

# Hadronization into open heavy flavor

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Opportunities with Heavy Flavor at the EIC  
November 4-6 2020

# Subject of this talk

- Extraction/Calculation of heavy flavor FFs and the related phenomenology
- How can this help understanding heavy flavor production at the EIC

**Heavy flavor FFs depend on the heavy flavor  
scheme**

# Heavy Flavor Fragmentation Functions (FF)

- Need **factorization** to talk about FFs
- Here: **One-particle inclusive production** where we have **factorization theorems**
- FFs depend on:
  - Heavy flavor scheme:
    - FFNS: **scale-independent FF,  $D(\mathbf{z})$**
    - VFNS: **scale-dependent, evolved FF,  $D(\mathbf{z}, \mu_F')$**
  - Perturbative order: **NLO FF harder** than **LO FF**
  - Factorization scheme: same way as PDFs, usually  $\overline{\text{MS}}$

$$A + B \rightarrow H + X: \quad d\sigma = \sum_{i,j,k} f_i^A(x_1) \otimes f_j^B(x_2) \otimes d\sigma(ij \rightarrow kX) \otimes D_k^H(z)$$

sum over all possible subprocesses  $i + j \rightarrow k + X$

Parton distribution functions:

$$f_i^A(x_1, \mu_F), f_j^B(x_2, \mu_F)$$

**non-perturbative** input

long distance

universal

Hard scattering

cross section:

$$d\sigma(\mu_F, \mu'_F, \alpha_s(\mu_R), [\frac{m_h}{p_T}])$$

**perturbatively** computable

short distance

(coefficient functions)

Fragmentation functions:

$$D_k^H(z, [\mu'_F])$$

**non-perturbative** input

long distance

universal

Accuracy:

light hadrons:  $\mathcal{O}((\Lambda/p_T)^p)$  with  $p_T$  hard scale,  $\Lambda$  hadronic scale,  $p = 1, 2$

heavy hadrons: **if**  $m_h$  is neglected in  $d\sigma$ :  $\mathcal{O}((m_h/p_T)^p)$

Details (subprocesses, PDFs, FFs; mass terms) depend on the **Heavy Flavour Scheme**

**Theoretical approaches:**  
**Fixed Flavor Number Scheme**  
**(FFNS)**

# FFNS/Fixed Order

Factorization formula for inclusive heavy quark (Q) production:

$$d\sigma^Q \simeq \sum_{a,b} f_a^A \otimes f_b^B \otimes d\tilde{\sigma}_{ab \rightarrow Q+X}$$

sum over all possible  
partonic subprocesses  
**NO** heavy quark PDF

Calculable short distance cross section;  
log(pT/m) terms kept in **fixed order**

# FFNS/Fixed Order

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PDFs

sum over all possible  
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log(pT/m) terms kept in **fixed order**

Inclusive heavy-flavored hadron (H) production:

$$d\sigma^H = d\sigma^Q \otimes D_Q^H(z)$$

Convolution with a  
**scale-independent FF**

- \* non-perturbative
- \* describes hadronization
- \* not based on a fact. theorem



# FFNS/Fixed Order

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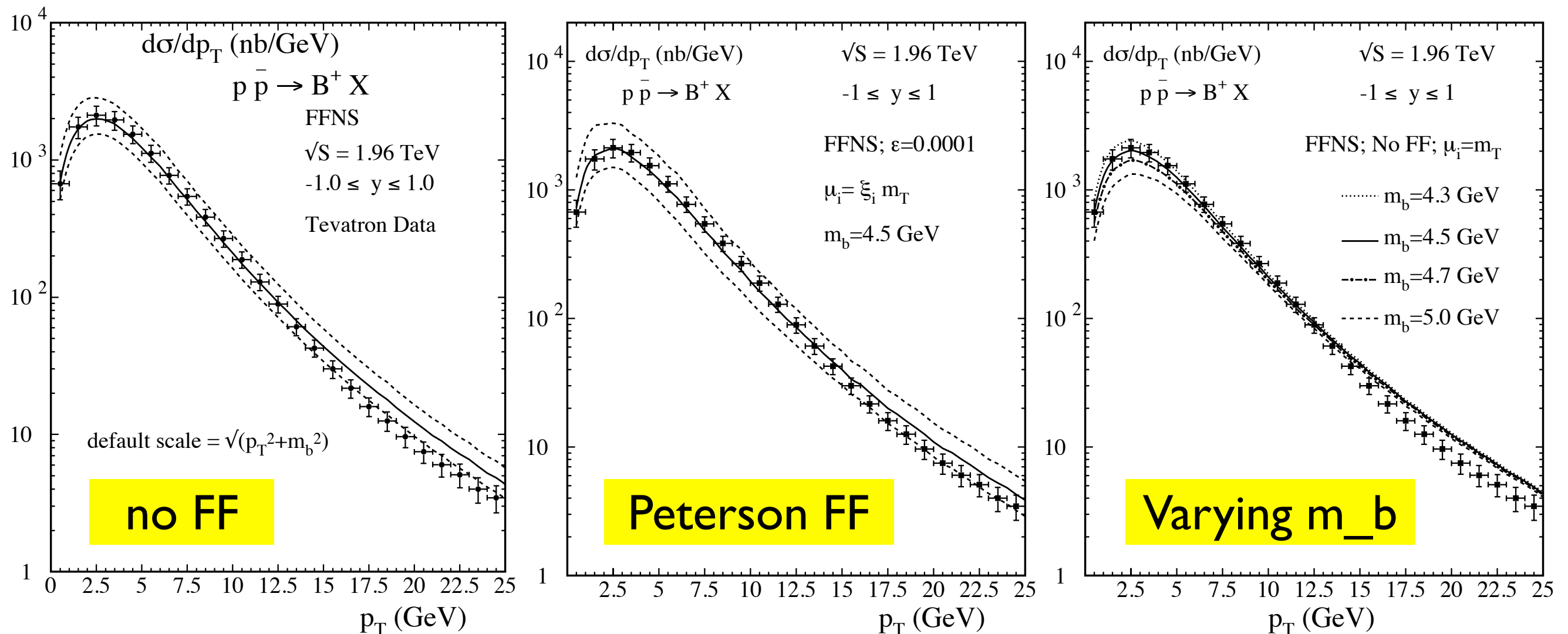
Convolution with a  
**scale-independent FF**

**In the following, I will call  $D_Q^H(z)$  the  
“hadronization function” (HF)**

- \* non-perturbative
- \* describes hadronization
- \* not based on a fact. theorem

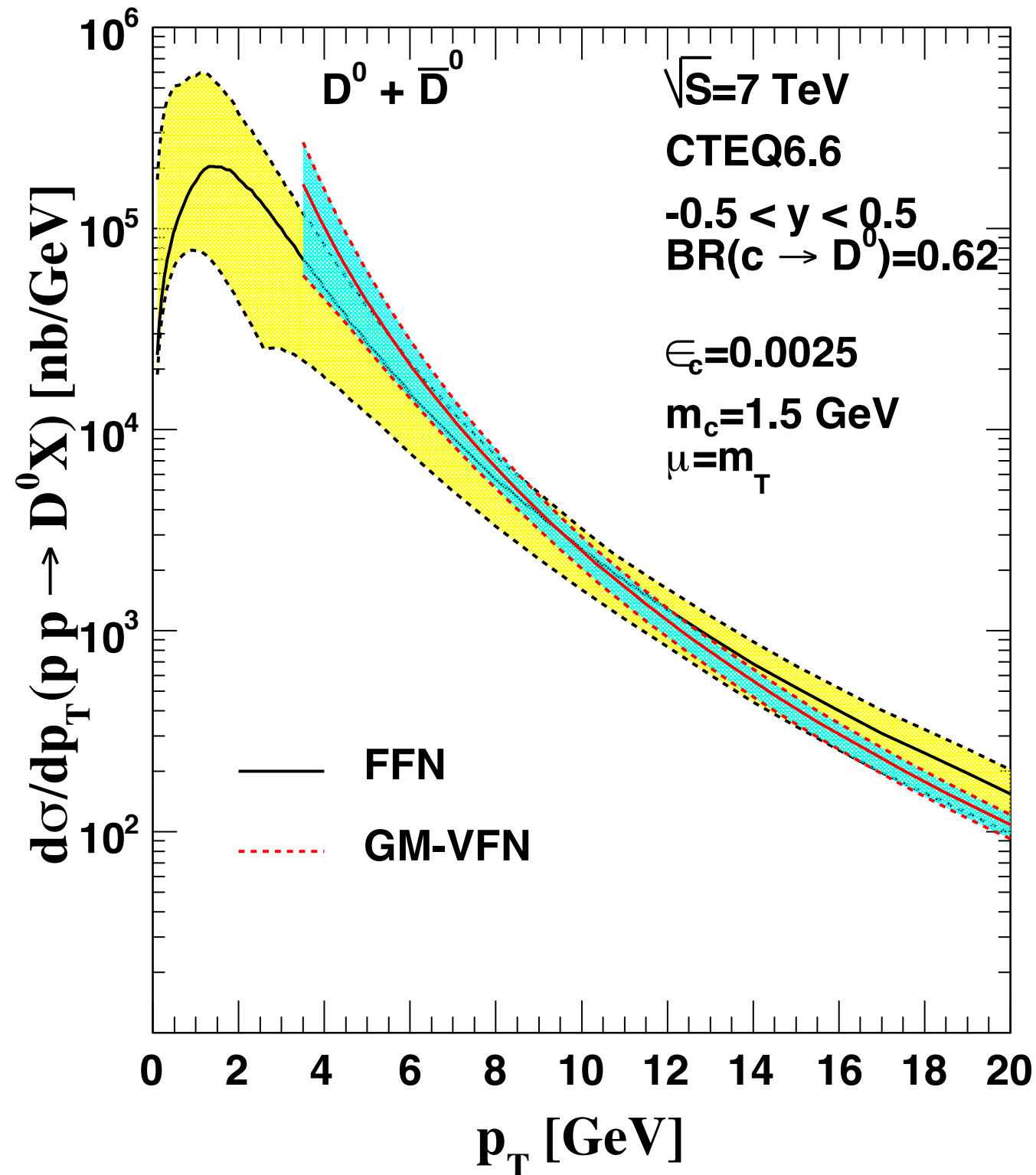
# Some NLO results for B-meson production

Lesson from hadroproduction of heavy quarks:  
NLO FFNS works very well for  $p_T$  up to roughly  $5m$



A Peterson HF with  $\epsilon = 0.0001$  improves the agreement at larger  $p_T$  by lowering the cross section

LHC



Remarks:

The D-meson HF  
 is softer than the  
 B-meson HF  
 ( $\epsilon_c > \epsilon_b$ )

At large  $p_T$ , the scale  
 uncertainty in the  
 GM-VFN is reduced

**Theoretical approaches:**

**Zero Mass Variable Flavor Number Scheme  
(ZM-VFNS)**

Factorization formula for inclusive heavy quark (Q) production:

$$d\sigma^{H+X} \simeq \sum_{a,b,c} \int_0^1 dx_a \int_0^1 dx_b \int_0^1 dz f_a^A(x_a, \mu_F) f_b^B(x_b, \mu_F) d\hat{\sigma}_{ab \rightarrow c+X} D_c^H(z, \mu'_F) + \mathcal{O}(m^2/p_T^2)$$

- Same factorization formula as for inclusive production of pions and kaons
- Quark mass neglected in kinematics and the short distance cross section
- Allows to compute  $p_T$  spectrum for  $p_T \gg m$
- Needs **scale-dependent** FFs of quarks and gluons into the observed heavy-flavored hadron (H)

# List of subprocesses in the ZM-VFNS

Massless NLO calculation: [Aversa,Chiappetta,Greco,Guillet,NPB327(1989)105]

1.  $gg \rightarrow qX$
2.  $gg \rightarrow gX$
3.  $qg \rightarrow gX$
4.  $qg \rightarrow qX$
5.  $q\bar{q} \rightarrow gX$
6.  $q\bar{q} \rightarrow qX$
7.  $qg \rightarrow \bar{q}X$
8.  $qg \rightarrow \bar{q}'X$
9.  $qg \rightarrow q'X$
10.  $qq \rightarrow gX$
11.  $qq \rightarrow qX$
12.  $q\bar{q} \rightarrow q'X$
13.  $q\bar{q}' \rightarrow gX$
14.  $q\bar{q}' \rightarrow qX$
15.  $qq' \rightarrow gX$
16.  $qq' \rightarrow qX$

- In the **VFNS** we need FFs into the heavy meson/baryon for:
  - Light quarks
  - Heavy quarks
  - Gluon
- The entire VFNS can be extended to the one-particle inclusive case: evolution equations for PDFs and FFs and  $\alpha_s$ ; the matching conditions across the heavy flavor thresholds for PDFs and FFs and  $\alpha_s$ ; calculation of the short distance cross sections
- In the **FFNS** we only had one scale-independent FF of the heavy quark into the heavy meson/baryon

Cacciari, Mitov,  
Moch, ...

⊕ charge conjugated processes

# Fragmentation functions

## Approach I: Perturbative FFs (PFFs)

Caccciari, Greco,  
Nason, Oleari, ...

$$D_i^H(z, \mu'_F) = D_i^Q(z, \mu'_F) \otimes D_Q^H(z)$$

PFF evolved with DGLAP;  
short distance;  
boundary condition calculable

Non-pert., scale-independent HF  
describing hadronization of heavy  
quark Q into heavy hadron H

Mellin-moments of  $D_Q^H(z)$  determined from  $e^+e^-$  data

Approach II: treat FFs into H in the same  
way as FFs into pions or kaons

Binnewies, Kniehl, Kramer, ...

