

The sPHENIX Project

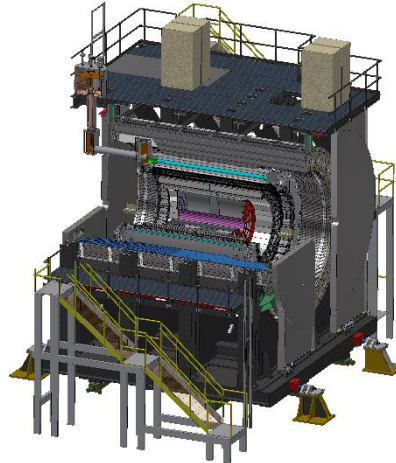


RHIC Program S&T Review

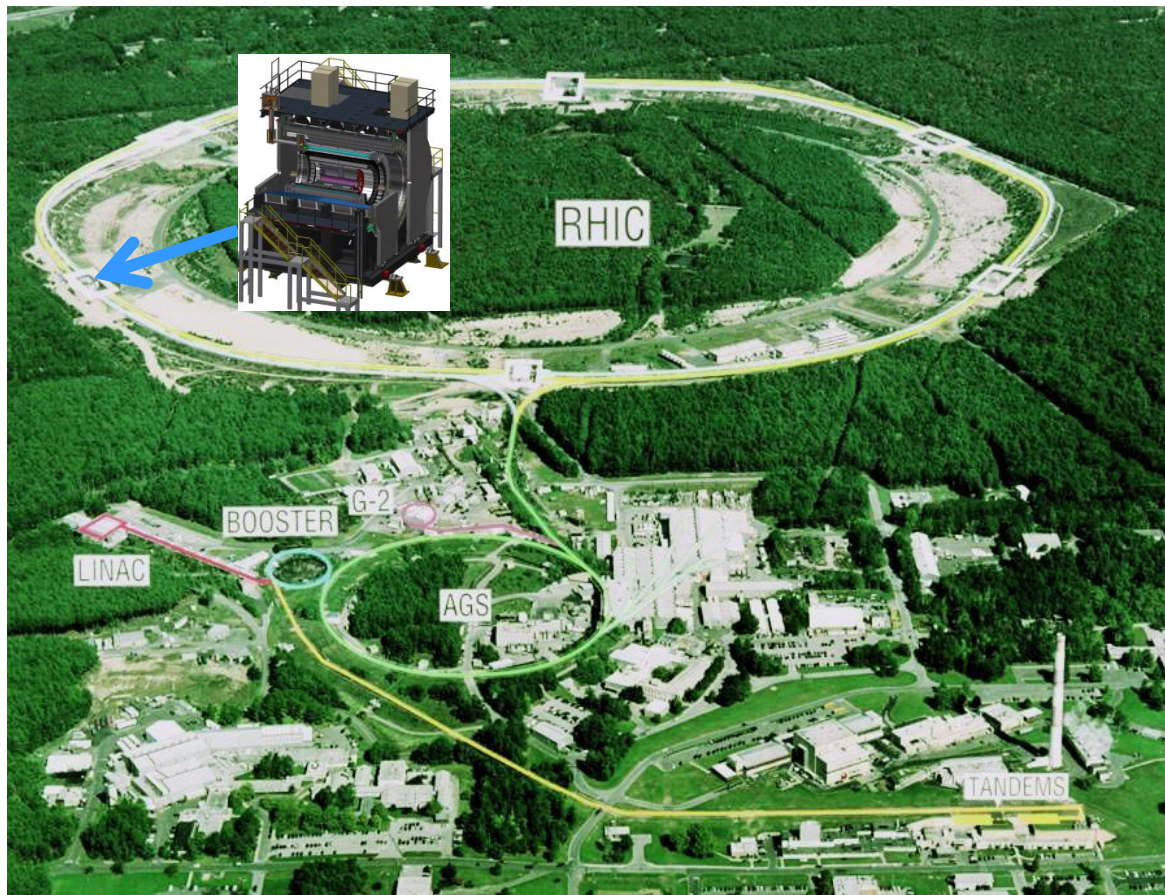
September 17-19, 2019

Brookhaven National Laboratory

Edward O'Brien



sPHENIX Overview



sPHENIX is a major upgrade to the PHENIX detector. It is a large-acceptance, high-rate detector for Heavy Ion physics that repurposes **>\$20M** in existing PHENIX equipment, infrastructure and support facilities.

The detector is optimized to measure jet and heavy quark physics by incorporating a Time Projection Chamber, Electromagnetic and Hadronic Calorimeter with a high rate DAQ/Trigger and a 1.4 T solenoidal magnetic field.

MIE Baseline Scope



- A **Time Projection Chamber** (TPC), **Electromagnetic Calorimeter** (EMCal), and a **Hadronic Calorimeter** (HCal) all covering 2π in azimuth. The TPC and HCal have pseudorapidity coverage of $-1.1 \leq \eta \leq 1.1$. The EMCal has pseudorapidity coverage of $-0.85 \leq \eta \leq 0.85$.
- A **Minimum Bias Trigger Detector** (MBD).
- **Readout electronics** to fully instrument the **TPC, EMCal, HCal and MBD**.
- A **Data Acquisition** (DAQ) system with the capability to readout the TPC, EMCal, HCal and MBD with an event rate and data-logging rate commensurate with the sPHENIX physics goals.
- A DAQ/**Trigger** system that can provide minimum bias and energy cluster triggers at a rate necessary to carry out the sPHENIX physics program in AA, pA and pp collisions at RHIC.
- **Project Management** to carry the project scope through to a successful on time and on budget completion.

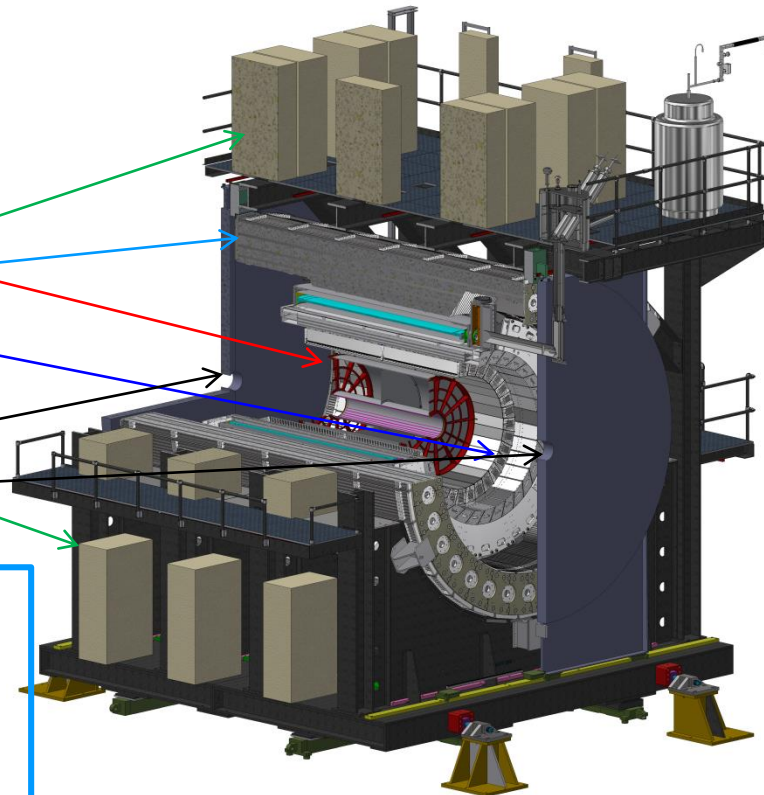
Baseline Approved at PD-2/3

WBS MIE

- 1.1 Project Management
- 1.2 Time Projection Chamber
- 1.3 EM Calorimeter
- 1.4 Hadronic Calorimeter
- 1.5 Calorimeter Electronics
- 1.6 DAQ/Trigger → To counting house
- 1.7 Minimum Bias Detector

