

Proton reconstruction with the CMS Precision Proton Spectrometer and outlook for a near-beam spectrometer at HL-LHC

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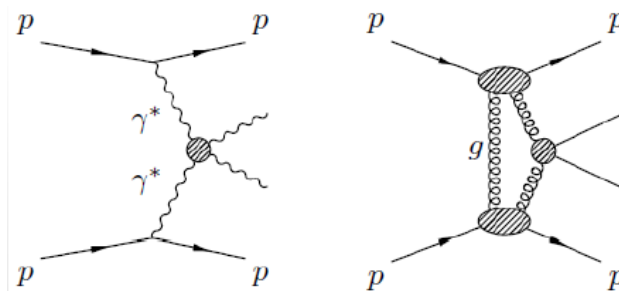
On behalf of the CMS collaboration



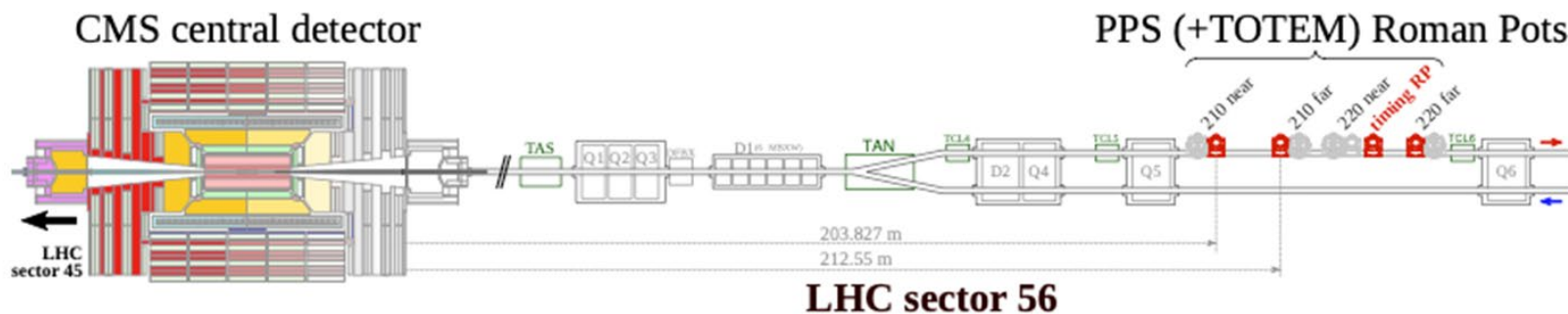
DIS2021, 12-16 Apr 2021, Stony Brook University, (Virtual World)

CMS Precision Proton Spectrometer

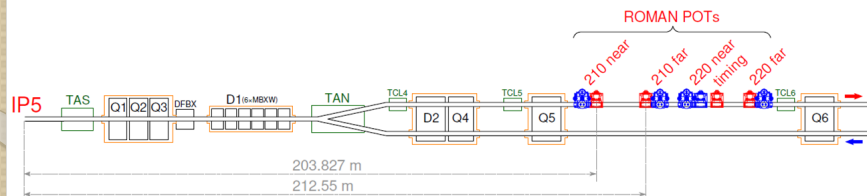
- ✓ Precise **kinematics measurement** of the scattered **protons** from IP5 (CMS) in a very forward region in **LHC high luminosity conditions**
- ✓ Study of physics events where at least one proton survives the interaction



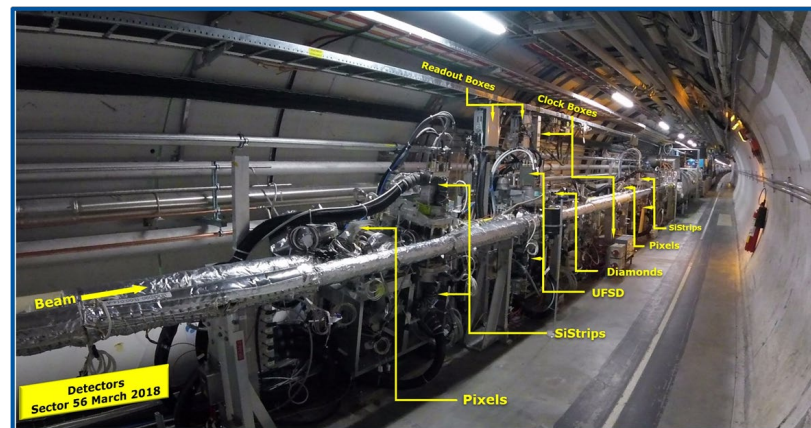
- ✓ Protons with small momentum loss are bent and propagate through the LHC magnetic fields. Near-beam detectors far from IP to **maximize low- ξ** ($\xi = \Delta p/p$) **acceptance** (detectors at ~ 1.5 mm from the beam, without disturbing LHC operations)



PPS experimental setup in Run2

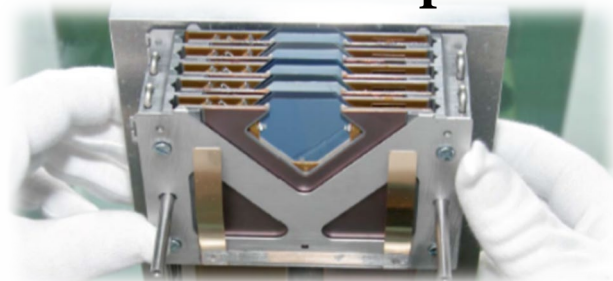


PPS detectors in horizontal pots
TOTEM strip trackers in vertical ones
(used also for PPS alignment)

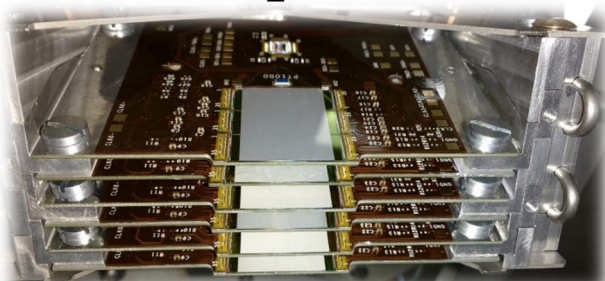


Tracking detectors: measure (ξ , t)

