

Gravitational waves

a window onto the Early Universe

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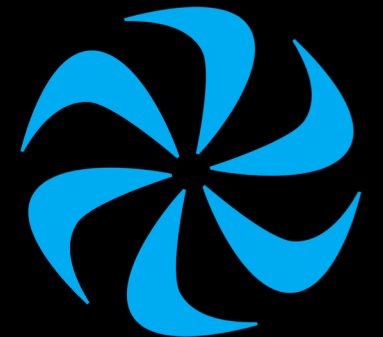
January 2020

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Based on

DC, Houtz, Sanz [JHEP, arXiv:1904.10967]

DC, Howard, Ipek, Tait, [arXiv:1911.01432]



Probing the first second of our Universe

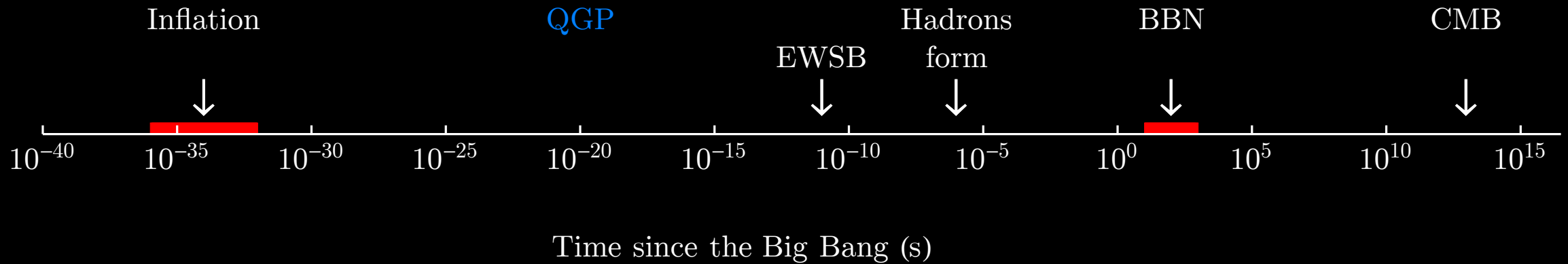
The answers to many fundamental physics questions lie in the first second of our Universe

Grand Unification
of gauge forces?

Dark Matter production, mass
mechanism, and abundance?

Solution to the
strong-CP problem?

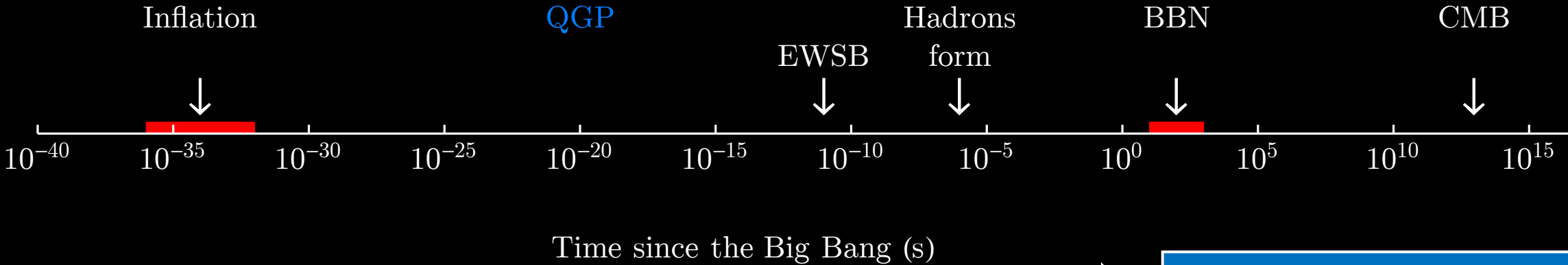
Explanation of the matter-
antimatter asymmetry?



Probing the first second of our Universe

The answers to many fundamental physics questions lie in the first second of our Universe

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- Explanation of the matter-antimatter asymmetry?



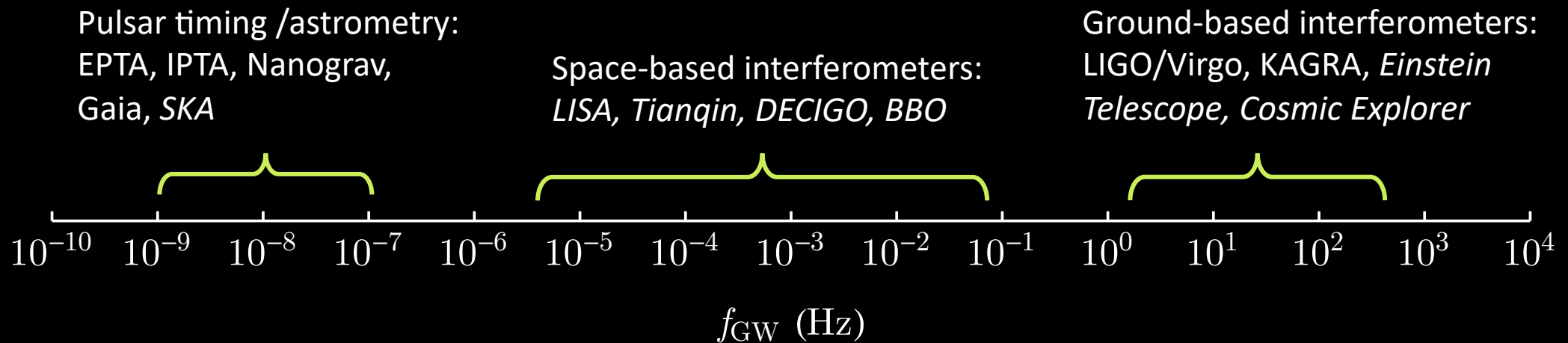
During this second, the Universe was opaque to photons

The CMB photons constitute our earliest *direct* cosmological probe

Gravitational waves released in the Early Universe travel unimpeded until today

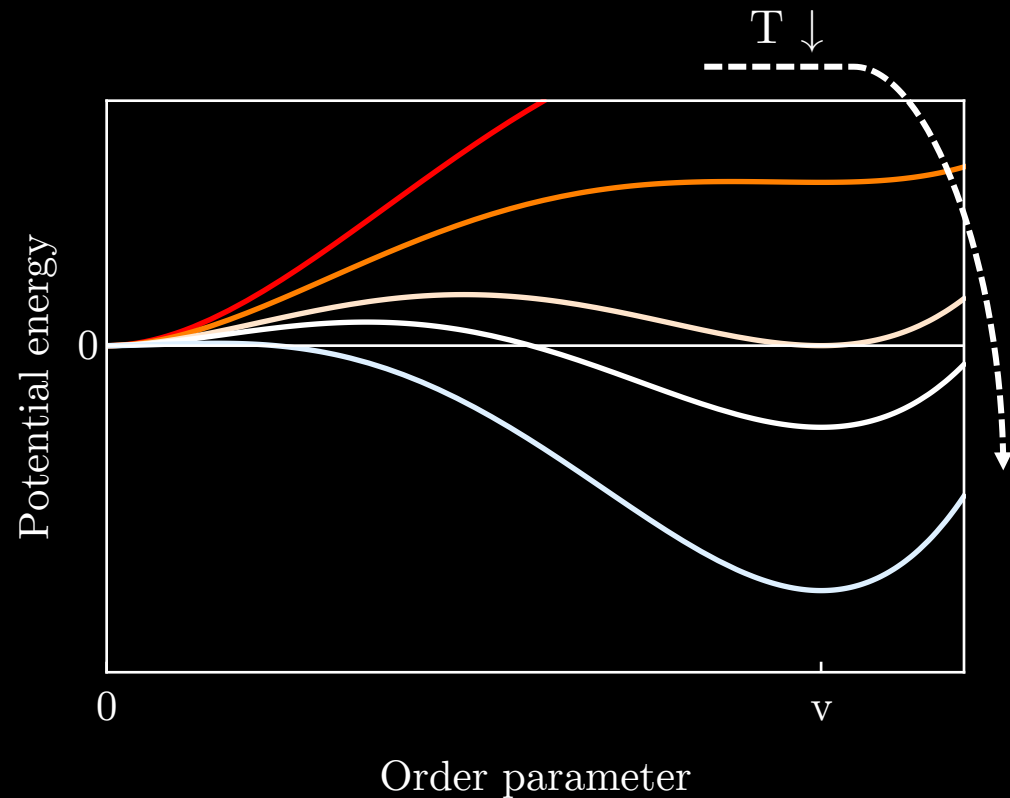
Gravitational waves

- First (direct) observation in 2015, many detections since!
- Planned/proposed experiments across many decades in frequency:



- *Huge opportunity for particle astrophysics and cosmology!*

First order phase transitions



Out of equilibrium *change in vacuum state*

- Nucleation of bubbles of "true" vacuum
- Once nucleated, bubbles grow
- Release of latent heat

*The released energy may dissipate as **gravitational waves**:*

- Bubble collisions source GW
- Acoustic waves and turbulence in the plasma source GW



Snapshot from simulation: Daniel Cutting, private communication

Gravitational waves from phase transitions

Three contributions: $\Omega_{\text{GW}} = \Omega_{\text{col}} + \Omega_{\text{sw}} + \Omega_{\text{turb}}$

- Collisions of the **scalar** bubble shells (\sim the envelope approximation)
Dominant for runaway ($\gamma \rightarrow \infty$) bubbles

Driven by theory
+ simulations

- Sound shells **in the fluid** kinetic energy collide
Dominant for non-runaway bubbles

Driven (mostly)
by simulations

- (Magnetohydrodynamic) turbulence **in the fluid**:
Kolmogorov theory
Subdominant (usually)*

Driven by theory
(currently)

See for example:

Weir, [1705.01783]

Hindmarsh, PRL, [1608.04735]

* However, see Ellis, No, Lewicki, [JCAP, arXiv:1809.08242] ENL+ Vaskonen [JCAP, arXiv:1903.09642]

Gravitational waves from phase transitions

- Broken power law GW spectrum

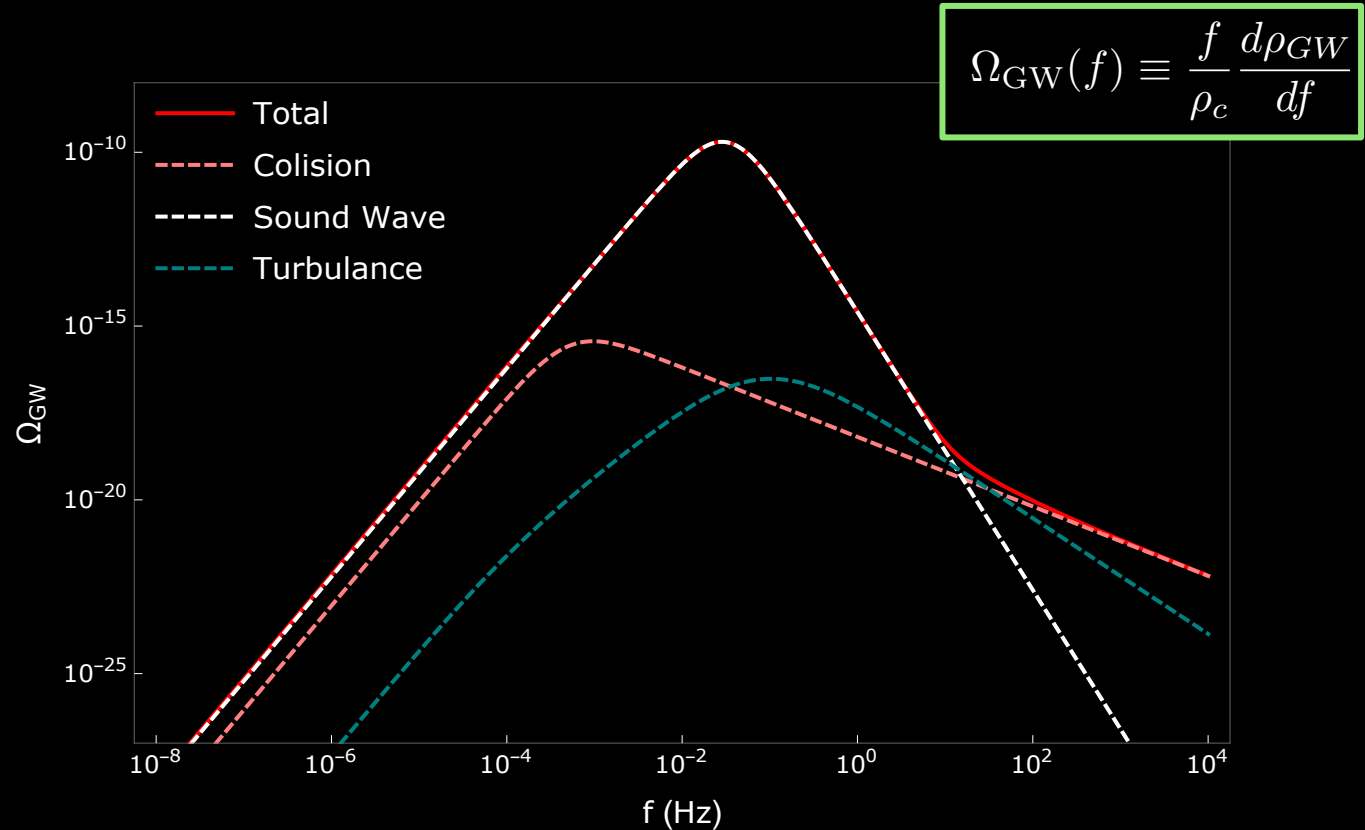
- $f_{peak} \sim R^{-1}$

- Power spectrum depends on thermodynamic quantities

α = latent heat (normalized to ρ_{rad})
 v_w = wall velocity
 β/H = transition rate parameter
 T_N = nucleation temperature

