

Constraints on nuclear gluons at small x

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Outline:

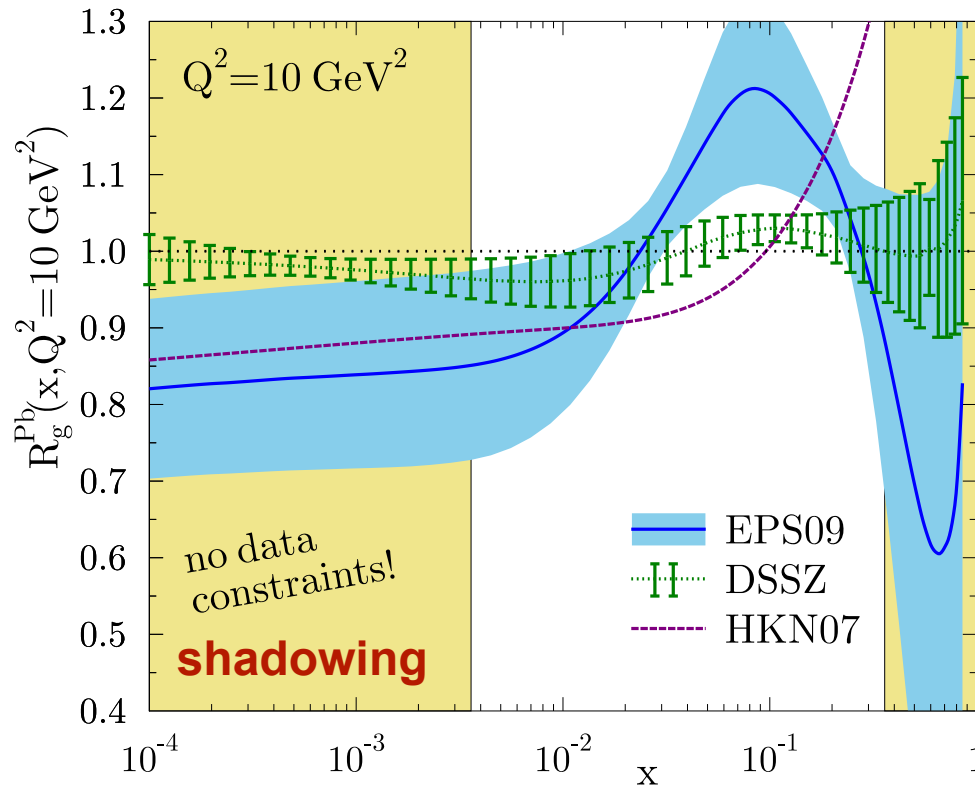
- Nuclear gluon distribution at small x : fixed-target DIS, pA@LHC, future EIC and LHeC
- Leading twist nuclear shadowing model
- Gluon nuclear shadowing from coherent J/ψ photoproduction on nuclei at the LHC

“Opportunities with Heavy Flavor at the EIC”, CFNS Ad hoc Workshop, Nov 4-6, 2020

Gluon distributions in nuclei: fixed-target DIS

- Nuclear parton distributions (nPDFs) $f_A(x, \mu^2)$ = densities/distributions of quarks and gluons in nuclei as function of momentum fraction x at resolution μ .
- Due to collinear QCD factorization, nPDFs are universal quantities required for calculations of various hard processes with nuclei at high energies at RHIC, LHC, and future EIC and LHeC/FCC.
- $f_A(x, \mu^2)$ are determined from global QCD fits to data on **fixed-target DIS**, nuclear Drell-Yan, hard processes in **dA** (RHIC) and **pA** (LHC) $\rightarrow f_A(x, \mu^2)$ with significant uncertainties:

- For small $x < 0.005$, nPDFs are suppressed due to nuclear shadowing:
 $f_A(x, \mu^2) < A f_N(x, \mu^2)$



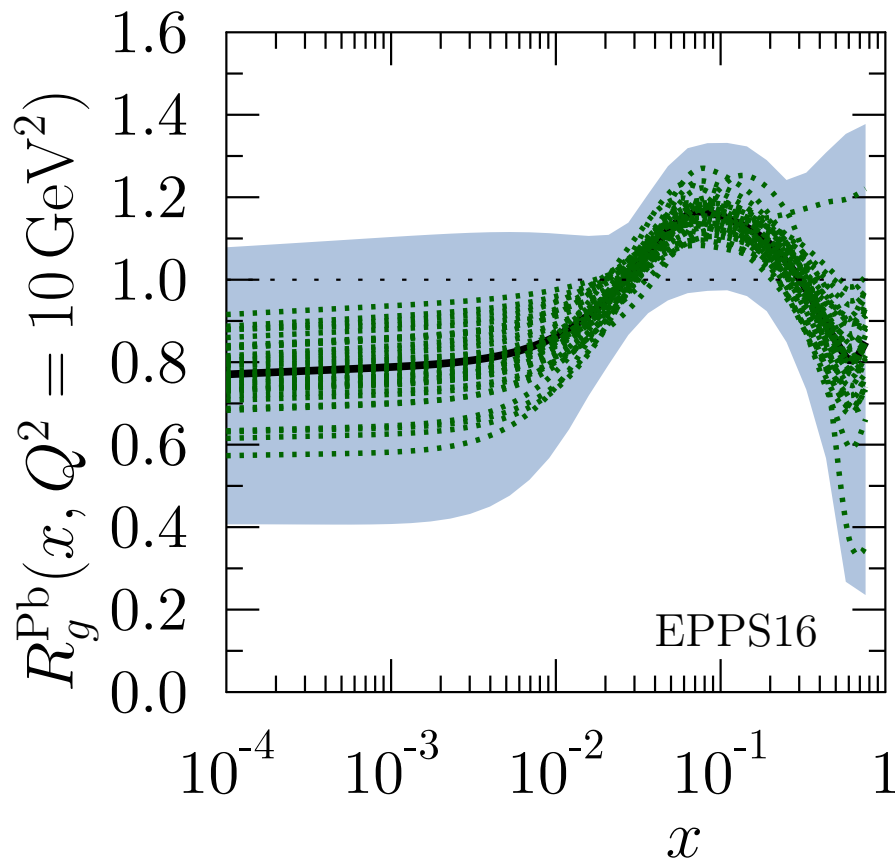
$$R_g(x, Q^2) = \frac{g_A(x, Q^2)}{A g_p(x, Q^2)}$$

