# Neutrino Flux Measurement Using Neutrino-Hydrogen Interactions in the DUNE Near Detectors

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Seminar @BNL 07/11/2019



This means:

- Neutrinos have mass.
- Each flavor state is a superposition of different mass states.
- New physics beyond standard model!  $(\nu_{\mu})$

# **Neutrino Oscillation**

- Neutrinos in standard model:
  - Massless, neutral leptons, week interactions only.
- Experiments has observed that neutrinos created in one flavor can be detected in another flavor at a distance.

$$\begin{bmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} \begin{bmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{bmatrix}$$

mass

Flavor

# **Neutrino Oscillation**



- Measurement of neutrinos oscillations could answer important questions like:
  - Mixing angles
  - Neutrino mass ordering
  - Leptonic CP-violation
- Still other questions: absolute mass scale, mass origin...



Deep Underground Neutrino Experiment

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• The next generation long-baseline neutrino oscillation experiment in the US



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#### **Uncertainties to Neutrino Oscillations**



• We need new detector technologies and new analysis approach to bring down the systematic uncertainties for DUNE.









External hadron production data











#### Low-v Flux Measurement



At very low  $v = E_v - E_l$ , the cross section is independent from  $E_v$ :

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$$\frac{d\sigma}{d\nu} = A\left(1 + \frac{B}{A}\frac{\nu}{E} - \frac{C}{A}\frac{\nu^2}{2E^2}\right) \qquad \nu/E \to 0$$

(A, B and C are parameters formed by nuclear structure functions and form factors.)

- The measurement of low-v neutrino energy spectrum is approximately a measurement of flyx shape.
- The effect of non-zero  $\Rightarrow$  Au(tlist accounted for by) a correction by MC. dv AE  $AZE^{2}$

#### **Previous Low-v Measurements**

- Low-v has been used by past experiments including NOMAD, MINOS, MINERvA etc.
- MINERvA has an excellent example why we want *in situ* flux measurements.
  - External hadron production data (thin target or think target data from NA49 and MIPP) give inconsistent result.



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  - External hadron production data (thin target or think target data from NA49 and MIPP) give inconsistent result.
  - Independent check by low-v measurements confirms the thin target result.



### Uncertainties to the Low-v Method



- $E_{\nu}$  reconstruction: dominated by  $E_{\mu}$  scale uncertainty
- $E_{Had}(v)$  uncertainty: is it really "low"-v? (nuclear effects!)
- MC shape correction: knowledge of the B/C terms



### Uncertainties to the Low-v Method



# If We Have Hydrogen Target...

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- No ambiguities in v due to final-state interactions.
- Much better known cross-section model.



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#### Great! But how do we get hydrogen target?

- Build a hydrogen detector (fill GAr TPC with high-pressure hydrogen gas for example). Causes safety concerns. (A potential hydrogen bomb!)
- Use hydrocarbon and carbon-subtraction



- Neutrino mode:  $\nu_{\mu}p \rightarrow \mu^{-}p\pi^{+}$
- Anti-neutrino mode:
  - $\bar{\nu}_{\mu}p \rightarrow \mu^+ p\pi^-$
  - $\bar{\nu}_{\mu}p \rightarrow \mu^{+}n$

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# Introduction to KLOE-STT

Yoke

S.C. coil

Barrel EMC

STT

Crvos

7 m

- Re-use of KLOE magnet (solenoid) and EM calorimeter (lead-scintillating fibers), which reduces the cost.
- Straw-Tube Tracker provide the tracking and target mass.
  - A compact version of DUNE CDR reference design
  - Low-density, high resolution.
  - Provide hydrogen!
- For more details see docdb #13262












### **Statistics**

#### • Assuming 5-ton radiator (CH2) mass

	CP optimized beam		$\nu_{\tau}$ optimized beam	
Process	FHC 1.2MW, $5y$	RHC 1.2MW, 5y	FHC 2.4MW, 2y	RHC 2.4MW, 2y
$\nu_{\mu} \text{ CC on CH}_2$	34,300,000	5,500,000	65,570,000	3,810,000
$\bar{\nu}_{\mu}$ CC on CH <sub>2</sub>	$1,\!680,\!000$	$13,\!100,\!000$	$1,\!152,\!000$	$24,\!000,\!000$
$\nu_e$ CC on CH <sub>2</sub>	508,000	$242,\!000$	665,000	181,000
$\bar{\nu}_e$ CC on CH <sub>2</sub>	85,700	187,000	70,000	190,000
$\nu_{\mu}$ CC on H	3,360,000	$542,\!000$	6,510,000	375,000
$\bar{\nu}_{\mu}$ CC on H	308,000	$2,\!490,\!000$	210,000	$4,\!330,\!000$
$\nu_e \text{ CC on H}$	49,700	$23,\!900$	$65,\!800$	$17,\!800$
$\bar{\nu}_e \text{ CC on H}$	15,400	$34,\!400$	12,600	$33,\!900$

