

# Overview of recent results on **strange** **and heavy-flavor** particle production with ALICE at the LHC

**Xiaoming Zhang**

**Central China Normal University**



**QCD物理研讨会暨基金委重大项目学术交流会**  
**29–31 July 2022, Qingdao, China**



# Brief discussion of recent results on **strange particle production** in small systems with ALICE at the LHC

**Xiaoming Zhang**

**Central China Normal University**



**QCD物理研讨会暨基金委重大项目学术交流会**  
**29–31 July 2022, Qingdao, China**

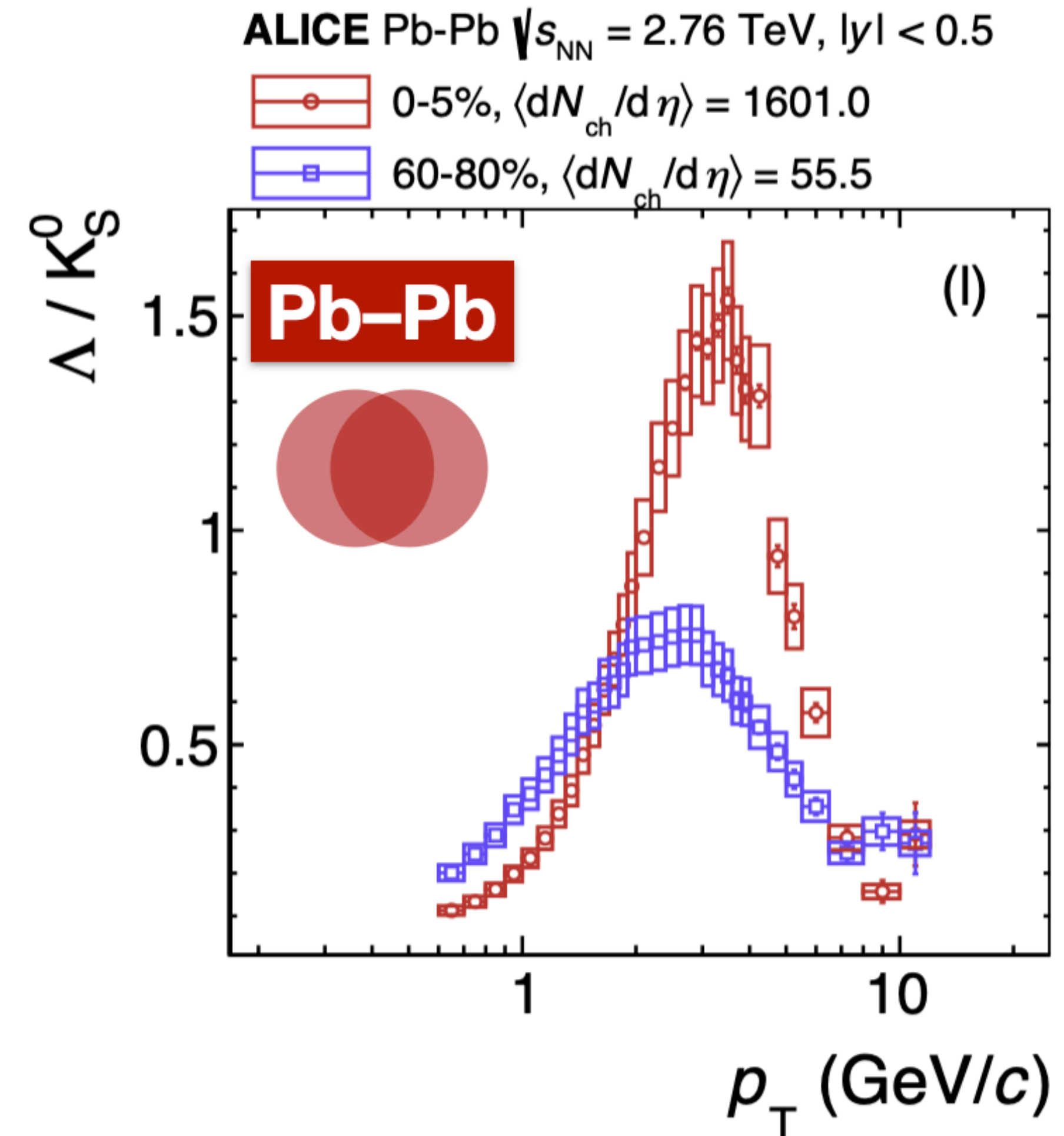


# Baryon-to-meson enhancement



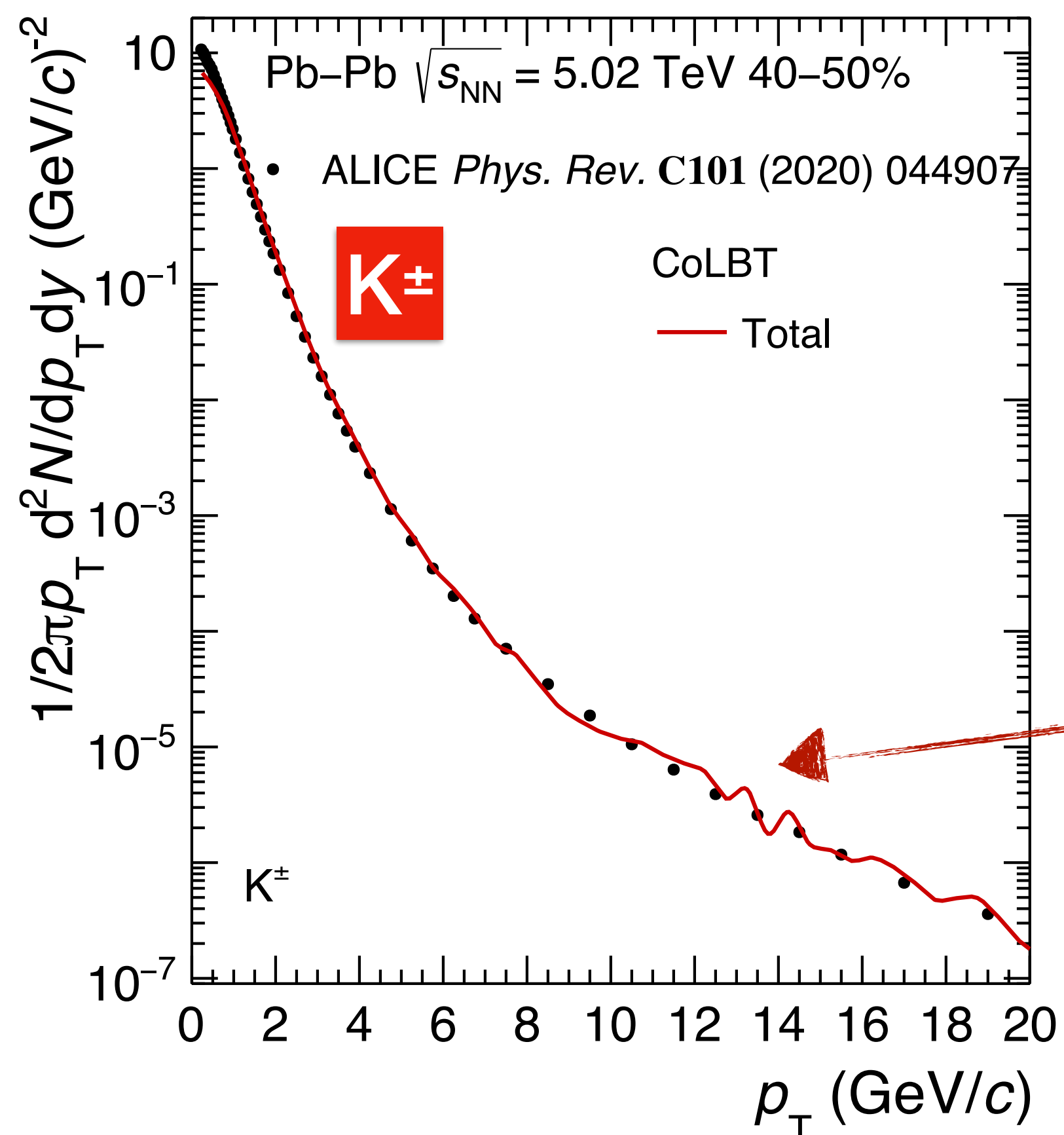
Baryon-to-meson ratio ( $\Lambda/K_S^0$ ) increases at intermediate  $p_T$  in central Pb–Pb collisions w. r. t. peripheral ones

- ➔ Interplay of radial flow and coalescence
- ➔ Reflect QGP effects in heavy-ion collisions

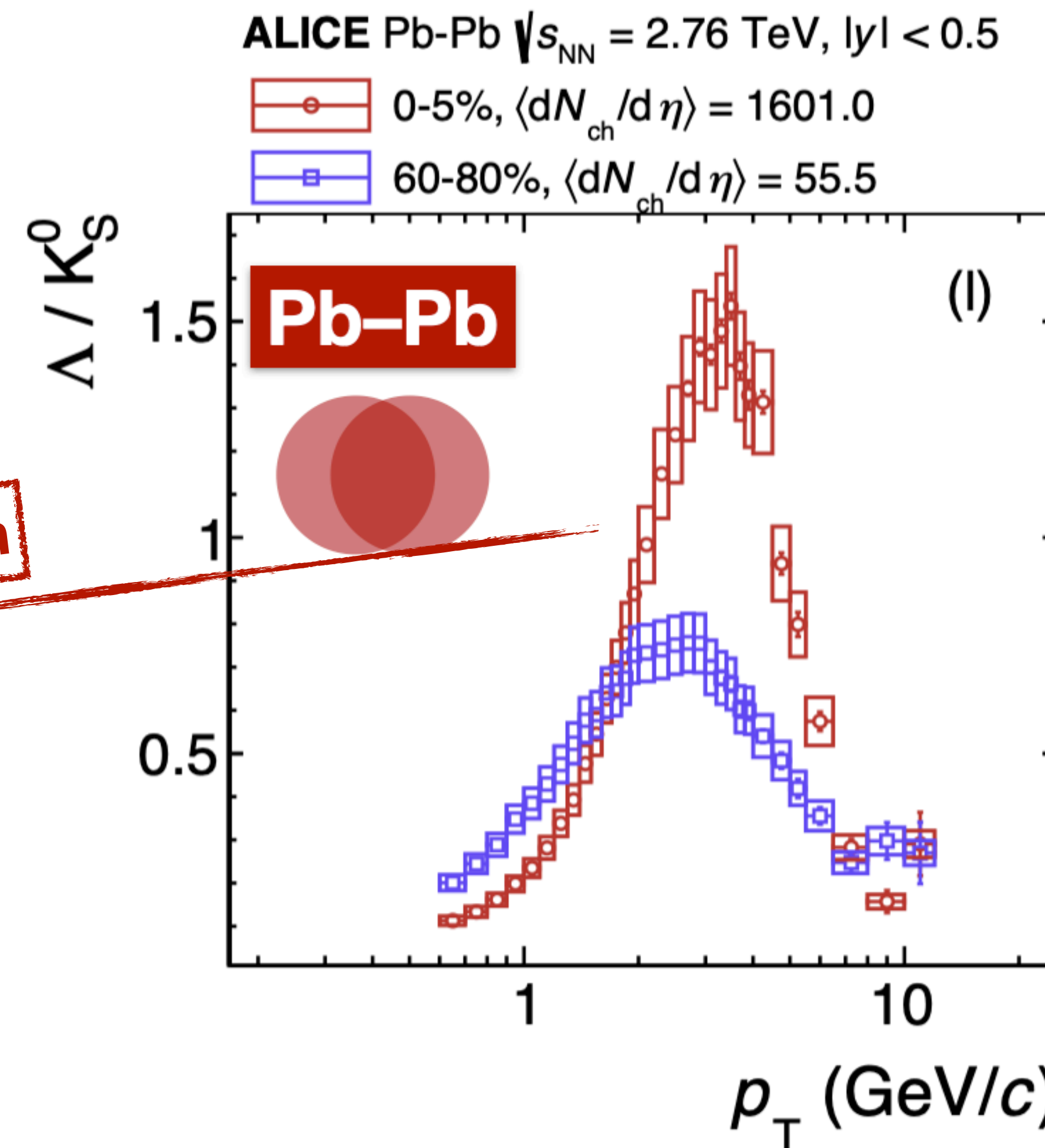


ALICE *Phys. Rev. C*99 (2019) 024906

# Baryon-to-meson enhancement

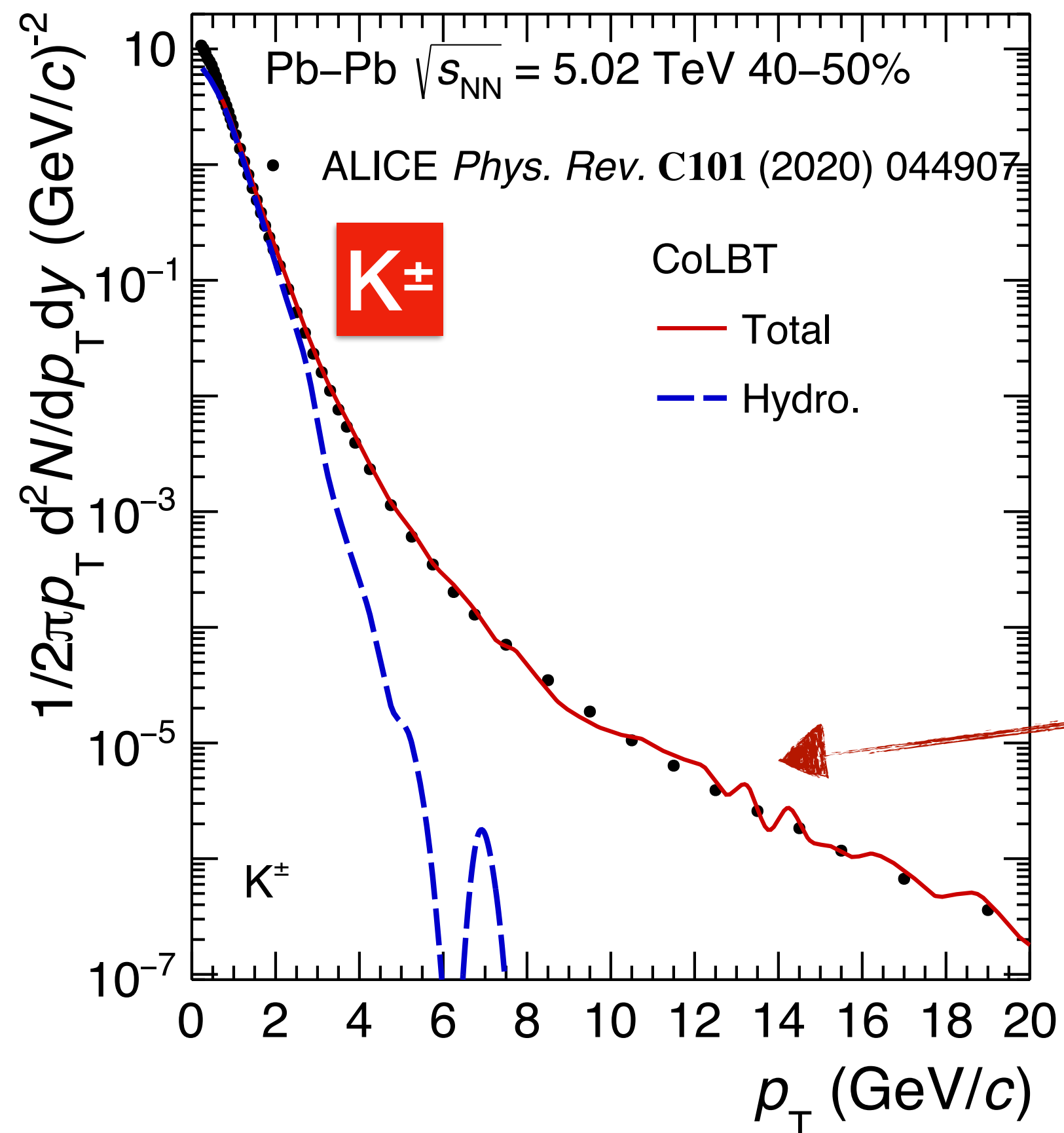


Zhao et al. *Phys. Rev. Lett.* **128** (2022) 022302

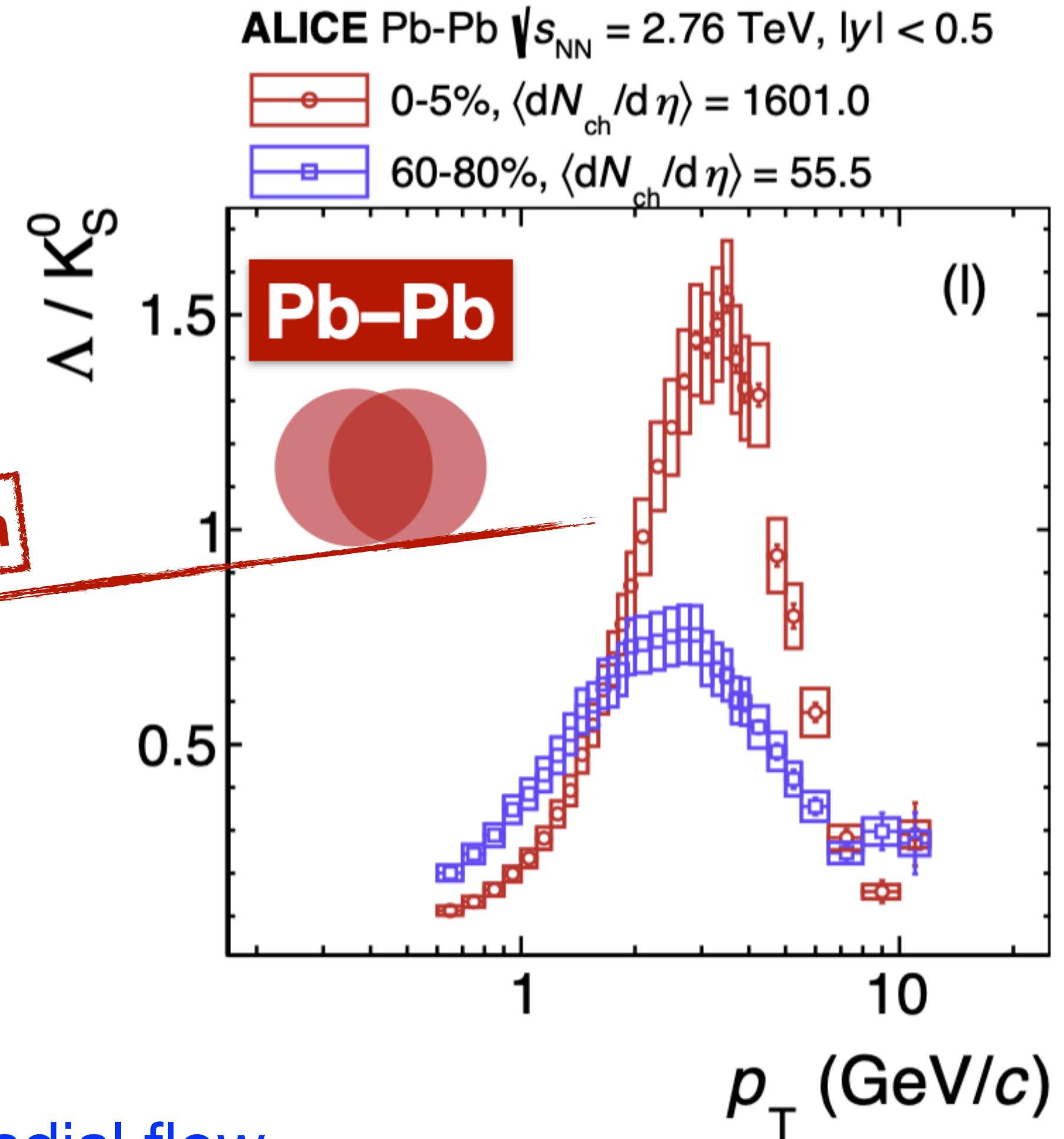


ALICE *Phys. Rev. C* **99** (2019) 024906

# Baryon-to-meson enhancement



For illustration

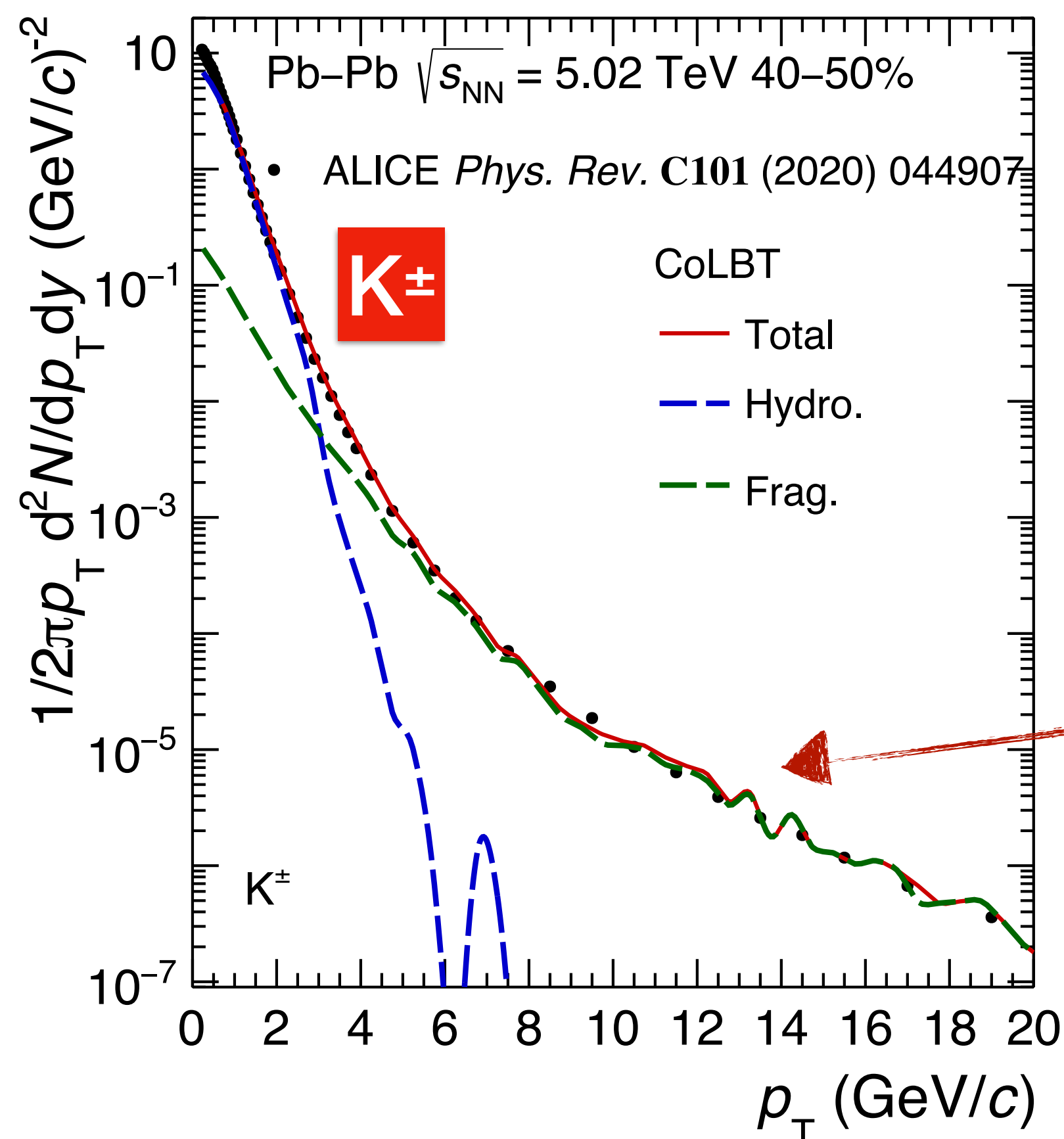


Zhao *et al. Phys. Rev. Lett.* **128** (2022) 022302

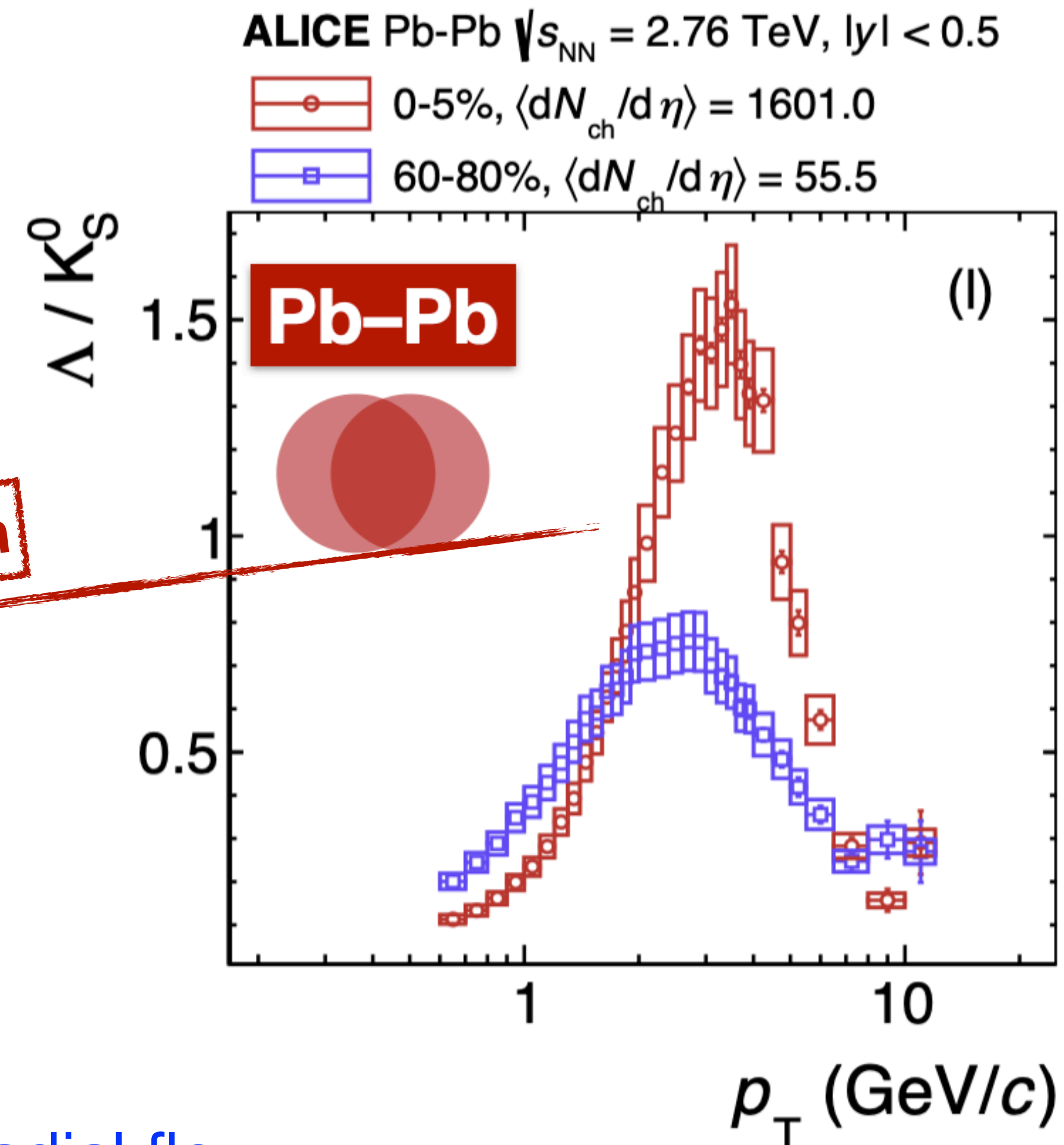
Hydrodynamics contribution from thermal parton — radial flow

ALICE *Phys. Rev. C* **99** (2019) 024906

# Baryon-to-meson enhancement



For illustration



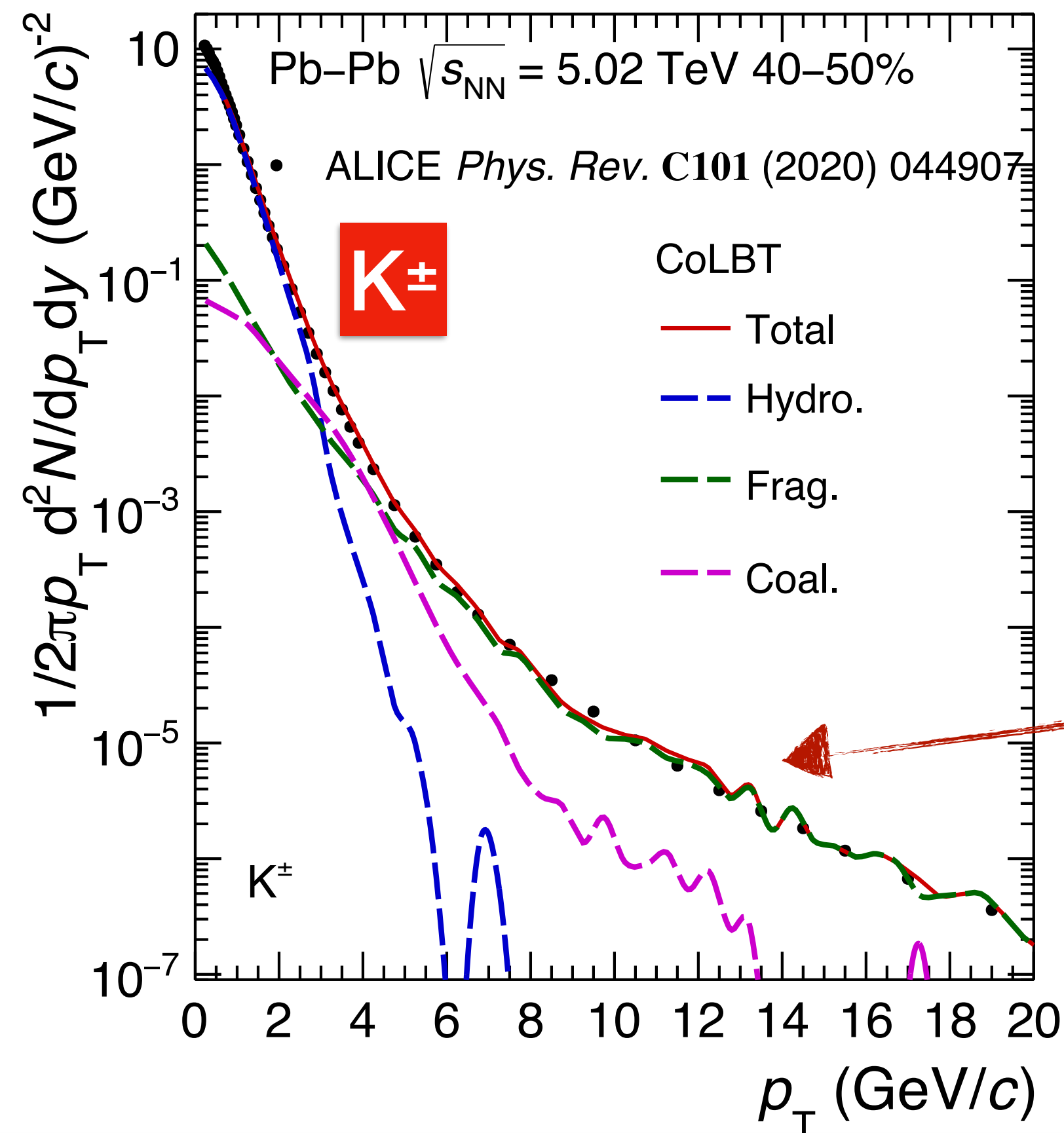
Zhao et al. *Phys. Rev. Lett.* **128** (2022) 022302