The final design of sPHENIX is driven by 3 principles:

- Design a detector to meet the Science Mission of measurements of Jets and Upsilon's in RHIC environment
- Maximize cost effectiveness and utilize modern technologies where appropriate (SiPM, fast TPC readout)
- Build on existing \$20M+ PHENIX infrastructure



WBS I&F Upgrade

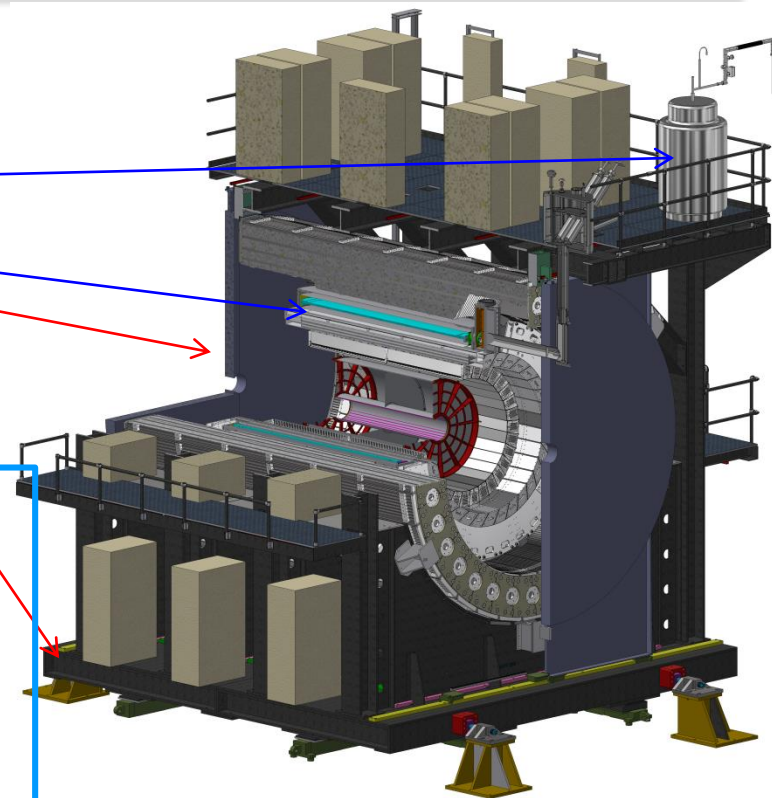
- 2.1 I&F Management
- 2.2 SC Magnet
- 2.3 Carriage & Structural Components
- 2.4 Infrastructure
- 2.5 Installation & Integration

Three large M&S items:

- Barrel Magnet steel
- Carriage/Cradle/Poletips/Platform
- Cryogenics for 1008

Three main labor items:

- SC-Magnet
- Carriage/Cradle/Poletips/Platform(engineering design)
- Installation/Integration



sPHENIX Silicon Detectors (3.X)

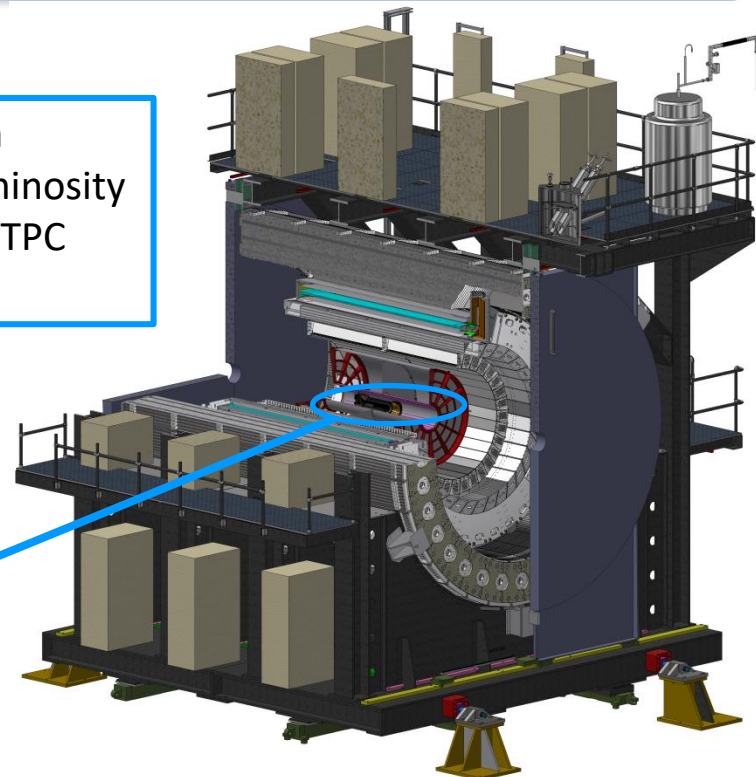
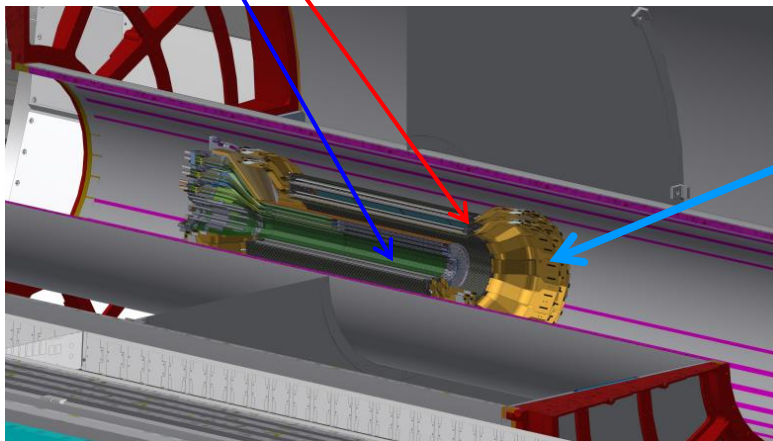


WBS Silicon Detectors

3.1 INTT

3.2 MVTX

- Enables flavor-tagged physics program
- Separates multiple vertices at high luminosity
- Provides tracking between vertex and TPC



INTT is an in-kind detector contribution from RIKEN

sPHENIX MIE Overview



TPC	Project Director	Last CD Achieved	% Complete	CPI	SPI
\$27.0M AY	Edward O'Brien	PD-2/3 Pending	24.0% BCWP/BAC @ 7/31/2019	1.0	0.95

