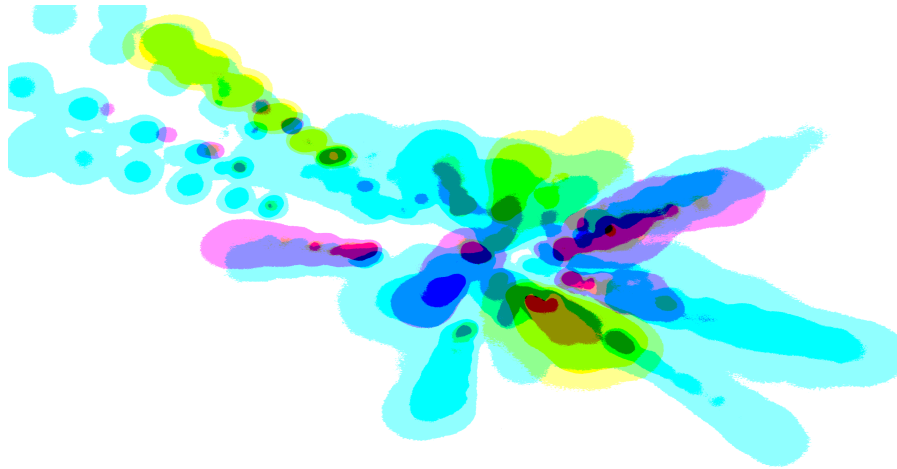


# Gluon saturation and spin physics: a window into axion—like dynamics



Raju Venugopalan  
(BNL)

Gluon saturation at the EIC: Snowmass meeting, January 28, 2021

# Gluon saturation and spin physics: a window into axion—like dynamics

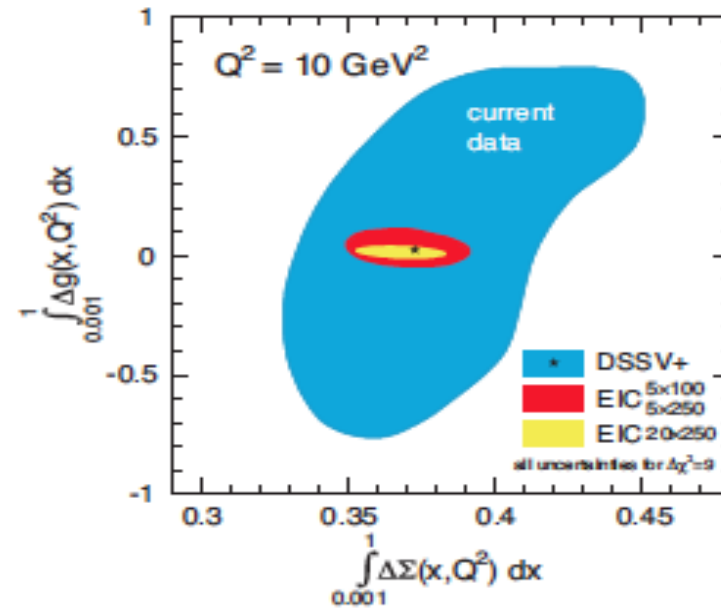
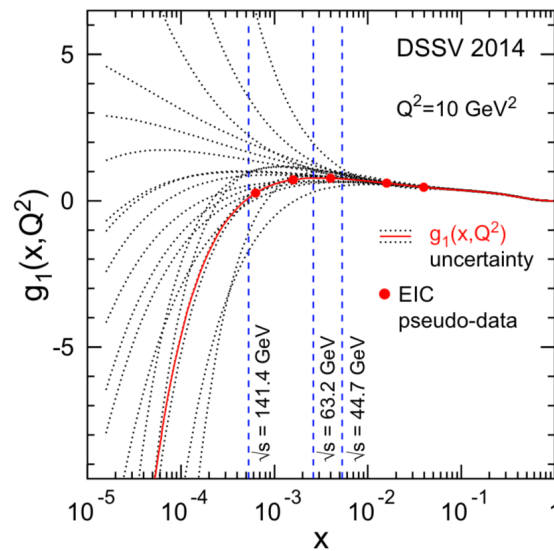


Work\* in collaboration with Andrey Tarasov (The OSU and CFNS)