Hydrodynamics contribution from thermal parton — radial flow

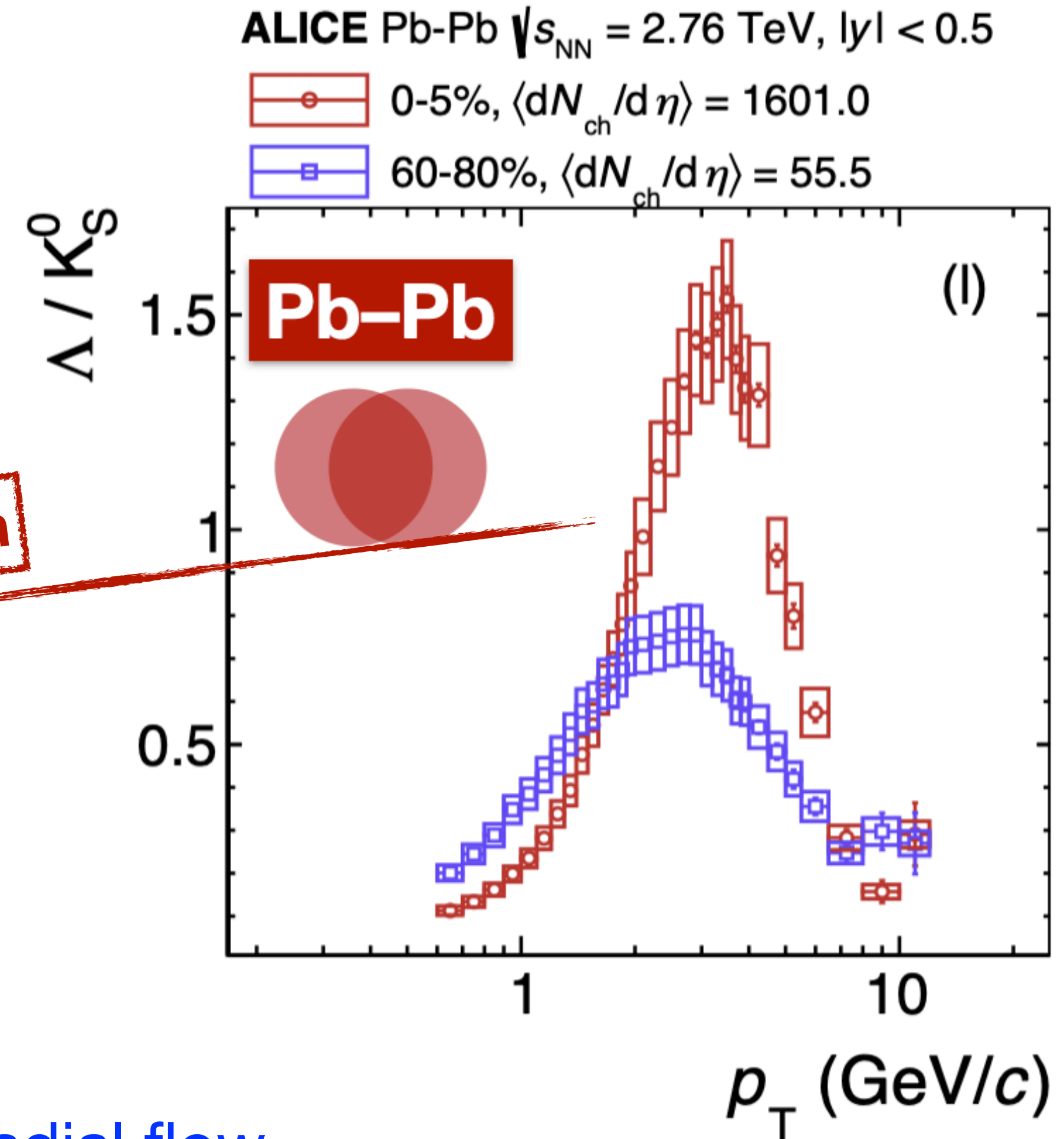
Fragmentation hard parton hadronization at QGP surface

ALICE *Phys. Rev. C* **99** (2019) 024906

# Baryon-to-meson enhancement



For illustration



Zhao et al. *Phys. Rev. Lett.* **128** (2022) 022302

**Hydrodynamics** contribution from thermal parton — radial flow

**Fragmentation** hard parton hadronization at QGP surface

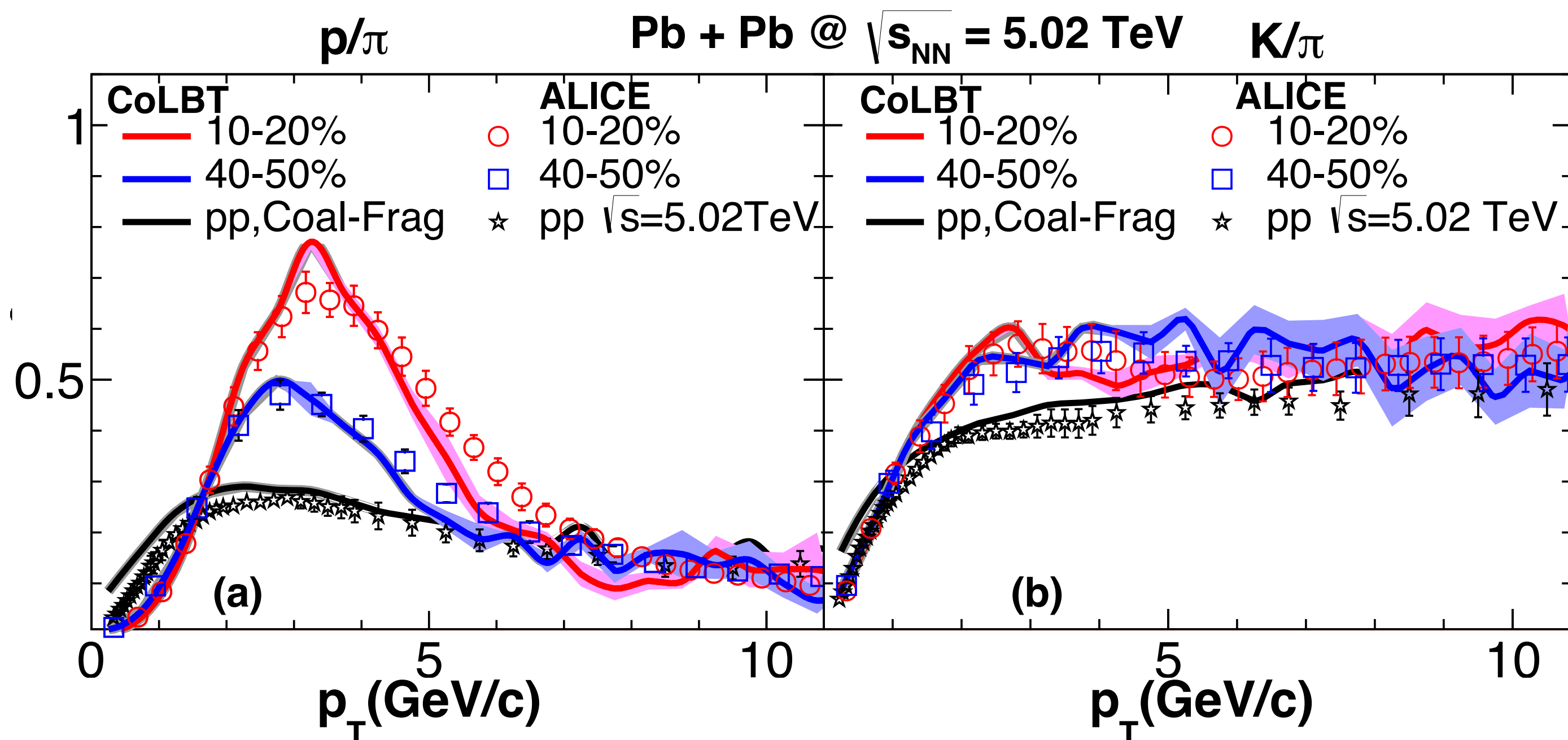
**Coalescence** interplay of thermal and hard partons

ALICE *Phys. Rev. C* **99** (2019) 024906

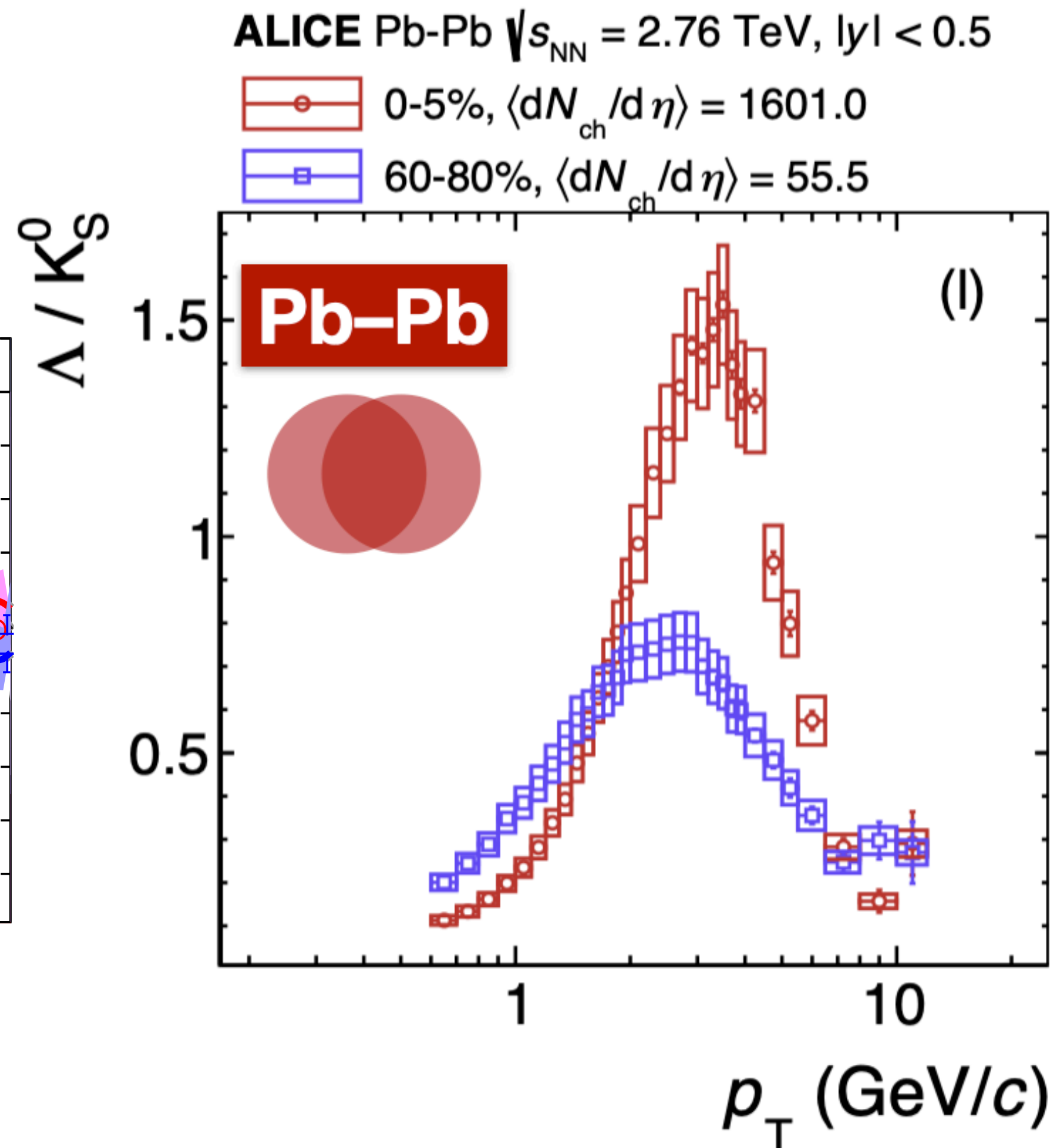
# Baryon-to-meson enhancement



Hard parton contribution is important to describe the particle ratios in data



Zhao et al. *Phys. Rev. Lett.* **128** (2022) 022302



ALICE *Phys. Rev. C* **99** (2019) 024906

Similar implementation

LIDO *Phys. Rev. C* **100** (2019) 064911

MC@sHQ *Phys. Rev. C* **89** (2014) 014905

Catania *Phys. Lett. B* **805** (2020) 135460

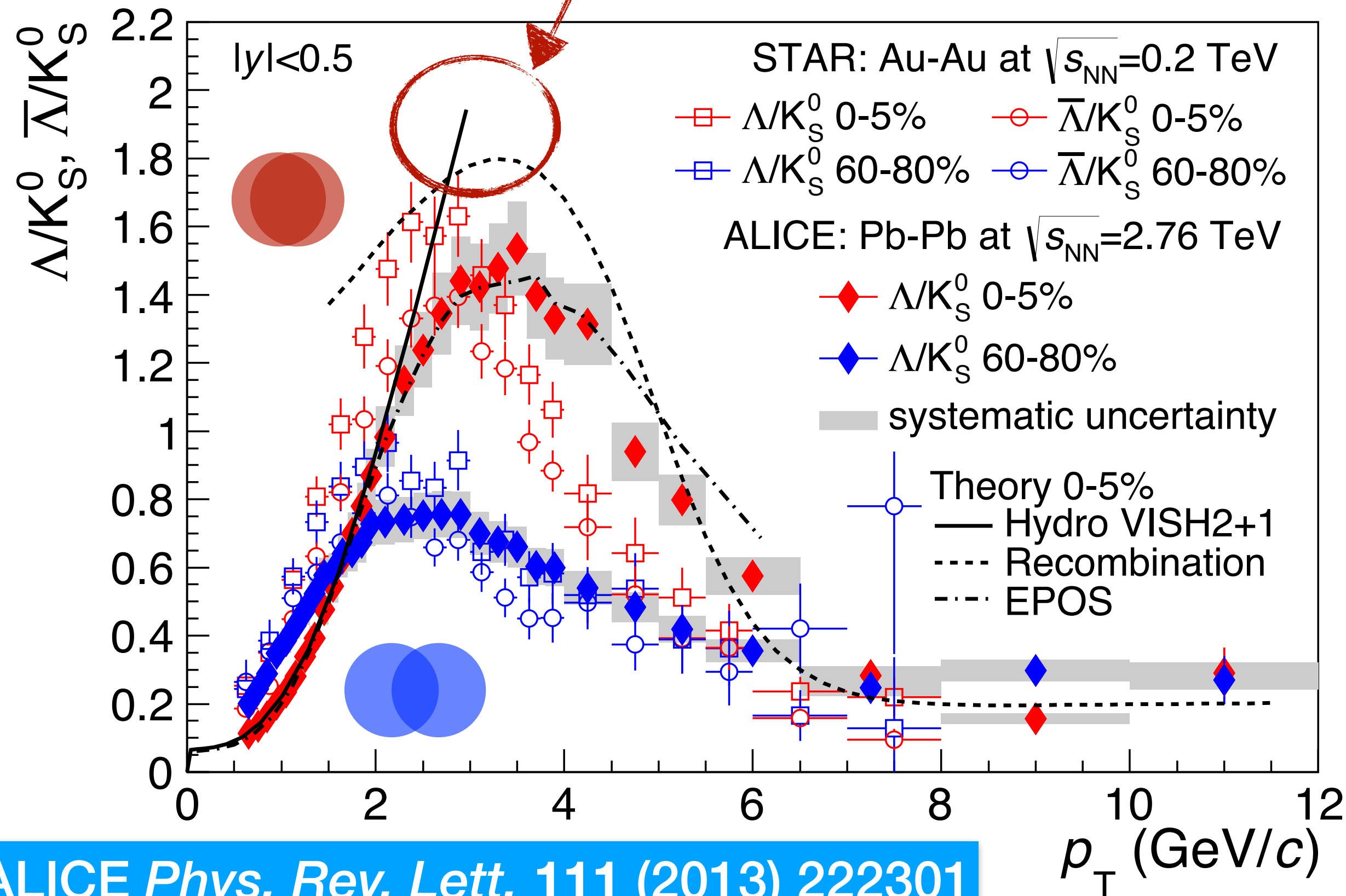
POWLANG-HTL *JHEP* **1802** (2018) 043



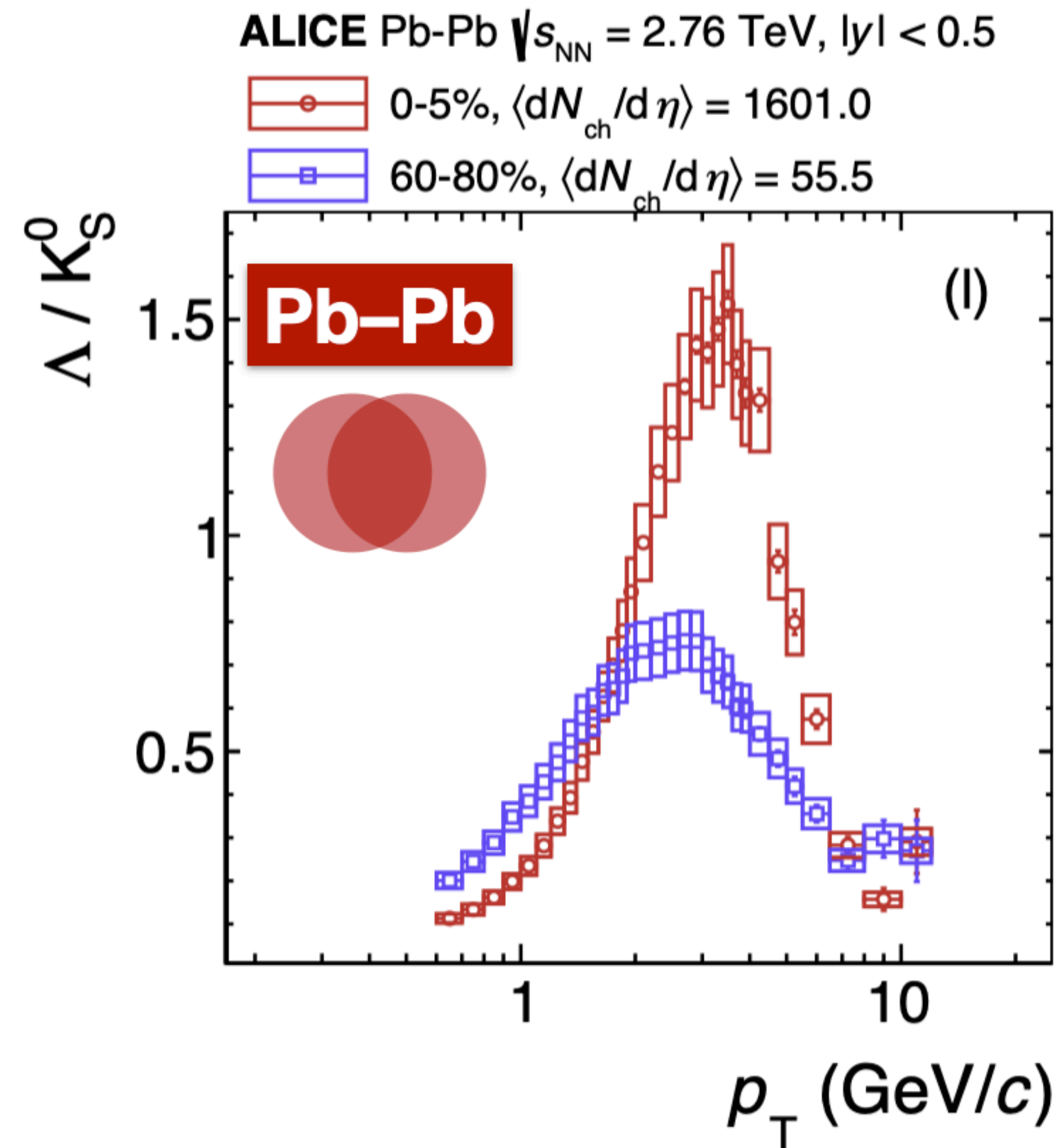
# Baryon-to-meson enhancement



It leads large **deviation** from data if consider the soft component only

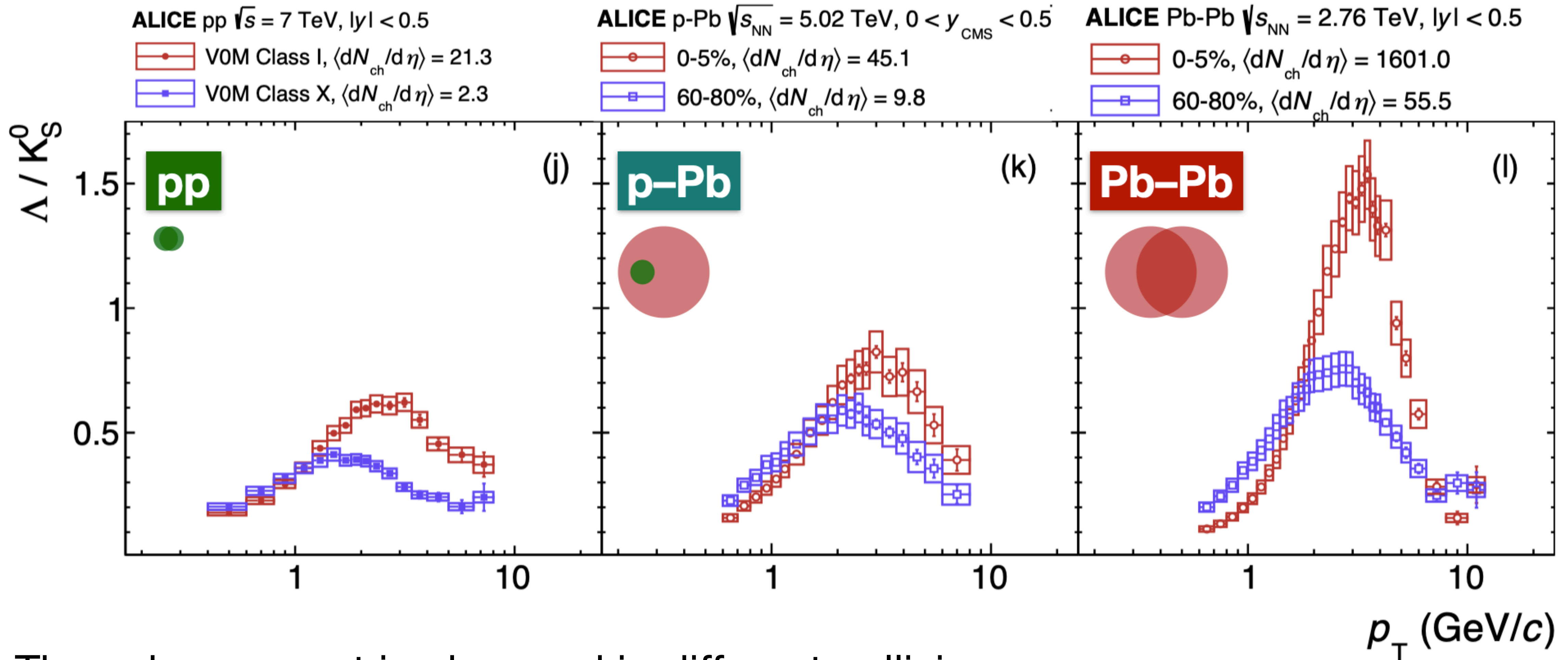


ALICE Phys. Rev. Lett. 111 (2013) 222301



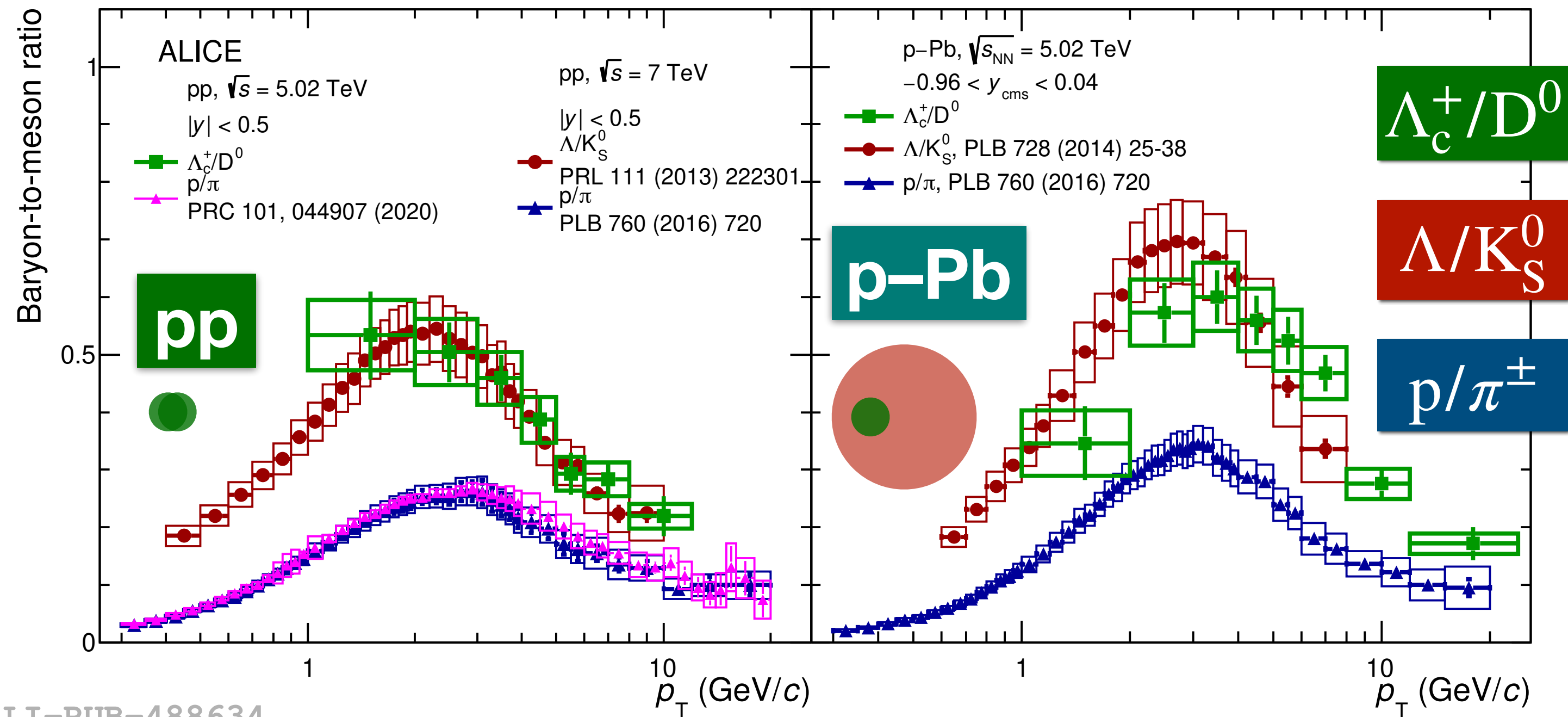
ALICE Phys. Rev. C99 (2019) 024906

# Baryon-to-meson enhancement



The enhancement is observed in different collision systems (Pb-Pb, p-Pb and pp) at high multiplicities

# Baryon-to-meson enhancement



ALI-PUB-488634

ALICE *Phys. Rev. C*104 (2021) 054905  
*Phys. Rev. Lett.* 127 (2021) 202301

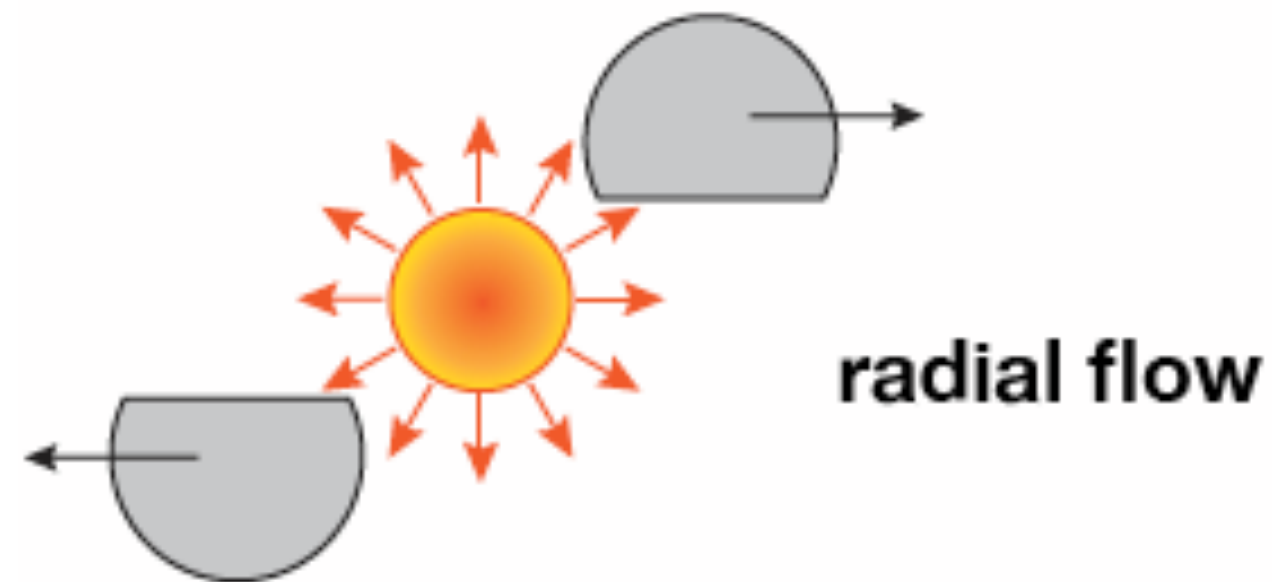
Similar behavior observed also in **charm sector** in small system (pp and p-Pb) collisions

To provide constraints on particle production mechanisms in all collision systems, it is important to separate particles from **hard** and **soft** processes

