



KOTO experiment: a measurement of the branching ratio of rare decay

$$K_L \rightarrow \pi^0 \nu \bar{\nu}$$

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On behalf of KOTO collaboration

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Outline

- Overview
- Physics motivation
- Experimental strategy
- E391a challenges
- Detector status
- 1st physics run and the radiation accident

Overview

- KOTO (K^0 at Tokai) experiment is designed to measure the branching ratio of rare decay $K_L \rightarrow \pi^0 \nu \bar{\nu}$. The SM branching ratio prediction is $2.43(39)(06) \times 10^{-11}$ (Phys.Rev.D.034030(2011)), with a very small theoretical uncertainty of **2.5%**.
- The facility to generate K_L beam for KOTO is the 50 GeV proton synchrotron (Main Ring energy is **30 GeV**) in J-PARC, Tokai-mura, Japan.
- Pilot experiment **E391a** (2001-2005) gave an upper limit: $\text{Br} < 2.6 \times 10^{-8}$ at 90% confidence level (Phys. Rev. D, 072004 (2010)), 3 orders of magnitude larger than SM predictions.



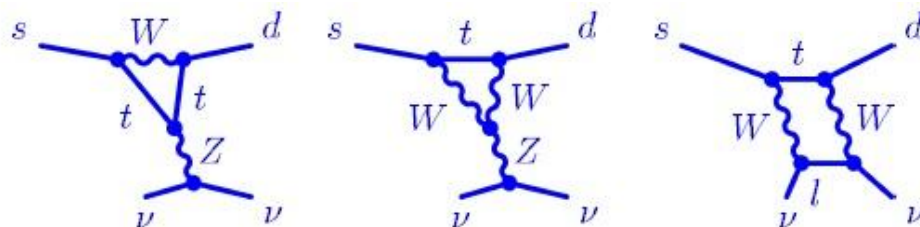
KOTO has a collaboration of 65 people from 16 institutes from 5 countries.



Physics Motivation I

- Fundamental test of the Standard Model

- It's a Flavor Changing Neutral Current (FCNC) process induced through electroweak penguin and box diagrams with t quark internal loop.

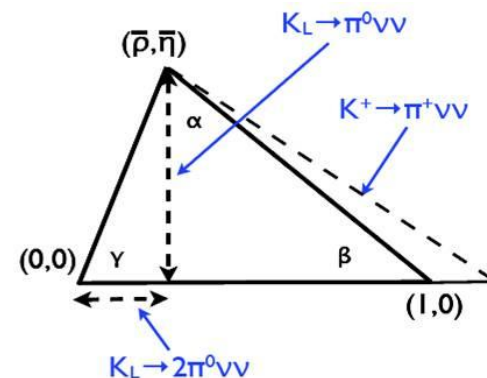


- Direct CP violating process: branching ratio proportional to the square of the imaginary part of CKM matrix

(in Wolfstein parametrization to 3rd order of λ)

$$V = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$$\begin{aligned} Br(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) &= 6.87 \times 10^{-4} \times Br(K^+ \rightarrow \pi^0 e^+ \nu) \times A^4 \lambda^8 \eta^2 X^2(x_t) \\ &= (2.43 \pm 0.39 \pm 0.06) \times 10^{-11} \end{aligned}$$

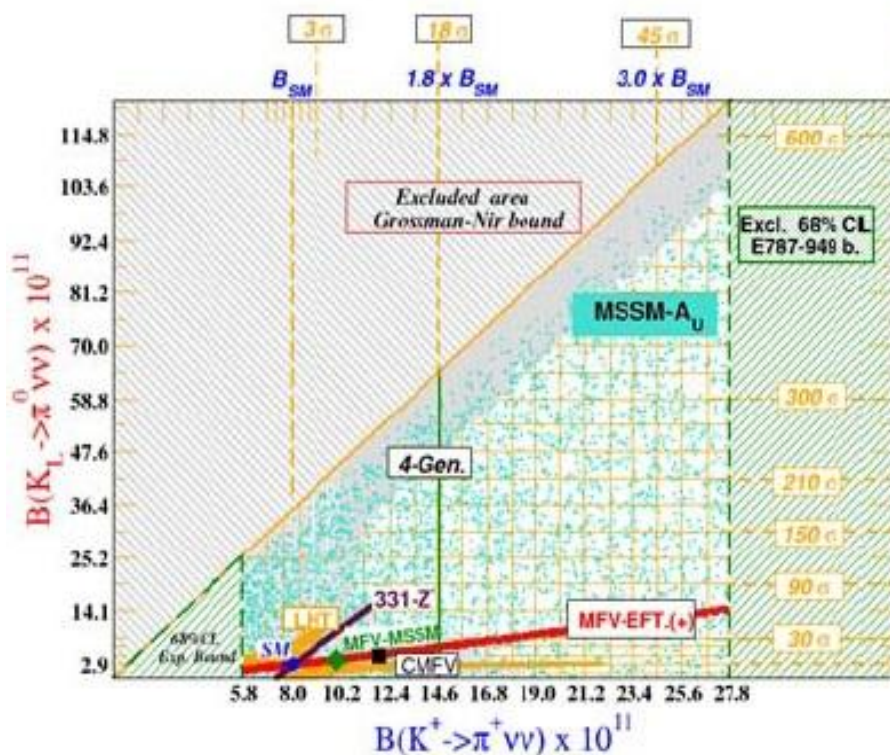


$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0.$$

Physics Motivation II

- Beyond Standard Model (BSM) extensions

- The decay is dominated by electroweak loop diagrams, thus sensitive to short distance (high energy scale) physics. Therefore, it's a good probe of high energy scale physics using a low energy process.



