



Hadronisation studies at the LHC with ALICE



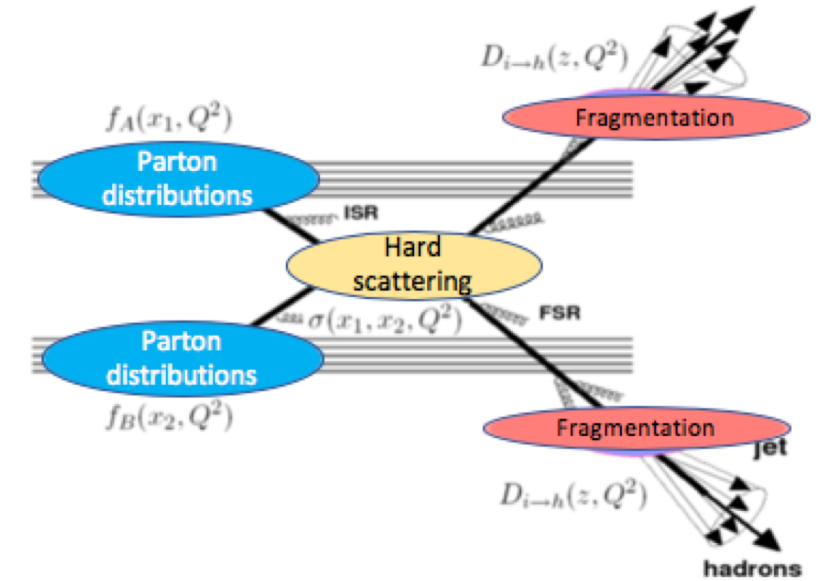
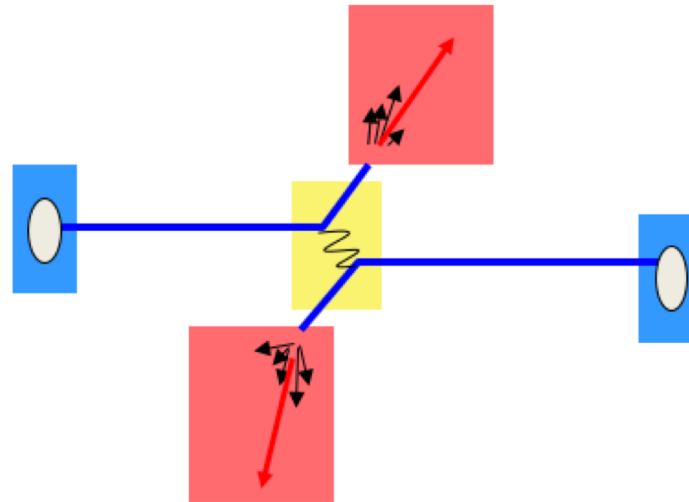
P. Antonioli, INFN Bologna
for the ALICE Collaboration

The boring "physics motivations slide"



The heavy-flavour production is a test of pQCD

- large quark mass provides hard scale
- pQCD can calculate cross sections down to low p_T

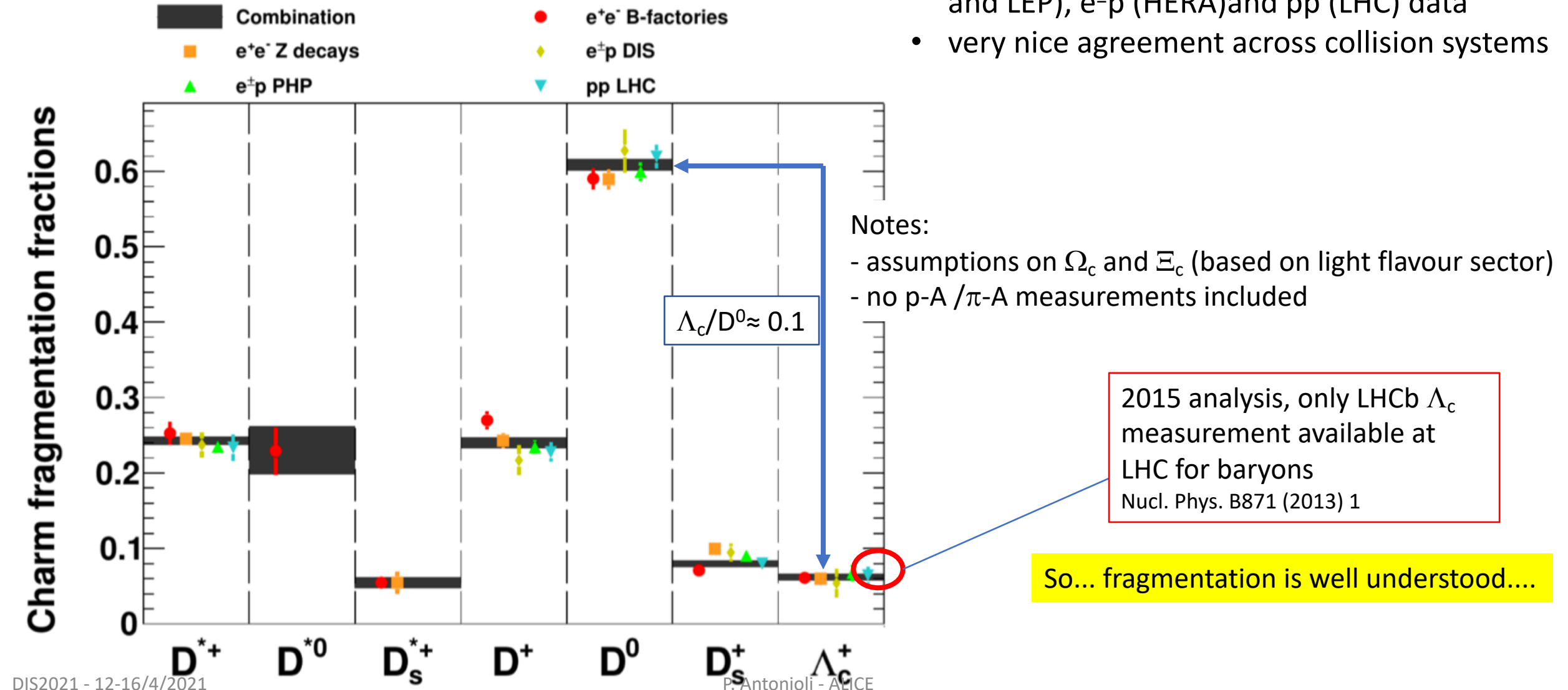


$$\frac{d\sigma_{pp}^h}{dyd^2p_T} = K \sum_{abcd} \int dx_a dx_b f_a(x_a, Q^2) f_b(x_b, Q^2) \frac{d\sigma}{d\hat{t}}(ab \rightarrow cd) \frac{D_{h/c}^0}{\pi z_c}$$

factorization of the QCD problem holds if the non-perturbative part can be “captured” in universal PDF and FF

Lisovyyi M. et al, EPJ C 76 (2016) no.7, 397

- global analysis that include e^+e^- (B factories and LEP), e^+p (HERA) and pp (LHC) data
- very nice agreement across collision systems



ALICE detector can help for hadronization studies!



THE ALICE DETECTOR

TOF: PID time of flight

ITS: vertexing and tracking

PID capabilities
low p_T reach

TPC: tracking and PID (dE/dx)

1. ITS
2. FMD, T0, V0
3. TPC
4. TRD
5. TOF
6. HMPID
7. EMCal
8. DCal
9. PHOS, CPV
10. L3 Magnet
11. Absorber
12. Muon Tracker
13. Muon Wall
14. Muon Trigger
15. Dipole Magnet
16. PMD
17. AD
18. ZDC
19. ACORDE

- a. ITS SPD (Pixel)
b. ITS SDD (Drift)
c. ITS SSD (Strip)
d. V0 and T0
e. FMD

- charmed baryons studied in the central barrel $|y| < 0.5$ in pp
- complementary to LHCb

Data samples and c-baryons @ALICE [RUN 2]



System	Year(s)	\sqrt{s}_{NN} (TeV)	L_{int}
Pb—Pb	2010,2011	2.76	$\sim 75 \mu\text{b}^{-1}$
	2015	5.02	$\sim 0.25 \text{ nb}^{-1}$
	2018	5.02	$\sim 0.55 \text{ nb}^{-1}$
Xe—Xe	2017	5.44	$\sim 0.3 \mu\text{b}^{-1}$
p—Pb	2013	5.02	$\sim 15 \text{ nb}^{-1}$
	2016	5.02, 8.16	$\sim 3 \text{ nb}^{-1}; \sim 25 \text{ nb}^{-1}$
pp	2009-2013	0.9, 2.76, 7, 8	$\sim 200 \mu\text{b}^{-1}; \sim 100 \text{ nb}^{-1};$ $\sim 1.5 \text{ pb}^{-1}; \sim 2.5 \text{ pb}^{-1}$
	2015,2017	5.02	$\sim 1.3 \text{ pb}^{-1}$
	2015-2018	13	$\sim 36 \text{ pb}^{-1}$

$D^0 \rightarrow K^- \pi^+; D^+ \rightarrow K^- \pi^+ \pi^+; D^{*+} \rightarrow D^0 \pi^+ \rightarrow K^- \pi^+ \pi^+;$

$D_s^+ \rightarrow \phi \pi^+ \rightarrow K^- K^+ \pi^+$

(fully reconstructed hadronic decays)

$\Lambda_c^+ \rightarrow p K^- \pi^+; \Lambda_c^+ \rightarrow p K_s^0$

$\Sigma_c^{0,++} \rightarrow \Lambda_c^+ + \pi^{\mp,+}$

$\Xi_c^0 \rightarrow \Xi^- \pi^+, \Xi_c^+ \rightarrow \Xi^- \pi^+ \pi^+$

(fully reconstructed hadronic decays)

$\Lambda_c^+ \rightarrow e^+ \Lambda \nu_e; \Xi_c^0 \rightarrow e^+ \Xi^- \nu_e$

(partially reconstructed semi-leptonic decays)

RUN 1 & 2

RUN 2

- charmed mesons
- charmed baryons

→ baryon-to-meson ratio sensitive to hadronization

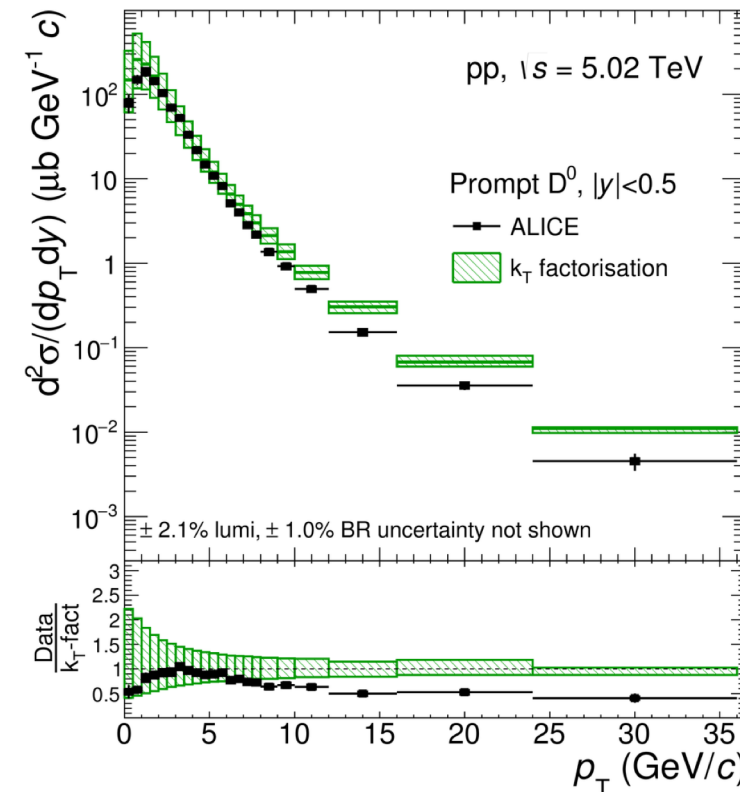
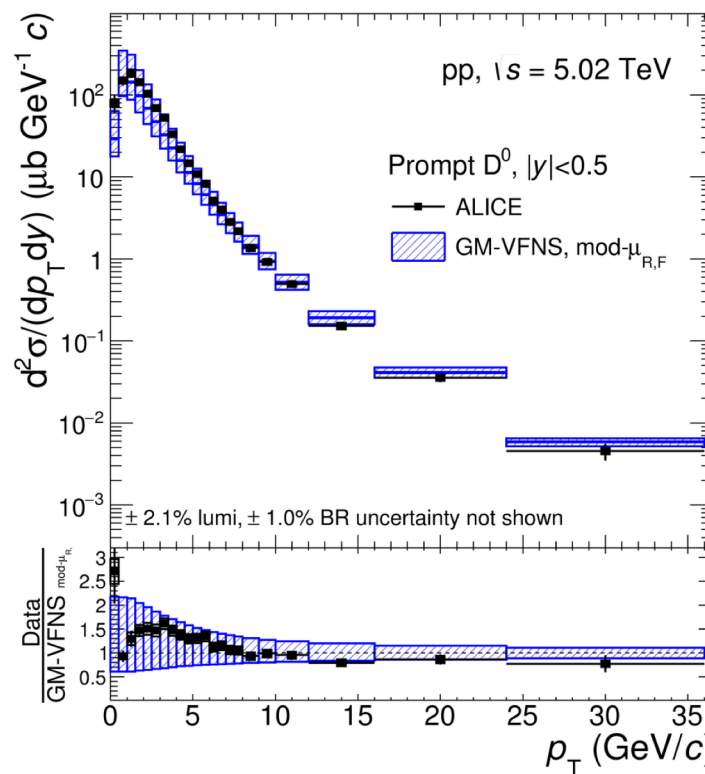
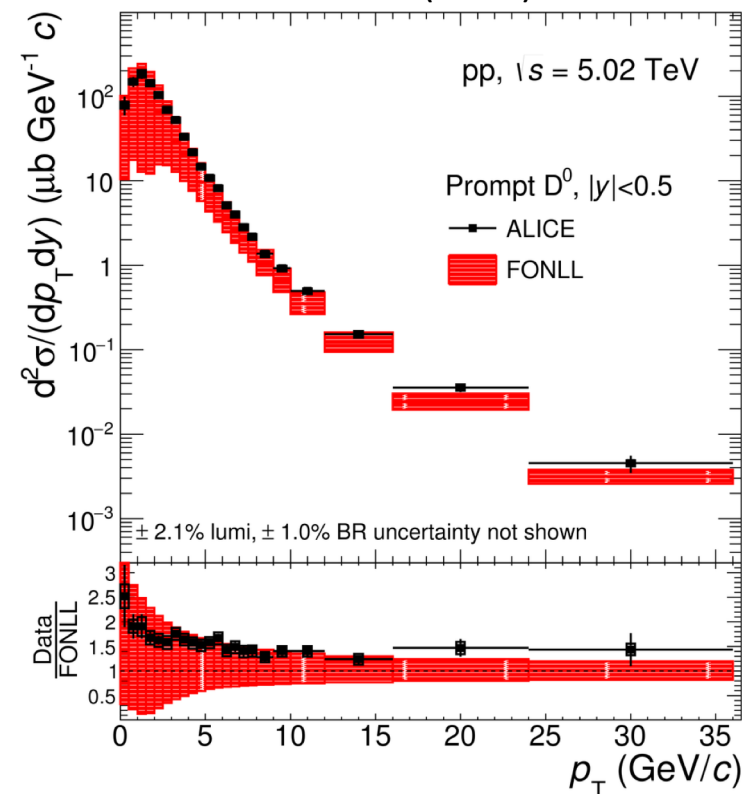
→ Λ/K^0 ratio gives "a LF reference"

D mesons are candles here



EPJ C79 5 (2019) 388

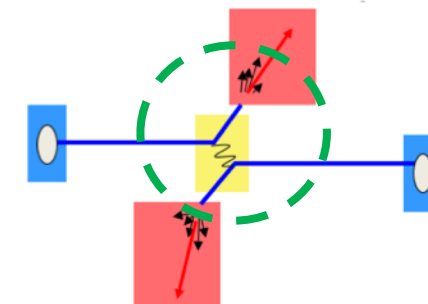
(previous measurements at 7 TeV in backup)



wide p_T range / cross section measured down to $p_T = 0$ for D^0 meson. Comparison with:

- FONLL (Fixed Order with Next to Leading Log resummation): Cacciari et al., JHEP 1210 (2012) 137
- GM-VFNS (General Mass Variable Flavour Number Scheme): Kniehl et al., EPJ C72 (2012) 2082
- k_T -factorization (LO accuracy + unintegrated gluon distribution function): Maciula, Szczurek, PRD 87 (2013) 094022)

FONLL: describes data within uncertainties, central value *below* data
 GM-VFNS: the same, (updated calculations: central value *below* data)
 k_T : data overestimated above $p_T > 10 \text{ GeV}/c$



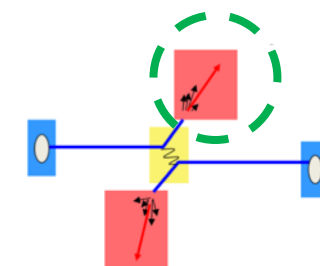
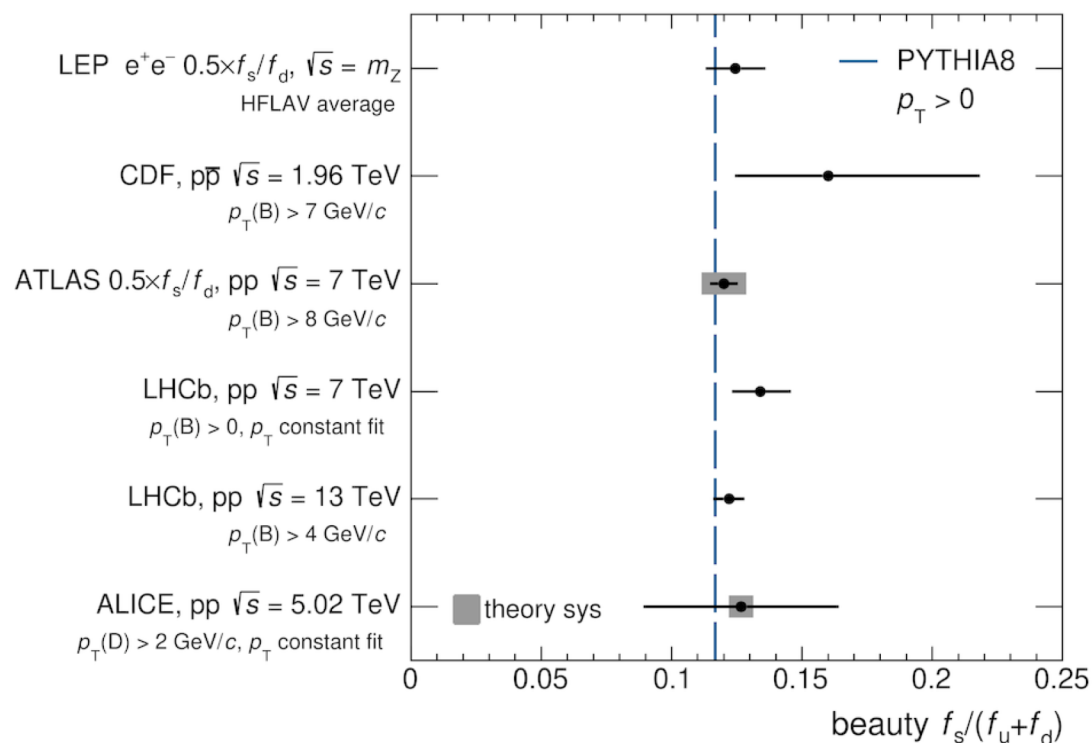
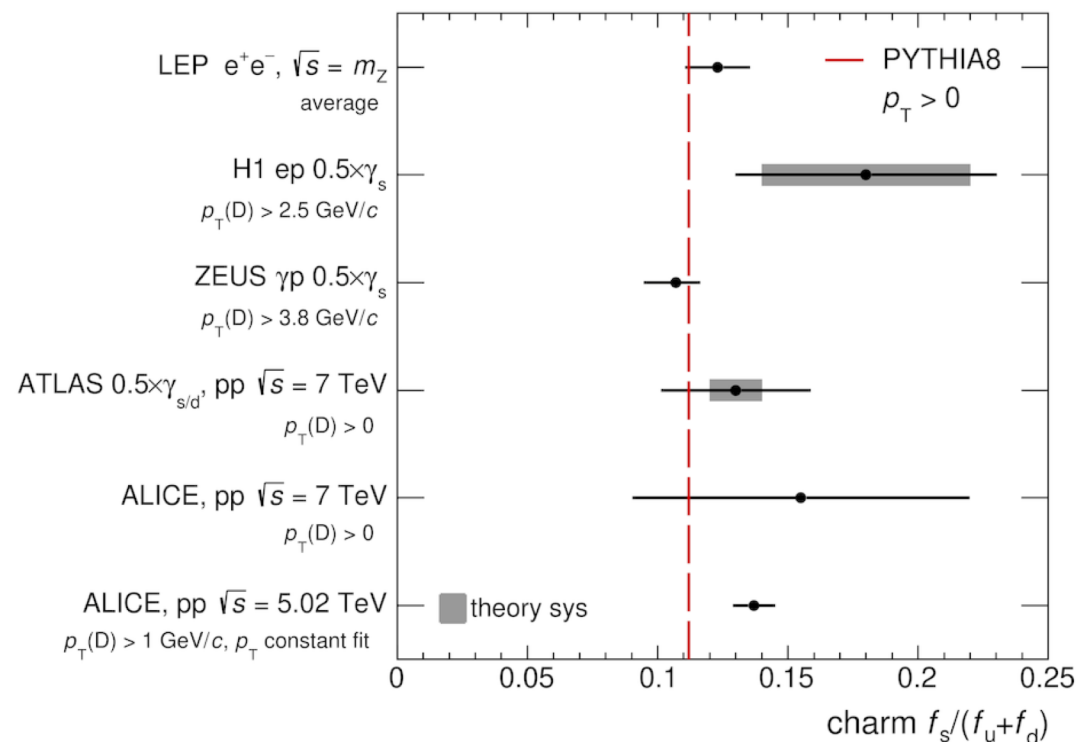
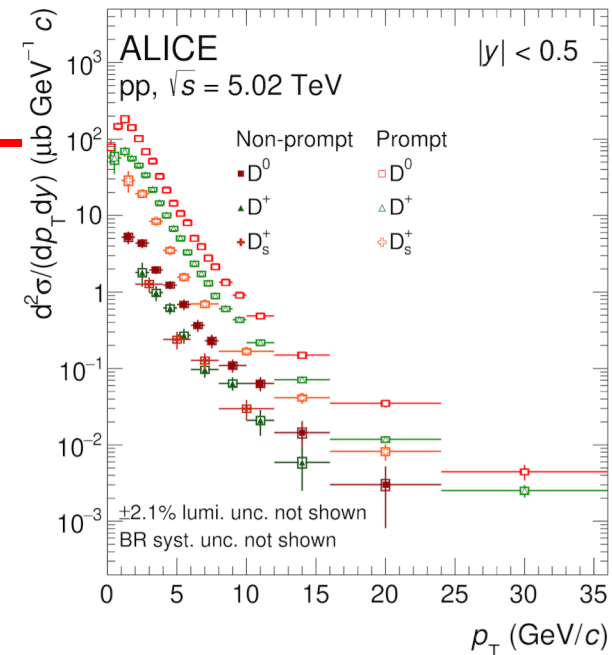
non-prompt component: pp at $\sqrt{s_{NN}} = 5.02$ TeV

arXiv:2102.13601 (submitted to JHEP)

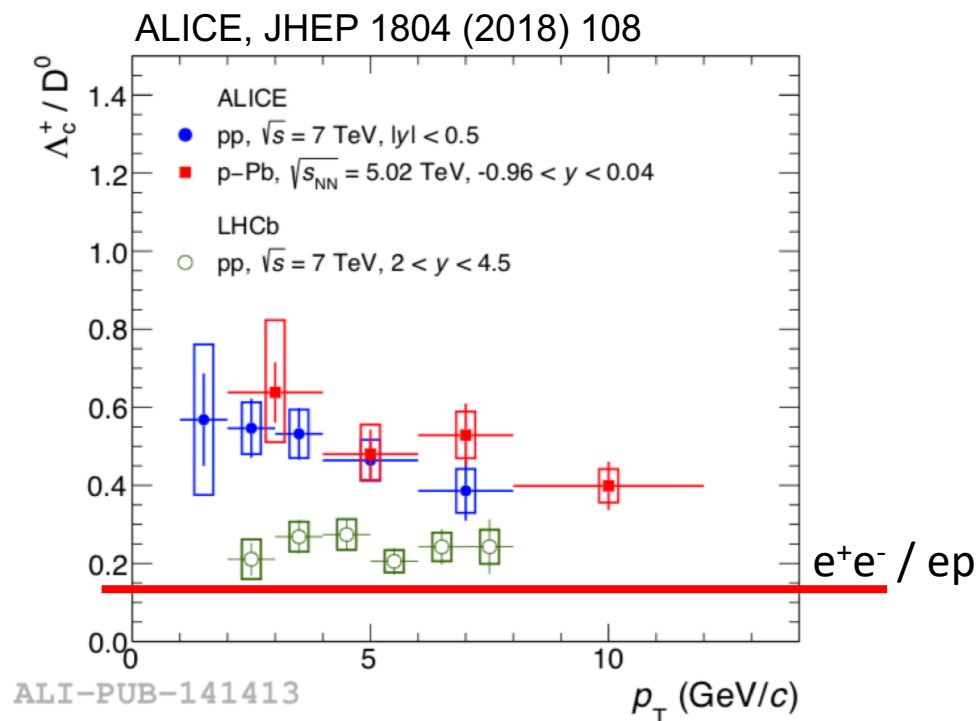
Recently on arXiv

- Measurement of non-prompt component using ML and data-driven techniques
- $f_s / (f_u + f_d)$ fragmentation fractions of HQ to strange/non-strange mesons compatible with previous measurements (different s and collision system)
- General agreement with pQCD calculations (FONLL/GM-VFNS)

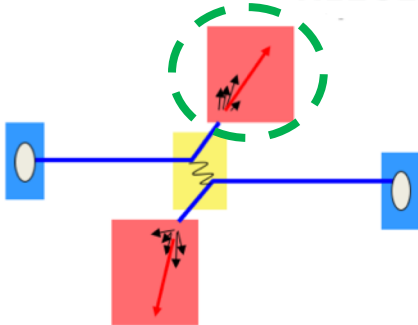
More → F. Groza talk this morning



Baryon-to-meson ratio as a probe of our understanding of hadronization, but...



	$\Lambda_c^+ / D^0 \pm \text{stat.} \pm \text{syst.}$	System	\sqrt{s} (GeV)
CLEO [43]	$0.119 \pm 0.021 \pm 0.019$	ee	10.55
ARGUS [42, 98]	0.127 ± 0.031	ee	10.55
LEP average [80]	$0.113 \pm 0.013 \pm 0.006$	ee	91.2
ZEUS DIS [51]	$0.124 \pm 0.034^{+0.025}_{-0.022}$	ep	320
ZEUS γp , HERA I [49]	$0.220 \pm 0.035^{+0.027}_{-0.037}$	ep	320
ZEUS γp , HERA II [50]	$0.107 \pm 0.018^{+0.009}_{-0.014}$	ep	320



"fragmentation to heavy-flavour baryons is **not** well understood"

- no drastic change between pp and p—Pb
- "tension" with LHCb but at different rapidity + we have now CMS result + LHCb p—Pb result
- "supertension" with e^+e^- and ep results (that are p_T integrated though)

Do the **\sqrt{s}** and/or **the collision system** play a role here?
Remember $f(b \rightarrow \Lambda_b)$ at Tevatron/LHC vs LEP

Table 85.1: Fragmentation fractions of b quarks into weakly-decaying b -hadron species in $Z \rightarrow b\bar{b}$ decay, and in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV [26].

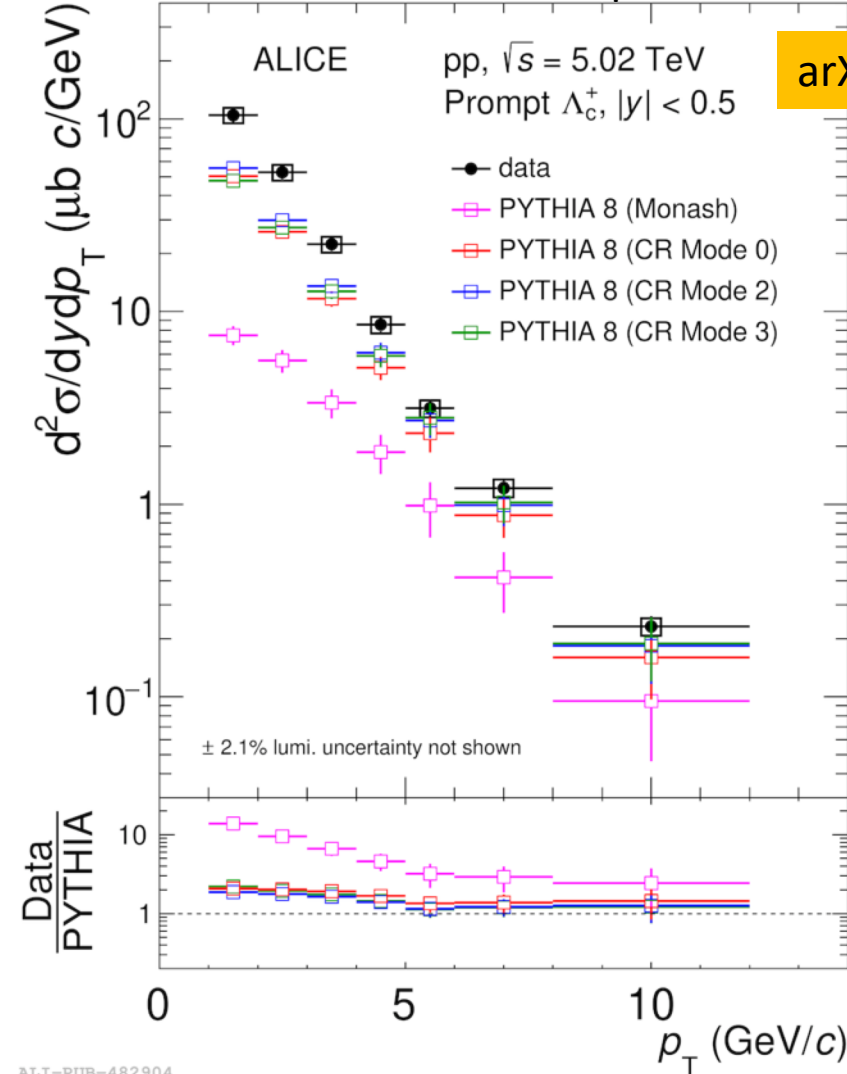
b hadron	Fraction at Z[%]	Fraction at $p\bar{p}$ [%]
B^+, B^0	41.2 ± 0.8	34.0 ± 2.1
B_s^0	8.8 ± 1.3	10.1 ± 1.5
b baryons	8.9 ± 1.2	21.8 ± 4.7

<http://pdg.lbl.gov/2018/reviews/rpp2018-rev-b-meson-prod-decay.pdf>

Baryon-to-meson ratio with RUN2 data: Λ_c/D^0 pp at $\sqrt{s} = 5.02$ TeV

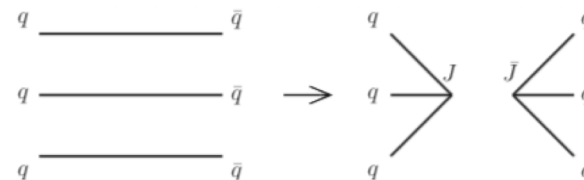
- "anomalous" Λ_c/D^0 ratio seen in RUN1 fully confirmed
- Models with enhanced CR mechanisms closer to data
- POWHEG/GM-VFNS underpredict data (see backup)

arXiv:2011.06078 (submitted to PRL)

