



Wire-Cell

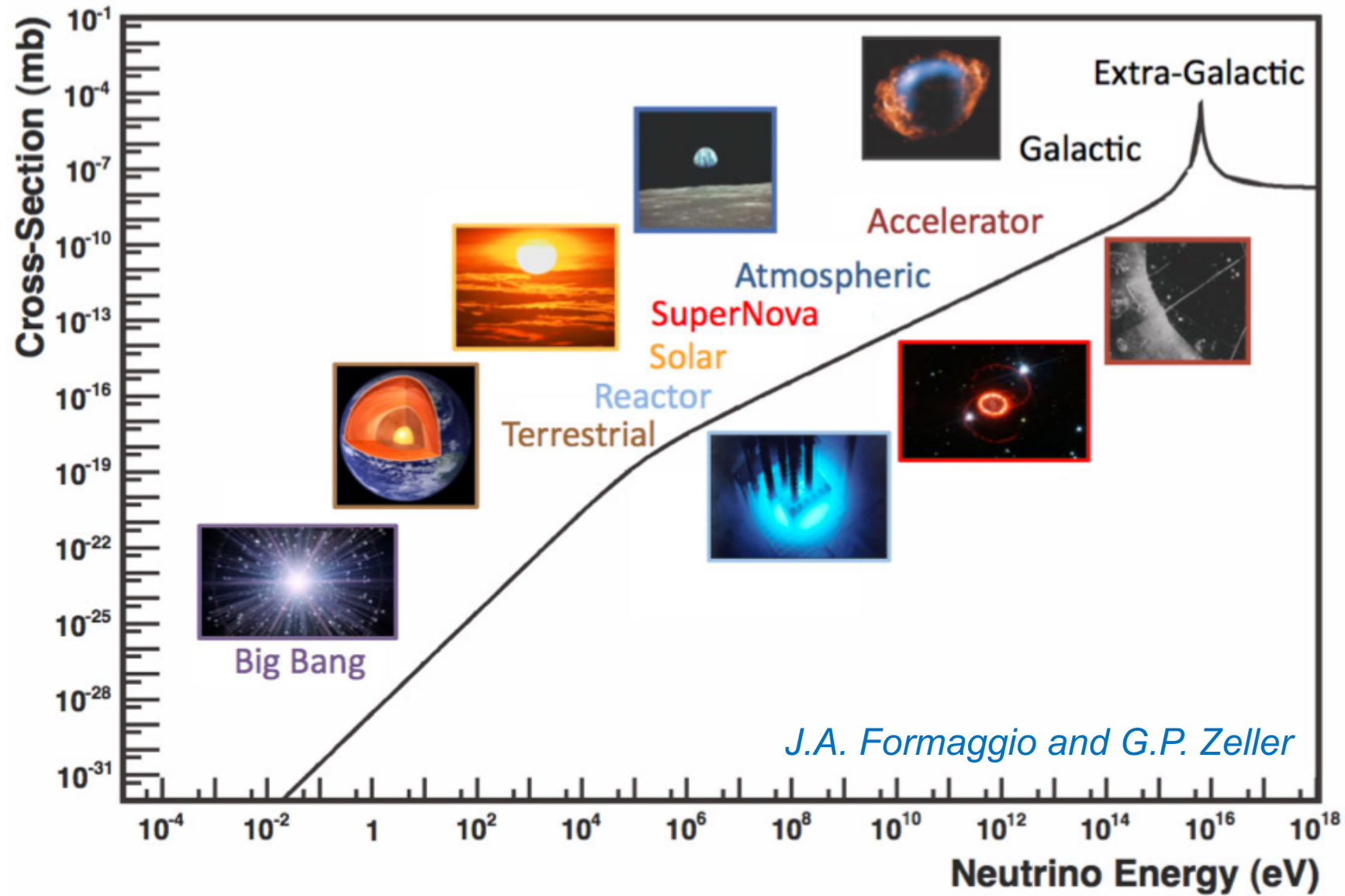
# Event Reconstruction for High Precision Neutrino Physics in LArTPCs

**Hanyu WEI**

Physics Department

**BROOKHAVEN**  
NATIONAL LABORATORY

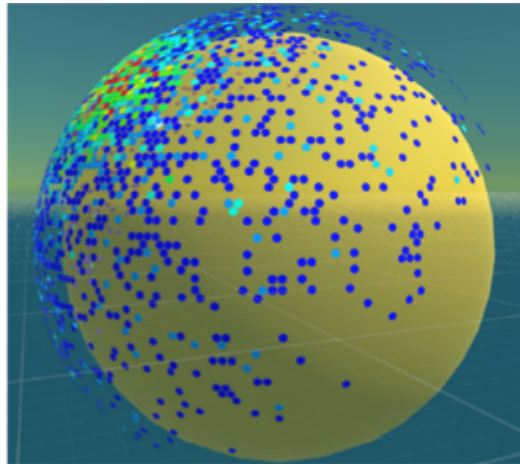
*Apr. 7<sup>th</sup>, 2020 @ Home (ZOOM)*



**Neutrinos come in a wide variety of energies & physics!**

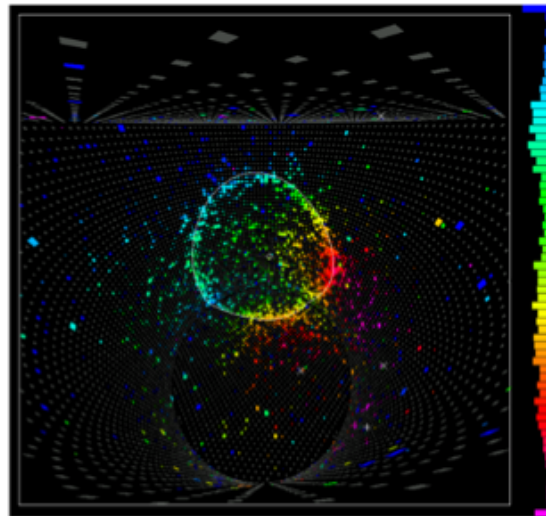
# Neutrino Detection

- **Scintillator + PMT** -- Cowan-Reines experiment in 1956, first detection of neutrinos (reactor neutrinos)
- **Spark chamber (segmented)** – L. Lederman, *et al.* experiment in 1962, the discovery of  $\nu_\mu$  (first measurement of accelerator neutrinos)
- **Radiochemical reaction (counter)** -- R. Davis's experiment in 1967, (first measurement of solar neutrinos)



JUNO  
Scint. + PMT

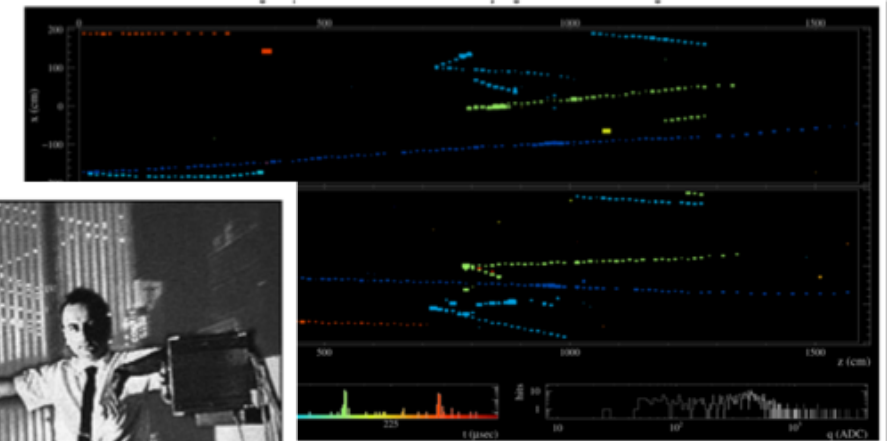
Super-K  
Cherenkov + PMT



Mel Schwartz  
Spark chamber



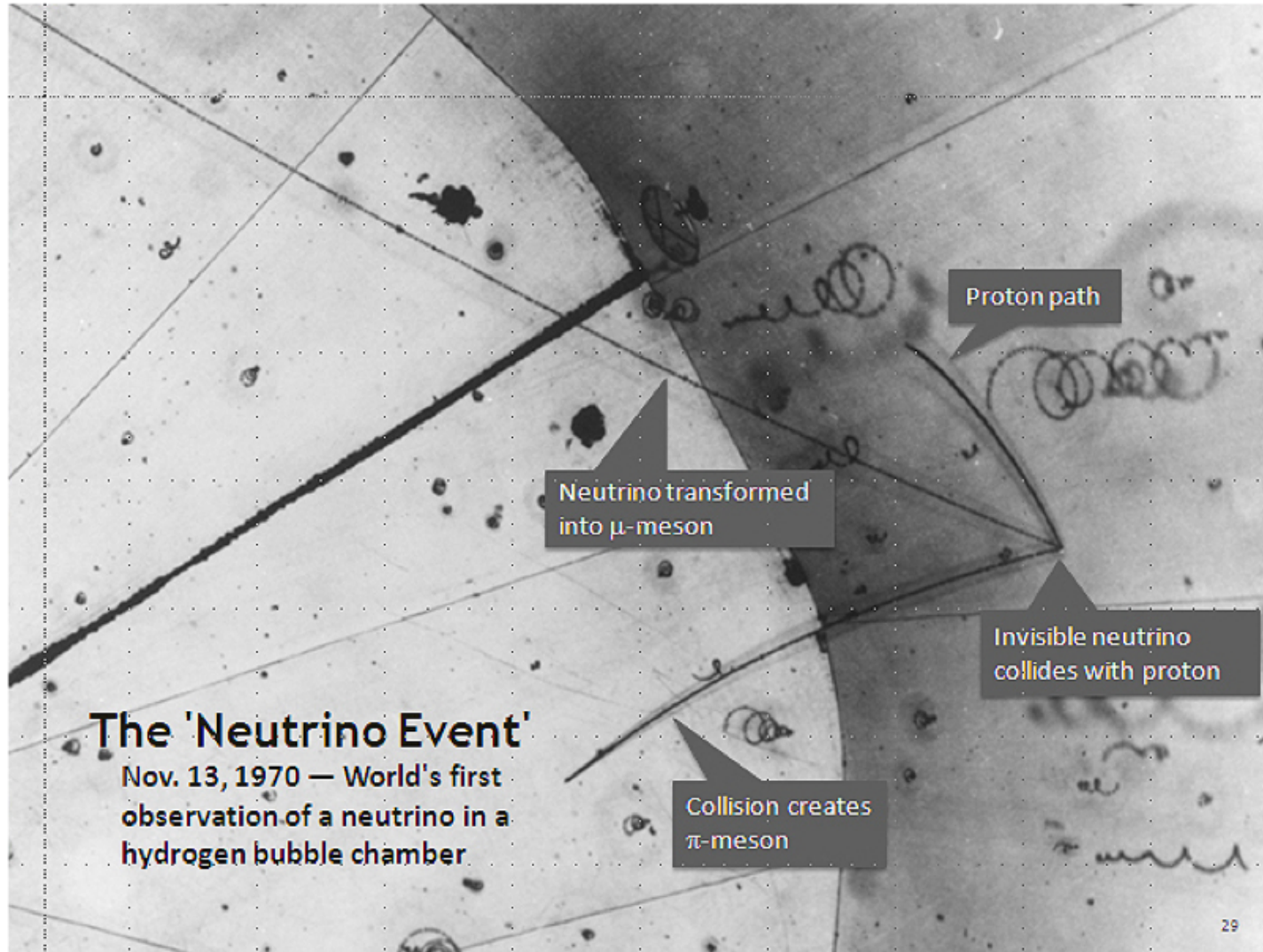
Large sampling (segmented scintillator)



NOvA  
Scint. cells

# First *Image* of Neutrino Interaction – Bubble chamber

Bubble chamber: Gargamelle  
-- discovery of neutral current  
Nuclear emulsion: DONUT, OPERA  
-- discovery of  $\nu_\tau$



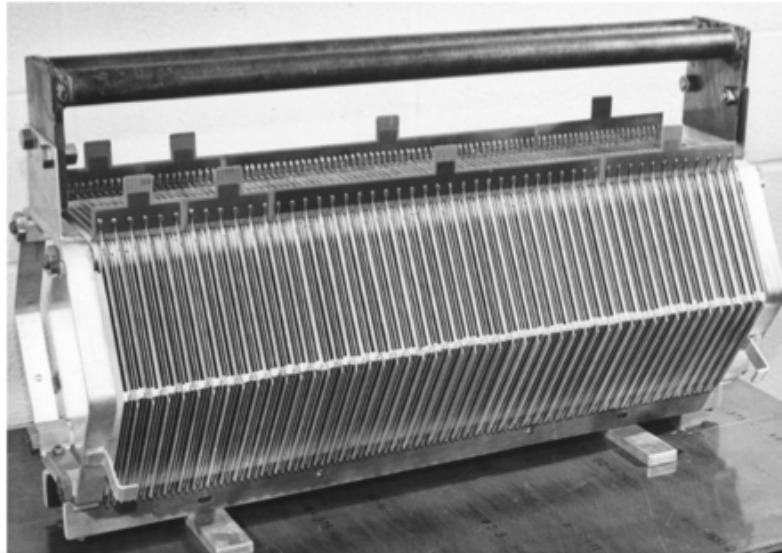
# Neutrino Detectors

- *Massive* (only weak interactions)
- *Calorimeter*
- *Topology*
- *Massive + Calorimeter + Topology? – powerful particle identification*

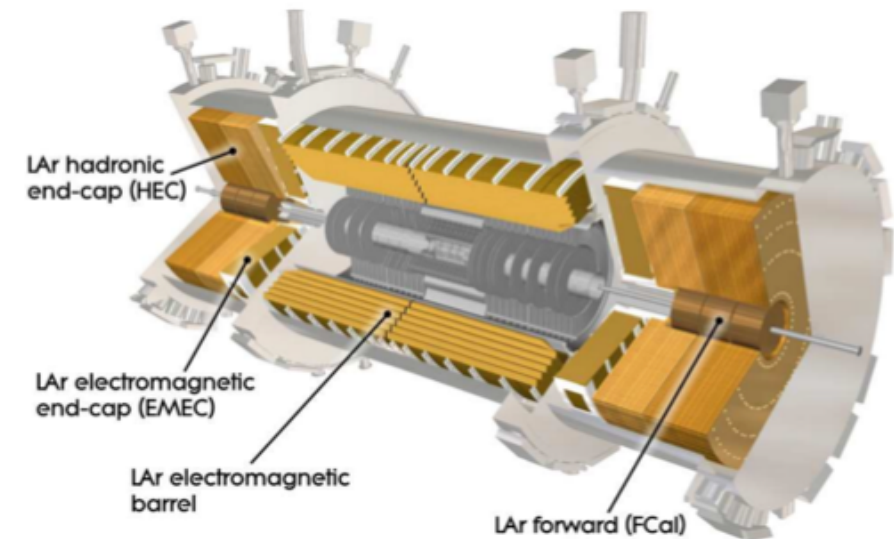
# Liquid Argon (LAr) Calorimeter

- During 1972-1974, Bill Willis (Yale) and Veljko Radeka (BNL) designed and built the first LAr sampling electromagnetic calorimeter (200 1.5 mm plates + 2 mm LAr gaps)

Electronics readout  
Integral system design



- CERN Intersecting Storage Rings (ISR)
- ATLAS



ATLAS LAr Calorimeter System

## LIQUID-ARGON IONIZATION CHAMBERS AS TOTAL-ABSORPTION DETECTORS\*

W. J. WILLIS†

*Department of Physics, Yale University, New Haven, Connecticut 06520, U.S.A.*

and

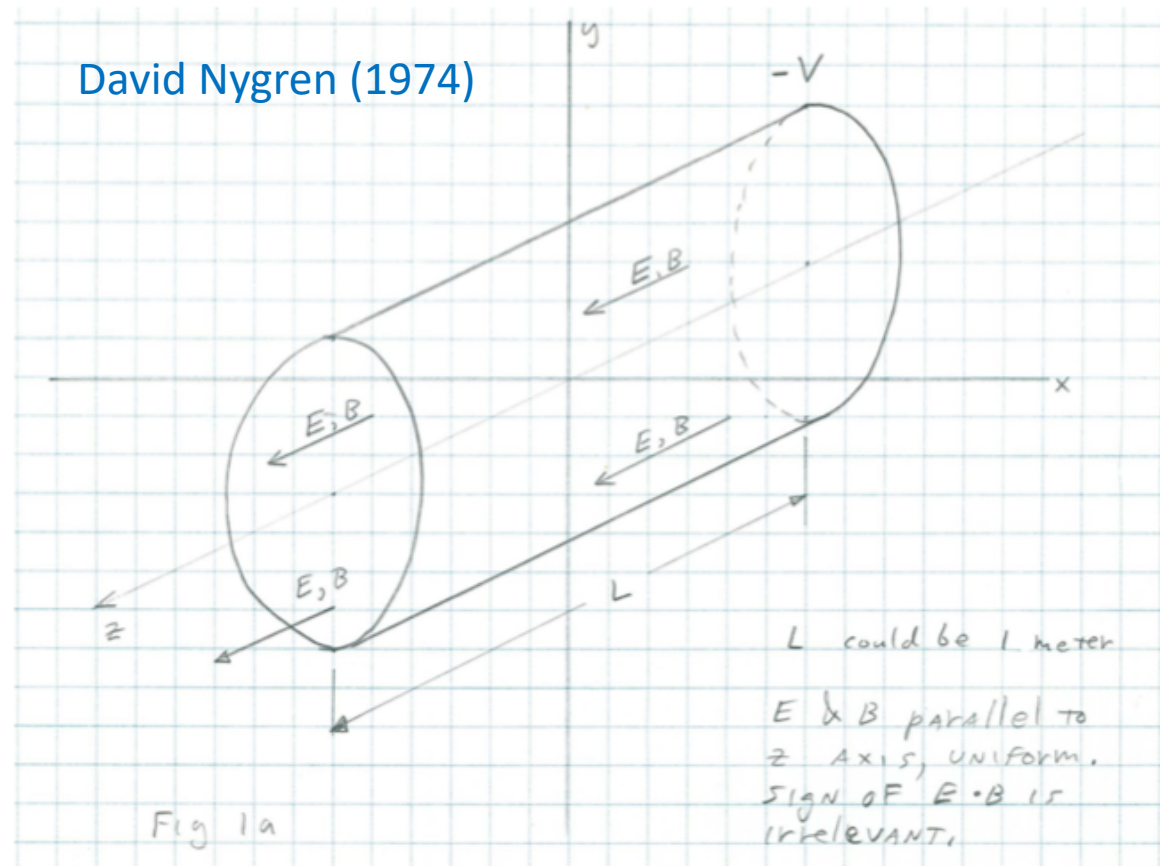
V. RADEKA

*Instrumentation Division, Brookhaven National Laboratory, Upton, New York 11973, U.S.A.*

Received 14 May 1974

# Time Projection Chamber (TPC)

- In 1974, David Nygren (LBL) proposed a novel concept of TPC in a LBL internal report "Proposal to Investigate the Feasibility of a Novel Concept in Particle Detection"



# LAr + TPC

“There has been a growing need for novel device which combines the large amount of specific information on the topology of the events of a bubble chamber with the much larger mass, timing, and geometrical flexibility of a counter experiments” – C. Rubbia

A NEUTRINO DETECTOR SENSITIVE TO RARE PROCESSES

I. A STUDY OF NEUTRINO ELECTRON REACTIONS

H. H. Chen, P. E. Condon  
University of California  
Irvine, California 92717

B. C. Barish, F. J. Sciulli  
California Institute of Technology  
Pasadena, California 91109

1976 Herbert Chen  
FNAL proposal 496

1977 Carlo Rubbia  
CERN internal report

THE LIQUID-ARGON TIME PROJECTION CHAMBER:

A NEW CONCEPT FOR NEUTRINO DETECTORS

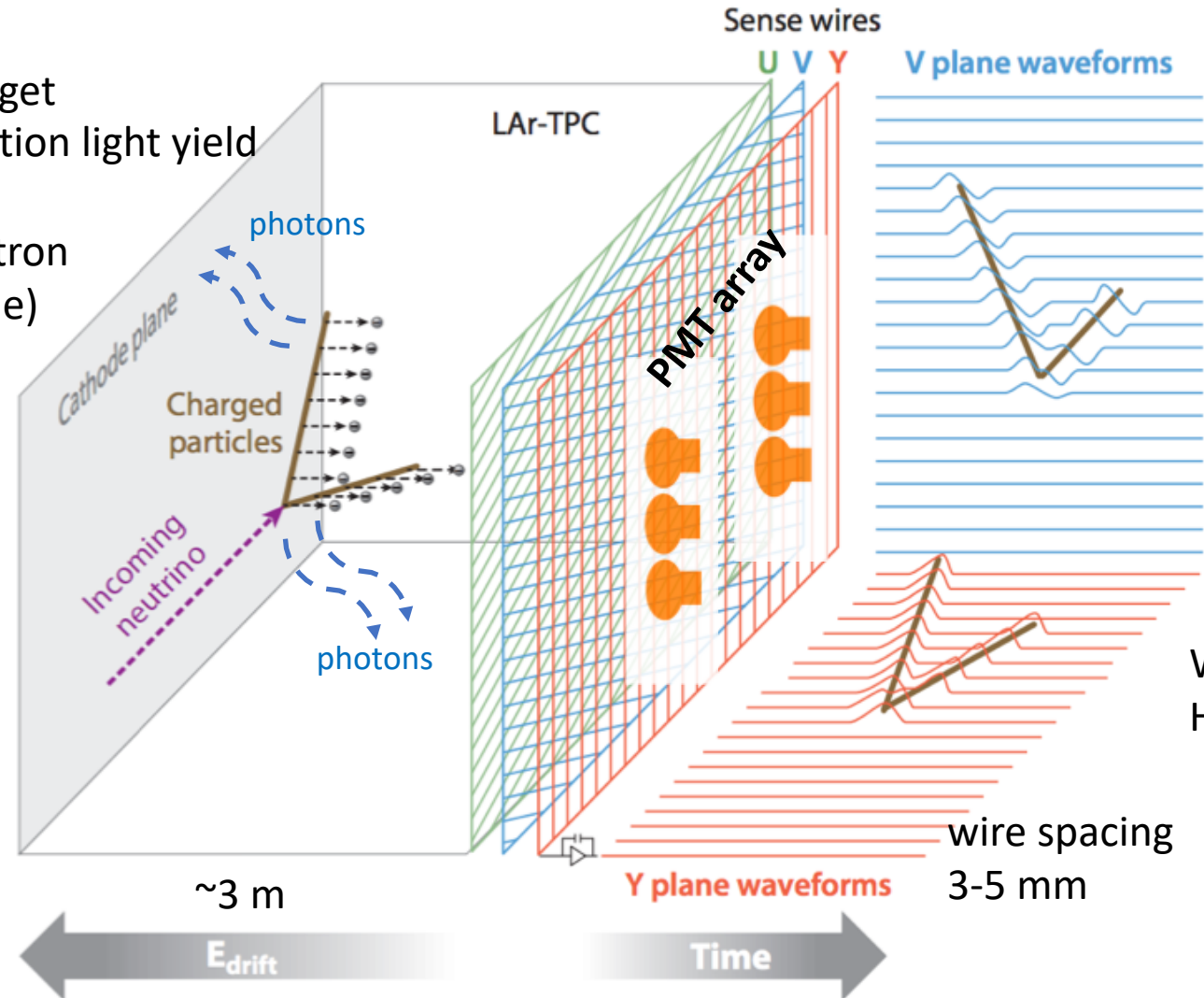
C. Rubbia



# A typical LArTPC design (single-phase)

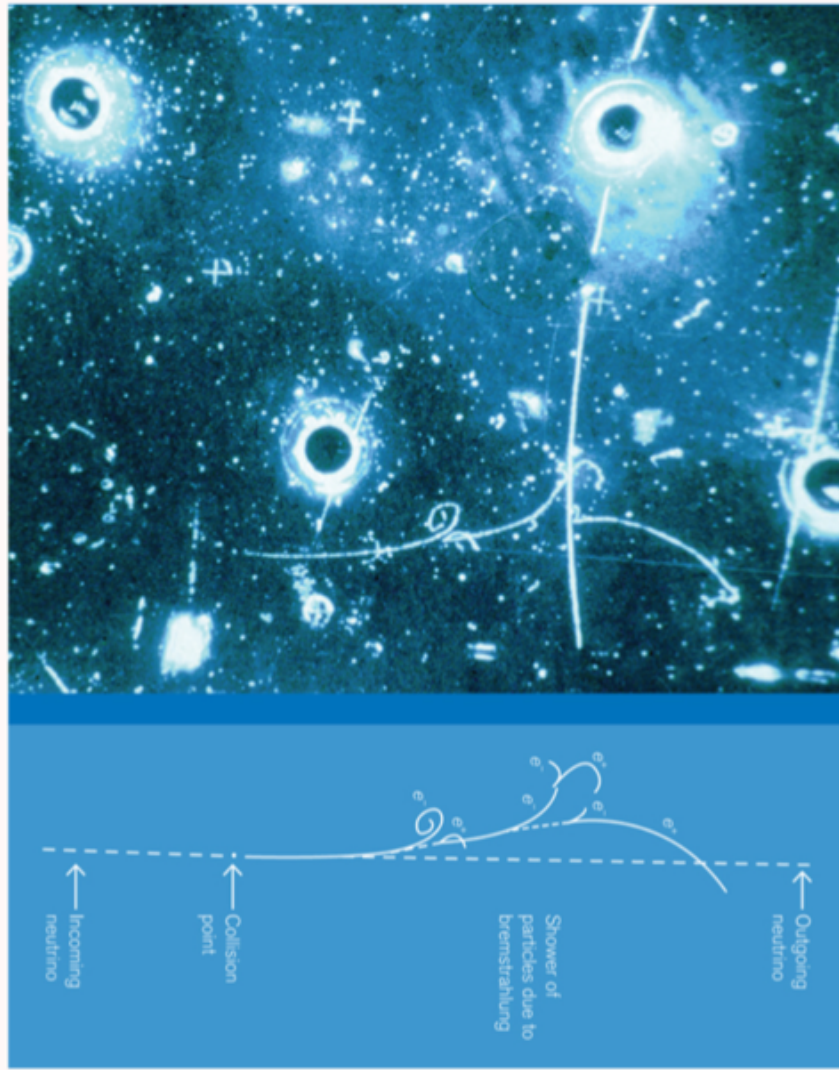
## Liquid Argon

- Dense, homogeneous, active target
- High ionization electron/scintillation light yield
- High electron mobility
- can be made pure with low electron attachment (long drift, large scale)
- Abundant (low cost)



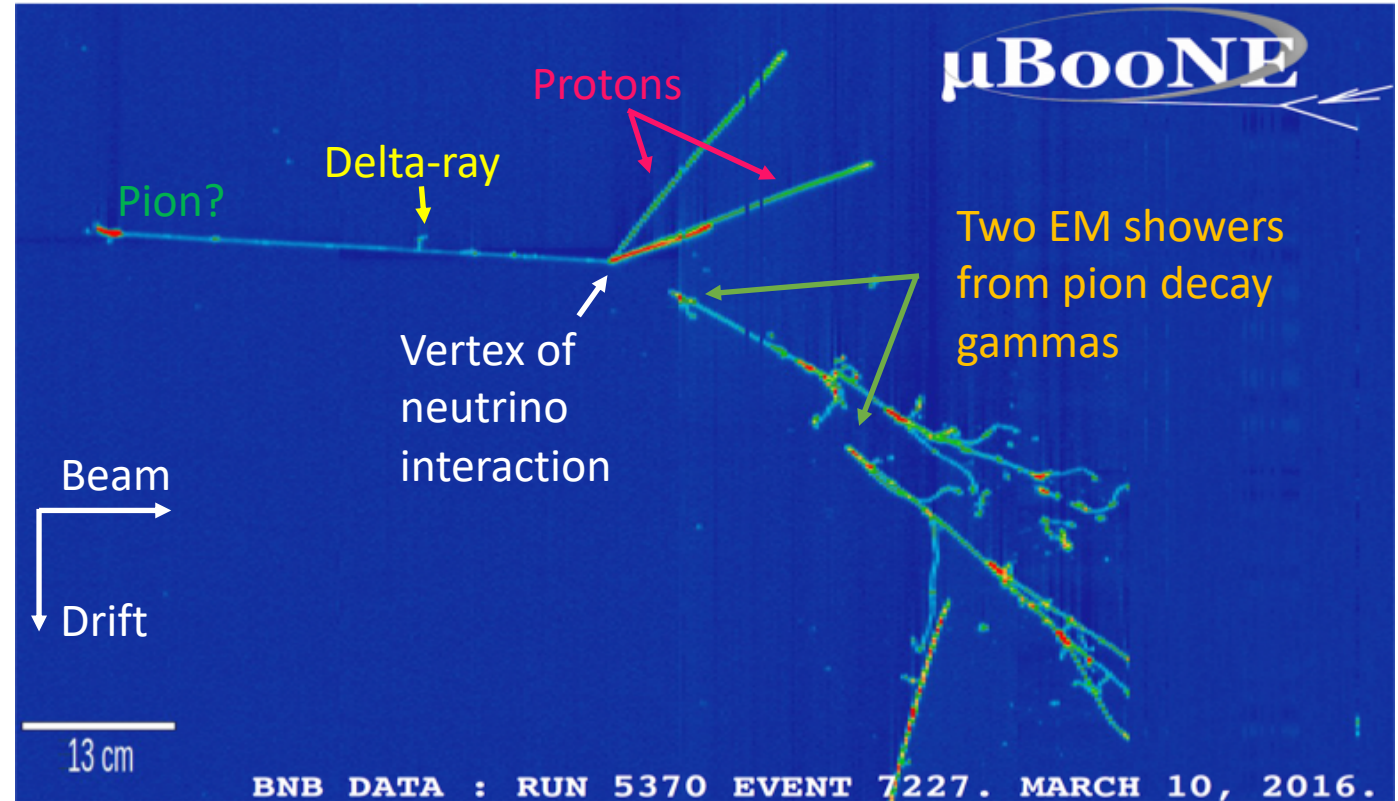
Wire readout scheme:  
Heat loads & Cost

# 50 years: from Bubble chamber to LArTPC



Gargamelle (1973): discovery of weak neutral current [ $\nu_\mu e^- \rightarrow \nu_\mu e^-$ ]

*Fun facts:  $CBrF_3$  (bubble chamber liquid) is very similar to LAr in many aspects, e.g. density,  $dE/dx$ , radiation length, absorption length, etc.*



MicroBooNE (2016): probably a neutral current interaction of  $\nu_\mu$   
Full time electronics readout (cold ASIC)

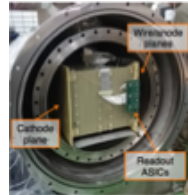
# LArTPC detectors

1977

- Membrane cryostat technology
- Argon purging
- High-voltage system
- Integral system design
- Cold electronics
- ...

