



Search for Charged Lepton Flavor Violation at the Electron-Ion Collider

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Based on ongoing work with Abhay Deshpande (SBU/BNL/CFNS), Jin Huang (BNL), Krishna Kumar (UMass, Amherst), Yuxiang Zhao (IMP,CAS)

CFNS workshop: EIC opportunities for Snowmass2021

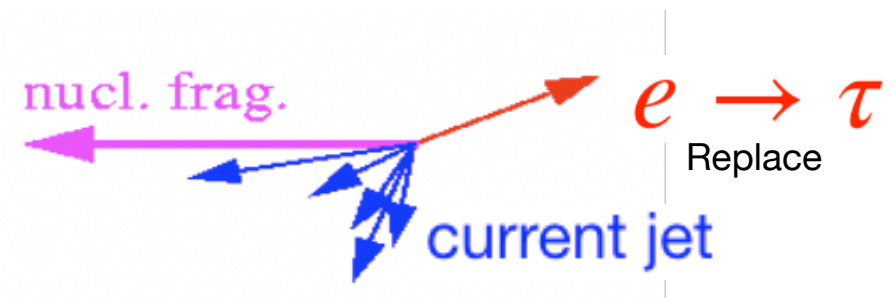
Jan 25, 2021

CLFV in EIC: $e \rightarrow \tau$ Transition

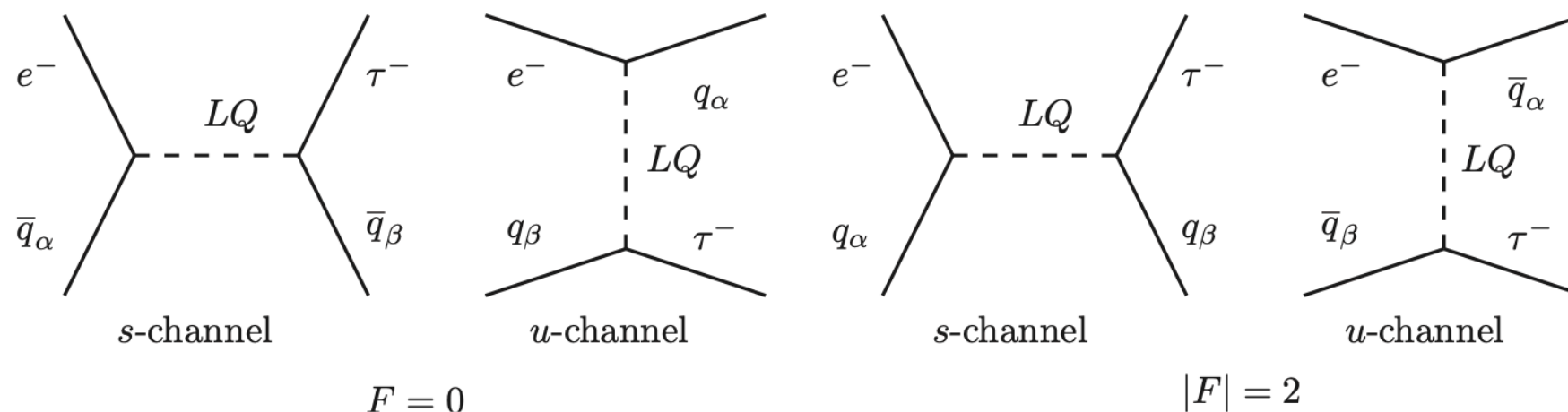
- While CLFV(1,2) is stringently constrained, limits on CLFV(1,3) are weaker by several orders of magnitude.
- Various models predict enhanced sensitivity for CLFV(1,3) while suppressing CLFV(1,2)

- CFLV in DIS:

$$e + p \rightarrow \tau + X$$

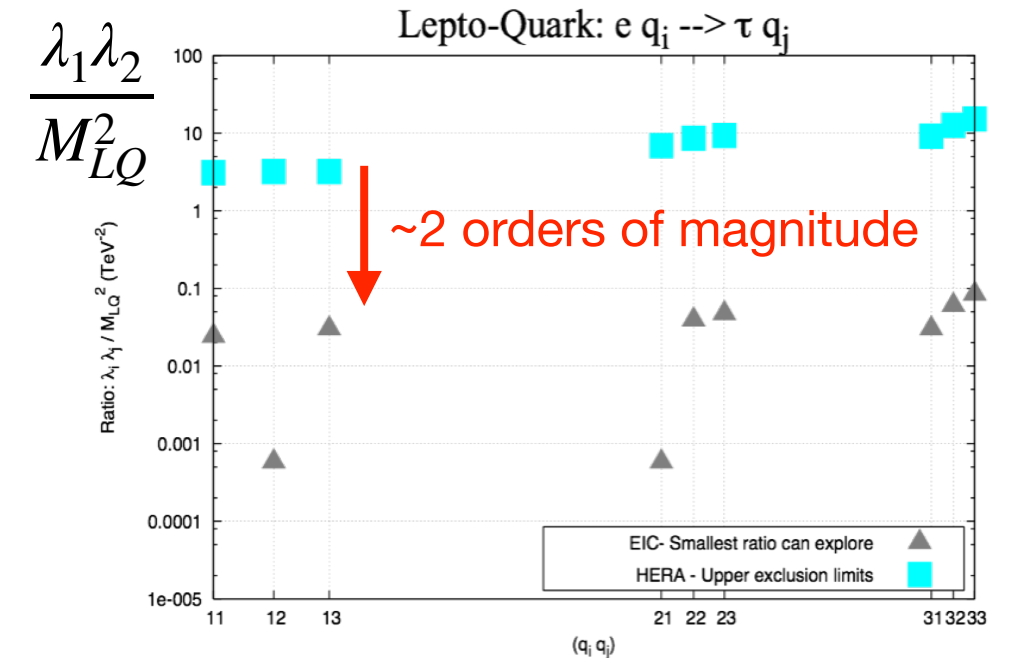


- **Leptoquark** models provide a good benchmark to study sensitivity
 - CLFV at tree level processes; allow coupling between same and different generations of quarks and leptons at initial state and final state

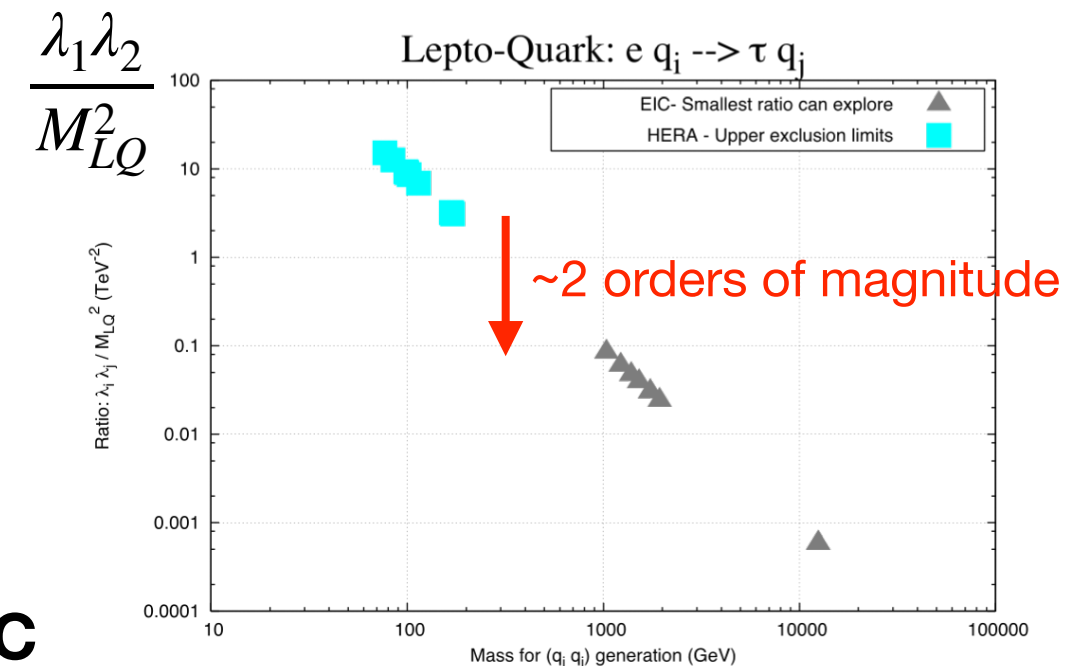


$e \rightarrow \tau$ mediated by LQs in DIS

- At HERA, the first electron-proton collider, H1 and ZEUS have searched for Leptoquarks (CLFV) and set limits
 - $\sqrt{s} \sim 320$ GeV
 - Luminosity $\sim 10^{30-31} \text{ cm}^{-2}\text{s}^{-1}$
 - Dataset: $\sim 0.5 \text{ fb}^{-1}$
- First phenomenological study for CLFV mediated by LQs at EIC done by Gonderinger, Ramsey-Musolf, JHEP (2010) 2010: 45
- At the EIC, with much higher luminosity, $10^{30-31} \rightarrow 10^{33-34} \text{ cm}^{-2}\text{s}^{-1}$, ~ 2 orders of magnitude improvement of the sensitivity comparing to HERA is expected



Assume 0.1 fb cross-section sensitivity

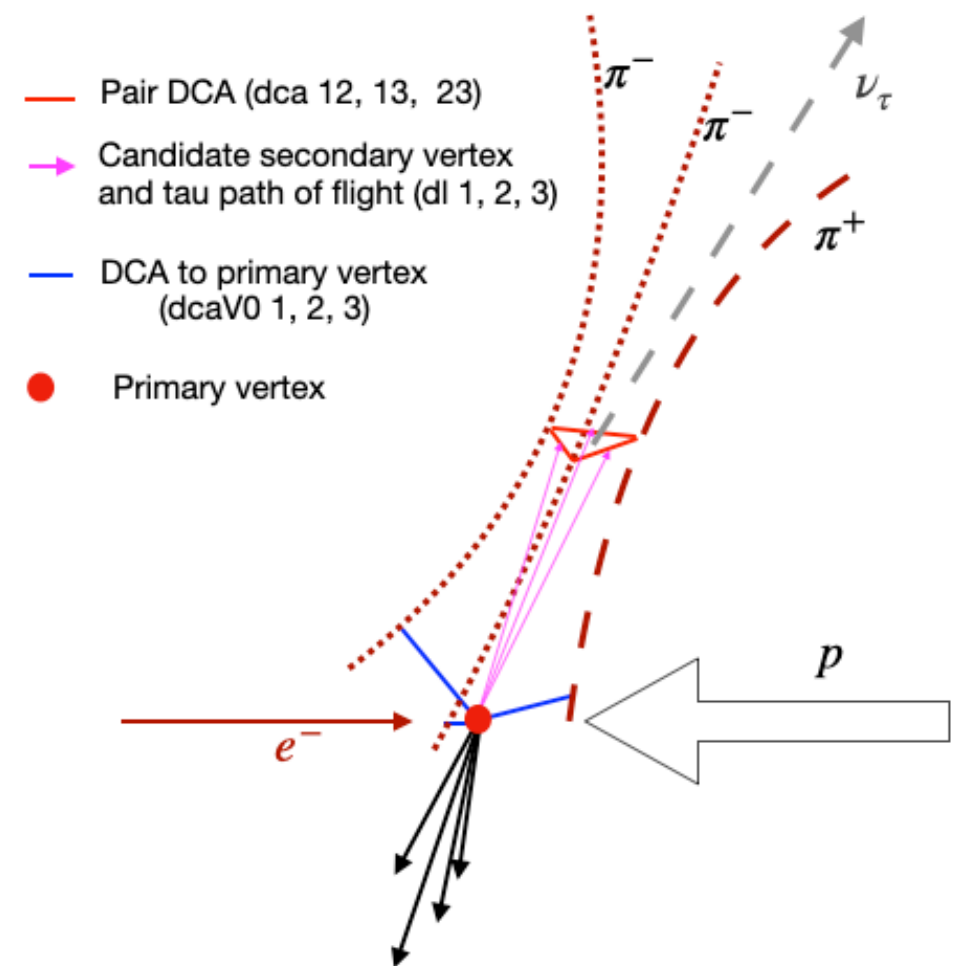


New discovery space: $e \rightarrow \tau$ transition at EIC

Goal and strategy of this Study

HERA Efficiency $\sim 2.5\%$; At EIC, benefit from improved vertex and jet detection, aim to greater than **10%** efficiency with negligible background in a **100 fb⁻¹** data sample

