

Neutrino Propagation in Matter: 3 flavors & beyond



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with Peter Denton, Xining Zhang + other collaborators

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Wolfenstein Matter Effect:

— coherent forward scattering

Z: same for all flavors

W: ν_e only





 $N_{oldsymbol{e}}$ is # density of e's

• Solar neutrinos $E_{\nu} \sim 10 \ {\rm MeV}$

 $\Delta m_\odot^2 \sim 7.5 \times 10^{-5} \ {\rm eV^2}$ and $\rho \sim 150 \ {\rm g.cm^{-3}}$

• Accelerator neutrinos $E_{\nu} \sim 10~{\rm GeV}$

 $\Delta m^2_{atm} \sim 2.5 \times 10^{-3} \ {\rm eV^2}$ and $\rho \sim 3 \ {\rm g.cm^{-3}}$



 $E_{\nu} < 1 \,\,{
m MeV}$ (pp & ⁷Be)



 $< P_{ee} > \sim 0.58$

Vac. Osc.



 $\sqrt{2}G_FN_e\simrac{\Delta m^2}{E_m}$

Ray Davis

 $E_{\nu} > 10 \text{ MeV (}^{8}\text{B \& hep})$ ν_{2} ν_{2}

 $< P_{ee} > \sim 0.34$

Matter Dominate Flavor Transformations MSV mechanism Parke PRL (1986)



Solar Neutrinos:



matter effect

Nunokawa, SP, Zukanovich-Funchal arXiv:hep-ph/0601198

NOBEL 2015

"for the discovery of neutrino oscillations, which shows that neutrinos have mass"





"for the discovery of neutrino flavor transformations, which shows that neutrinos have mass"

~ vacuum oscillations

Wolfenstein Matter effects dominant flavor transformations

See Smirnov arXiv:1609.02386

39.3 m

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Terrestrial Experiments: where Matter Effects are Important

Terrestrial Experiments: with Matter Effects

<u>Accelerator:</u> $\nu_{\mu} \rightarrow \nu_{e}$

- T2K, T2HK (295 km)
- NOvA (810 km)
- T2HKK (1100 km)
- DUNE (1300 km)

At 1st Osc. Peak $E_{
u} \sim$ (L /500 km) GeV

- <u>Reactor:</u> $\bar{\nu}_e \rightarrow \bar{\nu}_e$
 - JUNO (52 km)

Atmospheric: $\nu_{\mu} \rightarrow \nu_{e}$

• ICECUBE (13,000 km)

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Correlations between





Normal Ordering — Inverted Ordering



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T2K & NOvA:



T2K

NOvA







Neutrino Flavor or Interaction States:

 $W^+
ightarrow e^+
u_e \qquad W^+
ightarrow \mu^+
u_\mu \qquad W^+
ightarrow au^+
u_ au$



provided $L/E \ll 0.5 \text{ km/MeV} = 500 \text{ km/GeV} !!!$ ~ 1 picosecond in Neutrino rest frame !!!

Neutrino Mass EigenStates or Propagation States:



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unitary matrix

$$\begin{pmatrix} \boldsymbol{\nu}_{e} \\ \boldsymbol{\nu}_{\mu} \\ \boldsymbol{\nu}_{\tau} \end{pmatrix} = \begin{pmatrix} \boldsymbol{U}_{e1} & \boldsymbol{U}_{e2} & \boldsymbol{U}_{e3} \\ \boldsymbol{U}_{\mu 1} & \boldsymbol{U}_{\mu 2} & \boldsymbol{U}_{\mu 3} \\ \boldsymbol{U}_{\tau 1} & \boldsymbol{U}_{\tau 2} & \boldsymbol{U}_{\tau 3} \end{pmatrix} \begin{pmatrix} \boldsymbol{\nu}_{1} \\ \boldsymbol{\nu}_{2} \\ \boldsymbol{\nu}_{3} \end{pmatrix}$$

by defin $|U_{e1}|^2 > |U_{e2}|^2 > |U_{e3}|^2$

 $U_{PMNS} = U_{23}(\theta_{23}, \delta) U_{13}(\theta_{13}, 0) U_{12}(\theta_{12}, 0)$ Why this order ???

$$= \begin{pmatrix} 1 & & & \\ c_{23} & s_{23}e^{+i\delta} \\ -s_{23}e^{-i\delta} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & s_{13} \\ & 1 \\ -s_{13} & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix}$$
$$s_{ij} = \sin\theta_{ij}, c_{ij} = \cos\theta_{ij} \qquad \qquad \times \operatorname{diag}(1, e^{i\frac{\alpha_{21}}{2}}, e^{i\frac{\alpha_{31}}{2}})$$



Towards a better understanding of Osc. Prob.

