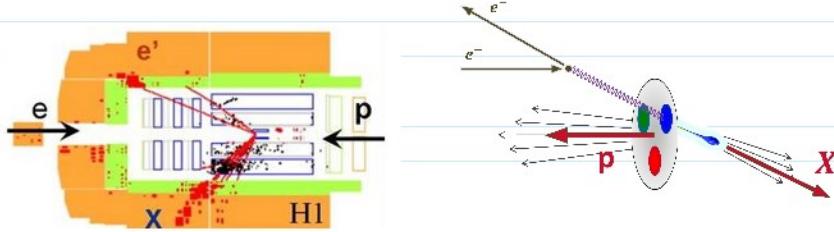


## L1.1 - Deep Inelastic Scattering

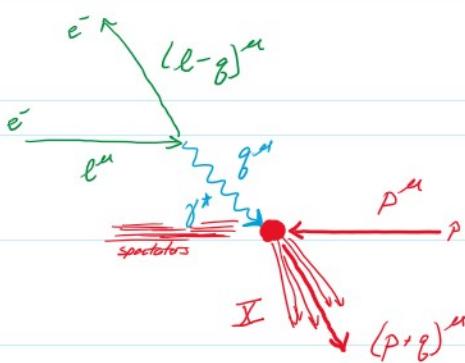
The way to study the structure of a complex object (proton) is to hit it with a simple object (electron)

Deep Inelastic Scattering → Shatters the proton  
 High recoil

Inclusive DIS:  $e^- + p \rightarrow e^- + X$



The nice thing about inclusive DIS is that you only need to measure the recoil electron to fix the event kinematics



$$\text{Known: } p'^u = (E, \vec{p}) \approx (|p|, \vec{p})$$

$$l'^u = (E_e, \vec{l}) \approx (|l|, \vec{l})$$

$$l'^u = (l - e)^u = (E_e, \vec{l}') \approx (|l'|, \vec{l}')$$

$$\hookrightarrow q^u = (l - l')^u = (|l| - |l'|, \vec{l} - \vec{l}')$$

$$q^2 = (l - l')^2 = q^2 - 2l \cdot l' + l'^2 \approx -2l \cdot l'$$

$$q^2 \approx -2E_e E_{e'} [\frac{1 - \cos\theta}{\sin^2\theta}]$$

$$q^2 \approx -2E_e E_{e'} [\frac{1 - \cos\theta}{\sin^2\theta}] \rightarrow q^2 < 0 \text{ (spacelike)}$$

$$Q^2 \equiv -q^2 = +2E_e E_{e'} (1 - \cos\theta) = 4E_e E_{e'} \sin^2\theta$$

↳  $Q^2$  tells you how hard the impact with the proton is

↳  $Q^2$  is a transverse variable (can always choose a frame where  $q_T^2 = Q^2$ )

↳  $\tau_T = 1/Q$  tells you the transverse resolution scale of the collision.

To complete the picture of the collision, need a longitudinal variable to quantify how much energy is delivered to the proton.

⇒  $p_T \cdot g$  is a measure of the  $\gamma^* p$  impact

i.e.) in the proton rest frame,  
 $\gamma^u = 1/m \vec{\gamma}$  ?  $\gamma \cdot \gamma = m(F - F')$

ie) in the proton rest frame,

$$\left. \begin{array}{l} p^{\mu} = (m, \vec{0}) \\ g^{\mu} = (E_e - E'_e, \vec{p} - \vec{p}') \end{array} \right\} p \cdot g = m(E_e - E'_e)$$

What to compare it to? Different choices/conventions

$$S_{pp} = (p+g)^2 = p^2 + 2p \cdot g + g^2 = \text{invariant mass (squared) of } \gamma^* p \text{ collision}$$

$$2p \cdot g = S_{pp} - Q^2 = \text{invariant mass (squared) of scattered proton}$$

