

# Small systems in heavy ion collisions

Ron Belmont  
University of North Carolina at Greensboro

JETSCAPE Workshop  
The Internet  
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# A brief history of heavy ion physics

- 1973—Formulation of QCD
- 1974—MIT bag model of hadrons
- 1975—Collins and Perry show existence of QCD plasma
- 1979—Shuryak coins “QGP” and proposes use of heavy ion collisions
- 1980s and 1990s—AGS and SPS... QGP at SPS!
- Early 2000s—QGP at RHIC! No QGP at SPS? d+Au as control.
- Mid-late 2000s—Detailed, quantitative studies of strongly coupled QGP. d+Au as control.
- 2010—Ridge in high multiplicity p+p (LHC)! Probably CGC!
- Early 2010s—QGP in p+Pb!
- Early 2010s—QGP in d+Au!
- Mid 2010s and now-ish—QGP in high multiplicity p+p? QGP in mid-multiplicity p+p??  
QGP in d+Au even at low energies???

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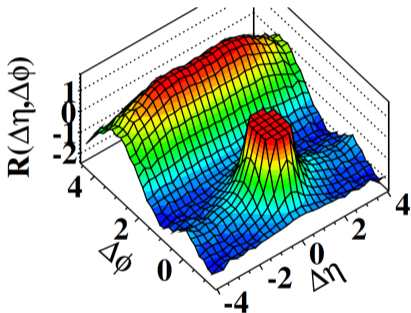
“Twenty years ago, the challenge in heavy ion physics was to find the QGP. Now, the challenge is to not find it.” —Jürgen Schukraft, QM17

# The ridge in small systems at the LHC

JHEP 1009, 091 (2010)

Phys. Lett. B 718, 795 (2013)

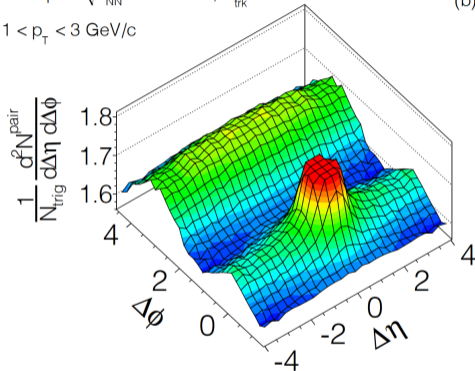
(d) CMS  $N \geq 110$ ,  $1.0 \text{ GeV}/c < p_T < 3.0 \text{ GeV}/c$



CMS pPb  $\sqrt{s_{NN}} = 5.02 \text{ TeV}$ ,  $N_{\text{trk}}^{\text{offline}} \geq 110$

$1 < p_T < 3 \text{ GeV}/c$

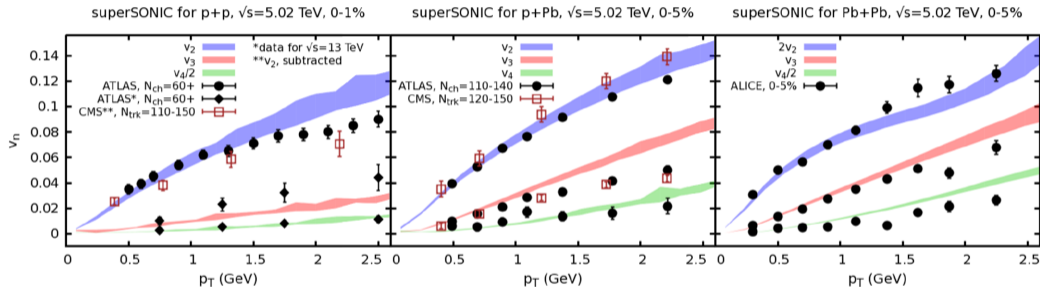
(b)



- Extended structure away from near-side jet peak interpreted as collective effect due to presence of QGP

# Flow in small systems at the LHC

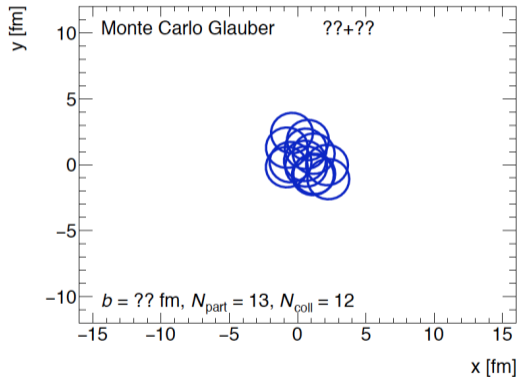
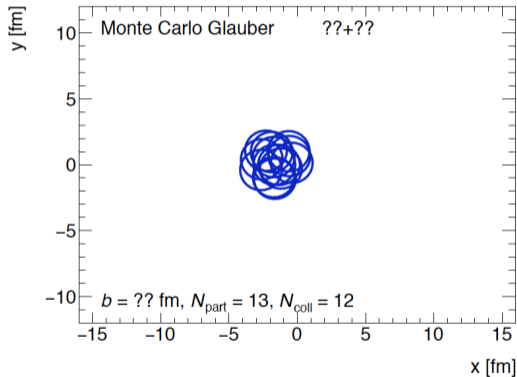
Weller & Romatschke, Phys. Lett. B 774, 351 (2017)



- Hydrodynamics provides simultaneous description of  $v_2$ ,  $v_3$ ,  $v_4$  in  $p+p$ ,  $p+Pb$ ,  $Pb+Pb$

# Which is which?

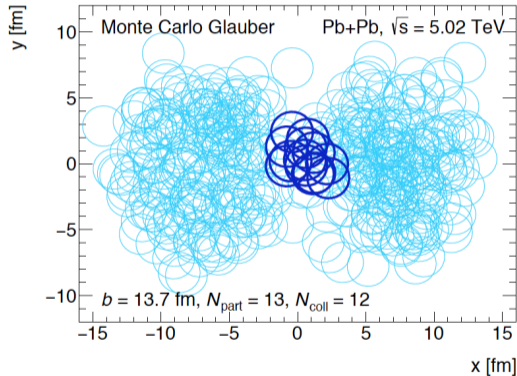
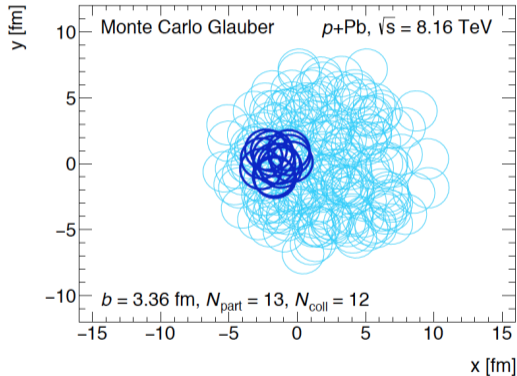
Figures courtesy D.V. Perepelitsa



...maybe we shouldn't be so surprised?

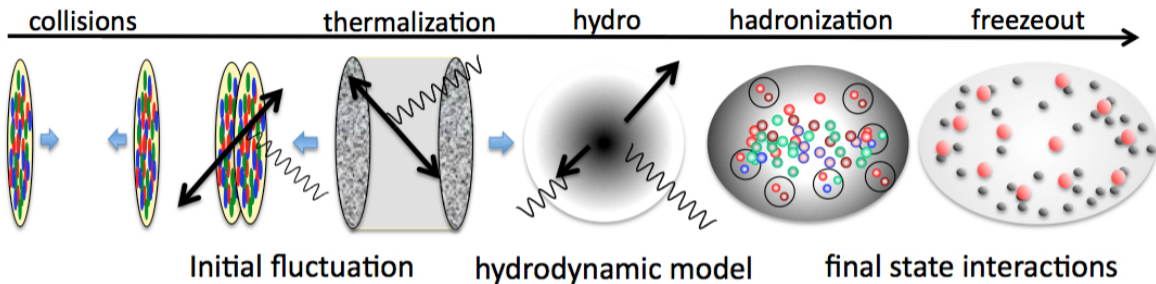
# Which is which?

