

# Spin, Chirality and Kinetic Theory

M. Stephanov



# Introduction and motivation

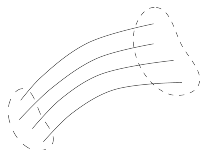
- Interesting applications of CME/CVE/SP in non-equilibrium conditions – such as heavy-ion collisions, or high-frequency response – beyond hydro.
- Kinetic theory: a non-equilibrium description.  
  
“Classical”, weakly coupled, but . . .
- Important for understanding the mechanism of CME/CVE/SP and the connection of hydro to microscopic dynamics. Kinetic theory is a bridge between QFT and hydro.
- This talk is about the features specific to kinetic theories with spin, such as chiral kinetic theory.

# Puzzle 1: Anomaly

- Kin. regime: collisions are rare enough that motion is classical.

Each particle follows classical trajectory  $x(t)$ ,  $p(t)$ . A “cloud”  $f(x, p)$  evolves with time. In a comoving 6-volume, the number of particles can only be changed by collisions:

$$\frac{df}{dt} \equiv \frac{\partial f}{\partial t} + \frac{\partial f}{\partial x} \dot{x} + \frac{\partial f}{\partial p} \dot{p} = C[f].$$

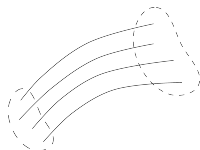


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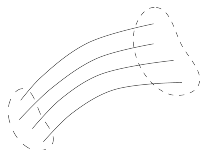
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- How can *classical* equation account for *quantum* anomaly?

## Spin/Chirality

- Spin for  $m \neq 0$ :

In  $\mathbf{p} = 0$  frame, spin vector  $\mathbf{s}$  is a d.o.f. separate from  $\mathbf{p}$ .

Obeys precession equation  $\frac{d\mathbf{s}}{dt} = \frac{ge}{2m}\mathbf{s} \times \mathbf{B}$ .

- Spin for  $m = 0$ :

There is no  $\mathbf{p} = 0$  frame.

Spin  $\mathbf{s}$  is not an independent d.o.f., but rather  $\mathbf{s} \parallel \mathbf{p}$ .

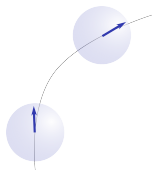
As  $\mathbf{p}$  changes, there is a feedback from spin on e.o.m.s

Kinetic theory of particles obeying these e.o.m.s – CKT.

# Action, Berry phase and e.o.m.s

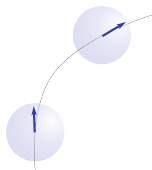
Change of momentum direction requires rotation in (quantum) spin space, which adds a phase to the action:

$$\mathcal{I} = \int (\mathbf{p} + \mathbf{A}) \cdot d\mathbf{x} - (\mathcal{E} + \Phi)dt - \underbrace{\mathbf{a}_p \cdot d\mathbf{p}}_{\text{Berry phase } \mathcal{O}(\hbar)}$$



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Equations of motion by variation:

$$\begin{aligned} \dot{\mathbf{x}} - \mathbf{v} - \overbrace{\dot{\mathbf{p}} \times \mathbf{b}}^{\text{anom. velocity}} &= 0; \\ \dot{\mathbf{p}} - \mathbf{E} - \dot{\mathbf{x}} \times \mathbf{B} &= 0; \end{aligned}$$

$$\mathbf{v} = \partial\mathcal{E}/\partial\mathbf{p}, \quad \mathcal{E} \equiv |\mathbf{p}| - \frac{\hat{\mathbf{p}} \cdot \mathbf{B}}{2|\mathbf{p}|}.$$

Berry curvature:

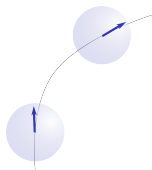
$$\mathbf{b} \equiv \nabla_{\mathbf{p}} \times \mathbf{a}_{\mathbf{p}} = \frac{\hat{\mathbf{p}}}{2|\mathbf{p}|^2}.$$



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Berry curvature:

$$\mathbf{b} \equiv \nabla_{\mathbf{p}} \times \mathbf{a}_p = \frac{\hat{\mathbf{p}}}{2|\mathbf{p}|^2}.$$

The invariant measure now is  $\frac{d^3\mathbf{x} d^3\mathbf{p}}{(2\pi)^3} \sqrt{G}$ , with  $\sqrt{G} = 1 + \mathbf{b} \cdot \mathbf{B}$ .