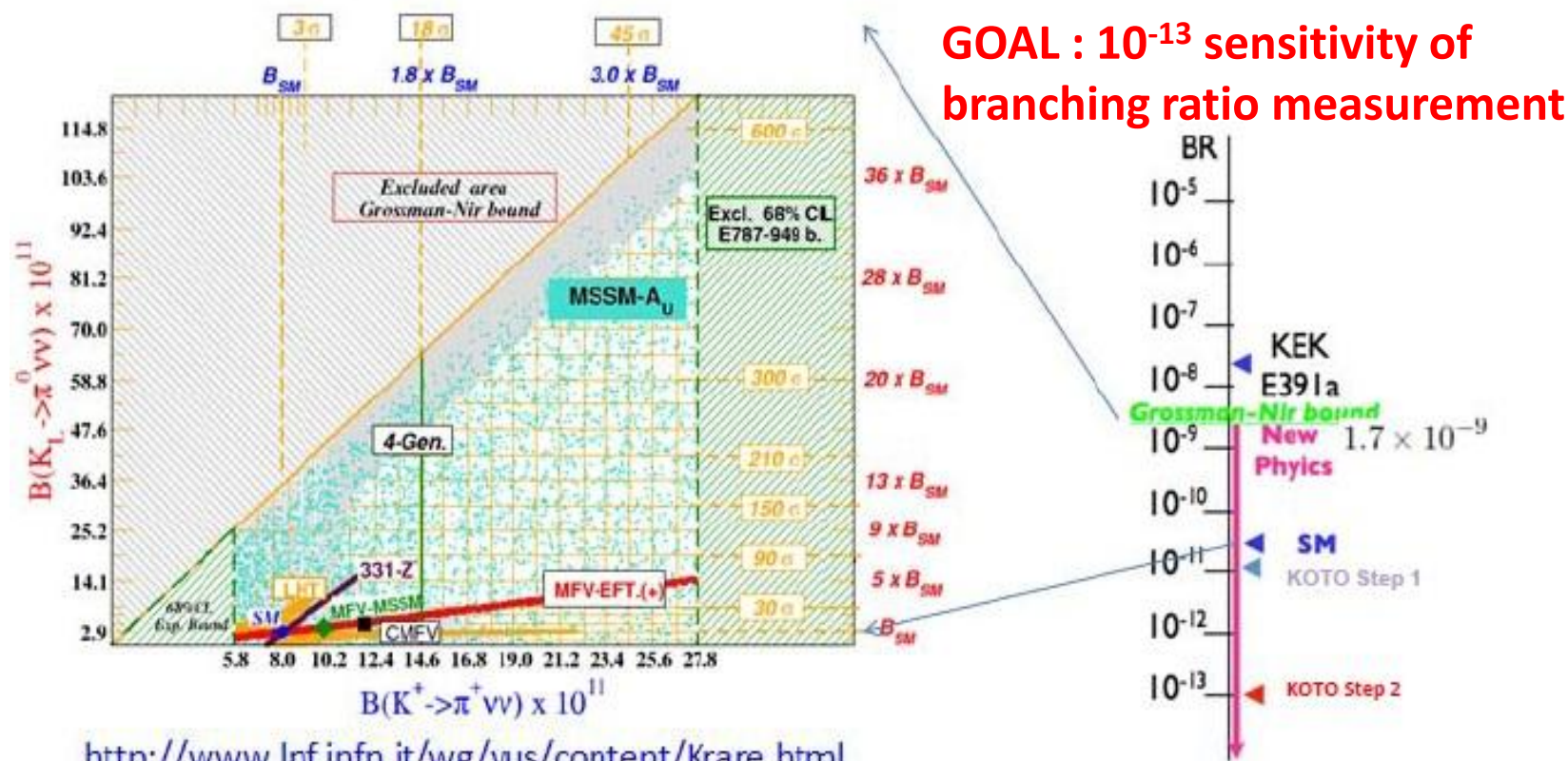
	AC	RVV2	AKM	δ LL	FBMSSM	LHT	RS
$D^0 - \bar{D}^0$	★★★	★	★	★	★	★★★	?
ϵ_K	★	★★★	★★★	★	★	★★	★★★
$S_{\psi\psi}$	★★★	★★★	★★★	★	★	★★★	★★★
$S_{\psi K_S}$	★★★	★★	★	★★★	★★★	★	?
$A_{CP}(B \rightarrow X_s \gamma)$	★	★	★	★★★	★★★	★	?
$A_{7,8}(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★★★	★★★	★★	?
$A_9(B \rightarrow K^* \mu^+ \mu^-)$	★	★	★	★	★	★	?
$B \rightarrow K^{(*)} \nu \bar{\nu}$	★	★	★	★	★	★	★
$B_s \rightarrow \mu^+ \mu^-$	★★★	★★★	★★★	★★★	★★★	★	★
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	★	★	★	★	★	★★★	★★★
$\mu \rightarrow e \gamma$	★★★	★★★	★★★	★★★	★★★	★★★	★★★
$\tau \rightarrow \mu \gamma$	★★★	★★★	★	★★★	★★★	★★★	★★★
$\mu + N \rightarrow e + N$	★★★	★★★	★★★	★★★	★★★	★★★	★★★
d_u	★★★	★★★	★★★	★★	★★★	★	★★★
d_c	★★★	★★★	★★	★	★★★	★	★★★
$(g-2)_\mu$	★★★	★★★	★★	★★★	★★★	★	?

10^{-13} KOTO Step 2

Physics Motivation II

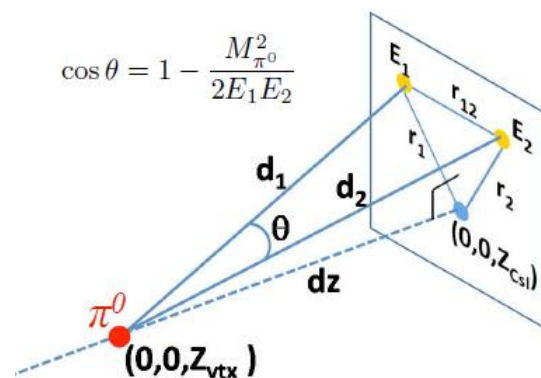
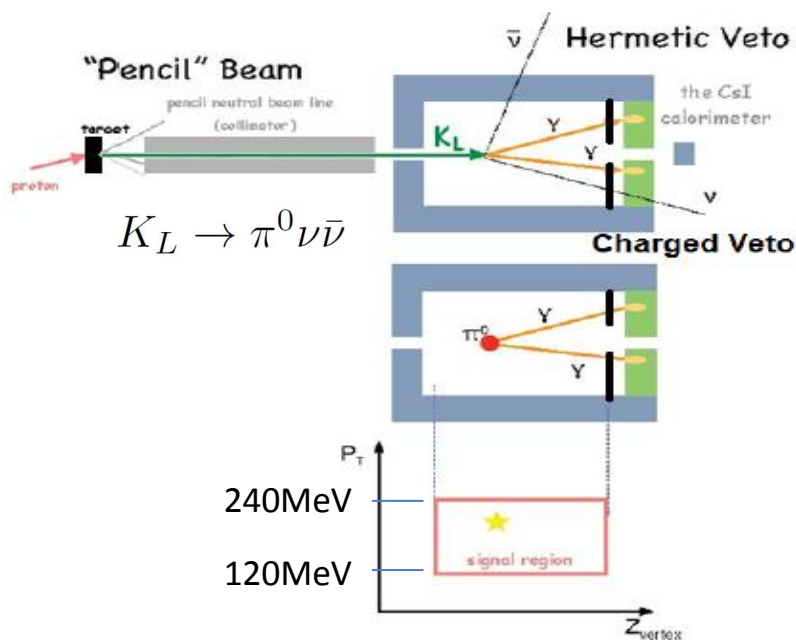
- Beyond Standard Model (BSM) extensions

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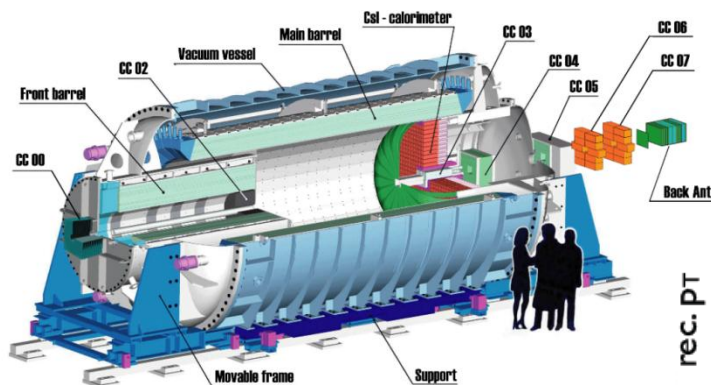
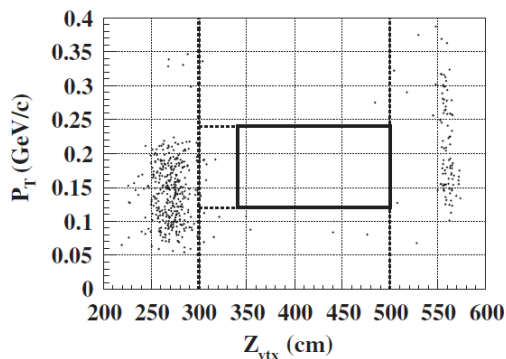
Experimental strategy

- Select events with **only two photons and nothing else**. (Calorimeter + hermetic veto)
- Using the information of **deposit energy** (E_i) and **position** (r_i) of the two photons, reconstruct the π^0 **decay point** (Z_{vertex}) (which is the K_L decay point), and P_T .
- Define a signal region in P_T - Z_{vertex} plane to find the signal.
- K_L pencil beam: put a constraint on kinematic reconstruction



Pilot E391a and its challenges

- E391a gives an upper limit measurement of $Br < 2.6 \times 10^{-8}$ at 90% Confidence level. (Phys. Rev. D, 072004 (2010))
- Neutron interaction with detectors close to the beam is the biggest background. At a single event sensitivity of 1.1×10^{-8} .