Figures courtesy D.V. Perepelitsa



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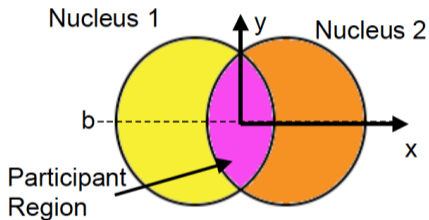
# Standard model of heavy ion physics



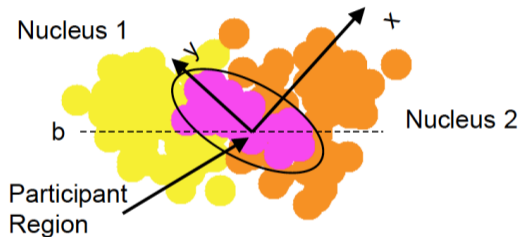
Based on developments in hydro theory over the last few years, we should replace “thermalization” with “hydrodynamization” (or “pseudo-thermalization” per M. Strickland, WWND20)



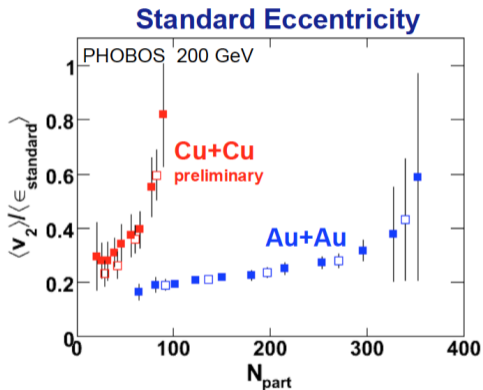
## Standard Eccentricity



## Participant Eccentricity



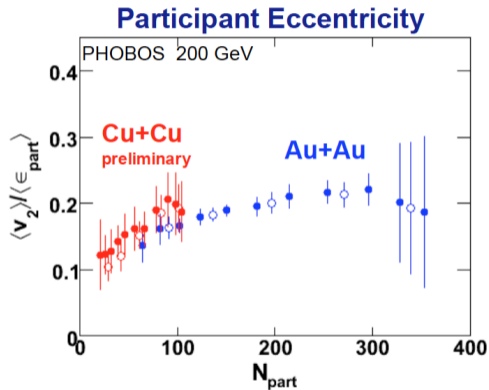
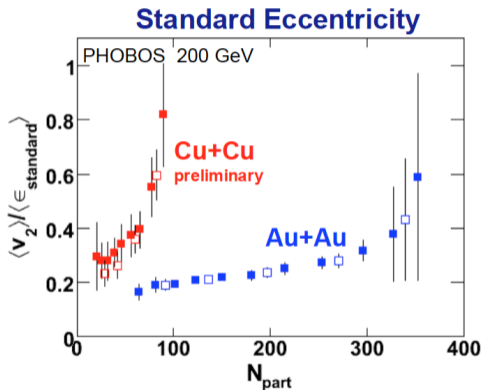
A nucleus isn't just a sphere  
Optical Glauber  $\rightarrow$  Monte Carlo Glauber



A nucleus isn't just a sphere  
Optical Glauber  $\rightarrow$  Monte Carlo Glauber

# Important discovery in 2005

G. Roland, PHOBOS Plenary, Quark Matter 2005



A nucleus isn't just a sphere  
Optical Glauber  $\rightarrow$  Monte Carlo Glauber

# Important discovery in 2005

R. Andrade et al, Eur. Phys. J. A 29, 23-26 (2006)

NeXSPheRIO results on elliptic flow at RHIC and connection with thermalization

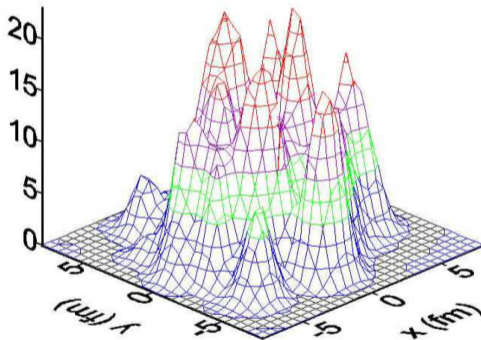
R.Andrade<sup>1</sup>, F.Grassi<sup>1</sup>, Y.Hama<sup>1</sup>, T.Kodama<sup>2</sup>, O.Socolowski Jr.<sup>3</sup>, and B.Tavares<sup>2</sup>

<sup>1</sup> Instituto de Física, USP,  
C. P. 66318, 05315-970 São Paulo-SP, Brazil

<sup>2</sup> Instituto de Física, UFRJ,  
C. P. 68528, 21945-970 Rio de Janeiro-RJ , Brazil

<sup>3</sup> CTA/ITA,  
Praça Marechal Eduardo Gomes 50, CEP 12228-900 São José dos Campos-SP,  
Brazil

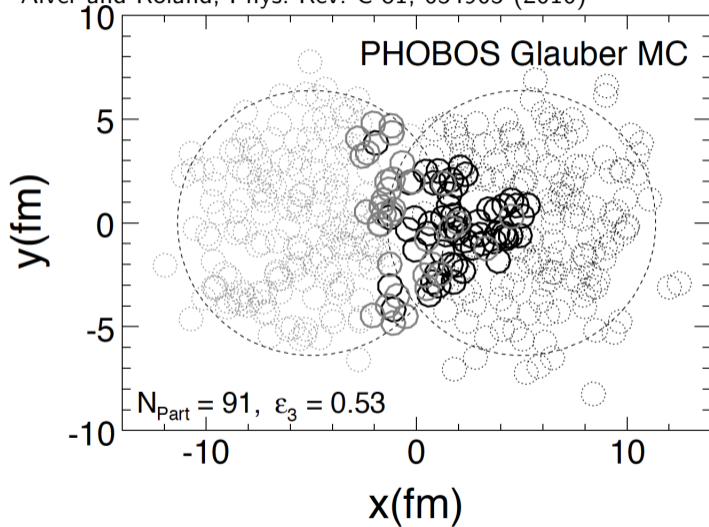
*Received 1 January 2004*



Worth noting that lumpy initial conditions were predicted as early as 2004

# Important discovery in 2010

Alver and Roland, Phys. Rev. C 81, 054905 (2010)



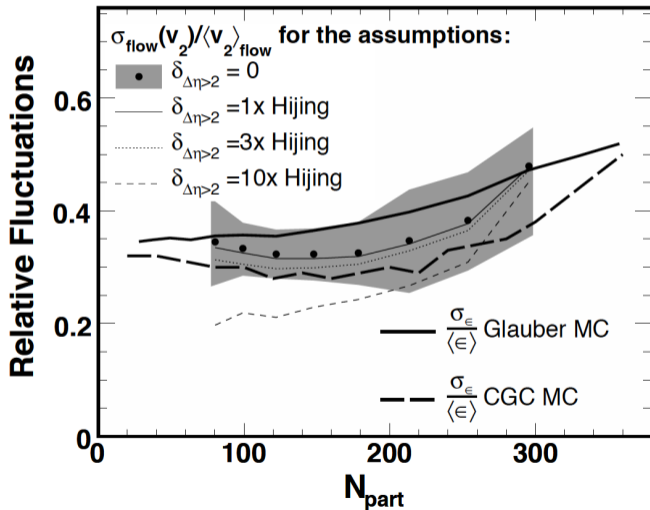
Nucleon fluctuations can produce non-zero  $\epsilon_n$  for odd  $n$

Symmetry planes  $\psi_n$  can be different for different harmonics

$$\varphi = \phi_{lab} - \psi_n$$

# Fluctuations in large systems

PHOBOS, Phys. Rev. C 81, 034915 (2010)



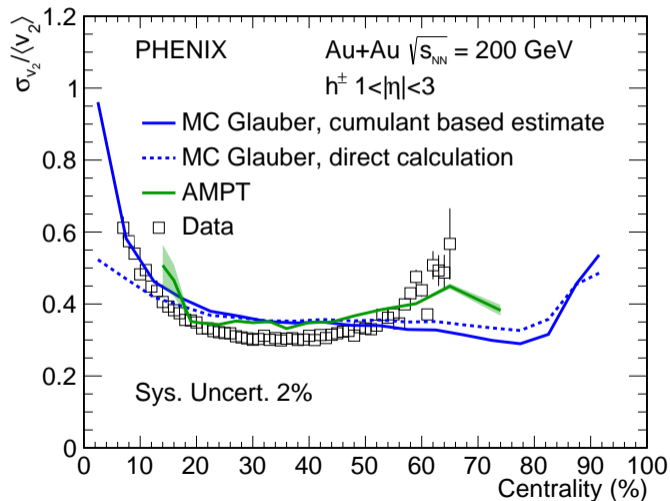
Fluctuations should also be translated, so measure  $\sigma_{v_2}/\langle v_2 \rangle$

$$|\eta| < 1$$

Generally good agreement with models of initial geometry

# Fluctuations in large systems

PHENIX, Phys. Rev. C 99, 024903 (2019)



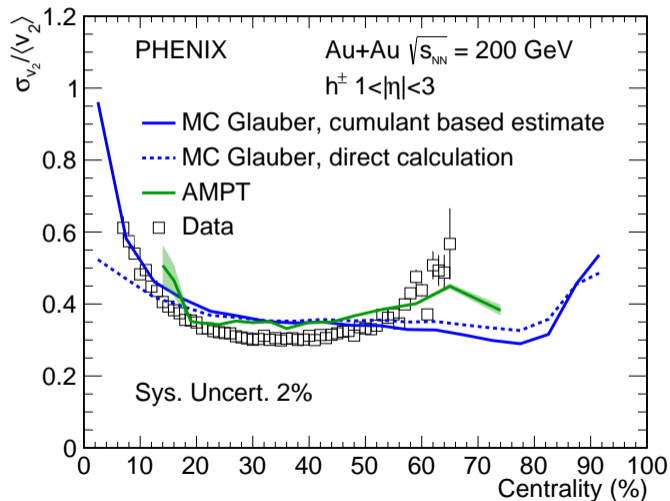
Fluctuations should also be translated, so measure  $\sigma_{v_2}/\langle v_2 \rangle$

$$1 < |\eta| < 3$$

Generally good agreement with models of initial geometry

# Fluctuations in large systems

PHENIX, Phys. Rev. C 99, 024903 (2019)



Fluctuations should also be translated, so measure  $\sigma_{v_2}/\langle v_2 \rangle$

$$1 < |\eta| < 3$$

Central: breakdown of small-variance limit

Peripheral: non-linearity in hydro response (e.g. J. Noronha-Hostler et al Phys. Rev. C 93, 014909 (2016))



Small systems geometry scan

Given what we know, can we use geometry to understand small systems?