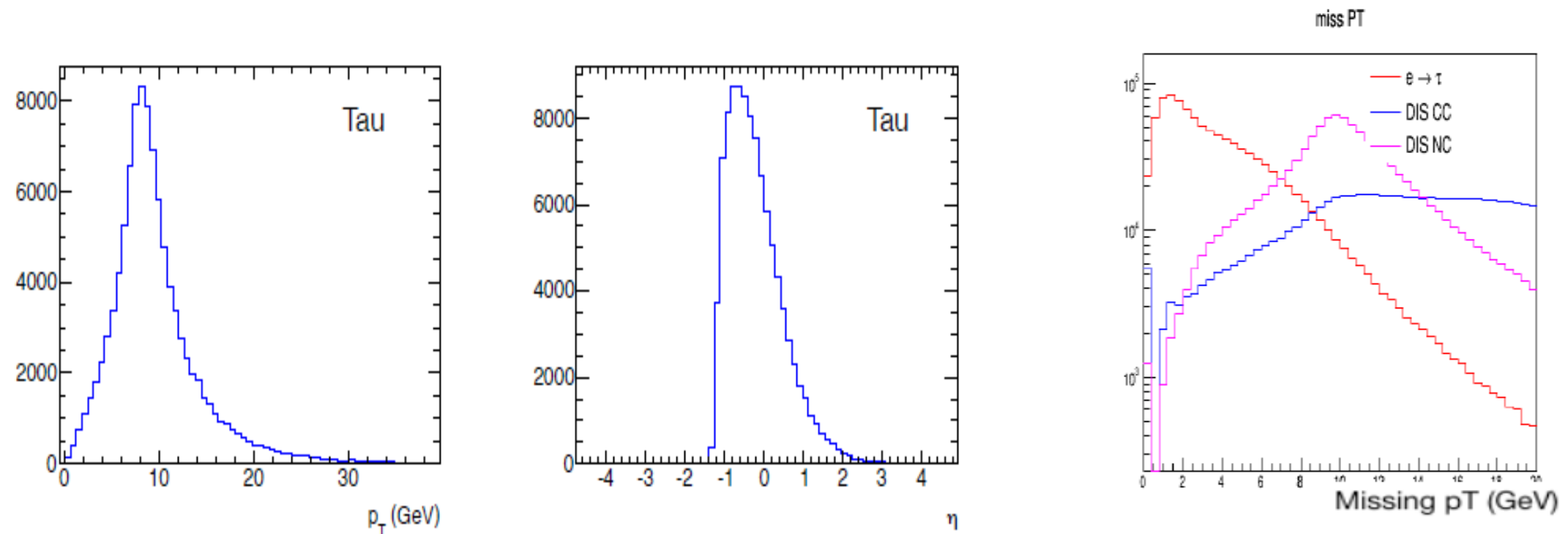
- Event generators:
 - LQGENEP 1.0 for Leptoquark events (L. Bellagamba, 2001)
 - DJANGO 4.6.8 for DIS (NC + CC) events (H. Spiesberger 2005)
- Jets reconstructed from MC events
 - Fastjet, Anti- k_T , $R = 1.0$
 - Scattered electron for SM DIS and neutrinos **excluded**
- Primary vertex reconstructed from tracks of current jets
- **Tau vertex displaced at mm level**
 - 3-prong tau jet; decay topology important for τ jet ID
 - 1-prong: recovering higher branching ratios; but background control is much more demanding



3-prong: **secondary vertex** finding from $\pi^- \pi^+ \pi^-$

Features of LQ $e \rightarrow \tau$ event

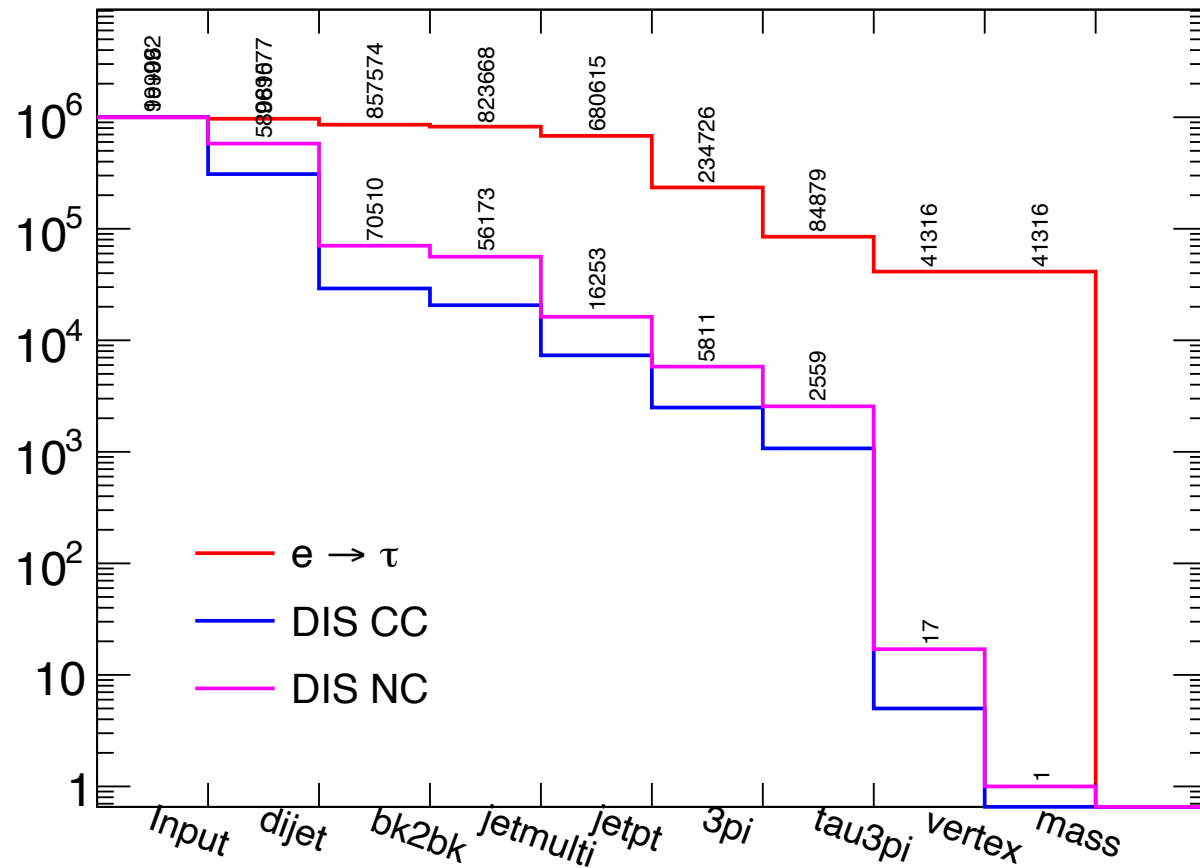
18x275 GeV²



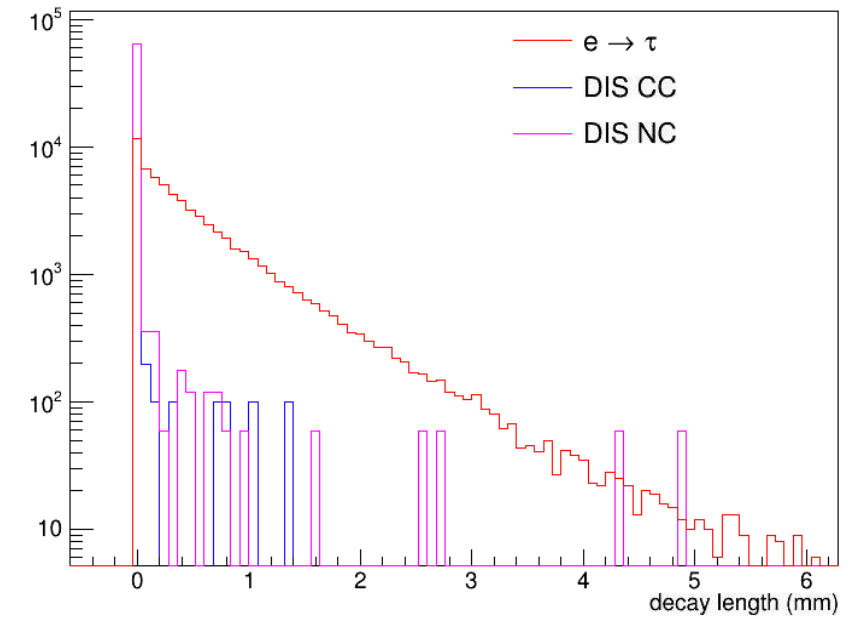
Note: electron in DIS NC is masked; Fastjet, Anti- k_T , $R = 1.0$; jet $p_T > 2$ GeV; $Q^2 > 100$ GeV²

- $e \rightarrow \tau$ event
 - 2+ jets: High p_T tau decay into collimating and low multiplicity jet
 - Signal mainly located at central region
 - Modest missing p_T (partial of tau p_T)
- DIS event
 - 1 jets dominating
 - Higher particle multiplicity
 - Missing $p_T \sim$ lepton p_T

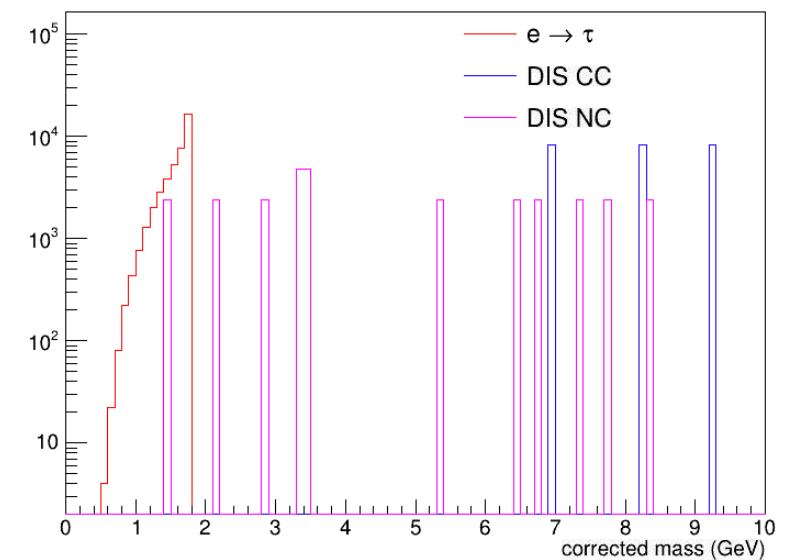
Progressive Selection



Search for 3 charged tracks aligning to missing pT and back-to-back with a high pT jet



Secondary vertex and corresponding decay length reconstructed from paired pion tracks

