

# QCD carpentry: 1D structure of the nucleon

---

**Nobuo Sato**  
ODU/JLab

CFNS summer school  
Stony Brook, 2019



# Outline

- **Part I:** Basics of DIS
- **Part II:** Elementary treatment of DIS Factorization
- **Part III:** Solving RGE - coding
- **Part IV:** DIS phenomenology - coding

# Outline

- **Part I:** Basics of DIS
- **Part II:** Elementary treatment of DIS Factorization
- **Part III:** Solving RGE - coding
- **Part IV:** DIS phenomenology - coding

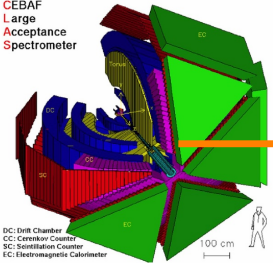
# References

- Collins “Foundations of perturbative QCD”
- Moffat, Melnitchouk, Rogers, NS (PRD95, 2017)
- Vogt (hep-ph/0408244)

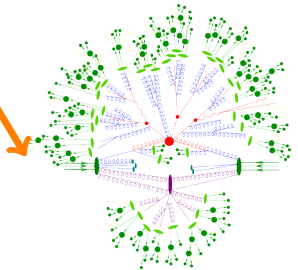
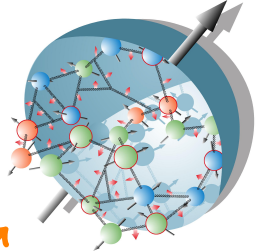
# Part I: Basics of DIS

- The experimental observables
- Kinematic variables
- Cross sections and structure functions
- Overview of experimental data

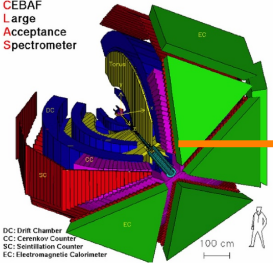
CEBAF  
Large  
Acceptance  
Spectrometer



DC: Drift Chamber  
CC: Cerenkov Counter  
SC: Scintillation Counter  
EC: Electromagnetic Calorimeter  
100 cm



CBAF  
Large  
Acceptance  
Spectrometer



DC: Drift Chamber  
CC: Cerenkov Counter  
SC: Scintillation Counter  
EC: Electromagnetic Calorimeter

100 cm



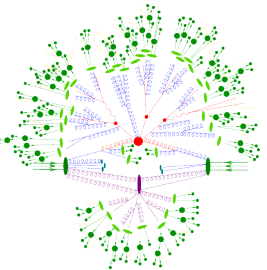
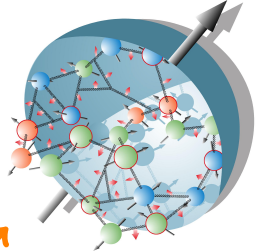
Detector  
response



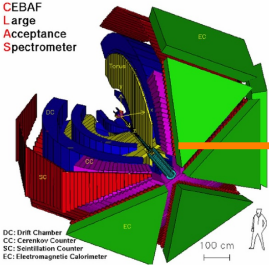
QED  
effects



QCD  
effects



CEBAF  
Large  
Acceptance  
Spectrometer



DC: Drift Chamber  
CC: Cerenkov Counter  
SC: Scintillation Counter  
EC: Electromagnetic Calorimeter

100 cm



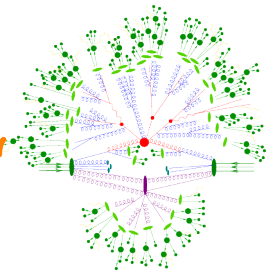
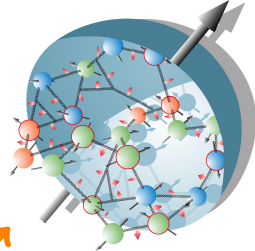
Detector  
response



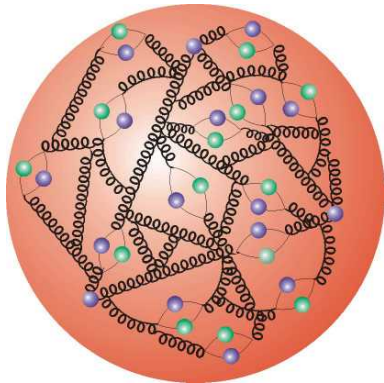
QED  
effects



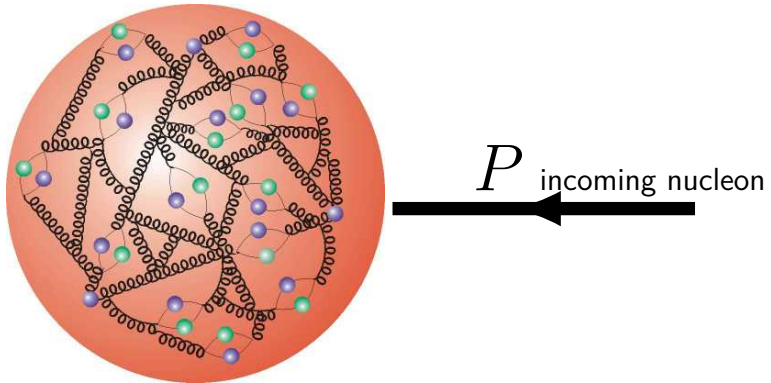
QCD  
effects

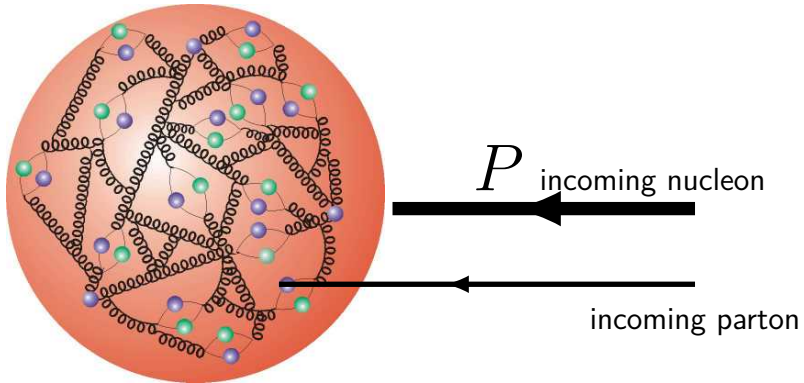


$$\sigma^{\text{EXP}} = w^{\text{DR}} \otimes w^{\text{QED}} \otimes \sigma^{\text{QCD}}$$



