

Phenomenology of TeV-scale scalar Leptoquarks in the EFT

Shaouly Bar-Shalom
shaouly@physics.technion.ac.il

Based on: [arxiv: 1812.03178](#) (PRD100 (2019) no.5, 055020),
SBS, Jonathan Cohen, Amarjit Soni, Jose Wudka

Outline



- A LQ-SMEFT framework: Effective Field Theory for TeV-scale LQ's
 - Low-energy lepton number violating (LNV) effect in the LQ-SMEFT
 - Neutrino masses
 - New LQ's Collider signatures in the LQ-SMEFT
 - "SMOKING GUN" LNV signals @ the LHC13 & beyond
- Summary and final notes

The “standard” renormalizable ϕ SM framework:

$$\mathcal{L}_{\phi SM} = \mathcal{L}_{SM} + \mathcal{L}_{Y,\phi} + \mathcal{L}_{H,\phi}$$

$\phi(3,1,-1/3) = S_1$ considered for the B-anomalies ...

consider the $SU(2)$ scalar singlets LQ: “down type”: $\phi(3,1,-1/3)$
“up-type”: $\phi(3,1,2/3)$

The “standard” renormalizable ϕ SM framework:

$$\mathcal{L}_{\phi SM} = \mathcal{L}_{SM} + \mathcal{L}_{Y,\phi} + \mathcal{L}_{H,\phi}$$

$\phi(3,1,-1/3) = S_1$ considered for the B-anomalies ...

consider the $SU(2)$ scalar singlets LQ: “down type”: $\phi(3,1,-1/3)$
 “up-type”: $\phi(3,1,2/3)$

- Yukawa-like interactions (with Baryon # conservation):

$\phi(3,1,-1/3)$

$\phi(3,1,2/3)$

$$\mathcal{L}_{Y,\phi} = y_{q\ell}^L \bar{q}^c i\tau_2 \ell \phi^* + y_{ue}^R \bar{u}^c e \phi^*$$

none!

- Scalar interactions:

$$\mathcal{L}_{H,\phi} = |D_\mu \phi|^2 - M_\phi^2 |\phi|^2 + \lambda_\phi |\phi|^4 + \lambda_{\phi H} |\phi|^2 |H|^2$$

**The message to convey:
it is possible that new un-explored LQ phenomenology
@ TeV-scale energies may be “hiding” in the tails of
the UV physics**



**Effective Field Theory for “light” LQ’s
may be important**



**Assume a light LQ to be one of the low-energy d.o.f
and construct the “LQ-SMEFT”**

The LQ-SMEFT framework:

$$\mathcal{L} = \mathcal{L}_{\phi SM} + \sum_{n=5}^{\infty} \frac{1}{\Lambda^{n-4}} \sum_i f_i O_i^{(n)}$$

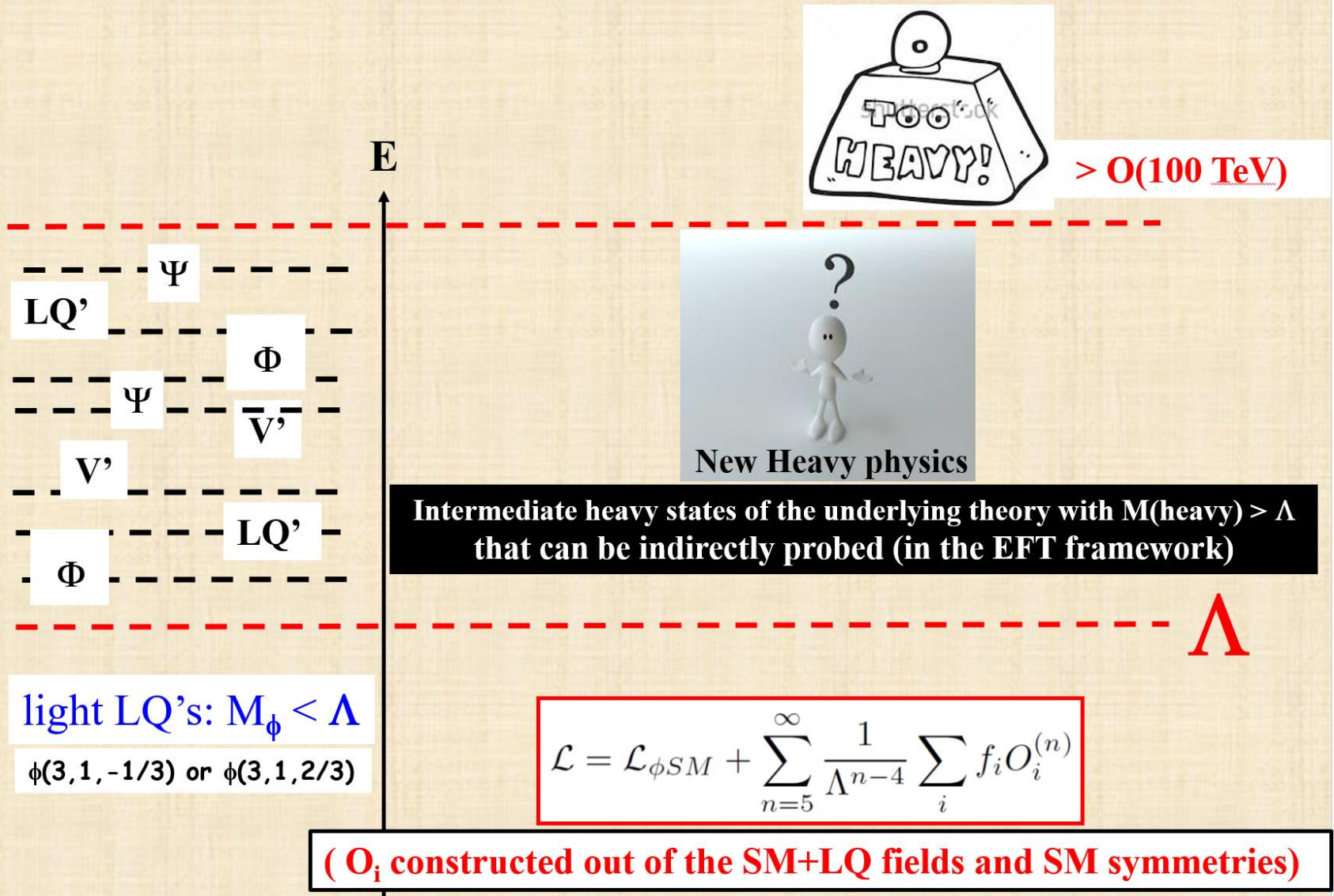
The higher dim. ($n > 4$) effective ops $O_i^{(n)}$ are constructed out of the
SM + "light" LQ field

- Consider the $SU(2)$ -singlets scalar LQ's

$\phi(3, 1, -1/3)$ or $\phi(3, 1, 2/3)$

to be "light" fields ...