- The first paper is published in PRD: <https://arxiv.org/abs/2008.08104> and others are in preparation

# Resolving the proton's spin puzzle: the $g_1$ structure function

Aschenauer et al., arXiv:1708.01527  
Rep. Prog. Phys. 82, 024301 (2019)



In the parton model, at leading twist

$$g_1(x_B, Q^2) = \frac{1}{2} \sum_f e_f^2 (\Delta q_f(x_B, Q^2) + \Delta \bar{q}_f(x_B, Q^2))$$

$$\Delta q(x) = \text{[Diagram: A red circle with a white dot in the center. A green arrow points from the dot to the right. A blue arrow points from the right to the dot.]} - \text{[Diagram: A red circle with a white dot in the center. A blue arrow points from the dot to the left. A green arrow points from the left to the dot.]}$$

$$\text{Most generally, } g_1(x, Q^2) = \frac{1}{8\lambda} \epsilon_T^{\mu\nu} \tilde{W}_{\mu\nu}(q, P, S)$$

where  $\tilde{W}^{\mu\nu}$  is the antisymmetric part of  $W^{\mu\nu}$   
 $S^\mu = \frac{2\lambda}{m_p} P^\mu$  and  $\lambda = \pm 1/2$

## Iso-singlet axial vector current and the chiral anomaly

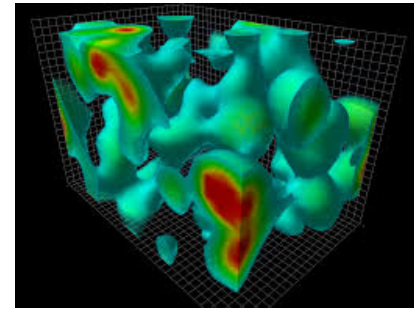
$$\int_0^1 g_1(x, Q^2) = \frac{1}{18} (3F + D + 2\Sigma(Q^2)) \rightarrow S^\mu \Delta\Sigma = \langle P, S | \bar{\psi} \gamma^\mu \gamma_5 \psi | P, S \rangle \equiv \langle P, S | j_5^\mu | P, S \rangle$$

$U_A(1)$  violation from the  
chiral anomaly  
-famous anomaly equation:

$$\partial_\mu J_5^\mu = 2n_f \partial_\mu K^\mu + \sum_{i=1}^{n_f} 2im_i \bar{q}_i \gamma_5 q_i$$

where the Chern-Simons current

$$K_\mu = \frac{g^2}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} \left[ A_a^\nu \left( \partial^\rho A_a^\sigma - \frac{1}{3} g f_{abc} A_b^\rho A_c^\sigma \right) \right]$$



Divergence of C-S current  $\propto$   
topological charge density

Identification of CS charge with  $\Delta G$  is intrinsically ambiguous

... *the latter is gauge invariant, the former is not*

Jaffe, Manohar (1990)

$$K_\mu \rightarrow K_\mu + i \frac{g}{8\pi^2} \epsilon_{\mu\nu\alpha\beta} \partial^\nu \left( U^\dagger \partial^\alpha U A^\beta \right) + \frac{1}{24\pi^2} \epsilon_{\mu\nu\alpha\beta} \left[ \underbrace{(U^\dagger \partial^\nu U)(U^\dagger \partial^\alpha U)(U^\dagger \partial^\beta U)} \right]$$

"Large gauge transformation"  
- deep consequence of topology

R. Jaffe: identification of  $K^\mu$  with  $\Delta G$   
a source of much confusion  
in the literature (Varennia lectures, 2007)

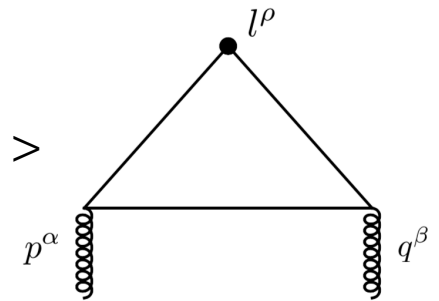


## Perturbative & nonperturbative interplay: The triangle graph

The key role of the  $U_A(1)$  anomaly is seen from the structure of the triangle graph in the off-forward limit ( $l^\mu \rightarrow 0, t = l^2 \rightarrow 0$ ) of the matrix element of  $\langle P', S | J_\mu^5 | P, S \rangle$

$$\langle P', S | J_5^\mu | P, S \rangle = G_A(t) S_\mu + l \cdot S l_\mu G_P(t)$$

