



Measure what is measurable and make  
measurable what is not so

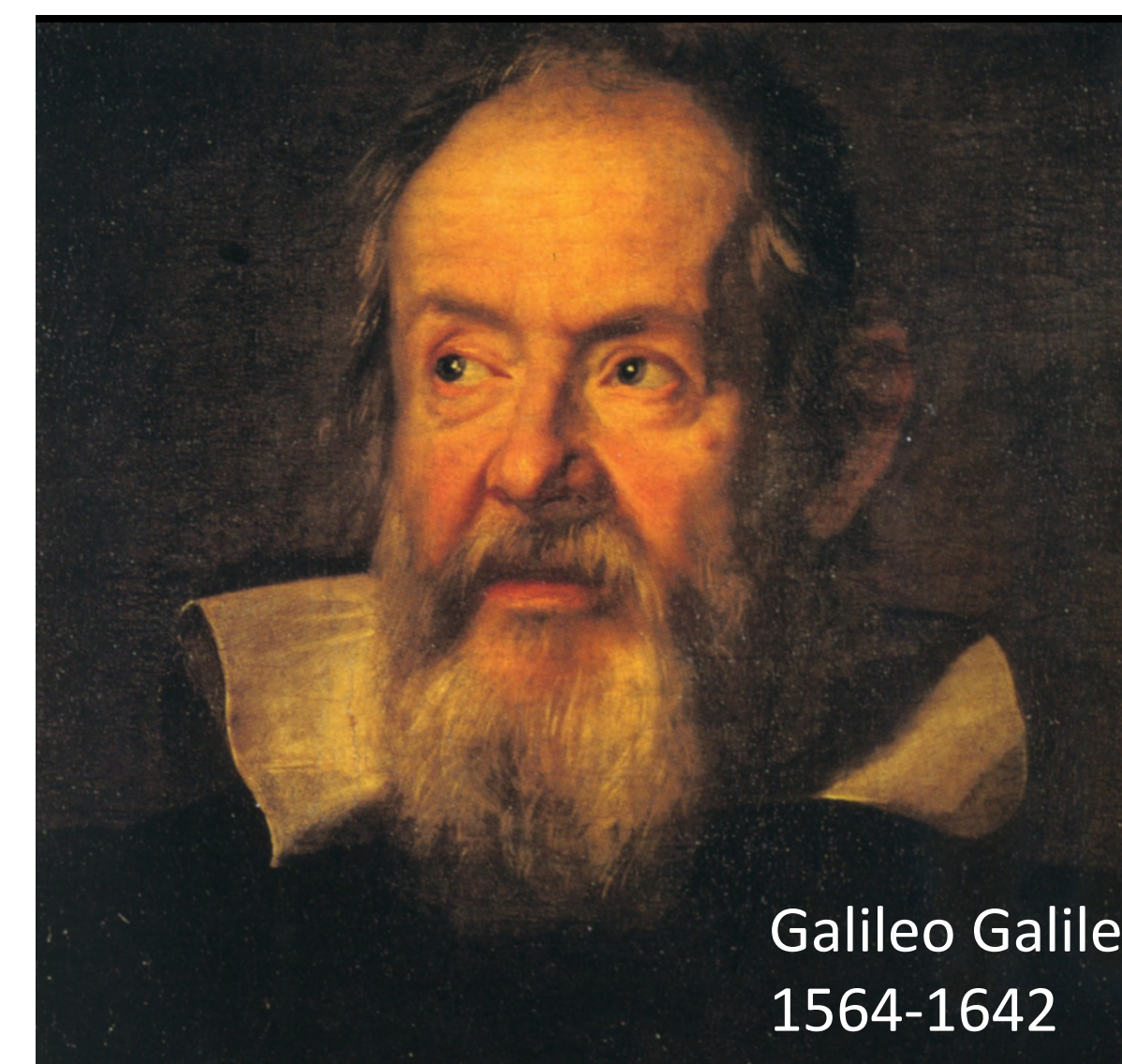
- Uncover new physics with bosons at the LHC and upgrades of the CMS detector to maximize the discovery potential

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FNAL

April.29.2019

Brookhaven National Lab



Galileo Galilei  
1564-1642

# Eternal questions

- What is the world made of?
- What holds it together?
- What's the origin of the universe?

We aim at answering these questions in particle physics



# The Standard Model

## Standard Model of Elementary Particles

	three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III		
mass	$\approx 2.2 \text{ MeV}/c^2$	$\approx 1.28 \text{ GeV}/c^2$	$\approx 173.1 \text{ GeV}/c^2$	0	$\approx 125.09 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
QUARKS	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> higgs
	$\approx 4.7 \text{ MeV}/c^2$	$\approx 96 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>γ</b> photon	
LEPTONS	$\approx 0.511 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1.7768 \text{ GeV}/c^2$	$\approx 91.19 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	<b>Z</b> Z boson	
	$< 2.2 \text{ eV}/c^2$	$< 1.7 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$\approx 80.39 \text{ GeV}/c^2$	
	0	0	0	$\pm 1$	
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	
	<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino	<b>W</b> W boson	

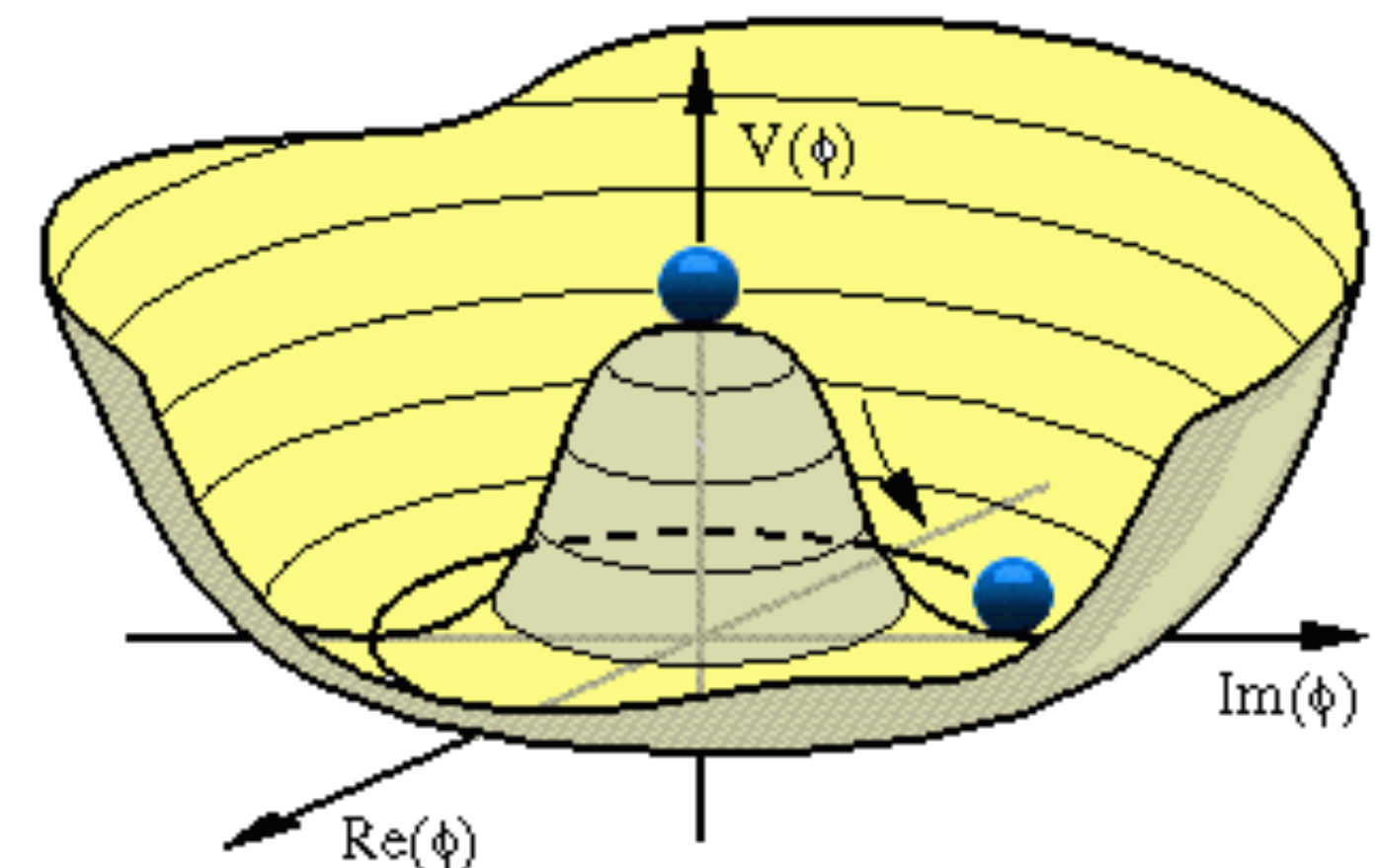
- Our current answer

- SM Symmetry structure:  $SU(2) \times U(1) \times SU(3)$

- Stringently tested with experimental data

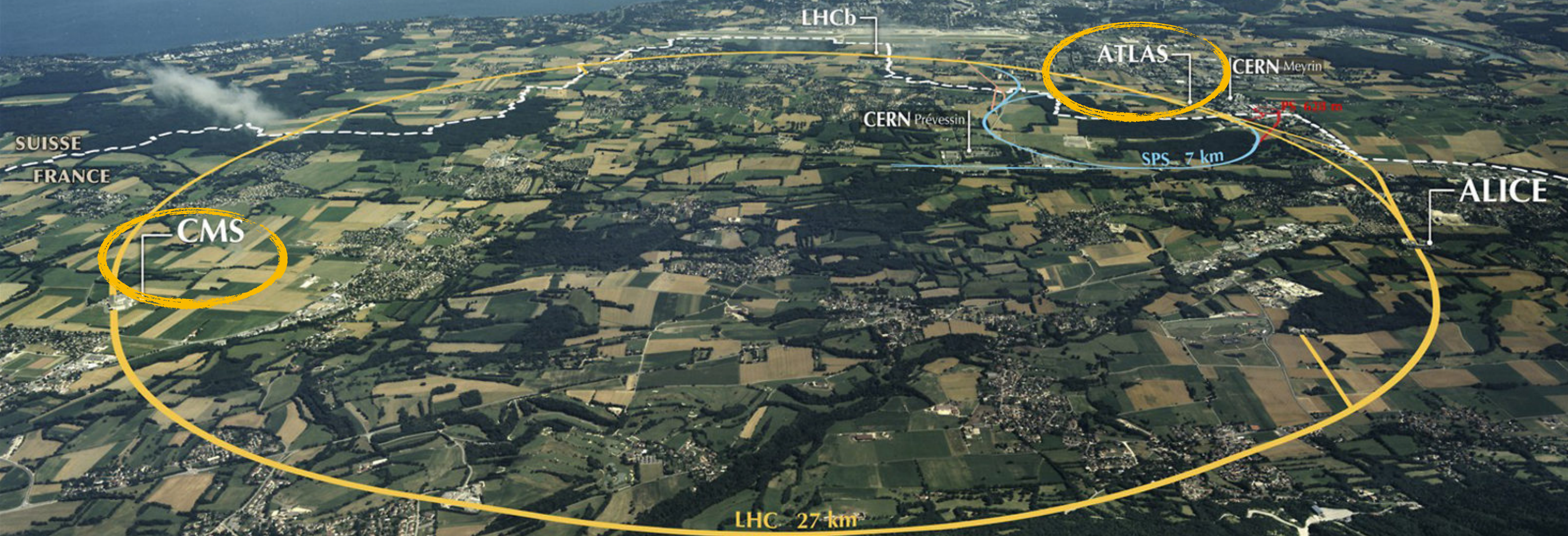
- Need massless particles to preserve the gauge structure

- Higgs boson proposed





# The Large Hadron Collider





# The Higgs boson completes the SM

Physicists Find Elusive Particle Seen as Key to Universe

By DENNIS OVERBYE JULY 4, 2012

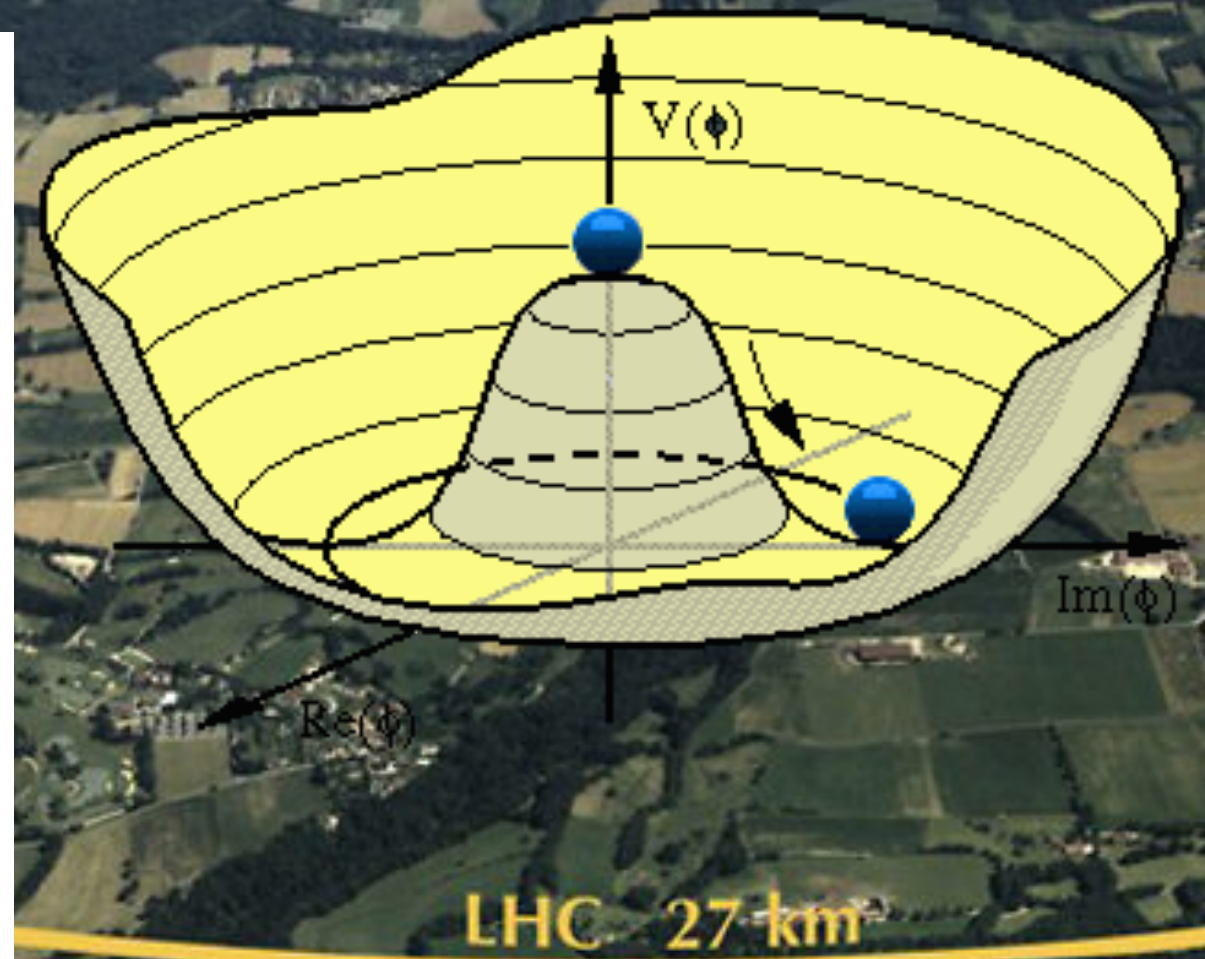
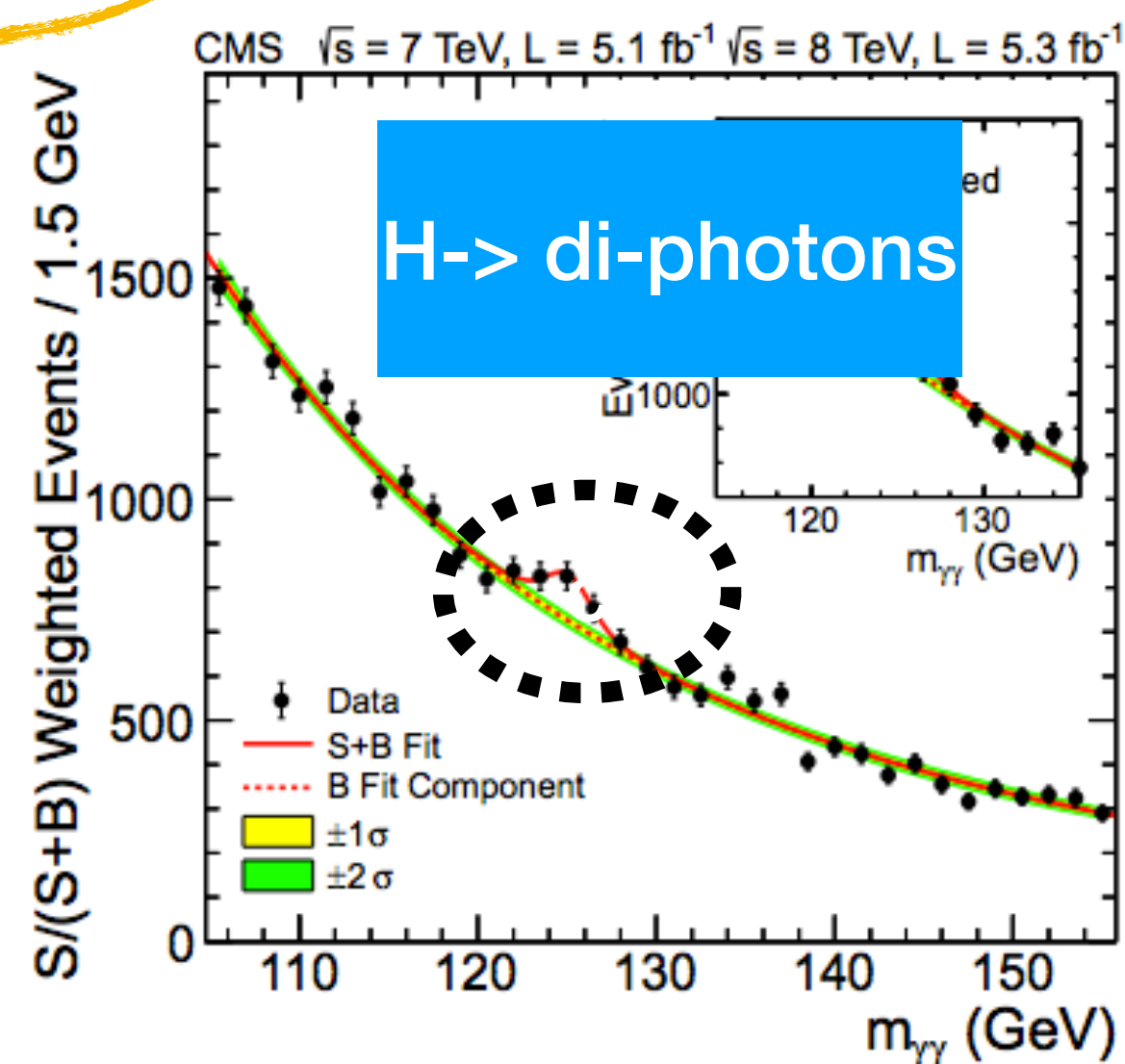
2012



REL

FRANCE

CMS



5

LHCb

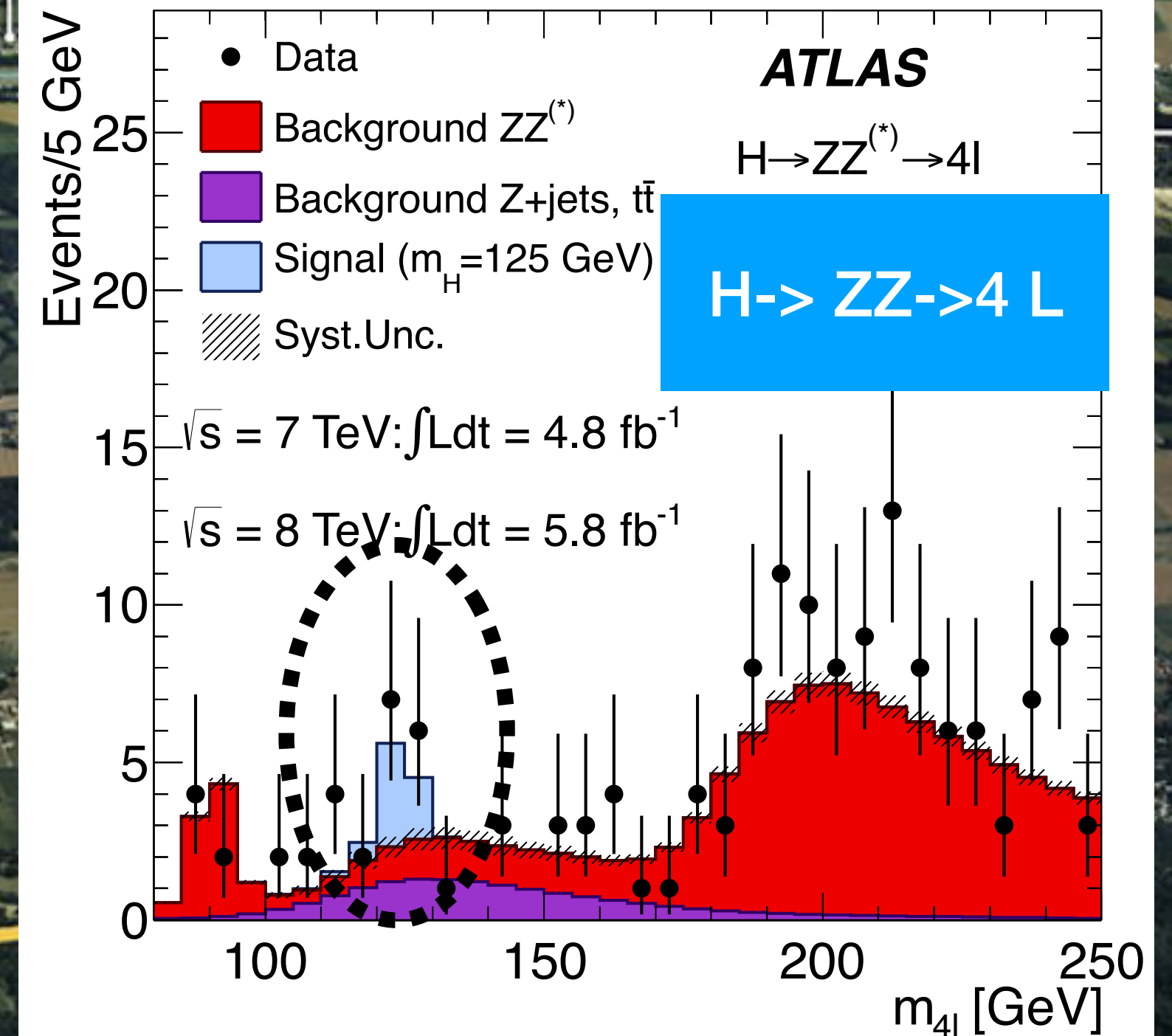
CERN Prévessin

ATLAS

CERN Meyrin

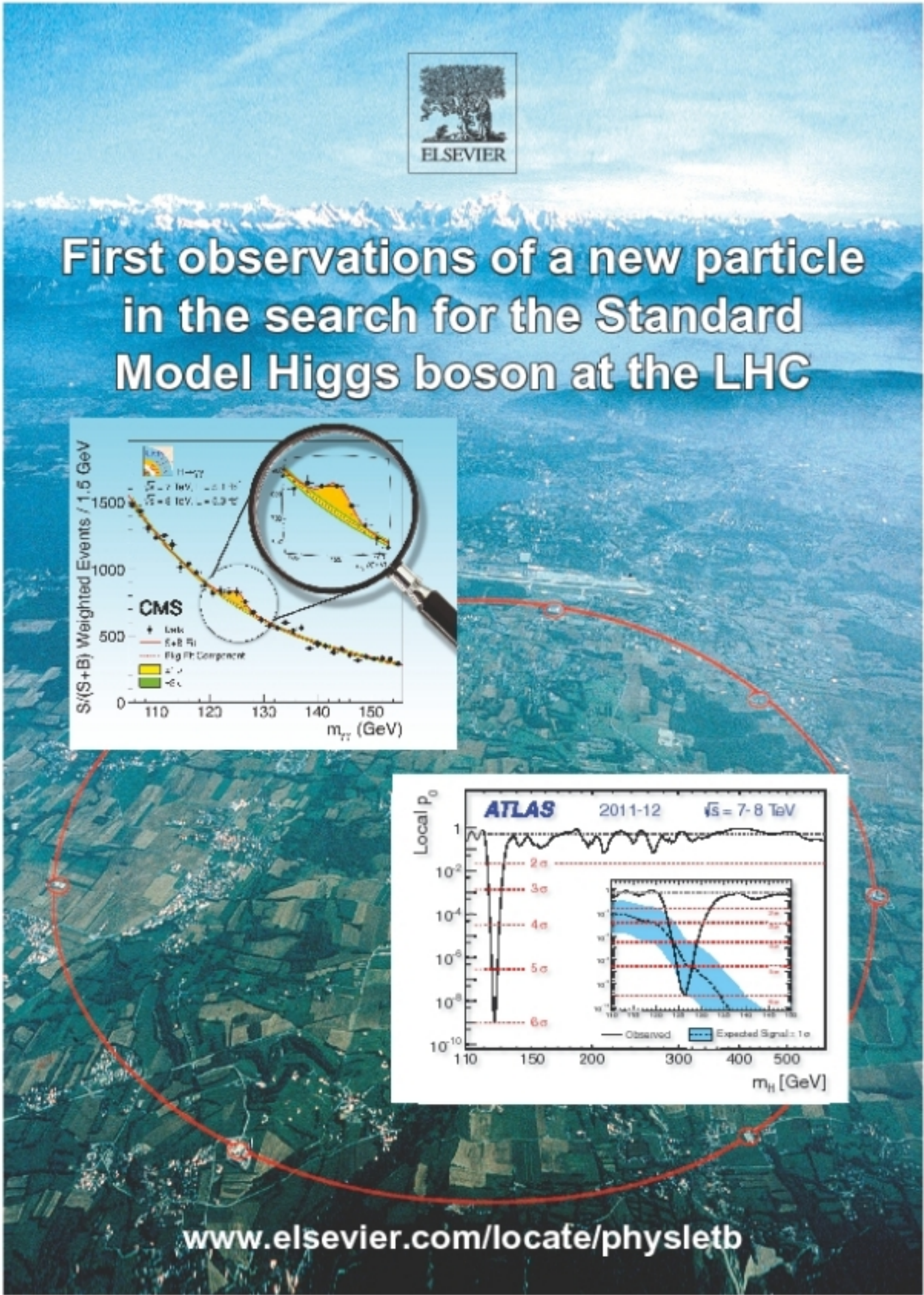
PS 6.28 m

ALICE



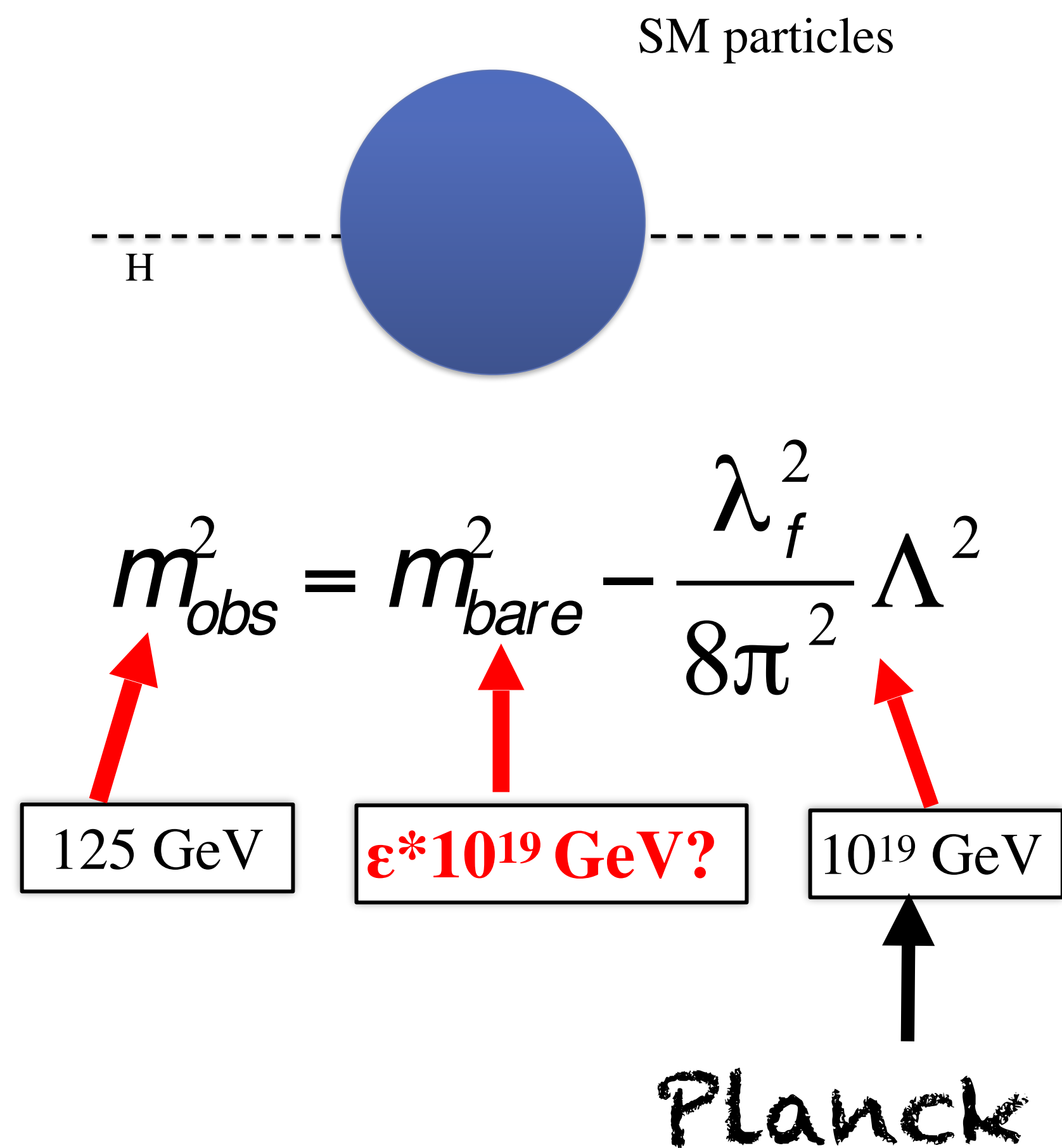


Is that all?





# Remaining Puzzles

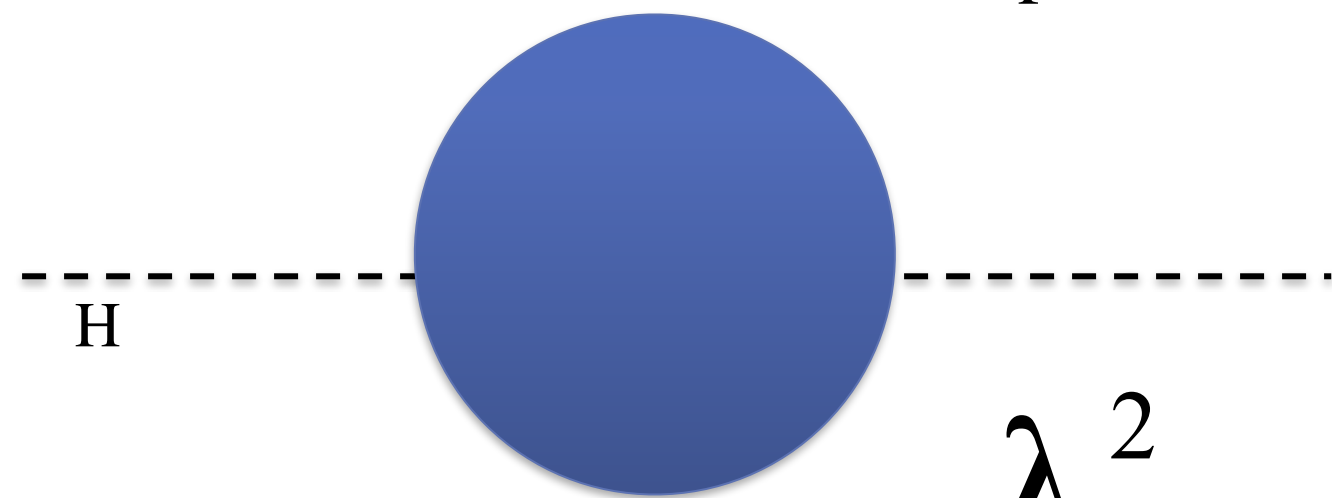


In the theory itself:

Fine tuned Higgs mass?

# Remaining Puzzles

SM particles



$$m_{obs}^2 = m_{bare}^2 - \frac{\lambda_f^2}{8\pi^2} \Lambda^2$$

In the theory itself:

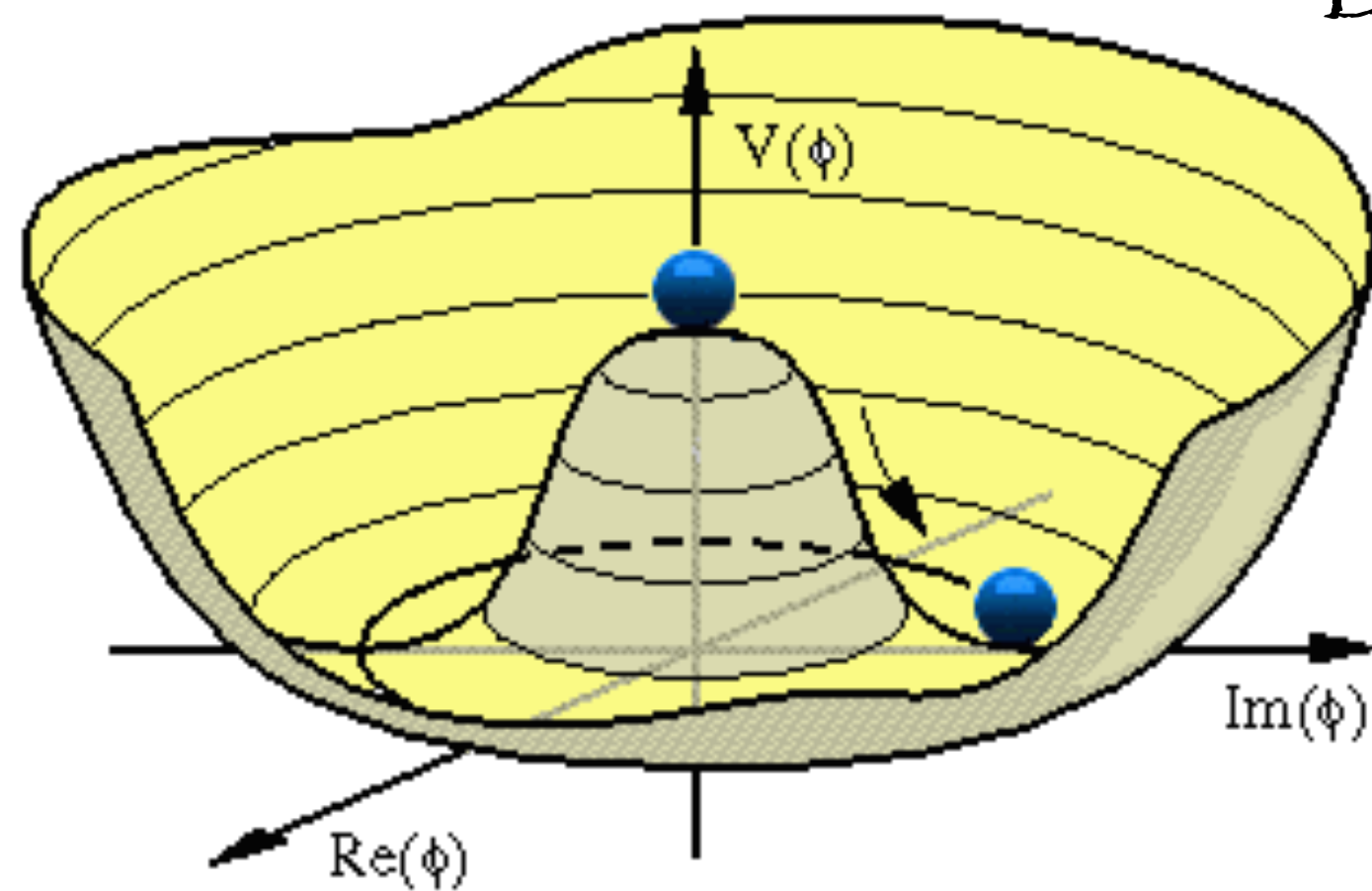
Fine tuned Higgs mass?

125 GeV

$\epsilon * 10^{19}$  GeV?

$10^{19}$  GeV

Dynamical origin of the Higgs potential



.....



# Remaining Puzzles

SM particles

In the theory itself:

Fine tuned Higgs mass?

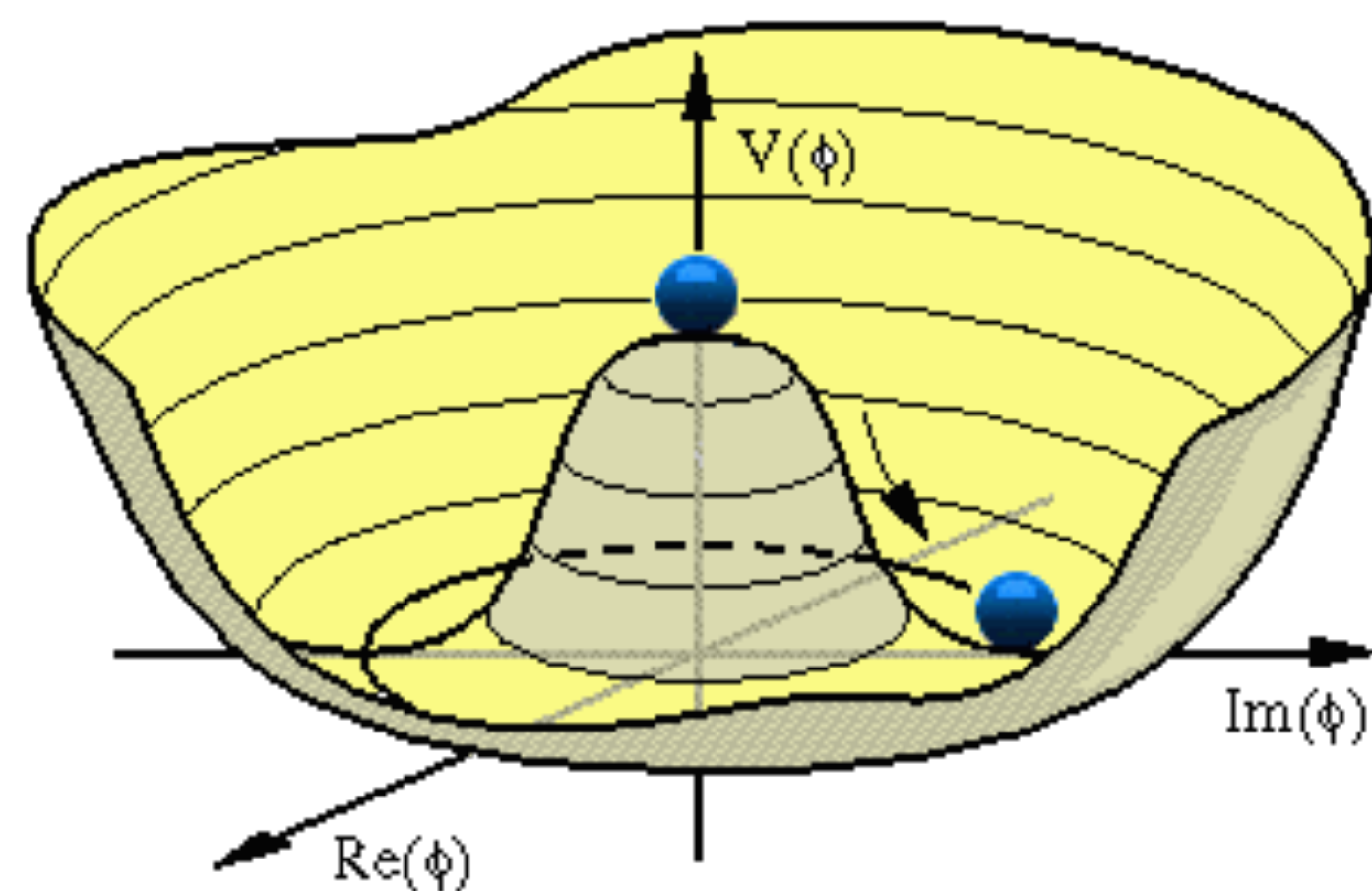
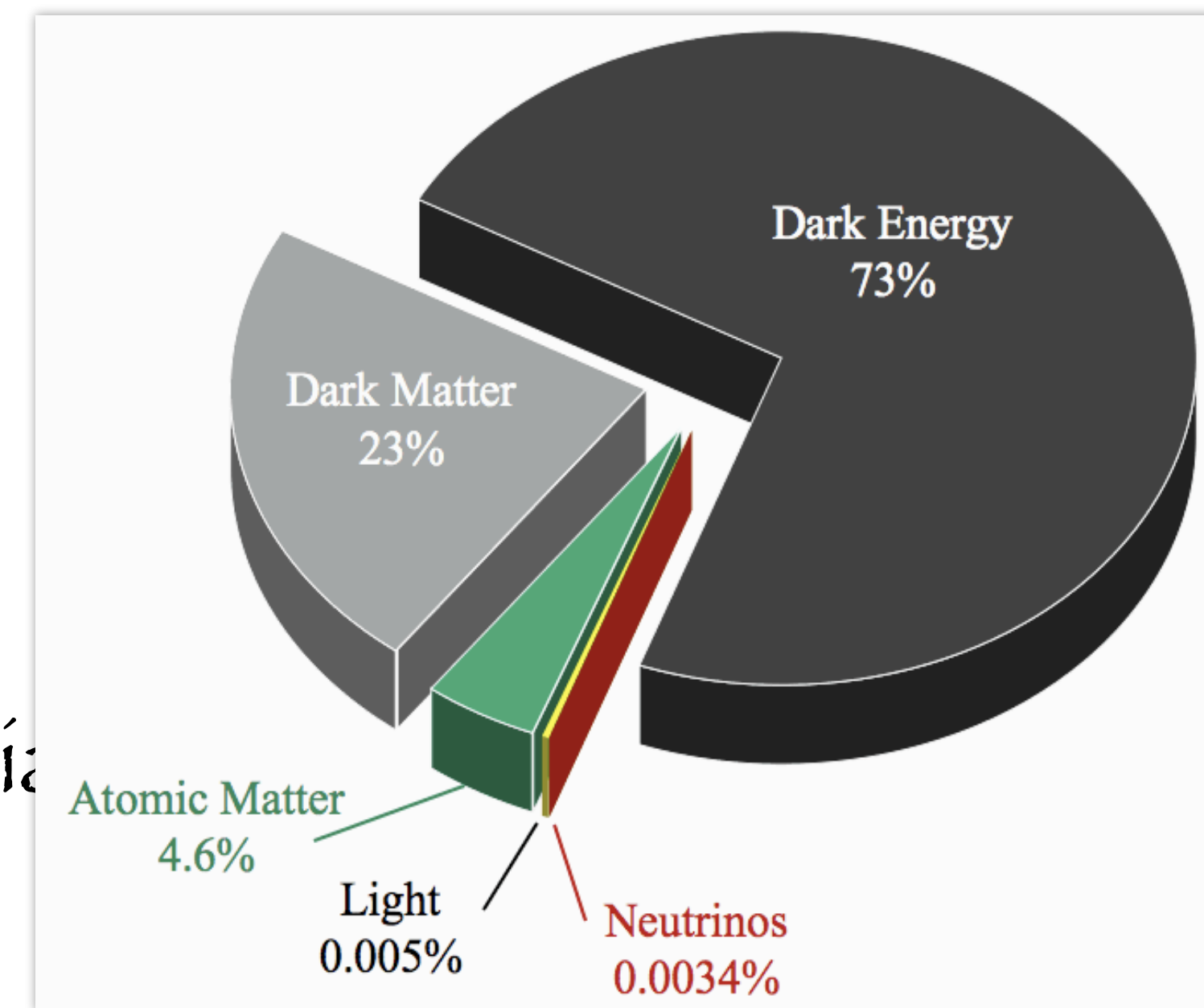
Dynamical origin of the Higgs potential

$$m_{obs}^2 = m_{bare}^2 - \frac{\lambda_f^2}{8\pi^2} \Lambda^2$$

125 GeV

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Experimental anomalies:

What is dark matter/dark energy



SM particles

In the theory itself:

Fine tuned Higgs mass?

$$m_{obs}^2 = m_{bare}^2 - \frac{\lambda_f^2}{8\pi^2} \Lambda^2$$

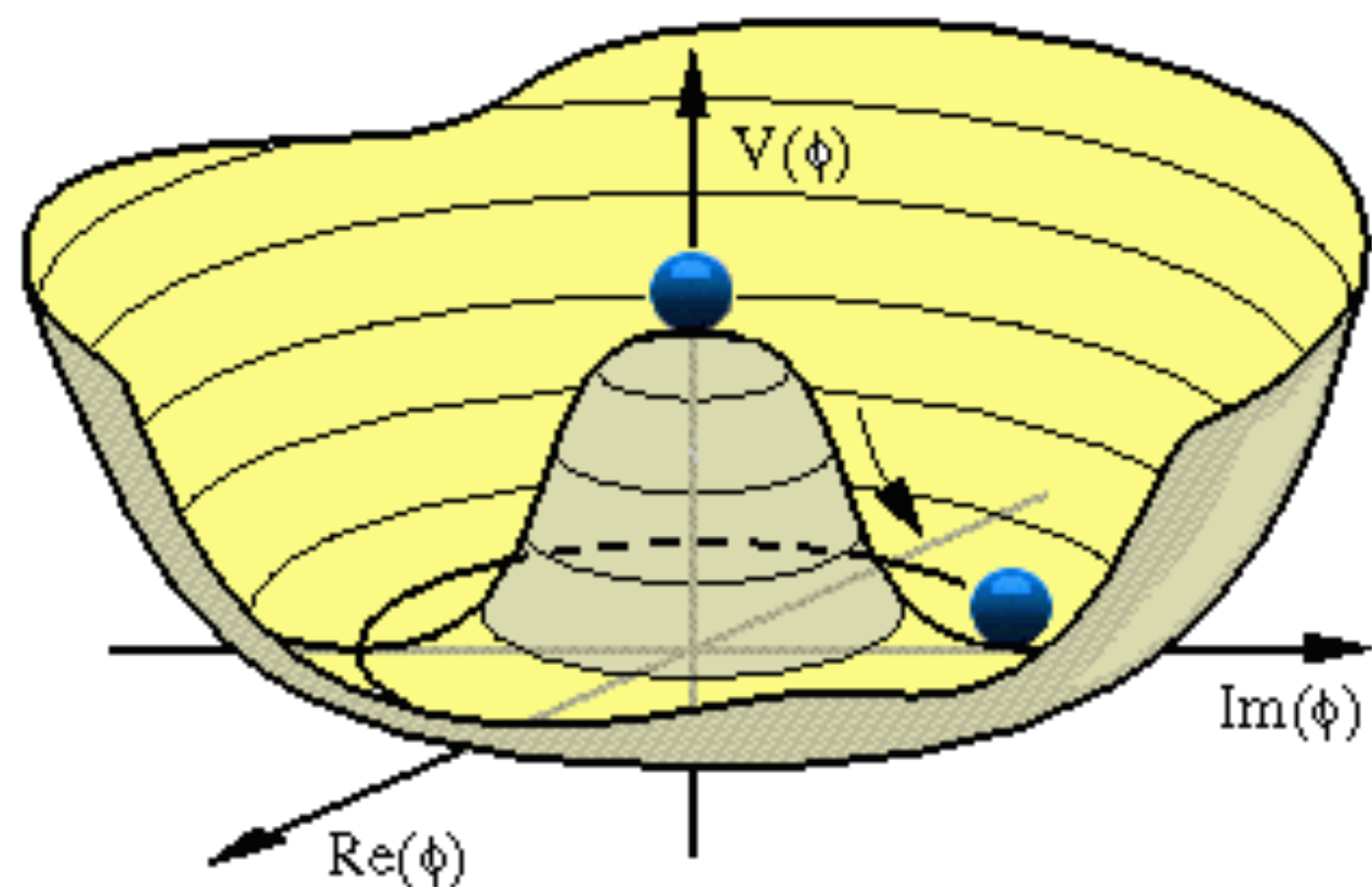
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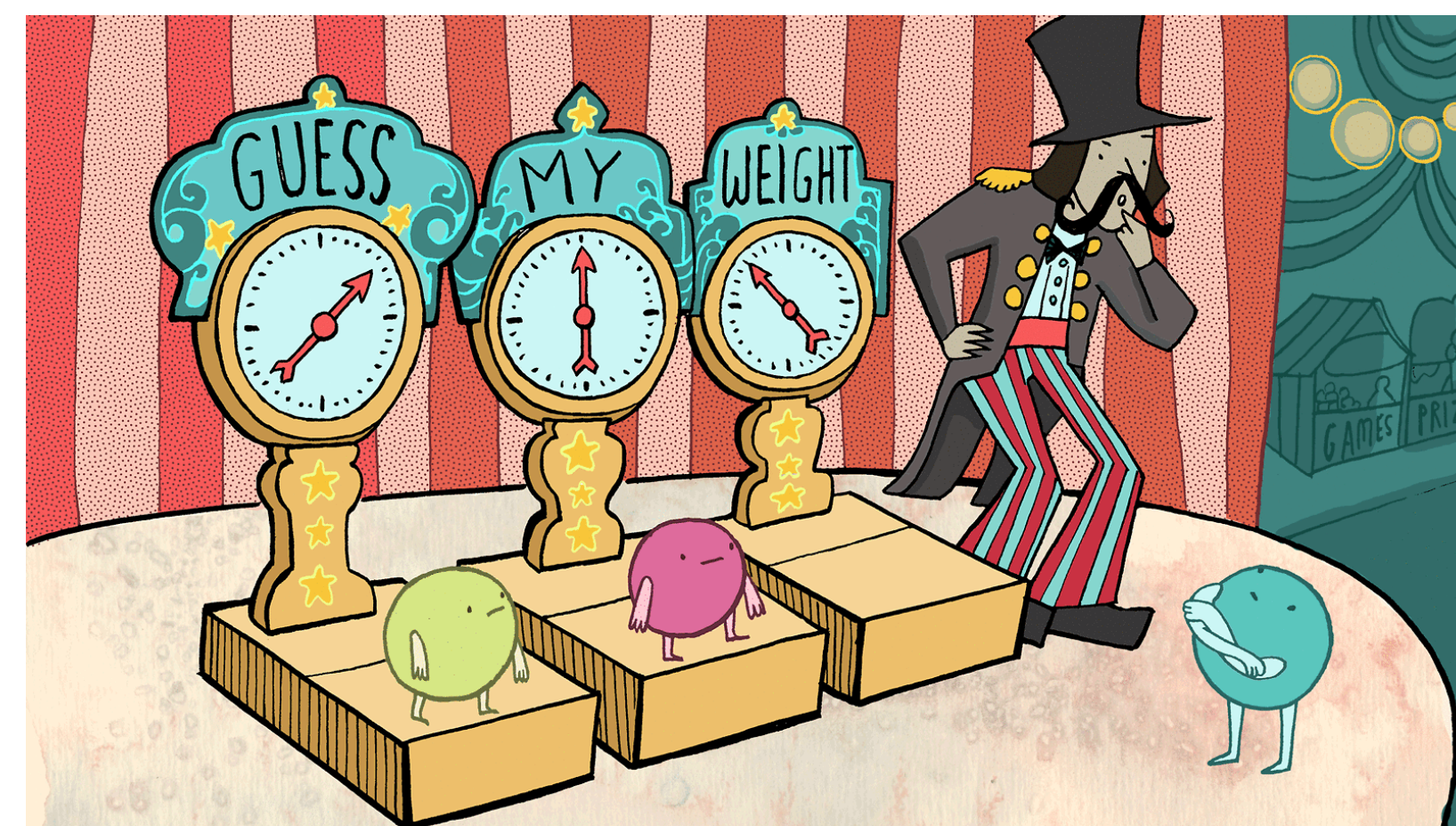
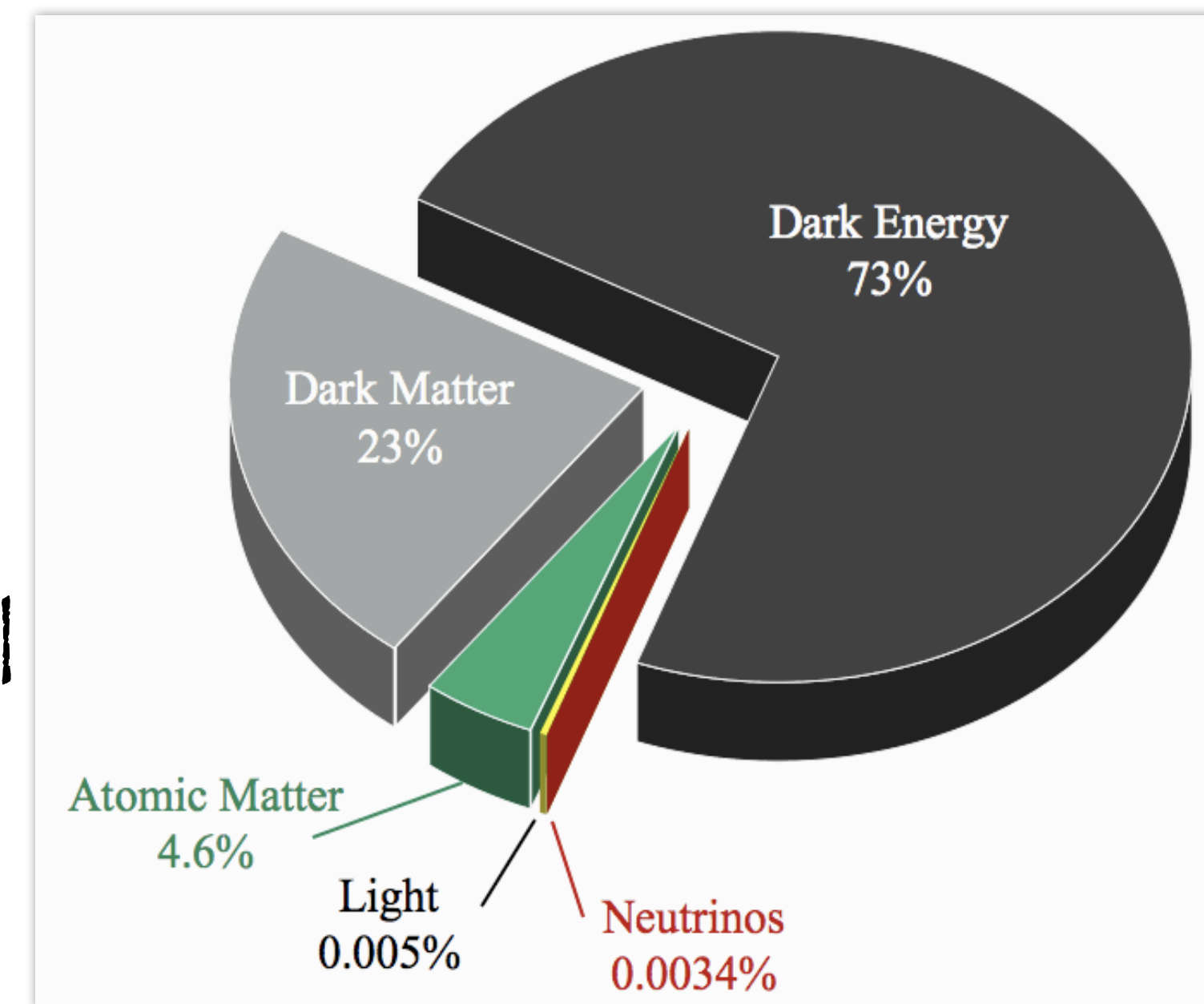
...



Experimental anomalies:

What is dark matter/dark energy

Neutrino masses/CP etc



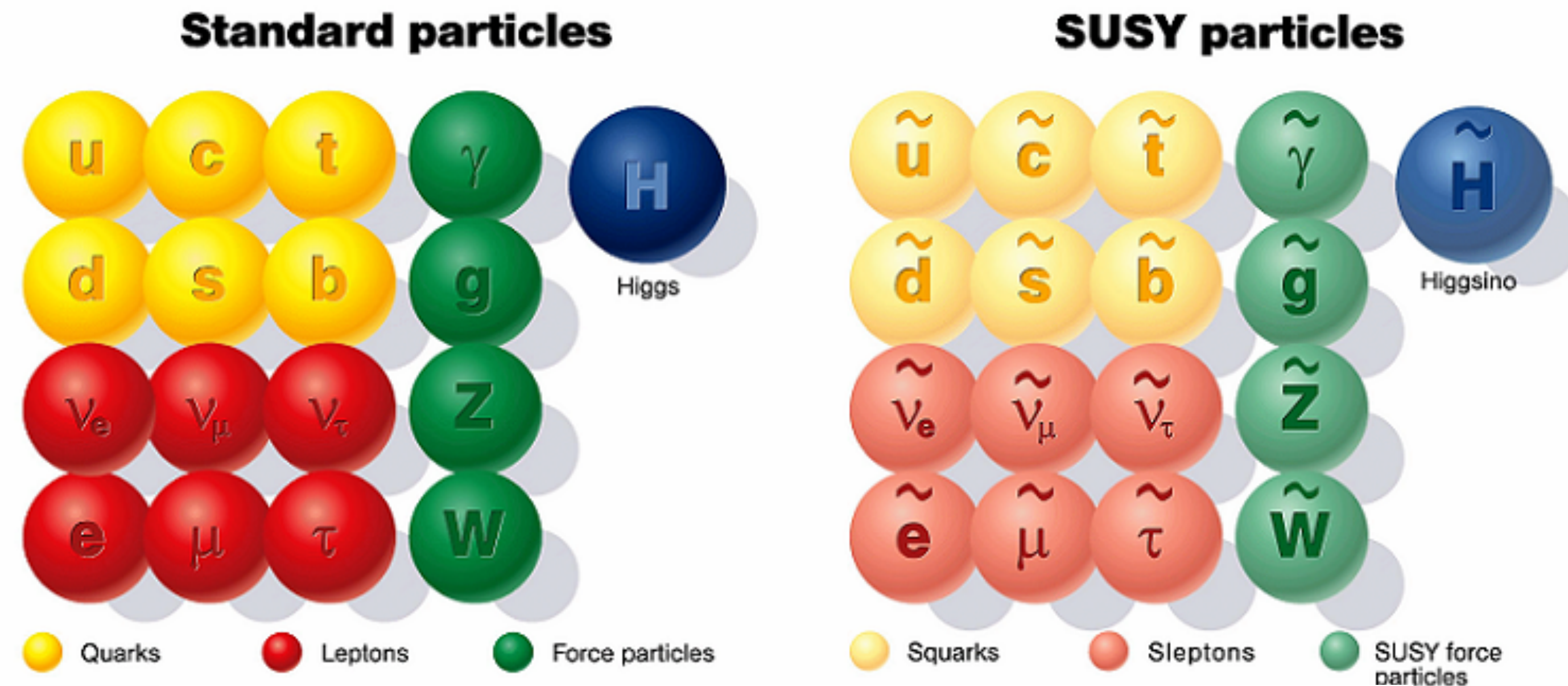


Solutions?

– Beyond the SM extensions

# Supersymmetry

- Symmetry between bosons and fermions, appealing theory addition
- Dark matter candidate, Unifies gauge couplings, stabilized Higgs mass



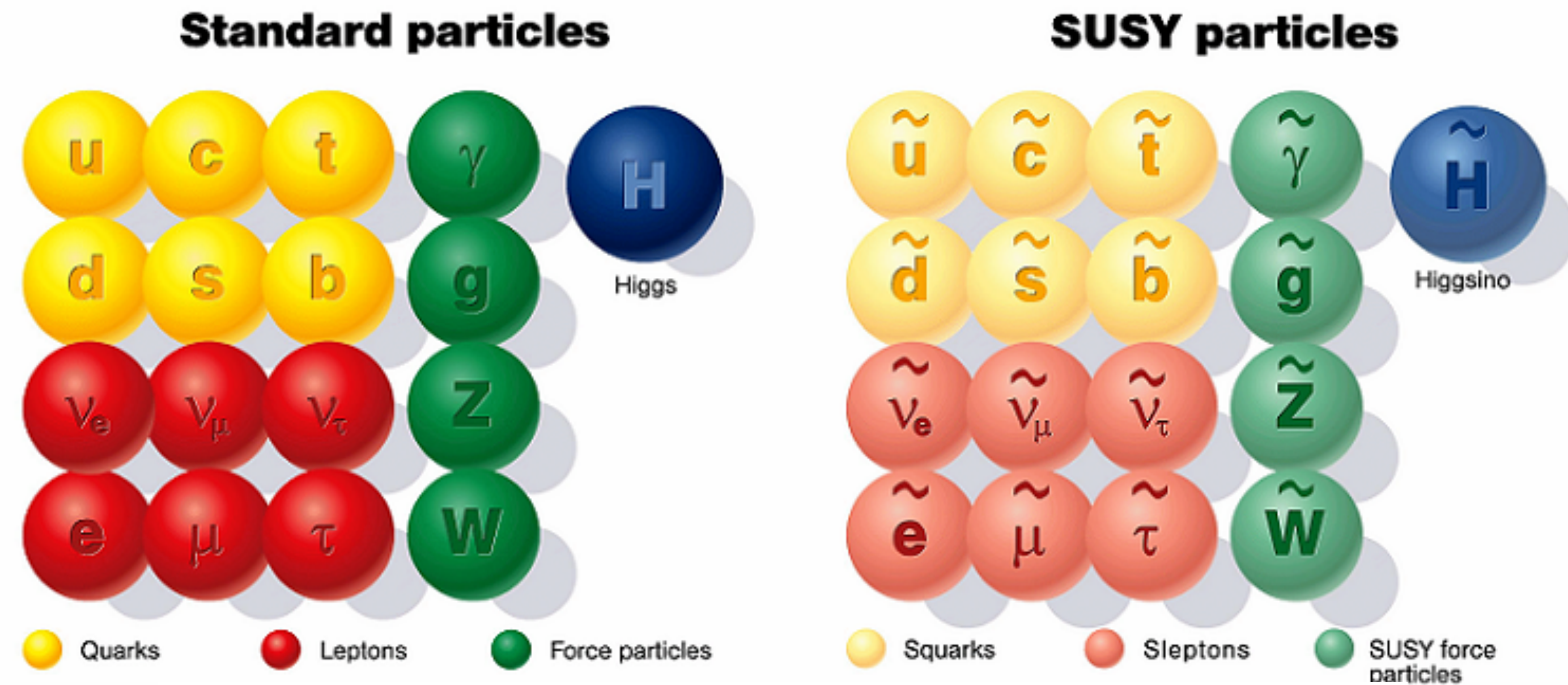
$$\Delta m_H^2 = \text{Higgs loop with } t \text{ and } \tilde{t}} + \text{Higgs loop with } \tilde{t} \text{ and } t$$

Stabilizes Higgs mass of 125 GeV: stop < 1 TeV



# Supersymmetry

- Important to search for stop with LHC Run-2 data: 8 TeV  $\rightarrow$  13 TeV energy increase
- 1L channel: my first analysis on CMS
- Quickly probed to 1 TeV with all analyses combined.

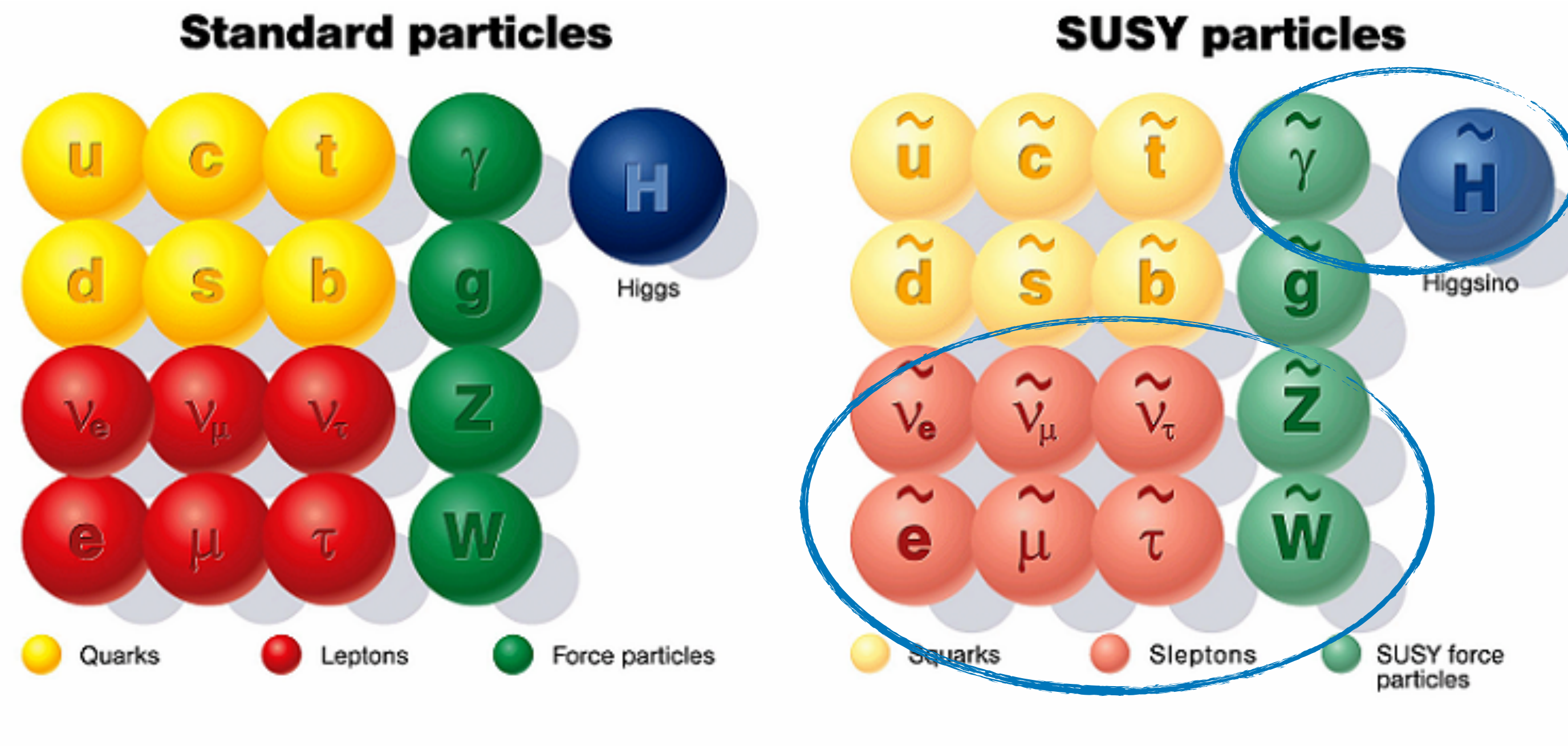


$$\Delta m_H^2 = \frac{H}{-} - \text{[t loop]} - - + \frac{H}{-} - \text{[t-tilde loop]} - -$$

Stabilizes Higgs mass of 125 GeV: stop < 1 TeV



# SUSY electroweak sector: less probed



SUSY partners of the electroweak sector of much lower rate:

3 to 5 orders of magnitude lower

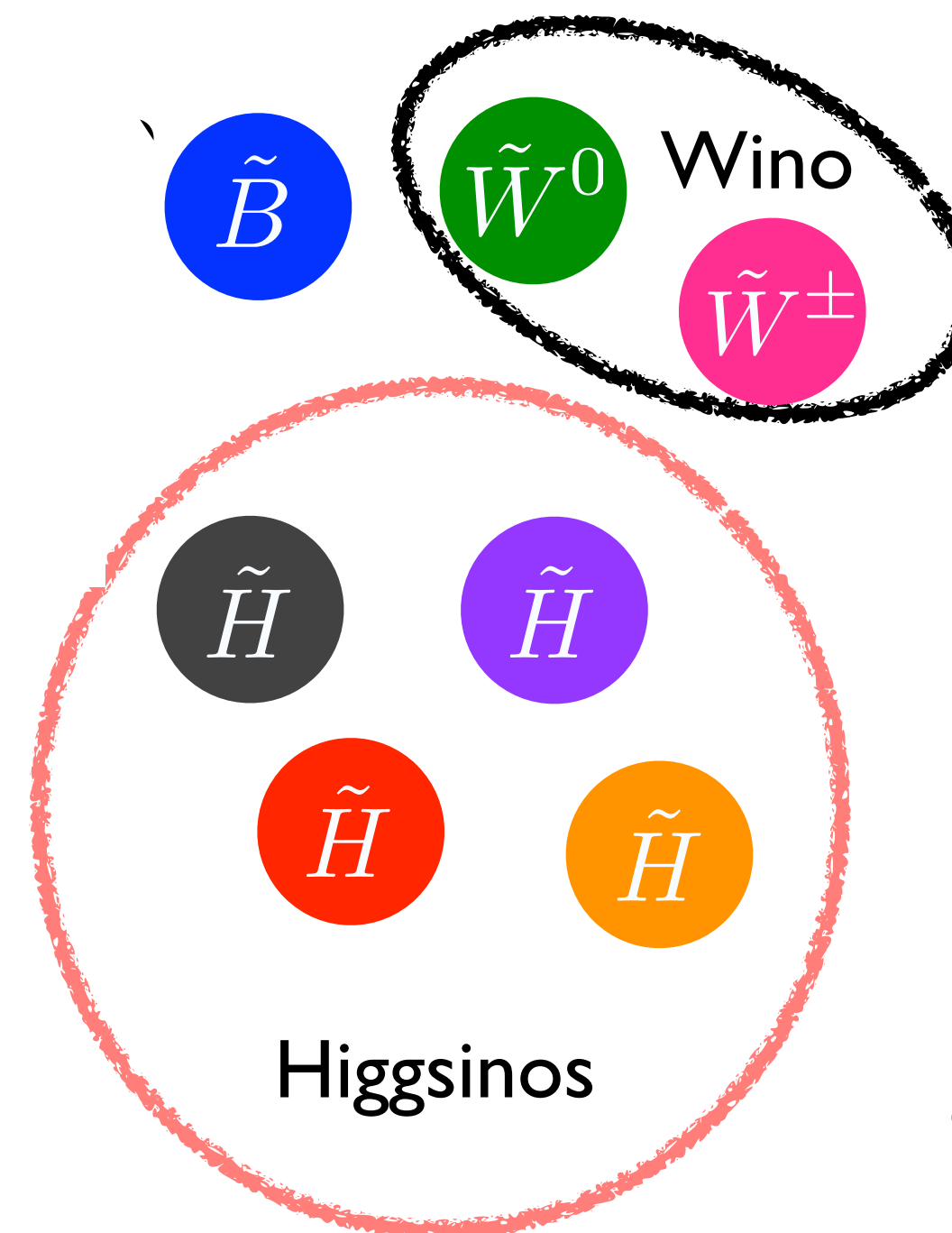
# Review: Electroweak SUSY terminology



# What are gauginos, Higgsinos and Sleptons ?

- SUSY partners of the SM electroweak sector
- $U(1) \rightarrow$  Bino,  $SU(2) \rightarrow$  Winos
- Higgs  $\rightarrow$  Higgsinos
- Leptons  $\rightarrow$  sleptons

## SUSY gauge eigenstates

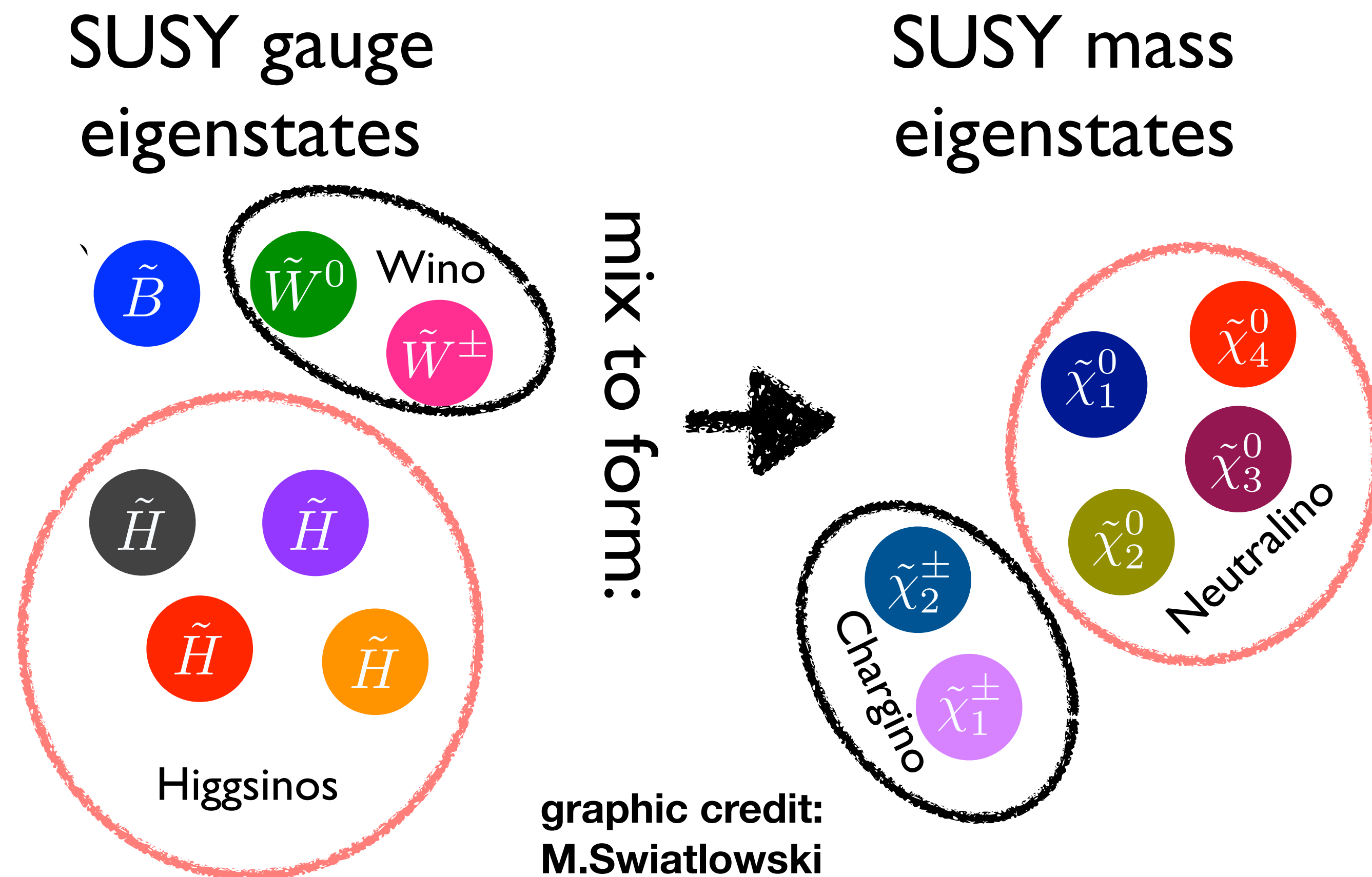


graphic credit:  
M.Swiatlowski



# Charginos and neutralinos

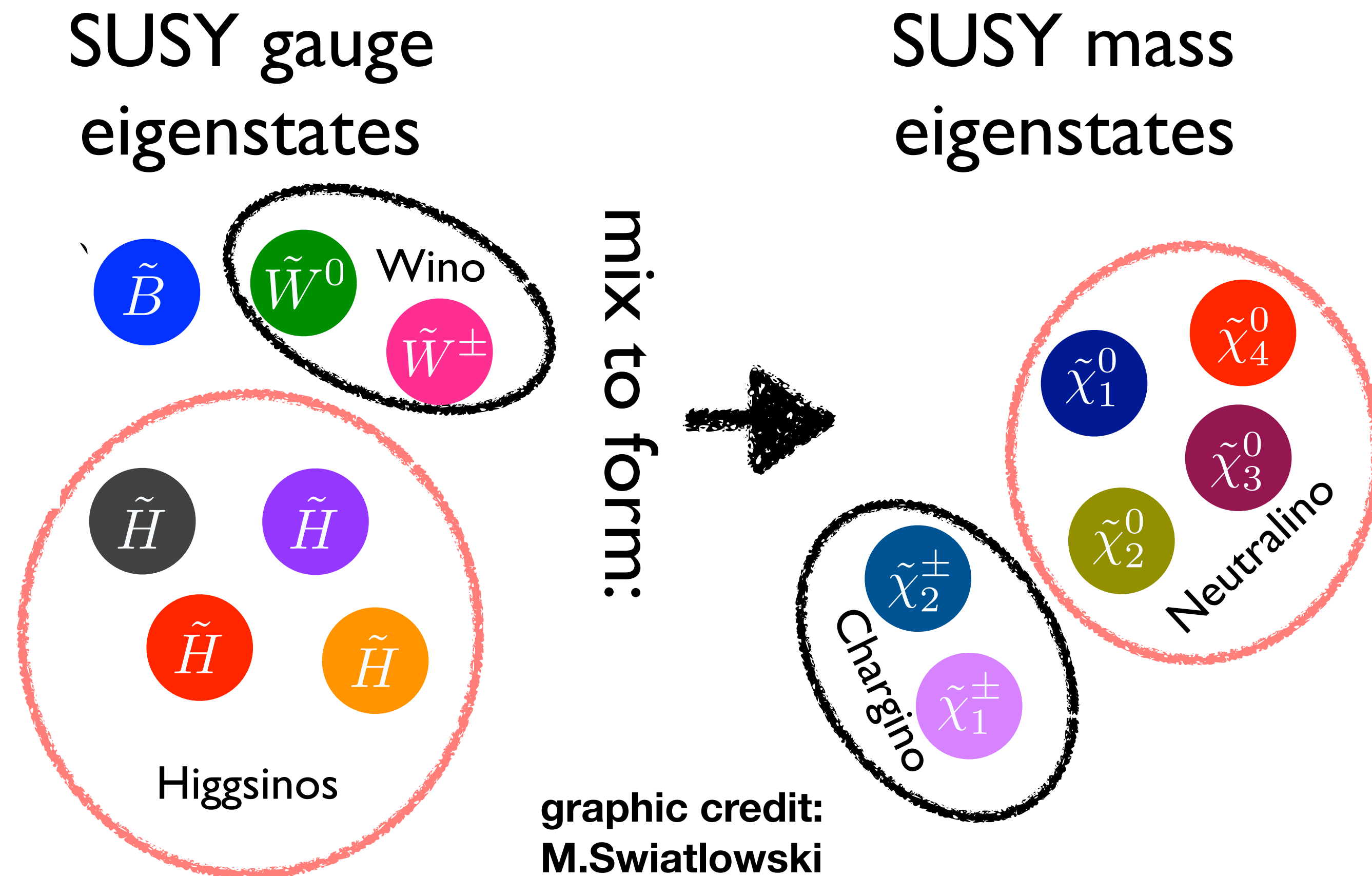
- SUSY partners of the SM electroweak sector
- $U(1) \rightarrow$  Bino,  $SU(2) \rightarrow$  Winos
- Higgs  $\rightarrow$  Higgsinos
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# Gauginos and higgsinos can be light

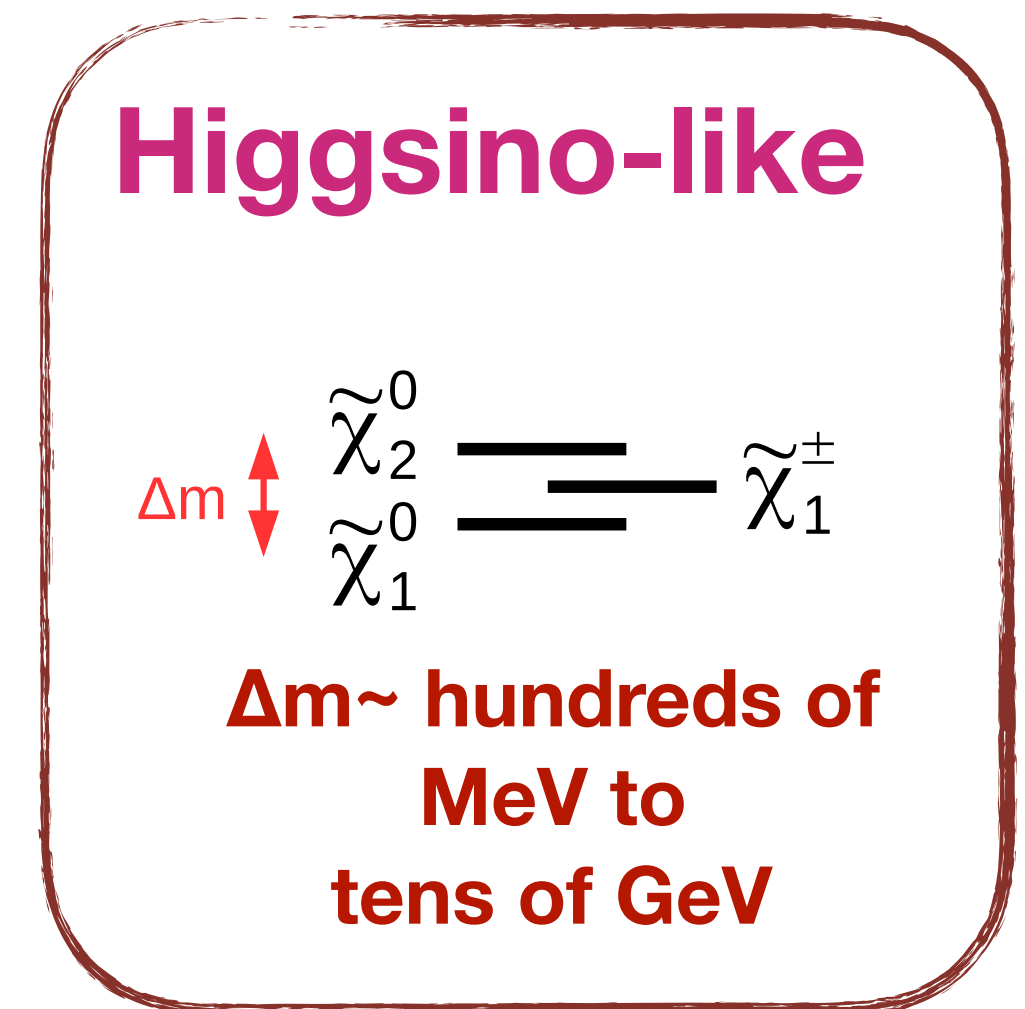
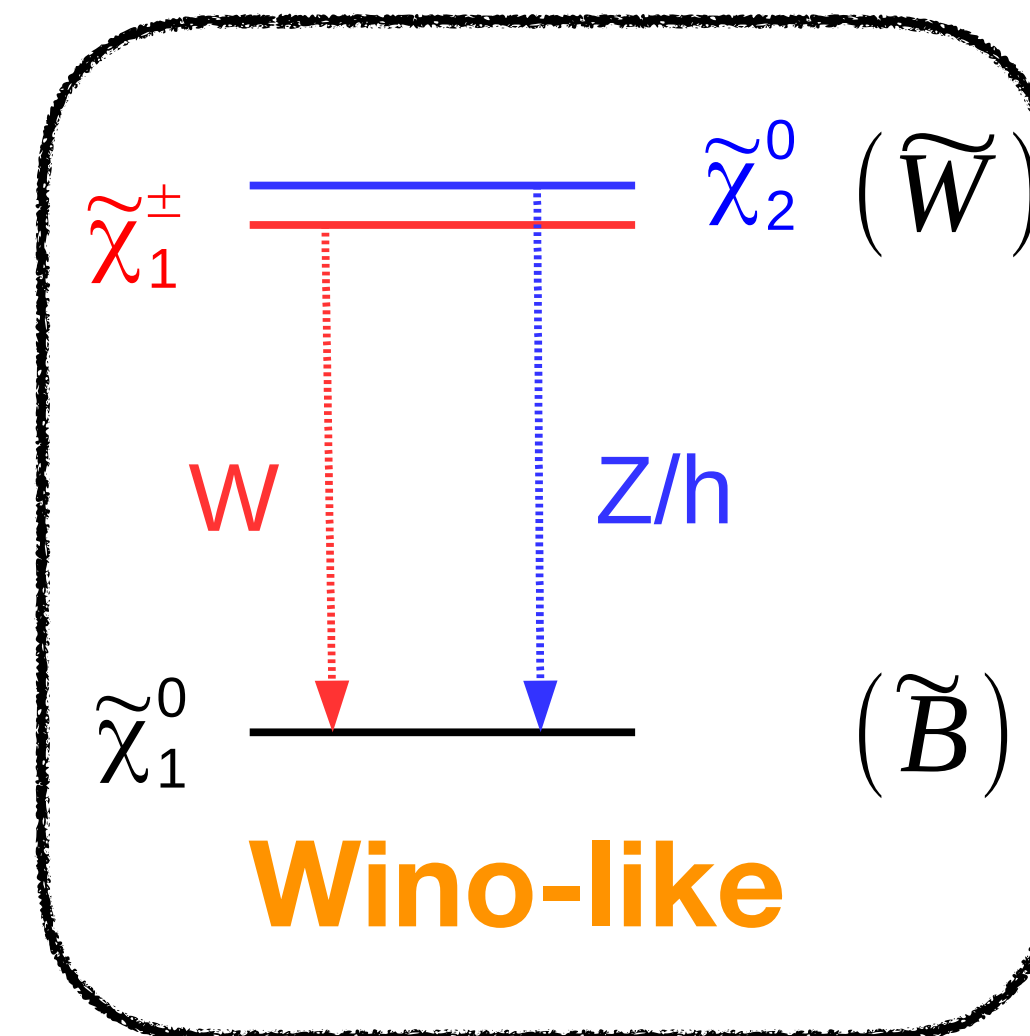
- Possible SUSY spectrum as of today:
  - Heavy squarks and gluinos
  - Gauginos and higgsinos are light
- Important to search for electroweak SUSY partners at the LHC!





