

# Measurement of Transverse Single Spin Asymmetry at Forward Rapidity by the STAR Experiment in p+p Collisions at $\sqrt{s}=200$ and 500 GeV

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# Outline

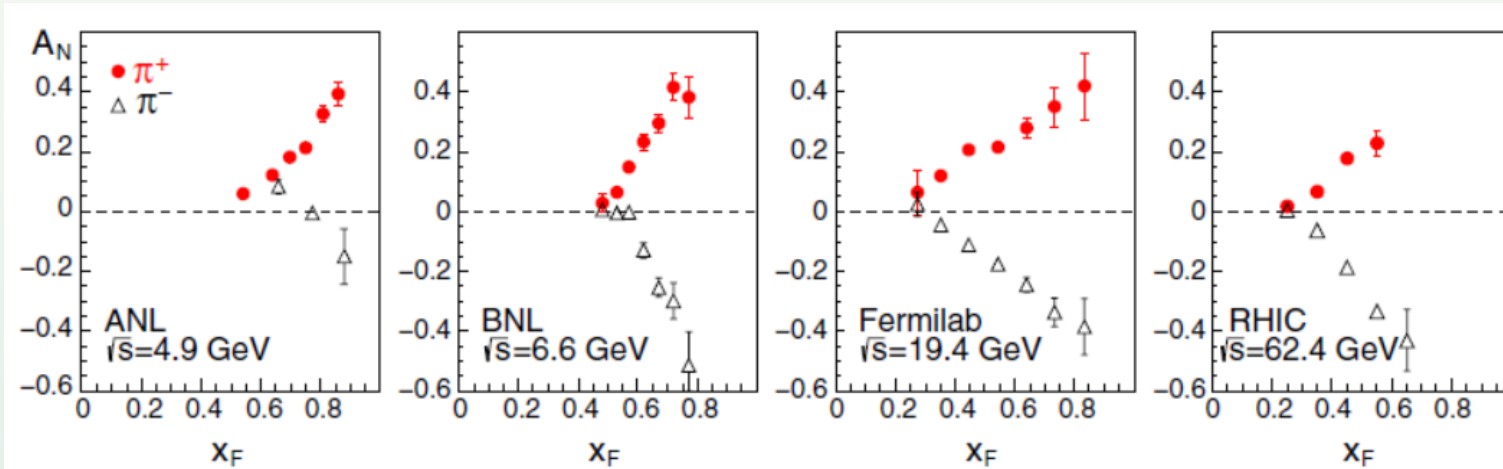
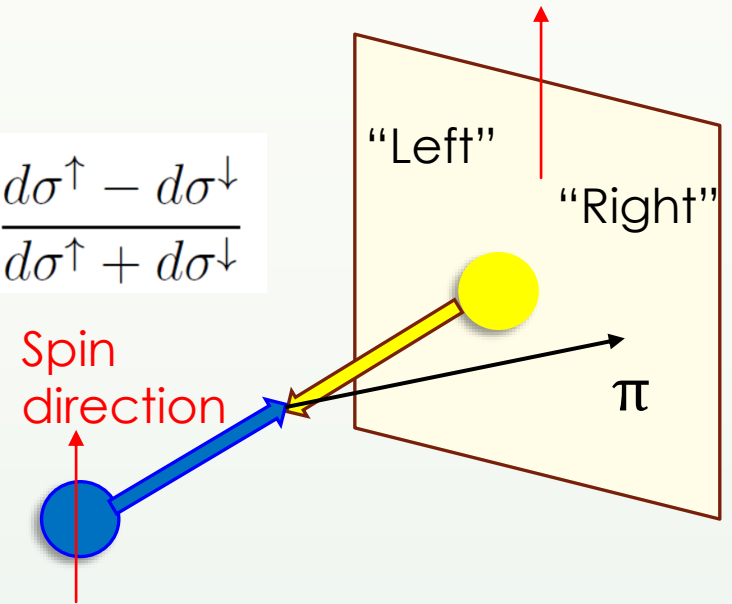
- Motivation
- Experiment setup
- Analysis:
  - Dataset
  - Asymmetry calculation
  - Systematic uncertainty
- Result and discussion
- Summary

[Preprint available in arXiv:2012.11428](#)  
Accepted for publication in PRD

# Motivation

- Transverse single spin asymmetry(**TSSA**/ $A_N$ )
- The large forward TSSA was first found in 1970s and can not be explained by LO QCD calculation

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$



*Aidala et al. Rev. Mod. Phys., 85,655(2013)*

- A lot of work was done to explore the underlying mechanisms in the past few decades

# Motivation

- Transverse momentum dependent PDF(TMD)
- Collinear twist-3 factorization

These two models have different energy scale requirements, but they share some similarities

- ➡ A decomposition of the contributions to TMD
  - ➡ **Initial state effect:** asymmetry originates from PDF

$$\hat{f}_{q/p^\dagger}(x, \mathbf{k}_\perp) = f_{q/p}(x, k_\perp) + \frac{1}{2} \Delta^N f_{q/p^\dagger}(x, k_\perp) \mathbf{S} \cdot (\hat{\mathbf{P}} \times \hat{\mathbf{k}}_\perp) \quad \text{Sivers function}$$