Scope

- Detector systems produced, tested and ready for installation: [Time Projection Chamber w/ electronics](#), [Electromagnetic Calorimeter w/ electronics](#), [Hadronic Calorimeter w/ electronics](#), [DAQ/Trigger](#), [Minimum Bias Detector](#), [Project Management](#)

Not in scope

- SC-Magnet, Bldg/Det Infrastructure, Installation and System Commissioning

Schedule

- CD-0 received Sept 2016
- CD-1/3A received Aug 2018
- PD-2/3 review May 2019 (approval pending Sept 2019)
- Early completion Oct 2021
- PD-4 Dec 2022

Cost

- **\$27.0M AY Total Project Cost including 28.7% contingency on ETC**

1008 Infrastructure & Facility Upgrade



TPC	Project Director	Last CD Achieved	% Complete	CPI	SPI
\$29.5- 35.0MAY	Edward O'Brien	N/A	31.1% BCWP/BAC @ 7/31/2019	I&F: Pre-baseline	I&F: Pre-baseline

Scope

- SC-Magnet support including the Flux Return, Cryogenics into Bldg 1008, Detector Carriage/Cradle, other Bldg 1008 Infrastructure improvements
- sPHENIX Installation, Integration and System Commissioning is part of the scope

Updated RLS for 1008 Infrastructure and Facility

I&F Management and RLS integrated with the sPHENIX MIE

Schedule

- sPHENIX Upgrade Completion date December 2022
- First RHIC run of sPHENIX Feb 1, 2023

Cost

- **\$32.3 M AY Point Estimate including 25% contingency on ETC**

MAPS Vertex Detector (MVTX)



TPC	Project Director L2 Manager	Status	% Complete
\$4.75M AY	Edward O'Brien Ming Liu	BNL Capital Project: Approval pending addressing C&S review recommendations	Just started

Scope

- Three layers of Monolithic Active Pixel Silicon Sensors modeled on the ALICE Inner Tracking System, mechanical support system, electronics, assembly, integration and services.

Updated RLS for the MVTX as of July 2019

MVTX Management and RLS are integrated with sPHENIX MIE and I&F Upgrade

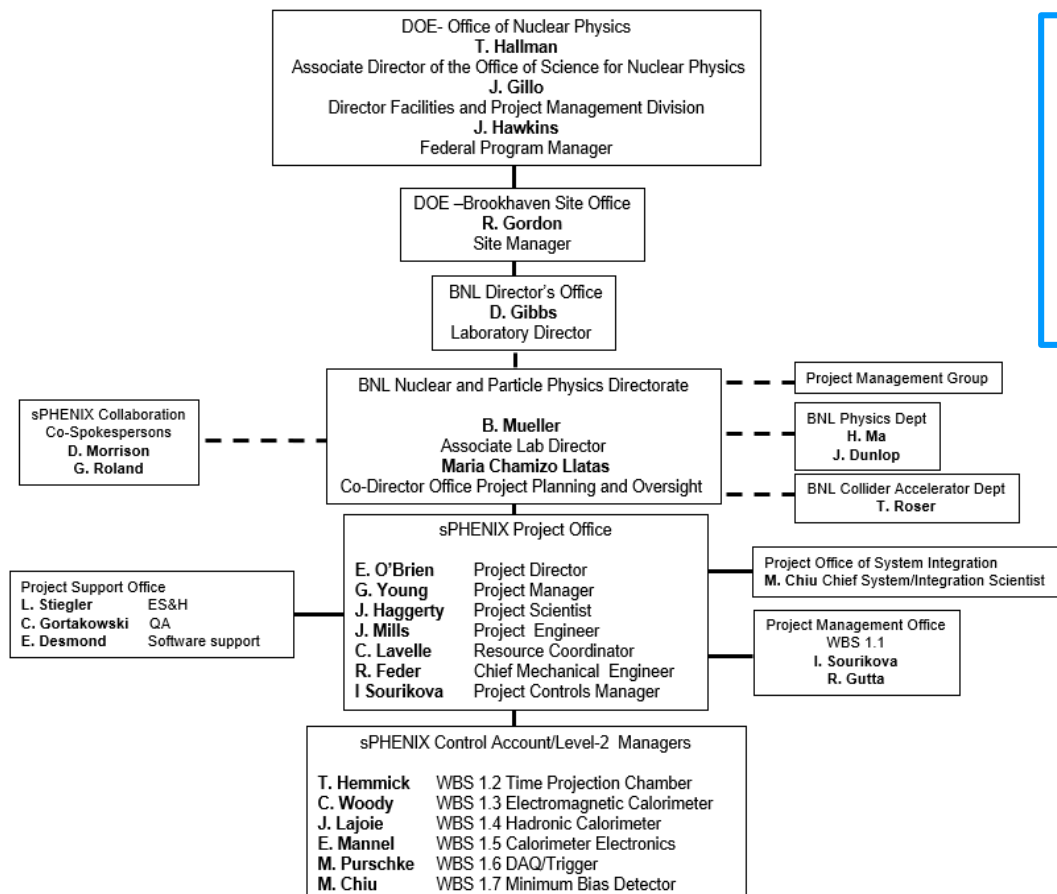
Schedule

- Expect approval Oct 2019
- sPHENIX Upgrade Early Completion date March 2022
- First RHIC run of sPHENIX Feb 2023
- Project Close Out Dec 2023

Cost

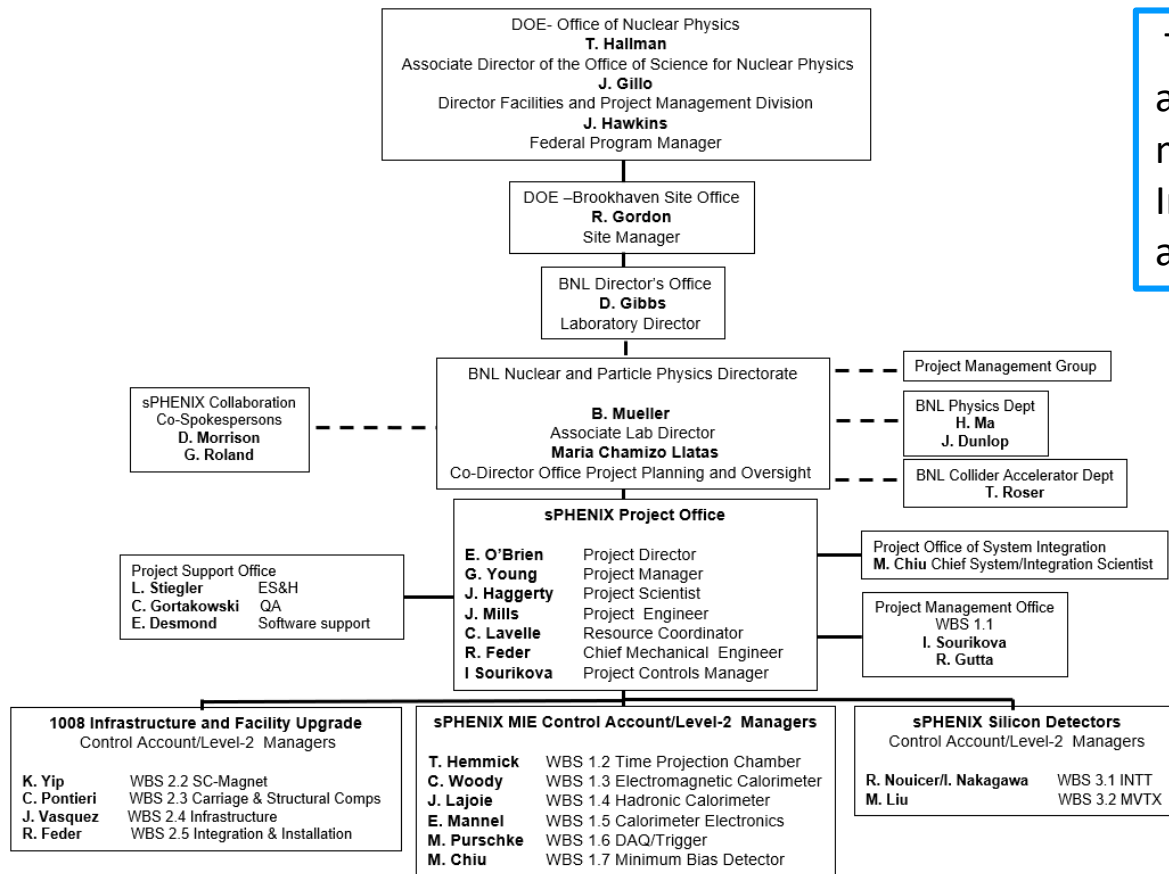
- **\$4.75 M AY Total Project Cost including 28.6% contingency on ETC**