# Chiral anomaly

Liouville eqn. (phase space conservation) now anomalous at  $\mathbf{p} = 0$ :

$$\frac{\partial}{\partial t} \sqrt{G} + \frac{\partial}{\partial \mathbf{x}} (\sqrt{G} \dot{\mathbf{x}}) + \frac{\partial}{\partial \mathbf{p}} (\sqrt{G} \dot{\mathbf{p}}) = (\mathbf{E} \cdot \mathbf{B}) \underbrace{(\nabla_{\mathbf{p}} \cdot \mathbf{b})}_{2\pi\delta^3(\mathbf{p})},$$

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Thus current  $\mathbf{J} = \int_{\mathbf{p}} \sqrt{G} f \dot{\mathbf{x}}$  is not conserved:

$$\frac{\partial n}{\partial t} + \nabla \cdot \mathbf{J} = \frac{1}{4\pi^2} \mathbf{E} \cdot \mathbf{B} f|_{\mathbf{p}=0},$$

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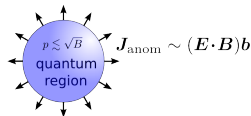
$$\frac{\partial n}{\partial t} + \nabla \cdot \mathbf{J} = \frac{1}{4\pi^2} \mathbf{E} \cdot \mathbf{B} f|_{p=0},$$

Berry “monopole” at  $p = 0$  acts as source/sink of particle number current.

Region  $p \lesssim \sqrt{B}$  is quantum.

“Level crossing” at  $p = 0$ .

classical region



## Solving eoms

$$\begin{aligned}\dot{\mathbf{x}} - \mathbf{v} - \dot{\mathbf{p}} \times \mathbf{b} &= 0; \\ \dot{\mathbf{p}} - \mathbf{E} - \dot{\mathbf{x}} \times \mathbf{B} &= 0;\end{aligned}$$

for  $\dot{\mathbf{x}}$ :

$$\mathbf{J} = \int_{\mathbf{p}} \sqrt{G} f \dot{\mathbf{x}} = \underbrace{\int_{\mathbf{p}} f \mathbf{v}}_{\text{normal current}} + \underbrace{\mathbf{E} \times \int_{\mathbf{p}} f \mathbf{b}}_{\text{anom. Hall current}} + \underbrace{\mathbf{B} \int_{\mathbf{p}} f (\mathbf{v} \cdot \mathbf{b})}_{\text{CME}}$$

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In equilibrium  $f(\mathbf{p})$  is  $f(\mathcal{E})$ :

$$\begin{aligned}\mathbf{J}_{\text{CME}} &= \mathbf{B} \frac{1}{4\pi^2} \int_0^\infty f(\mathcal{E}) d\mathcal{E} \\ &= \frac{1}{4\pi^2} \mu \mathbf{B} \quad \text{for FD } T = 0.\end{aligned}$$

# CVE

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- Replace Lorentz force with Coriolis force (MS, Yin, 2012):

$$\dot{\mathbf{p}} = 2\mathcal{E} \dot{\mathbf{x}} \times \boldsymbol{\omega} \quad \text{i.e., } \boxed{B \rightarrow 2\mathcal{E}\boldsymbol{\omega}}.$$