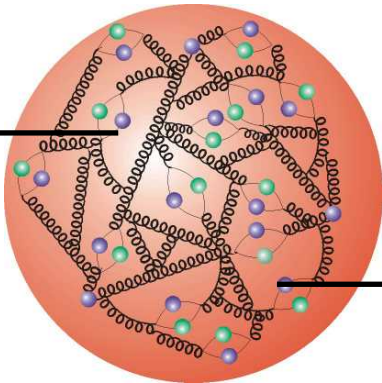






$$l = l(E)$$

incoming lepton

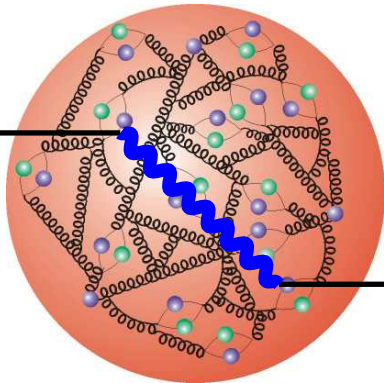


$P$  incoming nucleon

incoming parton

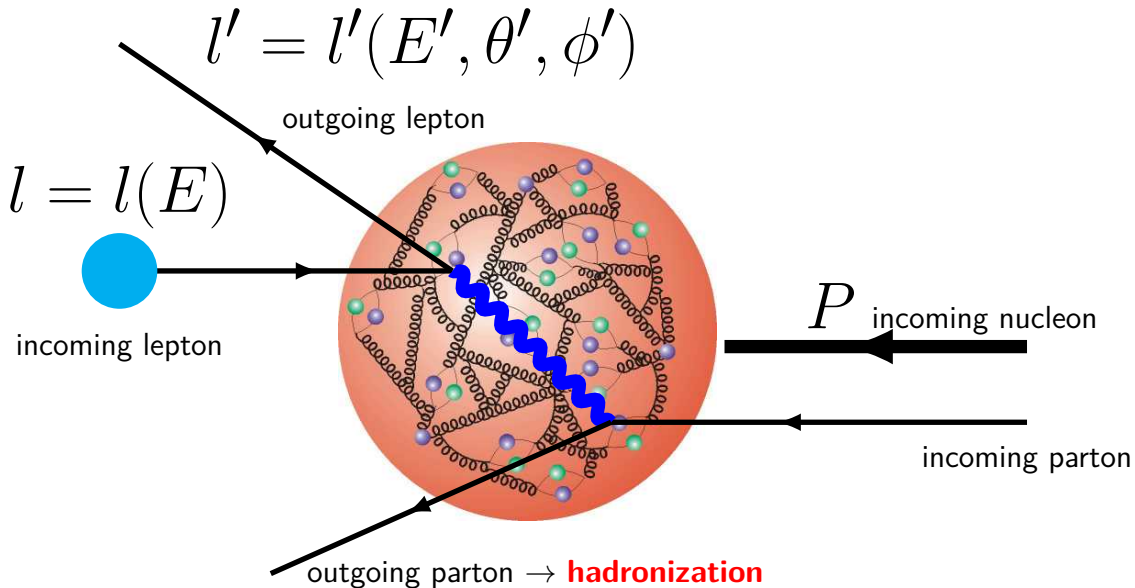
$$l = l(E)$$

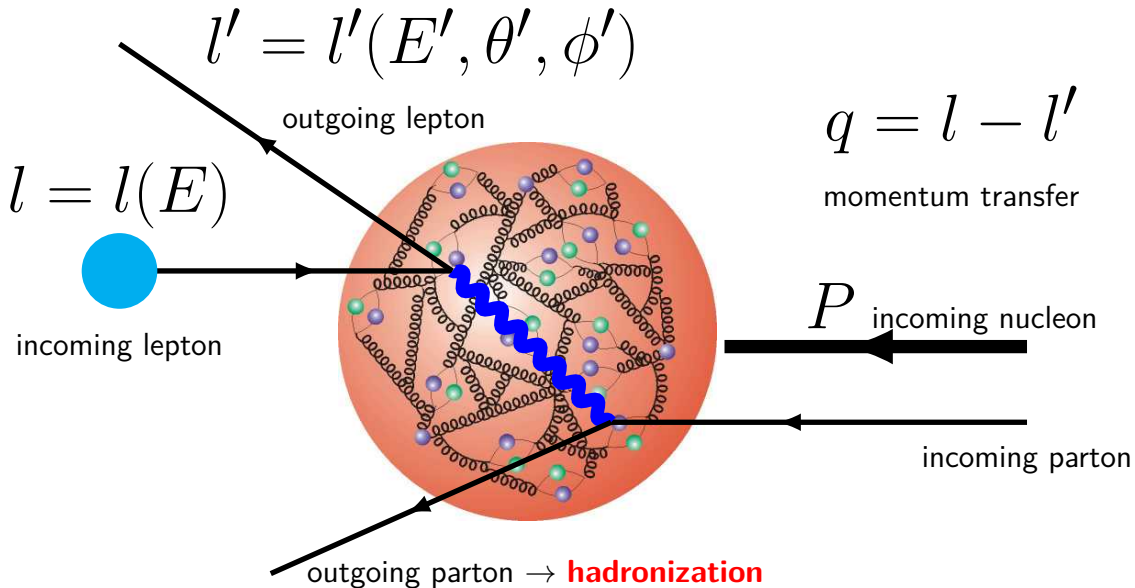
incoming lepton



$P$  incoming nucleon

incoming parton





## From counts to cross section

- The measurement

$$\mathcal{L}^{-1} \frac{N}{\Delta E' \Delta \theta'} \Big|_{\text{bin}} = \left\langle \frac{d\sigma}{dE' d\theta'} \right\rangle \Big|_{\text{bin}}$$

## From counts to cross section

- The measurement

$$\mathcal{L}^{-1} \frac{N}{\Delta E' \Delta \theta'} \Big|_{\text{bin}} = \left\langle \frac{d\sigma}{dE' d\theta'} \right\rangle \Big|_{\text{bin}}$$

- Born cross section

$$\left\langle \frac{d\sigma}{dE' d\theta'} \right\rangle = \left\langle \text{Acc.} \otimes \underbrace{\text{Rad. Cor}}_{\text{QED}} \otimes \underbrace{\frac{d\sigma^{\text{Born}}}{dE' d\theta'}}_{\text{QCD}} \right\rangle$$



## Kinematics variables

- DIS cross sections depend on 3 parameters:

$$E', \theta', s$$

## Kinematics variables

- DIS cross sections depend on 3 parameters:

$$E', \theta', s$$

- A convenient set of Lorentz invariants

$$Q^2 = -q^2 \qquad x = \frac{Q^2}{2P \cdot q} \qquad y = \frac{P \cdot q}{P \cdot l}$$

## Kinematics variables

- DIS cross sections depend on 3 parameters:

$$E', \theta', s$$

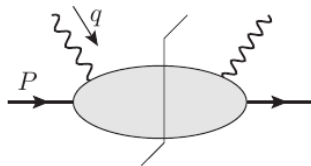
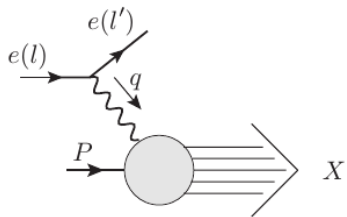
- A convenient set of Lorentz invariants

$$Q^2 = -q^2 \quad x = \frac{Q^2}{2P \cdot q} \quad y = \frac{P \cdot q}{P \cdot l}$$

