

QCD carpentry: 1D structure of the nucleon

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ODU/JLab

CFNS summer school
Stony Brook, 2019



Part IV: Phenomenology

- The Bayesian framework for QCD global analysis



T. Bayes.

The parent distribution

“If we could make an infinite number of measurements, then we could describe exactly the distribution of the data points. **This is not possible in practice, but we can hypothesize the existence of such a distribution that determines the probability of getting any particular observation in a single measurement. This distribution is called parent distribution.** Similarly we can hypothesize that the measurements we have made are samples from the parent distribution and they form the sample distribution. In the limit of an infinite number of measurements, the sample distribution becomes the parent distribution”

Data reduction and error analysis for the physical sciences
Bevington and Robison

The Bayes theorem

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- The goal is to estimate $\mathcal{P}(f|data)$
- This is achieved by the **Bayes theorem**

$$\underbrace{\mathcal{P}(f|data)}_{\text{posterior}} = \underbrace{\frac{1}{Z}}_{\text{evidence}} \underbrace{\mathcal{L}(data|f)}_{\text{likelihood}} \underbrace{\pi(f)}_{\text{prior}}$$

Likelihoods and priors

- The **likelihood** function is typically chosen to be Gaussian

$$\mathcal{L}(data|f) = \exp \left[-\frac{1}{2} \sum_i \left(\frac{d_i - \text{model}_i(f)}{\delta d_i} \right)^2 \right]$$

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- The **prior** function allows to restrict forbidden values for f
i.e.

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- $\mathcal{P}(f|data)$ depends on what is chosen for \mathcal{L} and π

Parametrization

- In practice f needs to be represented parametrized e.g

$$f(x) = Nx^a(1-x)^b(1+c\sqrt{x}+dx+\dots)$$

$$f(x) = Nx^a(1-x)^b\text{NN}(x; \{w_i\})$$

$$f(x) = \text{NN}(x; \{w_i\}) - \text{NN}(1; \{w_i\})$$

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- The Bayes theorem is implemented as

$$\mathbf{a} = (N, a, b, c, d, \dots)$$

$$\mathcal{P}(\mathbf{a}|d) = \frac{1}{Z} \mathcal{L}(d|\mathbf{a})\pi(\mathbf{a})$$

$$\mathcal{L}(d|\mathbf{a}) = \exp \left[-\frac{1}{2} \sum_i \left(\frac{d_i - \text{model}_i(f(\mathbf{a}))}{\delta d_i} \right)^2 \right]$$

Expectation values and variances

- Having the parent distribution we can compute

$$\mathbb{E}[\mathcal{O}] = \int d^n a \mathcal{P}(\mathbf{a}|data) \mathcal{O}(\mathbf{a})$$

$$\mathbb{V}[\mathcal{O}] = \int d^n a \mathcal{P}(\mathbf{a}|data) (\mathcal{O}(\mathbf{a}) - \mathbb{E}[\mathcal{O}])^2$$

- \mathcal{O} is any function of \mathbf{a} . e.g

$$\mathcal{O}(\mathbf{a}) = f(x; \mathbf{a})$$

$$\mathcal{O}(\mathbf{a}) = \int_x^1 \frac{d\xi}{\xi} C(\xi) f\left(\frac{x}{\xi}; \mathbf{a}\right)$$

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- $\mathcal{P}(\mathbf{a}|data)$ is computationally expensive
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- The challenge: how to compute $E[\mathcal{O}], V[\mathcal{O}]$?
 - **Maximum likelihood**
 - **Monte Carlo approach**

Maximum Likelihood

- Estimation of expectation value

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- For Gaussian likelihood it is χ^2 minimization

$$\begin{aligned} \min [-2 \log (\mathcal{L}(data|\mathbf{a})\pi(\mathbf{a}))] &= -2 \log (\mathcal{L}(data|\mathbf{a}_0)\pi(\mathbf{a}_0)) \\ &= \chi^2(\mathbf{a}_0) - 2 \log (\pi(\mathbf{a}_0)) \end{aligned}$$

Hessian method : eigen direction decomposition

$$\begin{aligned}\mathcal{P}(\mathbf{a}|data) &\propto \exp\left(-\frac{1}{2}\chi^2(\mathbf{a})\right) \propto \exp\left(-\frac{1}{2}\chi^2(\mathbf{a}_0) - \frac{1}{2}\Delta\chi^2(\mathbf{a})\right) \\ &\propto \exp\left(-\frac{1}{2}\Delta\chi^2(\mathbf{a})\right) \\ &\propto \exp\left(-\frac{1}{2}\Delta\mathbf{a}^T H \Delta\mathbf{a}\right) + O(\Delta a^3) \\ &\propto \exp\left(-\frac{1}{2}\sum_k \left(t_k \frac{\hat{\mathbf{e}}_k^T}{\sqrt{w_k}}\right) H \sum_l \left(t_l \frac{\hat{\mathbf{e}}_l}{\sqrt{w_l}}\right)\right) + O(\Delta a^3) \\ &\propto \exp\left(-\frac{1}{2}\sum_k t_k^2\right) + O(\Delta a^3) \\ &\propto \prod_k \exp\left(-\frac{1}{2}t_k^2\right) + O(\Delta a^3)\end{aligned}$$

The posterior distribution
“factorizes” along each eigen
direction

Maximum Likelihood + Hessian method

■ Estimation of variance

$$\begin{aligned} V[\mathcal{O}] &= \int d^n \mathbf{a} \mathcal{P}(\mathbf{a} | \text{data}) (\mathcal{O}(\mathbf{a}) - \mathbb{E}[\mathcal{O}])^2 \\ &\simeq \prod_k \int dt_k \frac{e^{-\frac{1}{2}t_k^2}}{\sqrt{2\pi}} \sum_{lm} \frac{\partial \mathcal{O}}{\partial t_l} \frac{\partial \mathcal{O}}{\partial t_m} t_l t_m \\ &= \sum_k \left(\frac{\partial \mathcal{O}}{\partial t_k} \right)^2 \simeq \sum_k \left[\frac{\mathcal{O}(t_k = 1) - \mathcal{O}(t_k = -1)}{2} \right]^2 \end{aligned}$$

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■ It relies on

- linear approximation for $\mathcal{O}(\mathbf{a})$
- Gaussian factorization of the posterior

The tolerance criterion

- In QCD global analysis it is common to find discrepancies among datasets

The tolerance criterion

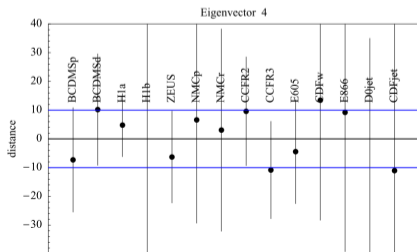
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- The variance is then scaled by a tolerance factor T

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$$T \simeq 10$$

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$$\mathbb{E}[m] = \frac{m_1 \delta m_2^2 + m_2 \delta m_1^2}{\delta m_1^2 + \delta m_2^2} \quad \mathbb{V}[m] = \frac{\delta m_2^2 \delta m_1^2}{\delta m_2^2 + \delta m_1^2}$$

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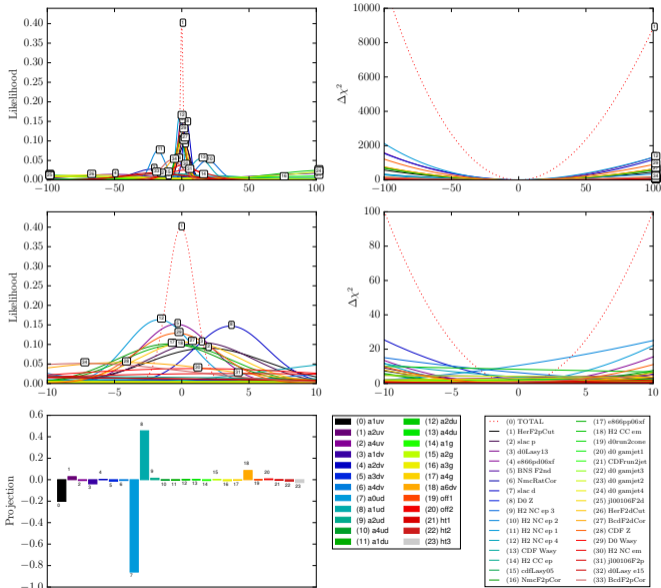
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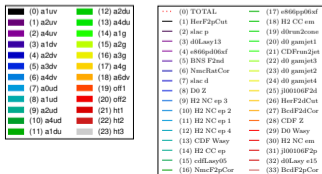
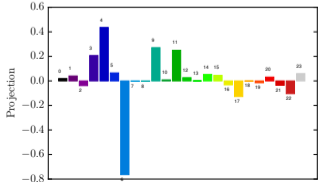
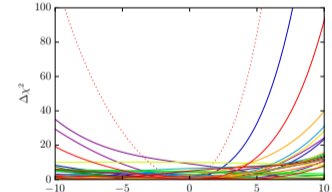
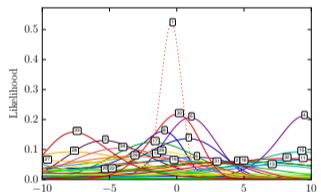
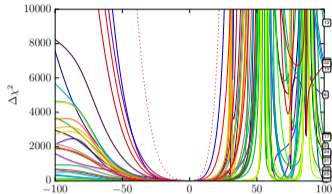
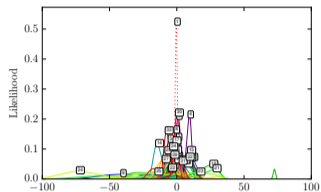
- $V[m]$ is independent of $|m_1 - m_2|$

Real life global analysis of PDFs CJ15



- eigen direction 1
- likelihood behaves as gaussian
- one can see which parameters and datasets are relevant

Real life global analysis of PDFs CJ15

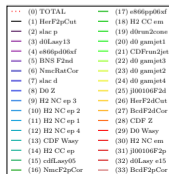
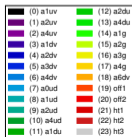
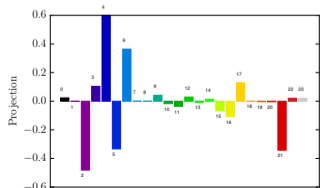
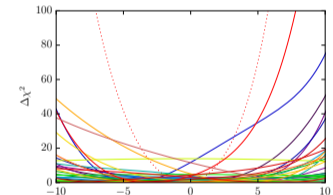
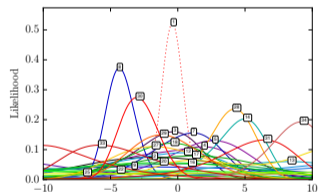
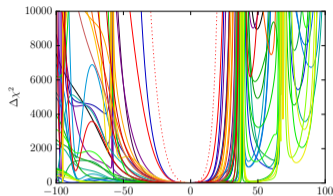
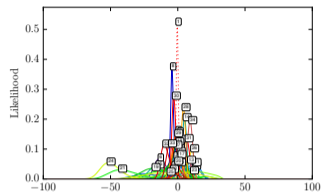


■ eigen direction 13

■ likelihood is less gaussian

■ some datasets are in tension

Real life global analysis of PDFs CJ15



- eigen direction 16

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Maximum Likelihood + Hessian method

■ pros

- Very practical. Most the PDF groups use this method
- It is computationally inexpensive
- f and its eigen directions can be precalculated/tabulated

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■ cons

- Assumes local gaussian approximation of the likelihood
- Assumes linear approximation of the observables \mathcal{O} around \mathbf{a}_0
- These assumptions are strictly valid for linear models.
- Hessian matrix is numerically unstable if flat directions are present
- To deal with incompatible data one needs to apply the tolerance

Monte Carlo Methods

- Recall that we are interested in computing

$$\mathbb{E}[\mathcal{O}] = \int d^n a \mathcal{P}(\mathbf{a}|data) \mathcal{O}(\mathbf{a})$$

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- MC methods attempts to do this using MC sampling

$$E[\mathcal{O}] \simeq \sum_k w_k \mathcal{O}(\mathbf{a}_k)$$

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- $\{w_k, \mathbf{a}_k\}$ is the **sample distribution** of the **posterior distribution**
 $\mathcal{P}(\mathbf{a}|data)$

MC Method 1: data resampling

- Distorted data sets with gaussian noise

$$d_{k,i}^{(\text{pseudo})} = d_i^{(\text{exp})} + \sigma_i^{(\text{exp})} R_{k,i}$$

i : i -th data point

k : k -th pseudo data set index

$R_{k,i}$: random number from normal distribution

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- Fit each pseudo data $k = 1, \dots, N$ to obtain parameter vectors \mathbf{a}_k
The **sample distribution** of $\mathcal{P}(\mathbf{a}|data)$ is approximately

$$\{w_k = 1/N, \mathbf{a}_k\}$$

MC Method 2: Hybrid Markov Chain Monte Carlo

■ The basic idea

- This is an MCMC based algorithm (random walks + rejection sampling)
- The random walks are optimized by solving Hamilton's equations.
- The parameters \mathbf{a} are the “coordinates” and a conjugate vector \mathbf{p} e.g. “momentum” is defined
- An initial “state” is defined by a random coordinate vector \mathbf{a}_0 and a random momentum vector \mathbf{p}_0 .
- A new state is proposed by solving a Hamiltonian using the leap frog method

$$H(\mathbf{p}, \mathbf{a}) = \frac{\mathbf{p}^2}{2m} - \log(\mathcal{L}(\mathbf{a}))$$

■ pros

- It provides a faithful **sampling distribution**

■ cons

- the number of steps and step size of the leap frog must be tuned.
- Cannot be parallelized

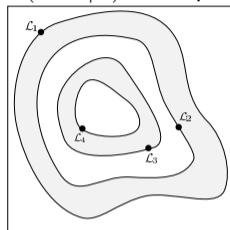
MC Method 3: nested resampling

- **The basic idea:** compute

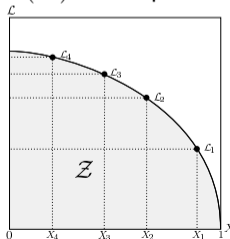
$$Z = \int \mathcal{L}(\text{data}|\mathbf{a})\pi(\mathbf{a})d^n a = \int_0^1 \mathcal{L}(X)dX$$