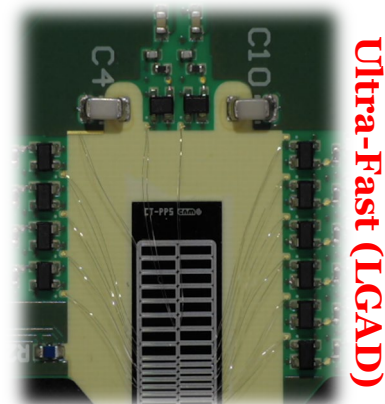
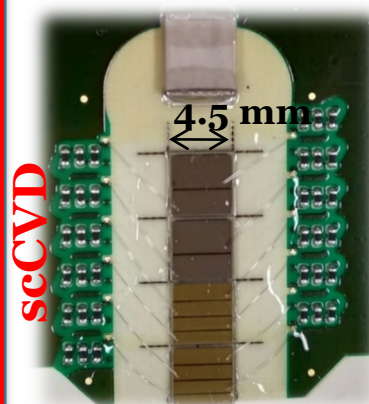
micro-strips



3D pixels



Timing detectors: pile-up rejection

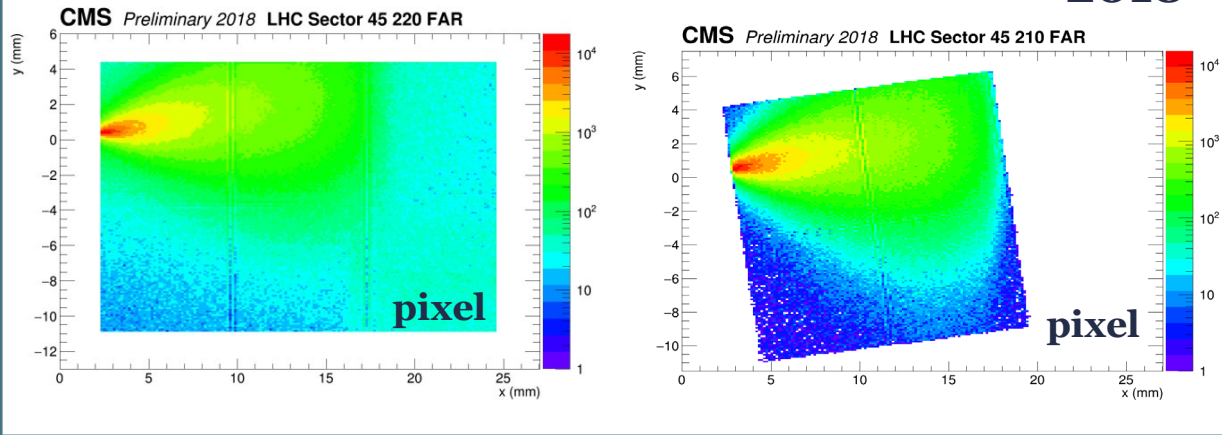


Proton reconstruction at a glance



Hits in tracker in sector 45

2018



- Hit reconstruction



- Track reconstruction

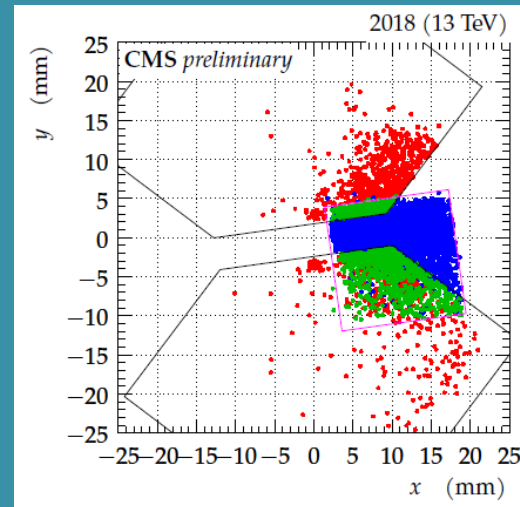
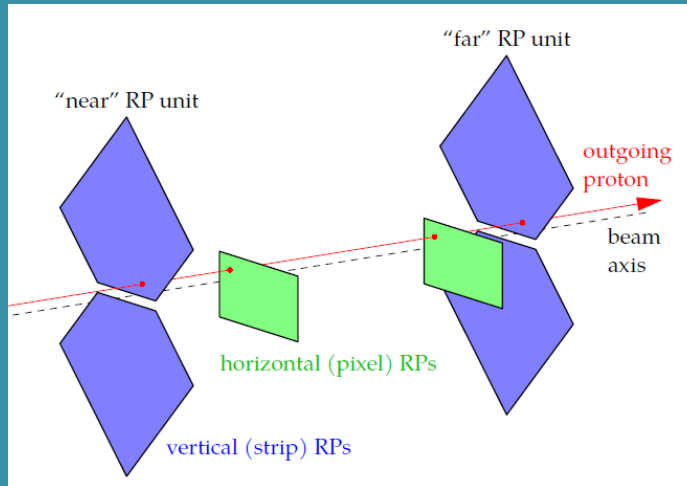
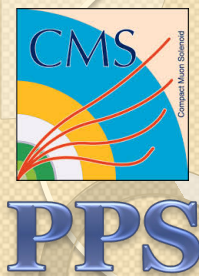


- Proton kinematics ($\xi = \Delta p/p$)

← Local alignment (among detectors)

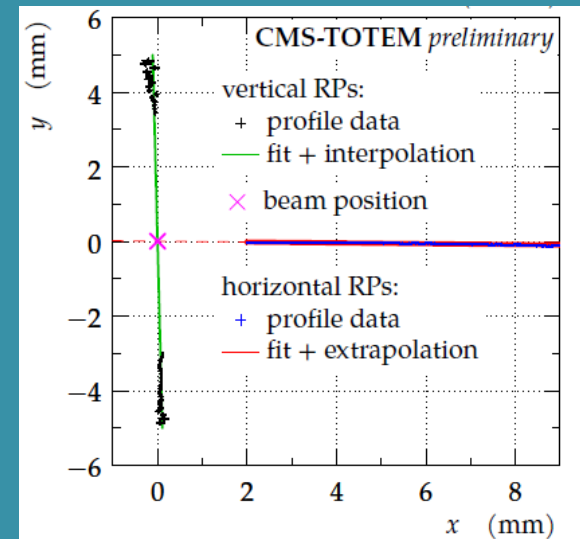
← Global alignment (with beam)
Optics knowledge

