



College | Physical Sciences  
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# The gluon Sivers function in heavy flavor dijet production at the EIC

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**Jets for 3D imaging at the EIC workshop**  
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# Gluon Sivers function (GSF)

- **Gauge link** dependent gluon TMDs

$$\Gamma^{[U,U']\mu\nu}(x, p_T; n) = \int \frac{d\xi \cdot P d^2\xi_T}{(2\pi)^3} e^{ip \cdot \xi} \langle P, S | F^{n\mu}(0) U_{[0,\xi]} F^{n\nu}(\xi) U'_{[\xi,0]} | P, S \rangle \Big|_{\text{LF}}$$

- **GSF: T-odd object; two gauge links; process dependence more involved**
- **For any process GSF can be expressed in terms of two functions:**

$$f_{1T}^{\perp g[U]}(x, \mathbf{k}_\perp^2) = \sum_{c=1}^2 C_{G,c}^{[U]} f_{1T}^{\perp g(Ac)}(x, \mathbf{k}_\perp^2)$$

(Buffing, Mukherjee, Mulders'13)

- $f_{1T}^{\perp g(f)}$  **f-type, C-even**
- $f_{1T}^{\perp g(d)}$  **d-type, C-odd**

calculable for each channel



$$f_{1T}^{\perp g[e p^\dagger \rightarrow e' Q Q X]}(x, p_T^2) = -f_{1T}^{\perp g[p^\dagger p \rightarrow \gamma\gamma X]}(x, p_T^2)$$

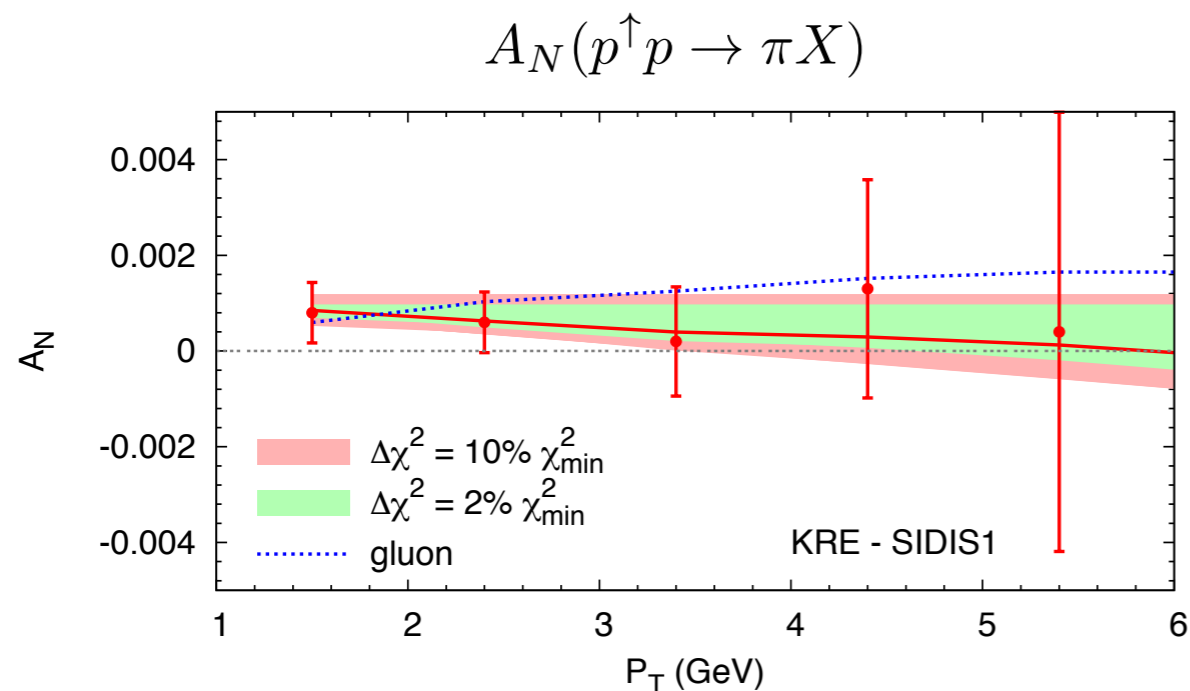
# Gluon Sivers function (GSF)

- Theory constrain from **Burkardt's sum rule**: sum of the transverse momenta of quarks and gluons in a transversely polarized nucleon is zero
- Various pp scattering processes suggested to probe GSF

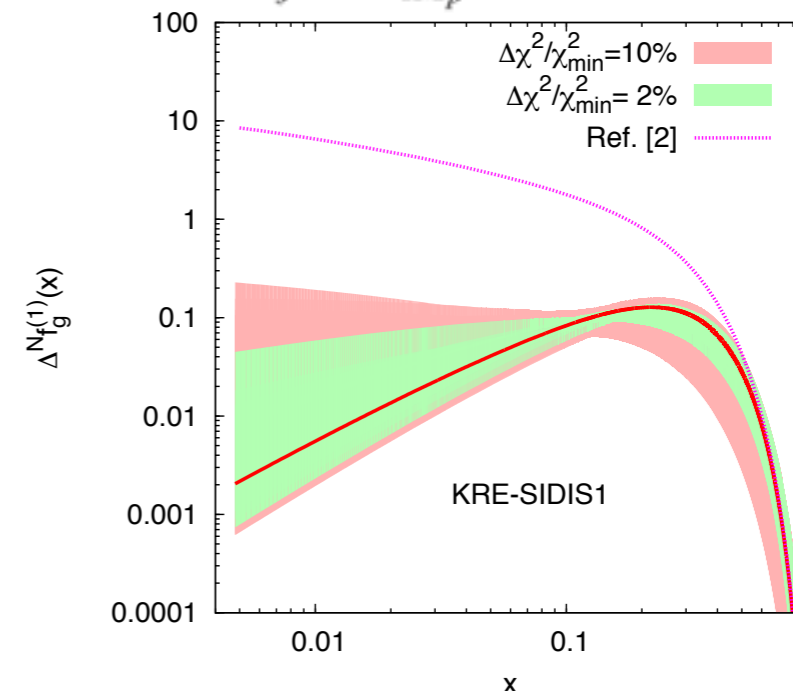
see a review (Boer, Lorce, Pisano, Zhou '15)

$$\begin{aligned}
 p^\uparrow p \rightarrow \text{jet jet} X & \quad p^\uparrow p \rightarrow D X & \quad p^\uparrow p \rightarrow \gamma X & \quad p^\uparrow p \rightarrow \gamma \text{jet} X & \quad p^\uparrow p \rightarrow \text{jet} X & \quad p^\uparrow p \rightarrow \pi \text{jet} X \\
 p^\uparrow p \rightarrow \bar{n}_{c/b} X & \quad p^\uparrow p \rightarrow Q\bar{Q} X & \quad p^\uparrow p \rightarrow D^0 \bar{D}^0 X & \quad p^\uparrow p \rightarrow J/\psi \gamma X & \quad p^\uparrow p \rightarrow J/\psi J/\psi X
 \end{aligned}$$