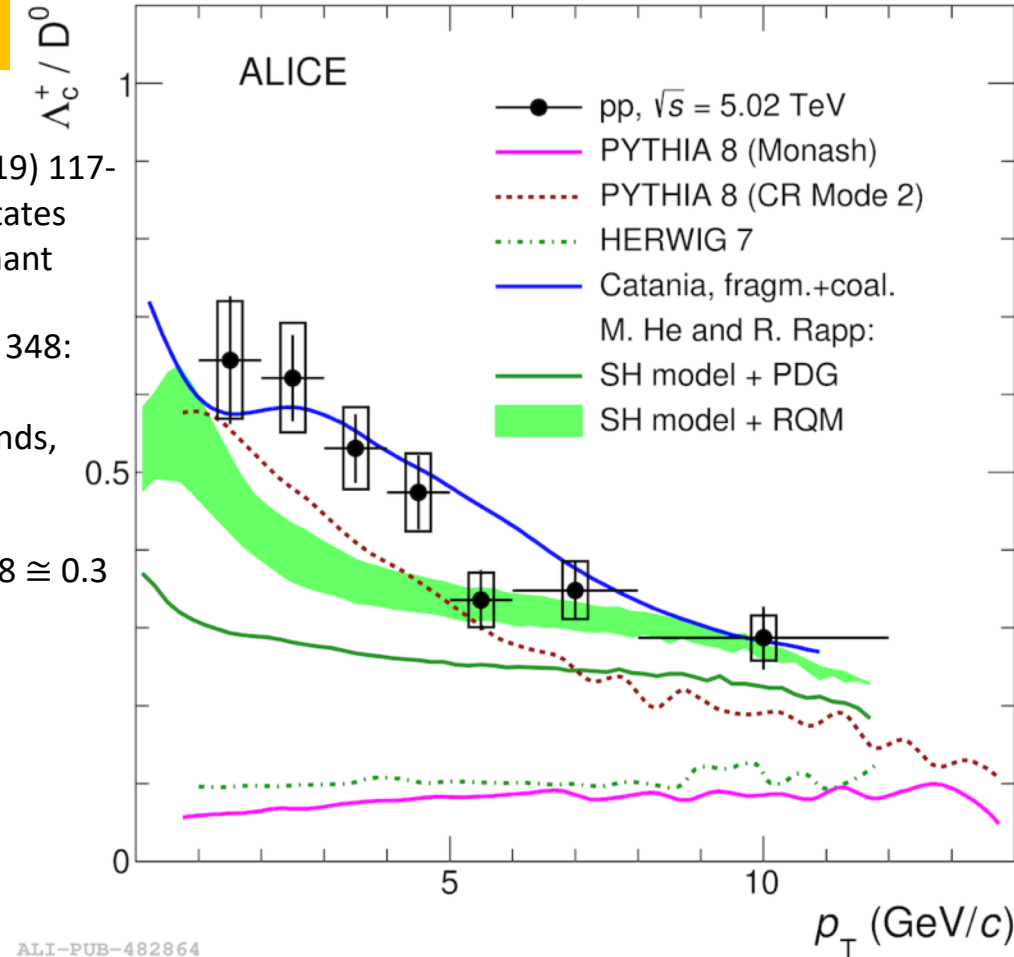


arXiv:2011.06078 (submitted to PRC)

- M. He and R. Rapp, Phys.Lett. B795 (2019) 117-121: SHM + feed down from standard states (PDG) or unobserved higher mass resonant states (RQM)
- Catania: S. Plumari et al. EPJ C78 (2018) 348: fragmentation + coalescence
- CR Mode 2 \rightarrow J. Christiansen and P. Skands, JHEP 08 (2015) 003: enhanced CR mechanisms with 3-leg junctions
- CMS result on Λ_c/D^0 : PLB (2020) 135328 $\cong 0.3$

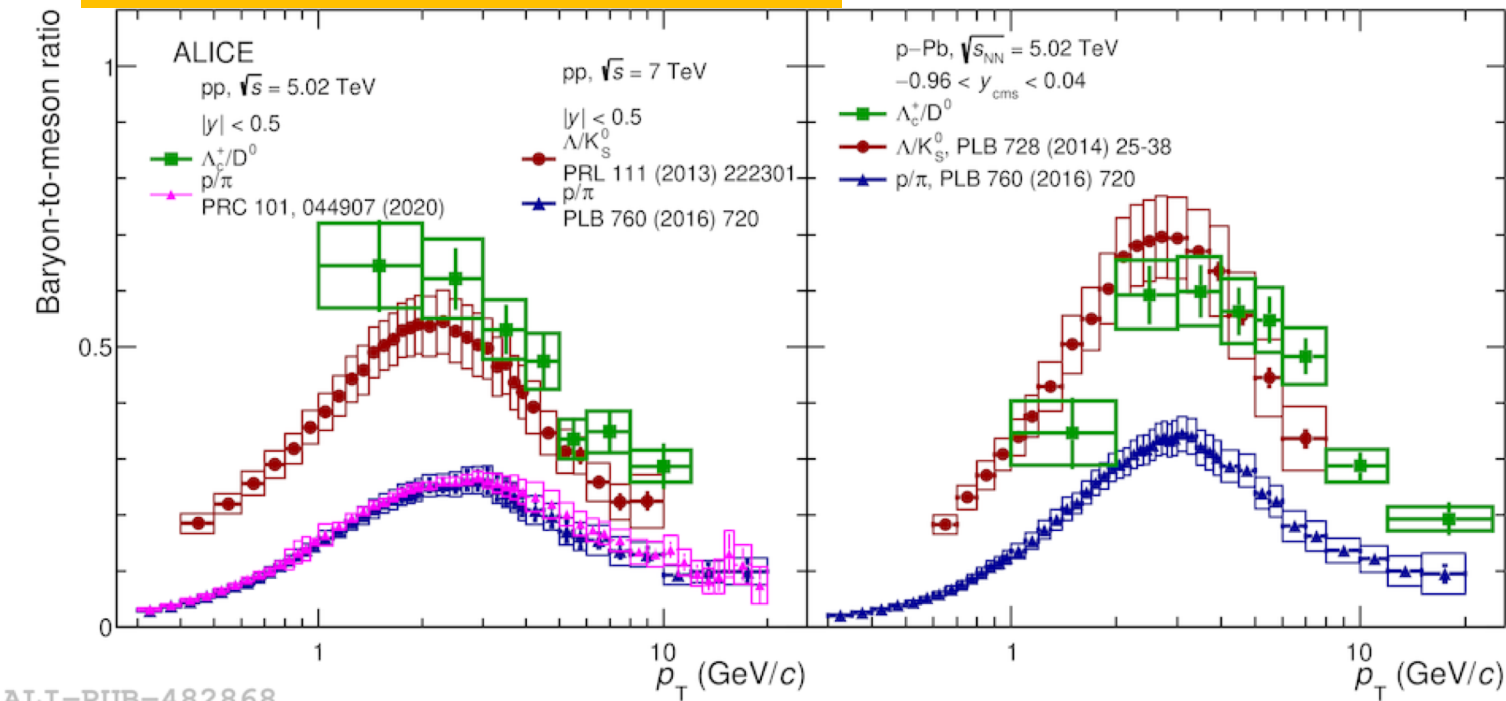


(c) Type III: baryon-style junction reconnection



and some common pattern emerges between light-flavour and heavy-flavour hadronisation

arXiv:2011.06078 (submitted to PRL)

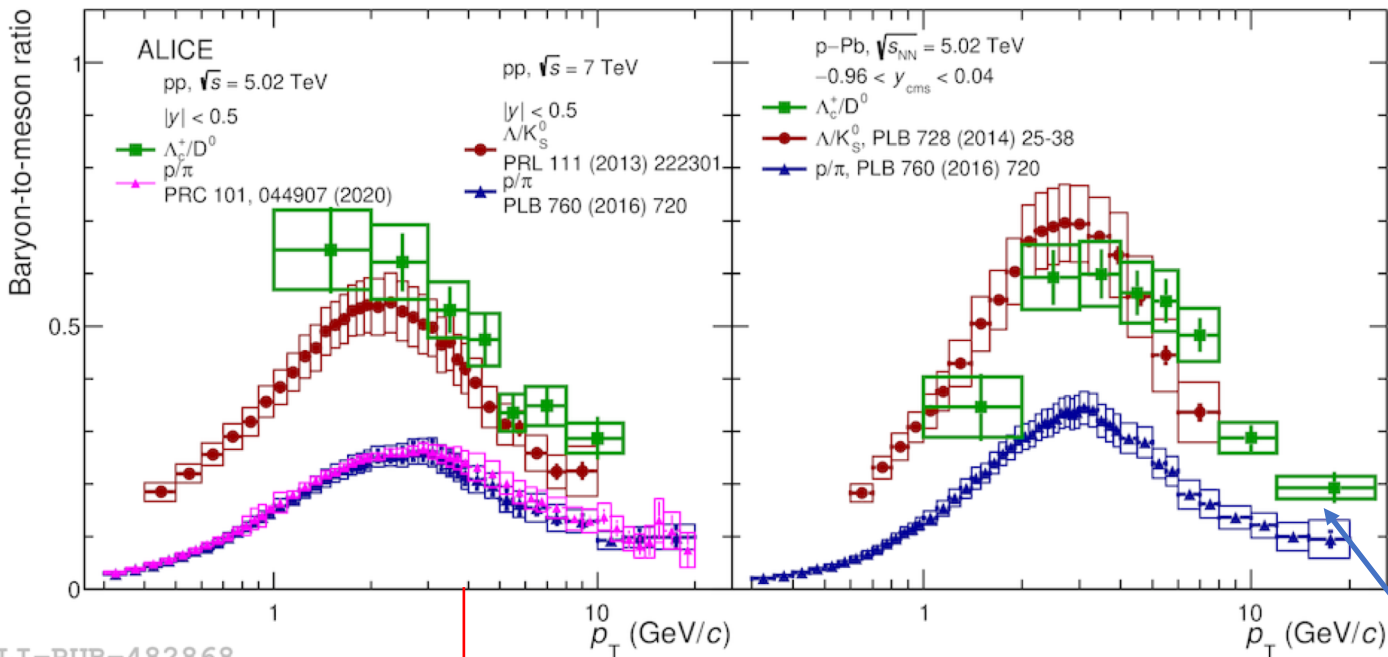


ALI-PUB-482868

baryon production increases at intermediate p_T

note in HF sector hadronisation is quite different due to the hard scale (the c/b quark produced in the hard scattering not in the fragmentation)

arXiv:2011.06078 (submitted to PRL)

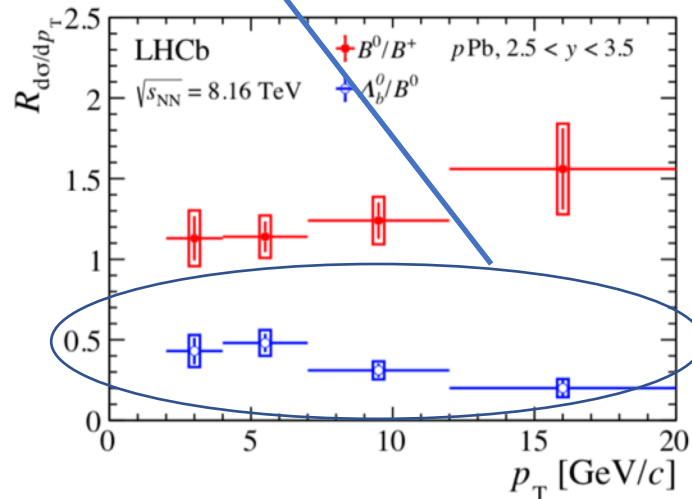
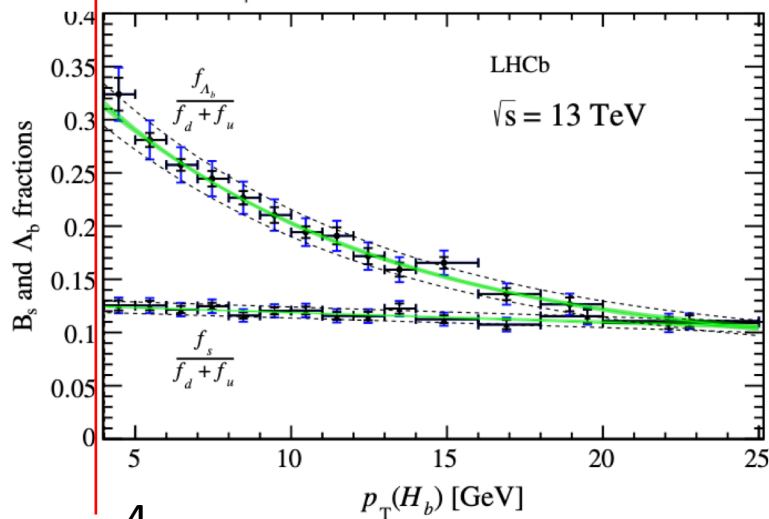


baryon production increases at intermediate p_T

note in HF sector hadronisation is quite different due to the hard scale (the c/b quark produced in the hard scattering not in the fragmentation)

- note also LHCb results with Λ_b over B mesons
LHCb, Phys.Rev. D100 (2019) 031102 (pp)
LHCb, Phys. Rev. D99, (2019) 052011 (p—Pb)

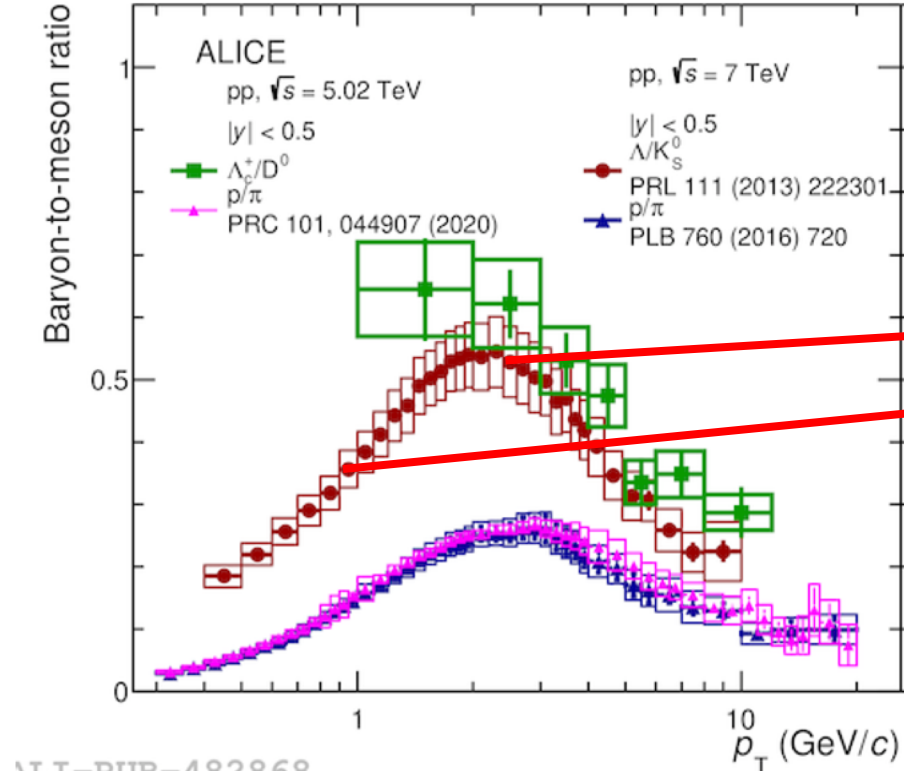
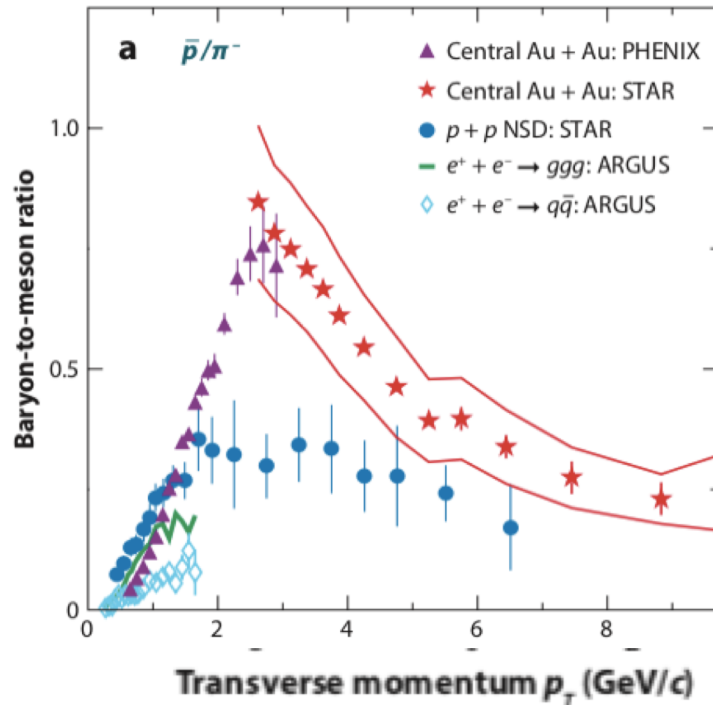
ALI-PUB-482868