The LQ-SMEFT - physical picture



The LQ-SMEFT with $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$

Some good reasons for a light (TeV-scale) scalar LQ

light scalar LQ's + SM Higgs scenarios:

- $\phi(3,1,-1/3)$ + Higgs residing in the same representation; 10 dim multiplet in an SO(10) GUT framework
Aydemir, Mandel, Mitra, arxiv:1902.08108
- scalar LQ's + Higgs are PNGB's of a composite GUT model

e.g.,

Gripaios, Nardecchia, Renner, JHEP 2015, arxiv:1412.1791

Marzocca, JHEP 2018, arxiv:1803.10972

Da Rold, Lamagna, JHEP 2019, arxiv:1812.08678

TeV-scale $\phi(3,1,-1/3)$ to address/explain B-anomalies ($R_{D^{(*)}}$, $R_{K^{(*)}}$)

e.g.,

Sakaki, Tanaka, Tayduganov, Watanabe, PRD 2013, arxiv:1309.0301

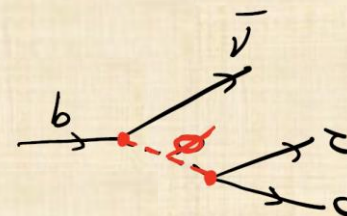
Hiller, Schmaltz, PRD 2014, arxiv:1408.1627

Freytsis, Ligeti, Ruderman, PRD 2015, arxiv:1506.08896

Alonso, Grinstein, Martin, Camalich, JHEP 2015, arxiv:1505.05164

Bauer, Neubert, PRL 2016, arxiv:1511.01900

Mandal, Mitra, Raz, PRD 2019, arxiv:1811.03561



The LQ-SMEFT – *the case of $\phi(3,1,-1/3)$*

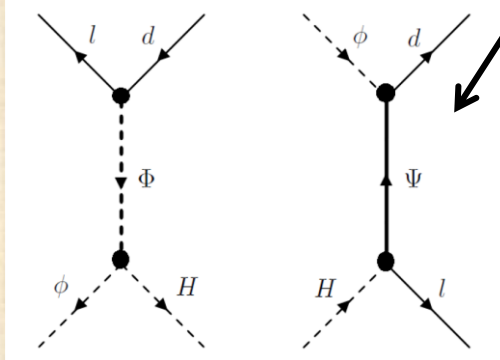
- dim. 5:** only 2 tree-level generated dim. 5 opts involving $\phi(3,1,-1/3)$:
both violate lepton number by 2 units ...

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell}_d \tilde{H} \phi^* + \frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2 + \text{H.c.}$$

The LQ-SMEFT – the case of $\phi(3,1,-1/3)$

- dim. 5:** only 2 tree-level generated dim. 5 opts involving $\phi(3,1,-1/3)$:
both violate lepton number by 2 units ...

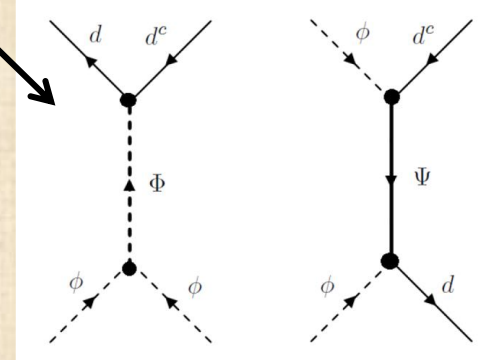
$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d \tilde{H} \phi^* + \frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2 + \text{H.c.}$$



Intermediate heavy states

$$\Phi = \Phi(3,2,1/6)$$

$$\Psi = \Psi(1,1,0), \Psi(1,3,0), \Psi(3,2,-5/6)$$



Intermediate heavy states

$$\Phi = \Phi(6,1,-2/3)$$

$$\Psi = \Psi(1,1,0), \Psi(8,1,0)$$

+ the Weinberg operator;

also generated by $\Psi = \Psi(1,1,0)$ (type I seesaw) and/or $\Psi(1,3,0)$ (type III seesaw): $\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$

The LQ-SMEFT – the case of $\phi(3,1,-1/3)$

$$O_i^{(n)} \in \phi^a H^b \psi^c D^d$$

ϕ multiplicity

The LQ-SMEFT @ dim. 6

$$\phi^6 \Rightarrow$$

$$(\phi^* \phi)^3$$

$$\phi^4 \Rightarrow$$

$$\phi^4 H^2$$

$$\phi^4 D^2$$

$$(H^\dagger H) (\phi^* \phi)^2$$

$$|\phi|^2 |D\phi|^2$$

$$\phi^3 \Rightarrow$$

$$\phi^3 \psi^2$$

$$|\phi|^2 (\bar{q} l^c \phi) , |\phi|^2 (\bar{u} e^c \phi) , |\phi|^2 (\bar{q}^c q \phi) , |\phi|^2 (\bar{u}^c d \phi)$$

$$\phi^2 \Rightarrow$$

$$\phi^2 \times SM$$

$$\phi^2 \psi^2 D$$

$$\text{octet } \phi^* \phi$$

$$|\phi|^2 \mathcal{O}_{SM}^4$$

$$\epsilon^{abc} \phi_a (D_\mu \phi)_b \bar{\ell} \gamma^\mu q_c$$

$$(\phi^\dagger \lambda^a D_\mu \phi) (\bar{q} \lambda^a \gamma^\mu q)$$

$$\epsilon^{abc} \phi_a (D_\mu \phi)_b \bar{e} \gamma^\mu d_c$$

$$(\phi^\dagger \lambda^a \phi) B^{\mu\nu} G_{\mu\nu}^a$$

$$\phi \Rightarrow$$

$$\phi \psi^2 D^2$$

$$\phi \psi^2 H D$$

$$\phi \psi^2 H^2$$

$$\begin{aligned} D^2 \times \bar{q} q^c \phi^* \\ D^2 \times \bar{q} l^c \phi \\ D^2 \times \bar{d}^c u \phi \\ D^2 \times \bar{u} e^c \phi \end{aligned}$$

$$\begin{aligned} (\bar{q} H) \gamma^\mu u^c D_\mu \phi^\dagger \\ (\bar{q} \tilde{H}) \gamma^\mu d^c D_\mu \phi^\dagger \\ (\bar{q} \tilde{H}) \gamma^\mu e^c D_\mu \phi \\ (\bar{l} H) \gamma^\mu u^c D_\mu \phi \\ (\bar{l} \tilde{H}) \gamma^\mu d^c D_\mu \phi \end{aligned}$$

$$\begin{aligned} |H|^2 \phi^\dagger \bar{q} q^c \\ |H|^2 \phi \bar{q} l^c \\ |H|^2 \phi \bar{d}^c u \\ |H|^2 \phi \bar{u} e^c \\ \phi^\dagger (\bar{q} H) (H^\dagger q^c) \\ \phi (\bar{q} H) (H^\dagger l^c) \end{aligned}$$

e.g.,

$$D^2 \times \bar{q} l^c \phi \rightarrow (\bar{q} D_\mu l^c) D^\mu \phi , (\bar{q} \sigma_{\mu\nu} l^c) B^{\mu\nu} \phi , (\bar{q} \sigma_{\mu\nu} \sigma^I l^c) W_I^{\mu\nu} \phi , (\bar{q} \sigma_{\mu\nu} \lambda^A l^c) G_A^{\mu\nu} \phi$$

This is the complete list of dim.6 opts for $\phi(3,1,-1/3)$...

