



# $dE/dx$ in TPC and Electron Identification

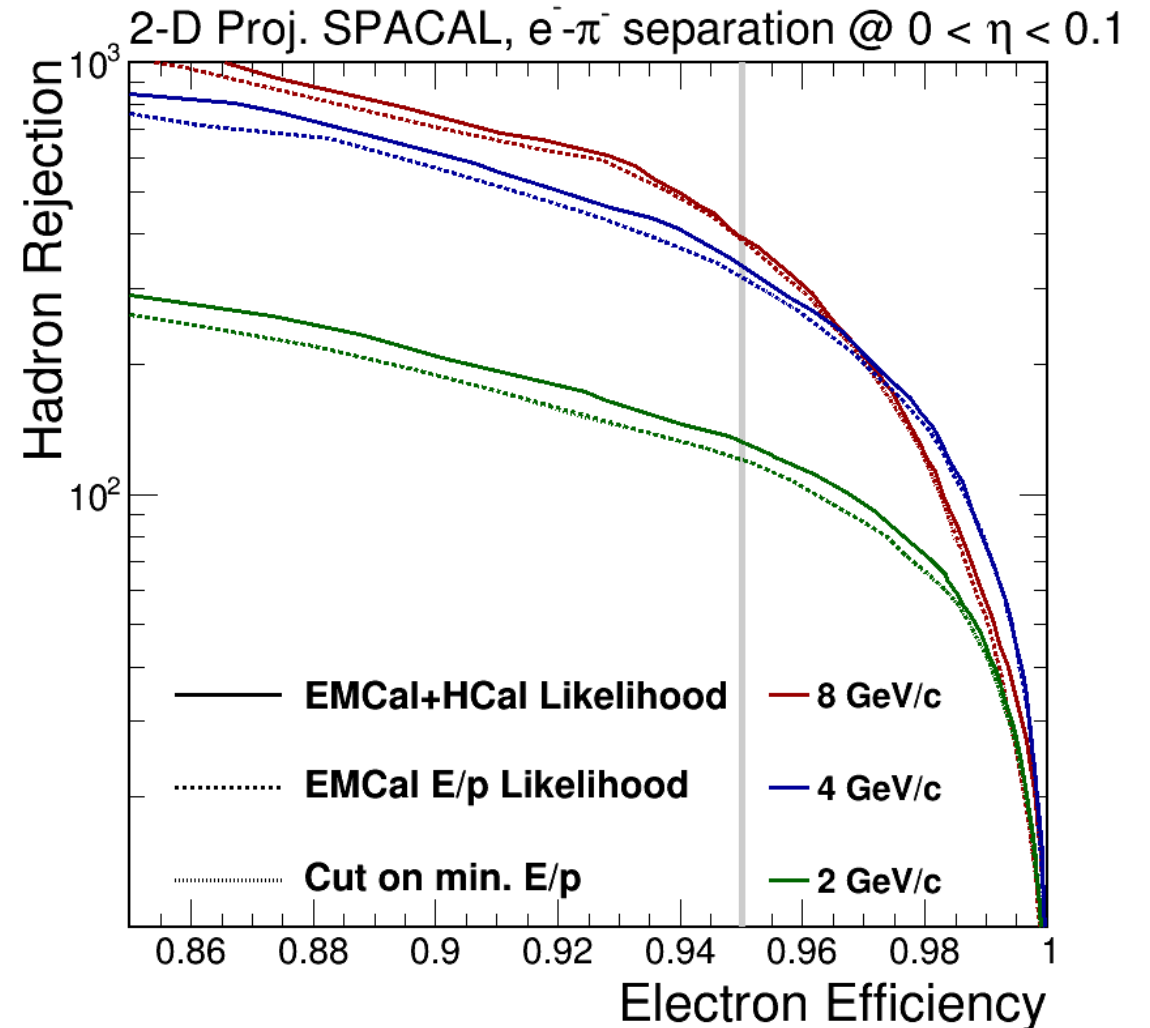
Jin Huang (Brookhaven National Laboratory)

- Thanks to the input from Bob Azmoun, Klaus Dehmelt, Prakhar Garg John Haggerty, Tom Hemmick, Chris Pinkenburg, Martin Purschke
- And based on our last report to eRD6

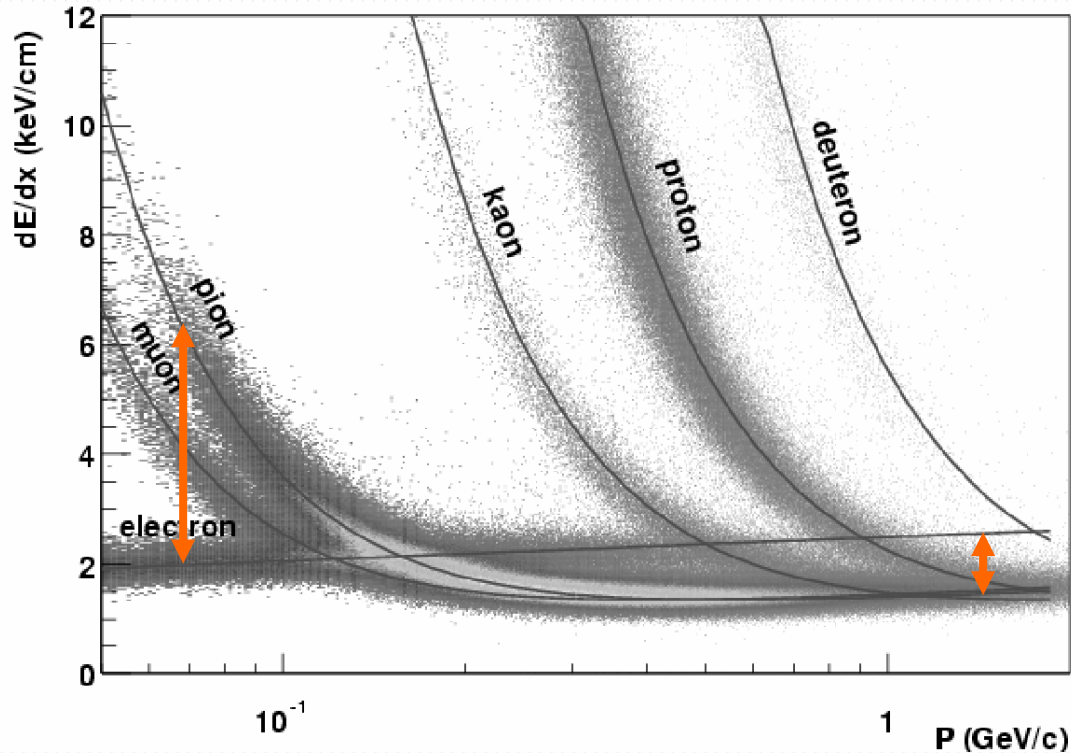
# Electron ID

- ▶ This group: electron ID via Cherenkov
- ▶ Calo group: track-EMCal E/p matching
  - See also past sPHENIX studies
  - Usually much less effective in lower energy, e.g. 4 GeV and below.
- ▶ TRD: as in Yulia's talk
- ▶ Tracker dE/dx
  - Via dE/dx's gamma dependent
  - Could be excellent choice for low-p region
  - Usually require long-segmented dE/dx measurements to build statistics, making TPC a prime choice
  - Combined TPC-HBD Cherenkov?

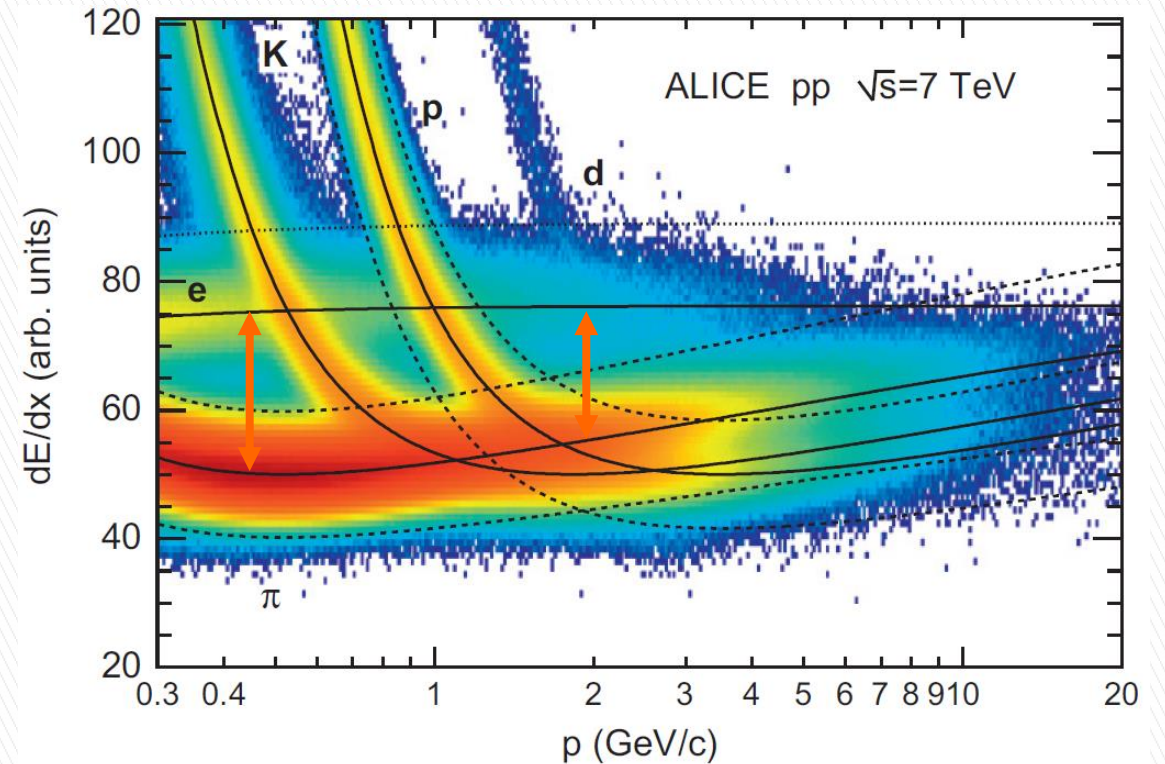
sPHENIX pre-CDR study [2015], full detector simulation + reco.  
EMCal: Projective W-Scint SPACAL (eRD1-based)  
Track-driven clustering + optional HCal post-shower rej.



# TPC dE/dx measurement : Two success collider applications

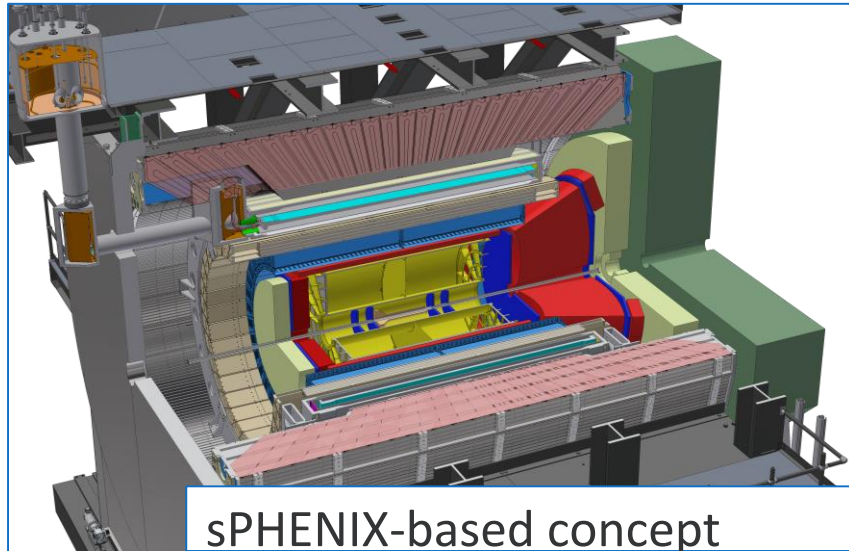


STAR (2003 NIMA):  
DOI: 10.1016/S0168-9002(02)01964-2

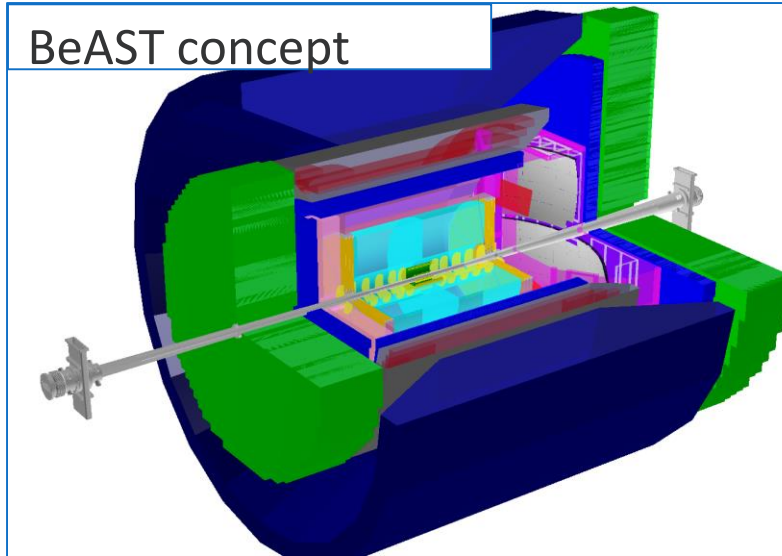


ALICE (2013 NIMA)  
DOI: 10.1016/j.nima.2012.05.022

# What about PID $dE/dx$ for EIC?



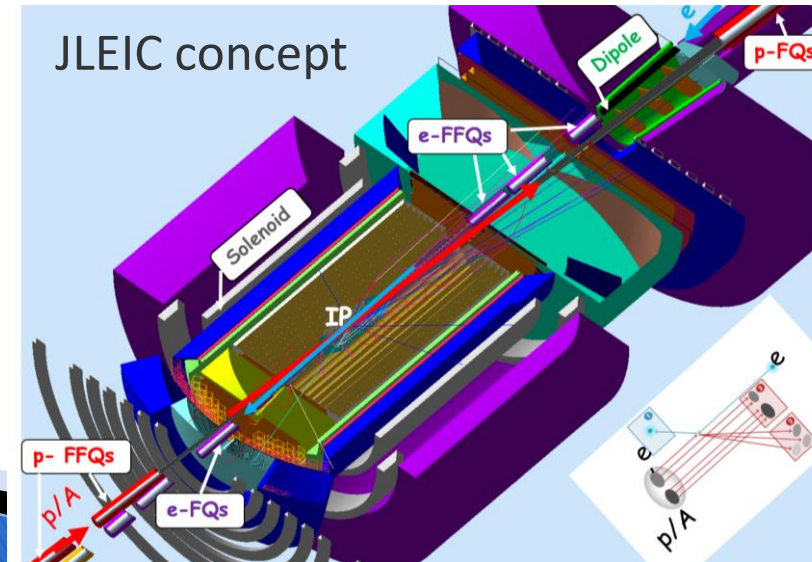
sPHENIX-based concept



BeAST concept

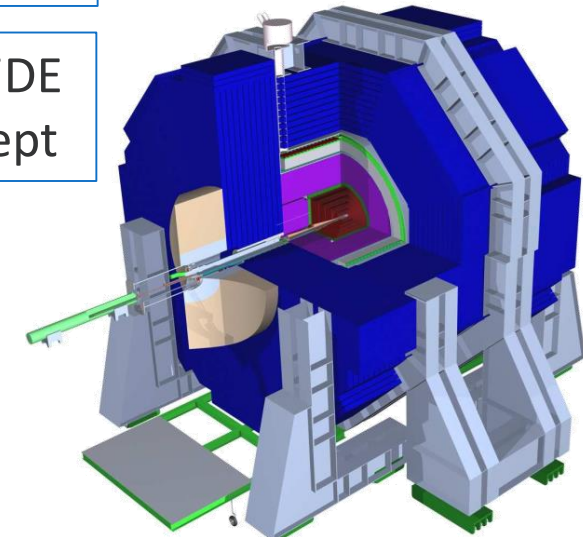
References reports :

- ePHENIX LOI: arXiv:1402.1209
- BeAST: eRHIC design report, preCDR: arXiv:1409.1633
- MEIC (JLEIC) design summary: arXiv:1504.07961
- On-going development and updates



JLEIC concept

TOPside concept



# What about PID dE/dx for EIC?

- ▶ Similar to sPHENIX, EIC TPC is very compact comparing to STAR/ALICE
  - $\Delta R = 50\text{cm}(\text{sPHENIX}) / 60\text{cm}(\text{EIC R1})$ . Compact favored for EIC detector
- ▶ sPHENIX-2019 gas is 50%  $\text{CF}_4$  – 50% Ne
  - $\text{CF}_4$  is a very attractive gas for PID via dE/dx:
  - Very high in primary ionization: 51 / cm, 2x of Ar
  - Very low in Secondary ionization:  $n_{\text{total}}/n_{\text{primary}} = 2$ ,  $\frac{1}{2}$  of Ar  
Low fluctuation due to Landau tail and high sensitivity per measurement
- ▶ Although not a sPHENIX req., this TPC could be a PID-capable detector

TPC	Pad rows	Gas	Radial Drift Vol. [cm]	dE/dx [keV/cm]	Primary Ionization [/cm]	Total Ionization [/cm]	Total Ionization/Initial Ionization	Integrated Primary Ionization	dE/dx resolution $\eta=0$	Reference
STAR w/ iTPC	72	P10 - 10% methane, 90% argon	150	2.344	23.2	89.9	3.9	3,480	6.5%	RHIC S&T review 2019, Caines; iTPC proposal
ALICE 2010	160	(Ne/CO2 90/10)/N2 5% (N2 not in calculation)	161.8	1.705	14.35	47.8	3.3	2,322	5% (cosmic)	doi:10.1016/j.nima.2010.04.042
sPHENIX2019 w/ EIC R1	48	Ne/CF4 50/50	60	4.28	31.5	71.5	2.3	1,890	This study	sPHENIX TDR; arXiv:1402.1209 [nucl-ex]

Compact size

High primary ionization

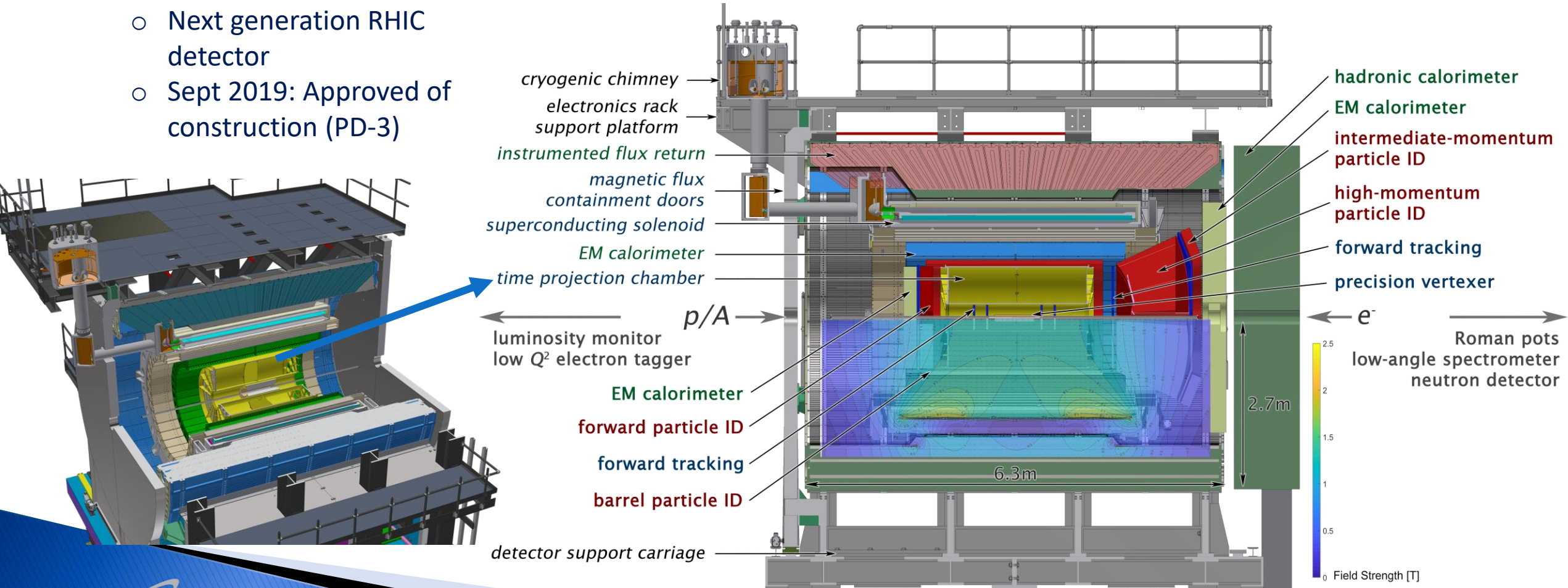
Low secondary ionization

# sPHENIX TPC → sPHENIX-EIC detector concept

- sPHENIX:

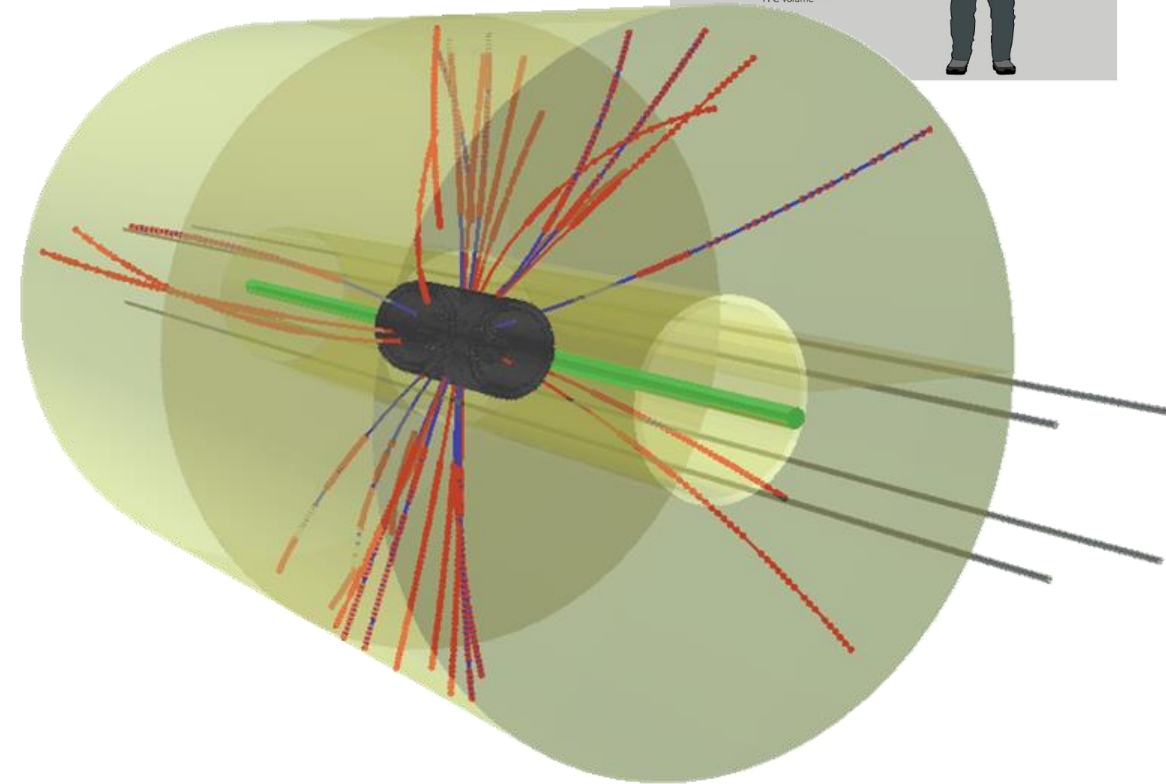
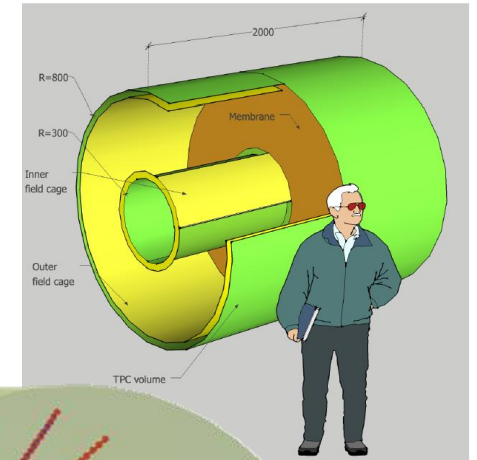
- Next generation RHIC detector
- Sept 2019: Approved of construction (PD-3)

- Foundation for an EIC detector concept [arXiv:1402.1209, sPH-cQCD-2018-001]



# sPHENIX Time projection chamber

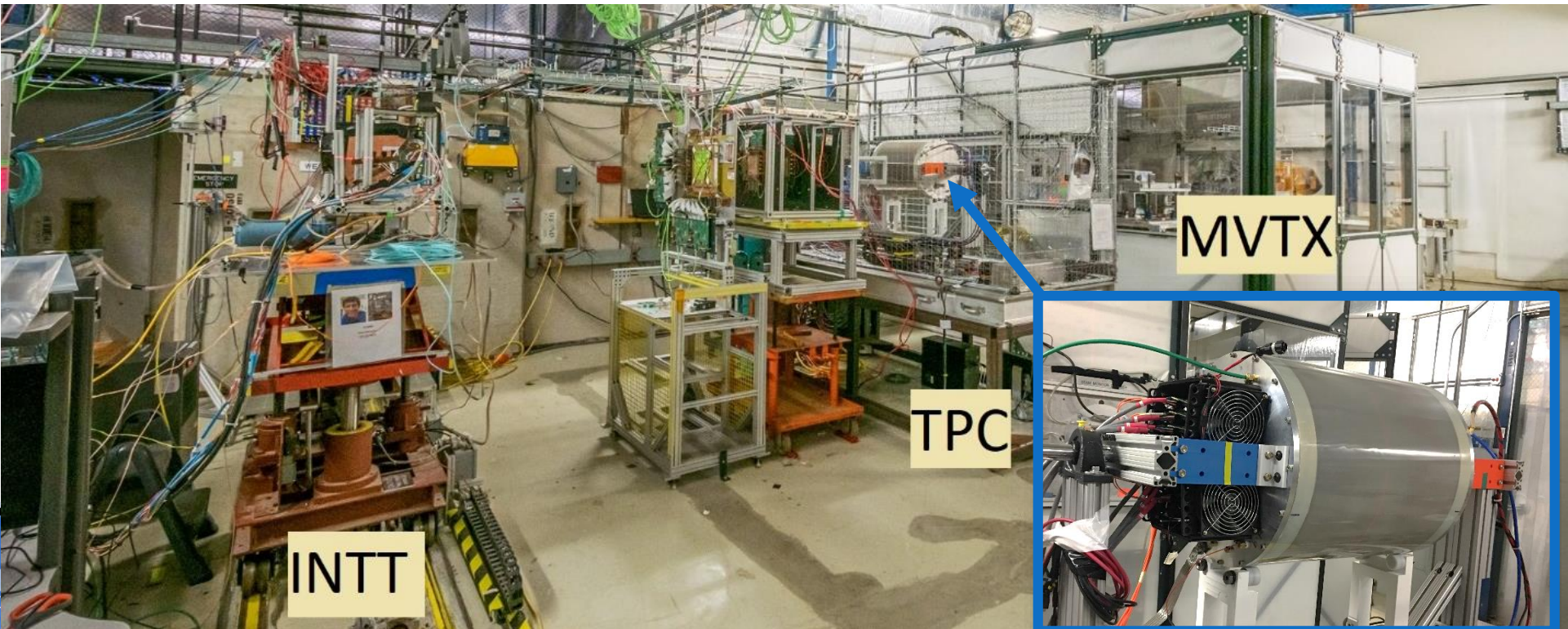
- ▶ A next-generation TPC operated in continuous readout mode using Gas-Electron Multiplier (GEM) avalanche w/ low Ion Back Flow (IBF)
  - Thin field cage: 1.5cm, 1.5%  $X_0$
  - sPHENIX-2019 gas: Ne/CF<sub>4</sub> 50/50
  - Drift : 400V/cm, 8 cm/ $\mu$ s, 13  $\mu$ s drift
  - Low T-diffusion: 40 $\mu$ m/vcm @ B=1.4T
  - GEM: Gain = 2000, IBF~1%
  - 48 pad rows in sensitive vol. R = 20-80 cm (30-80 used in sPHENIX). Zig-zag pads.
  - Shaping/FEE: 80ns/20MHz SAR ADC (SAMPAv5 ASIC), trigger-less readout
- ▶ Operation point is optimized for top multiplicity AA operation. Many can be easily adjusted for EIC application.



di-b-jet in p+p collisions  $\sqrt{s}=200$  GeV, full G4 sim  $\rightarrow$  Kalman filter reco display

# Latest iteration test beam : 2019 @ FTBF

- ▶ GEM Framing @ BNL, tested @ Yale → Prototype field cage
  - 50/50 Ne/CF<sub>4</sub> gas, various HV tunes to test low ion backflow
  - Readout w/ one of 24 sPHENIX R2 readout pad, 16 pad rows
- ▶ First run with SAMPA+DAM+GTM→RCDAQ (sPHENIX Chain)

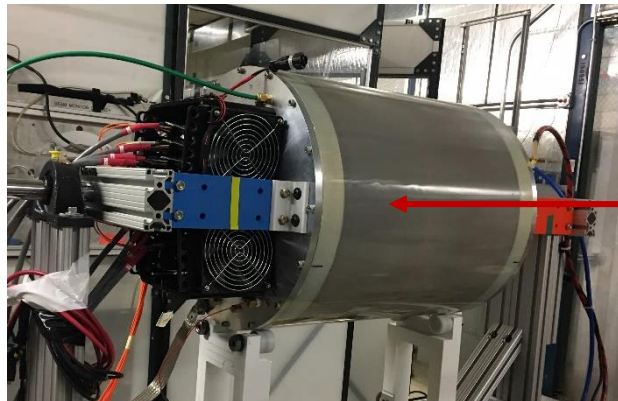




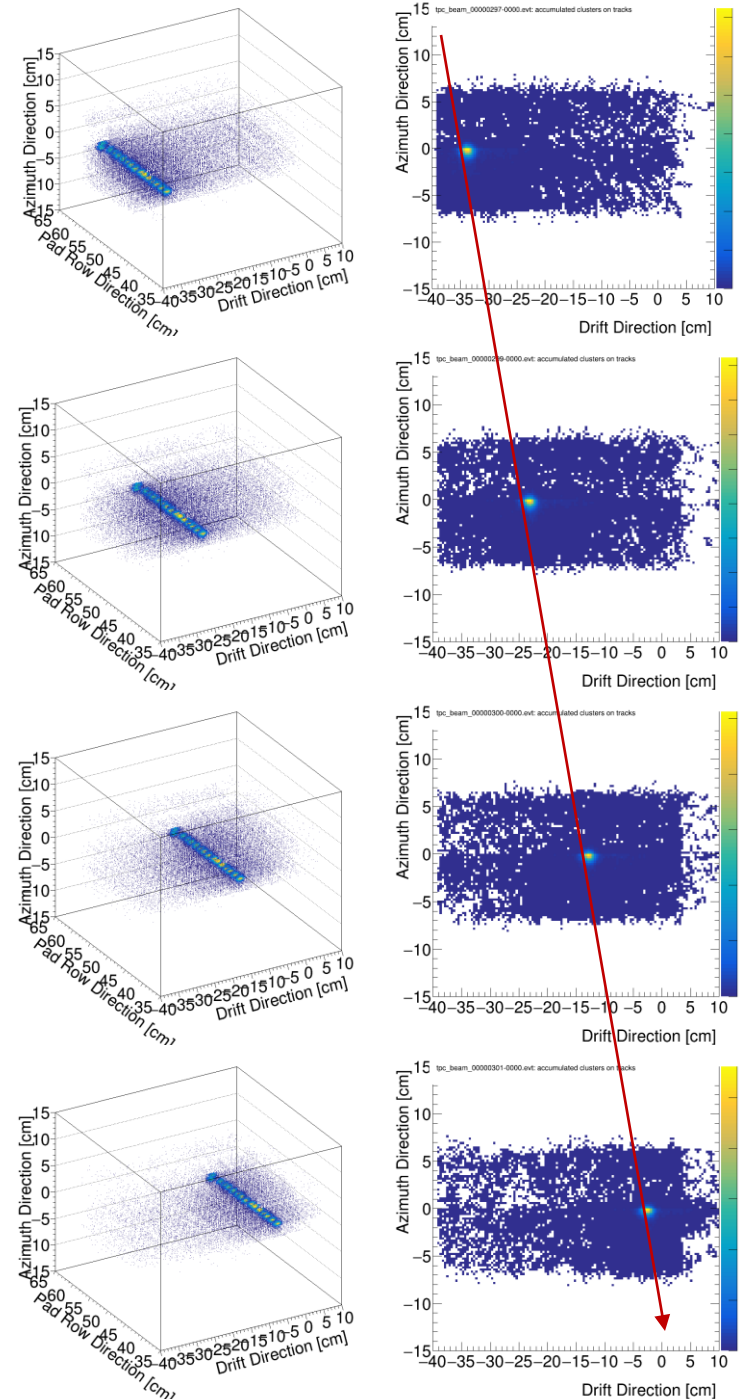
# Positions scans

- ▶ Main goal is to study resolution as function of drift length
- ▶ Example, longitudinal scan with 120 GeV/c proton:

Postion (in)	Runs
6	288, 292
10	293
14	294
18	295

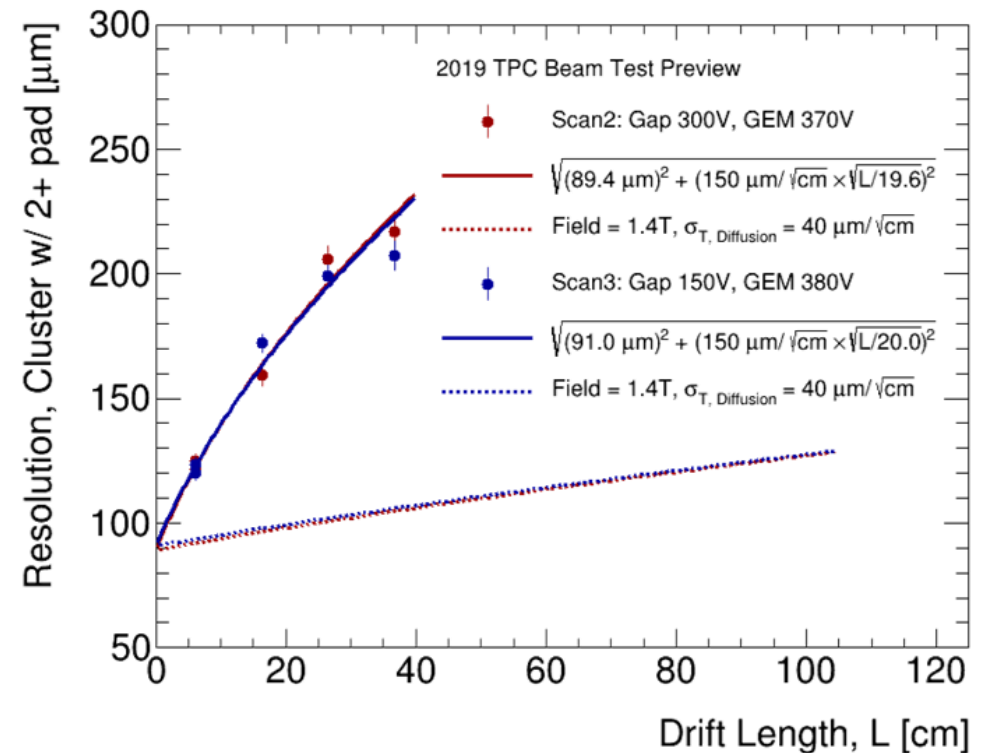
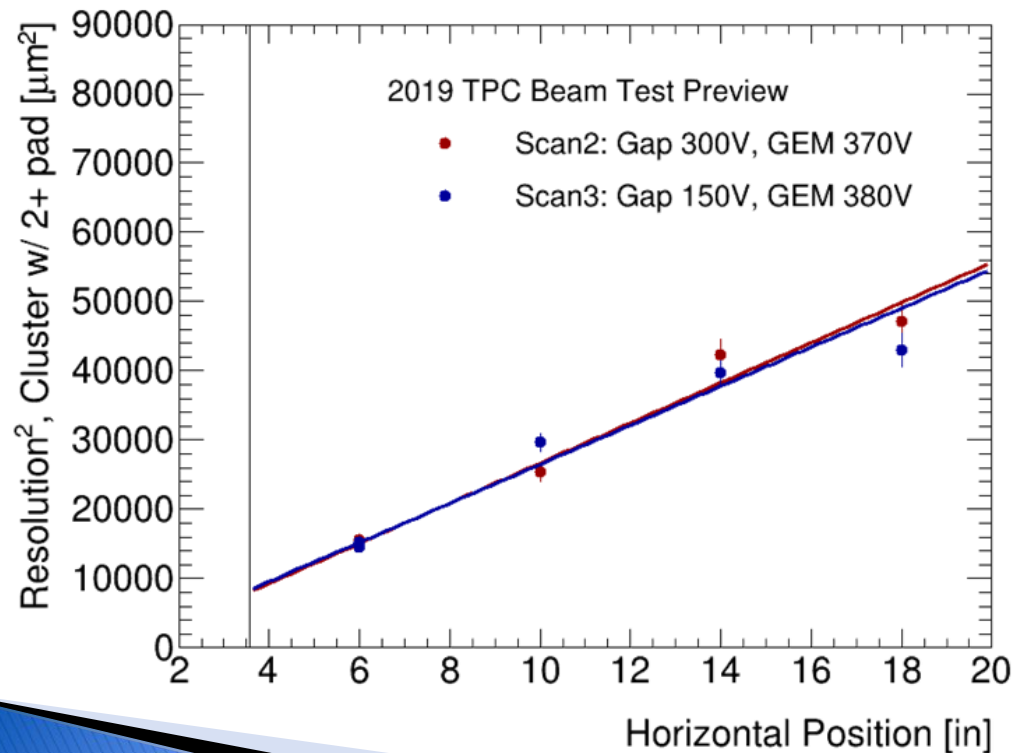


Proton  
120 GeV



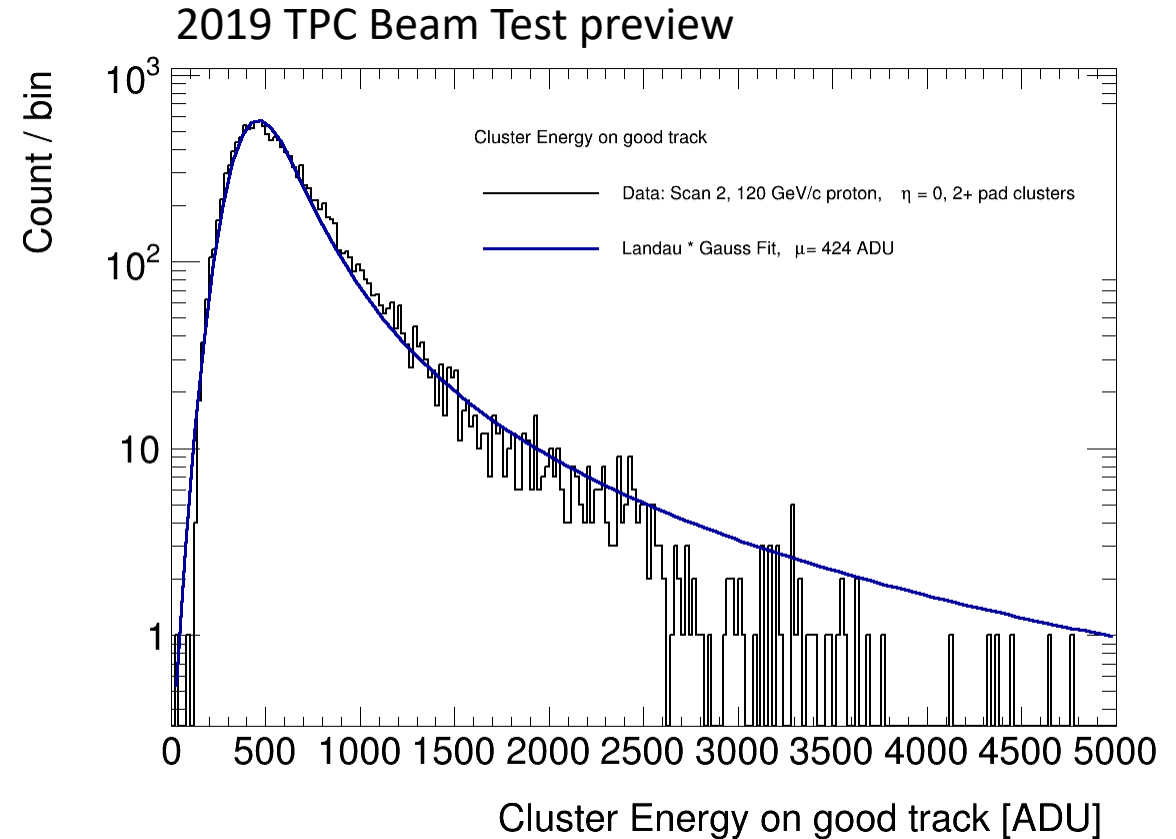
# Very preliminary results : position resolution