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**Excellent hydrogen statistics** 

- We don't have to subtract carbon events from CH2 in full phase space.
- Kinematic cuts significantly reduces carbon background.

#### v-H Event Selection



Free proton: No fermi motion, no FSI... easy final stat topology

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Free proton: No fermi motion, no FSI... easy final stat topology Carbon nucleus: Fermi motion, binding energy, NN correlations, FSI... Iow-energy proton, pion or neutrons easily miss detection

#### *v*-H Selection: Transverse Kinematics



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 Hydrogen: Momentums of final-state particles are balanced in the direction transverse to the beam direction without nuclear effects.
 The only smearing is detector effects.



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- Hydrogen: Momentums of final-state particles are balanced in the direction transverse to the beam direction without nuclear effects.
   The only smearing is detector effects.
- Carbon: Nuclear effects causes imbalance on the transverse plane.
- Key detector features: low-threshold, high resolution measurement of all final-state particles as much as possible.

# v-H Selection: Resonance (3-Track Events)



- Resonance pion production  $\nu p \rightarrow \mu^- p \pi^+$
- Two simple transverse variables:
  - $p_{T\perp}^H$  : momentum imbalance in the "double transverse" direction.

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  - $R_{MH} = (P_T^M P_T^H)/(P_T^M + P_T^H)$ , where  $p_T^M$  and  $p_T^H$  are the missing  $p_T$  and total  $p_T$  of hadrons.
- ~90% purity of hydrogen events (neutrino energy independent).

# v-H Selection: Resonance (More Variables)



- Resonance pion production  $\nu p \rightarrow \mu^- p \pi^+$
- Two simple transverse variables:
  - $p_{T\perp}^H$  : momentum imbalance in the "double transverse" direction.
  - $R_{MH} = (P_T^M P_T^H)/(P_T^M + P_T^H)$ , where  $p_T^M$  and  $p_T^H$  are the missing  $p_T$  and total  $p_T$  of hadrons.
  - Missing mass: reconstructed invariant mass using all measured final state particles minus target proton at rest (Thanks to Xin!)
- ~95% purity of hydrogen events selection is achievable.

B. Distributions of  $\ln \lambda^H$  for the H signal, the **C** background and he (H<sub>2</sub> plaste (sum) for Keinoocs inclusive  $\mu^- p \pi^+$  CC topologies. The multiple peaks are the effect of the binning used to build The H and C distributions are normalized to the expected relative abundance in CH<sub>2</sub>.



Roberto Petti



## v-H Selection: Background Subtraction



 Assuming 600 kg of graphite target, the subtraction only slightly increase the statistical uncertainty by ~20%.

# v-H Selection: Background Subtraction



 The selection efficiency is quite high and flat for neutrino energy < 5 GeV</li>  Assuming 600 kg of graphite target, the subtraction only slightly increase the statistical uncertainty by ~20%.





- Neutrons themselves are invisible.
- About 25-30% of the neutrons interact within STT 45-60% in ECAL producing charged secondary particles..
- Interaction vertex position is obtained from the muon.
- Get the neutron direction from the vertex to interaction point.
- Get the neutron energy from the muon kinematics with QE assumption.
- Similar hydrogen vs carbon selection with resonance events.

# Low-v Method on Hydrogen (Resonance 3-Track)



- Systematic uncertainty sources:
  - Muon energy scale: 0.2%
  - Hadronic reconstruction.
  - Cross-section modeling: 20%  $M_A$ , 10%  $M_V$ .