Low-energy lepton number violating (LNV) effect in the LQ-SMEFT



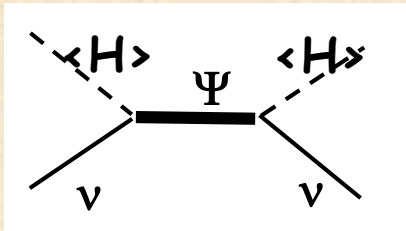
New mechanisms for loop generated Majorana neutrino masses

loop generated Majorana Neutrino masses

Weinberg opt

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

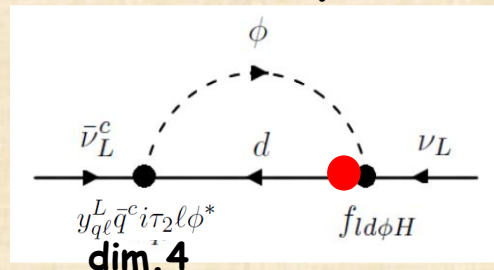
Tree-level



SMEFT

$$\frac{f_{\ell d \phi H}}{\Lambda_{\ell d \phi H}} \bar{\ell} d \tilde{H} \phi^*$$

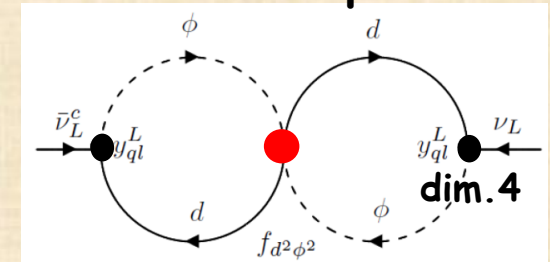
1-loop



LQ-SMEFT

$$\frac{f_{d^2 \phi^2}}{\Lambda_{d^2 \phi^2}} \bar{d} d^c \phi^2$$

2-loop

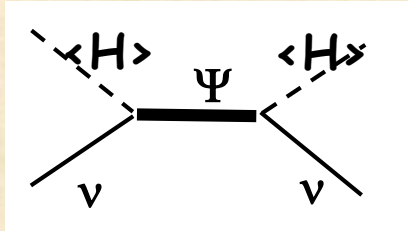


Majorana Neutrino masses

Weinberg opts

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

Tree-level

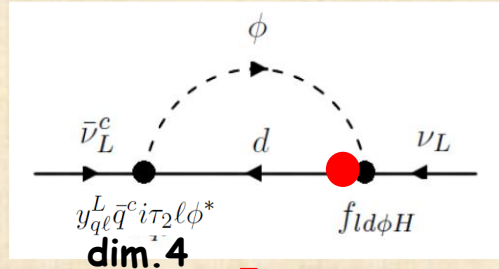


$$m_\nu(\Lambda) \sim f_W \cdot \frac{v^2}{\Lambda_W}$$



$$\frac{f_{\ell d \phi H}}{\Lambda_{\ell d \phi H}} \bar{\ell} d \tilde{H} \phi^*$$

1-loop

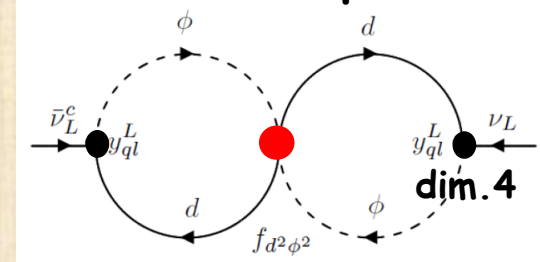


$$m_\nu(\Lambda) \sim \frac{3m_d}{16\pi^2} \frac{f \cdot y_{ql}^L}{\sqrt{2}} \frac{v}{\Lambda} \ln \left(\frac{\Lambda^2}{M_\phi^2} \right)$$



$$\frac{f_{d^2 \phi^2}}{\Lambda_{d^2 \phi^2}} \bar{d} d^c \phi^2$$

2-loop



$$m_\nu(\Lambda) \sim \frac{f \cdot (y_{ql}^L)^2}{(16\pi^2)^2} \frac{3m_d^2}{\Lambda} \cdot \ln^2 \left(\frac{\Lambda^2}{M_\phi^2} \right)$$



$m_\nu < \text{eV}$ with $\Lambda \sim \text{O}(1 \text{ TeV})$

naturally generate sub-eV m_ν
@ 2-loops with $\Lambda \sim 5 \text{ TeV}$

$\Rightarrow$ no useful bound on $d^2 \phi^2$ opts ...

$m_\nu < \text{eV}$

both opts severely suppressed

(Λ too large to be relevant for LHC pheno ...)

We are left with a single class of viable dim.5 opts for TeV-scale physics

$\phi(3,1,-1/3)$

$O(1)$ Wilson coefficients & a TeV-scale cutoff:

$$\Lambda_{d^2\phi^2} \sim O(\text{few TeV}) \quad \& \quad f_{d^2\phi^2} \sim O(1)$$

$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2$$

We are left with a single class of viable dim.5 opts for TeV-scale physics

$\phi(3,1,-1/3)$

$O(1)$ Wilson coefficients & a TeV-scale cutoff:

$$\Lambda_{d^2\phi^2} \sim O(\text{few TeV}) \quad \& \quad f_{d^2\phi^2} \sim O(1)$$

$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2$$

Consider also the up-type $\phi(3,1,2/3)$: **4 possible dim.5 opts**

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell u\phi H}}{\Lambda_{\ell u\phi H}} \bar{\ell} u \tilde{H} \phi^* + \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d H \phi^* + \frac{f_{qe\phi H}}{\Lambda_{qe\phi H}} \bar{q} e H \phi + \frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u} u^c \phi^2 + \text{H.c.}$$

Similar importance for $\phi(3,1,2/3)$ production @LHC:

$$\frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u} u^c \phi^2$$

also not constrained: $\Lambda_{u^2\phi^2} \sim O(\text{few TeV})$ & $f_{u^2\phi^2} \sim O(1)$

signals of the LQ-SMEFT paradigm

New & surprising LNV ($\Delta L=2$) LQ collider signatures @LHC13 & beyond



$$\frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u}u^c\phi^2$$



$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d}d^c\phi^2$$

CSX's calculated with MadGraph5;

producing a dedicated UFO model for the LQ-SMEFT framework (using FeynRules ...)

Assume 3rd generation LQ's

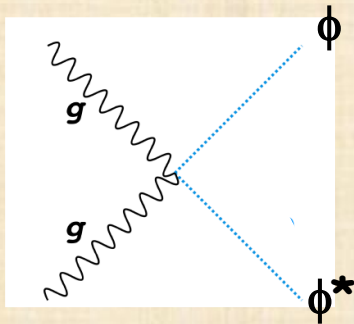
not necessary but provides an interesting example of the rich pheno involved
and also connects to the B-anomalies ...

easy to construct/impose: with a Z_3 generation symmetry

(more details in arxiv: 1812.03178)

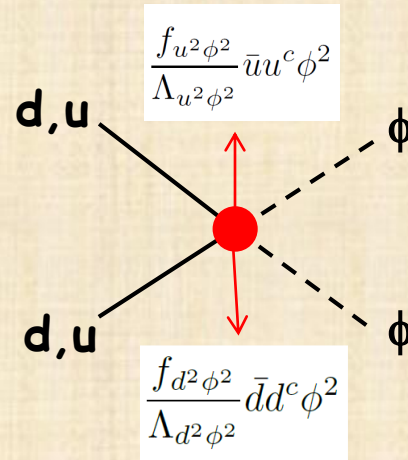
the $d^2\phi^2$ & $u^2\phi^2$ opts & $\phi\phi$ pair production

