

The mass and spin structure of the proton

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NAS report on EIC (2018/07)

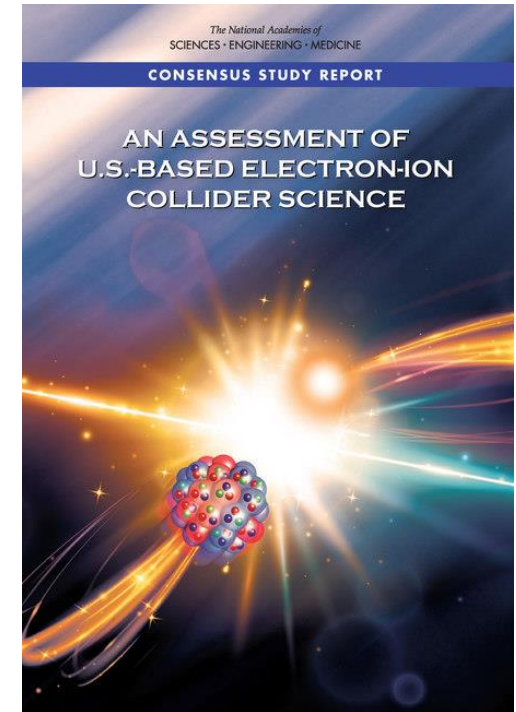
An Assessment of U.S.-Based Electron-Ion Collider Science

A Consensus Study Report of
The National Academies of
SCIENCES • ENGINEERING • MEDICINE

“The committee finds
that the science that
can be addressed by
an EIC is compelling,
fundamental and
timely.”

Finding 1: An EIC can uniquely address three profound questions about nucleons—protons—and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons? —→ Lectures by M. Sievert, A. Stasto



Outline

- Lecture 1: Proton spin decomposition
- Lecture 2: Orbital angular momentum in QCD
- Lecture 3: Proton mass and trace anomaly

Notations

Metric $\eta^{\mu\nu}, g^{\mu\nu} = (+1, -1, -1, -1)$ $\mu, \nu = 0, 1, 2, 3$ $i, j = 1, 2$ (transverse)

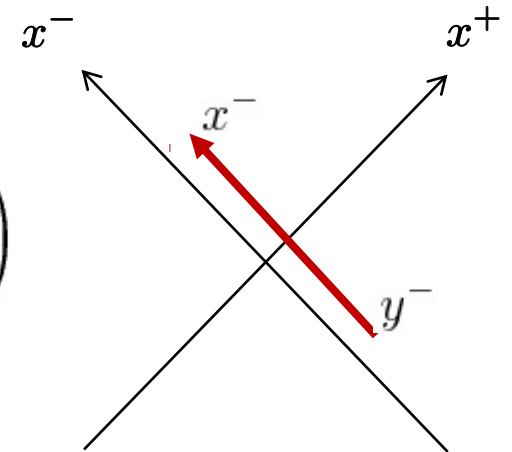
Light-cone coordinates $P^\pm = \frac{1}{\sqrt{2}}(P^0 \pm P^3)$ $g^{+-} = 1$ $P^+ = P_-$

$$P \cdot x = P^+ x^- + P^- x^+ - P_\perp^i x_\perp^i$$

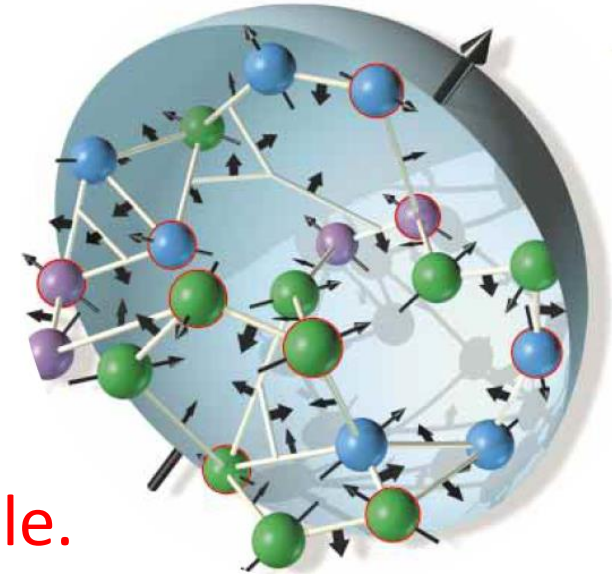
γ_5 , antisymmetric tensor $\gamma_5 = -i\gamma^0\gamma^1\gamma^2\gamma^3$ $\epsilon^{0123} = +1$ $\epsilon^{12} = \epsilon_{12} = +1$
 (opposite sign from Peskin) (same sign as Peskin)

Coupling constant $D^\mu = \partial^\mu + igA^\mu$

Wilson line $W[x^-, y^-, x_\perp] = P \exp \left(-ig \int_{y^-}^{x^-} dz^- A^+(z^-, x_\perp) \right)$



The proton spin problem



The proton has spin $\frac{1}{2}$.

The proton is not an elementary particle.

➔

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L^q + L^g$$

Quarks' helicity Gluons' helicity Orbital angular Momentum (OAM)

Note: each term is not RG invariant. The decomposition is scale and scheme dependent.

Quark helicity: definition

Proton single-particle state,

$$2\Delta\Sigma S^\mu = \sum_f \langle PS | \bar{\psi}_f \gamma_5 \gamma^\mu \psi_f | PS \rangle$$

spin 4-vector

$$S^\mu = \frac{1}{2} \bar{u}(PS) \gamma_5 \gamma^\mu u(PS)$$

$$S^\mu \approx \pm P^\mu \text{ as } P^+ \rightarrow \infty$$

Exercise : show that

$$P^\mu S_\mu = 0$$

$$S^2 = -M^2$$

$$u(P, S) \bar{u}(P, S) = (\not{P} + M) \frac{1 - \gamma_5 \not{S}}{2}$$

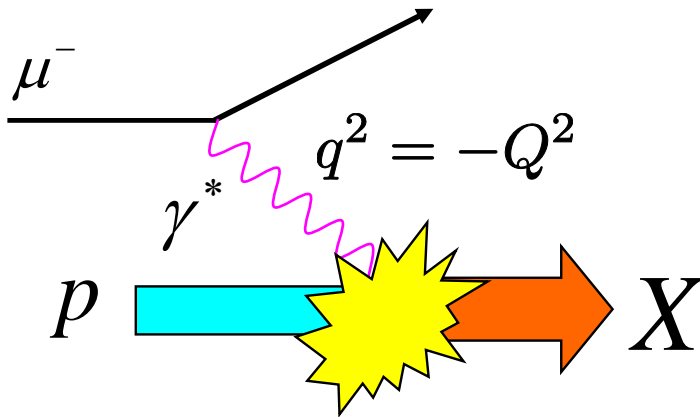
In the quark model,

$$|P, +\rangle = \frac{1}{3\sqrt{2}} \left\{ |uud\rangle (2|++-\rangle - |+-+\rangle - |-++\rangle) + perm \right\}$$

$$\frac{1}{2} = \frac{1}{2} + \frac{1}{2} - \frac{1}{2} \quad \longrightarrow \quad \Delta\Sigma = 1$$

With relativistic effects, $\Delta\Sigma \approx 0.7$

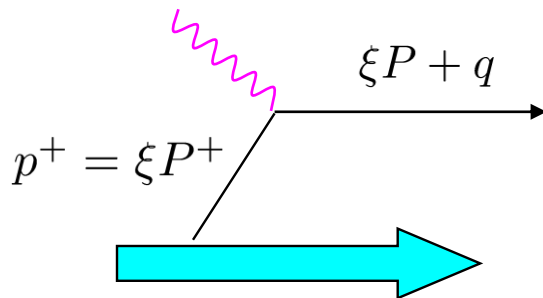
Deep inelastic scattering



Bjorken variable

$$\begin{aligned}
 x &= \frac{Q^2}{2P \cdot q} = \frac{Q^2}{(P + q)^2 + Q^2 - m_p^2} \\
 &= \frac{Q^2}{Q^2 + m_X^2 - m_p^2} \\
 &\sim \frac{Q^2}{s} \quad (x \ll 1)
 \end{aligned}$$

Physical meaning of \mathcal{X} : momentum fraction carried by the struck parton



$$(\xi P + q)^2 = \xi^2 m_p^2 + 2\xi P \cdot q - Q^2 = 0$$

$$\xi \approx \frac{Q^2}{2Pq} = x$$

The g_1 structure function

Unpolarized

$$\text{Im} \frac{i}{2\pi} \int d^4 y e^{iqy} \langle PS | T \{ J^\mu(y) J^\nu(0) \} | PS \rangle \Big|_{sym}$$
$$\left(-\eta^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) \frac{F_2(x, Q^2)}{P \cdot q}$$

Polarized

$$\text{Im} \frac{1}{2\pi} \int d^4 y e^{iqy} \langle PS | T \{ J^\mu(y) J^\nu(0) \} | PS \rangle \Big|_{asym}$$
$$= \epsilon^{\mu\nu\alpha\beta} q_\alpha \left(\frac{S_\beta}{P \cdot q} (\underline{g_1(x, Q^2)} + g_2(x, Q^2)) - \frac{q \cdot S P_\beta}{(P \cdot q)^2} g_2(x, Q^2) \right)$$

Exercise

Forward Compton amplitude $q^0 > 0$

$$T^{\mu\nu} = \frac{i}{2\pi} \int d^4x e^{iq \cdot x} \langle PS | T \{ J^\mu(x) J^\nu(0) \} | PS \rangle = T_S^{\mu\nu} + iT_A^{\mu\nu}$$

Hadronic tensor

$$W^{\mu\nu} = \frac{1}{2\pi} \int d^4x e^{iq \cdot x} \langle PS | [J^\mu(x), J^\nu(0)] | PS \rangle = W_S^{\mu\nu} + iW_A^{\mu\nu}$$

symmetric antisymmetric

Show that

$$2\text{Im}T_S^{\mu\nu} = W_S^{\mu\nu}$$

$$2\text{Im}T_A^{\mu\nu} = W_A^{\mu\nu}$$

Relation between $g_1(x)$ and polarized quark distribution function

Operator product expansion c.f., Peskin (18.125)

$$\int d^4y e^{iqy} \underbrace{\bar{\psi} \gamma^\mu \psi(y) \bar{\psi} \gamma^\nu \psi(0)} = \bar{\psi} i(i\partial_\alpha + q_\alpha) \gamma^\mu \gamma^\alpha \gamma^\nu \frac{-1}{Q^2} \sum_n \left(\frac{2iq \cdot \partial}{Q^2} \right)^n \psi(0) \\ + (\mu \rightarrow \nu, q \rightarrow -q) + \dots$$

Pick up the antisymmetric part

$$\gamma^\mu \gamma^\alpha \gamma^\nu = g^{\mu\alpha} \gamma^\nu - g^{\mu\nu} \gamma^\alpha + g^{\alpha\nu} \gamma^\mu + i\epsilon^{\mu\alpha\nu\rho} \gamma_5 \gamma_\rho$$

$$\int d^4y e^{iqy} \bar{\psi} \gamma^\mu \psi(y) \bar{\psi} \gamma^\nu \psi(0) \\ = 2\epsilon^{\mu\nu\lambda\alpha} q_\alpha \sum_n^{\text{even}} \frac{2q_{\mu_1} \cdots 2q_{\mu_n}}{Q^{2(n+1)}} \bar{\psi} \gamma_5 \gamma_\lambda i\partial^{\mu_1} \cdots i\partial^{\mu_n} \psi(0) + \dots$$

When $Q^2 \rightarrow \infty$, naively, the most important operators are those with smallest dimensions
(smallest n)

Twist expansion

However, in the proton matrix element, $i\partial^\mu \rightarrow P^\mu$, and $\frac{2P \cdot q}{Q^2} = \frac{1}{x}$ is not small in the **Bjorken limit** $Q^2 \rightarrow \infty$, $x = \text{const.}$

The most important operators are those with lowest **twist**

$$(\text{twist}) = (\text{dimension}) - (\text{spin})$$

Twist-2 polarized quark operators
(symmetrized in all Lorentz indices and trace subtracted)

$$\bar{\psi} \gamma_5 \gamma^{(\lambda} i D^{\mu_1} i D^{\mu_2} \dots i D^{\mu_n)} \psi - (\text{traces})$$

Convergent only when

$$|x| > 1$$

$$g_1(x) = \frac{1}{2\pi S^+} \text{Im} \sum_{n=0}^{\text{even}} \frac{1}{(P^+)^n x^{n+1}} \langle PS | \bar{\psi} \gamma_5 \gamma^+ (iD^+)^n \psi | PS \rangle + \dots$$

$$= \frac{1}{2\pi S^+} \text{Im} \sum_{n=0}^{\text{even}} \frac{1}{x^{n+1}} \int \frac{dk^+}{2\pi} \left(\frac{k^+}{P^+} \right)^n \int dx^- e^{ik^+ x^-} \langle PS | \bar{\psi}(0) \gamma_5 \gamma^+ W[0, x^-] \psi(x^-) | PS \rangle$$

Wilson line

$$= \frac{P^+}{4\pi S^+} \text{Im} \int \frac{dk^+}{2\pi} \left(\frac{1}{xP^+ + k^+} + \frac{1}{xP^+ - k^+} \right) \int dx^- e^{ik^+ x^-} \langle PS | \bar{\psi}(0) \gamma_5 \gamma^+ W[0, x^-] \psi(x^-) | PS \rangle$$



Analytic continuation from

$$|x| > 1 \text{ to } 1 > x > 0$$

$$x \rightarrow x - i\epsilon$$

$$= \frac{P^+}{8\pi S^+} \int dx^- e^{ixP^+ x^-} \langle PS | \bar{\psi}(0) \gamma_5 \gamma^+ W[0, x^-] \psi(x^-) | PS \rangle + (x \rightarrow -x) + \dots$$

$$= \frac{1}{2} (\Delta q(x) + \Delta \bar{q}(x)) + \dots$$

Polarized quark and antiquark distributions

Digression: $g_2(x)$ structure function

Return to OPE (page 10)

$$\int d^4x e^{iqx} \bar{\psi} \gamma^\mu \psi(x) \bar{\psi} \gamma^\nu \psi(0) = \frac{2}{Q^2} \epsilon^{\mu\nu\lambda\alpha} q_\alpha \sum_n^{\text{even}} \bar{\psi} \gamma_5 \gamma_\lambda \frac{i\partial_{\mu_1} \cdots i\partial_{\mu_n} 2q^{\mu_1} \cdots 2q^{\mu_n}}{Q^{2n}} \psi(0) + \cdots$$

