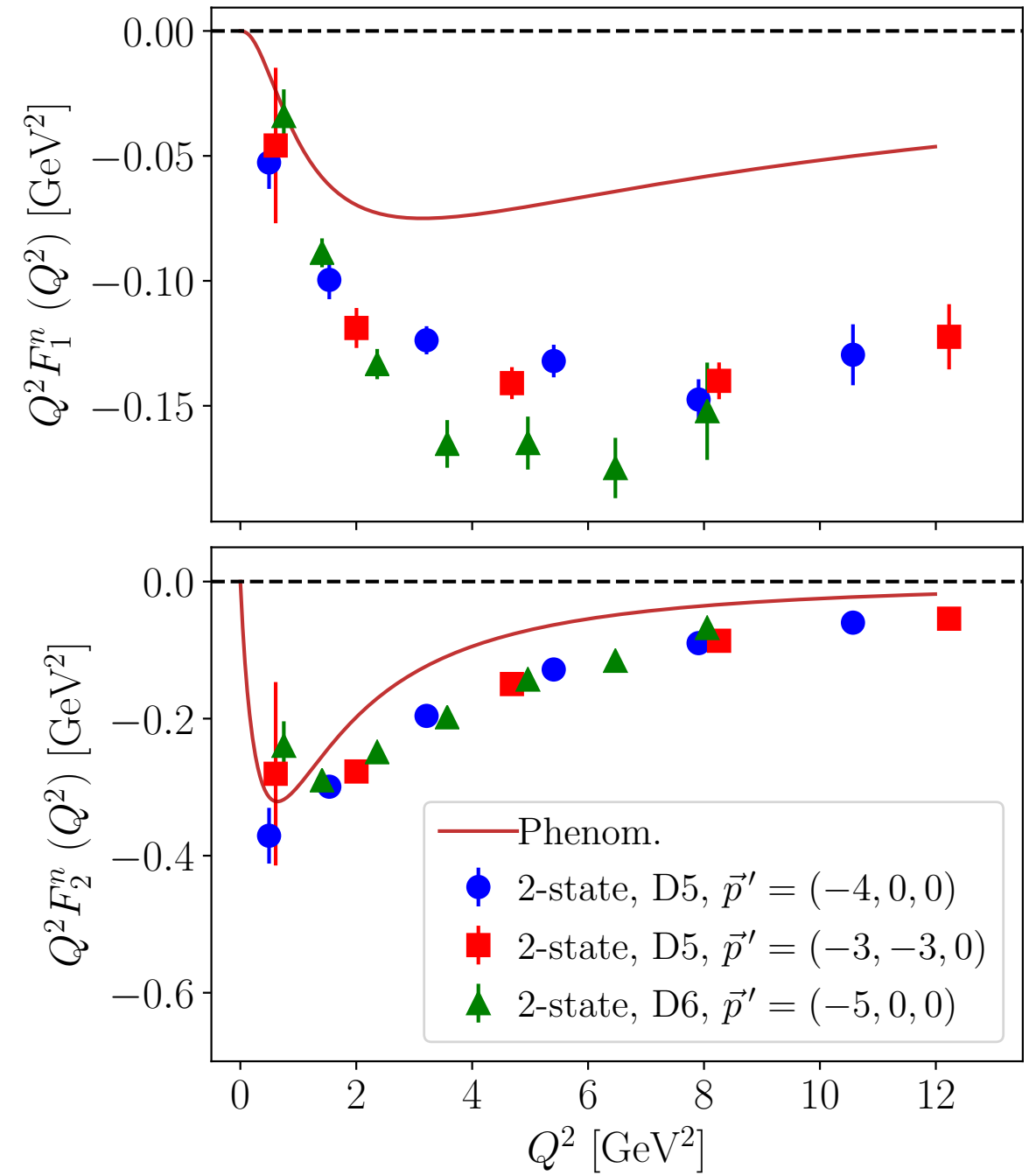
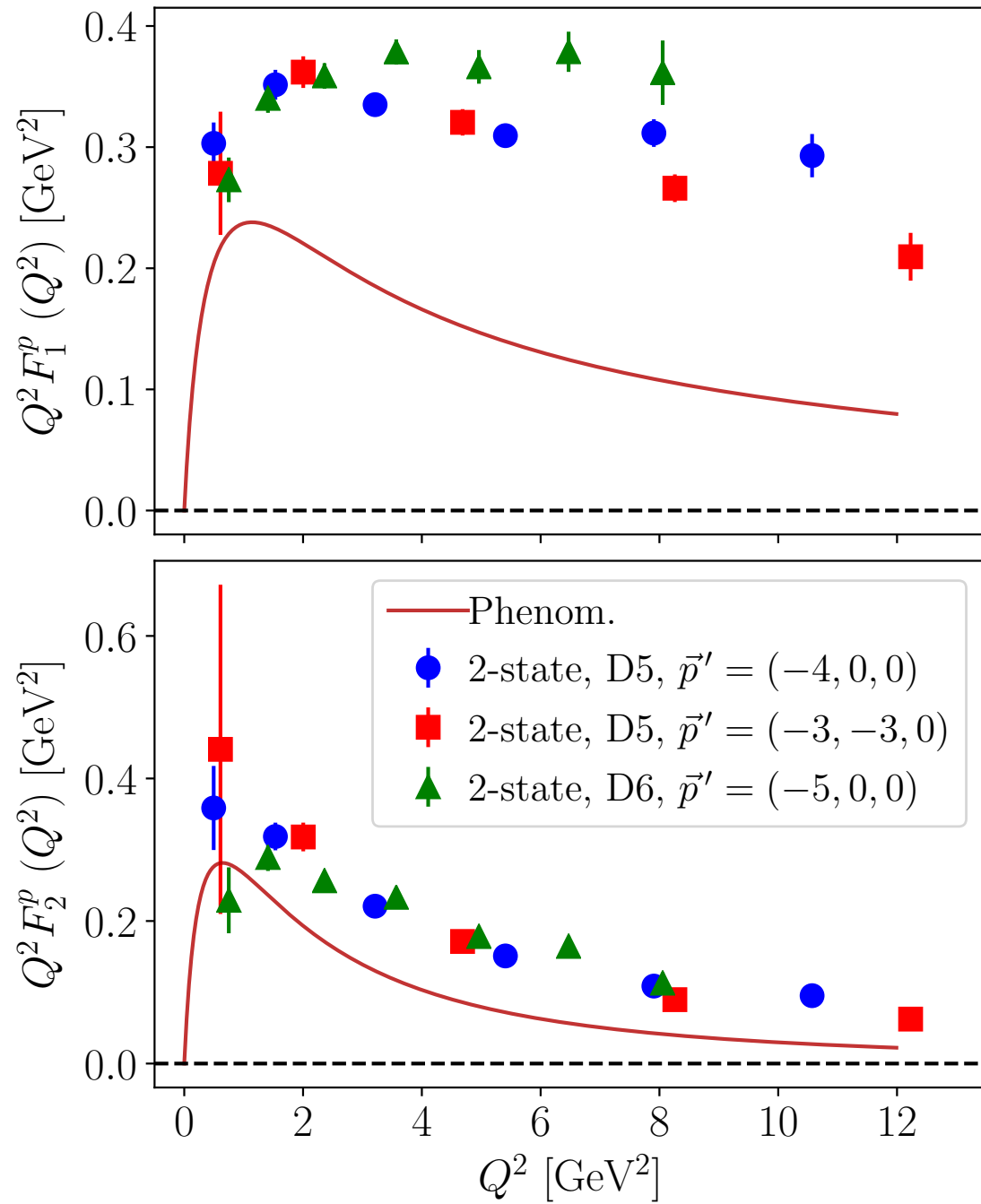
Form Factor Results IV: $F_1^{p,n}, F_2^{p,n}$



