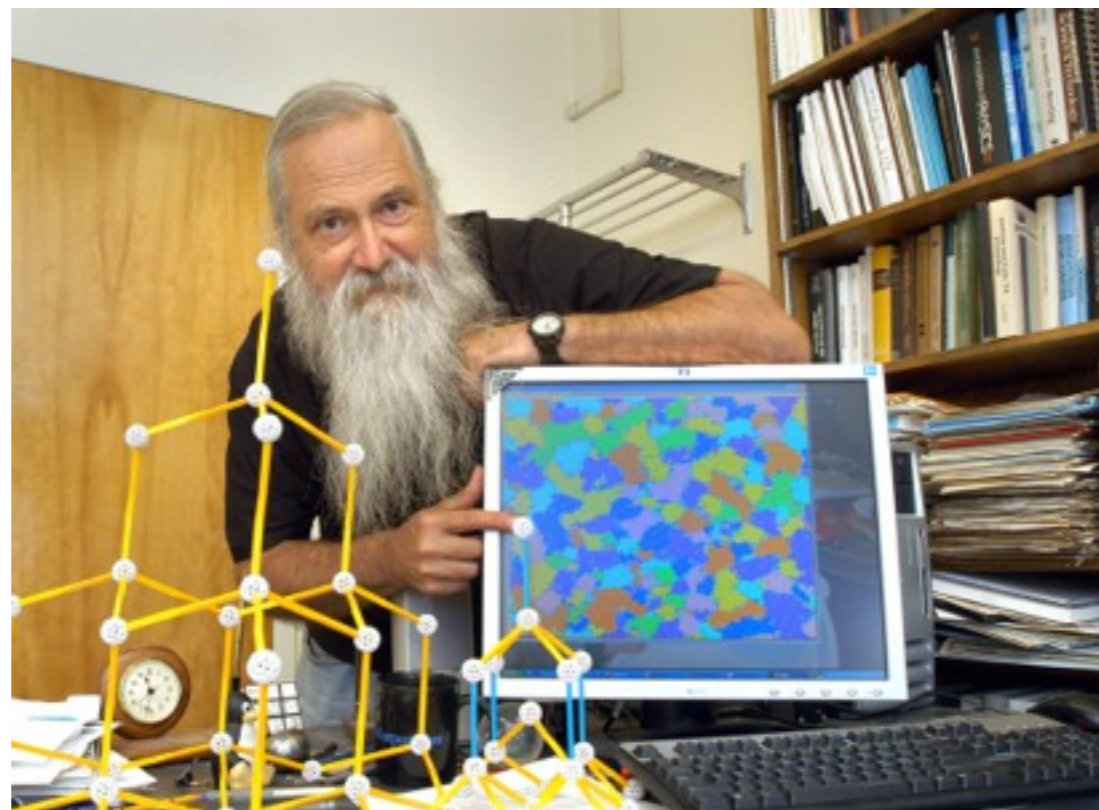


# Applying chiral perturbation theory to LQCD: successes and challenges



Steve Sharpe  
University of Washington



# Outline

- Inspired by Mike
- Playing catch-up with Mike, round 1
- Playing catch-up with Mike, round 2
- Some open questions

# Inspired by Mike

- Back in the day (1979-83 at LBL)
  - There was no tex or email (or PCs)!
  - Preprints arrived by snail-mail in a “preprint room”

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  - This was fun, but there was a very unsatisfying model dependence
  - I wanted to **really** calculate nonperturbative properties in QCD
- So, I started reading preprints....
  - ...and was excited & inspired by the flood of papers on LQCD

# Inspired by Mike

## 1979



BNL-26307

Monte Carlo Study of Abelian Lattice Gauge Theories

Michael Creutz, Laurence Jacobs\* and Claudio Rebbi

Physics Department

Brookhaven National Laboratory

Upton, New York 11973

### Abstract

Using Monte Carlo techniques, we study the thermodynamics of four-dimensional Euclidian lattice gauge theories, with gauge groups  $Z_N$  and  $U(1)$ . For  $N \leq 4$  the models exhibit a single first order phase transition while for  $N \geq 5$  we observe two transitions of higher order. As  $N$  increases, one of these transitions moves toward zero temperature, whereas the other remains at finite temperature and survives in the  $U(1)$  limit. The behavior of the Wilson loop factor is also analyzed for the  $Z_2$  and  $Z_6$  models.

# Inspired by Mike

1980

BNL 27752



Asymptotic Freedom Scales

Michael Creutz  
Physics Department  
Brookhaven National Laboratory  
Upton, New York 11974

## Abstract

Using Monte Carlo methods with Wilson's lattice cutoff, I calculate the asymptotic freedom scales of SU(2) and SU(3) gauge theories without quarks.

# Inspired by Mike

1981

BNL 29840



Numerical Studies of Gauge Field Theories\*

Michael Creutz  
Physics Department  
Brookhaven National Laboratory  
Upton, Upton 11973

## INTRODUCTION

Monte Carlo simulation of statistical systems is a well established technique of the condensed matter physicist. In the last few years, particle theorists have rediscovered this method and are having a marvelous time applying it to quantized gauge field theories. The main result has been strong numerical evidence that the standard SU(3) non-Abelian gauge theory of the strong interaction is capable of simultaneously confining quarks into the physical hadrons and exhibiting asymptotic freedom, the phenomenon of quark interactions being small at short distances.



# Inspired by Mike

BNL 31488

## 1982



Numerical Studies of Wilson Loops in  
SU(3) Gauge Theory in Four Dimensions

Michael Creutz

Brookhaven National Laboratory  
Upton, New York 11973

and

K. J. M. Moriarty

Department of Mathematics  
Royal Holloway College  
Englefield Green, Surrey, TW20 OEX, U.K.

### Abstract

Monte Carlo simulations are used to calculate Wilson loops for pure SU(3) gauge theory on a  $6^4$  lattice. Previous measurements of the scale parameter  $\Lambda_0$  are improved.

# Inspired by Mike



# Inspired by Mike

[Creutz & Moriarty, 82]

Creutz ratios  
show  
confinement!