Anti-symmetrize in λ and $\mu_1, \mu_2, \dots \rightarrow$ One twist higher (twist-3)

$g_2(x)$ is a mixture of twist-2 and twist-3 contributions

$$g_2(x) = \underbrace{-g_1(x) + \int_x^1 \frac{dz}{x} g_1(z)}_{\text{Wandzura-Wilczek part}} + \underbrace{\bar{g}_2(x)}_{\text{'Genuine twist-3' part (quark-gluon correlation)}}$$

$$\int_0^1 dx x^2 \bar{g}_2(x) = \frac{d_2}{6} \quad \langle PS | \bar{\psi} \gamma^+ g F^{+i} \psi | PS \rangle = 2d_2 (P^+)^2 \epsilon^{ij} S_j$$

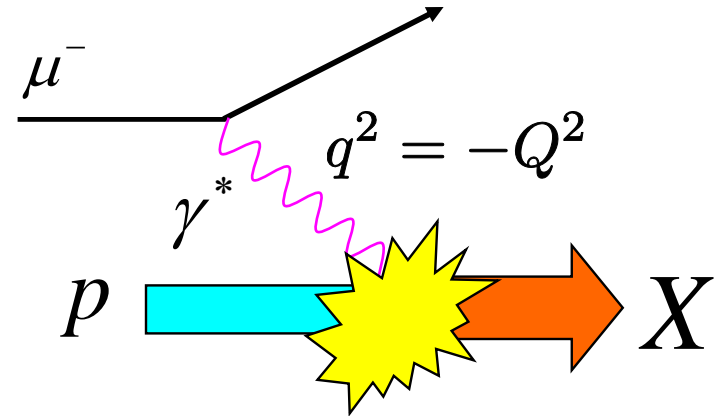
Shuryak, Vainshtein (1982)

$\Delta\Sigma$ from polarized DIS

Longitudinal double spin asymmetry in polarized DIS

$$A_{LL} = \frac{\mu^\uparrow p^\downarrow - \mu^\uparrow p^\uparrow}{\mu^\uparrow p^\uparrow + \mu^\uparrow p^\downarrow}$$

$$\sim \left(1 + \frac{\sigma_L}{\sigma_T}\right) \frac{2xg_1}{F_2}$$



$$\int_0^1 dx g_1(x, Q^2) = \frac{1}{2} \sum_f e_f^2 \int_0^1 dx (\Delta q_f(x, Q^2) + \Delta \bar{q}_f(x, Q^2)) + \dots$$

Flavor SU(3) decomposition

$$\sum_f e_f^2 = \begin{pmatrix} \frac{4}{9} & & \\ & \frac{1}{9} & \\ & & \frac{1}{9} \end{pmatrix} = \frac{2}{9} + \frac{1}{6} \begin{pmatrix} 1 & & \\ & -1 & \\ & & 0 \end{pmatrix} + \frac{1}{18} \begin{pmatrix} 1 & & \\ & 1 & \\ & & -2 \end{pmatrix}$$

$$\int_0^1 dx g_1(x) = \frac{1}{9} \overbrace{(\Delta u + \Delta d + \Delta s)}^{\Delta\Sigma}$$

$$+ \frac{1}{12} (\Delta u - \Delta d)$$

$$+ \frac{1}{36} (\Delta u + \Delta d - 2\Delta s) + \mathcal{O}(\alpha_s)$$

isovector axial charge

$${}_N \langle P | \bar{\psi} \gamma_5 \gamma^\mu t^3 \psi | P \rangle_N = g_A \bar{u}_N(P) \gamma_5 \gamma^\mu t^3 u_N(P)$$

nucleon doublet

$$\Delta u - \Delta d = g_A \approx 1.2$$

octet axial charge $g_A^{(8)}$
 Related by flavor SU(3) symmetry to
 the semileptonic decay amplitude

$$\Xi^- \rightarrow \Lambda e^- \nu$$

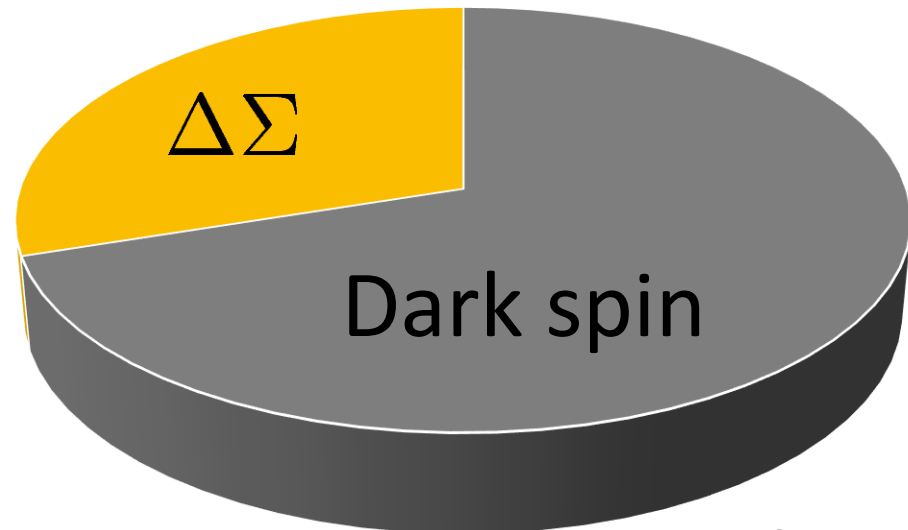
'Spin crisis'

In 1987, EMC (European Muon Collaboration) announced a very small value of the quark helicity contribution

$$\Delta\Sigma = 0.12 \pm 0.09 \pm 0.14 \text{ !?}$$

Recent value from NLO QCD
global analysis

$$\Delta\Sigma = 0.25 \sim 0.3$$



Gluon polarization ΔG

$$\Delta G = \int_0^1 dx \Delta G(x)$$

Polarized gluon distribution

$$\Delta G(x) = \frac{i}{xS^+} \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle PS | F^{+\alpha}(0) \tilde{F}_\alpha^+(y^-) | PS \rangle$$

$$\epsilon_{R/L}^\mu = \frac{-1}{\sqrt{2}}(0, \pm 1, i, 0)$$

$$iF^{+i}\tilde{F}_i^+ = (F^{+R})^\dagger F^{+R} - (F^{+L})^\dagger F^{+L}$$

$$\int_0^1 dx \Delta G(x) = -\frac{1}{2S^+} \int dy^- \theta(y^-) \langle PS | F^{+\alpha}(0) \tilde{F}_\alpha^+(y^-) | PS \rangle$$

Need to specify the prescription of the pole $1/x$

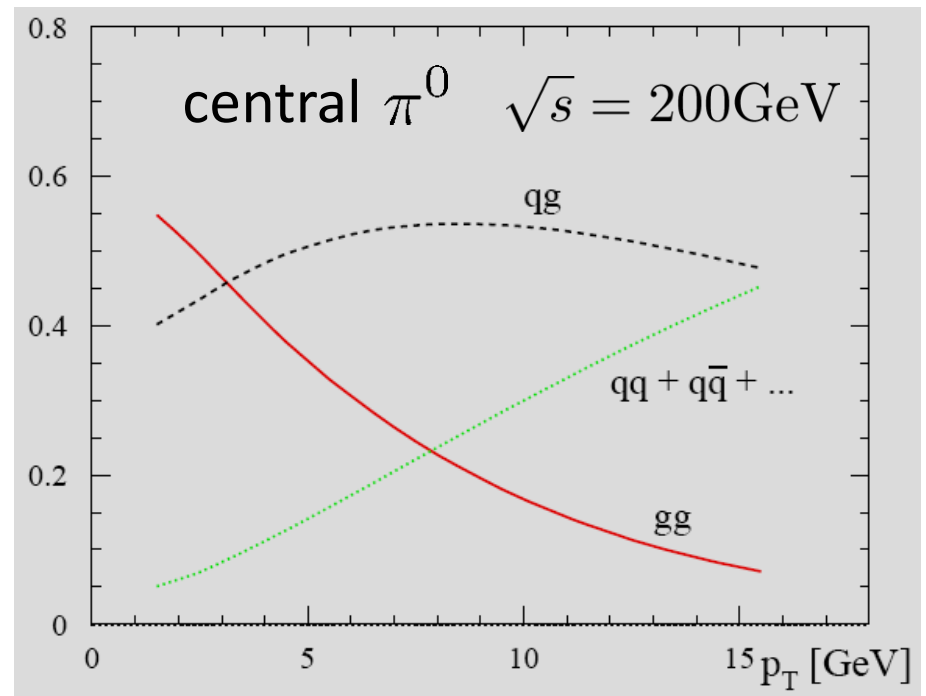
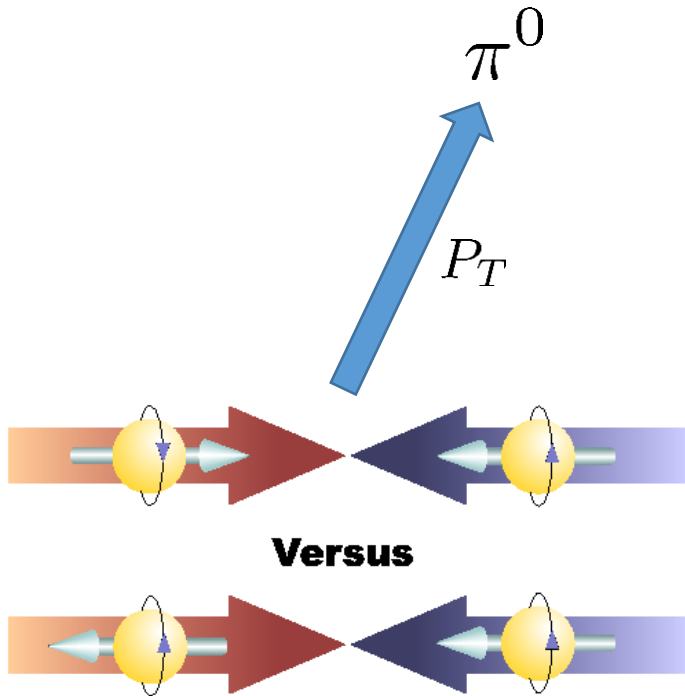
Non-local, even after taking a moment.

Determination of ΔG

Longitudinal double spin asymmetry in pp

$$A_{LL} = \frac{d\sigma^{++} - d\sigma^{+-}}{d\sigma^{++} + d\sigma^{+-}}$$

$$\propto \sum_{a,b} \Delta f_a \otimes \Delta f_b(x) \otimes \Delta\sigma_{ab}$$



Evidence of nonzero ΔG

DeFlorian, Sassot, Stratmann, Vogelsang (2014)

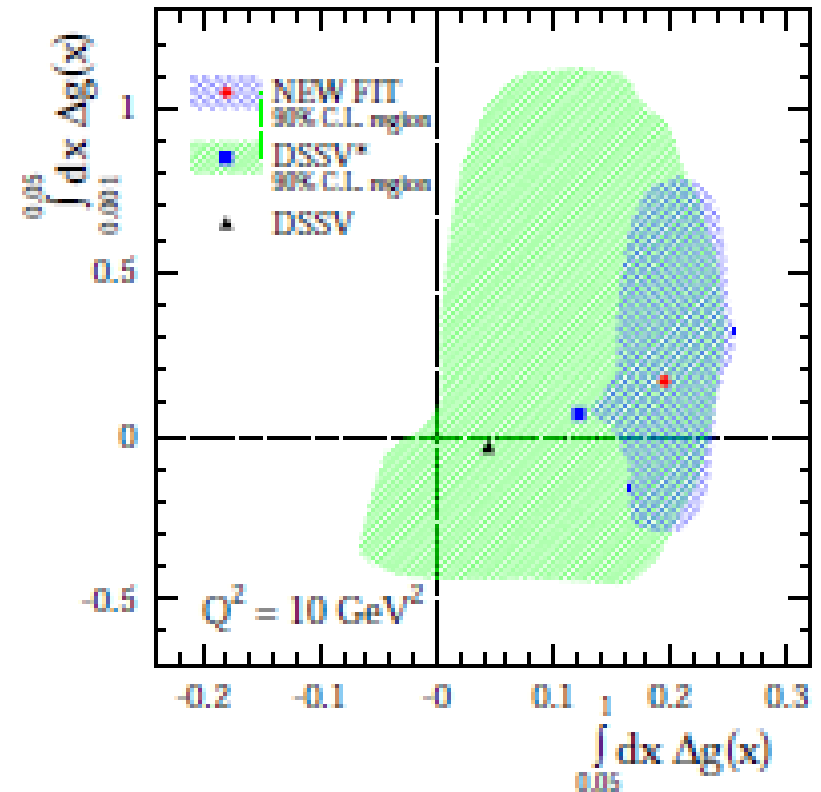
Result from the NLO global analysis
after the RHIC 200 GeV pp data

$$\int_{0.05}^1 dx \Delta G(x, Q^2) \approx 0.2 \pm_{0.07}^{0.06}$$

$(Q^2 = 10 \text{ GeV}^2)$

HUGE uncertainty from the small-x region

→ RHIC 510 GeV,
Electron-Ion Collider



QCD angular momentum tensor

QCD Lagrangian \rightarrow Lorentz invariant $x^\mu \rightarrow x^\mu + \omega^{\mu\nu} x_\nu$

$$\delta\psi = -\omega^{\mu\nu} \left(\frac{1}{2}(x_\nu \partial_\mu - x_\mu \partial_\nu)\psi - \frac{1}{8}[\gamma_\mu, \gamma_\nu]\psi \right)$$

\rightarrow Noether current $\partial_\mu M_{can}^{\mu\nu\lambda} = 0$

QCD angular momentum tensor

(Exercise: derive this)

$$M_{can}^{\mu\nu\lambda} = x^\nu T_{can}^{\mu\lambda} - x^\lambda T_{can}^{\mu\nu} - \frac{1}{2} \epsilon^{\mu\nu\lambda\rho} \bar{\psi} \gamma_5 \gamma_\rho \psi + F^{\mu\lambda} A^\nu - F^{\mu\nu} A^\lambda$$

quark helicity

gluon helicity

canonical energy momentum tensor

$$T_{can}^{\mu\nu} = \bar{\psi} i \gamma^\mu \overleftrightarrow{\partial}^\nu \psi - F^{\mu\alpha} \partial^\nu A^\alpha - g^{\mu\nu} \mathcal{L}$$

\rightarrow Quark OAM

\rightarrow Gluon OAM

Jaffe-Manohar decomposition

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L_{can}^q + L_{can}^g$$

Based on the **canonical** angular momentum tensor $M_{can}^{\mu\nu\lambda}$

take $\mu\nu\lambda = +12$

Operators **NOT** gauge invariant.

$$\Delta G \sim \epsilon^{ij} F^{+i} A^j$$

$$L_{can}^q \sim \bar{\psi} x \times i \partial \psi$$

$$L_{can}^g \sim F x \times \partial A$$

Default gauge: light-cone gauge $A^+ = 0$

Improved (Belinfante) energy momentum tensor

Write
$$M_{can}^{\mu\nu\lambda} = x^\nu T_{can}^{\mu\lambda} - x^\lambda T_{can}^{\mu\nu} + H^{\mu\nu\lambda}$$

Define
$$\tilde{T}^{\mu\nu} = T_{can}^{\mu\nu} + \partial_\rho G^{\rho\mu\nu} \quad \leftarrow \text{One can add a total derivative.}$$

where
$$G^{\rho\mu\nu} = \frac{1}{2}(H^{\rho\mu\nu} - H^{\mu\rho\nu} - H^{\nu\rho\mu})$$

Exercise: Show that $\tilde{T}^{\mu\nu}$ is symmetric and conserved.