2009

Argonneut @ FNAL  
240 kg, 2009-2010

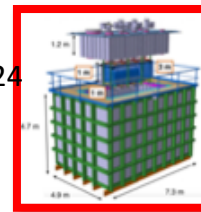


ICARUS T-600 @ CNGS, 760 ton,  
2010-2014, moved to Fermi 2017



2010

WA105 3x1x1 m<sup>3</sup> 24  
ton, 2016

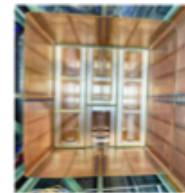


2015

MicroBooNE @ FNAL 170  
ton, 2015-

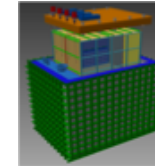


35-t prototype  
@FNAL 2015-2016

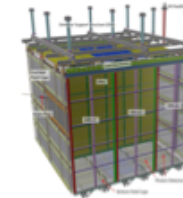


2018/9

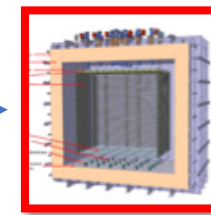
SBND @ FNAL  
112 ton active, 2019



protoDUNE-SP (NP04) 0.77kt,  
2018- @CERN



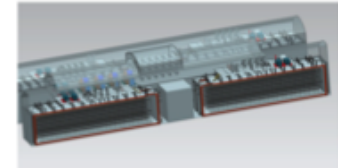
protoDUNE-DP (NP02)  
0.7kt, 2018 @CERN



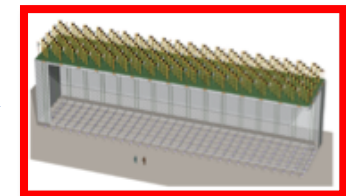
Dual-Phase

202?

DUNE reference design  
10-kt module

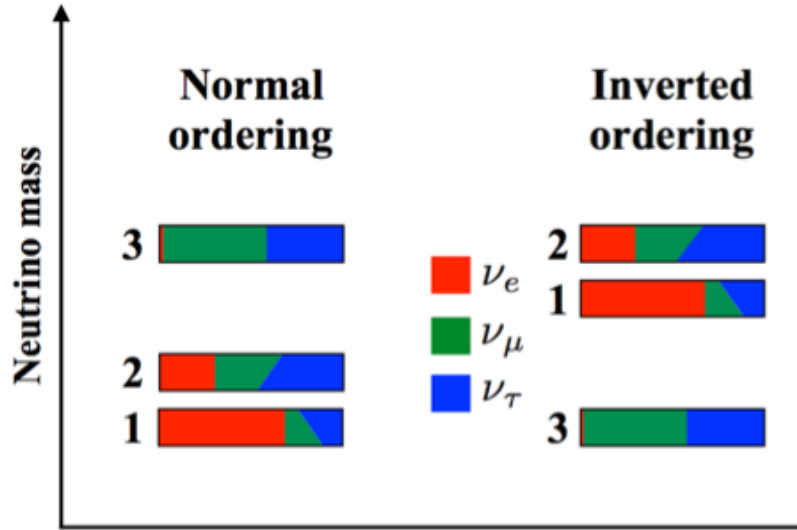


4x10-kt modules



# Neutrino Oscillation

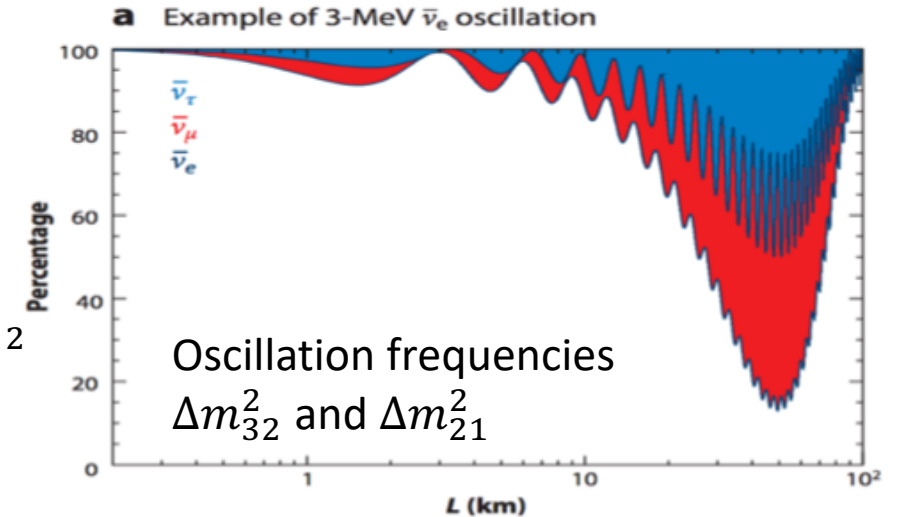
Neutrino flavor eigenstate = mixture of mass eigenstates



$$\Delta m_{21}^2 \approx 7.4 \times 10^{-5} \text{ eV}^2 (\pm 1.3\%)$$

$$|\Delta m_{32}^2| \approx 2.5 \times 10^{-3} \text{ eV}^2 (\pm 2.8\%)$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_j U_{\beta j}^* e^{-i \frac{m_j^2 L}{2E}} U_{\alpha j} \right|^2$$



## Known unknowns:

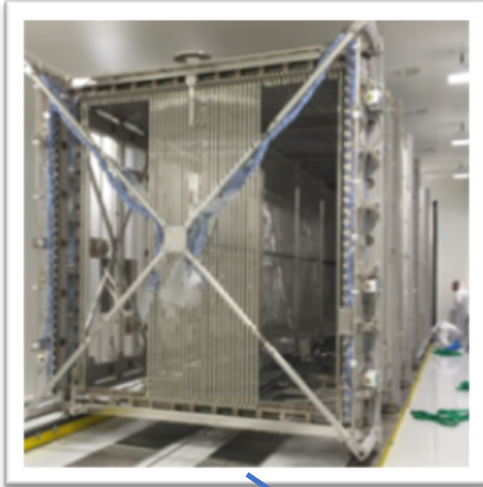
- $\theta_{23}$  octant
- Dirac CP phase
- Mass ordering

## Unknown unknowns:

- Majorana particle?
- Sterile neutrino (>3 flavor)?
- Beyond standard model?

# Short-Baseline Neutrino Program

ICARUS T-600, 760 ton  
moved to Fermi 2017



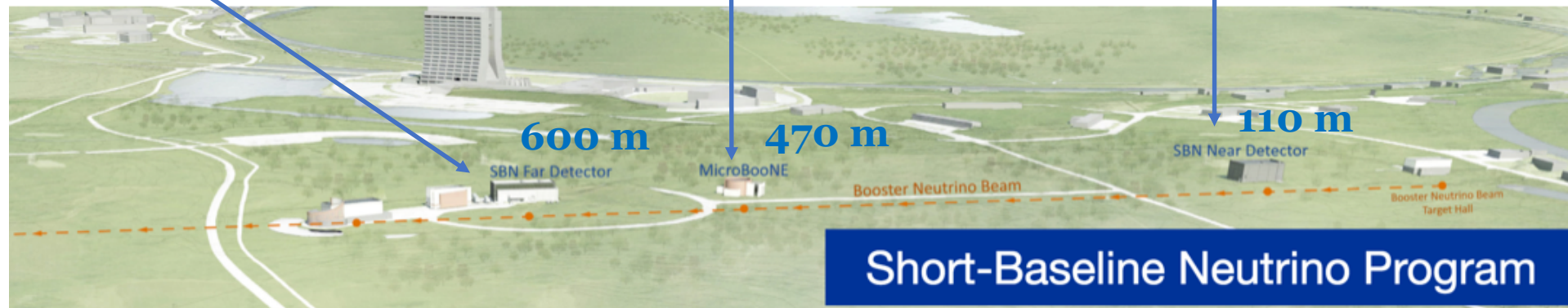
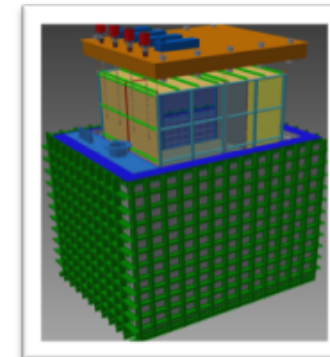
Three detectors (LArTPCs) to perform sensitive searches for  $\nu_e$  appearance and  $\nu_\mu$  disappearance in the Booster Neutrino Beam.

MicroBooNE is the first detector taking data in SBN program.

MicroBooNE 170 ton  
2015-



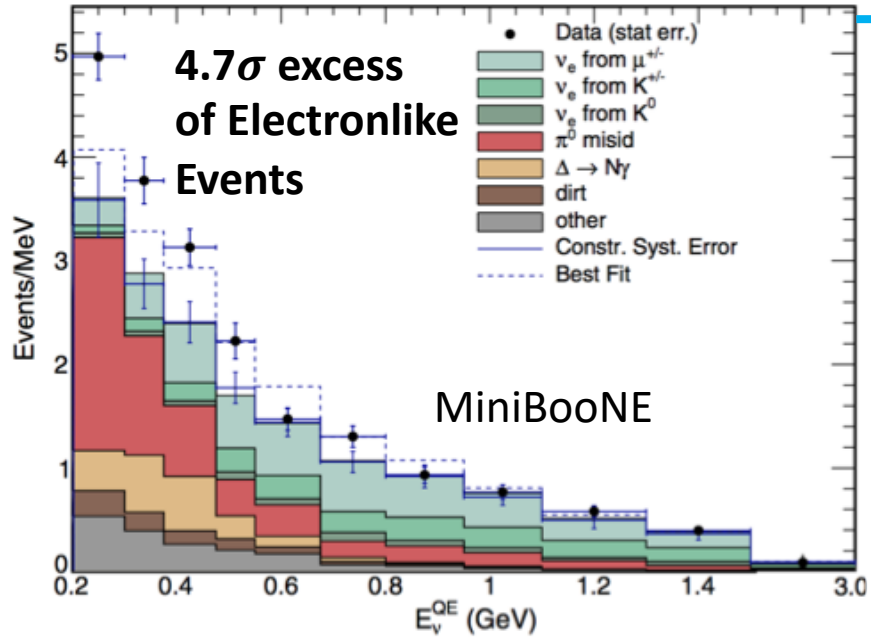
SBND 112 ton



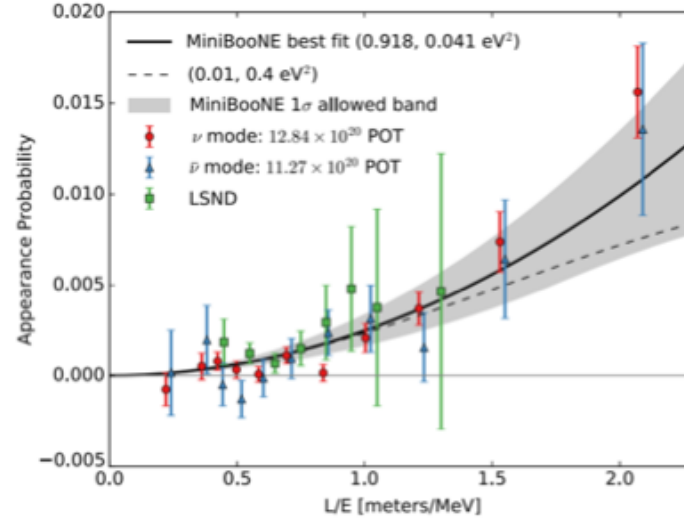
Negligible  $\nu_e$  appearance ( $\nu_\mu \rightarrow \nu_e$  oscillation) in 3 active neutrino scenario

# Physics of Short-Baseline Neutrino Program

Phys. Rev. Lett. 121, 221801 (2018)

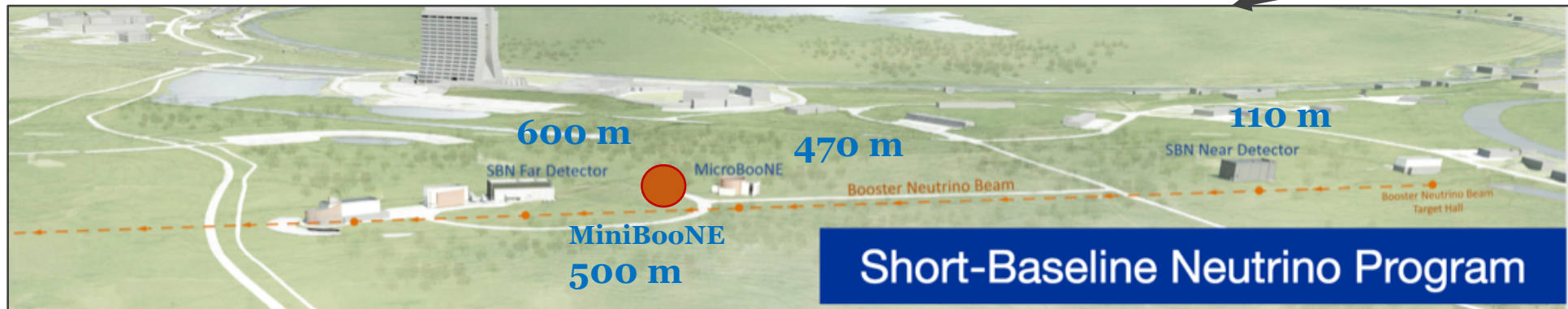
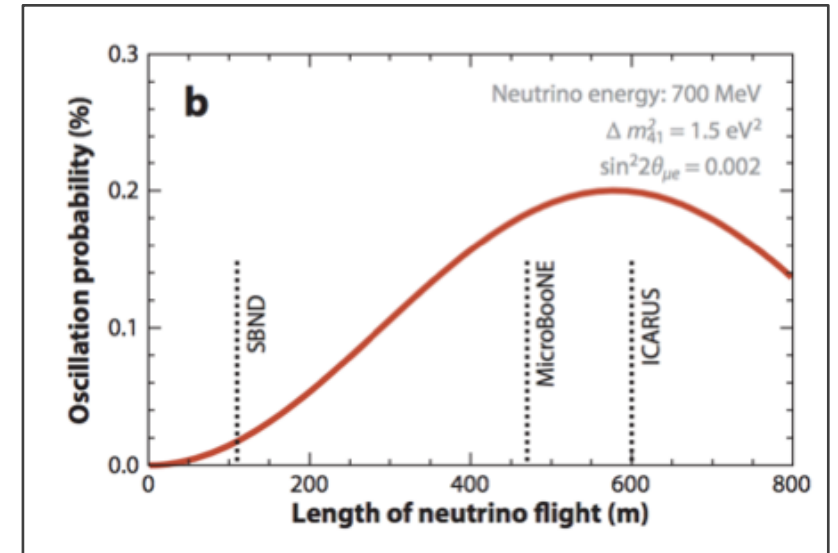


## 2+1 sterile neutrino fit



## $\nu_e$ appearance (+ sterile neutrino)

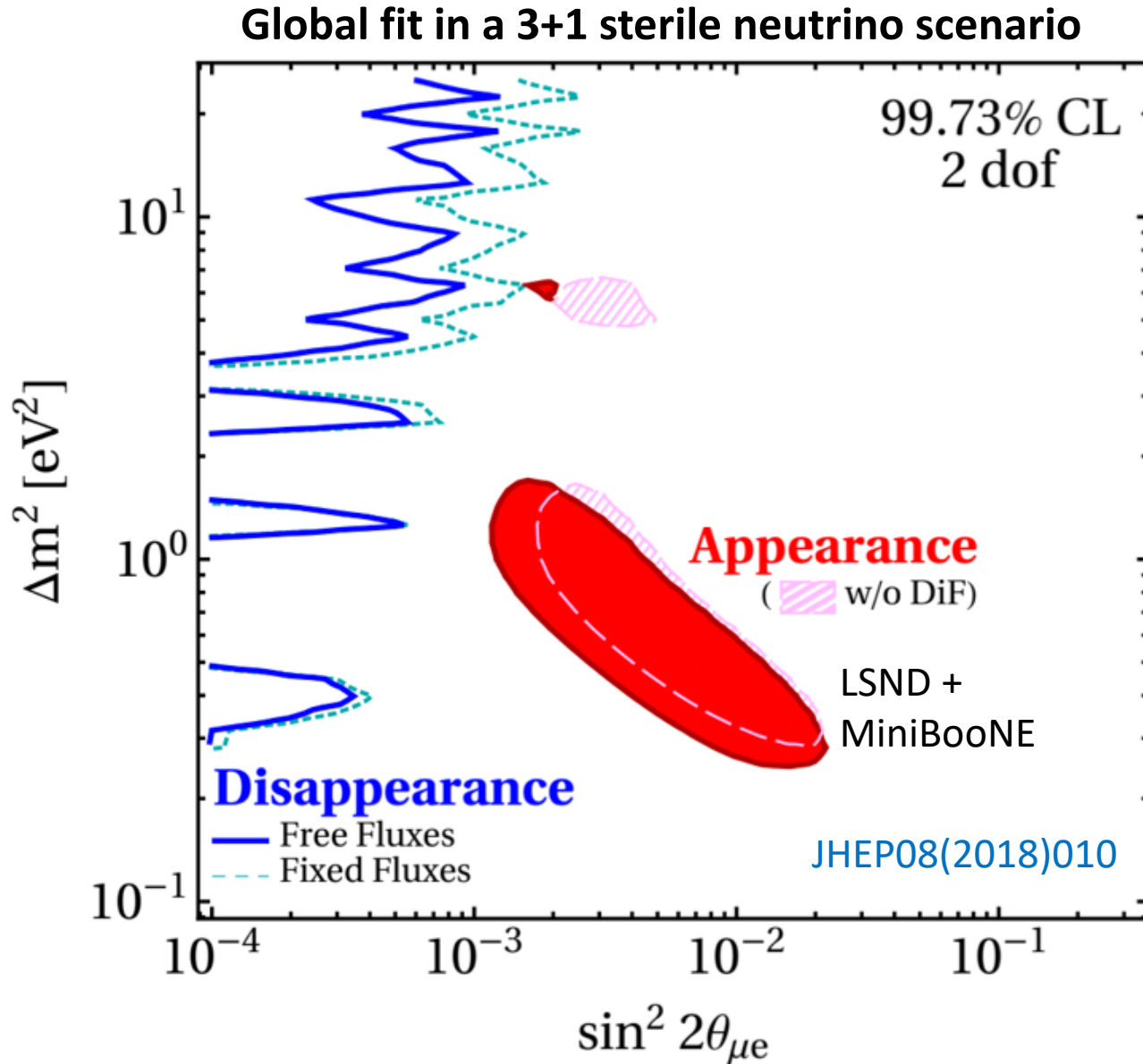
Annu. Rev. Nucl. Part. Sci. 2019. 69



Origin of the short-baseline anomalies?

Negligible  $\nu_e$  appearance ( $\nu_\mu \rightarrow \nu_e$  oscillation) in 3 active neutrino scenario

# Other explanations of Low Energy Excess



Other models except 3+1 sterile:

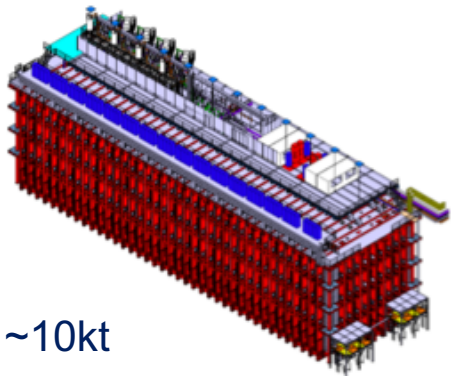
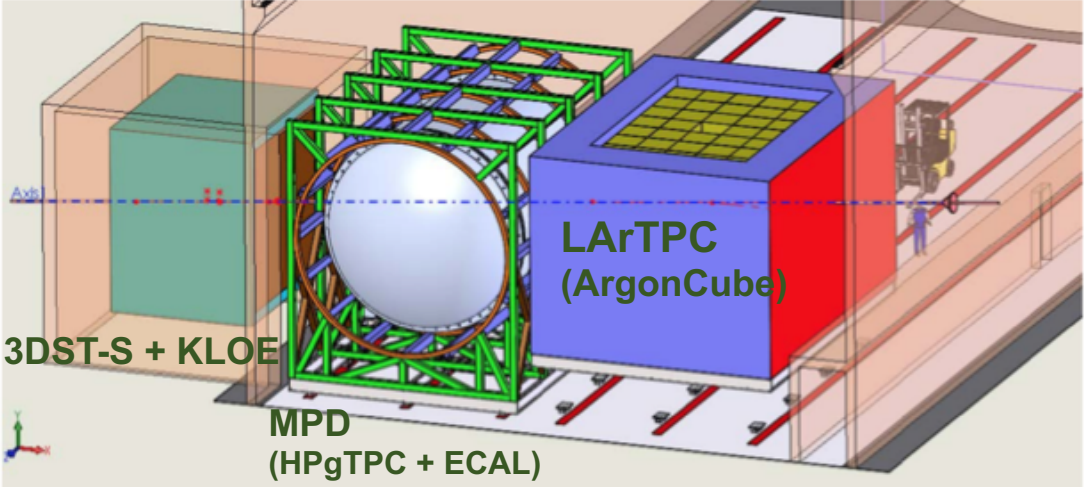
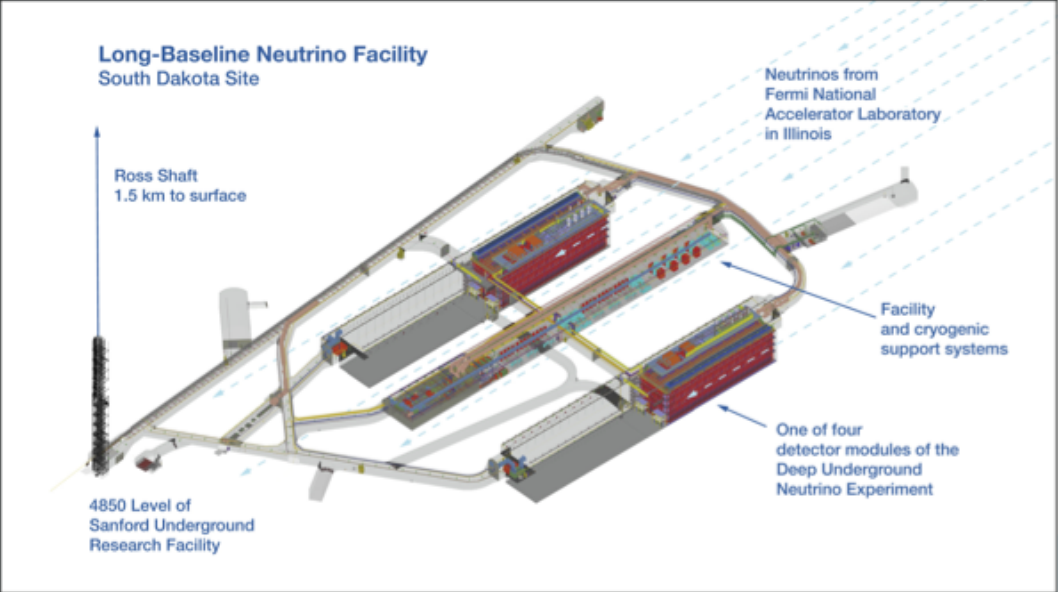
- Single-photon production via resonance  $\Delta \rightarrow N\gamma$
- Dark neutrino portal  $Z_D \rightarrow e^+e^-$
- Heavy sterile neutrino radiative decay  $\nu_h \rightarrow \nu\gamma$
- Misidentification of  $\pi^0$  decay  $\gamma$
- Single-photon ( $\gamma$ ) background in the dirt and material surrounding the detector

**$e/\gamma$  (or  $e^+e^-$ ) discrimination is the key.**

## Select References:

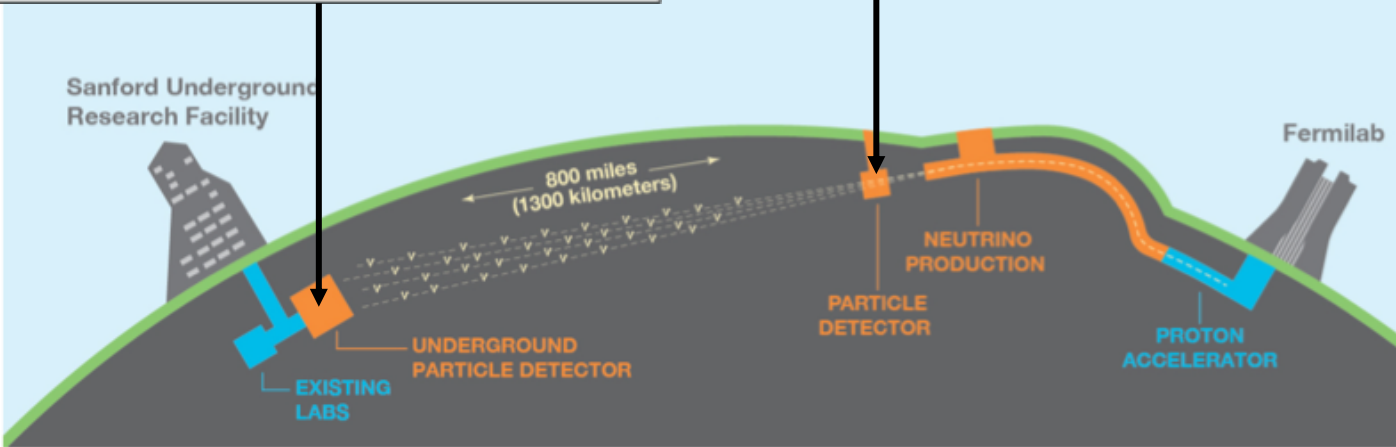
Annu. Rev. Nucl. Part. Sci. 69 (2019)  
 CERN-TH-2019-152, arXiv: 1909.08571  
 IPPP/19/19 arXiv:1903.07589  
 Phys. Rev. Lett. 121, 241801 (2018)  
 J. Phys.: Conf. Ser. 1056 012001 (2018)  
 Phys. Rev. Lett. 103, 241802 (2009)

# Deep Underground Neutrino Experiment



~10kt

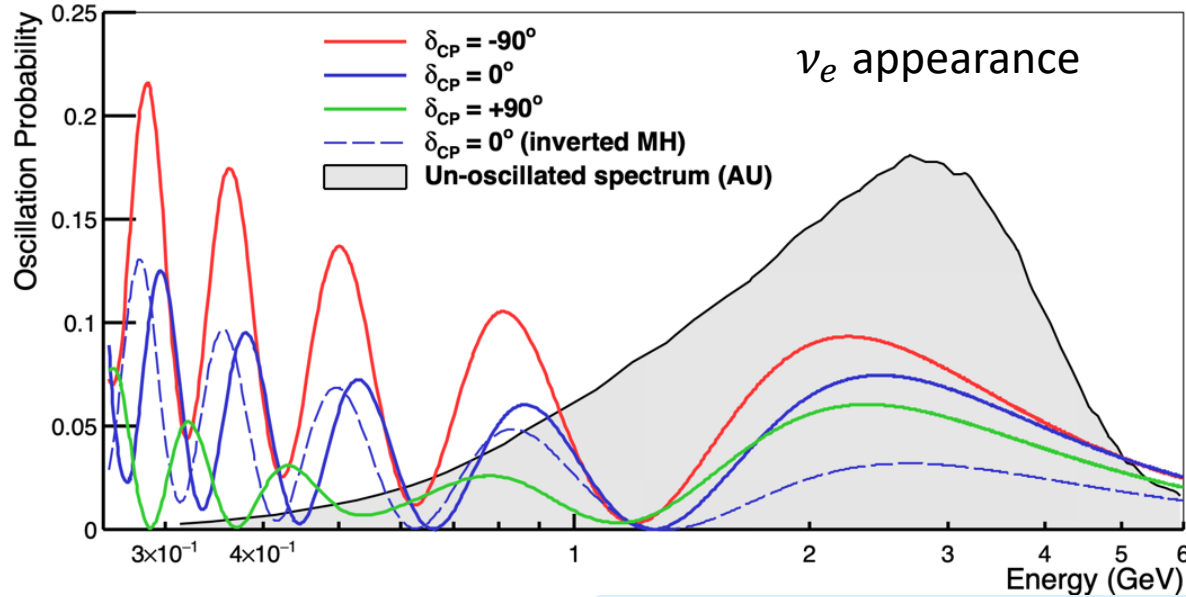
12 m (H) X 12 m (W) X 60 m (L)



The Deep Underground Neutrino Experiment (DUNE)



# Neutrino Oscillation Physics of DUNE



Phys. Rev. D64, 053003 (2001), JHEP 04, 078 (2004)

$$P_{\nu_{\mu} \rightarrow \nu_e, (\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e)} \approx 4 \sin^2 \theta_{13} \sin^2 \theta_{23} \frac{\sin^2 \Delta}{(1-A)^2} + \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2 A \Delta}{A^2} + 8 \alpha J_{CP}^{\max} \cos(\Delta \pm \delta_{CP}) \frac{\sin \Delta A}{A} \frac{\sin \Delta(1-A)}{1-A},$$

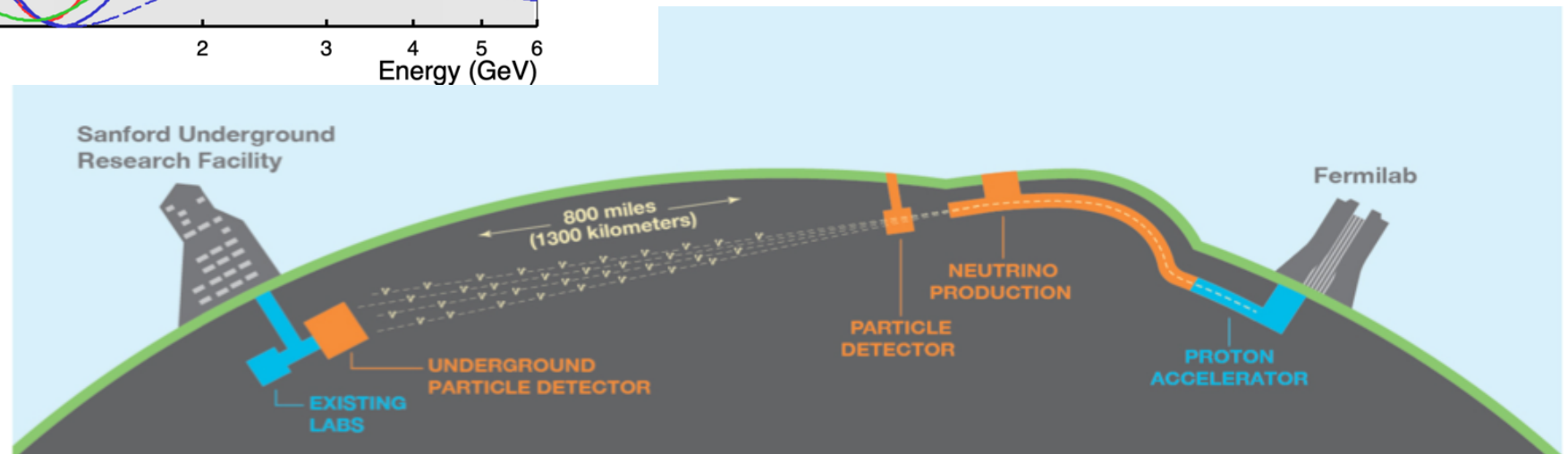
“A” → matter effect

CP violation ( $\delta_{CP} \neq 0$  or  $\pi$ )?

