

Novel tools for measuring jets in heavy-ion collisions

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JETSCAPE 2020, Knoxville, TN (Remote)

Wednesday, March 18th, 2020



Wright
Laboratory

Yale

Measuring jets in HI collisions

- Many challenges for measuring jets in heavy-ion collisions:
 - ➔ Large underlying background in heavy-ion collisions that is very difficult to remove
 - ▶ Restricts measurements at low jet p_T where we are still interested in the physics
 - ▶ Prohibits unfolding due to large back contribution in the response which makes it difficult to compare directly to theory and constrain jet quenching models
 - ➔ Difficult to find variables that are sensitive to the physics we are interested in i.e. using jets to probe the QGP
 - ▶ Some variables don't seem to be sensitive to these effects (i.e. jet mass)
 - ▶ Others show interesting effects (i.e. R_{AA}) but we need more information to further constrain models

Measuring jets in HI collisions

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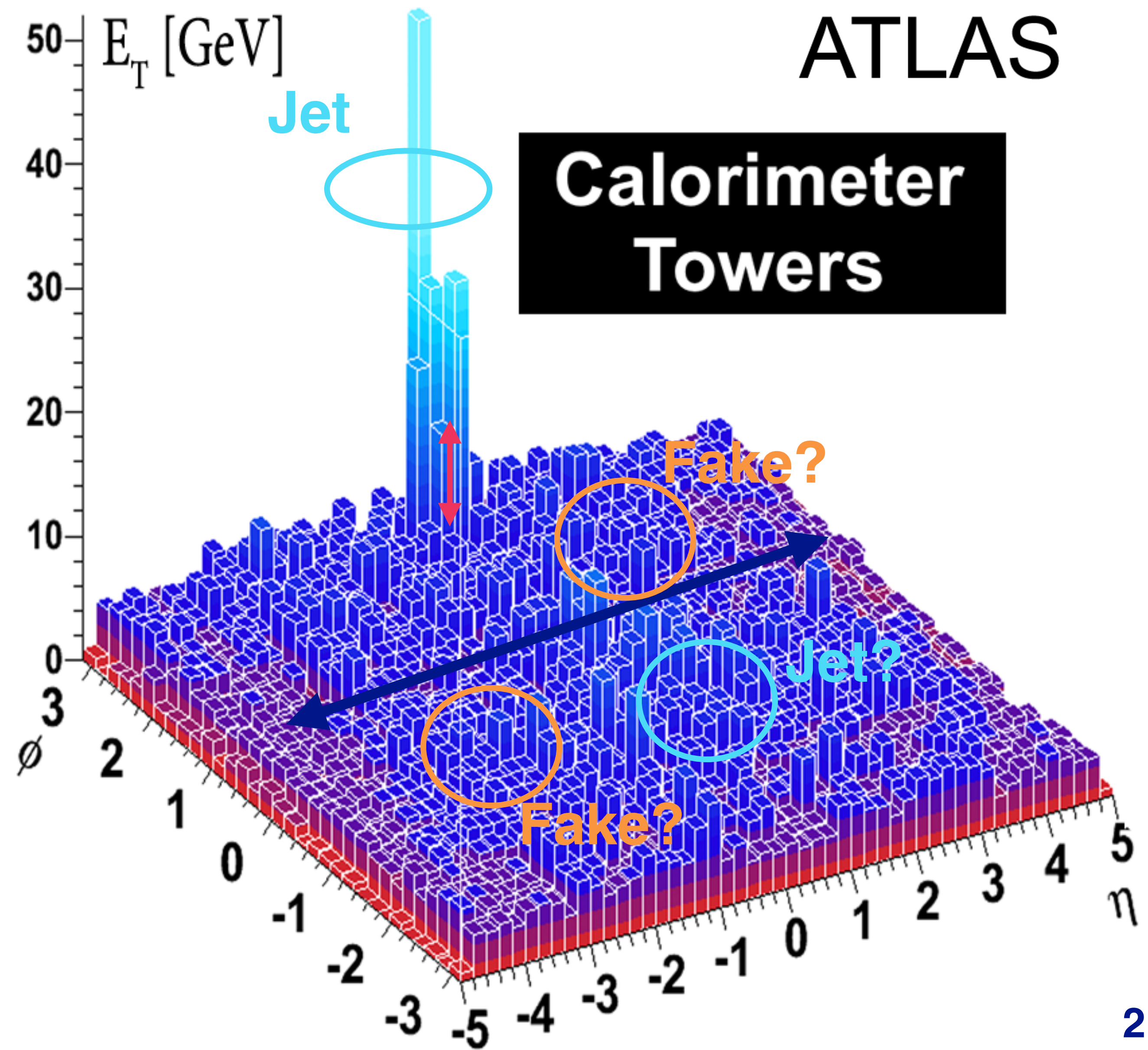
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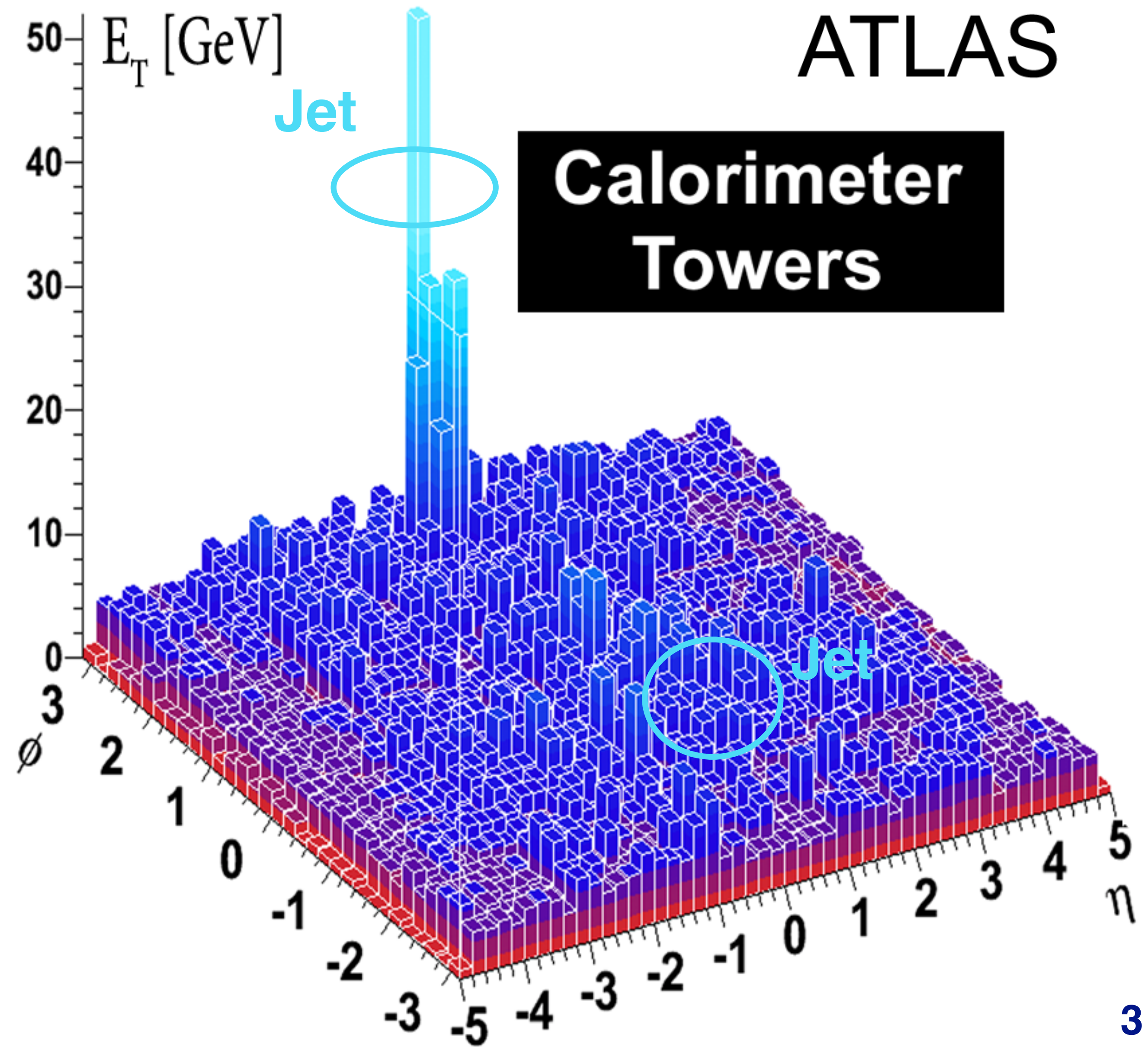
Heavy-ion background

- Large uncorrelated background due to the underlying event (UE) that **contributes energy inside the jet cone**
 - ▶ Fluctuating greatly with η and Φ and event-by-event
 - ▶ Can be of the order of the jet itself
- Have to effectively **remove the energy from inside the jet** and also be careful with **fake jets due to upward fluctuations**



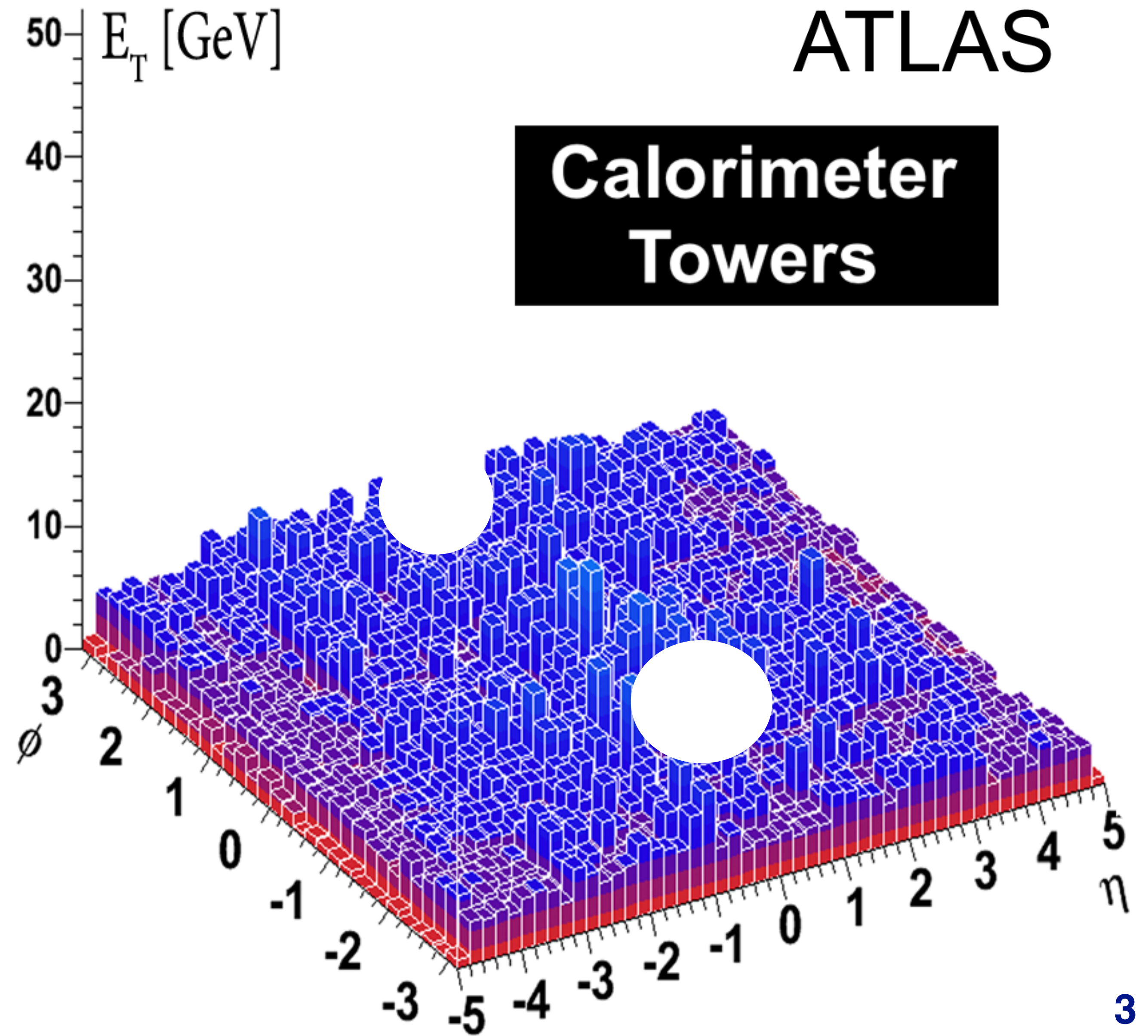
Background subtraction

1. Find two highest jets



Background subtraction

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2. Remove jets



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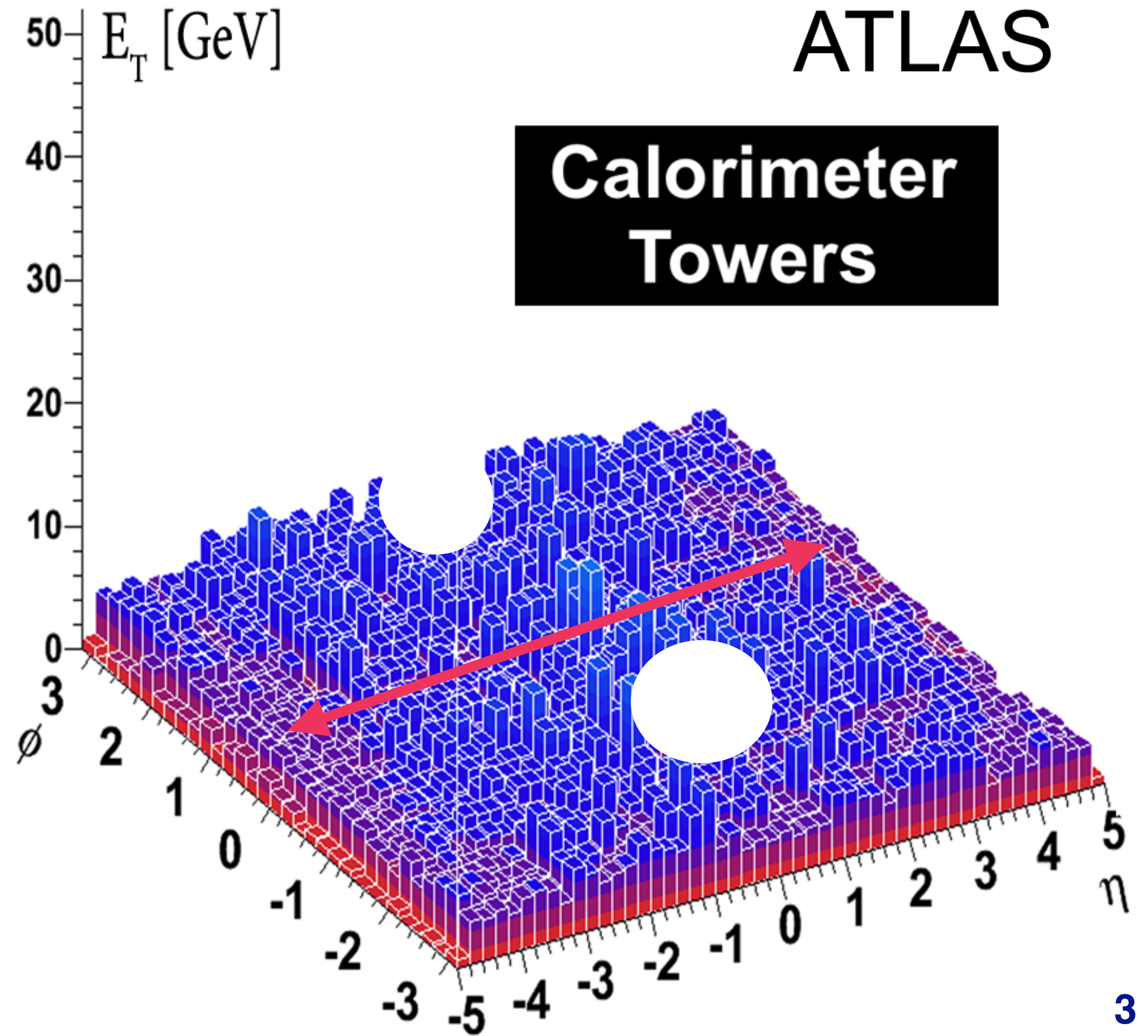
2. Remove jets

3. Estimate energy density

$$\rho = \text{med}\left(\frac{p_{\text{T}}^i}{A_i}\right)$$



ALICE



Background subtraction

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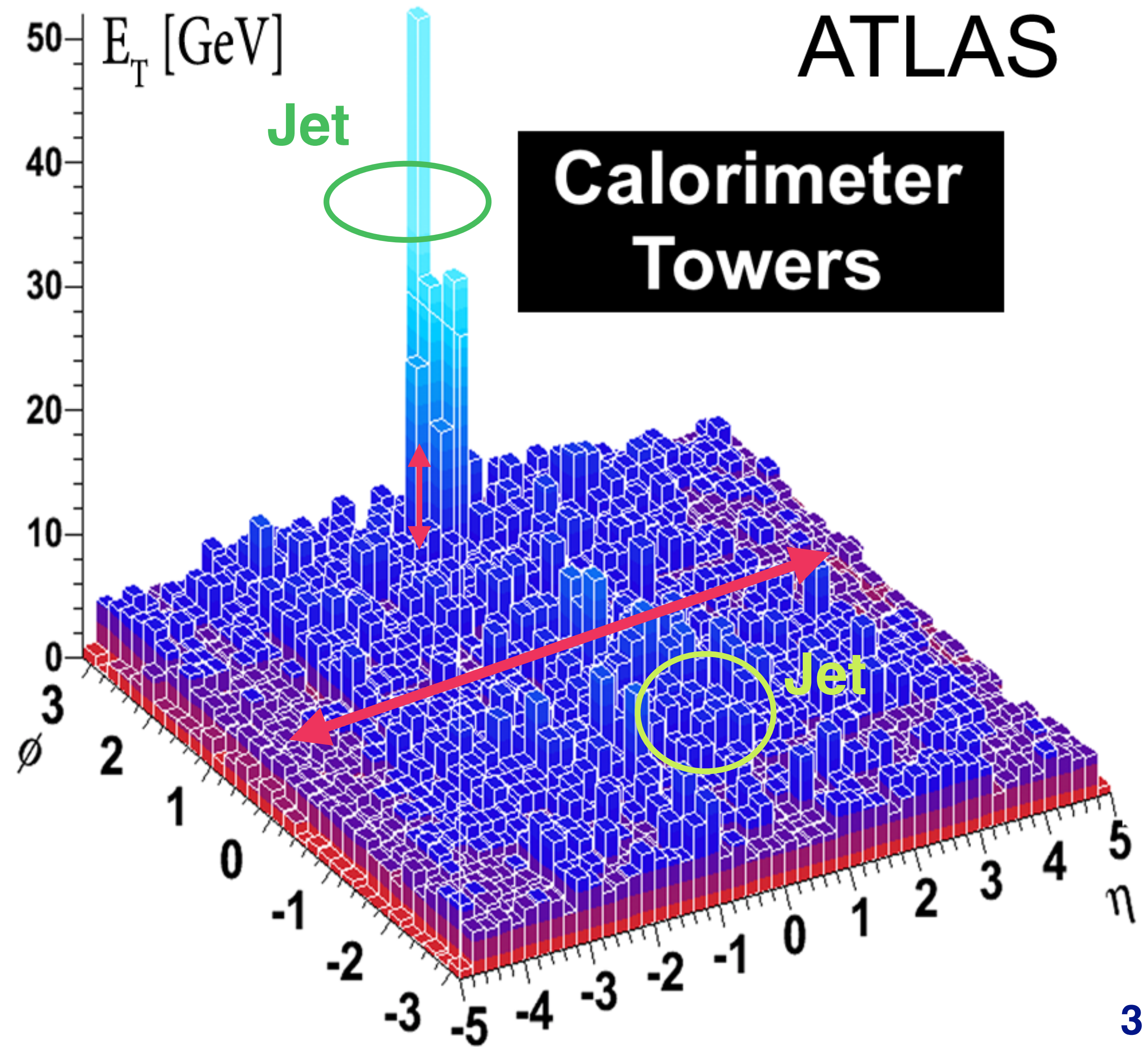
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4. Refind jets and subtract background

$$p_T^{\text{corr}} = p_T - \rho A$$



Background subtraction

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2. Remove jets

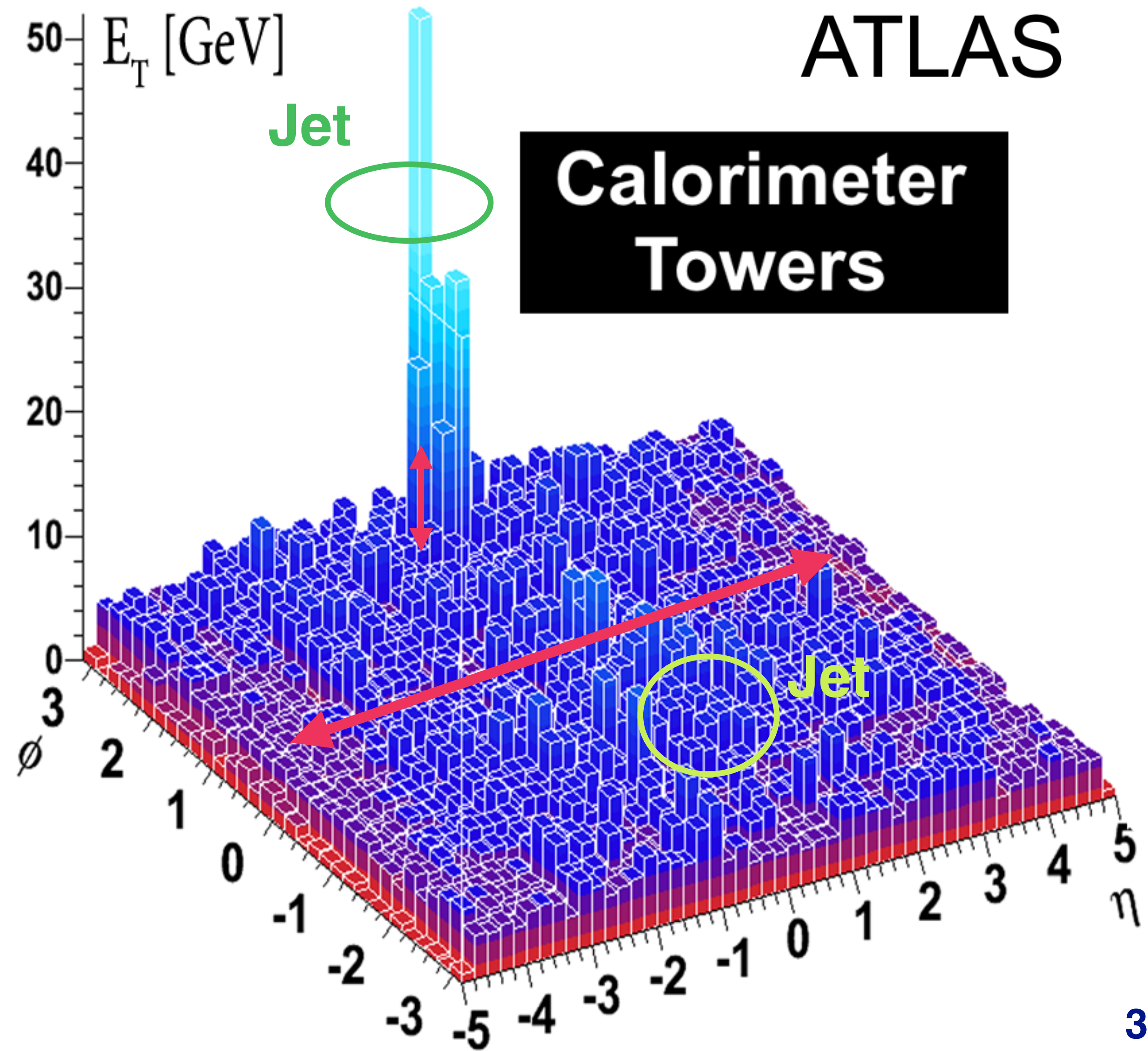
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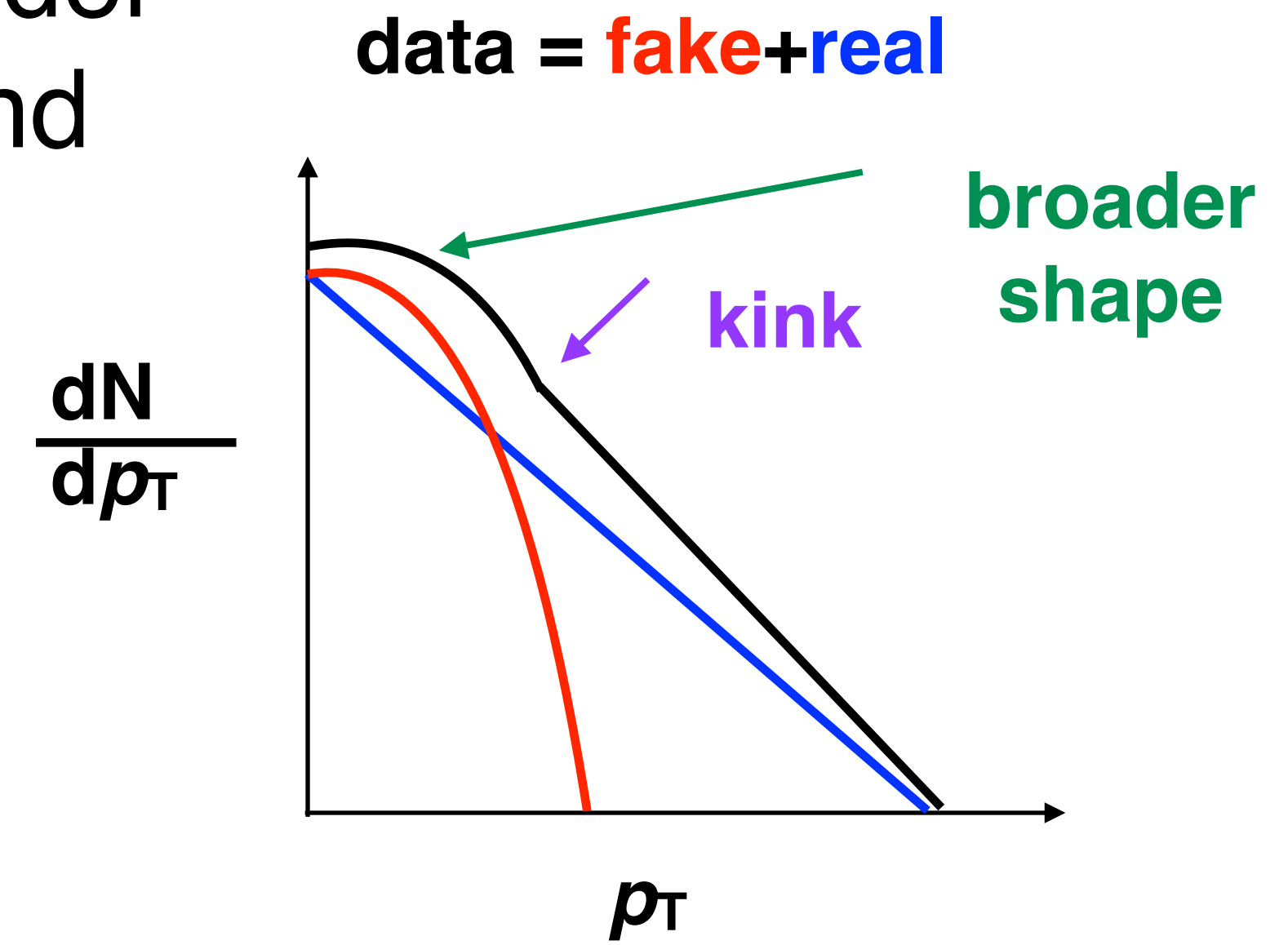
$$p_{\text{T}}^{\text{corr}} = p_{\text{T}} - \rho A$$

Leading track bias: only include jets with a hard core



Jet measurement limitations

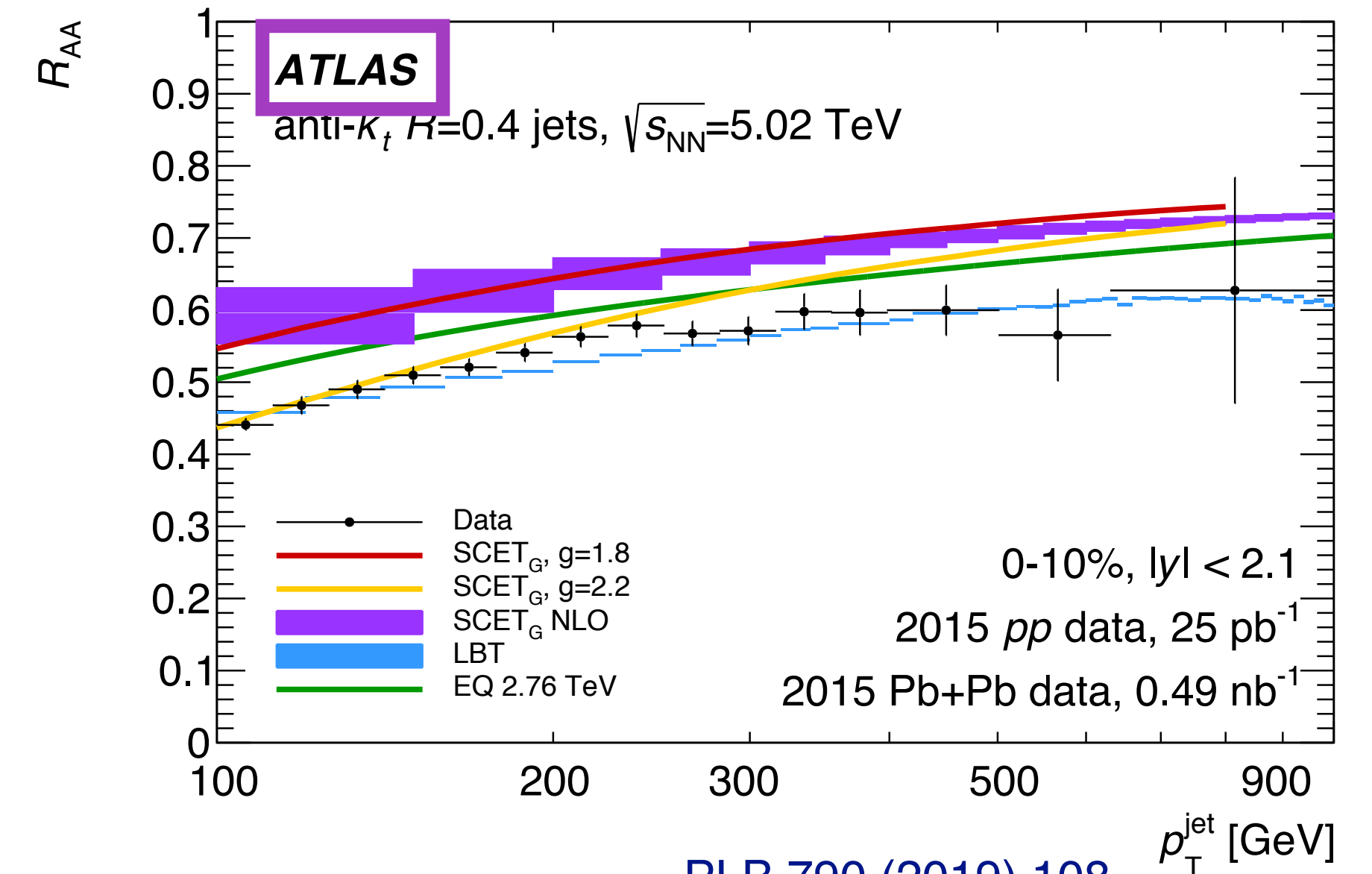
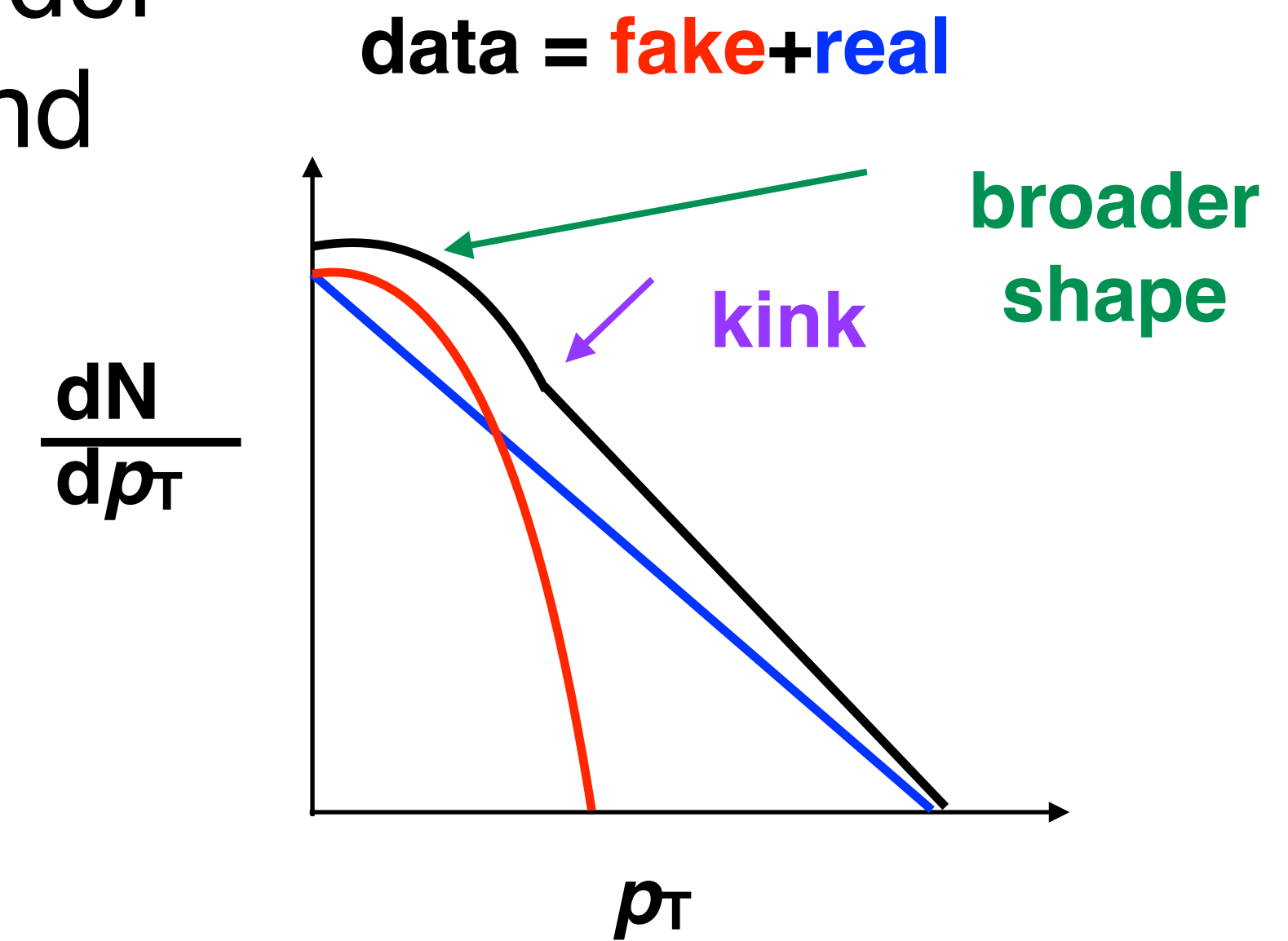
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ATLAS /CMS
measure jets
down 100 GeV
and up to 1 TeV

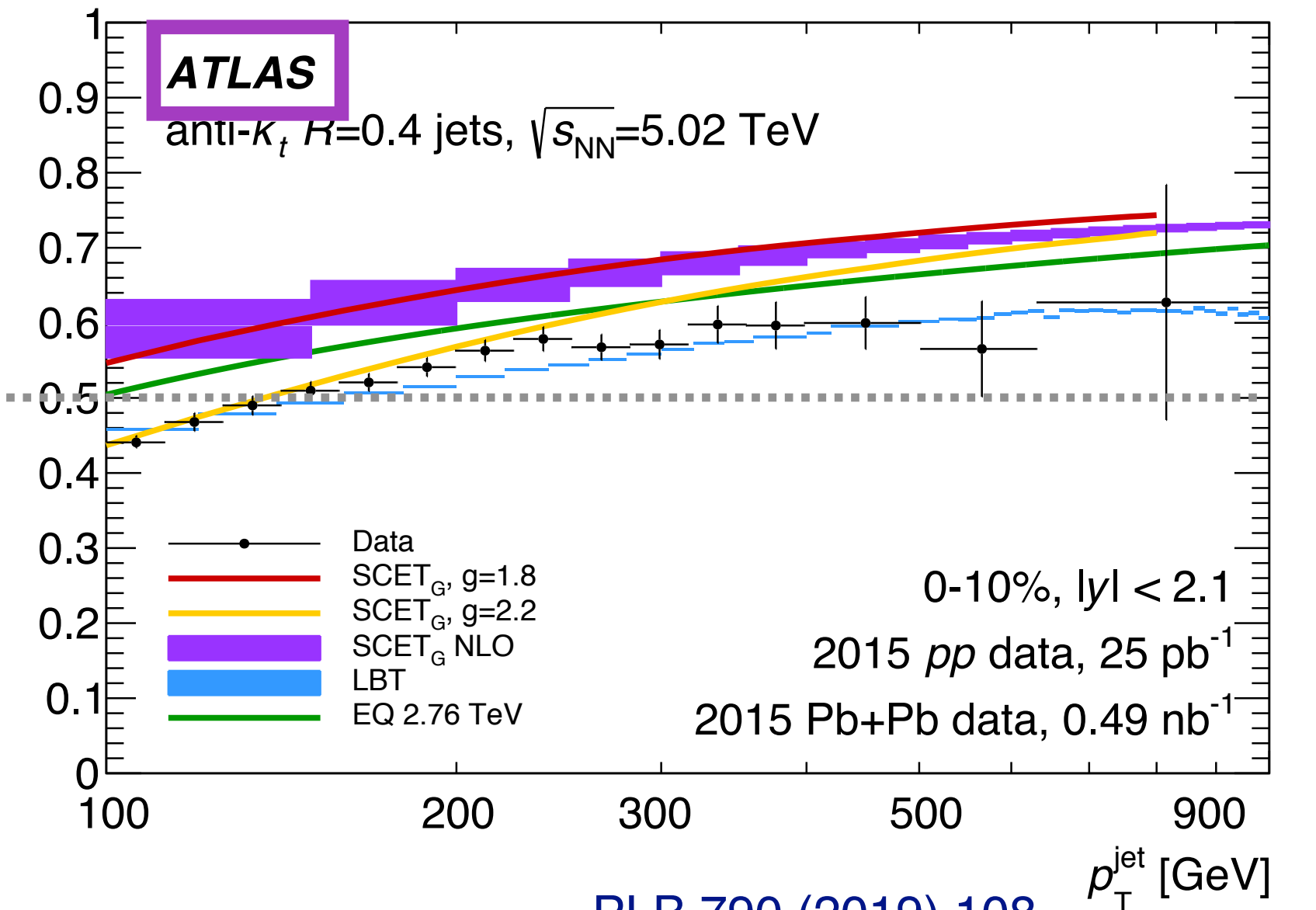
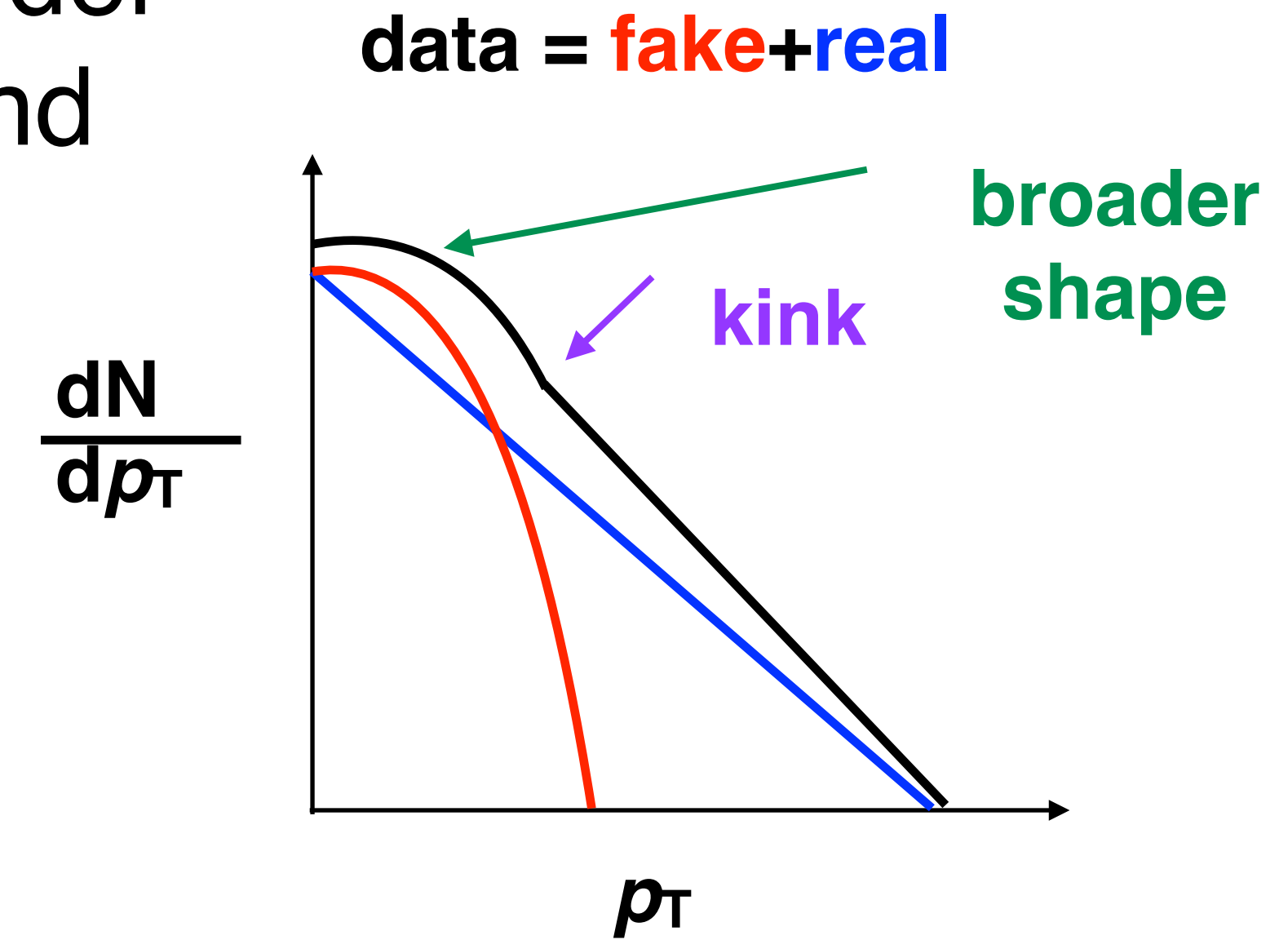
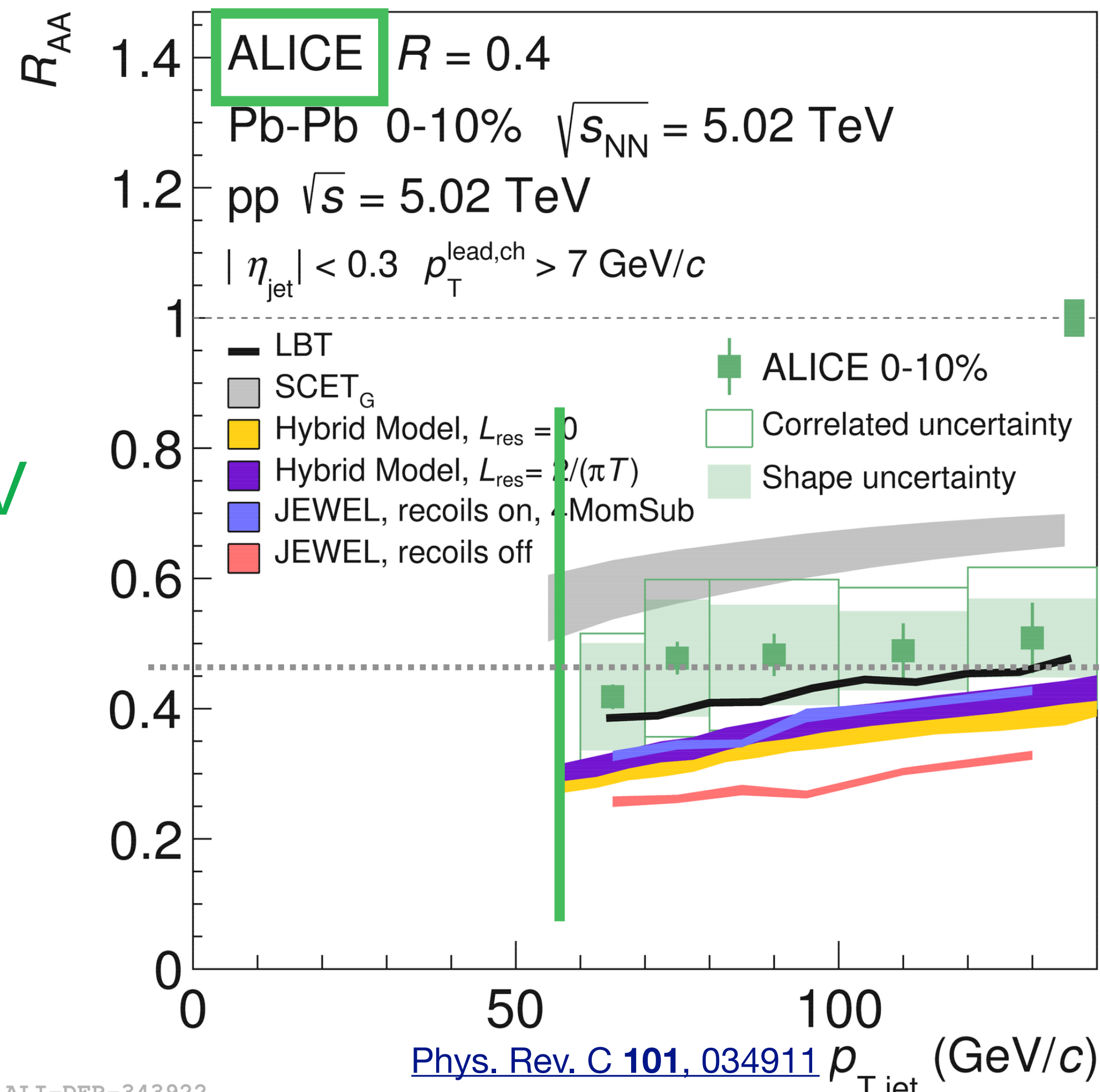


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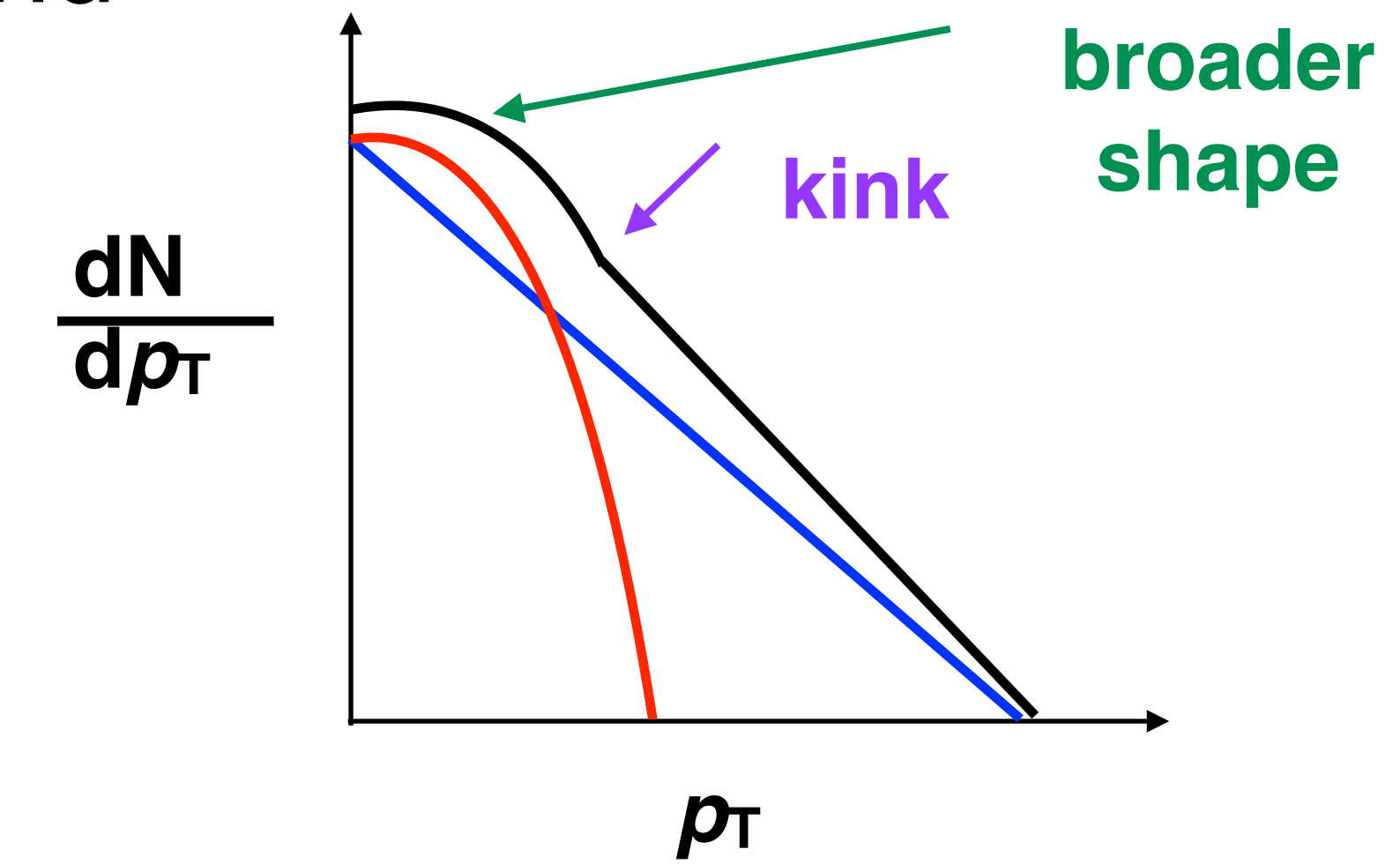
ALICE measures
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Jet measurement limitations

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data = fake+real

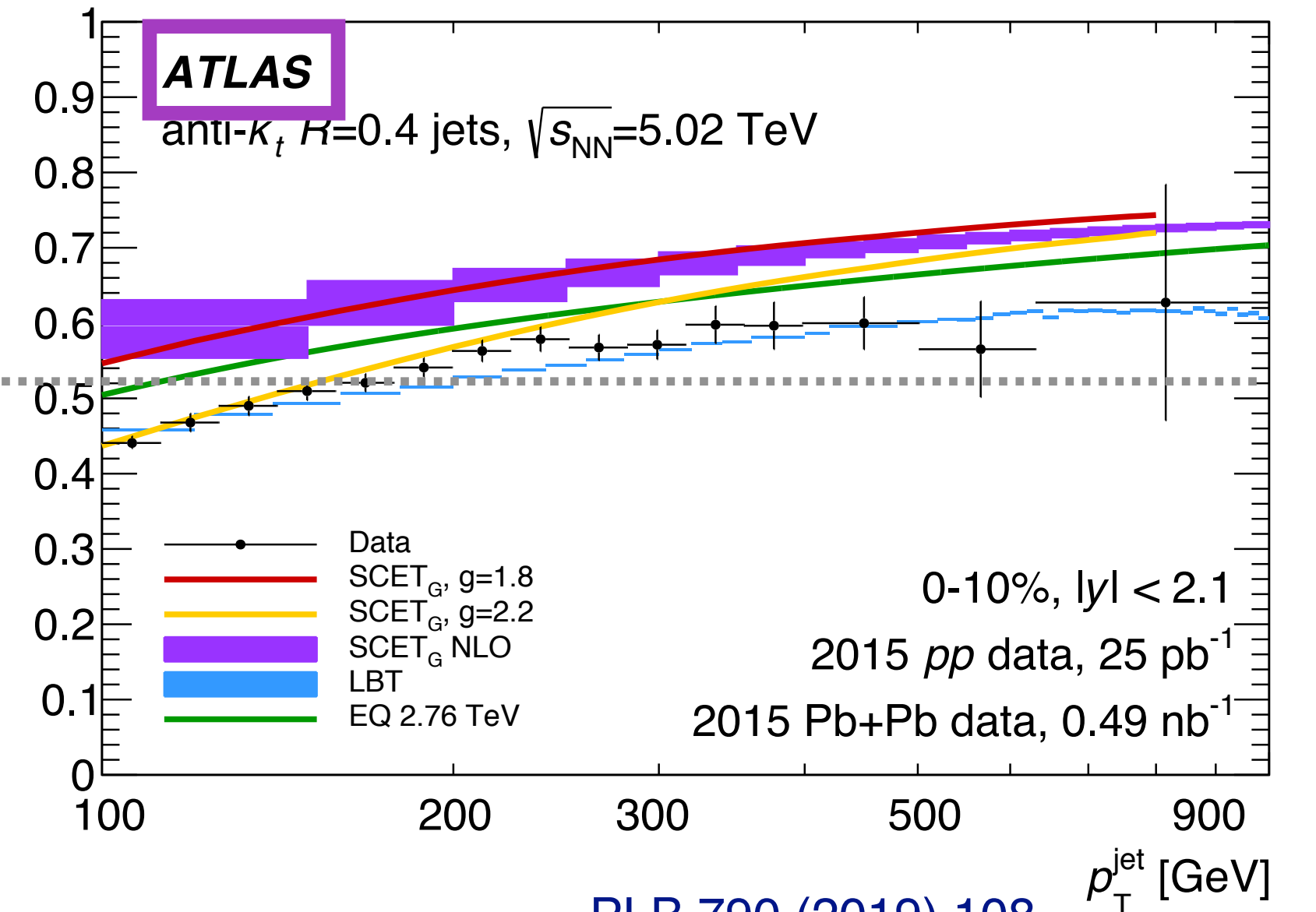
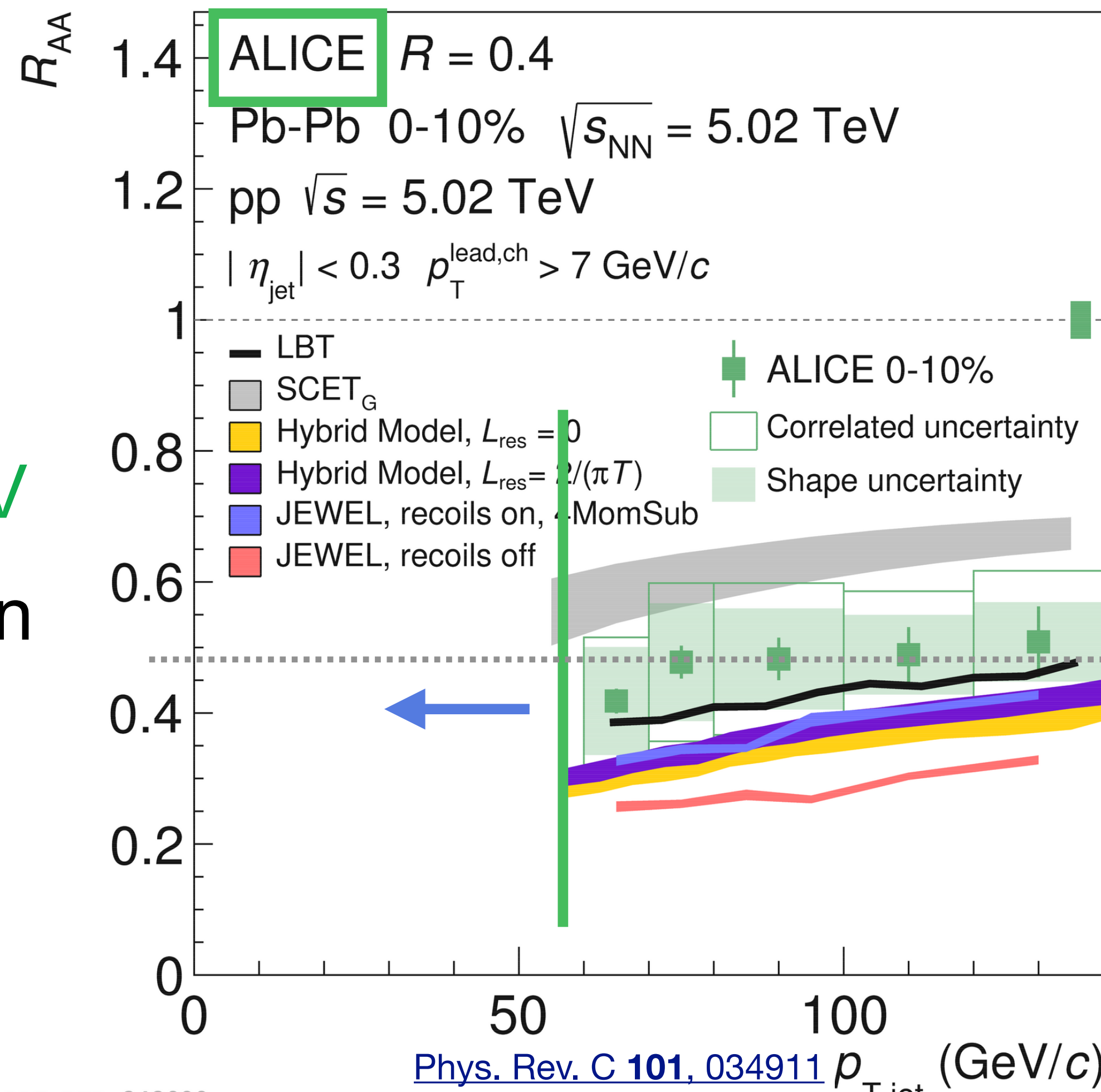


ATLAS /CMS measure jets down 100 GeV and up to 1 TeV

ALICE measures jets down to 60 GeV

Need more precision and lower p_T to constrain models

ML approach may help!