# Typical mass spectrums of chargino-neutralinos

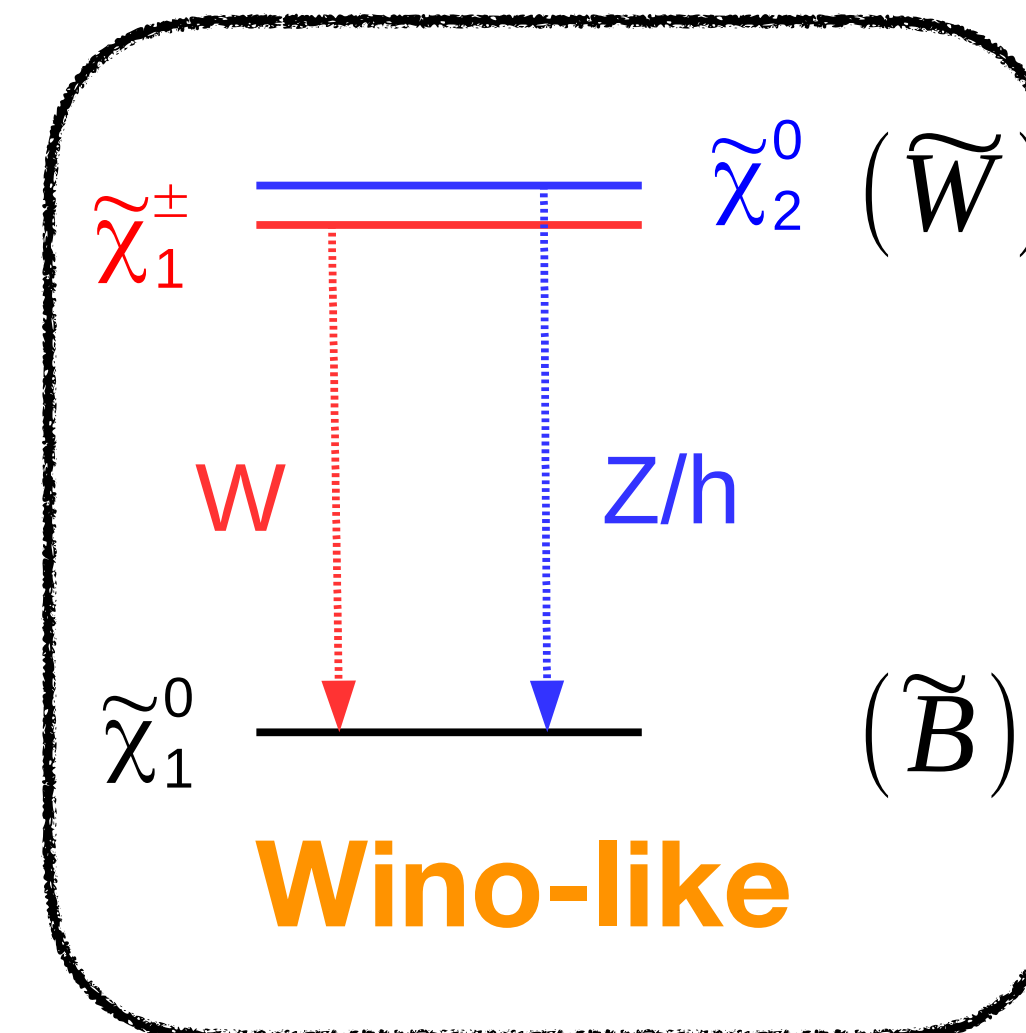
- Depending on the mass scales of Bino/Winos/Higgsinos:
- lightest chargino/ neutralinos form different mass spectrums
- Two main mass spectrums explored at the LHC: **Wino-like**, **Higgsino-like**



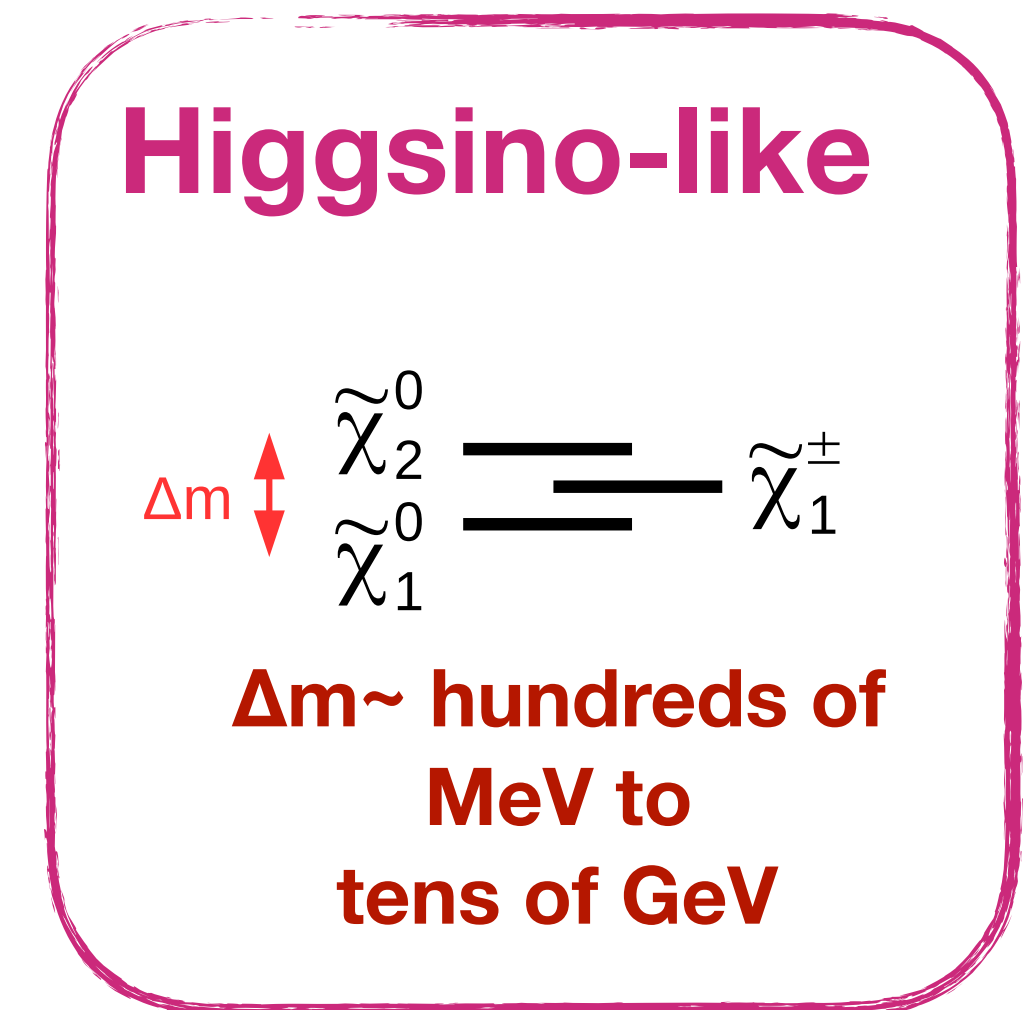


# Typical mass spectrums of chargino-neutralinos

- Wino-like spectrum has larger cross section—> *Let's start looking here!*



45 fb\*



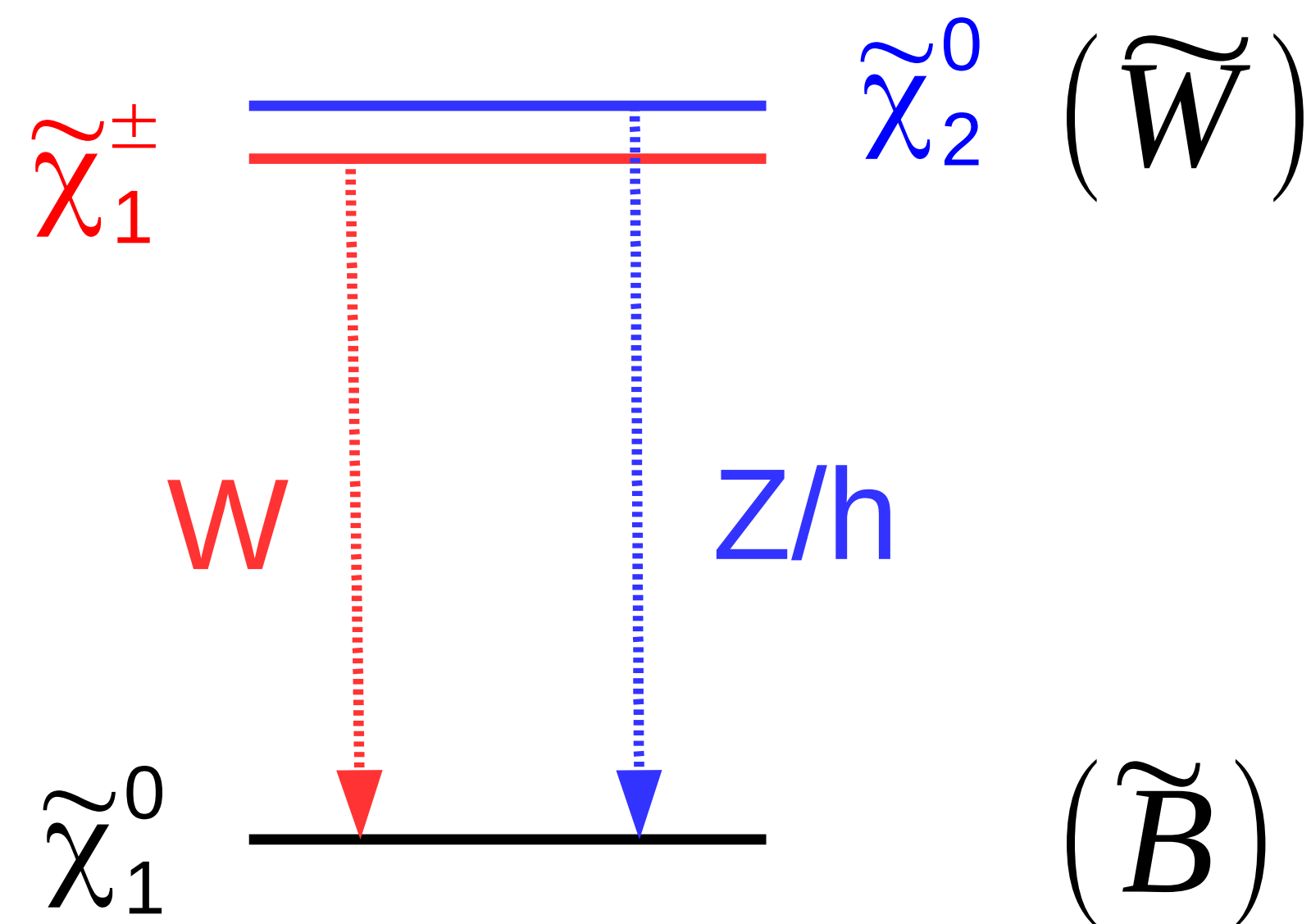
11 fb\*

\*Cross-sections for 500 GeV sparticles @ 13 TeV

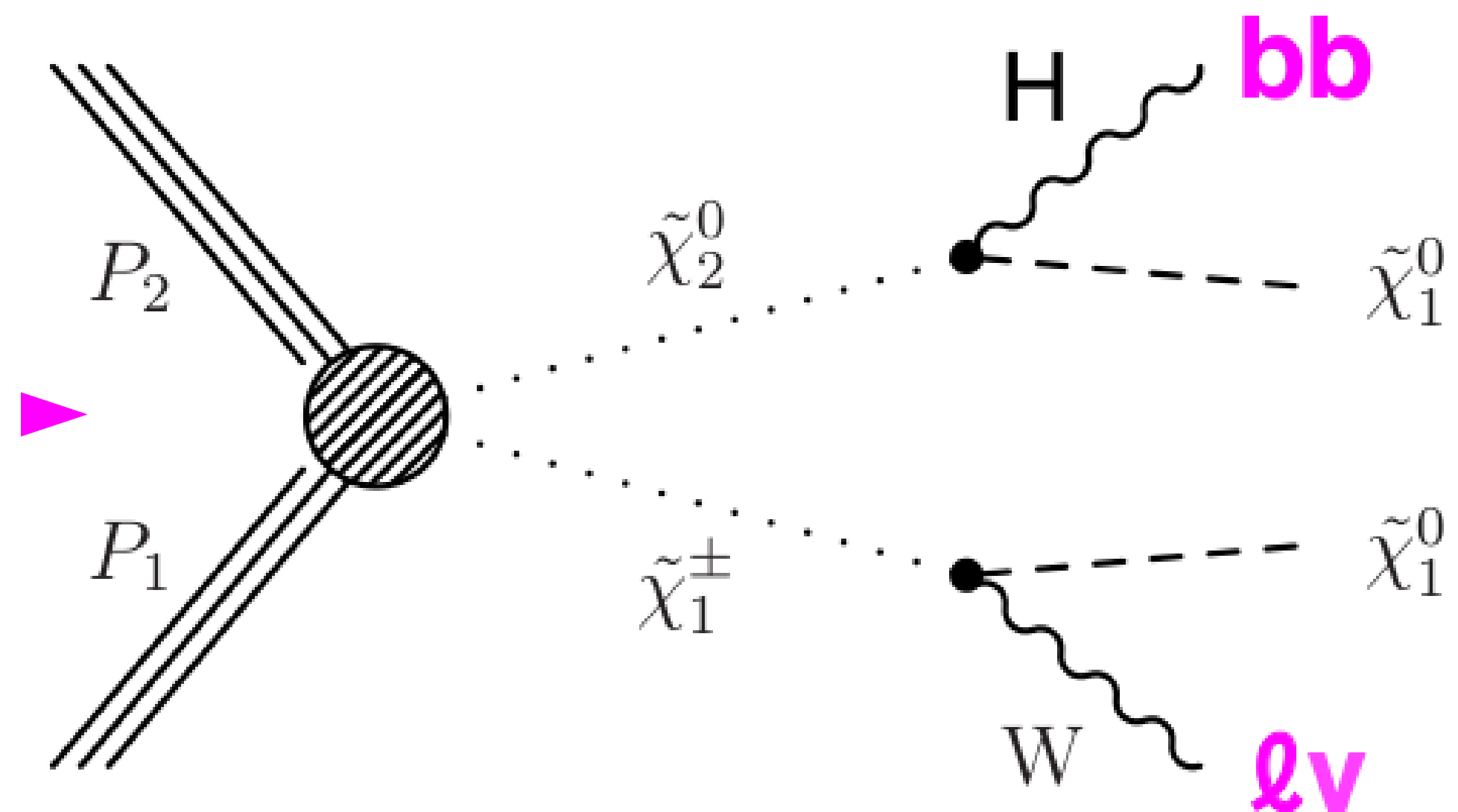
(  $\tilde{\chi}_2^0 \tilde{\chi}_1^\pm$  only)



# Why one lepton + bb + MET?



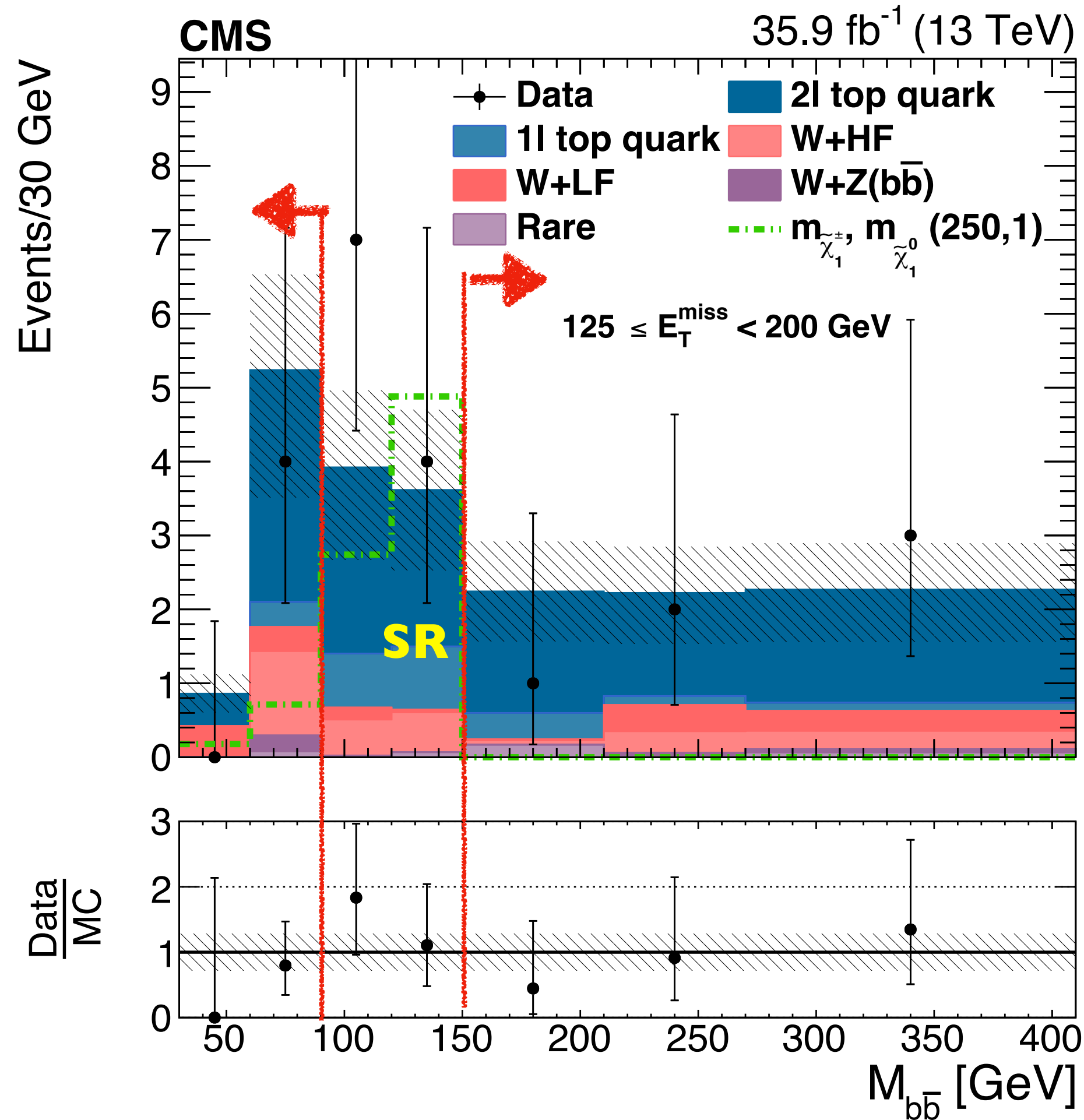
$$\chi^\pm \chi^0 \rightarrow W(\ell\nu)H(bb)+MET$$



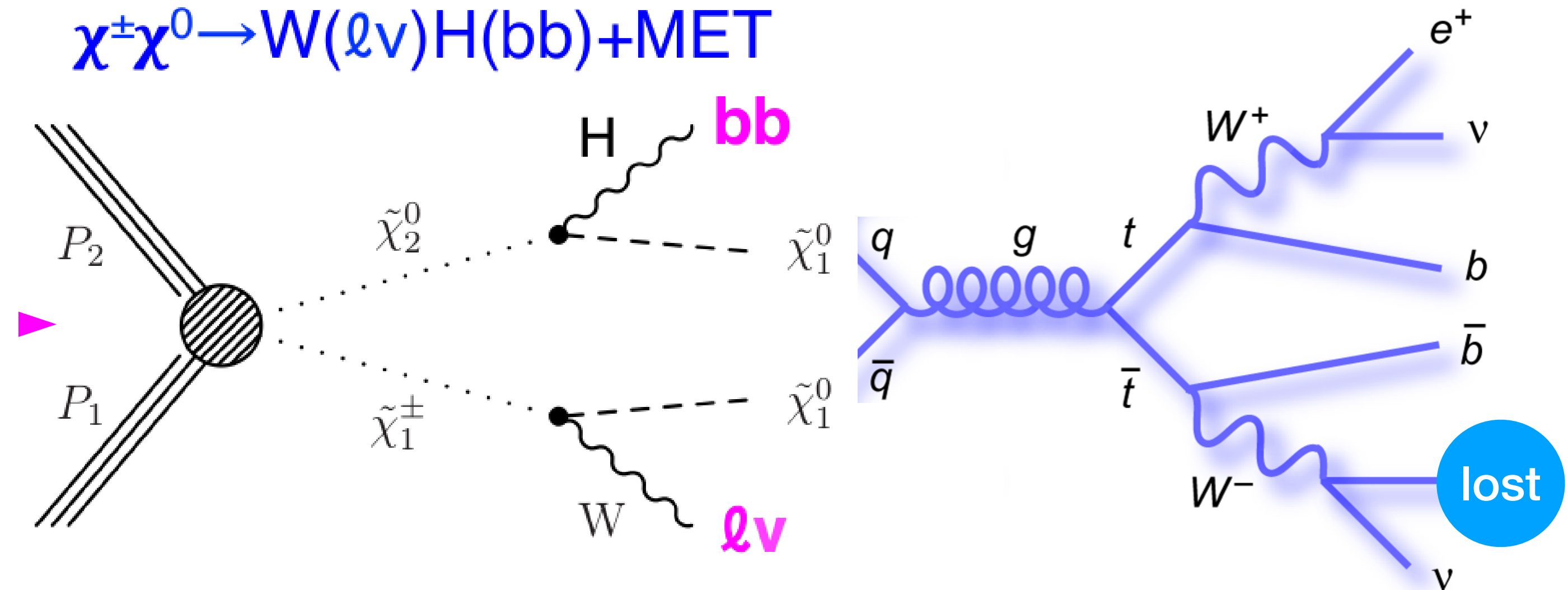
- WH topology: less constrained from 8 TeV searches compared to WZ
- In the WH topology: 1 lepton (e/ $\mu$ )+bb: trigger on leptons and handle against backgrounds, large BF of  $H \rightarrow b\bar{b}$  (60%)



# Search for Higgs peak in the kinematic tails



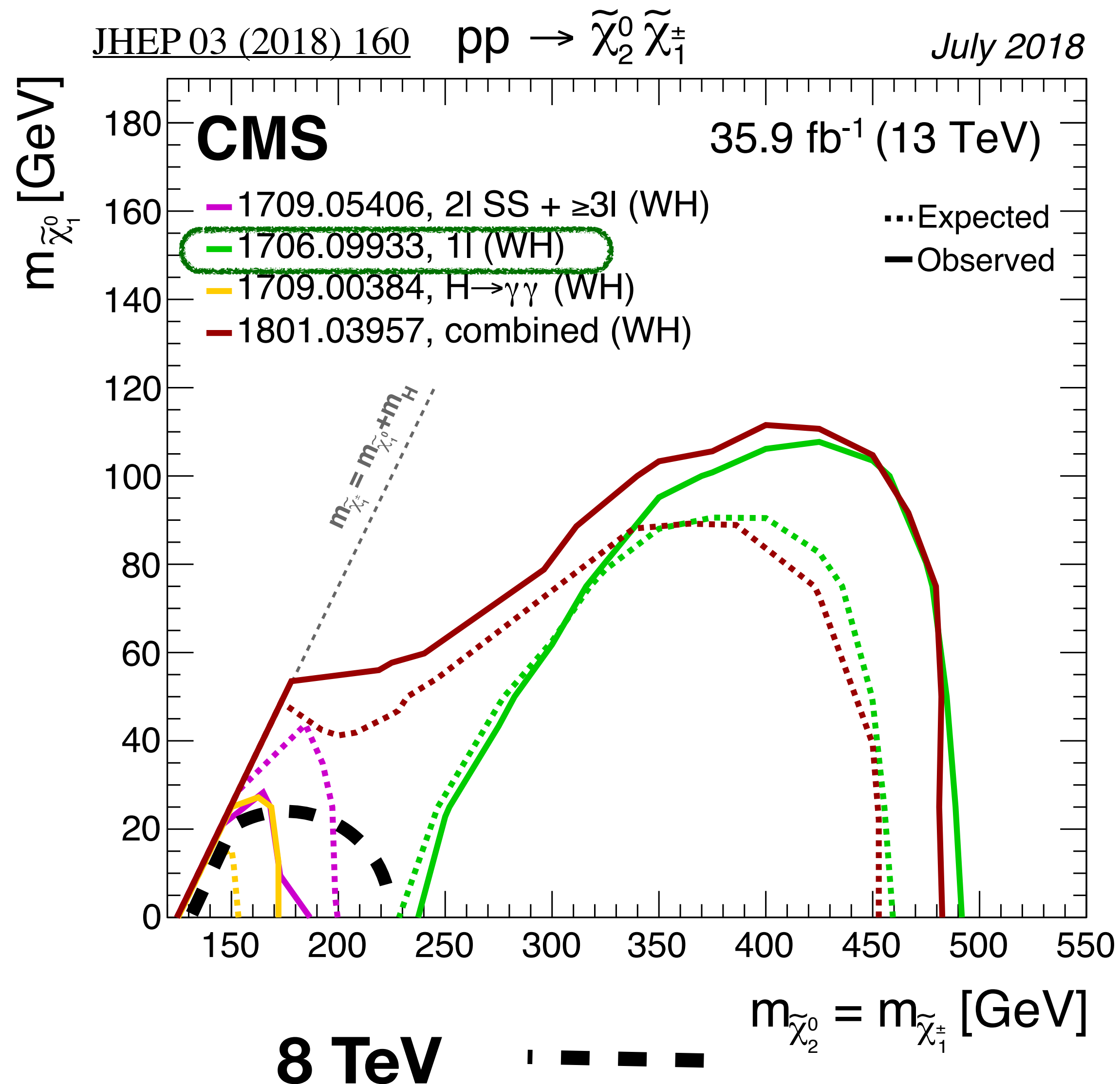
$$\chi^\pm \chi^0 \rightarrow W(\ell\nu)H(bb)+\text{MET}$$



- Mbb: Higgs peak in the kinematic tails of MET etc, where SM processes fall off quickly
- O(1) signal yields compared to backgrounds
- Higgs mass sideband: Directly control  $t\bar{t}$  2L



# WH(Lvbb) + MET: pushing Wino Limits



- Probes chargino mass up to 500 GeV in the WH topology
- 300 GeV improvement wrt 8 TeV reach
- Dominates the sensitivity in the bulk.



No SUSY (or any other BSM physics which can enter our selections) found yet by ATLAS or CMS



Production Cross Section,  $\sigma$  [pb]

7 TeV CMS measurement ( $L \leq 5.0 \text{ fb}^{-1}$ )  
 8 TeV CMS measurement ( $L \leq 19.6 \text{ fb}^{-1}$ )  
 13 TeV CMS measurement ( $L \leq 35.9 \text{ fb}^{-1}$ )  
 Theory prediction  
 CMS 95%CL limits at 7, 8 and 13 TeV

W Z  $W_\gamma$   $Z_\gamma$  WW WZ ZZ EW qqW EW qqZ  $\gamma\gamma \rightarrow WW$  EW qqW $\gamma$ ssWW EW qqZ $\gamma$  EW qqWZ EW qqZZ  $WV_\gamma$   $Z\gamma\gamma$   $W\gamma\gamma$  tt  $t_{t\text{-ch}}$  tW  $t_{s\text{-ch}}$   $tt_\gamma$  tZq ttZ  $t_\gamma$  ttW ttt ggH qqH MBF VH WH ZH ttH tH HH

All results at: <http://cern.ch/go/pNj7>

EW:  $W \rightarrow l\nu$ ,  $Z \rightarrow ll$ ,  $l = e, \mu$

Th.  $\Delta\sigma_H$  in exp.  $\Delta\sigma$

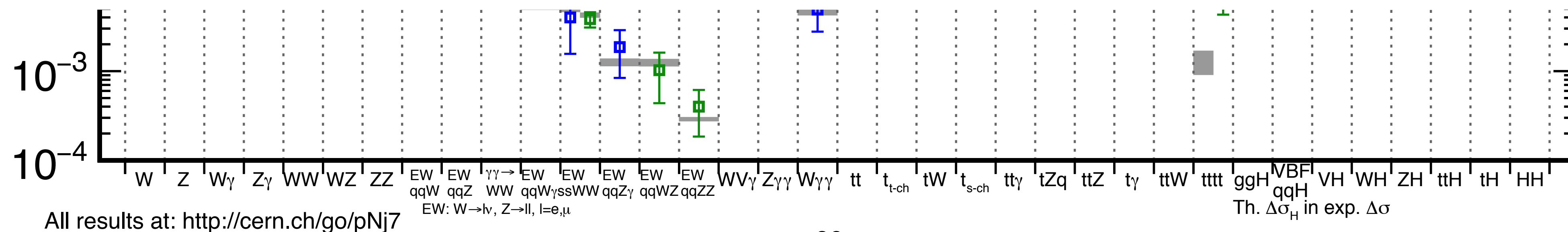




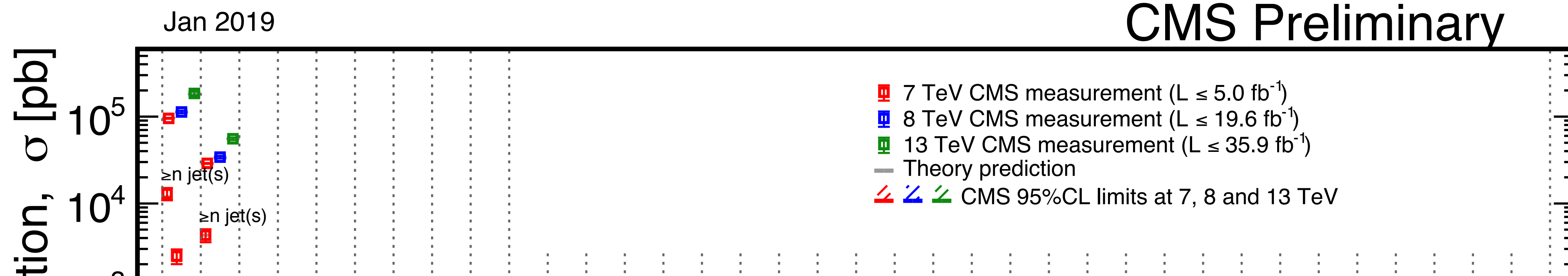
A historical reflection:

The development of the SM was driven by experimental anomalies: mesons/baryons...

—The SM then guided our searches of fundamental particles

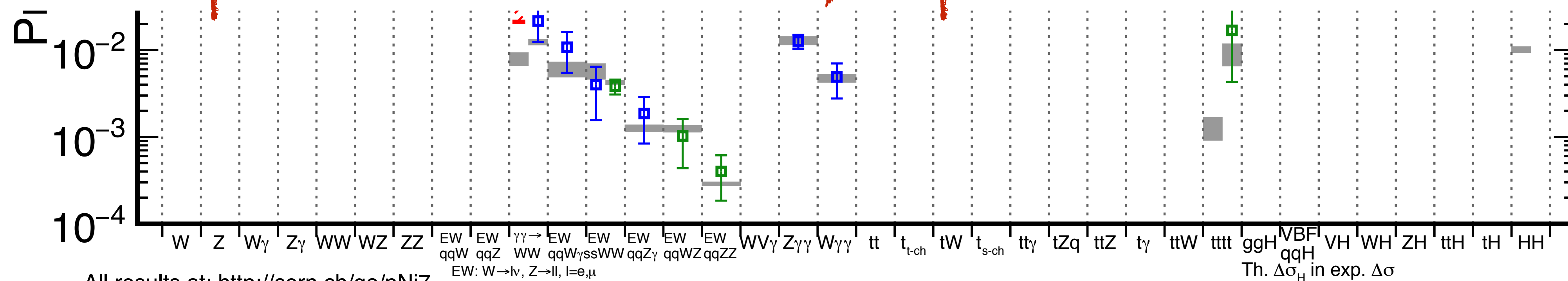






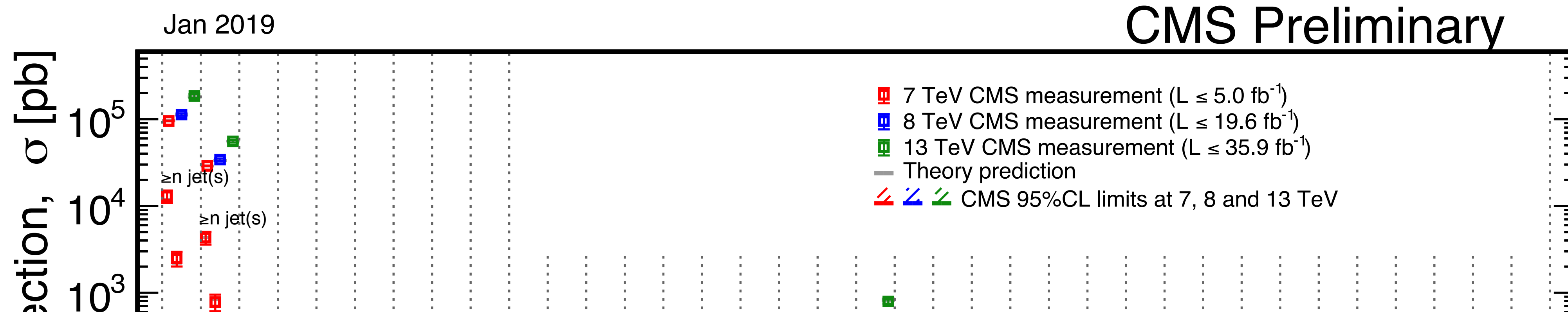
– BSM theory driven searches have large focus on kinematic tails (8 TeV  $\rightarrow$  13 TeV)  $\rightarrow$  no anomalies

– Have to be accompanied by stringent tests of the SM predictions to look for possible anomalies



All results at: <http://cern.ch/go/pNj7>

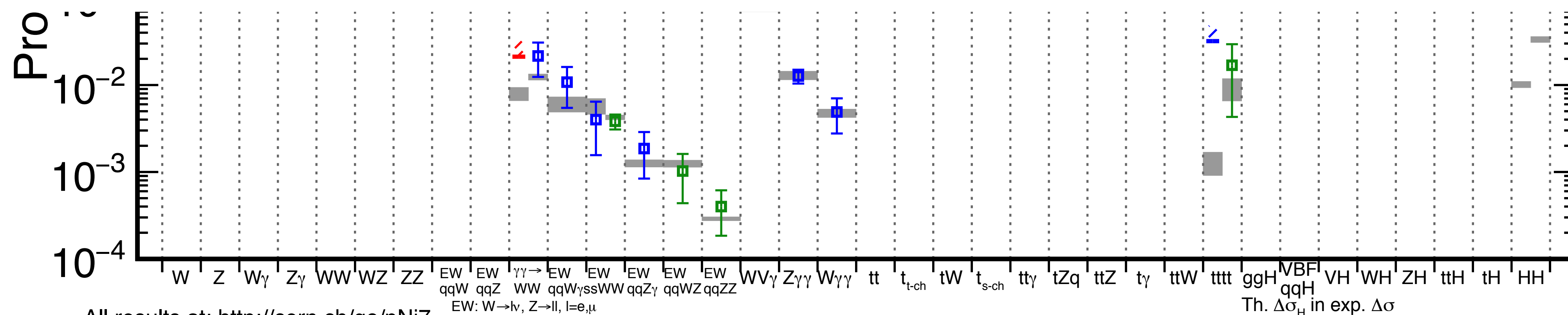




One way of doing this

• Test rare processes: rare top (four top)/rare Higgs ( $H \rightarrow \mu\mu$ )....

• Directly benefit from the large LHC Run-2 dataset: 150fb-1



All results at: <http://cern.ch/go/pNj7>

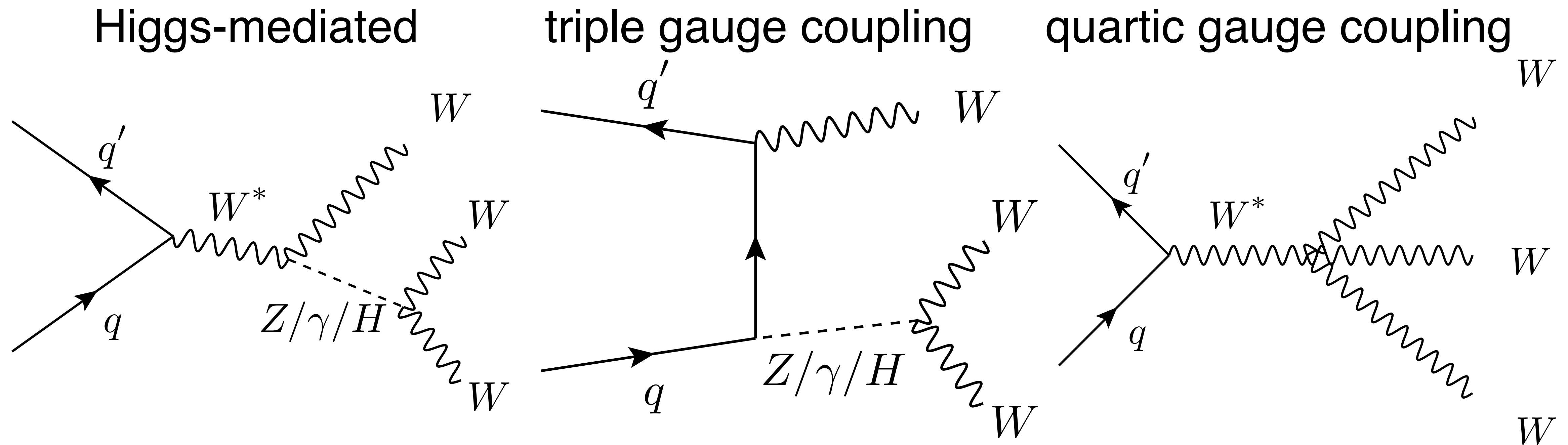


My interest:  
Search for a very rare process in the  
electroweak sector:

WW production at the LHC



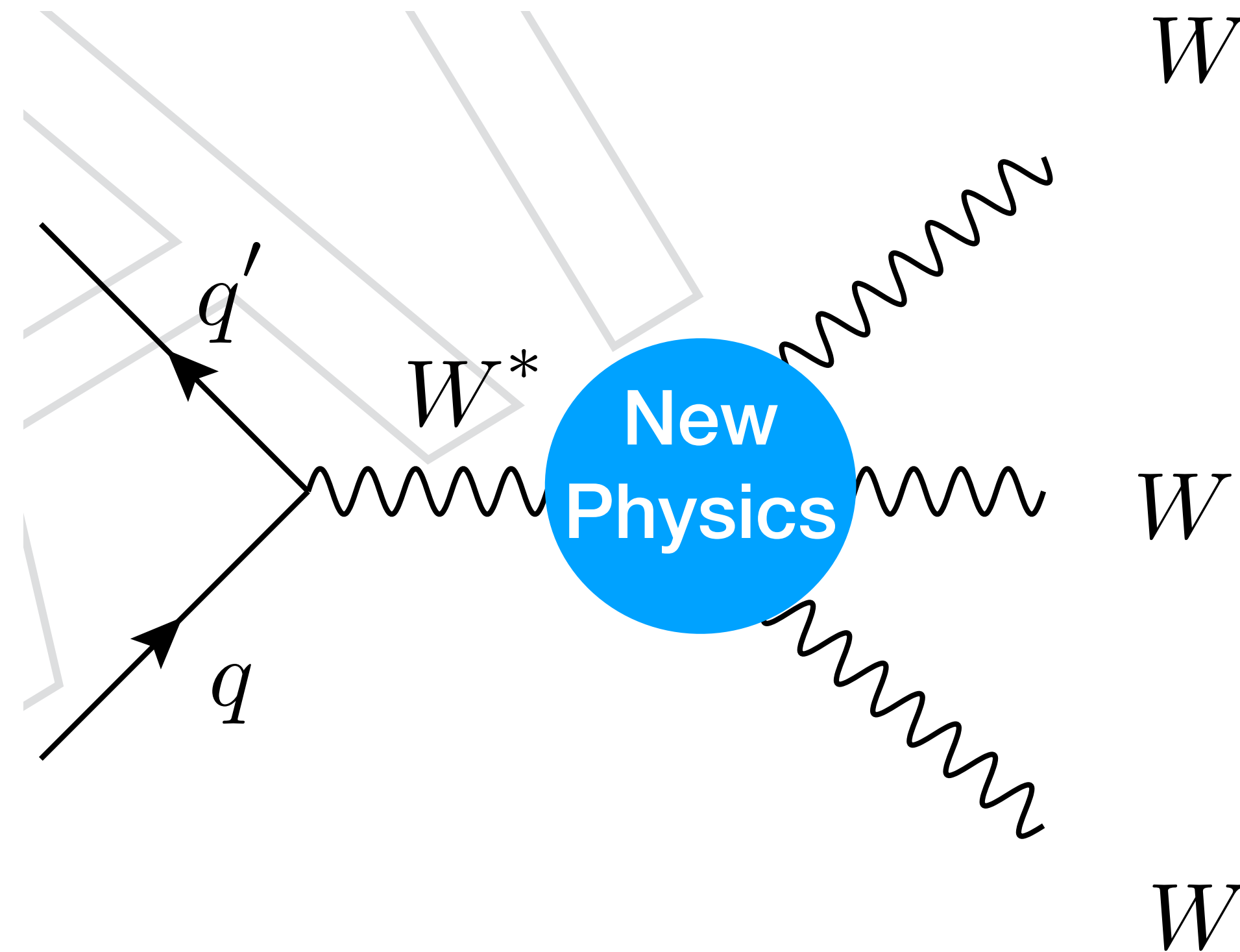
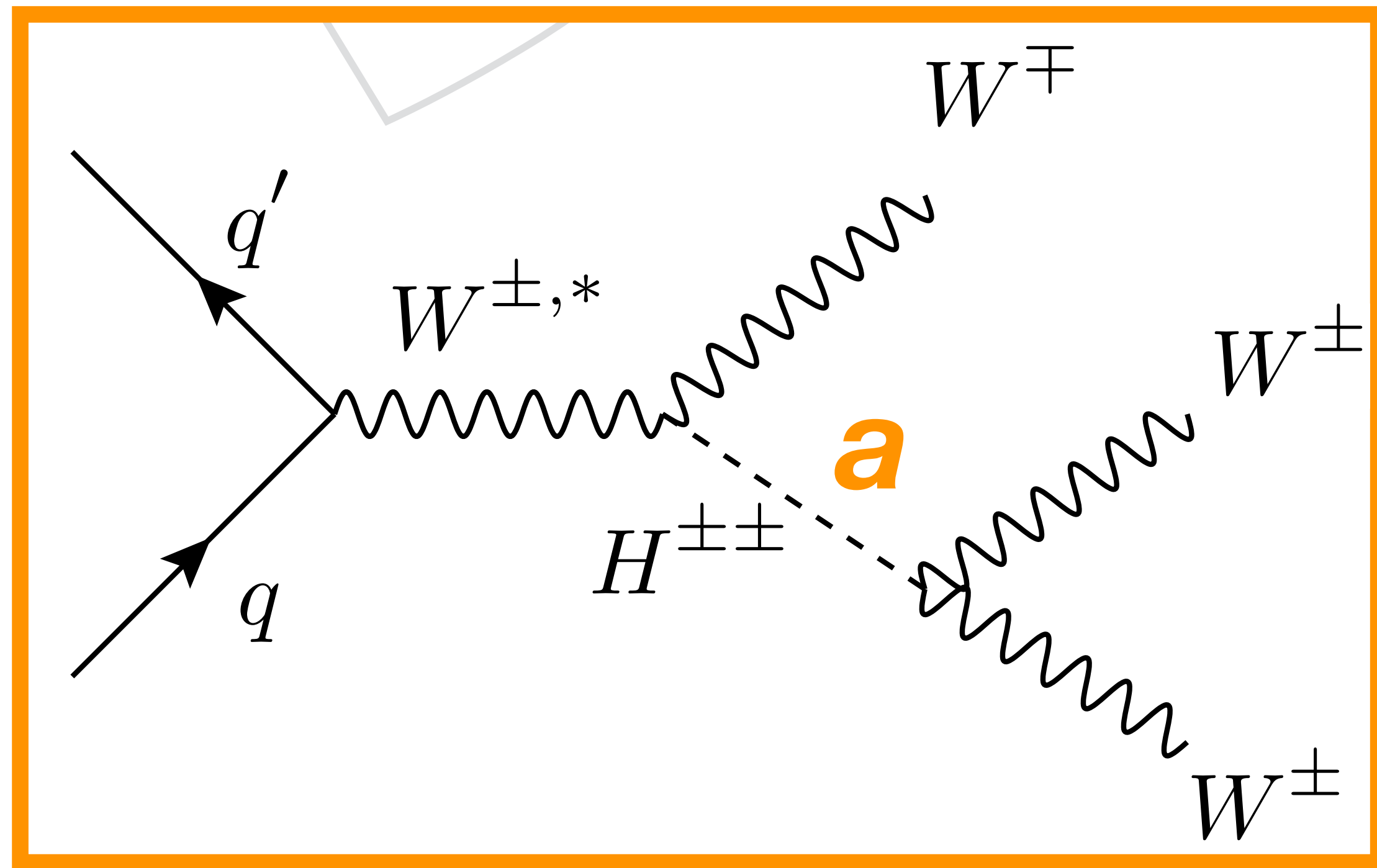
# Physics motivation for measuring $WWW$



**This process has low cross section**  
**Requires Run 2 data @ 13 TeV to be studied**



# Sensitive to BSM contributions

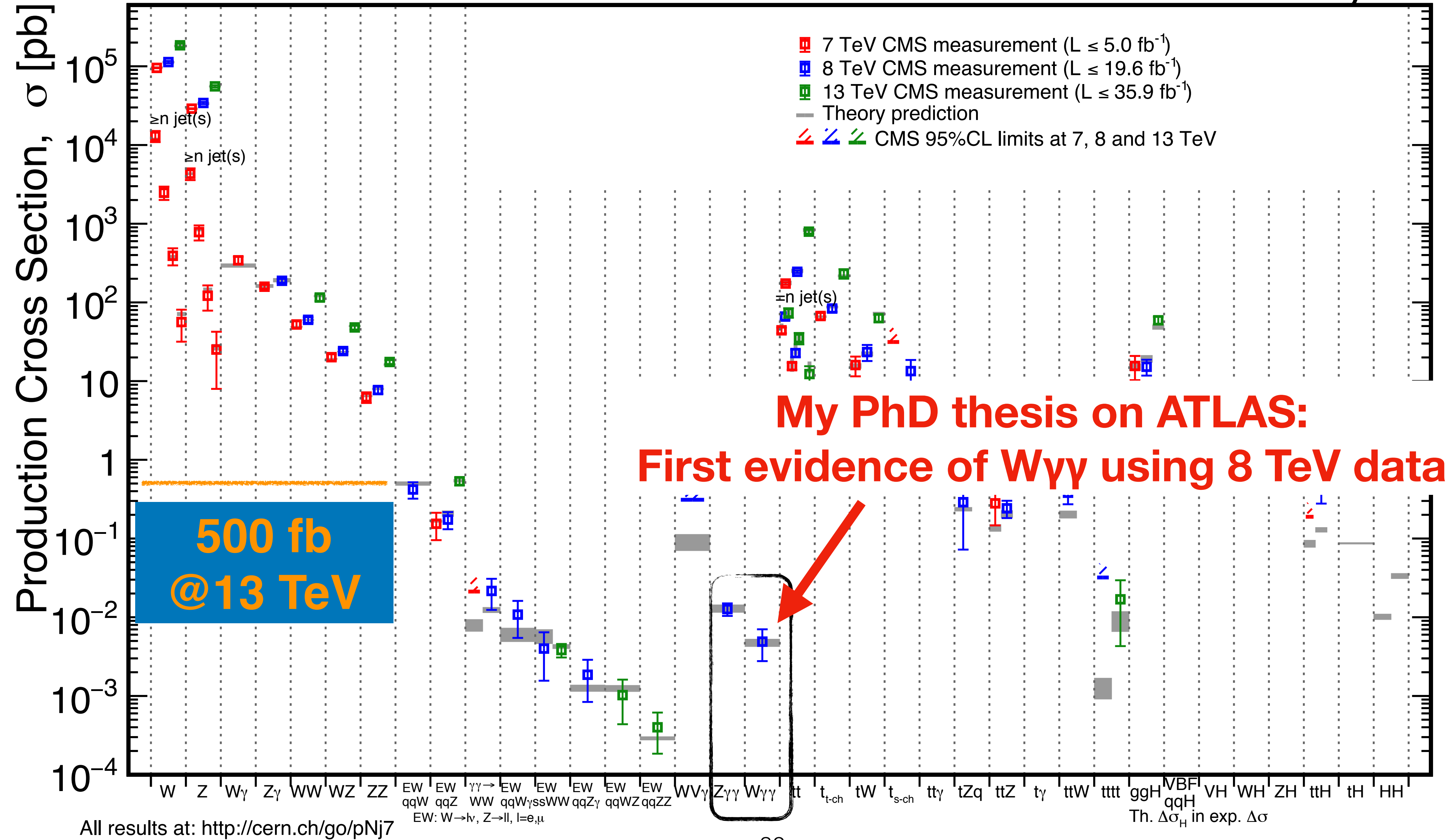


Doubly charged Higgs/axion-like particles, anomalous couplings coming from new physics beyond our kinematic reach

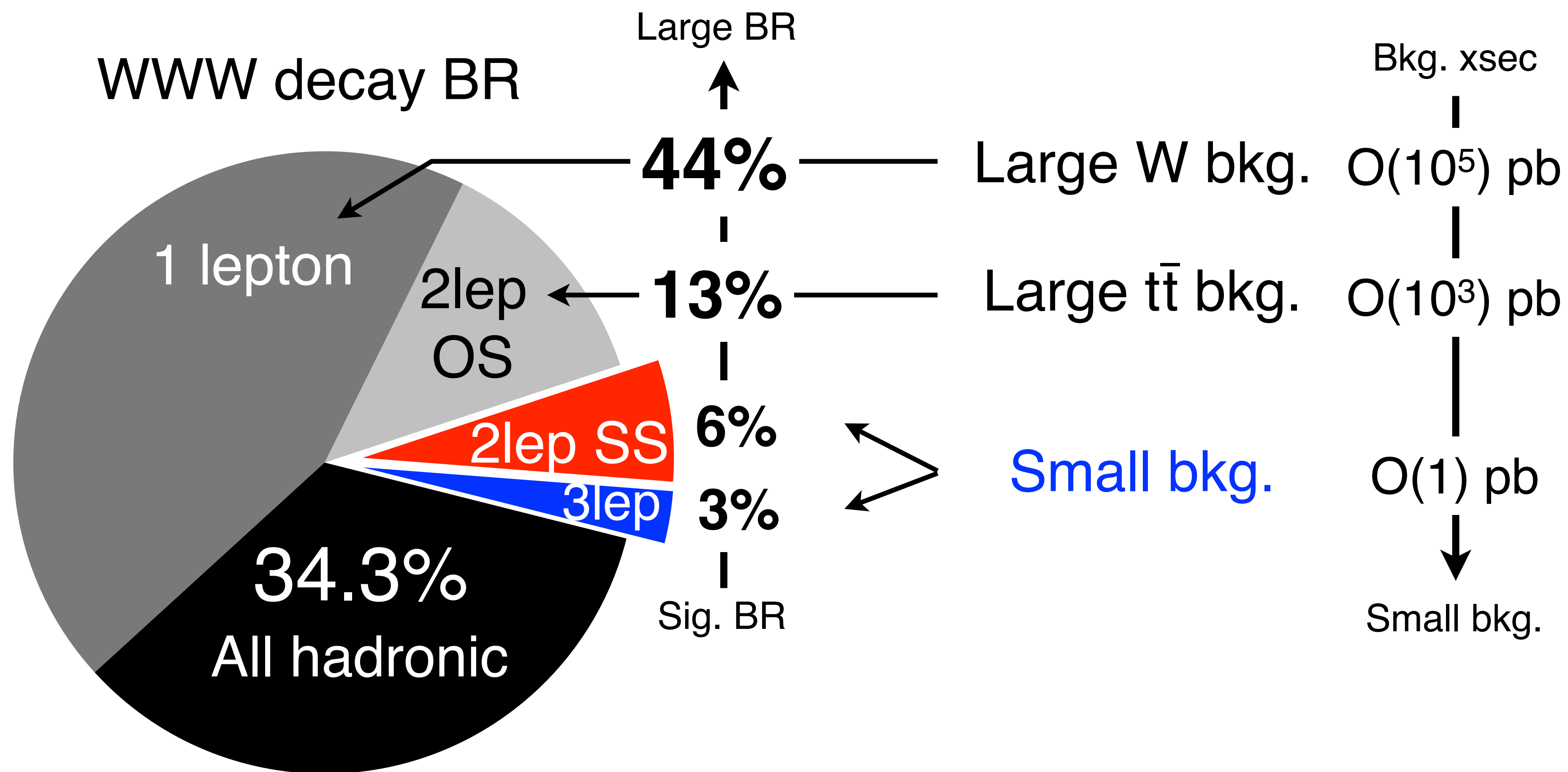


Jan 2019

CMS Preliminary



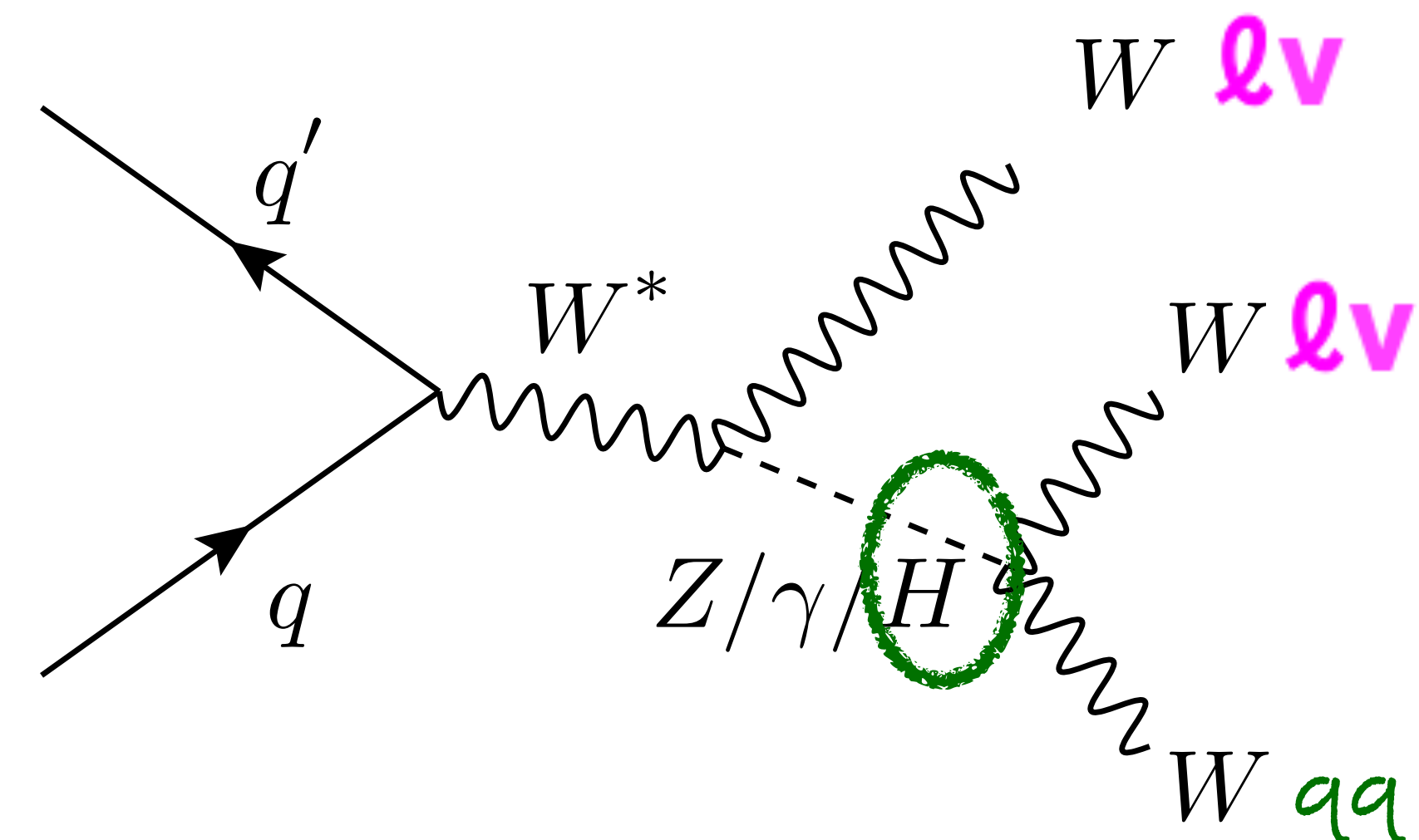
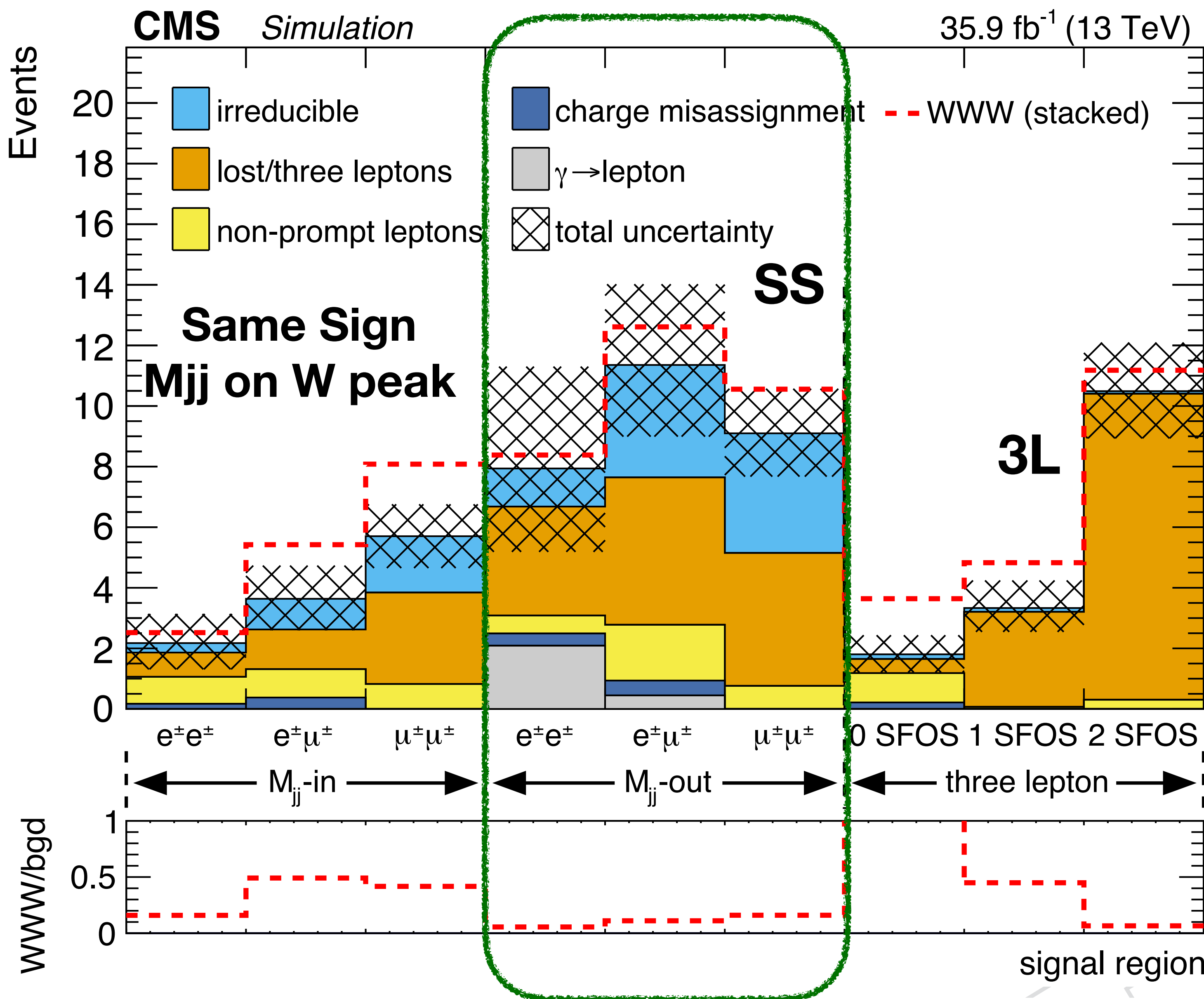
# WW: the "measurable" part is small...