- Then CME  $\rightarrow$  CVE

$$\mathbf{J}_{\text{CME}} = B \int_{\mathbf{p}} f \hat{\mathbf{p}} \cdot \mathbf{b} \quad \rightarrow \quad \mathbf{J}_{\text{CVE}} = \boldsymbol{\omega} \int_{\mathbf{p}} 2\mathcal{E} f \hat{\mathbf{p}} \cdot \mathbf{b}$$

For example, a distribution  $f(\mathcal{E})$  gives

$$\begin{aligned} \mathbf{J}_{\text{CVE}} &= \frac{\boldsymbol{\omega}}{4\pi^2} \int_0^\infty f(\mathcal{E}) 2\mathcal{E} d\mathcal{E} \\ &= \frac{1}{4\pi^2} \mu^2 \boldsymbol{\omega} \quad \text{for FD } T = 0. \end{aligned}$$



## Puzzle 2: Where is Lorentz invariance?

$$\mathcal{I} = \int (\mathbf{p} + \mathbf{A}) \cdot d\mathbf{x} - (|\mathbf{p}| + \Phi)dt - \mathbf{a}_p \cdot d\mathbf{p} + \frac{\hat{\mathbf{p}} \cdot \mathbf{B}}{2|\mathbf{p}|} dt$$

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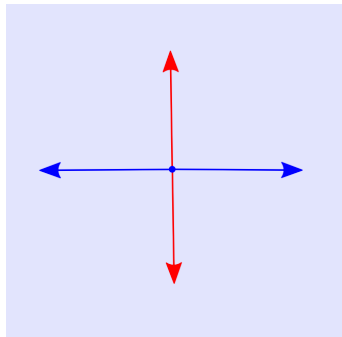
Modified Lorentz transformation:

PRL 113(2014)182302

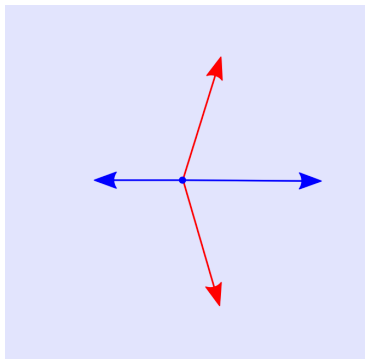
$$\delta \mathbf{x} = \beta t + \frac{\boldsymbol{\beta} \times \hat{\mathbf{p}}}{2|\mathbf{p}|}, \quad \delta \mathbf{p} = \beta \mathcal{E} + \frac{\boldsymbol{\beta} \times \hat{\mathbf{p}}}{2|\mathbf{p}|} \times \mathbf{B}, \quad \delta t = \boldsymbol{\beta} \cdot \mathbf{x}.$$

- Side jump.
- Magnetic moment ( $\mathbf{m} = \frac{\hat{\mathbf{p}}}{2|\mathbf{p}|}$ ) needed by Lorentz invariance  
(Son-Yamamoto)

# Boost, side jump and angular momentum conservation



+ boost =  
 $\rightarrow \beta$



$$P_{\text{in}} = P_{\text{out}} = 0$$

$$S_{\text{in}} = S_{\text{out}} = 0$$

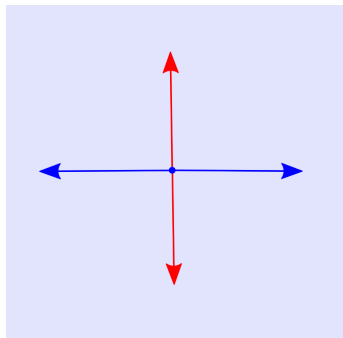
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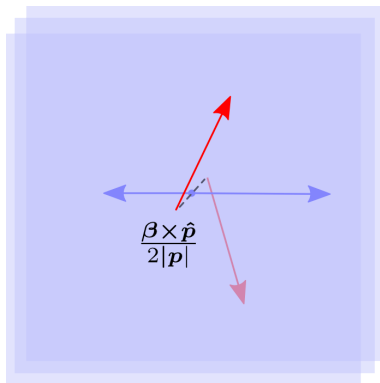
$$S_{\text{in}} = 0, \quad S_{\text{out}} = \rightarrow \mathcal{O}(\hbar)$$

$$L_{\text{in}} = 0, \quad L_{\text{out}} = 0???$$

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“Side jump”

Collision kernel nonlocal

# Magnetization current

Conservation defines current up to a trivially conserved term (curl).