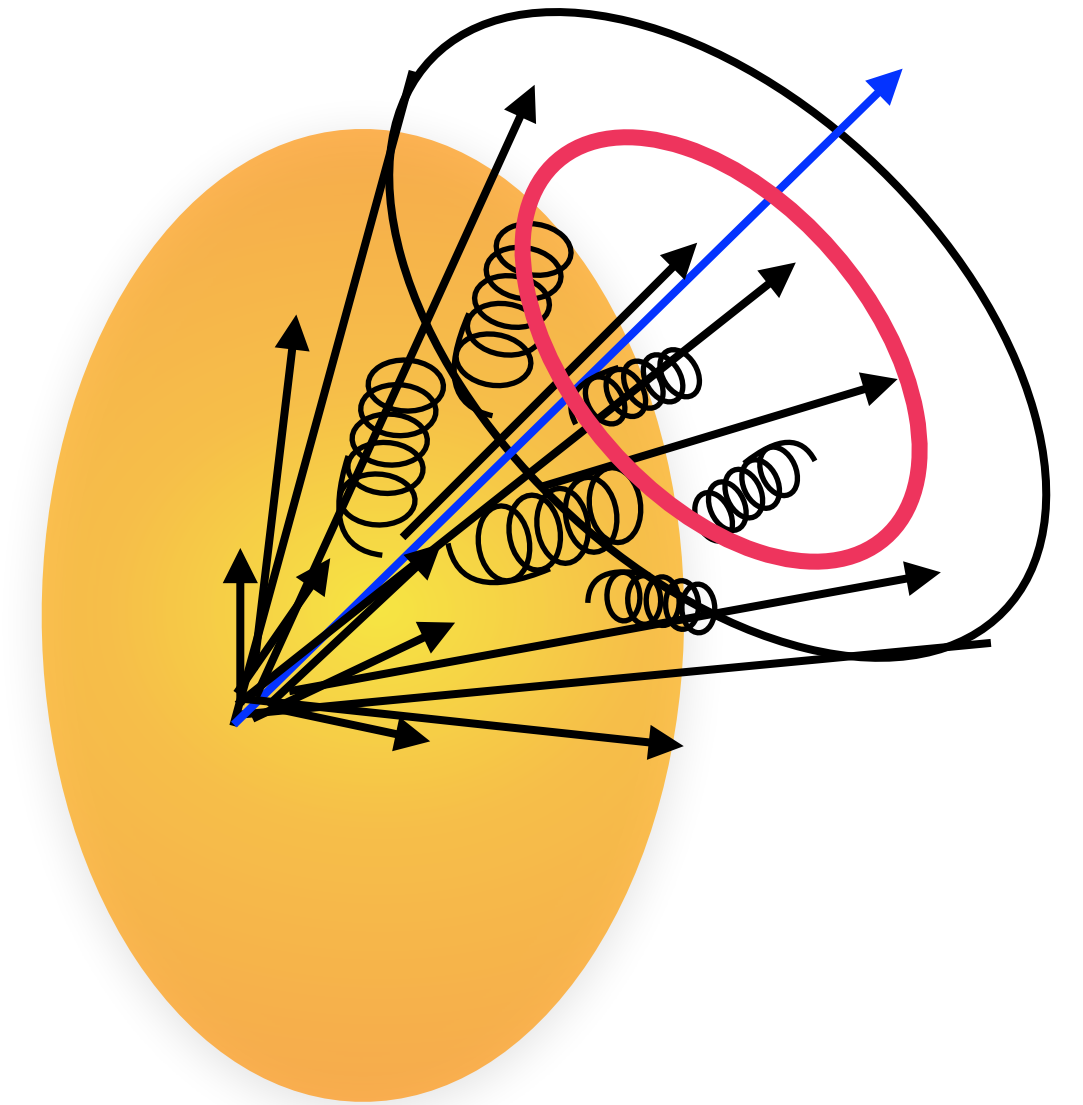
PLB 790 (2019) 108

Large R/low p_T motivation

- Larger radii

➔ Possible recovery of the jet energy because of out-of-cone radiation

➔ Possible different in modification for larger jets



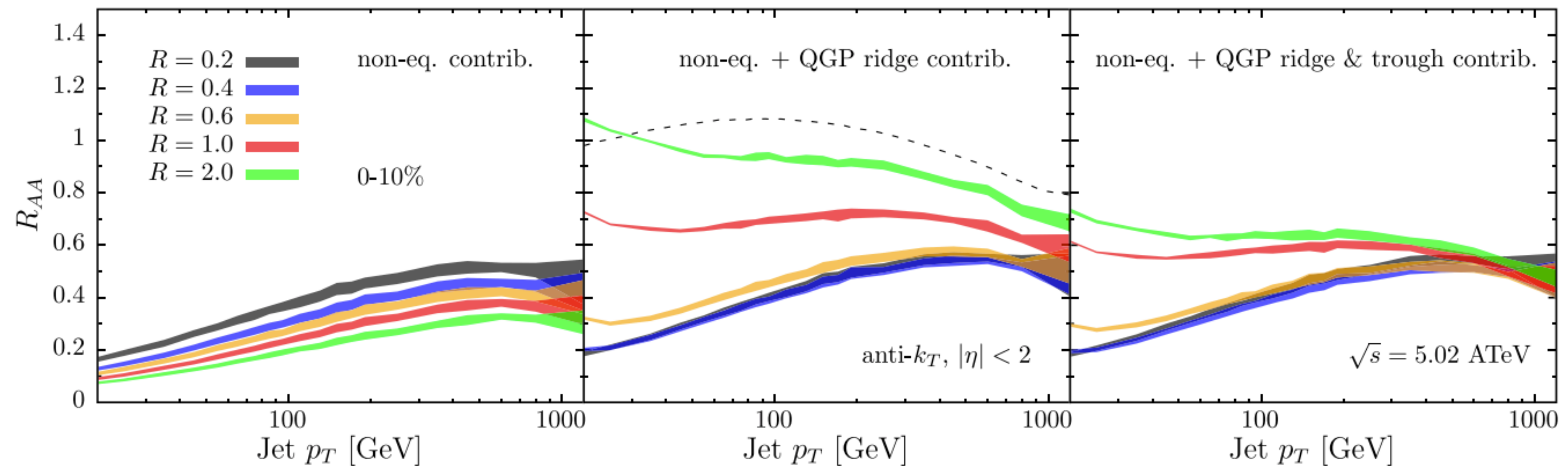
- Lower jet p_T

➔ Probes different scale and modification expected to be different

➔ Connection to RHIC

➔ Different quark/gluon fractions

➔ Difference between jet radii could be larger at lower p_T

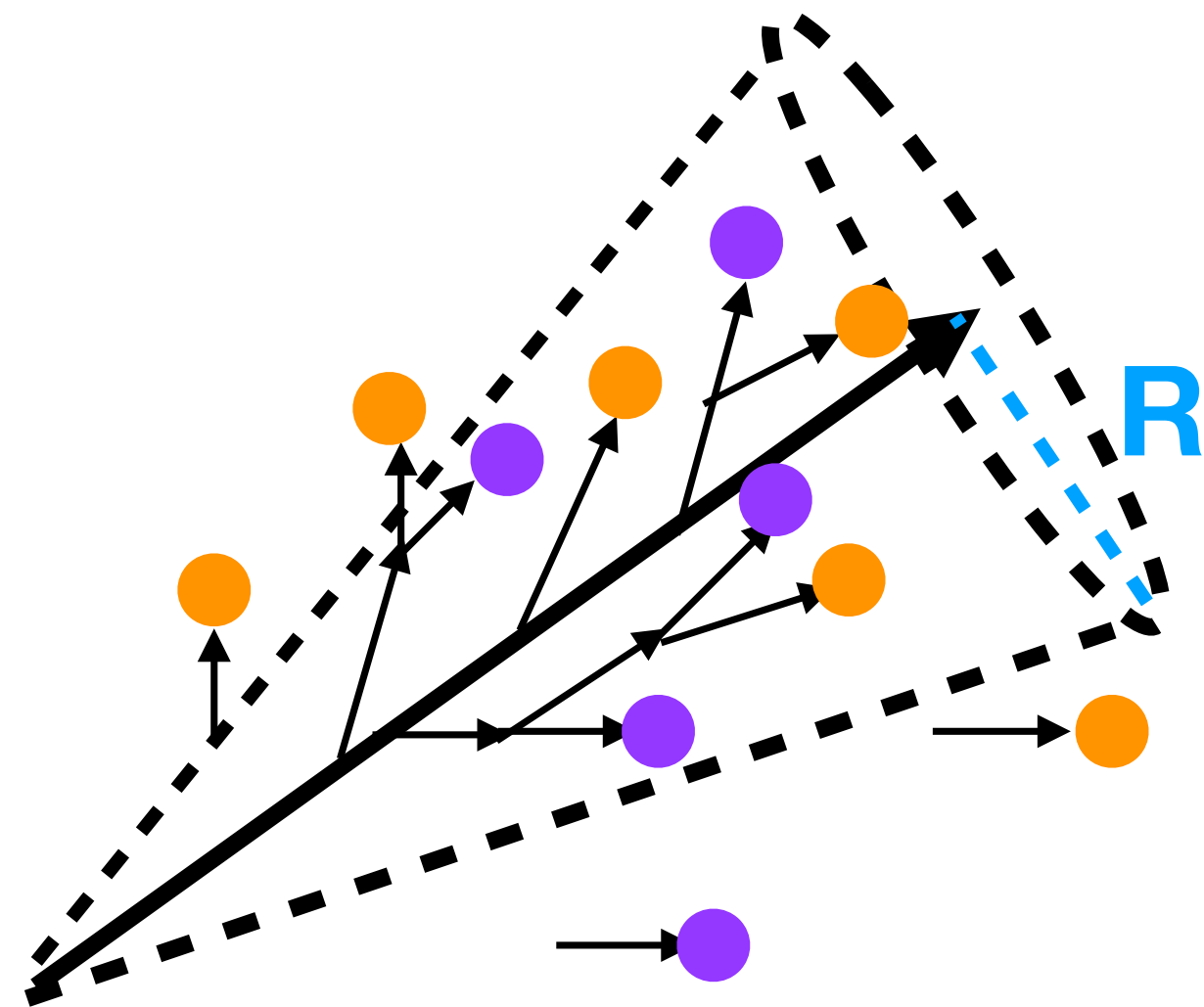


Hybrid model

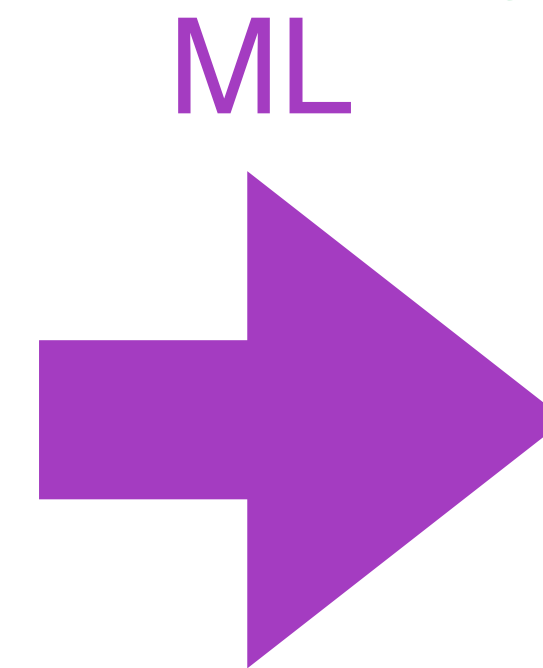
[Phys.Rev.Lett. 124 \(2020\)](#)

Machine learning approach

- Standard ALICE procedure misses residual fluctuations
 - ➔ Oversimplified estimate of rho using entire event [Phys. Rev. C 99, 064904 \(2019\)](#)
 - ➔ Fakes taken as signal
- ML techniques used to learn a data-driven mapping to correct the jet p_T by exploiting the difference between the signal jets and the background jet-by-jet
 - ➔ Reduce residual fluctuations to better determine the jet p_T



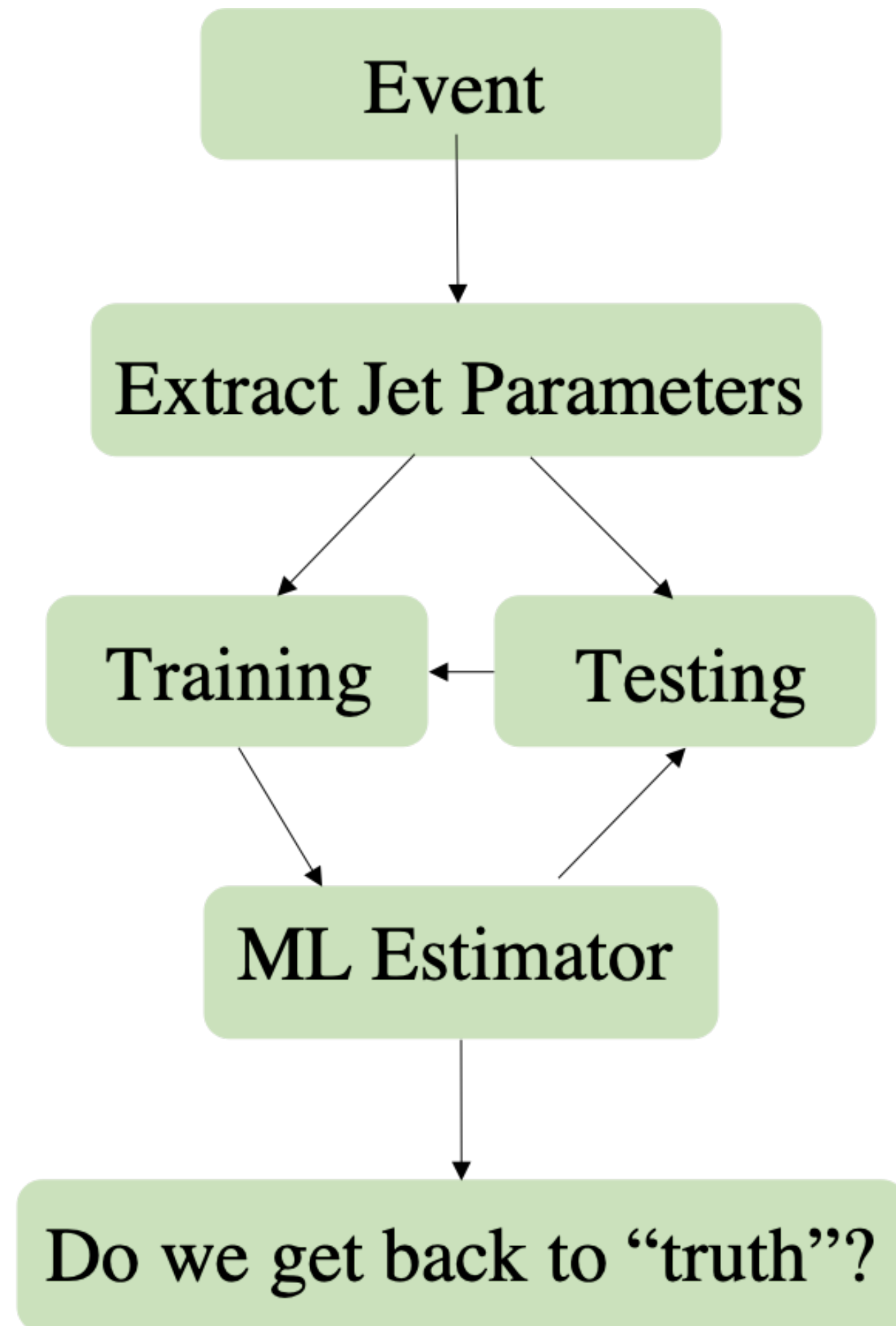
Jet properties including standard corrected p_T and jet constituents



Correct jet p_T

- Can be applied to charged or full jets (which contain **charged tracks** and **neutral clusters**, measured in the **TPC** and **EMCal**, respectively)

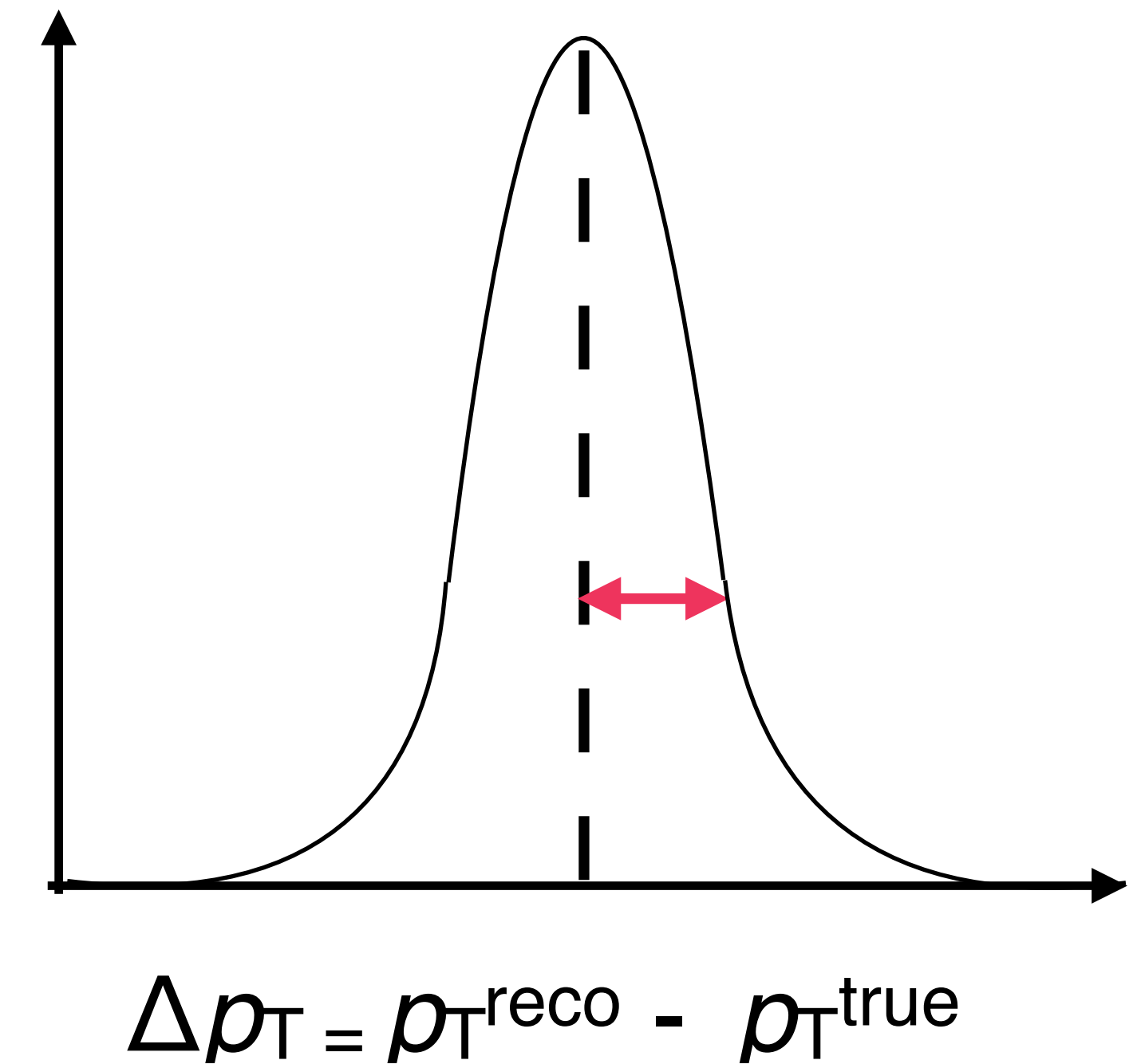
ML approach: method



- A realistic event is made by embedding pp PYTHIA events into real Pb-Pb data or into a toy model background
- Jet parameters extracted, including the area-based corrected jet p_T , jet angularity, p_T of 8 leading tracks, number of constituents
- 10% training and 90% testing
- ML algorithms: shallow neural network, random forest, and linear regression
- Regression task to predict the corrected jet p_T
 - ▶ Asking if we got back to the “true” p_T i.e. the detector level PYTHIA jet p_T

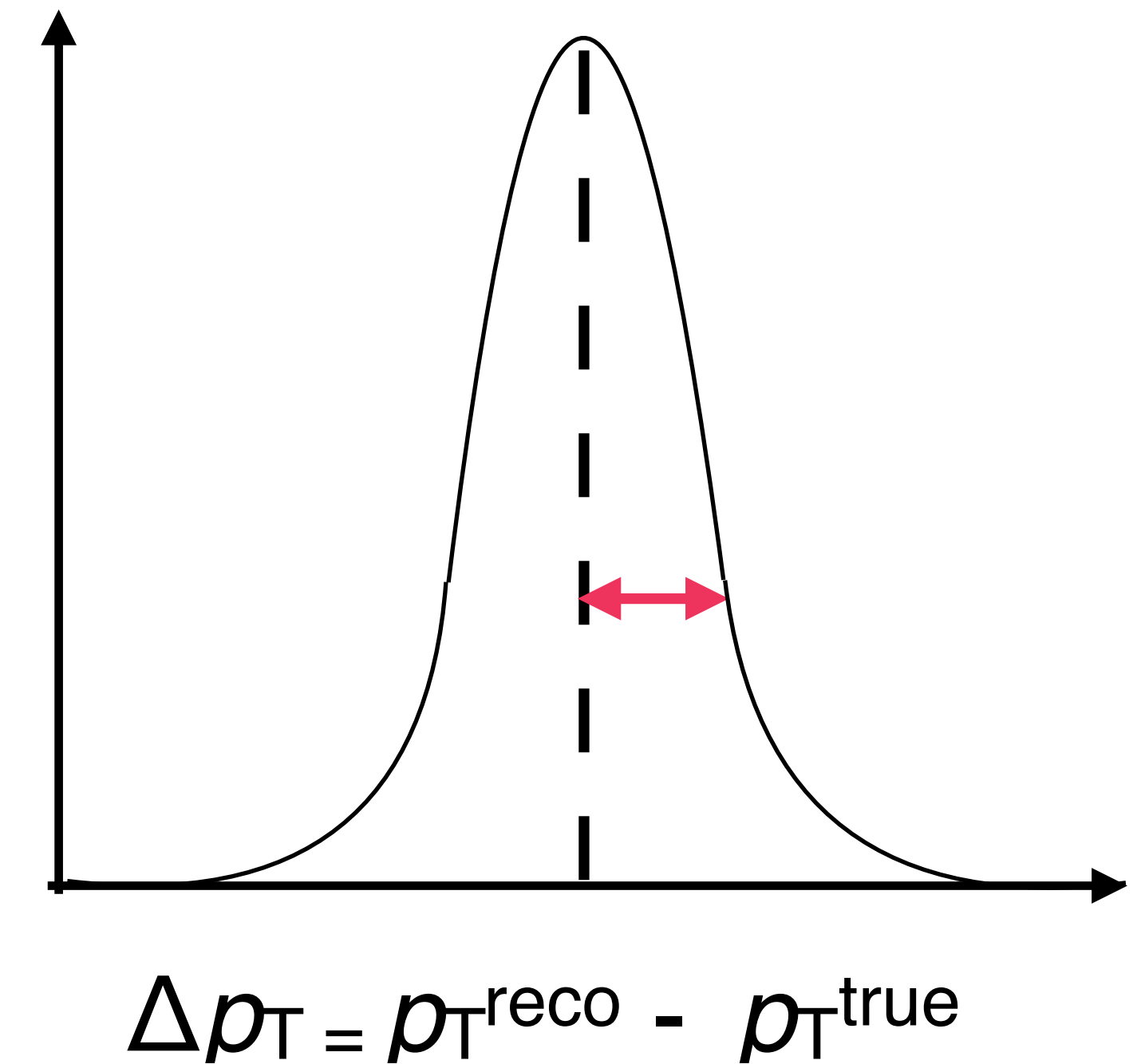
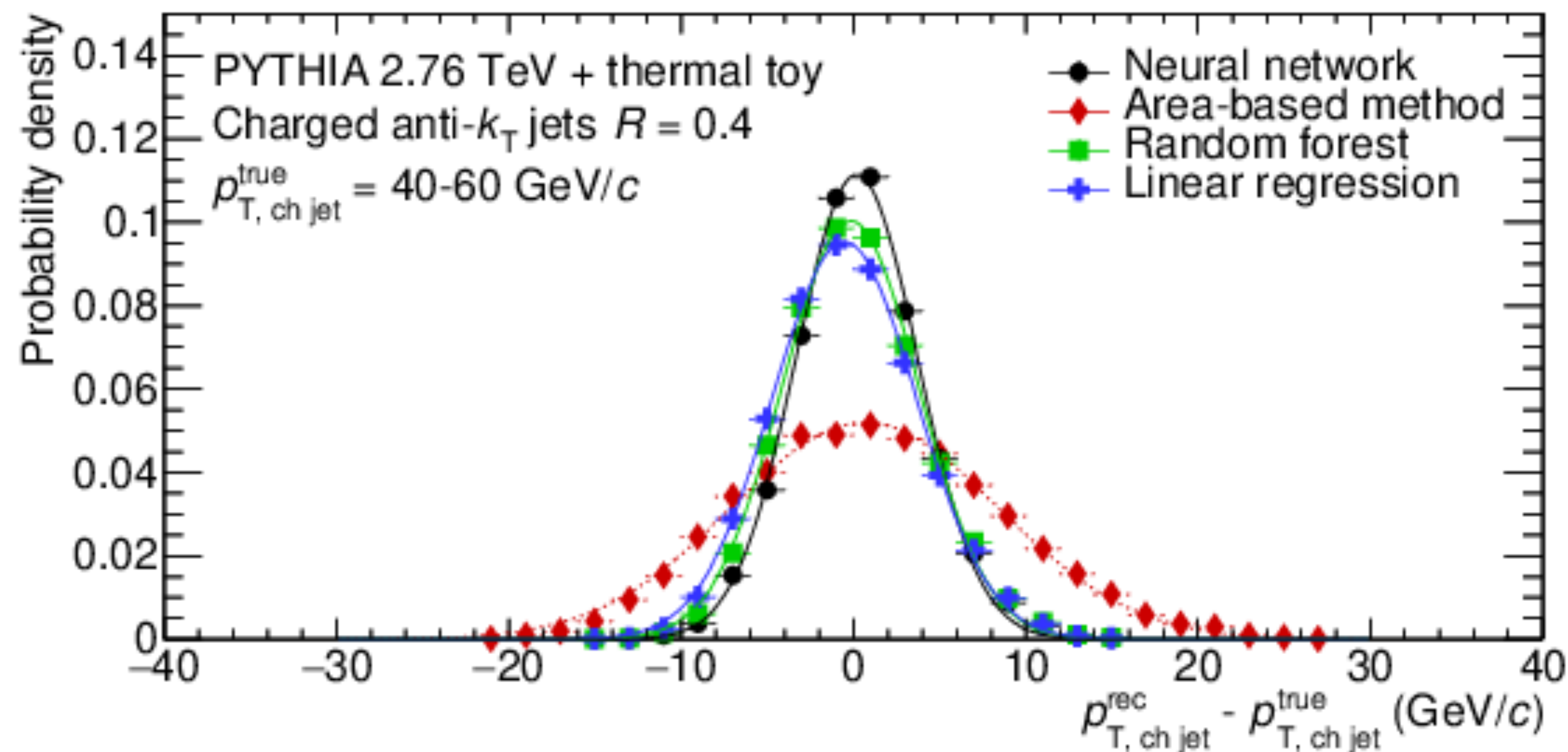
ML approach: charged jet performance

- Evaluate the performance by comparing the difference between the ML corrected p_T^{reco} and the “true” detector level p_T^{true}
 - ▶ The narrower the width of the distribution the better the resolution



ML approach: charged jet performance

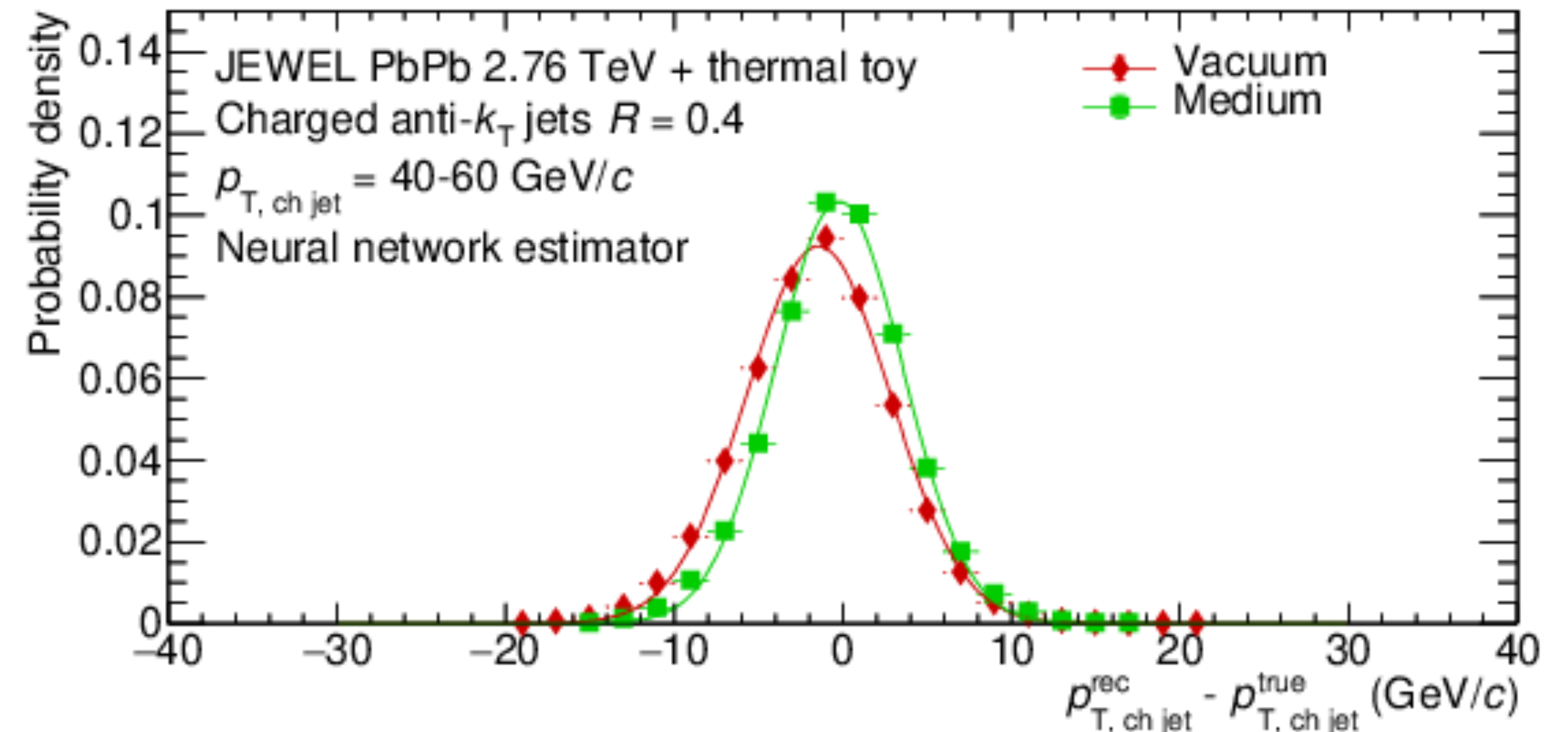
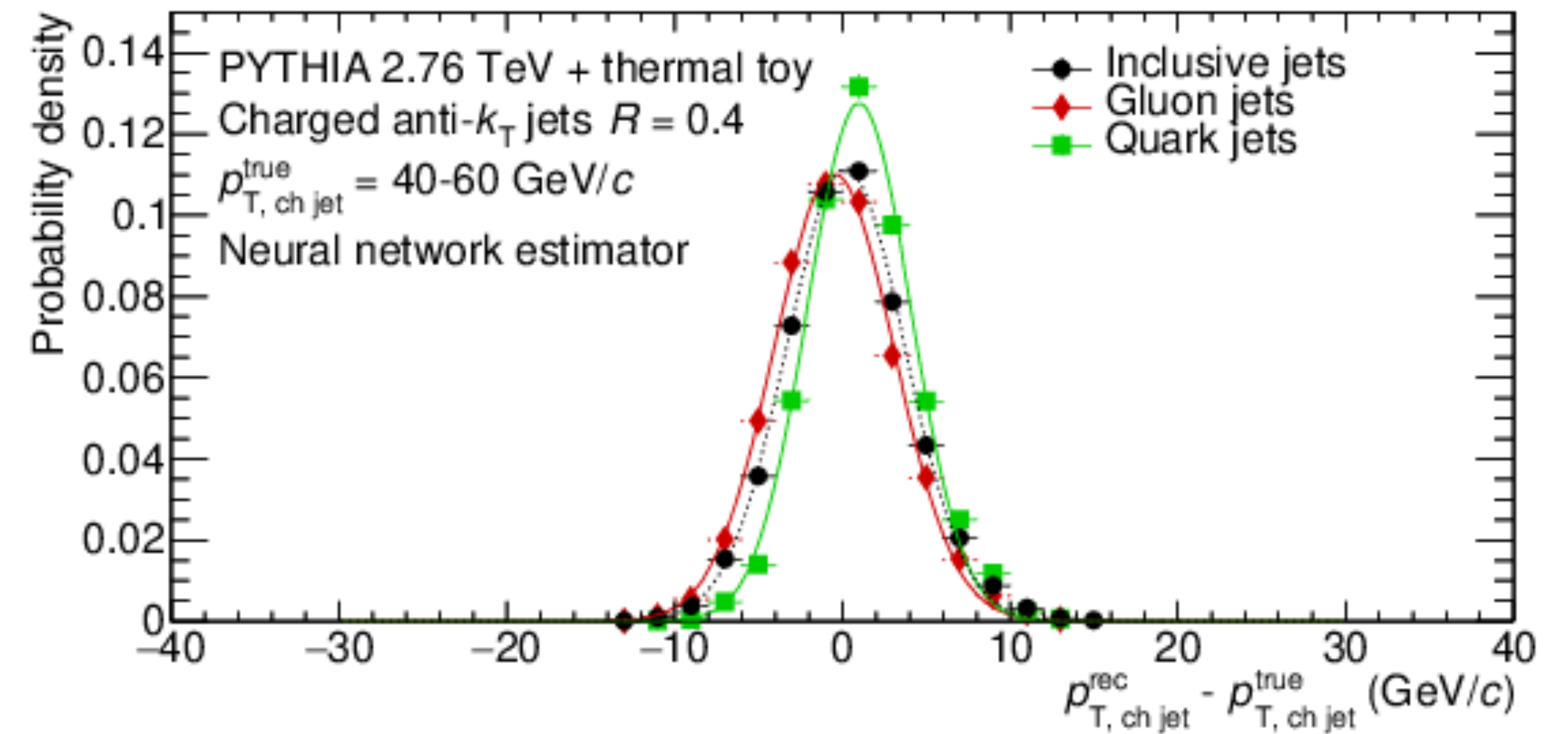
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- ML shows an improved performance over area-based method
- Similar performance for ML algorithms, use neural network

ML approach: fragmentation bias

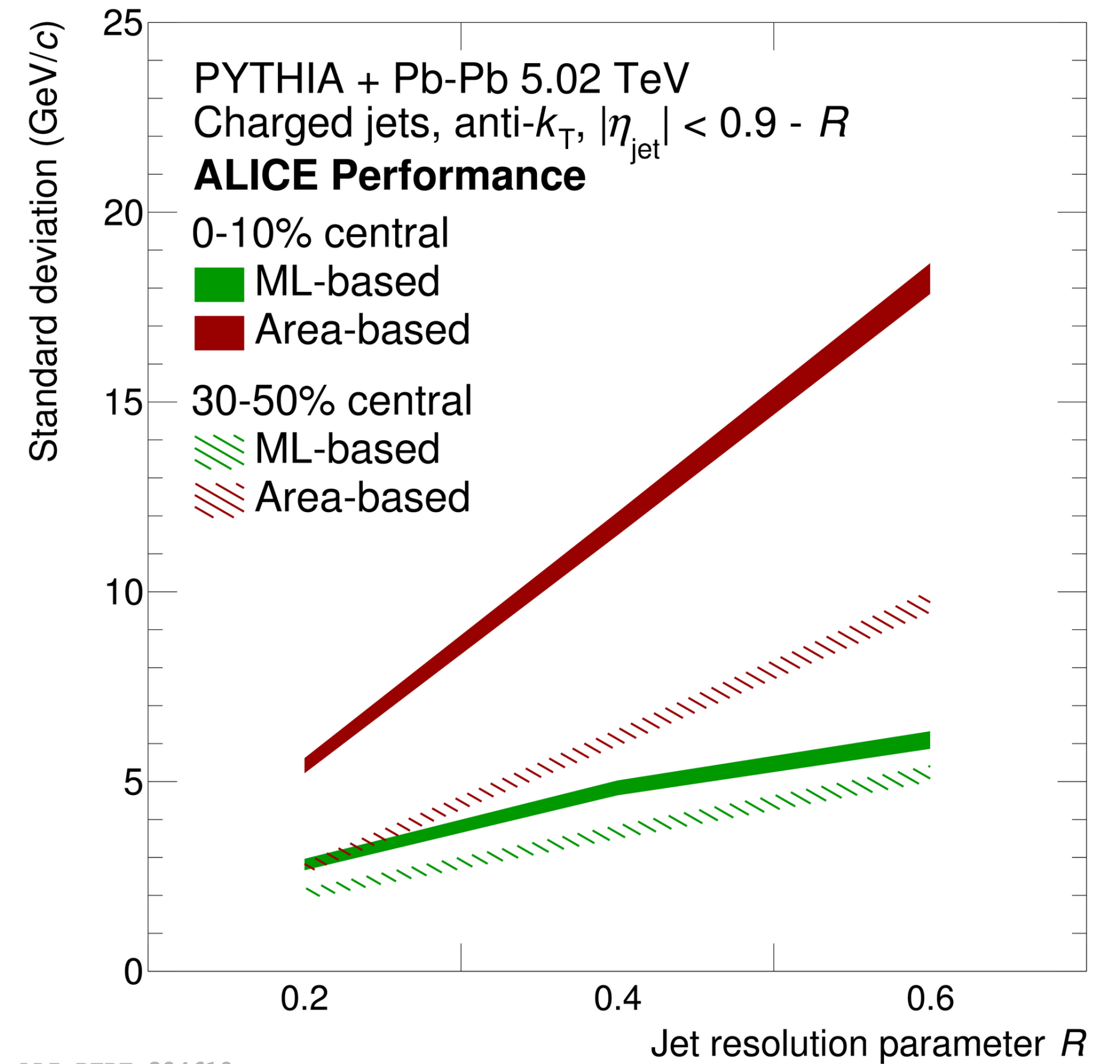
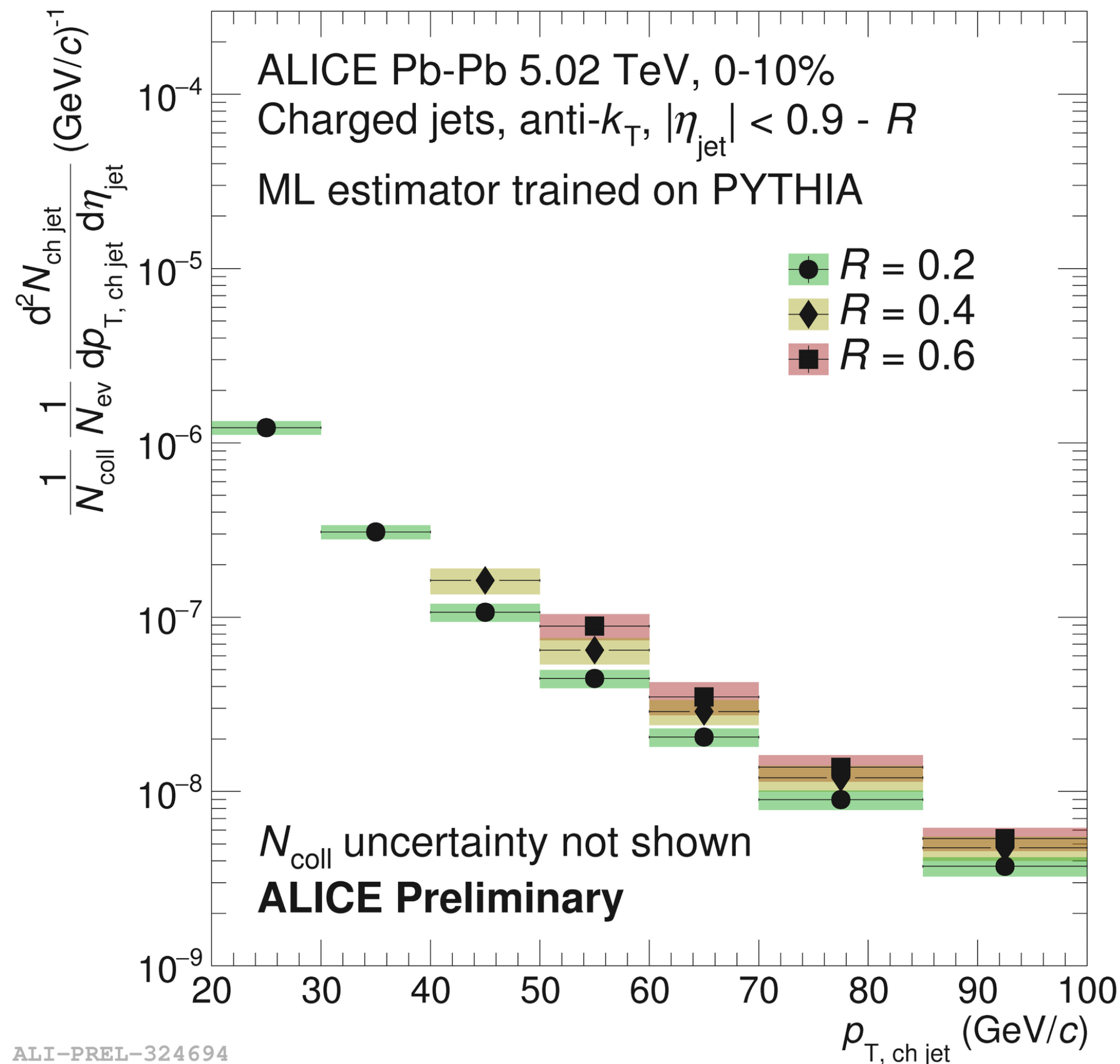
- Potential fragmentation bias due to using the jet constituents
 - ➔ Estimate by looking quarks vs. gluons which have a different fragmentation pattern
 - ➔ JEWEL is used to test bias to HI fragmentation which could be different than quark vs. gluon
- Small bias observed but many ongoing studies
- ▶ Unfolding with response from quarks or gluons used as a systematic



ML approach: apply to data

- **ML method** reduces fluctuations and improves resolution over **area-based**

➡ Extend to lower p_T and larger R (up to 0.6)



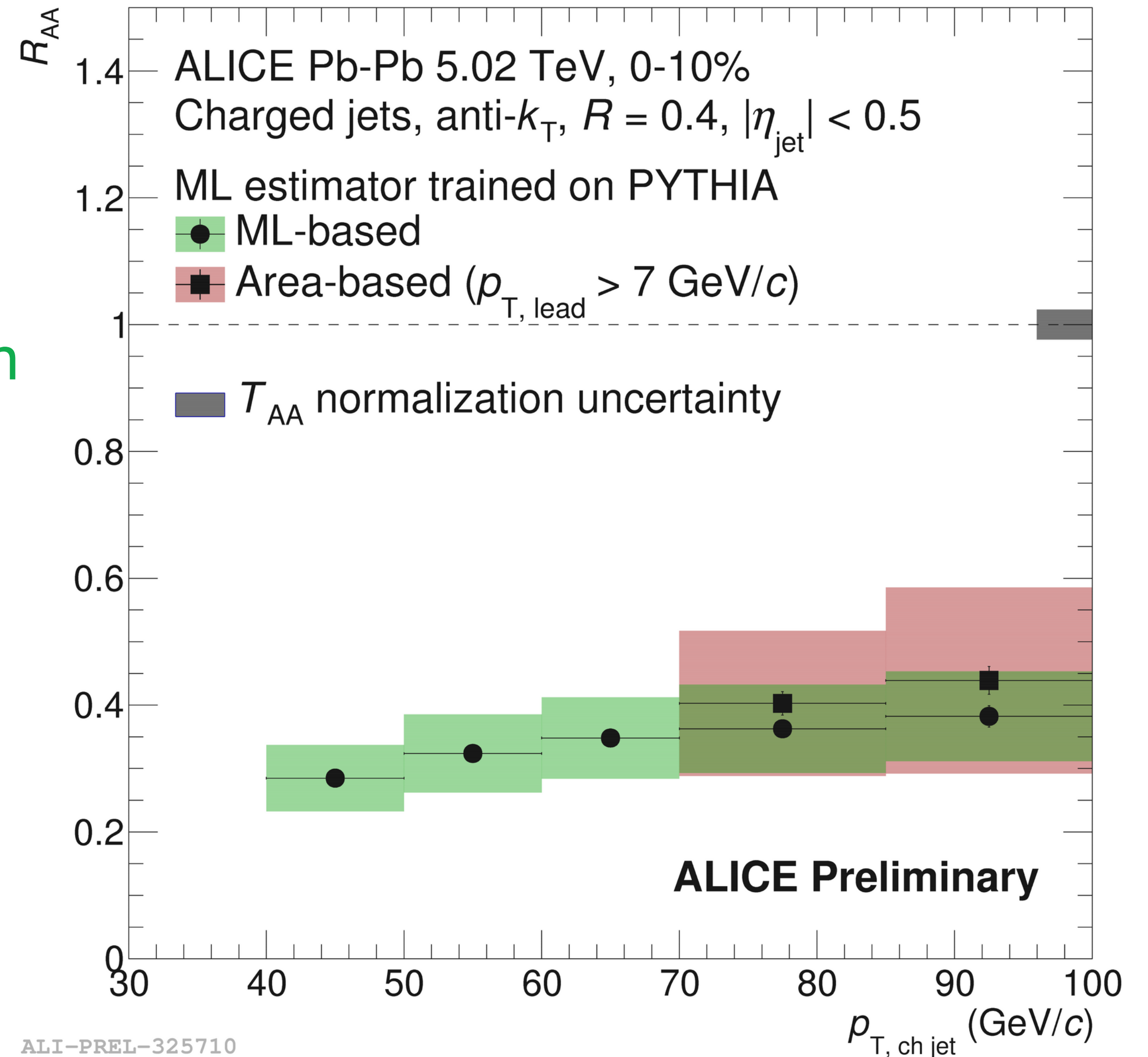
- Applied to ALICE 0-10% Pb-Pb data we see that going to larger R and lower p_T is experimentally possible

ML approach: R_{AA}

- Evaluate the R_{AA} in central collisions using the ML-based estimator and compare to the area-based method with a leading track bias (consistent!)

➔ See the p_T reach is much lower (down to 40 GeV) and the systematics are reduced!

$$R_{AA} = \frac{1}{N_{\text{event}}} \frac{d^2 N_{\text{jet}}^{\text{PbPb}}}{dp_T dy} \Big|_{\text{cent}}}{\langle T_{AA} \rangle_{\text{cent}} \times \frac{d^2 \sigma_{\text{jet}}^{pp}}{dp_T dy}}$$



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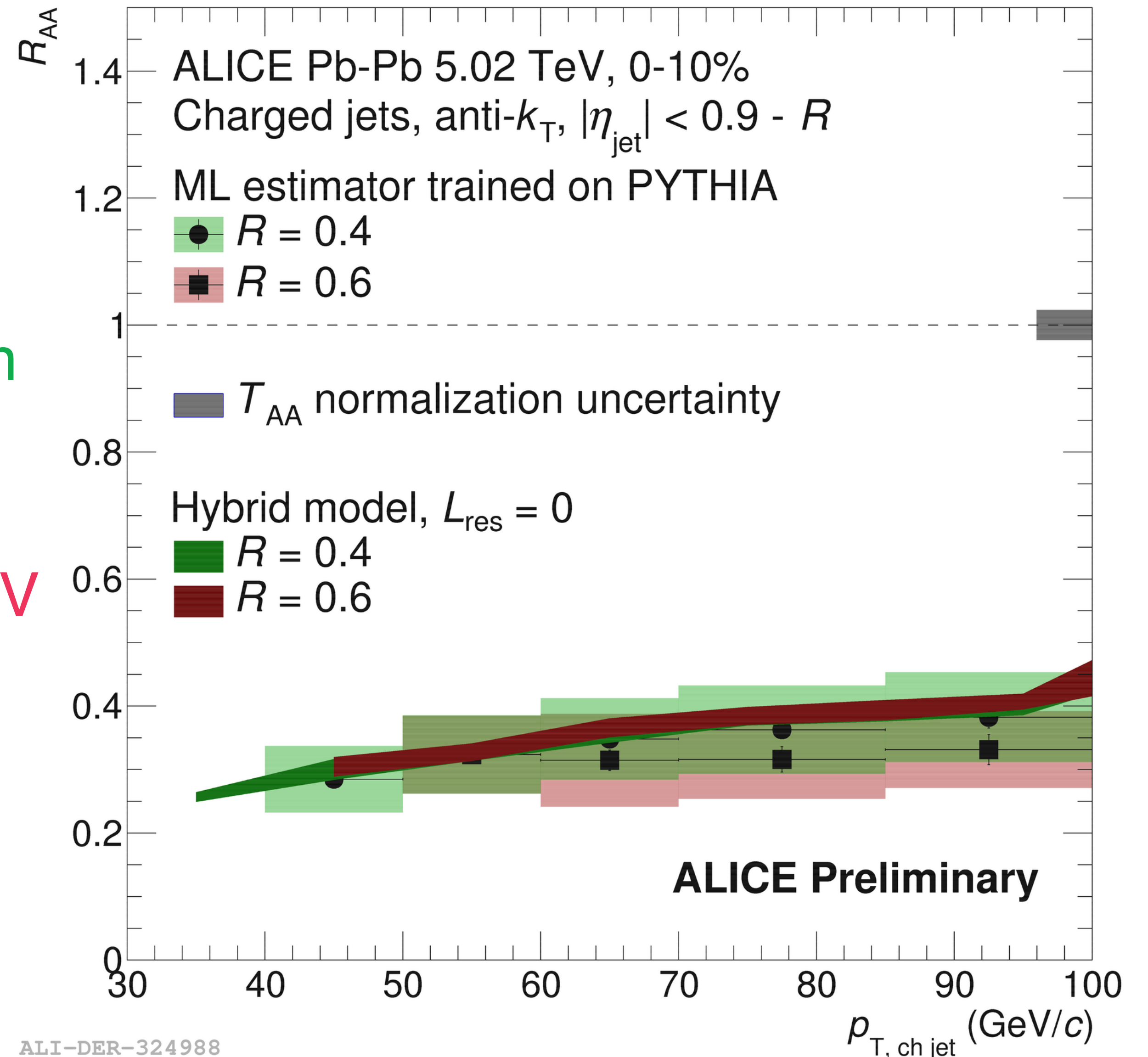
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➡ $R=0.6$ is also possible down to 60 GeV

▶ Comparison to hybrid model!