Bjorken  $x$ :

$$x_B = \frac{Q^2}{2p \cdot g} = \frac{Q^2}{S_{pp} + Q^2} \quad D = x_B \pm 1$$

$\hookrightarrow x_B$  is a longitudinal variable describing the energy transfer

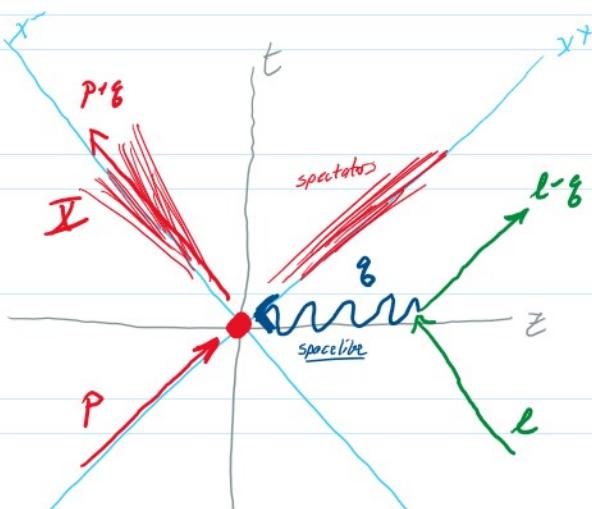
$\hookrightarrow$  The higher the  $\gamma^* p$  collision energy, the smaller  $x_B$ :

$$\text{as } S_{pp} \rightarrow \infty \quad (\text{for fixed } Q^2) \\ x_B \rightarrow 0$$

$\hookrightarrow$  The smaller the  $x$  value, the more time-dilated the proton structure is.

- Inclusive DIS takes a snapshot of proton substructure:
- $Q^2$  controls the transverse resolution scale
  - $x_B$  controls the exposure time

In the  $\gamma^* p$  CMS frame:



The electrons can basically be removed from the process — all they do is determine the kinematics of the virtual photon

All the real action is in the  $\gamma^* p$  collision.

Pick a frame (such as the  $\gamma^* p$  CMS frame) where the proton moves along the  $+z$  axis at the speed of light and the incoming electron moves along the  $-z$  axis at the speed of light

"Brick wall frame": the photon hits a constituent



"Brick wall frame": the photon hits a constituent of the proton and knocks the fragments backwards along the  $-z$  axis.

What do we learn about proton substructure?

In general there can be 2 independent structure functions (corresponding to the electric and magnetic form factors)

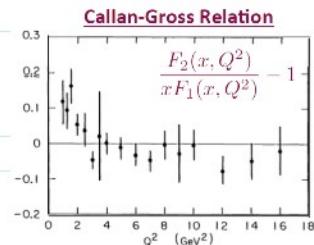
- In general, they can depend on both  $x$  and  $Q^2$

$$\frac{d\sigma}{d\Omega dE'} = \sigma_{\text{point}} \left[ \frac{2mx}{Q^2} F_2(x, Q^2) + \frac{1}{m} F_1(x, Q^2) \tan^2 \frac{\theta}{2} \right]$$

But experimentally,  $F_1$  and  $F_2$  are not independent:

$$F_2 \approx x F_1 \quad (\text{Collan-Gross})$$

Consistent with  $\gamma^*$  hitting a fermion

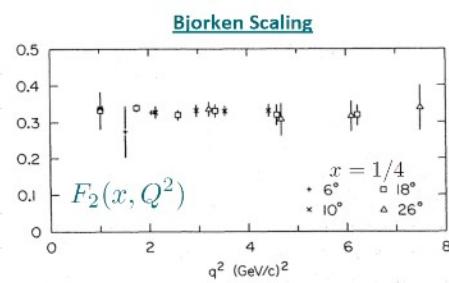


Note:  $F_1^{\text{Yuri}} = 2 F_1^{\text{Kontzel}}$

And they depend only on  $x_B$  and are  $Q^2$  independent

$$F_2(x, Q^2) \approx F_2(x) \quad (\text{Bjorken Scaling})$$

- ↳ Fermions are pointlike at any resolution
- ↳ ... even when the uncertainty principle should be going crazy
- ↳ ... need asymptotic freedom
- ↳ discovery of QCD