Target same-sign 2 lepton and 3 lepton final state :< 10 % of the total



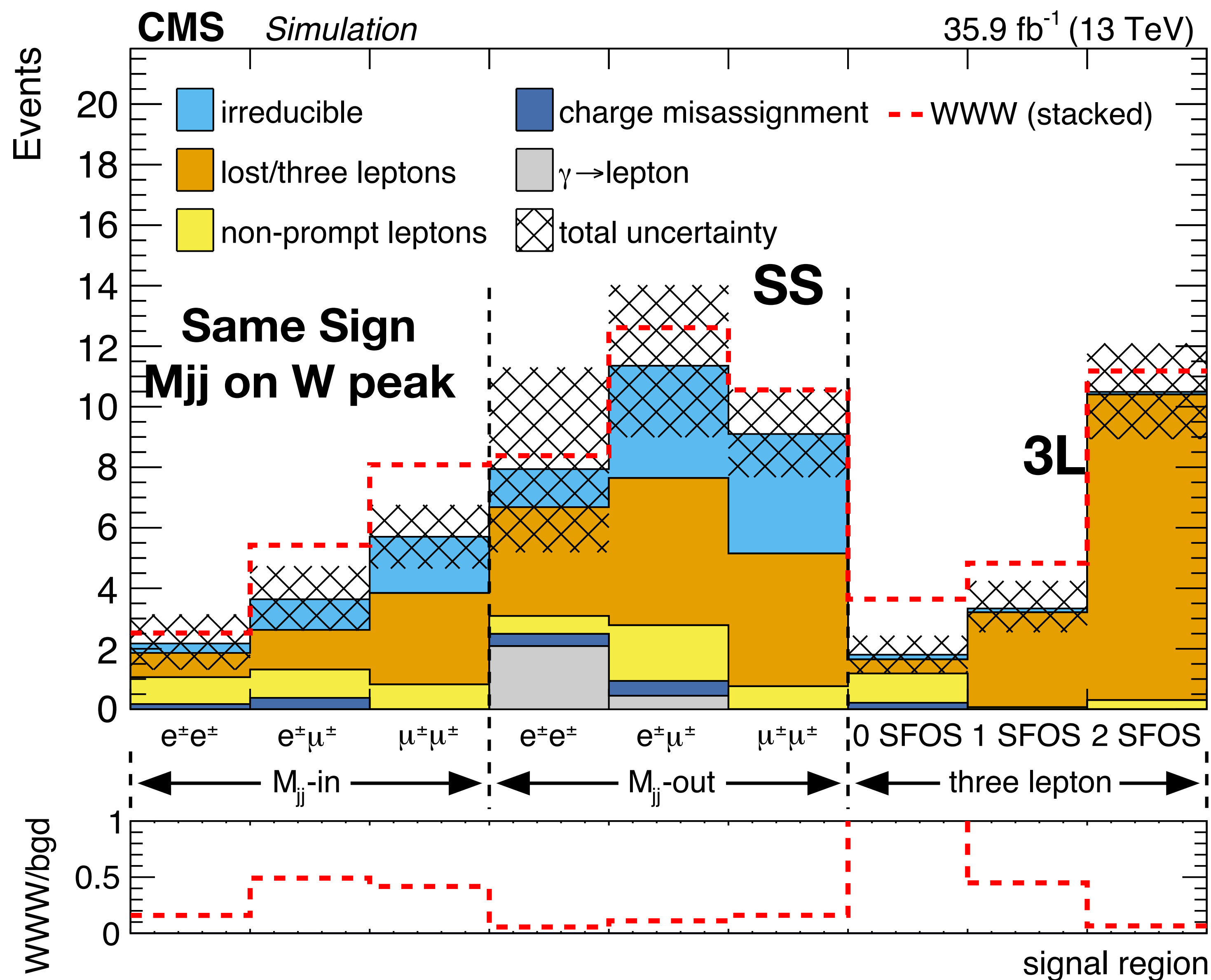
# Maximize the sensitivity



Recovers off-shell W's  
coming from Higgs decay

9 categories: varying sensitivity

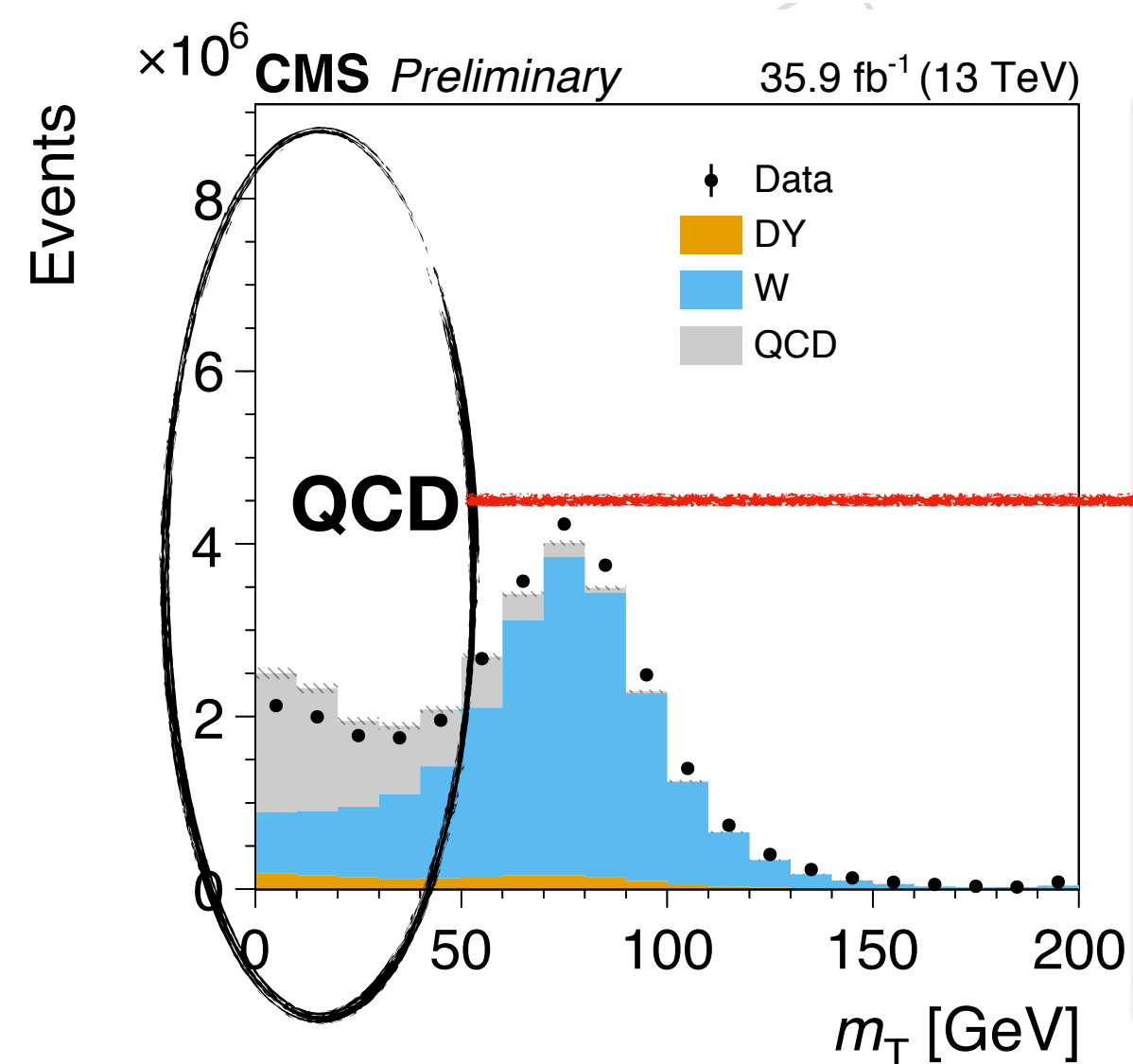
# Backgrounds overview in signal region



- Real same sign contributions (Same-sign WW (EWK, QCD), ttW, DPS) and Charge flip
- Lost lepton: WZ, ttV with 3 real leptons  $\rightarrow$  dominating.
- My focus: Non-prompt Lepton faked by hadronic jets (1L W+Jets, ttbar)
- most challenging, poorly modeled in MC, needs full data-driven estimate

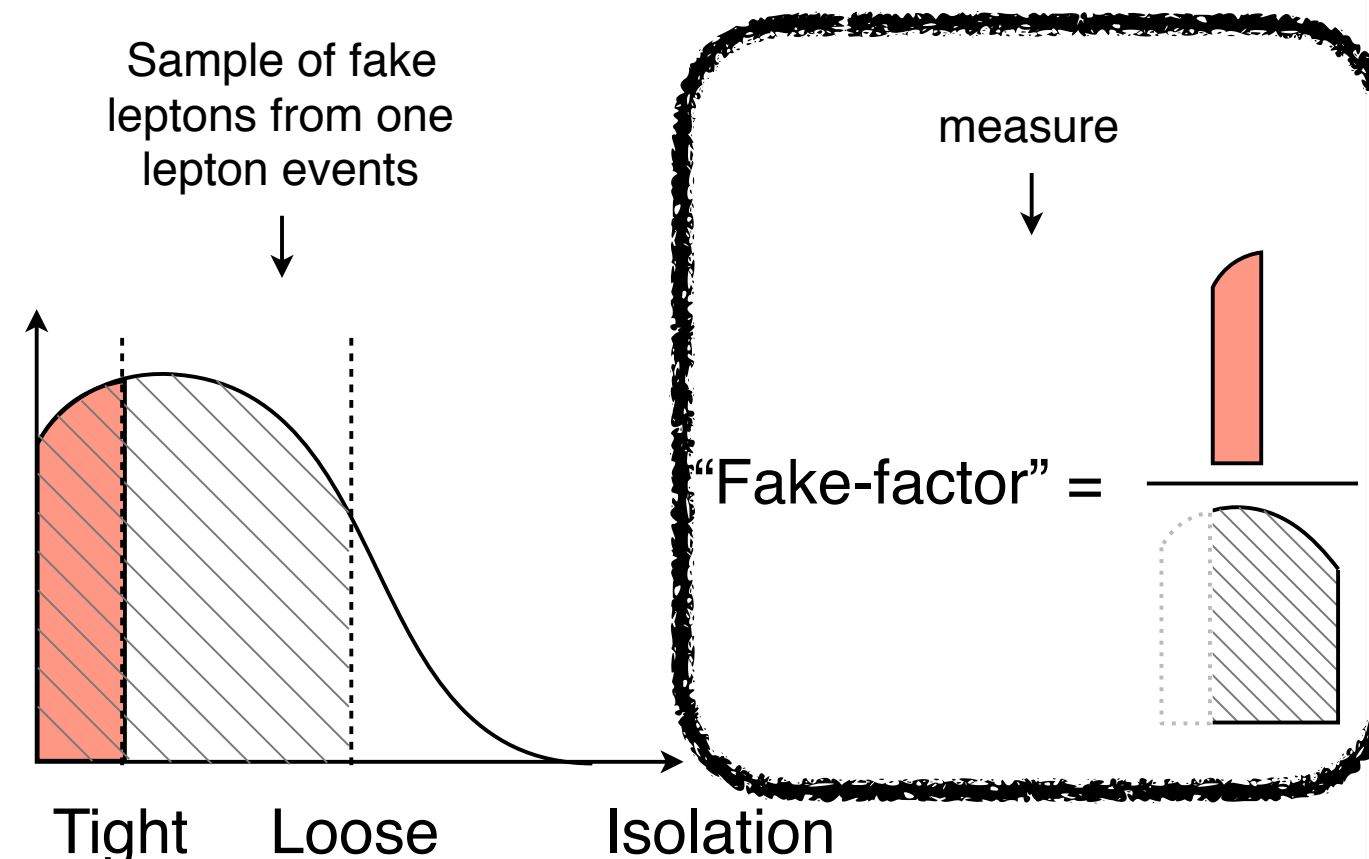


# Estimate Non-prompt Leptons



Single lepton events

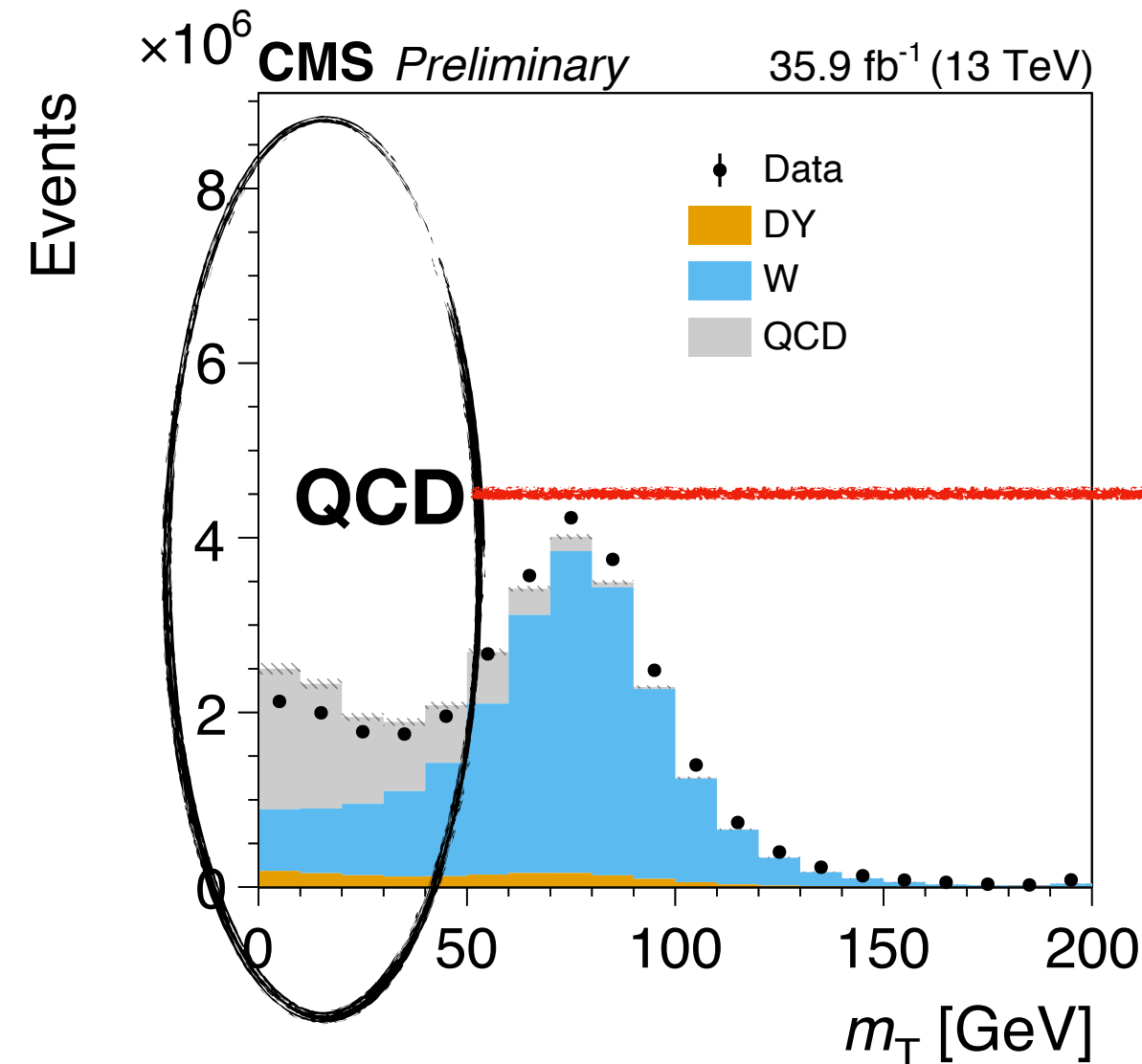
❶ Measure “fake-factor” from one lepton data events



• Data-driven estimate:

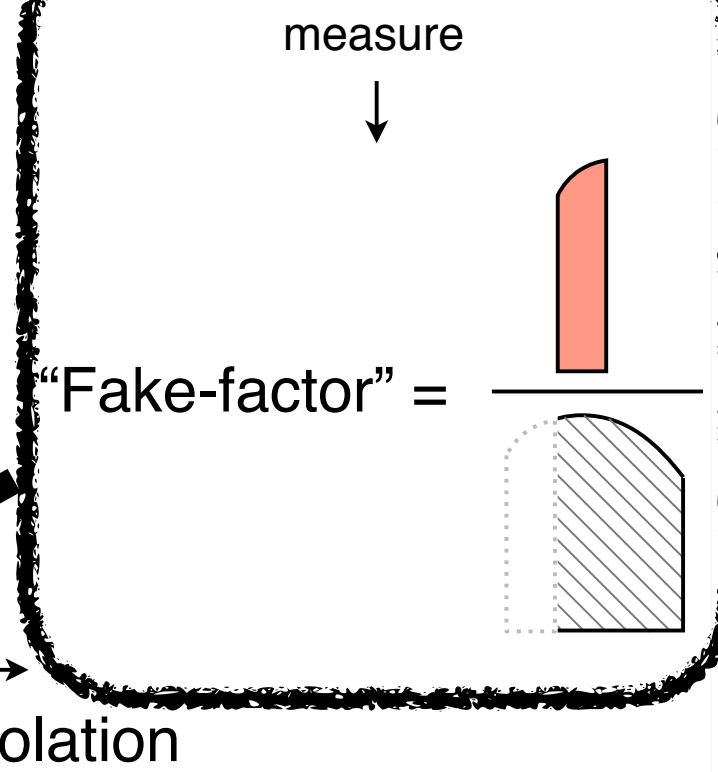
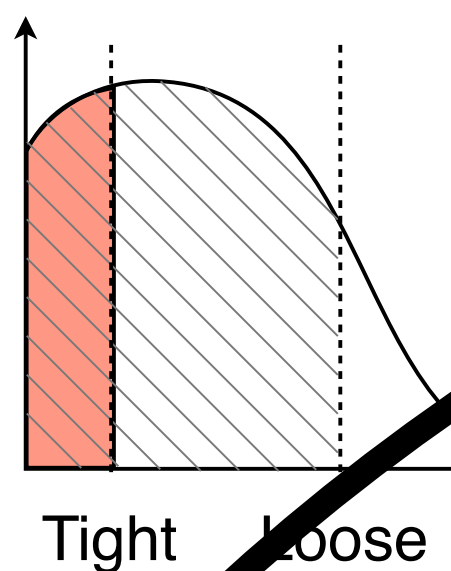
• Step1: QCD enriched enriched region -> tight-to-loose

# Estimate Non-prompt Leptons



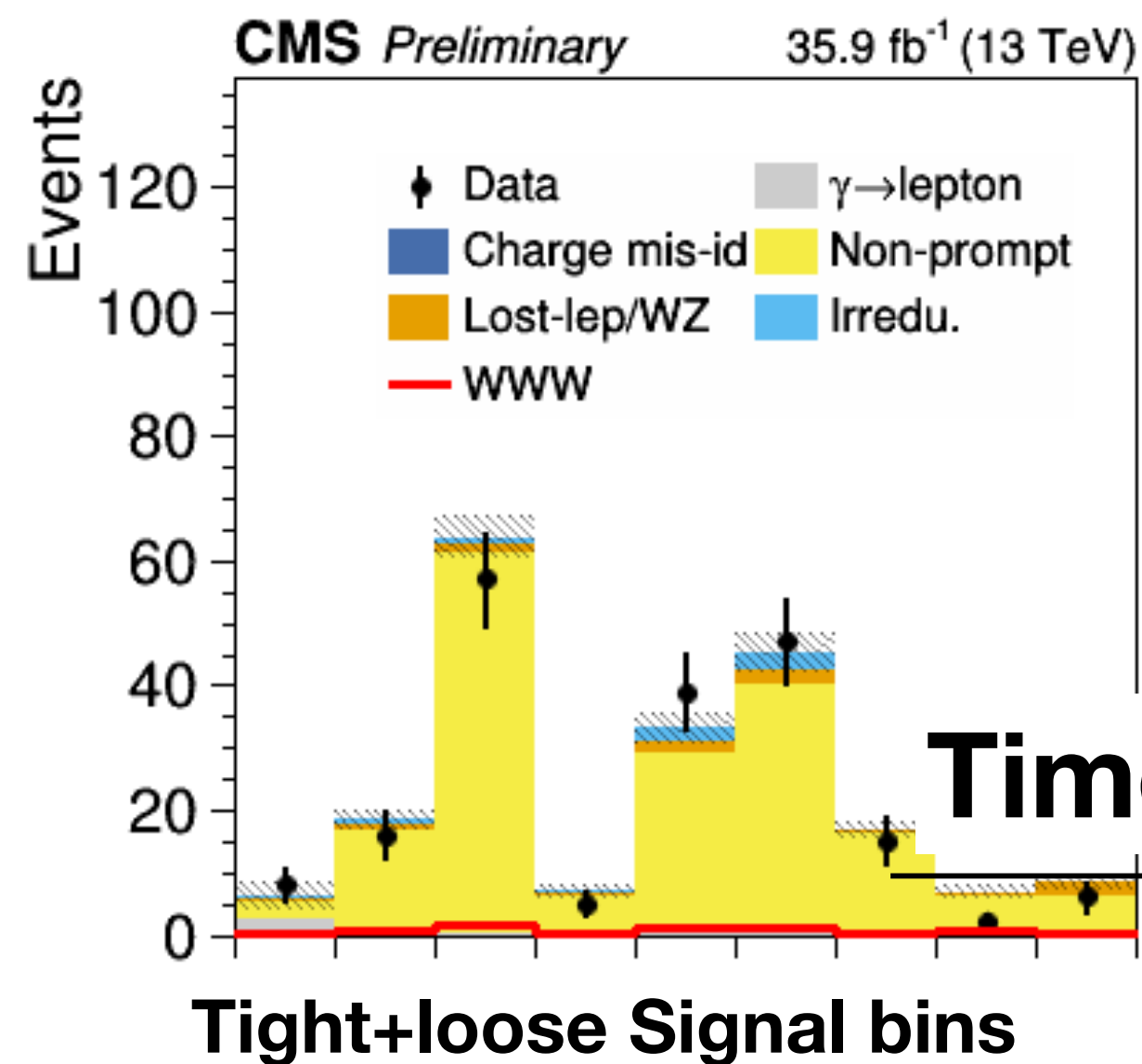
① Measure “fake-factor” from one lepton data events

Sample of fake leptons from one lepton events

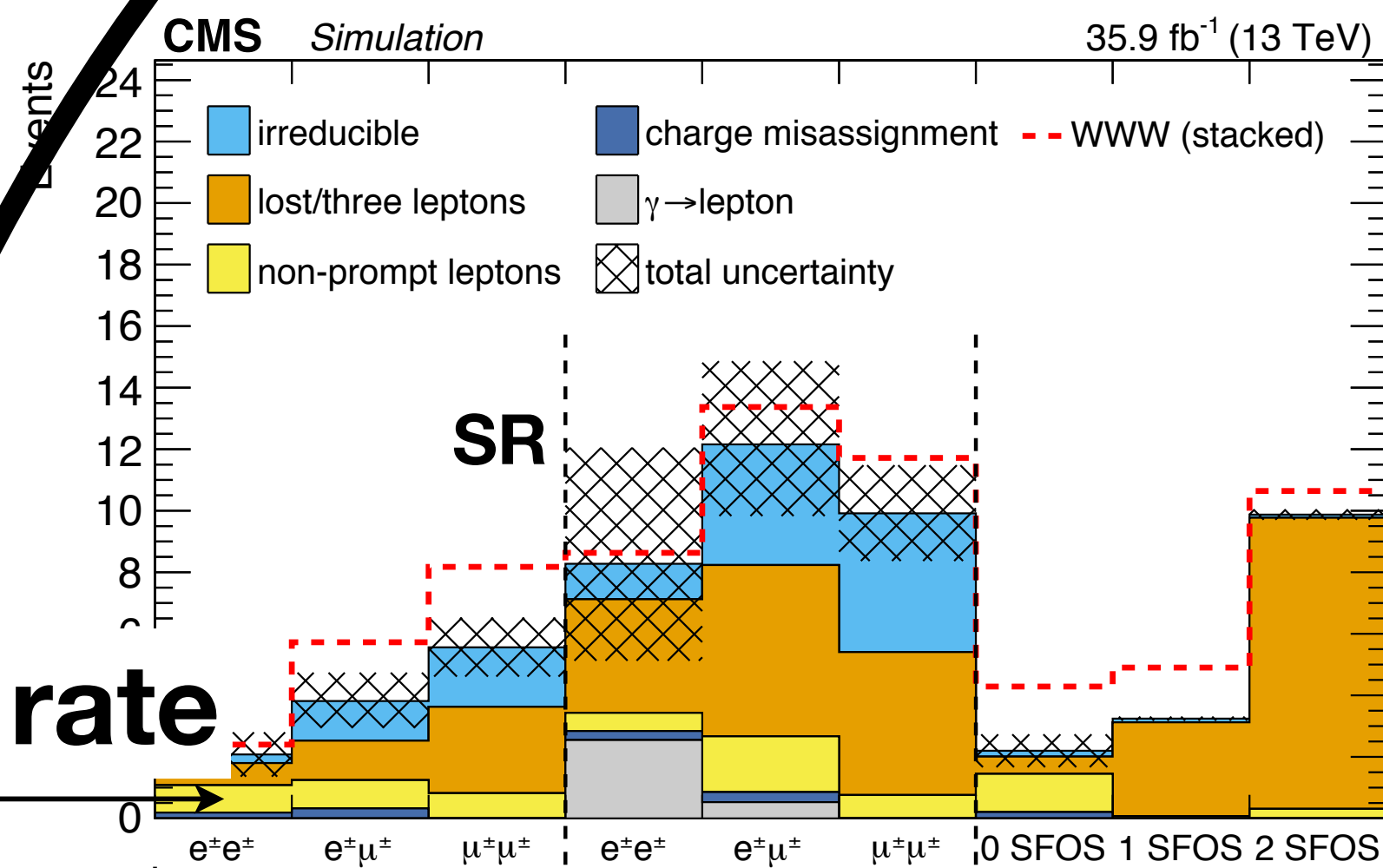


• Step 2: Tight-to-loose ratio applied to signal regions (2 lepton events)

→ fake estimates in signal region

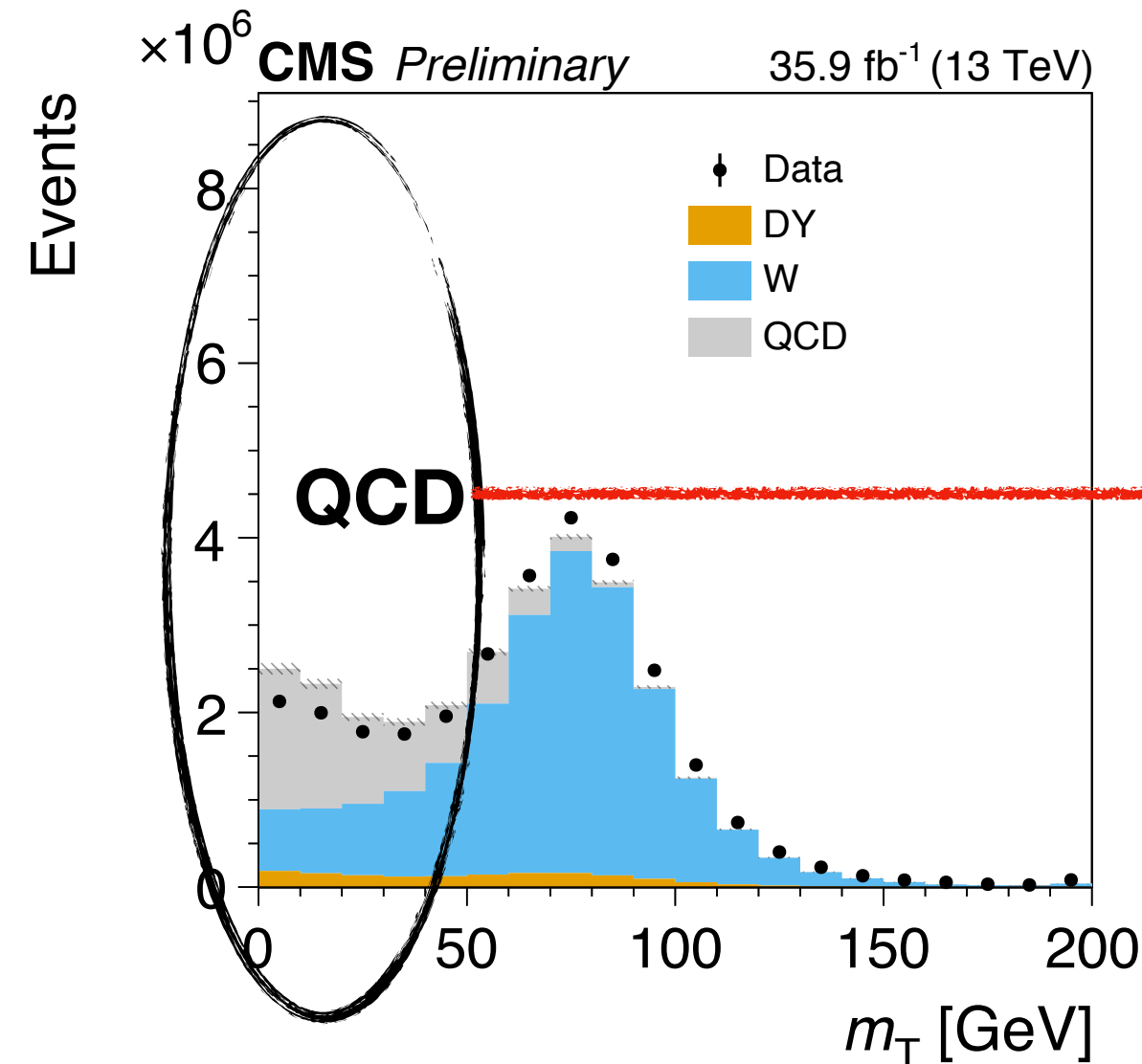


Times fake rate



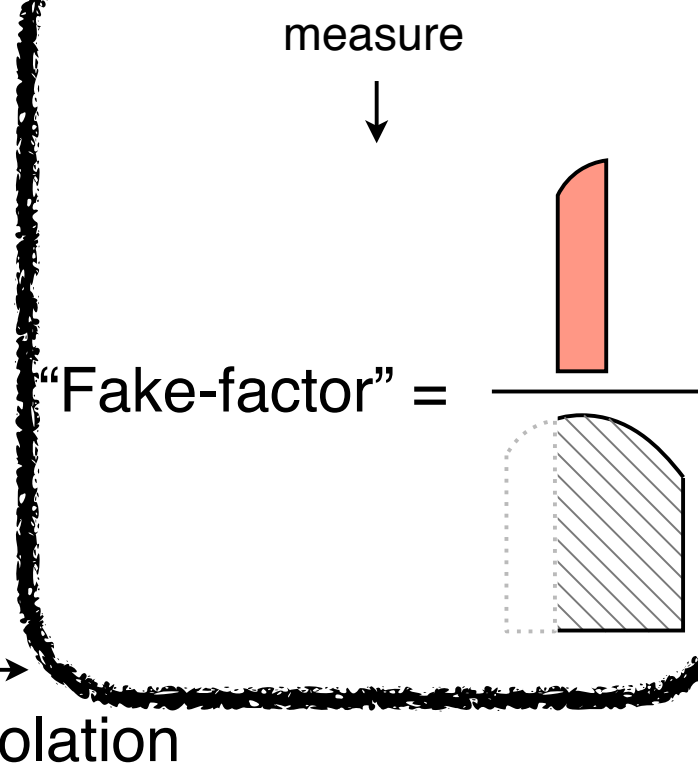
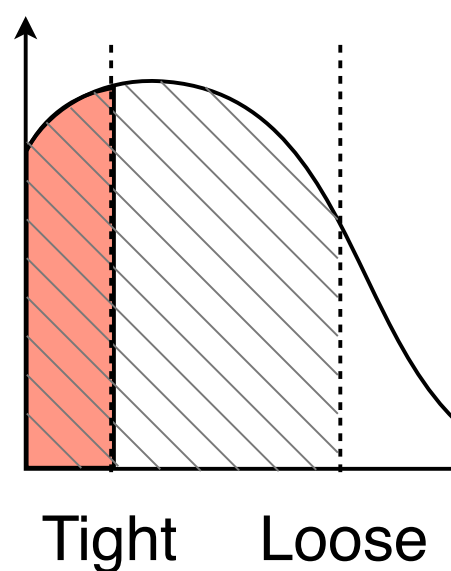


# Estimate Non-prompt Leptons



① Measure "fake-factor" from one lepton data events

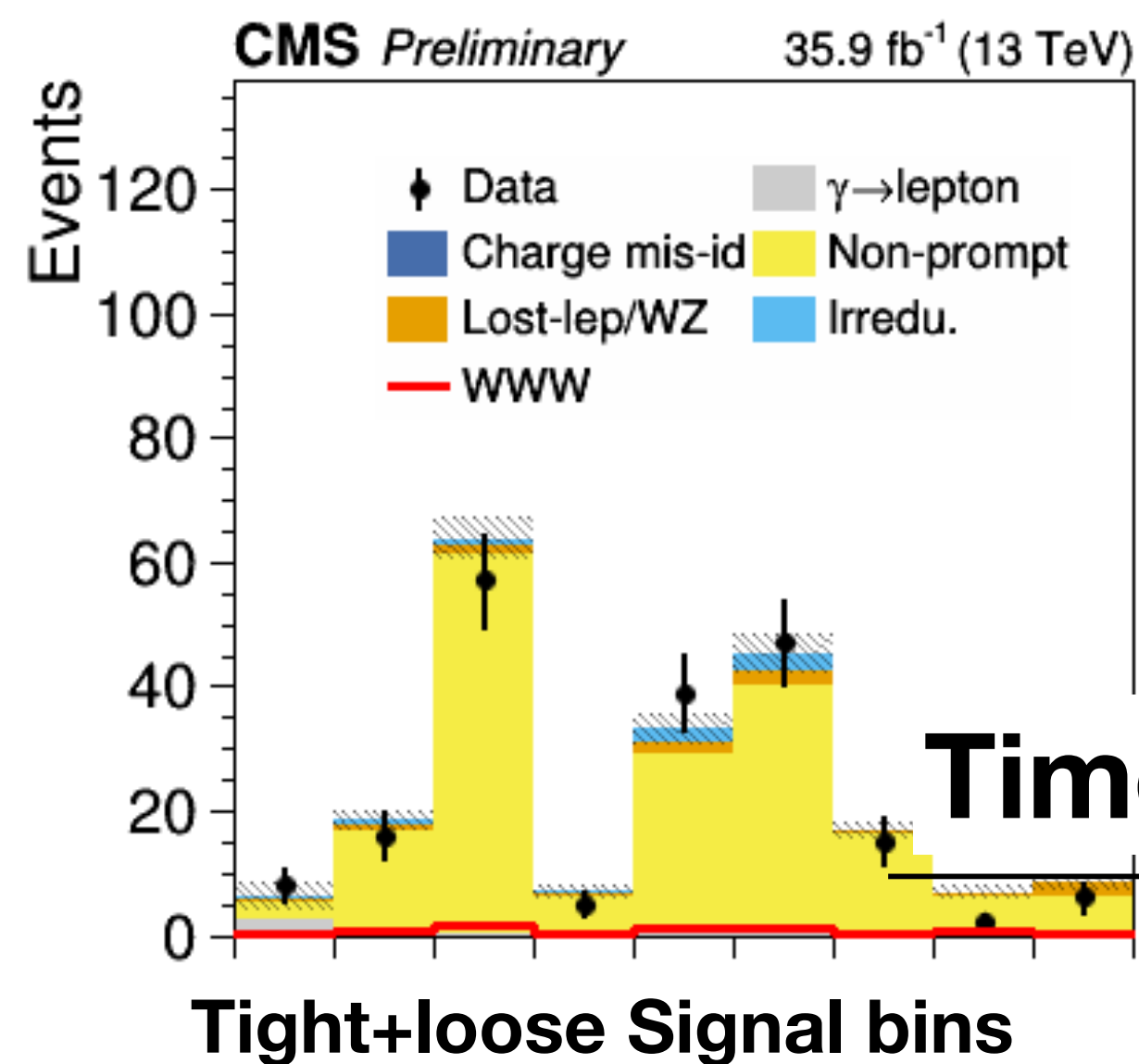
Sample of fake leptons from one lepton events



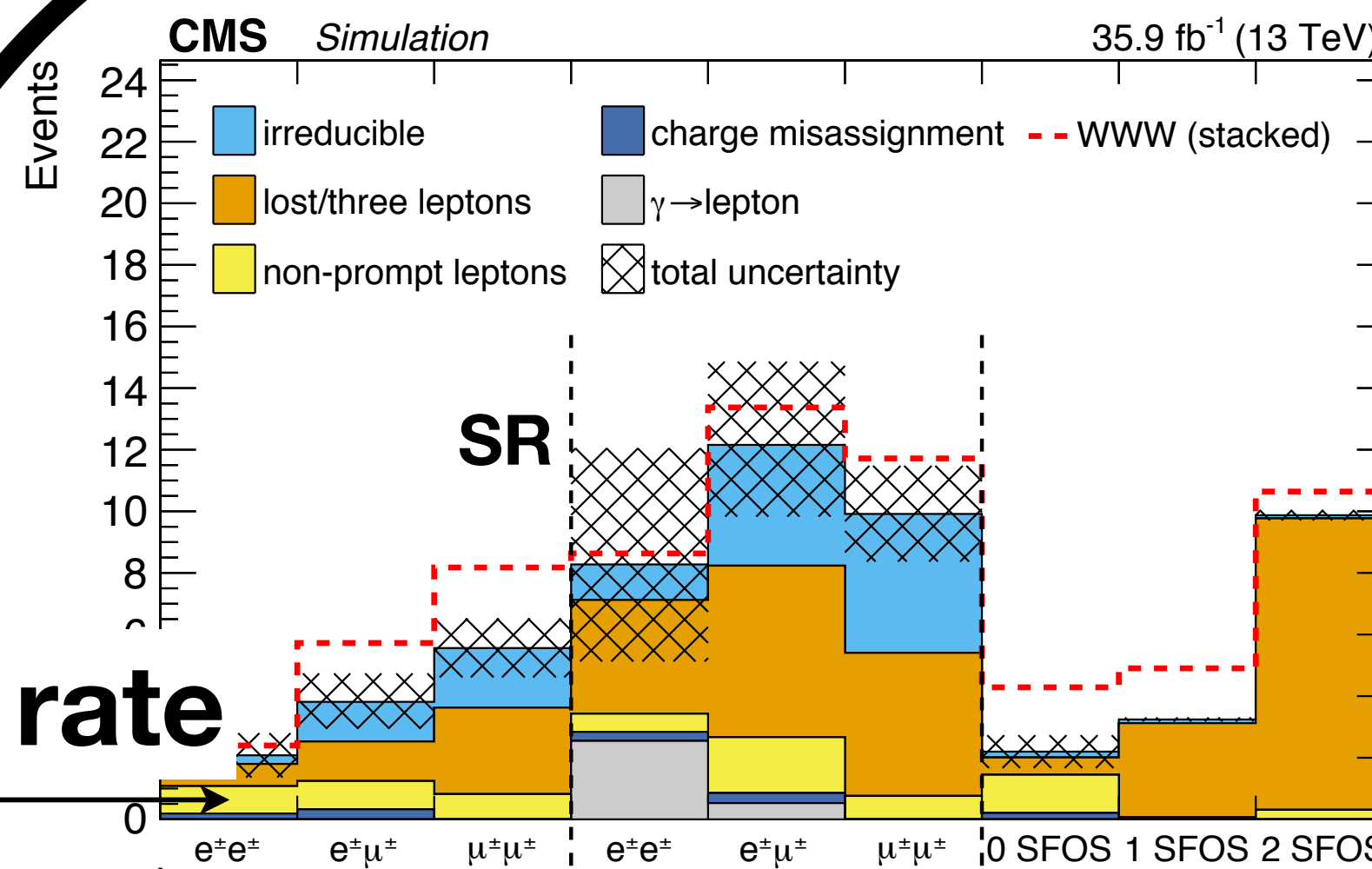
• Large systematic uncertainties associated (50%-100%)

• MC closure. Fake rate measurement statistics...

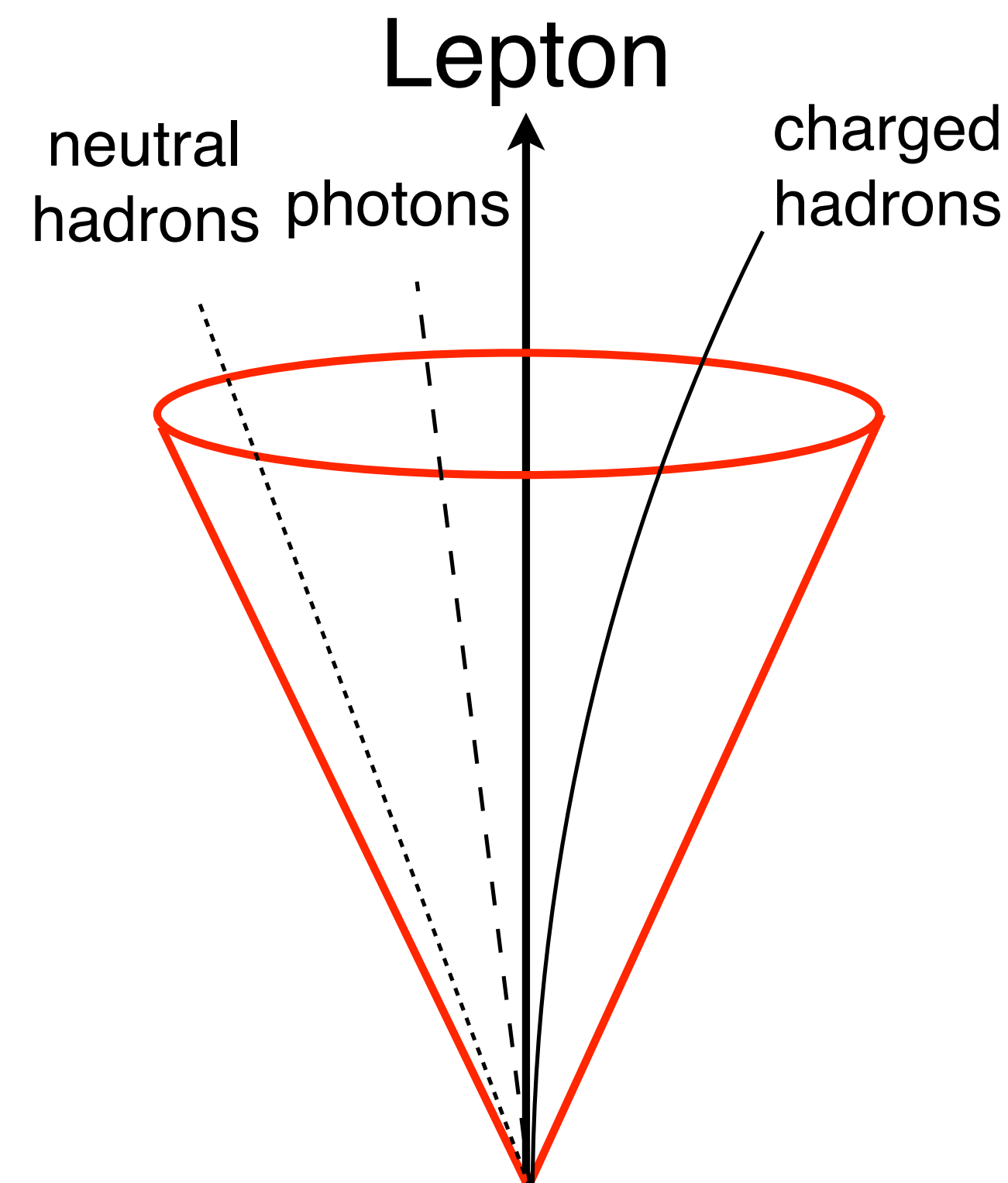
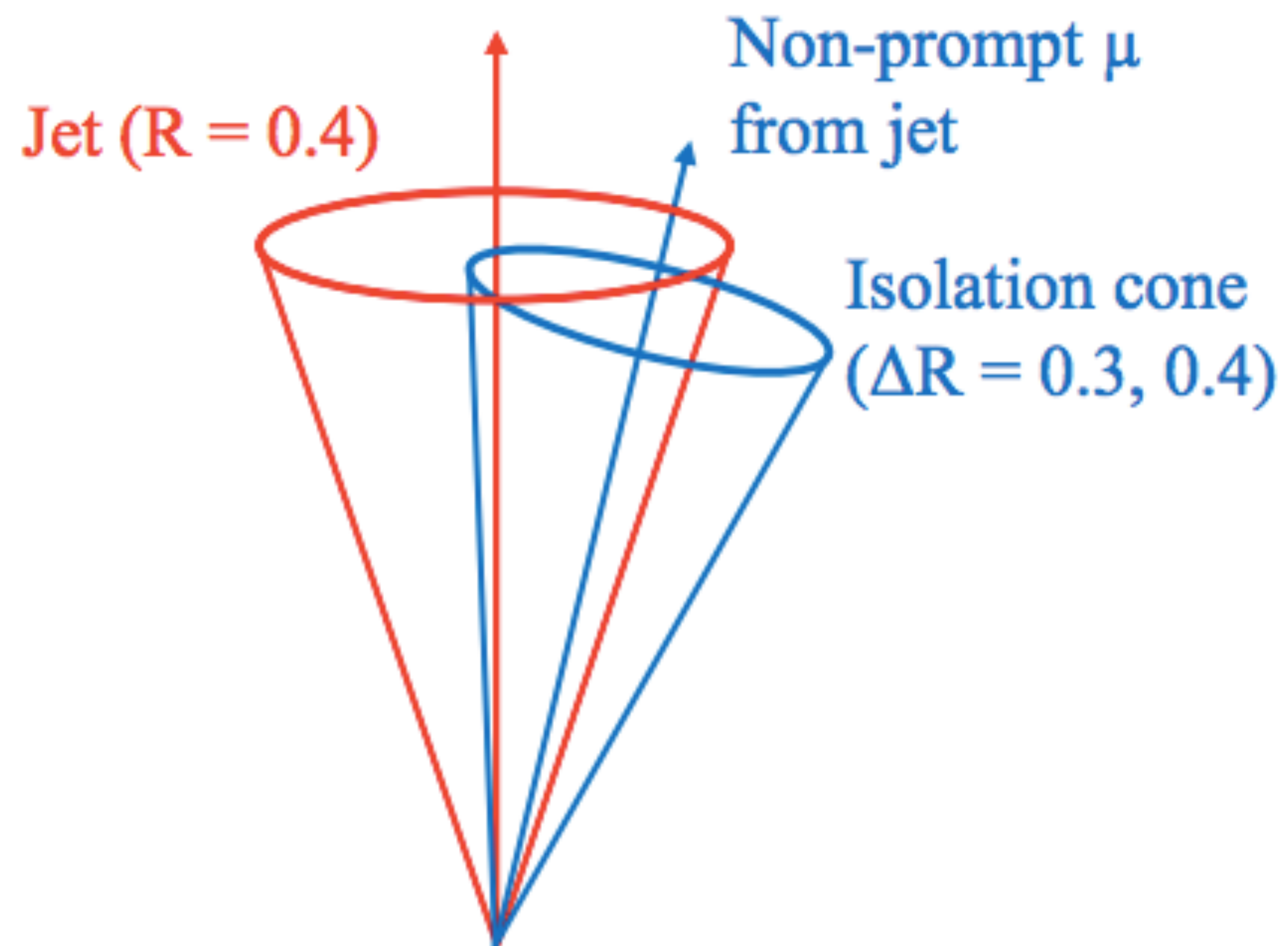
• Need to suppress it as much as possible



Times fake rate



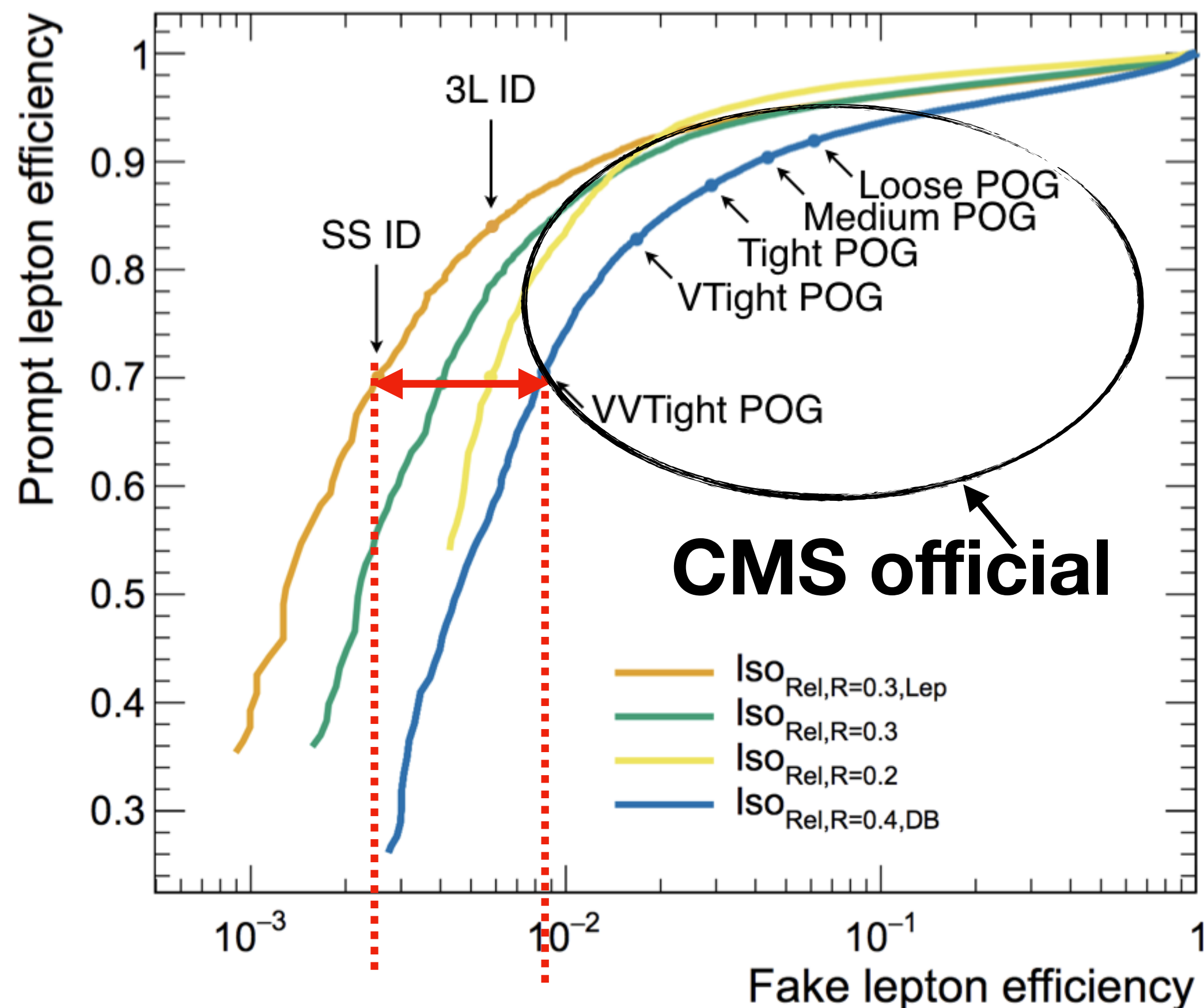
# Reject Non-prompt leptons with isolation



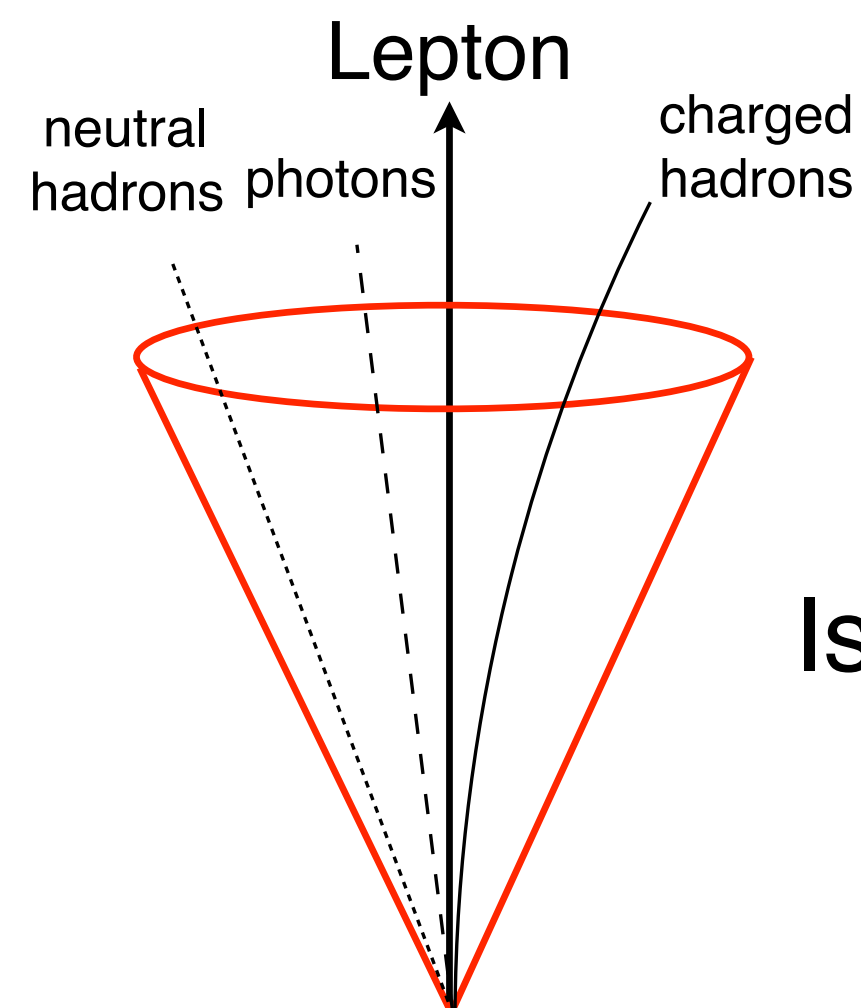
$$ISO_{Rel} = \frac{\Sigma PF \text{ cand's } P_T \text{ in the cone} - PU}{\text{Lepton } P_T}$$



# Improved isolation definition



3.5 X background rejection for muons



$$ISO_{Rel} = \frac{\Sigma PF \text{ cand's } P_T \text{ in the cone} - PU}{\text{Lepton } P_T}$$

- Smaller cone-size: 0.4 → 0.3
- Add lepton candidates to Isolation calculation improves rejection: heavy flavor decay ( $B \rightarrow D \rightarrow 2 \text{ leptons} + X$ ), one of the leptons is selected as our good lepton.

# Results

• 2016 analysis presented at Moriond EWK.

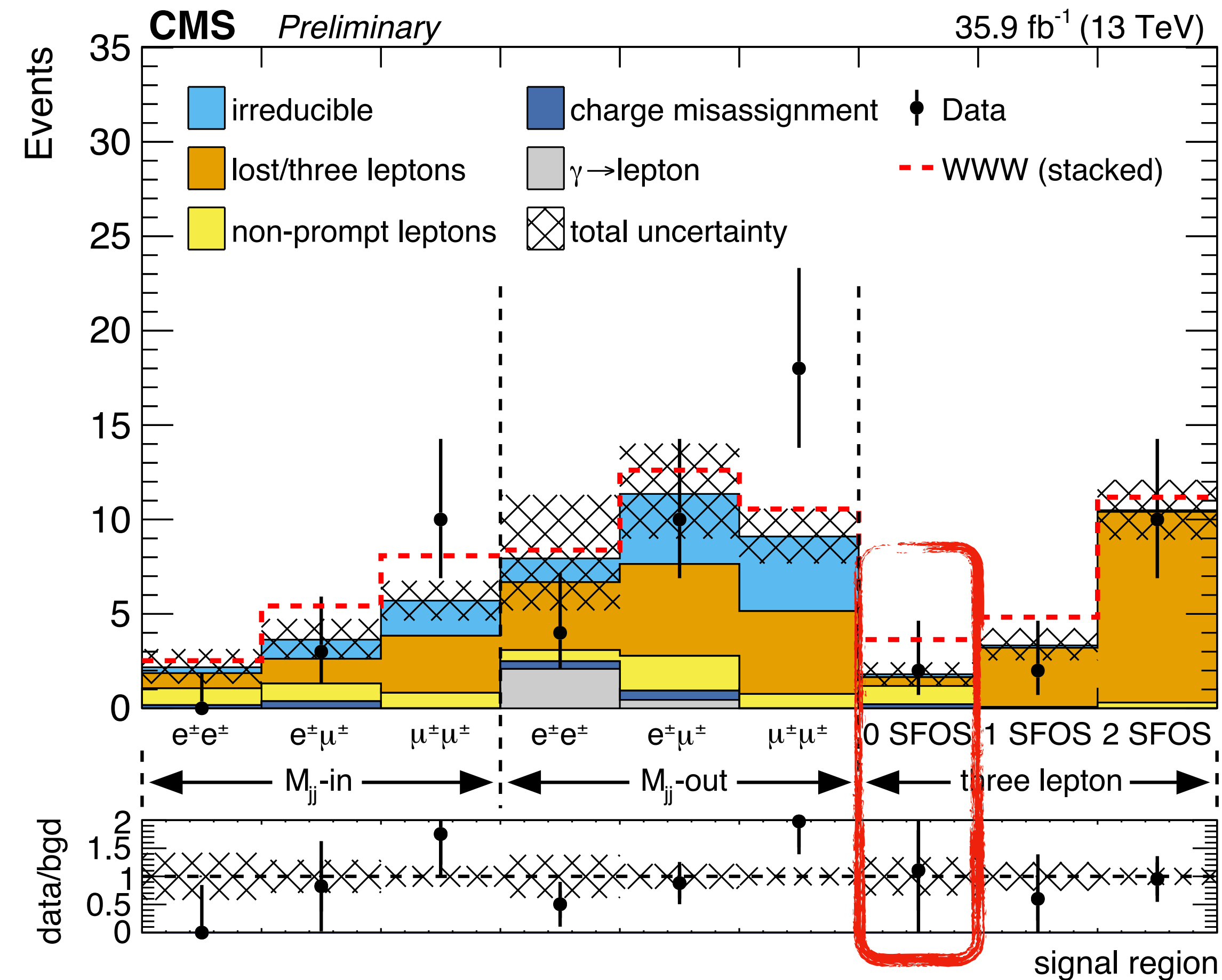
• Luminosity scaling gives  $\sim 3 \sigma$  for 150fb-1 Run-2 data.

• Constraints placed on Axion-like particles and anomalous couplings.

• Actively improving the analyses:

• Adding boosted signature. Neural network based lepton ID/Iso

Expect the first evidence with full Run-2 dataset!

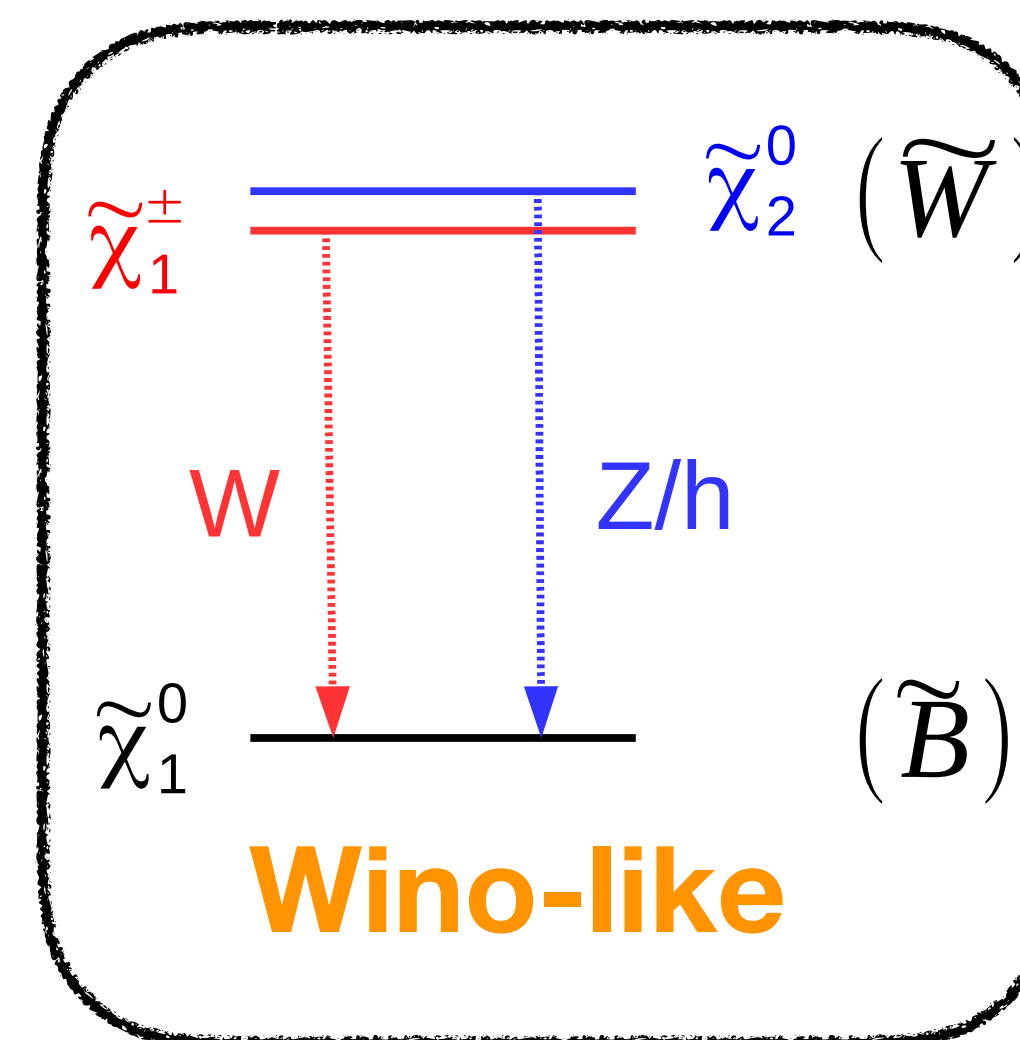


1.78  $\sigma$  (expected)/0.6  $\sigma$  observed with 2016 dataset

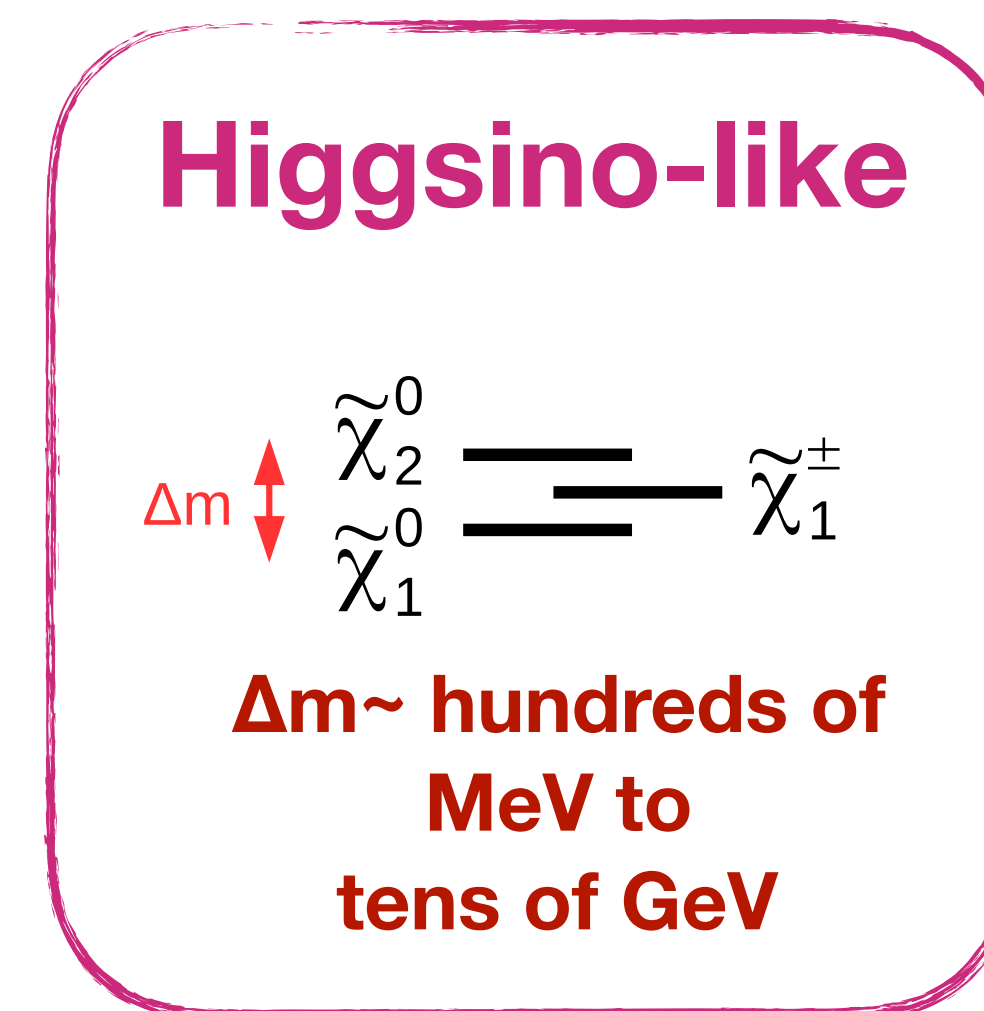


# Some analyses need HL-LHC dataset

- 3000 fb<sup>-1</sup> data expected at the HL-LHC
- e.g. Higgsinos: Low cross section, challenging signatures
  - $\Delta m \sim$  tens of GeV : Soft decay products
  - $\Delta m \sim$  hundreds of MeV : Long-lived signatures



45 fb\*



11 fb\*

How do we enable physics at the HL-LHC?

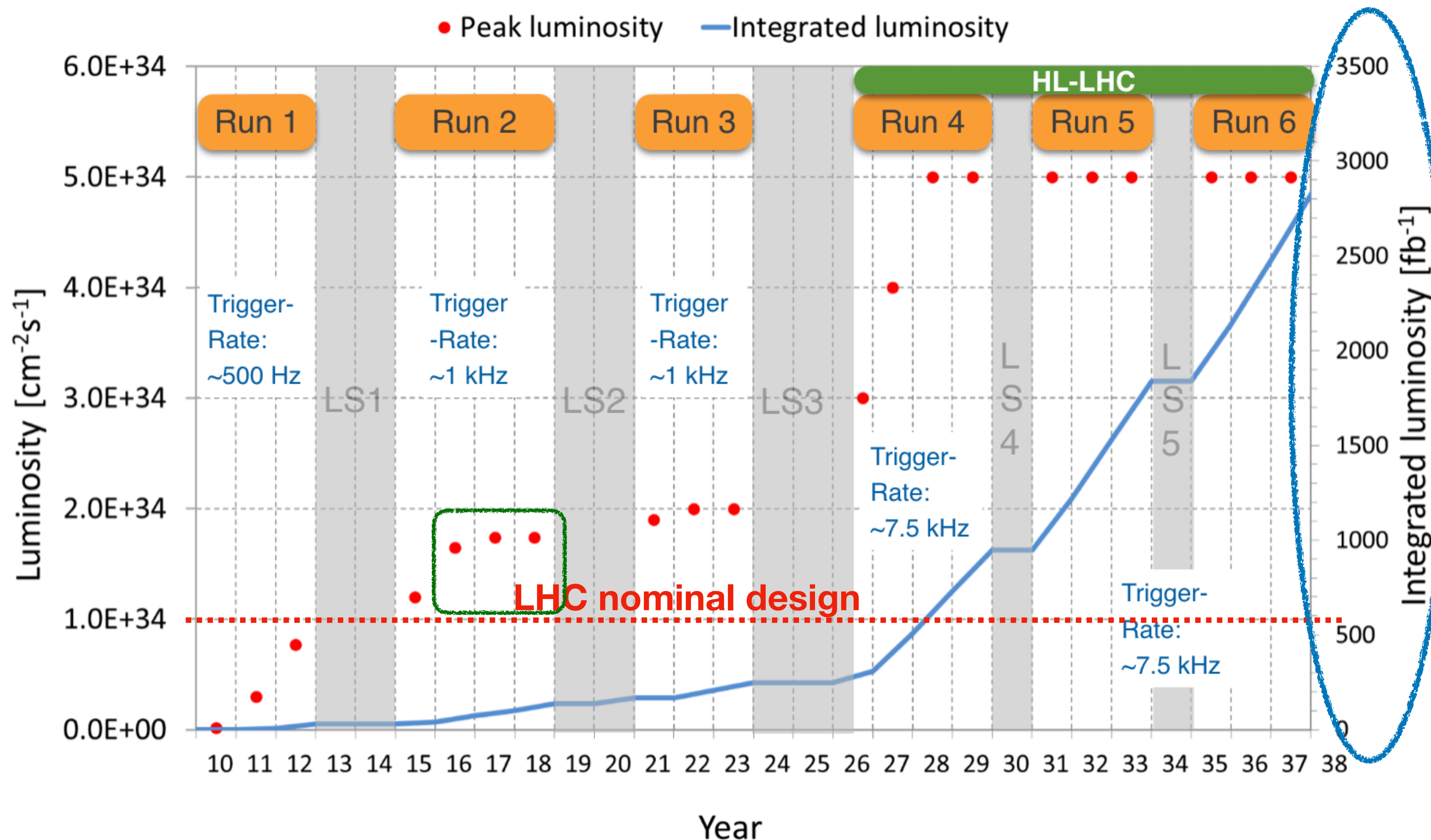
Make measurable what is not so: Instrumentation

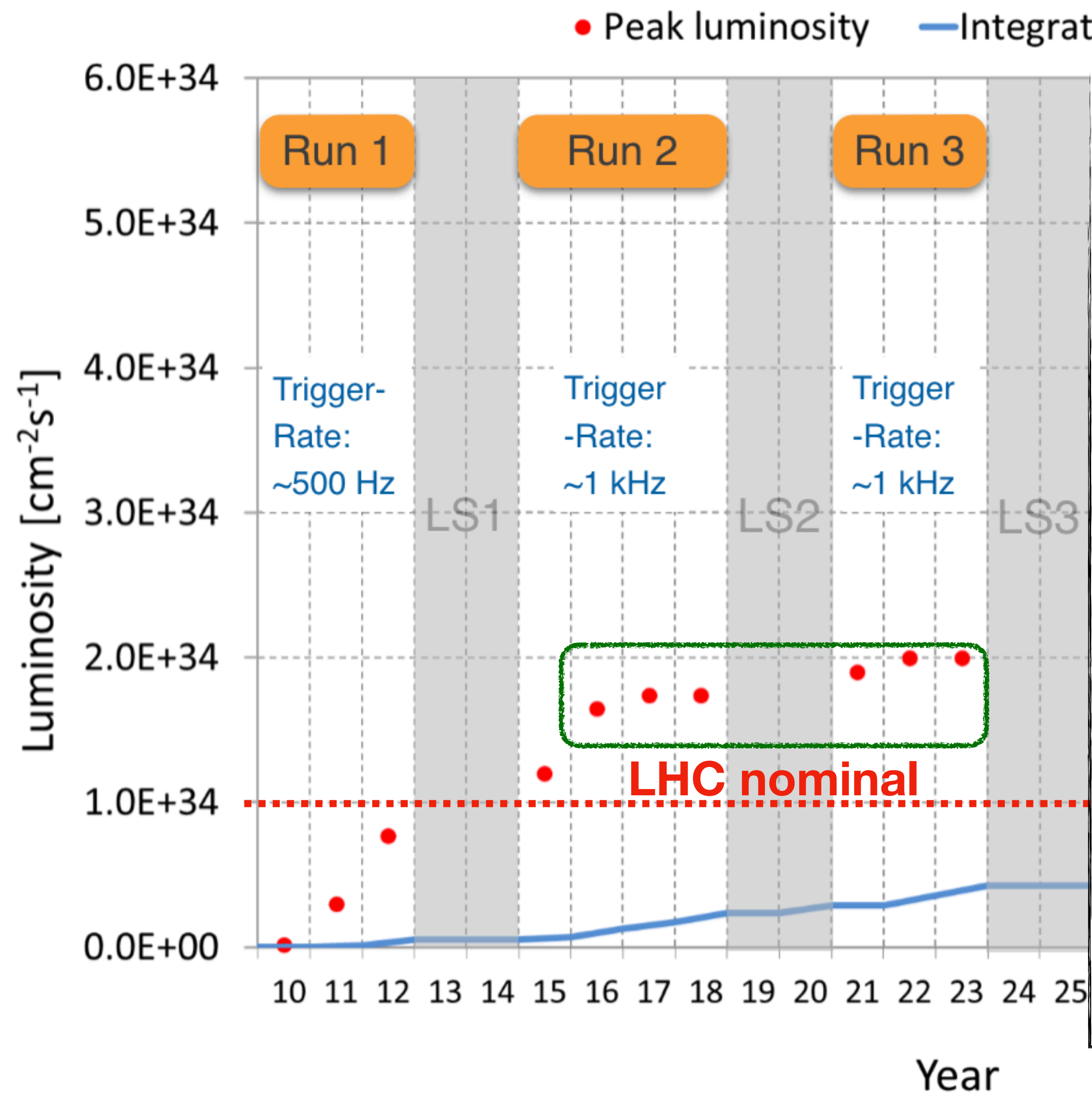
To set the scene, let's review the LHC/HL-LHC operation plans



# GROWING DATASET AT THE LHC

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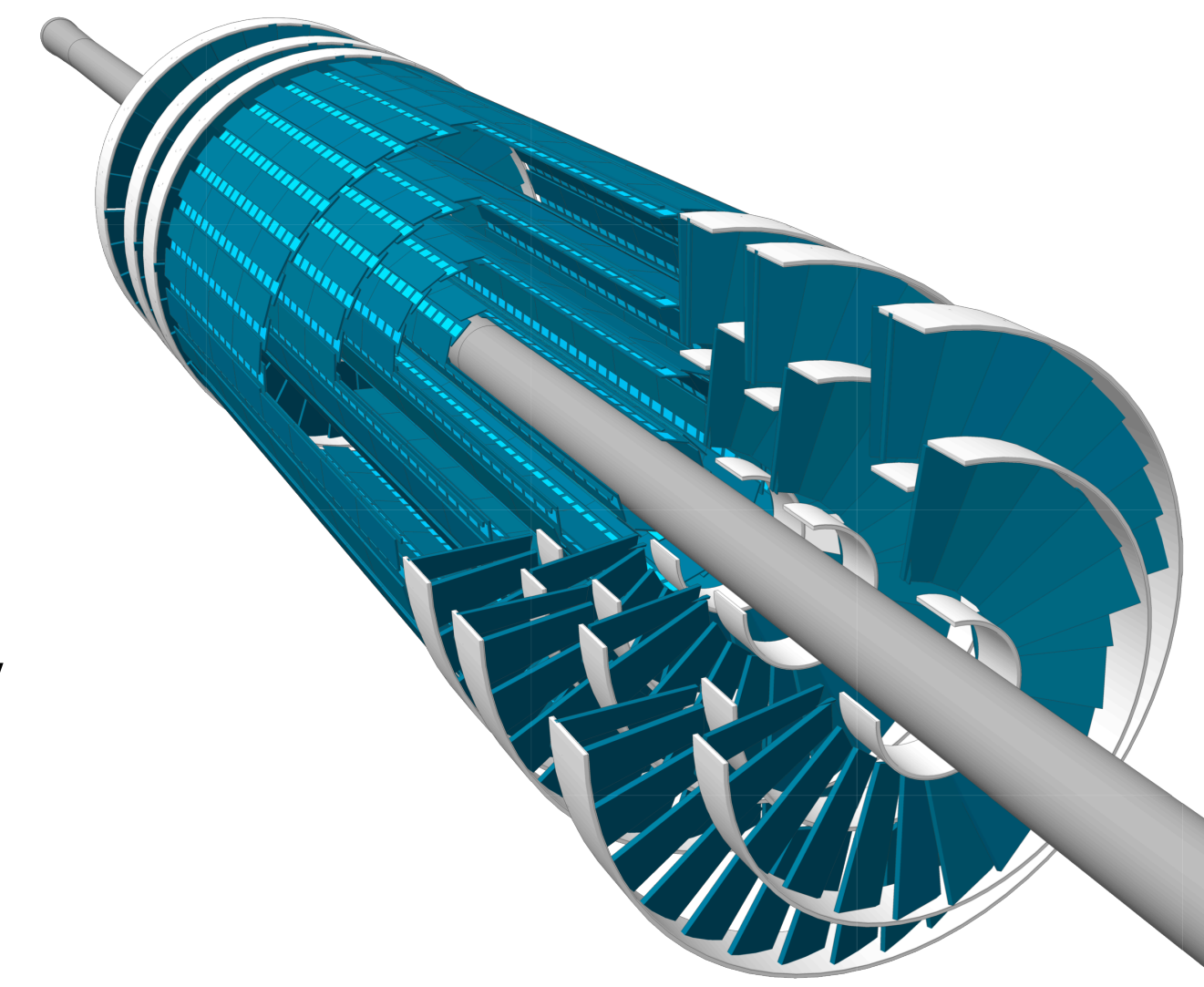


- **CMS Phase 0 detector designed for LHC nominal luminosity**  
5 % hit efficiency loss for Barrel Layer 1 @ 1.5\*LHC nominal  
Tracking efficiency drops to 80% at PU=40
- **Phase 1 pixel detector with improved design installed in May 2017, will be taking data until the end of Run-3**

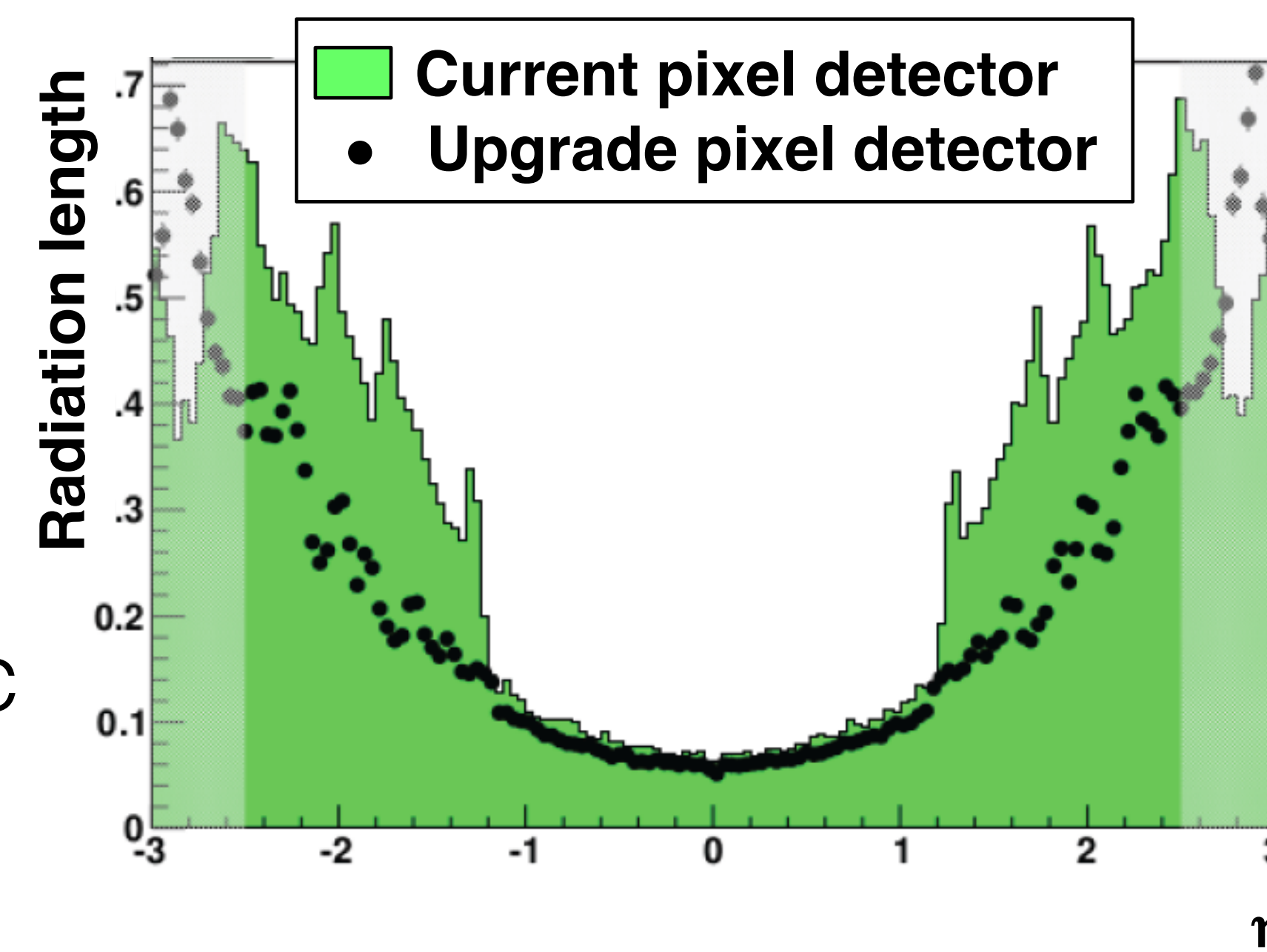


# Phase 1 pixel detector design

- **Need to cope with more challenging LHC environment in Run 2 & Run 3 ( $300 \text{ fb}^{-1}$ ) until HL-LHC upgrade (2023).**
- **Module designed to reduce dynamic inefficiency**
  - Digital readout chip (ROC). Faster readout.
- **Geometry design: ensure tracking and vertex quality**
  - Added layers, channels doubled
- **Services: reduce material budget**
  - CO2 cooling, DCDC powering, Service electronic out of tracker volume.