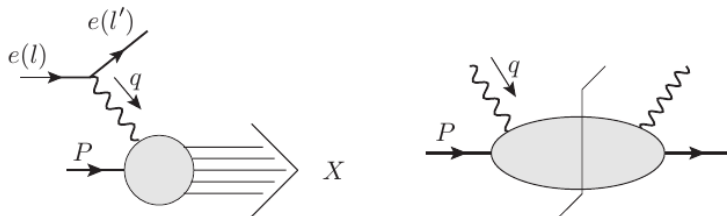
- Differential cross sections are typically given as

$$\frac{d\sigma}{dx dQ^2} \quad \frac{d\sigma}{dx dy}$$

## Cross section and structure functions - Collins 2.3

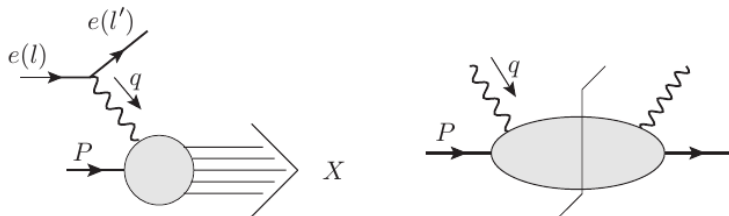


## Cross section and structure functions - Collins 2.3



$$E' \frac{d\sigma}{d^3\mathbf{l}'} \simeq \frac{\pi e^4}{2s} \sum_X \delta^{(4)}(p_X - P - q) \left| \langle l' | j_\mu^{\text{lept.}} | l \rangle \frac{1}{q^2} \langle X, \text{out} | j^\mu | P \rangle \right|^2$$

## Cross section and structure functions - Collins 2.3



$$\begin{aligned}
 E' \frac{d\sigma}{d^3\mathbf{l}'} &\simeq \frac{\pi e^4}{2s} \sum_X \delta^{(4)}(p_X - P - q) \left| \langle l' | j_\mu^{\text{lept.}} | l \rangle \frac{1}{q^2} \langle X, \text{out} | j^\mu | P \rangle \right|^2 \\
 &= \frac{2\alpha_{\text{EM}}^2}{sQ^4} L_{\mu\nu} W^{\mu\nu}
 \end{aligned}$$

# Cross section and structure functions

- Leptonic tensor

$$L_{\mu\nu} = 2(l_\mu l'_\nu + l'_\mu l_\nu - g_{\mu\nu} l \cdot l' - i\lambda \epsilon_{\mu\nu\alpha\beta} l^\alpha l'^\beta)$$

# Cross section and structure functions

- Leptonic tensor

$$L_{\mu\nu} = 2(l_\mu l'_\nu + l'_\mu l_\nu - g_{\mu\nu} l \cdot l' - i\lambda \epsilon_{\mu\nu\alpha\beta} l^\alpha l'^\beta)$$

- Hadronic tensor

$$W^{\mu\nu} = 4\pi^3 \sum_X \delta^{(4)}(p_X - P - q) \langle P, S | j^\mu(0) | X \rangle \langle X | j^\nu(0) | P, S \rangle$$



# Cross section and structure functions

## ■ Leptonic tensor

$$L_{\mu\nu} = 2(l_\mu l'_\nu + l'_\mu l_\nu - g_{\mu\nu} l \cdot l' - i\lambda \epsilon_{\mu\nu\alpha\beta} l^\alpha l'^\beta)$$

## ■ Hadronic tensor

$$\begin{aligned} W^{\mu\nu} &= 4\pi^3 \sum_X \delta^{(4)}(p_X - P - q) \langle P, S | j^\mu(0) | X \rangle \langle X | j^\nu(0) | P, S \rangle \\ &= \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \langle P, S | j^\mu(z) j^\nu(0) | P, S \rangle \end{aligned}$$

# Cross section and structure functions

## ■ Leptonic tensor

$$L_{\mu\nu} = 2(l_\mu l'_\nu + l'_\mu l_\nu - g_{\mu\nu} l \cdot l' - i\lambda \epsilon_{\mu\nu\alpha\beta} l^\alpha l'^\beta)$$

## ■ Hadronic tensor

$$\begin{aligned} W^{\mu\nu} &= 4\pi^3 \sum_X \delta^{(4)}(p_X - P - q) \langle P, S | j^\mu(0) | X \rangle \langle X | j^\nu(0) | P, S \rangle \\ &= \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \langle P, S | j^\mu(z) j^\nu(0) | P, S \rangle \\ &= \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \frac{\left( P^\mu - q^\mu \frac{P \cdot q}{q^2} \right) \left( P^\nu - q^\nu \frac{P \cdot q}{q^2} \right)}{P \cdot q} F_2(x, Q^2) \\ &\quad + i\epsilon^{\mu\nu\alpha\beta} \frac{q_\alpha S_\beta}{P \cdot q} g_1(x, Q^2) + i\epsilon^{\mu\nu\alpha\beta} \frac{q_\alpha \left( S_\beta - P_\beta \frac{S \cdot q}{P \cdot q} \right)}{P \cdot q} g_2(x, Q^2) \end{aligned}$$

# Cross section and structure functions

- Unpolarized cross sections

$$\frac{d\sigma}{dx dy} \simeq \frac{4\pi\alpha_{\text{EM}}^2}{xyQ^2} \left[ \left( 1 - y - \frac{x^2 y^2 M^2}{Q^2} \right) F_2(x, Q^2) + y^2 x F_1(x, Q^2) \right]$$

# Cross section and structure functions

## ■ Unpolarized cross sections

$$\frac{d\sigma}{dxdy} \simeq \frac{4\pi\alpha_{\text{EM}}^2}{xyQ^2} \left[ \left( 1 - y - \frac{x^2y^2M^2}{Q^2} \right) F_2(x, Q^2) + y^2x F_1(x, Q^2) \right]$$

## ■ Polarized cross sections

$$\Delta\sigma = \sigma(\lambda_N = -1, \lambda_l) - \sigma(\lambda_N = 1, \lambda_l)$$

# Cross section and structure functions

## ■ Unpolarized cross sections

$$\frac{d\sigma}{dxdy} \simeq \frac{4\pi\alpha_{\text{EM}}^2}{xyQ^2} \left[ \left( 1 - y - \frac{x^2y^2M^2}{Q^2} \right) F_2(x, Q^2) + y^2x F_1(x, Q^2) \right]$$

## ■ Polarized cross sections

$$\Delta\sigma = \sigma(\lambda_N = -1, \lambda_l) - \sigma(\lambda_N = 1, \lambda_l)$$

$$\frac{d\Delta\sigma}{dxdy} \simeq \frac{8\pi\alpha_{\text{EM}}^2}{xyQ^2} \left[ -\lambda_l y \left( 2 - y - 2\frac{x^2y^2M^2}{Q^2} \right) x g_1(x, Q^2) + \lambda_l 4x^3y^2 \frac{M^2}{Q^2} g_2(x, Q^2) \right]$$