- ▶ Two position scans show consistent results in position resolution
- ▶ Project to full length TPC in 1.4T magnetic field, resolution < 150  $\mu\text{m}$



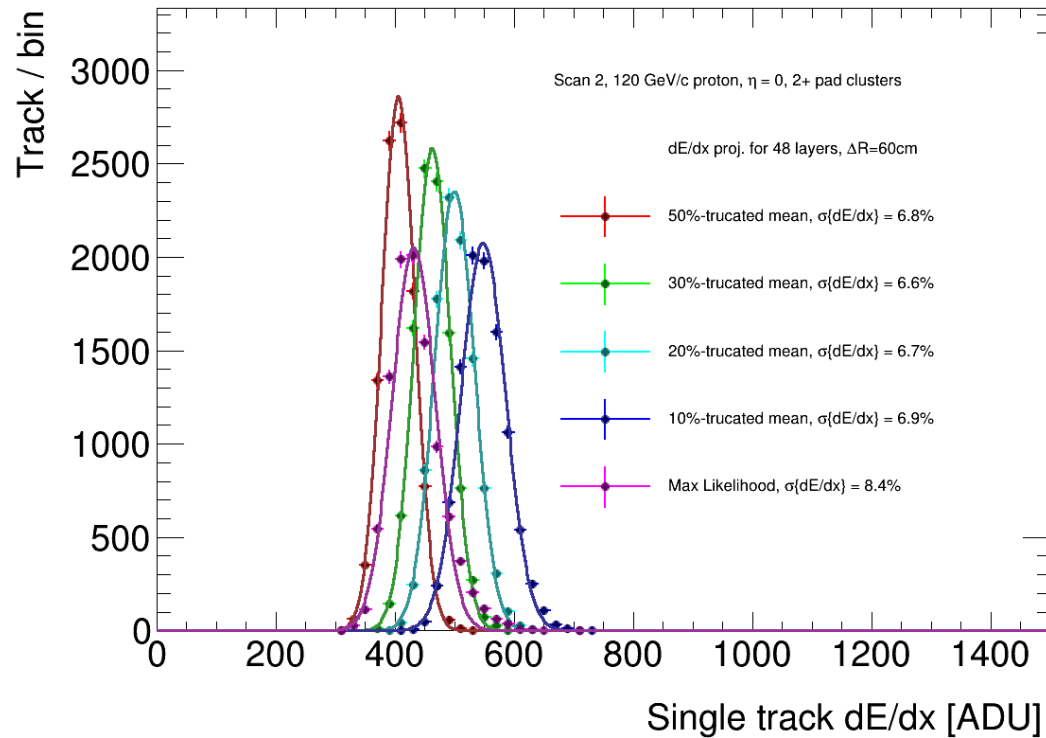
# What about PID $dE/dx$ resolution?

- ▶ sPHENIX TPC is compact comparing to STAR/ALICE
  - 48 pad row,  $\Delta R = 50\text{cm}$ (sPHENIX) /  $60\text{cm}$ (EIC R1). Compact favored for EIC detector
  - sPHENIX-2019 gas is 50%  $\text{CF}_4$  – 50% Ne.
  - GEM gain setting: **Not** in low-ion feedback mode as suggested by Nikolai
- 1. Measured cluster energy distribution in each of 16 layers (1.25cm/layer) prototype in 2019 test beam
- 2. Sample the energy distribution to project  $dE/dx$  performance to 48-layer/60cm full TPC in central rapidity
- 3. Perform various tail rejector to further reduce bias from 2ndary electron



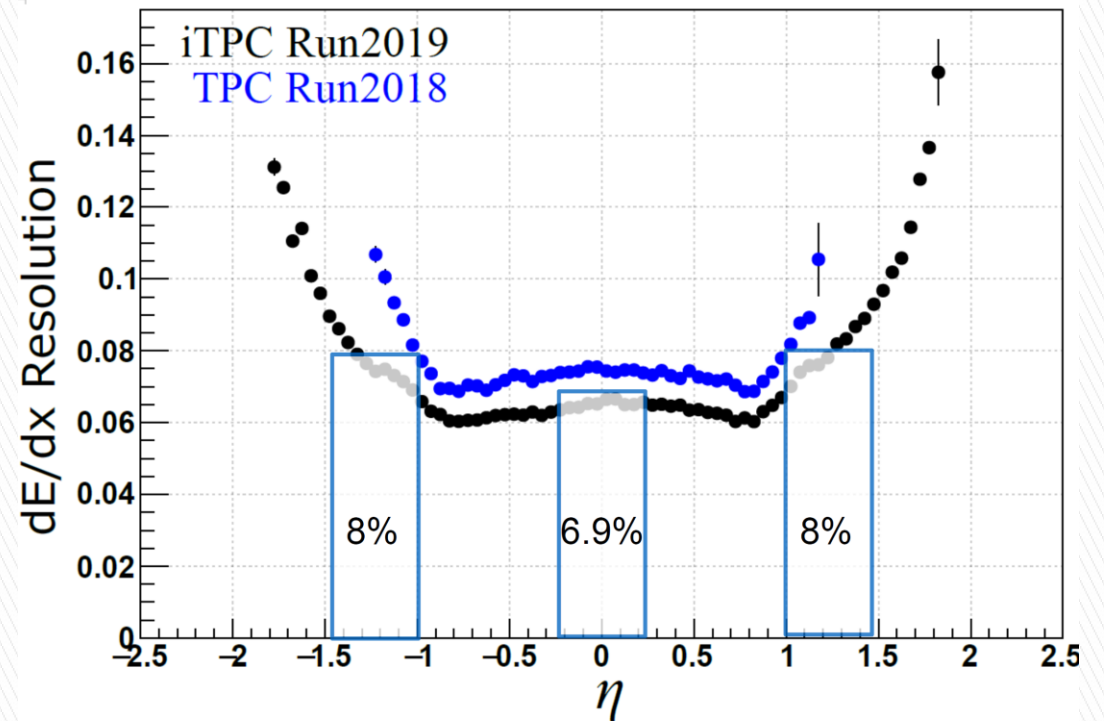
# Very preliminary results : dE/dx resolution

2019 TPC Beam Test preview, projection for 48 layer



sPHENIX test beam data projected to EIC  
readout: resolution  $\leq 7\%$

Improved dE/dx resolution



STAR iTPC upgrade  
[RHIC S&T review, Caine]

More detailed note: [https://nbviewer.jupyter.org/github/sPHENIX-Collaboration/analysis\\_tpc\\_prototype/blob/master/fnal\\_2019/dEdx/main.ipynb](https://nbviewer.jupyter.org/github/sPHENIX-Collaboration/analysis_tpc_prototype/blob/master/fnal_2019/dEdx/main.ipynb)

# Possible TPC work points for EIC operation

## sPHENIX operation point

- ▶ Continuous readout mode using Gas-Electron Multiplier (GEM) avalanche w/ low Ion Back Flow (IBF)
- ▶ sPHENIX-2019 gas: Ne/CF<sub>4</sub> 50/50
- ▶ Drift : 400V/cm, 8 cm/μs, 13 μs drift
- ▶ Low T-diffusion: 40um/vcm @ B=1.4T
- ▶ GEM: Gain = 2000, IBF~1%
- ▶ 48 pad rows in sensitive vol. R = 30-80 cm
- ▶ Shaping/FEE: 80ns/20MHz SAR ADC (SAMPAv5 ASIC)
- ▶ Optimized for AA top multiplicity operation

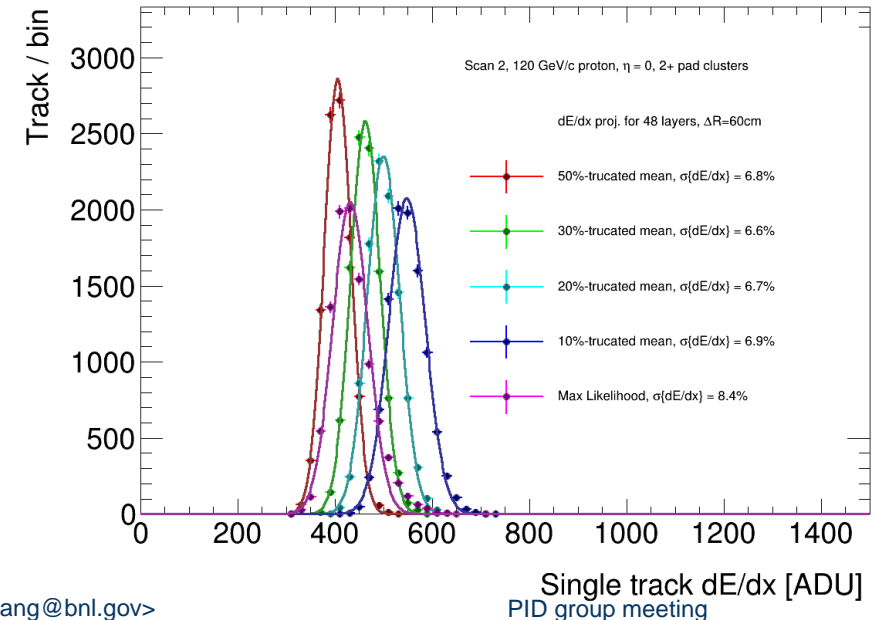
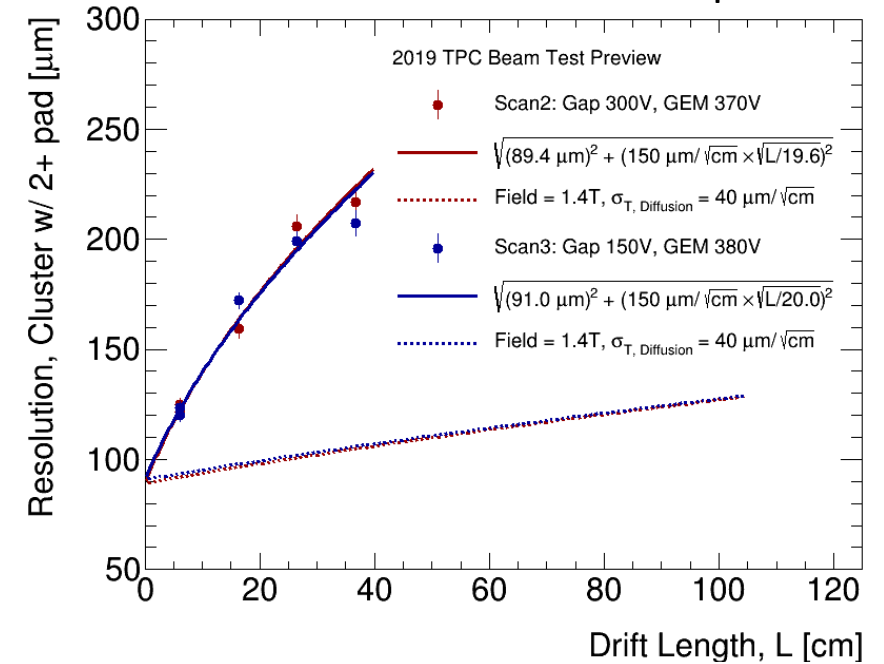
## Subset of possible EIC operation points

- ▶ Continuous readout mode using GEM aiming to stream record 500kHz EIC collision
- ▶ Gas: High CF<sub>4</sub> gas, Ar/CF<sub>4</sub> 95/5, T2K??
- ▶ Drift : 5-10 cm/us?
- ▶ Low T-diffusion: <50um/vcm @ B=1.4-3 T
- ▶ Gain Config: IBF not as big as a concern as A+A (debatable)
- ▶ # pad rows in sensitive vol. R = 20-80 cm
- ▶ Shaping/FEE: 80-160ns/10-20MHz SAR ADC (SAMPAv5 ASIC)
- ▶ **Need optimization study...**  
**See also tracking group discussions**

# Summary

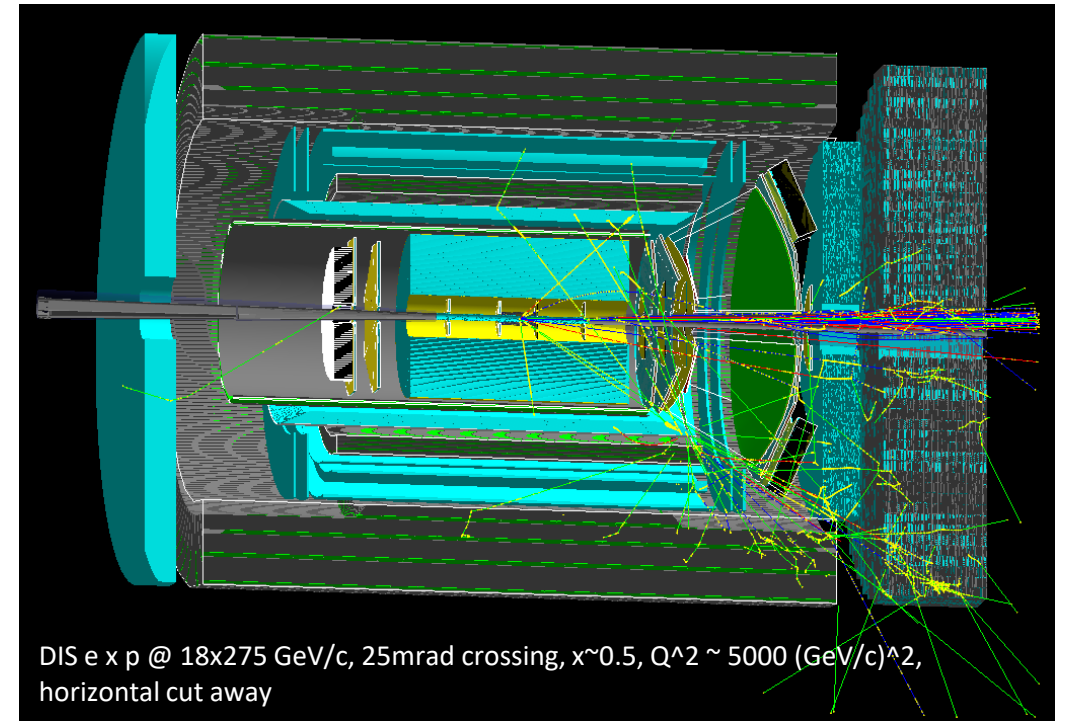
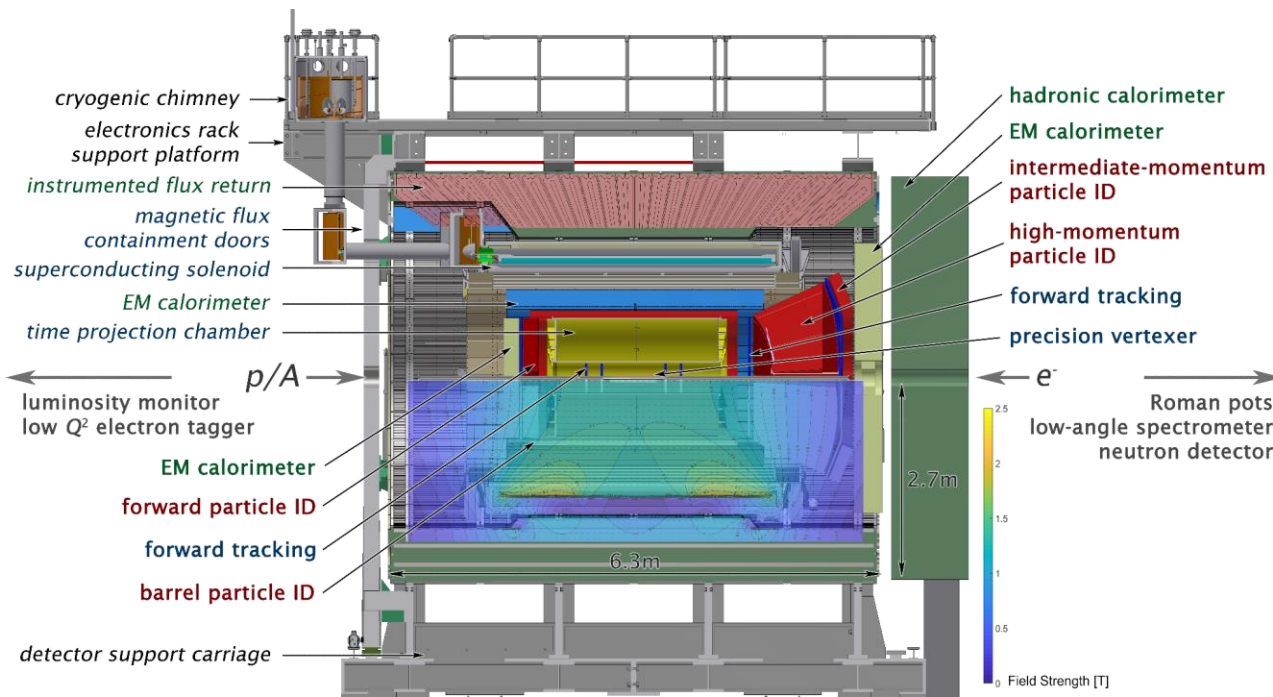
- ▶ The sPHENIX TPC in EIC config is a capable detector:
  - Early results from test beam indicates:  $r\Phi$  position resolution  $< 150\mu\text{m}$ , PID via  $\sigma[dE/dx] \leq 7\%$
  - High throughput trigger-less readout which fits EIC too
- ▶ This is not end of the story, but a start:
  - Work point study needed to optimize for EIC performance: Gas choice, drift field, GEM gain stack, Readout pads, Shaping time, Readout firmware
  - Need to validate details on implementation in Geant4 gas physics model and Digitization + Reco. Tuning
  - Run dedicated beam test in e/pi secondary beam
- ▶ Final  $dE/dx$  resol. can be translated to PID group's sigma separation plot

sPHENIX 2019 TPC test beam preview

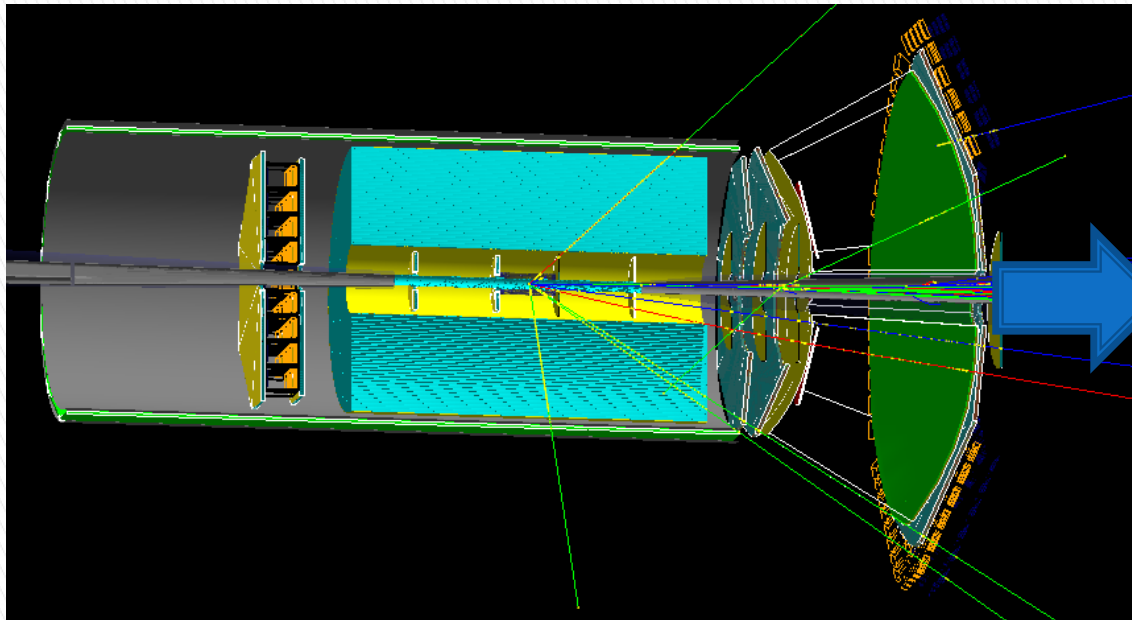


# BTW: Fun4All-based simulation

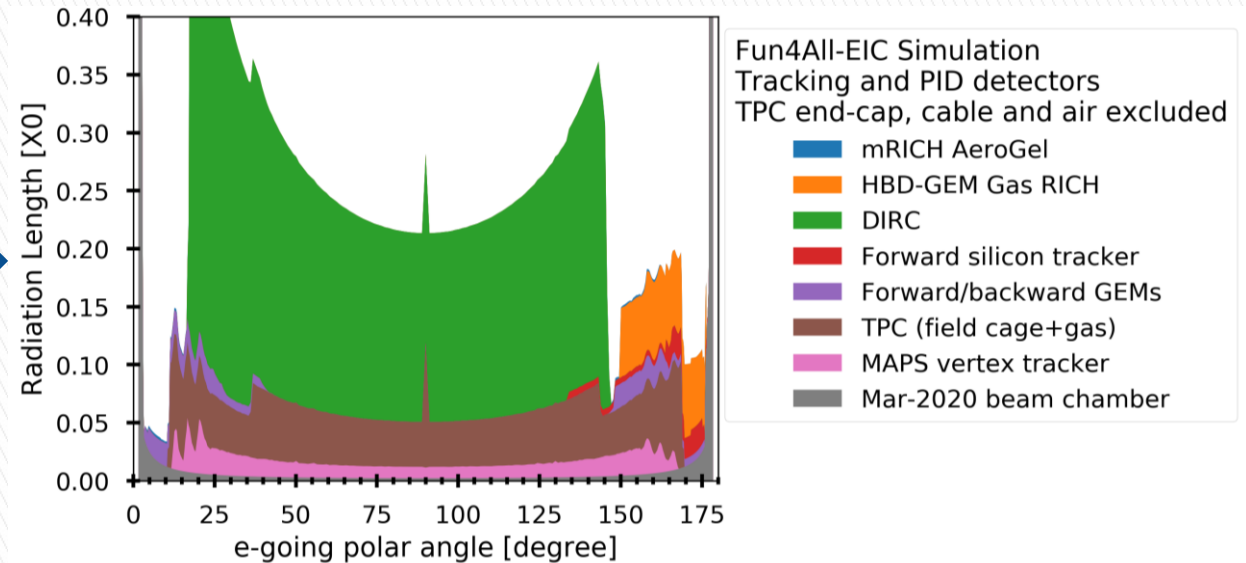
► <https://github.com/eic/Singularity>



# Need input from PID group



Material thickness scan as reported in 2<sup>nd</sup> EIC YR workshop



Reproduce:

<https://github.com/blackathj/macros/tree/display-EIC-BeamPipe-materialscan/macros/g4simulations>

Central detectors:  
mRICH, HBD-GEM gas RICH, DIRC

Material scan out of box:  
Need DIRC focusing optics & mRICH support material

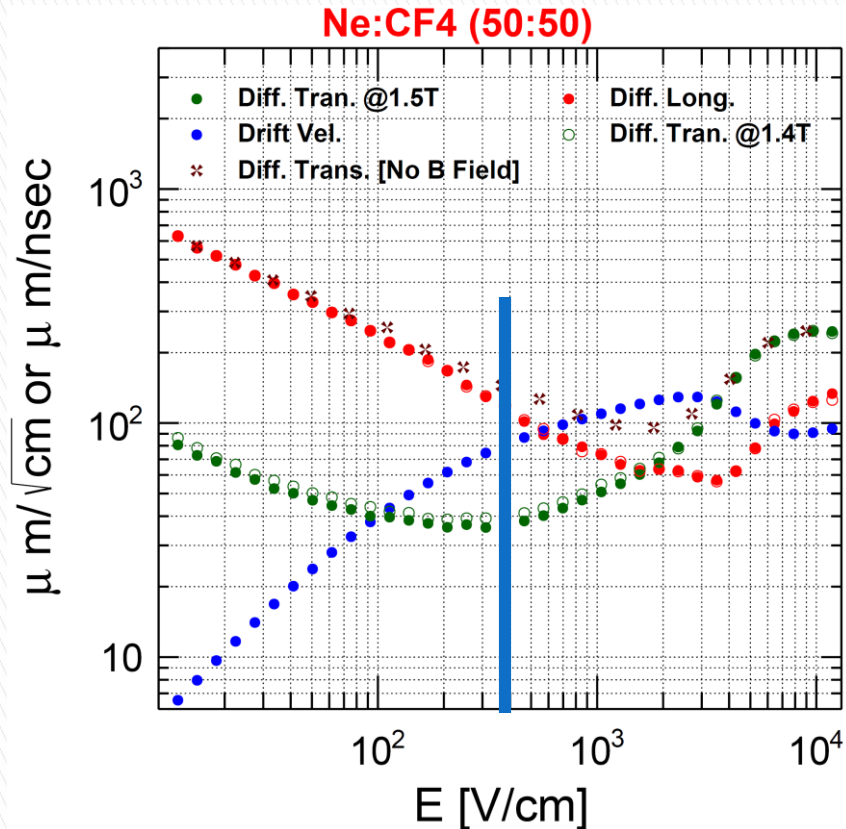


# Extra information



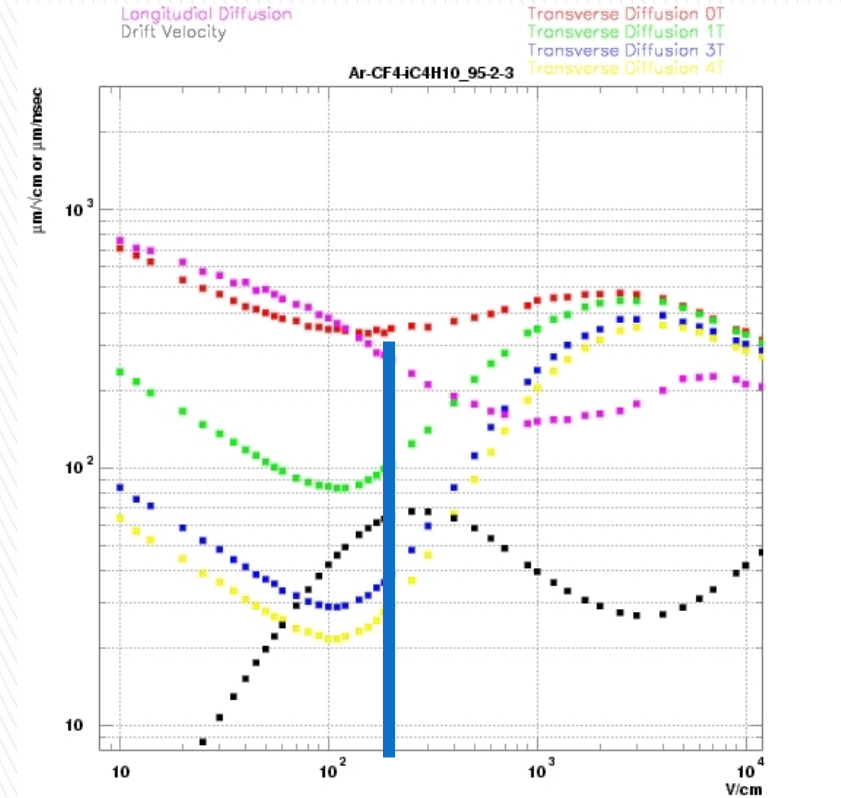
# Reprehensive gas choices, Ne or Ar-based

Prakhar Garg <prakhar.garg@stonybrook.edu>



sPHENIX-2019 gas: Ne-CF<sub>4</sub> 50-50  
 Drift E ~ 400 V/cm,  $n_{\text{primary}} = 32/\text{cm}$ ,  $n_{\text{total}} = 72/\text{cm}$

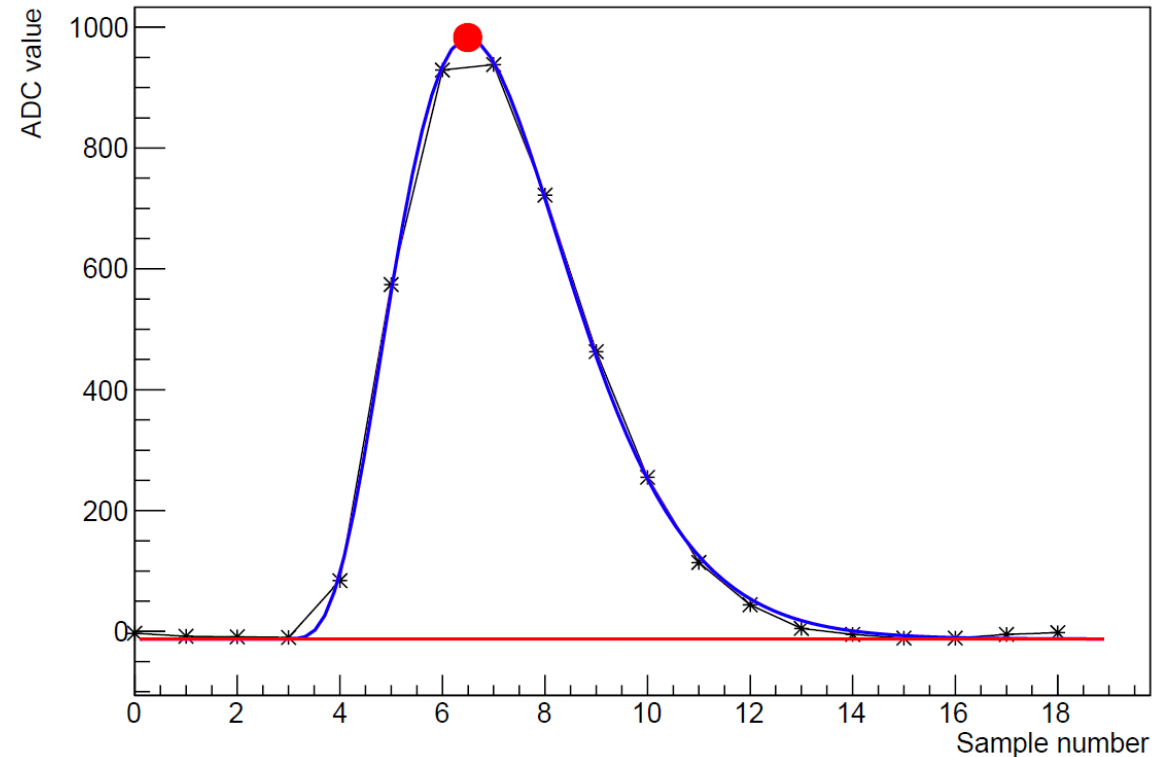
ILC, Saga University



T2K gas: Ar-CF<sub>4</sub>-IsoButane 95-3-2  
 Drift E ~ 200 V/cm,  $n_{\text{primary}} = 25/\text{cm}$ ,  $n_{\text{total}} = 96/\text{cm}$

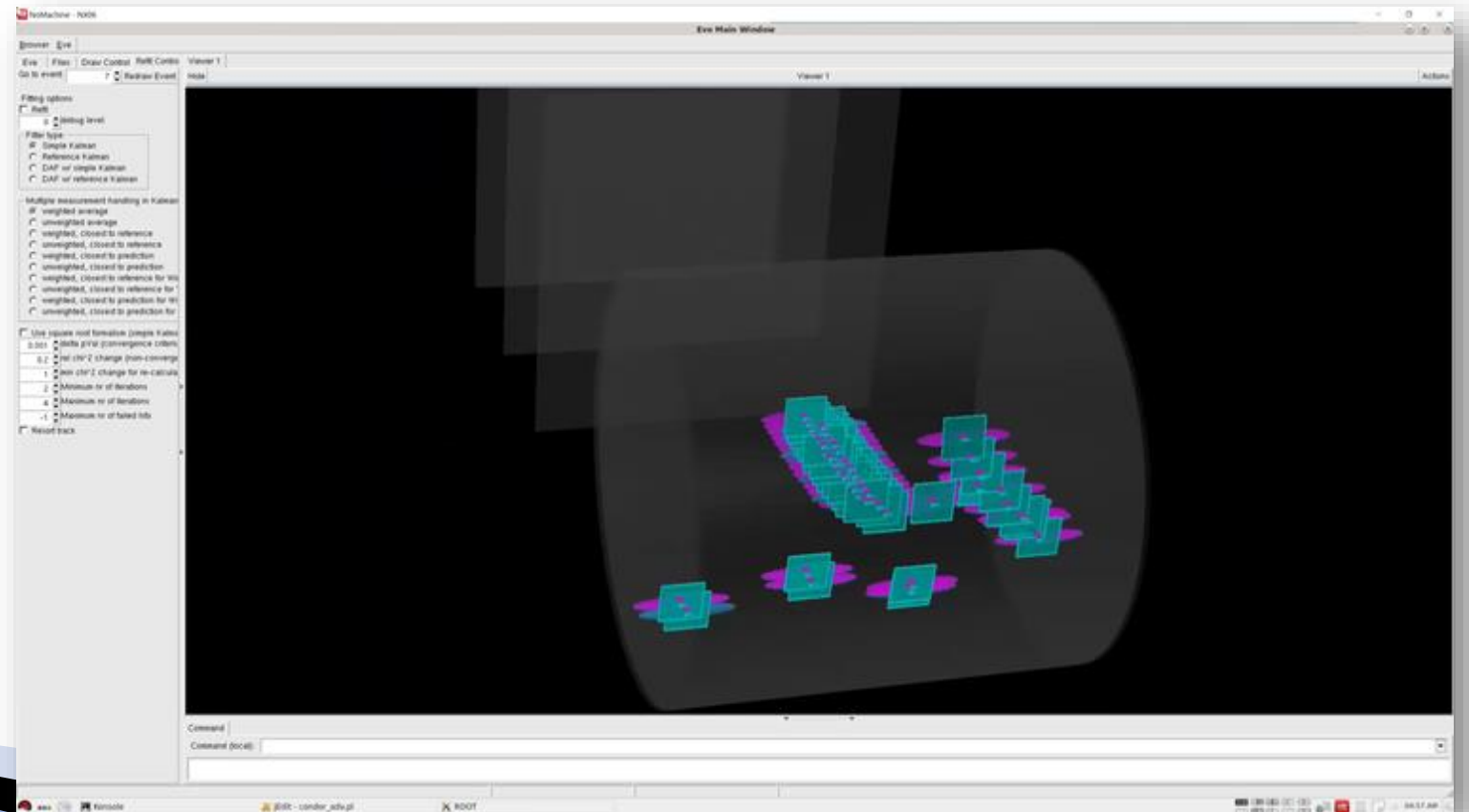
# Clustering and Fit with LC-shaping function

- ▶ Reference to code:  
[TpcPrototypeUnpacker::Clustering\(\)](#)
- ▶ With in each layer, clustering neighboring pads above threshold
- ▶ Fit overall cluster ADC vs Time to get signal shape with shaping function fit
- ▶ Use the constraint fit to fit each pad, and use each pad's energy to extract energy weighted cluster position



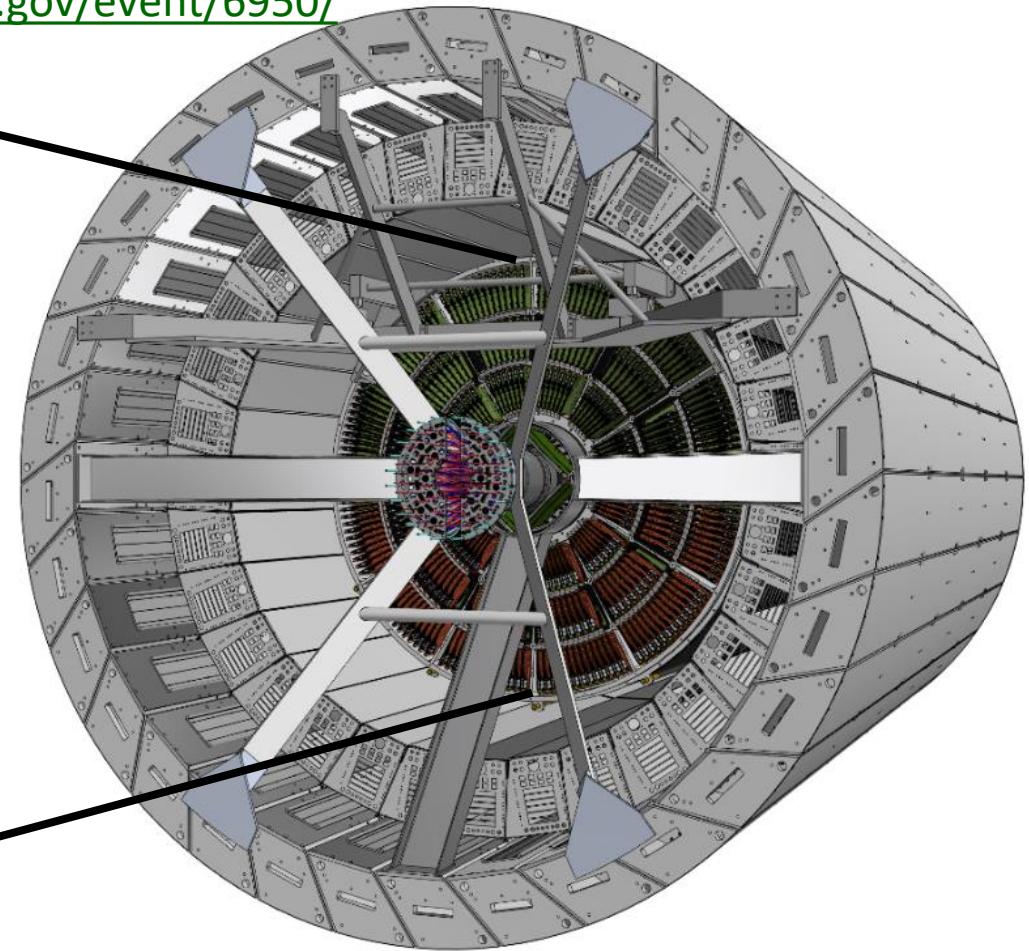
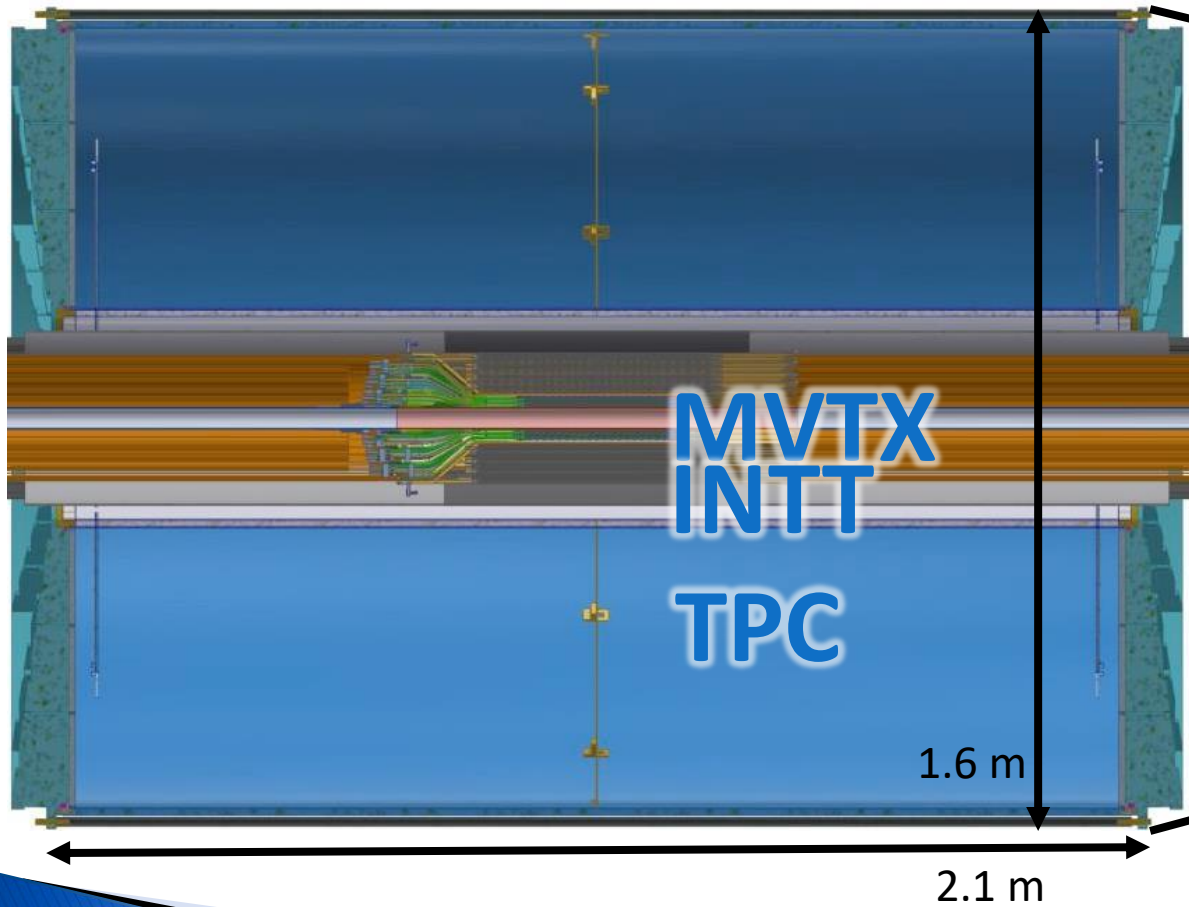
# Tracking and Kalman track fitting