- The algorithm traverses ordered isolikelihood contours in the variable X such that X follows the progression $X_i = t_i X_{i-1}$
- The variable t_i is estimated statistically
- The algorithm can be optimized iteration to iteration. One can sample only in the regions where the likelihood is larger → “importance sampling”
- The nested sampling is parallelizable

$\mathcal{L}(\text{data}|\mathbf{a})$ in \mathbf{a} space



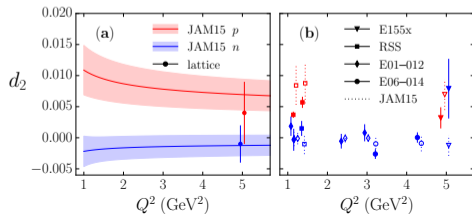
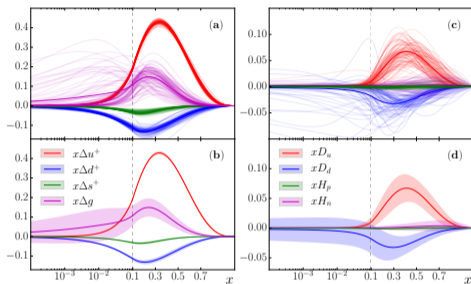
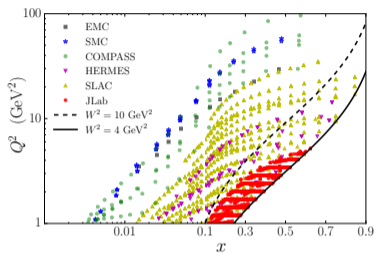
$\mathcal{L}(X)$ in X space





Polarized PDFs: inclusive polarized DIS

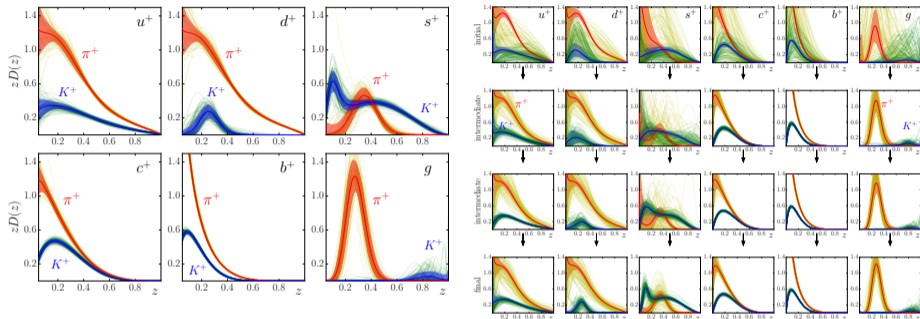
NS, Melnitchouk, Kuhn, Ethier, Accardi (PRD 93,074005)



- Inclusion of all the JLab 6GeV data
- Determination of twist 3 g_2 (not power suppressed)
- Extraction of d_2 matrix element

Fragmentation Functions: SIA

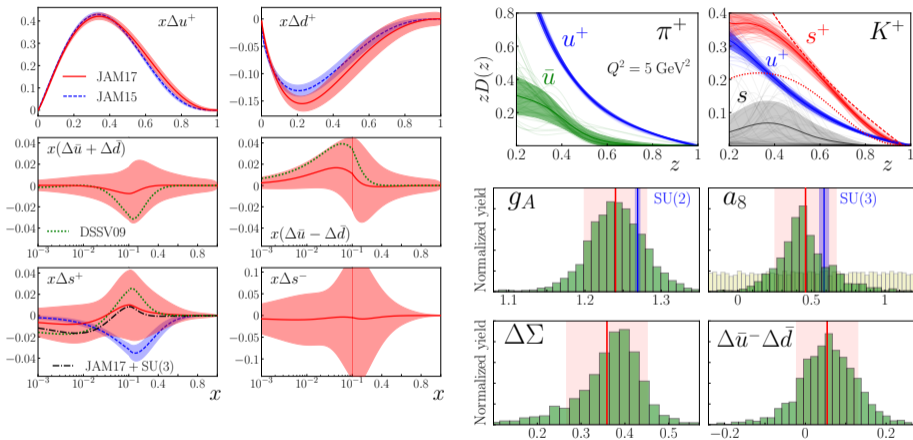
NS, Ethier, Melnitchouk, Hirai, Kumano, Accardi (PRD 94, 114004)



- Inclusion of all the global data from Belle and Babar up to LEP data at $Q = M_z$
- Fits were done for pion and kaon samples
- We only extracted $D_q^+ = D_q + D_{\bar{q}}$

Combined Δ PDF and FF: pDIS+pSIDIS+SIA

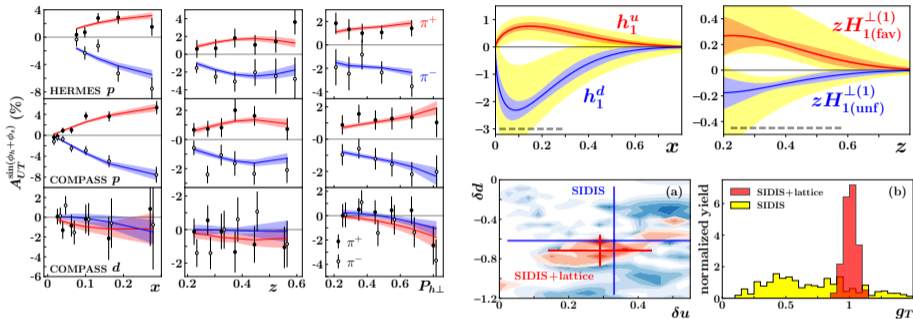
Ethier, NS, Melnitchouk (PRL 119, 132001)



- First simultaneous extraction of polarized PDFs and FFs
- Extraction of the polarized strange distribution without $SU(3)$ constraints

SIDIS+Lattice analysis of nucleon tensor charge

Lin, Melnitchouk, Prokudin, NS, Shows (PRLett 120, 152502)

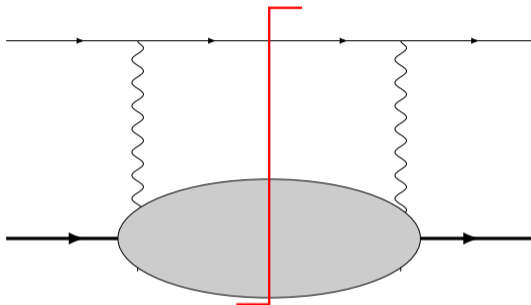


→ Extraction of transversity and Collins FFs from SIDIS

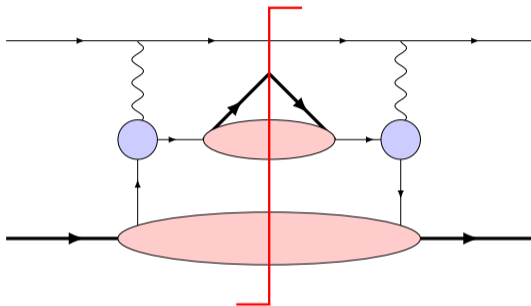
$A_{UT} + \text{Lattice } g_T$

→ In the absence of Lattice, SIDIS at present has no significant constraints on g_T → this will change with the upcoming JLab12 measurements

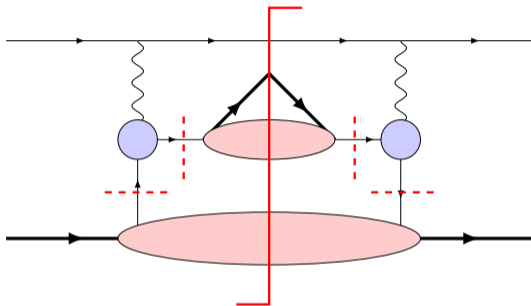
Factorization in SIDIS $e + p \rightarrow e' + h + X$



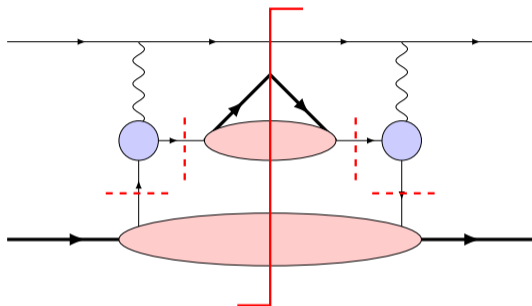
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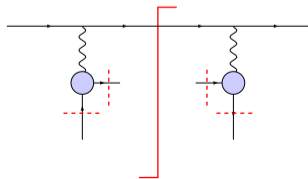
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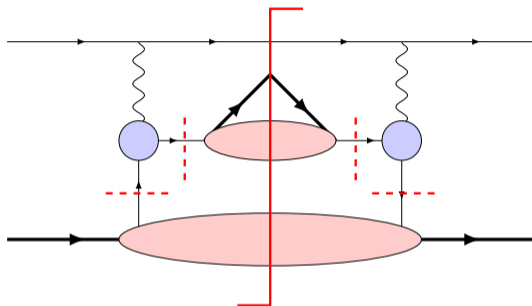
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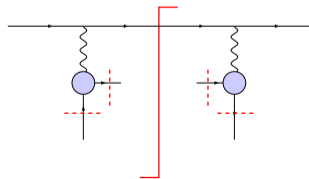
partonic cross section



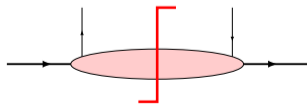
Factorization in SIDIS $e + p \rightarrow e' + h + X$



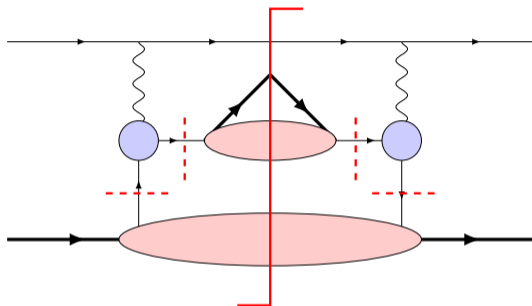
partonic cross section



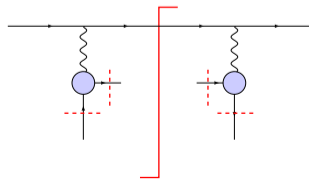
parton distribution functions



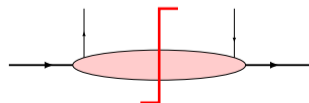
Factorization in SIDIS $e + p \rightarrow e' + h + X$



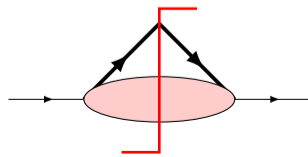
partonic cross section



parton distribution functions

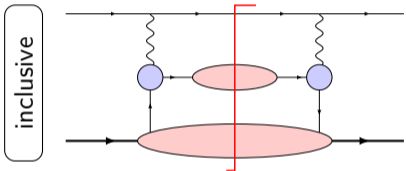


**parton to hadron
fragmentation function**



Universality of factorization

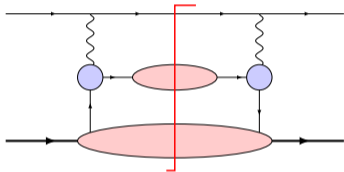
lN



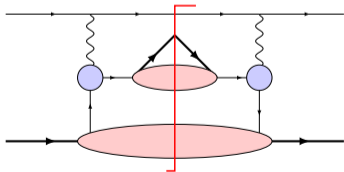
Universality of factorization

lN

inclusive



semi-inclusive

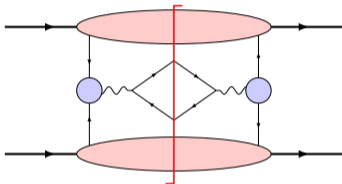
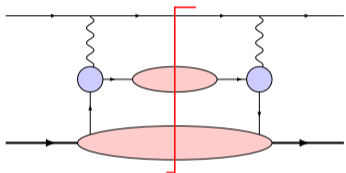


Universality of factorization

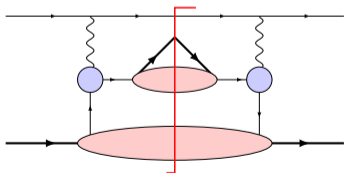
lN

NN

inclusive



semi-inclusive

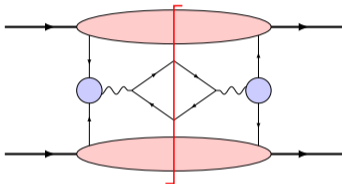
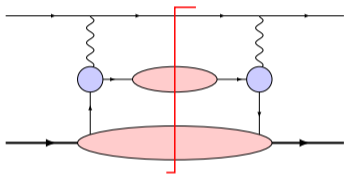


Universality of factorization

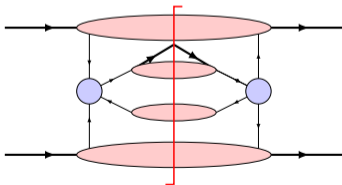
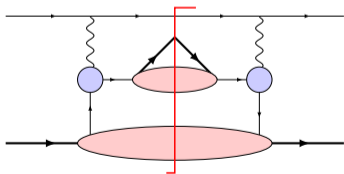
lN

NN

inclusive



semi-inclusive



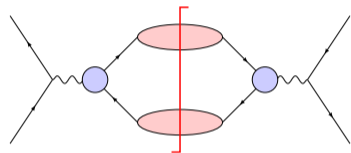
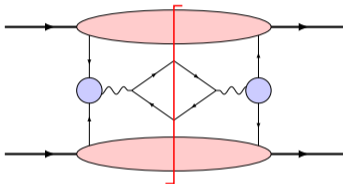
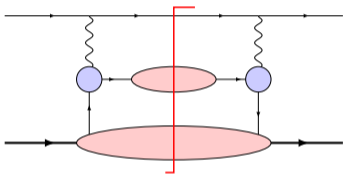
Universality of factorization

lN

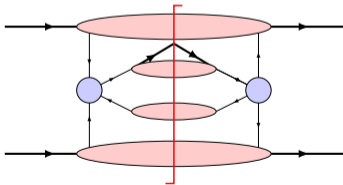
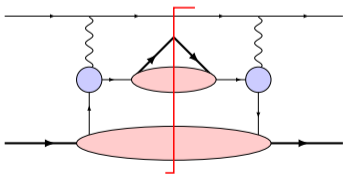
NN