# Radial flow

## Collective expansion

➔ “Zero order” — **radial flow**



➔ Push low  $p_T$  particles toward intermediate  $p_T$

$$p = p_0 + \beta m$$

$p_0$ : initial momentum  
 $\beta$ : flow velocity  
 $m$ : particle mass

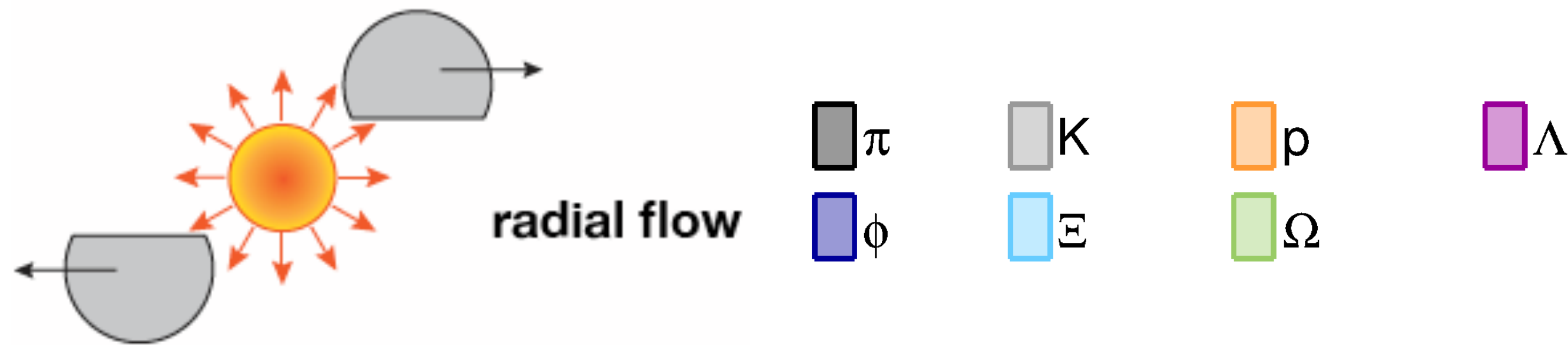
➔ More pronounced in central collisions

➔ Mass dependence

# Radial flow

## Collective expansion

➔ “Zero order” — **radial flow**



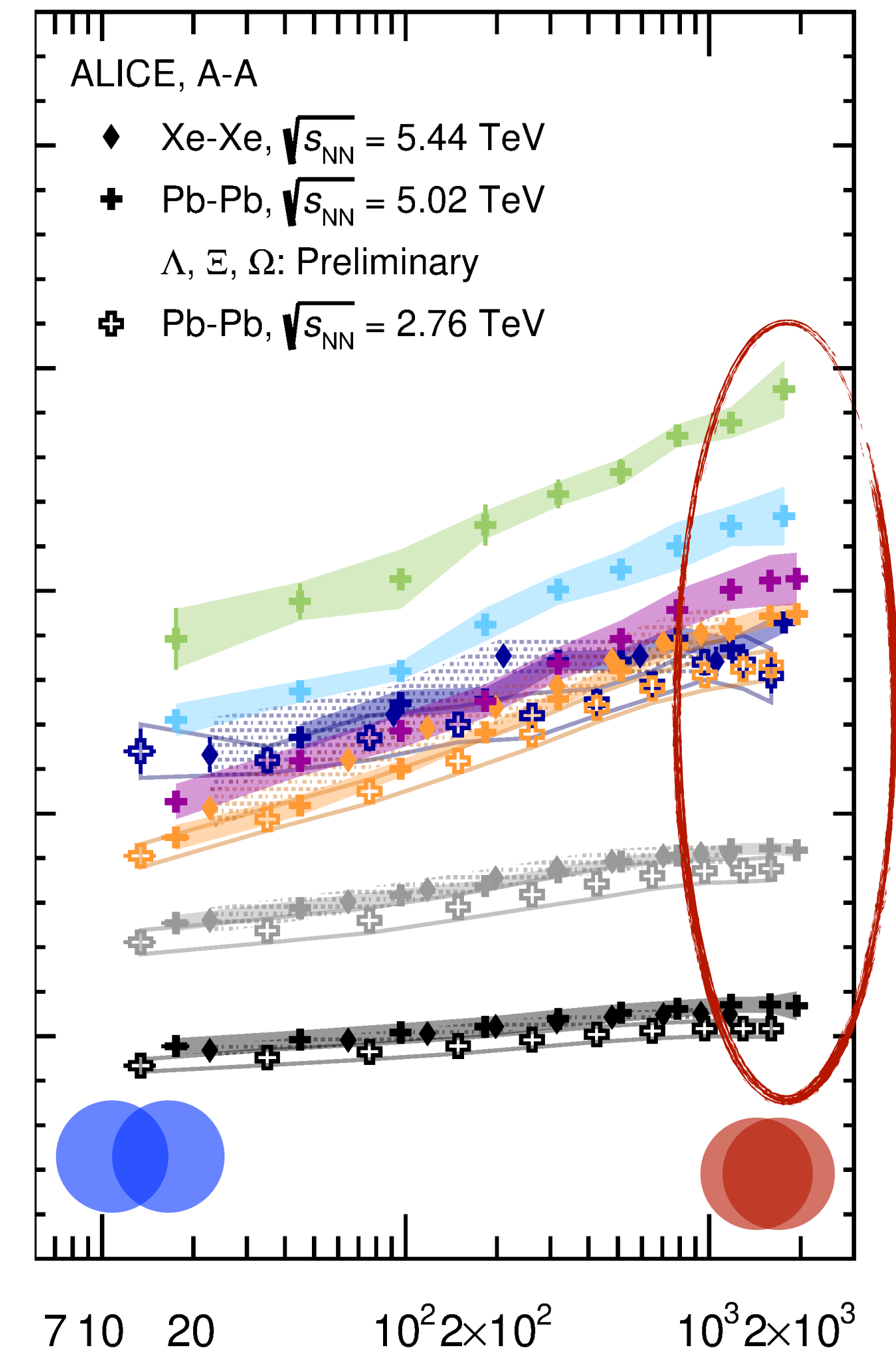
➔ Push low  $p_T$  particles toward intermediate  $p_T$

$$p = p_0 + \beta m$$

$p_0$ : initial momentum  
 $\beta$ : flow velocity  
 $m$ : particle mass

➔ More pronounced in central collisions

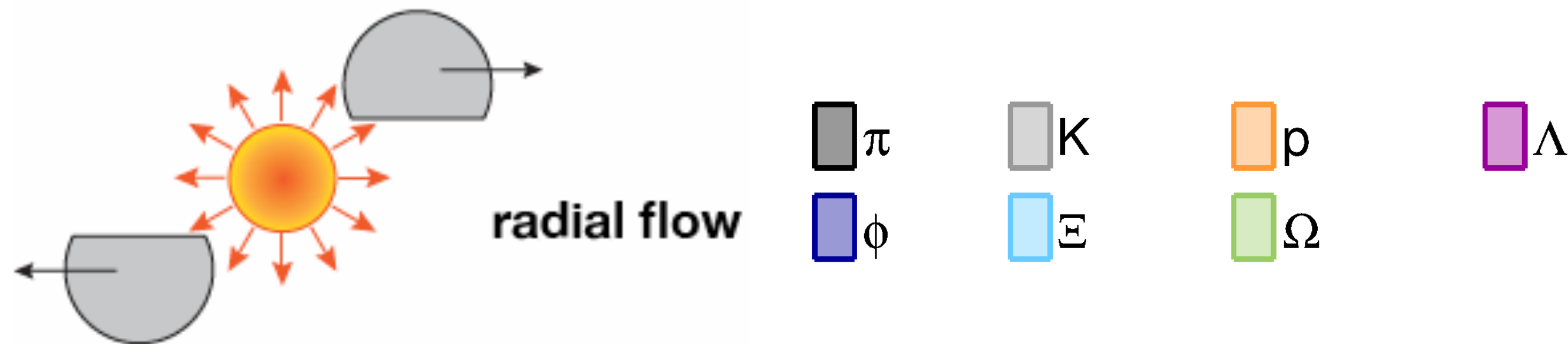
➔ Mass dependence



# Mean $p_T$ of strange particles

## Collective expansion

➔ “Zero order” — **radial flow**

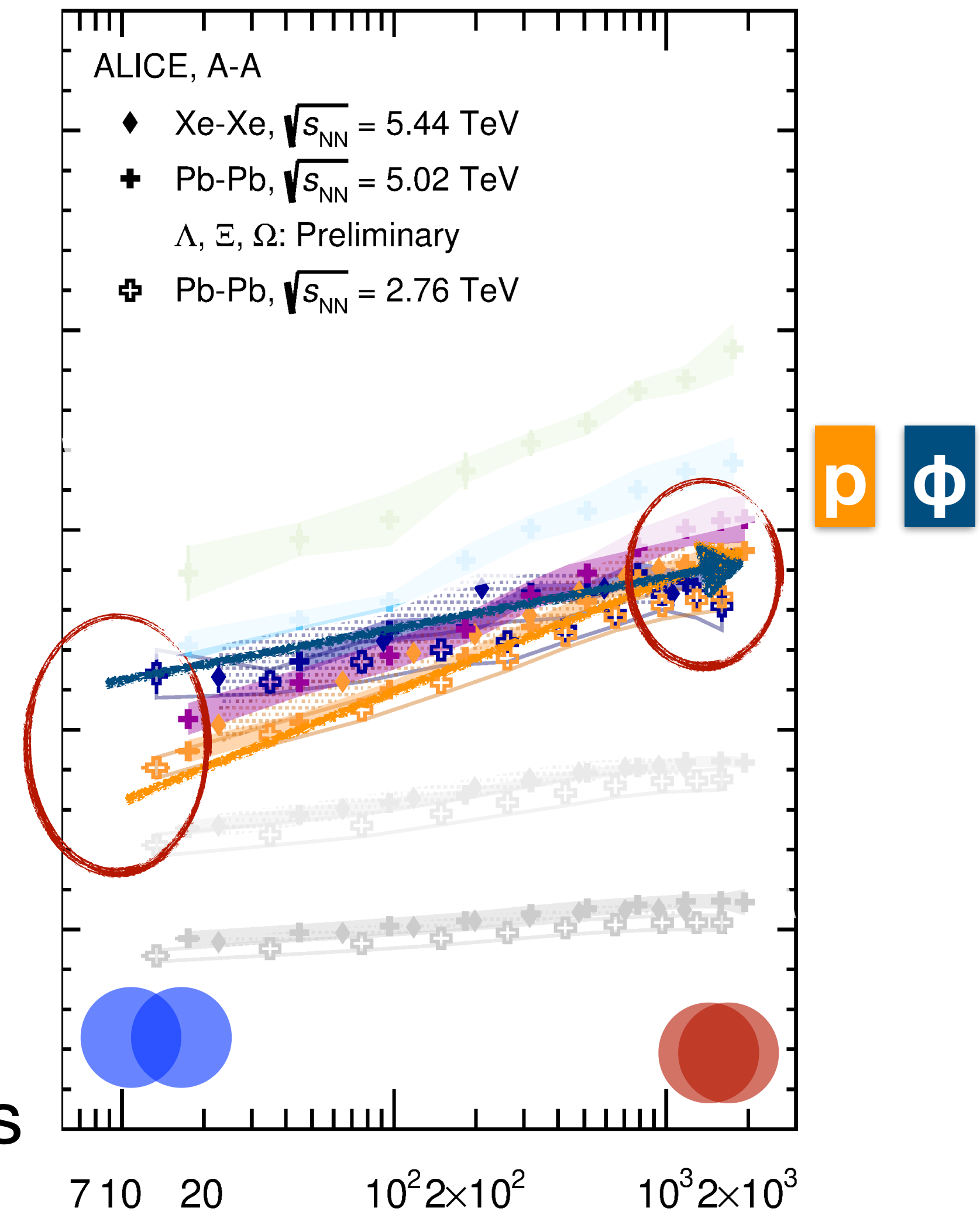


➔ Push low  $p_T$  particles toward intermediate  $p_T$

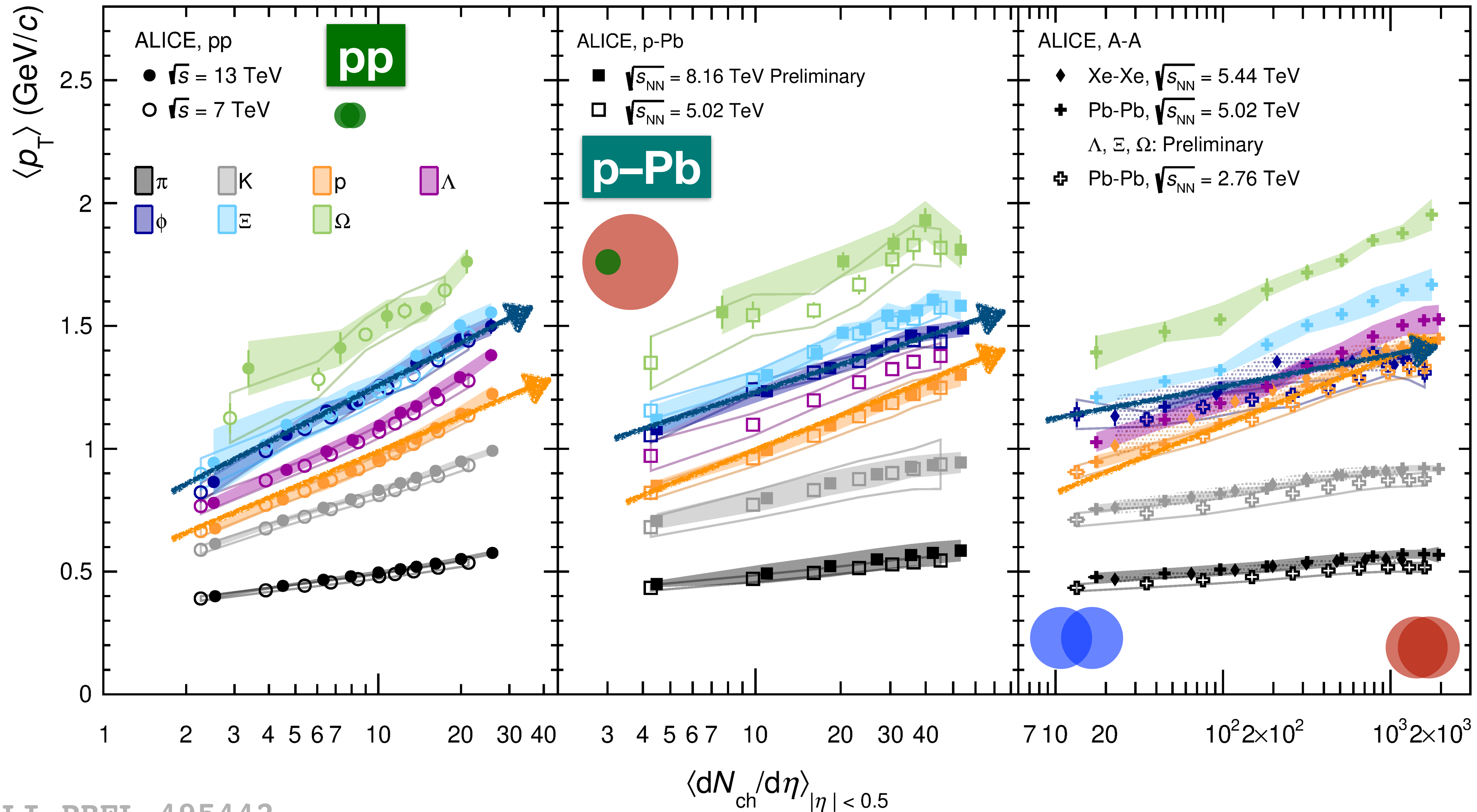
$$p = p_0 + \beta m$$

$p_0$ : initial momentum  
 $\beta$ : flow velocity  
 $m$ : particle mass

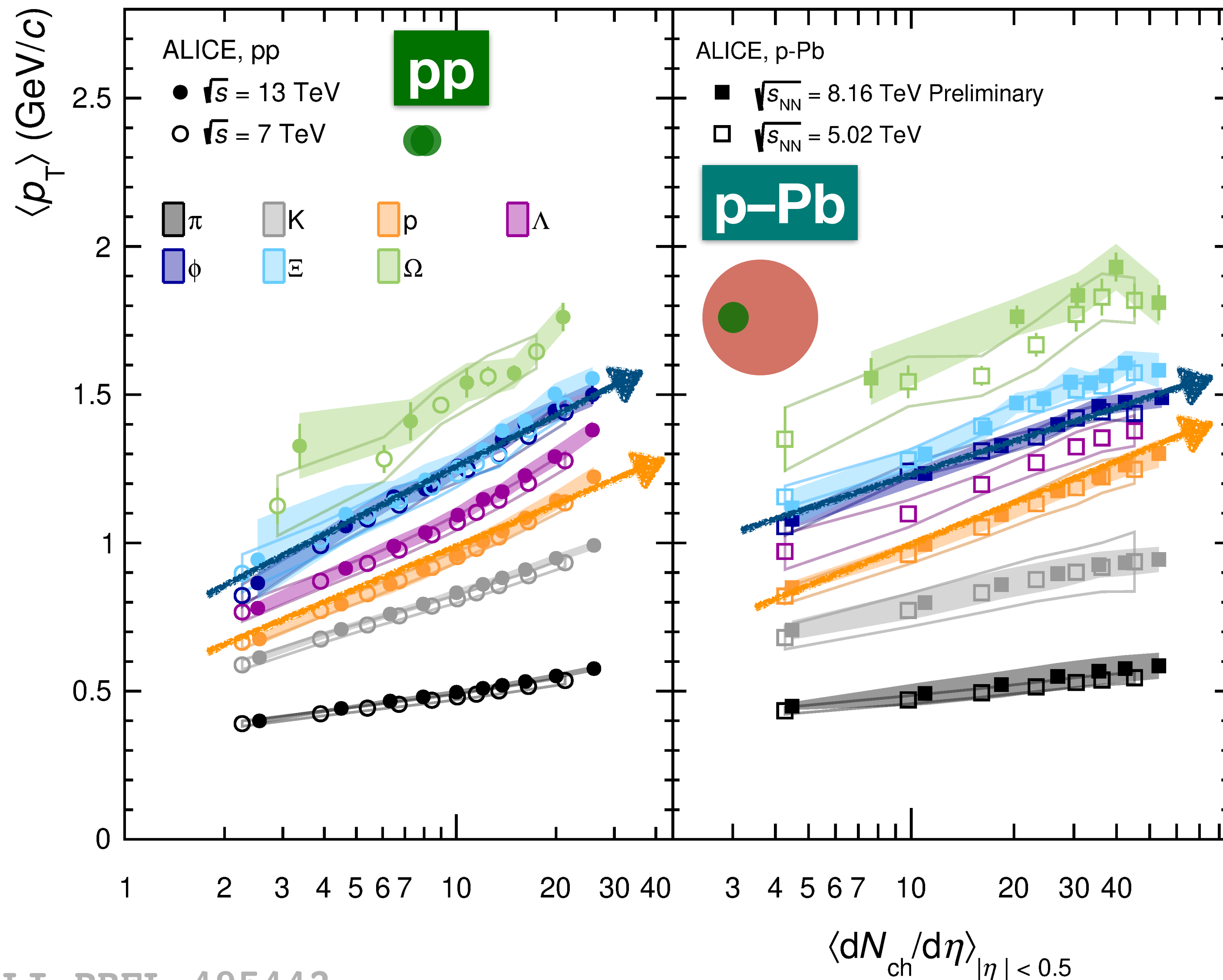
- Similar mass has similar  $\langle p_T \rangle$  for central collisions
- Mass ordering breaks down for peripheral collisions



# Mean $p_T$ of strange particles



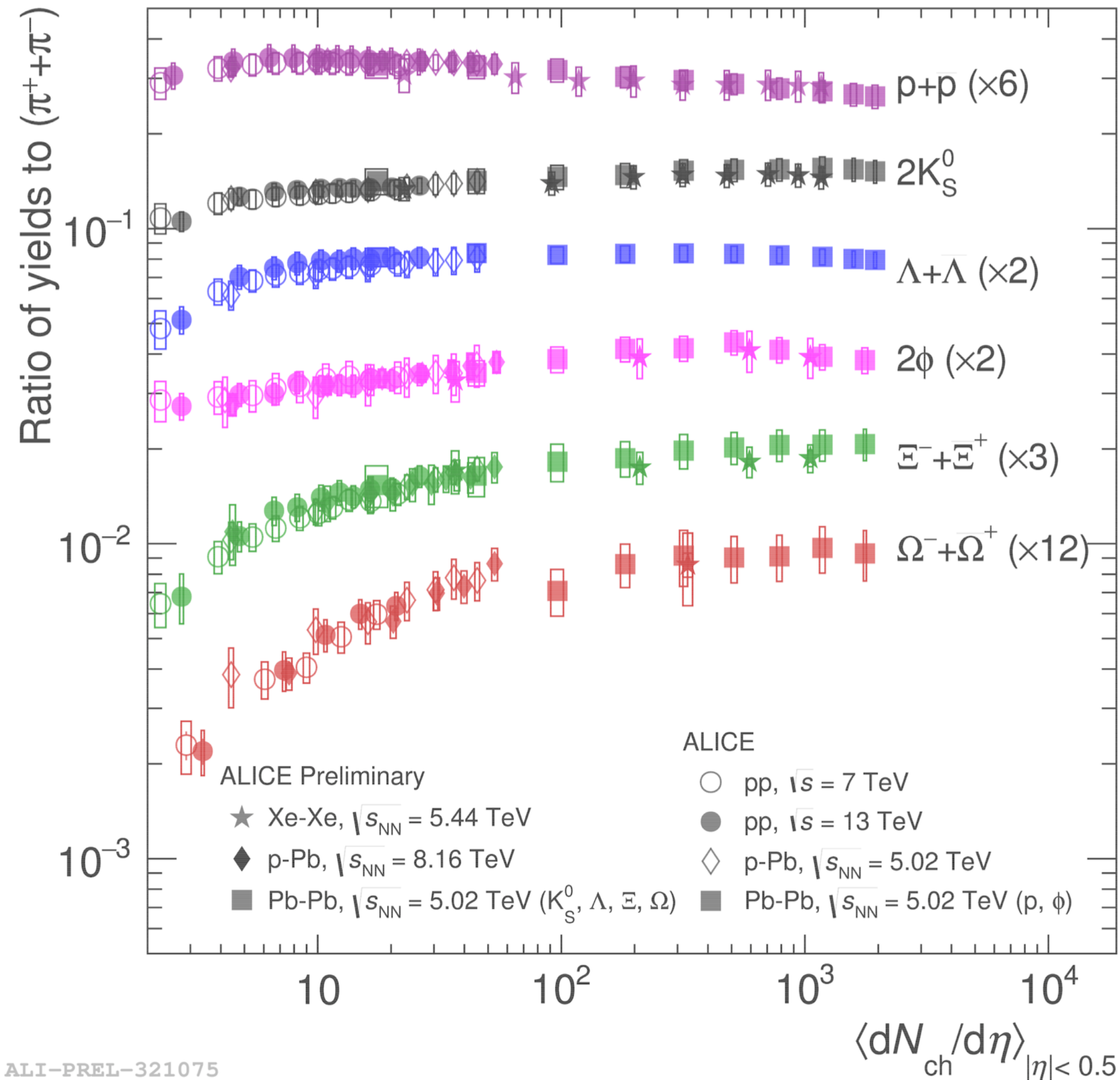
# Mean $p_T$ of strange particles



- Is hydro scenario validated in small systems (?)
- Are strange particles mainly produced in hard processes or in underlying processes (?)
- Is the strangeness production also correlated to the initial stage of the collisions (?)



# Particle ratio — multiplicity dependence



## (Multi-)strange hadron to pion yield ratio

- ➔ Smooth evolution with charged-particle multiplicity across different collision systems (Pb–Pb, p–Pb and pp)
- ➔ No collision energy dependence at the LHC
- ➔ Enhancement is stronger with larger strangeness content ( $\Omega^\pm > \Xi^\pm > \Lambda$ )

### Possible explanation

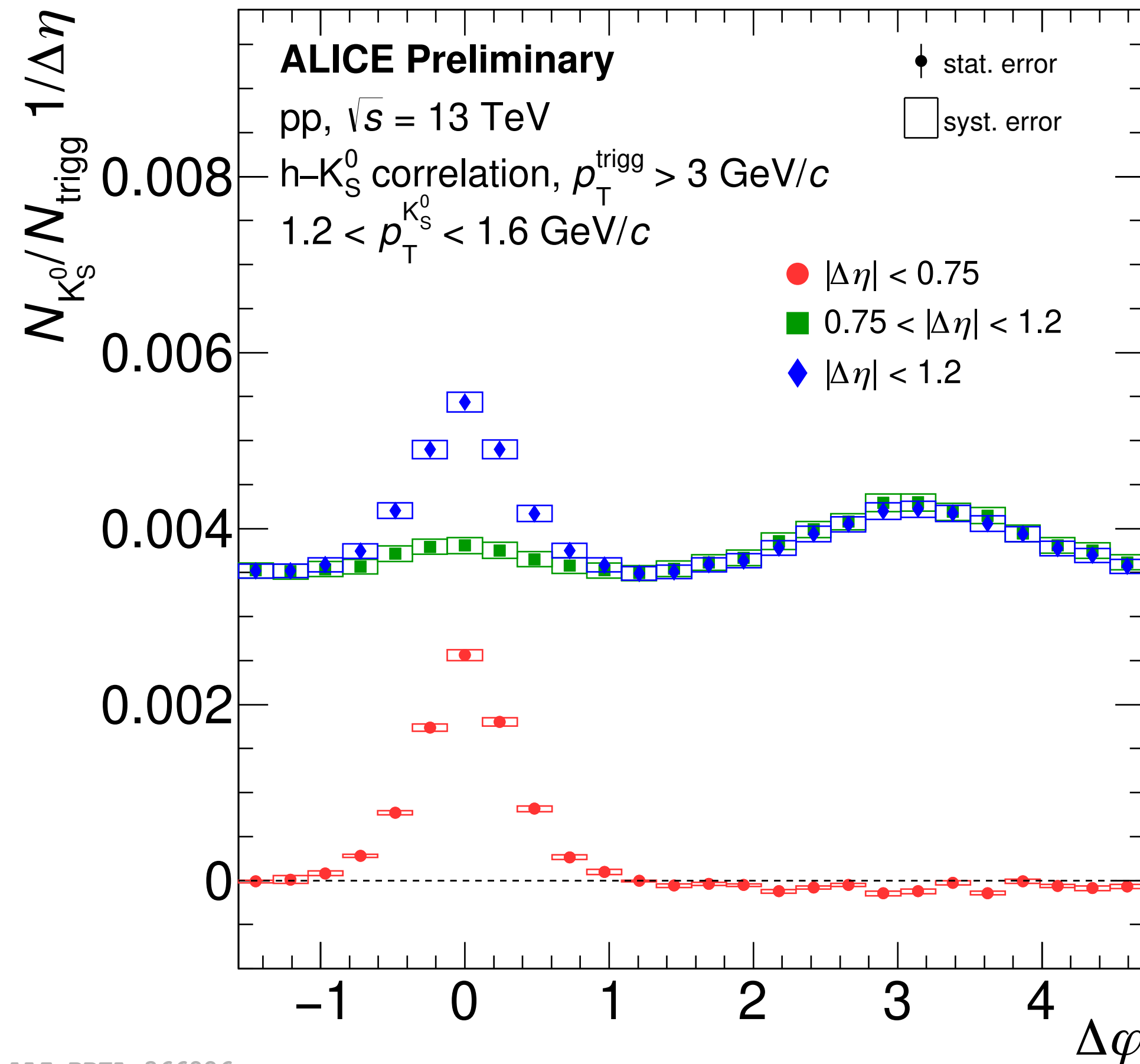
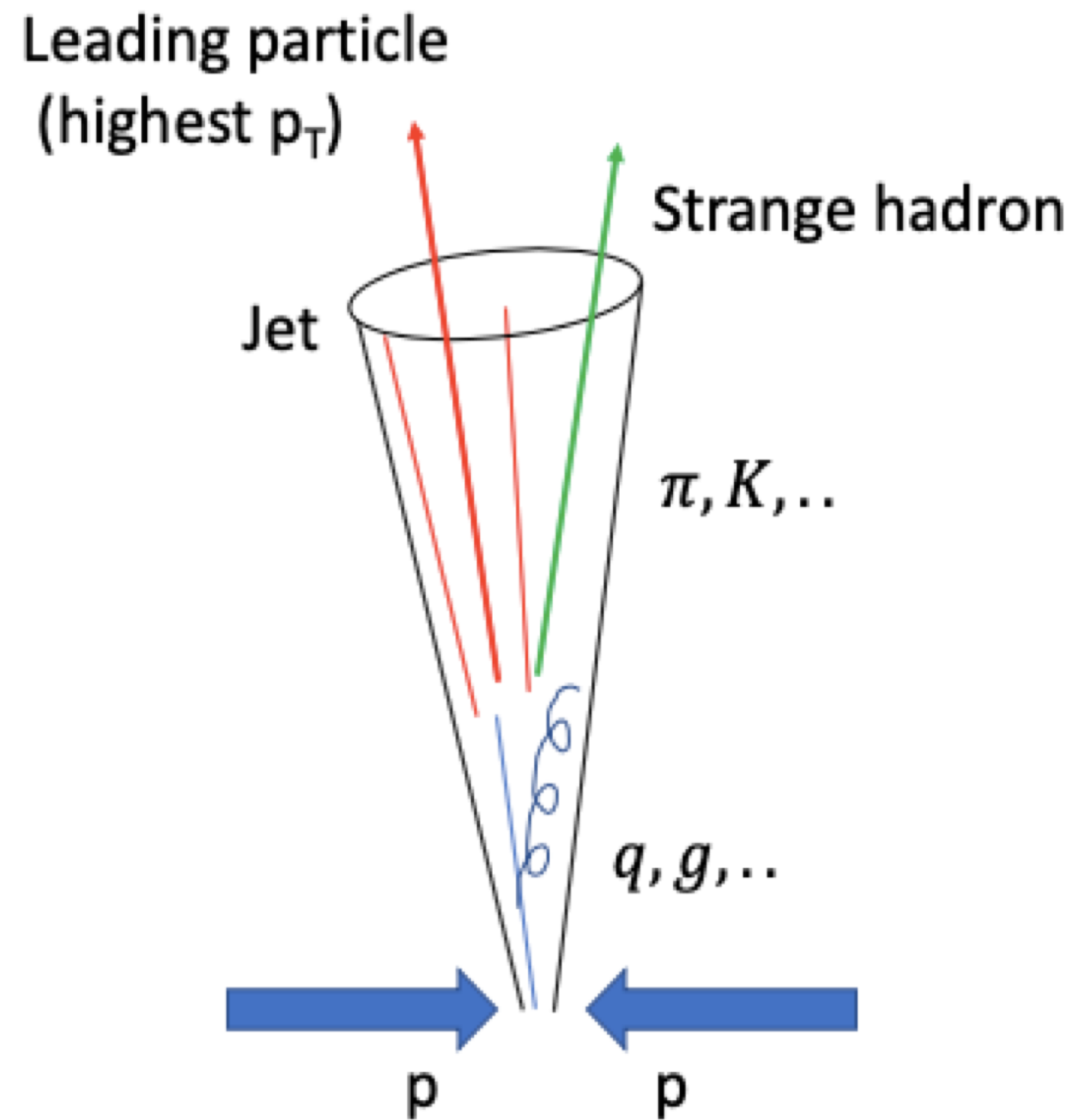
- Canonical Statistical Model (CSM) [Vovchenko *et al. Phys. Rev. C* **100** (2019) 054906]
  - ➔ Exact conservation of charges in correlation volume
- Core–Corona two-component model [Kanakubo *et al. Phys. Rev. C* **101** (2020) 024912]
  - ➔ Evolution from thermal QGP to string fragmentation
- Ropes hadronization [Nayak *et al. Phys. Rev. D* **100** (2019) 074023]
  - ➔ Overlapping strings at high energies