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Noether current (by variation of action):

$$\mathbf{J} \equiv \int_{\mathbf{p}} \sqrt{G} f \frac{\delta \mathcal{I}}{\delta \mathbf{A}} = \mathbf{J}' + \underbrace{\nabla \times \int_{\mathbf{p}} \sqrt{G} f \frac{\hat{\mathbf{p}}}{2|\mathbf{p}|}}_{\substack{\nabla \times \mathbf{M} \\ \text{magnetization current}}}$$

Lorentz covariant

## Another way calculate CVE: rotating distribution

For a locally isotropic, but slowly rotating distribution ( $\nabla \times \mathbf{u} = 2\boldsymbol{\omega}$ ):

$$f(\mathcal{E}') = f(|\mathbf{p}| - \mathbf{p} \cdot \mathbf{u}(\mathbf{x}) - \frac{1}{2}\hat{\mathbf{p}} \cdot \boldsymbol{\omega})$$

In the (inertial) lab frame, the Noether current

$$\mathbf{J} = \underbrace{\int_{\mathbf{p}} f \hat{\mathbf{p}}}_{\text{normal current}} + \underbrace{\nabla \times \int_{\mathbf{p}} f \frac{\hat{\mathbf{p}}}{2|\mathbf{p}|}}_{\text{magnetization current}}$$

equals to

$$\mathbf{J} = -\frac{\boldsymbol{\omega}}{2} \left( \frac{1}{3} + \frac{2}{3} \right) \int_{\mathbf{p}} \frac{\partial f(\mathcal{E})}{\partial \mathcal{E}} = \frac{\boldsymbol{\omega}}{4\pi^2} \int_0^\infty f 2p dp.$$

– the same as in the rotating frame.

As it should be, by Lorentz covariance of  $\mathbf{J}$ .

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- Lorentz covariant form of kinetic equation for *scalar* particles:

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$$j^\mu = p^\mu f$$

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- Spin requires additional ingredients:
- $f$  is not a Lorentz scalar (particle positions are frame-dependent).
- Side jump during collisions.

# Position and spin in relativistic mechanics

CM of a spinning body has a known ambiguity in *classical* relativity.

Total angular momentum is well-defined, but not CM position and spin:

$$J^{\mu\nu} = x^\mu p^\nu - x^\nu p^\mu + S^{\mu\nu},$$

Ambiguity: shift  $x \rightarrow x + \Delta$  and  $S^{\mu\nu} \rightarrow S^{\mu\nu} + \Delta^\nu p^\mu - \Delta^\mu p^\nu$ .

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$p_\mu S^{\mu\nu} = 0$ , i.e.,  $S^{0i} = 0$  in rest frame, is insufficient to fix it for  $m = 0$ .

Together with

$$n_\nu S^{\mu\nu} = 0$$

is sufficient, but requires choice of frame  $n$  (where  $S^{0i} = 0$ , and  $s \parallel p$  then, by  $p_\mu S^{\mu\nu} = 0$ ).

# Spin and side jump

The two conditions  $p_\mu S^{\mu\nu} = 0$  and  $n_\nu S^{\mu\nu} = 0$  determine

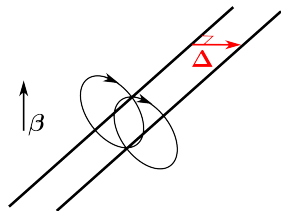
$$S_n^{\mu\nu} = \lambda \frac{\epsilon^{\mu\nu\alpha\beta} p_\alpha n_\beta}{p \cdot n}$$

and the side jump of the position in frame  $n'$  relative to  $n$ :

$$\Delta_{nn'}^\mu = -\frac{S_{n'}^{\mu\nu} n_\nu}{p \cdot n} = \lambda \frac{\epsilon^{\mu\alpha\beta\gamma} p_\alpha n_\beta n'_\gamma}{(p \cdot n)(p \cdot n')}.$$

(this is finite boost generalization of side jump.