Mass ordering?

$\theta_{23}$  octant?

- Long baseline (MSW, decouple mass ordering and CP violation)
- Optimized L/E
- Near-far detector to constrain systematics



More discussions later about DUNE physics!

Detector + ? = Physics  
(Hardware + ? = Miracle)

# Software (Event Reconstruction)

-- game changer!



**SJ:** "Apple is fundamentally a software company."

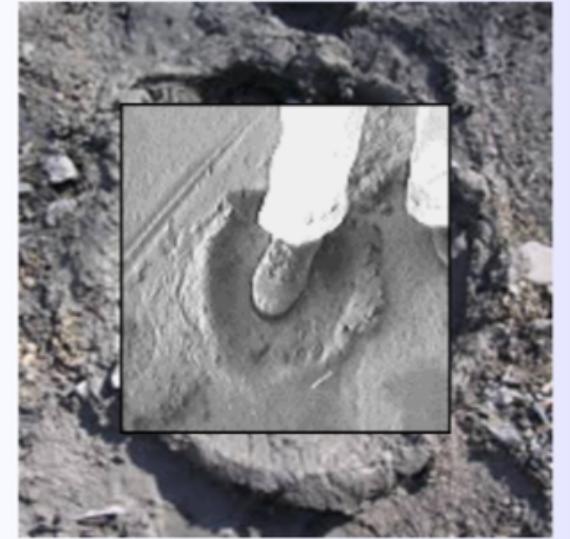
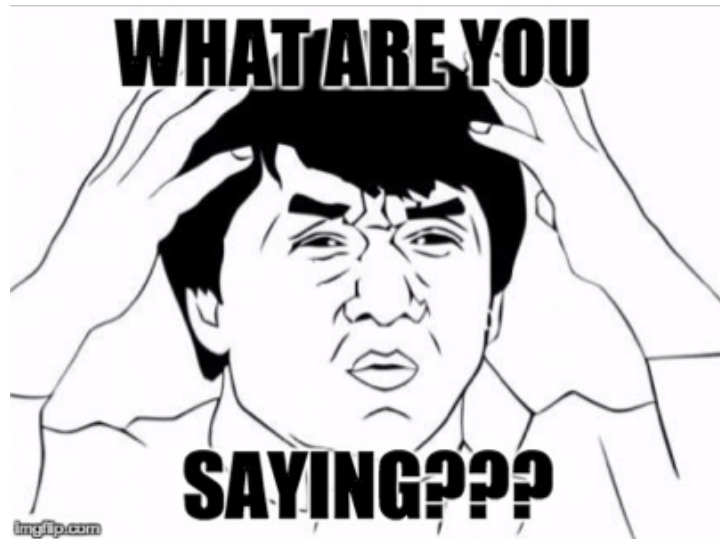
**BG:** "Well, Steve and I worked together, creating the Mac. We [Microsoft] had more people on it, did the key software for it."

**SJ:** "..."

*True words but fictional dialogue*

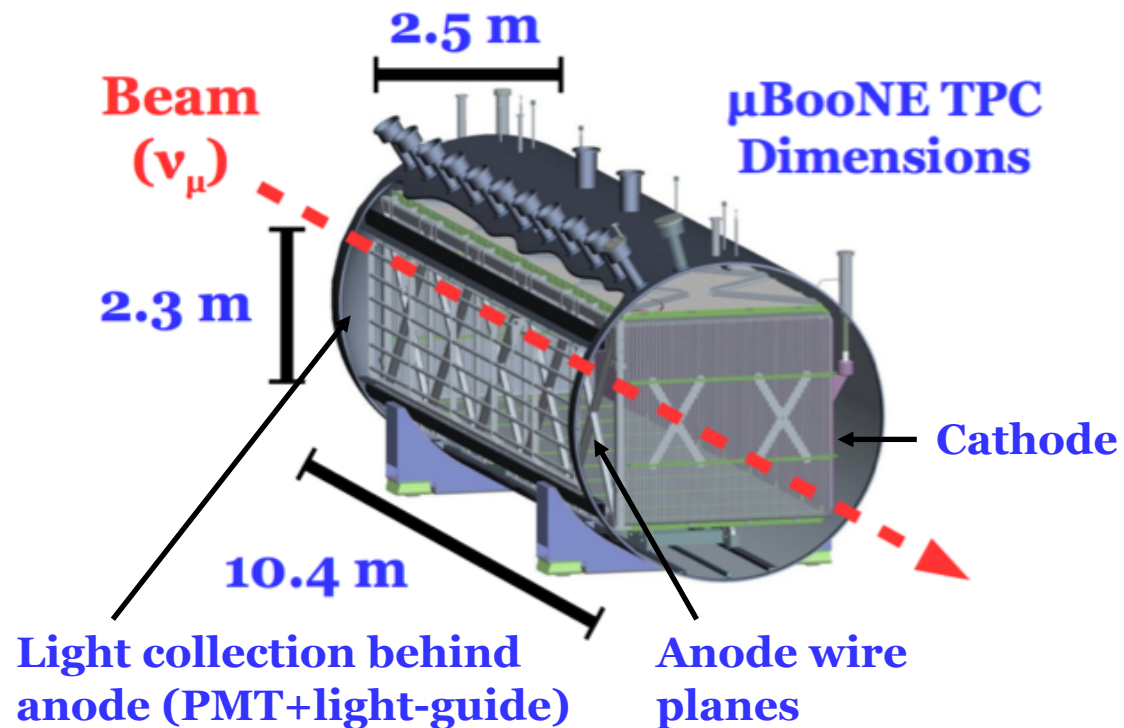
# LArTPC Event Reconstruction

- *Inverse problem: deconvolution of detector response*
- Event reconstruction is critical in realizing the full scientific capability of LArTPCs
- Full of challenges and an open problem at many fundamental aspects
- An accurate and efficient reconstruction chain has yet to be demonstrated in real data analyses of LArTPC experiments





Use MicroBooNE as an example  
Focus on *Wire-Cell* reconstruction chain

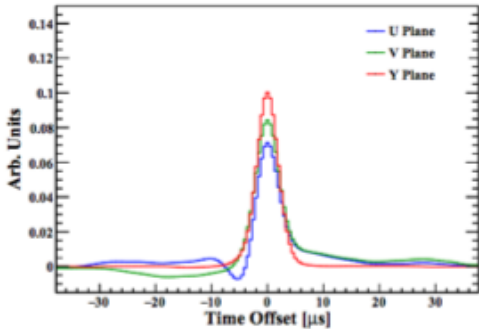


# Physics analysis challenges

With great power comes ~~great responsibility~~ complex response.

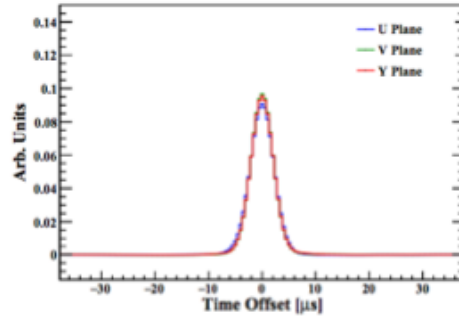
Lack of understanding of real detector (data)

What we observed

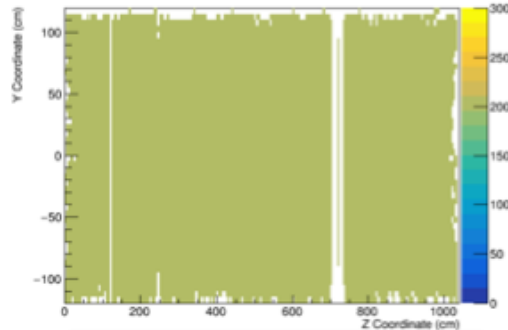
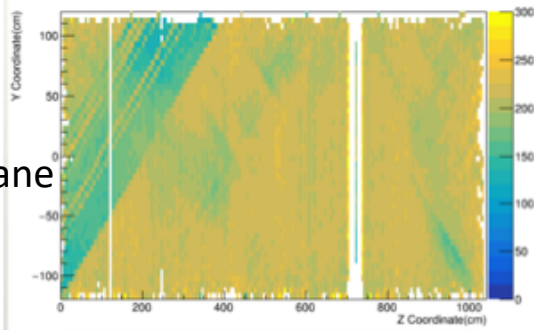


Ionization  
electron  
(charge)

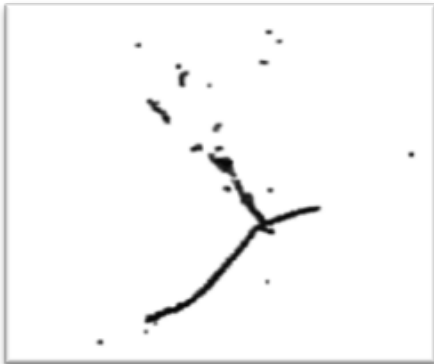
What we want



dE/dx  
anode plane  
view



1e1p event  
candidate



Calibration

General strategy: correction to adjust MC to DATA!  
Always introduce unclear & incoherent impacts on  
different analyses.

MC  $\neq$  DATA

Low efficiency & complicated systematics.

**Need:**

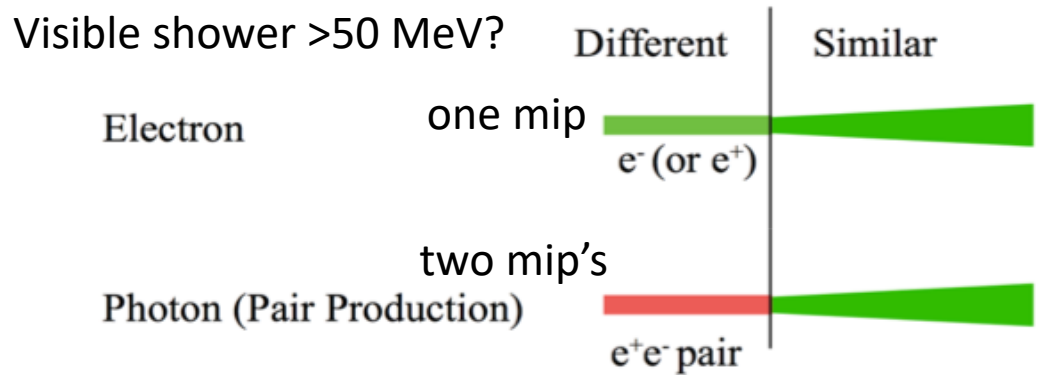
**Robust Event Reconstruction (deconvolve  
detector response)**

→ Highly rely on data

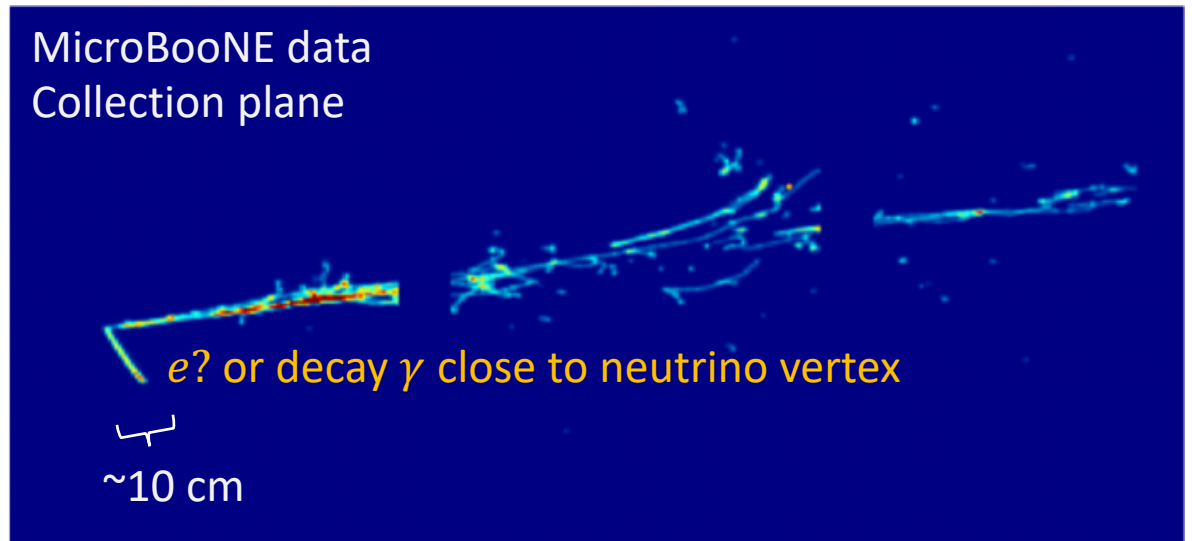
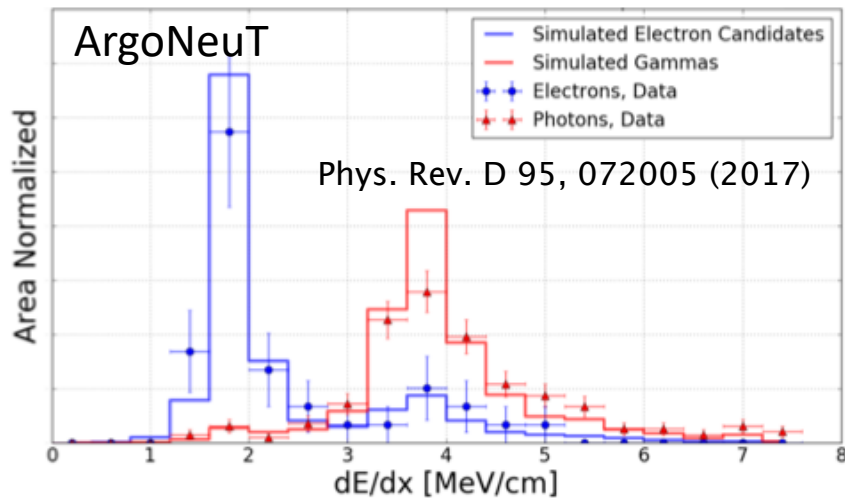
→ Benefit both Monte-Carlo and calibration

# $e/\gamma$ (or $e^+e^-$ ) discrimination

An  $e$  bremsstrahlung every few cm in LAr --> electromagnetic shower

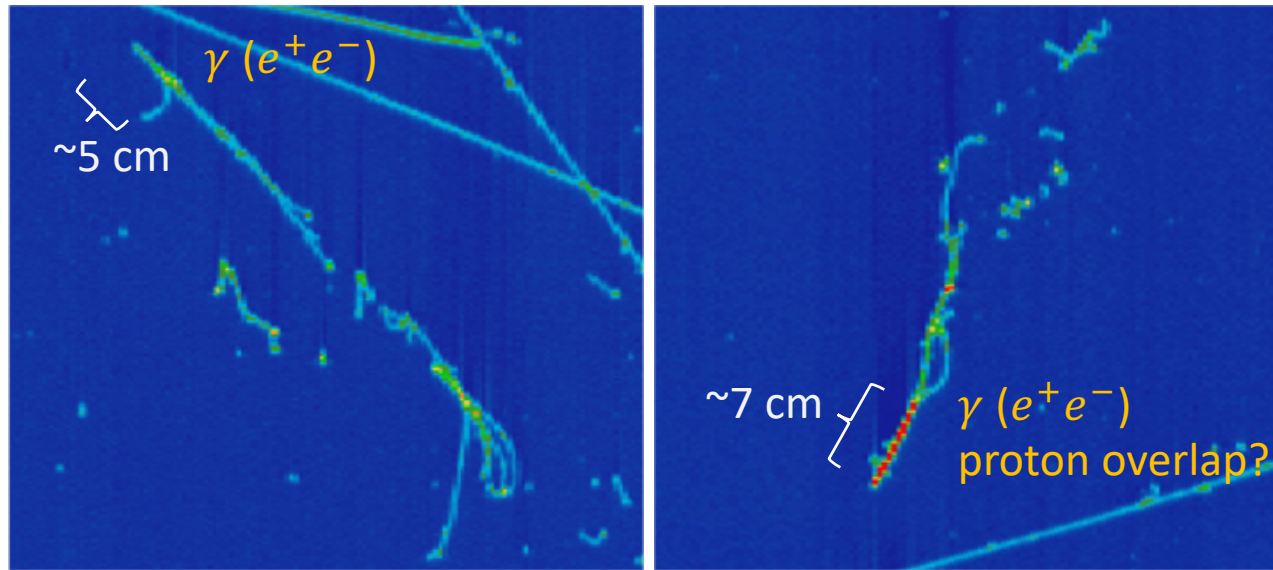


**dE/dx in the first few centimeters**



$\nu_\mu$  CC : NC :  $\nu_e$  CC interactions = 3 : 1 : 0.6%  
 CC/NC  $\pi^0$  decay  $\gamma$ 's ( $\pi^0 \rightarrow \gamma\gamma$ ) is the predominant known background  $\rightarrow$   $\gamma$ 's close (<1 cm) to neutrino vertex is  $>5 \times \nu_e$  CC

# LArTPC 3D reconstruction



Color scale: charge (ionization electrons)

2D projection has very limited capability to do physics.  
The real physics is “visible” in a reliable 3D reconstruction.

- 3D reconstruction is the key to maximize the potential of LArTPC.

**Isn't this straightforward since LArTPC is a 3D imaging detector?**

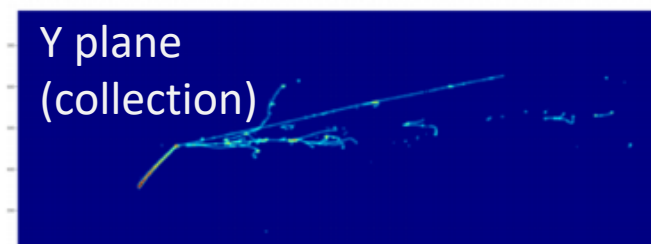
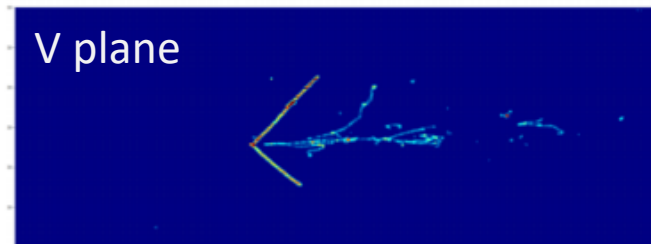
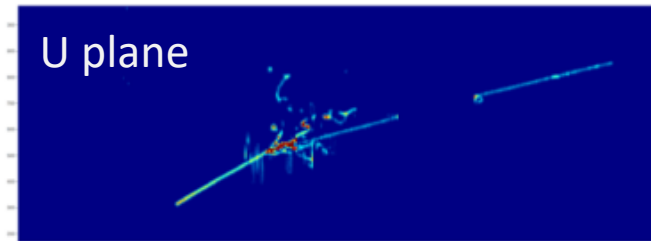
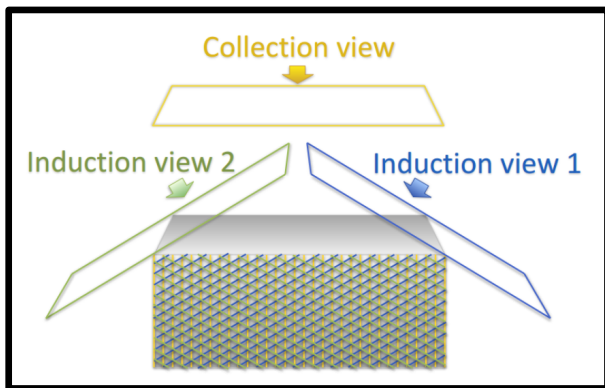


# Challenges of LArTPC 3D Reconstruction

TPC: 2D image + 1D drift

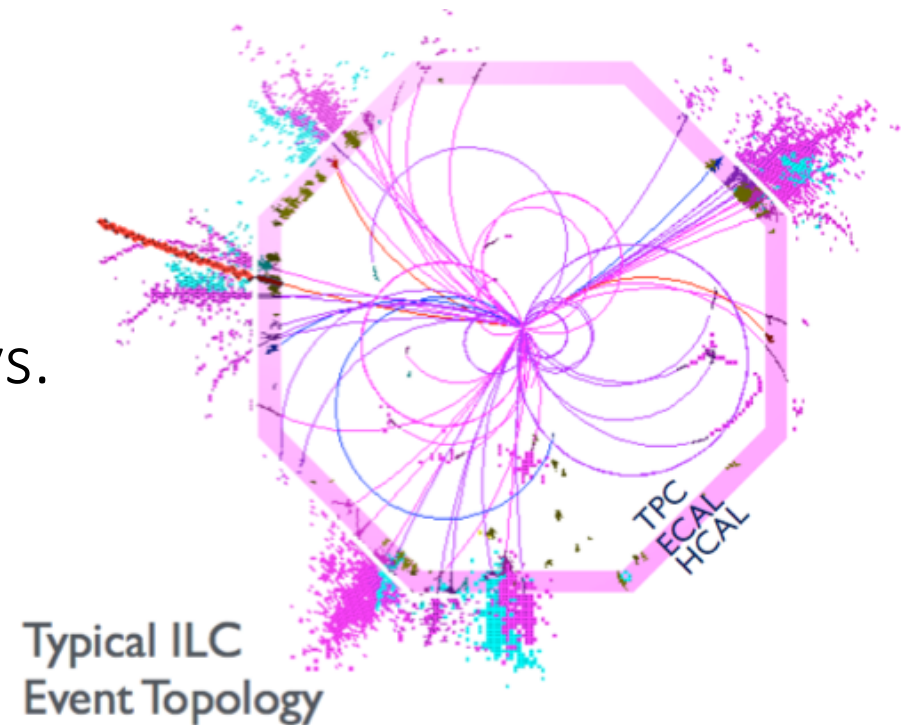
**LArTPC (typical single-phase):**

- Integrated charge along the wire  $\rightarrow$  THREE wire planes ( $3 \times n$ )  $\neq$  2D pixel readout ( $n^2$ )
- Unknown vertex, EM showers, etc. in LAr



Wire no. (1D projection position)

VS.



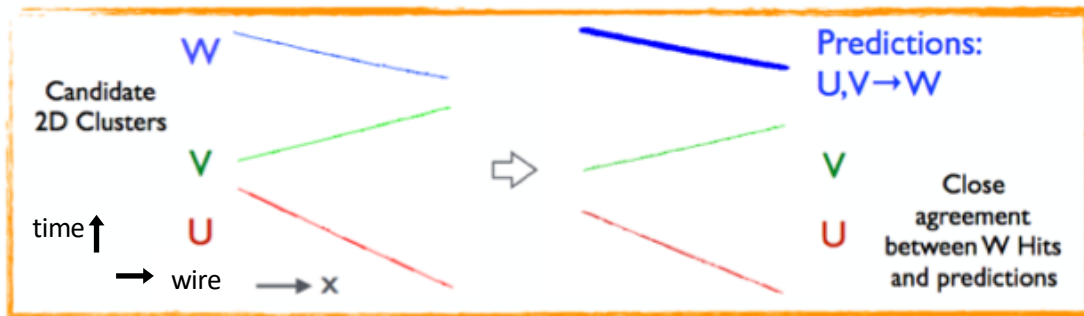
Typical ILC  
Event Topology

# Philosophy of LArTPC 3D Reconstruction

Charge extraction (raw waveform to number of ionization electrons)

**2D pattern recognition** on each time vs. wire measurement

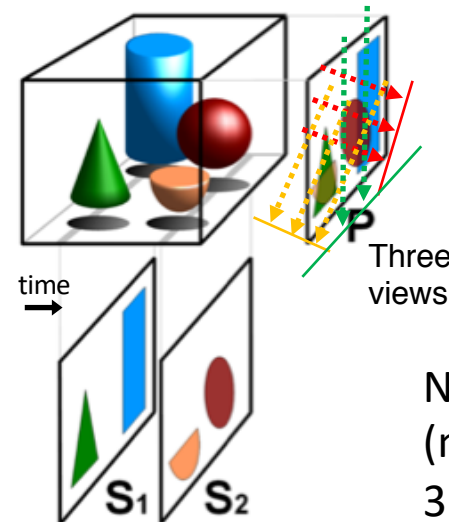
Matching 2D patterns into a 3D object



## Tomography

2D image on each time slice, topology-agnostic  
(only charge, geometry information)

3D image (time)



3D pattern recognition on 3D images

No heuristic assumptions in 3D imaging  
(no information condense/loss) prior to 3D pattern recognition.

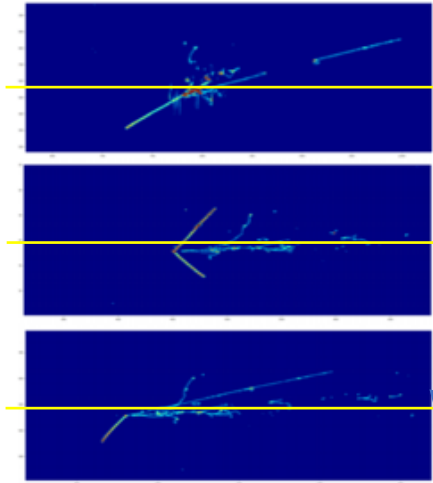
**Pattern recognition:** vertex finding, particle-level clustering, track/shower identification, trajectory fitting,  $dQ/dx$  fitting, etc.