Exercise: Show that in QCD,
$$\begin{aligned} \tilde{T}^{\mu\nu} &= \bar{\psi} i \gamma^{(\mu} \overleftrightarrow{D}^{\nu)} \psi - F^{\mu\rho} F^\nu{}_\rho - g^{\mu\nu} \mathcal{L} \\ &= \tilde{T}_q^{\mu\nu} + \tilde{T}_g^{\mu\nu} \end{aligned}$$

$$\tilde{M}^{\mu\nu\lambda} = x^\nu \tilde{T}^{\mu\lambda} - x^\lambda \tilde{T}^{\mu\nu}$$

Hint: A useful identity

$$\overleftrightarrow{D}^\mu = \frac{D^\mu - \overleftarrow{D}^\mu}{2}$$

From the Dirac equation $(\not{D} + iM)\psi = \bar{\psi}(\overleftarrow{\not{D}} - iM) = 0$,

$$\overleftarrow{D}^\mu = \overleftarrow{\partial}^\mu - igA^\mu$$

$$\begin{aligned} 0 &= \bar{\psi}\gamma^\mu\gamma^\nu(\not{D} + iM)\psi - \bar{\psi}(\overleftarrow{\not{D}} - iM)\gamma^\nu\gamma^\mu\psi \\ &= \bar{\psi}(g^{\mu\nu}\gamma^\rho + g^{\nu\rho}\gamma^\mu - g^{\mu\rho}\gamma^\nu + i\epsilon^{\mu\nu\rho\sigma}\gamma_5\gamma_\sigma)D_\rho\psi \\ &\quad - \bar{\psi}\overleftarrow{D}_\rho(g^{\rho\nu}\gamma^\mu + g^{\nu\mu}\gamma^\rho - g^{\rho\mu}\gamma^\nu + i\epsilon^{\rho\nu\mu\sigma}\gamma_5\gamma_\sigma)\psi + 2iMg^{\mu\nu}\bar{\psi}\psi \\ &= 2\bar{\psi}(\gamma^\mu\overleftrightarrow{D}^\nu - \gamma^\nu\overleftrightarrow{D}^\mu)\psi + i\epsilon^{\rho\mu\nu\sigma}\partial_\rho(\bar{\psi}\gamma_5\gamma_\sigma\psi) \end{aligned}$$

Ji decomposition (1997)

$$\begin{aligned}
 \langle P | J_{q,g}^z | P \rangle &= \frac{1}{V} \langle P | \epsilon^{ij} \int d^3x x^i T_{q,g}^{0j}(x) | P \rangle \\
 &= \frac{1}{V} \lim_{P' \rightarrow P} \langle P' | \epsilon^{ij} \int d^3x x^i T_{q,g}^{0j}(x) | P \rangle & \hat{O}(x) = e^{i\hat{P}x} \hat{O}(0) e^{-i\hat{P}x} \\
 &= -i \lim_{\Delta \rightarrow 0} \epsilon^{ij} \frac{\partial}{\partial \Delta^i} \langle P' | T_{q,g}^{0j}(0) | P \rangle & \Delta = P' - P
 \end{aligned}$$

Gravitational form factors

$$\langle P' S | T_{q,g}^{\mu\nu} | P S \rangle = \bar{u}(P' S) \left[A_{q,g} \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g} \frac{\bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_\alpha}{2M} + \frac{D_{q,g}}{4} \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{M} + \bar{C}_{q,g} M g^{\mu\nu} \right] u(P S)$$

$$A_q = \int_0^1 dx x (q(x) + \bar{q}(x))$$

$$A_g = \int_0^1 dx x g(x)$$

'anomalous
gravitomagnetic
moment'

The D-term
'pressure' inside
the proton

Related to the
trace anomaly
(→ Lecture 3)

Momentum fraction of
quarks and gluons $\Delta = 0$

$$\rightarrow \frac{1}{2} = \sum_q J_q + J_g \quad J_{q,g} = \frac{1}{2}(A_{q,g} + B_{q,g})$$

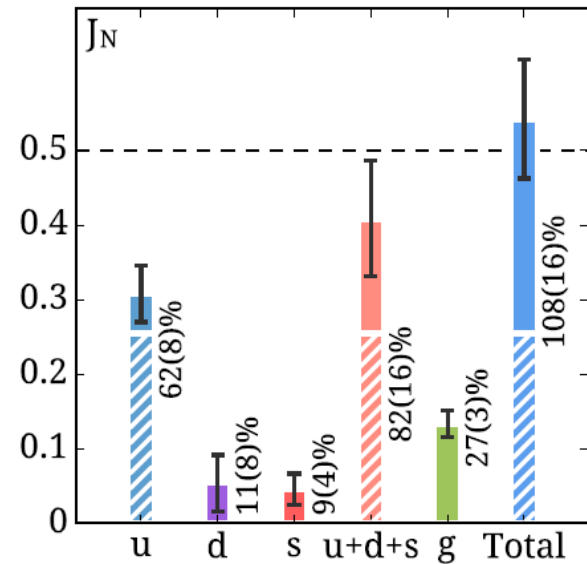
Further decomposition in the quark part possible (but **not** in the gluon part)

$$\bar{\psi} i \gamma^{(\mu} \overleftrightarrow{D}^{\nu)} \psi = \bar{\psi} i \gamma^\mu \overleftrightarrow{D}^\nu \psi - \frac{1}{4} \epsilon^{\mu\nu\rho\sigma} \partial_\rho (\bar{\psi} \gamma_5 \gamma_\sigma \psi) \quad (\text{Exercise: show this})$$

$$J_q = \frac{1}{2} \Delta \Sigma + L_{Ji}^q$$

All the operators involved are local,
gauge invariant

→ calculable on a lattice



Relation to Generalized Parton Distribution (GPD)

GPD definition

non-forward matrix element

$$\begin{aligned}
 & P^+ \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle P' S' | \bar{\psi}(0) \gamma^\mu \psi(y^-) | PS \rangle \\
 &= H_q(x, \Delta) \bar{u}(P' S') \gamma^\mu u(PS) + E_q(x, \Delta) \bar{u}(P' S') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2m} u(PS)
 \end{aligned}$$

Multiply by x and integrate over x .

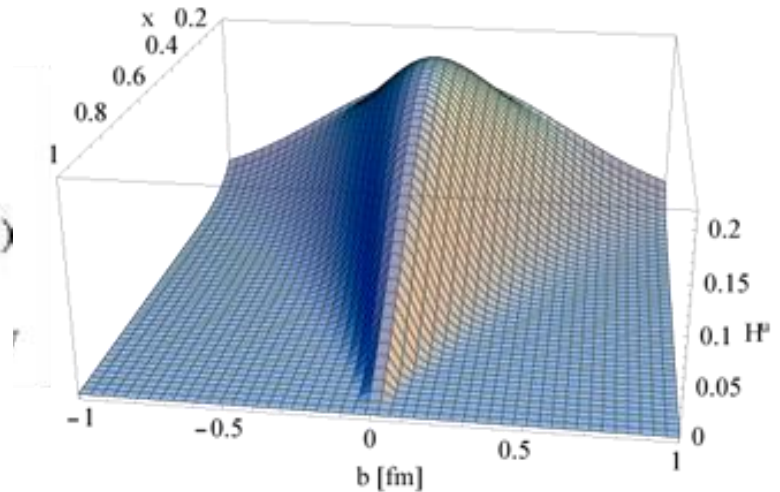
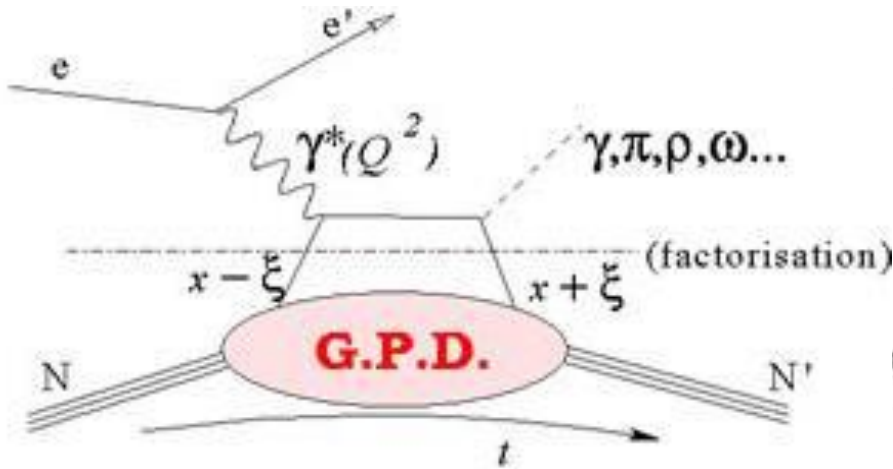
$$\int dx \int \frac{dy^-}{2\pi} x e^{ixP^+y^-} \langle \bar{\psi}(0) \gamma^+ \psi(y^-) \rangle = \langle \bar{\psi} \gamma^+ iD^+ \psi \rangle = \langle T_q^{++} \rangle$$

Ji sum rule

$$J^q = \frac{1}{2} \int dx x (H_q(x) + E_q(x)) \quad J^g = \frac{1}{4} \int dx (H_g(x) + E_g(x))$$

H, E measurable in Deeply Virtual Compton Scattering (DVCS)

Deeply Virtual Compton Scattering (DVCS)



$$\begin{aligned}
 & i \int d^4 y e^{iqy} \langle P' | T \{ J^\mu(y) J^\nu(0) \} | P \rangle \\
 &= -(g^{\mu+} g^{\nu-} + g^{\nu+} g^{\mu-} - g^{\mu\nu}) \int \frac{dx}{2} \left(\frac{1}{x + \xi - i\epsilon} + \frac{1}{x - \xi + i\epsilon} \right) H_q(x, \eta, \Delta) \bar{u}(P') \gamma^+ u(P) + \dots \\
 & \qquad \qquad \qquad \xi = \frac{Q^2}{2P \cdot q}
 \end{aligned}$$

Fourier transform $\Delta_\perp \leftrightarrow b_\perp$ Distribution of partons in impact parameter space

Digression: D-term—the last global unknown

$$\langle P' | T^{ij} | P \rangle \sim (\Delta^i \Delta^k - \delta^{ik} \Delta^2) D(t)$$

$D(t=0)$ is a conserved charge of the nucleon, just like mass and spin!

Fourier transform $\vec{\Delta} \rightarrow \vec{r}$
 can be interpreted as 'radial force'
 inside a nucleon Polyakov, Schweitzer,...

$$T^{ij}(r) = \left(\frac{r^i r^j}{r^2} - \frac{1}{3} \delta^{ij} \right) s(r) + \delta^{ij} p(r)$$

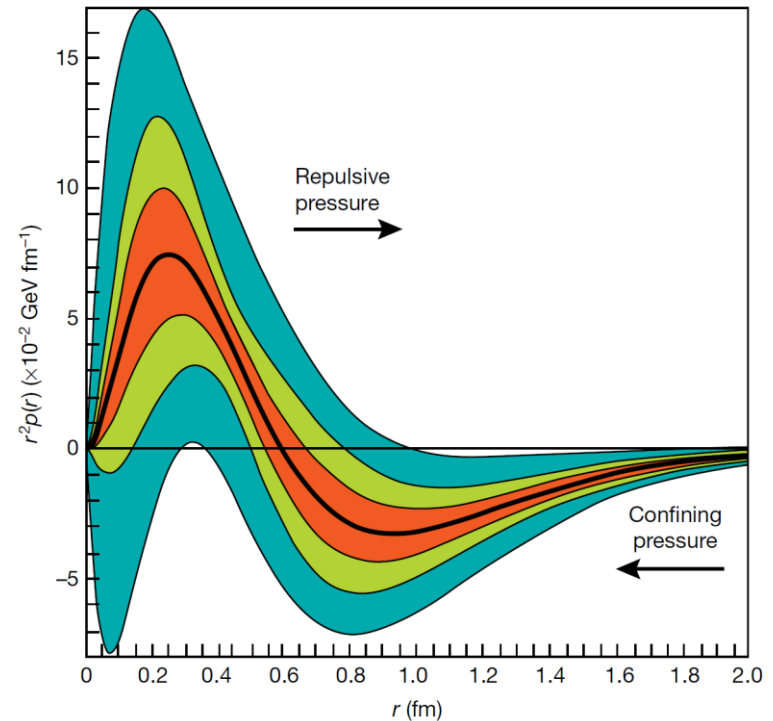
First extraction at Jlab, large model dependence.

Need significant lever-arm in Q^2 to disentangle various moments of GPDs



EIC

Burkert, Elouadrhiri, Girod (Nature, 2018)



Two spin communities divided

measured by PHENIX, STAR, COMPASS, HERMES

Jaffe-Manohar

Nonexistent in Ji's scheme...

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_{can}^q + L_{can}^g$$

common and well-known

not measured yet
not even well-defined?

Ji

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_{Ji}^q + J^g$$

Define rigorously.
Must be related to GPD!

accessible from GPD measured at JLab, COMPASS, HERMES, J-PARC
also calculable in lattice QCD

Gauge invariant completion of JM decomposition

For the gluon helicity, we know how to make it gauge invariant.