Material budget

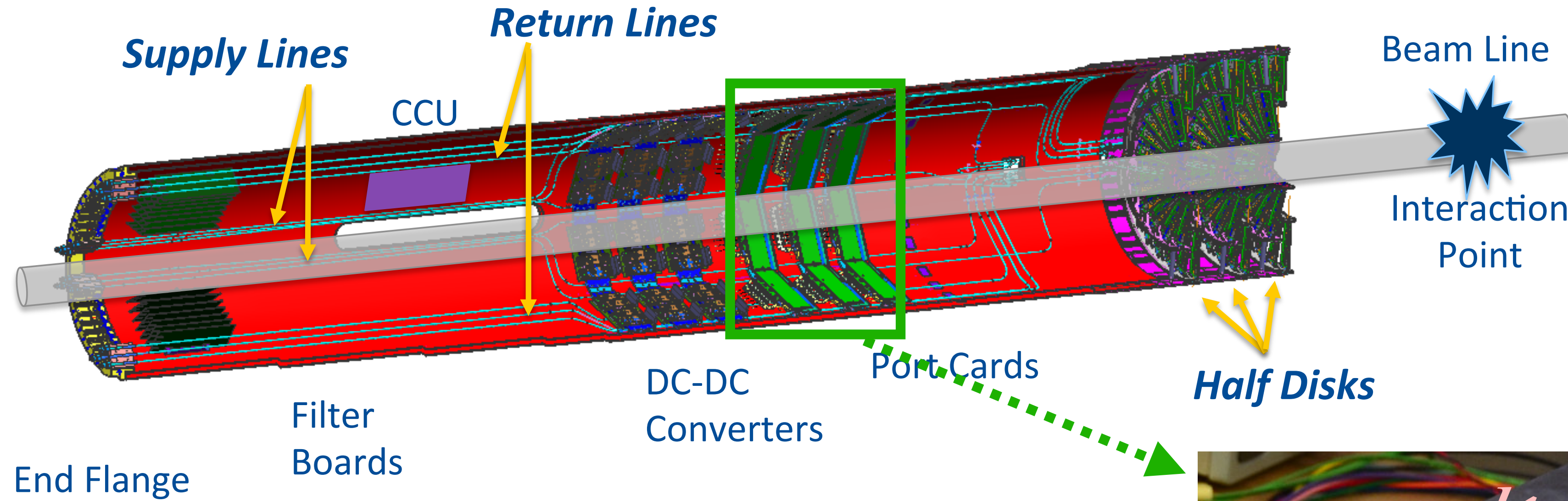






- **US CMS institutions were responsible for constructing the forward part of the pixel detector.**
  - Module production done at Purdue, Nebraska. Testing done at UIC, Kansas and Fermilab.
  - Assembly and system testing done at Fermilab (SiDet) and at CERN (main site and P5) post-transportation.



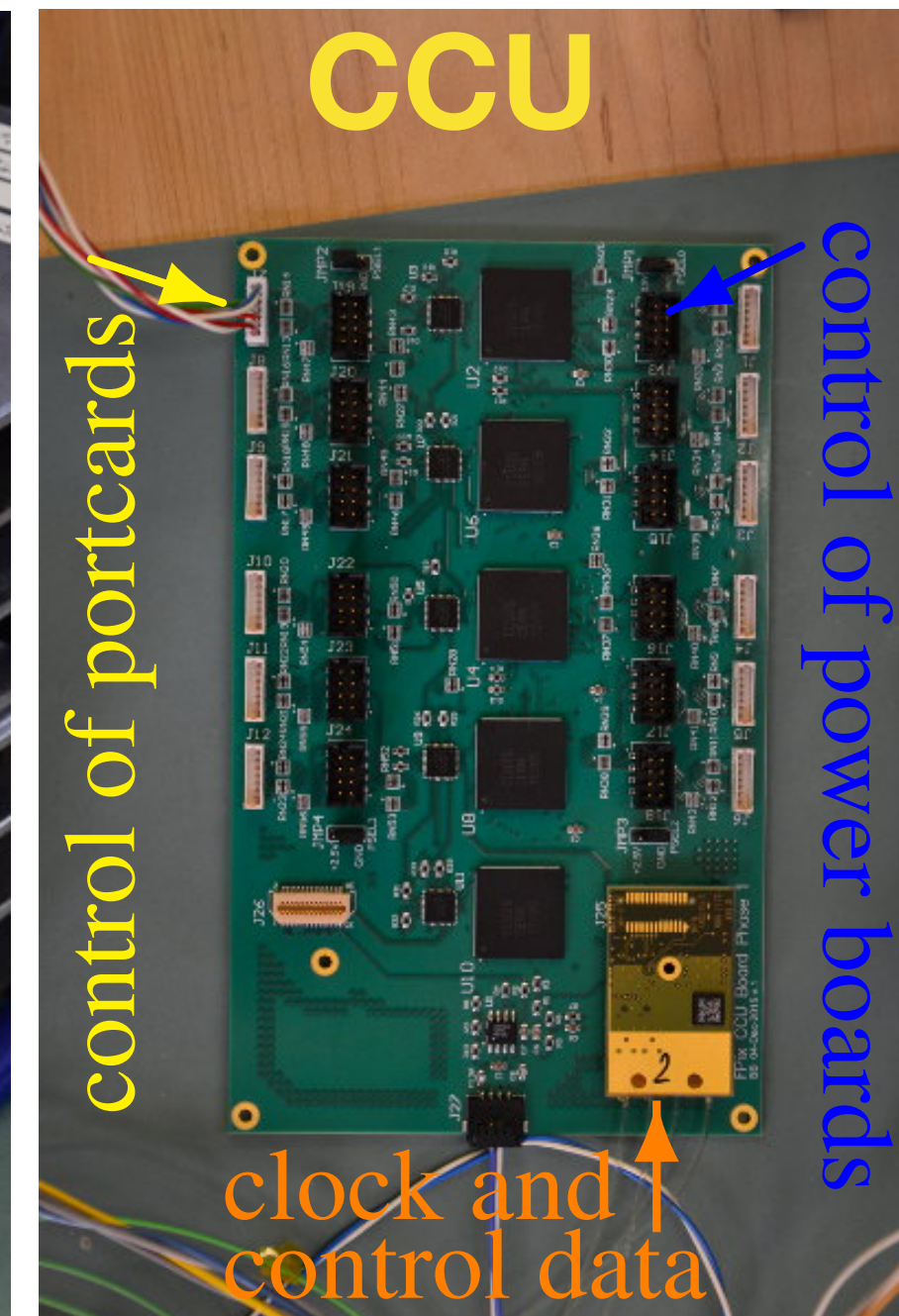
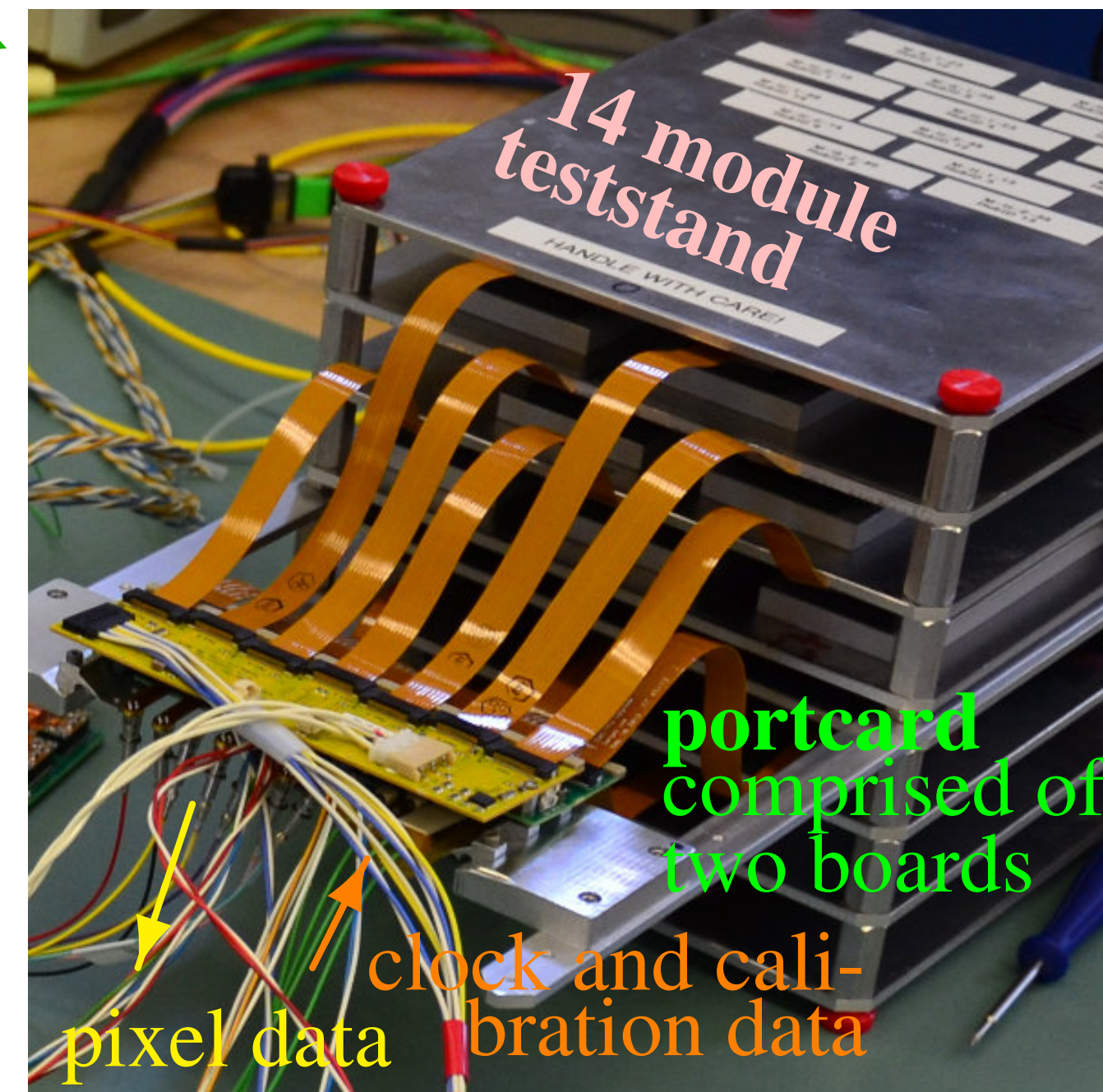


- **Portcard:**

- Distributes power and bias voltages, clock, trigger and calibration signals to modules. Programs Modules (TBM and ROCs)
- **Electric/optical Converters mounted**
  - Digital opto-hybrid (DOH): Optical—>Electrical
  - Pixel opto-hybrid (POH): Electrical—>Optical

- **CCU:** Communication & Control Unit

- **uTCA crate hosting front-end controller/drivers.**



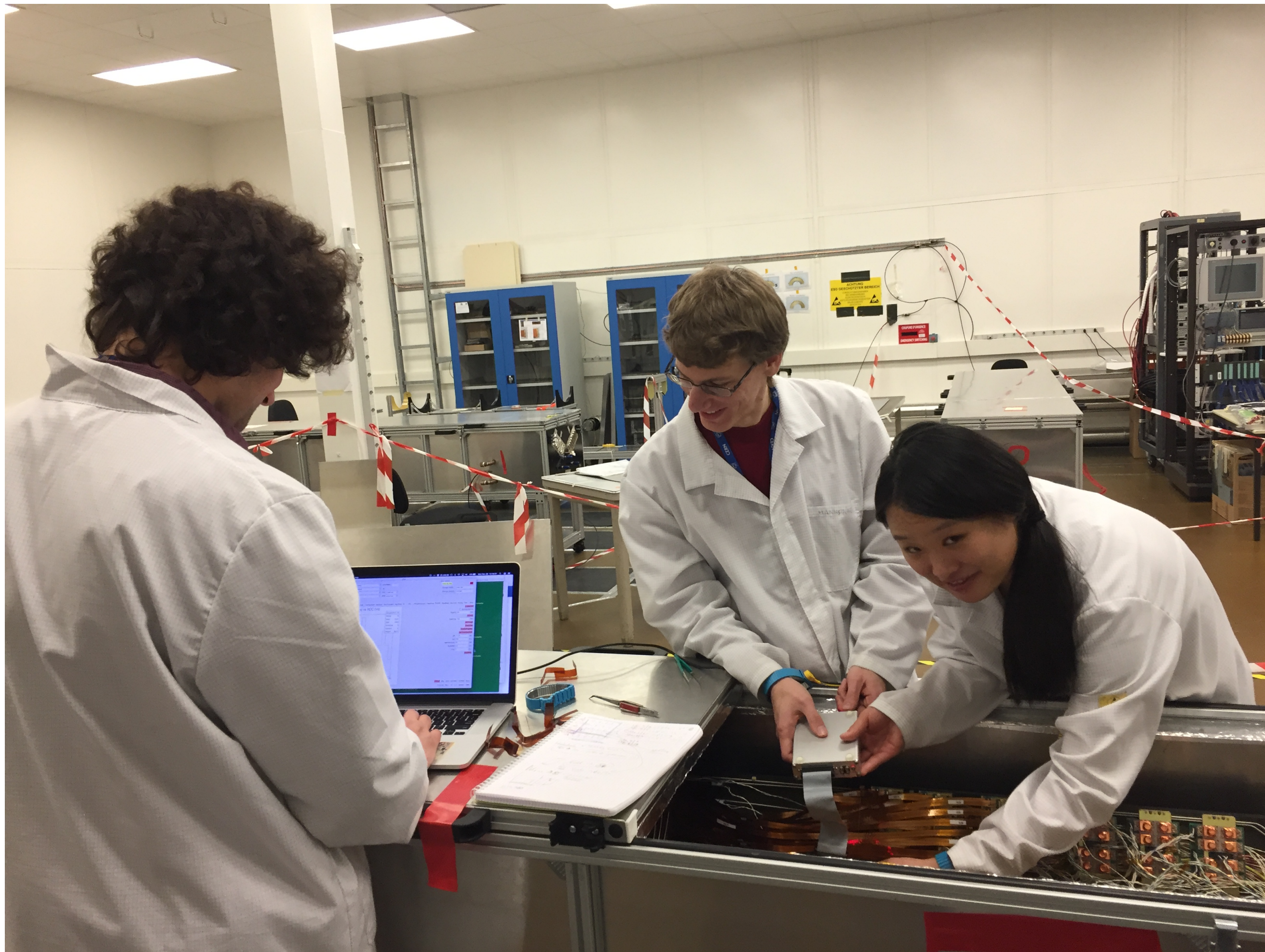




**All four half-cylinders tested with full DAQ readout chain at Fermilab**

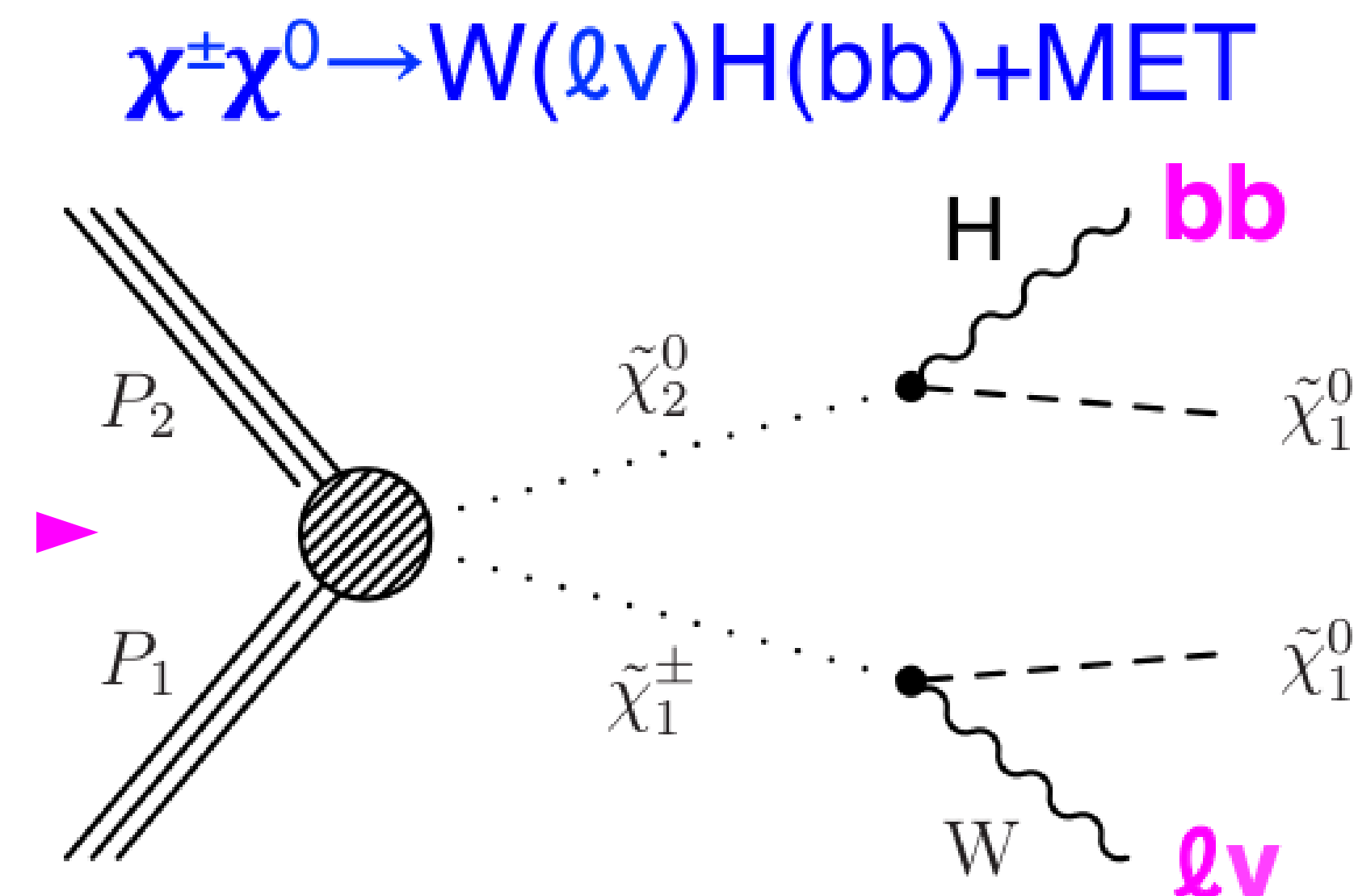
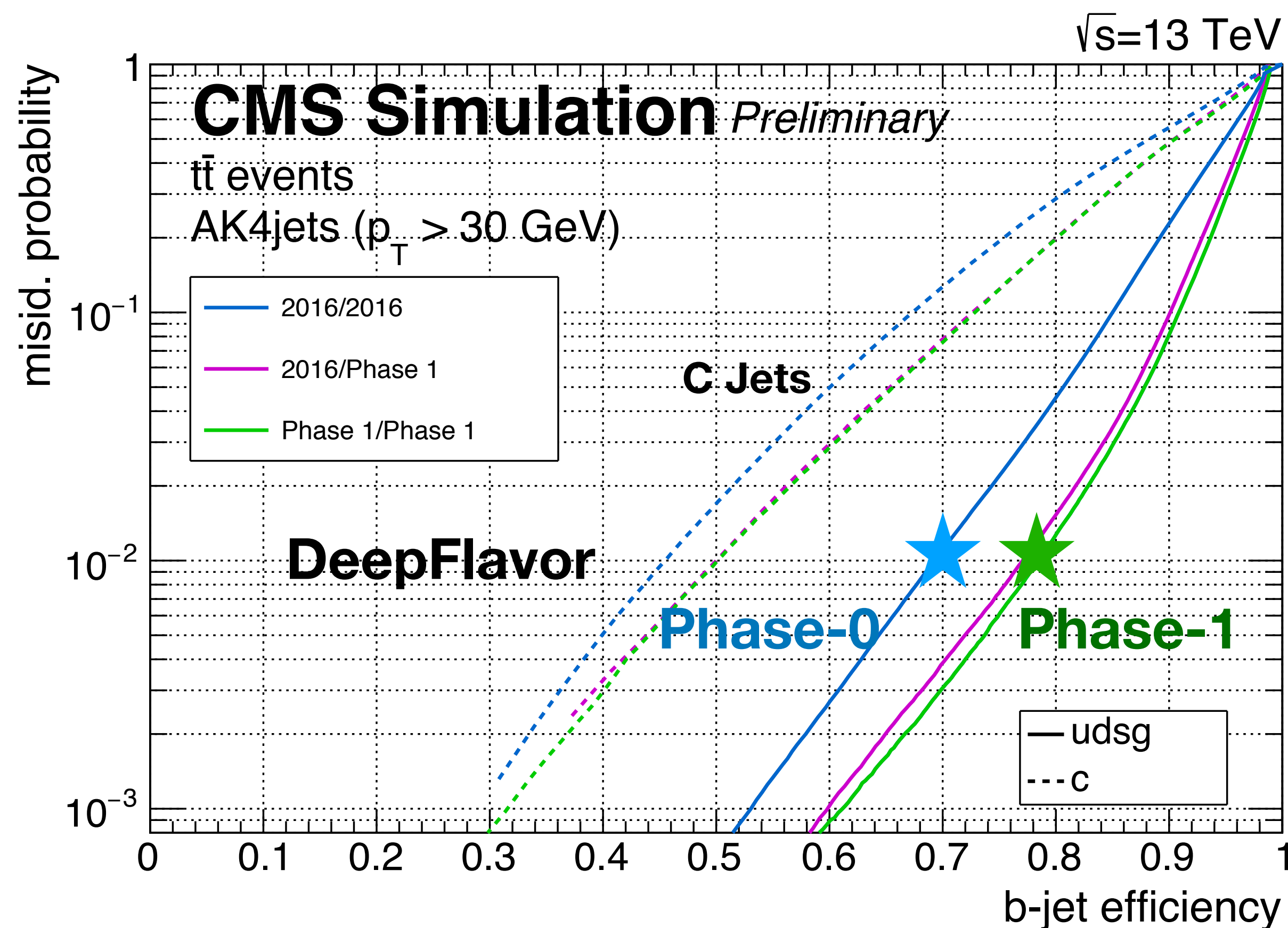


## Tracker integration facility (TIF)@CERN



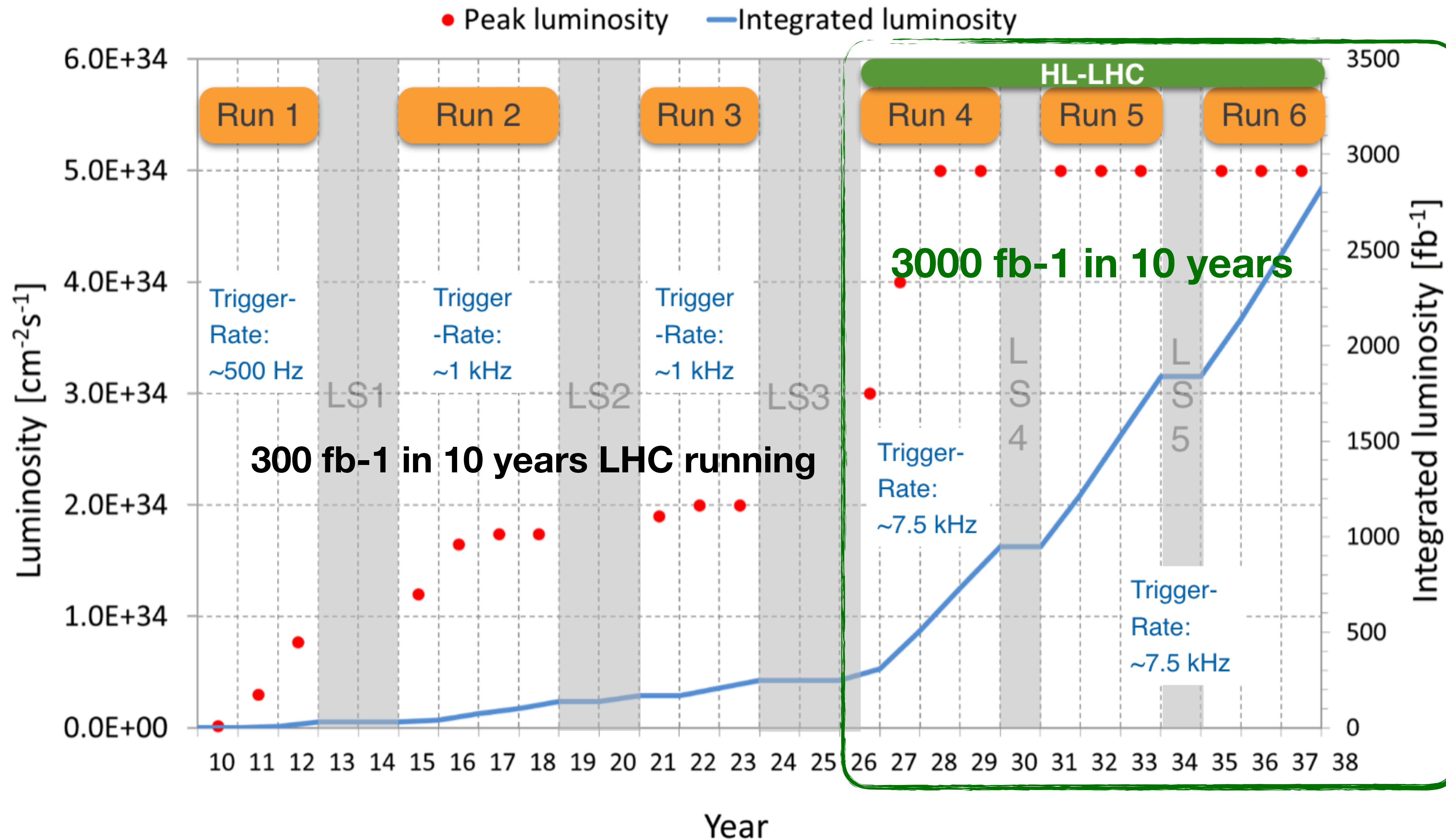
- Half-disks taken off service half-cylinder in order to be transported to CERN
  - Half-disks are hand carried
- Disks are mounted on half-cylinder at Tracker Integration Facility (TIF) at Meyrin site.
  - Detector checkout, identify and perform necessary repairs.
  - Develop calibration procedure and DAQ software/firmware development.
- Transported after to cleanroom at P5 for final checkout pre-installation.
- More details in my phase 1 pixel seminar.





- Improved vertex resolution/tracking efficiency
- $\star \rightarrow \star$ : ~15% improvement in b-jet efficiency @ 1% mistag rate working point.
- WH+MET analysis: ~25 to 30 % improvement for H->bb efficiency







**Multiple pp collisions in the same beam crossing**  
**To increase data rate, squeeze beams as much as possible**



**Run 2:  $\langle \text{PU} \rangle \sim 20\text{-}50$**   
**Run 3:  $\langle \text{PU} \rangle \sim 50\text{-}80$**   
**HL-LHC: 140-200**



# AS A RESULT : DETECTORS GETTING MORE COMPLEX

54

CMS pixel	#channels
Phase-0	66 M
Phase-1	123 M
Phase-2	2B



- *Detector becoming more complex.*

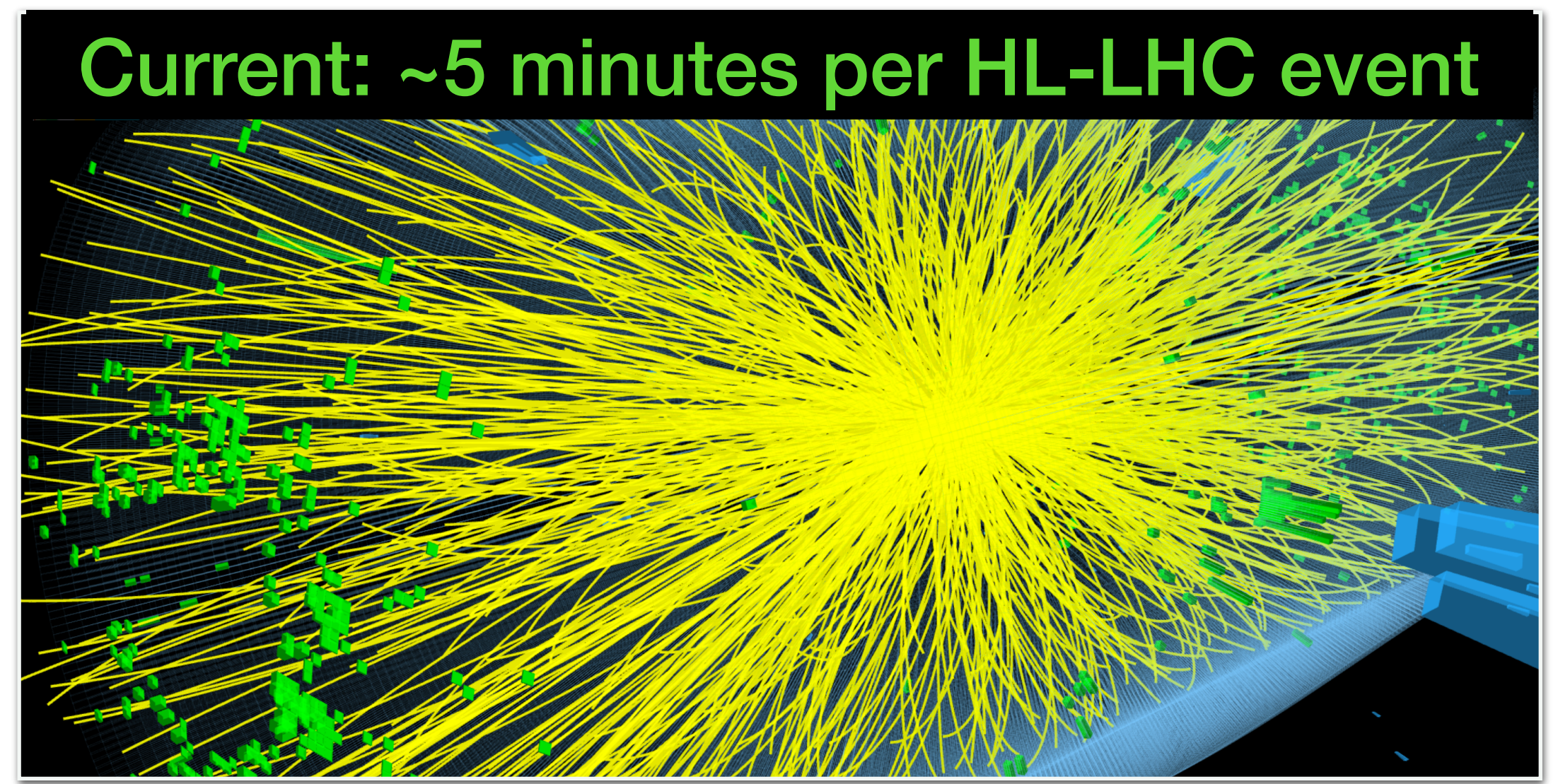


# TRIGGER AND COMPUTING CHALLENGES @HL-LHC

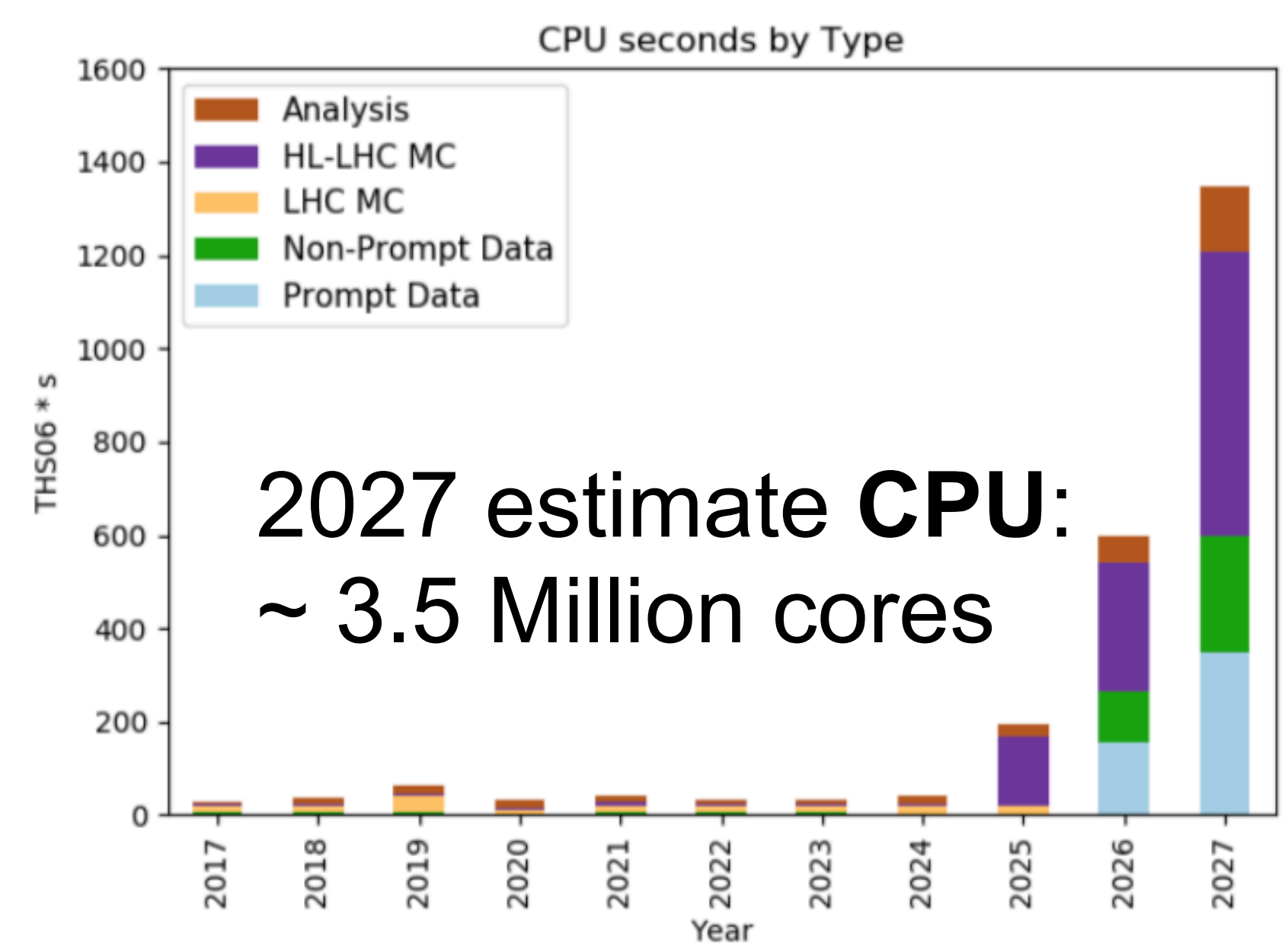
55

CMS pixel	#channels
Phase-0	66 M
Phase-1	123 M
Phase-2	2B

↑  
Event complexity



- *Detector becoming more complex: Increased data complexity with larger dataset*
- *Trigger and Computing challenges@ HL-LHC*



2027 estimate **CPU:**  
~ 3.5 Million cores

Today



## **Actively pursuing trigger upgrades and Computing solutions:**

- Trigger: tracking and particle flow objects at CMS Level-1
- Offline: Parallelized and Vectorized Tracking Using Kalman Filters

## **Novel approach: Fast inference of Neural Networks for trigger and computing applications**

- Active exploration of Machine Learning applications in HEP.



# PROOF OF CONCEPT: SONIC

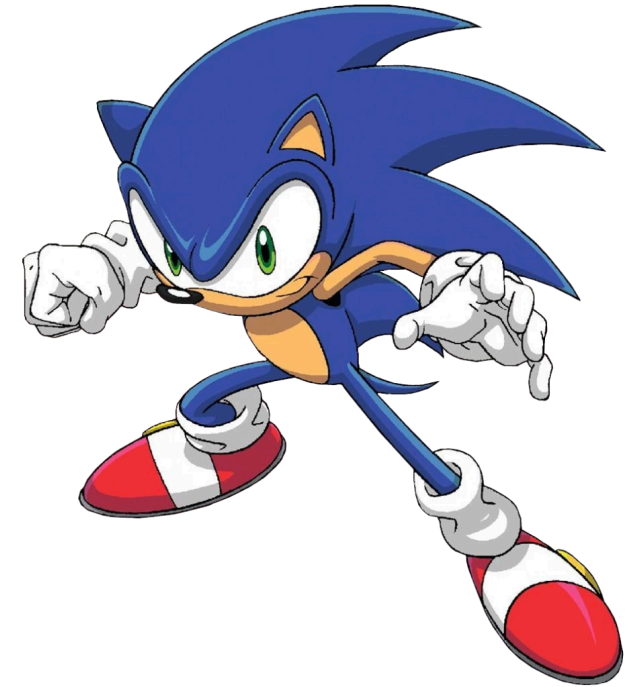
57

Services for Optimized Network Inference on Co-processors

FPGA-accelerated machine learning inference as a service for particle physics computing

<https://arxiv.org/pdf/1904.08986.pdf>

Javier Duarte · Philip Harris · Scott Hauck · Burt Holzman ·  
Shih-Chieh Hsu · Sergo Jindariani · Suffian Khan · Benjamin Kreis ·  
Brian Lee · Mia Liu · Vladimir Lončar · Jennifer Ngadiuba · Kevin  
Pedro · Brandon Perez · Maurizio Pierini · Dylan Rankin · Nhan  
Tran · Matthew Trahms · Aristeidis Tsaris · Colin Versteeg · Ted W.  
Way · Dustin Werran · Zhenbin Wu



MS Brainwave: FPGA co-processors



**Question:**

How do we help with physics event data processing model with industry developments?

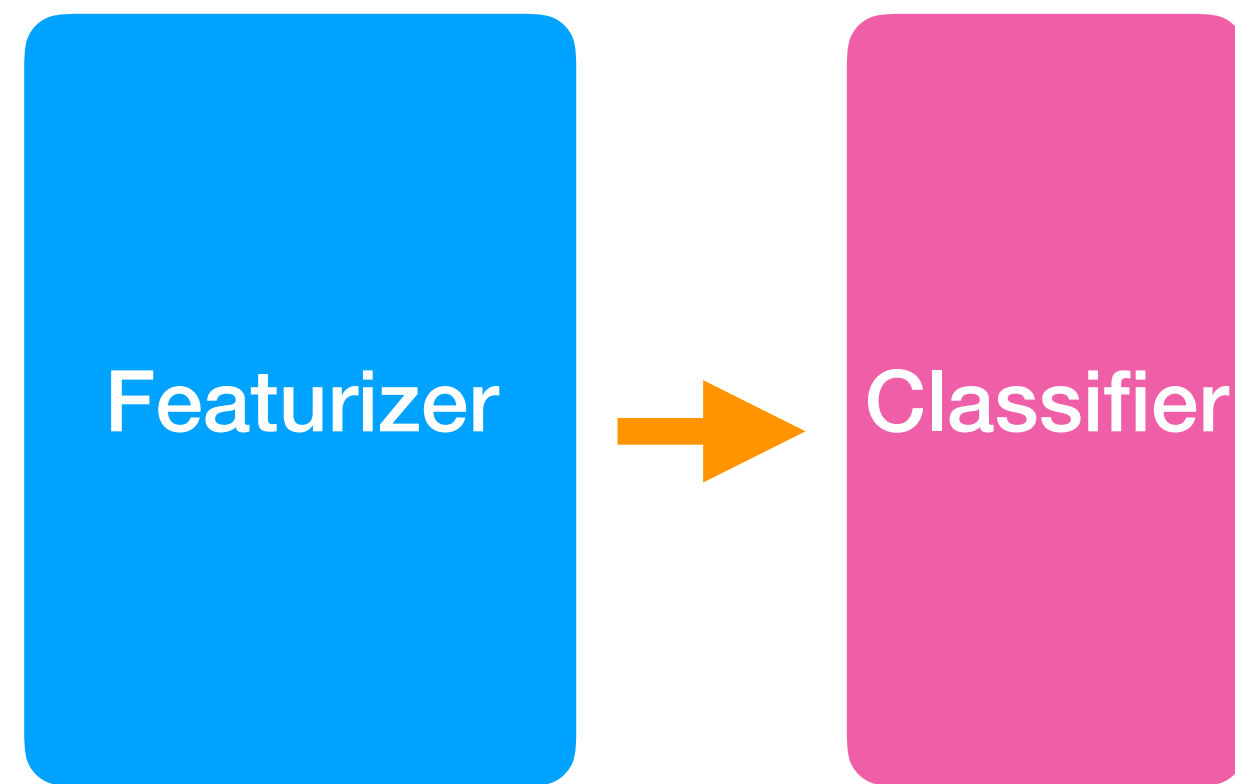


# TOP TAGGING USING BRAINWAVE SERVICE

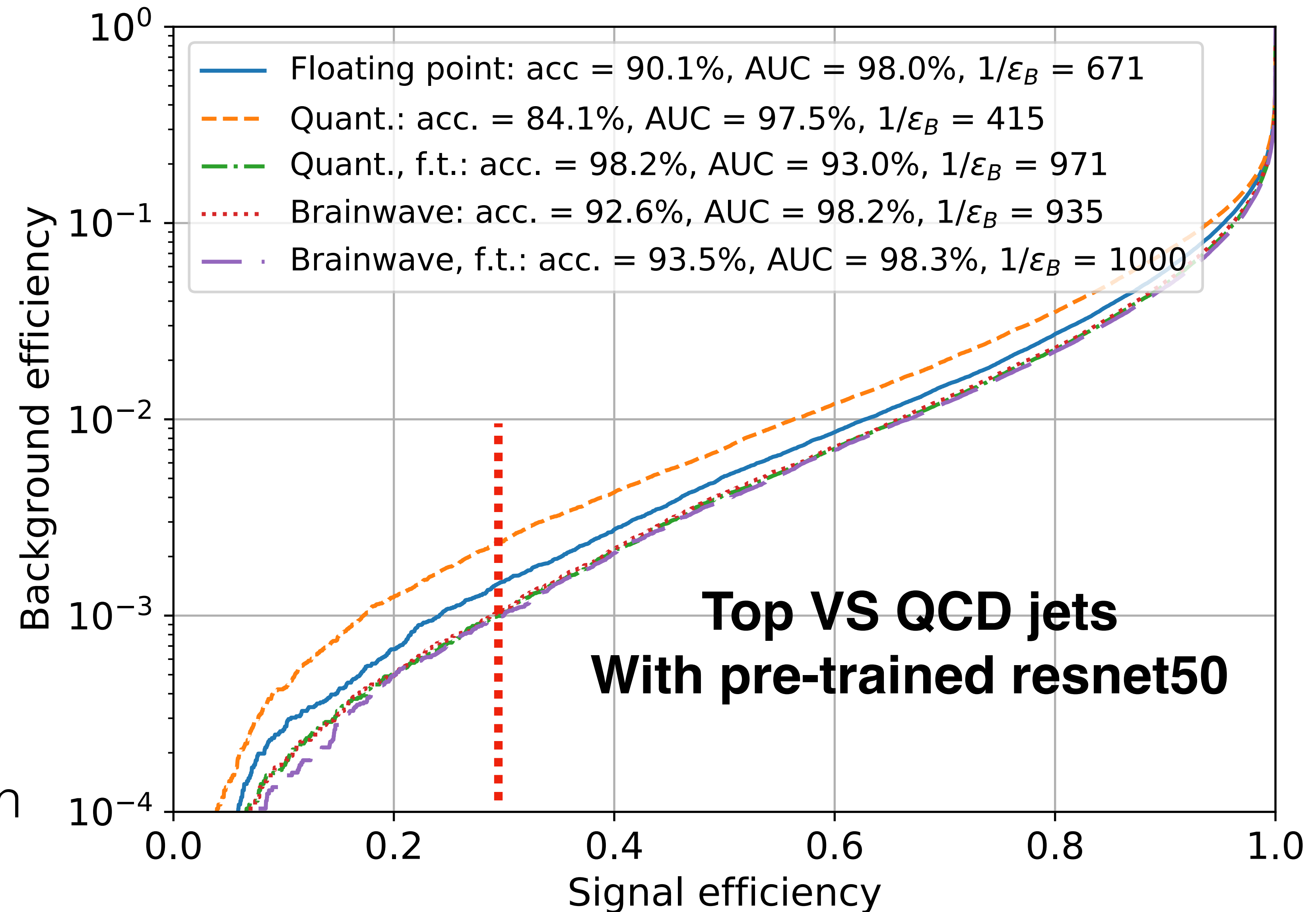
58

**Re-train:  
Fine-tune**

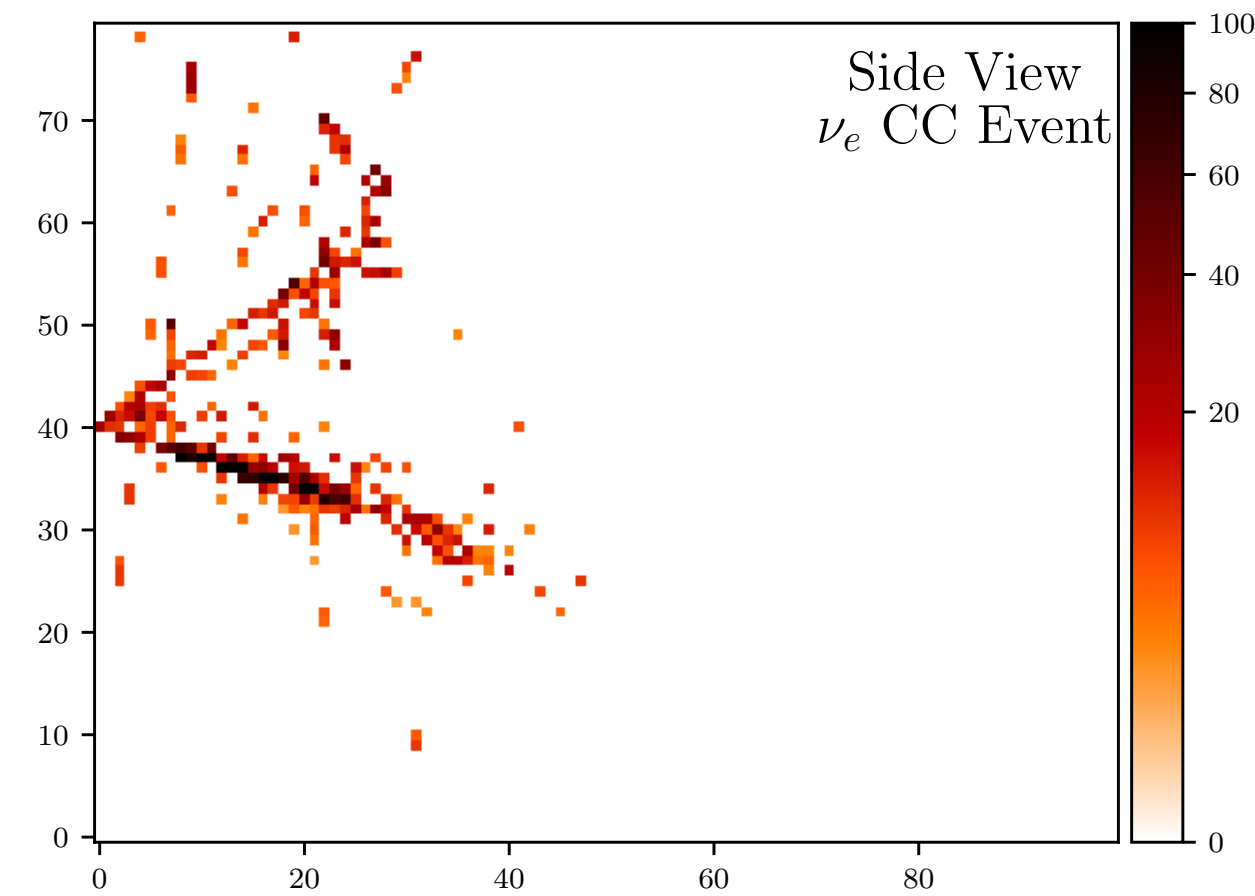
**Re-train  
With 2 labels  
Top vs QCD**



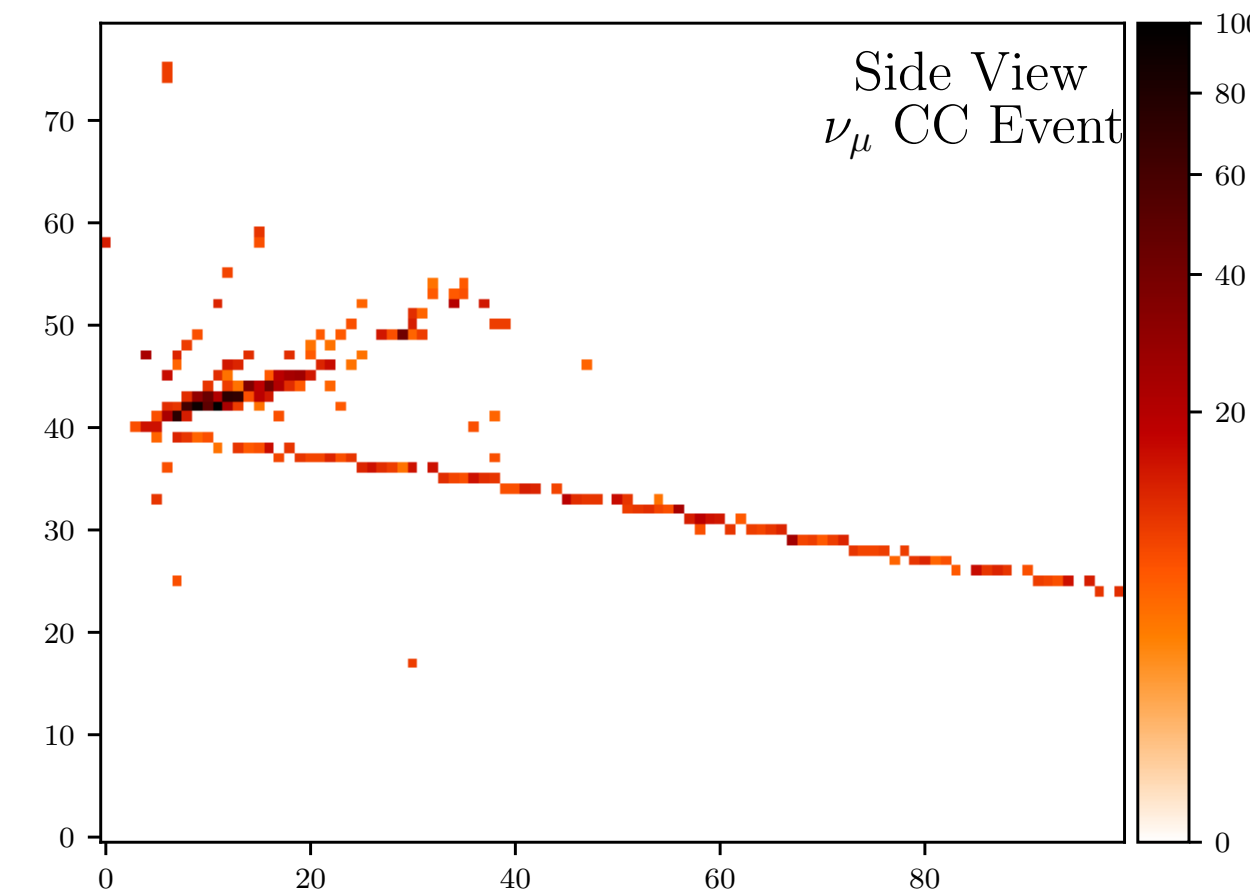
**Quantized model:**  
Brainwave's implementation  
of ResNet50 on FPGA



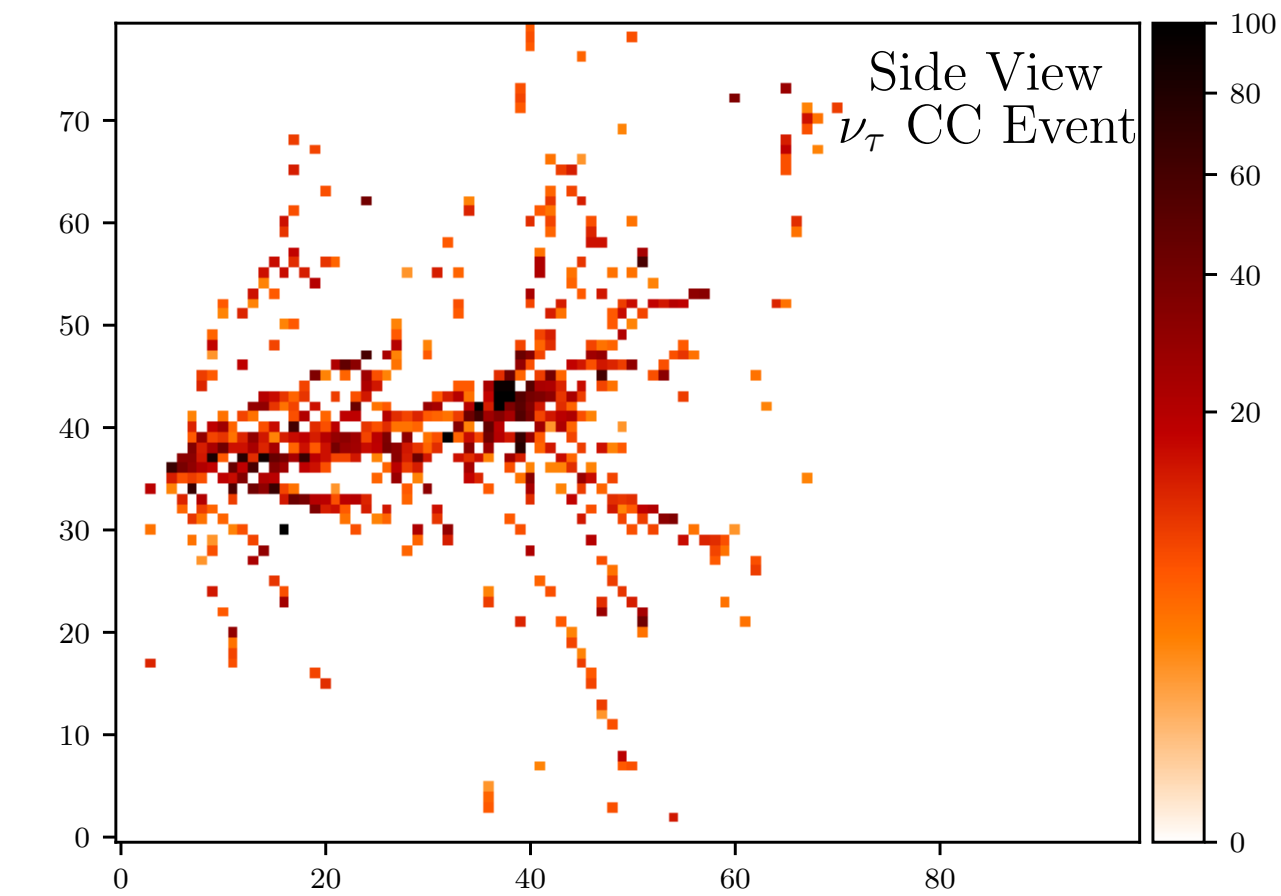




# Electron neutrino



# Muon neutrino



# Tau neutrino

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# Summary and outlooks

- Data enables physics
  - Electroweak SUSY with WH +MET and WWW analyses @ LHC Run2 @ 13 TeV
- Instrumentation enables data
  - Keep up with LHC data rate and volume:
    - CMS Phase 1 pixel upgrade (completed). Fast machine learning inference for trigger/computing challenges.
  - Impacts the HL-LHC physics program: Higgsino searches, Di-Higgs, polarization component of same-sign WW scattering....



We've collected only 5% of the LHC data.  
Let's actively look for possible anomalies in  
LHC /HL-LHC datasets!

Thanks!



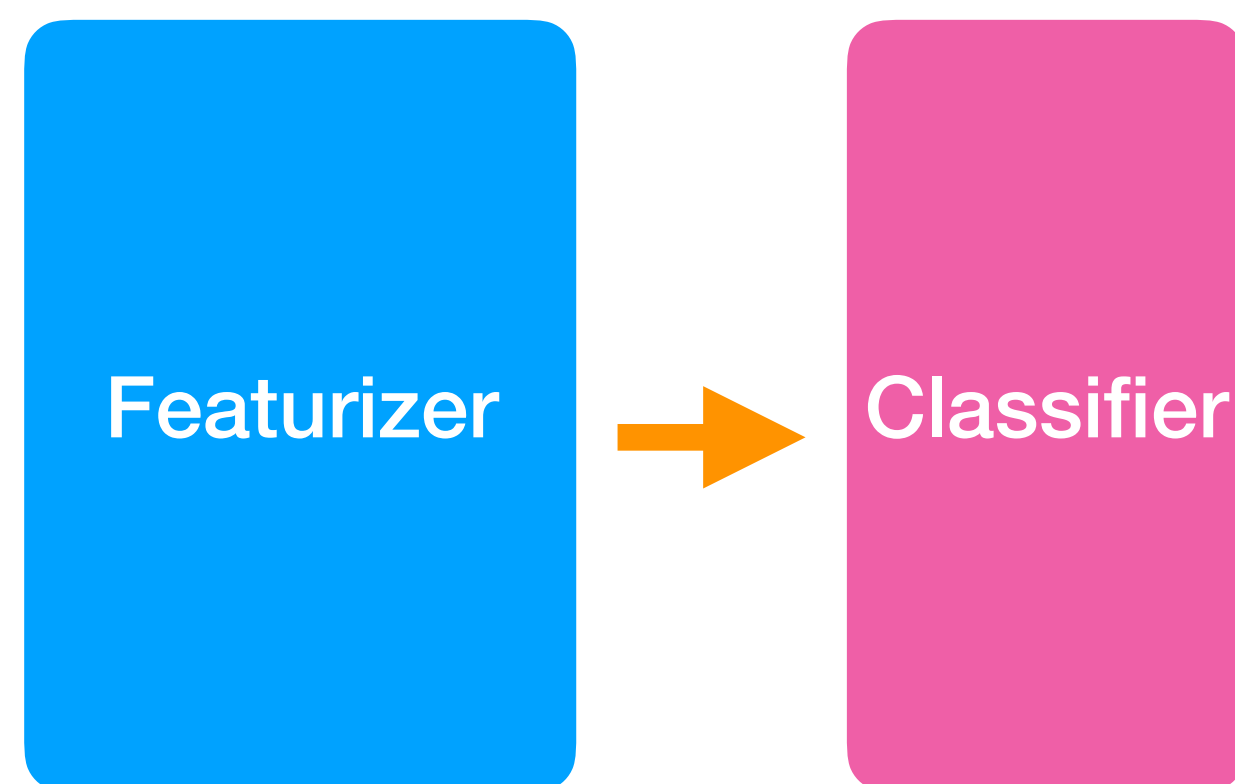
# Applications not limited to HEP



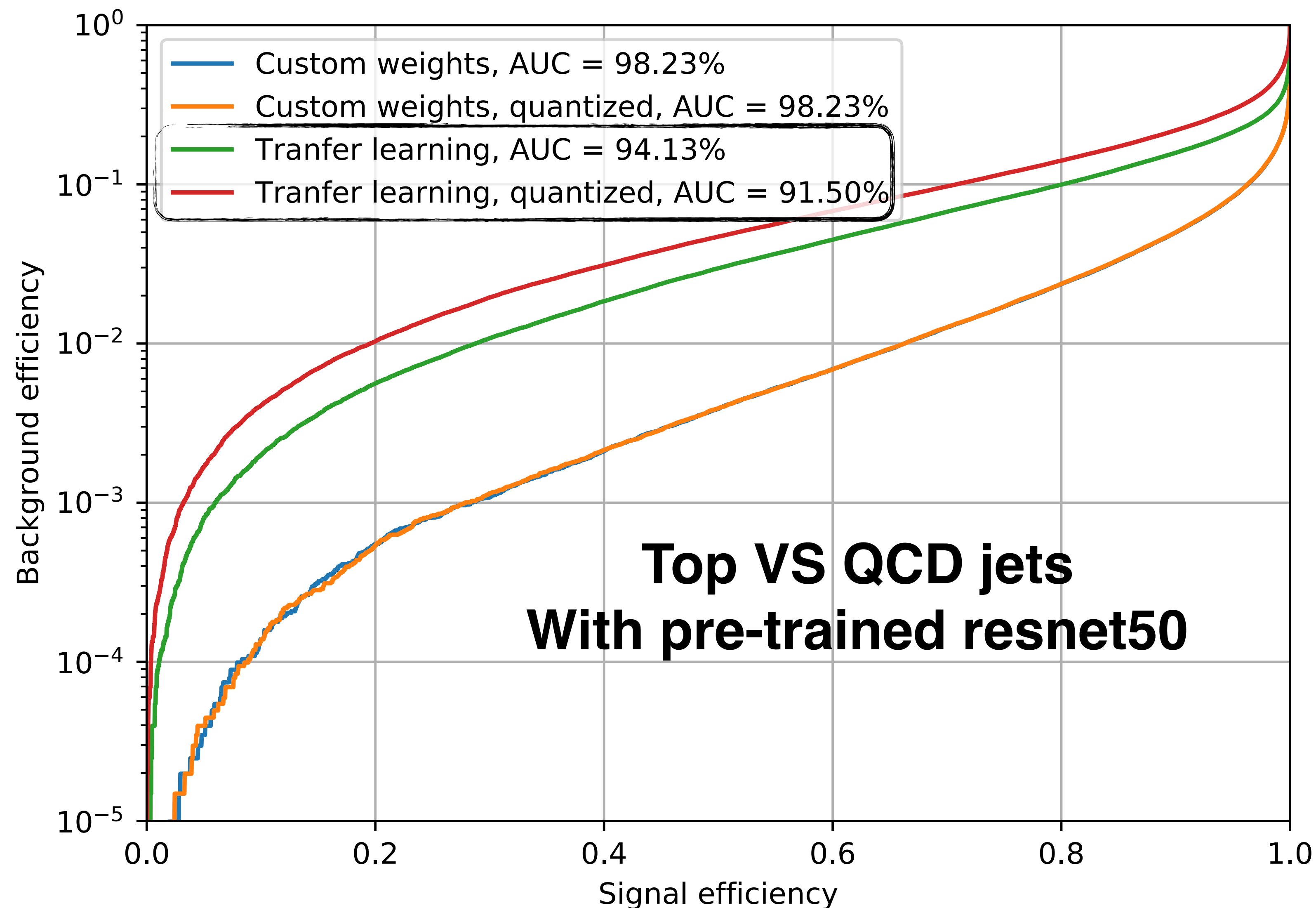
# TRANSFER LEARNING: RESNET-50 FOR TOP TAGGING

63

**Frozen** **Re-train**  
**With 2 labels:**  
**Top vs QCD**

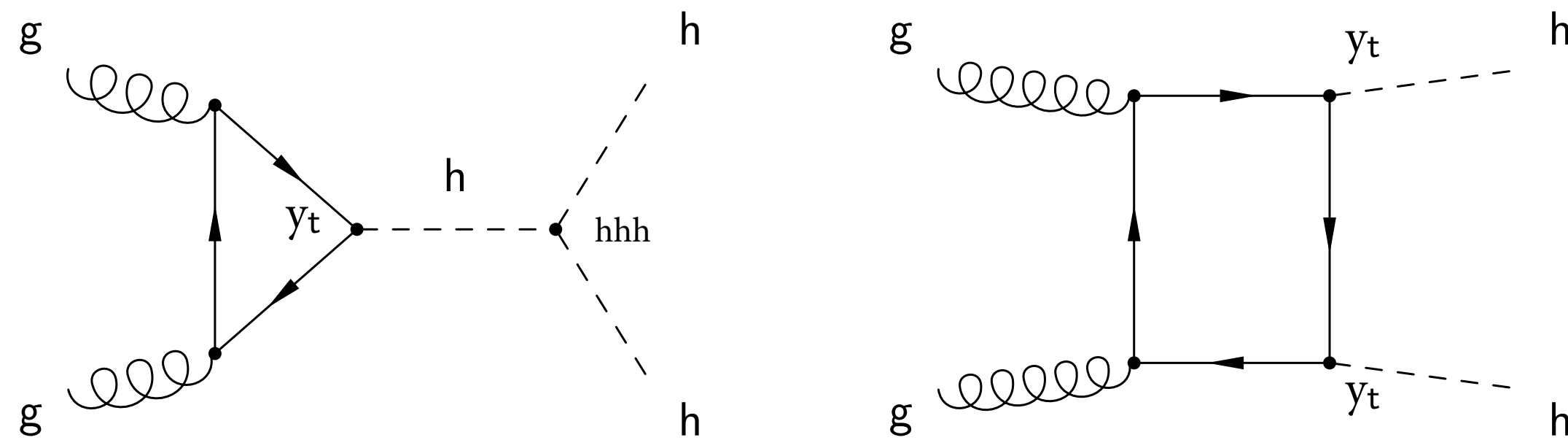


- Quantized: MS's implementation of ResNet 50 on their FPGA co-processors

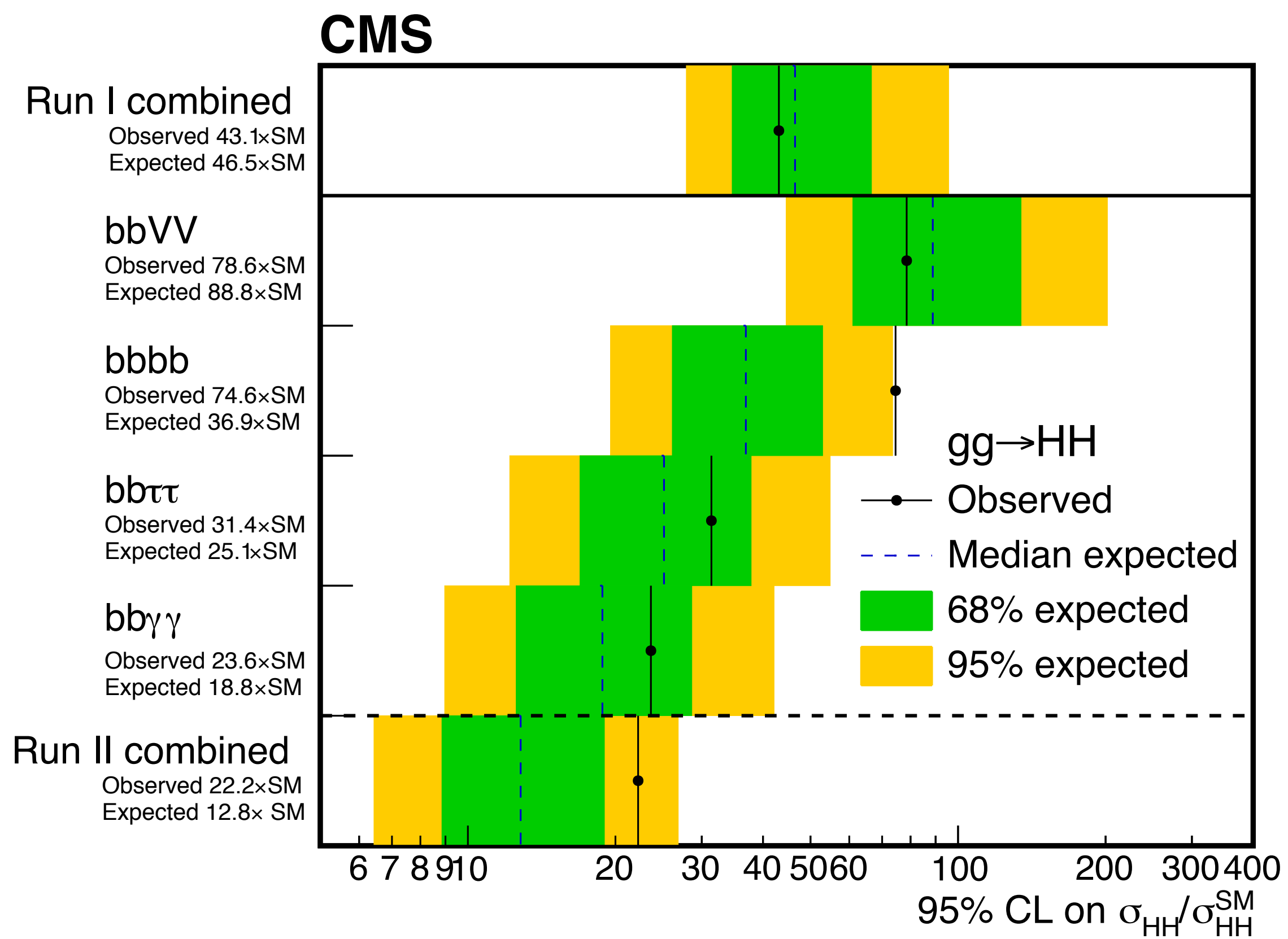




# Some processes need HL-LHC dataset (3000 fb-1) to be tested



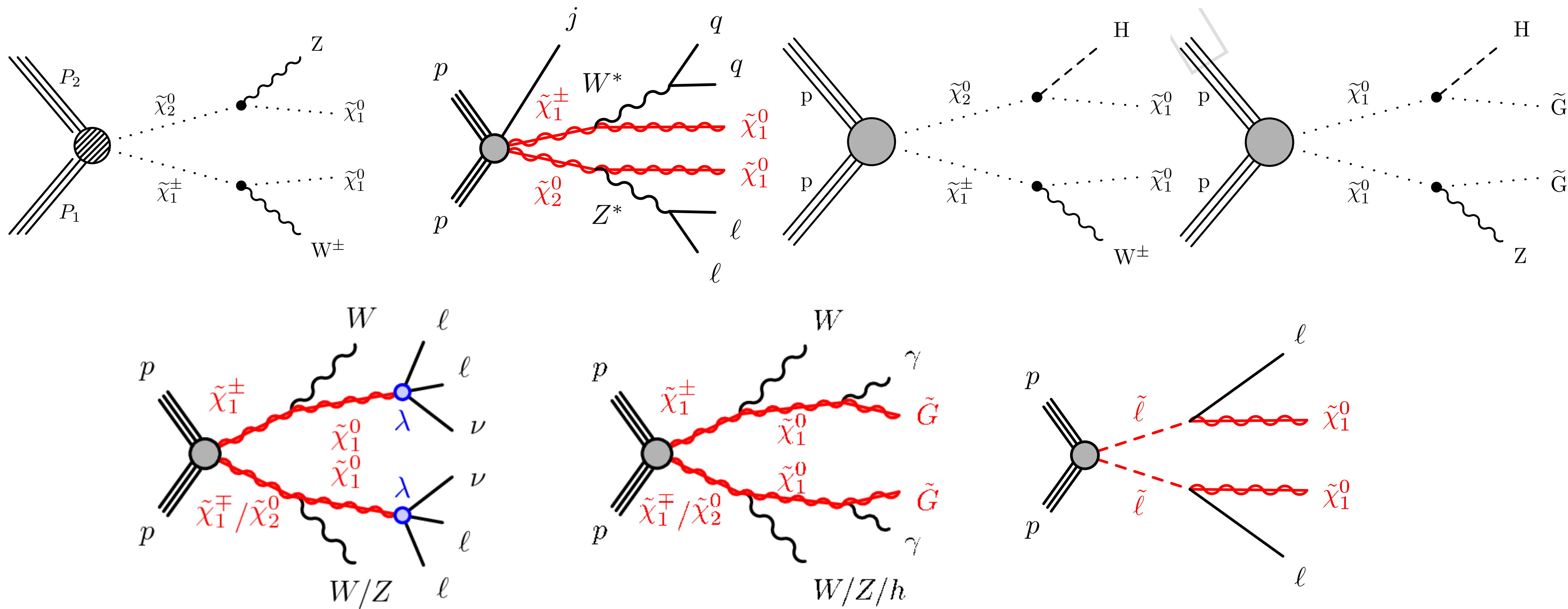
- 1500 lower than single Higgs production
- Di-higgs production to measure the Higgs self-coupling  $\rightarrow$  direct probe of the Higgs potential.