# Wire-Cell 3D imaging

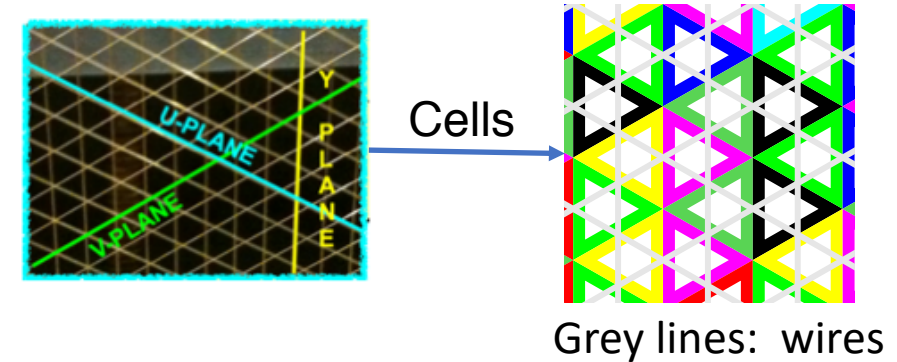
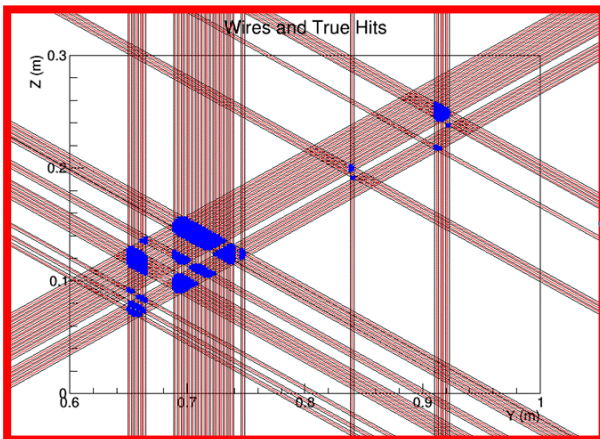
JINST 13 P05032, C. Zhang, X. Qian, B. Viren, and M. Diwan

- Strictly follows the tomography philosophy

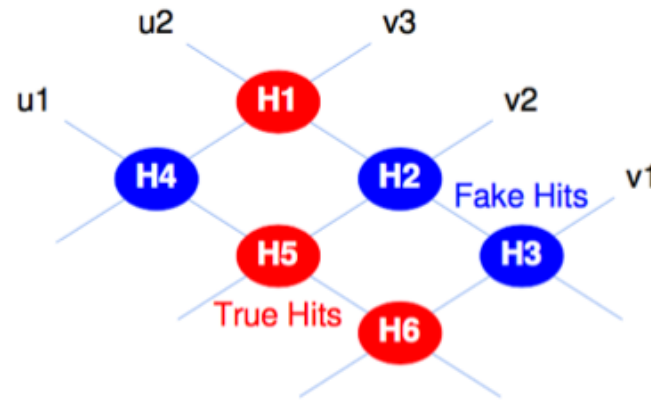


A **time** slice on each wire plane measurement

Hit cells (merged if connected) from fired wires



Use two planes for illustration



Relate charge along wires to the charge (to be solved) on possible hits

**(charge, geometry)**

$$\begin{pmatrix} u1 \\ u2 \\ v1 \\ v2 \\ v3 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} H1 \\ H2 \\ H3 \\ H4 \\ H5 \\ H6 \end{pmatrix}$$

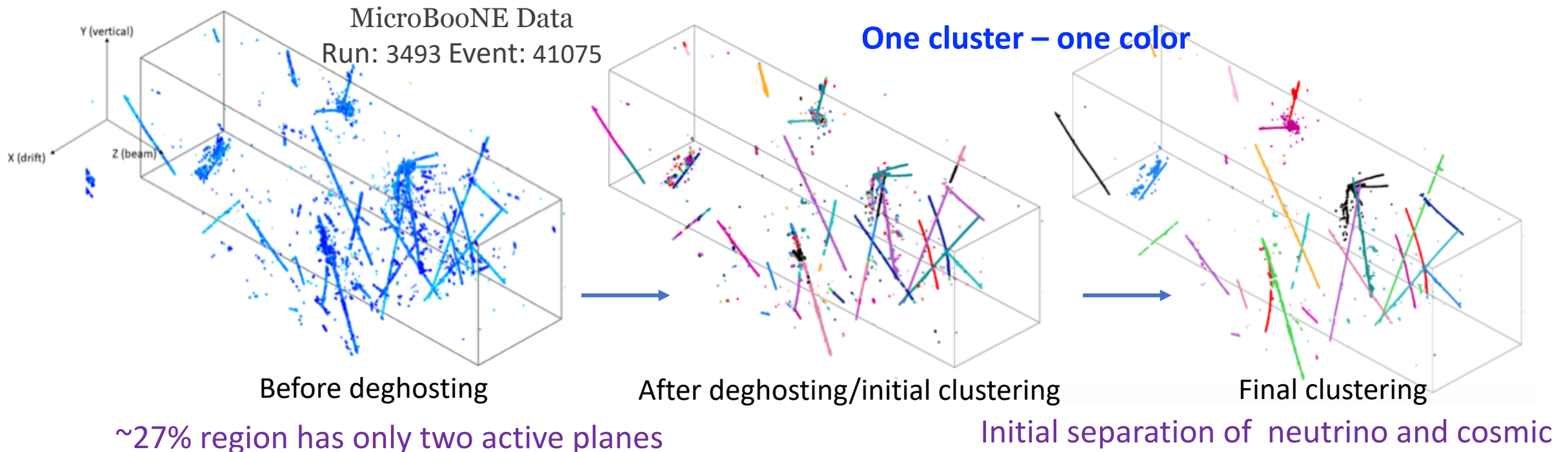
Under-determined linear system (equations)

- more unknowns than knowns
- Incomplete or inaccurate measurement

Method of exhaustion? NP-hard ...

# Wire-Cell 3D Imaging

- Compresses sensing technique (L1-regularization) is used to **rapidly & reliably** remove the fake hits, but it is not magic and just to approximate the “best” solutions considering the fundamental equation, charge uncertainty, **sparsity**, etc.
- Other realistic issues have to be addressed: nonfunctional wires/channels, gaps in charge measurement, clustering of space points for each individual TPC activity



# TPC/charge-PMT/light Matching

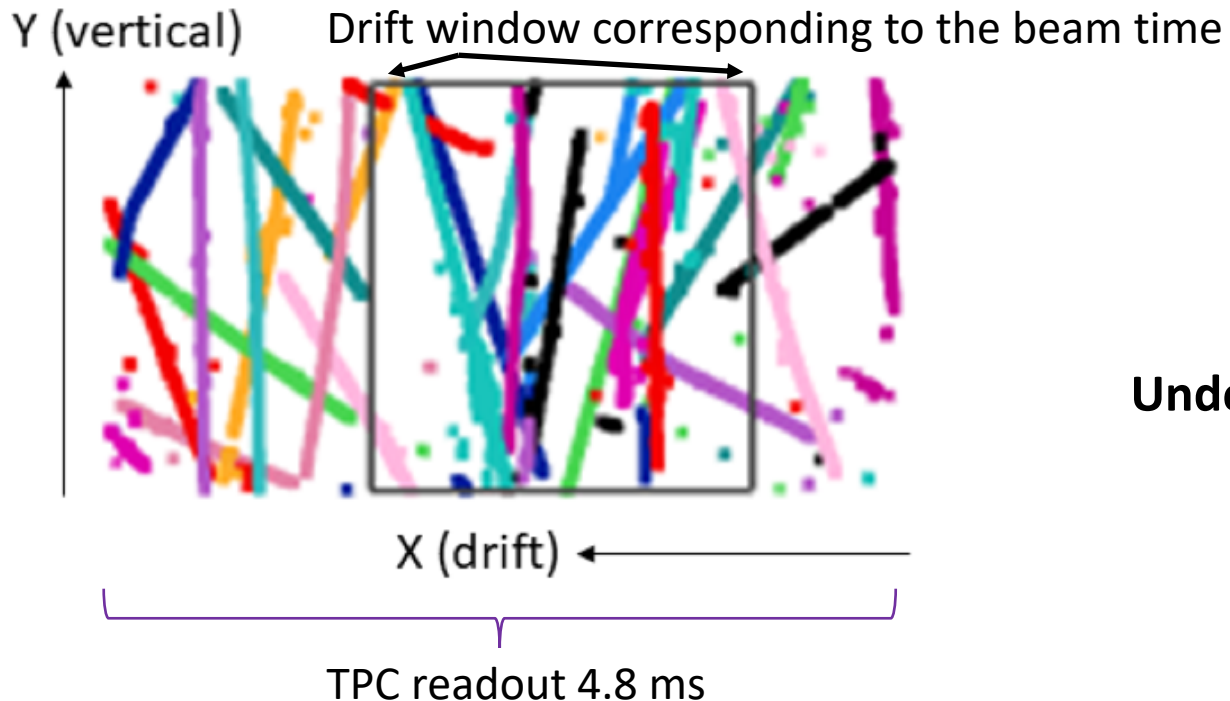
MicroBooNE is operating near the surface (5.5 kHz cosmic-ray muons)

TPC readout 4.8 ms; beam spill within 1.6  $\mu$ s

1 neutrino interaction in TPC active volume per  $\sim 700$  beam spills

Neutrino : Cosmic = 1 : 20k (object level)

= 1 : 200 (in-beam PMT flash found)



PMT flash (within 100 ns) for a TPC activity

Circle size = PE

32 PMTs in back of anode plane

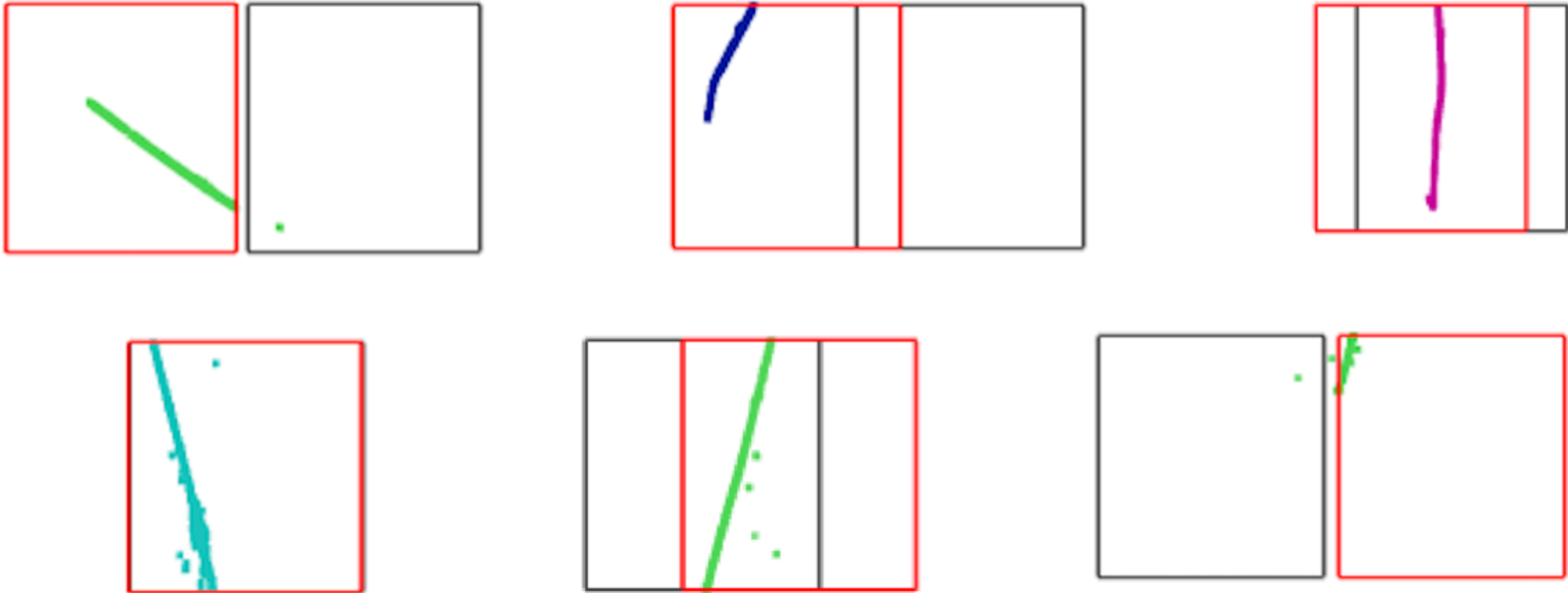


All possible (PMT, TPC) pairs go through this procedure to find the most compatible ones

- ONE cluster to at most ONE flash
- ONE flash to  $\geq 0$  clusters (to solve under-clustering issues)

**Under-determined system: compressed sensing technique**

Without 3D image and proper clustering, such many-to-many matching cannot be done!

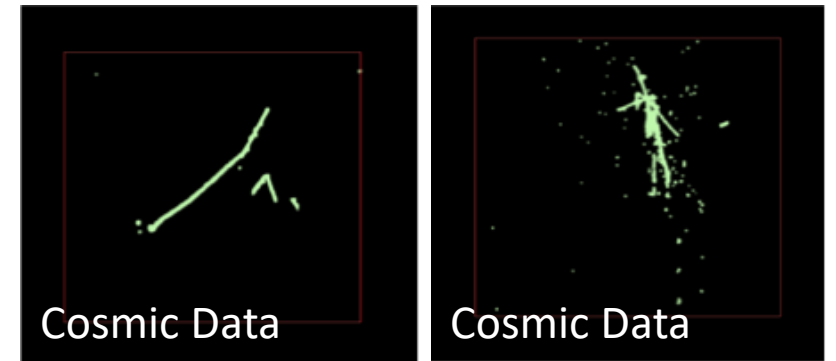


Examples of matching results

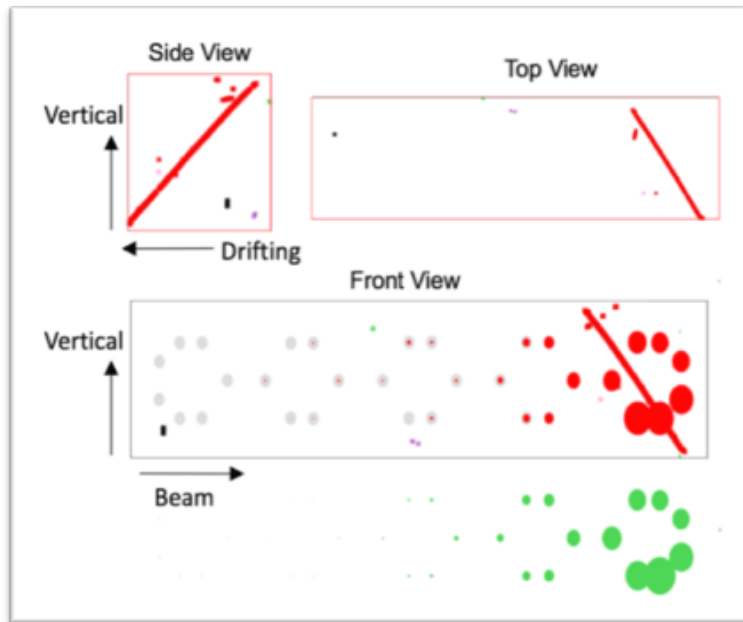
Red box: TPC drift window with  $t_0$  (provided by PMT flash) correction

# Selected in-beam neutrino candidate

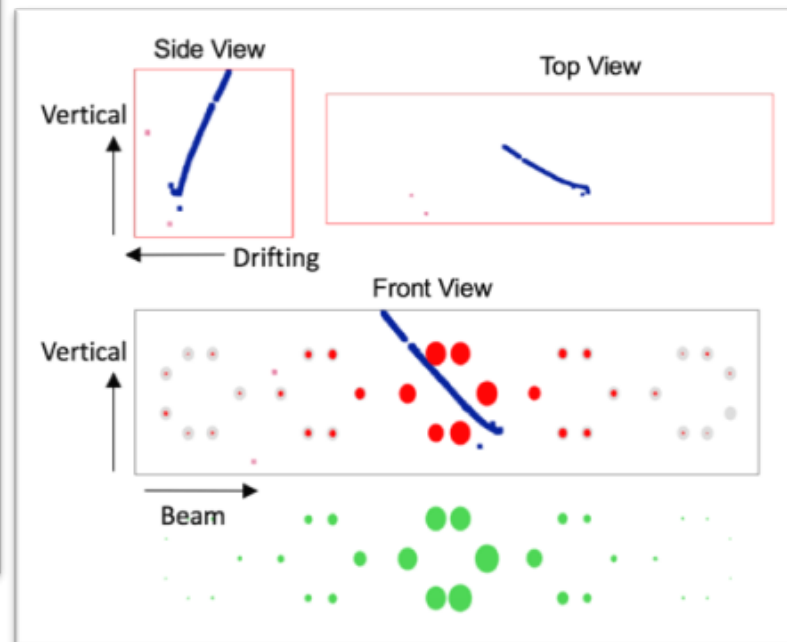
Neutrino : Cosmic = **1 : 20k** (object level)  
= **1 : 200** (in-beam PMT flash found)  
= **1 : 5** (matched to in-beam PMT flash)



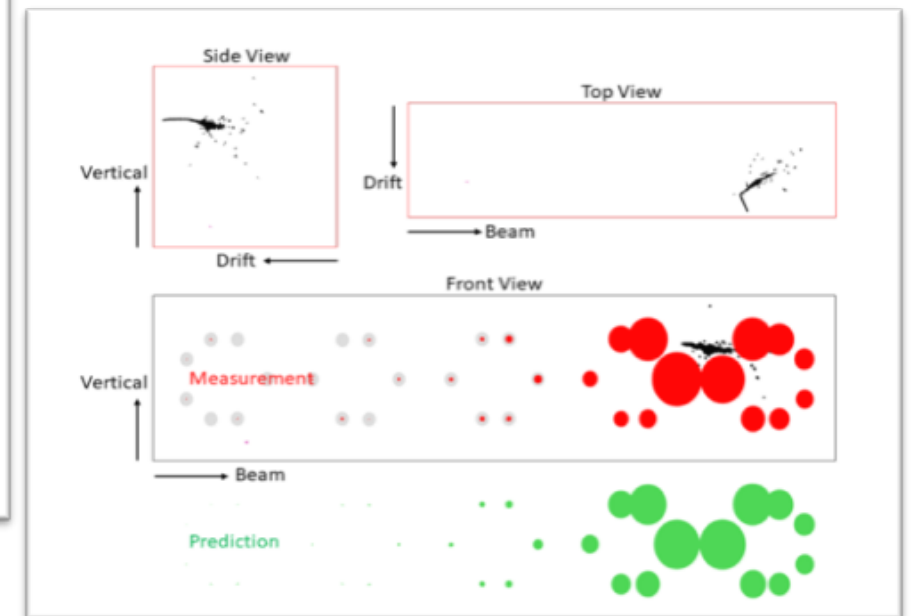
~3% neutrino-like cosmic-induced



~70% through-going cosmic muon



~10% stopped cosmic muon



~17% neutrino

# In-beam coincidence cosmic rejection

**Through-going cosmic muon** -- relatively straightforward, but requires knowledge of distorted TPC boundary [space charge effect]

**Stopped cosmic muon (incoming particle)** – directionality  $\leftarrow$   $dQ/dx$  Bragg peak

$dQ/dx =$

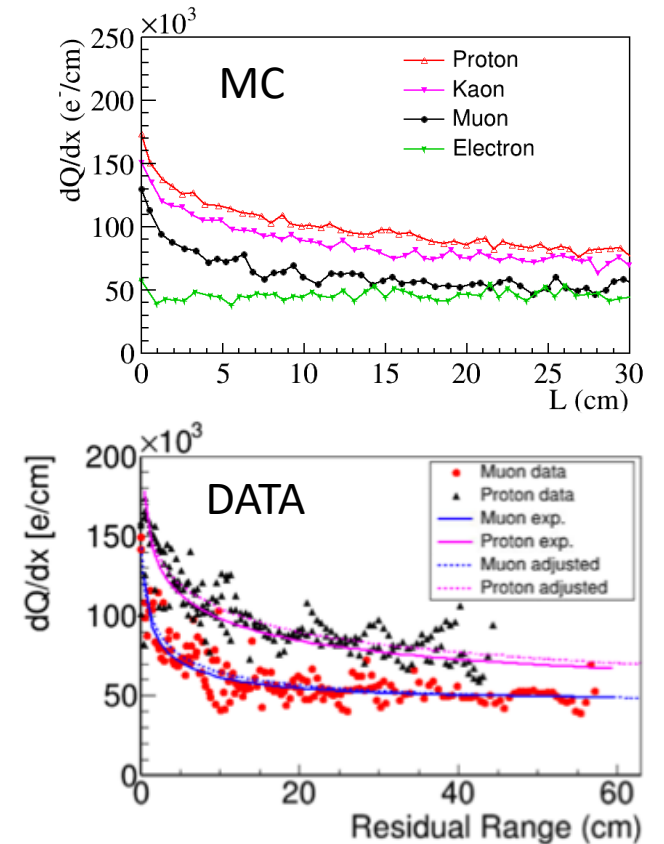
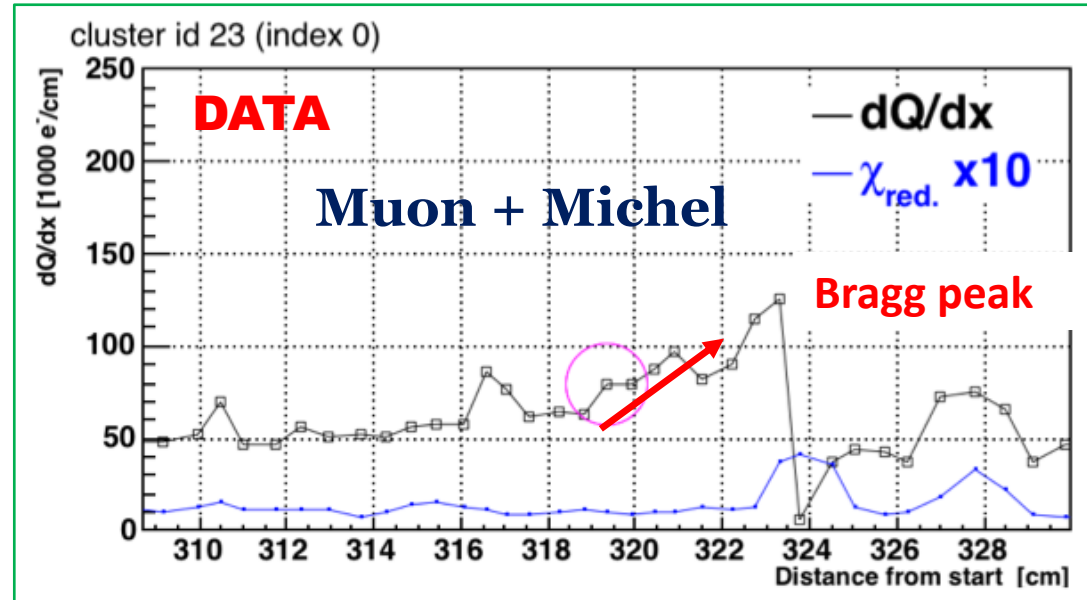
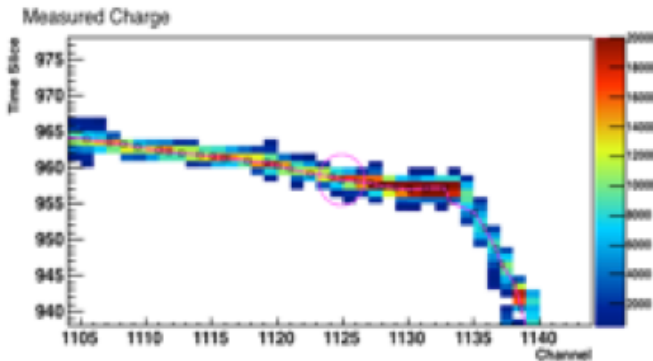
(1) 3D trajectory fitting [charge-weighted center]

Advanced 3D operations and graph theory algorithms on 3D

cluster (point cloud)

(2) associate  $\Delta Q$  to  $\Delta \vec{x}$

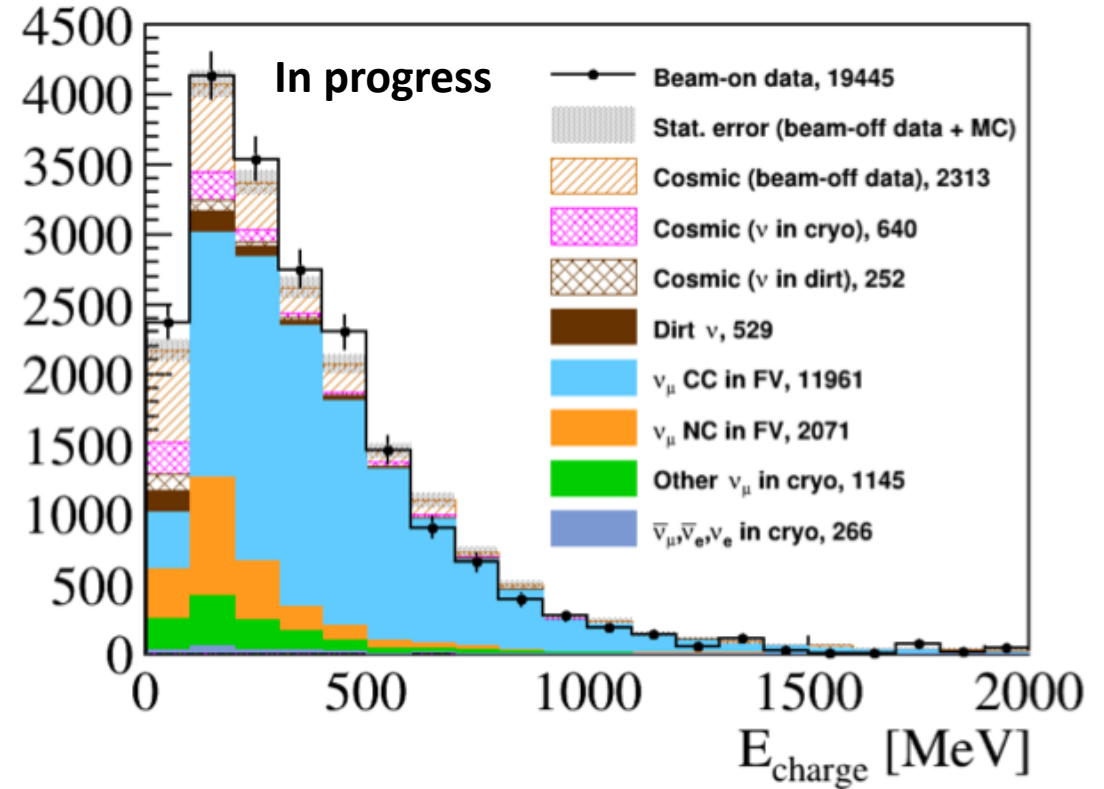
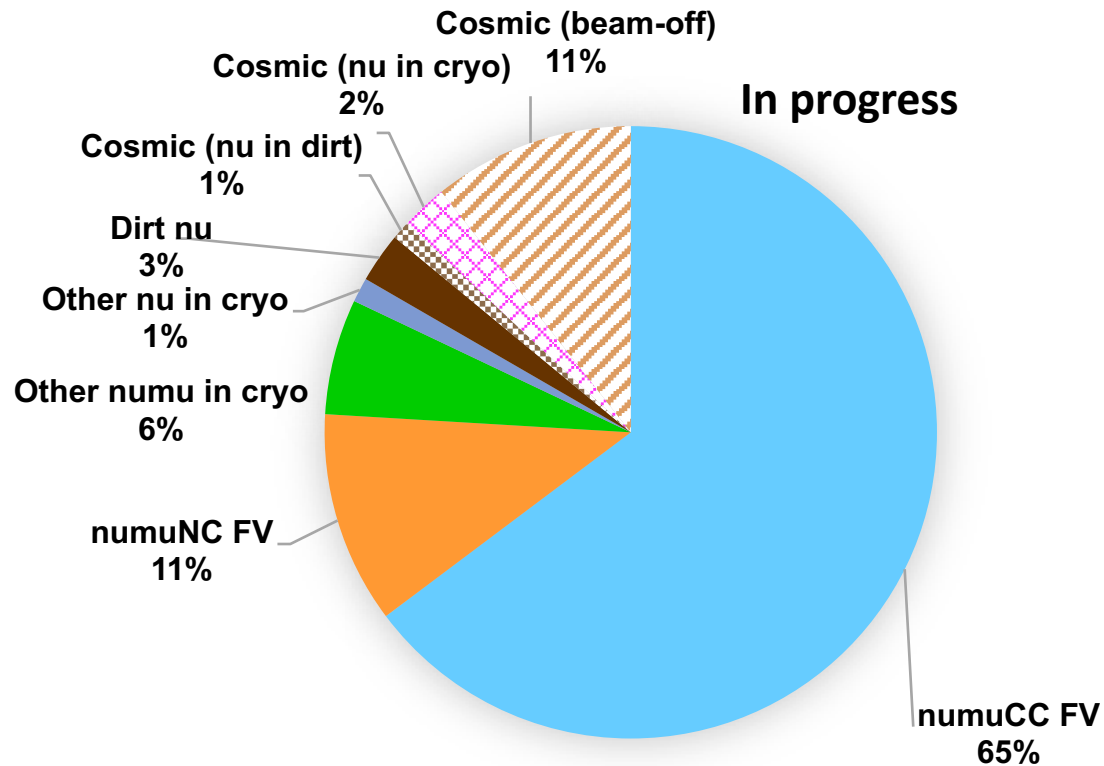
Good knowledge of charge smearing in drift & offline processing