Compare $\frac{1}{2S^+} \langle PS | \epsilon^{ij} F^{i+} A^j | PS \rangle = \Delta G = \int_0^1 dx \Delta G(x)$ page 21

with $\Delta G(x) = \frac{i}{xS^+} \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle PS | F^{+\alpha}(0) \tilde{F}_\alpha^+(y^-) | PS \rangle$ page 17

Just replace $A^\mu \rightarrow A_{phys}^\mu$ where

$$A_{phys}^\mu(x) = \frac{1}{D^+} F^{+\mu} = \int_{x^-}^{\infty} dz^- W[x^-, z^-] F^{+\mu}(z^-, x_\perp)$$

Gauge invariant completion of JM decomposition

Chen, Lu, Sun, Wang, Goldman (2008)
YH (2011)

$$\langle PS | \epsilon^{ij} F^{i+} A_{phys}^j | PS \rangle = 2S^+ \Delta G$$

$$\lim_{\Delta \rightarrow 0} \langle P'S | \bar{\psi} \gamma^+ i \overleftrightarrow{D}_{pure}^i \psi | PS \rangle = iS^+ \epsilon^{ij} \Delta_{\perp j} L_{can}^g$$

$$\lim_{\Delta \rightarrow 0} \langle P'S | F^{+\alpha} \overleftrightarrow{D}_{pure}^i A_{\alpha}^{phys} | PS \rangle = -i \epsilon^{ij} \Delta_{\perp j} S^+ L_{can}^g$$

where
(my choice)

$$A_{phys}^{\mu}(x) = \frac{1}{D^+} F^{+\mu} = \int_{x^-}^{\infty} dz^- W[x^-, z^-] F^{+\mu}(z^-, x_{\perp})$$

$$D_{pure}^{\mu} = D^{\mu} - i A_{phys}^{\mu}$$

Lecture 2: OAM and Wigner distribution

Wigner distribution in QM

Uncertainty principle: The position q and momentum p are not simultaneously measured.

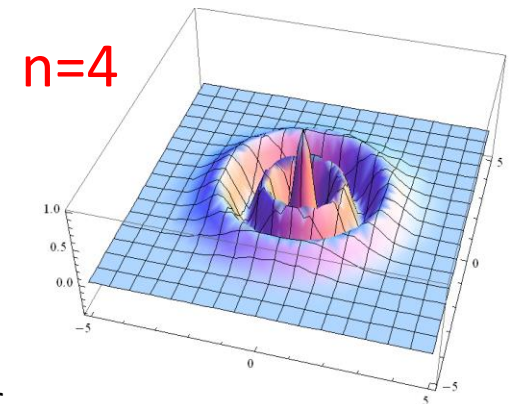
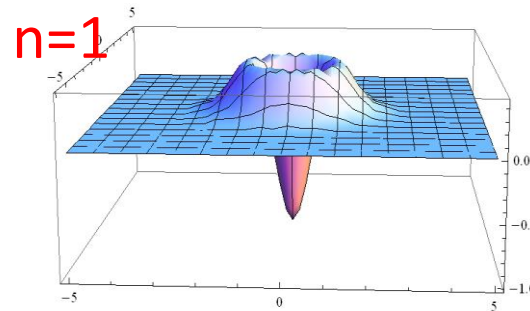
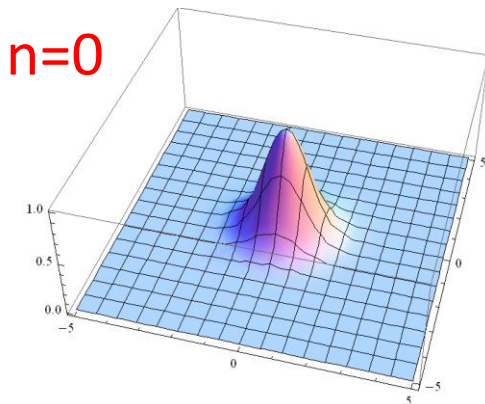
Still one can define a 'phase space distribution' in quantum mechanics

$$f_W(q, p) = \int dx e^{-ipx/\hbar} \langle \psi | q - x/2 \rangle \langle q + x/2 | \psi \rangle$$

Reduces to q and p distributions upon integration

$$\int \frac{dq}{2\pi\hbar} f_W(q, p) = |\langle \psi | p \rangle|^2, \quad \int \frac{dp}{2\pi\hbar} f_W(q, p) = |\langle \psi | q \rangle|^2.$$

Not positive definite, no probabilistic interpretation

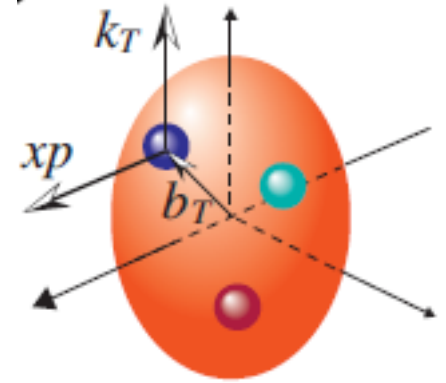


n -th excited state of 1D harmonic oscillator

The QCD Wigner distribution

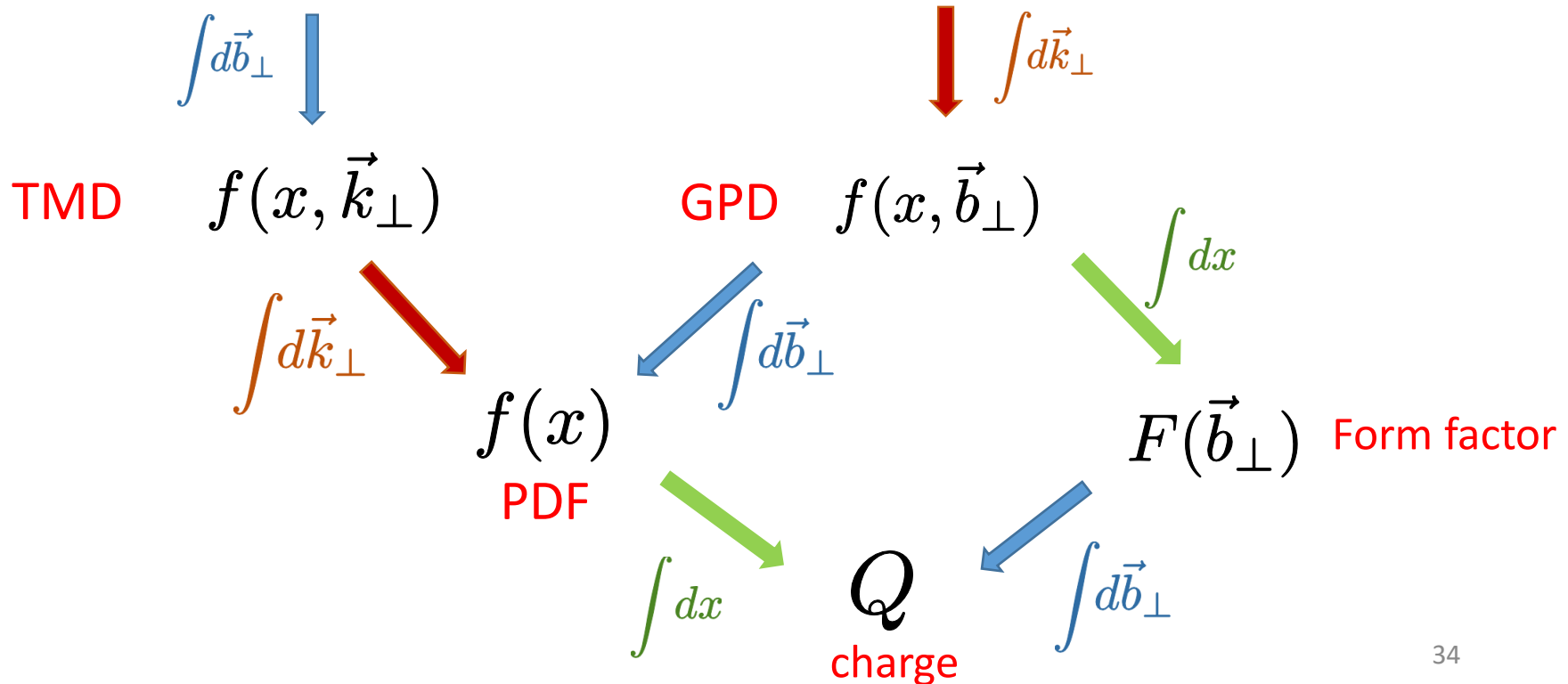
Phase space distribution of partons in QCD—the ‘mother distribution’

Belitsky, Ji, Yuan (2004)



$$W(x, \vec{k}_\perp, \vec{b}_\perp)$$

$$= \int \frac{d^2 \Delta_\perp}{(2\pi)^2} \frac{dz^- d^2 z_\perp}{16\pi^3} e^{ixP^+ z^- - i\vec{k}_\perp \cdot \vec{z}_\perp} \langle P - \frac{\Delta}{2} | \bar{q}(b - z/2) \gamma^+ q(b + z/2) | P + \frac{\Delta}{2} \rangle$$



OAM from the Wigner distribution

Lorce, Pasquini (2011);

YH (2011);

Lorce, Pasquini, Xiong, Yuan (2011)

Define

$$L^q = \int dx \int d^2 b_{\perp} d^2 k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$

Go to the momentum space $b_{\perp} \rightarrow \Delta_{\perp}$ and look for the component

$$W^{q,g} = i \frac{S^+}{P^+} \epsilon^{ij} k_{\perp}^i \Delta_{\perp}^j f^{q,g}(x, k_{\perp}) + \dots$$

Then

$$L^{q,g} = \int dx \int d^2 k_{\perp} k_{\perp}^2 f^{q,g}(x, k_{\perp})$$

Nice, but **which** OAM is this??

Canonical OAM from the light-cone staple Wilson line

YH (2011)

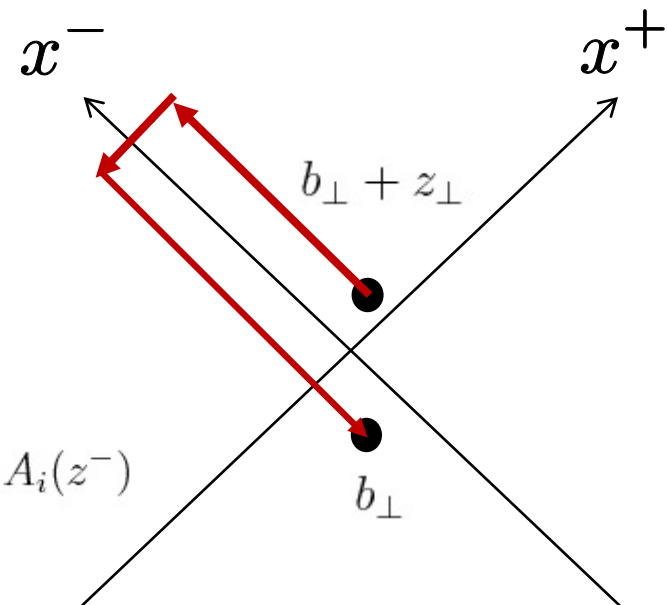
$$\int d^2 k_{\perp} (b_{\perp} \times k_{\perp}) W_{LC}(b_{\perp}, k_{\perp}) = \langle \bar{\psi} b_{\perp} \times i D_{\perp}^{pure} \psi \rangle \quad D_{\perp}^{pure} = D^{\perp} - \frac{i}{D^+} F^{+\perp}$$

$$W_{LC}(b_{\perp}, k_{\perp}) = \int d^2 \Delta_{\perp} d^2 z_{\perp} e^{-i k_{\perp} z_{\perp}} \langle P' | \bar{\psi}(b_{\perp}) \gamma^+ W_{staple} \psi(b_{\perp} + z_{\perp}) | P \rangle$$

Quick proof: $k_{\perp}^i \rightarrow -i \frac{\partial}{\partial z_{\perp}^i}$

$$\frac{\partial}{\partial z_{\perp}^i} W[\infty, z^-, z_{\perp}] = -ig \int dx^- W[\infty, x^-] \underbrace{\frac{\partial}{\partial z_{\perp}^i} A^+(x^-)}_{F^{+i} - D^+ A^i} W[x^-, z^-]$$

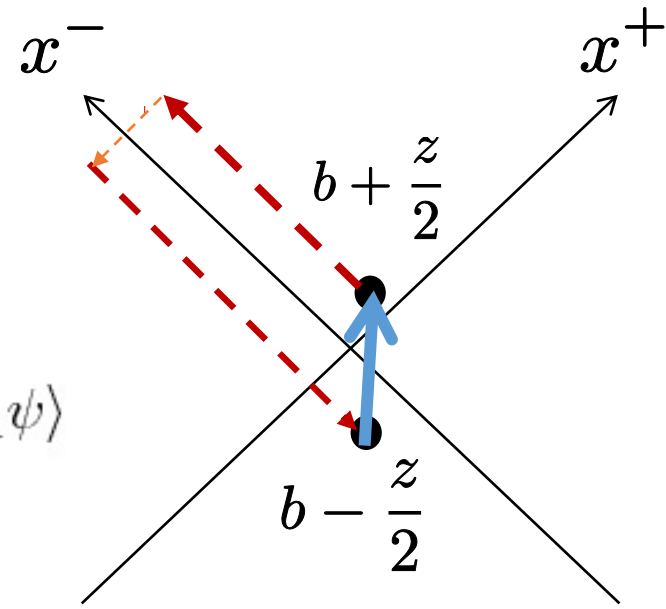
$$= -ig \int dx^- W[\infty, x^-] F^{+i}(x^-) W[x^-, z^-] + ig W[\infty, z^-] A_i(z^-)$$



Kinetic (Ji's) OAM from the straight Wilson line

Ji, Xiong, Yuan (2012)

$$\int d^2k_{\perp} (b_{\perp} \times k_{\perp}) W_{straight}(b_{\perp}, k_{\perp}) = \langle \bar{\psi} b_{\perp} \times iD_{\perp} \psi \rangle$$