$l\bar{l}$

inclusive



semi-inclusive



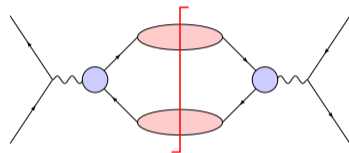
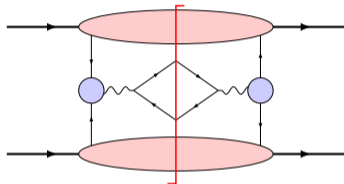
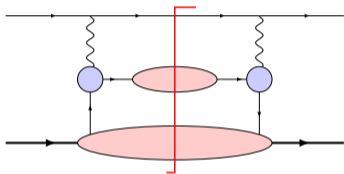
Universality of factorization

lN

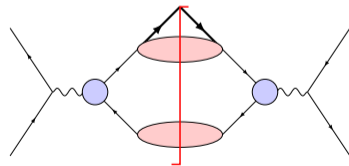
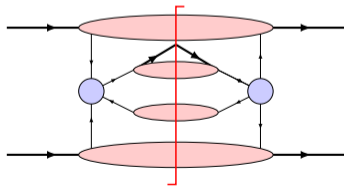
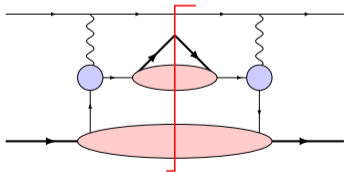
NN

$l\bar{l}$

inclusive



semi-inclusive



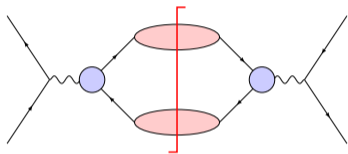
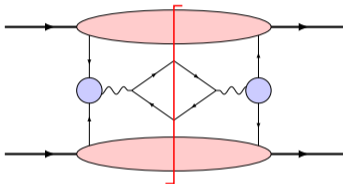
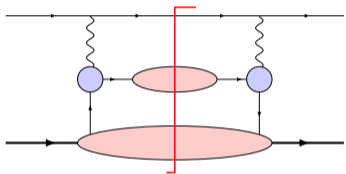
Universality of factorization

lN

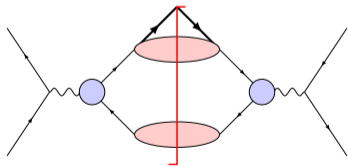
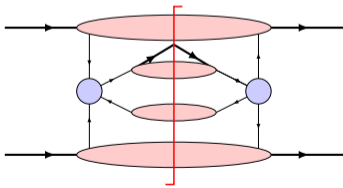
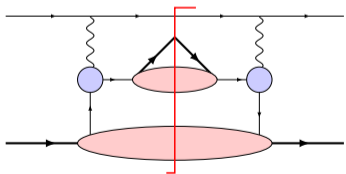
NN

$l\bar{l}$

inclusive

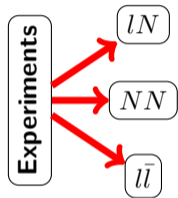


semi-inclusive

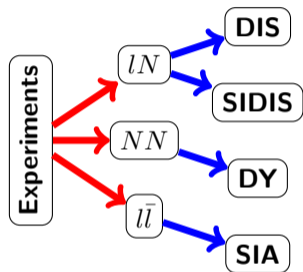


→ QCD global analysis

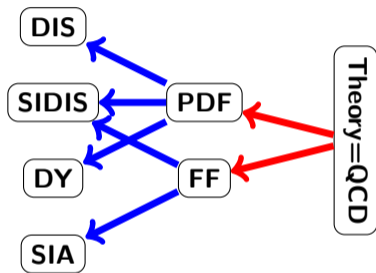
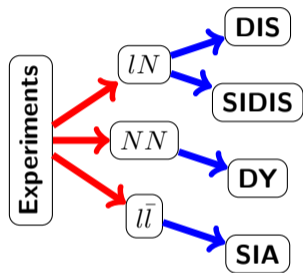
Global analysis in a nutshell



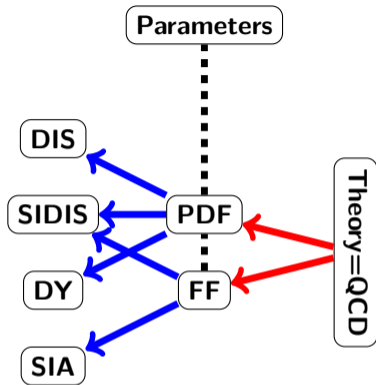
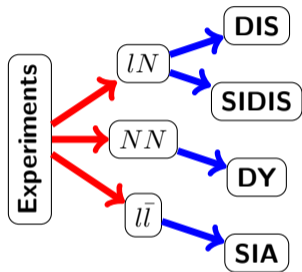
Global analysis in a nutshell