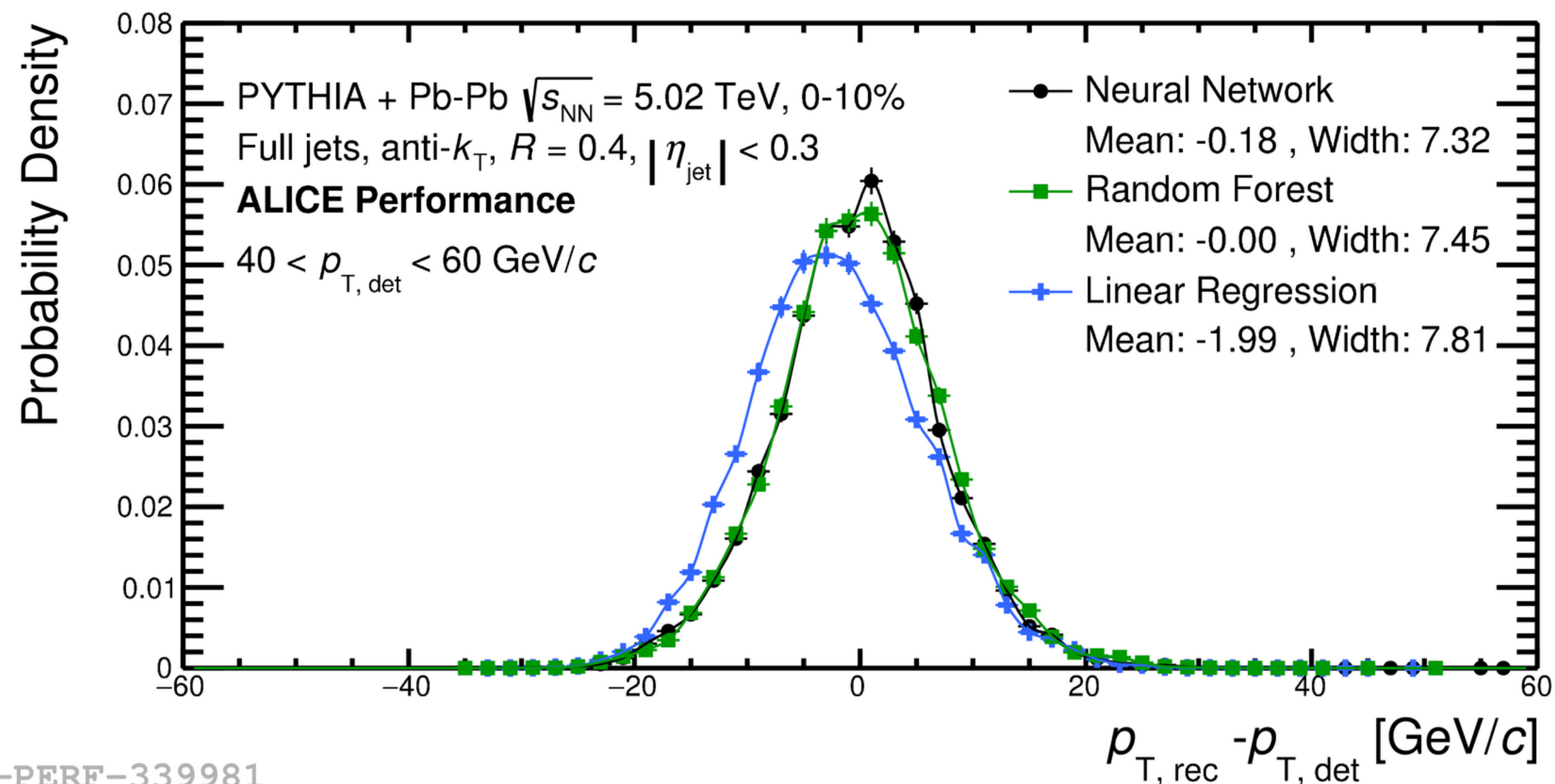
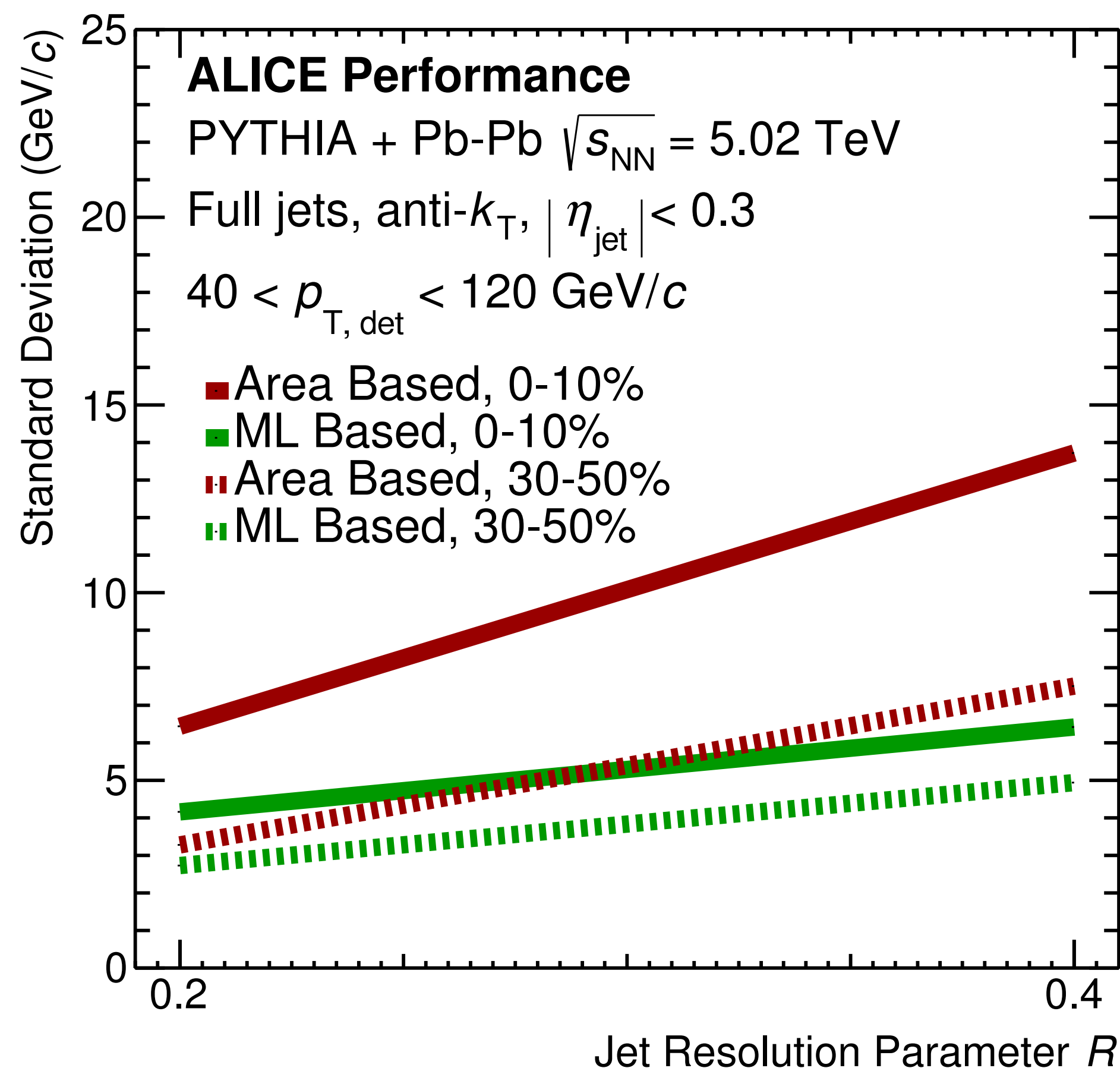
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ML approach: full jets

- Extending method to full jets

➡ Full jets are closer to the theoretical definition of a jet



ALI-PERF-339981

- Include neutral components as part of input now
- See similar improvement as charged jets!
- Next step: apply to data

Measuring jets in HI collisions

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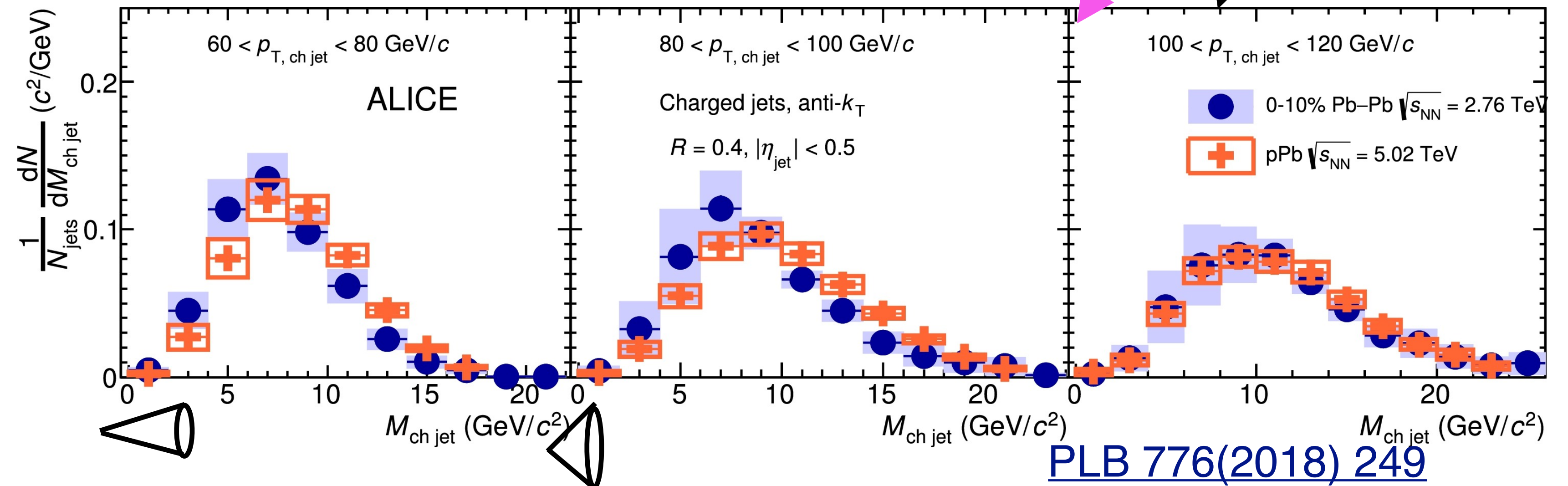
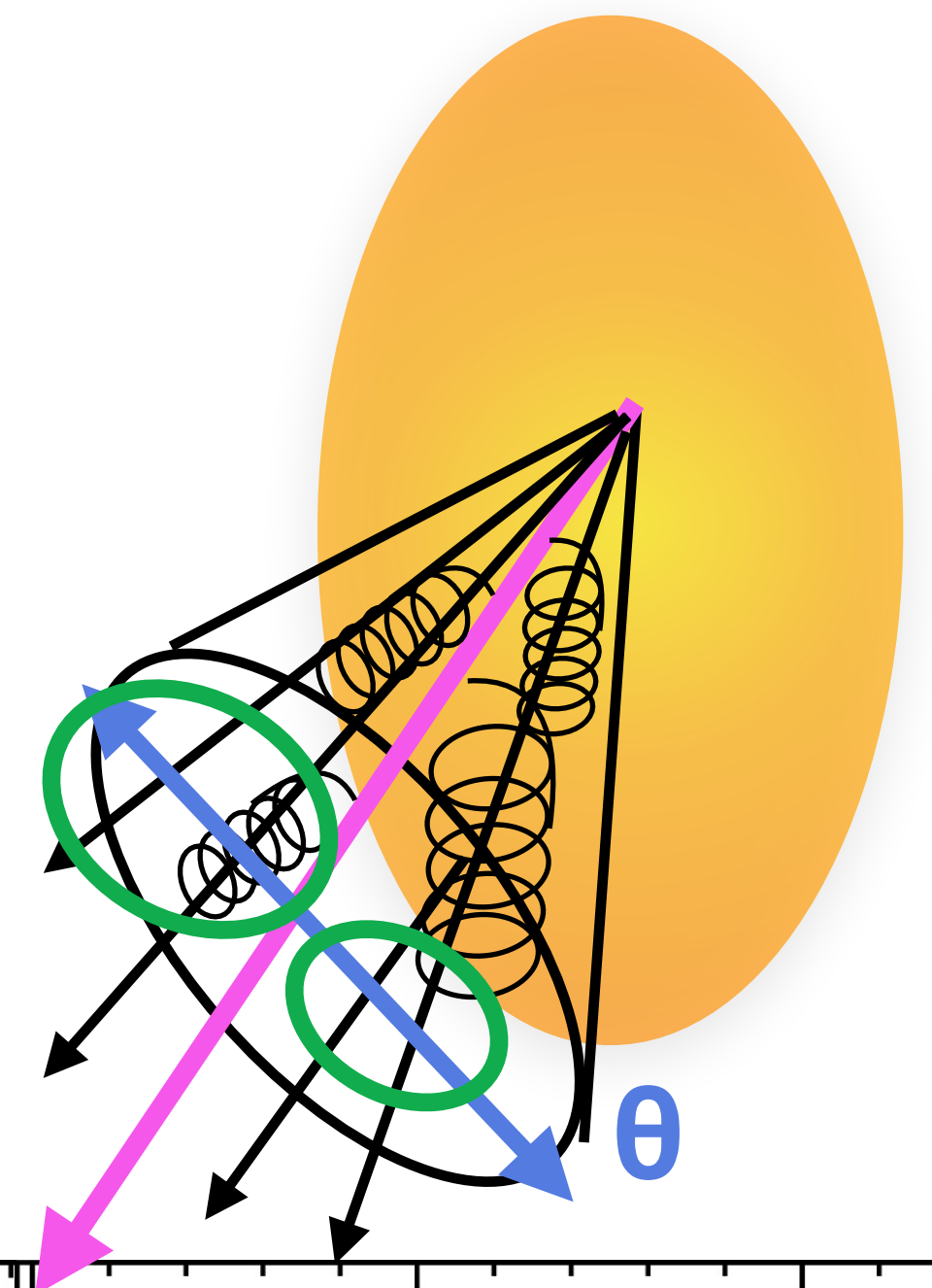
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Solution: jet substructure tools and better background subtraction for jet substructure

Jet substructure

- Jet internal structure is expected to be modified by the medium produced in HI collisions
- ➔ Learn more about the medium by studying jet substructure!
- Intuition to look at jet mass but this proves to be insensitive to medium effects
- Many measurements of novel jet substructure tools using jet splittings



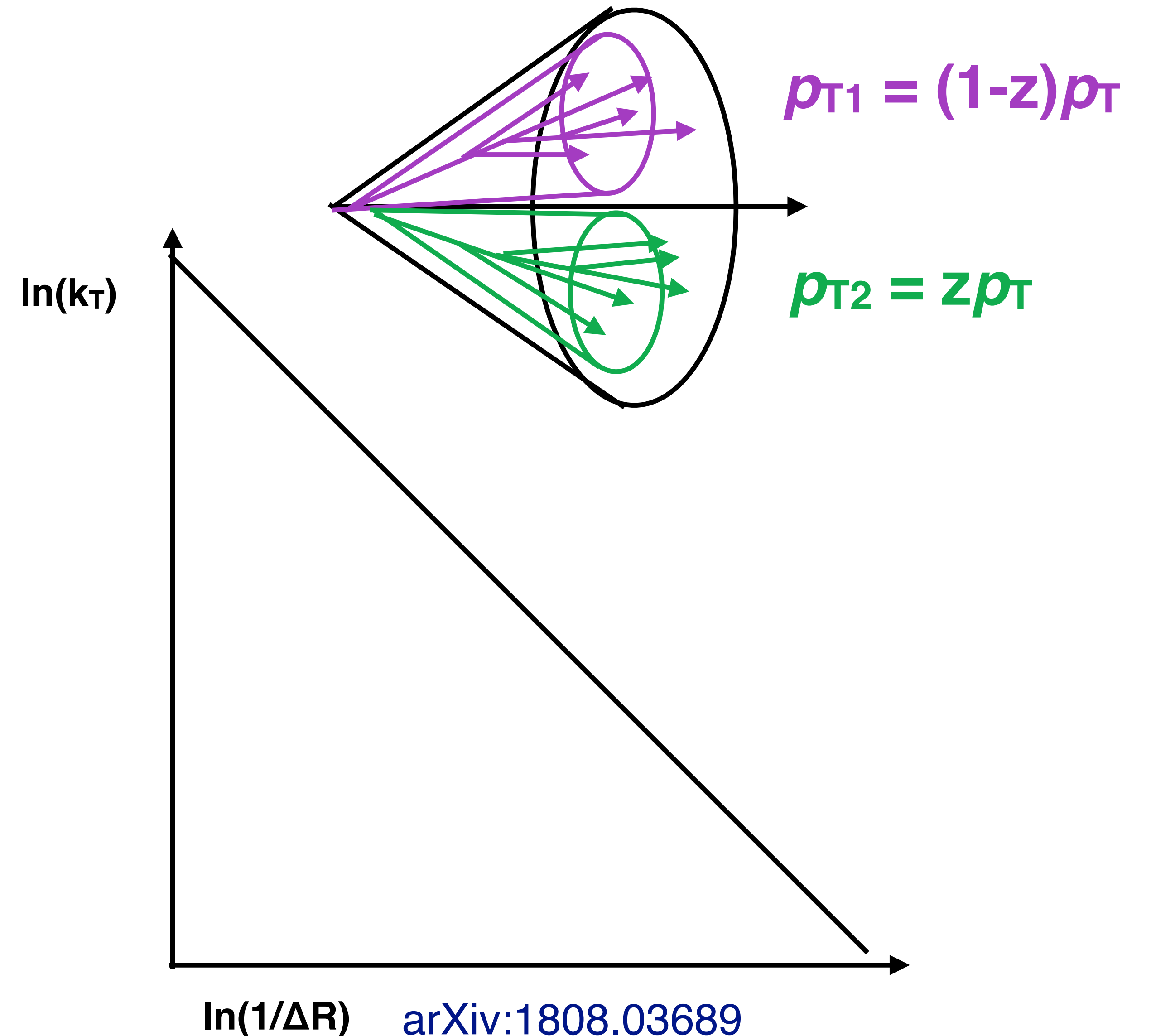
- ▶ Before measuring jet substructure the background removal needs to remove the background for the jet constituents instead of the jet as a whole

Exploring the Lund Plane: in vacuum

- Lund Diagram*: phase space of jet splitting

[*Z. Phys. C43 \(1989\)](#)

[JHEP 12 \(2018\)](#)



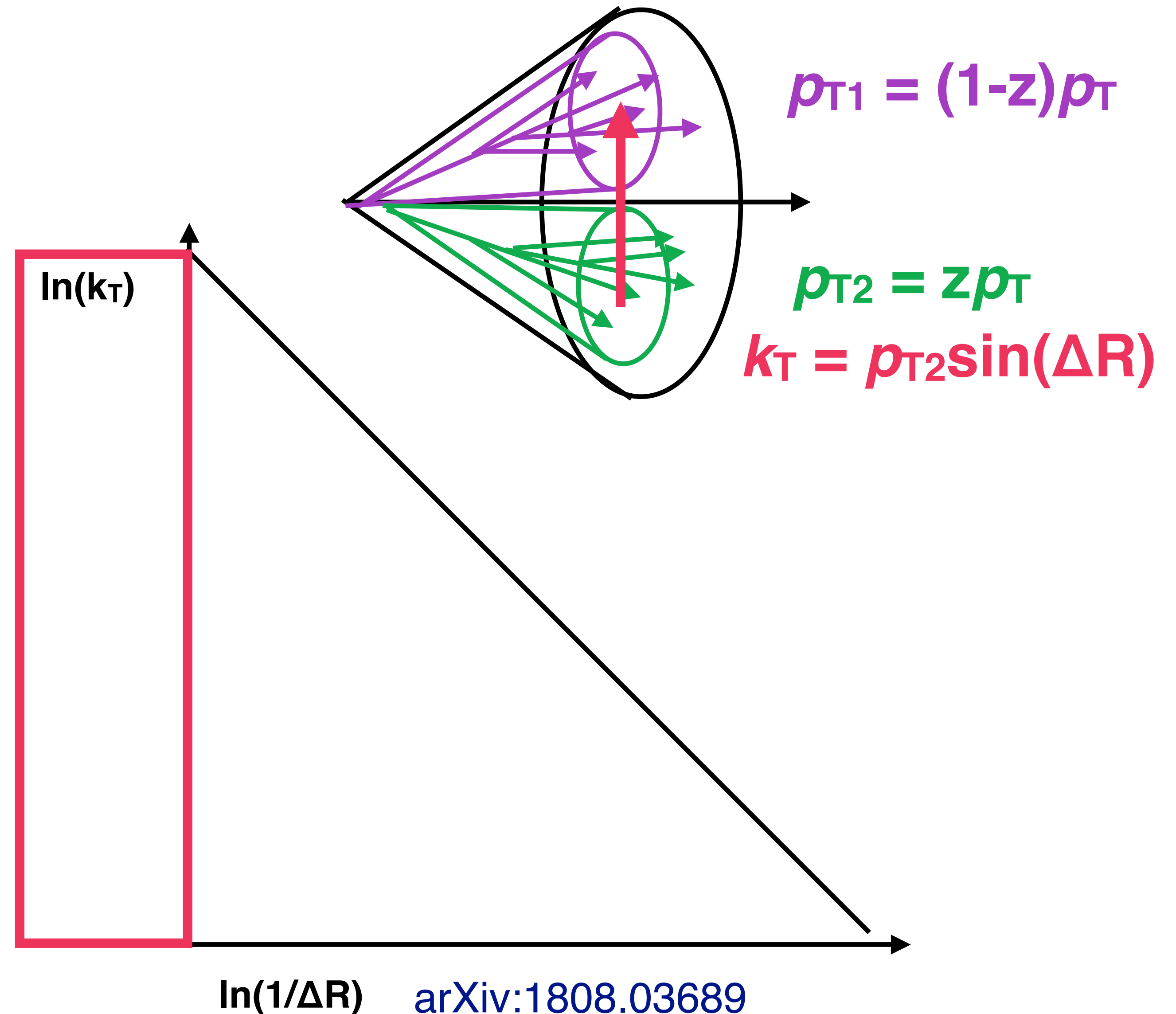
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- k_T : relative transverse momentum of subjets



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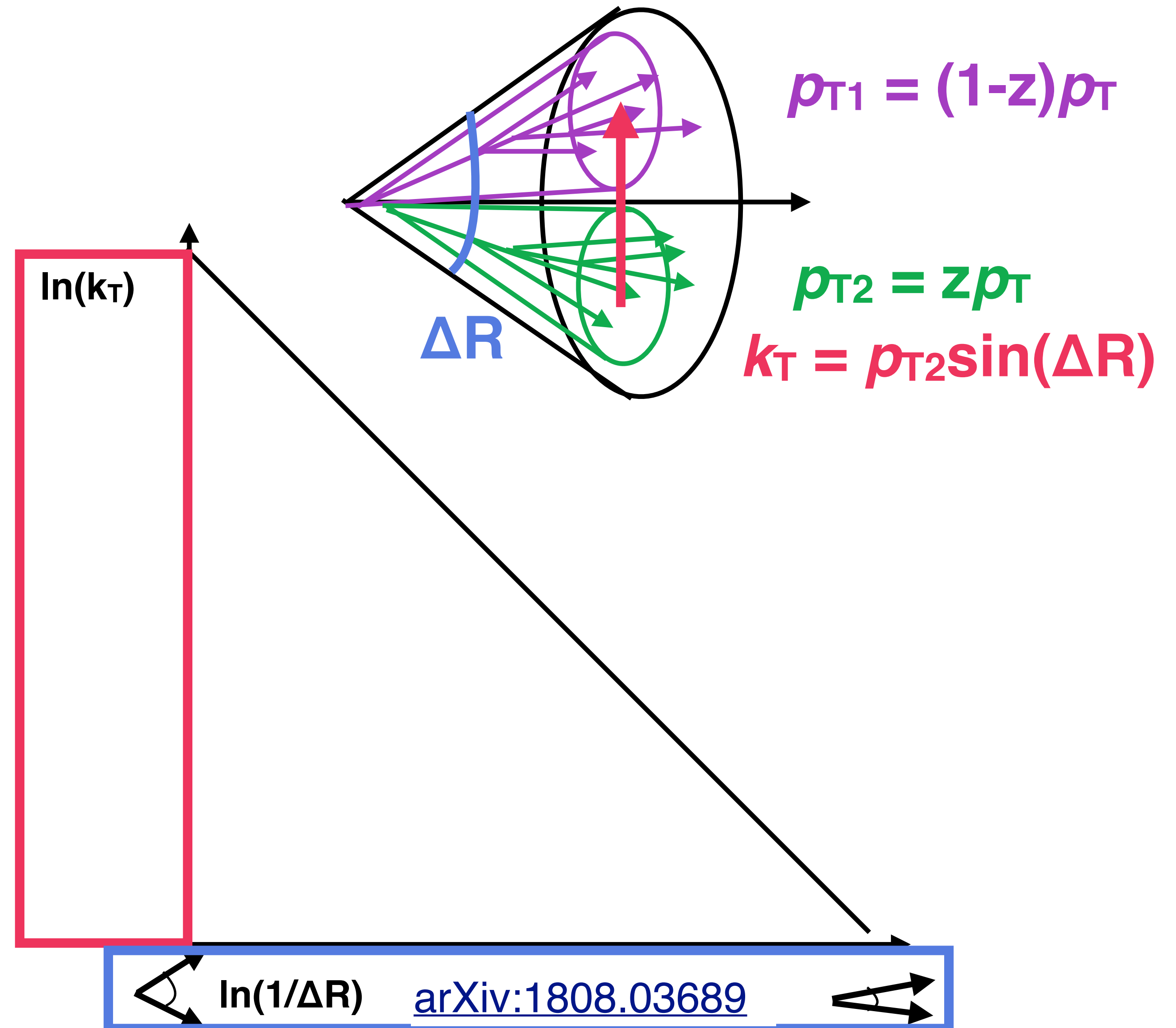
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- k_T : relative transverse momentum of subjets

- ΔR : opening angle between subjets

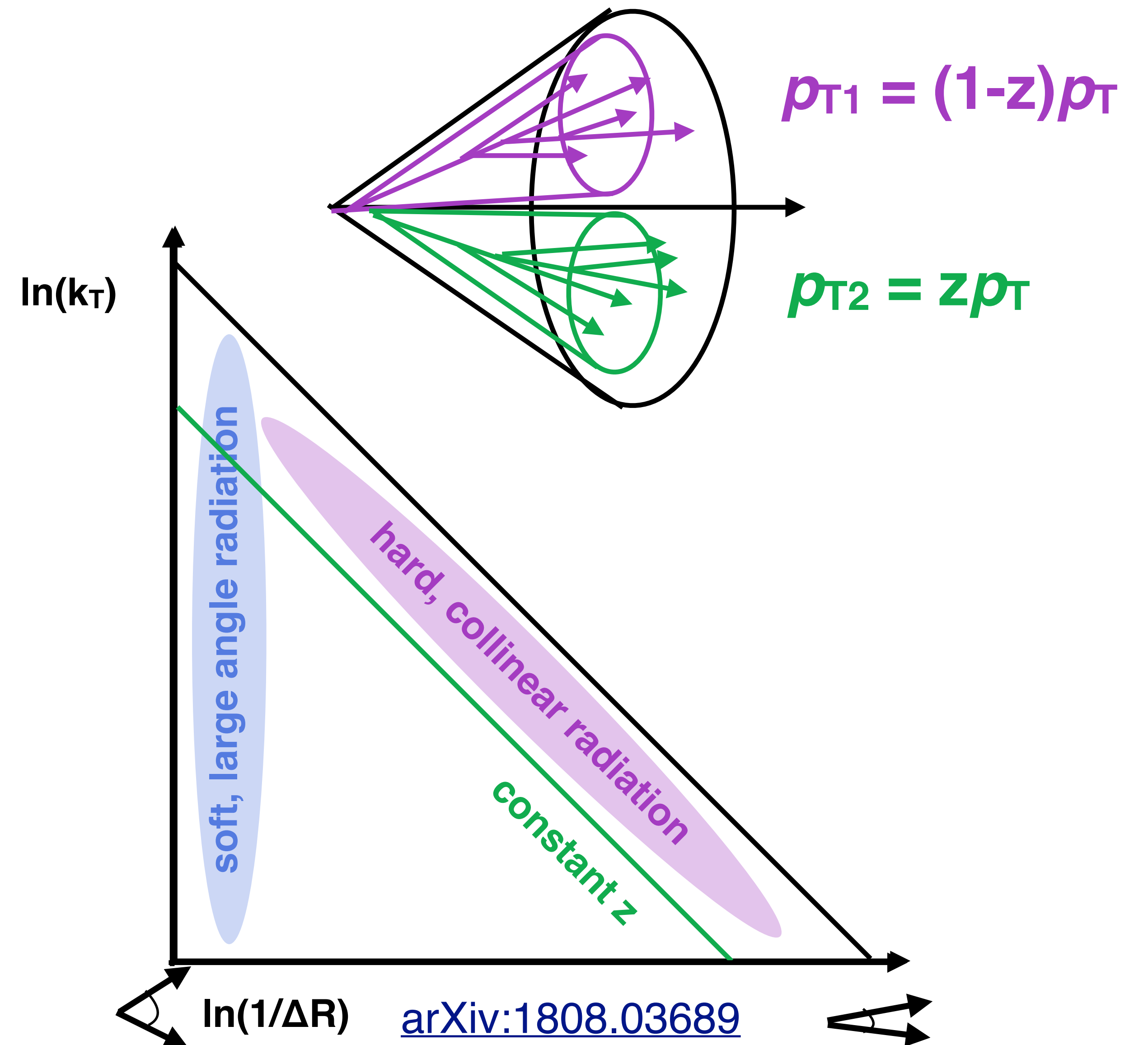


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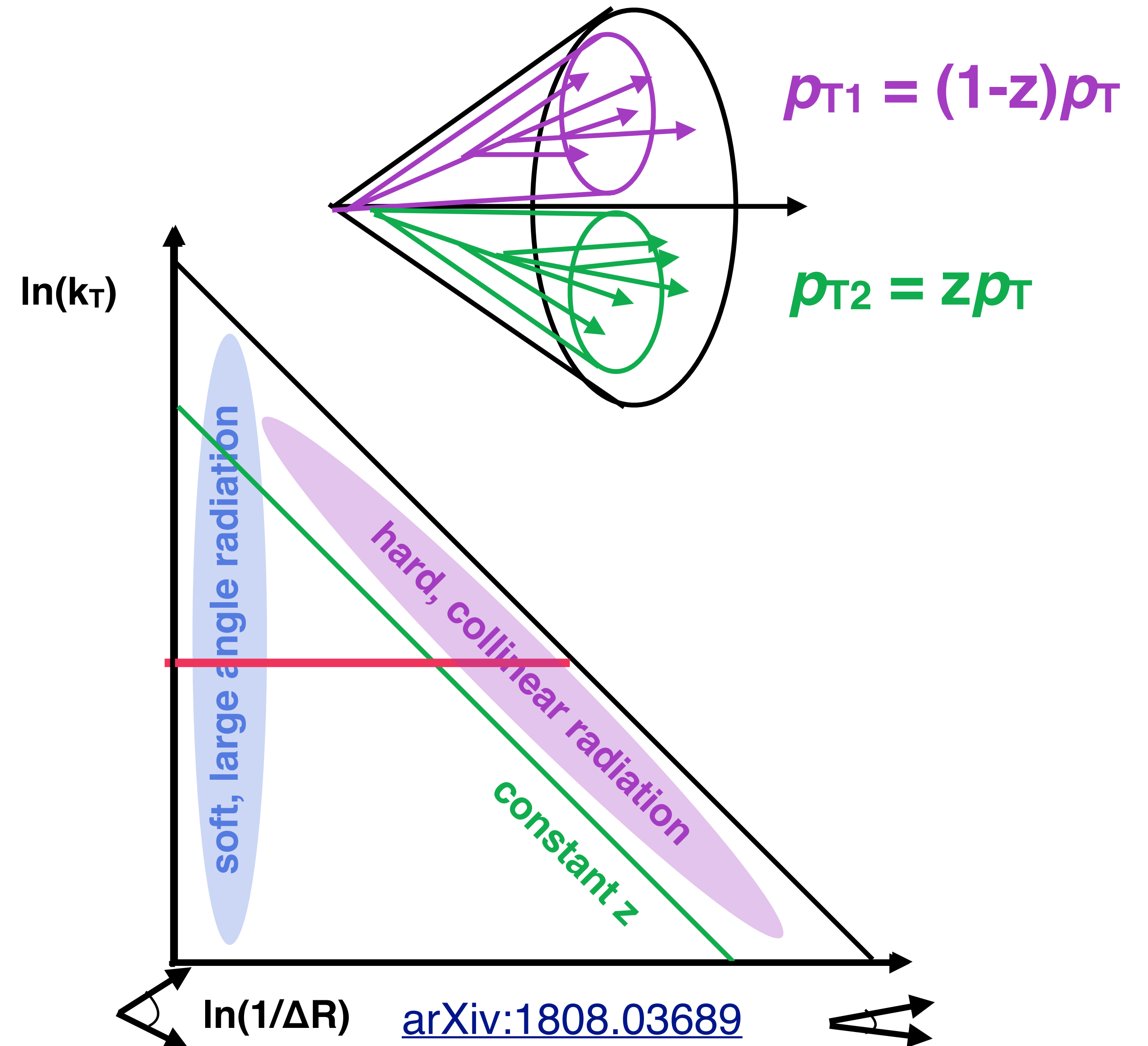
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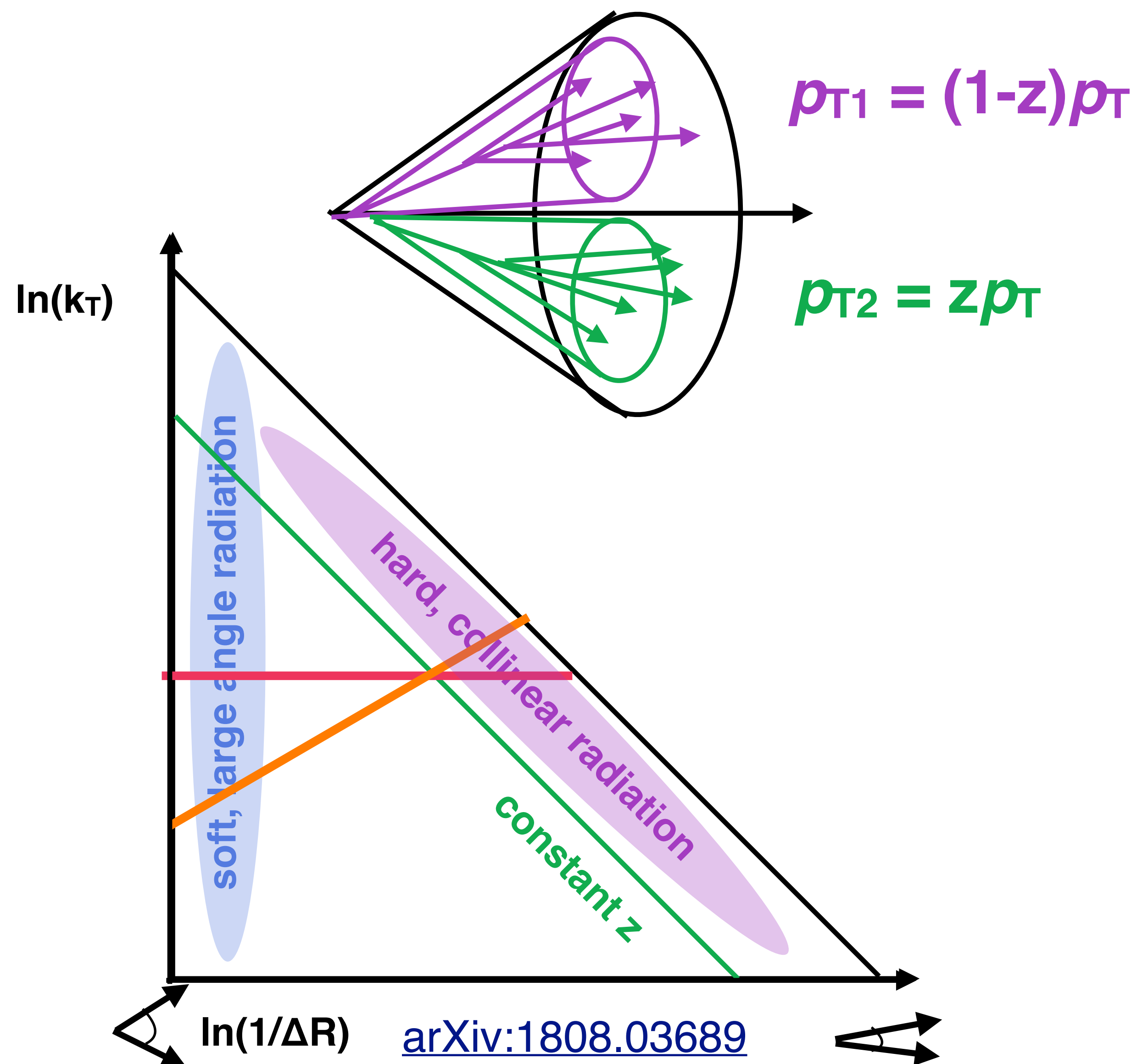
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[JHEP 12 \(2018\)](#)

- $\log(k_T) > 0$ separates perturbative from non-perturbative regime
- Formation time: how long until the splitting occurred

$$t_f = \frac{1}{(1-z)k_T\Delta R}$$

[Y. L. Dokshitzer, et.al.](#)



Exploring the Lund Plane: in medium

- Jet splittings in heavy-ion (HI) collisions

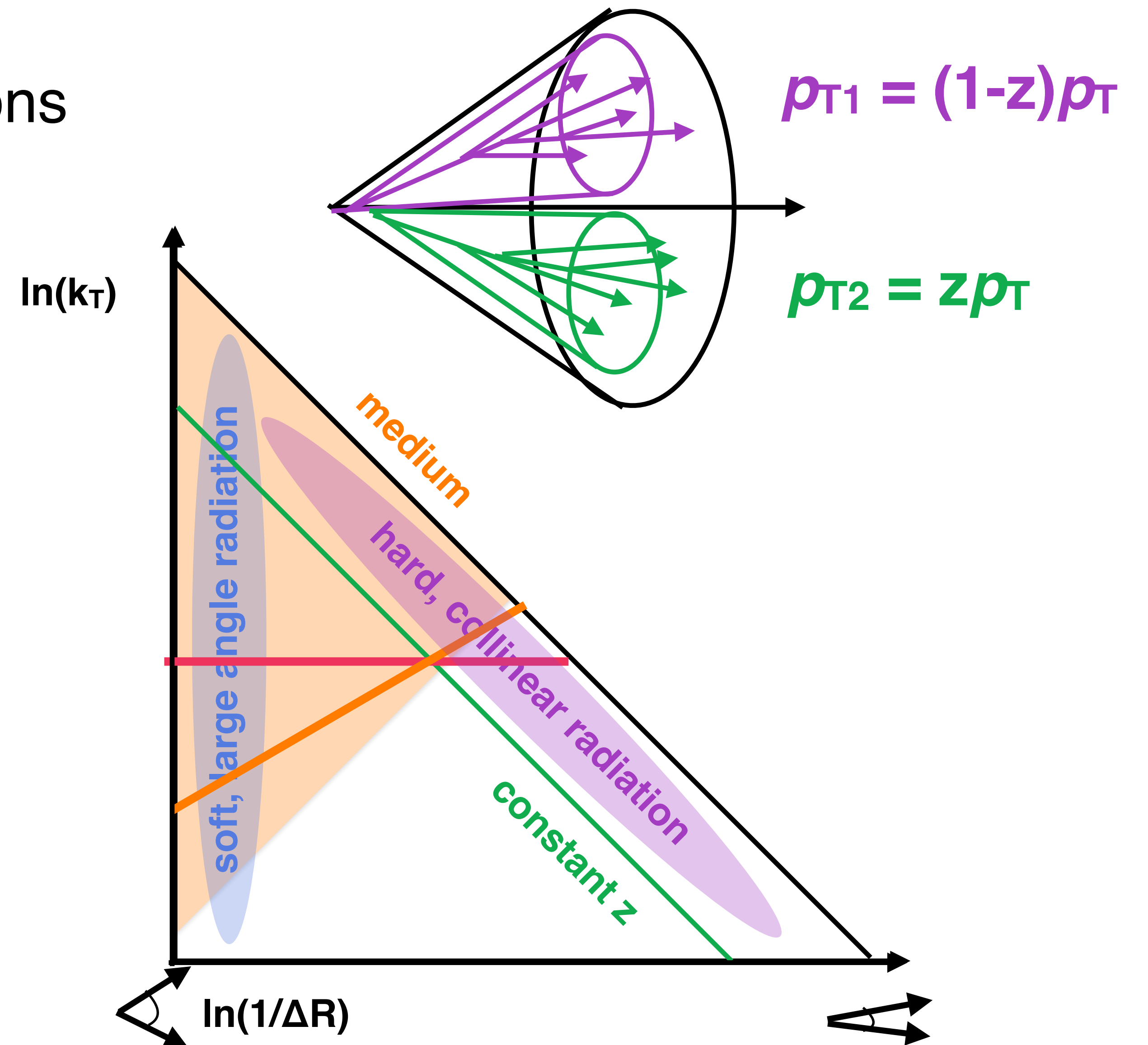
➔ in/out of medium splittings

- ▶ Earlier/wider splittings experience more medium

➔ Vacuum splittings vs. non-perturbative in-medium splittings

➔ Coherence vs. decoherence

- ▶ Split jets should be more quenched



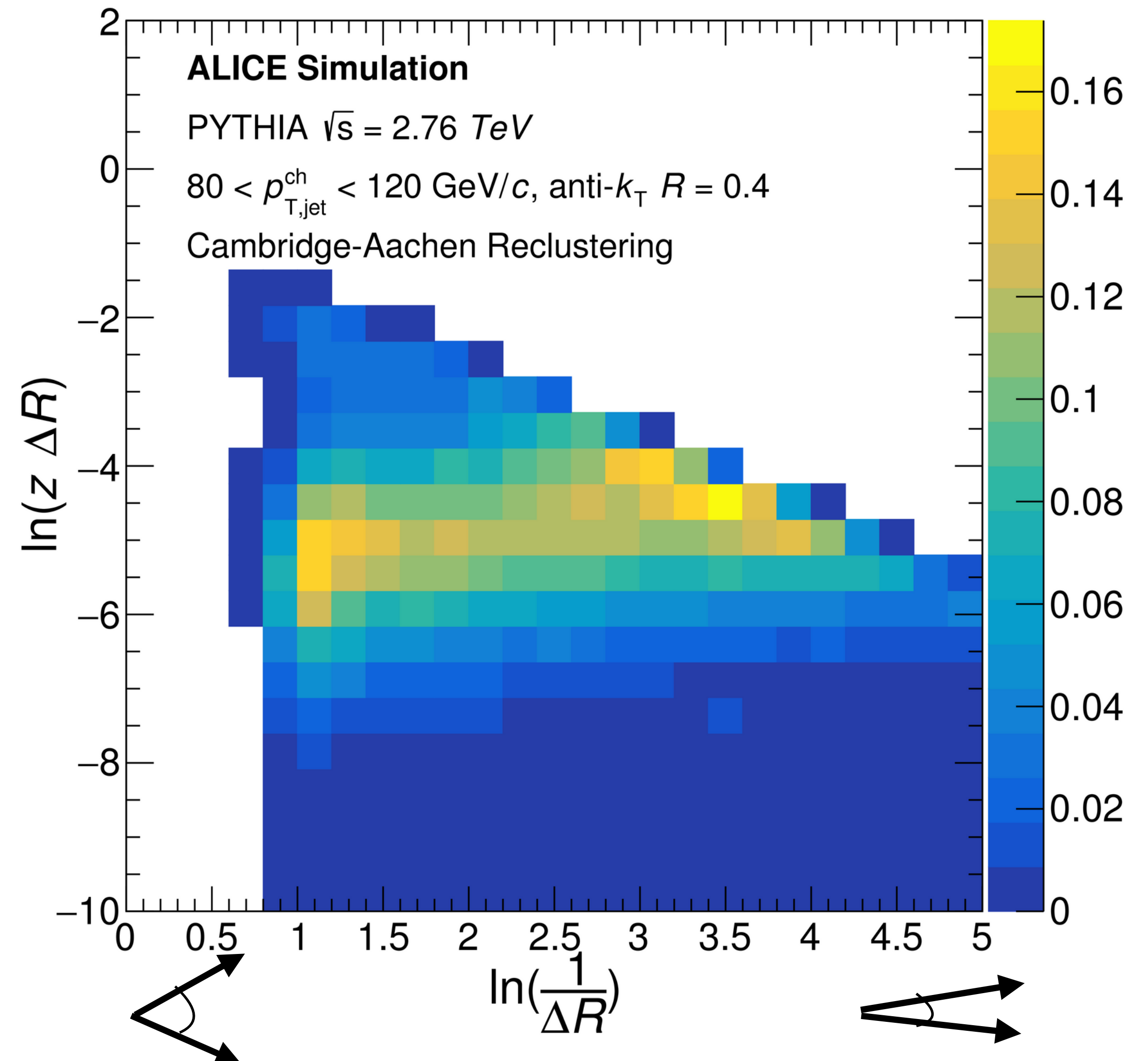
Soft drop grooming

- Reconstruct anti- k_T $R=0.4$ charged jets between 80-120 GeV/c with jet-by-jet constituent background subtraction* (in HI collisions)

[*JHEP 06 \(2014\) 092](#)

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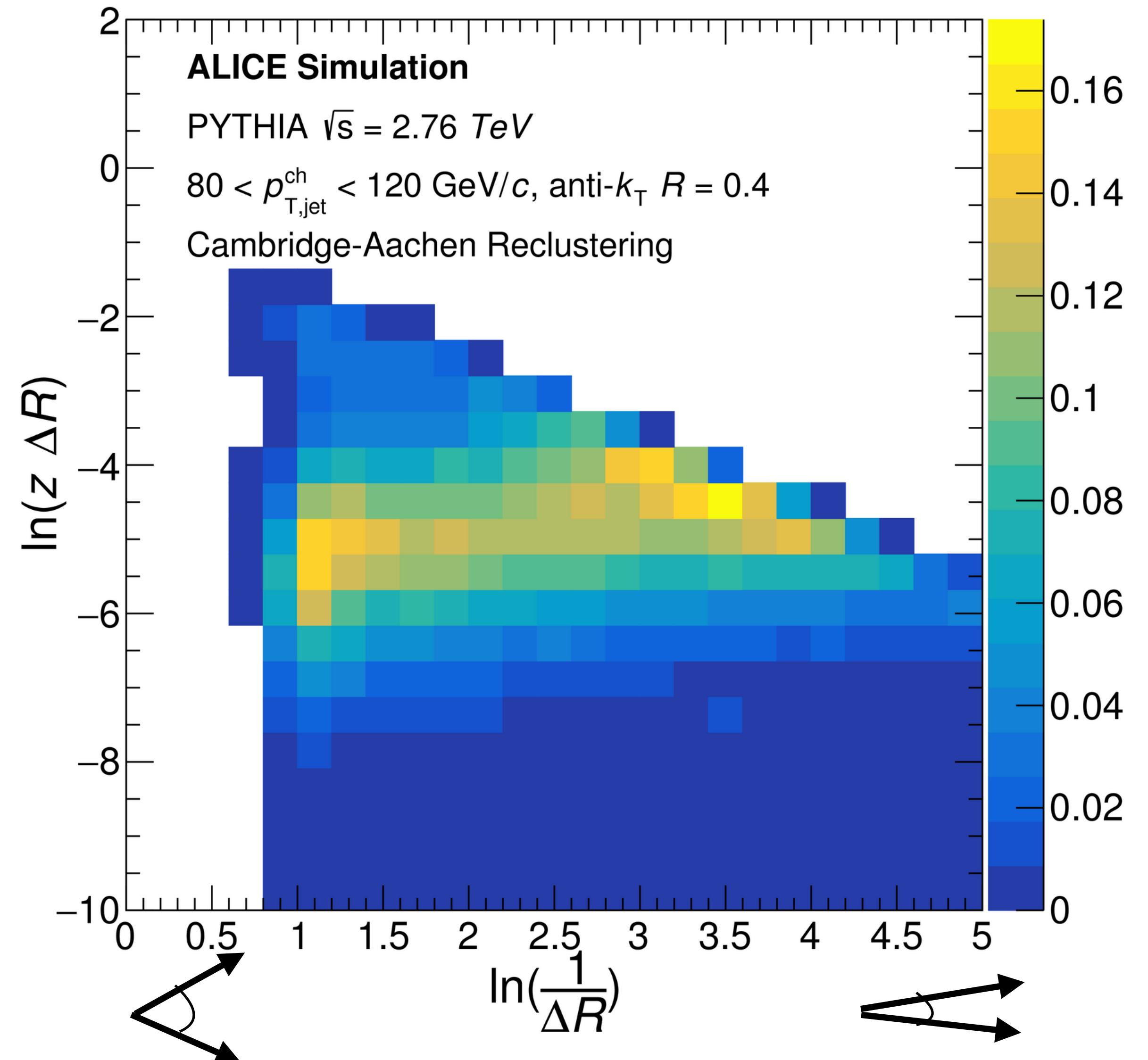
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- **Soft drop grooming to access hard splitting**

$$z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$

$$z_g > z_{\text{cut}} \theta^\beta \quad \theta = \frac{\Delta R}{R}$$



ALI-SIMUL-161454

Soft drop grooming

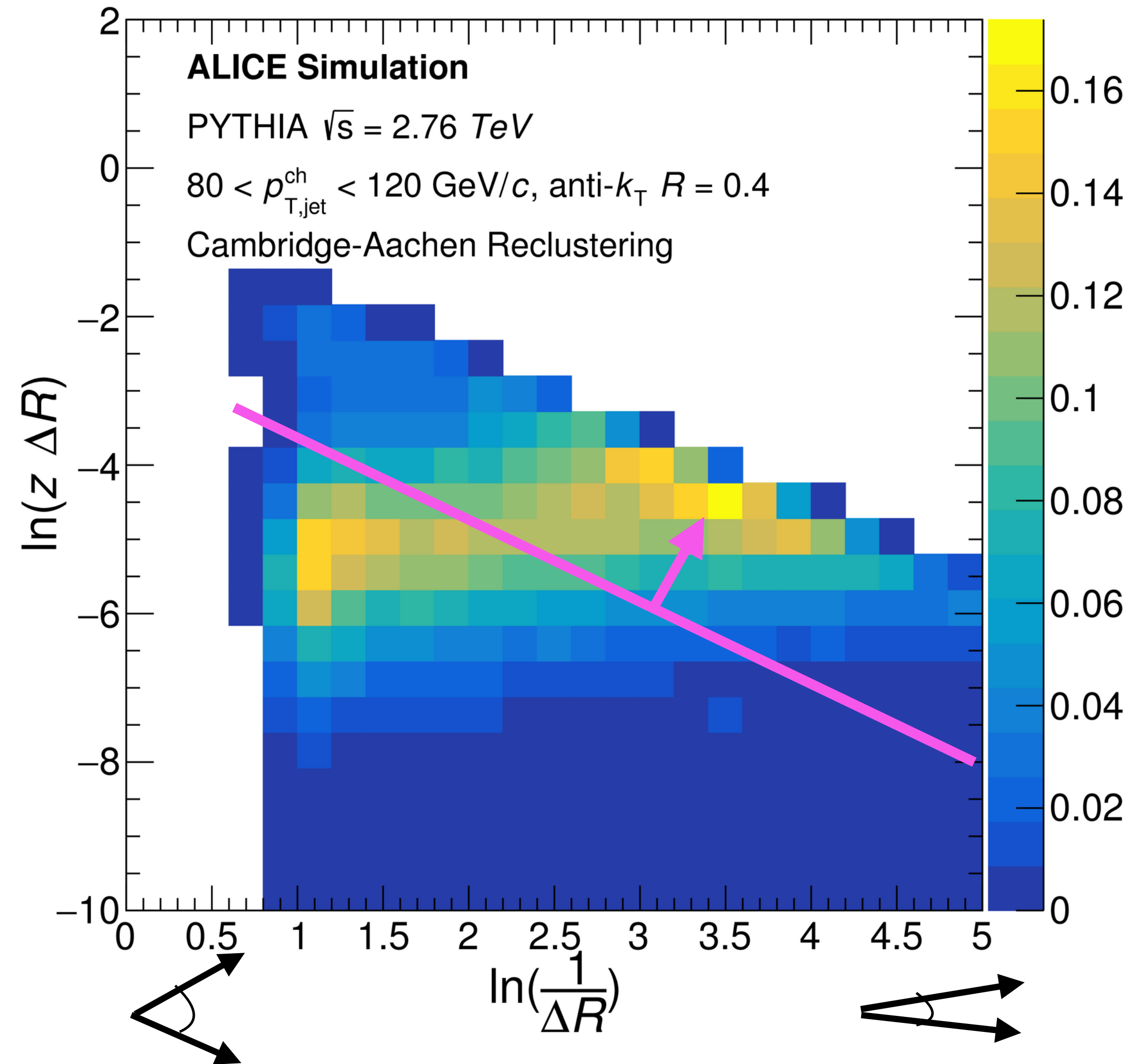
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- Default condition: $z_{\text{cut}} = 0.1$
 $\beta = 0$