Jaffe, Manohar (1990)



The computation of this has an infrared pole proportional to  $\frac{l^\mu}{l^2} F \tilde{F}$

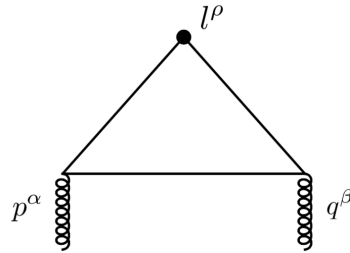
This perturbative/nonperturbative interplay gives a highly non-trivial result:

$$\langle P', S | J_5^\mu | P, S \rangle \rightarrow \frac{l \cdot S l^\mu}{l^2} \kappa(t) + \left( S^\mu - \frac{l \cdot S l^\mu}{l^2} \right) \lambda(t)$$

The infrared pole in  $G_A(t)$  must be canceled by a pole in  $G_P(t)$  – the corresponding **Wess-Zumino-Witten** term for the  $\eta'$

For that to hold, one must have  $\kappa(0) = \lambda(0) \propto F \tilde{F}$  the topological charge density

## Perturbative & nonperturbative interplay: The triangle graph



Tarasov, RV, arXiv:2008.08104

with the (manifestly) gauge invariant result for the forward matrix element

$$\Sigma(Q^2) = \frac{n_f \alpha_s}{2\pi M_N} \lim_{l_\mu \rightarrow 0} \langle P', S | \frac{1}{i \not{l} \cdot s} \text{Tr} \left( F \tilde{F} \right) (0) | P, S \rangle$$

This result, generalization to  $g_1(x, Q^2)$ , and interplay with non-perturbative physics can be explored efficiently in a worldline framework

Not addressed in lot of “pQCD” literature  
Deeply profound since intimately tied  
to mechanism (the “UA(1) problem”) whereby  
the  $\eta'$  gets its mass

The authors of refs. [12,13] suggest that the triangle diagram provides a *local* probe of the gluon distribution in the target. If this were true,  $\Delta\Gamma$  would be protected from infrared problems and the calculation would be reliable in the usual sense. However, we believe there are strong arguments that the triangle is not local in the sense required. It is therefore not necessarily protected from infrared effects, in particular from the non-perturbative effects which give the  $\eta'$  a mass<sup>\*</sup>.

Jaffe, Manohar (1990)

# The triangle anomaly in the worldline formalism

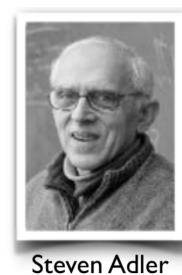
Tarasov, RV

Point particle Bose and Grassmann path integrals

$$\Gamma[A, A_5] = -\frac{1}{2} \text{Tr}_c \int_0^\infty \frac{dT}{T} \int \mathcal{D}x \int_{AP} \mathcal{D}\psi$$

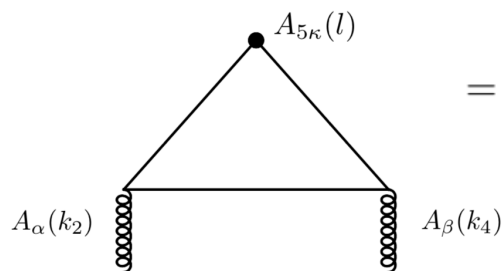
$$\times \exp \left\{ - \int_0^T d\tau \left( \frac{1}{4} \dot{x}^2 + \frac{1}{2} \psi_\mu \dot{\psi}^\mu + ig \dot{x}^\mu A_\mu - ig \psi^\mu \dot{\psi}^\nu F_{\mu\nu} - \underbrace{2i\psi_5 \dot{x}^\mu \psi_\mu \dot{\psi}_\nu A_5^\nu + i\psi_5 \partial_\mu A_5^\mu + (D-2)A_5^2}_{\text{Axial vector couplings}} \right) \right\}$$