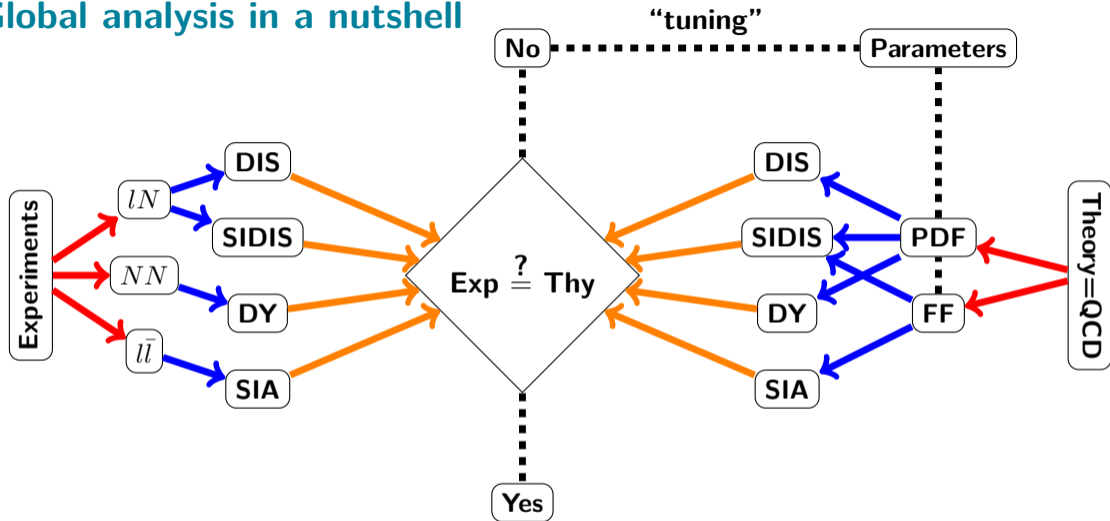
Global analysis in a nutshell



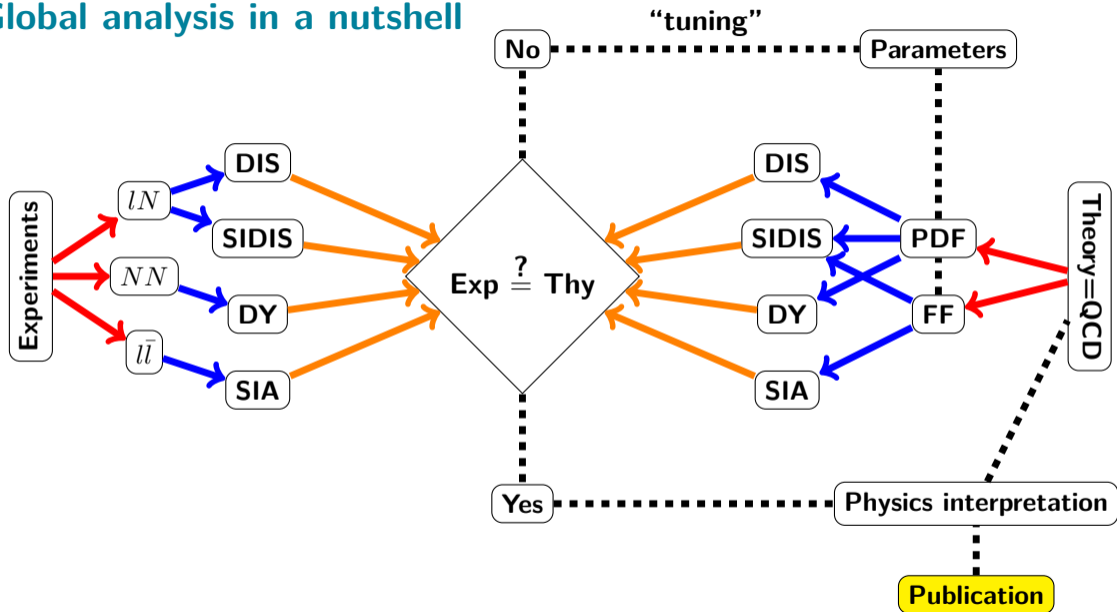
Global analysis in a nutshell



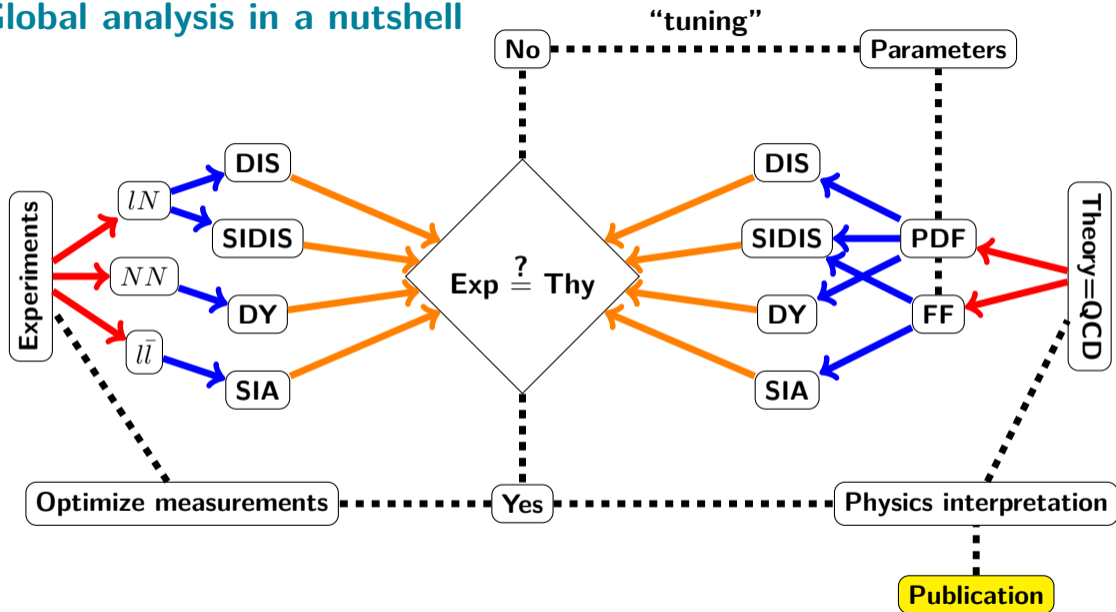
Global analysis in a nutshell

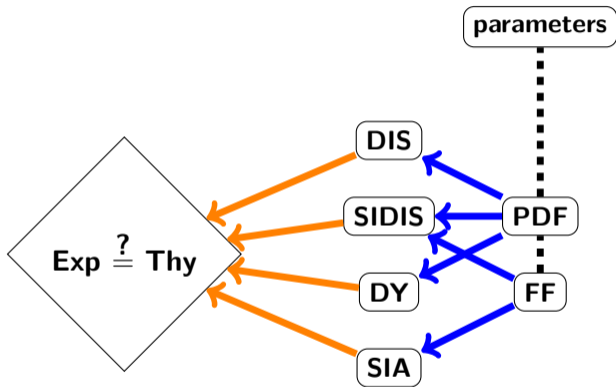


Global analysis in a nutshell

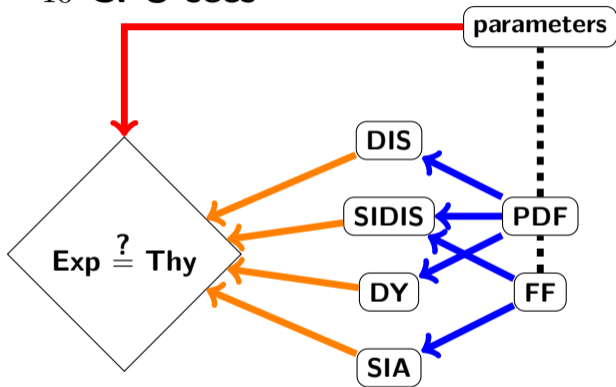


Global analysis in a nutshell

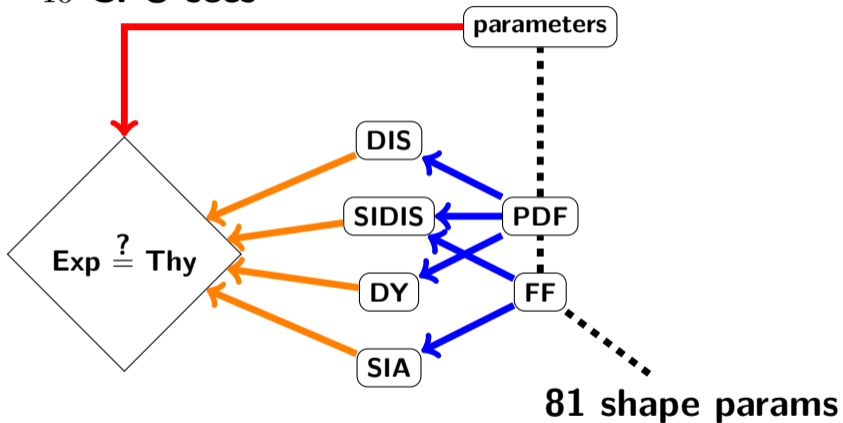




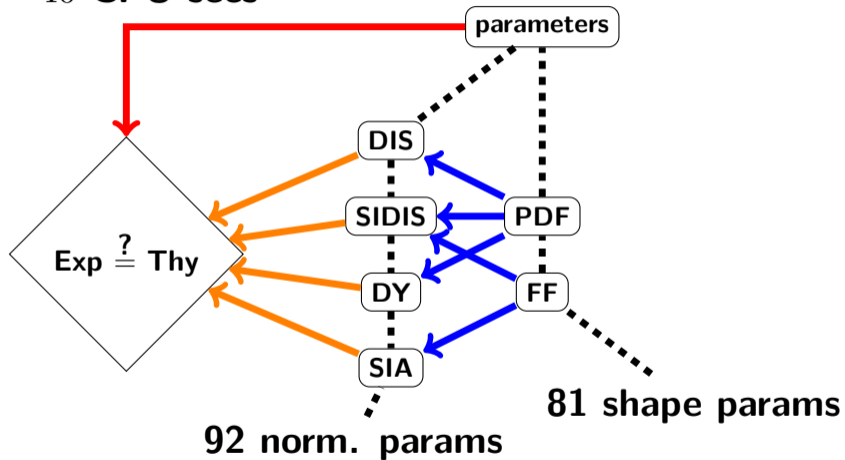
~ 40 CPU secs



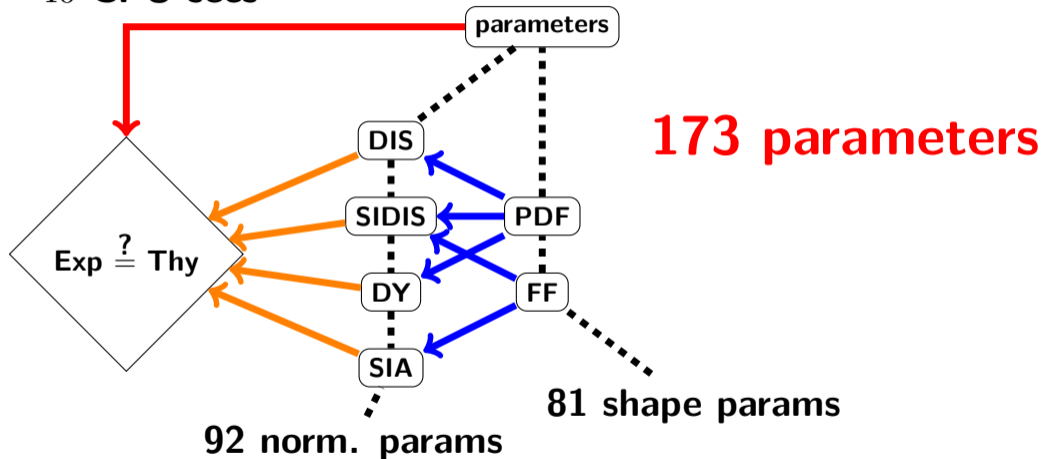
~ 40 CPU secs



~ 40 CPU secs



~ 40 CPU secs



JAM19: *Strange quark suppression from a simultaneous Monte Carlo analysis of parton distributions and fragmentation functions*

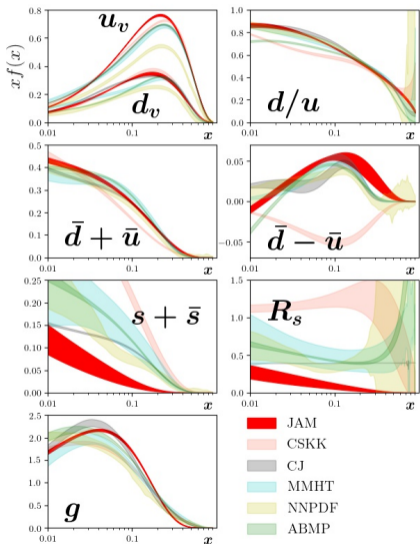
arXiv:1905.03788

NS, Carlota Andres, Jake Ethier,
Wally Melnitchouk



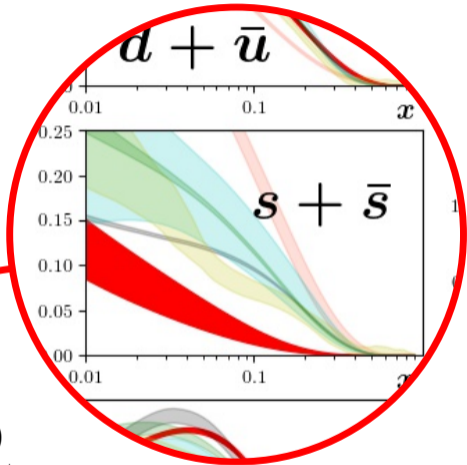
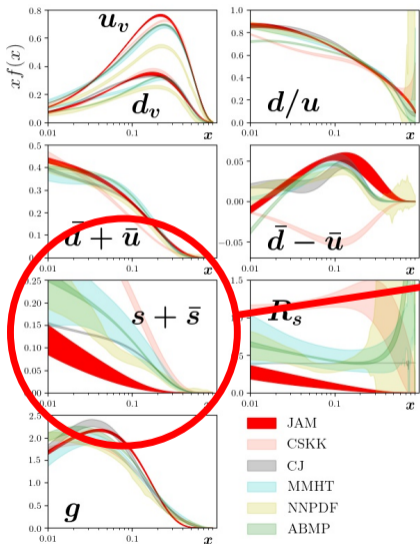
The results...

New PDFs



- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
- ✓ SIDIS (π^\pm, K^\pm)

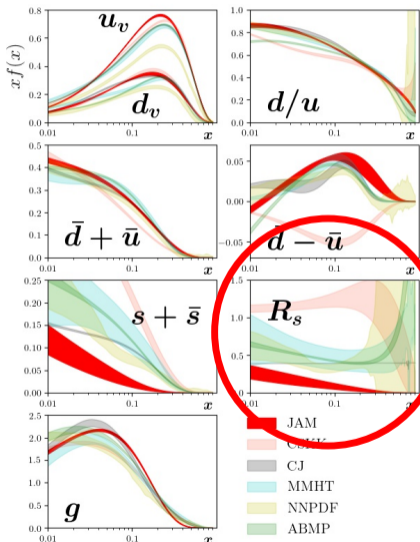
New PDFs



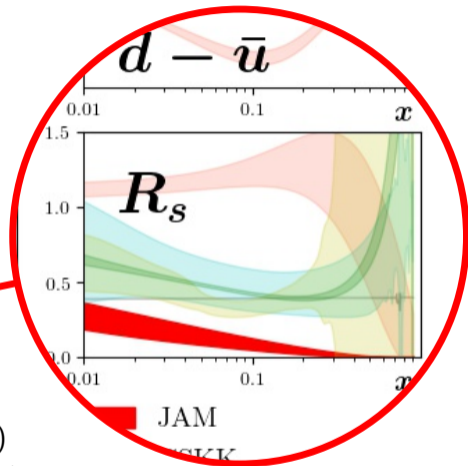
- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
- ✓ SIDIS (π^\pm, K^\pm)

Strong strange suppression

New PDFs

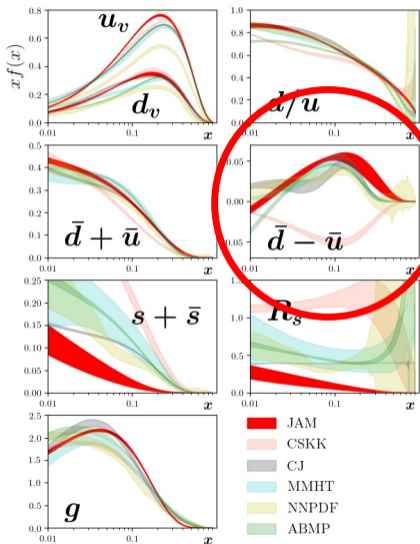


- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
- ✓ SIDIS (π^\pm, K^\pm)

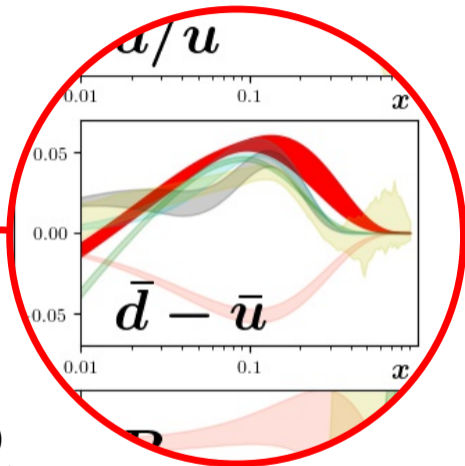


Strong strange suppression

New PDFs

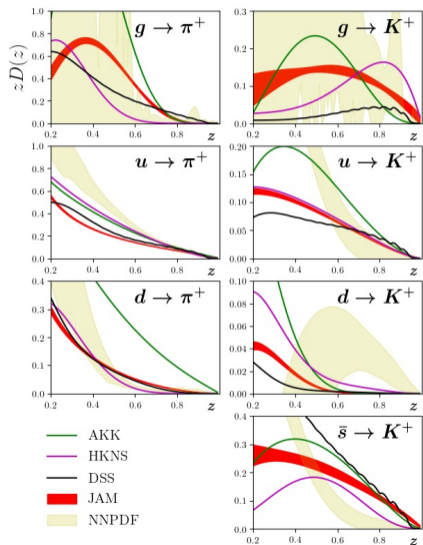


- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
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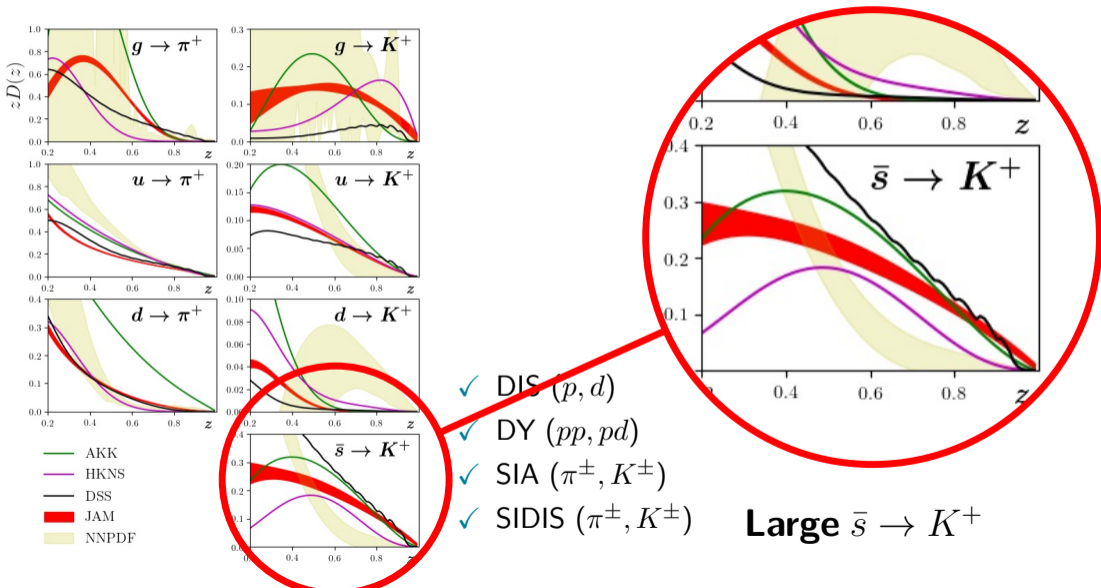
Large $\bar{d} - \bar{u}$

New π & K FFs

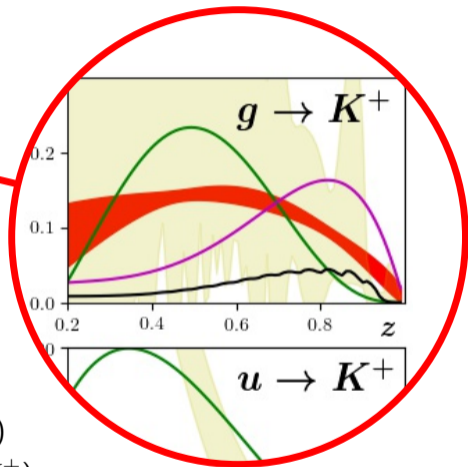
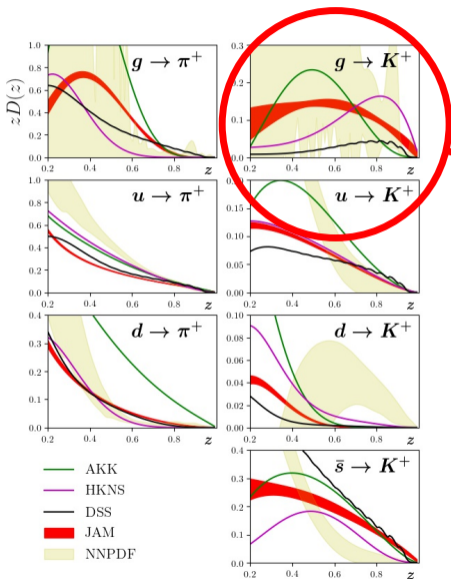


- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
- ✓ SIDIS (π^\pm, K^\pm)