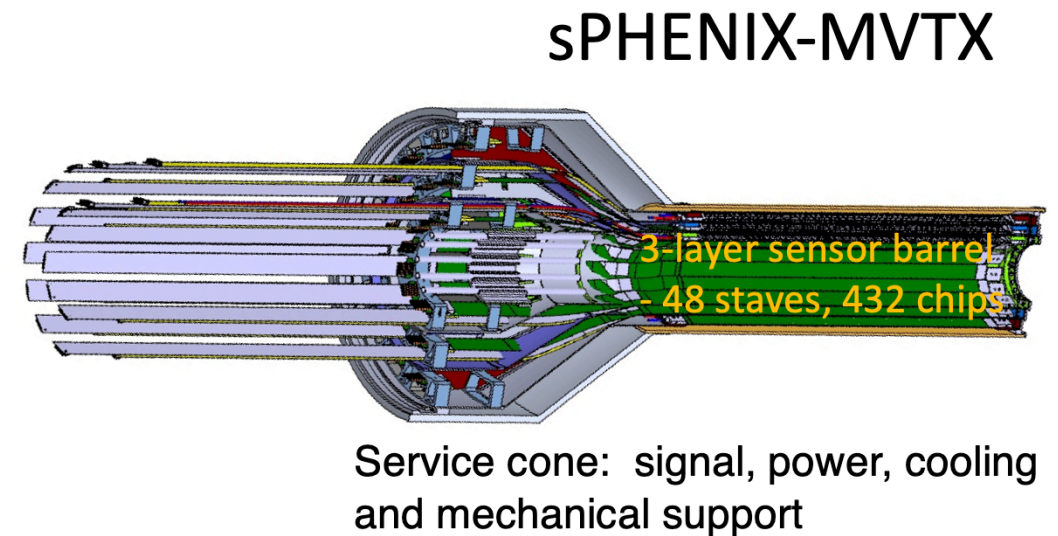
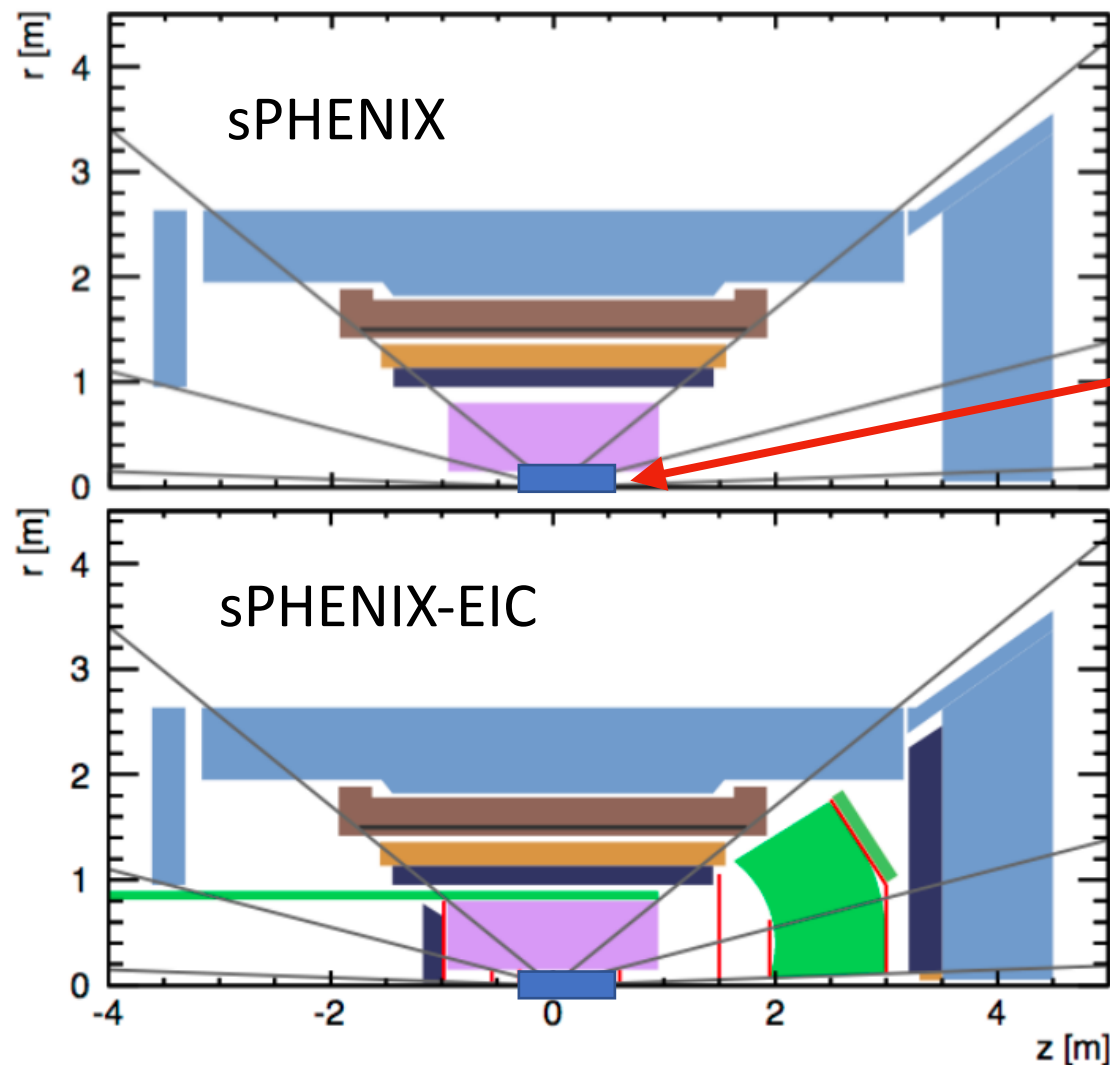


mass: corrected mass < 1.8 GeV

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2 \theta + p_{3\pi} \sin \theta}$$

θ : angle between \vec{V}_{2nd} and $\vec{p}_{3\pi}$

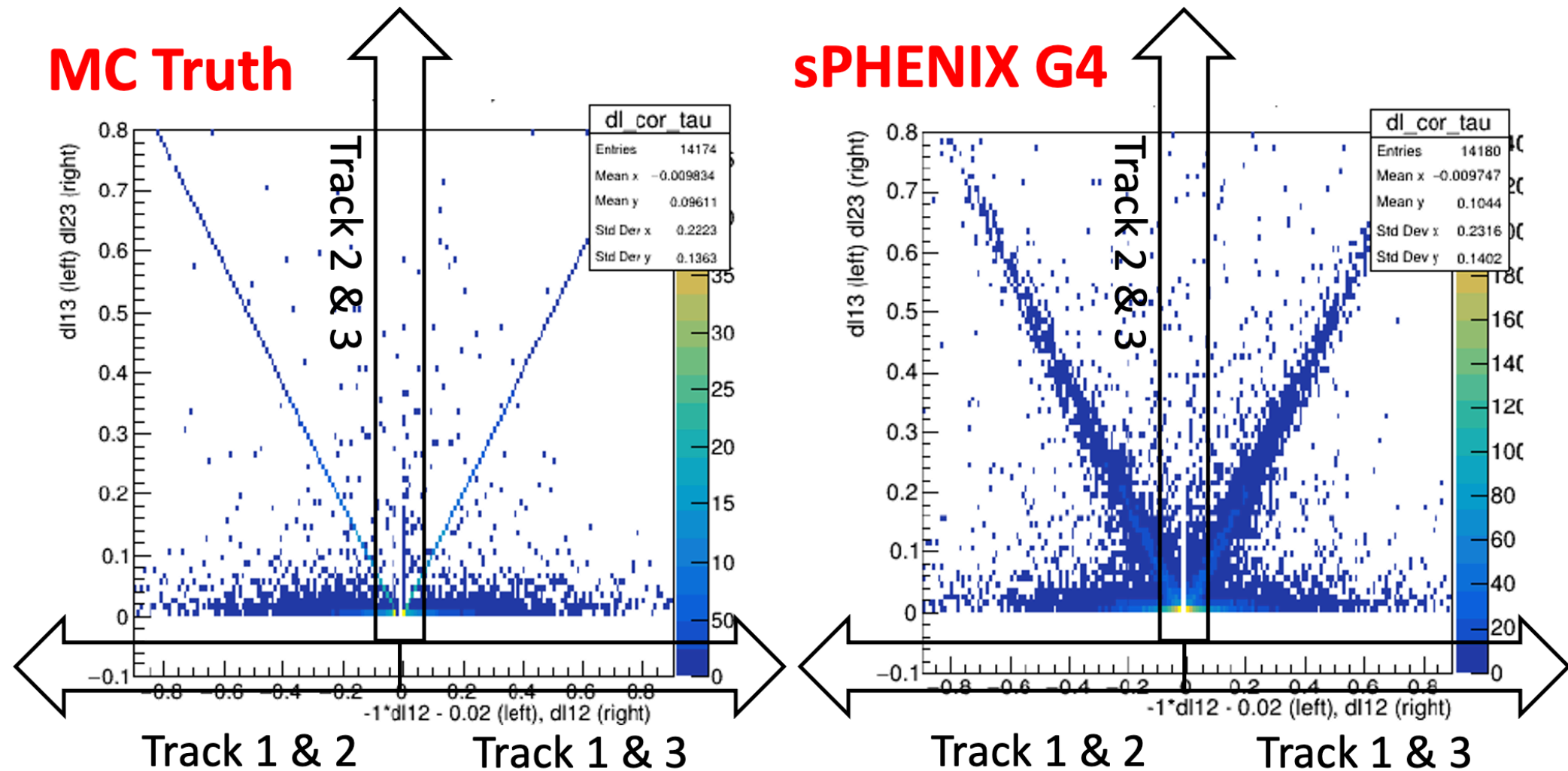
Detector Simulation: sPHENIX-EIC



- Next generation RHIC detector, under construction
- Foundation for an EIC detector concept [arXiv:1402.1209, sPH-cQCD-2018-001]

- Full detector Geant4 simulation: <https://github.com/sPHENIX-Collaboration/coresoftware>
- Analyses including vertexing and tracking have been also implemented in heavy flavor studies.