## PHYSICAL REVIEW LETTERS

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### Exploiting Intrinsic Triangular Geometry in Relativistic $^3\text{He} + \text{Au}$ Collisions to Disentangle Medium Properties

J. L. Nagle, A. Adare, S. Beckman, T. Koblesky, J. Orjuela Koop, D. McGlinchey, P. Romatschke, J. Carlson, J. E. Lynn, and M. McCumber

Phys. Rev. Lett. **113**, 112301 – Published 12 September 2014

- Collective motion translates initial geometry into final state distributions
- To determine whether small systems exhibit collectivity, we can adjust the geometry and compare across systems
- We can also test predictions of hydrodynamics with a QGP phase

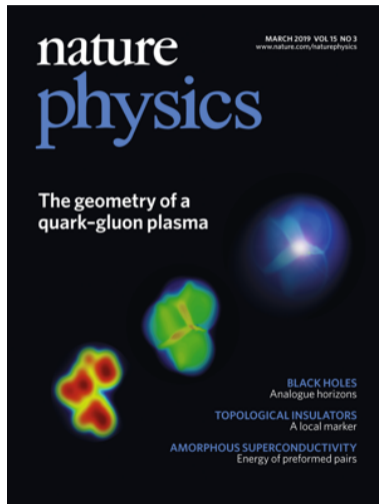
## nature physics

Letter | Published: 10 December 2018

### Creation of quark–gluon plasma droplets with three distinct geometries

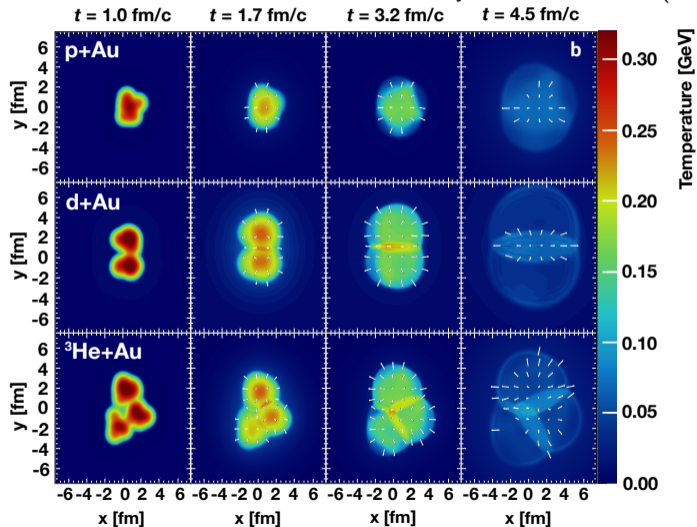
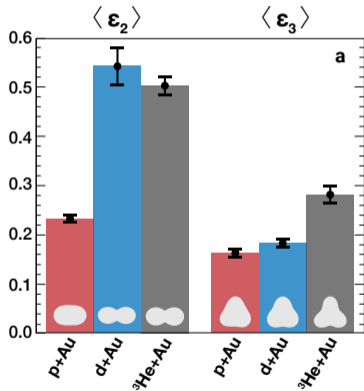
PHENIX Collaboration

*Nature Physics* **15**, 214–220(2019) | [Cite this article](#)



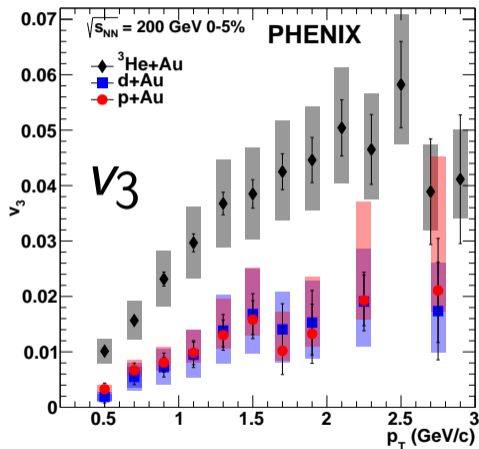
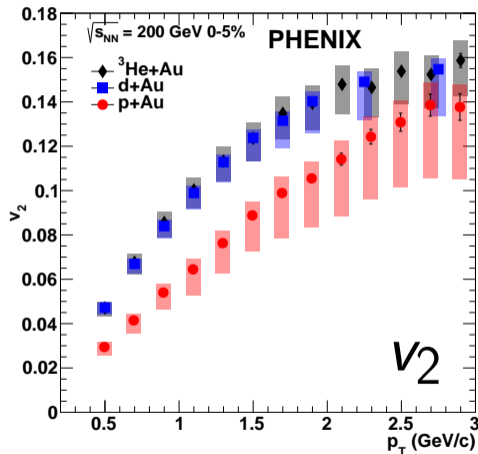
# Testing hydro by controlling system geometry

Nature Physics 15, 214–220 (2019)



# Testing hydro by controlling system geometry

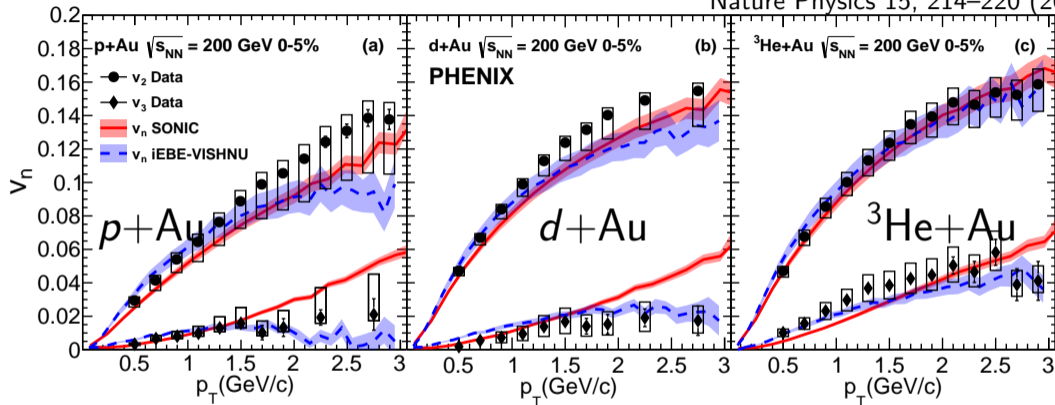
Nature Physics 15, 214–220 (2019)



- $v_2$  and  $v_3$  ordering matches  $\varepsilon_2$  and  $\varepsilon_3$  ordering in all three systems  
—Regardless of mechanism, the correlation is geometrical and thus collective

# Testing hydro by controlling system geometry

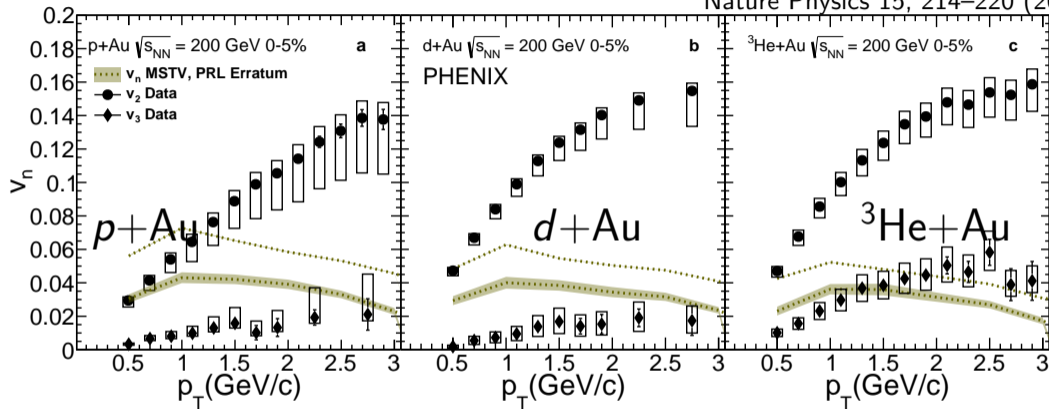
Nature Physics 15, 214–220 (2019)



- $v_2$  and  $v_3$  vs  $p_T$  predicted or described very well by hydrodynamics in all three systems
  - All predicted (except  $v_2$  in  $d+Au$ ) in J.L. Nagle et al, PRL 113, 112301 (2014)
  - $v_3$  in  $p+Au$  and  $d+Au$  predicted in C. Shen et al, PRC 95, 014906 (2017)

# Testing hydro by controlling system geometry

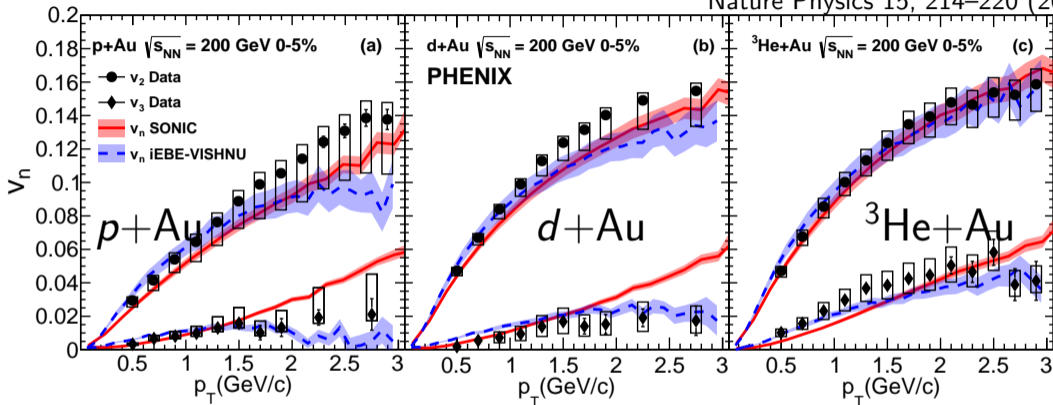
Nature Physics 15, 214–220 (2019)