# Low-v Method on Hydrogen: $\bar{\nu}_{\mu}$ - CCQE



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#### $\nu\mu$ -IMD

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• Cross s

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- Pure electroweak process with small, but very well known cross section:
- Better statistics in LAr, but significantly larger background from  $v_e$ -QE where proton absorbed/ below threshold due to nuclear effects 3-h0r
- STT gives low-systematic measurement
  complementary to LAr.
  Ar targe
  - ~2% uncertainty on flux normalization. Step function



# Summary

- Flux uncertainty is an important uncertainty source to DUNE.
- KLOE-STT provides possibility to measure neutrino-hydrogen interactions, combined with low-v technique it provides precise flux measurements.
- Key detector features for the hydrogen measurements:
  - Abundant hydrogen in CH2
  - High-resolution, low-threshold measurements of final state particles for signal vs background separation
  - Dedicated carbon target with same detector response as CH2 for background subtraction.
- KLOE-STT's constraint on the beam modeling is complementary to other ND detectors and the DUNE-prism concept.
- For more details: Phys.Lett. B795 (2019) 424-431

**Back up slides** 

# Outline

- Introduction to nu-oscillation and DUNE
- why do we need a near detector (or near detectors)
- Why do we need to know the neutrino flux
- low-nu in general
- low-nu on hydrogen
- Introduction to KLOE-STT
- low-nu on hydrocarbon with bkg subtraction: CH2
- what if we have CH?



# **Uncertainties to Neutrino Oscillations**



# **Cross Section Uncertainties**

arXiv:1902.00558, submitted to PRD



# Neutrino Energy (GeV)

- Example of NOvA's NC Coherent π<sup>0</sup> measurement, one of the background channel to v<sub>e</sub> appearance oscillation measurement.
- Flux is one of the dominant systematic uncertainty sources.
  - The "background modeling uncertainty" also limited by flux.

NOvA  $\pi^0$  Measurements

H. Duyang & D. Pershey

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NOvA  $\pi^0$  Measurements

#### **DUNE Near Detectors**





- LAr + HP ArG TPC + 3DST
- DUNE prism



- Ideally, the ND would have zero oscillation probability, and all the other factors the same as FD, which allows cancellation of systematics.
- However in practice...
  - **Flux** is never the same between ND and FD.
  - **Detector response** is never the same between ND and FD.
  - Some ND even uses different **nuclear targets** from FD.
  - And we still need to know **Ev**!

# Statistical vs Systematic Uncertainties



Very small cross section => Limited number of events observed in the detector

Statistical uncertainty has been the dominant uncertainty source in most of the neutrino experiments so far

- Solutions:
  - Massive detectors.
  - Heavy nuclear targets (Ar).
  - Powerful neutrino beams.

- Price:
  - Resolution/calibration?
  - Nuclear effects?
  - Flux uncertainties?



- Ideally, we could measure oscillation by measuring number of interactions (as function of neutrino energy), if all the other factors are known.
- However in practice...
  - Flux has >10% uncertainty.
  - Cross-sections are not well-known.
  - Detector simulation and calibration can also be difficult.
  - Therefore we need ND.





- DUNE will use the new LBNF neutrino beam.
- Flux uncertainty comes from hadron production and beam focusing.





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#### Low-v Method

$$\frac{d\sigma^{\nu(\bar{\nu})}}{dxdy} = \frac{G_F^2 M E}{\pi} \times \left[ \left( 1 - y - \frac{M x y}{2E} \right) F_2^{\nu(\bar{\nu})} + \frac{y^2}{2} 2 x F_1^{\nu(\bar{\nu})} \pm y \left( 1 - \frac{y}{2} \right) x F_3^{\nu(\bar{\nu})} \right]$$



• Bjorken scaling variable:

$$x = rac{Q^2}{2P \cdot q}, \quad y = rac{P \cdot q}{P \cdot k_1}$$

• energy transfer to the hadronic system:

$$\nu = \frac{P \cdot q}{M} = E \cdot y$$

• Structure function:  $F_1, F_2, F_3$
#### Low-v Method

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Integrating the differential Xsec given above over x (from 0 to 1)

$$\frac{d\sigma}{d\nu} = A\left(1 + \frac{B}{A}\frac{\nu}{E} - \frac{C}{A}\frac{\nu^2}{2E^2}\right) \qquad A = \frac{G_F^2 M}{\pi}\int F_2(x)dx$$
$$B = -\frac{G_F^2 M}{\pi}\int (F_2(x) \mp xF_3(x))$$
$$C = B - \frac{G_F^2 M}{\pi}\int F_2(x)R_{TERM}dx$$

$$N(E)_{(\nu\leq 1)} = \Phi(E) \cdot A \int_0^1 \left(1 + \frac{B}{A}\frac{\nu}{E} - \frac{C}{A}\frac{\nu^2}{2E^2}\right) d\nu$$

#### Uncertainties to the Low-v Method



It is even more difficult to do it on Ar target!