## Asymmetries for polarized DIS

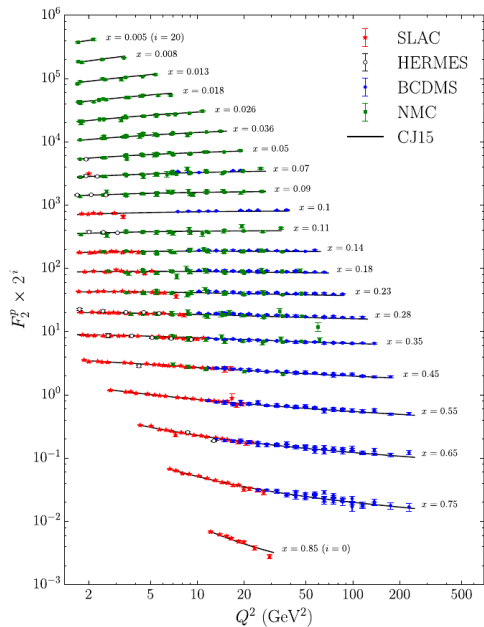
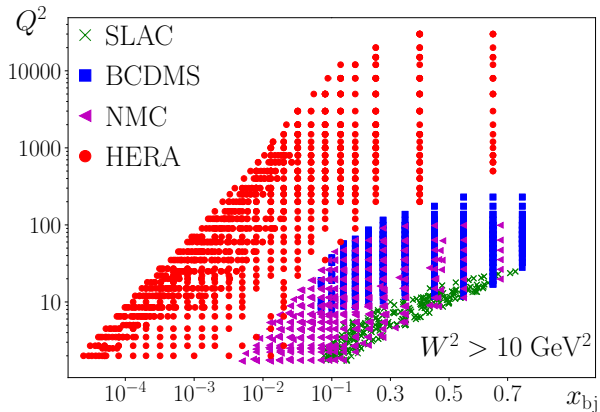
- Cross section ratios are taken to minimize syst. uncertainties

$$A_{\parallel} = \frac{\sigma^{\uparrow\downarrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\uparrow\downarrow} + \sigma^{\uparrow\downarrow}} = D(A_1 + \eta A_2)$$

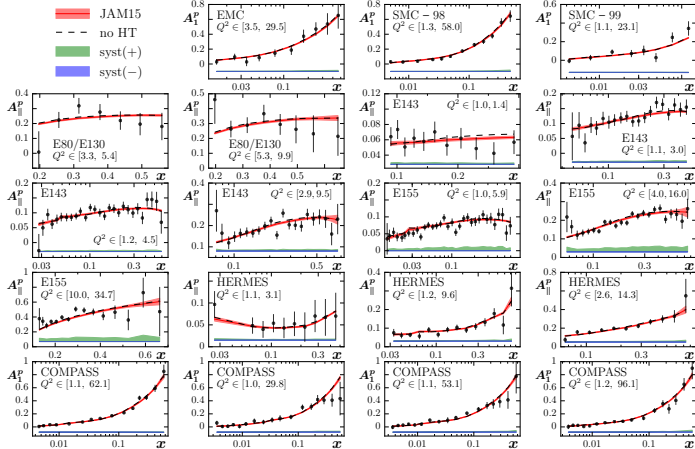
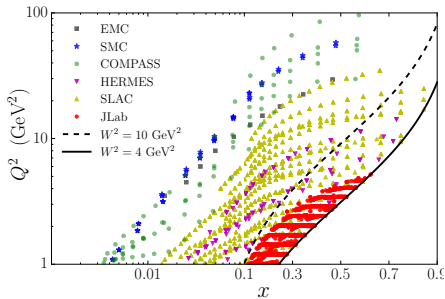
$$A_{\perp} = \frac{\sigma^{\uparrow\Rightarrow} - \sigma^{\uparrow\Leftarrow}}{\sigma^{\uparrow\Rightarrow} + \sigma^{\uparrow\Leftarrow}} = d(A_2 - \xi A_1)$$

$$A_1 = \frac{(g_1 - \gamma^2 g_2)}{F_1} \quad A_2 = \gamma \frac{(g_1 + g_2)}{F_1} \quad \gamma^2 = \frac{4M^2 x^2}{Q^2}$$

# Experimental data: Unpolarized DIS



# Experimental data: Polarized DIS





# Part II: Elementary treatment of factorization

- Handbag diagrams, leading region and power counting
- Factorization, hard and soft parts
- Parton densities and DGLAP
- Treatments for the hard parts, subtractions

## Handbag diagrams

- Differential cross sections are proportional to squared amplitudes

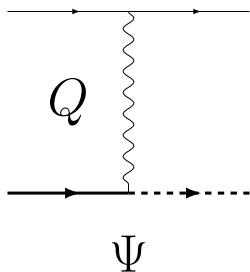
$$d\sigma = \frac{1}{\text{flux}} |\Psi|^2 d\Omega$$

## Handbag diagrams

- Differential cross sections are proportional to squared amplitudes

$$d\sigma = \frac{1}{\text{flux}} |\Psi|^2 d\Omega$$

- Schematically

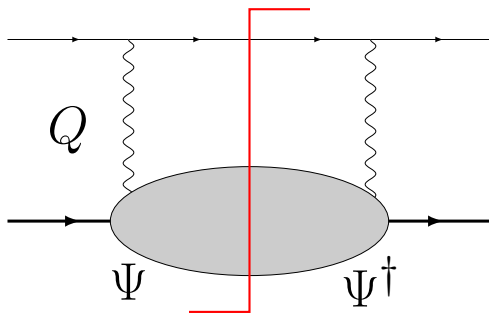


## Handbag diagrams

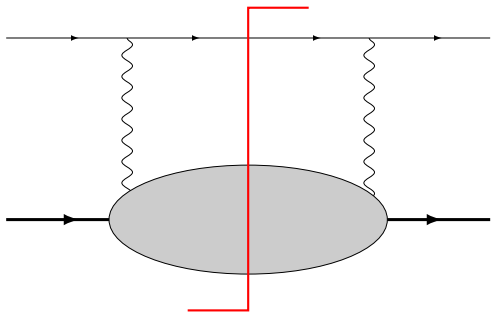
- Differential cross sections are proportional to squared amplitudes

$$d\sigma = \frac{1}{\text{flux}} |\Psi|^2 d\Omega$$

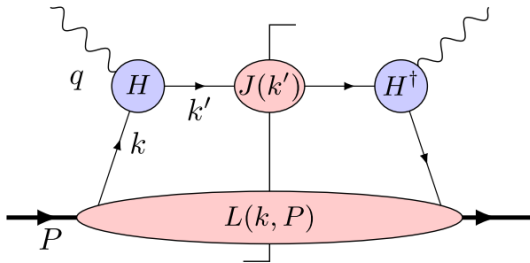
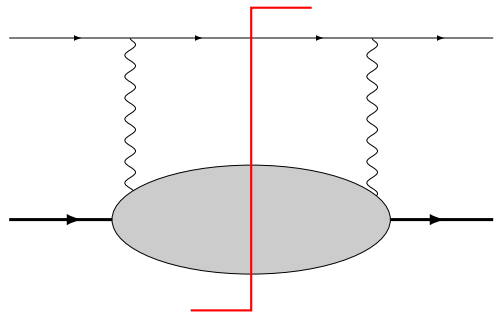
- Schematically