- ▶ [Code reference: TpcPrototypeGenFitTrkFitter](#)
- ▶ 1-removed residual: Remove the cluster in study, perform Kalman filter fit of rest clusters on track, extrapolate to cluster and calculate residual on both phi and z dimensions



# Mechanical assembly

More details: <https://indico.bnl.gov/event/6662/> , <https://indico.bnl.gov/event/6950/>



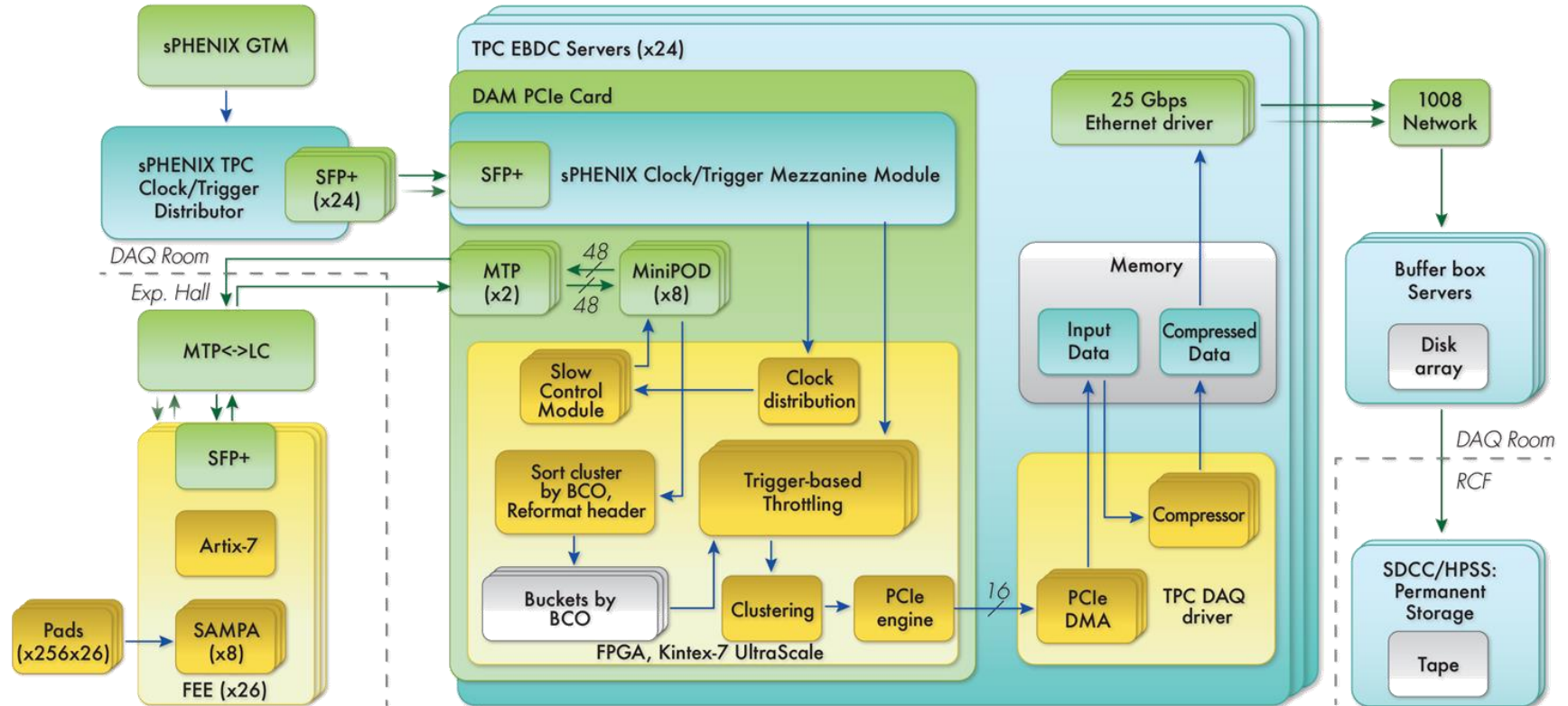
Detectors inside the magnet

# Continuous readout DAQ

256ch FEE based on ALICE  
to be SAMPAv5 w/ 80ns shaper



sPHENIX DAM based on ATLAS FELIX

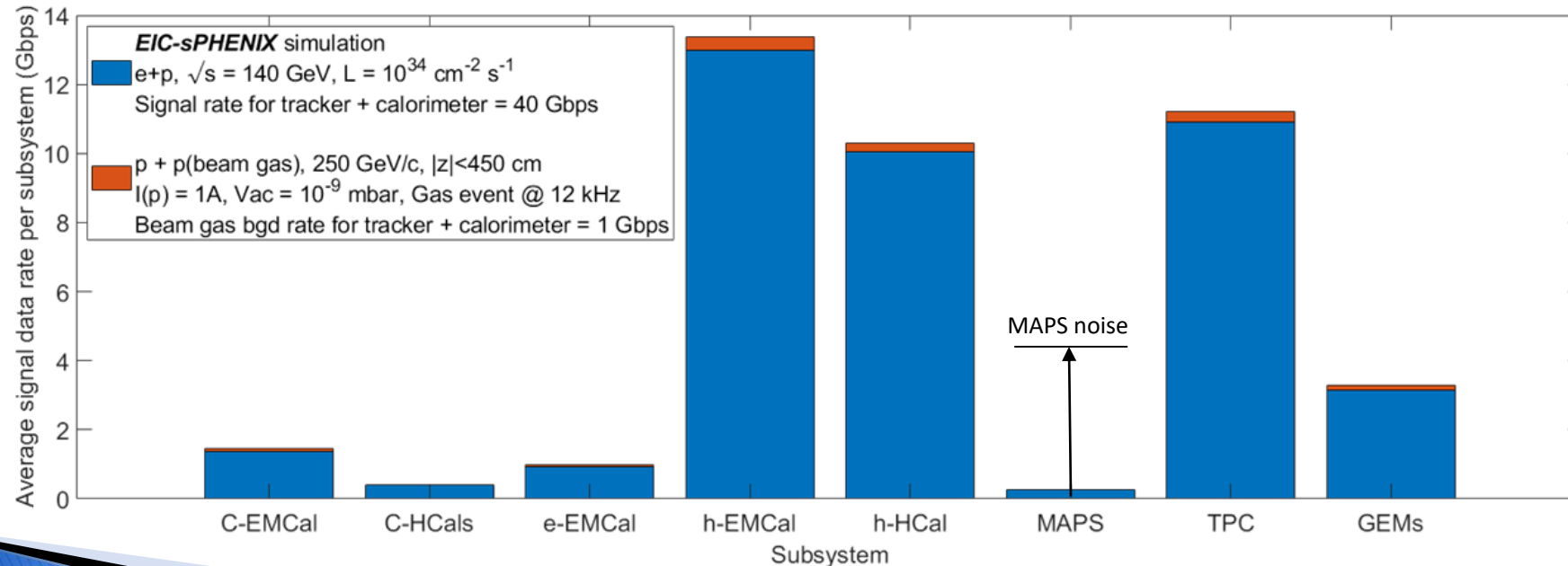


1 sector, 26 FEEs per DAM for readout  
24 sectors, 160k Pads and 624 FEEs  
24DAMs total

# DAQ Rate in Geant4 full detector simulation

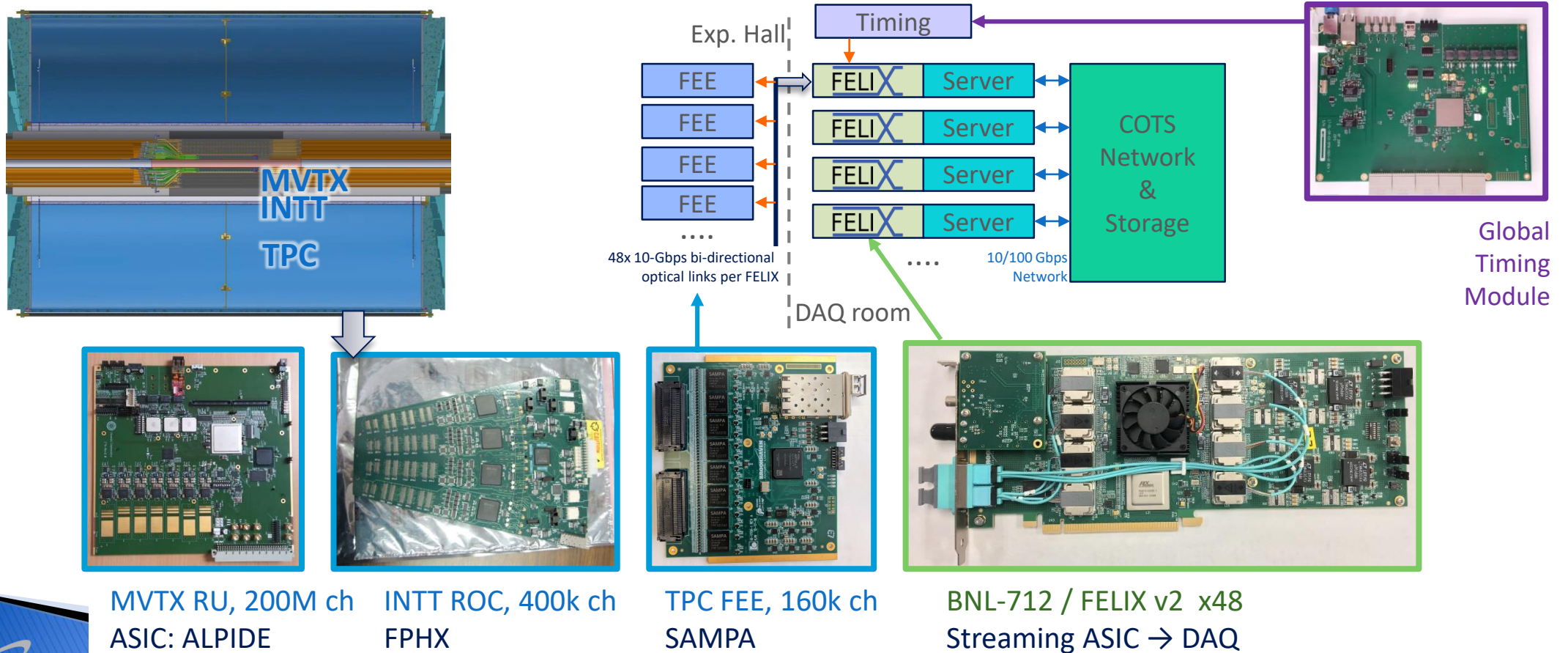
sPH-cQCD-2018-001: <https://indico.bnl.gov/event/5283/> , Simulation: <https://github.com/sPHENIX-Collaboration/singularity>

- ▶ Data we want to record: all EIC collision signal  $\sim 100$  Gbps @  $10^{34}$  cm<sup>-2</sup> s<sup>-1</sup>, < sPHENIX peak disk rate
- ▶ **Background** hit rejection, if needed:
  - Vac profile based on HERA experience ( $10^{-9}$  mbar)  
→ Overall  $\sim 1$  Gbps @ 12kHz p+p(**beam gas**) interaction << EIC collision signal data rate
  - We will be happy to collaborate on studying **other source of background and noises** (e.g. synchrotron)



# Streaming readout for sPHENIX trackers as EIC demo

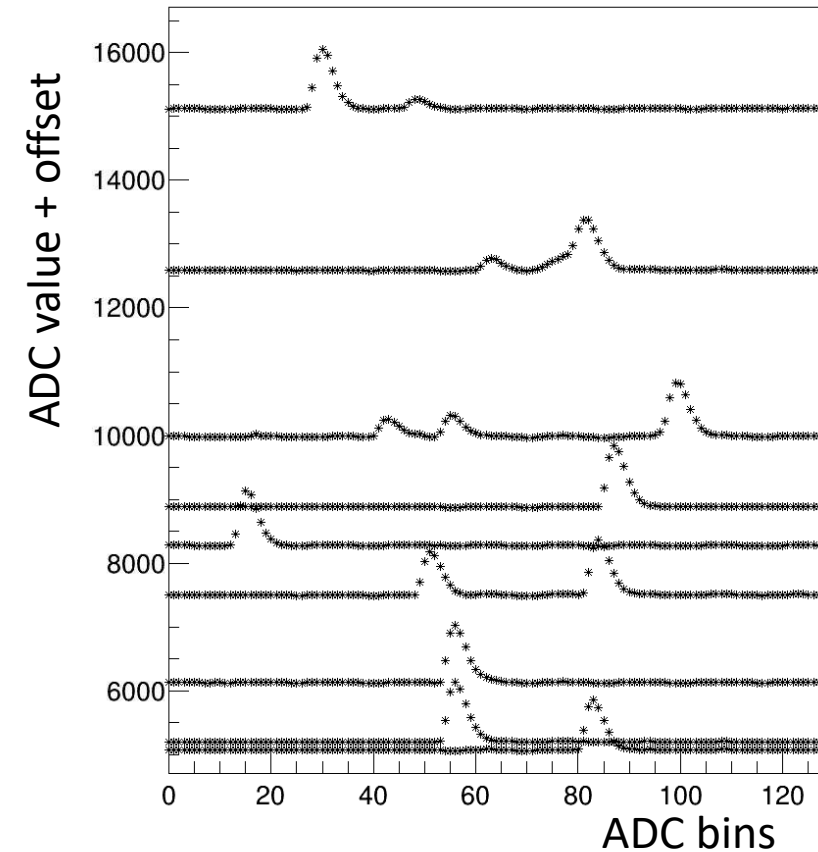
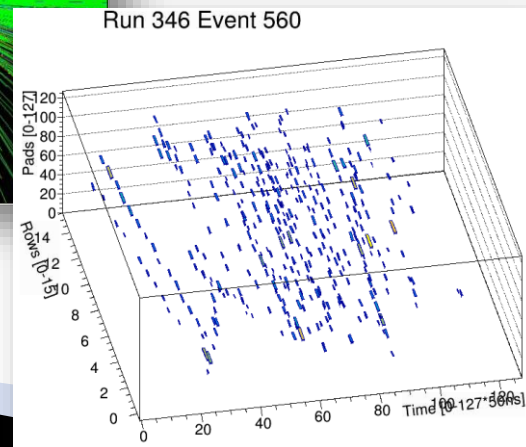
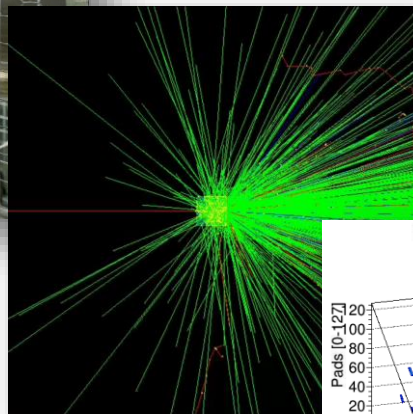
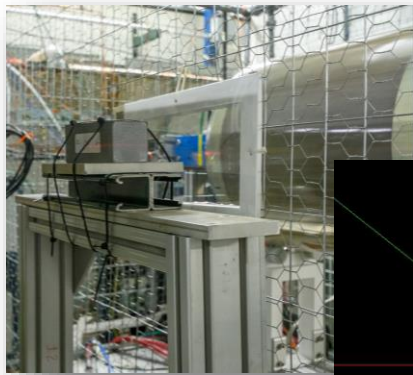
- A large demo of this EIC streaming DAQ concept: sPHENIX tracking system
- Exploring EIC application with BNL LDRD19-028, EIC eRD21



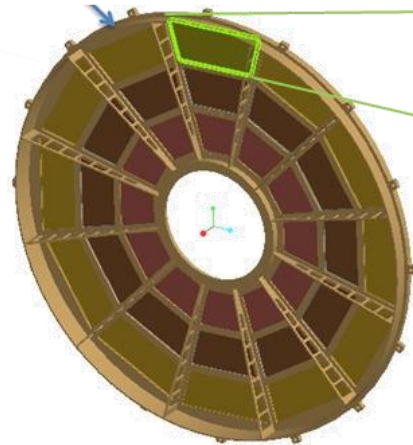
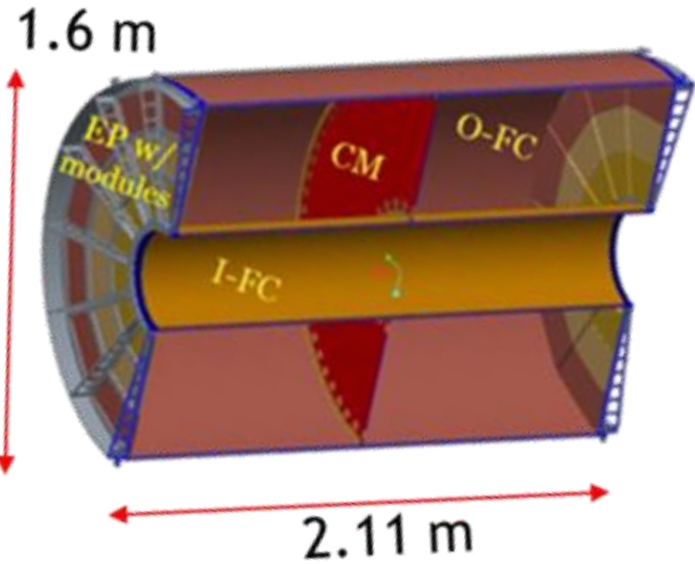


# High multiplicity operation

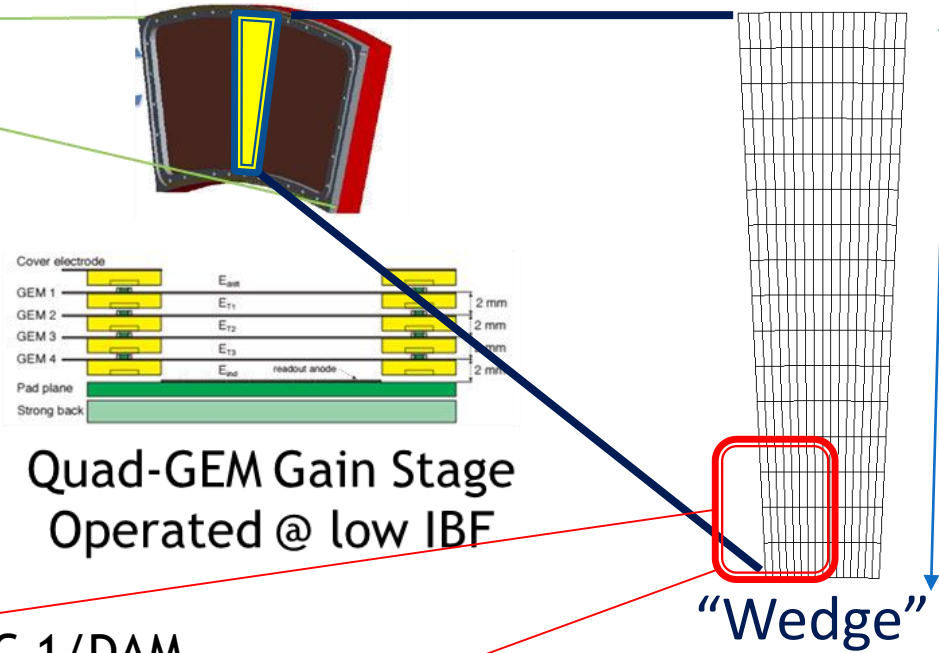
- ▶ Put a 7 radiation length EMCal block in front of TPC to induce high track density
- ▶ For pile up study, high signal recovery