Channel	Significance	
	Stat. + syst.	Stat. only
bbbb	0.95	1.2
bb $\tau\tau$	1.4	1.6
bbWW( $\ell\nu\ell\nu$ )	0.56	0.59
bb $\gamma\gamma$	1.8	1.8
bbZZ( $\ell\ell\ell\ell$ )	0.37	0.37
Combination	2.6	2.8

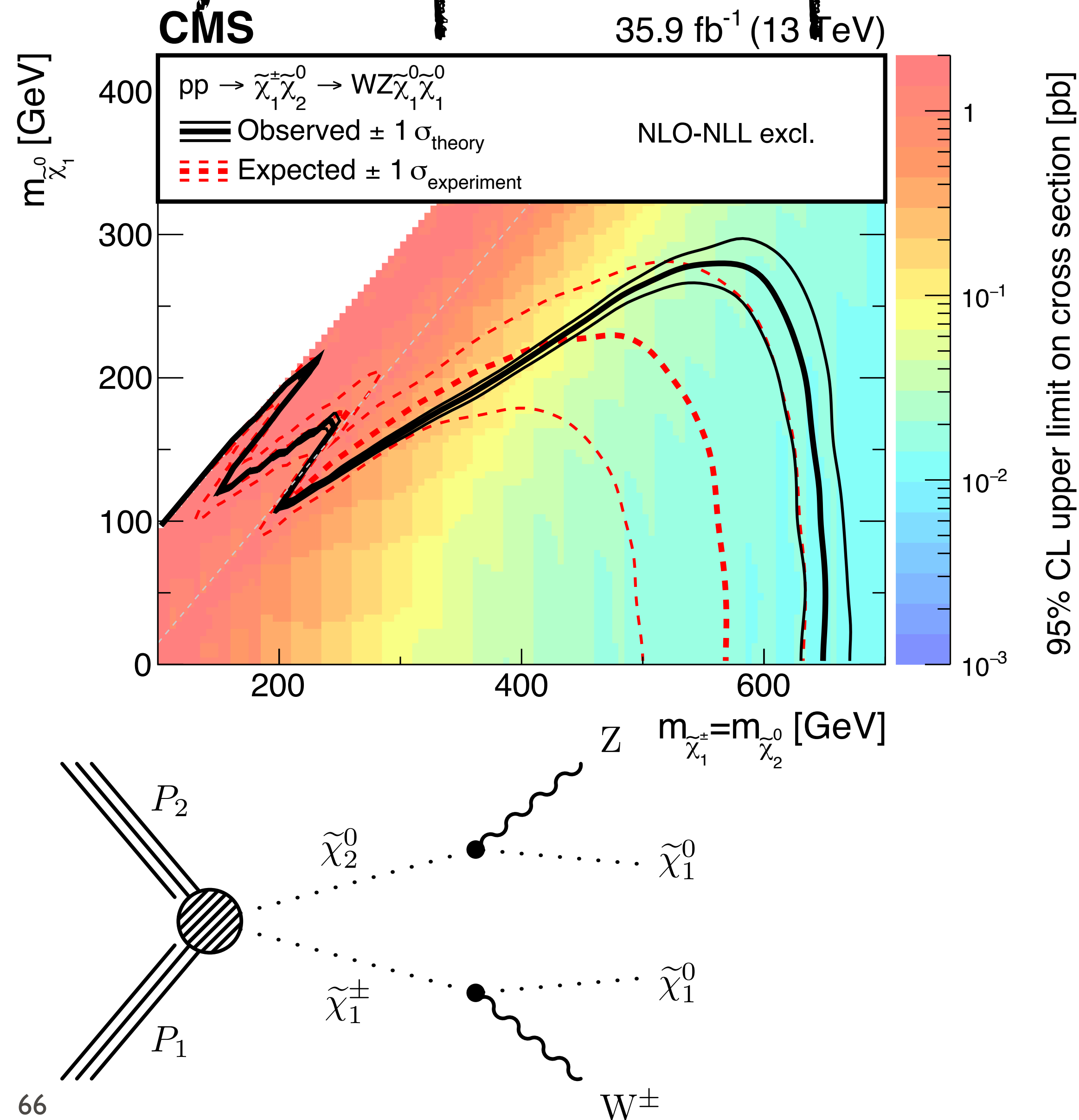
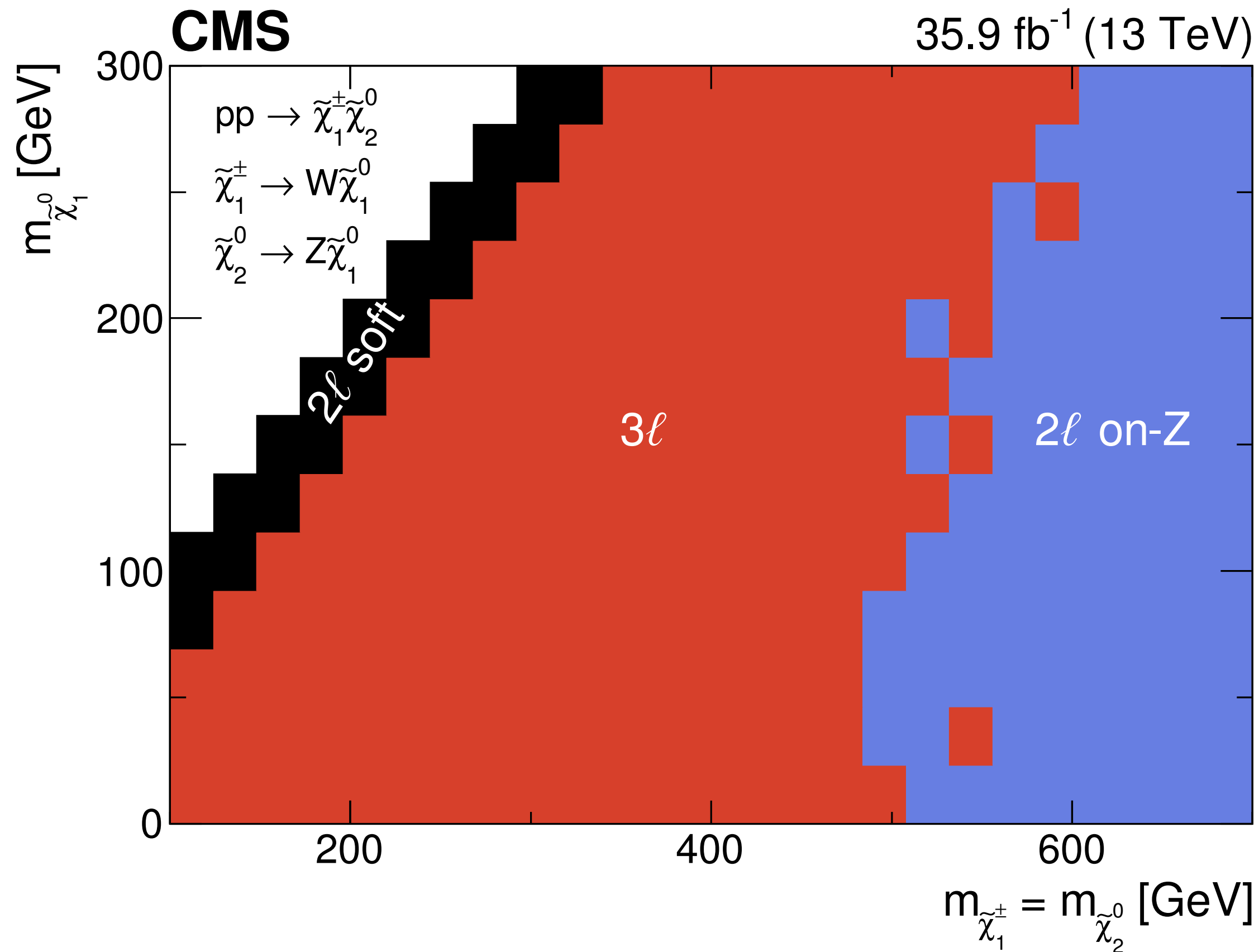


# Examples of models explored at the LHC





# Combination to cover full phase space



- ❖ SUS-16-039: Multilepton: Same-sign 2L+ 3L
- ❖ SUS-16-034: OS 2L + MET + jets
- ❖ SUS-16-048: Soft-2lepton

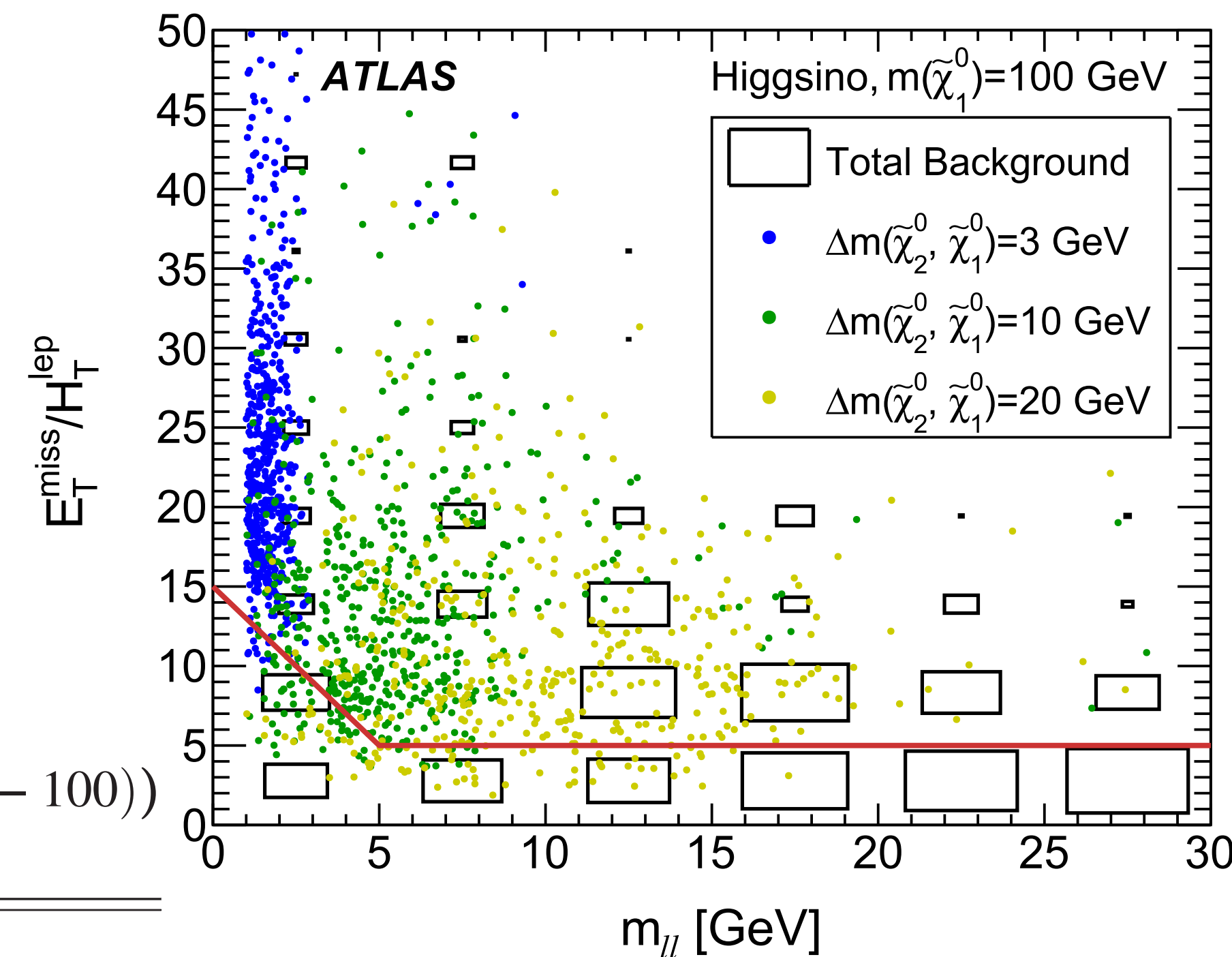


# Searches with soft leptons targeting compressed spectra

Variable	Common requirement
Number of leptons	=2
Lepton charge and flavor	$e^+e^-$ or $\mu^+\mu^-$ <b>Soft leptons</b>
Leading lepton $p_T^{\ell_1}$	>5 (5) GeV for electron (muon)
Subleading lepton $p_T^{\ell_2}$	>4.5 (4) GeV for electron (muon)
$\Delta R_{\ell\ell}$	>0.05
$m_{\ell\ell}$	$\in [1, 60]$ GeV excluding $[3.0, 3.2]$ GeV
$E_T^{\text{miss}}$	>200 GeV <b>to be triggered</b>
Number of jets	$\geq 1$
Leading jet $p_T$	>100 GeV <b>ISR boost to get MET</b>
$\Delta\phi(j_1, \mathbf{p}_T^{\text{miss}})$	>2.0
$\min(\Delta\phi(\text{any jet}, \mathbf{p}_T^{\text{miss}}))$	>0.4
Number of $b$ -tagged jets	= 0
$m_{\tau\tau}$	<0 or >160 GeV <b>Reject top</b>
$\Delta R_{\ell\ell}$	
$m_T^{\ell_1}$	
$E_T^{\text{miss}}/H_T^{\text{lep}}$	
Binned in	
	Electroweakino SRs
	<2
	<70 GeV
	> $\max(5, 15 - 2 \frac{m_{\ell\ell}}{1 \text{ GeV}})$
	$m_{\ell\ell}$
	Slepton SRs
	...
	...
	> $\max(3, 15 - 2(\frac{m_{T2}^{100}}{1 \text{ GeV}} - 100))$
	$m_{T2}^{100}$

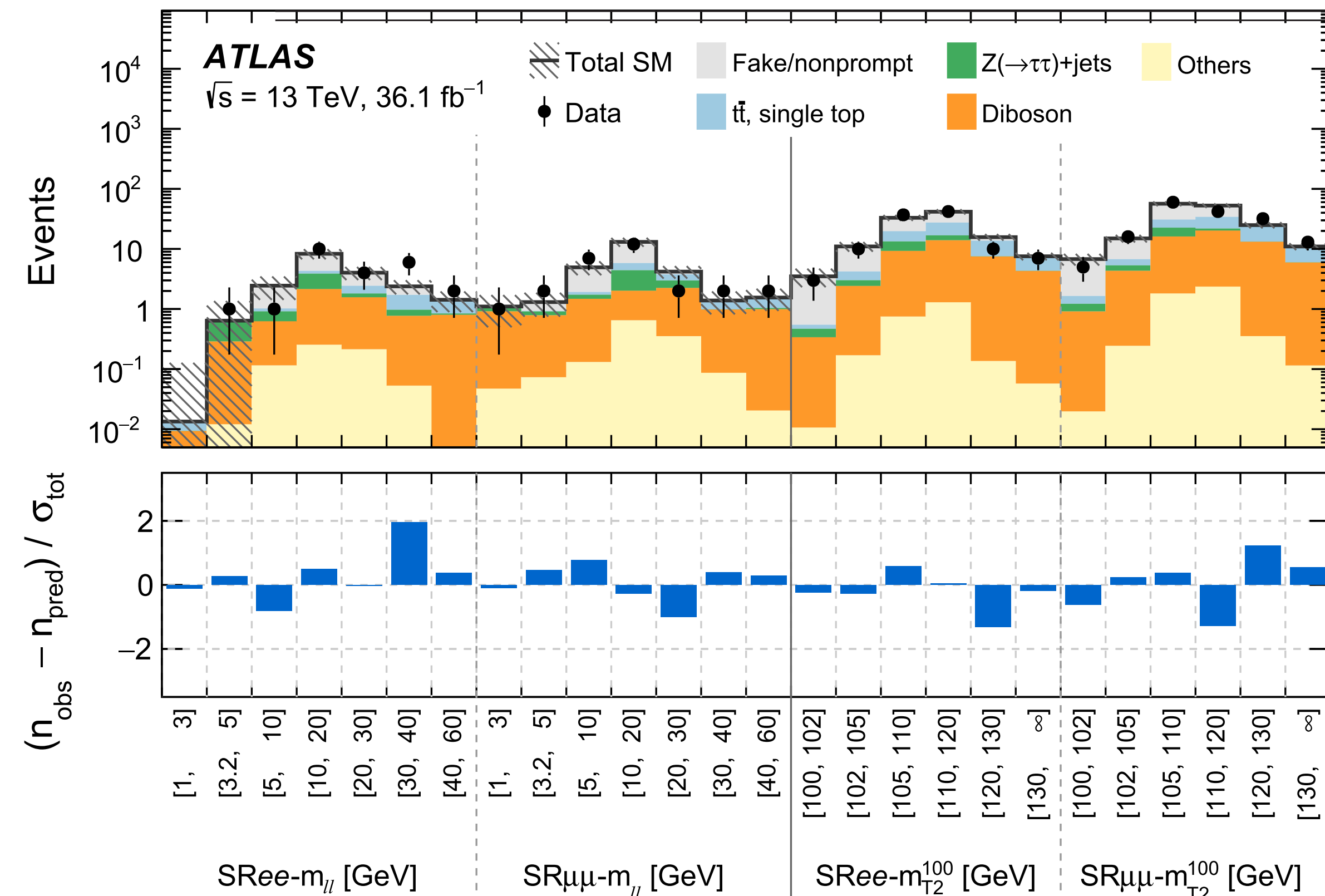
Reject photon conversions

Reject Low mass resonants



# Searches with soft leptons targeting compressed spectra

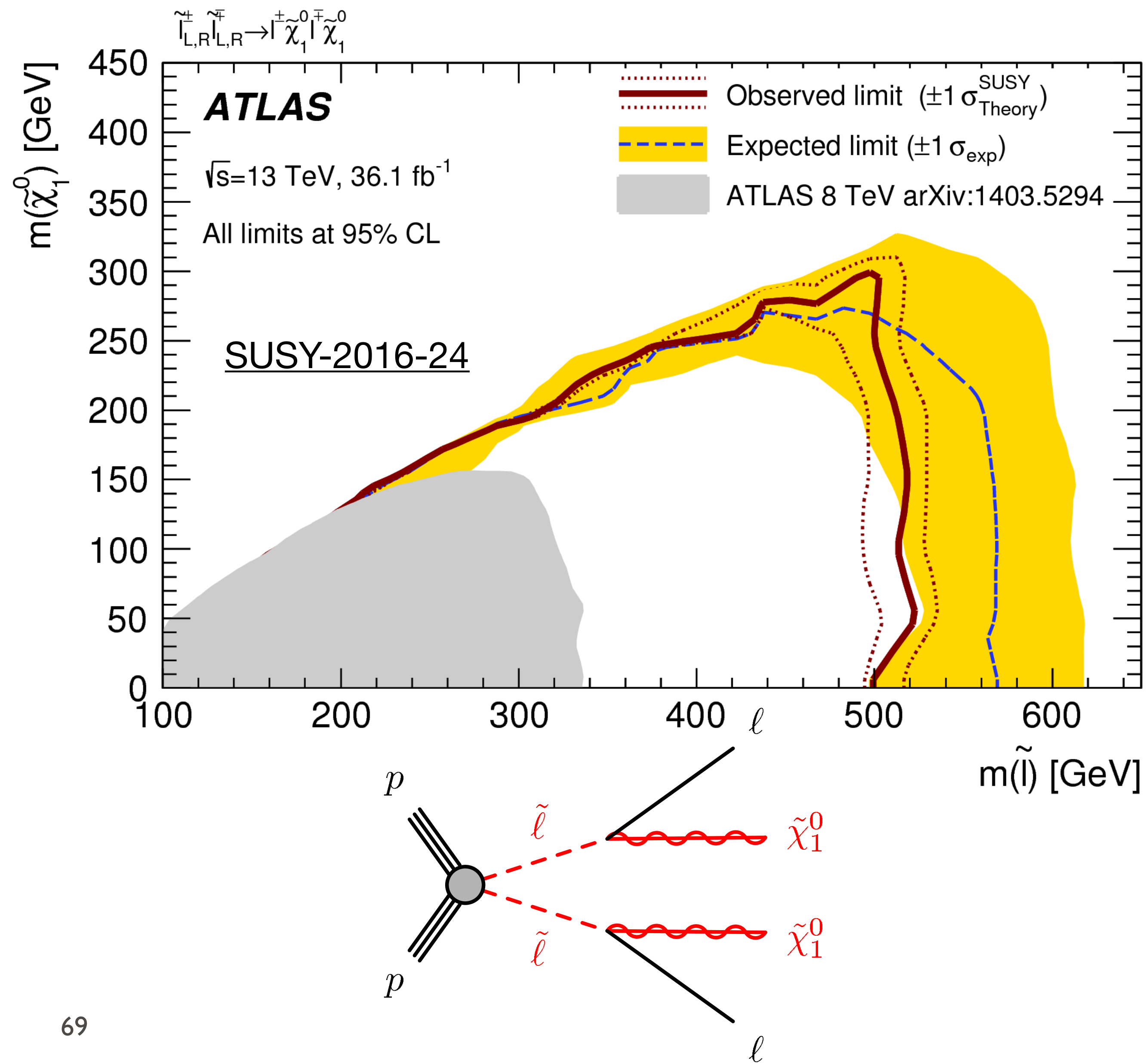
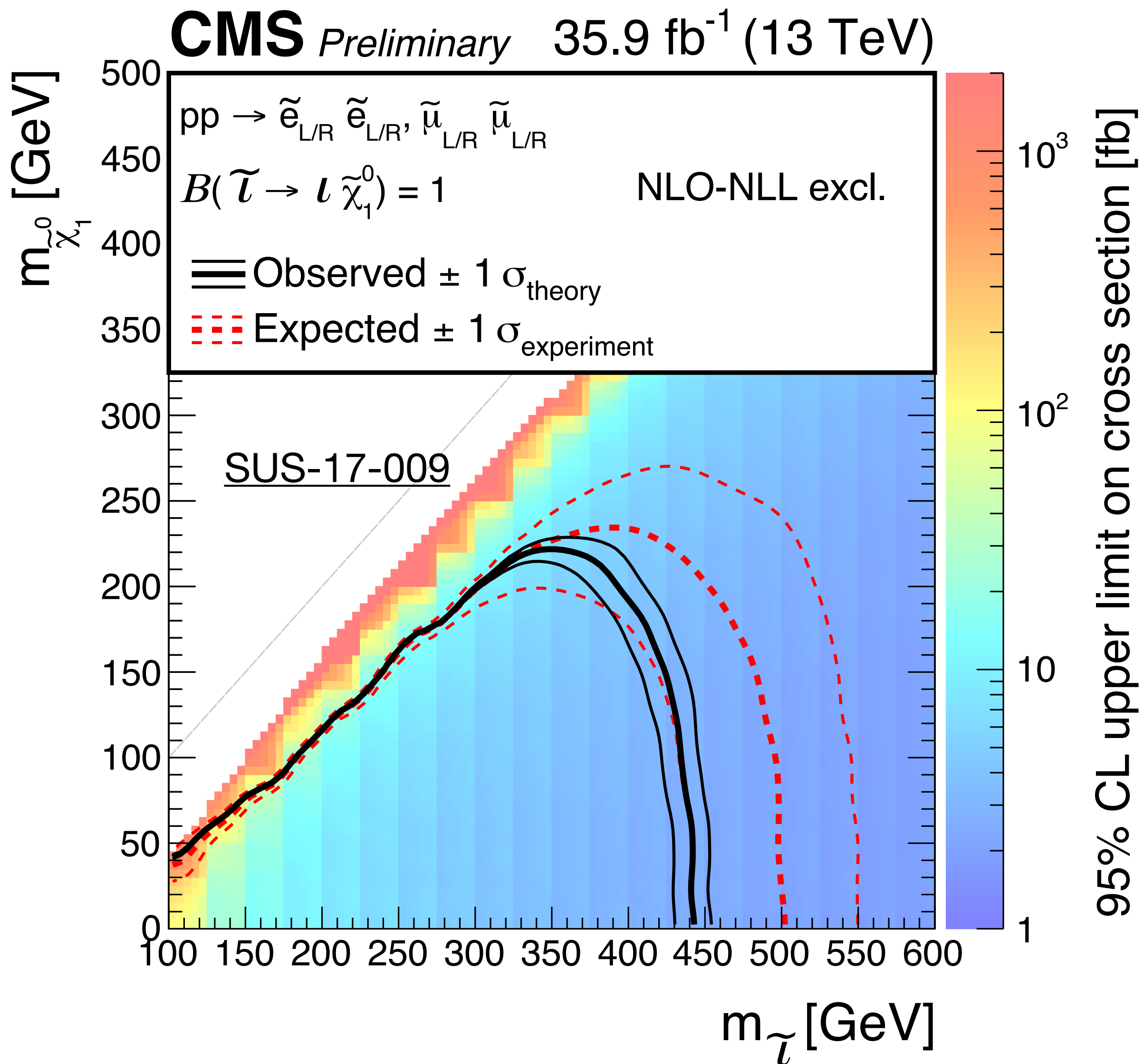
Region	Leptons	$E_T^{\text{miss}} / H_T^{\text{lep}}$	Additional requirements	
CR-top	$e^\pm e^\mp, \mu^\pm \mu^\mp, e^\pm \mu^\mp, \mu^\pm e^\mp$	$> 5$	$\geq 1$ $b$ -tagged jet(s)	←
CR-tau	$e^\pm e^\mp, \mu^\pm \mu^\mp, e^\pm \mu^\mp, \mu^\pm e^\mp$	$\in [4, 8]$	$m_{\tau\tau} \in [60, 120]$ GeV	←
VR-VV	$e^\pm e^\mp, \mu^\pm \mu^\mp, e^\pm \mu^\mp, \mu^\pm e^\mp$	$< 3$		←
VR-SS	$e^\pm e^\pm, \mu^\pm \mu^\pm, e^\pm \mu^\pm, \mu^\pm e^\pm$	$> 5$		←
VRDF- $m_{\ell\ell}$	$e^\pm \mu^\mp, \mu^\pm e^\mp$	$> \max(5, 15 - 2 \frac{m_{\ell\ell}}{1 \text{ GeV}})$	$\Delta R_{\ell\ell} < 2, m_T^{\ell_1} < 70$ GeV	
VRDF- $m_{T2}^{100}$	$e^\pm \mu^\mp, \mu^\pm e^\mp$	$> \max(3, 15 - 2(\frac{m_{T2}^{100}}{1 \text{ GeV}} - 100))$		

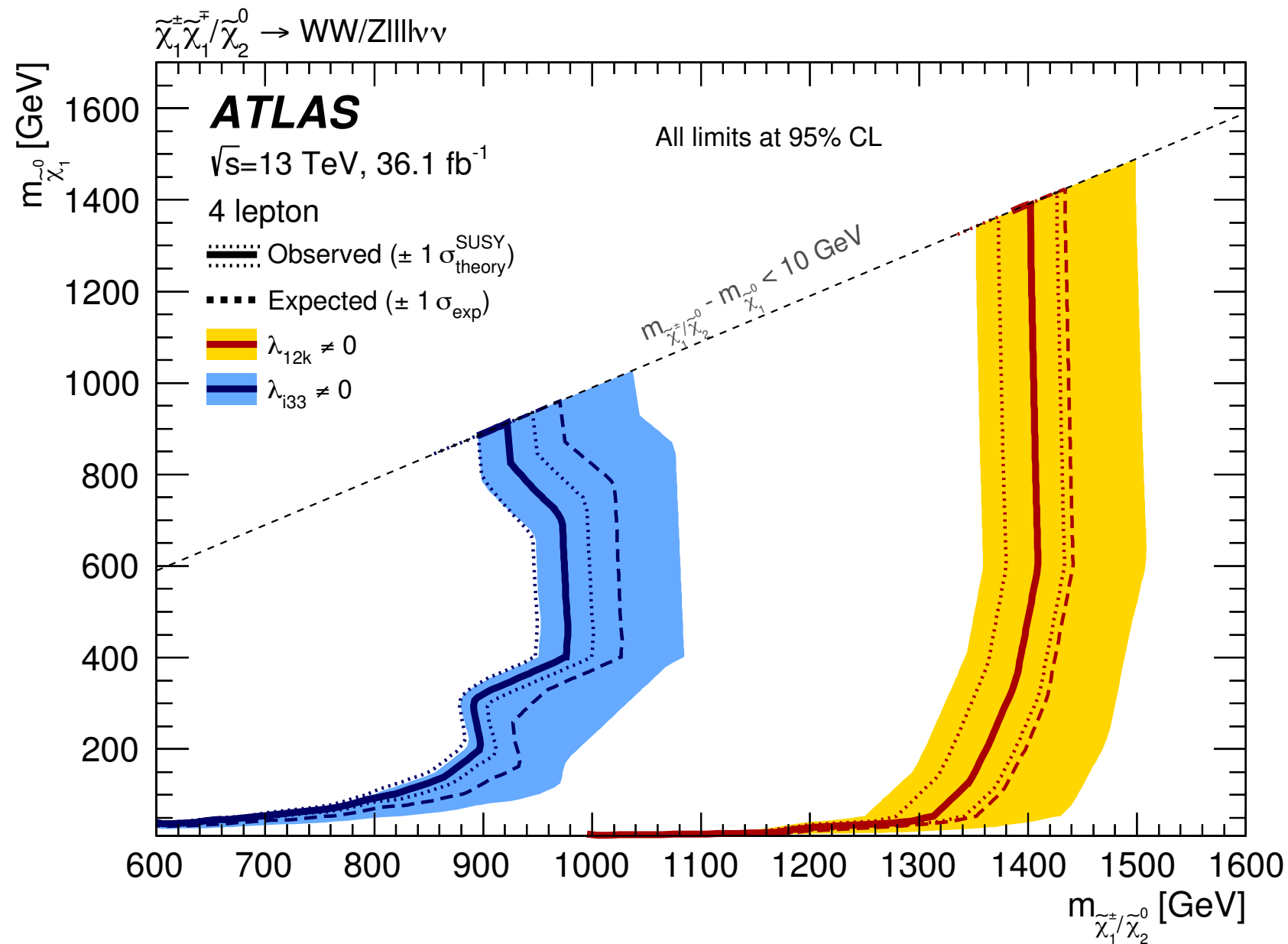


- Top background estimated from a  $b$ -tagged region.
- Tau background estimated on Z peak.
- Diboson backgrounds validated in data
- Fake lepton estimated with fake rate method, validated with a Same-sign dilepton region
- $M_{\ell\ell}$  and  $M_{T2}$  shape modeling validated using e/m events

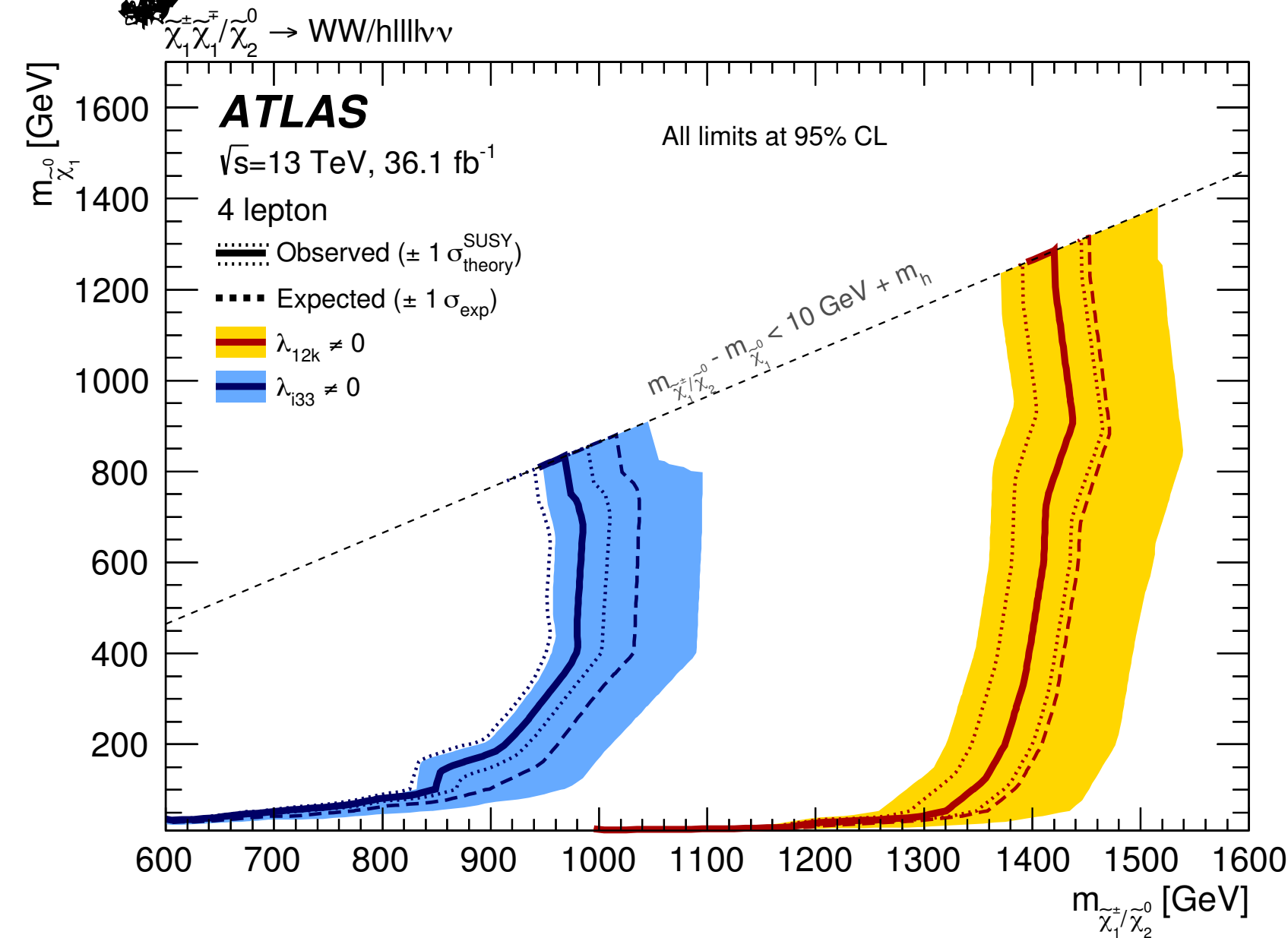


# Direct slepton production (ee/mm + MET)

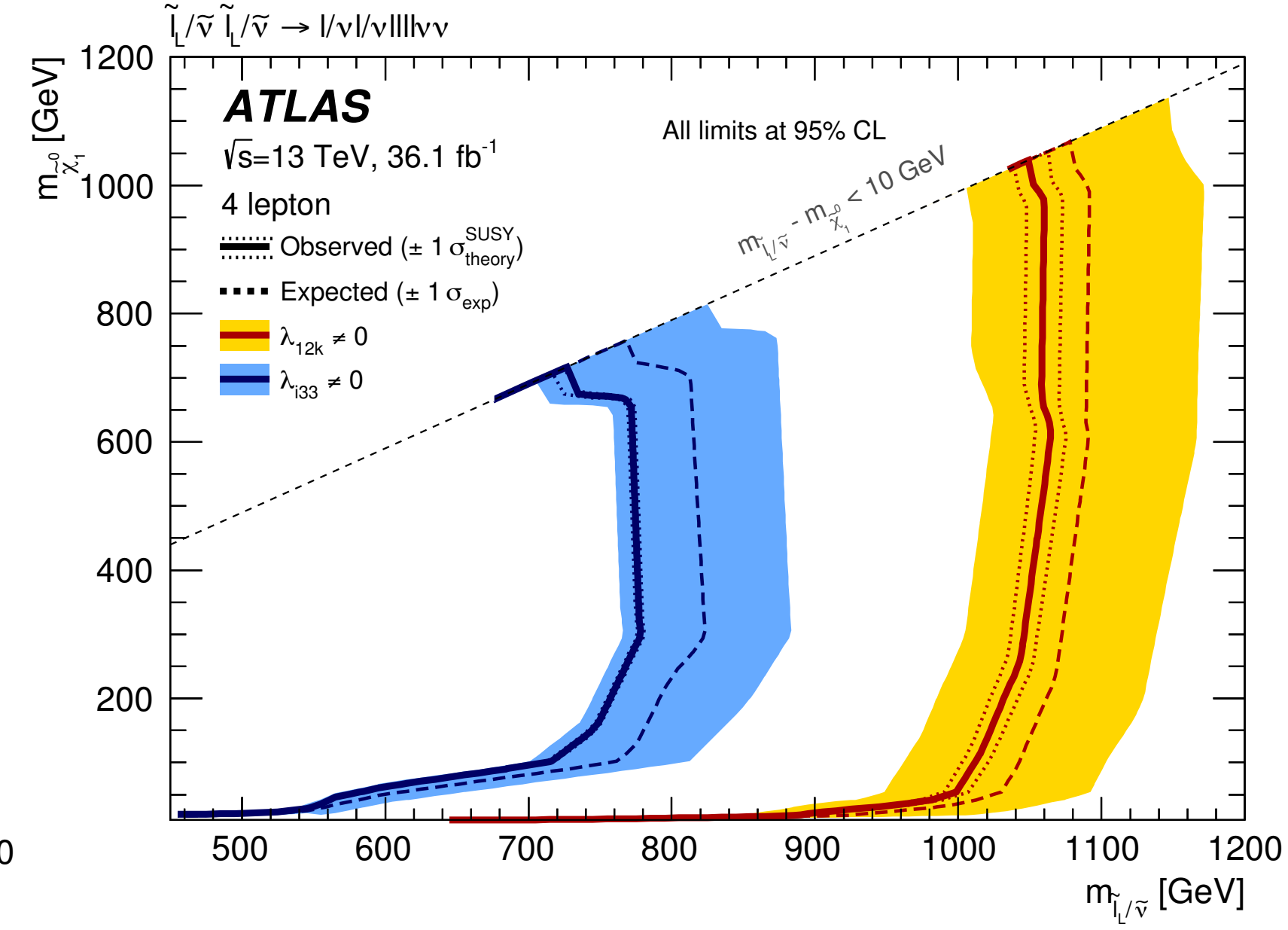




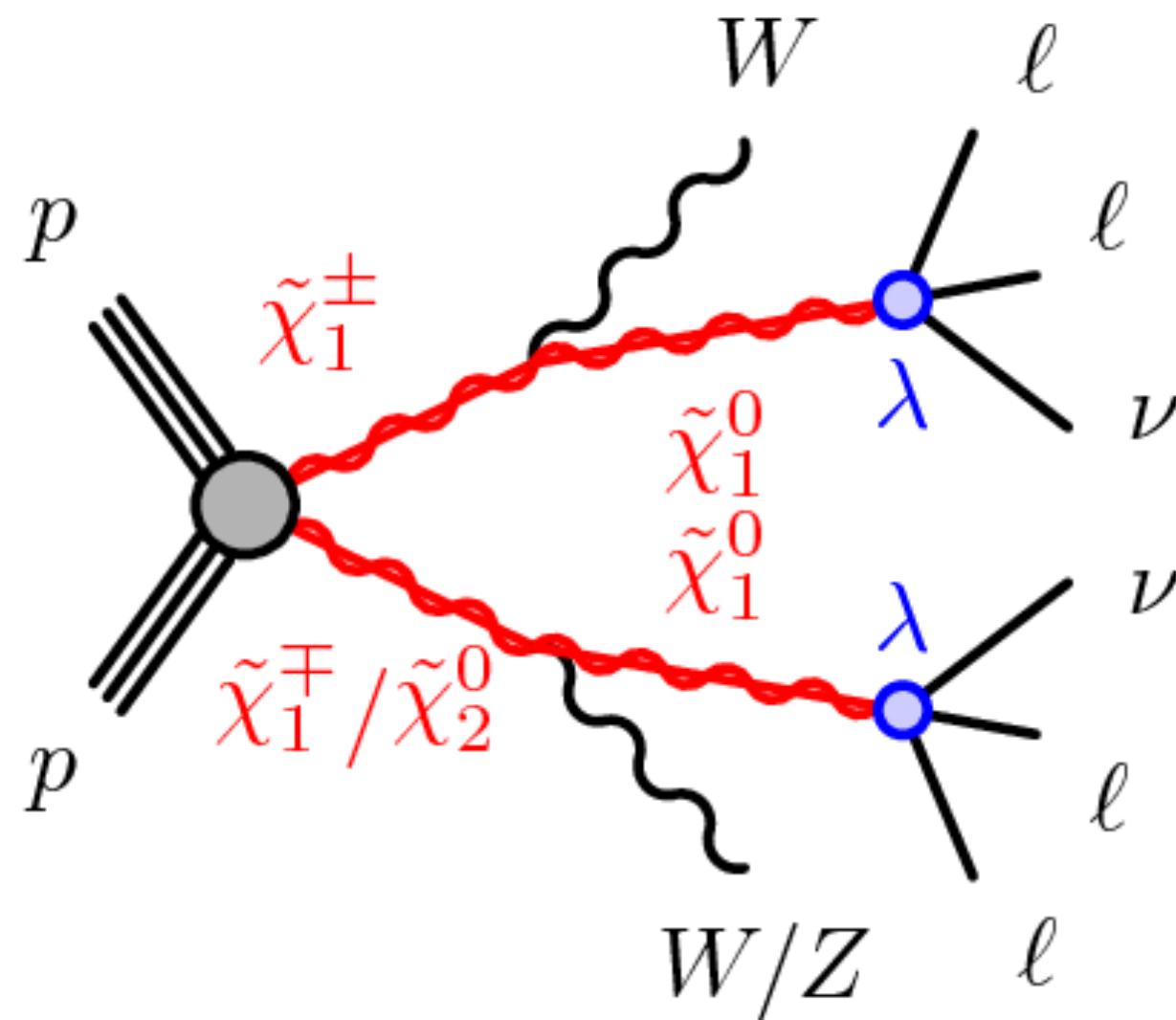
(a) RPV wino W/Z NLSP



(b) RPV wino W/h NLSP



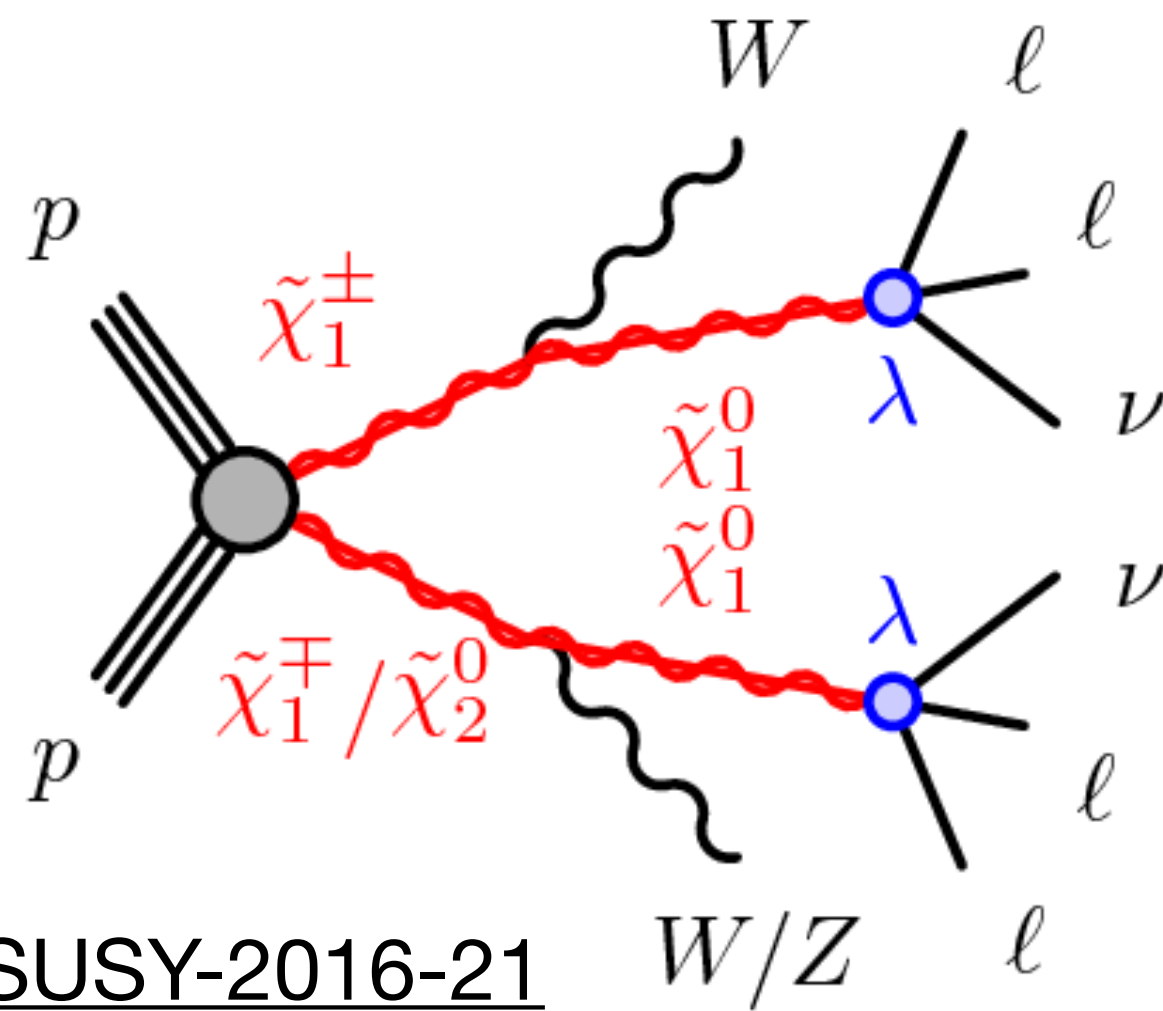
(c) RPV  $\tilde{\ell}_L/\tilde{\nu}$  NLSP



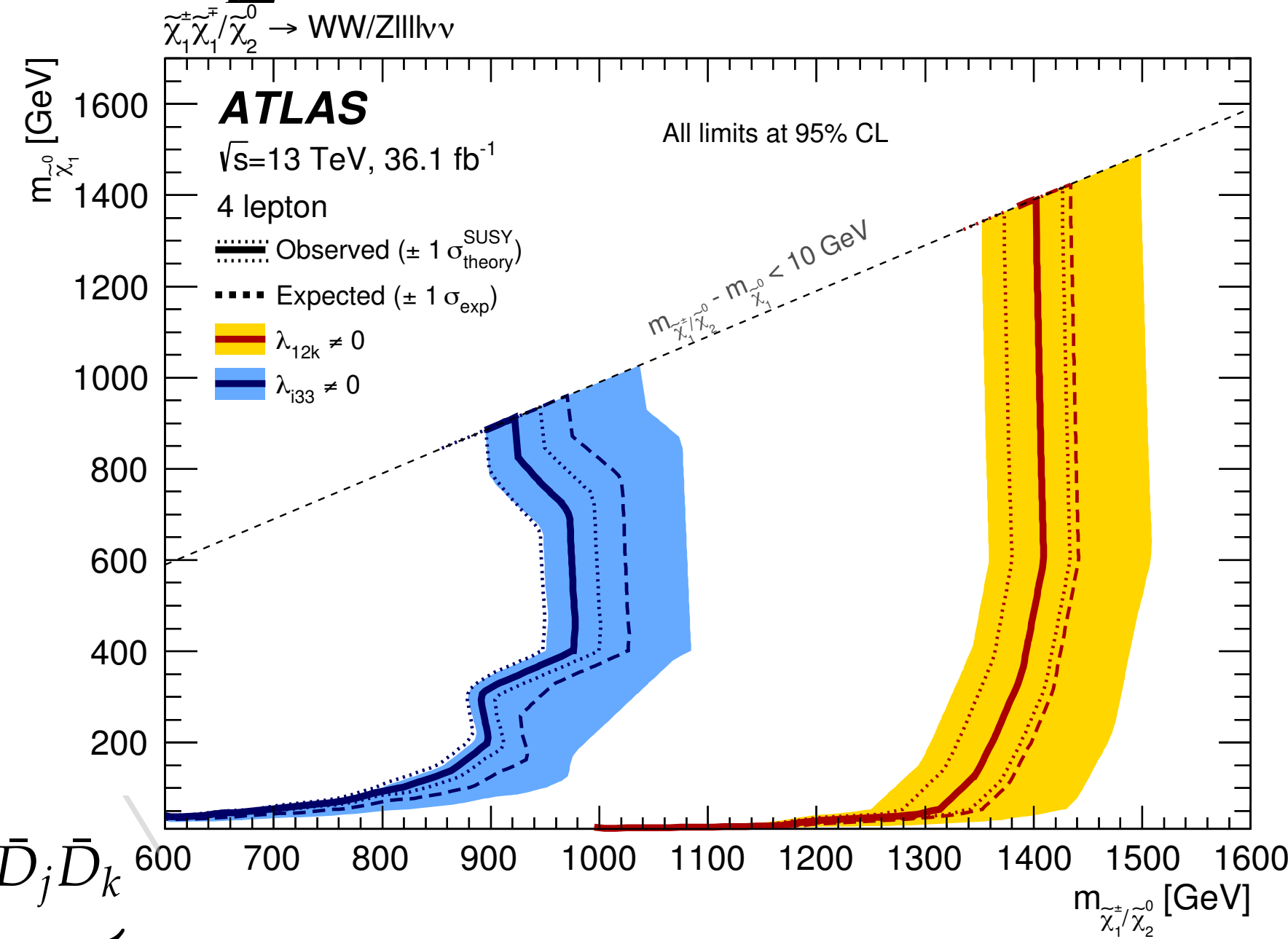
- In RPV models, lepton number violation,  $\tilde{\chi}_1^0$  decays to  $ll\nu$
- Wino-like  $\tilde{\chi}_1^\pm / \tilde{\chi}_2^0$  and  $\tilde{\ell}_L/\tilde{\nu}$  masses up to 1.46 TeV, 1.06 TeV are excluded,



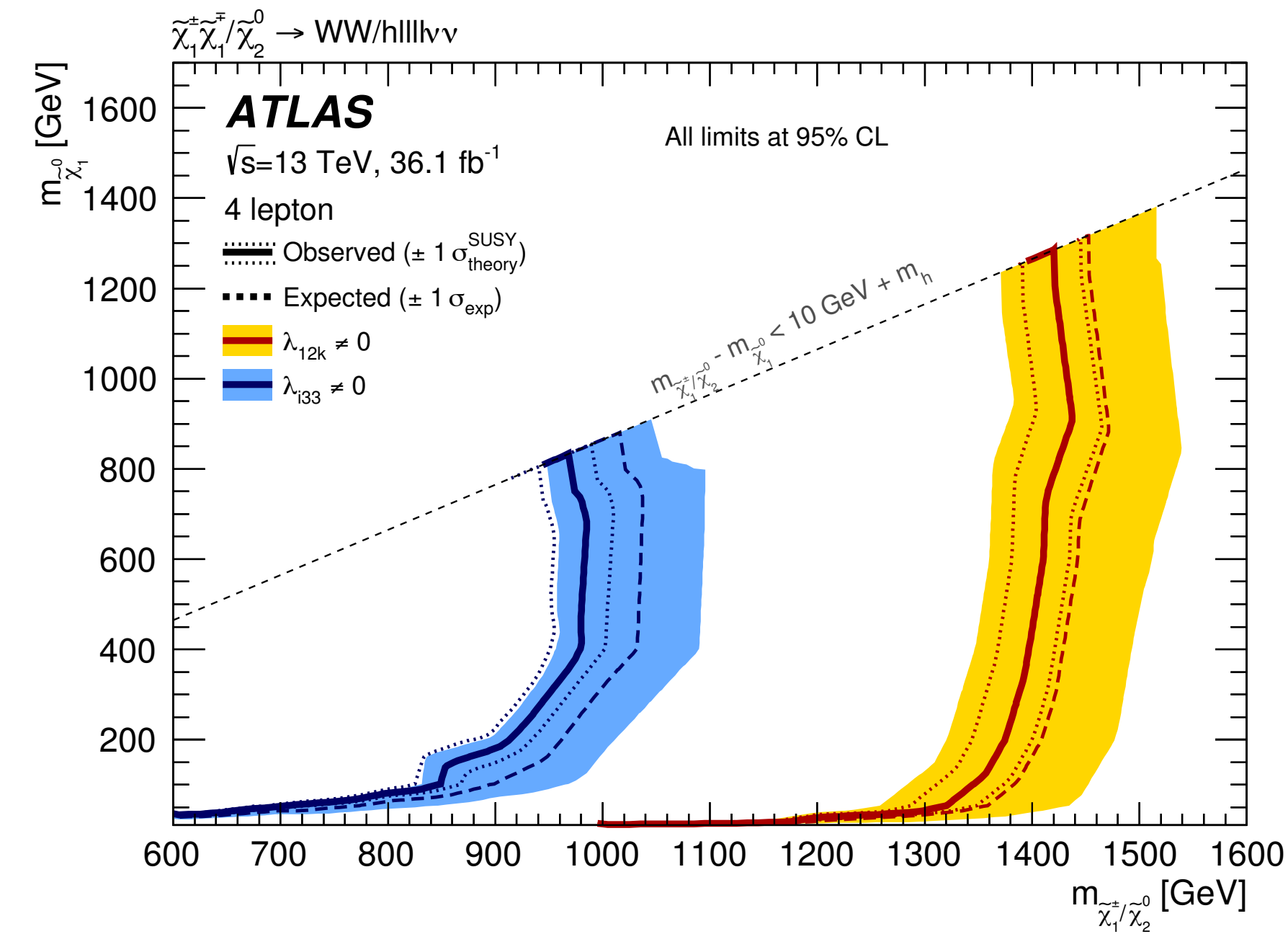
# R-Parity Violation models



$$\underbrace{\frac{1}{2}\lambda_{ijk}L_iL_j\bar{E}_k}_{LLE \text{ term}} + \underbrace{\lambda'_{ijk}L_iQ_j\bar{D}_k}_{LQD \text{ term}} - \underbrace{\kappa^iL_iH_u}_{LH \text{ term}} + \underbrace{\frac{1}{2}\lambda''_{ijk}\bar{U}_i\bar{D}_j\bar{D}_k}_{UDD \text{ term}}$$



(a) RPV wino W/Z NLSP

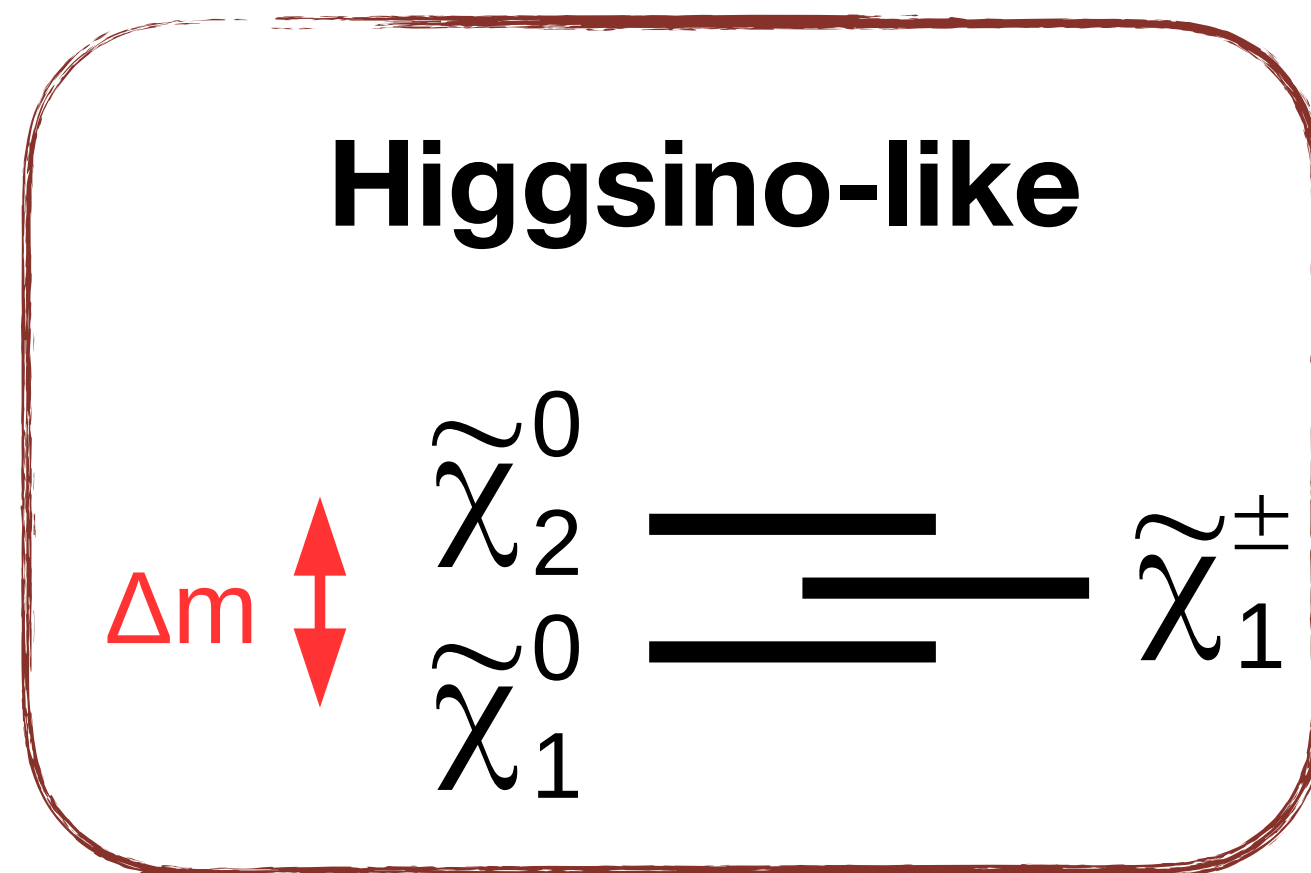


(b) RPV wino W/h NLSP

- In RPV models, lepton number violation,  $\tilde{\chi}_1^0$  decays to  $ll\nu$ , low MET compared to RPC signatures
- Analysis selects 4 leptons:  $e/\mu/\tau$ , allowing up to 2 hadronically decaying  $\tau$ .
- Events categorized with whether a dilepton pair is consistent with Z boson, MET and Meff
- Wino-like  $\tilde{\chi}^{\pm 1}/\tilde{\chi}^0_2$  and  $h_L/\nu$  masses up to 1.46 TeV, 1.06 TeV are excluded,

# Compressed Higgsino

$\Delta m \sim$   
hundreds of MeV  
to  
tens of GeV

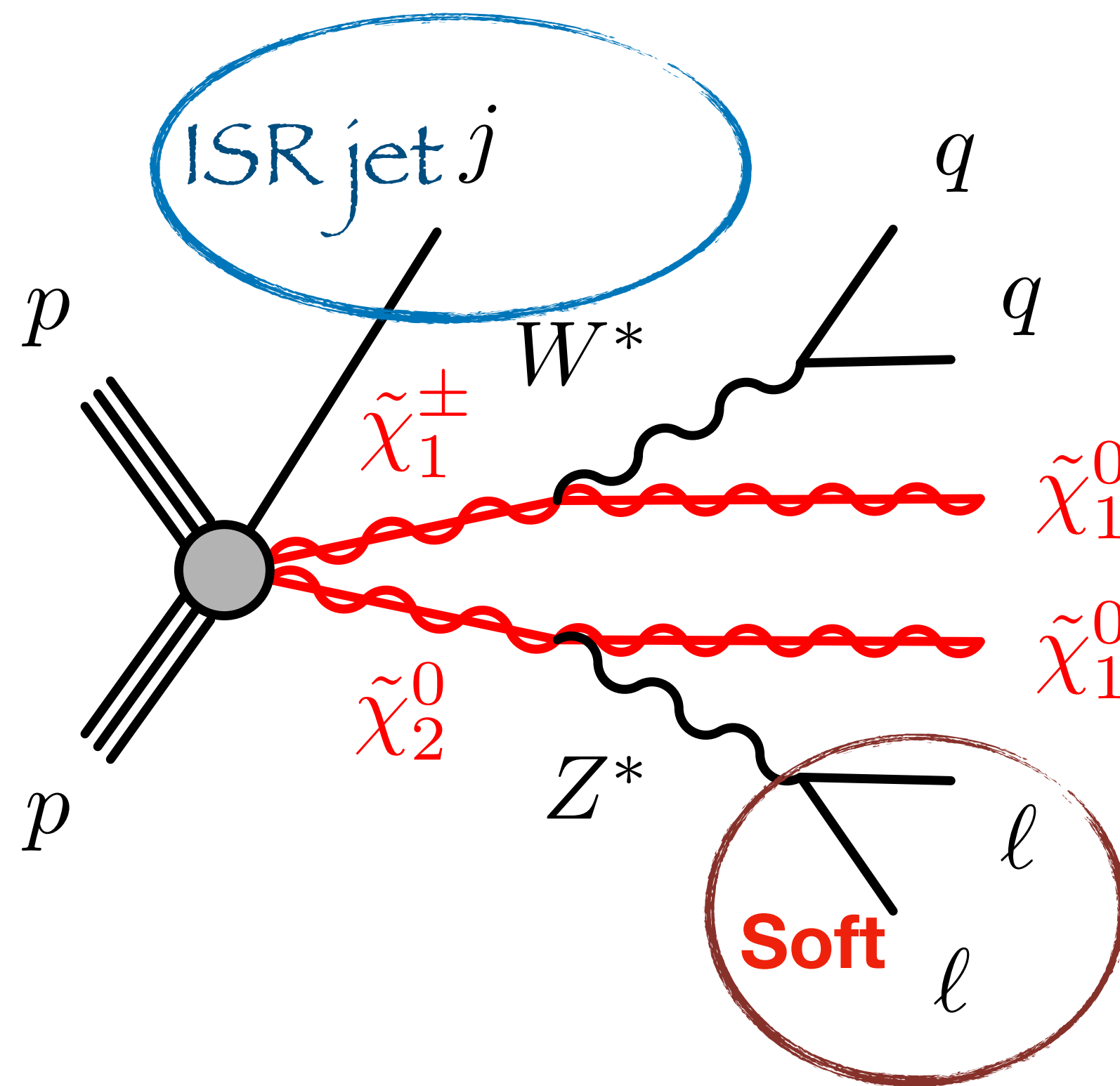


- Higgsino-like spectrum:
- Lower cross section
- Challenging signatures:
  - $\Delta m \sim$  tens of GeV : Soft decay products
  - $\Delta m \sim$  hundreds of MeV : Long-lived signatures.



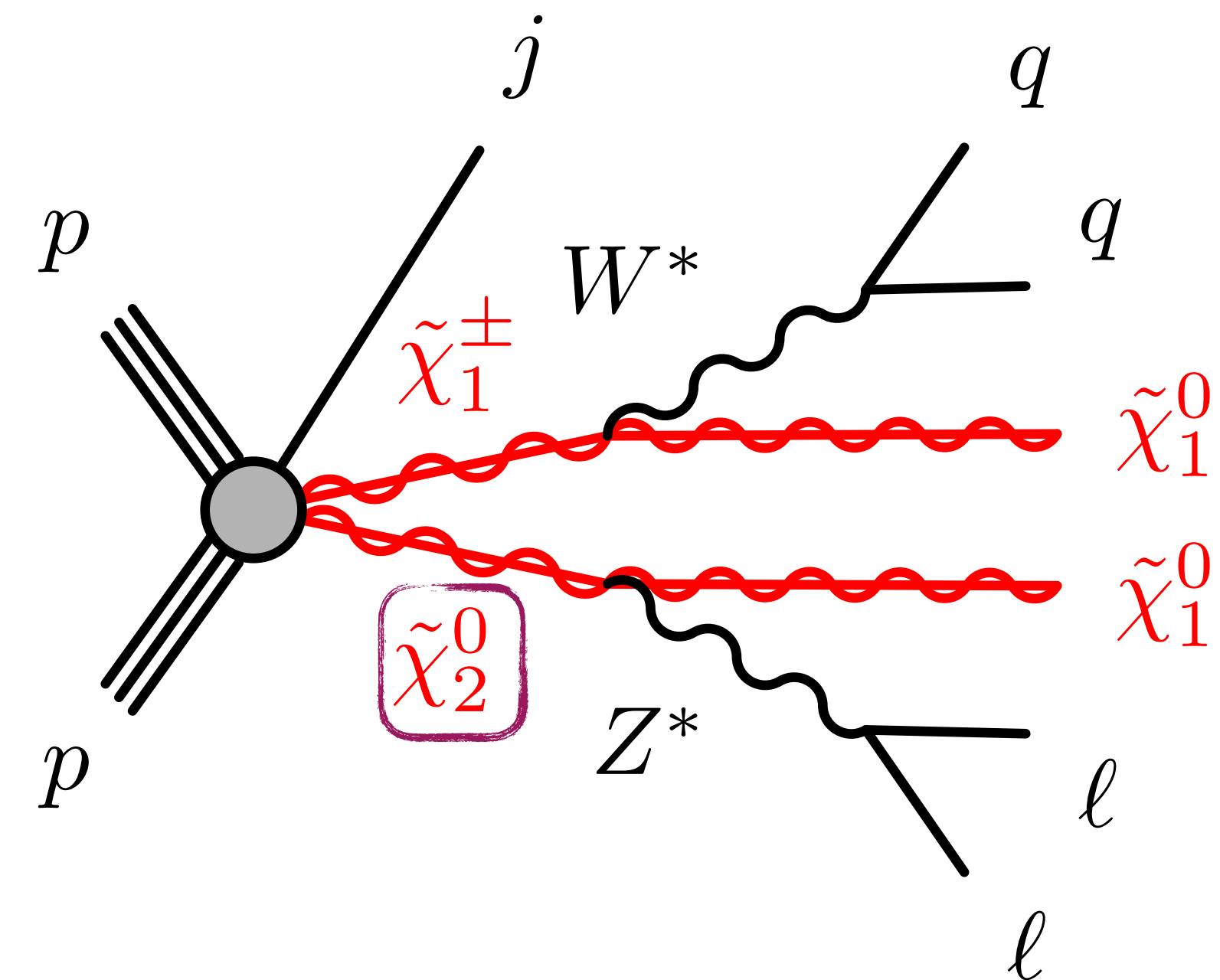
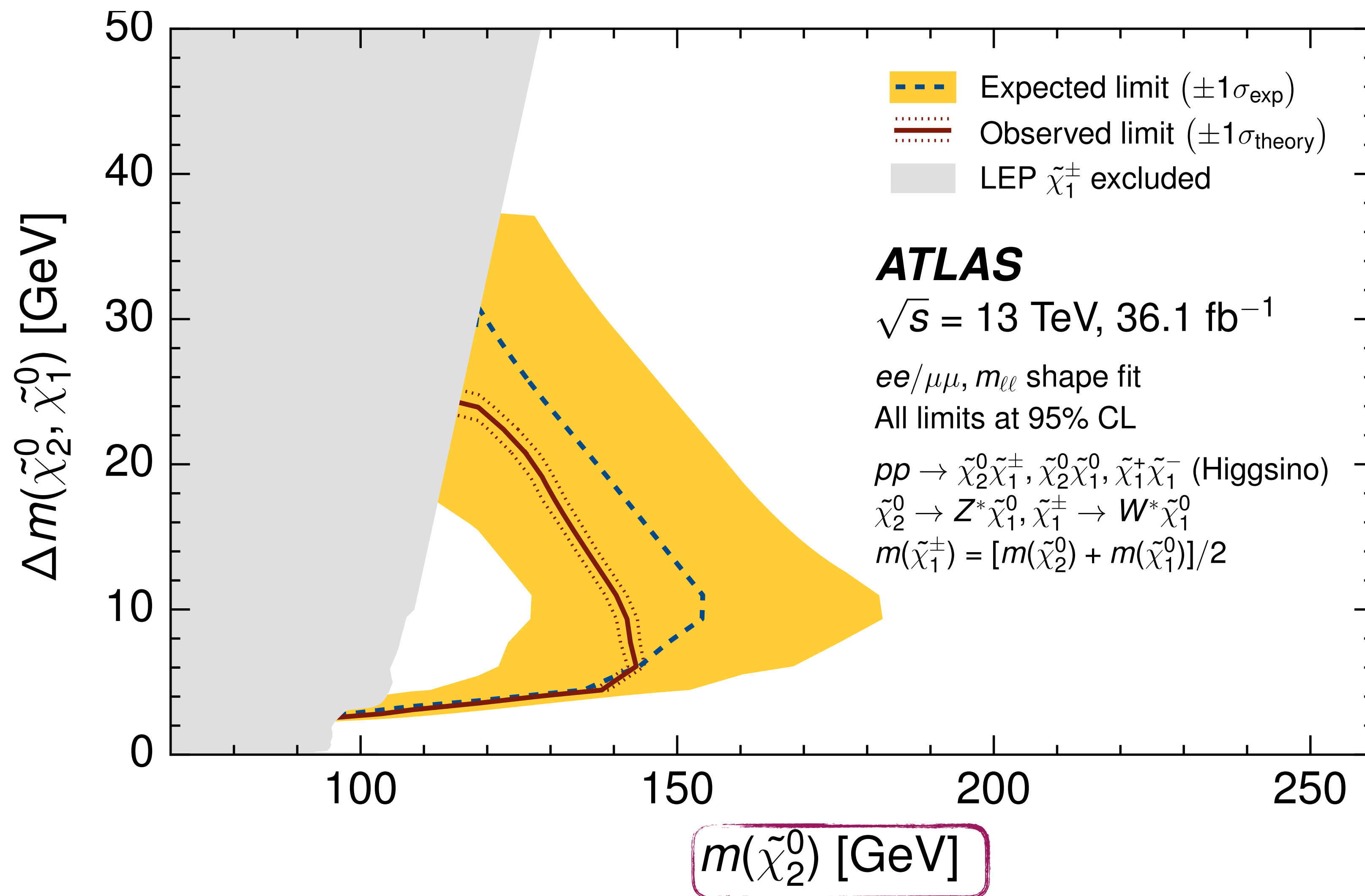
# Search Highlights – compressed Higgsino with soft leptons

## Higgsino-like



- Dedicated searches with similar search strategy :  
ATLAS: SUSY-2016-25 CMS: SUS-16-048,
- Soft leptons pt: 4-5 GeV, hard to trigger
- ISR jet to get the system boosted  $\rightarrow$  large missing transverse energy to be triggered:
- MET > 200 GeV. (ATLAS pure MET trigger)
- MET > 125 GeV (CMS MET + soft lepton trigger)

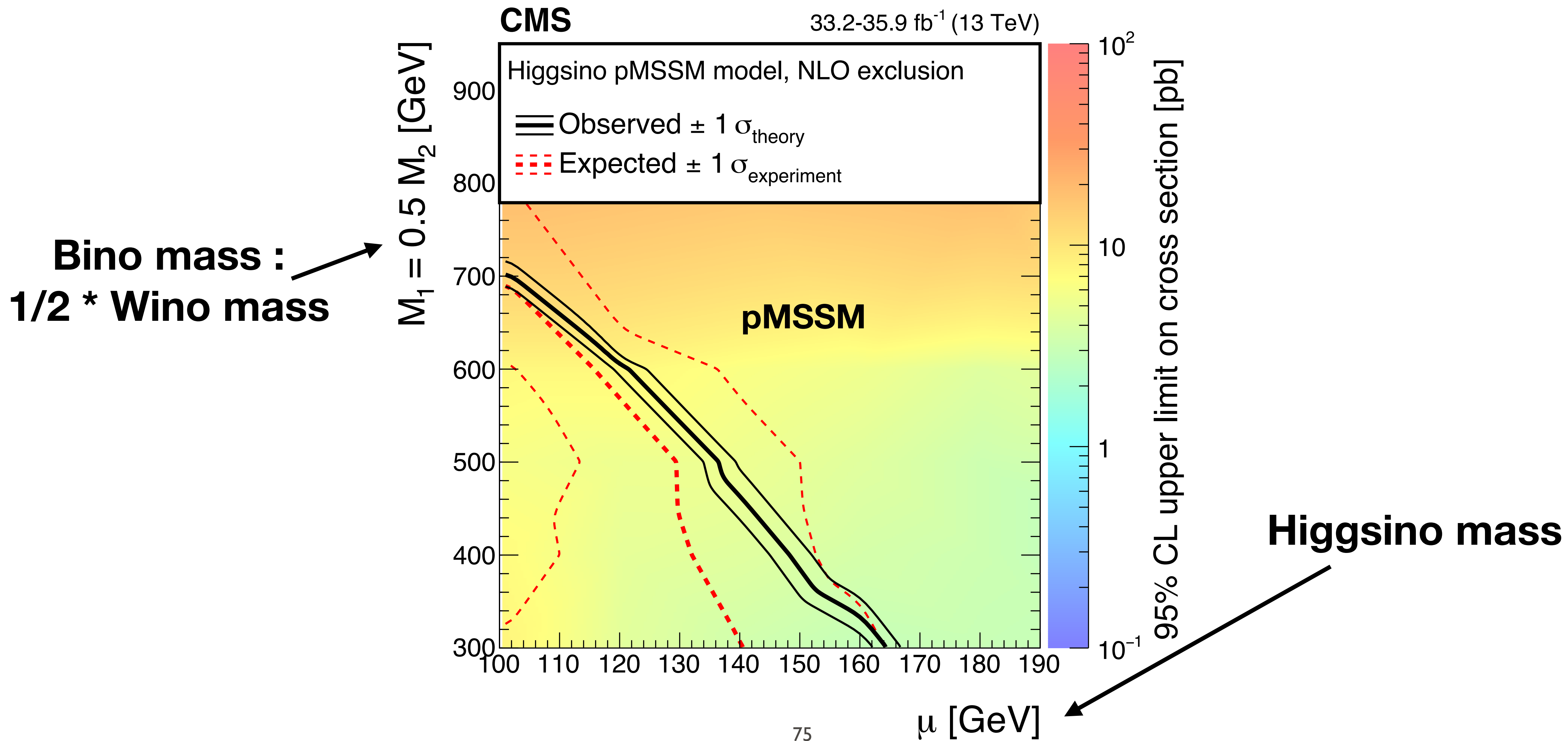
# soft leptons – interpretations



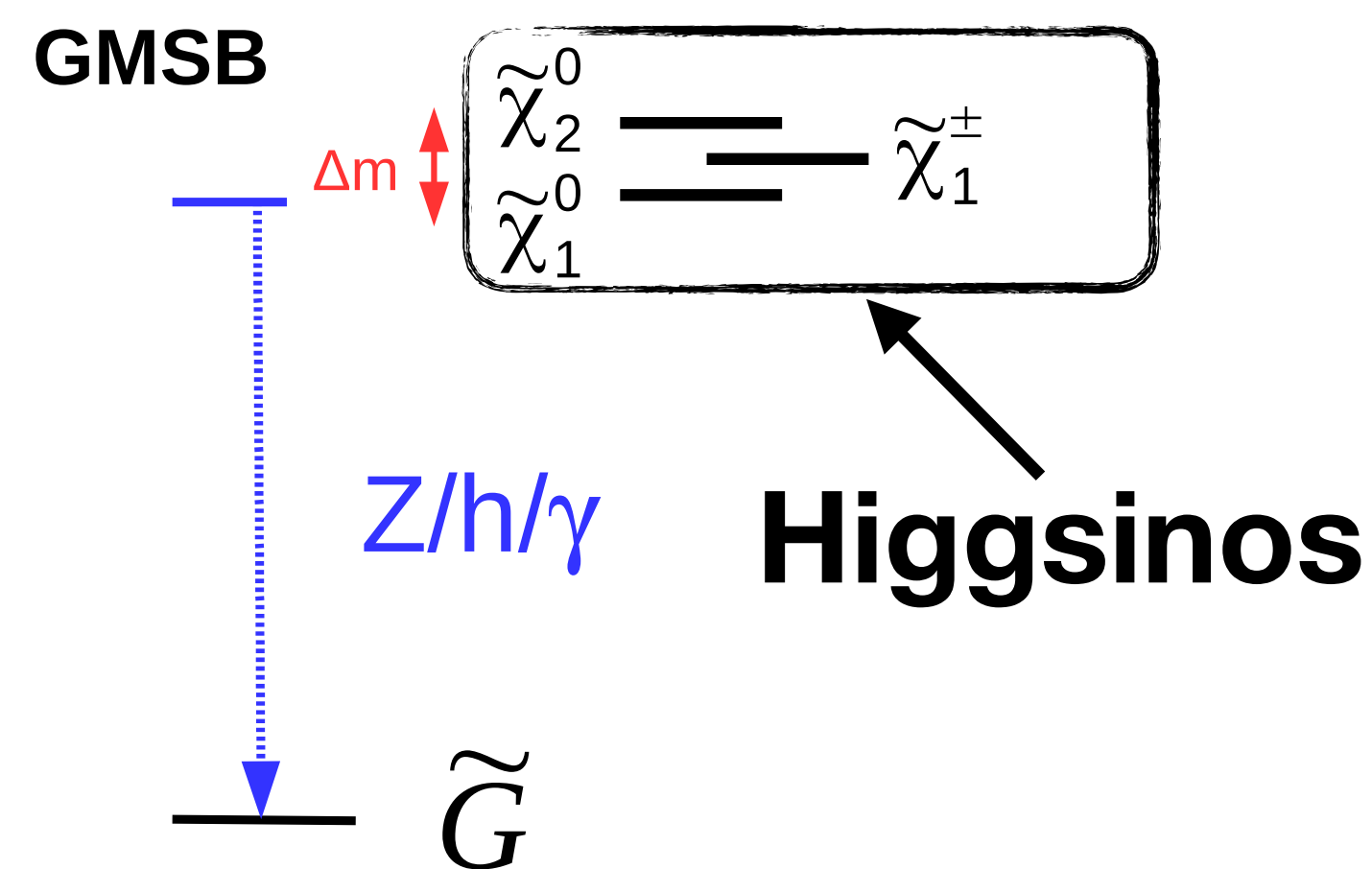
Comparable exclusion by CMS  
see backup



# soft leptons – interpretations



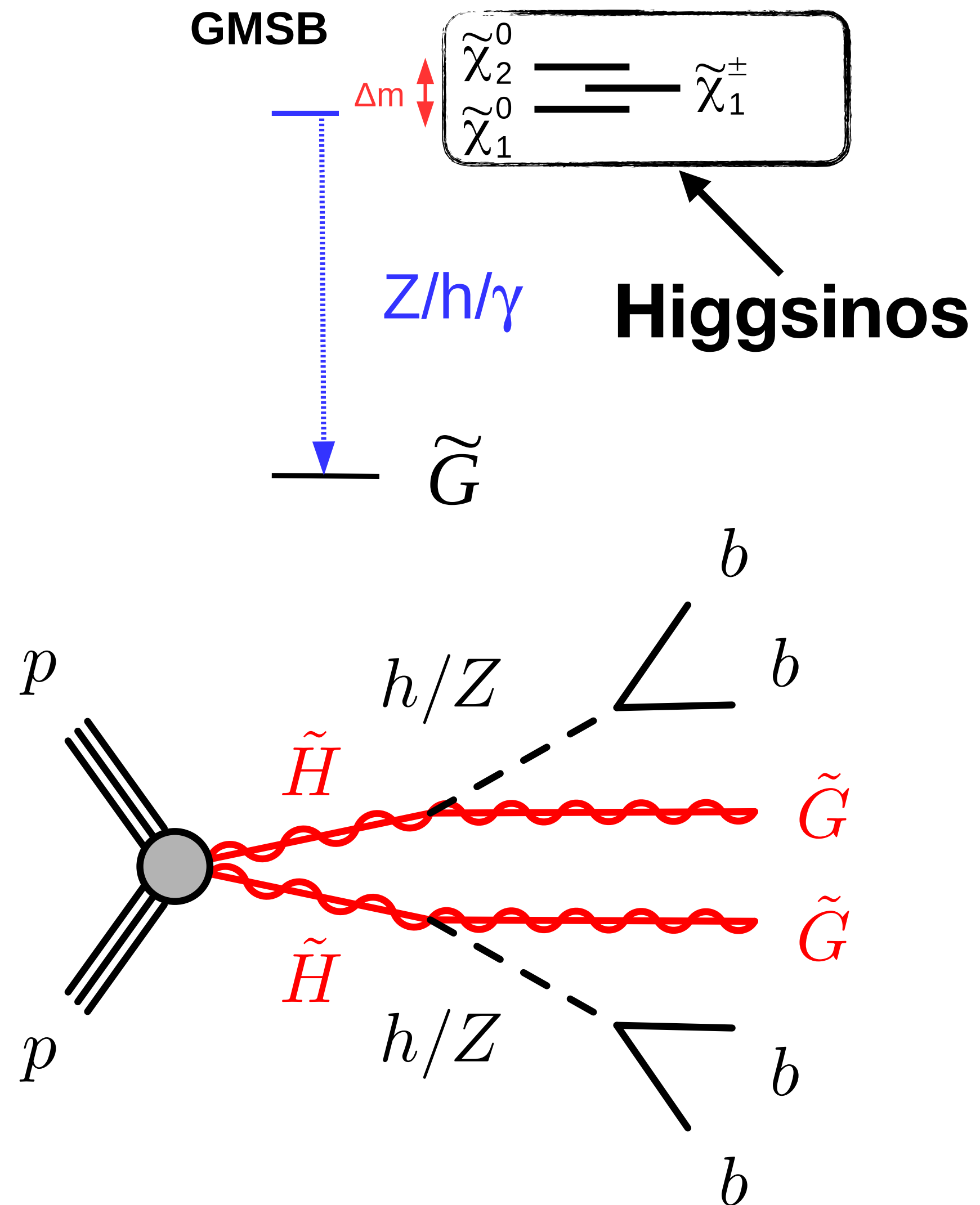
# Search Highlights – GMSB Higgsino



- Special case : GMSB Higgsino:
- Gravitino is the LSP
- Enhanced production of  $\tilde{\chi}_1^0 \tilde{\chi}_1^0 + X_{\text{soft}}$ 
  - Picks up all contributions from  $\tilde{\chi}_2^0 \tilde{\chi}_1^\pm$  etc....
- Collectively referred to as higgsinos.