- shallow trend towards phenom. with increasing source-sink separation
- **similar** qualitative behavior, **overestimation** of phenom. prediction

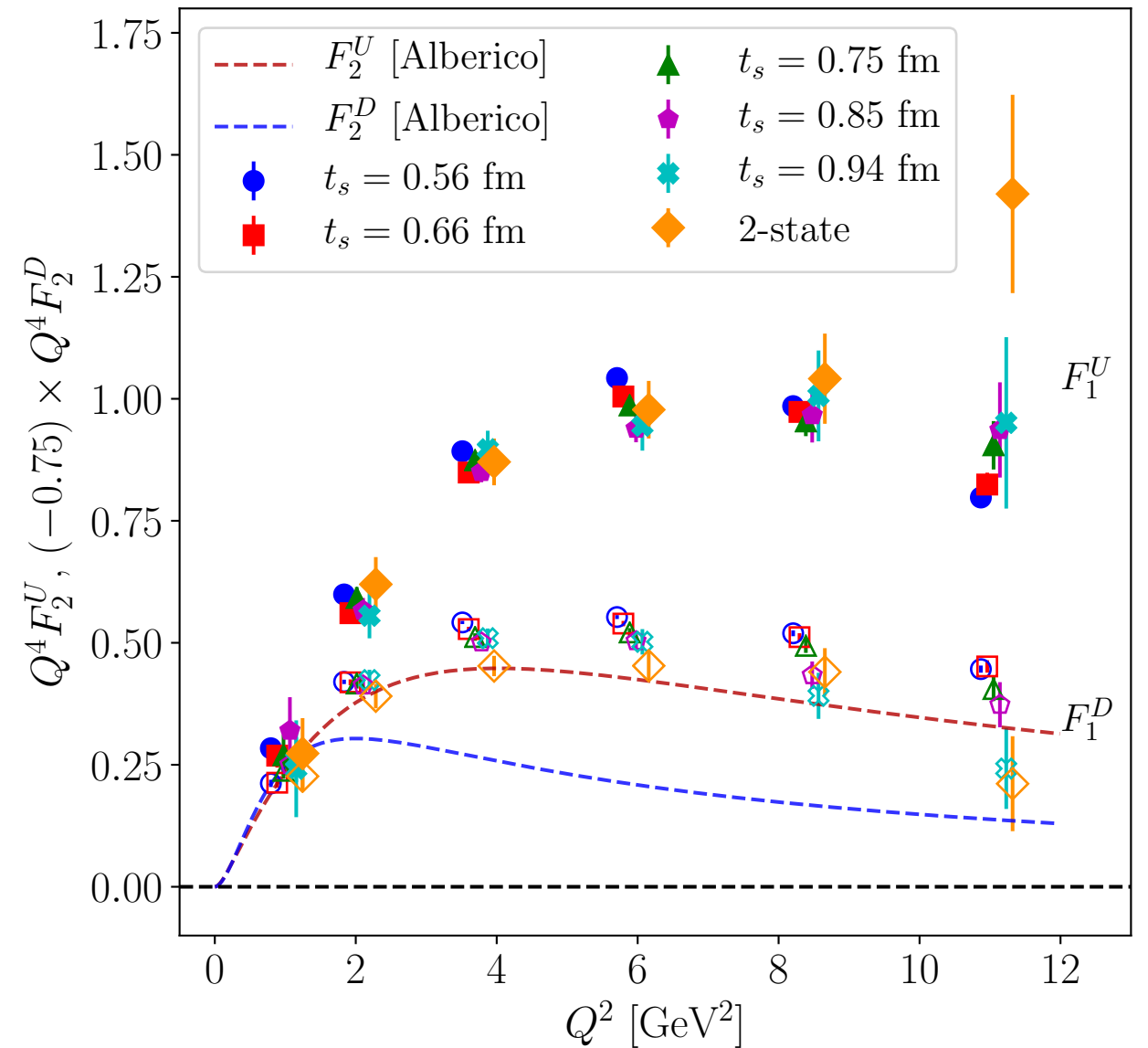
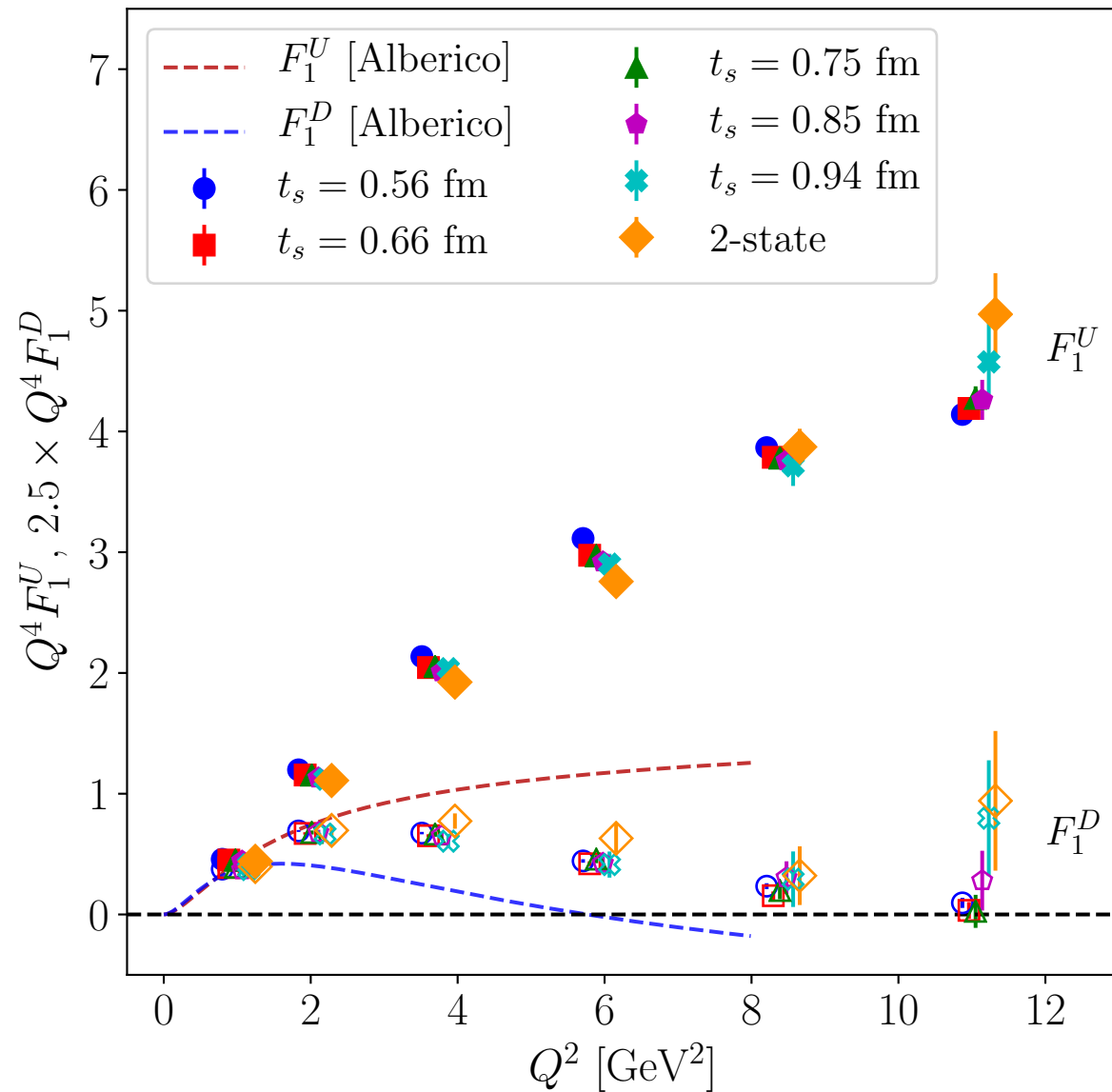
W. M. Alberico et al. [arXiv: 0812.3539]