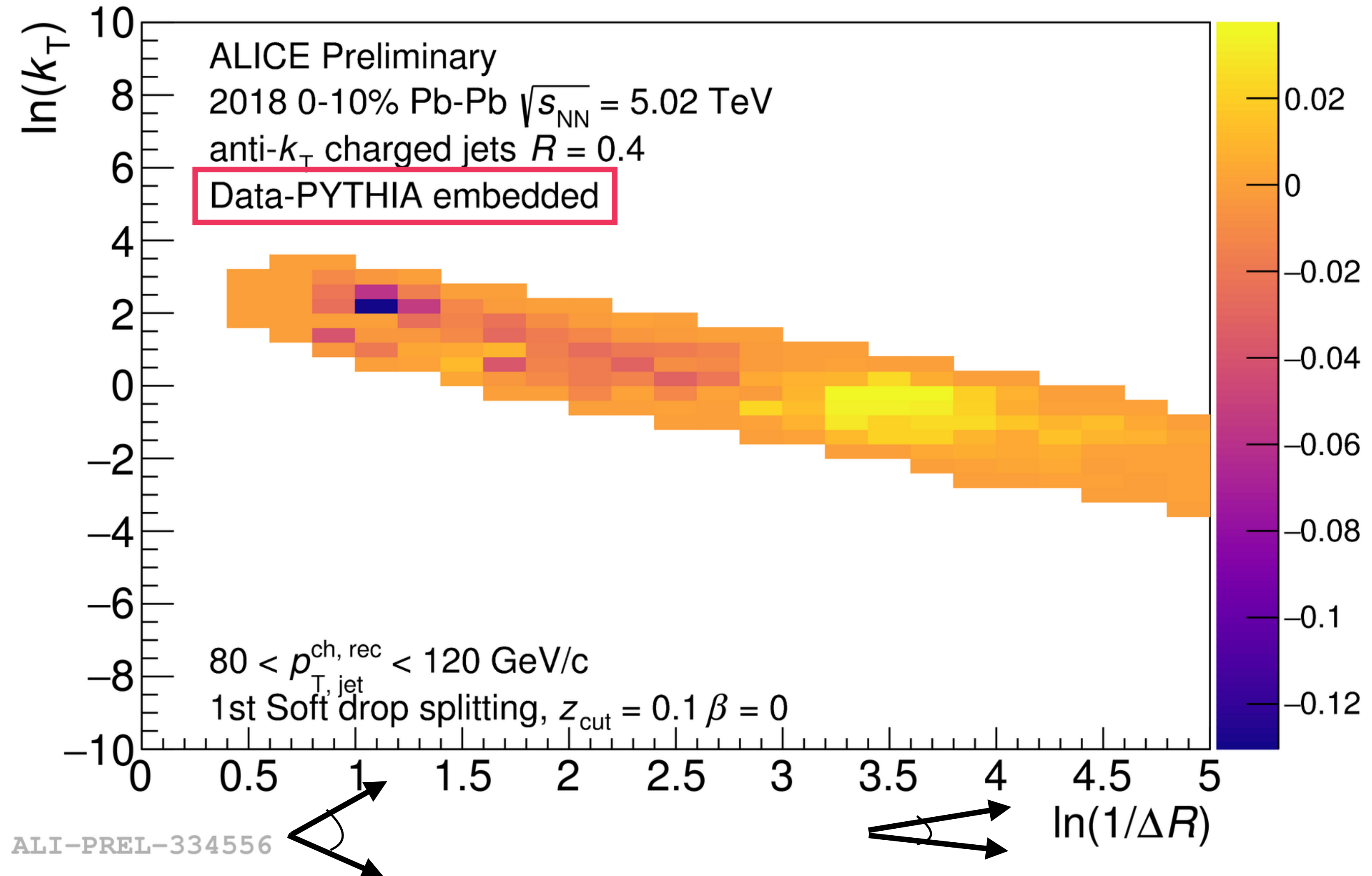


ALI-SIMUL-161454

Exploring the Lund Plane in Data

- Compare to PYTHIA8 embedded into real 0-10% Pb-Pb collisions
- Subtract the embedded MC from the data in order to remove the effects from the large HI background

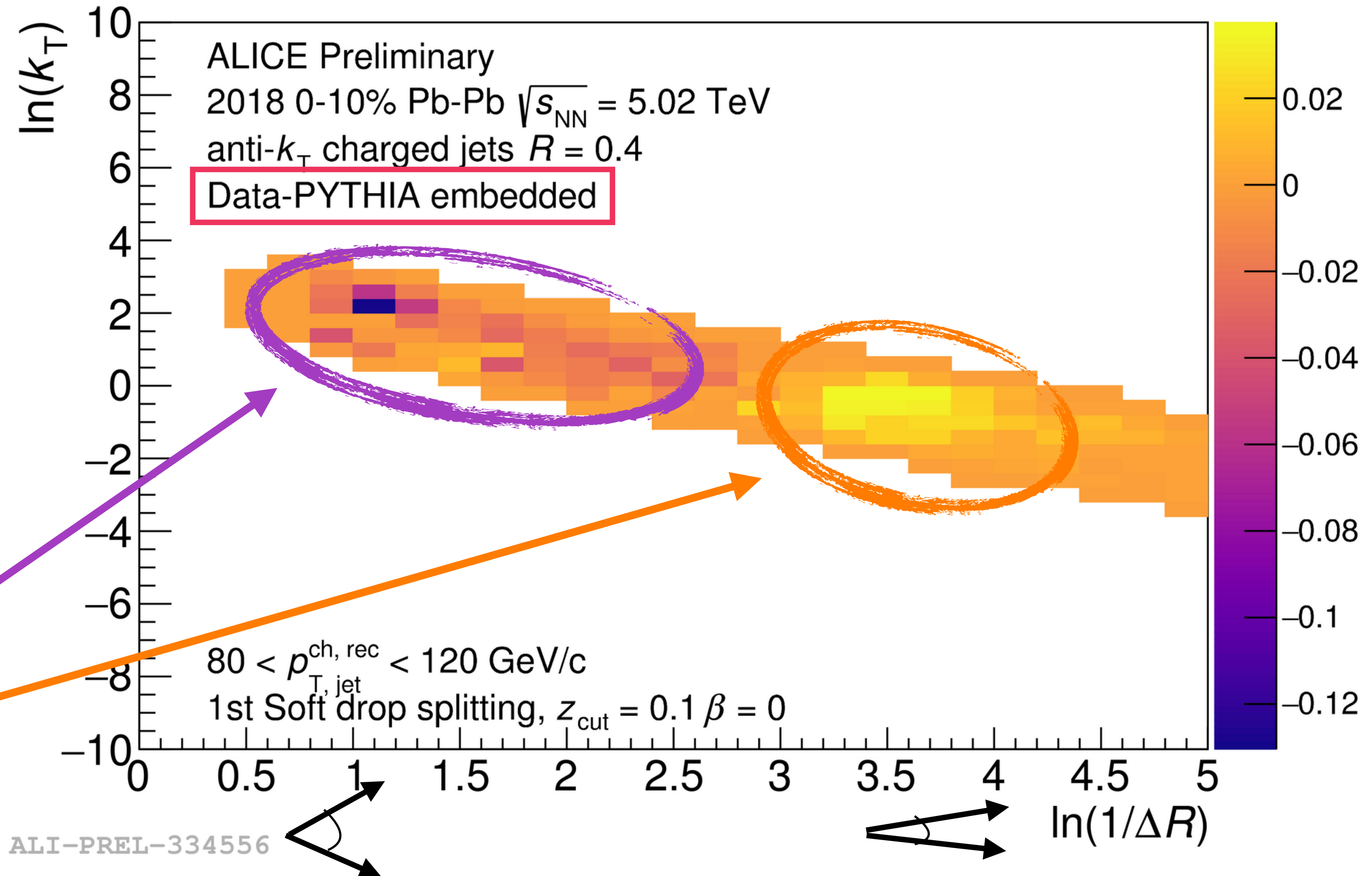
New 2018 0-10% Pb-Pb collision data at 5.02 TeV



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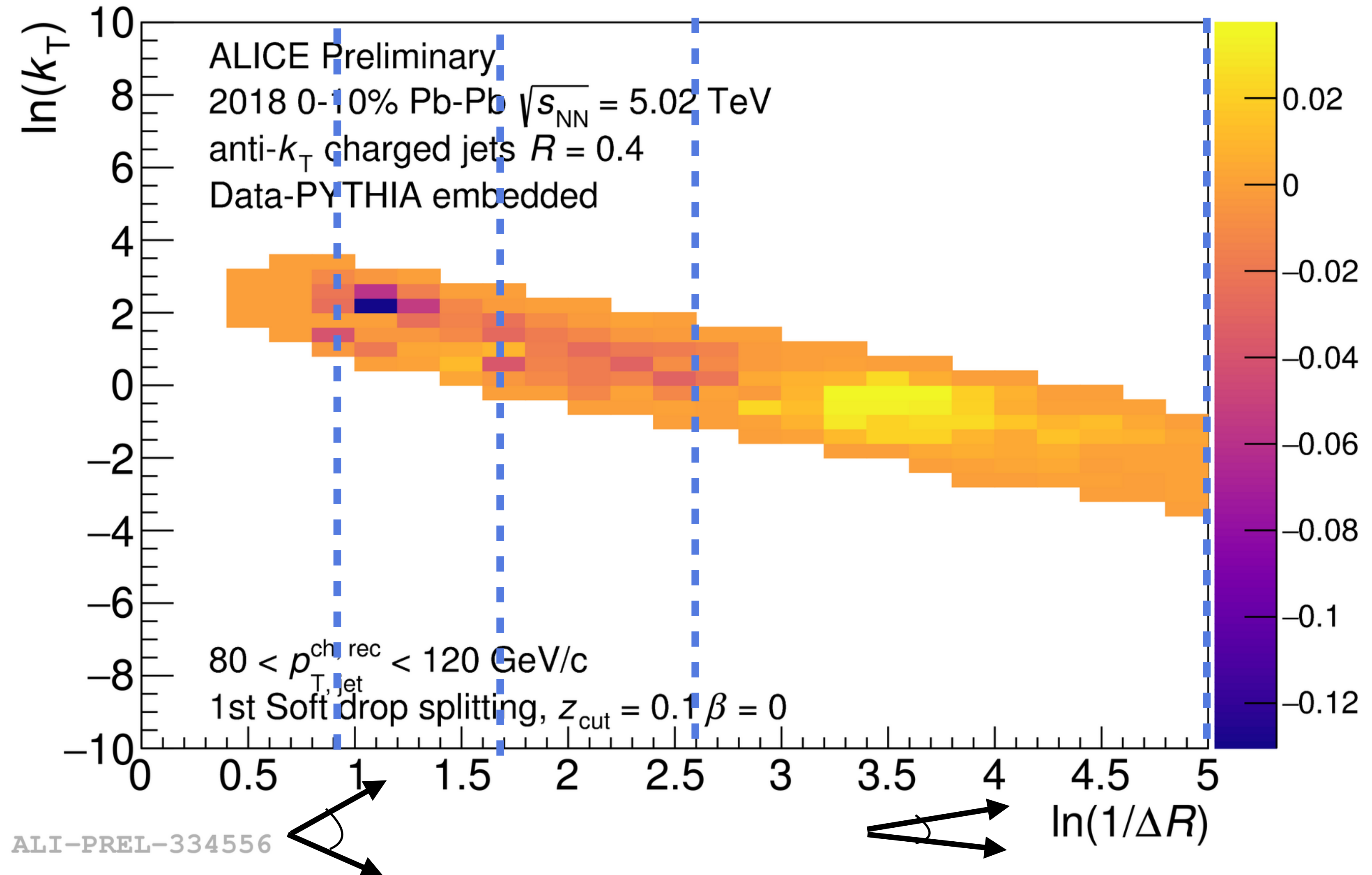
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- Subtract the embedded MC from the data in order to remove the effects from the large HI background
- Suppression at large ΔR and enhancement at small ΔR

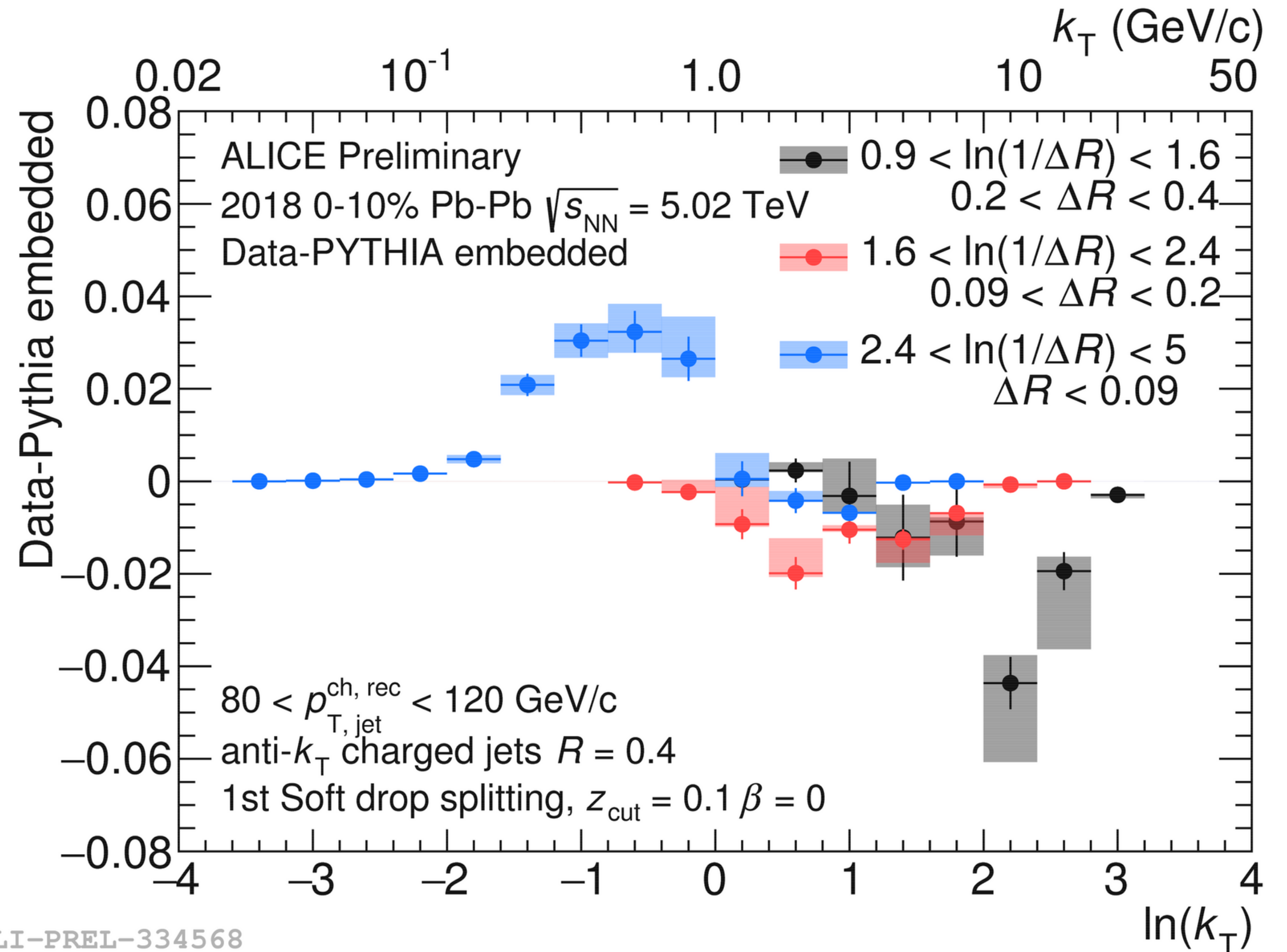


Exploring the Lund Plane in Data

- Next: look at projections onto the splitting scale (k_T) in ΔR bins



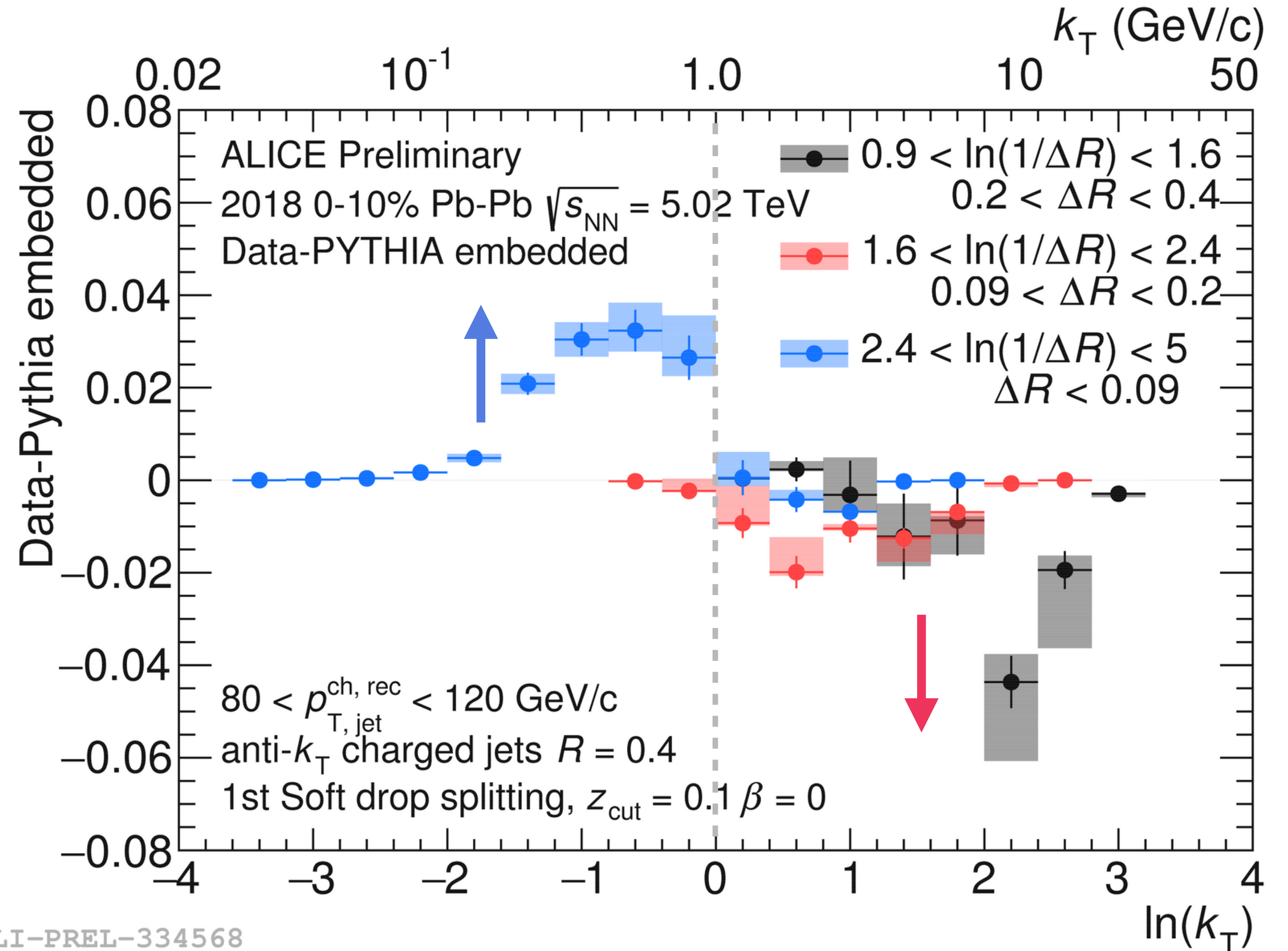
Lund Plane Projections



ALI-PREL-334568

Lund Plane Projections

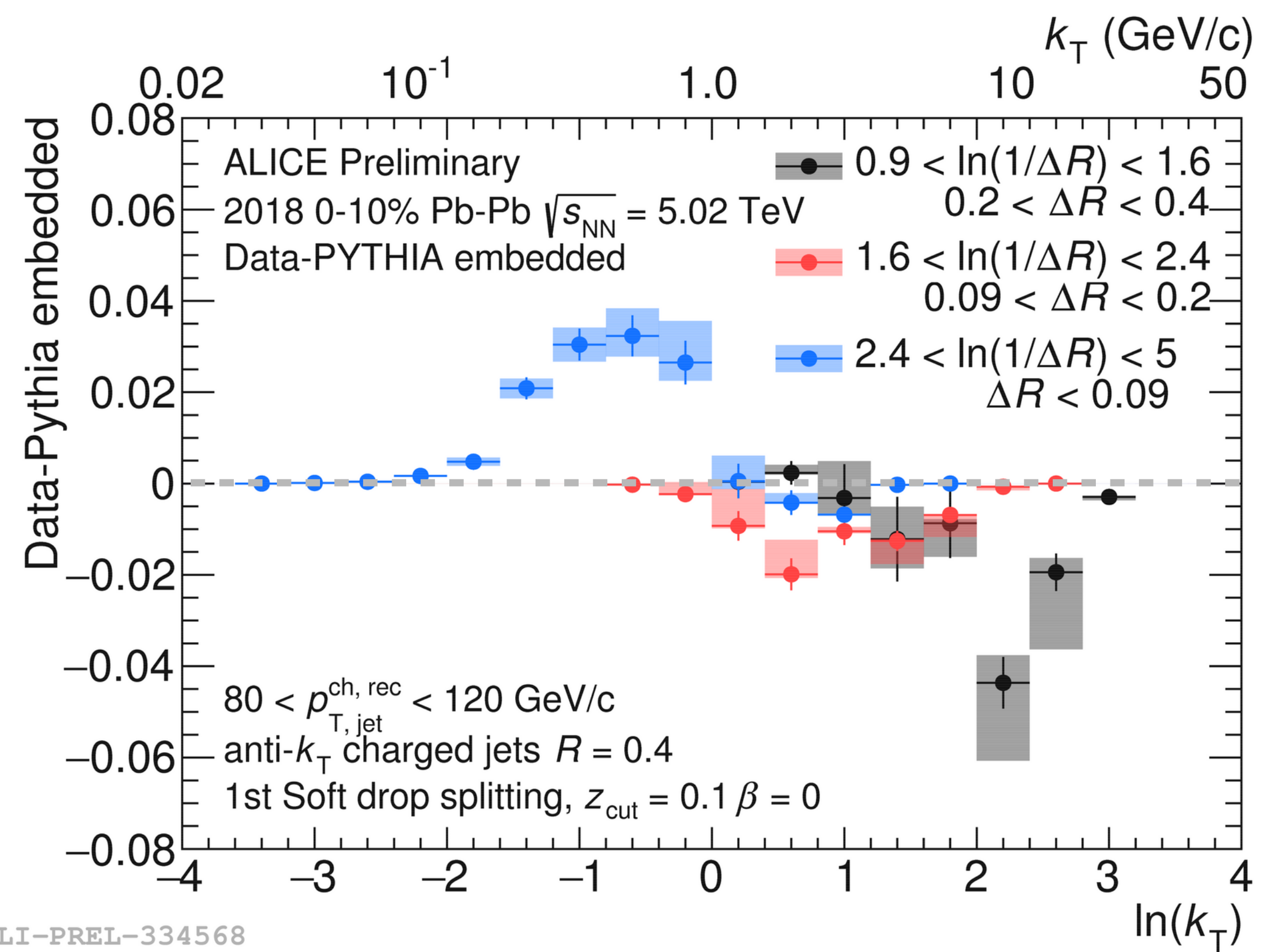
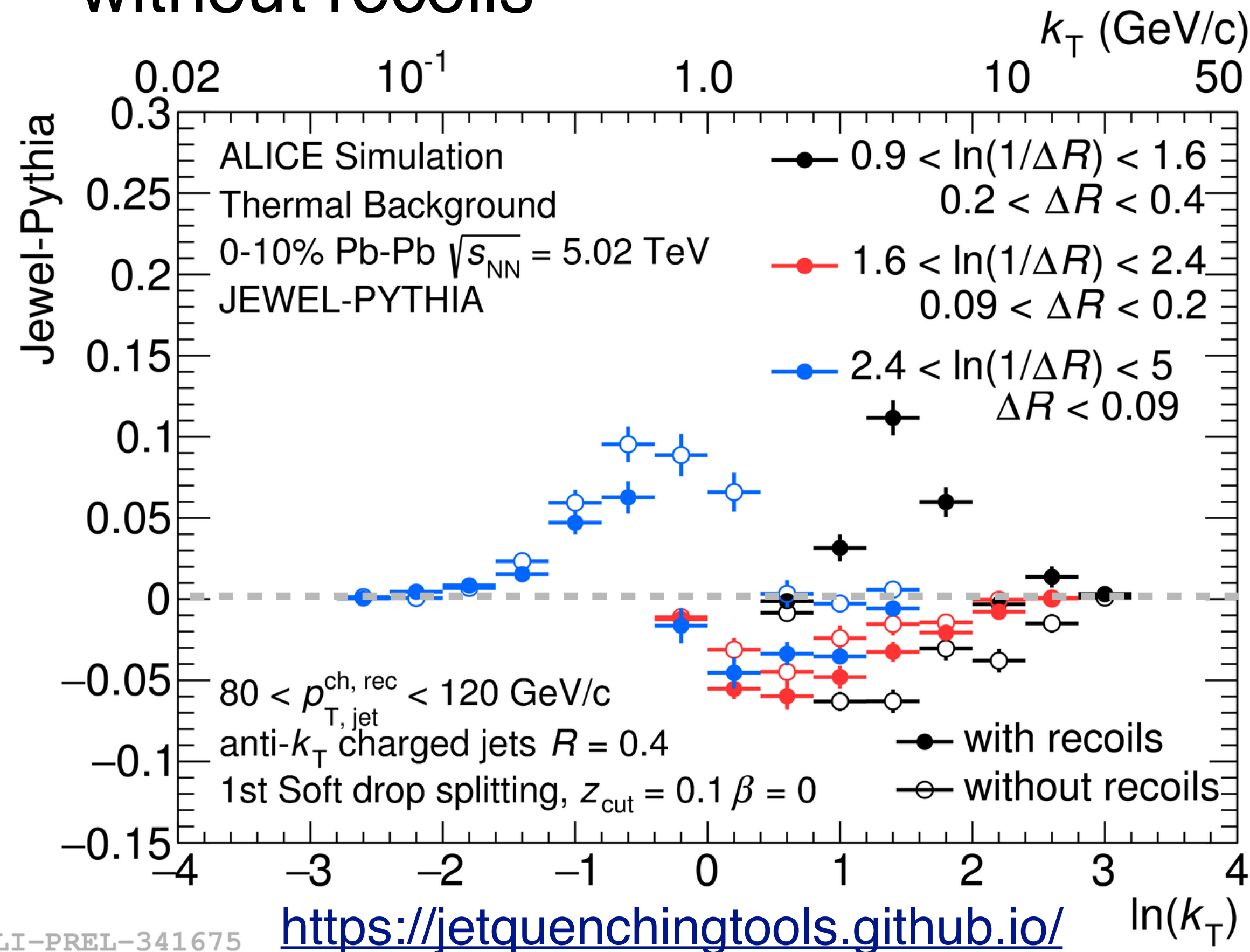
- **Suppression at large ΔR** and **enhancement at small ΔR**
- Consistent with idea the large angle splittings see more of the medium and are suppressed



ALI-PREL-334568

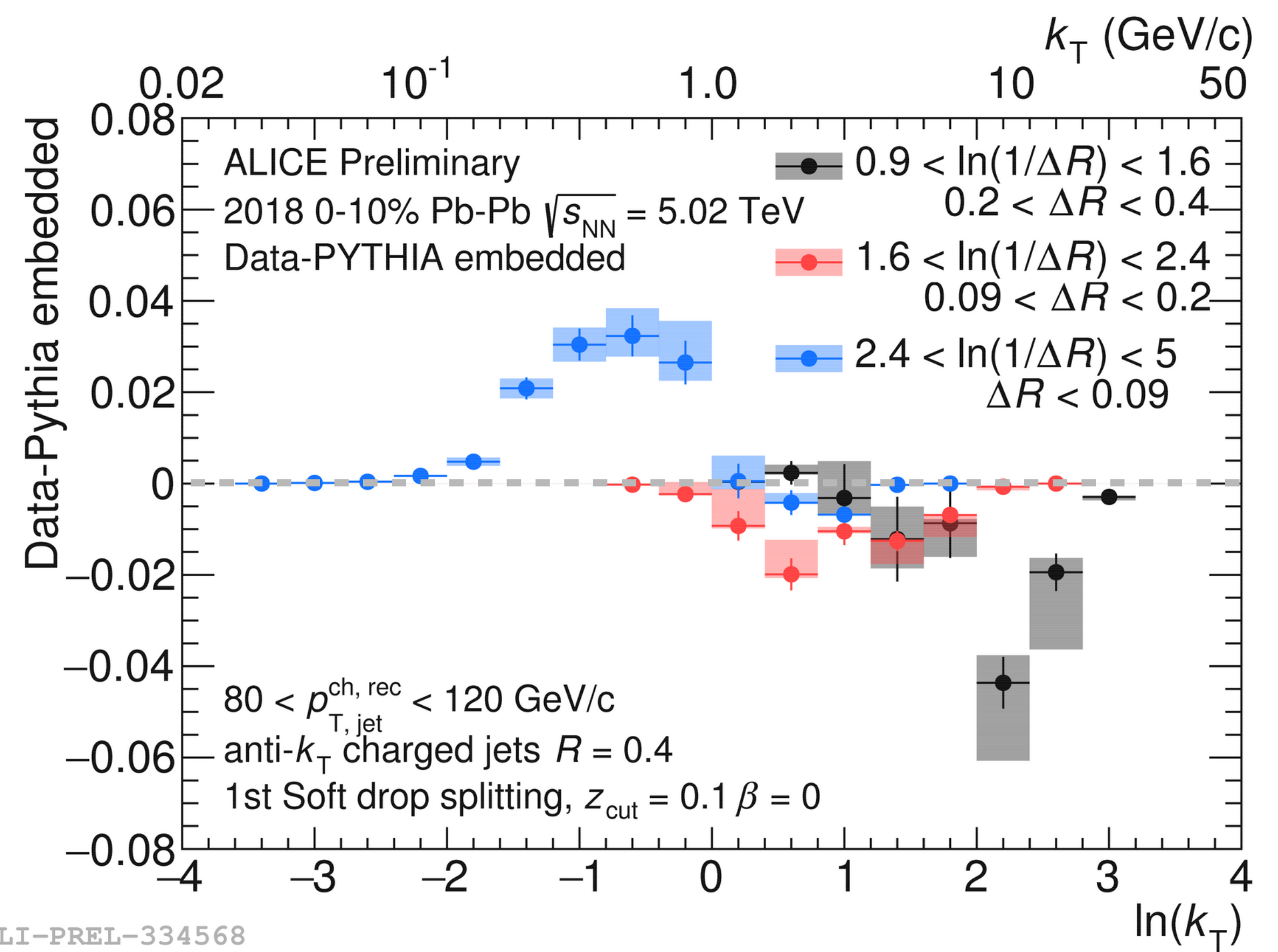
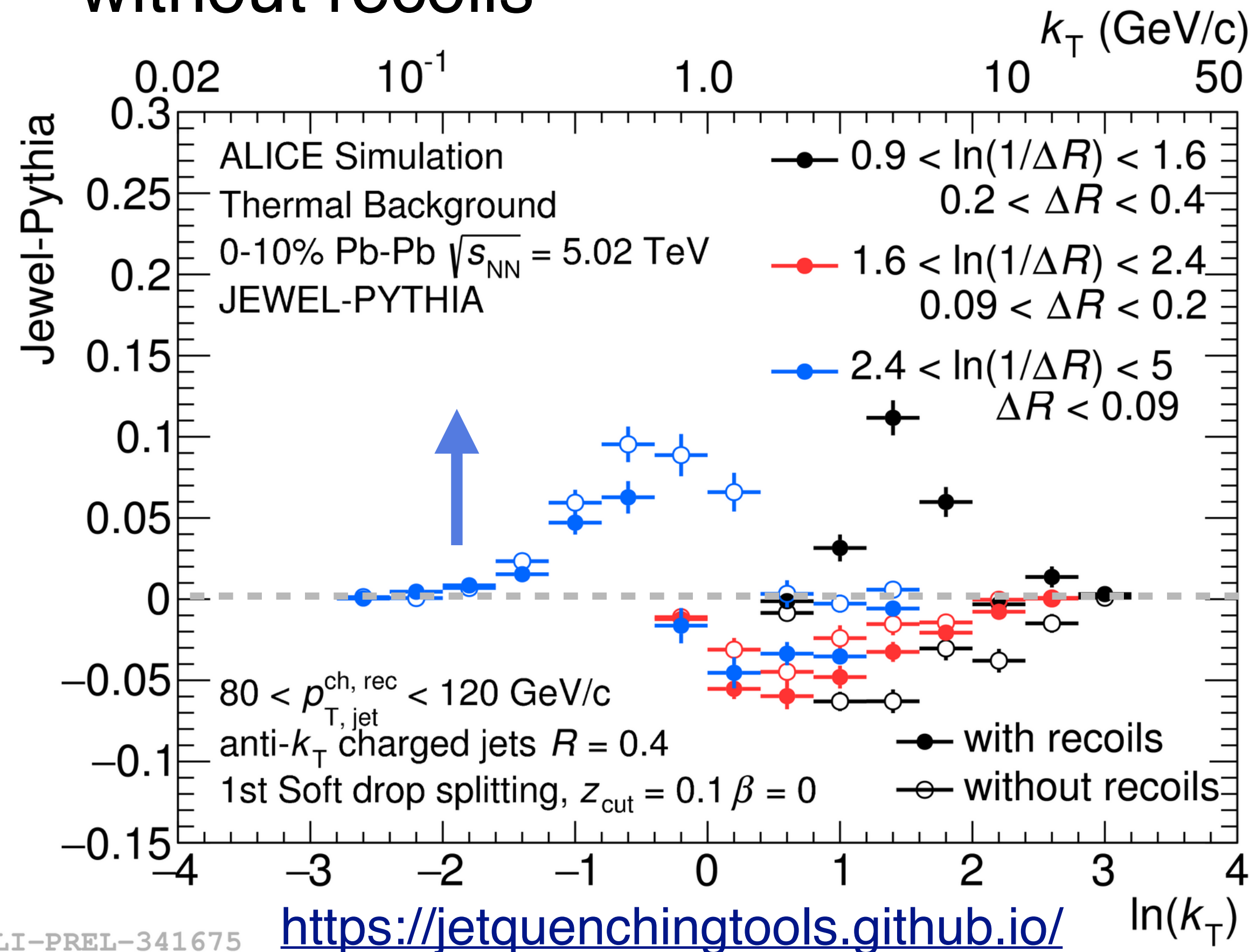
Lund Plane Projections

- Compare to JEWEL-PYTHIA embedded in a thermal background with and without recoils



Lund Plane Projections

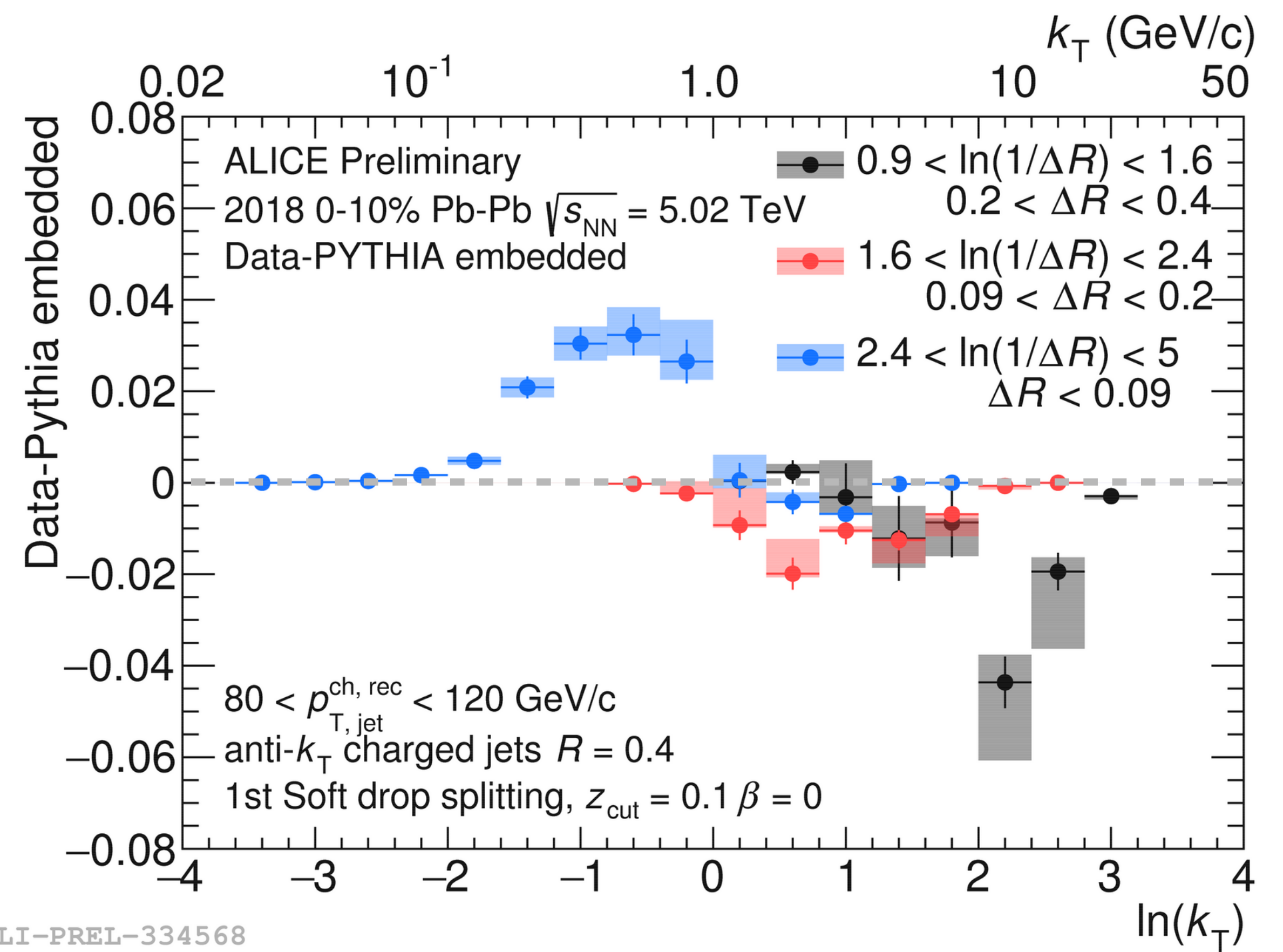
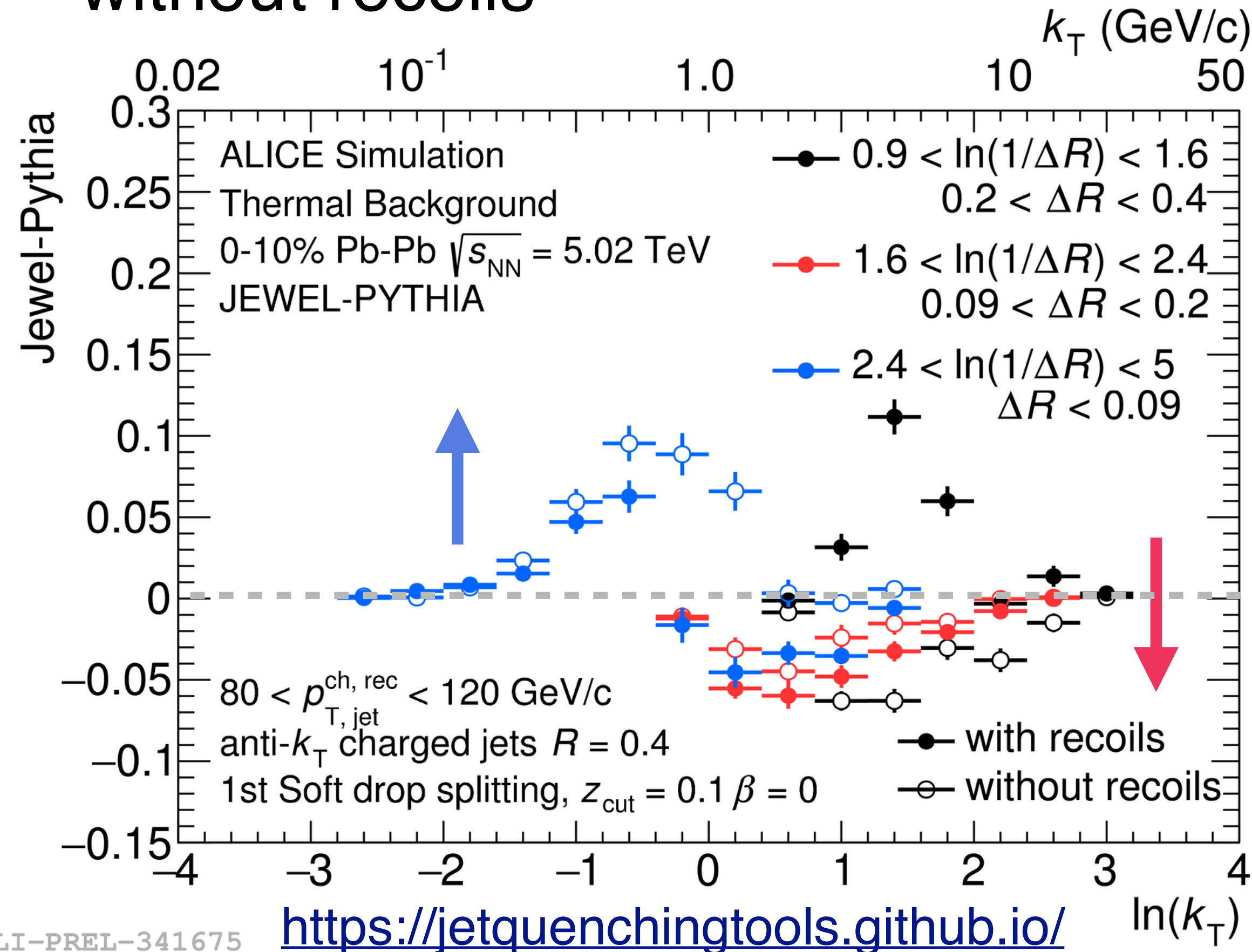
- Compare to JEWEL-PYTHIA embedded in a thermal background with and without recoils



- JEWEL shows enhancement at small R

Lund Plane Projections

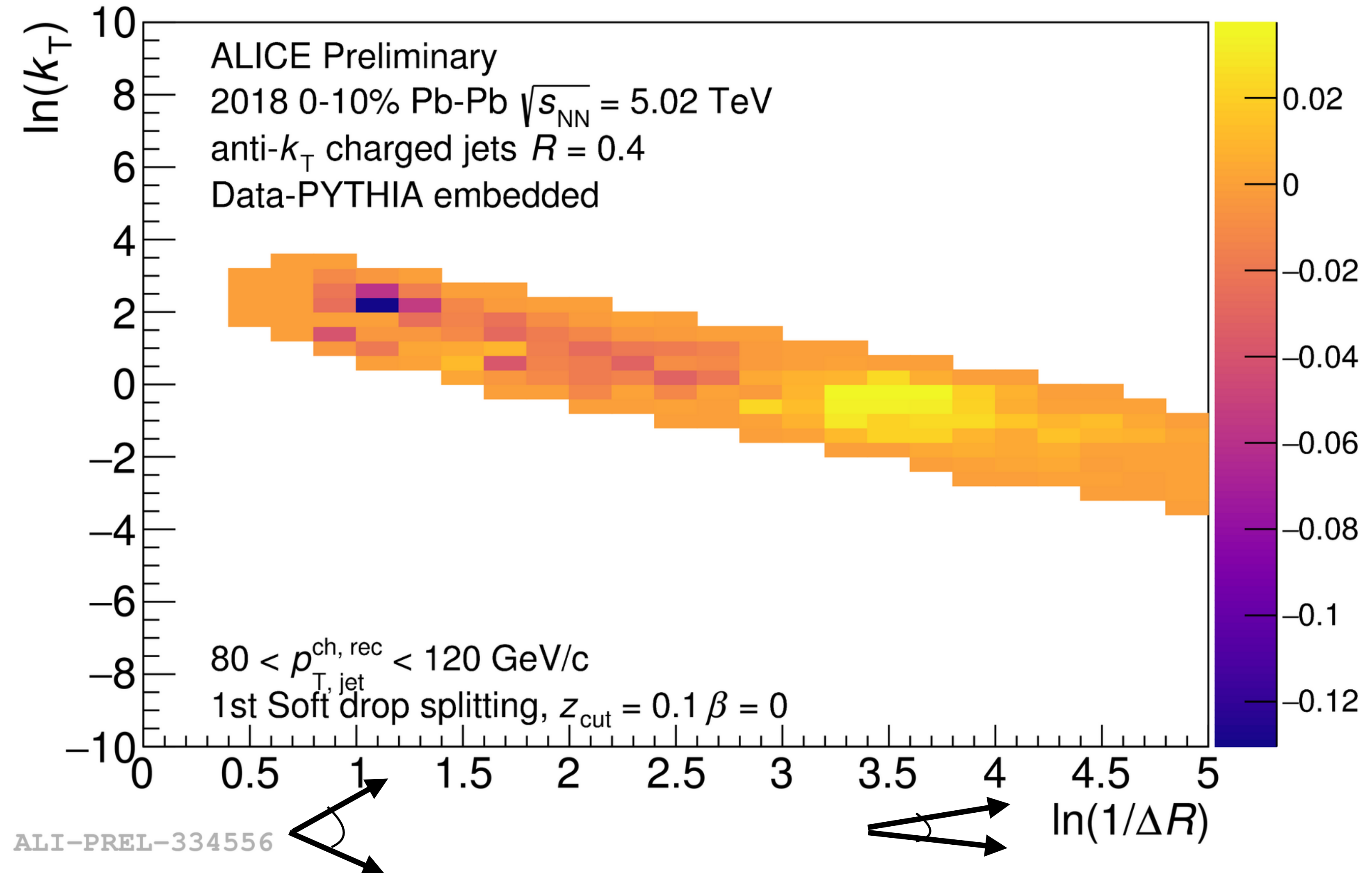
- Compare to JEWEL-PYTHIA embedded in a thermal background with and without recoils



- JEWEL shows enhancement at small R

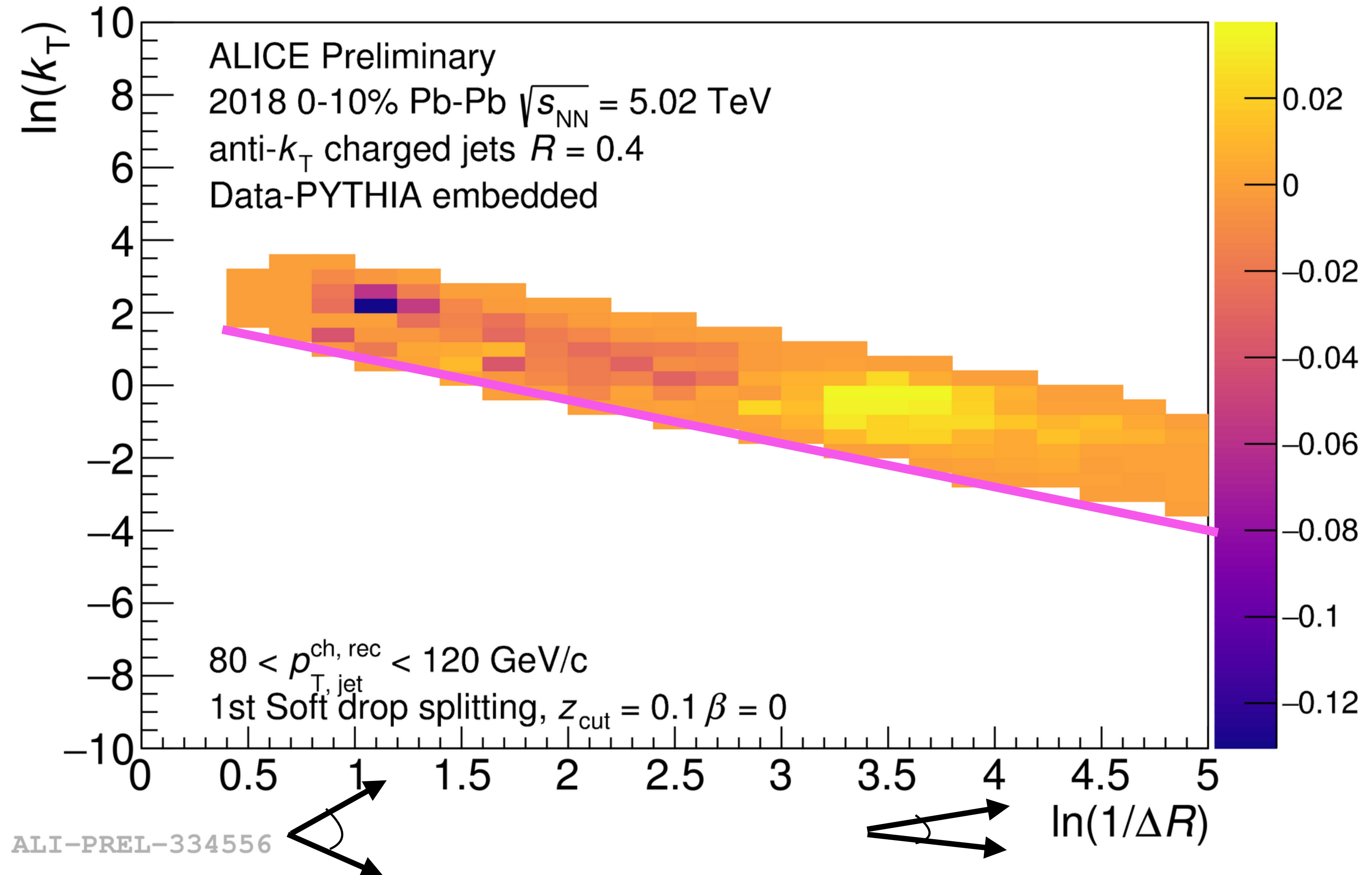
- JEWEL shows suppression at large R without recoils