- ➡ **Final state effect:** asymmetry originates from fragmentation

Transversity  $\otimes$  **Collins function**

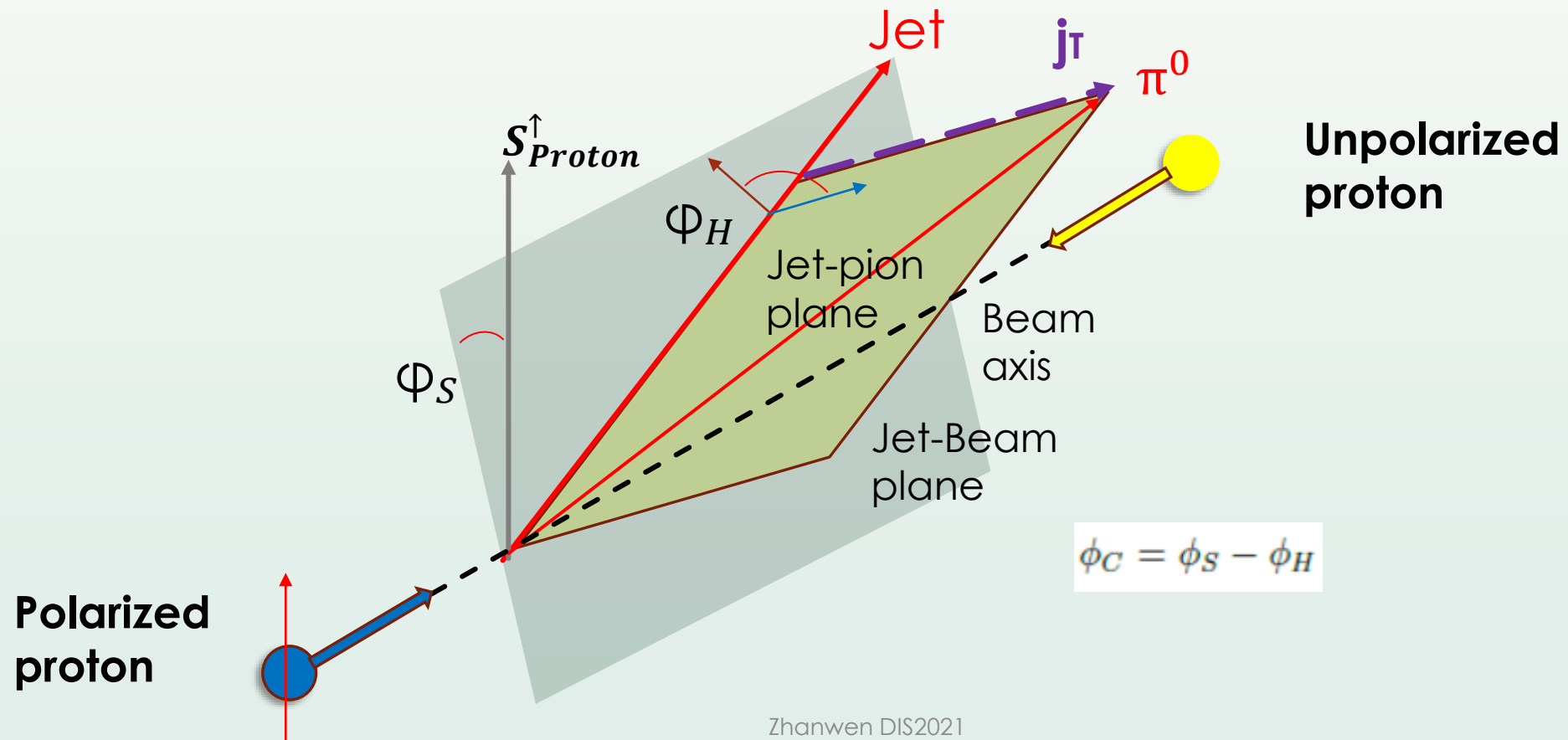
Both effects can contribute to the TSSA.

- Experimental data are very important in validating the factorization and constraining the PDFs

# Motivation

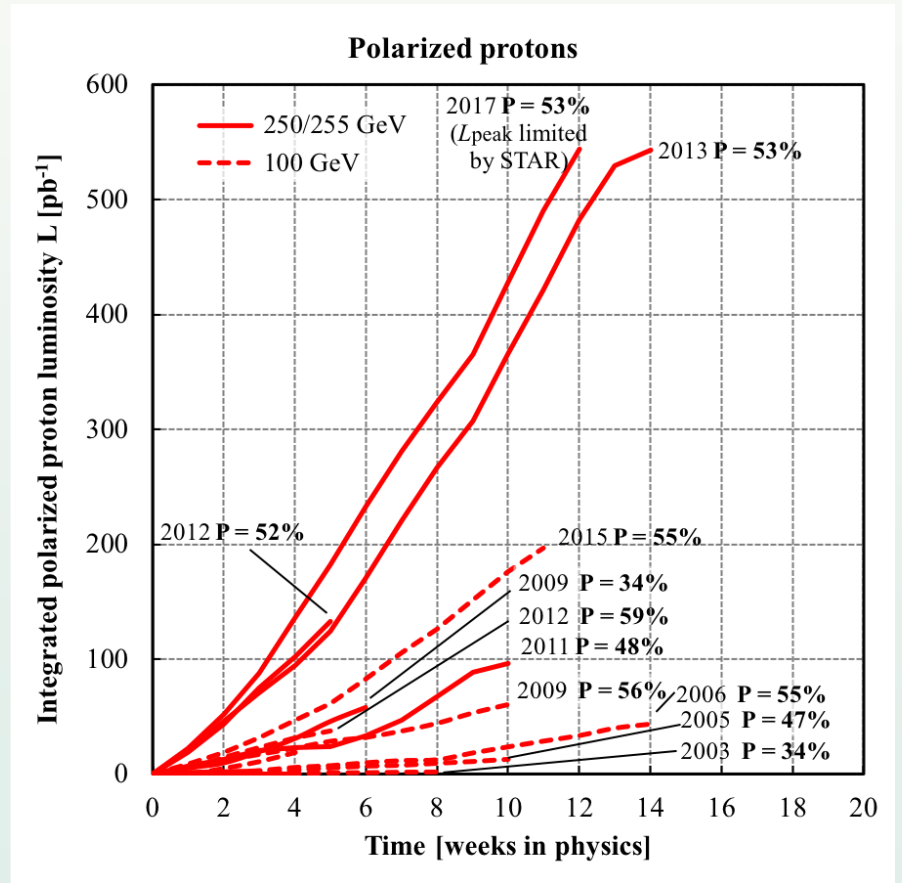
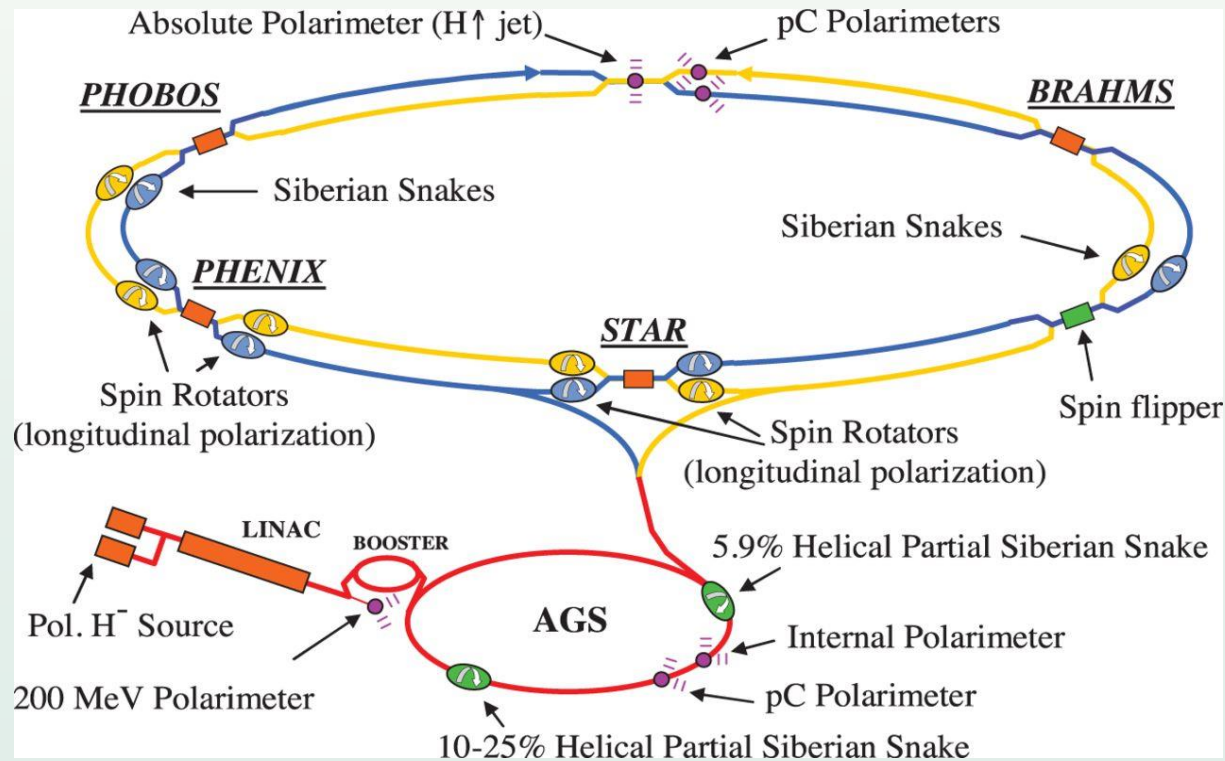
**Jet TSSA** – sensitive to the initial state effect.