renormalizable ϕ SM framework



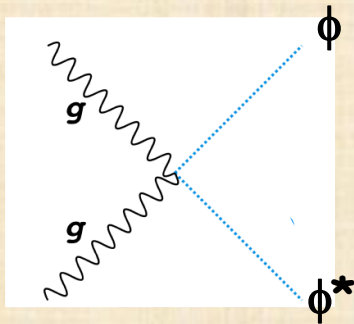
QCD production

LQ-SMEFT framework



the $d^2\phi^2$ & $u^2\phi^2$ opts & $\phi\phi$ pair production

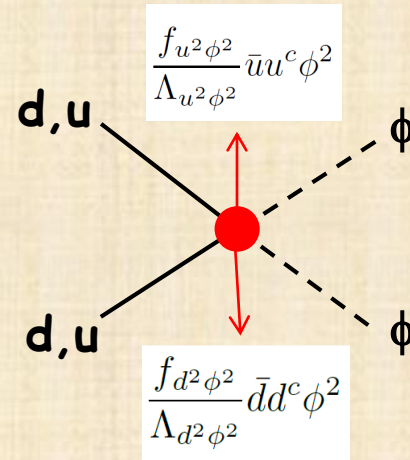
renormalizable ϕ SM framework



QCD production

opposite-charged $\phi\phi^*$ production

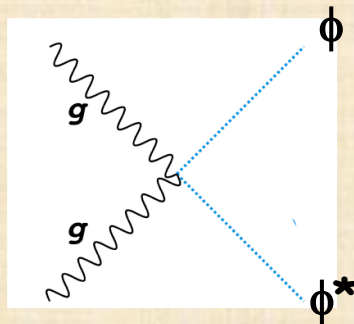
LQ-SMEFT framework



same-charge $\phi\phi$ production

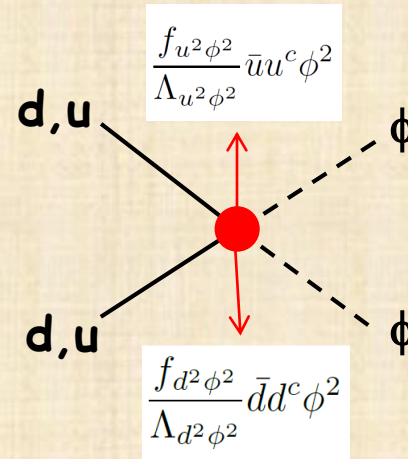
the $d^2\phi^2$ & $u^2\phi^2$ opts & $\phi\phi$ pair production

renormalizable ϕ SM framework



QCD production

LQ-SMEFT framework



opposite-charged $\phi\phi^*$ production

CSX falls with E

$$\hat{\sigma}(pp_{(gg,qq)} \rightarrow \phi\phi^*) \propto \frac{1}{\hat{s}}$$

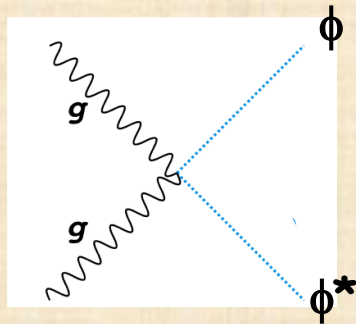
same-charge $\phi\phi$ production

CSX grows with E

$$\hat{\sigma}(pp_{(qq)} \rightarrow \phi\phi) = \frac{f_{q^2\phi^2}^2}{\Lambda_{q^2\phi^2}^2} \cdot \left(\frac{1 - \frac{4M_\phi^2}{\hat{s}}}{12\pi} \right)^{1/2}$$

the $d^2\phi^2$ & $u^2\phi^2$ opts & $\phi\phi$ pair production

renormalizable ϕ SM framework



QCD production

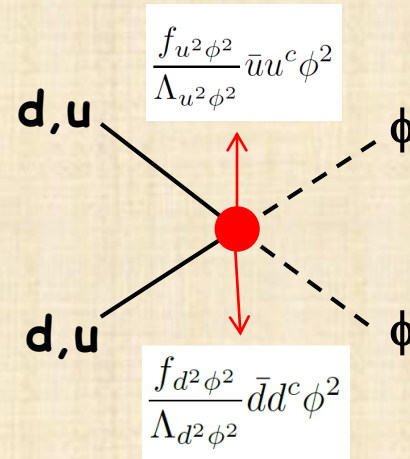
opposite-charged $\phi\phi^*$ production

CSX drops with E

$$\hat{\sigma}(pp_{(gg,qq)} \rightarrow \phi\phi^*) \propto \frac{1}{\hat{s}}$$

Collider signature
 $pp \rightarrow \phi\phi^* \rightarrow \tau^+\tau^-$
opposite-sign leptons

LQ-SMEFT framework



same-charge $\phi\phi$ production

CSX grows with E

$$\hat{\sigma}(pp_{(qq)} \rightarrow \phi\phi) = \frac{f_{q^2\phi^2}^2}{\Lambda_{q^2\phi^2}^2} \cdot \left(\frac{1 - \frac{4M_\phi^2}{\hat{s}}}{12\pi} \right)^{1/2}$$

Collider signature
 $pp \rightarrow \phi\phi \rightarrow \tau^+\tau^+, \tau^-\tau^-$
same-sign leptons !!!

the $d^2\phi^2$ & $u^2\phi^2$ opts & $\phi\phi$ pair production

expectations: NP scale $\Lambda=5$ TeV

	LQ-SMEFT (dim.5: $\Lambda=5$ TeV)		$L_{\phi\text{SM}}$ (dim. 4)
	$d^2\phi^2$: $pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2$: $pp_{(uu)} \rightarrow \phi\phi$	QCD: $pp_{(gg,qq)} \rightarrow \phi\phi^*$
$\sigma(M_\phi=1 \text{ TeV})$	14 fb	77 fb	3 fb
$\sigma(M_\phi=2 \text{ TeV})$	0.3 fb	3 fb	0.005 fb

> >

LQ-SMEFT LQ signals are much larger than “standard” QCD LQ-production

e.g., $M(\text{LQ}) \sim 2 \text{ TeV}$

a factor of 1000 enhancement for same-charge LQ pair-prod. !

**An important
extra handle**



$\ll$

$$\hat{\sigma}(pp_{(qq)} \rightarrow \phi\phi) \gg \hat{\sigma}(pp_{(\bar{q}q)} \rightarrow \phi^*\phi^*)$$

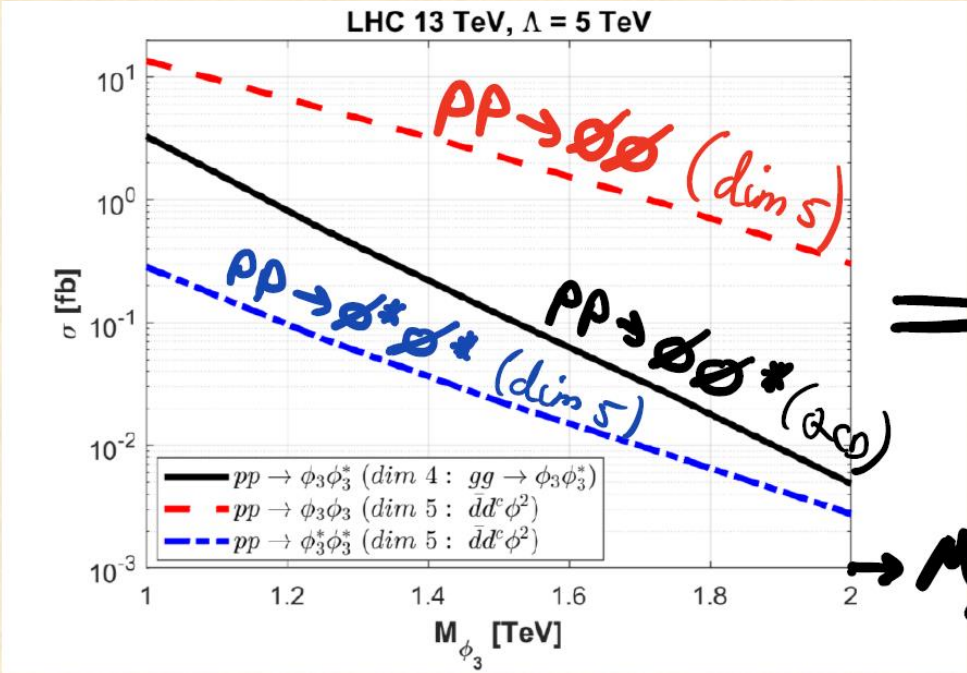
Asymmetric $\phi\phi$ vs $\phi^*\phi^*$ prod (enhanced dd/uu pdf's ...)