# Readout components



72 modules  
2(z), 12( $\phi$ ), 3(r)



EBDC 1/DAM

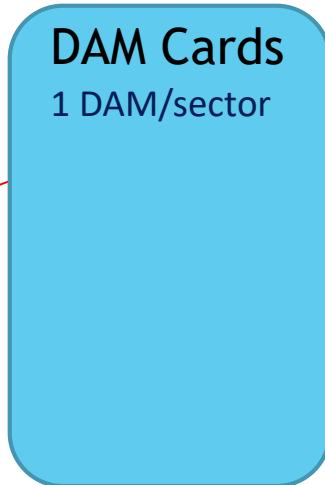
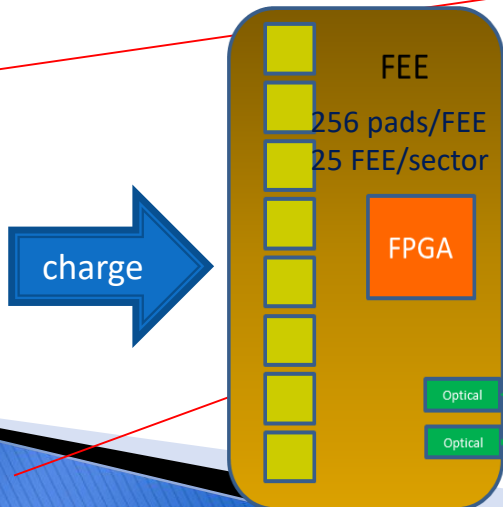
DAM Cards  
1 DAM/sector



Item	Count
Field Cage	1
Modules	72
FEE	624
DAM	24
EDBC	24

PID group meeting

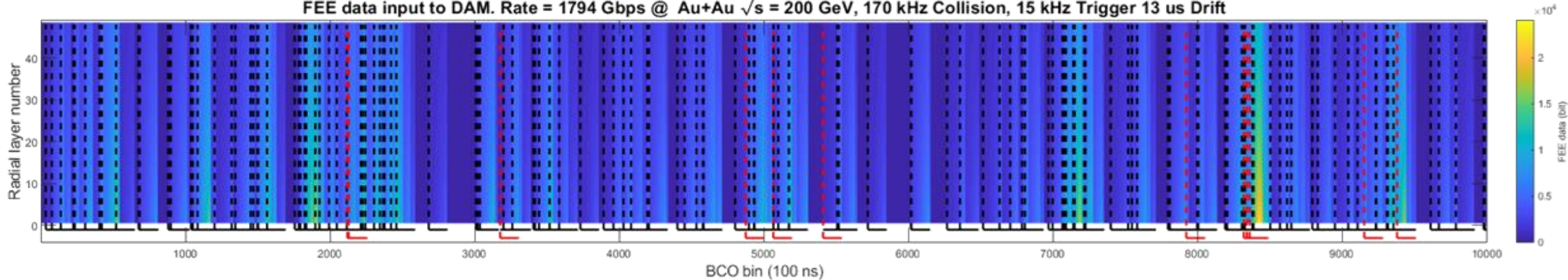
26



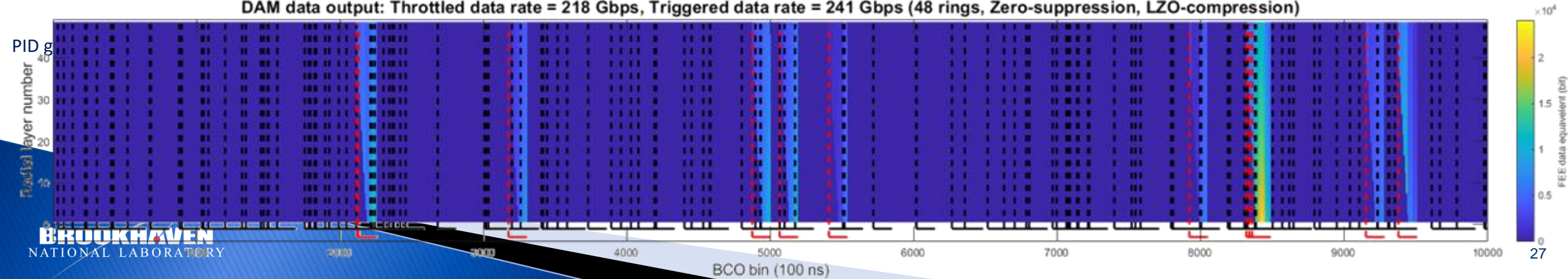
# Continuous readout operation

- ▶ FEE continuously digitize at 20 MHz, zero suppression in SAMPA ASIC
- ▶ DAM buffer all data in FPGA, throttle output that is corresponding to calo. trigger

FEE data input to DAM. Rate = 1794 Gbps @ Au+Au  $\sqrt{s}$  = 200 GeV, 170 kHz Collision, 15 kHz Trigger 13  $\mu$ s Drift



DAM data output: Throttled data rate = 218 Gbps, Triggered data rate = 241 Gbps (48 rings, Zero-suppression, LZO-compression)

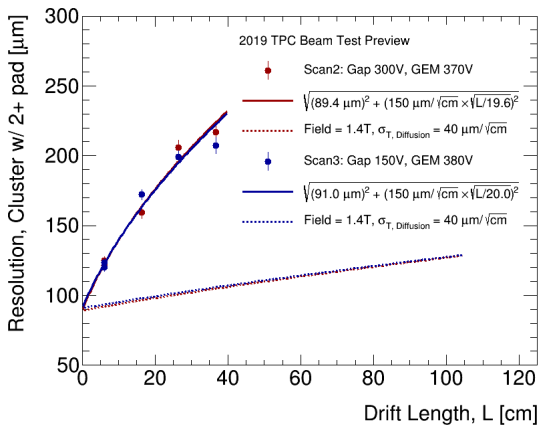
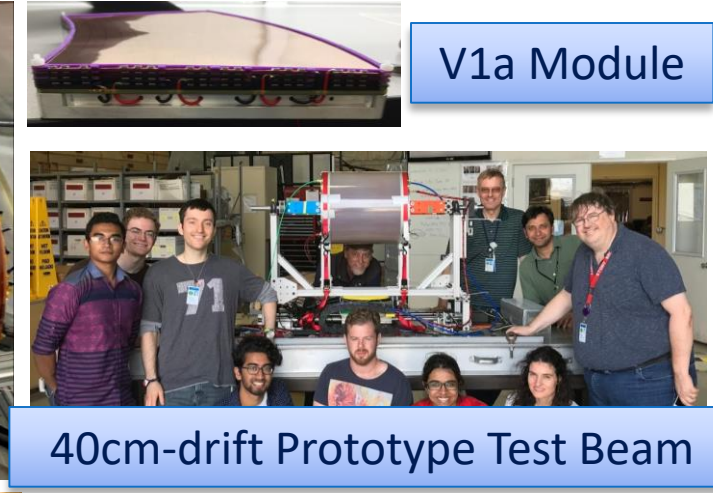
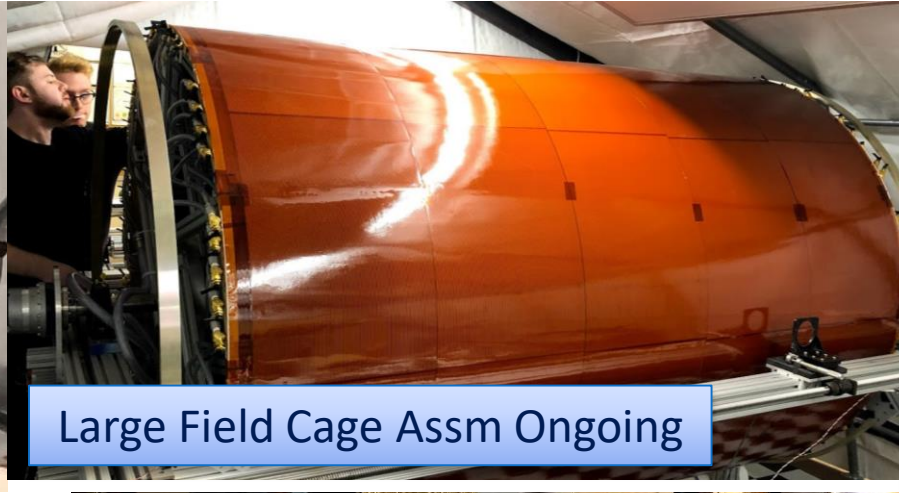
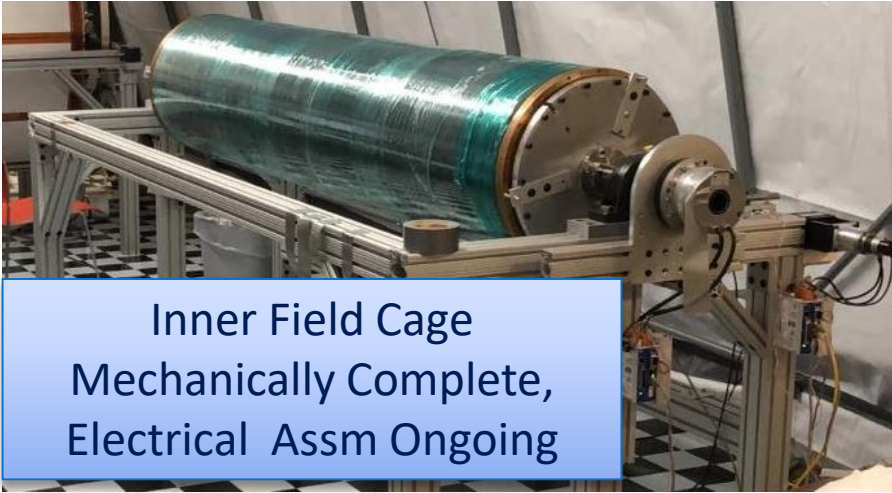


# sPHENIX TPC data rate?

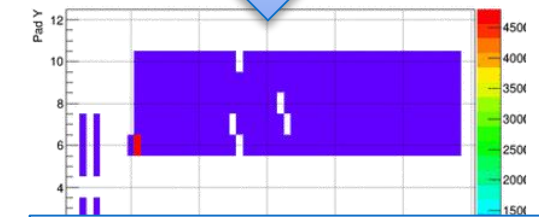
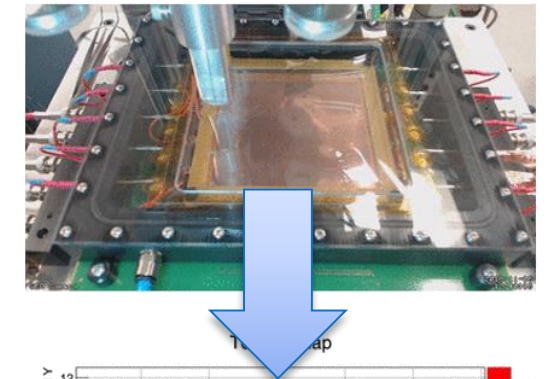
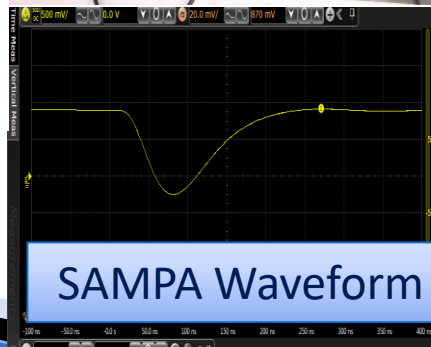
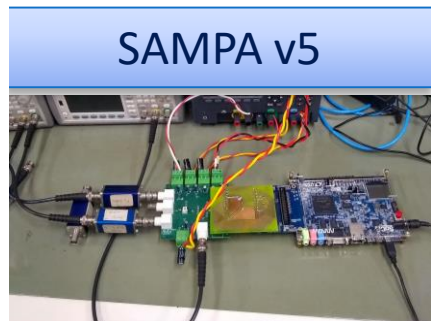
- ▶ Instantaneous TPC data rate at a given instantaneous collisions rate
  - Au+Au TPC data rate [Gbps]  $\sim 70 + 1 * \text{Collision\_kHz}$
- ▶ sPHENIX rate capability would allow EIC operation stream recording all collision-induced data without triggering

	AuAu (Y-1)	AuAu (Y-3)	AuAu (Y-5)	pp	pA
Average collision rate [kHz]	100	140	170	12900	2800
FFE → DAM data rate [Gbps]	1100	1476	1800	1700	1470
DAM → DAQ data rate [Gbps]	170	209	240	160	133
Per-event size @ DAQ [MB/evt]	1.4	1.7	2.0	1.3	1.1

# Status and Highlights

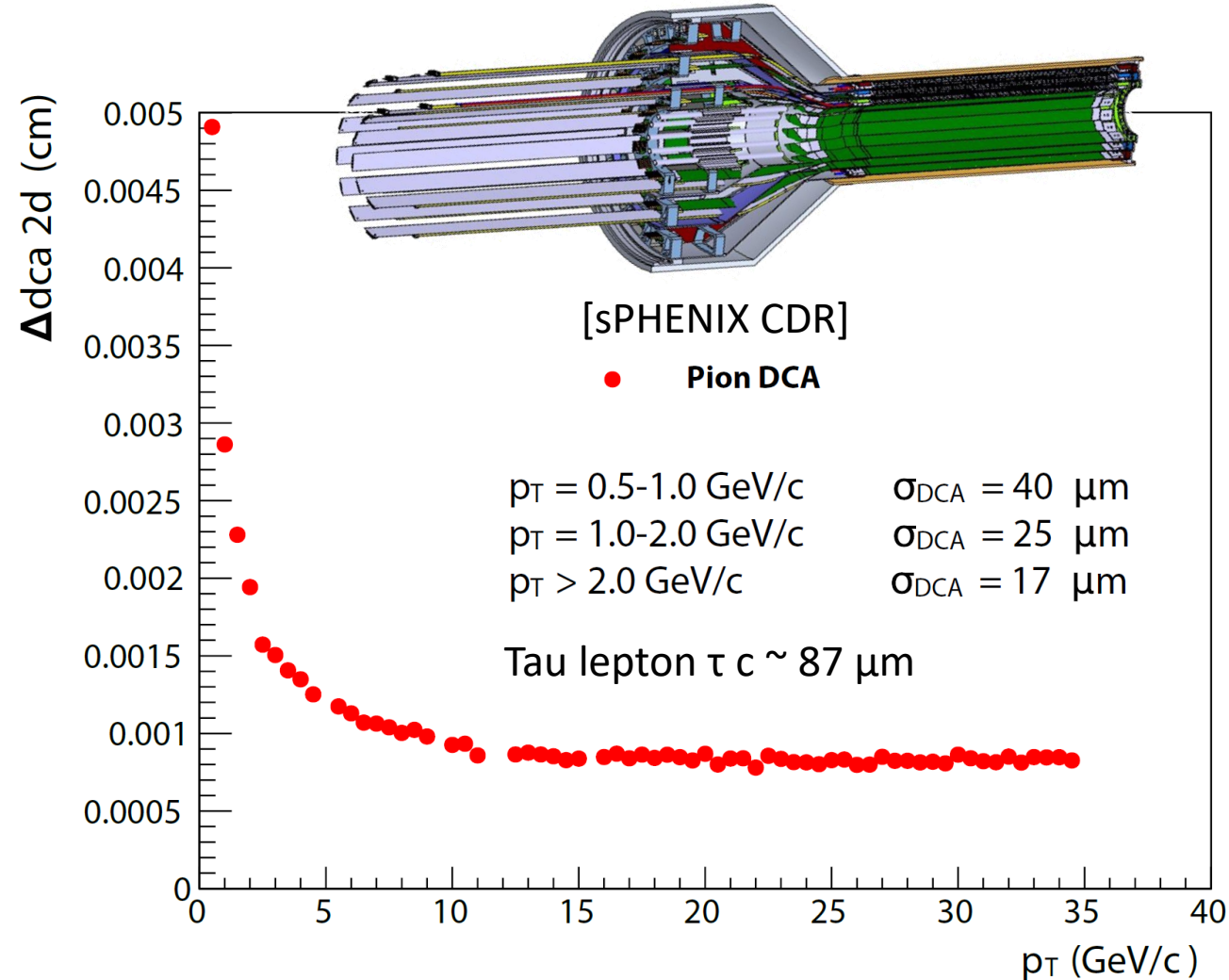


Sim <138 $\mu\text{m}$ >  
Meas 90-130 $\mu\text{m}$



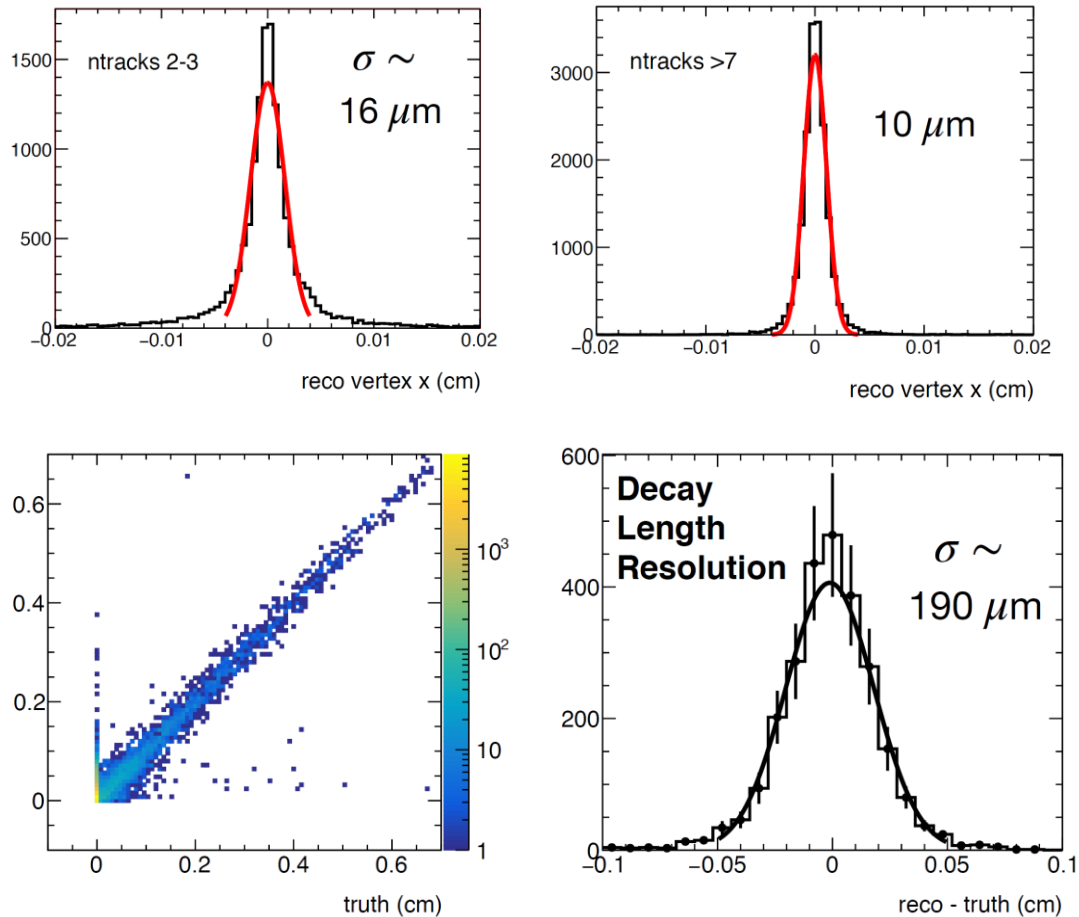
# MAPS-based silicon tracker: $\tau$ ID via displaced vertex

- ▶ For initial  $\tau$ -reco evaluation: sPHENIX vertex tracker
  - 30  $\mu\text{m}$  ALICE Pixel MAPS pixel in three layers, total 200 M pixel channels
  - 5  $\mu\text{m}$  hit position resolution
  - 0.3%  $X_0$  thickness per layer
  - $R_{\text{min}} \sim 2\text{cm}$ . Note: EIC  $R_{\text{min}}$  likely  $\sim 3\text{cm}$
- ▶ Simulation: full detector in Geant4
- ▶ Reconstruction: digitization  $\rightarrow$  clustering  $\rightarrow$  track finding  $\rightarrow$  Kalman filter  $\rightarrow$  primary and 2<sup>ndary</sup> vertexing
- ▶ Run it on your laptop:  
<https://github.com/sPHENIX-Collaboration/Singularity>