**Collins asymmetry** – sensitive to the final state effect.

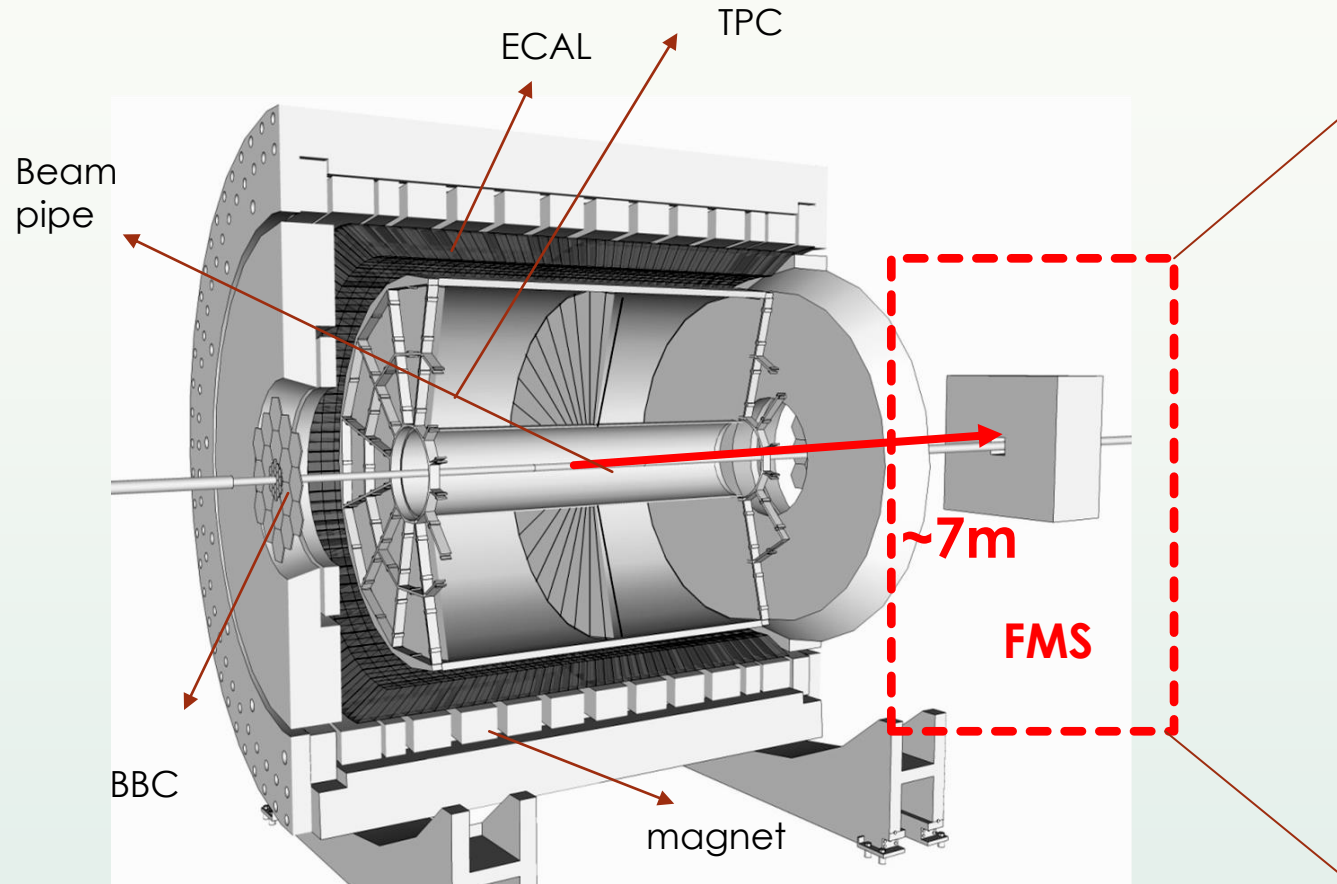


# Experiment Setup- RHIC & STAR

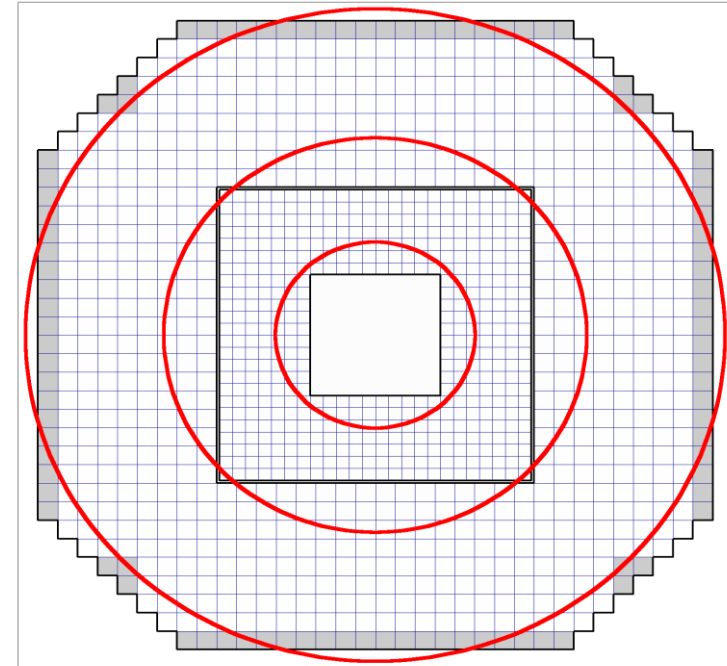
- The **R**elativistic **H**eavy **I**on **C**ollider at BNL provides unique opportunity to study spin physics because it is the world's only polarized proton-proton collider.