sPHENIX MIE Management Organization



The Org Chart varies from a DOE 413.3B project. There is no FPD in BHSO. The successful delivery of the project is the responsibility of the BNL Lab Director. DOE-OPA no longer oversees the Project.

The same management team with an expanded organizational structure manages the sPHENIX MIE, the 1008 Infrastructure and Facility Upgrade and the Silicon Detector Upgrades



Threshold & Objective KPP's



- The individual L2 components of sPHENIX are the MIE deliverables.
- KPP's are determined using bench tests, LED/Pulser/laser tests, and cosmics. Beam collisions are not needed to satisfy the KPP's.

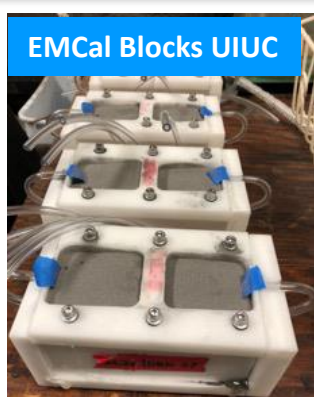
System	Demonstration or Measurement	Threshold KPP's	Objective KPP's
Time Projection Chamber	Preinstall, Bench Test	$\geq 90\%$ live channels based on laser, pulser, cosmics	$\geq 95\%$ live channels based on laser, pulser, cosmics
Time Projection Chamber	Preinstall, Bench Test	Ion Back Flow $\leq 2\%$ per GEM Module averaged over the active area of ea. GEM Module	Same
Time Projection Chamber	Preinstall, Bench Test w/cosmics	$\geq 90\%$ single hit efficiency / mip track, averaged over the active TPC volume	$\geq 95\%$ single hit efficiency / mip track
Time Projection Chamber Front End Electronics	Preinstall, FEE Stand-alone Bench Test	Cross talk $\leq 2\%$ per channel, averaged over all channels	Same
EM Calorimeter	Preinstall, Bench Test	$\geq 90\%$ live channels based on LED, cosmics	$\geq 95\%$ live channels based on LED, cosmics
Hadronic Calorimeter	Preinstall, Bench Test	$\geq 90\%$ live channels based on LED, cosmics	$\geq 95\%$ live channels based on LED, cosmics
EM Calorimeter	Preinstall, Bench Test	Each sector with an absolute energy pre-calibration to a precision of $\leq 35\%$ RMS	Same
Hadronic Calorimeter	Preinstall, Bench Test	Each sector with an absolute energy pre-calibration to a precision of $\leq 20\%$ RMS	Same
Min Bias Trigger Detector	Preinstall, Bench Test	$\geq 90\%$ live channels based on laser. 120 ps/channels timing resolution w/ Bench Test	$\geq 95\%$ live channels based on laser. 100 ps/channels timing resolution w/ Bench Test
DAQ/Trigger	Event rate	10 kHz with random pulser	15 kHz with random pulser
DAQ/Trigger	Data Logging Rate	10 GBit/s with pulser	Same

- **PD-2/3 approved by BNL POB subcommittee Sept 2019.** Waiting for Concurrence by BHSO/DOE-NP and Lab Director Approval
- **Successful sPHENIX MVTX Review July 2019.** Preparing MVTX PMP and addressing all recommendations.
- **All 32 Outer HCal Sectors/Magnet Flux Return now at BNL.**
- **All LLP's approved at CD-3A have been placed.** They are: 100k SiPMs, 2500km of Scintillating fiber for EMCal, 7000 Scin tiles for HCal, 19 metric tons of tungsten powder for EMCal.
- Partial delivery of LLP-1 orders, **60k/100k SiPMs**, have arrived at Univ. of Michigan. Partial deliver of LLP-2 scintillating fibers, **600/2500 km**, have arrived at UIUC. Fab work on LLP-3 scin tiles has started at vendor
- Assembly of **1st six OHCal sectors** begun with preproduction parts.
- **SAMPA v5 (TPC ASIC) engineering run** chip order ready for submission to vendor. Funds being transferred to SBU for order. FDR complete.
- **EMCal preproduction Sector 0 assembly complete.** Sector 1 blocks > 85% complete as UIUC.
- Bids for **Bldg 1008 Cryo Components** RFP (\$1.1 M). Award process ongoing.
- **Carriage/Cradle FDR held in August.** Checking and sign-off of final drawings ongoing.
- R&D work progressing on all sPHENIX detector components.