Exploring the Lund Plane in Data



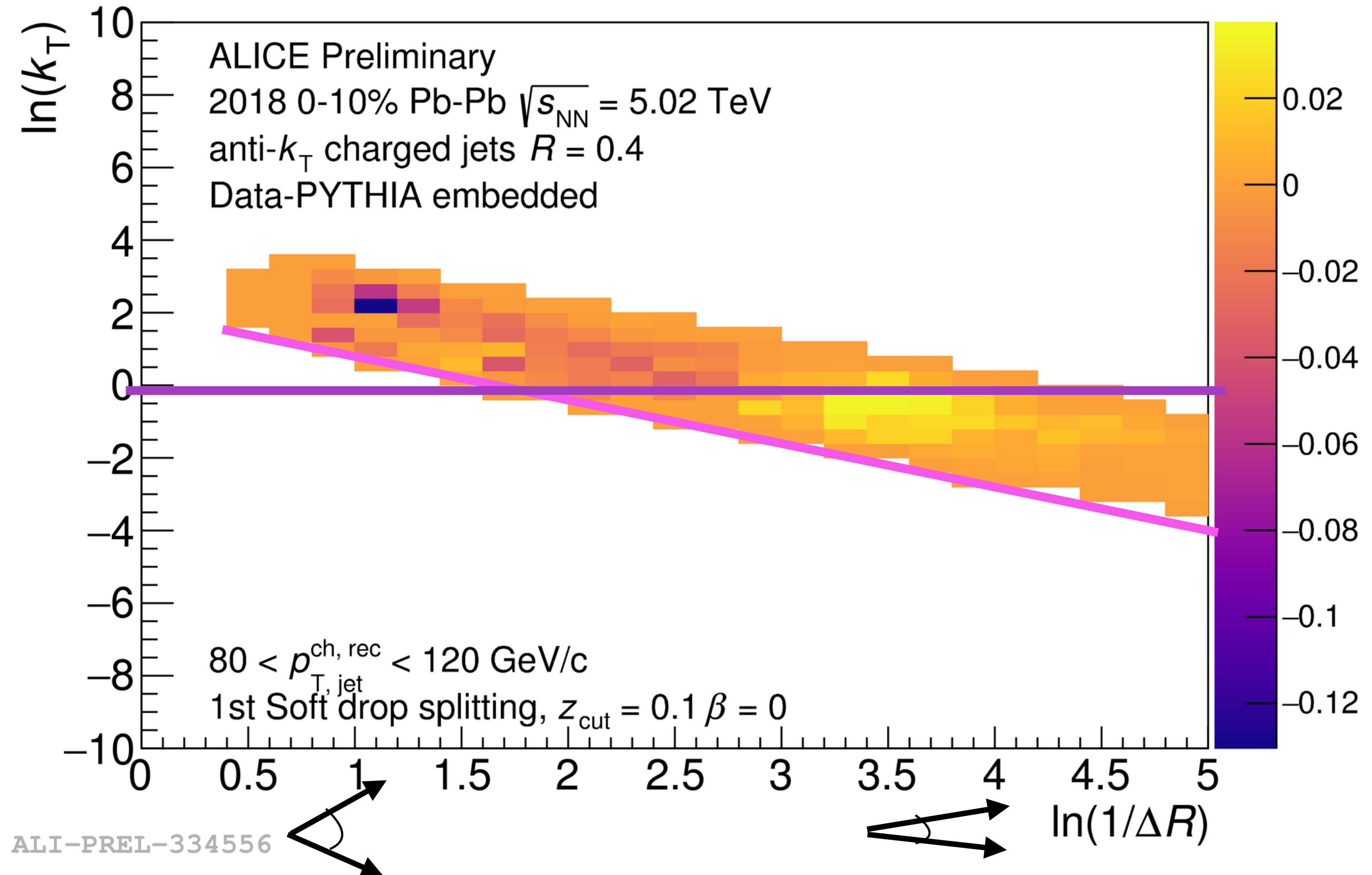
Exploring the Lund Plane in Data

- Soft drop (SD) grooming to access hard splitting



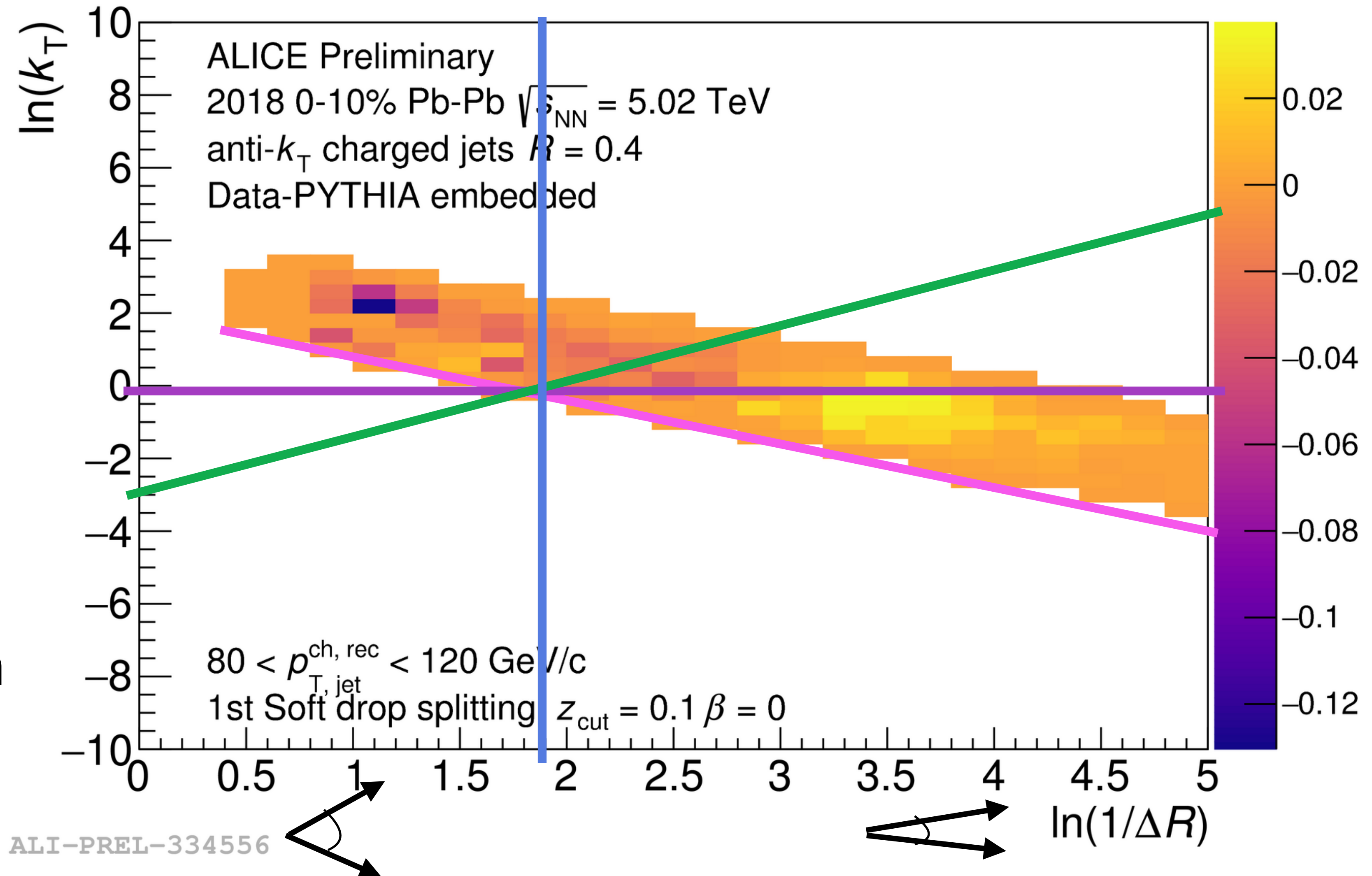
Exploring the Lund Plane in Data

- Soft drop (SD) grooming to access hard splitting
- $\ln(k_T) > 0$ cut to remove non-perturbative region



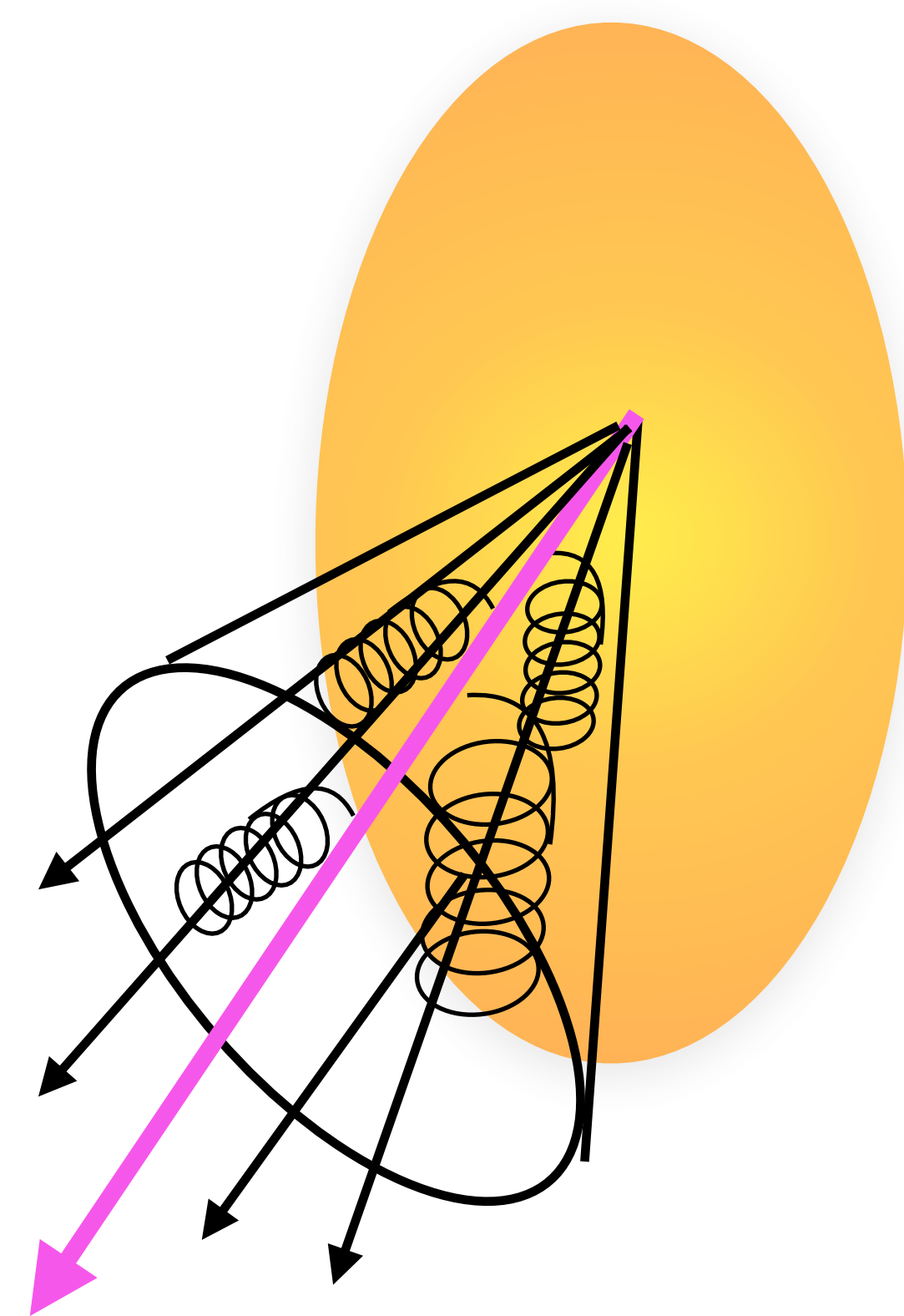
Exploring the Lund Plane in Data

- Soft drop (SD) grooming to access hard splitting
- $\ln(k_T) > 0$ cut to remove non-perturbative region
- R_g explores time evolution of jet splitting



Groomed variables

- Soft drop grooming variables probe jet splitting



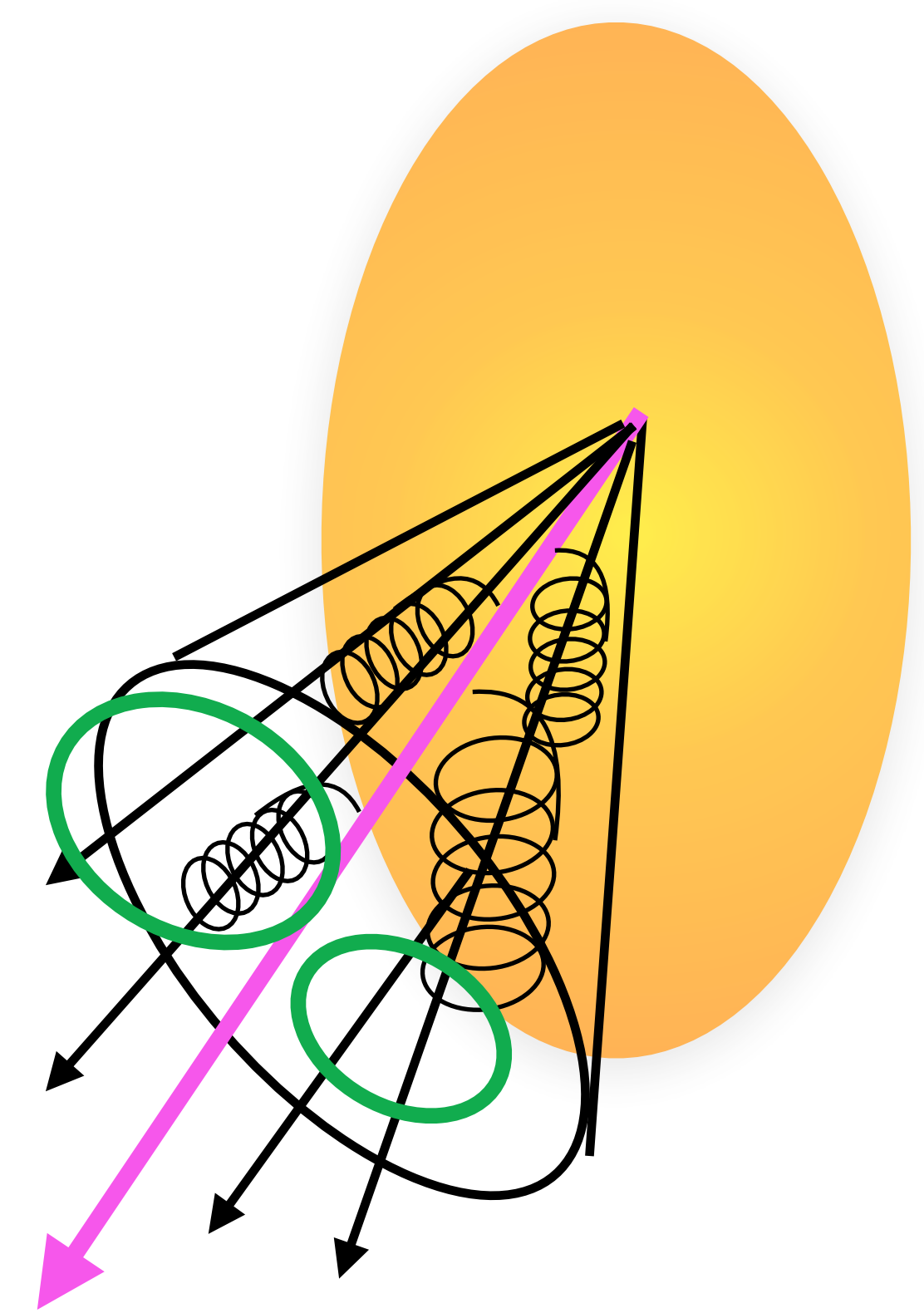
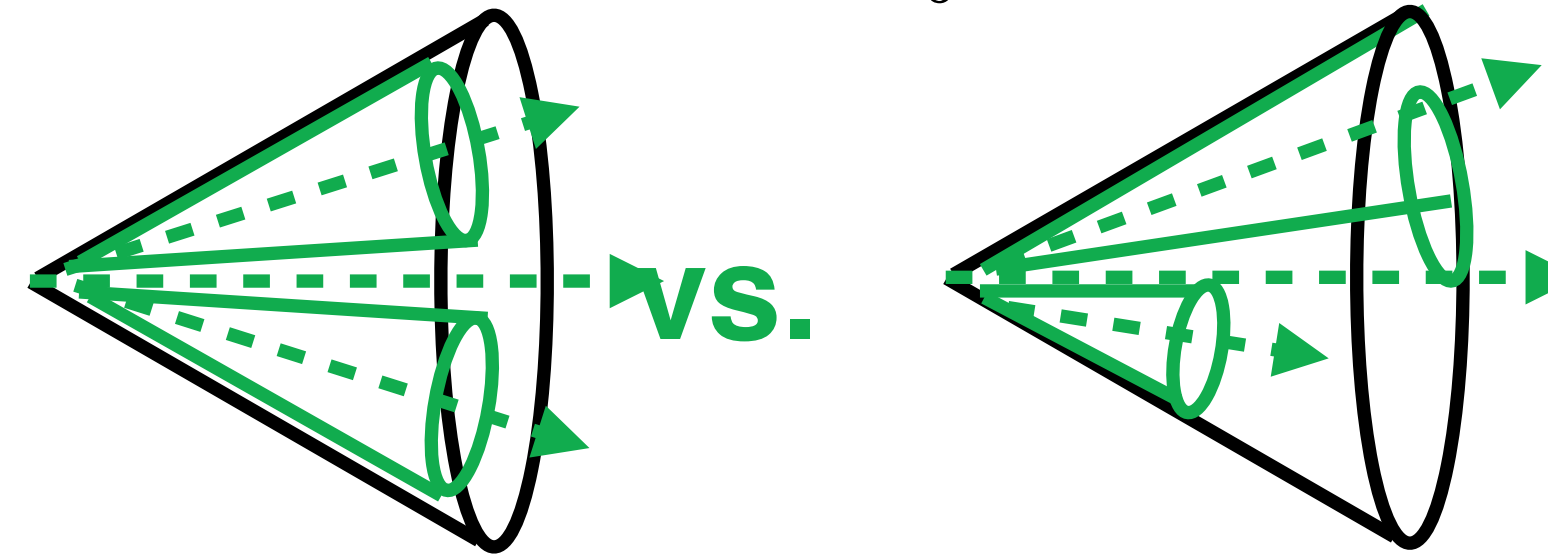
Groomed variables

- Soft drop grooming variables probe jet splitting

➔ z_g : shared momentum fraction between two hardest subjets in parton shower

How symmetric is the jet splitting?

$$z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$



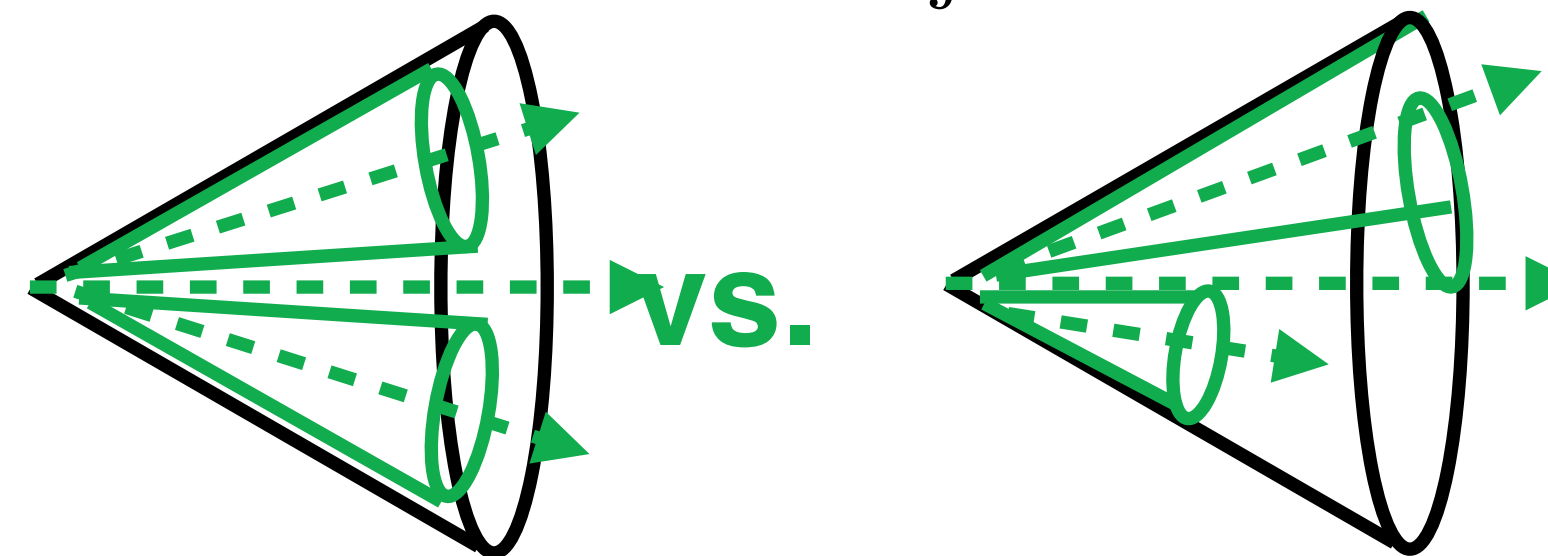
Groomed variables

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How symmetric is the jet splitting?

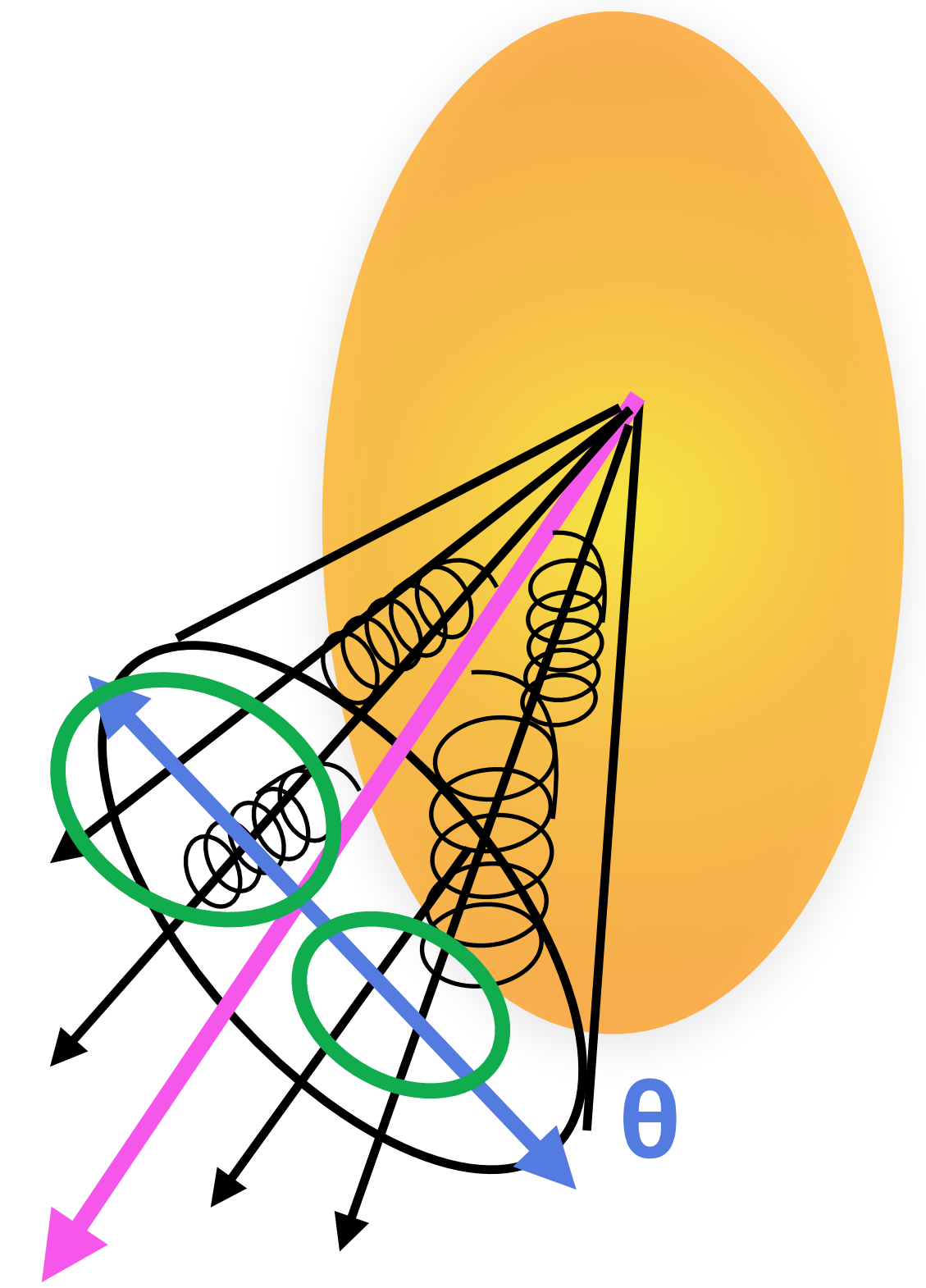
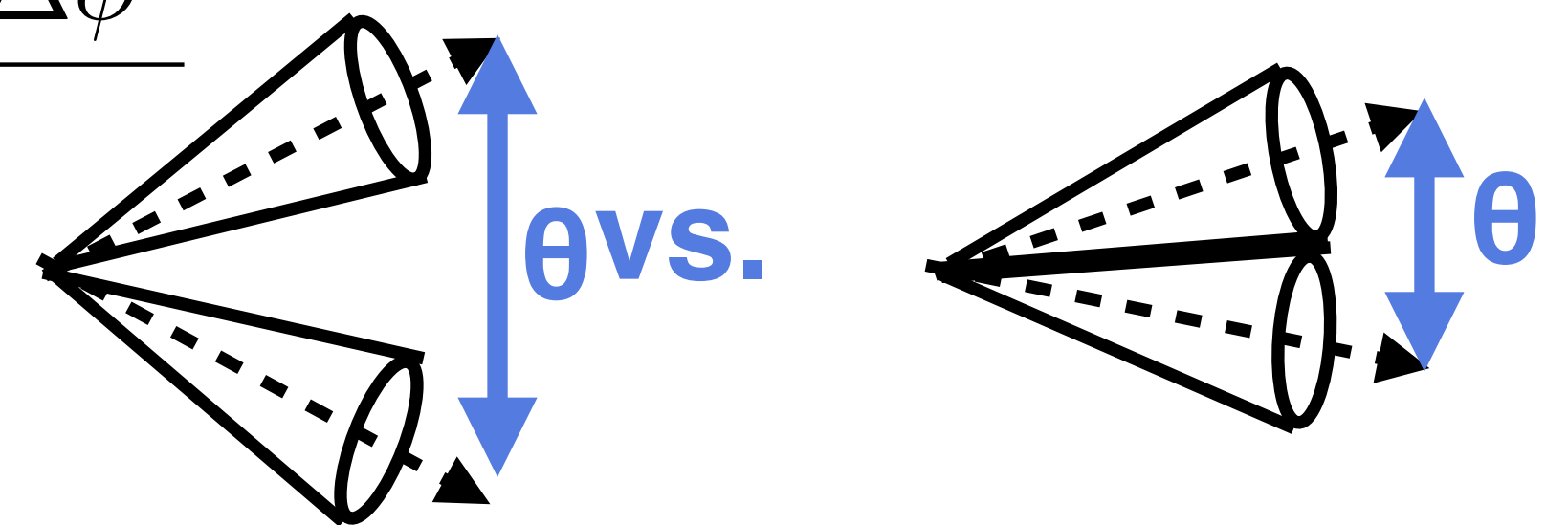
$$z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$



➡ θ_g : distance between subjets

How far apart are the subjets?

$$\theta_g = \frac{R_g}{R} = \frac{\sqrt{\Delta\eta^2 + \Delta\phi^2}}{R}$$



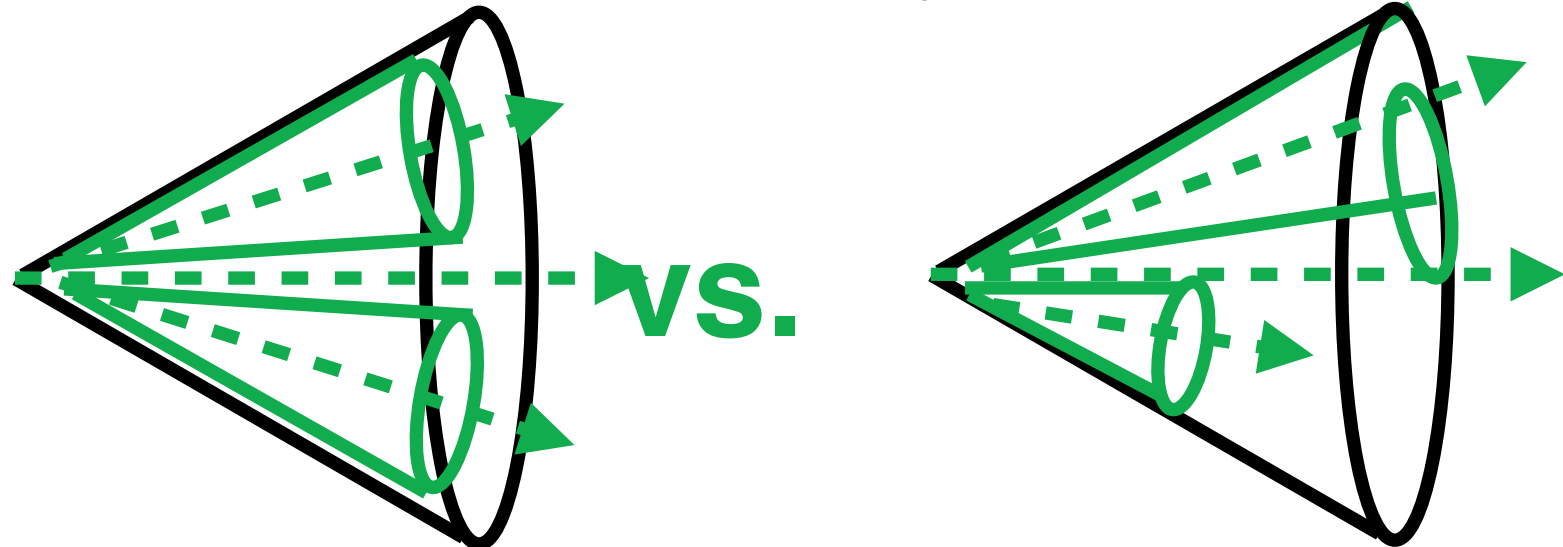
Groomed variables

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$$z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$

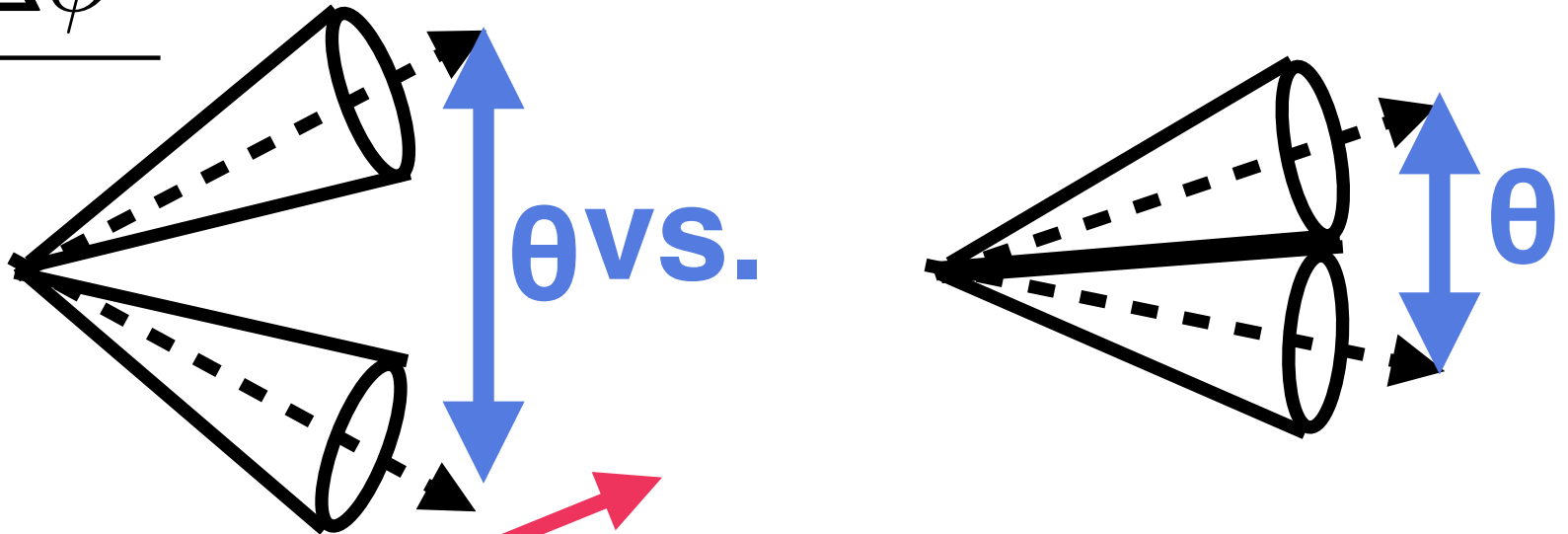
How symmetric is the jet splitting?



➔ θ_g : distance between subjets

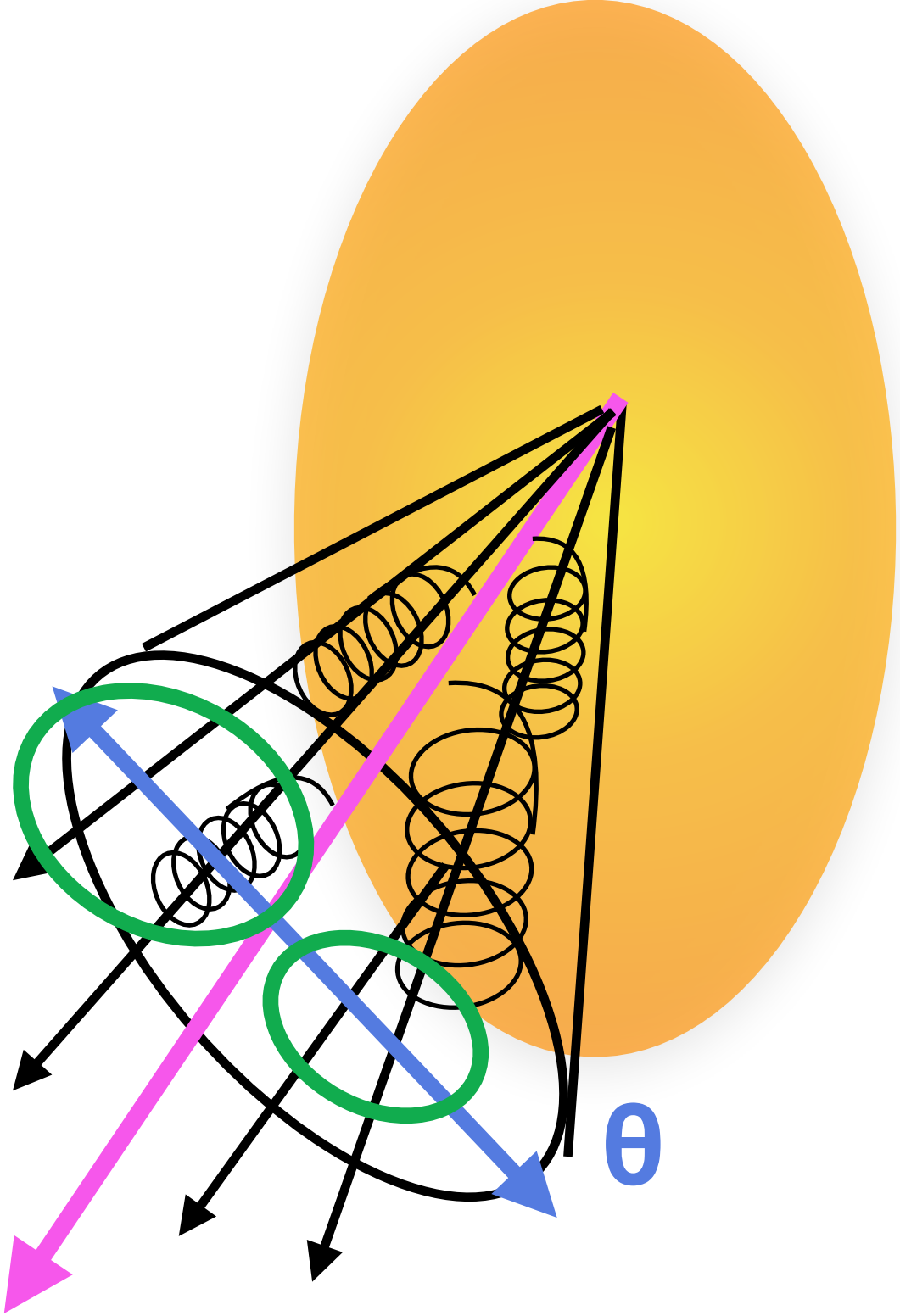
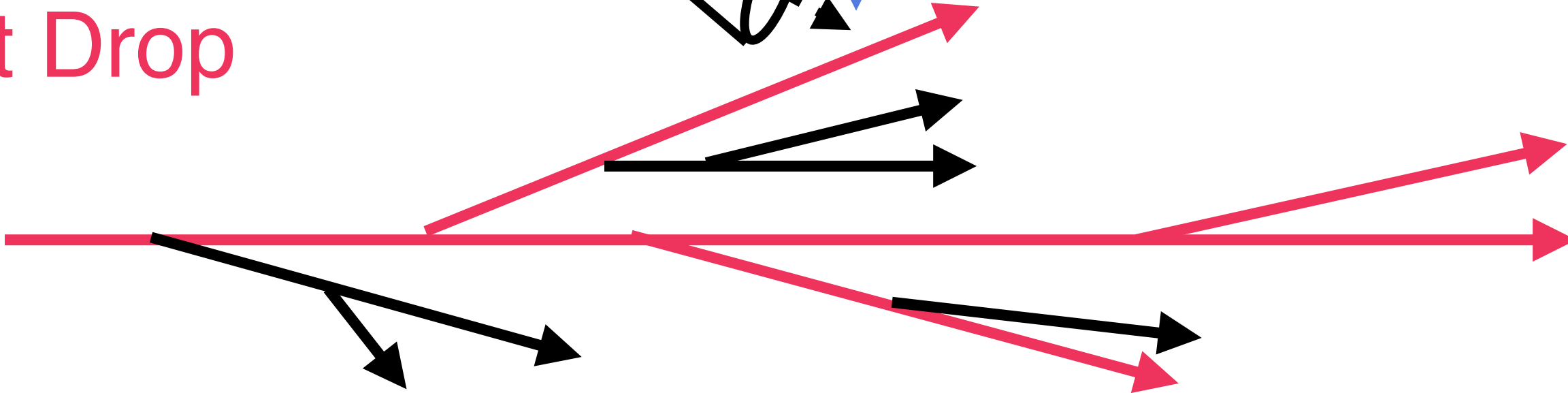
$$\theta_g = \frac{R_g}{R} = \frac{\sqrt{\Delta\eta^2 + \Delta\phi^2}}{R}$$

How far apart are the subjets?



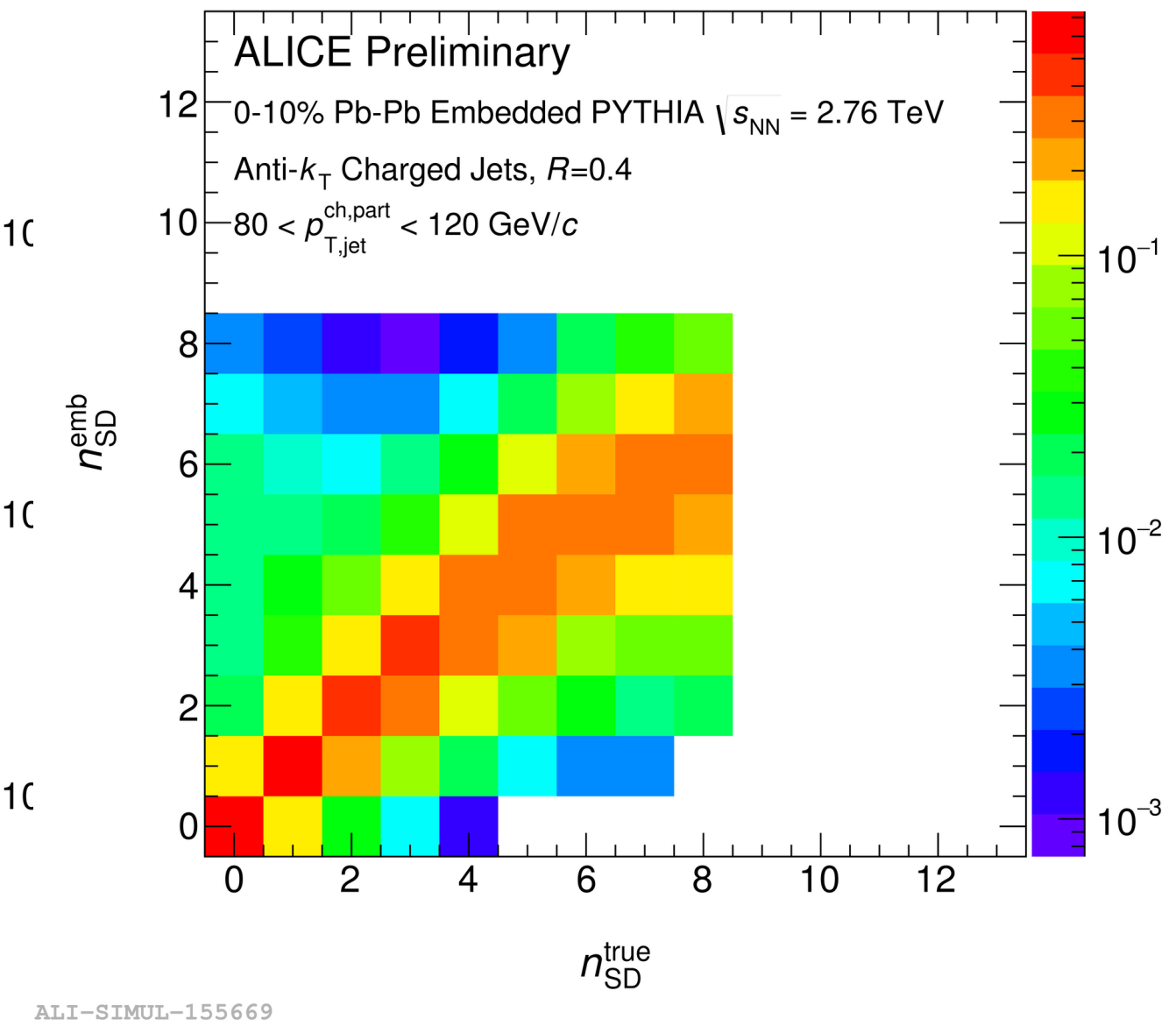
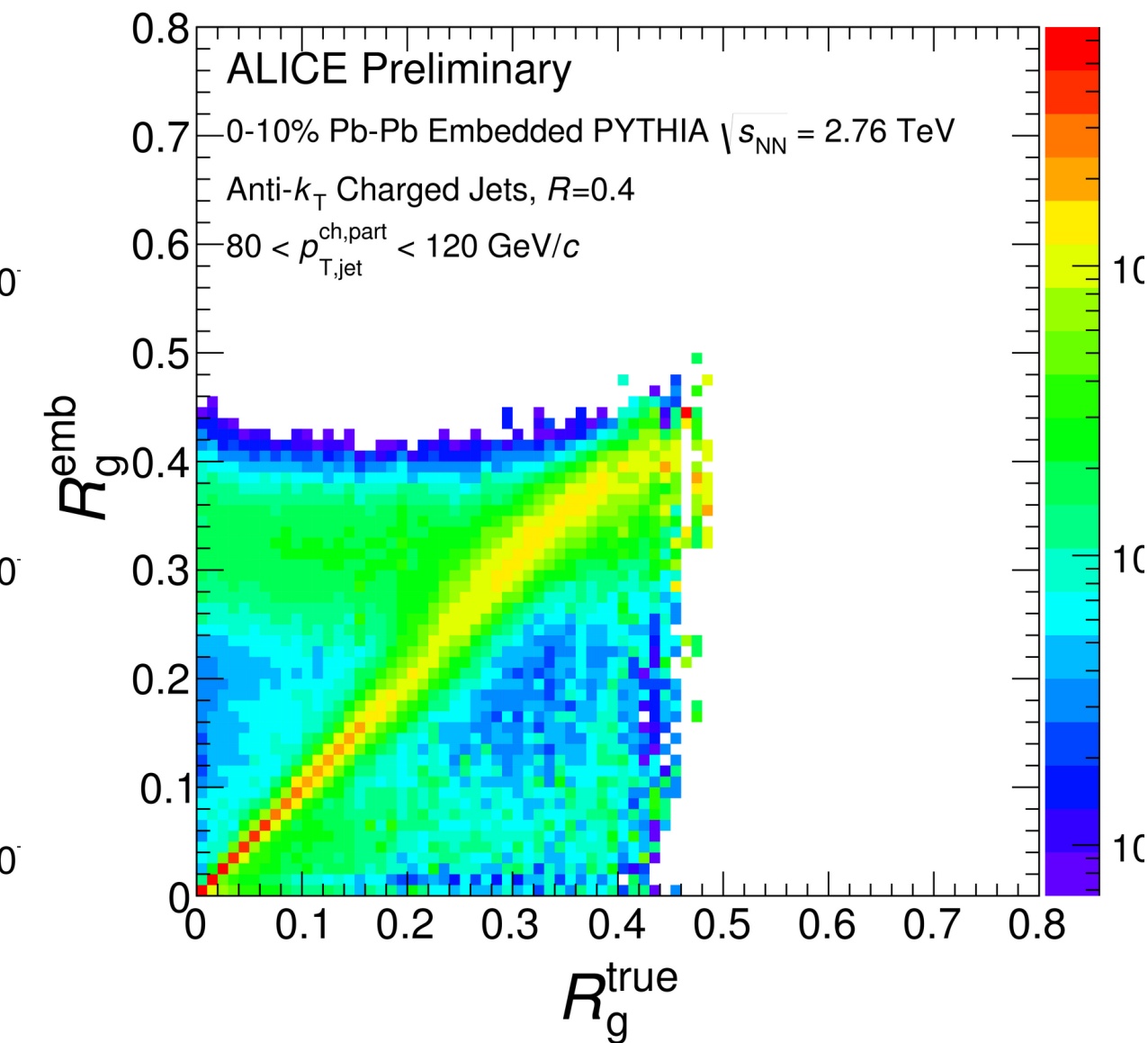
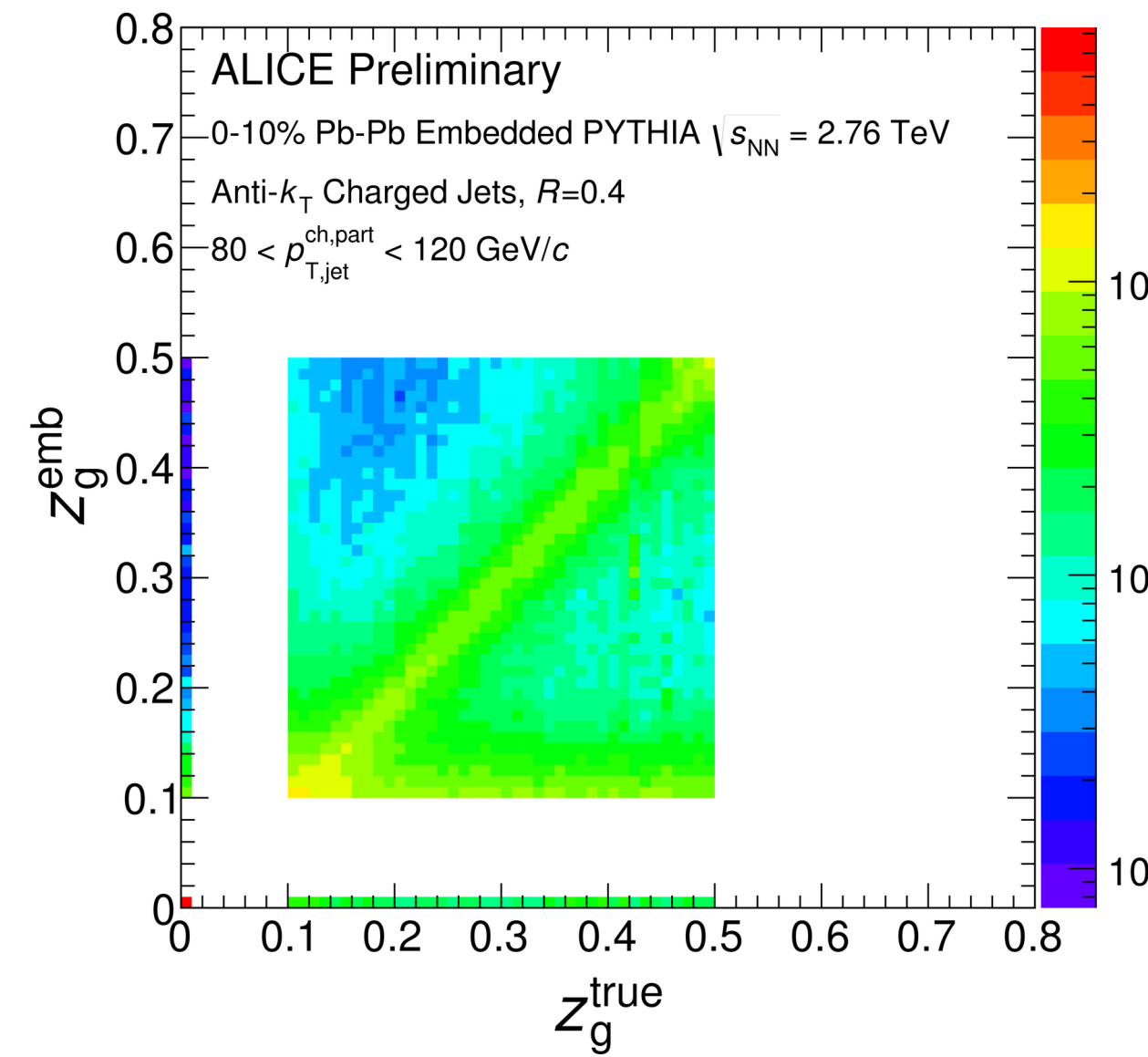
➔ n_{SD} : number of splittings passing Soft Drop

Number of subjets within a jet?



Background treatment

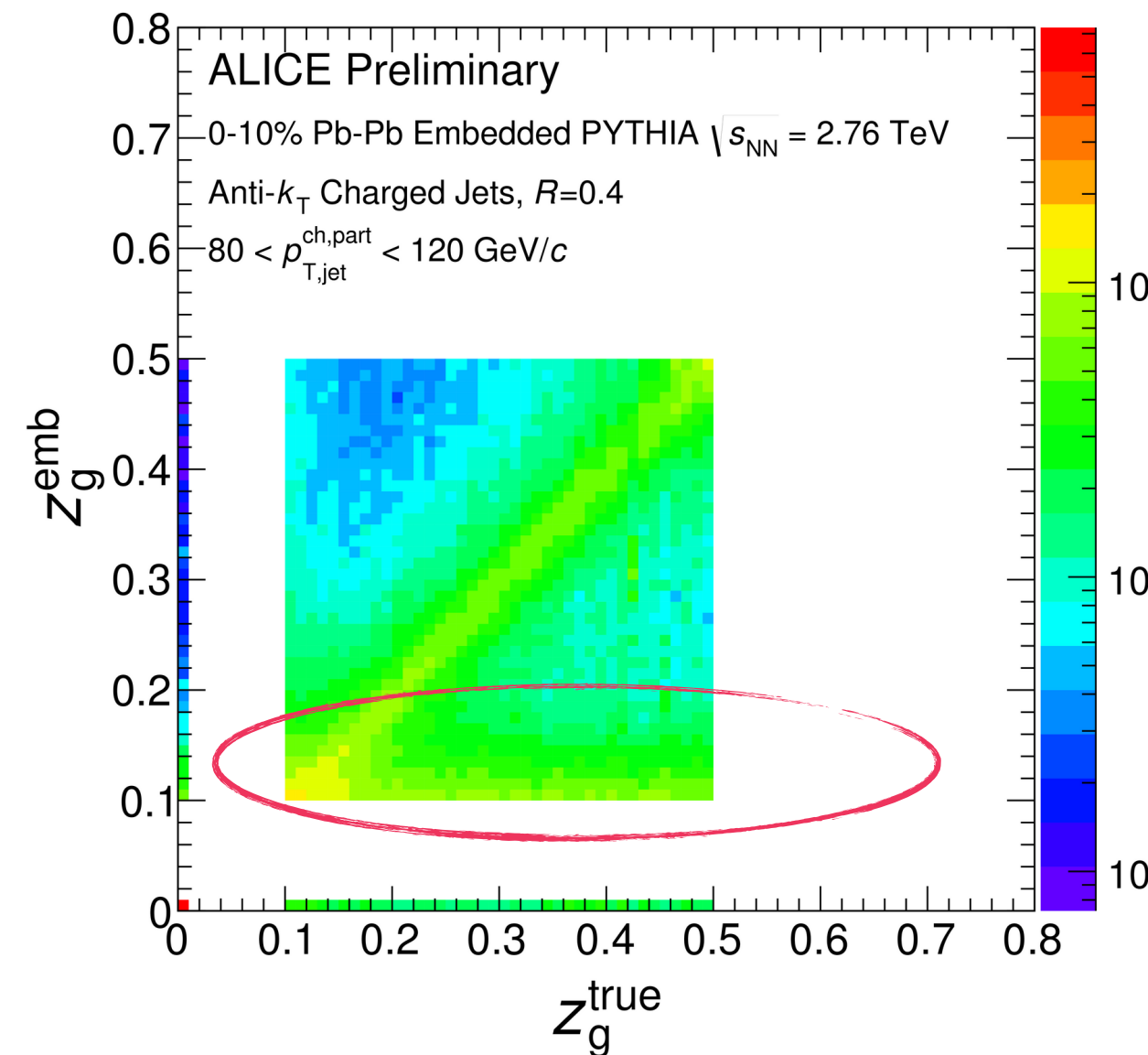
- Uncorrelated background leads to subjects being picked up as incorrect or “fake” splittings



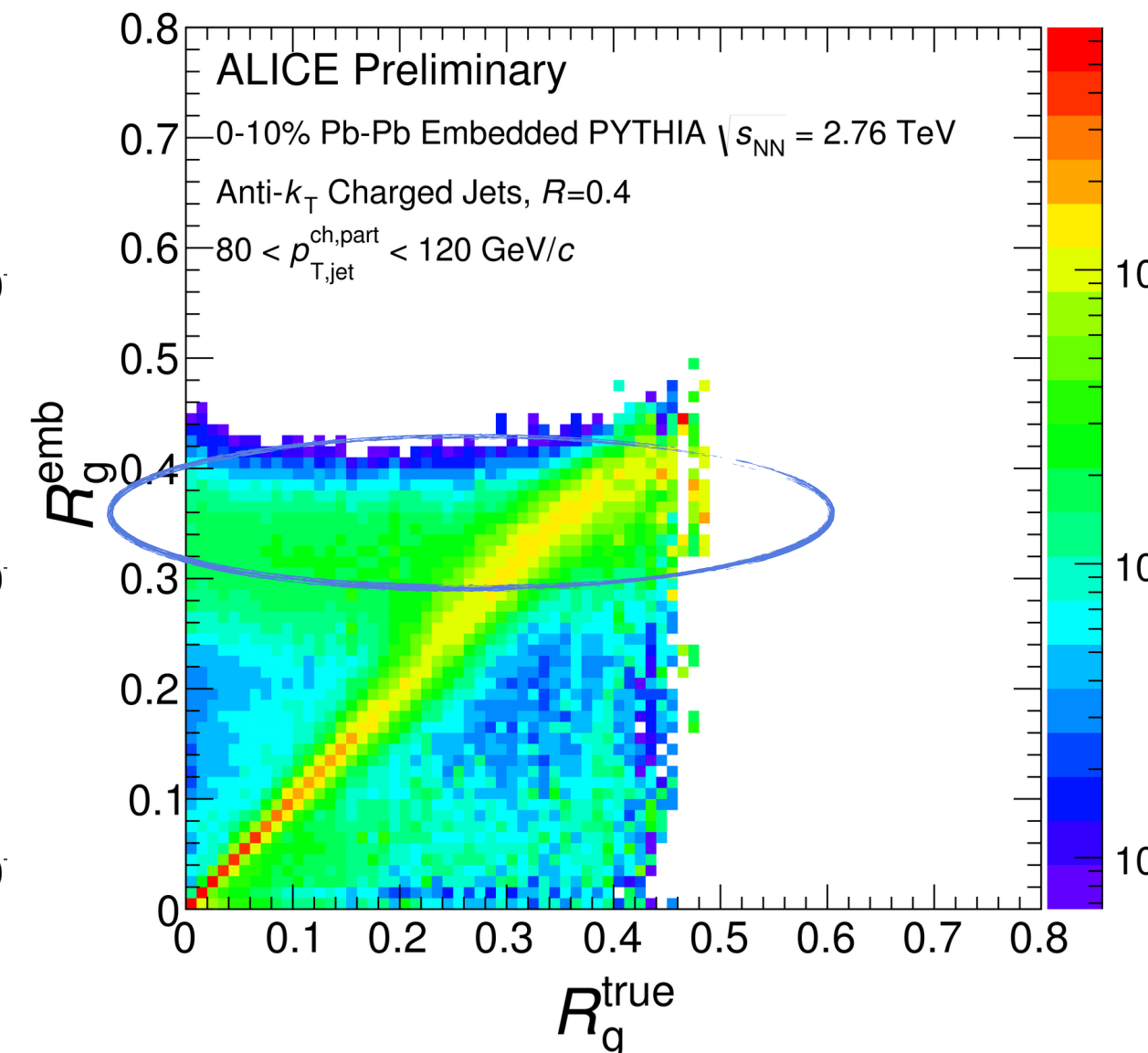
Background treatment

- Uncorrelated background leads to subjects being picked up as incorrect or “fake” splittings

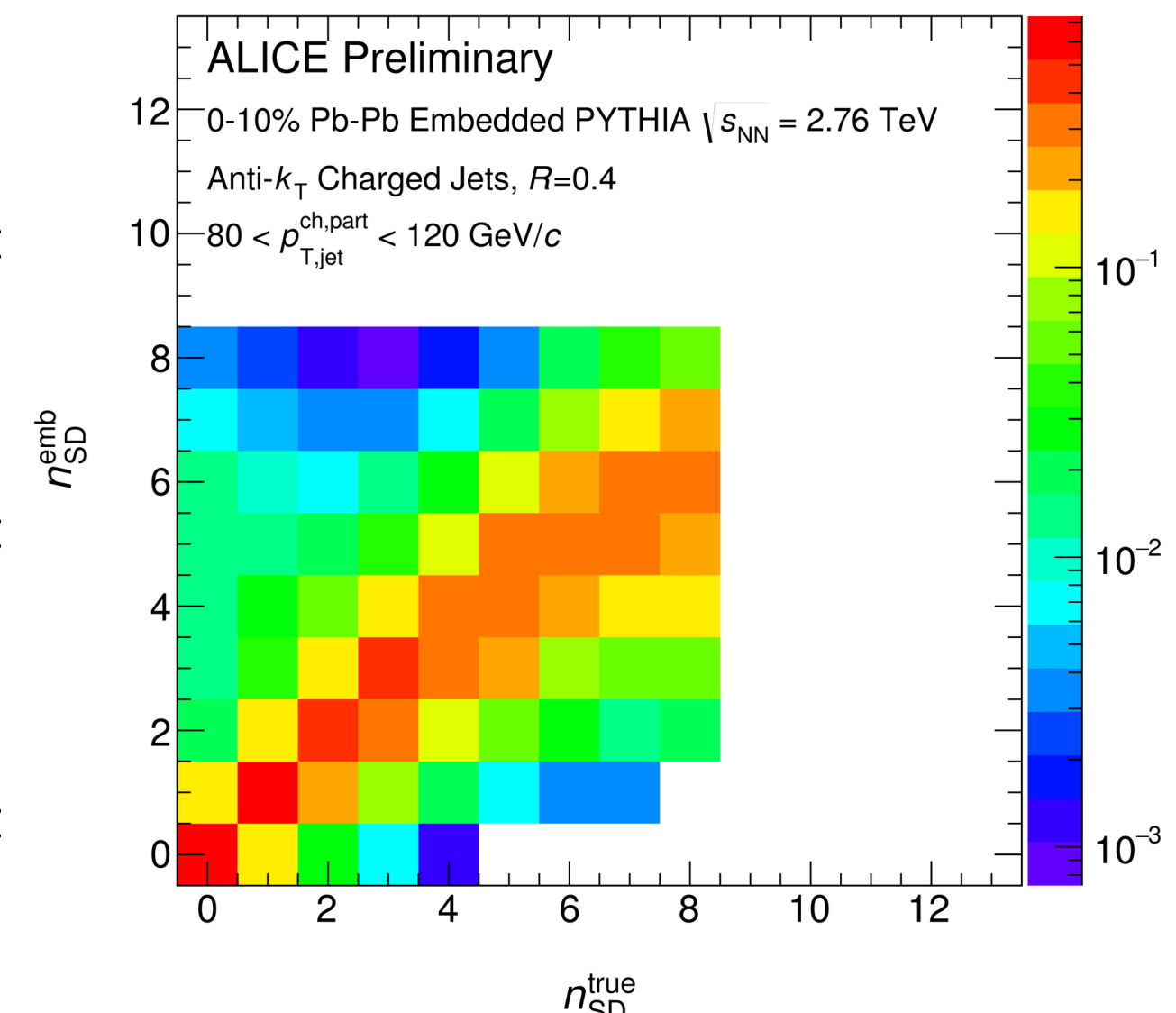
dominate at low z_g



and at large R_g



gone in n_{SD} ?