# Experiment Setup- STAR & FMS



## FMS Layout



- ❑ EM-Calorimeter made of 1000+ lead glass cells
- ❑ Large pseudo-rapidity range in the forward direction 2.6-4.1
- ❑ Two cell types



# Analysis- Dataset

## ► Dataset:

Transversely polarized proton-proton collisions

Year	Energy	Events
2011	500 GeV	165M
2015	200 GeV	569M

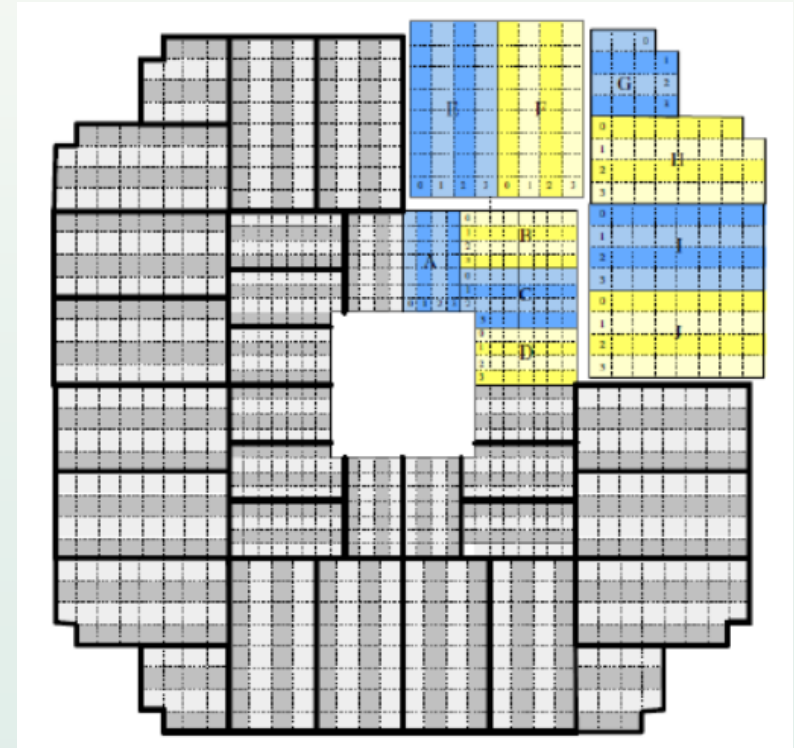
## ► Beam polarization:

52 / 57% (500 / 200 GeV)

## ► Trigger:

FMS-Board-sum and FMS-Jet-patch, both based on energy deposition in a defined region of the FMS

## Trigger logic





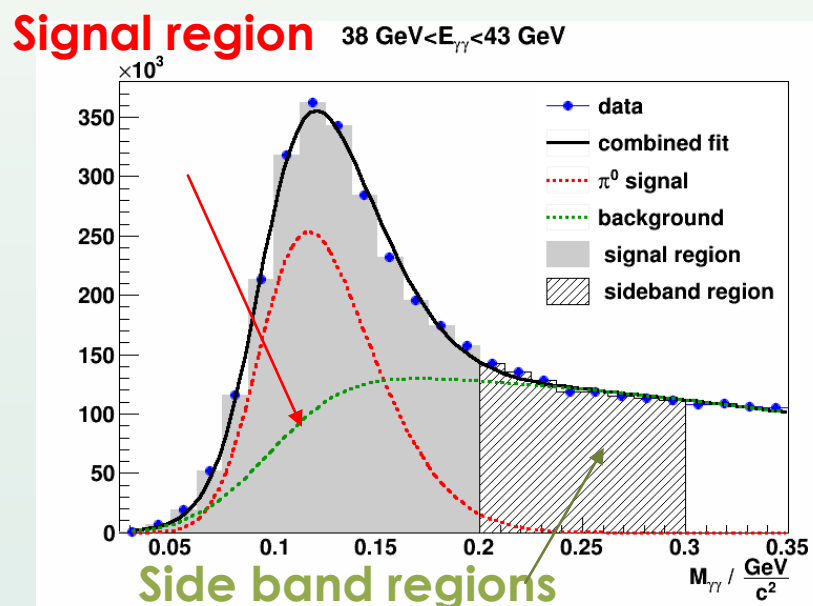
# Analysis- Asymmetry calculation

The **luminosity** and **detector efficiency** can be difficult to determine.

➡ “Cross-ratio” method help eliminate those factors

$$\begin{aligned} N^\uparrow(\phi) &= \epsilon \mathcal{L}^\uparrow \sigma^\uparrow \\ &= \epsilon \mathcal{L}^\uparrow (1 + \text{pol} * A_N \cos \phi) \sigma \end{aligned}$$

$$\text{pol} \cdot A_N^{\text{raw}} \cos \phi = \frac{\sqrt{N^\uparrow(\phi) N^\downarrow(\phi + \pi)} - \sqrt{N^\downarrow(\phi) N^\uparrow(\phi + \pi)}}{\sqrt{N^\uparrow(\phi) N^\downarrow(\phi + \pi)} + \sqrt{N^\downarrow(\phi) N^\uparrow(\phi + \pi)}}$$



[STAR, arXiv:2012.11428](https://arxiv.org/abs/2012.11428)

➡ Background subtraction

The fraction comes from the fitting of the mass spectrum  
Signal/background shapes are from simulation

$$\begin{aligned} A_N^{\text{raw}_{sig}} &= f_{\text{sig}_{sig}} * A_N^{\pi^0} + (1 - f_{\text{sig}_{sig}}) * A_N^{\text{bkg}} \\ A_N^{\text{raw}_{sb}} &= f_{\text{sig}_{sb}} * A_N^{\pi^0} + (1 - f_{\text{sig}_{sb}}) * A_N^{\text{bkg}} \end{aligned}$$

# Analysis- Collins Asymmetry

$\pi^0$  /EM-jet TSSA

vs.