- $v_2$  and  $v_3$  vs  $p_T$  predicted or described very well by hydrodynamics in all three systems
- Initial state models do not reproduce the data  
—Phys. Rev. Lett. 123, 039901 (Erratum) (2019)

# Testing hydro by controlling system geometry

Nature Physics 15, 214–220 (2019)

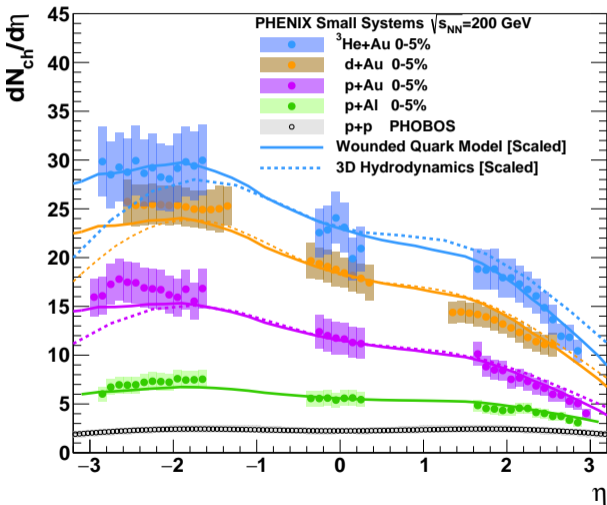


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# Longitudinal dynamics in small systems

Phys. Rev. Lett. 121, 222301 (2018)



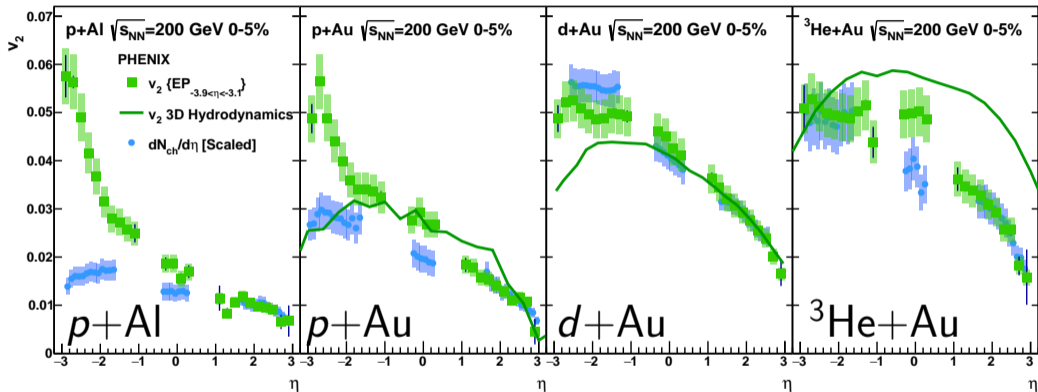
$p+\text{Al}$ ,  $p+\text{Au}$ ,  $d+\text{Au}$ ,  $^3\text{He}+\text{Au}$

Good agreement with wounded quark model  
(M. Barej et al, Phys. Rev. C 97, 034901 (2018))

Good agreement with 3D hydro  
(P. Bozek et al, Phys. Lett. B 739, 308 (2014))

# Longitudinal dynamics in small systems

Phys. Rev. Lett. 121, 222301 (2018)



- $v_2$  vs  $\eta$  in  $p+Al$ ,  $p+Au$ ,  $d+Au$ , and  $^3He+Au$
- Good agreement with 3D hydro for  $p+Au$  and  $d+Au$  (Bozek et al, PLB 739, 308 (2014))
- Prevalence of non-flow near the EP detector, decreases with increasing system size/multiplicity

# Intermission

Can we turn the QGP off?

Let's have a look at  
*extremely* small systems

Arbitrarily large size

No QGP

QGP

Threshold size

No QGP

Arbitrarily small size

Arbitrarily  
low energy

Threshold energy

Arbitrarily  
high energy

# Extremely small systems in hydro theory

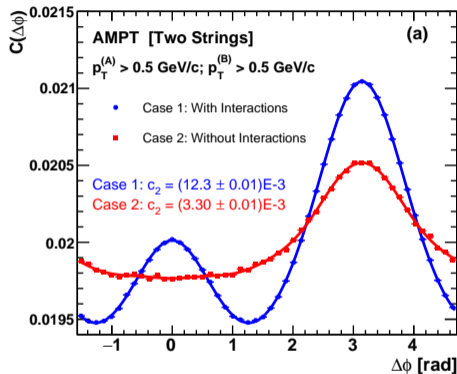
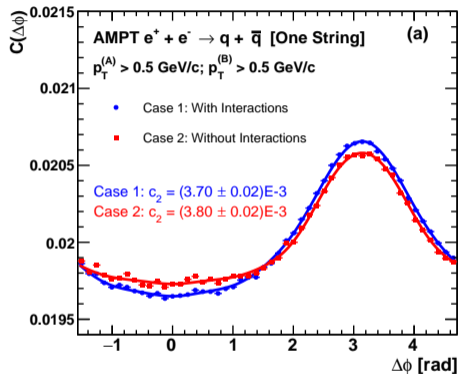
P. Romatschke, Eur. Phys. J. C 77, 21 (2017)

“I predict the breakdown of hydrodynamics at momenta of order seven times the temperature, corresponding to a smallest possible QCD liquid drop size of 0.15 fm.”

“In view of the ‘QGP drop size lower bound’ of 0.15 fm, it is maybe not surprising that the matter created in  $p+p$  collisions would behave hydrodynamically. At this scale, however,  $p+p$  collisions may not be the ultimate drop size test. QCD-QED couplings allow fluctuations of electrons to e.g. quark pairs, thus opening up the possibility of local energy deposition reminiscent of  $p+p$  collisions occurring in  $e^+e^-$  collisions (cf. Refs. [70–72]). Data on  $e^+e^-$  collisions taken at e.g. LEP should be re-analyzed with modern tools in order to find (or rule out) hydrodynamic behavior in these systems.”

# Extremely small systems in AMPT

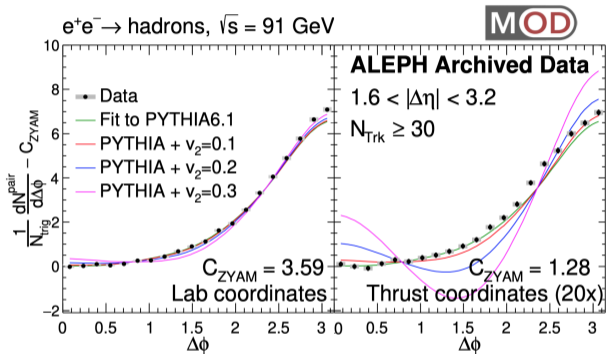
J.L. Nagle et al, Phys. Rev. C 97, 024909 (2018)



- A single color string ( $e^+ + e^-$  collisions) shows no sign of collectivity
- Two color strings shows collectivity  
—Small systems like  $p/d/{}^3\text{He} + \text{Au}$  have more

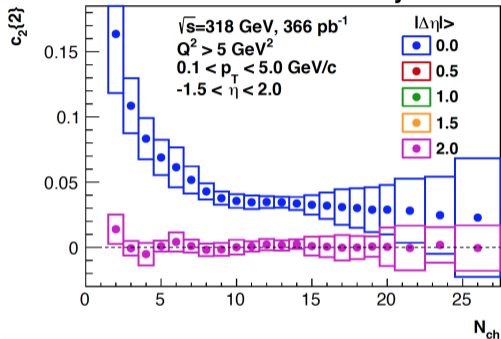
# Extremely small systems at LEP and HERA

A. Badea et al, Phys. Rev. Lett. 123, 212002 (2019)



J. Onderwaater, Quark Matter 18

**ZEUS Preliminary**



- No ridge in ALEPH  $e^+e^-$  data
- $c_2$  with eta gap is zero in ZEUS  $e+p$  data
- No apparent collectivity in leptonic collisions  
—More analysis is essential to better understand the data

# Extremely small systems at the EIC

**Understanding Small Droplets of QGP**

ep and eA collisions at high energy offer huge possibilities to clarify aspects of pp, pA and AA collisions:

- Initial conditions for macroscopic descriptions
- Nature of collectivity
- Thermalization
- Extraction of parameters of the medium
- Distinguish “genuine” QGP effects

*Elena Ferreiro*

**EICUG 2019**

**Electroproduction allows initial hadronic state smaller than a proton!**

Richard Milner  
DNP Meeting

10/14/2019 30

Considerable interest in the EIC community to use the EIC to explore this physics