- Spectra matched to lattice simulations

For example: Hindmarsh, Huber, Rummukainen, Weir [PRD, 1704.05871]



Gravitational waves from phase transitions

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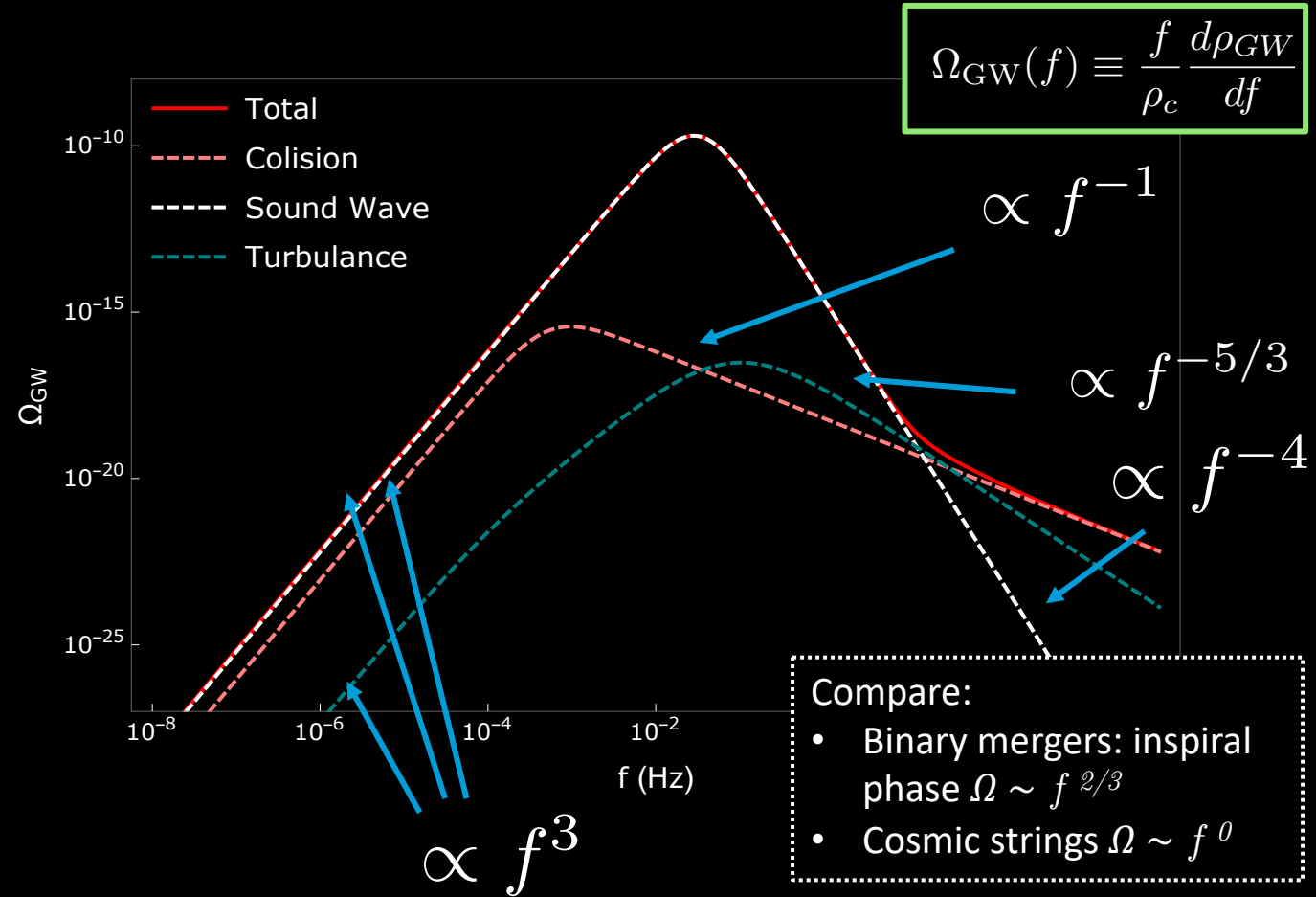
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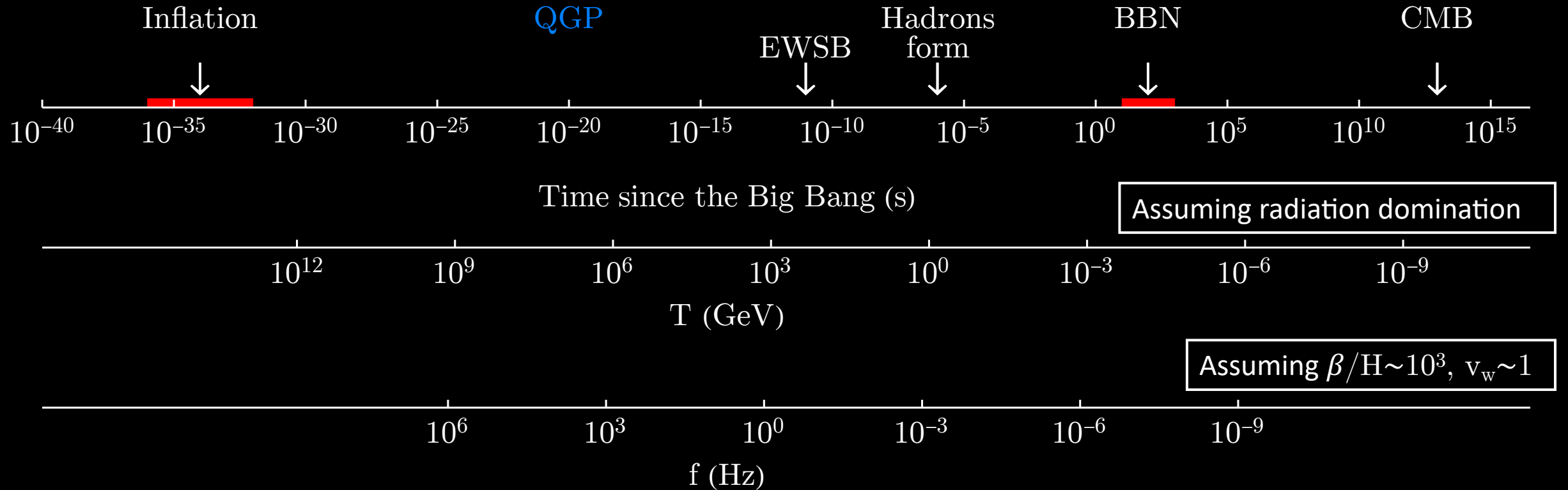
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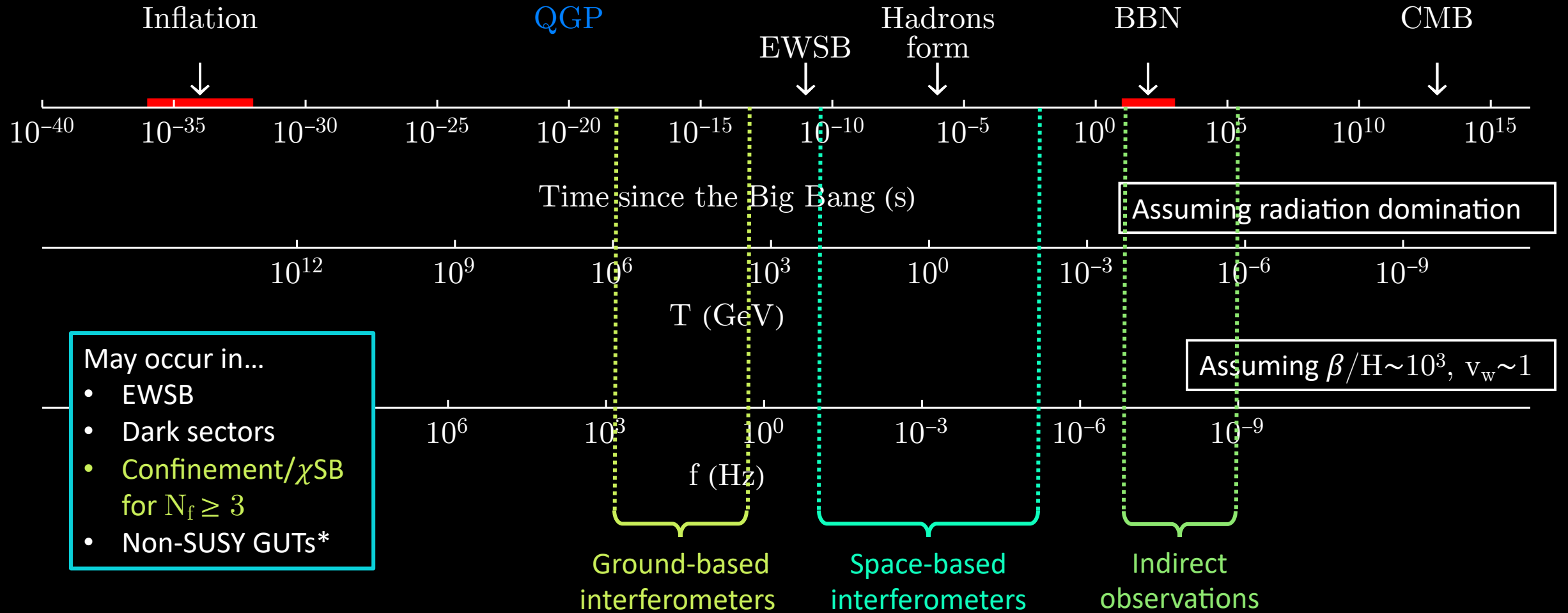


Phase transitions and the cosmic timeline



Gravitational waves released in the Early Universe travel unimpeded until today

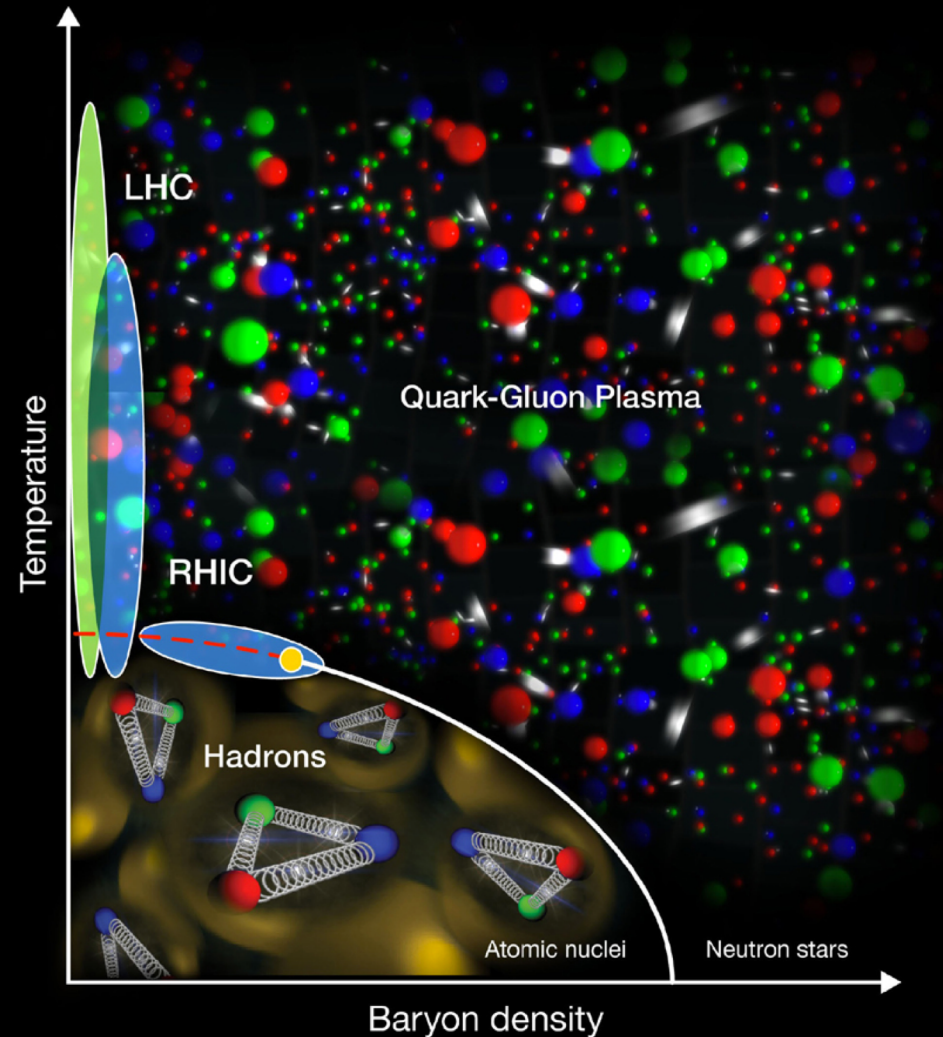
Phase transitions and the cosmic timeline