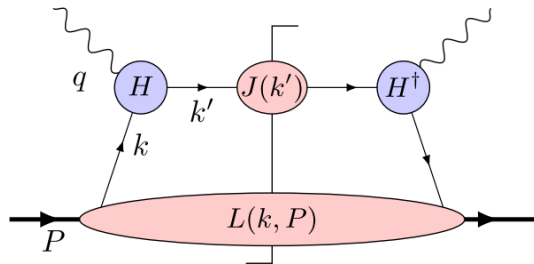
## Leading regions - Collins 6, Moffat et al



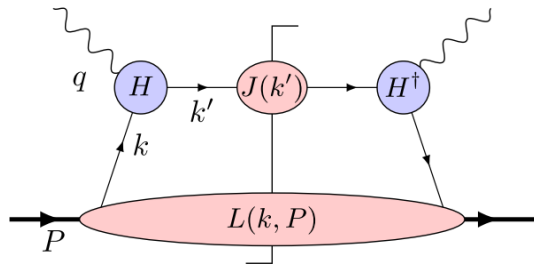
# Leading regions - Collins 6, Moffat et al



## Leading regions



## Leading regions

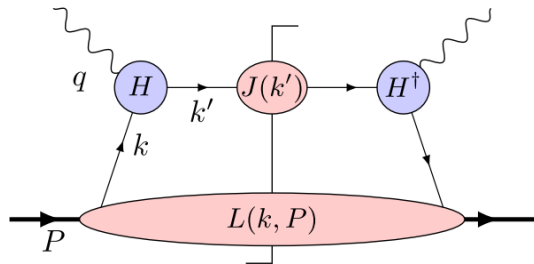


$$k \sim \left( O(Q), O\left(\frac{m^2}{Q}\right), O(m_T) \right)$$

$$k' \sim (O(Q), O(Q), O(m_T))$$



## Leading regions

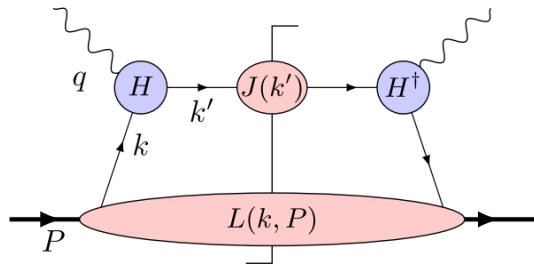


$$k \sim \left( O(Q), O\left(\frac{m^2}{Q}\right), O(m_T) \right)$$

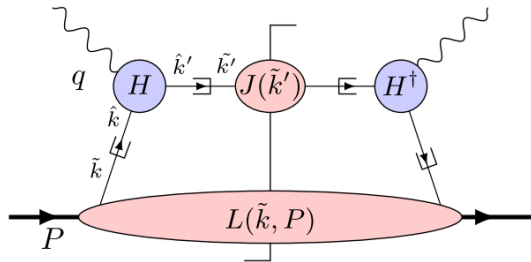
$$k' \sim (O(Q), O(Q), O(m_T))$$

$$W^{\mu\nu}(P, q) = \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[ H^\mu(k, k') J(k') H^{\nu\dagger}(k, k') L(k, P) \right]$$

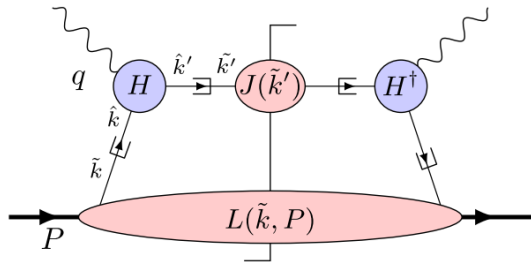
# Approximations



# Approximations



# Approximations



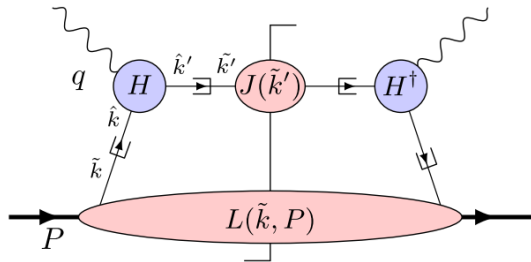
$$k \rightarrow \hat{k} \sim (\xi P^+, 0, \mathbf{0}_T)$$

$$\tilde{k} \sim (\xi P^+, k^-, \mathbf{k}_T)$$

$$k' \rightarrow \hat{k}' = \hat{k} + q$$

$$\tilde{k}' \sim \left( -\xi P^+ + \frac{k_T^2}{2q^-}, q^-, \mathbf{0}_T \right)$$

# Approximations



$$k \rightarrow \hat{k} \sim (\xi P^+, 0, \mathbf{0}_T)$$

$$\tilde{k} \sim (\xi P^+, k^-, \mathbf{k}_T)$$

$$k' \rightarrow \hat{k}' = \hat{k} + q$$

$$\tilde{k}' \sim \left( -\xi P^+ + \frac{k_T^2}{2q^-}, q^-, \mathbf{0}_T \right)$$

$$W^{\mu\nu}(P, q) = \frac{\pi}{Q^2} \text{Tr} \left[ H^\mu(Q^2) \hat{k}'^\mu H^{\nu\dagger} \hat{k}^\nu \right] \left( \int \frac{dk^- d^2\mathbf{k}_T}{(2\pi)^4} \text{Tr} \left[ \frac{\gamma^+}{2} L(\tilde{k}, P) \right] \right) + O\left(\frac{m^2}{Q^2}\right)$$

## Connection with nucleon structures

- The lower blob can be decomposed as follow

$$L(\tilde{k}, P) = \gamma_\mu \Phi^\mu(\tilde{k}, P) + \Phi_S(\tilde{k}, P) + \gamma_5 \Phi_P(\tilde{k}, P) + \gamma_5 \gamma_\mu \Phi_A^\mu(\tilde{k}, P) + \sigma_{\mu\nu} \Phi_T^{\mu\nu}(\tilde{k}, P)$$

- In particular

$$\Phi^\mu(\tilde{k}, P) = \int \frac{d^4 z}{(2\pi)^4} e^{i\tilde{k}\cdot z} \langle P | \bar{\psi}(0) \gamma^\mu \psi(z) | P \rangle$$

$$\Phi_A^\mu(\tilde{k}, P) = \int \frac{d^4 z}{(2\pi)^4} e^{i\tilde{k}\cdot z} \langle P | \bar{\psi}(0) \gamma_5 \gamma^\mu \psi(z) | P \rangle$$

- At leading power in  $m^2/Q^2$  the “+” components dominate

$$L(\tilde{k}, P) = \gamma_- \Phi^+(\tilde{k}, P) + \gamma_5 \gamma_- \Phi_A^+(\tilde{k}, P) + \dots + O(m^2/Q^2)$$