$$\chi(I, J) \xrightarrow{I, J \rightarrow \infty} a^2 \sigma$$

$$a^2 \approx e^{-1/(\beta_0 g^2)}$$

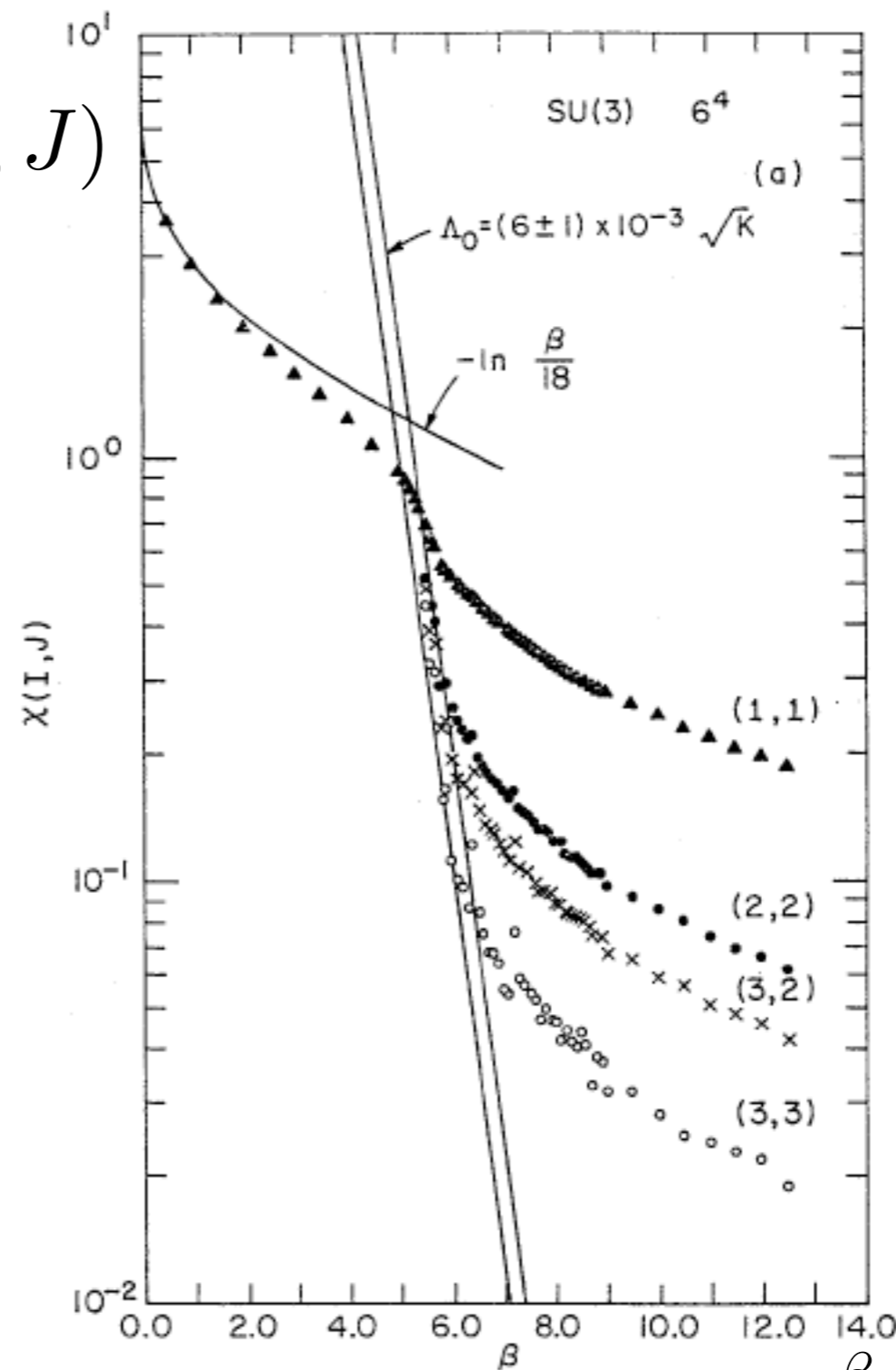


Fig. 4

$$\beta = \frac{6}{g^2}$$

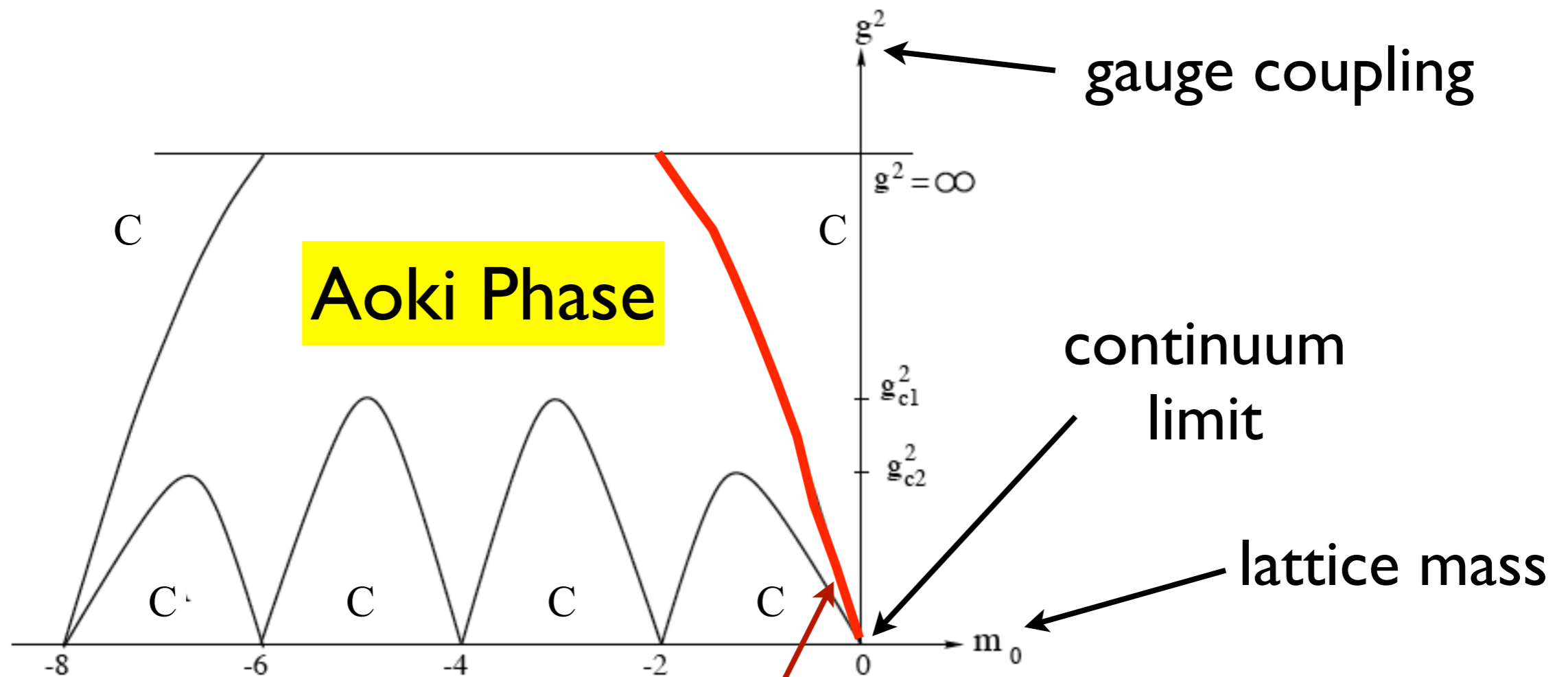
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# Playing catch-up, round 1

- Mike has long been interested in interconnections between lattice fermions, chiral symmetry, discretization errors, topology and the  $\theta$ -term
- One example: how can one obtain a massless triplet of pions in  $N_f=2$  lattice QCD with Wilson fermions, given the absence of chiral symmetry?
  - [Aoki 1989] proposed a solution: the Aoki phase ( $\pi_0$  condensation)
  - Spontaneous flavor & parity (& CP) breaking on the lattice leads to exactly massless Goldstone bosons
  - Supported by arguments from strong coupling and by simulations

# LQCD phase diagram (Wilson fermions)



Critical line along which  $M_\pi = 0$

# Aoki vs 1st-order scenario

- [SS + Singleton, 98] analyzed the vacuum structure using “Wilson Chiral Perturbation Theory”
  - Low-energy effective theory of LATTICE QCD (with Wilson fermions)