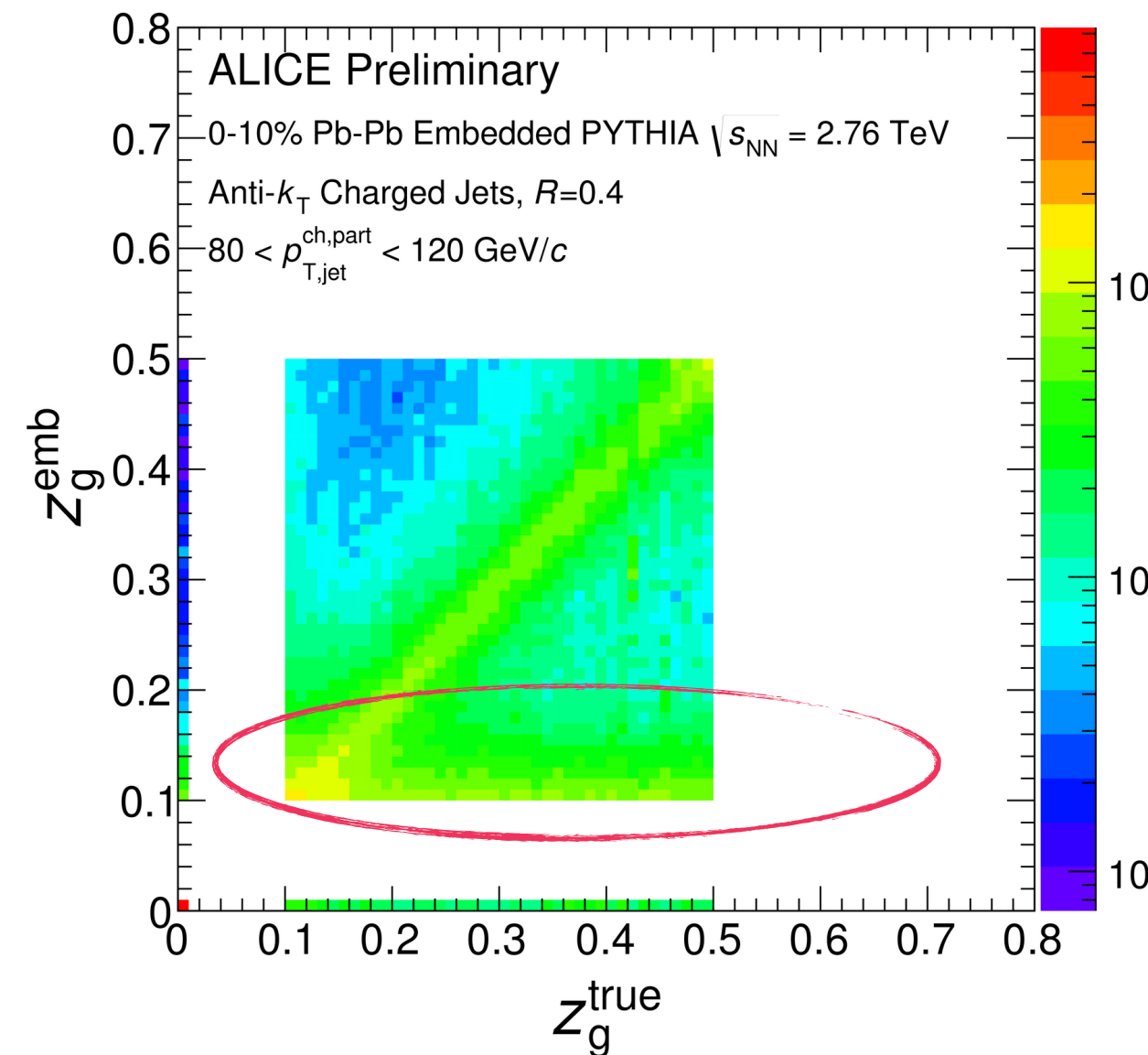


► *Non-diagonal response prohibits unfolding*

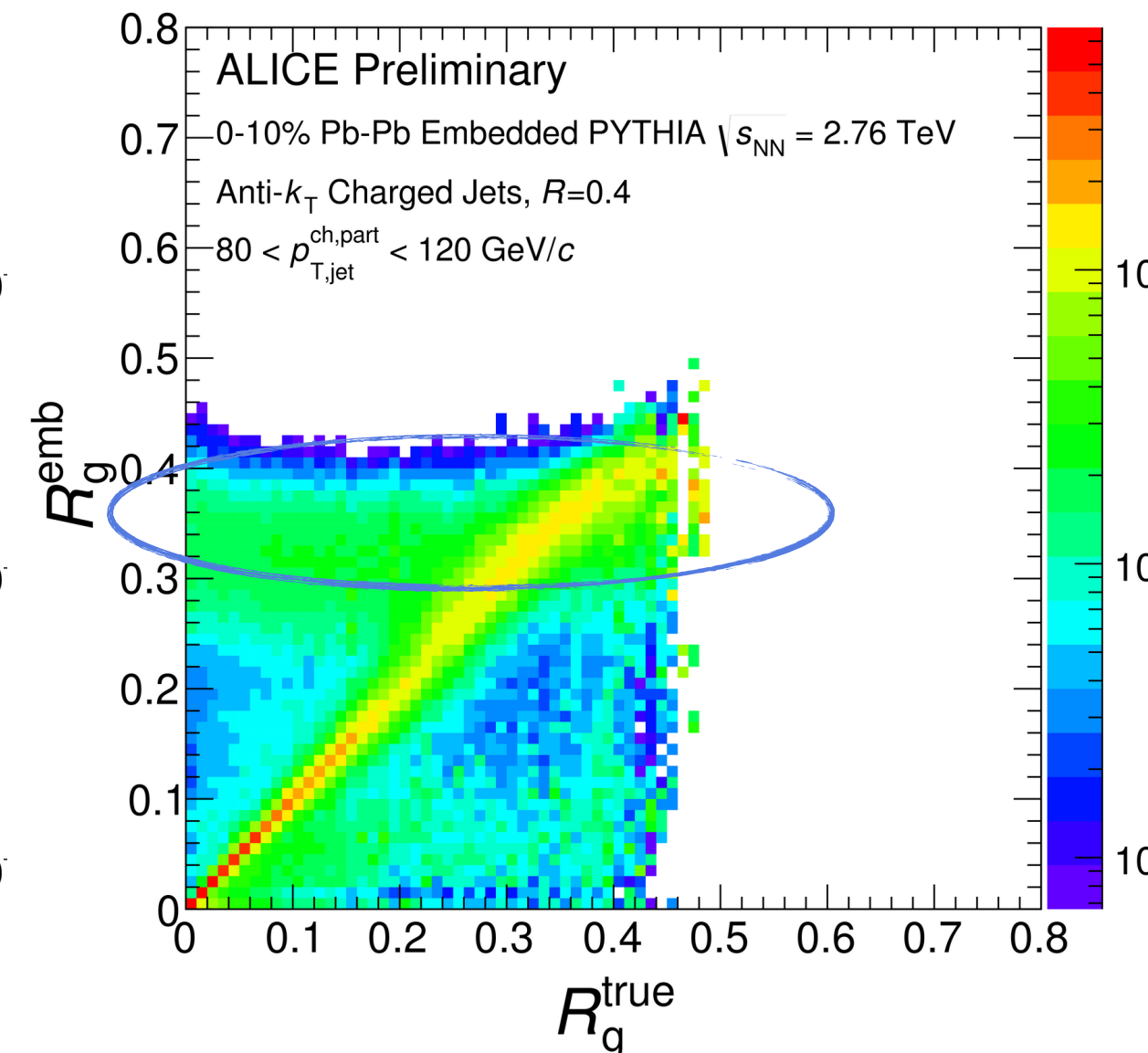
Background treatment

- Uncorrelated background leads to subjects being picked up as incorrect or “fake” splittings

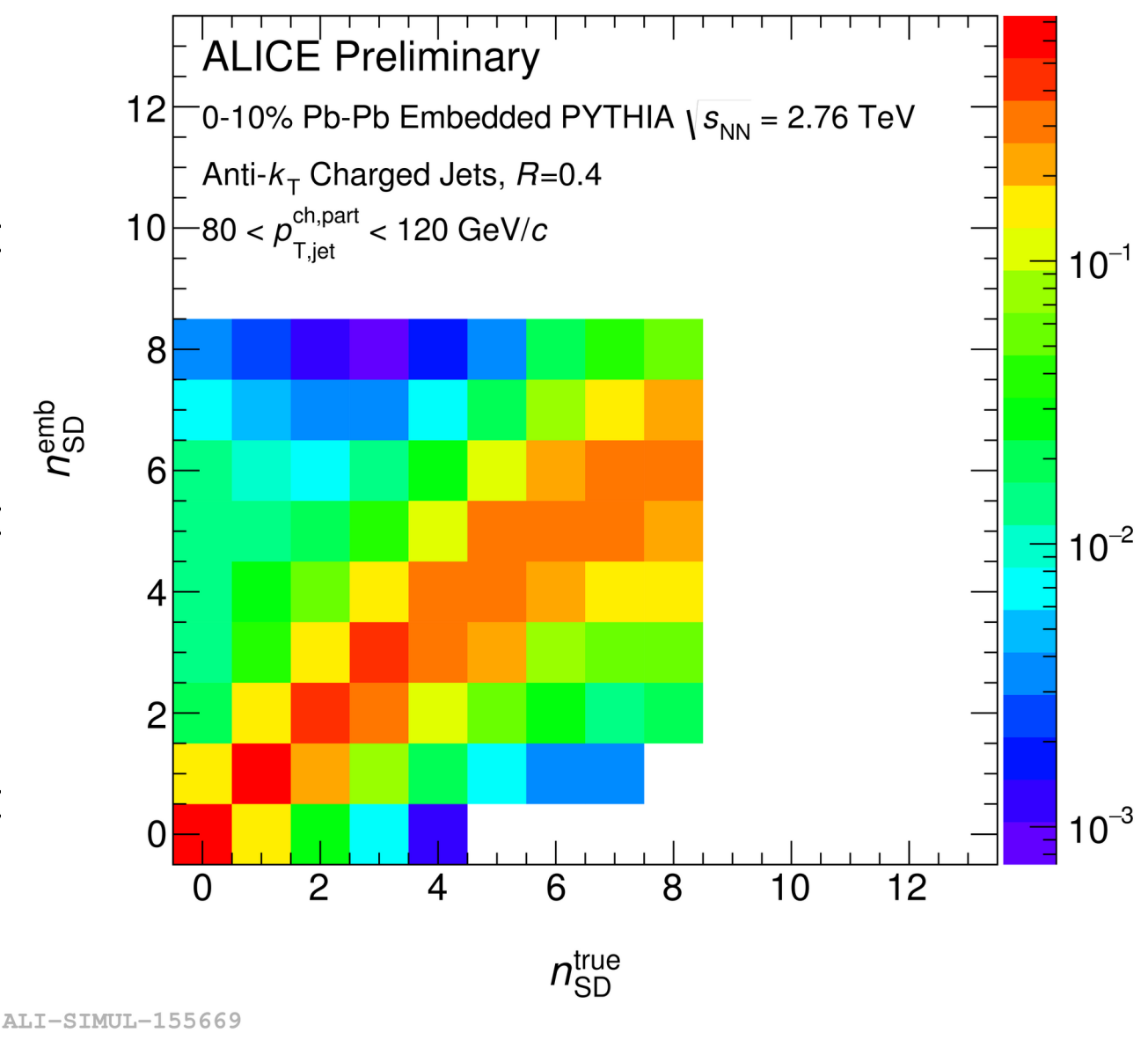
dominate at low z_g



and at large R_g



gone in n_{SD} ?



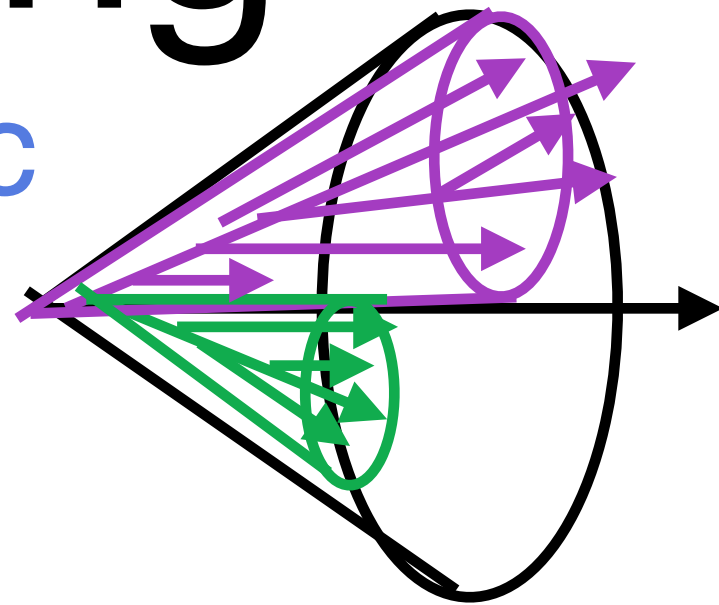
▶ *Non-diagonal response prohibits unfolding*

- Embed PYTHIA8 into Pb-Pb data as a reference to mimic background effects
 - ➔ *Caveat*: in combinatoric dominated regions, differences seen in observables can't be attributed only to quenching *if* the background splittings differ largely for medium vs. vacuum jet fragmentation

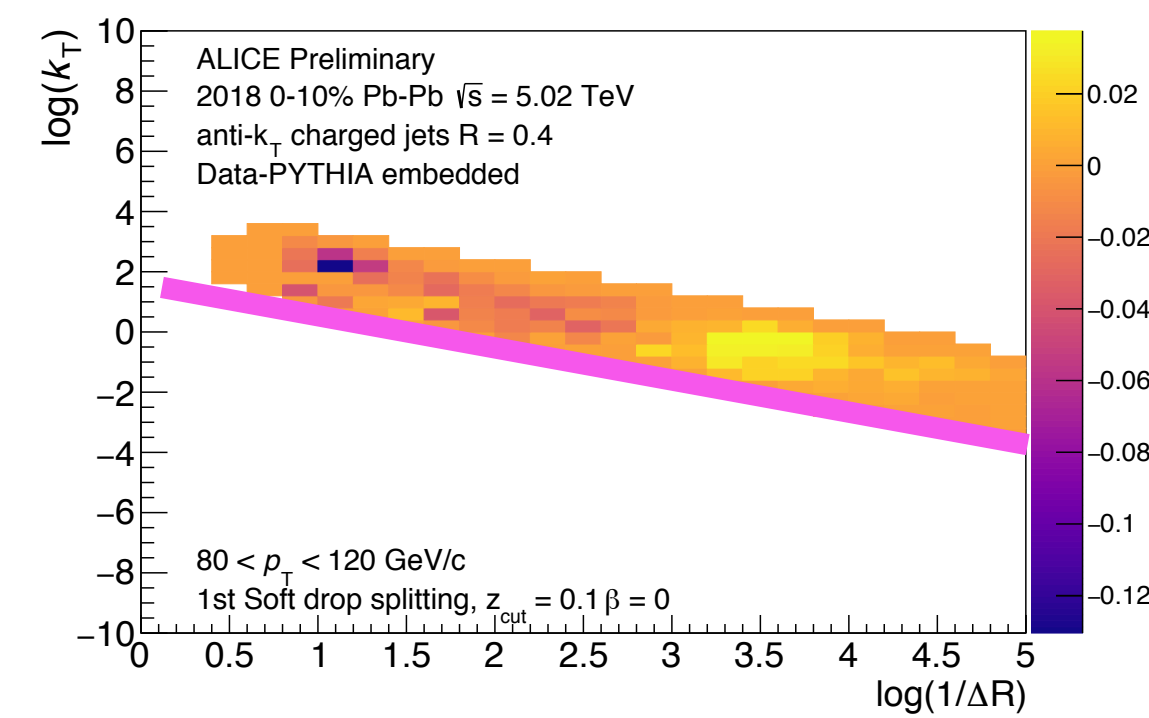
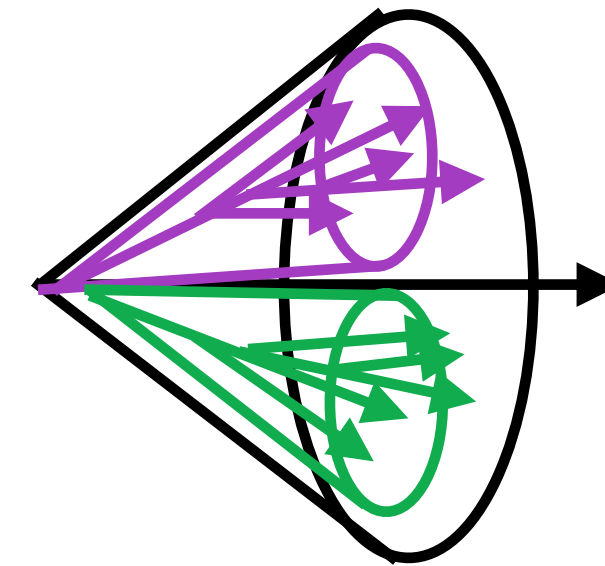
z_g : jet splitting

$$z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$

asymmetric
splitting:
low z_g

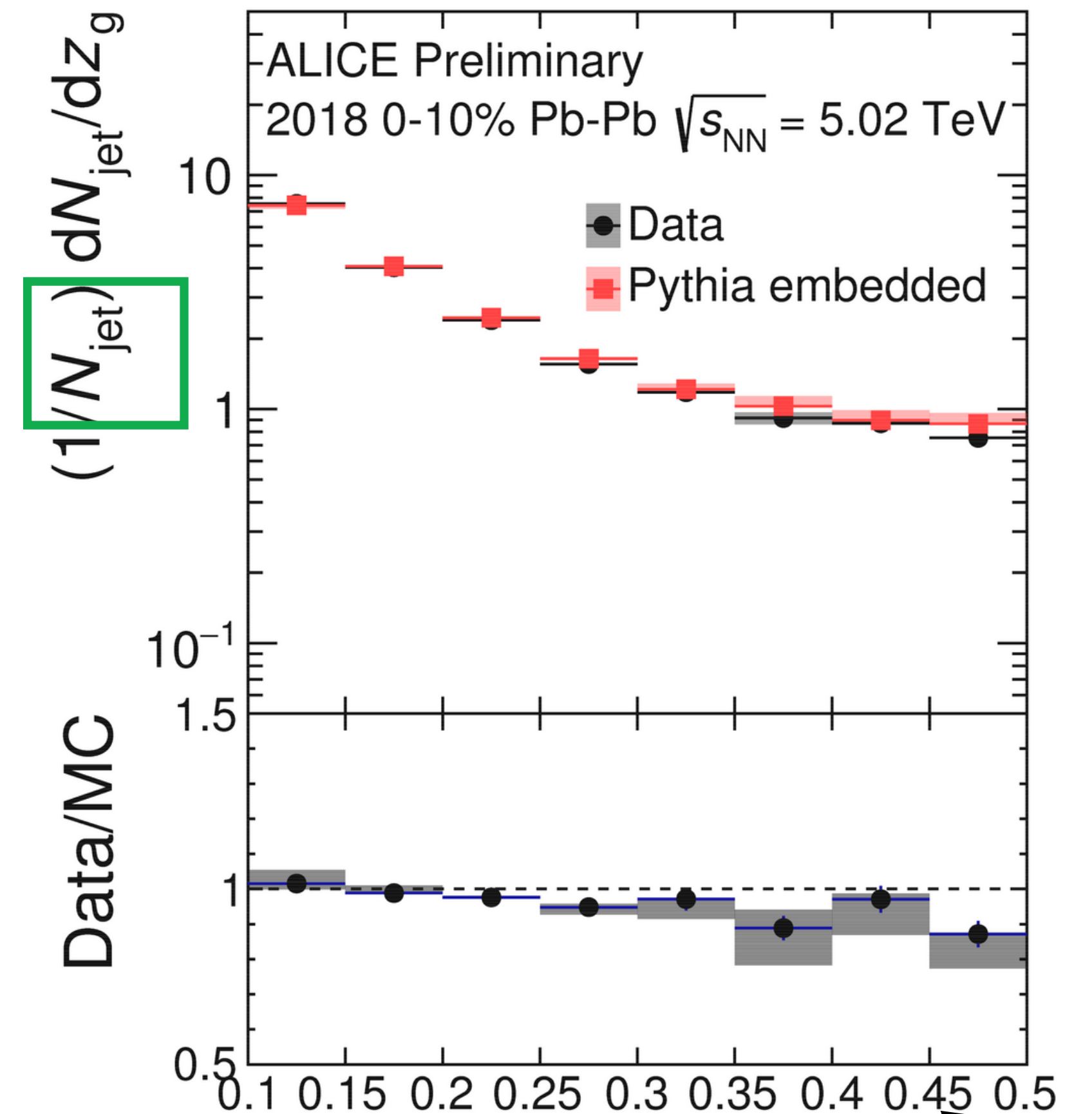


symmetric
splitting:
high z_g



- 5.02 TeV 0-10% Pb-Pb collisions compared to **embedded MC**

N_{jets} : all jets
in p_T bin

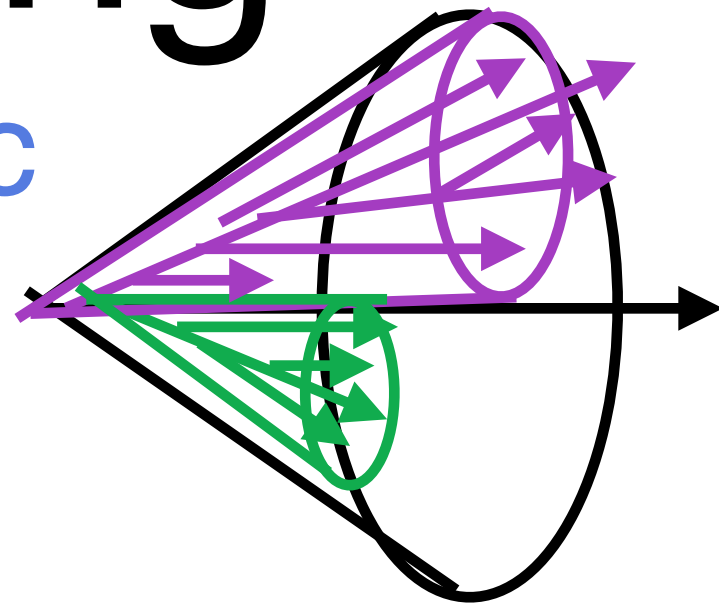


ALI-PREL-334578

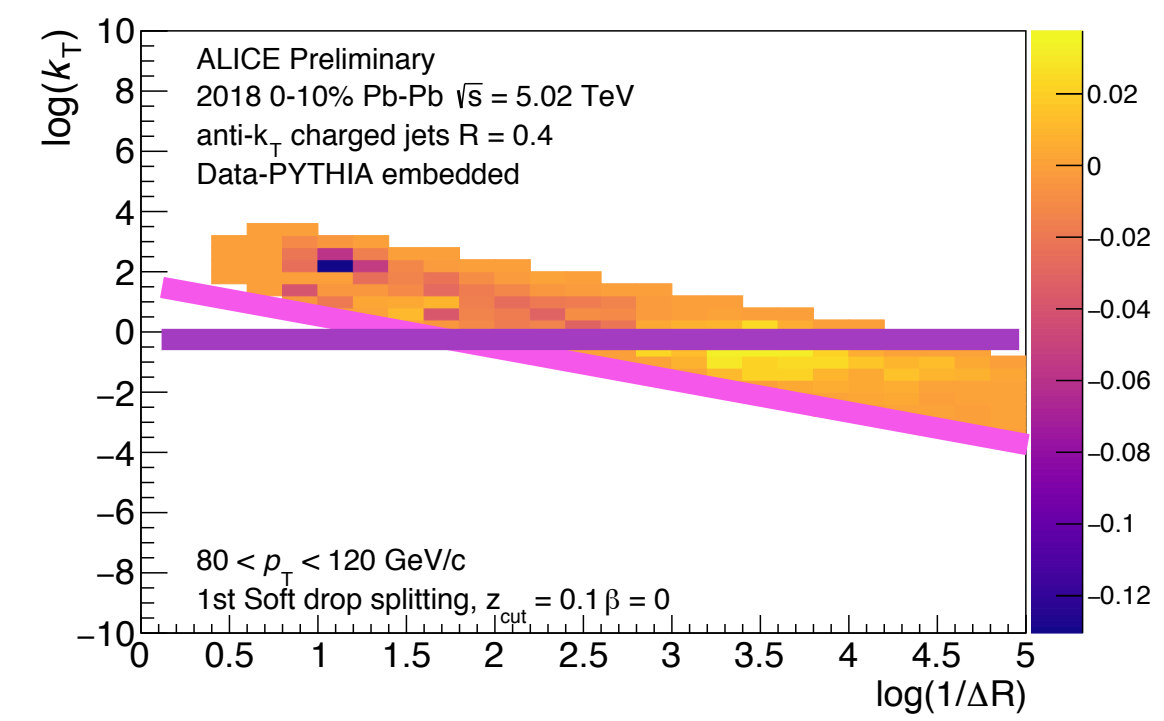
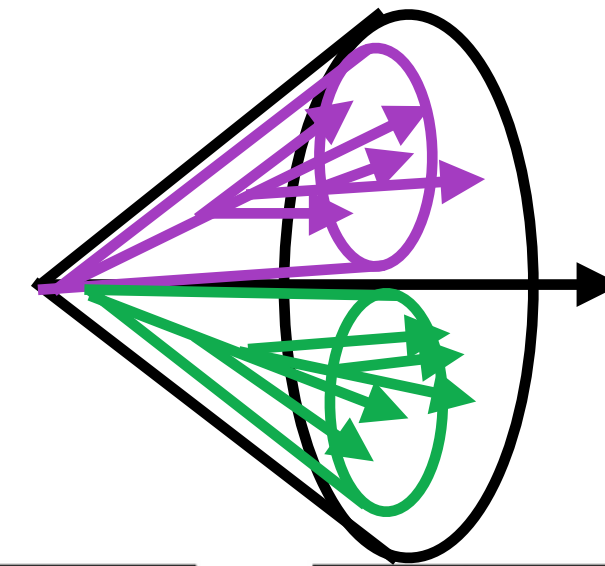
Z_g : jet splitting

$$Z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$

asymmetric
splitting:
low Z_g



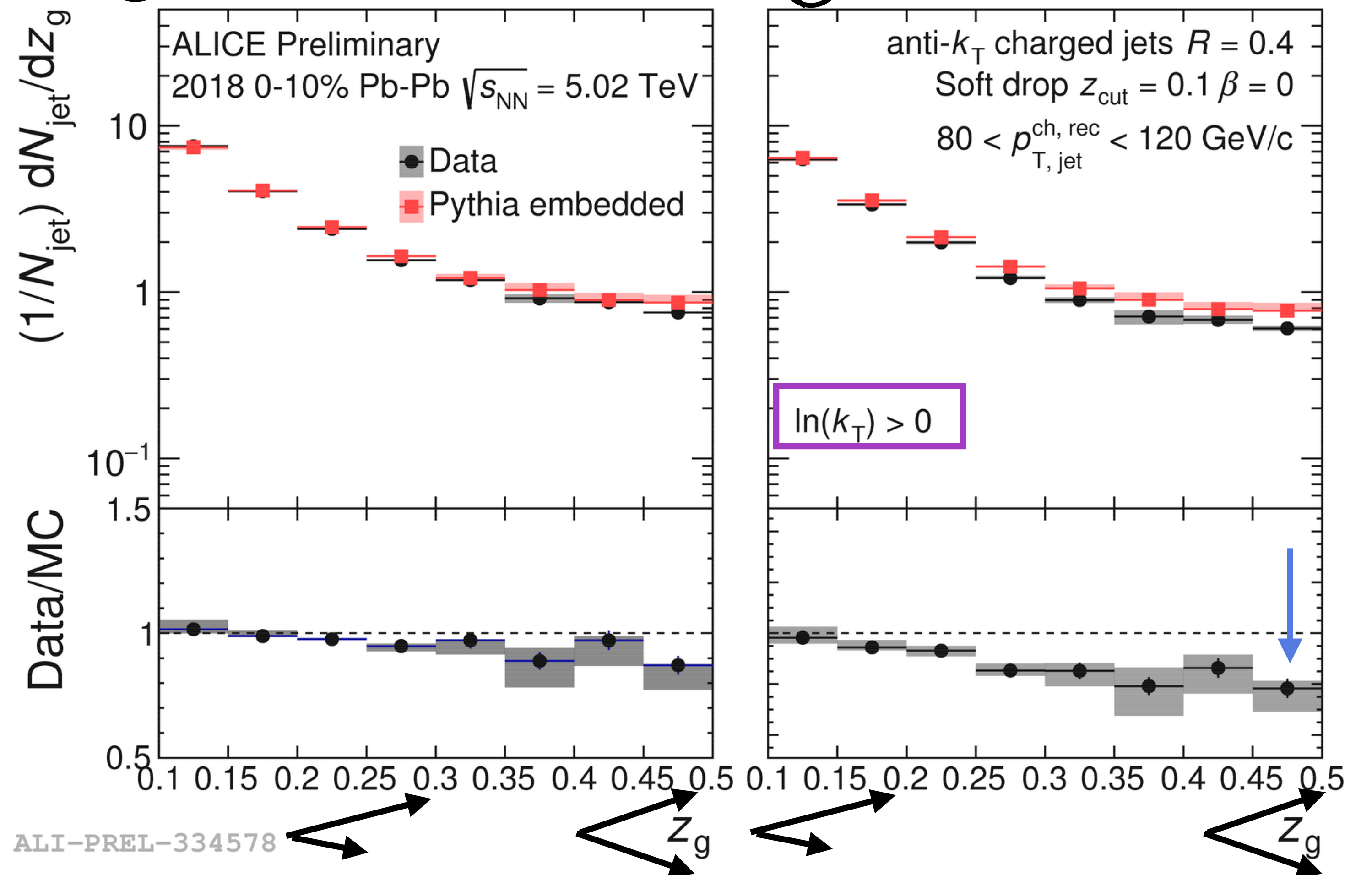
symmetric
splitting:
high Z_g



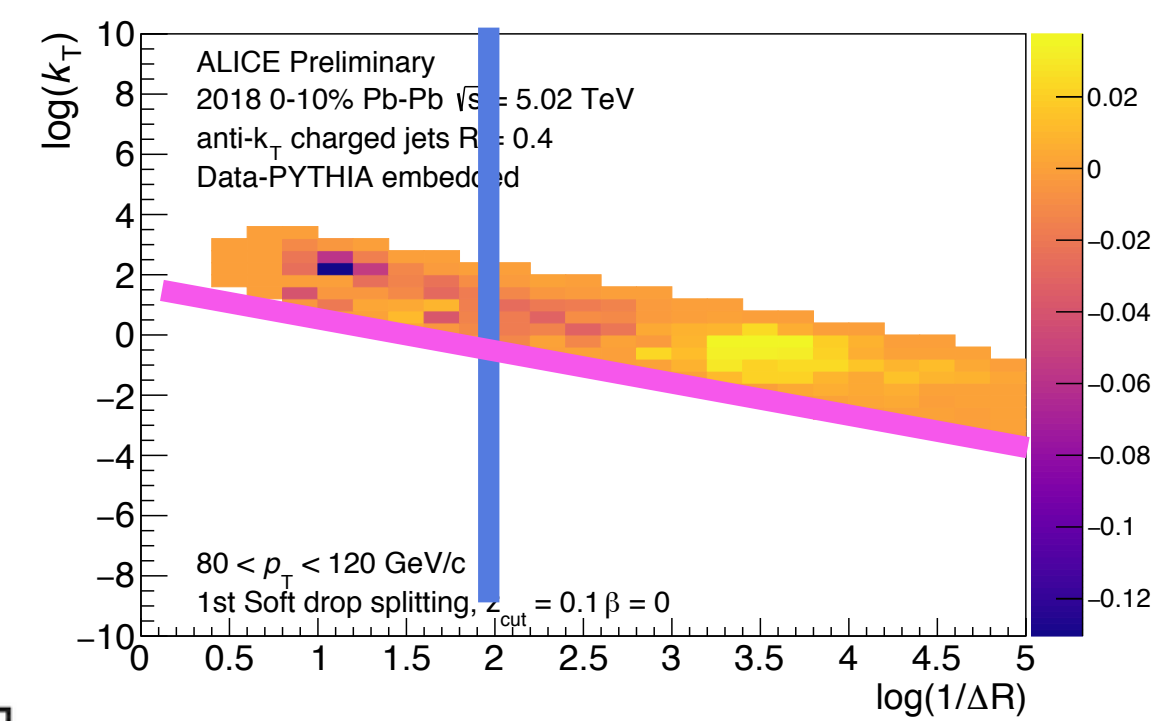
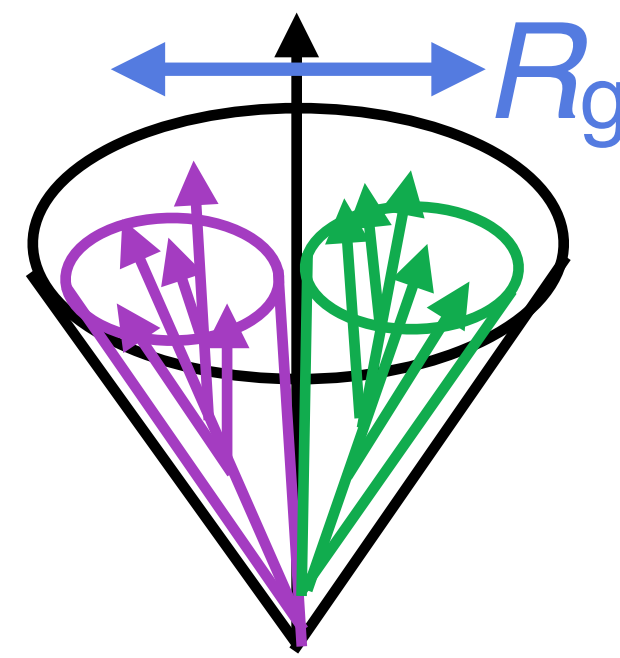
- 5.02 TeV 0-10% Pb-Pb collisions compared to **embedded MC**

- $\ln(k_T)$ cut steepens the spectrum in data

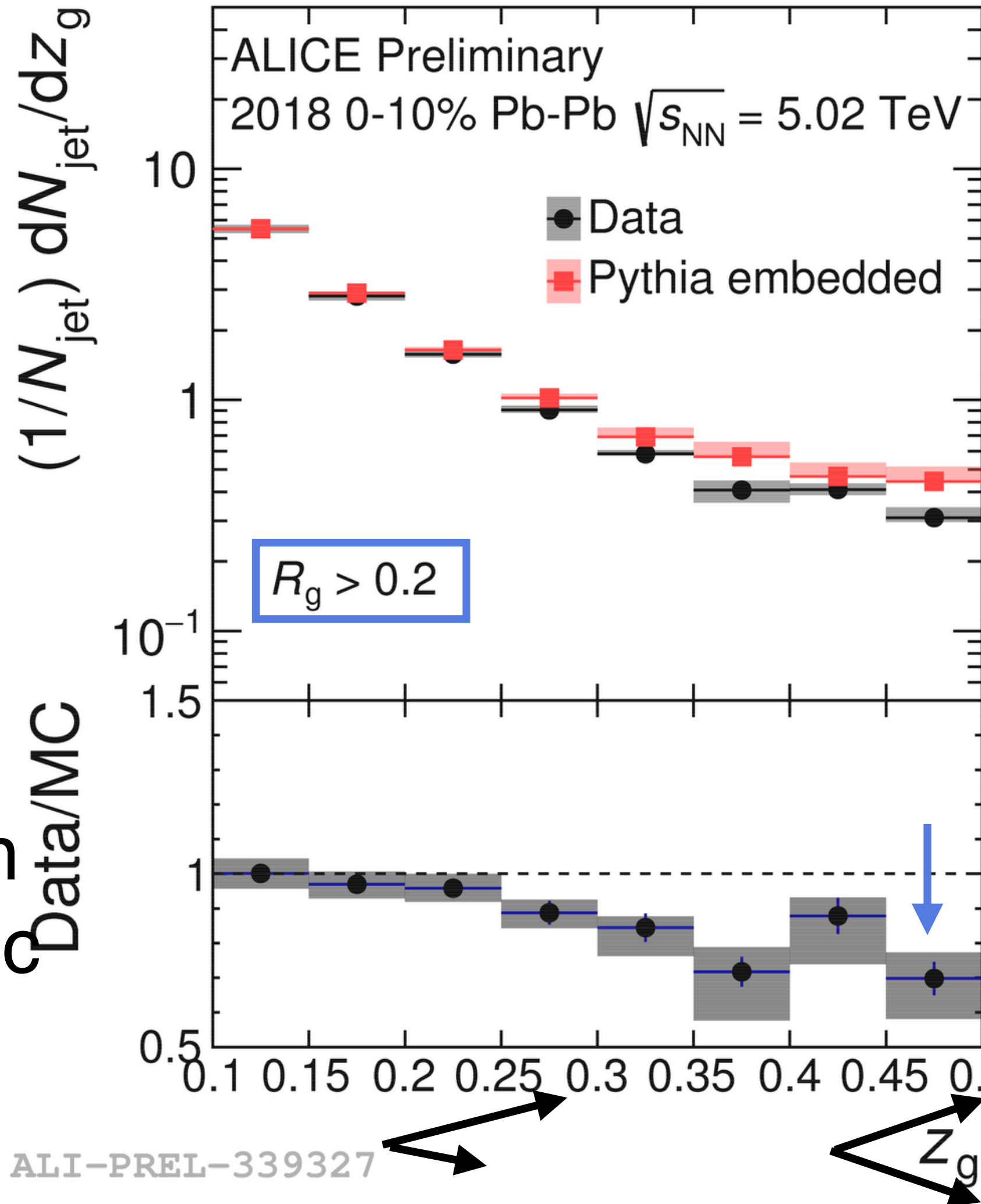
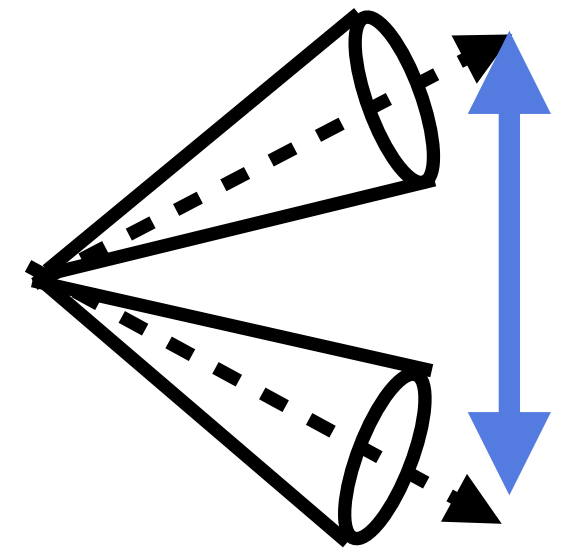
- Suppression of symmetric splittings



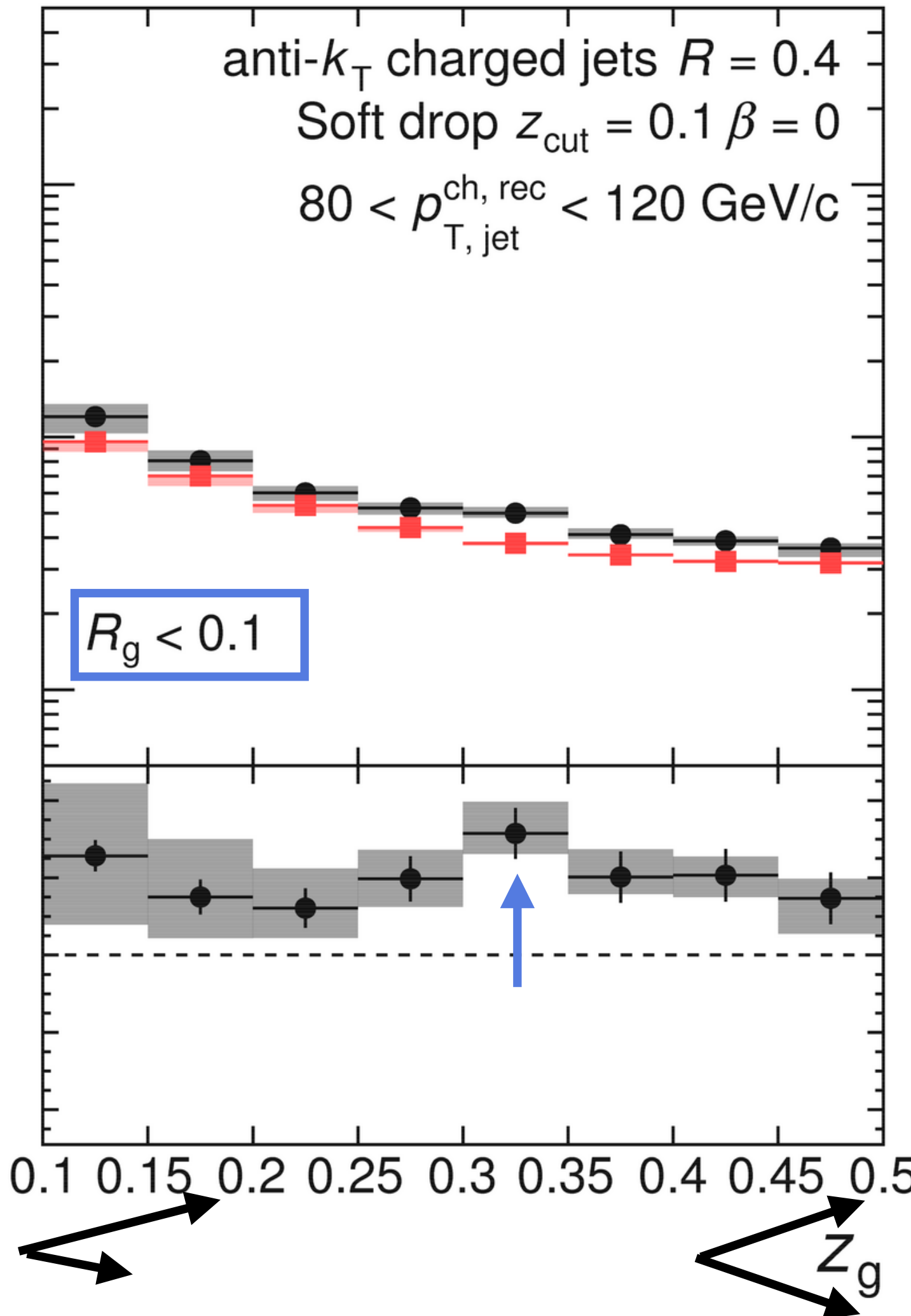
Z_g : opening angle



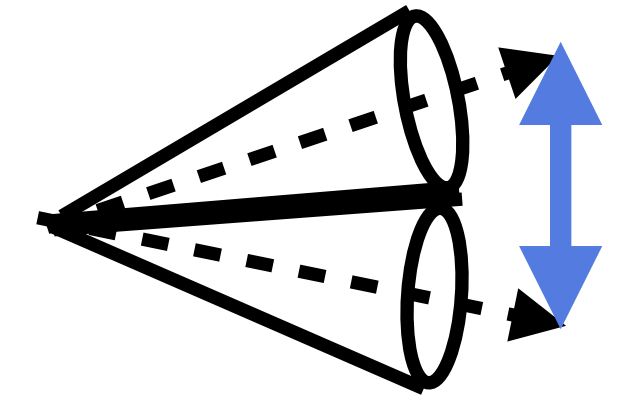
wide
 $R_g > 0.2$



anti- k_T charged jets $R = 0.4$
Soft drop $z_{cut} = 0.1 \beta = 0$
 $80 < p_{T, jet}^{ch, rec} < 120$ GeV/c



collimated
 $R_g < 0.1$



- Wide: more significant suppression of symmetric splittings

- Narrow splittings enhanced

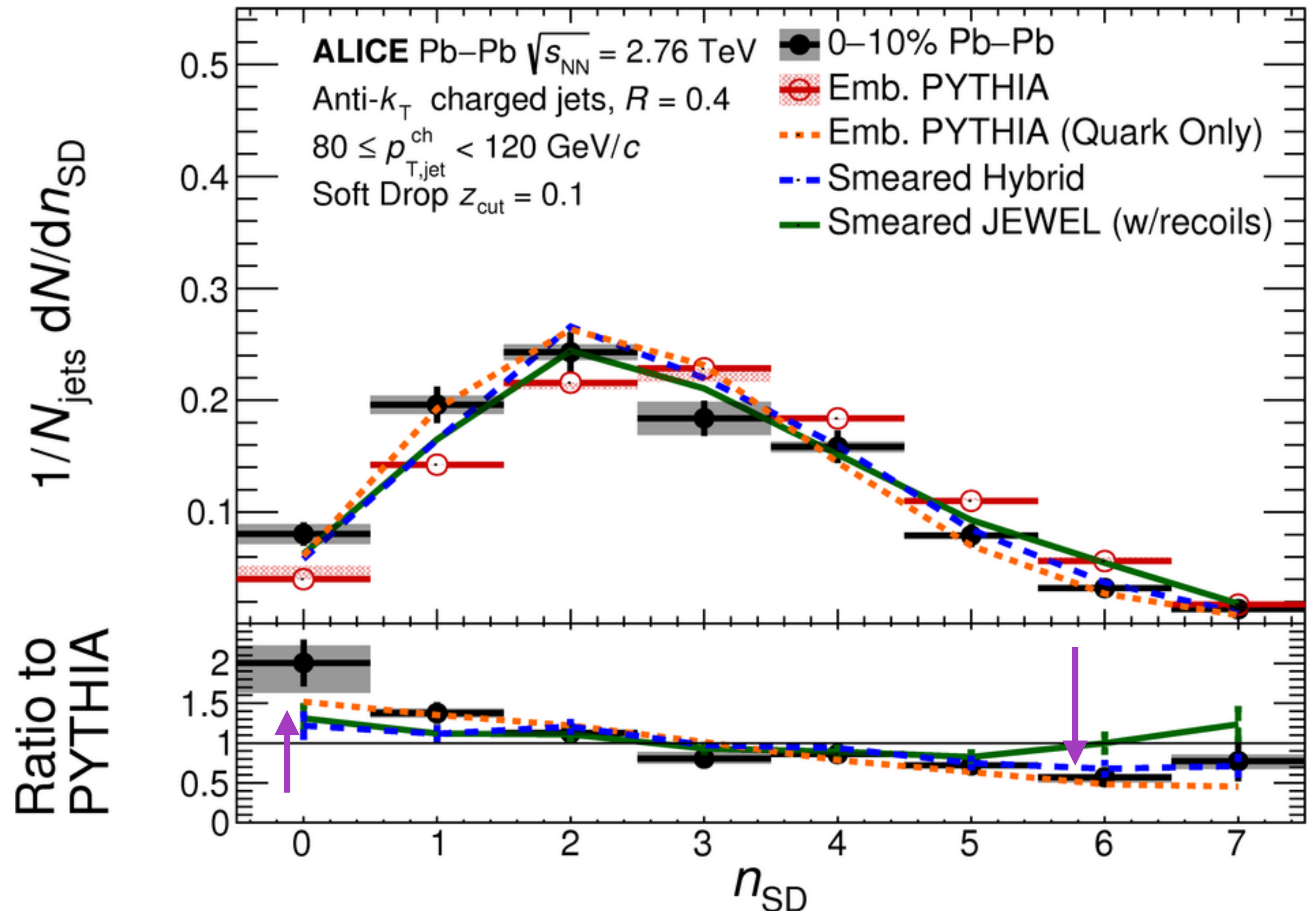
ALI-PREL-339327

n_{SD} : iterative declustering

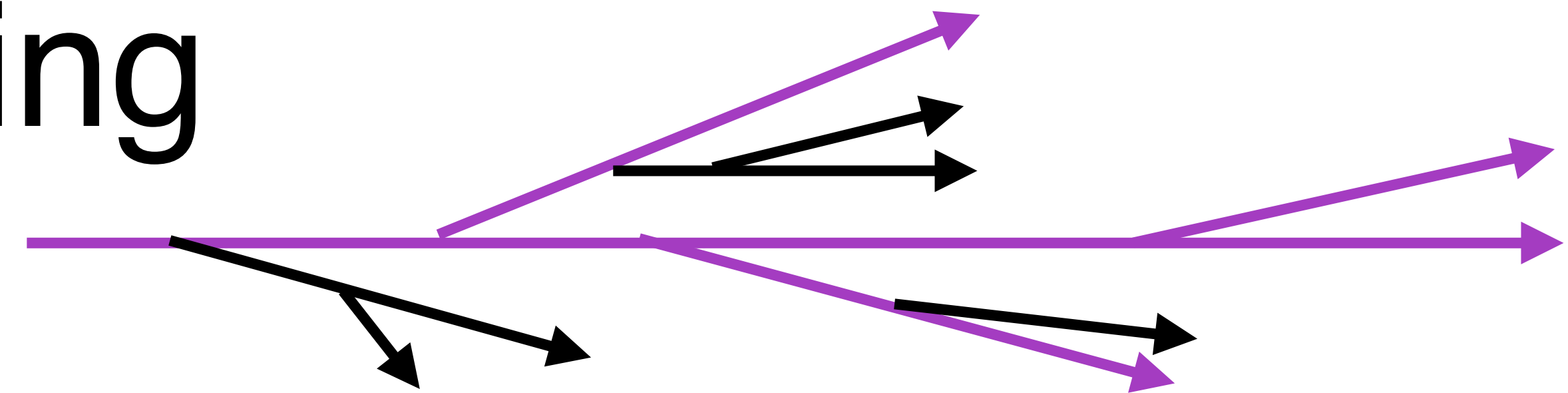
- Previous ALICE publication of 0-10% Pb-Pb data at 2.76 TeV

