

Evolution with transverse momentum dependent splitting functions

based on ongoing work with

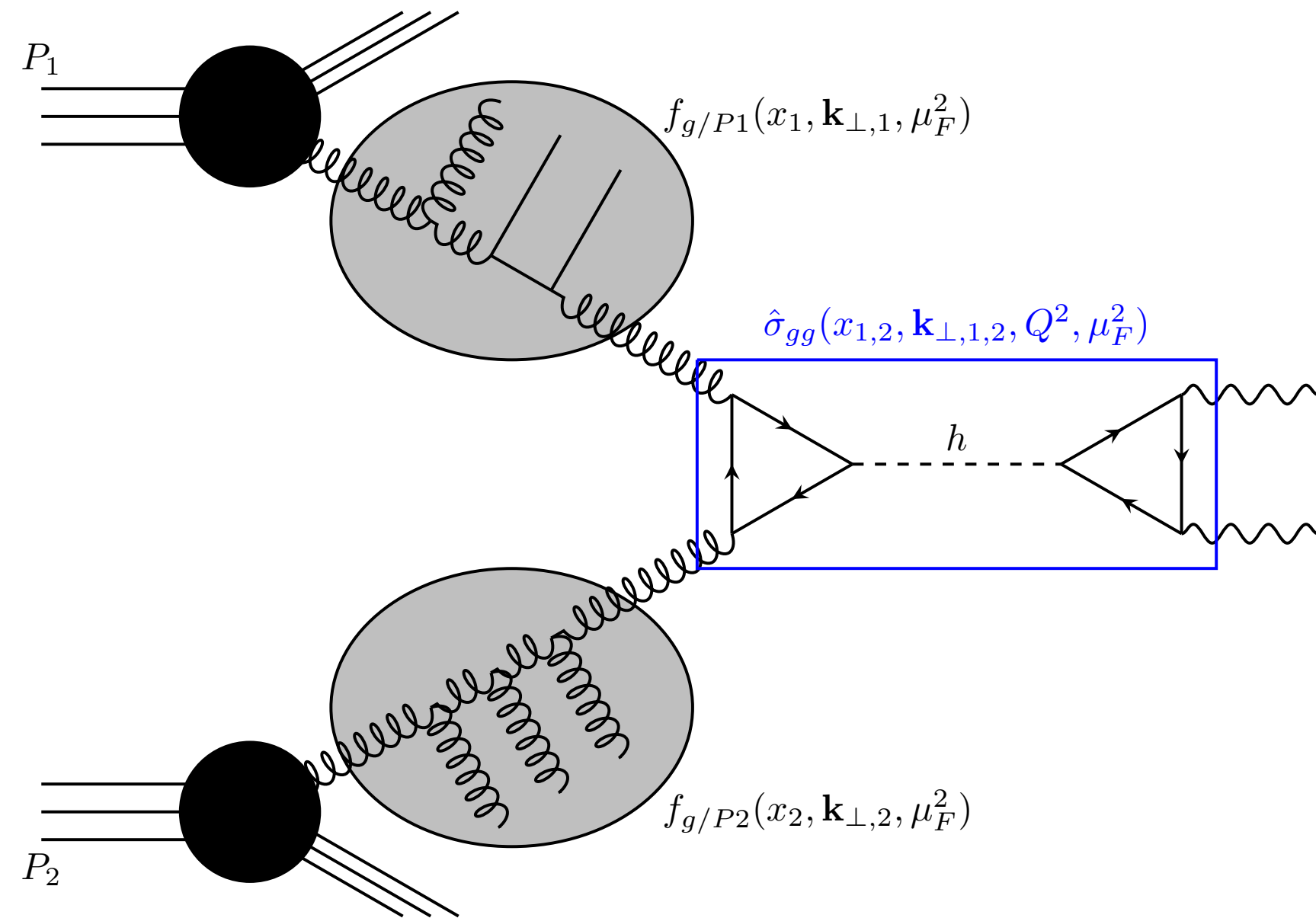
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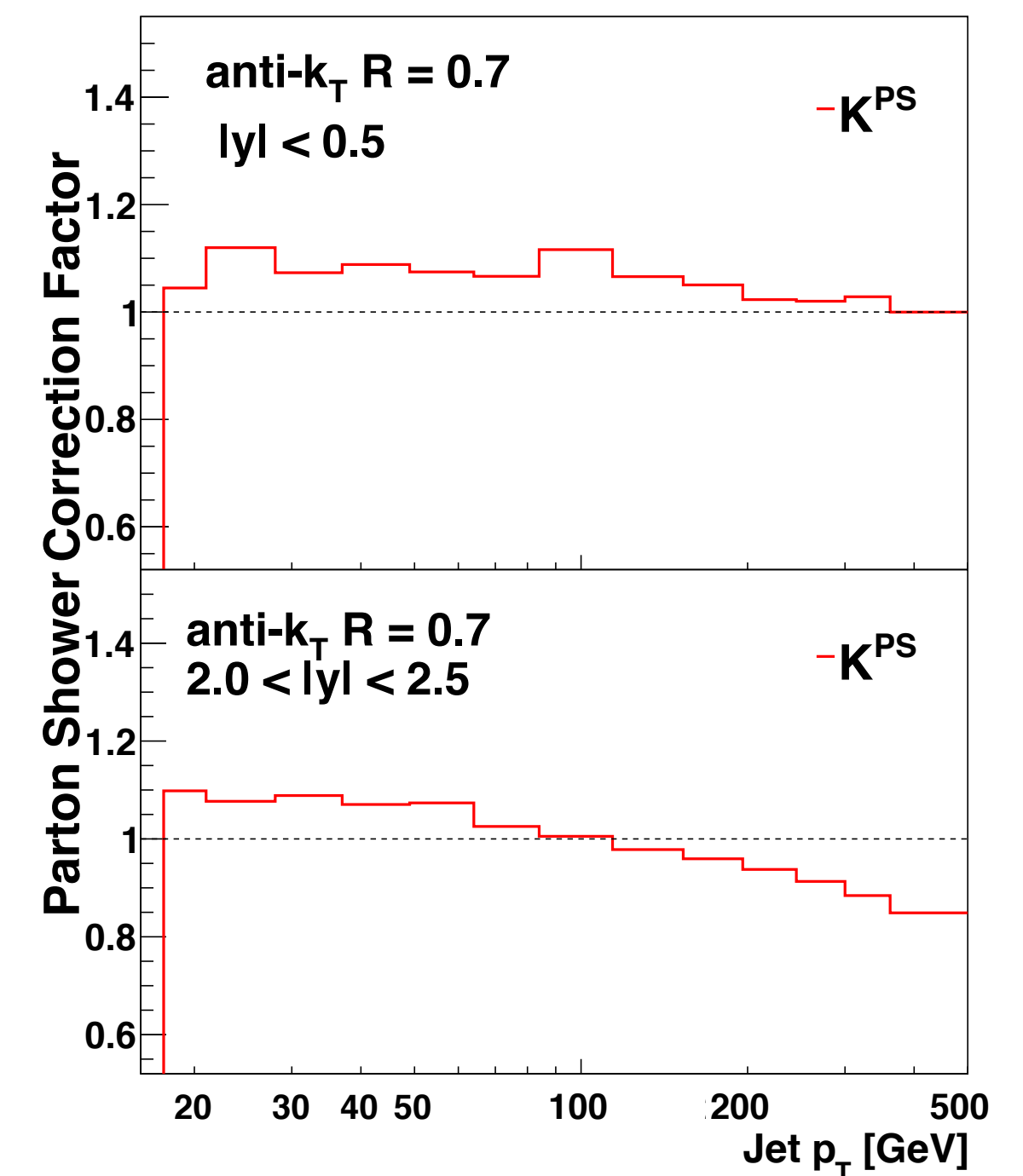
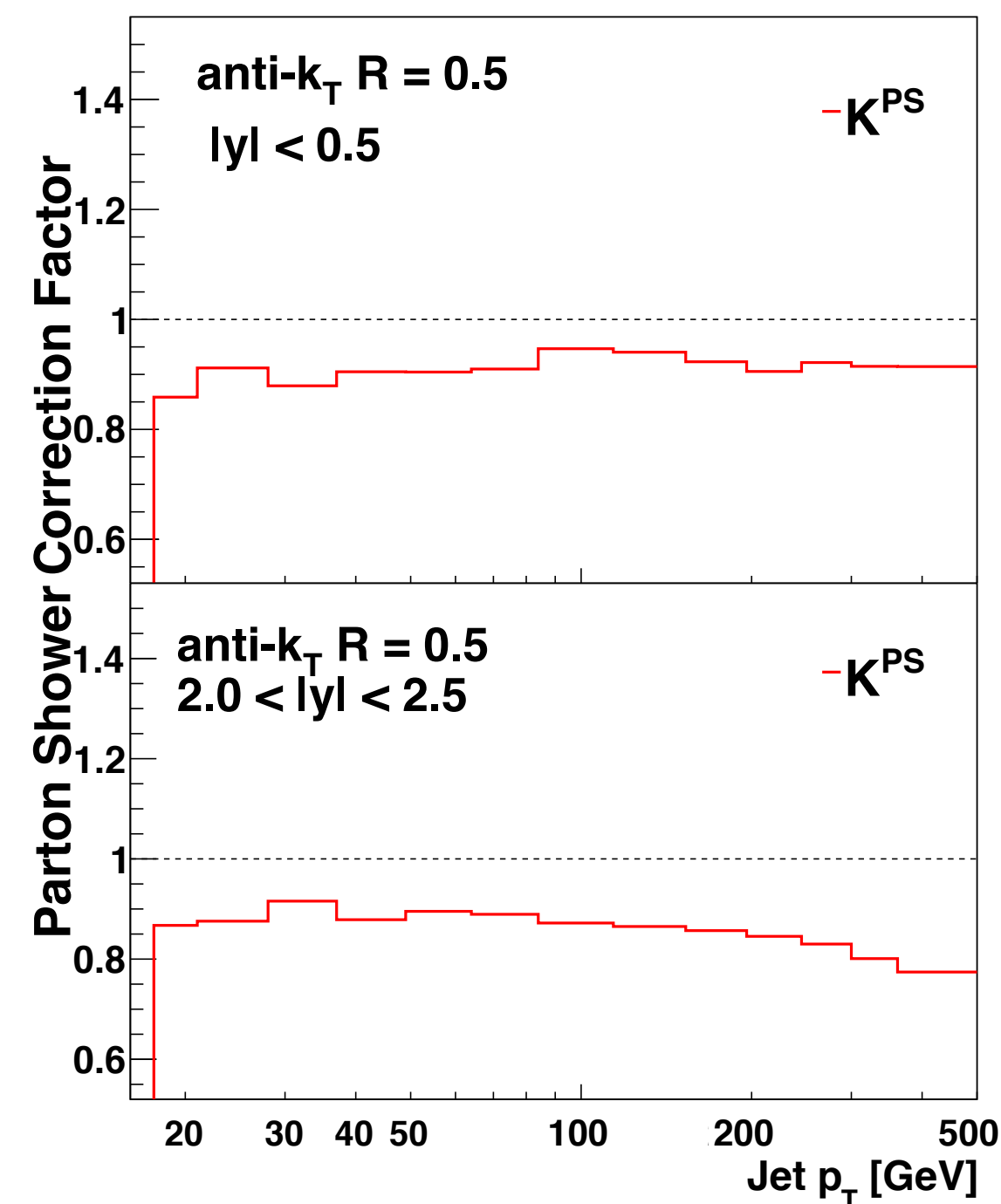
Transverse Momentum Dependent pdfs at LHC