An important extra handle



$\hat{\sigma}(pp_{(qq)} \rightarrow \phi\phi) \gg \hat{\sigma}(pp_{(\bar{q}q)} \rightarrow \phi^*\phi^*)$

Asymmetric $\phi\phi$ vs $\phi^*\phi^*$ prod (enhanced dd/uu pdf's ...)



$\Rightarrow \Lambda = 5 \text{ TeV}$

$\rightarrow M_\phi [\text{TeV}]$

LNV signatures from same-charge $\phi\phi$ pair production:

When the LQ decays to 3rd gen fermions:

(note: decay of $\phi(3,1,2/3) \rightarrow$ quark+lepton are pure EFT ...)

LQ-SMEFT (dim.5)	
3 rd gen $\phi(3,1,-1/3)$	3 rd gen $\phi(3,1,2/3)$
$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$
$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt+\cancel{e}_\tau$
	$\phi\phi \rightarrow \tau^+\tau^++2\cdot j_b$



same-charge $\phi\phi$ prod yield same-sign lepton pairs !

LNV signatures from same-charge $\phi\phi$ pair production:

When the LQ decays to 3rd gen fermions:

(note: decay of $\phi(3,1,2/3) \rightarrow$ quark+lepton are pure EFT ...)

LQ-SMEFT (dim.5)	
3 rd gen $\phi(3,1,-1/3)$	3 rd gen $\phi(3,1,2/3)$
$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$
$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt+\cancel{E}_T$
	$\phi\phi \rightarrow \tau^+\tau^++2\cdot j_b$

same-charge $\phi\phi$ prod yield same-sign lepton pairs !
In contrast to the conventional QCD LQ pair-prod signals:

$L_{\phi SM}$ (dim. 4)
3 rd gen $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$
QCD: $pp_{(gg,qq)} \rightarrow \phi\phi^*$
$\phi\phi^* \rightarrow \bar{t}t\tau^+\tau^-, \bar{t}t+\cancel{E}_T$
$\phi\phi^* \rightarrow \tau^+\tau^-+2\cdot j_b$

LNV signatures from same-charge $\phi\phi$ pair production:

LQ-SMEFT (dim.5)	
3 rd gen $\phi(3,1,-1/3)$	3 rd gen $\phi(3,1,2/3)$
$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$
$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt + \cancel{e}_\tau$
	$\phi\phi \rightarrow \tau^+\tau^+ + 2\cdot j_b$

- Typical rates at 13 TeV LHC with 300 fb⁻¹ (after top-decays ...):

$M_\phi=1$ TeV & $\Lambda=5$ TeV

- 5000 positively charged $\tau^+\tau^+$ events via $pp \rightarrow \phi\phi \rightarrow \tau^+\tau^+ + 2\cdot j_b$
- 500 negatively charged $\tau^-\tau^-$ events via $pp \rightarrow \phi\phi \rightarrow \tau^-\tau^- + 2\cdot j_b + 4\cdot j$
- 50 positively charged l^+l^+ events via $pp \rightarrow \phi\phi \rightarrow l^+l^+ + 2\cdot j_b + \cancel{e}_\tau$

Much smaller rate for corresponding opposite-charged lepton pair events !

extra handle for these LQ-SMEFT signals

Asymmetric same-sign lepton production:

$$\overset{\phi(3,1,-1/3)}{N(tt\tau^-\tau^-)} \gg \overset{\phi(3,1,2/3)}{N(\bar{t}\bar{t}\tau^+\tau^+)} , N(\tau^+\tau^+ + 2\cdot j_b) \gg N(\tau^-\tau^- + 2\cdot j_b)$$

⇒ Useful double-charge asymmetry with no irreducible backg. e.g.,

X_j = any accompanying jet

$$\mathcal{A}_{\tau\tau} \equiv \frac{\sigma(pp \rightarrow \tau^-\tau^- + X_j) - \sigma(pp \rightarrow \tau^+\tau^+ + X_j)}{\sigma(pp \rightarrow \tau^-\tau^- + X_j) + \sigma(pp \rightarrow \tau^+\tau^+ + X_j)} \sim 100\%$$

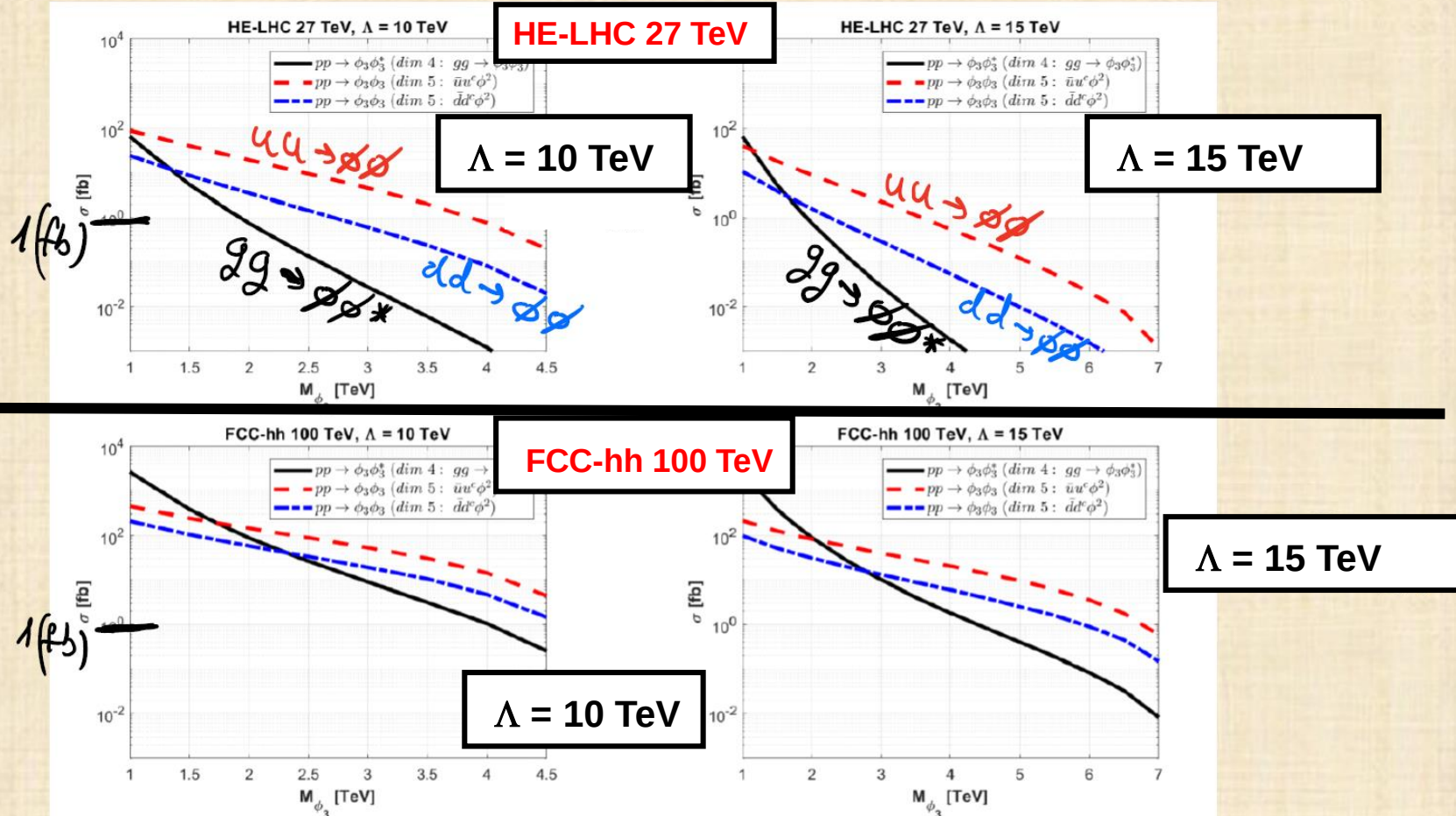
Unfortunately, LHC13 can be sensitive to these same-charge $\phi\phi$ signals only up to $M_\phi \sim 1\text{-}2\text{ TeV}$