# Two-particle angular correlations

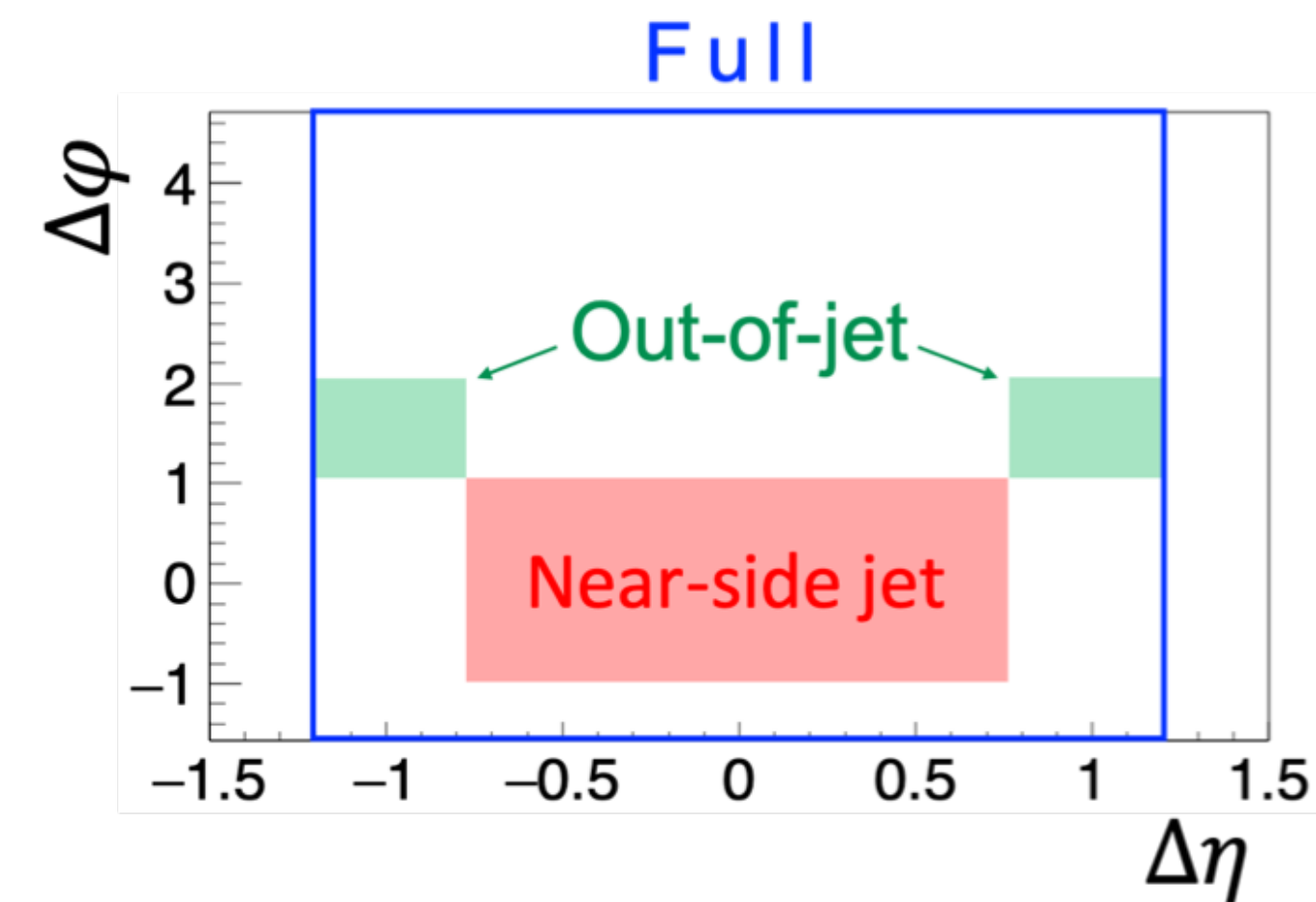


- Explore the multiplicity dependence in pp collisions

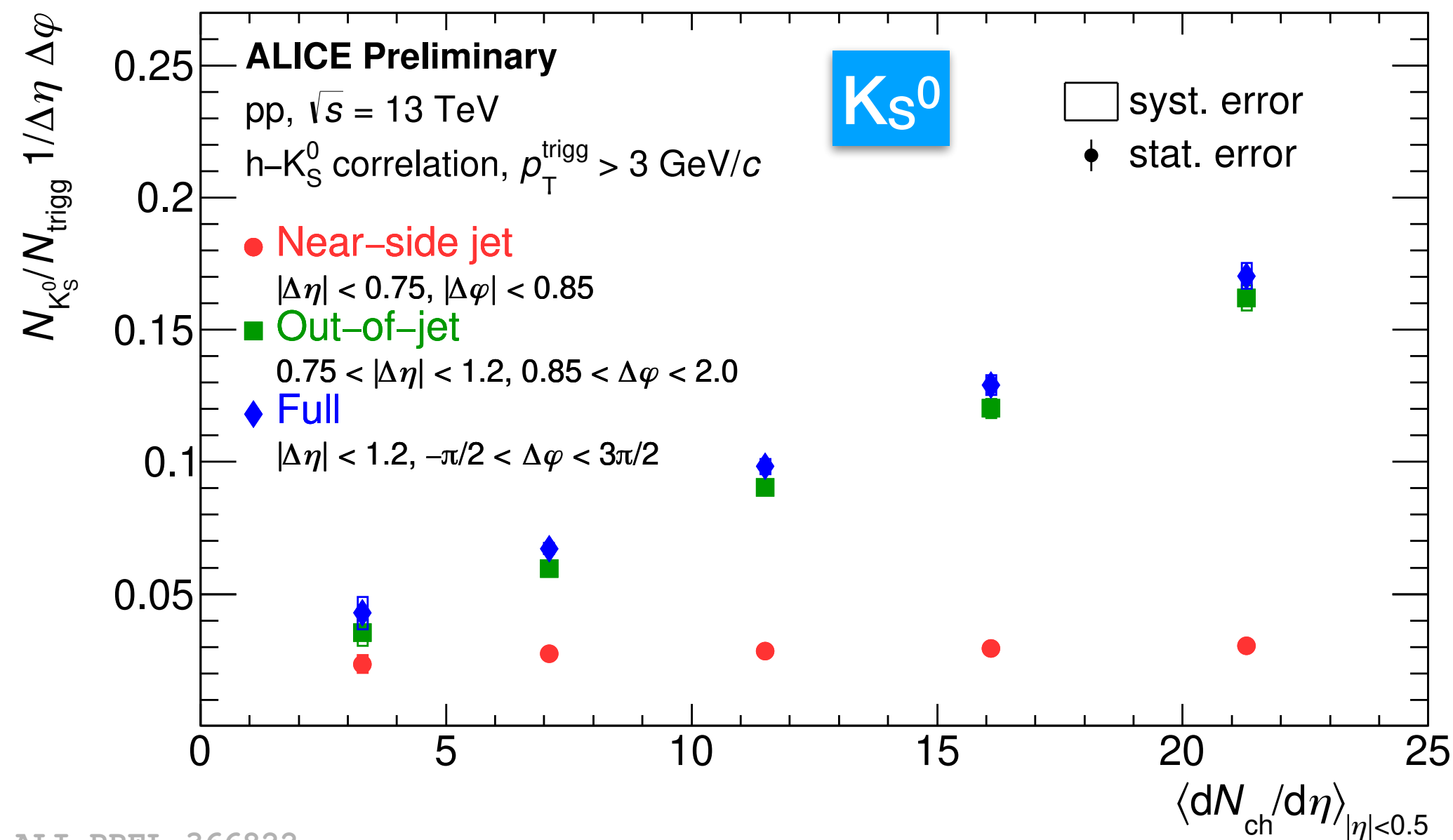
Focus on  $\Xi^\pm$  in events selected by hard scatterings ( $p_{T,trigger} > 3 \text{ GeV}/c$ )



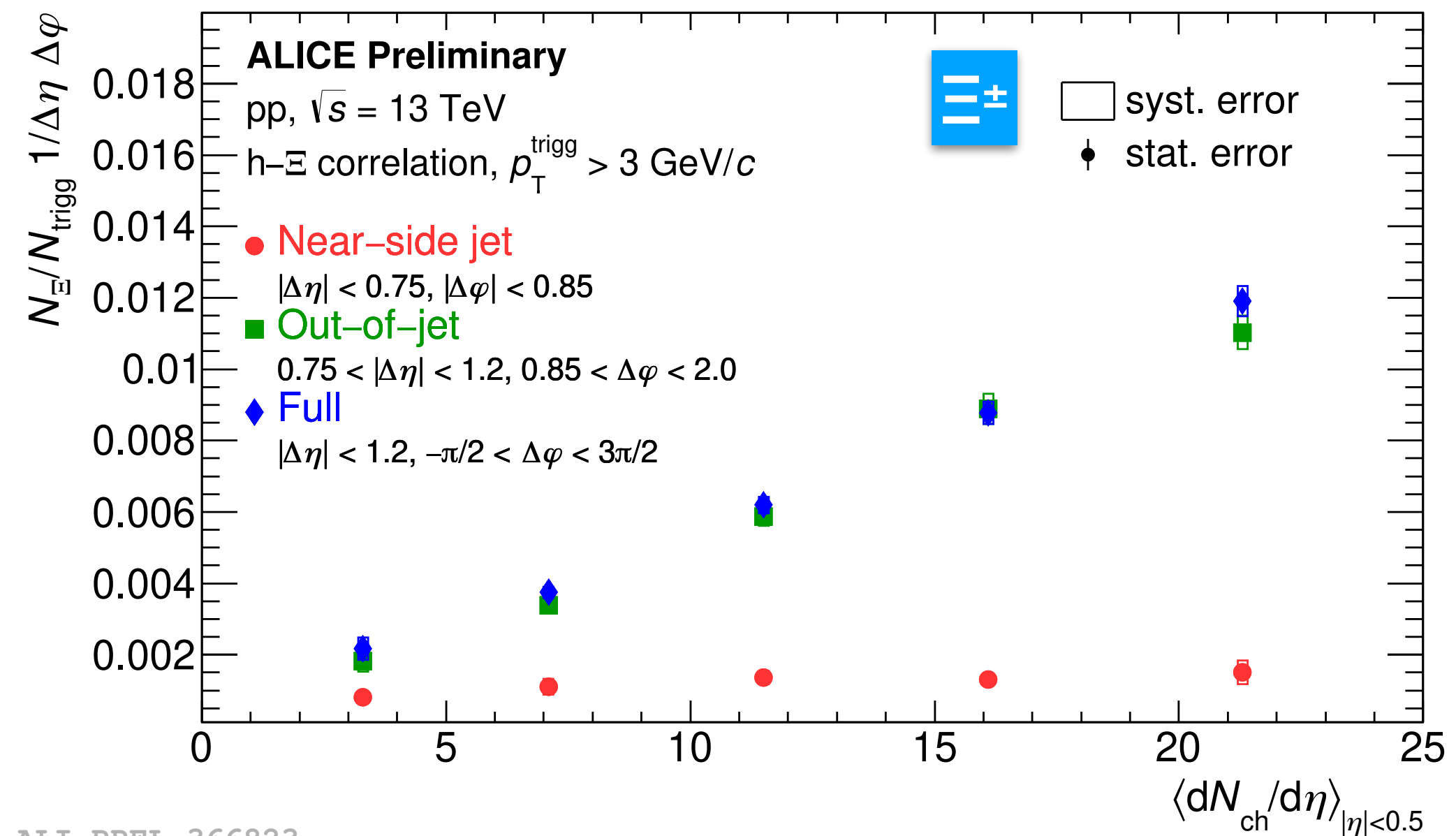
ALI-PREL-366826



# Multiplicity dependence



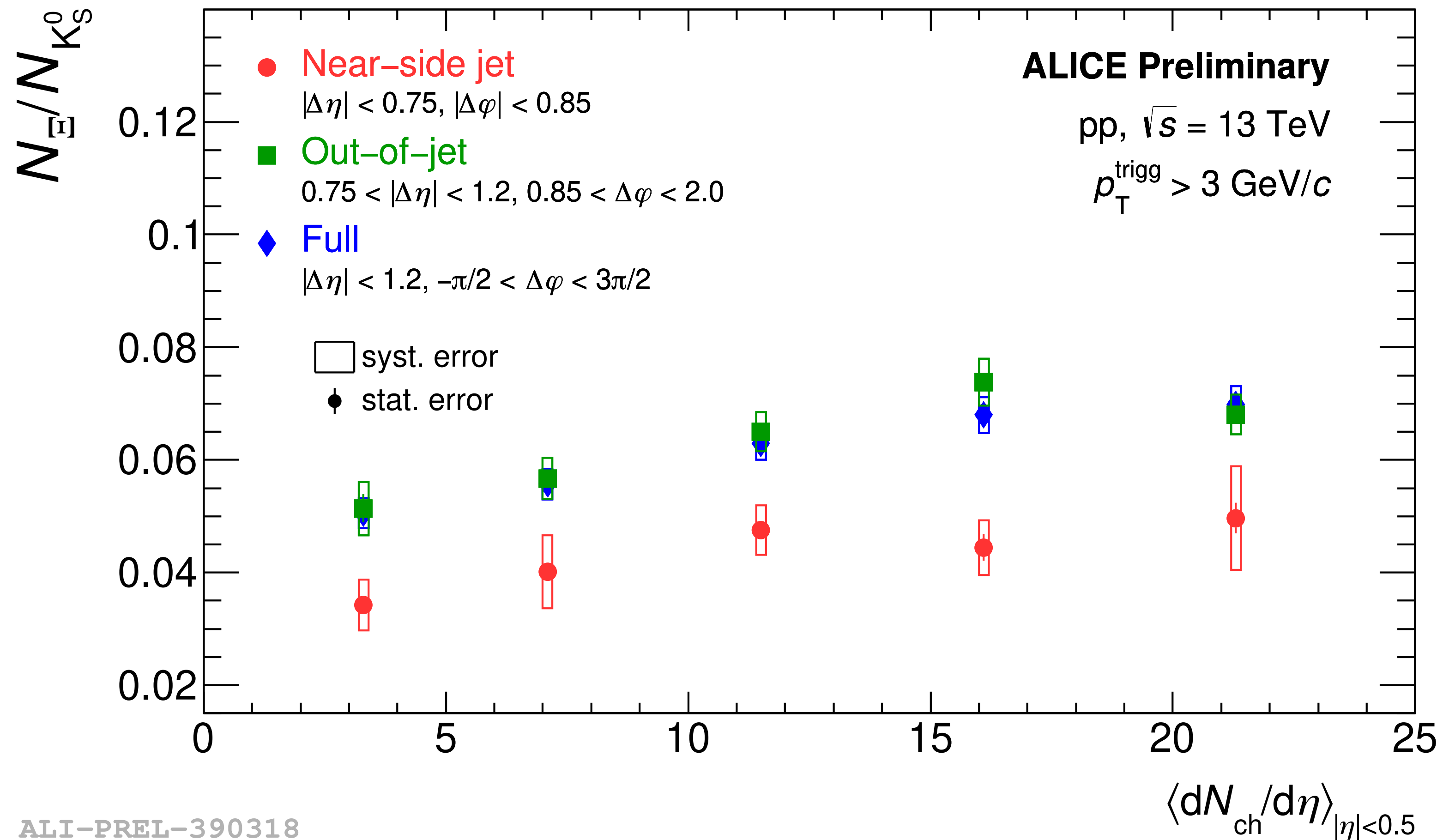
ALI-PREL-366822



ALI-PREL-366823

- Both the **full** yield and the **out-of-jet** yield increase with the multiplicity
- Near side jet yield has mild to no-evolution with multiplicity
- ➔ The contribution of **out-of-jet** production relative to **near-side jet** production increases with multiplicity

# Multiplicity dependence



- Out-of-jet production is the dominant contribution to  $\Xi^\pm/K_S^0$  full yield ratio enhancement in events with a hard scattering ( $p_{T,\text{trigger}} > 3$  GeV/c)

# Concept of effective energy

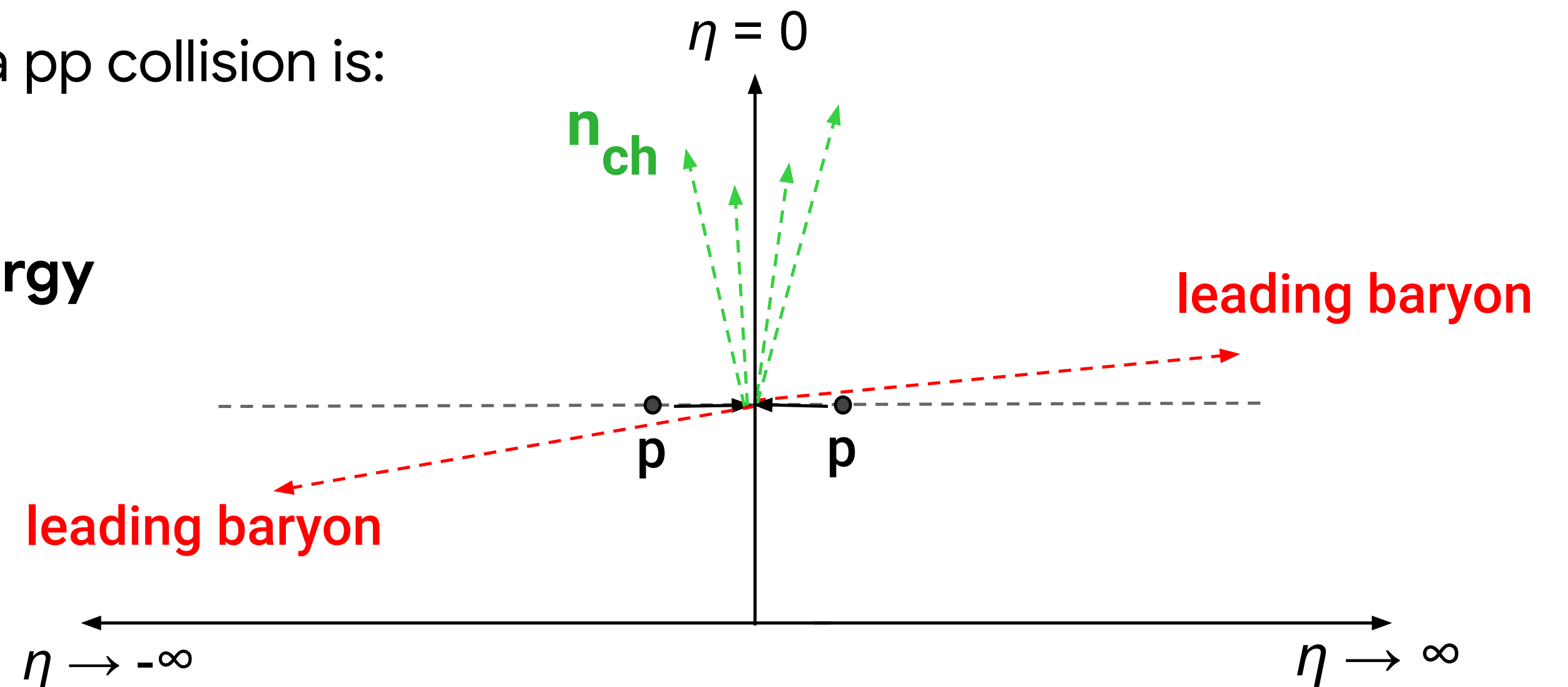


The **charged-particle multiplicity** produced in a pp collision is:

- characteristic of the **hadronic final state**
- strongly correlated to the **initial effective energy**

## EFFECTIVE ENERGY

**energy available** for particle production in the **initial stages** of the pp collision



$E_{\text{EFF}} < \sqrt{s}$  due to **leading baryon emission** at forward rapidity

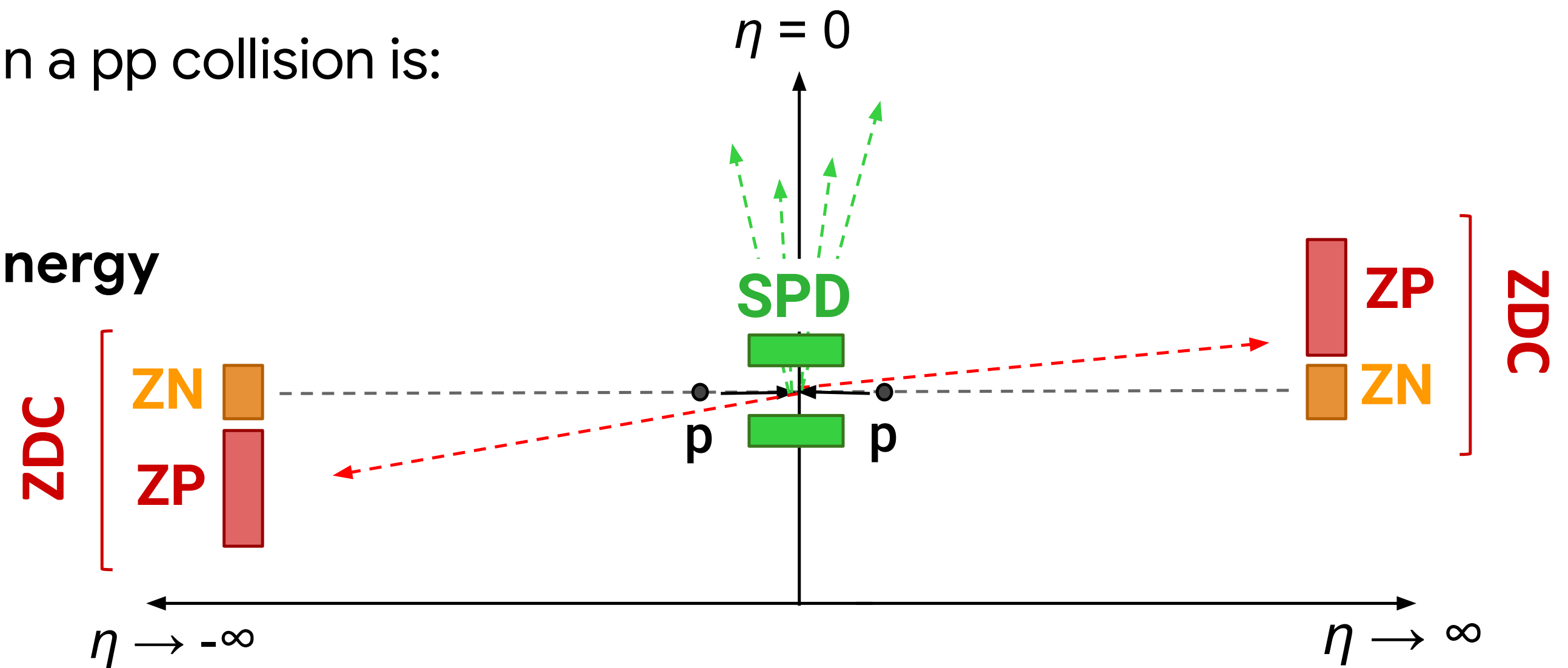
# Concept of effective energy

The **charged-particle multiplicity** produced in a pp collision is:

- characteristic of the **hadronic final state**
- strongly correlated to the **initial effective energy**

## EFFECTIVE ENERGY

**energy available** for particle production in the **initial stages** of the pp collision



ALICE can measure:

- midrapidity multiplicity ( **SPD** )
- leading energy ( **ZDC** )

$$E_{\text{eff}} = \sqrt{s} - E_{\text{leading}} \approx \sqrt{s} - E_{\text{ZDC}}$$

$E_{\text{EFF}} < \sqrt{s}$  due to **leading baryon emission** at forward rapidity

# Concept of effective energy

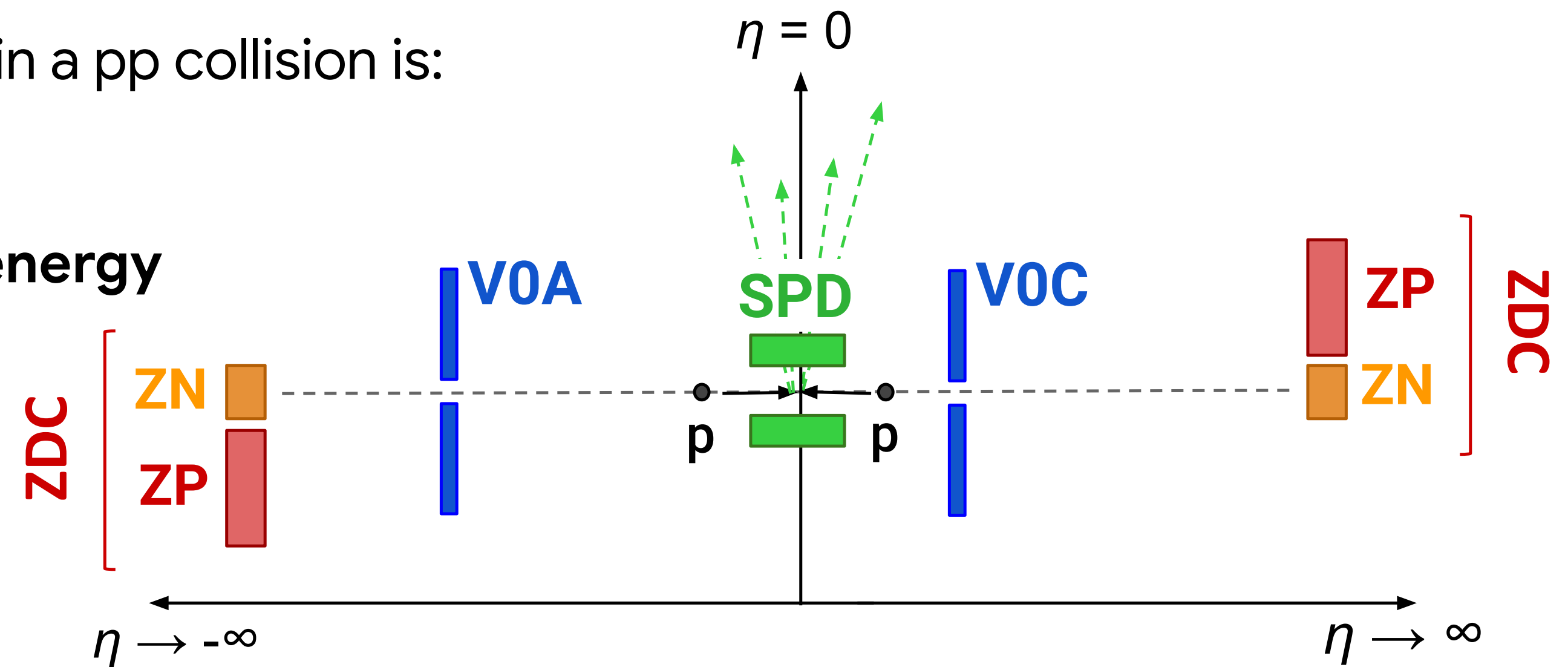


The **charged-particle multiplicity** produced in a pp collision is:

- characteristic of the **hadronic final state**
- strongly correlated to the **initial effective energy**

## EFFECTIVE ENERGY

**energy available** for particle production in the **initial stages** of the pp collision



ALICE can measure:

- midrapidity multiplicity ( **SPD** )

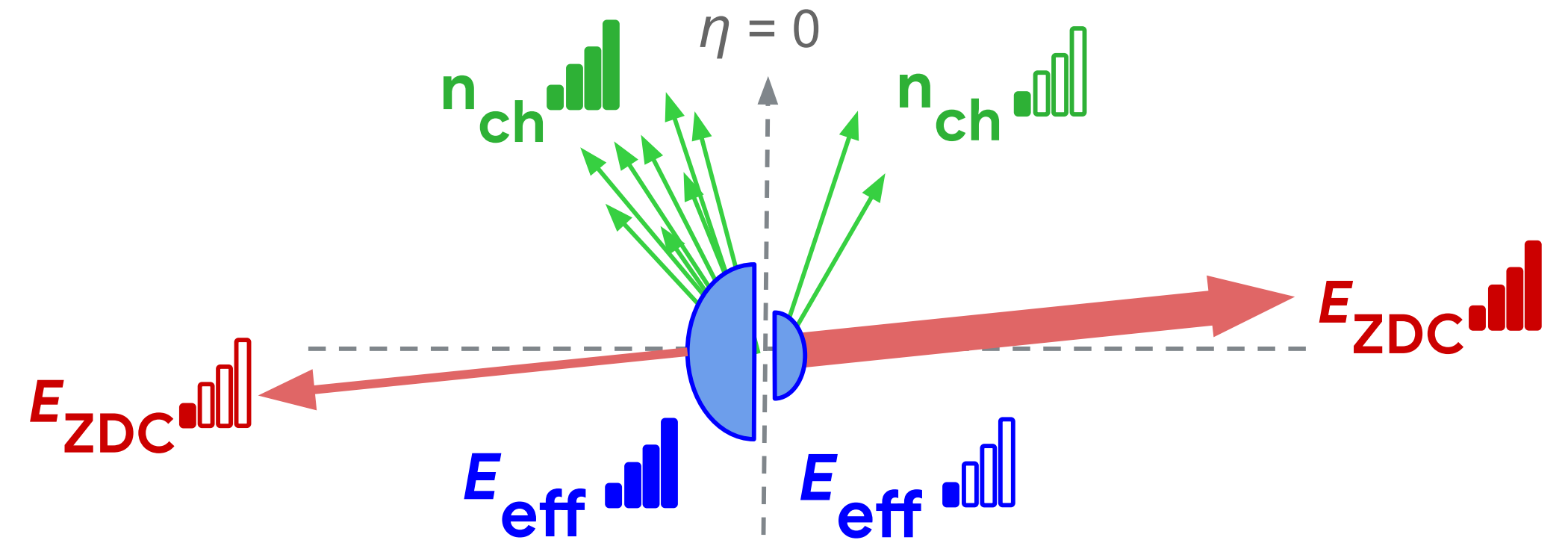
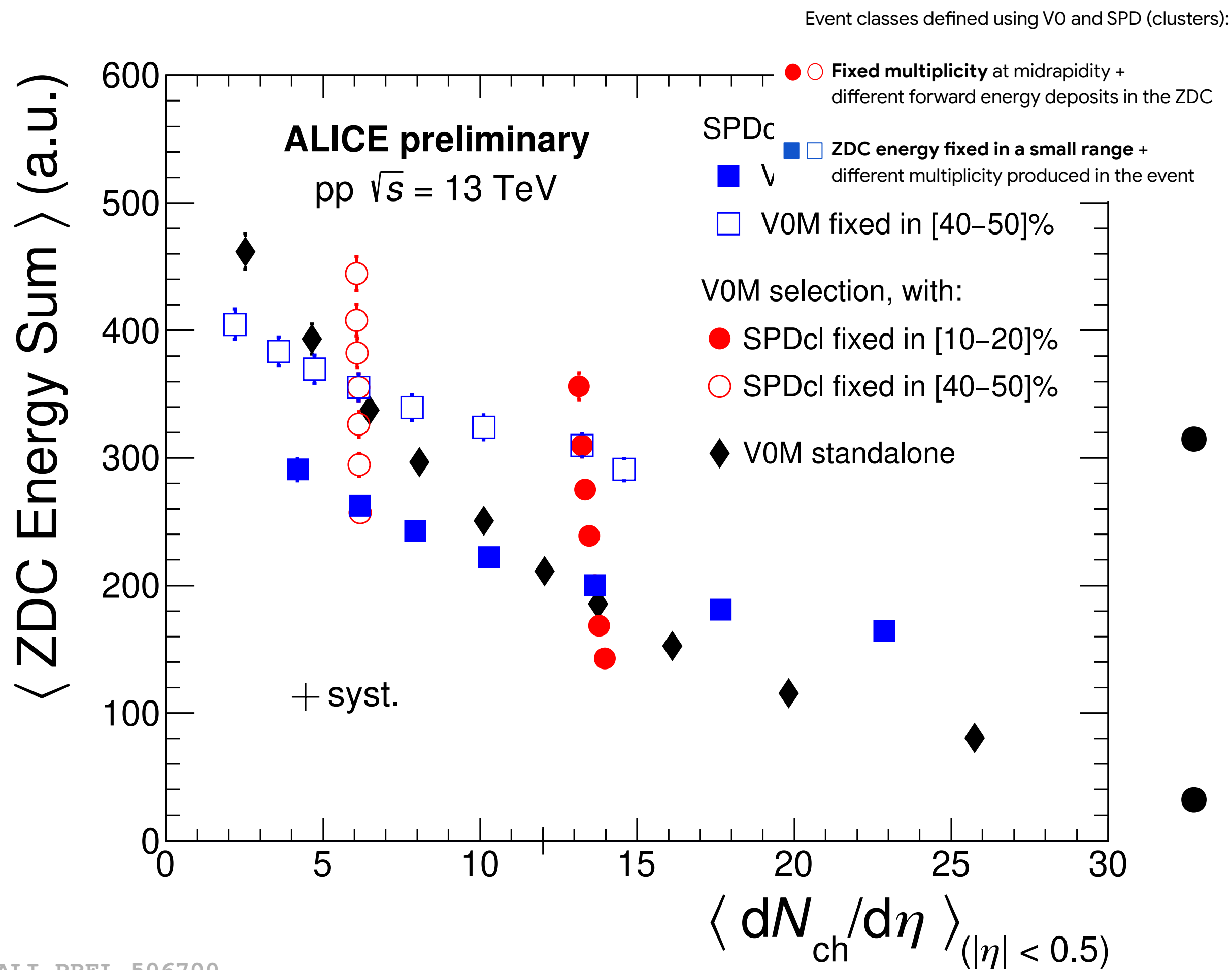
- leading energy ( **ZDC** )

$$E_{\text{eff}} = \sqrt{s} - E_{\text{leading}} \approx \sqrt{s} - E_{\text{ZDC}}$$