## Connection with nucleon structures

- Then we get the so called light cone distributions

$$\begin{aligned} f(\xi) &= \int \frac{dk^- d^2\mathbf{k}_T}{(2\pi)^4} \text{Tr} \left[ \frac{\gamma^+}{2} \gamma_- \Phi^+(\tilde{k}, P) \right] \\ &= \int \frac{dz^-}{2\pi} e^{-i\xi P^+ z^-} \langle P | \bar{\psi}(0, z^-, \mathbf{0}_T) \frac{\gamma^+}{2} \psi(0) | P \rangle \end{aligned}$$

$$\begin{aligned} \lambda_{\text{tar}} \Delta f(\xi) &= \int \frac{dk^- d^2\mathbf{k}_T}{(2\pi)^4} \text{Tr} \left[ \frac{\gamma^+}{2} \gamma_5 \Phi_A^+(\tilde{k}, P) \right] \\ &= \int \frac{dz^-}{2\pi} e^{-i\xi P^+ z^-} \langle P | \bar{\psi}(0, z^-, \mathbf{0}_T) \frac{\gamma^+ \gamma_5}{2} \psi(0) | P \rangle \end{aligned}$$

aka unpolarized and polarized **parton distribution functions** (PDFs)

## Issues with the approximations

- The approximations break momentum conservation



## Issues with the approximations

- The approximations break momentum conservation
- $k_T$  integral is **unbounded**

## Issues with the approximations

- The approximations break momentum conservation
- $k_T$  integral is **unbounded**
- The lower blob

$$f(x) = \int \frac{dk^- d^2 \mathbf{k}_T}{(2\pi)^4} \text{Tr} \left[ \frac{\gamma^+}{2} L(\tilde{k}, P) \right]$$

has a **UV** divergence  $\rightarrow$  needs renormalization

## RGE for PDFs aka DGLAP

- Multiplicative renormalization of composite operators

$$f_{j/H}(\xi; \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} Z_{jj'}(z, g, \epsilon) f_{j'/H}^{\text{bare}}\left(\frac{\xi}{z}\right)$$

## RGE for PDFs aka DGLAP

- Multiplicative renormalization of composite operators

$$f_{j/H}(\xi; \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} Z_{jj'}(z, g, \epsilon) f_{j'/H}^{\text{bare}}\left(\frac{\xi}{z}\right)$$

- Using RG invariance of bare PDF we get

$$\frac{\partial}{\partial \ln \mu^2} f_{j/H}(\xi, \mu) = \sum_{j'} \int_{\xi}^1 \frac{dz}{z} P_{jj'}(z, g) f_{j'/H}(\xi/z, \mu)$$

$$P_{jj'}(z, g) = \frac{1}{2} \frac{\partial}{\partial \ln \mu} \ln Z_{jj'}(z, g, \epsilon = 0)$$

## Factorization of cross sections or structure functions

- Recall the hadronic tensor

$$W^{\mu\nu} = \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \frac{\left( P^\mu - q^\mu \frac{P \cdot q}{q^2} \right) \left( P^\nu - q^\nu \frac{P \cdot q}{q^2} \right)}{P \cdot q} F_2(x, Q^2) \\ + i\epsilon^{\mu\nu\alpha\beta} \frac{q_\alpha S_\beta}{P \cdot q} g_1(x, Q^2) + i\epsilon^{\mu\nu\alpha\beta} \frac{q_\alpha \left( S_\beta - P_\beta \frac{S \cdot q}{P \cdot q} \right)}{P \cdot q} g_2(x, Q^2)$$

- We just showed how to factorize  $W^{\mu\nu}$  in terms of *hard* and *soft* parts

## Factorization of cross sections or structure functions

- Factorization can be done at the structure function level, e.g

$$F_2(x, Q) = x \sum_j e_j^2 \int_x^1 \frac{d\xi}{\xi} C_2(\xi, \mu) f_j\left(\frac{x}{\xi}, \mu\right)$$

- Notice that  $f_j(\xi, \mu)$  is already a renormalized PDF
- The task now is to get  $C_2$ .

## Treatment of the hard part

- **Attention**: this is key to define  $C_2$  or any other hard part  
- see **Collins 9.6**

**DIS Factorization is a procedure independent of the target**

## Treatment of the hard part

- **Attention**: this is key to define  $C_2$  or any other hard part  
- see **Collins 9.6**

**DIS Factorization is a procedure independent of the target**

- Why is this so crucial? → because we can calculate  $C_2$  using a simpler target → a **“parton target”**



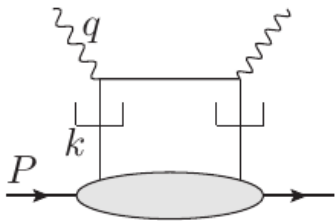
## Treatment of the hard part

- **Attention**: this is key to define  $C_2$  or any other hard part  
- see **Collins 9.6**

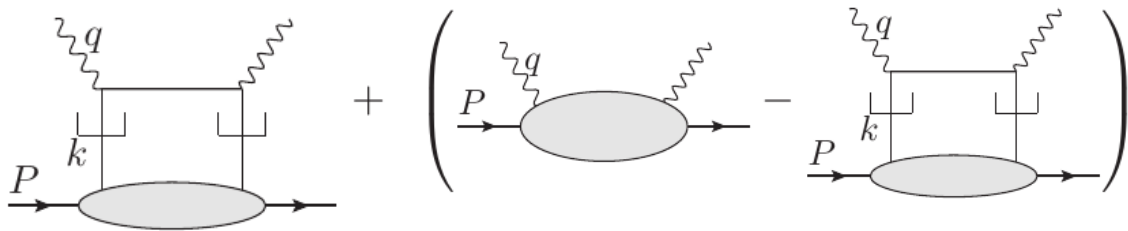
**DIS Factorization is a procedure independent of the target**

- Why is this so crucial? → because we can calculate  $C_2$  using a simpler target → a **“parton target”**
- The procedure to compute the hard part is called **“successive approximation method”** - see **Collins 8.8**

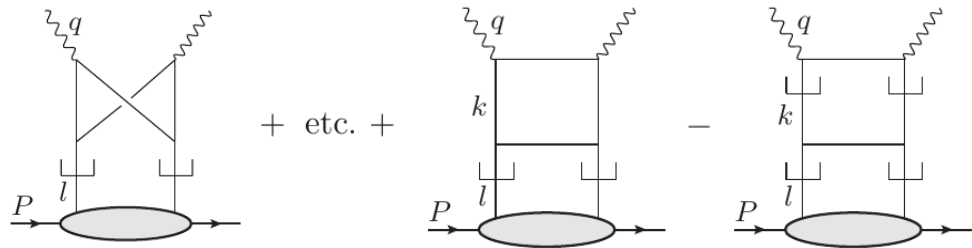
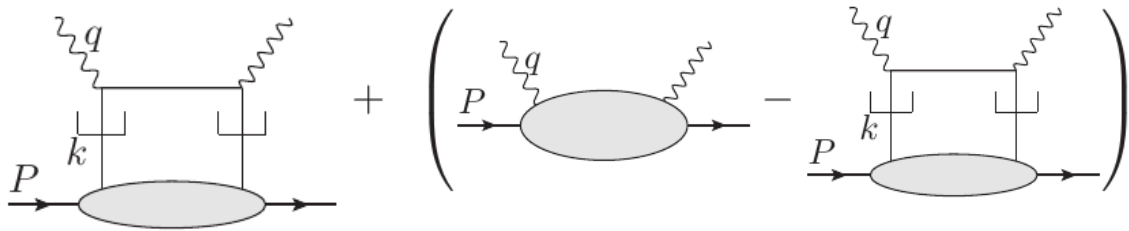
$$F_2 = T_{LO} F_2$$



$$F_2 = T_{\text{LO}} F_2 + [F_2 - T_{\text{LO}} F_2]$$



$$F_2 = T_{\text{LO}} F_2 + T_{\text{NLO}} [F_2 - T_{\text{LO}} F_2]$$



## Treatment for the hard part

- Work with parton target

$$\begin{aligned} F_2 &= (C^{(0)} + C_{\text{unsub}}^{(1)} + \dots) \otimes (f^{(0)} + f^{(1)} + \dots) \\ &= (C^{(0)} + C_{\text{unsub}}^{(1)} + \dots) \otimes \left( \delta(1 - \xi) - \frac{\alpha_S}{4\pi} \frac{S_\epsilon}{\epsilon} P(\xi) + \dots \right) \end{aligned}$$