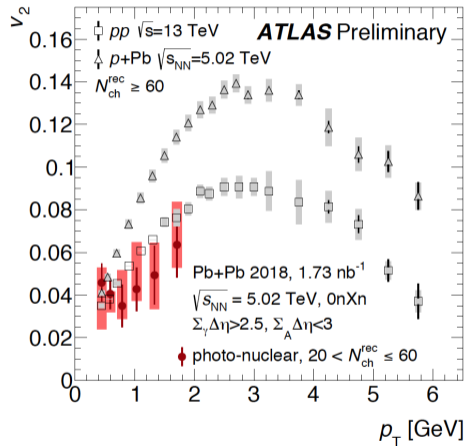
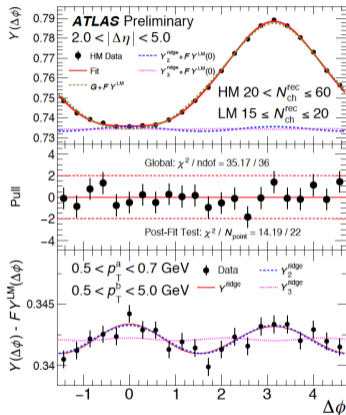
Richard Milner, Fall DNP 2019

Elena Ferreiro, EICUG 2019 (Paris)

# Flow in small systems at the LHC

D.V. Perepelitsa, ISMD 2019

ATLAS-CONF-2019-022



- Observation of collectivity in  $\gamma$ +Pb collisions
- Photon fluctuates into a vector meson (e.g.  $\rho$ )



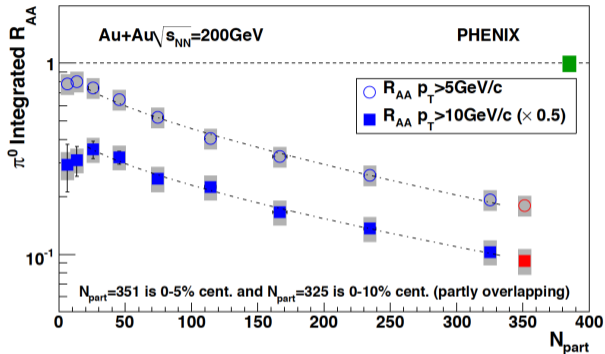
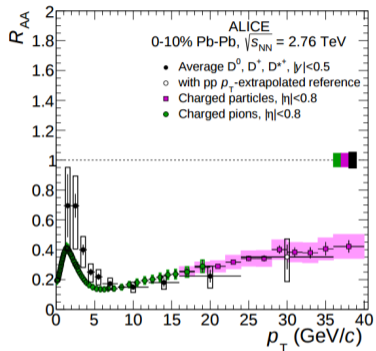
## Review of the story so far

- Observation of collectivity in  $p+p$ ,  $p+\text{Pb}$ , and all large systems at the LHC
  - Hydrodynamics describes a very wide array of observables
- Observation of collectivity in  $p+\text{Au}$ ,  $d+\text{Au}$ ,  $^3\text{He}+\text{Au}$ , and all large systems at RHIC
  - Hydrodynamics successfully predicted the outcome of the small systems geometry scan
- Apparent *absence* of collectivity in  $e^+e^-$  and  $e+p$  collisions
  - Highlights importance of high quality archival data
  - Highlights major opportunities for further study in  $e+p$  and  $e+A$  collisions (e.g. EIC)
- Observation of collectivity in  $\gamma+\text{Pb}$  at the LHC
  - Major additional motivation for further study at EIC

Hard scattering as understood by a flow person  
(Caveat emptor)

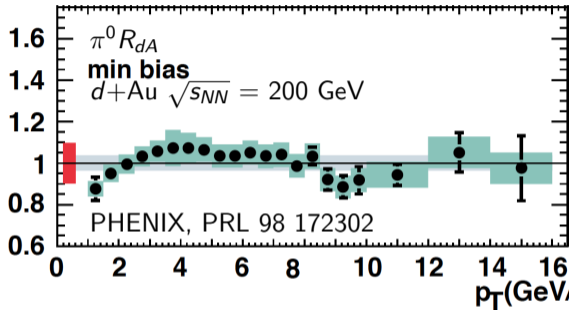
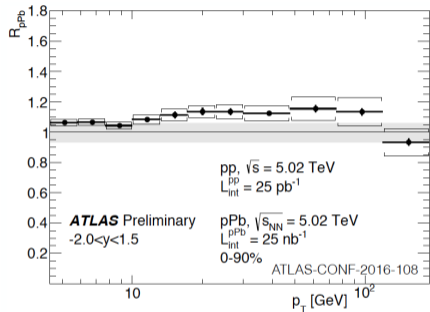
- Hard scattering means large momentum transfer  $Q^2$  between partons
- Leads to final state particles with large  $p_T$
- Probe small distance scales  $d \approx 1/Q$   
(e.g. 20 GeV  $\leftrightarrow$  0.01 fm)
- Probe early times because scatterings occur during nuclear crossing  $\tau = 2R/\gamma$   
(e.g.  $\tau = 0.13$  fm for Au+Au at 200 GeV)

# Hard scattering in large systems



- $R_{AA} = \frac{N_{particles}^{A+A}}{N_{particles}^{P+P} \times N_{coll}}$
- $R_{AA} < 1$  means particles are suppressed
- Bigger system: more suppression
- *Apparent* suppression even in peripheral (small system size)

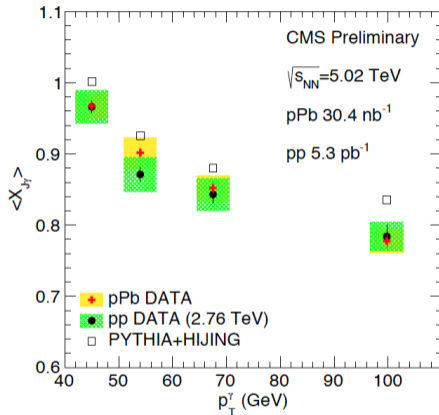
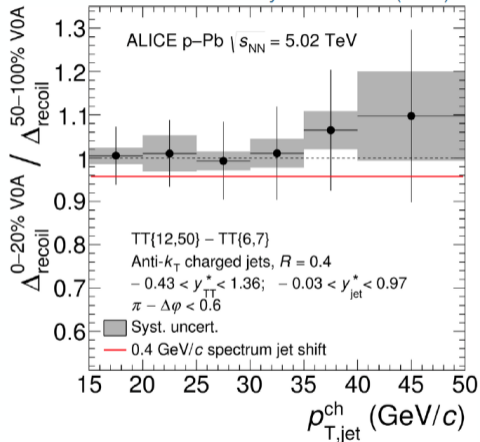
# Hard scattering in small systems



- $R_{p/dA} = \frac{N_{particles}^{p/d+A}}{N_{particles}^{p+p} \times N_{coll}}$
- $R_{p/dA} \approx 1$  means no modification
- Only showing minimum bias...
- Similar system size as peripheral Au+Au but no suppression?

# Hard scattering in small systems

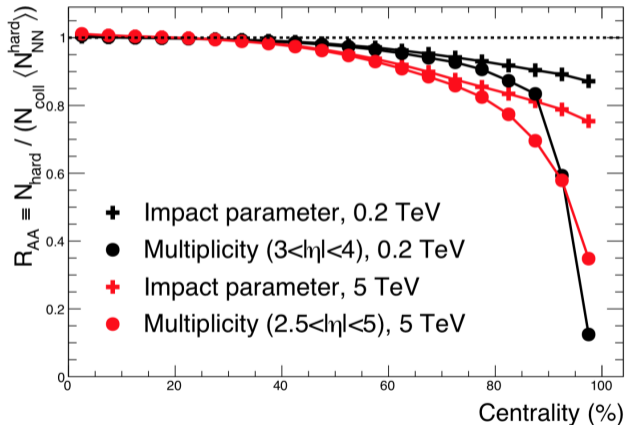
Phys. Lett. B 783 (2018) 95



- Need more sophisticated tools to study energy loss (obvious benefit in large systems as well)
- Hadron-jet and photon-jets correlation shows no modification in small systems at LHC

# Selection bias

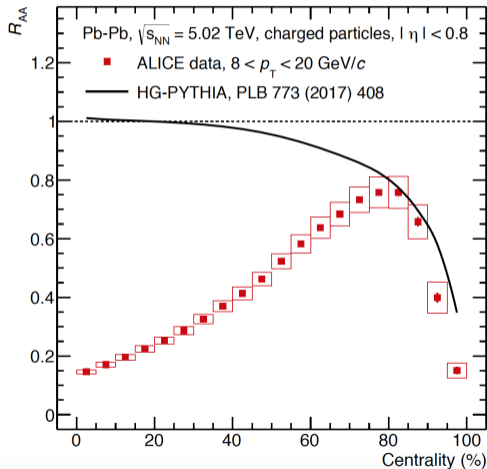
C. Loizides and A. Morsch, Phys. Lett. B 773, 408 (2017)



Suppression in peripheral A+A could be entirely due to bias effects

- More multi-parton interactions at small  $b$ , fewer at large  $b$
- Correlation between centrality selection criterion (e.g. event multiplicity) and hard process rate (i.e. presence of high  $p_T$  particle)
- End result for both is same: more hard collisions in “central” vs “peripheral”

# Selection bias



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- End result for both is same: more hard collisions in “central” vs “peripheral”

# Review of the story on hard probes

## Large systems

- Long standing observation of particle suppression in large systems
- Major strides in observables, moving away from simple single particle  $R_{AA}$  and towards sophisticated correlation measurements with jets
- Do not yet have quantitative knowledge of transport parameters in large systems
- Quantitative understanding of these parameters and the underlying microphysics is a key motivation for sPHENIX



# Review of the story on hard probes

## Small systems

- Observation of *absence* of particle suppression in small systems *despite strong evidence for QGP formation*
- Major issue? Apparent similarities between central small systems and peripheral large systems
- Perceived presence of particle suppression in peripheral A+A collisions may be an event selection artifact, not a physics effect
- Where in system size is the onset of suppression?
  - Strong motivation for intermediate system size scan like O+O, Ar+Ar, etc

# Final thoughts

- QGP is created in small and large systems
- What are the conditions under which QGP formation is possible?
- Where in system size is the onset of particle suppression?