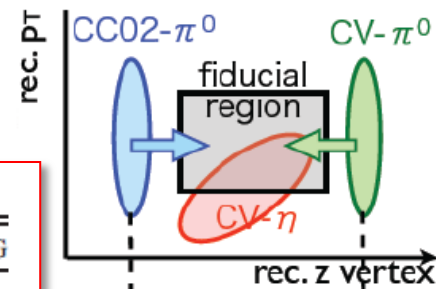


How to make an improvement of 1000 times to reach SM sensitivity

- Halo neutron suppression
- $K_L \rightarrow 2\pi^0$ background suppression
- New readout electronics

TABLE V. Estimated number of background events.

Background source		Estimated number of BG
Halo neutron BG	CC02- π^0	0.66 ± 0.39
	CV- π^0	< 0.36
	CV- η	0.19 ± 0.13
K_L^0 decay BG	$K_L^0 \rightarrow \pi^0 \pi^0$	$(2.4 \pm 1.8) \times 10^{-2}$
	$K_L^0 \rightarrow \gamma \gamma$	Negligible
	Charged modes	Negligible ($\mathcal{O}(10^{-4})$)
Other BG	Backward π^0	< 0.05
	Residual gas	Negligible ($\mathcal{O}(10^{-4})$)
Total		0.87 ± 0.41

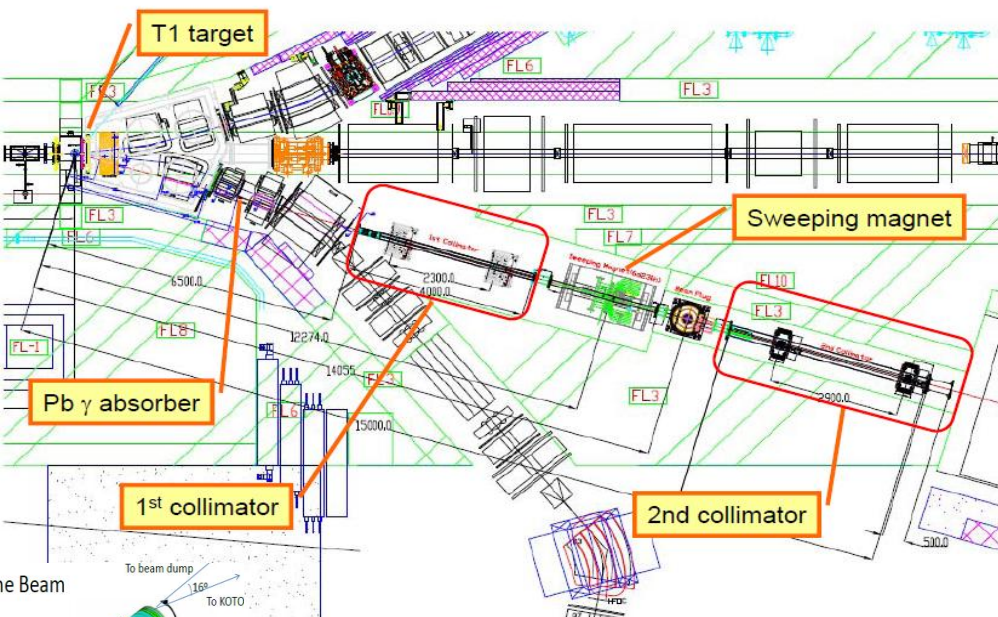


Beam line

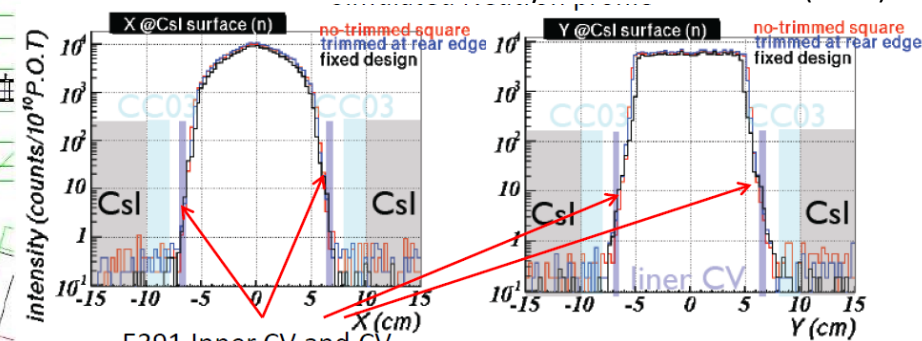
- 30 GeV accelerator
 - Higher beam intensity, K_L yield
 - Large extraction angle
- Re-designed collimator systems to suppress halo neutron.

- Primary proton energy
- Proton intensity(/spill)
- Spill-length/repetition
- Extraction angle
- K_L yield(/spill)
- Average P_{KL}
- n/K_L ratio

	J-PARC E14 KOTO	KEK-E391a
Primary proton energy	30 GeV	12 GeV
Proton intensity(/spill)	2×10^{14}	2.5×10^{12}
Spill-length/repetition	0.7s / 3.3s	2s / 4s
Extraction angle	16 deg.	4 deg.
K_L yield(/spill)	8.1×10^6	3.3×10^5
Average P_{KL}	2.1 GeV/c	2.6 GeV/c
n/K_L ratio	6.5	45



Nuclear Instruments and Methods in Physics Research A 664 (2012)