$CSX(\phi\phi)$ drops sharply with M_ϕ @LHC13 due to the limited phase-space



$\phi\phi$ pair production @ higher energy colliders

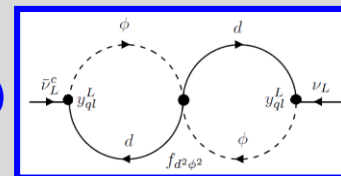
$\phi\phi$ pair production @ higher energy colliders



Future higher-energy hadron colliders will be sensitive to the LQ-SMEFT dynamics up to LQ masses of $M_{\phi} \sim 5$ TeV & NP scale of $\Lambda \sim 15$ TeV i.e., with CSX's for same-sign charged leptons larger than $\sigma \sim \mathcal{O}(1 \text{ fb})$



- Introducing the LQ-SMEFT framework:
 - LQ assumed to be one of the “light” fields
 - Sidestep model dependencies in LQ phenomenology
- Going beyond the renormalizable LQ Lag. has interesting outcomes:
 - **2-loop sub-eV Majorana neutrino masses:**
 - Lowering the typical $\Delta L=2$ scale by orders of magnitudes ($\Lambda < 10$ TeV)
 - **leading effects from several new $\Delta L=2$ dim.5 effective opts**
 - effects of higher dim LQ opts $\gg$ effects from “standard” dim4 LQ terms



$$\sigma(pp \rightarrow \phi\phi)_{\text{dim5}} \gg \sigma(pp \rightarrow \phi\phi^*)_{\text{QCD}}$$



- “smoking gun” same-sign lepton pair signals
at the LHC13 & beyond:
 - with highly asymmetric double-charge rates:

$$\sigma(pp \rightarrow \phi\phi) \gg \sigma(pp \rightarrow \phi^*\phi^*) \rightarrow \text{yielding e.g.,}$$

$$N(pp \rightarrow \tau^+\tau^+ + 2 \cdot j_b) \gg N(pp \rightarrow \tau^-\tau^- + 2 \cdot j_b)$$

$$N(pp \rightarrow \tau^-\tau^- + 2 \cdot j_b + 4 \cdot j) \gg N(pp \rightarrow \tau^+\tau^+ + 2 \cdot j_b + 4 \cdot j)$$

$$N(pp \rightarrow \ell^+\ell^+ + 2j_b + \cancel{E}_T) \gg N(pp \rightarrow \ell^-\ell^- + 2j_b + \cancel{E}_T)$$

- & CSX's much larger than the “standard” opposite-sign lepton pairs signals:

$$\sigma(pp \rightarrow \phi\phi \rightarrow \ell^\pm \ell^\pm + X) \gg \sigma(pp \rightarrow \phi\phi^* \rightarrow \ell^\pm \ell^\mp + X)$$

- sensitivities up to $M(\text{LQ}) \sim 2 \text{ TeV}$ @LHC13 [$\Lambda \sim 5 \text{ TeV}$]
 $M(\text{LQ}) \sim 5 \text{ TeV}$ @FCC-hh100 [$\Lambda \sim 15 \text{ TeV}$]

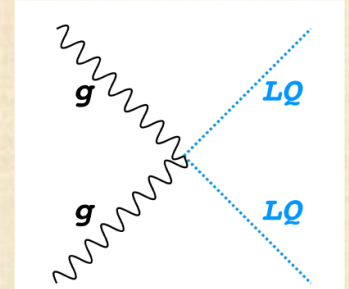
further thoughts: extending the LQ-SMEFT framework to more LQ types

Backups & more slides

The LQ paradigm – some basic fact for LHC pheno ...

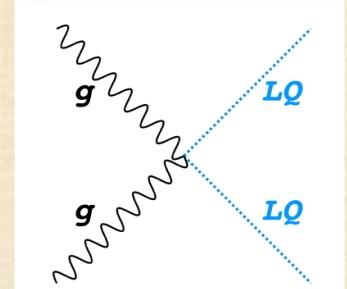
- LQ's are colored fields
⇒ should be copiously (QCD) pair produced @LHC if $M_{LQ} \sim O(1 \text{ TeV})$

- Typical CSX is: $\sigma(pp \rightarrow \phi\phi^*) \sim \underline{5(0.01) \text{ [fb]}}$ for $\underline{M_\phi \sim 1(2) \text{ TeV}}$

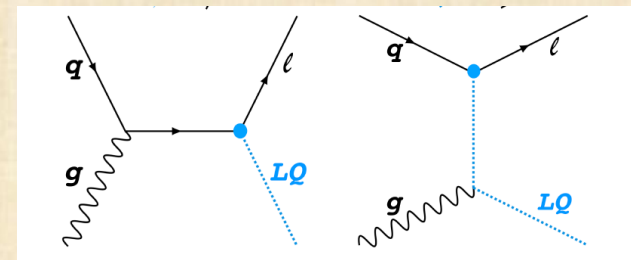


The LQ paradigm – some basic fact for LHC pheno ...

- LQ's are colored fields
 $\Rightarrow$ should be copiously (QCD) pair produced @LHC if $M_{LQ} \sim O(1 \text{ TeV})$
- Typical CSX is: $\sigma(pp \rightarrow \phi\phi^*) \sim \underline{5(0.01) \text{ [fb]}}$ for $\underline{M_\phi \sim 1(2) \text{ TeV}}$
- Single LQ production via quark-gluon fusion $qg \rightarrow \phi l$ may also be important if Yukawa-like LQ-quark-lepton couplings are sizable



-e.g., if the LQ is a “1st generation scalar LQ”;



these channels are however model dependent !

The LQ paradigm – some basic fact for LHC pheno ...