NLO-MC for jet p_T

$$K^{PS} = \frac{N_{NLO-MC}^{(ps)}}{N_{NLO-MC}^{(0)}}$$

conventional collinear factorization: TM in final state from higher order perturbative corrections
→ slows down convergence of perturbative series



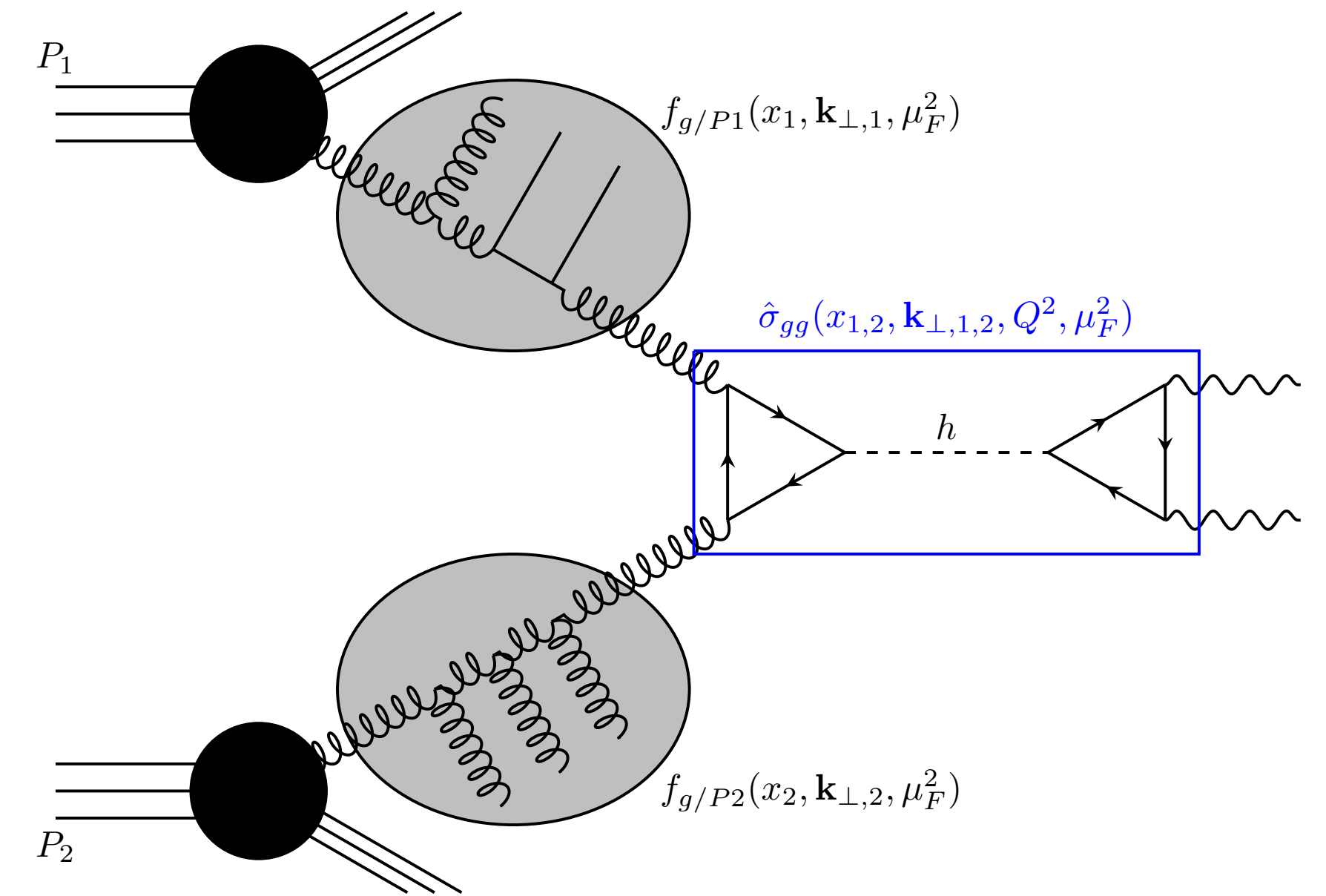
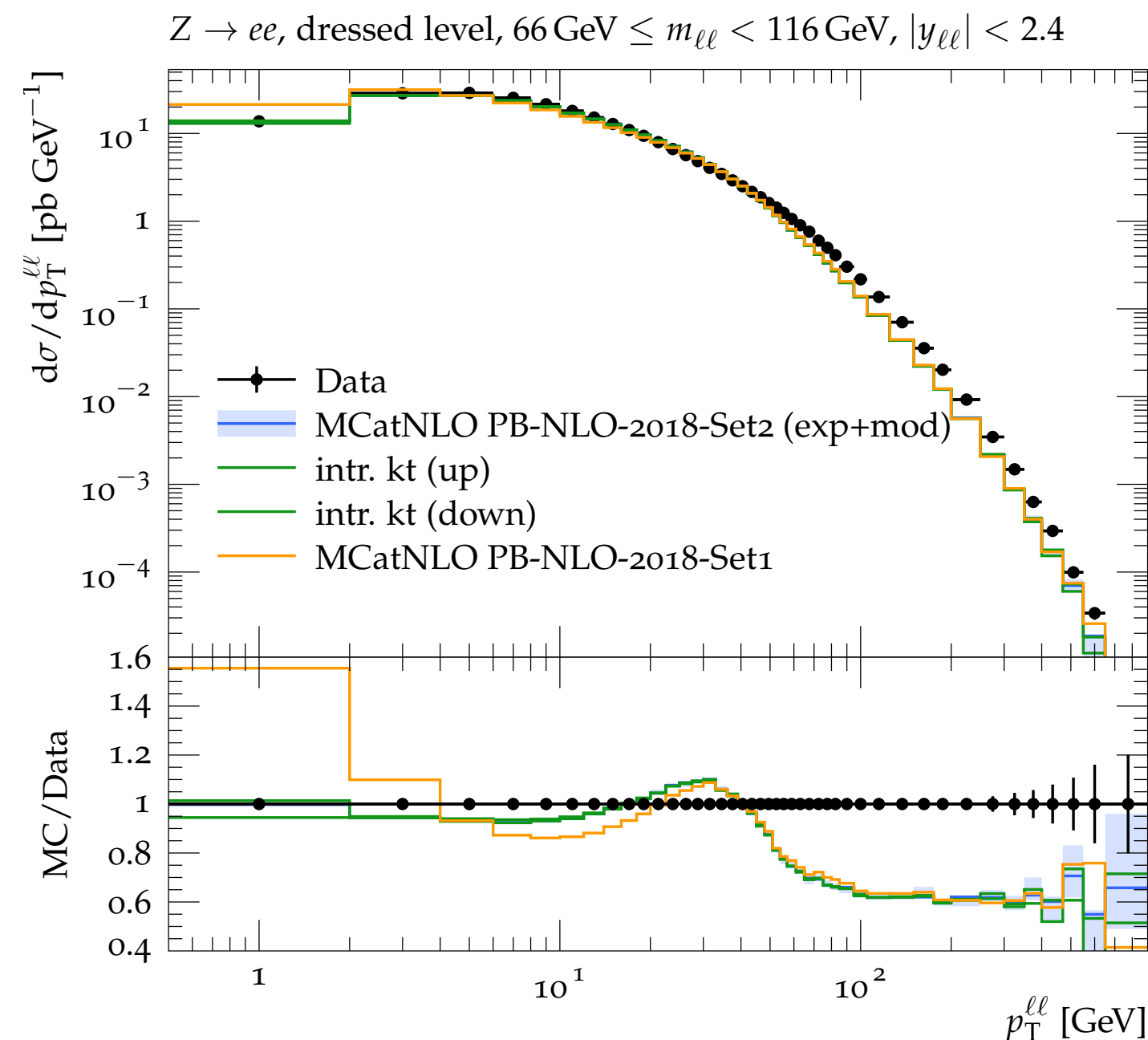
Dooling, Gunnellini, Hautmann, Jung; 1304.7180

Parton Branching method

underlying idea:

- keep track of transverse momenta along the DGLAP evolution chain \rightarrow TMD PDF (or unintegrated pdf) \rightarrow MC formulation of DGLAP evolution
- $P_{ab}^R(z)$ real splitting kernels: prob. that parton splits
- Sudakov form factor: prob. that parton does not split
- both closely related through momentum sum rules

phenomenology: Z-boson production
[Bermudez Martinez et. al. 1906.00919]



- can be formulate at LO, NLO, NNLO
- implemented in Xfitter framework
- particularly useful for MC studies
- available through <https://tmdlib.hepforge.org/>, see also [2103.0974]
- also implemented in Cascade MC [Baranov et. al.; 2101.10221]

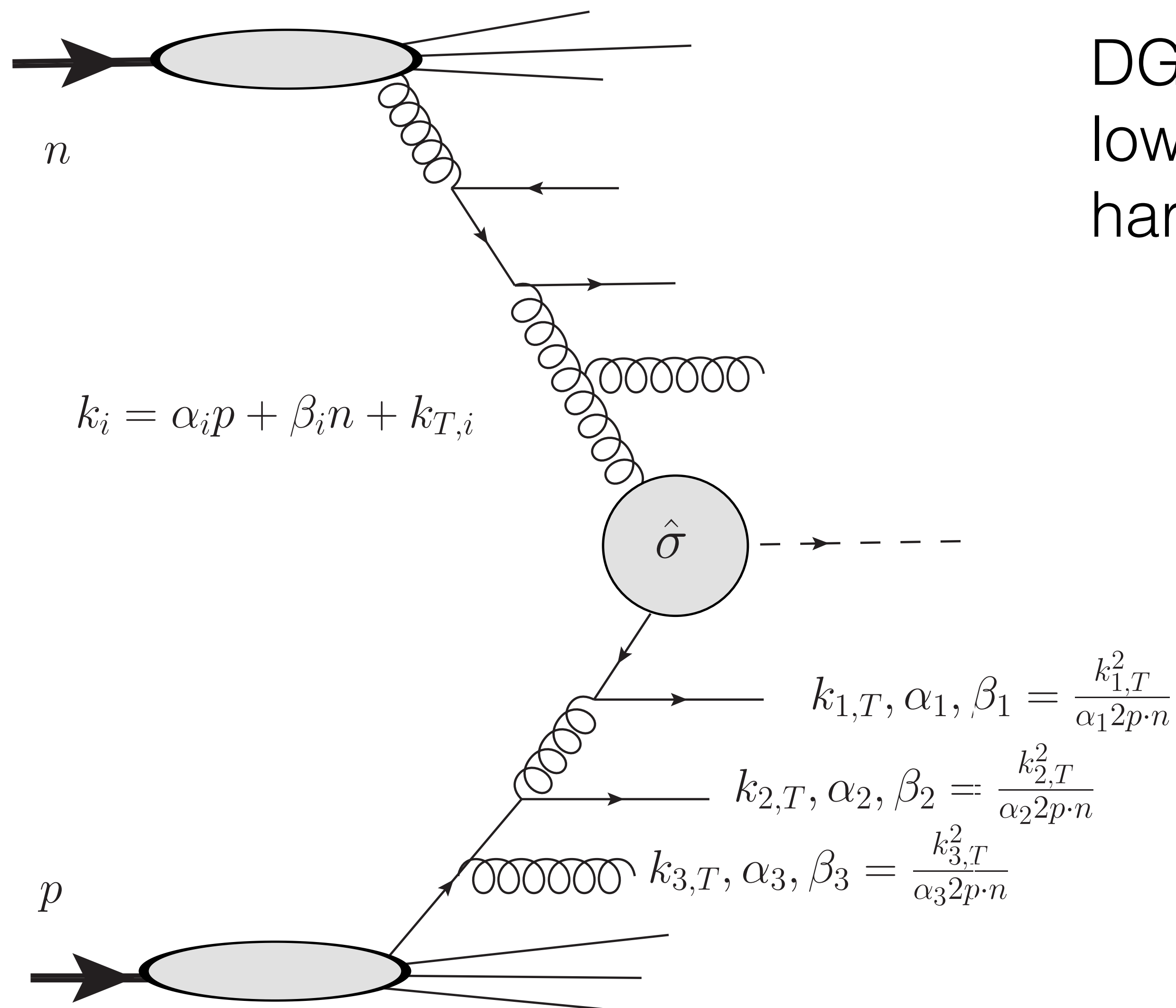
Open questions (personal list)