- May occur in...
- EWSB
 - Dark sectors
 - Confinement/ χ SB for $N_f \geq 3$
 - Non-SUSY GUTs*

Confinement in the Standard Model

- QCD confines when $\alpha_s > 1$
- Confinement scale (MS-bar scheme): $\Lambda_{\text{QCD}} \sim 400 \text{ MeV}$
- At 400 MeV, (2+1) dynamical flavors in the SM
- Transition is **crossover** \rightarrow no GW (or other) signature



Motivations for QCD' confinement

Either a *new strong sector*, or *modified QCD confinement*

- Baryogenesis {
 - e.g. Ipek, Tait, PRL (2019)*
 - DC, Howard, Ipek, Tait (2019)*
 - Servant, PRL (2014)*
- Strong CP problem (TeV axions) {
 - e.g. S. Dimopoulos, A. Hook, J. Huang, G. Marques-Tavares, [JHEP, arXiv:1606.03097]*
 - M. K. Gaillard, M. B. Gavela, R. Houtz, P. Quilez and R. Del Rey [EPJ, arXiv: 1805.06465]*
 - P. Agrawal and K. Howe, [JHEP, arXiv:1712.05803]*
- Axion relic abundance {
 - e.g. Barr and Kyae, PRD (2005)*
- PBH production {
 - e.g. Jedamzik, PRD (1996)*
 - Davoudiasl, PRL (2019)*
- Dynamical generation of scales {
 - e.g. Technicolor, Composite Higgs models*
 - Many papers, typically \sim TeV scale strong sector*
- Quark nuggets {
 - e.g. Witten, PRD (1984)*
 - Bai, Long [JHEP, 1804.10249]*

Chiral symmetry breaking (“the χ PT –PT”)

- Confinement implies chiral symmetry breaking (N_f dynamical fermions):

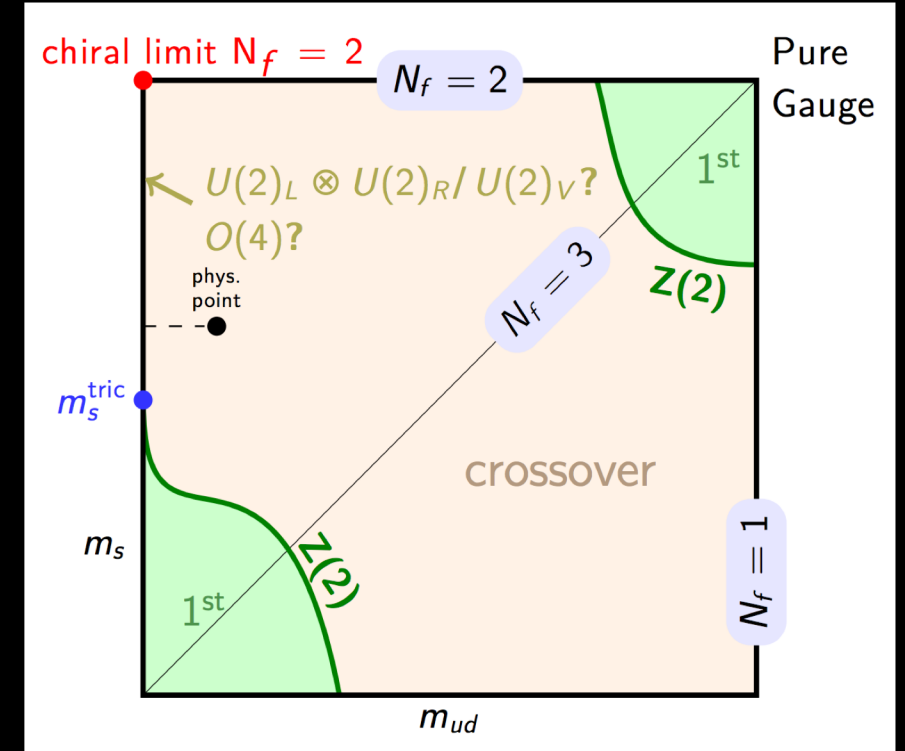
$$SU(N_f) \times SU(N_f) \rightarrow SU(N_f)$$

- Analytic argument (based on the linear Σ -model) suggests the chiral PT is first order if

- $N_f \geq 3$
- $N_f = 0$ (pure gauge)

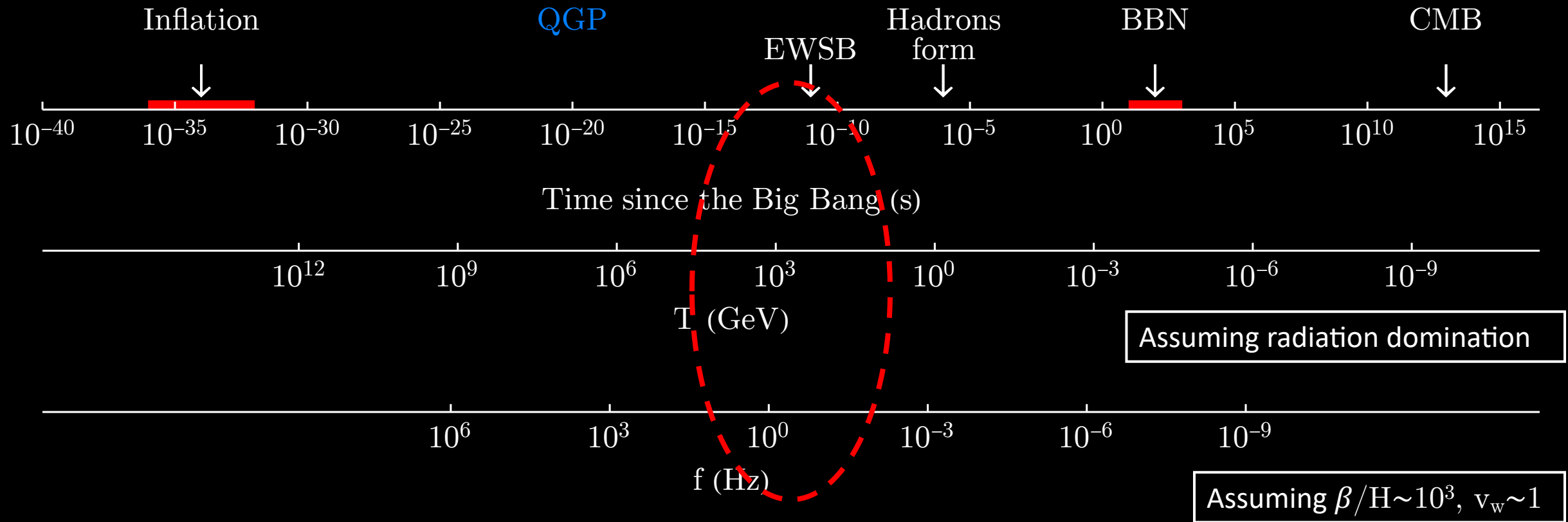
Pisarski, Wilczek, PRD (1984)

- Largely confirmed with other methods



“Columbia plot” in the case of $U(1)_A$ restoration
[arXiv:1912.04827]

Axions on the cosmic timeline



What if? A dynamical mechanism relaxes the strong CP angle to zero.

e.g. S. Dimopoulos, A. Hook, J. Huang, G. Marques-Tavares, [JHEP, arXiv:1606.03097]; M. K. Gaillard, M. B. Gavela, R. Houtz, P. Quilez and R. Del Rey [EPJ, arXiv:1805.06465]; P. Agrawal and K. Howe, [JHEP, arXiv:1712.05803]

Chiral symmetry breaking: linear Σ -model

- Low energy effective theory ($\Sigma_{ij} \sim \langle \bar{\psi}_{Rj} \psi_{Li} \rangle$)

$$V(\Sigma) = -m_\Sigma^2 \text{Tr}(\Sigma \Sigma^\dagger) - (\mu_\Sigma \det \Sigma + h.c.) + \frac{\lambda}{2} [\text{Tr}(\Sigma \Sigma^\dagger)]^2 + \frac{\kappa}{2} \text{Tr}(\Sigma \Sigma^\dagger \Sigma \Sigma^\dagger)$$

- Note that if $\mu_\Sigma = 0$, there is an **enhanced** $SU(N_f) \times SU(N_f) \times U(1)_A$ global flavor symmetry
- The μ_Σ terms are generated by **instantons**, which anomalously break the $U(1)_A$ subgroup

't Hooft, PRD (1976)

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- Decompose in terms of scalar mesons

$$\Sigma_{ij} = \frac{\varphi + i\eta'}{\sqrt{2N_F}} \delta_{ij} + X^a T_{ij}^a + i\pi^a T_{ij}^a$$

↗ Order parameter ↖ Dynamical axion

} η' is the pGB of $U(1)_A$
} Anomalous coupled to $G\tilde{G}$
} Gets a mass from the instantons of $SU(N_C)$
} ('t Hooft 1976)

- η' is a dynamical axion (if the ψ quarks have no explicit mass terms)

The thermal linear Σ -model

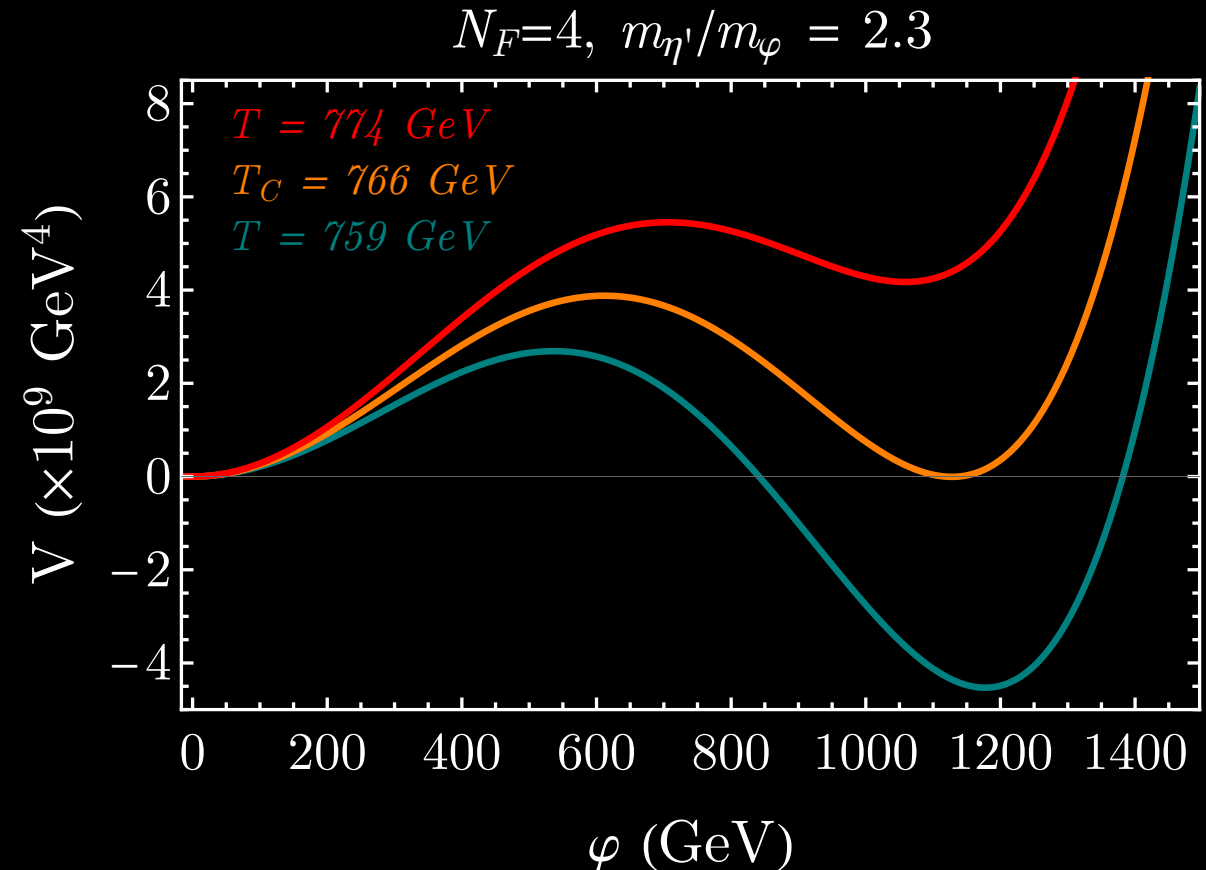
DC, R. Houtz, and V. Sanz
[JHEP, arXiv:1904.10967]
See also Long, Bai, Lu,
[arXiv:1810.04360]

- One-loop thermal potential for the diagonal field φ

$$V_{T \neq 0}(\varphi, T) = \sum_{i \in \text{mesons}} \frac{T^4}{2\pi^2} n_i J_B \left(\frac{m_i^2 + \Pi_i}{T^2} \right),$$