# Neutrino candidates after cosmic rejection

Scaled to 5E19 POT



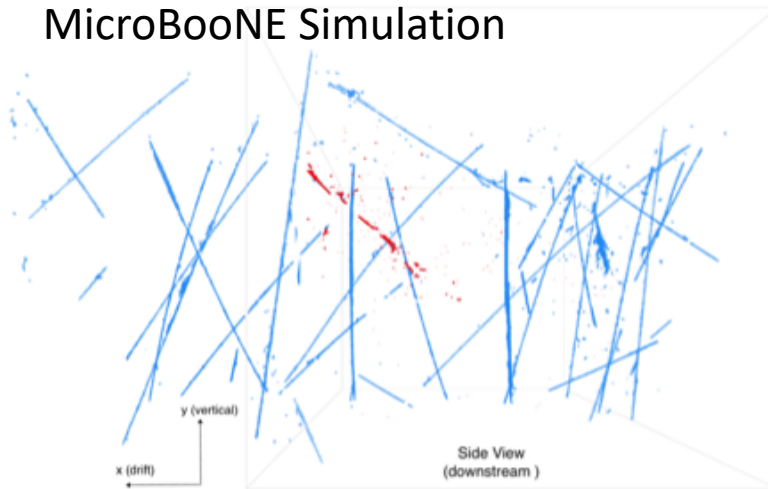
**Neutrino : Cosmic = 1 : 0.16**  
( 1 : 5 before in-beam cosmic rejection )

$\nu_\mu$  **CC efficiency: 83%**  
 $\nu_\mu$  **NC efficiency: 36%**  
 $\nu_e$  **CC efficiency: 86%**

MicroBooNE 2019 PRL numuCC inclusive  
 Neutrino : Cosmic = 1 : 1 and efficiency  $\sim 60\%$

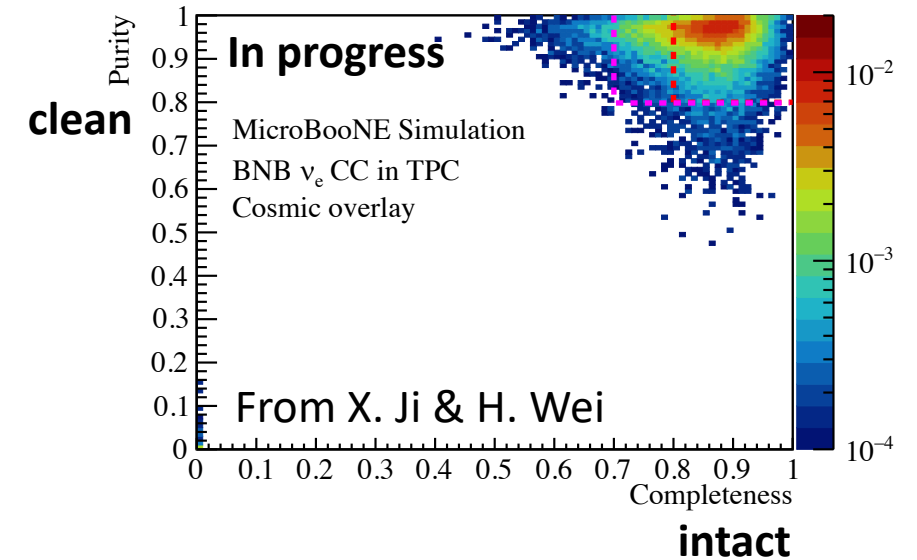
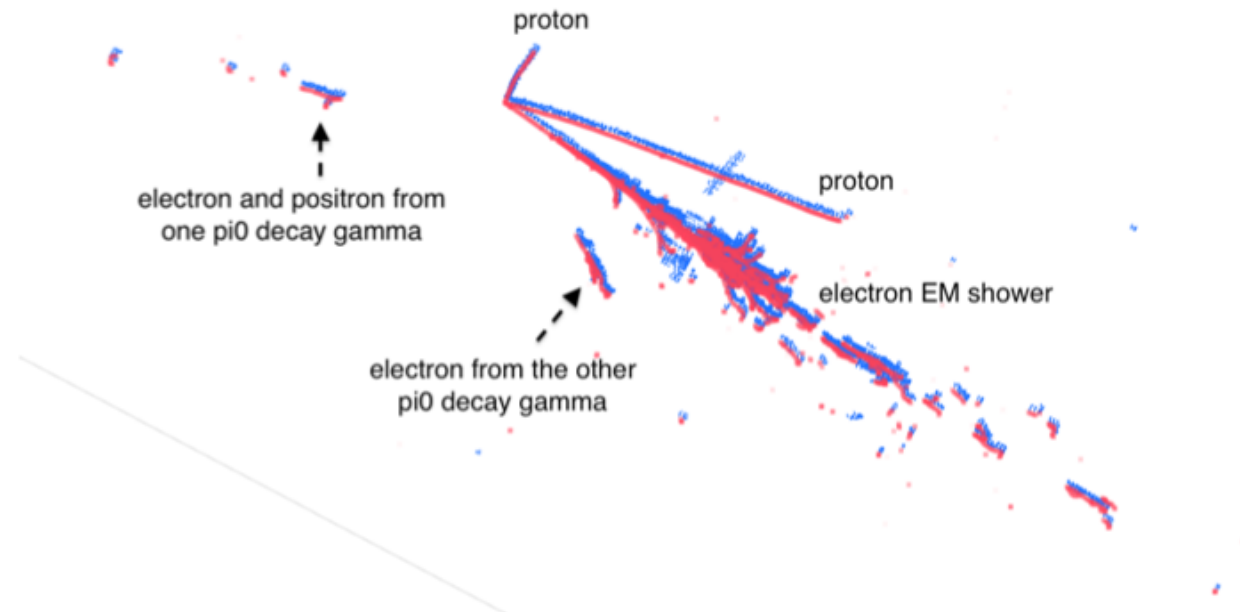
# 3D image of selected neutrino activity

MicroBooNE Simulation



Blue: reconstructed space points  
Red: true space points

MicroBooNE Simulation

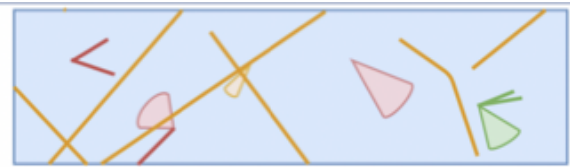


**Such an efficient method to reconstruct clean & intact 3D images of neutrino activities has never been demonstrated before! (at least in MicroBooNE)**

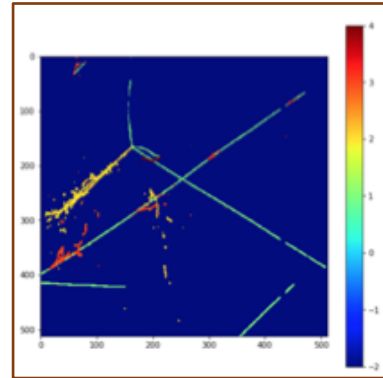
# Pattern Recognition Strategy

## PATH A

3 × 2D time-versus-wire views



Neutrino slicing  
Cosmic rejection?



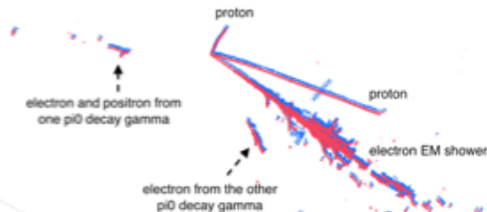
Cosmic rejection?

Pandora, DL, etc.

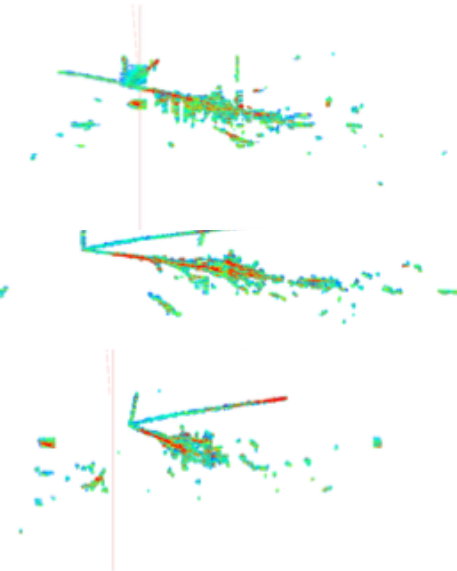
2D pattern recognition

## PATH B

Clean & intact 3D image



→



3 × 2D time-versus-wire views

People are exploring this path and have seen big improvements

## PATH C



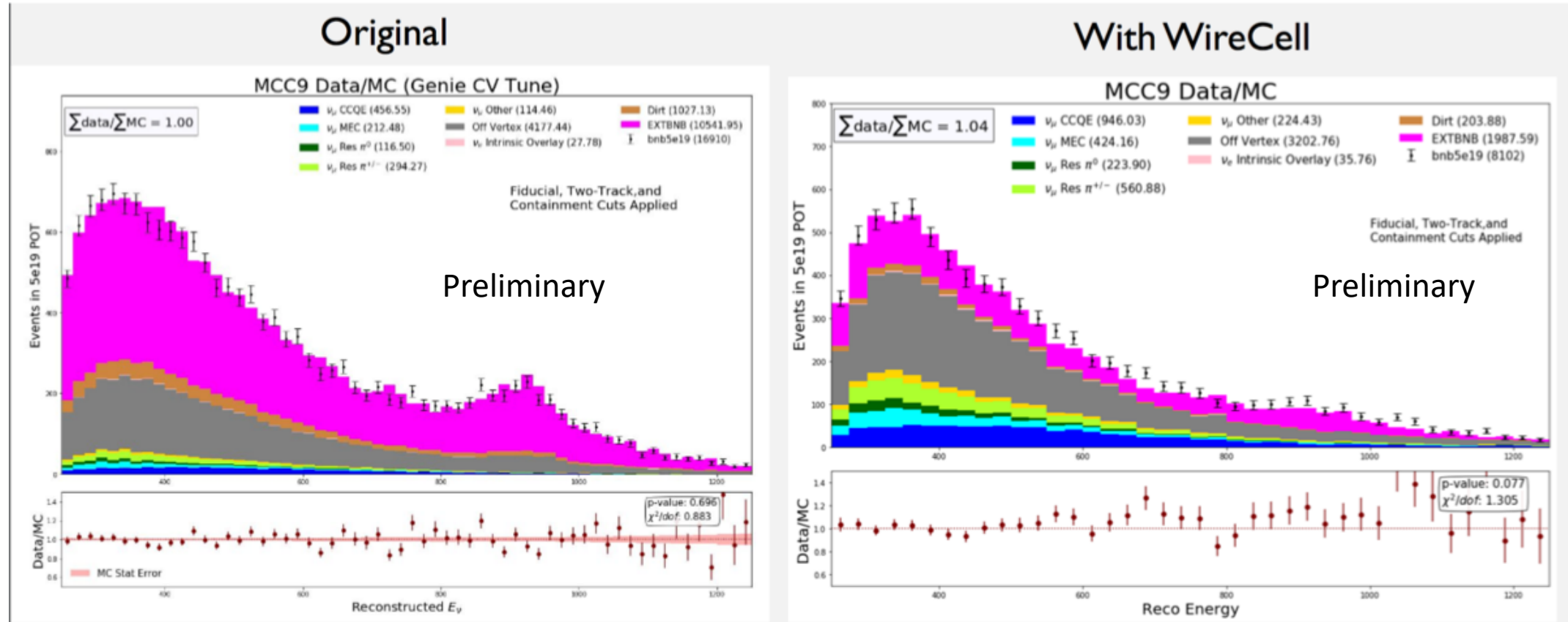
3D pattern recognition

- Traditional ?
- Deep learning ?
- Traditional + Deep learning ?

# MicroBooNE Deep Learning $\nu_\mu$ CC selection

**PATH A**

**PATH B**

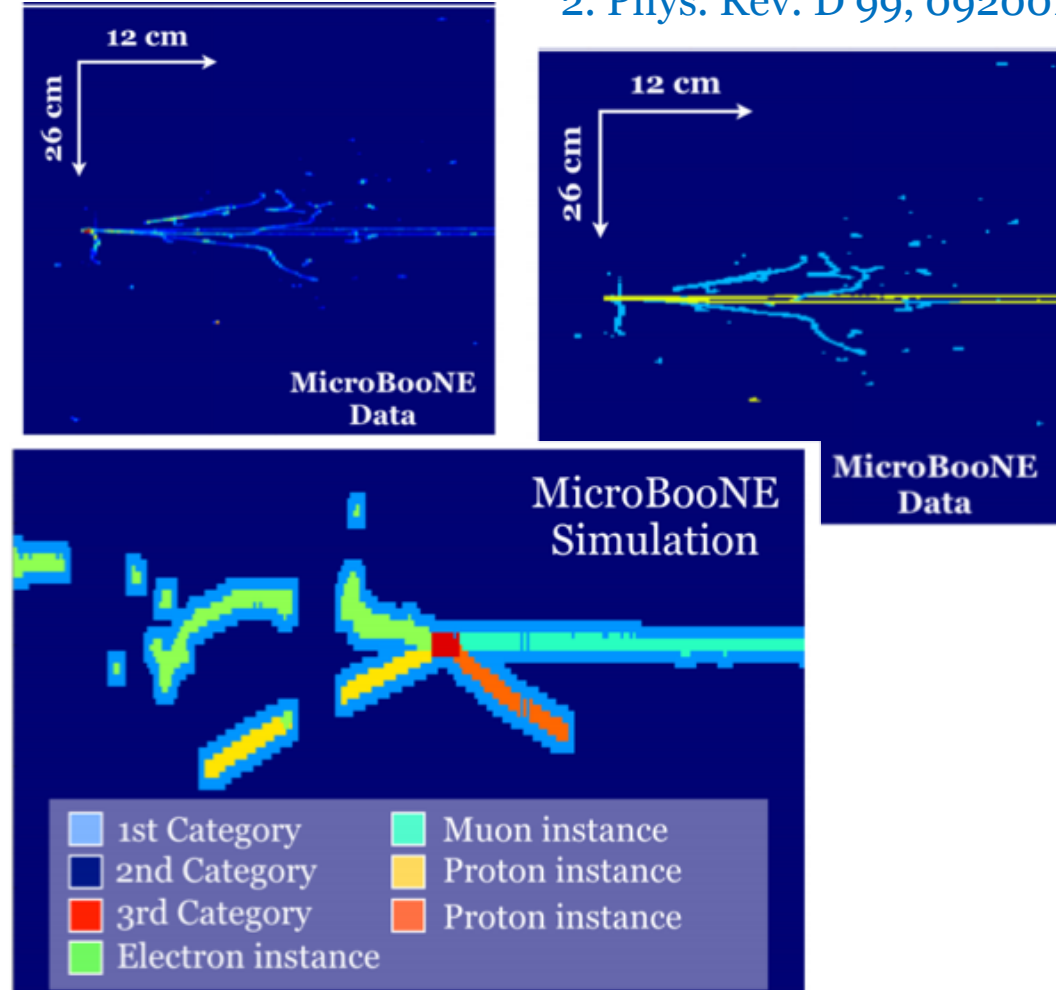
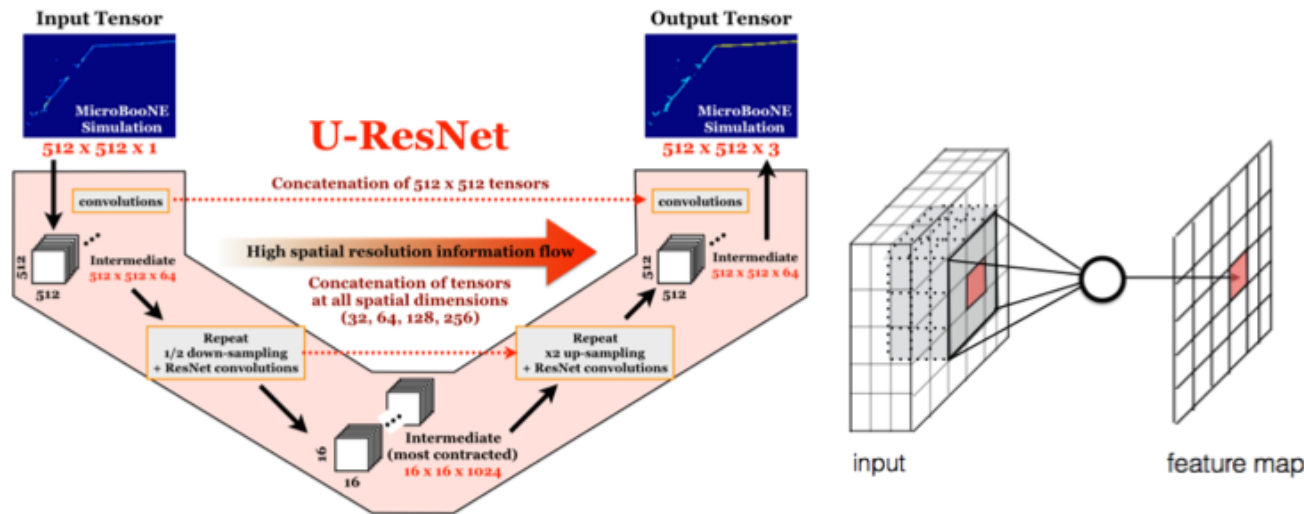


Drastically reduced cosmic background (magenta)!  
Considerably improved selection efficiency!

# 2D pattern recognition – Deep Learning

- In particular, Convolutional Neural Networks (CNNs)
- Scalable technique, generalizable to various tasks
- Superb performance on image data analysis
- Robust MC for training purpose

1. 2017 JINST 12 P03011
2. Phys. Rev. D 99, 092001

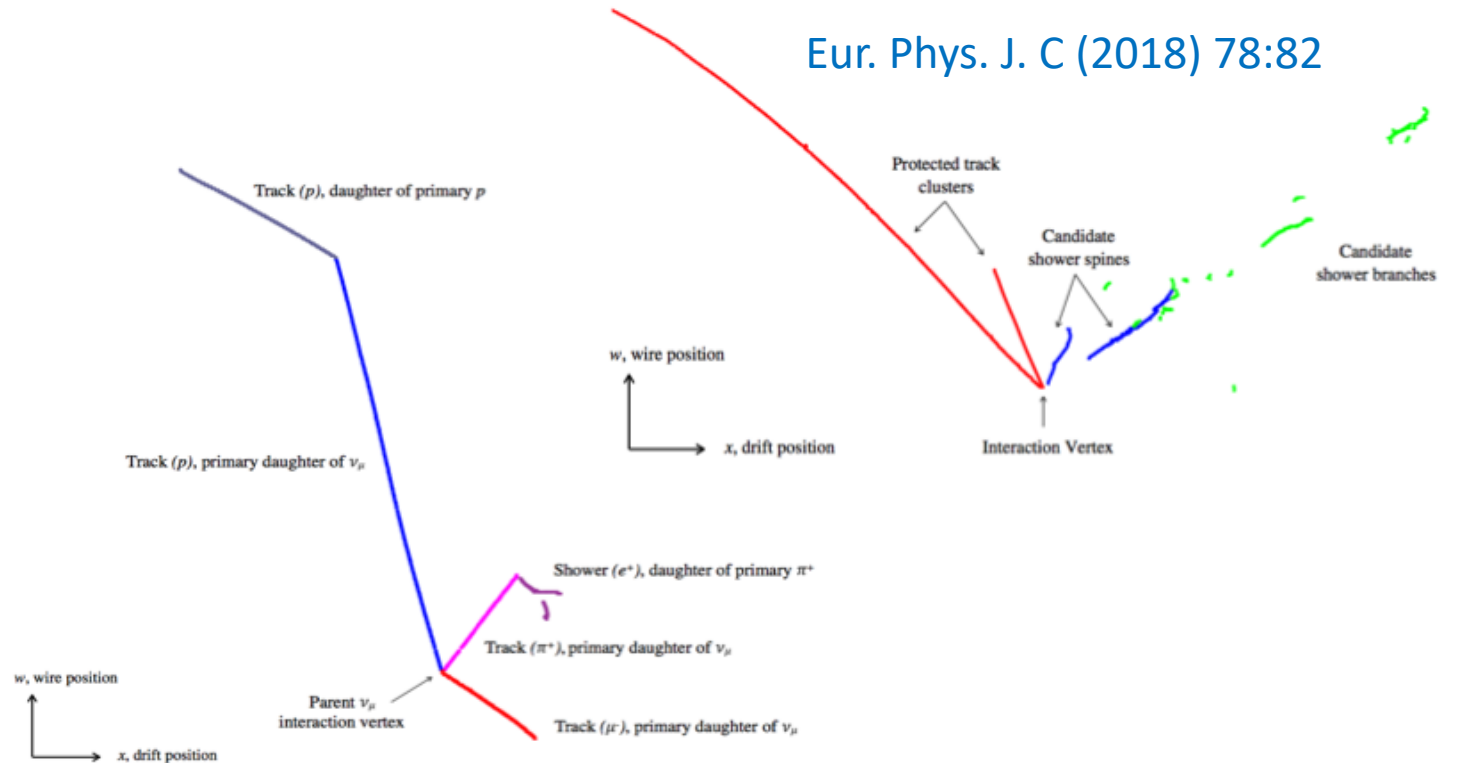
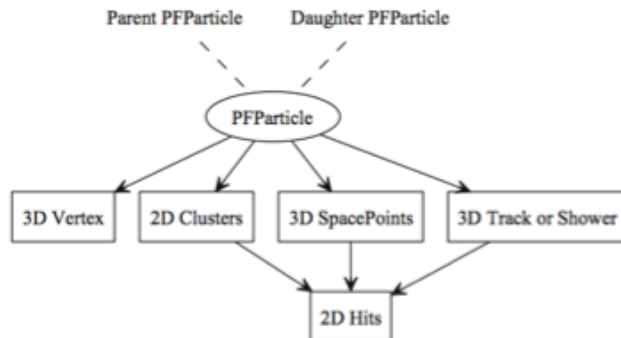


Neutrino image and particle-level segmentation  
Track/shower identification  
Multiple particle identification (regression)

# 2D pattern recognition -- Pandora

- **Traditional** reconstruction algorithms
- Sophisticated pattern recognition software
- **Neutrino vertexing, particle-level clustering, track/shower identification, particle flow**

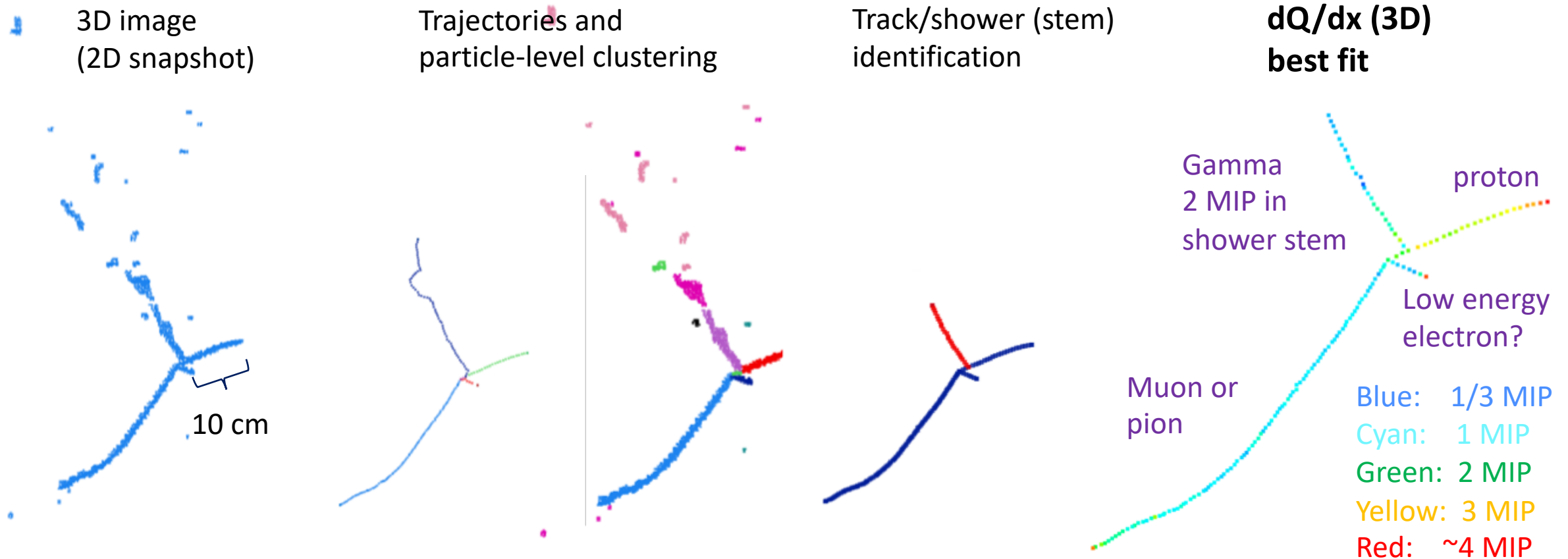
## Matching 2D patterns into 3D



# Wire-Cell 3D pattern recognition current status

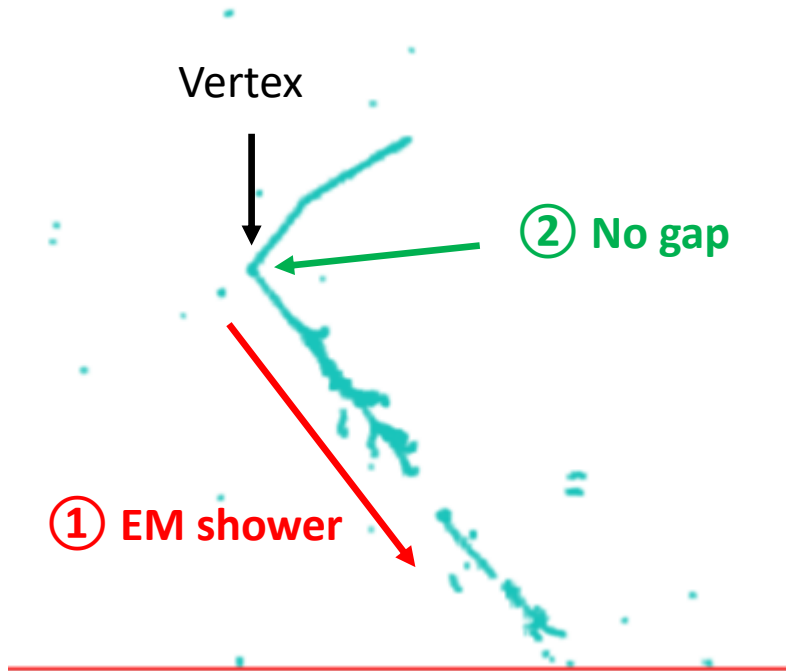
From X. Qian

A neutrino candidate from MicroBooNE data  
Complex topology



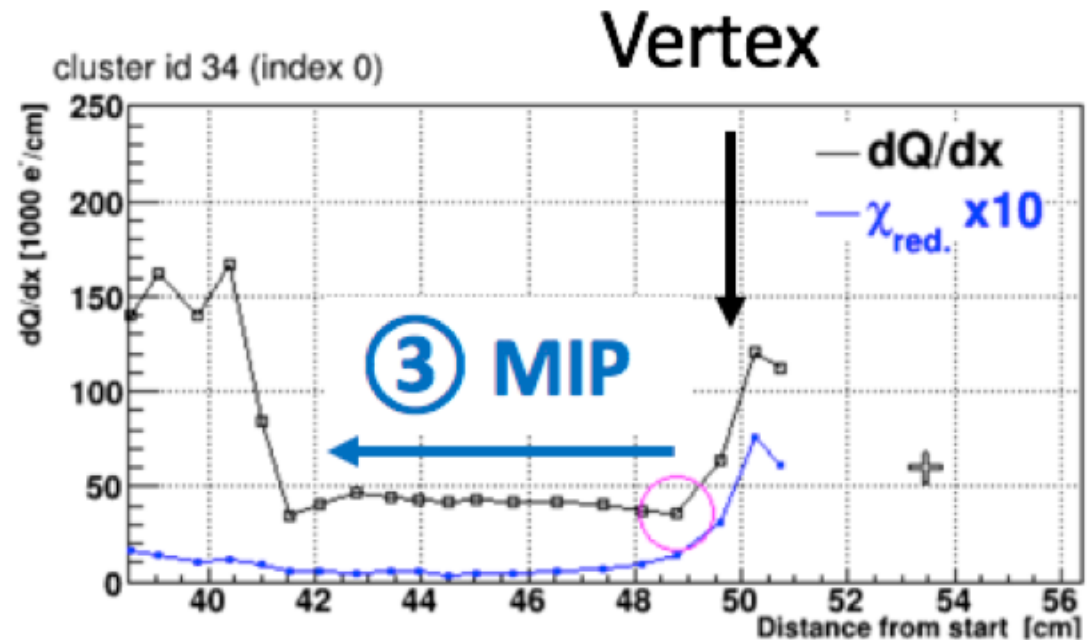
**Traditional approaches so far, stay tuned!**