## Treatment for the hard part

- Work with parton target

$$\begin{aligned} F_2 &= (C^{(0)} + C_{\text{unsub}}^{(1)} + \dots) \otimes (f^{(0)} + f^{(1)} + \dots) \\ &= (C^{(0)} + C_{\text{unsub}}^{(1)} + \dots) \otimes \left( \delta(1 - \xi) - \frac{\alpha_S}{4\pi} \frac{S_\epsilon}{\epsilon} P(\xi) + \dots \right) \end{aligned}$$

- Apply the subtraction procedure

$$\begin{aligned} F_2 &= \mathbf{T}_{\text{LO}} F_2 + \mathbf{T}_{\text{NLO}} [F_2 - \mathbf{T}_{\text{LO}} F_2] + O(\alpha_S^2) \\ &= C^{(0)} \otimes f^{(0)} + C_{\text{unsub}}^{(1)} \otimes f^{(0)} - C^{(0)} \otimes f^{(1)} + O(\alpha_S^2) \\ &= C^{(0)} + \left[ C_{\text{unsub}}^{(1)} + \frac{\alpha_S}{4\pi} \frac{S_\epsilon}{\epsilon} P(\xi) \right] + O(\alpha_S^2) \end{aligned}$$

## Critique of conventional treatments - Collins 9.11

- One finds in the literature the following assesment

$$\begin{aligned}F_2 &= C \otimes f^{\text{bare}} \\ &= C_{\text{finite}} \otimes D_{\text{IR div.}} \otimes f^{\text{bare}} \\ &= C_{\text{finite}} \otimes f^{\text{ren.}}\end{aligned}$$

## Critique of conventional treatments - Collins 9.11

- One finds in the literature the following assesment

$$\begin{aligned}F_2 &= C \otimes f^{\text{bare}} \\ &= C_{\text{finite}} \otimes D_{\text{IR div.}} \otimes f^{\text{bare}} \\ &= C_{\text{finite}} \otimes f^{\text{ren.}}\end{aligned}$$

- For certain observables like DIS the results are the same



## Critique of conventional treatments - Collins 9.11

- One finds in the literature the following assesment

$$\begin{aligned}F_2 &= C \otimes f^{\text{bare}} \\ &= C_{\text{finite}} \otimes D_{\text{IR div.}} \otimes f^{\text{bare}} \\ &= C_{\text{finite}} \otimes f^{\text{ren.}}\end{aligned}$$

- For certain observables like DIS the results are the same
- Obscures the logic of factorization as it does not involve the subtractions method → **needed in TMD physics i.e W+Y**

# Part III: Solving RGE

- The  $\beta$  function
- Mellin transforms
- DGLAP - non-singlet and singlet evolution

## The $\beta$ function

- RGE for strong coupling

$$a_S(\mu^2) = \frac{\alpha_S(\mu^2)}{4\pi}$$
$$\frac{da_S}{d \ln \mu^2} = \beta(a_S) = -(\beta_0 a_S^2 + \beta_1 a_S^3 + \dots)$$

## The $\beta$ function

- RGE for strong coupling

$$a_S(\mu^2) = \frac{\alpha_S(\mu^2)}{4\pi}$$
$$\frac{da_S}{d \ln \mu^2} = \beta(a_S) = -(\beta_0 a_S^2 + \beta_1 a_S^3 + \dots)$$

- Coefficients up to two loops

$$\beta_0 = 11 - \frac{2}{3}N_f \quad \beta_1 = 102 - \frac{38}{3}N_f$$

## The $\beta$ function

- The RGE depends on  $N_f \rightarrow$  “mass thresholds”

scale	$N_f$	active flavors
$\mu < m_c$	3	$u, d, s$
$m_c \leq \mu < m_b$	4	$u, d, s, c$
$m_b \leq \mu$	5	$u, d, s, c, b$

## The $\beta$ function

- The RGE depends on  $N_f \rightarrow$  “mass thresholds”

scale	$N_f$	active flavors
$\mu < m_c$	3	$u, d, s$
$m_c \leq \mu < m_b$	4	$u, d, s, c$
$m_b \leq \mu$	5	$u, d, s, c, b$

- The RGE is discontinuous at the mass thresholds

## The $\beta$ function

- The RGE depends on  $N_f \rightarrow$  “mass thresholds”

scale	$N_f$	active flavors
$\mu < m_c$	3	$u, d, s$
$m_c \leq \mu < m_b$	4	$u, d, s, c$
$m_b \leq \mu$	5	$u, d, s, c, b$

- The RGE is discontinuous at the mass thresholds
- $a_S$  is continuous at the mass thresholds

## The $\beta$ function

- To solve the RGE we need boundary conditions (BC) for each  $N_f$
- But we need continuous  $a_s \rightarrow$  the recipe
  - Start with  $a_S(m_Z) = 0.118/4\pi$
  - Compute  $a_S(m_b)$  evolved with BC  $a_S(m_Z)$  and  $N_f = 5$
  - Compute  $a_S(m_c)$  evolved with BC  $a_S(m_b)$  and  $N_f = 4$



# QCD carpentry: coding up $\alpha_S$ RGE

- `params.py`
- `alphaS.py`

## params.py

```
#--color factors
```

```
CA=3.0
```

```
CF=4.0/3.0
```

```
TR=0.5
```

```
TF=0.5
```

```
#--set_masses
```

```
mc = 1.28
```

```
mb = 4.18
```

```
mZ = 91.1876
```

```
mW = 80.398
```

```
M = 0.93891897
```

```
mc2 = mc**2
```

```
mb2 = mb**2
```

```
mZ2 = mZ**2
```

```
M2 = M**2
```

## params.py

```
#--QED and QCD couplings
```

```
alfa = 1/137.036
```

```
alphaSMZ = 0.118
```

```
alfa2 = alfa**2
```

```
#--quark charges
```

```
eU2 = 4.0/9.0
```

```
eD2 = 1.0/9.0
```

```
couplings={1:eU2,2:eD2,3:eD2,4:eU2,5:eD2,6:eU2}
```

# alphaS.py

```
#!/usr/bin/env python
import sys,os
import numpy as np
import params as par

beta=np.zeros((7,3))
for Nf in range(3,7):
    beta[Nf,0]=11.0-2.0/3.0*Nf
    beta[Nf,1]=102.-38.0/3.0*Nf

def beta_func(a,Nf):
    return -(beta[Nf,0]+a*beta[Nf,1])*a**2

def get_Nf(Q2):
    Nf=3
    if Q2>=(4.*par.mc2): Nf+=1
    if Q2>=(4.*par.mb2): Nf+=1
    return Nf
```