- LQ's decay via: $\phi \rightarrow q_i e_j, q_i \nu_j$ $[\Gamma \sim (y_{\phi q l})^2 M_\phi / 16\pi]$
- LQ categorized according to their couplings to a lepton-quark pair:
1st, 2nd or 3rd gen. LQs ...
- $\Rightarrow$ rich and possibly surprising LQ's collider phenomenology:

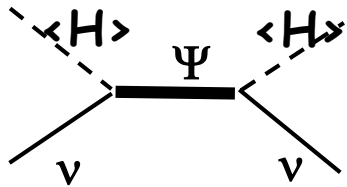
- lepton + light jet + missing ET $pp \rightarrow \ell^\pm + j + \cancel{E}_T$
 - lepton + 2 light jets + missing ET $pp \rightarrow \ell^\pm + 2j + \cancel{E}_T$
 - opposite-charged lepton pair + single light let $pp \rightarrow \ell^+ \ell^- + j$
 - opposite-charged lepton pair + 2 light lets $pp \rightarrow \ell^+ \ell^- + 2j$
 - b-jet(s) + lepton(s) with or without missing ET (3rd gen. LQ) $pp \rightarrow \ell / \ell^+ \ell^- + n \cdot j_b + \cancel{E}_T$
 - top-quark(s) + lepton(s) with or without missing ET (3rd gen. LQ) $pp \rightarrow \ell / \ell^+ \ell^- + t / t\bar{t} + \cancel{E}_T$
 - ...
- Model dependent ...

No LQ signal yet; **typical bounds are $M_\phi > 1 \text{ TeV}$** , depending on the underlying $\phi \rightarrow$ quark+lepton decay pattern and/or on the LQ generation (typically lower bounds for 3rd gen LQ ...)

Neutrino masses

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

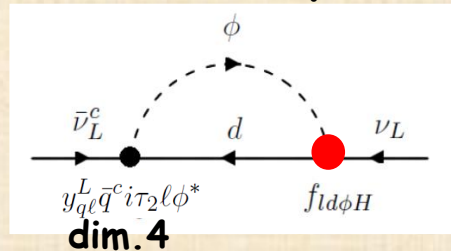
Tree-level



$$m_\nu(\Lambda) \sim f_W \cdot \frac{v^2}{\Lambda_W}$$

$$\frac{f_{ld\phi H}}{\Lambda_{ld\phi H}} \bar{\ell} d \tilde{H} \phi^*$$

1-loop



$$m_\nu(\Lambda) \sim \frac{3m_d}{16\pi^2} \frac{f \cdot y_{ql}^L}{\sqrt{2}} \frac{v}{\Lambda} \ln \left(\frac{\Lambda^2}{M_\phi^2} \right)$$

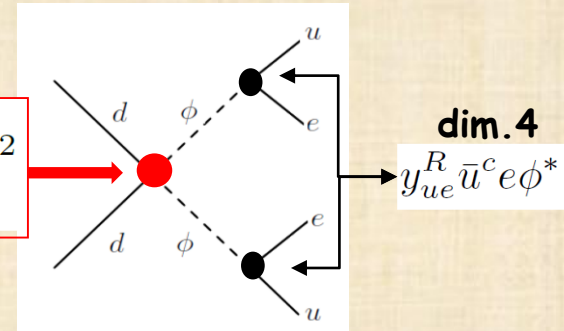
$$m_\nu < \text{eV}$$

both opts severely suppressed

e.g., if ϕ is a 3rd gen LQ with $y_{ql}^L \sim \mathcal{O}(1)$ then $f_{ld\phi H} < \mathcal{O}(10^{-6})$ for $\Lambda \sim \mathcal{O}(1 \text{ TeV})$

ν -less 2β ($0\nu\beta\beta$) decay

$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2$$



$$\frac{\Lambda_{d^2\phi^2}}{\text{TeV}} \gtrsim 150 \cdot \frac{f_{d^2\phi^2} \cdot |y_{ue}^R|^2}{(M_\phi/\text{TeV})^4}$$



no useful bound
if ϕ is a 3rd gen LQ with
 $y_{ue}^R \ll 1$

i.e., if $y_{ue}^R \sim 0.1$ or smaller,
then $M_\phi < \Lambda \sim \mathcal{O}(\text{few TeV})$ & $f_{d^2\phi^2} \sim \mathcal{O}(1)$
are allowed ...

The heavy fermionic state $\Psi(1,1,0)$ can generate all dim. 5 opt's:

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell \quad \frac{f_{\ell d \phi H}}{\Lambda_{\ell d \phi H}} \bar{\ell} d \tilde{H} \phi^* \quad \frac{f_{d^2 \phi^2}}{\Lambda_{d^2 \phi^2}} \bar{d} d^c \phi^2$$

Therefore, there are several scenarios that do not require small couplings:

1. $\Psi(1,1,0)$ generates all dim. 5 opt's: Weinberg, $\ell d \phi H$ and $d^2 \phi^2$ with a typical scale of $M_\Psi \sim O(10^{14} \text{ GeV})$. In this case, $m_\nu < eV$ is generated @ tree-level via type I seesaw by the Weinberg opt whereas the 1-loop and 2-loop contributions from $\ell d \phi H$ and $d^2 \phi^2$ respectively are negligible.
2. $\Psi(1,3,0)$ generates both Weinberg and $\ell d \phi H$ while $d^2 \phi^2$ is generated by a different mediator. Then, with $M_\Psi \sim O(10^{14} \text{ GeV})$, $m_\nu < eV$ is generated at tree-level through the type I or III seesaw by the Weinberg opt, and the 1-loop contribution from the dim.5 opt $\ell d \phi H$ is subdominant.
3. The Weinberg opt is not relevant to neutrino masses (there are no heavy states that generate it ...). In this case $m_\nu < eV$ may still be generated @ 1-loop or 2-loop through the dim.5 opt $\ell d \phi H$ or $d^2 \phi^2$ respectively (rather than through a seesaw ...), if these opt's are generated @ tree-level by other heavy mediators.

**LQ-SMEFT with $\phi(3,1,-1/3)$ & $\phi(3,1,2/3)$
@dim.5**

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

dim.5 SM fields: Weinberg opt

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d \tilde{H} \phi^* + \frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2 + \text{H.c.}$$

dim.5 $\phi(3,1,2/3)$ opts

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell u\phi H}}{\Lambda_{\ell u\phi H}} \bar{\ell} u \tilde{H} \phi^* + \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d H \phi^* + \frac{f_{qe\phi H}}{\Lambda_{qe\phi H}} \bar{q} e H \phi + \frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u} u^c \phi^2 + \text{H.c.}$$

dim.5 $\phi(3,1,-1/3)$ opts

● If both up & down-type $SU(2)$ singlet scalar LQ's are included as light DOF then four more dim.5 opts:

$$\bar{q} \ell^c \phi_d^* \phi_u^*, \quad \bar{u} e^c \phi_d^* \phi_u^*, \quad \bar{q} q^c \phi_d \phi_u \quad \text{and} \quad \bar{d} u^c \phi_d \phi_u$$

Assume 3rd generation LQ's

(couple dominantly to 3rd gen. lepton-quark pairs)

- easy to construct: with a Z_3 generation symmetry,
under which the physical SM fermions transform as:

$$\psi^k \rightarrow e^{i\alpha(\psi^k)\tau_3} \psi^k, \quad \tau_3 \equiv 2\pi/3$$

$$\alpha(\psi^k) = Z_3 \text{ charges}$$

- A simple example:
 - fermion charges: $\alpha(\psi^k)=k$, $k=\text{generation index}$
 - LQ charge: $\alpha(\phi)=3$

For 3rd gen $\phi(3,1,-1/3)$:

$$\mathcal{L}_{Y,\phi_3} \approx y_{q_3\ell_3}^L (\bar{t}_L^c \tau_L + \bar{b}_L^c \nu_{\tau L}) \phi^* + y_{u_3e_3}^R \bar{t}_R^c \tau_R \phi^* + \text{H.c.}$$

Z_3 gen. symmetry is exact in the limit of a diagonal CKM; Z_3 -breaking $\sim$ off-diagonal CKM ...

it is broken in the underlying heavy theory $\Rightarrow$ proportional to $v^2/\Lambda^2 \ll O(1)$...