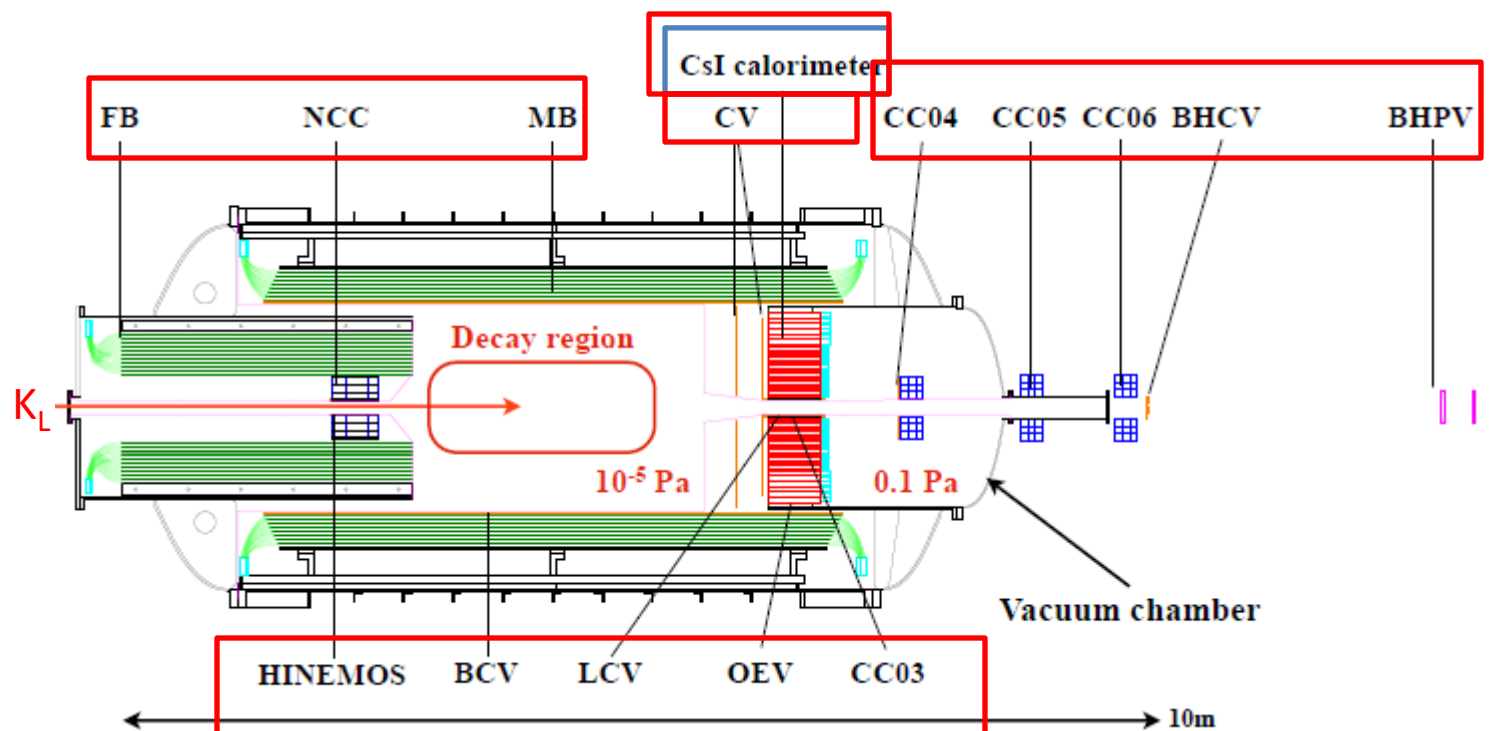


KOTO front CV, $|Y|, |Y| > 15$ cm

The ratio of halo neutron/ K_L is suppressed by a factor > 200 , comparing with E391a



Detectors

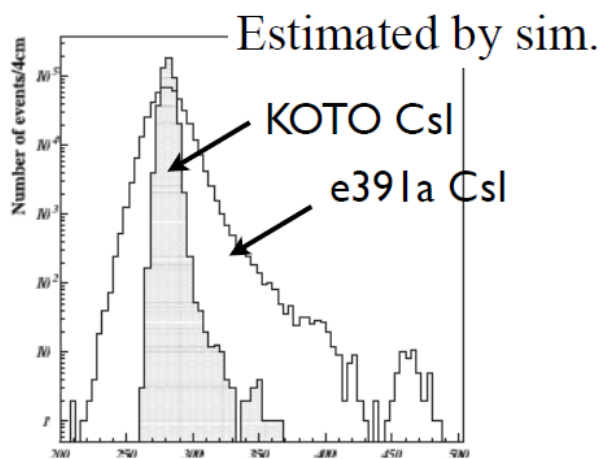
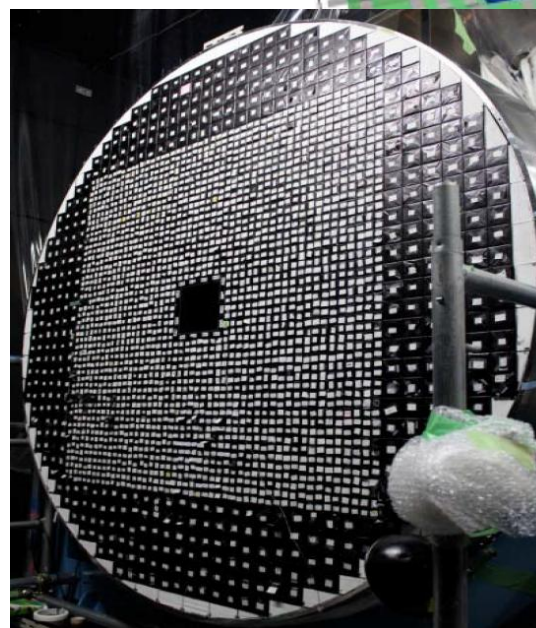
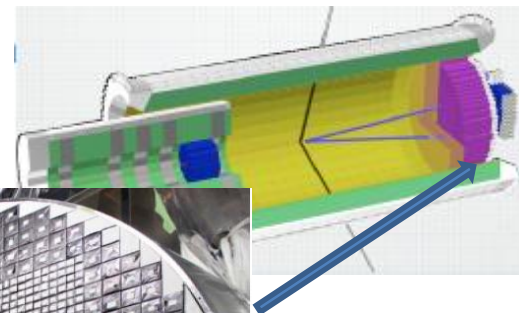


Veto

Calorimeter

CsI Calorimeter

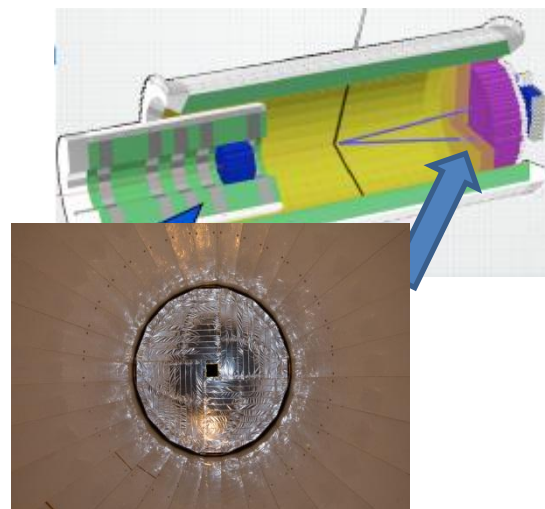
- CsI Calorimeter is the endcap detector to measure photon energy and position.
- Some upgrade from E391a:
- Finer granularity using KTeV CsI crystals. -> **better position resolution**
 - 25 * 25 mm² and 50 * 50 mm²
 - 2716 readout channels in total
- Longer Length -> **reduce photon punch-through**
 - 16X₀->27 X₀
- Improve π^0 reconstruction:



Reconstructed π^0 vertex

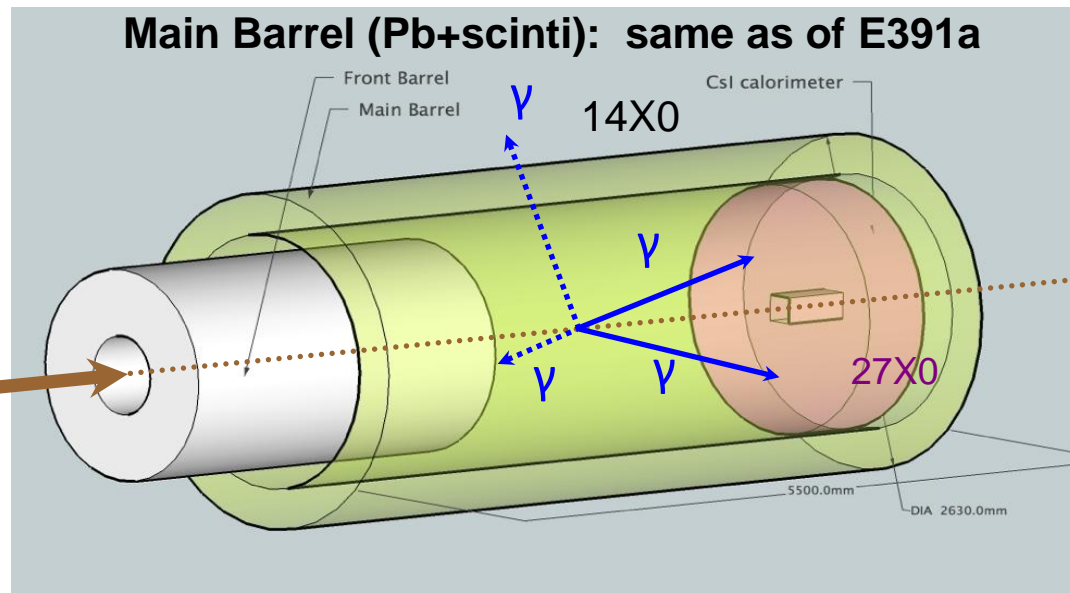
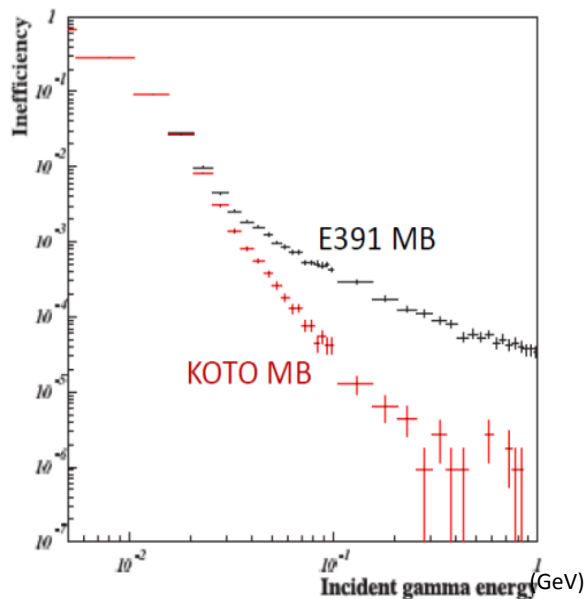
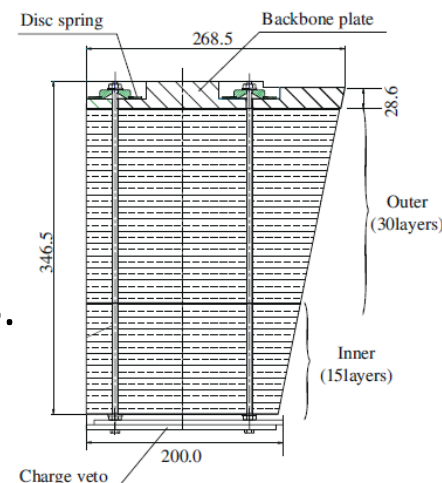
Charged Veto (CV)

- Charged Veto is one endcap detector vetoing charged decay. $Ke3$, $K\mu3$, $\pi^+ \pi^- \pi^0$ decay.
- 2 planes of plastic scintillator
 - 2m diameter, 3mm thick
 - Wavelength Shift fiber and MPPC readout
 - Light material to reduce neutron interaction
 - $<10^{-6}$ inefficiency required



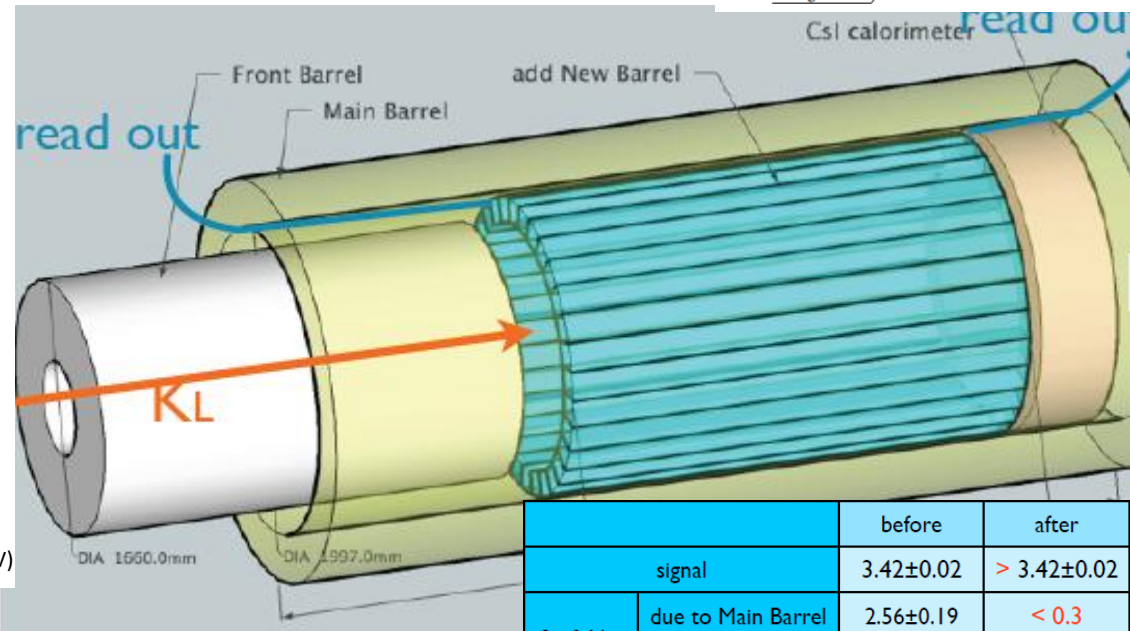
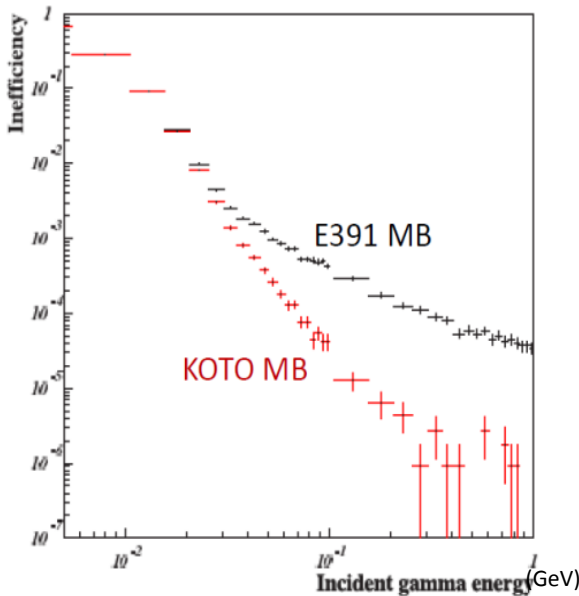
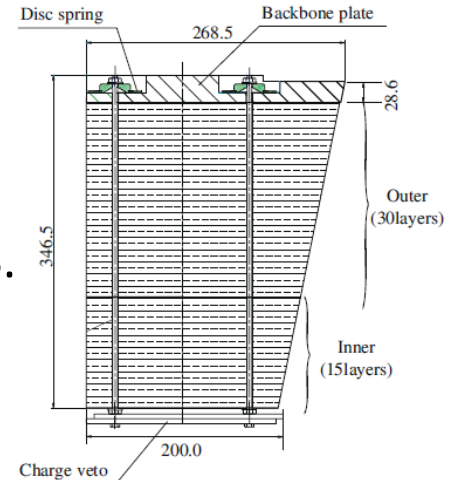
Main Barrel (MB)

- Main Barrel (MB) cover the decay region
 - Plastic scintillator and lead sandwich structure
 - WLS fiber and two end PMT readout
 - 14 X0
- Main background: $K_L \rightarrow 2\pi^0$ with one or two γ escaped MB.
- To reduce inefficiency, one MB upgrade is to insert 5 X0 thickness of Pb/scintillator.