[arXiv:1905.02512v1](https://arxiv.org/abs/1905.02512v1)

- Hint of shift towards lower numbers of splittings



n_{SD} : iterative declustering

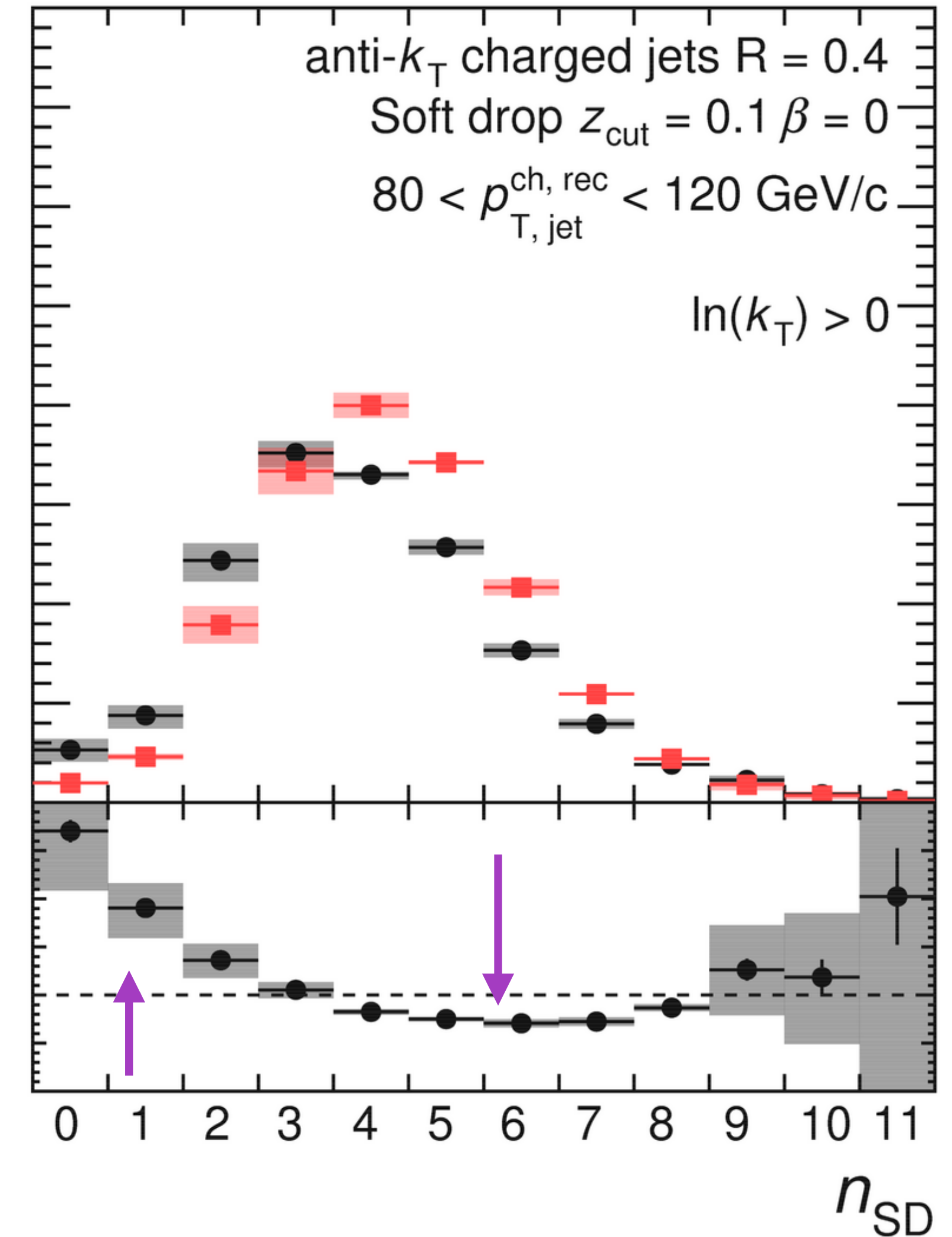
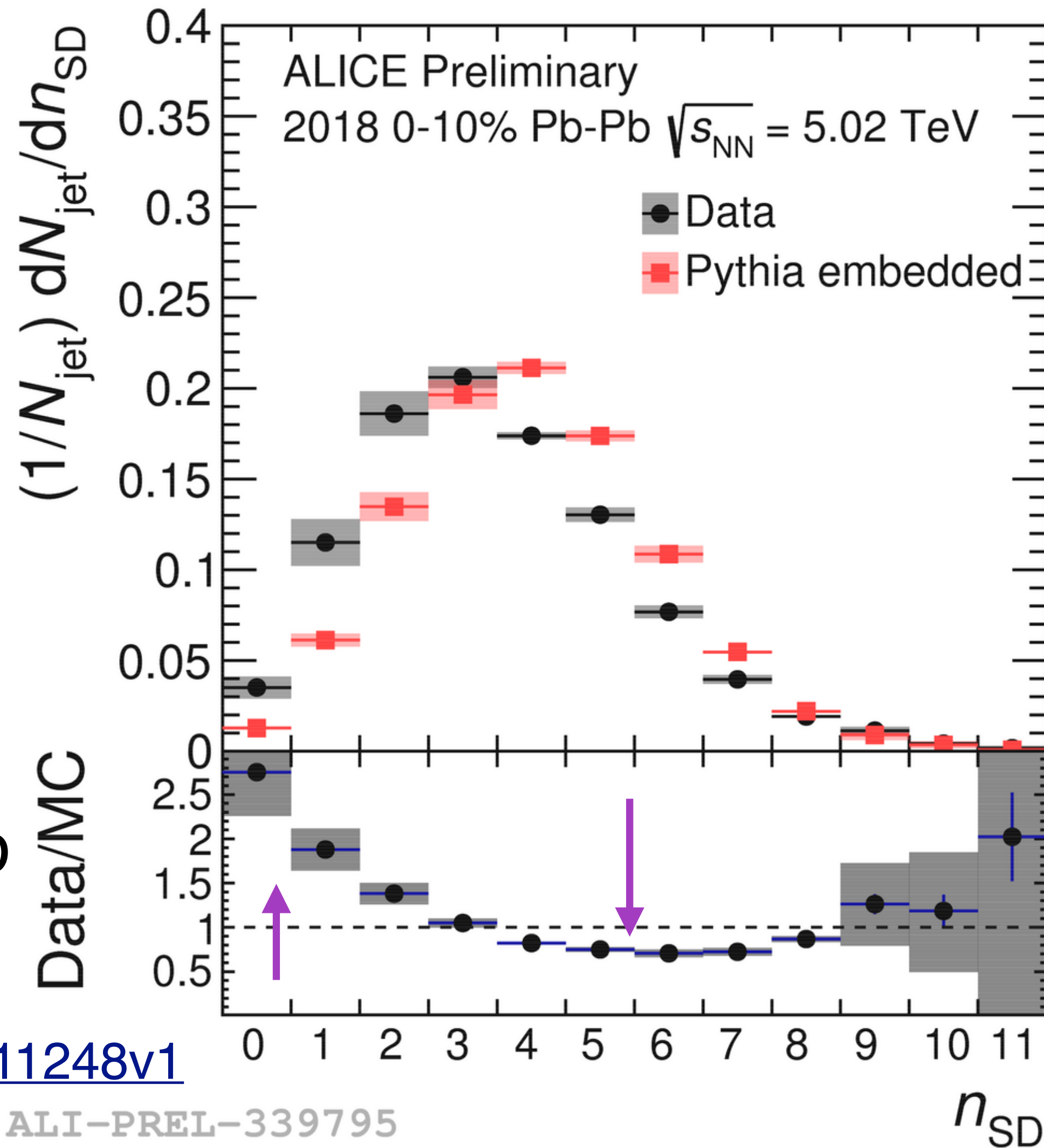


- New ALICE measurement at 5.02 TeV

- **Modification:** enhancement at small n_{SD} and suppression at intermediate n_{SD}

- Consistent with wider jets forming earlier and being suppressed in the medium, leading to more jets with lower n_{SD} *

[*arXiv:1907.11248v1](https://arxiv.org/abs/1907.11248v1)



Outlook

- A lot of progress has been made in background subtraction techniques
 - ➡ Keep working towards developing and trying new methods to increase precision and access inaccessible regions of phase space like large R and low p_T
- Jet substructure has also made a lot of progress
 - ➡ Need to implement better background subtraction techniques to reduce background and unfold
 - ▶ Using event-by-event constituent subtraction, for example
 - ➡ Improve our techniques to better access the hardest split
 - ▶ Dynamical grooming techniques (see Raymond Ehlers talk on Friday)
- In general improving our jet measurement tools will allow for more direct comparison of unfolded results to theoretical calculations to help constrain models (using JETSCAPE framework!)

Backup

Analysis Details

- Anti-kt R=0.4 jets within the acceptance of the TPC ($p_{\text{T}}^{\text{track}} > 0.15$. GeV/c)

➡ **2017 pp data $L_{\text{int}} = 18.0 \text{ nb}^{-1}$
at 5.02 TeV**

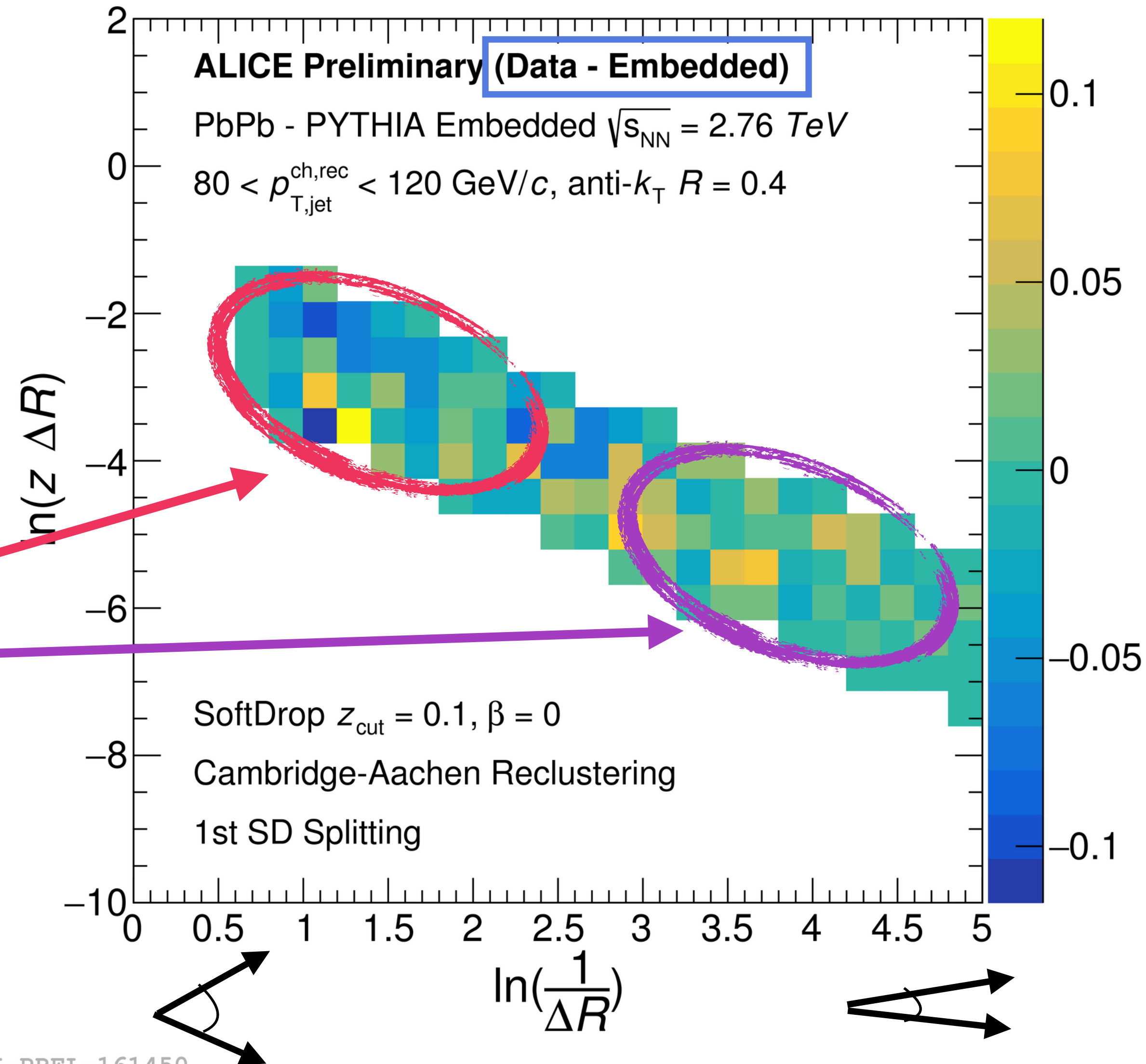
- ▶ No background subtraction
- ▶ Corrected for detector effects with unfolding to jets between 20-80 GeV/c

➡ **2018 0-10% Pb-Pb data at 5.02 TeV**

- ▶ Jets between 80-120 GeV/c
- ▶ Substantial increase in statistics over previous ALICE substructure analysis at 2.76 TeV
- ▶ Jet-by-jet constituent background subtraction*
[*JHEP 06 \(2014\) 092](#)
- ▶ Compared to embedded MC

Exploring the Lund Plane in Run 1

- Previous ALICE measurement in 0-10% Pb-Pb collisions at 2.76 TeV
- Subtract the embedded simulations (MC) from the data in order to remove the effects from the large heavy-ion background
- Saw hint of **suppression at large ΔR** and **enhancement at small ΔR**
- *Investigate this further in the larger statistics 2018 data at 5.02 TeV*

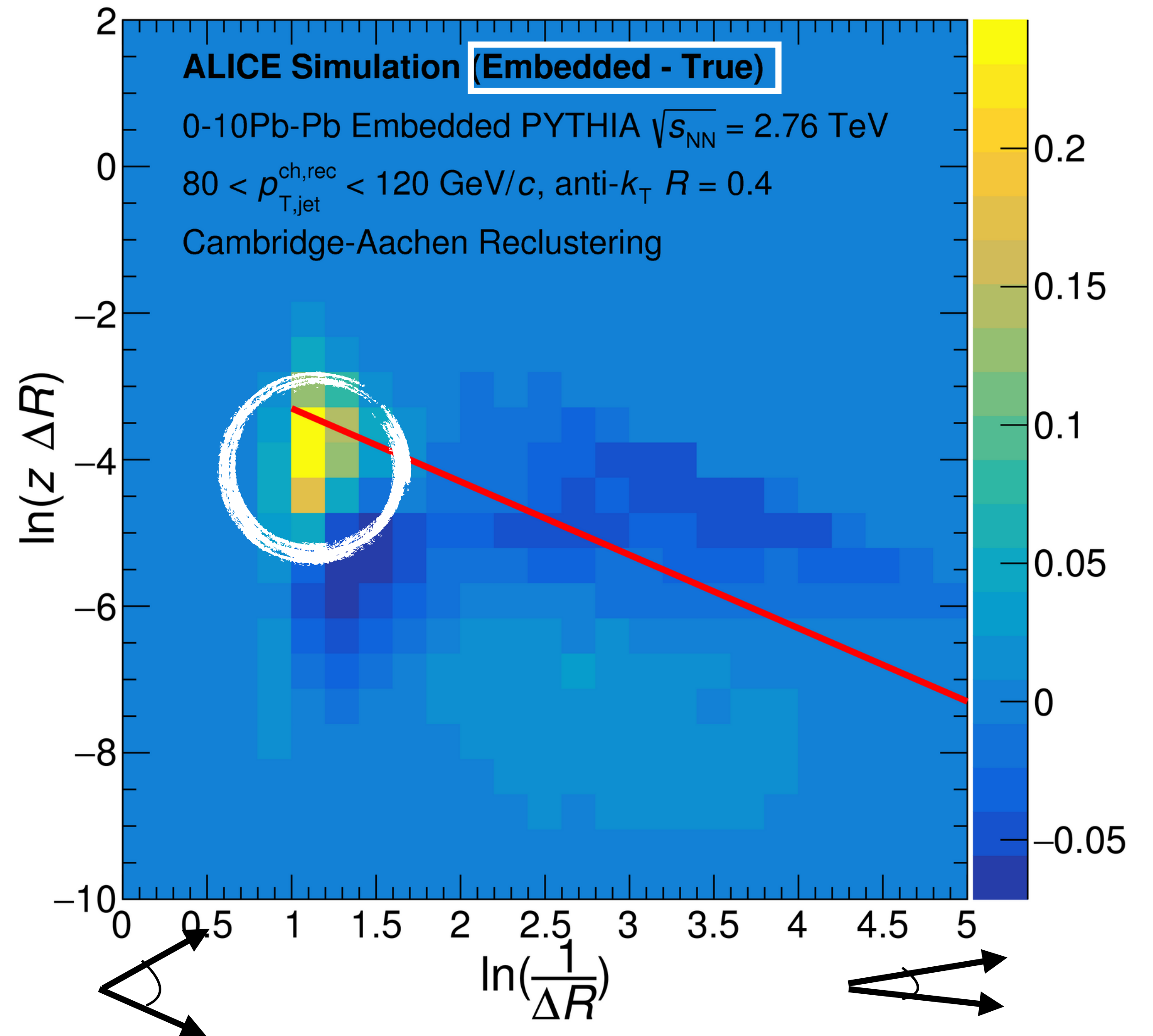


ALI-PREL-161450

MC embedding background

- In Pb-Pb data need to account for HI background
- Embed detector level Pythia into Pb-Pb data
- **Embedded—True Pythia** shows enhancement of large angle splitting

Fake splittings!



ALI-SIMUL-307967

Constituent subtraction

- Estimate background density in each event
- Add infinitesimally small ghosts to the event
- Set the p_T for each ghost to negative values
- Calculate distance between each particle and ghost for each pair and sort in ascending order
- Iteratively change the momentum and mass of each ghost/particle until no more pairs remain

$$\rho = \text{med}\left(\frac{p_{T,\text{jet}}^{\text{raw},i}}{A_{\text{jet}}^i}\right)$$

$$\rho_m = \text{med}\left(\frac{m_i}{A_{\text{jet}}^i}\right)$$

$$p_{T,g} = A_g \rho$$

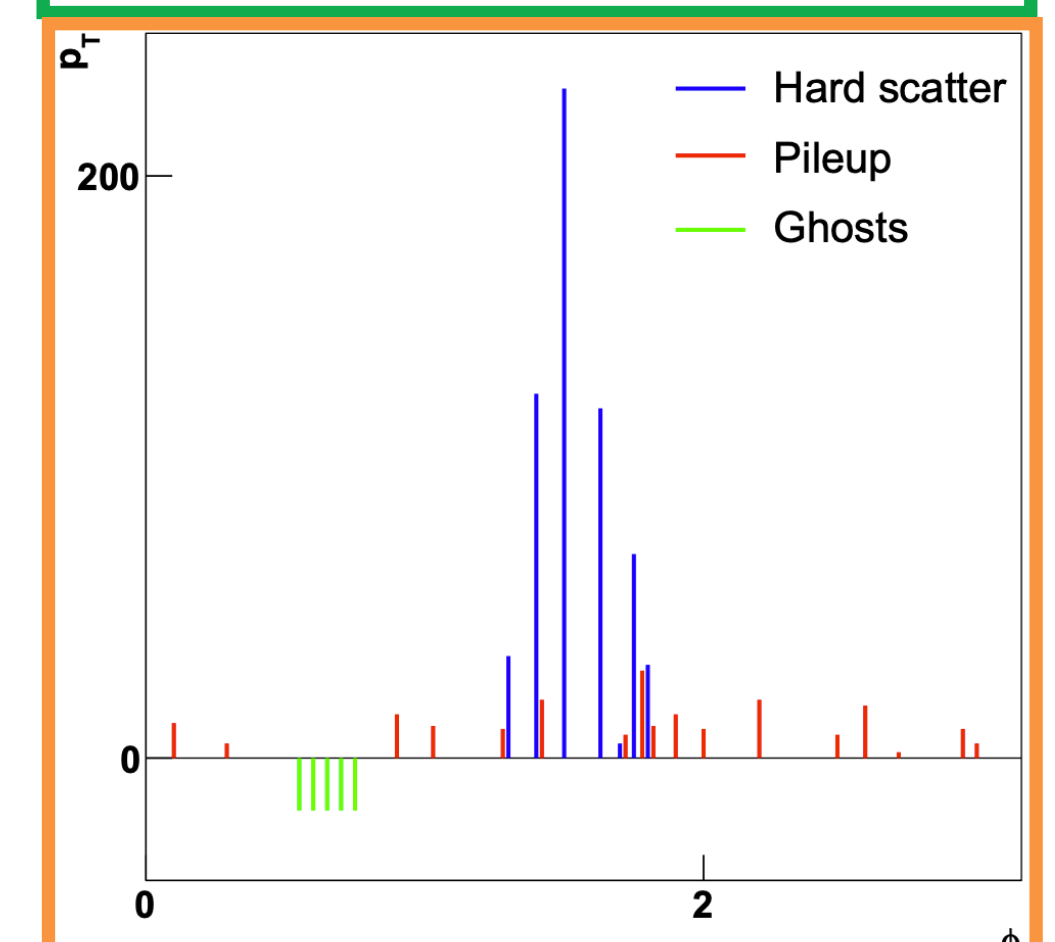
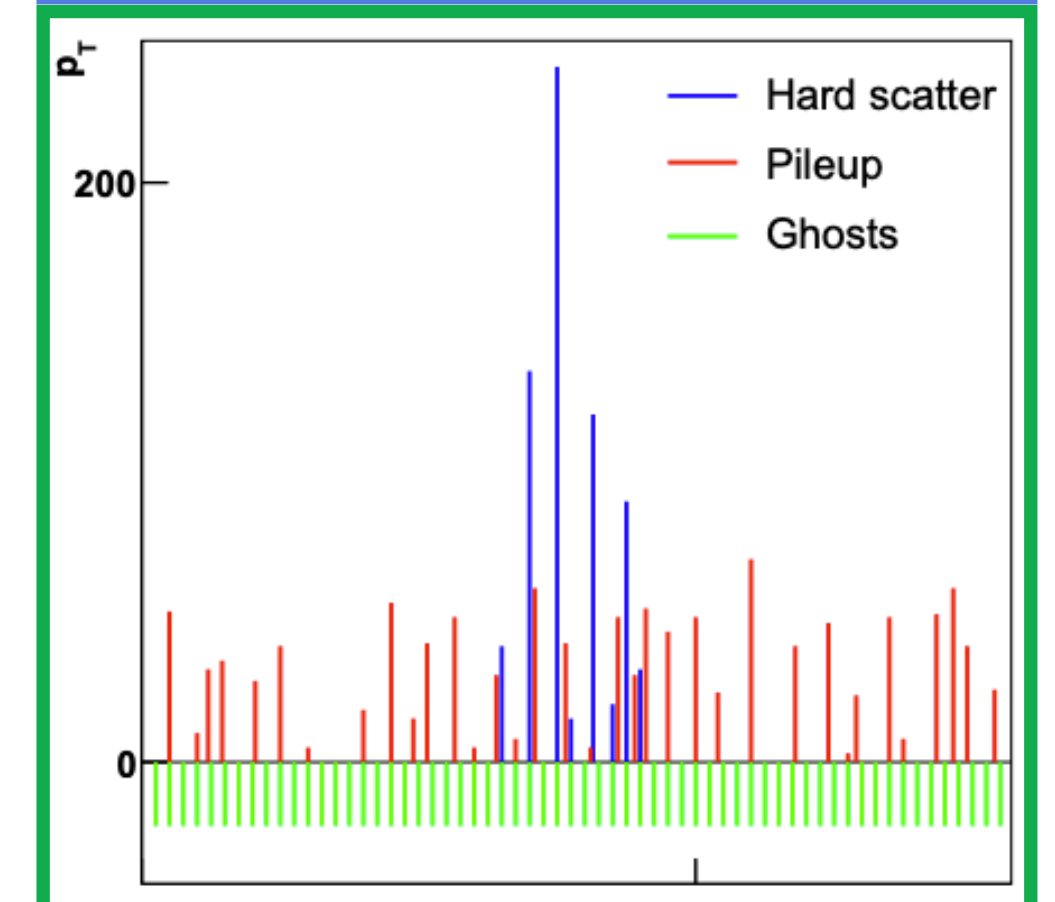
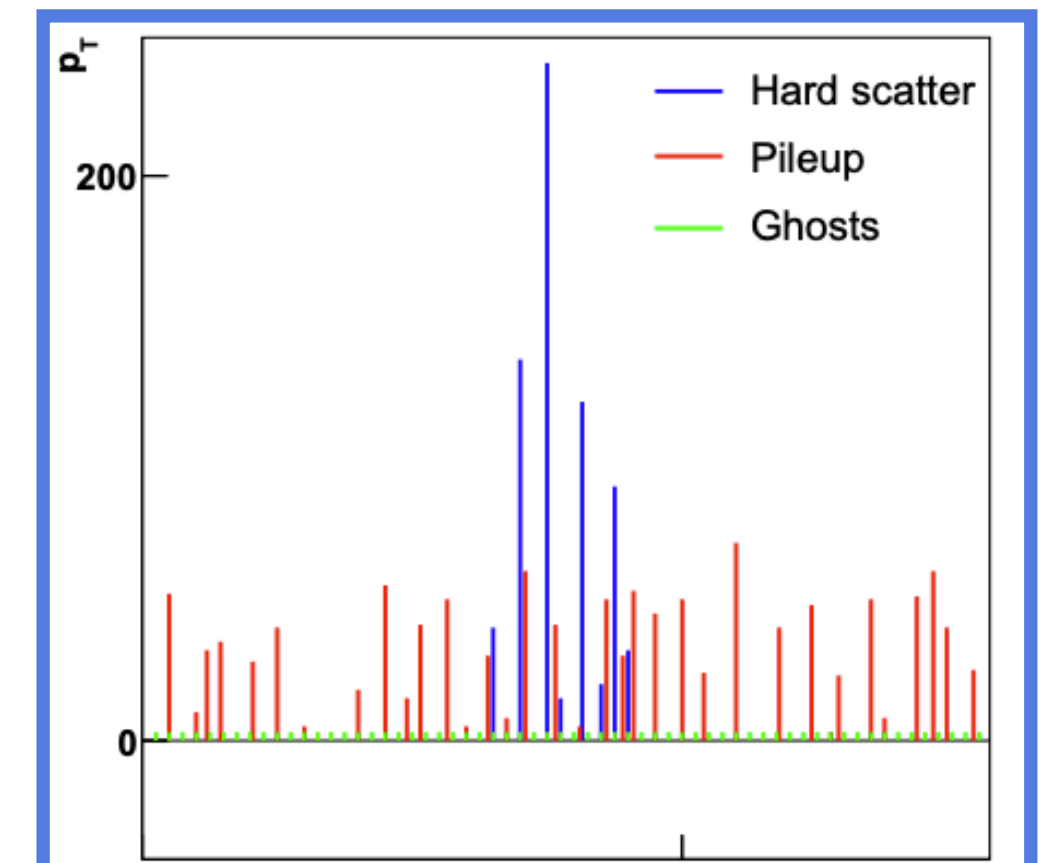
$$m_g = A_g \rho_m$$

$$\text{if } (p_T > p_{T^g}) \quad p_T = p_T - p_{T^g} \quad p_{T^g} = p_{T^g} - p_T$$

$$p_{T^g} = 0 \quad p_T = 0$$

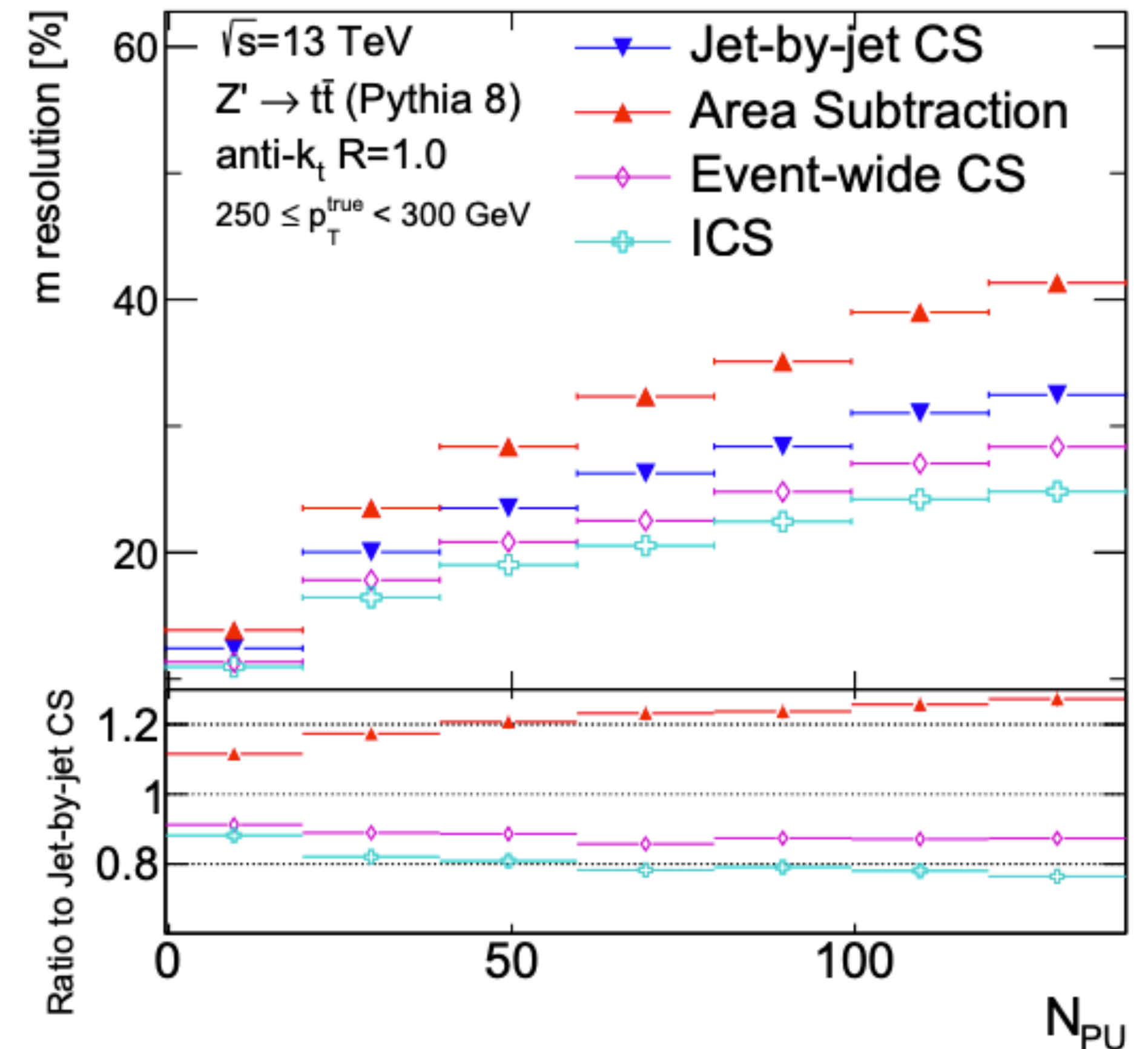
- Discard particles with 0 momentum

[JHEP 1908 \(2019\) 175](#)



Constituent subtraction

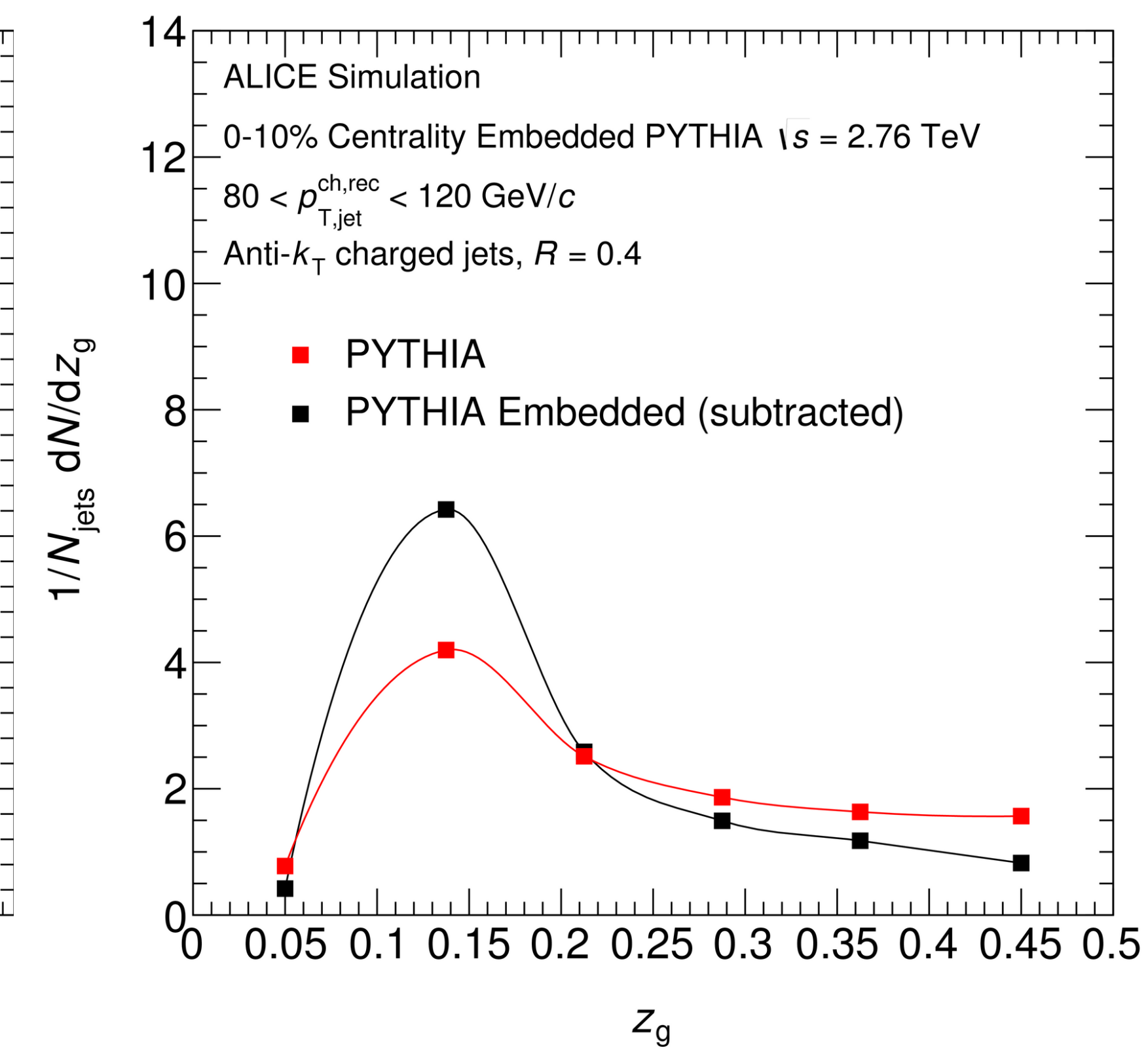
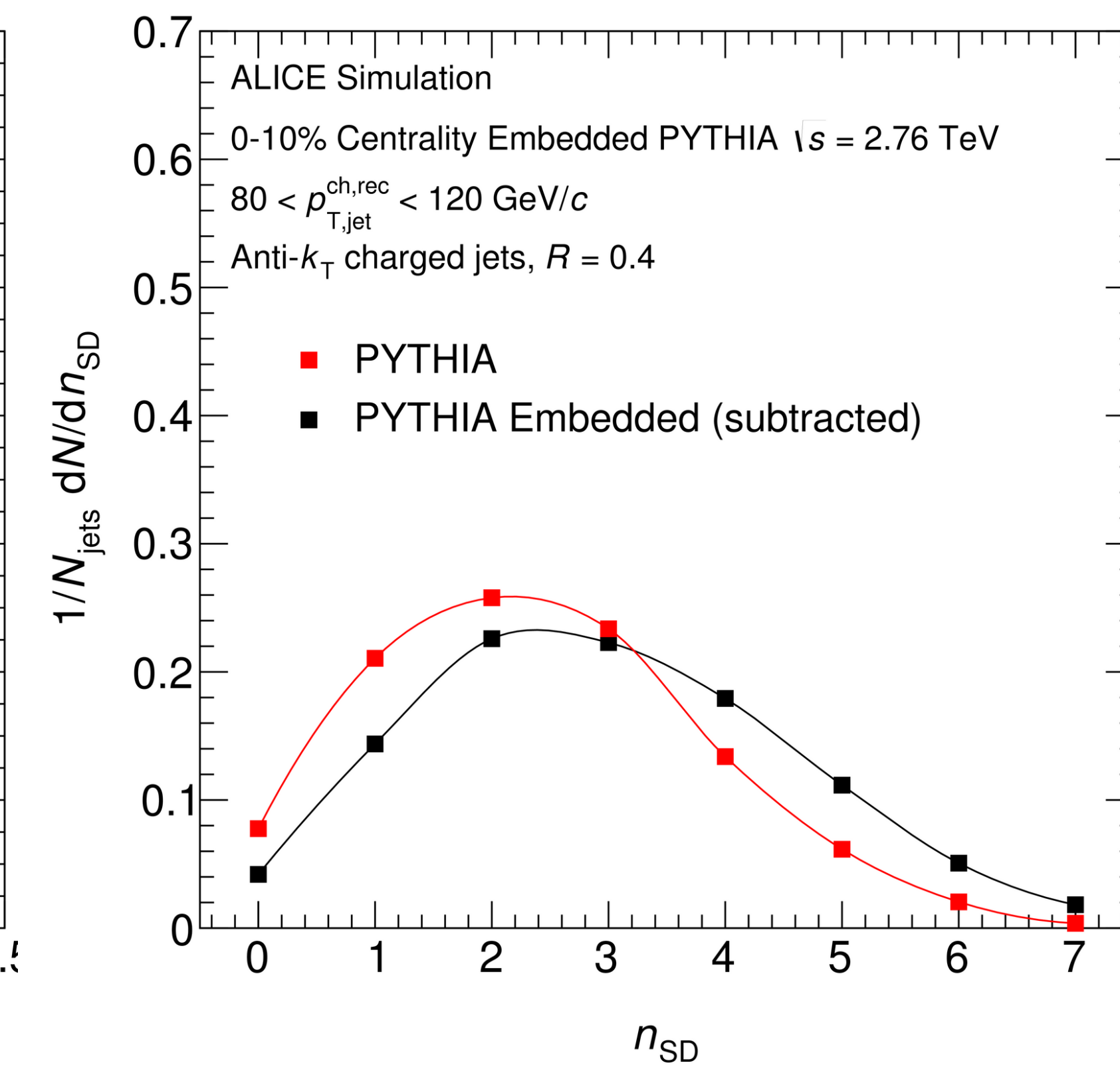
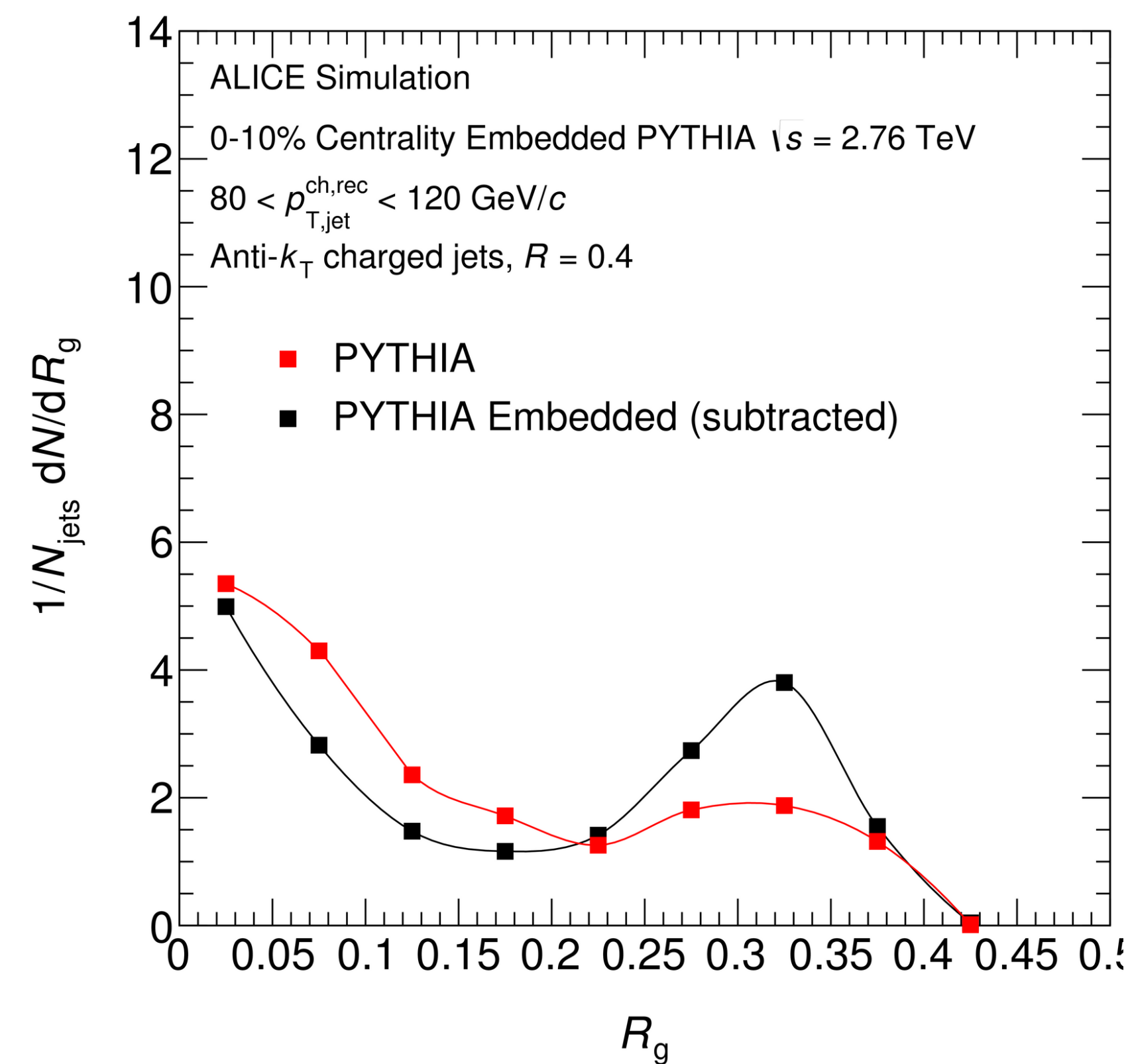
- Jet-by-jet constituent subtraction:
 - ➡ Only ghost are added in jets in the event found with a reclustering algorithm
 - Event-by-Event constituent subtraction:
 - ➡ Ghosts are added to the entire event with a finite value where the ghosts can be unmatched
 - Event-by-Event iterative constituent subtraction:
 - ➡ The missed are redistributed to reduce bias
- ▶ Improved resolution with each improvement for the mass
- ▶ Experiments working to incorporate these in HI measurements



[JHEP 1908 \(2019\) 175](#)

Background subtraction

Impact of the jet-by-jet constituent subtraction on substructure variables



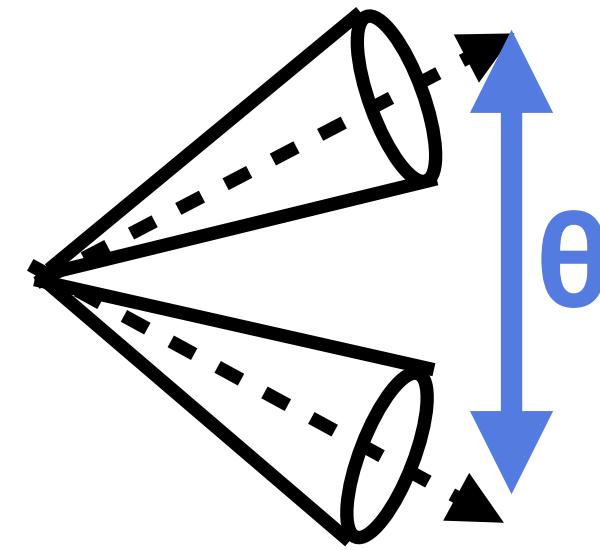
ALI-SIMUL-148079

ALI-SIMUL-148090

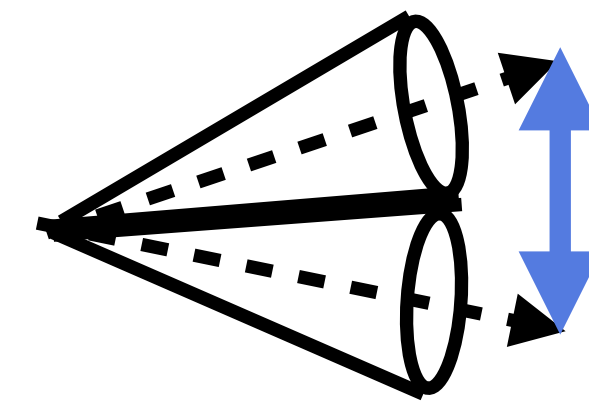
ALI-SIMUL-148071

θ_g : subjet separation

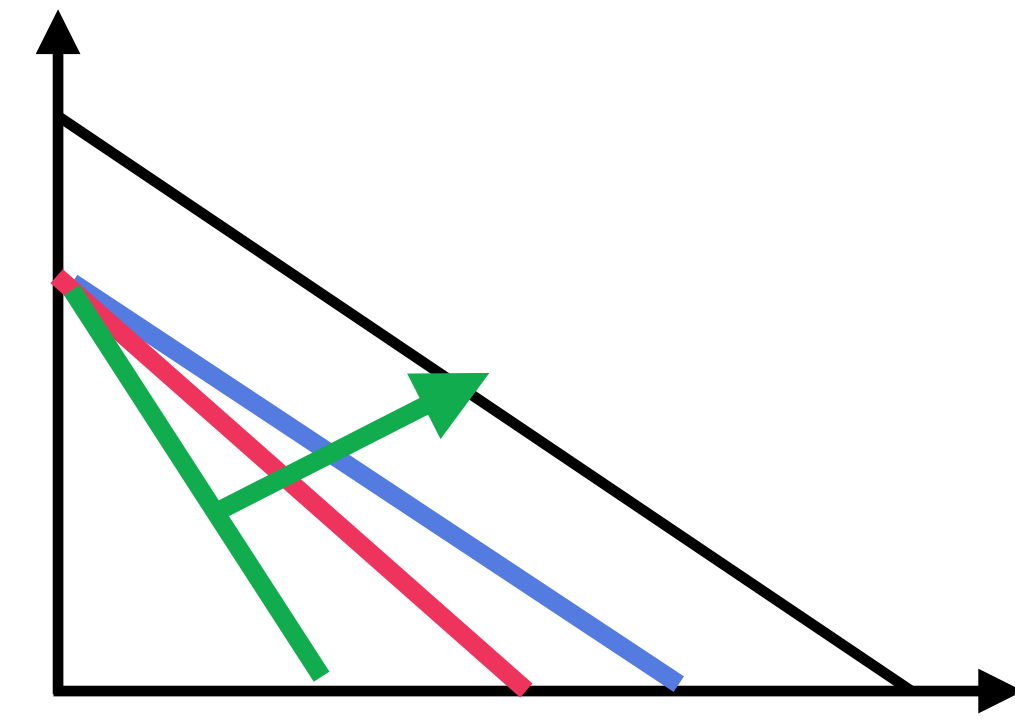
- pp collision data at 5.02 TeV $L_{\text{int}} = 18.0 \text{ nb}^{-1}$ unfolded for detector effects



vs.