↓ Wilson line
↓ Spin precession



$$\langle P', S | J_5^\kappa | P, S \rangle = \int d^4y \frac{\partial}{\partial A_{5\kappa}(y)} \Gamma[A, A_5] \Big|_{A_5=0} e^{ily} \equiv \Gamma_5^\kappa[l]$$

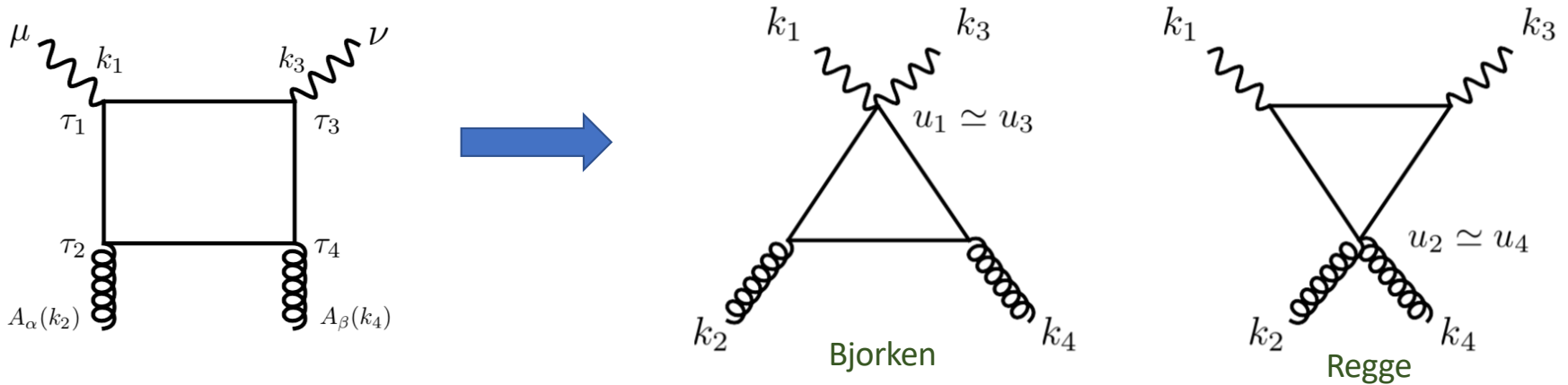
$$= \frac{1}{4\pi^2} \underbrace{l^\kappa}_{\text{Famous infrared pole of anomaly}} \int \frac{d^4k_2}{(2\pi)^4} \int \frac{d^4k_4}{(2\pi)^4} \text{Tr}_c F_{\alpha\beta}(k_2) \tilde{F}^{\alpha\beta}(k_4) (2\pi)^4 \delta^4(l + k_2 + k_4)$$



Famous infrared pole of anomaly



## Finding triangles in boxes in Bjorken and Regge asymptotics



Tarasov, RV, arXiv:2008.08104

Remarkably, box diagram for  $g_1(x_B, Q^2)$  has the same structure in both limits, dominated by the triangle anomaly !

$$S^\mu g_1(x_B, Q^2) \Big|_{Q^2 \rightarrow \infty} = \sum_f e_f^2 \frac{\alpha_s}{i\pi M_N} \int_{x_B}^1 \frac{dx}{x} \left(1 - \frac{x_B}{x}\right) \int \frac{d\xi}{2\pi} e^{-i\xi x} \lim_{l_\mu \rightarrow 0} \frac{l^\mu}{l^2} \langle P', S | \text{Tr}_c F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0) | P, S \rangle + \text{non-pole} \frac{\Lambda^2_{QCD}}{Q^2} \ll 1$$

$$S^\mu g_1(x_B, Q^2) \Big|_{x_B \rightarrow 0} = \sum_f e_f^2 \frac{\alpha_s}{i\pi M_N} \int_{x_B}^1 \frac{dx}{x} \int \frac{d\xi}{2\pi} e^{-i\xi x} \lim_{l_\mu \rightarrow 0} \frac{l^\mu}{l^2} \langle P', S | \text{Tr}_c F_{\alpha\beta}(\xi n) \tilde{F}^{\alpha\beta}(0) | P, S \rangle + \text{non-pole} \frac{x_B}{x} \ll 1$$

Hence  $g_1$  is topological in both asymptotic limits of QCD...its relation to  $\Delta g(x, Q^2)$  is unclear