Paukkunen, NPA 926 (2014) 24

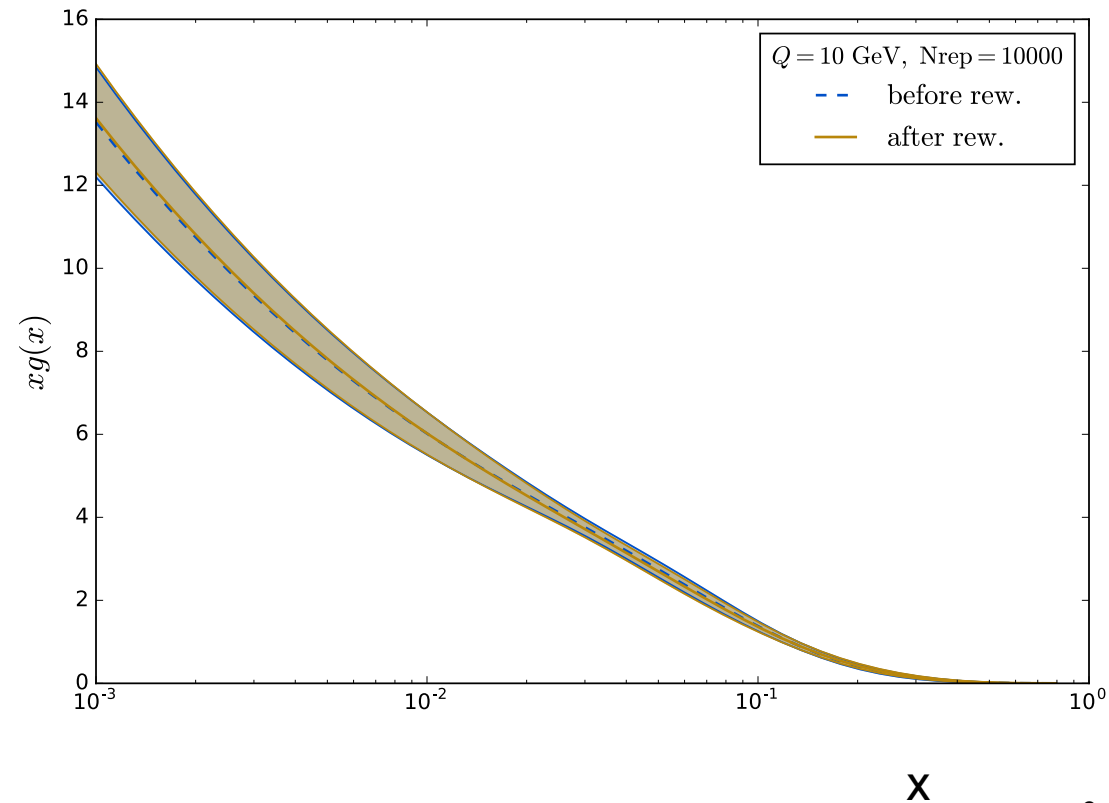
Gluon distributions in nuclei: adding dijet and W,Z production in pA@LHC

- One of the goals of the LHC heavy ion program is to better constrain nPDFs.
- However, Run 1 pA data on dijet and W,Z production does not really help
 - EPPS16 includes these data in the fit
 - nCTEQ15 does reweighting

EPPS16, Eskola et al, EPJ C77 (2017) 163



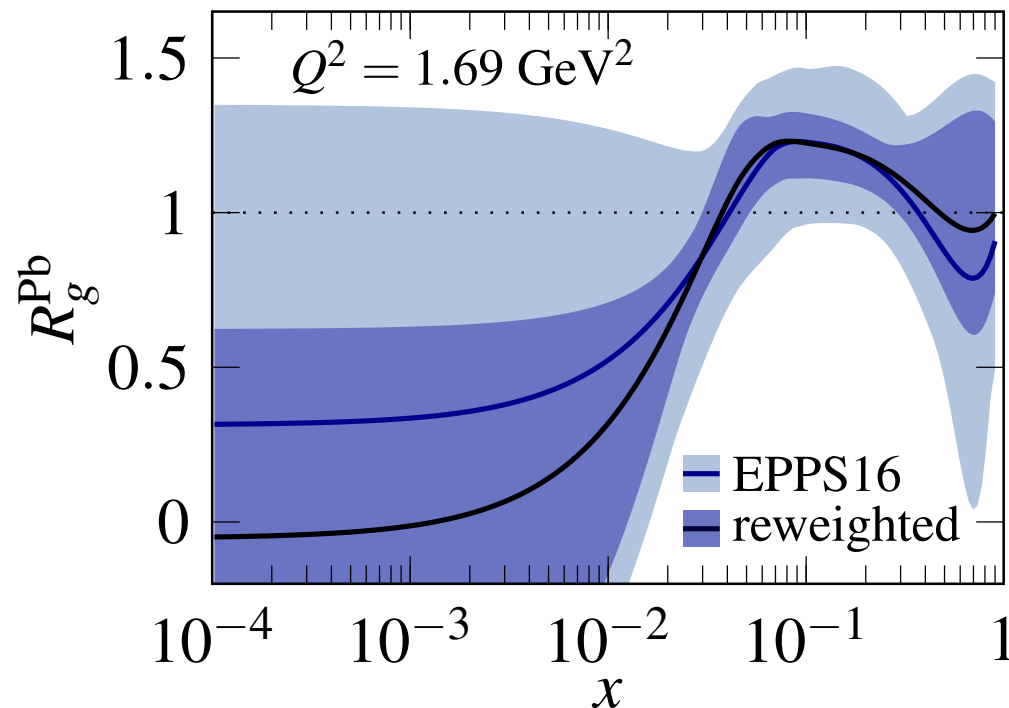
nCTEQ15, Kusina et al, EJC 77 (2017) 7



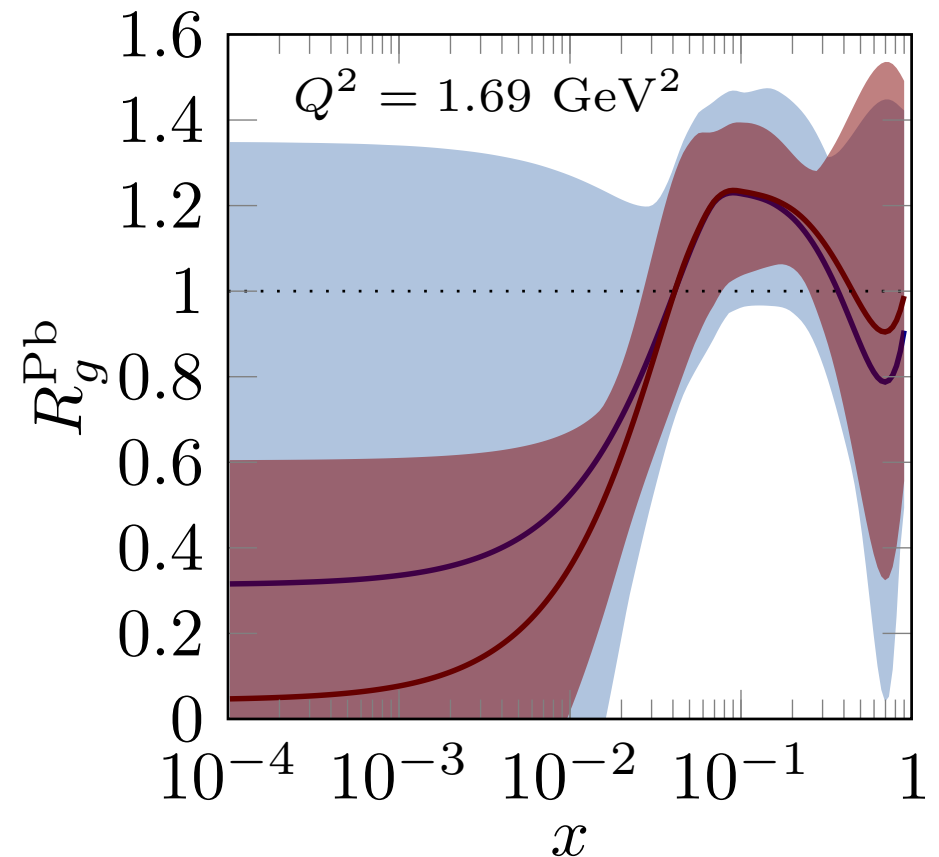
Gluon distributions in nuclei: adding Run 2 pA data