(Chen *et al* PRL 115(2015)021601)



Correspondingly:  $f_n(x) = f_{n'}(x + \Delta_{nn'})$ .

# Collisionfull CKT

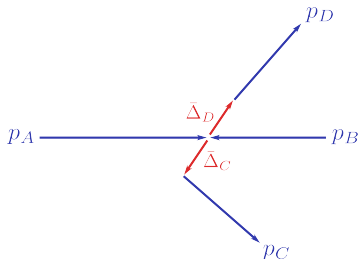
Chen *et al*

$$\partial_\mu j^\mu = \int_{BCD} \underbrace{C_{ABCD}}_{W_{CD \rightarrow AB} - W_{AB \rightarrow CD}}$$
$$j^\mu = \underbrace{p^\mu f}_{\text{normal current}} + \underbrace{S^{\mu\nu} \partial_\nu f}_{\text{magnetization current}} + \underbrace{\int_{BCD} C_{ABCD} \bar{\Delta}^\mu}_{\text{jump current}}$$

$f$ ,  $S^{\mu\nu}$  and  $\bar{\Delta}$  depend on  $n$

but

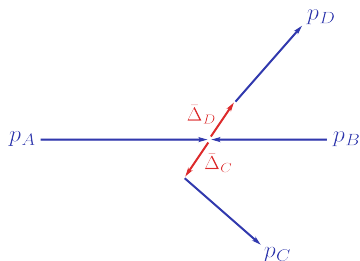
$j^\mu$  is frame-independent!



# Side jump

$$\bar{\Delta} \equiv \Delta_{n\bar{n}} = \lambda \frac{p \wedge n \wedge \bar{n}}{(p \cdot n)(p \cdot \bar{n})}$$

is a side jump associated with boost from Lab ( $n$ ) to CM frame ( $\bar{n}$ ) of the collision.



Collision side jumps are essential for  $\mathbf{L} + \mathbf{S}$  conservation (and Lorentz invariance) in the process of  $\mathbf{L} \leftrightarrow \mathbf{S}$  transfer, i.e., spin relaxation/polarization phenomena.

Side jumps also present for  $m \neq 0$ , since they are required by  $\mathbf{L} + \mathbf{S}$  conservation.

# Recent progress

- Derivation of covariant CKT (also spin kinetics for  $m \neq 0$ ) using Wigner function formalism (collisionless) and Kadanoff-Baym (including collisions):

*Hidaka-Pu-Yang, Huang-Shi-Jiang-Liao-Zhuang, Gao-Liang-Wang-Wang, Weickgenannt-Sheng-Speranza-Wang-Rischke, ...*

- In worldline formalism: *Mueller-Venugopalan*
- Derivation of hydrodynamics from CKT: *Shi-Gale-Jeon*  
Interesting to extend to  $m \neq 0$ , calculate relaxation rates/kinetic coefficients.
- Spin equilibration: *Li-Yee, Kapusta-Rrapaj-Rudaz, ...*
- Shear-induced polarization (related to magnetization current) appears essential to understanding the  $\Lambda$  “sign puzzle”:  
*Liu-Yin, Becattini-Buzzegoli-Palermo, Fu-Liu-Pang-Song-Yin, ...*



Covariant entropy current:

$$\mathcal{H}^\mu = p^\mu \mathcal{H} + S^{\mu\nu} \partial_\nu \mathcal{H} + \int_{BCD} C_{ABCD} \bar{\Delta}^\mu \frac{\partial \mathcal{H}}{\partial f}$$
$$\mathcal{H} = f \ln \frac{1}{f} + (1 - f) \ln \frac{1}{1 - f} \quad \Leftrightarrow \quad \partial_\mu \mathcal{H}^\mu \geq 0$$