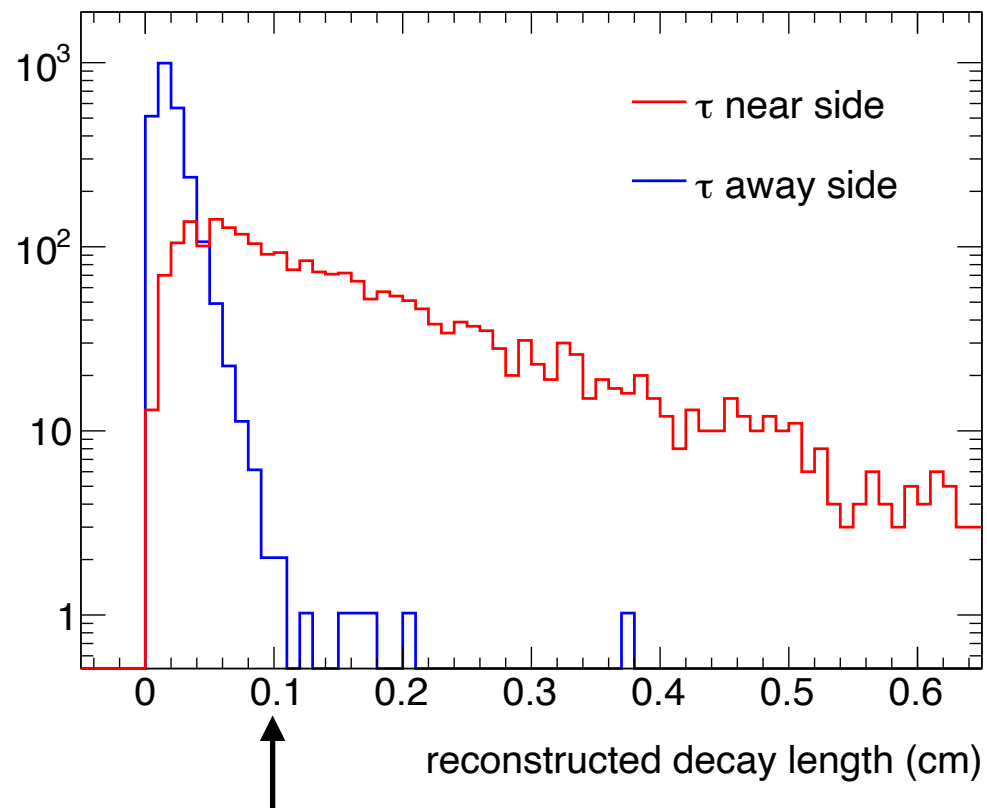
Secondary vertex reconstruction



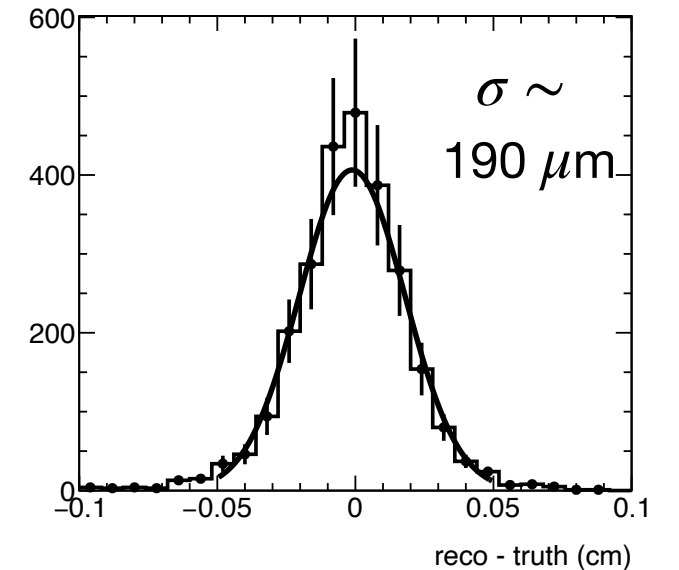
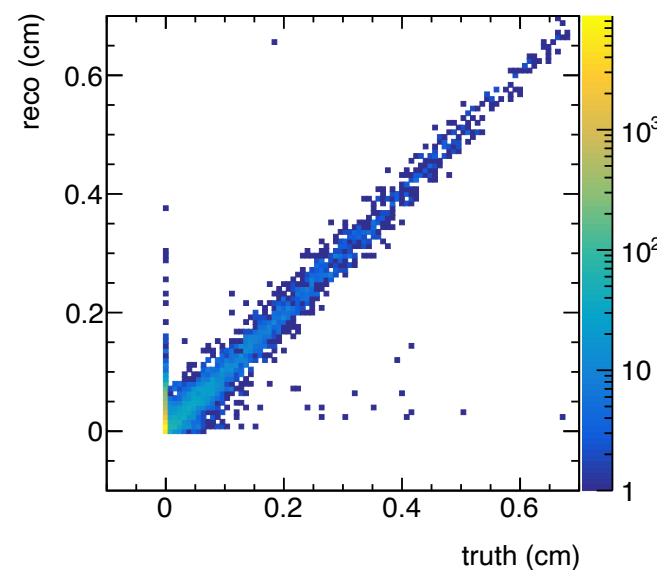
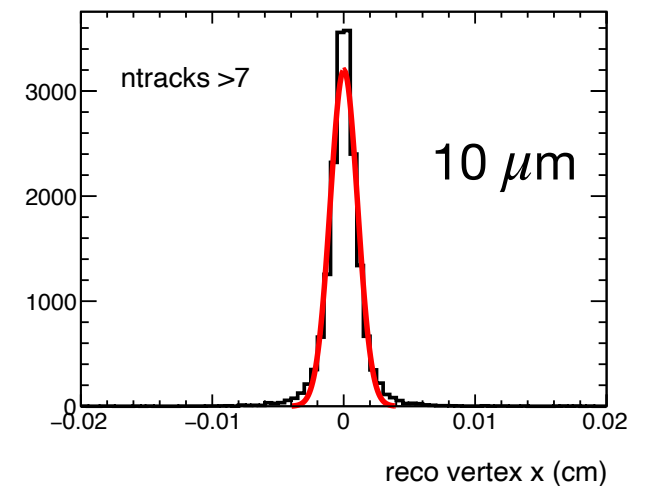
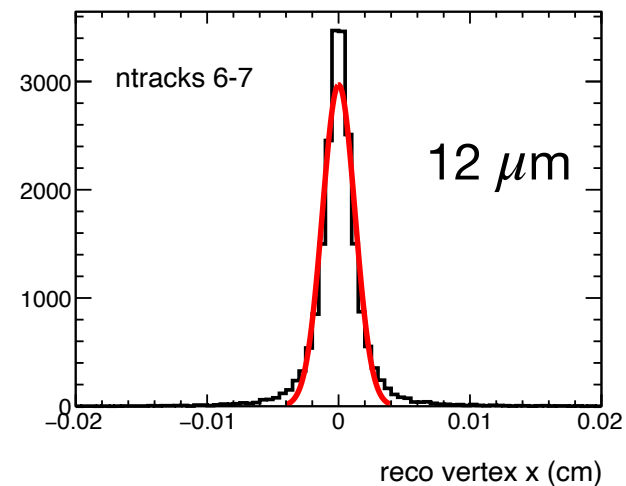
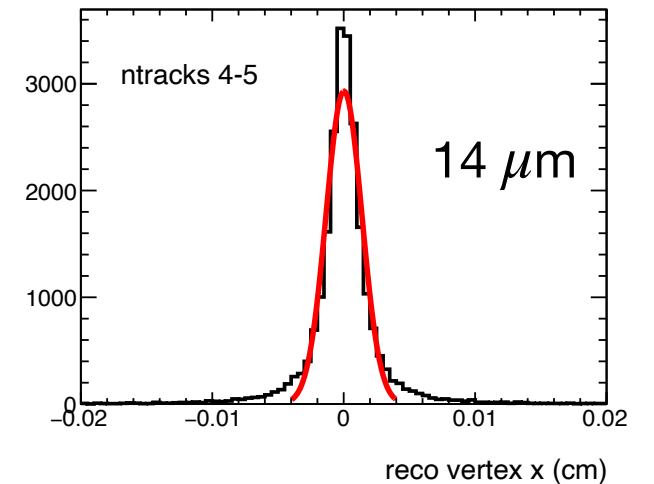
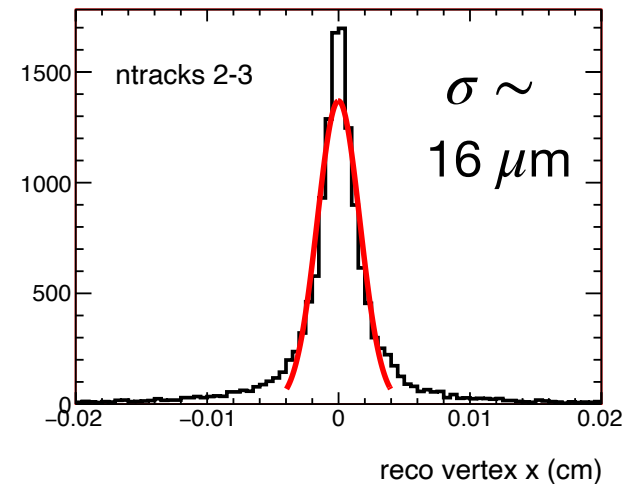
- Secondary vertex from track pairs (3 pairs from 3 tracks)
- Smeared but still well consistent 3-prong secondary vertex

Effect of resolution

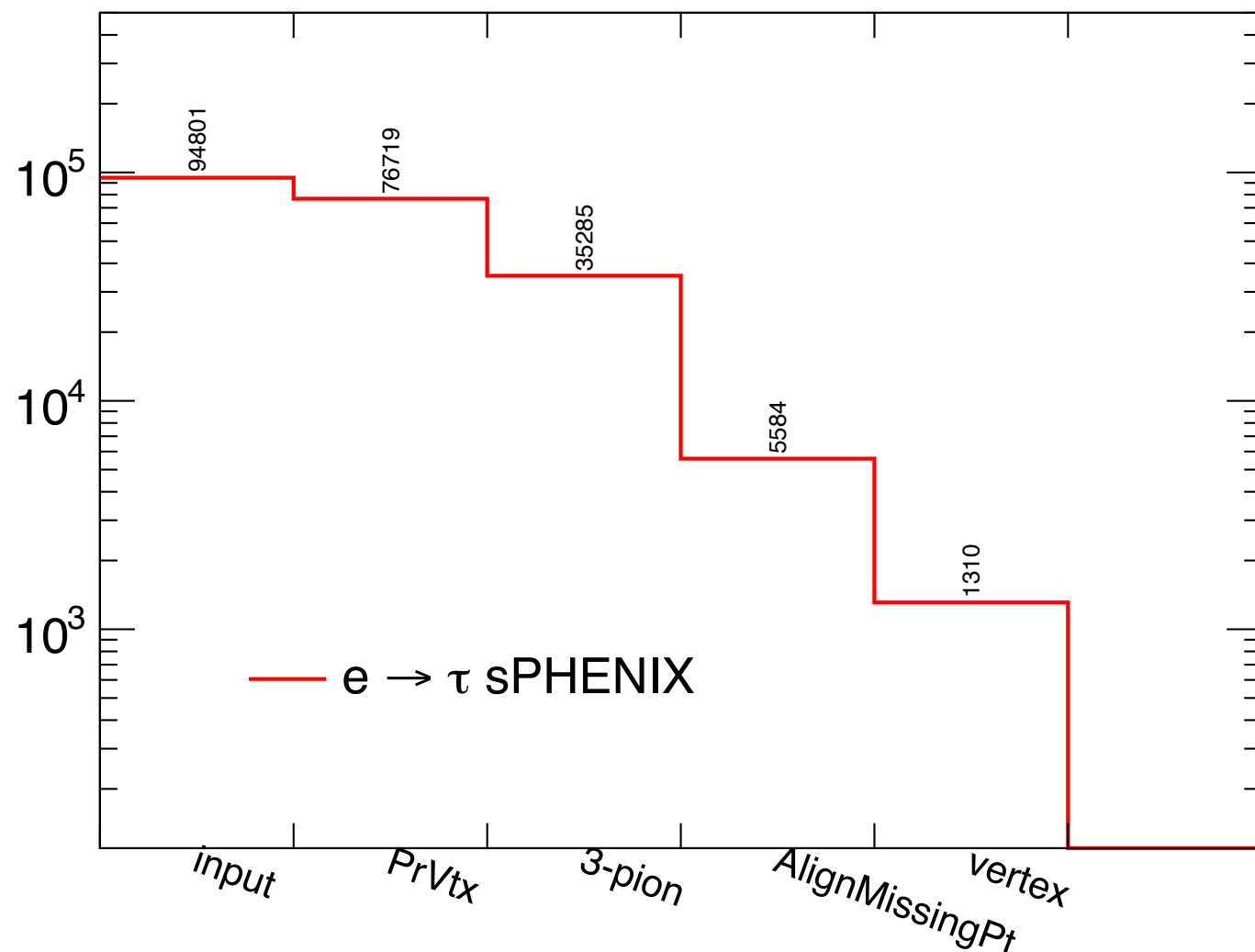
Capable separation of τ jet from QCD jet



- Vertex resolution at x component $\sim 10 \mu m$
- Similar for y and z components at middle rapidity
- Decay length resolution $\sim 190 \mu m$



Efficiency with Detector Effects



- PrVtx: good primary vertex
- 3-pion: only accept for 3-pion events (assuming 100% PID)
- AlignMissingPt: 3-pion should be at the “missing-pT” side azimuthally
- Vertex: match reconstructed secondary vertexes, decay length > 1 mm

- Similar algorithm applied as for Generator level analysis
- $\sim 1.4\%$ (**$\sim 9.3\%$** out $\sim 15\%$ 3-prong) signal efficiency from sPHENIX detector simulation

Current activities and To-do

- One-prong analysis from sPHENIX-EIC Geant4 simulation
 - Muon identification based on particle flow analysis
 - MVTX detector based DCA analysis for muon and charged pion channel
 - Neutral pion reconstruction for pho- channel identification
- Overall projection for 100 pb⁻¹ dataset
 - 3 charged pion, isolated 1 charged pion, and isolated muon