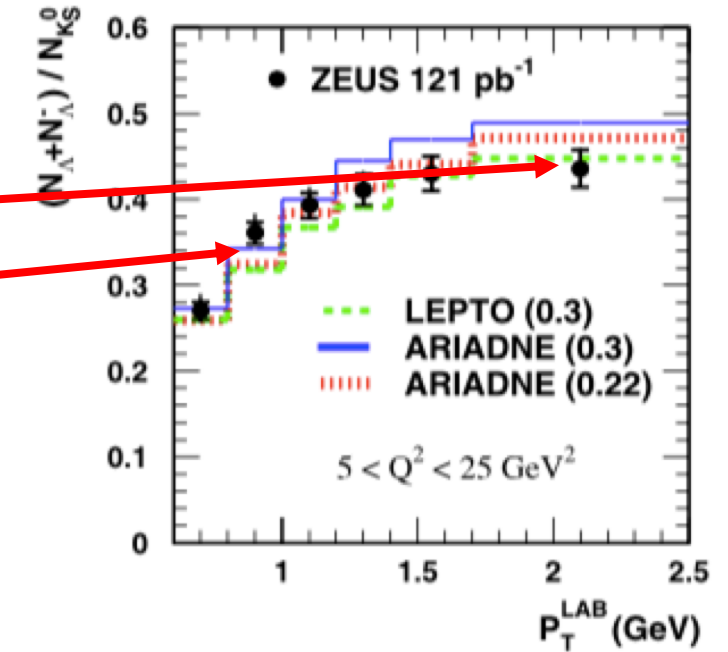
CAVEAT:
For completeness don't forget LHCb in
pp ($\Lambda_c/D^0 \approx 0.25 \pm 0.05$)
and p—Pb ($\Lambda_c/D^0 \approx 0.35 \pm 0.05$)!

Note in e^+e^- and ep (DIS!) for the LF sector this was already there...

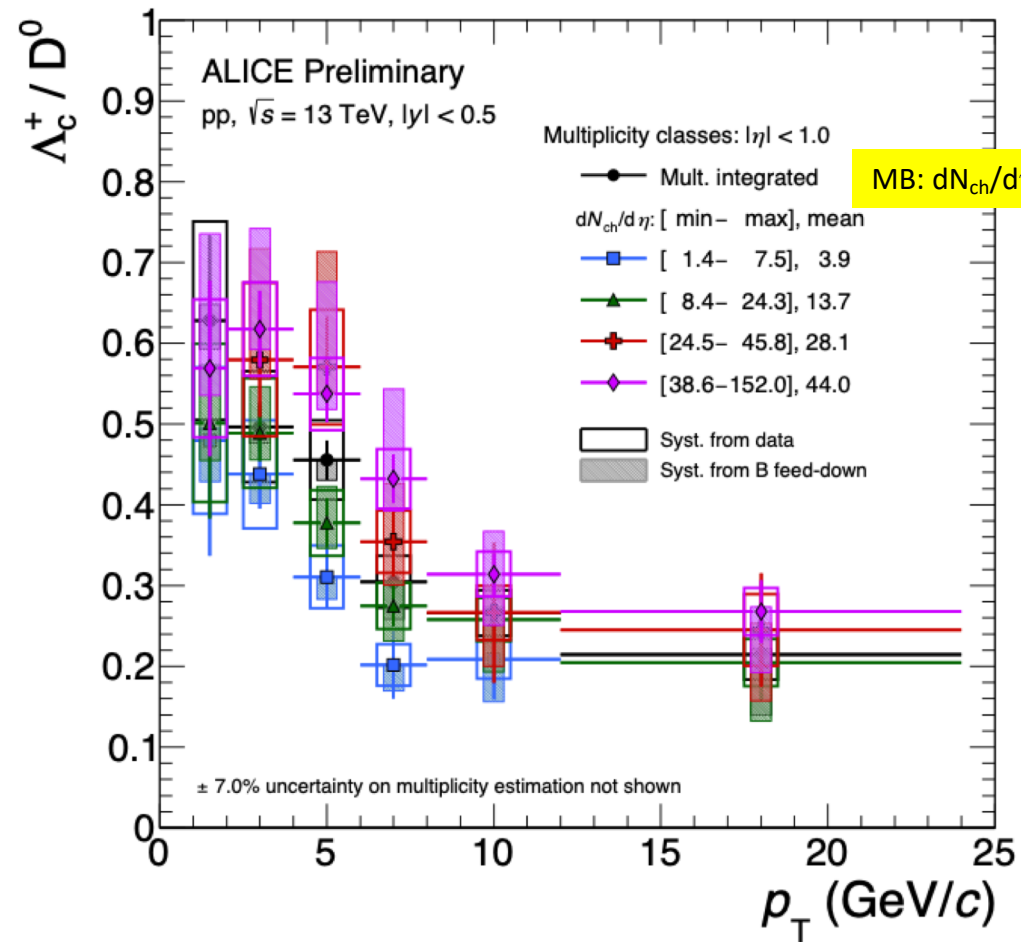
R. Fries et al. Ann.Rev.Nucl.Part.Sci 58 (2008) 177



ZEUS, Eur. Phys. J. C 51, 1–23 (2007)

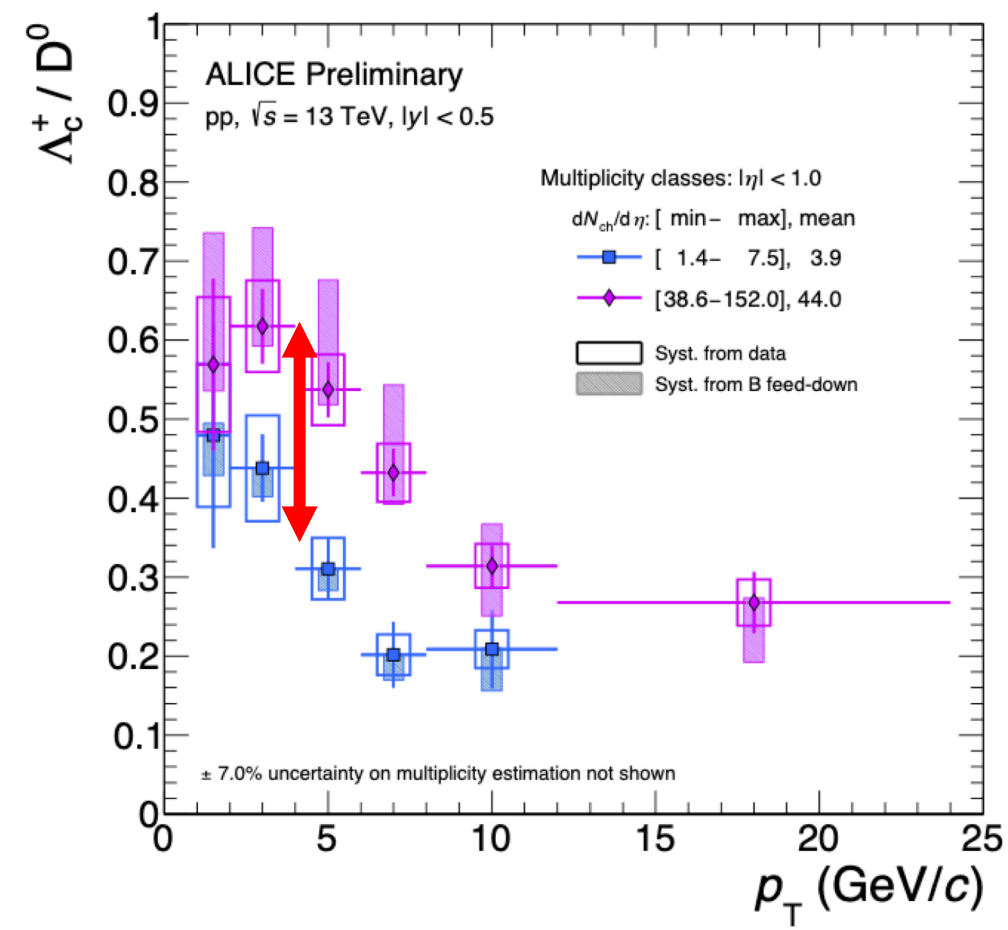


- in e^+e^- (ep) baryon-to-meson measurements limited to $p_T < 2$ (2.5) GeV/c
- in vacuum, fragmentation function (Albino et al., NPB 803 (2008)) predicts < 0.25 for baryon-to-meson ratio
- larger values seen already at HERA in strange sector



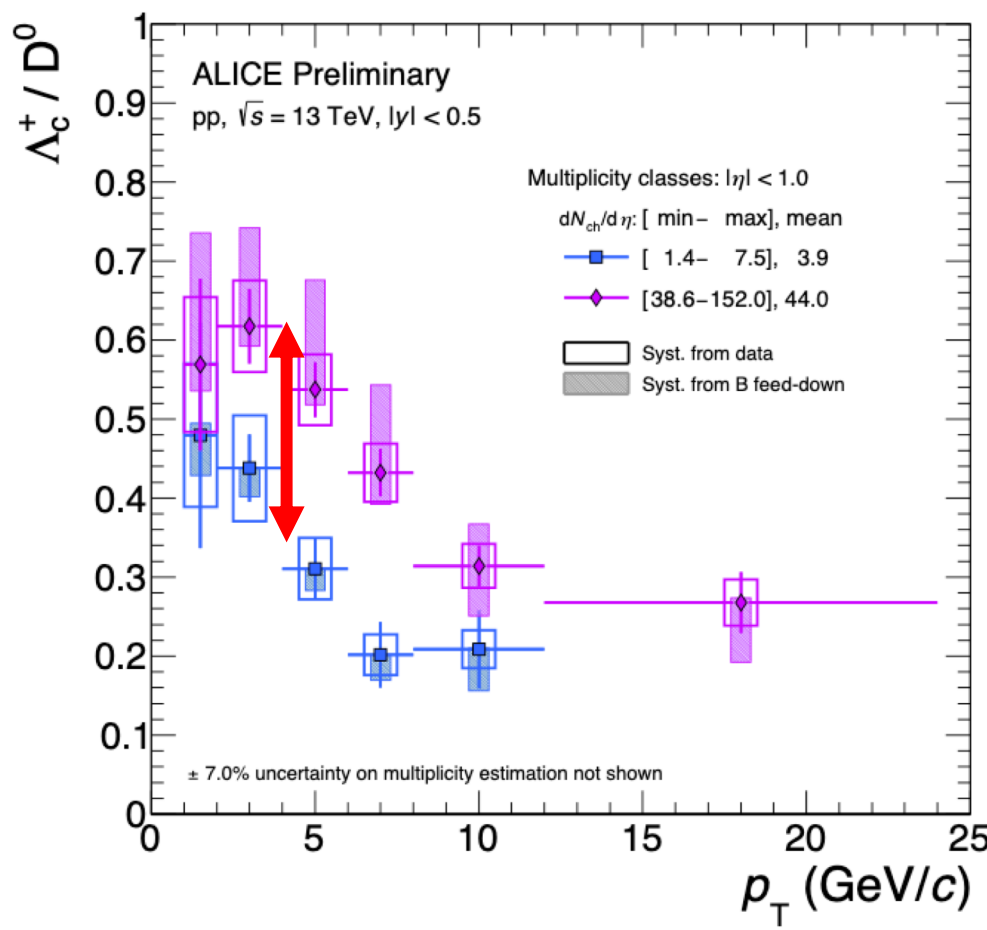
ALI-PREL-336414

- number of "tracklets" reconstructed in the Silicon Pixel as multiplicity estimator

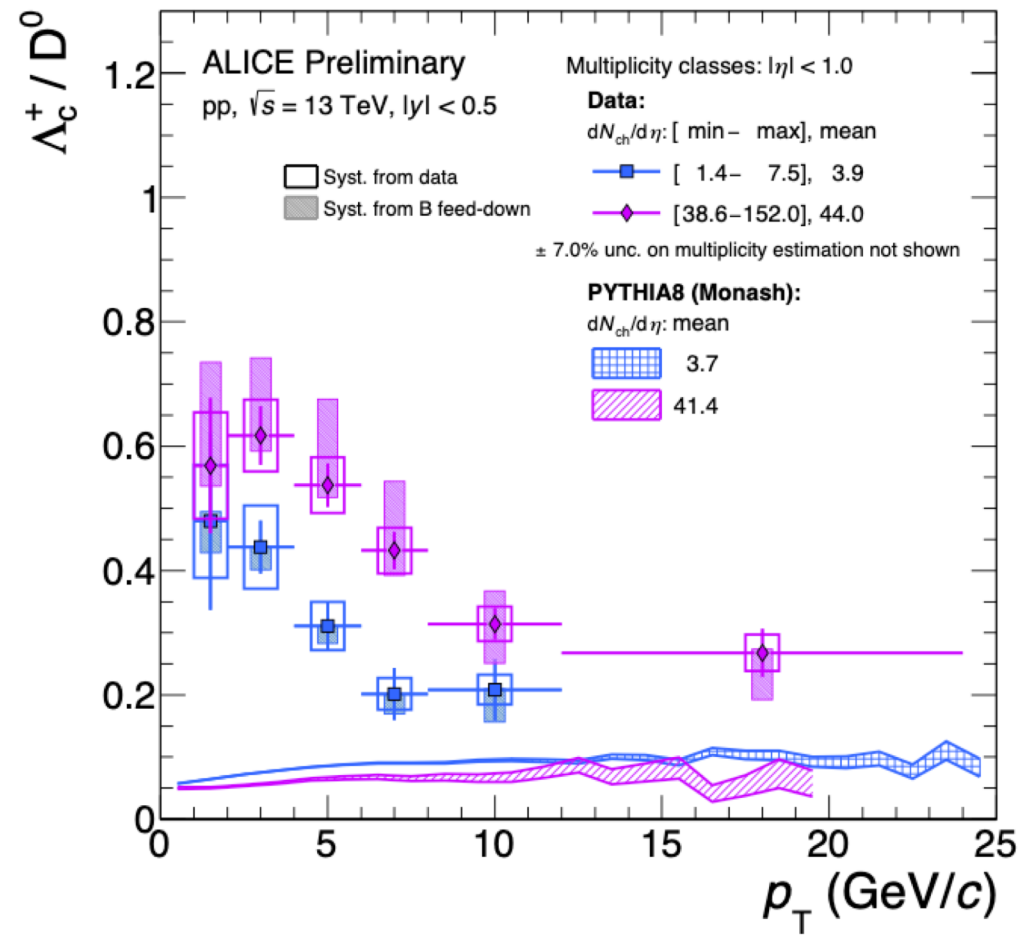


ALI-PREL-336418

- number of "tracklets" reconstructed in the Silicon Pixel as multiplicity estimator
- indications that the "enhancement" depends on multiplicity