# $\nu_e$ Selection Strategy



- 1) Track/shower separation
- 2) No gap
- 3) MIP  $dQ/dx$  within shower stem

On-going efforts.  
Goal: S:B = 10 : 1





# Last but not least

A good example to demonstrate the importance of fundamental event reconstruction

# Charge Extraction (Signal Processing)

JINST 12 P08003  
 JINST 13 P07006  
 JINST 13 P07007

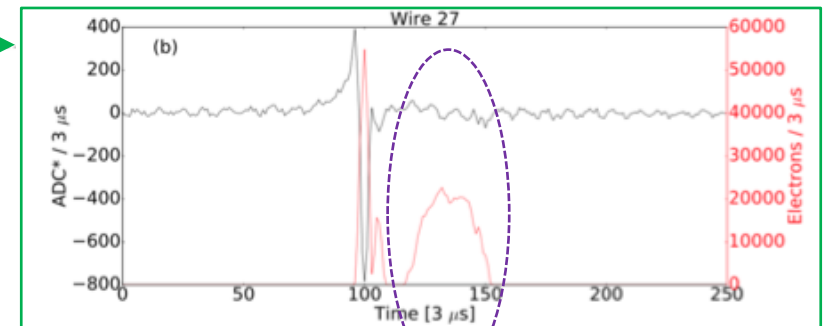
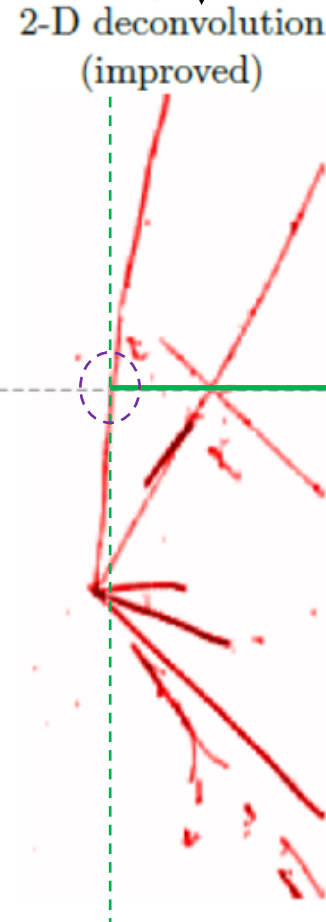
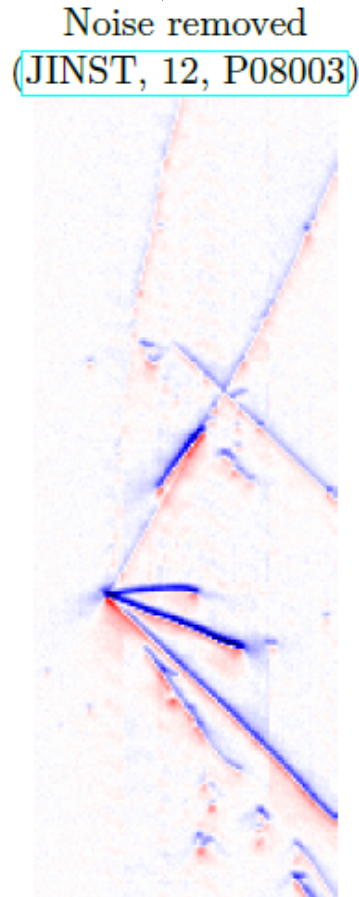
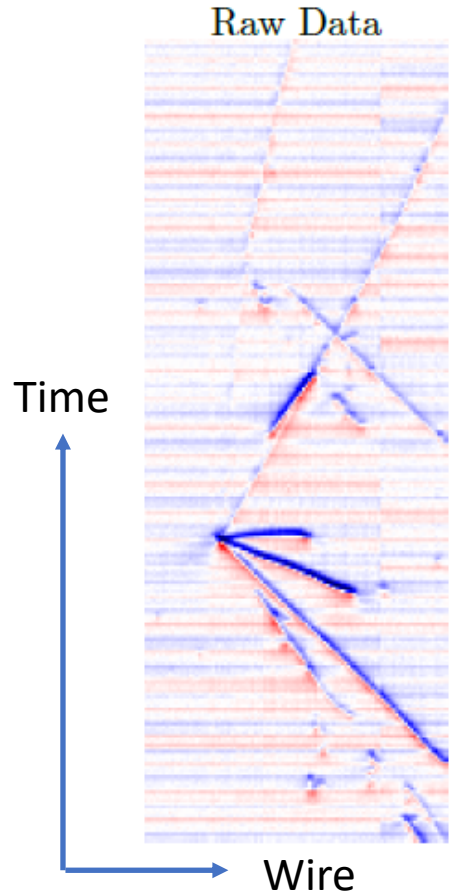
MicroBooNE Data  
 Induction plane

Low noise enabled  
 by the cold  
 electronics (BNL)

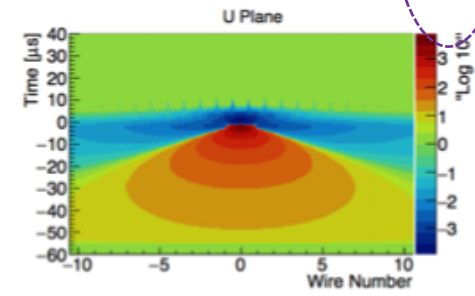
This works for  
 collection plane, but  
 not induction plane.

2D signal processing  
 (time + wire domains)

Consistent charge extraction across all  
 three wire planes has been first  
 demonstrated using MicroBooNE data.



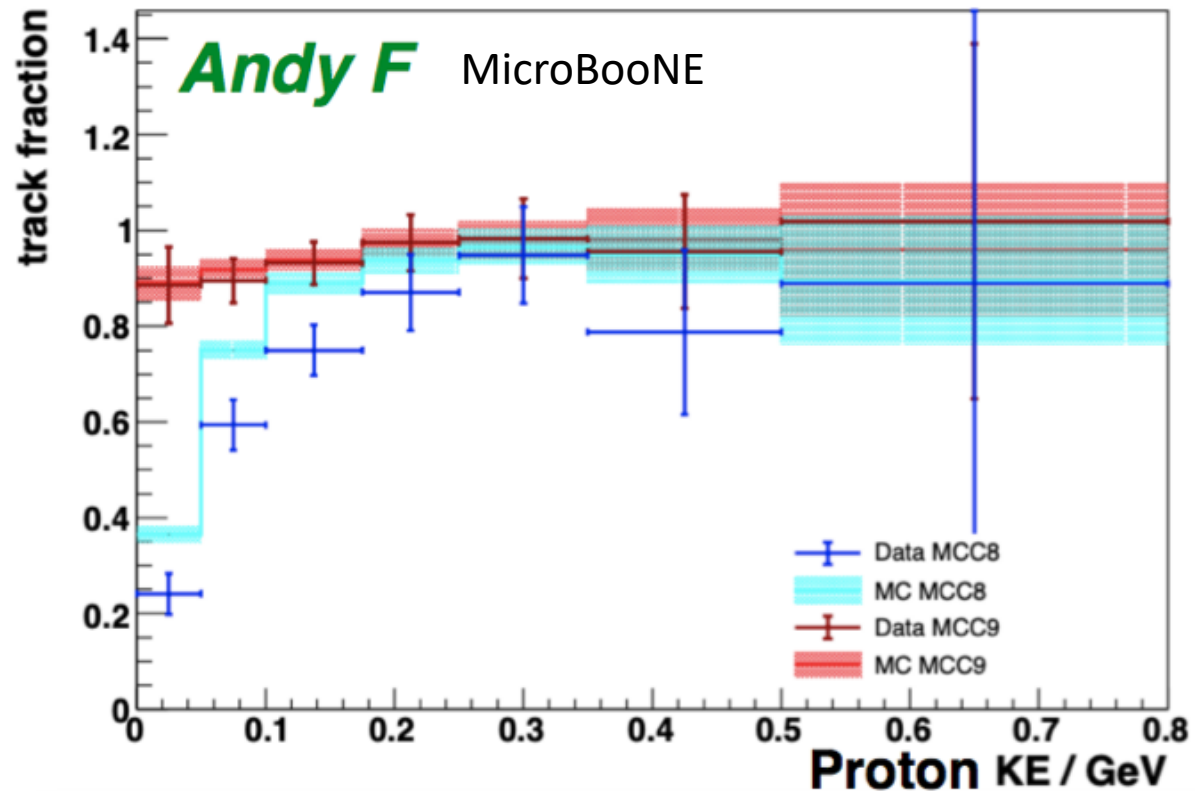
Black: raw ADC waveform  
 Red: deconvolved charge spectrum



Long-range  
 induction in  $\pm 10$   
 adjacent wires

# Impact on high-level reconstruction

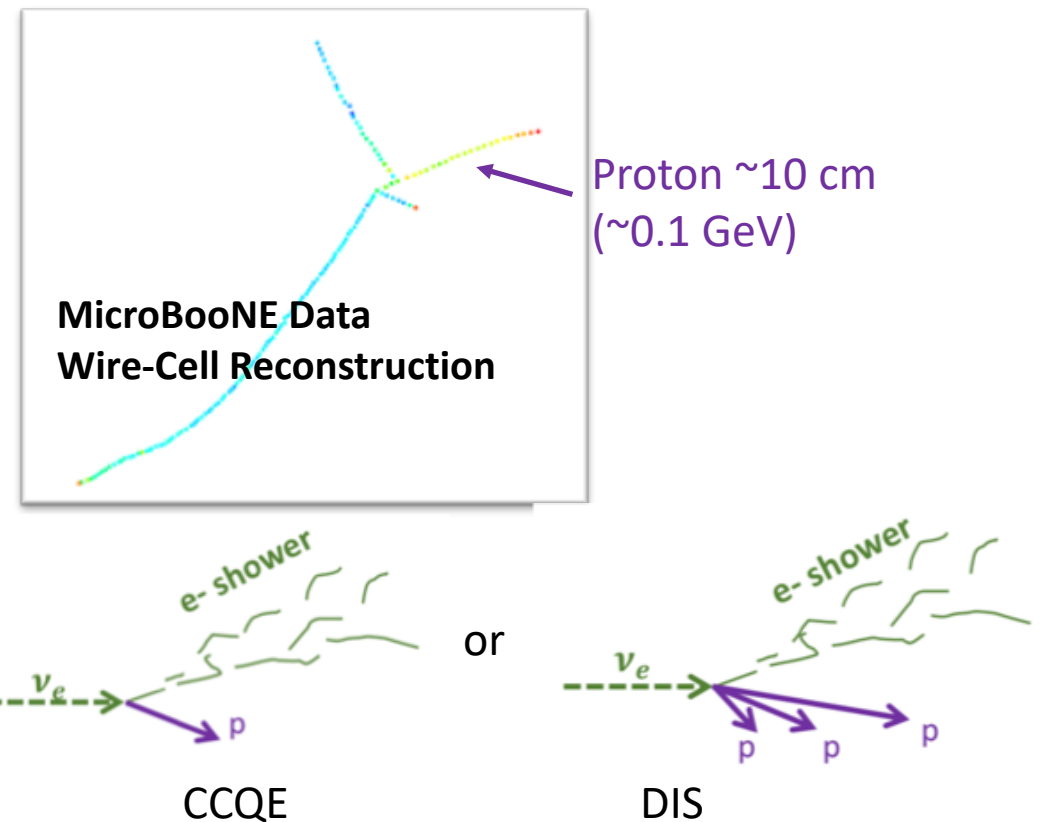
## Protons Reconstructed as tracks



- Neutrino energy reconstruction
- Topology information
- Cross section measurements

Red: 2D kernel (simulation, signal processing)

- ✓ Better MC/data agreement
- ✓ High proton track eff. At low energy



# Summary: *Wire-Cell* reconstruction chain

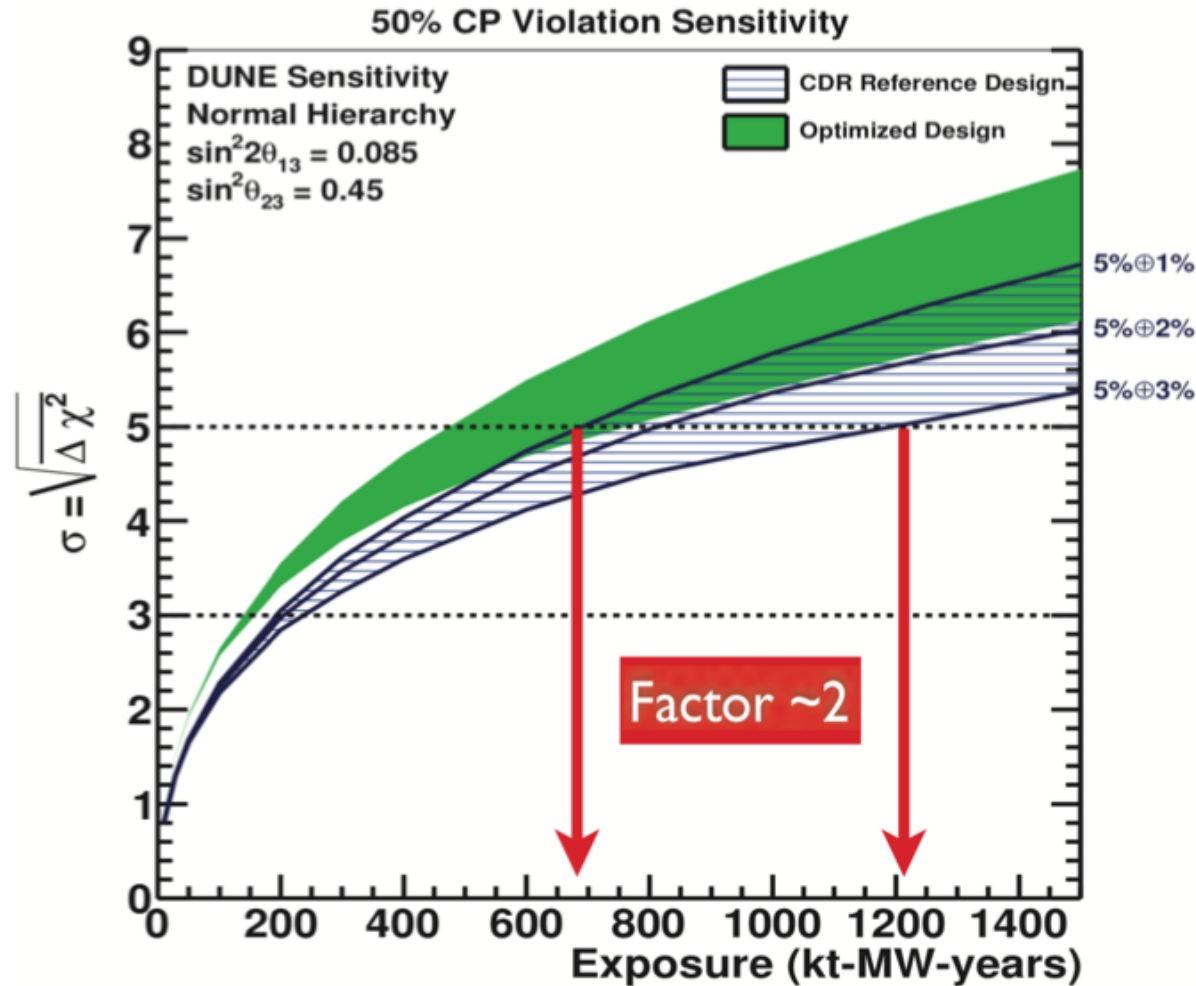
- Signal Processing
- 3D imaging & clustering
- Many-to-many TPC-PMT matching
- In-beam cosmic rejection
- 3D pattern recognition
  - Neutrino vertexing
  - Particle-level clustering
  - Trajectory &  $dQ/dx$  fitting
  - Track/shower identification
- Energy & kinematics reconstruction (not covered in this talk)

# Summary: what we learned

- Never take for granted → excess noise, 2D signal processing
- Expand your vision and be creative → Wire-Cell 3D imaging & matching
- Be brave → 3D trajectory fitting and  $dQ/dx$  fitting
- Professional software → Time & mem optimization, architecture & framework, integration, etc. [[B. Viren](#)]
- To be continued ...
- Something really challenging → new detector design (vertical drift, number of wire planes, pixel readout, ...)
  - Prolonged track → bipolar signal cancellation (further improvement using deep learning, [H. Yu, etc.](#))
  - Isochronous track → large hit multiplicity in a time slice maximizing the wire readout ambiguity
  - Nonfunctional (dead, too noisy, abnormal response) wires → missing vertex, gaps, ghost tracks, large  $dQ/dx$  uncertainties

# Discussions on DUNE physics

# DUNE Neutrino Oscillation Physics

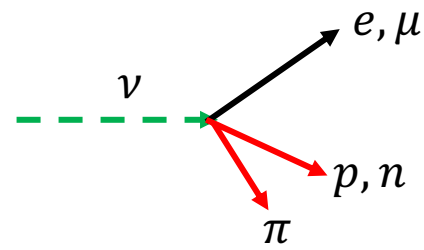


How to bring down uncertainties?

- Flux uncertainty
- Cross section uncertainty
- Detector uncertainty

# DUNE Neutrino Oscillation Physics

## Where LArTPC event reconstruction can play an important role

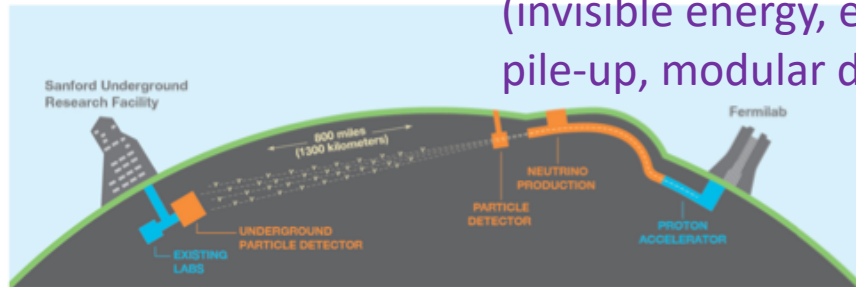


Invisible particles?  
Bad clustering?  
Kinematics?

Far detector  
Large & deep

=?

Near detector  
Small & shallow  
(invisible energy, event  
pile-up, modular design)

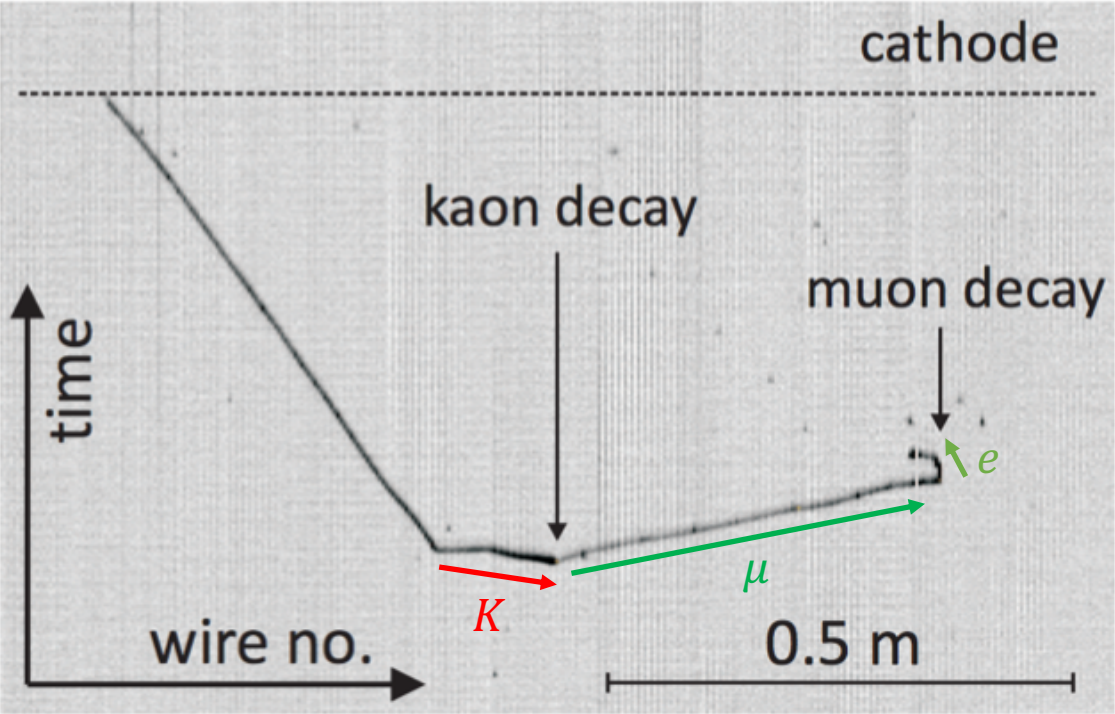


How to accurately reconstruct Argon 39 (low energy) and neutron (low visible & separate) events?

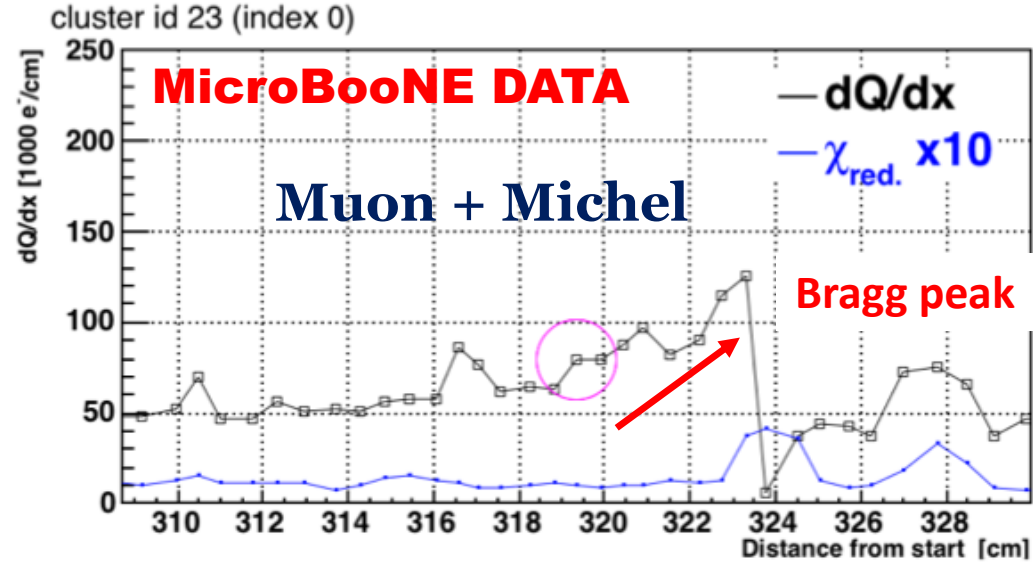
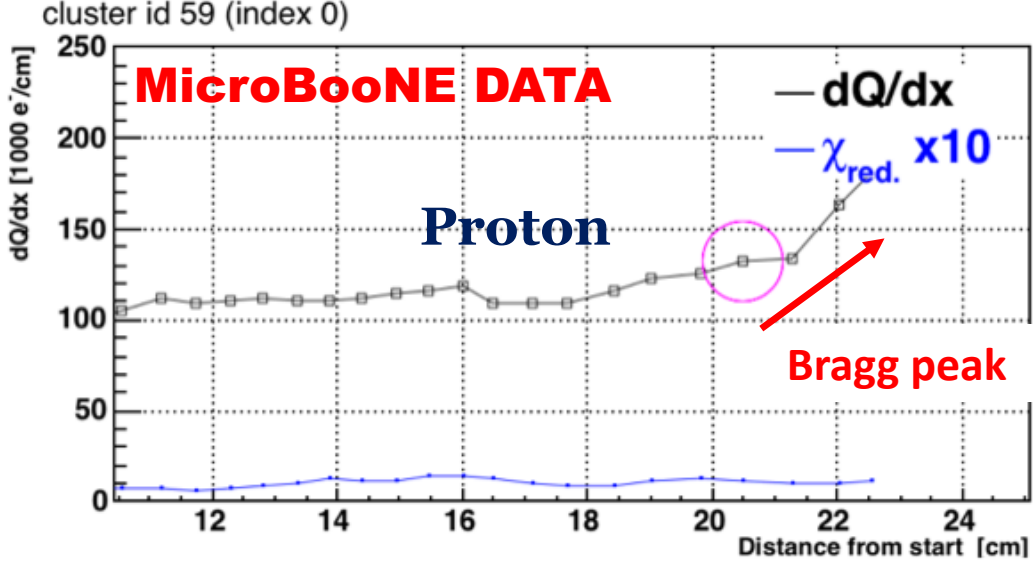
- Flux uncertainty: **low energy transfer** method
  - Cross section independent on  $E_{\nu}$
  - neutrino-Hydrogen, neutrino-electron scattering
- Detection response: cross section + detector effect: DUNE-PRISM
  - Measurements at various off-axis positions in a near LArTPC detector (ArgonCube)  $\rightarrow$  predict far detector unoscillated energy spectrum
  - Near detector flux  $\rightarrow$  far detector flux?
- Detector effect uncertainties: calibration
  - Argon 39, neutron source, etc.



# DUNE nucleon decay physics



Kaon decay candidate in the ICARUS T600 LArTPC observed in the CNGS data



# Thanks for your attention!

- Event reconstruction is fundamental
- Interplay with the understanding of detector → realistic simulation, high performance physics analysis, reliable calibration, new detector technology
- LArTPC event reconstruction is an open question and needs more attention and efforts.



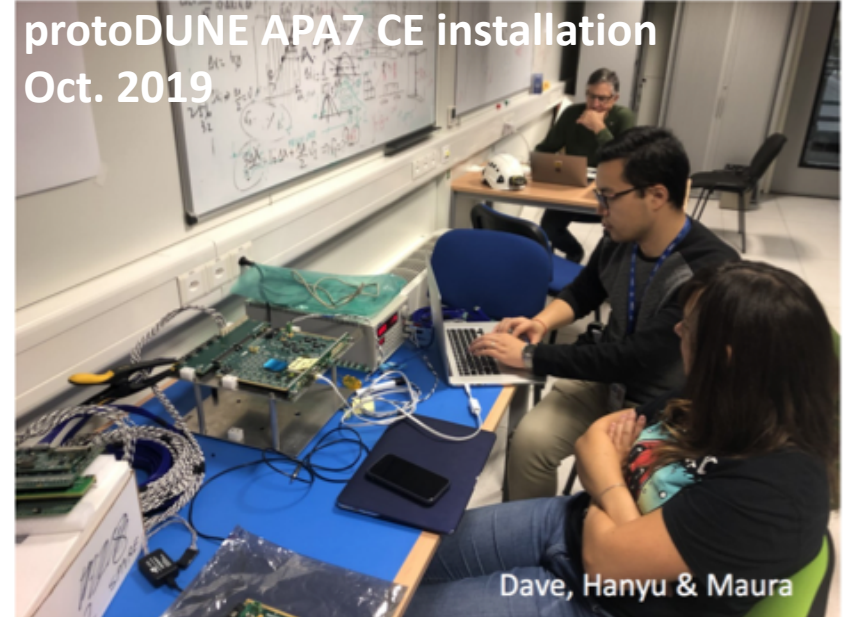
# My work

## MicroBooNE

- Simulation group co-convener
- Reconstruction group co-convener
- Validation, evaluation, and optimization of Wire-Cell event reconstruction techniques.
- Wire-Cell software integration & large-scale production
- Generic neutrino selection analyses
  - Signal processing paper
  - Imaging/matching paper preparation [JINST]
  - Generic neutrino selection (cosmic rejection) paper preparation [PRD/PRL]

## DUNE cold electronics

protoDUNE APA7 CE installation  
Oct. 2019



# **Additional Slides**

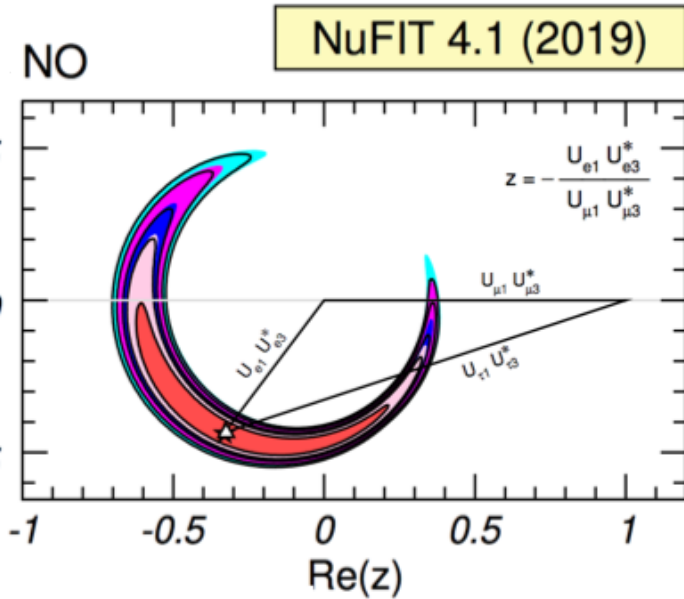
# What we don't know?