## The role of pseudoscalar fields in resolving the $U_A(1)$ problem

We **\*\*did not\*\*** previously write down the most general form of the **\*\*imaginary part\*\*** of the worldline effective action :

D'Hoker, Gagne, hep-th/9508131

$$W_{\mathfrak{S}}[\Phi, \Pi, A] = \frac{1}{8} \int_{-1}^1 d\alpha \int_0^\infty dT \mathcal{N} \int \mathcal{D}x \mathcal{D}\psi \text{Tr}_c \mathcal{J}(0) \mathcal{P} e^{-\int_0^T d\tau \mathcal{L}_\alpha}$$

with  $\mathcal{L}_\alpha = \frac{\dot{x}^2}{2\mathcal{E}} + \frac{1}{2} \psi_A \dot{\psi}_A - i\dot{x} \cdot A + \frac{i}{2} \mathcal{E} \psi_\mu F_{\mu\nu} \psi_\nu + \frac{1}{2} \mathcal{E} \alpha^2 \Phi^2 + \frac{1}{2} \mathcal{E} \Pi^2$

$$+ i \varepsilon \psi_\mu \psi_5 D_\mu \Pi + \alpha \varepsilon \psi_5 \psi_6 [\Pi, \Phi]$$

and  $\mathcal{J}(0) \propto \psi_5 \psi_6 \{\Pi, \Phi\}$

where  $\Phi$  is the chiral condensate

Expanding out the worldline Lagrangian, the first nontrivial contribution to  $W_l$  is the **Wess-Zumino-Witten term** !

This WZW term can be re-written as  $\propto \eta_0 F \tilde{F}$

Leutwyler (1996); Herrera-Sikody et al (1997); Leutwyler-Kaiser (2000)

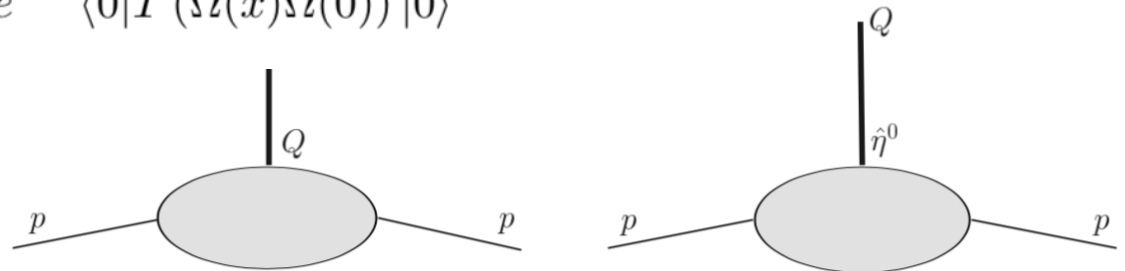
*The zero mode part of it exactly cancels the  $\frac{l^\mu}{l^2}$  “perturbative” contribution to the anomaly*

## Topological charge screening of spin

Topological charge  $\Omega = \frac{\alpha_S}{8\pi} \text{Tr} (F\tilde{F})$

Topological susceptibility  $\chi(t) = i \int d^4x e^{il \cdot x} \langle 0 | T (\Omega(x) \Omega(0)) | 0 \rangle$

Shore, Veneziano, PLB (1990); NPB (1992)  
Narison, Shore, Veneziano, hep-ph/9812333



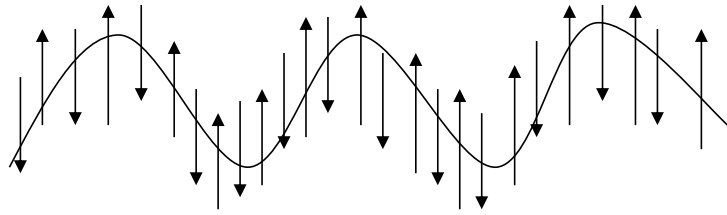
$$\Sigma(Q^2) = \frac{1}{3m_N} \Delta C_1^S(\alpha_S) \left( g_{QNN} \chi(0) + g_{\eta' NN} \sqrt{\chi'(0)} \right) \approx 0$$