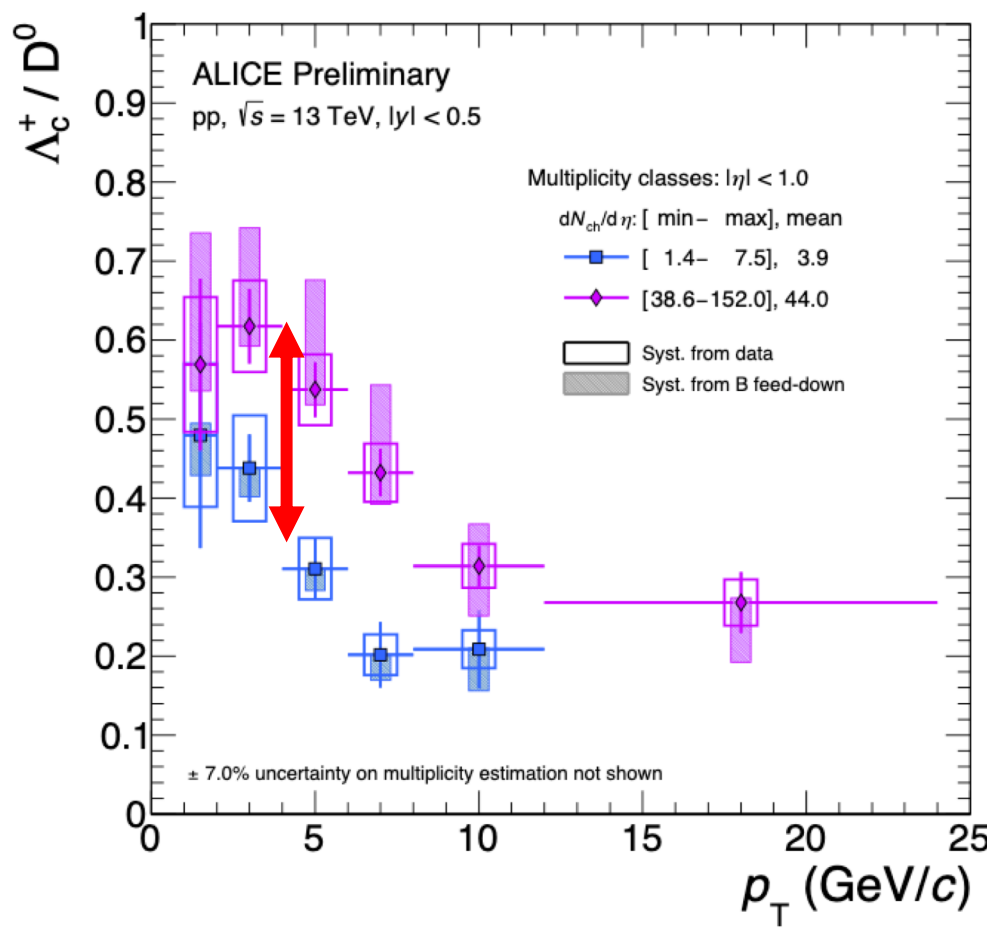


ALI-PREL-336418

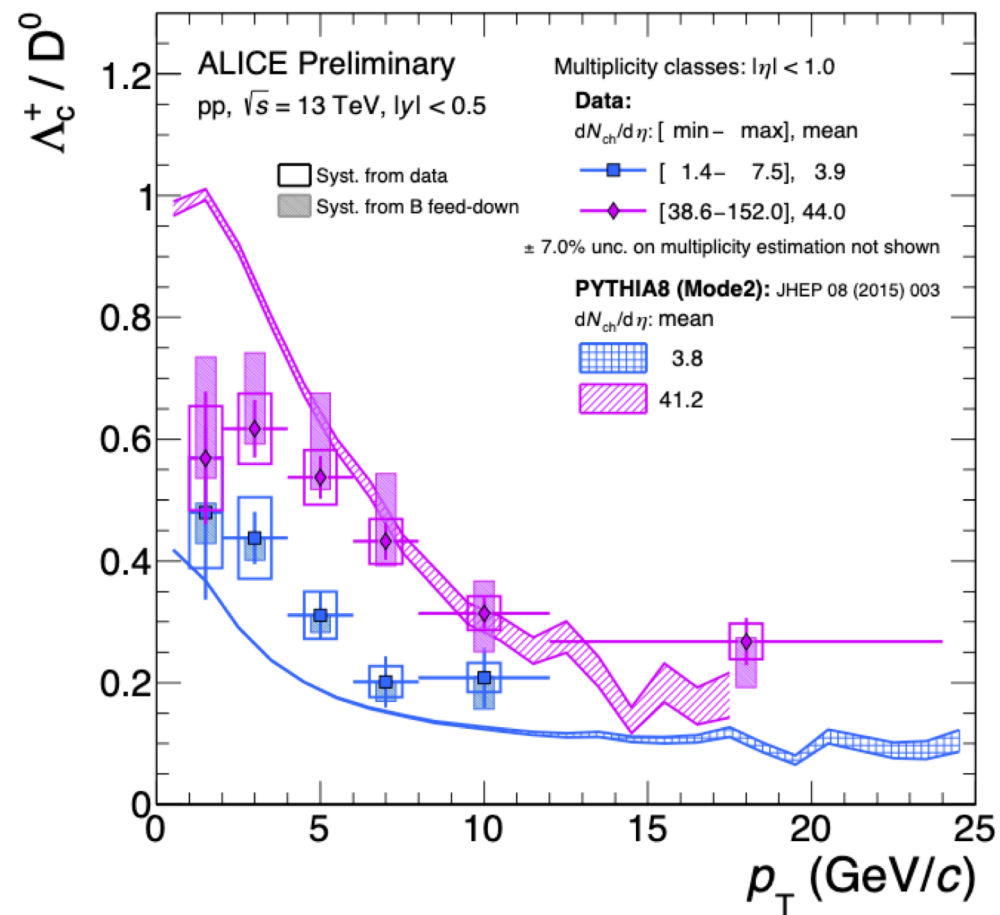


ALI-PREL-336426

- number of "tracklets" reconstructed in the Silicon Pixel as multiplicity estimator
- indications that the "enhancement" depends on multiplicity
- Monash tune doesn't reproduce the pattern



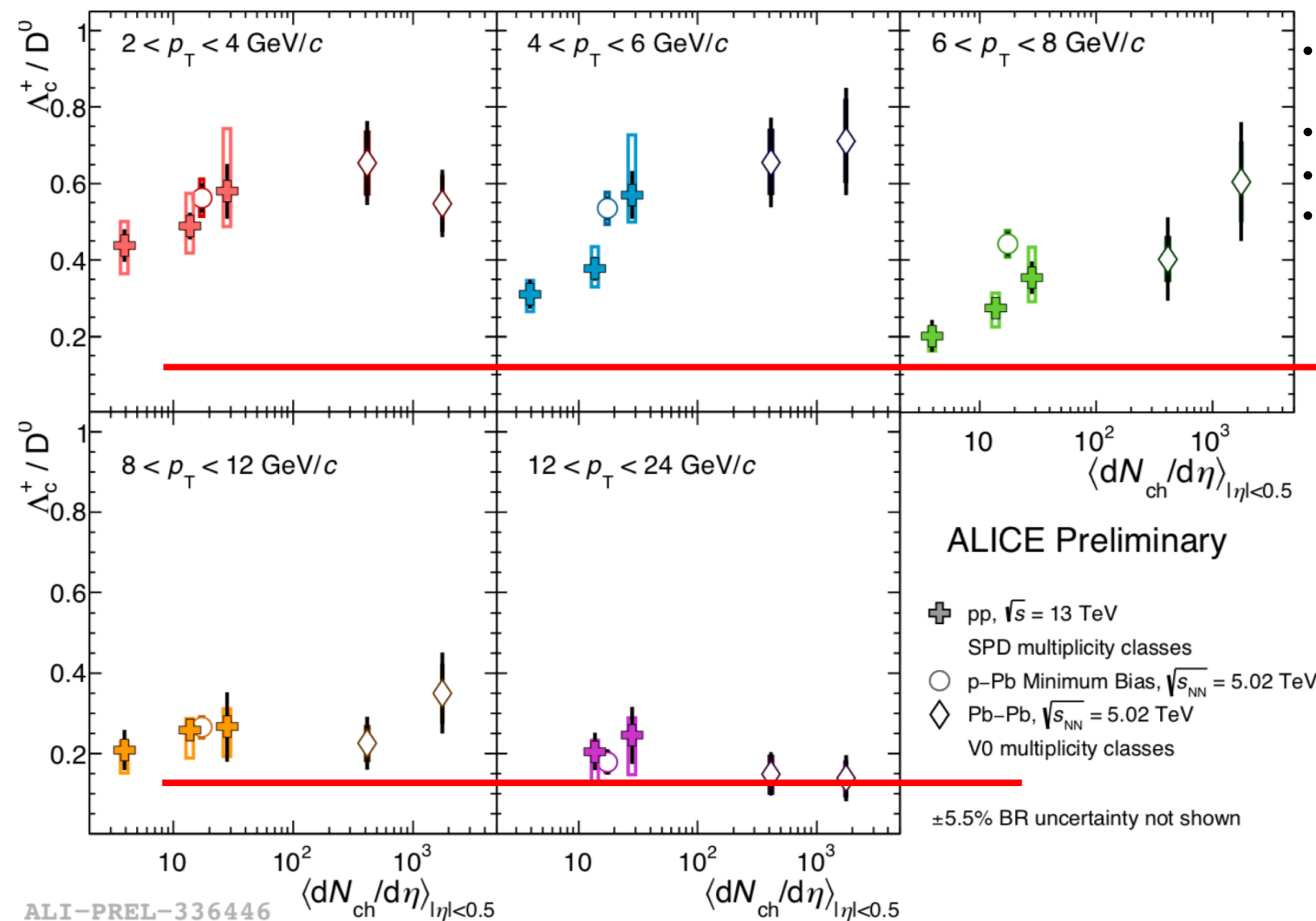
ALI-PREL-336418



ALI-PREL-336434

- number of "tracklets" reconstructed in the Silicon Pixel as multiplicity estimator
- indications that the "enhancement" depends on multiplicity
- Monash tune doesn't reproduce the pattern
- enhanced CR mechanisms shows much better agreement

Λ_c/D^0 vs multiplicity across colliding systems



- increase at intermediate p_T from pp to Pb—Pb multiplicities
- ratio at highest pp multiplicities similar to Pb—Pb
- "distance" from e^+e^- dependent on p_T
- at high p_T ratio closer to e^+e^- data

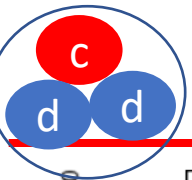
CAVEAT:

- other p_T -dependent effects might be present here (e.g. radial flow)
- CNM effects

ALICE Preliminary

- ✚ pp, $\sqrt{s} = 13$ TeV
SPD multiplicity classes
- p-Pb Minimum Bias, $\sqrt{s}_{NN} = 5.02$ TeV
- ◇ Pb-Pb, $\sqrt{s}_{NN} = 5.02$ TeV
V0 multiplicity classes

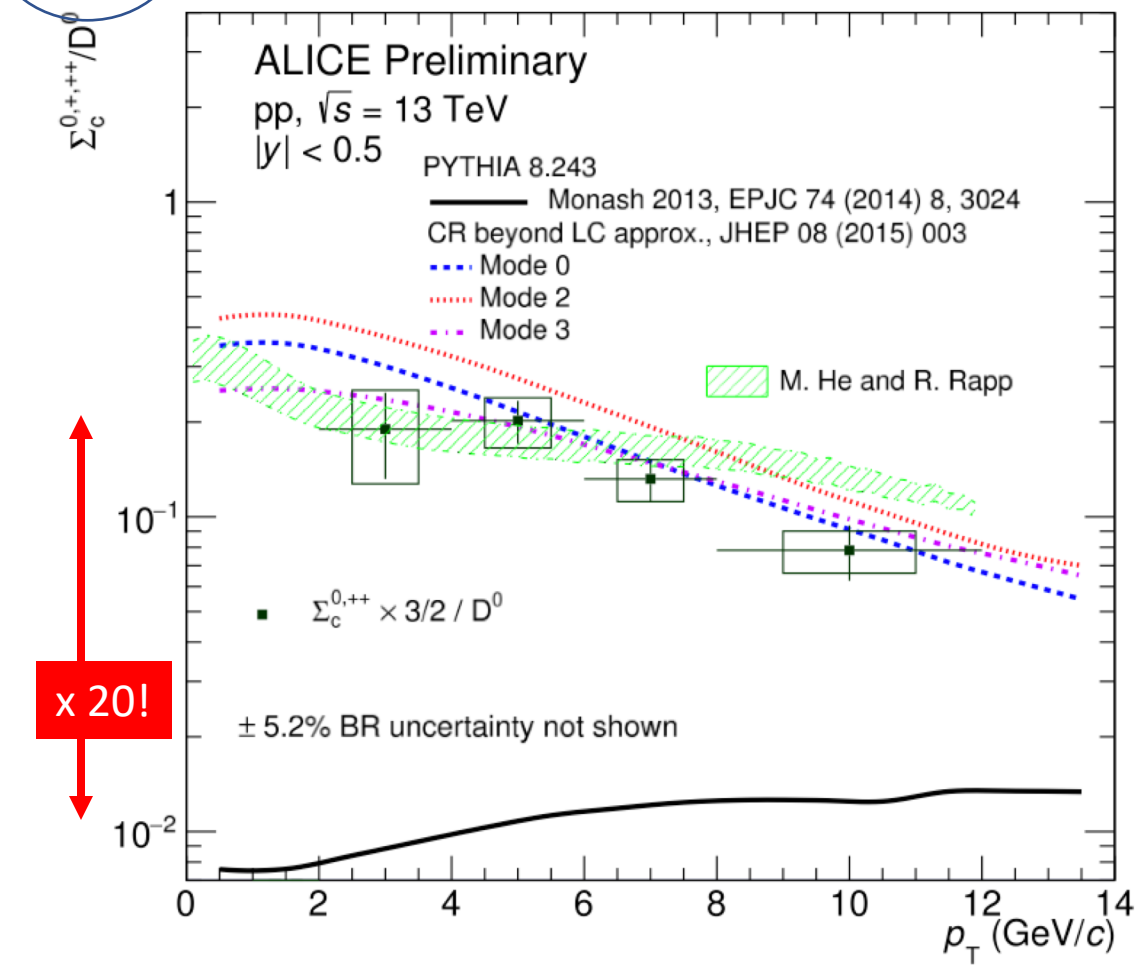
$\pm 5.5\%$ BR uncertainty not shown



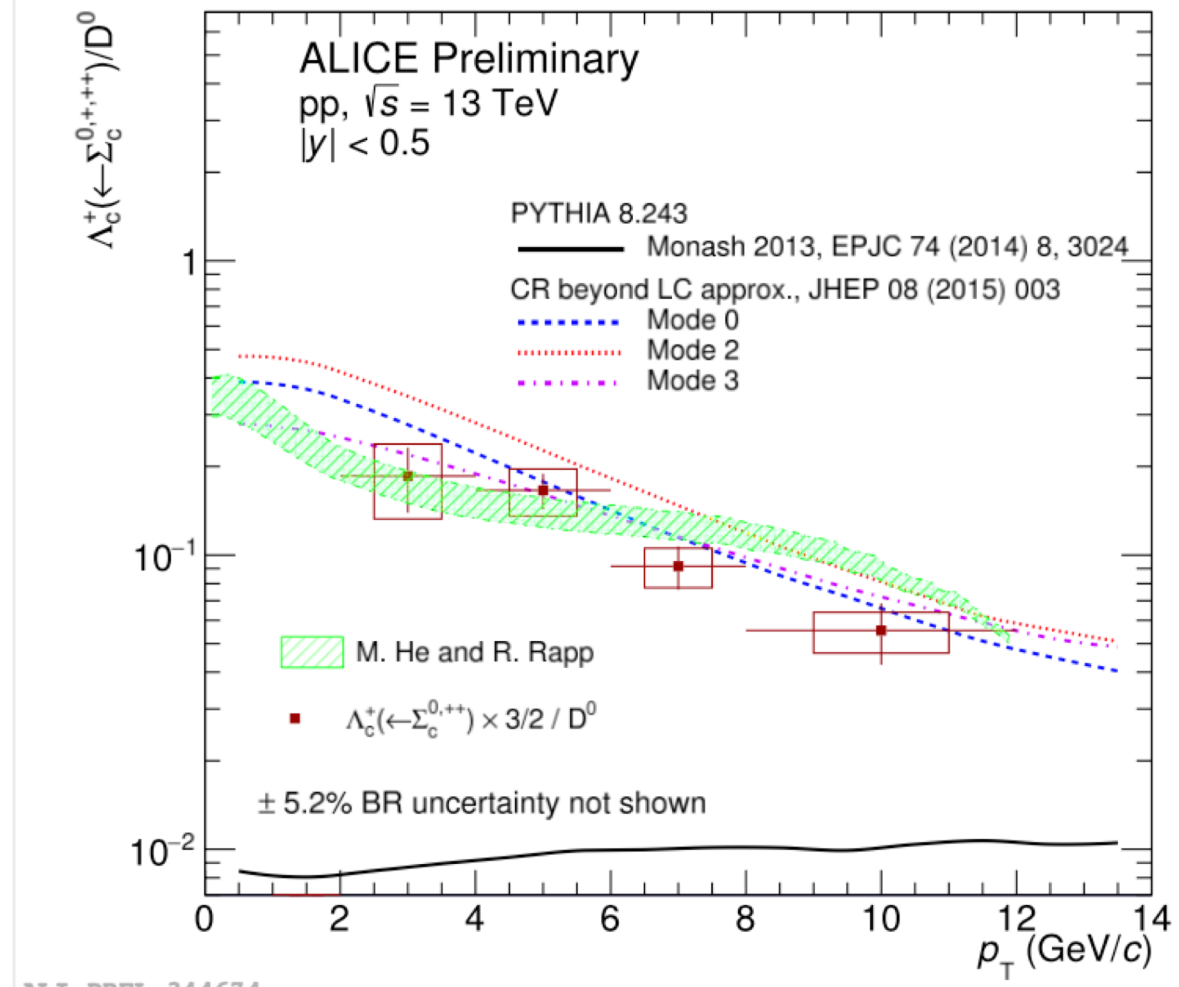
$\Sigma_c^{0,++}$ at $\sqrt{s} = 13$ TeV : a big increase



x 20!