- Run 2 data and additional processes, e.g. heavy flavor production, may/should give additional constraints on nPDFs, [Paukkunen, arXiv:1811.01976 \[hep-ph\]](#).
- Two examples of Hessian reweighting to study impact of Run 2 data:

Run 2 CMS jets, [Eskola, Paakkinen, Paukkunen, EPJ C 79 \(2019\) 6, 511](#)



Run 2 LHCb D0, [Eskola, Helenius, Paakkinen, JHEP 05 \(2020\) 037](#)

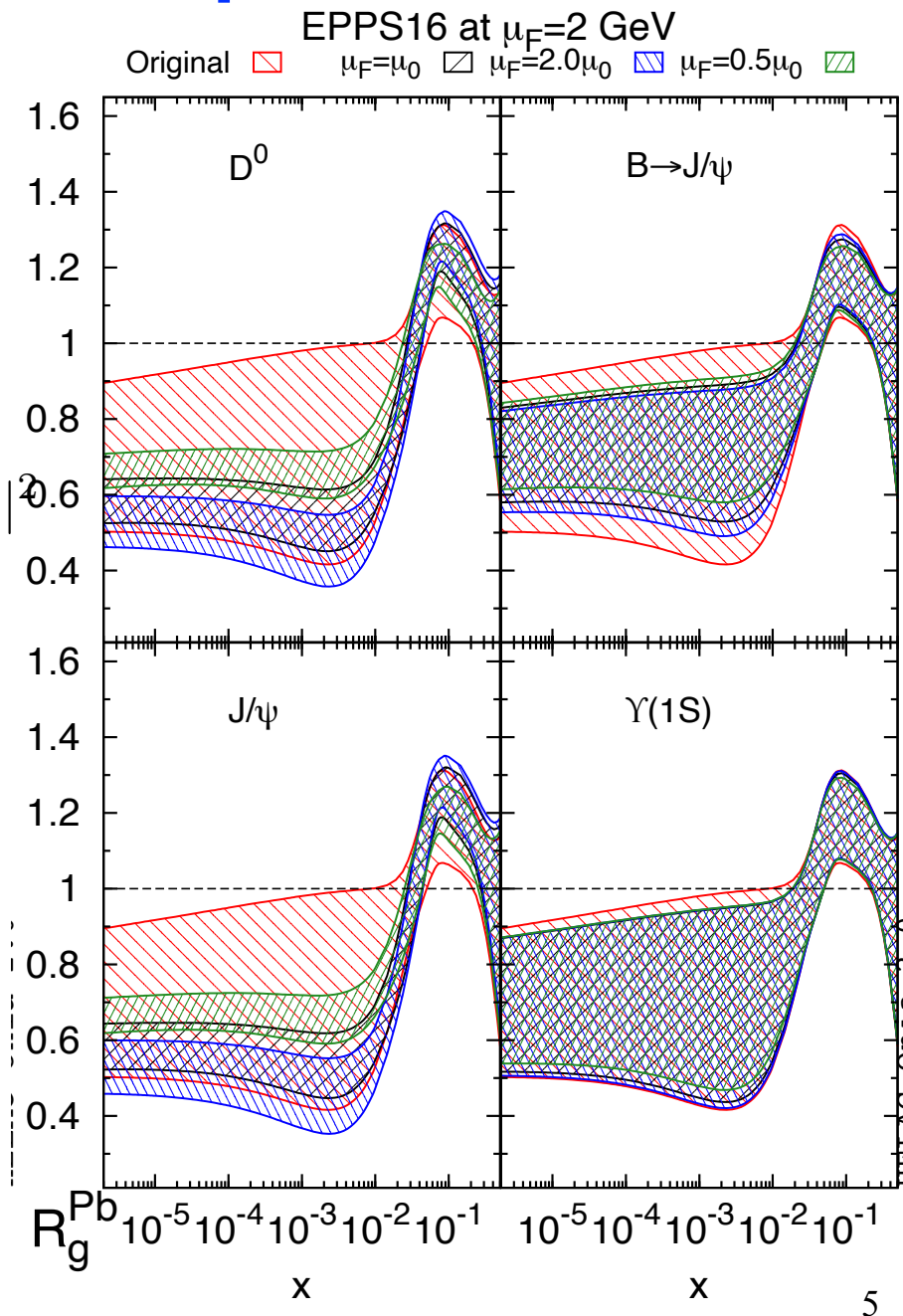


Gluon shadowing and antishadowing from heavy flavor production in pA

- Data-driven analysis of inclusive heavy quark production in hadron scattering, Kusina, Lansberg, Schienbein, Shao, PRL 121 (2018) 5, 052004