## L1.2 — DIS on a Quark Target

High energy scattering is conveniently described in terms of light-front coordinates:

$$p^+ = \frac{1}{2} (p^0 + p^3)$$

$$p^- = (p^+, p^-, \vec{p}_T)$$

$$p \cdot g = p^+ g^- - p^- g^+ - \vec{p}_T \cdot \vec{g}_T$$

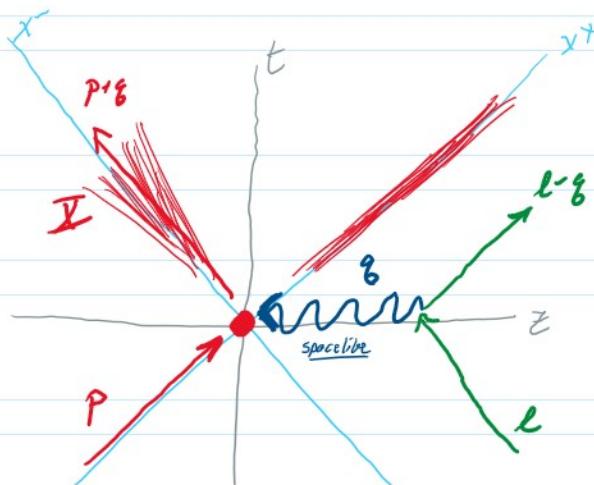
$$= p^0 g^0 - \vec{p}_T \cdot \vec{g}_T - p^3 g^3$$

$$p^2 = 2p^+ p^- - p_T^2$$

$$p^3 \rightarrow e^{i\phi} p^3$$

$$dp^+ dp^- dp_T$$

$$\frac{dp}{dp^+} = \frac{dp^+ dp^- dp_T}{dp^+} = \frac{dp^+}{dp^+} \frac{dp^-}{dp^-} \frac{dp_T}{dp_T}$$



$$-P_2 - P_1 \cdot P_2 - P_2^2$$

$$d^n p = d^{n+} d^{n-} d\vec{\Omega}_T$$

$$\frac{d^3 p}{E p} = d\vec{\Omega}_T \frac{dp^+}{p^+} = d\vec{\Omega}_T \frac{dp^-}{p^-}$$

$$\delta^4(p-q) = \delta(p^+ - q^+) \delta(p^- - q^-) \delta^2(\vec{p}_T - \vec{q}_T)$$

P

e

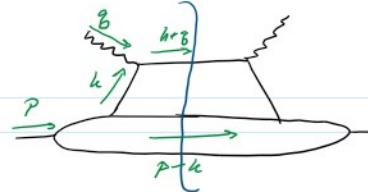
A particle moving near the speed of light in the (+z) direction has large  $p^+$

A particle moving near the speed of light in the (-z) direction has large  $p^-$

Take the proton to be moving along the +z axis:

$$\begin{aligned} p^\mu &= (p^+, p^-, \vec{\Omega}_T) \\ &= (p^+, \frac{m^2}{2p^+}, \vec{\Omega}_T) \\ &\approx (p^+, 0, \vec{\Omega}_T) \end{aligned}$$

$$p^2 = m^2 \rightarrow 2p^+ p^- = \frac{m^2}{2p^+}$$



Take the photon to collide head-on with the proton:

$$g^\mu = (g^+, g^-, \vec{\Omega}_T)$$

$$g^2 = -Q^2 \rightarrow 2g^+ g^- = -\frac{Q^2}{2}$$

$$S_{pp} = (p \cdot g)^2 = g^2 + 2p \cdot g \frac{Q^2}{-\omega^2}$$

$$2p \cdot g = S_{pp} + Q^2 = 2p^+ g^- + 2p^- g^+ \quad \text{cancel } \frac{Q^2}{-\omega^2}$$