Form Factor Results IV: $F_1^{p,n}, F_2^{p,n}$



- **discrepancies** for individual form factors
- **a thorough investigation is needed**

Form Factor Results V: $F_1^{u,d}, F_2^{u,d}$



- **discrepancies** observed for form factors of up- and down- quarks

Bonus!

Bonus - Systematics I: Parity mixing for boosted states

- At non-zero momentum, correlators projected with $\Gamma^\pm \equiv \frac{1}{2}(\mathbb{1} + \gamma_4)$ include $\mathcal{O}((E - m)/2E)$ parity contaminations
- need to make sure that correlators from states at non-zero momentum correspond to the same zero-momentum states

F. M. Stokes et al. [arXiv: 1302.4152]

Parity-Expanded Variational Analysis (PEVA): Isolates parity of boosted hadron states

expand operator basis of correlation matrix $C_{ij}(\Gamma; \vec{p}, t) = \text{Tr} \left[\Gamma \sum_{\vec{x}} \langle \phi^i(x) \bar{\phi}^j(0) \rangle e^{-i\vec{p} \cdot \vec{x}} \right]$

$$\Gamma_p \equiv \frac{1}{4}(\mathbb{1} + \gamma_4)(\mathbb{1} - i\gamma_5\gamma_k\hat{p}_k)$$

$$\phi_p^i \equiv \Gamma_p \phi^i$$

$$\phi_p^{i'} \equiv \Gamma_p \gamma_5 \phi^i$$

$$\begin{aligned} \mathcal{G}_{ij}(\vec{p}, t) &= C_{ij}(\Gamma_p; \vec{p}, t) \\ \mathcal{G}_{ij'}(\vec{p}, t) &= C_{ij}(-\gamma_5 \Gamma_p; \vec{p}, t) \\ \mathcal{G}_{i'j}(\vec{p}, t) &= C_{ij}(\Gamma_p \gamma_5; \vec{p}, t) \\ \mathcal{G}_{i'j'}(\vec{p}, t) &= C_{ij}(-\gamma_5 \Gamma_p \gamma_5; \vec{p}, t) \end{aligned}$$

$$\begin{pmatrix} \begin{pmatrix} 0\bar{0} & 0\bar{1} & 0\bar{2} & 0\bar{3} \\ 1\bar{0} & 1\bar{1} & 1\bar{2} & 1\bar{3} \\ 2\bar{0} & 2\bar{1} & 2\bar{2} & 2\bar{3} \\ 3\bar{0} & 3\bar{1} & 3\bar{2} & 3\bar{3} \end{pmatrix} & \begin{pmatrix} 0\bar{0}' & 0\bar{1}' & 0\bar{2}' & 0\bar{3}' \\ 1\bar{0}' & 1\bar{1}' & 1\bar{2}' & 1\bar{3}' \\ 2\bar{0}' & 2\bar{1}' & 2\bar{2}' & 2\bar{3}' \\ 3\bar{0}' & 3\bar{1}' & 3\bar{2}' & 3\bar{3}' \end{pmatrix} \\ \hline \begin{pmatrix} 0'\bar{0} & 0'\bar{1} & 0'\bar{2} & 0'\bar{3} \\ 1'\bar{0} & 1'\bar{1} & 1'\bar{2} & 1'\bar{3} \\ 2'\bar{0} & 2'\bar{1} & 2'\bar{2} & 2'\bar{3} \\ 3'\bar{0} & 3'\bar{1} & 3'\bar{2} & 3'\bar{3} \end{pmatrix} & \begin{pmatrix} 0'\bar{0}' & 0'\bar{1}' & 0'\bar{2}' & 0'\bar{3}' \\ 1'\bar{0}' & 1'\bar{1}' & 1'\bar{2}' & 1'\bar{3}' \\ 2'\bar{0}' & 2'\bar{1}' & 2'\bar{2}' & 2'\bar{3}' \\ 3'\bar{0}' & 3'\bar{1}' & 3'\bar{2}' & 3'\bar{3}' \end{pmatrix} \end{pmatrix}$$

$$\text{GEVP: } \mathcal{G}(\vec{p}, t + \Delta t) \mathbf{u}^\alpha(\vec{p}) = e^{-E_\alpha(\vec{p})\Delta t} \mathcal{G}(\vec{p}, t) \mathbf{u}^\alpha(\vec{p})$$