Alignment in special runs



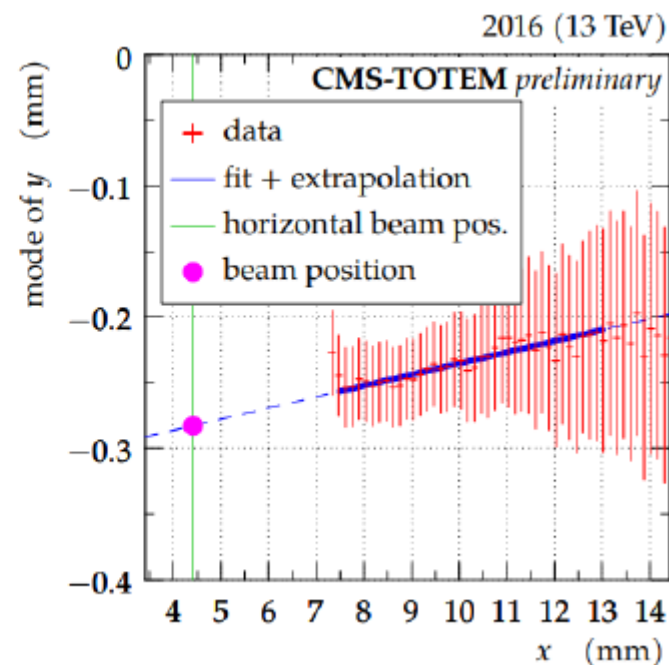
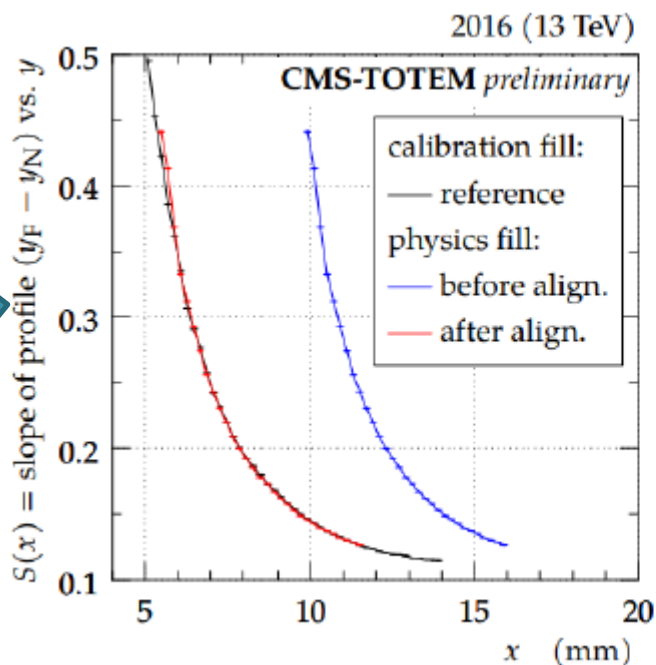
Local Alignment

- Both TOTEM vertical and PPS horizontal RPs inserted
- Low luminosity \rightarrow RPs inserted very close to beam \rightarrow detector overlap
- TOTEM vertical RPs: elastic events \rightarrow "absolute" alignment w.r.t. LHC beam
- Overlap area for relative alignment of horizontal RPs to verticals
- Method: minimise common track hit residuals in overlap area
- Beam position using extrapolation of vertical and horizontal track profiles



Alignment wrt beam

Alignment in physics fills



- Alignment of high luminosity fills to special alignment fills
- **Horizontal alignment:** uses specific common RP observables (patterns) in physics and alignment fills
- Alignment and physics fills: same optics required
- Relies on reproducibility of LHC optics
- **Vertical alignment:** extrapolation and correction at beam position

LHC optics: proton transport from IP to PPS

$$\vec{d}(s) = T(s, \xi) \cdot \vec{d}^*$$

Transport matrix

$$T = \begin{pmatrix} v_x & L_x & m_{13} & m_{14} & D_x \\ \frac{dv_x}{ds} & \frac{dL_x}{ds} & m_{23} & m_{24} & \frac{dD_x}{ds} \\ m_{31} & m_{32} & v_y & L_y & D_y \\ m_{41} & m_{42} & \frac{dv_y}{ds} & \frac{dL_y}{ds} & \frac{dD_y}{ds} \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\vec{d} = (x, \theta_x, y, \theta_y, \xi)^T$$

Proton transverse position

Proton $\Delta p/p$

Proton angle

- The reconstruction of the proton kinematics requires the inversion of the transport matrix
- The transport matrix is built with the knowledge of the **actual** LHC optics

A simplified version of the inversion equations (using most relevant terms)

$$x = v_x(\xi) \cdot x^* + L_x(\xi) \cdot \theta_x^* + D_x(\xi) \cdot \xi$$

$$y = v_y(\xi) \cdot y^* + L_y(\xi) \cdot \theta_y^* + D_y(\xi) \cdot \xi$$

Dispersions

Data driven optics calibration

Effective lengths L_x , L_y calibrated using constraints from data and calculated at each detector station.
 L_y calibrated with elastic events ($\xi=0$) in alignment fills.