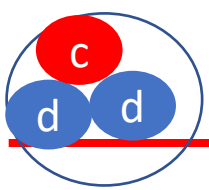


ALICE-PREL-344669



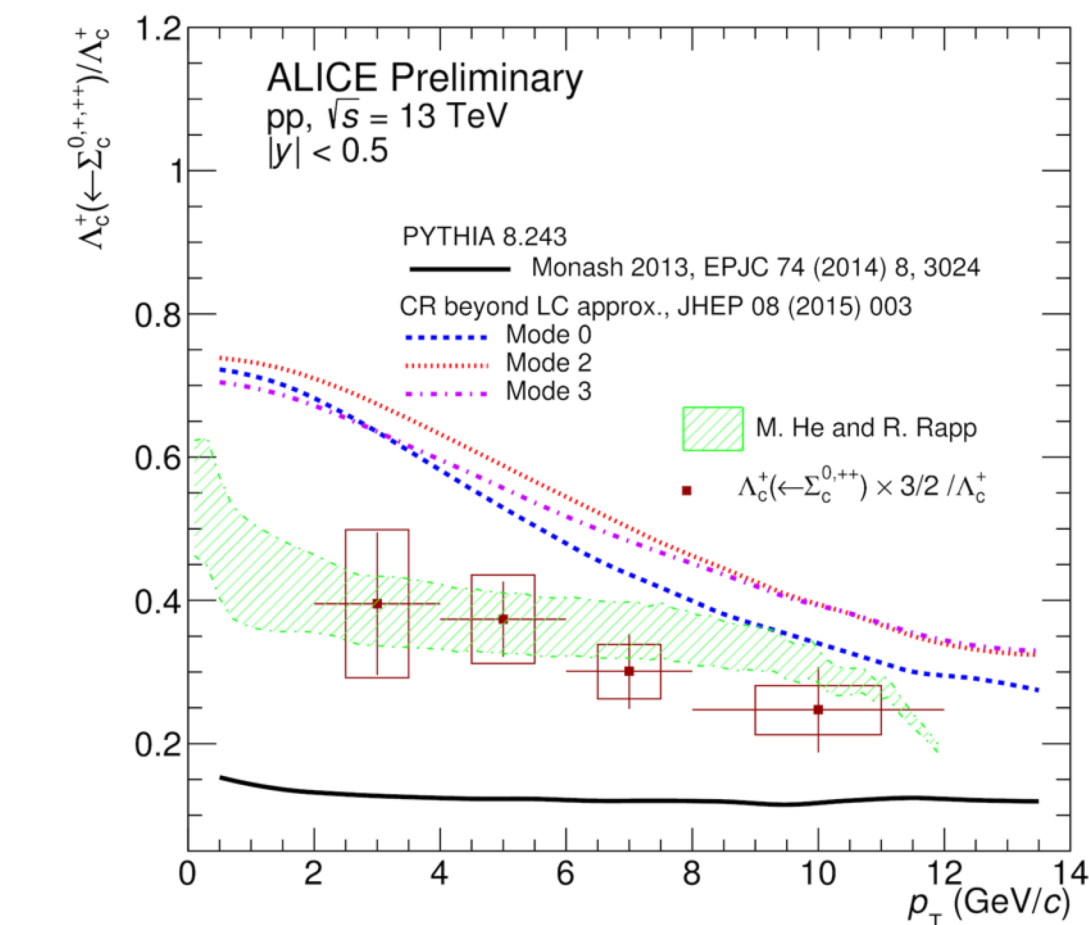
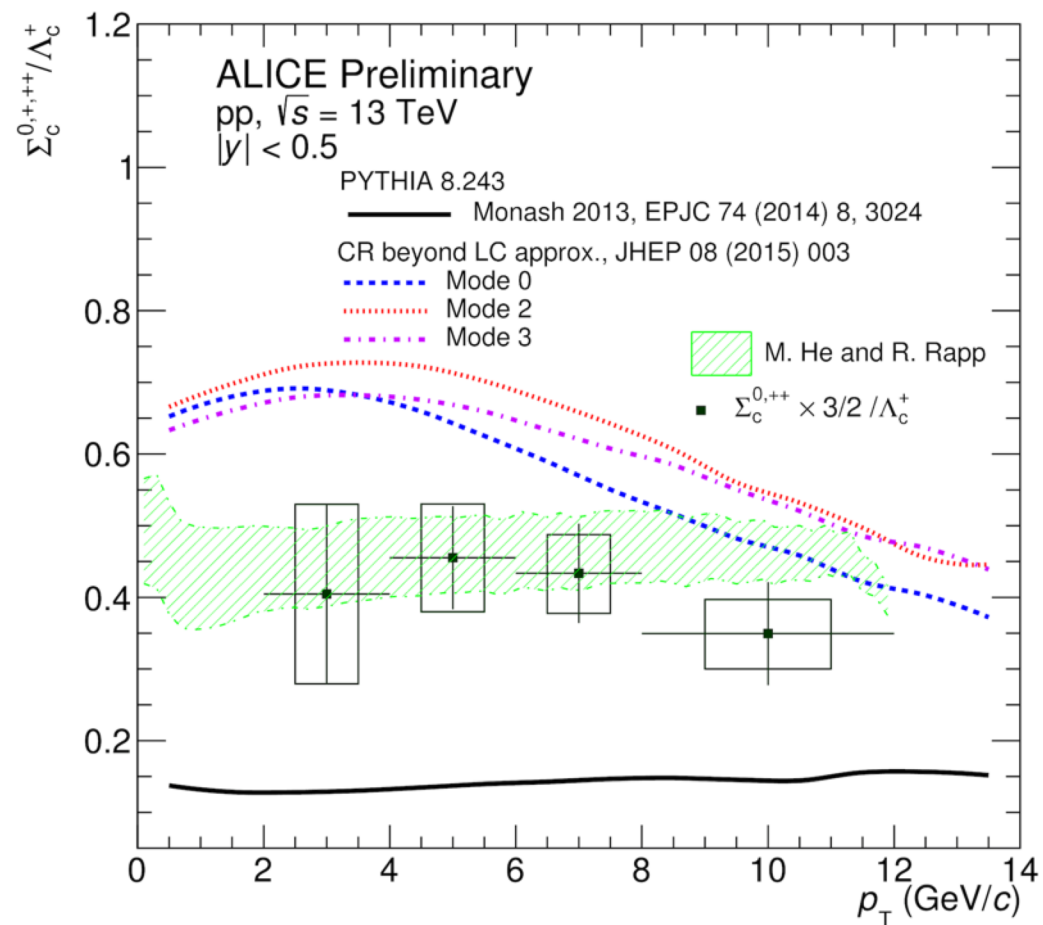
ALICE-PREL-344674

PYTHIA (enhanced CR) and RQM models describe baryon-to-meson ratios

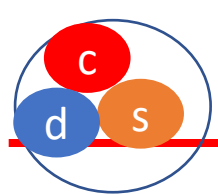


$\Sigma_c^{0,++}$ at $\sqrt{s} = 13$ TeV - pp

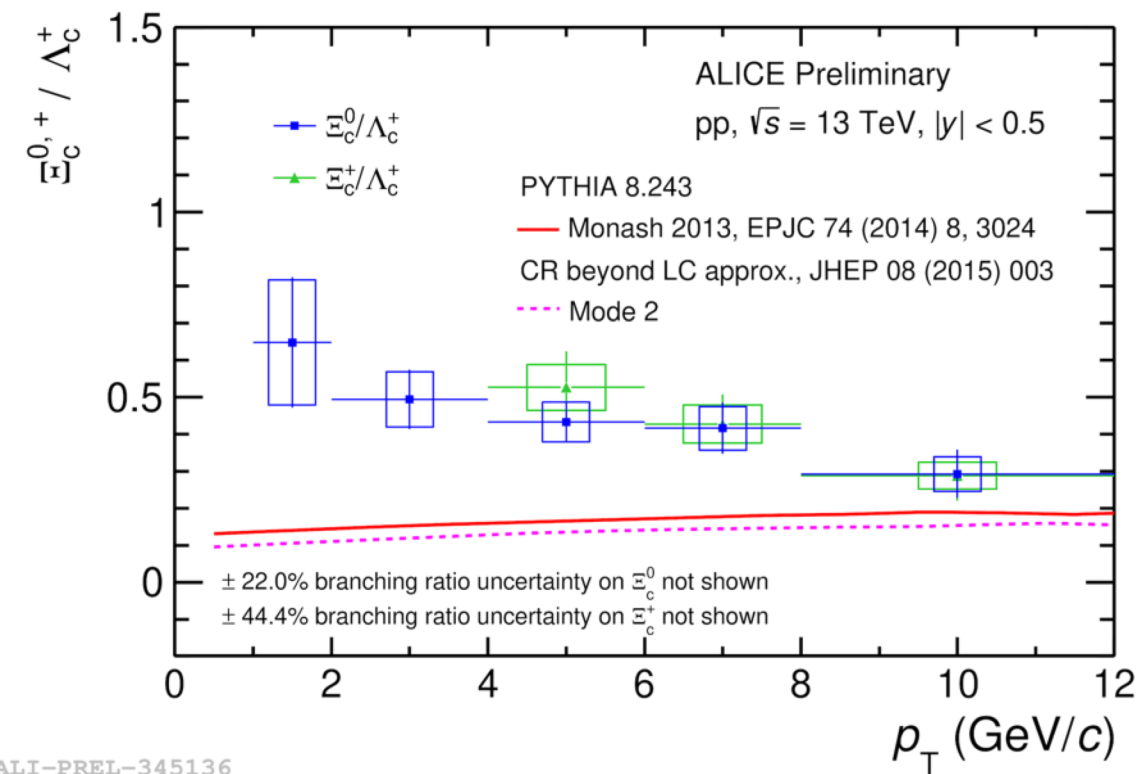
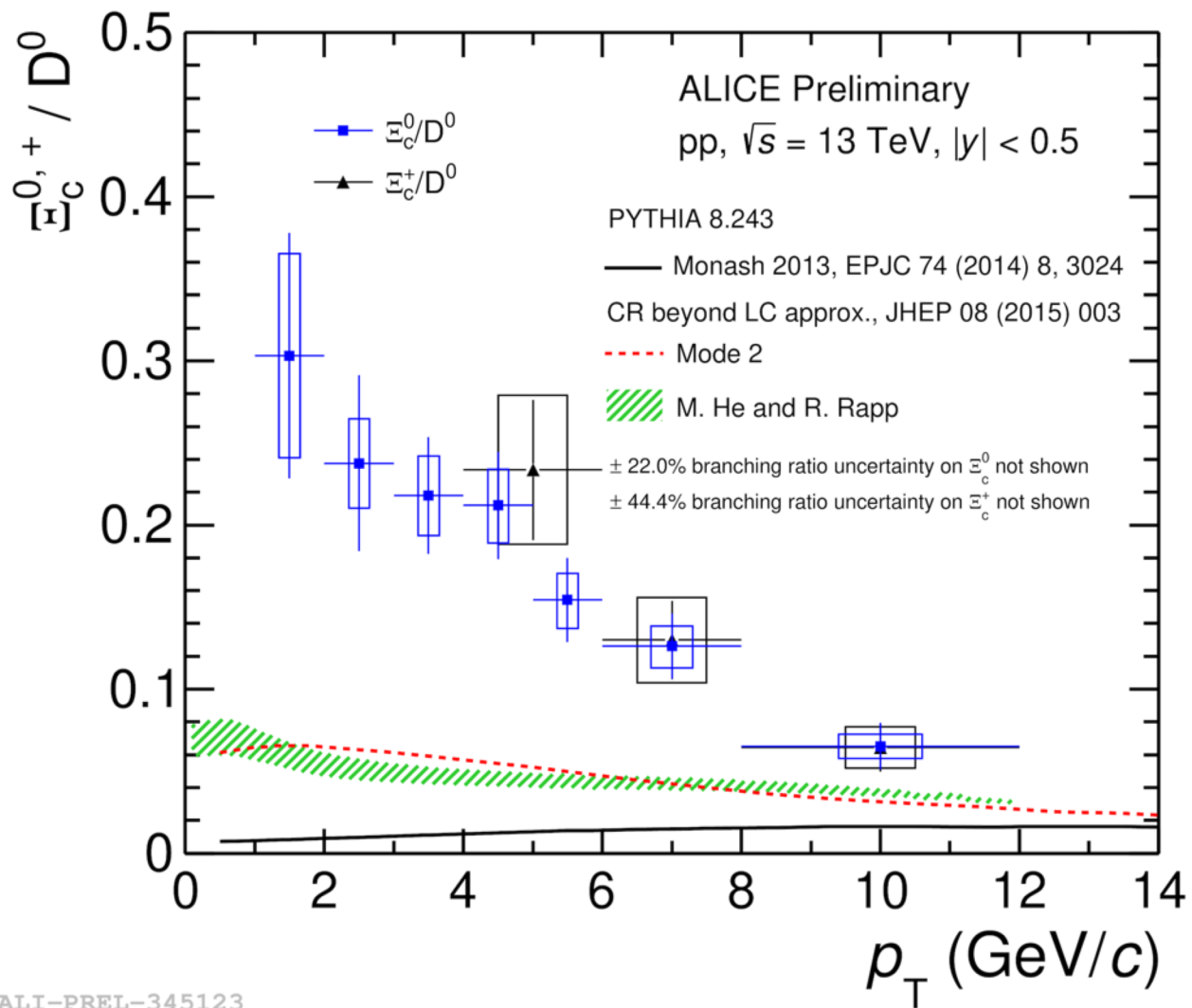
Baryon-to-baryon ratios



- PYTHIA (with enhanced CR or not) doesn't describe baryon-to-baryon ratios
- $\approx 40\%$ Λ_c is feeddown from Σ_c , not all "additional" Λ_c production comes from Σ_c



$\Xi_c^{0,+}$ at $\sqrt{s} = 13$ TeV - pp



ALI-PREL-345136

- Models don't describe Ξ_c production
- stronger p_T dependence of baryon-to-meson ratio seen on data