Non-pert. boundary conditions  $D_i^H(z, m)$  from fit to  $e^+e^-$  data;  
Determine FFs directly in  $x$ -space; evolved with DGLAP

# PFF approach

Cacciari, Nason, PRL89(2002)122003

Determine HF from N=2 moment in PFF approach;  
not from entire x-spectrum

$$D_N \equiv \int D(z) z^N \frac{dz}{z}$$

$$\frac{d\sigma}{dp_T} = \int dz d\hat{p}_T D(z) \frac{A}{\hat{p}_T^n} \delta(p_T - z\hat{p}_T) = \frac{A}{p_T^n} D_n$$

**n~3,4,5**

$\langle X_E^{N-1} \rangle$

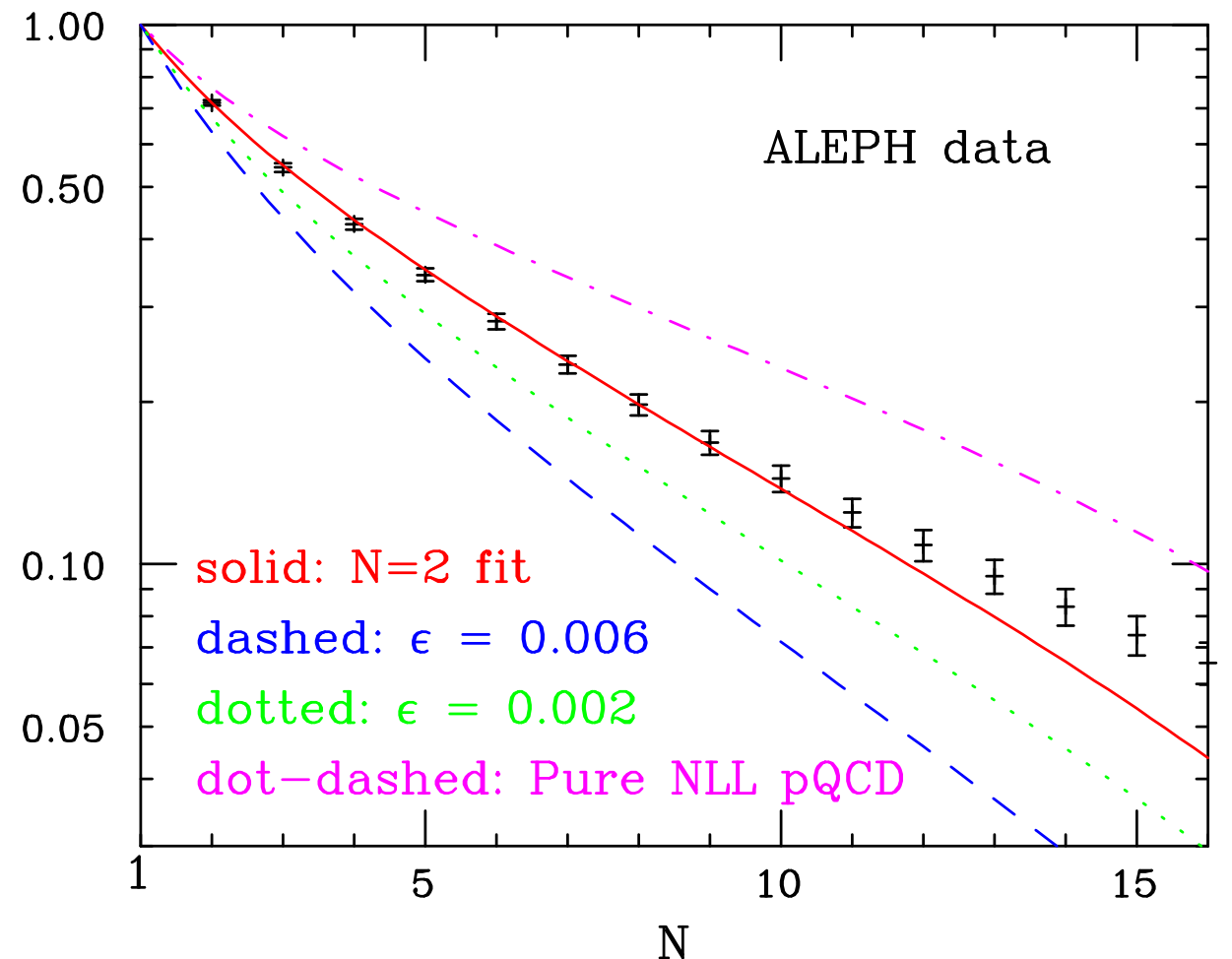


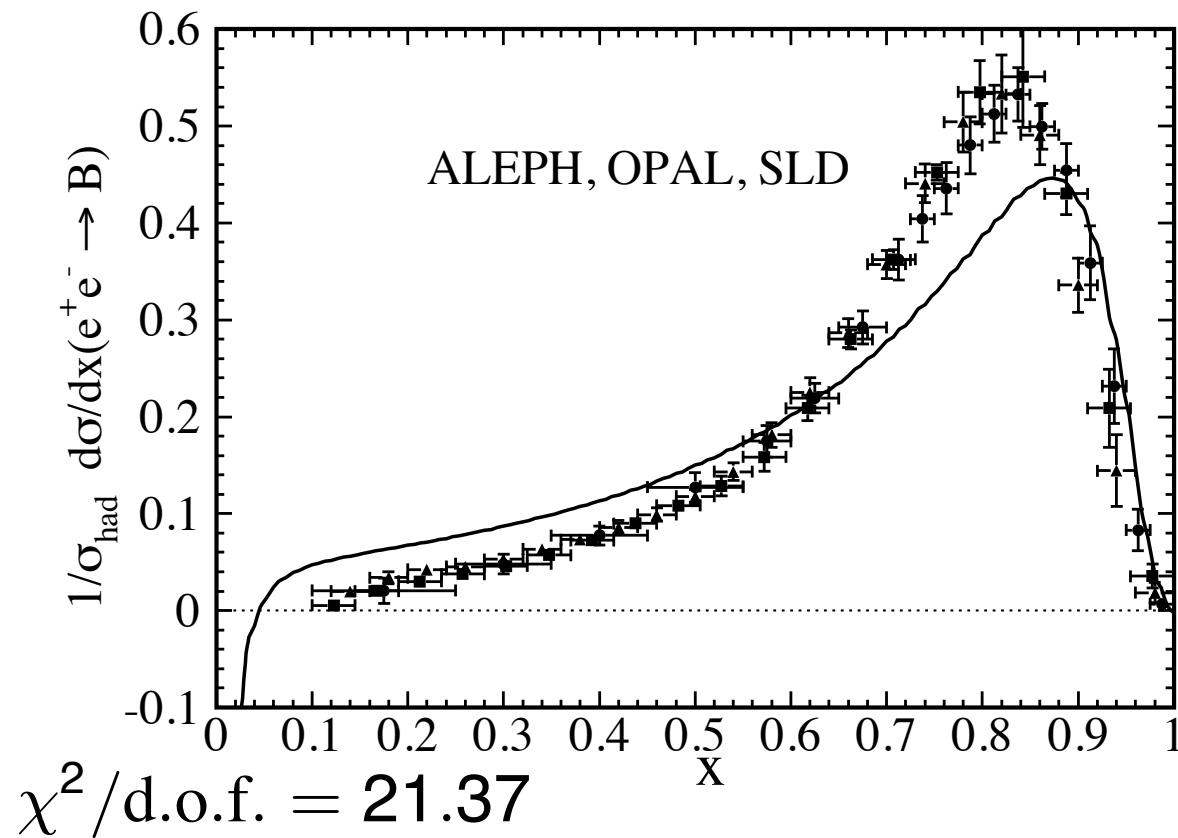
FIG. 1. Moments of the measured  $B$  meson fragmentation function, compared with the perturbative NLL calculation supplemented with different  $D(z)$  non-perturbative fragmentation forms. The solid line is obtained using a one-parameter form fitted to the second moment.



# FFs into B mesons [1] from LEP/SLC data [2]

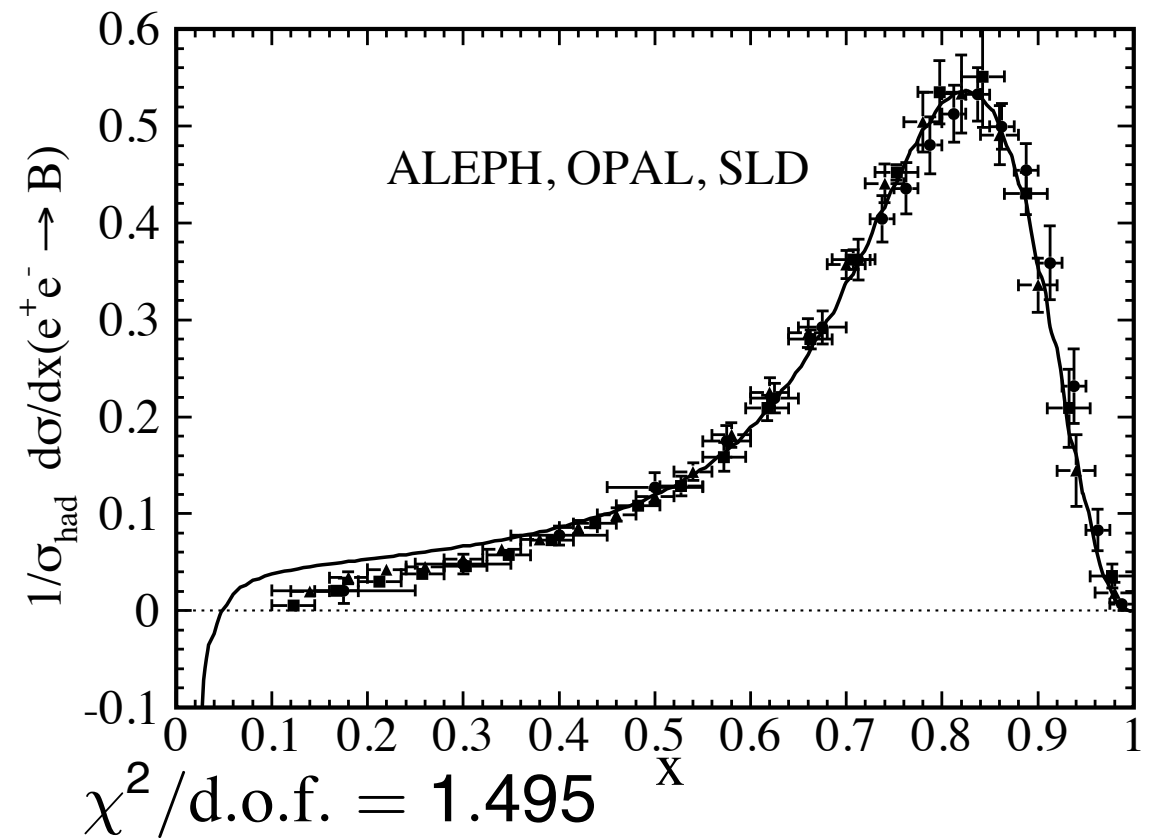
Petersen

$$D(x, \mu_0^2) = N \frac{x(1-x)^2}{[(1-x)^2 + \epsilon x]^2}$$



Kartvelishvili-Likhoded

$$D(x, \mu_0^2) = Nx^\alpha(1-x)^\beta$$



[1] Kniehl, Kramer, IS, Spiesberger, PRD77(2008)014011

[2] ALEPH, PLB512(2001)30; OPAL, EPJC29(2003)463; SLD, PRL84(2000)4300;

PRD65(2002)092006

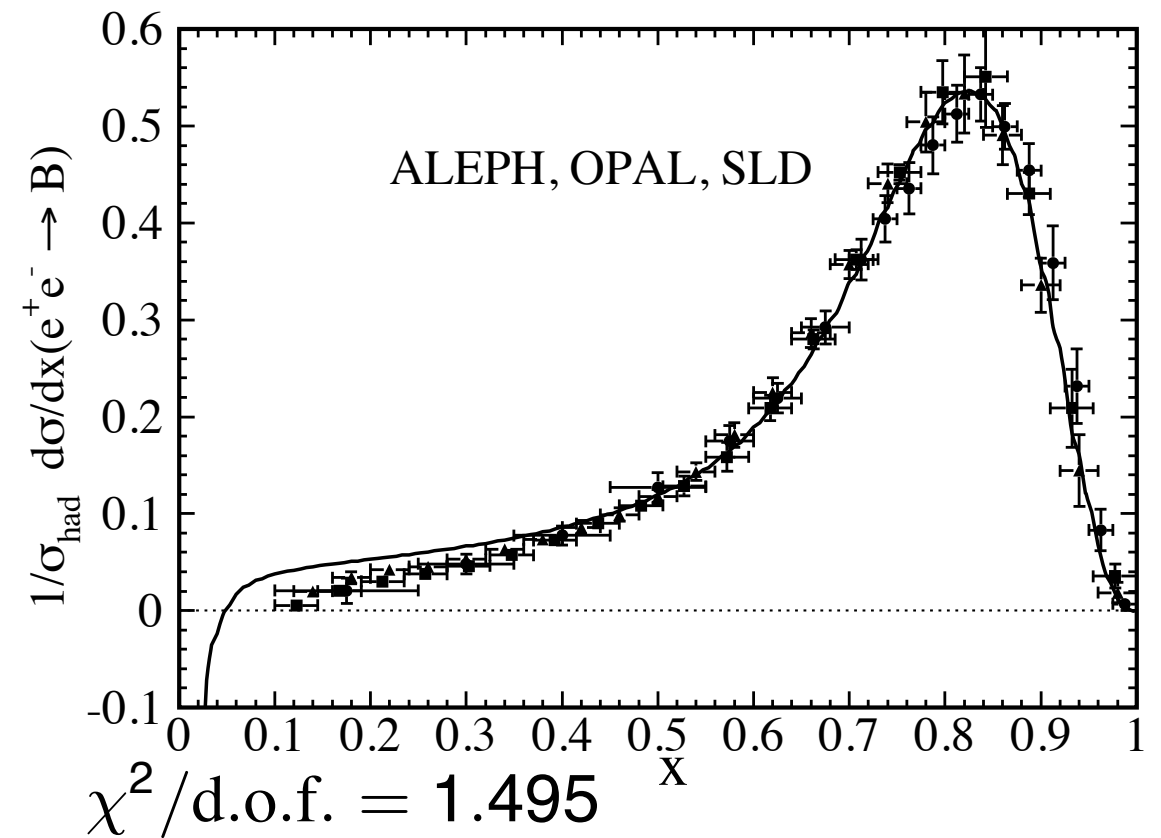
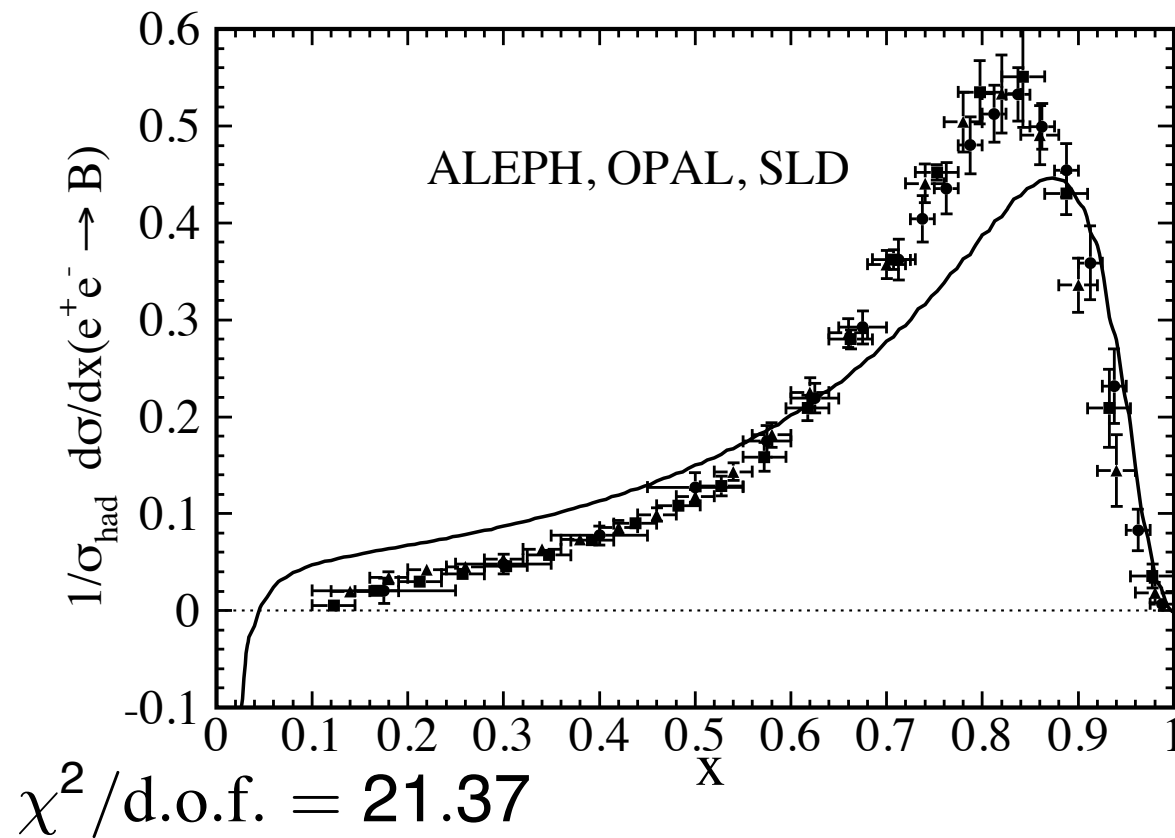
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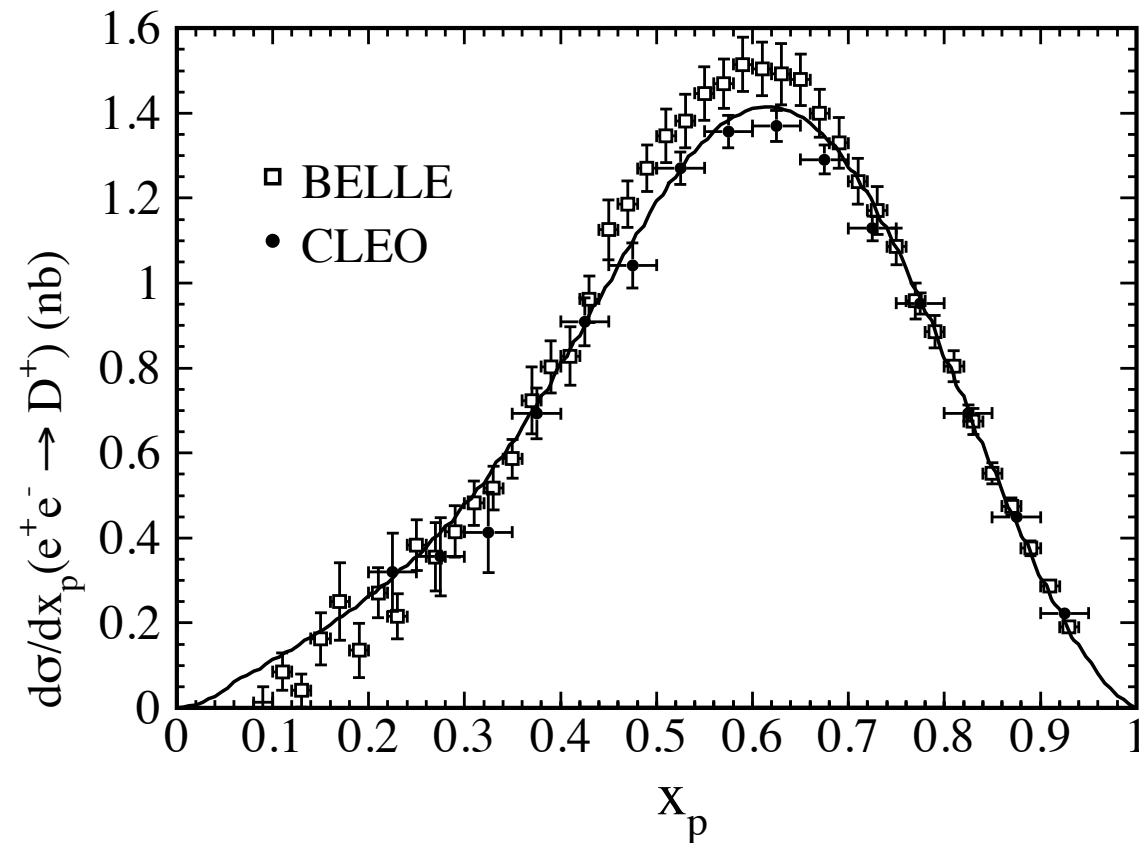
**Note: The Petersen function or Kartvelishvili function is used here to parameterise the boundary condition for the heavy quark FF into the heavy meson which is then evolved.**