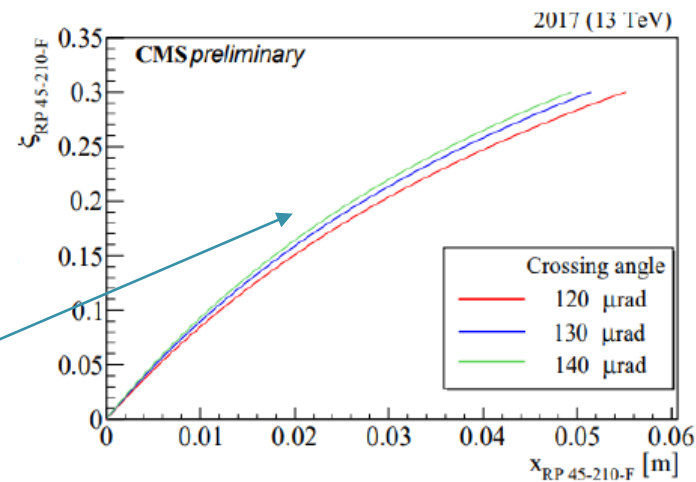
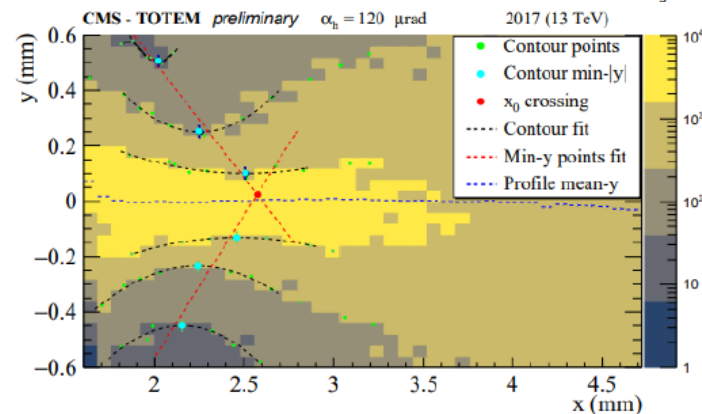
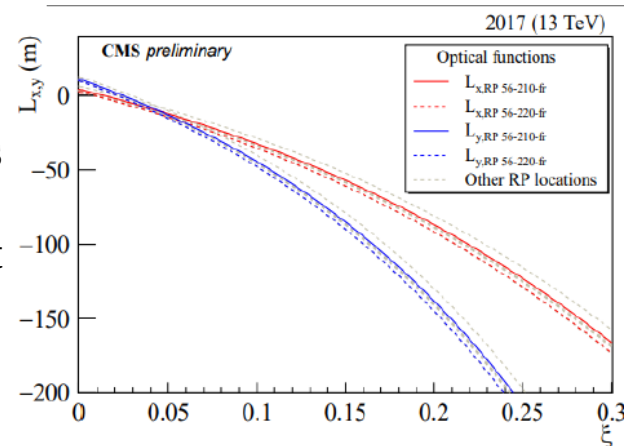
MAD-X model predicts ξ_0 where $L_y = 0$
 Corresponding focal point x_0 measured in RPs

$$D_x = x_0 / \xi_0$$

Interpolation among different crossing angles used by LHC

$$\xi(\alpha, x) = \xi_{120}(x) + \frac{120 - \alpha}{120 - 140} \cdot (\xi_{140}(x) - \xi_{120}(x))$$

x-to- ξ curves



Proton kinematics reconstruction

Single-RP method

- $\xi \approx x/D_x$
- Utilize the information from a single pot
- Non linearities with x-to- ξ curves
- Smearing from proton scattering angles \rightarrow limited resolution $\sigma(\xi)$
- Robust and “simple” systematic uncertainty model

$$x = v_x(\xi) \cdot x^* + L_x(\xi) \cdot \theta_x^* + D_x(\xi) \cdot \xi$$

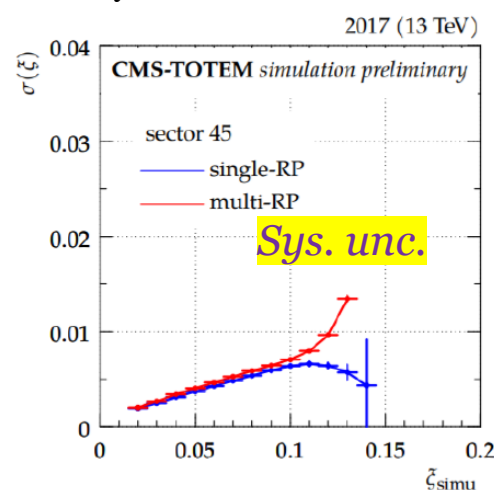
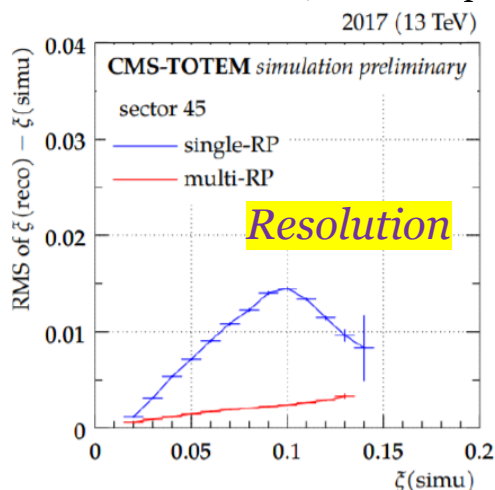
$$y = v_y(\xi) \cdot y^* + L_y(\xi) \cdot \theta_y^* + D_y(\xi) \cdot \xi$$