The difference: **Potential** OAM

$$L_{pot} \equiv L_{Ji}^q - L_{can}^q = \int dx^- \langle \epsilon^{ij} b^i F^{+j} \rangle$$

torque acting on a quark

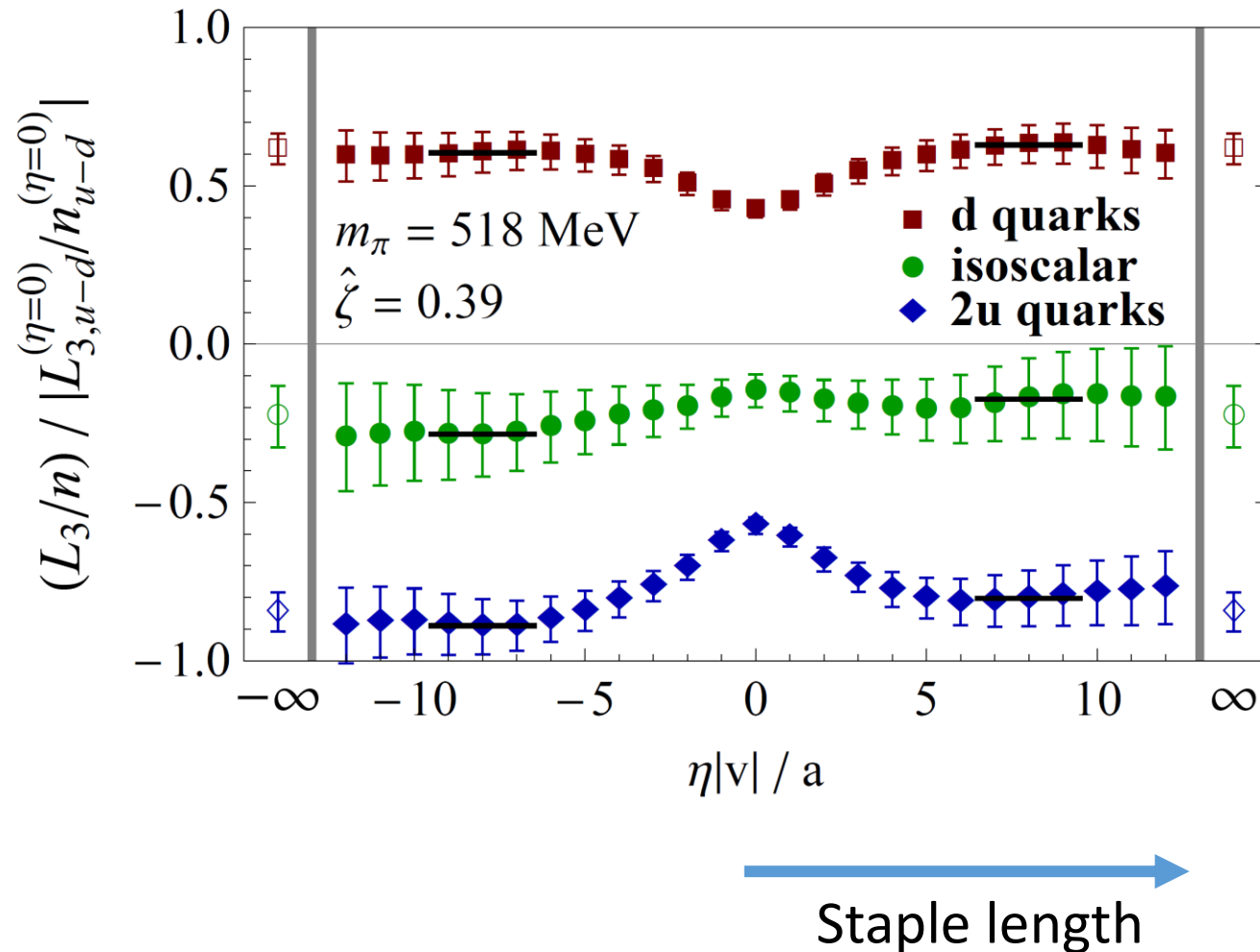
Burkardt (2012)

$$\sqrt{2}F^{+y} = -E^y + B^x = -(\vec{E} + \vec{v} \times \vec{B})^y \quad \vec{v} = (0, 0, -1)$$

Color Lorentz force

Jaffe-Manohar vs. Ji: First lattice result

Engelhardt (2017)




Parton distribution for OAM

Define the x-distribution $L_{can} = \int dx L_{can}(x)$.

Hagler, Schafer (1998)
Harindranath, Kundu (1999)
YH, Yoshida (2012)

$$L_{can}^q = \int dx \int d^2b_{\perp} d^2k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$

 $L_{can}^q(x) = \int d^2b_{\perp} d^2k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(x, \vec{b}_{\perp}, \vec{k}_{\perp})$??

It's not an ordinary (twist-two) parton distribution function.

Must contain the twist-**three** part because the relevant operator is $\sim \bar{\psi} \gamma^+ \vec{\partial}_{\perp} \psi$

Similar to $g_2(x)$ (page 13)

Deconstructing OAM

Ji's OAM

canonical OAM

'potential OAM'

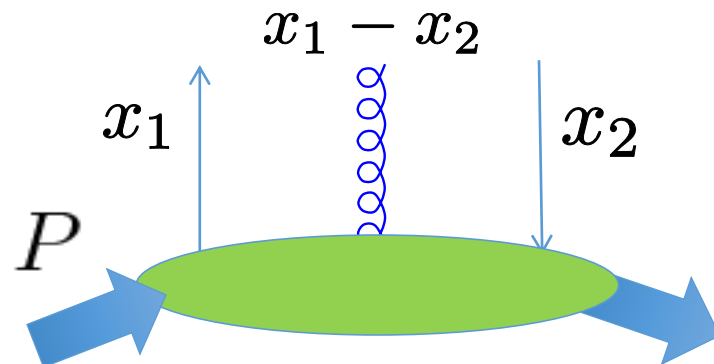
$$\langle \bar{\psi} \vec{b} \times \vec{D} \psi \rangle = \langle \bar{\psi} \vec{b} \times \vec{D}_{pure} \psi \rangle + \langle \bar{\psi} \vec{b} \times ig \vec{A}_{phys} \psi \rangle$$

$$A_{phys}^{\mu} = \frac{1}{D^+} F^{+\mu}$$

For a 3-body operator, it is natural to define the **double** density.

$$\int dz^- dy^- e^{i\frac{1}{2}(x_1+x_2)P^+y^- + i(x_1-x_2)P^+z^-} \langle P'S' | \bar{\psi}(-y^-/2) W D^i(z^-) W \psi(y^-/2) | PS \rangle$$

$$= \epsilon^{ij} \Delta_j S^+ \Phi_D(x_1, x_2)$$



Ji's OAM

canonical OAM

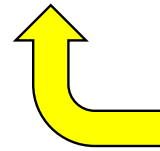
'potential OAM'

$$\langle \bar{\psi} \vec{b} \times \vec{D} \psi \rangle = \langle \bar{\psi} \vec{b} \times \vec{D}_{pure} \psi \rangle + \langle \bar{\psi} \vec{b} \times ig \vec{A}_{phys} \psi \rangle$$



doubly-unintegrate

$$\Phi_D(x_1, x_2) = \delta(x_1 - x_2) L_{can}^q(x_1) + \mathcal{P} \frac{1}{x_1 - x_2} \Phi_F(x_1, x_2)$$



Canonical OAM density

YH, Yoshida (2012)

It coincides with $L_{can}(x)$ defined via the Wigner distribution (page 39)

$$\begin{aligned} & W[x^-, z^-] D^i(z^-) W[z^-, y^-] \\ &= \underline{W[x^-, y^-] D^i(y^-)} + \frac{i}{P^+} \int_{y^-}^{z^-} dw^- W[x^-, w^-] g F^{+i}(w^-) W[w^-, y^-] \end{aligned}$$

No z^- dependence,

$\rightarrow \delta(x_1 - x_2)$

Quark canonical OAM density

YH, Yoshida (2012)

Wandzura-Wilczek part

(part that is related to the twist-2 distribution)

$$\begin{aligned}
 L_{can}^q(x) = & x \int_x^{\epsilon(x)} \frac{dx'}{x'} (H_q(x') + E_q(x')) - x \int_x^{\epsilon(x)} \frac{dx'}{x'^2} \Delta q(x') \\
 & - x \int_x^{\epsilon(x)} dx_1 \int_{-1}^1 dx_2 \Phi_F(x_1, x_2) \mathcal{P} \frac{3x_1 - x_2}{x_1^2 (x_1 - x_2)^2} \\
 & - x \int_x^{\epsilon(x)} dx_1 \int_{-1}^1 dx_2 \tilde{\Phi}_F(x_1, x_2) \mathcal{P} \frac{1}{x_1^2 (x_1 - x_2)}.
 \end{aligned}$$

genuine twist-three part

$$\langle \bar{\psi} F^{+i} \psi \rangle$$

First moment: $J^q = \frac{1}{2} \Delta \Sigma + L_{can}^q + L_{pot}$

The bridge between JM and Ji

Gluon canonical OAM density

$$\begin{aligned}
 L_{can}^g(x) = & \frac{x}{2} \int_x^{\epsilon(x)} \frac{dx'}{x'^2} (H_g(x') + E_g(x')) - x \int_x^{\epsilon(x)} \frac{dx'}{x'^2} \Delta G(x') && \swarrow \text{WW part} \\
 & + 2x \int_x^{\epsilon(x)} \frac{dx'}{x'^3} \int dX \Phi_F(X, x') + 2x \int_x^{\epsilon(x)} dx_1 \int_{-1}^1 dx_2 \tilde{M}_F(x_1, x_2) \mathcal{P} \frac{1}{x_1^3(x_1 - x_2)} \\
 & + 2x \int_x^{\epsilon(x)} dx_1 \int_{-1}^1 dx_2 M_F(x_1, x_2) \mathcal{P} \frac{2x_1 - x_2}{x_1^3(x_1 - x_2)^2} && \swarrow \text{genuine twist-three} \\
 & && \langle F^{+i} F^{+j} F_i^+ \rangle
 \end{aligned}$$

first moment: $J^g + L_{pot} = \Delta G + L_{can}^g$

OAM challenges

Definition OK

A lot of theory progress in recent years.

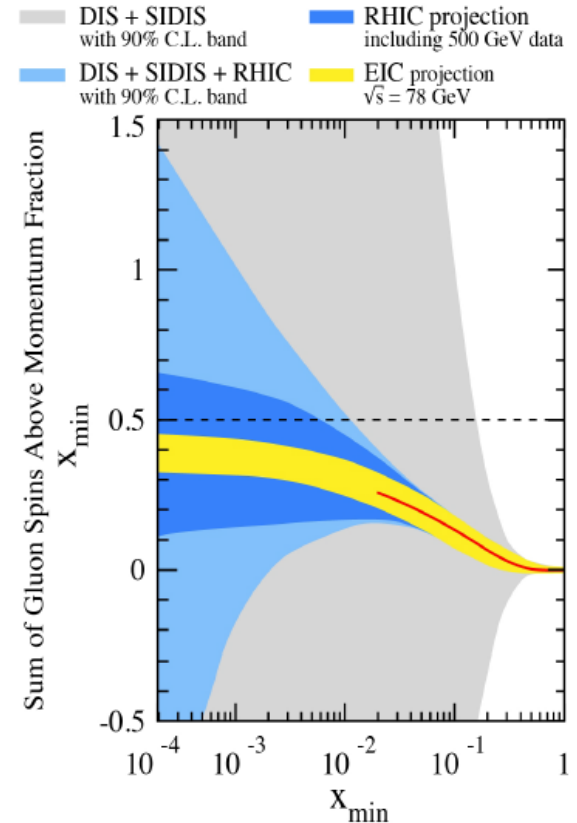
BUT

Is it numerically important?

Huge uncertainty in ΔG in the small- x region,
can easily accommodate the missing spin.

Is it measurable? Nothing known from experiments...

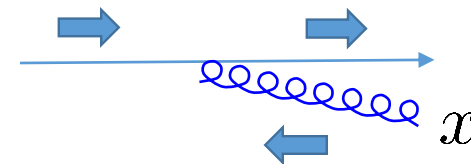
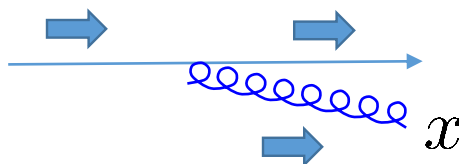
People tend to avoid talking about OAM.



$$\int_{x_{min}}^1 dx \Delta G(x)$$

Spin at small- x ?

Consider $q \rightarrow qg$ splitting



unpolarized splitting function

$$P_{gq}(x) = C_F \left(\frac{1}{x} + \frac{(1-x)^2}{x} \right)$$

$1/x$ enhancement (soft divergence)

polarized splitting function

$$\Delta P_{gq}(x) = C_F \left(\frac{1}{x} - \frac{(1-x)^2}{x} \right) = C_F(2-x)$$

No $1/x$ enhancement,
spin effects are always suppressed by
 $x \sim (\text{energy})^{-1}$

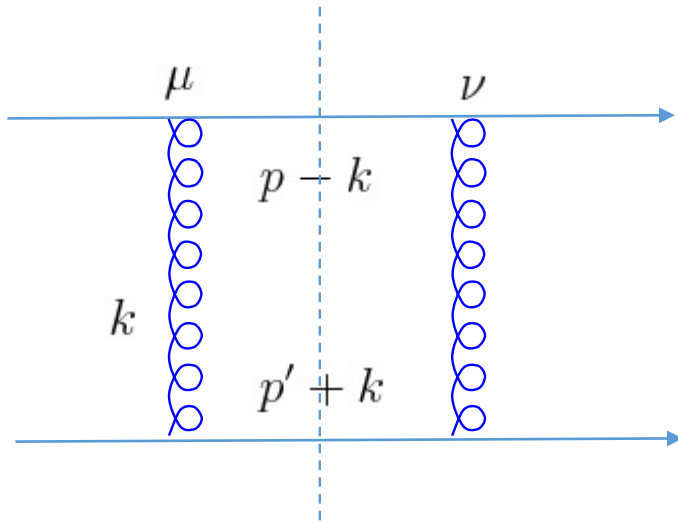
HOWEVER, they can be enhanced by double logarithms

$$(\alpha_s \ln^2 1/x)^n$$

Kirshner, Lipatov (1983)
Bartels, Ermolaev, Ryskin (1996),
Kovchegov, Pitonyak, Sievert (2015~)

Resummation very tough, but can be done!