Collins asymmetry

$$\begin{aligned} N^\uparrow(\phi) &= \epsilon \mathcal{L}^\uparrow \sigma^\uparrow \\ &= \epsilon \mathcal{L}^\uparrow (1 + \text{pol} * A_N \cos \phi) \sigma \end{aligned}$$

$$\begin{aligned} N^\uparrow(\phi_c) &= \epsilon \mathcal{L}^\uparrow \sigma^\uparrow \\ &= \epsilon \mathcal{L}^\uparrow (1 + \text{pol} * A_{UT} \sin \phi_c) \sigma \end{aligned}$$

- Azimuthal angle
- All  $\pi^0$  candidates
- Background subtraction for  $\pi^0$

- Collins angle
- Only  $\pi^0$  within a jet
- No background subtraction

For jet reconstruction: For  $\pi^0$  in a jet :

- Anti- $k_T$   $R=0.7$
- $p_T > 2 \text{ GeV}$
- $\Delta R = \sqrt{\Delta \phi^2 + \Delta \eta^2} > 0.04$

**The jet is only “electromagnetic jet”**

# Analysis- Systematic uncertainty

Uncertainties:

- $\pi^0$ /jet energy scale uncertainty ( $x_F$  and  $z_{em}$ ): calibration, non-linear response, radiation damage
- $\pi^0$  TSSA: background subtraction
- Beam polarization

Corrections:

- Jet TSSA: background correction, underlying event correction, correction to particle level
- Collins asymmetry: Collins angle resolution correction

Analysis	Uncertainties types (Run-11/Run15)		
	$x_F$	Asymmetry	Beam polarization
$\pi^0$ TSSA	4.4%/3.0%	5.8%	3.4%/3.0%
Jet TSSA	$x_F$	Asymmetry	Beam polarization
	7.8%/8.5%	—	3.4%/3.0%
Collins Asymmetry	$z_{em}$	Asymmetry	Beam polarization
	8.9%/9.0%	—	3.4%/3.0%

# Analysis- Observables

All measurements are done in 200 GeV (2015) and 500 GeV (2011) p+p collision

- 1)  $\pi^0$  TSSA: **initial+final** state effect

TSSA as a function of Feynman-x ( $x_F$ ) ;  $x_F = \frac{E_L^{\pi^0}}{E_{beam}}$

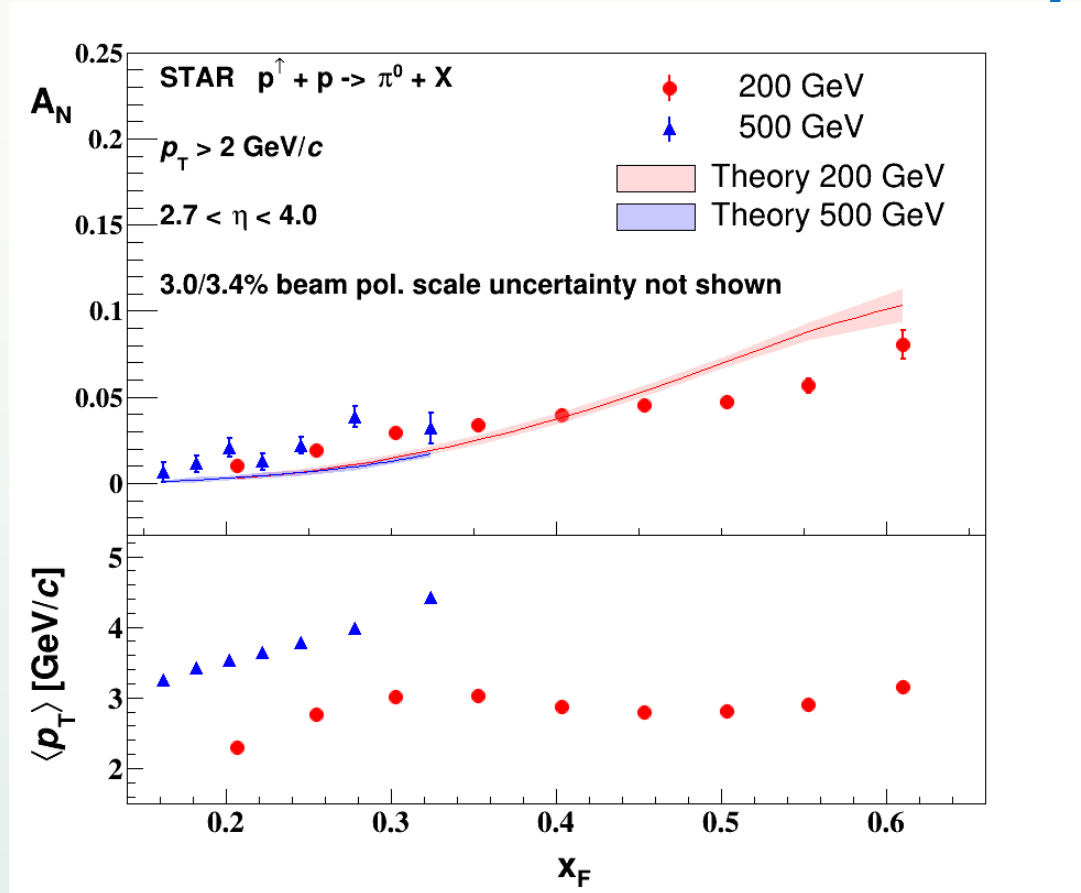
TSSA as a function of  $p_T$  ;

Isolated/non-isolated  $\pi^0$   $A_N$  as a function of Feynman-x

- 2) Jet TSSA : **initial** state effect
- 3) Collins Asymmetry : **final** state effect

The jets used in 2) 3) are electromagnetic jet (EM-jet)

# Result- $\pi^0$ TSSA vs. $x_F$



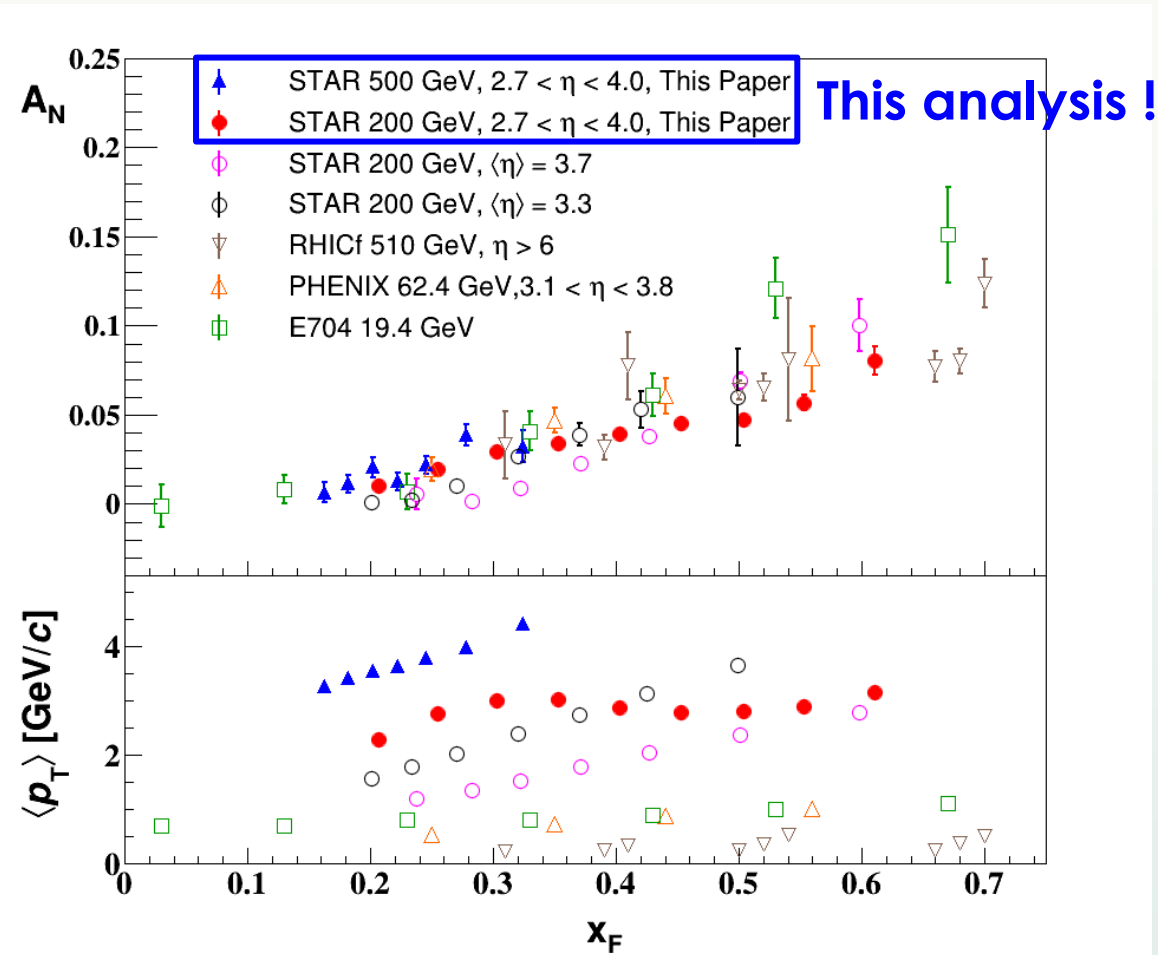
Theory curves:  
J. Cammarota, et al.  
Phys.Rev.D.102.054002