# Final thoughts

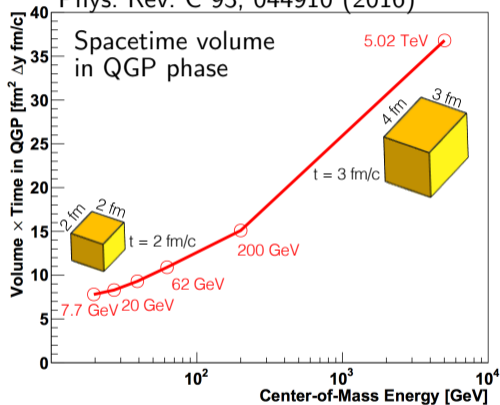
- QGP is created in small and large systems
- What are the conditions under which QGP formation is possible?
- Where in system size is the onset of particle suppression?

“The optimist regards the future as uncertain.”—Eugene Wigner

Extra material

# Testing hydro by controlling system size and life time

J.D. Orjuela Koop et al  
Phys. Rev. C 93, 044910 (2016)

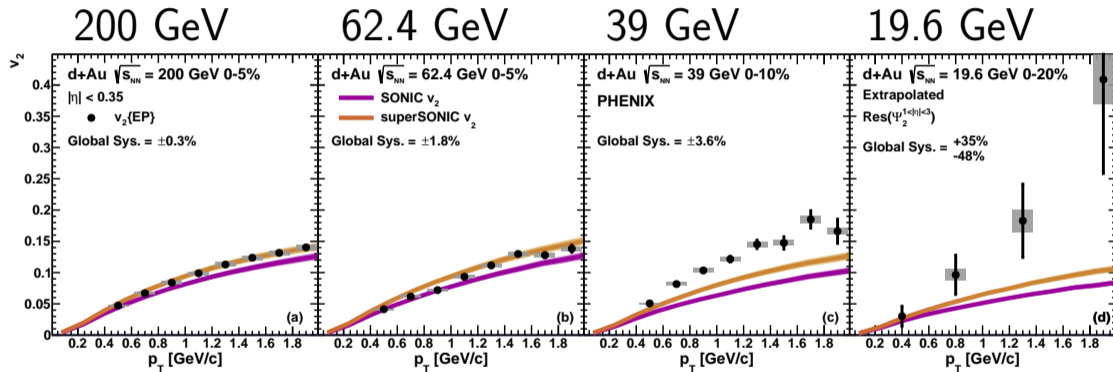


Geometry in  $d+Au$  collisions dominated by deuteron shape, thus largely independent of collision energy

Spacetime volume of system in QGP phase decreases with decreasing collision energy

# $d+Au$ beam energy scan

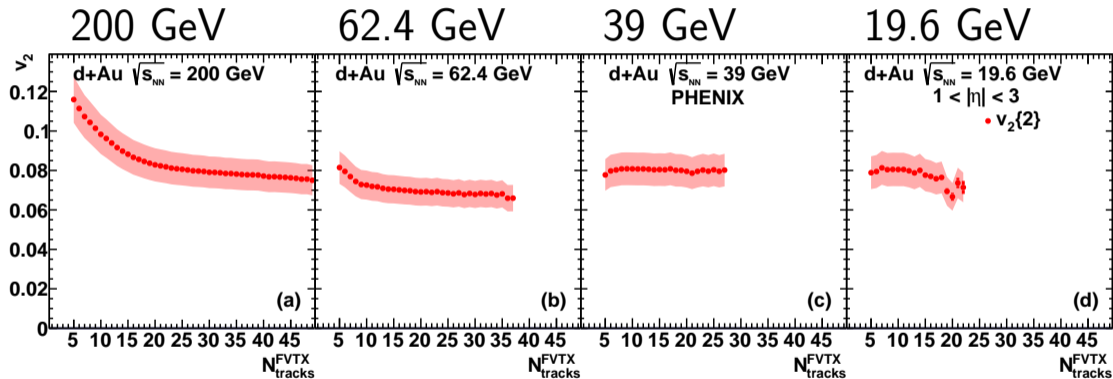
Phys. Rev. C 96, 064905 (2017)



- Hydro theory agrees with higher energies very well, underpredicts lower energies
  - Breakdown of hydro?
  - Predominance of other correlations?

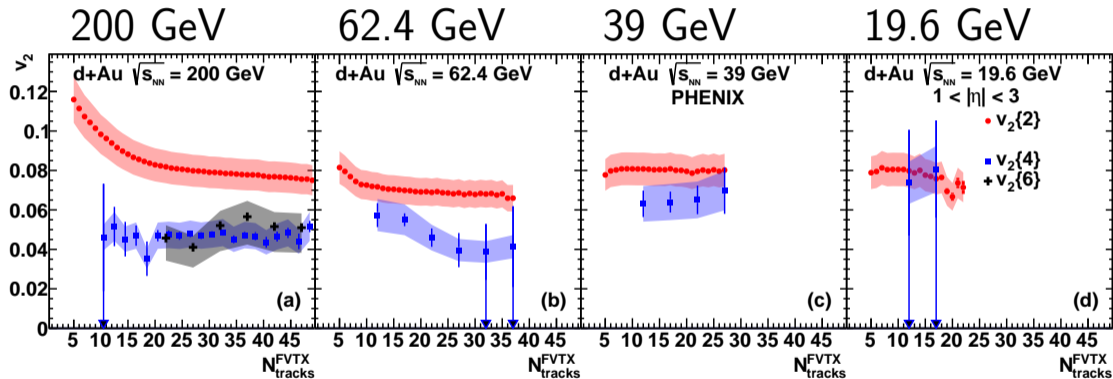
# $d+Au$ beam energy scan

Phys. Rev. Lett. 120, 062302 (2018)



# $d+Au$ beam energy scan

Phys. Rev. Lett. 120, 062302 (2018)

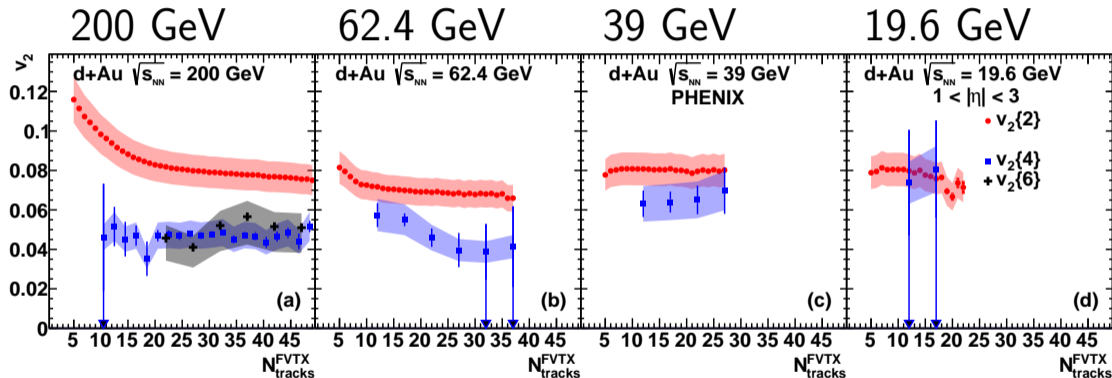


• Measurement of  $v_2\{6\}$  in  $d+Au$  at 200 GeV and  $v_2\{4\}$  in  $d+Au$  at all energies



# $d+Au$ beam energy scan

Phys. Rev. Lett. 120, 062302 (2018)



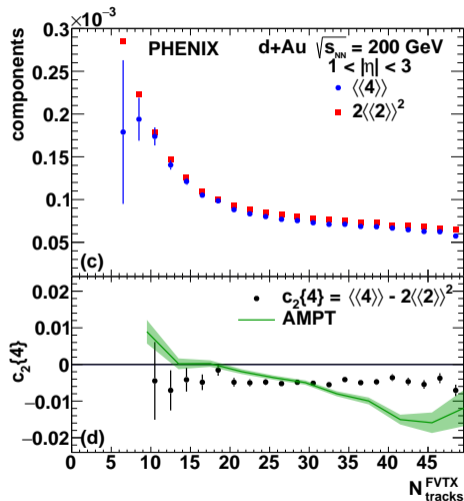
- Measurement of  $v_2\{6\}$  in  $d+Au$  at 200 GeV and  $v_2\{4\}$  in  $d+Au$  at all energies
- Multiparticle correlations can be a good indicator of collectivity

# Components and cumulants in p+Au and d+Au at 200 GeV

Phys. Rev. Lett. 120, 062302 (2018)