New π & K FFs



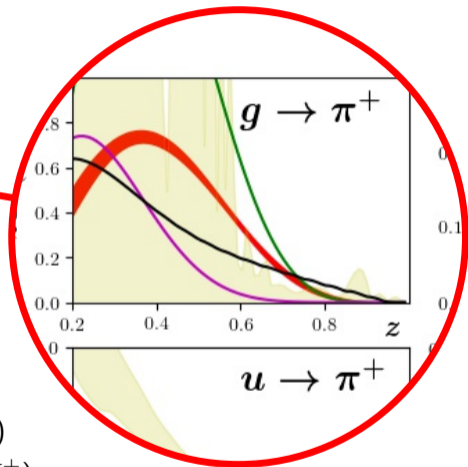
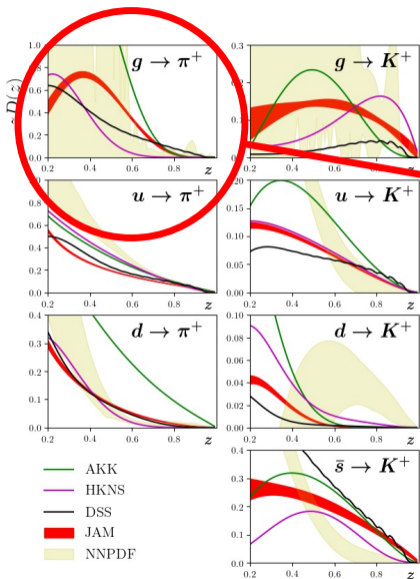
New π & K FFs



- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
- ✓ SIDIS (π^\pm, K^\pm)

Constraints on $g \rightarrow K^+$

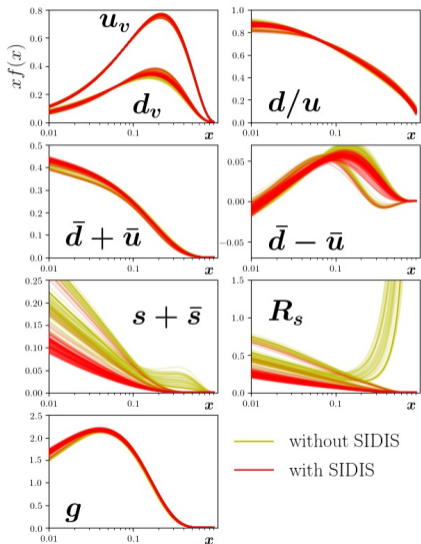
New π & K FFs



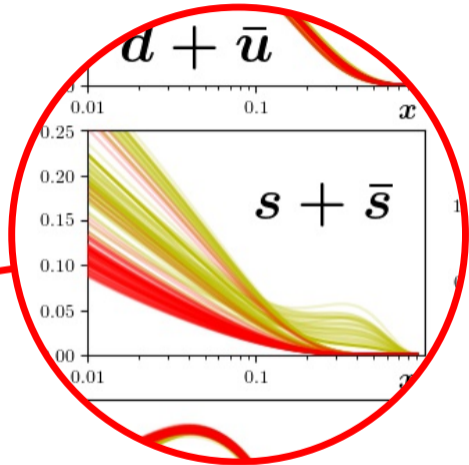
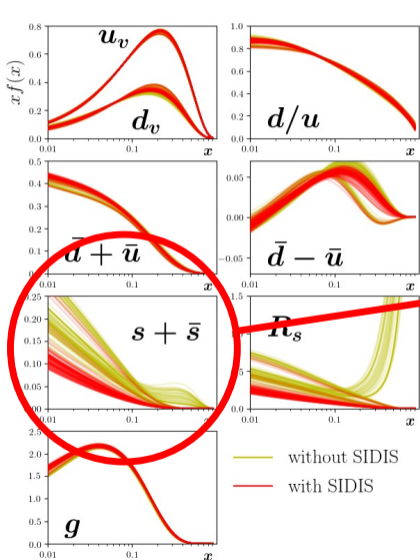
- ✓ DIS (p, d)
- ✓ DY (pp, pd)
- ✓ SIA (π^\pm, K^\pm)
- ✓ SIDIS (π^\pm, K^\pm)

Constraints on $g \rightarrow \pi^+$

Impact of SIDIS data on PDFs

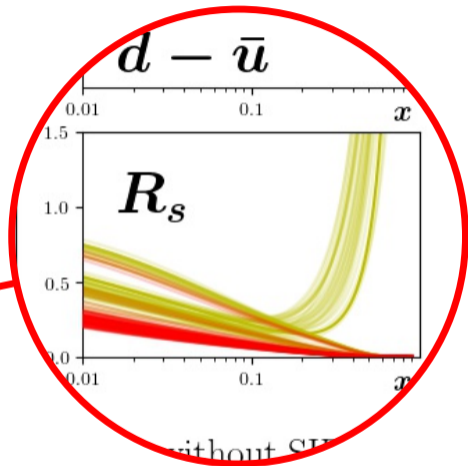
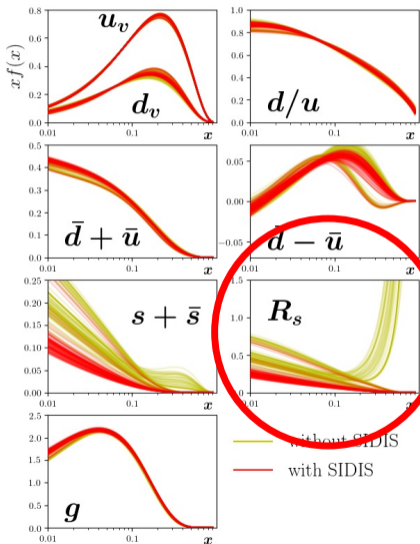


Impact of SIDIS data on PDFs



Strong strange suppression

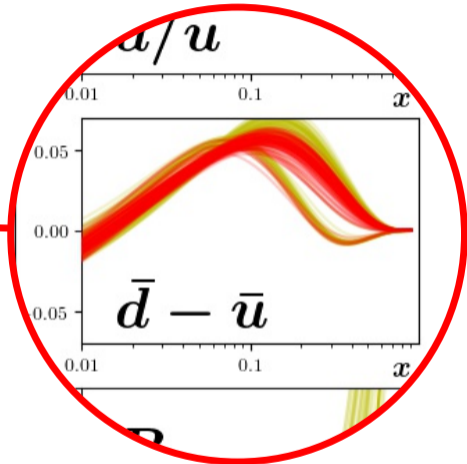
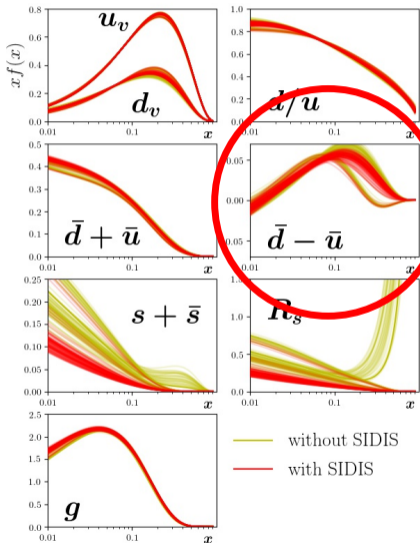
Impact of SIDIS data on PDFs



without SIDIS

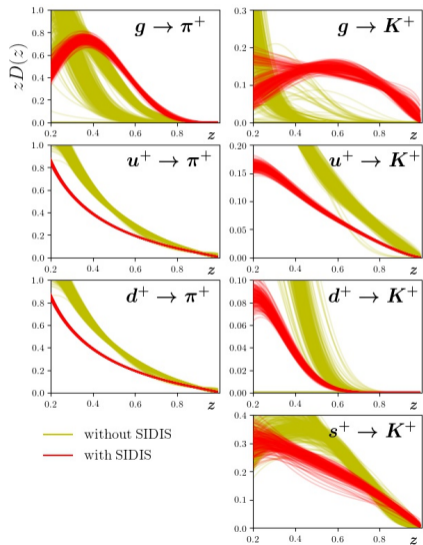
Strong strange suppression

Impact of SIDIS data on PDFs

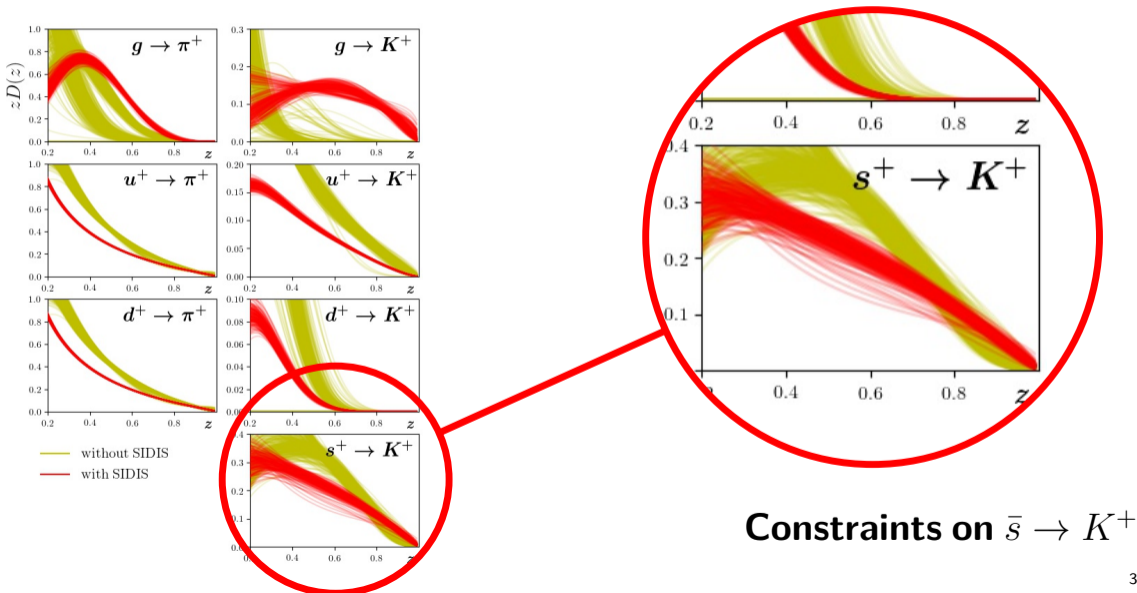


Large $\bar{d} - \bar{u}$

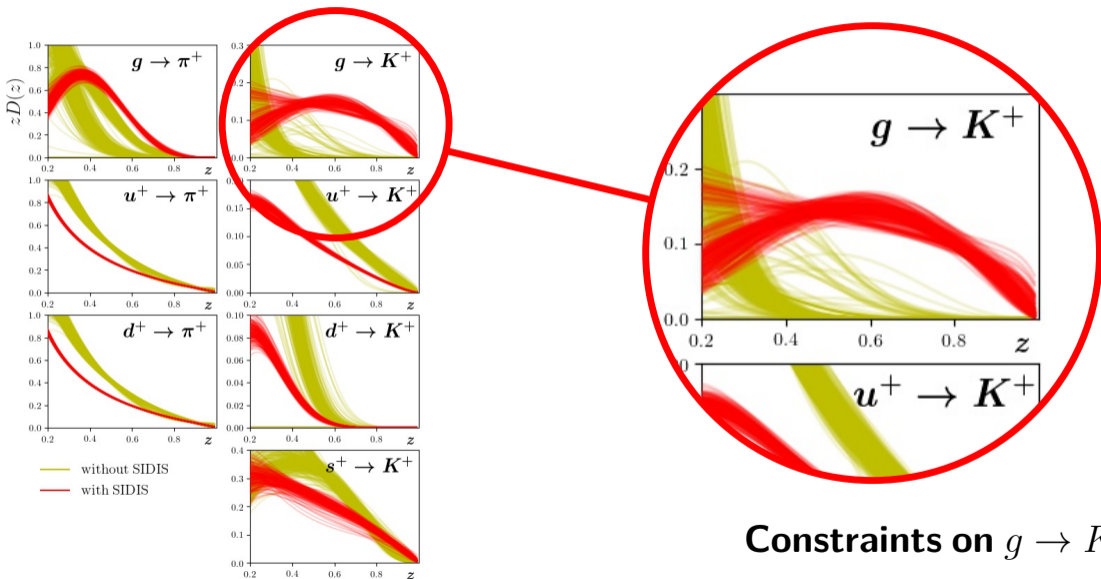
Impact of SIDIS data on FFs



Impact of SIDIS data on FFs

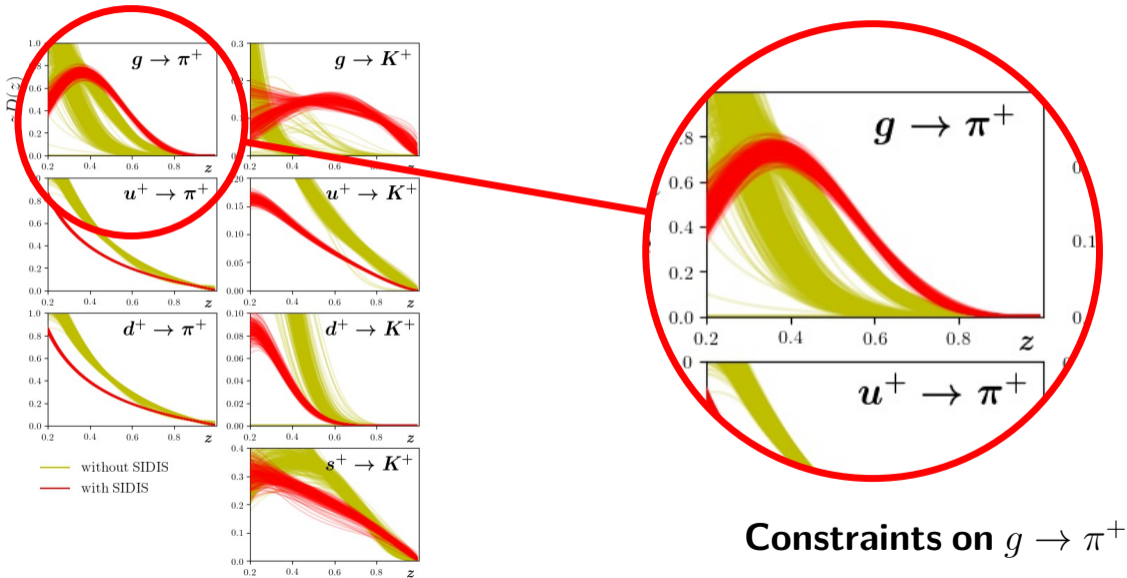


Impact of SIDIS data on FFs



Constraints on $g \rightarrow K^+$

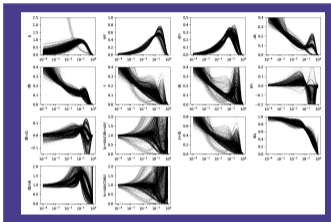
Impact of SIDIS data on FFs



... ok, so how we get this?