- precise relation to TMD QCD operator definition (→underway, but not topic of this talk)
- high energy/low x limit: QCD amplitudes are naturally factorized into TMD unintegrated gluon distribution
 - high energy factorization in the dilute limit (no high density effects)
 - BFKL evolution [Kuraev, Lipatov, Fadin; SPJ (1977)], [Balitsky, Lipatov; SJNP (1978)] and unintegrated gluon density
 - k_T -factorization: matching to collinear factorization → analytic continuation of partonic cross-section

$$4M^2\sigma(x, M^2) = \int d^2\mathbf{k} \int_0^1 dz_1 \int_0^1 dz_2 \hat{\sigma}\left(z_1, \frac{\mathbf{k}^2}{M^2}\right) \mathcal{F}(z_2, \mathbf{k}) \delta(z_1 z_2 - x)$$

BFKL is an exact QCD result → necessary to re-obtain it within the parton branching method

[Catani, Ciafaloni, Hautmann; NPB366]

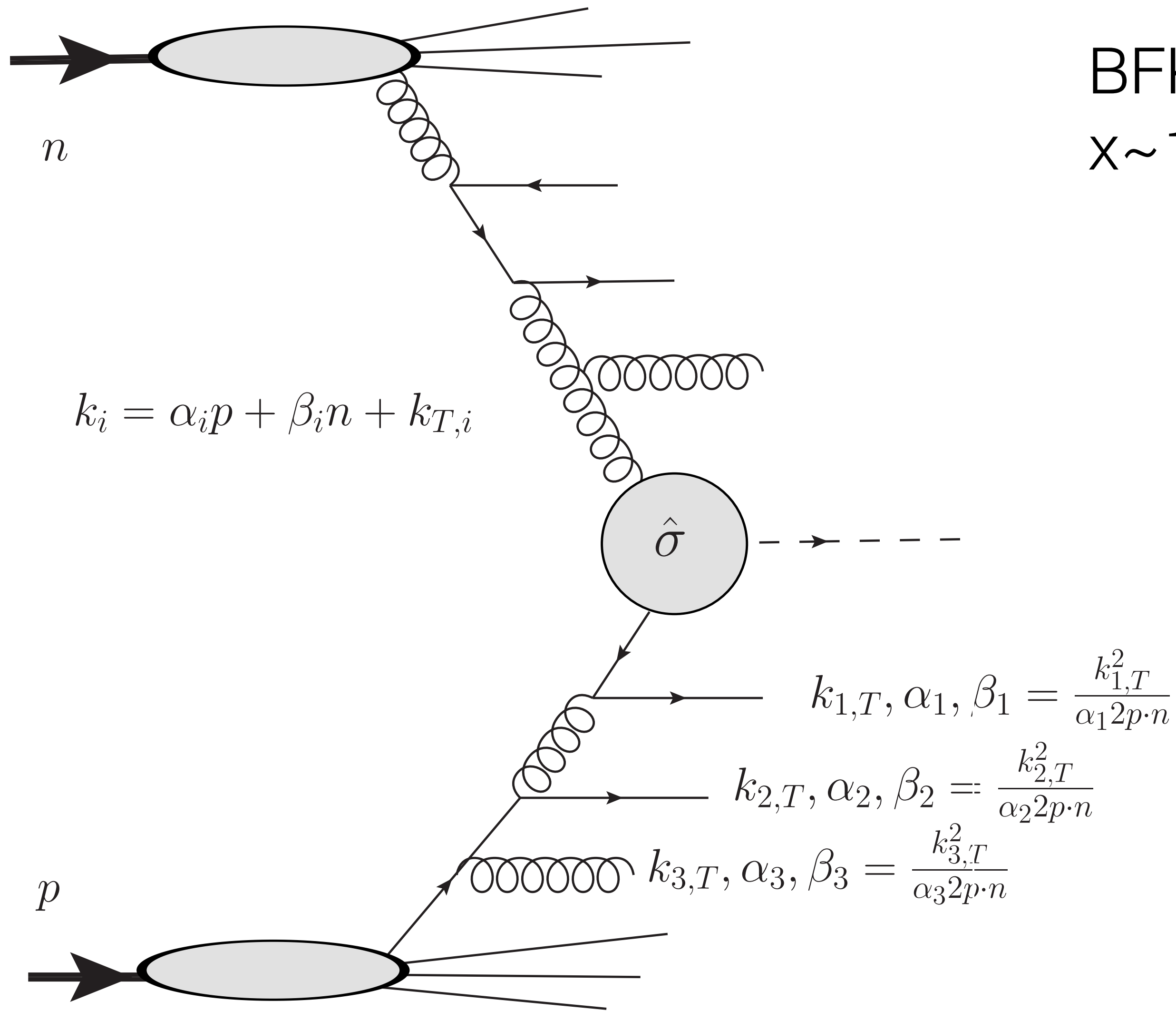


DGLAP= evolution from
low scale (hadron) to
hard scale (process)

transverse momenta
strongly ordered

$\mathbf{k}_{T,i} \gg \mathbf{k}_{T,i+1}$ (=neglect
information on $\mathbf{k}_T \longleftrightarrow$ isolate
logarithmic enhanced term \sim
collinear factorization)

proton momentum fraction α treated exactly (no approximation), but implicitly $\alpha_i \sim \alpha_j$



BFKL= evolution from intermediate $x \sim 10^{-3}$ to low $x \sim 10^{-6}$

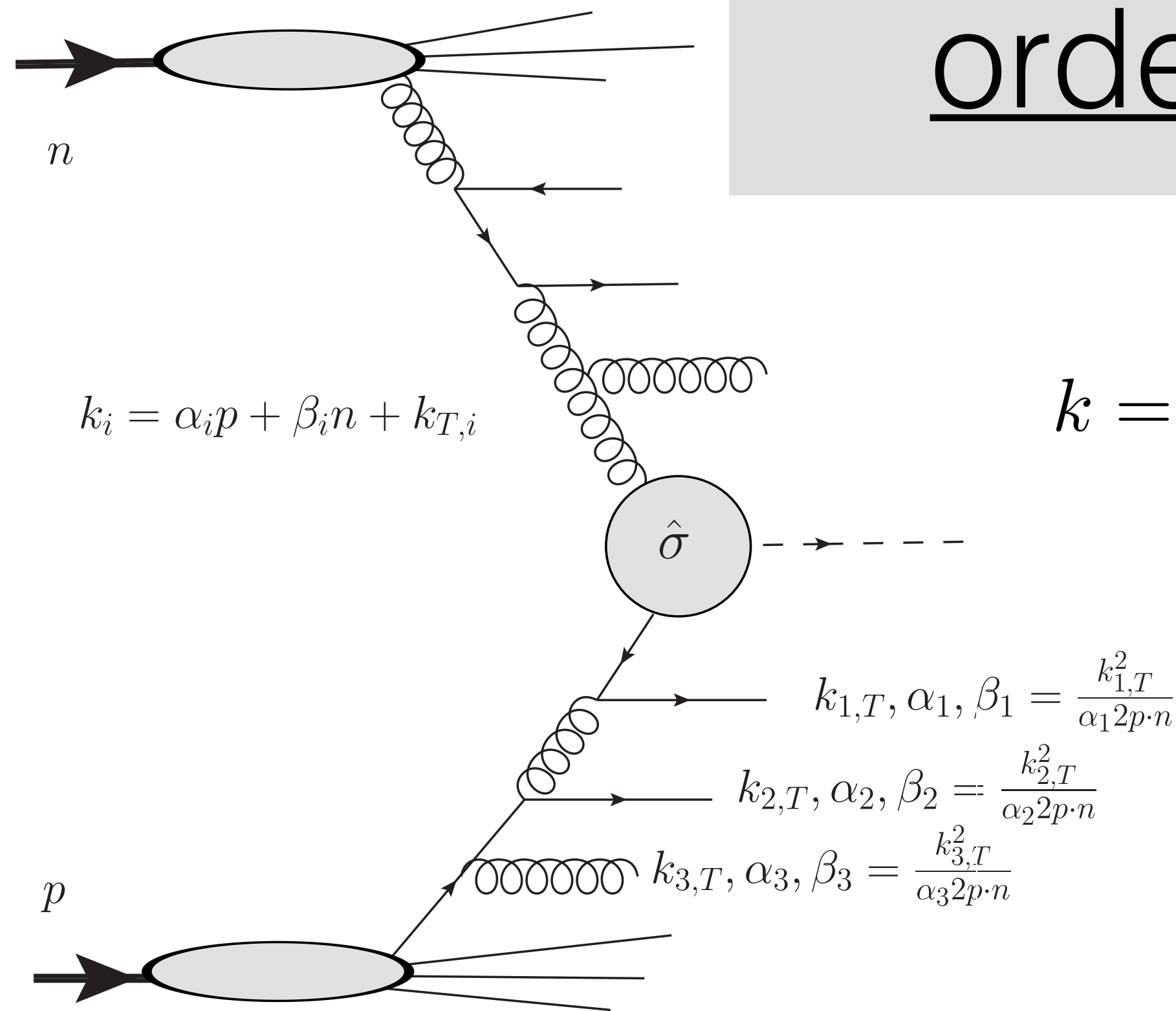
proton momentum fraction α strongly ordered $\alpha_i \gg \alpha_j$
 (=neglect information on $\alpha \longleftrightarrow$ isolate logarithmic enhanced term \sim high energy factorization)

transverse momentum treated exactly (no approximation), but implicitly $\mathbf{k}_{T,i} \sim \mathbf{k}_{T,i+1}$

ordering in β (momentum fraction w.r.t. collision partner)

goal:

- combine DGLAP & BFKL
- extend validity of TMD evolution to the region $x = 10^{-3} - 0.7$



$$k = \alpha p + \beta n + k_T$$

$$\beta_1 \gg \beta_2 \gg \beta_3 \gg \dots \text{ means}$$

$$\frac{k_{T,1}^2}{\alpha_1 2n \cdot p} \gg \frac{k_{T,1}^2}{\alpha_1 2n \cdot p} \gg \frac{k_{T,1}^2}{\alpha_1 2n \cdot p} \gg \dots$$

implies: $\alpha_1 \ll \alpha_2 \ll \dots$

$$k_{T,1} \sim k_{T,2} \sim \dots$$

BFKL/Multi-Regge Kinematics

AND

$$\alpha_1 \sim \alpha_2 \sim \dots$$

$$k_{T,1} \gg k_{T,2} \gg \dots$$

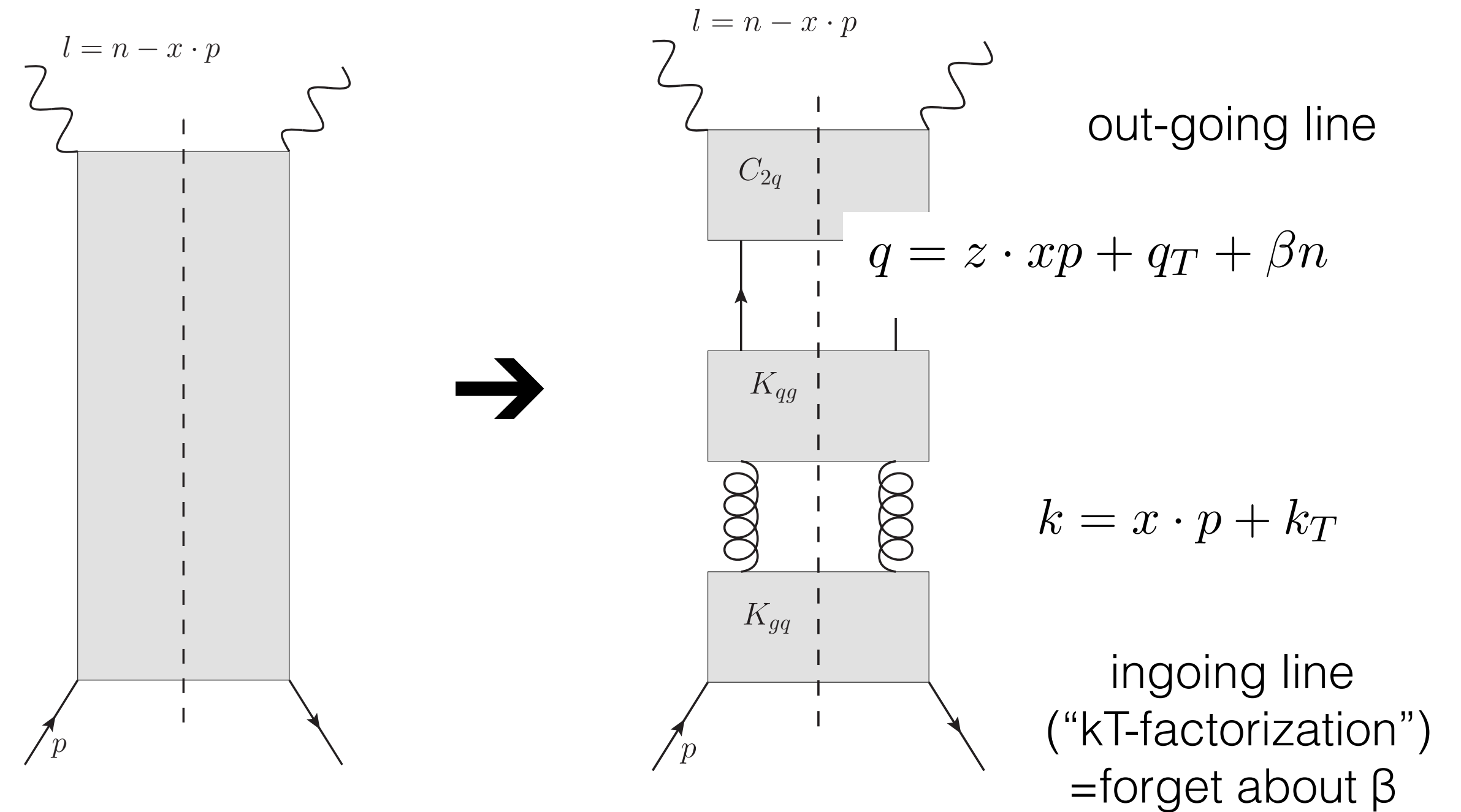
DGLAP/collinear kinematics

Real TMD splitting kernels

task: search for factorization of correlators which is only ordered in β

real part:

- start from diagrammatic definition of collinear factorization in axial gauge [Curci, Furmanski, Petronzio; NPB 1908]
- incoming off-shell legs: high energy factorization as formulated in high energy effective action [Lipatov, hep-ph/9502308]
- gauge invariant kernel + correct high energy & collinear limit
- allows to derive real splitting kernels [Gituliar, MH, Kutak; 1511.08439]; [MH, Kusina, Kutak, Serino; 1711.04587]
- fails for virtual corrections \rightarrow TMD distribution in light cone gauge requires transverse gauge link \rightarrow work in progress



this talk:

- explore real TMD splitting within parton branching method
- fix missing virtual contributions through probabilistic interpretation

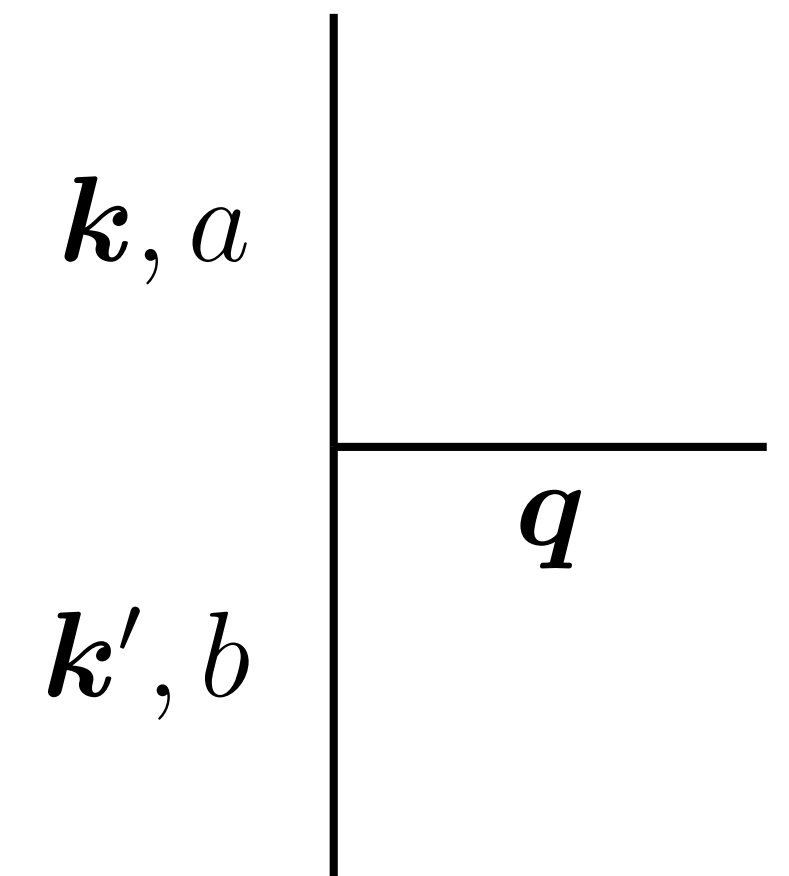
Heuristic generalization of the BFKL equation

$$\mathcal{F}(x, \mathbf{k}^2) = \mathcal{F}^{(0)}(x, \mathbf{k}^2) + \int_x^1 dz \int \frac{d^2 \mathbf{q}}{\pi \mathbf{q}^2} P_{gg}^{\text{TM}}(z, \mathbf{q} + \mathbf{k}, \mathbf{q}) \mathcal{F}\left(\frac{x}{z}, (\mathbf{q} + \mathbf{k})^2\right)$$

leading order BFKL: $P_{gg}^{\text{TM}} \rightarrow \frac{\alpha_s C_A}{\pi z} \left(\frac{1}{\mathbf{q}^2} + \text{virtual} \right)$

$$\frac{1}{\mathbf{q}^2} P_{gg}^{\text{TM},R}(z, \mathbf{k}', \mathbf{q}) = \frac{\alpha_s C_A}{2\pi} \frac{e^{-\gamma_E \epsilon}}{\mu^{2\epsilon}} \left[\frac{2}{z(1-z)\mathbf{q}^2} + \frac{1}{\mathbf{q}^2} \frac{\mathbf{k}'^2 - 3\mathbf{q}^2 - \mathbf{k}^2}{z\mathbf{q}^2 + (1-z)\mathbf{k}^2} \right. \\ \left. + \frac{(1+\epsilon)z(1-z)}{(z\mathbf{q}^2 + (1-z)\mathbf{k}^2)^2} \frac{(2\mathbf{k}' \cdot \mathbf{q} - \mathbf{k}'^2)^2}{\mathbf{k}'^2} \right]$$

real splitting kernel



Properties:

$$\lim_{\mathbf{k}'^2 \rightarrow 0} P_{gg}^{\text{TM},R}(z, \mathbf{k}', \mathbf{q}) = \frac{\alpha_s 2C_A}{2\pi} \frac{e^{-\gamma_E \epsilon}}{\mu^{2\epsilon}} \left[\frac{1}{z(1-z)} - 2 + (1+\epsilon) \frac{(\mathbf{q} \cdot \mathbf{k}')^2}{\mathbf{k}'^2} \right];$$

- DGLAP splitting function in the collinear limit
- real part of BFKL kernel for $z \rightarrow 0$

double Mellin space:

$$\begin{aligned} \hat{P}_{gg}^R(\omega, \gamma; \epsilon) &= \int_0^1 dz z^\omega \int \frac{d^{2+2\epsilon} \mathbf{q}}{\pi} \left(\frac{(\mathbf{k} + \mathbf{q})^2}{\mathbf{k}^2} \right)^{\gamma-1} \frac{1}{\mathbf{q}^2} P_{gg}^{\text{TM},R}(z, \mathbf{k} + \mathbf{q}, \mathbf{q}) \\ &= \frac{\alpha_s C_A}{\pi \omega} \left[\frac{1}{\epsilon} + \ln \frac{\mathbf{k}^2}{\mu^2} + \chi_0(\gamma) + \mathcal{O}(\epsilon) \right] + \mathcal{O}(\omega^0), \end{aligned}$$

anticipating virtual correction (= gluon Regge trajectory)

within high energy effective action:
[MH, Sabio Vera; [1110.6741](#)]