## What if we have hydrogen (free proton) target?



#### It is even more difficult to do it on Ar target!

#### Flux Measurements: Low-v Method

$$N(E_{rec}) = \int_{E_{\nu}} dE_{\nu} \Phi(E_{\nu}) P_{osc}(E_{\nu}) \sigma(E_{\nu}) R_{det}(E_{rec}, E_{\nu})$$

Need a process with small cross-section uncertainty

**Nuclear effects!** 

- Cross section is flat at low  $v = E_v E_\mu$  with smaller uncertainty: flux shape measurement (used by NOMAD, MINOS, MINERvA).
- The cross-sections of v-H are better understood than heavy nucleus and free from uncertainties from nuclear effects.



### Low-v method

• At very low  $v = E_v - E_l$ , the cross section is independent from  $E_v$ :

$$\frac{d\sigma}{d\nu} = A\left(1 + \frac{B}{A}\frac{\nu}{E} - \frac{C}{A}\frac{\nu^2}{2E^2}\right)$$

(A, B and C are parameters formed by nuclear structure functions.)

- the measurement of low v spectrum is approximately a measurement of flux shape.
- The effect of non-zero v cut is account for by a theoretical correction:

$$S(E) = \frac{\sigma(E)^{\nu < \nu_0}}{\sigma(E)^{\nu \to 0}} = \frac{\sigma(E)^{\nu < \nu_0}}{\sigma(E \to \infty)^{\nu < \nu_0}}$$

- Systematic uncertainty dominant:
  - Muon energy
  - Hadronic energy (v)
  - Theoretical correction
- See Lu Ren's talk on Tuesday for MINERvA's Low-v flux measurement



# Introduction to the Straw Tube Tracker (STT)



• We are proposing a new detector for the DUNE near detector complex to help break the degeneracy.

# Generator Comparisons HiResM $\nu$ :



TABLE III. Comparison of the efficiency and purity for the kinematic selection of H interactions from the CH<sub>2</sub> plastic target using simple cuts on  $R_{mH}$  and  $p_{T\perp}^H$  with the NuWro [21], GiBUU [22], and GENIE [23] event generators. The same selection cuts as in Tab. I are used in all cases.

University of South Carolina

This is to show that the number of efficiencies and purities we estimate is realistic. the difference between generators here will not be systematics because we will have carbon data to measure them

LBNE Near Detector Workshop

Columbia SC, December 12, 2009

# Low-v Method on Hydrogen (3-Track)



# Low-v Method on Hydrogen (RHC QE)



LBNE Near Detector Workshop Columbia SC, December 12, 2009

# Hadron Production Uncertianties



DUNE Reference Beam Fractional Uncertainty

#### DUNE Optimized Beam Fractional Uncertainty

#### **Data-Driven Form Factors**



LBNE Near Detector Workshop

Columbia SC December 12 2000

# Low-v Method on CH2 (Alternatives)

- CH2 minus carbon is definitely the best choice
  - high H statistics => low stat uncertainty
  - Low background => low background uncertainty
- Alternatives:
  - CH minus carbon
  - CH2 minus CH
- Challenges:
- Need good resolution and low threshold for H vs C separation as much as possible.
- need as similar as possible detector response for CH and carbon, or CH2 and CH.

### **Neutron Detections**



(or for 60% of the neutrons with  $\beta_{reco}$ >0.01)

#### $\nu\mu$ -IMD

- It is also possible to measure flux shape by v-e scattering.
- Each neutrino energy bin corresponding to a unique distribution of electron energy and angle. 80GeV 3-horr
  - Ar targe Step functi

 A template fit in electron energy vs angle space.

0

dy

energy vs angle space. Total events vs. threshold
The precision (riot quite comparable to Low v d60 for the space)
Thresh \$ 140 for the space for

# Complementary of KLOE-STT to DUNE-Prism

## Other opportunities from Nu-H Measurement