Multi-RP method

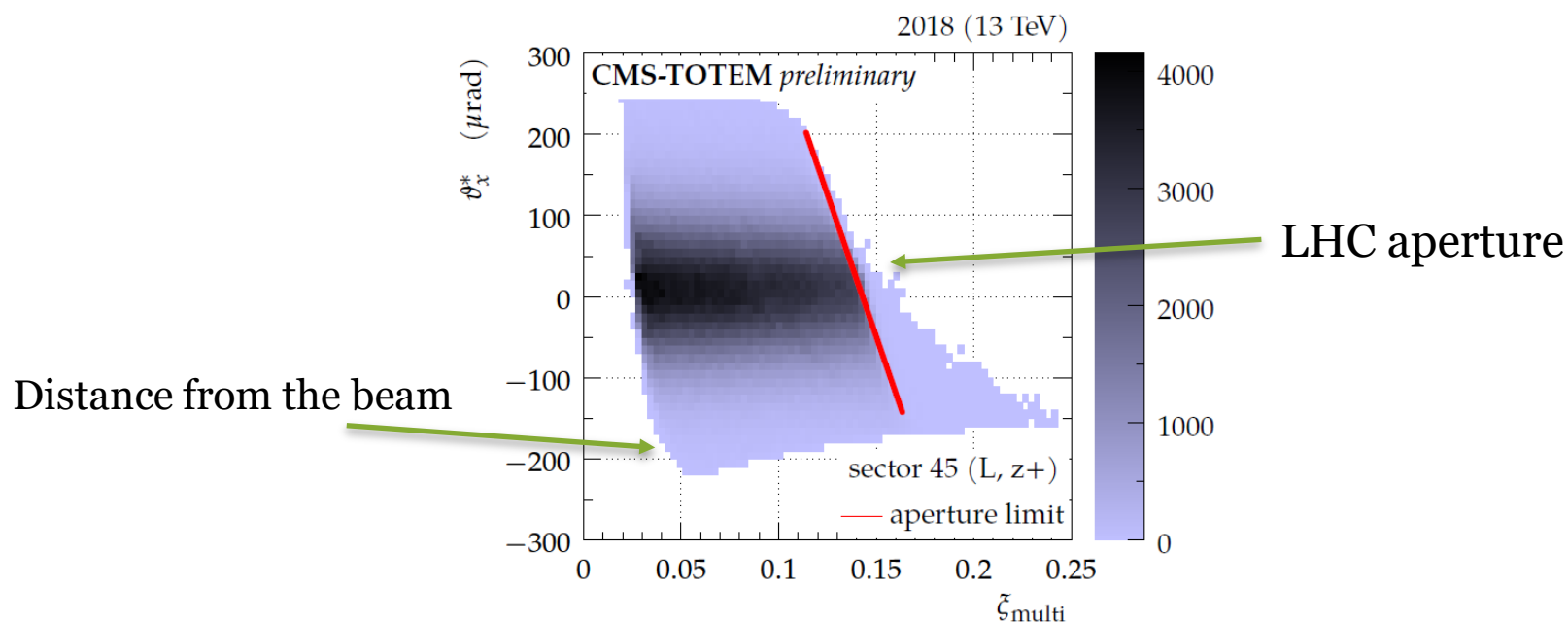
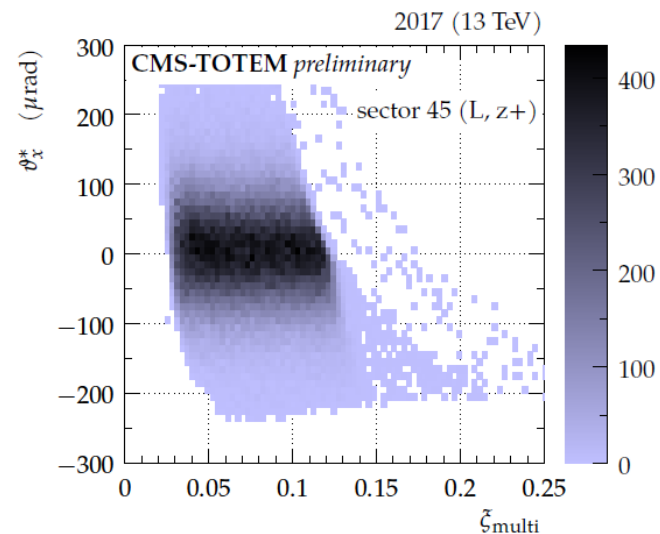
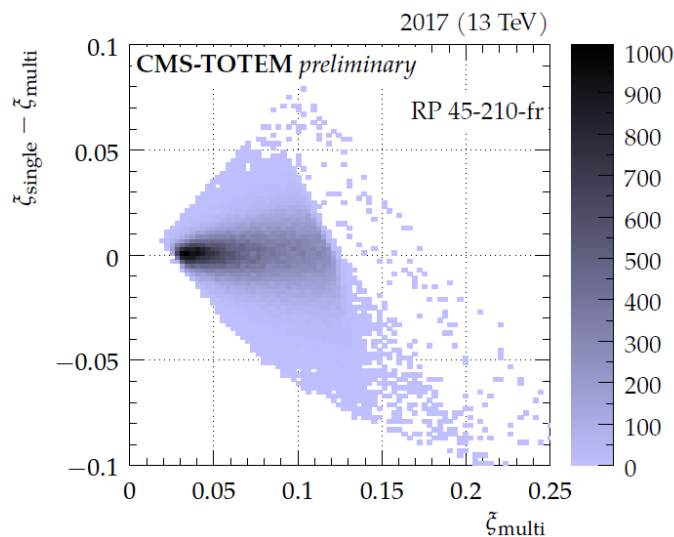
Minimization of

$$\chi^2 = \sum_{q=x_N, y_N, x_F, y_F} \left(\frac{q - O_q(x^*, \theta_x^*, y^*, \theta_y^*, \xi)}{\sigma(q)} \right)^2$$

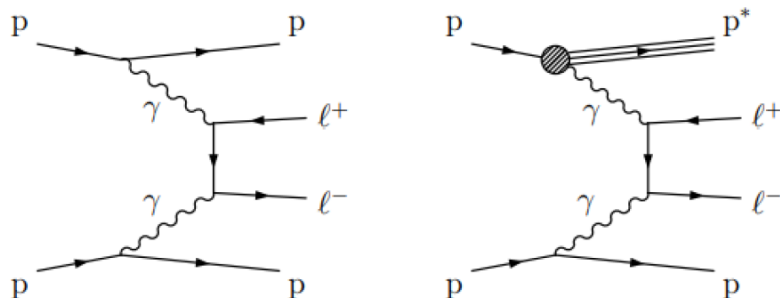
- O_q is the optics prediction for the coordinate, given the proton kinematics
- Combine measurements of 2 RPs to disentangle ξ and θ_x
- Non linearities considered in functions $O_q : L_{x,y}(\xi)$ and $v_{x,y}(\xi)$
- Significantly improves resolution $\sigma(\xi)$
- Assumes careful calibration, more complex systematic uncertainty model