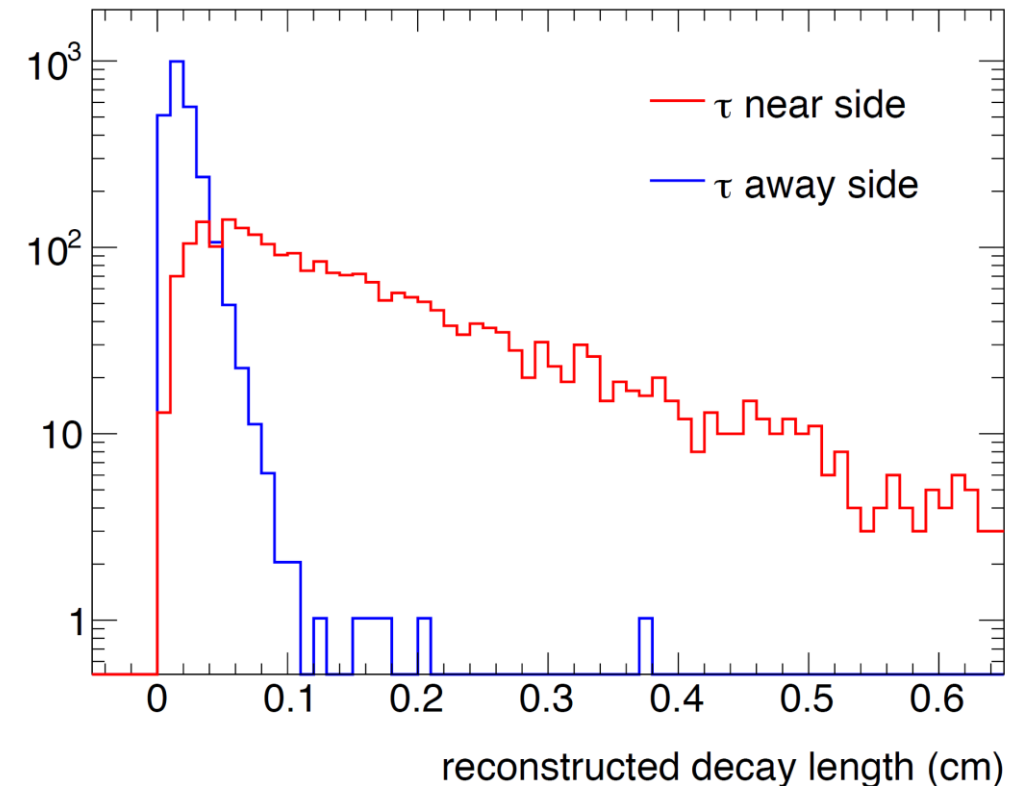


# Full sim + reconstruction: secondary vertex of $\tau$

Vertex reco. performance, Plot by Jinlong Zhang (SBU)

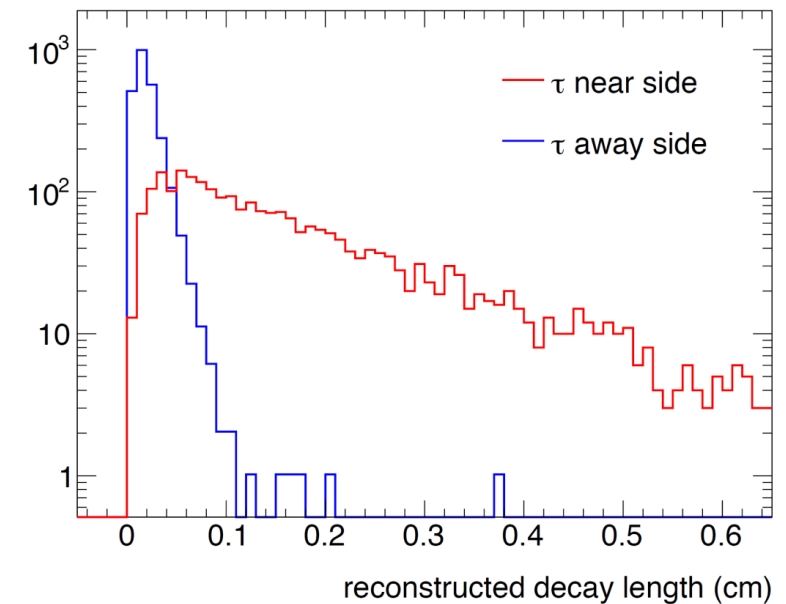
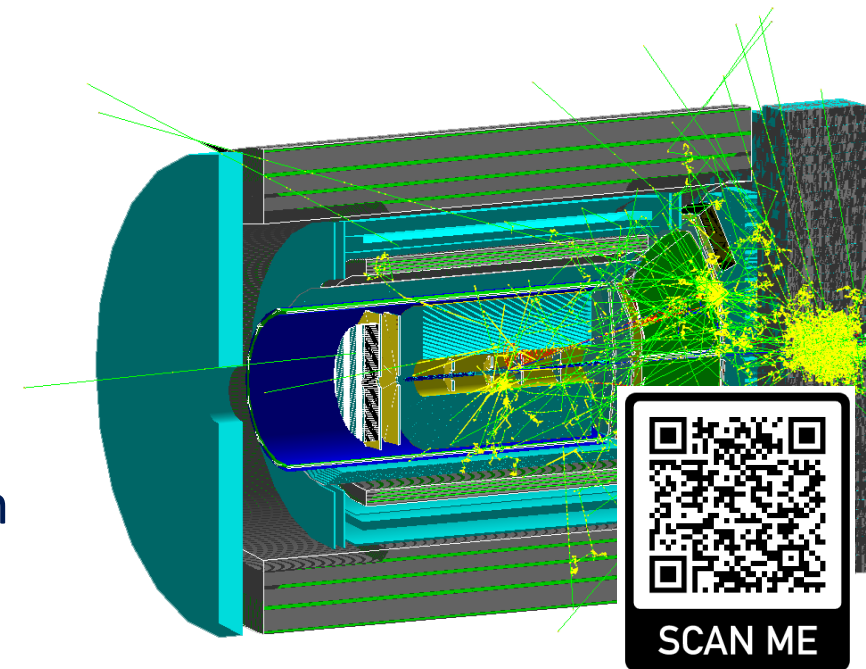


- ▶ Via realistic simulation and reco.
  - W/ sPHENIX vertex tracker configuration.
  - Updating with an EIC vertex tracker simulation
- ▶ Capable separation of  $\tau$  jet from QCD jet

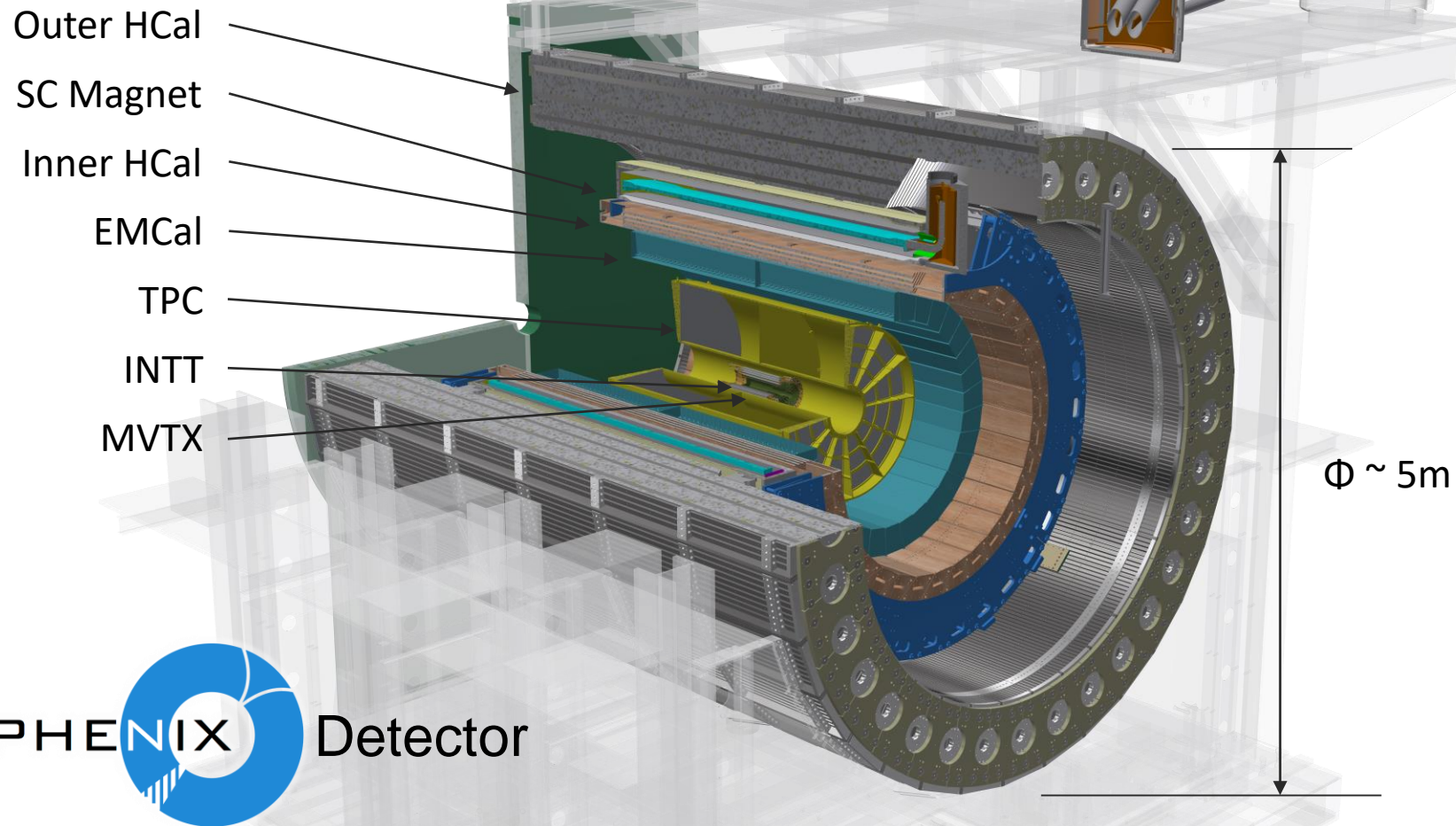


# Summary

- ▶ EIC with high ( $10^{34}/\text{cm}^2/\text{s}$ ) luminosity opens opportunities for Charged Lepton Flavor Violation search
  - Benchmarking  $e \rightarrow \tau$  search with Leptoquark models
- ▶ Starting an effort reexamining the potential of CLFV search with decay topological using modern precision vertex tracker and event shape analysis
  - Aiming for 0.1 fb cross-section sensitivity
  - Synergies with heavy flavor program at EIC: Talk X. Li, Y.S. Lai
- ▶ Full detector simulations and reconstruction via sPHENIX-EIC concept
  - Try it on your computers: <https://github.com/sPHENIX-Collaboration/Singularity>
- ▶ Next steps:
  - Completing study 3-prong  $\tau \rightarrow$  charged pion decay
  - Explore 1-prong ( $\pi\pi^0, \mu^- X$ ) possibilities







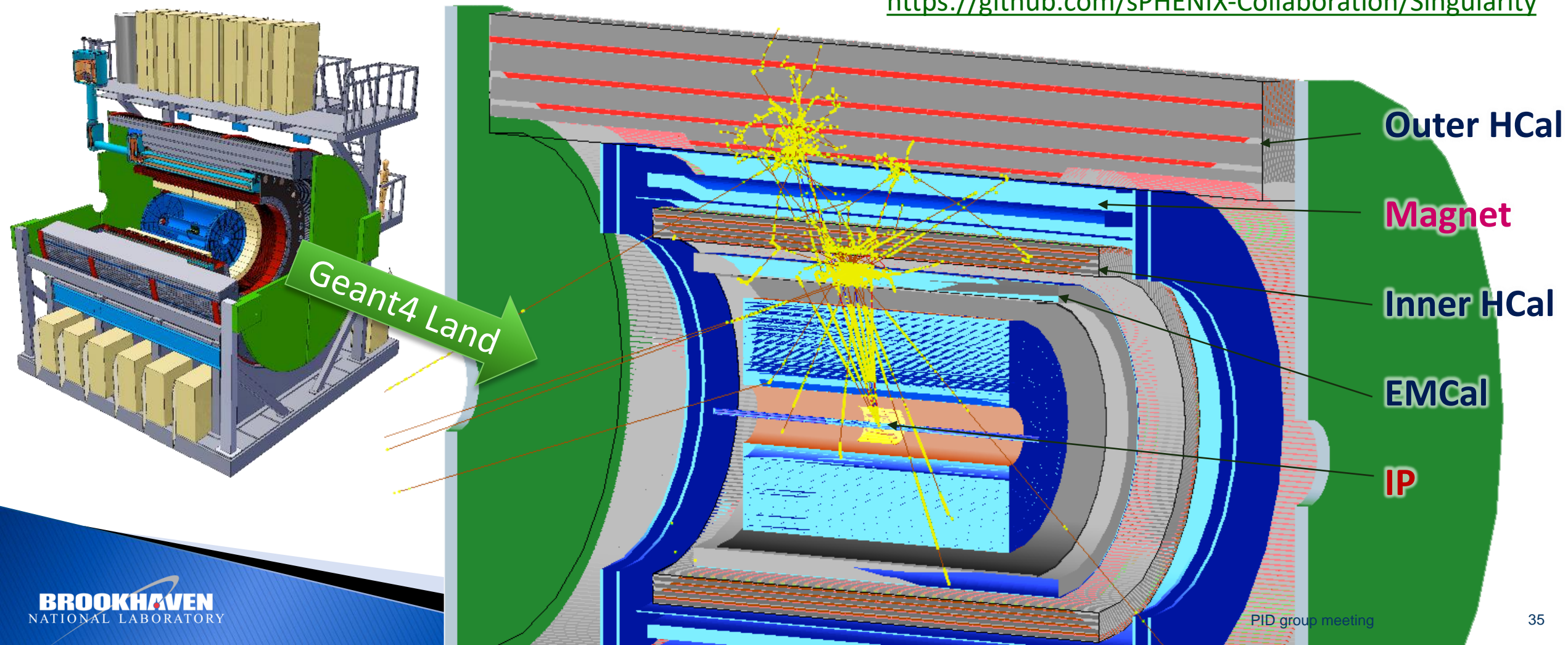
- ▶ 2018: Cost/schedule review and DOE approval for production start of long lead-time items (CD-1/3A)
- ▶ 2022: installation in RHIC 1008 Hall; 2023: First data
  - ▶ All tracker front end support streaming readout.
  - ▶ DAQ disk throughput for 9M particle/s + pile ups (> EIC ~4M particle/s)

# Simulation implementation

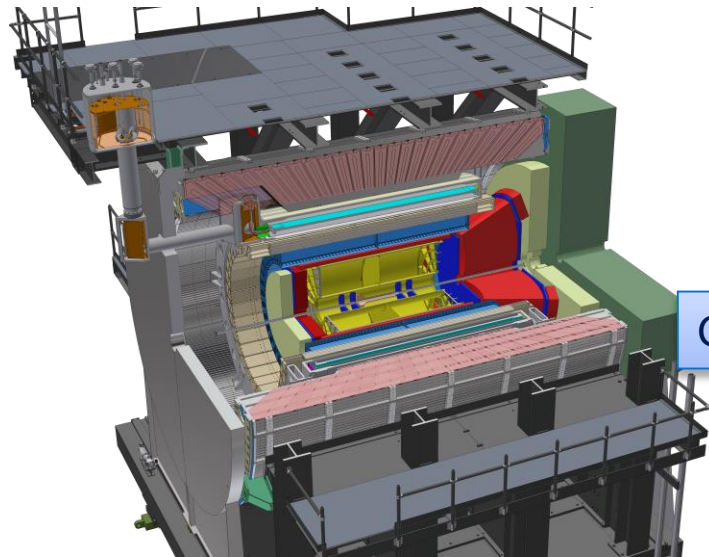


# sPHENIX in simulation

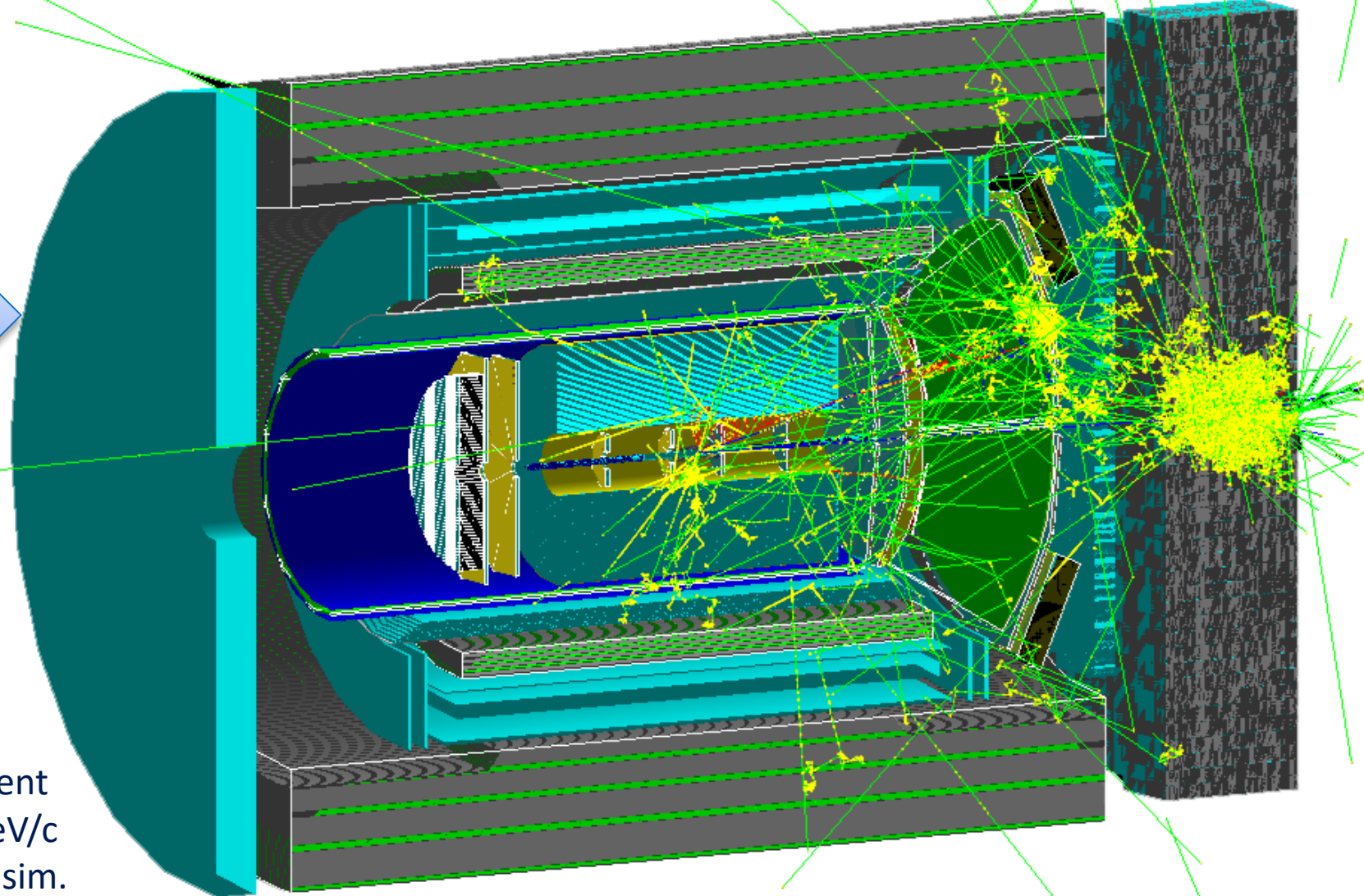
- Open source on GitHub
- Try it on your laptop:  
<https://github.com/sPHENIX-Collaboration/Singularity>