Unpolarized



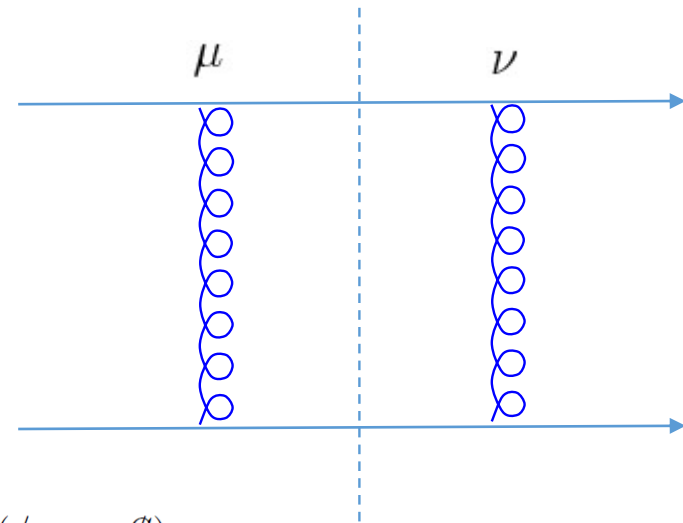
$$u(PS)\bar{u}(PS) = \frac{1}{2}(\not{p} - \gamma_5 \not{S})$$

$$\text{Tr} \not{p} \gamma^\mu (\not{p} - \not{k}) \gamma^\nu \approx 8p^\mu p^\nu$$

$$g^4 \frac{(p \cdot p')^2}{(k^2)^2} \sim \alpha_s^2 \frac{s^2}{k_\perp^4}$$

Neglect k in the numerator
 \rightarrow Eikonal approximation

Polarized



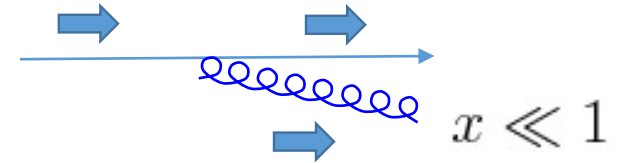
$$\text{Tr} \gamma_5 \not{S} \gamma^\mu (\not{p} - \not{k}) \gamma^\nu \approx -4i \epsilon^{-\mu i \nu} S^+ k_i$$

$$g^4 \frac{p \cdot p' k_\perp^2}{(k^2)^2} \sim \alpha_s^2 \frac{s}{k_\perp^2}$$

Either μ or ν is transverse (sub-eikonal)
 $d^2 k_\perp$ integral logarithmic

OAM at small-x

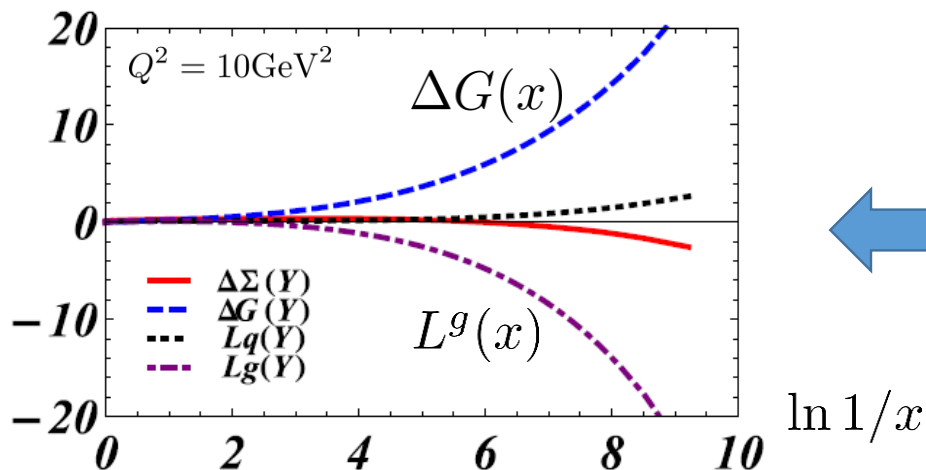
Suppose a quark emits a very soft gluon.
Quark helicity unchanged.



From angular momentum conservation, gluon spin
and OAM have to cancel.

$$\frac{\partial}{\partial \ln Q^2} \Delta G(x) \sim \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} (+2C_F + \dots) \Delta \Sigma(x/z) + \dots$$

$$\frac{\partial}{\partial \ln Q^2} L_g(x) \sim \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} (-2C_F + \dots) \Delta \Sigma(x/z) + \dots$$



Significant cancellation at small-x
from one-loop DGLAP
YH, Yang (2018)

Double logarithmic resummation

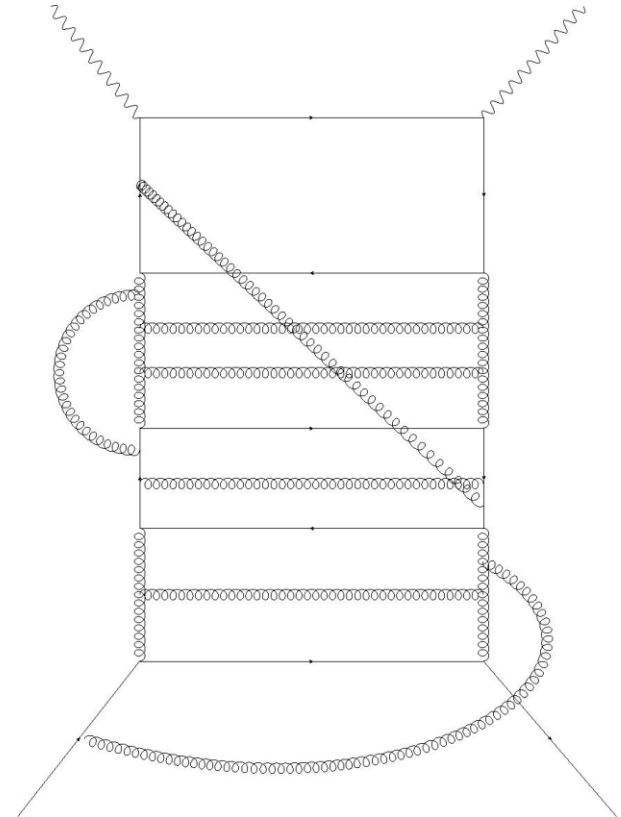
All-loop resummation of small- x double logarithms
 $(\alpha_s \ln^2 1/x)^n$ via **I**nfra**R**ed **E**volution **E**quation

Kirshner, Lipatov (1983)

Bartels, Ermolaev, Ryskin (1996),

$$L_g(x) \approx -\frac{2}{1+\alpha} \Delta G(x) \sim \frac{1}{x^\alpha}$$

Boussarie, YH, Yuan (2019)



Helicity at small- x is more than canceled by OAM.

Resolution of the spin puzzle \rightarrow OAM at medium to large x

Measuring OAM at EIC

Ji, Yuan, Zhao (2016)

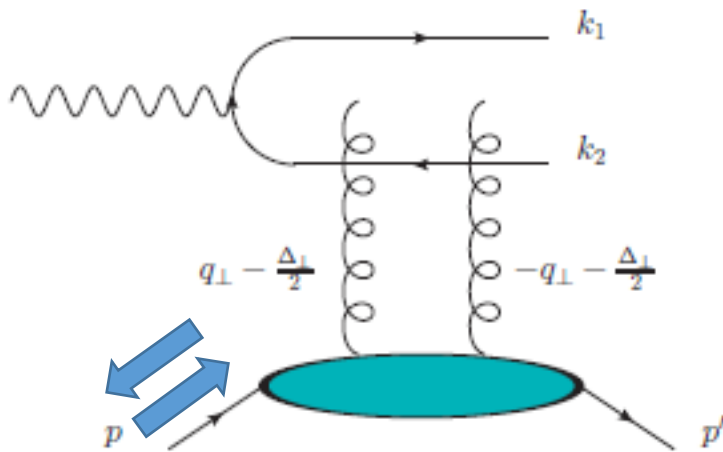
YH, Nakagawa, Xiao, Yuan, Zhao (2016)

Bhattacharya, Metz, Zhou (2017)

Exploit the connection between OAM and the Wigner distribution

$$L^{q,g} = \int dx \int d^2 b_{\perp} d^2 k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^{q,g}(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$

Longitudinal single spin asymmetry in diffractive dijet production



proton recoil momentum

$$\sigma^{\rightarrow} - \sigma^{\leftarrow} \propto \sin(\phi_P - \phi_{\Delta})$$

dijet relative momentum

Need more work, more new ideas!

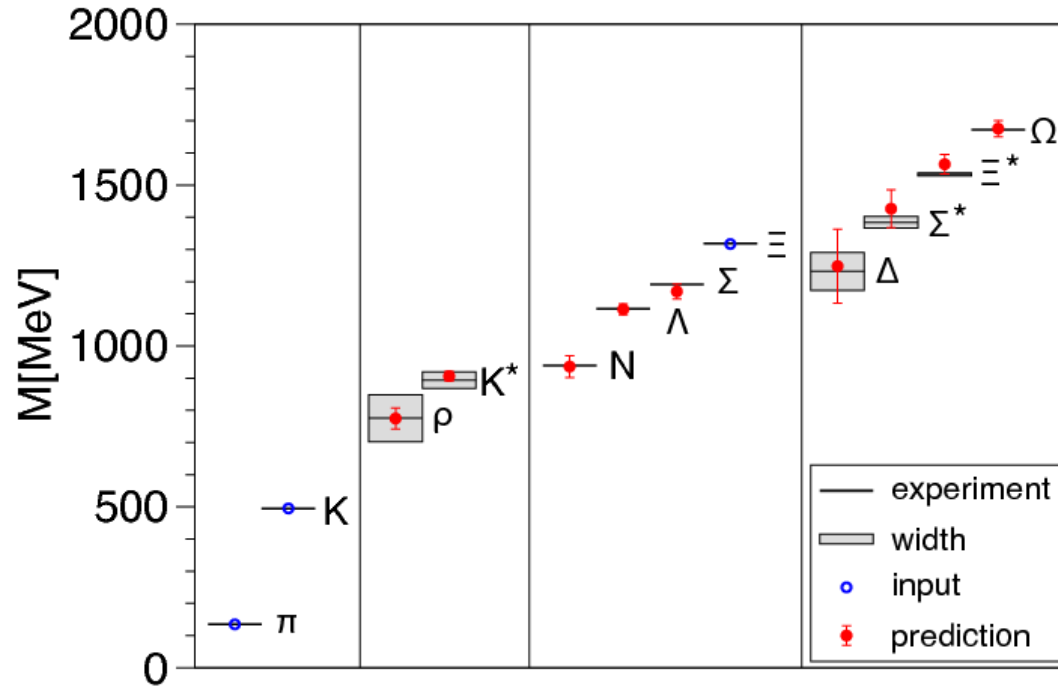
Lecture 3: Proton mass and trace anomaly

Finding 1: An EIC can uniquely address three profound questions about nucleons—protons—and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?

Nucleon mass: What's the issue?

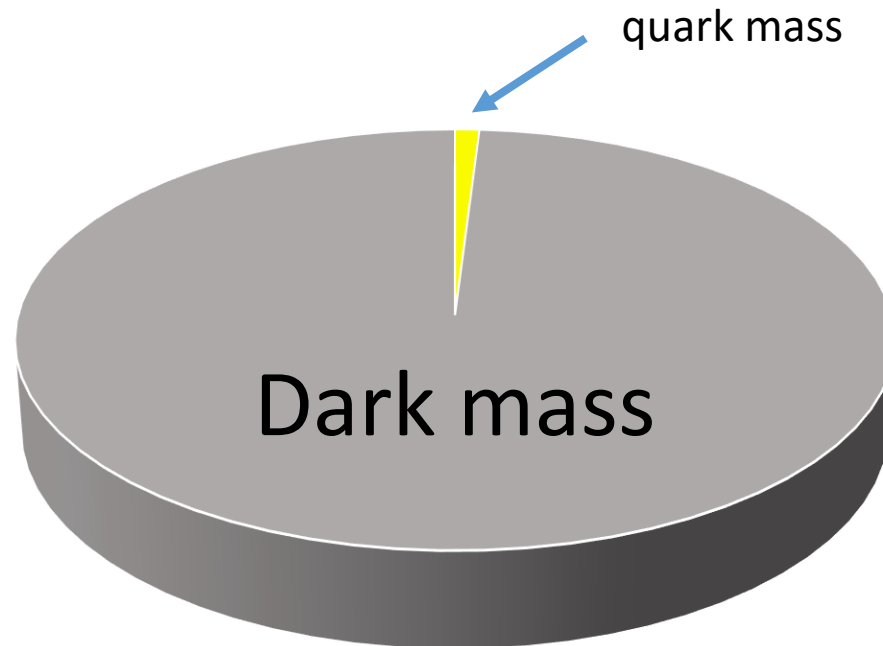
Durr, et al. (2009)



Lattice QCD can reproduce hadron masses very precisely.

Proton mass crisis

u,d quark masses add up to $\sim 10\text{MeV}$, only 1 % of the proton mass!



Higgs mechanism explains quark masses, but not hadron masses!

The trace anomaly

Approximate conformal symmetry of the Lagrangian explicitly broken by the quantum effects.

$$T_{\mu}^{\mu} = \frac{\beta(g)}{2g} F^2 + m(1 + \gamma_m(g)) \bar{q}q$$

Collins, Duncan, Joglekar (1977)
N.K. Nielsen (1977)

$$\beta(g) = \frac{\partial g(\mu)}{\partial \ln \mu}$$

Beta-function

$$\gamma_m(g) = -\frac{1}{m} \frac{\partial m(\mu)}{\partial \ln \mu}$$

Mass anomalous dimension $\gamma_m > 0$

Fundamentally important in QCD. Trace anomaly is the origin of hadron masses

$$\langle P | T^{\mu\nu} | P \rangle = 2P^{\mu} P^{\nu}$$

$$\langle P | T_{\mu}^{\mu} | P \rangle = 2M^2$$

Proton mass decomposition

Ji (1995)

Traceless and trace parts of EMT

$$T^{\mu\nu} = \left(T^{\mu\nu} - \frac{\eta^{\mu\nu}}{d} T^\alpha_\alpha \right) + \frac{\eta^{\mu\nu}}{d} T^\alpha_\alpha$$

kinetic energy trace anomaly

Work in the rest frame. Mass is the eigenvalue of the Hamiltonian $H = \int d^3x T^{00}$

$$M = M_q^{kin} + M_g^{kin} + M_a + M_m$$

quark/gluon kinetic energy $M_q^{kin} = \frac{\langle P | \bar{\psi} i \vec{\gamma} \cdot \vec{D} \psi | P \rangle}{2M}$