# H-theorem

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$$\mathcal{H} = f \ln \frac{1}{f} + (1-f) \ln \frac{1}{1-f} \quad \Leftrightarrow \quad \partial_\mu \mathcal{H}^\mu \geq 0$$

Equilibrium solution:

$$\partial_\mu \mathcal{H}^\mu = 0 \quad \Leftrightarrow \quad \ln \frac{1-f}{f} = \underbrace{\beta \left( p \cdot u + \frac{1}{2} S^{\alpha\beta} \omega_{\alpha\beta} - \mu \right)}_{\text{linear comb. of conserved quantities}}$$

This is uniformly rotating, locally FD, distribution.

# CVE coefficients

- Charge current:  $J_\mu = \sum_q \int_p q j^\mu = n_q u^\mu + \xi \omega^\mu$ .

At finite  $T$ , with a/p: 
$$\xi = \frac{\mu^2}{4\pi^2} + \frac{T^2}{12}.$$

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- Energy-momentum (conserved and covariant):

$$T^{\mu\nu} = \sum_q \int_p \frac{1}{2} (p^\mu j^\nu + p^\nu j^\mu)$$

Energy flow (momentum density)  $\mathbf{P} = \xi_T \boldsymbol{\omega}$  with

$$\xi_T = \frac{\mu^3}{6\pi^2} + \frac{\mu T^2}{6}, \quad (2 \times \text{Vilenkin's } \xi_T)$$

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- Entropy:  $\mathbf{H} = \xi_H \boldsymbol{\omega}$  with  $\xi_H = \frac{\mu T}{6}$ .

# Flows and drag

MS-Yee, PRL116(2016)122302

Static obstacle (impurity) at rest in some frame  $U$ :

$$\partial \cdot j = \mathcal{C} + \mathcal{C}_U; \quad \mathcal{C}_U = \int_{AB} C_{AB}$$

$$C_{AB} = W_{B \rightarrow A} - W_{A \rightarrow B}$$

Drag (momentum transfer from impurity):

$$F^\mu = \int_{AB} C_{AB} (p_A - p_B)^\mu$$

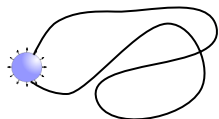
vanishes for the solution with  $u = U$ .

No drag, but there are flows of charge, energy (as in superfluid) but also entropy!

# Summary/Conclusions

- Spin adds  $\mathcal{O}(\hbar)$  terms to EOMs:  
Berry curvature and magnetic mom.
- Berry monopole accounts for CME  
and anomaly (source/sink at  $\mathbf{p} = \mathbf{0}$ ).
- CVE from CKT in two ways:  
rotating frame or rotating distribution
- Nontrivial Lorentz invariance:  
side jump to conserve  $\mathbf{L} + \mathbf{S}$ ;  
and requires  $\Delta\mathcal{E} = -\mathbf{m} \cdot \mathbf{B}$ .
- Lorentz invariance requires  
side jumps in collision kernel  
and jump currents

$$\dot{\mathbf{x}} = \frac{\partial \mathcal{E}}{\partial \mathbf{p}} + \dot{\mathbf{p}} \times \mathbf{b}$$



$$\mathbf{B} \rightarrow 2\mathcal{E}\boldsymbol{\omega}$$

$$\mathbf{J} = \underbrace{\langle \dot{\mathbf{x}} \rangle}_{1/3} + \underbrace{\nabla \times \mathbf{M}}_{2/3}$$

$$\delta \mathbf{x} = \boldsymbol{\beta} t + \frac{\boldsymbol{\beta} \times \hat{\mathbf{p}}}{2|\mathbf{p}|}$$