**This is completely different from using a Petersen function as the scale independent “hadronization function”.**

[1] Kniehl, Kramer, IS,

[2] ALEPH, PLB512(

PRD65(2002)092006



FF for  $c \rightarrow D^*$

from fitting to  $e^+e^-$  data

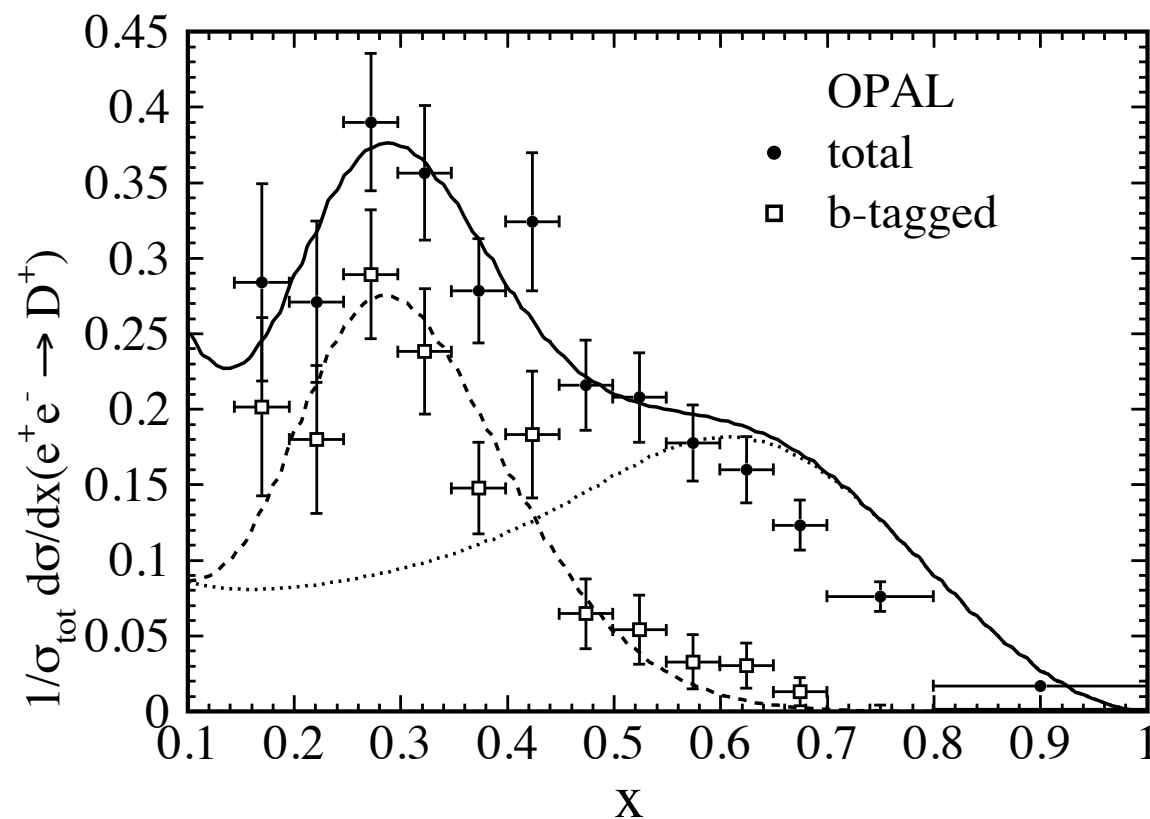
2008 analysis based on GM-VFNS

$\mu_0 = m$

global fit: data from  
ALEPH, OPAL, BELLE, CLEO

BELLE/CLEO fit

[KKKS: Kneesch, Kramer, Kniehl, IS  
NPB799 (2008)]



tension between low and high energy  
data sets  $\rightarrow$  speculations about non-  
perturbative (power-suppressed) terms

**Theoretical approaches:**  
**General Mass Variable Flavor Number Scheme**  
**(GM-VFNS)**

# GM-VFNS

- Similar factorization formula as in the ZM-VFNS, BUT:
- Quark mass retained in kinematics and the short distance cross section
- Allows to compute  $p_T$  spectrum for  $p_T \gg m$  and  $p_T \sim m$
- Uses the same **scale-dependent** PFFs of quarks and gluons (in the  $\overline{\text{MS}}$  scheme)
- the **scale-independent** hadronization function might a priori differ in FFNS, ZM-VFNS and GM-VFNS determinations but to make **connection to the fixed order calculation** it is usually assumed to be the same in all cases

# List of subprocesses in the GM-VFNS

Only light lines

- ①  $gg \rightarrow qX$
- ②  $gg \rightarrow gX$
- ③  $qg \rightarrow gX$
- ④  $qg \rightarrow qX$
- ⑤  $q\bar{q} \rightarrow gX$
- ⑥  $q\bar{q} \rightarrow qX$
- ⑦  $qg \rightarrow \bar{q}X$
- ⑧  $qg \rightarrow \bar{q}'X$
- ⑨  $qg \rightarrow q'X$
- ⑩  $qq \rightarrow gX$
- ⑪  $qq \rightarrow qX$
- ⑫  $q\bar{q} \rightarrow q'X$
- ⑬  $q\bar{q}' \rightarrow gX$
- ⑭  $q\bar{q}' \rightarrow qX$
- ⑮  $qq' \rightarrow gX$
- ⑯  $qq' \rightarrow qX$

Heavy quark initiated ( $m_Q = 0$ )

- ① -
- ② -
- ③  $Qg \rightarrow gX$
- ④  $Qg \rightarrow QX$
- ⑤  $Q\bar{Q} \rightarrow gX$
- ⑥  $Q\bar{Q} \rightarrow QX$
- ⑦  $Qg \rightarrow \bar{Q}X$
- ⑧  $Qg \rightarrow \bar{q}X$
- ⑨  $Qg \rightarrow qX$
- ⑩  $QQ \rightarrow gX$
- ⑪  $QQ \rightarrow QX$
- ⑫  $Q\bar{Q} \rightarrow qX$
- ⑬  $Q\bar{q} \rightarrow gX, q\bar{Q} \rightarrow gX$
- ⑭  $Q\bar{q} \rightarrow QX, q\bar{Q} \rightarrow qX$
- ⑮  $Qq \rightarrow gX, qQ \rightarrow gX$
- ⑯  $Qq \rightarrow QX, qQ \rightarrow qX$

Mass effects:  $m_Q \neq 0$

- ①  $gg \rightarrow QX$
- ② -
- ③ -
- ④ -
- ⑤ -
- ⑥ -
- ⑦ -
- ⑧  $qg \rightarrow \bar{Q}X$
- ⑨  $qg \rightarrow QX$
- ⑩ -
- ⑪ -
- ⑫  $q\bar{q} \rightarrow QX$
- ⑬ -
- ⑭ -
- ⑮ -
- ⑯ -

⊕ charge conjugated processes

# Example diagrams

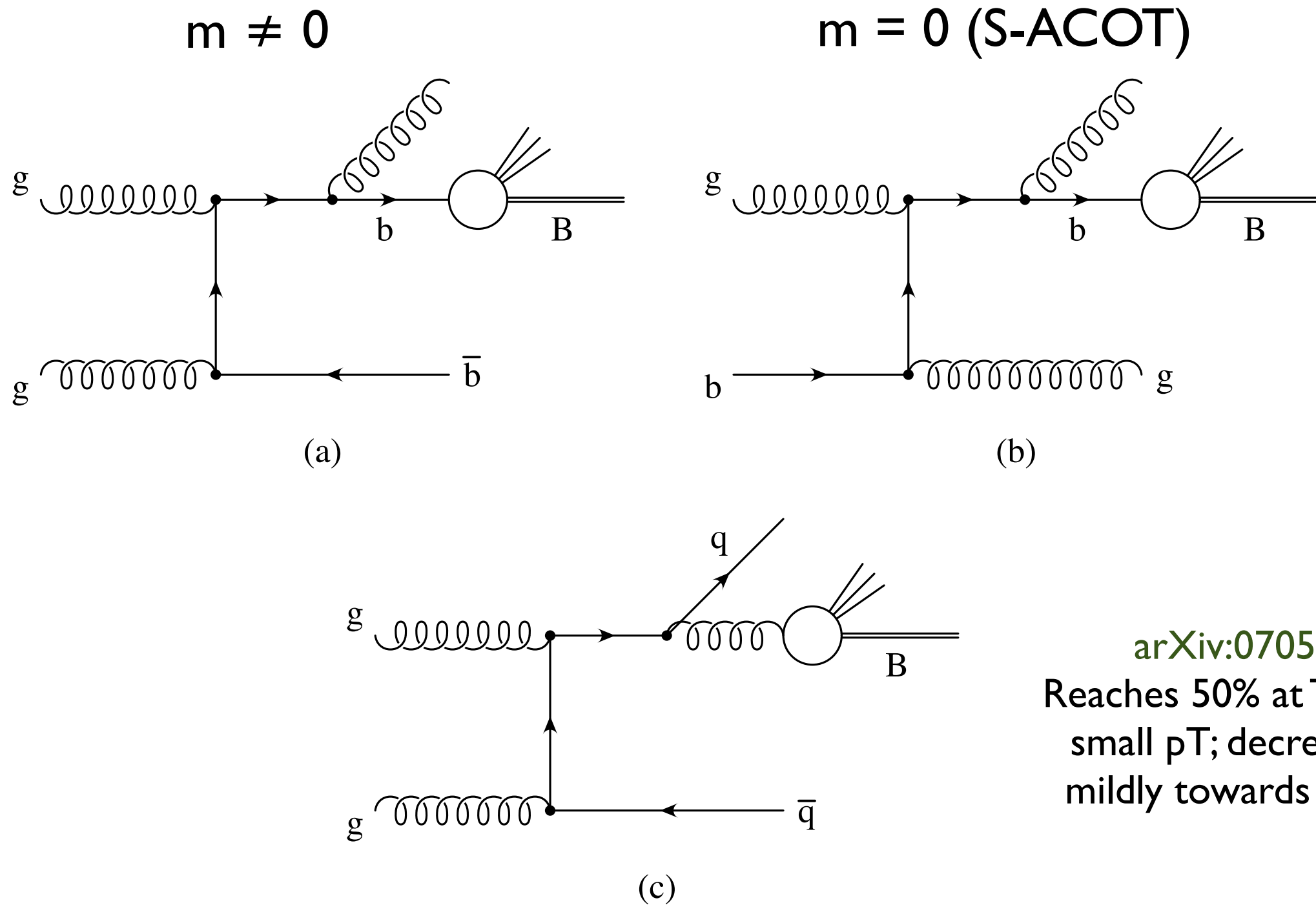


FIG. 2: Examples of Feynman diagrams leading to contributions of (a) class (i), (b) class (ii), and (c) class (iii).