Narison, Shore and Veneziano (see [hep-ph/0701171](#)) argue that the result is dominated by the derivative of the topological susceptibility ( $\chi'$ ) in the QCD vacuum

- which they compute (using QCD sum rules) to be in agreement with the HERMES and COMPASS data

Lattice computations: [χQCD collaboration](#), arXiv: 1806.08366v2

## Conjecture: “Axion-like” effective action for Regge limit



If (at small  $x$ ), the background field couples to a large # of quark and gluon world-line trajectories, one can construct from their density matrix the *effective action for an ensemble of spinning, colored partons*

$$g_1(x_B, Q^2) \propto \int [D\rho] W_Y^P[\rho] \int [D\eta_0] \tilde{W}_Y^{P,S}[\eta_0] \\ \times \int [dA] \Omega(X) \exp \left( iS_{\text{YM}}[A] + \frac{i}{N_c} \text{Tr}_c (\rho U_{-\infty, \infty}) \right) \\ \times \exp \left( \int d^4 X \left( -\frac{\Omega^2}{2\chi_{\text{YM}}} - \sqrt{\frac{N_c}{2}} \Omega \eta_0 + \frac{1}{2} F^2 \eta_0 \partial^2 \eta_0 \right) \right)$$

Axion-like effective action  
Veneziano, Mod. Phys. Lett. (1989)  
Hatsuda, PLB (1990)

Can equivalently be written as:  $\exp \left( - \int d^4 X (F^2 \eta_0 \partial^2 \eta_0 + (\eta_0 + \theta) \Omega + \chi_{\text{YM}} (\eta_0 + \theta)^2) \right)$

With this form of the effective action, we see explicitly how the pole of the anomaly is canceled by  $\eta_0$  exchange

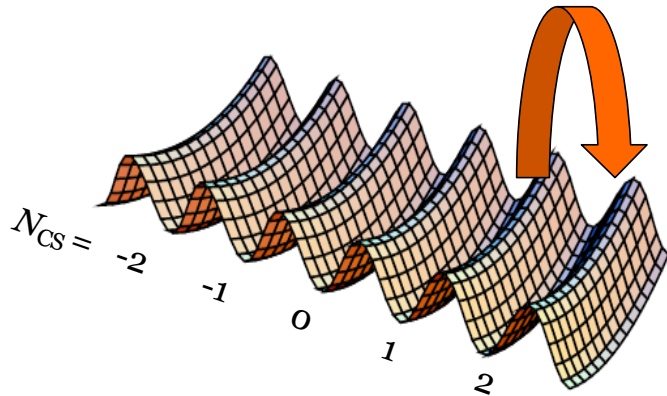
Tarasov, RV, in preparation

# Spin diffusion via sphaleron transitions in topologically disordered media

Two scales – the height of the barrier given by  $m_{\eta'}^2 = 2n_f \frac{\chi_{\text{YM}}}{F^2}$  (Witten-Veneziano formula)

- the saturation scale  $Q_s$

When  $Q_s^2 \gg m_{\eta'}^2$  over the barrier gauge configurations dominate over instanton configurations



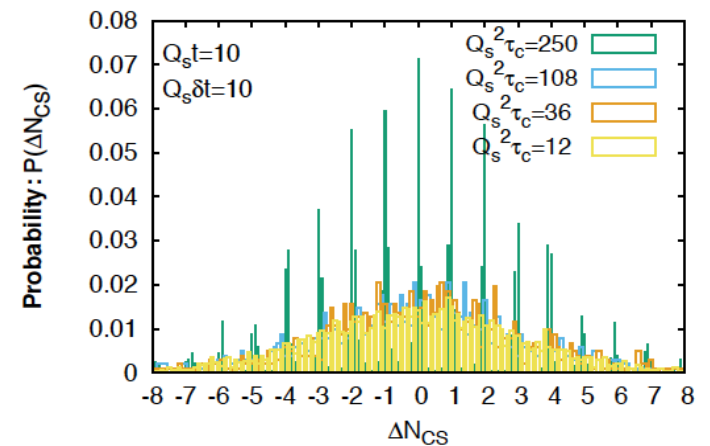
Over the barrier (**sphaleron**) transitions between different topological sectors of QCD vacuum...