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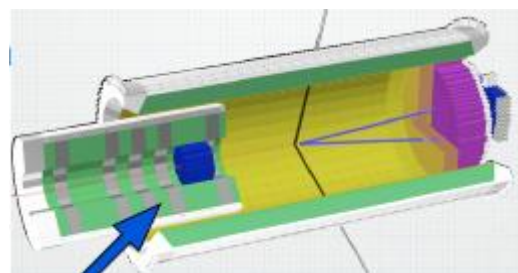
	before	after	
signal	3.42±0.02	> 3.42±0.02	
2π0 bkg	due to Main Barrel	2.56±0.19	< 0.3
	other detectors	0.24	0.24

Main Barrel photon detection inefficiency

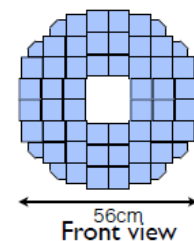
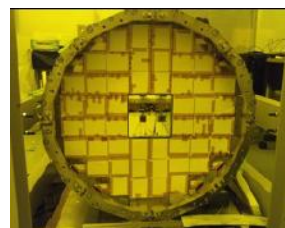
Neutron Collar Counter (NCC)

- NCC is the veto detector at the exit of FB close to the beam line.
- NCC is made up of undoped CsI crystals segmented along the z axis to differentiate neutron from photon based on shower shapes.
- Two functions:
 - veto events with halo-neutron interactions. ->Common readout
 - Measure the amount of halo-n and energy spectrum to estimate background.

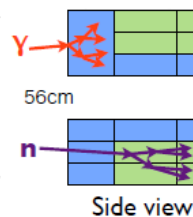
-> Individual readout



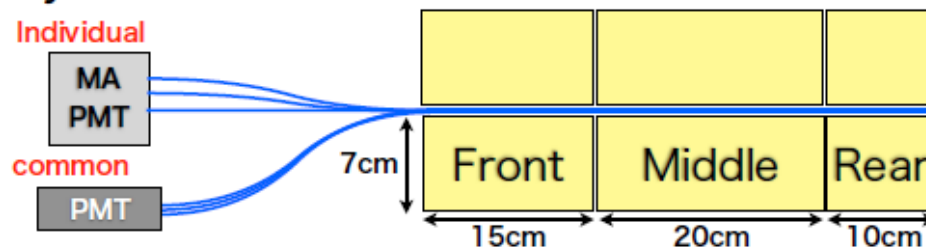
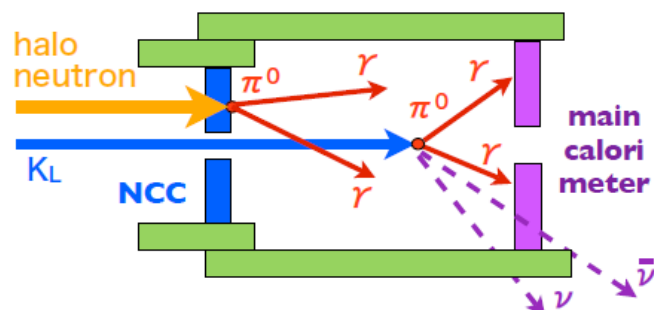
NCC



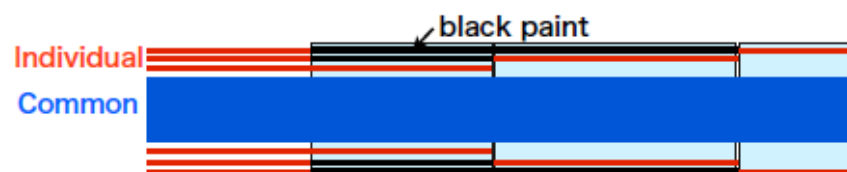
Concept of n/ γ discrimination



cutaway side view

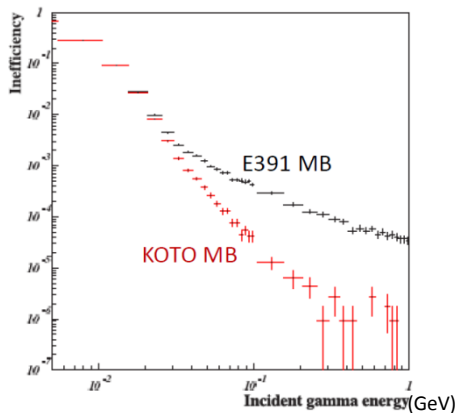


top view

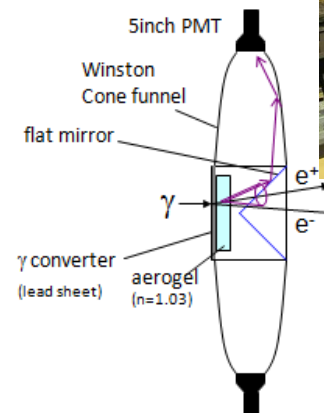
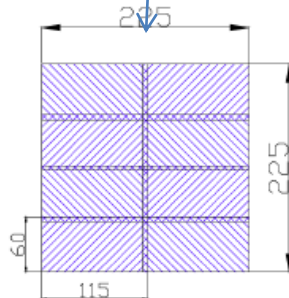
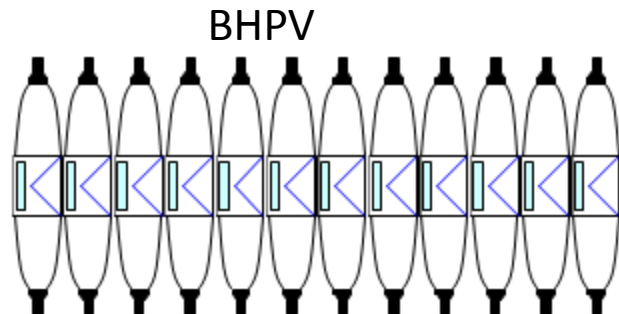
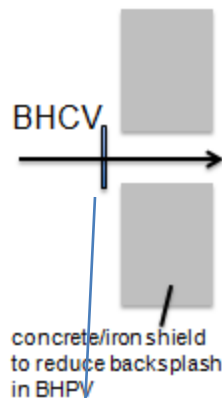
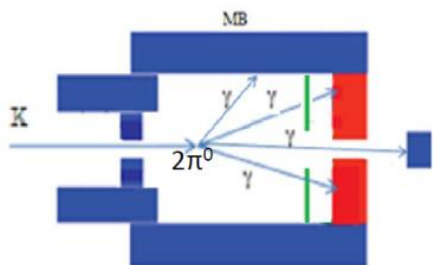


Beam Hole Charged Veto (BHCV) & Beam Hole Photon Veto (BHPV)

- BHCV and BHPV are beam hole detectors downstream to catch the particles escaping the beam hole so as to complete the hermeticity.
- Due to Main Barrel and CV inefficiency, the BHCV will catch the $\pi^+ \pi^-$ to reduce the $K_L \rightarrow \pi^+ \pi^- \pi^0$ background. BHPV will catch the extra photon to reduce the $K_L \rightarrow 2\pi^0$ background.
- BHCV: scintillator plate.
- BHPV: aerogel Cerenkov detectors.