- multiplicity ( **VOM** = VOA+V0C )

$E_{\text{EFF}} < \sqrt{s}$  due to **leading baryon emission** at forward rapidity

# Multi-differential event classes



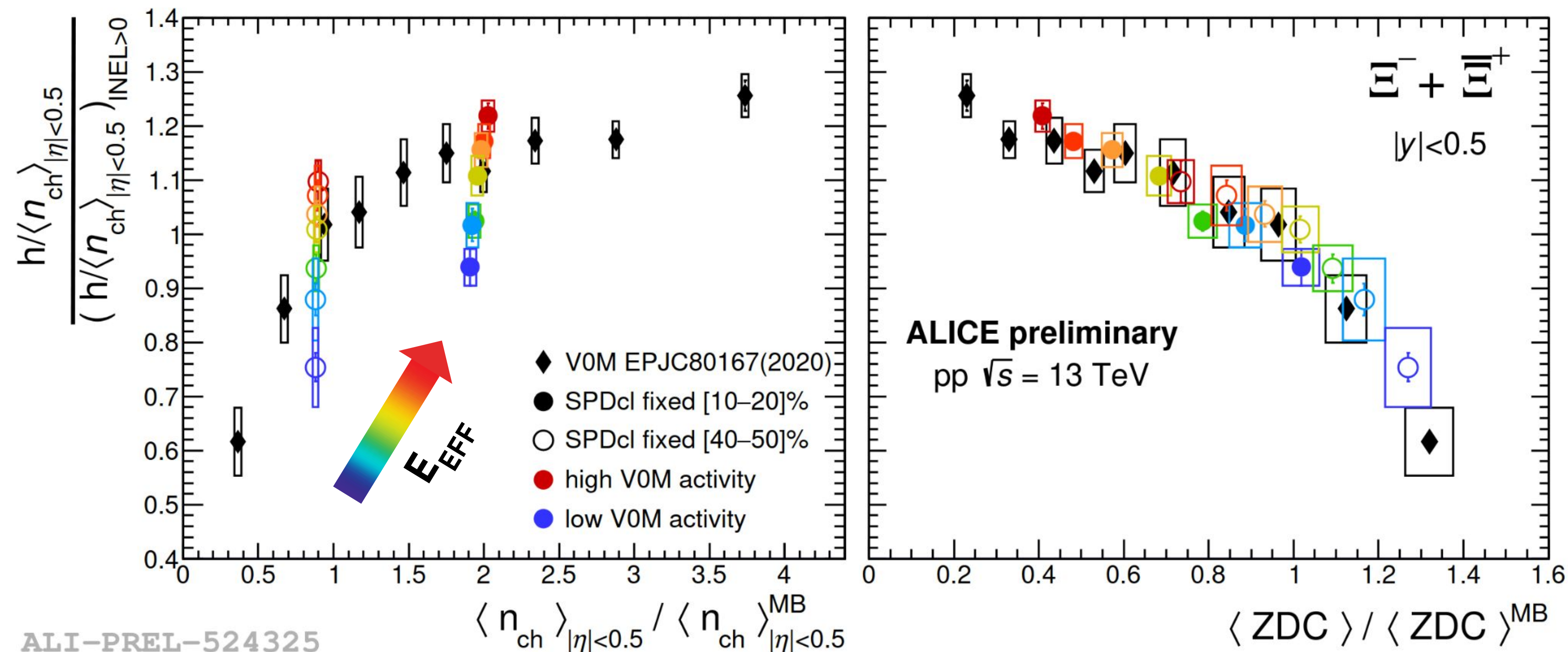
- **Fixed midrapidity multiplicity class:** effective energy (anti-)correlated with forward multiplicity
- **Fixed forward multiplicity class:** effective energy insensitive to midrapidity multiplicity



# Fixed midrapidity multiplicity

In events with the same particle multiplicity produced:

- **increase** in  $\bar{E}$  production per charged particle is observed for **decreasing forward energy (ZDC)**
- scaling trends with ZDC energy are **compatible within uncertainties**

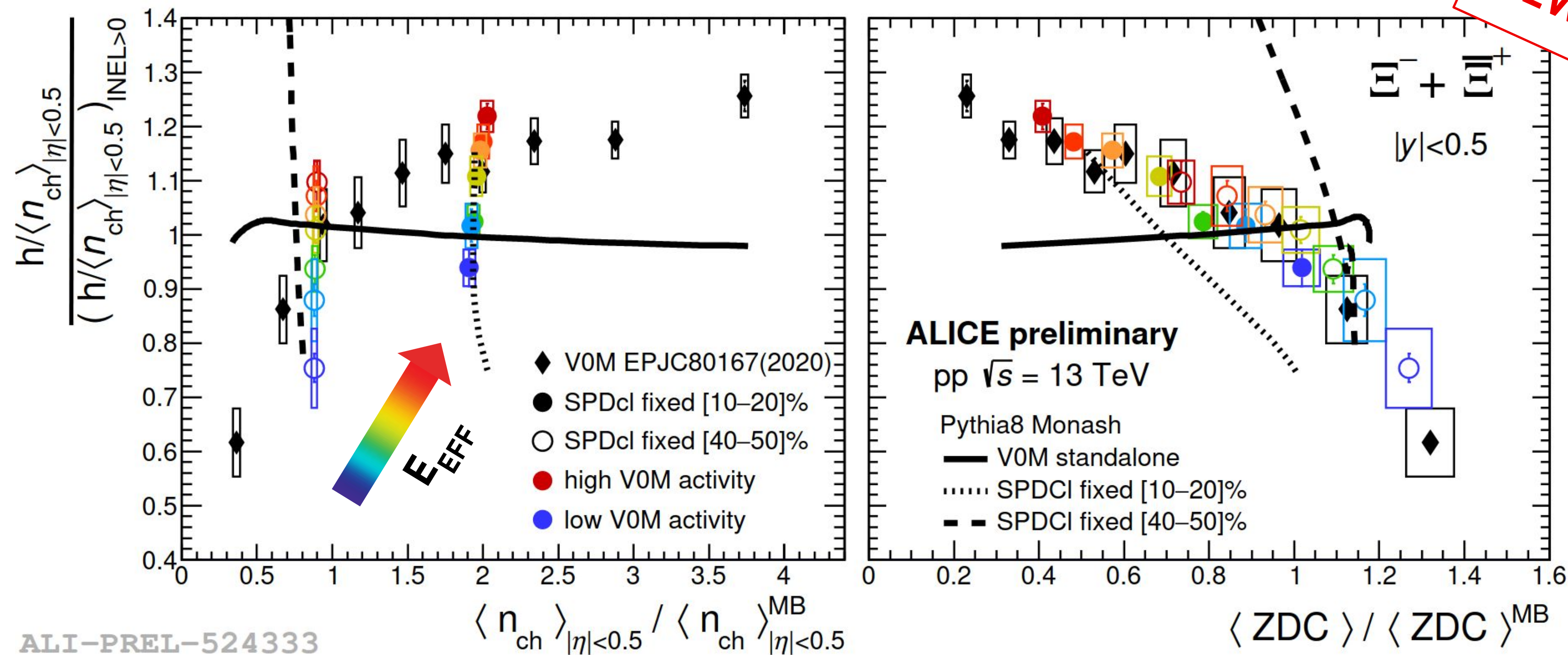


ALI-PREL-524325

# Fixed midrapidity multiplicity

In events with the same particle multiplicity produced:

- **increase** in  $\bar{E}$  production per charged particle is observed for **decreasing forward energy (ZDC)**
- scaling trends with ZDC energy are **compatible within uncertainties**

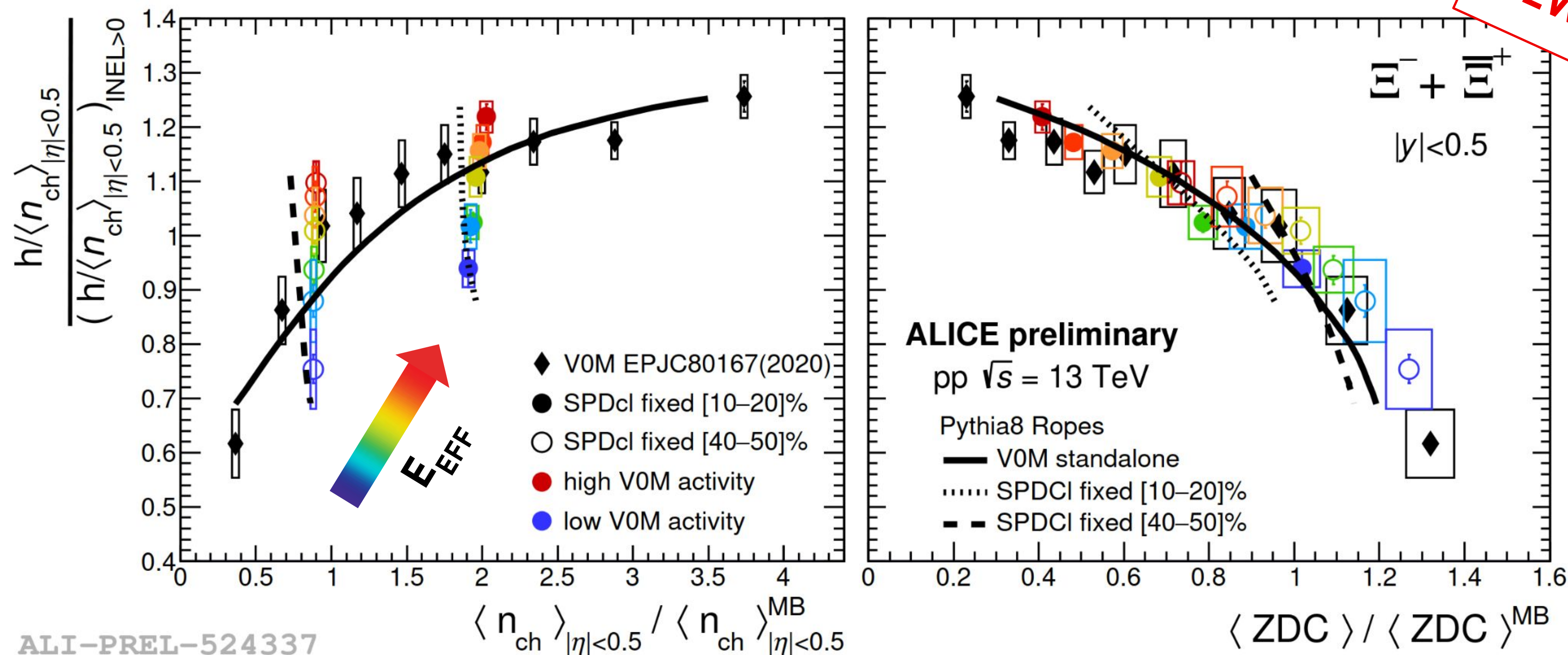


The Pythia Monash 2013 tune fails to reproduce the results

# Fixed midrapidity multiplicity

In events with the same particle multiplicity produced:

- **increase** in  $\bar{E}$  production per charged particle is observed for **decreasing forward energy (ZDC)**
- scaling trends with ZDC energy are **compatible within uncertainties**



ALI-PREL-524337

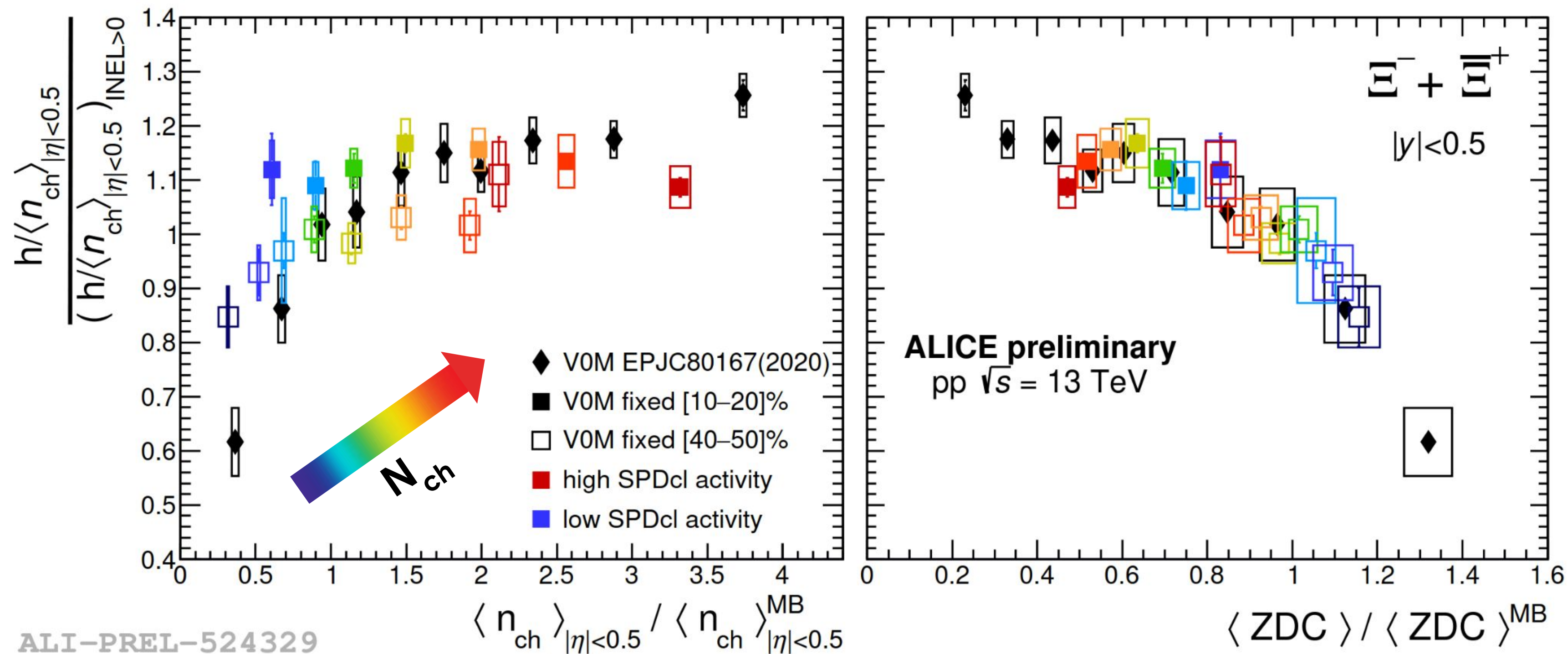
Including **Color Ropes** in the model **improves the agreement with data**

# Fixed forward rapidity multiplicity



In events with ZDC energy deposits fixed in a small range:

- strangeness **enhancement with multiplicity is reduced** (left)
- within the small ZDC energy range, scaling **trends are compatible** within uncertainties (right)



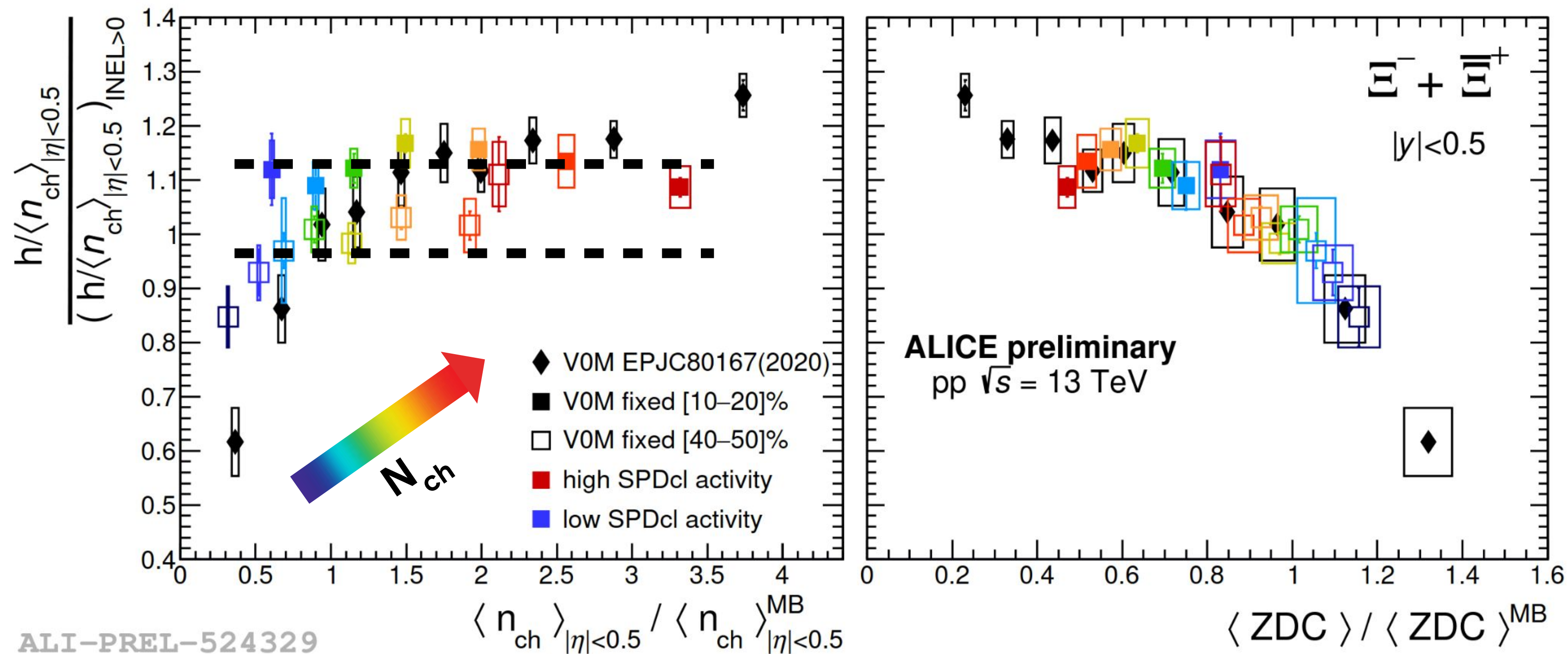
ALI-PREL-524329

# Fixed forward rapidity multiplicity



In events with ZDC energy deposits fixed in a small range:

- strangeness **enhancement with multiplicity is reduced** (left)
- within the small ZDC energy range, scaling **trends are compatible** within uncertainties (right)



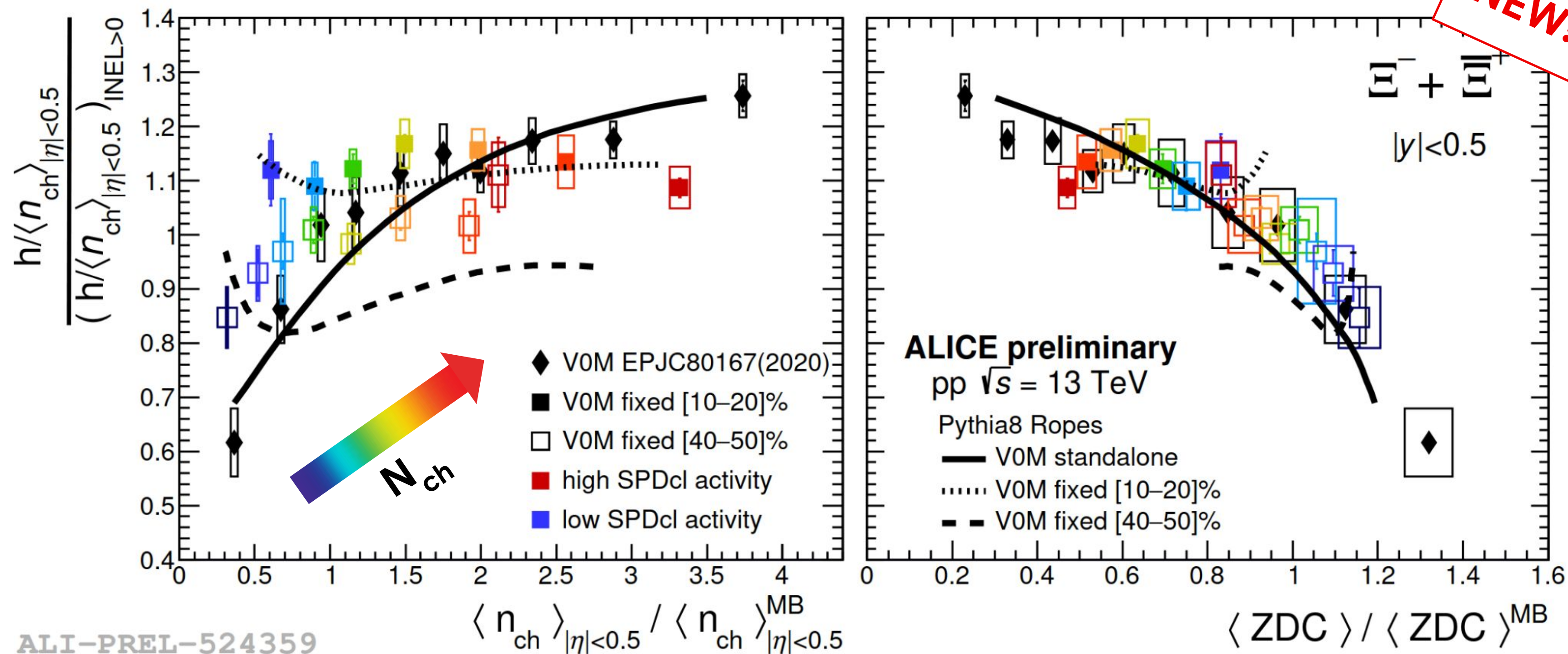
ALI-PREL-524329

# Fixed forward rapidity multiplicity



In events with ZDC energy deposits fixed in a small range:

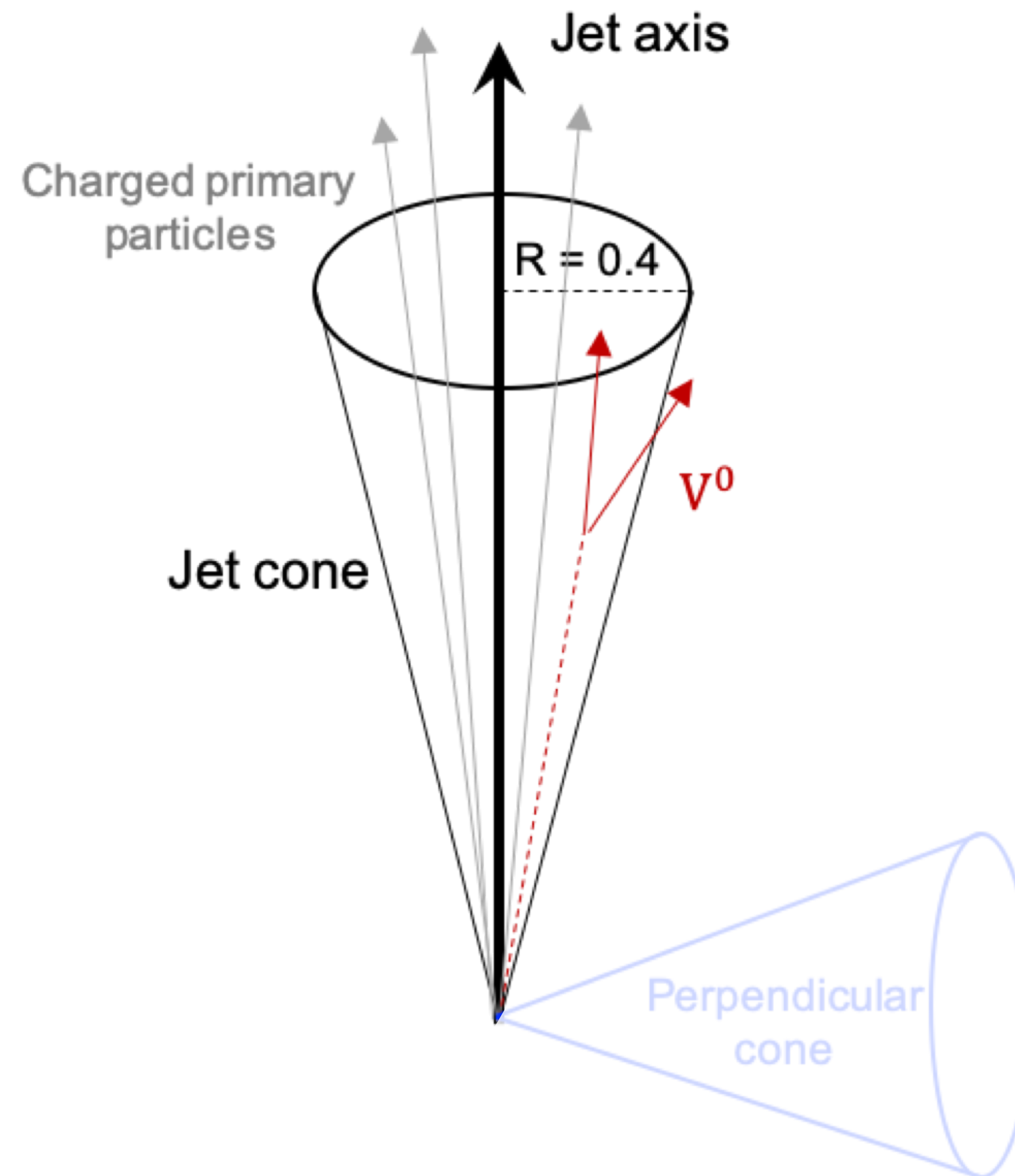
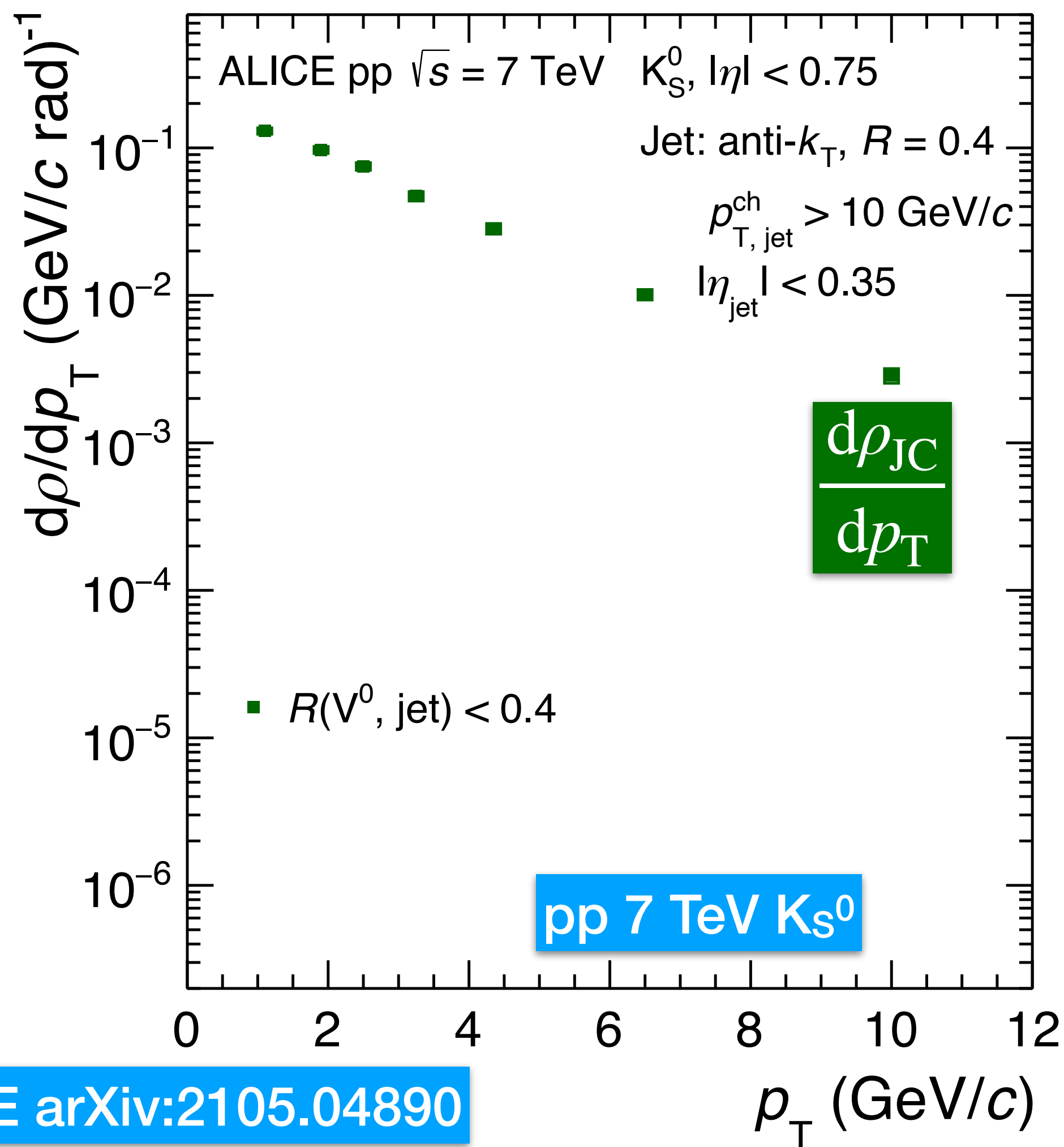
- strangeness enhancement with multiplicity is reduced (left)
- within the small ZDC energy range, scaling trends are compatible within uncertainties (right)