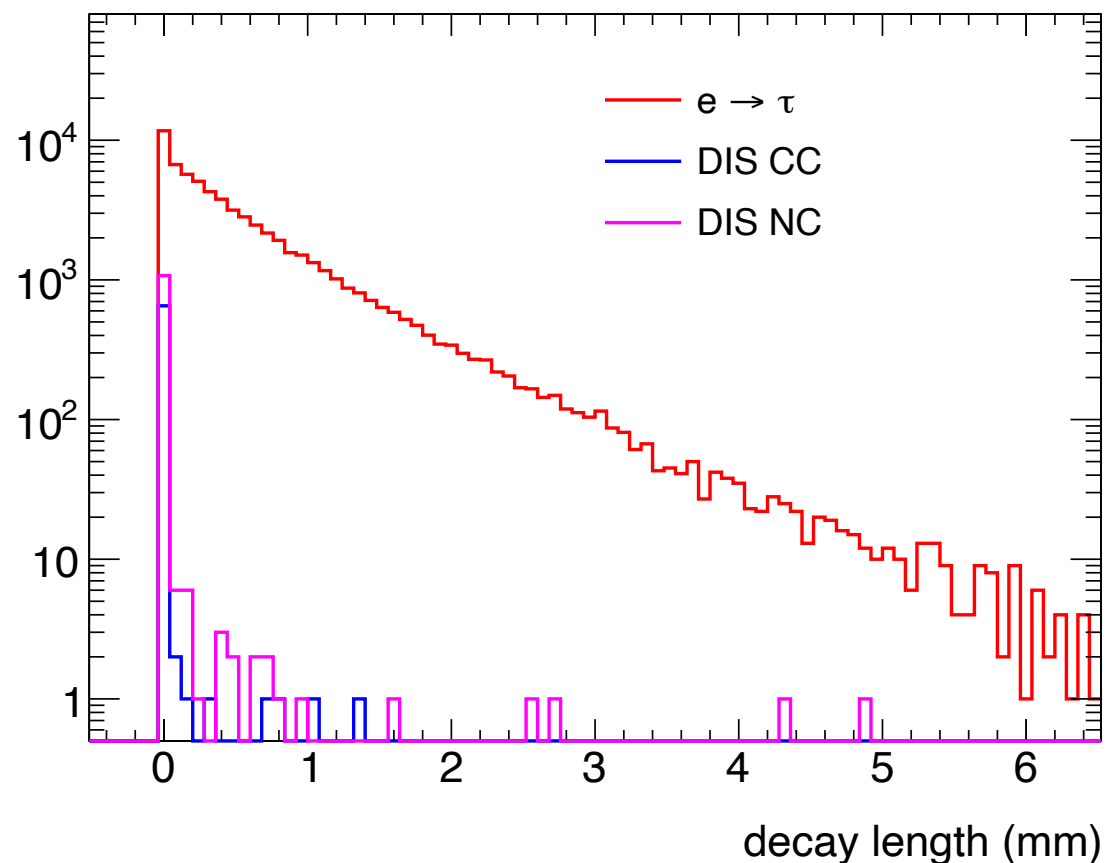
Summary

- EIC with high ($10^{34}\text{cm}^{-2}\text{s}^{-1}$) luminosity opens opportunities for Charged Lepton Flavor Violation search
 - Benchmarking $e \rightarrow \tau$ search with Leptoquark models
- LQGENEP generator + Full detector simulations and reconstruction via sPHENIX-EIC concept
- Studied the potential of CLFV search with decay topological using modern precision vertex tracker and event shape analysis
 - Aiming for 0.1 fb cross-section sensitivity
 - Synergies with other high luminosity topics e.g. heavy flavors

Backup

Last Two Cuts

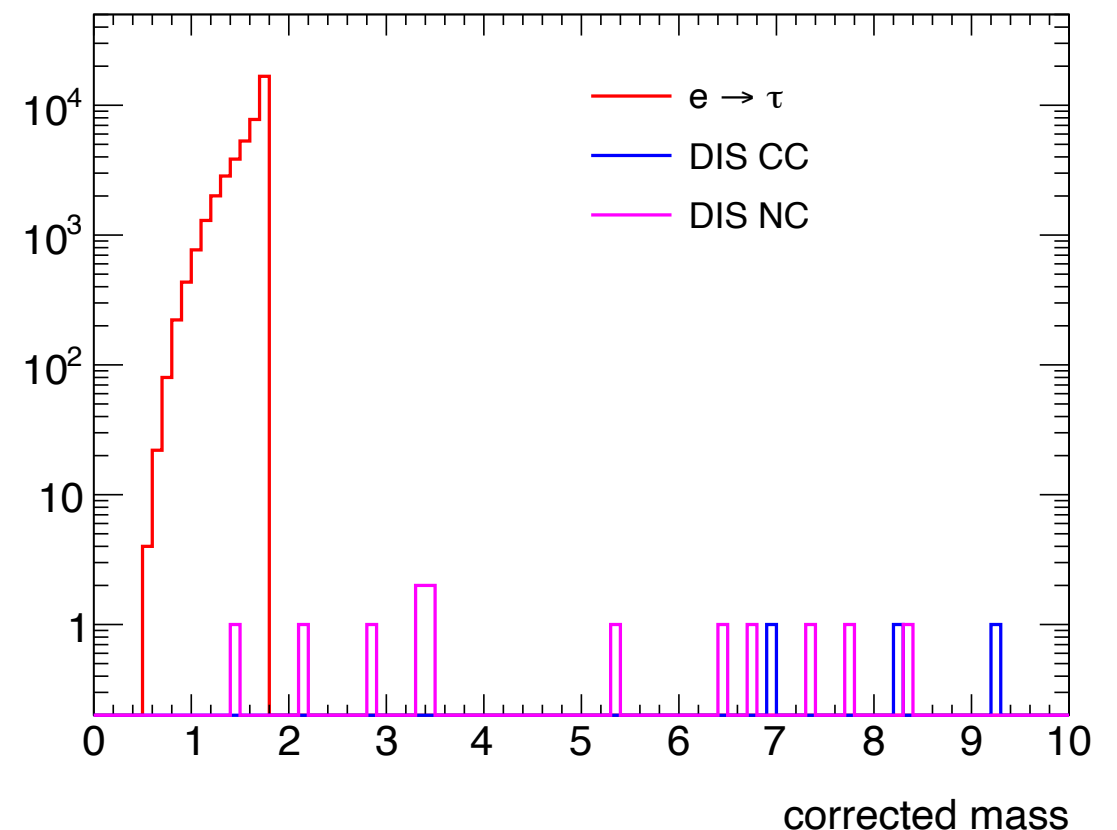
- Secondary vertex and corresponding decay length reconstructed from paired pion tracks



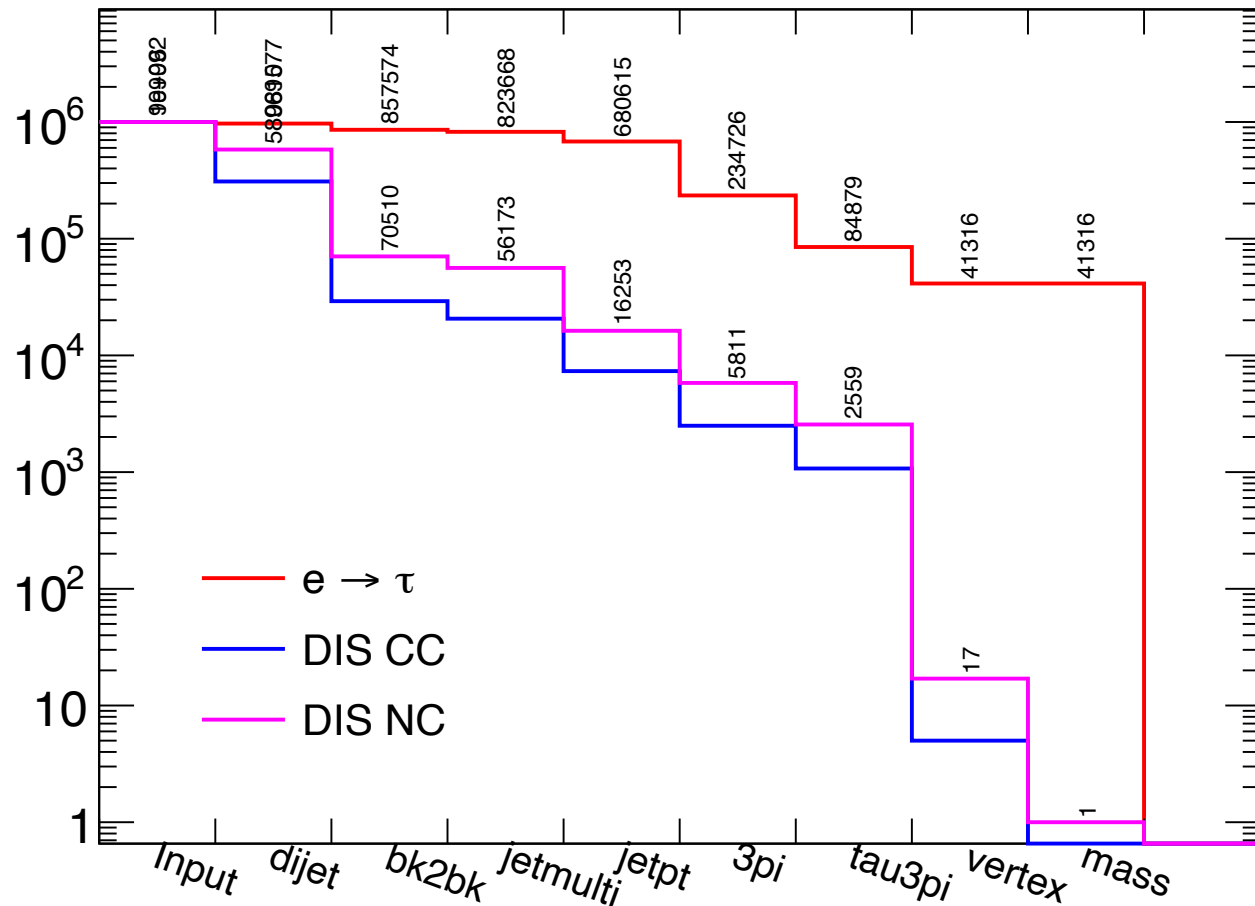
- Corrected mass from 3 pions

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2 \theta} + p_{3\pi} \sin \theta$$

θ : angle between \vec{V}_{2nd} and $\vec{p}_{3\pi}$



Events Selection



- di-jet: number of jets ≥ 2
- bk2bk: $\cos\Delta\phi_{jet1-jet2} < -0.7$
- jetmulti: number of particles < 5 for at least one of the jets
- jetpt: $p_T(jet1) > 4.0$ and $p_T(jet2) > 2.5$
- 3pi: jet contain 3pi
- tau3pi: 3pi jet aligns with missing p_T

- vertex: $dR_{sum} < 0.2$ && $dl_{asy} < 0.2$ mm && $dl_{average} > 0.2$ mm

Collimation in (η, ϕ) space:

$$dR_{sum} = \Delta R(\vec{1}, \vec{2}) + \Delta R(\vec{2}, \vec{3}) + \Delta R(\vec{1}, \vec{3})$$

Length matching:

$$dl_{asy} = |dl_1 - dl_2| + |dl_1 - dl_3| + |dl_2 - dl_3|$$

- mass: corrected mass < 1.8 GeV

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2\theta} + p_{3\pi} \sin\theta$$

θ : angle between \vec{V}_{2nd} and $\vec{p}_{3\pi}$

Leptoquark

Leptoquarks (LQs) appear in certain extensions of the SM.

- Symmetry between lepton sector and quark sector
- Flavor violating but fermion number ($F = 3B+L$) conserving
- Buchmüller-Rückl-Wyler (BRW) framework: 14 different LQ types (7 scalars, 7 vectors)
- Decades search at different facilities worldwide.