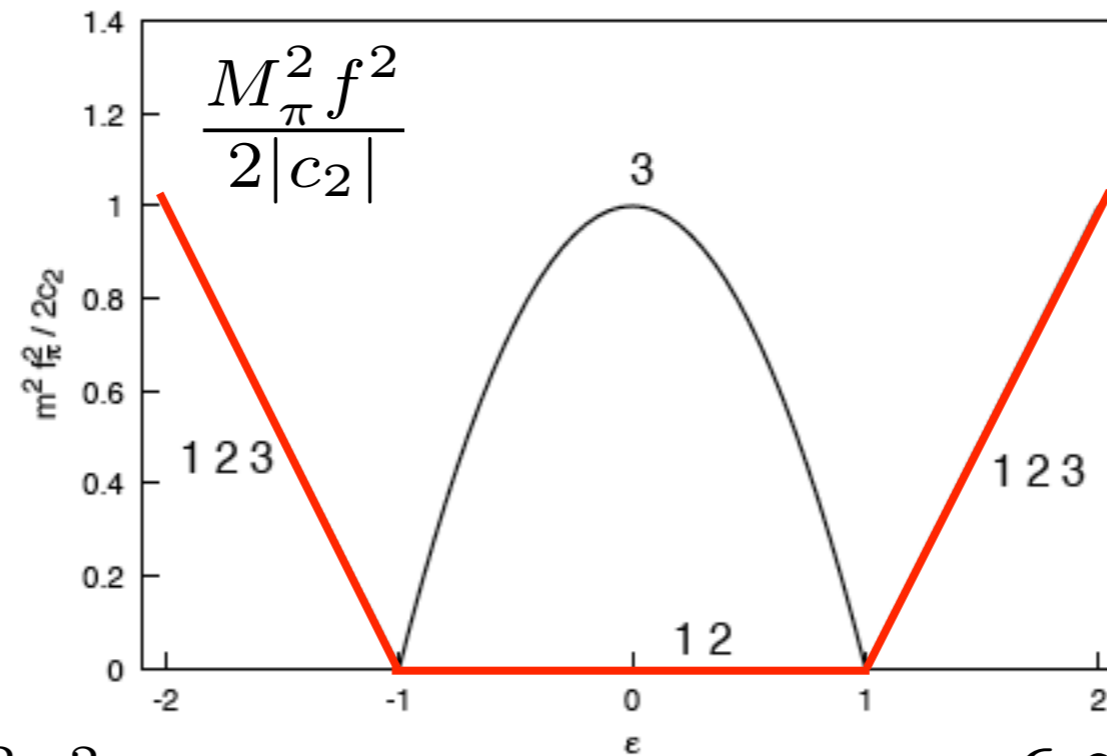
$$\mathcal{L}_\chi^{(LO)} = \frac{f^2}{4} \langle \partial_\mu \Sigma \partial_\mu \Sigma^\dagger \rangle - \frac{f^2}{4} \chi \langle \Sigma + \Sigma^\dagger \rangle + \frac{c_2}{16} \langle \Sigma + \Sigma^\dagger \rangle^2$$

$\Sigma = \exp(i\vec{\pi} \cdot \vec{\tau}/f)$        $2\mathcal{B}_0\mathcal{M}$        $\sim a^2 \Lambda^6$