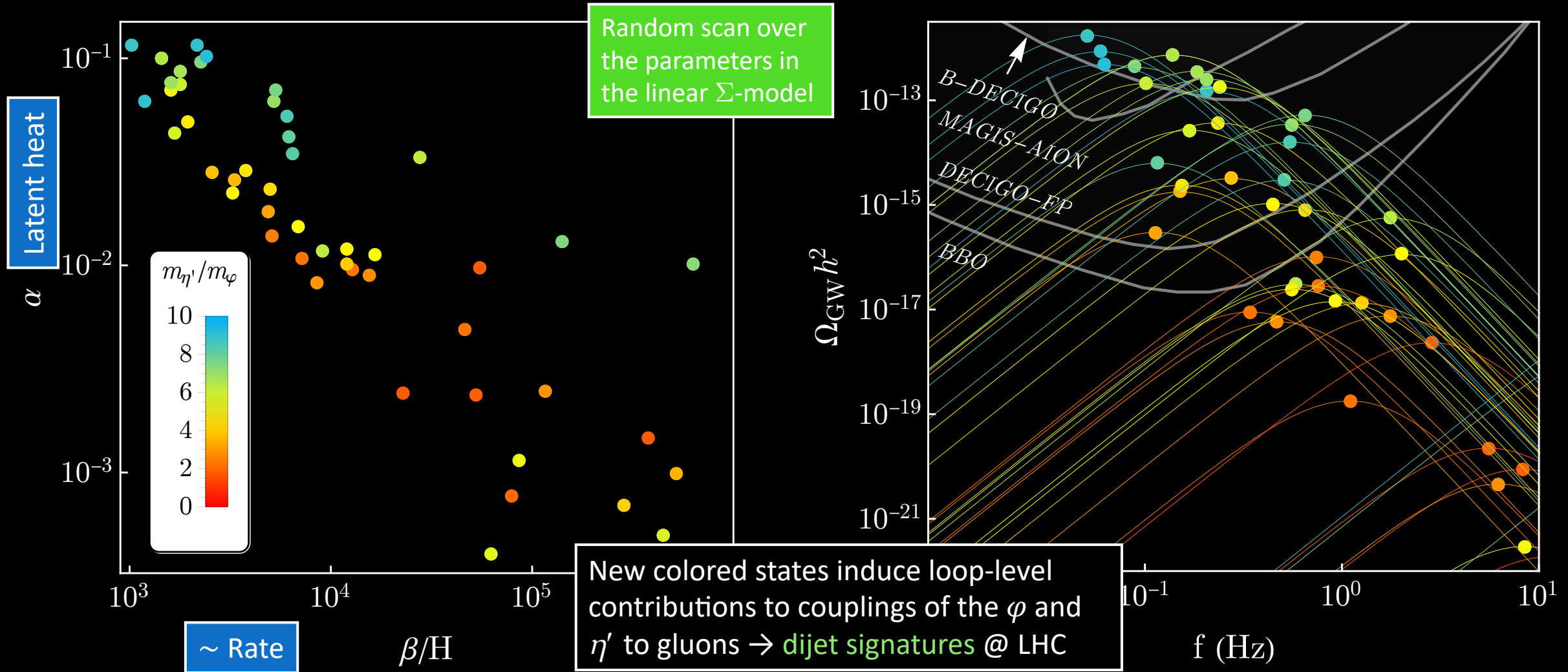
$$J_B(m^2) = \int_0^\infty dx x^2 \log \left(1 - e^{-\sqrt{x^2 + m^2}} \right)$$

- $m_i + \Pi_i$ runs over meson loops
- Chiral symmetry restoration
 - High temperatures: $\varphi = 0$
 - Low temperatures: $\varphi \neq 0$



GW in the linear Σ -model ($N_C = 3, N_f = 4$)

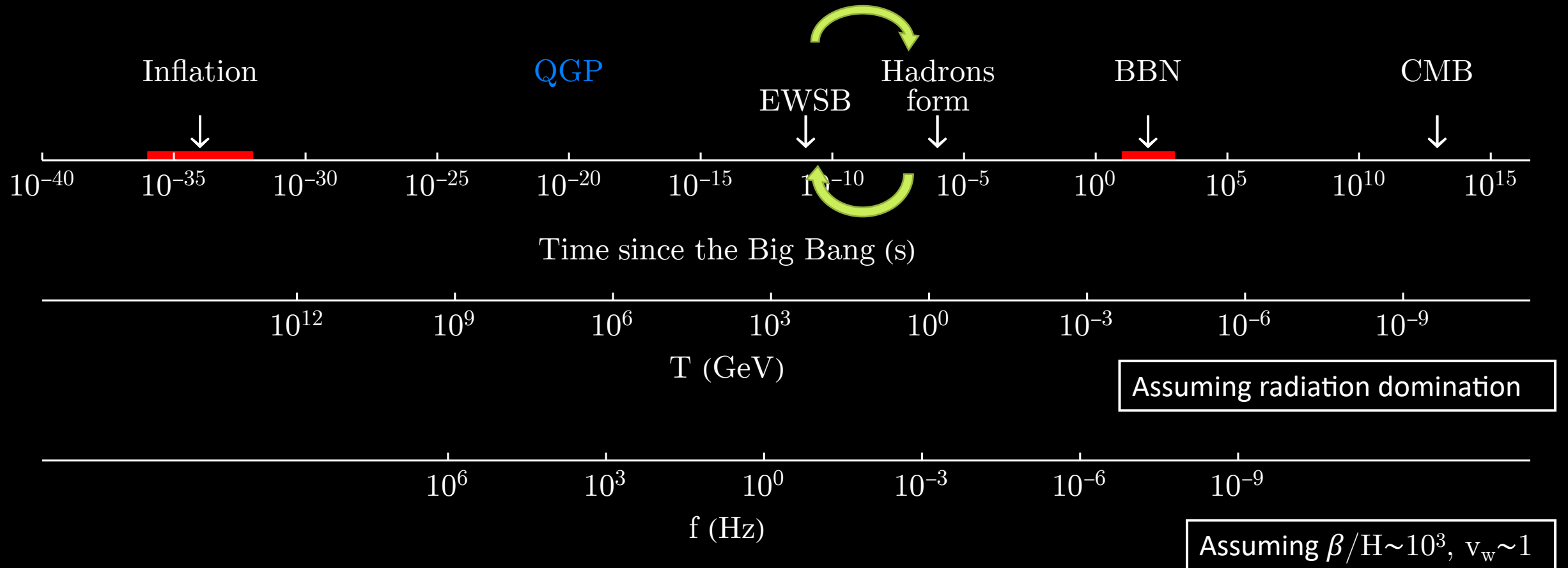
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[JHEP, arXiv:1904.10967]



Take away and comments

- Larger η' axion mass \leftrightarrow greater **explicit** $U(1)_A$ symmetry breaking
 \leftrightarrow enhanced GW amplitude
 - Large ratios $m_{\eta'}/m_{\phi}$ is a natural prediction in some models e.g. Gavela, Ibe, Quilez, Yanagida [arXiv:1812.08174]
 - Intimately related to $U(1)_A$ restoration Pisarski, Wilczek, PRD (1984)
- New colored states induce loop-level contributions to couplings of the ϕ and η' to gluons \rightarrow **dijet signatures @ LHC**
- GW predictions of the linear sigma model should be contrasted with other methods, such as lattice QCD \rightarrow future work

An alternative cosmic timeline



Through an unexplained mechanism, there is more matter than antimatter.

Early QCD confinement

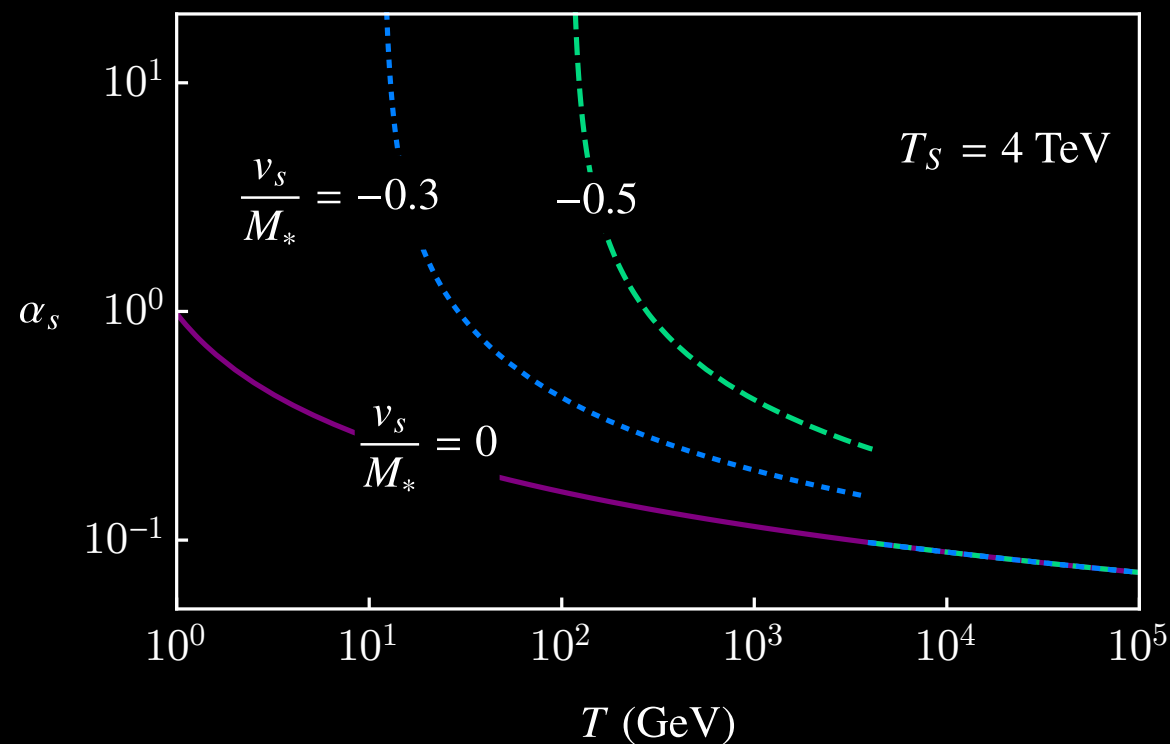
- Consider a modified gluon kinetic term,

$$-\frac{1}{4} \left(\frac{1}{g_{s0}^2} + \frac{S}{M} \right) G_{\mu\nu} G^{\mu\nu}$$

- The QCD confinement scale then depends on S ,

$$\Lambda_{\text{QCD}}(S) = \Lambda_0 e^{\frac{24\pi^2}{2N_f - 33} \frac{S}{M}}$$

↑
(1-loop MS-bar)

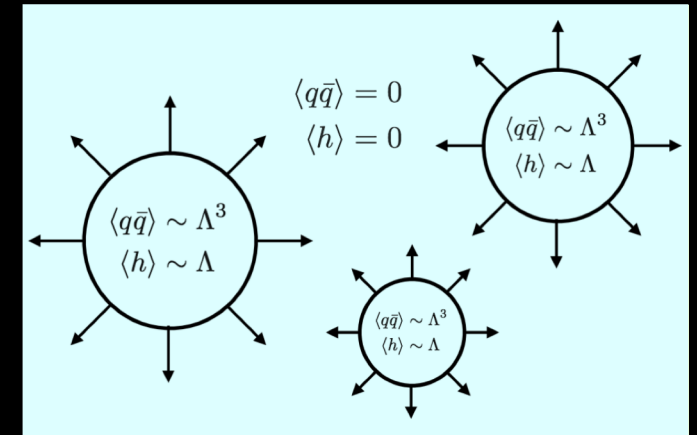


Early QCD confinement

- Consider a modified gluon kinetic term,
- Suppose confinement **precedes EWSB**
- Then confinement triggers EWSB, as the meson condensate leads to a tadpole term for the Higgs:

$$-\frac{1}{4} \left(\frac{1}{g_{s0}^2} + \frac{S}{M} \right) G_{\mu\nu} G^{\mu\nu}$$

$$V(h) \ni -y_t h \langle \bar{q}q \rangle \sim -y_t \frac{\Lambda^2}{4\pi} h \langle \Sigma \rangle$$