Breaking the Z_3 generation symmetry @ $E > \Lambda$

In the SM sector

Generation breaking in the SM can be traced to the higher dim opts involving the Higgs and fermion fields:
e.g., off-diagonal Yukawa couplings from the dim.6 opts:

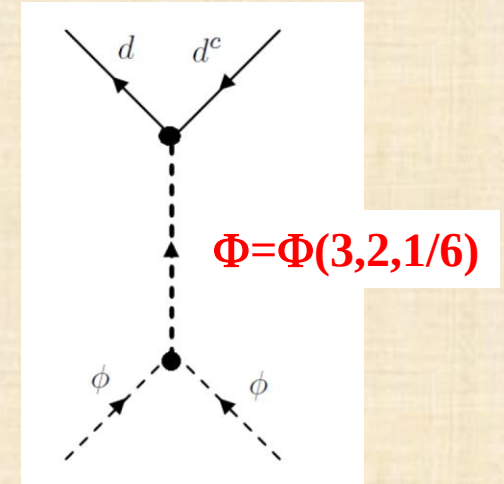
$$\Delta \mathcal{L}_{qH} = \frac{H^\dagger H}{\Lambda^2} \cdot \left(f_{uH} \bar{q}_L \tilde{H} u_R + f_{dH} \bar{q}_L H d_R \right) + h.c.$$



If e.g., $\Lambda \sim 1.5, 3$ or 5 TeV and $f_{uH, dH} \sim O(1)$, then the Resulting effective Yukawa couplings:

$Y_{\text{eff}} = f_{qH} \cdot v^2/\Lambda^2 \sim O(y_b), O(y_c)$ or $O(y_s)$, respectively ...

In the LQ sector



If $\Phi(3,2,1/6)$ couples to 1st and/or 2nd generation down-quarks & ϕ is a 3rd gen LQ, then the Z_3 gen symmetry is broken and the scale of gen breaking is $M_{\Phi(3,2,1/6)}$;
 $\Phi(3,2,1/6)$ is the mediator of generation breaking ...

The gen breaking effect is $\sim g_{\Phi dd} \cdot g_{\Phi \phi \phi} / M_\Phi$

Matching to the EFT framework: $g_{\Phi dd} \cdot g_{\Phi \phi \phi} \rightarrow f_{d^2 \phi^2}, M_\Phi \rightarrow \Lambda_{d^2 \phi^2}$

$$\frac{f_{d^2 \phi^2}}{\Lambda_{d^2 \phi^2}^2} \bar{d} d^c \phi^2$$

LNV signatures from same-charge $\phi\phi$ pair production:

When the LQ decays to 3rd gen fermions:
 (note: decay of $\phi(3,1,2/3) \rightarrow$ quark+lepton are pure EFT ...)

LQ-SMEFT (dim.5)		$L_{\phi SM}$ (dim. 4)
3 rd gen $\phi(3,1,-1/3)$	3 rd gen $\phi(3,1,2/3)$	3 rd gen $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$
$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$	QCD: $pp_{(gg,qq)} \rightarrow \phi\phi^*$
$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt+\cancel{E}_T$	$\phi\phi^* \rightarrow \bar{t}t\tau^+\tau^-, \bar{t}t+\cancel{E}_T$
$\phi\phi \rightarrow 2\cdot j_b+\cancel{E}_T$	$\phi\phi \rightarrow \tau^+\tau^++2\cdot j_b$	$\phi\phi \rightarrow \tau^+\tau^-+2\cdot j_b, 2\cdot j_b+\cancel{E}_T$
$\phi\phi \rightarrow t\tau^-+j_b+\cancel{E}_T$	$\phi\phi \rightarrow t\tau^++j_b+\cancel{E}_T$	$\phi\phi \rightarrow t\tau^+/t\tau^-+j_b+\cancel{E}_T$

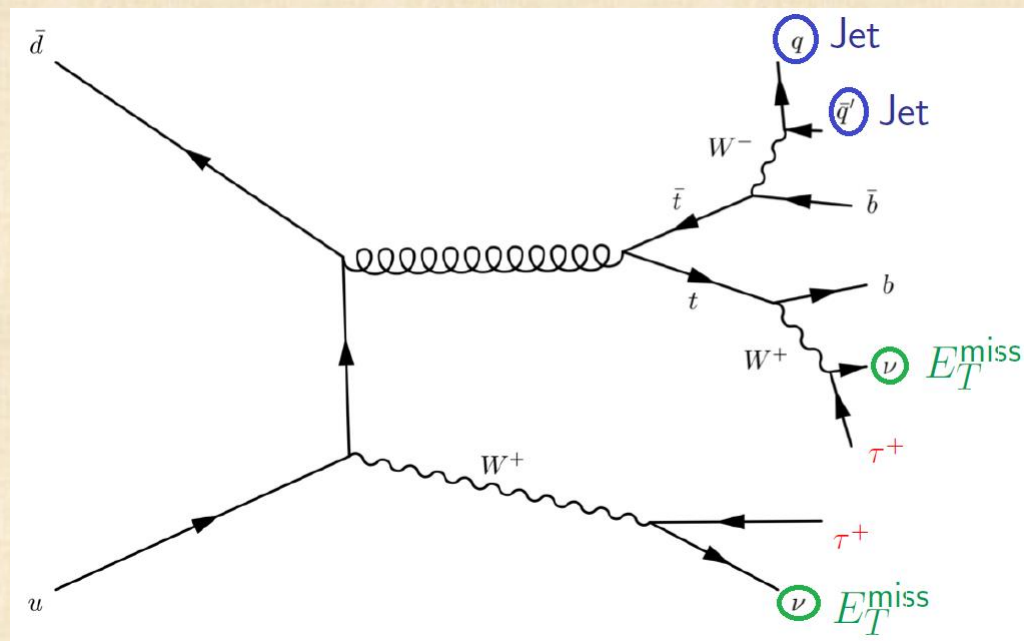
- Asymmetric same-sign lepton production, e.g. :
 $N(tt\tau^-\tau^-) \gg N(\bar{t}\bar{t}\tau^+\tau^+), N(\tau^+\tau^++2\cdot j_b) \gg N(\tau^-\tau^-+2\cdot j_b)$
- $\Rightarrow$ Useful double-charge asymmetries with no irreducible backg, e.g.,

$$\mathcal{A}_{\tau\tau} \equiv \frac{\sigma(pp \rightarrow \tau^-\tau^- + X_j) - \sigma(pp \rightarrow \tau^+\tau^+ + X_j)}{\sigma(pp \rightarrow \tau^-\tau^- + X_j) + \sigma(pp \rightarrow \tau^+\tau^+ + X_j)} \sim 1$$

X_j = any accompanying jet

extra handle for these LQ-SMEFT signals

Same-sign dilepton SM BG



- Main SM BG necessarily has $\cancel{E}_T$
- Additional BG from fake/misidentified leptons is subdominant
- Can also have higher jet-multiplicities mimicking signals with $\cancel{E}_T$
- Focus on same-sign signals without $\cancel{E}_T$
- SM BG is controlled via “no MET” veto
- Signal yields prompt and well isolated same-sign leptons (emanate from TeV-scale particles)