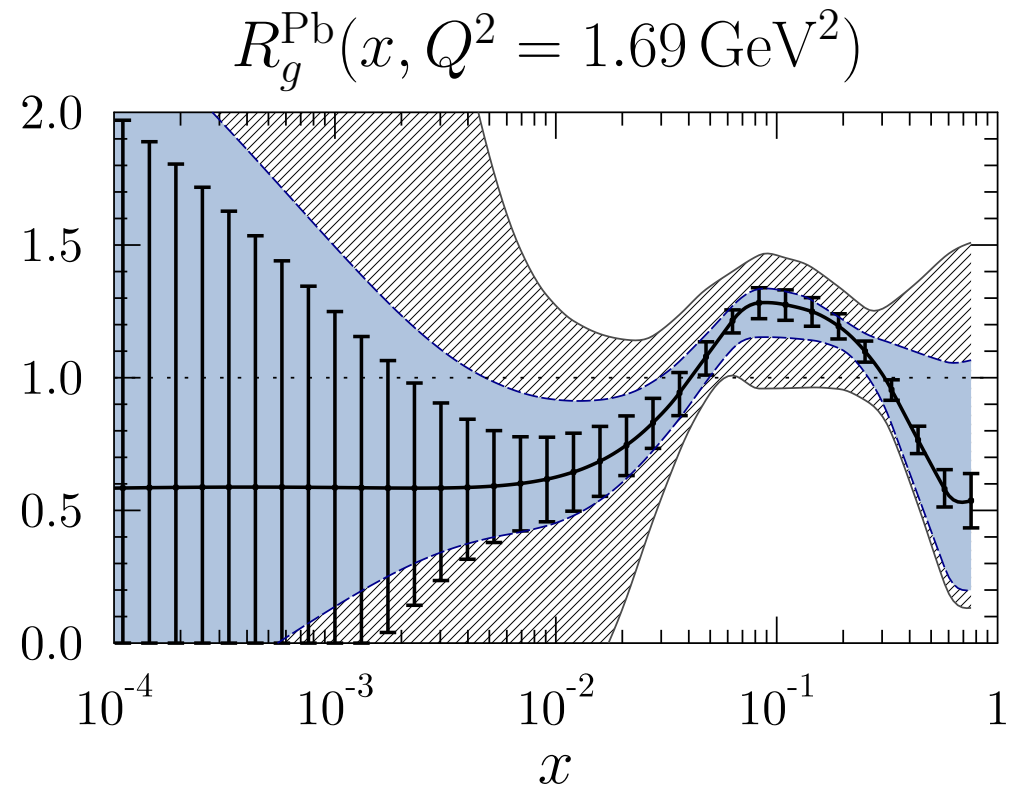
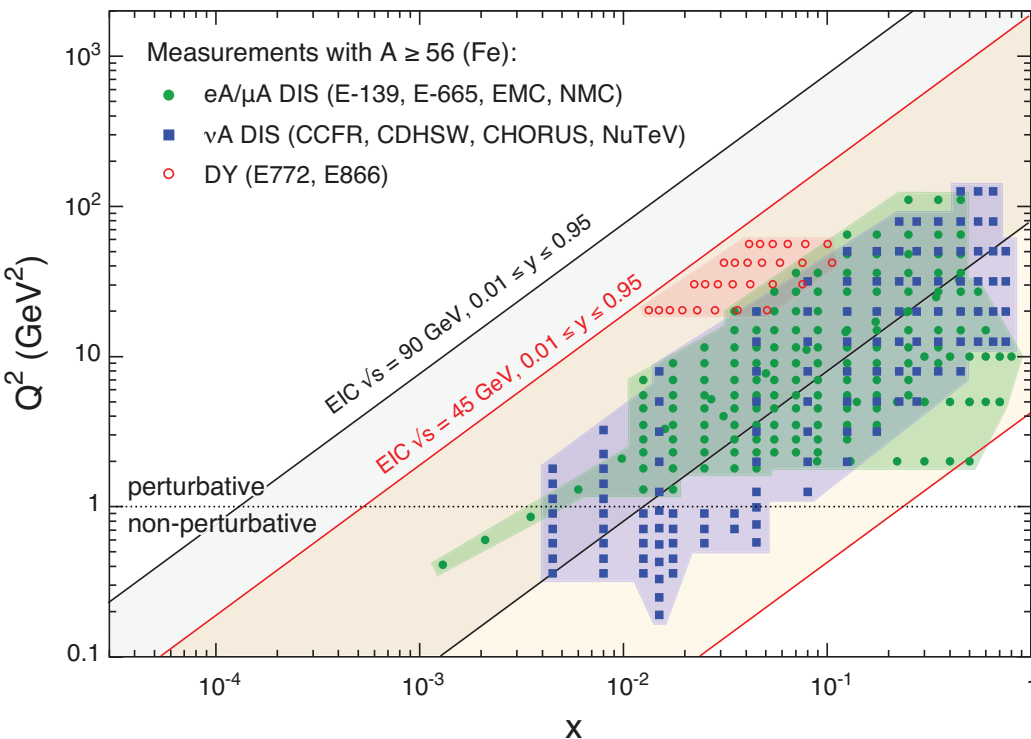
$$\frac{d\sigma(pA \rightarrow \mathcal{H}X)}{d\Phi_2} = \frac{1}{2s} \int dx_p dx_A f_A(x_A) f_p(x_p) |\mathcal{A}(k_1 k_2 \rightarrow Q + k_3)|^2$$

- Re-weighting reduces the uncertainties and shows distinct and large shadowing and antishadowing of gluon distribution
- This data can also be explained by energy loss, Arleo, Peigne, JHEP 03 (2013) 122 and CGC, Ducloue, Lappi, Mäntysaari, PRD91 (2015) 114005, PRD94 (2016) 074031 → not possible to single out the main nuclear modification mechanism.



Gluon nuclear shadowing at EIC

- In the future, gluon nuclear shadowing will be constrained at EIC, [Accardi et al, EPJ A52 \(2016\) no.9, 268](#); LHeC@CERN, [LHEC Study Group, J. Phys. G39 \(2012\) 075001](#) due to wide Q^2 - x kinematic coverage, $F_L^A(x, Q^2)$ and $F_2^{\text{charm}}(x, Q^2)$ measurements:

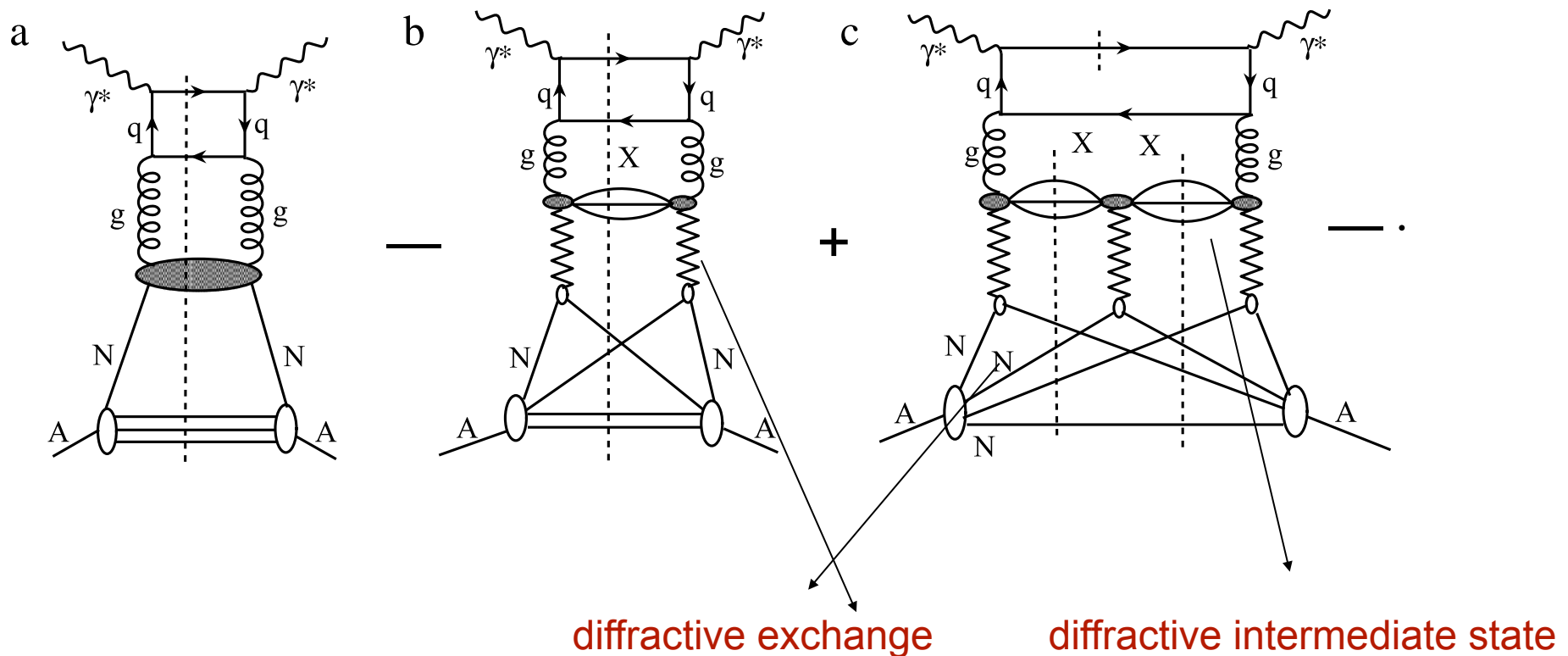


Aschenauer, Fazio, Lamont, Paukkunen, Zurita, PRD 96 (2017) 11

Hatched: baseline fit
 Blue: EIC inclusive
 Black error: EIC inclusive + charm

Leading twist nuclear shadowing model

- Combination of Gribov-Glauber nuclear shadowing model for soft interactions with QCD factorization theorems for inclusive and diffractive DIS \rightarrow shadowing is driven by diffraction for individual partons j , Frankfurt, Strikman (1999); Frankfurt, Guzey, Strikman, Phys. Rept. 512 (2012) 255
- Double scattering term ($N=2$) is a model-independent result of unitarity (AGK cutting rules). Higher terms ($N > 3$) require modeling.
- Graphs for $g_A(x, Q^2)$:



Leading twist nuclear shadowing model-2

$$xf_{j/A}(x, Q_0^2) = Ax f_{j/N}(x, Q_0^2) - 8\pi A(A-1) \Re e \frac{(1-i\eta)^2}{1+\eta^2} B_{\text{diff}} \int_x^{0.1} dx_{\mathbb{P}} \beta f_j^{D(3)}(\beta, Q_0^2, x_{\mathbb{P}}) \\ \times \int d^2b \int_{-\infty}^{\infty} dz_1 \int_{z_1}^{\infty} dz_2 \rho_A(\vec{b}, z_1) \rho_A(\vec{b}, z_2) e^{i(z_1-z_2)x_{\mathbb{P}}m_N} e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}^j(x, Q_0^2) \int_{z_1}^{z_2} dz' \rho_A(\vec{b}, z')}$$

proton diffractive PDFs

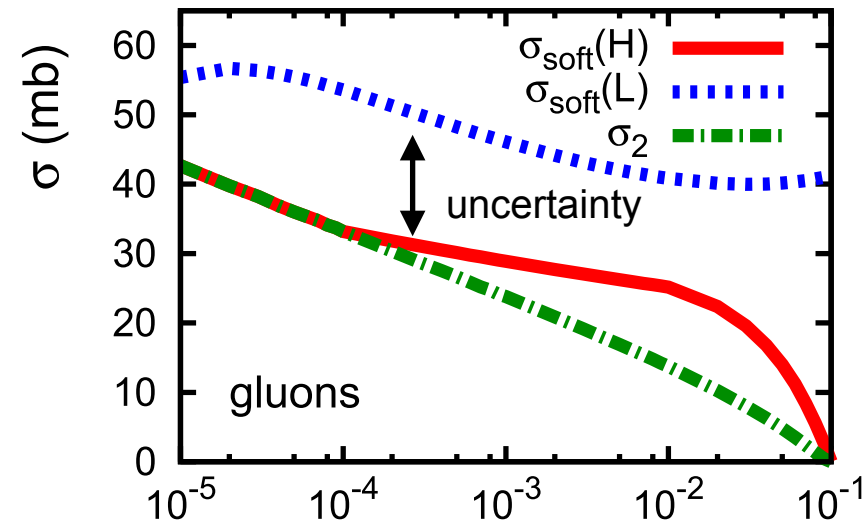
- Measured in diffractive DIS on proton at HERA, [H1](#), [ZEUS](#)

$$F_2^{D(4)}(x, Q^2, x_{\mathbb{P}}, t) = \beta \sum_{j=q, \bar{q}, g} \int_{\beta}^1 \frac{dy}{y} C_j\left(\frac{\beta}{y}, Q^2\right) f_j^{D(4)}(y, Q^2, x_{\mathbb{P}}, t)$$

- One of main HERA results: diffraction in DIS is a leading-twist phenomenon → hence the name “[leading twist shadowing](#)”
- Beware:** While extrapolated pQCD fits describe the HERA data well for $Q^2 < 6.5 \text{ GeV}^2$, higher-twist effects are potentially large for $Q^2 < 5 \text{ GeV}^2$, [Motyka, Sadzikowski, Slominski, PRD 86 \(2012\) 111501](#)