Multi-step strategy

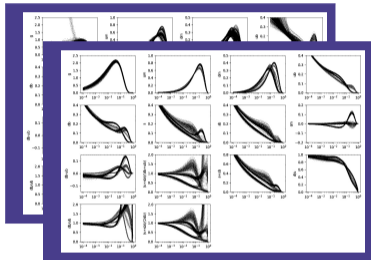
PDFs



+DIS (No HERA)

Multi-step strategy

PDFs

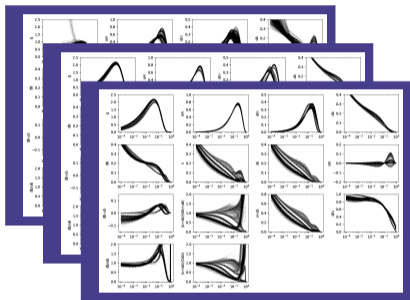


+DIS (No HERA)

+DIS HERA

Multi-step strategy

PDFs



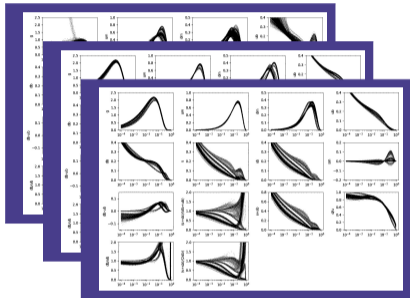
+DIS (No HERA)

+DIS HERA

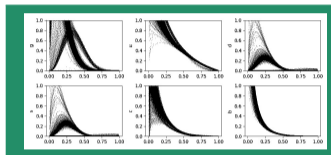
+DY

Multi-step strategy

PDFs



pion FFs



+DIS (No HERA)

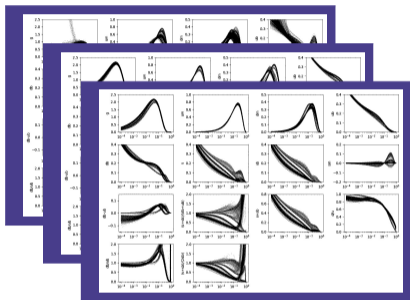
+DIS HERA

+DY

+SIA pions

Multi-step strategy

PDFs

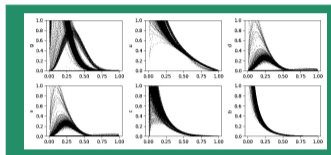


+DIS (No HERA)

+DIS HERA

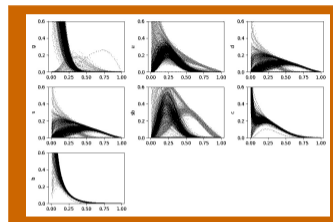
+DY

pion FFs



+SIA pions

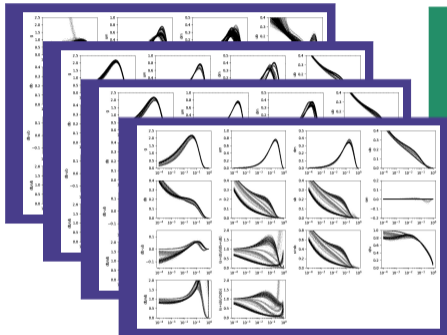
kaon FFs



+SIA kaons

Multi-step strategy

PDFs

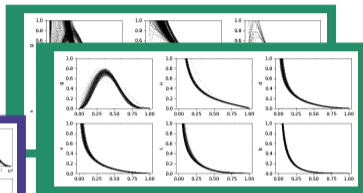


+DIS (No HERA)

+DIS HERA

+DY

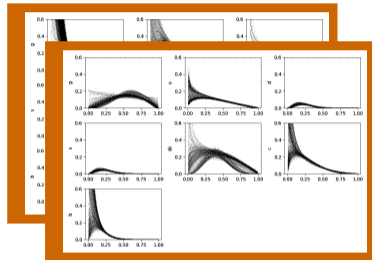
pion FFs



+SIA pions

+SIDIS pions

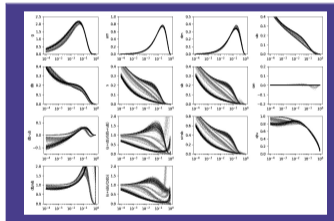
kaon FFs



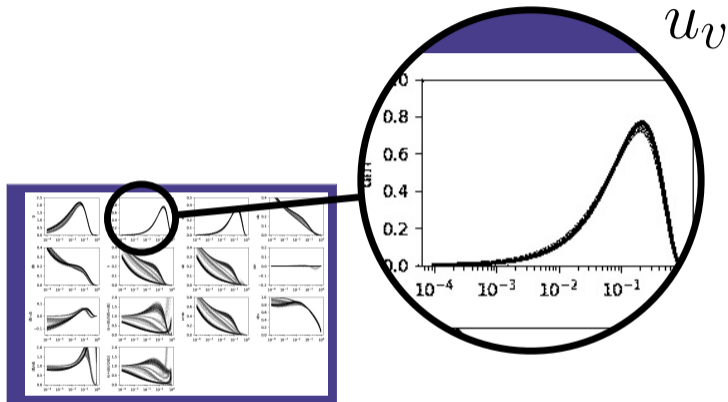
+SIA kaons

+SIDIS kaons

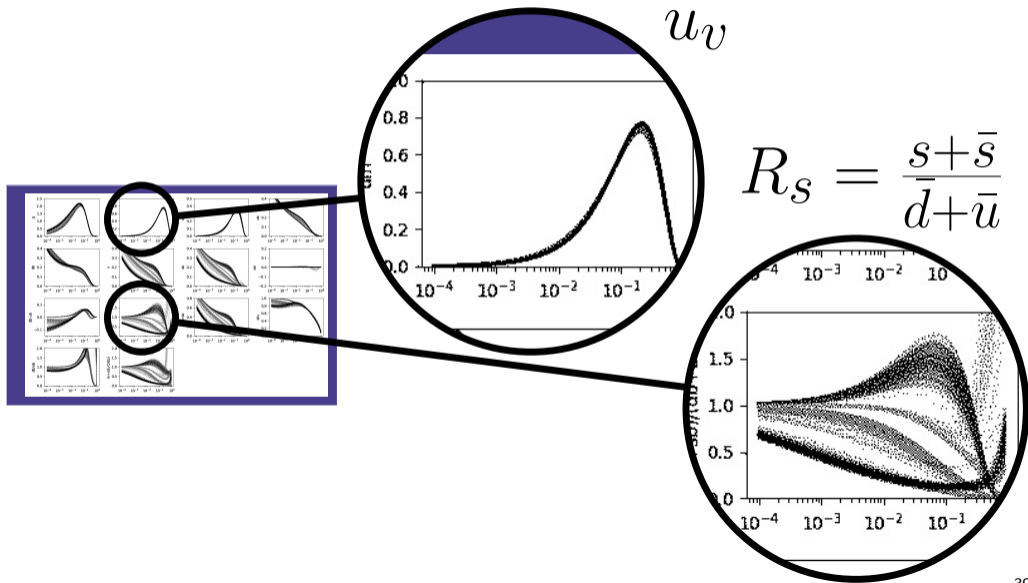
Discriminating multiple solutions



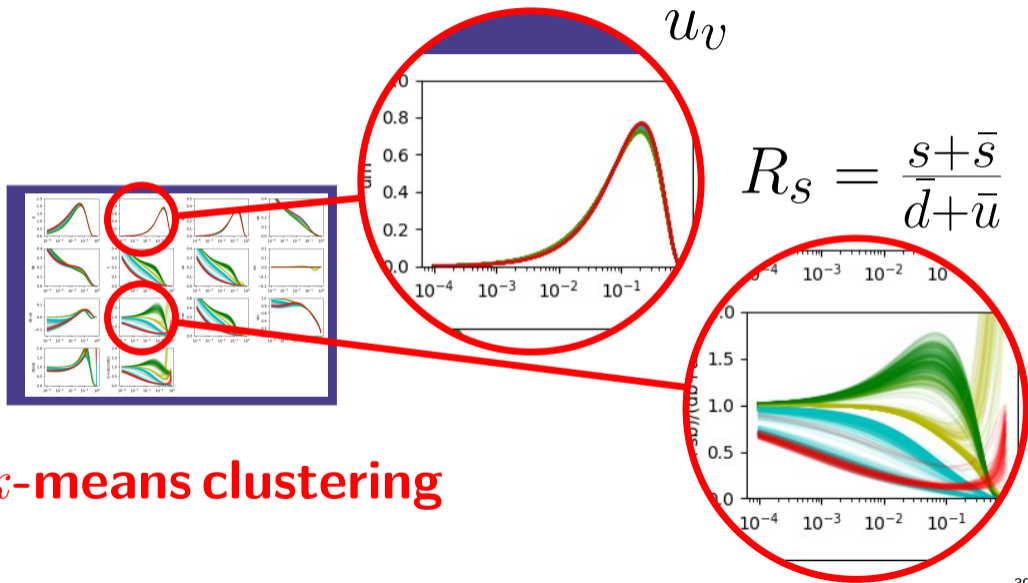
Discriminating multiple solutions



Discriminating multiple solutions

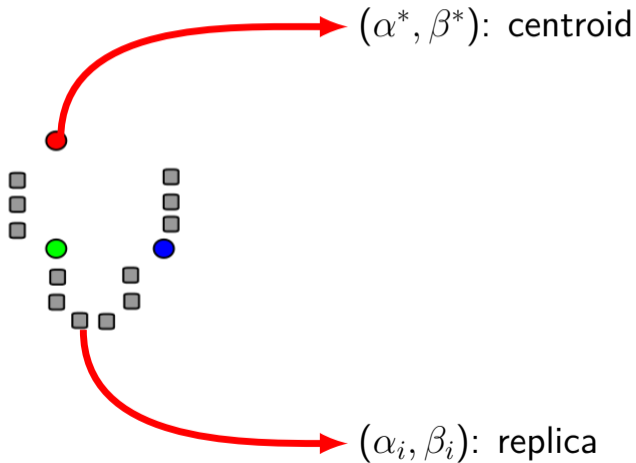


Discriminating multiple solutions



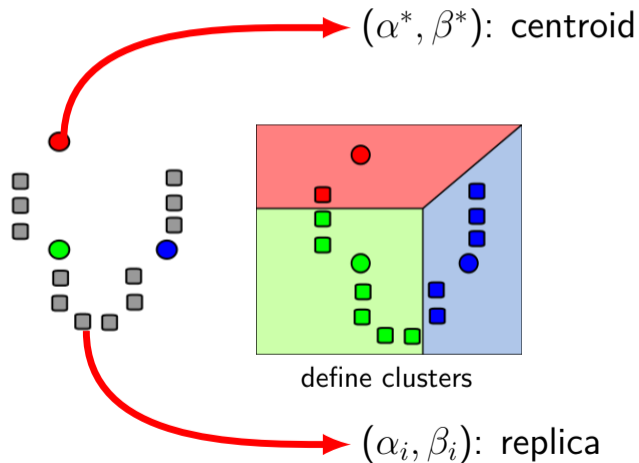
k -means clustering: 2D example (α, β)

e.g $f(x) = x^\alpha(1-x)^\beta$



k -means clustering: 2D example (α, β)

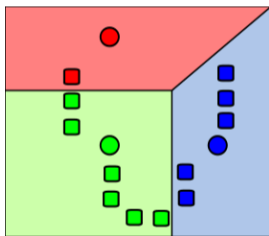
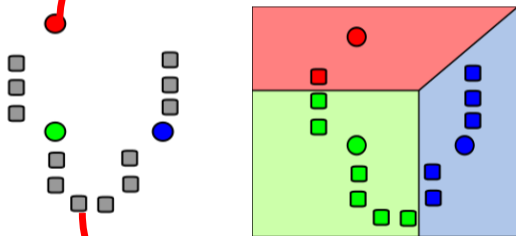
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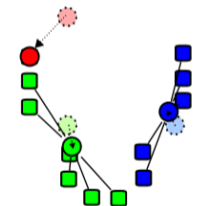
k -means clustering: 2D example (α, β)

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(α^*, β^*) : centroid



define clusters



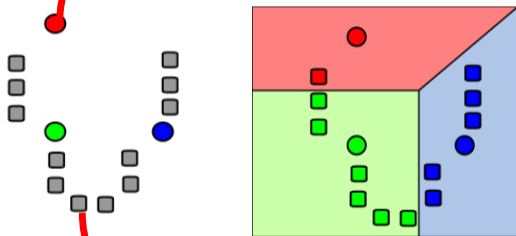
adjust centroids

(α_i, β_i) : replica

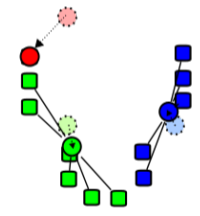
k -means clustering: 2D example (α, β)