$$x_F = \frac{E_L^{\pi^0}}{E_{beam}}$$

[STAR, arXiv:2012.11428](https://arxiv.org/abs/2012.11428)

- The  $\pi^0$  TSSA increases with  $x_F$ .
- Consistent between 200 GeV and 500 GeV. Energy dependence is weak. 13

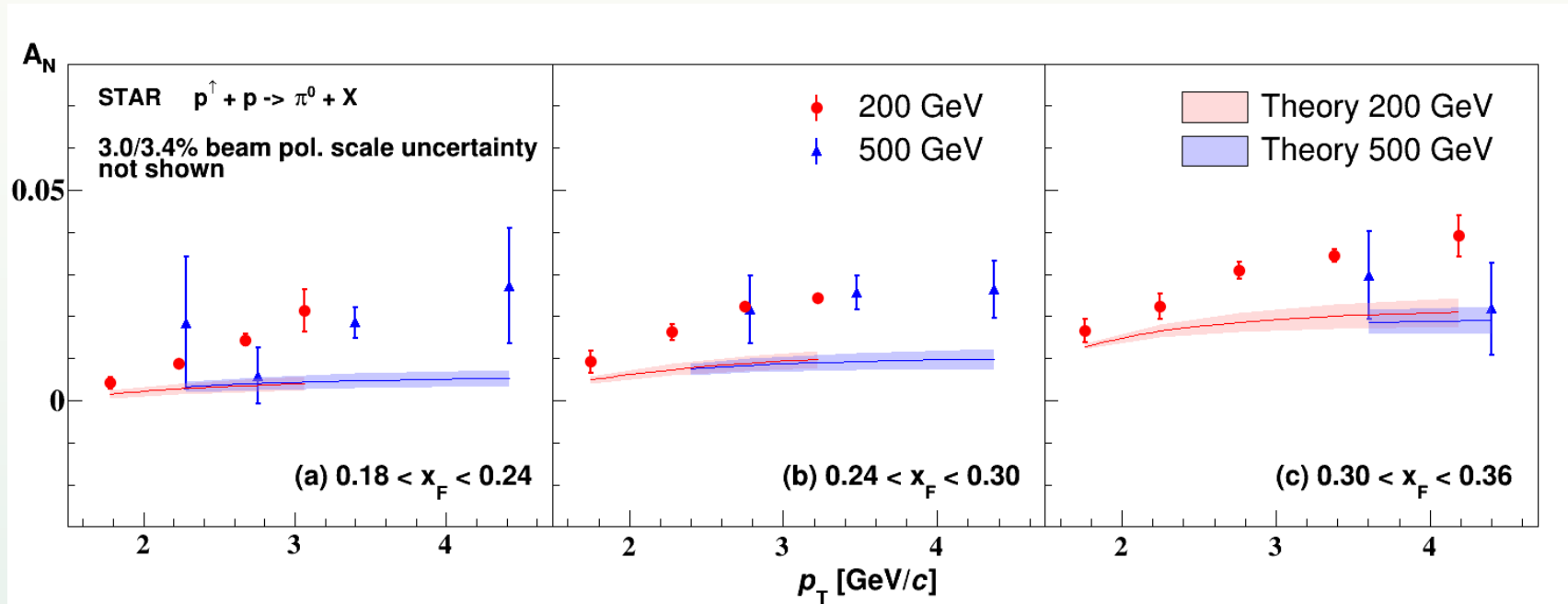
# Comparison to previous measurements



[STAR, arXiv:2012.11428](#)

- ❑ Weak collision energy dependence of the  $\pi^0$  TSSA from 19.4 to 500 GeV
- ❑ Comparison to the previous Forward Pion Detector results at STAR shows larger TSSA in current measurement, which can be explained by the higher average  $p_T$

# Result- $\pi^0$ TSSA vs. $p_T$



Theory curves:  
*J. Cammarota, et al.*  
*Phys.Rev.D.102.054002*

[STAR, arXiv:2012.11428](https://arxiv.org/abs/2012.11428)