$\theta_{23} \sim 49.6^\circ (\pm 4.1\%)$

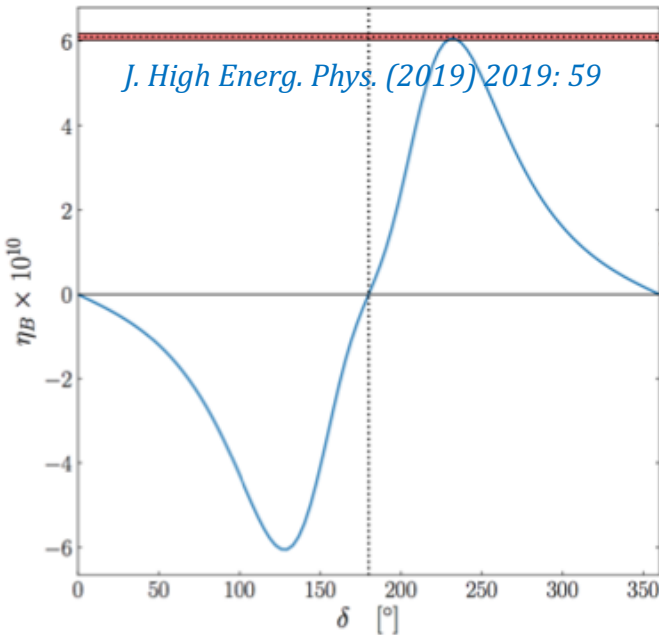
The precision is unsatisfactory

- ✓ Octant degeneracy ( $>, <, \text{or } = \pi/4$ )  
 → underlying symmetries
- ✓ Impact the measurements of CP-violation and mass hierarchy

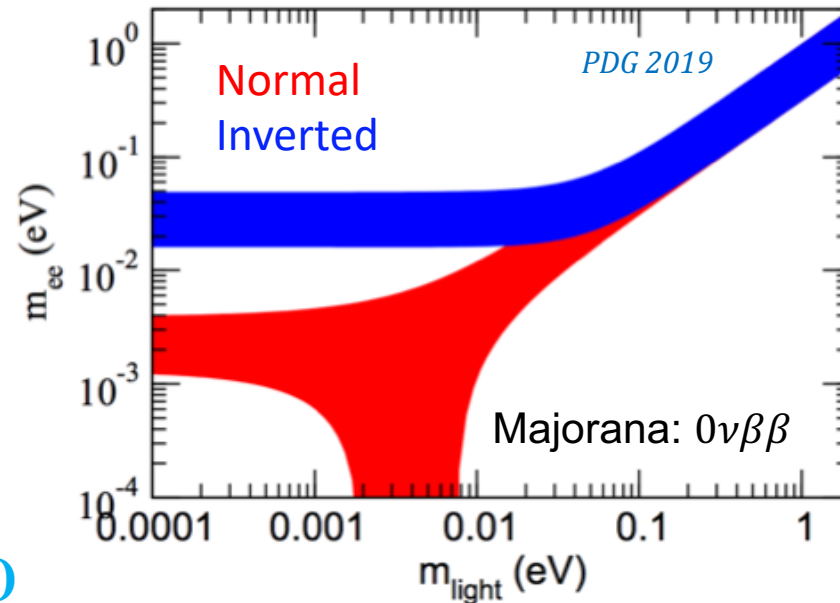
## Leptonic Unitarity



Matter and anti-matter asymmetry



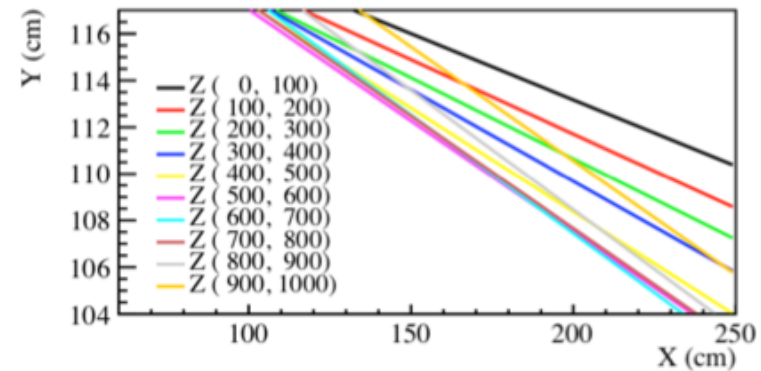
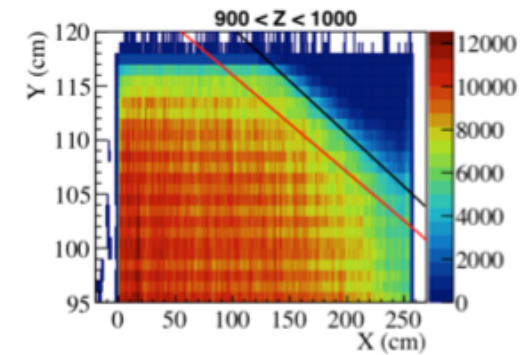
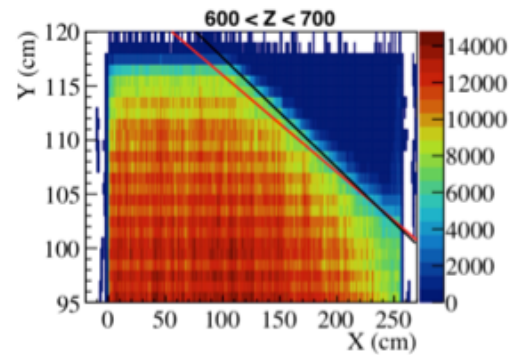
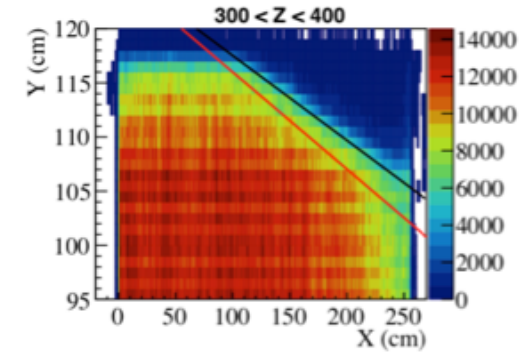
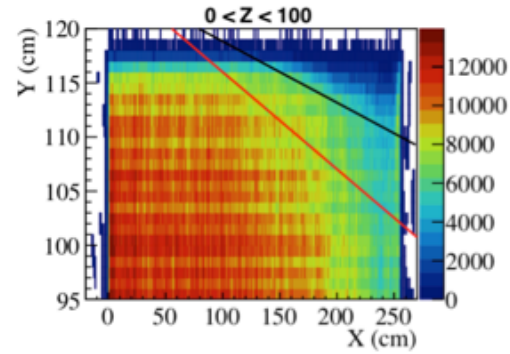
**Leptonic CP violation** ( $\delta_{CP} \neq 0, \pi?$ )



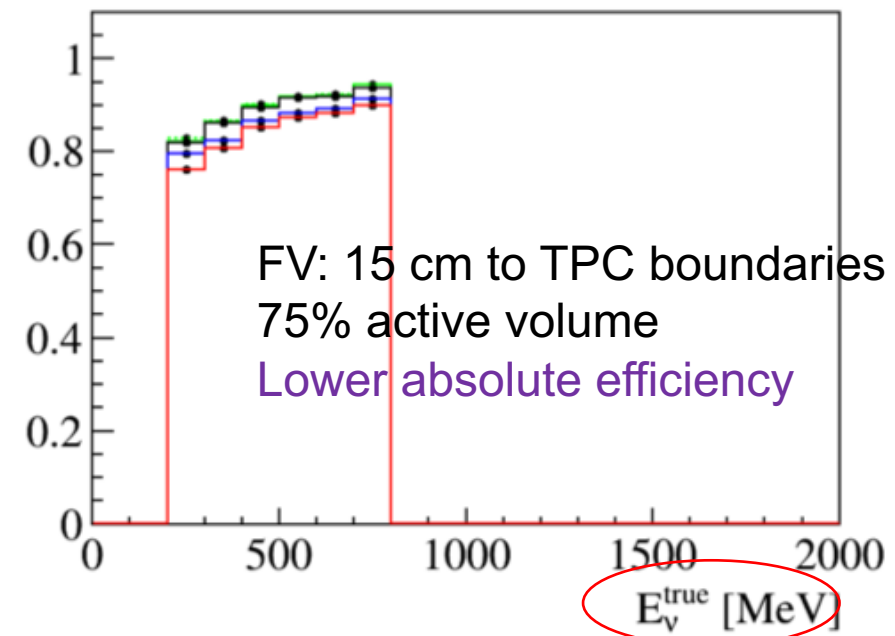
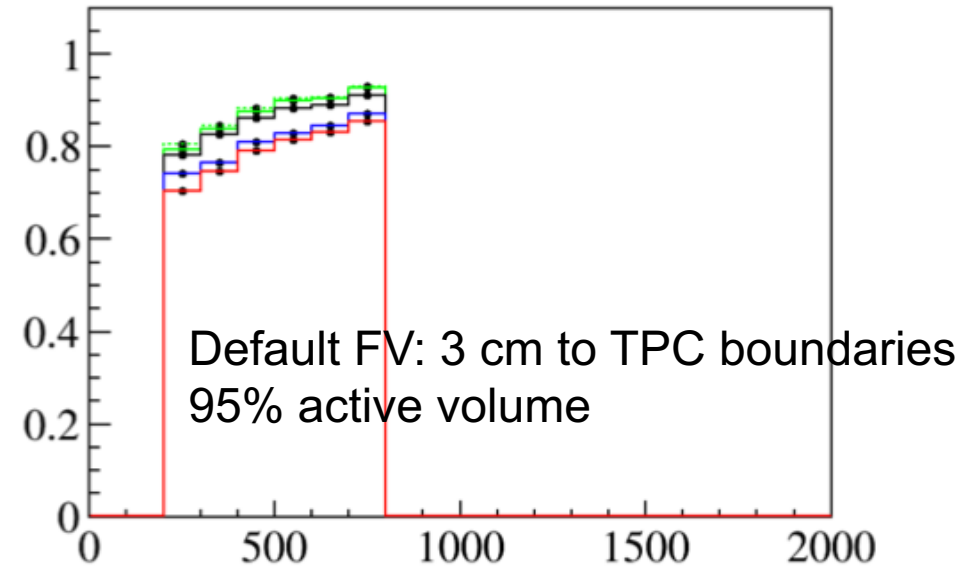
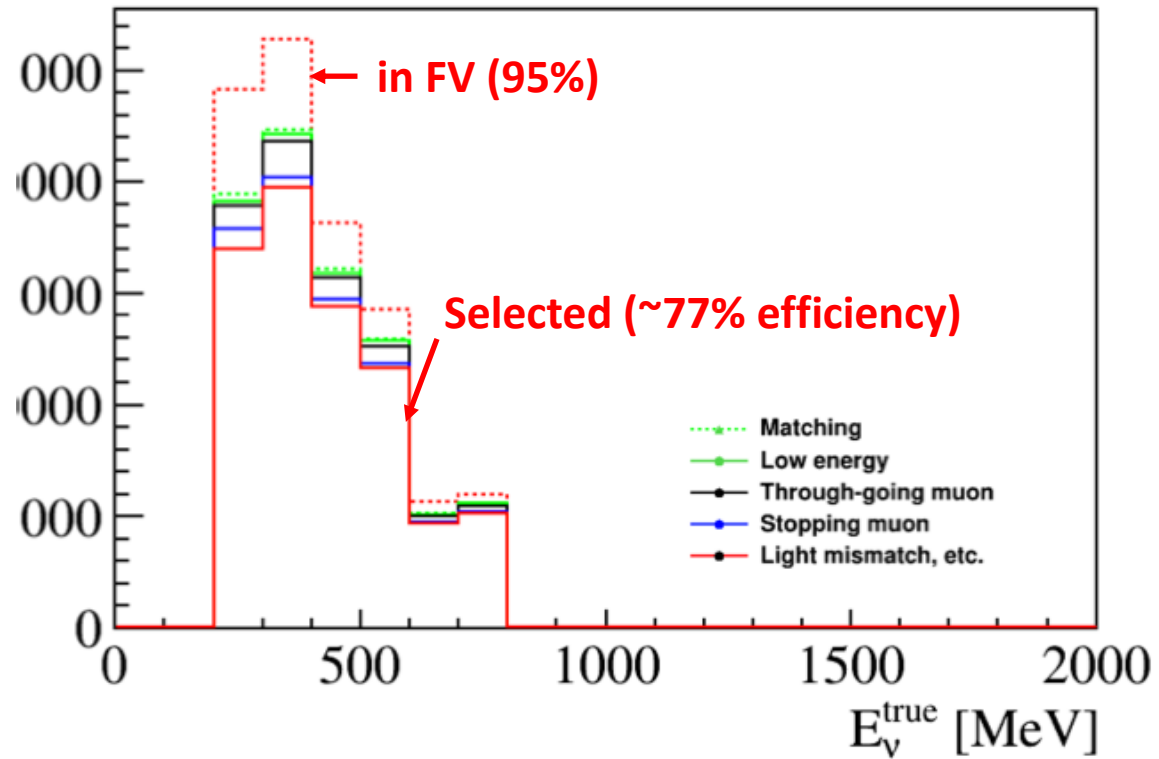
## Mass hierarchy

$m_1 < m_2 < m_3$   
 or  $m_3 < m_1 < m_2$

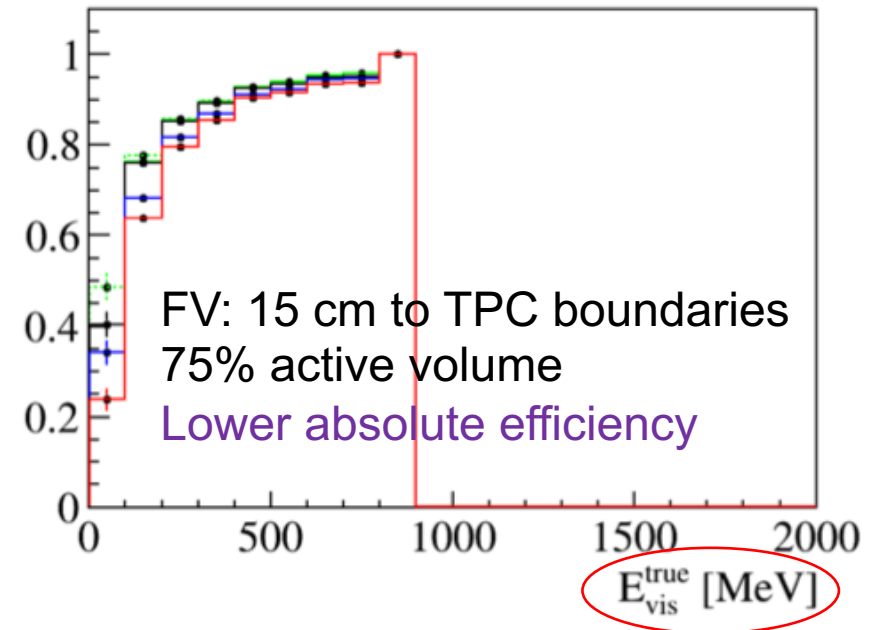
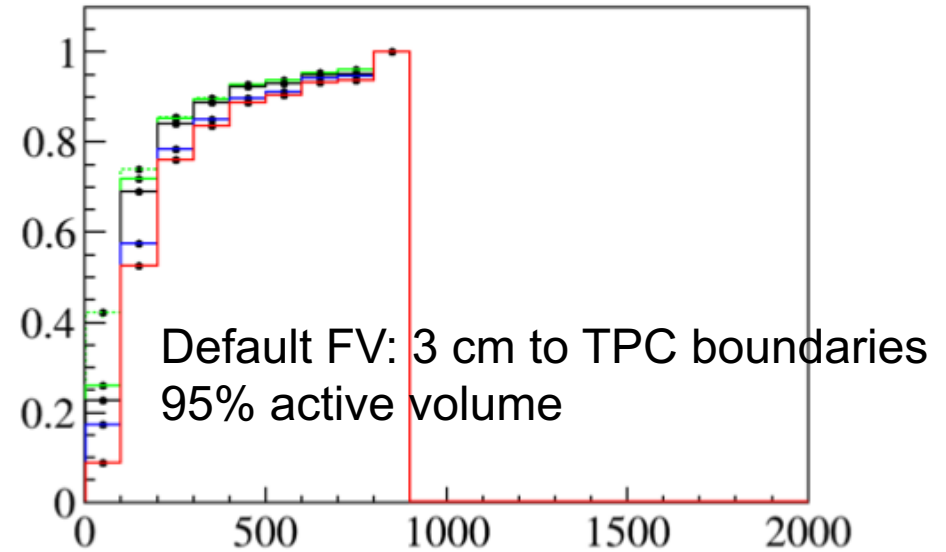
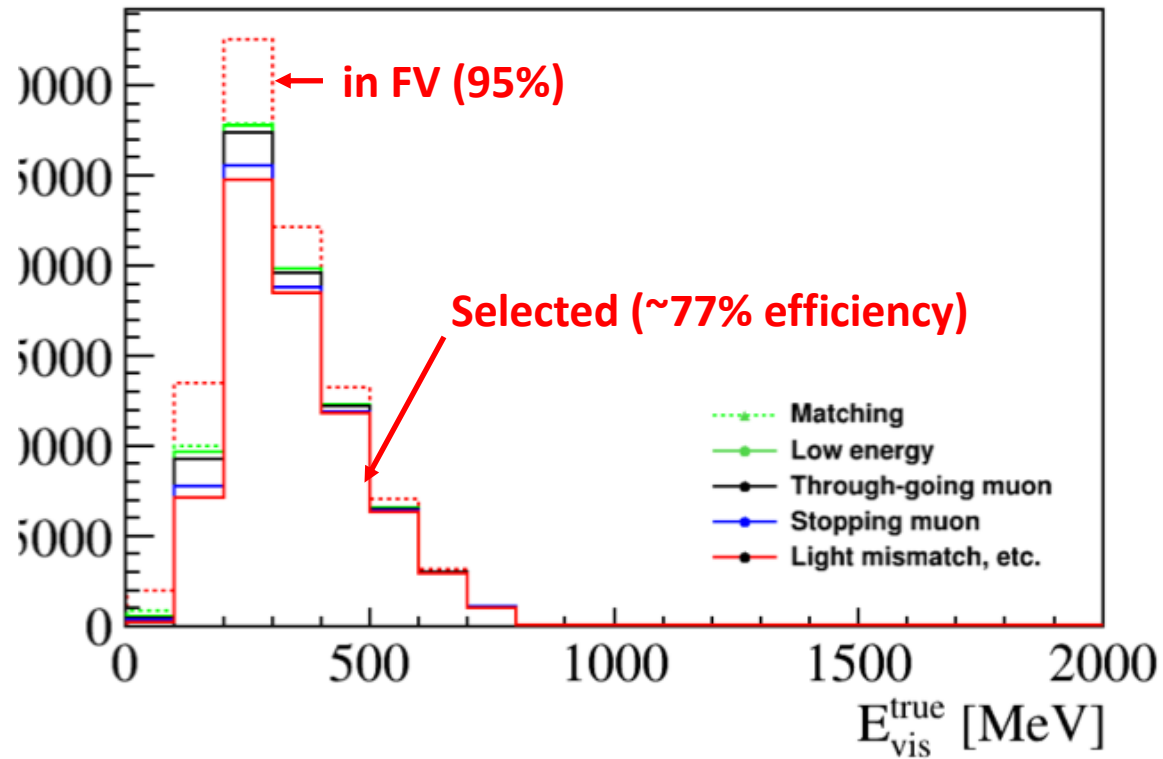
# Space charge boundary



# eLEE nue efficiency

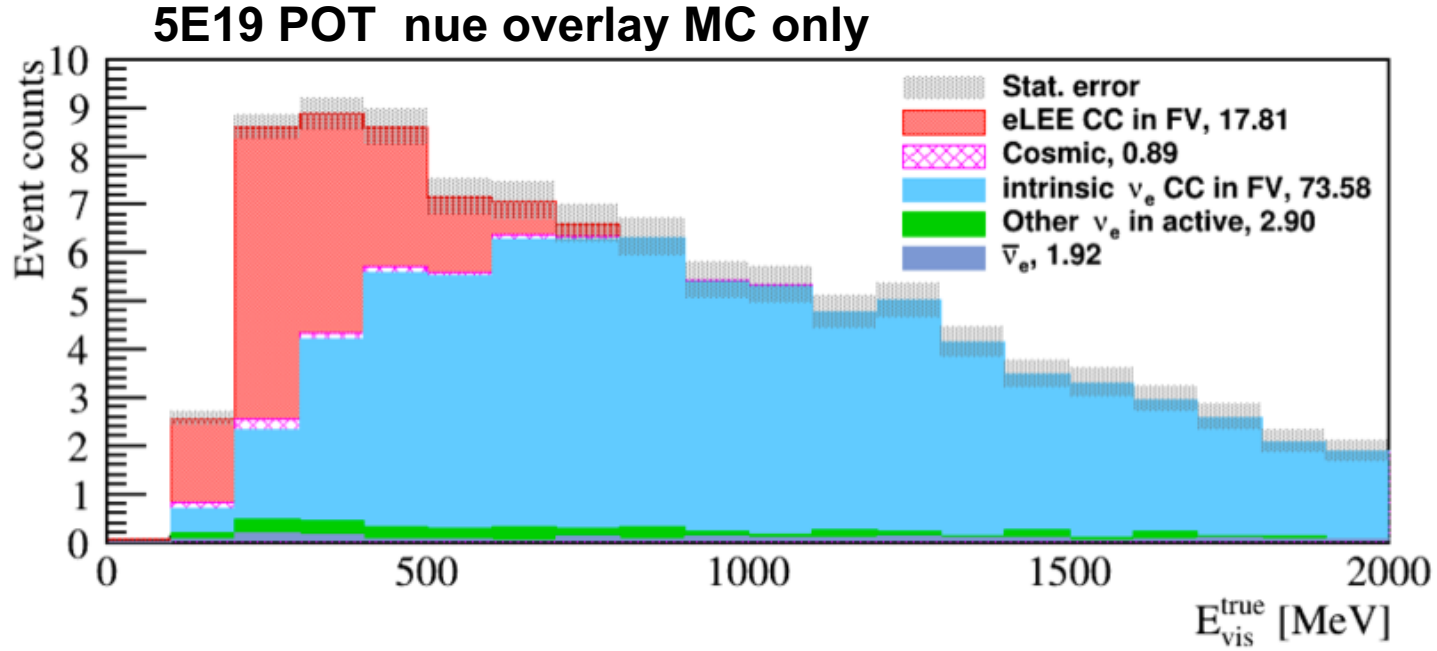


# eLEE nue efficiency

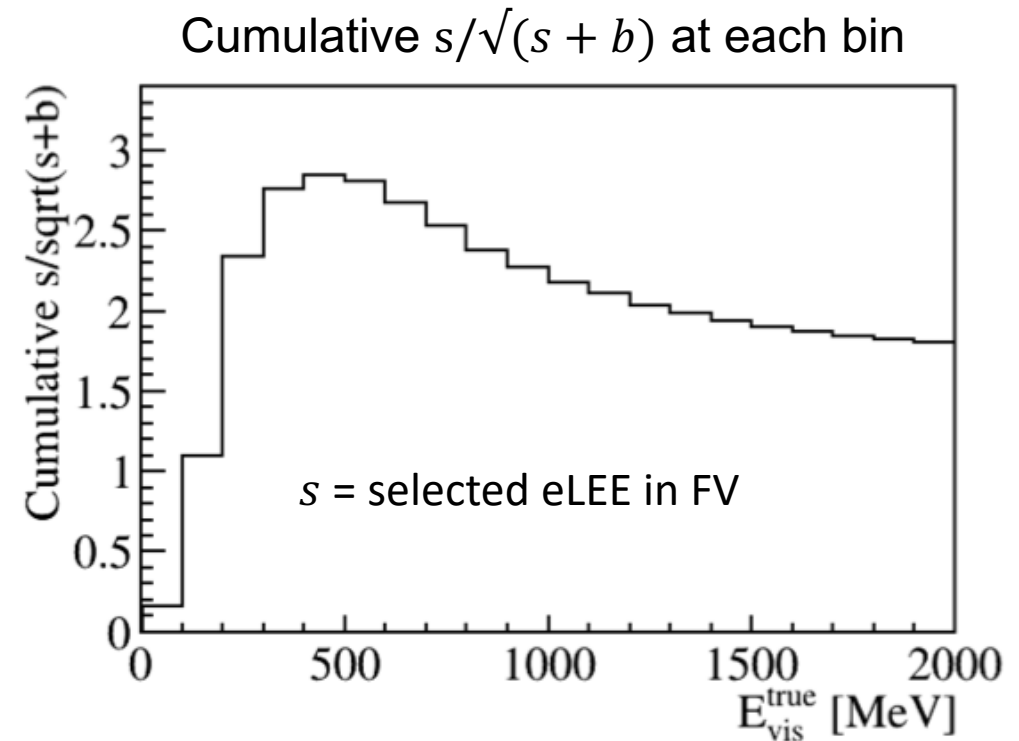




# Selected intrinsic nue + eLEE



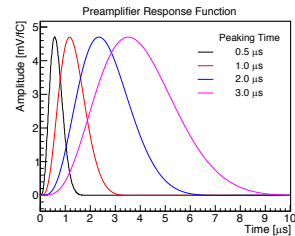
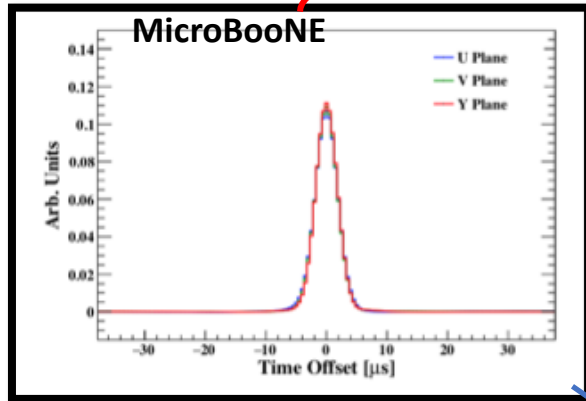
Without  $\nu_\mu$  background and beam-off cosmic background.