effective cross section

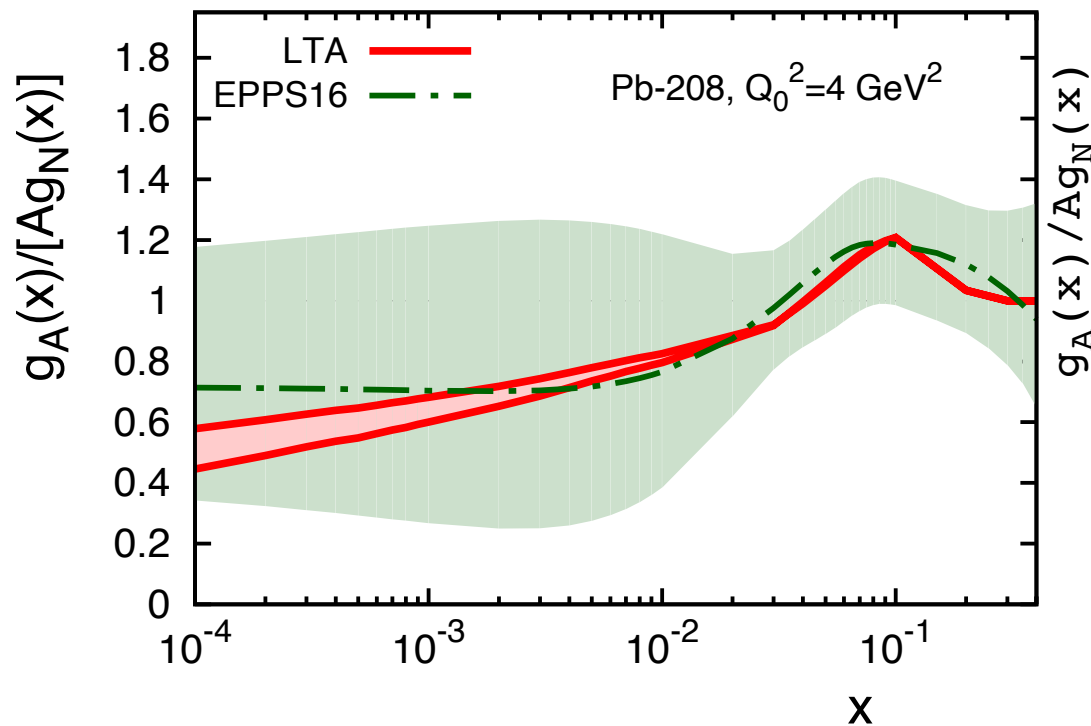
- Estimated using two models of photon fluctuations:
 - like in the pion, [Blattel et al, 1993](#)
 - like in the dipole model, [McDermott, Frankfurt, Guzey, Strikman, 2000](#)



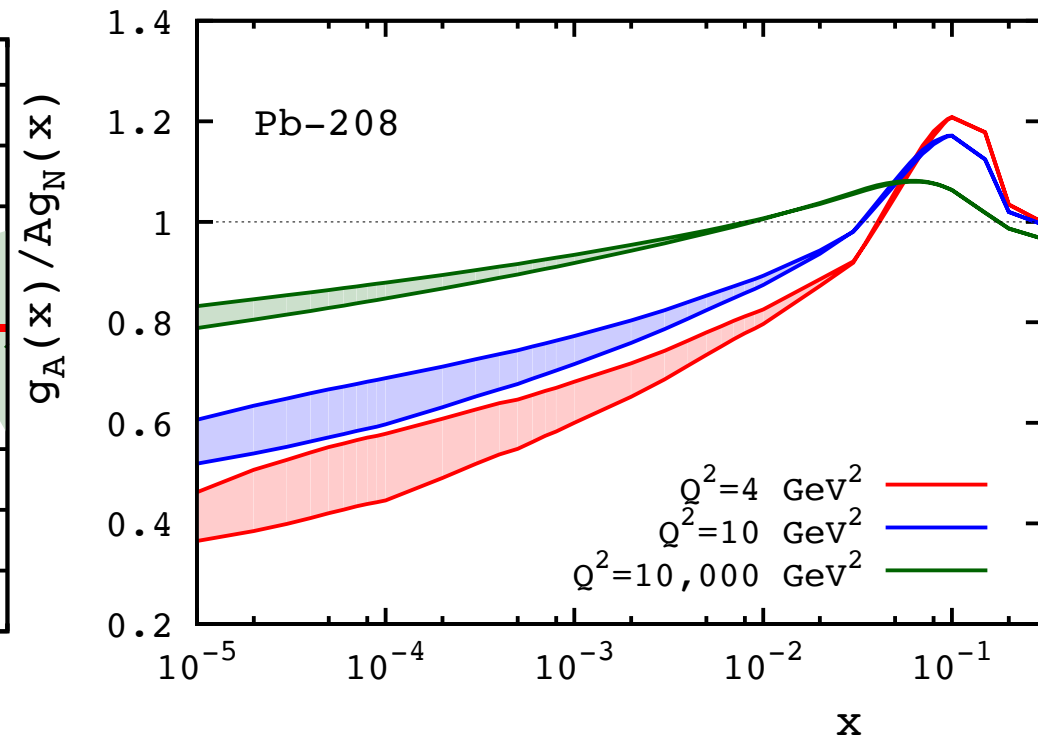
Leading twist nuclear shadowing model-3

- Predicts form of nuclear PDFs at $\mu^2=3-4 \text{ GeV}^2 \rightarrow$ input for DGLAP evolution.
- Magnitude of shadowing is determined by proton diffractive PDFs, [ZEUS](#), [H1 2006](#) \rightarrow naturally predicts large shadowing for $g_A(x, \mu^2)$.
- Presents alternative to small-x extrapolation of nPDFs from global QCD fits.

Leading twist (LTA) vs. EPPS16



DGLAP evolution



- LTA shadowing does NOT level off at small x .
- EIC and LHeC are ideal to test these predictions at small x .

Leading twist nuclear shadowing model: b-dep.

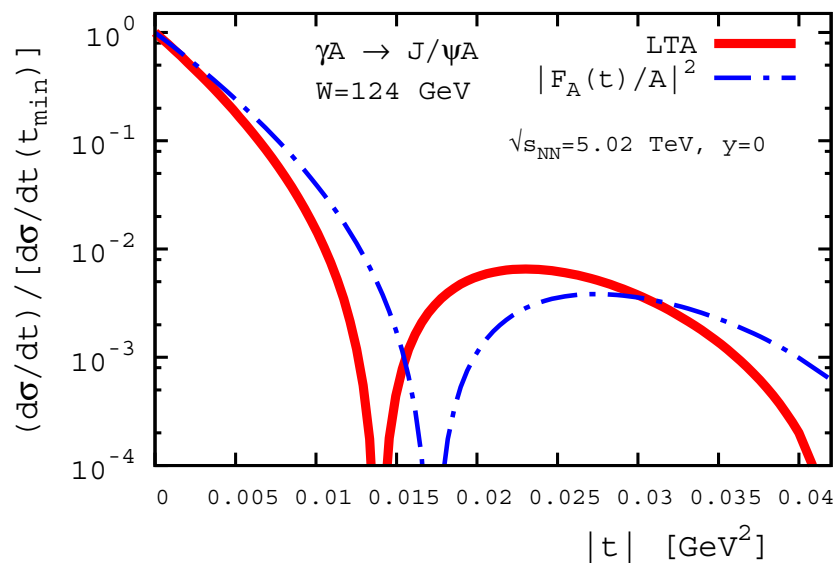
- Model also predicts **impact parameter** dependent nuclear PDFs $g_A(x, b, Q^2)$ (GPDs in a special limit):

$$xf_{j/A}(x, Q_0^2, b) = AT_A(b)xf_{j/N}(x, Q_0^2) - 8\pi A(A-1)B_{\text{diff}} \Re e \frac{(1-i\eta)^2}{1+\eta^2} \int_x^{0.1} dx_{\mathbb{P}} \beta f_j^{D(3)}(\beta, Q_0^2, x_{\mathbb{P}}) \\ \times \int_{-\infty}^{\infty} dz_1 \int_{z_1}^{\infty} dz_2 \rho_A(\vec{b}, z_1) \rho_A(\vec{b}, z_2) e^{i(z_1-z_2)x_{\mathbb{P}}m_N} e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}^j(x, Q_0^2) \int_{z_1}^{z_2} dz' \rho_A(\vec{b}, z')}$$

- Correlations between **impact parameter b** and **x** (shadowing is stronger in nucleus center) can be experimentally tested:

- shift of t-dependence of $\gamma A \rightarrow J/\psi A$ cross section in UPCs

oscillations of beam-spin nuclear DVCS asymmetry at EIC and LHeC.