sPHENIX Components



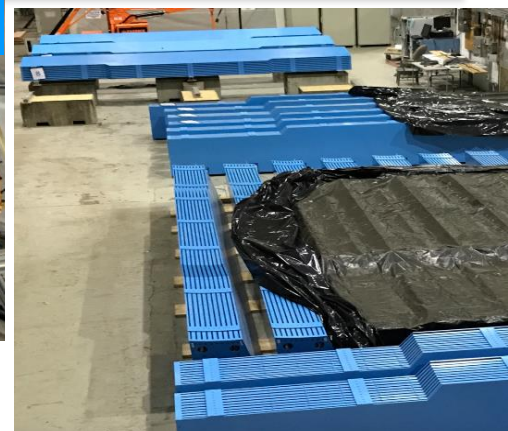
EMCal Sector-0 BNL



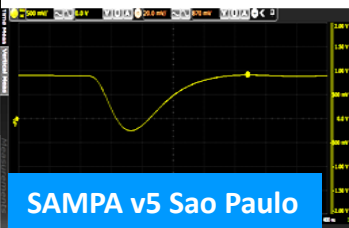
EMCal Blocks UIUC



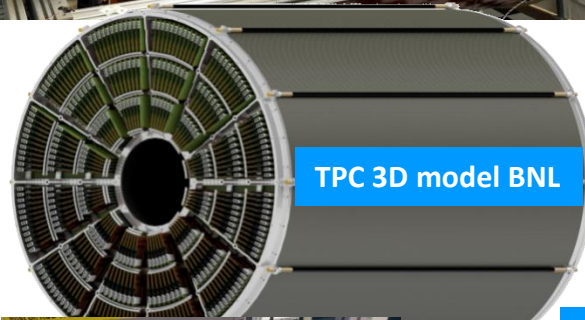
TPC Outer Field Cage- SBU



All OHCal Sectors/Flux Return BNL

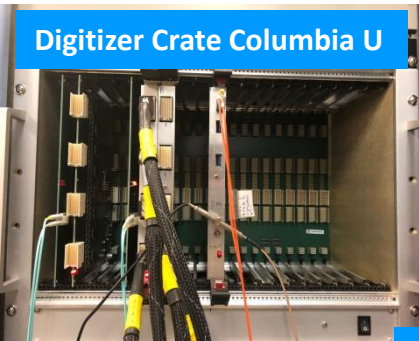


SAMPA v5 Sao Paulo

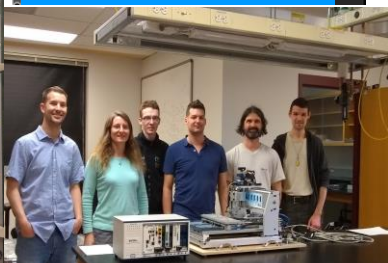


TPC 3D model BNL

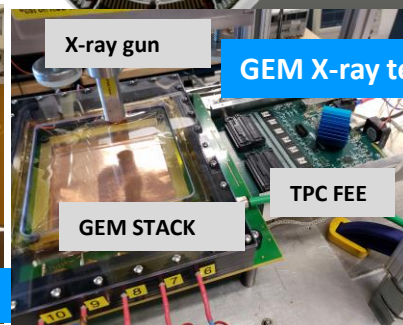
HCal Tiles- Uniplast, GSU



Digitizer Crate Columbia U



SiPM Testing U Mich/Debrecen

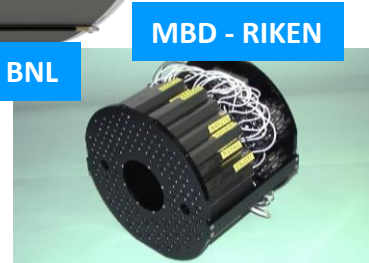


X-ray gun

GEM X-ray test BNL

GEM STACK

TPC FEE



MBD - RIKEN



OHCaI Instrumented Sectors

Collaborators from Baruch, ISU, Lehigh, Ohio U, Rutgers & Wayne State working on instrumenting the first 6 sectors of the OHCaI.

All electronics for 6 sectors at BNL.
Waiting for delivery of a few sheet metal parts to light tight and test OHCaI sectors w/ cosmics



Requested Funding Profile At Year K\$

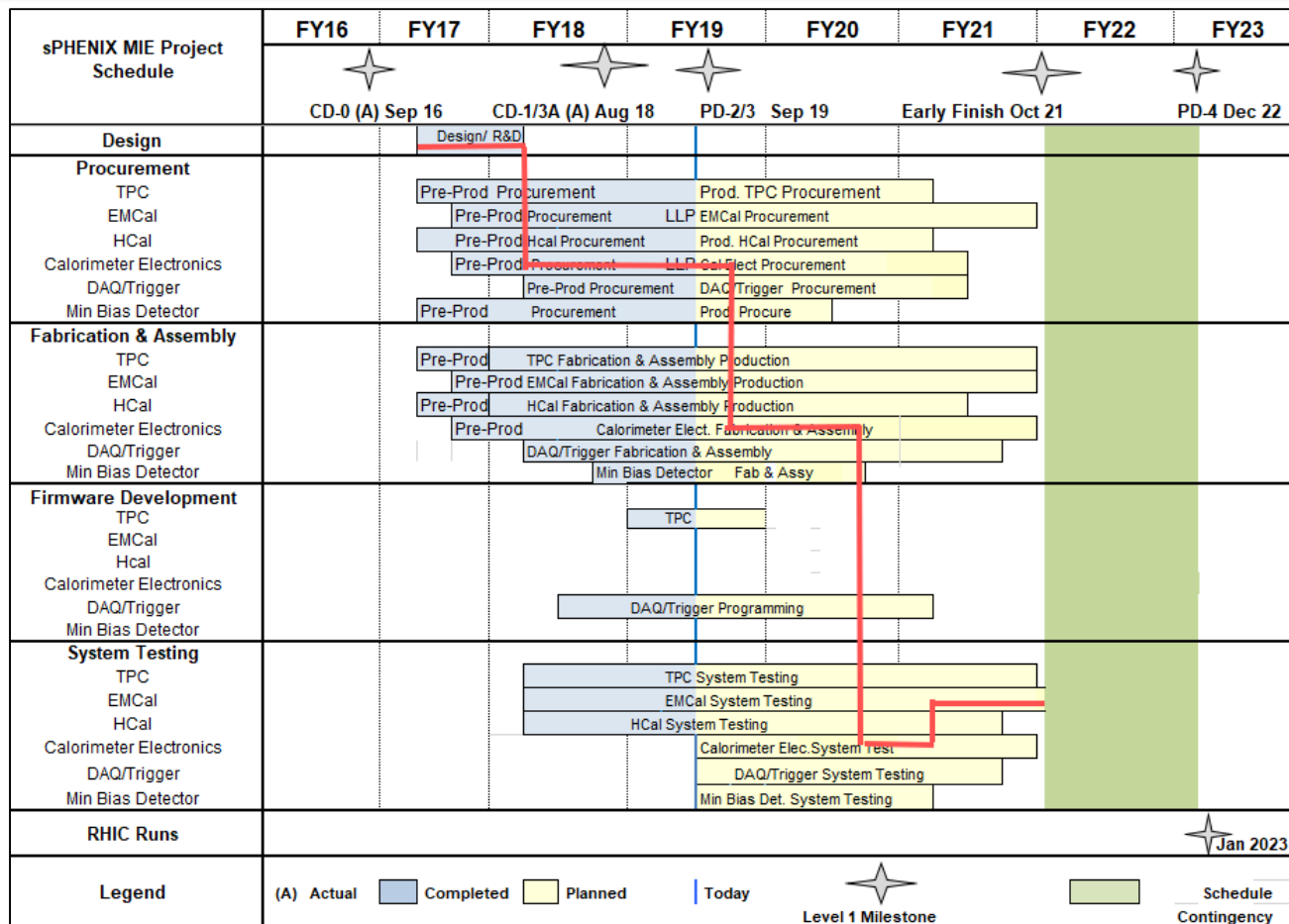
	Prior Yrs	FY17	FY18	FY19	FY20	FY21	FY22	Total
R&D		1,513	4,260	350				6,123
CDR		100	200					300
PED								
Pre-ops								
TEC				5,310	9,524	5,530	213	20,577
OPC		1,613	4,460	350				6,423
Total Project Cost		1,613	4,460	5,660	9,524	5,530	213	27,000