- **twist-3 collinear factorization, indirect constrain on GSF**
- **TMD factorization violation** (recent progress see Yuan's talk)
- **Within generalized parton model, first estimate of the GSF** D'Alesio, Murgia, Pisano '15



$$\Delta^N f_{g/p^\uparrow}^{(1)}(x) = \int d^2 k_\perp \frac{k_\perp}{4M_p} \Delta^N f_{g/p^\uparrow}(x, k_\perp) = -f_{1T}^{\perp(1)g}(x)$$

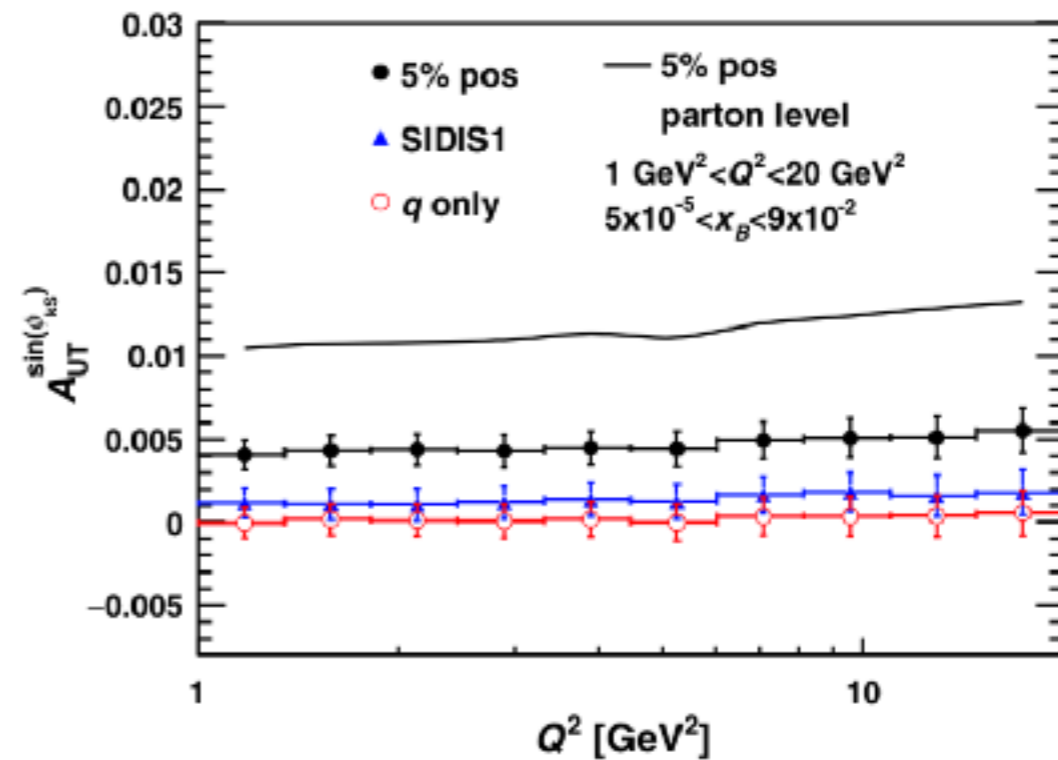
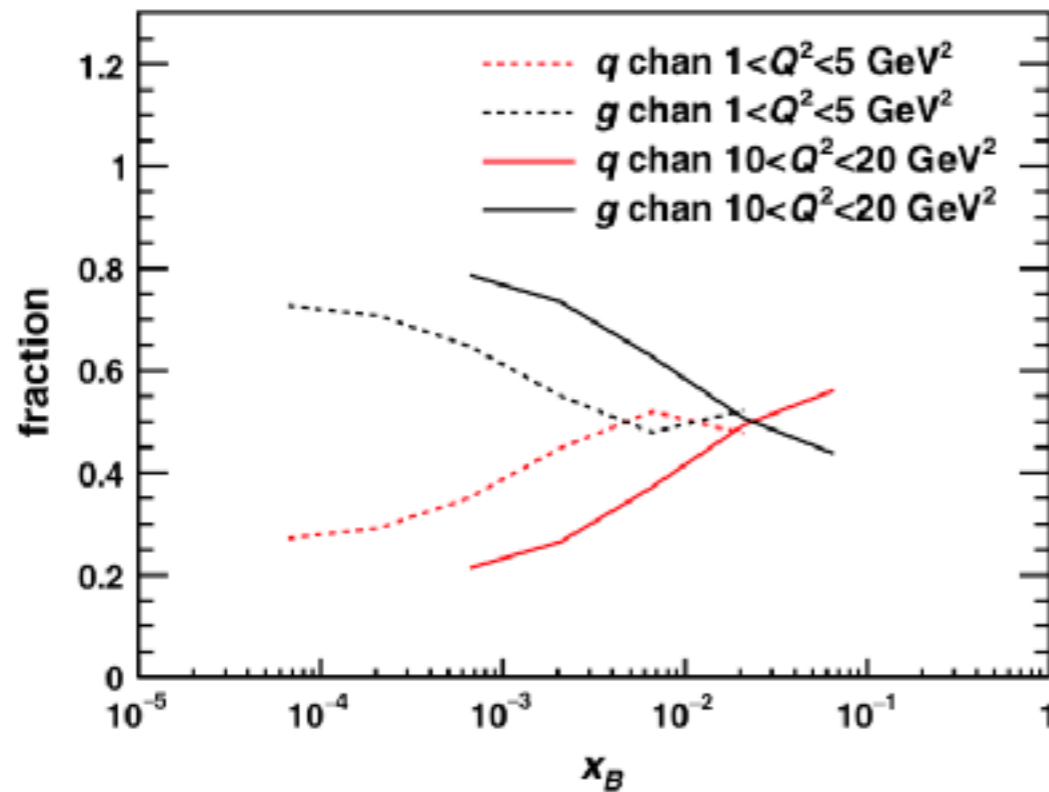


# GSF and spin asymmetry in di-jet at the EIC

At the EIC , accessing of GSF via high- $p_T$  dihadron, open di-charm, di-D-meson and dijet has been investigated using PYTHIA and reweighing methods in Zheng, Aschenauer, Lee, Xiao, Yin '18

- They find that dijet process is the most promising channel

At the LO di-jet production in DIS involves two processes:  $\gamma^* q \rightarrow qg$      $\gamma^* g \rightarrow q\bar{q}$