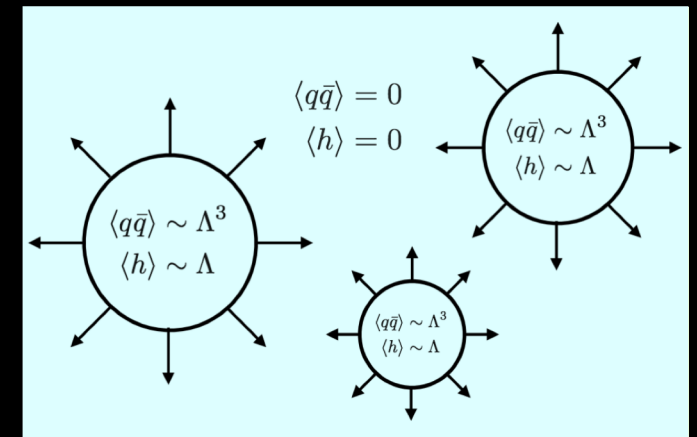
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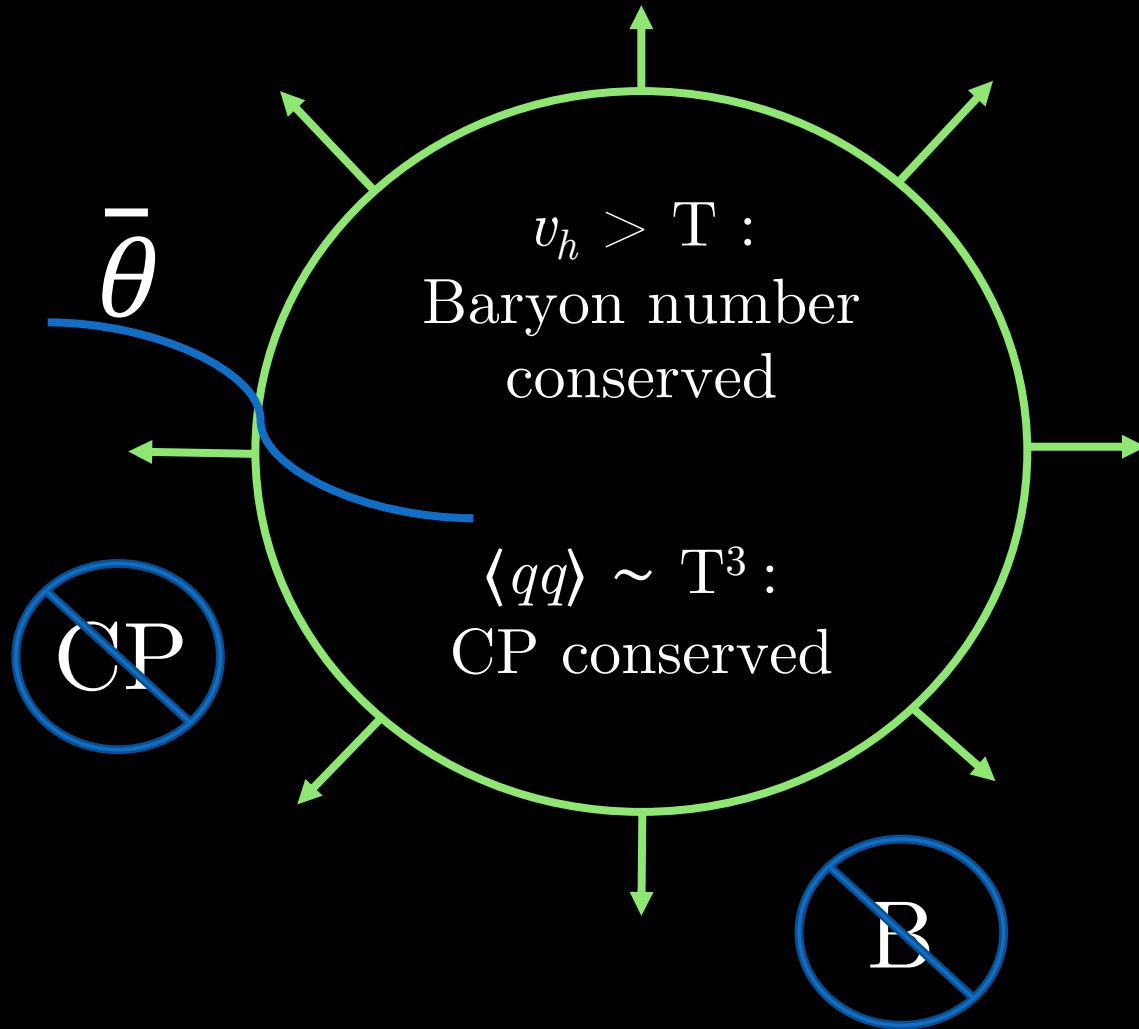
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$$V(h) \ni -y_t h \langle \bar{q}q \rangle \sim -y_t \frac{\Lambda^2}{4\pi} h \langle \Sigma \rangle$$

+ imagine also that the strong CP problem is addressed by an axion



Confinement + EWSB via bubble nucleation



$$\frac{\alpha_s}{8\pi} \langle G\tilde{G} \rangle = m_a^2(T) f_a^2 \sin \bar{\theta}(T)$$

→ Leads to $\langle W\tilde{W} \rangle$ through the η'



From the the chiral anomaly

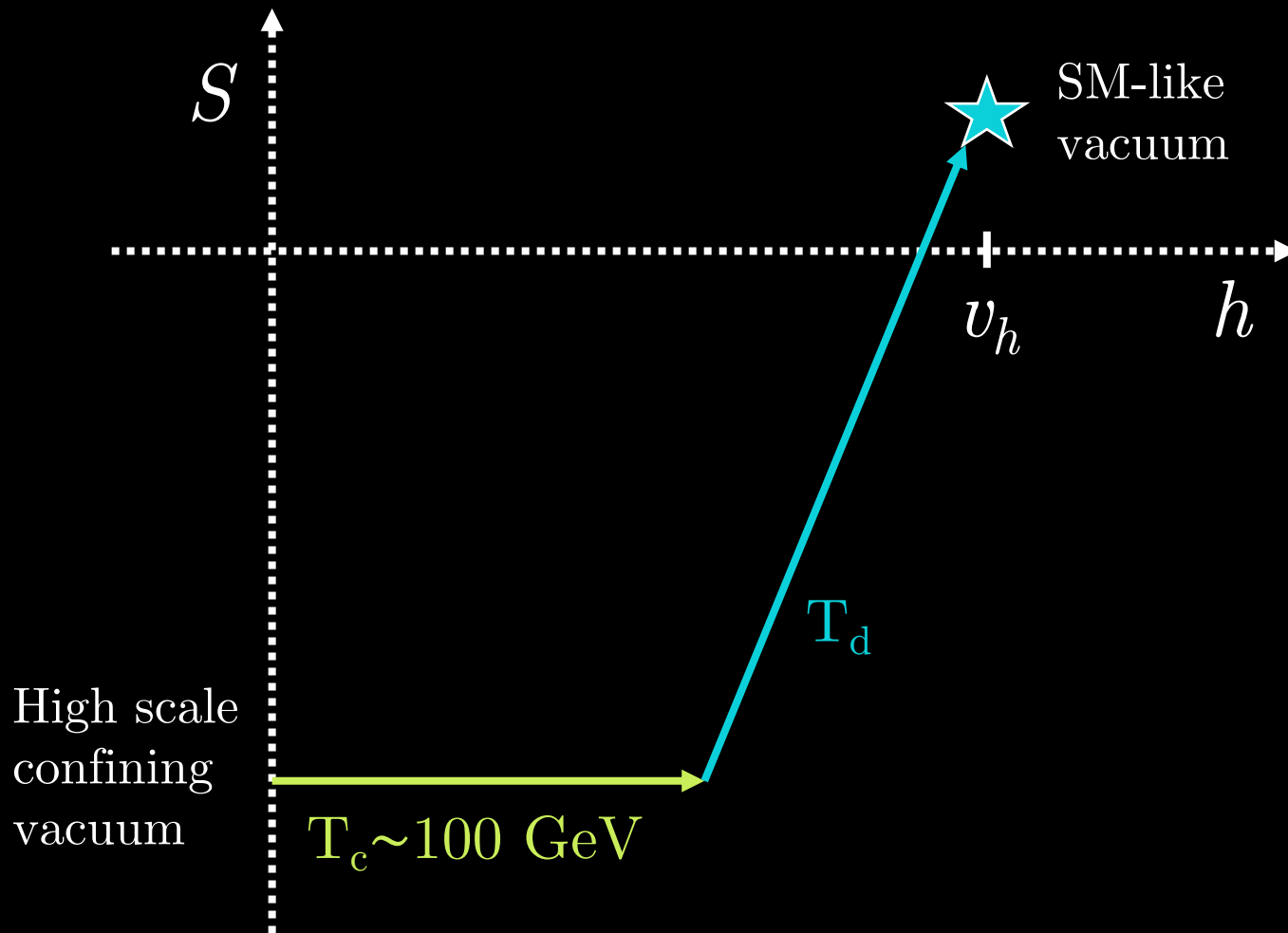
$$\partial_\mu j_B^\mu = (\alpha_W / 8\pi) \text{Tr}[W\tilde{W}]$$

$$\mu_B \sim \frac{d}{dt} \left[\frac{10}{f_\pi^2 m_{\eta'}^2} m_a^2(T) f_a^2 \sin \bar{\theta}(T) \right]$$

$$n_B = \int_{t_i}^{t_f} dt \frac{\Gamma_{\text{sph}}(T)}{T} \mu_B$$

Servant, PRL (2014) , see also Kuzmin, Shaposhnikov, Tkatchev, PRD (1992)

$$\mathcal{L} = \mathcal{L}_{\text{SM}} - \frac{1}{4} \left(\frac{1}{g_{s0}^2} + \frac{S}{M} \right) G_{\mu\nu} G^{\mu\nu} - V(S) - V(H) + b_1 S |H|^2 - b_2 S^2 |H|^2$$



- How can we study the **physics in the confined phase**?
- What regions of parameter space realize baryogenesis?
- What are the **observational signatures** of early (de-)confinement?

In the confined phase, quarks \rightarrow mesons

- In terms of $U = e^{2i T^a \Pi^a / f_\pi}$ (T^a are the generators of $SU(6)_V$)

$$\mathcal{L}_{\chi PT} = \frac{f_\pi^2}{4} \text{Tr} [\partial_\mu U \partial^\mu U] + \alpha \text{Tr} [UM] + \text{H.c.}$$

- M includes the Yukawa couplings, approximately,

$$M = \text{diag} \left(0, 0, 0, 0, 0, \frac{y_t h}{\sqrt{2}} \right)$$

—————→ This gives the tadpole term in the Higgs potential!

- $SU(6)/SU(5)$ gives **11** top-flavored pions \leftrightarrow **10** $SU(6)$ generators have nonzero entries for T^{i6} or T^{6i} , **1** with T^{66}

The Higgs potential in the confined phase

- Can calculate + relate the Higgs tadpole term to SM quantities,

$$\alpha \text{Tr} [UM] + \text{H.c.} = \frac{y_t}{y_u + y_d} \frac{m_0^2 f_0^2}{v_h} h \left(\frac{\Lambda}{\Lambda_{\text{SM}}} \right)^3$$

- And the thermal potential, $V(h, T) \ni \sum_{i \in \text{mesons}} \frac{T^4}{2\pi^2} n_i J_B \left(\frac{m_i^2 + \Pi_i}{T^2} \right)$,

Pion mass in SM QCD

$$m_{35}^2 = \frac{m_0^2}{1 + 5\sqrt{15}} \frac{y_t h}{(y_u + y_d) v_h} \left(\frac{\Lambda}{\Lambda_{\text{SM}}} \right)$$

$$m_{25, \dots, 34}^2 = \frac{3m_0^2}{1 + 5\sqrt{15}} \frac{y_t h}{(y_u + y_d) v_h} \left(\frac{\Lambda}{\Lambda_{\text{SM}}} \right)$$

Top-flavored pions

Towards a minimal realistic model

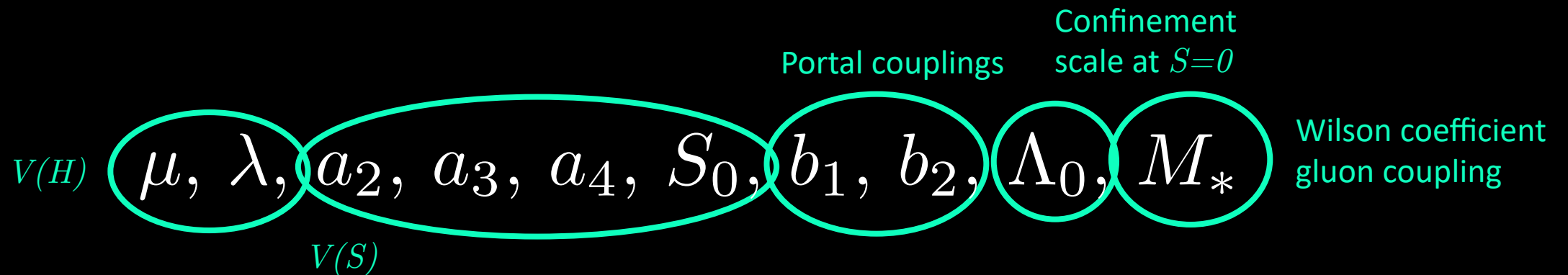
- The scalar sector is given by,

$$\mathcal{L} = \mathcal{L}_{\text{SM}} - \frac{1}{4} \left(\frac{1}{g_{s0}^2} + \frac{S}{M} \right) G_{\mu\nu} G^{\mu\nu} - V(S) - V(H) + b_1 S |H|^2 - b_2 S^2 |H|^2$$