The Baseline Budget Profile

Cost Baseline at Level 2

Cost Baseline K\$		
WBS	Level 2 WBS Description	Baseline
1.01	Project Management	\$1,952
1.02	Time Projection Chamber	\$4,170
1.03	EM Calorimeter	\$5,196
1.04	Hadron Calorimeter	\$4,069
1.05	Calorimeter Electronics	\$5,373
1.06	DAQ/Trigger	\$1,240
1.07	Min Bias Trigger Detector	\$170
	Performance Measurement Baseline	\$22,169
	Contingency	\$4,831
	Total Project Cost	\$27,000

Baseline Critical Path



L1 and L2 Milestones in the PMP

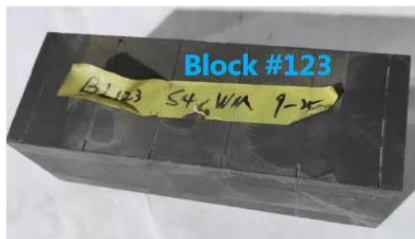
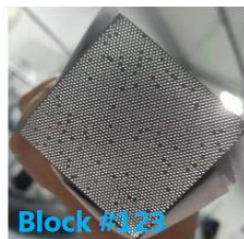
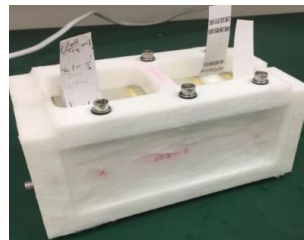
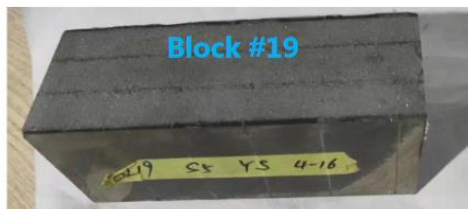
WBS	Level 1 & 2 Project Milestones	Date
1.01.01	Approve Project Baseline and Construction PD2/3	19-Sep
1.02.02.02	TPC Module Factories Preproduction Readiness Review	19-Dec
1.03.02.03.02	EMCal Preproduction Sector 0 Assembled	19-Dec
1.02.06.02	TPC DAM Production Readiness Review	20-Feb
1.05.02.03	HCal Preproduction FEE Complete	20-Apr
1.05.02.01	EMCal Electronics Preproduction Complete	20-May
1.03.01.03.01	EMCal W Powder Acquisition Complete	20-Jun
1.03.02.03.03	EMCal Prod. Readiness Review Blocks/Modules/Sectors Complete	20-Jul
1.02.05.03	SAMPA ASIC Performance Accepted	20-Sep
1.05.01	EMCal/HCal SiPM Sensor Procurement Complete	20-Oct
1.05.02.04	HCal SiPM Boards Assembly Complete	20-Nov
1.02.06.03	TPC DAM Felix 2.0 Production Complete	21-Jan
1.06.02.03	Trigger LL1 Preproduction complete	21-Feb
1.05.02.02	EMCal SiPM Boards Production Complete	21-Mar
1.04.04.02	First Outer HCAL Sector Ready to Install	21-Apr
1.04.01	Inner HCAL Ready for Installation	21-Apr
1.02.01.06	GEM Production Complete	21-May
1.06.01.03	DAQ Production: DAQ Ready for Operation	21-May
1.03.01.03.01	EMCal Scintillating Fiber Acquisition Complete	21-May
1.05.02.04	HCal Electronics Complete: Production	21-Jun
1.02.05.04	TPC FEE Production Complete	21-Jul
1.05.02.02	EMCAL Electronics Complete	21-Jul
1.05.03.02	Calorimeter Electronics Complete	21-Jul
1.07	Min Bias Detector Ready to Install	21-Sep
1.06.03.03	GL1 Ready to Operate	21-Sep
1.04.04.02	Last Outer HCAL Sector Ready to Install	21-Oct
1.02.01.08	TPC Ready to Install (Assembly Complete)	21-Oct
1.06.02.04	LL1 Trigger Production Complete	21-Oct
1.06.02.04	LL1 Ready to Operate	21-Oct
1.02.06.03	TPC DAM Production Complete	21-Oct
1.03.02.03.03	EMCal Ready to Install	21-Oct
1.01.01	Early Project Completion	21-Oct
1.01.01	Approve Project Closeout PD-4	22-Dec

sPHENIX Scope Restoration: High Eta EMCal



The sPHENIX EMCal had an eta coverage reduction from $-1.1 < \eta < 1.1$ to $-0.85 < \eta < 0.85$ during a cost cutting exercise in 2017

- sPHENIX Collaborating Institutions Fudan Univ. and Peking Univ. are building the high eta blocks reduced in the cost cutting exercise. Progress on block building in China to date look good.
- Electronics for the Chinese blocks to be supplied out of the sPHENIX spare electronics pool funded by RHIC Exp Ops.

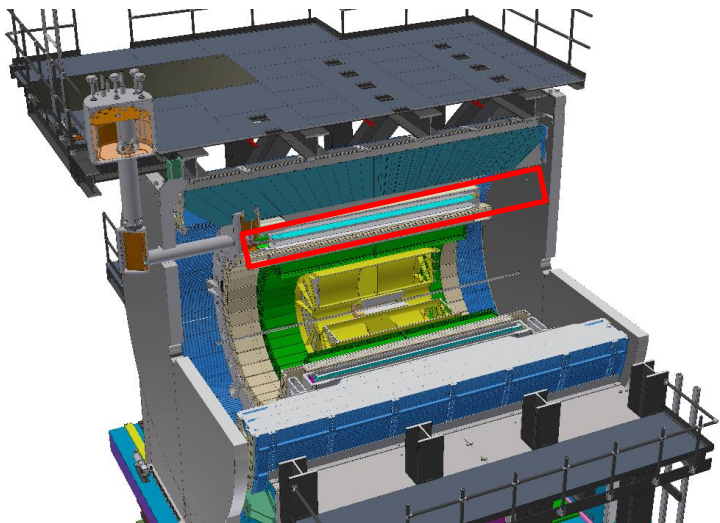


EMCal block fabrication
at Fudan University

sPHENIX Scope Restoration: Inner HCal

Instrumentation for the Inner HCal was eliminated as part of the 2017 cost cutting exercise

- **Efforts to apply to NSF for funding, and/or to identify international contribution contributions were not successful**
- **Propose to build IHCAL scintillating tiles and electronics as a small BNL capital project**