Globes, while a very useful tool, is not enough !







flavor/interaction basis:

$$\frac{1}{2E} \left\{ \begin{array}{ccc} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{array} \right) \begin{array}{c} U^{\dagger} & + & \left(\begin{array}{ccc} a & & \\ & 0 & \\ & & 0 \end{array} \right) \right\}$$

vac. mass eigenstate basis

$$\frac{1}{2E} \left\{ \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} + \begin{matrix} U^{\dagger} \begin{pmatrix} a & & \\ & 0 & \\ & & 0 \end{pmatrix} \begin{matrix} U \\ & & 0 \end{pmatrix} \end{matrix} \right\}$$

$$a \equiv 2\sqrt{2}G_F N_e E$$

$$U = U_{23}U_{13}U_{12}$$

arbitrary "a"





2 flavor mixing in matter $ax^2 + bx + c = 0$

simple, intuitive, useful

3 flavor mixing in matter $ax^3 + bx^2 + cx + d = 0$

complicated, counter intuitive, ...



• Solve Cubic Characteristic Eqn.

$$\lambda^{3} - \left(a + \Delta m_{21}^{2} + \Delta m_{31}^{2}\right)\lambda^{2} + \left[\Delta m_{21}^{2}\Delta m_{31}^{2} + a\left\{(c_{12}^{2} + s_{12}^{2}s_{13}^{2})\Delta m_{21}^{2} + c_{13}^{2}\Delta m_{31}^{2}\right\}\right]\lambda$$

$$F + \tilde{B} \sin \delta \pm \tilde{C}_{uu} + \tilde{\lambda}_{1}^{i} = \frac{\tilde{\Lambda}_{ij}L}{\delta_{1}^{i}} \cdot Z. \text{ Phys. C 1988} - c_{12}^{2}c_{13}^{2}a\Delta m_{21}^{2}\Delta m_{31}^{2} = 0$$

$$s_{2}^{i} + \tilde{B} \sin \delta \pm \tilde{C}_{uu} + \tilde{\lambda}_{1}^{i} = \frac{1}{3}s - \frac{1}{3}\sqrt{s^{2} - 3t}[u + \sqrt{3(1 - u^{2})}], + \tilde{\lambda}_{1} = \frac{1}{3}s - \frac{1}{3}\sqrt{s^{2} - 3t}[u + \sqrt{3(1 - u^{2})}], + \tilde{\lambda}_{2} = \frac{1}{3}s - \frac{1}{3}\sqrt{s^{2} - 3t}[u - \sqrt{3(1 - u^{2})}], + \tilde{\lambda}_{3} = \frac{1}{3}s + \frac{2}{3}u\sqrt{s^{2} - 3t}, + \tilde{\lambda}_{3} = \frac{1}{3}s + \frac{1}{3}u\sqrt{s^{2} - 3t}, + \tilde{\lambda}_{3} = \frac{1}{3}s + \frac{1}{3}u\sqrt{s^{2} - 3$$

 $\Delta_{ij} a_{12} \delta_{2}^{2} h$ êre $\Delta_{ij} \equiv \Delta m_{ij}^{2}$

both analytic & numerical are black boxes

mixing





Hamiltonian:

H. Minakata + SP arXiv:1505.01826 P. Denton + H. Minakata + SP arXiv:1604.08167

$$H = \frac{1}{2E} \left\{ U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{bmatrix} U^{\dagger} + \begin{bmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \right\}$$

Rewrite as $H = H_0 + H_1$ solvable perturbation

where H_0 is diagonal and H_1 is off-diagonal.

small #'s
$$\epsilon \equiv \frac{\Delta m_{21}^2}{\Delta m_{ee}^2} \sim 0.03$$
 $\sin^2 \theta_{13} \sim 0.02$



Neutrino Evolution in Matter:



 $U_{23}^{\dagger}(\theta_{23}, \delta) H U_{23}(\theta_{23}, \delta) = H_D + H_{OD} \qquad \text{D=diagonal OD= off-diagonal}$ $(2E) H_D = \begin{bmatrix} a + s_{13}^2 \Delta m_{ee}^2 & \\ (c_{12}^2 - s_{12}^2) \Delta m_{21}^2 & \\ c_{13}^2 \Delta m_{ee}^2 \end{bmatrix} \qquad \underbrace{3 \xrightarrow{4}}_{2} \xrightarrow{4}_{2} \xrightarrow{4}_{2}$

naturally appears: $\Delta m^2_{ee} \equiv c^2_{12} \Delta m^2_{31} + s^2_{12} \Delta m^2_{32}$