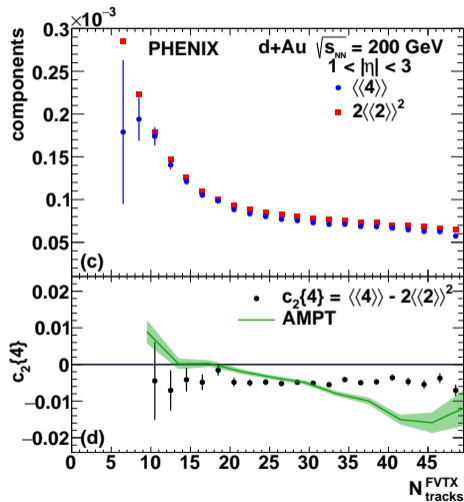
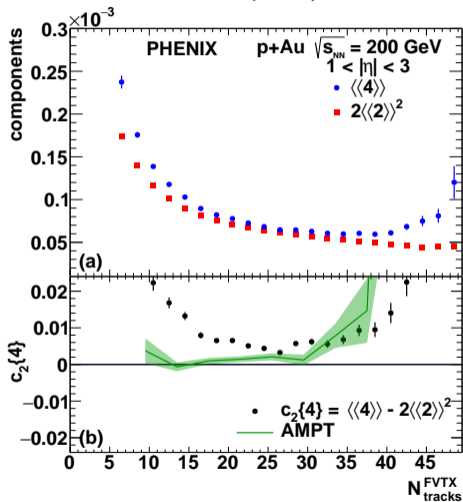
$$v_2\{4\} = (-c_2\{4\})^{1/4}$$

Negative  $c_2\{4\}$  means real  $v_2\{4\}$



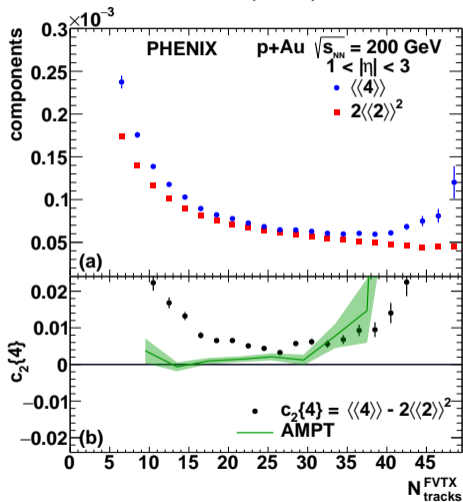
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Phys. Rev. Lett. 120, 062302 (2018)

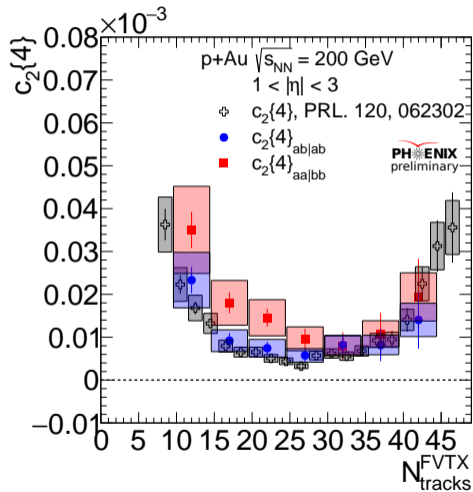
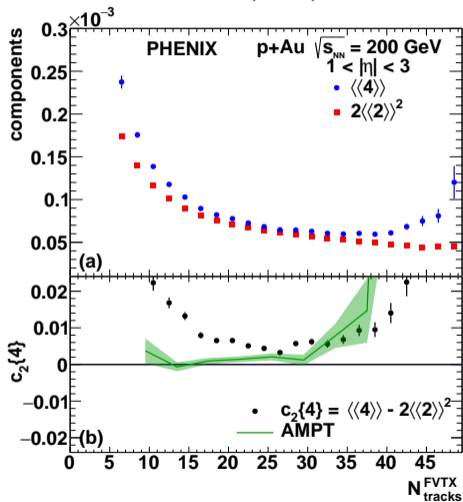


$c_2\{4\}$  is positive in p+Au

Can we blame this on nonflow?

# Components and cumulants in p+Au and d+Au at 200 GeV

Phys. Rev. Lett. 120, 062302 (2018)

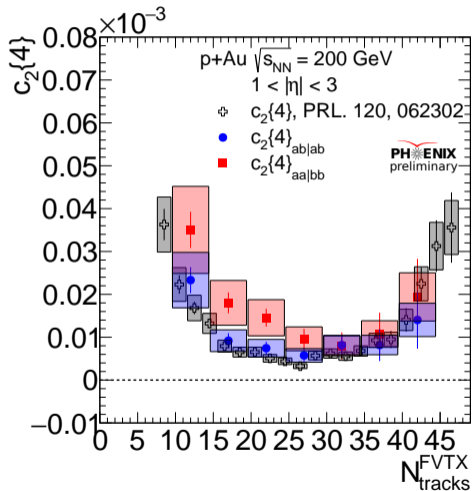


# Components and cumulants in p+Au and d+Au at 200 GeV

Phys. Rev. Lett. 120, 062302 (2018)

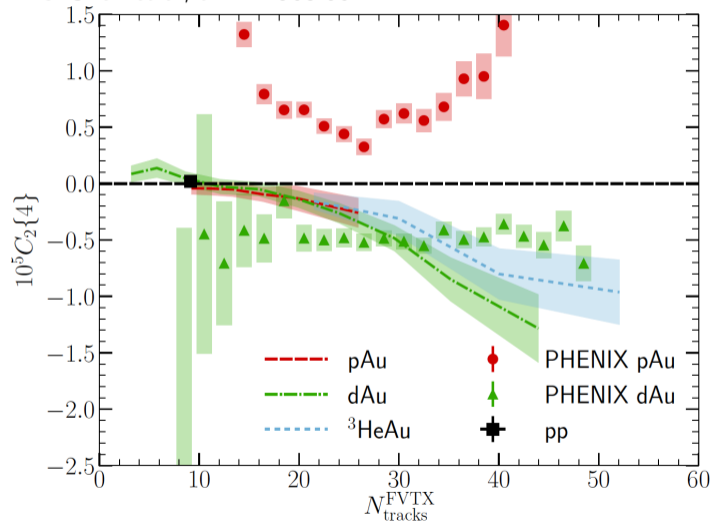
Use of subevents further suppresses nonflow

Positive  $c_2\{4\}$  in p+Au doesn't seem to be related to nonflow



# Cumulants in p+Au and d+Au at 200 GeV

C. Shen et al, arXiv:1908.06212



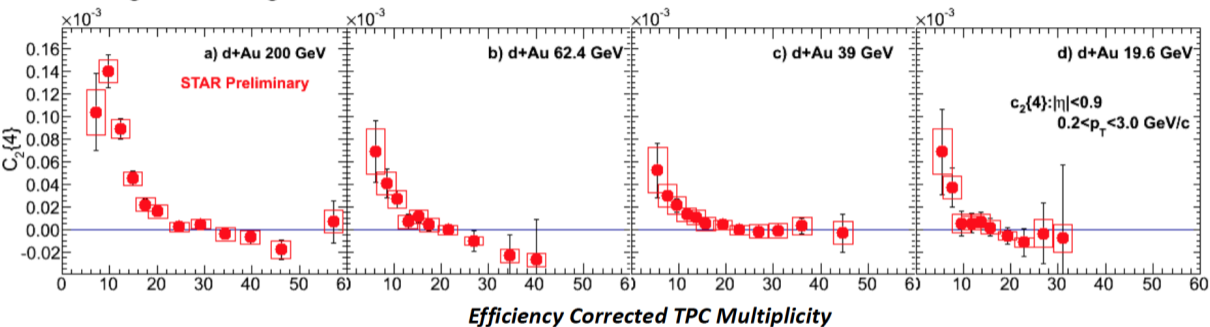
Cumulants are computationally expensive in hydro theory, so not as well-studied

This particular calculation doesn't show the strong geometry dependence seen in the data

Important to note this is 2+1D hydro, so the kinematics can't match the data

# $d+Au$ beam energy scan

S. Huang, Initial Stages 2019

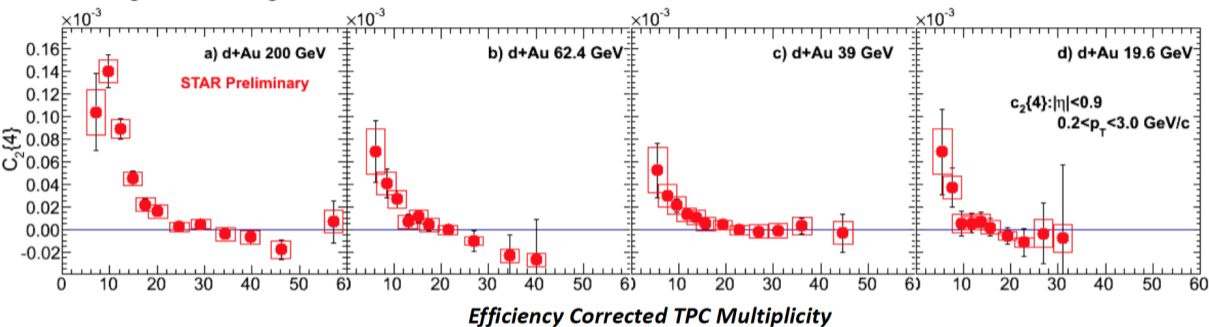


- STAR sees negative  $c_2\{4\}$  in  $d+Au$ , qualitatively consistent with PHENIX
- The differences in kinematics between the two experiments are important