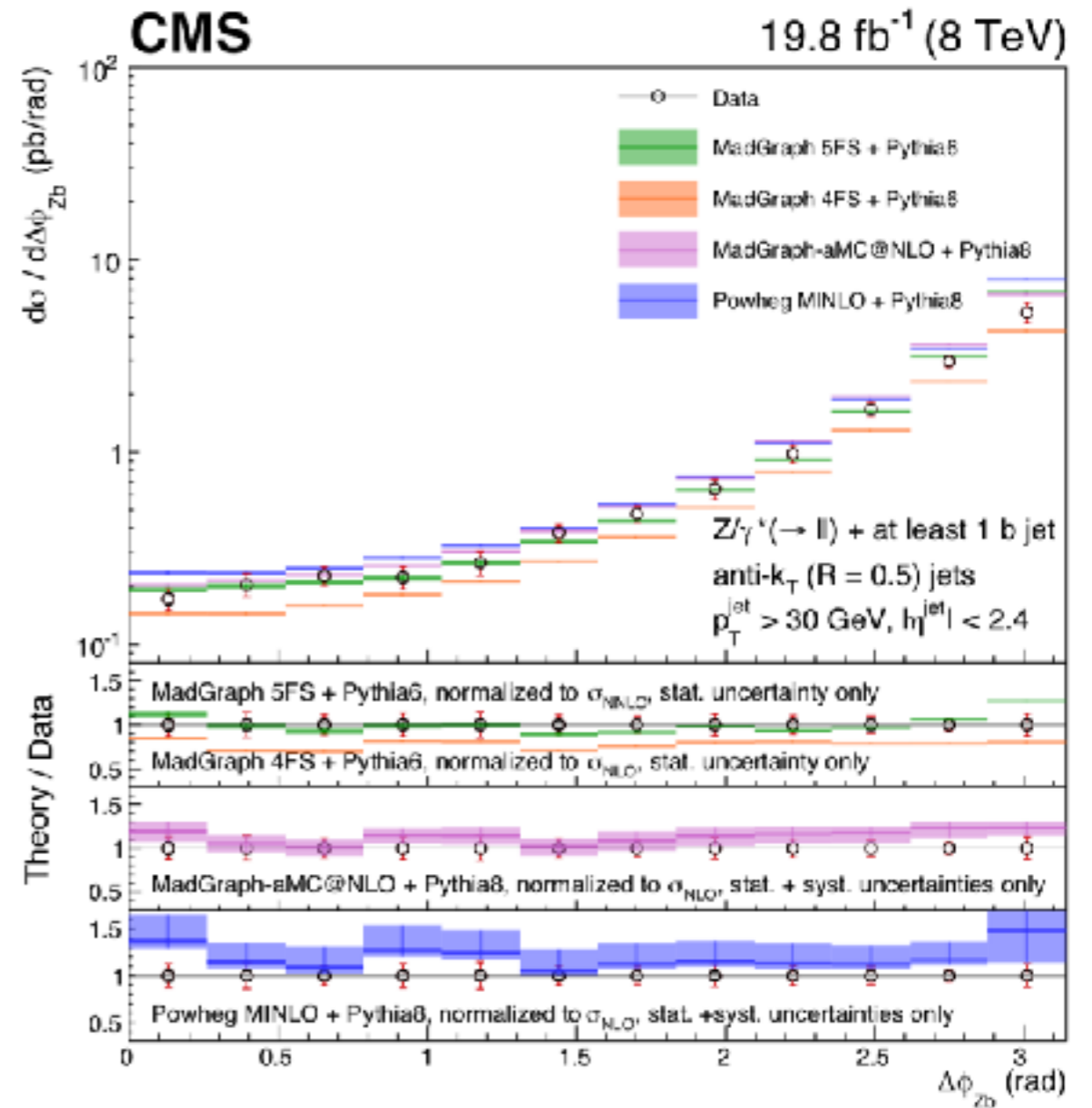
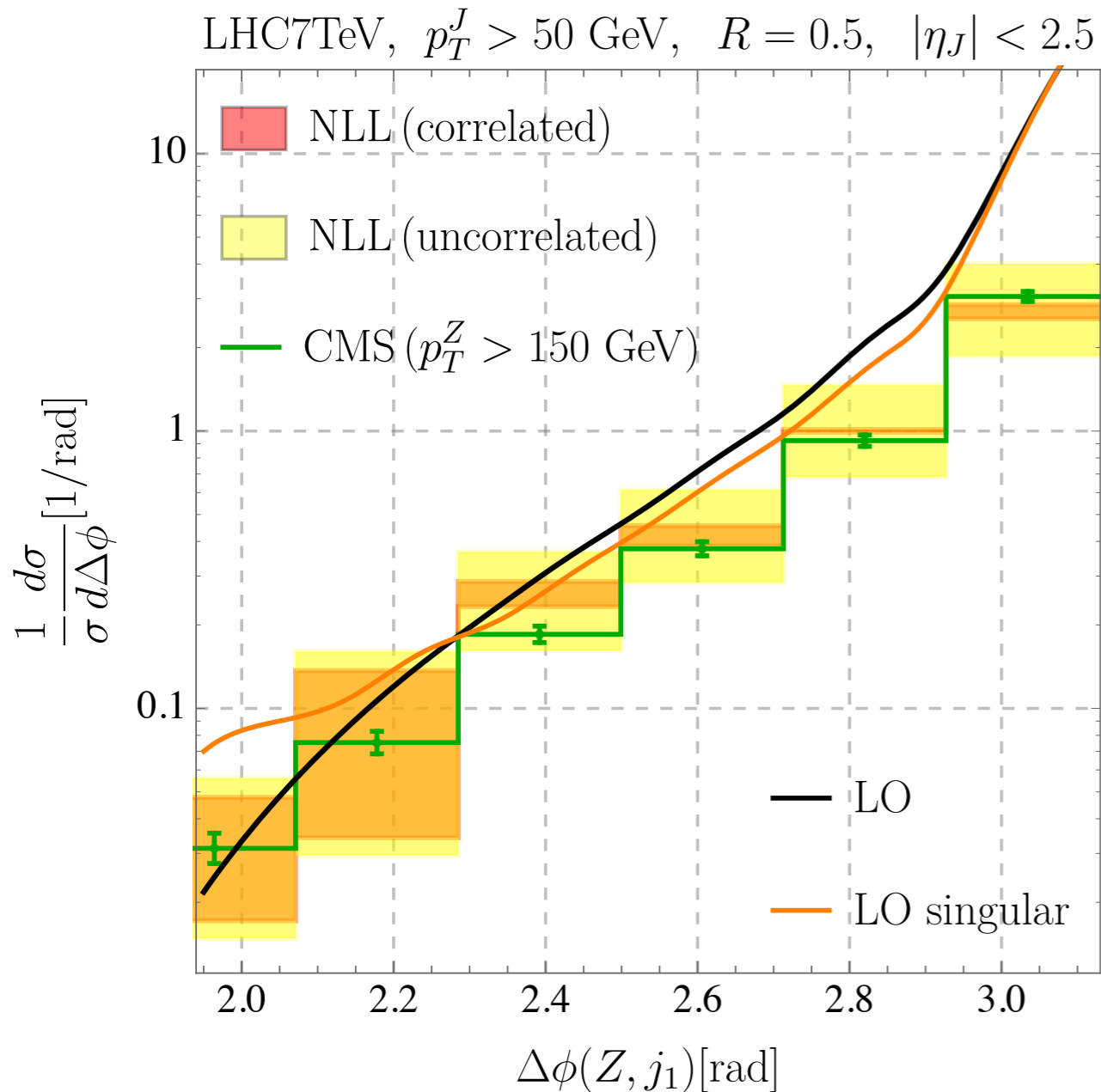