characterized by integer valued Chern-Simons #

--analogous to proposed mechanism for Electroweak Baryogenesis

Axion-like dynamics in a hot QCD plasma - McLerran, Mottola, Shaposhnikov (1990)

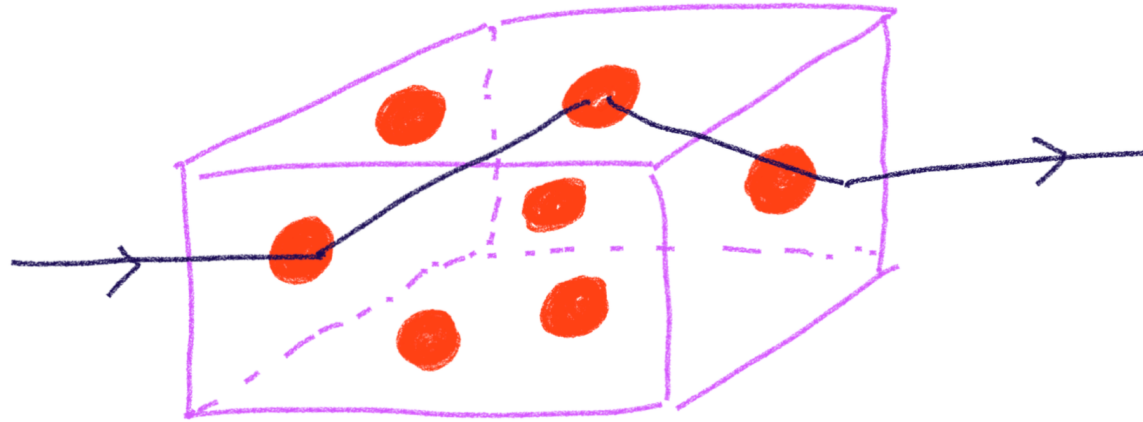
Topological transitions in overoccupied gauge fields



Mace, Schlichting, RV: PRD (2016) 1601.07342



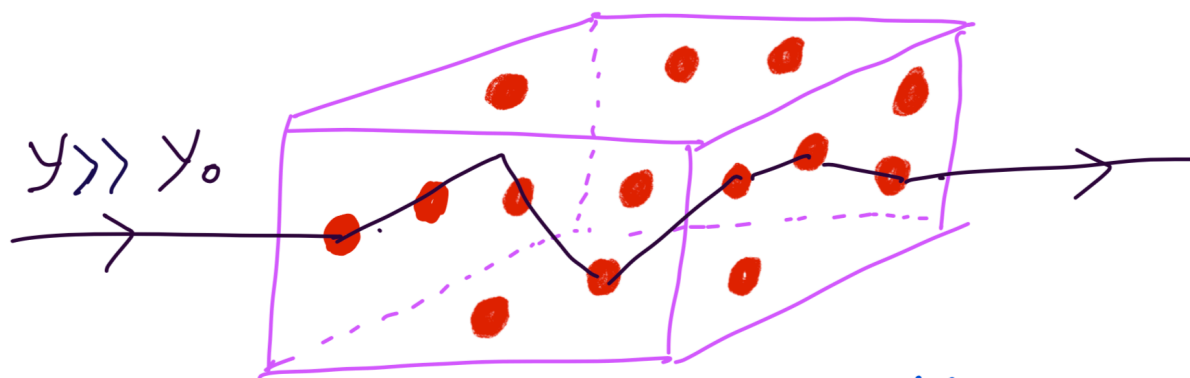
## Spin diffusion via sphaleron transitions in topologically disordered media



- Dense gluon blob of size  $1/\sqrt{6}$   
given by  $\Gamma_{\text{sphaleron}}^Y = \# 6^2$   
& carrying topological charge

Helicity flip for massless quarks given by  $n_L - n_R = n_f v$ , (Atiyah – Singer index theorem)  
where  $v$  is the topological charge and  $\Gamma_{\text{sphaleron}}^Y \propto \langle v^2 \rangle$