- Sign of low-energy coefficient  $c_2$  determines phase structure

# Aoki vs 1st-order scenario

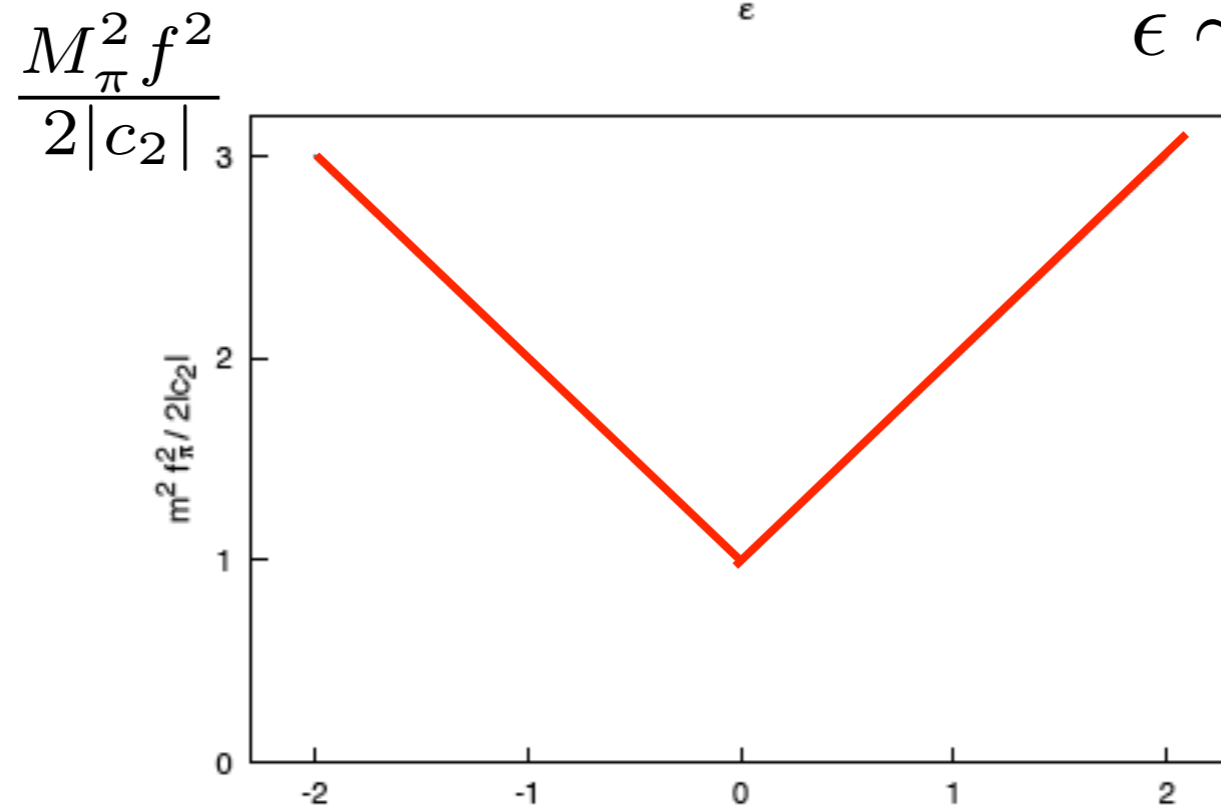
$c_2 > 0$



Aoki-phase

$$\epsilon \sim m/a^2$$

$c_2 < 0$

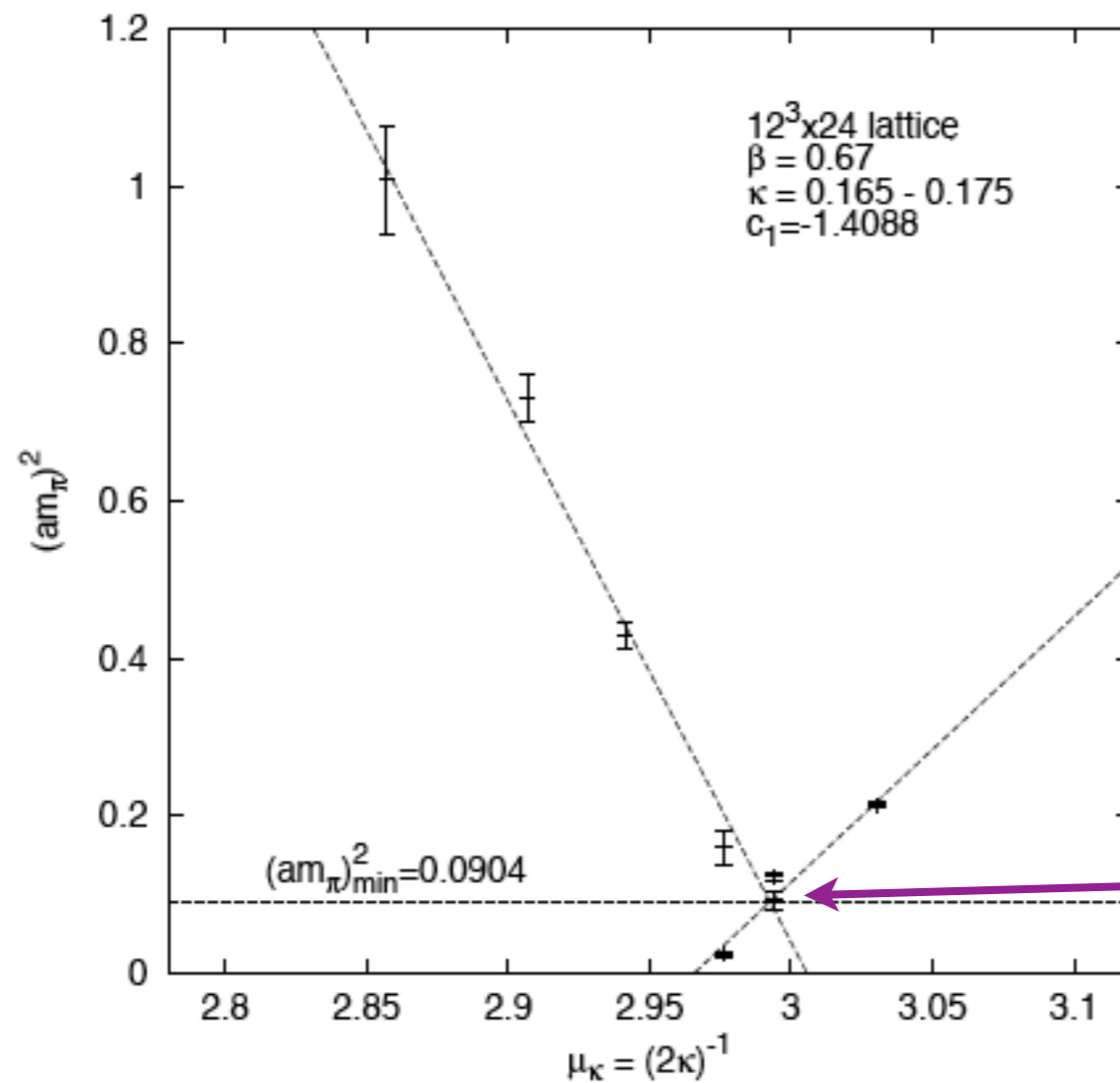


First-order  
scenario

$$\epsilon \sim m/a^2$$



# 1st-order scenario occurs!



[Farchioni et al., 05]

$a \approx 0.2 \text{ fm}$

First-order  
scenario with  
minimum pion mass

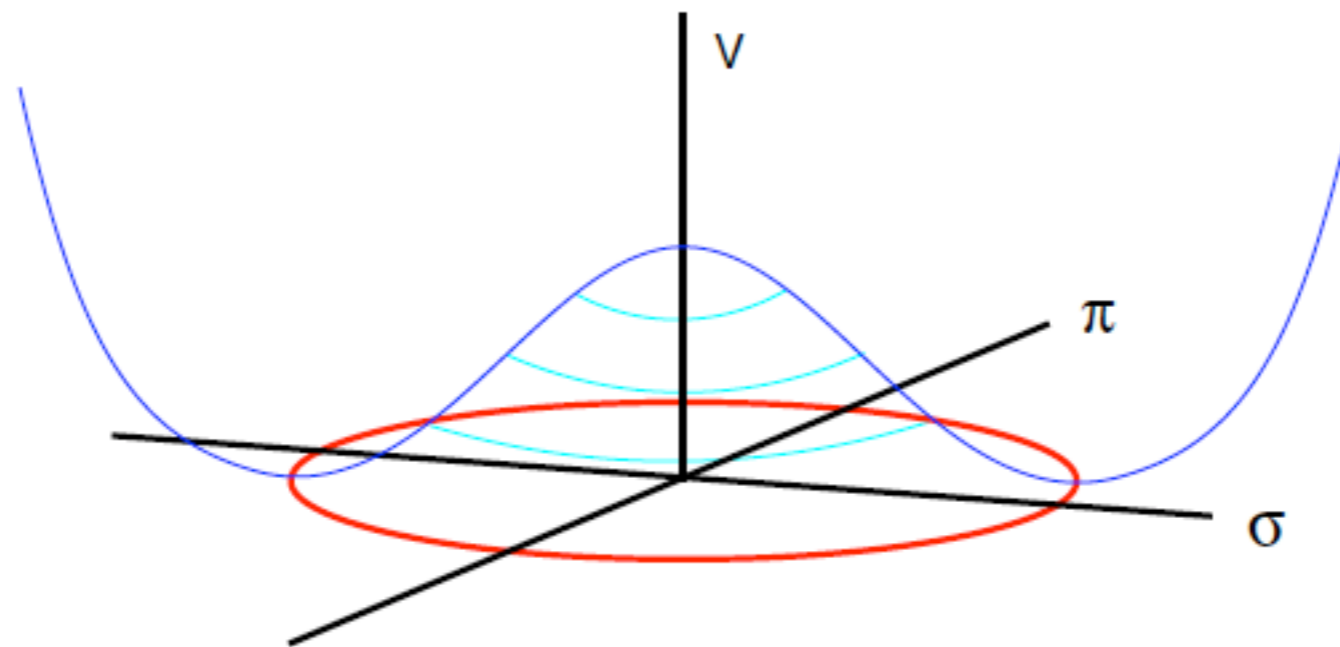
Figure 9. Unquenched results for  $(am_\pi)^2$  as a function of  $(2\kappa)^{-1} = m_0 + 4$  for  $\mu = 0$  and with  $a^{-1} \approx 0.2 \text{ fm}^{-1}$ . Straight lines are to guide the eye.

# Mike's '96 sigma-model analysis

[M. Creutz, hep/lat 9608024, "Wilson fermions at finite temperature"]

- Linear sigma model analysis, assuming correction  $a^2\sigma^2$

$$V \sim \lambda(\sigma^2 + \vec{\pi}^2 - v^2)^2$$



# Banana diagrams!

- Two possibilities from “quadratic warping” correspond exactly to two choices of sign of  $c_2$

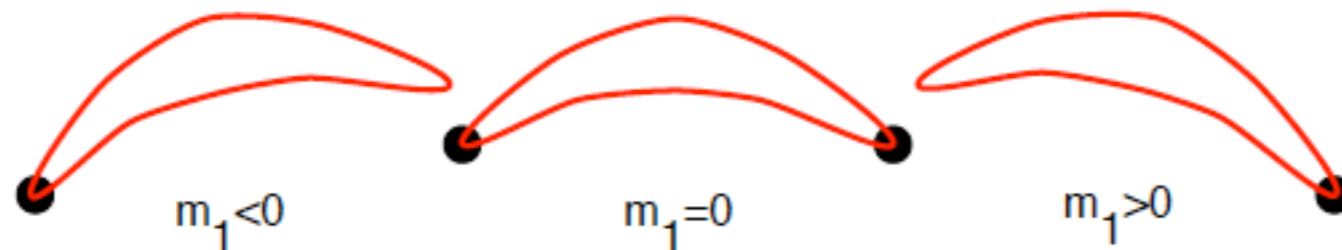


Fig. (2) The effect of a downward warping of the effective potential. The curve represents the warped bottom of the sombrero potential. Here  $m_1$  represents the distance from the critical point. The solid circles represent possible states of the æther. The phase transition now occurs without a diverging correlation length.

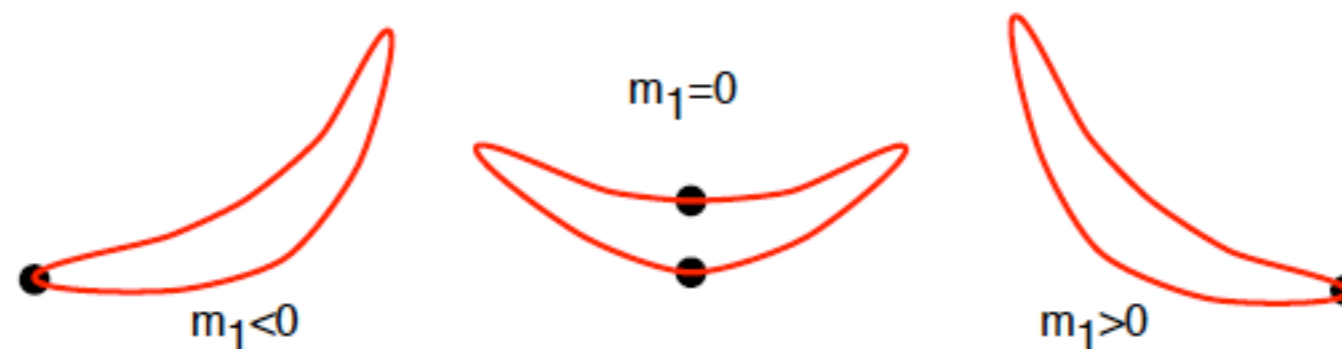


Fig. (3) The effect of an upward warping of the effective potential. Here  $m_1$  represents the distance from  $K_c(\beta)$ . Now there are two phase transitions, with the intermediate phase having an expectation for the pion field.