BFKL unintegrated
gluon density after
resumming $(\alpha_s/\omega)^n$ to
all orders

$$\mathcal{F}(x, \mathbf{k}^2) = \frac{1}{\mathbf{k}^2} \int \frac{d\omega}{2\pi i} x^{-\omega} \int \frac{d\gamma}{2\pi i} \left(\frac{\mathbf{k}^2}{Q_0^2} \right)^\gamma \frac{h^{(0)}(\gamma)}{\omega - \bar{\alpha}_s \chi_0(\gamma)}.$$

collinear limit: BFKL anomalous
dimension

$$\gamma^{\text{BFKL}}(\alpha_s, \omega) = \frac{\bar{\alpha}_s}{\omega} + 2\zeta(3) \left(\frac{\bar{\alpha}_s}{\omega} \right)^4 + \dots$$

Quark splittings

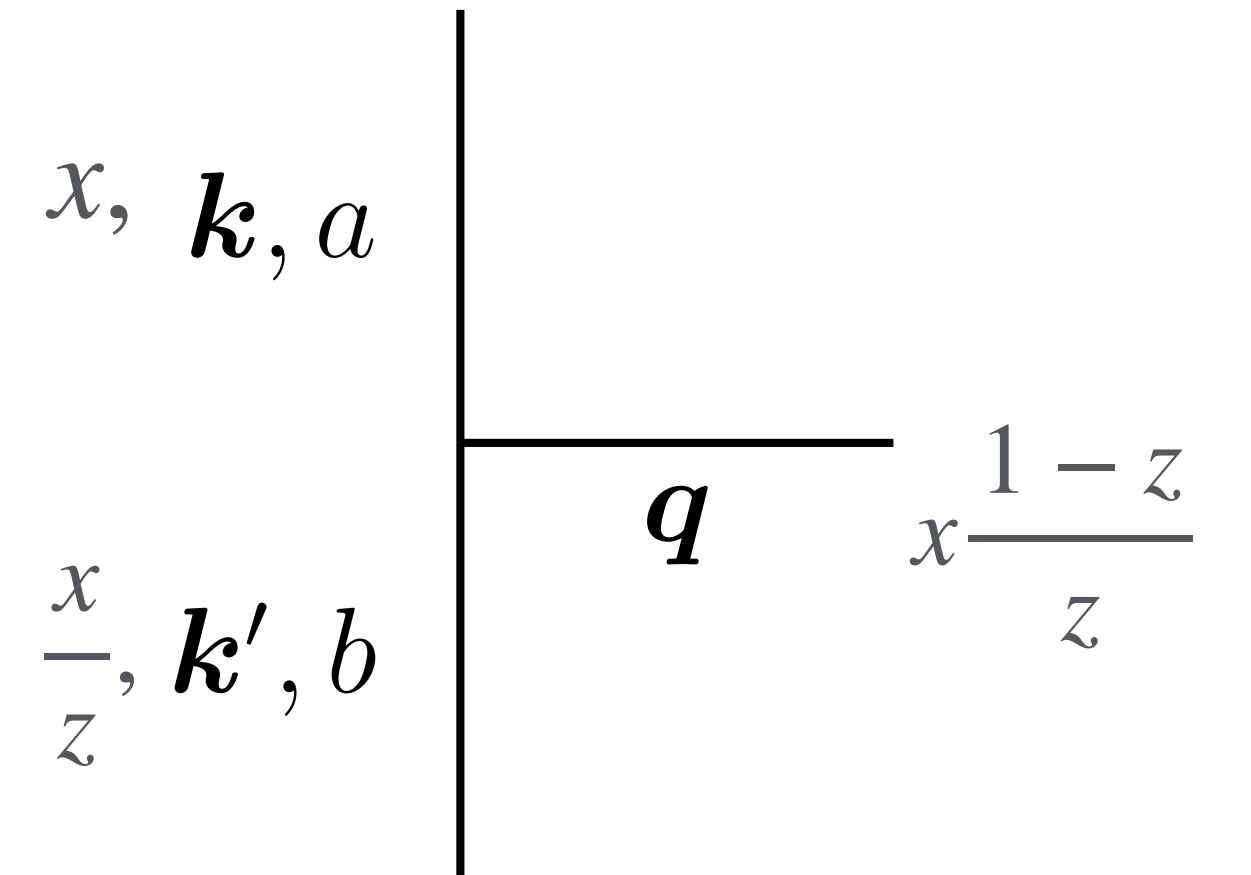
$$\frac{1}{q^2} P_{gq}^{\text{TM}}(z, \mathbf{k}', \mathbf{q}) = \frac{\alpha_s C_F}{2\pi} \frac{e^{-\gamma_E \epsilon}}{\mu^{2\epsilon}} \left[\frac{2}{z q^2} - \frac{2}{z q^2 + (1-z) \mathbf{k}^2} + \frac{(1+\epsilon) z q^2}{[z q^2 + (1-z) \mathbf{k}^2]^2} \right]$$

$$\frac{1}{q^2} P_{qg}^{\text{TM},R}(z, \mathbf{k}', \mathbf{q}) = \frac{\alpha_s T_R}{2\pi} \frac{e^{-\gamma_E \epsilon}}{\mu^{2\epsilon}} \left[\frac{1}{z q^2 + (1-z) \mathbf{k}^2} + \frac{z(1-z) q^2 (2\mathbf{q} \cdot \mathbf{k}' - \mathbf{k}'^2)^2}{\mathbf{k}^2 [z q^2 + (1-z) \mathbf{k}^2]^2} \right]$$

$$\begin{aligned} \frac{1}{q^2} P_{qq}^{\text{TM},R}(z, \mathbf{k}', \mathbf{q}) = & \frac{\alpha_s C_F}{2\pi} \frac{e^{-\gamma_E \epsilon}}{\mu^{2\epsilon}} \left[\frac{2}{(1-z) q^2} + \frac{\mathbf{k}'^2 - \mathbf{k}^2}{\mathbf{k}^2 [z q^2 + (1-z) \mathbf{k}^2]} \right. \\ & \left. - \frac{z q^2 + \epsilon(1-z) \mathbf{k}^2}{[z q^2 + (1-z) \mathbf{k}^2]^2} \right] \end{aligned}$$

- correct high energy and collinear limits easily verified
- generalization to arbitrary flavors ...

$$\mathcal{F}_a(x, \mathbf{k}^2) = \mathcal{F}_a^{(0)}(x, \mathbf{k}^2) + \sum_b \int_x^1 dz \int \frac{d^2 \mathbf{q}}{\pi q^2} P_{ab}^{\text{TM}}(z, \mathbf{q}, \mathbf{q} + \mathbf{k}) \mathcal{F}_b\left(\frac{x}{z}, (\mathbf{q} + \mathbf{k})^2\right)$$



but: still lacks virtual contribution + requires “renormalization”

From 'bare' to 'physical' TMD PDF

$$xG^{(1)}(x, \mathbf{k}) = \int \frac{d\xi^- d^2\xi}{(2\pi)^3 P^+} e^{ixP^+\xi^- - i\mathbf{k}\cdot\boldsymbol{\xi}} \langle P | F_a^{+i}(\xi^-, \boldsymbol{\xi}) \mathcal{L}_\xi^\dagger \mathcal{L}_0 F_a^{+i}(0) | P \rangle$$

$$\mathcal{L}_\xi = \text{P exp}\left\{-ig \int_{\xi^-}^{\infty} d\zeta^- A^+(\zeta, \boldsymbol{\xi})\right\}$$

these operator definitions require

- soft factor
- UV renormalization
- virtual corrections

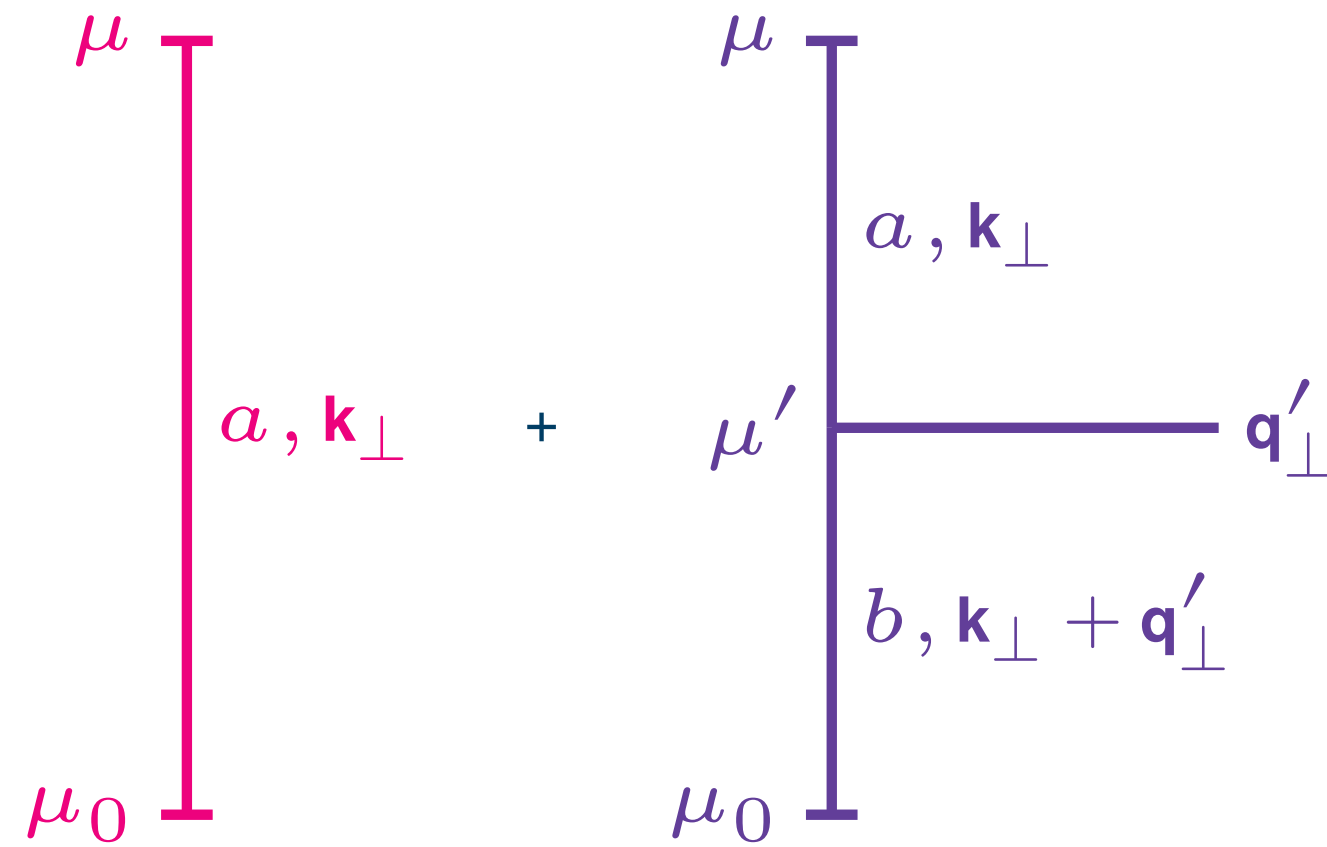
- real splitting kernels can be re-obtained from operator definitions of unpolarized gluon and quark TMD (real 1-loop)
- initial state: reggeized gluon and quark field as defined through high energy effective action

our treatment:

- determine/constraint these conditions through consistency requirement of the parton branching method
- independent formal calculation is under way

Parton branching method: collinear case

prob. for evolution



with no
branchings

with branching

$$\tilde{A}_a(x, k_\perp, \mu^2) = \Delta_a(\mu^2, \mu_0^2) \tilde{A}_a(x, k_\perp, \mu_0^2) + \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{2\pi} \frac{d\phi}{2\pi} \int_x^{z_M(\mu')} dz K_{ab}(z, \mu') \tilde{A}_b\left(\frac{x}{z}, |\mathbf{k} + a(z)\boldsymbol{\mu}'|, \mu'^2\right)$$

$$\Delta_a(\mu^2, \mu_0^2) = \exp \left[- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{z_M(\mu')} dz z P_{ba}^R(z, \alpha_s(b(z)^2 \mu'^2)) \right]$$

Sudakov form factor

$$\mathbf{q}'_\perp = (1 - z')\boldsymbol{\mu}' \quad \text{collinear angular ordering}$$

$$K_{ab}(z, \mu') = \frac{\Delta_a(\mu^2, \mu_0^2)}{\Delta_a(\mu'^2, \mu_0^2)} P_{ab}^R(z, \alpha_s(b(z)^2 \mu'^2)) \quad \text{collinear splitting kernel}$$

Naive replacement: $P_{ab}(z) \rightarrow P_{ab}^{TM}(z, \mathbf{k}', \mu')$

- technically possible: since we already integrate over transverse momenta through angular ordering prescription
- Sudakov form factors etc. remain with the collinear prescription

Consequences: rather strong violation of momentum sum rules

$$xf_a(x, \mu) = \int \frac{d^2\mathbf{k}}{\pi} \mathcal{A}(x, \mathbf{k}, \mu)$$

relation to conventional integrated pdfs (“DGLAP up to phase space”)

$$\int_0^1 dx \sum_a xf_a(x, \mu) = 1$$

need to obey momentum sum rule

$$\int_0^1 dx \sum_a x f_a(x, \mu) = 1 \text{ for collinear splitting}$$

kernels + collinear Sudakov

→ works 

μ^2 [GeV ²]	$\alpha_s(\mu^2)$, fix. z_M	$\alpha_s(q_\perp^2)$, fix. z_M	$\alpha_s(q_\perp^2)$, dyn. z_M
3	1.000	1.000	1.000
10	0.999	0.999	0.999
10^2	0.997	0.997	0.997
10^3	0.995	0.993	0.995
10^4	0.992	0.989	0.992
10^5	0.986	0.981	0.984

$$\int_0^1 dx \sum_a x f_a(x, \mu) = 1 \text{ for TMD splitting kernels}$$

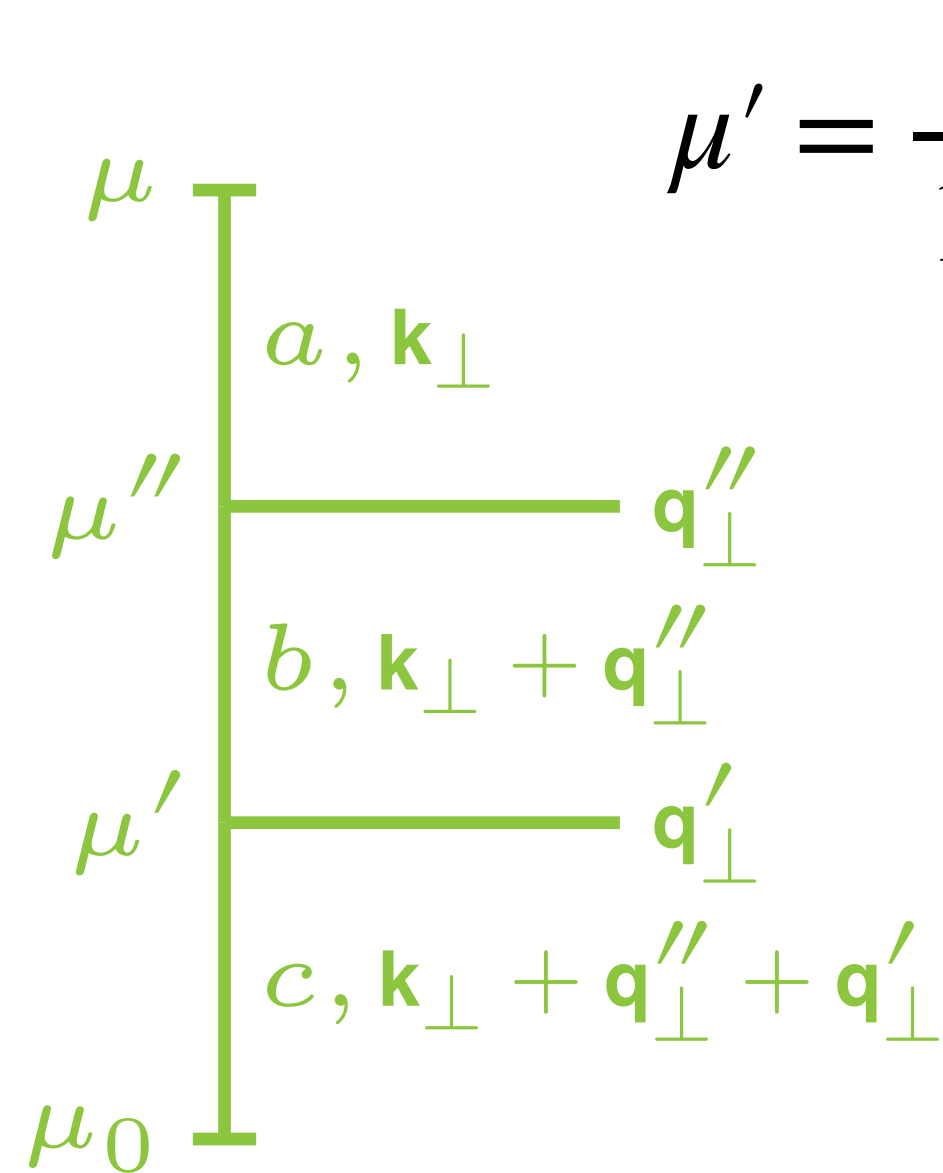
+ collinear Sudakov

→ does not work 

μ^2 [GeV ²]	$\alpha_s(\mu^2)$, fix. z_M	$\alpha_s(q_\perp^2)$, fix. z_M	$\alpha_s(q_\perp^2)$, dyn. z_M
3	1.029	1.038	1.000
10	1.087	1.139	1.007
10^2	1.156	1.304	1.045
10^3	1.195	1.413	1.091
10^4	1.219	1.478	1.129
10^5	1.229	1.507	1.148

From the 'bare' TMD

$$\mathcal{F}_a(x, \mathbf{k}^2) = \mathcal{F}_a^{(0)}(x, \mathbf{k}^2) + \sum_b \int_x^1 dz \int \frac{d^2 \mathbf{q}}{\pi \mathbf{q}^2} P_{ab}^{\text{TM}}(z, \mathbf{q}, \mathbf{q} + \mathbf{k}) \mathcal{F}_b \left(\frac{x}{z}, (\mathbf{q} + \mathbf{k})^2 \right)$$



$$\mu' = \frac{\mathbf{q}_T}{1 - z'} \text{ etc}$$

- formulation based on angular ordering
- exact angular/rapidity ordering $\mu > z''\mu''$, $\mu'' > z'\mu'$ etc,
- Current Parton branching code is based on angular ordering, but ignores the ' z' '

simplified ordering
prescription: $\mu > \mu'' > \mu'$

- misses complete TM range for $z \rightarrow 0$: incomplete BKFL ladder in low x region 😞
- but control well the infra-red region $z \rightarrow 1$ 😊
focus on these aspects in this work

towards parton branching

Note: this is the region which we need to control to make sense of our 'bare' equation

Define now a TMD PDF which depends on the scale μ

$$\tilde{A}_a(x, k_\perp, \mu) = \mathcal{F}_a^{(0)}(x, \mathbf{k}^2) + \sum_b \int_x^1 dz \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{\mu^2} \frac{d\mu'^2}{\mu'^2} \tilde{P}_{ab}(z, \mathbf{k}', \mathbf{q}) \tilde{A}_b\left(\frac{x}{z}, |\mathbf{k}'|, \mu'\right)$$

- separate off modes with $\mu' > \mu_0$

$$\tilde{A}_a(x, k_\perp, \mu_0) = \mathcal{F}_a^{(0)}(x, \mathbf{k}^2) + \sum_b \int_x^1 dz \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{\mu_0^2} \frac{d\mu'^2}{\mu'^2} \tilde{P}_{ab}(z, \mathbf{k}', \mathbf{q}) \tilde{A}_b\left(\frac{x}{z}, |\mathbf{k}'|, \mu\right)$$

- separate off modes with $z > z_M$, $z_M \sim 1 - 10^{-5}$
defines the “no emission probability” $F_a(\mu'^2, \mathbf{k}')$
- contains both (unknown) virtual and unresolved real contributions

note: z_M is a regulator; $z_M = 1 - 10^{-5}$ is the implementation used in the MC solution

intermediate result:

probability of no emission: gathers unresolved
real contributions + unknown virtual corrections

$$\begin{aligned} \tilde{\mathcal{A}}_a(x, |\mathbf{k}|, \mu^2) = & \tilde{\mathcal{A}}_a(x, |\mathbf{k}|, \mu_0^2) - \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} F_a(\mu'^2, \mathbf{k}^2) \tilde{\mathcal{A}}_a(x, |\mathbf{k}|, \mu'^2) + \\ & + \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{2\pi} \frac{d\phi}{2\pi} \int_x^{z_M} dz \tilde{P}_{ab}^R(z, \mathbf{k}', \mu') \tilde{\mathcal{A}}_b\left(\frac{x}{z}, |\mathbf{k}'|, \mu'^2\right) \end{aligned}$$

real TMD splitting kernels (known): probability of emission

next step: impose momentum sum rule to
fix the unknown no emission probability F_a

$$\sum_a \int_0^1 dx \int dk_{\perp}^2 \tilde{\mathcal{A}}_a(x, k_{\perp}, \mu^2) = 1.$$

technically: extend usual MC arguments to k_T dependent case, obtain from sum-rule:

$$F_a(\mu'^2, k_\perp) = \sum_b \int_0^{2\pi} \frac{d\phi}{2\pi} \int_0^{z_M} dz \, z \tilde{P}_{ba}^R(z, \mathbf{k}, \boldsymbol{\mu}')$$

- sufficient to satisfy the sum-rule
- not necessarily the most general expression

Finally reformulate evolution equation using a TMD Sudakov form factor

$$\Delta_a(\mu^2, k_\perp) = \exp \left(- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{z_M} dz \, z \bar{P}_{ba}^R(z, k_\perp, \mu') \right).$$

depends now on TM!

eventually obtain the parton branching evolution equation:

$$\begin{aligned} \tilde{A}_a(x, k_\perp, \mu^2) &= \Delta_a(\mu^2, k_\perp) \tilde{A}_a(x, k_\perp, \mu_0^2) + \\ &+ \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{2\pi} \frac{d\phi}{2\pi} \int_x^{z_M(\mu')} dz \, K_{ab}(z, \mathbf{k} + a(z)\boldsymbol{\mu}', \boldsymbol{\mu}') \tilde{A}_b\left(\frac{x}{z}, |\mathbf{k} + a(z)\boldsymbol{\mu}'|, \mu'^2\right) \end{aligned}$$