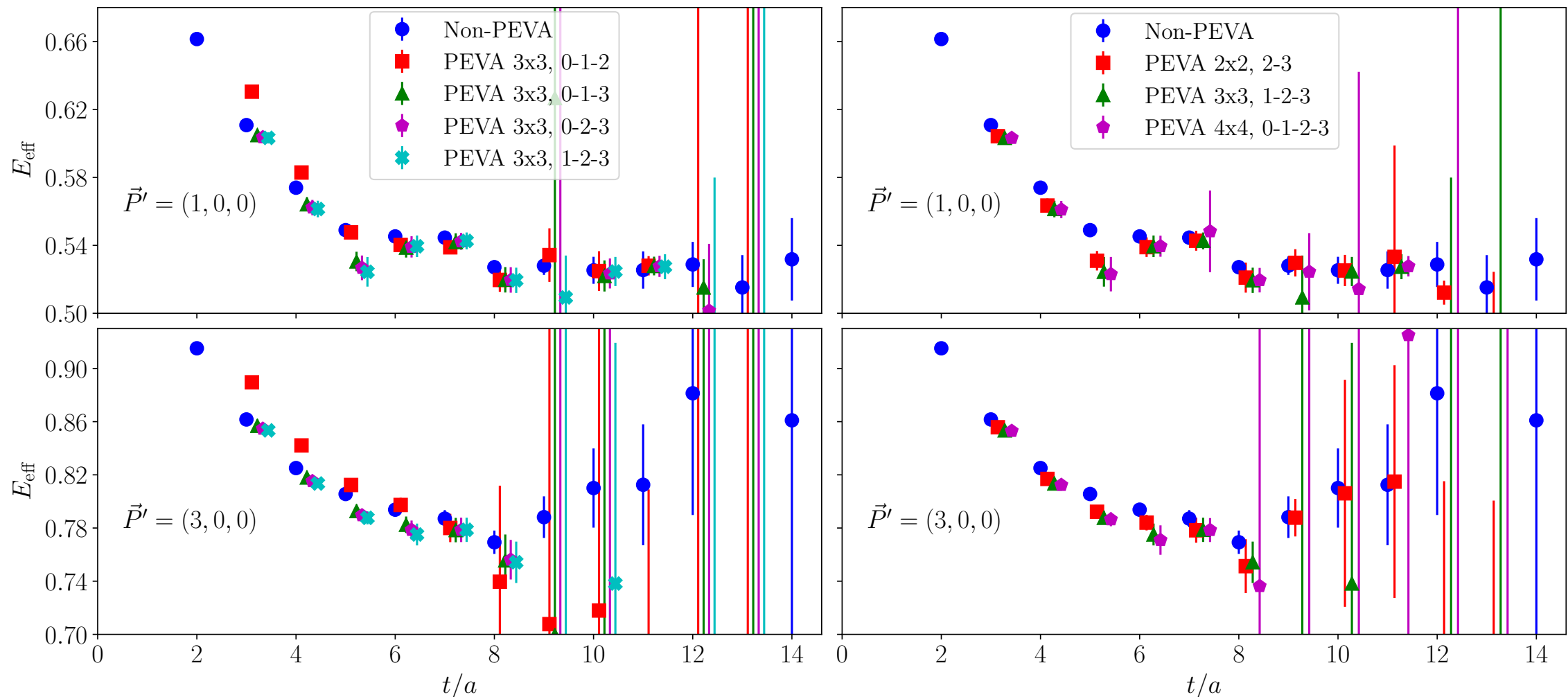
Bonus - Systematics I: Parity mixing for boosted states

Investigation:

- D5 ensemble, ~8000 statistics
- two-point functions from nucleon interpolating operators at **four different values** of Gaussian smearing \longrightarrow different overlap with nucleon ground state
- perform PEVA analysis with 2,3,4 operators

effect due to parity mixing is negligible within our statistics

| | | | | | | | |
|-------------|-------------|-------------|-------------|--------------|--------------|--------------|--------------|
| $0\bar{0}$ | $0\bar{1}$ | $0\bar{2}$ | $0\bar{3}$ | $0\bar{0}'$ | $0\bar{1}'$ | $0\bar{2}'$ | $0\bar{3}'$ |
| $1\bar{0}$ | $1\bar{1}$ | $1\bar{2}$ | $1\bar{3}$ | $1\bar{0}'$ | $1\bar{1}'$ | $1\bar{2}'$ | $1\bar{3}'$ |
| $2\bar{0}$ | $2\bar{1}$ | $2\bar{2}$ | $2\bar{3}$ | $2\bar{0}'$ | $2\bar{1}'$ | $2\bar{2}'$ | $2\bar{3}'$ |
| $3\bar{0}$ | $3\bar{1}$ | $3\bar{2}$ | $3\bar{3}$ | $3\bar{0}'$ | $3\bar{1}'$ | $3\bar{2}'$ | $3\bar{3}'$ |
| $0'\bar{0}$ | $0'\bar{1}$ | $0'\bar{2}$ | $0'\bar{3}$ | $0'\bar{0}'$ | $0'\bar{1}'$ | $0'\bar{2}'$ | $0'\bar{3}'$ |
| $1'\bar{0}$ | $1'\bar{1}$ | $1'\bar{2}$ | $1'\bar{3}$ | $1'\bar{0}'$ | $1'\bar{1}'$ | $1'\bar{2}'$ | $1'\bar{3}'$ |
| $2'\bar{0}$ | $2'\bar{1}$ | $2'\bar{2}$ | $2'\bar{3}$ | $2'\bar{0}'$ | $2'\bar{1}'$ | $2'\bar{2}'$ | $2'\bar{3}'$ |
| $3'\bar{0}$ | $3'\bar{1}$ | $3'\bar{2}$ | $3'\bar{3}$ | $3'\bar{0}'$ | $3'\bar{1}'$ | $3'\bar{2}'$ | $3'\bar{3}'$ |