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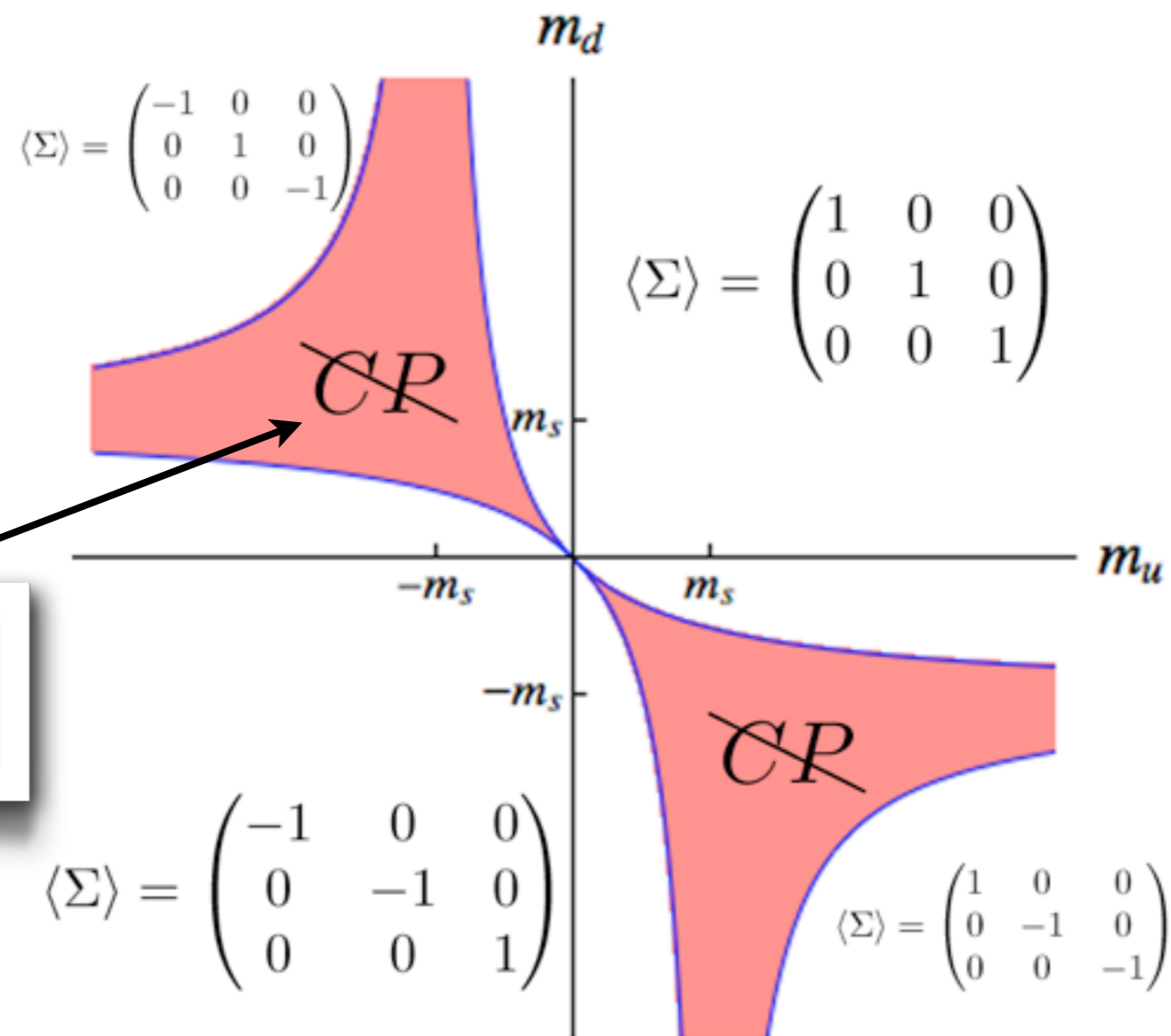
# Playing catch-up, round 2

- $m_u \neq m_d$  leads to Dashen's CP-violating phase

[Creutz, 2004]

Prediction from leading-order SU(3) Chiral PT

$$\langle \Sigma \rangle = \begin{pmatrix} \exp i\phi & 0 & 0 \\ 0 & \exp i\psi & 0 \\ 0 & 0 & \exp -i(\phi + \psi) \end{pmatrix}$$



# Playing catch-up, round 2

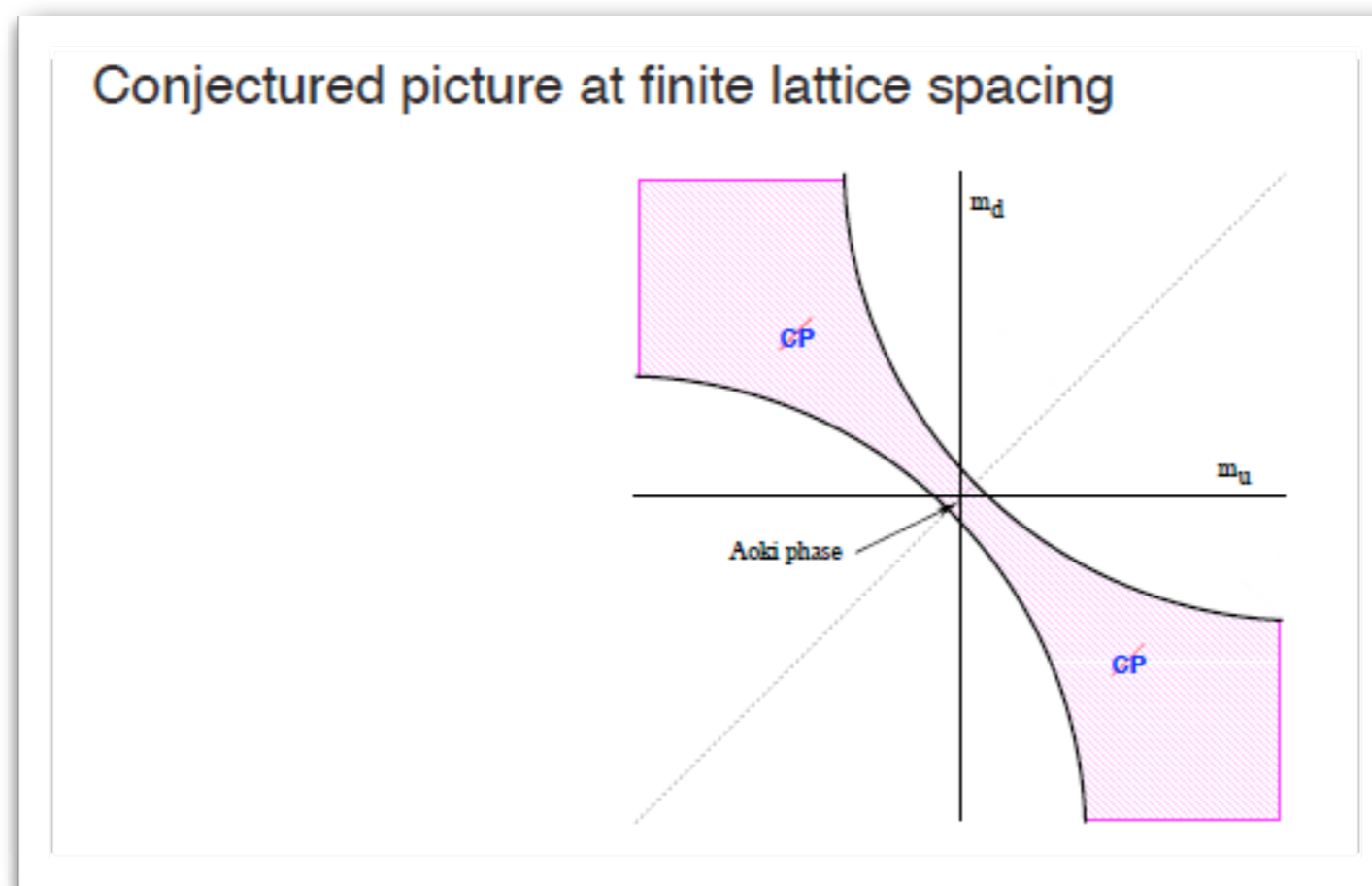
- Mike's question: What happens to the CP-violating phase in the presence of discretization errors?