$$V(S) = a_2 (S - S_0)^2 + a_3 (S - S_0)^3 + a_4 (S - S_0)^4$$

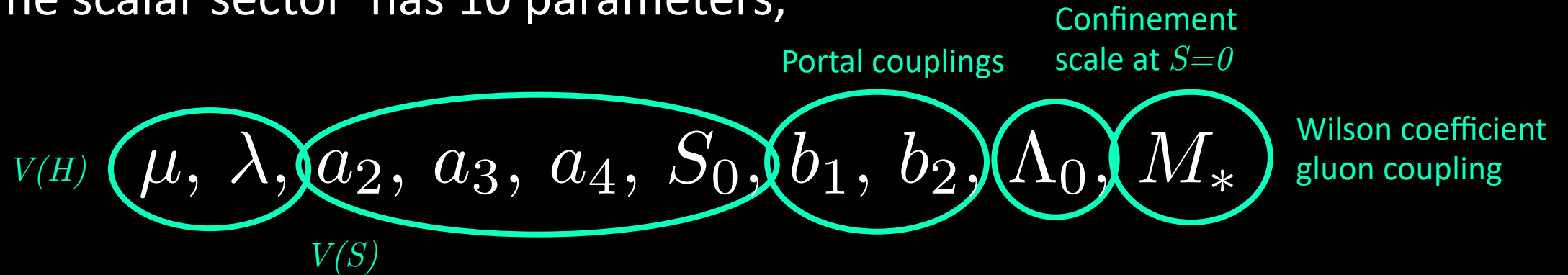
+ an axion

- The potential depends on 10 parameters,



Towards a minimal realistic model

- The scalar sector has 10 parameters,



- But they are not all free,

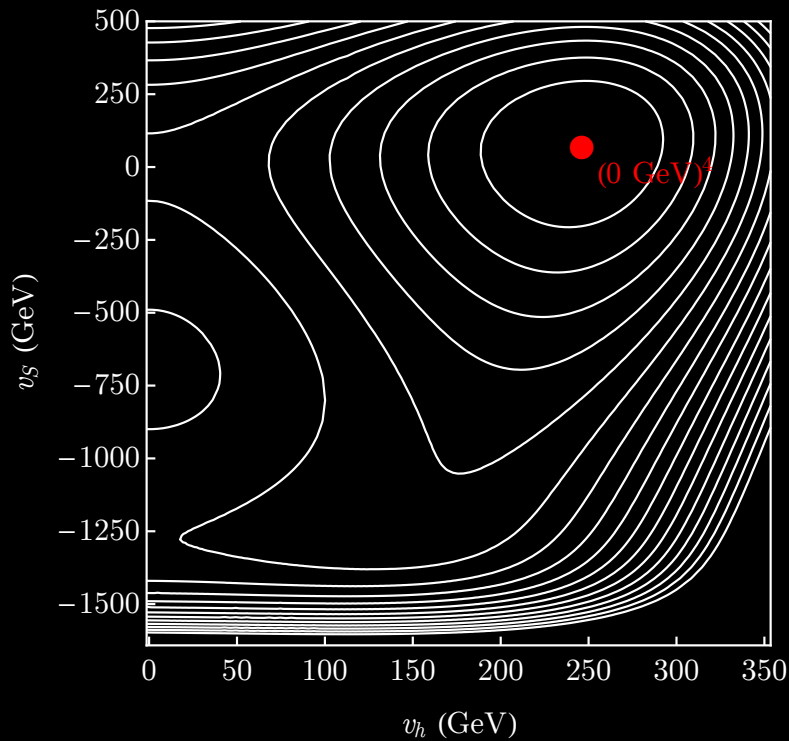
- Fix μ and λ using the Higgs (mass eigenstate and VEV) in the SM-like vacuum
- Fix S_0 as a function of other parameters by setting the SM-like QCD scale
- Example benchmark point:

Λ_0	M_*	a_2	a_3	a_4
500 MeV	3 TeV	108 GeV ²	0.15 GeV	5.1×10^{-5}

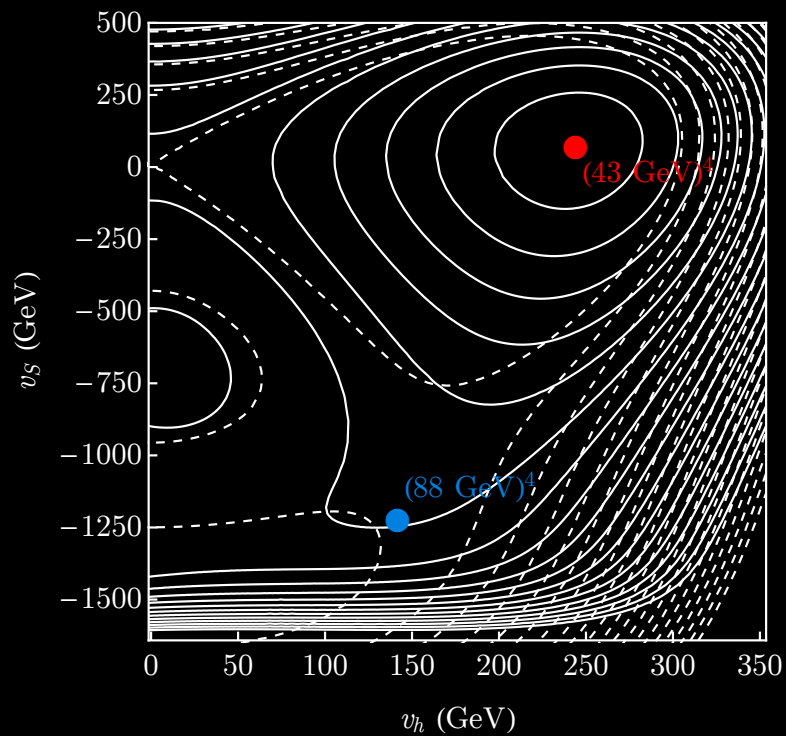
We look for the couplings that realize the following,

← Time
Temperature →

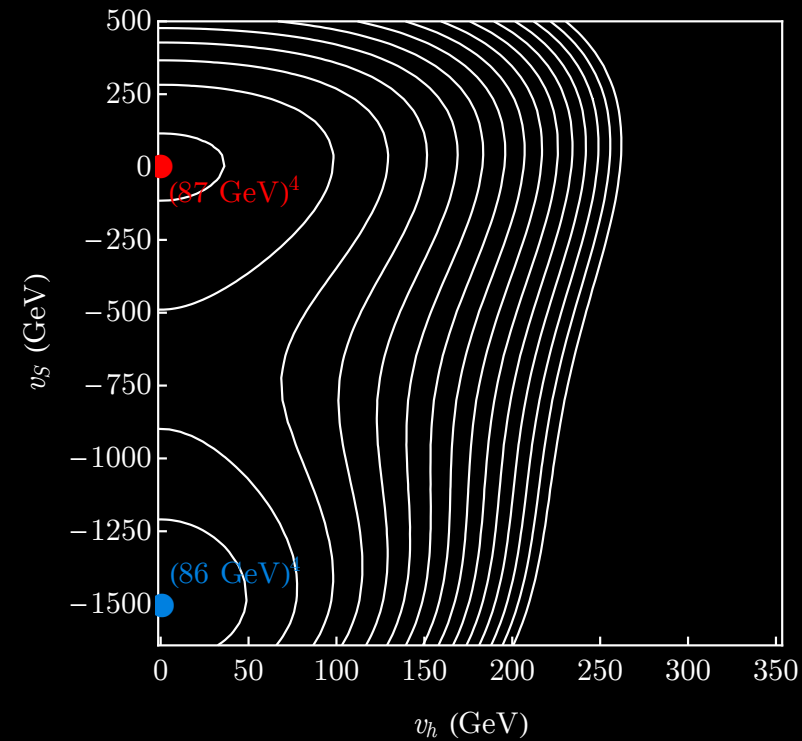
$T = 2 \text{ MeV}$



$T = \Lambda_{\text{QCD}} = 85 \text{ GeV}$



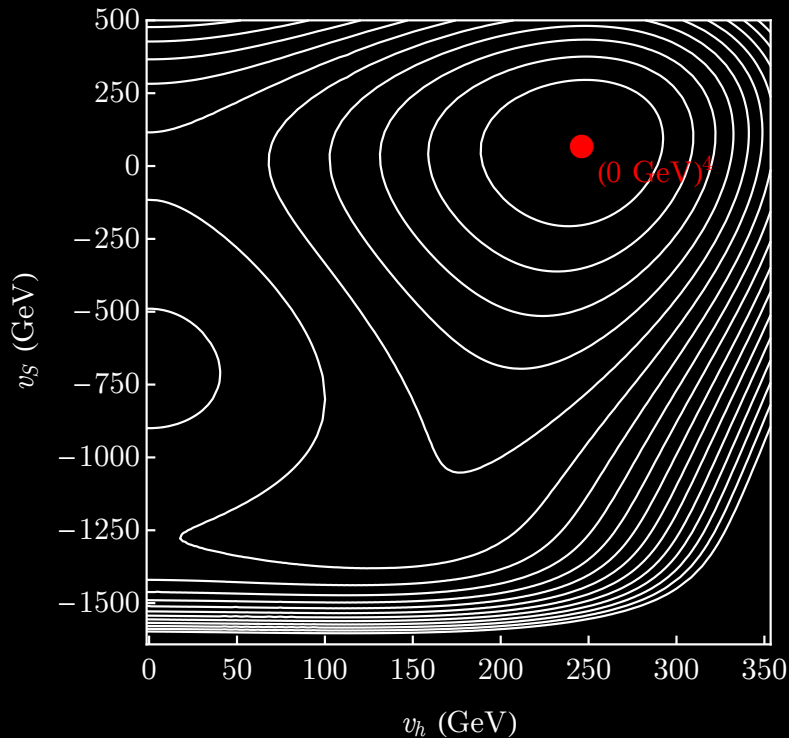
$T = 200 \text{ GeV}$



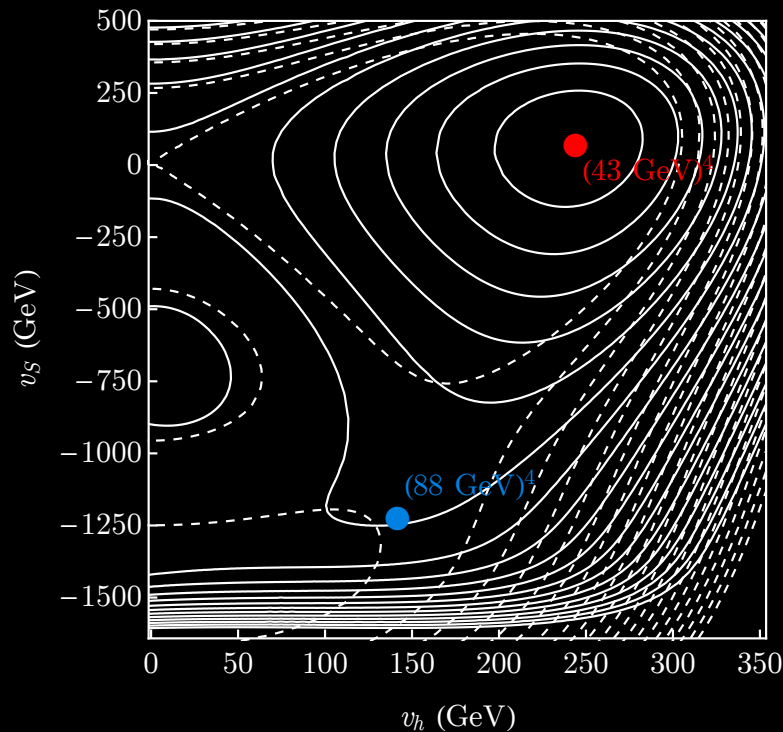
Dashed (solid) lines: potential in the unconfined (confined) phase

We look for the couplings that realize the following,

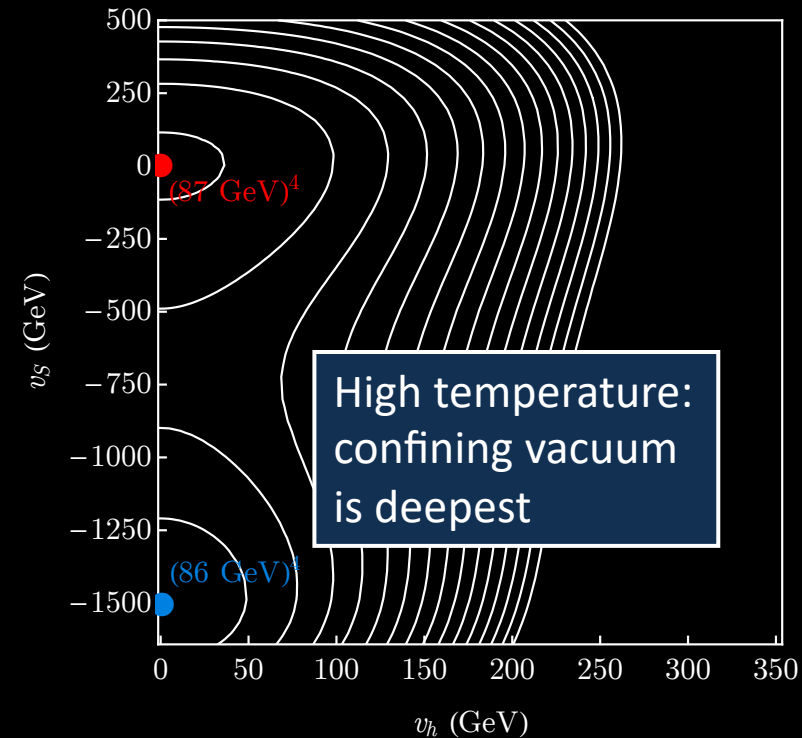
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$T = \Lambda_{\text{QCD}} = 85 \text{ GeV}$