## Spin diffusion via sphaleron transitions in topologically disordered media

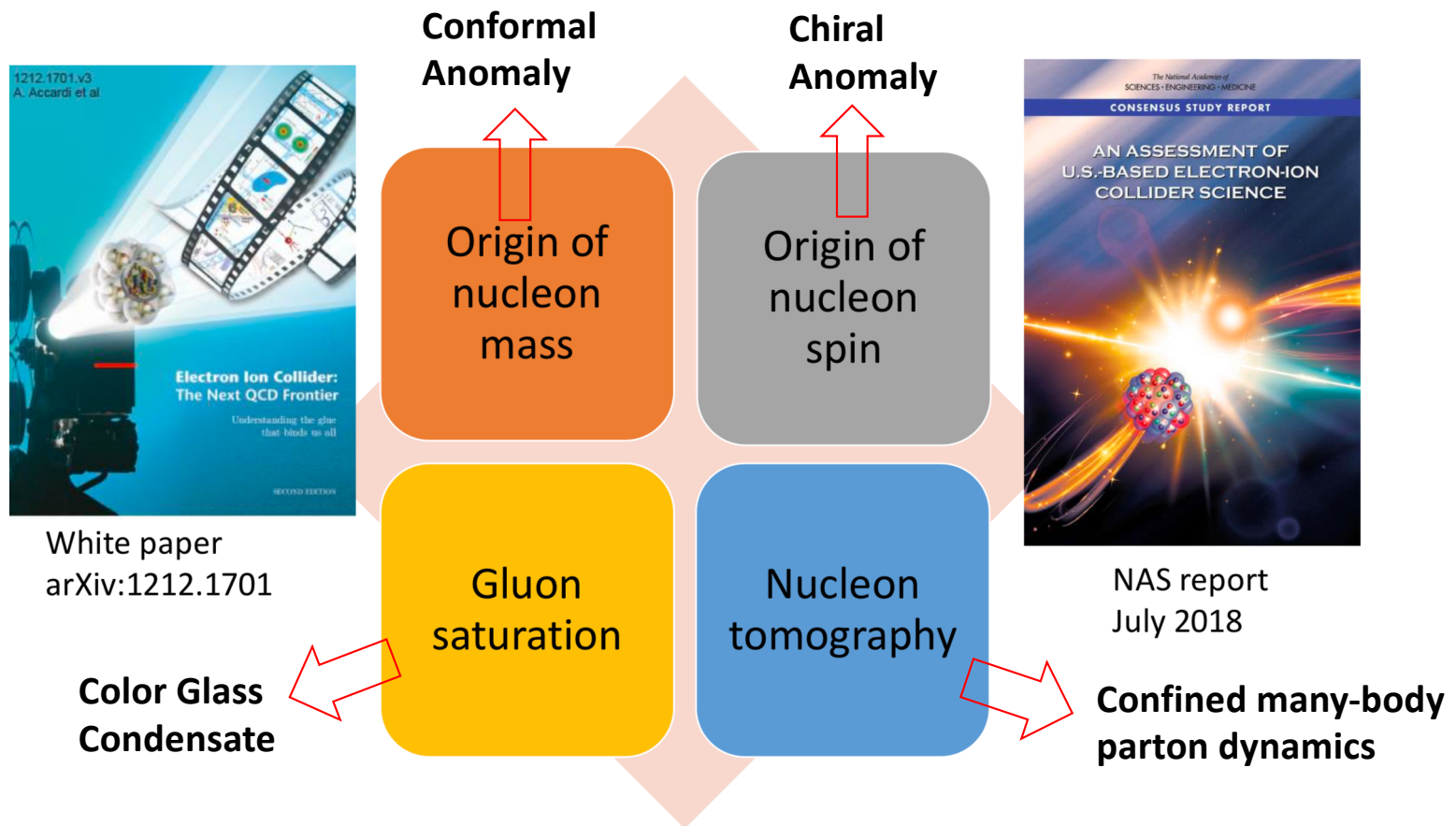


As  $x$  decreases ( $y \gg y_0$ ), the  
k lobs become smaller ( $Q_5(y) > Q_5(y_0)$ )  
and denser with more topological charge

Expect very rapid quenching of  $g_1$  at small  $x_B$ : interplay between QCD evolution of the topological charge and the saturation scale

Stay tuned for quantitative studies fleshing out this picture.

Outlook: these ideas can be tested at the EIC !



Precision probes of the strong interplay between perturbative many-body parton dynamics and non-perturbative structure ("the ether") of the QCD vacuum