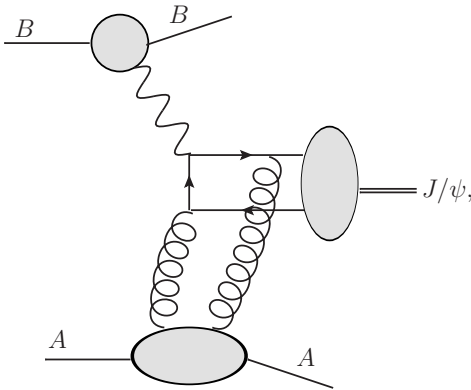


- Resulting shift = **5-11% broadening** in impact parameter space of gluon nPDF, [Guzey, Strikman, Zhilov, PRC 95 \(2017\) 025204](#)

- Similar effect is predicted to be caused by saturation, [Cisek, Schafer, Szczurek, PRC86 \(2012\) 014905](#); [Lappi, Mäntysaari, PRC 87 \(2013\) 032201](#); [Toll, Ullrich, PRC87 \(2013\) 024913](#); [Goncalves, Navarra, Spiering, arXiv:1701.04340](#)

Ultrapерipheral collisions

- Ions can interact at large impact parameters $b \gg R_A + R_B \rightarrow$ **ultrapерipheral collisions** (UPCs) \rightarrow strong interaction suppressed \rightarrow interaction via quasi-real photons, Fermi (1924), von Weizsäcker; Williams (1934)



- UPCs correspond to empty detector with only two lepton/pion tracks from vector meson decay
- Nuclear coherence by veto on neutron production by Zero Degree Calorimeters (ZDCs) and selection of small p_t

- Coherent photoproduction of vector mesons in UPCs:

$$\frac{d\sigma_{AA \rightarrow AAJ/\psi}(y)}{dy} = N_{\gamma/A}(y)\sigma_{\gamma A \rightarrow AJ/\psi}(y) + N_{\gamma/A}(-y)\sigma_{\gamma A \rightarrow AJ/\psi}(-y)$$

Photon flux from QED:

- high intensity $\sim Z^2$
- high photon energy $\sim \gamma_L$

Photoproduction cross section

$$y = \ln[W^2 / (2\gamma_L m_N M_V)]$$

= J/ψ rapidity

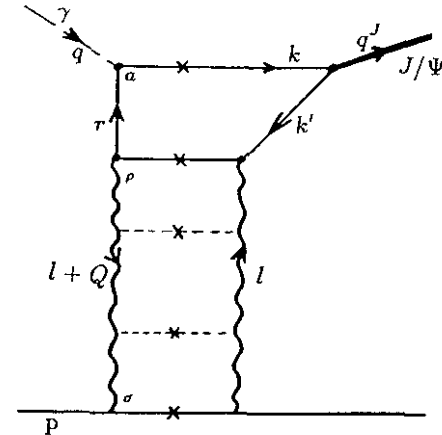
UPCs@LHC = γp and γA interactions at unprecedentedly large energies, Baltz *et al.*, The Physics of Ultrapерipheral Collisions at the LHC, Phys. Rept. 480 (2008) 1

Exclusive charmonium photoproduction

- In leading logarithmic approximation (LLA) of pQCD and non-relativistic approximation for charmonium wave function (J/ψ , $\psi(2S)$), Ryskin, Z. Phys. C57 (1993) 89

$$\frac{d\sigma_{\gamma T \rightarrow J/\psi T}(W, t=0)}{dt} = C(\mu^2) [xG_T(x, \mu^2)]^2$$

$$x = \frac{M_{J/\psi}^2}{W^2}, \quad \mu^2 = M_{J/\psi}^2/4 = 2.4 \text{ GeV}^2 \quad C(\mu^2) = M_{J/\psi}^3 \Gamma_{ee} \pi^3 \alpha_s(\mu^2) / (48 \alpha_{em} \mu^8)$$



- Beyond LLA and NR approximation for charmonium:**

- **k_T-factorization**, Ryskin, Roberts, Martin, Levin, Z. Phys. C76 (1997) 231; Martin, Nockles, Ryskin, Teubner, PLB 662 (2008) 252; Jones, Martin, Ryskin, Teubner, JHEP 1311 (2013) 085: gluon and quark k_T → additional suppression by factor 1/2; some NLO effects using unintegrated g(x, k_T) reducing to NLO g(x, μ²) + skewness factor to relate GPDs and PDFs → **successful LO and NLO pQCD description of HERA and LHCb data on charmonium photoproduction**

- **k_T-factorization**, Cisek, Schafer, Szczurek, JHEP 1504 (2014) 159: unintegrated gluon distribution with saturation seems to be somewhat preferred by LHCb data on J/ψ photoproduction

- **color dipole model framework**, Frankfurt, Koepf, Strikman (1998): relativistic effects in charmonium wf are very important; gluon virtualities are much higher than in NR case; Goncalves, Machado 2008-present; Lappi, Mäntysaari (2013): dipole cross section with/without saturation; large dependence on charmonium wf; phenomenological description of HERA and UPC data for proton. For Pb targets, nuclear suppression due to shadowing is generally underestimated.