# Playing catch-up, round 2

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- Mike's conjectured answers

[Creutz, talks "Isospin breaking & the Aoki phase," 2013]

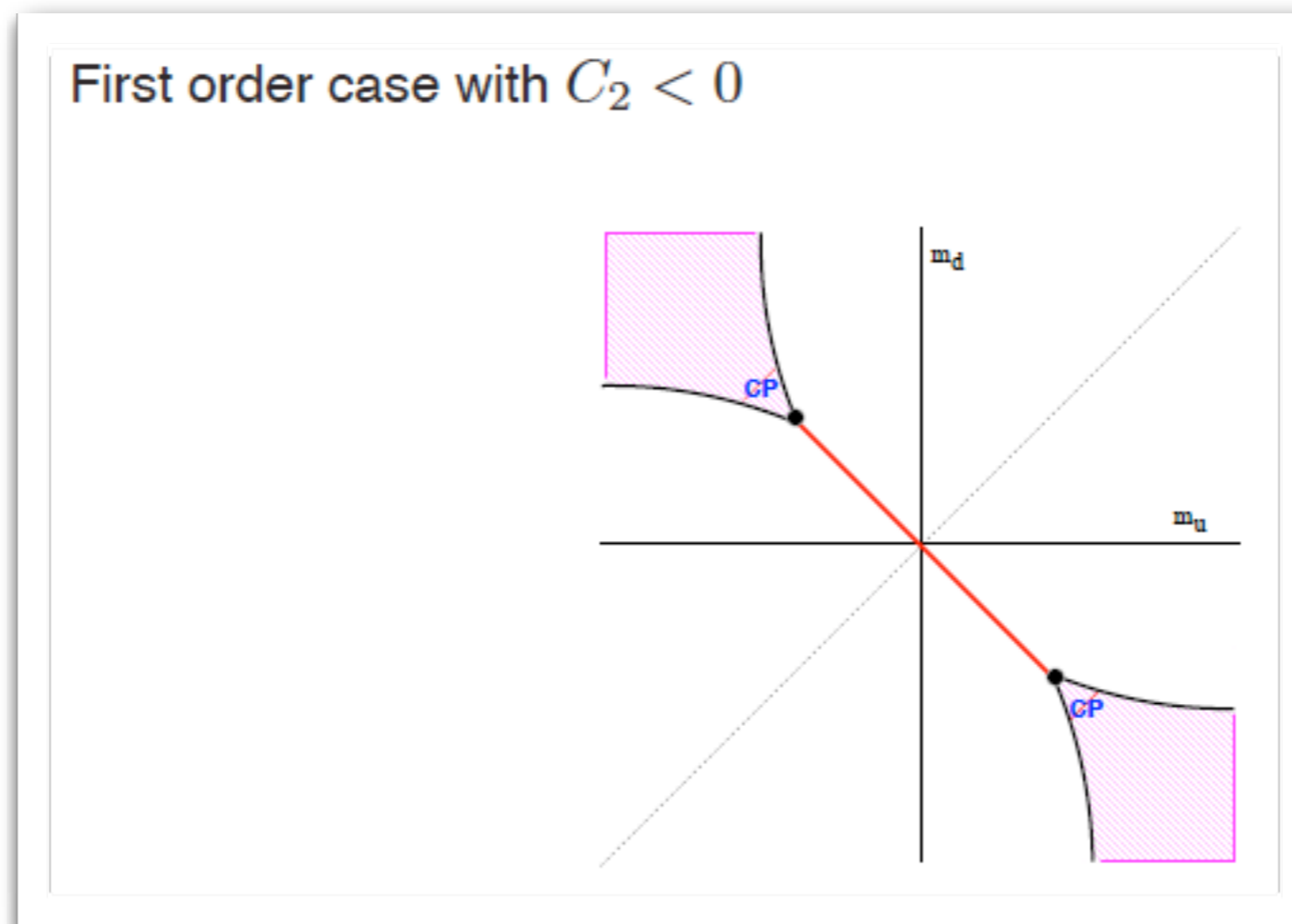


# Playing catch-up, round 2

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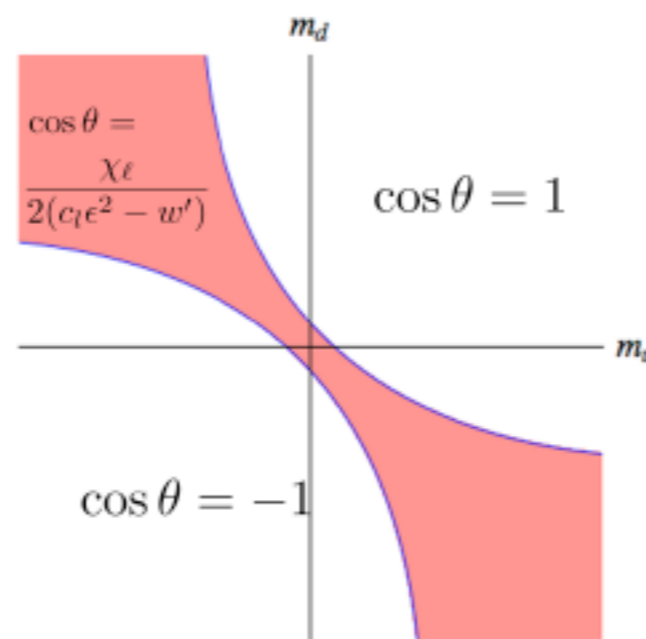
# Confirming Mike's conjecture

[Derek Horkel, talk at Lattice 2014; Horkel & SS, arXiv:1409.xxxx]

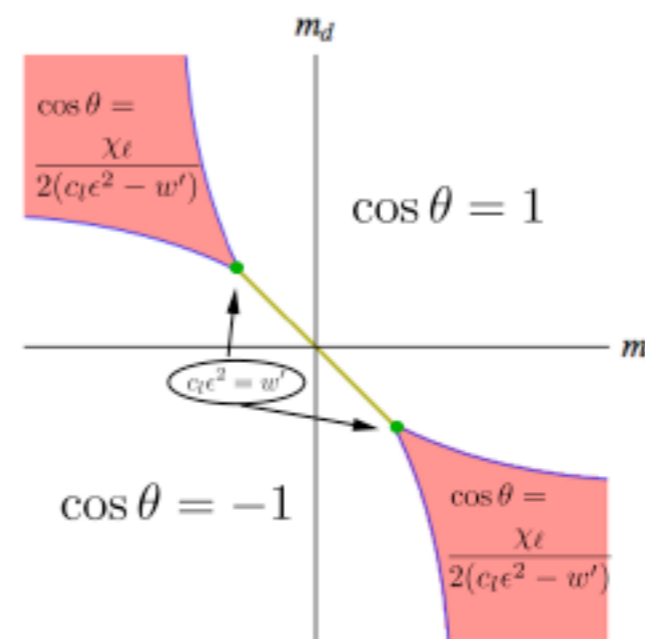
- Use SU(2) Wilson ChPT with NLO term obtained by matching with SU(3) LO ChPT (integrating out  $\eta$ )

$$\mathcal{V}_{a^2, \ell_7} = -\frac{f^2}{4} \text{tr}(\chi^\dagger \Sigma + \Sigma^\dagger \chi) - \underbrace{W' [\text{tr}(A^\dagger \Sigma + \Sigma^\dagger A)]^2}_{\propto -c_2} + \underbrace{\frac{\ell_7}{16} [\text{tr}(\chi^\dagger \Sigma - \Sigma^\dagger \chi)]^2}_{\propto (m_u - m_d)^2 / m_s}$$

$$\langle \Sigma \rangle = e^{i\theta \tau_3}$$



(a) Aoki scenario ( $w' < 0$ ).