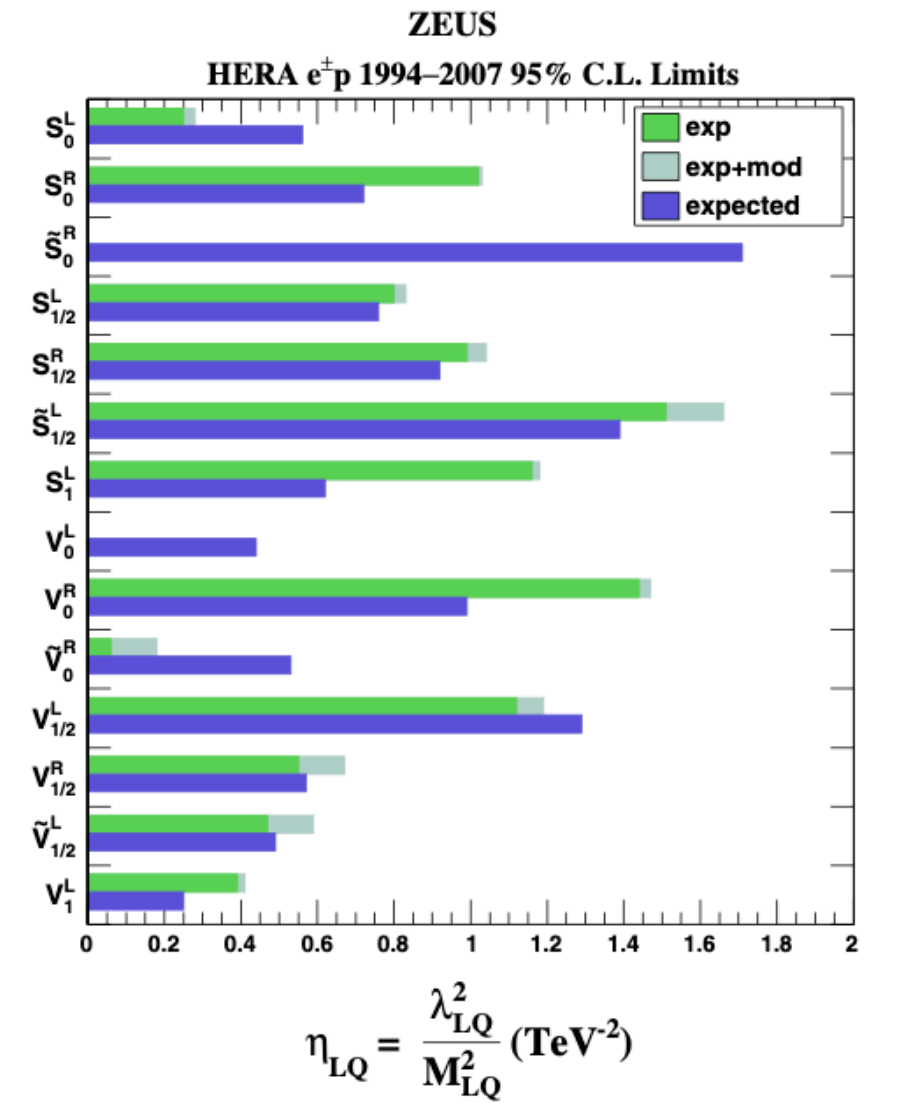
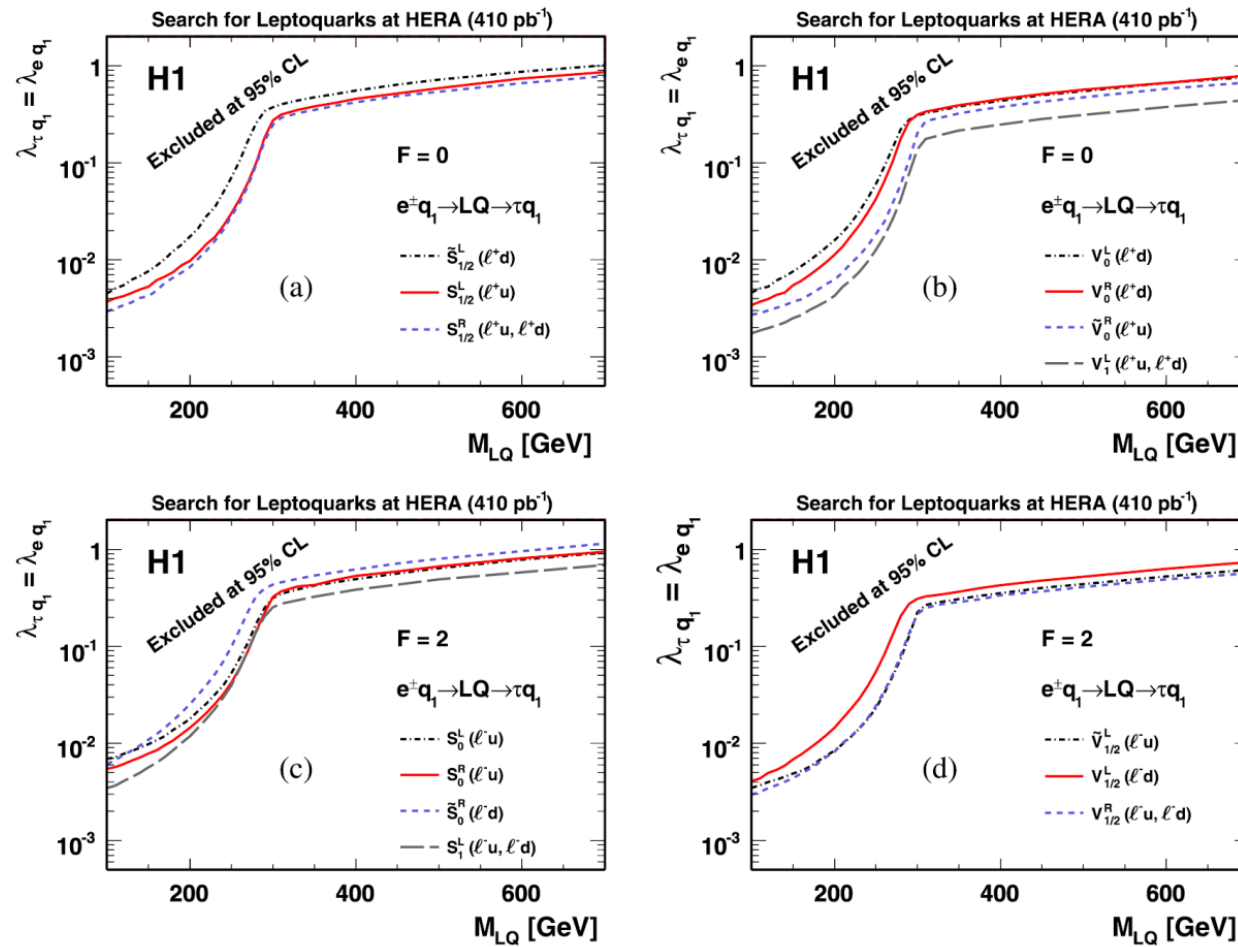
Buchmüller-Rückl-Wyler (BRW)

| Type | J | F | Q | ep dominant process | Coupling | Branching ratio β_ℓ | Type | J | F | Q | ep dominant process | Coupling | Branching ratio β_ℓ |
|---------------------|-----|-----|------|--------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------|---------------------|-----|-----|------|--------------------------------------------------------------------------------|-------------------------------------------------------|------------------------------------------|
| S_0^L | 0 | 2 | -1/3 | $e_L^- u_L \rightarrow \begin{cases} \ell^- u \\ \nu_\ell d \end{cases}$ | $\begin{matrix} \lambda_L \\ -\lambda_L \end{matrix}$ | $\begin{matrix} 1/2 \\ 1/2 \end{matrix}$ | V_0^L | 1 | 0 | +2/3 | $e_R^+ d_L \rightarrow \begin{cases} \ell^+ d \\ \bar{\nu}_\ell u \end{cases}$ | $\begin{matrix} \lambda_L \\ \lambda_L \end{matrix}$ | $\begin{matrix} 1/2 \\ 1/2 \end{matrix}$ |
| S_0^R | 0 | 2 | -1/3 | $e_R^- u_R \rightarrow \ell^- u$ | λ_R | 1 | V_0^R | 1 | 0 | +2/3 | $e_L^+ d_R \rightarrow \ell^+ d$ | λ_R | 1 |
| \tilde{S}_0^R | 0 | 2 | -4/3 | $e_R^- d_R \rightarrow \ell^- d$ | λ_R | 1 | \tilde{V}_0^R | 1 | 0 | +5/3 | $e_L^+ u_R \rightarrow \ell^+ u$ | λ_R | 1 |
| S_1^L | 0 | 2 | -1/3 | $e_L^- u_L \rightarrow \begin{cases} \ell^- u \\ \nu_\ell d \end{cases}$ | $\begin{matrix} -\lambda_L \\ -\lambda_L \end{matrix}$ | $\begin{matrix} 1/2 \\ 1/2 \end{matrix}$ | V_1^L | 1 | 0 | +2/3 | $e_R^+ d_L \rightarrow \begin{cases} \ell^+ d \\ \bar{\nu}_\ell u \end{cases}$ | $\begin{matrix} -\lambda_L \\ \lambda_L \end{matrix}$ | $\begin{matrix} 1/2 \\ 1/2 \end{matrix}$ |
| | | | -4/3 | $e_L^- d_L \rightarrow \ell^- d$ | $-\sqrt{2}\lambda_L$ | 1 | | | | +5/3 | $e_R^+ u_L \rightarrow \ell^+ u$ | $\sqrt{2}\lambda_L$ | 1 |
| $V_{1/2}^L$ | 1 | 2 | -4/3 | $e_L^- d_R \rightarrow \ell^- d$ | λ_L | 1 | $S_{1/2}^L$ | 0 | 0 | +5/3 | $e_R^+ u_R \rightarrow \ell^+ u$ | λ_L | 1 |
| $V_{1/2}^R$ | 1 | 2 | -1/3 | $e_R^- u_L \rightarrow \ell^- u$ | λ_R | 1 | $S_{1/2}^R$ | 0 | 0 | +2/3 | $e_L^+ d_L \rightarrow \ell^+ d$ | $-\lambda_R$ | 1 |
| | | | -4/3 | $e_R^- d_L \rightarrow \ell^- d$ | λ_R | 1 | | | | +5/3 | $e_L^+ u_L \rightarrow \ell^+ u$ | λ_R | 1 |
| $\tilde{V}_{1/2}^L$ | 1 | 2 | -1/3 | $e_L^- u_R \rightarrow \ell^- u$ | λ_L | 1 | $\tilde{S}_{1/2}^L$ | 0 | 0 | +2/3 | $e_R^+ d_R \rightarrow \ell^+ d$ | λ_L | 1 |

HERA

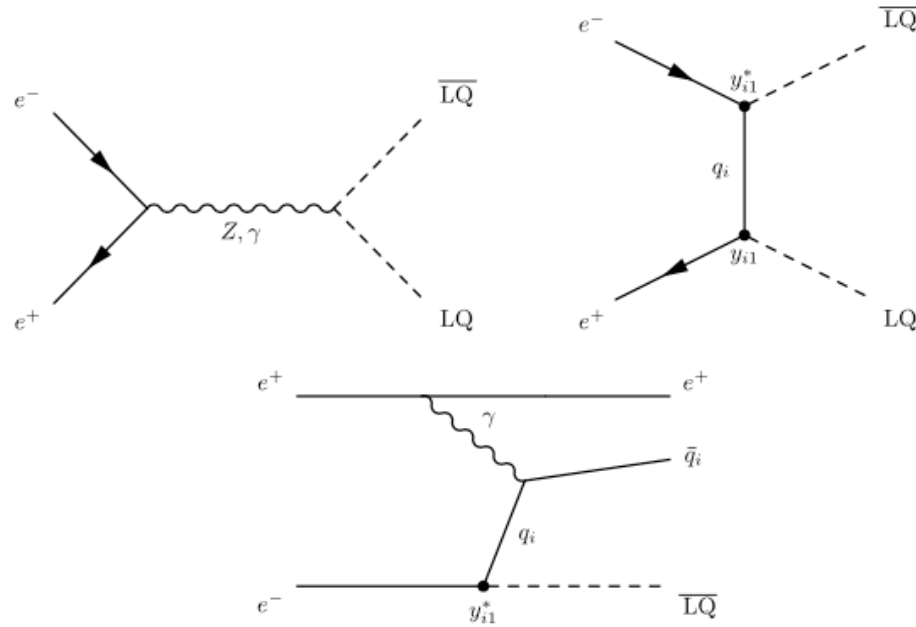
H1, PLB 701, 20-30 (2011)

ZEUS, PRD 99, 092006 (2019)