Mass terms contained in the hard scattering coefficients:

$$d\hat{\sigma}(\mu_F, \mu_{F'}, \alpha_s(\mu_R), \frac{m}{p_T})$$

Two ways to derive them:

- (1) Compare **massless limit** of a massive fixed-order calculation with a massless  $\overline{\text{MS}}$  calculation to determine subtraction terms

[Kniehl, Kramer, IS, Spiesberger, PRD71(2005)014018]

OR

- (2) Perform **mass factorization** using partonic PDFs and FFs

[Kniehl, Kramer, IS, Spiesberger, EPJC41(2005)199]

► skip details



## (1) SUBTRACTION TERMS FOR THE GM-VFNS FROM MASSLESS LIMIT

- Compare limit  $m \rightarrow 0$  of the massive calculation (Merebashvili et al., Ellis, Nason; Smith, van Neerven; Bojak, Stratmann; ...)  
with massless  $\overline{\text{MS}}$  calculation (Aurenche et al., Aversa et al., ...)

$$\lim_{m \rightarrow 0} d\tilde{\sigma}(m) = d\hat{\sigma}_{\overline{\text{MS}}} + \Delta d\sigma$$

⇒ Subtraction terms

$$d\sigma_{\text{sub}} \equiv \Delta d\sigma = \lim_{m \rightarrow 0} d\tilde{\sigma}(m) - d\hat{\sigma}_{\overline{\text{MS}}}$$

- Subtract  $d\sigma_{\text{sub}}$  from **massive** partonic cross section while **keeping mass terms**

$$d\hat{\sigma}(m) = d\tilde{\sigma}(m) - d\sigma_{\text{sub}}$$

→  $d\hat{\sigma}(m)$  **short distance coefficient** including  $m$  dependence

→ allows to use PDFs and FFs with  $\overline{\text{MS}}$  factorization  $\otimes$  **massive** short distance cross sections

- Treat contributions with charm in the initial state with  $m = 0$
- Massless limit: technically non-trivial, map from phase-space slicing to subtraction method

## Mass factorization

Subtraction terms are associated to mass singularities:  
can be described by

**partonic PDFs and FFs** for collinear splittings  $a \rightarrow b + X$

- initial state:
 
$$f_{g \rightarrow Q}^{(1)}(x, \mu^2) = \frac{\alpha_s(\mu)}{2\pi} P_{g \rightarrow q}^{(0)}(x) \ln \frac{\mu^2}{m^2}$$

$$f_{Q \rightarrow Q}^{(1)}(x, \mu^2) = \frac{\alpha_s(\mu)}{2\pi} C_F \left[ \frac{1+z^2}{1-z} \left( \ln \frac{\mu^2}{m^2} - 2 \ln(1-z) - 1 \right) \right]_+$$

$$f_{g \rightarrow g}^{(1)}(x, \mu^2) = -\frac{\alpha_s(\mu)}{2\pi} \frac{1}{3} \ln \frac{\mu^2}{m^2} \delta(1-x)$$
- final state:
 
$$d_{g \rightarrow Q}^{(1)}(z, \mu^2) = \frac{\alpha_s(\mu)}{2\pi} P_{g \rightarrow q}^{(0)}(z) \ln \frac{\mu^2}{m^2}$$

$$d_{Q \rightarrow Q}^{(1)}(z, \mu^2) = C_F \frac{\alpha_s(\mu)}{2\pi} \left[ \frac{1+z^2}{1-z} \left( \ln \frac{\mu^2}{m^2} - 2 \ln(1-z) - 1 \right) \right]_+$$
- Other partonic distribution functions are zero to order  $\alpha_s$

[Mele, Nason; Kretzer, Schienbein; Melnikov, Mitov]

(2) SUBTRACTION TERMS VIA  $\overline{\text{MS}}$  MASS FACTORIZATION:  $a(k_1)b(k_2) \rightarrow Q(p_1)X$  [1]

Sketch of kinematics:

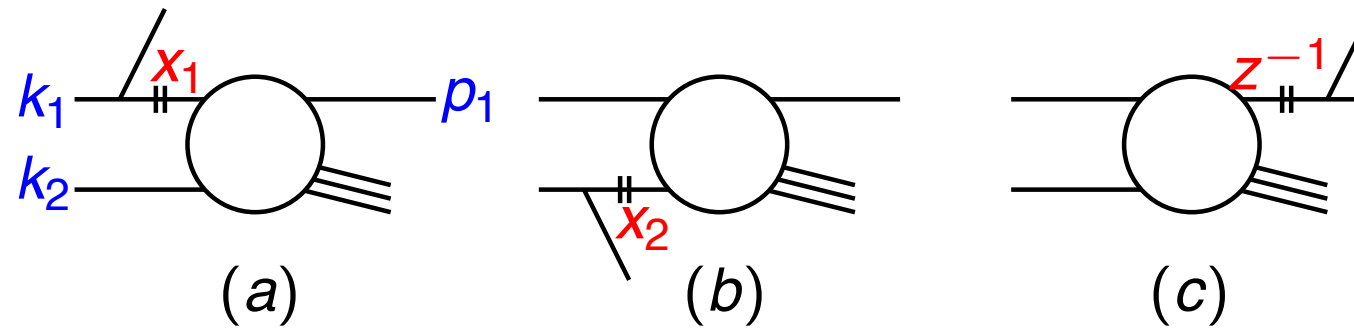


Fig. (a):

$$d\sigma^{\text{sub}}(ab \rightarrow QX) = \int_0^1 dx_1 f_{a \rightarrow i}^{(1)}(x_1, \mu_F^2) d\hat{\sigma}^{(0)}(ib \rightarrow QX)[x_1 k_1, k_2, p_1]$$

$$\equiv f_{a \rightarrow i}^{(1)}(x_1) \otimes d\hat{\sigma}^{(0)}(ib \rightarrow QX)$$

Fig. (b):

$$d\sigma^{\text{sub}}(ab \rightarrow QX) = \int_0^1 dx_2 f_{b \rightarrow j}^{(1)}(x_2, \mu_F^2) d\hat{\sigma}^{(0)}(aj \rightarrow QX)[k_1, x_2 k_2, p_1]$$

$$\equiv f_{b \rightarrow j}^{(1)}(x_2) \otimes d\hat{\sigma}^{(0)}(aj \rightarrow QX)$$

Fig. (c):

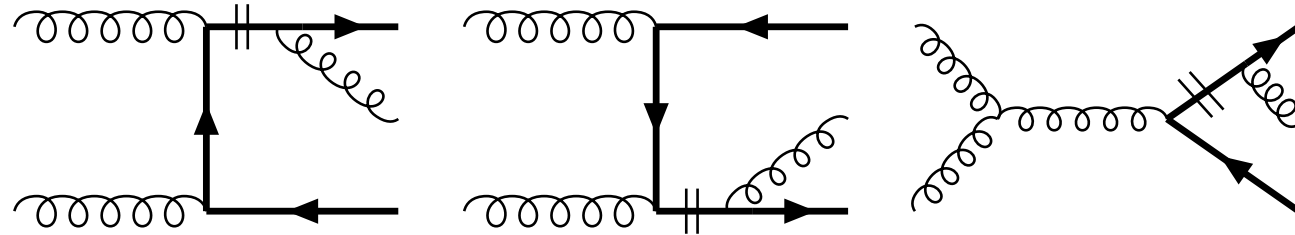
$$d\sigma^{\text{sub}}(ab \rightarrow QX) = \int_0^1 dz d\hat{\sigma}^{(0)}(ab \rightarrow kX)[k_1, k_2, z^{-1} p_1] d_{k \rightarrow Q}^{(1)}(z, \mu_F'^2)$$

$$\equiv d\hat{\sigma}^{(0)}(ab \rightarrow kX) \otimes d_{k \rightarrow Q}^{(1)}(z)$$

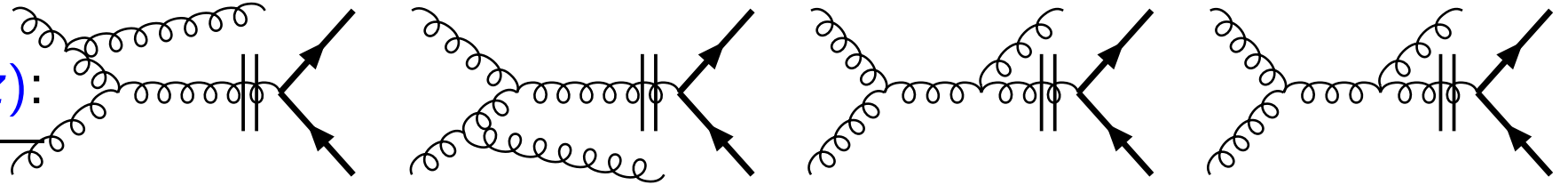
[1] Kniehl, Kramer, I.S., Spiesberger, EPJC41(2005)199

# GRAPHICAL REPRESENTATION OF SUBTRACTION TERMS FOR $gg \rightarrow Q\bar{Q}g$

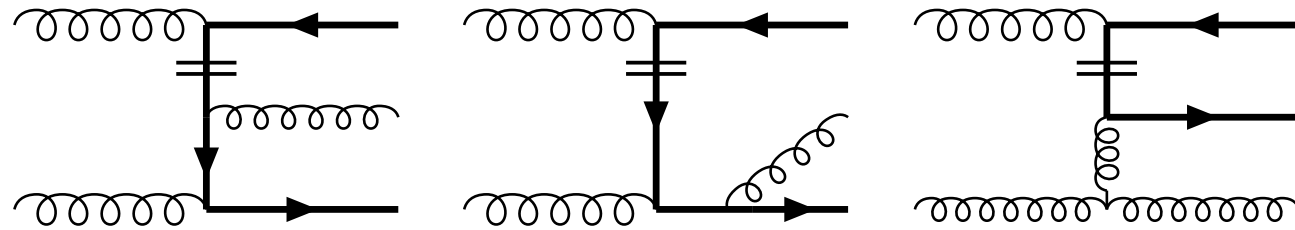
$$\underline{d\hat{\sigma}^{(0)}(gg \rightarrow Q\bar{Q}) \otimes d_{Q \rightarrow Q}^{(1)}(z):}$$



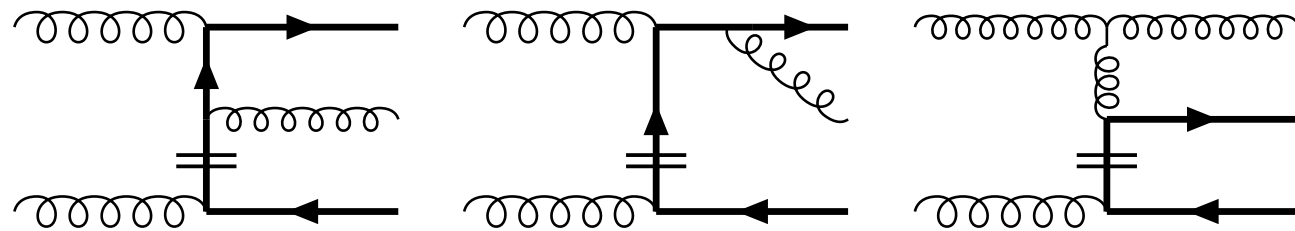
$$\underline{d\hat{\sigma}^{(0)}(gg \rightarrow gg) \otimes d_{g \rightarrow Q}^{(1)}(z):}$$



$$\underline{f_{g \rightarrow Q}^{(1)}(x_1) \otimes d\hat{\sigma}^{(0)}(Qg \rightarrow Qg):}$$

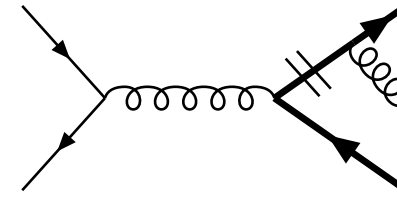


$$\underline{f_{g \rightarrow Q}^{(1)}(x_2) \otimes d\hat{\sigma}^{(0)}(gQ \rightarrow Qg):}$$

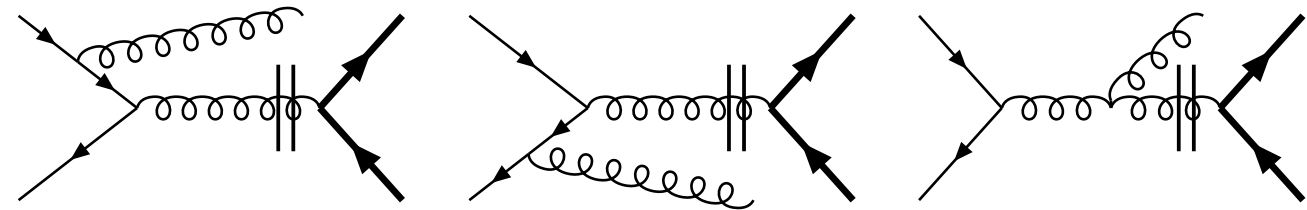


GRAPHICAL REPRESENTATION OF SUBTRACTION TERMS FOR  $q\bar{q} \rightarrow Q\bar{Q}g$  AND  $gq \rightarrow Q\bar{Q}q$

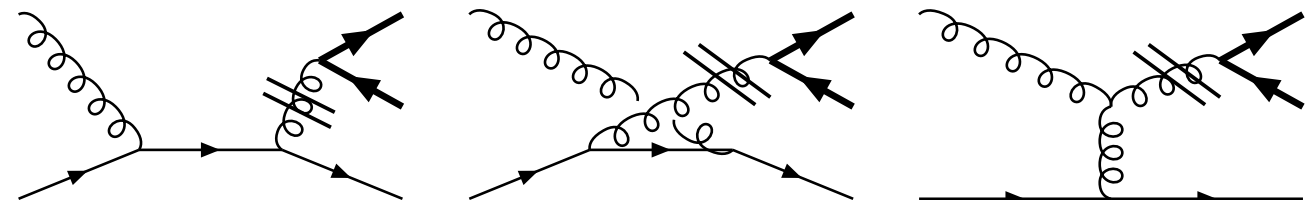
$d\hat{\sigma}^{(0)}(q\bar{q} \rightarrow Q\bar{Q}) \otimes d_{Q \rightarrow Q}^{(1)}(z):$



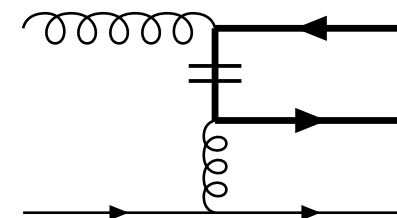
$d\hat{\sigma}^{(0)}(q\bar{q} \rightarrow gg) \otimes d_{g \rightarrow Q}^{(1)}(z):$



$d\hat{\sigma}^{(0)}(gq \rightarrow gq) \otimes d_{g \rightarrow Q}^{(1)}(z):$



$f_{g \rightarrow Q}^{(1)}(x_1) \otimes d\hat{\sigma}^{(0)}(Qq \rightarrow Qq):$



**Are heavy flavor FFs universal?**

# Universality?

- Belle data prefer a harder D-meson FF than LEP data (a harder FF implies a bigger 4th moment and hence a bigger hadroproduction cross section)
- $e^+e^-$  data do not constrain the gluon FF; perturbatively calculated boundary condition for the gluon (NLO,  $\overline{\text{MS}}$ )
- The gluon FF is important in inclusive **hadroproduction** of heavy hadrons
- Problems with LHC data for  $\Lambda_c$  production

# New fit of the $\Lambda_c$ FF [arXiv:2004.04213]

- OPAL data for  $e^+e^- \rightarrow \gamma/Z \rightarrow \Lambda_c$ : 4 points
- Belle data at  $\text{Sqrt}(S)=10.52$  GeV: 35 points
- Use PDG2016 branching ratio  
 $\text{BR}(\Lambda_c^+ \rightarrow \pi^+ K^- p) = 0.0635$   
[correcting the OPAL data from 1996 which used  $\text{BR}(\Lambda_c^+ \rightarrow \pi^+ K^- p) = 0.044$ ]
- z-dependence of c- and b-quark FF at initial scale  $\mu_0 = 5$  GeV parameterized by a Bowler function