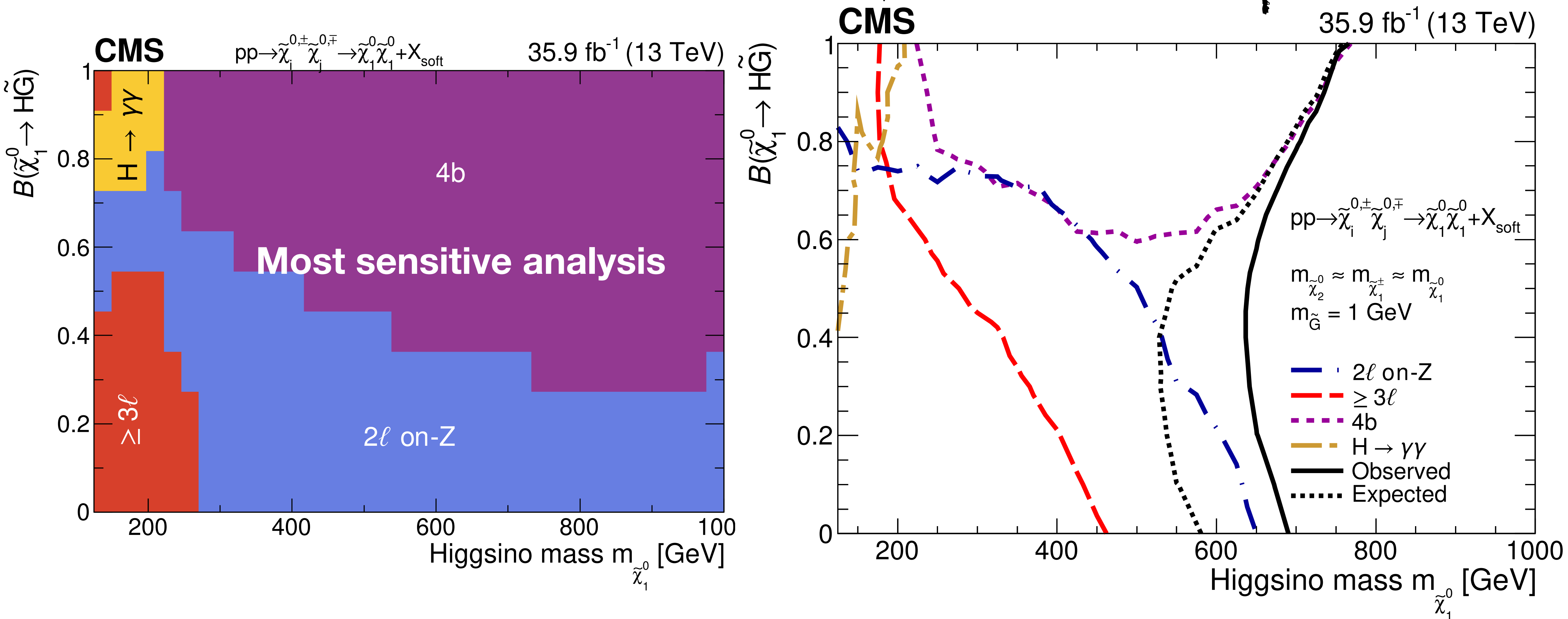


# Search Highlights – GMSB Higgsino



- Dedicated searches using events with  $\geq 3$   $b$  jets
- ATLAS-CONF-2017-081 CMS:SUS-16-044
- Large branching fraction of  $H \rightarrow b\bar{b}$

# Combination to cover full model space

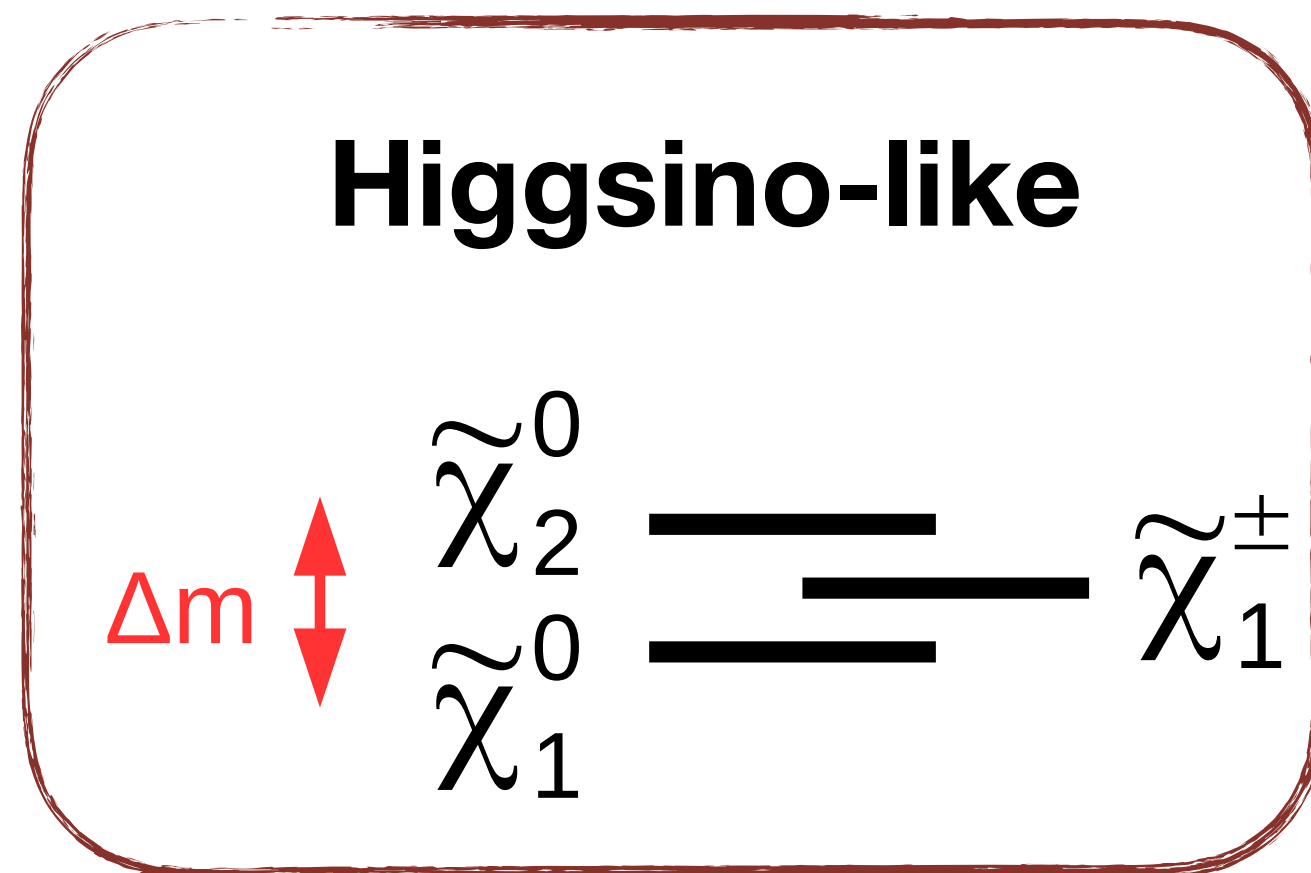


- Combined sensitivity : no strong dependence on the BR of Higgsino → H + Gravitino.



# Compressed Higgsino

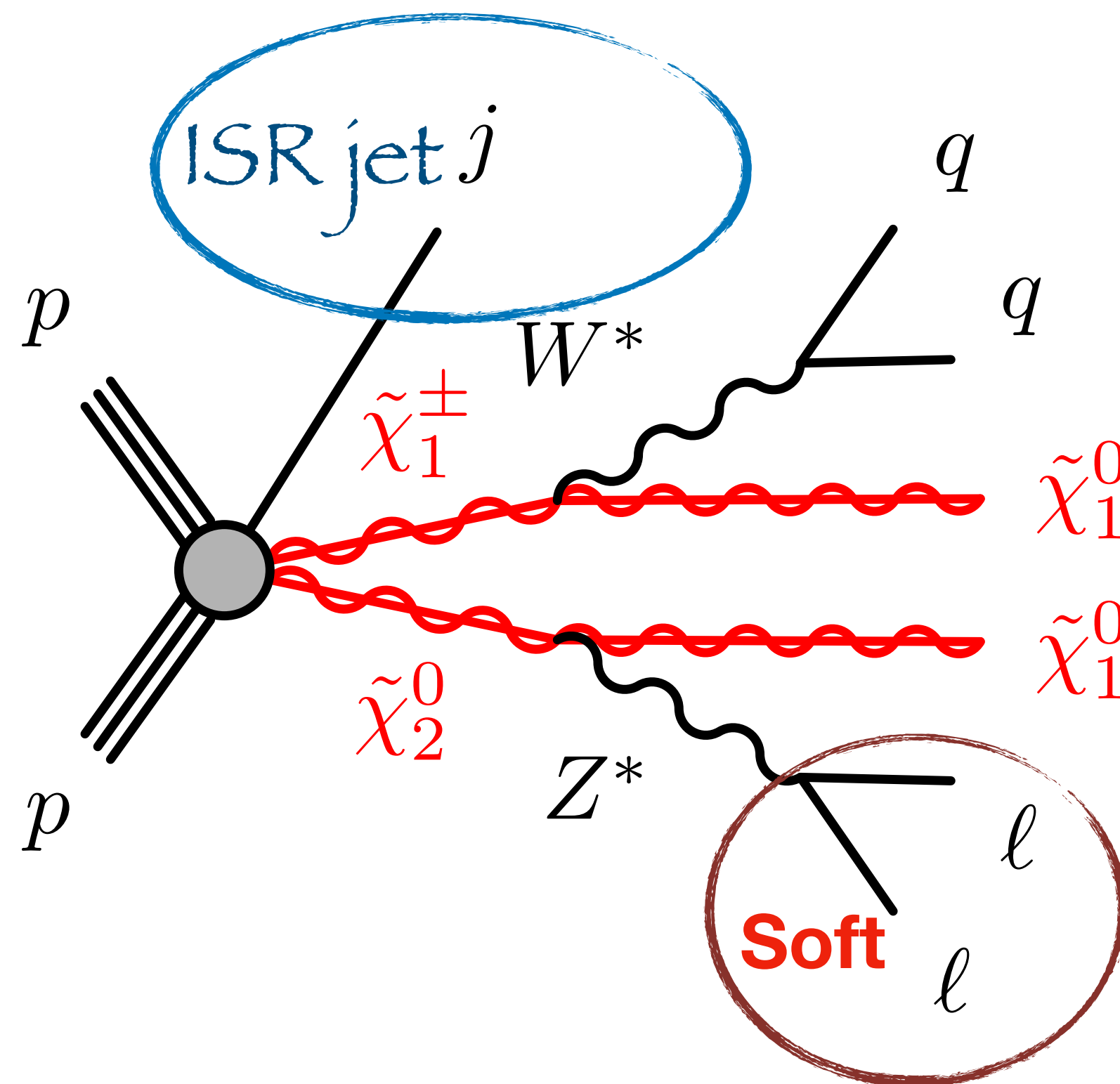
$\Delta m \sim$   
hundreds of MeV  
to  
tens of GeV



- Higgsino-like spectrum:
- Lower cross section
- Challenging signatures:
  - $\Delta m \sim$  tens of GeV : Soft decay products
  - $\Delta m \sim$  hundreds of MeV : Long-lived signatures.

# Search Highlights – compressed Higgsino with soft leptons

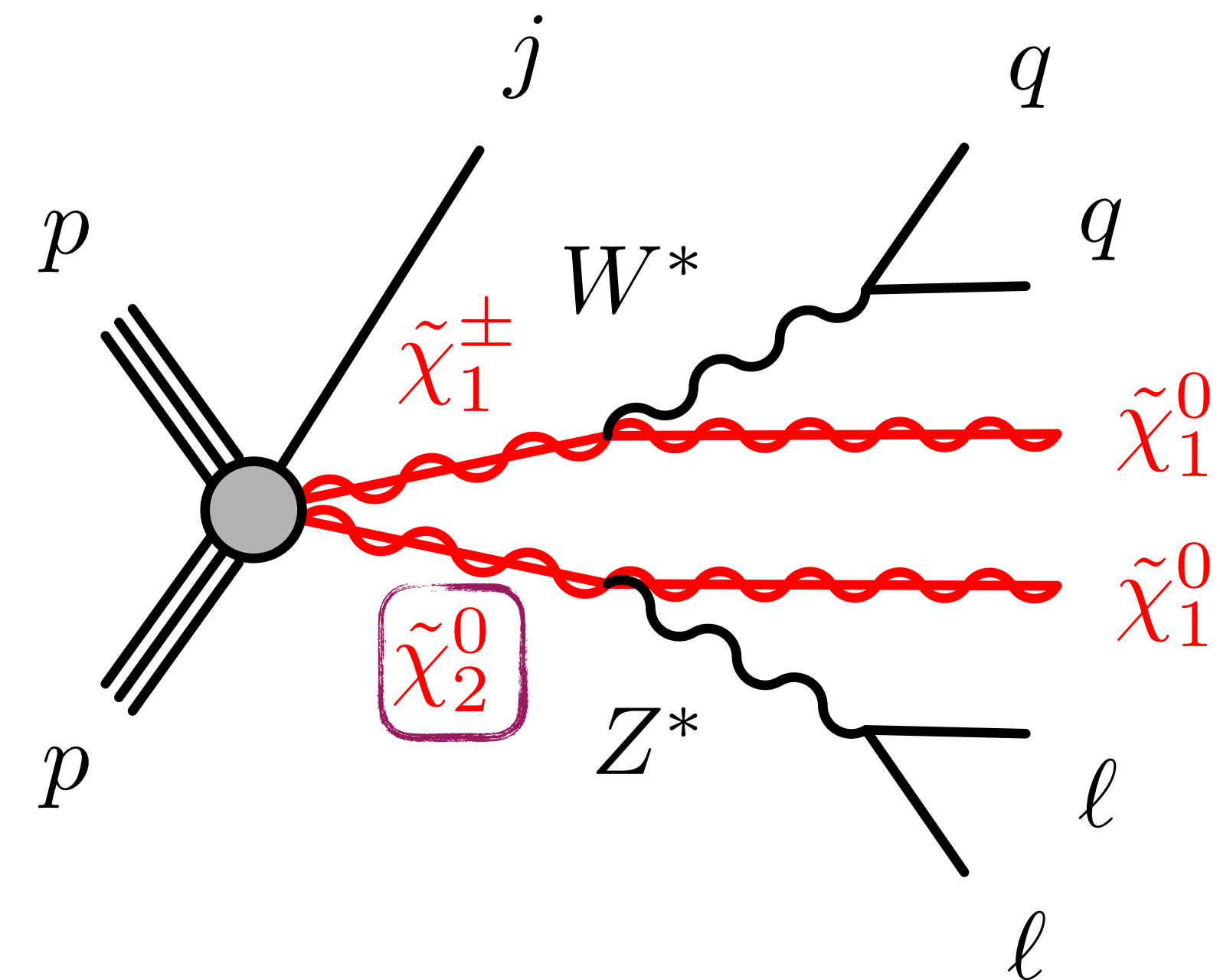
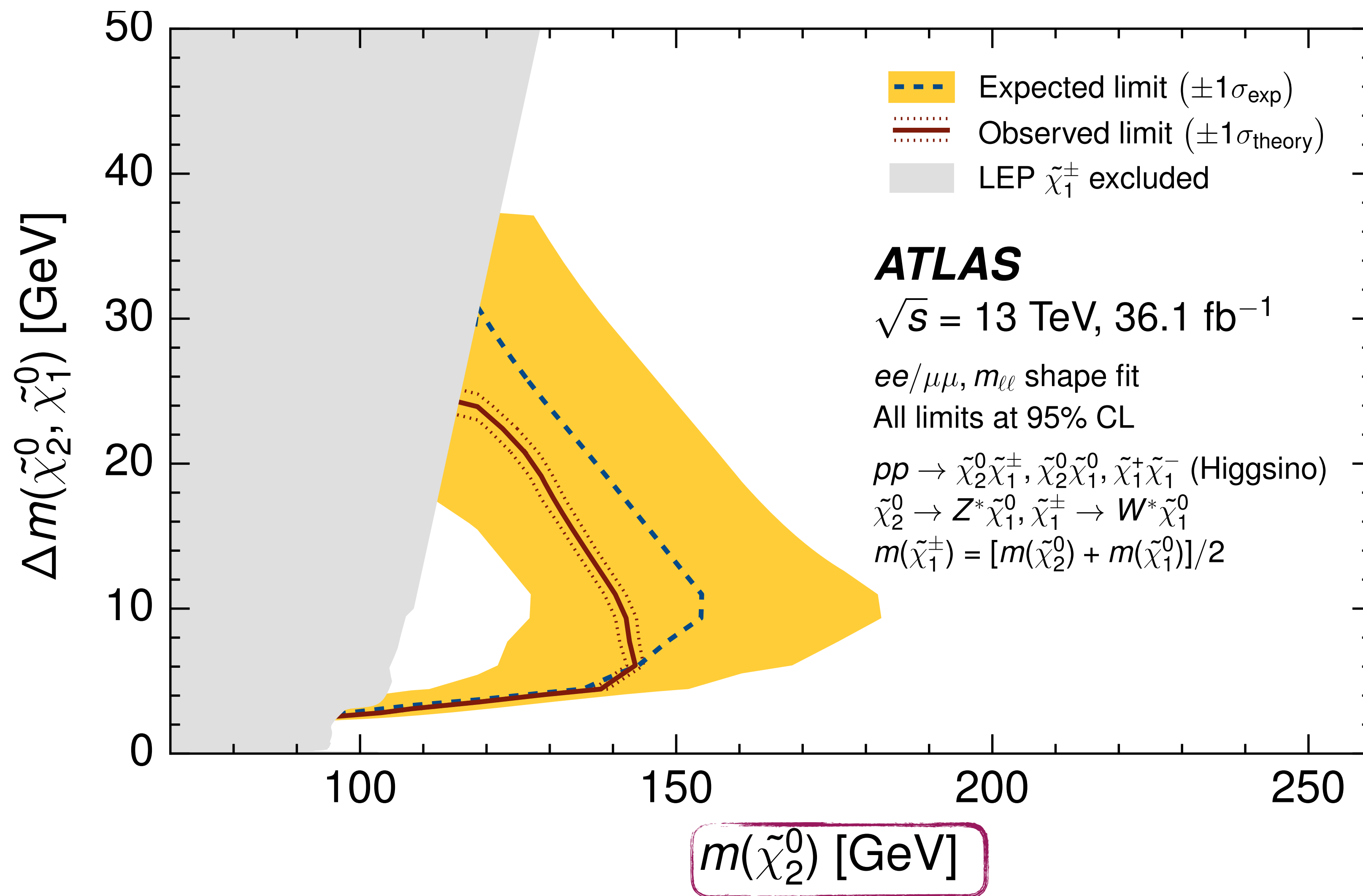
## Higgsino-like



- Dedicated searches with similar search strategy :  
ATLAS: SUSY-2016-25 CMS: SUS-16-048,
- Soft leptons pt: 4-5 GeV, hard to trigger
- ISR jet to get the system boosted  $\rightarrow$  large missing transverse energy to be triggered:
- MET > 200 GeV. (ATLAS pure MET trigger)
- MET > 125 GeV (CMS MET + soft lepton trigger)



# soft leptons – interpretations

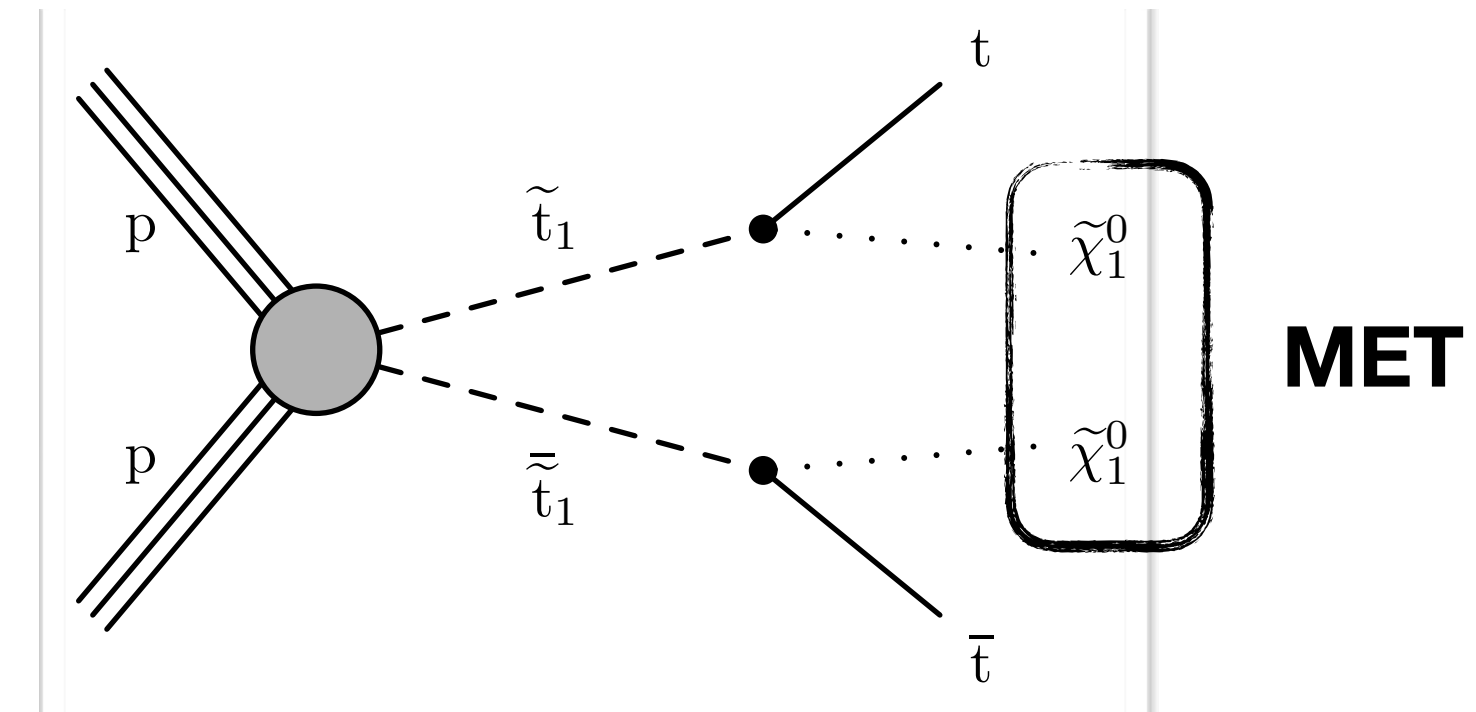
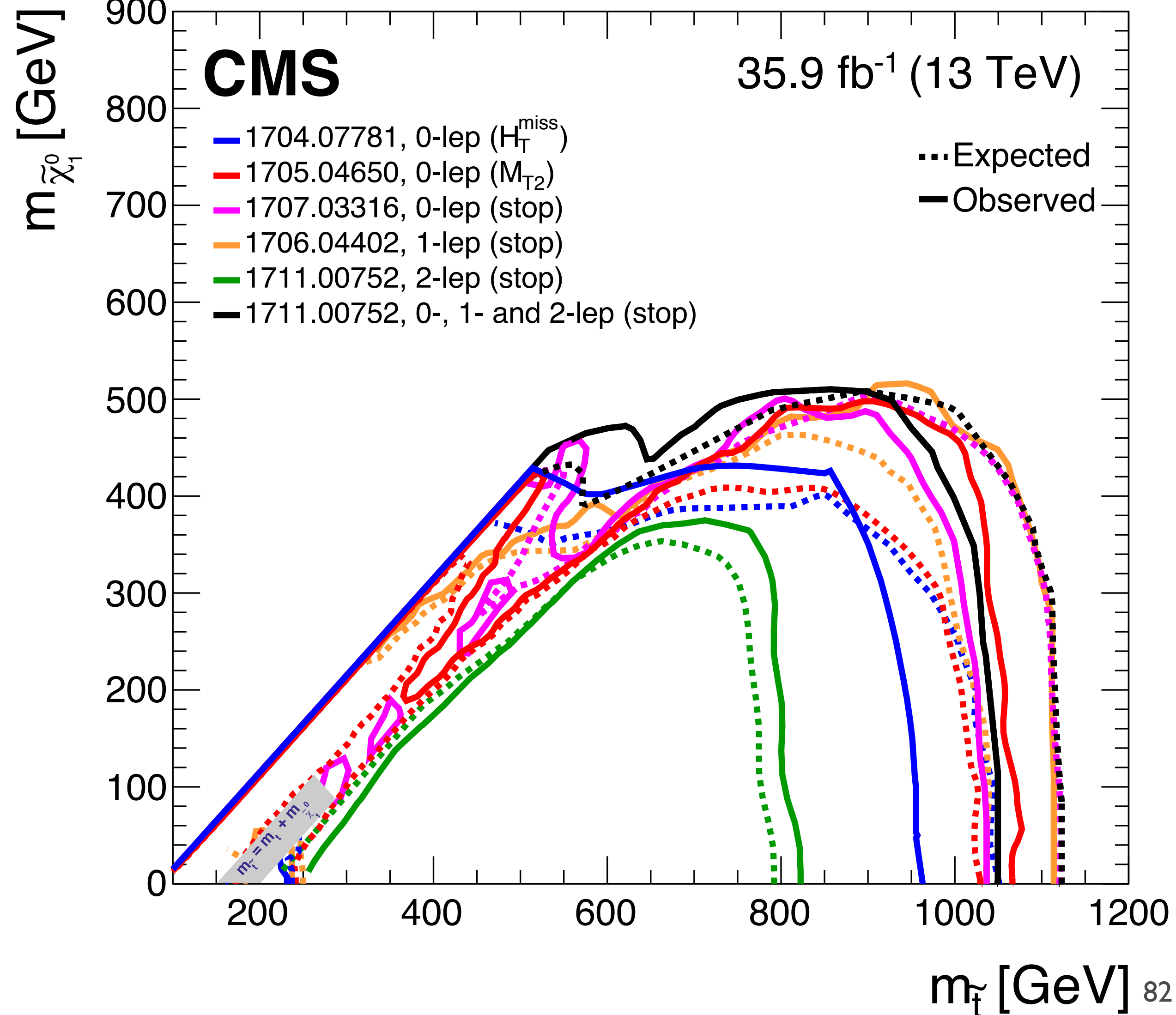


Comparable exclusion by CMS  
see backup

# We actively searched for stop

$$pp \rightarrow \tilde{t}\tilde{t}^*, \tilde{t} \rightarrow t \tilde{\chi}_1^0$$

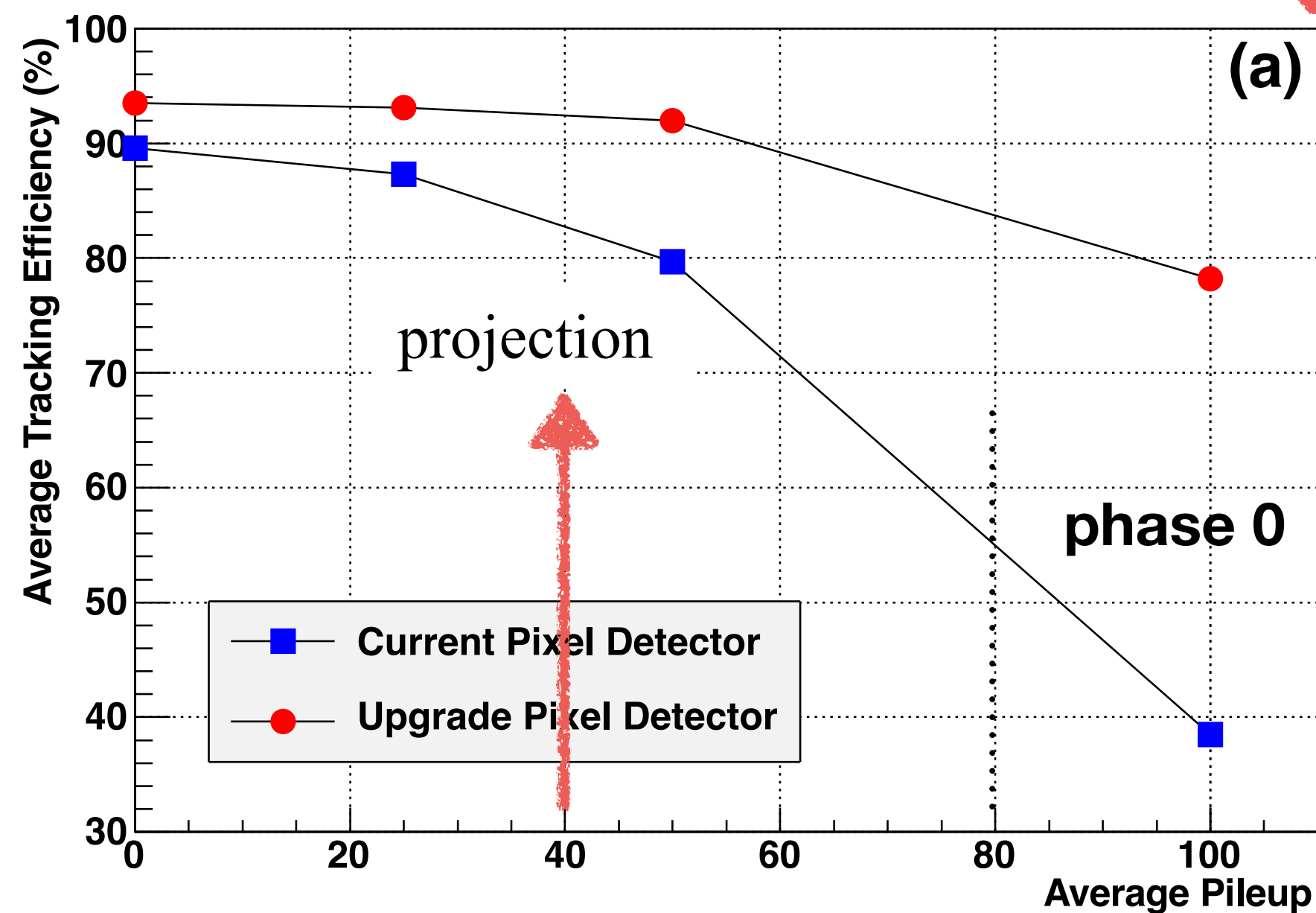
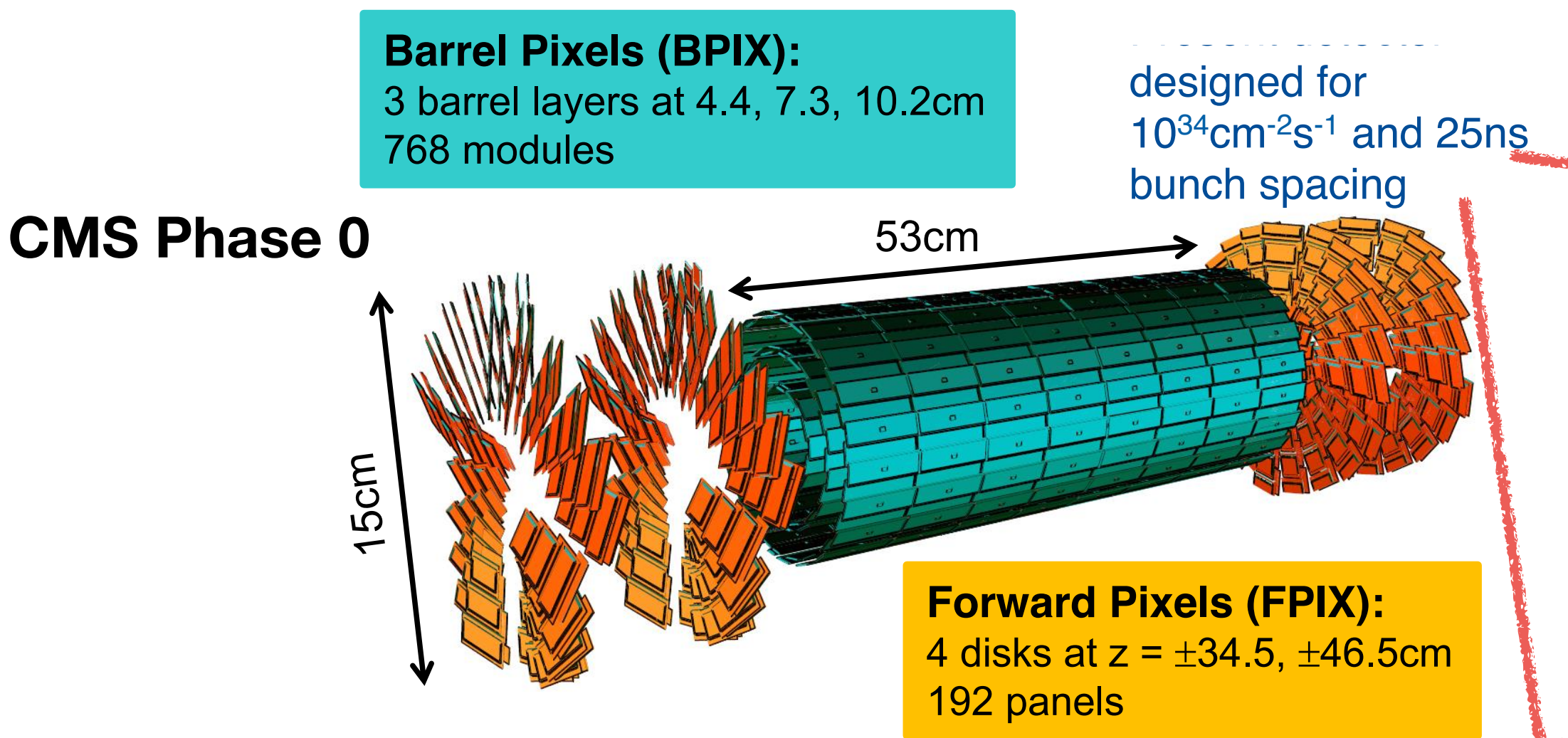
July 2018



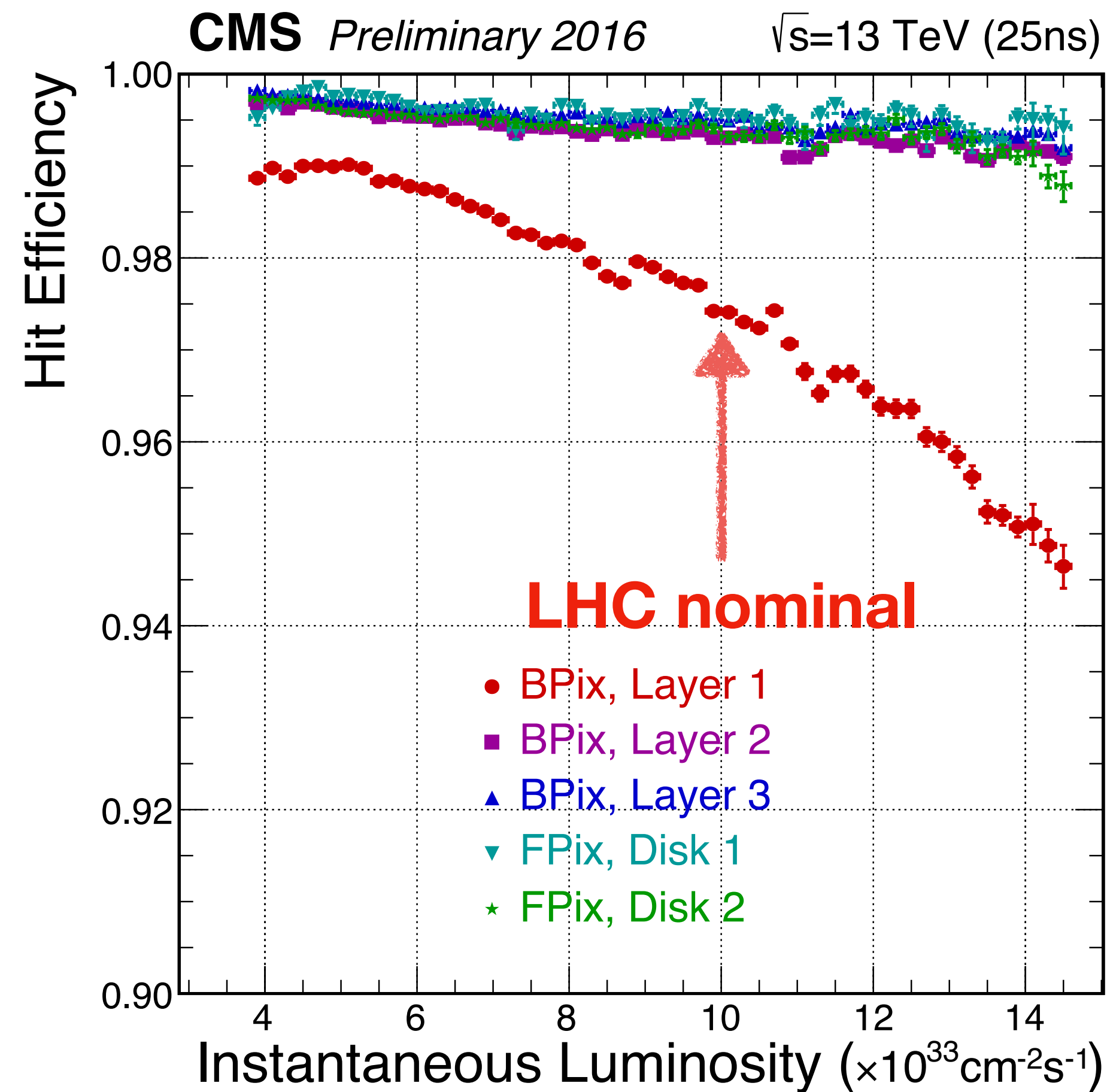
- Many other decay topologies explored
  - Cascaded decay via other SUSY particles, multibody decay
- > Mass reach varies drastically



# Impact on Phase 0 pixel detector



End of 2016



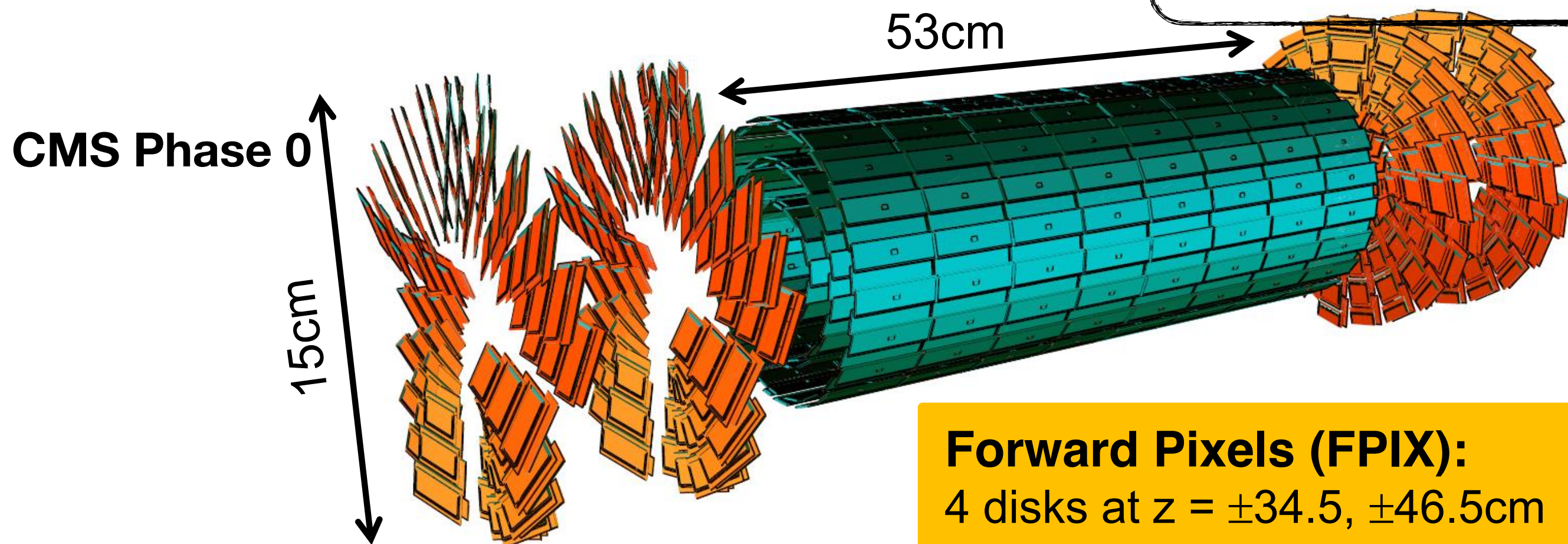
- Dynamic inefficiencies/ dead time caused by limited readout bandwidth.



## Barrel Pixels (BPIX):

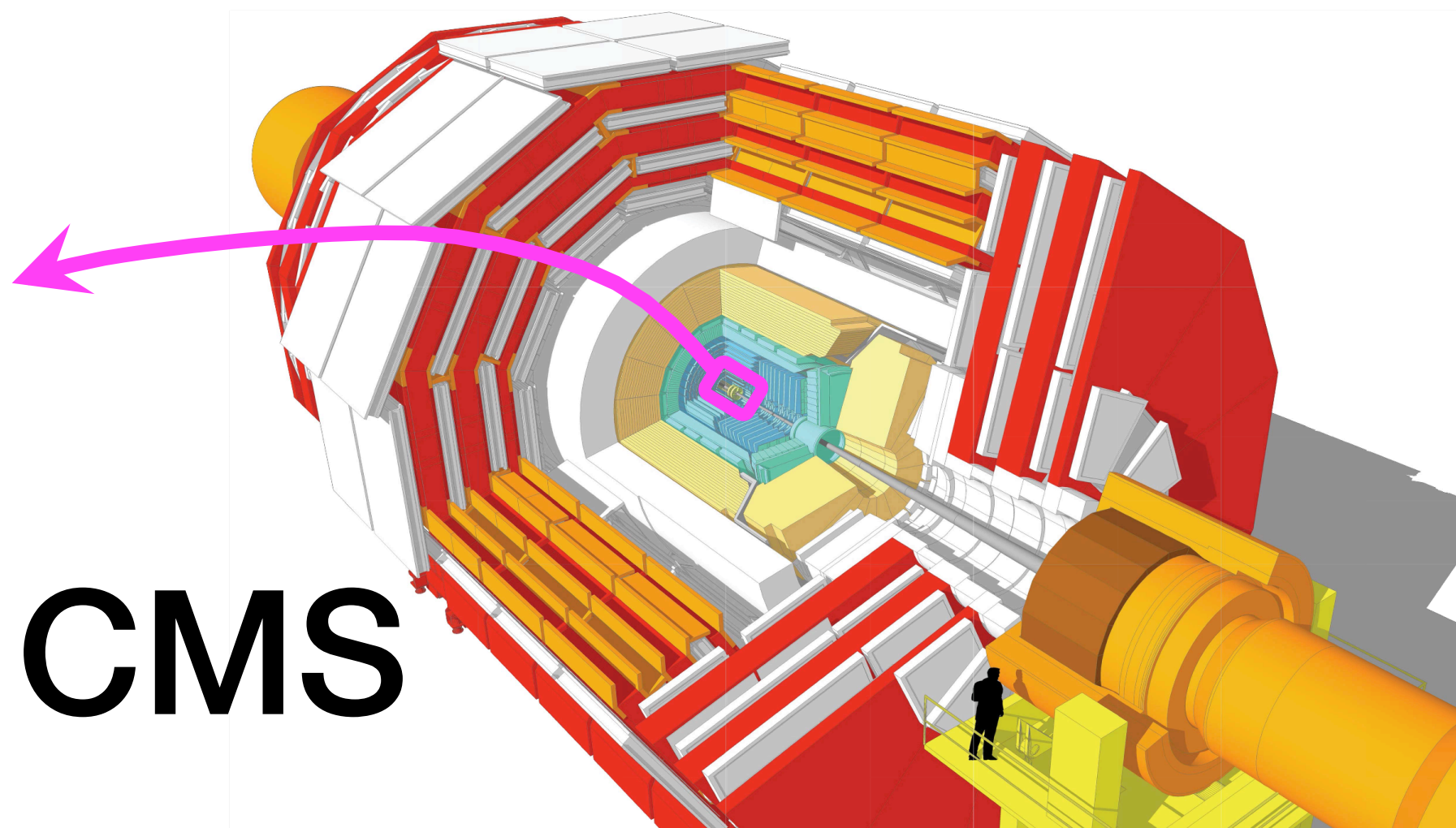
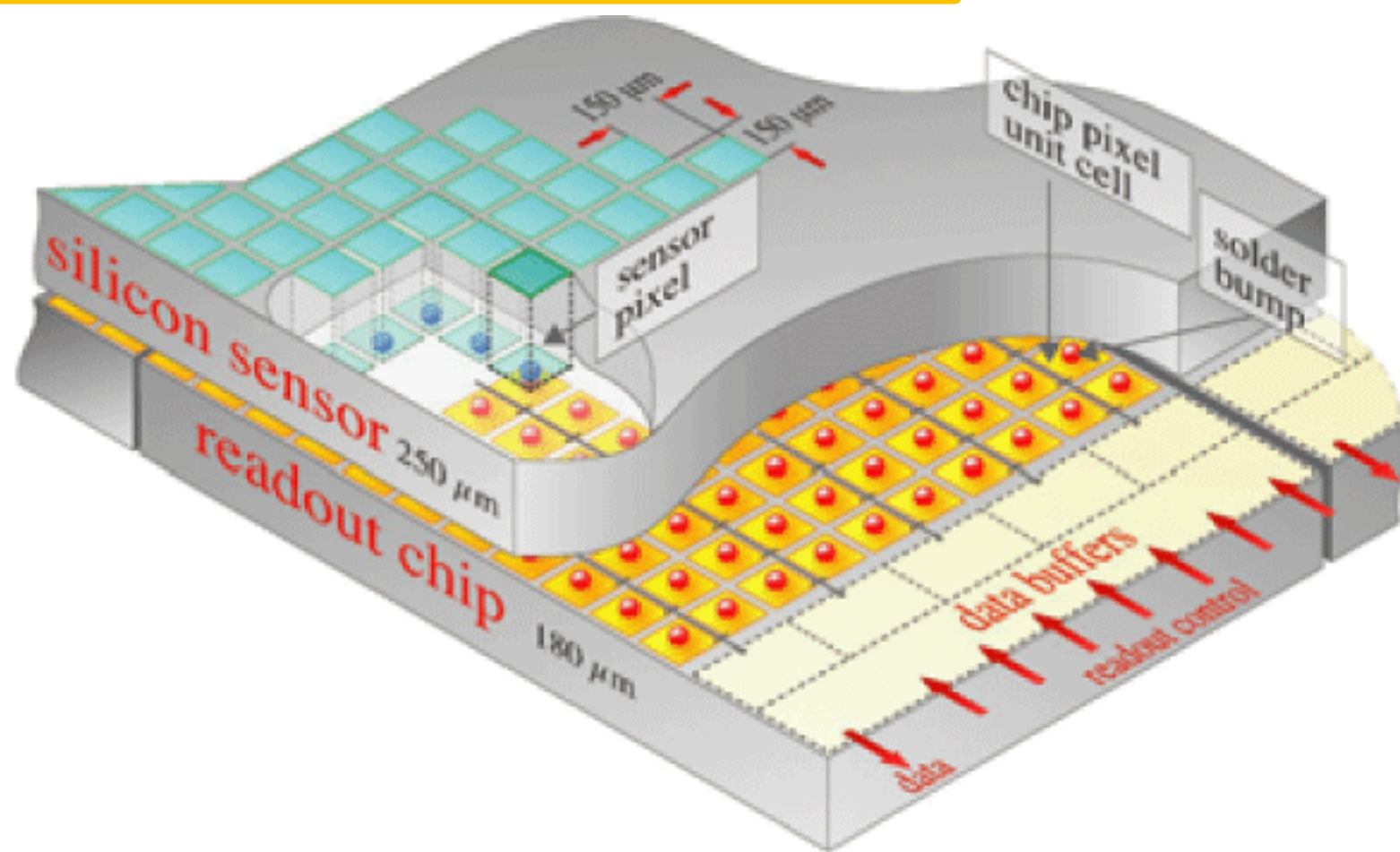
3 barrel layers at 4.4, 7.3, 10.2cm  
768 modules

designed for  
 $10^{34}\text{cm}^{-2}\text{s}^{-1}$  and 25ns  
bunch spacing



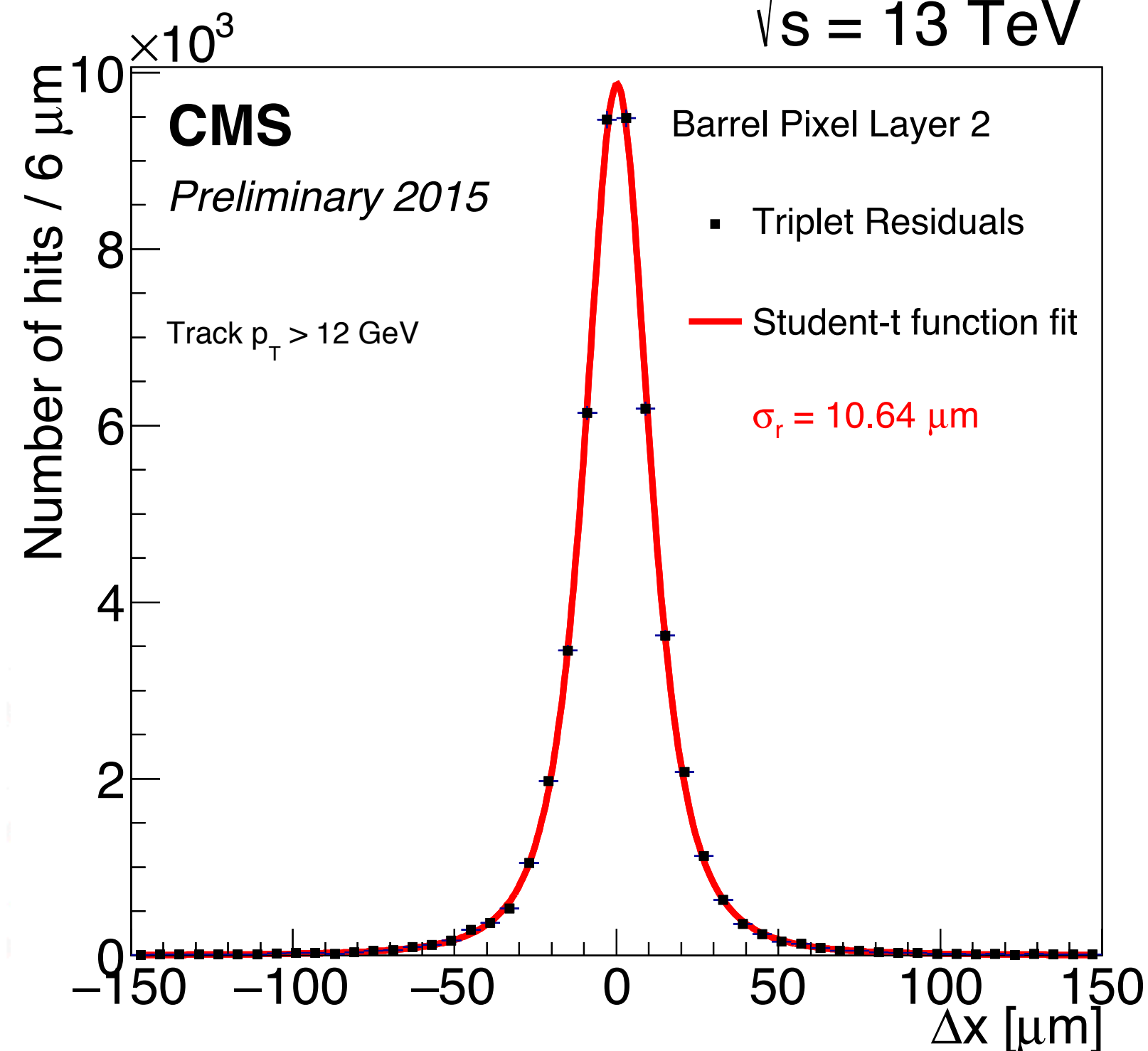
## Forward Pixels (FPIX):

4 disks at  $z = \pm 34.5, \pm 46.5\text{cm}$   
192 panels



CMS

$\sqrt{s} = 13\text{ TeV}$



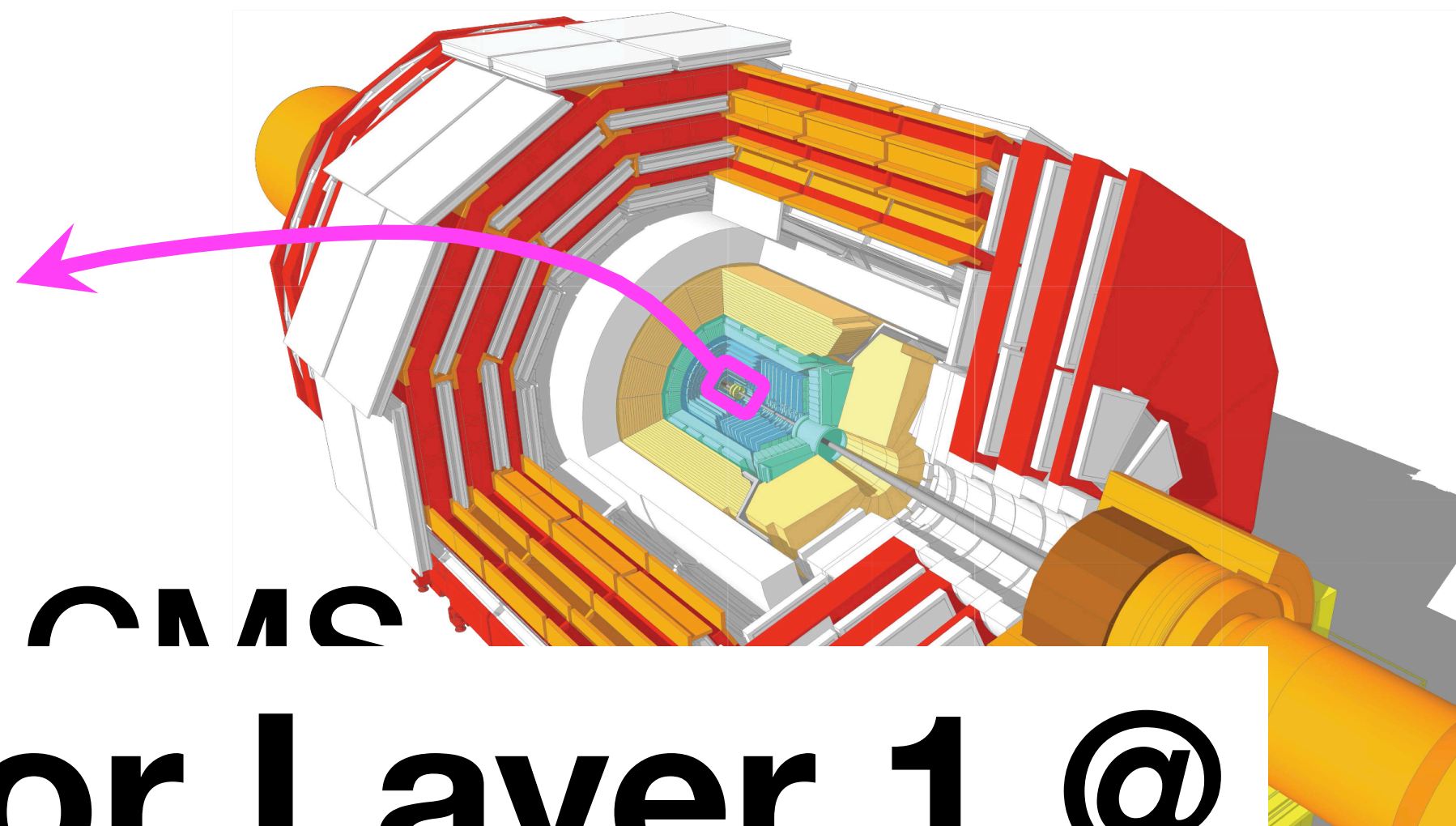
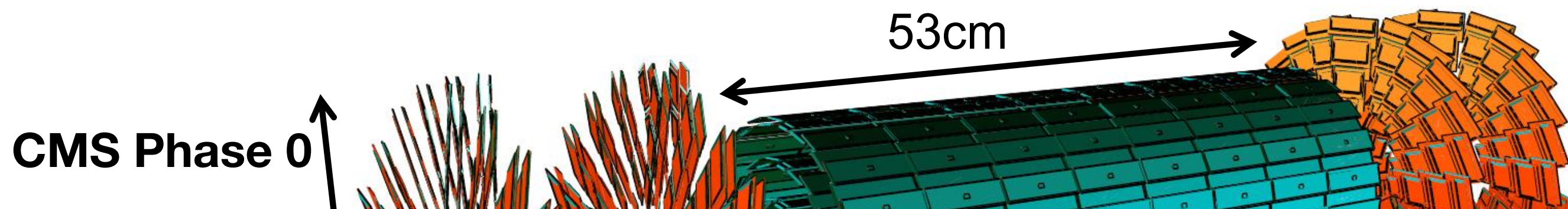
- Most precise, 3-D measurement of hits on tracks.
- Crucial in tracking and vertex reconstruction



## Barrel Pixels (BPIX):

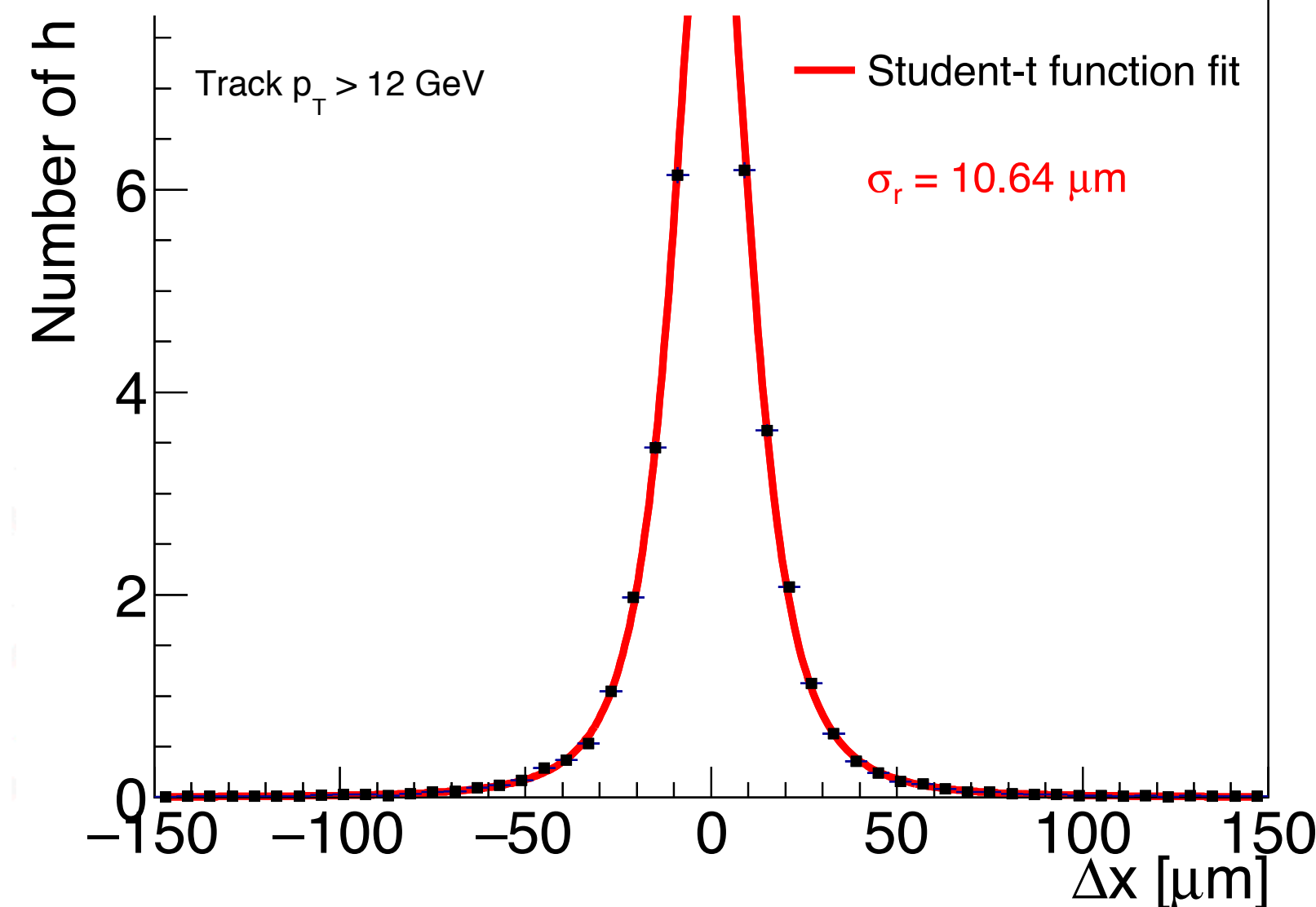
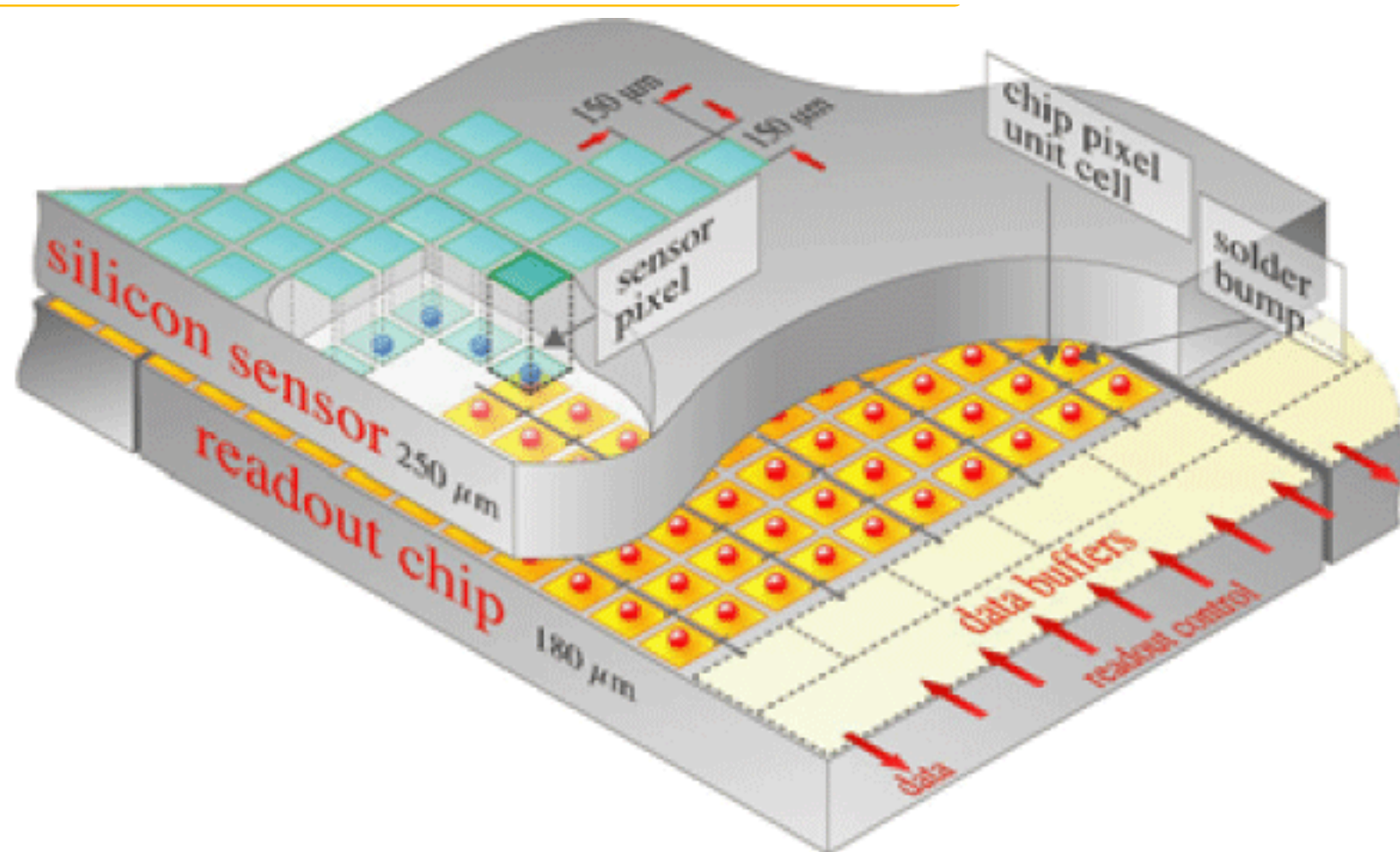
3 barrel layers at 4.4, 7.3, 10.2cm  
768 modules

designed for  
 $10^{34}\text{cm}^{-2}\text{s}^{-1}$  and 25ns  
bunch spacing



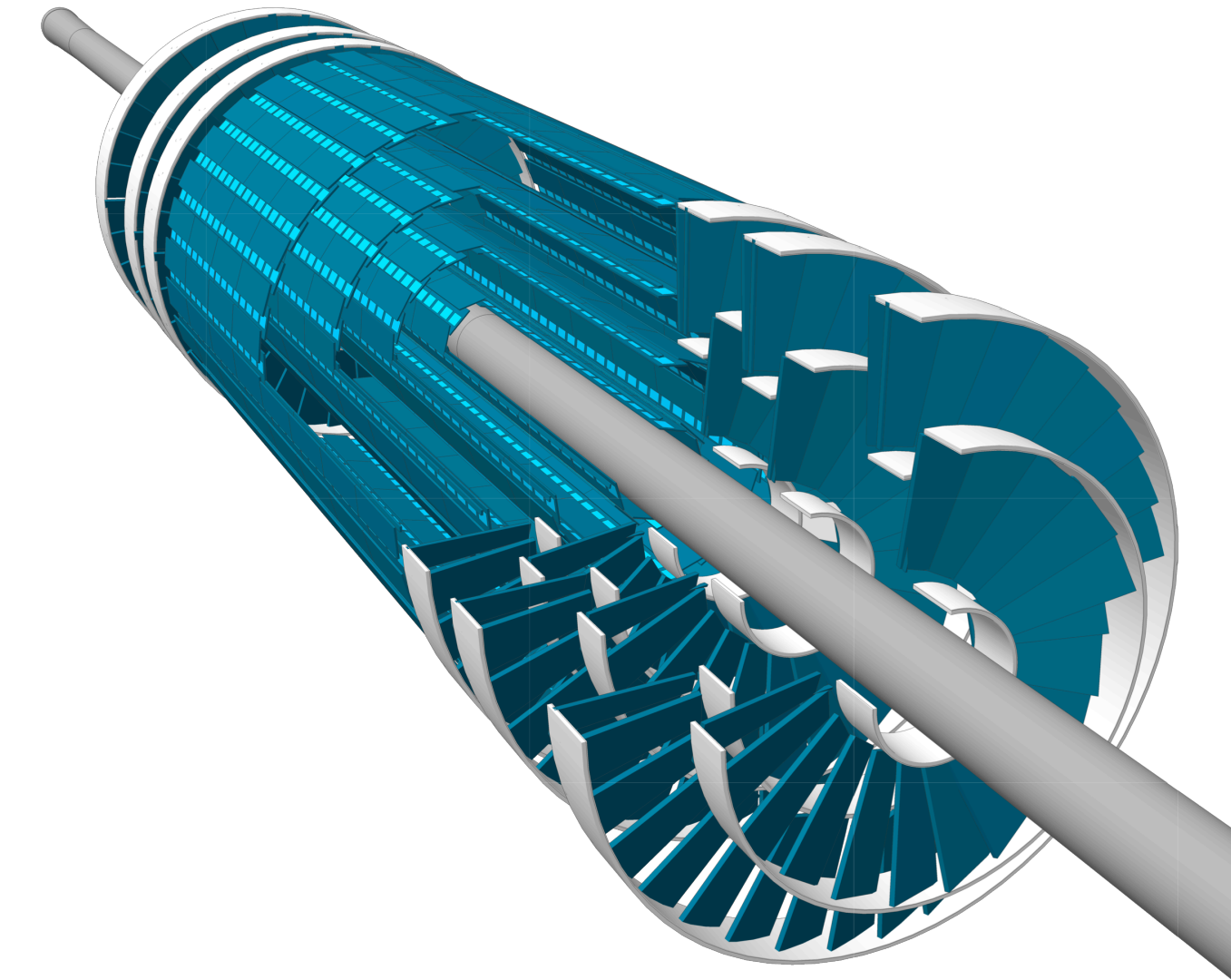
15cm **5 % efficiency loss for Layer 1 @**  
**1.5\*LHC nominal**

- Most precise, 3-D measurement of hits on tracks.
- Crucial in tracking and vertex reconstruction

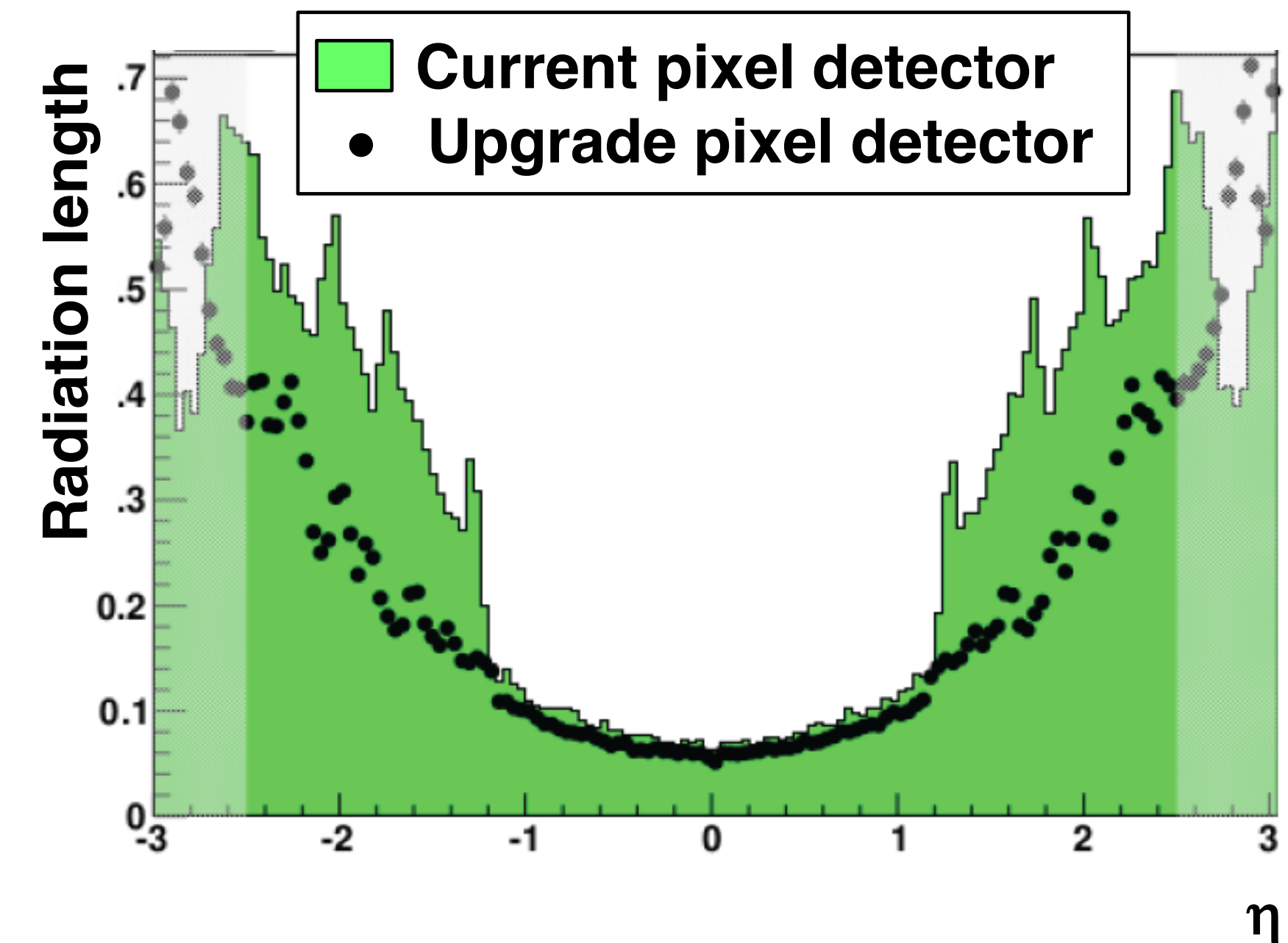




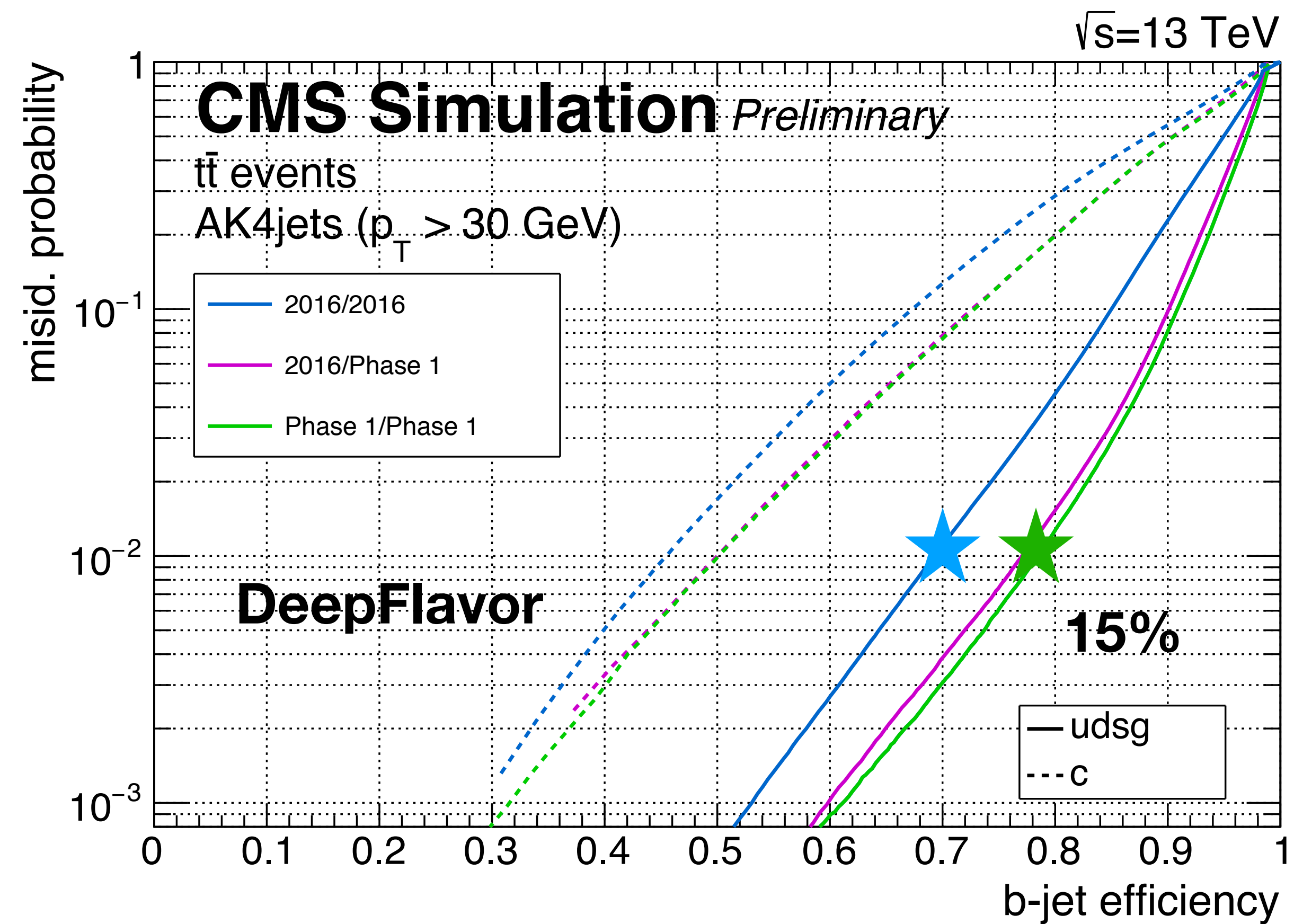
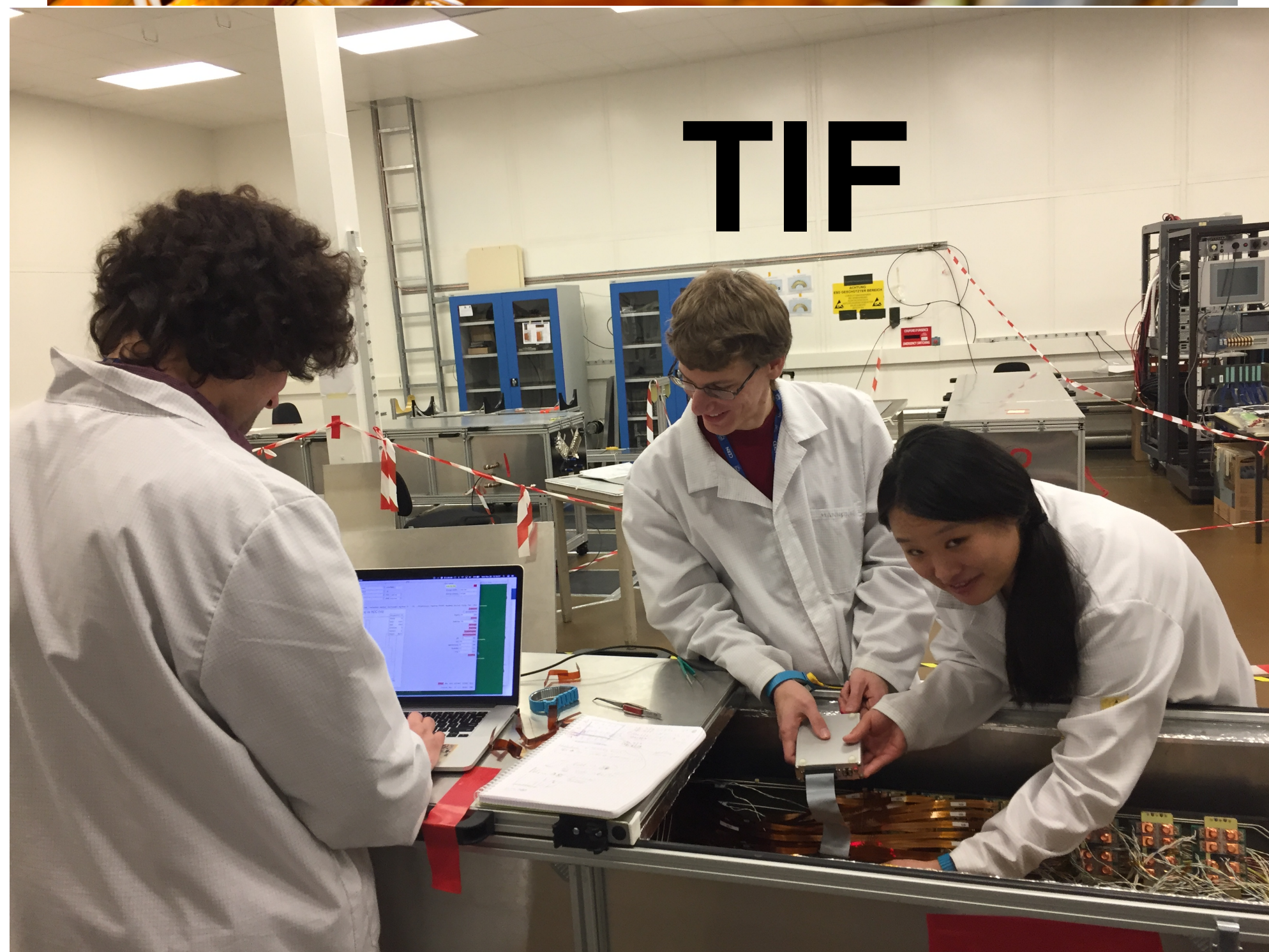
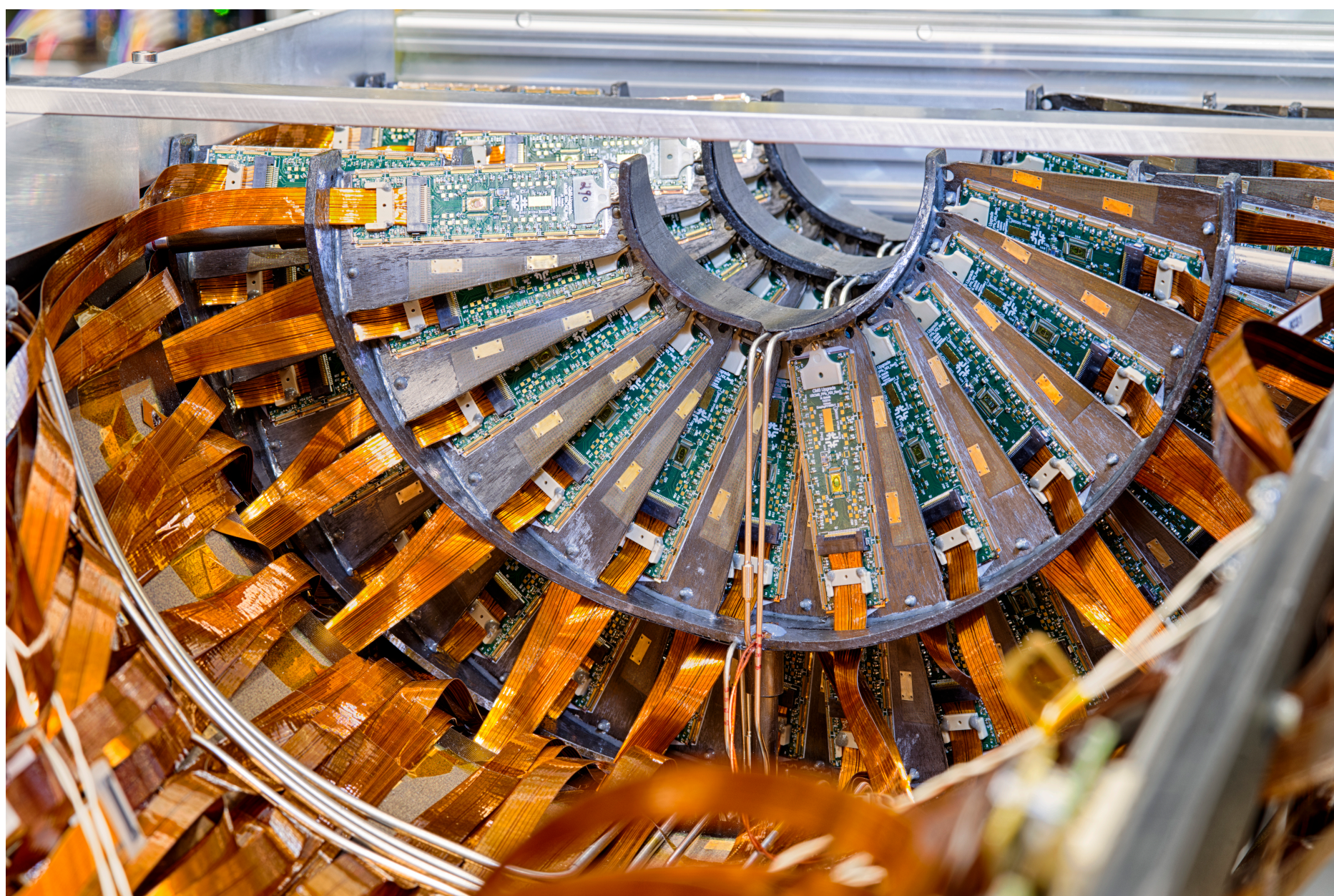
- CMS planned an upgraded phase 1 pixel detector and requested an extended winter stop during 2016/2017 in Run 2 for installation.
- Designed to cope with more challenging LHC environment in Run 2 & Run 3 ( $300 \text{ fb}^{-1}$ ) until HL-LHC upgrade (2023).
  - Module designed to reduce dynamic inefficiency
    - Digital readout chip (ROC). Faster readout.
  - **Geometry design: ensure tracking and vertex quality**
    - Added layers, **channels doubled**
  - **Services: reduce material budget**
    - CO<sub>2</sub> cooling, DCDC powering, Service electronics out of tracker volume.