!!! level crossing !!!

$$(2E) H_{OD} / \Delta m_{ee}^{2} = s_{13}c_{13} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$0.15 + c_{13} s_{12}c_{12} \left(\frac{\Delta m_{21}^{2}}{\Delta m_{ee}^{2}}\right) \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$$

$$0.015 - s_{13} s_{12}c_{12} \left(\frac{\Delta m_{21}^{2}}{\Delta m_{ee}^{2}}\right) \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

$$0.002$$

The Method:



- Rotation in 1-3 sector to diagonalize that sector: $\hat{\theta}_{13}$ - removes 1-3 level crossing
- Rotation in 1-2 sector to diagonalize that sector: $\hat{\theta}_{12}$ - removes 1-2 level crossing
- Perform Perturbation expansion in H_{OD} after these two rotations:





The Method (conti):



$$\frac{(2E) H_{OD}}{\Delta m_{ee}^2} = \frac{\sin(\widehat{\theta}_{13} - \theta_{13}) s_{12}c_{12}}{\Delta m_{ee}^2} \begin{pmatrix} \Delta m_{21}^2 \\ \Delta m_{ee}^2 \end{pmatrix} \begin{pmatrix} -\sin\widehat{\theta}_{12} \\ \cos\widehat{\theta}_{12} \\ -\sin\widehat{\theta}_{12} & \cos\widehat{\theta}_{12} \end{pmatrix}$$

- Vanishes in vacuum, as $\hat{\theta}_{13} = \theta_{13}$ (same order important)
- Is small, ≤ 0.015

(arXiv:1907.02534)

• And diagonal (by construction) plus one other element is zero : \implies Implies ALL odd perturbative corrections to eigenvalues are zero !!! Therefore for eigenvalues expansion parameter is $\leq 2 \times 10^{-4}$

Corrections to Eigenvalues:



Denton, SP, Xining Zhang: arXiv:1907.02534





Mixing Angles (eigenvectors) from Eigenvalues:

$$\begin{pmatrix} \lambda_{\sigma} \\ \lambda_{\rho} \end{pmatrix} = U(\phi)^{\dagger} \begin{pmatrix} \lambda_{a} \\ \lambda_{x} \end{pmatrix} U(\phi)$$
$$U(\phi) \equiv \begin{pmatrix} c_{\phi} & s_{\phi} \\ -s_{\phi} & c_{\phi} \end{pmatrix} .$$
$$^{2} = \cos^{2} \phi = \frac{\lambda_{\sigma} + \lambda_{c}}{\lambda_{\sigma} - \lambda_{\rho}} \qquad |U_{12}|^{2} = \sin^{2} \phi = \frac{\lambda_{\sigma} - \lambda_{a}}{\lambda_{\sigma} - \lambda_{\rho}}$$

arXiv:1604.08167:Appendix A

Can this be generalized to 3x3 ?

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 $|U_{11}|$



Generalization to 3x3:

$$|\widehat{U}_{\alpha i}|^2 = \frac{(\lambda_i - \xi_\alpha)(\lambda_i - \chi_\alpha)}{(\lambda_i - \lambda_j)(\lambda_i - \lambda_k)}$$

- λ_i are eigenvalues of original matrix, 3x3
- ξ_{α} and χ_{α} are eigenvalues of original matrix with α row & α column removed, 2x2

Can this be generalized to nxn ?

Denton, SP, Xining Zhang: arXiv: 1907.02534

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Generalization to nxn:

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- Let H be an nxn Hermitian matrix with eigenvalues $\lambda_i(H)$ and eigenvectors v_i
- Let h_j be the (n-1)x(n-1) Hermitian matrix from H with j-th row and j-th column deleted with eigenvalues $\lambda_i(h_j)$

$$|v_{i,j}|^2 = \frac{\prod_{k=1}^{n-1} \left(\lambda_i(H) - \lambda_k(h_j)\right)}{\prod_{k=1, k \neq i}^n \left(\lambda_i(H) - \lambda_k(H)\right)}$$

- Phase information is a more complicated expression.
- Numerator is characteristic function for h_j evaluated at $\lambda_i(H)$
- Normalized $\sum_i |v_{i,j}|^2 = 1 = \sum_j |v_{i,j}|^2$

Denton, SP, Terrence Tao, Xining Zhang: arXiv:1908.03759 [math.RA]



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Oscillation Probabilities:





Adding Sterile Neutrinos: 3+1



SP, Xining Zhang: arXiv:1905.01356

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Intrinsic CPViolation:





Jarlskog Invariant in Matter:

 $J \equiv s_{23}c_{23}s_{13}c_{13}^2s_{12}c_{12}\sin\delta$



 $u_{\mu}
ightarrow
u_{e}$ CPV: $\Delta_{jk} \equiv \frac{\Delta m_{jk}^2 L}{\Lambda E}$

in Vacuum:

 $8J\sin\Delta_{31}\sin\Delta_{32}\sin\Delta_{21}$

in Matter:

 $8\widehat{J}\sin\widehat{\Delta}_{31}\sin\widehat{\Delta}_{32}\sin\widehat{\Delta}_{21}$





 $S\space{-1mu}\sp$

$$S_{\odot}(a) = \sqrt{1 - 2\cos 2\theta_{12} \left(\frac{c_{13}^2 a}{\Delta m_{21}^2}\right) + \left(\frac{c_{13}^2 a}{\Delta m_{21}^2}\right)^2} = \left|1 - \left(\frac{c_{13}^2 a}{\Delta m_{21}^2}\right) e^{i2\theta_{12}}\right|$$

 c_{13}^2 correction to matter potential is important

$$S_{atm}(a) = \sqrt{1 - 2\cos 2\theta_{13} \left(\frac{a}{\Delta m_{ee}^2}\right) + \left(\frac{a}{\Delta m_{ee}^2}\right)^2} = \left| 1 - \left(\frac{a}{\Delta m_{ee}^2}\right) e^{i2\theta_{13}} \right|$$

 Δm^2_{ee} not $\Delta m^2_{31/32}$ for position of the resonance is important

Denton + SP arXiv: 1902.07185

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Fractional error for this approximation is 0.04%:

 $s_{13}^2(\Delta m_{21}^2/\Delta m_{ee}^2)$ and $(\Delta m_{21}^2/\Delta m_{ee}^2)^2$



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In Terms of Angles

$$J \equiv s_{23}c_{23}s_{13}c_{13}^2s_{12}c_{12}\sin\delta$$

$$\sin 2\hat{\theta}_{23}\sin\delta = \sin 2\theta_{23}\sin\delta.$$
To shev ID exact

$$s_{13}c_{13} \approx s_{13}c_{13}/S_{atm}$$
DMP2016: +0.4%

$$\widehat{c_{13}}s_{12}c_{12} \approx \widehat{c_{13}}s_{12}c_{12}/S_{\odot}$$
DP2019: -0.4%
Combined 0.04%

(cancellation)

‡

$$\begin{array}{ccc}
\nu_{e} \rightarrow \nu_{e} \\
P_{a}(\nu_{e} \rightarrow \nu_{e}) \approx 1 - \sin^{2} 2\theta_{13} \left(\frac{\Delta m_{ee}^{2}}{\Delta \widehat{m}_{ee}^{2}}\right)^{2} \sin^{2} \widehat{\Delta}_{ee}, & \widehat{\Delta}_{ee} \equiv \Delta \widehat{m}_{ee}^{2} L/(4E),
\end{array}$$

$$\widehat{\Delta m^2}_{ee} \approx \Delta m_{ee}^2 \sqrt{(\cos 2\theta_{13} - a/\Delta m_{ee}^2)^2 + \sin^2 2\theta_{13}},$$



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Δm^2_{ee} and Daya Bay



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$$P_{x}(\bar{\nu}_{e} \rightarrow \bar{\nu}_{e}) = \begin{array}{c} 1 - \cos^{4}\theta_{13}\sin^{2}2\theta_{12}\sin^{2}\Delta_{21} \\ -\sin^{2}2\theta_{13}(\cos^{2}\theta_{12}\sin^{2}\Delta_{31} + \sin^{2}\theta_{12}\sin^{2}\Delta_{32}) \end{array}$$

$$\Delta m_{31}^{2} = \Delta m_{ee}^{2} + s_{12}^{2}\Delta m_{21}^{2} \quad \text{and} \quad \Delta m_{32}^{2} = \Delta m_{ee}^{2} - c_{12}^{2}\Delta m_{21}^{2} \\ \text{where} \quad \Delta m_{ee}^{2} = c_{12}^{2}\Delta m_{31}^{2} + s_{12}^{2}\Delta m_{32}^{2} \\ DB: \Delta_{ee} \sim \pi/2 \text{ and } \Delta m_{ee}^{2} - c_{12}^{2}\Delta m_{31}^{2} + s_{12}^{2}\Delta m_{32}^{2} \\ DB: \Delta_{ee} \sim \pi/2 \text{ and } \Delta m_{ee}^{2} - c_{12}^{2}\Delta m_{31}^{2} + s_{12}^{2}\Delta m_{32}^{2} \\ -\sin^{2}2\theta_{13}\sin^{2}2\theta_{12}\sin^{2}\Delta_{21} \\ -\sin^{2}2\theta_{13}\sin^{2}|\Delta_{ee}| + \sin^{2}\theta_{12}\cos^{2}\theta_{12}\Delta_{21}^{2}\cos(2|\Delta_{ee}|) \end{array}$$