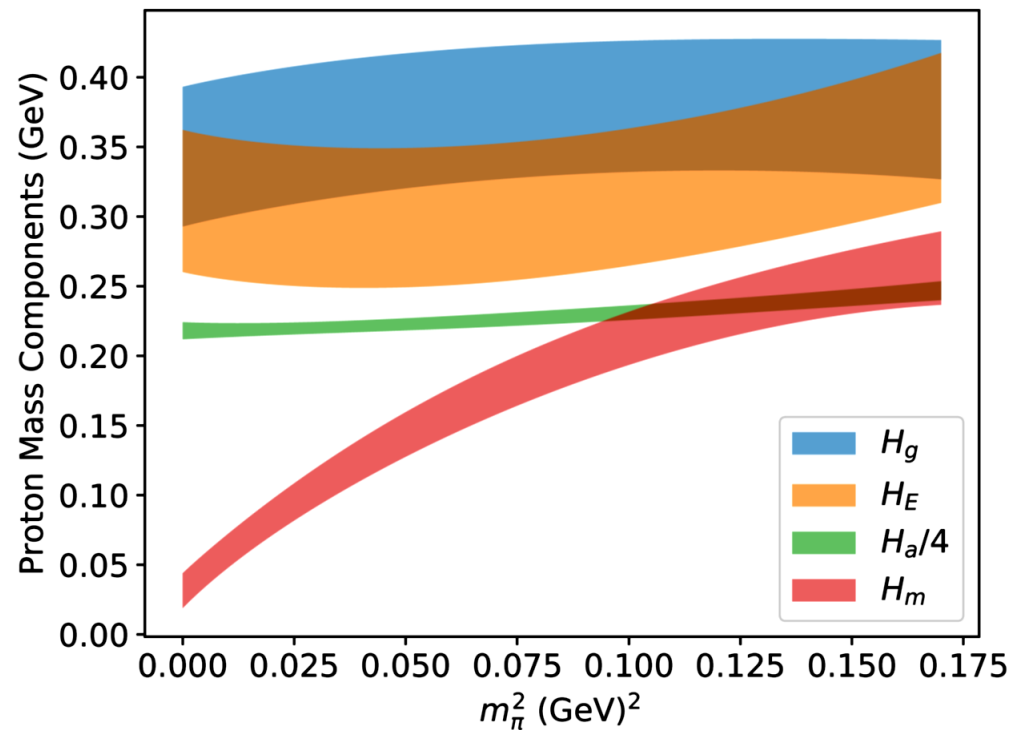
gluon condensate $M_a = \frac{\langle P | \frac{\beta}{8g} F^2 | P \rangle}{2M}$

quark mass $M_m = \frac{\langle P | m(1 + \frac{\gamma_m}{4}) | P \rangle}{2M}$

$M_{q,g}^{kin}$ measurable in DIS

$$M_{q,g}^{kin} = \frac{3}{4} M A_{q,g} \quad A_{q,g}(\mu) = \langle x \rangle_{q,g} = \int_0^1 dx x f_{q,g}(x, \mu)$$

$M_{q,g}^{kin}$, M_m calculable on a lattice, but M_a is very hard.



Yang, et al. (2018)
(χ QCD collaboration)

The EMT consists of quark and gluon parts.

$$T^{\mu\nu} = \underbrace{-F^{\mu\lambda}F^\nu{}_\lambda + \frac{\eta^{\mu\nu}}{4}F^2}_{T_g^{\mu\nu}} + \underbrace{i\bar{q}\gamma^{(\mu}D^{\nu)}q}_{T_q^{\mu\nu}}$$

$$T_\mu^\mu = (T_q)_\mu^\mu + (T_g)_\mu^\mu = \frac{\beta}{2g}F^2 + m(1 + \gamma_m)\bar{\psi}\psi$$

Exercise: Compute $(T_q)_\mu^\mu$ and $(T_g)_\mu^\mu$ separately.

Note: This is equivalent to computing the $\bar{C}_{q,g}$ gravitational form factor (cf. page 24)

$$\langle P|(T_{q,g})_\mu^\mu|P\rangle = 2M^2(A_{q,g} + 4\bar{C}_{q,g})$$

Trace anomaly in perturbation theory

First choose a regularization scheme.


e.g., [dimensional regularization \(DR\)](#), [Pauli-Villars](#), etc.

Trace anomaly shows up by exploiting the pathologies of the chosen scheme.

→ The decomposition $T_{\mu}^{\mu} = (T_g)_{\mu}^{\mu} + (T_q)_{\mu}^{\mu}$ is **scheme dependent**.

In the following, I consider only DR in the $\overline{\text{MS}}$ (modified minimal subtraction) scheme.

In $4 - 2\epsilon$ dimensions,

$$T_{\mu}^{\mu} = -2\epsilon \frac{F^2}{4} + m\bar{q}q$$


from $(T_g)_{\mu}^{\mu}$

from $(T_q)_{\mu}^{\mu}$

Operator renormalization and mixing

Under renormalization, bare fields are renormalized $A_R^\mu = \sqrt{Z_A} A^\mu$ etc.
 $\psi_R = \sqrt{Z_\psi} \psi$

Local, composite operators like $F^{\mu\nu} F_{\mu\nu}(x)$ gets additional renormalization

$$F_R^2 = Z_{F^2} F^2 + \dots \quad Z_{F^2} \neq (\sqrt{Z_A})^2 = Z_A$$

anomalous dimension $\gamma = -\frac{\partial Z_{F^2}}{\partial \ln \mu^2}$

In general, operators with the same quantum numbers and the same (or lower) dimension mix.

$$O_1^R = Z_{11} O_1 + Z_{12} O_2 + \dots$$

The anomalous dimension becomes a matrix.

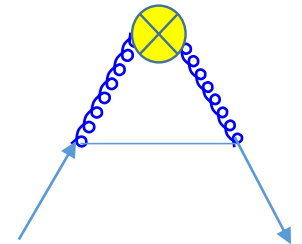
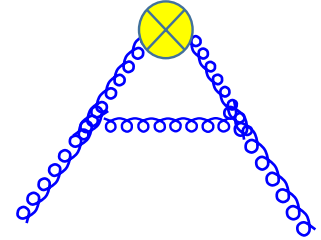
Renormalization of F^2

Tarrach, Nucl. Phys. B196 (1982), 45

The bare operator F^2 is divergent

$$F^2 = \left(1 + \beta_0 \frac{\alpha_s}{4\pi\epsilon}\right) (F^2)_R - \frac{2\gamma_m^0}{\epsilon} (m\bar{q}q)_R + \dots$$

$$\begin{aligned} T_\mu^\mu &= -2\epsilon \frac{F^2}{4} + m\bar{q}q \\ &= \underbrace{\frac{\beta(g)}{2g} (F^2)_R + \gamma_m (m\bar{q}q)_R}_{\text{from } (T_g)_\mu^\mu} + \underbrace{(m\bar{q}q)_R}_{\text{from } (T_q)_\mu^\mu} \end{aligned}$$



For the **bare** EMT, in DR, the anomaly entirely comes from the gluon part $T_g^{\mu\nu}$

Renormalization of the trace

For the **bare** operators,

$$(T_q)_{\mu}^{\mu} = (m\bar{q}q)_R = m\bar{q}q$$

$$(T_g)_{\mu}^{\mu} = \frac{\beta}{2g}(F^2)_R + \gamma_m(m\bar{q}q)_m = \frac{\beta}{2g}F^2 + \gamma_m m\bar{q}q$$

RG-invariant in DR



What about the renormalized trace operators?

$$(T_q^R(\mu))_{\alpha}^{\alpha}$$

$$(T_g^R(\mu))_{\alpha}^{\alpha}$$

$$\langle P | (T_{q,g}^R(\mu))_{\alpha}^{\alpha} | P \rangle = 2M^2 (A_{q,g}^R(\mu) + 4\bar{C}_{q,g}^R(\mu))$$

Renormalized trace: naïve look

Now consider the energy momentum tensors renormalized in DR

$$T_{gR}^{\mu\nu} = -(F^{\mu\lambda} F^{\nu}_{\lambda})_R + \frac{\eta^{\mu\nu}}{4} (F^2)_R$$

$$T_{qR}^{\mu\nu} = i(\bar{\psi}\gamma^{\mu} D^{\nu}\psi)_R$$

$(F^2)_R$ is now a finite operator. $d \rightarrow 4$ limit can be safely taken

$$(T_g^R)_{\mu}^{\mu} = 0$$

$$(T_q^R)_{\mu}^{\mu} = (m\bar{\psi}\psi)_R$$

??

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$(F^2)_R$ is now a finite operator. $d \rightarrow 4$ limit can be safely taken

$$(T_g^R)^\mu{}_\mu = 0$$

$$(T_q^R)^\mu{}_\mu = (m\bar{\psi}\psi)_R$$

??

This is wrong because, in DR, trace operation and renormalization do **not** commute

$$\eta_{\mu\nu}(F^{\mu\lambda} F^\nu{}_\lambda)_R \neq (F^{\mu\lambda} F_{\mu\lambda})_R$$

$$\eta_{\mu\nu}(\bar{\psi}\gamma^\mu D^\nu\psi)_R \neq (\bar{\psi}\not{D}\psi)_R$$

Renormalization \rightarrow trace

$$\begin{aligned}\int \frac{d^d p}{(2\pi)^d} \frac{p^\mu p^\nu}{(p^2 + \Delta)^2} &= \frac{g^{\mu\nu}}{d} \int \frac{d^d p}{(2\pi)^d} \frac{p^2}{(p^2 + \Delta)^2} \\ &= \frac{g^{\mu\nu}}{2(4\pi)^2} \left(\frac{1}{\epsilon} - \gamma_E + \ln 4\pi - \ln \Delta \right) \Delta \\ &\rightarrow \frac{g^{\mu\nu}}{2(4\pi)^2} (-\ln \Delta) \Delta \quad \overline{\text{MS}} \\ &\rightarrow \frac{4}{2(4\pi)^2} (-\ln \Delta) \Delta \quad \text{trace}\end{aligned}$$

Trace \rightarrow Renormalization

$$\begin{aligned}\int \frac{d^d p}{(2\pi)^d} \frac{p^2}{(p^2 + \Delta)^2} &= \frac{d}{2(4\pi)^2} \left(\frac{1}{\epsilon} - \gamma_E + \ln 4\pi - \ln \Delta \right) \Delta \quad \text{trace} \\ &= \frac{4}{2(4\pi)^2} \left(\frac{1}{\epsilon} - \frac{1}{2} - \gamma_E + \ln 4\pi - \ln \Delta \right) \Delta \\ &\rightarrow \frac{4}{2(4\pi)^2} \left(-\frac{1}{2} - \ln \Delta \right) \Delta \quad \overline{\text{MS}}\end{aligned}$$

Renormalized trace $(T_{q,g}^R)_\alpha$: calculation

Choose the basis of operators

$$O_1 = -F^{\mu\lambda} F^\nu{}_\lambda,$$

$$O_2 = \eta^{\mu\nu} F^2,$$

$$O_3 = i\bar{\psi}\gamma^{(\mu}\overleftrightarrow{D}^{\nu)}\psi,$$

$$O_4 = \eta^{\mu\nu} m\bar{\psi}\psi.$$

$$T^{\mu\nu} = O_1 + \frac{O_2}{4} + O_3.$$

Mixing under renormalization

$$O_1^R = Z_T O_1 + Z_M O_2 + Z_L O_3 + Z_S O_4,$$

$$O_2^R = Z_F O_2 + Z_C O_4,$$

$$O_3^R = Z_\psi O_3 + Z_K O_4 + Z_Q O_1 + Z_B O_2,$$

$$O_4^R = O_4.$$

Impose two conditions. **First condition** is simply $T^{\mu\nu} = T_R^{\mu\nu}$

Second condition:

$$A_q^R = O_3^R - (\text{trace})$$

Make the operators twist-2 by subtracting the trace

$$A_g^R = O_1^R - (\text{trace})$$

They satisfy the usual RG (DGLAP) equation for the twist-2, spin-2 operators.

$$\frac{\partial}{\partial \ln \mu} \begin{pmatrix} A_q^R \\ A_g^R \end{pmatrix} = \frac{\alpha_s}{4\pi} \begin{pmatrix} -\frac{16}{3} C_F & \frac{4n_f}{3} \\ \frac{16}{3} C_F & -\frac{4n_f}{3} \end{pmatrix} \begin{pmatrix} A_q^R \\ A_g^R \end{pmatrix}, \quad \text{cf. Peskin's Eq.(18.186)}$$

Be careful when subtracting the trace.

Renormalization and trace operation do not commute.

$$\eta_{\mu\nu} (F^{\mu\lambda} F^\nu{}_\lambda)_R = x(F^2)_R + y(m\bar{\psi}\psi)_R$$


Introduce more unknown constants $x = 1 + \mathcal{O}(\alpha_s)$ $y = \mathcal{O}(\alpha_s)$

Result in $\overline{\text{MS}}$ at one-loop

YH, Rajan, Tanaka, JHEP 1812 (2018) 008

$$\eta_{\mu\nu} T_{gR}^{\mu\nu} = \frac{\alpha_s}{4\pi} \left(-\frac{11C_A}{6} (F^2)_R + \frac{14C_F}{3} (m\bar{\psi}\psi)_R \right),$$

$$\eta_{\mu\nu} T_{qR}^{\mu\nu} = (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{n_f}{3} (F^2)_R + \frac{4C_F}{3} (m\bar{\psi}\psi)_R \right)$$

 n_f term in the 1-loop beta function

$$\lim_{\mu \rightarrow \infty} (T_q^R(\mu))_\alpha^\alpha \neq (T_q)_\alpha^\alpha$$

$$\lim_{\mu \rightarrow \infty} (T_g^R(\mu))_\alpha^\alpha \neq (T_g)_\alpha^\alpha$$

Finite renormalization

Result in $\overline{\text{MS}}$ at two-loops

YH, Rajan, Tanaka, JHEP 1812 (2018) 008

$$\eta_{\mu\nu} (T_g^{\mu\nu})_R = \frac{\alpha_s}{4\pi} \left(\frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2$$

$$\times \left[\left(C_F \left(\frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right]$$

n_f terms contributes to the gluon part

$$\eta_{\mu\nu} (T_q^{\mu\nu})_R = (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2$$

$$\times \left[\left(C_F \left(\frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) (F^2)_R \right]$$