Material budget







- Forward phase 1 pixel detector was constructed in Fermilab and transported to CERN for testing the end of September 2016.
- Installed in May 2017.



Emergent engineering field, efficient implementation of NN architecture

**Parallelization:** performing operations simultaneously (see next page)

## **Compression/Pruning:**

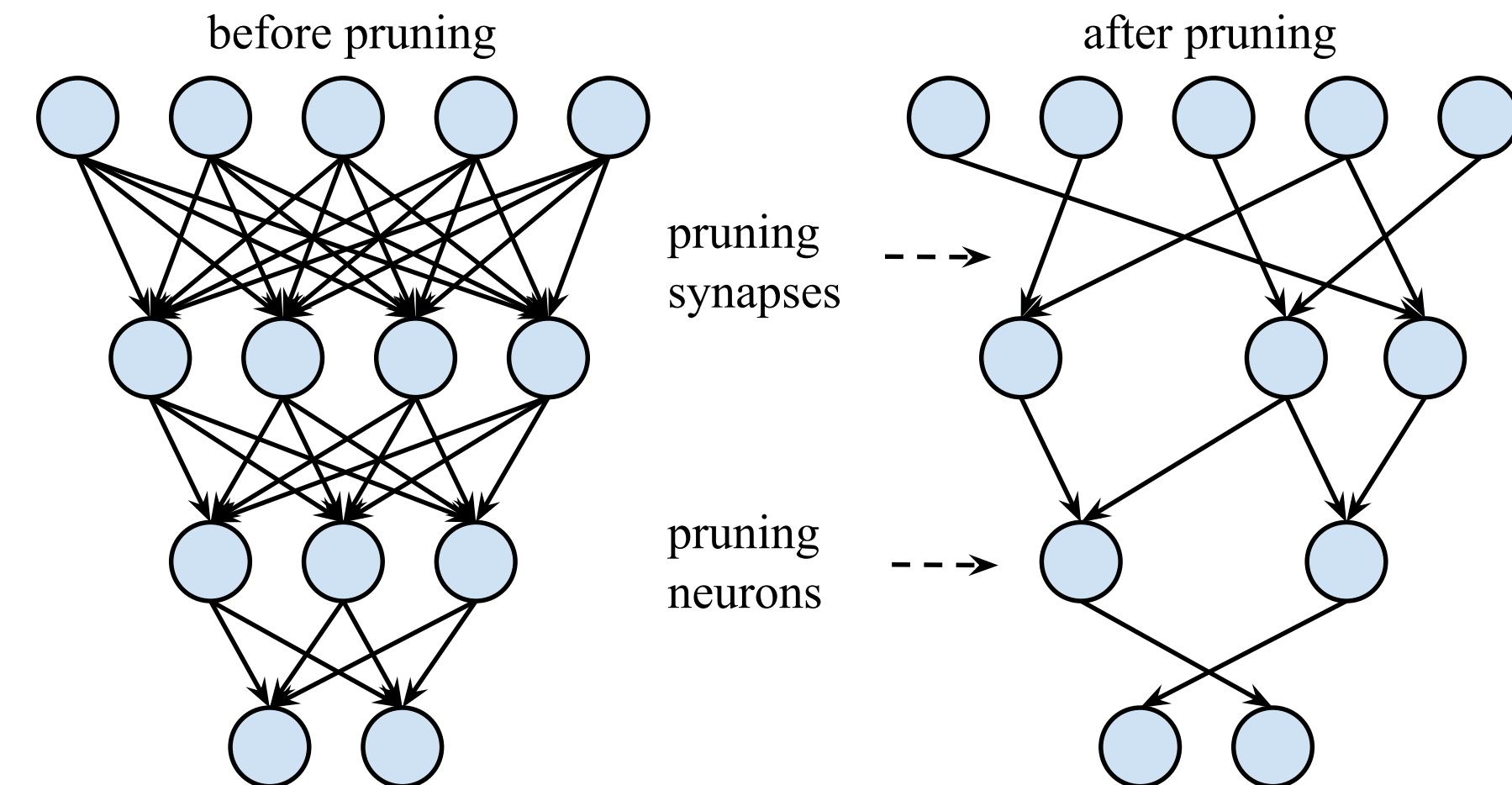
maintain the same performance while removing low weight synapses and neurons (many schemes)

## **Quantization/Approximate math:**

32-bit floating point math is overkill

20-bit, 18-bit, ...? fixed point, integers?

binarized NNs?





$$pp \rightarrow \tilde{t}\tilde{t}^*, \quad \tilde{t} \rightarrow t \tilde{\chi}_1^0$$

*July 2018*

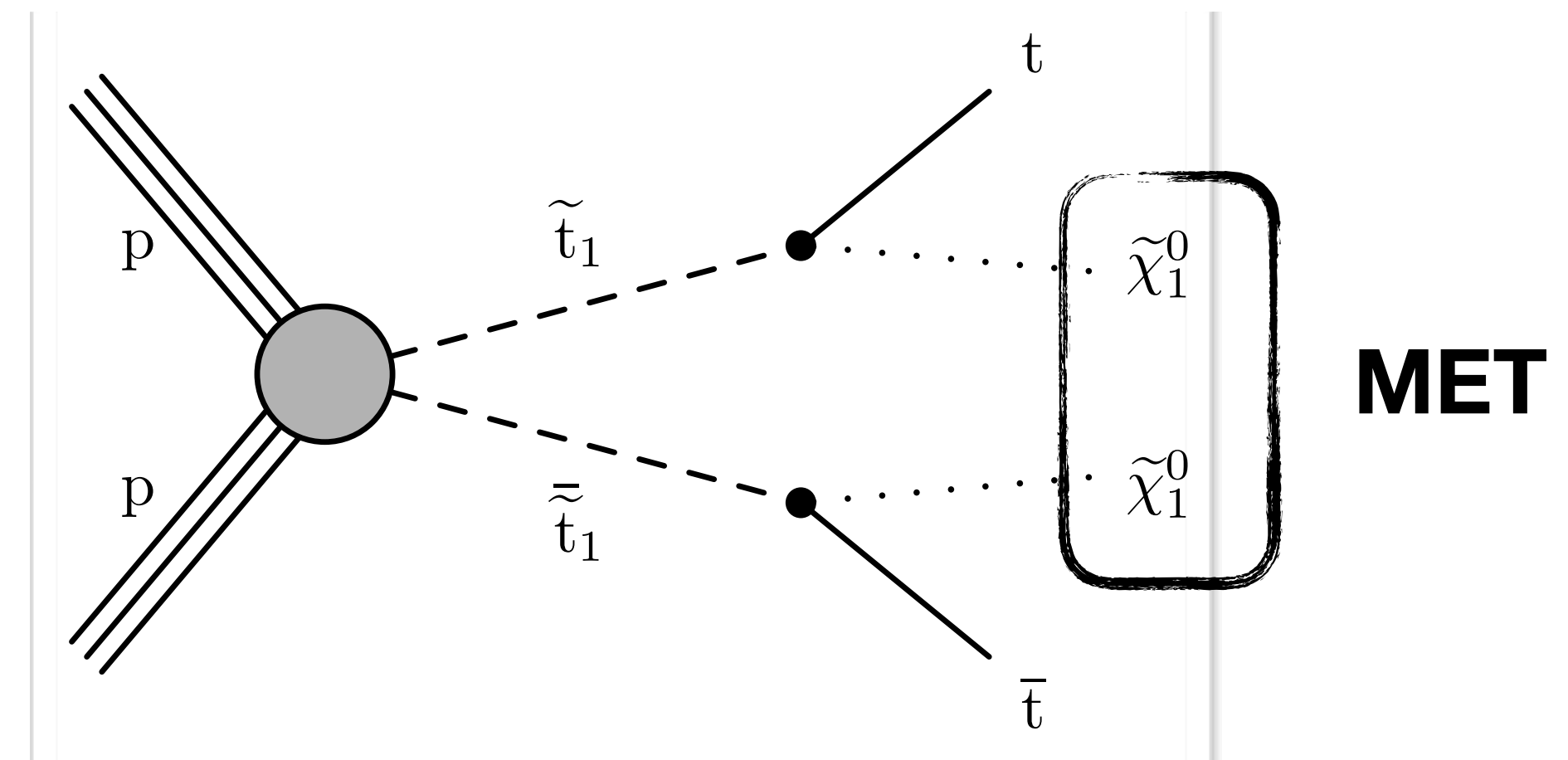


35.9 fb<sup>-1</sup> (13 TeV)

...Expected

— Observed

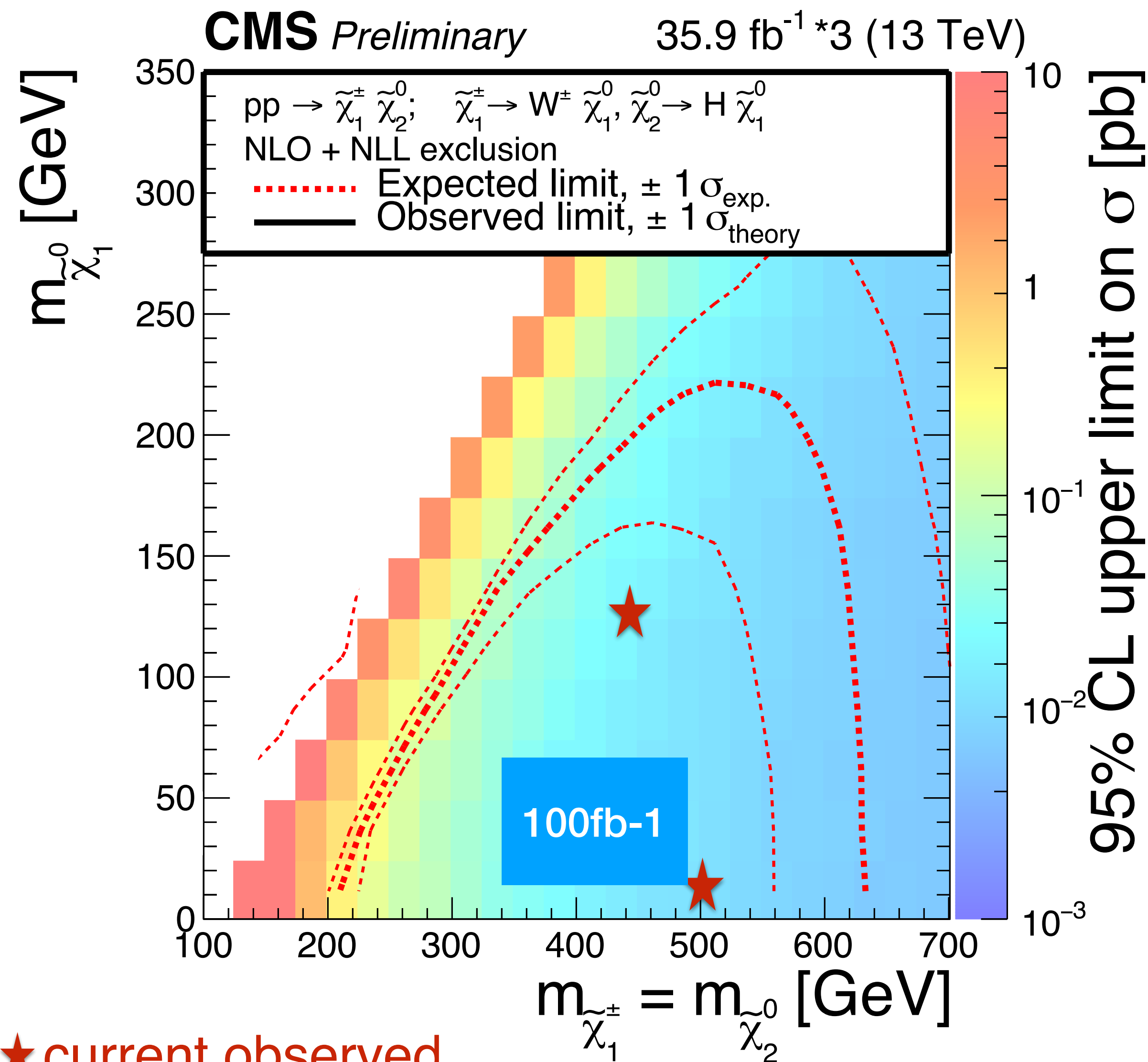
- 1704.07781, 0-lep ( $H_T^{\text{miss}}$ )
- 1705.04650, 0-lep ( $M_{T2}$ )
- 1707.03316, 0-lep (stop)
- 1706.04402, 1-lep (stop)
- 1711.00752, 2-lep (stop)
- 1711.00752, 0-, 1- and 2-lep (stop)



$$\Delta m_H^2 = \text{---} \overset{H}{\text{---}} \text{---} \text{---} \text{---} \text{---} + \text{---} \overset{H}{\text{---}} \text{---} \text{---} \text{---} \text{---}$$

# WH(Lvbb) + MET: full Run 2 outlook

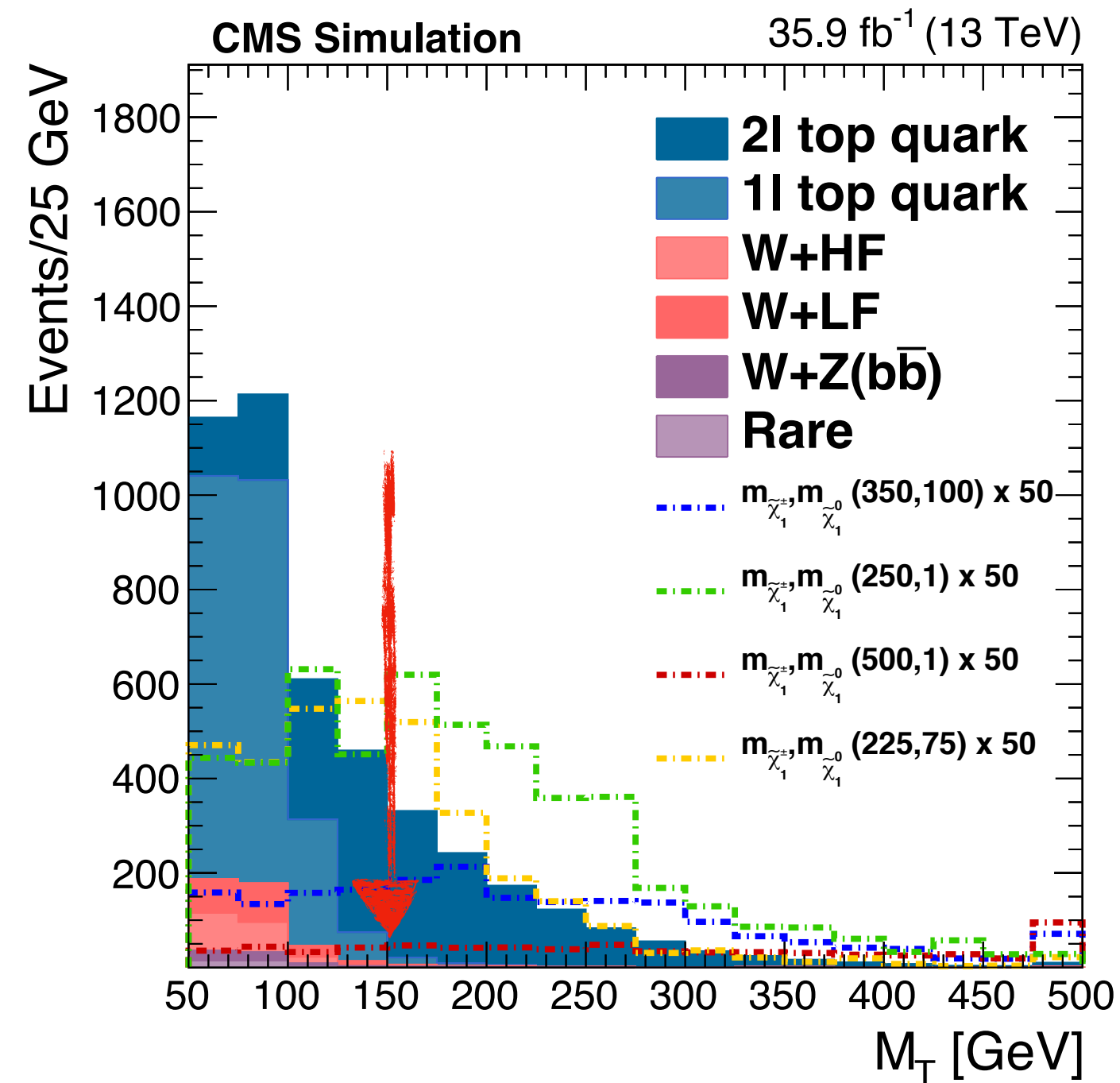
CMS: WH+MET paper



- Can benefit from full-Run 2 dataset
- Approaching regions with boosted objects.

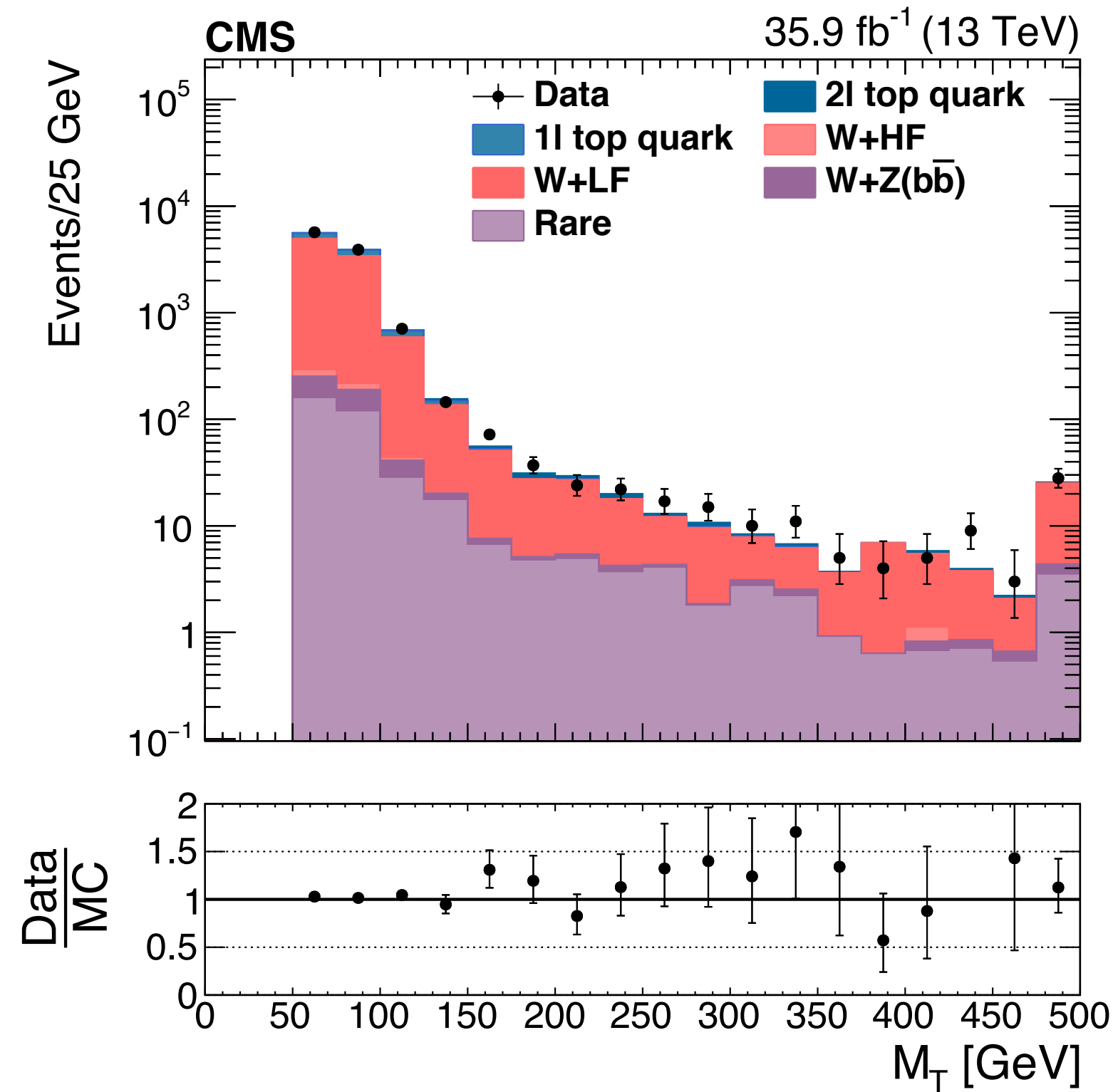
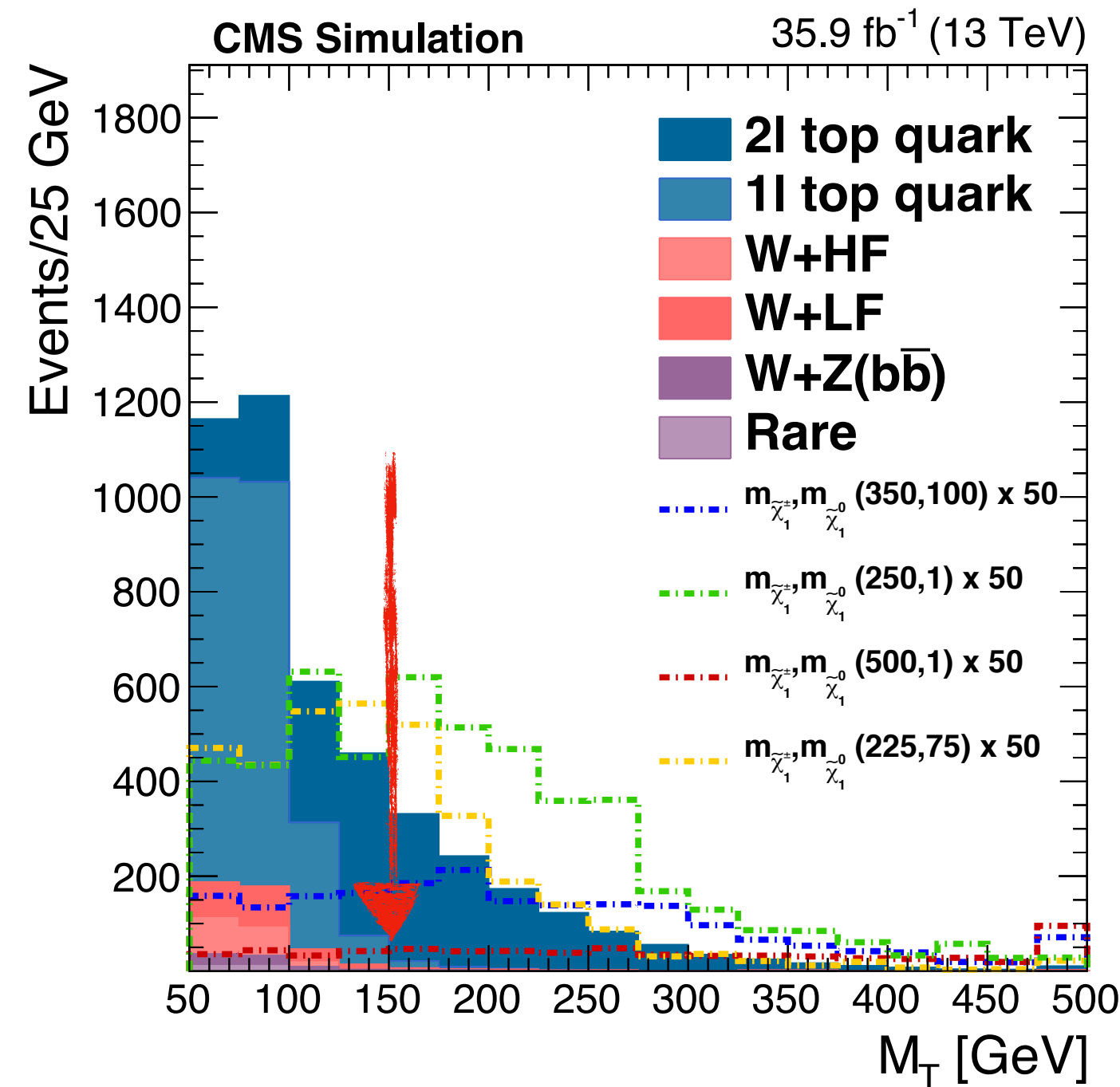


# WH(Lvbb) + MET: analysis strategy



- In addition to large missing transverse energy. Endpoint type of variables used to suppress backgrounds:
  - 1L TTBar has an endpoint in W transverse mass because of top quark mass constraint

# WH(Lvbb) + MET: analysis strategy

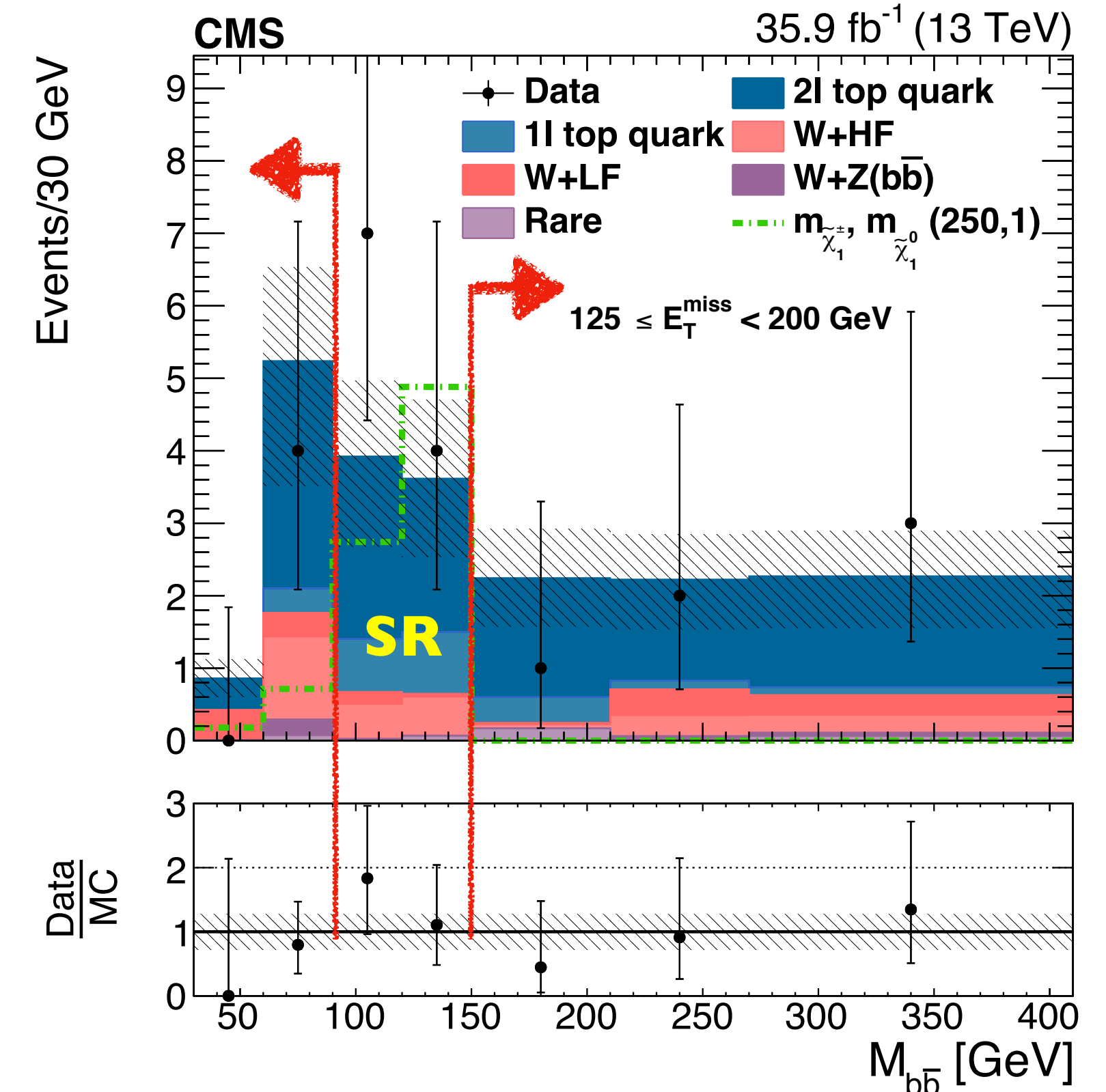
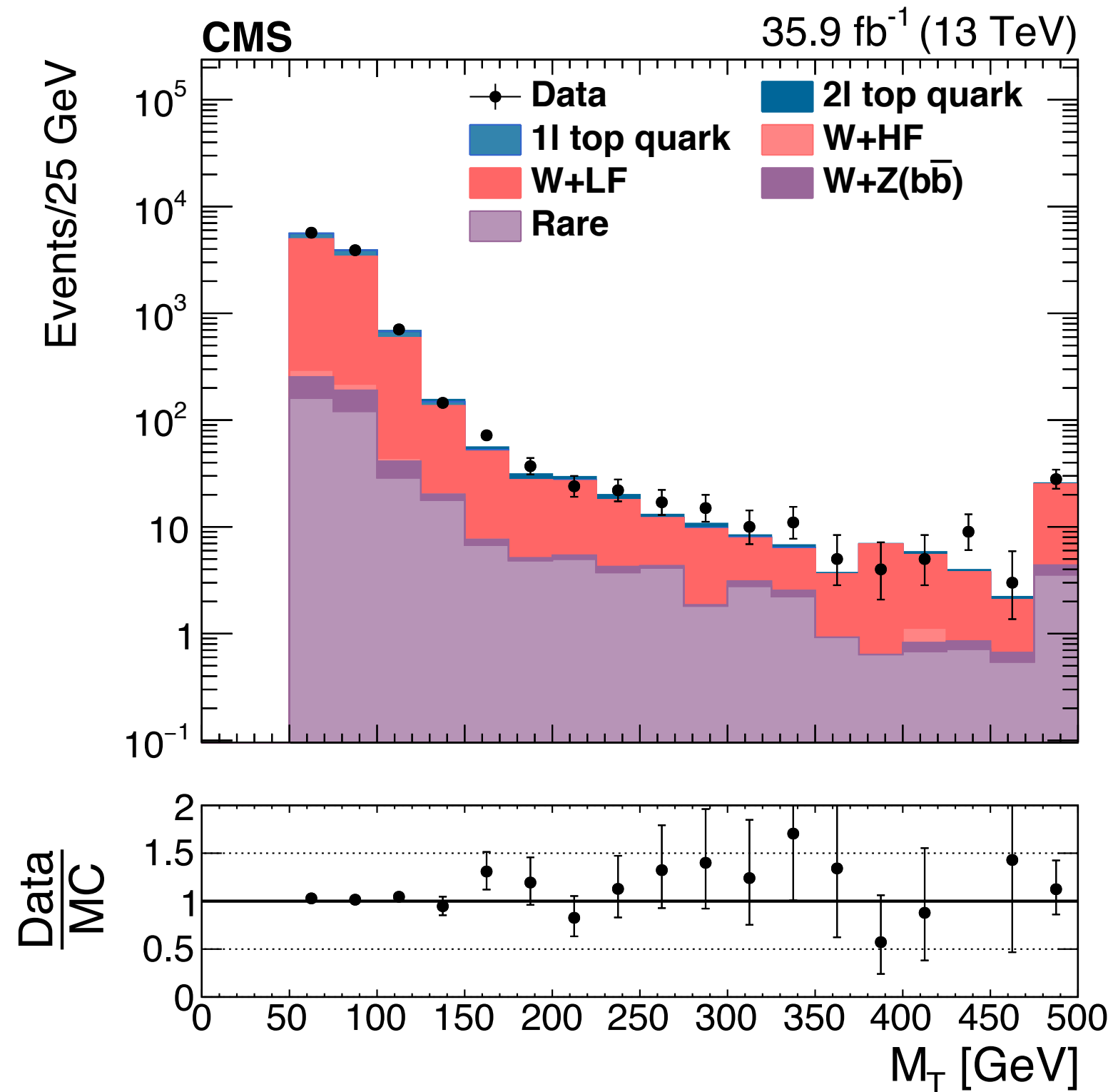
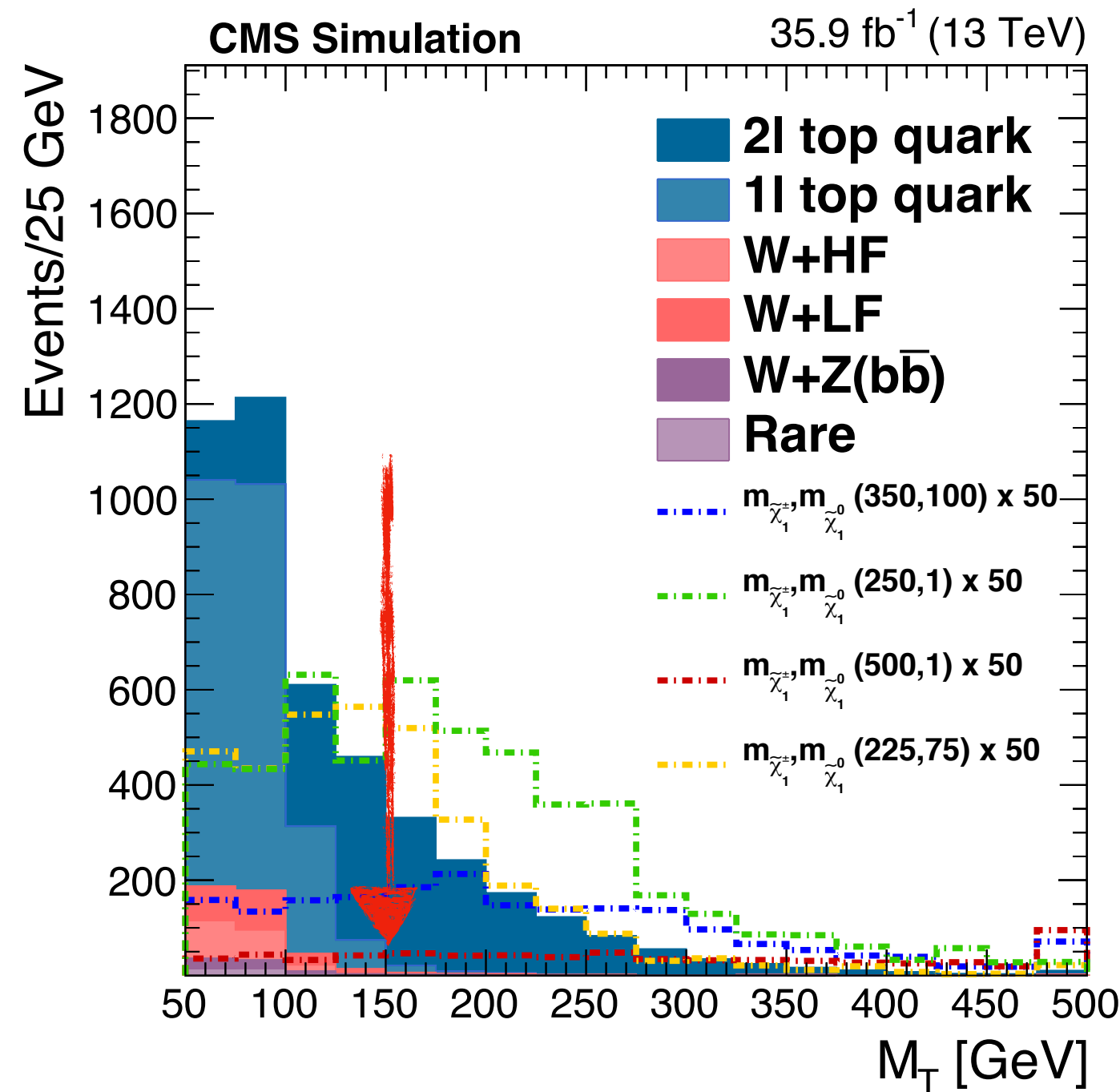


- In addition to large missing transvers energy. Endpoint type of variables used to suppress backgrounds:
  - 1L TTBar has an endpoint in W transverse mass because of top quark mass constraint

- $M_T$  tail sensitive to MET misreconstruction. Lepton fakes
- Validated in 0 B-tagged region



# WH(Lvbb) + MET: analysis strategy

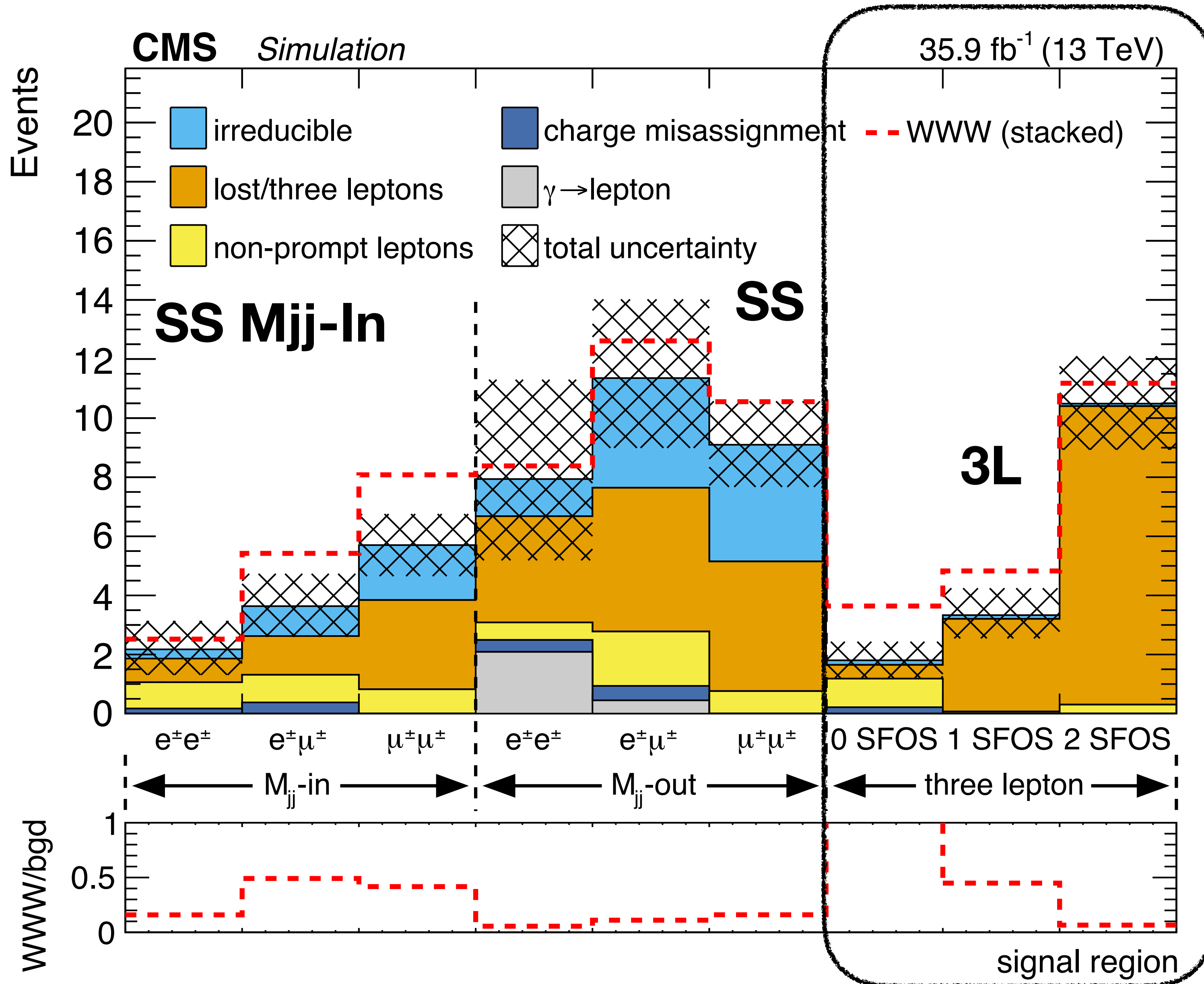


- In addition to large missing transvers energy. Endpoint type of variables used to suppress backgrounds:
  - 1L TTBar has an endpoint in W transverse mass because of top quark mass constraint

- $M_T$  tail sensitive to MET misreconstruction. Lepton fakes
- Validated in 0 B-tagged region

- Two b's form Higgs mass peak, main background 2L TTbar directly controlled in the sideband.

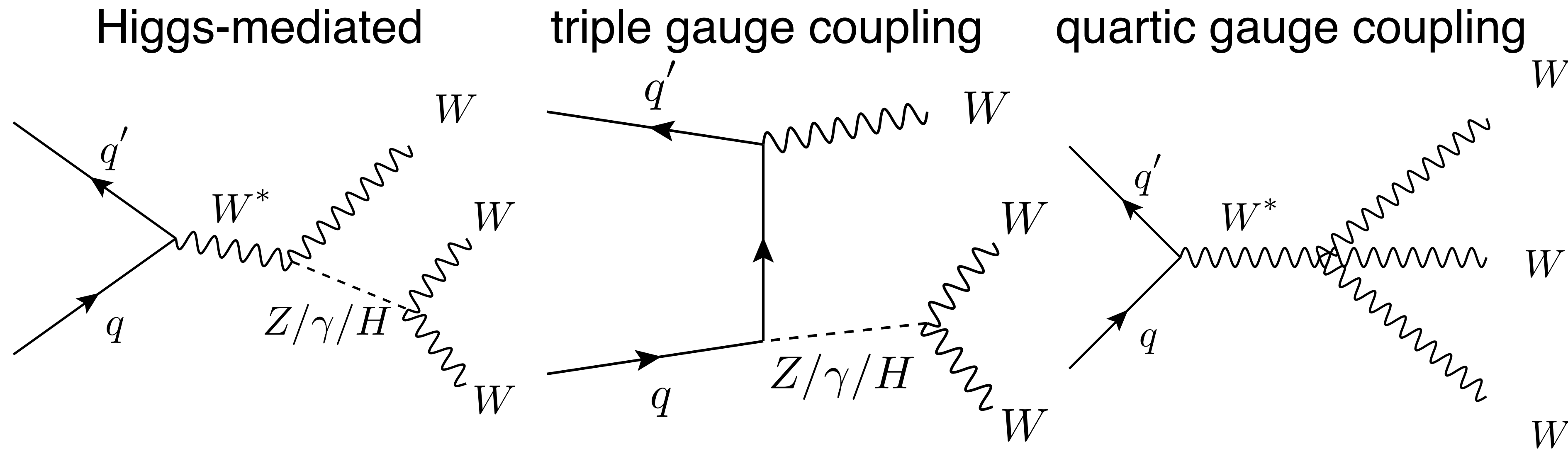
# Backgrounds constrained with Data



- 3L events, < 2 jets
- Dominated by real 3L events in 1SFOS and 2SFOS
- WZ, ttV with 3L in the final state
- Exploit kinematic difference in suppressing the background:
- eg: in 1 SFOS:
  - WZ: M<sub>t</sub> formed by the 3rd lepton and the MET: W transverse mass
  - WWW: random, longer tail



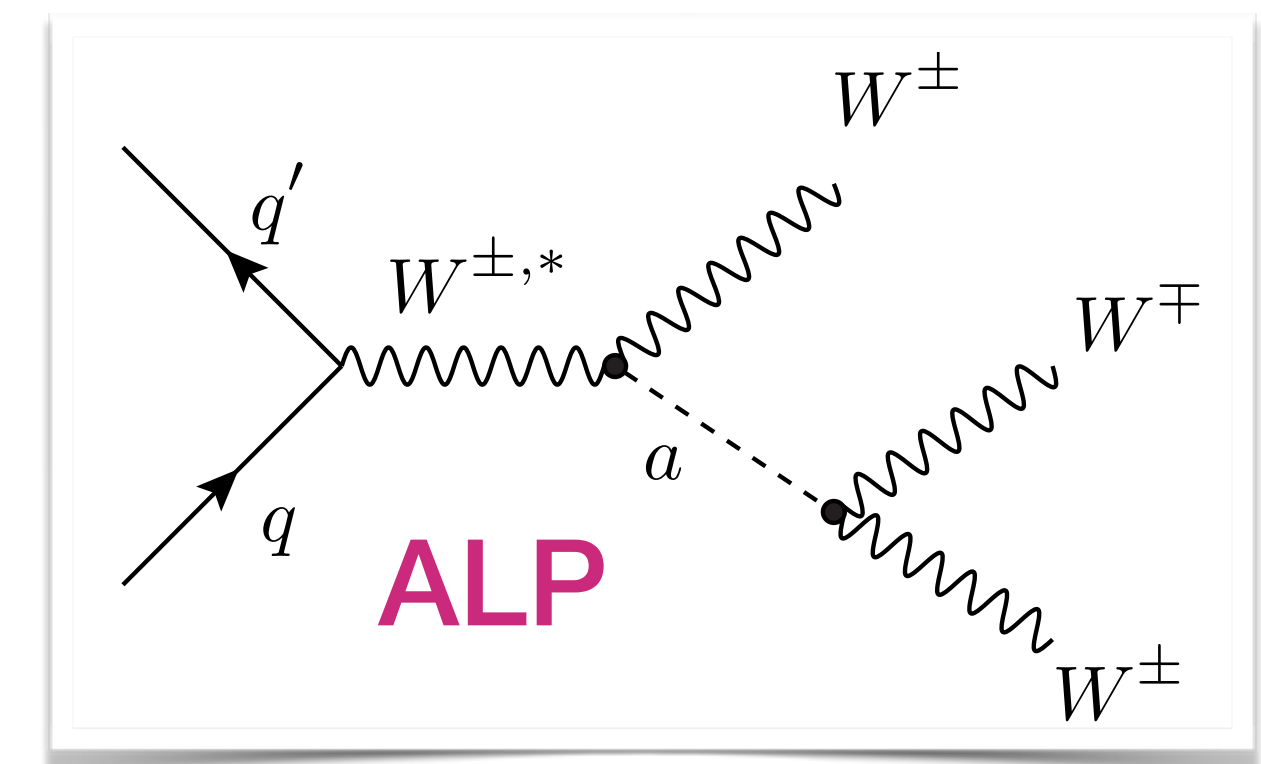
# Why electroweak physics



The process has a low cross section and has not been observed.

(ATLAS has an 8 TeV analysis with  $1\sigma$  sensitivity. No 13 TeV result yet.)

Enhanced production from BSM contributions: e.g.  
photophobic axion-like particle (ALP)



# Storyline

- The success and shortcomings of the Standard Model
- Looking for new physics directly and indirectly with electroweak bosons and the Higgs boson:
  - Direct: Search for chargino-neutralino pair in the WH final state
  - Indirect: Search for WW production
- Enhancing the CMS detector capabilities of discovering new physics
  - Phase 1 pixel upgrade (completed in 2017)
  - Fast Neural Network interference as a solution for triggering/computing challenges at the LHC/HL-LHC.



# Storyline

- The success and shortcoming of the Standard Model

## Measure what is measurable

- Looking for new physics with bosons:
  - Direct: Search for chargino-neutralino pair in the WH final state
  - Indirect: Search for WW production
- Enhancing the CMS detector capabilities of discovering new physics
  - Phase 1 pixel upgrade (completed in 2017)
  - Fast Neural Network interference as a solution for triggering/computing challenges at the LHC/HL-LHC.

# Storyline

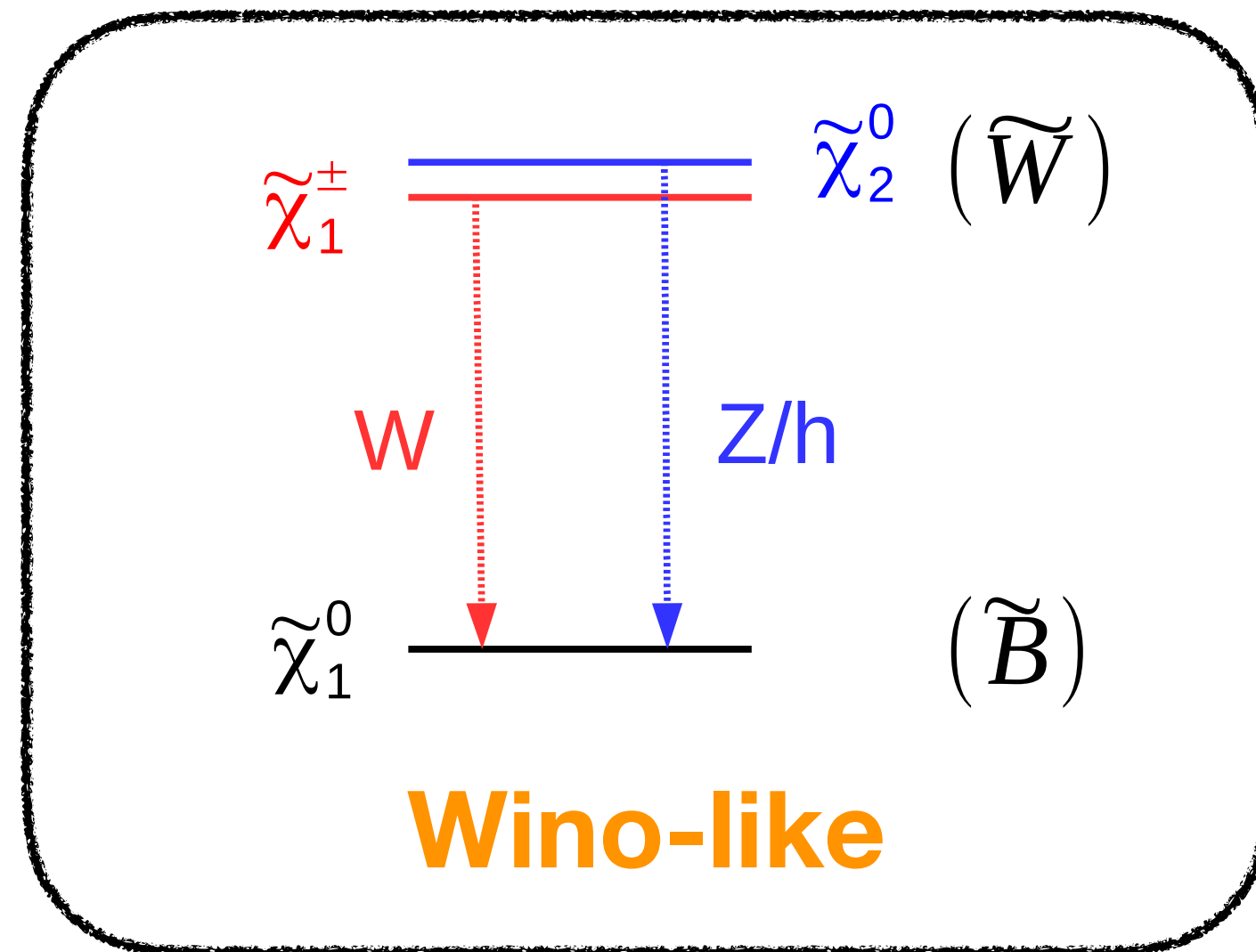
- The success and shortcoming of the Standard Model
- Looking for new physics directly and indirectly with electroweak bosons and the Higgs boson:
  - Direct: Search for chargino-neutralino pair in the WH final state
  - Indirect: Search for WW production

## Make measurable what is not so

- Enhancing the CMS detector capabilities of discovering new physics
  - Phase 1 pixel upgrade (completed in 2017)
  - Fast Neural Network interference as a solution for triggering/computing challenges at the LHC/HL-LHC.



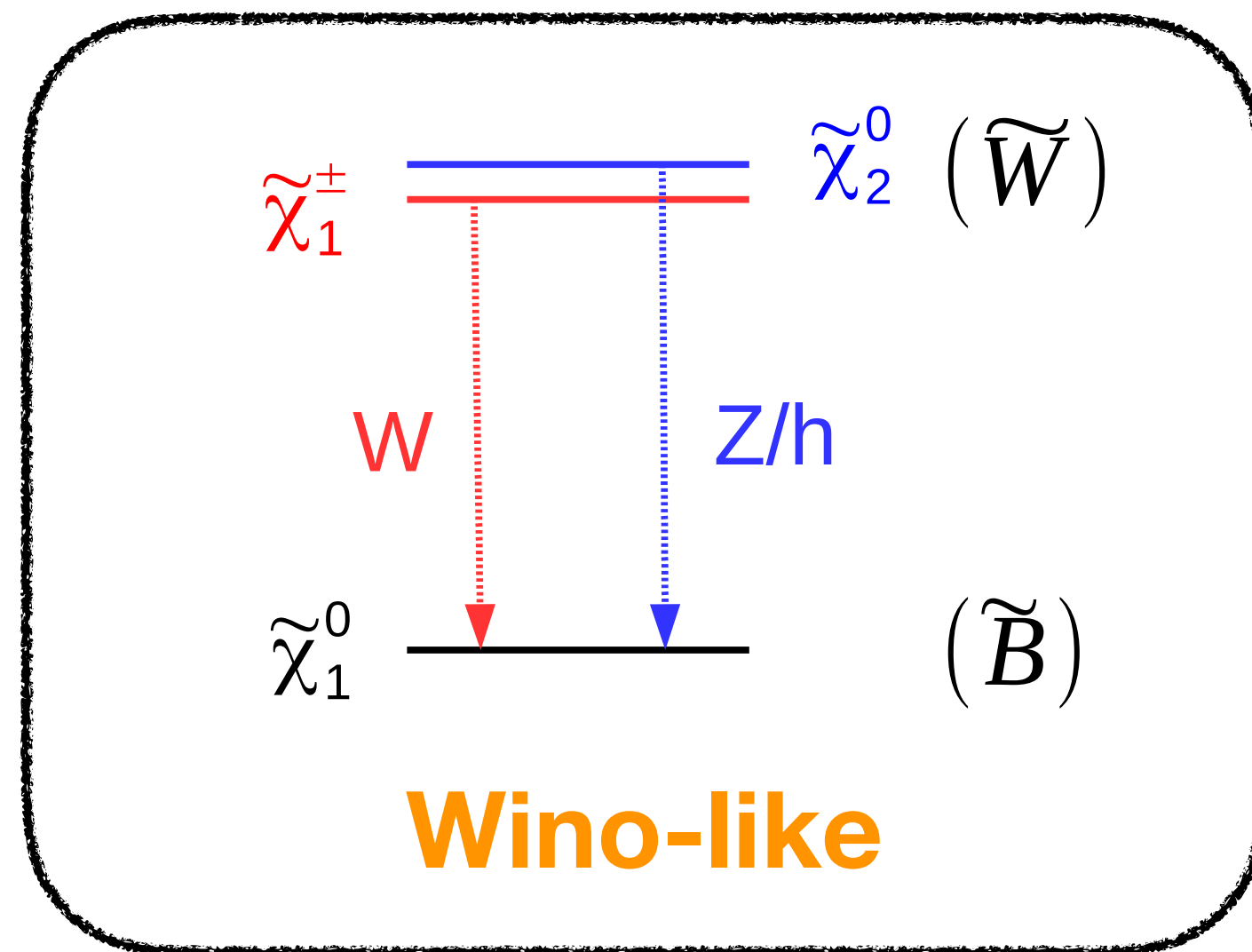
# Overwhelming SM backgrounds



45 fb @ 500 GeV

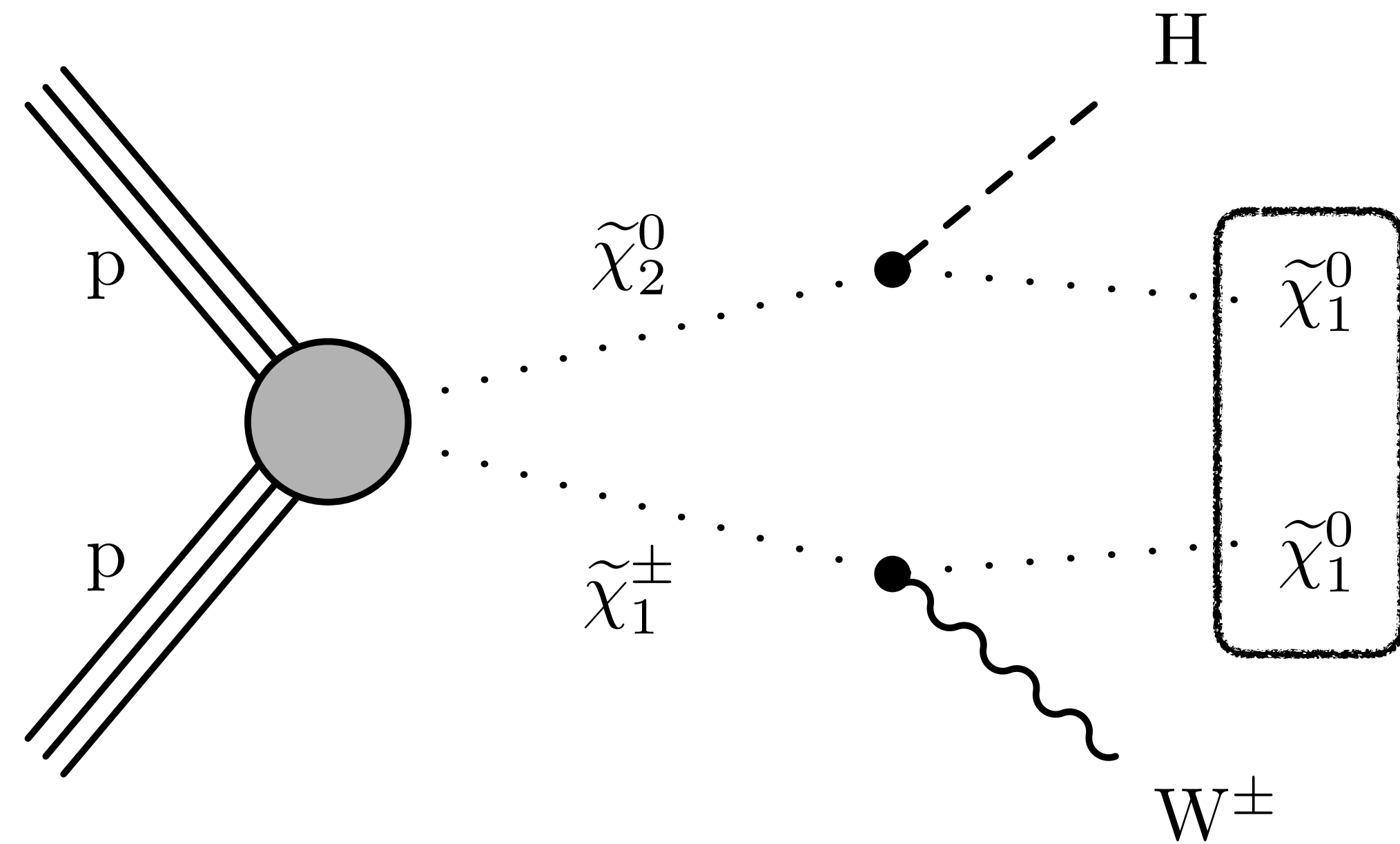
$t\bar{t} : 830 \text{ pb}$

# Overwhelming SM backgrounds



45 fb @ 500 GeV

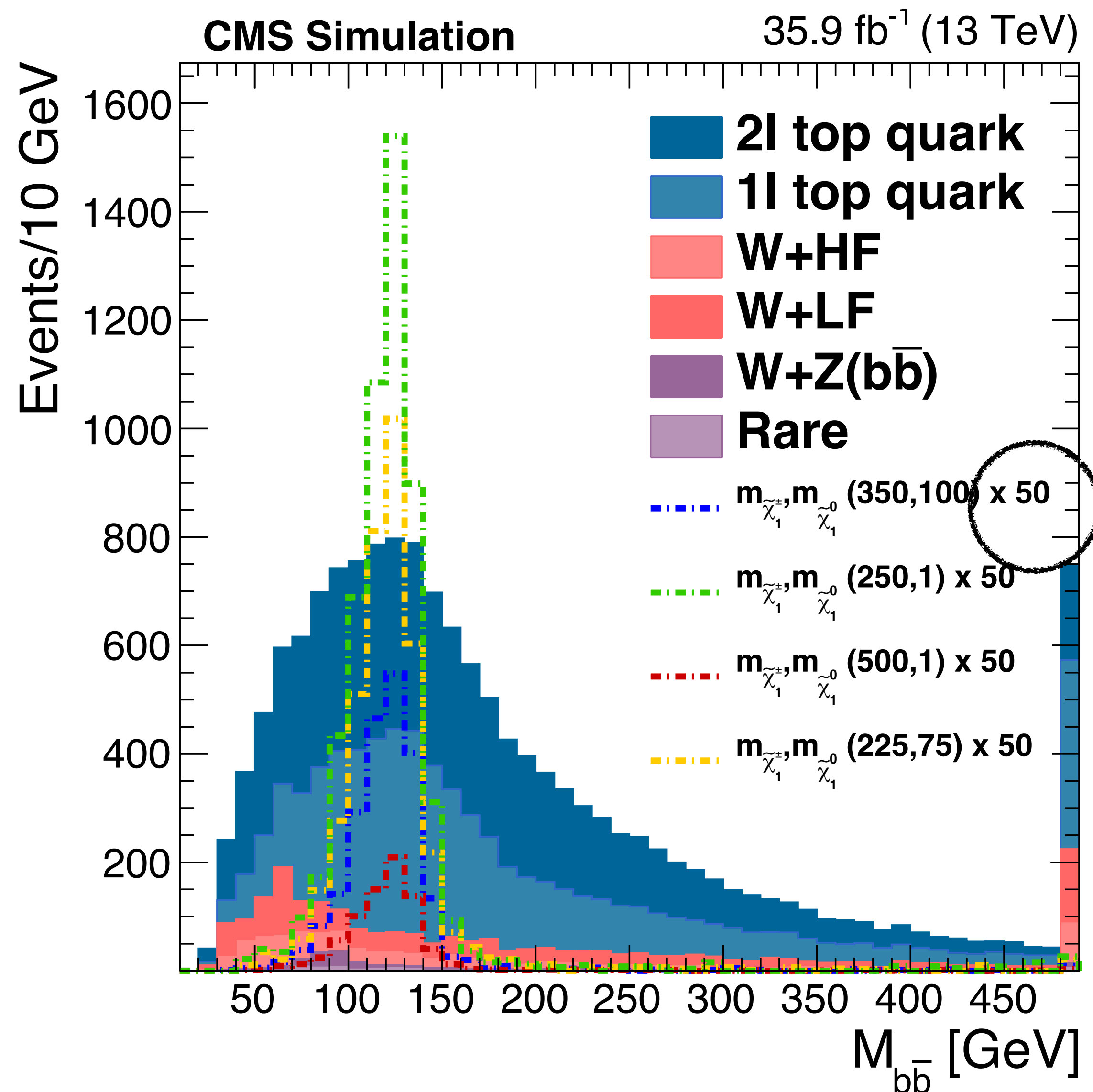
$t\bar{t}$  : 830 pb



Large MET

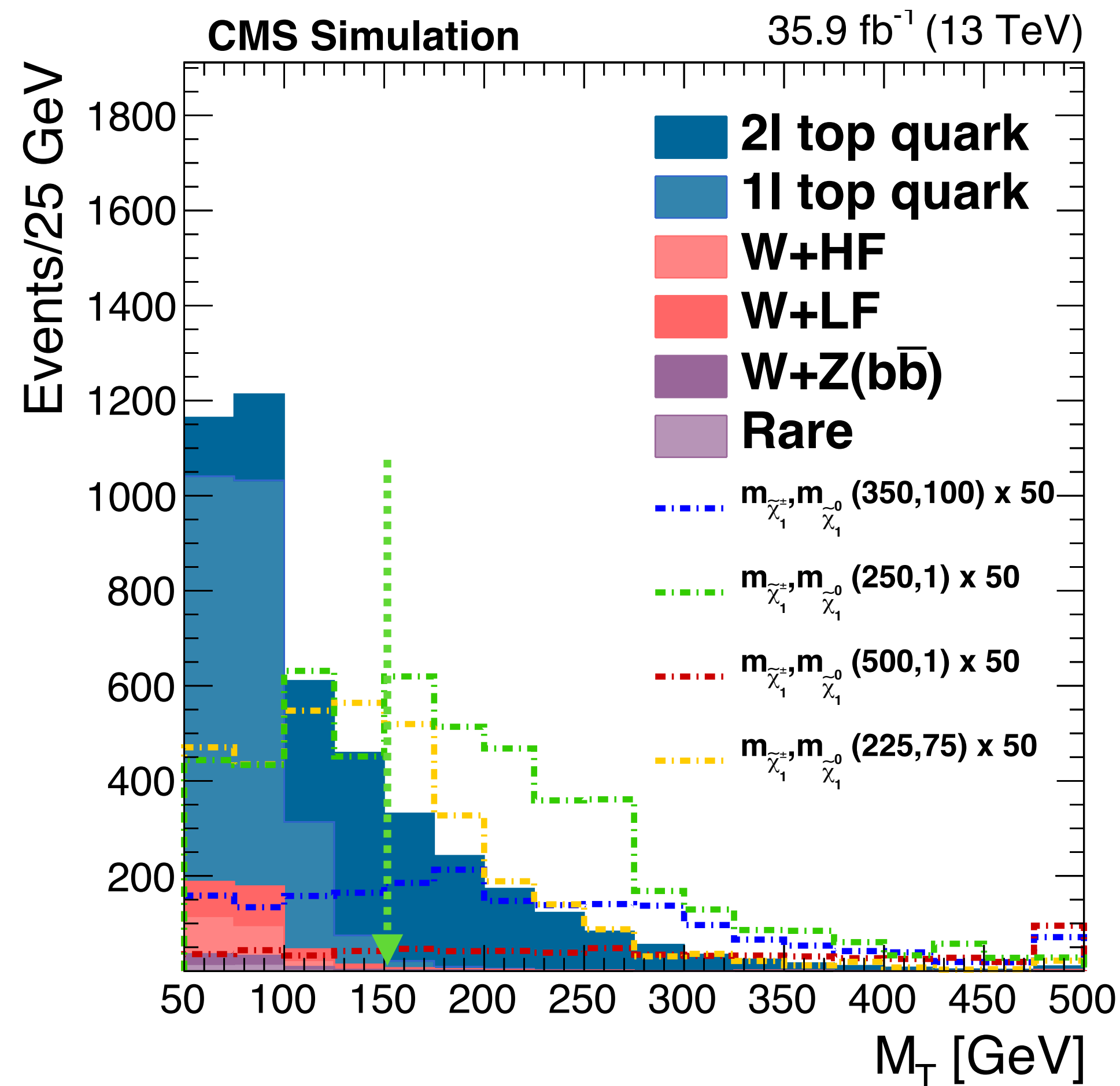


# Overwhelming SM backgrounds



- 1 e/mu, two b jets, MET > 125 GeV
- Even after requiring large missing transverse energy!
- Need smart variables to exploit the topology differences between WH signal and backgrounds

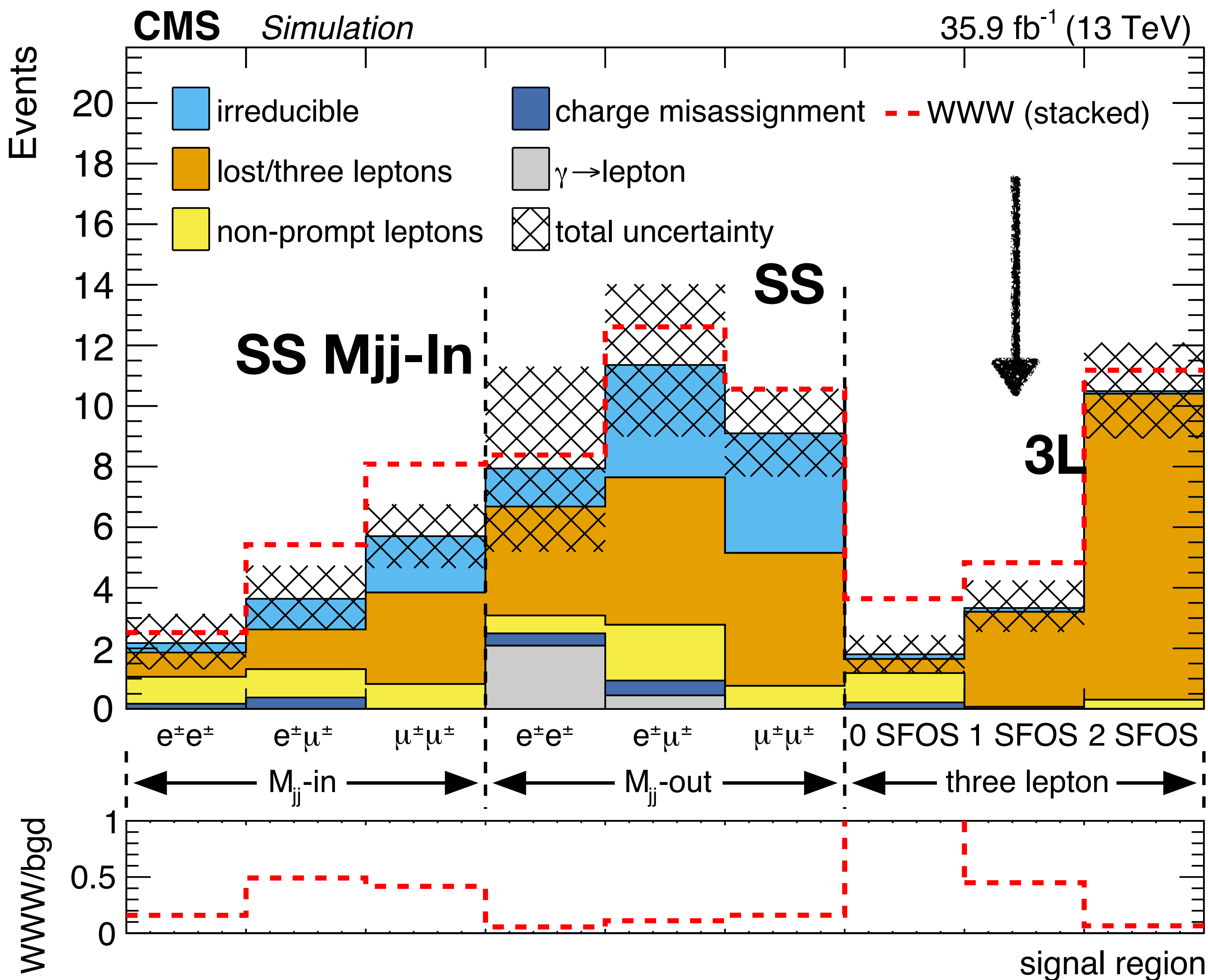
# Background suppression: endpoint variables