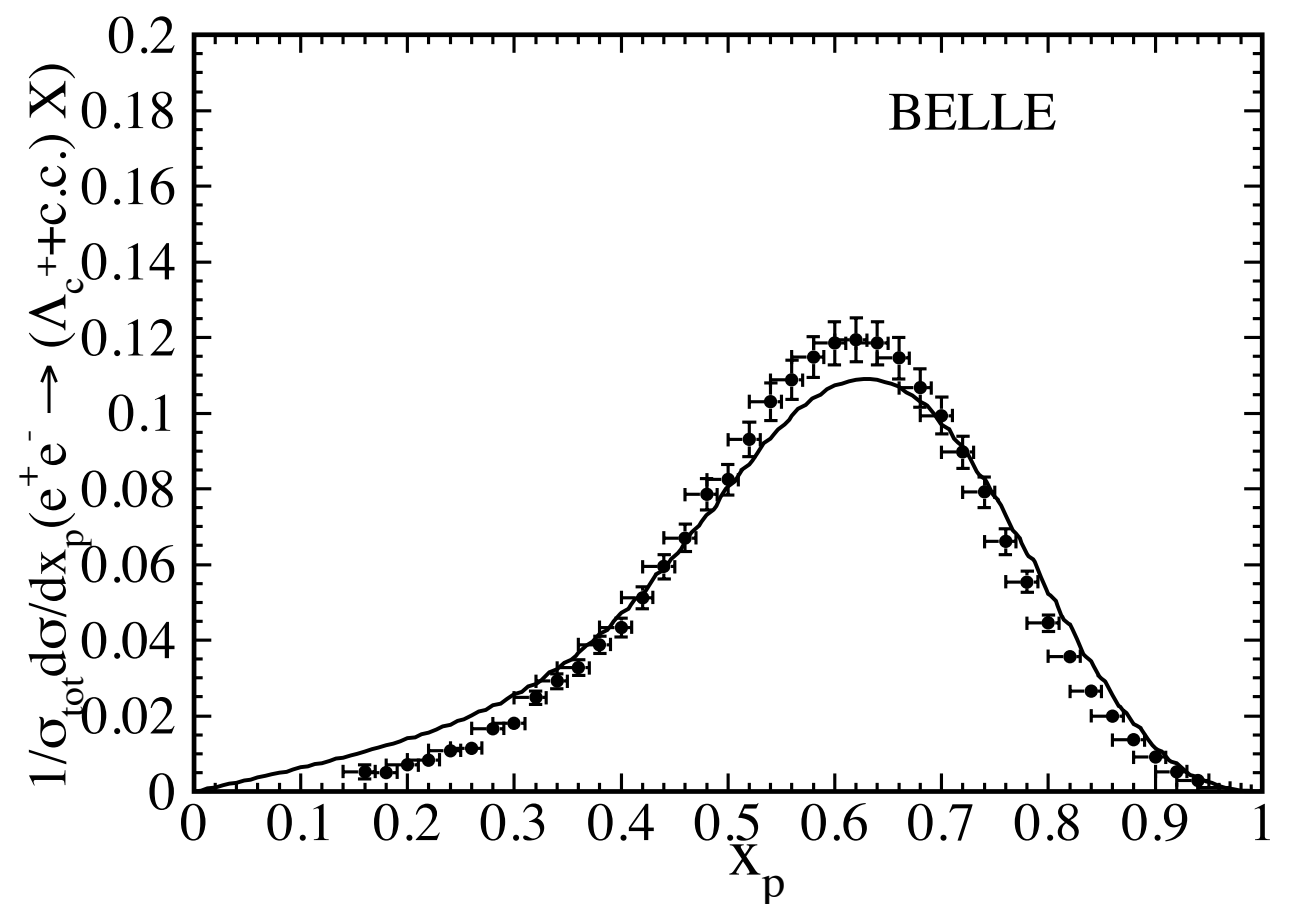
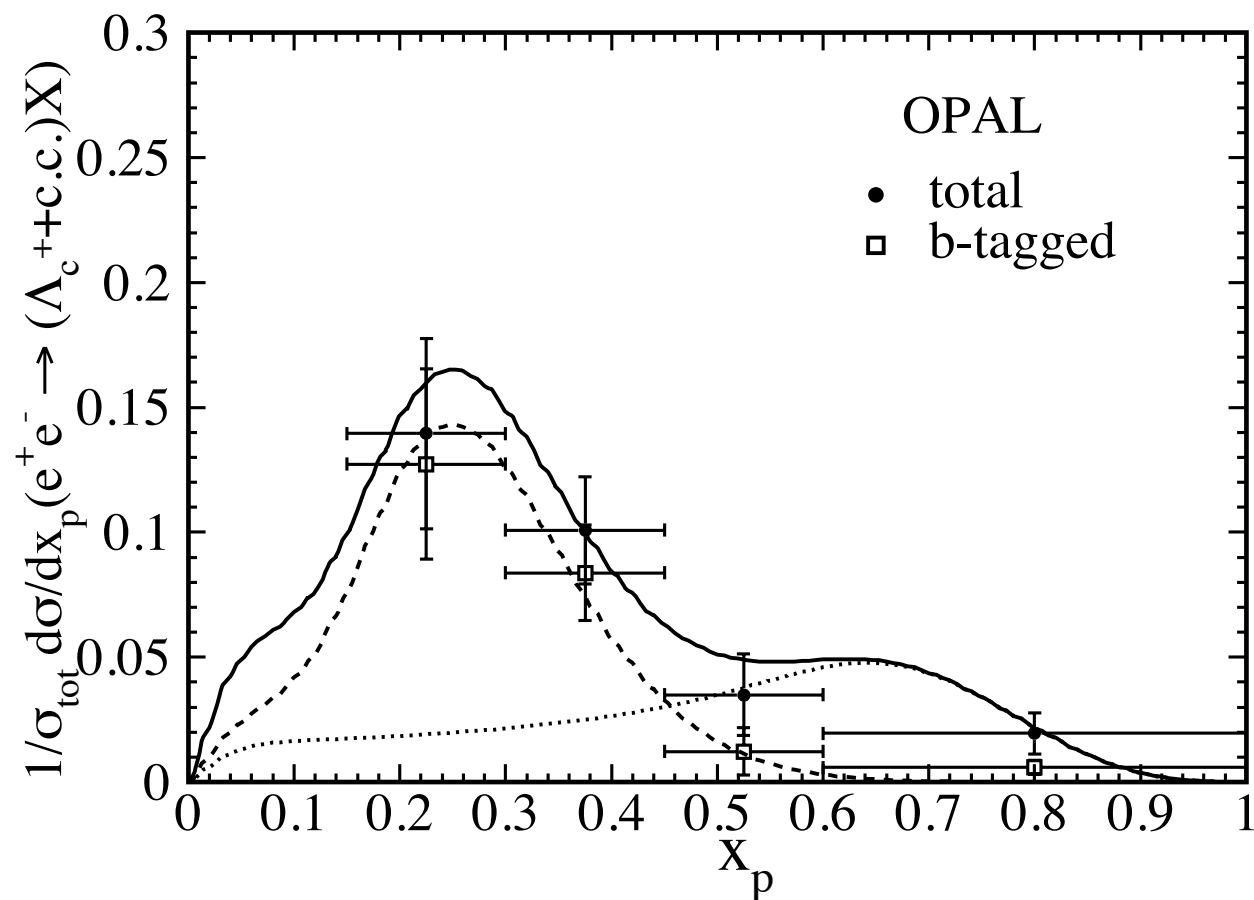
$$D_Q(x, \mu_0) = N x^{-(1+\gamma)^2} (1-x)^a e^{-\gamma^2/x}$$



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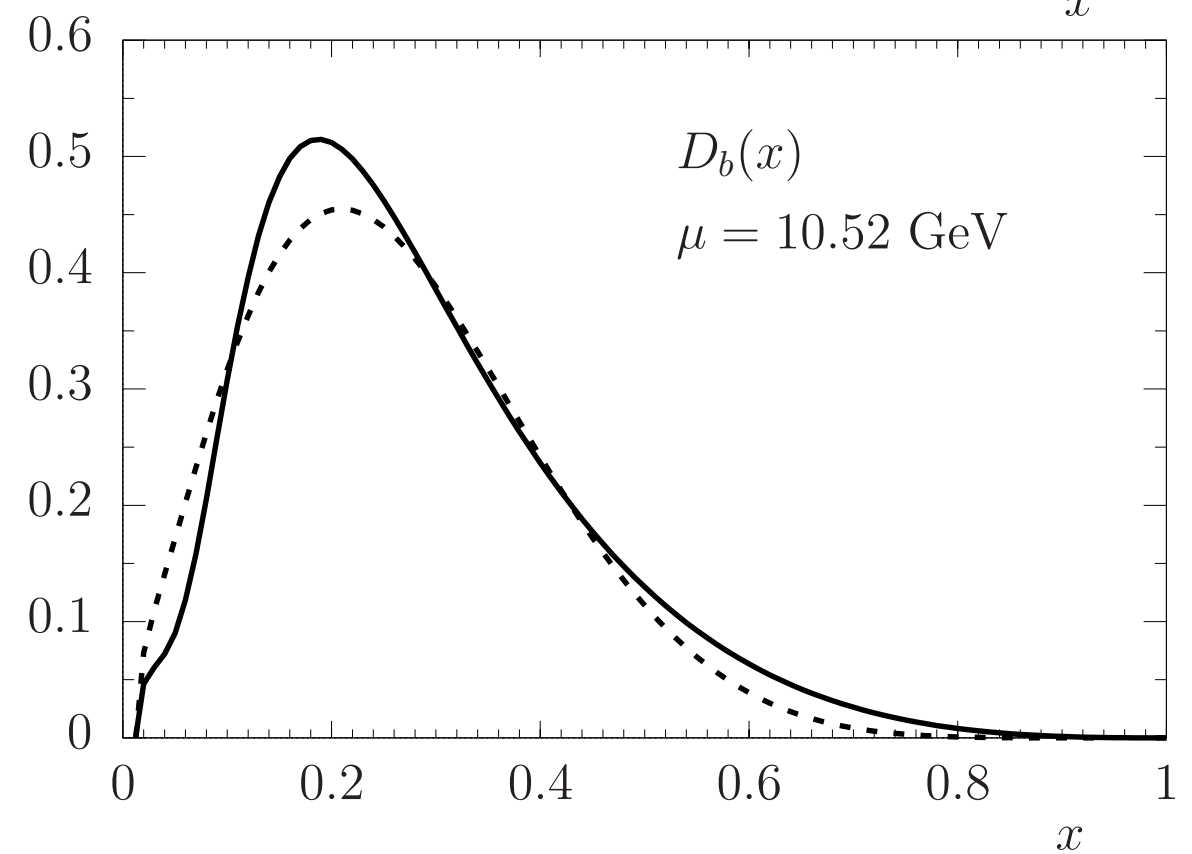
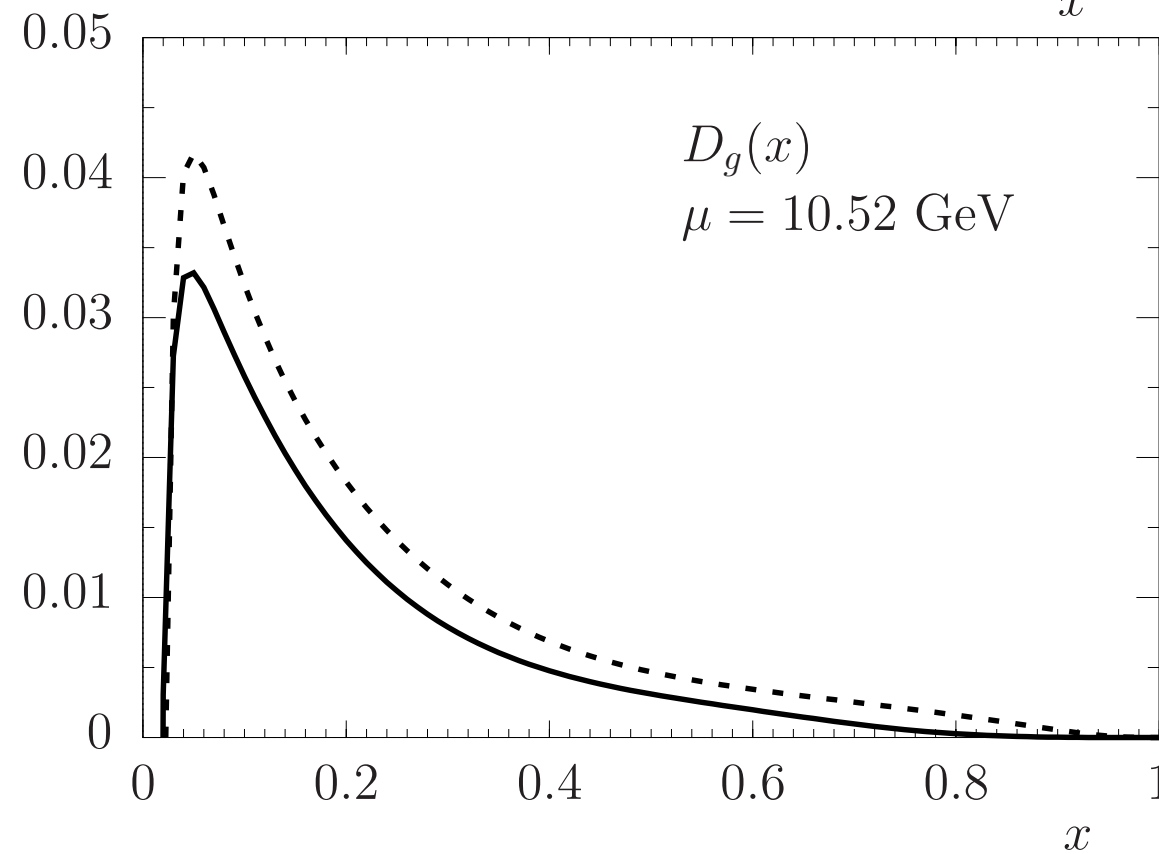
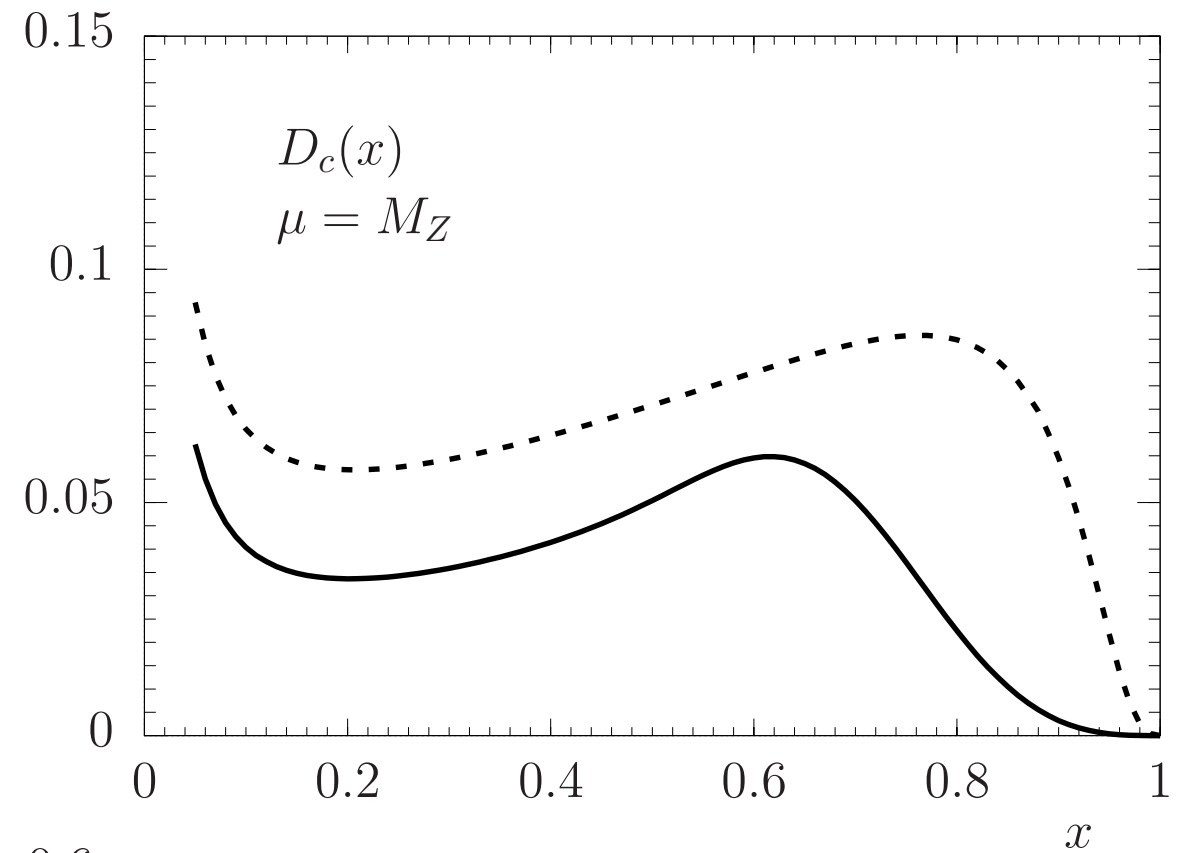
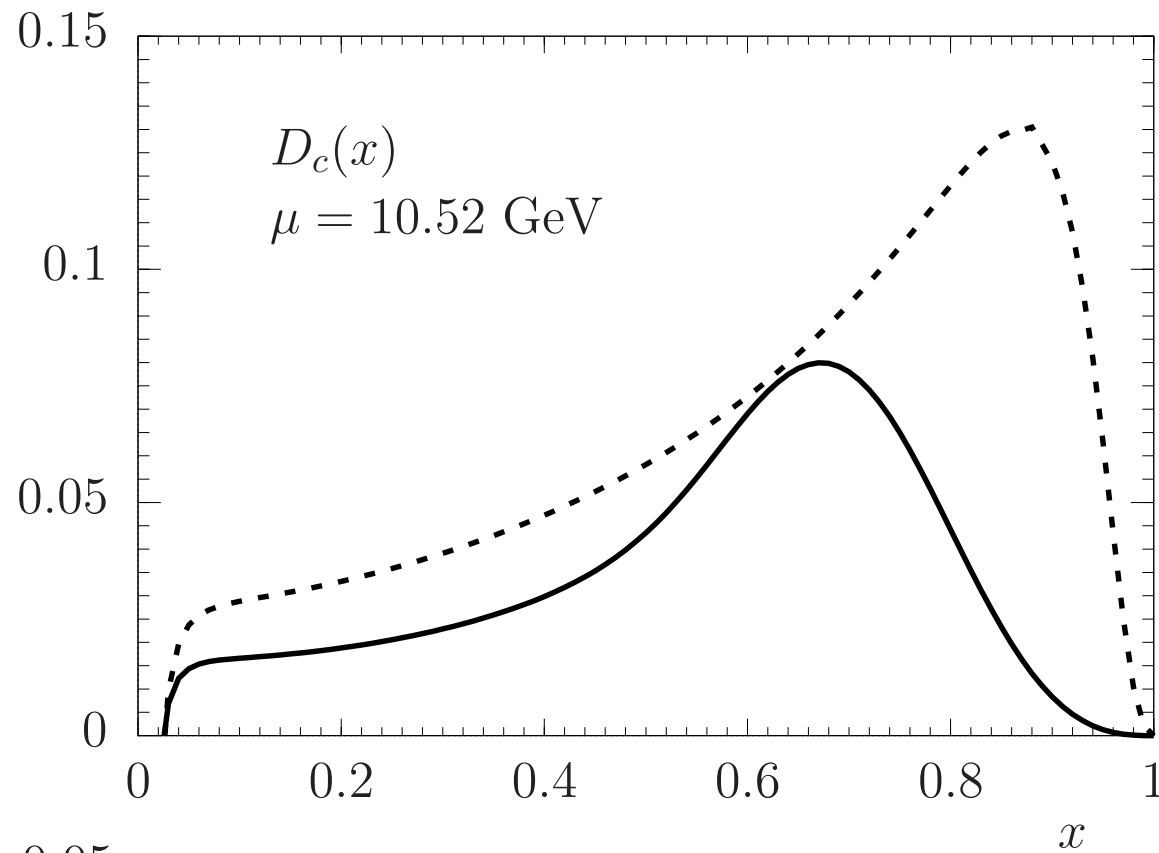
**3 Fits to LEP and BELLE data:  
just OPAL, just BELLE, combined**