# $d+Au$ beam energy scan

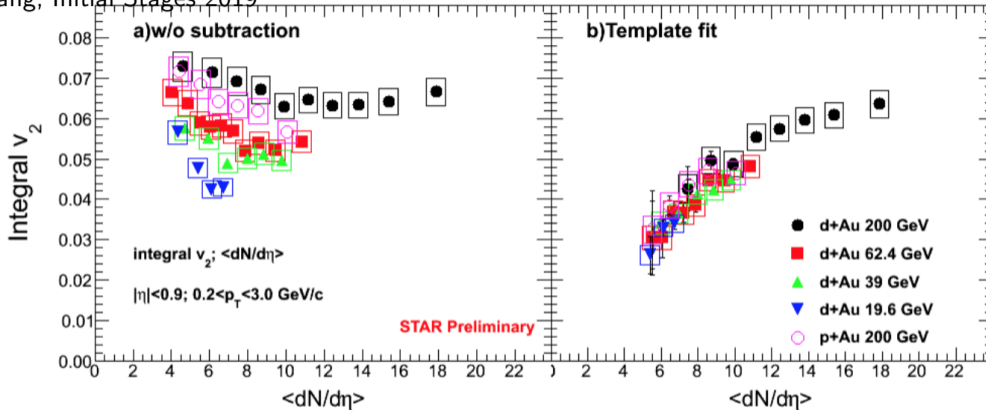
S. Huang, Initial Stages 2019



- STAR sees negative  $c_2\{4\}$  in  $d+Au$ , qualitatively consistent with PHENIX
- The differences in kinematics between the two experiments are important
- In fact, the STAR kinematics are better suited to comparison to 2+1D hydro  
—Unfortunately, the statistical precision is limited

# $d+Au$ beam energy scan

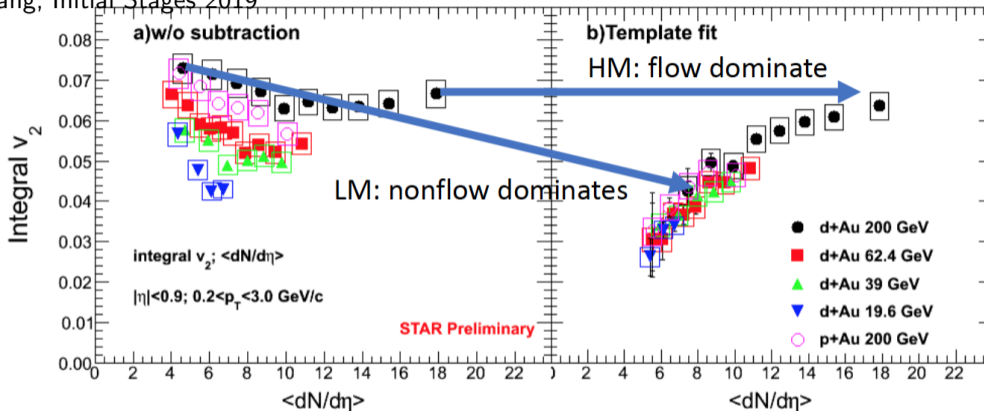
S. Huang, Initial Stages 2019



- STAR  $v_2\{2\}$  qualitatively like PHENIX (important: different kinematics)

# $d+Au$ beam energy scan

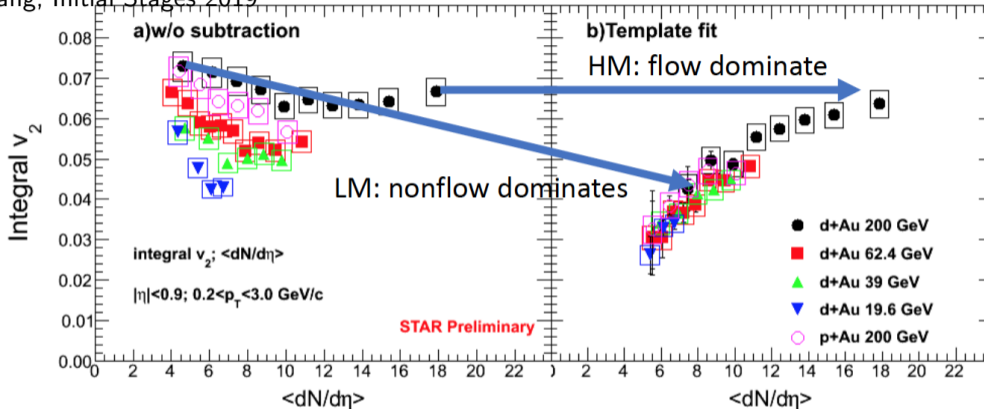
S. Huang, Initial Stages 2019



- STAR  $v_2\{2\}$  qualitatively like PHENIX (important: different kinematics)
- High multiplicity dominated by collective flow

# $d+Au$ beam energy scan

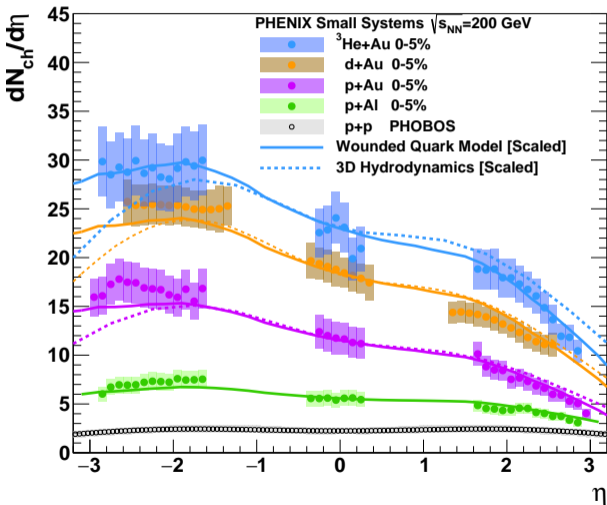
S. Huang, Initial Stages 2019



- STAR  $v_2\{2\}$  qualitatively like PHENIX (important: different kinematics)
- High multiplicity dominated by collective flow
- One needs to be careful about assumptions in nonflow subtraction methods  
—See S. Lim et al, Phys. Rev. C 100, 024908 (2019)

# Longitudinal dynamics in small systems

Phys. Rev. Lett. 121, 222301 (2018)



$p+\text{Al}$ ,  $p+\text{Au}$ ,  $d+\text{Au}$ ,  $^3\text{He}+\text{Au}$

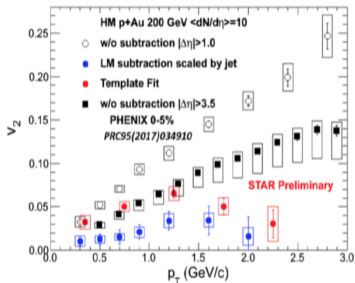
Good agreement with wounded quark model

Good agreement with 3D hydro

# Comparisons with STAR

STAR Preliminary  $v_2$  #1, QM 2018 (Venice)

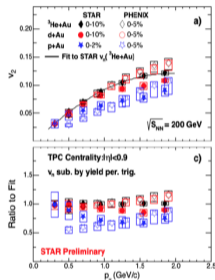
<https://indico.cern.ch/event/656452/contributions/2869833/>



STAR states that PHENIX result is “wrong” and has substantial non-flow not accounted for in uncertainties.

STAR Preliminary  $v_2$  #2, QM 2019 (Wuhan)

<https://indico.cern.ch/event/792436/contributions/3535629/>

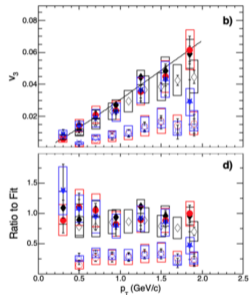


STAR states

“The STAR and PHENIX measurements for  $v_2\{2\}$  are in reasonable agreement for all systems”  
NO EXPLANATION FOR WHAT CHANGED!

STAR New Preliminary  $v_3$ , QM 2019 (Wuhan)

<https://indico.cern.ch/event/792436/contributions/3535629/>



STAR states

“The STAR and PHENIX  $v_3\{2\}$  measurements for p/d+Au differ by more than a factor of 3”

# Comparisons with STAR

PHENIX takes the issue seriously, so we are doing our due diligence!

The published small systems results use the event plane method, where the resolution nominally follows

$$R(\chi) = \frac{\sqrt{\pi}}{2} \chi e^{-\frac{\chi^2}{2}} \left( I_0\left(\frac{\chi^2}{2}\right) + I_1\left(\frac{\chi^2}{2}\right) \right)$$

In small systems we're in the limiting case where  $\chi \ll 1$  so  $R \propto \chi$  (note that  $\chi = v_n \sqrt{N_{ch}}$ ).

The set of PHENIX event plane resolutions do not follow the expected pattern.

The origin of this effect appears to be the beam and angle offset relative to the detector and an additional offset of the PHENIX central carriage (all of these things vary between operational periods). The effect is qualitatively reproduced in toy simulation studies that utilize the full analysis procedure.

The three-subevent 2-particle correlation method uses event mixing, which appears to correct these effects quite well. Checks with the 3x2PC method show no such bias as seen in EP method for all systems, and all of these checks agree with published EP results within uncertainties.

Further checks on going as part of due diligence!