Including **Color Ropes** in the model improves the agreement with data

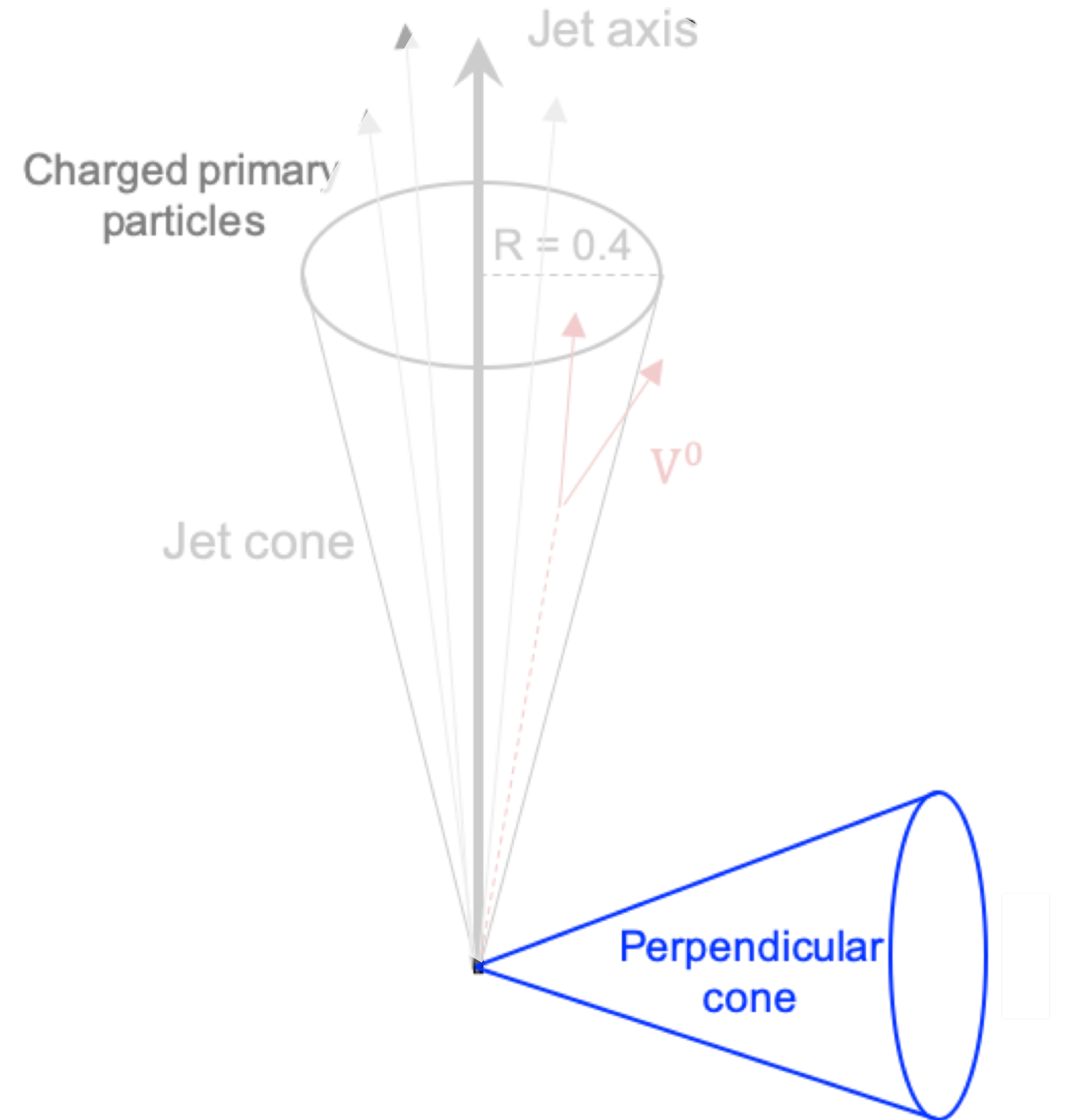
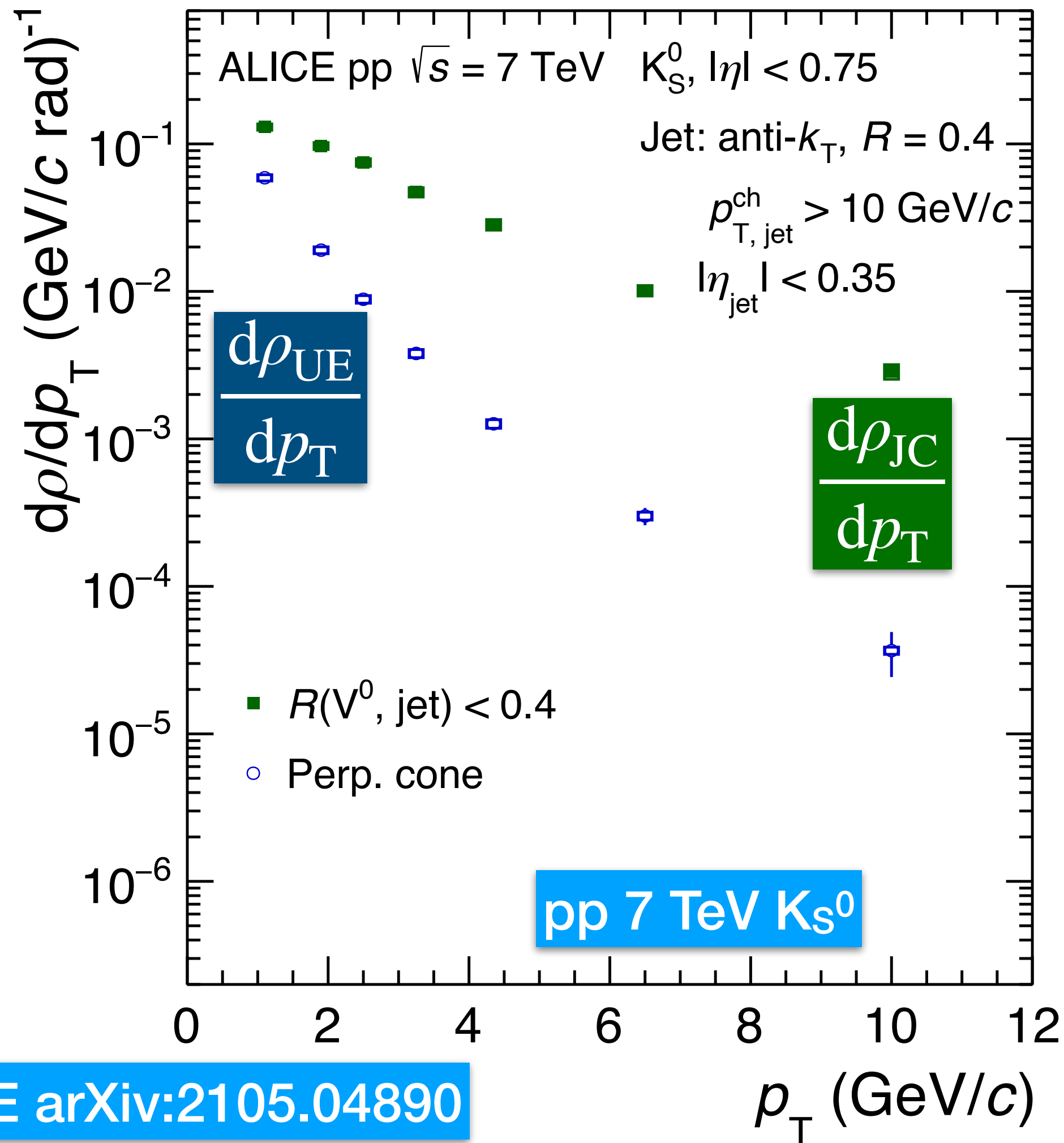
# Strangeness in jets and UE

$$\frac{d\rho}{dp_T} = \frac{1}{N_{ev}} \times \frac{1}{\langle \text{Area acceptance} \rangle} \times \frac{dN}{dp_T}$$



# Strangeness in jets and UE

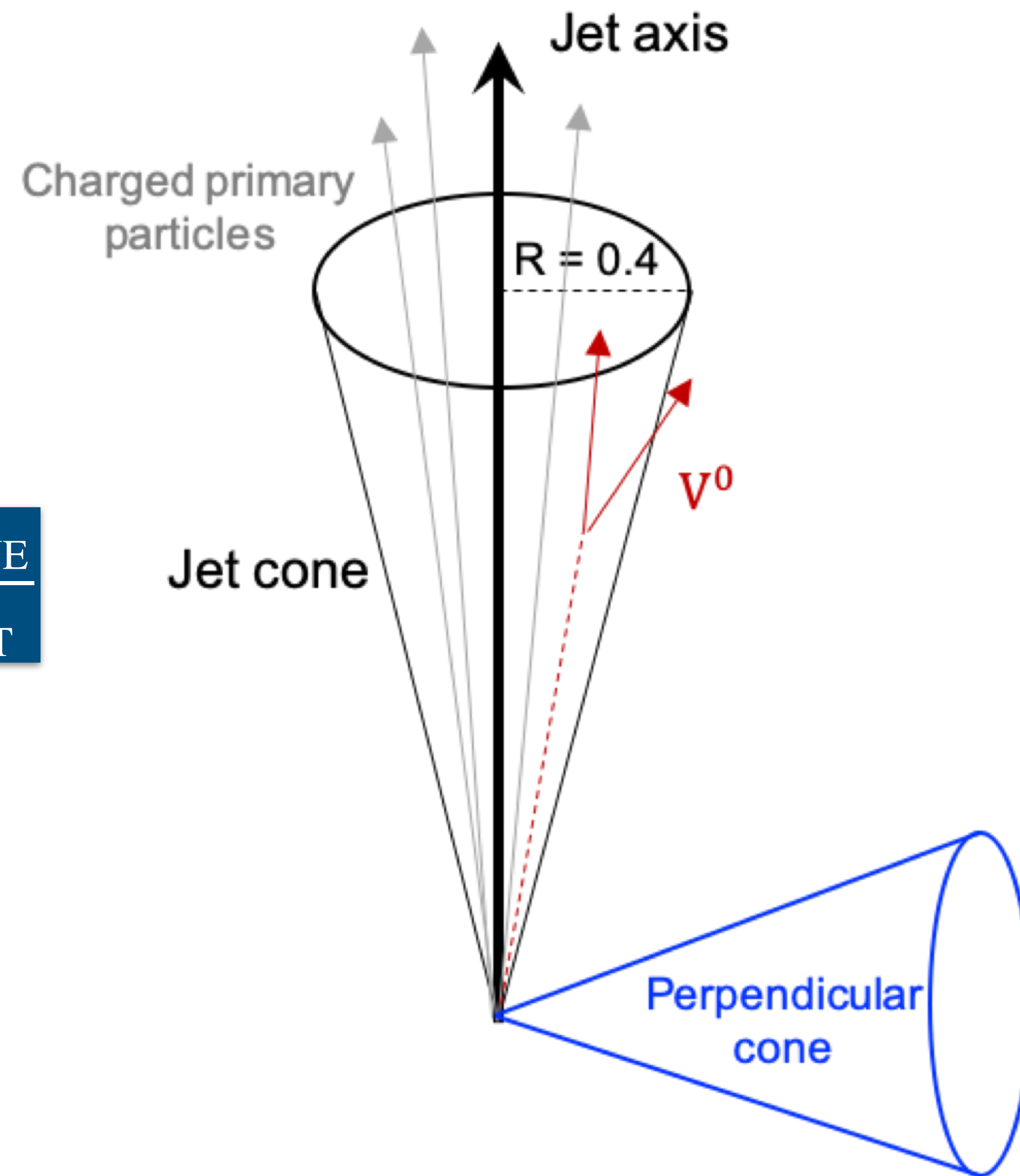
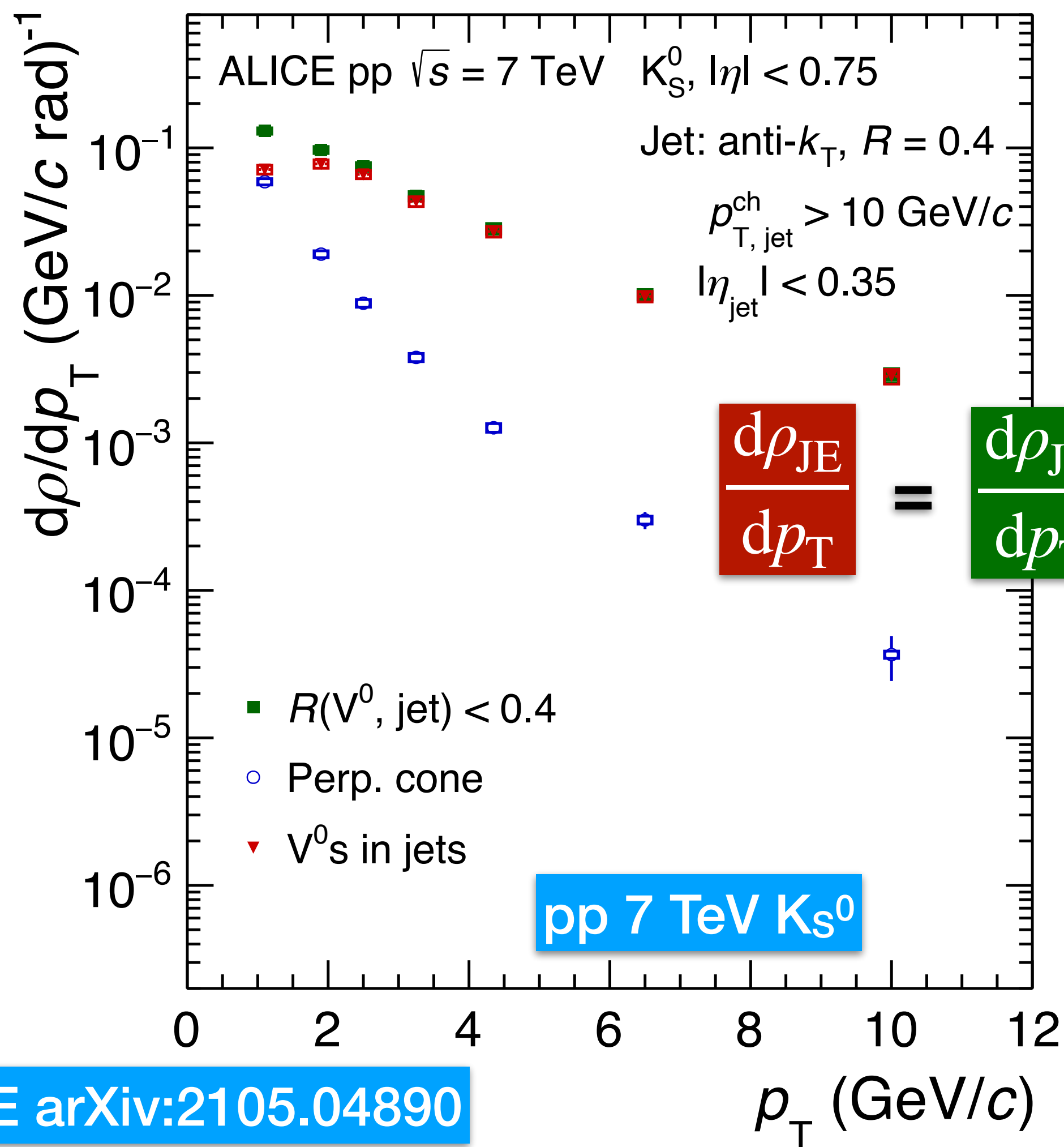
$$\frac{d\rho}{dp_T} = \frac{1}{N_{ev}} \times \frac{1}{\langle \text{Area acceptance} \rangle} \times \frac{dN}{dp_T}$$





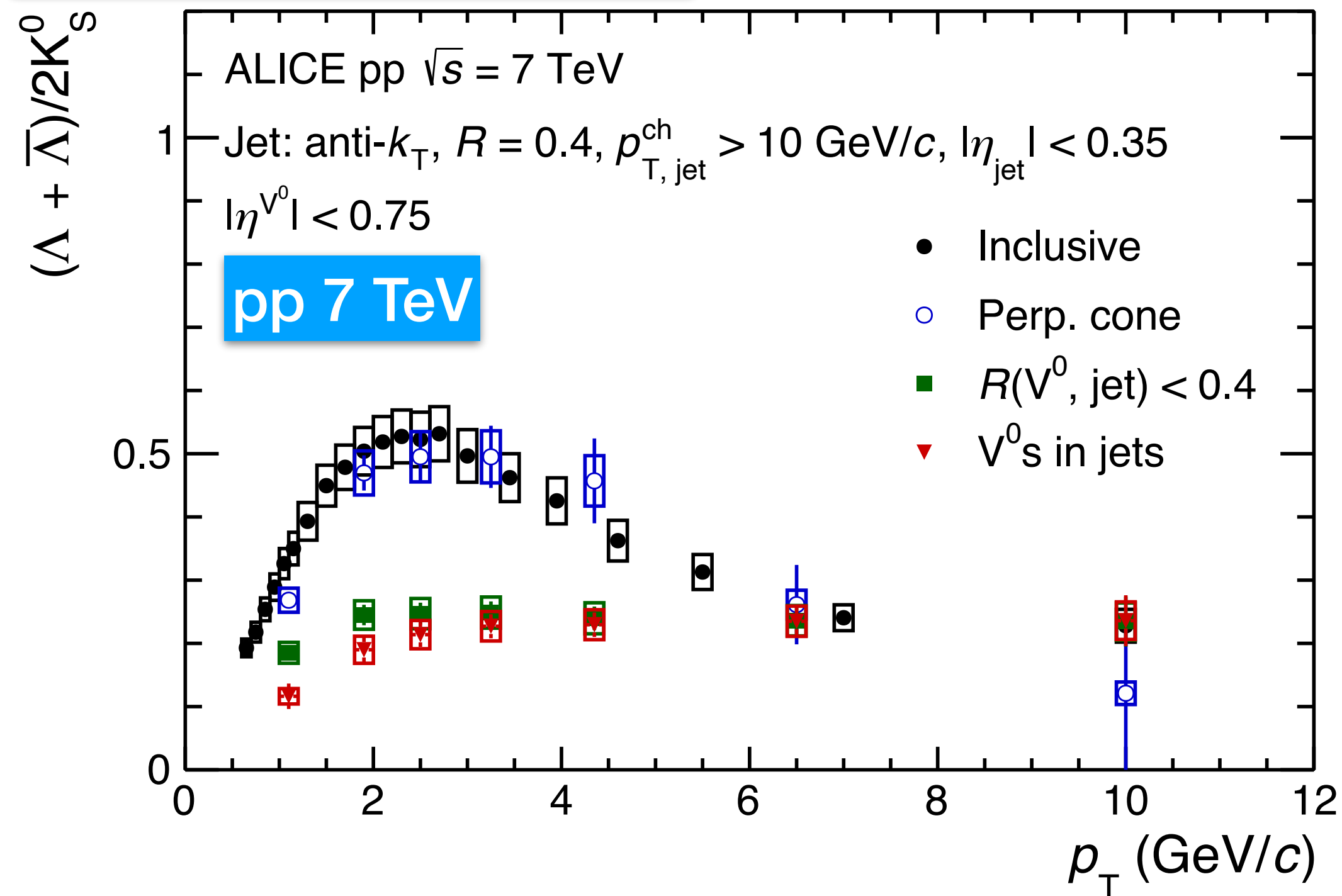
# Strangeness in jets and UE

$$\frac{d\rho}{dp_T} = \frac{1}{N_{ev}} \times \frac{1}{\langle \text{Area acceptance} \rangle} \times \frac{dN}{dp_T}$$



# $\Lambda/K_S^0$ ratio in pp and p-Pb

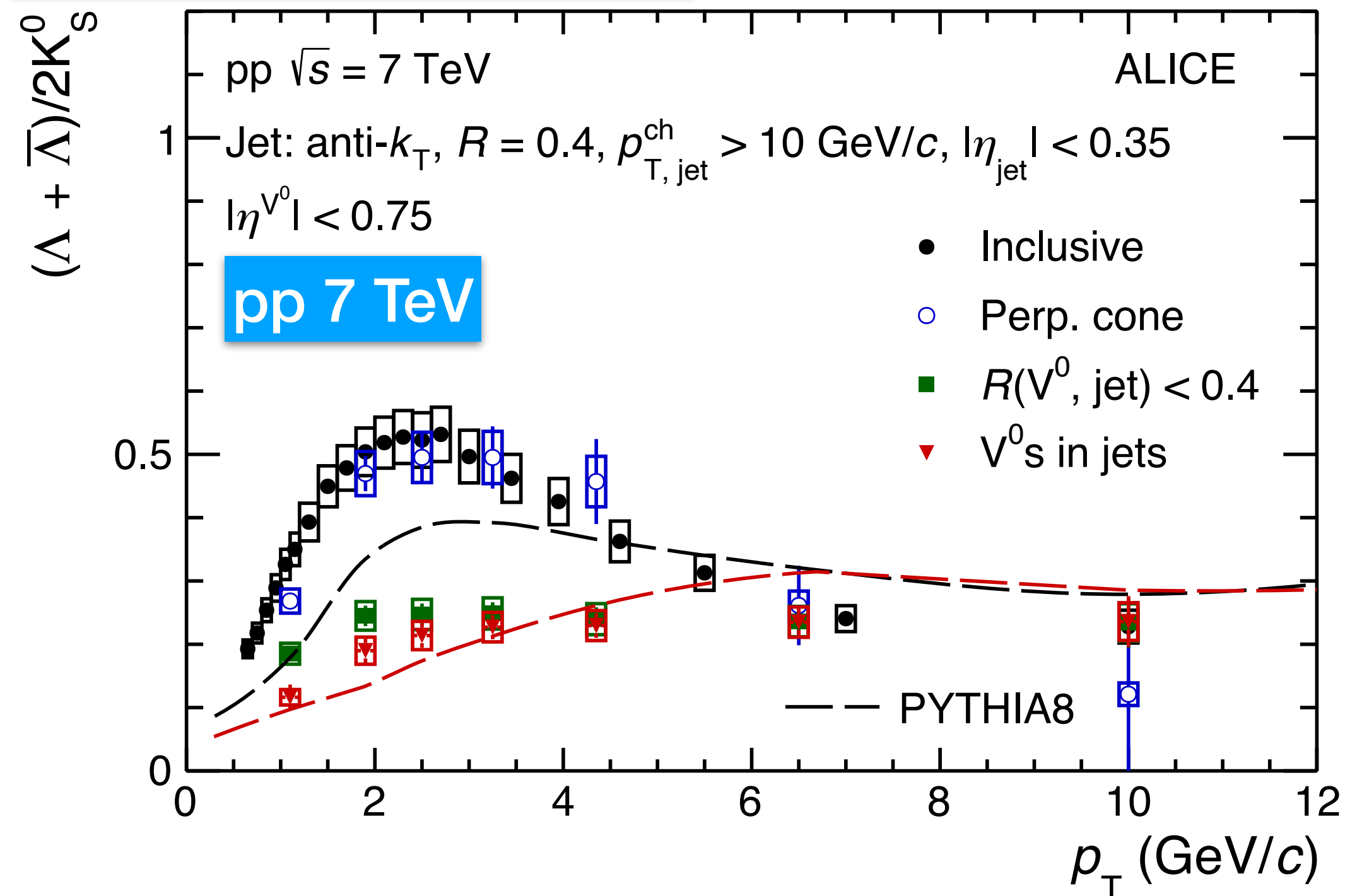
ALICE arXiv:2105.04890



- Ratio in jets does not show a maximum at intermediate  $p_T$ , ratio with UE selection is systematically higher than the inclusive in  $2 < p_T < 5$  GeV/c

# $\Lambda/K_S^0$ ratio in pp and p-Pb

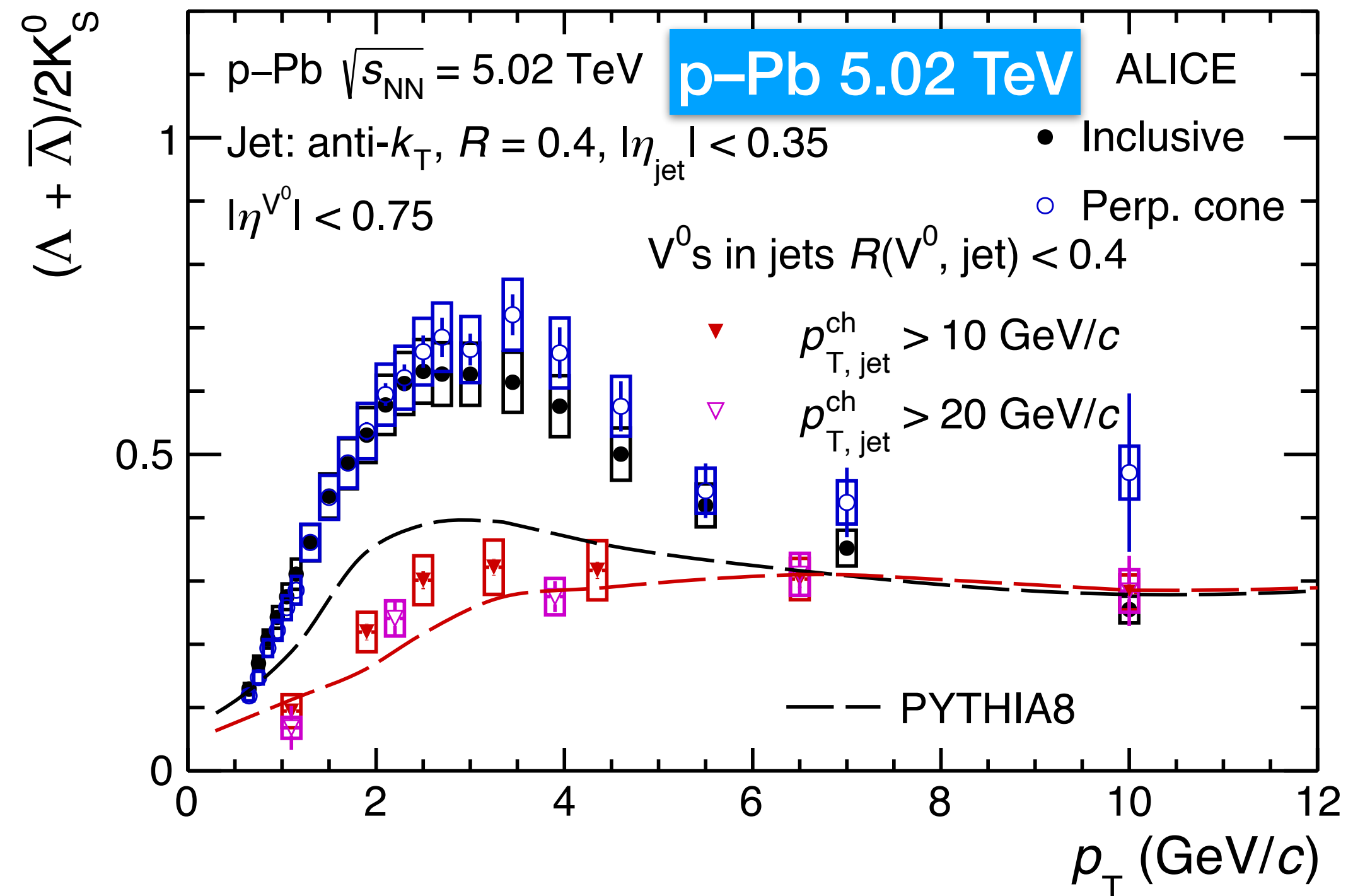
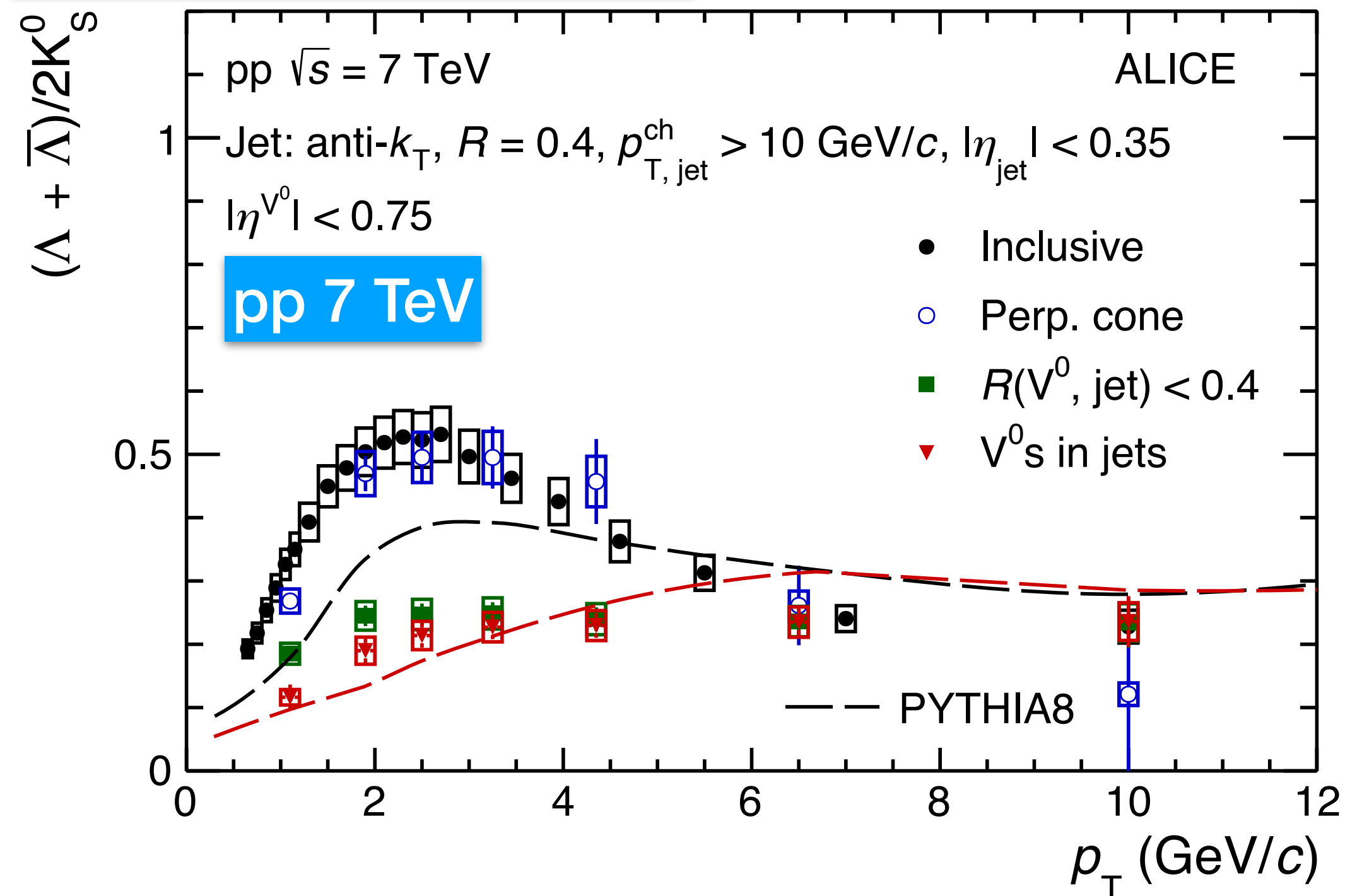
ALICE arXiv:2105.04890



- Ratio in jets does not show a maximum at intermediate  $p_T$ , ratio with UE selection is systematically higher than the inclusive in  $2 < p_T < 5$  GeV/c
- PYTHIA 8 **hard QCD** is consistent with ratio in jets but does not reproduce the inclusive ratio at low and intermediate  $p_T$