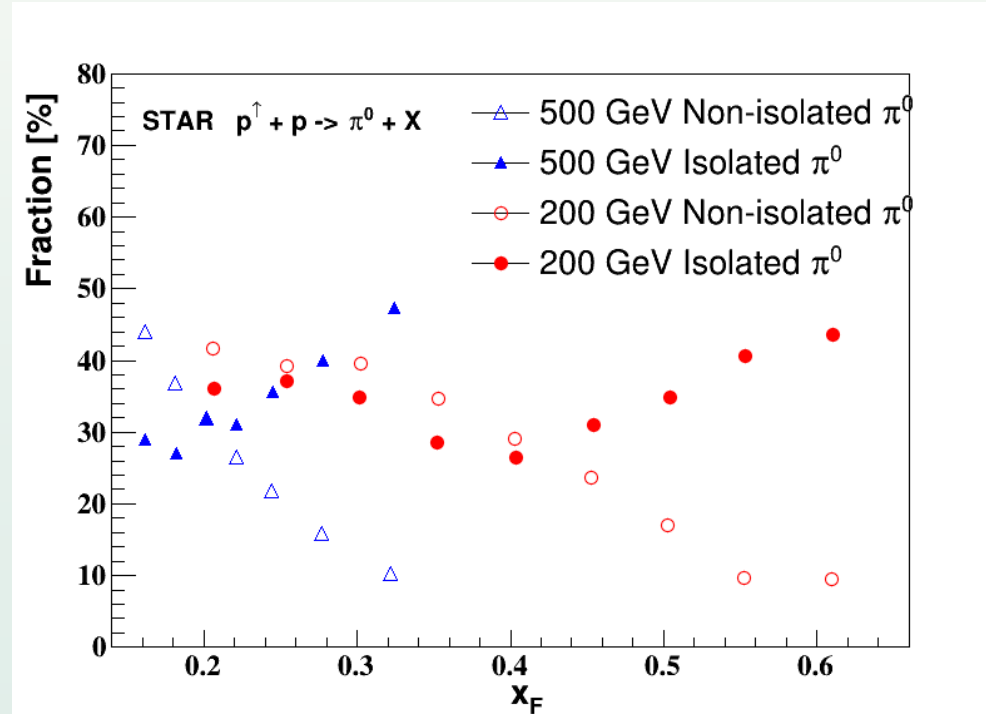
- ❑ Overlapping  $x_F$  region between 200 GeV and 500 GeV results.
- ❑ The 200 GeV data shows significant increase of TSSA below 3 GeV.
- ❑ The 500 GeV data flattens over the  $p_T$  range.



# Result- isolated $\pi^0$ TSSA

- ❑ Motivation: investigate the  $\pi^0$  event topology ( $\pi^0$  with no other particle around)
- ❑ Method: in a surrounding area (in  $\eta$ - $\phi$  space,  $R=0.7$ ), if the  $\pi^0$  takes most of the total energy, it is defined as isolated. The cut is placed at an energy fraction  $z=0.9$  and  $0.98$

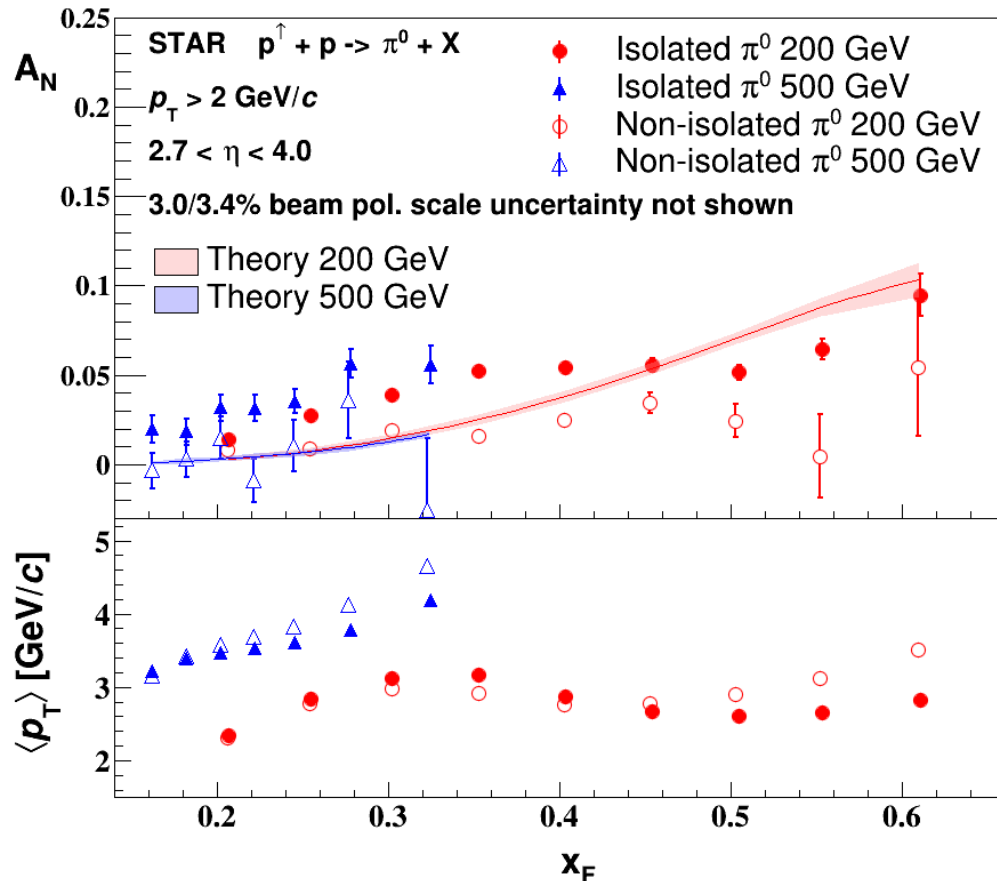
Fractions of different types of  $\pi^0$  event in the overall sample



[STAR, arXiv:2012.11428](https://arxiv.org/abs/2012.11428)

# Result- isolated $\pi^0$ TSSA

STAR, arXiv:2012.11428

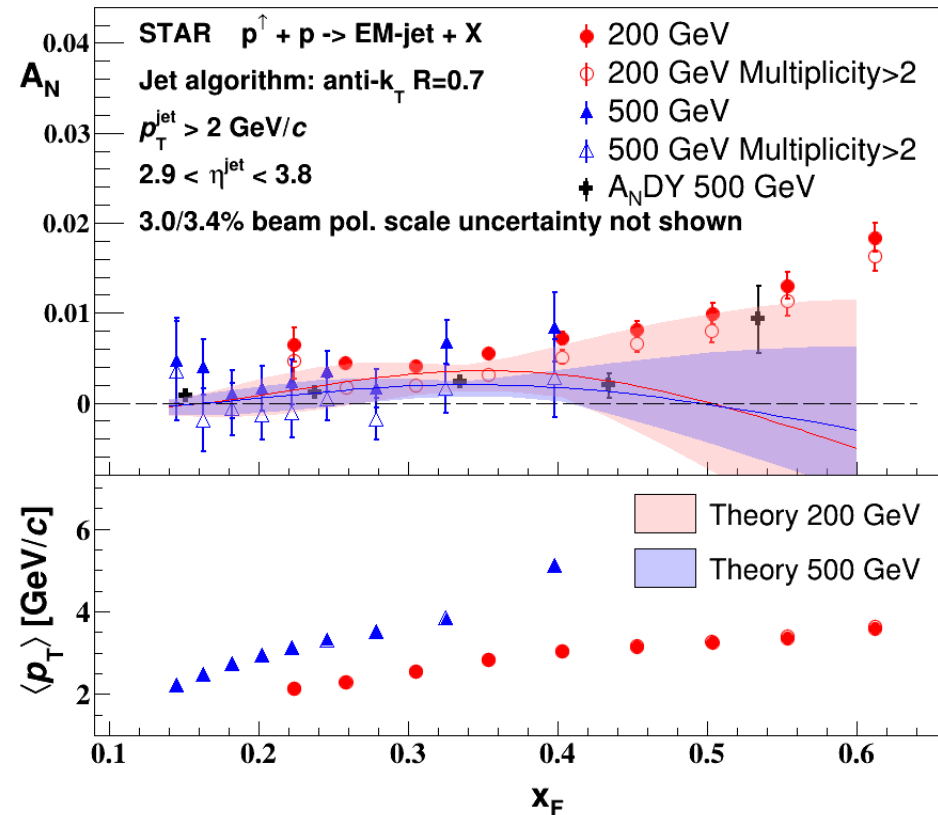


- ❑ The TSSAs of the two types of  $\pi^0$  are significantly different. Isolated  $\pi^0$  TSSA dominates.
- ❑ The physical origin and mechanism accounting for higher TSSA of isolated  $\pi^0$  is not known yet – implication of a third origin?