- **Collinear factorization at NLO**, Ivanov, Schaefer, Szymanowski, Krasnikov (2015); Jones, Martin, Ryskin, Teuber (2015): large ~200% NLO corrections and scale dependence

Coherent charmonium photoproduction on nuclei

- Application to nuclear targets:

$$\sigma_{\gamma A \rightarrow J/\psi A}(W_{\gamma p}) = \kappa_{A/N}^2 \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \left[\frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} \right]^2 \Phi_A(t_{\min})$$

Small correction $\kappa_{A/N} \approx 0.90-95$ due to different skewness of nuclear and nucleon GPDs

From HERA and LHCb

Nucleus/proton gluon ratio R_g

From nuclear form factor

$$\Phi_A(t_{\min}) = \int_{-\infty}^{t_{\min}} dt |F_A(t)|^2$$

- Well-defined impulse approximation (IA):

$$\sigma_{\gamma A \rightarrow J/\psi A}^{\text{IA}}(W_{\gamma p}) = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \Phi_A(t_{\min})$$

- Nuclear suppression factor **S** (like R_{pA} or R_{AA}) → direct access to R_g

$$S(W_{\gamma p}) = \left[\frac{\sigma_{\gamma Pb \rightarrow J/\psi Pb}}{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{\text{IA}}} \right]^{1/2} = \kappa_{A/N} \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} = \kappa_{A/N} R_g$$

Model-independently from data on UPC@LHC (ALICE, CMS) and HERA, LHCb *Abelev et al.* [ALICE], PLB718 (2013) 1273; *Abbas et al.* [ALICE], EPJ C 73 (2013) 2617; [CMS] PLB 772 (2017) 489

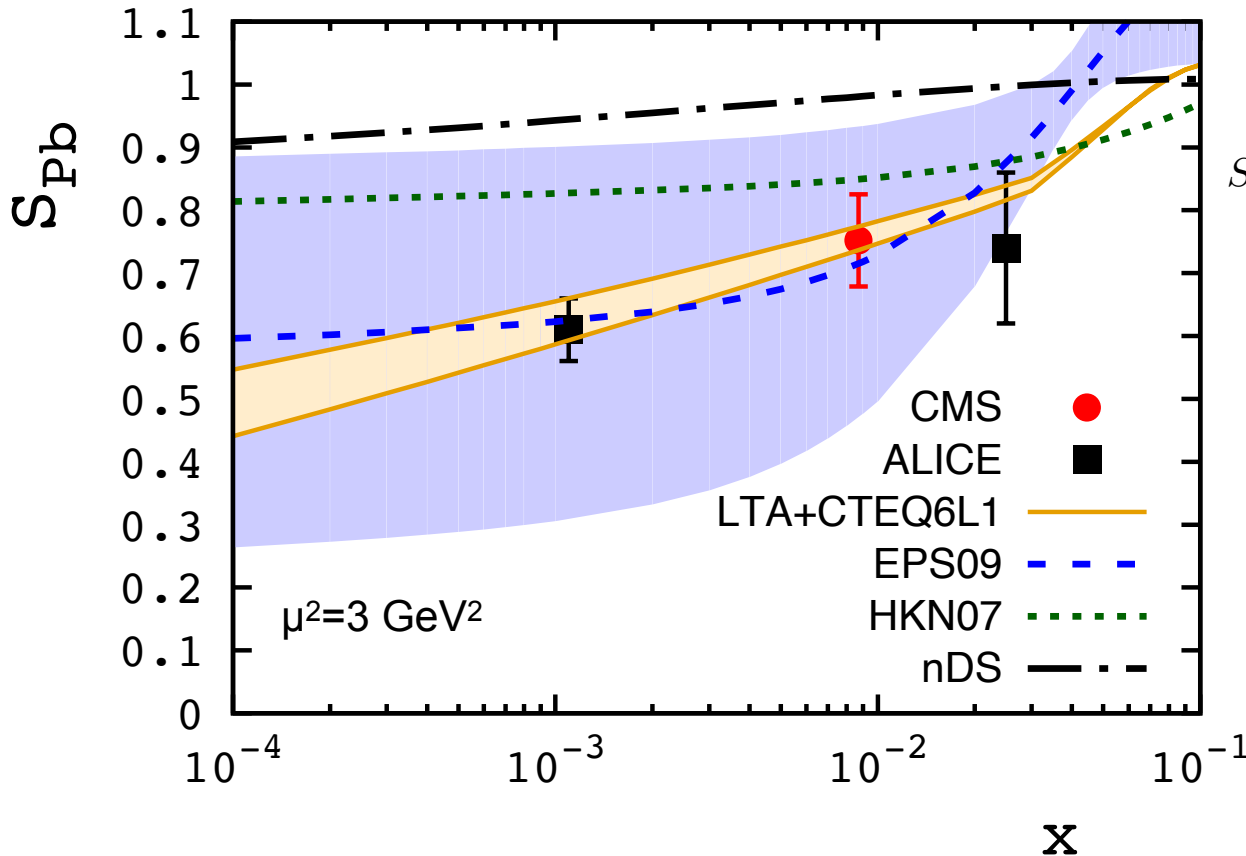
From global QCD fits of nPDFs or leading twist nuclear shadowing model

Guzey, Kryshen, Strikman, Zhalov, PLB 726 (2013) 290, Guzey, Zhalov, JHEP 1310 (2013) 207

S_{Pb} from ALICE and CMS UPC data vs. theory

- J/ψ photoproduction in Pb-Pb UPCs at LHC, [Abelev et al. \[ALICE\], PLB718 \(2013\) 1273](#);

[Abbas et al. \[ALICE\], EPJ C 73 \(2013\) 2617](#); [CMS Collab., PLB 772 \(2017\) 489](#) → suppression factor S_{Pb}



$$S(W_{\gamma p}) = \left[\frac{\sigma_{\gamma Pb \rightarrow J/\psi Pb}}{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{\text{IA}}} \right]^{1/2} = \kappa_{A/N} \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)}$$

LTA: [Guzey, Zhavoronkov JHEP 1310 \(2013\) 207](#)

EPS09: [Eskola, Paukkunen, Salgado, JHEP 0904 \(2009\) 065](#)

HKN07: [Hirai, Kumano, Nagai, PRC 76 \(2007\) 065207](#)

nDS: [de Florian, Sassot, PRD 69 \(2004\) 074028](#)

- Good agreement with ALICE data on coherent J/ψ photoproduction in Pb-Pb UPCs@2.76 TeV → **evidence of large gluon shadowing, $R_g(x=0.001) \approx 0.6$.**
- Also good description using central value of EPS09, EPPS16, large uncertainty.
- Color dipole models generally give too little suppression, [Goncalves, Machado \(2011\)](#); [Lappi, Mäntysaari, 2013](#), but proton shape fluctuations help, [Mäntysaari, Schenke, PLB 772 \(2017\) 681](#)

Summary

- Small- x nPDFs — especially the gluon nPDFs — are poorly constrained by available fixed-target and Runs 1 and 2 pA LHC data.
- An alternative is leading twist nuclear shadowing model, which connects shadowing and diffraction and predicts large gluon shadowing.
- Photoproduction of J/ψ in Pb-Pb UPCs at the LHC gives direct evidence of large gluon nuclear shadowing $R_g(x=0.001, \mu^2 \approx 3 \text{ GeV}^2) = 0.6$.
- There are several opportunities to extend current theoretical approaches to the calculation of charmonium and dijet photoproduction in UPCs@LHC.
- Challenge: include UPC data in global QCD fits for nPDFs.
- UPCs@LHC = forerunner of measurements of nPDFs at an EIC and LHeC/FCC-eh, where nPDFs in a wide x - Q^2 range will be determined with high precision.