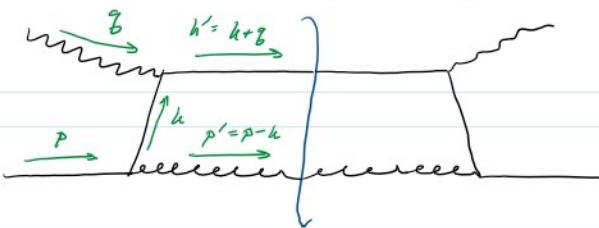
$$\text{Then } g^+ = -\frac{\omega^2}{2g^-} = -\left(\frac{\omega^2}{2p^+}\right)p^+$$

$$g^+ = -x_{pp} p^+$$

$$2p^+ g^- \approx S_{pp} Q^2$$

$$g^\mu = (-x_{pp} p^+, g^-, \vec{\Omega}_T)$$

For illustration, consider the quark target model



Evaluate the photon/proton cross-section

$$\Gamma_\lambda = \frac{Q^2}{4\pi^2 \alpha_F} \sigma_{tot}^{pp}$$

For simplicity, neglect all masses

$$p^\mu = (p^+, 0, \vec{\Omega}_T)$$

$$g^\mu = (-x_{pp} p^+, g^-, \vec{\Omega}_T)$$

$$d\sigma = \frac{1}{25} \frac{d^3 k'}{2(2\pi)^3 E'_k} \frac{d^3 p'}{2(2\pi)^3 E_p} |M|^2 (2\pi)^4 \delta^4(p \cdot g - p' \cdot k')$$

with  $(k')^2 = 0$  and  $(p')^2 = 0$  from on-shell conditions

$$\sigma_{tot}^{pp} = \frac{1}{25} \frac{1}{4(2\pi)^2} \int d^3 k' d^3 p' \left[ \frac{p'^+}{p'^-} \right] \frac{d^3 k'}{k'^-} |M|^2 \delta(p^+ + g^+ - k'^+) \delta(p^- + g^- - p'^- - k'^-) \delta^2(\vec{p}'_T + \vec{k}'_T)$$

Use the  $\delta$ -function to set the 3 independent components of  $p'^\mu$ :

$$\begin{cases} p'^+ = p^+ + g^+ - k'^+ \\ p'_T = -k'_T \end{cases}$$

with  $p'^- = \frac{p'^2}{2p'^+} = \frac{k'^2}{2(p^+ + g^+ - k'^+)}$  from on-shell condition.

$$\sigma_{tot}^{pp} = \frac{1}{25} \frac{1}{4(2\pi)^2} \int d^3 k' \left[ \frac{d^3 k'}{k'^-} \right] |M|^2 \left( \frac{1}{p'^+} \right) \delta(p^+ + g^+ - p'^- - k'^-)$$

$$\sigma_{tot}^{gg\rightarrow p} = \frac{1}{25} \frac{1}{4(2\pi)^2} \int d^4 k' \int \frac{dk'}{k'} |u|^2 \left( \frac{1}{p'^+} \right) \delta(p^- + q^- - p'^- - k'^-)$$

change variables to  $k = k' - q$  for clarity.

Then  $(k')^\mu = (k+q)^\mu$  and  $(p')^\mu = (p-k)^\mu$

$$\sigma_{tot}^{gg\rightarrow p} = \frac{1}{25} \frac{1}{4(2\pi)^2} \int d^4 k \int \frac{dk}{(p^+ - k^+) (k^-)} |u|^2 \delta(p^- - (p+k)^- - k^-)$$

Last  $\delta$ -fix fixes  $k^-$  together with on-shell conditions.

$$\begin{cases} k^+ = (q+k)^+ - q^+ = \frac{k^2}{2(q+k^-)} + x p^+ \approx x p^+ \\ k^- = p^+ - (p-k)^- = -\frac{k^2}{2(p+k^+)} = -\frac{k^2}{2(1-x)p^+} \\ k^\mu = (x p^+, -\frac{k^2}{2(1-x)p^+}, \vec{k}_T) \end{cases}$$

$$\text{Then } \frac{1}{(p^+ - k^+) (k^-)} \approx \frac{1}{(1-x)p^+ k^-}$$

$$\sigma_{tot}^{gg\rightarrow p} = \frac{1}{25} \frac{1}{4(1-x)p^+ k^-} \int \frac{dk}{(2\pi)^2} |u|^2$$

$$\begin{aligned} \text{And } 4(1-x)p^+ k^- &= 2(1-x)(2q^+ k^-) \\ &= 2\left(1 - \frac{\alpha^2}{8\pi^2}\right)(8\pi^2) \\ &= 2S \end{aligned}$$