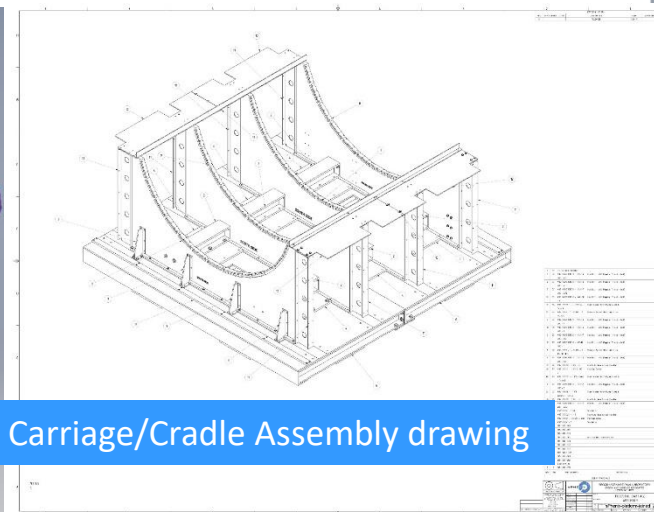
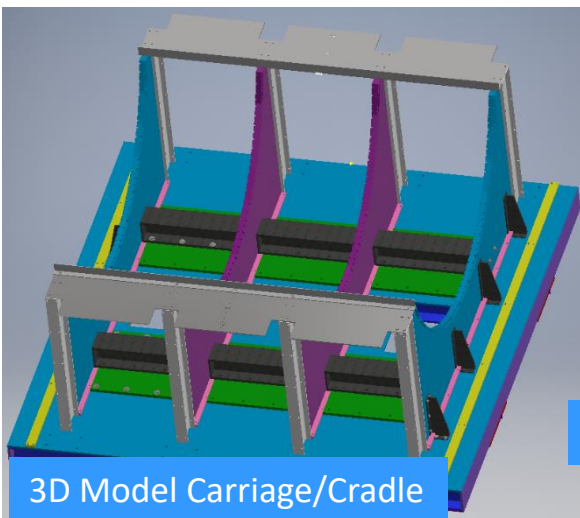
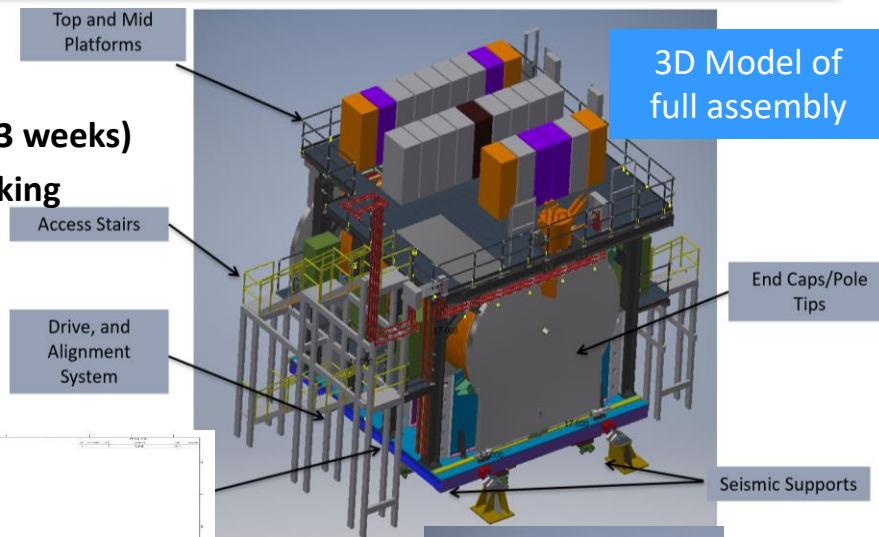


Mechanical prototype IHCAL built by ISU



Carriage/Cradle for sPHENIX (2.X)

- Final Design Review held 8/7/2019
 - Received FDR memo 9/10 (being reviewed)
 - 100% Cradle Carriage drawings are in checking stage (2-3 weeks)
 - 90% Prepared weldment cradle package -ready for checking
 - Starting work on the SOW
- Base Platform drawings are 95% complete
- Upper Platform drawings are 80% complete
- End Caps drawings are 35% complete

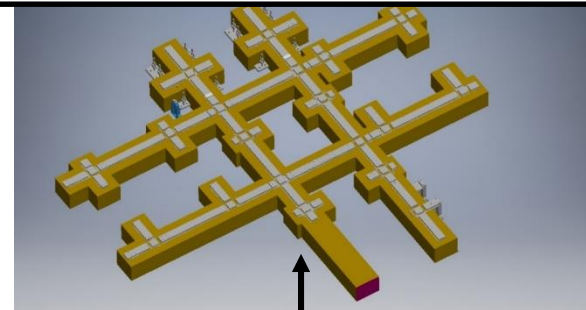


Bldg 1008 Track Modification (2.X)



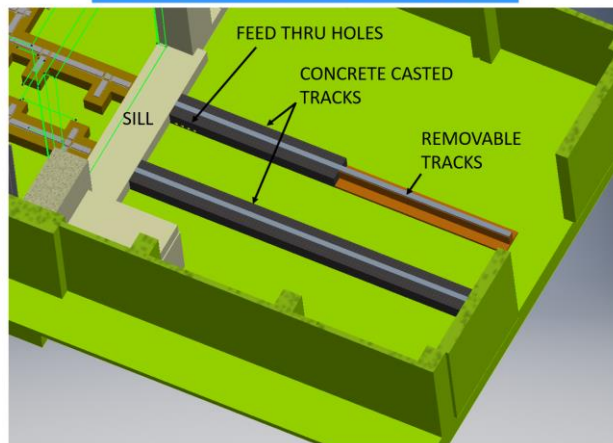
- Continued detailed analysis of track modifications
- Timeline
 - Fall 2019- Design track system, Begin to remove items in IR after North magnet job is complete (2 weeks per C. Biggs)
 - Spring 2020- bidding process
 - Summer 2020- Construction begins

Tracks were designed to handle 450 tons, not the 900 tons we require for sPHENIX

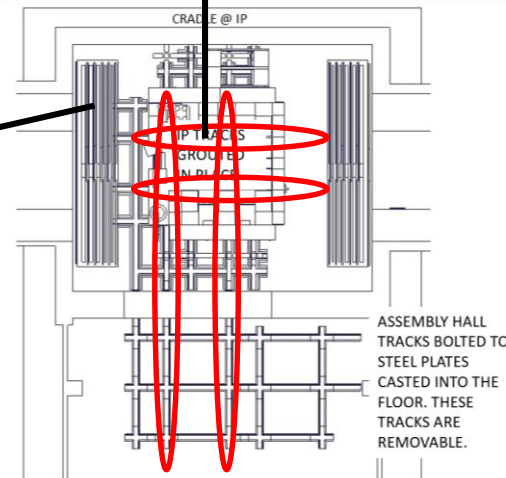
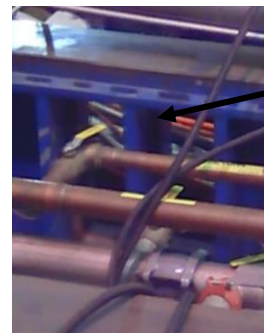


EXISTING TRACK CONFIGURATION

PROPOSED ASSEMBLY HALL TRACKS



- IR tracks will be cast in concrete.
- Piping/cable tray/track will need to be removed
- Removing these items will open up access to underneath the carriage base



Summary

- Successful MIE PD-2/3 Review in May 2019
 - Project team/Organization in place
 - Baseline is set
 - Scope, schedule, and cost well defined and realistic
 - All review recommendations addressed
 - Project Management Plan written
 - Awaiting approval/concurrence by BNL/DOE
- All CD-3A procurements have been placed. Many components starting to arrive.
- Advanced preproduction prototypes exist. R&D continues
 - EMCal Sector 0 complete
 - HCal Sectors 1-6 instrumented
- Plan in place to restore earlier calorimeter reductions due to 2017 cost cutting exercise.
 - Plan includes both BNL and international contributions.
- Good Progress on Infrastructure and Facility Upgrade
 - Barrel magnet flux return sectors all at BNL
 - Major cryogenic component purchases under way