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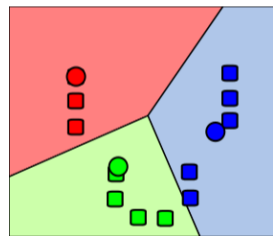
(α^*, β^*) : centroid



define clusters



adjust centroids

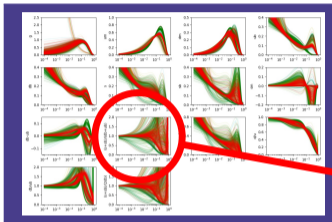


get new clusters

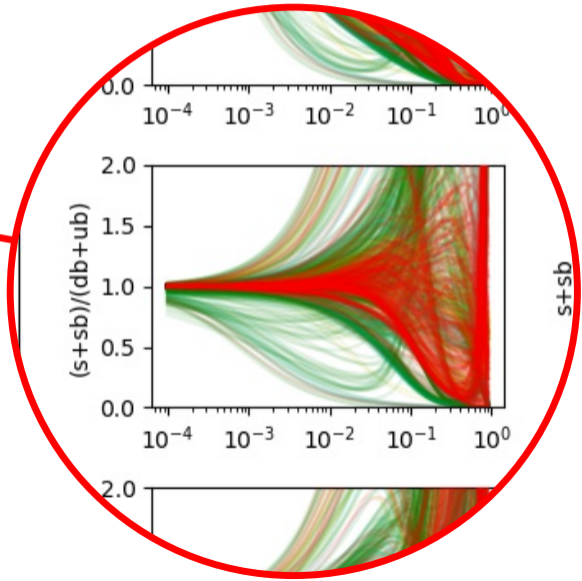
(α_i, β_i) : replica

Constraints on R_s

PDFs

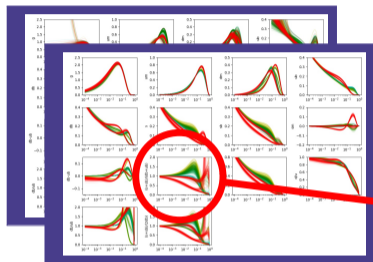


+DIS (No HERA)



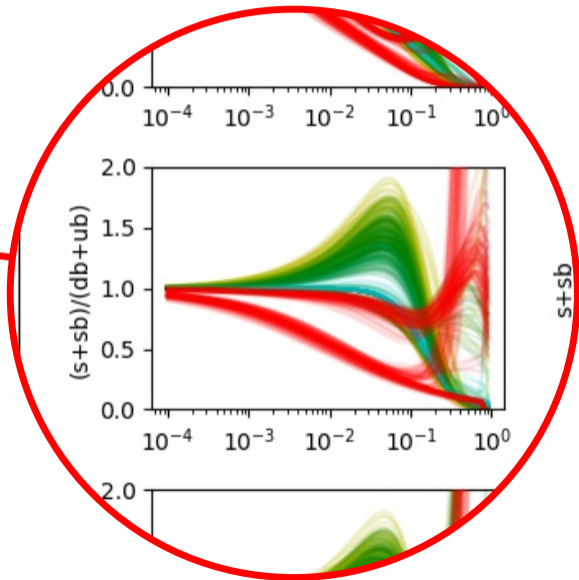
Constraints on R_s

PDFs



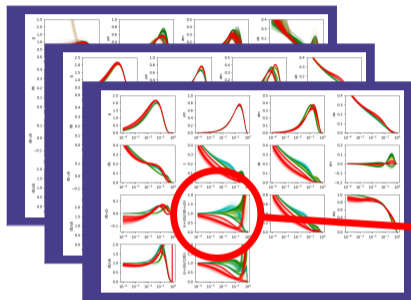
+DIS (No HERA)

+DIS HERA



Constraints on R_s

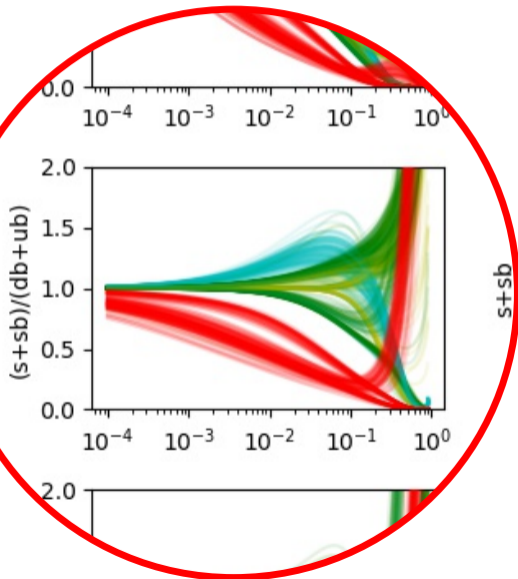
PDFs



+DIS (No HERA)

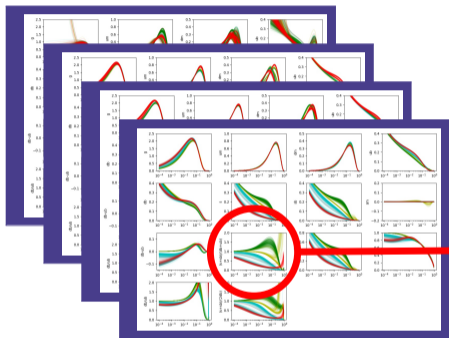
+DIS HERA

+DY

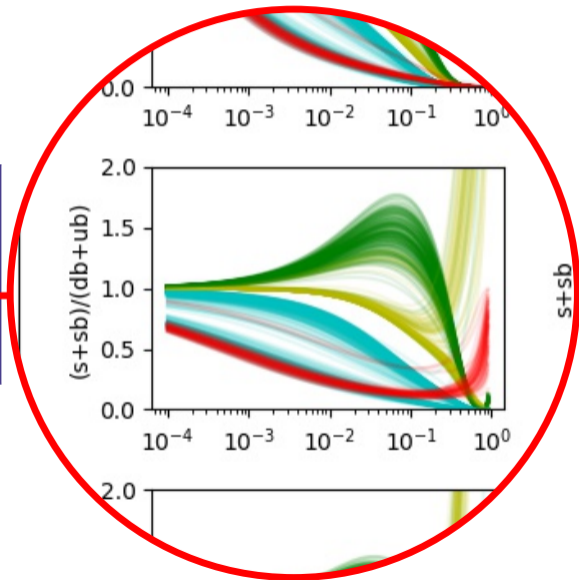


Constraints on R_s

PDFs



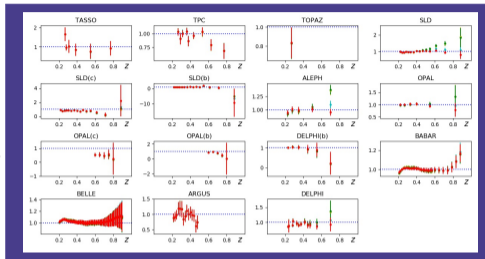
- +DIS (No HERA)
- +DIS HERA
- +DY
- +SIA + SIDIS



... So what makes R_S small?