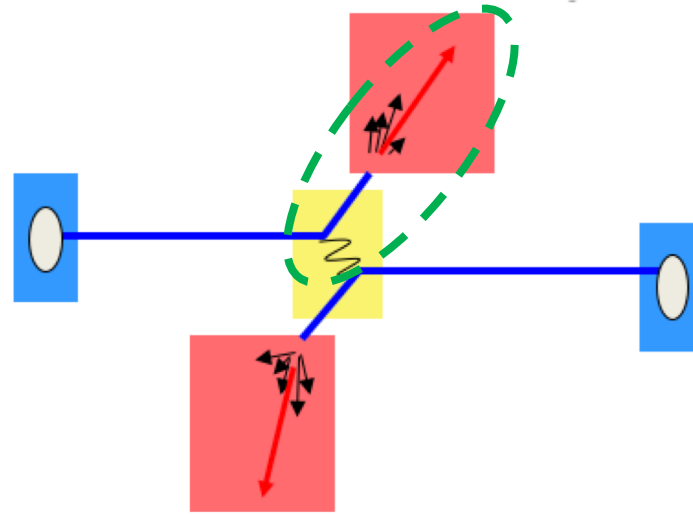
ALI-PREL-345123

Conclusion(s) # 1: quick summary and outlook

- charmed **baryons** @LHC are **a surprise**: from a pp baseline to search an enhancement in Pb--Pb, we found something quite different between pp and e^+e^- /ep. Collision system or collision energy makes the difference?
 - **dependence of the fragmentation fractions from p_T** now firmly established, clear evidence in pp and p--Pb collisions, by different LHC Collaborations
 - intriguing **similarities among LF and HF families** on the baryon-to-meson ratio
 - **ALICE results with three different c-baryons** and the access to low p_T region allow us to test and set constraints on different hadronization ("baryonization"?) models in the LHC "**parton rich environment**"
 - Additional measurements and channels/baryons will provide soon further additional key pieces of information (including cc cross section).
- Upcoming results at Summer conferences!**



Conclusion # 2: the revisited surprising "physics motivations slide"?



$$\frac{d\sigma_{pp}^h}{dyd^2p_T} = K \sum_{abcd} \int dx_a dx_b f_a(x_a, Q^2) f_b(x_b, Q^2) \frac{d\sigma}{d\hat{t}}(ab \rightarrow cd) \frac{D_{h/c}^0}{\pi Z_c}(\rho(\mathbf{q}, \mathbf{g}))$$

The LHC is a perfect laboratory to study the dependence of the fragmentation functions from the surrounding parton rich environment

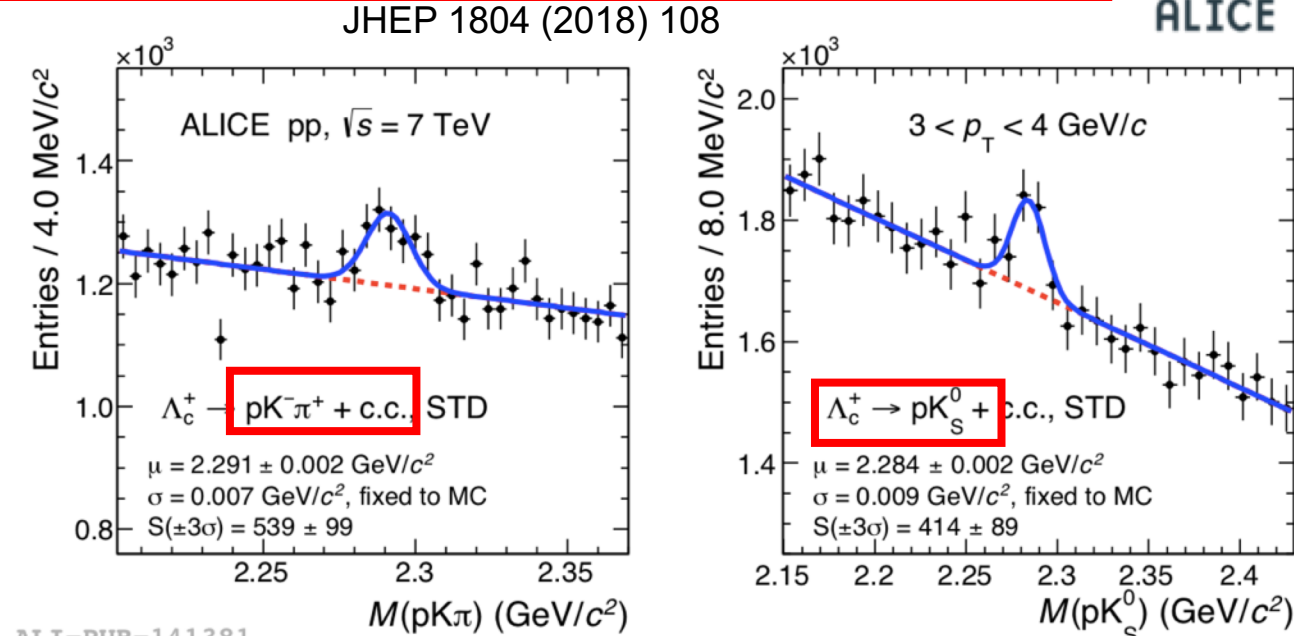
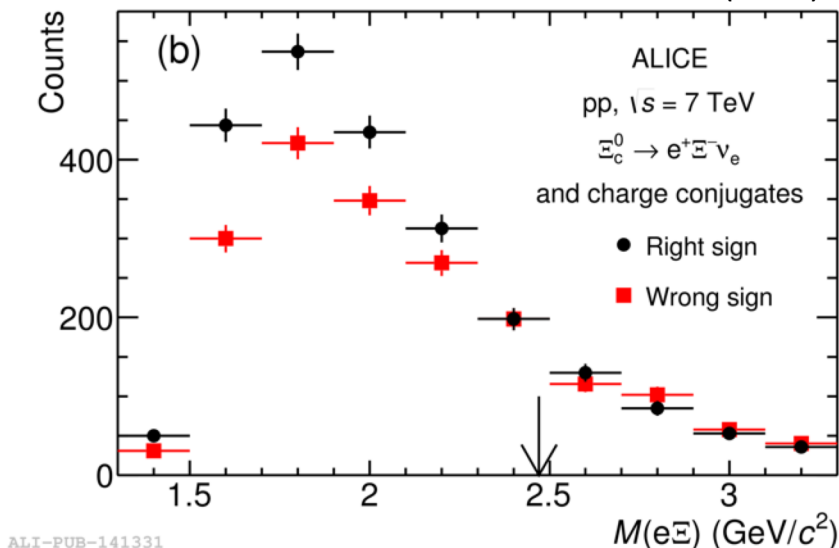
BACKUP

Λ_c and Ξ_c^0 baryons (RUN1 results)

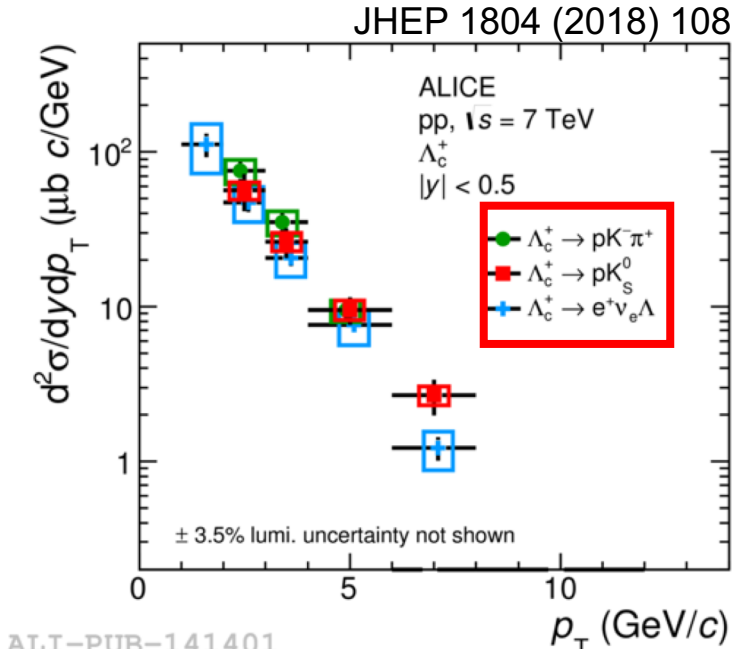
- Λ_c **hadronic decays**
- Two channels \rightarrow Cross check
- No vertex selection ($c\tau=60 \mu\text{m}$)

pp $\sqrt{s}=7 \text{ TeV}$

- **Semileptonic decays** for $\Lambda_c^+ e \Xi_c^0$
- Candidates identified working on $e^+\Lambda$ and $e^+\Xi^-$ pairs
- Background subtracted using wrong-sign pairs
- Electrons identified via TPC+TOF PLB781 (2018) 8

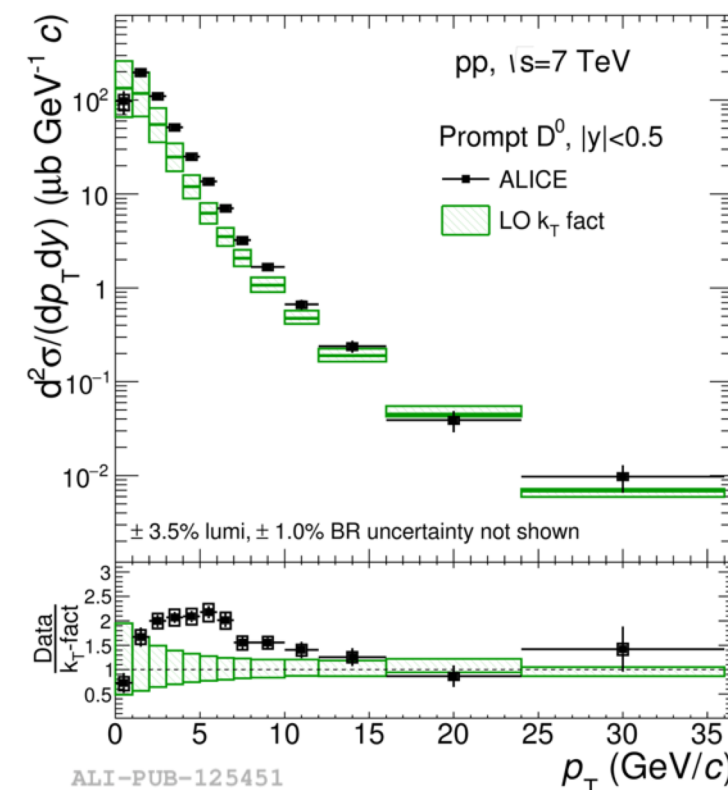
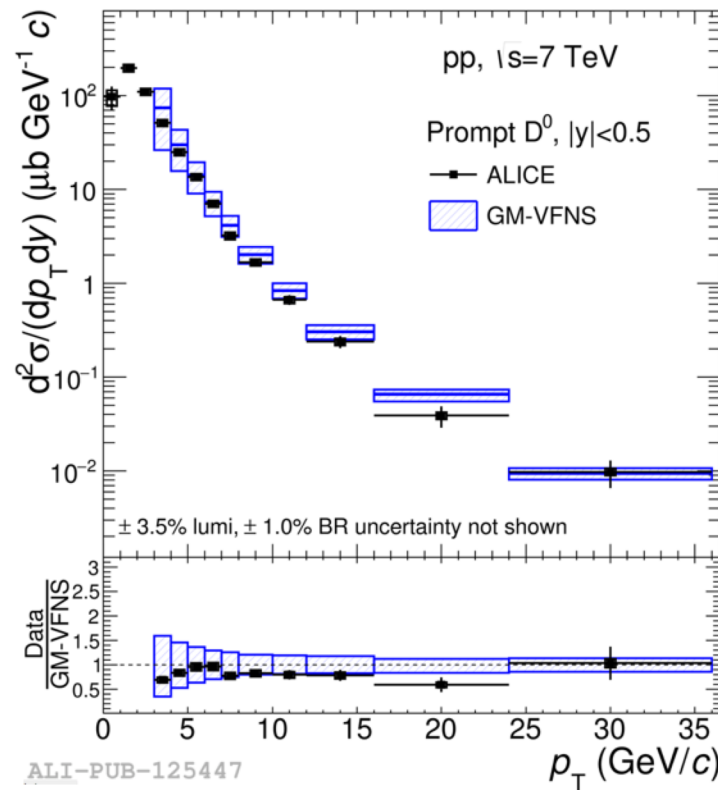
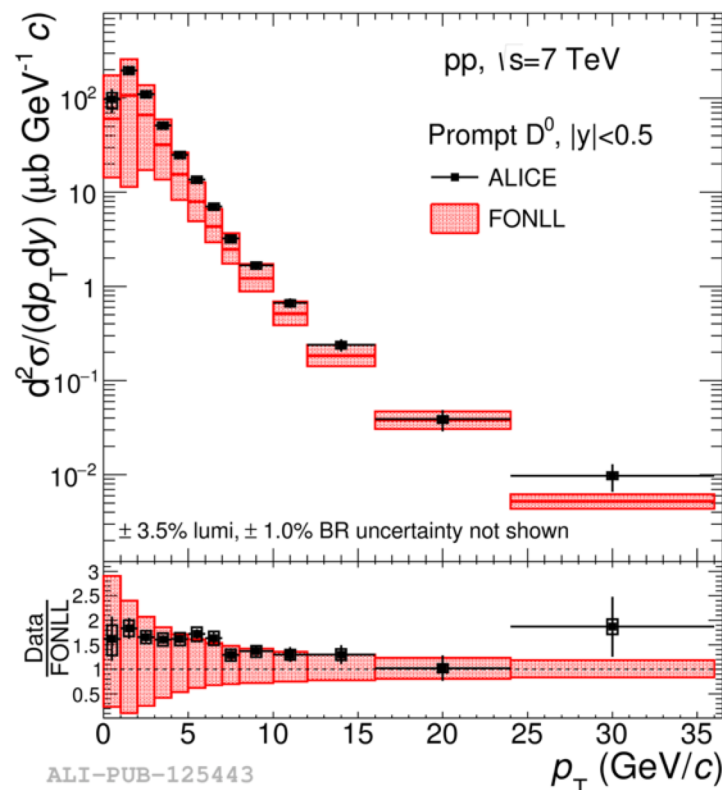


ALI-PUB-141381



D mesons are candles here

EPJ C77 (2017) 550



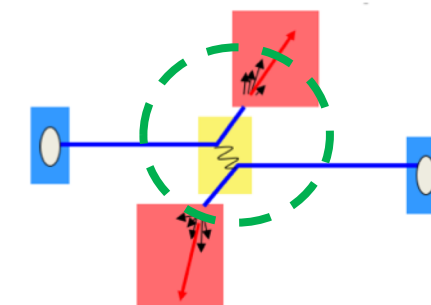
wide p_T range / cross section measured down to $p_T = 0$ for D^0 meson. Comparison with:

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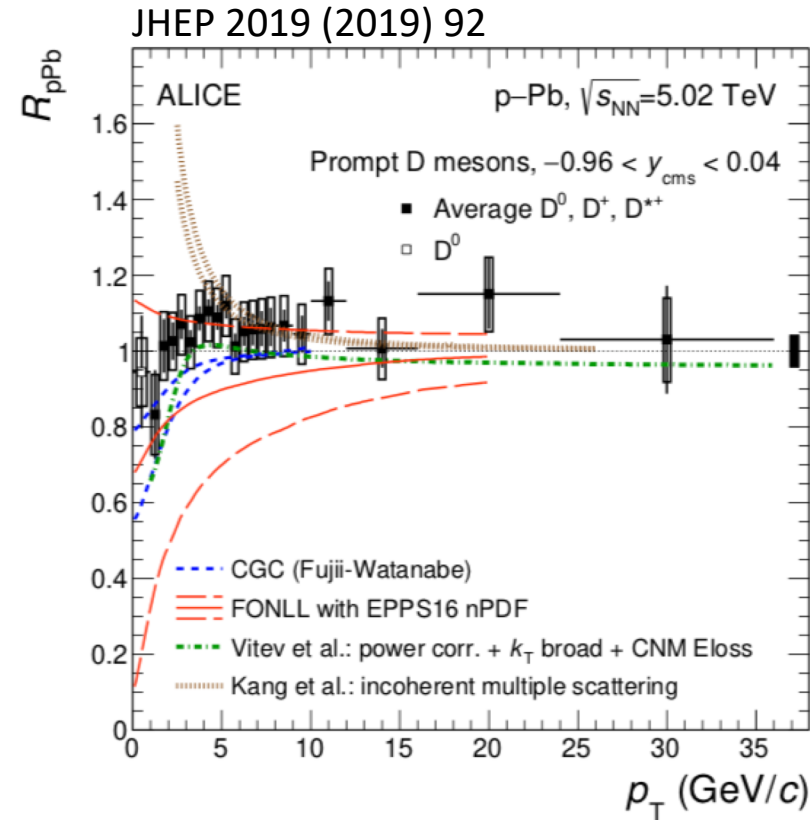
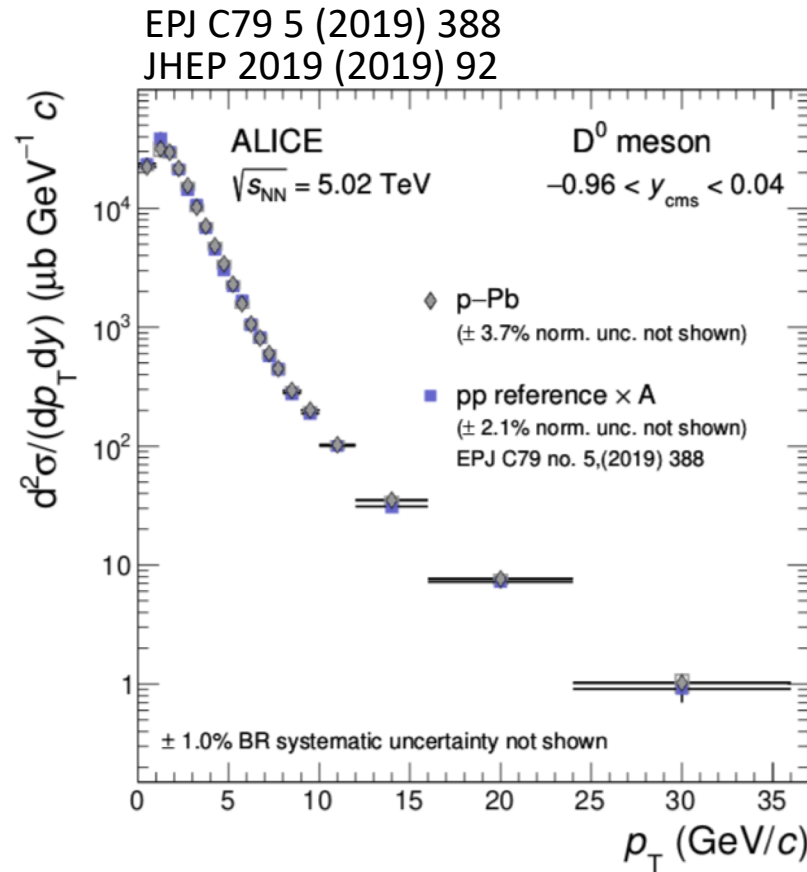
FONLL: describes data within uncertainties, central value *below* data

GM-VNFS: the same, but central value above data

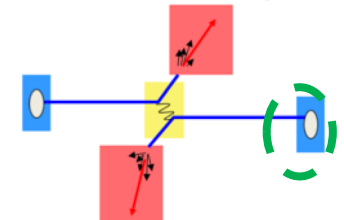
k_T : data underestimated in $2 < p_T < 10$ GeV/c interval



pp and p—Pb at $\sqrt{s_{NN}} = 5.02$ TeV



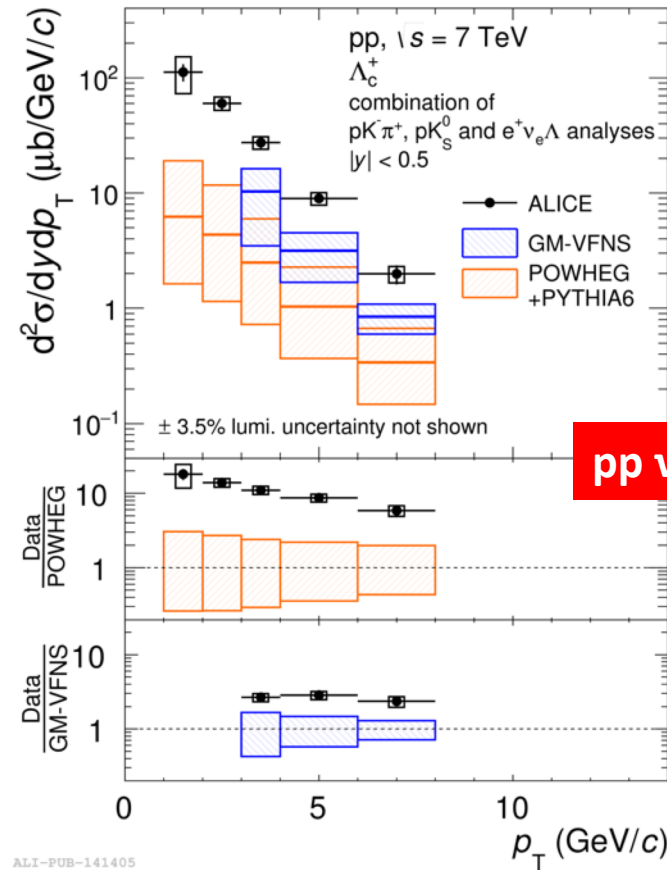
$$R_{pPb} = \frac{1}{A} \frac{d^2\sigma_{p-Pb}^{\text{prompt D}}/dp_T dy}{d^2\sigma_{pp}^{\text{prompt D}}/dp_T dy}$$



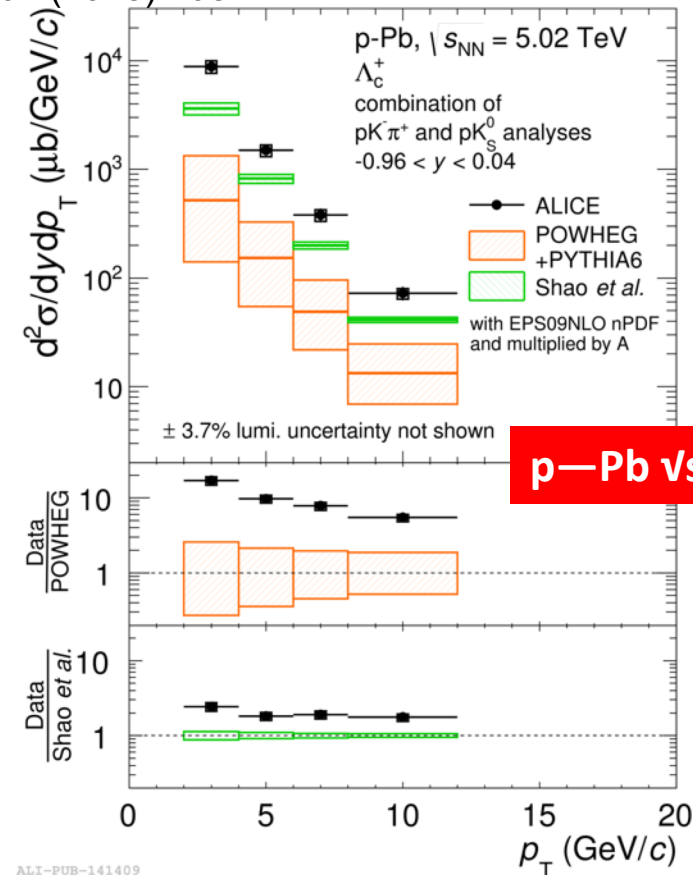
- R_{pPb} (nuclear modification factor) consistent with unity
- data set constraints to models that include CNM effects (note: "QGP in p—Pb" predicted trends not shown here)

First ALICE Λ_c measurements (RUN 1)

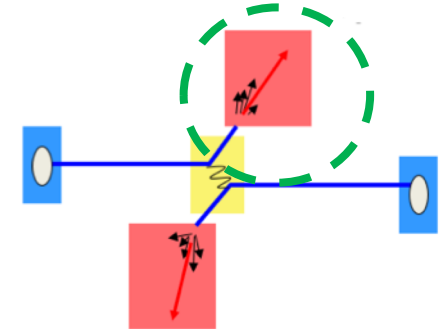
ALICE, JHEP 1804 (2018) 108



pp $\sqrt{s}=7$ TeV



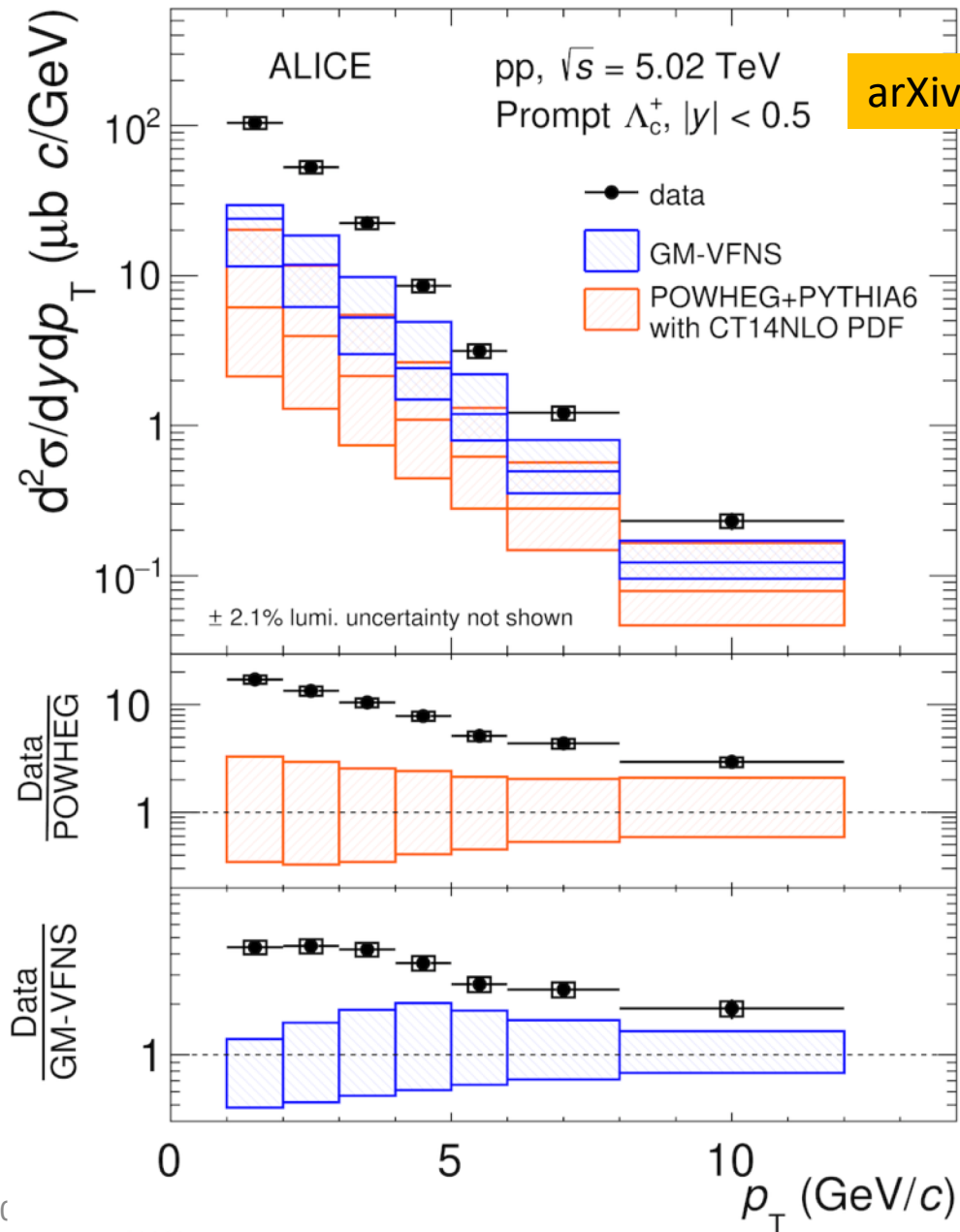
p-Pb $\sqrt{s_{NN}}=5.02\text{TeV}$



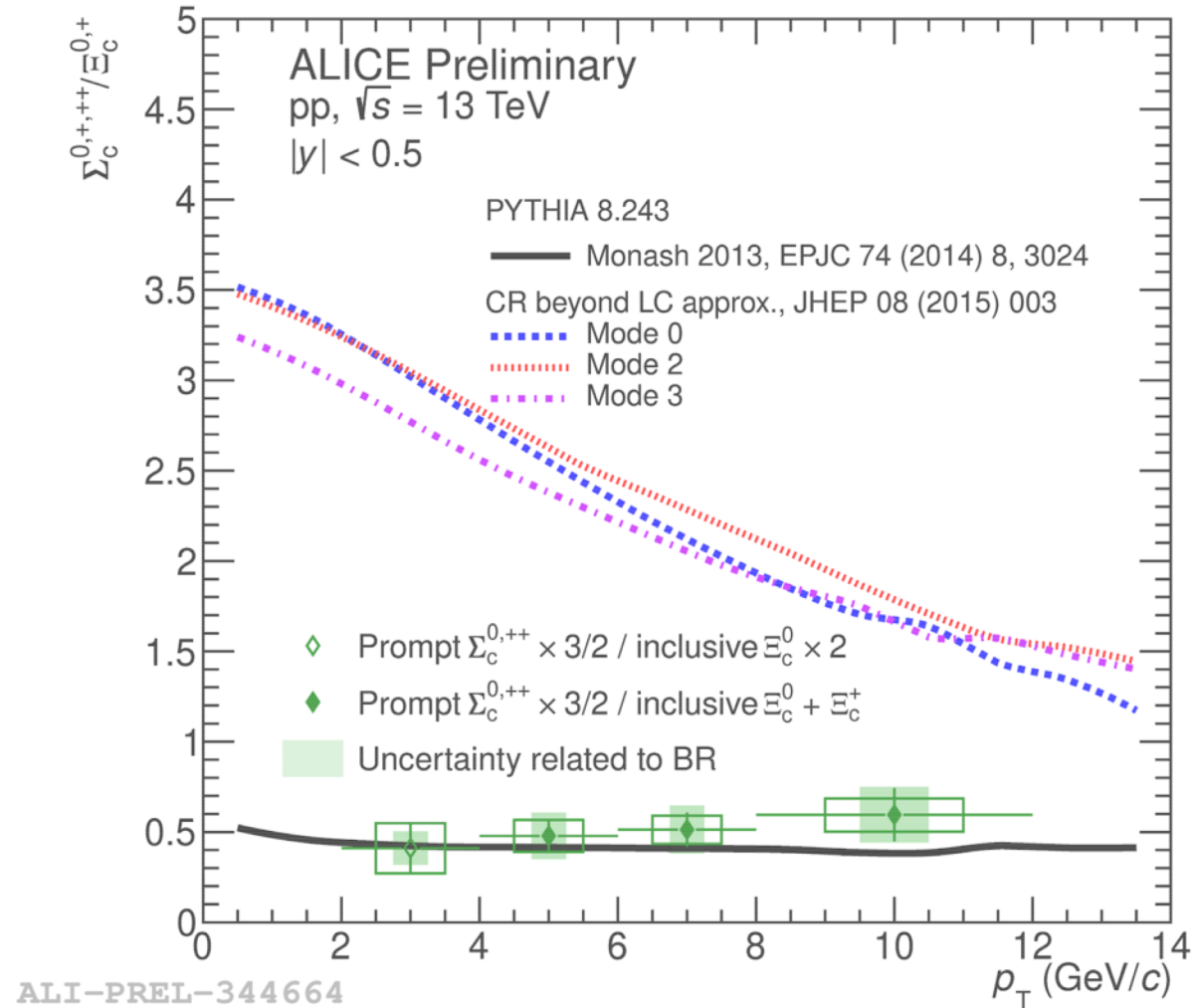
- pQCD based calculations underestimate baryon production (but they do good job on D mesons!)
- note: for GM-VFNS FF derived from e^+e^- data (Knihel et al., PRD 74 (2006) 037502)
- *same* considerations of comparisons with models hold in p-Pb collisions
- Shao et al. (EPJC 77 (2017) 1) model based on pp LHC data (LHCb) + nPDF

"fragmentation to heavy-flavour baryons is **not** well understood"

Λ_c (RUN2 results): pp at $\sqrt{s} = 5.02$ TeV



- pQCD calculations underestimate data especially at low p_T
- results at 7 TeV confirmed with larger statistics and extended p_T range
- FF used by GM-VFNS includes new input from Belle measurements



- given PYTHIA8 Monash fails to predict both baryons, by "chance" (?), ratio consistent with data
- PYTHIA8 with enhanced CR predicts Σ_c not Ξ_c so fails to describe this ratio
- similar mass but different quark content: different process?