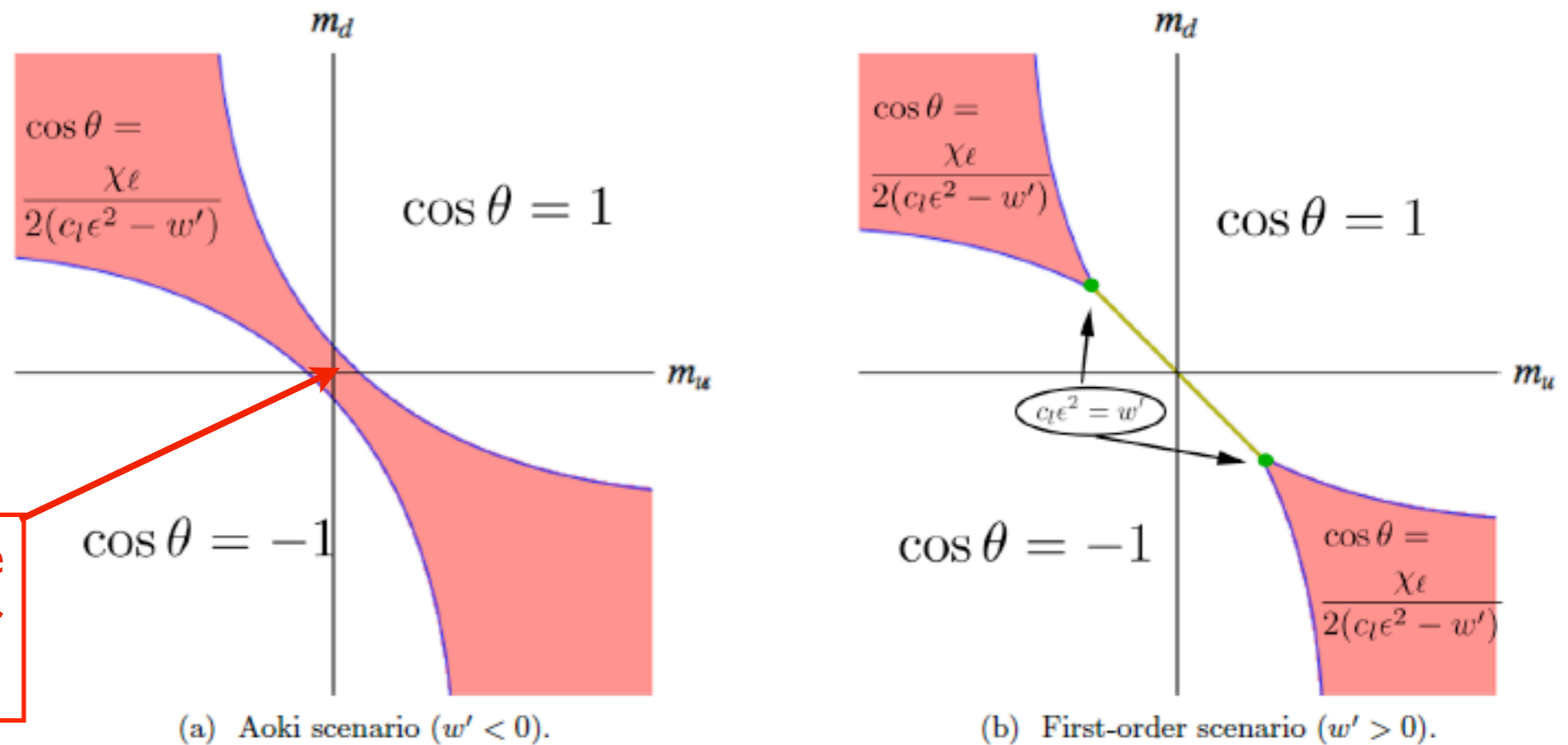


(b) First-order scenario ( $w' > 0$ ).

# Relevant for simulations?

- Simulation frontier: physical quark masses including  $m_u \neq m_d$  (& EM)
- How are these impacted by discretization errors ( $1/a \approx 3$  GeV)?

$$m_u \approx 2.5 \text{ MeV}, \quad m_d \approx 5 \text{ MeV}, \quad a^2 \Lambda_{\text{QCD}}^3 \approx 3 \text{ MeV}$$

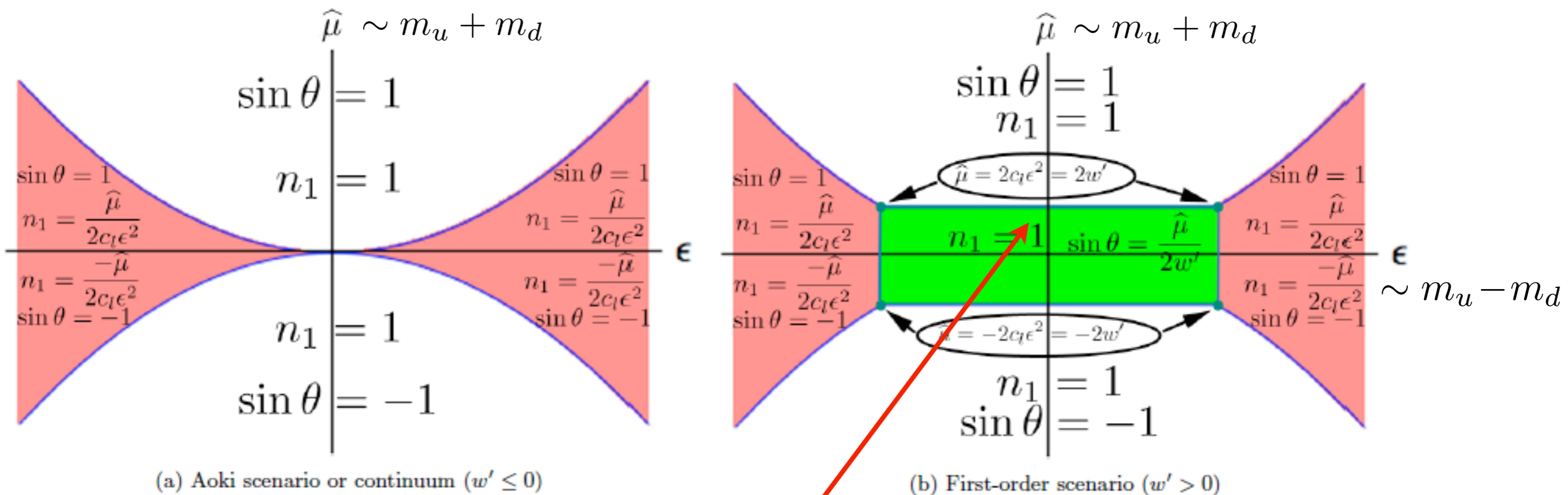


## Wilson fermions

# Maximally twisted fermions

- Role of two scenarios is interchanged

[Horkel & SS, 2014]