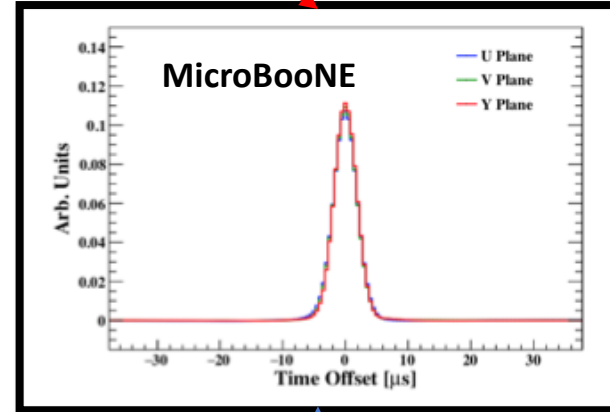
# Last but not least

## Charge Extraction (Signal Processing)

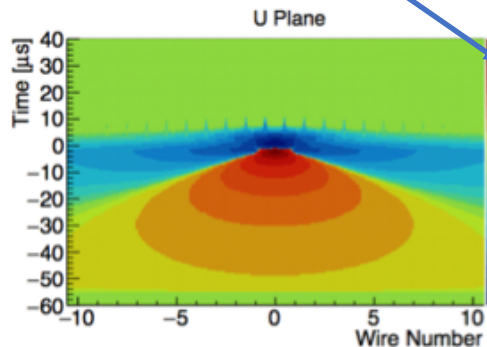
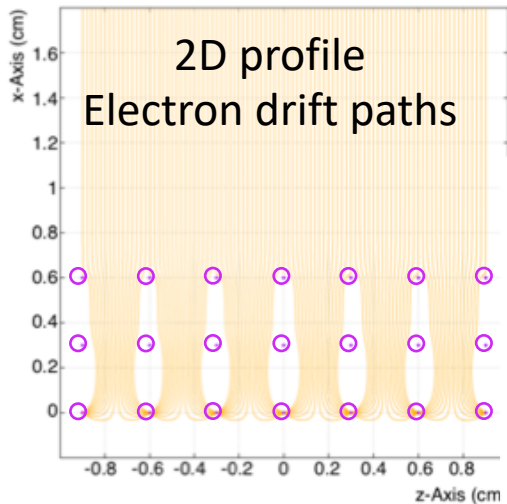
- The most fundamental technique, provides a solid foundation to all the downstream reconstruction.
- To make induction planes  $\cong$  collection plane



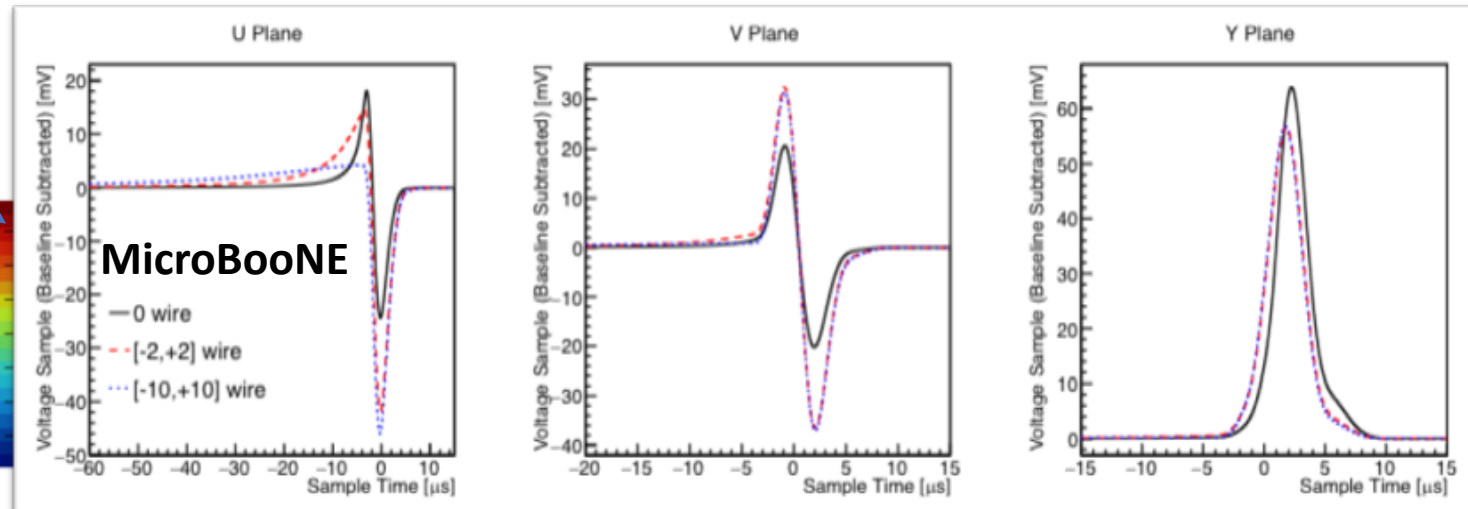
Electronics response



Raw waveform

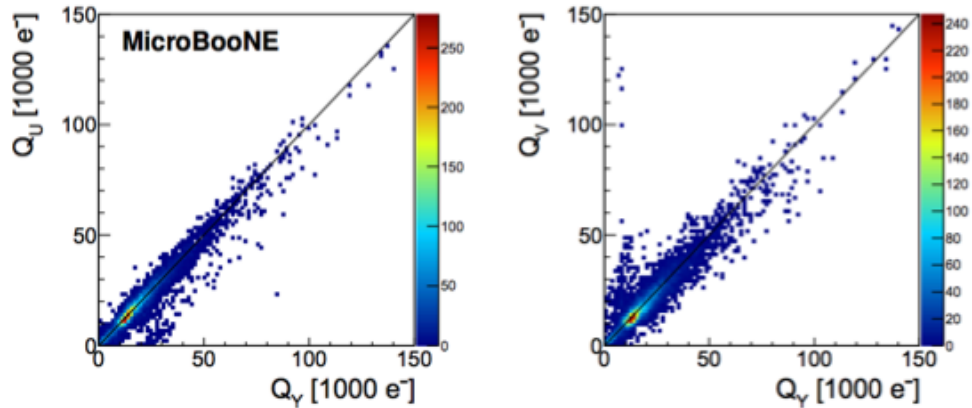


Wire response  
(long-range static electric field response)

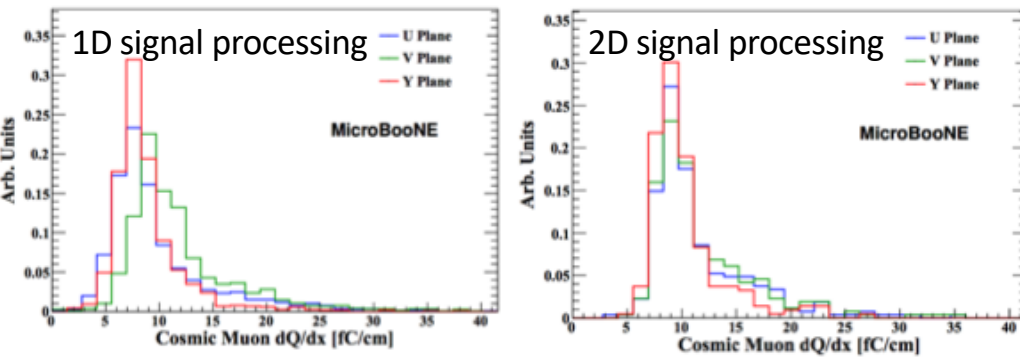


# Merits of advanced signal processing

- Significantly improved signal processing for induction wire planes

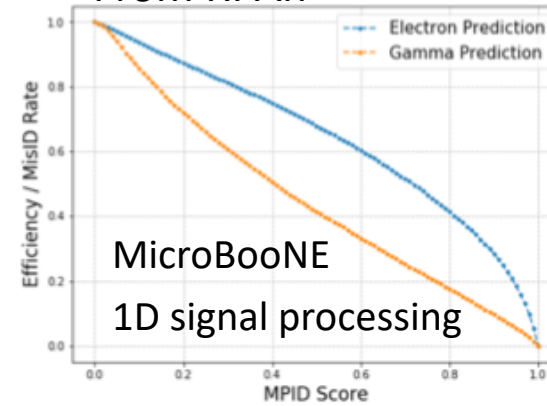


Consistent reconstructed charge across all three wire planes

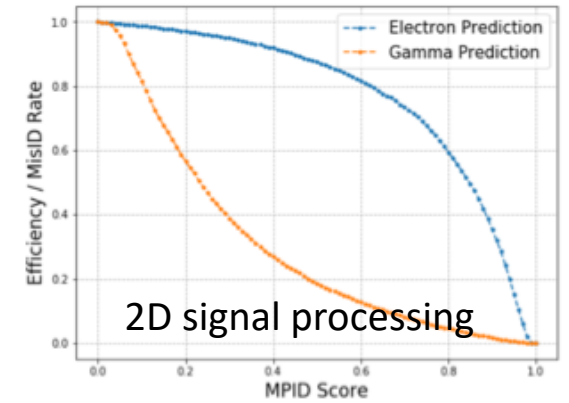


Consistent dQ/dx spectra!

From R. An

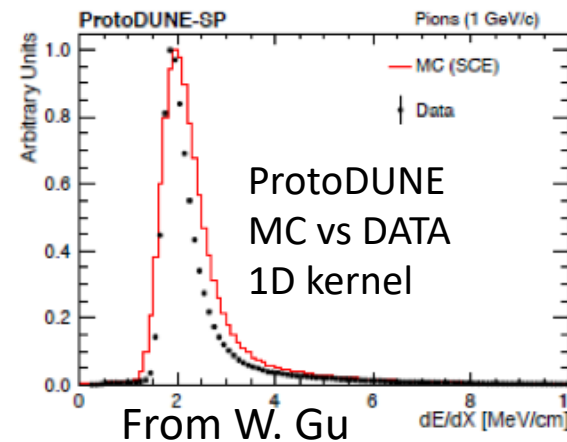


MicroBooNE  
1D signal processing



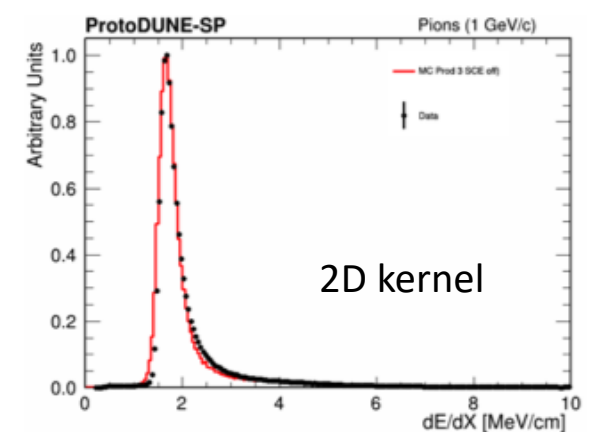
2D signal processing

Deep learning electron/gamma separation in 1e1p sample



ProtoDUNE  
MC vs DATA  
1D kernel

From W. Gu

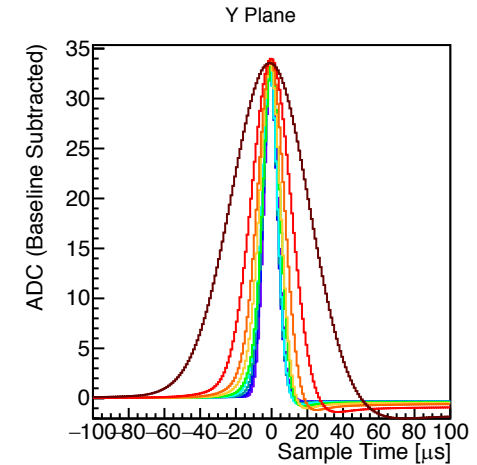
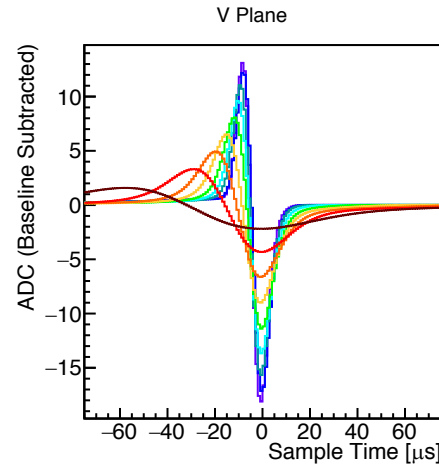
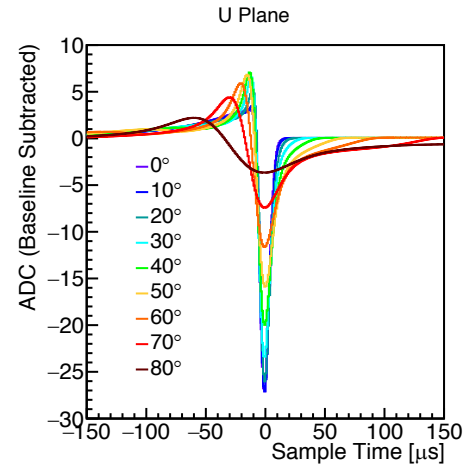


2D kernel

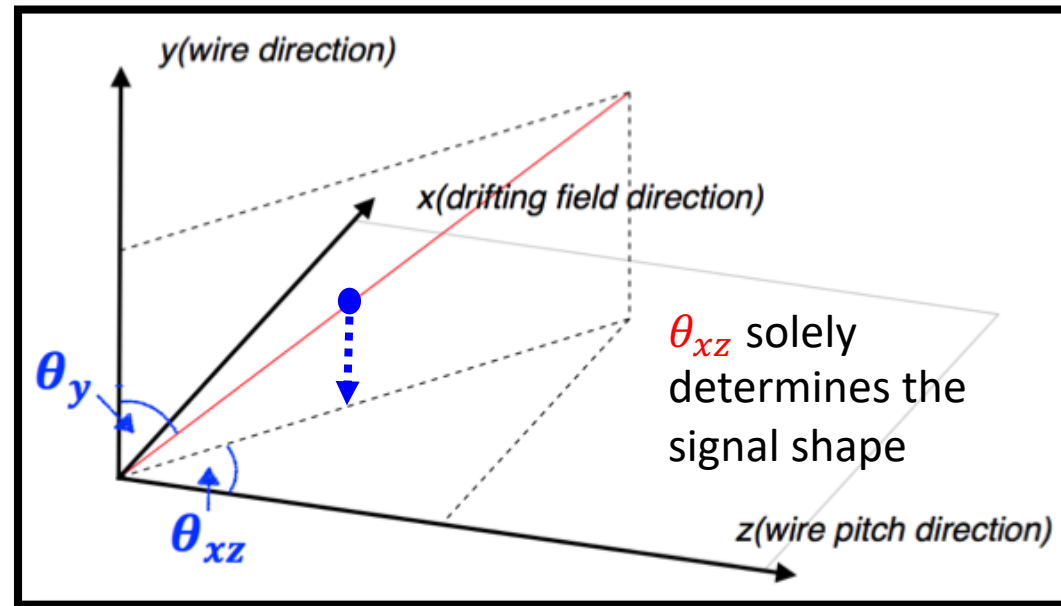
# Topology-dependent waveforms

***SIMULATION!***

Varying  $\theta_{xz}$   
 given  $\theta_y = 90^\circ$   
 (perpendicular  
 to wire  
 orientation)



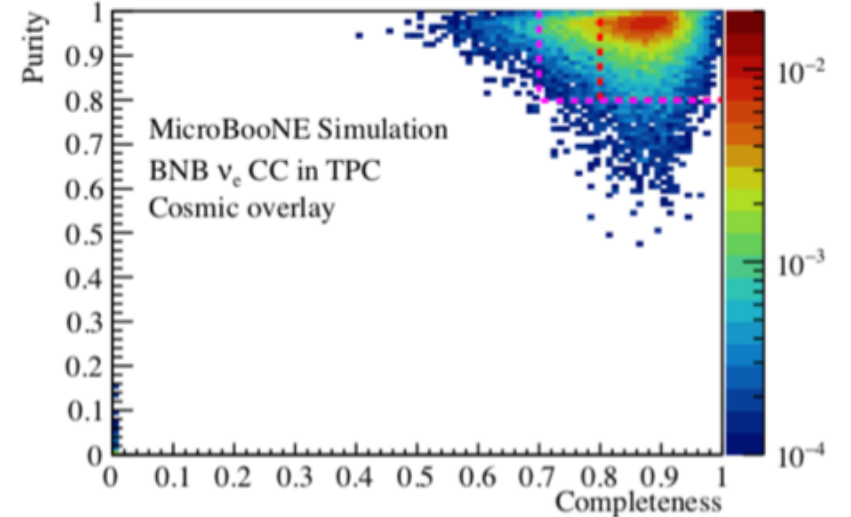
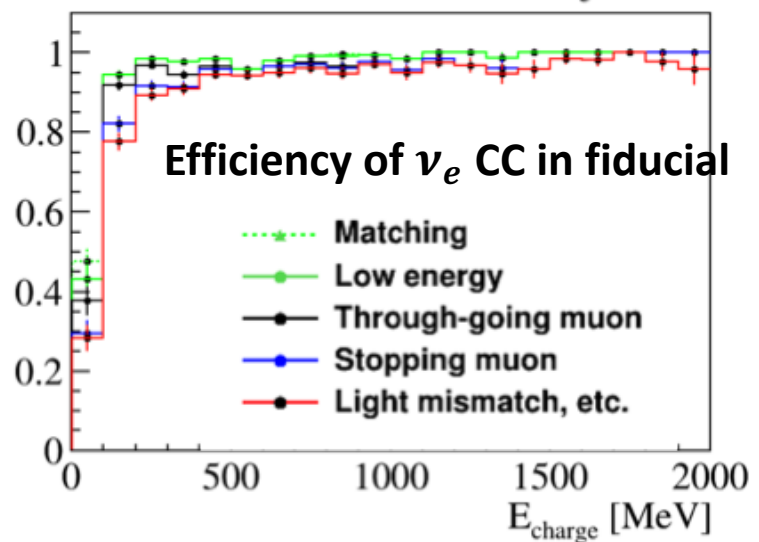
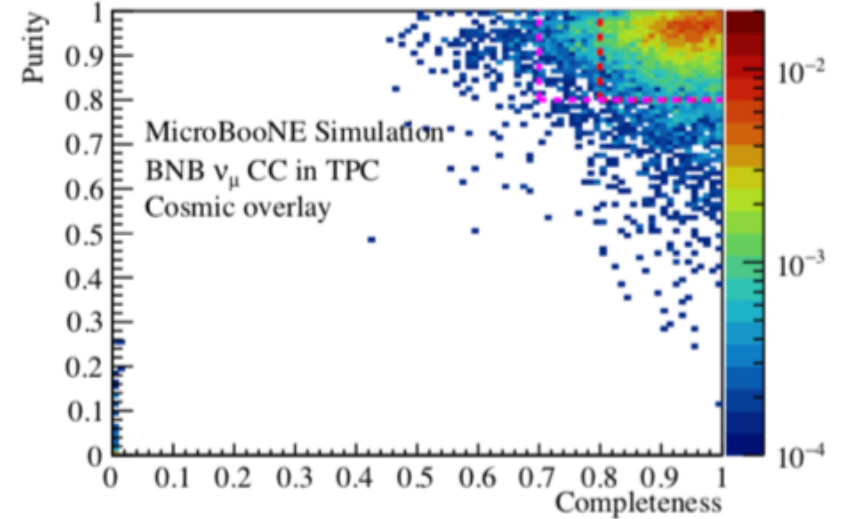
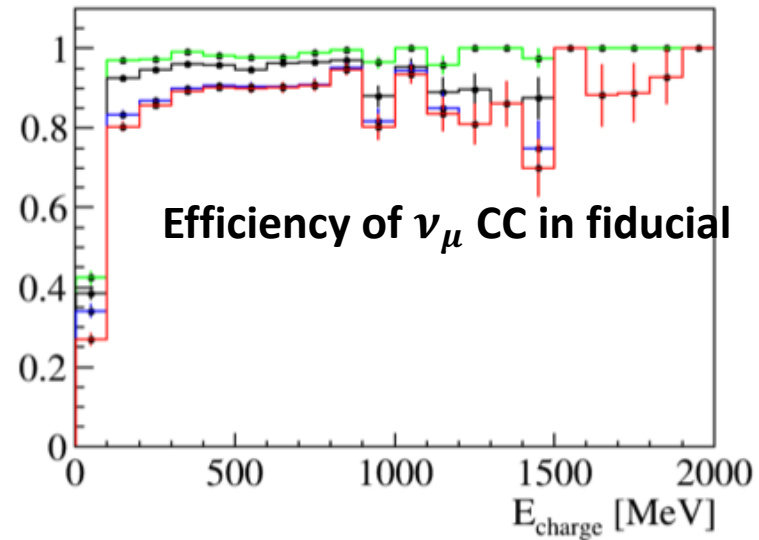
- Project/Move charge onto x-z plane
  - Time stretch (shape) of the ionization charge determines the signal shape
- ↑
- $q(t, z) \otimes \text{Field}(t, z)$



# 3D image of selected neutrino activity

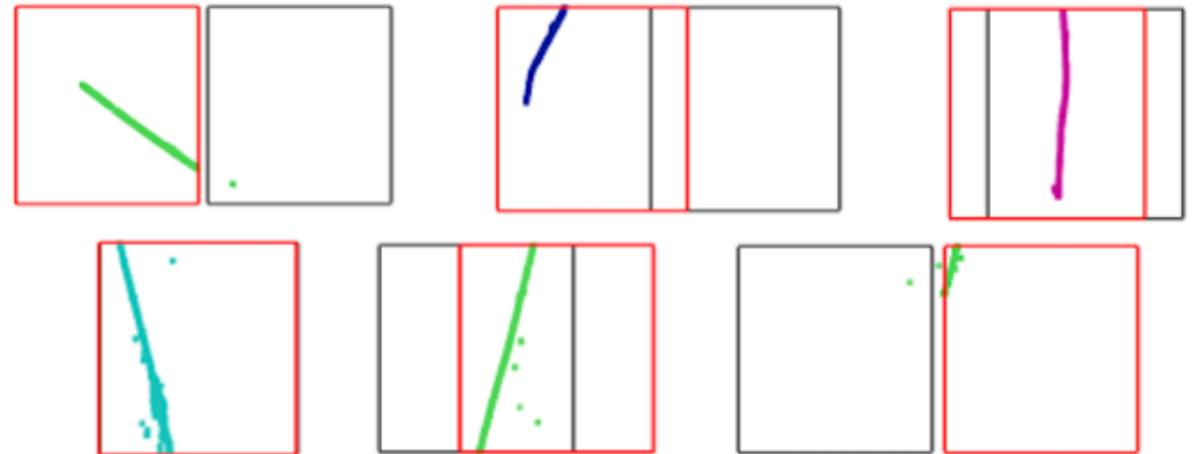
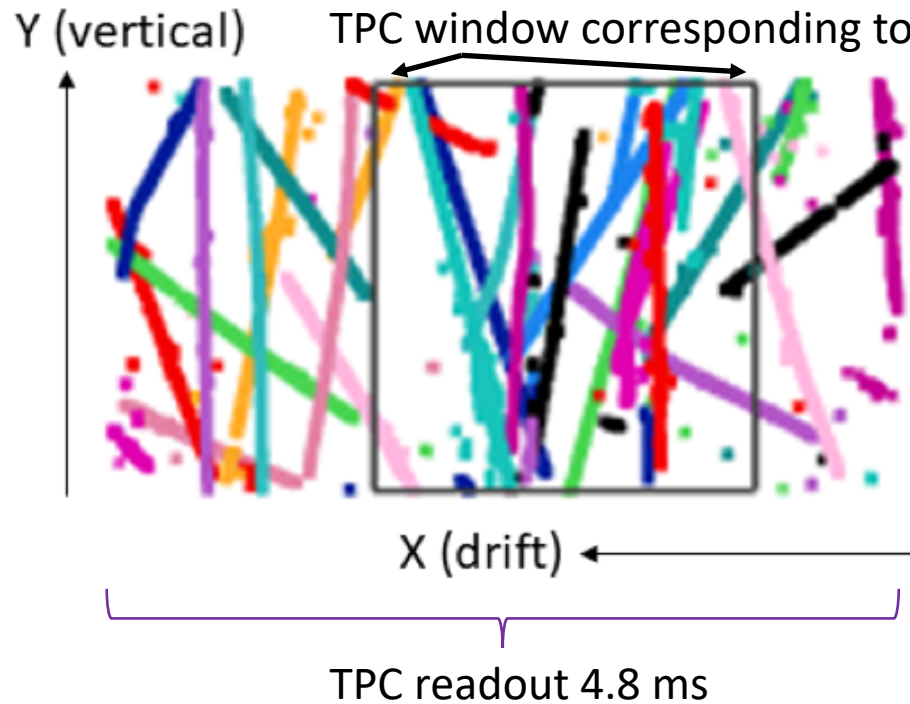
Events have neutrino interactions in TPC active volume

Such an efficient method to reconstruct clean & intact 3D images of neutrino activities has never been demonstrated before (at least in MicroBooNE)



Low visible energy (low energy or close to TPC boundary) events are challenging!

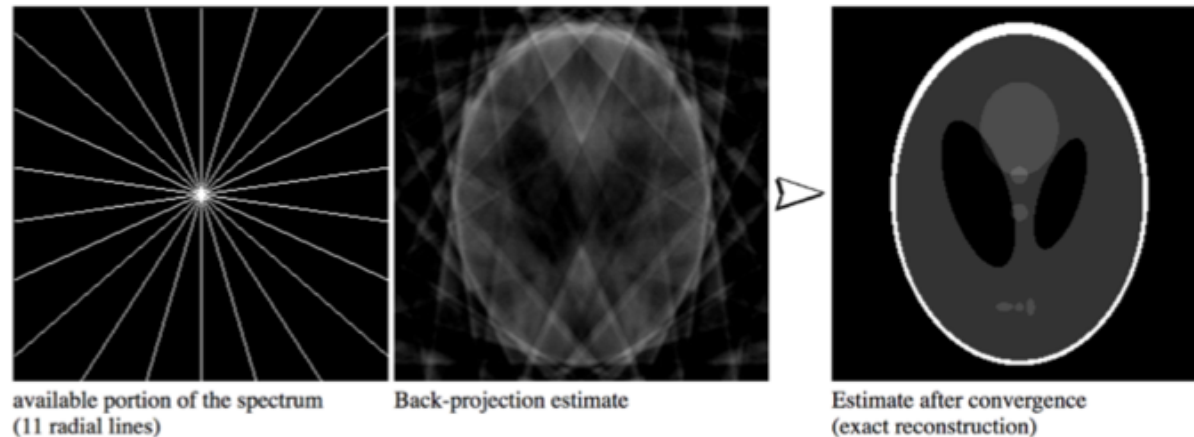
# TPC/charge-PMT/light Matching



# Compressed sensing (L1-regularized minimization)

A signal processing technique for efficiently reconstructing *sparse* signal, by finding solutions to *underdetermined linear systems*

E.g. tomography with sparse projections



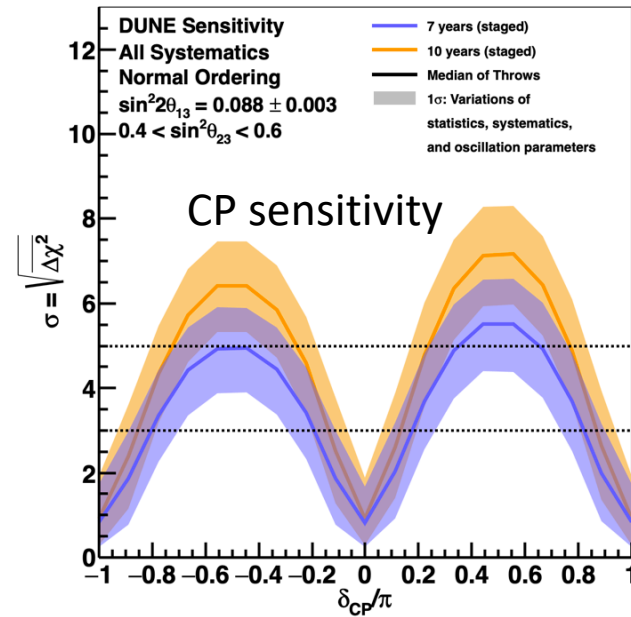
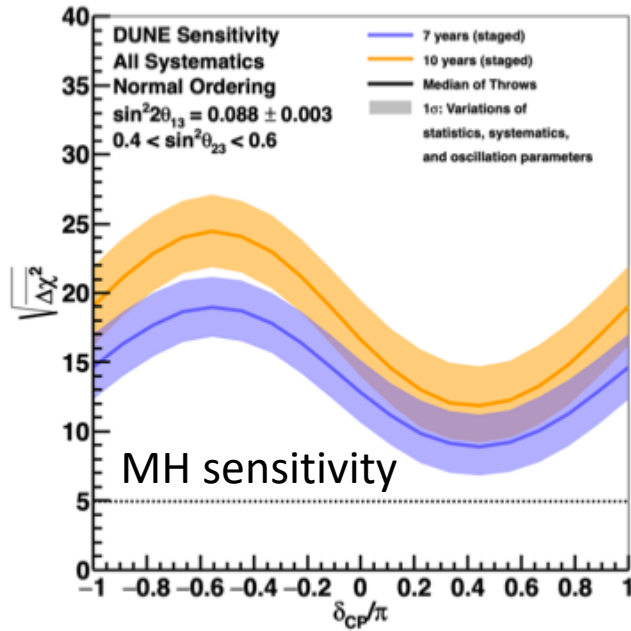
Enabled by the **sparse** LArTPC activities  
Also incorporate **positivity** (*ionization charge only drift towards wire plane – positive charge value*) and **proximity** (*continuous energy depositions*)

**L1-regularization (hours to mins for a MicroBooNE event)**

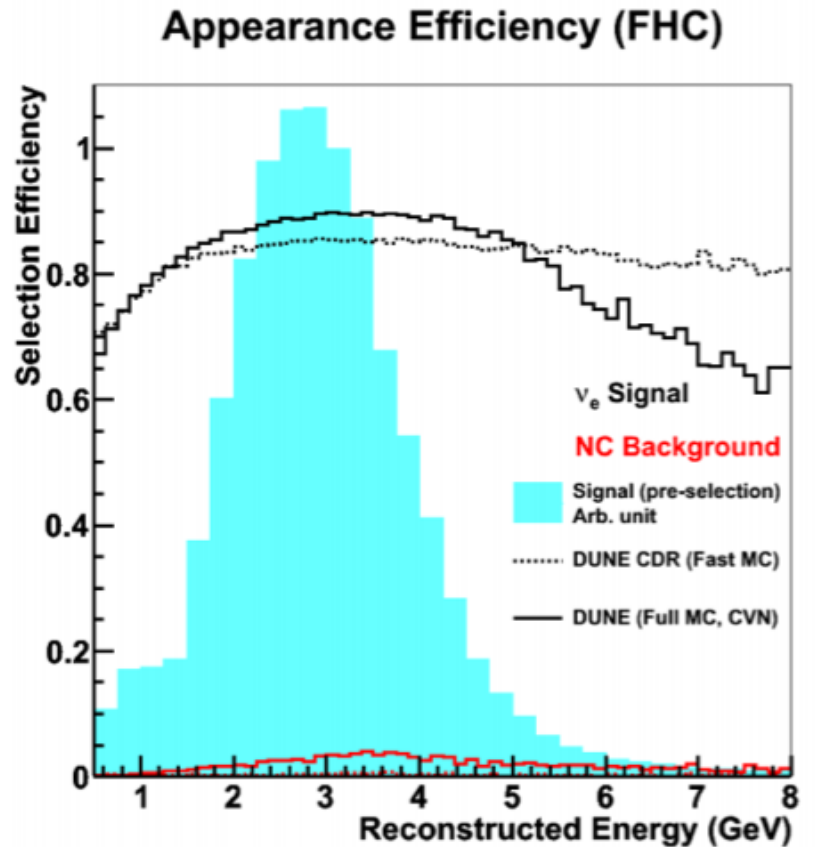
- ✓ Minimize  $\chi^2 = (y - Ax)^2 + \lambda \|x\|_1$ , **L1-norm is the sum of the absolute value of each element of vector  $x$**
- ✓ Convex, local minimum = global minimum [**fast algorithms**]

# DUNE Neutrino Oscillation Physics

DUNE TDR



The median (central) value of these sensitivity curves highly rely on the detection efficiency of  $\nu_e$  ( $\bar{\nu}_e$ )



This is a challenging event reconstruction task as shown in MicroBooNE.