Theory curves:  
*J. Cammarota, et al.*  
*Phys.Rev.D.102.054002*

# Result- jet TSSA

STAR, arXiv:2012.11428

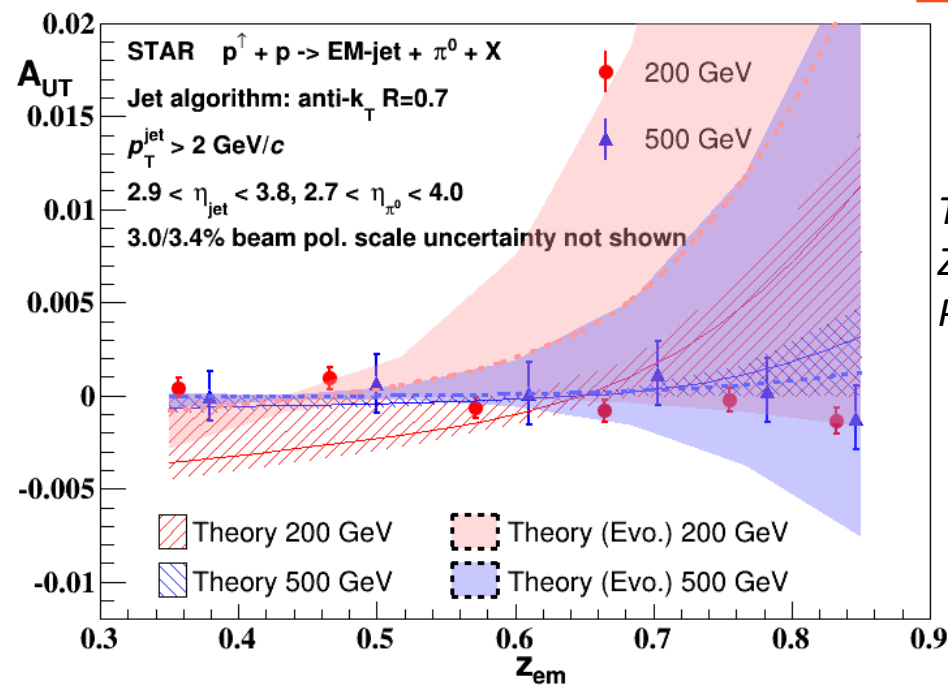


- ❑ The jet TSSA is a few times smaller than the  $\pi^0$  TSSA in the same  $x_F$  bin.
- ❑ Jets with minimum photon multiplicity requirement have significantly smaller TSSA.
- ❑ The  $A_N$  DY result shows the TSSA of full jets, and is consistent with the result of the EM-jet having at least 3 photons.

Theory curves:  
 L. Gamberg, Z. Kang, A. Prokudin,  
 Phys.Rev.Lett.110,232301

# Result- Collins Asymmetry for $\pi^0$ in a jet

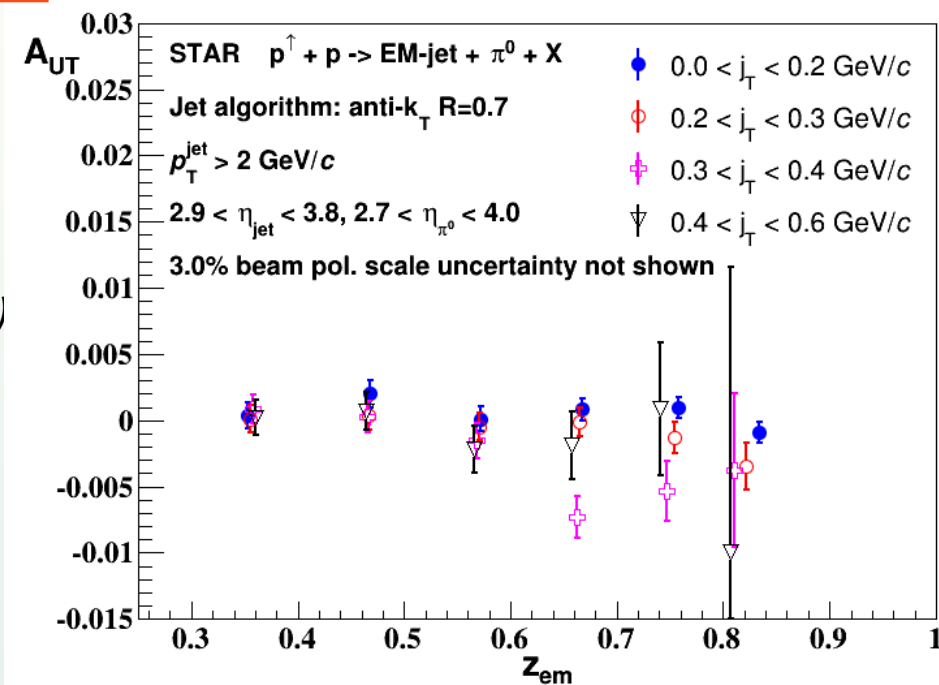
STAR, arXiv:2012.11428



Theory curves:  
 Z. Kang, et al.  
 Phys.Lett.B774,635 (2017)

$$Z_{em} = \frac{E_\pi}{E_{jet}}$$

$j_T = E_\pi$  projection perpendicular to jet



- ☐ The Collins asymmetries are very small at both energies
- ☐ This reflects the cancellation of the Collins effect of the u/d quark
- ☐ Weak  $j_T$  dependence is observed

# Summary

- ❑ We measured the  $\pi^0$  /jet TSSA and Collins asymmetry using the FMS in STAR 200 and 500 GeV p-p data
- ❑ The  $\pi^0$  TSSA results show weak energy dependence through 20 to 500 GeV
- ❑ We investigated the  $\pi^0$  event topology. The isolated  $\pi^0$  TSSAs are significantly larger than the non-isolated  $\pi^0$ , the mechanism of which remains unclear. It offers new perspectives to the origin of TSSA
- ❑ We measured the jet TSSAs and Collins asymmetry to separate contributions from initial and final state effects, both of which are small
- ❑ These measurements provide important inputs for further investigation for TSSA