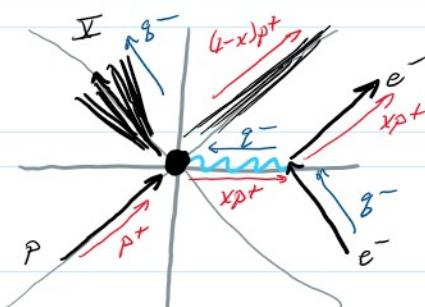
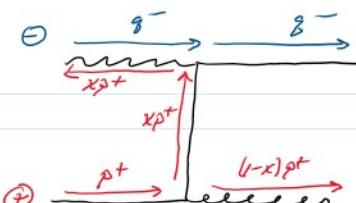
Giving

$$\boxed{\sigma_{tot}^{gg\rightarrow p} = \int \frac{dk}{(2\pi)^2} \left| \frac{1}{2S} \int \frac{dk}{(2\pi)^2} |u|^2 \right|^2 \text{ and } F_2 = \frac{\alpha^2}{(8\pi^2)^2} \int \frac{dk}{(2\pi)^2} |u|^2}$$

with overall kinematics

$$\begin{cases} p^\mu = (p^+, 0, \vec{0}_T) \\ q^\mu = (-xp^+, q^-, \vec{0}_T) \\ k^\mu = (xp^+, -\frac{k^2}{2(1-x)p^+}, \vec{k}_T) \end{cases}$$

$$\begin{cases} k^2 = -\frac{k^2}{1-x} \\ 2p^+ q^- = \frac{S}{1-x} \end{cases}$$



Calculate the amplitude from the Feynman rules:

$$\frac{iM}{2S} = \frac{i}{2S} \bar{u}(k_{1g}) \left[ \not{v} e \not{q} \not{v} \right] \left[ \frac{iK}{k^2} \right] \left[ i g t^a \not{v} \not{q} \not{v} \right] u(p)$$

$$\frac{M}{2S} = \frac{i g t^a}{2S} \left( \frac{1}{k^2} \right) \left[ \bar{u}(k_{1g}) \not{q} \not{v} K \not{q} \not{v} \not{q} \not{v} u(p) \right]$$

$$= -\frac{iegt^a}{2s} \frac{(1-x)}{b_r^2} \left[ \bar{u}(k_{1g}) \not{q}(q_1) \not{K} q^*(p-k) u(q) \right]$$

Then square the amplitude and average over quantum numbers:

$$\begin{cases} \text{Color: } \frac{1}{N_c} \text{ trace [color matrices]} \\ \text{Quark Spins: } \frac{1}{2} \sum_{\text{spins}} \rightarrow \frac{1}{2} \text{ trace [Dirac matrices]} \\ \text{Photon/Gluon Spins: } \frac{1}{2} \sum_{\text{polariz.}} \epsilon^{\mu} \epsilon^{\nu*} \rightarrow -g^{\mu\nu} \end{cases}$$

$$\left\langle \left| \frac{u}{2s} \right|^2 \right\rangle = \frac{e^2 g^2}{4s^2} \frac{(1-x)^2}{b_r^2} \left( \frac{1}{N_c} \text{tr} [t^a t^a] \right) \left( \frac{1}{2} \text{tr} [(\bar{u} k_{1g}) \gamma_\mu K \gamma_\nu \not{q} \gamma^\nu K \gamma^\mu] \right)$$

$$\begin{bmatrix} \text{Color Algebra:} & (t^a t^a) = C_F \cdot \mathbb{I} & \text{with } C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3} \text{ for SU(3)} \\ & \text{so } \frac{1}{N_c} \text{tr} [t^a t^a] = C_F \cdot \frac{1}{N_c} \text{tr} [\mathbb{I}] = C_F \cdot \frac{1}{N_c} \cdot N_c = C_F \end{bmatrix}$$

$$\left\langle \left| \frac{u}{2s} \right|^2 \right\rangle = \frac{e^2 g^2 C_F}{8s^2} \frac{(1-x)^2}{b_r^2} \text{tr} [(\bar{u} k_{1g}) \gamma_\mu K \gamma_\nu \not{q} \gamma^\nu K \gamma^\mu]$$