# $\Lambda/K_S^0$ ratio in pp and p-Pb

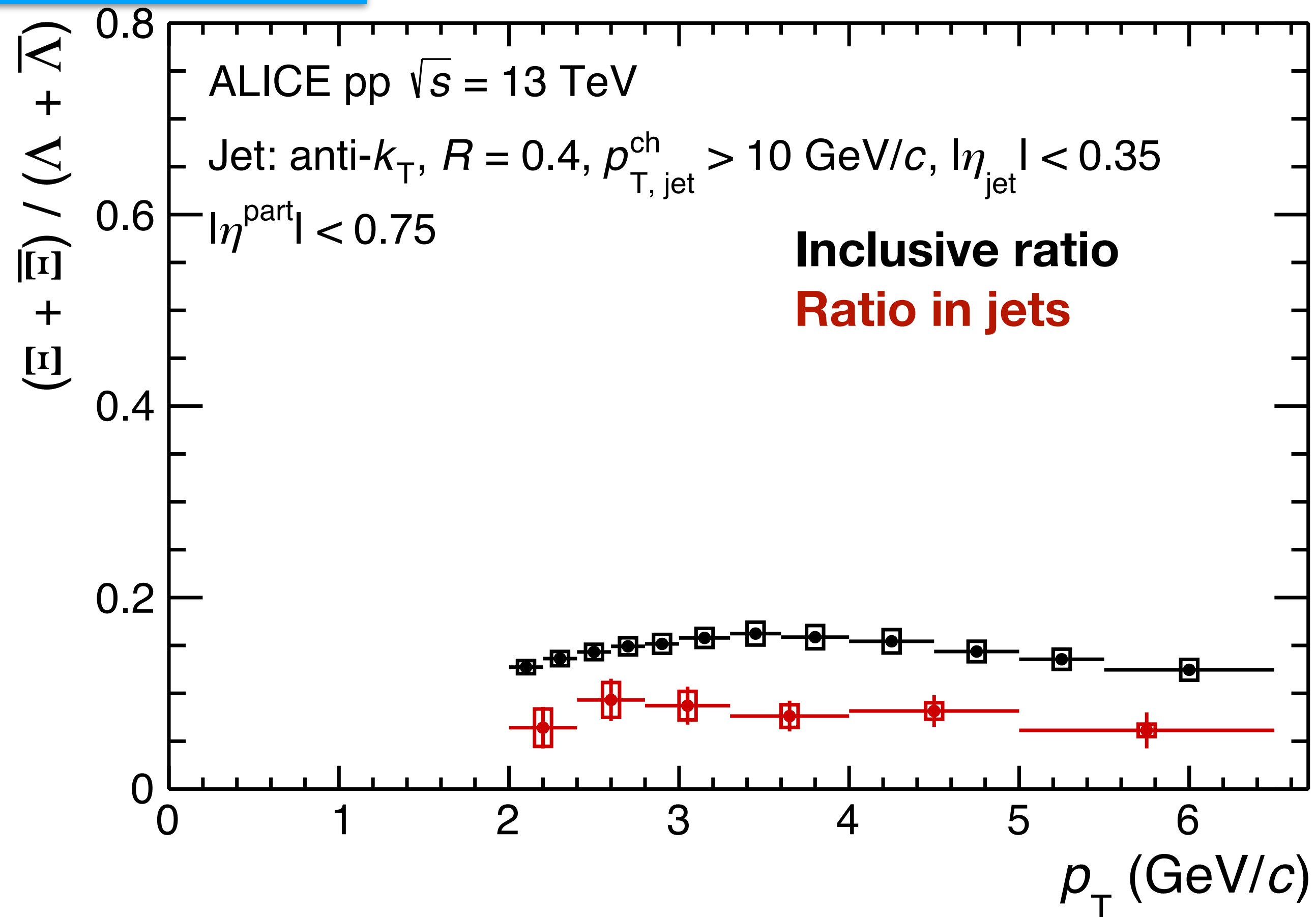
ALICE arXiv:2105.04890



- Ratio in jets does not show a maximum at intermediate  $p_T$ , ratio with UE selection is systematically higher than the inclusive in  $2 < p_T < 5$  GeV/c
- PYTHIA 8 **hard QCD** is consistent with ratio in jets but does not reproduce the inclusive ratio at low and intermediate  $p_T$

# $\Xi^\pm/\Lambda$ ratio in jets

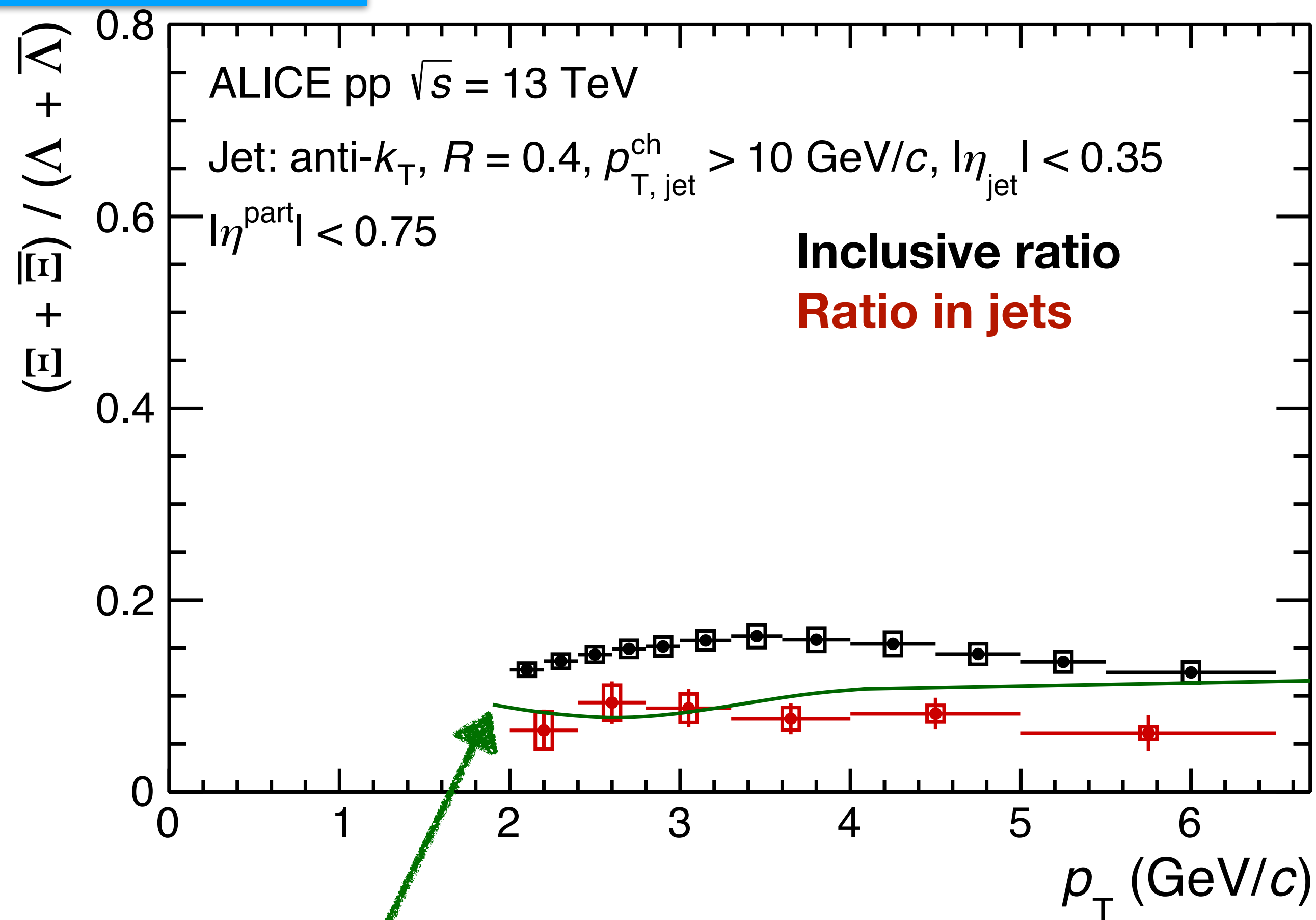
pp 13 TeV  $\Xi^\pm / \Lambda$



- Increase on baryon-to-baryon ( $\Xi^\pm/\Lambda$ ) ratio at intermediate- $p_T$  suppressed in jets

# $\Xi^\pm/\Lambda$ ratio in jets

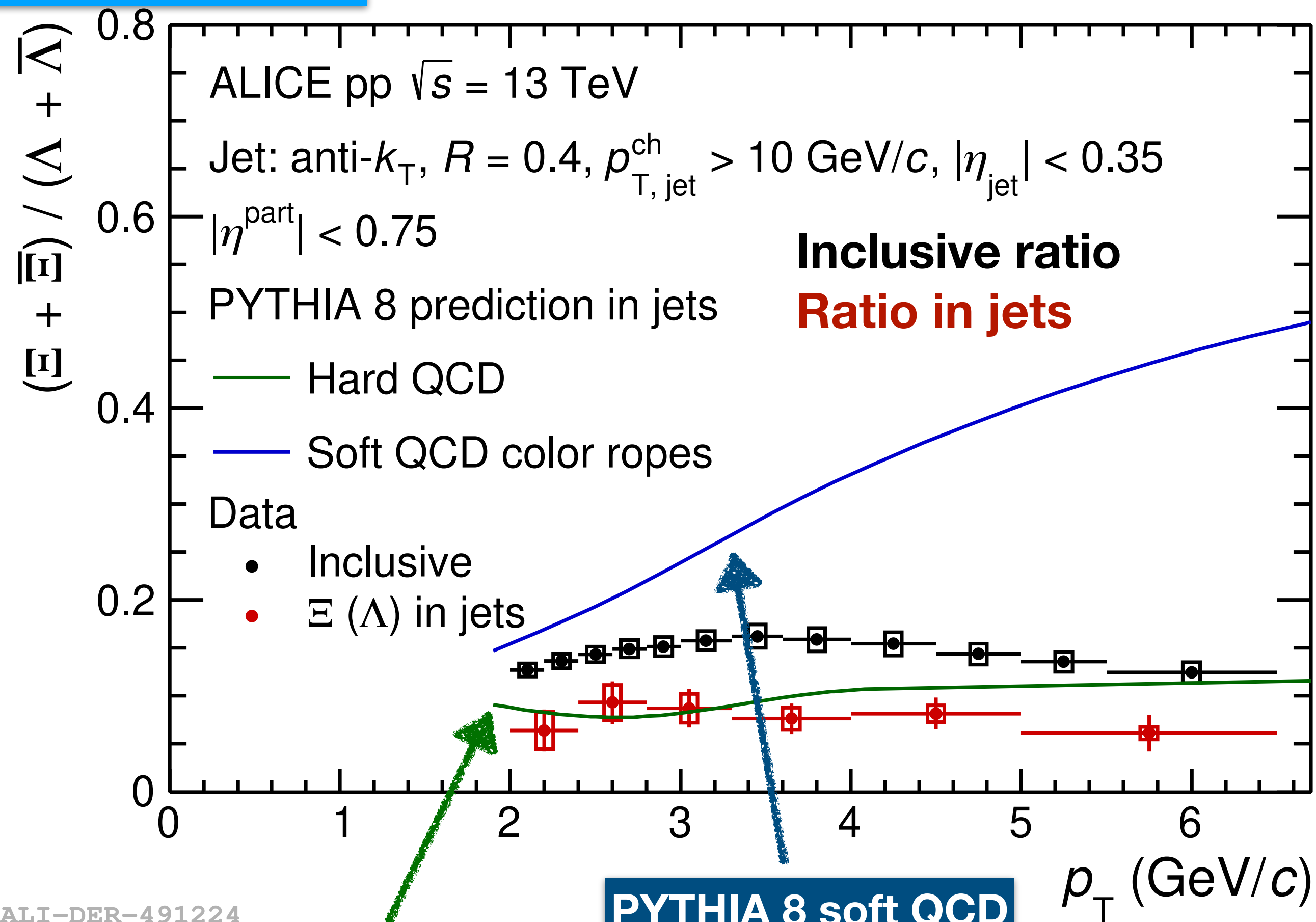
pp 13 TeV  $\Xi^\pm / \Lambda$



- Increase on baryon-to-baryon ( $\Xi^\pm/\Lambda$ ) ratio at intermediate- $p_T$  suppressed in jets
- PYTHIA 8 **hard QCD** generally reproduces ratio in jets

# $\Xi^\pm/\Lambda$ ratio in jets

pp 13 TeV  $\Xi^\pm / \Lambda$



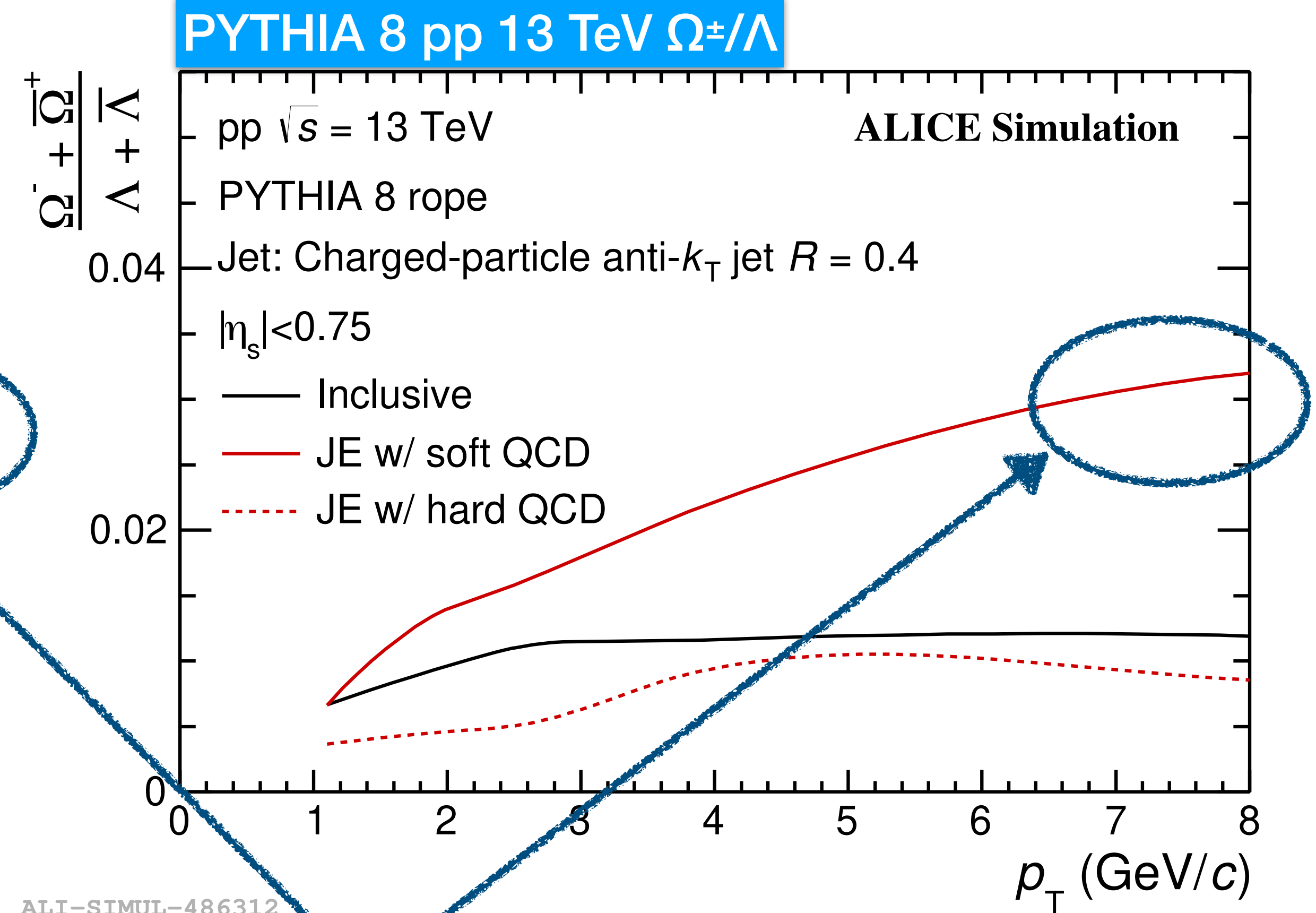
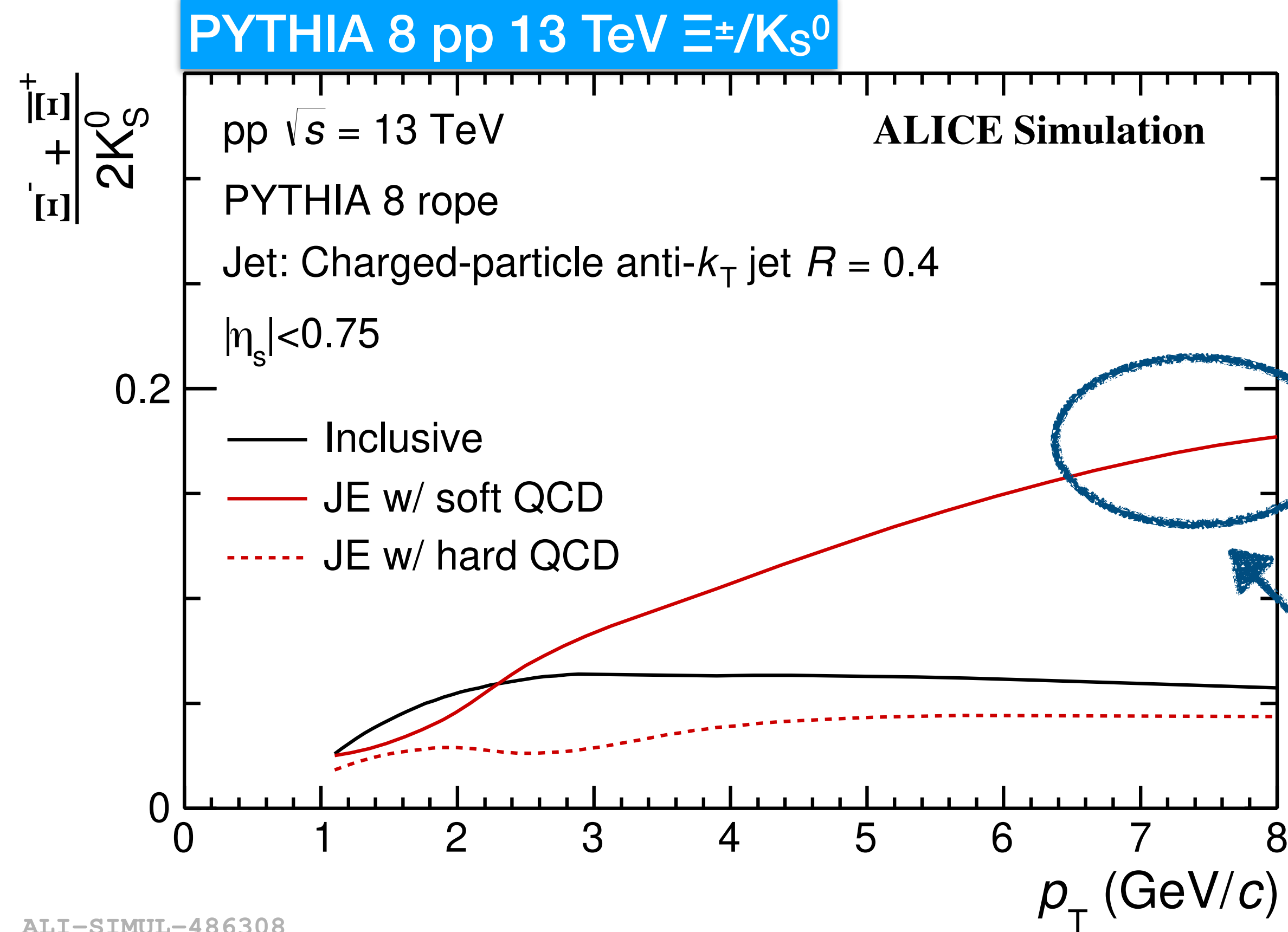
ALI-DER-491224

**PYTHIA 8 hard QCD prediction in jets**

**PYTHIA 8 soft QCD with color ropes prediction in jets**

- Increase on baryon-to-baryon ( $\Xi^\pm/\Lambda$ ) ratio at intermediate- $p_T$  suppressed in jets
  - PYTHIA 8 **hard QCD** generally reproduces ratio in jets
  - PYTHIA 8 **soft QCD** overestimate data — especially at high  $p_T$
- ➔ Mechanism reproduces charmed baryon-to-meson ratio can not reproduce the strange baryon-to-baryon ratio in jets

# $\Omega$ -baryon: PYTHIA predictions



**Next step** extend the study to  $\Omega$ -baryon

**PYTHIA 8 soft QCD  
with color ropes  
prediction in jets**



# Conclusion



- Mass ordering of  $\langle p_T \rangle$  is not observed in peripheral heavy-ion collisions as well as in pp and p–Pb collisions
- Underlying processes (transverse to leading processes) give the dominant contribution to strangeness production
- The  $\Lambda/K_S^0$  increase at intermediate  $p_T$  is not present within the jets, but is related to the underlying event
- Strangeness enhancement in pp collisions: strongly correlated with effective energy (initial stage dynamics), better reproduced by color ropes
- PYTHIA 8 soft QCD mode gives a strong increase in particle ratios at high  $p_T$  when multi-strange particles are considered, not consistent with data

2010-2012

2015-2018

2022-2025

2029-2032

2035-2038

2040-2041

Run 1

LS1

Run 2

LS2

Run 3

LS3

Run 4

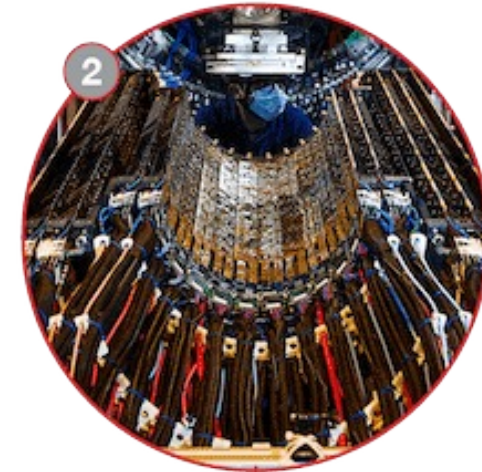
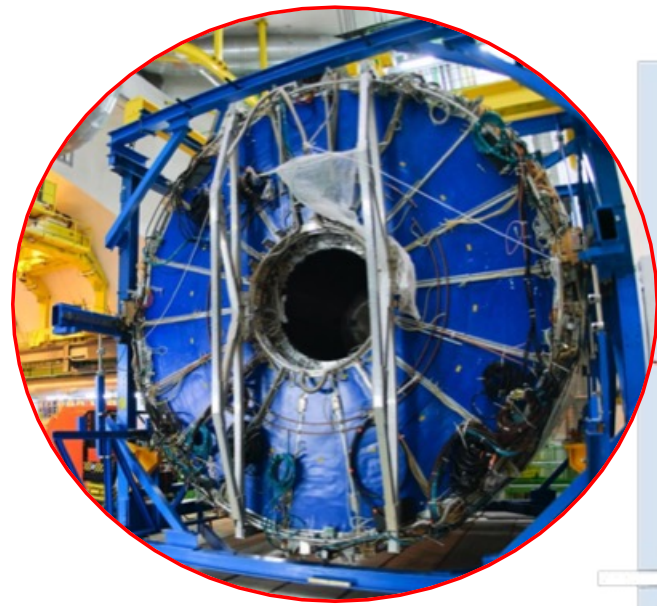
LS4

Run 5

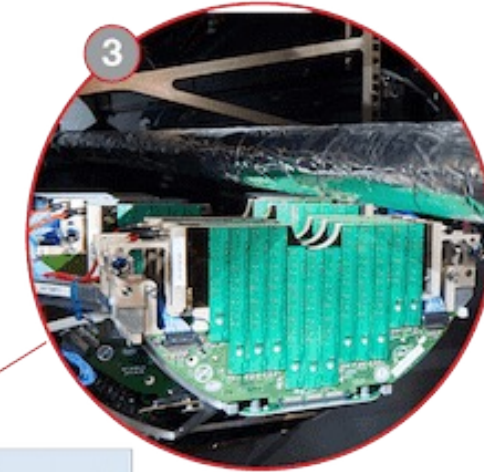
LS5

Run 6

**New GEM-based TPC**  
with continuous readout



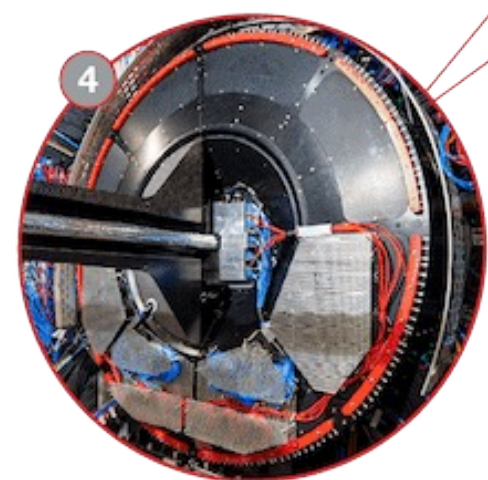
**New Inner Tracking System (ITS)**  
– 7 barrels, 10 m<sup>2</sup> silicon tracker  
based on MAPS (12.5 G pixels)



**New Muon Forward Tracker (MFT)** - 5 disks  
based on MAPS

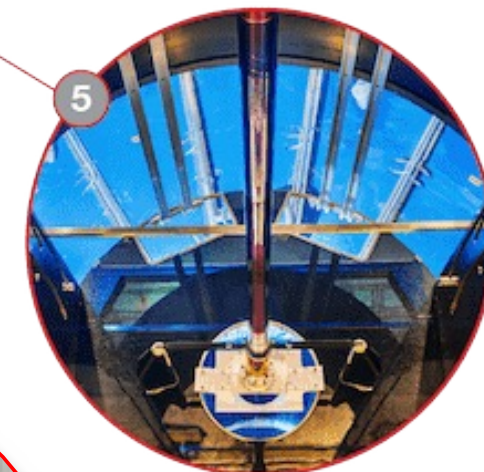


**New Trigger and Readout**  
Upgrade of readout  
electronics of all detector,  
new Central Trigger  
Processor



**New Fast Interaction Trigger (FIT)**  
– 3 detector technologies:  
interaction trigger, online  
luminometer, forward multiplicity

New Online/Offline (O2)



**New Beampipe**  
smaller diameter (36.4 mm), first  
detection layer at 20 mm



# ALICE 2 Upgrade

- Tracking precision ×3
- Pb-Pb rate ×50

2010-2012

2015-2018

2022-2025

2029-2032

Run 1

LS1

Run 2

LS2

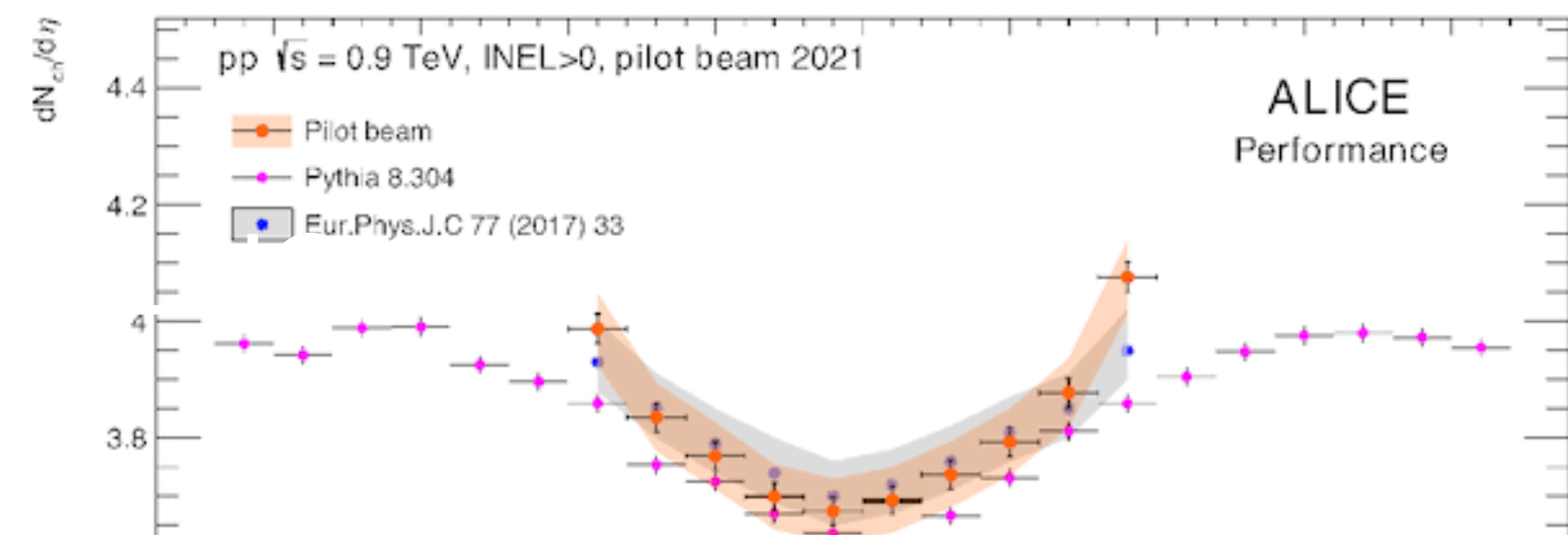
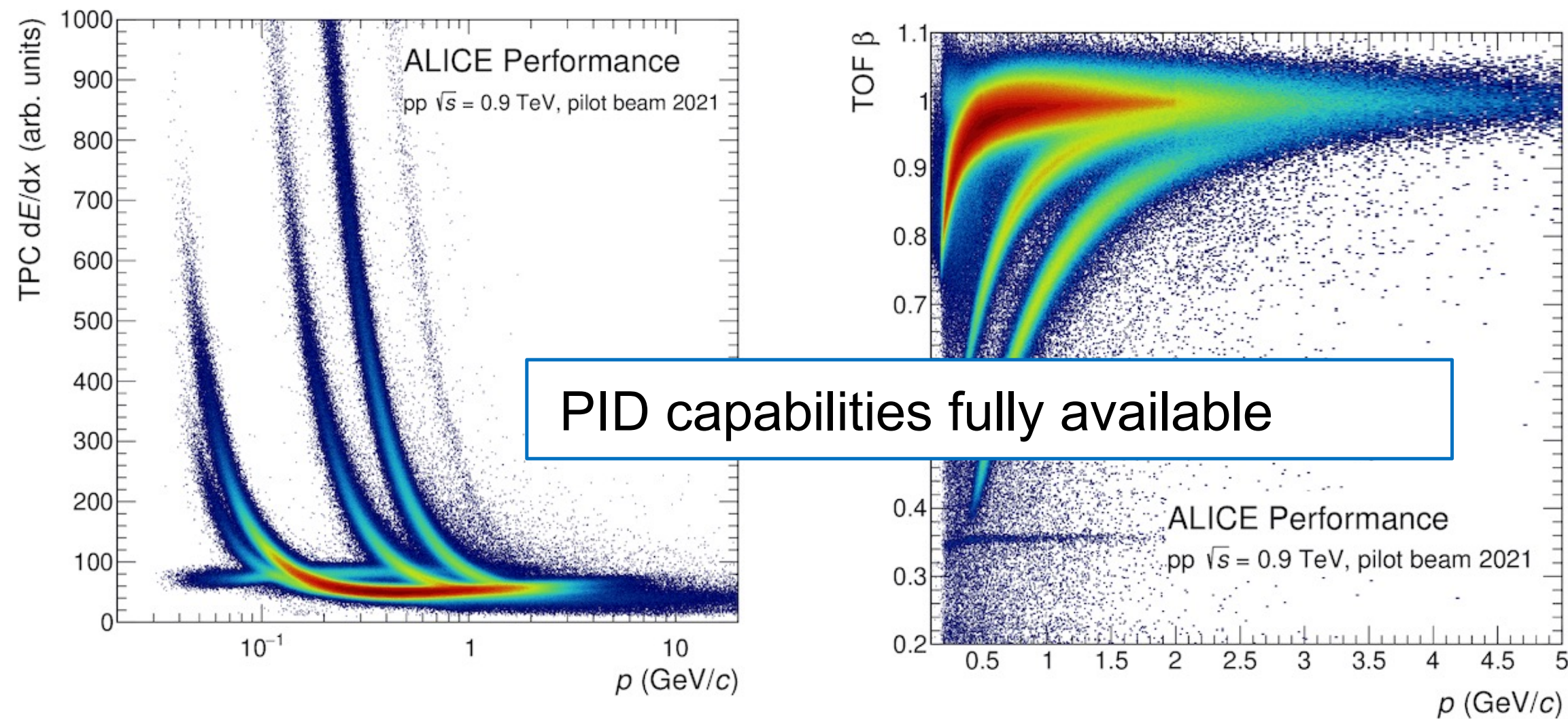
Run 3