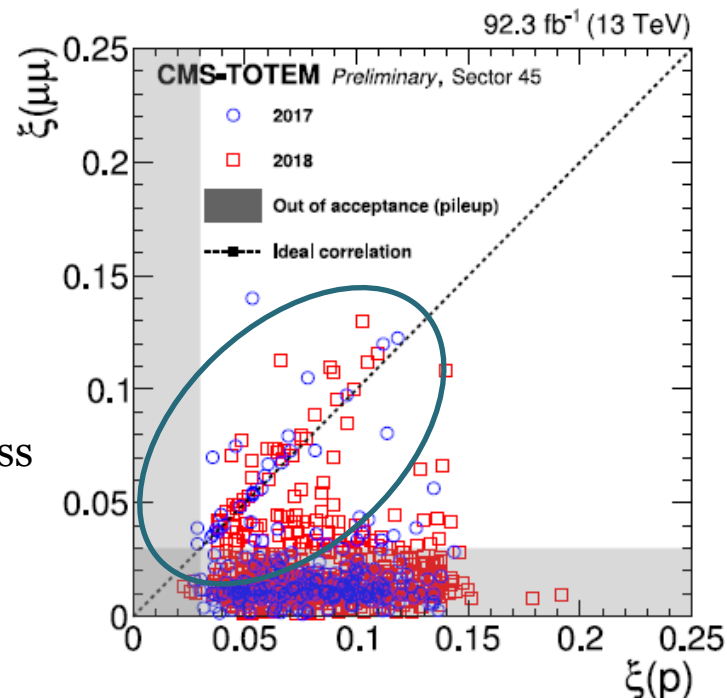
Control plots and acceptance



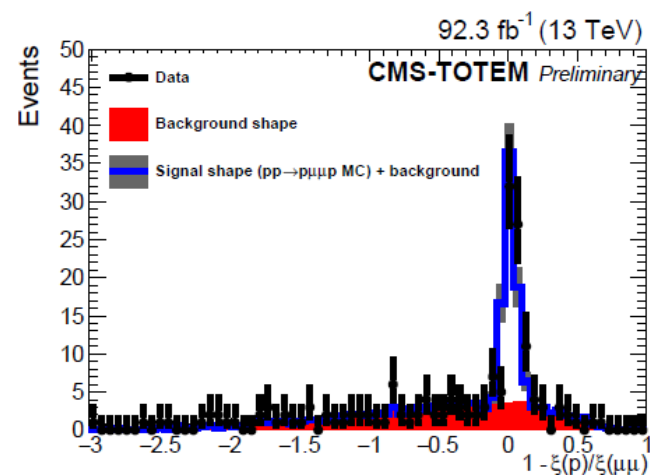
Validation with di-muon control sample



Correlations between fractional momentum loss reconstructed from di-muon pair $\xi(\mu\mu)$ vs that measured with proton(s) $\xi(p)$ in data.
Signal on the diagonal as expected.

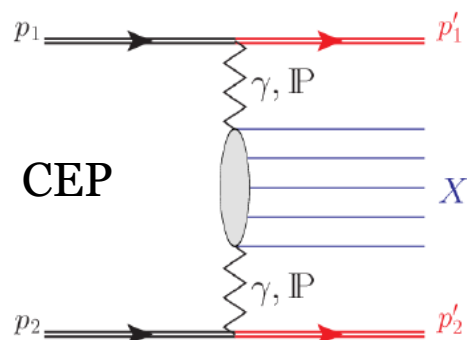


Correlation peak width consistent between data and simulation: **well described resolution.**
Peak position at 0 as expected.



PPS in Run3 and HL-LHC

Central Exclusive Production

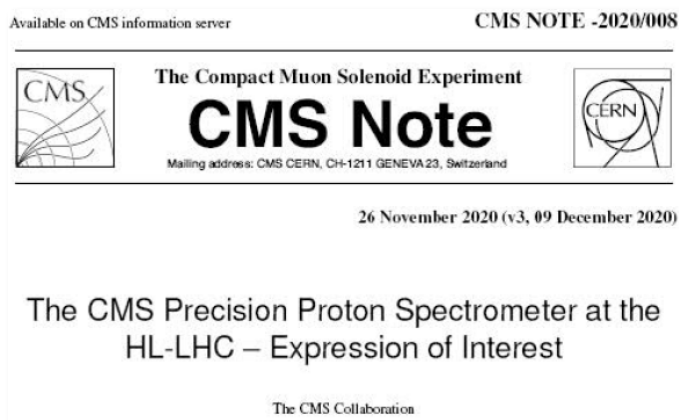


PPS will take data in **Run3** with an upgraded setup

- 2 tracking pots per side with **movable pixel detectors**
- **2 timing pots** per side with diamond detectors
- Detector installation scheduled for October 2021
- Physics goal: improve statistics of CEP in the same mass range of Run2 $350 \text{ GeV} < M_X < 2 \text{ TeV}$

CMS has presented an **Expression of Interest for a PPS at HL-LHC**.
Well recieved by LHC-Committee.

TDR(s) phase starting, more collaborators are welcome.



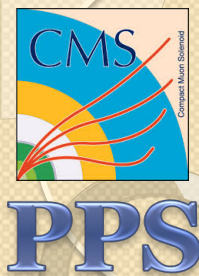
<https://cds.cern.ch/record/2750358>

A major upgrade needed:

- New tracking and timing detectors
 - High radiation levels and $\mu \sim 200$
- New insertion positions in LHC (at $\sim 200\text{m}$ and $\sim 400\text{m}$)

Physics goals:

- dramatically improve statistics of CEP
 - extend the mass range to
 - $130 \text{ GeV} < M_X < 2.7 \text{ TeV}$
 - $40 \text{ GeV} < M_X < 2.7 \text{ TeV}$
- } Depending on setup



PPS@HL-LHC: physics motivations, SM

Cross sections in two main scenarios

| Process | fiducial cross section [fb] | | | |
|----------------|-----------------------------|-------------------|---------------------|-------------------|
| | all stations | | w/o 420 | |
| | IP - IP | $\gamma - \gamma$ | IP - IP | $\gamma - \gamma$ |
| jj | $\mathcal{O}(10^6)$ | 60 | $\mathcal{O}(10^4)$ | 2 |
| W^+W^- | — | 37 | — | 15 |
| $\mu\mu$ | — | 46 | — | 1.3 |
| $t\bar{t}$ | — | 0.15 | — | 0.1 |
| H | 0.6 | 0.07 | 0 | 0 |
| $\gamma\gamma$ | — | 0.02 | — | 0.003 |

QCD

- Systematic study of screening effects in central exclusive di-jet production
- Exclusive $b\bar{b}$ production: dominant background for exclusive Higgs

Electroweak

- Complete the study of pllp and pVVp exclusive production with a sizable number of events with 2 protons in acceptance