# Framework also adopted for EIC simulation



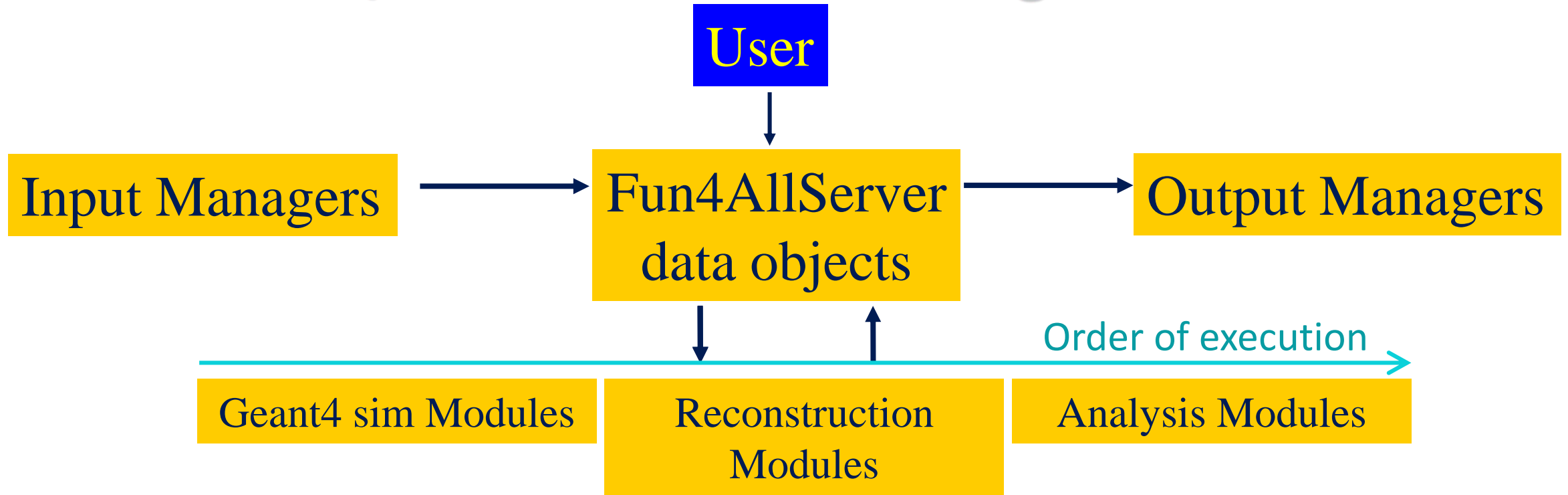
Geant4



[https://github.com/sPHENIX-Collaboration/macros/blob/master/macros/g4simulations/Fun4All\\_G4\\_EICDetector.C](https://github.com/sPHENIX-Collaboration/macros/blob/master/macros/g4simulations/Fun4All_G4_EICDetector.C)

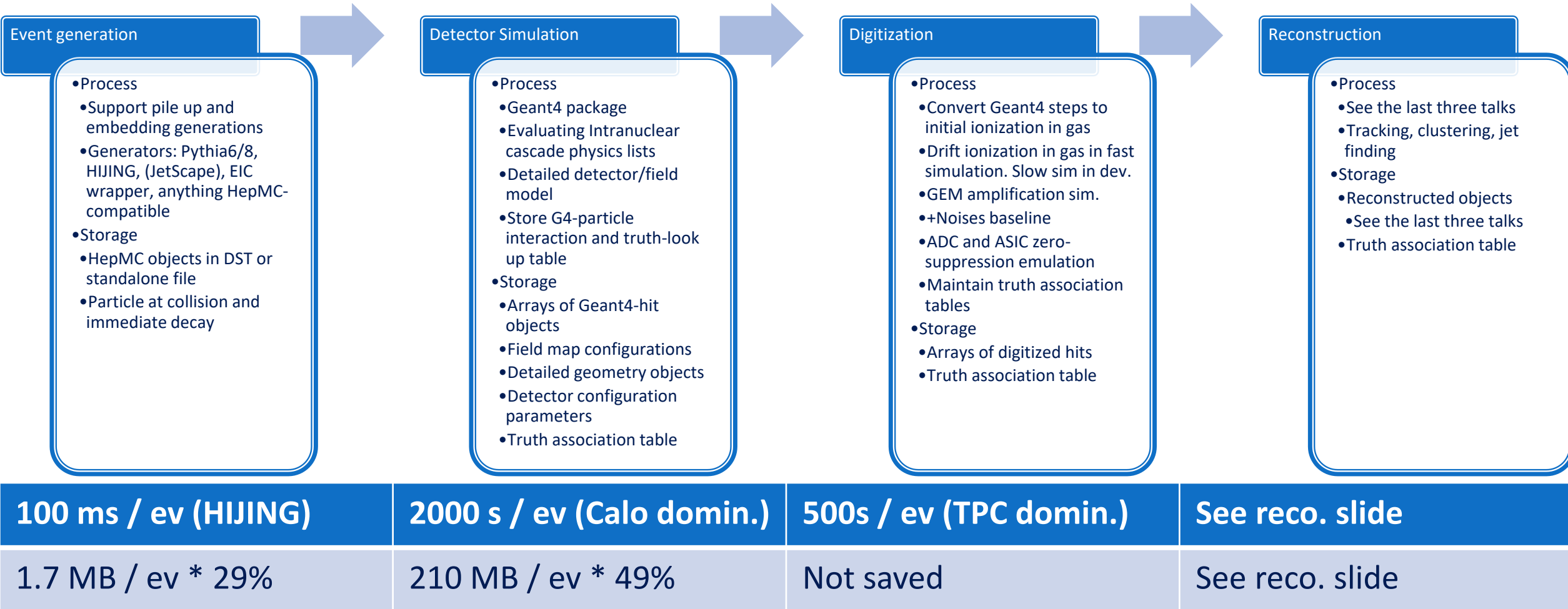
LQGENEP 1.0  
Leptoquark event  
e+p 20x250 GeV/c  
+ sPHENIX-EIC sim.

# Simulation/reco framework integration



- ▶ Geant4 simulation and reconstruction are integrated in the sPHENIX software framework
- ▶ In production mode: run Geant4 sim in central production (CPU intensive), buffer the output file (DST) for reuse (require disk space), then run reconstruction in separated user sessions.
- ▶ Ensure same configuration and geometry are used in simulation and reconstruction → embedding
  - For example, Geometry and magnetic field configuration in Geant4 is automatically passed down to reconstruction stage for use in alignment adjustment, in tracking Kalman filter and in calorimetry geometric presentation.

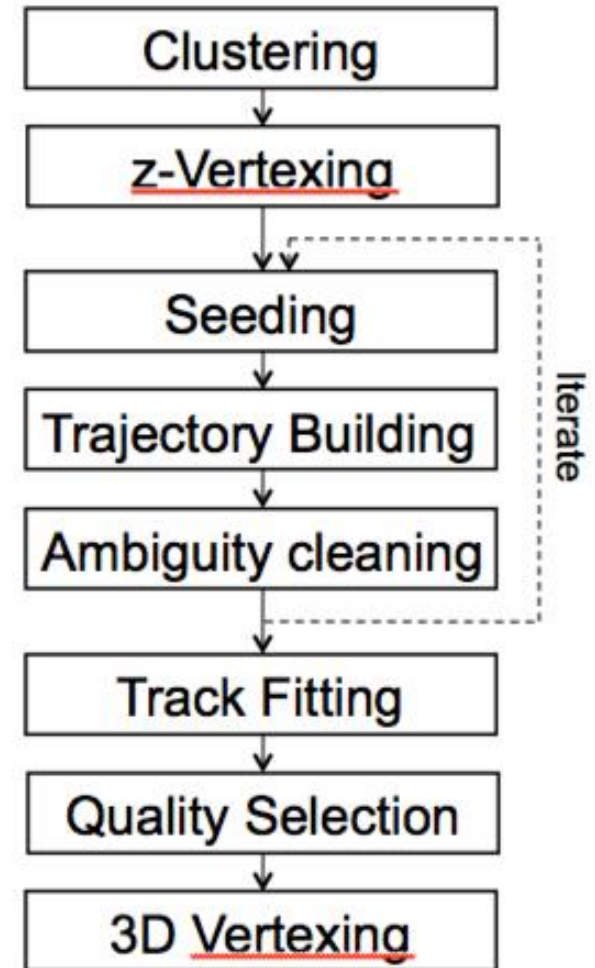
# Simulation chain



- Above table : per-event resource for **central** Au+Au event in full sPHENIX.
- Store object optimized for fast dev, **rather for space saving yet**. Stored in ROOT file with compression ratio shown in the table.
- Bare signal probes simulated in the embedding mode (fast 10s/ev, regularly carried out 0.1-1M sample studies in the past)

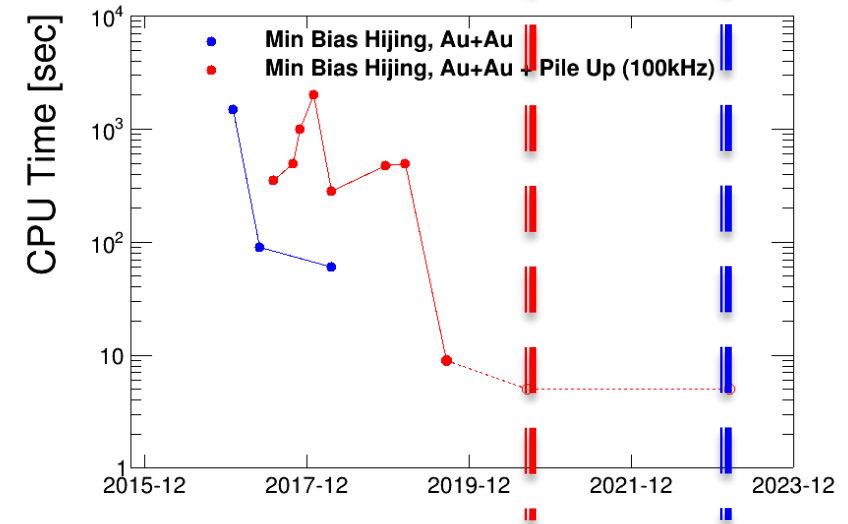
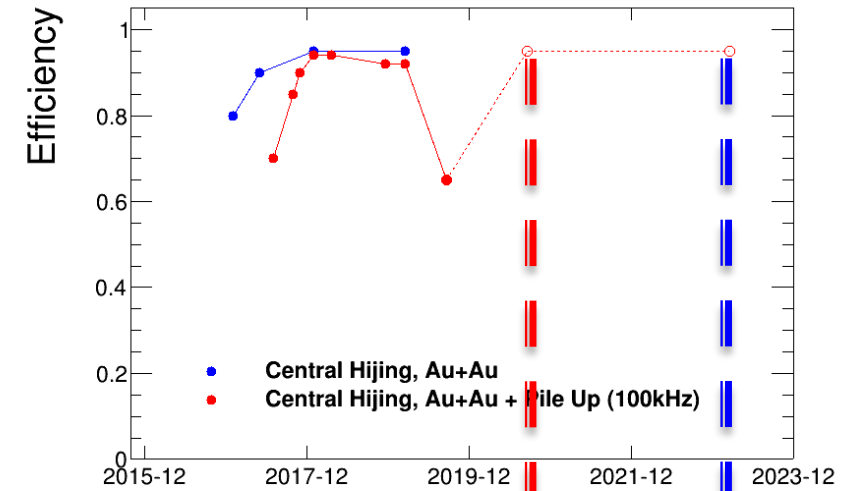
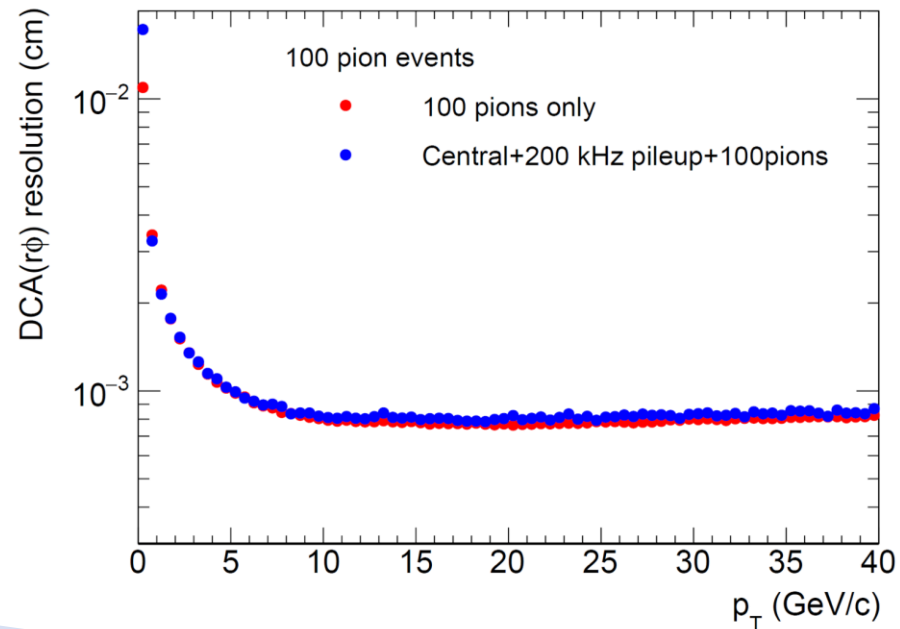
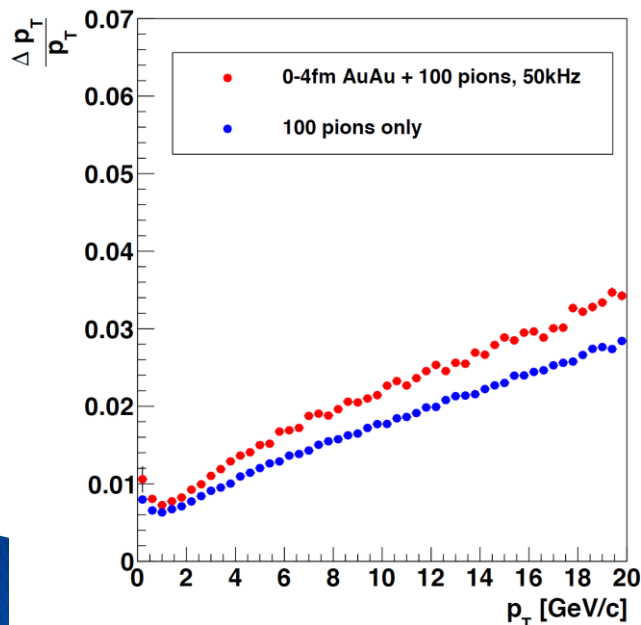
# Reconstruction chain

- ▶ Iterative Kalman Filter based track reconstruction package
- ▶ Hough transformation based seeding algorithm
  - Provides redundancy against missing hits
  - Outside in approach
- ▶ Track propagation and fitting based on the GenFit package
  - Open source software
  - Well tested through use in different experiments
    - E.g. PANDA, BELLE
- ▶ Iterations with hit removal and different seed constraints
  - 4 hits out of 7 layers
  - 6 hits out of 12 layers
- ▶ RAVE-based vertex finding, fitting
- ▶ Considering evolution to ACTS for speed optimization by HEP community



# Tracking performance

- ▶ Evaluated for most challenging case of AuAu collision + pileup
- ▶ EIC multiplicity would be significantly lower
- ▶ Reference: <https://indico.bnl.gov/category/85/>
  - sPHENIX PD-2/3 review
  - sPHENIX computing review





# Distributed computing for simulation

- ▶ Simulation are suitable for distribute for opportunistic computing offsite, e.g. OSG
- ▶ Successful experience in distributing sPHENIX simulation via Singularity container, e.g. at LLNL, Umich, ...
  - A light-weight virtual environment to reproduce RCF software environment offsite
  - Validated output to be consistent with RCF

<https://github.com/sPHENIX-Collaboration/Singularity>

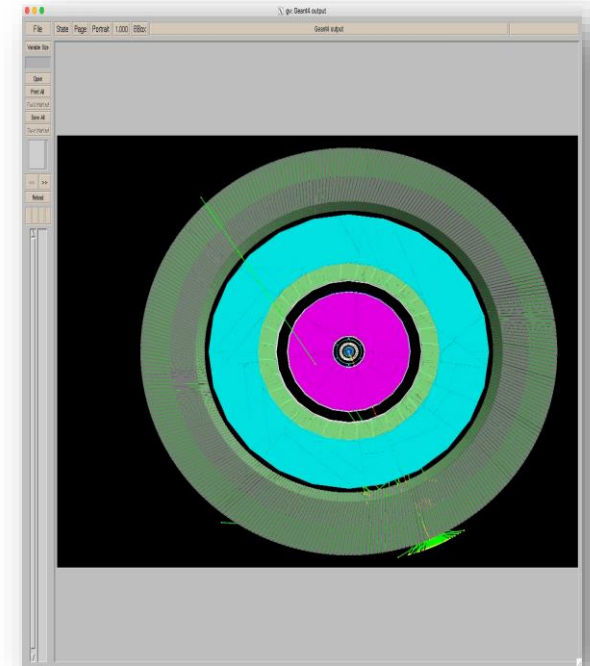
## Singularity container for sPHENIX and EIC-sPHENIX

Singularity container for sPHENIX and EIC-sPHENIX allow collaborators to run sPHENIX RCF/SDCC environment with the nightly builds on your local computers or on external high-performance computing clusters.

This repository includes the instruction and local update macro for this Singularity container.

Validations: `updatebuild.sh --build=new` **build passing**, `--build=root5` **build passing**

**standard macros** **git** **tutorials** **git** **code reference** **Doxygen** **last commit** **july**



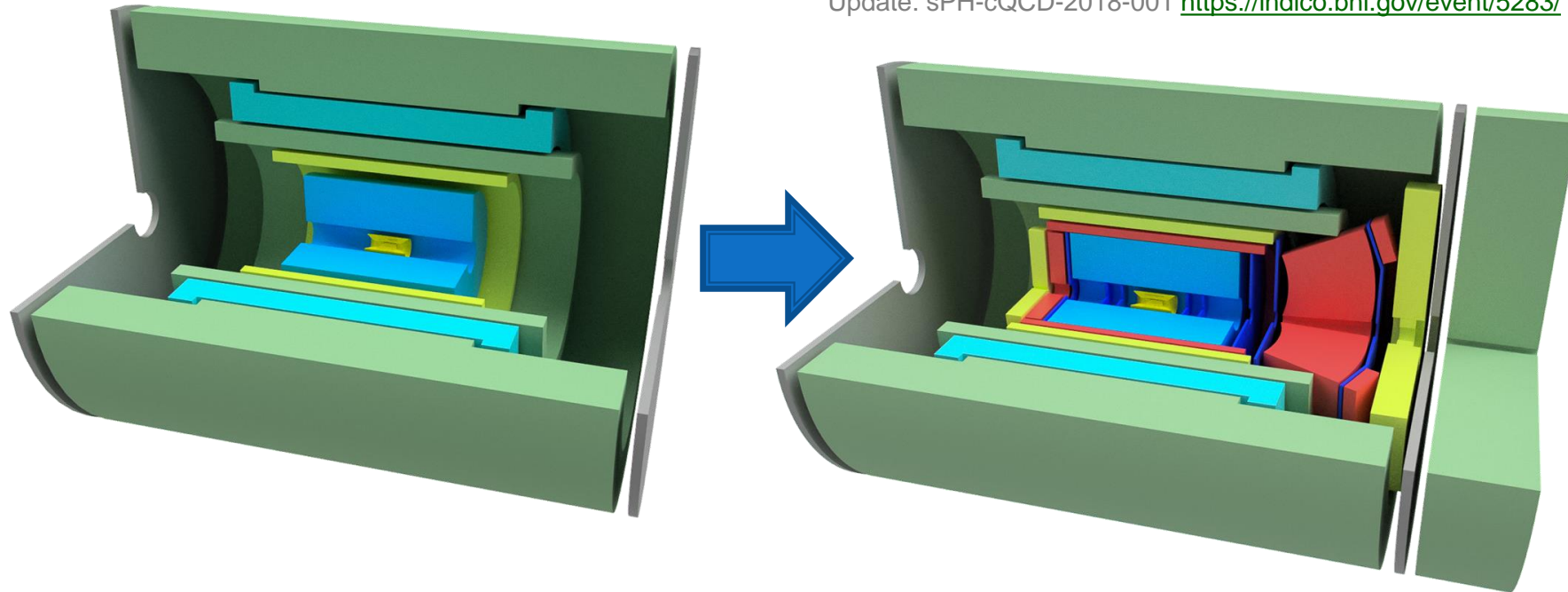
# Comments on EIC evolution










# sPHENIX and sPHENIX based EIC detector

LOI: arXiv:1402.1209 [nucl-ex]

Update: sPH-cQCD-2018-001 <https://indico.bnl.gov/event/5283/>



- |   |   |  |
|---|---|--|
|  Solenoid                    |  Flux return |  Central tracking |
|  Electromagnetic calorimeter |   |  Forward tracking |
|  Hadron calorimeter          |   |  Particle ID      |