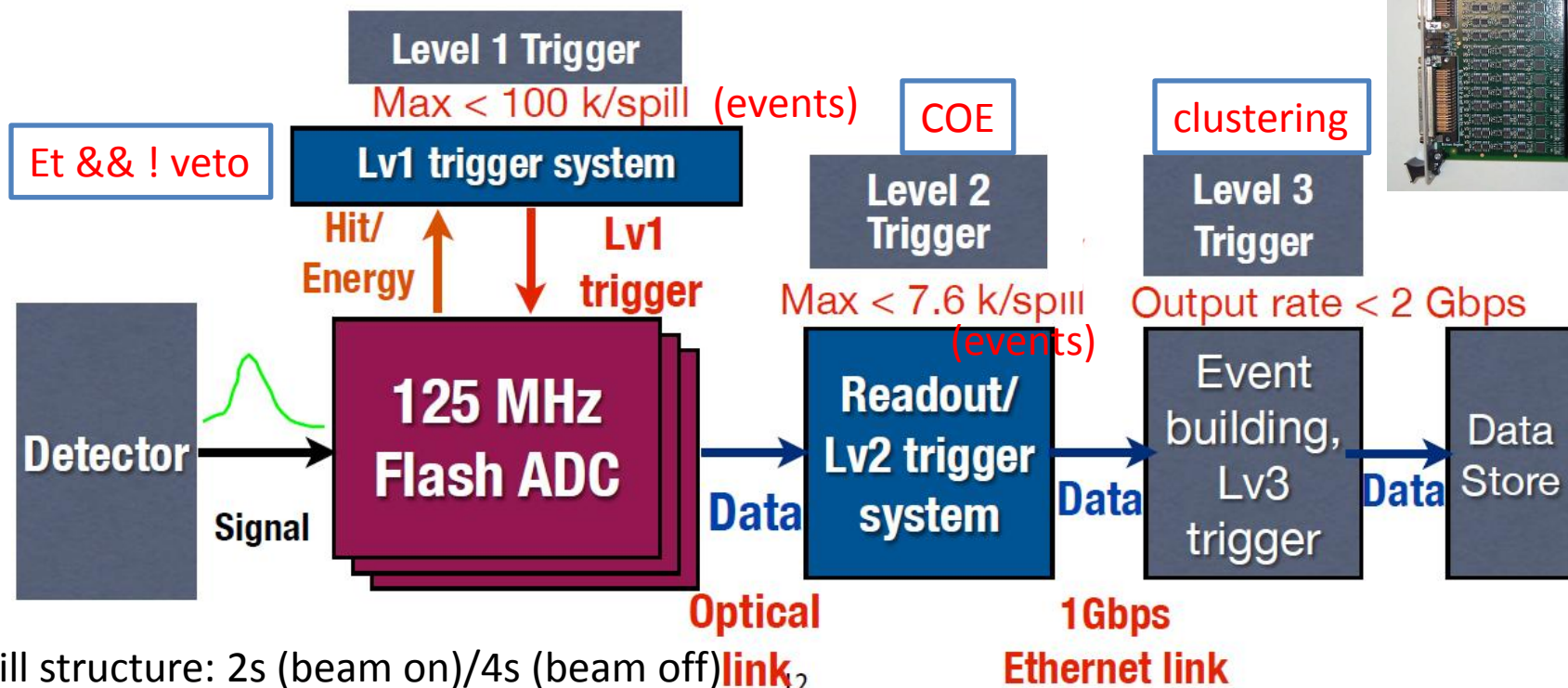


Main Barrel photon detection inefficiency

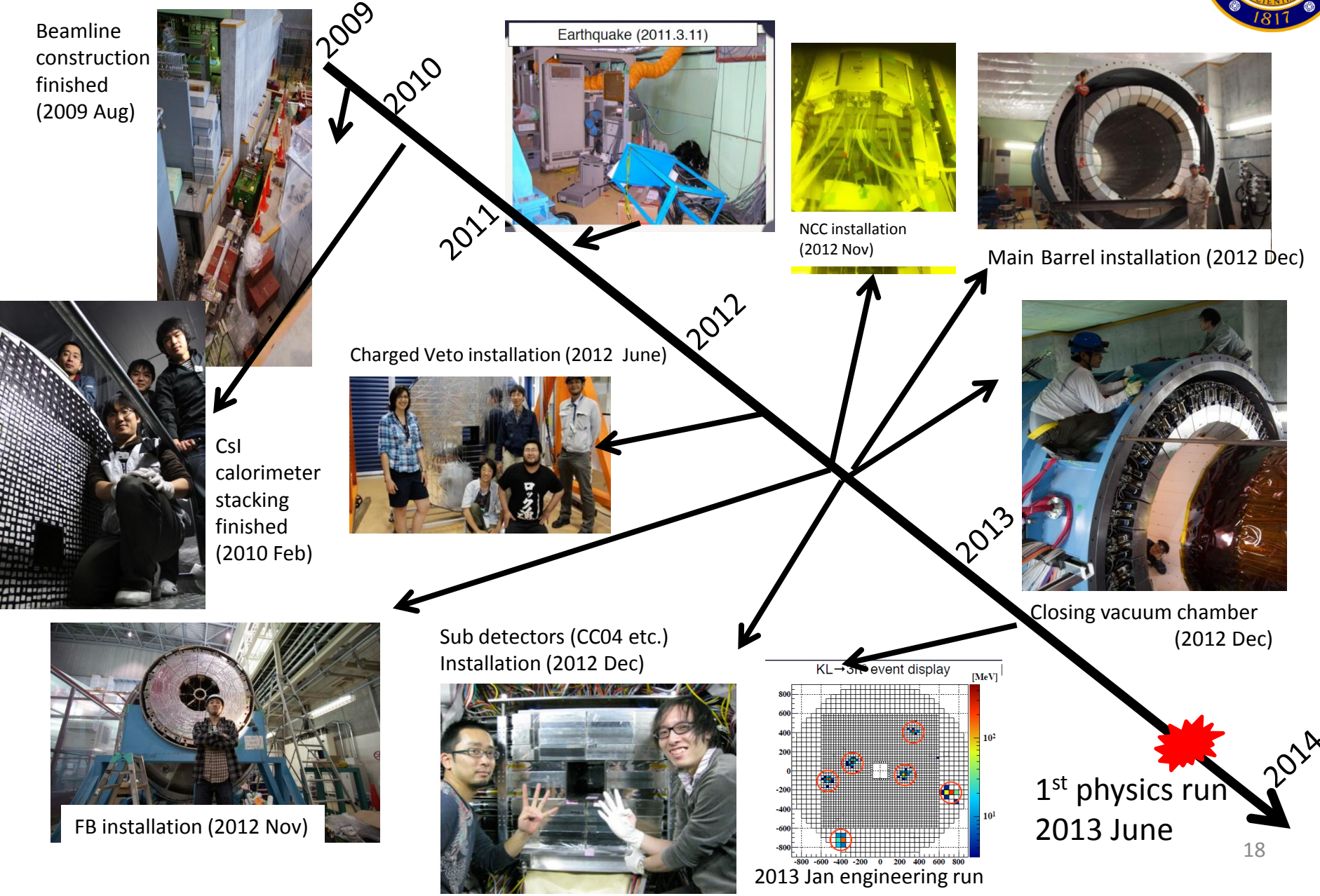


Data Acquisition system

- Challenges for rare decay experiment DAQ: high timing resolution; high data rate.
- Waveform sampling ADC front-end (0.1ns timing resolution)
- 3-tier pipeline trigger system



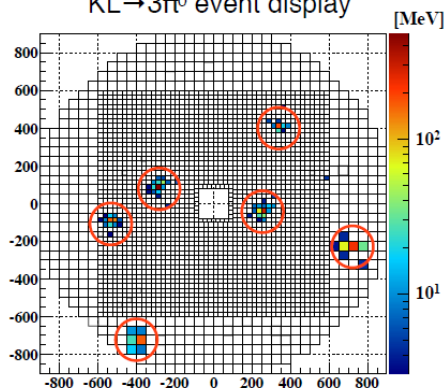
Timeline of KOTO



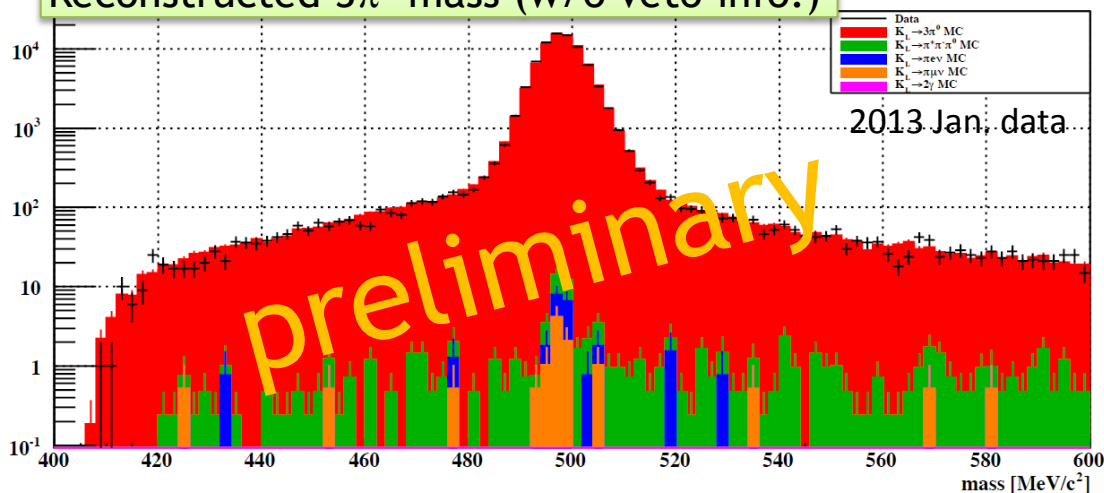
Results from 2013 January engineering run