	OPAL	Belle	global
$N_c$	80345	$1 \times 10^{10}$	$1 \times 10^{10}$
$a_c$	$0.35431 \times 10^{-6}$	2.1828	2.1821
$\gamma_c$	3.6432	4.5391	4.5393
$N_b$	19.953	19.953	41.973
$a_b$	6.3031	6.3031	7.4092
$\gamma_b$	1.1773	1.1773	1.2457
$\chi^2/\text{d.o.f}$	0.4749	3.2928	2.8030



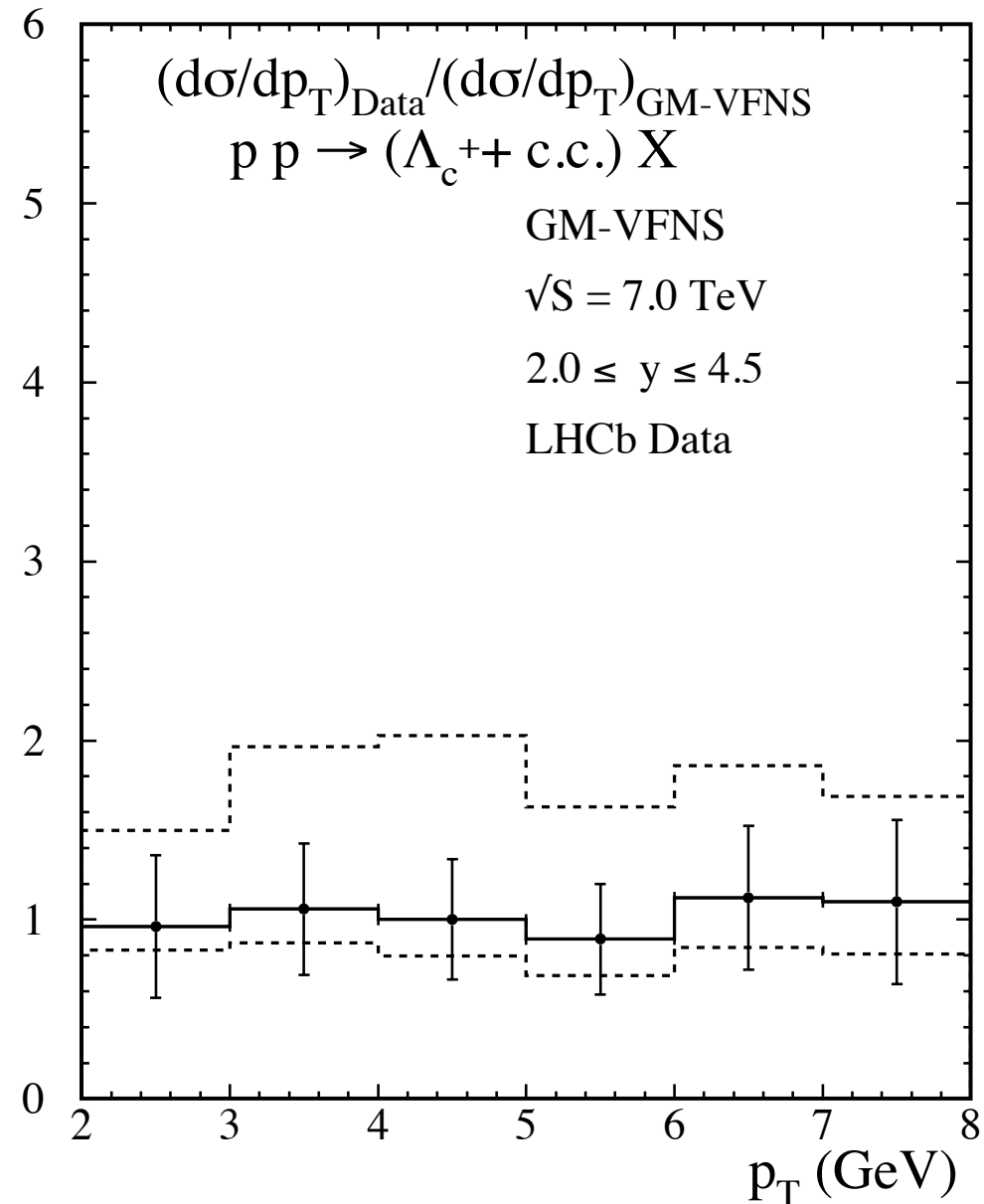
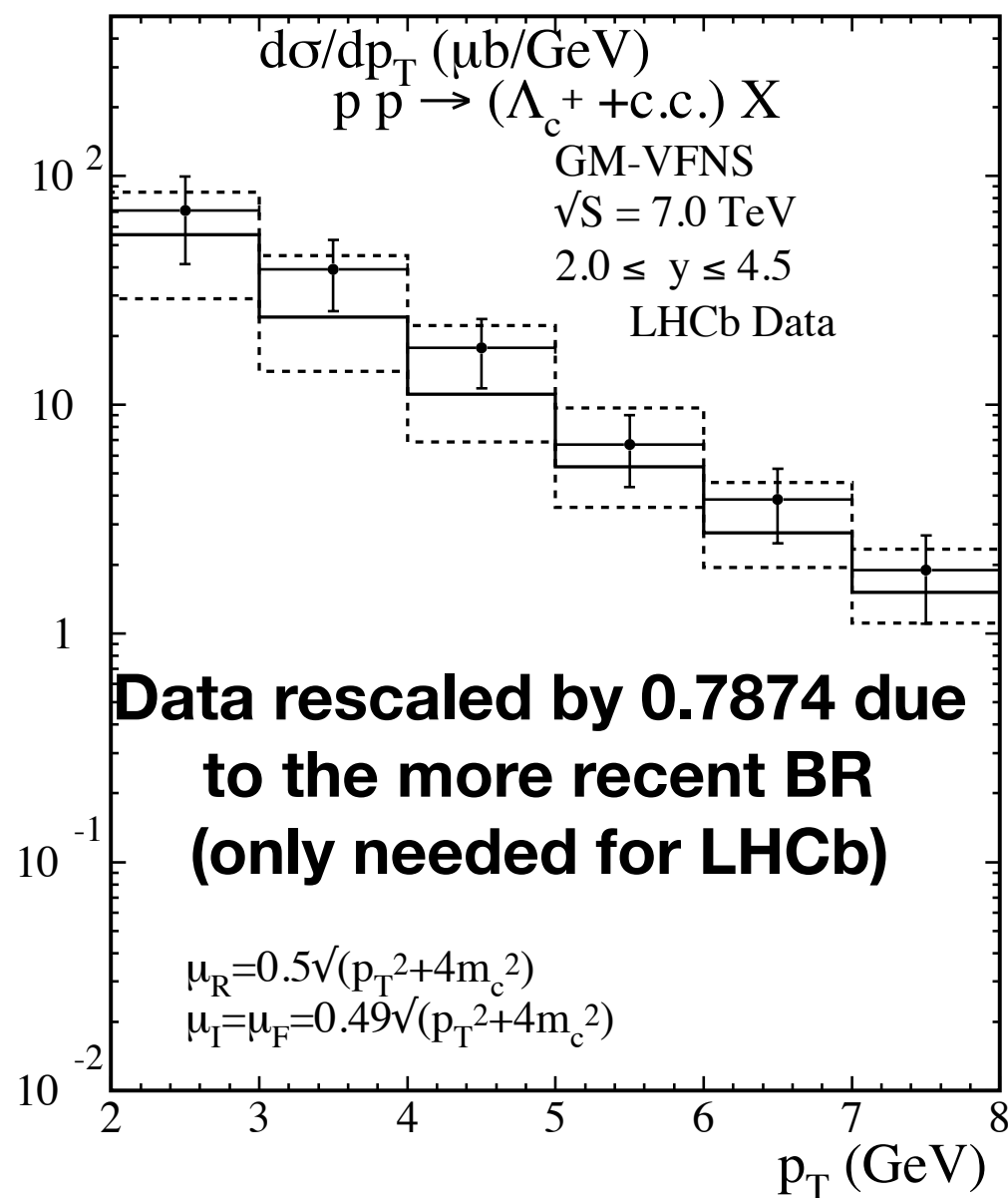
# New fit of the $\Lambda_c$ FF [arXiv:2004.04213]