Top

- Di-top mass resolution of \sim few GeV, probe near threshold production of exclusive $t\bar{t}b\bar{a}$

Higgs

- Cross section estimates vary by an order of magnitude due to the lack of knowledge of screening effects
- Measurement of the central exclusive production of the Higgs boson is possible only with all stations (no acceptance w/o 420 m)

PPS@HL-LHC: physics motivations. BSM

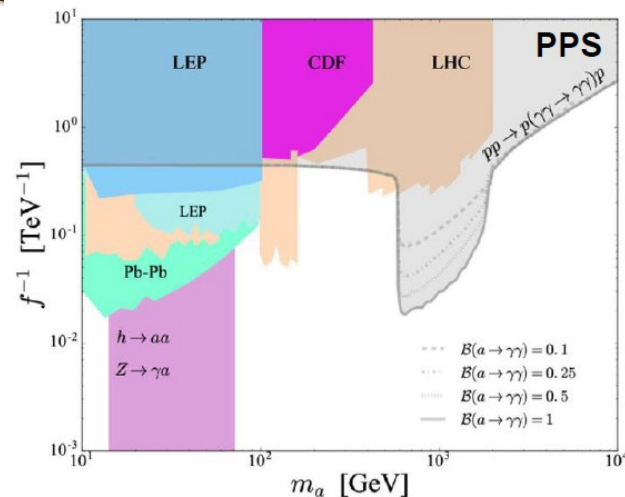


Axion like particles

- High mass reach
- CEP via γ fusion ($\gamma\gamma$ collider)

SUSY searches

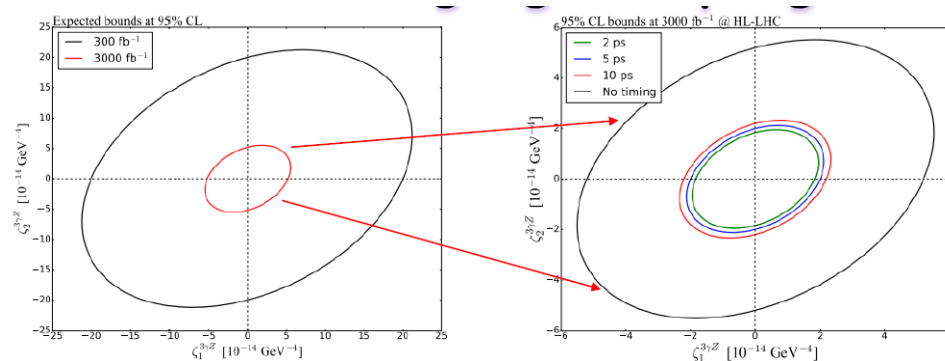
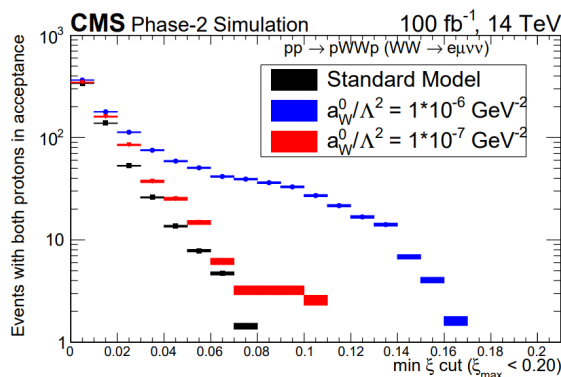
- High mass reach
- Di-slepton production in CEP: direct measurement of di-slepton mass



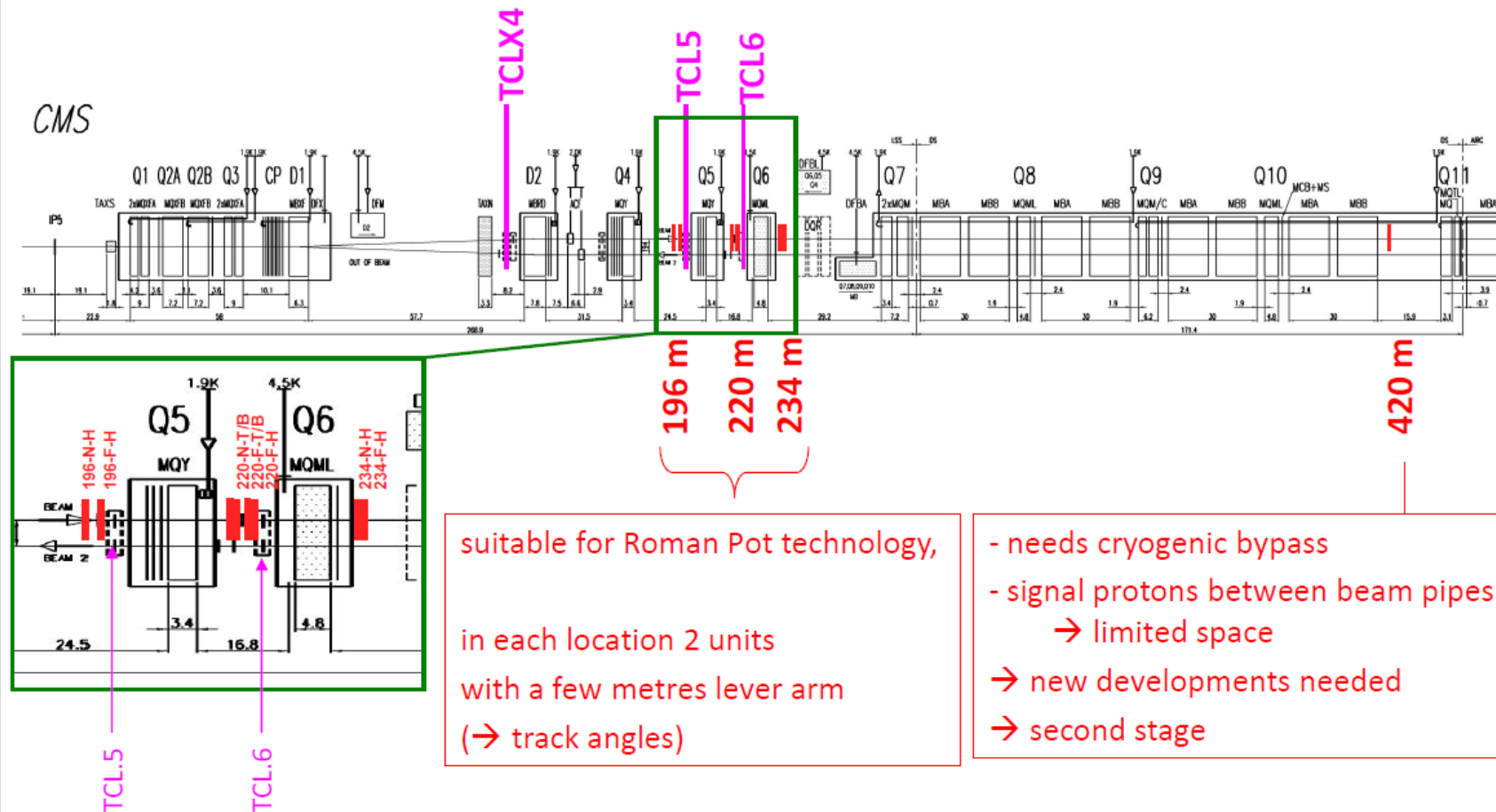
$$pp \rightarrow \tilde{\ell}\tilde{\ell} \rightarrow \ell\ell\tilde{\chi}_1^0\tilde{\chi}_1^0$$