- $K_L \rightarrow 3\pi^0$ reconstruction
 - Good statistics 20%
 - studying CsI performance, reconstruction method and MC.
- $K_L \rightarrow 2\pi^0$ reconstruction
 - Main background
 - Good tool for studying veto performance

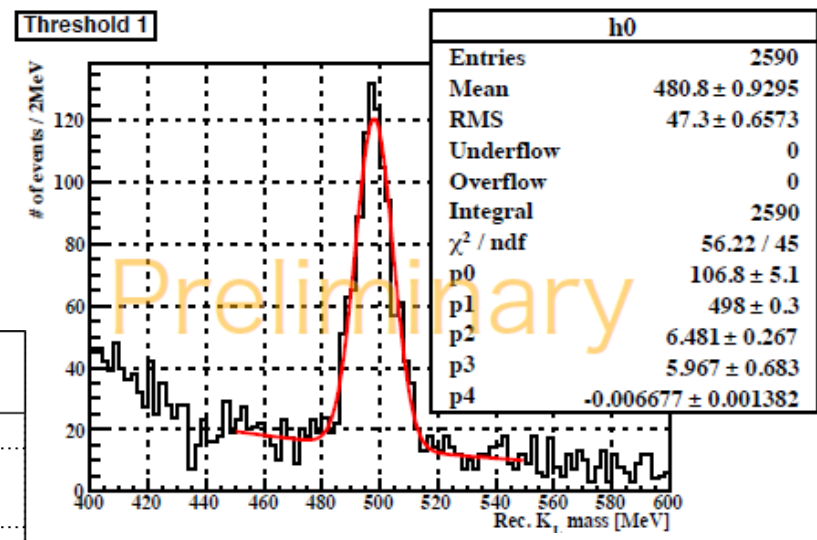
$K_L \rightarrow 3\pi^0$ event display



Reconstructed $3\pi^0$ mass (w/o veto info.)

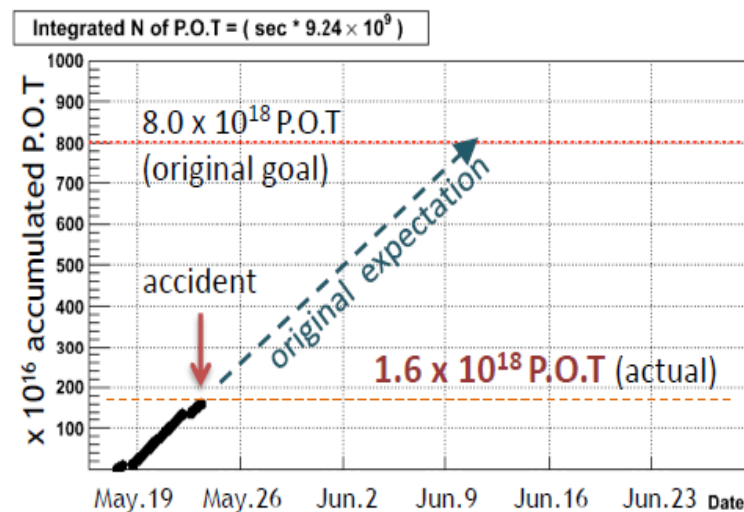
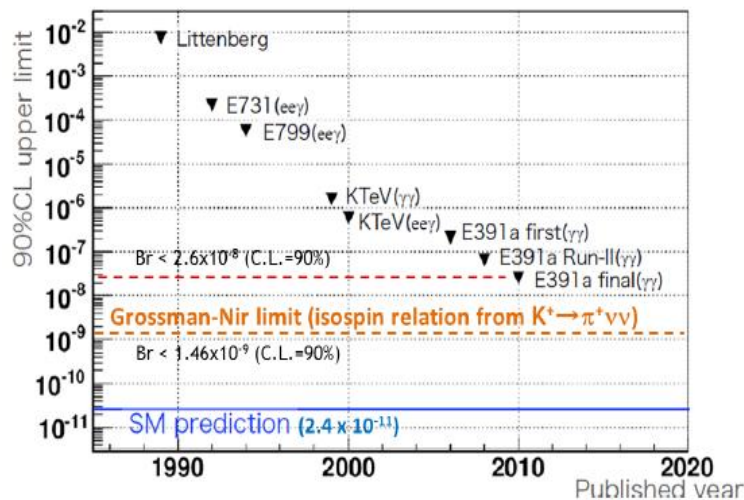


Rec. $2\pi^0$ mass distribution



1st Physics run (May-June 2013)

- The original plan for the KOTO physics run was to reach the Grossman-Nir bound sensitivity by running for a month.
- A radiation accident occurred in Hadron Hall on May 23rd. The data taking was terminated after an integrated Protons on Target (P.O.T) of 1.6×10^{18} .
- We estimate to have better sensitivity than E391a with this data set.



Conclusion

- KOTO experiment is dedicated to observe rare decay of $K_L \rightarrow \pi^0 \nu \bar{\nu}$ and measure its branching ratio at high sensitivity to test SM and BSM extensions.
- In 2012, all the sub-detectors were installed. And two engineer runs during 2013 January and April proves that all detectors are well understood.
- Physics run started in May, but was terminated early due to the radiation accident of the Hadron Hall on May 23rd. Accumulated POT was 1.6×10^{18} . From this data set, we expect to get better sensitivity than E391a.

backup

KOTO sensitivity estimate and BG budget

	GEANT4 value	new value
K_L^0 flux/ 2×10^{14} P.O.T	7.4×10^6	1.94×10^7
P.O.T	1.8×10^{21}	1.8×10^{21}
decay probability	4.0%	3.9%
geometrical acceptance	28%	27%
cut efficiency	23%	22%
acceptance loss	72%	76%
sensitivity	2.1×10^{-11}	1.0×10^{-11}
number of signal event	1.2	2.4

Table 7.2: Summary of the expected numbers of the signal and backgrounds.

	source	GEANT4 value	new value
signal	$K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$	1.16 ± 0.01	2.39 ± 0.03
K_L^0 decays	$K_L^0 \rightarrow 2\pi^0$	0.74 ± 0.02	1.32 ± 0.04
	$K_L^0 \rightarrow \pi^+ \pi^- \pi^0$	0.05 ± 0.01	0.11 ± 0.01
	$K_L^0 \rightarrow \pi^\pm e^\mp \nu$	0.04 ± 0.01	0.07 ± 0.04
halo neutron	NCC- π^0	0.05 ± 0.02	0.05 ± 0.02
	CV- π^0	0.04 ± 0.04	0.04 ± 0.04
	CV- η	0.01 ± 0.01	0.01 ± 0.01
	S/N	1.25	1.49