Result in $\overline{\text{MS}}$ at three-loops

Tanaka, JHEP 1901 (2019) 120

$$\begin{aligned}
 \eta_{\mu\nu} (T_g^{\mu\nu})_R &= \frac{\alpha_s}{4\pi} \left(\frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\
 &\quad \times \left[\left(C_F \left(\frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right] \\
 &+ \left(\frac{\alpha_s}{4\pi} \right)^3 \left[\left\{ n_f \left(\left(\frac{368\zeta(3)}{9} - \frac{25229}{729} \right) C_F^2 - \frac{2}{243} (4968\zeta(3) + 1423) C_A C_F \right) \right. \right. \\
 &+ \left(\frac{32\zeta(3)}{3} - \frac{91753}{1458} \right) C_A C_F^2 + \left(\frac{294929}{1458} - \frac{32\zeta(3)}{9} \right) C_A^2 C_F - \frac{554}{243} C_F n_f^2 \\
 &+ \left. \left(\frac{95041}{729} - \frac{64\zeta(3)}{9} \right) C_F^3 \right\} (m\bar{\psi}\psi)_R \\
 &+ \left. \left\{ n_f \left(\left(\frac{1123}{162} - \frac{52\zeta(3)}{9} \right) C_A C_F + \left(4\zeta(3) + \frac{293}{36} \right) C_A^2 + \frac{16}{729} (81\zeta(3) - 98) C_F^2 \right) + n_f^2 \left(\frac{655C_A}{2916} - \frac{361C_F}{729} \right) - \frac{2857C_A^3}{108} \right\} (F^2)_R \right]
 \end{aligned}$$

$$\begin{aligned}
 \eta_{\mu\nu} (T_q^{\mu\nu})_R &= (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left(\frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \\
 &\quad \times \left[\left(C_F \left(\frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left(\frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) (F^2)_R \right] \\
 &+ \left(\frac{\alpha_s}{4\pi} \right)^3 \left[\left\{ n_f \left(\left(\frac{64\zeta(3)}{9} - \frac{8305}{729} \right) C_F^2 - \frac{2}{243} (864\zeta(3) + 1079) C_A C_F \right) \right. \right. \\
 &- \frac{8}{729} (972\zeta(3) + 143) C_A C_F^2 + \left(\frac{32\zeta(3)}{9} + \frac{6611}{729} \right) C_A^2 C_F - \frac{76}{243} C_F n_f^2 \\
 &+ \left. \frac{8}{729} (648\zeta(3) - 125) C_F^3 \right\} (m\bar{\psi}\psi)_R \\
 &+ \left\{ n_f \left(\left(\frac{52\zeta(3)}{9} - \frac{401}{324} \right) C_A C_F + \left(\frac{134}{27} - 4\zeta(3) \right) C_A^2 + \left(\frac{2407}{1458} - \frac{16\zeta(3)}{9} \right) C_F^2 \right) \right. \\
 &+ \left. n_f^2 \left(-\frac{697C_A}{729} - \frac{169C_F}{1458} \right) \right\} (F^2)_R,
 \end{aligned}$$

Scale dependence of $\bar{C}_{q,g}(\Delta = 0)$

$$\begin{aligned} \bar{C}_q^R(\mu) = & -\frac{1}{4} \left(\frac{n_f}{4C_F + n_f} + \frac{2n_f}{3\beta_0} \right) + \frac{1}{4} \left(\frac{2n_f}{3\beta_0} + 1 \right) \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2} \\ & - \frac{4C_F A_q^R(\mu_0) + n_f (A_q^R(\mu_0) - 1)}{4(4C_F + n_f)} \left(\frac{\alpha_s(\mu)}{\alpha_s(\mu_0)} \right)^{\frac{8C_F + 2n_f}{3\beta_0}} \\ & + \frac{\alpha_s(\mu)}{4\pi} \left[\frac{n_f \left(-\frac{34C_A}{27} - \frac{49C_F}{27} \right)}{4\beta_0} + \frac{\beta_1 n_f}{6\beta_0^2} \right. \\ & \left. + \frac{1}{4} \left(\frac{n_f \left(\frac{34C_A}{27} + \frac{157C_F}{27} \right)}{\beta_0} + \frac{4C_F}{3} - \frac{2\beta_1 n_f}{3\beta_0^2} \right) \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2} \right] + \dots, \end{aligned}$$

$$\begin{aligned} \simeq & -0.146 - 0.25 (A_q^R(\mu_0) - 0.36) \left(\frac{\alpha_s(\mu)}{\alpha_s(\mu_0)} \right)^{\frac{50}{81}} - 0.01\alpha_s(\mu) \\ & + (0.306 + 0.08\alpha_s(\mu)) \frac{\langle P | (m\bar{\psi}\psi)_R | P \rangle}{2M^2}, \end{aligned}$$

Asymptotic value
in the chiral limit
($n_f = 3$)

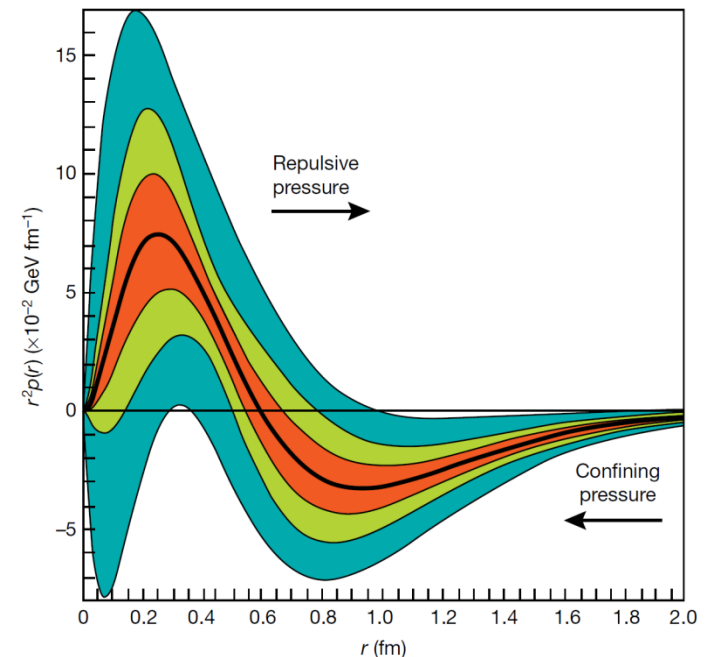
Force inside the proton from quark and gluon subsystems

Fourier transform of the D-term \rightarrow radial force inside a nucleon (page 28)

One can decompose it into quark and gluon contributions [Polyakov, Schweitzer \(2018\)](#)

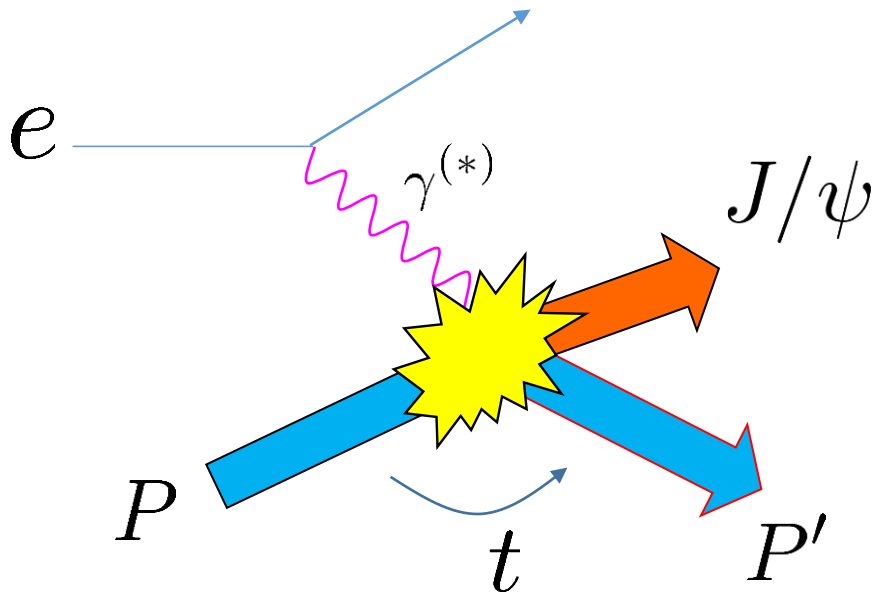
$$p_{q,g}(r) = \frac{1}{6Mr^2} \frac{d}{dr} r^2 \frac{d}{dr} D_{q,g}(r) - M\bar{C}_{q,g}(r)$$

$$\bar{C}_q(\Delta = 0) = -\bar{C}_g(\Delta = 0) < 0$$



Toward measuring the gluon condensate $\langle P|F^2|P\rangle$ in experiment

Photo-production of J/ψ near threshold

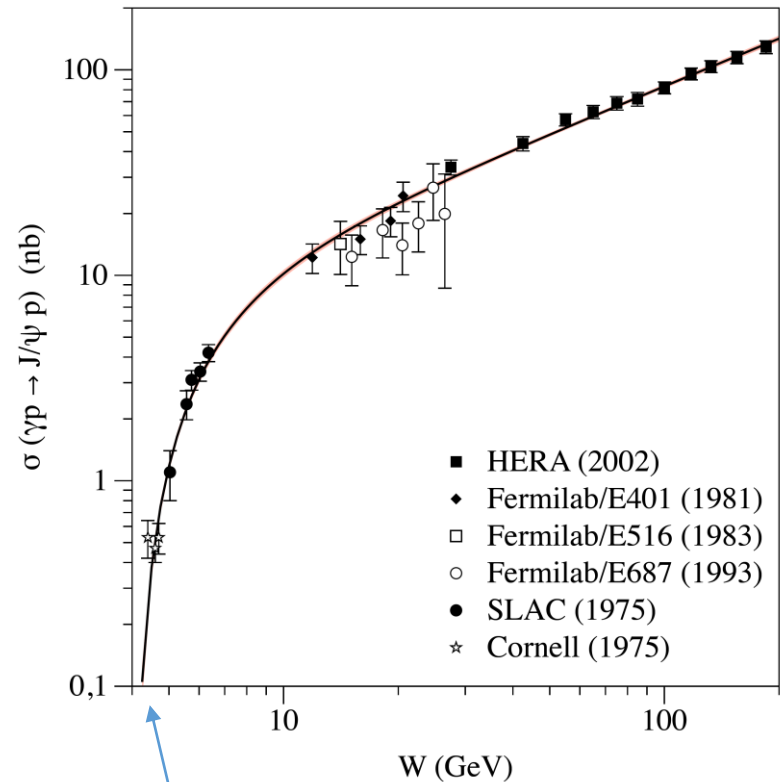


Sensitive to the **non-forward** matrix element $\langle P'|F^{\mu\nu}F_{\mu\nu}|P\rangle$

Straightforward to measure.
Ongoing experiments at Jlab.

Difficult to compute from first principles
(need nonperturbative approaches)

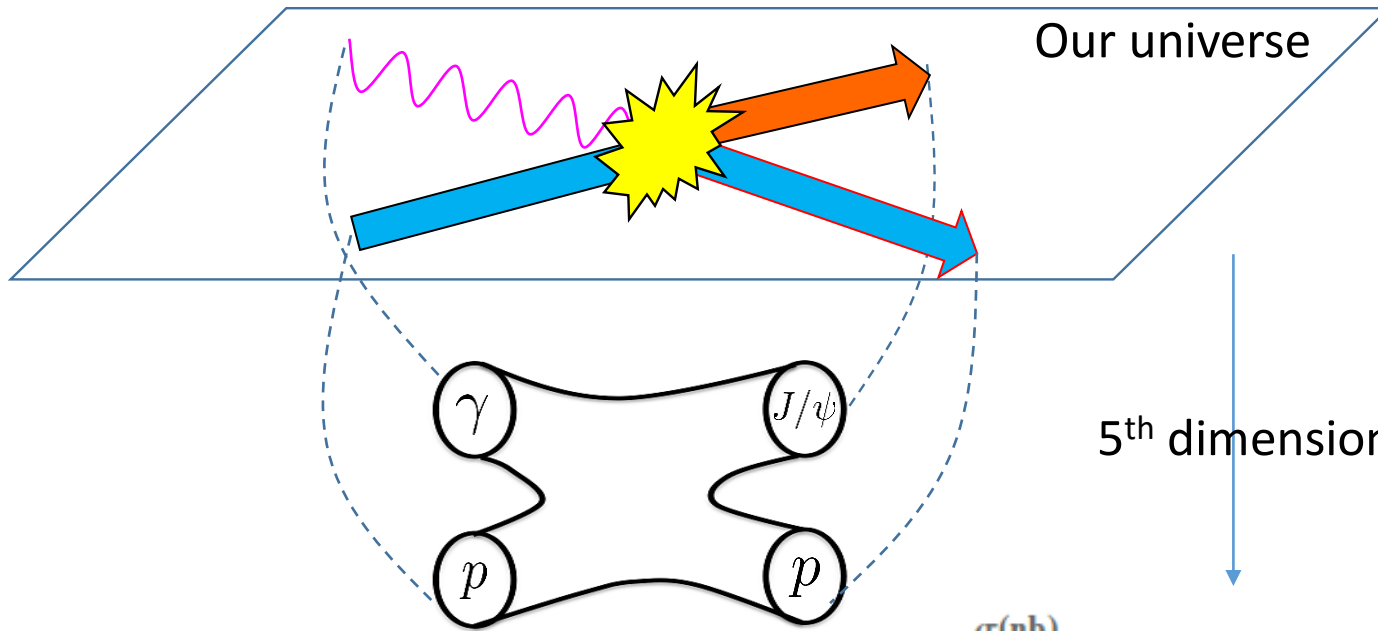
Kharzeev, Satz, Syamtomov, Zinovjev (1998)
Brodsky, Chudakov, Hoyer, Laget (2000)



$W_{th} \approx 4.04$ GeV

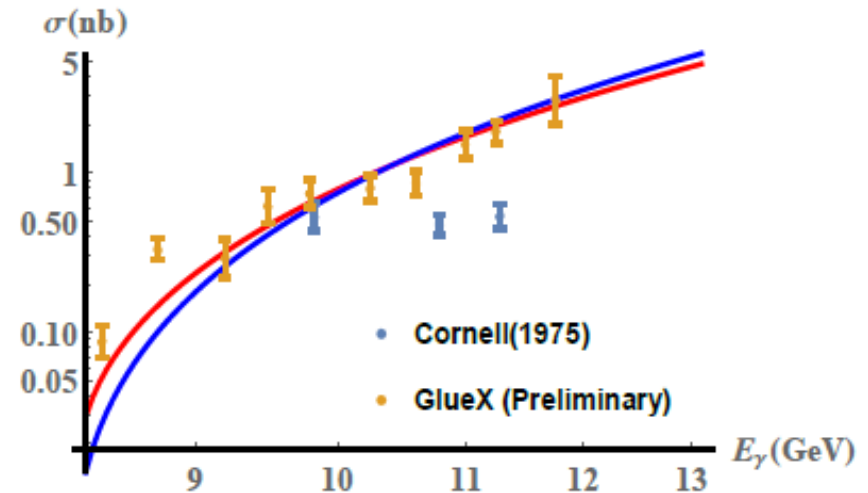
Holographic approach

YH, Yang (2018)



The operator $F^{\mu\nu}F_{\mu\nu}$ is dual to a massless string called **dilaton** in AdS

Suppressed compared to graviton exchange at high energy, but not at very low energy.



Data from GlueX collaboration 1905.10811

Red: with gluon condensate. Blue: without