SIA Kaon (\pm) data

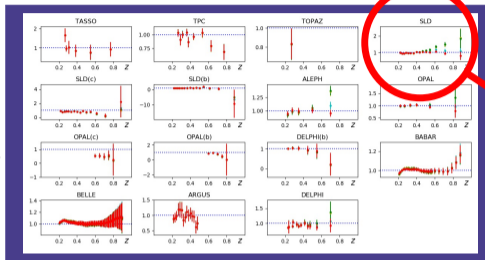
Data/theory



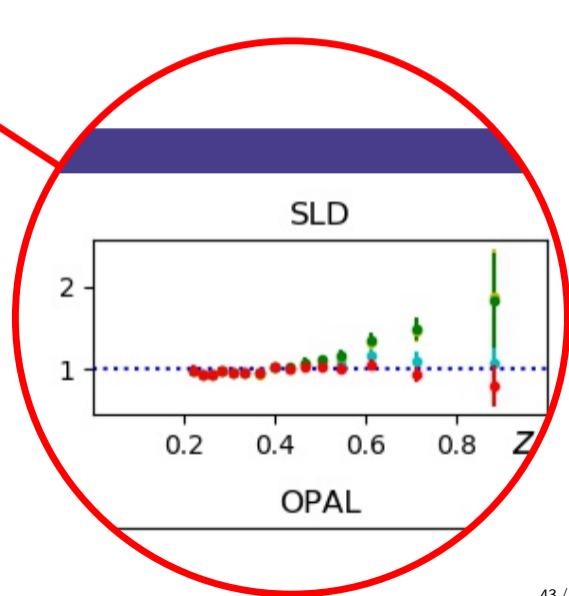
Z

SIA Kaon (\pm) data

Data/theory

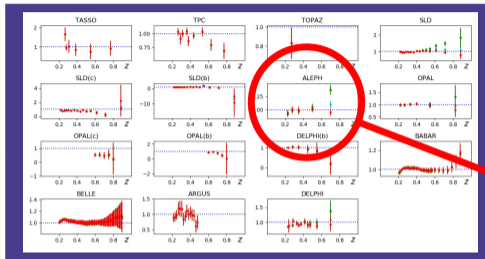


Z

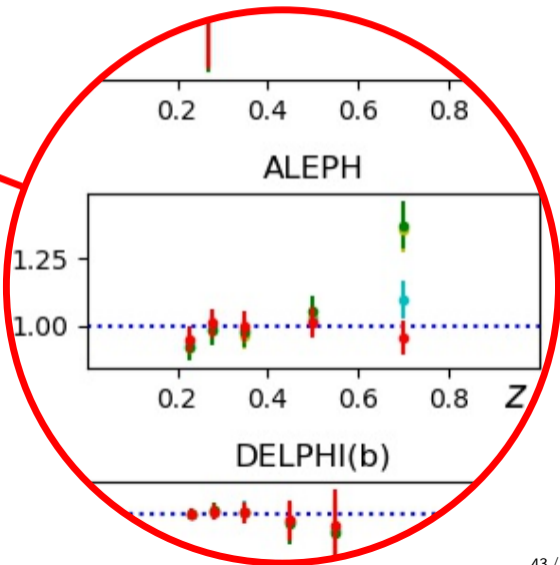


SIA Kaon (\pm) data

Data/theory

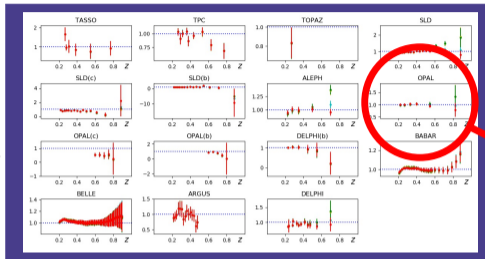


Z

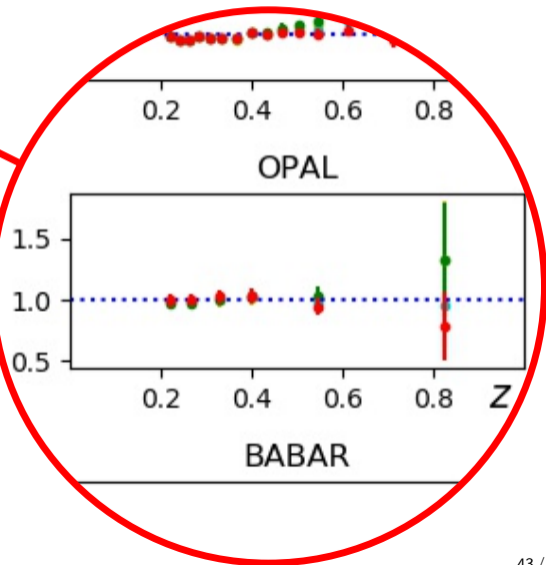


SIA Kaon (\pm) data

Data/theory

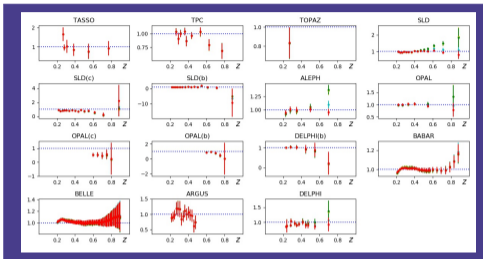


Z



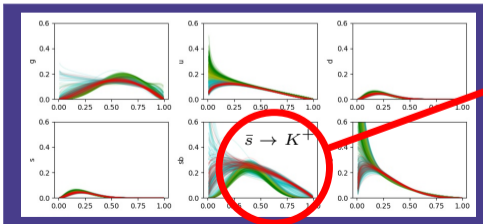
SIA Kaon (\pm) data

Data/theory

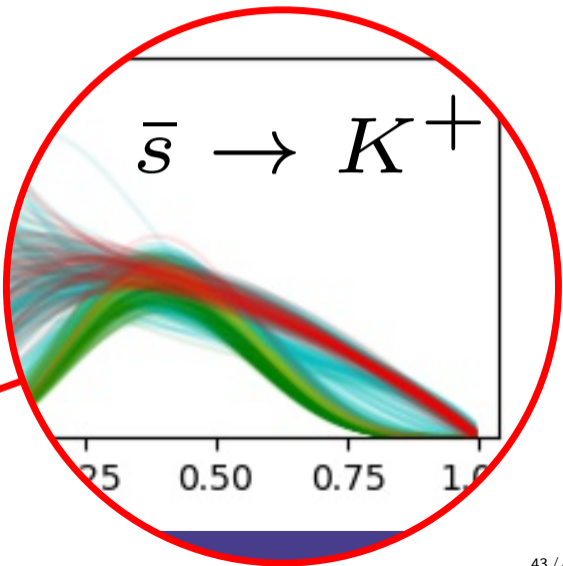


Z

$zD_q^{K^+}$

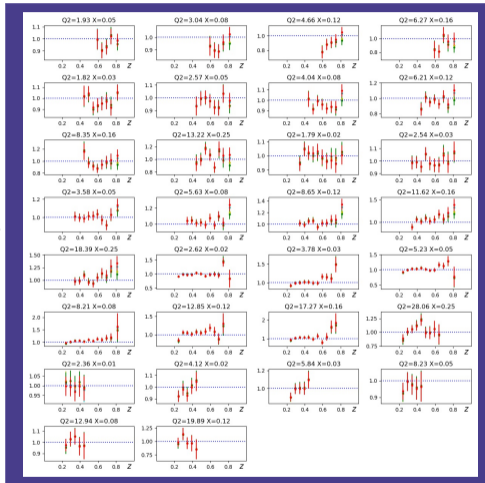


Z



SIDIS Kaon (+) data

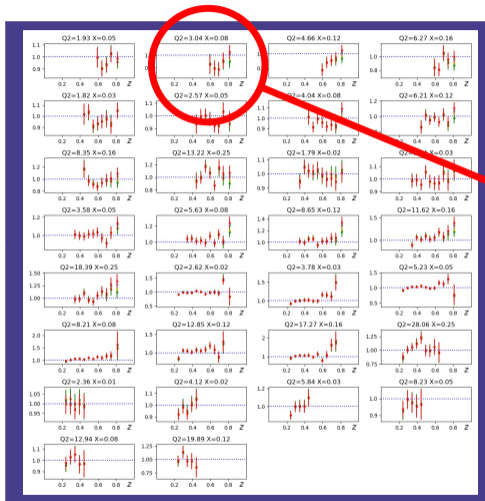
Data/theory



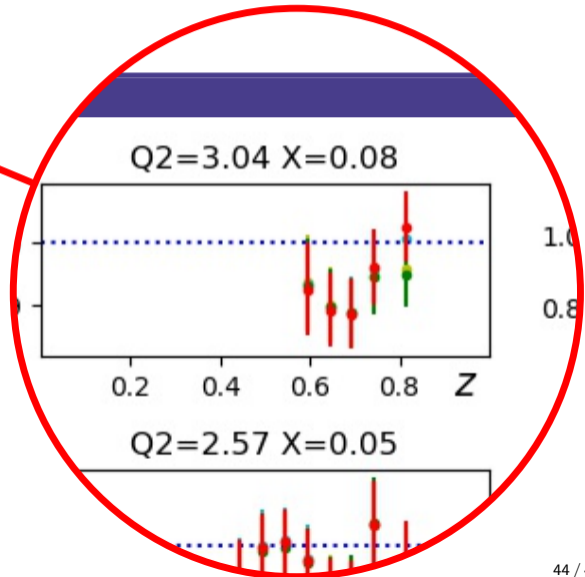
Z

SIDIS Kaon (+) data

Data/theory

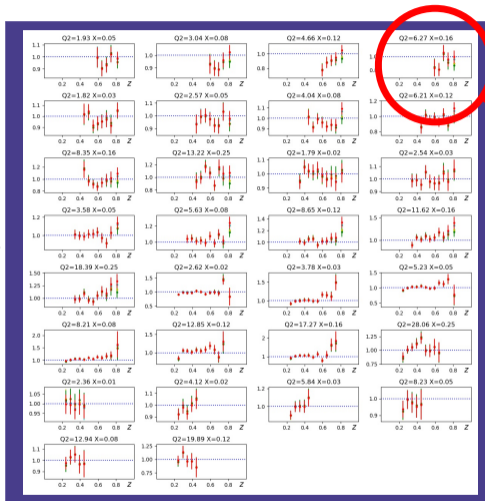


Z

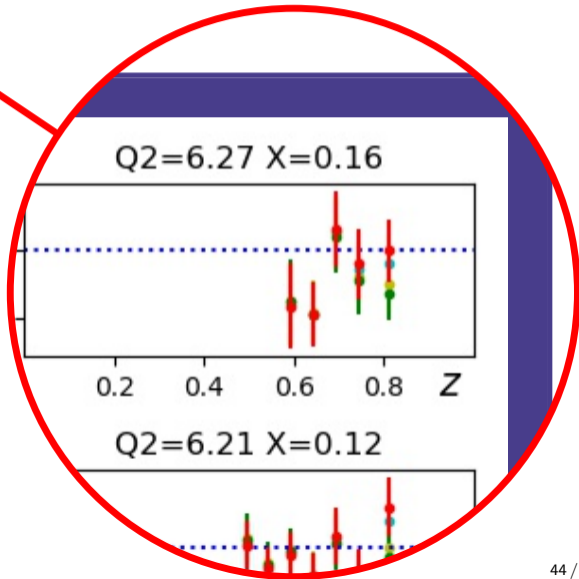


SIDIS Kaon (+) data

Data/theory

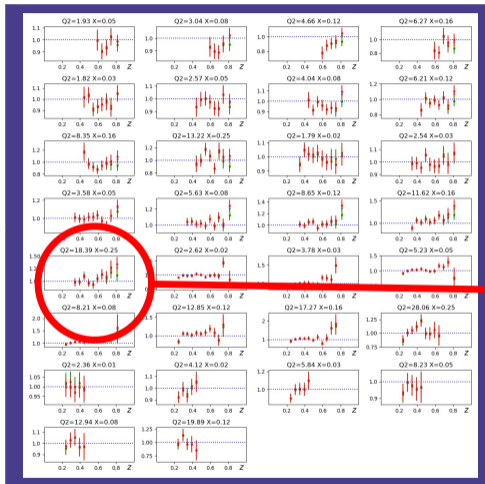


Z

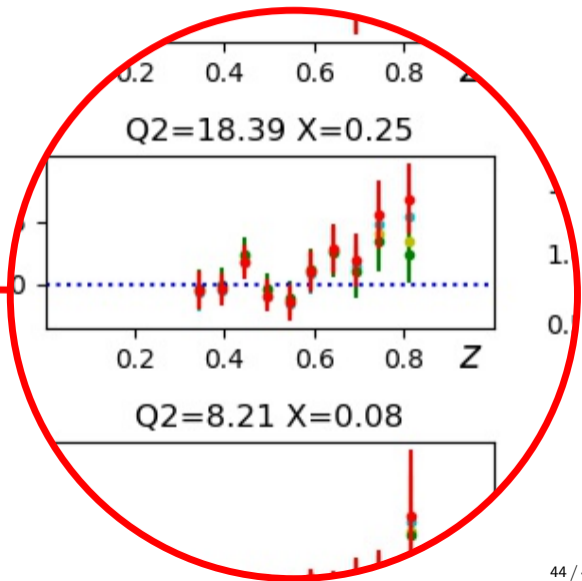


SIDIS Kaon (+) data

Data/theory

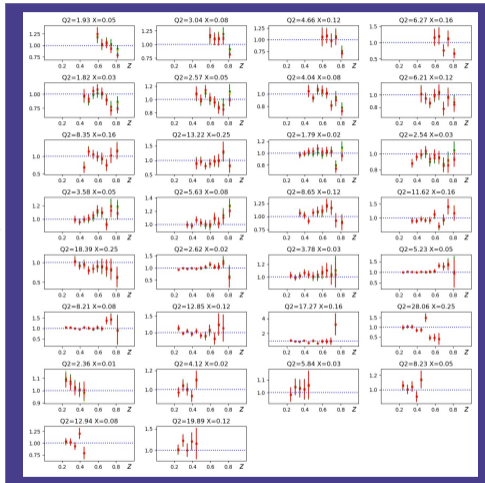


Z



SIDIS Kaon (-) data

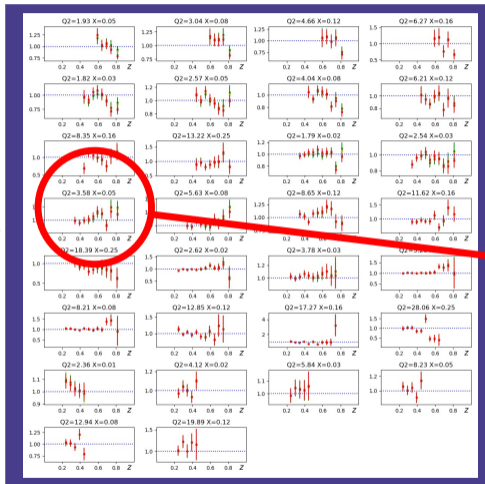
Data/theory



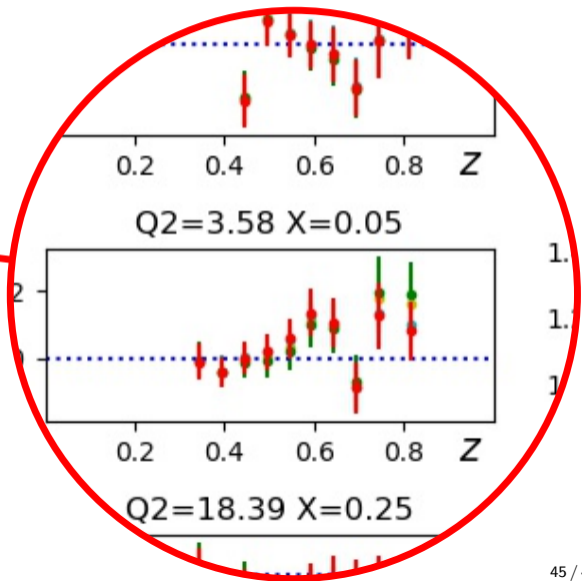
Z

SIDIS Kaon (-) data

Data/theory

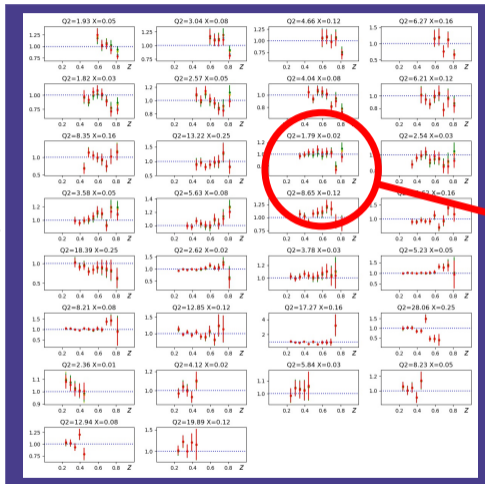


Z

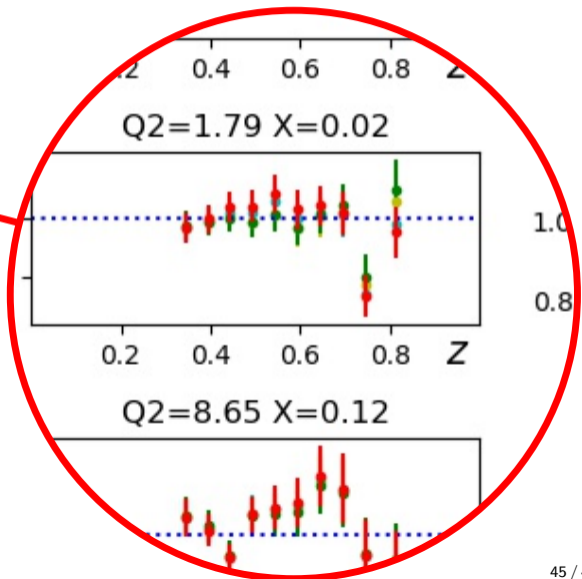


SIDIS Kaon (-) data

Data/theory

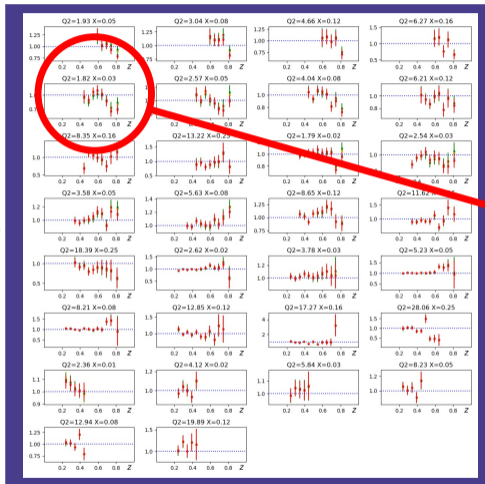


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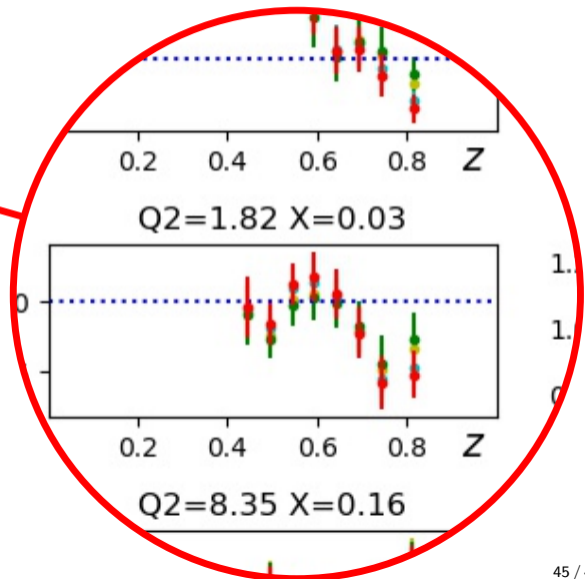


SIDIS Kaon (-) data

Data/theory



Z

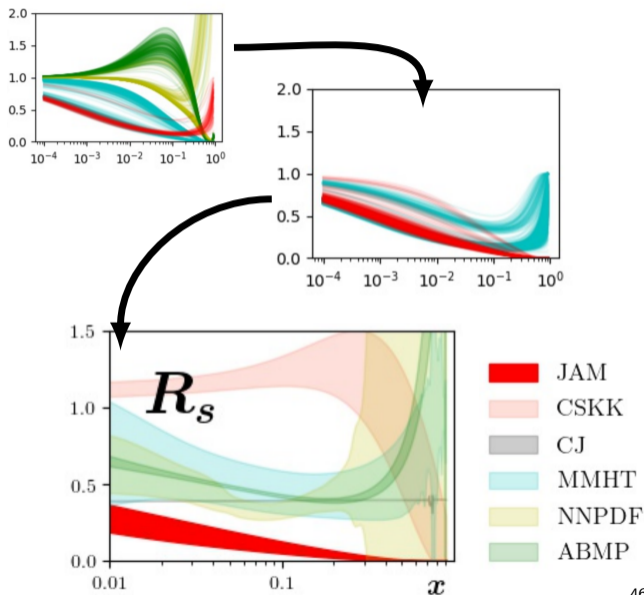


Selection criteria

- Apply k-means clustering
- Classify clusters by increasing order in “extended reduced Chi2”

$$\frac{\chi^2}{N_{\text{tot}}} + \sum_{\text{exp}} \frac{\chi_{\text{exp}}^2}{N_{\text{exp}}}$$

- Perform a new sampling with flat priors around best cluster



Summary and outlook