- to distinguish different TMDs
  - Jet substructure (e.g. jet charge “different quark TMDs” Kang, Liu, Mantry, DYS '20 PRL, see Liu' talk)
  - Heavy-flavor (HF) dijet processes, where q-channel starts to contribute beyond the LO (Kang, Reiten, DYS, Terry 2011.XXXXX)

# Jet TMD studies at the LHC

Chien, DYS & Wu '19

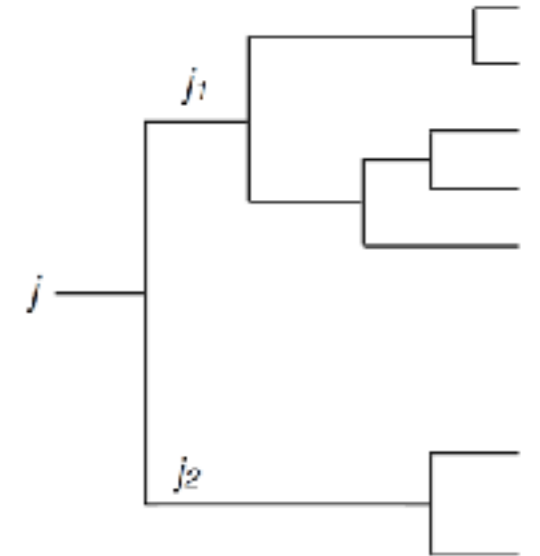
Eur. Phys. J. C 77 (2017) 751



(see also Sun,Yuan,Yuan '15; Buffing,Kang,Lee,Liu '18,...)

**All-order resummation results are consistent with the LHC data**

# TMD factorization for QCD jets



## Jet definition

Which particles get put together?

## Jet algorithm

(A new algorithm at the EIC see Arratia, Makris, Neill, Ringer '20)

How to combine their momenta?

## Recombination scheme

# TMD factorization for QCD jets

Recombination schemes in jet definitions:

**E-scheme:** add the four vectors  $p_r^\mu = p_i^\mu + p_j^\mu$  (see Yuan's talks)

Non-global in jet TMD resummation (Banfi, Dasgupta & Delenda '08)

$$q_T = \left| \sum_{i \notin \text{jets}} \vec{k}_{T,i} \right| + \mathcal{O}(k_T^2)$$

sum over all "soft" partons not combined with hard jets

deviation from  $q_T=0$  are only caused by particle flow outside the jet regions

**$p_T$ -scheme:**  $p_{t,r} = p_{t,i} + p_{t,j}$ , (see Waalewijn's talk)

(Ellis, Soper '93)

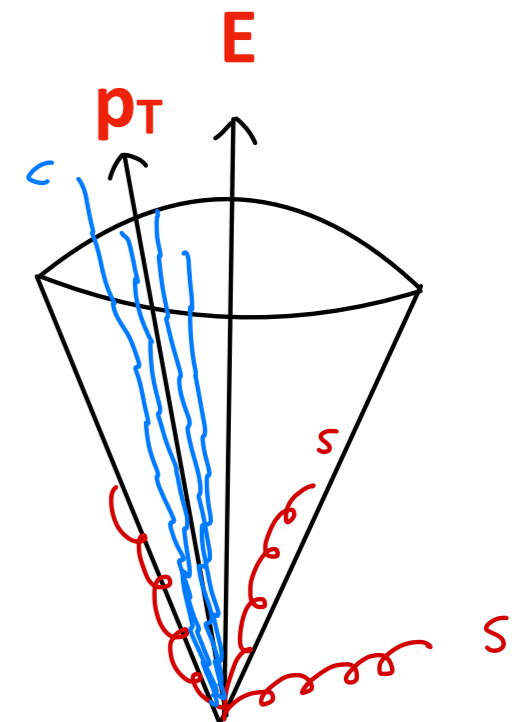
$$\phi_r = (w_i \phi_i + w_j \phi_j) / (w_i + w_j)$$

$$y_r = (w_i y_i + w_j y_j) / (w_i + w_j)$$

$$w_i = p_t^n$$

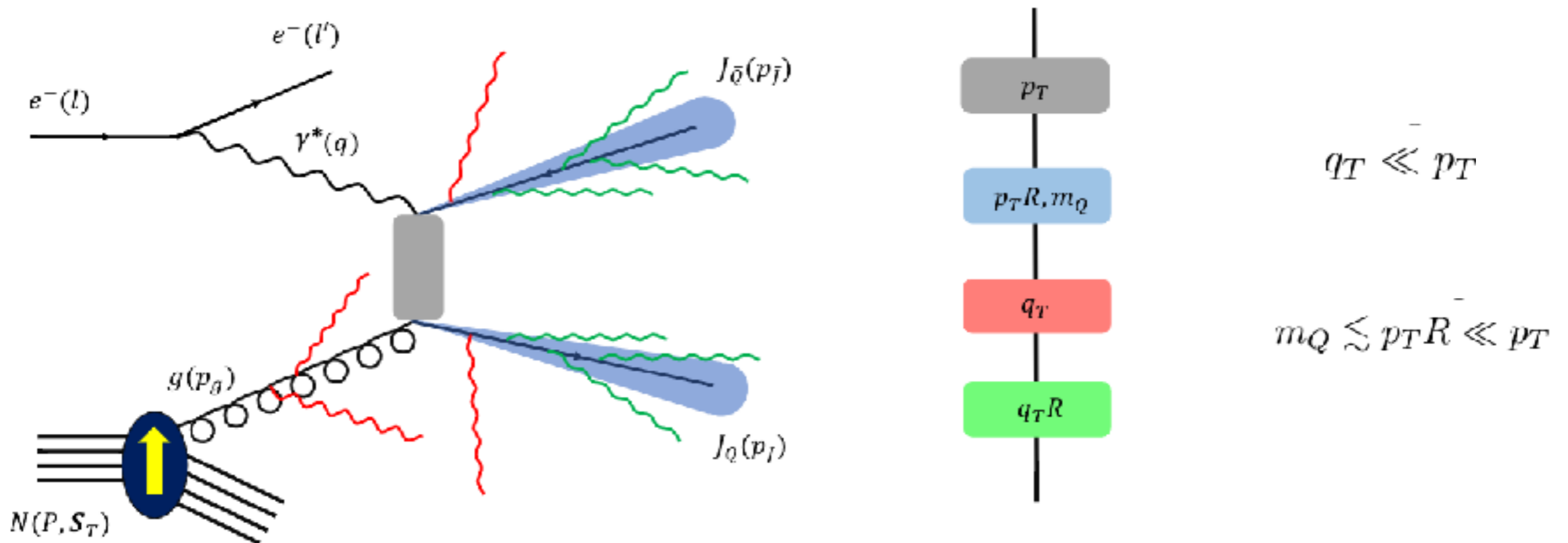
$n \rightarrow \infty$  (Winner-take-all scheme)