LS3

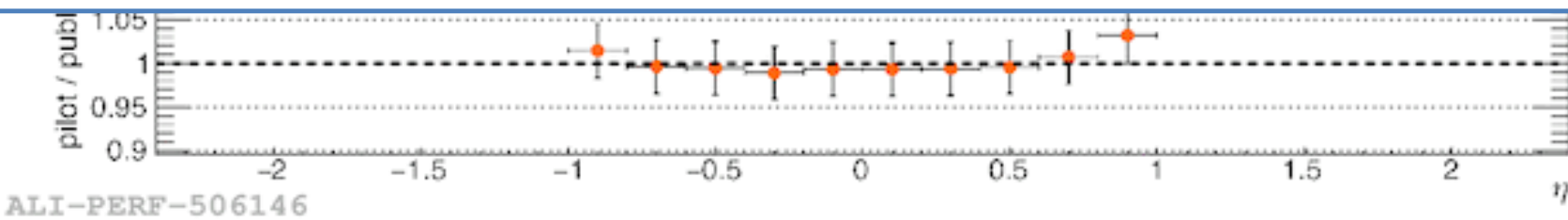
Run 4

LS4

# Commissioning with pilot beam and start of Run 3



Measured  $dN_{ch}/d\eta$  compatible with previous results



5 July - pp 13.6 TeV  
1 time frame ~100 collisions

ALICE

Run number: 520145  
First TF orbit: 676363  
Date: Tue Jul 5 17:47:55 2022  
Detectors: ITS,TPC,TRD,TOF,PHS,EMC,MFT,MCH,MID

2010-2012

2015-2018

2022-2025

2029-2032

Run 1

LS1

Run 2

LS2

Run 3

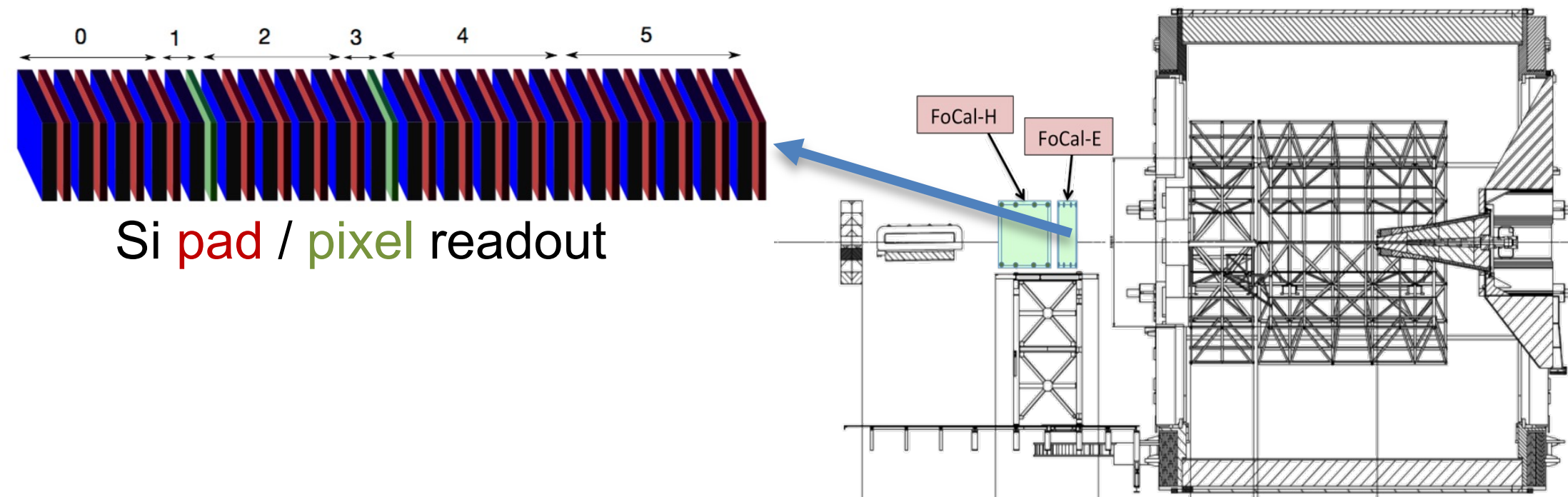
LS3

Run 4

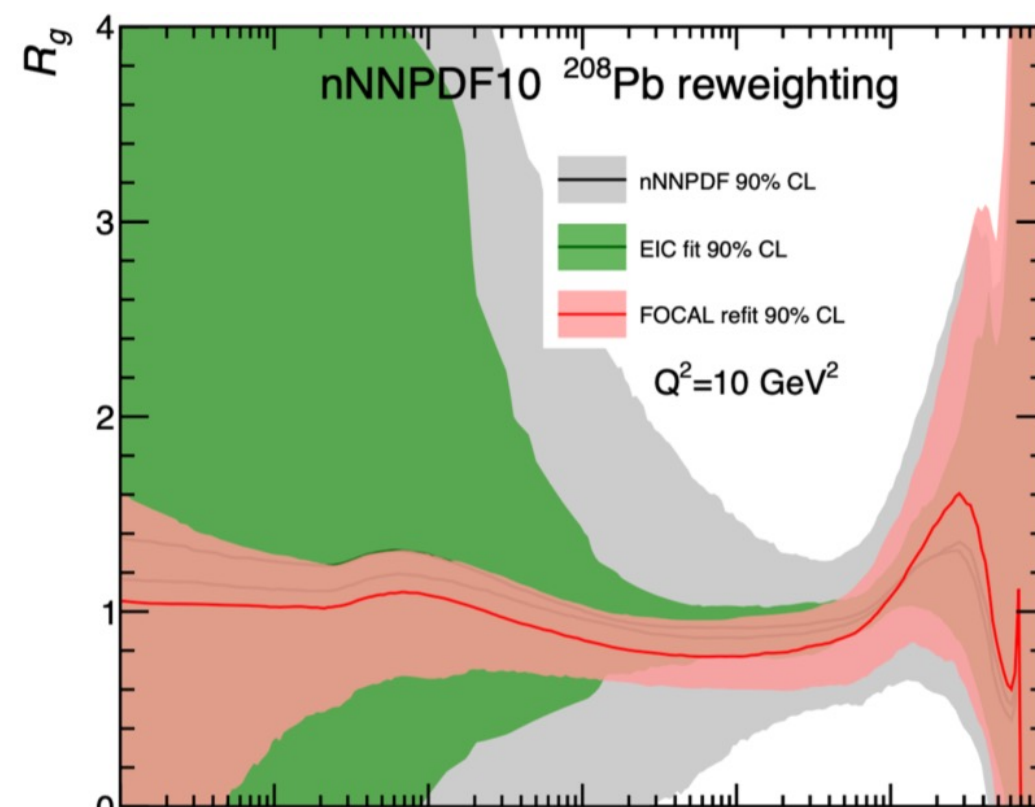
LS4

# LS3: forward calorimeter and ultra-thin tracker

- FoCal: forward e.m. calo with Si readout for isolated  $\gamma$  measurements  $3.2 < \eta < 5.8$  in p-Pb
- Constrain nuclear PDFs down to  $x < 10^{-5}$
- **Lol:** [CERN-LHCC-2020-009](https://cds.cern.ch/record/2798113)

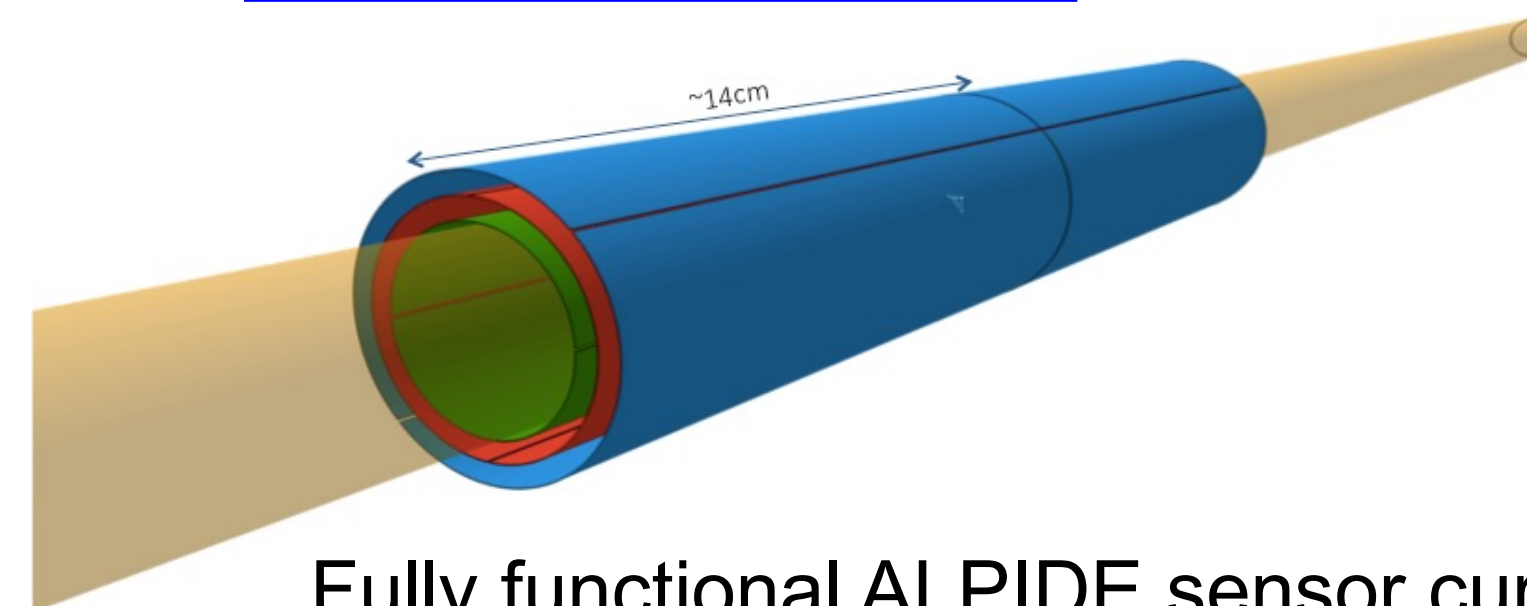


Impact on gluon nuclear PDFs:  
Present nNNPDF  
w/ FoCal pseudodata  
w/ EIC pseudodata

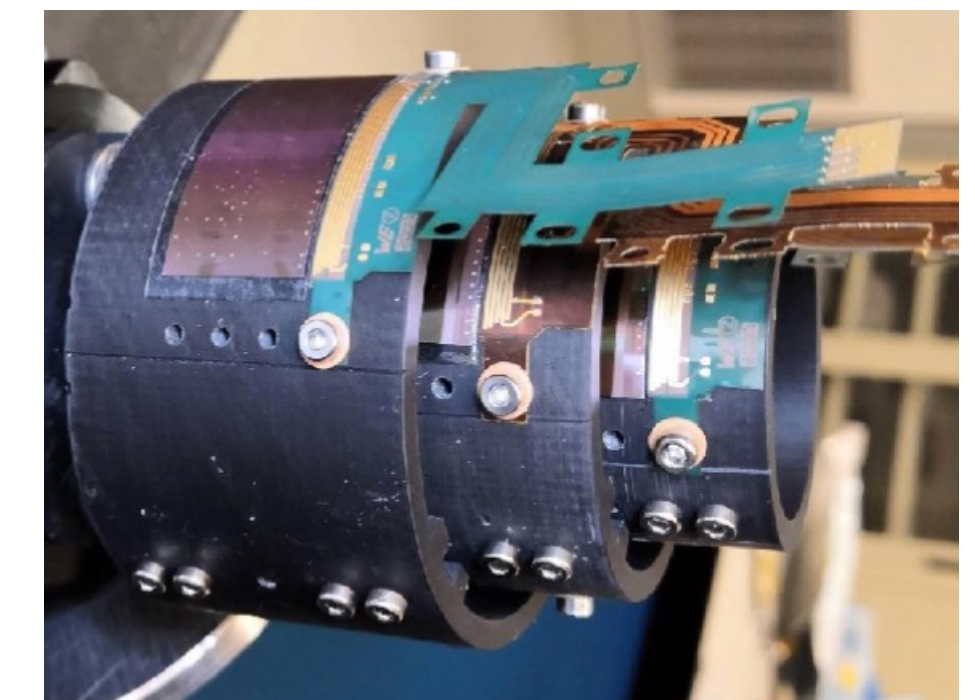
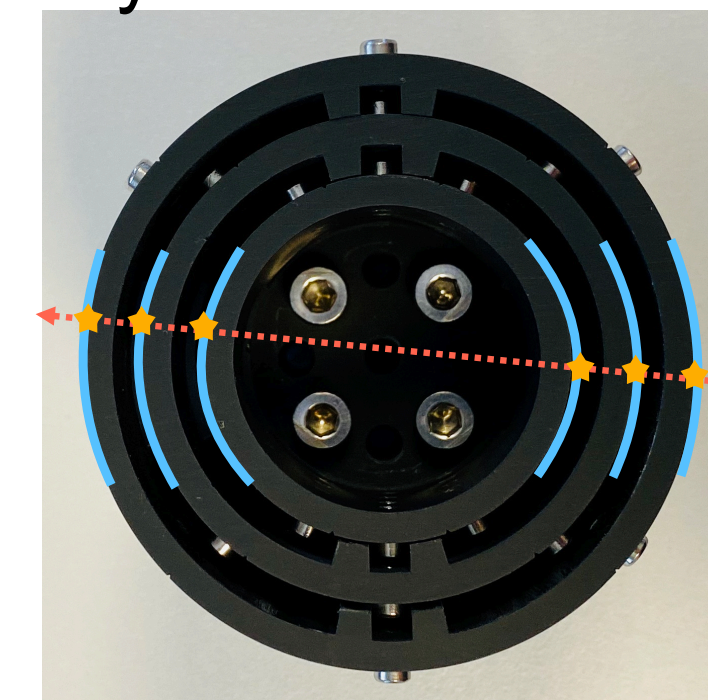


TDRs  
in 2023

- ITS3: new inner barrel – 3 truly cylindrical MAPS layers around smaller beam pipe
  - $\times 3$  less material budget
  - $\times 2$  tracking precision and effic. (low  $p_T$ )
- **Lol:** [CERN-LHCC-2019-018](https://cds.cern.ch/record/2798113)



Fully functional ALPIDE sensor curved to  $R=1.8$  cm



2010-2012

2015-2018

2022-2025

2029-2032

2035-2038

2040-2041

Run 1

LS1

Run 2

LS2

Run 3

LS3

Run 4

LS4

Run 5

LS5

Run 6

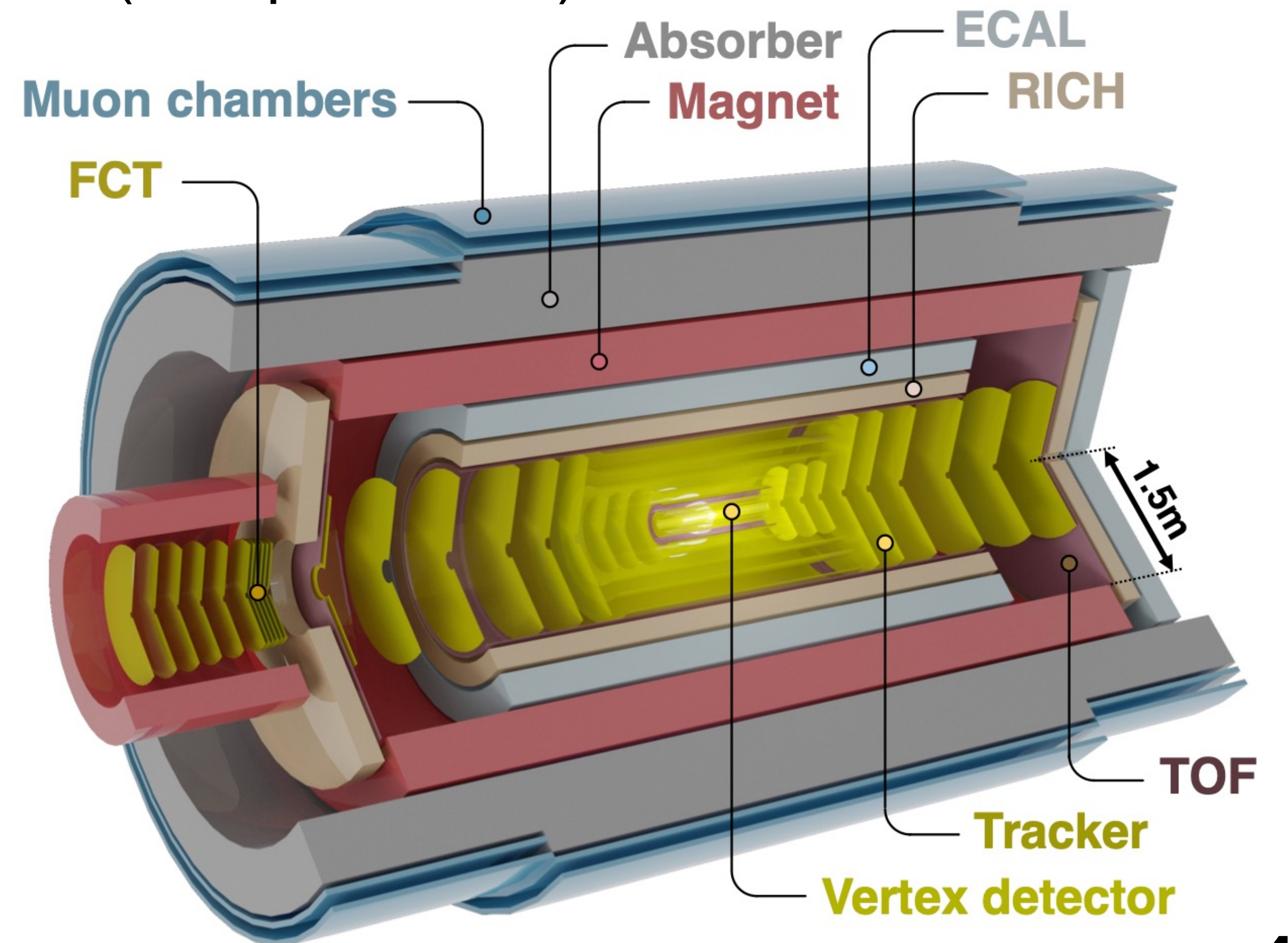
# ALICE 3: next-generation heavy-ion detector

- Tracking precision  $\times 3$ :  $10 \mu\text{m}$  at  $p_T = 0.2 \text{ GeV}/c$
- Acceptance  $\times 4.5$ :  $|\eta| < 4$  (with particle ID)
- A-A rate  $\times 5$  ( $\text{pp} \times 25$ )

Enables unique physics in Run 5+

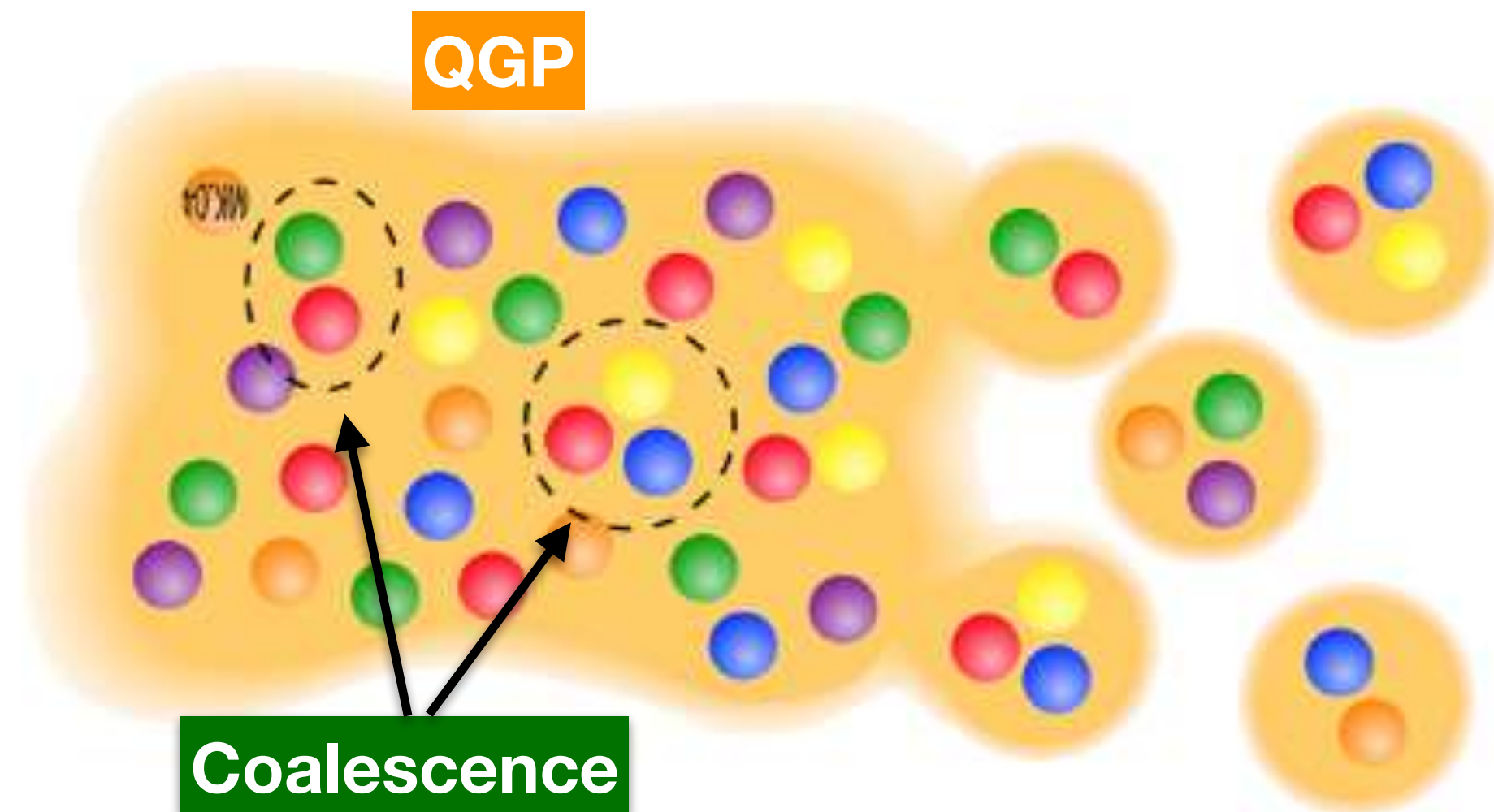
- Multi-charm hadrons
- Charm - anticharm angular (de)correlation
- Thermal radiation and its time dependence
- Chiral symmetry restoration in QGP
- Charm h-h residual interaction
- ...

Lol: [CERN-LHCC-2022-009](https://cds.cern.ch/record/2811111/files/CERN-LHCC-2022-009)



**Backup**

# Hadronization in heavy-ion collisions



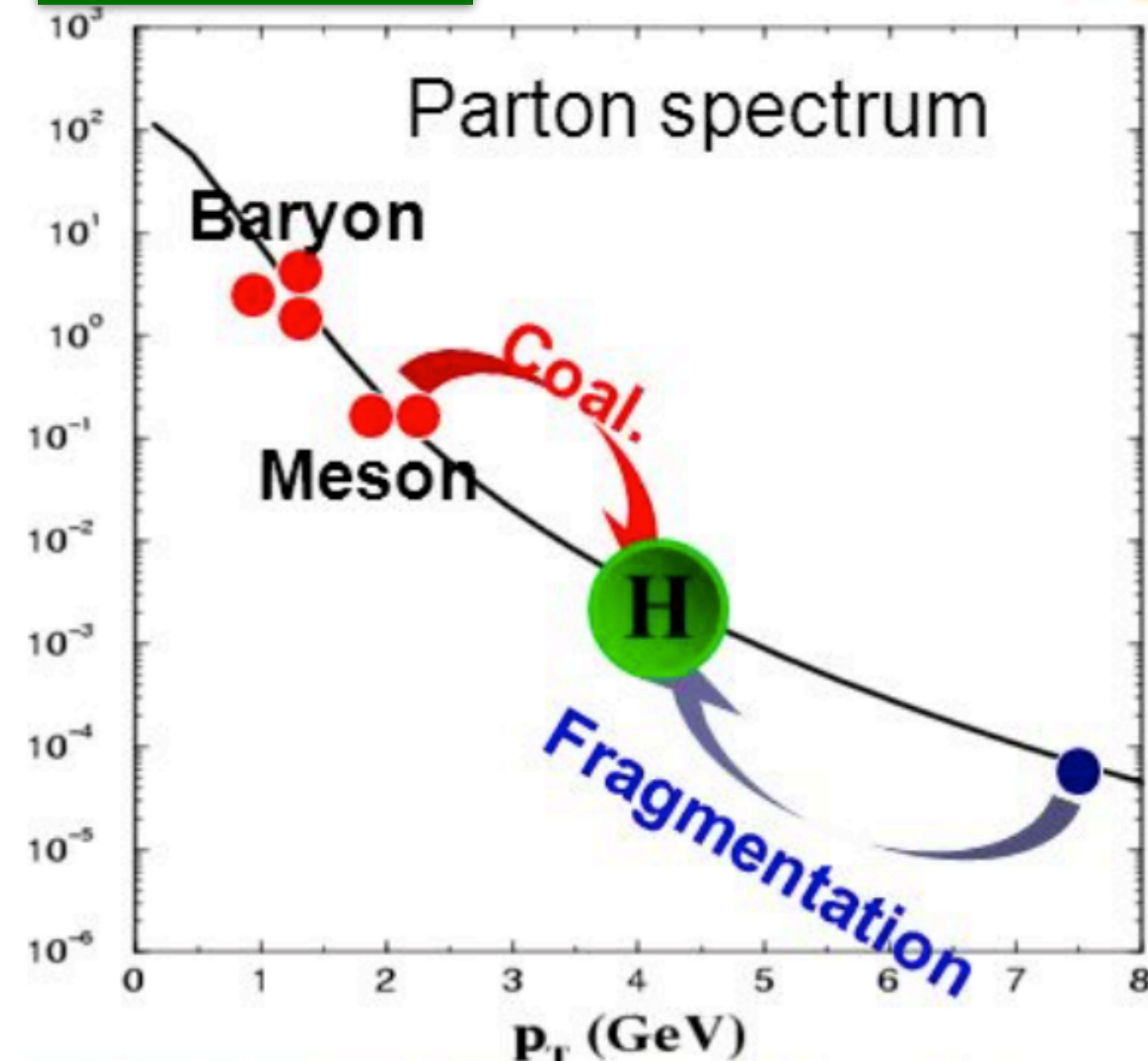
**Fragmentation** — hadrons from high  $p_T$  (hard) partons

**Coalescence/recombination** — hadron formation via (di-)quark combination in the QGP medium

➔  $p_{T,\text{hadron}} \approx n p_{T,\text{parton}}$ ,  $n = 2$  (meson),  $3$  (baryon)

➔ Sensitive to baryon and meson species

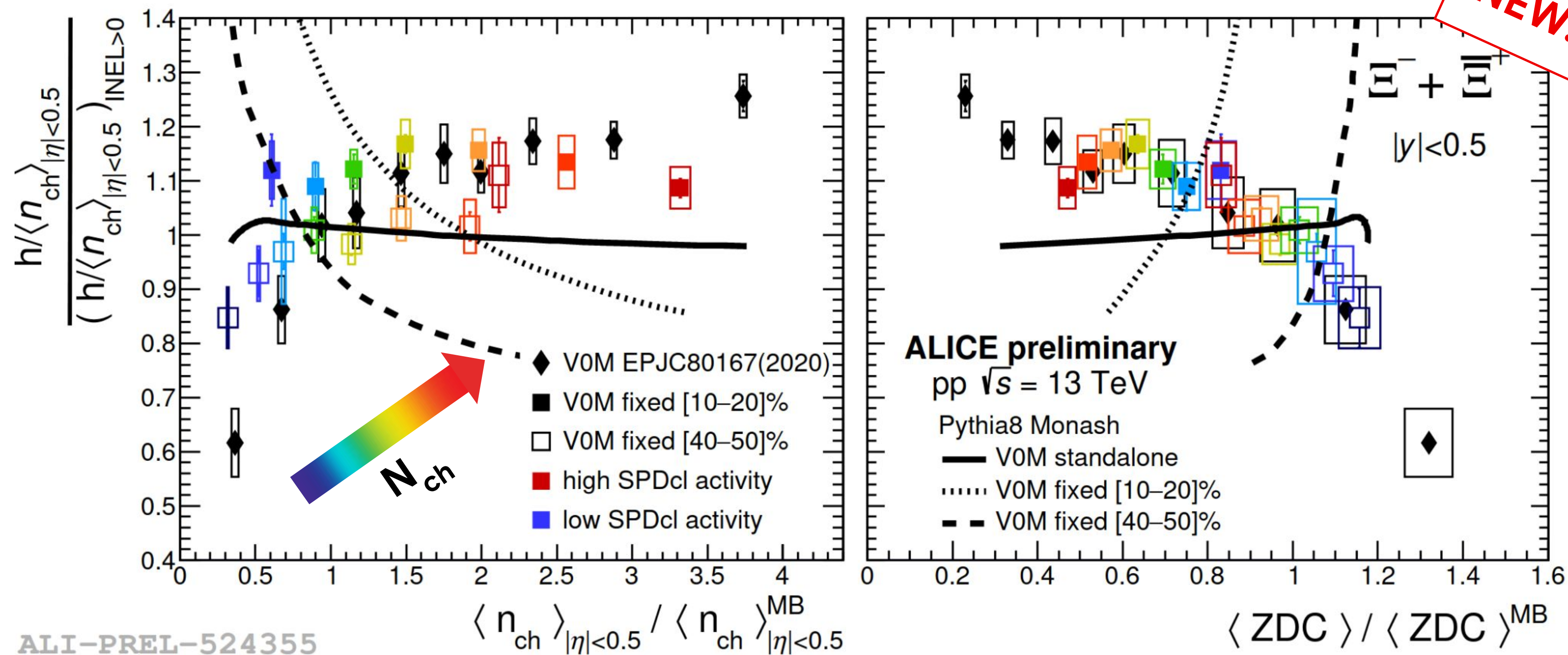
➔ Baryons from lower momenta partons (denser)



Rapp *et al.* *Phys. Lett.* **B655** (2007) 126  
Greco *et al.* *Phys.Rev.* **C92** (2015) 054904  
Ko *et al.* *Phys. Lett.* **B792** (2019) 132

In events with ZDC energy deposits fixed in a small range:

- strangeness **enhancement with multiplicity is reduced** (left)
- within the small ZDC energy range, scaling **trends are compatible** within uncertainties (right)



The Pythia Monash 2013 tune fails to reproduce the results