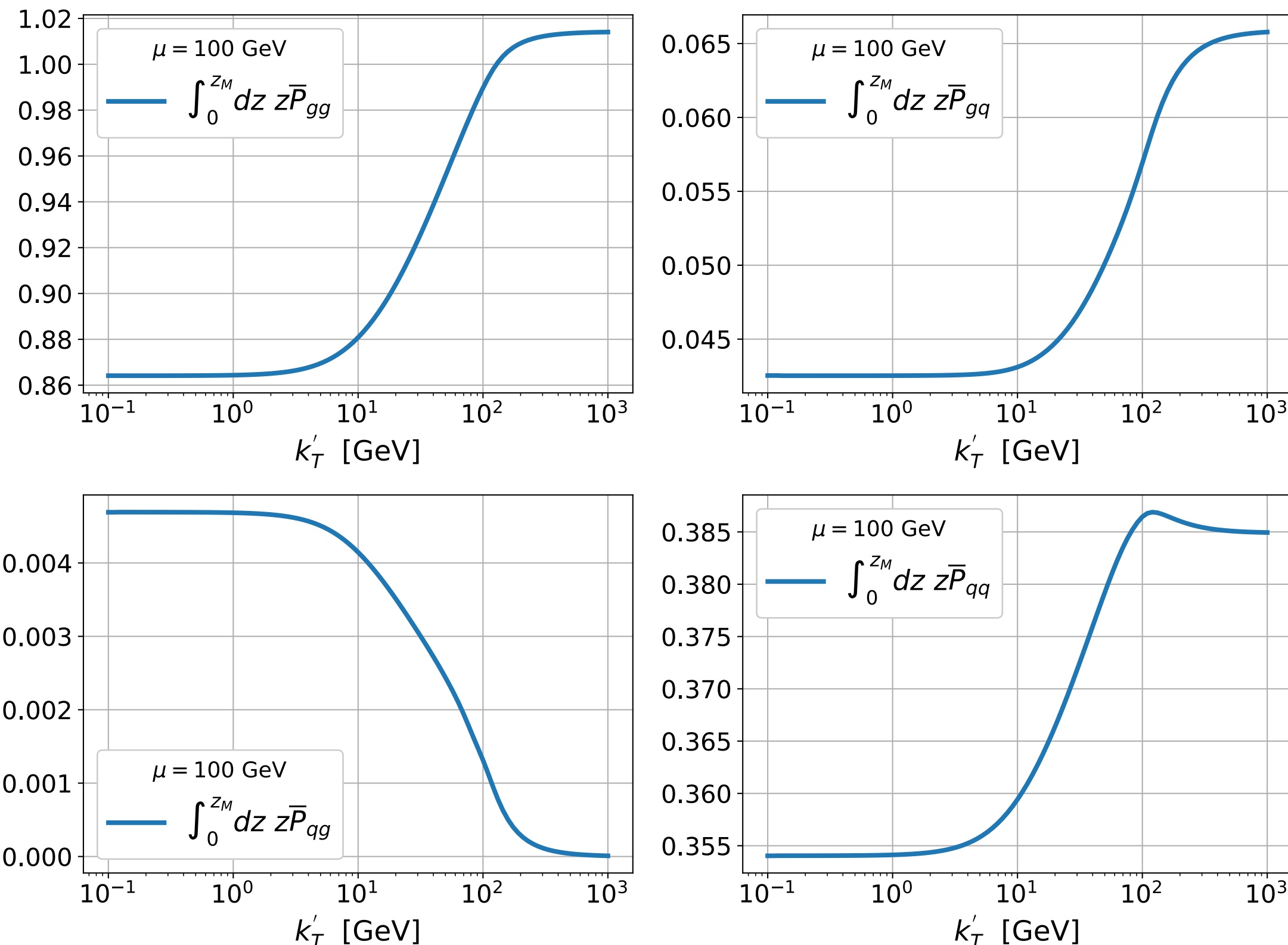
$$K_{ab}(z, \mathbf{k}', \boldsymbol{\mu}') = \frac{\Delta_a(\mu^2, k_\perp)}{\Delta_a(\mu'^2, k_\perp)} \tilde{P}_{ab}^R(z, \mathbf{k}', \boldsymbol{\mu}', \alpha_s)$$

TMD evolution equation with clear probabilistic interpretation

Properties of splittings & the TMD Sudakov

$$\Delta_a(\mu^2, k_\perp) = \exp \left(- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{z_M} dz \, z \bar{P}_{ba}^R(z, k_\perp, \mu') \right).$$

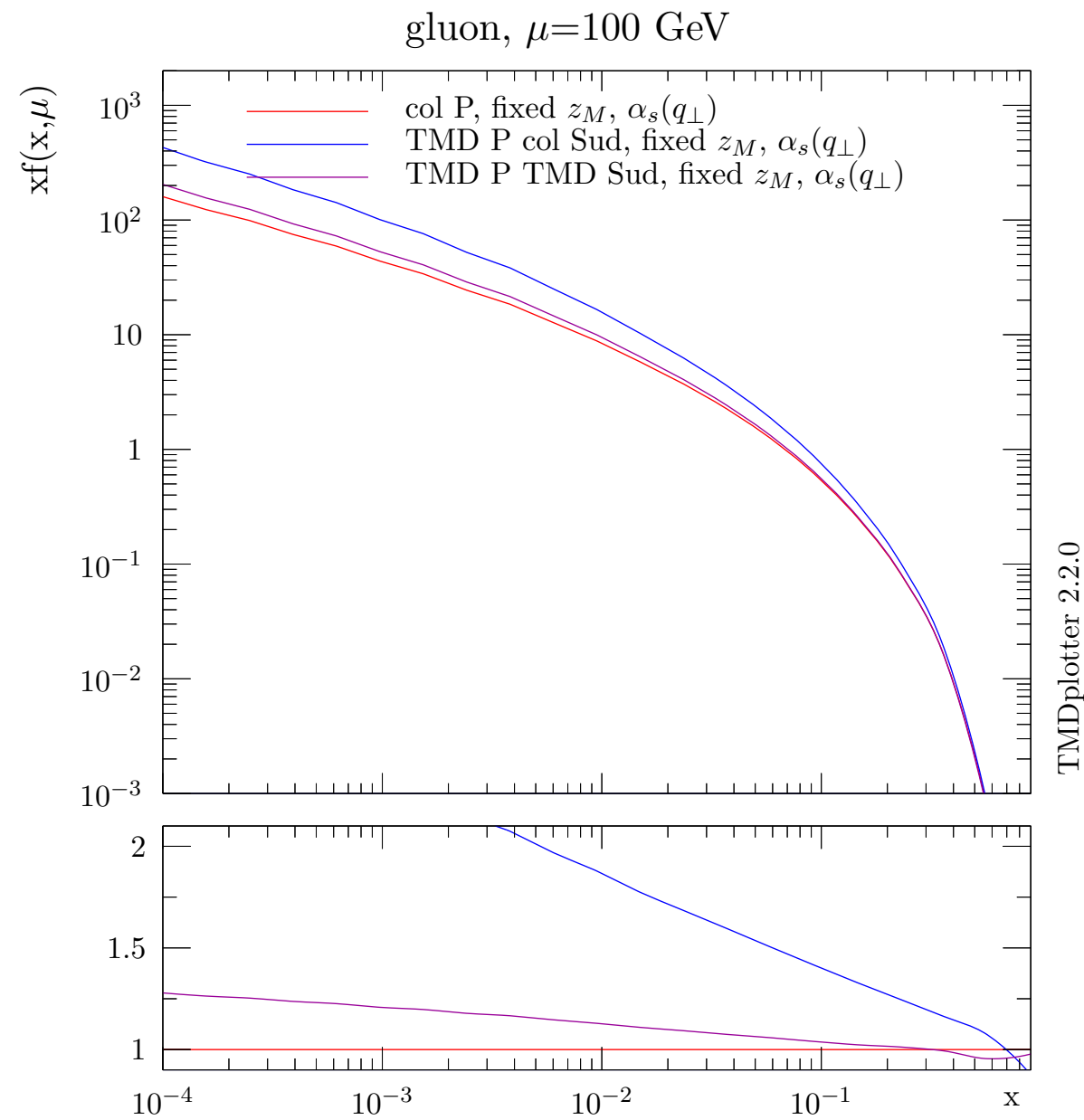
angular average TMD kernels



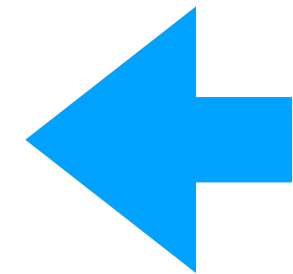
- angular average splitting kernels grow with t-channel transverse momentum
→ increased splitting probabilities
- TMD Sudakov (no splitting probability): drops off for large TM
- collinear limit by construction
- low x resummation only complete for small transverse momenta $k_T \ll \mu$

$$\int_0^1 dx \sum_a x f_a(x, \mu) = 1 \text{ for TMD splitting kernels + TMD Sudakov}$$