Bonus - Systematics II: Momentum discretization

Common convention: $\vec{p} = \vec{\kappa}$, $\vec{\kappa} = \frac{2\pi}{L}\vec{n}$, $n_x, n_y, n_z = \frac{1}{a} \left[-\frac{L}{2}, \frac{L}{2} \right)$

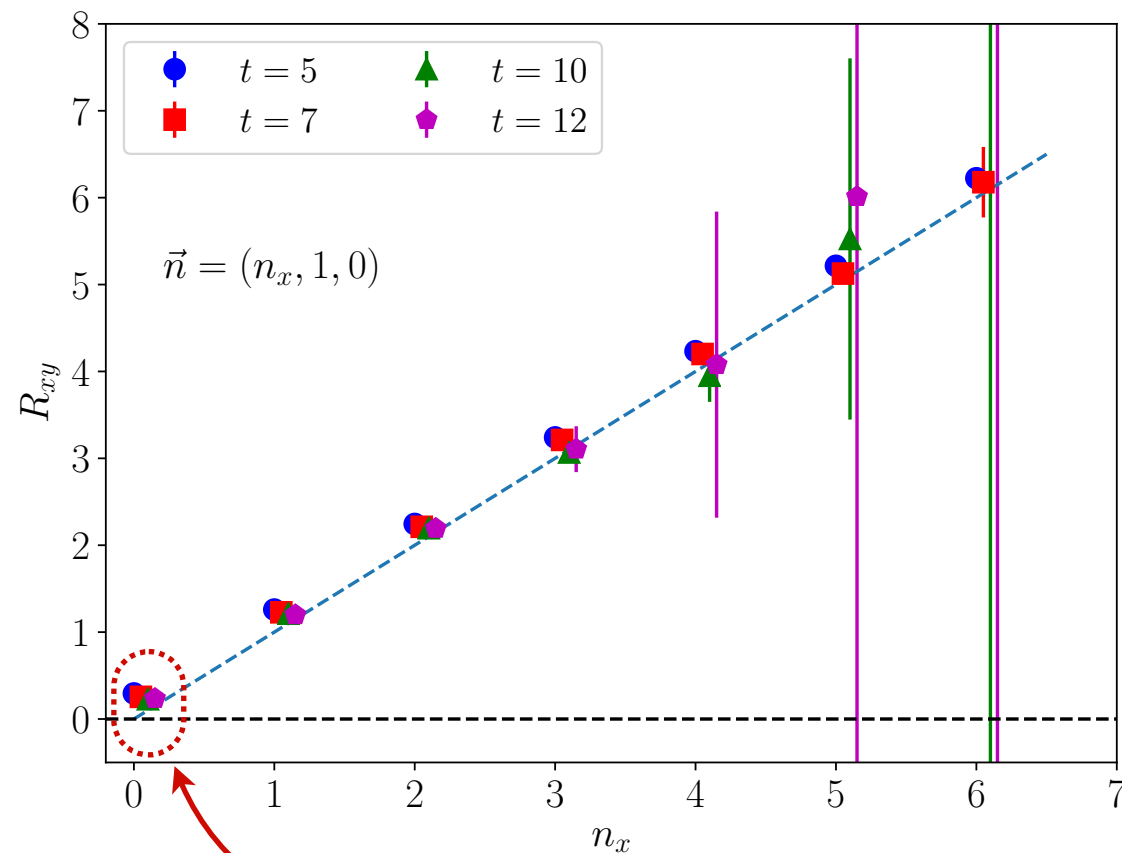
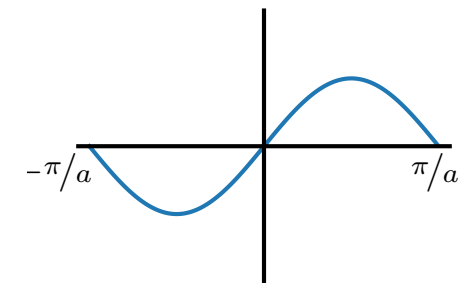
- take appropriate traces and ratios of two-point function to isolate momentum components