(Bertolini, Chan, Thaler '13)



# TMD factorization for heavy-flavor dijet production in DIS

(Kang, Reiten, DYS, Terry 2011.XXXXX)



the factorized form of the spin-independent cross section (ignore non-global structures)

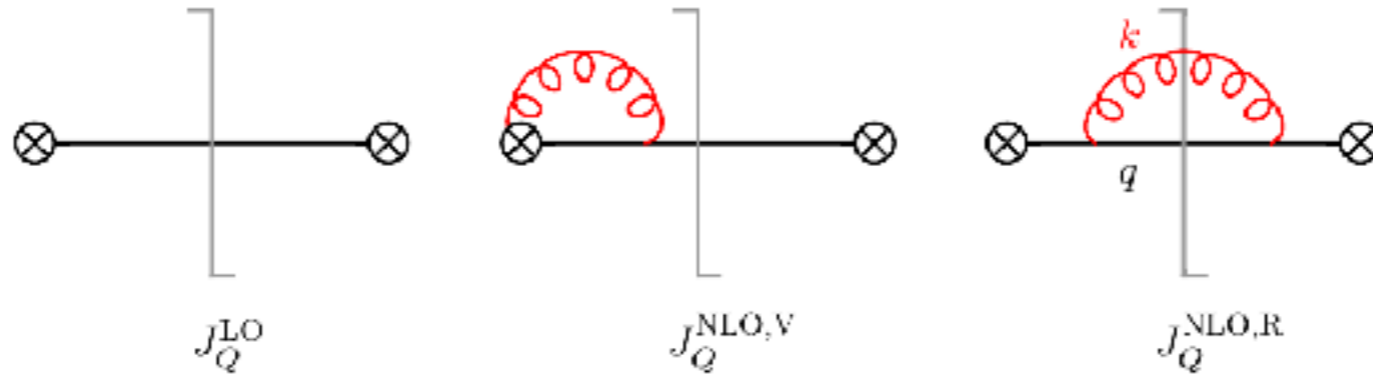
$$d\sigma^{UU} \sim H(Q, p_T) J_Q(p_T R, m_Q) J_{\bar{Q}}(p_T R, m_Q) S(\lambda_T) f_g(\mathbf{k}_T) S_Q^c(\mathbf{l}_{QT}) S_{\bar{Q}}^c(\mathbf{l}_{\bar{Q}T}) \delta^{(2)}(\mathbf{k}_T + \lambda_T + \mathbf{l}_{QT} + \mathbf{l}_{\bar{Q}T} - \mathbf{q}_T)$$

- Hard and **soft** functions are the same as light-jet cases, since  $p_T \gg m_Q$
- **Jet** and **collinear-soft** functions are new, which receive finite quark mass correction



# Heavy quark jet function

Collinear radiation only inside the jet cone:



**virtual correction:**  $J_Q^{\text{NLO,V}}(p_T R, m_Q, \epsilon) = \frac{\alpha_s}{4\pi} C_F \left[ \frac{2}{\epsilon^2} + \frac{1}{\epsilon} \left( 1 + 2 \ln \frac{\mu^2}{m_Q^2} \right) + \left( 1 + \ln \frac{\mu^2}{m_Q^2} \right) \ln \frac{\mu^2}{m_Q^2} + 4 + \frac{\pi^2}{6} \right]$

**real correction:**  $J_Q^{\text{NLO,R}}(p_T R, m_Q, \epsilon) = \frac{\alpha_s}{4\pi} C_F \left[ -2 \ln \left( \frac{m_Q^2 + p_T^2 R^2}{m_Q^2} \right) + 2 - \frac{2m_Q^2}{m_Q^2 + p_T^2 R^2} \right] \frac{1}{\epsilon} + J_Q^{\text{R,fin}}$

**After combining the real and virtual contributions, the logarithmic dependence of quark mass cancels**

$$Z^{J_Q} = 1 + \frac{\alpha_s}{4\pi} C_F \left[ \frac{2}{\epsilon^2} + \frac{1}{\epsilon} \left( 2 \ln \frac{\mu^2}{m_Q^2 + p_T^2 R^2} + 3 - \frac{2m_Q^2}{m_Q^2 + p_T^2 R^2} \right) \right]$$

(see also Kim '20)

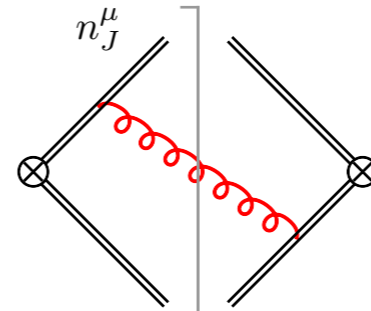
# TMD collinear-soft function

## collinear-soft function for the LF jet:

(Buffing, Kang, Lee, Liu '18; Chien, DYS, Wu '19)

$$p_{cs}^\mu \sim q_T(R^2, 1, R)_{n_J \bar{n}_J}$$

$$S_q^c(R, \mathbf{b}, \epsilon) = \sum_{X_t} e^{\frac{i}{2} p_{cs}^{\text{out}} \cdot \bar{n}_J n_{JT} \cdot b} \langle 0 | U_{\bar{n}_J}^\dagger(0) U_{n_J}^\dagger(0) | X_{cs} \rangle \langle X_{cs} | U_{\bar{n}_J}(0) U_{n_J}(0) | 0 \rangle_{\bar{n}_J^\mu}$$