Back Up

Ultimate Performance Parameters



UPP's unchanged from those approved at CD-1/3A and in PPMP

Ultimate Performance Parameters
Upsilon (1S) mass resolution ≤ 125 MeV
$\geq 90\%$ Tracking Efficiency
$\leq 10\%$ momentum resolution at 40 GeV /c
$\leq 150\% / \sqrt{E_{\text{jet}}}$ jet-energy resolution for R=0.2 jets
$\leq 8\%$ single photon energy resolution at 15 GeV

UPP measurements made at 10% central Au+Au RHIC events at average RHIC store luminosity

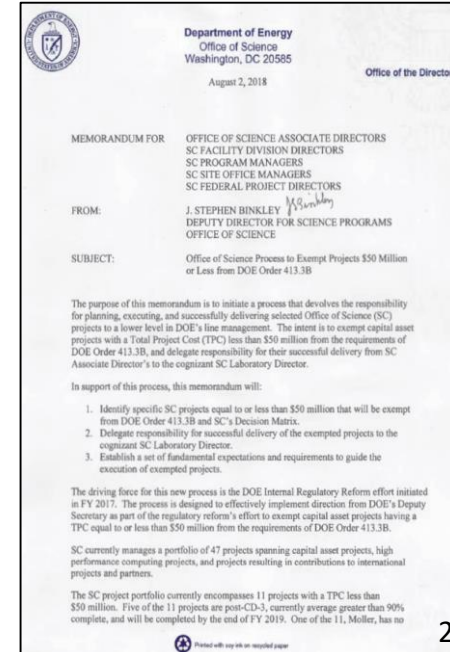
Cost Performance Report as of the 7/31/19



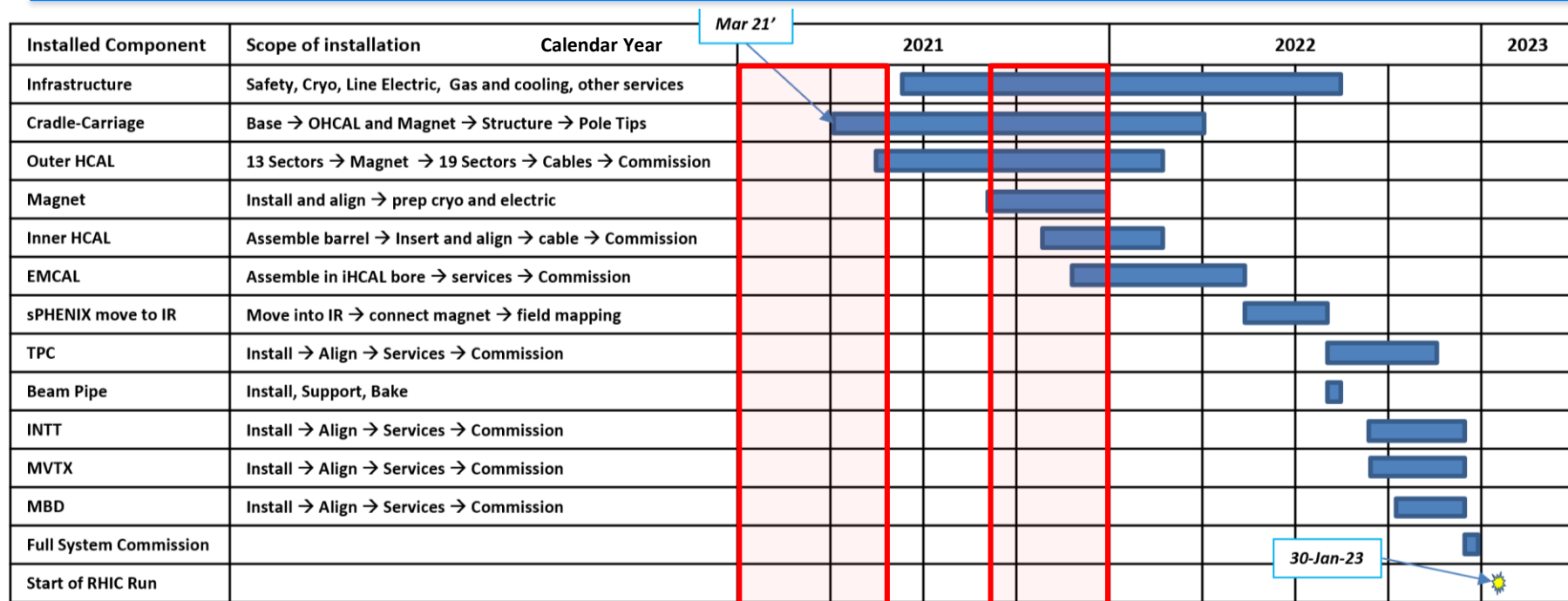
1. CONTRACTOR			2. CONTRACT			3. PROGRAM			4. REPORT PERIOD		
a. NAME			a. NAME			a. NAME			a. FROM		
Brookhaven Science Associates			SPHENIX			SPH MIE 1307			2019/07/01		
b. LOCATION (Address and ZIP Code)			b. NUMBER			b. PHASE			b. TO		
Brookhaven National Laboratory									2019/07/31		
			c. TYPE			d. SHARE RATIO			e. EVMS ACCEPTANCE		
									NO X YES		
5. CONTRACT DATA											
a. QUANTITY		b. NEGOTIA		c. ESTIMATED COST OF		d. TARGET PROFIT/FEE		e. TARGET PRICE		f. ESTIMATED PRICE	
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		MANAGEMENT ESTIMATE		CONTRACT BUDGET		VARIANCE		7. AUTHORIZED CONTRACTOR REPRESENTATIVE			
		AT COMPLETION		BASE				a. NAME (Last, First, Middle Initial)			
		(1)		(2)		(3)		O'Brien, Edward			
a. BEST CASE		0						c. SIGNATURE			
b. WORST CASE		0						d. DATE SIG			
c. MOST LIKELY		0		0		0					
8. PERFORMANCE DATA											
CA (3)											
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		WORK		WORK		WORK		WORK		ESTIMATED	
		SCHEDULED		PERFORMED		SCHEDULED		PERFORMED		VARIANCE	
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		(26)		(27)		(28)		(29)		(30)	
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DOE SC Memo on Project Management dated August 2, 2018

- Raised threshold for application of DOE Order 413.3B from \$10M to \$50M for SC projects.
- sPHENIX was on the DOE SC list of projects impacted by the change.
- Delegation for managing these projects now the responsibility of Laboratory Director
- DOE-OPA no longer responsible for managing these projects.
- ONP prepared guidance document for NP Oversight
- BNL has generated a procedure to address the management of sub \$50M MIE's.
- BNL Procedure in process of being added to SBMS Project Management – Management System.
- DOE will assign a PEMP goal to BNL to hold them accountable for the successful execution of the project.



sPHENIX Installation/RHIC Run Schedule



RHIC Run 2021—January 2021-May 2021

RHIC Run 2022—September 2021-December 2021