## alphaS.py

```
def evolve_a(Q20,a,Q2,Nf):
    ##Runge-Kutta implemented in pegasus
    LR = np.log(Q2/Q20)/20.0
    for k in range(20):
        XK0 = LR * beta_func(a,Nf)
        XK1 = LR * beta_func(a + 0.5 * XK0,Nf)
        XK2 = LR * beta_func(a + 0.5 * XK1,Nf)
        XK3 = LR * beta_func(a + XK2,Nf)
        a+= (XK0 + 2.* XK1 + 2.* XK2 + XK3) * 0.1666666666666666
    return a

##--build boundary conditions
ab=evolve_a(par.mZ2,par.aZ,par.mb2,5)
ac=evolve_a(par.mb2,ab,par.mc2,4)
a0=evolve_a(par.mc2,ac,par.Q20,3)
```

## alphaS.py

```
storage={}
def get_a(Q2):
    if Q2 not in storage:
        if par.mb2<=Q2:
            storage[Q2]=evolve_a(par.mb2,ab,Q2,5)
        elif par.mc2<=Q2 and Q2<par.mb2:
            storage[Q2]=evolve_a(par.mc2,ac,Q2,4)
        elif Q2<par.mc2:
            storage[Q2]=evolve_a(par.Q20,a0,Q2,3)
    return storage[Q2]

def get_alphaS(Q2):
    return get_a(Q2)*4*np.pi
```

# alphaS.py

```
if __name__=='__main__':  
  
    print '=====  
    print 'test alphaS evolution'  
    print '=====  
    print 'Q2=1          alphaS=%0.5f'%get_alphaS(1.0)  
    print 'Q2=(1+mc2)/2  alphaS=%0.5f'%get_alphaS(0.5*(1.0+par.mc2))  
    print 'Q2=mc2        alphaS=%0.5f'%get_alphaS(par.mc2)  
    print 'Q2=(mc2+mb2)/2 alphaS=%0.5f'%get_alphaS(0.5*(par.mc2+par.mb2))  
    print 'Q2=mb2        alphaS=%0.5f'%get_alphaS(par.mb2)  
    print 'Q2=(mb2+mZ2)/2 alphaS=%0.5f'%get_alphaS(0.5*(par.mb2+par.mZ2))  
    print 'Q2=mZ2        alphaS=%0.5f'%get_alphaS(par.mZ2)
```

## Next steps at command line

```
chmod +x alphaS.py  
./alpha.py
```

# Mellin transform

■ Definition:

$$F(N) = \int dx x^{N-1} f(x) \rightarrow f(x) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} dN x^{-N} F(N)$$



# Mellin transform

- Definition:

$$F(N) = \int dx x^{N-1} f(x) \rightarrow f(x) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} dN x^{-N} F(N)$$

- The  $c$  is chosen to be at the right of the rightmost pole of  $F(N)$

# Mellin transform

- Definition:

$$F(N) = \int dx x^{N-1} f(x) \rightarrow f(x) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} dN x^{-N} F(N)$$

- The  $c$  is chosen to be at the right of the rightmost pole of  $F(N)$

- Example:

- $f(x) = x \rightarrow F(N) = \frac{1}{N+1}$

- The rightmost pole is at  $N = -1$  so  $c > -1$

## Mellin transform: numerical recipe

- Parametrize the contour

$$N(z) = c + ze^{i\phi}$$

## Mellin transform: numerical recipe

- Parametrize the contour

$$N(z) = c + ze^{i\phi}$$

- A useful identity

$$\begin{aligned} f(x) &= \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} dN x^{-N} F(N) \\ &= \frac{1}{\pi} \int_0^\infty dz \operatorname{Im} [e^{i\phi} x^{-N(z)} F(N(z))] \end{aligned}$$

## Mellin transform: numerical recipe

- For efficiency purposes we use Gaussian quadrature, i.e

$$\int_{-1}^1 dx g(x) \approx \sum_{i=1}^n w_i g(x_i)$$

## Mellin transform: numerical recipe

- For efficiency purposes we use Gaussian quadrature, i.e

$$\int_{-1}^1 dx g(x) \approx \sum_{i=1}^n w_i g(x_i)$$

- For an arbitrary integration region we can use

$$\int_a^b dz g(z) \approx \frac{b-a}{2} \sum_{i=1}^n w_i g\left(\frac{1}{2}(b-a)x_i + \frac{1}{2}(a+b)\right)$$

## Mellin transform: numerical recipe

- Back to inverse Mellin transform

$$f(x) = \frac{1}{\pi} \int_0^\infty dz \operatorname{Im} [e^{i\phi} x^{-N(z)} F(N(z))]$$

## Mellin transform: numerical recipe

- Back to inverse Mellin transform

$$f(x) = \frac{1}{\pi} \int_0^\infty dz \operatorname{Im} \left[ e^{i\phi} x^{-N(z)} F(N(z)) \right]$$

- We partition the  $z$  integration in  $k$  subintervals and apply Gaussian-quadrature on each subinterval

$$f(x) \approx \frac{1}{\pi} \sum_{j=1}^k \frac{1}{2} (z_{\max}^j - z_{\min}^j) \sum_i w_i \operatorname{Im} \left[ e^{i\phi} x^{-N(z_i^j)} F(N(z_i^j)) \right]$$

$$z_i^j = \frac{1}{2} \left[ (z_{\max}^j - z_{\min}^j) x_i + (z_{\max}^j + z_{\min}^j) \right]$$



# QCD carpentry: coding up Mellin transforms

- `mellin.py`

# mellin.py

```
#!/usr/bin/env python
import sys,os
import numpy as np

c=1.9  ##contour crossing
npts=8 ##number of gaussian quadrature

##define intervals for z integration
znodes=[0,0.1,0.3,0.6,1.0,1.6,2.4,3.5,5,7,10,14,19,25,32,40,50,63]

##compute gaussian nodes and weights
x,w=np.polynomial.legendre.leggauss(npts)
```

## mellin.py

```
##generate z and w values along contour
Z,W,JAC=[],[],[]
for i in range(len(znodes)-1):
    a,b=znodes[i],znodes[i+1]
    Z.extend(0.5*(b-a)*x+0.5*(a+b))
    W.extend(w)
    JAC.extend([0.5*(b-a) for j in range(x.size)])
Z=np.array(Z)
W=np.array(W)
JAC=np.array(JAC)
```

## mellin.py

```
def invert(x,F):  
    return np.sum(np.imag(phase * x**(-N) * F)/np.pi * W * JAC)  
  
if __name__=='__main__':  
  
    F=1/(N+1)  
    f=lambda x: x  
    X=10**np.linspace(-5,-1,10)  
    for x in X:  
        print 'x=%10.4e  f=%10.4e  inv=%10.4e'%(x,f(x),invert(x,F))
```

## Next steps at command line

```
chmod +x mellin.py  
./mellin.py
```

**...Questions?**