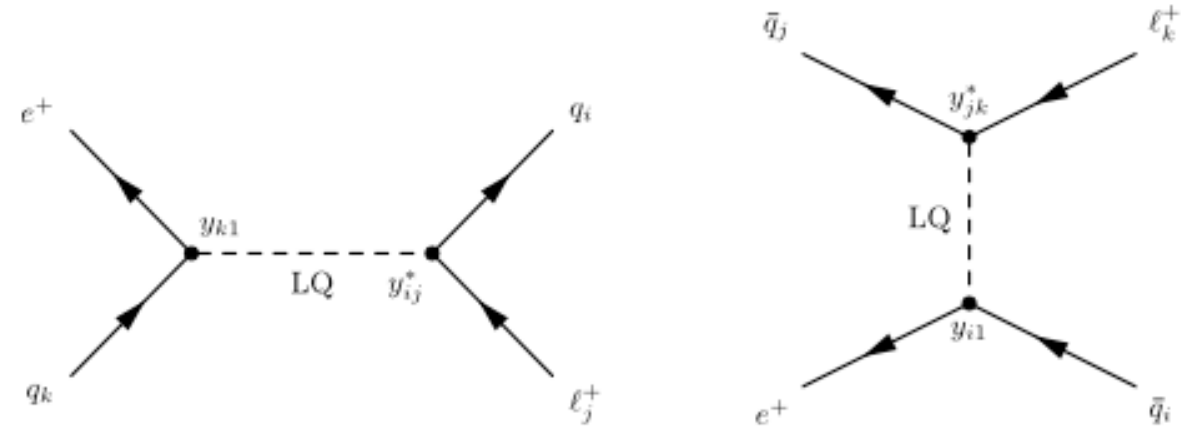


Experimental Searches of Leptoquarks

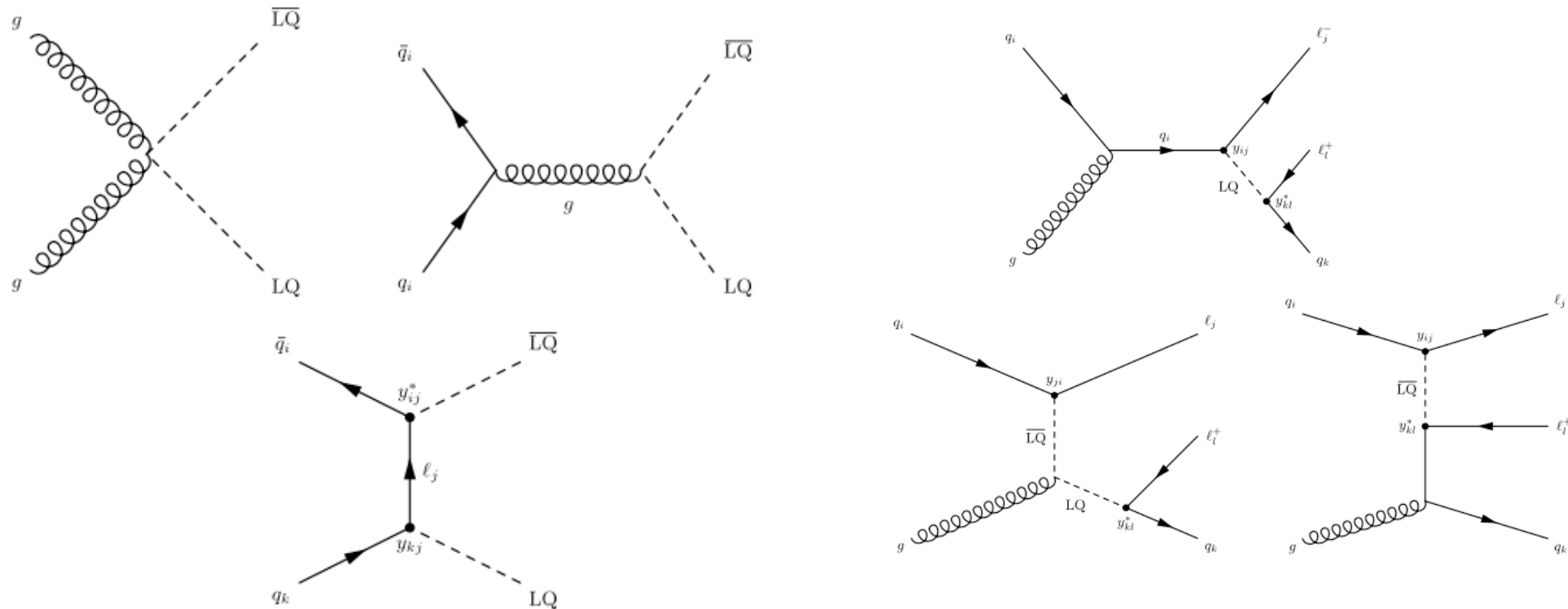
e^+e^-



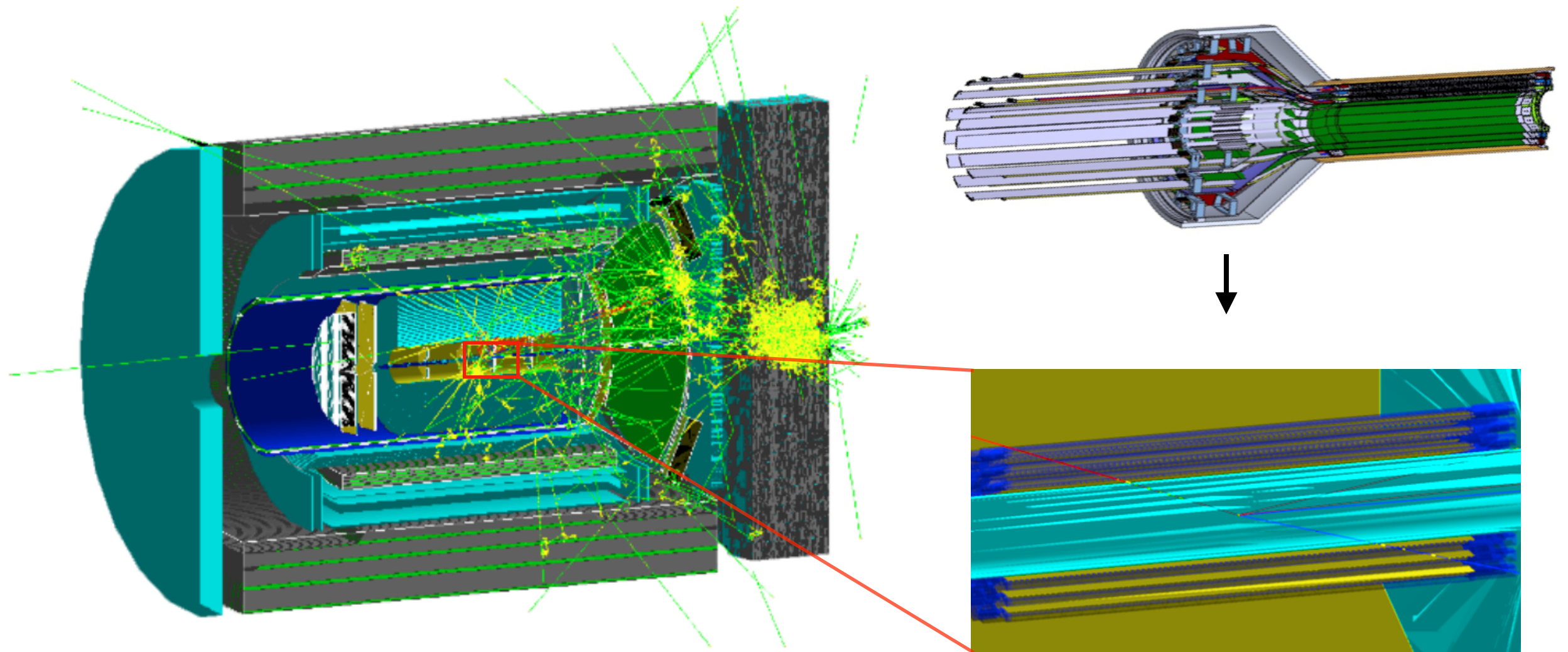
ep



$pp / p\bar{p}$

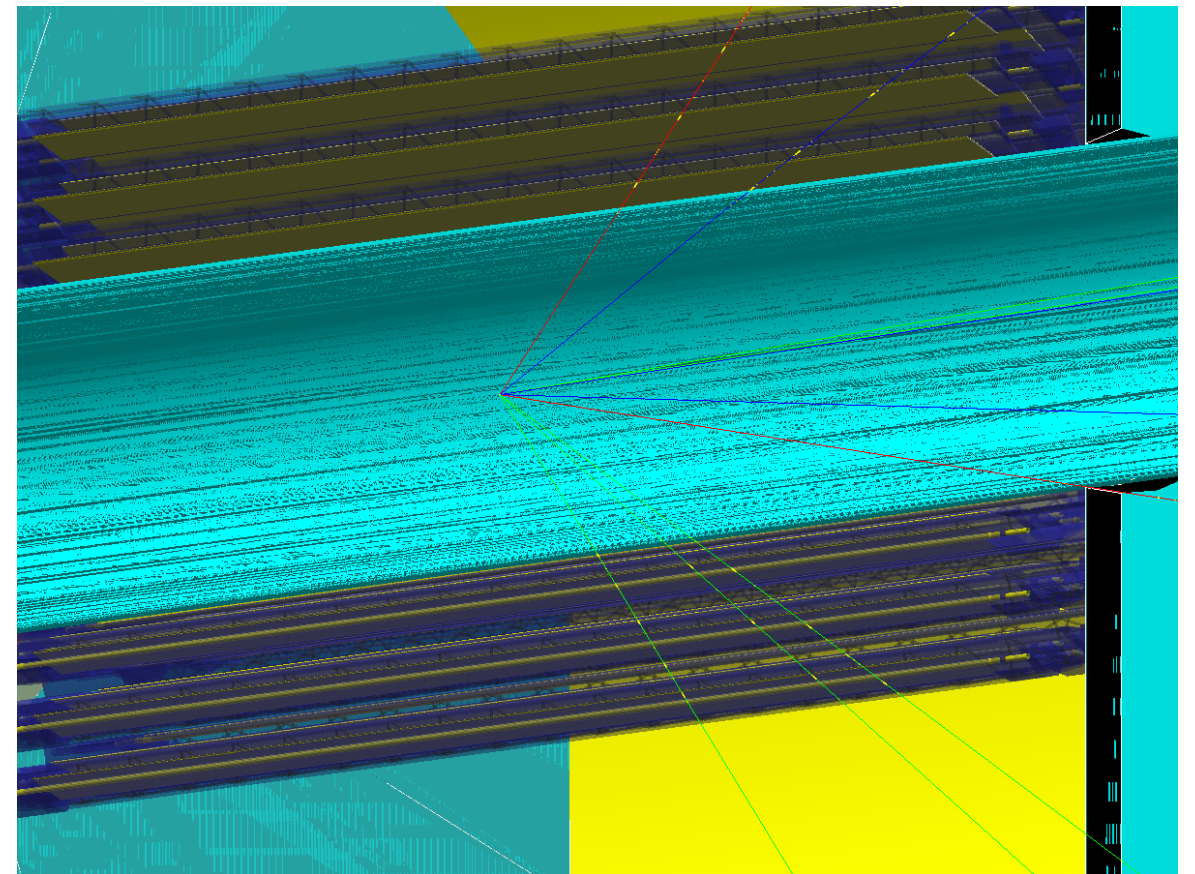
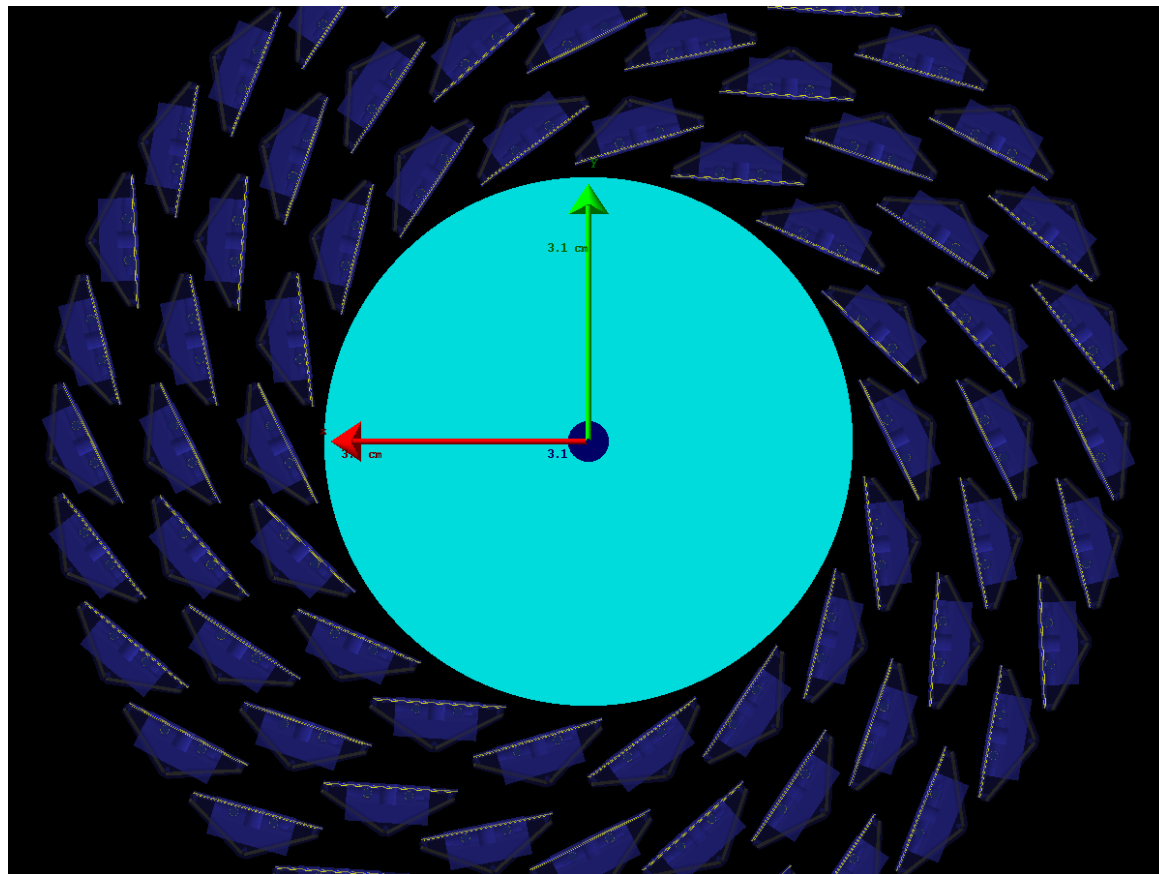