Dashed lines: old fit (Kniehl, Kramer 2006), Solid lines: new fit



# Comparison with LHC data [arXiv:2004.04213]

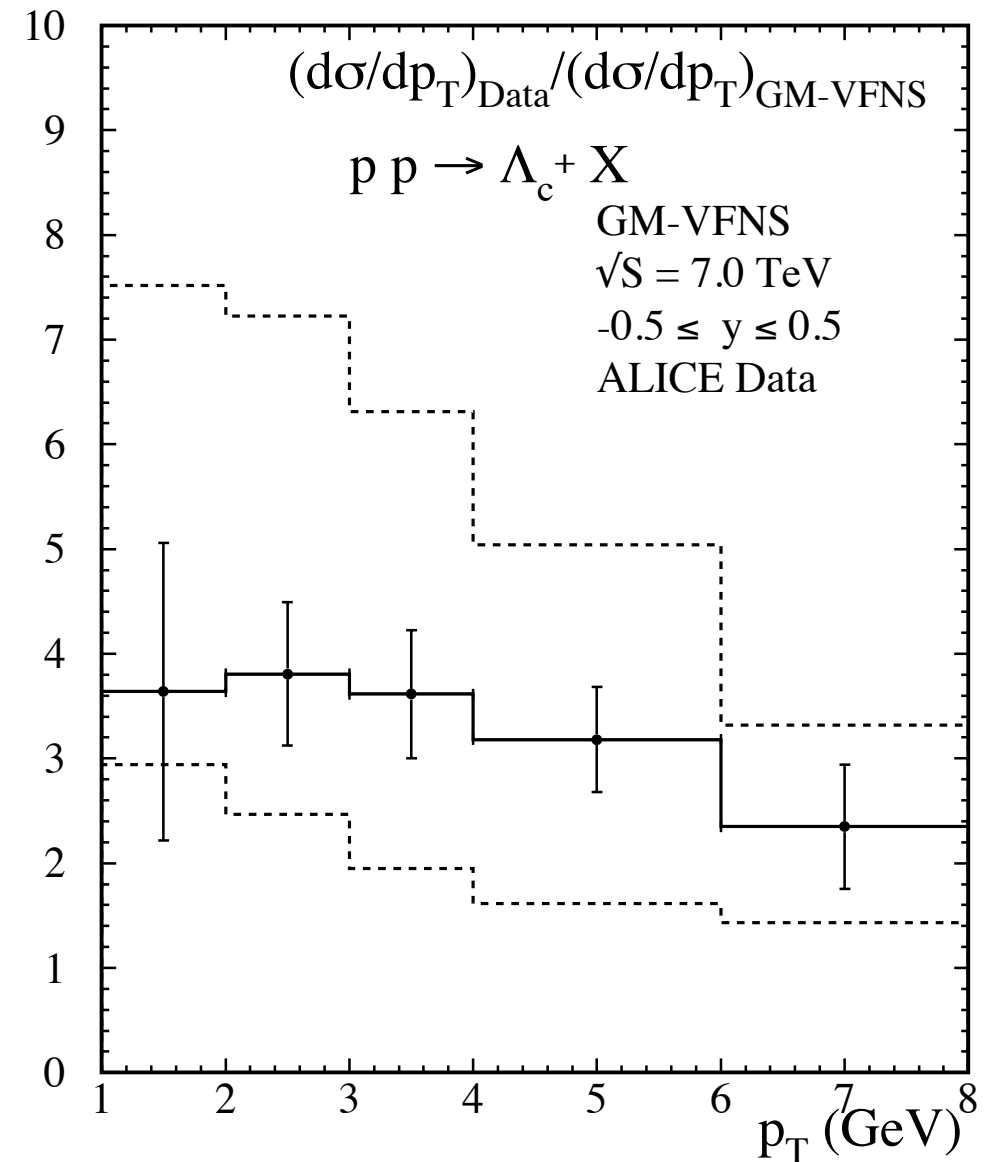
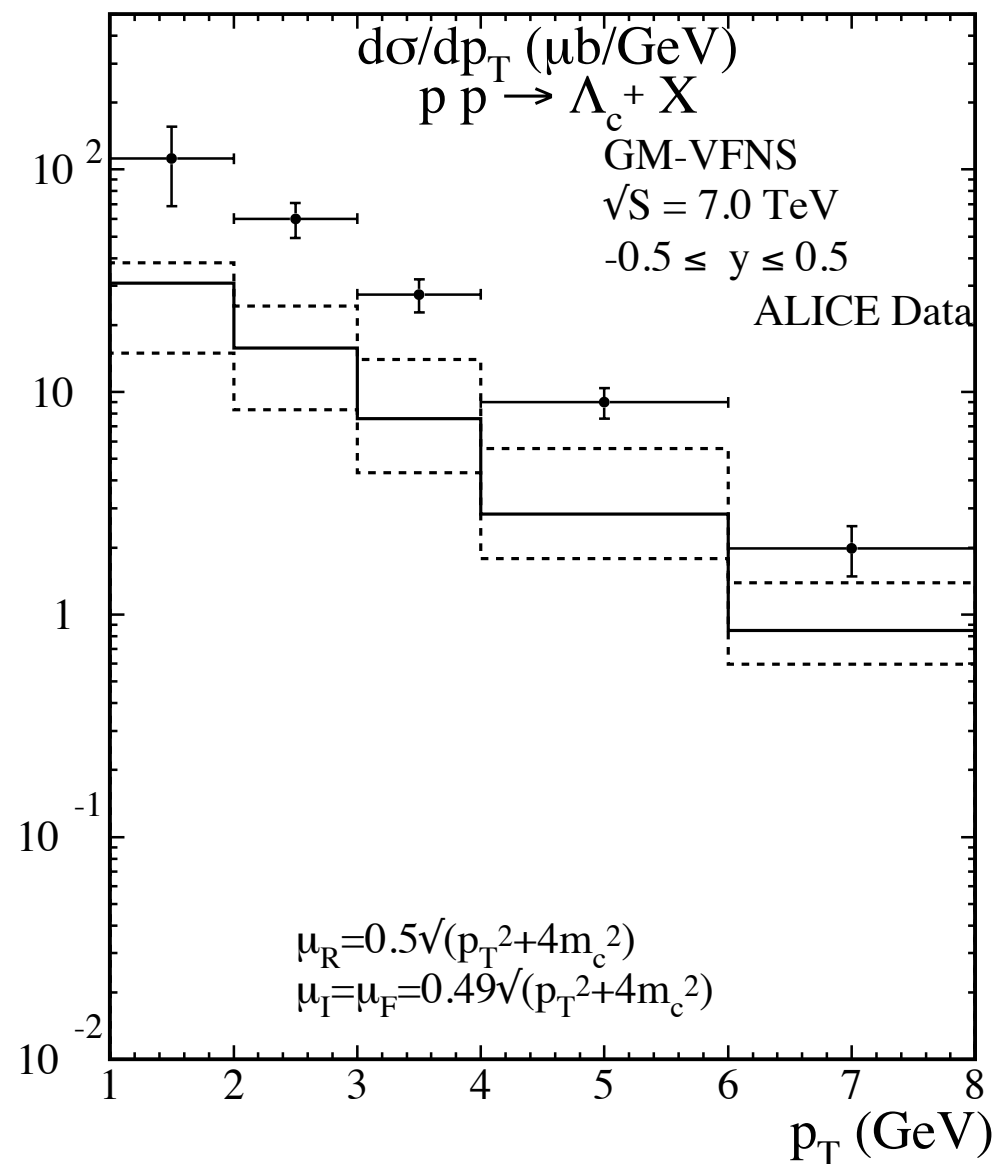
## LHCb



Results are shown with the **old  $\Lambda_c$  FFs from 2006**.  
With the new FFs the cross sections are slightly lower(!)  
by 15% in the first  $p_T$ -bin to 35% in the last  $p_T$ -bin

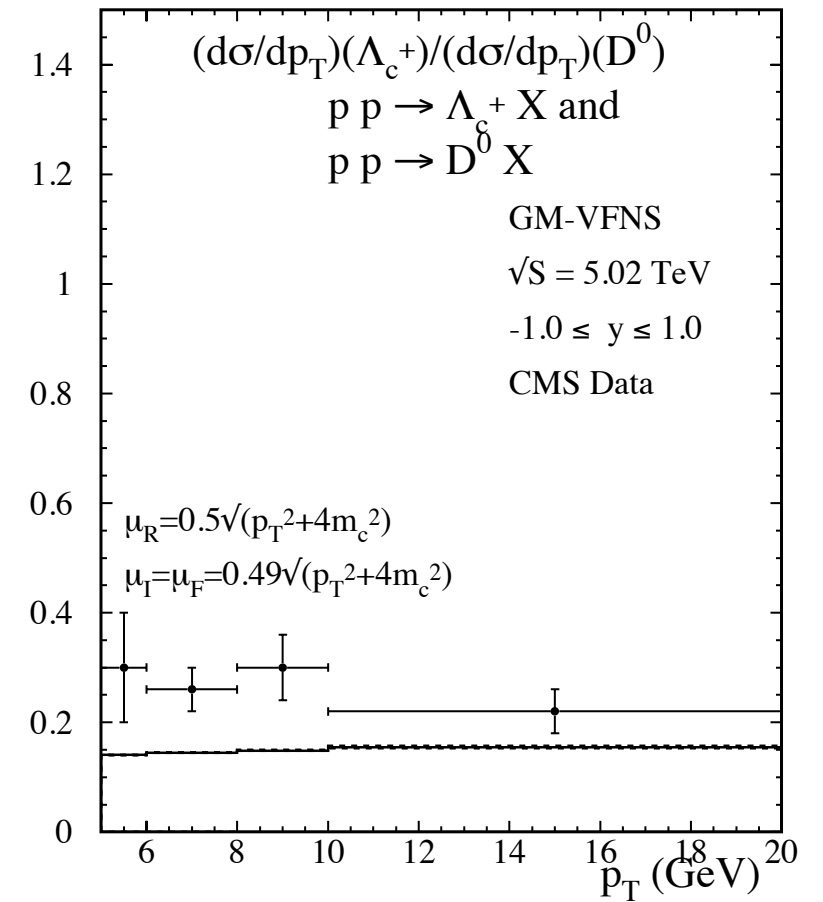
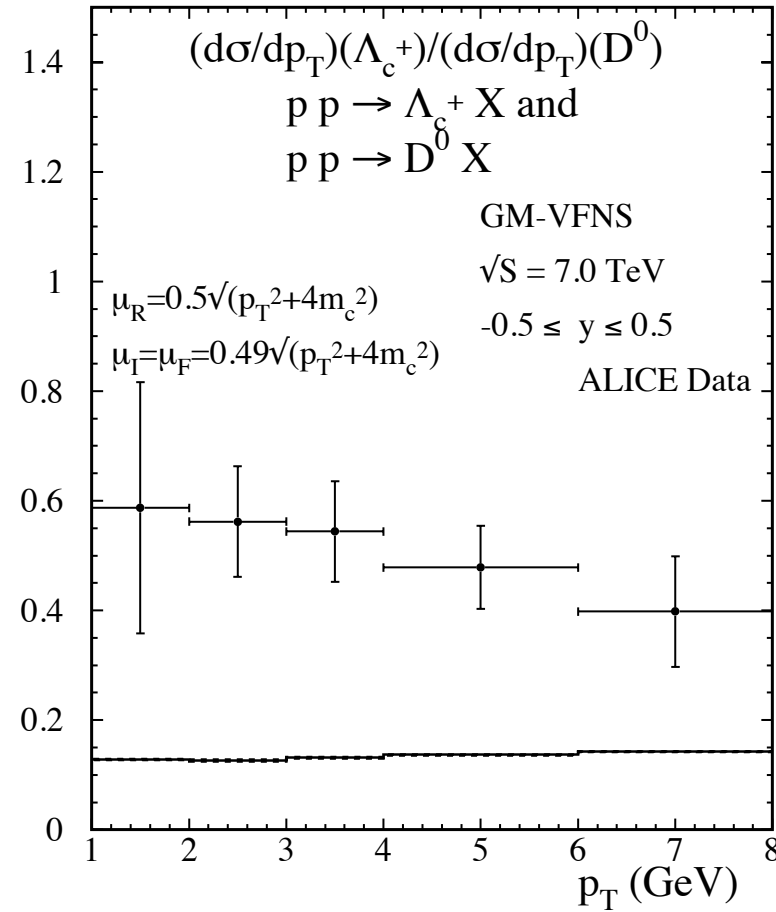
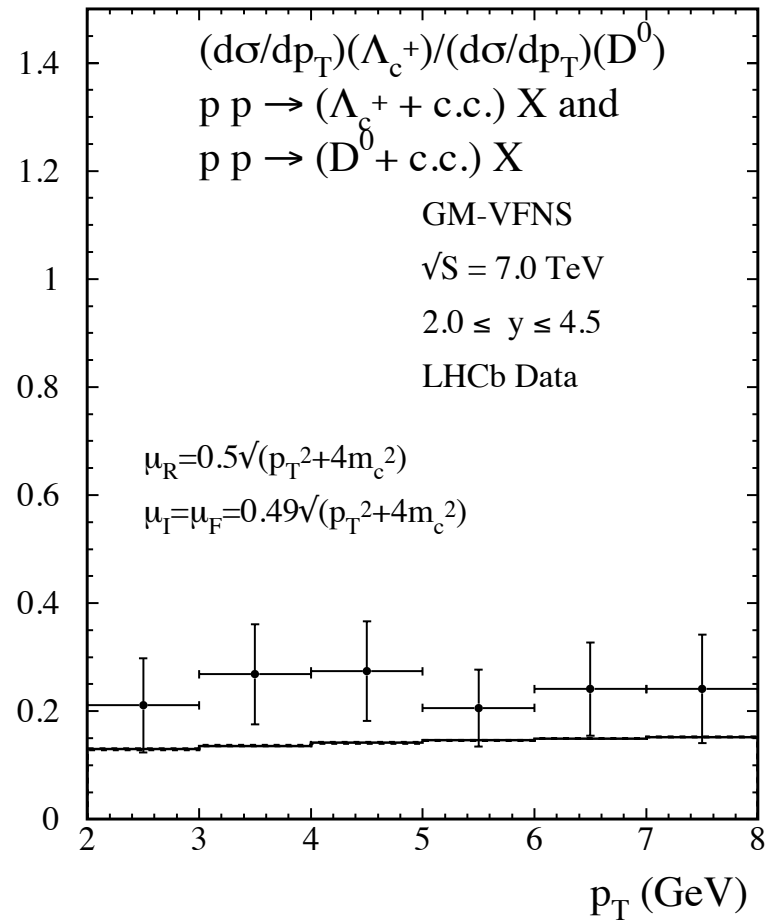
# Comparison with LHC data [arXiv:2004.04213]

## ALICE



Results are shown with the **old  $\Lambda_c$  FFs from 2006**.  
With the new FFs the cross sections are slightly lower(!)  
by 15% in the first  $p_T$ -bin to 35% in the last  $p_T$ -bin

# $\Lambda_c/D^0$ ratio [arXiv:2004.04213]



- LHCb: Theory < Data by about 1 sigma (scale uncertainty largely cancels)
- ALICE: Theory ~ 0.15, Data ~ 0.6 ... 0.4; **clear disagreement due to  $\Lambda_c$  cross section**
- CMS: Theory ~ 0.15, Data ~ 0.3; Are ALICE and CMS data compatible at  $p_T \sim 7 \text{ GeV}$ ?
- Note: pQCD predicts a flat  $p_T$  dependence for  $p_T > \sim 2m_c$

# Discussion [arXiv:2004.04213]

- Contribution from excited charm baryon states much bigger in pp at LHC than in  $e^+e^-$  at Belle?
- Higher twist effects beyond the pQCD factorisation present in pp and more important for  $\Lambda_c$  compared to  $D^0$ ? Should fade away at larger  $p_T$
- Could NNLO help? Unlikely for the  $\Lambda_c/D^0$  ratio. Should affect all measurements in similar way
- More data differential in both  $p_T$  and  $y$  would be helpful. Overlapping kinematic regions: check compatibility
- More data at larger  $p_T$  would also be helpful. The higher the  $p_T$  the more reliable the twist-2 pQCD prediction.

# Heavy flavours in DIS and the EIC

# Lessons from HERA and neutrino DIS

Kretzer, Schienbein, hep-ph/9808375

- Charm production contributes up to 30% to the cross section at small- $x$
- It has been often stated often that the main production mechanism is boson-gluon fusion. However, this statement doesn't make much sense.

A better question would be: Is the FFNS more adequate than a GM-VFNS in semi-inclusive DIS?