Anomalous gauge couplings

- Exclusive WW production sets stringent upper limit on the anomalous quartic gauge coupling operators
- $\gamma\gamma\gamma$ Z coupling can be probed in $\gamma\gamma \rightarrow Z\gamma$ channel search.



PPS@HL-LHC: proposed layout



Next step: TDR(s):

First priority: detector vessel (warm stations), machine integration, services

Staged approach: 420 m station in a second step

Detector technologies to be chosen.

Possibly use synergy with existing CMS subdetectors

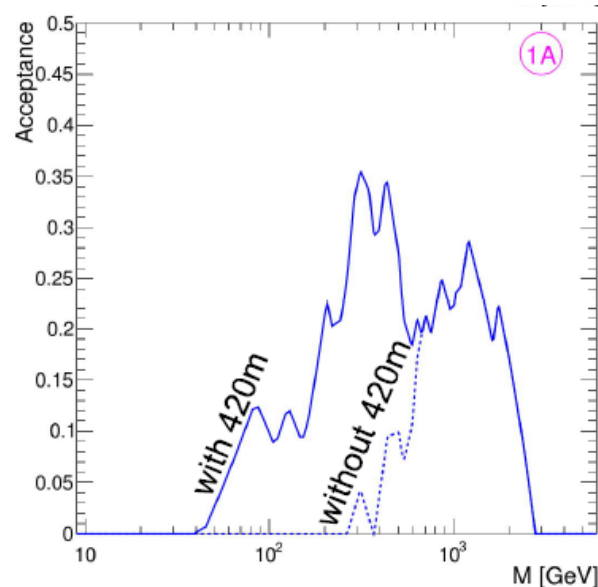
PPS@HL-LHC: mass acceptance

Mass acceptance depending on LHC optics and detector locations

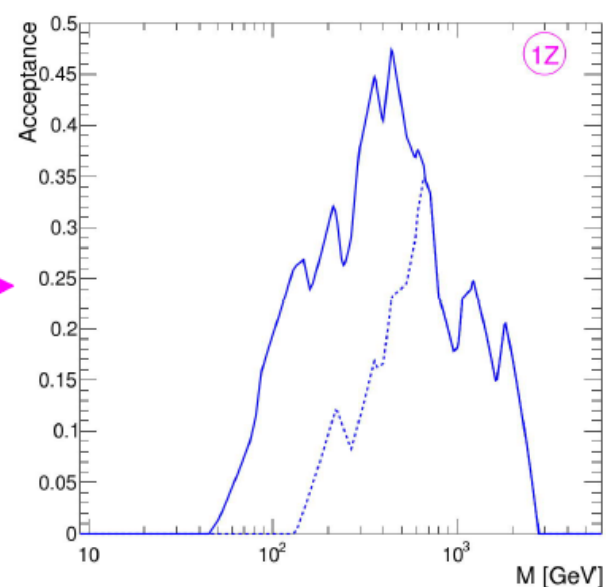
| Station | Vertical Crossing-Angle | | | |
|---------|-------------------------|----------------|----------------------------|----------------------------|
| | $ \xi_{\min} $ | $ \xi_{\max} $ | M_{\min} [GeV] @ $y = 0$ | M_{\max} [GeV] @ $y = 0$ |
| 196 m | 0.0786–0.0856 | 0.1967 | 1100.87–1197.80 | 2754.27 |
| 220 m | 0.0371–0.0381 | 0.0688 | 519.89–533.18 | 962.70 |
| 234 m | 0.0189–0.0095 | 0.0263 | 264.96–132.80 | 368.11 |
| 420 m | 0.0031–0.0034 | 0.0116 | 43.38–47.04 | 162.66 |

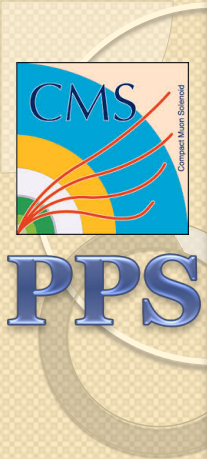
$$\ln \frac{M}{\sqrt{s}} = \frac{1}{2} (\ln \xi_1 + \ln \xi_2)$$

$$y = \frac{1}{2} (\ln \xi_1 - \ln \xi_2)$$



Fill





Summary

- The proton reconstruction performed by the Precision Proton Spectrometer detector in CMS has been described in detail
- PPS has successfully taken data during LHC Run2 and will take data in Run3
- The perspective for a PPS also in the High Luminosity phase of LHC has been discussed

See also K.Shchelina's talk on PPS physics performance.

References

- Proton reconstruction with the Precision Proton Spectrometer in Run 2. - CMS DP 2020-047
- CMS and TOTEM Collaborations, “CMS TOTEM Precision ProtonSpectrometer ,” CERN LHCC 2014 021
- The CMS Precision Proton Spectrometer at the HL-LHC -- Expression of Interest, CERN-CMS-NOTE-2020-008