$T = 200 \text{ GeV}$

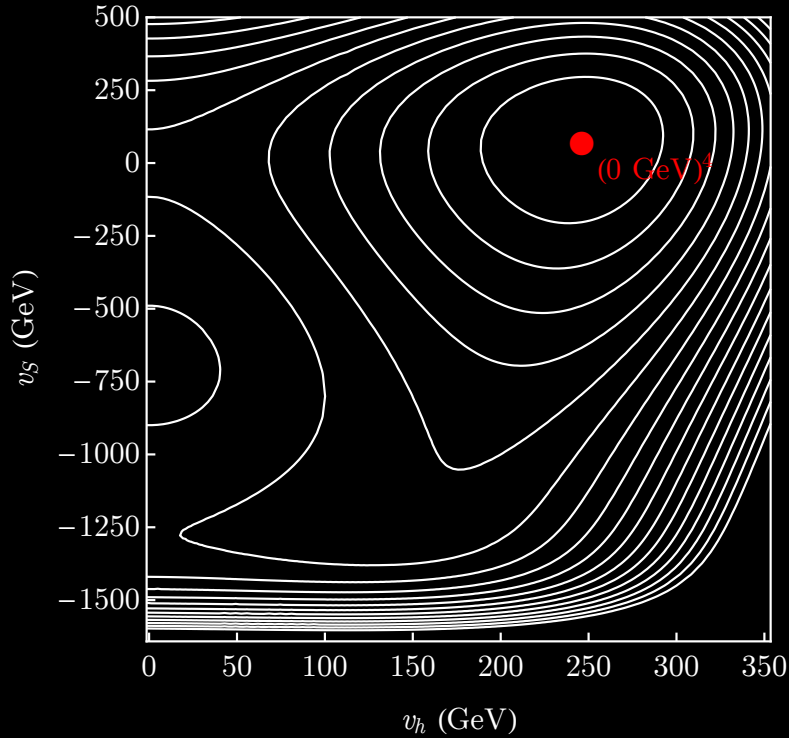


Dashed (solid) lines: potential in the unconfined (confined) phase

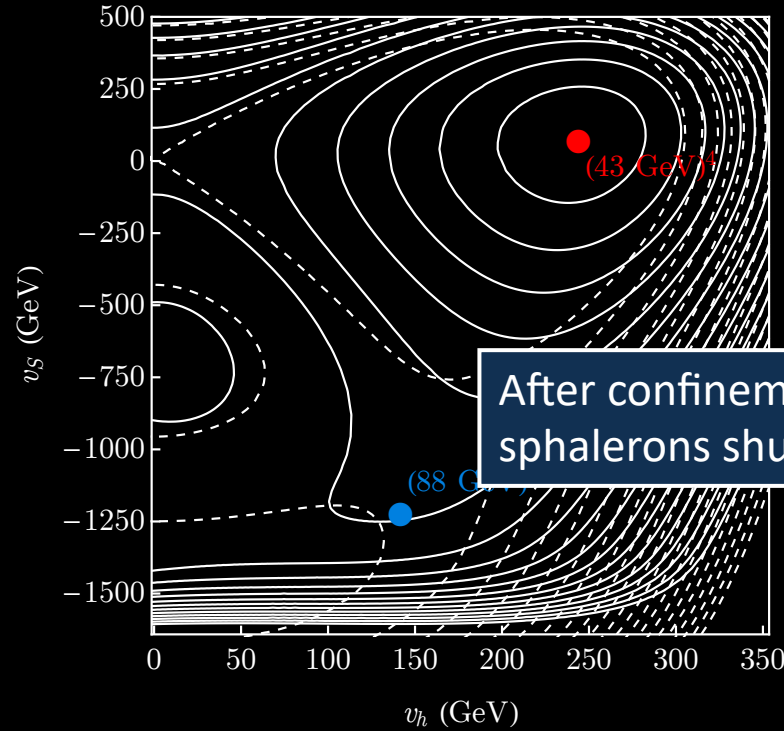
We look for the couplings that realize the following,

Before confinement: SM-like vacuum is deeper, but tunneling is suppressed

$T = 2 \text{ MeV}$

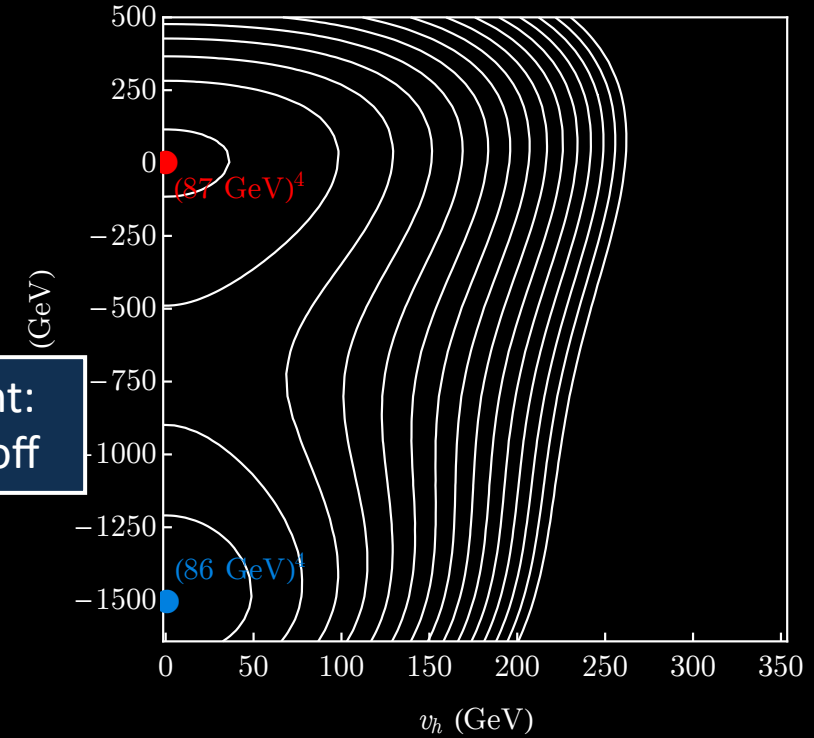


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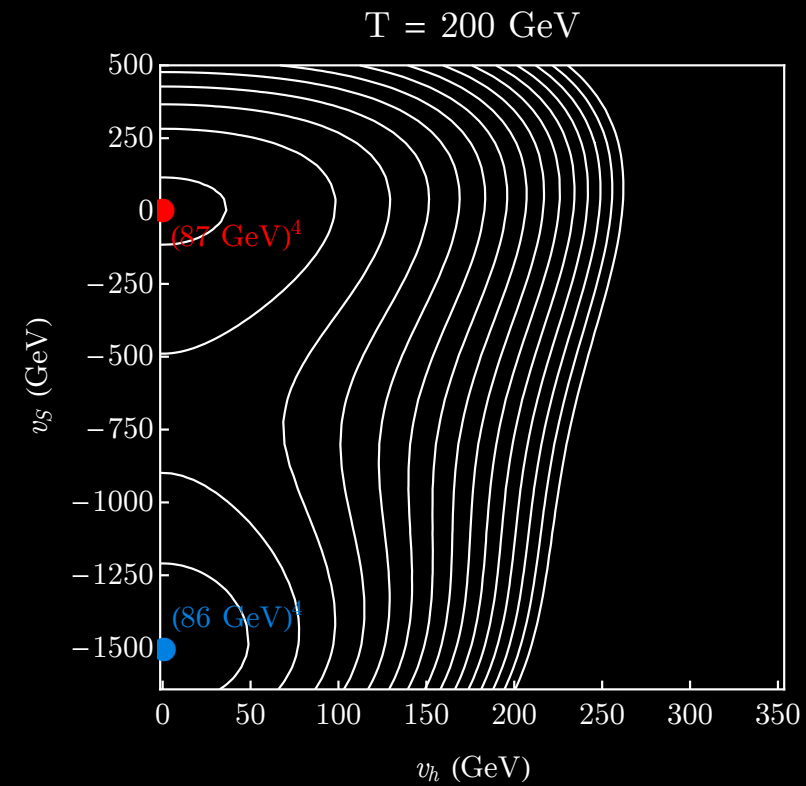
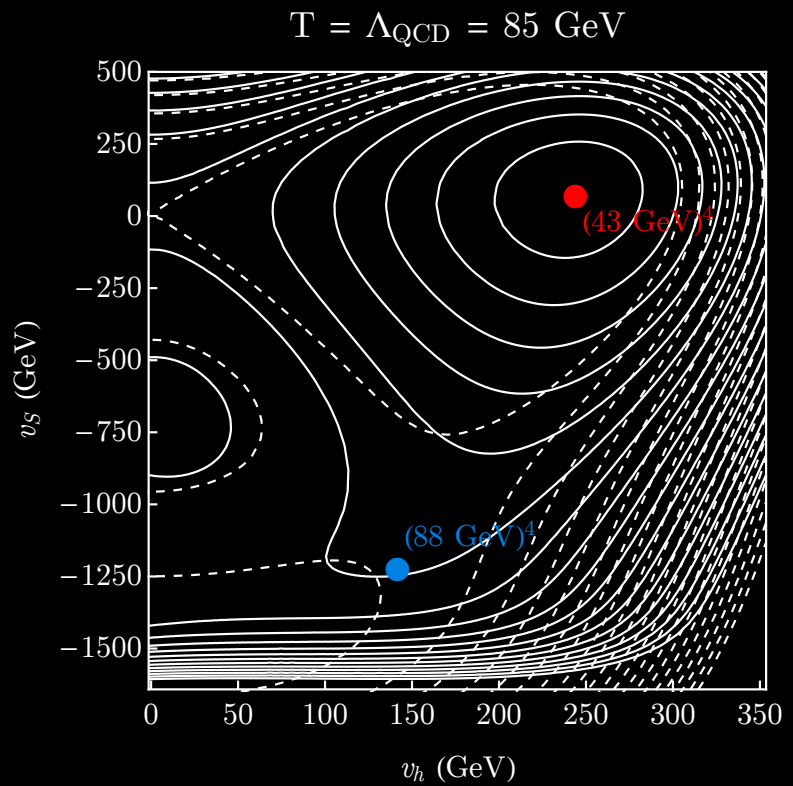
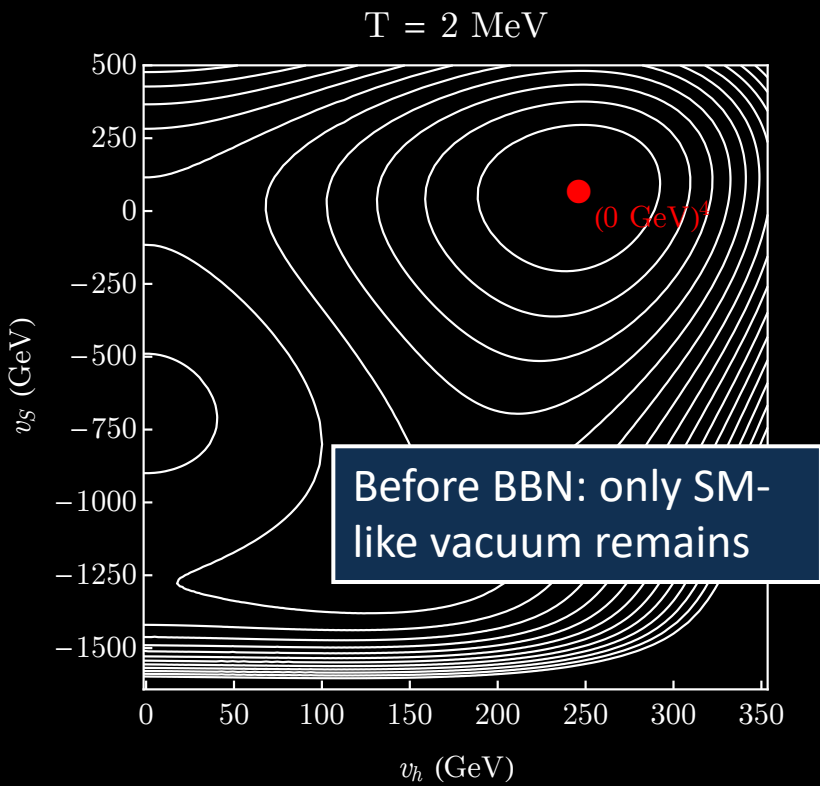
After confinement:
sphalerons shut off