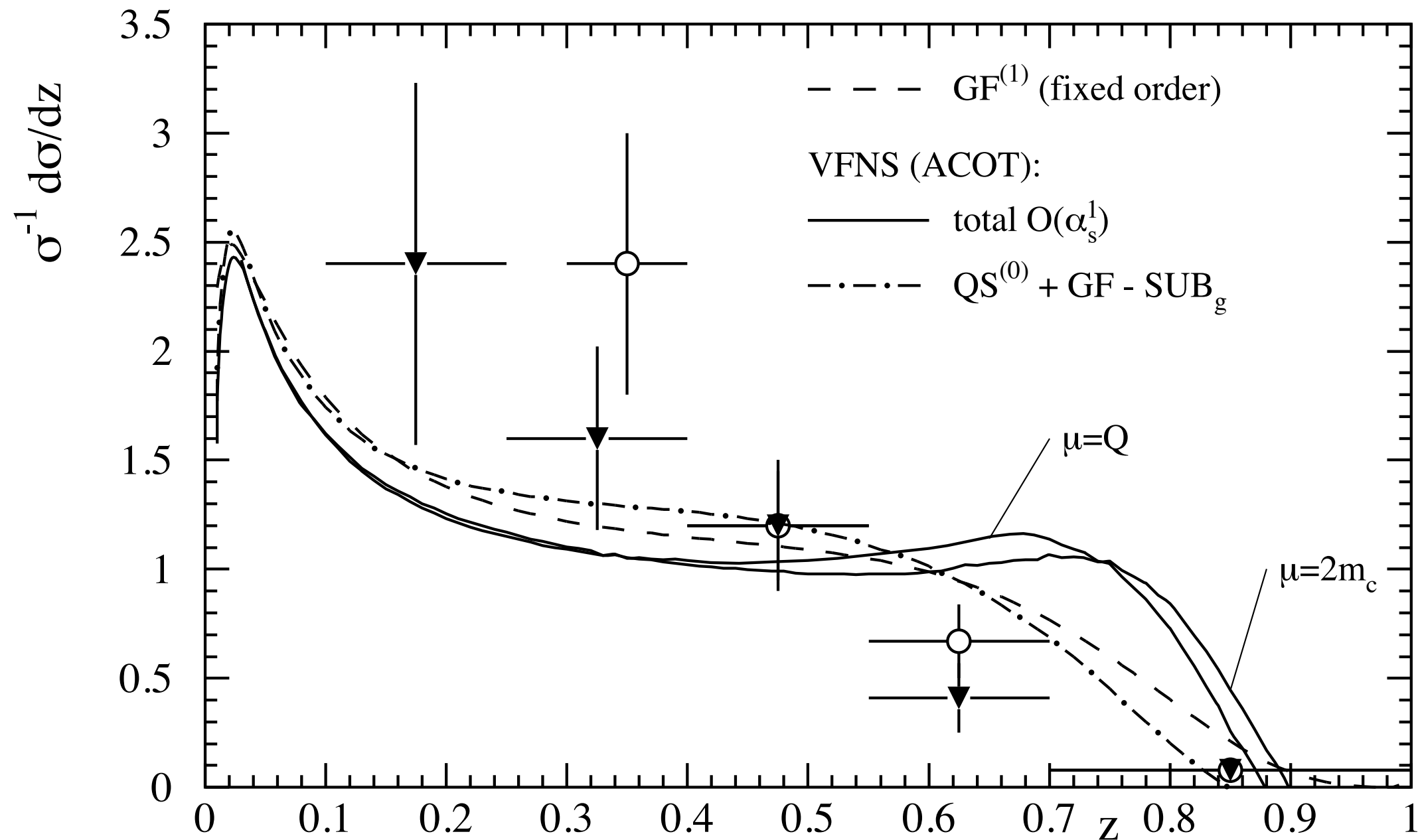
- The energy distribution of the D meson in SIDIS is most sensitive to the charm fragmentation processes. The FFs play an important role in the **normalization** of the  $p_T$  and  $\eta$  distributions.

Note that the  $p_T$  distribution in hadroproduction of heavy hadrons is only sensitive to the **4th or 5th moment of the FF** (for  $p_T \gtrsim 2m$ ) affecting again the **normalization**



# $z_D$ distribution at HERA in the ACOT scheme

Kretzer, Schienbein '98  
Heavy quark fragmentation in DIS



$z = (p_D \cdot p_N) / (q \cdot p_N)$ , the scaling variable for the D-meson energy distribution; in the nucleon rest frame  $z = E_D / (E - E')$

# Lessons from HERA and neutrino DIS

Kretzer, Schienbein, hep-ph/9808375

- It might be that the HF for  $D^*$  mesons (LEP data,  $\epsilon_c \sim 0.02$ ) is harder than the one for D mesons (CCFR, CDHSW, ARGUS, CLEO data,  $\epsilon_c \sim 0.06$ )
- Again the question: Is the D-meson HF universal? Going from low energies to LEP and comparing  $\nu$ -A, e-p, e-A,  $e^+e^-$

# Conclusions for the EIC

- Fixed order calculations (NLO, NNLO) should work perfectly well at the EIC. Effects due to the resummation of collinear logs will be small at EIC kinematics.
- Still important to test GM-VFNS calculations against data for heavy quark production in SIDIS. Improve on how to account for the kinematics in the GM-VFNS in a more differential situation.
- Measurements of the  $E_D$  spectra in ep and eA will be interesting to compare. Best access to the charm fragmentation process. In the ep case without nuclear effects compared to the nuA scattering. (We don't have nu-p data for D-meson production.)
- Compare HF for  $D^*$  and D mesons: is one harder than the other? What is  $\langle z \rangle$ ?
- Understand nuclear matter effects in eA collision **first in the FFNS**. Looks conceptually simpler: short-distance production of a heavy quark, then energy loss during propagation through the nucleus, then hadronization described by the scale-independent HF
  - How to constantly include energy loss effects in a VFNS? Medium-modified evolution? Avoid double-counting!
  - Other nuclear effects?
- Important to measure the production of different heavy flavoured mesons and baryons in ep and eA including  $L_c$

**Backup slides**

# Termes in the perturbation series

$$L = \ln(m/p_T)$$
$$a = \alpha_s/(2\pi)$$

Resummed



Fixed Order →

	LL	NLL	NNLL	...
LO	1			
NLO	aL	a		
NNLO	(aL) <sup>2</sup>	a(aL)	a <sup>2</sup>	
...	...	...	...	...

# FFNS/Fixed Order NLO

Resummed



	LL	NLL	NNLL	...
LO $m \neq 0$	1			
NLO $m \neq 0$	$aL$	$a$		
NNLO	$(aL)^2$	$a(aL)$	$a^2$	
...	...	...	...	...

Fixed Order →

# ZM-VFNS/Resummed NLO

Resummed



	LL $m=0$	NLL $m=0$	NNLL	...
LO	I			
NLO	$aL$	$a$		
NNLO	$(aL)^2$	$a(aL)$	$a^2$	
...	...	...	...	...

Fixed Order →

# GM-VFNS/FONLL (NLO+NLL)

Resummed



	LL	NLL	NNLL	...
LO	$1_{m \neq 0}$			
NLO	$aL_{m \neq 0}$	$a_{m \neq 0}$		
NNLO	$(aL)^2_{m=0}$	$a(aL)_{m=0}$	$a^2$	
...	$\dots_{m=0}$	$\dots_{m=0}$	...	...

Fixed Order  $\rightarrow$



NLO Monte Carlo generators:  
MC@NLO and POWHEG

# NLO MC generators

- MC@NLO, POWHEG: [hep-ph/0305252](https://arxiv.org/abs/hep-ph/0305252), [arXiv:0707.3088](https://arxiv.org/abs/0707.3088)  
consistent matching of NLO matrix elements with parton showers (PS)
- Flexible simulation of hadronic final state  
(PS, hadronization, detector effects)

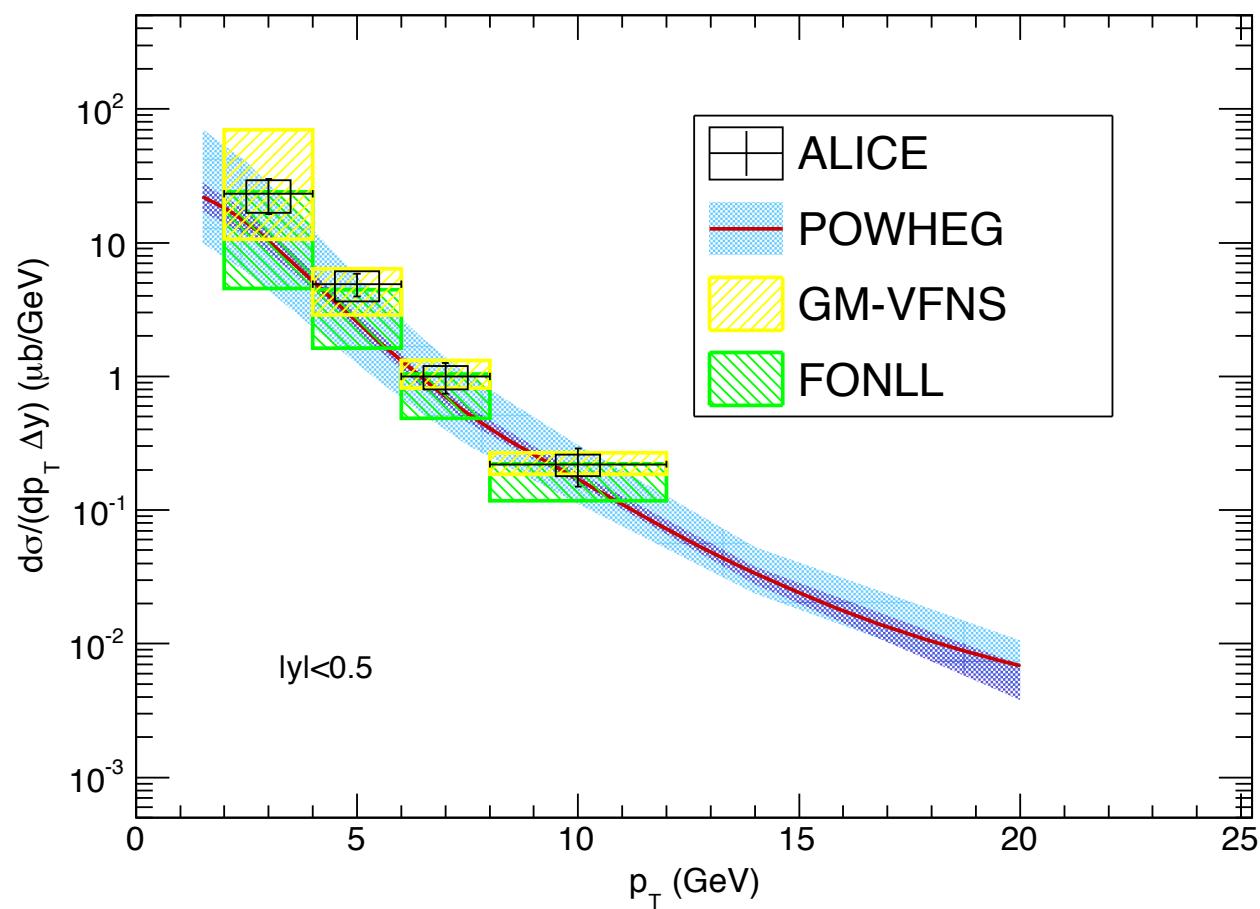
Note: FONLL and GM-VFNS only one-particle inclusive observables

- High accuracy: NLO+LL\*  
(FONLL and GM-VFNS have NLO+NLL accuracy)
- Simulation of hadronic final state involves tuning;  
NOT a pure theory prediction!

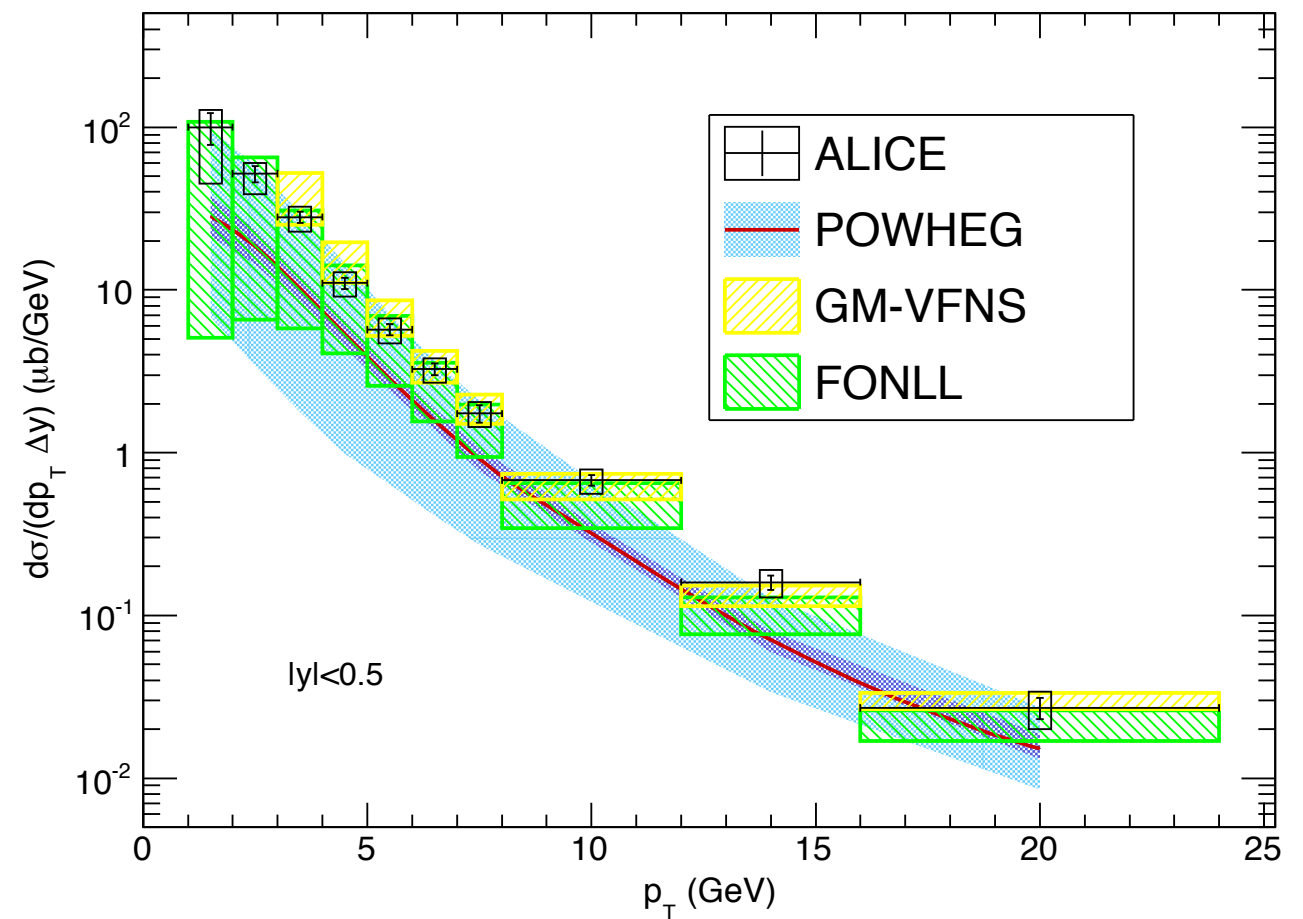
# Comparison with ALICE data

arXiv:1405.3083

$pp \rightarrow D^{*+}+X$  at  $\sqrt{s} = 2.76$  TeV



$pp \rightarrow D^{*+}+X$  at  $\sqrt{s} = 7$  TeV



# Comparison with ALICE data

arXiv:1405.3083