No linear term in Δ_{21}

Mass Ordering comes in at Δ^3_{21}

-3



•
$$P_{ee} \approx 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} \sin^2 \Delta_{ee}$$

where
$$\Delta m^2_{ee} = c^2_{12} \Delta m^2_{31} + s^2_{12} \Delta m^2_{32}$$

 $rac{\Delta P}{P} \sim 10^{-4}$ for Daya Bay and RENO

H. Nunokawa, S. J. Parke and R. Zukanovich Funchal,
"Another possible way to determine the neutrino mass hierarchy,"
Phys. Rev. D 72, 013009 (2005), hep-ph/0503283



 $\delta m_{ee}^2 |_{NPZ}$ $\delta m_{ee}^2 |_{DB} \equiv$

SP arXiv:1601.07464

Daya Bay I:



• $P_{ee} \approx 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} \sin^2 \Delta_{YY}$ fit with constant Δm_{YY}^2

$$\Delta m_{YY}^2 \equiv \left(\frac{4E}{L}\right) \arcsin\left[\sqrt{\left(\cos^2\theta_{12}\sin^2\Delta_{31} + \sin^2\theta_{12}\sin^2\Delta_{32}\right)}\right]$$

(or $\sin^2\Delta_{YY} \equiv \cos^2\theta_{12}\sin^2\Delta_{31} + \sin^2\theta_{12}\sin^2\Delta_{32}$)



arXiv:1310.6732 + 1505.03456v1

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$$\Delta m_{ee}^2 (\text{DB2}) \equiv \Delta m_{32}^2 + \frac{2E}{L} \arctan\left(\frac{\sin 2\Delta_{21}}{\cos 2\Delta_{21} + \tan^2 \theta_{12}}\right)$$

Still L/E dependent:

OK for Daya Bay but not useful JUNO

$$\Delta m_{ee}^{2}(\text{DB2}) = \Delta m_{ee}^{2}(\text{NPZ}) + \Delta m_{21}^{2} (\cos 2\theta_{12} \sin^{2} 2\theta_{12}/6) \Delta_{21}^{2} + \Delta m_{21}^{2} (\cos^{2} \theta_{12} \sin^{2} \theta_{12}/6) \Delta_{21}^{2} + \Delta m_{21}^{2} (\cos^{2} \theta_{12$$







if
$$\Delta m_{ee}^2 \equiv c_{12}^2 \Delta m_{31}^2 + s_{12}^2 \Delta m_{32}^2$$
 then

$$\frac{1}{2}(|\Delta m_{32}^2|_{IO} + |\Delta m_{32}^2|_{NO}) = \Delta m_{ee}^2$$

 $(DB) 2.523 \pm 0.069 = 2.522 \pm 0.069 (DB)$, in units of 10^{-3} eV^2

$$|\Delta m_{32}^2|_{IO} - |\Delta m_{32}^2|_{NO} = 2\cos^2\theta_{12} \Delta m_{21}^2$$

 $(DB) 0.104 \pm 0.097 = 0.104 \pm 0.004 \ (PDG'18),$ in units of 10^{-3} eV^2 ~93% ~4%

Not independent !



Δm^2_{21} and Daya Bay/RENO

Dependence on Solar Parameters: (monte carlo) $oldsymbol{v}$ S.H. Seo and SP arXiv: 1808.09150 P_{12} $1 \times$ 1.00 **3**× 0.95 0.90 KamLAND value 0.2 0.6 0.8 1.0 0.4 L/E (km/MeV) If Δm_{21}^2 is 3 times bigger, P_{12} is 9 times larger !

dependence is on $\sin 2\theta_{12}\Delta m^2_{21}$

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Daya Bay 1958 days

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0.15

3.2 3.4

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Simultaneous Fit: $\sin^2 \theta_{13}$, Δm^2_{ee} and Δm^2_{21}





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Summary:



- from Nu1998 to now, tremendous progress on nuSM
- Wolfenstein matter effects play an extremely important role in Neutrino Flavor Transformation Physics
- 3 flavor mixing in Matter (and vacuum) needs better understanding as we enter the precision era
- to discover New Physics we need to understand and stress test the nuSM with superb precision