$$\begin{bmatrix} \text{Dirac Algebra:} & \gamma_\mu \not{A} \gamma^\mu = -2 \not{A} \\ & \text{and } \gamma_\mu \not{A} \not{q} \gamma^\mu = -2 \not{q} \not{A} \\ & \text{so } \text{tr} [(\bar{u} k_{1g}) \gamma_\mu K \gamma_\nu \not{q} \gamma^\nu K \gamma^\mu] = -2 \text{tr} [(\bar{u} k_{1g}) \gamma_\mu K \not{q} \not{A}] \\ & = +4 \text{tr} [(\bar{u} k_{1g}) K \not{q} \not{A}] \end{bmatrix}$$

$$\left\langle \left| \frac{u}{2s} \right|^2 \right\rangle = \frac{e^2 g^2 C_F}{2s^2} \frac{(1-x)^2}{b_r^2} \text{tr} [(\bar{u} k_{1g}) K \not{q} \not{A}]$$

$$\begin{bmatrix} \text{Note that } p^\mu \text{ has only a large } p^+ \text{ component:} & \not{q} \propto p^+ \not{y}^- \\ \text{and } (k_{1g})^\mu \text{ has only a large } (k_{1g})^+ \text{ component:} & (K \not{q}) \propto (k_{1g})^- \not{y}^+ \propto q^- \not{y}^+ \\ \text{Then } \text{tr} [(\bar{u} k_{1g}) K \not{q} \not{A}] \propto p^+ \not{q}^- \text{tr} [\not{y}^+ K \not{y}^- \not{A}] = \frac{s}{2} \frac{1}{1-x} \text{tr} [\not{y}^+ K \not{y}^- \not{A}] \end{bmatrix}$$

$$\left\langle \left| \frac{u}{2s} \right|^2 \right\rangle = \frac{e^2 g^2 C_F}{4s} \frac{(1-x)}{b_r^2} \text{tr} [\not{y}^+ K \not{y}^- \not{A}]$$

$$\begin{bmatrix} \text{Last } \gamma \text{-matrix identity: } \text{tr} [\not{A} \not{B} \not{C} \not{D}] = 4[(A \cdot B)(C \cdot D) - (A \cdot C)(B \cdot D) + (A \cdot D)(B \cdot C)] \\ \text{so } \text{tr} [\not{y}^+ K \not{y}^- \not{A}] = 4[2b_r^2 - b_r^2] \\ = 4[2b_r^2 - (2b_r^2 - b_r^2)] \\ = 4b_r^2 \end{bmatrix}$$

Giving

$$\boxed{\left\langle \left| \frac{u}{2s} \right|^2 \right\rangle = \frac{e^2 g^2 C_F}{s} \frac{(1-x)}{b_r^2}}$$

$$\text{so that } \sigma_{tt}^{pp} = \frac{e^2 g^2 C_F}{s} (1-x) \int \frac{d^4 k}{(2\pi)^2} \frac{1}{b_r^2}$$

$$\begin{bmatrix} \text{Using } e^2 = 4\pi \alpha_m \end{bmatrix}$$

Using

$$e^2 = 4\pi \alpha_{em}$$

$$g^2 = 4\pi \alpha_s$$

and

$$\int \frac{dx}{k_T^2} = 2\pi \int \frac{dx}{k_T} = 2\pi \ln k_T / \ln^{\max}$$

with limits set by  $k_T^2 \leq s$  above  
and  $k_T^2 \approx m^2$  below (artifact of massless approximation)

$$\Omega_{tot}^{top} = 8\pi \alpha_{em} C_F \left( \frac{1-x}{s} \right) \ln^{\frac{1}{2}} / m$$

And then  $F_2 = \frac{\Omega^2}{6\pi^2 \alpha_{em}} \Omega_{tot}^{top}$

giving  $F_2 = \left( \frac{2\alpha_{em} C_F}{\pi} \right) \left( 1-x \right) \frac{\Omega^2}{s} \ln^{\frac{1}{2}} / m$

and since  $\frac{\Omega^2}{s} = \frac{x}{1-x}$ , the final answer is:

$$F_2(x) = \frac{2\alpha_{em} C_F}{\pi} x \ln^{\frac{1}{2}} / m$$