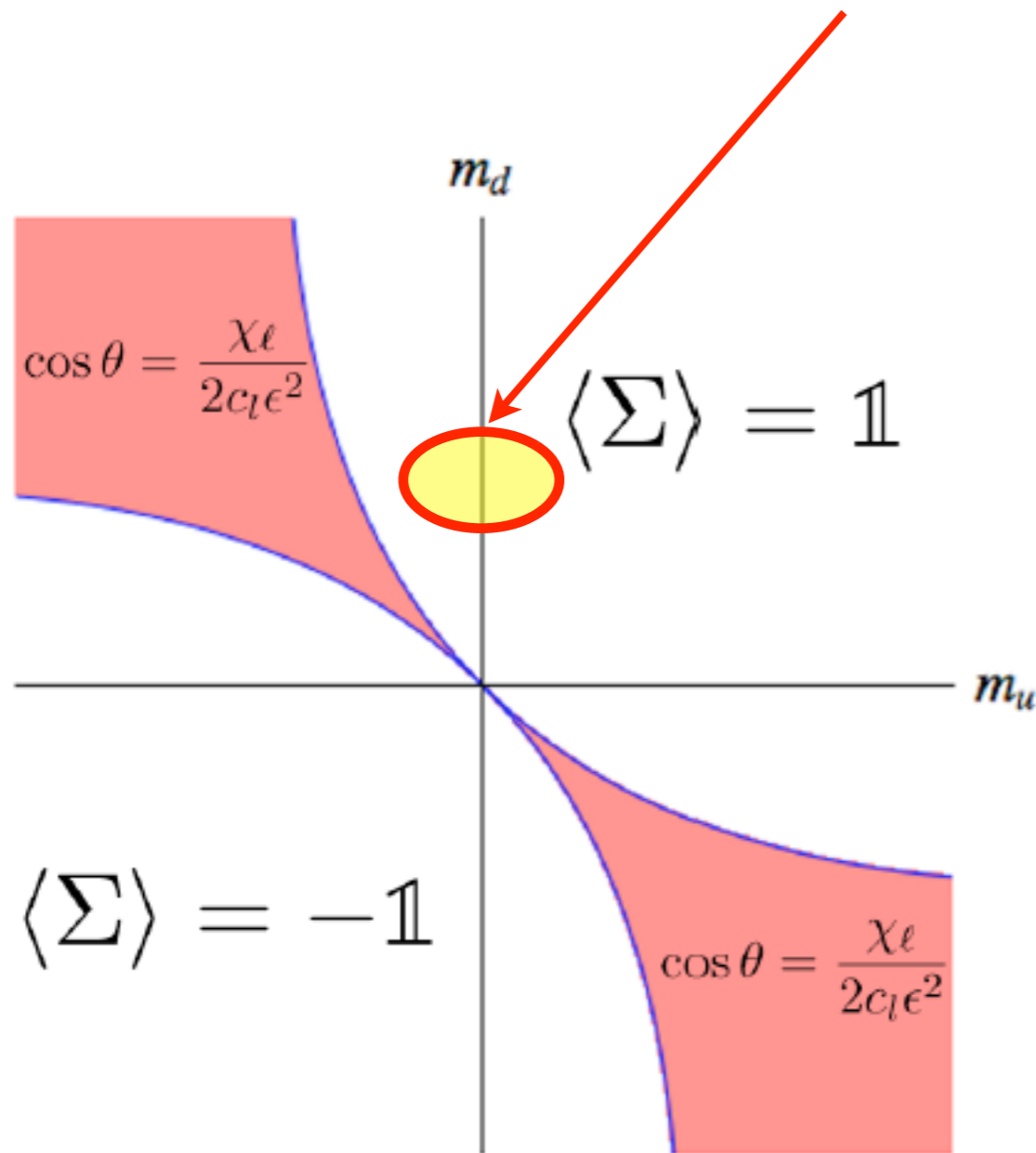
Physical point could lie in unphysical phase for large enough  $a$ !

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# Resolvable open questions

- Focus on  $m_u \approx 0$  with fixed  $m_d > 0$



- No symmetry enhancement at  $m_u=0$
- All physical quantities ( $m_\pi$ , condensate) are smooth at  $m_u=0$
- For low-energy properties (scale  $\ll m_\pi$ ) equivalent to  $N_f=1$  QCD at  $m \approx 0$

# Resolvable open questions

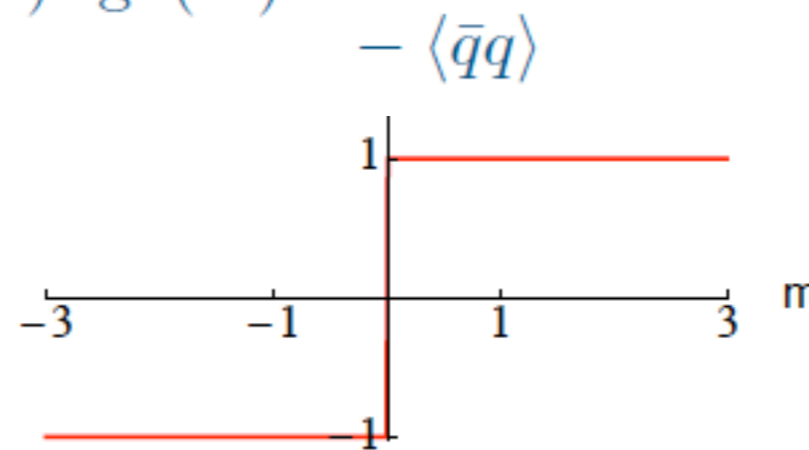
- Is  $m=0$  in  $N_f=1$  QCD universal in the continuum limit?
  - To resolve, calculate  $m_\eta/m_\Delta$  using overlap fermions with two different kernels and take  $a \rightarrow 0$  holding  $m=0$
  - For  $a > 0$ , there will be differences in  $m_\eta/m_\Delta$  due to discretization errors (proportional to  $a^2$ )
  - Do differences remain when  $a \rightarrow 0$ ?
  - Note that there are no massless particles so no IR issues
  - Can formulate question similarly for  $N_f=2$  with  $m_u=0$

# Resolvable open questions

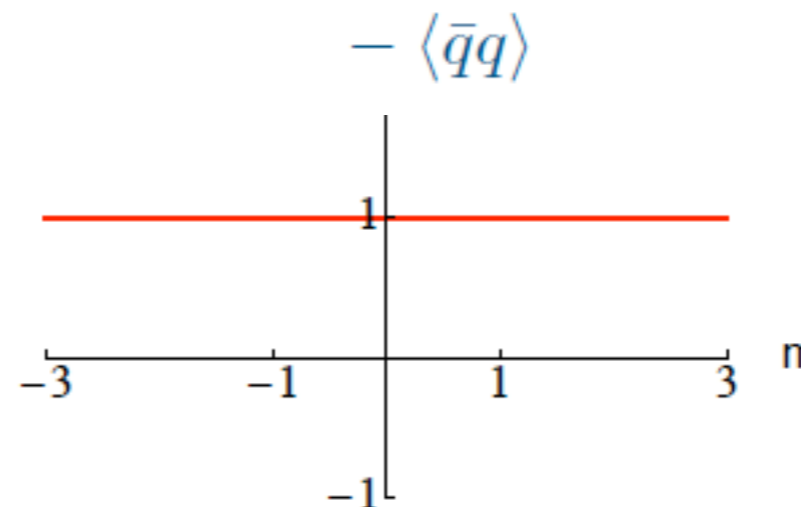
- Does the density of (slightly non-zero) eigenvalues of the Dirac operator vanish when  $m \rightarrow 0$  in  $N_f=1$  QCD?
  - Might expect to vanish from Banks-Casher, since [Creutz, 2006]

$$-\langle \bar{q}q \rangle \underset{m \rightarrow 0}{=} \frac{\pi}{V} \rho(0) \text{sign}(m)$$

apparently implies



which is inconsistent with prediction from ChPT



# Resolvable open questions

- Does the density of (slightly non-zero) eigenvalues of the Dirac operator vanish when  $m \rightarrow 0$  in  $N_f=1$  QCD?
  - But Banks-Casher fails for  $m < 0$  ( $\rho$  not positive) [Leutwyler & Smilga, Verbaarschot, ...]
  - [Verbaarschot, Lat 14]  $\rho(0) \neq 0$  is consistent with ChPT, and indeed can be calculated in  $\varepsilon$ -regime
  - [Degrand et al., 2006] Small eigenvalues agree with  $\varepsilon$ -regime predictions which assume  $\rho(0) \neq 0$



# Resolvable open questions

- Does the density of (slightly non-zero) eigenvalues of the Dirac operator vanish when  $m \rightarrow 0$  in  $N_f=1$  QCD?
  - Why do we care?
  - Because if  $\rho(0)=0$  then partially-quenched ChPT fails

- Thanks to Mike for inspiration and many lively and stimulating (and ongoing) discussions!