$T = 200 \text{ GeV}$



Dashed (solid) lines: potential in the unconfined (confined) phase

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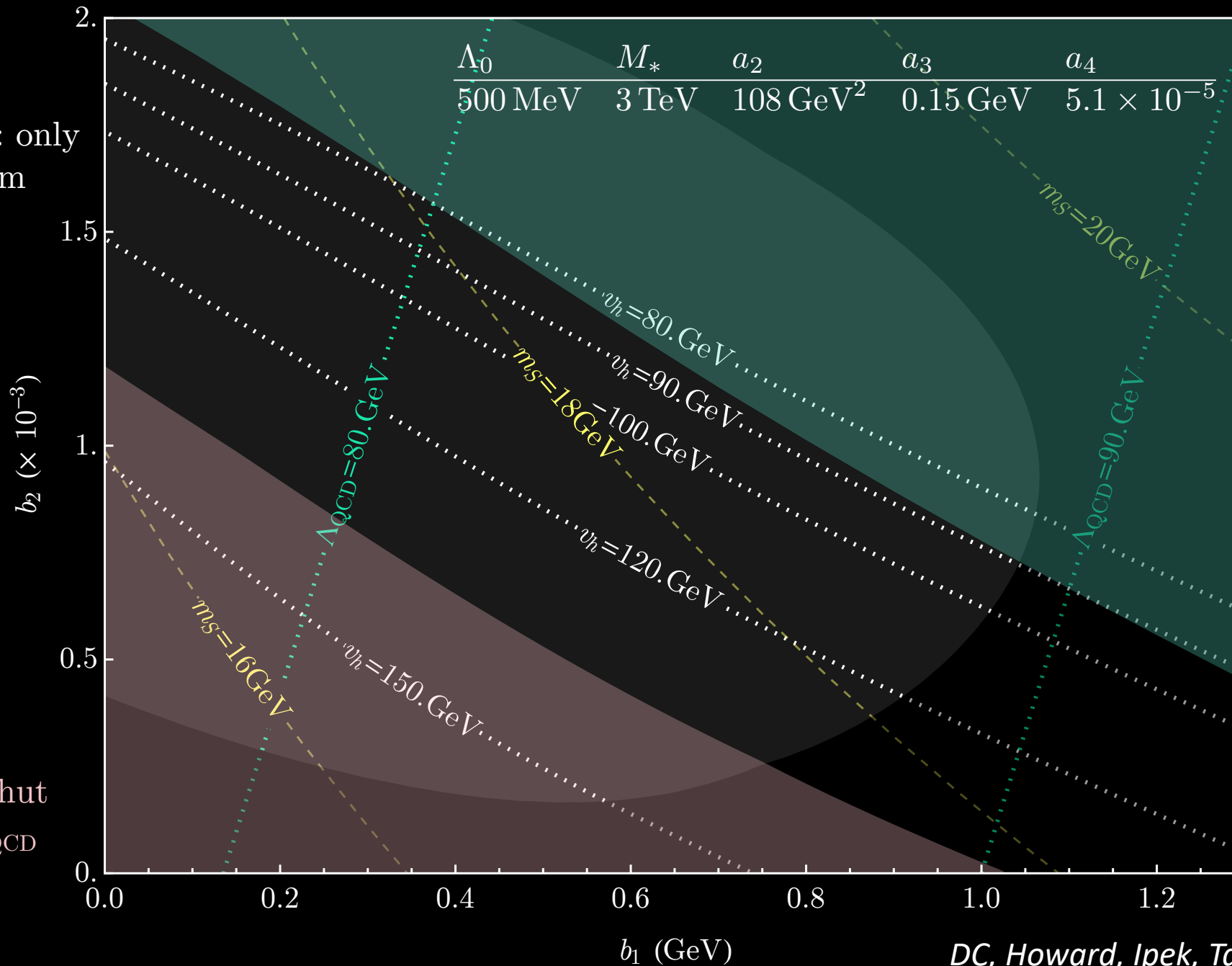


Dashed (solid) lines: potential in the unconfined (confined) phase

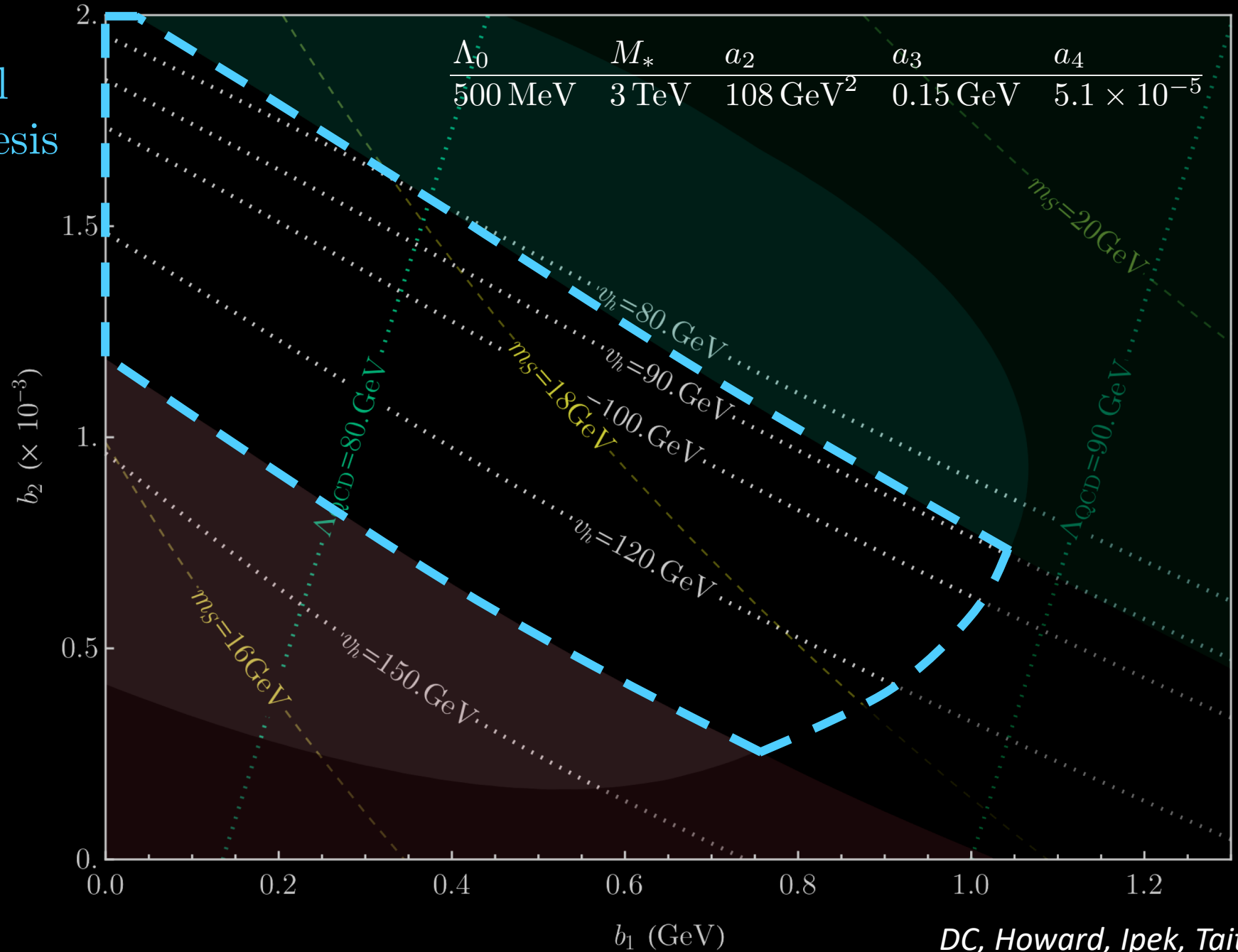
Lighter region: only SM-like vacuum remains ✓

Sphalerons shut off before Λ_{QCD}

Sphalerons do not shut off at Λ_{QCD}



Successful
baryogenesis



Λ_0	M_*	a_2	a_3	a_4
500 MeV	3 TeV	108 GeV ²	0.15 GeV	5.1×10^{-5}

Collider constraints (gluon coupling)

- Singlet typically has mass $m_s^2 \sim b_2 v_h^2 = O(10 \text{ GeV})^2$
- Dominantly produced by **gluon fusion**
- Dominantly **decays back to gluons** with $c\tau \lesssim 10^{-7} \text{ cm}$
- $M \gtrsim 3 \text{ TeV}$ by **(non-resonant) dijet constraints @ LHC** (for pseudo-scalar equivalent)
Gavela, No, Sanz, de Troconiz [arXiv:1905.12953]
- In particular models (for example VLQ) constraints from top-partner searches apply

Collider constraints (scalar mixing)

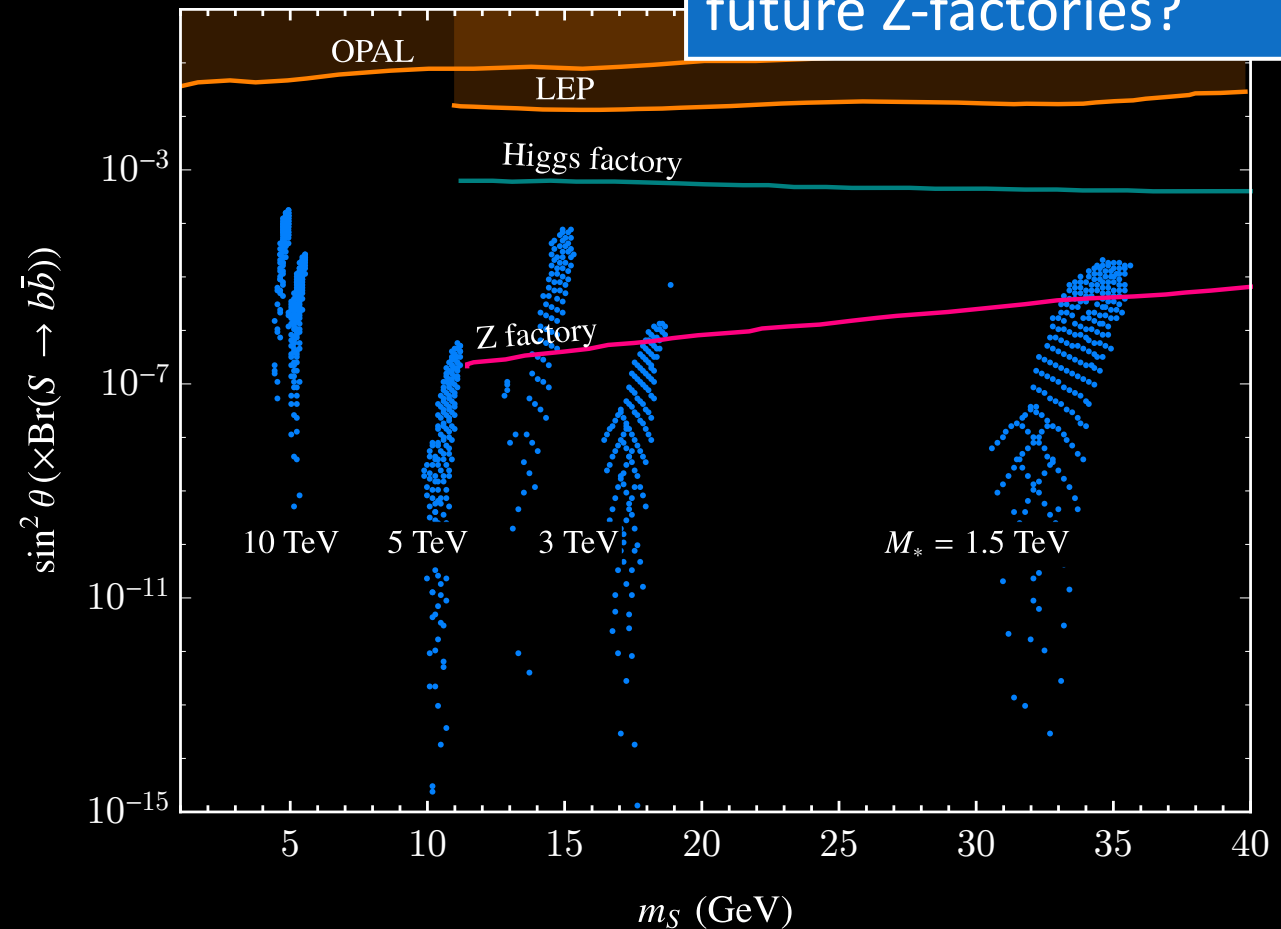
- Singlet typically has mass $m_s^2 = O(10 \text{ GeV})^2$

- Mixing angle with the Higgs is typically very small

$$\Gamma(s \rightarrow f\bar{f}) \simeq \frac{N_c y_f^2 \sin^2 \theta m_S}{8\pi} \left(1 - \frac{4m_f^2}{m_S^2}\right)^{3/2}$$

- Subdominant b -quark decay mode **evades current constraints**

Potentially probed by future Z-factories?



Gravitational waves

- High scale QCD confinement ($\Lambda_{\text{QCD}} \sim O(10-100 \text{ GeV})$) occurs for $N_f=6$ (as the EW symmetry is unbroken) and is therefore **first order**
 - Deconfinement likely also occurs while $N_f > 3$
- Potential **double peaked GW signal** in the LISA frequency band
- Since the same DOF participate in both transitions, the resulting plasma dynamics is non-trivial → **discussions in progress**

Final takeaways

- *Gravitational waves offer a window onto the early Universe, potentially allowing us to probe quark confinement*
- **New** confining phase transitions may occur in QCD' models
 - Solutions to the strong CP problem
 - Models with new strongly interacting sectors
- QCD confinement **itself** may be modified
 - Effective coupling strength changed by a scalar
 - Late origin of quark masses
- Studying the (GW) phenomenology of such models is an interesting (and largely still open) challenge

Thank you!

Questions?