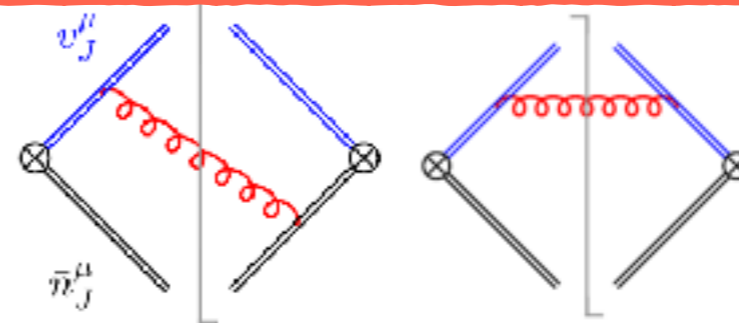


$$n_J^\mu = (1, 0, 0, 1), \quad \bar{n}_J = (1, 0, 0, -1)$$

$$n_J^2 = \bar{n}_J^2 = 0$$

## collinear-soft function for the HF jet:

**HF jet momentum:**  $p_{J_Q}^\mu = m_Q v_J^\mu + k_{cs}^\mu$



$$v_J^\mu = \frac{\omega_J}{m_Q} \frac{n_J^\mu}{2} + \frac{m_Q}{\omega_J} \frac{\bar{n}_J^\mu}{2}$$

$$v_J^2 = 1, \quad \bar{n}_J^2 = 0$$

$$S_Q^c(R, \mathbf{b}, m_Q, \epsilon) = \sum_{X_t} e^{\frac{i}{2} p_{cs}^{\text{out}} \cdot \bar{n}_J n_{JT} \cdot b} \langle 0 | U_{\bar{n}_J}^\dagger(0) U_{v_J}^\dagger(0) | X_{cs} \rangle \langle X_{cs} | U_{\bar{n}_J}(0) U_{v_J}(0) | 0 \rangle$$

## At one-loop order:

$$S_{Q, \text{NLO}}^c(b, \epsilon) = 2C_F w_{\bar{n}_J v_J} - C_F w_{v_J v_J} \quad w_{\alpha\beta} = \frac{\alpha_s \mu^{2\epsilon} \pi^\epsilon e^{\epsilon\gamma_E}}{2\pi^2} \int d^d k \delta^+(k^2) e^{-i\bar{n}_J \cdot k n_J \cdot b/2} \frac{\alpha \cdot \beta}{\alpha \cdot k k \cdot \beta} \theta \left[ \frac{n_J \cdot k}{\bar{n}_J \cdot k} - \left( \frac{R}{2 \cosh y_J} \right)^2 \right]$$

$$w_{\bar{n}_J v_J} = \frac{\alpha_s}{4\pi} \left[ -\frac{1}{\epsilon^2} - \frac{1}{\epsilon} \left( \ln \frac{\mu^2}{\mu_b^2} + 2 \ln \frac{-2i \cos(\phi_b - \phi_J)}{R} - \ln \frac{m_Q^2 + p_T^2 R^2}{p_T^2 R^2} \right) \right] + w_{\bar{n}_J v_J}^{\text{fin}}$$

$$w_{v_J v_J} = \frac{\alpha_s}{4\pi} \left[ -\frac{1}{\epsilon} \left( \frac{2m_Q^2}{m_Q^2 + p_T^2 R^2} \right) \right] + w_{v_J v_J}^{\text{fin}}$$

# RG consistence at one loop

**Anomalous dimension for the HF quark jet function:**

$$\Gamma^{jQ}(\alpha_s) = -C_F \gamma^{\text{cusp}}(\alpha_s) \ln \frac{m_Q^2 + p_T^2 R^2}{\mu^2} + \gamma^{jQ}(\alpha_s) \quad \gamma_0^{jQ} = 2C_F \left( 3 - \frac{2m_Q^2}{m_Q^2 + p_T^2 R^2} \right)$$

**Anomalous dimension for the HF collinear-soft function**

$$\Gamma^{csQ}(\alpha_s) = C_F \gamma^{\text{cusp}}(\alpha_s) \ln \frac{R^2 \mu_b^2}{\mu^2} + \gamma^{csQ}(\alpha_s) \quad \gamma_0^{csQ} = -4C_F \left[ 2 \ln [-2i \cos(\phi_b - \phi_J)] - \frac{m_Q^2}{m_Q^2 + p_T^2 R^2} - \ln \frac{m_Q^2 + p_T^2 R^2}{p_T^2 R^2} \right]$$

**Heavy-quark mass dependence cancels out in**

$$\Gamma^{jQ} + \Gamma^{csQ} = \Gamma^{j_q} + \Gamma^{cs_q}$$

**Then one can easily verify RG consistence at one-loop order**

$$\Gamma^h + \Gamma^s + \Gamma^{f_g} + 2(\Gamma^{j_q} + \Gamma^{cs_q}) = 0 \quad \longrightarrow \quad \Gamma^h + \Gamma^s + \Gamma^{f_g} + 2(\Gamma^{jQ} + \Gamma^{csQ}) = 0$$

Castil, Echevarria, Makris, Scimemi '20

**Heavy quark mass will contribute the RG evolution between jet and collinear-soft function different from the case for the inclusive HF quark jet production Dai, Kim, Leibovich '18.**

# RG evolution and resummation

- **Resummation formula:**

$$\frac{d\sigma^{UU}}{dQ^2 dy d^2\mathbf{q}_T dy_J d^2\mathbf{p}_T} = H(Q, p_T, y_J, \mu_h) \int_0^\infty \frac{bdb}{2\pi} J_0(b q_T) f_{g/N}(x_g, \mu_{b*})$$

$$\times \exp \left[ - \int_{\mu_{b*}}^{\mu_h} \frac{d\mu}{\mu} \Gamma^h(\alpha_s) - 2 \int_{\mu_{b*}}^{\mu_j} \frac{d\mu}{\mu} \Gamma^{jQ}(\alpha_s) - 2 \int_{\mu_{b*}}^{\mu_{cs}} \frac{d\mu}{\mu} \Gamma^{csQ}(\alpha_s) \right]$$

$$\times \exp [-S_{\text{NP}}(b, Q_0, n \cdot p_g)]$$

- **b\*-prescription to avoid Landau pole**  $b_* = b / \sqrt{1 + b^2 / b_{\text{max}}^2}$   $\mu_{b_*} = 2e^{-\gamma_E} / b_*$

- **Non-perturbative model:**  $S_{\text{NP}}(b, Q_0, n \cdot p_g) = g_1^f b^2 + \frac{g_2 C_A}{2 C_F} \ln \frac{n \cdot p_g}{Q_0} \ln \frac{b}{b_*}$