LQ event at sPhenix-ELC detector



- LQGENEP 1.0 Leptoquark event $e+p$ 18×275 GeV/c + sPHENIX-ELC sim
- For initial τ -reco evaluation: sPHENIX vertex tracker

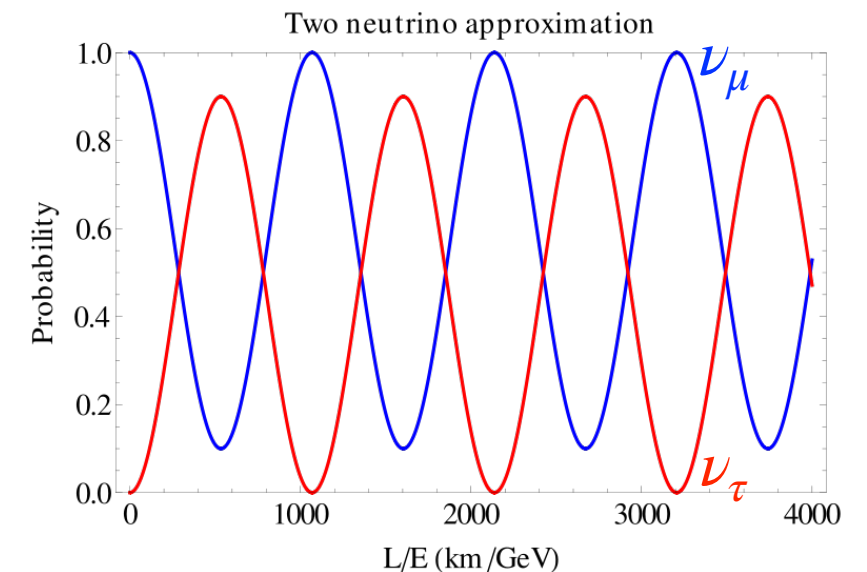
Silicon Vertex Tracker Layout



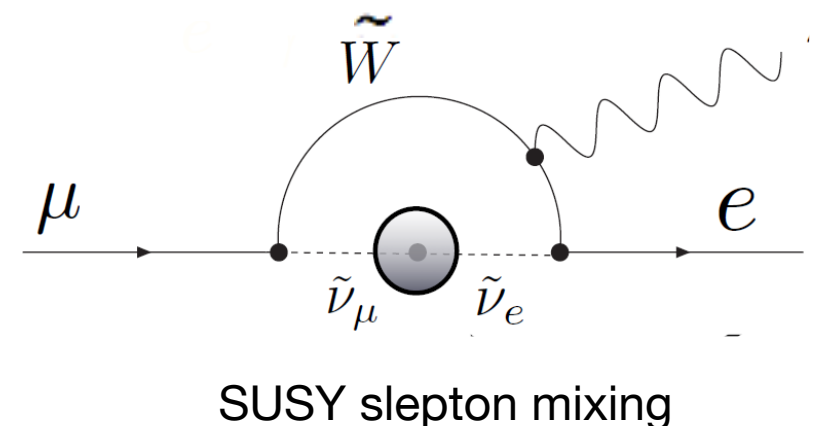
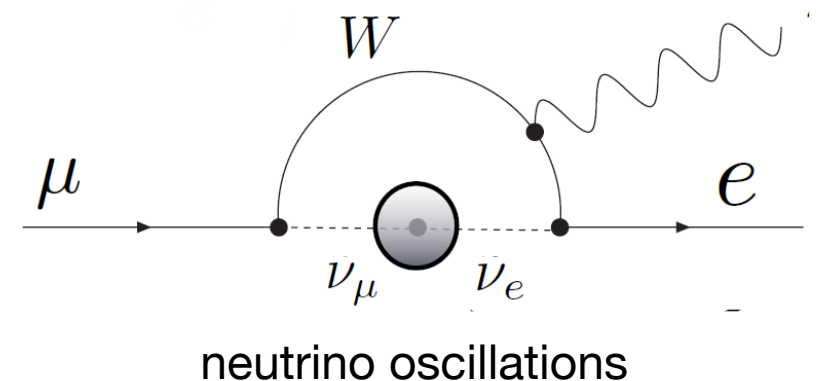
The EIC beam pipe is ~50% larger than the RHIC beam pipe. The MVTX geometry is adjusted to accommodate this pipe. The layout is based on the inner tracker from eRD16/18 from Håkan Wennlöf hwennlof@kth.se.

Charged Lepton Flavor Violation

- Lepton Flavor (generation) is not conserved, neutrino oscillations observed. (2015 Nobel Prize)
- Charged lepton flavor violations (CFLV) should also be allowed within the SM; but extremely low rate, e.g. $\text{BR}(\mu \rightarrow e\gamma) < 10^{-54}$
- Many BSM models predict significantly higher rate of CFLV, e.g. SUSY slepton mixing $\text{BR}(\mu \rightarrow e\gamma) < 10^{-15}$



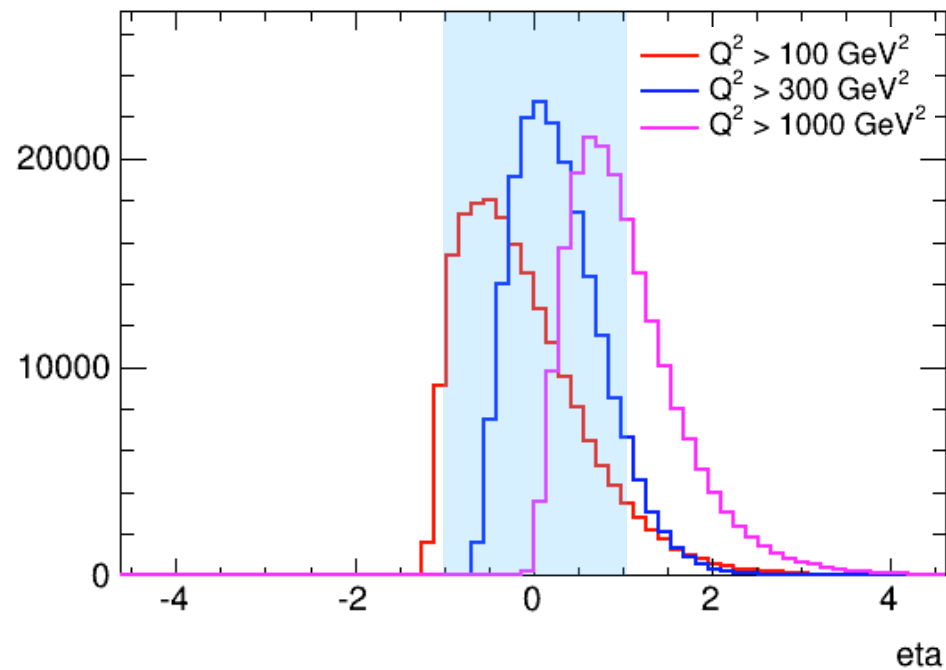
BSM



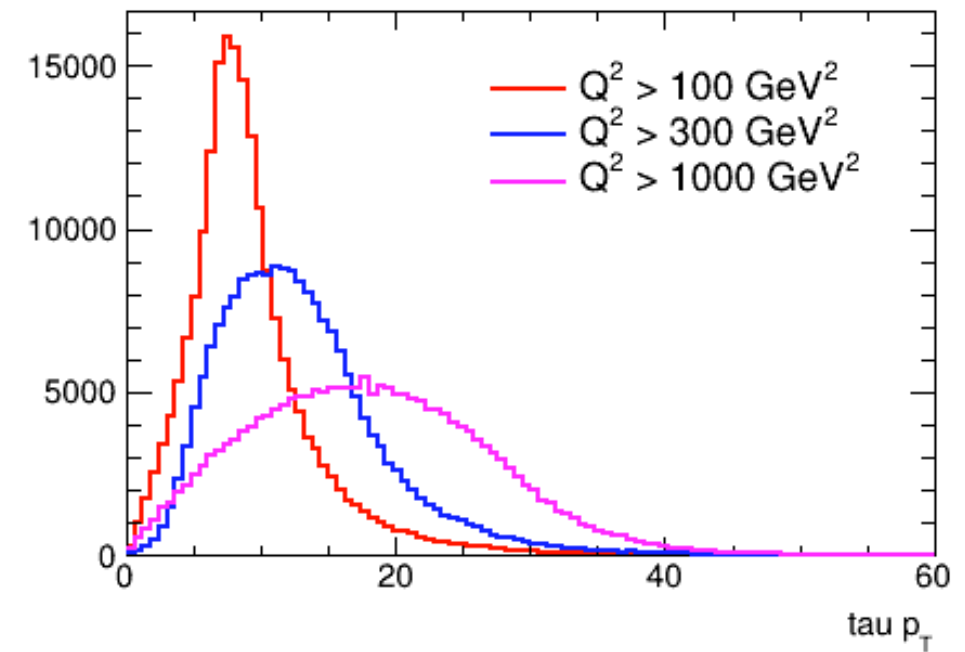
How LQ Tau looks like at e+p

18x275 GeV²

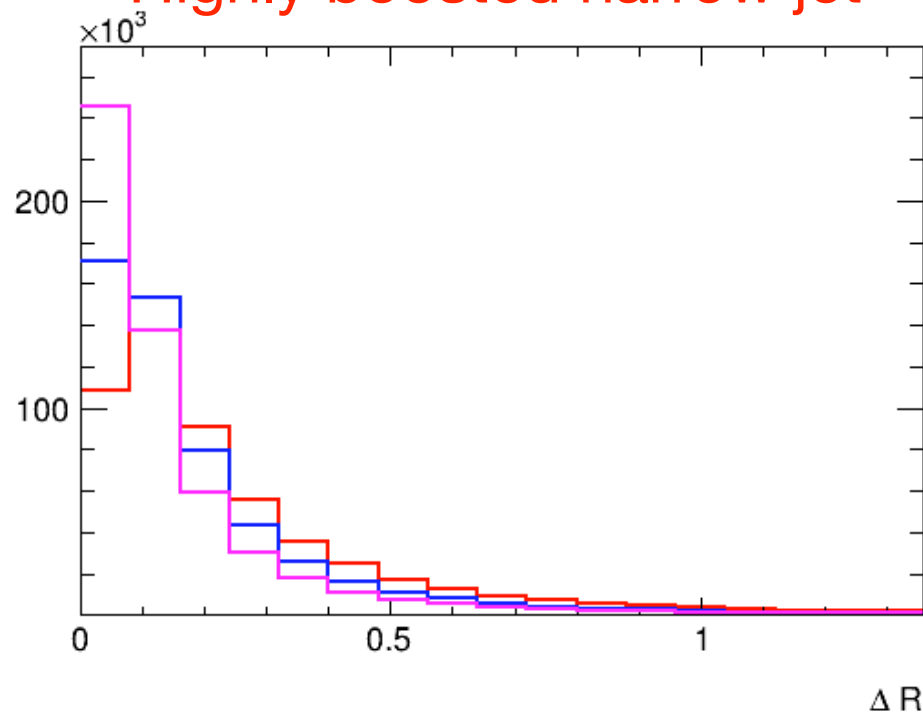
Mostly at Barrel (best detector performance)



high pT



Highly boosted narrow jet



Away from primary vertex by several cm