$$z_g > z_{\text{cut}} \theta^\beta$$

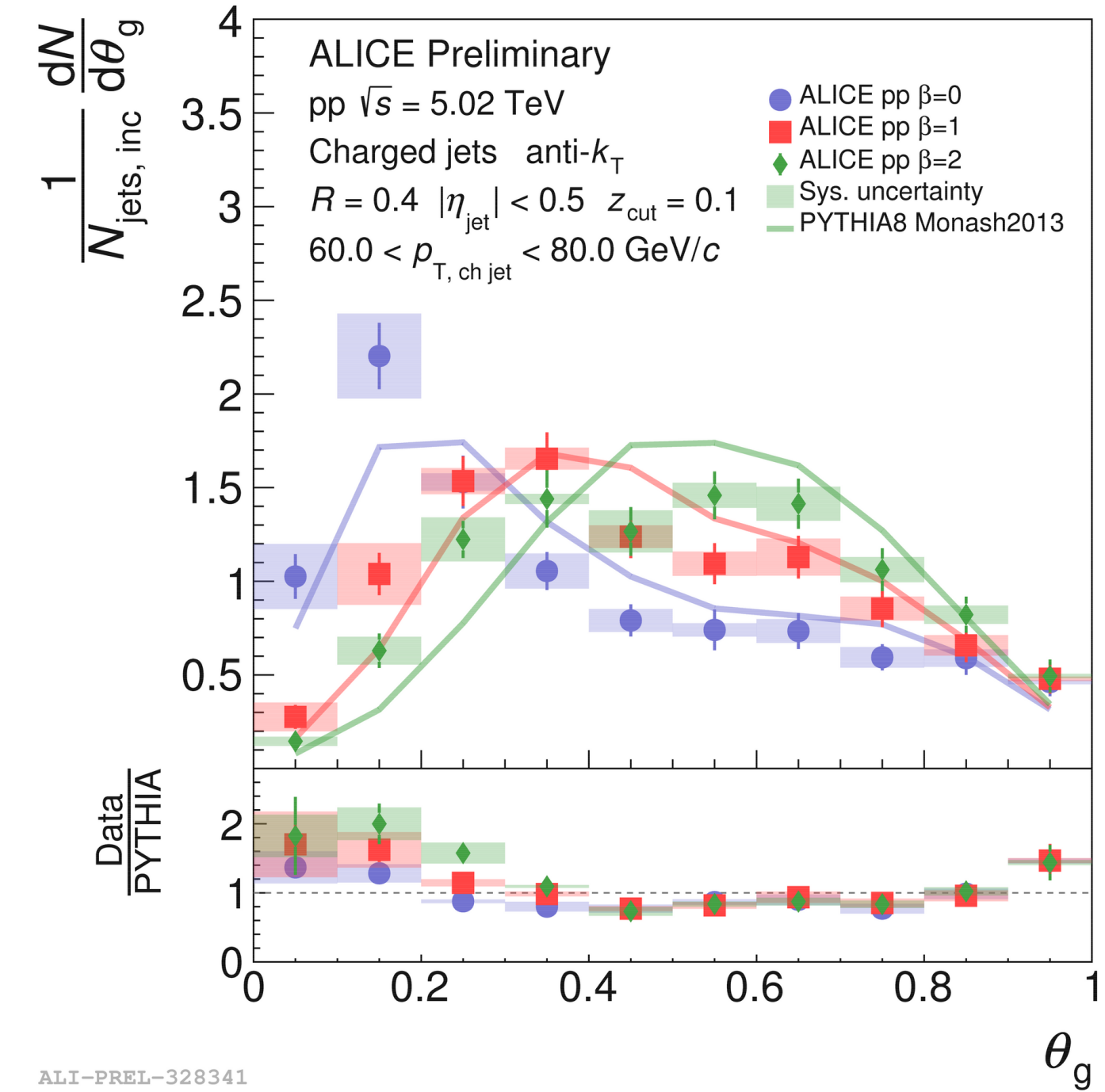
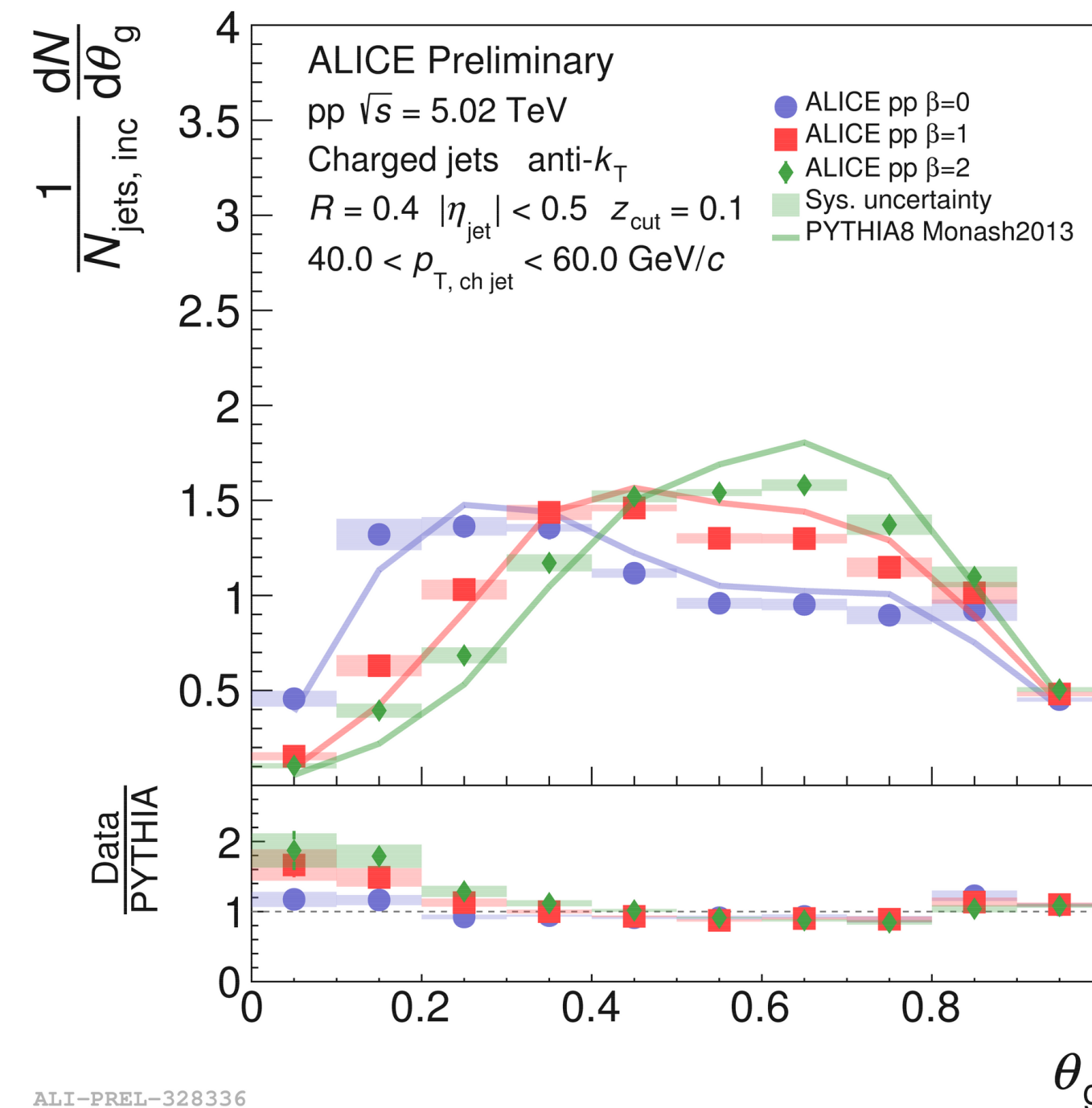
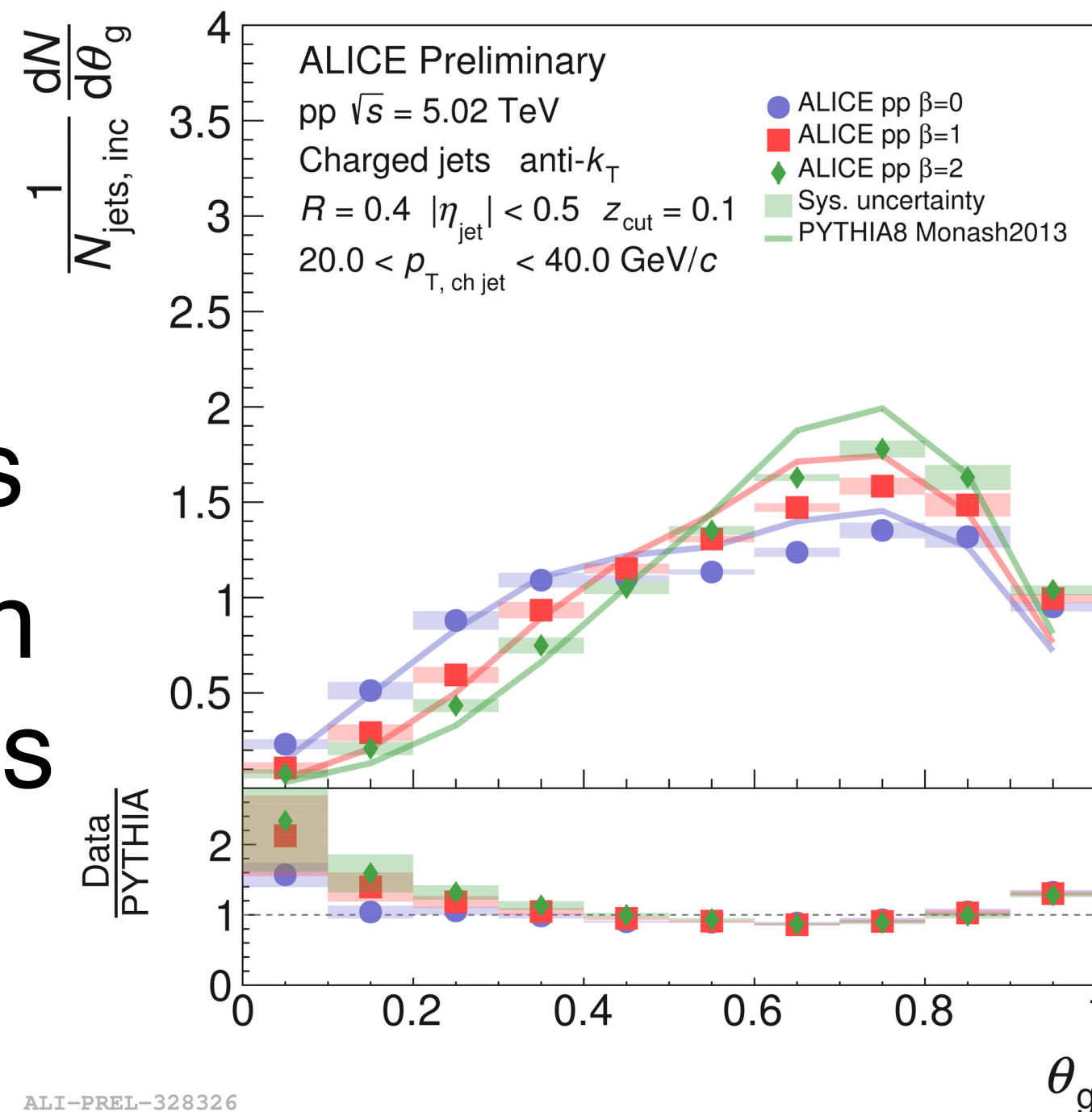


$$\theta_g = \frac{R_g}{R} = \frac{\sqrt{\Delta\eta^2 + \Delta\phi^2}}{R}$$

- Dependence on grooming (β) measured:

► *Useful to constrain pQCD calculations and non-perturbative effects**

- Increasing β increases contribution of wider jets



ALI-PREL-328326

ALI-PREL-328336

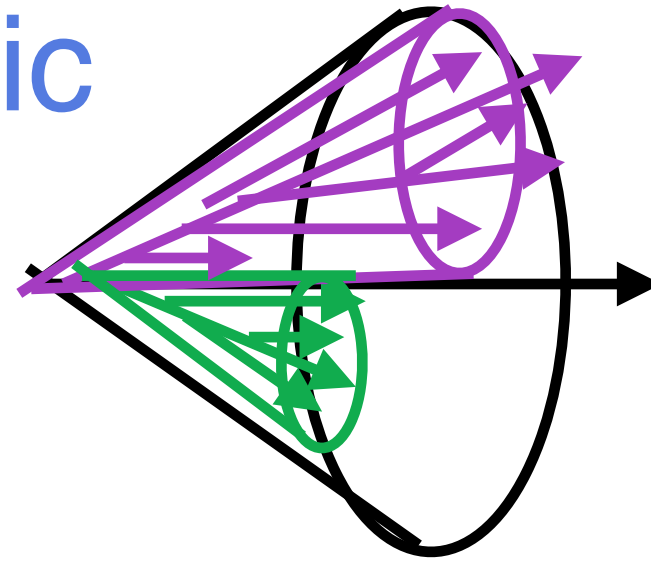
ALI-PREL-328341

*arXiv:1908.01783v1

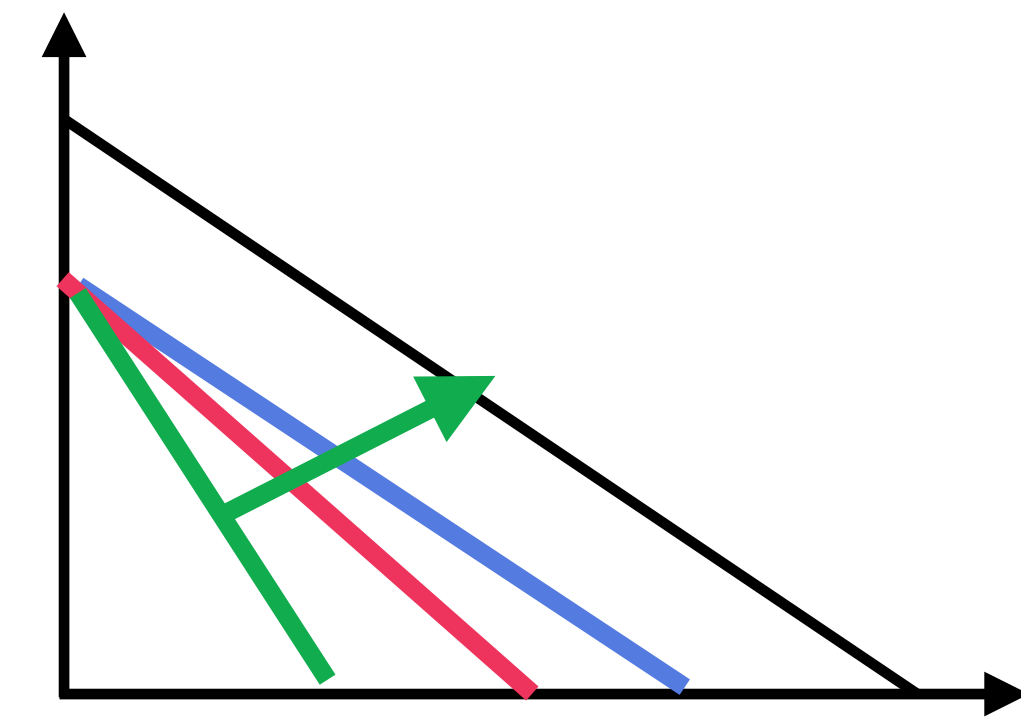
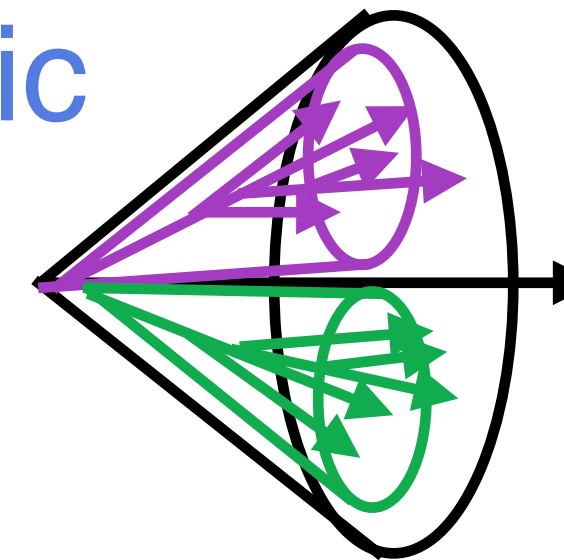
z_g : jet splitting

$$z_g = \frac{\min(p_{Ti}, p_{Tj})}{p_{Ti} + p_{Tj}}$$

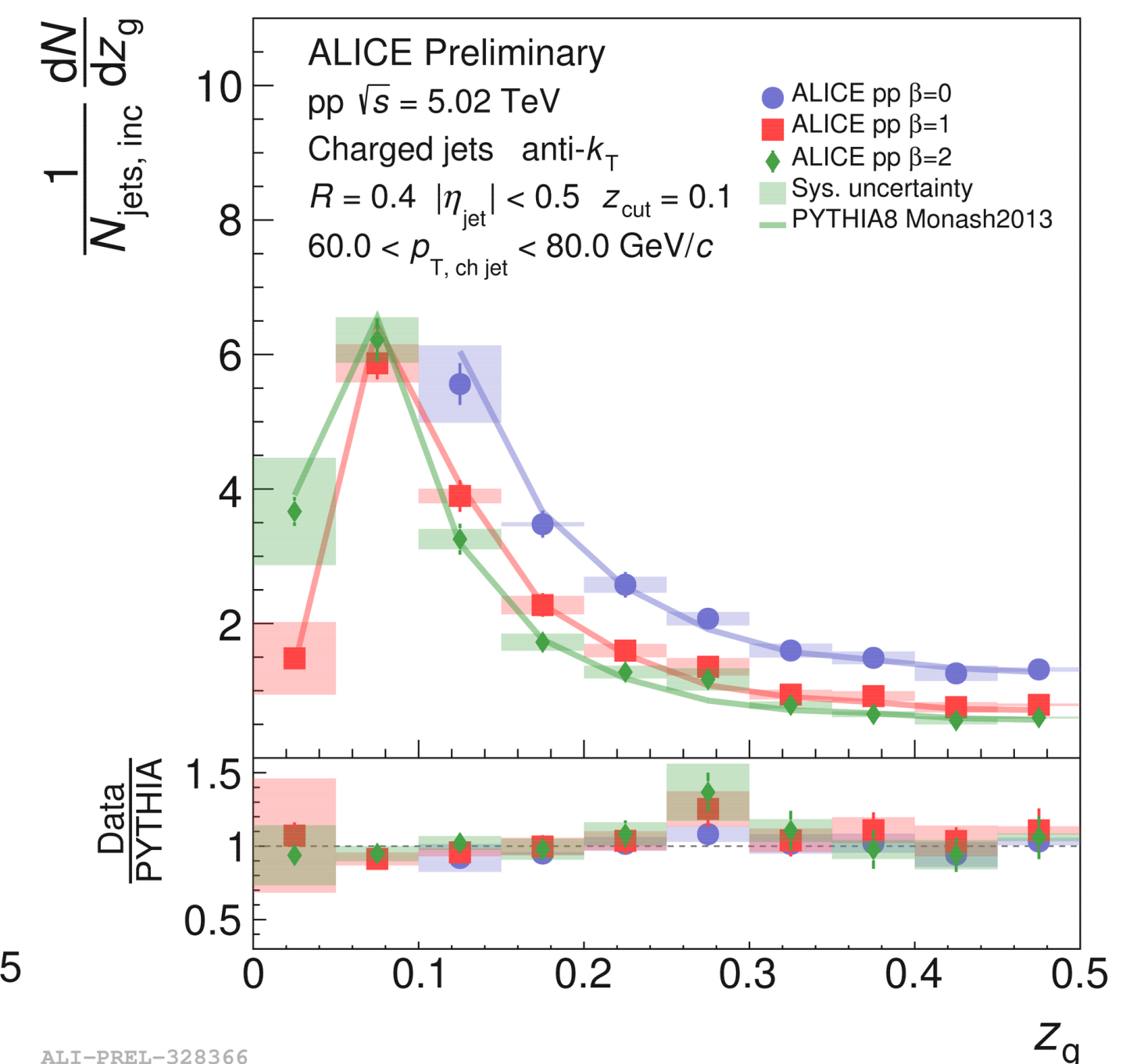
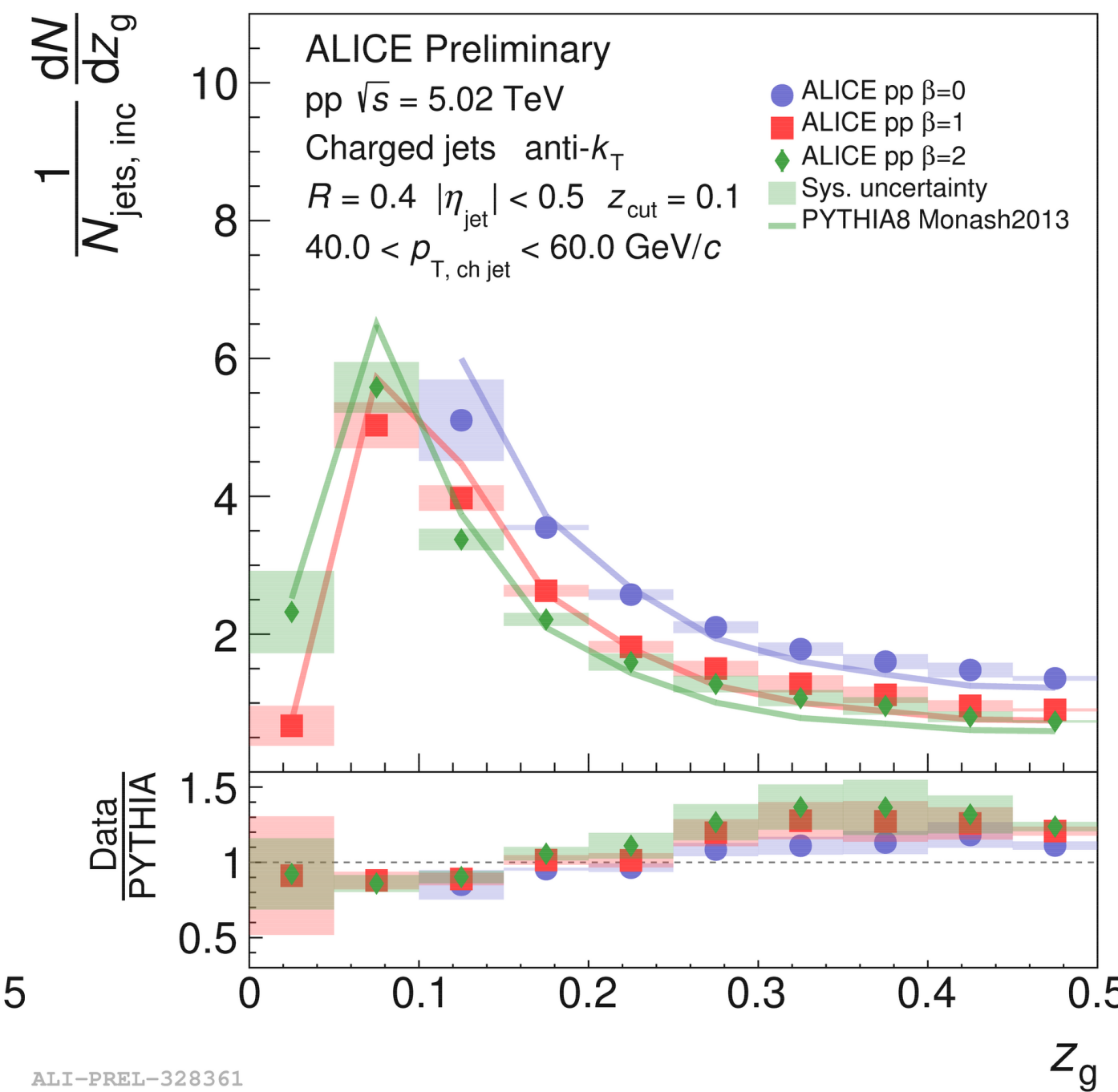
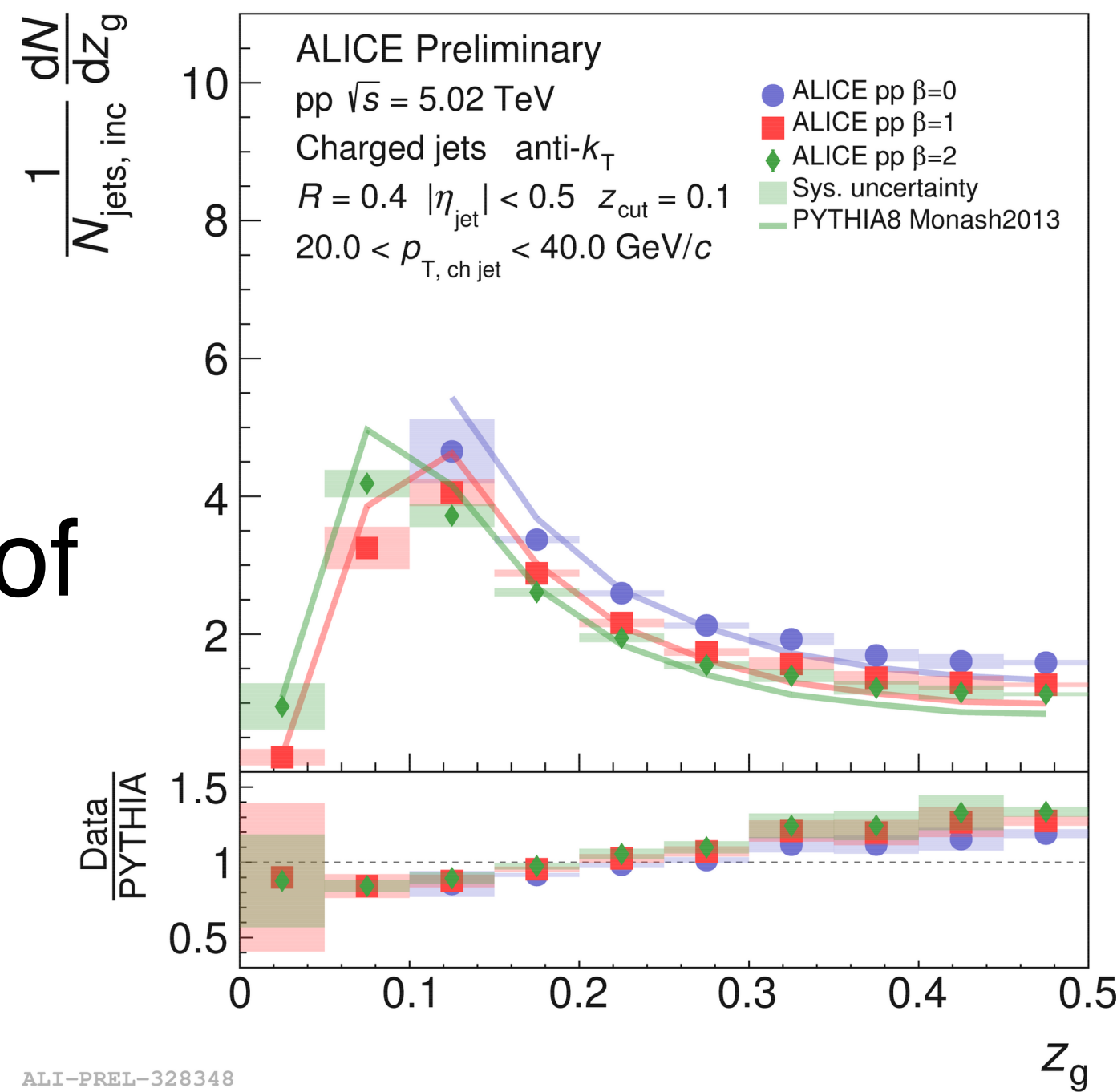
asymmetric
splitting:
low z_g



symmetric
splitting:
high z_g



- Increasing β increases contribution of asymmetric jets

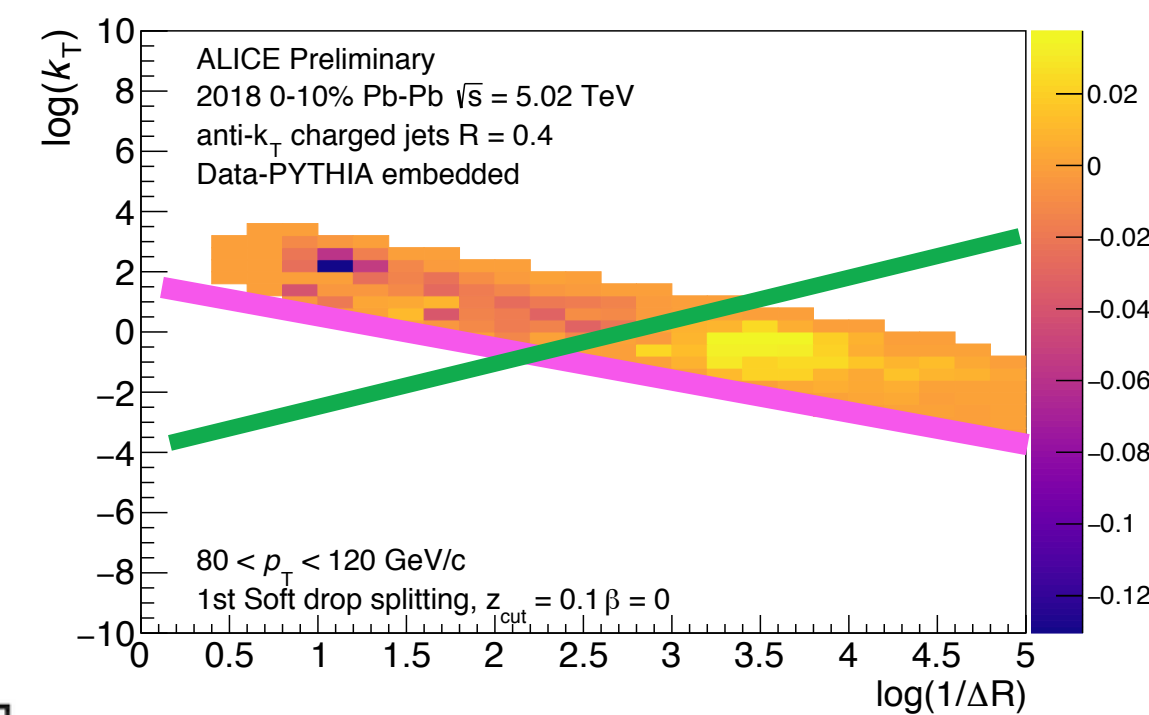


► Both θ_g and z_g mostly consistent with PYTHIA8

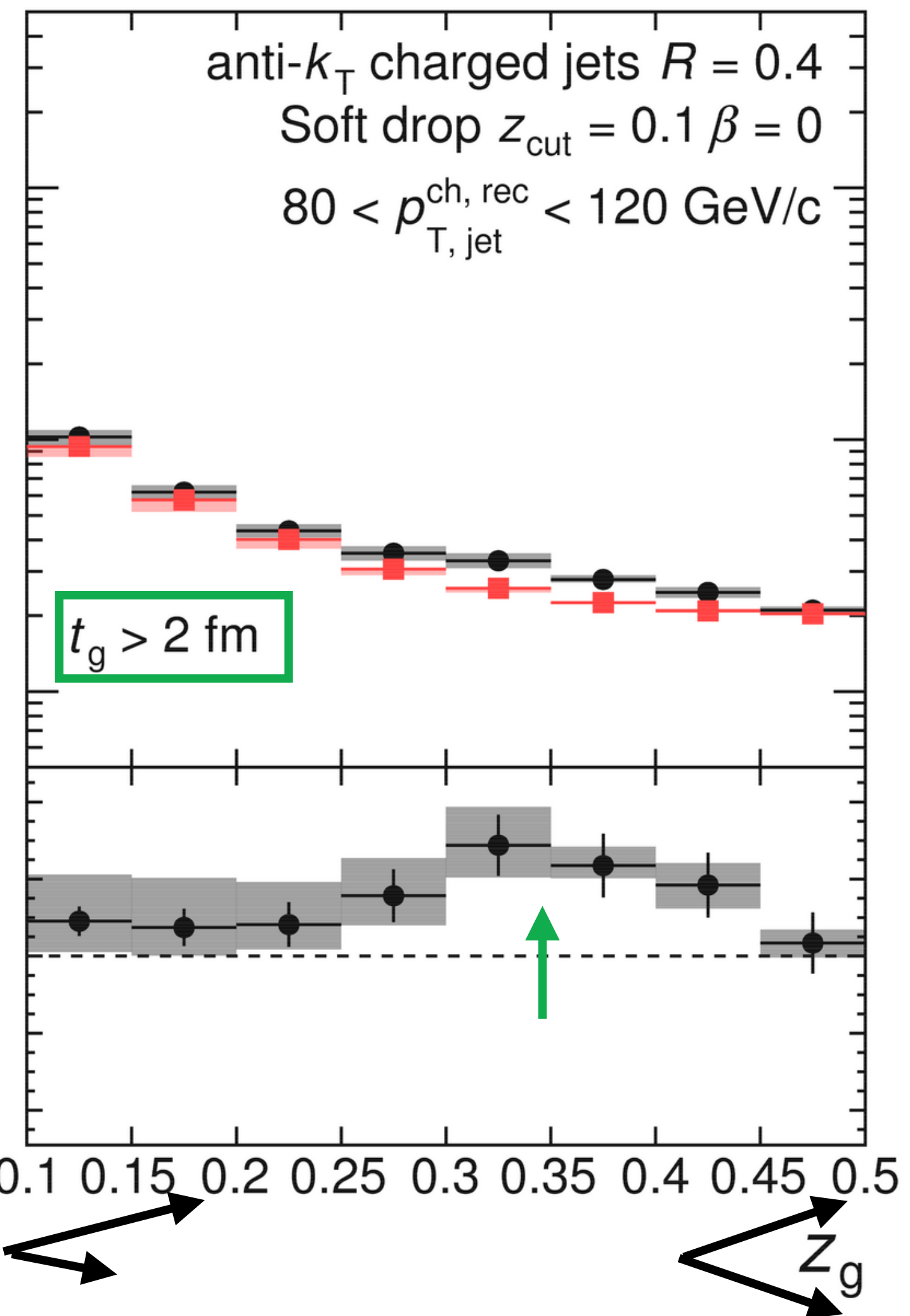
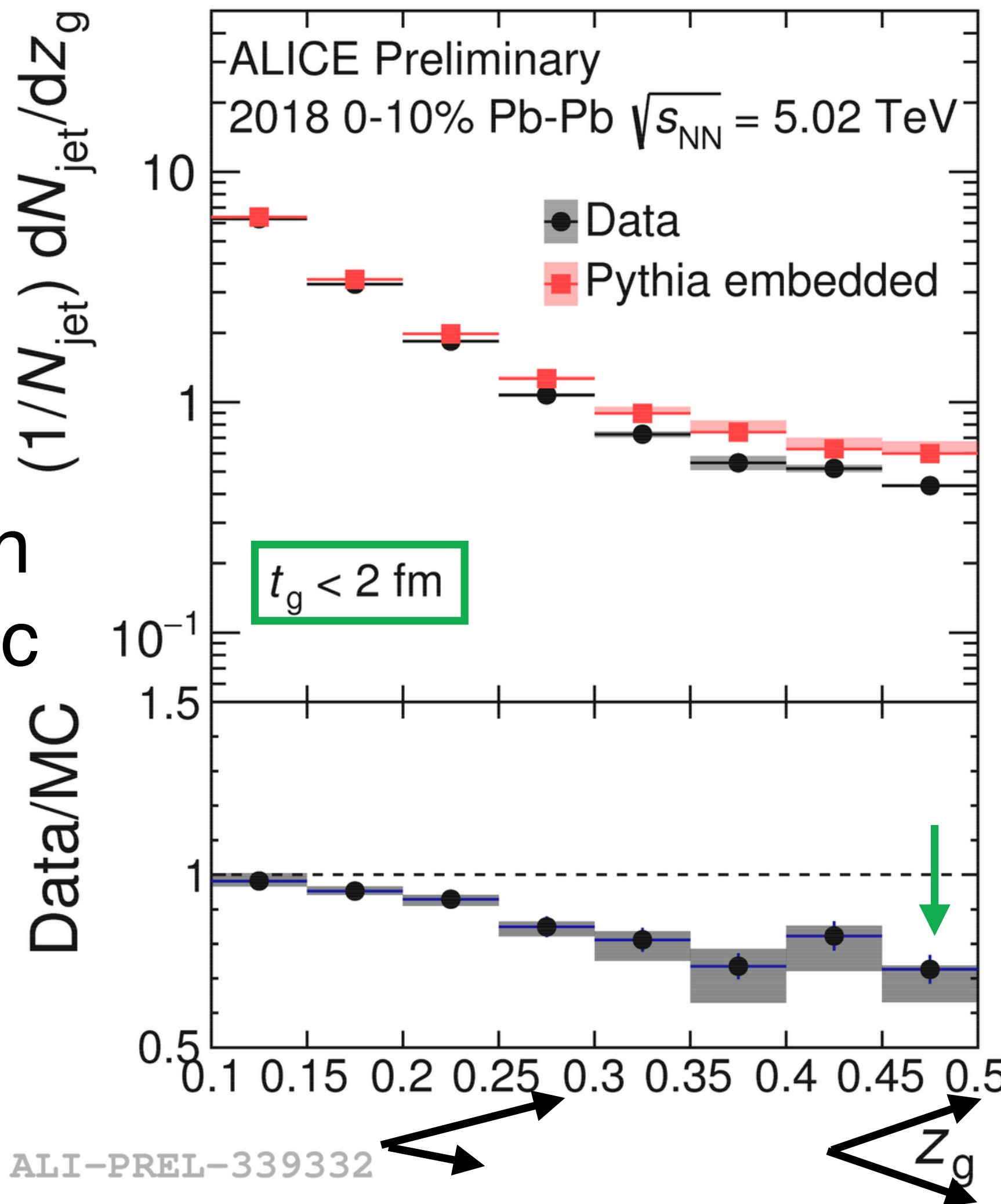
► Serve as baseline for future unfolded Pb-Pb measurements

z_g : groomed time

$$t_g = \frac{1}{(1 - z_g)k_{Tg}R_g}$$



Early splittings



Late splittings

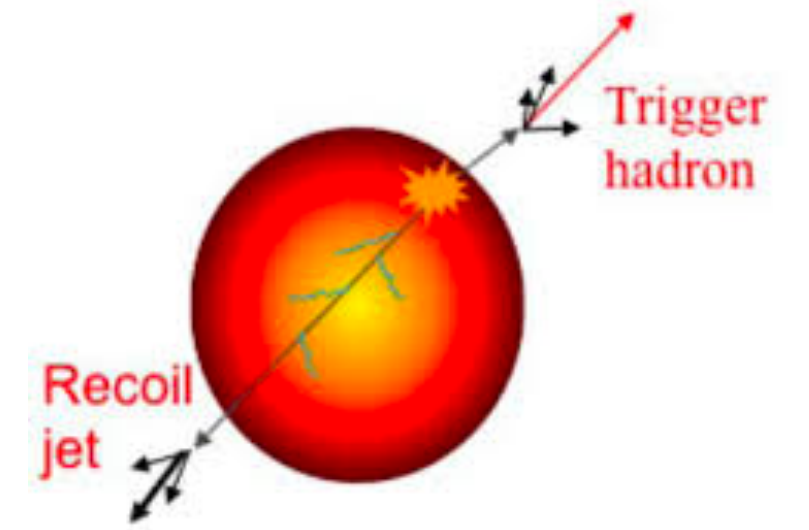
• Suppression of symmetric splittings?

• Enhancement of splittings?

• Similar trend as angular cuts

ALI-PREL-339332

Removing fake splittings



- Try ways of removing these fake splittings from combinatoric jets
- Try using semi-inclusive hadron+jet measurement as done for the 2-subjettiness

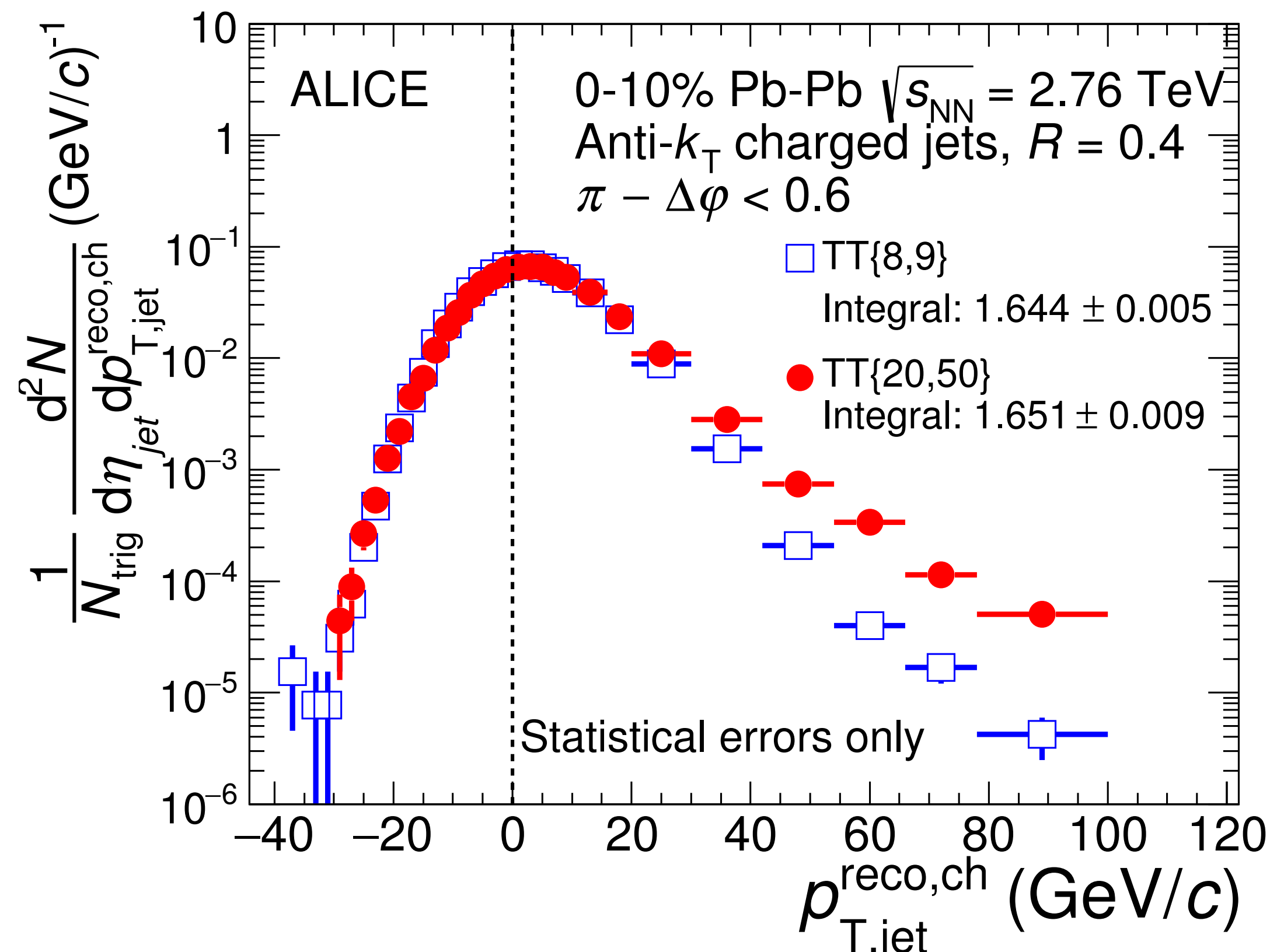
- Difference in recoil jet yield from two different high p_T hadron trigger classes

$$\Delta Y = Y1 - Y2$$

ΔY is combinatoric free!

- Need to do unfold in 2D in jet p_T and groomed jet variable

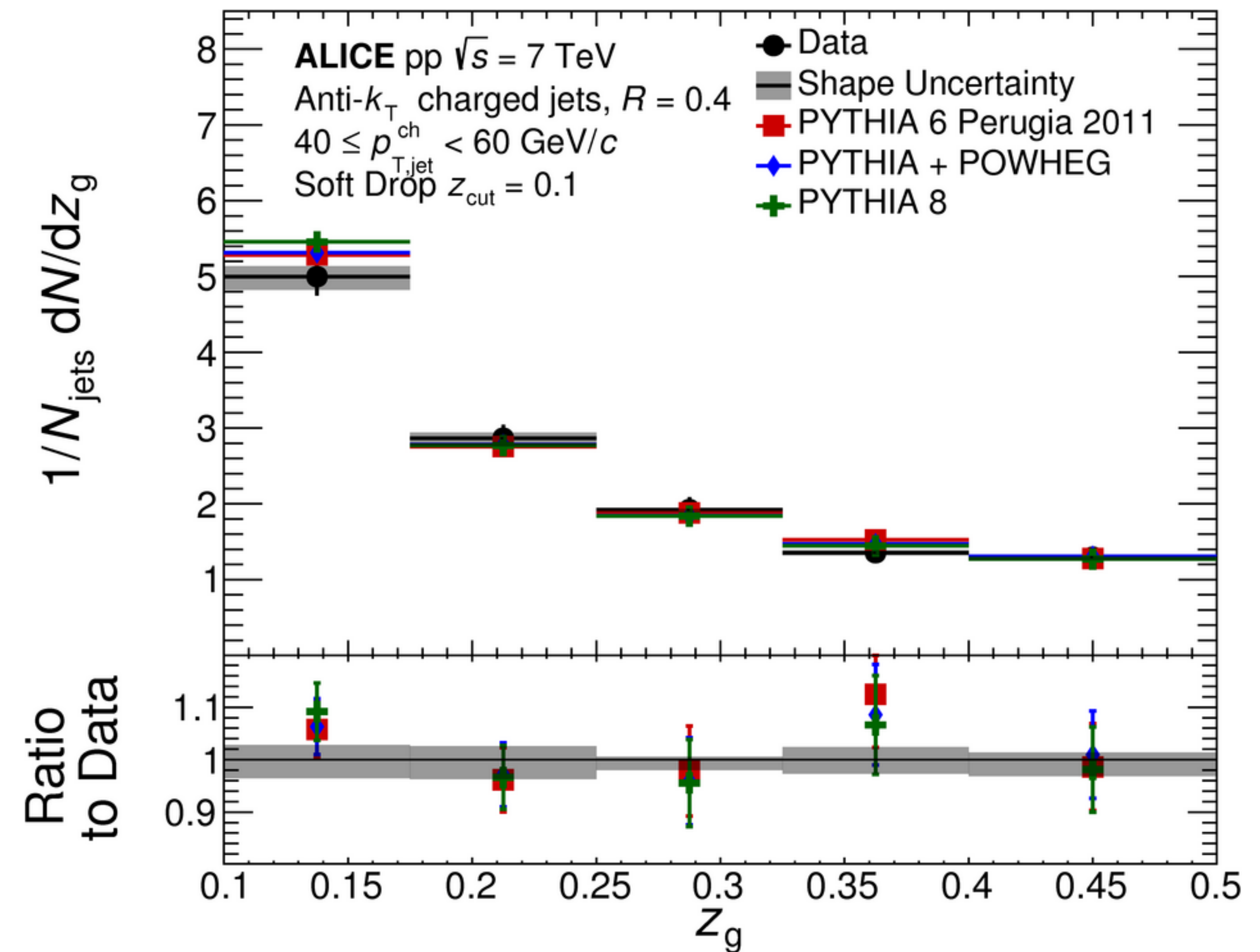
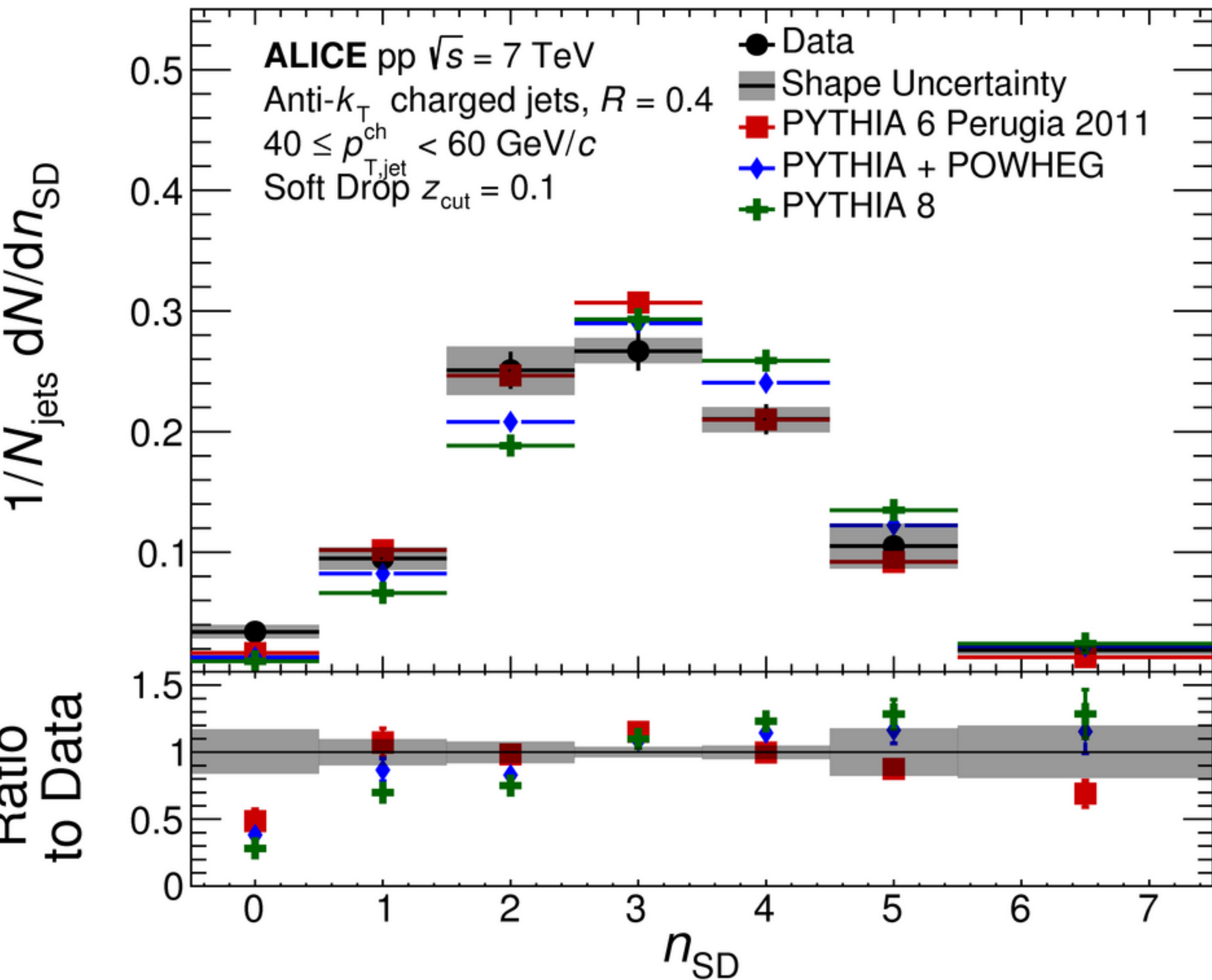
► *Other ways? Machine learning, event mixing, different groomings, other subtraction methods, etc.*



Systematic Uncertainties

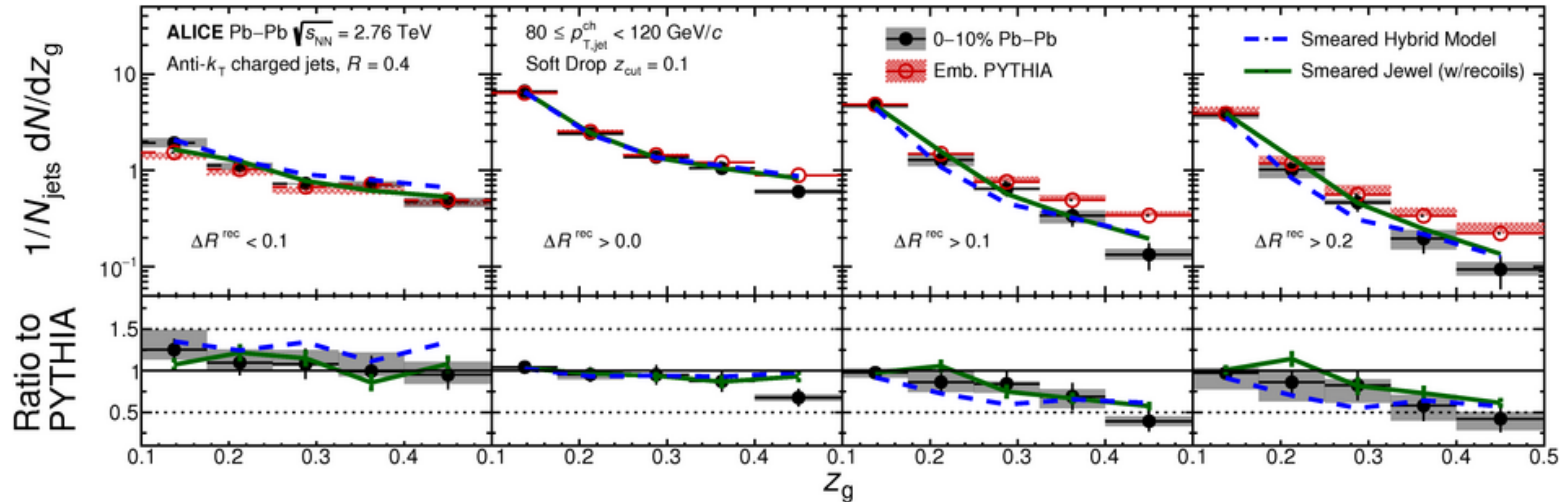
- Data:
 - ➡ Tracking inefficiency: the tracking efficiency was lowered in the embedding by 4% and the effect was evaluated on the embedded MC and apply to data
 - ➡ Varying cuts of t_f , $\ln(k_t)$, and dR by $\pm 10\%$
 - ➡ Reweighting the prior by Herwig/Pythia to account for differences in the model
 - ➡ Double counting

z_g and n_{SD} in pp collisions at 7 TeV



[arXiv:1905.02512v1](https://arxiv.org/abs/1905.02512v1)

z_g Pb-Pb collisions at 2.76 TeV



[arXiv:1905.02512v1](https://arxiv.org/abs/1905.02512v1)

Formation time

- Plan to explore if we can select on early or late splittings using the formation time calculated from CA declustering?

➡ How well does it correlate with QCD formation time?

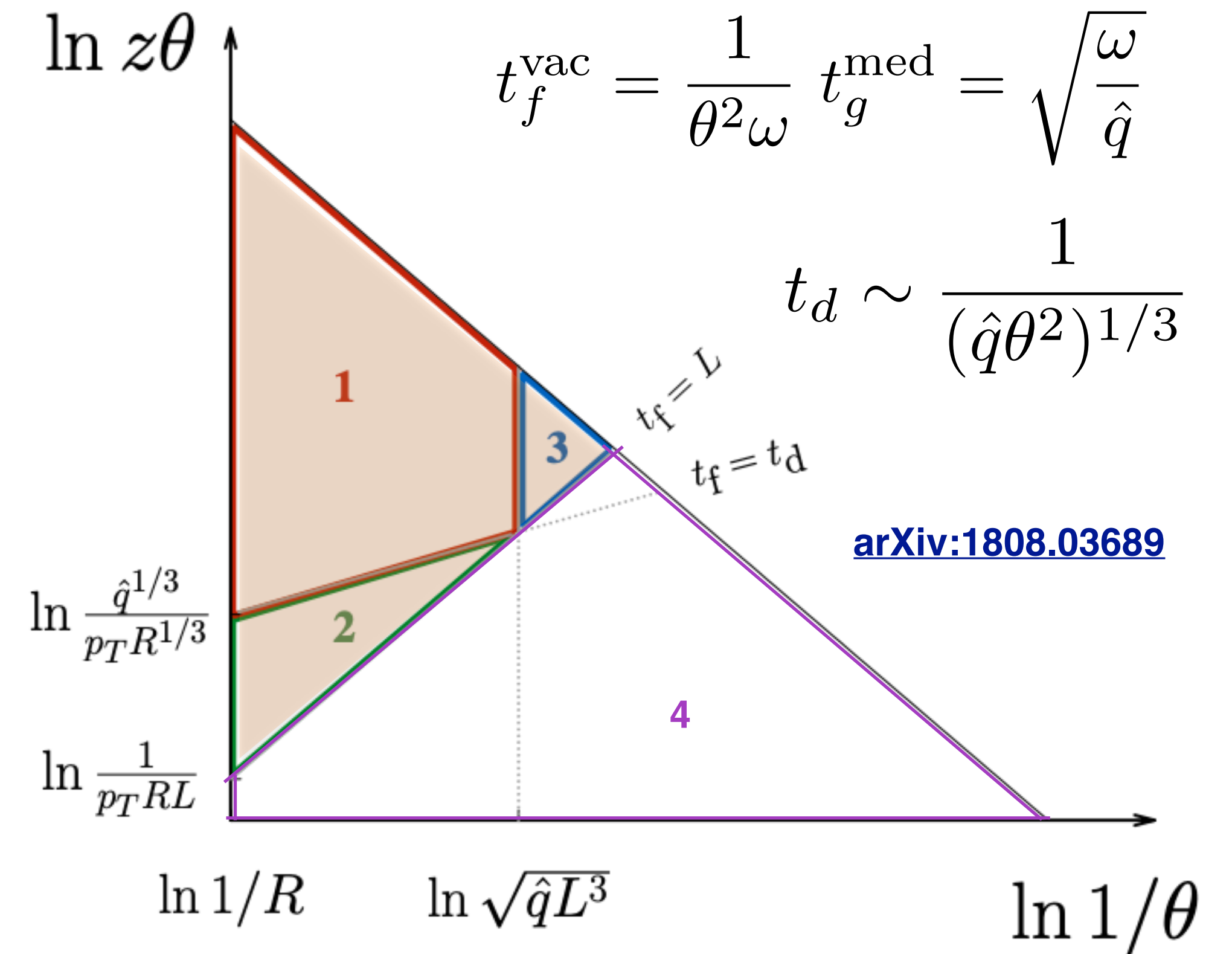
1: Vacuum splitting in-medium that is resolved (decoherence)

2: Medium-induced splittings

3: Splitting in-medium that isn't resolved (coherence)

4: Vacuum splitting outside of medium

see L. Apolinário EPS-HEP



Caveat: this has a model dependence

Jet splitting: RHIC vs. LHC

- Formation time for gluons in vacuum or **medium**

$$t_f^{\text{vac}} = \frac{1}{\theta^2 \omega} \quad t_g^{\text{med}} = \sqrt{\frac{\omega}{\hat{q}}}$$

- Wider splittings form earlier in a vacuum so more likely to see the medium

- Low energy gluons emitted later and may not see medium (STAR vs. LHC)

- RHIC and LHC not necessarily in contradiction because they probe different formation times