$$C(\vec{p}, t) \stackrel{t \gg 1}{\approx} |Z(\vec{p})|^2 \mathcal{S}(\vec{p}) e^{-E(\vec{p})t} \quad \mathcal{S}(\vec{p}) = \frac{-i\not{p} + m}{2E(\vec{p})}$$

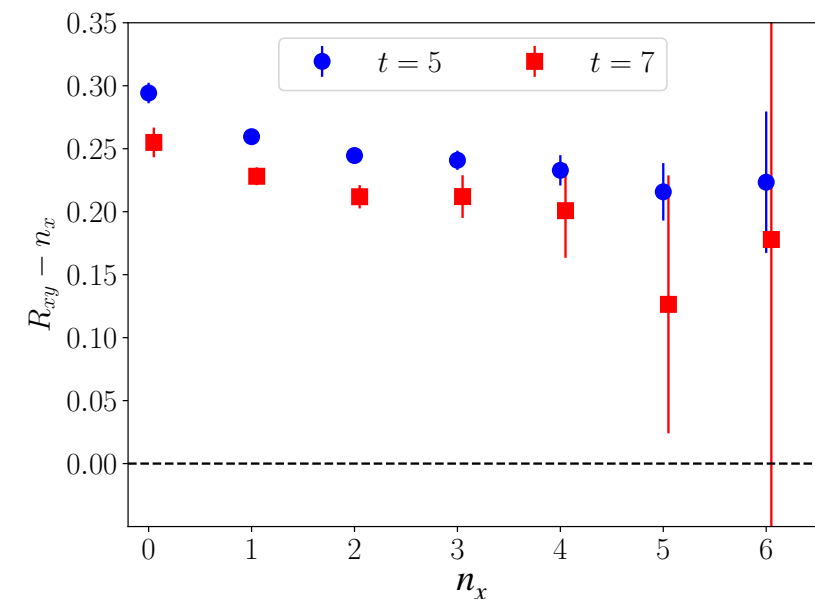
$$\text{Im}\{\text{Tr}[\gamma_k \mathcal{S}(\vec{p})]\} = -4p_k \rightarrow R_{xy}(\vec{p}, t) \equiv \frac{\text{Im}\{\text{Tr}[\gamma_x C(\vec{p}, t)]\}}{\text{Im}\{\text{Tr}[\gamma_y C(\vec{p}, t)]\}} \xrightarrow{\text{cont.}} \frac{p_x}{p_y}$$

lattice momentum form:

- $\vec{p} \stackrel{?}{=} \vec{\kappa}$
- $\vec{p} \stackrel{?}{=} \vec{\kappa} - \frac{1}{6}\vec{\kappa}(a\vec{\kappa})^2$
- $\vec{p} \stackrel{?}{=} \frac{1}{a}\sin(a\vec{\kappa})$



$$n_x = 6 \rightarrow \kappa_x = 3\pi/8a$$



effect due to anisotropic quark (boosted) smearing??

Conclusions and Outlook

- high- Q^2 on the lattice: feasible, but: need to control systematics, noise-to-signal ratio
- our lattice results overestimate phenom. Q^2 -dependence for F_1, F_2
- however: good agreement with experiment for F_2/F_1 and G_E/G_M ratios up to $Q^2 \sim 6 \text{ GeV}^2$
- consistent results between $m_\pi = 170 \text{ MeV}$ (D5), $m_\pi = 280 \text{ MeV}$ (D6): small pion mass and volume effects

To-do:

- understand/resolve disagreement for individual form factors F_1, F_2
- complete investigation of excited state effects
- consider other systematic effects
 - $\mathcal{O}(a)$ **improvement**
 - physical pion mass
 - continuum extrapolation
- disconnected diagrams on the way

Thank you