Sun, Isaacson, Yuan, Yuan '14

- **Typical scales:**  $\mu_h \sim p_T$ ,  $\mu_j \sim R p_T$ ,  $\mu_{cs} \sim R \mu_{b_*}$

# RG evolution and resummation

- **QCD evolution between soft and collinear-soft scale**

$$\Gamma^{csQ}(\alpha_s) = C_F \gamma^{\text{cusp}}(\alpha_s) \ln \frac{R^2 \mu_b^2}{\mu^2} + \gamma^{csQ}(\alpha_s) \quad \gamma_0^{csQ} = -4C_F \left[ 2 \ln[-2i \cos(\phi_b - \phi_J)] - \frac{m_Q^2}{m_Q^2 + p_T^2 R^2} - \ln \frac{m_Q^2 + p_T^2 R^2}{p_T^2 R^2} \right]$$

$$\exp \left[ - \int_{\mu_b}^{\mu_{cs}} \frac{d\mu}{\mu} \Gamma^{csQ}(\alpha_s) \right] \longrightarrow |\cos(\phi_b - \phi_J)|^{\frac{4C_F}{\beta_0} \log \frac{\alpha_s(\mu_b)}{\alpha_s(\mu_{cs})}}$$

- $\Delta\phi_{bJ}$  **integral is convergent only if**

$$-1 < p(\mu_b, \mu_{cs}) \equiv \frac{4C_F}{\beta_0} \log \frac{\alpha_s(\mu_b)}{\alpha_s(\mu_{cs})}$$

- **One encounters such a divergence when the collinear-soft scale approaches to the non-perturbative region**
- **different schemes to avoid such problem:**
  - **turn off the QCD evolution between soft and collinear-soft** [Liu,Ringer,Vogelsang,Yuan '19](#)
  - $\Delta\phi_{bJ}$  **averaging** [Buffing,Kang,Lee,Liu '18, Kang, Kyle, DYS, Terry '20](#)
  - $\Delta\phi_{bJ}$  **dependent collinear-soft scale choice** [Chien, DYS, Wu '19](#)

# Spin dependent cross section

- Resummation formula:

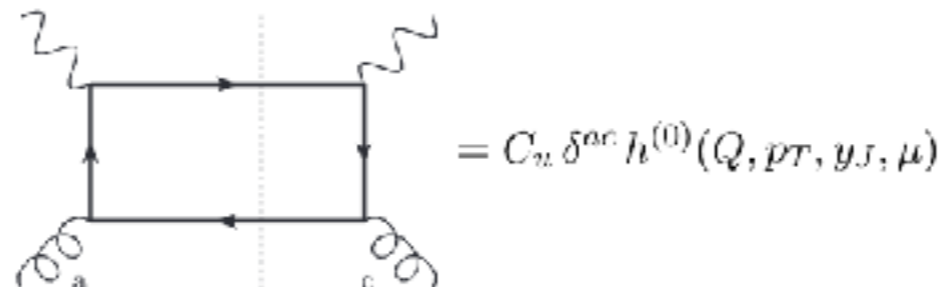
$$\frac{d\sigma^{UT}(\mathcal{S}_T)}{dQ^2 dy d^2\mathbf{q}_T dy_J d^2\mathbf{p}_T} = \sin(\phi_q - \phi_s) H(Q, p_T, y_J, \mu_h) \int_0^\infty \frac{b^2 db}{4\pi} J_1(b q_T) f_{1T,g/p}^\perp(x_g, \mu_{b*})$$

$$\times \exp \left[ - \int_{\mu_{b*}}^{\mu_h} \frac{d\mu}{\mu} \Gamma^h(\alpha_s) - 2 \int_{\mu_{b*}}^{\mu_j} \frac{d\mu}{\mu} \Gamma^j(\alpha_s) - 2 \int_{\mu_{b*}}^{\mu_{cs}} \frac{d\mu}{\mu} \Gamma^{cs}(\alpha_s) \right]$$

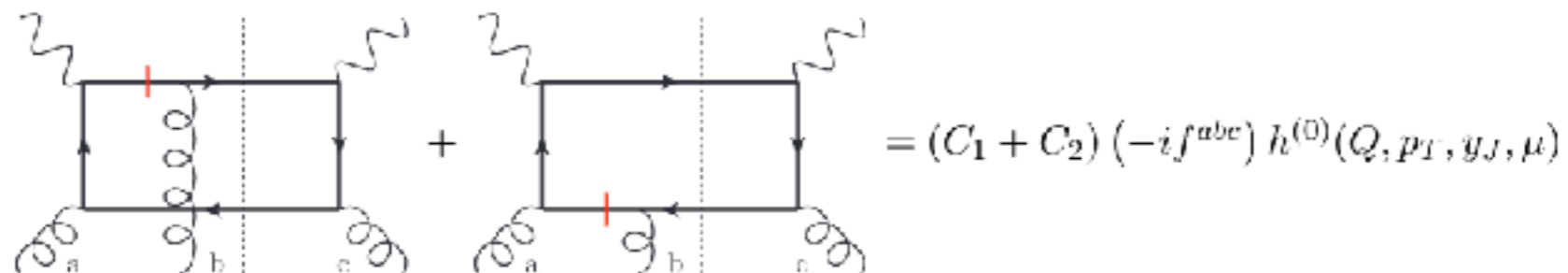
$$\times \exp \left[ -S_{\text{NP}}^\perp(b, Q_0, n \cdot p_g) \right]$$

- Polarized hard function: For the polarized process, we must consider the attachment of an additional gluon from gauge link in GSF

unpolarized:



polarized:



polarized and unpolarized hard functions are the same  $C_1 + C_2 = C_u$

f-type gluon Sivers function

# Numerical results

Anti- $k_T$ ,  $R=0.6$

c-jets:  $5 \text{ GeV} < p_T < 10 \text{ GeV}$ ,  $|\eta_J| < 4.5$ ,

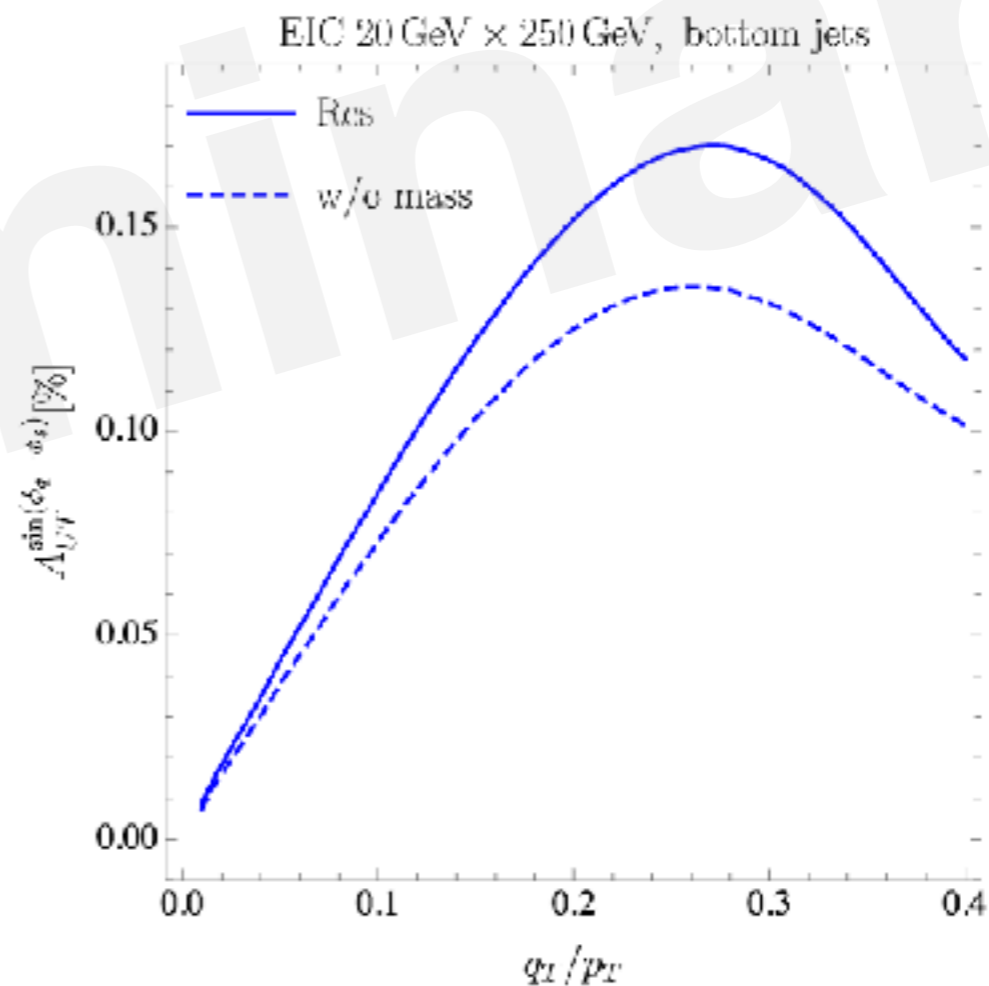
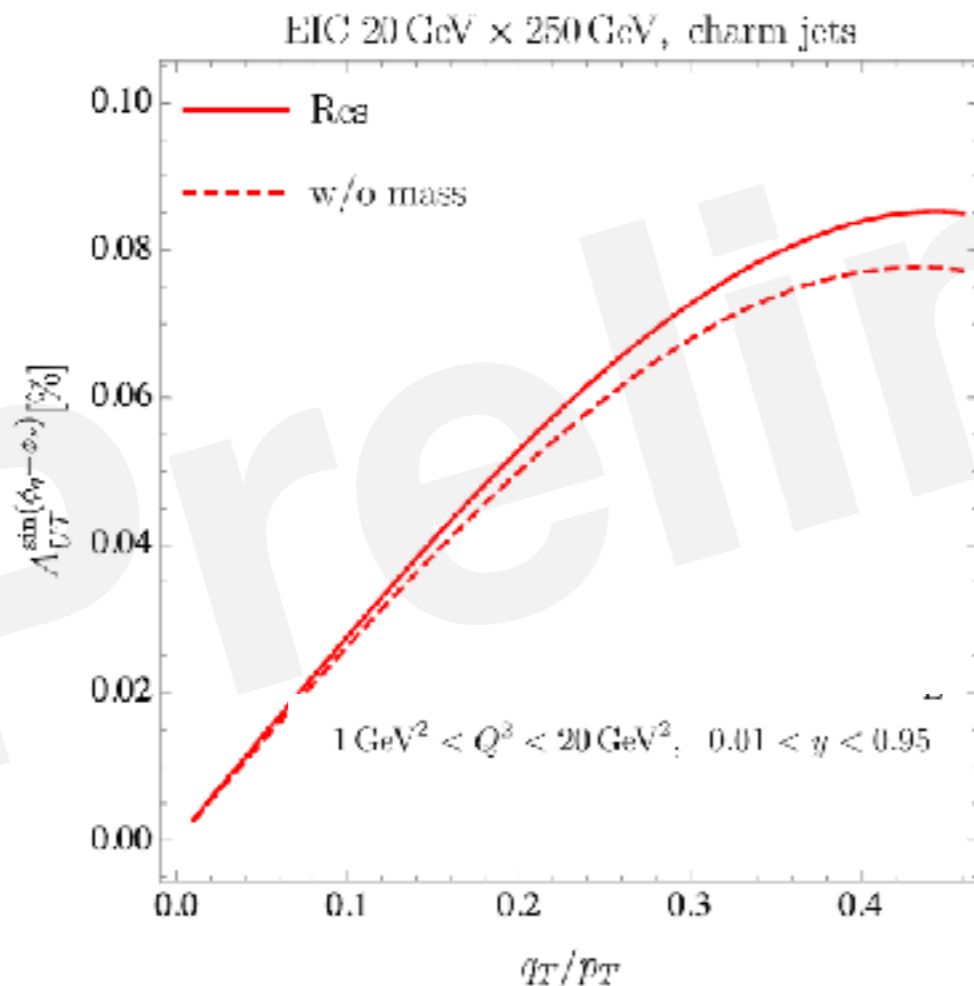
b-jets:  $10 \text{ GeV} < p_T < 15 \text{ GeV}$ ,  $|\eta_J| < 4.5$ ,

$$d\sigma(\mathbf{S}_T) = d\sigma^{UU} + \sin(\phi_q - \phi_s) d\sigma^{UT}$$

$$A_{UT}^{\sin(\phi_q - \phi_s)} = \frac{d\sigma^{UT}}{d\sigma^{UU}}$$

GSF: SIDIS1 set

D'Alesio, Murgia, Pisano '15



**Heavy quark mass give sizable corrections to the predicted asymmetry**

# Conclusion

- **We develop the TMD factorization formalism for heavy flavor dijet production in electron polarized proton collisions.**
- **We consider heavy flavor mass correction in the collinear-soft and jet functions, as well as the associated evolution equations.**
- **We generate a prediction for the gluon-Sivers asymmetry for charm and bottom dijet production at the future Electron-Ion Collider.**
- **After comparing our theoretical prediction with and without considering the heavy-flavor mass effects, we find that these effects give sizable corrections to the predicted asymmetry.**

**Thank you**