- e.g 1L ttbar: W transverse mass constrained by top mass vs signal with extra MET from LSP



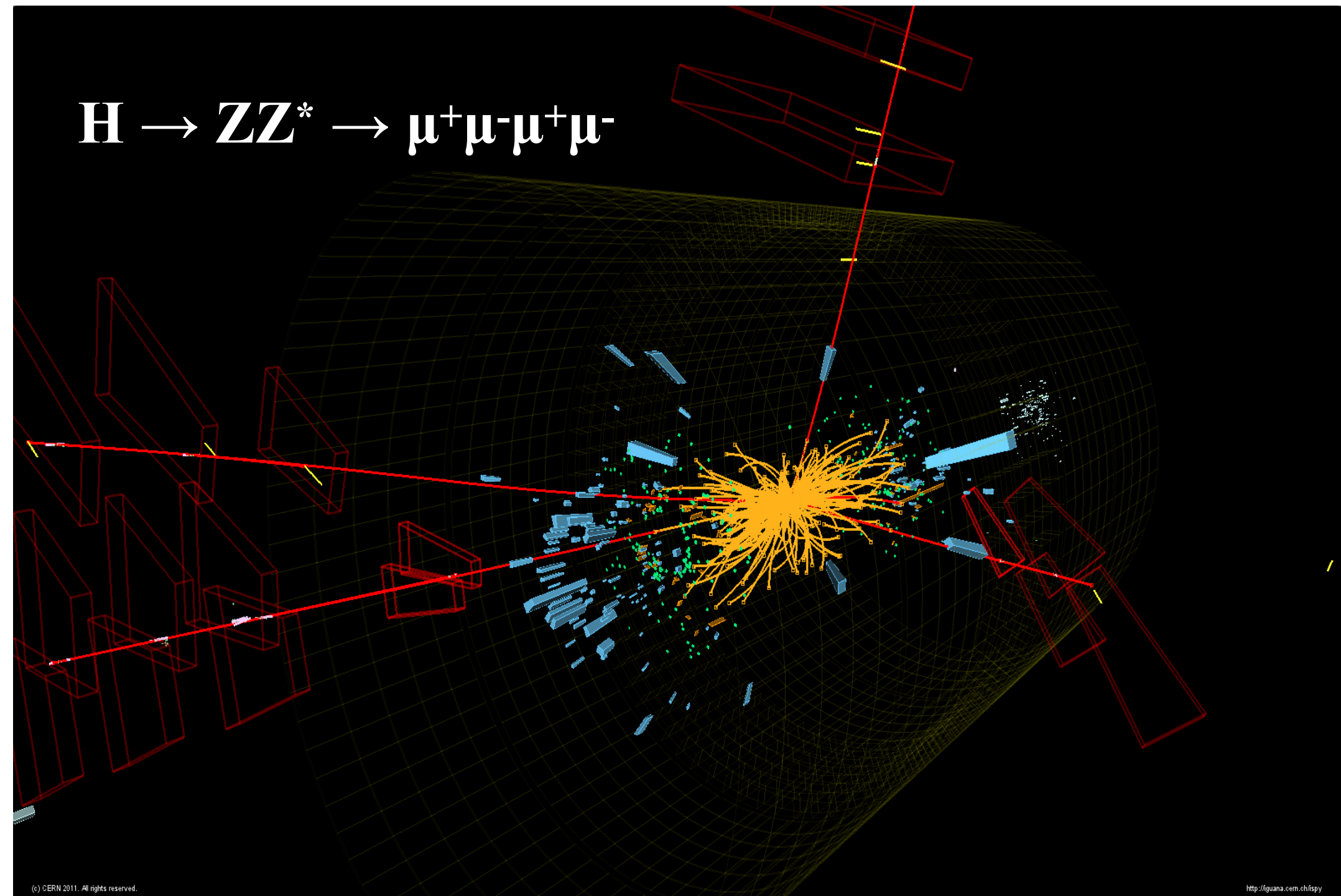
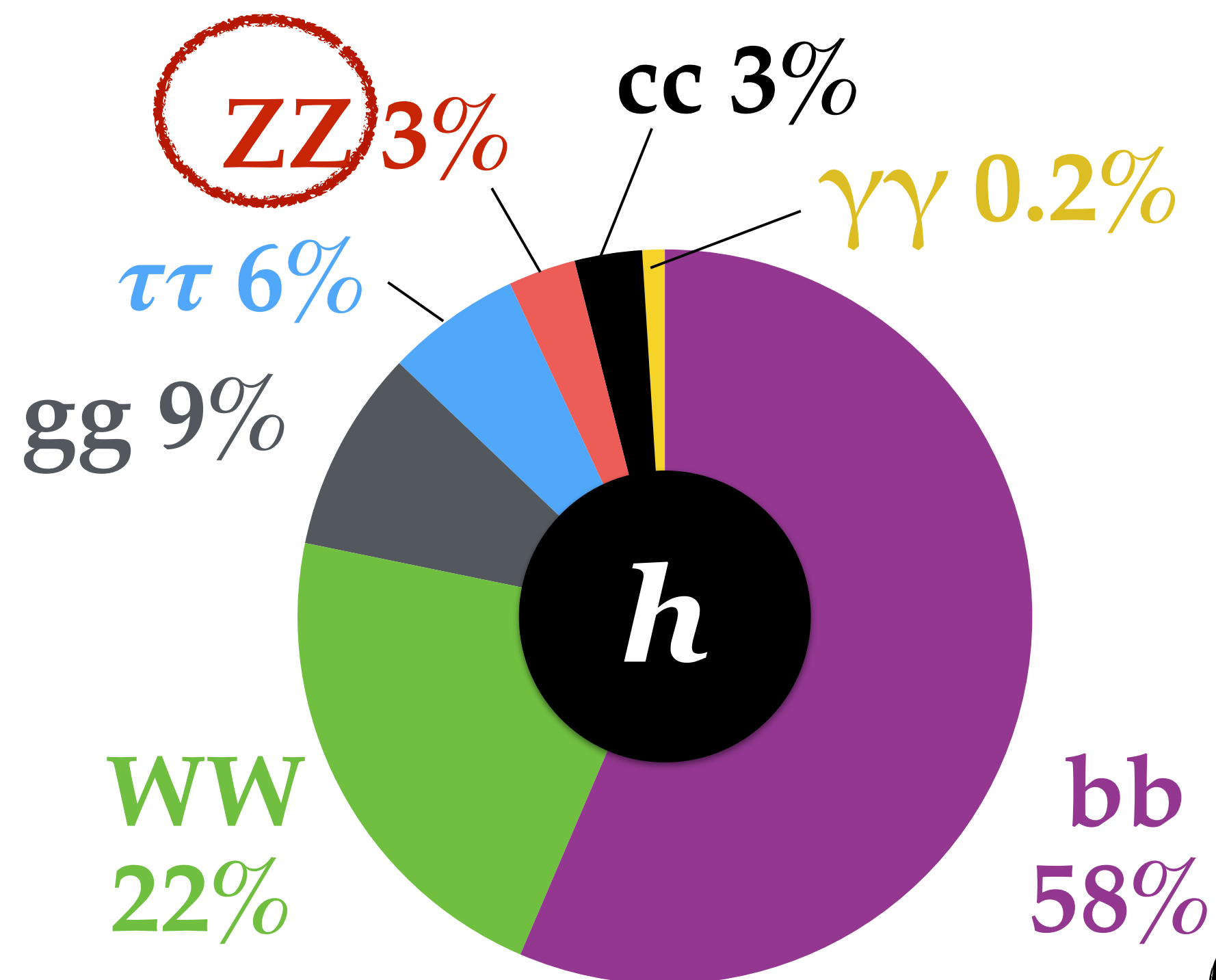
# Maximize the sensitivity: Event categorization



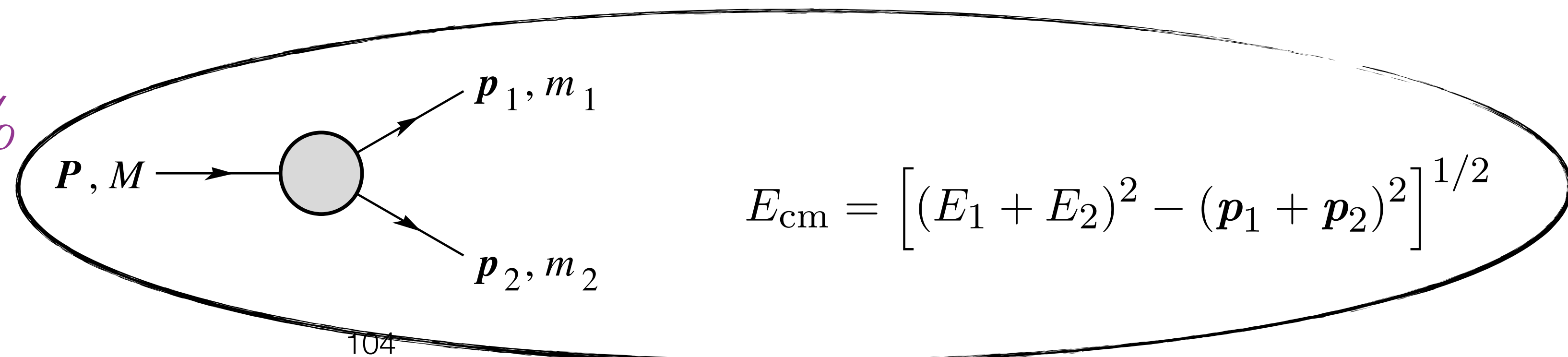
- Suppress background as much as possible:
- e.g. 1 SFOS  $\rightarrow$  3rd lepton from WZ background comes from W decay, therefore has a falling  $M_t$  spectrum  $\rightarrow$  40% background rejection without signal loss

# The Higgs boson detection in CMS

Higgs boson decays

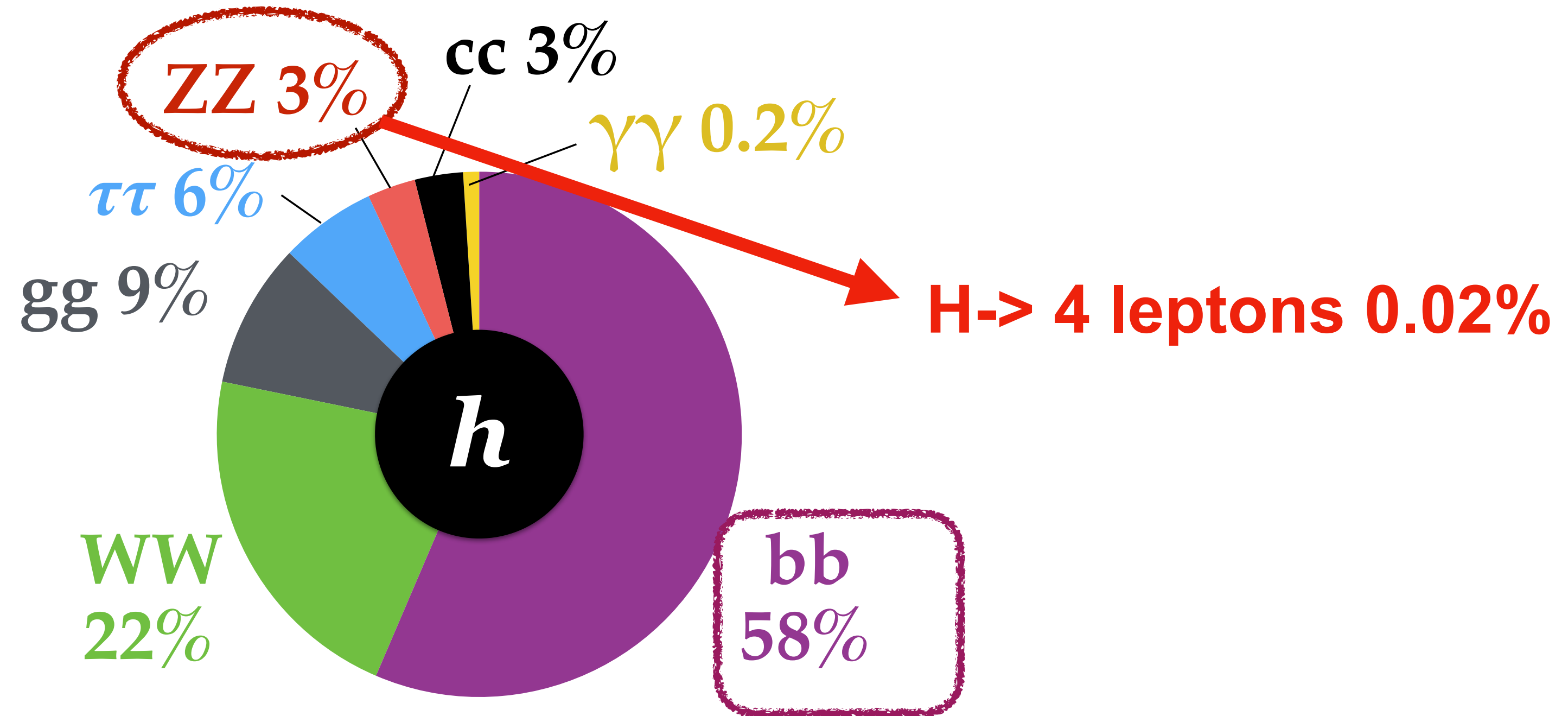
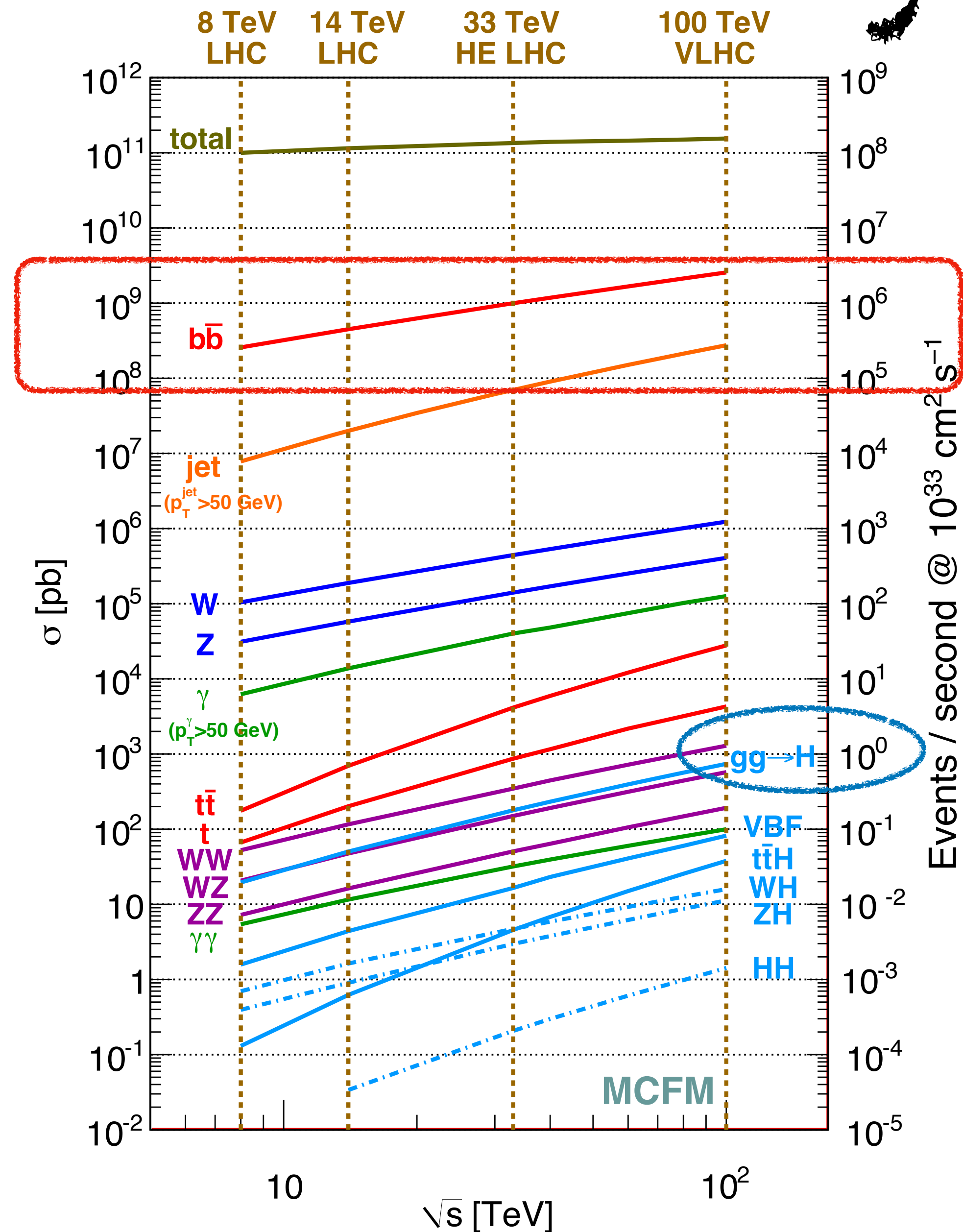


Construct invariant mass from decay products





# Why is it so hard



- key ingredients: Signal rate and background handling
- Observed the Higgs boson with decay modes of lower backgrounds ( $WW/ZZ/\gamma\gamma$ ).

# Non-prompt Lepton

- Poorly modeled in the simulation
- Chances of jet faking a lepton is very low ( $\sim 10^{-5}$ ).
  - Difficult to simulate the non-gaussian tail of detector's response to jets
- Difficult to model different fake lepton sources
  - Mis-identified charged hadron, heavy flavor decay, photon conversions....
- Data-driven estimates crucial in making first measurement.
  - My main focus on the analysis



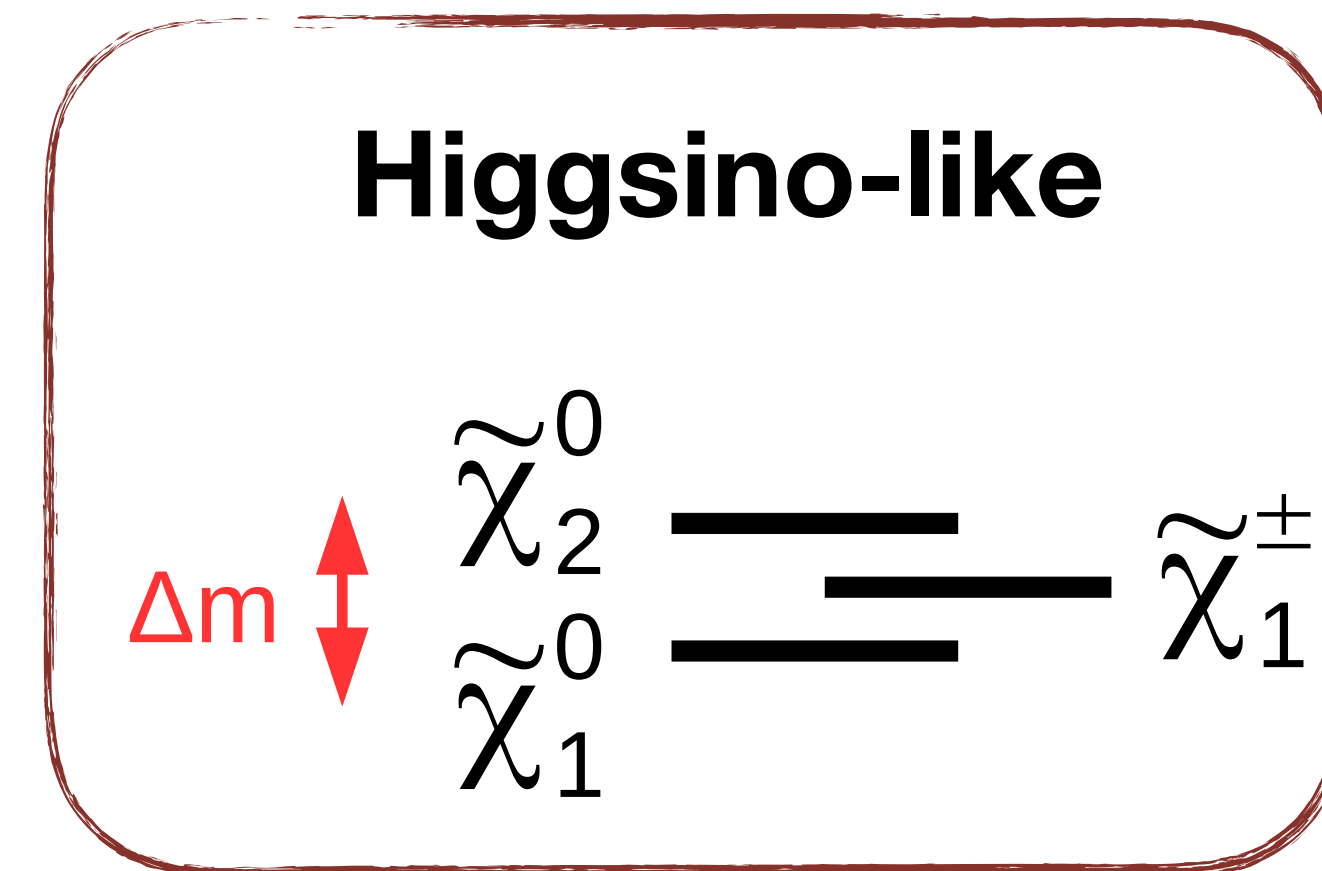
# ATLAS vs CMS

**Table 2:** A summary comparison of total inference time for Brainwave, CPU, and GPU performance

Type	Hardware	⟨Inference time⟩	Max throughput	Setup
CPU	Xeon 2.6 GHz, 1 core	1.75 seconds	0.6 img/s	CMSSW, TF v1.06
CPU	i7 3.6 GHz, 1 core	500 ms	2 img/s	python, TF v1.10
CPU	i7 3.6 GHz, 8 core	200 ms	5 img/s	python, TF v1.10
GPU (batch=1)	NVidia GTX 1080	100 ms	10 img/s	python, TF v1.10
GPU (batch=32)	NVidia GTX 1080	9 ms	111 img/s	python, TF v1.10
GPU (batch=1)	NVidia GTX 1080	7 ms	143 img/s	TF internal, TF v1.10
GPU (batch=32)	NVidia GTX 1080	1.5 ms	667 img/s	TF internal, TF v1.10
Brainwave	Altera Artix	10 ms	660 img/s	CMSSW, <i>on-prem</i>
Brainwave	Altera Artix	60 ms	660 img/s	CMSSW, <i>remote</i>

# Example: Higgsino searches

- Higgsinos: Expected to be comparable to Higgs mass in 'Natural SUSY': hundreds of GeV
- More difficult to constrain than Wino :
  - Lower cross section.





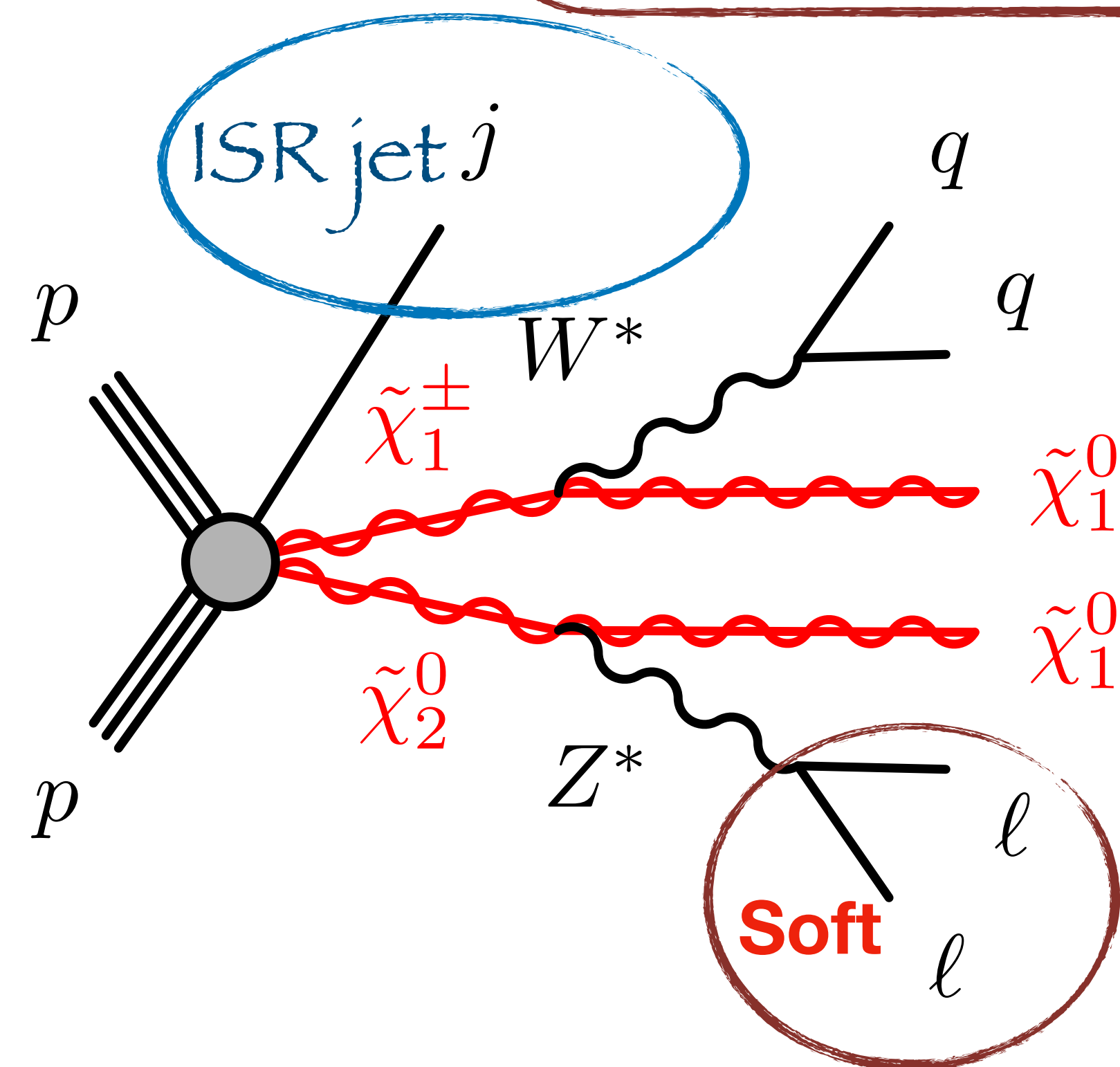
# Challenging signatures in Higgsino searches

- More difficult to constrain than Wino :
- Challenging signatures
  - $\Delta m \sim$  tens of GeV : Soft decay products
  - $\Delta m \sim$  hundreds of MeV : Long-lived signatures
- Need special triggers/reconstruction

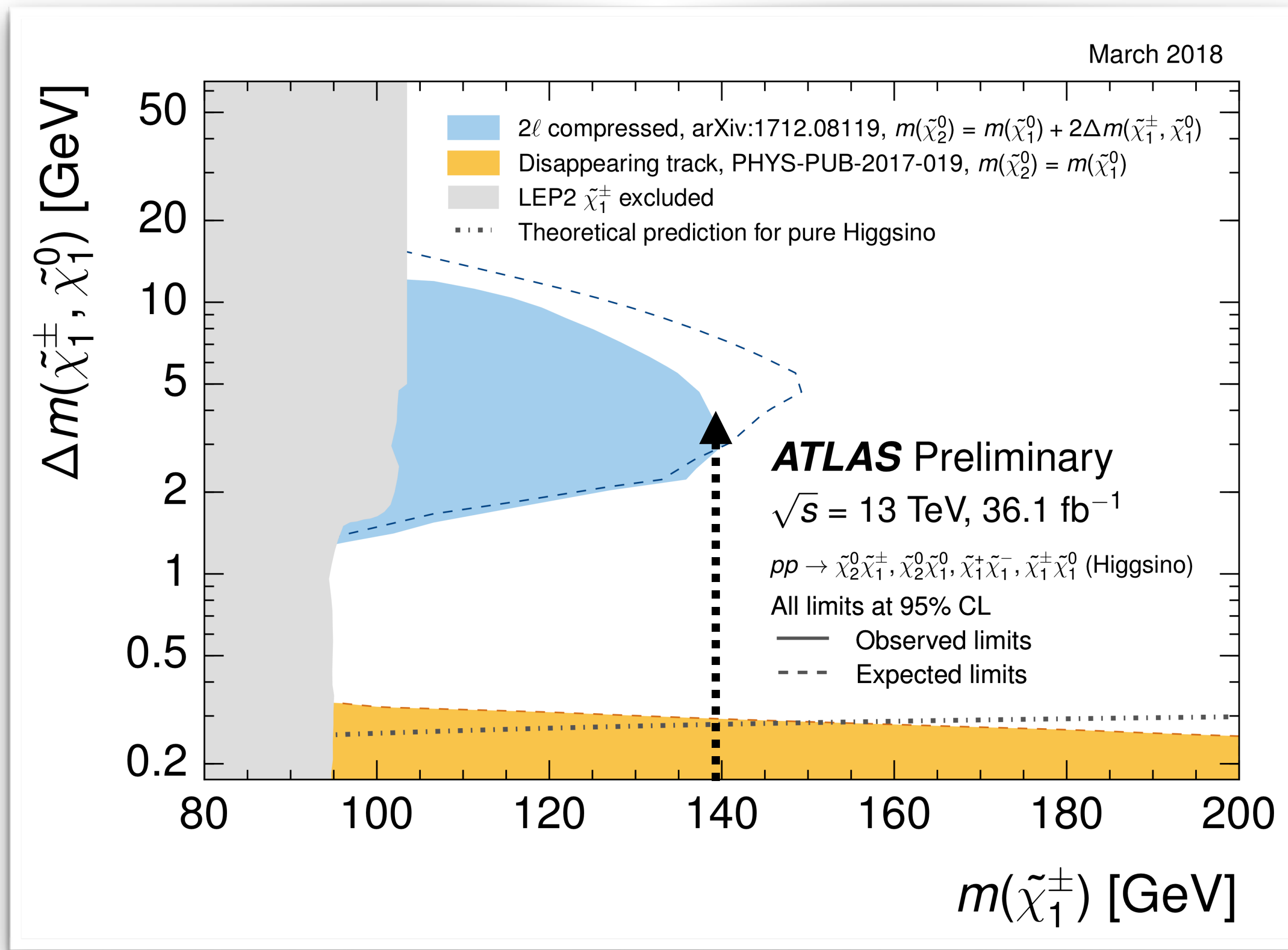
$\Delta m \sim$   
hundreds of MeV  
to  
tens of GeV

Higgsino-like

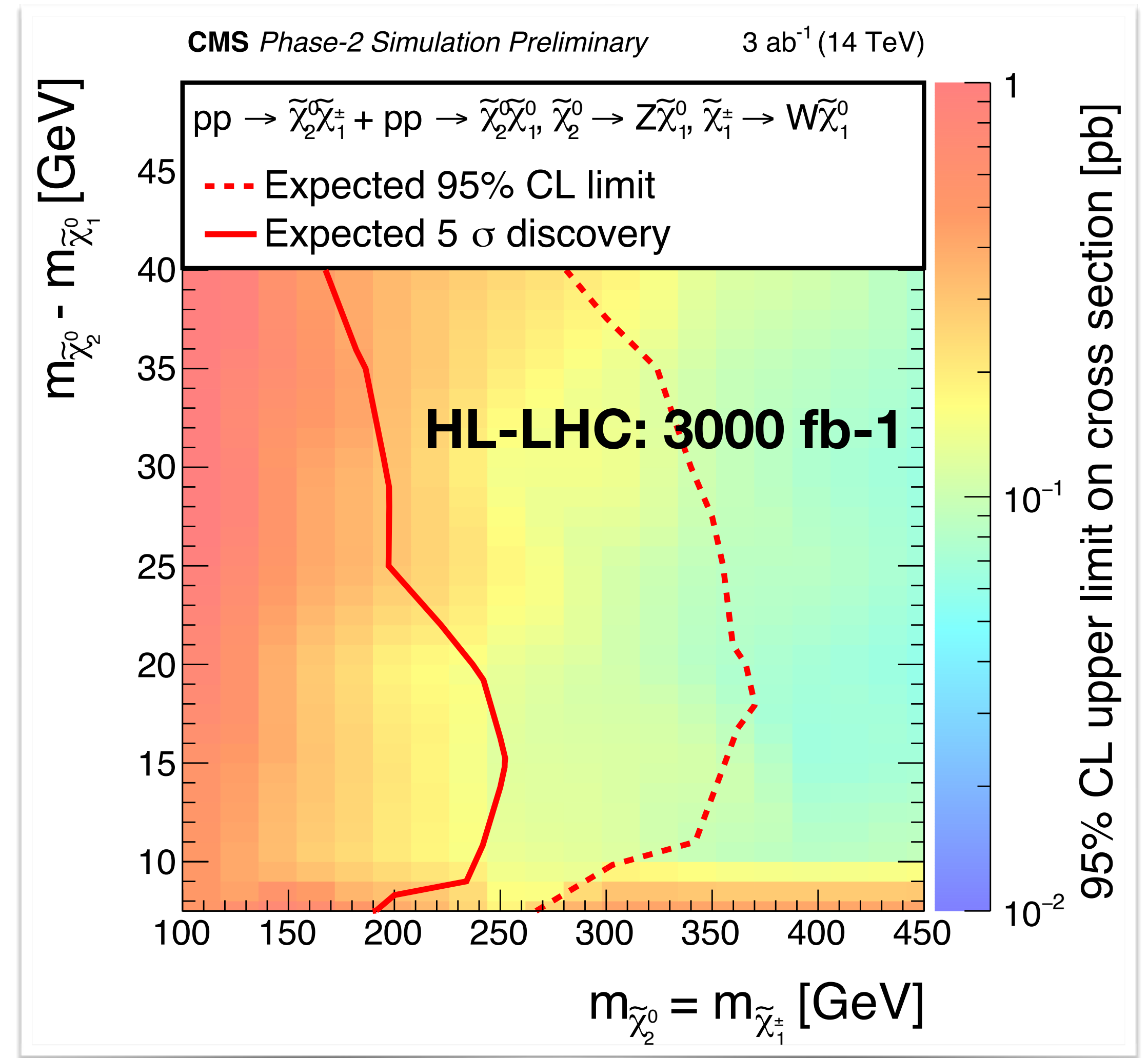
$$\Delta m \updownarrow \begin{matrix} \tilde{\chi}_2^0 \\ \tilde{\chi}_1^0 \end{matrix} \equiv \tilde{\chi}_1^\pm$$



# HL-LHC dataset will benefit Higgsino searches



2016 data (36 fb $^{-1}$ )





# HIGH-LEVEL SYNTHESIS FOR MACHINE LEARNING

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Machine Learning Frameworks



Trained Model



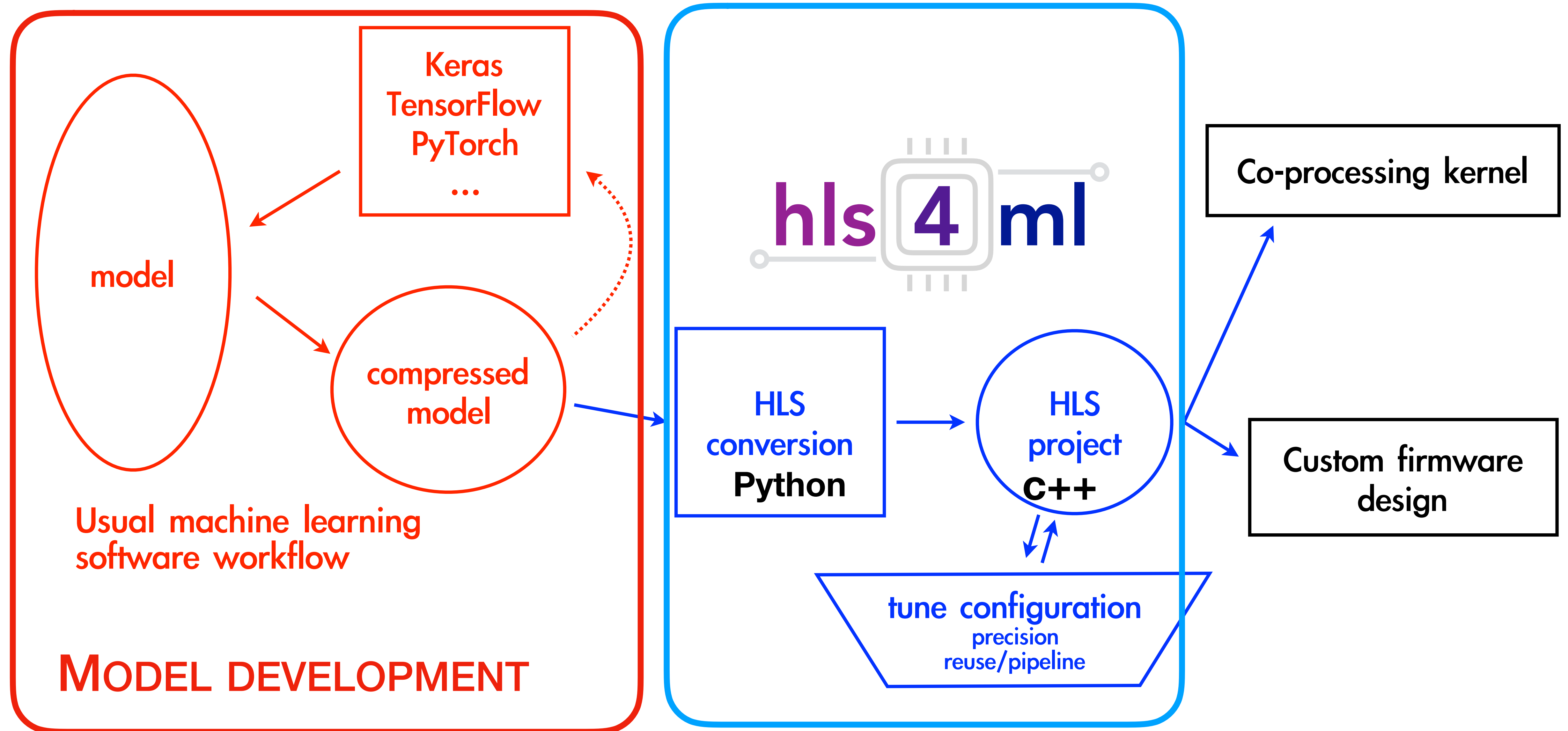
Converted HLS Project



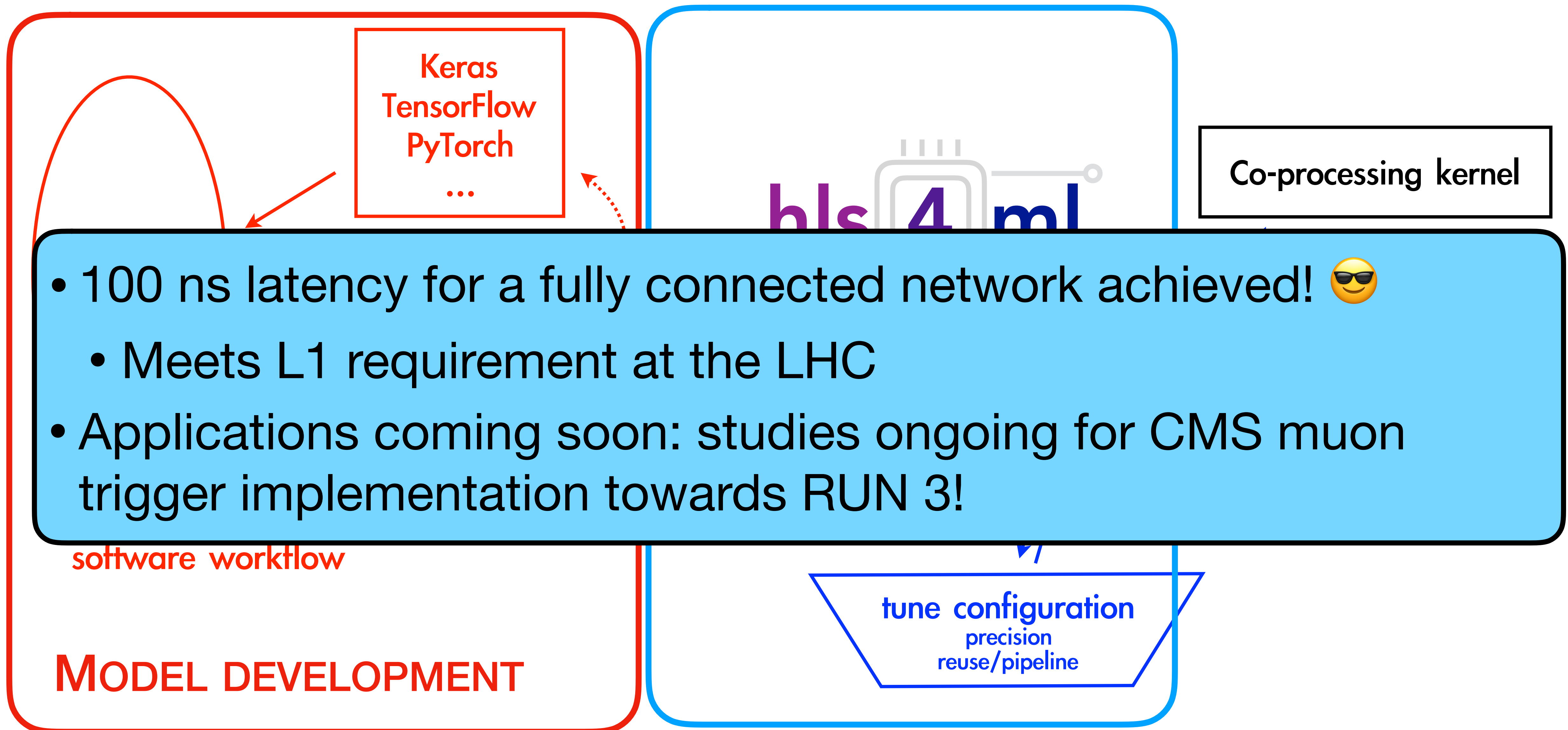
CMS L1 Trigger



FPGA Firmware

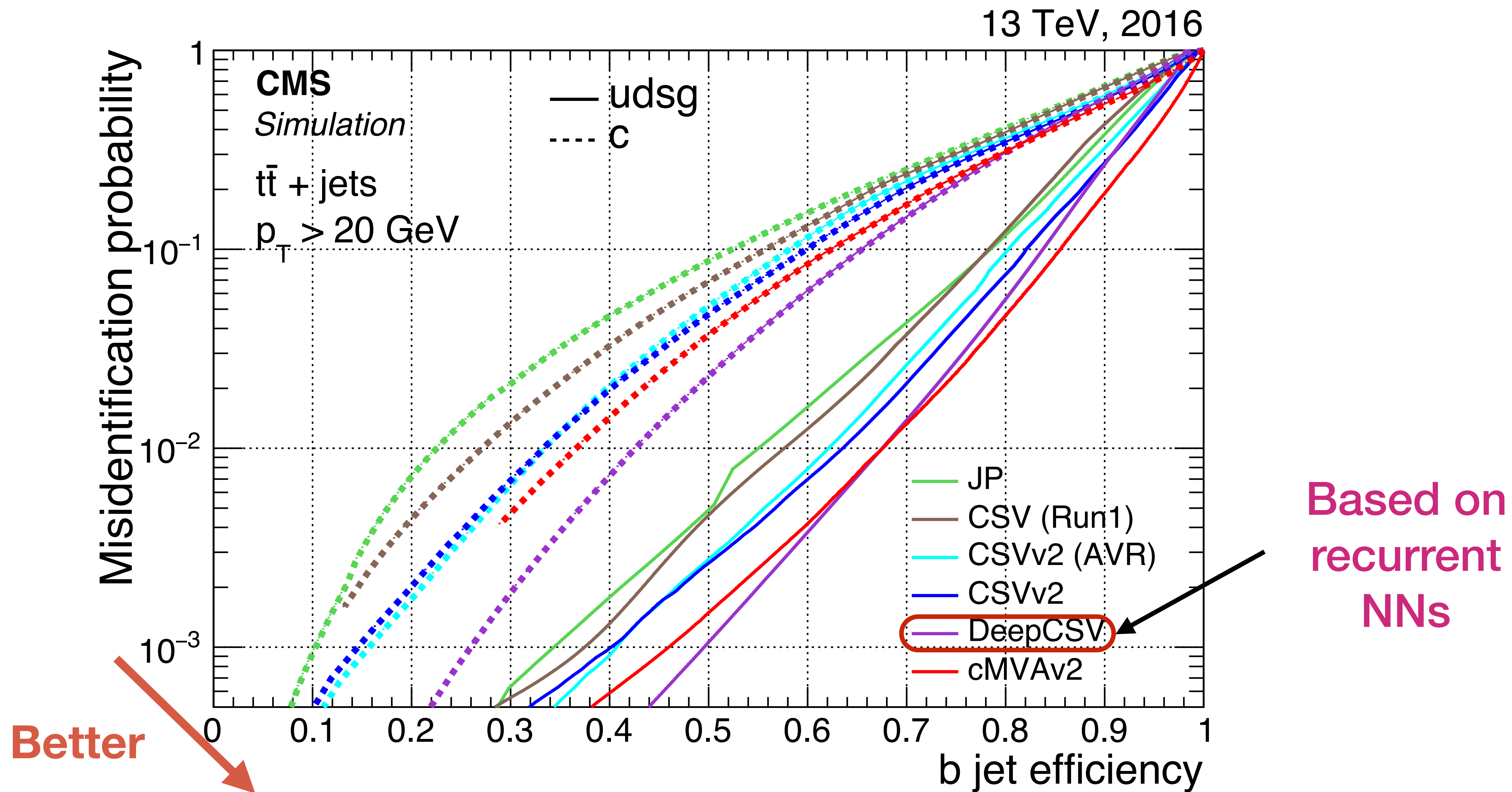






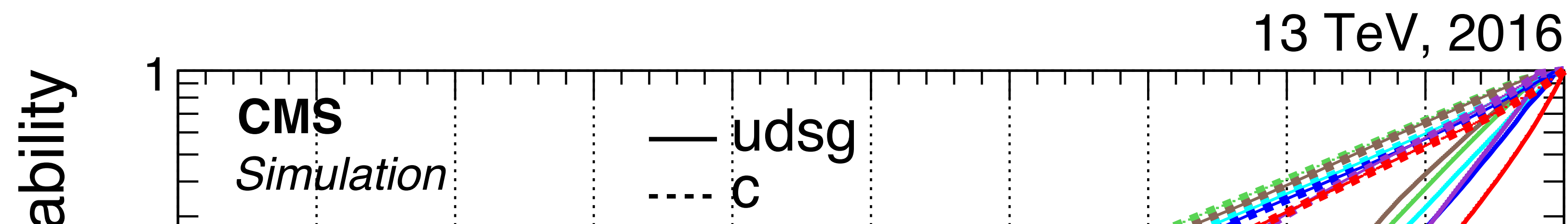
# BOOM IN USING NEURAL NETWORKS BASED ALGORITHMS

114



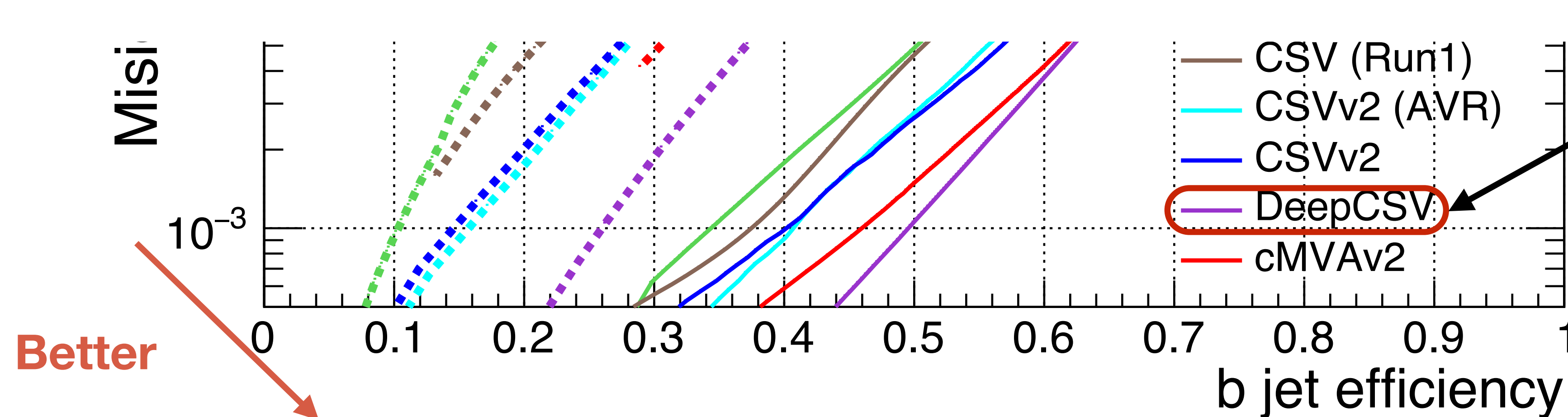


# BOOM IN USING NEURAL NETWORKS BASED ALGORITHMS



Various applications actively explored in particle identification, regression, fast-simulation, anomaly detection...

Can we use neural networks in our triggers?

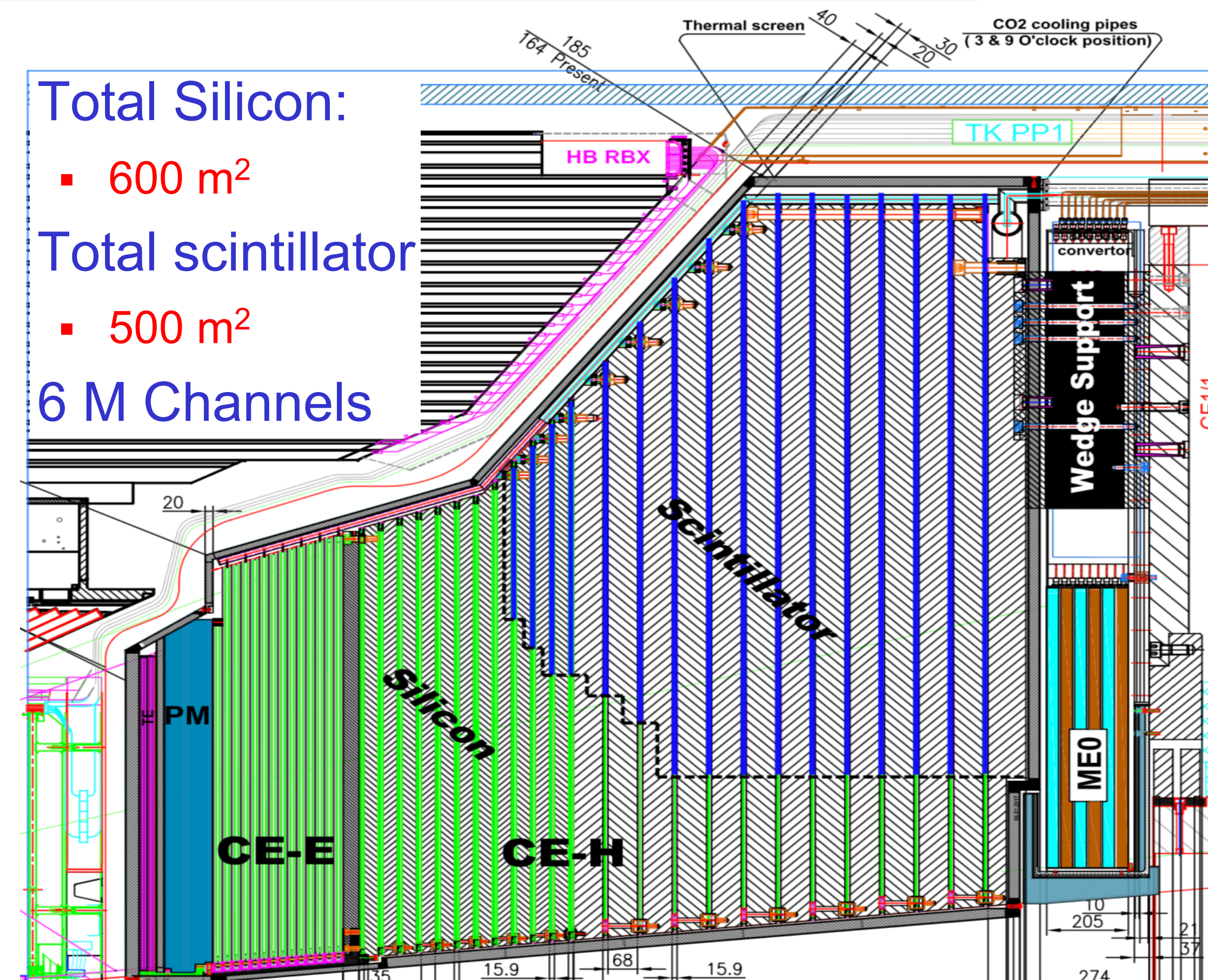


Based on  
recurrent  
NNs



In addition to designing & building the upgraded detectors....

Challenge:  
How do we trigger and process the 10 times more data collected by such a complex machine?

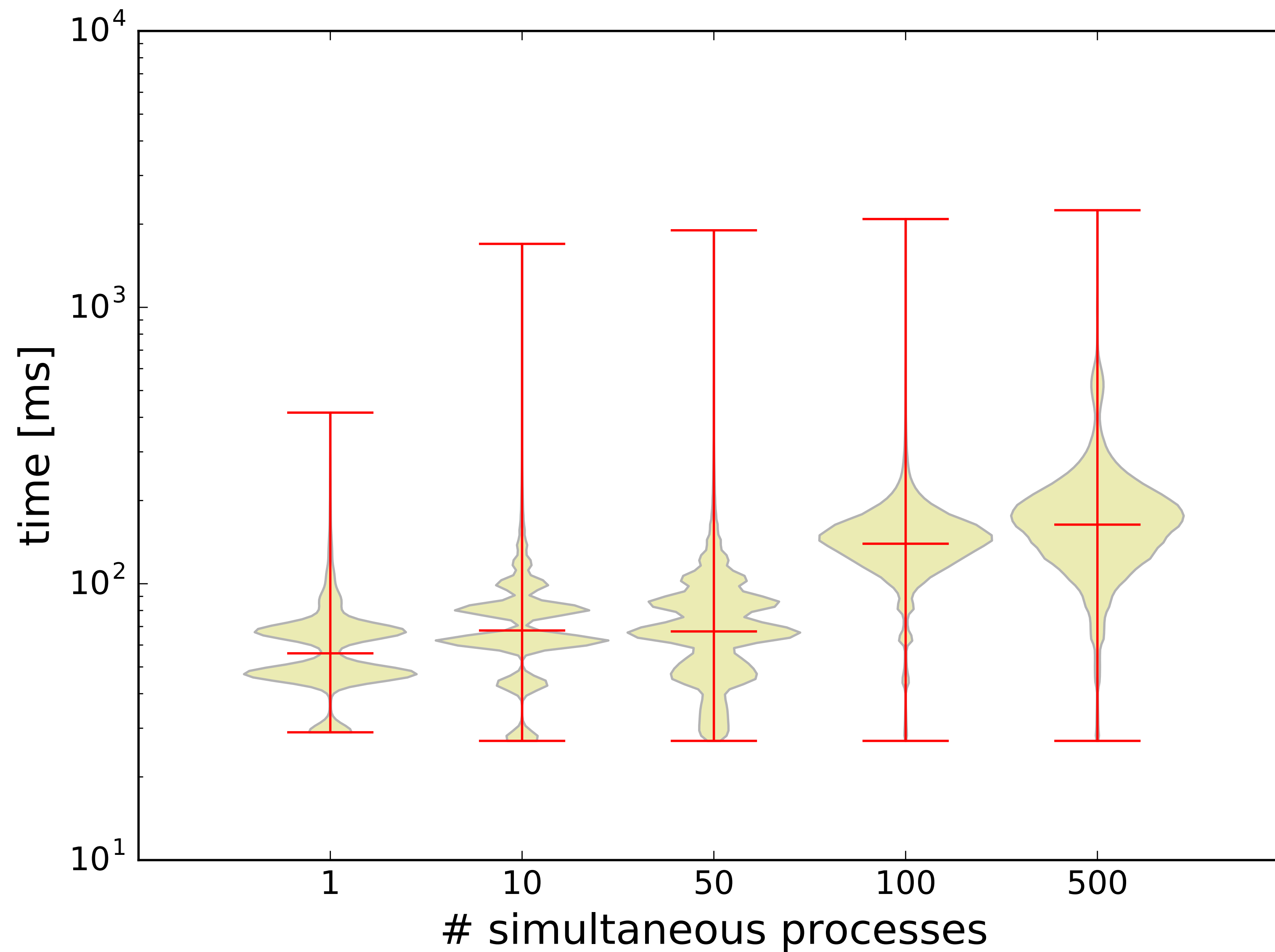


**CMS High Granularity Calorimeter**

Number of channel increase:

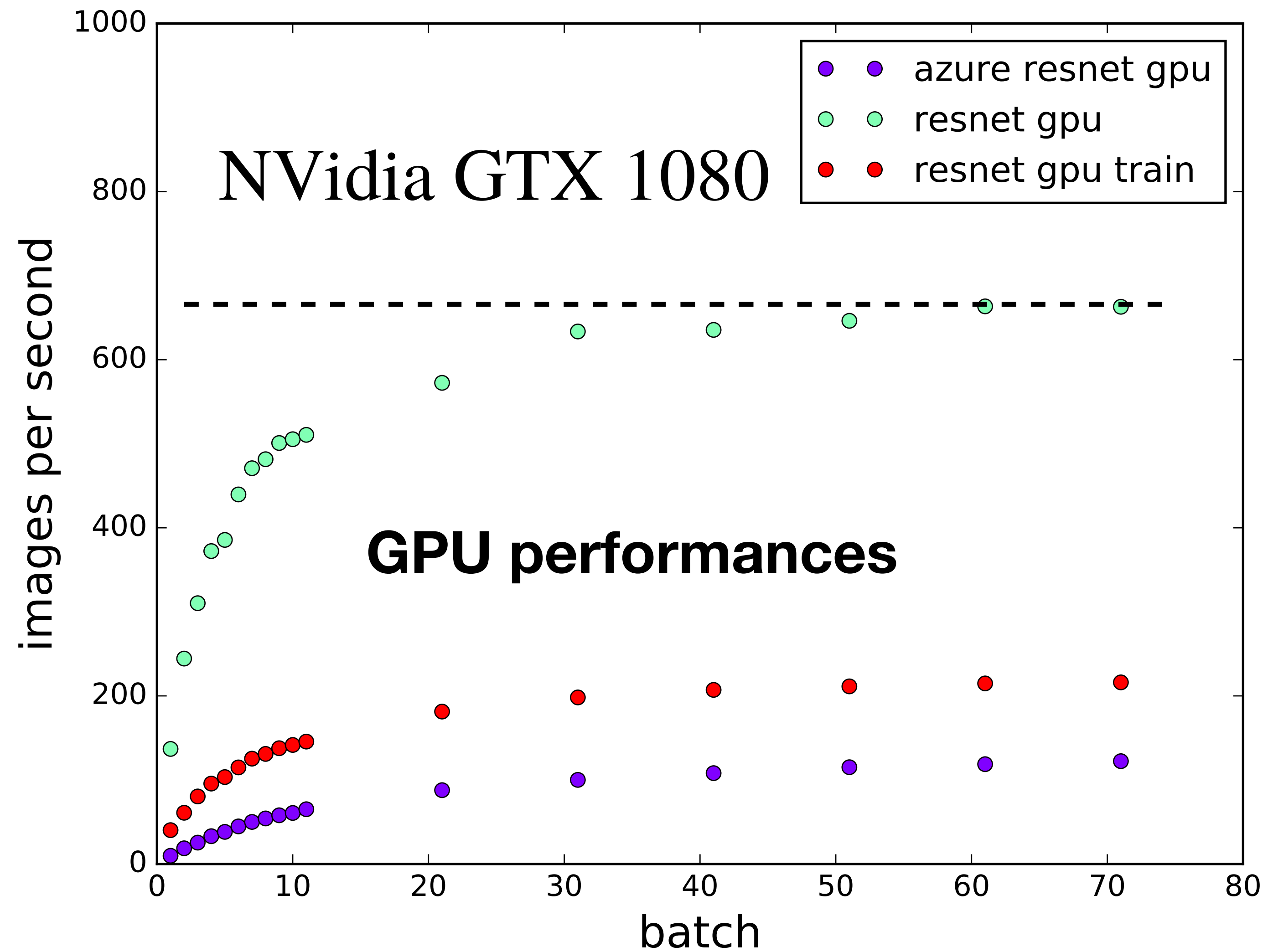
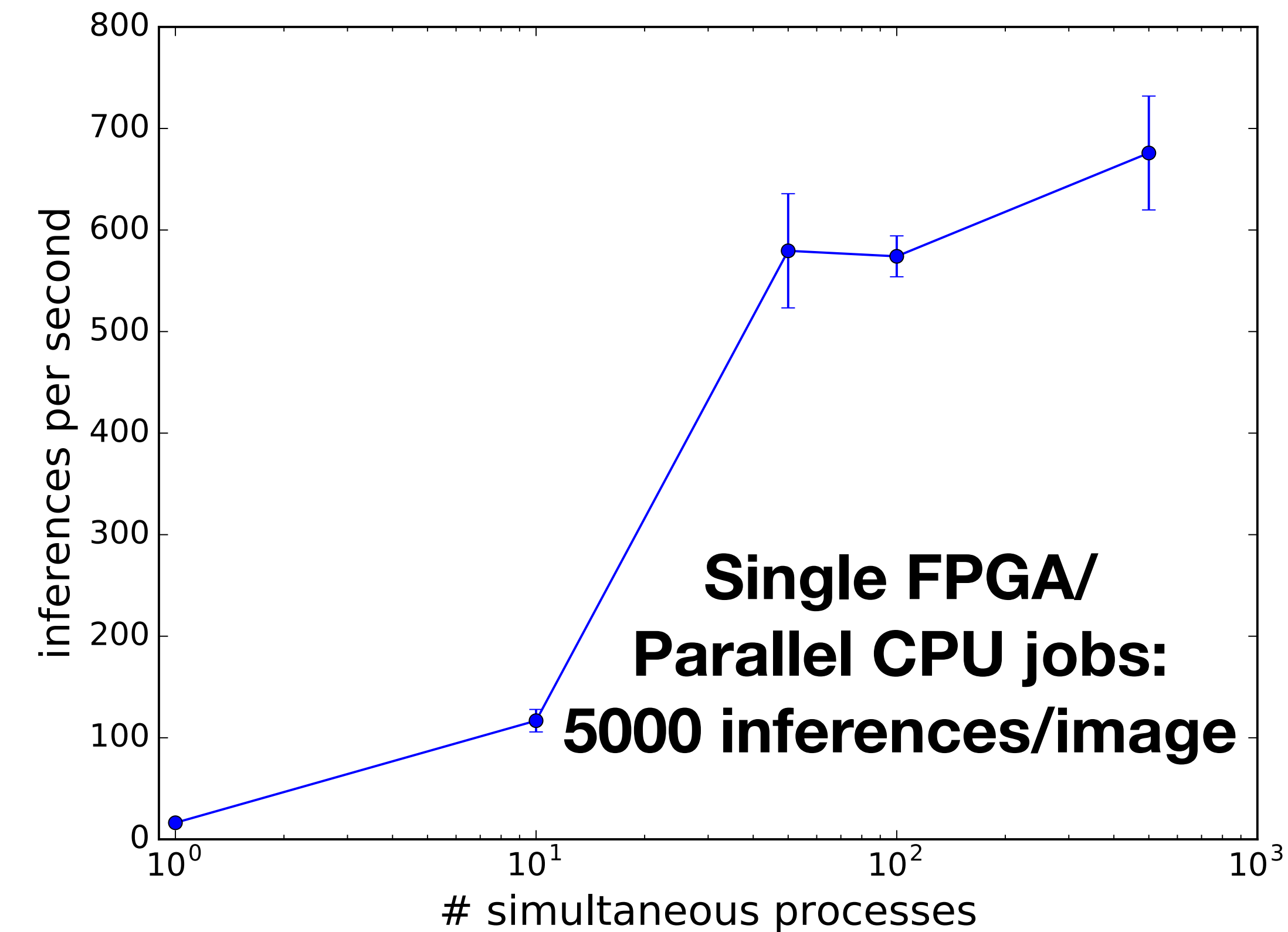
O(100k) —> 6 M





- **Stress test: single service(one FPGA), multiple CPU requests**
- **Each request has 5000 inferences**

## Brainwave cloud service

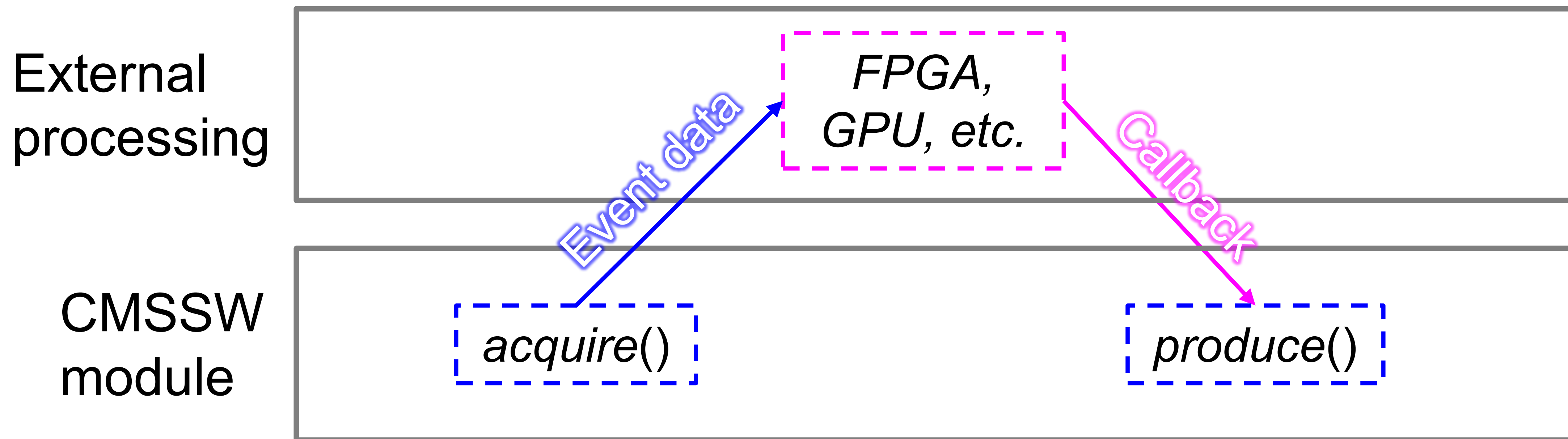


Comparable max data throughout: 600-700 images/sec



# SONIC: IMPLEMENTATION IN CMSSW

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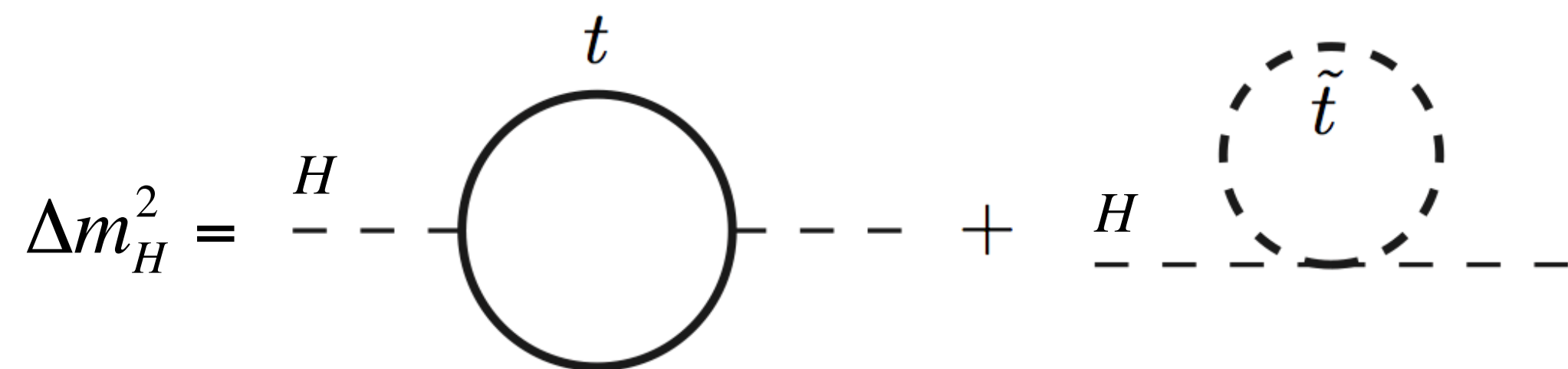


Deploy MS Brainwave as a service:

- Implemented with CMSSW ExternalWork module
- Fits CMS computing model in a non-disruptive way

# Supersymmetry: stop search

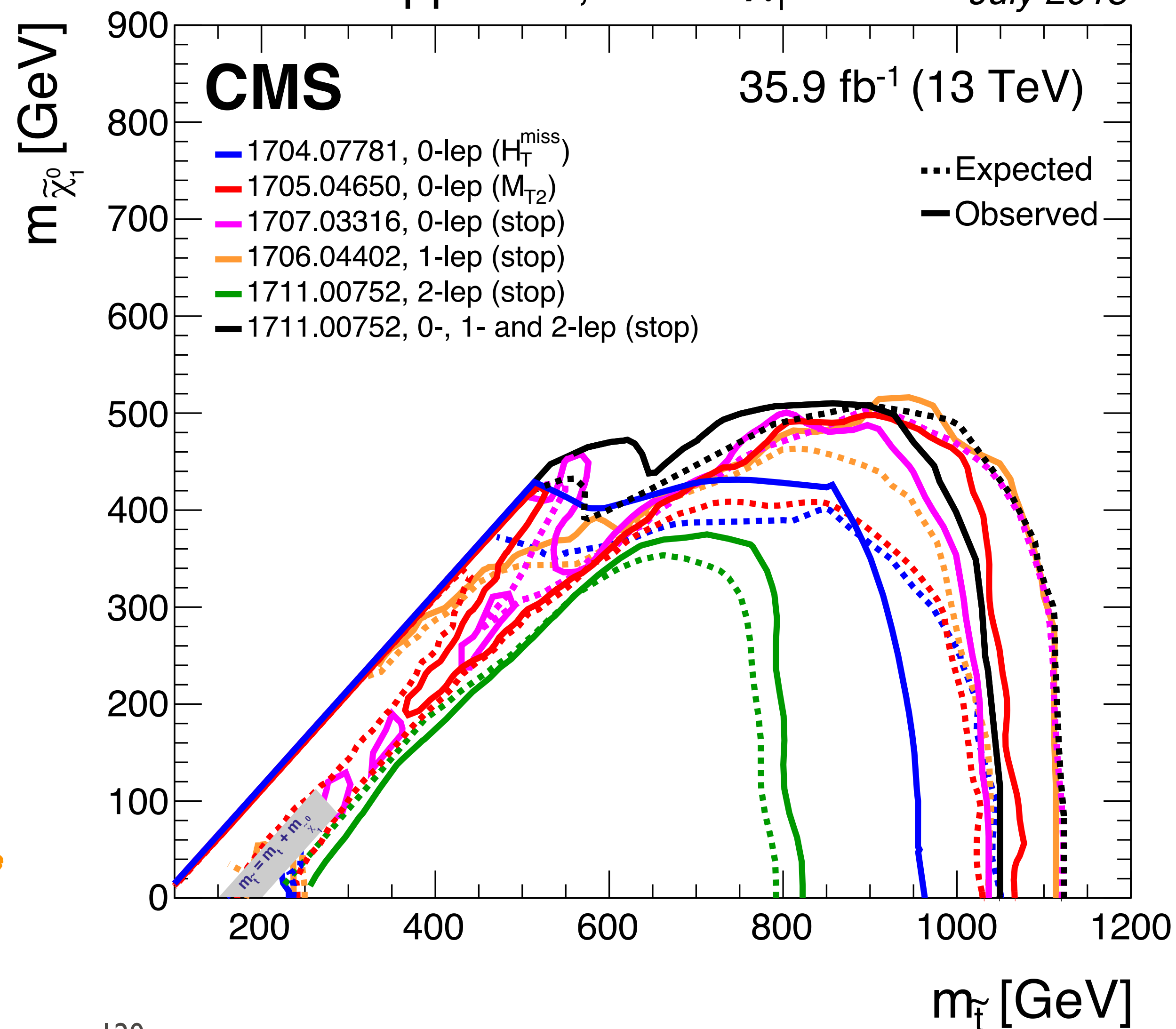
- Quickly probed up to 1 TeV.
- Still room in top-corridors
- R-parity violated models



- 1L channel: my first analysis on CMS

$$pp \rightarrow \tilde{t}\tilde{t}^*, \tilde{t} \rightarrow t \tilde{\chi}_1^0$$

July 2018





# Same sign selection

Table 1: Event selection criteria for the *SS category*, which contains events with two same-sign leptons and at least two hadronic jets

Variable	$e^{\pm}e^{\pm}$	$e^{\pm}\mu^{\pm}$	$\mu^{\pm}\mu^{\pm}$
Signal leptons	exactly 2 tight equally-charged leptons with $p_T > 25$ GeV		
Additional leptons	no additional rejection lepton		
Isolated tracks	no (additional) isolated tracks		
Jets	$\geq 2$ jets with $p_T > 30$ GeV, $ \eta  < 2.5$		
b-tagged jets	no b-tagged jet		
Dijet mass (closest $\Delta R$ )	$65 < M_{jj} < 95$ GeV ( $M_{jj}$ -in) <b>OR</b> $ M_{jj} - 80 \text{ GeV}  \geq 15$ GeV ( $M_{jj}$ -out)		
Dijet mass (leading jets)	$< 400$ GeV		
$\Delta\eta$ of two leading jets	$< 1.5$		
$p_T^{\text{miss}}$	$> 60$ GeV		$> 60$ GeV if $M_{jj}$ -out
$M_{\ell\ell}$	$> 40$ GeV	$> 30$ GeV	$> 40$ GeV
$M_{\ell\ell}$	$ M_{\ell\ell} - M_Z  > 10$ GeV		—
$M_T^{\text{max}}$	—	$> 90$ GeV	—

# Three leptons

Table 2: Event selection criteria for the  $3\ell$  category, which contains events with exactly three leptons

Variable	0 SFOS	1 SFOS	2 SFOS
Signal leptons	exactly 3 tight charged leptons with $p_T > 25/20/20$ GeV and charge sum = $\pm 1e$		
Additional leptons	no additional rejection lepton		
Jets	$\leq 1$ jets with $p_T > 30$ GeV, $ \eta  < 5$		
b-tagged jets	no b-tagged jet		
$p_T(\ell\ell\ell)$	—		$> 60$ GeV
$\Delta\phi(\vec{p}_T(\ell\ell\ell), \vec{p}_T^{\text{miss}})$		$> 2.5$	
$p_T^{\text{miss}}$	$> 30$ GeV	$> 45$ GeV	$> 55$ GeV
$M_T^{\text{max}}$	$> 90$ GeV		—
$M_T^{\text{3rd}}$	—	$> 90$ GeV	—
SF lepton mass	$> 20$ GeV		—
Di-electron mass	$ M_{ee} - M_Z  > 15$ GeV		—
$M_{\text{SFOS}}$	—	$ M_{\text{SFOS}} - M_Z  > 20$ GeV and $M_{\text{SFOS}} > 20$ GeV	
$M_{\ell\ell\ell}$		$ M_{\ell\ell\ell} - M_Z  > 10$ GeV	



Rare processes directly benefit from the large LHC Run-2 dataset