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10^5	0.984	0.978	0.983

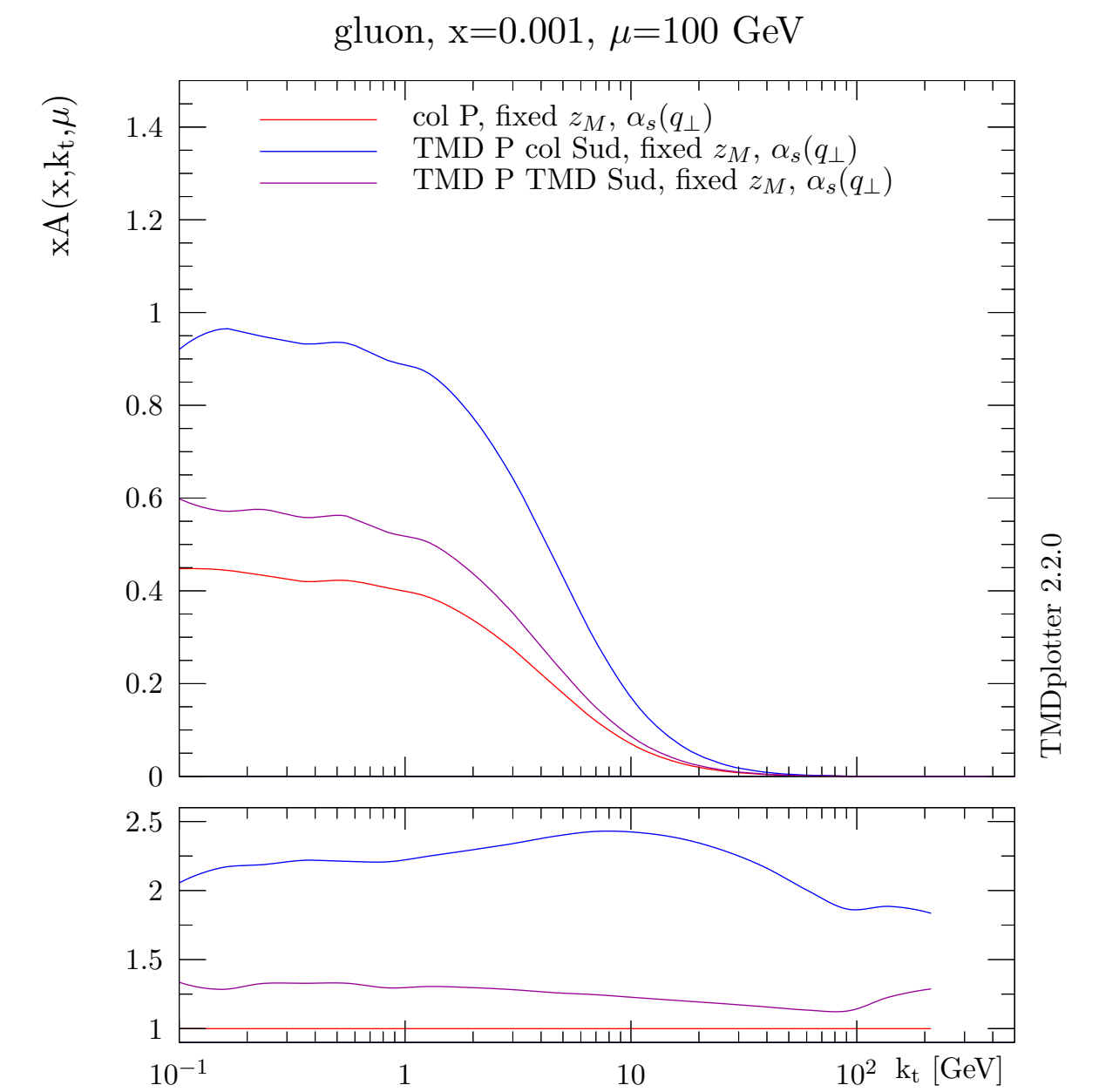
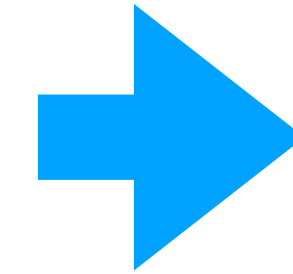


Distributions:



x -dependence

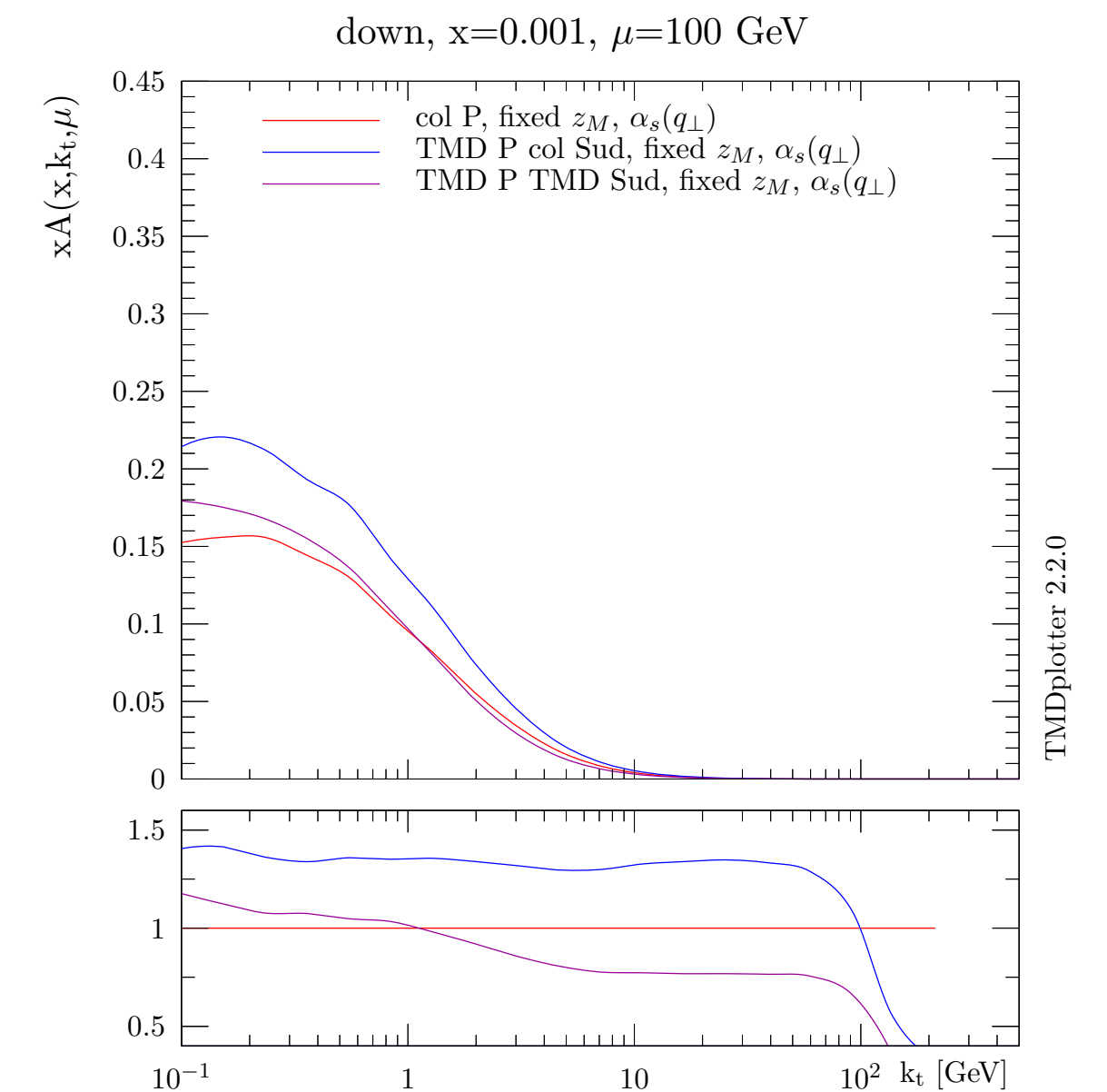
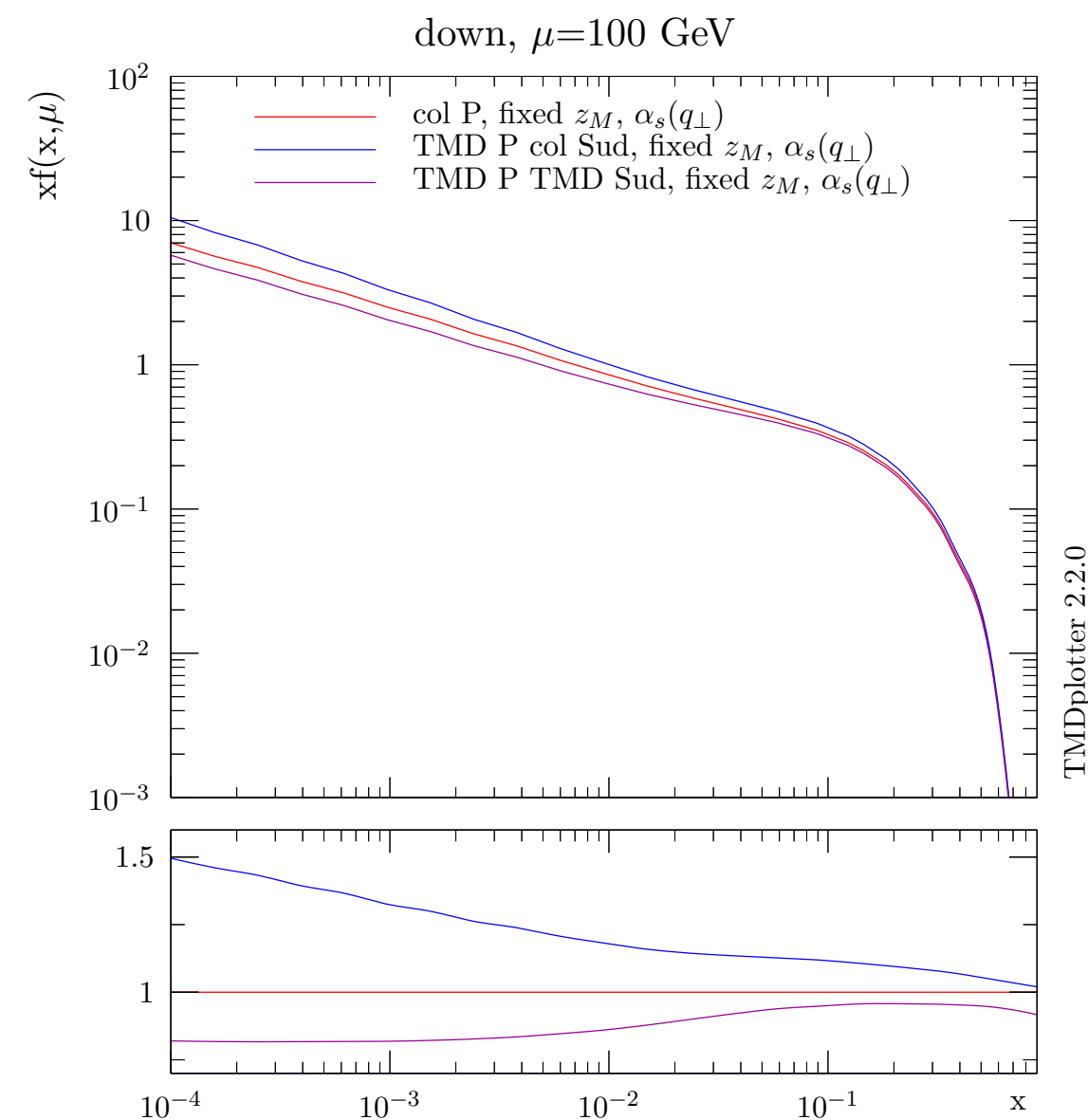
k_T -dependence



- so far: identical collinear initial condition
- separate fits left as task for the future

$$\tilde{\mathcal{A}}_a(x, k_{\perp,0}, \mu_0^2) = x f_a(x, \mu_0^2) \cdot \frac{1}{q_s \sqrt{\pi}} \exp\left(-\frac{k_{\perp,0}^2}{q_s^2}\right)$$

- large differences for TMD splitting + collinear Sudakov
- reason: violation of sum rules



Conclusion:

- First implementation of TMD splitting function within the Parton Branching method
- Region which needs to be controlled: soft/infra-red region $z \rightarrow 1$
 - implies a rapidity divergence
 - requires ‘renormalization’; here achieved through the MC based Parton Branching method (require probabilistic picture)
- In parallel: formal study in progress; seems to lead to similar results
- Future plans:
 - can we include in this way the complete BFKL limit (arbitrary emitted parton q_T at $z \rightarrow 1$)?
 - explore relation to MC implementation of CCFM equation (old Cascade [Jung *et. al.*; [1008.0152](#)]) and NLO BFKL MC (BFKLx [Chachamis, Sabio Vera *et.al.*; [1606.07349](#) and others])
 - fits + phenomenology